

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 648019362</b>	Statement Date: 02/11/2022 Document ID: VR7U 846 FBP3	2021
	Jagadhish babu Dadi 3300 Capital Center Dr 159 Rancho Cordova, CA 95670  RECIPIENT'S TIN: XXX-XX-1901		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      4.85</p> <p>1b- Qualified dividends      4.85</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession: See detail      0.36</p> <p>7- Foreign tax paid:      0.36</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      150.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
---	--

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	324,040.92	313,854.98	0.00	9,972.59	20,158.53
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>324,040.92</b>	<b>313,854.98</b>	<b>0.00</b>	<b>9,972.59</b>	<b>20,158.53</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>324,040.92</b>	<b>313,854.98</b>	<b>0.00</b>	<b>9,972.59</b>	<b>20,158.53</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 648019362

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.71
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FE 01/21/2022 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	244.98	02/12/21	210.00	...	34.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	244.98	02/12/21	210.00	...	34.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/04/21	2.000	489.96	Various	420.00	...	69.96	Total of 2 transactions
FUBO 02/19/2021 CALL \$47.00 / CUSIP: / Symbol:							
2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	1.000	97.99	02/16/21	112.00	...	-14.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	97.99	02/16/21	95.00	...	2.99	2 of 2 - Option sale to close-call <sup>25</sup>
02/17/21	2.000	195.98	Various	207.00	...	-11.02	Total of 2 transactions
SNDL 02/26/2021 CALL \$1.50 / CUSIP: / Symbol:							
02/03/21	2.000	69.98	02/01/21	90.00	...	-20.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
X 03/05/2021 CALL \$17.50 / CUSIP: / Symbol:							
02/19/21	1.000	108.98	02/16/21	90.00	...	18.98	Option sale to close-call <sup>25</sup>
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
7 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.053	0.97	01/26/21	0.34	...	0.63	1 of 7 - Sale <sup>25</sup>
	0.162	2.95	01/26/21	0.94	...	2.01	2 of 7 - Sale <sup>25</sup>
	0.201	4.00	01/26/21	1.00	...	3.00	3 of 7 - Sale <sup>25</sup>
	44.947	824.86	01/26/21	284.06	...	540.80	4 of 7 - Sale <sup>25</sup>
	39.961	795.19	01/26/21	199.00	...	596.19	5 of 7 - Sale <sup>25</sup>
	59.838	1,190.75	01/26/21	346.46	...	844.29	6 of 7 - Sale <sup>25</sup>
	107.407	1,971.13	01/26/21	759.37	...	1,211.76	7 of 7 - Sale <sup>25</sup>
01/27/21	252.569	4,789.85	Various	1,591.17	...	3,198.68	Total of 7 transactions
01/28/21	142.593	1,142.12	01/26/21	1,008.13	...	133.99	Sale <sup>25</sup>
9 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	26.000	161.75	01/29/21	402.74	240.99 W	0.00	1 of 9 - Sale <sup>25</sup>
	34.000	211.51	01/29/21	526.66	315.15 W	0.00	2 of 9 - Sale <sup>25</sup>
	31.000	192.85	02/01/21	399.90	207.05 W	0.00	3 of 9 - Sale <sup>25</sup>
	9.000	55.99	02/02/21	69.66	13.67 W	0.00	4 of 9 - Sale <sup>25</sup>
	26.000	161.19	02/02/21	442.23	281.04 W	0.00	5 of 9 - Sale <sup>25</sup>
	26.000	161.19	02/02/21	442.23	281.04 W	0.00	6 of 9 - Sale <sup>25</sup>
	8.000	49.60	02/02/21	136.85	87.25 W	0.00	7 of 9 - Sale <sup>25</sup>
	31.000	192.19	02/02/21	450.03	257.84 W	0.00	8 of 9 - Sale <sup>25</sup>
	9.000	55.80	02/02/21	84.21	28.41 W	0.00	9 of 9 - Sale <sup>25</sup>
02/18/21	200.000	1,242.07	Various	2,954.51	1,712.44 W	0.00	Total of 9 transactions
15 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	19.641	137.48	02/09/21	296.22	...	-158.74	1 of 15 - Sale <sup>25</sup>
	14.000	98.00	02/09/21	246.01	...	-148.01	2 of 15 - Sale <sup>25</sup>
	11.924	83.47	02/09/21	209.58	...	-126.11	3 of 15 - Sale <sup>25</sup>
	11.250	78.75	02/09/21	183.17	...	-104.42	4 of 15 - Sale <sup>25</sup>
	8.000	56.00	02/09/21	150.96	...	-94.96	5 of 15 - Sale <sup>25</sup>
	8.026	56.18	02/09/21	151.09	...	-94.91	6 of 15 - Sale <sup>25</sup>
	3.076	21.53	02/09/21	52.20	...	-30.67	7 of 15 - Sale <sup>25</sup>
	9.000	63.00	02/09/21	89.31	...	-26.31	8 of 15 - Sale <sup>25</sup>
	2.076	14.53	02/09/21	36.46	...	-21.93	9 of 15 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.109	0.76	02/09/21	1.62	...	-0.86	10 of 15 - Sale <sup>25</sup>
	52.642	368.47	02/09/21	286.90	...	81.57	11 of 15 - Sale <sup>25</sup>
	0.899	6.36	02/11/21	15.66	...	-9.30	12 of 15 - Sale <sup>25</sup>
	0.765	5.42	02/11/21	13.31	...	-7.89	13 of 15 - Sale <sup>25</sup>
	0.336	2.38	02/11/21	5.84	...	-3.46	14 of 15 - Sale <sup>25</sup>
	97.358	681.48	02/11/21	547.15	...	134.33	15 of 15 - Sale <sup>25</sup>
02/22/21	239.102	1,673.81	Various	2,285.48	...	-611.67	Total of 15 transactions
	25 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	12.000	79.20	02/02/21	223.77	144.57 W	0.00	1 of 25 - Sale <sup>25</sup>
	8.000	52.80	02/04/21	154.76	101.96 W	0.00	2 of 25 - Sale <sup>25</sup>
	14.000	92.40	02/04/21	269.47	177.07 W	0.00	3 of 25 - Sale <sup>25</sup>
	26.000	171.59	02/04/21	500.45	328.86 W	0.00	4 of 25 - Sale <sup>25</sup>
	11.250	74.25	02/04/21	188.51	114.26 W	0.00	5 of 25 - Sale <sup>25</sup>
	0.109	0.72	02/04/21	1.68	0.96 W	0.00	6 of 25 - Sale <sup>25</sup>
	19.641	129.62	02/04/21	305.52	175.90 W	0.00	7 of 25 - Sale <sup>25</sup>
	9.000	59.39	02/04/21	93.55	34.16 W	0.00	8 of 25 - Sale <sup>25</sup>
	12.000	79.20	02/04/21	231.42	152.22 W	0.00	9 of 25 - Sale <sup>25</sup>
	14.000	92.40	02/04/21	278.39	185.99 W	0.00	10 of 25 - Sale <sup>25</sup>
	14.336	94.61	02/04/21	285.09	190.48 W	0.00	11 of 25 - Sale <sup>25</sup>
	8.000	52.80	02/04/21	160.28	107.48 W	0.00	12 of 25 - Sale <sup>25</sup>
	11.664	76.98	02/04/21	232.56	155.58 W	0.00	13 of 25 - Sale <sup>25</sup>
	0.109	0.72	02/04/21	1.75	1.03 W	0.00	14 of 25 - Sale <sup>25</sup>
	11.250	74.25	02/04/21	196.27	122.02 W	0.00	15 of 25 - Sale <sup>25</sup>
	19.641	129.62	02/04/21	319.08	189.46 W	0.00	16 of 25 - Sale <sup>25</sup>
	9.000	59.39	02/04/21	99.77	40.38 W	0.00	17 of 25 - Sale <sup>25</sup>
	8.924	58.90	02/04/21	178.27	119.37 W	0.00	18 of 25 - Sale <sup>25</sup>
	11.664	81.64	02/09/21	218.98	16.56 W	-120.78	19 of 25 - Sale <sup>25</sup>
	3.076	20.30	02/09/21	55.74	35.44 W	0.00	20 of 25 - Sale <sup>25</sup>
	11.924	78.70	02/09/21	223.29	144.59 W	0.00	21 of 25 - Sale <sup>25</sup>
	2.076	13.70	02/09/21	38.85	25.15 W	0.00	22 of 25 - Sale <sup>25</sup>
	14.000	92.40	02/09/21	262.11	169.71 W	0.00	23 of 25 - Sale <sup>25</sup>
	0.899	6.29	02/09/21	16.90	10.61 W	0.00	24 of 25 - Sale <sup>25</sup>
	0.336	2.35	02/09/21	6.30	3.95 W	0.00	25 of 25 - Sale <sup>25</sup>
02/22/21	252.899	1,674.22	Various	4,542.76	2,747.76 W	-120.78	Total of 25 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
02/23/21	0.642	4.81	02/11/21	11.16	...	-6.35	Sale <sup>25</sup>
	<b>Security total:</b>	<b>10,526.88</b>		<b>12,393.21</b>	<b>4,460.20 W</b>	<b>2,593.87</b>	
AT&T INC. / CUSIP: 00206R102 / Symbol:							
<i>2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.</i>							
	0.060	1.76	01/14/21	1.76	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.052	1.53	01/14/21	1.53	...	0.00	2 of 2 - Sale <sup>25</sup>
01/14/21	0.112	3.29	Various	3.29	...	0.00	Total of 2 transactions
01/14/21	33.948	994.30	01/14/21	996.71	2.41 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.</i>							
	0.831	24.19	01/14/21	24.38	0.19 W	0.00	1 of 3 - Sale <sup>25</sup>
	33.117	964.01	01/14/21	971.68	7.67 W	0.00	2 of 3 - Sale <sup>25</sup>
	13.883	404.12	01/14/21	406.35	2.23 W	0.00	3 of 3 - Sale <sup>25</sup>
01/15/21	47.831	1,392.32	Various	1,402.41	10.09 W	0.00	Total of 3 transactions
<i>4 transactions for 02/02/21. Total proceeds and cost reported to the IRS.</i>							
	0.457	13.05	01/19/21	13.48	0.43 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.005	0.13	01/19/21	0.15	0.02 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.369	10.53	01/19/21	10.88	0.35 W	0.00	3 of 4 - Sale <sup>25</sup>
	29.631	846.25	01/19/21	874.16	27.91 W	0.00	4 of 4 - Sale <sup>25</sup>
02/02/21	30.462	869.96	Various	898.67	28.71 W	0.00	Total of 4 transactions
<i>12 transactions for 02/11/21. Total proceeds and cost reported to the IRS.</i>							
	29.631	845.95	01/19/21	895.43	...	-49.48	1 of 12 - Sale <sup>25</sup>
	52.260	1,491.97	01/19/21	1,530.03	...	-38.06	2 of 12 - Sale <sup>25</sup>
	19.206	548.30	01/19/21	562.29	...	-13.99	3 of 12 - Sale <sup>25</sup>
	0.395	11.28	01/19/21	11.94	...	-0.66	4 of 12 - Sale <sup>25</sup>
	0.369	10.53	01/19/21	11.15	...	-0.62	5 of 12 - Sale <sup>25</sup>
	0.510	14.57	01/19/21	14.93	...	-0.36	6 of 12 - Sale <sup>25</sup>
	0.031	0.88	01/19/21	1.00	...	-0.12	7 of 12 - Sale <sup>25</sup>
	0.062	1.76	01/19/21	1.87	...	-0.11	8 of 12 - Sale <sup>25</sup>
	0.005	0.15	01/19/21	0.17	...	-0.02	9 of 12 - Sale <sup>25</sup>
	17.402	496.82	01/21/21	513.48	...	-16.66	10 of 12 - Sale <sup>25</sup>
	13.883	396.35	01/21/21	411.86	...	-15.51	11 of 12 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AT&T INC. / CUSIP: 00206R102 / Symbol: (cont'd)							
	3.455	98.64	01/21/21	102.74	...	-4.10	12 of 12 - Sale <sup>25</sup>
02/11/21	137.209	3,917.20	Various	4,056.89	...	-139.69	Total of 12 transactions
	4 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	20.498	585.21	01/19/21	599.99	14.04 W	-0.74	1 of 4 - Sale <sup>25</sup>
	0.031	0.81	01/19/21	0.91	0.10 W	0.00	2 of 4 - Sale <sup>25</sup>
	13.883	396.35	01/19/21	408.59	12.24 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.455	98.64	01/19/21	101.93	3.29 W	0.00	4 of 4 - Sale <sup>25</sup>
02/11/21	37.867	1,081.01	Various	1,111.42	29.67 W	-0.74	Total of 4 transactions
	2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	2.000	57.20	02/11/21	58.56	...	-1.36	1 of 2 - Sale <sup>25</sup>
	0.070	2.00	02/11/21	2.05	...	-0.05	2 of 2 - Sale <sup>25</sup>
02/12/21	2.070	59.20	Various	60.61	...	-1.41	Total of 2 transactions
	<b>Security total:</b>	<b>8,317.28</b>		<b>8,530.00</b>	<b>70.88 W</b>	<b>-141.84</b>	
ATA CREATIVITY GLOBAL AMERICAN DEPOSITARY SHARES / CUSIP: 00211V106 / Symbol:							
	3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	17.000	176.80	02/04/21	192.84	...	-16.04	1 of 3 - Sale <sup>25</sup>
	0.617	6.42	02/04/21	7.00	...	-0.58	2 of 3 - Sale <sup>25</sup>
	0.014	0.15	02/04/21	0.16	...	-0.01	3 of 3 - Sale <sup>25</sup>
02/04/21	17.631	183.37	Various	200.00	...	-16.63	Total of 3 transactions
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
	3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	1.889	871.24	01/13/21	895.47	...	-24.23	1 of 3 - Sale <sup>25</sup>
	0.110	50.62	01/13/21	53.41	...	-2.79	2 of 3 - Sale <sup>25</sup>
	0.001	0.49	01/13/21	0.50	...	-0.01	3 of 3 - Sale <sup>25</sup>
01/15/21	2.000	922.35	Various	949.38	...	-27.03	Total of 3 transactions
01/15/21	0.110	50.62	01/13/21	52.00	1.38 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>972.97</b>		<b>1,001.38</b>	<b>1.38 W</b>	<b>-27.03</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.730	66.34	11/09/20	60.85	...	5.49	1 of 3 - Sale <sup>25</sup>
	0.010	0.94	11/10/20	0.82	...	0.12	2 of 3 - Sale <sup>25</sup>
	12.614	1,146.49	11/10/20	999.18	...	147.31	3 of 3 - Sale <sup>25</sup>
01/06/21	13.355	1,213.77	Various	1,060.85	...	152.92	Total of 3 transactions
4 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	5.456	500.26	01/14/21	500.00	...	0.26	1 of 4 - Sale <sup>25</sup>
	0.002	0.21	01/15/21	0.20	...	0.01	2 of 4 - Sale <sup>25</sup>
