

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 279147834	Statement Date: 02/17/2022 Document ID: 712U E1T 1180	2021
	SATISH KAPALAVAYI 433 S 7TH ST MINNEAPOLIS, MN 55415		
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-2769		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts -127.91</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts -127.91</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	94,689.77	86,700.22	0.00	138.33	8,127.88
Short	B (basis not reported to the IRS)	1,396.97	1,299.95	0.00	0.00	97.02
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	96,086.74	88,000.17	0.00	138.33	8,224.90
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	2.67	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	2.67	0.00	0.00	0.00	0.00
	Grand total	96,089.41	88,000.17	0.00	138.33	8,224.90
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 279147834

Summary Information

2021

(continued)

02/17/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	1.54
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	15.55
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	2.67
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	3.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 279147834

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/17/2022

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS INC COM CL A / CUSIP: 00165C104 / Symbol: AMC							
01/19/21	50.000	139.99	01/15/21	118.50	...	21.49	Sale
01/21/21	50.000	145.59	01/15/21	118.50	...	27.09	Sale
01/26/21	25.000	117.28	01/25/21	112.50	...	4.78	Sale
	Security total:	402.86		349.50	...	53.36	
ATA CREATIVITY GLOBAL ADR / CUSIP: 00211V106 / Symbol: AACG							
02/05/21	100.000	659.98	02/05/21	675.00	...	-15.02	Sale
ARK ETF TR INNOVATION ETF / CUSIP: 00214Q104 / Symbol: ARKK							
02/05/21	5.000	744.98	Various	734.40	...	10.58	Sale
ARK ETF TR AUTNMUS TECHN LGY ETF / CUSIP: 00214Q203 / Symbol: ARKQ							
02/05/21	5.000	477.49	Various	472.50	...	4.99	Sale
ARK ETF TR GENOMIC REV ETF / CUSIP: 00214Q302 / Symbol: ARKG							
01/22/21	2.000	219.14	01/15/21	218.00	...	1.14	Sale
02/05/21	6.000	653.69	Various	646.77	...	6.92	Sale
	Security total:	872.83		864.77	...	8.06	
AILERON THERAPEUTICS INC COM / CUSIP: 00887A105 / Symbol: ALRN							
02/08/21	300.000	653.96	02/04/21	653.97	...	-0.01	Sale

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Account 279147834

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AKERNA CORP COM / CUSIP: 00973W102 / Symbol: KERN							
01/08/21	100.000	509.98	01/07/21	500.00	...	9.98	Sale
02/10/21	50.000	483.48	02/01/21	311.00	...	172.48	Sale ²⁶
02/11/21	50.000	397.48	02/01/21	310.99	...	86.49	Sale ²⁶
02/12/21	50.000	399.48	02/01/21	311.00	...	88.48	Sale ²⁶
	Security total:	1,790.42		1,432.99	...	357.43	
ALLIANCE BIOENERGY PLUS INC N/C 8/27/21 09530Q102 / CUSIP: 01890B106 / Symbol: ALLM							
01/28/21	250.000	161.77	01/25/21	166.95	...	-5.18	Sale
AMERICAN RESOURCES CORPORATION COM CL A / CUSIP: 02927U208 / Symbol: AREC							
02/03/21	100.000	424.98	02/02/21	350.00	...	74.98	Sale
ARCTURUS THERAPEUTICS HLDG INC COM / CUSIP: 03969T109 / Symbol: ARCT							
01/06/21	10.000	484.21	12/30/20	430.50	...	53.71	Sale
ARTELO BIOSCIENCES INC COM / CUSIP: 04301G201 / Symbol: ARTL							
02/12/21	50.000	152.49	02/10/21	86.00	...	66.49	Sale ²⁶
ASSERTIO HOLDINGS INC 1:4 R/S 5/18/21 04546C205 / CUSIP: 04546C106 / Symbol:							
02/10/21	200.000	238.97	02/08/21	206.00	...	32.97	Sale ²⁶
02/11/21	300.000	350.98	02/08/21	309.00	...	41.98	Sale ²⁶
	Security total:	589.95		515.00	...	74.95	
ATLANTIC AMERICAN CORP COM / CUSIP: 048209100 / Symbol: AAME							
02/08/21	100.000	657.96	Various	450.00	...	207.96	Total of 2 transactions
ATOSSA THERAPEUTICS INC COM / CUSIP: 04962H506 / Symbol: ATOS							
01/28/21	50.000	104.00	01/26/21	144.43	...	-40.43	Sale
AYRO INC COM / CUSIP: 054748108 / Symbol: AYRO							
02/09/21	50.000	383.99	02/01/21	334.00	...	49.99	Sale
RENOVARE ENVIRONMENTAL INC COM / CUSIP: 09074B107 / Symbol: RENO							
02/09/21	200.000	471.97	02/09/21	500.00	...	-28.03	Sale
BIONANO GENOMICS INC COM / CUSIP: 09075F107 / Symbol: BNGO							
01/25/21	10.000	124.50	01/19/21	90.00	...	34.50	Sale

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BIRKS GROUP INC COM CL A / CUSIP: 09088U109 / Symbol: BGI							
01/28/21	200.000	256.57	01/26/21	289.78	...	-33.21	Sale
BLINK CHARGING CO COM / CUSIP: 09354A100 / Symbol: BLNK							
02/04/21	10.000	530.99	02/01/21	478.40	...	52.59	Sale
BOXLIGHT CORPORATION COM CL A / CUSIP: 103197109 / Symbol: BOXL							
01/15/21	150.000	343.98	Various	288.00	...	55.98	Total of 2 transactions
BREEZE HLDGS ACQUISITION CORP COM / CUSIP: 106762107 / Symbol: BREZ							
01/25/21	30.000	313.49	01/14/21	314.37	...	-0.88	Sale
CF FINANCE ACQUISTN CORP III 1:1 EXC 8/18/21 008183105 / CUSIP: 12529D108 / Symbol: CFAC							
01/28/21	20.000	236.99	01/14/21	271.00	...	-34.01	Sale
CANOO INC COM CL A / CUSIP: 13803R102 / Symbol: GOEV							
02/12/21	50.000	824.97	02/02/21	796.10	...	28.87	Sale ²⁶
CARLOTZ INC COM CL A / CUSIP: 142552108 / Symbol: LOTZ							
01/28/21	50.000	539.98	Various	549.25	13.76 W	4.49	Sale
02/01/21	35.000	376.24	01/29/21	378.42	5.49 W	3.31	Sale
02/12/21	35.000	316.08	02/04/21	351.99	...	-35.91	Sale ²⁶
	Security total:	1,232.30		1,279.66	19.25 W	-28.11	
CBAK ENERGY TECHNOLOGY INC COM / CUSIP: 14986C102 / Symbol: CBAT							
01/28/21	20.000	141.00	01/25/21	185.76	...	-44.76	Sale
CELSION CORPORATION COM / CUSIP: 15117N503 / Symbol: CLSN							
01/25/21	75.000	106.55	Various	106.50	...	0.05	Total of 2 transactions
02/12/21	250.000	615.01	02/11/21	757.50	...	-142.49	Sale ²⁶
	Security total:	721.56		864.00	...	-142.44	
CHINA AUTOMOTIVE SYSTEMS INC COM / CUSIP: 16936R105 / Symbol: CAAS							
02/05/21	100.000	694.97	02/02/21	621.66	...	73.31	Sale
CHURCHILL CAPITAL CORP IV 1:1 EXC 7/26/21 549498103 / CUSIP: 171439102 / Symbol:							
02/23/21	150.000	5,249.86	Various	2,318.99	...	2,930.87	Sale ²⁶