	0.613	56.18	01/15/21	54.54	...	1.64	3 of 4 - Sale <sup>25</sup>
	5.000	458.48	01/15/21	445.23	...	13.25	4 of 4 - Sale <sup>25</sup>
01/21/21	11.070	1,015.13	Various	999.97	...	15.16	Total of 4 transactions
02/08/21	0.030	2.66	01/28/21	2.66	...	0.00	Sale <sup>25</sup>
6 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	10.668	941.53	01/28/21	944.33	2.80 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.001	0.06	01/28/21	0.09	0.03 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.033	2.87	01/28/21	2.88	0.01 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.299	26.43	01/28/21	26.50	0.07 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.001	0.03	01/28/21	0.06	0.03 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.299	26.37	01/28/21	26.52	0.15 W	0.00	6 of 6 - Sale <sup>25</sup>
02/08/21	11.300	997.29	Various	1,000.38	3.09 W	0.00	Total of 6 transactions
6 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	10.668	902.39	01/28/21	947.88	...	-45.49	1 of 6 - Sale <sup>25</sup>
	0.263	22.29	01/28/21	24.52	...	-2.23	2 of 6 - Sale <sup>25</sup>
	0.033	2.78	01/28/21	2.93	...	-0.15	3 of 6 - Sale <sup>25</sup>
	0.033	2.75	01/28/21	2.89	...	-0.14	4 of 6 - Sale <sup>25</sup>
	0.001	0.06	01/28/21	0.09	...	-0.03	5 of 6 - Sale <sup>25</sup>
	0.002	0.21	01/28/21	0.23	...	-0.02	6 of 6 - Sale <sup>25</sup>
02/26/21	11.000	930.48	Various	978.54	...	-48.06	Total of 6 transactions
2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	0.263	22.29	01/28/21	23.47	1.18 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.002	0.21	01/28/21	0.22	0.01 W	0.00	2 of 2 - Sale <sup>25</sup>
02/26/21	0.266	22.50	Various	23.69	1.19 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>4,181.83</b>		<b>4,066.09</b>	<b>4.28 W</b>	<b>120.02</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGENUS INC. COMMON STOCK / CUSIP: 00847G705 / Symbol:							
4 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	28.851	118.29	02/04/21	164.38	...	-46.09	1 of 4 - Sale <sup>25</sup>
	6.000	24.54	02/04/21	34.18	...	-9.64	2 of 4 - Sale <sup>25</sup>
	0.103	0.42	02/04/21	0.74	...	-0.32	3 of 4 - Sale <sup>25</sup>
	0.047	0.19	02/04/21	0.27	...	-0.08	4 of 4 - Sale <sup>25</sup>
02/26/21	35.000	143.44	Various	199.57	...	-56.13	Total of 4 transactions
02/26/21	0.103	0.43	02/04/21	0.58	0.15 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>143.87</b>		<b>200.15</b>	<b>0.15 W</b>	<b>-56.13</b>	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
7 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	0.000	0.06	01/11/21	0.06	...	0.00	1 of 7 - Sale <sup>25</sup>
	0.000	0.03	01/11/21	0.03	...	0.00	2 of 7 - Sale <sup>25</sup>
	0.175	41.61	01/11/21	40.26	...	1.35	3 of 7 - Sale <sup>25</sup>
	0.175	41.65	01/11/21	40.20	...	1.45	4 of 7 - Sale <sup>25</sup>
	0.361	85.68	01/11/21	82.69	...	2.99	5 of 7 - Sale <sup>25</sup>
	2.000	474.98	01/11/21	459.70	...	15.28	6 of 7 - Sale <sup>25</sup>
	3.825	908.34	01/11/21	877.04	...	31.30	7 of 7 - Sale <sup>25</sup>
01/13/21	6.536	1,552.35	Various	1,499.98	...	52.37	Total of 7 transactions
3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	0.238	58.13	01/13/21	56.64	...	1.49	1 of 3 - Sale <sup>25</sup>
	0.762	185.81	01/13/21	181.14	...	4.67	2 of 3 - Sale <sup>25</sup>
	4.238	1,033.71	01/13/21	1,000.00	...	33.71	3 of 3 - Sale <sup>25</sup>
01/15/21	5.238	1,277.65	Various	1,237.78	...	39.87	Total of 3 transactions
	<b>Security total:</b>	<b>2,830.00</b>		<b>2,737.76</b>	...	<b>92.24</b>	
THE ALKALINE WATER COMPANY INC . COMMON STOCK / CUSIP: 01643A207 / Symbol:							
02/26/21	200.000	235.98	02/09/21	297.00	...	-61.02	Sale <sup>25</sup>
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
01/06/21	0.634	1,094.79	10/26/20	1,000.00	...	94.79	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
01/06/21	0.339	1,069.45	12/15/20	1,068.81	...	0.64	Sale <sup>25</sup>
01/13/21	0.316	1,001.40	01/07/21	997.79	...	3.61	Sale <sup>25</sup>
03/23/21	0.295	921.03	02/02/21	1,000.00	...	-78.97	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,991.88</b>		<b>3,066.60</b>	...	<b>-74.72</b>	
AMERICAN ELECTRIC POWER COMPAN Y, INC. COMMON STOCK / CUSIP: 025537101 / Symbol:							
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	1.000	81.96	01/22/21	82.08	...	-0.12	1 of 3 - Sale <sup>25</sup>
	1.000	81.96	01/22/21	82.08	...	-0.12	2 of 3 - Sale <sup>25</sup>
	0.437	35.79	01/22/21	35.84	...	-0.05	3 of 3 - Sale <sup>25</sup>
01/28/21	2.437	199.71	Various	200.00	...	-0.29	Total of 3 transactions
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
3 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	0.132	1.32	01/12/21	1.21	...	0.11	1 of 3 - Sale <sup>25</sup>
	0.567	5.69	01/12/21	5.21	...	0.48	2 of 3 - Sale <sup>25</sup>
	80.868	809.48	01/12/21	743.58	...	65.90	3 of 3 - Sale <sup>25</sup>
01/13/21	81.567	816.49	Various	750.00	...	66.49	Total of 3 transactions
3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	0.048	0.60	01/13/21	0.49	...	0.11	1 of 3 - Sale <sup>25</sup>
	1.000	12.42	01/13/21	10.02	...	2.40	2 of 3 - Sale <sup>25</sup>
	48.900	607.31	01/13/21	499.51	...	107.80	3 of 3 - Sale <sup>25</sup>
01/15/21	49.948	620.33	Various	510.02	...	110.31	Total of 3 transactions
	<b>Security total:</b>	<b>1,436.82</b>		<b>1,260.02</b>	...	<b>176.80</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.029	3.65	10/26/20	3.30	...	0.35	1 of 3 - Sale <sup>25</sup>
	0.674	86.19	10/26/20	77.47	...	8.72	2 of 3 - Sale <sup>25</sup>
	8.000	1,022.65	10/26/20	919.25	...	103.40	3 of 3 - Sale <sup>25</sup>
01/06/21	8.703	1,112.49	Various	1,000.02	...	112.47	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	0.672	86.10	01/14/21	87.55	1.45 W	0.00	1 of 2 - Sale <sup>25</sup>
	7.000	897.24	01/14/21	912.45	15.21 W	0.00	2 of 2 - Sale <sup>25</sup>
01/15/21	7.672	983.34	Various	1,000.00	16.66 W	0.00	Total of 2 transactions
3 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	0.399	57.58	01/25/21	57.91	0.33 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.273	39.32	01/25/21	39.55	0.23 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.727	104.93	01/25/21	105.57	0.64 W	0.00	3 of 3 - Sale <sup>25</sup>
01/26/21	1.399	201.83	Various	203.03	1.20 W	0.00	Total of 3 transactions
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	0.247	30.45	01/27/21	34.61	...	-4.16	1 of 2 - Sale <sup>25</sup>
	0.222	27.42	01/27/21	30.87	...	-3.45	2 of 2 - Sale <sup>25</sup>
03/23/21	0.469	57.87	Various	65.48	...	-7.61	Total of 2 transactions
	<b>Security total:</b>	<b>2,355.53</b>		<b>2,268.53</b>	<b>17.86 W</b>	<b>104.86</b>	
ASLAN PHARMACEUTICALS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 04522R101 / Symbol:							
4 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	83.000	207.49	01/26/21	200.03	...	7.46	1 of 4 - Sale <sup>25</sup>
	171.000	427.48	01/26/21	412.11	...	15.37	2 of 4 - Sale <sup>25</sup>
	266.000	664.95	01/26/21	641.06	...	23.89	3 of 4 - Sale <sup>25</sup>
	480.000	1,199.91	01/26/21	1,156.80	...	43.11	4 of 4 - Sale <sup>25</sup>
01/26/21	1,000.000	2,499.83	Various	2,410.00	...	89.83	Total of 4 transactions
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	0.810	1.99	01/26/21	1.78	...	0.21	1 of 2 - Sale <sup>25</sup>
	200.803	497.97	01/26/21	439.94	...	58.03	2 of 2 - Sale <sup>25</sup>
02/01/21	201.614	499.96	Various	441.72	...	58.24	Total of 2 transactions
02/03/21	254.820	677.77	01/26/21	558.28	...	119.49	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,677.56</b>		<b>3,410.00</b>	<b>...</b>	<b>267.56</b>	
ASTRAZENECA PLC AMERICAN DEPOSITARY SHARES / CUSIP: 046353108 / Symbol:							
3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	18.153	930.22	11/19/20	976.75	...	-46.53	1 of 3 - Sale <sup>25</sup>
	0.432	22.14	01/08/21	22.87	...	-0.73	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol: (cont'd)							
	19.415	994.90	01/08/21	978.23	...	16.67	3 of 3 - Sale <sup>25</sup>
01/15/21	38.000	1,947.26	Various	1,977.85	...	-30.59	Total of 3 transactions
01/15/21	0.432	22.15	11/19/20	23.25	1.10 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,969.41</b>		<b>2,001.10</b>	<b>1.10 W</b>	<b>-30.59</b>	
ATLANTIC AMERICAN CORPORATION COMMON STOCK / CUSIP: 048209100 / Symbol:							
02/05/21	7.463	100.17	02/05/21	100.00	...	0.17	Sale <sup>25</sup>
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
3 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	0.021	0.24	01/07/21	0.21	...	0.03	1 of 3 - Sale <sup>25</sup>
	0.071	0.80	01/07/21	0.72	...	0.08	2 of 3 - Sale <sup>25</sup>
	48.979	553.19	01/07/21	499.07	...	54.12	3 of 3 - Sale <sup>25</sup>
01/13/21	49.071	554.23	Various	500.00	...	54.23	Total of 3 transactions
3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	0.023	0.28	01/13/21	0.26	...	0.02	1 of 3 - Sale <sup>25</sup>
	1.000	12.07	01/13/21	11.30	...	0.77	2 of 3 - Sale <sup>25</sup>
	43.840	529.13	01/13/21	499.74	...	29.39	3 of 3 - Sale <sup>25</sup>
01/15/21	44.863	541.48	Various	511.30	...	30.18	Total of 3 transactions
	<b>Security total:</b>	<b>1,095.71</b>		<b>1,011.30</b>	...	<b>84.41</b>	
AUTODESK, INC. COMMON STOCK / CUSIP: 052769106 / Symbol:							
01/15/21	3.000	934.97	01/08/21	949.40	...	-14.43	Sale <sup>25</sup>
AUTOWEB, INC. COMMON STOCK / CUSIP: 05335B100 / Symbol:							
01/15/21	177.942	473.31	01/13/21	500.00	...	-26.69	Sale <sup>25</sup>
BALLARD POWER SYSTEMS, INC. CO MMON SHARES / CUSIP: 058586108 / Symbol:							
5 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	0.138	4.70	01/12/21	4.27	...	0.43	1 of 5 - Sale <sup>25</sup>
	0.193	6.58	01/12/21	5.97	...	0.61	2 of 5 - Sale <sup>25</sup>
	0.944	32.14	01/12/21	29.60	...	2.54	3 of 5 - Sale <sup>25</sup>
	14.990	510.49	01/12/21	470.40	...	40.09	4 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BALLARD POWER SYSTEMS, INC. CO MMON SHARES / CUSIP: 058586108 / Symbol: (cont'd)							
	31.928	1,087.27	01/12/21	989.76	...	97.51	5 of 5 - Sale <sup>25</sup>
01/12/21	48.193	1,641.18	Various	1,500.00	...	141.18	Total of 5 transactions
	2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	1.000	31.02	01/12/21	34.05	...	-3.03	1 of 2 - Sale <sup>25</sup>
	13.717	425.51	01/13/21	500.00	...	-74.49	2 of 2 - Sale <sup>25</sup>
01/15/21	14.717	456.53	Various	534.05	...	-77.52	Total of 2 transactions
	<b>Security total:</b>	<b>2,097.71</b>		<b>2,034.05</b>	...	<b>63.66</b>	
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
	3 transactions for 02/26/21. Total proceeds and cost reported to the IRS.						
	15.748	414.33	02/01/21	484.87	...	-70.54	1 of 3 - Sale <sup>25</sup>
	0.200	5.26	02/01/21	7.04	...	-1.78	2 of 3 - Sale <sup>25</sup>
	0.039	1.04	02/01/21	1.39	...	-0.35	3 of 3 - Sale <sup>25</sup>
02/26/21	15.987	420.63	Various	493.30	...	-72.67	Total of 3 transactions
	2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.						
	0.052	1.37	02/01/21	1.61	0.18 W	-0.06	1 of 2 - Sale <sup>25</sup>
	0.200	5.27	02/01/21	6.15	0.88 W	0.00	2 of 2 - Sale <sup>25</sup>
02/26/21	0.252	6.64	Various	7.76	1.06 W	-0.06	Total of 2 transactions
	<b>Security total:</b>	<b>427.27</b>		<b>501.06</b>	<b>1.06 W</b>	<b>-72.73</b>	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
	2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.						
	0.493	2.40	01/07/21	2.36	...	0.04	1 of 2 - Sale <sup>25</sup>
01/07/21	103.915	506.06	01/07/21	497.64	...	8.42	2 of 2 - Sale <sup>25</sup>
	104.409	508.46	Various	500.00	...	8.46	Total of 2 transactions
	6 transactions for 01/14/21. Total proceeds and cost reported to the IRS.						