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAP CORP V COM CL A / CUSIP: 17144T107 / Symbol: CCV							
02/09/21	100.000	1,164.96	02/05/21	1,185.00	0.20 W	-19.84	Sale
CLOVER HEALTH INVESTMENTS CORP COM CL A / CUSIP: 18914F103 / Symbol: CLOV							
01/28/21	50.000	702.47	Various	718.75	17.52 W	1.24	Sale
06/08/21	100.000	2,124.98	02/04/21	1,233.00	...	891.98	Sale ²⁶
	Security total:	2,827.45		1,951.75	17.52 W	893.22	
COMCAST CORP COM CL A / CUSIP: 20030N101 / Symbol: CMCSA							
01/26/21	10.000	491.99	01/25/21	490.30	...	1.69	Sale
CONTEXTLOGIC INC COM CL A / CUSIP: 21077C107 / Symbol: WISH							
01/06/21	50.000	1,021.97	Various	974.25	...	47.72	Sale
CONX CORP COM CL A / CUSIP: 212873103 / Symbol: CONX							
02/12/21	50.000	525.99	02/08/21	530.00	...	-4.01	Sale ²⁶
CORBUS PHARMAS HLDGS INC COM / CUSIP: 21833P103 / Symbol: CRBP							
02/05/21	400.000	1,051.94	02/04/21	1,288.00	...	-236.06	Sale
CYCLO THERAPEUTICS INC COM / CUSIP: 23254X201 / Symbol: CYTH							
02/12/21	50.000	515.80	02/08/21	570.45	...	-54.65	Sale ²⁶
DECARBONIZATION PLUS ACQUISITI 1:1 EXC 7/19/21 44951Y102 / CUSIP: 242797108 / Symbol: DCRB							
02/12/21	50.000	763.97	02/05/21	822.50	...	-58.53	Sale ²⁶
DUNE ACQUISITION CORP UNIT 1 COM & 1/2 WT EXP / CUSIP: 265334201 / Symbol: DUNEU							
01/25/21	15.000	158.28	01/21/21	164.40	...	-6.12	Sale
ETF MANAGERS TRUST PRIME CYBR SCRPTY ETF / CUSIP: 26924G201 / Symbol: HACK							
02/05/21	2.000	123.00	02/03/21	120.78	...	2.22	Sale
ELECTRAMECCANICA VEHS CORP COM / CUSIP: 284849205 / Symbol: SOLO							
02/12/21	50.000	400.49	02/01/21	374.50	...	25.99	Sale ²⁶
ENPHASE ENERGY INC COM / CUSIP: 29355A107 / Symbol: ENPH							
01/04/21	1.000	176.50	12/31/20	175.00	...	1.50	Sale

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FG NEW AMERICA ACQUISITION COR 1:1 EXC 7/21/21 68386H103 / CUSIP: 30259V106 / Symbol:							
02/12/21	25.000	274.50	02/10/21	273.97	...	0.53	Sale ²⁶
FORD MOTOR CO COM / CUSIP: 345370860 / Symbol: F							
02/03/21	75.000	842.22	02/01/21	825.00	...	17.22	Sale
FORTRESS VALUE ACQUISITION COR 1:1 EXC 6/17/21 00216W109 / CUSIP: 349642108 / Symbol:							
01/28/21	25.000	276.25	01/19/21	282.50	...	-6.25	Sale
FOURTH WAVE ENERGY INC COM / CUSIP: 35131P102 / Symbol: FWAV							
01/27/21	1,500.000	444.36	01/20/21	329.45	...	114.91	Sale
FUELCELL ENERGY INC COM / CUSIP: 35952H601 / Symbol: FCEL							
01/06/21	100.000	1,274.29	Various	546.50	...	727.79	Total of 3 transactions
01/08/21	50.000	773.98	12/29/20	530.00	...	243.98	Sale
01/28/21	30.000	650.09	01/14/21	510.00	...	140.09	Sale
02/08/21	1.000	25.58	01/29/21	20.82	...	4.76	Sale
	Security total:	2,723.94		1,607.32	...	1,116.62	
FUBOTV INC COM / CUSIP: 35953D104 / Symbol: FUBO							
01/22/21	10.000	363.69	01/21/21	361.78	...	1.91	Sale
02/05/21	25.000	1,248.72	01/29/21	1,119.29	...	129.43	Sale
	Security total:	1,612.41		1,481.07	...	131.34	
FUTURE FINTECH GROUP INC COM / CUSIP: 36117V105 / Symbol: FTFT							
02/12/21	50.000	397.98	02/12/21	362.50	...	35.48	Sale ²⁶
FUSION ACQUISITION CORP 1:1 EXC 9/23/21 60938K106 / CUSIP: 36118H105 / Symbol: FUSE							
02/12/21	25.000	323.24	02/03/21	300.25	...	22.99	Sale ²⁶
GX ACQUISITION CORP 1:1 EXC 7/19/21 151190105 / CUSIP: 36251A107 / Symbol: GXGX							
01/26/21	10.000	102.90	01/08/21	105.68	...	-2.78	Sale
GAMESTOP CORPORATION COM CL A / CUSIP: 36467W109 / Symbol: GME							
01/29/21	1.000	333.54	01/28/21	238.00	...	95.54	Sale
GENIUS BRANDS INTL INC COM / CUSIP: 37229T301 / Symbol: GNUS							
02/05/21	150.000	295.47	02/03/21	277.50	...	17.97	Sale