	84.930	546.07	01/11/21	500.00	...	46.07	1 of 6 - Sale <sup>25</sup>
	0.271	1.96	01/14/21	1.88	...	0.08	2 of 6 - Sale <sup>25</sup>
	0.187	1.36	01/14/21	1.20	...	0.16	3 of 6 - Sale <sup>25</sup>
	0.813	5.91	01/14/21	5.23	...	0.68	4 of 6 - Sale <sup>25</sup>
	71.891	519.92	01/14/21	498.12	...	21.80	5 of 6 - Sale <sup>25</sup>
	60.651	438.62	01/14/21	413.00	...	25.62	6 of 6 - Sale <sup>25</sup>
01/14/21	218.743	1,513.84	Various	1,419.43	...	94.41	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
5 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	1.000	6.60	01/14/21	7.33	0.73 W	0.00	1 of 5 - Sale <sup>25</sup>
	137.363	907.24	01/14/21	1,000.00	92.76 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.225	1.49	01/14/21	1.58	0.09 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.101	0.67	01/14/21	0.71	0.04 W	0.00	4 of 5 - Sale <sup>25</sup>
	71.000	468.94	01/14/21	497.71	28.77 W	0.00	5 of 5 - Sale <sup>25</sup>
01/14/21	209.689	1,384.94	Various	1,507.33	122.39 W	0.00	Total of 5 transactions
16 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	0.076	0.63	01/15/21	0.63	...	0.00	1 of 16 - Sale <sup>25</sup>
	0.124	1.08	01/15/21	1.08	...	0.00	2 of 16 - Sale <sup>25</sup>
	0.165	1.43	01/15/21	1.43	...	0.00	3 of 16 - Sale <sup>25</sup>
	0.136	1.18	01/15/21	1.18	...	0.00	4 of 16 - Sale <sup>25</sup>
	0.076	0.68	01/15/21	0.68	...	0.00	5 of 16 - Sale <sup>25</sup>
	0.400	3.59	01/15/21	3.59	...	0.00	6 of 16 - Sale <sup>25</sup>
	0.502	4.50	01/15/21	4.50	...	0.00	7 of 16 - Sale <sup>25</sup>
	0.712	6.18	01/15/21	6.17	...	0.01	8 of 16 - Sale <sup>25</sup>
	0.151	1.36	01/15/21	1.35	...	0.01	9 of 16 - Sale <sup>25</sup>
	0.185	1.61	01/15/21	1.55	...	0.06	10 of 16 - Sale <sup>25</sup>
	36.219	315.83	01/15/21	314.41	...	1.42	11 of 16 - Sale <sup>25</sup>
	100.000	885.02	01/15/21	874.00	...	11.02	12 of 16 - Sale <sup>25</sup>
	982.981	8,541.80	01/15/21	8,502.67	...	39.13	13 of 16 - Sale <sup>25</sup>
	949.197	8,514.09	01/15/21	8,465.69	...	48.40	14 of 16 - Sale <sup>25</sup>
	469.948	4,159.13	01/15/21	4,107.34	...	51.79	15 of 16 - Sale <sup>25</sup>
	1,181.864	10,270.03	01/15/21	9,998.45	...	271.58	16 of 16 - Sale <sup>25</sup>
01/15/21	3,722.736	32,708.14	Various	32,284.72	...	423.42	Total of 16 transactions
42 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	0.165	1.36	01/15/21	1.38	0.02 W	0.00	1 of 42 - Sale <sup>25</sup>
	0.400	3.30	01/15/21	3.34	0.04 W	0.00	2 of 42 - Sale <sup>25</sup>
	0.230	1.90	01/15/21	1.92	0.02 W	0.00	3 of 42 - Sale <sup>25</sup>
	0.124	1.02	01/15/21	1.04	0.02 W	0.00	4 of 42 - Sale <sup>25</sup>
	0.712	5.87	01/15/21	5.98	0.11 W	0.00	5 of 42 - Sale <sup>25</sup>
	128.165	1,057.95	01/15/21	1,070.22	12.27 W	0.00	6 of 42 - Sale <sup>25</sup>
	0.418	3.45	01/15/21	3.49	0.04 W	0.00	7 of 42 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
	0.101	0.88	01/15/21	0.90	0.02 W	0.00	8 of 42 - Sale <sup>25</sup>
	0.225	1.96	01/15/21	2.00	0.04 W	0.00	9 of 42 - Sale <sup>25</sup>
	4.316	37.63	01/15/21	38.41	0.78 W	0.00	10 of 42 - Sale <sup>25</sup>
	8.074	70.41	01/15/21	74.04	3.63 W	0.00	11 of 42 - Sale <sup>25</sup>
	0.712	6.21	01/15/21	6.22	0.01 W	0.00	12 of 42 - Sale <sup>25</sup>
	66.684	581.47	01/15/21	599.48	18.01 W	0.00	13 of 42 - Sale <sup>25</sup>
	0.957	8.32	01/15/21	8.34	0.02 W	0.00	14 of 42 - Sale <sup>25</sup>
	0.101	0.88	01/15/21	0.90	0.02 W	0.00	15 of 42 - Sale <sup>25</sup>
	0.225	1.96	01/15/21	1.99	0.03 W	0.00	16 of 42 - Sale <sup>25</sup>
	0.230	1.99	01/15/21	2.01	0.02 W	0.00	17 of 42 - Sale <sup>25</sup>
	0.400	3.48	01/15/21	3.50	0.02 W	0.00	18 of 42 - Sale <sup>25</sup>
	0.418	3.63	01/15/21	3.66	0.03 W	0.00	19 of 42 - Sale <sup>25</sup>
	4.316	37.50	01/15/21	38.11	0.61 W	0.00	20 of 42 - Sale <sup>25</sup>
	8.074	70.16	01/15/21	73.47	3.31 W	0.00	21 of 42 - Sale <sup>25</sup>
	66.684	579.47	01/15/21	594.82	15.35 W	0.00	22 of 42 - Sale <sup>25</sup>
	90.853	789.48	01/15/21	794.57	5.09 W	0.00	23 of 42 - Sale <sup>25</sup>
	0.101	0.91	01/15/21	0.92	0.01 W	0.00	24 of 42 - Sale <sup>25</sup>
	0.225	2.02	01/15/21	2.04	0.02 W	0.00	25 of 42 - Sale <sup>25</sup>
	0.230	2.06	01/15/21	2.07	0.01 W	0.00	26 of 42 - Sale <sup>25</sup>
	0.418	3.75	01/15/21	3.76	0.01 W	0.00	27 of 42 - Sale <sup>25</sup>
	4.316	38.71	01/15/21	39.10	0.39 W	0.00	28 of 42 - Sale <sup>25</sup>
	8.074	72.42	01/15/21	75.32	2.90 W	0.00	29 of 42 - Sale <sup>25</sup>
	66.684	598.14	01/15/21	610.09	11.95 W	0.00	30 of 42 - Sale <sup>25</sup>
	90.853	814.93	01/15/21	815.39	0.46 W	0.00	31 of 42 - Sale <sup>25</sup>
	0.227	2.01	01/15/21	2.02	0.01 W	0.00	32 of 42 - Sale <sup>25</sup>
	0.645	5.70	01/15/21	5.73	0.03 W	0.00	33 of 42 - Sale <sup>25</sup>
	0.101	0.90	01/15/21	0.91	0.01 W	0.00	34 of 42 - Sale <sup>25</sup>
	0.225	1.99	01/15/21	2.02	0.03 W	0.00	35 of 42 - Sale <sup>25</sup>
	0.230	2.03	01/15/21	2.05	0.02 W	0.00	36 of 42 - Sale <sup>25</sup>
	0.418	3.70	01/15/21	3.73	0.03 W	0.00	37 of 42 - Sale <sup>25</sup>
	4.316	38.19	01/15/21	38.76	0.57 W	0.00	38 of 42 - Sale <sup>25</sup>
	8.074	71.46	01/15/21	74.68	3.22 W	0.00	39 of 42 - Sale <sup>25</sup>
	66.684	590.17	01/15/21	604.77	14.60 W	0.00	40 of 42 - Sale <sup>25</sup>
	88.882	786.62	01/15/21	790.61	3.99 W	0.00	41 of 42 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
01/15/21	955.069	8,452.54	01/15/21	8,490.47	37.93 W	0.00	42 of 42 - Sale <sup>25</sup>
	1,678.355	14,758.53	Various	14,894.23	135.70 W	0.00	Total of 42 transactions
	27 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	0.029	0.27	01/15/21	0.26	...	0.01	1 of 27 - Sale <sup>25</sup>
	0.101	0.95	01/15/21	0.90	...	0.05	2 of 27 - Sale <sup>25</sup>
	0.225	2.11	01/15/21	2.00	...	0.11	3 of 27 - Sale <sup>25</sup>
	0.198	1.86	01/15/21	1.73	...	0.13	4 of 27 - Sale <sup>25</sup>
	0.230	2.16	01/15/21	2.03	...	0.13	5 of 27 - Sale <sup>25</sup>
	0.163	1.51	01/15/21	1.38	...	0.13	6 of 27 - Sale <sup>25</sup>
	0.418	3.93	01/15/21	3.69	...	0.24	7 of 27 - Sale <sup>25</sup>
	0.645	6.06	01/15/21	5.66	...	0.40	8 of 27 - Sale <sup>25</sup>
	2.263	20.96	01/15/21	19.19	...	1.77	9 of 27 - Sale <sup>25</sup>
	8.074	75.87	01/15/21	73.79	...	2.08	10 of 27 - Sale <sup>25</sup>
	4.316	40.55	01/15/21	38.29	...	2.26	11 of 27 - Sale <sup>25</sup>
	3.918	36.80	01/15/21	34.40	...	2.40	12 of 27 - Sale <sup>25</sup>
	18.643	174.13	01/15/21	159.03	...	15.10	13 of 27 - Sale <sup>25</sup>
	31.357	292.86	01/15/21	268.72	...	24.14	14 of 27 - Sale <sup>25</sup>
	66.684	626.58	01/15/21	597.42	...	29.16	15 of 27 - Sale <sup>25</sup>
	50.655	473.10	01/15/21	434.09	...	39.01	16 of 27 - Sale <sup>25</sup>
	42.082	411.97	01/15/21	369.47	...	42.50	17 of 27 - Sale <sup>25</sup>
	59.357	549.63	01/15/21	506.31	...	43.32	18 of 27 - Sale <sup>25</sup>
	88.882	835.15	01/15/21	780.82	...	54.33	19 of 27 - Sale <sup>25</sup>
	103.082	968.38	01/15/21	905.03	...	63.35	20 of 27 - Sale <sup>25</sup>
	94.333	873.50	01/15/21	804.66	...	68.84	21 of 27 - Sale <sup>25</sup>
	98.725	966.48	01/15/21	846.05	...	120.43	22 of 27 - Sale <sup>25</sup>
	255.333	2,399.15	01/15/21	2,241.74	...	157.41	23 of 27 - Sale <sup>25</sup>
	220.000	2,039.34	01/15/21	1,865.31	...	174.03	24 of 27 - Sale <sup>25</sup>
	395.884	3,665.79	01/15/21	3,356.58	...	309.21	25 of 27 - Sale <sup>25</sup>
	449.345	4,196.78	01/15/21	3,832.92	...	363.86	26 of 27 - Sale <sup>25</sup>
	369.918	3,621.37	01/15/21	3,170.09	...	451.28	27 of 27 - Sale <sup>25</sup>
01/19/21	2,364.860	22,287.24	Various	20,321.56	...	1,965.68	Total of 27 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	300.000	2,537.90	01/15/21	2,543.61	5.71 W	0.00	1 of 2 - Sale <sup>25</sup>
	230.000	1,920.44	01/15/21	1,950.11	29.67 W	0.00	2 of 2 - Sale <sup>25</sup>
01/21/21	530.000	4,458.34	Various	4,493.72	35.38 W	0.00	Total of 2 transactions
15 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	0.067	0.63	01/15/21	0.57	...	0.06	1 of 15 - Sale <sup>25</sup>
	31.049	291.69	01/15/21	263.25	...	28.44	2 of 15 - Sale <sup>25</sup>
	0.149	1.40	01/19/21	1.37	...	0.03	3 of 15 - Sale <sup>25</sup>
	0.403	3.89	01/19/21	3.72	...	0.17	4 of 15 - Sale <sup>25</sup>
	0.912	8.57	01/19/21	8.31	...	0.26	5 of 15 - Sale <sup>25</sup>
	1.306	12.15	01/19/21	11.89	...	0.26	6 of 15 - Sale <sup>25</sup>
	20.210	195.32	01/19/21	183.70	...	11.62	7 of 15 - Sale <sup>25</sup>
	28.191	272.45	01/19/21	259.89	...	12.56	8 of 15 - Sale <sup>25</sup>
	27.684	267.46	01/19/21	250.76	...	16.70	9 of 15 - Sale <sup>25</sup>
	64.891	609.62	01/19/21	591.08	...	18.54	10 of 15 - Sale <sup>25</sup>
	100.000	939.46	01/19/21	910.90	...	28.56	11 of 15 - Sale <sup>25</sup>
	54.694	528.60	01/19/21	495.41	...	33.19	12 of 15 - Sale <sup>25</sup>
	201.257	1,889.75	01/19/21	1,855.37	...	34.38	13 of 15 - Sale <sup>25</sup>
	132.743	1,246.43	01/19/21	1,209.15	...	37.28	14 of 15 - Sale <sup>25</sup>
	386.316	3,732.10	01/19/21	3,499.17	...	232.93	15 of 15 - Sale <sup>25</sup>
01/22/21	1,049.871	9,999.52	Various	9,544.54	...	454.98	Total of 15 transactions
5 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.121	1.43	01/19/21	1.10	...	0.33	1 of 5 - Sale <sup>25</sup>
	0.297	3.52	01/19/21	2.69	...	0.83	2 of 5 - Sale <sup>25</sup>
	83.018	982.91	01/19/21	751.97	...	230.94	3 of 5 - Sale <sup>25</sup>
	119.198	1,411.26	01/19/21	1,054.90	...	356.36	4 of 5 - Sale <sup>25</sup>
	219.660	2,600.71	01/19/21	1,998.90	...	601.81	5 of 5 - Sale <sup>25</sup>
01/27/21	422.294	4,999.83	Various	3,809.56	...	1,190.27	Total of 5 transactions
3 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	69.198	878.78	01/19/21	570.88	...	307.90	1 of 3 - Sale <sup>25</sup>
	130.802	1,661.14	01/19/21	1,157.60	...	503.54	2 of 3 - Sale <sup>25</sup>
	300.000	3,809.87	01/19/21	2,640.00	...	1,169.87	3 of 3 - Sale <sup>25</sup>
02/02/21	500.000	6,349.79	Various	4,368.48	...	1,981.31	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	0.205	2.42	01/19/21	1.69	...	0.73	1 of 3 - Sale <sup>25</sup>
	0.785	9.16	01/19/21	6.47	...	2.69	2 of 3 - Sale <sup>25</sup>
	169.000	1,988.28	01/19/21	1,394.25	...	594.03	3 of 3 - Sale <sup>25</sup>
02/08/21	169.989	1,999.86	Various	1,402.41	...	597.45	Total of 3 transactions
4 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	0.046	0.57	01/19/21	0.38	...	0.19	1 of 4 - Sale <sup>25</sup>
	0.558	6.92	01/19/21	4.60	...	2.32	2 of 4 - Sale <sup>25</sup>
	60.210	754.47	01/19/21	496.73	...	257.74	3 of 4 - Sale <sup>25</sup>
	98.790	1,237.90	01/21/21	816.01	...	421.89	4 of 4 - Sale <sup>25</sup>
02/09/21	159.604	1,999.86	Various	1,317.72	...	682.14	Total of 4 transactions
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	131.210	1,579.23	01/21/21	1,083.79	...	495.44	1 of 2 - Sale <sup>25</sup>
	118.790	1,429.75	01/22/21	1,115.07	...	314.68	2 of 2 - Sale <sup>25</sup>
02/11/21	250.000	3,008.98	Various	2,198.86	...	810.12	Total of 2 transactions
17 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	0.209	2.50	01/22/21	1.96	...	0.54	1 of 17 - Sale <sup>25</sup>
	163.971	1,980.88	01/22/21	1,539.18	...	441.70	2 of 17 - Sale <sup>25</sup>
	249.688	2,997.40	01/22/21	2,343.79	...	653.61	3 of 17 - Sale <sup>25</sup>
	0.071	0.83	02/10/21	0.82	...	0.01	4 of 17 - Sale <sup>25</sup>
	0.085	1.03	02/10/21	0.97	...	0.06	5 of 17 - Sale <sup>25</sup>
	0.298	3.76	02/10/21	3.41	...	0.35	6 of 17 - Sale <sup>25</sup>
	1.577	19.05	02/10/21	18.14	...	0.91	7 of 17 - Sale <sup>25</sup>
	7.858	99.04	02/10/21	90.36	...	8.68	8 of 17 - Sale <sup>25</sup>
	86.887	1,095.10	02/10/21	996.59	...	98.51	9 of 17 - Sale <sup>25</sup>
	164.322	1,998.91	02/10/21	1,889.71	...	109.20	10 of 17 - Sale <sup>25</sup>
	0.210	2.65	02/11/21	2.41	...	0.24	11 of 17 - Sale <sup>25</sup>
	0.470	5.85	02/11/21	5.38	...	0.47	12 of 17 - Sale <sup>25</sup>
	0.821	10.20	02/11/21	9.40	...	0.80	13 of 17 - Sale <sup>25</sup>
	36.179	449.36	02/11/21	414.21	...	35.15	14 of 17 - Sale <sup>25</sup>
	61.000	757.66	02/11/21	698.39	...	59.27	15 of 17 - Sale <sup>25</sup>
	63.352	798.48	02/11/21	725.31	...	73.17	16 of 17 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
	100.000	1,242.06	02/11/21	1,144.90	...	97.16	17 of 17 - Sale <sup>25</sup>
02/12/21	936.996	11,464.76	Various	9,884.93	...	1,579.83	Total of 17 transactions
	2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.						