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Account 279147834

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GEVO INC COM / CUSIP: 374396406 / Symbol: GEVO							
01/19/21	85.000	878.87	01/13/21	616.25	...	262.62	Sale
01/28/21	65.000	719.52	Various	496.25	...	223.27	Sale
	Security total:	1,598.39		1,112.50	...	485.89	
GIGCAPITAL3 INC 1:1 EXC 5/7/21 53228T101 / CUSIP: 37519D107 / Symbol: GIK							
01/28/21	25.000	364.49	01/19/21	410.75	...	-46.26	Sale
GLOBALSTAR INC COM / CUSIP: 378973408 / Symbol: GSAT							
01/28/21	100.000	105.10	01/21/21	130.99	25.89 W	0.00	Sale
02/10/21	150.000	437.97	02/05/21	198.39	...	239.58	Sale ²⁶
02/12/21	250.000	509.96	02/05/21	287.50	...	222.46	Sale ²⁶
	Security total:	1,053.03		616.88	25.89 W	462.04	
GLOBAL X FDS AUTONMOUS EV ETF / CUSIP: 37954Y624 / Symbol: DRIV							
02/08/21	5.000	138.25	02/03/21	135.10	...	3.15	Sale
GOGO INC COM / CUSIP: 38046C109 / Symbol: GOGO							
01/28/21	50.000	717.97	Various	653.51	...	64.46	Sale
GOLD STANDARD VENTURES CORP COM / CUSIP: 380738104 / Symbol: GSV							
02/11/21	400.000	347.94	02/11/21	375.96	...	-28.02	Sale ²⁶
GREENROSE ACQUISITION CORP COM / CUSIP: 395392103 / Symbol: GNRS							
01/20/21	50.000	522.98	01/19/21	526.70	...	-3.72	Sale
01/25/21	50.000	541.48	01/19/21	526.70	...	14.78	Sale
	Security total:	1,064.46		1,053.40	...	11.06	
HEAT BIOLOGICS INC COM / CUSIP: 42237K409 / Symbol: HTBX							
02/09/21	25.000	321.99	02/09/21	348.75	...	-26.76	Sale
HOLICITY INC 1:1 EXC 7/1/21 04634X103 / CUSIP: 435063102 / Symbol: HOL							
02/05/21	25.000	484.49	02/03/21	433.50	...	50.99	Sale
HOTH THERAPEUTICS INC COM / CUSIP: 44148G105 / Symbol: HOTH							
02/12/21	100.000	245.98	02/10/21	254.00	...	-8.02	Sale ²⁶

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HYLIION HOLDINGS CORP COM / CUSIP: 449109107 / Symbol: HYLN							
01/26/21	30.000	528.30	Various	504.60	...	23.70	Total of 2 transactions
02/10/21	50.000	805.47	02/03/21	792.50	...	12.97	Sale ²⁶
	Security total:	1,333.77		1,297.10	...	36.67	
IBIO INC COM / CUSIP: 451033203 / Symbol: IBIO							
01/13/21	100.000	165.99	12/02/20	160.00	...	5.99	Sale
INDIA GLOBALIZATIO COM / CUSIP: 45408X308 / Symbol: IGC							
02/08/21	150.000	290.99	Various	254.99	...	36.00	Total of 2 transactions
INOVIO PHARMACEUTICALS INC COM / CUSIP: 45773H201 / Symbol: INO							
02/01/21	20.000	327.50	Various	256.40	...	71.10	Total of 2 transactions
ISHARES TRUST GL CLEAN ENE ETF / CUSIP: 464288224 / Symbol: ICLN							
01/28/21	35.056	1,064.00	Various	828.24	...	235.76	Total of 2 transactions
KULR TECHNOLOGY GROUP INC COM / CUSIP: 50125G109 / Symbol: KULR							
01/28/21	338.000	469.58	01/25/21	507.19	...	-37.61	Sale
LI AUTO INC ADR / CUSIP: 50202M102 / Symbol: LI							
02/12/21	25.000	786.29	02/02/21	774.00	...	12.29	Sale ²⁶
LIPOCINE INC COM / CUSIP: 53630X104 / Symbol: LPCN							
01/28/21	75.000	138.00	01/22/21	164.11	...	-26.11	Sale
LOANDEPOT INC COM CL A / CUSIP: 53946R106 / Symbol: LDI							
02/11/21	25.000	464.99	02/11/21	378.75	...	86.24	Sale ²⁶
LONGVIEW ACQUISITION CORP 1:1 EXC 2/16/21 124155102 / CUSIP: 543195101 / Symbol: LGVW							
02/12/21	25.000	591.24	02/12/21	588.75	...	2.49	Sale ²⁶
META MATERIALS INC COM / CUSIP: 59134N104 / Symbol: MMAT							
07/09/21	0.750	3.99	02/23/21	1.00	...	2.99	Sale ²⁶
NET ELEMENT INC 1:1 EXC 11/5/21 62526P109 / CUSIP: 64111R300 / Symbol: NETE							
02/12/21	100.000	1,424.96	02/02/21	1,283.99	...	140.97	Sale ²⁶