	0.338	3.00	02/22/21	4.00	1.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.176	1.56	02/22/21	2.08	0.52 W	0.00	2 of 2 - Sale <sup>25</sup>
03/23/21	0.514	4.56	Various	6.08	1.52 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>117,446.65</b>		<b>107,953.57</b>	<b>294.99 W</b>	<b>9,788.07</b>	
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
	3 transactions for 02/26/21. Total proceeds and cost reported to the IRS.						
	26.000	271.51	01/29/21	463.84	...	-192.33	1 of 3 - Sale <sup>25</sup>
	79.584	831.07	02/24/21	986.04	...	-154.97	2 of 3 - Sale <sup>25</sup>
	0.416	4.36	02/24/21	12.11	...	-7.75	3 of 3 - Sale <sup>25</sup>
02/26/21	106.000	1,106.94	Various	1,461.99	...	-355.05	Total of 3 transactions
02/26/21	18.416	192.31	01/27/21	500.00	6.95 W	-300.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,299.25</b>		<b>1,961.99</b>	<b>6.95 W</b>	<b>-655.79</b>	
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
	4 transactions for 01/12/21. Total proceeds and cost reported to the IRS.						
	0.018	0.95	01/12/21	0.90	...	0.05	1 of 4 - Sale <sup>25</sup>
	0.344	17.82	01/12/21	16.90	...	0.92	2 of 4 - Sale <sup>25</sup>
	5.000	259.15	01/12/21	245.55	...	13.60	3 of 4 - Sale <sup>25</sup>
	15.000	777.45	01/12/21	736.65	...	40.80	4 of 4 - Sale <sup>25</sup>
01/12/21	20.362	1,055.37	Various	1,000.00	...	55.37	Total of 4 transactions
	3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	1.000	47.75	01/12/21	51.12	3.37 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	477.48	01/13/21	510.00	32.52 W	0.00	2 of 3 - Sale <sup>25</sup>
	9.899	472.67	01/13/21	500.00	27.33 W	0.00	3 of 3 - Sale <sup>25</sup>
01/15/21	20.899	997.90	Various	1,061.12	63.22 W	0.00	Total of 3 transactions
	2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.						
	0.914	36.15	01/27/21	54.22	...	-18.07	1 of 2 - Sale <sup>25</sup>
	0.018	0.71	01/27/21	1.06	...	-0.35	2 of 2 - Sale <sup>25</sup>
03/23/21	0.932	36.86	Various	55.28	...	-18.42	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
<b>Security total:</b>		<b>2,090.13</b>		<b>2,116.40</b>		<b>63.22 W</b>	<b>36.95</b>
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	4.000	823.12	11/24/20	873.44	...	-50.32	1 of 3 - Sale <sup>25</sup>
	0.579	119.18	11/24/20	126.48	...	-7.30	2 of 3 - Sale <sup>25</sup>
	0.000	0.08	11/24/20	0.08	...	0.00	3 of 3 - Sale <sup>25</sup>
01/15/21	4.580	942.38	Various	1,000.00	...	-57.62	Total of 3 transactions
CVS HEALTH CORPORATION / CUSIP: 126650100 / Symbol:							
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.000	0.02	12/18/20	0.02	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.234	16.93	12/18/20	16.17	...	0.76	2 of 3 - Sale <sup>25</sup>
	7.000	506.52	12/18/20	483.81	...	22.71	3 of 3 - Sale <sup>25</sup>
01/06/21	7.234	523.47	Various	500.00	...	23.47	Total of 3 transactions
CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
4 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	0.928	15.63	01/13/21	18.54	...	-2.91	1 of 4 - Sale <sup>25</sup>
	25.915	436.57	01/14/21	496.99	...	-60.42	2 of 4 - Sale <sup>25</sup>
	0.072	1.22	01/14/21	1.61	...	-0.39	3 of 4 - Sale <sup>25</sup>
	0.085	1.43	01/14/21	1.63	...	-0.20	4 of 4 - Sale <sup>25</sup>
01/15/21	27.000	454.85	Various	518.77	...	-63.92	Total of 4 transactions
01/15/21	0.072	1.22	01/13/21	1.45	0.23 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>456.07</b>		<b>520.22</b>	<b>0.23 W</b>	<b>-63.92</b>	
CELSION CORPORATION COMMON STO CK / CUSIP: 15117N503 / Symbol:							
3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	0.482	1.05	01/21/21	0.86	...	0.19	1 of 3 - Sale <sup>25</sup>
	2.294	5.01	01/21/21	4.13	...	0.88	2 of 3 - Sale <sup>25</sup>
	275.000	600.03	01/21/21	495.00	...	105.03	3 of 3 - Sale <sup>25</sup>
02/08/21	277.777	606.09	Various	499.99	...	106.10	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHINA PHARMA HOLDINGS, INC. / CUSIP: 16941T104 / Symbol:							
02/08/21	216.000	184.69	02/04/21	189.86	...	-5.17	Sale <sup>25</sup>
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
<i>5 transactions for 02/03/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	292.79	01/25/21	263.40	...	29.39	1 of 5 - Sale <sup>25</sup>
	0.011	0.31	02/01/21	0.27	...	0.04	2 of 5 - Sale <sup>25</sup>
	17.699	518.24	02/01/21	446.73	...	71.51	3 of 5 - Sale <sup>25</sup>
	16.587	491.63	02/03/21	499.62	...	-7.99	4 of 5 - Sale <sup>25</sup>
	0.013	0.37	02/03/21	0.38	...	-0.01	5 of 5 - Sale <sup>25</sup>
02/03/21	44.309	1,303.34	Various	1,210.40	...	92.94	Total of 5 transactions
CISCO SYSTEMS, INC. COMMON STO CK (DE) / CUSIP: 17275R102 / Symbol:							
<i>3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.</i>							
	10.994	495.78	01/14/21	497.37	...	-1.59	1 of 3 - Sale <sup>25</sup>
	0.006	0.27	01/14/21	0.27	...	0.00	2 of 3 - Sale <sup>25</sup>
	0.052	2.36	01/14/21	2.35	...	0.01	3 of 3 - Sale <sup>25</sup>
01/15/21	11.052	498.41	Various	499.99	...	-1.58	Total of 3 transactions
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol:							
<i>4 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>							
	182.017	240.59	02/03/21	269.10	...	-28.51	1 of 4 - Sale <sup>25</sup>
	1.853	2.45	02/03/21	3.04	...	-0.59	2 of 4 - Sale <sup>25</sup>
	2.864	3.79	02/03/21	4.23	...	-0.44	3 of 4 - Sale <sup>25</sup>
	0.266	0.35	02/03/21	0.43	...	-0.08	4 of 4 - Sale <sup>25</sup>
02/04/21	187.000	247.18	Various	276.80	...	-29.62	Total of 4 transactions
<i>2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>							
	0.266	0.35	02/03/21	0.39	0.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.853	2.43	02/03/21	2.73	0.30 W	0.00	2 of 2 - Sale <sup>25</sup>
02/04/21	2.119	2.78	Various	3.12	0.34 W	0.00	Total of 2 transactions
02/16/21	149.096	230.33	02/03/21	220.42	...	9.91	Sale <sup>25</sup>
	<b>Security total:</b>	<b>480.29</b>		<b>500.34</b>	<b>0.34 W</b>	<b>-19.71</b>	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
03/23/21	0.741	6.37	02/05/21	9.59	3.22 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COHBAR, INC. COMMON STOCK / CUSIP: 19249J109 / Symbol:							
3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	0.115	0.22	02/04/21	0.21	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.286	0.55	02/04/21	0.53	...	0.02	2 of 3 - Sale <sup>25</sup>
	107.714	208.96	02/04/21	199.26	...	9.70	3 of 3 - Sale <sup>25</sup>
02/04/21	108.115	209.73	Various	200.00	...	9.73	Total of 3 transactions
COSTCO WHOLESALE CORPORATION C OMMON STOCK / CUSIP: 22160K105 / Symbol:							
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.002	0.79	12/10/20	0.79	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.681	254.36	12/10/20	253.87	...	0.49	2 of 3 - Sale <sup>25</sup>
	2.000	746.26	12/10/20	745.34	...	0.92	3 of 3 - Sale <sup>25</sup>
01/06/21	2.683	1,001.41	Various	1,000.00	...	1.41	Total of 3 transactions
2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	0.767	281.62	01/12/21	277.28	...	4.34	1 of 2 - Sale <sup>25</sup>
	2.000	733.96	01/12/21	722.72	...	11.24	2 of 2 - Sale <sup>25</sup>
01/13/21	2.767	1,015.58	Various	1,000.00	...	15.58	Total of 2 transactions
	<b>Security total:</b>	<b>2,016.99</b>		<b>2,000.00</b>	...	<b>16.99</b>	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
6 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.004	0.15	10/27/20	0.13	...	0.02	1 of 6 - Sale <sup>25</sup>
	0.159	6.44	10/27/20	5.34	...	1.10	2 of 6 - Sale <sup>25</sup>
	0.589	23.85	10/27/20	19.78	...	4.07	3 of 6 - Sale <sup>25</sup>
	0.715	28.95	10/27/20	24.03	...	4.92	4 of 6 - Sale <sup>25</sup>
	12.932	523.99	10/27/20	434.89	...	89.10	5 of 6 - Sale <sup>25</sup>
	17.350	703.00	10/27/20	547.55	...	155.45	6 of 6 - Sale <sup>25</sup>
01/06/21	31.747	1,286.38	Various	1,031.72	...	254.66	Total of 6 transactions
2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	23.991	969.45	01/07/21	972.36	...	-2.91	1 of 2 - Sale <sup>25</sup>
	0.682	27.57	01/07/21	27.64	...	-0.07	2 of 2 - Sale <sup>25</sup>
01/13/21	24.673	997.02	Various	1,000.00	...	-2.98	Total of 2 transactions
	<b>Security total:</b>	<b>2,283.40</b>		<b>2,031.72</b>	...	<b>251.68</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol:							
2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	0.490	29.48	01/14/21	28.88	...	0.60	1 of 2 - Sale <sup>25</sup>
	8.000	480.64	01/14/21	471.12	...	9.52	2 of 2 - Sale <sup>25</sup>
01/14/21	8.490	510.12	Various	500.00	...	10.12	Total of 2 transactions
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	1.000	231.76	01/14/21	259.00	...	-27.24	1 of 2 - Sale <sup>25</sup>
	0.931	215.67	01/14/21	241.00	...	-25.33	2 of 2 - Sale <sup>25</sup>
02/01/21	1.931	447.43	Various	500.00	...	-52.57	Total of 2 transactions
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	31.466	280.99	02/22/21	297.04	...	-16.05	1 of 2 - Sale <sup>25</sup>
	0.314	2.76	02/22/21	4.20	...	-1.44	2 of 2 - Sale <sup>25</sup>
02/26/21	31.780	283.75	Various	301.24	...	-17.49	Total of 2 transactions
02/26/21	15.534	138.72	02/03/21	200.00	1.24 W	-60.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>422.47</b>		<b>501.24</b>	<b>1.24 W</b>	<b>-77.53</b>	
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	18.000	156.41	01/12/21	160.56	...	-4.15	1 of 2 - Sale <sup>25</sup>
	10.000	86.90	01/12/21	89.00	...	-2.10	2 of 2 - Sale <sup>25</sup>
01/12/21	28.000	243.31	Various	249.56	...	-6.25	Total of 2 transactions
01/12/21	82.000	712.55	01/12/21	731.44	13.83 W	-5.06	Sale <sup>25</sup>
3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	59.964	470.11	01/13/21	513.32	...	-43.21	1 of 3 - Sale <sup>25</sup>
	0.036	0.28	01/13/21	0.31	...	-0.03	2 of 3 - Sale <sup>25</sup>
	0.024	0.19	01/13/21	0.20	...	-0.01	3 of 3 - Sale <sup>25</sup>
01/15/21	60.024	470.58	Various	513.83	...	-43.25	Total of 3 transactions
	<b>Security total:</b>	<b>1,426.44</b>		<b>1,494.83</b>	<b>13.83 W</b>	<b>-54.56</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ENPHASE ENERGY, INC. COMMON STOCK / CUSIP: 29355A107 / Symbol:							
3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	2.430	484.38	01/14/21	500.00	...	-15.62	1 of 3 - Sale <sup>25</sup>
	1.431	285.25	01/14/21	294.44	...	-9.19	2 of 3 - Sale <sup>25</sup>
	1.000	199.32	01/14/21	205.56	...	-6.24	3 of 3 - Sale <sup>25</sup>
01/15/21	4.861	968.95	Various	1,000.00	...	-31.05	Total of 3 transactions
EXTREME NETWORKS, INC. COMMON STOCK / CUSIP: 30226D106 / Symbol:							
02/05/21	58.628	527.10	02/02/21	500.00	...	27.10	Sale <sup>25</sup>
META PLATFORMS, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
02/08/21	5.000	1,340.82	02/02/21	1,335.00	...	5.82	Sale <sup>25</sup>
FARFETCH LIMITED CLASS A ORDINARY SHARES / CUSIP: 30744W107 / Symbol:							
02/05/21	7.477	513.85	02/03/21	500.00	...	13.85	Sale <sup>25</sup>
FISKER INC. / CUSIP: 33813J106 / Symbol:							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	31.626	474.39	01/14/21	496.52	13.84 W	-8.29	1 of 2 - Sale <sup>25</sup>
	0.222	3.32	01/14/21	3.48	0.16 W	0.00	2 of 2 - Sale <sup>25</sup>
01/15/21	31.847	477.71	Various	500.00	14.00 W	-8.29	Total of 2 transactions
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	19.778	304.63	02/02/21	305.95	...	-1.32	1 of 2 - Sale <sup>25</sup>
	0.222	3.41	02/02/21	3.43	...	-0.02	2 of 2 - Sale <sup>25</sup>
02/04/21	20.000	308.04	Various	309.38	...	-1.34	Total of 2 transactions
	<b>Security total:</b>	<b>785.75</b>		<b>809.38</b>	<b>14.00 W</b>	<b>-9.63</b>	
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	17.513	208.67	01/25/21	200.00	...	8.67	1 of 2 - Sale <sup>25</sup>
	26.961	321.23	02/03/21	300.00	...	21.23	2 of 2 - Sale <sup>25</sup>
03/04/21	44.474	529.90	Various	500.00	...	29.90	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
4 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.076	3.77	01/26/21	3.25	...	0.52	1 of 4 - Sale <sup>25</sup>
	0.608	30.17	01/26/21	25.95	...	4.22	2 of 4 - Sale <sup>25</sup>
	4.000	198.00	01/26/21	170.80	...	27.20	3 of 4 - Sale <sup>25</sup>
	10.000	494.98	01/27/21	463.50	...	31.48	4 of 4 - Sale <sup>25</sup>
01/27/21	14.684	726.92	Various	663.50	...	63.42	Total of 4 transactions
01/27/21	3.924	194.23	01/27/21	200.00	5.77 W	0.00	Sale <sup>25</sup>
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	0.062	1.95	01/27/21	3.19	1.24 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.018	0.57	01/27/21	0.94	0.37 W	0.00	2 of 2 - Sale <sup>25</sup>
03/23/21	0.080	2.52	Various	4.13	1.61 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>923.67</b>		<b>867.63</b>	<b>7.38 W</b>	<b>63.42</b>	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
6 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	0.009	1.89	01/26/21	1.71	...	0.18	1 of 6 - Sale <sup>25</sup>
	0.013	2.85	01/26/21	2.53	...	0.32	2 of 6 - Sale <sup>25</sup>
	0.020	4.30	01/26/21	3.87	...	0.43	3 of 6 - Sale <sup>25</sup>
	10.753	2,027.15	01/26/21	2,000.00	...	27.15	4 of 6 - Sale <sup>25</sup>
	2.797	527.26	01/26/21	500.00	...	27.26	5 of 6 - Sale <sup>25</sup>
	12.971	2,816.43	01/26/21	2,541.89	...	274.54	6 of 6 - Sale <sup>25</sup>
01/26/21	26.562	5,379.88	Various	5,050.00	...	329.88	Total of 6 transactions
7 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.030	9.71	01/26/21	6.31	...	3.40	1 of 7 - Sale <sup>25</sup>
	0.034	11.93	01/26/21	7.13	...	4.80	2 of 7 - Sale <sup>25</sup>
	0.911	237.80	01/26/21	192.58	...	45.22	3 of 7 - Sale <sup>25</sup>
	1.000	262.20	01/26/21	211.39	...	50.81	4 of 7 - Sale <sup>25</sup>
	0.485	163.40	01/26/21	102.62	...	60.78	5 of 7 - Sale <sup>25</sup>
	0.999	336.60	01/26/21	211.14	...	125.46	6 of 7 - Sale <sup>25</sup>
	3.000	979.11	01/26/21	634.17	...	344.94	7 of 7 - Sale <sup>25</sup>
01/27/21	6.459	2,000.75	Various	1,365.34	...	635.41	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	0.021	7.88	01/26/21	4.36	...	3.52	1 of 2 - Sale <sup>25</sup>
	6.766	2,585.76	01/26/21	1,430.30	...	1,155.46	2 of 2 - Sale <sup>25</sup>
01/28/21	6.787	2,593.64	Various	1,434.66	...	1,158.98	Total of 2 transactions
	<b>Security total:</b>	<b>9,974.27</b>		<b>7,850.00</b>	...	<b>2,124.27</b>	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
01/06/21	92.939	1,052.49	12/22/20	1,000.00	...	52.49	Sale <sup>25</sup>
2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	0.543	6.33	01/07/21	6.27	...	0.06	1 of 2 - Sale <sup>25</sup>
	85.963	1,003.40	01/07/21	993.73	...	9.67	2 of 2 - Sale <sup>25</sup>
01/12/21	86.505	1,009.73	Various	1,000.00	...	9.73	Total of 2 transactions
	<b>Security total:</b>	<b>2,062.22</b>		<b>2,000.00</b>	...	<b>62.22</b>	
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
03/23/21	0.228	12.96	01/25/21	12.50	...	0.46	Sale <sup>25</sup>
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.297	3.44	01/29/21	3.39	...	0.05	1 of 2 - Sale <sup>25</sup>
	3.008	34.88	01/29/21	32.60	...	2.28	2 of 2 - Sale <sup>25</sup>
02/03/21	3.304	38.32	Various	35.99	...	2.33	Total of 2 transactions
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.297	3.40	01/27/21	3.58	0.18 W	0.00	1 of 2 - Sale <sup>25</sup>
	82.606	958.20	01/27/21	996.42	38.22 W	0.00	2 of 2 - Sale <sup>25</sup>
02/03/21	82.903	961.60	Various	1,000.00	38.40 W	0.00	Total of 2 transactions
5 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	0.147	1.84	01/29/21	1.66	...	0.18	1 of 5 - Sale <sup>25</sup>
	0.862	10.81	01/29/21	9.74	...	1.07	2 of 5 - Sale <sup>25</sup>
	6.256	78.26	01/29/21	67.94	...	10.32	3 of 5 - Sale <sup>25</sup>
	39.638	495.90	01/29/21	448.81	...	47.09	4 of 5 - Sale <sup>25</sup>
	41.959	524.94	01/29/21	474.26	...	50.68	5 of 5 - Sale <sup>25</sup>
02/08/21	88.862	1,111.75	Various	1,002.41	...	109.34	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol: (cont'd)							
<b>Security total:</b>		<b>2,111.67</b>		<b>2,038.40</b>	<b>38.40 W</b>	<b>111.67</b>	
GLOBAL BLOOD THERAPEUTICS, INC . COMMON STOCK / CUSIP: 37890U108 / Symbol:							
2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	9.800	421.40	02/03/21	498.23	...	-76.83	1 of 2 - Sale <sup>25</sup>
	0.035	1.52	02/03/21	1.77	...	-0.25	2 of 2 - Sale <sup>25</sup>
02/26/21	9.835	422.92	Various	500.00	...	-77.08	Total of 2 transactions
GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol:							
02/08/21	300.000	217.82	02/04/21	190.44	...	27.38	Sale <sup>25</sup>
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol:							
2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	0.000	0.05	12/23/20	0.05	...	0.00	1 of 2 - Sale <sup>25</sup>
	4.587	1,245.42	12/23/20	1,238.95	...	6.47	2 of 2 - Sale <sup>25</sup>
01/12/21	4.587	1,245.47	Various	1,239.00	...	6.47	Total of 2 transactions
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
01/06/21	58.000	926.37	01/04/21	897.55	...	28.82	Sale <sup>25</sup>
23 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.012	0.20	12/01/20	0.31	0.11 W	0.00	1 of 23 - Sale <sup>25</sup>
	14.132	225.72	12/01/20	356.75	131.03 W	0.00	2 of 23 - Sale <sup>25</sup>
	0.206	3.29	12/01/20	6.53	3.24 W	0.00	3 of 23 - Sale <sup>25</sup>
	0.340	5.43	12/01/20	10.71	5.28 W	0.00	4 of 23 - Sale <sup>25</sup>
	0.005	0.07	12/01/20	0.13	0.06 W	0.00	5 of 23 - Sale <sup>25</sup>
	0.017	0.27	12/01/20	0.51	0.24 W	0.00	6 of 23 - Sale <sup>25</sup>
	0.370	5.90	12/01/20	10.18	4.28 W	0.00	7 of 23 - Sale <sup>25</sup>
	6.096	97.36	12/01/20	168.27	70.91 W	0.00	8 of 23 - Sale <sup>25</sup>
	8.904	142.22	12/01/20	245.81	103.59 W	0.00	9 of 23 - Sale <sup>25</sup>
	21.883	349.51	12/01/20	603.11	253.60 W	0.00	10 of 23 - Sale <sup>25</sup>
	0.042	0.67	12/01/20	1.11	0.44 W	0.00	11 of 23 - Sale <sup>25</sup>
	0.850	13.58	12/01/20	22.64	9.06 W	0.00	12 of 23 - Sale <sup>25</sup>
	0.080	1.27	12/01/20	1.95	0.68 W	0.00	13 of 23 - Sale <sup>25</sup>
	2.409	38.47	12/01/20	59.26	20.79 W	0.00	14 of 23 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol: (cont'd)							
	0.250	3.99	12/01/20	5.80	1.81 W	0.00	15 of 23 - Sale <sup>25</sup>
	2.000	31.94	12/01/20	46.42	14.48 W	0.00	16 of 23 - Sale <sup>25</sup>
	8.096	129.30	12/01/20	187.91	58.61 W	0.00	17 of 23 - Sale <sup>25</sup>
	0.215	3.44	12/18/20	6.53	3.09 W	0.00	18 of 23 - Sale <sup>25</sup>
	0.582	9.30	12/18/20	17.74	8.44 W	0.00	19 of 23 - Sale <sup>25</sup>
	6.826	109.02	12/18/20	172.38	63.36 W	0.00	20 of 23 - Sale <sup>25</sup>
	20.078	320.68	12/18/20	523.21	202.53 W	0.00	21 of 23 - Sale <sup>25</sup>
	0.012	0.19	01/04/21	0.30	0.11 W	0.00	22 of 23 - Sale <sup>25</sup>
	6.608	105.54	01/04/21	155.18	49.64 W	0.00	23 of 23 - Sale <sup>25</sup>
01/06/21	100.012	1,597.36	Various	2,602.74	1,005.38 W	0.00	Total of 23 transactions
01/08/21	84.000	1,496.83	01/06/21	1,365.59	...	131.24	Sale <sup>25</sup>
26 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	0.195	3.49	01/06/21	6.25	2.76 W	0.00	1 of 26 - Sale <sup>25</sup>
	0.340	6.07	01/06/21	10.81	4.74 W	0.00	2 of 26 - Sale <sup>25</sup>
	0.011	0.19	01/06/21	0.34	0.15 W	0.00	3 of 26 - Sale <sup>25</sup>
	0.215	3.84	01/06/21	6.59	2.75 W	0.00	4 of 26 - Sale <sup>25</sup>
	0.582	10.37	01/06/21	17.90	7.53 W	0.00	5 of 26 - Sale <sup>25</sup>
	0.005	0.08	01/06/21	0.14	0.06 W	0.00	6 of 26 - Sale <sup>25</sup>
	0.017	0.30	01/06/21	0.51	0.21 W	0.00	7 of 26 - Sale <sup>25</sup>
	0.370	6.58	01/06/21	10.29	3.71 W	0.00	8 of 26 - Sale <sup>25</sup>
	6.096	108.62	01/06/21	170.01	61.39 W	0.00	9 of 26 - Sale <sup>25</sup>
	8.904	158.67	01/06/21	248.35	89.68 W	0.00	10 of 26 - Sale <sup>25</sup>
	21.883	389.94	01/06/21	609.35	219.41 W	0.00	11 of 26 - Sale <sup>25</sup>
	0.042	0.75	01/06/21	1.12	0.37 W	0.00	12 of 26 - Sale <sup>25</sup>
	0.850	15.15	01/06/21	22.89	7.74 W	0.00	13 of 26 - Sale <sup>25</sup>
	0.080	1.42	01/06/21	1.97	0.55 W	0.00	14 of 26 - Sale <sup>25</sup>
	2.409	42.92	01/06/21	59.95	17.03 W	0.00	15 of 26 - Sale <sup>25</sup>
	6.826	121.63	01/06/21	174.33	52.70 W	0.00	16 of 26 - Sale <sup>25</sup>
	14.132	251.83	01/06/21	360.78	108.95 W	0.00	17 of 26 - Sale <sup>25</sup>
	0.234	4.17	01/06/21	6.16	1.99 W	0.00	18 of 26 - Sale <sup>25</sup>
	0.250	4.45	01/06/21	5.87	1.42 W	0.00	19 of 26 - Sale <sup>25</sup>
	2.000	35.64	01/06/21	46.99	11.35 W	0.00	20 of 26 - Sale <sup>25</sup>
	8.096	144.26	01/06/21	190.22	45.96 W	0.00	21 of 26 - Sale <sup>25</sup>
	0.195	3.48	01/06/21	5.94	2.46 W	0.00	22 of 26 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol: (cont'd)							
	0.340	6.06	01/06/21	10.27	4.21 W	0.00	23 of 26 - Sale <sup>25</sup>
	0.012	0.22	01/06/21	0.31	0.09 W	0.00	24 of 26 - Sale <sup>25</sup>
	19.844	353.61	01/06/21	522.77	169.16 W	0.00	25 of 26 - Sale <sup>25</sup>
	6.608	117.75	01/06/21	157.07	39.32 W	0.00	26 of 26 - Sale <sup>25</sup>
01/08/21	100.536	1,791.49	Various	2,647.18	855.69 W	0.00	Total of 26 transactions
	7 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	19.844	343.73	01/08/21	524.46	...	-180.73	1 of 7 - Sale <sup>25</sup>
	8.096	140.23	01/08/21	190.92	...	-50.69	2 of 7 - Sale <sup>25</sup>
	6.608	114.46	01/08/21	157.64	...	-43.18	3 of 7 - Sale <sup>25</sup>
	2.000	34.64	01/08/21	47.16	...	-12.52	4 of 7 - Sale <sup>25</sup>
	15.459	267.77	01/08/21	276.79	...	-9.02	5 of 7 - Sale <sup>25</sup>
	0.234	4.05	01/08/21	6.18	...	-2.13	6 of 7 - Sale <sup>25</sup>
	0.250	4.33	01/08/21	5.89	...	-1.56	7 of 7 - Sale <sup>25</sup>
01/13/21	52.490	909.21	Various	1,209.04	...	-299.83	Total of 7 transactions
	19 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	6.826	118.23	01/08/21	174.91	28.08 W	-28.60	1 of 19 - Sale <sup>25</sup>
	0.340	5.90	01/08/21	10.30	4.40 W	0.00	2 of 19 - Sale <sup>25</sup>
	0.118	1.99	01/08/21	3.61	1.62 W	0.00	3 of 19 - Sale <sup>25</sup>
	0.077	1.34	01/08/21	2.35	1.01 W	0.00	4 of 19 - Sale <sup>25</sup>
	0.011	0.19	01/08/21	0.34	0.15 W	0.00	5 of 19 - Sale <sup>25</sup>
	0.215	3.73	01/08/21	6.61	2.88 W	0.00	6 of 19 - Sale <sup>25</sup>
	0.582	10.08	01/08/21	17.95	7.87 W	0.00	7 of 19 - Sale <sup>25</sup>
	0.005	0.08	01/08/21	0.14	0.06 W	0.00	8 of 19 - Sale <sup>25</sup>
	0.017	0.29	01/08/21	0.51	0.22 W	0.00	9 of 19 - Sale <sup>25</sup>
	0.370	6.40	01/08/21	10.33	3.93 W	0.00	10 of 19 - Sale <sup>25</sup>
	6.096	105.59	01/08/21	170.54	64.95 W	0.00	11 of 19 - Sale <sup>25</sup>
	8.904	154.24	01/08/21	249.11	94.87 W	0.00	12 of 19 - Sale <sup>25</sup>
	21.883	379.04	01/08/21	611.22	232.18 W	0.00	13 of 19 - Sale <sup>25</sup>
	0.042	0.73	01/08/21	1.12	0.39 W	0.00	14 of 19 - Sale <sup>25</sup>
	0.850	14.73	01/08/21	22.97	8.24 W	0.00	15 of 19 - Sale <sup>25</sup>
	0.012	0.21	01/08/21	0.31	0.10 W	0.00	16 of 19 - Sale <sup>25</sup>
	0.080	1.38	01/08/21	1.97	0.59 W	0.00	17 of 19 - Sale <sup>25</sup>
	2.409	41.72	01/08/21	60.16	18.44 W	0.00	18 of 19 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol: (cont'd)							
01/13/21	14.132	244.80	01/08/21	361.99	117.19 W	0.00	19 of 19 - Sale <sup>25</sup>
	62.968	1,090.67	Various	1,706.44	587.17 W	-28.60	Total of 19 transactions
	10 transactions for 01/14/21. Total proceeds and cost reported to the IRS.						