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NEUROBO PHARMA COM / CUSIP: 64132R107 / Symbol: NRBO							
02/12/21	100.000	544.98	02/04/21	569.42	...	-24.44	Sale ²⁶
NEW PROVIDENCE ACQUISITION COR 1:1 EXC 4/7/21 00217D100 / CUSIP: 64822P106 / Symbol: NPA							
01/28/21	15.000	203.25	01/21/21	237.00	...	-33.75	Sale
NORTHERN DYNASTY MINERALS LTD COM / CUSIP: 66510M204 / Symbol: NAK							
02/08/21	1,000.000	711.86	02/01/21	702.00	...	9.86	Sale
NORTHWEST BIOTHERAPEUTICS INC COM / CUSIP: 66737P600 / Symbol: NWBO							
02/12/21	400.000	608.99	02/10/21	666.95	...	-57.96	Sale ²⁶
OLB GROUP INC COM / CUSIP: 67086U307 / Symbol: OLB							
01/05/21	80.000	439.98	12/22/20	425.25	...	14.73	Sale
NXT-ID INC 1:10 R/S 10/18/21 67091J404 / CUSIP: 67091J206 / Symbol:							
01/28/21	400.000	527.94	01/20/21	512.00	...	15.94	Sale
02/08/21	300.000	602.95	01/29/21	465.00	...	137.95	Sale
	Security total:	1,130.89		977.00	...	153.89	
OBALON THERAPEUTICS INC 1:3 R/S 6/16/21 76090R101 / CUSIP: 67424L209 / Symbol: OBLN							
01/20/21	100.000	549.98	12/30/20	175.00	...	374.98	Sale
OCUGEN INC COM / CUSIP: 67577C105 / Symbol: OCGN							
02/05/21	100.000	352.98	02/02/21	310.00	...	42.98	Sale
ONCONOVA THERAPEUTICS INC 1:15 R/S 5/21/21 68232V801 / CUSIP: 68232V405 / Symbol:							
02/03/21	100.000	88.79	02/03/21	81.86	...	6.93	Sale
02/04/21	900.000	936.95	Various	736.74	...	200.21	Total of 2 transactions
	Security total:	1,025.74		818.60	...	207.14	
OPKO HEALTH INC COM / CUSIP: 68375N103 / Symbol: OPK							
01/06/21	100.000	444.98	12/23/20	431.50	...	13.48	Sale
ORGANOGENESIS HOLDINGS INC COM / CUSIP: 68621F102 / Symbol: ORGO							
01/26/21	50.000	509.98	01/20/21	517.50	...	-7.52	Sale

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PALATIN TECHNOLOGIES INC COM / CUSIP: 696077403 / Symbol: PTN							
01/28/21	200.000	190.48	01/21/21	236.00	...	-45.52	Sale
PALANTIR TECH INC COM CL A / CUSIP: 69608A108 / Symbol: PLTR							
01/15/21	35.000	925.73	Various	898.55	...	27.18	Sale
01/15/21	30.000	810.90	Various	815.51	16.10 W	11.49	Total of 2 transactions
01/28/21	10.000	391.29	01/26/21	358.50	...	32.79	Sale
	Security total:	2,127.92		2,072.56	16.10 W	71.46	
PETCO HEALTH & WELLNESS CO INC COM / CUSIP: 71601V105 / Symbol: WOOF							
01/28/21	15.000	397.74	01/14/21	435.00	...	-37.26	Sale
PLUG POWER INC COM / CUSIP: 72919P202 / Symbol: PLUG							
01/26/21	1.000	68.94	01/15/21	60.00	...	8.94	Sale
POSHMARK INC COM CL A / CUSIP: 73739W104 / Symbol: POSH							
01/28/21	5.000	368.74	Various	407.43	...	-38.69	Sale
QUANTUMSCAPE CORP COM CL A / CUSIP: 74767V109 / Symbol: QS							
02/12/21	20.000	1,066.98	02/05/21	904.60	...	162.38	Sale ²⁶
RELIANCE GLOBAL GROUP INC 1:85.71 R/S 2/9/21 75946W207 / CUSIP: 75946W108 / Symbol:							
02/08/21	1,000.000	462.92	02/08/21	476.95	14.03 W	0.00	Sale
RELIANCE GLOBAL GROUP INC COM / CUSIP: 75946W207 / Symbol: RELI							
02/12/21	11.000	64.35	02/08/21	408.81	...	-344.46	Sale ²⁶
RIOT BLOCKCHAIN INC COM / CUSIP: 767292105 / Symbol: RIOT							
01/06/21	10.000	193.00	01/04/21	185.60	...	7.40	Sale
02/08/21	20.000	629.99	01/29/21	409.00	...	220.99	Sale
	Security total:	822.99		594.60	...	228.39	
ROCKET COMPANIES INC COM CL A / CUSIP: 77311W101 / Symbol: RKT							
01/28/21	50.000	1,131.48	01/19/21	981.45	...	150.03	Sale
ROLLS ROYCE HOLDINGS ADR SPONSORED / CUSIP: 775781206 / Symbol: RYCEY							
01/28/21	200.000	275.12	12/09/20	366.95	...	-91.83	Sale

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SAREPTA THERAPEUTICS INC COM / CUSIP: 803607100 / Symbol: SRPT							
01/26/21	5.000	481.24	Various	434.75	...	46.49	Sale
01/28/21	5.000	459.64	01/12/21	437.50	...	22.14	Sale
	Security total:	940.88		872.25	...	68.63	
SCOPUS BIOPHARMA INC COM / CUSIP: 809171101 / Symbol: SCPS							
02/12/21	25.000	249.99	02/08/21	250.00	...	-0.01	Sale ²⁶
SENSEONICS HOLDINGS INC COM / CUSIP: 81727U105 / Symbol: SENS							
01/28/21	91.000	225.84	01/22/21	198.41	...	27.43	Sale
STEM HOLDINGS INC COM / CUSIP: 85858U107 / Symbol: STMH							
02/12/21	250.000	253.01	02/11/21	329.45	...	-76.44	Sale ²⁶
STRATA SKIN SCIENCES INC COM / CUSIP: 86272A206 / Symbol: SSKN							
02/12/21	300.000	692.96	Various	579.00	...	113.96	Total of 2 transactions
SUN PACIFIC HOLDING CORP COM / CUSIP: 86686Y105 / Symbol: SNPW							
01/21/21	1,500.000	102.07	01/21/21	65.23	...	36.84	Sale
01/25/21	2,500.000	403.59	Various	112.53	...	291.06	Total of 2 transactions
01/28/21	2,500.000	222.99	01/21/21	127.79	...	95.20	Sale
	Security total:	728.65		305.55	...	423.10	
SUNDIAL GROWERS INC COM / CUSIP: 86730L109 / Symbol: SNDL							
01/14/21	500.000	349.88	01/07/21	346.65	...	3.23	Sale
10X CAP VENTURE ACQ CORP 1:1 EXC 7/23/21 M8287R103 / CUSIP: 88025V107 / Symbol:							
02/12/21	15.000	192.40	02/02/21	232.50	...	-40.10	Sale ²⁶
TG THERAPEUTICS INC COM / CUSIP: 88322Q108 / Symbol: TGTX							
02/10/21	5.000	250.57	02/05/21	259.00	...	-8.43	Sale ²⁶
TILRAY BRANDS INC COM / CUSIP: 88688T100 / Symbol: TLRV							
01/04/21	10.000	91.32	11/30/20	94.00	...	-2.68	Sale
01/04/21	40.000	363.34	Various	376.00	10.06 W	-2.60	Total of 2 transactions
01/28/21	30.000	577.79	01/27/21	587.56	...	-9.77	Sale
	Security total:	1,032.45		1,057.56	10.06 W	-15.05	

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TITAN PHARMACEUTICAL INC COM / CUSIP: 888314606 / Symbol: TTNP							
02/12/21	100.000	389.98	02/01/21	373.99	...	15.99	Sale ²⁶
TRIAD PRO INNOVATORS INC COM / CUSIP: 895817104 / Symbol: TPII							
01/28/21	2,500.000	212.00	Various	181.95	...	30.05	Total of 2 transactions
T2 BIOSYSTEMS INC COM / CUSIP: 89853L104 / Symbol: TTOO							
02/05/21	250.000	797.88	02/04/21	609.58	...	188.30	Sale
TUSCAN HOLDINGS CORP 1:1 EXC 7/26/21 59516C106 / CUSIP: 90069K104 / Symbol:							
02/12/21	10.000	196.50	02/01/21	222.50	...	-26.00	Sale ²⁶
UWM HLDGS CORP COM CL A / CUSIP: 91823B109 / Symbol: UWMC							
01/22/21	25.000	282.74	01/20/21	299.75	...	-17.01	Sale
VALLON PHARMACEUTICALS INC COM / CUSIP: 92023M101 / Symbol: VLON							
02/12/21	100.000	744.37	Various	765.00	...	-20.63	Total of 2 transactions
VANECK ETF TRUST VANECK RARE EARTH/STRATEGIC / CUSIP: 92189H805 / Symbol: REMX							
02/08/21	9.000	719.98	Various	719.21	...	0.77	Sale
VERU INC COM / CUSIP: 92536C103 / Symbol: VERU							
02/08/21	50.000	730.48	02/05/21	562.50	...	167.98	Sale
VISLINK TECHNOLOGIES INC COM / CUSIP: 92836Y300 / Symbol: VISL							
01/14/21	200.000	367.97	01/11/21	342.00	...	25.97	Sale
02/10/21	75.000	344.37	02/08/21	308.70	...	35.67	Sale ²⁶
	Security total:	712.34		650.70	...	61.64	
VOLITIONRX LIMITED COM / CUSIP: 928661107 / Symbol: VNRX							
02/08/21	100.000	605.66	02/03/21	536.33	...	69.33	Sale
XL FLEET CORP COM CL A / CUSIP: 9837FR100 / Symbol: XL							
02/05/21	65.000	1,340.26	Various	1,254.63	...	85.63	Sale
ZOMEDICA CORP COM / CUSIP: 98980M109 / Symbol: ZOM							
02/04/21	500.000	649.93	02/04/21	710.00	...	-60.07	Sale

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ZYNERBA PHARMACEUTICALS INC COM / CUSIP: 98986X109 / Symbol: ZYNE							
02/05/21	50.000	249.99	02/03/21	249.41	...	0.58	Sale
AMC ENTERTAINMENT HOLDINGS INC AMC Jan 29 2021 3.5 Put / CUSIP: / Symbol: AMC 01/29/21 P 3.500							
01/29/21	2.000	0.00	01/25/21	53.33	...	-53.33	Option expiration
ADVANCED MICRO DEVICES INC AMD Jan 22 2021 100.0 Call / CUSIP: / Symbol: AMD 01/22/21 C 100.000							
01/22/21	2.000	0.67	01/14/21	103.33	...	-102.66	Option sale
SMITH A O CORP AOS Apr 16 2021 65.0 Call / CUSIP: / Symbol: AOS 04/16/21 C 65.000							
02/12/21	1.000	140.44	01/14/21	80.66	...	59.78	Option sale ²⁶
BANK OF AMERICA CORPORATION BAC Feb 12 2021 30.0 Put / CUSIP: / Symbol: BAC 02/12/21 P 30.000							
01/28/21	1.000	86.34	01/27/21	81.66	...	4.68	Option sale
CBAK ENERGY TECHNOLOGY INC CBAT Jul 16 2021 15.0 Call / CUSIP: / Symbol: CBAT 07/16/21 C 15.000							
02/12/21	1.000	69.44	01/25/21	120.66	...	-51.22	Option sale ²⁶
CLOVER HEALTH INVESTMENTS CORP CLOV Feb 19 2021 20.0 Call / CUSIP: / Symbol: CLOV 02/19/21 C 20.000							
02/12/21	1.000	4.44	01/28/21	90.66	...	-86.22	Option sale ²⁶
CLOVER HEALTH INVESTMENTS CORP CLOV Mar 19 2021 25.0 Call / CUSIP: / Symbol: CLOV 03/19/21 C 25.000							
02/04/21	1.000	44.44	01/25/21	83.66	...	-39.22	Option sale
SALESFORCE.COM INC CRM Jan 15 2021 300.0 Call / CUSIP: / Symbol: CRM 01/15/21 C 300.000							
01/15/21	2.000	0.00	Various	599.97	...	-599.97	Option expiration
WALT DISNEY COMPANY (THE) DIS Feb 19 2021 200.0 Call / CUSIP: / Symbol: DIS 02/19/21 C 200.000							
02/08/21	1.000	288.43	02/08/21	270.56	...	17.87	Option sale
FORD MOTOR CO F Feb 12 2021 12.5 Call / CUSIP: / Symbol: F 02/12/21 C 12.500							
02/12/21	1.000	0.00	02/01/21	34.66	...	-34.66	Option expiration
FACEBOOK INC FB Feb 12 2021 275.0 Call / CUSIP: / Symbol: FB 02/12/21 C 275.000							
02/09/21	2.000	118.87	02/08/21	175.13	...	-56.26	Option sale
FUELCELL ENERGY INC FCEL Feb 19 2021 12.0 Put / CUSIP: / Symbol: FCEL 02/19/21 P 12.000							
01/14/21	1.000	85.34	01/13/21	64.66	...	20.68	Option sale

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TD Ameritrade Clearing, Inc.