	52.060	917.26	01/08/21	932.13	...	-14.87	1 of 10 - Sale <sup>25</sup>
	0.032	0.53	01/08/21	0.58	...	-0.05	2 of 10 - Sale <sup>25</sup>
	3.318	58.44	01/12/21	91.09	...	-32.65	3 of 10 - Sale <sup>25</sup>
	0.582	10.25	01/12/21	17.65	...	-7.40	4 of 10 - Sale <sup>25</sup>
	0.340	6.00	01/12/21	10.11	...	-4.11	5 of 10 - Sale <sup>25</sup>
	0.202	3.57	01/12/21	6.11	...	-2.54	6 of 10 - Sale <sup>25</sup>
	0.118	2.09	01/12/21	3.61	...	-1.52	7 of 10 - Sale <sup>25</sup>
	0.077	1.36	01/12/21	2.30	...	-0.94	8 of 10 - Sale <sup>25</sup>
	0.013	0.23	01/12/21	0.39	...	-0.16	9 of 10 - Sale <sup>25</sup>
	0.011	0.19	01/12/21	0.33	...	-0.14	10 of 10 - Sale <sup>25</sup>
01/14/21	56.754	999.92	Various	1,064.30	...	-64.38	Total of 10 transactions
	13 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	21.883	368.49	01/12/21	599.81	...	-231.32	1 of 13 - Sale <sup>25</sup>
	14.132	237.98	01/12/21	354.62	...	-116.64	2 of 13 - Sale <sup>25</sup>
	6.096	102.65	01/12/21	167.36	...	-64.71	3 of 13 - Sale <sup>25</sup>
	5.586	94.07	01/12/21	153.37	...	-59.30	4 of 13 - Sale <sup>25</sup>
	3.381	56.94	01/12/21	84.88	...	-27.94	5 of 13 - Sale <sup>25</sup>
	2.409	40.56	01/12/21	58.91	...	-18.35	6 of 13 - Sale <sup>25</sup>
	0.850	14.32	01/12/21	22.53	...	-8.21	7 of 13 - Sale <sup>25</sup>
	0.370	6.22	01/12/21	10.14	...	-3.92	8 of 13 - Sale <sup>25</sup>
	0.080	1.34	01/12/21	1.93	...	-0.59	9 of 13 - Sale <sup>25</sup>
	0.042	0.71	01/12/21	1.09	...	-0.38	10 of 13 - Sale <sup>25</sup>
	0.017	0.28	01/12/21	0.50	...	-0.22	11 of 13 - Sale <sup>25</sup>
	0.012	0.21	01/12/21	0.30	...	-0.09	12 of 13 - Sale <sup>25</sup>
	0.005	0.08	01/12/21	0.14	...	-0.06	13 of 13 - Sale <sup>25</sup>
01/15/21	54.863	923.85	Various	1,455.58	...	-531.73	Total of 13 transactions
	<b>Security total:</b>	<b>9,735.70</b>		<b>12,948.42</b>	<b>2,448.24 W</b>	<b>-764.48</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTERNATIONAL BUSINESS MACHINE S CORPORATION / CUSIP: 459200101 / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	0.110	13.35	01/22/21	12.98	...	0.37	1 of 2 - Sale <sup>25</sup>
	2.000	243.56	01/22/21	237.02	...	6.54	2 of 2 - Sale <sup>25</sup>
01/26/21	2.110	256.91	Various	250.00	...	6.91	Total of 2 transactions
INTERPACE BIOSCIENCES INC COMM ON STOCK / CUSIP: 46062X303 / Symbol:							
10 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	35.000	151.78	01/28/21	186.90	...	-35.12	1 of 10 - Sale <sup>25</sup>
	9.000	39.03	01/29/21	37.98	...	1.05	2 of 10 - Sale <sup>25</sup>
	9.000	39.03	01/29/21	37.98	...	1.05	3 of 10 - Sale <sup>25</sup>
	9.000	39.03	01/29/21	37.98	...	1.05	4 of 10 - Sale <sup>25</sup>
	9.000	39.03	01/29/21	37.98	...	1.05	5 of 10 - Sale <sup>25</sup>
	9.000	39.03	01/29/21	37.98	...	1.05	6 of 10 - Sale <sup>25</sup>
	9.000	39.03	01/29/21	37.98	...	1.05	7 of 10 - Sale <sup>25</sup>
	31.000	134.43	01/29/21	130.82	...	3.61	8 of 10 - Sale <sup>25</sup>
	85.000	368.59	01/29/21	357.85	...	10.74	9 of 10 - Sale <sup>25</sup>
	106.000	459.66	01/29/21	446.26	...	13.40	10 of 10 - Sale <sup>25</sup>
02/03/21	311.000	1,348.64	Various	1,349.71	...	-1.07	Total of 10 transactions
IRON MOUNTAIN INC. / CUSIP: 46284V101 / Symbol:							
4 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	0.021	0.70	01/19/21	0.62	...	0.08	1 of 4 - Sale <sup>25</sup>
	0.236	7.77	01/19/21	7.00	...	0.77	2 of 4 - Sale <sup>25</sup>
	0.475	15.71	01/19/21	14.09	...	1.62	3 of 4 - Sale <sup>25</sup>
	29.525	975.76	01/19/21	875.26	...	100.50	4 of 4 - Sale <sup>25</sup>
02/01/21	30.257	999.94	Various	896.97	...	102.97	Total of 4 transactions
4 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	0.809	27.04	01/19/21	23.97	...	3.07	1 of 4 - Sale <sup>25</sup>
	2.658	88.85	01/19/21	78.79	...	10.06	2 of 4 - Sale <sup>25</sup>
	0.097	3.23	01/26/21	3.13	...	0.10	3 of 4 - Sale <sup>25</sup>
	56.236	1,880.20	01/26/21	1,848.49	...	31.71	4 of 4 - Sale <sup>25</sup>
02/09/21	59.799	1,999.32	Various	1,954.38	...	44.94	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IRON MOUNTAIN INC. / CUSIP: 46284V101 / Symbol: (cont'd)							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	0.009	0.25	01/19/21	0.27	0.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.009	0.31	01/26/21	0.32	0.01 W	0.00	2 of 2 - Sale <sup>25</sup>
02/09/21	0.019	0.56	Various	0.59	0.03 W	0.00	Total of 2 transactions
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	0.009	0.31	01/26/21	0.31	...	0.00	1 of 2 - Sale <sup>25</sup>
	4.495	149.76	01/26/21	147.74	...	2.02	2 of 2 - Sale <sup>25</sup>
02/12/21	4.504	150.07	Various	148.05	...	2.02	Total of 2 transactions
	<b>Security total:</b>	<b>3,149.89</b>		<b>2,999.99</b>	<b>0.03 W</b>	<b>149.93</b>	
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	0.777	2.40	02/10/21	2.33	...	0.07	1 of 2 - Sale <sup>25</sup>
	68.547	215.91	02/10/21	197.76	...	18.15	2 of 2 - Sale <sup>25</sup>
02/18/21	69.324	218.31	Various	200.09	...	18.22	Total of 2 transactions
02/18/21	76.453	240.82	01/22/21	250.00	0.09 W	-9.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>459.13</b>		<b>450.09</b>	<b>0.09 W</b>	<b>9.13</b>	
KOHL'S CORPORATION / CUSIP: 500255104 / Symbol:							
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.014	0.57	11/25/20	0.45	...	0.12	1 of 3 - Sale <sup>25</sup>
	0.225	9.36	11/25/20	7.45	...	1.91	2 of 3 - Sale <sup>25</sup>
	30.000	1,247.07	11/25/20	992.10	...	254.97	3 of 3 - Sale <sup>25</sup>
01/06/21	30.239	1,257.00	Various	1,000.00	...	257.00	Total of 3 transactions
01/12/21	23.655	1,038.41	01/07/21	1,000.00	...	38.41	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,295.41</b>		<b>2,000.00</b>	...	<b>295.41</b>	
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
3 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	97.424	248.42	01/21/21	252.21	...	-3.79	1 of 3 - Sale <sup>25</sup>
	0.192	0.49	01/21/21	0.51	...	-0.02	2 of 3 - Sale <sup>25</sup>
	0.231	0.59	01/21/21	0.60	...	-0.01	3 of 3 - Sale <sup>25</sup>
01/21/21	97.847	249.50	Various	253.32	...	-3.82	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol: (cont'd)							
01/21/21	0.192	0.48	01/21/21	0.49	0.01 W	0.00	Sale <sup>25</sup>
	<i>2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.</i>						
	0.103	0.27	01/21/21	0.27	...	0.00	1 of 2 - Sale <sup>25</sup>
	95.000	250.79	01/21/21	245.93	...	4.86	2 of 2 - Sale <sup>25</sup>
01/22/21	95.103	251.06	Various	246.20	...	4.86	Total of 2 transactions
	<b>Security total:</b>	<b>501.04</b>		<b>500.01</b>	<b>0.01 W</b>	<b>1.04</b>	
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
	<i>2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.</i>						
	14.000	467.62	01/14/21	496.02	...	-28.40	1 of 2 - Sale <sup>25</sup>
	0.112	3.75	01/14/21	3.98	...	-0.23	2 of 2 - Sale <sup>25</sup>
01/15/21	14.112	471.37	Various	500.00	...	-28.63	Total of 2 transactions
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
03/23/21	0.941	12.51	02/05/21	25.48	...	-12.97	Sale <sup>25</sup>
MP MATERIALS CORP. / CUSIP: 553368101 / Symbol:							
	<i>3 transactions for 01/13/21. Total proceeds and cost reported to the IRS.</i>						
	0.009	0.33	12/17/20	0.30	...	0.03	1 of 3 - Sale <sup>25</sup>
	0.121	4.17	12/17/20	3.83	...	0.34	2 of 3 - Sale <sup>25</sup>
	30.991	1,072.24	12/17/20	984.87	...	87.37	3 of 3 - Sale <sup>25</sup>
01/13/21	31.121	1,076.74	Various	989.00	...	87.74	Total of 3 transactions
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
	<i>3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.</i>						
	0.785	9.90	11/27/20	8.65	...	1.25	1 of 3 - Sale <sup>25</sup>
	5.000	63.06	11/27/20	55.15	...	7.91	2 of 3 - Sale <sup>25</sup>
	84.878	1,070.52	11/27/20	936.20	...	134.32	3 of 3 - Sale <sup>25</sup>
01/06/21	90.663	1,143.48	Various	1,000.00	...	143.48	Total of 3 transactions
	<i>2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.</i>						
	0.833	10.98	01/07/21	10.57	...	0.41	1 of 2 - Sale <sup>25</sup>
	77.969	1,027.20	01/07/21	989.43	...	37.77	2 of 2 - Sale <sup>25</sup>
01/13/21	78.803	1,038.18	Various	1,000.00	...	38.18	Total of 2 transactions
	<b>Security total:</b>	<b>2,181.66</b>		<b>2,000.00</b>	...	<b>181.66</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
<i>9 transactions for 01/12/21. Total proceeds and cost reported to the IRS.</i>							
	0.073	1.85	01/11/21	1.47	...	0.38	1 of 9 - Sale <sup>25</sup>
	0.098	2.46	01/11/21	2.08	...	0.38	2 of 9 - Sale <sup>25</sup>
	0.333	8.38	01/11/21	7.10	...	1.28	3 of 9 - Sale <sup>25</sup>
	0.389	9.83	01/11/21	8.44	...	1.39	4 of 9 - Sale <sup>25</sup>
	22.569	567.60	01/11/21	489.97	...	77.63	5 of 9 - Sale <sup>25</sup>
	23.000	578.43	01/11/21	490.82	...	87.61	6 of 9 - Sale <sup>25</sup>
	1.000	26.18	01/12/21	25.31	...	0.87	7 of 9 - Sale <sup>25</sup>
	4.895	128.16	01/12/21	125.86	...	2.30	8 of 9 - Sale <sup>25</sup>
	33.868	886.65	01/12/21	874.14	...	12.51	9 of 9 - Sale <sup>25</sup>
01/12/21	86.226	2,209.54	Various	2,025.19	...	184.35	Total of 9 transactions
<i>5 transactions for 01/14/21. Total proceeds and cost reported to the IRS.</i>							
	0.018	0.46	01/13/21	0.42	...	0.04	1 of 5 - Sale <sup>25</sup>
	0.073	1.88	01/13/21	1.59	...	0.29	2 of 5 - Sale <sup>25</sup>
	0.358	9.17	01/13/21	8.39	...	0.78	3 of 5 - Sale <sup>25</sup>
	21.000	537.16	01/13/21	491.19	...	45.97	4 of 5 - Sale <sup>25</sup>
	22.968	587.51	01/13/21	498.41	...	89.10	5 of 5 - Sale <sup>25</sup>
01/14/21	44.418	1,136.18	Various	1,000.00	...	136.18	Total of 5 transactions
01/14/21	1.000	25.58	01/12/21	26.26	0.68 W	0.00	Sale <sup>25</sup>
<i>4 transactions for 01/15/21. Total proceeds and cost reported to the IRS.</i>							
	0.965	21.76	01/14/21	25.42	3.66 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.035	0.79	01/14/21	0.93	0.14 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.965	21.62	01/14/21	29.09	7.47 W	0.00	3 of 4 - Sale <sup>25</sup>
	18.000	403.38	01/14/21	474.57	71.19 W	0.00	4 of 4 - Sale <sup>25</sup>
01/15/21	19.965	447.55	Various	530.01	82.46 W	0.00	Total of 4 transactions
<i>4 transactions for 02/03/21. Total proceeds and cost reported to the IRS.</i>							
	18.000	430.36	01/29/21	470.34	...	-39.98	1 of 4 - Sale <sup>25</sup>
	0.965	23.06	01/29/21	28.86	...	-5.80	2 of 4 - Sale <sup>25</sup>
	0.035	0.85	01/29/21	0.93	...	-0.08	3 of 4 - Sale <sup>25</sup>
	3.548	84.83	01/29/21	78.67	...	6.16	4 of 4 - Sale <sup>25</sup>
02/03/21	22.548	539.10	Various	578.80	...	-39.70	Total of 4 transactions
	<b>Security total:</b>	<b>4,357.95</b>		<b>4,160.26</b>	<b>83.14 W</b>	<b>280.83</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	12/10/20	0.01	...	0.00	1 of 2 - Sale <sup>25</sup>
	4.738	1,015.66	12/10/20	999.99	...	15.67	2 of 2 - Sale <sup>25</sup>
01/06/21	4.738	1,015.67	Various	1,000.00	...	15.67	Total of 2 transactions
MOHAWK GROUP HOLDINGS, INC. CO MMON STOCK / CUSIP: 608189106 / Symbol:							
03/23/21	0.527	18.99	02/18/21	22.83	3.84 W	0.00	Sale <sup>25</sup>
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
01/13/21	18.740	1,162.84	11/24/20	1,000.00	...	162.84	Sale <sup>25</sup>
01/15/21	0.051	2.89	01/14/21	3.19	...	-0.30	Sale <sup>25</sup>
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	1.000	56.76	01/13/21	62.16	5.40 W	0.00	1 of 2 - Sale <sup>25</sup>
	15.934	904.37	01/14/21	996.81	89.10 W	-3.34	2 of 2 - Sale <sup>25</sup>
01/15/21	16.934	961.13	Various	1,058.97	94.50 W	-3.34	Total of 2 transactions
03/23/21	0.576	24.47	02/10/21	38.30	13.83 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,151.33</b>		<b>2,100.46</b>	<b>108.33 W</b>	<b>159.20</b>	
NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol:							
01/15/21	0.017	0.16	01/14/21	0.16	...	0.00	Sale <sup>25</sup>
3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	41.580	392.56	01/14/21	400.00	7.44 W	0.00	1 of 3 - Sale <sup>25</sup>
	103.407	976.28	01/14/21	996.84	20.56 W	0.00	2 of 3 - Sale <sup>25</sup>
	156.579	1,478.28	01/15/21	1,500.00	21.72 W	0.00	3 of 3 - Sale <sup>25</sup>
01/15/21	301.566	2,847.12	Various	2,896.84	49.72 W	0.00	Total of 3 transactions
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	41.580	403.93	01/15/21	399.54	...	4.39	1 of 2 - Sale <sup>25</sup>
	58.420	567.53	01/15/21	562.51	...	5.02	2 of 2 - Sale <sup>25</sup>
01/21/21	100.000	971.46	Various	962.05	...	9.41	Total of 2 transactions
5 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	44.987	441.30	01/15/21	433.17	...	8.13	1 of 5 - Sale <sup>25</sup>
	78.434	769.41	01/15/21	739.63	...	29.78	2 of 5 - Sale <sup>25</sup>
	156.579	1,535.99	01/15/21	1,498.27	...	37.72	3 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol: (cont'd)							
	150.223	1,473.63	01/15/21	1,416.60	...	57.03	4 of 5 - Sale <sup>25</sup>
	99.777	978.78	01/27/21	951.72	...	27.06	5 of 5 - Sale <sup>25</sup>
02/11/21	530.000	5,199.11	Various	5,039.39	...	159.72	Total of 5 transactions
02/12/21	5.062	48.49	01/27/21	48.28	...	0.21	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,066.34</b>		<b>8,946.72</b>	<b>49.72 W</b>	<b>169.34</b>	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
4 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	2.694	17.16	01/27/21	14.07	...	3.09	1 of 4 - Sale <sup>25</sup>
	26.413	168.25	01/27/21	138.14	...	30.11	2 of 4 - Sale <sup>25</sup>
	37.807	240.82	01/27/21	200.00	...	40.82	3 of 4 - Sale <sup>25</sup>
	66.499	423.58	01/27/21	347.79	...	75.79	4 of 4 - Sale <sup>25</sup>
01/27/21	133.413	849.81	Various	700.00	...	149.81	Total of 4 transactions
NORTHERN STAR ACQUISITION CORP. / CUSIP: 665742102 / Symbol:							
03/23/21	0.238	2.76	02/16/21	3.78	...	-1.02	Sale <sup>25</sup>
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
03/23/21	0.909	481.69	02/03/21	500.00	...	-18.31	Sale <sup>25</sup>
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	7.000	74.90	02/08/21	96.74	21.84 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.472	37.15	02/08/21	47.98	10.83 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	42.80	02/08/21	55.28	12.48 W	0.00	3 of 3 - Sale <sup>25</sup>
02/08/21	14.472	154.85	Various	200.00	45.15 W	0.00	Total of 3 transactions
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	0.068	0.80	02/10/21	0.73	...	0.07	1 of 3 - Sale <sup>25</sup>
	5.528	65.45	02/10/21	57.11	...	8.34	2 of 3 - Sale <sup>25</sup>
	27.816	329.35	02/10/21	299.27	...	30.08	3 of 3 - Sale <sup>25</sup>
02/11/21	33.412	395.60	Various	357.11	...	38.49	Total of 3 transactions
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	3.472	41.10	02/10/21	46.69	5.59 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	47.36	02/10/21	53.80	6.44 W	0.00	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
02/11/21	7.000	82.88	02/10/21	94.15	11.27 W	0.00	3 of 3 - Sale <sup>25</sup>
	14.472	171.34	Various	194.64	23.30 W	0.00	Total of 3 transactions
	8 transactions for 02/26/21. Total proceeds and cost reported to the IRS.						