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBOTV INC FUBO Jan 22 2021 35.0 Call / CUSIP: / Symbol: FUBO 01/22/21 C 35.000							
01/21/21	1.000	54.34	01/21/21	75.66	...	-21.32	Option sale
APOLLO STRATEGIC GROWTH CAP COM CL A / CUSIP: G0411R106 / Symbol: APSG							
02/09/21	75.000	813.80	02/04/21	830.25	...	-16.45	Sale
ANTELOPE ENTERPRISE HOLDINGS COM / CUSIP: G041JN106 / Symbol: AEHL							
02/05/21	20.000	97.00	02/05/21	74.80	...	22.20	Sale
02/12/21	80.000	304.10	Various	299.20	...	4.90	Total of 2 transactions
	Security total:	401.10		374.00	...	27.10	
ARCLIGHT CLEAN TRANSITION CORP 1:1 EXC 6/15/21 74374T109 / CUSIP: G04561109 / Symbol:							
01/25/21	20.000	493.08	Various	460.00	...	33.08	Total of 2 transactions
01/26/21	10.000	246.99	01/13/21	230.00	...	16.99	Sale
	Security total:	740.07		690.00	...	50.07	
ARGO BLOCKCHAIN PLC COM / CUSIP: G053A3104 / Symbol: ARBKF							
01/25/21	200.000	233.02	01/20/21	216.95	...	16.07	Sale
BORQS TECHNOLOGIES INC COM / CUSIP: G1466B103 / Symbol: BRQS							
01/28/21	100.000	123.24	Various	134.89	...	-11.65	Total of 2 transactions
CITIC CAPITAL ACQ CORP 1:1 EXC 2/9/22 74764U104 / CUSIP: G21513109 / Symbol: CCAC							
02/12/21	75.000	864.72	02/08/21	843.75	...	20.97	Sale ²⁶
FTAC OLYMPUS ACQUISITION CORP 1:1 EXC 6/28/21 70451X104 / CUSIP: G37288100 / Symbol:							
01/28/21	15.000	179.40	01/21/21	195.30	...	-15.90	Sale
ORIENTAL CULTURE HOLDING LTD COM / CUSIP: G6796W107 / Symbol: OCG							
01/05/21	25.000	129.75	12/30/20	128.75	...	1.00	Sale
SOCIAL CAP HEDOSOPHIA HLDGS CO 1:1 EXC 1/8/21 18914F103 / CUSIP: G8251K107 / Symbol: IPOC							
01/06/21	20.000	292.86	Various	222.50	...	70.36	Sale
THUNDER BRDG ACQUISITION II LT 1:1 EXC 6/11/21 45569U101 / CUSIP: G8857S116 / Symbol: THBR							
02/12/21	25.000	306.24	02/01/21	299.75	...	6.49	Sale ²⁶

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VG ACQUISITION CORP 1:1 EXC 6/17/21 90138Q108 / CUSIP: G9446E105 / Symbol: VGAC							
02/12/21	50.000	713.57	02/04/21	759.00	...	-45.43	Sale ²⁶
MEIWU TECHNOLOGY COMPANY COM / CUSIP: G9604C107 / Symbol: WNW							
02/12/21	53.000	1,202.07	Various	1,145.46	...	56.61	Total of 5 transactions
02/12/21	2.000	42.44	01/25/21	55.40	12.96 W	0.00	Sale ²⁶
	Security total:	1,244.51		1,200.86	12.96 W	56.61	
HYLIION HOLDINGS CORP HYLN Jan 08 2021 17.0 Call / CUSIP: / Symbol: HYLN 01/08/21 C 17.000							
01/08/21	1.000	39.34	01/07/21	38.66	...	0.68	Option sale
JUMIA TECHNOLOGIES AG JMIA Feb 19 2021 75.0 Call / CUSIP: / Symbol: JMIA 02/19/21 C 75.000							
02/12/21	2.000	98.87	02/10/21	351.13	...	-252.26	Option sale ²⁶
LI AUTO INC LI Feb 19 2021 32.5 Call / CUSIP: / Symbol: LI 02/19/21 C 32.500							
02/02/21	2.000	328.86	02/02/21	415.13	...	-86.27	Option sale
CYREN LTD 1:20 R/S 2/9/22 M26895132 / CUSIP: M26895108 / Symbol:							
01/25/21	200.000	250.35	01/13/21	234.00	...	16.35	Sale
SUPERCOM LTD NEW COM / CUSIP: M87095119 / Symbol: SPCB							
02/04/21	500.000	694.92	02/04/21	795.00	...	-100.08	Sale
CLOUDFLARE INC NET Feb 05 2021 90.0 Call / CUSIP: / Symbol: NET 02/05/21 C 90.000							
01/26/21	2.000	208.67	Various	406.32	...	-197.65	Option sale
NIO INC NIO Feb 12 2021 65.0 Call / CUSIP: / Symbol: NIO 02/12/21 C 65.000							
02/09/21	1.000	99.44	02/09/21	53.56	...	45.88	Option sale
02/12/21	1.000	0.00	02/10/21	109.56	...	-109.56	Option expiration
	Security total:	99.44		163.12	...	-63.68	
NOKIA OYJ NOK Feb 05 2021 4.5 Call / CUSIP: / Symbol: NOK 02/05/21 C 4.500							
01/22/21	4.000	49.33	01/19/21	46.66	...	2.67	Option sale
NOKIA OYJ NOK Mar 19 2021 7.0 Call / CUSIP: / Symbol: NOK 03/19/21 C 7.000							
01/27/21	2.000	373.67	Various	85.33	...	288.34	Total of 2 transactions
03/19/21	1.000	0.00	01/25/21	42.66	...	-42.66	Option expiration
	Security total:	373.67		127.99	...	245.68	