	32.967	269.00	02/11/21	374.18	...	-105.18	1 of 8 - Sale <sup>25</sup>
	28.562	233.05	02/11/21	331.85	...	-98.80	2 of 8 - Sale <sup>25</sup>
	6.654	54.30	02/11/21	109.25	...	-54.95	3 of 8 - Sale <sup>25</sup>
	2.472	20.17	02/11/21	40.58	...	-20.41	4 of 8 - Sale <sup>25</sup>
	1.000	8.16	02/11/21	16.42	...	-8.26	5 of 8 - Sale <sup>25</sup>
	0.528	4.31	02/11/21	8.68	...	-4.37	6 of 8 - Sale <sup>25</sup>
	0.346	2.82	02/11/21	5.65	...	-2.83	7 of 8 - Sale <sup>25</sup>
	0.088	0.71	02/11/21	1.45	...	-0.74	8 of 8 - Sale <sup>25</sup>
02/26/21	72.617	592.52	Various	888.06	...	-295.54	Total of 8 transactions
	5 transactions for 02/26/21. Total proceeds and cost reported to the IRS.						
	3.472	28.33	02/11/21	45.93	0.45 W	-17.15	1 of 5 - Sale <sup>25</sup>
	1.000	8.16	02/11/21	13.23	5.07 W	0.00	2 of 5 - Sale <sup>25</sup>
	7.000	57.12	02/11/21	92.60	35.48 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.472	20.17	02/11/21	32.70	12.53 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.528	4.31	02/11/21	6.99	2.68 W	0.00	5 of 5 - Sale <sup>25</sup>
02/26/21	14.472	118.09	Various	191.45	56.21 W	-17.15	Total of 5 transactions
	<b>Security total:</b>	<b>1,432.40</b>		<b>1,831.26</b>	<b>124.66 W</b>	<b>-274.20</b>	
ONCONOVA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 68232V405 / Symbol:							
02/03/21	100.000	73.33	02/03/21	75.00	...	-1.67	Sale <sup>25</sup>
111, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 68247Q102 / Symbol:							
03/23/21	0.687	10.52	02/12/21	16.79	...	-6.27	Sale <sup>25</sup>
PACIFIC BIOSCIENCES OF CALIFORNIA, INC. COMMON STOCK / CUSIP: 69404D108 / Symbol:							
01/15/21	13.912	530.31	01/14/21	500.00	...	30.31	Sale <sup>25</sup>
PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
	5 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	0.003	0.11	01/26/21	0.10	...	0.01	1 of 5 - Sale <sup>25</sup>
	0.026	1.02	01/26/21	0.93	...	0.09	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	0.579	22.68	01/26/21	20.67	...	2.01	3 of 5 - Sale <sup>25</sup>
	5.000	195.72	01/26/21	178.30	...	17.42	4 of 5 - Sale <sup>25</sup>
	15.418	603.52	01/27/21	500.00	...	103.52	5 of 5 - Sale <sup>25</sup>
01/27/21	21.026	823.05	Various	700.00	...	123.05	Total of 5 transactions
	9 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	0.002	0.06	02/01/21	0.05	...	0.01	1 of 9 - Sale <sup>25</sup>
	0.018	0.67	02/01/21	0.60	...	0.07	2 of 9 - Sale <sup>25</sup>
	6.931	258.54	02/01/21	233.45	...	25.09	3 of 9 - Sale <sup>25</sup>
	7.993	298.11	02/01/21	266.55	...	31.56	4 of 9 - Sale <sup>25</sup>
	15.000	559.48	02/01/21	499.35	...	60.13	5 of 9 - Sale <sup>25</sup>
	0.025	0.92	02/02/21	0.79	...	0.13	6 of 9 - Sale <sup>25</sup>
	0.032	1.19	02/02/21	1.02	...	0.17	7 of 9 - Sale <sup>25</sup>
	0.603	22.93	02/02/21	19.25	...	3.68	8 of 9 - Sale <sup>25</sup>
	15.000	570.28	02/02/21	478.94	...	91.34	9 of 9 - Sale <sup>25</sup>
02/08/21	45.603	1,712.18	Various	1,500.00	...	212.18	Total of 9 transactions
	<b>Security total:</b>	<b>2,535.23</b>		<b>2,200.00</b>	...	<b>335.23</b>	
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
	4 transactions for 01/06/21. Total proceeds and cost reported to the IRS.						
	0.061	13.90	10/26/20	12.00	...	1.90	1 of 4 - Sale <sup>25</sup>
	0.196	44.77	10/26/20	38.70	...	6.07	2 of 4 - Sale <sup>25</sup>
	4.797	1,098.13	10/26/20	949.30	...	148.83	3 of 4 - Sale <sup>25</sup>
	5.203	1,190.91	11/09/20	1,000.00	...	190.91	4 of 4 - Sale <sup>25</sup>
01/06/21	10.256	2,347.71	Various	2,000.00	...	347.71	Total of 4 transactions
	2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	3.994	956.92	01/14/21	983.42	...	-26.50	1 of 2 - Sale <sup>25</sup>
	0.067	16.16	01/14/21	16.58	...	-0.42	2 of 2 - Sale <sup>25</sup>
01/15/21	4.062	973.08	Various	1,000.00	...	-26.92	Total of 2 transactions
	<b>Security total:</b>	<b>3,320.79</b>		<b>3,000.00</b>	...	<b>320.79</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFIZER INC. / CUSIP: 717081103 / Symbol:							
4 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	26.994	983.10	01/12/21	999.73	...	-16.63	1 of 4 - Sale <sup>25</sup>
	12.998	473.39	01/12/21	478.73	...	-5.34	2 of 4 - Sale <sup>25</sup>
	0.578	21.04	01/12/21	21.27	...	-0.23	3 of 4 - Sale <sup>25</sup>
	0.007	0.27	01/12/21	0.27	...	0.00	4 of 4 - Sale <sup>25</sup>
01/15/21	40.578	1,477.80	Various	1,500.00	...	-22.20	Total of 4 transactions
PITNEY BOWES INC. / CUSIP: 724479100 / Symbol:							
02/05/21	50.000	464.50	02/02/21	420.75	...	43.75	Sale <sup>25</sup>
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.048	1.73	12/09/20	1.39	...	0.34	1 of 2 - Sale <sup>25</sup>
	34.734	1,241.20	12/09/20	998.61	...	242.59	2 of 2 - Sale <sup>25</sup>
01/06/21	34.783	1,242.93	Various	1,000.00	...	242.93	Total of 2 transactions
18 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	0.001	0.06	01/08/21	0.05	...	0.01	1 of 18 - Sale <sup>25</sup>
	0.004	0.24	01/08/21	0.20	...	0.04	2 of 18 - Sale <sup>25</sup>
	0.261	16.09	01/08/21	13.52	...	2.57	3 of 18 - Sale <sup>25</sup>
	0.301	18.56	01/08/21	15.60	...	2.96	4 of 18 - Sale <sup>25</sup>
	0.594	36.70	01/08/21	30.34	...	6.36	5 of 18 - Sale <sup>25</sup>
	9.186	565.86	01/08/21	469.61	...	96.25	6 of 18 - Sale <sup>25</sup>
	9.769	601.78	01/08/21	500.00	...	101.78	7 of 18 - Sale <sup>25</sup>
	18.739	1,154.30	01/08/21	970.68	...	183.62	8 of 18 - Sale <sup>25</sup>
	0.000	0.01	01/12/21	0.01	...	0.00	9 of 18 - Sale <sup>25</sup>
	0.013	0.88	01/12/21	0.86	...	0.02	10 of 18 - Sale <sup>25</sup>
	14.430	1,000.27	01/12/21	1,000.00	...	0.27	11 of 18 - Sale <sup>25</sup>
	0.273	18.91	01/12/21	18.33	...	0.58	12 of 18 - Sale <sup>25</sup>
	0.305	21.11	01/12/21	19.90	...	1.21	13 of 18 - Sale <sup>25</sup>
	0.608	42.11	01/12/21	40.79	...	1.32	14 of 18 - Sale <sup>25</sup>
	0.283	19.62	01/12/21	18.20	...	1.42	15 of 18 - Sale <sup>25</sup>
	0.717	49.79	01/12/21	46.12	...	3.67	16 of 18 - Sale <sup>25</sup>
	13.993	969.94	01/12/21	940.02	...	29.92	17 of 18 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
01/12/21	15.000	1,039.77	01/12/21	980.09	...	59.68	18 of 18 - Sale <sup>25</sup>
	84.476	5,556.00	Various	5,064.32	...	491.68	Total of 18 transactions
	2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.						
	0.138	9.56	01/12/21	9.61	0.05 W	0.00	1 of 2 - Sale <sup>25</sup>
	16.959	1,175.55	01/12/21	1,184.39	8.84 W	0.00	2 of 2 - Sale <sup>25</sup>
01/12/21	17.097	1,185.11	Various	1,194.00	8.89 W	0.00	Total of 2 transactions
	10 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	0.138	9.28	01/12/21	9.65	0.37 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.291	19.58	01/12/21	20.41	0.83 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.115	7.74	01/12/21	8.08	0.34 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.138	9.26	01/12/21	9.98	0.72 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.291	19.53	01/12/21	21.10	1.57 W	0.00	5 of 10 - Sale <sup>25</sup>
	6.456	433.49	01/12/21	453.21	19.72 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.017	1.12	01/12/21	1.21	0.09 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.009	0.57	01/12/21	0.62	0.05 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.090	5.96	01/12/21	6.54	0.58 W	0.00	9 of 10 - Sale <sup>25</sup>
	7.448	493.47	01/12/21	522.83	29.36 W	0.00	10 of 10 - Sale <sup>25</sup>
01/13/21	14.992	1,000.00	Various	1,053.63	53.63 W	0.00	Total of 10 transactions
	24 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	0.043	2.56	01/12/21	3.24	0.68 W	0.00	1 of 24 - Sale <sup>25</sup>
	0.138	8.17	01/12/21	10.33	2.16 W	0.00	2 of 24 - Sale <sup>25</sup>
	2.649	156.95	01/12/21	185.96	29.01 W	0.00	3 of 24 - Sale <sup>25</sup>
	10.881	644.70	01/12/21	758.22	113.52 W	0.00	4 of 24 - Sale <sup>25</sup>
	0.004	0.22	01/14/21	0.27	0.05 W	0.00	5 of 24 - Sale <sup>25</sup>
	0.002	0.13	01/14/21	0.16	0.03 W	0.00	6 of 24 - Sale <sup>25</sup>
	0.009	0.51	01/14/21	0.62	0.11 W	0.00	7 of 24 - Sale <sup>25</sup>
	0.017	0.99	01/14/21	1.20	0.21 W	0.00	8 of 24 - Sale <sup>25</sup>
	0.090	5.33	01/14/21	6.58	1.25 W	0.00	9 of 24 - Sale <sup>25</sup>
	0.242	14.32	01/14/21	17.42	3.10 W	0.00	10 of 24 - Sale <sup>25</sup>
	6.456	382.51	01/14/21	449.94	67.43 W	0.00	11 of 24 - Sale <sup>25</sup>
	7.448	441.26	01/14/21	525.66	84.40 W	0.00	12 of 24 - Sale <sup>25</sup>
	0.740	43.83	01/14/21	49.29	5.46 W	0.00	13 of 24 - Sale <sup>25</sup>
	0.043	2.59	01/15/21	3.27	0.68 W	0.00	14 of 24 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	0.138	8.27	01/15/21	10.41	2.14 W	0.00	15 of 24 - Sale <sup>25</sup>
	0.002	0.13	01/15/21	0.16	0.03 W	0.00	16 of 24 - Sale <sup>25</sup>
	0.004	0.22	01/15/21	0.27	0.05 W	0.00	17 of 24 - Sale <sup>25</sup>
	0.009	0.52	01/15/21	0.62	0.10 W	0.00	18 of 24 - Sale <sup>25</sup>
	0.017	1.00	01/15/21	1.21	0.21 W	0.00	19 of 24 - Sale <sup>25</sup>
	0.090	5.39	01/15/21	6.63	1.24 W	0.00	20 of 24 - Sale <sup>25</sup>
	0.242	14.49	01/15/21	17.55	3.06 W	0.00	21 of 24 - Sale <sup>25</sup>
	2.649	158.80	01/15/21	187.40	28.60 W	0.00	22 of 24 - Sale <sup>25</sup>
	10.881	652.32	01/15/21	764.11	111.79 W	0.00	23 of 24 - Sale <sup>25</sup>
	2.651	158.92	01/15/21	186.18	27.26 W	0.00	24 of 24 - Sale <sup>25</sup>
01/15/21	45.443	2,704.13	Various	3,186.70	482.57 W	0.00	Total of 24 transactions
23 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	0.043	2.78	01/15/21	3.27	0.49 W	0.00	1 of 23 - Sale <sup>25</sup>
	0.138	8.87	01/15/21	10.41	1.54 W	0.00	2 of 23 - Sale <sup>25</sup>
	0.132	8.47	01/15/21	9.32	0.85 W	0.00	3 of 23 - Sale <sup>25</sup>
	0.167	10.74	01/15/21	11.25	0.51 W	0.00	4 of 23 - Sale <sup>25</sup>
	1.852	119.09	01/15/21	130.12	11.03 W	0.00	5 of 23 - Sale <sup>25</sup>
	2.517	161.83	01/15/21	178.14	16.31 W	0.00	6 of 23 - Sale <sup>25</sup>
	3.805	244.63	01/15/21	267.94	23.31 W	0.00	7 of 23 - Sale <sup>25</sup>
	7.448	478.79	01/15/21	531.03	52.24 W	0.00	8 of 23 - Sale <sup>25</sup>
	0.378	24.27	01/15/21	25.43	1.16 W	0.00	9 of 23 - Sale <sup>25</sup>
	0.195	12.64	01/15/21	13.14	0.50 W	0.00	10 of 23 - Sale <sup>25</sup>
	0.043	2.80	01/21/21	3.12	0.32 W	0.00	11 of 23 - Sale <sup>25</sup>
	0.138	8.94	01/21/21	9.91	0.97 W	0.00	12 of 23 - Sale <sup>25</sup>
	0.132	8.54	01/21/21	8.84	0.30 W	0.00	13 of 23 - Sale <sup>25</sup>
	1.606	104.10	01/21/21	107.00	2.90 W	0.00	14 of 23 - Sale <sup>25</sup>
	2.517	163.19	01/21/21	169.07	5.88 W	0.00	15 of 23 - Sale <sup>25</sup>
	0.002	0.15	01/21/21	0.17	0.02 W	0.00	16 of 23 - Sale <sup>25</sup>
	0.004	0.24	01/21/21	0.28	0.04 W	0.00	17 of 23 - Sale <sup>25</sup>
	0.009	0.56	01/21/21	0.62	0.06 W	0.00	18 of 23 - Sale <sup>25</sup>
	0.017	1.08	01/21/21	1.22	0.14 W	0.00	19 of 23 - Sale <sup>25</sup>
	0.090	5.83	01/21/21	6.70	0.87 W	0.00	20 of 23 - Sale <sup>25</sup>
	0.242	15.67	01/21/21	17.73	2.06 W	0.00	21 of 23 - Sale <sup>25</sup>
	9.029	585.33	01/21/21	640.68	55.35 W	0.00	22 of 23 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
01/21/21	2.651	171.85	01/21/21	188.12	16.27 W	0.00	23 of 23 - Sale <sup>25</sup>
	33.154	2,140.39	Various	2,333.51	193.12 W	0.00	Total of 23 transactions
<i>7 transactions for 02/11/21. Total proceeds and cost reported to the IRS.</i>							