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NOKIA OYJ NOK Jun 18 2021 10.0 Call / CUSIP: / Symbol: NOK 06/18/21 C 10.000							
06/18/21	1.000	0.00	01/25/21	34.66	...	-34.66	Option expiration
PINDUODUO INC PDD Feb 26 2021 175.0 Call / CUSIP: / Symbol: PDD 02/26/21 C 175.000							
02/02/21	2.000	3,506.80	Various	2,477.33	...	1,029.47	Total of 2 transactions
PINDUODUO INC PDD Feb 26 2021 180.0 Call / CUSIP: / Symbol: PDD 02/26/21 C 180.000							
02/03/21	2.000	-938.50	Various	0.00	...	-938.50	Total of 2 transactions
PFIZER INC PFE Jan 15 2021 40.0 Call / CUSIP: / Symbol: PFE 01/15/21 C 40.000							
01/15/21	1.000	0.00	12/17/20	83.66	...	-83.66	Option expiration
PFIZER INC PFE Jan 15 2021 45.0 Call / CUSIP: / Symbol: PFE 01/15/21 C 45.000							
01/15/21	2.000	0.00	12/01/20	211.33	...	-211.33	Option expiration
PFIZER INC PFE Mar 19 2021 50.0 Call / CUSIP: / Symbol: PFE 03/19/21 C 50.000							
03/19/21	1.000	0.00	12/08/20	130.66	...	-130.66	Option expiration
PFIZER INC PFE Jun 18 2021 50.0 Call / CUSIP: / Symbol: PFE 06/18/21 C 50.000							
06/18/21	2.000	0.00	12/14/20	401.33	...	-401.33	Option expiration
PINTEREST INC PINS Mar 05 2021 100.0 Call / CUSIP: / Symbol: PINS 03/05/21 C 100.000							
02/12/21	1.000	124.44	02/08/21	70.56	...	53.88	Option sale ²⁶
PALANTIR TECH INC PLTR Feb 05 2021 32.0 Call / CUSIP: / Symbol: PLTR 02/05/21 C 32.000							
01/11/21	1.000	54.34	01/06/21	50.66	...	3.68	Option sale
01/13/21	1.000	84.34	01/11/21	75.66	...	8.68	Option sale
	Security total:	138.68		126.32	...	12.36	
PALANTIR TECH INC PLTR Jan 29 2021 26.0 Put / CUSIP: / Symbol: PLTR 01/29/21 P 26.000							
01/29/21	1.000	0.00	01/22/21	48.66	...	-48.66	Option expiration
NAKED BRAND GROUP LTD 1:15 R/S 12/22/21 Q6519V120 / CUSIP: Q6519T117 / Symbol:							
01/05/21	1,000.000	301.87	12/28/20	221.80	...	80.07	Sale
01/28/21	1,000.000	1,624.87	Various	532.70	...	1,092.17	Total of 4 transactions
01/29/21	400.000	728.37	01/28/21	607.56	...	120.81	Sale
02/12/21	300.000	389.95	02/05/21	380.67	...	9.28	Sale ²⁶
	Security total:	3,045.06		1,742.73	...	1,302.33	

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2021 1099-B* OMB No. 1545-0715

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02/17/2022

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QUANTUMSCAPE CORP QS Feb 19 2021 55.0 Call / CUSIP: / Symbol: QS 02/19/21 C 55.000							
02/12/21	2.000	751.86	Various	341.13	...	410.73	Total of 2 transactions
ROCKET COMPANIES INC RKT Jan 29 2021 25.0 Call / CUSIP: / Symbol: RKT 01/29/21 C 25.000							
01/27/21	1.000	61.34	01/25/21	30.66	...	30.68	Option sale
SNOWFLAKE INC SNOW Feb 05 2021 350.0 Call / CUSIP: / Symbol: SNOW 02/05/21 C 350.000							
02/02/21	1.000	149.44	01/26/21	210.66	...	-61.22	Option sale
SNOWFLAKE INC SNOW Jan 15 2021 250.0 Put / CUSIP: / Symbol: SNOW 01/15/21 P 250.000							
01/07/21	1.000	389.33	01/04/21	375.66	...	13.67	Option sale
VOLTA INC SNPR Apr 16 2021 15.0 Call / CUSIP: / Symbol: SNPR 04/16/21 C 15.000							
02/11/21	1.000	284.43	01/25/21	155.66	...	128.77	Option sale ²⁶
SPDR S&P500 ETF TRUST SPY Jan 08 2021 370.0 Put / CUSIP: / Symbol: SPY 01/08/21 P 370.000							
01/06/21	1.000	50.34	01/06/21	72.66	22.32 W	0.00	Option sale
01/08/21	1.000	0.00	01/07/21	38.98	...	-38.98	Option expiration
	Security total:	50.34		111.64	22.32 W	-38.98	
SPDR S&P500 ETF TRUST SPY Feb 10 2021 385.0 Put / CUSIP: / Symbol: SPY 02/10/21 P 385.000							
02/10/21	2.000	0.00	02/10/21	39.13	...	-39.13	Option expiration
SPDR S&P500 ETF TRUST SPY Feb 19 2021 300.0 Put / CUSIP: / Symbol: SPY 02/19/21 P 300.000							
01/20/21	1.000	40.34	01/11/21	69.66	...	-29.32	Option sale
SPDR S&P500 ETF TRUST SPY Mar 01 2021 382.0 Put / CUSIP: / Symbol: SPY 03/01/21 P 382.000							
02/10/21	1.000	364.43	02/10/21	351.56	...	12.87	Option sale ²⁶
SQUARE INC SQ Jan 22 2021 260.0 Call / CUSIP: / Symbol: SQ 01/22/21 C 260.000							
01/14/21	1.000	74.34	01/13/21	107.66	...	-33.32	Option sale
TILRAY INC TLRV Feb 12 2021 25.0 Call / CUSIP: / Symbol: TLRV 02/12/21 C 25.000							
02/01/21	1.000	74.34	01/27/21	120.66	...	-46.32	Option sale
TC ENERGY CORPORATION TRP Mar 19 2021 40.0 Put / CUSIP: / Symbol: TRP 03/19/21 P 40.000							
02/01/21	1.000	79.34	01/25/21	75.66	...	3.68	Option sale

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TESLA INC TSLA Feb 26 2021 1000.0 Call / CUSIP: / Symbol: TSLA 02/26/21 C 1000.000							
02/11/21	1.000	249.43	02/10/21	365.56	...	-116.13	Option sale ²⁶
VISA INC V Jan 15 2021 230.0 Call / CUSIP: / Symbol: V 01/15/21 C 230.000							
01/15/21	1.000	0.00	12/03/20	141.66	...	-141.66	Option expiration
WAYFAIR INC W Jan 08 2021 220.0 Put / CUSIP: / Symbol: W 01/08/21 P 220.000							
01/08/21	1.000	0.00	12/31/20	430.66	...	-430.66	Option expiration
EXXON MOBIL CORPORATION XOM Feb 12 2021 54.0 Call / CUSIP: / Symbol: XOM 02/12/21 C 54.000							
02/12/21	3.000	0.00	02/08/21	46.69	...	-46.69	Option expiration
XPENG INC XPEV Feb 19 2021 55.0 Call / CUSIP: / Symbol: XPEV 02/19/21 C 55.000							
02/09/21	2.000	118.87	02/08/21	161.13	...	-42.26	Option sale
XPENG INC XPEV Feb 19 2021 60.0 Call / CUSIP: / Symbol: XPEV 02/19/21 C 60.000							
02/12/21	2.000	30.87	02/09/21	119.13	...	-88.26	Option sale ²⁶
CASTOR MARITIME INC 1:10 R/S 5/28/21 Y1146L125 / CUSIP: Y1146L109 / Symbol:							
01/25/21	500.000	197.19	01/21/21	190.00	...	7.19	Sale
ZOOM VIDEO COMMUNICATIONS INC ZM Jan 08 2021 360.0 Call / CUSIP: / Symbol: ZM 01/08/21 C 360.000							
01/06/21	1.000	204.34	01/06/21	275.66	...	-71.32	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Jan 22 2021 450.0 Call / CUSIP: / Symbol: ZM 01/22/21 C 450.000							
01/14/21	1.000	281.33	01/13/21	125.66	...	155.67	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Feb 12 2021 500.0 Call / CUSIP: / Symbol: ZM 02/12/21 C 500.000							
02/09/21	1.000	149.44	02/09/21	100.56	...	48.88	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Feb 19 2021 500.0 Call / CUSIP: / Symbol: ZM 02/19/21 C 500.000							
01/26/21	1.000	181.34	01/26/21	200.66	...	-19.32	Option sale
Totals :		94,689.77		86,700.22	138.33 W	8,127.88	

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SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

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"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE NASSAU REDEMP @\$6.0406/SH 12/27/21 / CUSIP: 22539T282 / Symbol: REML							
02/09/21	150.000	883.48	02/01/21	795.00	...	88.48	Sale
PROSHARES TRUST II 1:10 R/S 5/26/21 74347Y839 / CUSIP: 74347W148 / Symbol:							
02/10/21	50.000	513.49	02/08/21	504.95	...	8.54	Sale ²⁶
Totals :		1,396.97		1,299.95		97.02	

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISHARES SILVER TRUST ISHARES ETF / CUSIP: 46428Q109 / Symbol: SLV / Note: CL							
02/28/21	0.000	0.25	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000397717
03/31/21	0.000	0.26	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000461133
04/30/21	0.000	0.24	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000393270
05/31/21	0.000	0.27	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000405766
06/30/21	0.000	0.26	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000433420
07/31/21	0.000	0.25	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000432626
08/31/21	0.000	0.24	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000427223
09/30/21	0.000	0.22	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000432880
10/31/21	0.000	0.23	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000414059
11/30/21	0.000	0.23	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000434447

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 279147834

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISHARES SILVER TRUST ISHARES ETF / CUSIP: 46428Q109 / Symbol: SLV / Note: CL (cont'd)							
12/31/21	0.000	0.22	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000424936
Security total:		2.67		
Totals :		2.67		

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 279147834

Detail for Interest Income

2021

02/17/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		02/26/21	0.05	Credit interest	
		03/31/21	0.17	Credit interest	
		04/30/21	0.16	Credit interest	
		05/28/21	0.16	Credit interest	
		06/30/21	0.17	Credit interest	
		07/30/21	0.17	Credit interest	
		08/31/21	0.16	Credit interest	
		09/30/21	0.14	Credit interest	
		10/29/21	0.13	Credit interest	
		11/30/21	0.10	Credit interest	
		12/31/21	0.11	Credit interest	
			1.52	Total Interest	
FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC	9ZZZFD104 MMDA1	01/31/21	0.01	Interest- money mkt	
		02/28/21	0.01	Interest- money mkt	
			0.02	Total Interest	
			1.54	Total Interest	

TD Ameritrade Clearing, Inc.

Account 279147834

Section 1256 Contracts - Regulated Futures and Nonequity Options

2021

02/17/2022

This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ISHARES SILVER TRUST SLV Jan 29 2021 25.0	SLV 01/29/21 C 25.000	02/01/21	2.00	33.34	Realized profit/loss	
ISHARES SILVER TRUST SLV Feb 05 2021 35.0	SLV 02/05/21 C 35.000	02/05/21	3.00	-146.99	Realized profit/loss	
PROSHARES TRUST II UVXY Feb 19 2021 10.0	UVXY 02/19/21 C 10.000	02/16/21	2.00	-14.26	Realized profit/loss	

-127.91 Total Realized profit/loss

-127.91 Total Aggregate profit or (loss) on contracts

TD Ameritrade Clearing, Inc.

Account 279147834

Fees and Expenses

2021

02/17/2022

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged		01/29/21	-11.83	Margin interest paid	
		02/26/21	-3.72	Margin interest paid	
			-15.55	Total Margin interest paid	
ISHARES SILVER TRUST ISHARES ETF	46428Q109 SLV	02/28/21	-0.25	Gross proceeds investment expense	15
	Note: CL	03/31/21	-0.26	Gross proceeds investment expense	15
		04/30/21	-0.24	Gross proceeds investment expense	15
		05/31/21	-0.27	Gross proceeds investment expense	15
		06/30/21	-0.26	Gross proceeds investment expense	15
		07/31/21	-0.25	Gross proceeds investment expense	15
		08/31/21	-0.24	Gross proceeds investment expense	15
		09/30/21	-0.22	Gross proceeds investment expense	15
		10/31/21	-0.23	Gross proceeds investment expense	15
		11/30/21	-0.23	Gross proceeds investment expense	15
		12/31/21	-0.22	Gross proceeds investment expense	15
			-2.67	Total Gross proceeds invstmnt exp	
NIO INC ADR	62914V106 NIO	06/09/21	-1.00	Depository receipt fee	
PURPLE BIOTECH LTD ADR SPONSORED	74638P109 PPBT	03/08/21	-2.00	Depository receipt fee	
			-3.00	Total Depository receipt fee	
			-15.55	Total Margin interest paid	
			-2.67	Total Gross proceeds invstmnt exp	

- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is $\$1,000 * 0.005$ or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- 26 Tax lot closed is a specified lot.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
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Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Instructions for Recipient

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)

Line 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

Line 9. Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2020.

Line 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2021 in 2022.

Line 11. Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.

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