	0.043	2.77	01/27/21	3.14	0.37 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.138	8.82	01/27/21	9.98	1.16 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.132	8.43	01/27/21	8.90	0.47 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.245	15.65	01/27/21	16.42	0.77 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.247	15.78	01/27/21	17.58	1.80 W	0.00	5 of 7 - Sale <sup>25</sup>
	2.517	161.04	01/27/21	170.26	9.22 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.368	87.51	01/27/21	97.70	10.19 W	0.00	7 of 7 - Sale <sup>25</sup>
02/11/21	4.689	300.00	Various	323.98	23.98 W	0.00	Total of 7 transactions
03/23/21	0.619	23.67	01/27/21	44.23	...	-20.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>14,152.23</b>		<b>14,200.37</b>	<b>762.19 W</b>	<b>714.05</b>	
PORCH GROUP, INC. COMMON STOCK / CUSIP: 733245104 / Symbol:							
<i>3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	0.008	0.18	02/05/21	0.16	...	0.02	1 of 3 - Sale <sup>25</sup>
	0.436	9.82	02/05/21	8.34	...	1.48	2 of 3 - Sale <sup>25</sup>
	9.992	225.16	02/05/21	191.50	...	33.66	3 of 3 - Sale <sup>25</sup>
02/09/21	10.436	235.16	Various	200.00	...	35.16	Total of 3 transactions
PROGRESSIVE CORPORATION / CUSIP: 743315103 / Symbol:							
<i>4 transactions for 01/06/21. Total proceeds and cost reported to the IRS.</i>							
	10.796	1,062.05	11/23/20	1,000.00	...	62.05	1 of 4 - Sale <sup>25</sup>
	0.001	0.07	11/24/20	0.06	...	0.01	2 of 4 - Sale <sup>25</sup>
	0.407	40.05	11/24/20	35.68	...	4.37	3 of 4 - Sale <sup>25</sup>
	11.000	1,082.15	11/24/20	964.26	...	117.89	4 of 4 - Sale <sup>25</sup>
01/06/21	22.203	2,184.32	Various	2,000.00	...	184.32	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol:							
3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	3.000	447.36	02/03/21	491.22	...	-43.86	1 of 3 - Sale <sup>25</sup>
	0.054	8.00	02/03/21	8.78	...	-0.78	2 of 3 - Sale <sup>25</sup>
	3.304	492.80	02/04/21	500.00	...	-7.20	3 of 3 - Sale <sup>25</sup>
02/04/21	6.358	948.16	Various	1,000.00	...	-51.84	Total of 3 transactions
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
03/23/21	0.030	1.77	02/11/21	1.35	...	0.42	Sale <sup>25</sup>
REEDS, INC. COMMON STOCK / CUSIP: 758338107 / Symbol:							
02/03/21	200.000	239.98	02/03/21	207.90	...	32.08	Sale <sup>25</sup>
RING ENERGY INC. / CUSIP: 76680V108 / Symbol:							
7 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	0.274	0.71	02/10/21	0.62	...	0.09	1 of 7 - Sale <sup>25</sup>
	0.274	0.71	02/10/21	0.59	...	0.12	2 of 7 - Sale <sup>25</sup>
	20.000	52.00	02/10/21	45.40	...	6.60	3 of 7 - Sale <sup>25</sup>
	46.726	121.48	02/10/21	101.40	...	20.08	4 of 7 - Sale <sup>25</sup>
	53.000	137.80	02/10/21	115.01	...	22.79	5 of 7 - Sale <sup>25</sup>
	100.000	259.99	02/10/21	226.99	...	33.00	6 of 7 - Sale <sup>25</sup>
	100.000	259.99	02/10/21	226.99	...	33.00	7 of 7 - Sale <sup>25</sup>
02/24/21	320.274	832.68	Various	717.00	...	115.68	Total of 7 transactions
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
01/07/21	1.688	506.14	01/07/21	500.00	...	6.14	Sale <sup>25</sup>
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	0.284	86.56	02/02/21	86.56	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.001	0.20	02/02/21	0.20	...	0.00	2 of 2 - Sale <sup>25</sup>
02/02/21	0.285	86.76	Various	86.76	...	0.00	Total of 2 transactions
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	0.358	108.95	02/02/21	109.00	0.05 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	304.29	02/02/21	304.64	0.35 W	0.00	2 of 2 - Sale <sup>25</sup>
02/02/21	1.358	413.24	Various	413.64	0.40 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol: (cont'd)							
4 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	1.000	262.00	02/10/21	304.81	...	-42.81	1 of 4 - Sale <sup>25</sup>
	0.282	74.32	02/10/21	97.95	...	-23.63	2 of 4 - Sale <sup>25</sup>
	0.358	93.74	02/10/21	108.99	...	-15.25	3 of 4 - Sale <sup>25</sup>
	0.002	0.58	02/10/21	0.68	...	-0.10	4 of 4 - Sale <sup>25</sup>
02/26/21	1.642	430.64	Various	512.43	...	-81.79	Total of 4 transactions
02/26/21	1.640	429.67	02/02/21	499.60	12.03 W	-57.90	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,866.45</b>		<b>2,012.43</b>	<b>12.43 W</b>	<b>-133.55</b>	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	1.877	2.14	01/29/21	1.64	...	0.50	1 of 2 - Sale <sup>25</sup>
	30.681	34.98	01/29/21	24.15	...	10.83	2 of 2 - Sale <sup>25</sup>
02/01/21	32.558	37.12	Various	25.79	...	11.33	Total of 2 transactions
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	1.877	2.09	01/28/21	2.25	0.16 W	0.00	1 of 2 - Sale <sup>25</sup>
	404.123	460.69	01/28/21	484.95	24.26 W	0.00	2 of 2 - Sale <sup>25</sup>
02/01/21	406.000	462.78	Various	487.20	24.42 W	0.00	Total of 2 transactions
4 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.026	0.03	01/29/21	0.02	...	0.01	1 of 4 - Sale <sup>25</sup>
	0.415	0.49	01/29/21	0.33	...	0.16	2 of 4 - Sale <sup>25</sup>
	33.903	39.66	01/29/21	26.69	...	12.97	3 of 4 - Sale <sup>25</sup>
	404.097	472.77	01/29/21	342.36	...	130.41	4 of 4 - Sale <sup>25</sup>
02/03/21	438.442	512.95	Various	369.40	...	143.55	Total of 4 transactions
3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	0.050	0.09	02/09/21	0.08	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.457	0.79	02/09/21	0.72	...	0.07	2 of 3 - Sale <sup>25</sup>
	312.000	536.92	02/09/21	499.20	...	37.72	3 of 3 - Sale <sup>25</sup>
02/09/21	312.507	537.80	Various	500.00	...	37.80	Total of 3 transactions
02/10/21	0.714	1.57	02/10/21	1.57	...	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	223.000	490.86	02/10/21	491.72	0.76 W	-0.10	1 of 2 - Sale <sup>25</sup>
	3.034	6.68	02/10/21	6.69	0.01 W	0.00	2 of 2 - Sale <sup>25</sup>
02/10/21	226.034	497.54	Various	498.41	0.77 W	-0.10	Total of 2 transactions
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	3.034	10.86	02/10/21	6.64	...	4.22	1 of 3 - Sale <sup>25</sup>
	100.000	259.49	02/10/21	218.89	...	40.60	2 of 3 - Sale <sup>25</sup>
	96.966	347.13	02/10/21	212.24	...	134.89	3 of 3 - Sale <sup>25</sup>
02/11/21	200.000	617.48	Various	437.77	...	179.71	Total of 3 transactions
	<b>Security total:</b>	<b>2,667.24</b>		<b>2,320.14</b>	<b>25.19 W</b>	<b>372.29</b>	
SUNPOWER CORPORATION COMMON STOCK / CUSIP: 867652406 / Symbol:							
3 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	0.012	0.39	01/12/21	0.37	...	0.02	1 of 3 - Sale <sup>25</sup>
	0.659	20.98	01/12/21	20.03	...	0.95	2 of 3 - Sale <sup>25</sup>
	24.000	763.90	01/12/21	729.60	...	34.30	3 of 3 - Sale <sup>25</sup>
01/13/21	24.671	785.27	Various	750.00	...	35.27	Total of 3 transactions
3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	0.344	11.14	01/13/21	10.95	...	0.19	1 of 3 - Sale <sup>25</sup>
	0.656	21.48	01/13/21	20.84	...	0.64	2 of 3 - Sale <sup>25</sup>
	31.656	1,024.35	01/13/21	1,000.00	...	24.35	3 of 3 - Sale <sup>25</sup>
01/15/21	32.656	1,056.97	Various	1,031.79	...	25.18	Total of 3 transactions
	<b>Security total:</b>	<b>1,842.24</b>		<b>1,781.79</b>	...	<b>60.45</b>	
SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol:							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	4.995	420.72	01/07/21	459.53	...	-38.81	1 of 2 - Sale <sup>25</sup>
	0.440	37.09	01/07/21	40.47	...	-3.38	2 of 2 - Sale <sup>25</sup>
01/15/21	5.435	457.81	Various	500.00	...	-42.19	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD. / CUSIP: 874039100 / Symbol:							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	0.928	107.67	02/03/21	118.10	...	-10.43	1 of 2 - Sale <sup>25</sup>
	0.001	0.16	02/03/21	0.18	...	-0.02	2 of 2 - Sale <sup>25</sup>
03/23/21	0.930	107.83	Various	118.28	...	-10.45	Total of 2 transactions
TAYLOR MORRISON HOME CORPORATION COMMON STOCK / CUSIP: 87724P106 / Symbol:							
3 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	20.000	494.60	01/14/21	495.40	...	-0.80	1 of 3 - Sale <sup>25</sup>
	0.202	5.00	01/14/21	5.00	...	0.00	2 of 3 - Sale <sup>25</sup>
	0.016	0.40	01/14/21	0.40	...	0.00	3 of 3 - Sale <sup>25</sup>
01/14/21	20.218	500.00	Various	500.80	...	-0.80	Total of 3 transactions
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	20.000	491.40	01/14/21	495.40	...	-4.00	1 of 2 - Sale <sup>25</sup>
	0.153	3.77	01/14/21	3.80	...	-0.03	2 of 2 - Sale <sup>25</sup>
01/15/21	20.153	495.17	Various	499.20	...	-4.03	Total of 2 transactions
	<b>Security total:</b>	<b>995.17</b>		<b>1,000.00</b>	...	<b>-4.83</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.034	25.64	12/10/20	19.30	...	6.34	1 of 3 - Sale <sup>25</sup>
	0.446	340.68	12/10/20	256.41	...	84.27	2 of 3 - Sale <sup>25</sup>
	2.999	2,289.90	12/10/20	1,724.29	...	565.61	3 of 3 - Sale <sup>25</sup>
01/06/21	3.478	2,656.22	Various	2,000.00	...	656.22	Total of 3 transactions
8 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	0.005	4.43	01/07/21	4.07	...	0.36	1 of 8 - Sale <sup>25</sup>
	0.170	144.52	01/07/21	133.36	...	11.16	2 of 8 - Sale <sup>25</sup>
	0.329	279.86	01/07/21	258.17	...	21.69	3 of 8 - Sale <sup>25</sup>
	0.342	291.85	01/07/21	264.83	...	27.02	4 of 8 - Sale <sup>25</sup>
	0.412	351.33	01/07/21	323.68	...	27.65	5 of 8 - Sale <sup>25</sup>
	0.644	548.74	01/07/21	500.00	...	48.74	6 of 8 - Sale <sup>25</sup>
	1.000	850.07	01/07/21	784.79	...	65.28	7 of 8 - Sale <sup>25</sup>
	0.943	803.57	01/07/21	731.10	...	72.47	8 of 8 - Sale <sup>25</sup>
01/13/21	3.846	3,274.37	Various	3,000.00	...	274.37	Total of 8 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	0.231	204.01	01/25/21	200.00	...	4.01	1 of 2 - Sale <sup>25</sup>
	0.234	206.71	01/25/21	199.85	...	6.86	2 of 2 - Sale <sup>25</sup>
01/26/21	0.464	410.72	Various	399.85	...	10.87	Total of 2 transactions
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	0.604	408.13	01/29/21	500.00	91.87 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.349	236.01	02/10/21	287.21	51.20 W	0.00	2 of 2 - Sale <sup>25</sup>
03/23/21	0.953	644.14	Various	787.21	143.07 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>6,985.45</b>		<b>6,187.06</b>	<b>143.07 W</b>	<b>941.46</b>	
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	0.003	0.07	01/28/21	0.06	...	0.01	1 of 2 - Sale <sup>25</sup>
	9.997	237.43	01/28/21	194.93	...	42.50	2 of 2 - Sale <sup>25</sup>
02/02/21	10.000	237.50	Various	194.99	...	42.51	Total of 2 transactions
02/03/21	41.285	1,047.79	01/28/21	805.01	...	242.78	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,285.29</b>		<b>1,000.00</b>	...	<b>285.29</b>	
TRANSMEDICS GROUP, INC. COMMON STOCK / CUSIP: 89377M109 / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	0.006	0.18	02/11/21	0.17	...	0.01	1 of 2 - Sale <sup>25</sup>
	16.866	533.80	02/11/21	499.83	...	33.97	2 of 2 - Sale <sup>25</sup>
02/12/21	16.872	533.98	Various	500.00	...	33.98	Total of 2 transactions
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
5 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	0.014	0.68	01/21/21	0.68	...	0.00	1 of 5 - Sale <sup>25</sup>
	0.001	0.05	01/21/21	0.05	...	0.00	2 of 5 - Sale <sup>25</sup>
	2.618	123.17	01/21/21	123.12	...	0.05	3 of 5 - Sale <sup>25</sup>
	8.000	376.39	01/21/21	376.20	...	0.19	4 of 5 - Sale <sup>25</sup>
	10.633	500.25	01/21/21	499.95	...	0.30	5 of 5 - Sale <sup>25</sup>
01/21/21	21.266	1,000.54	Various	1,000.00	...	0.54	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWITTER, INC. / CUSIP: 90184L102 / Symbol: (cont'd)							
4 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	0.413	27.80	01/22/21	19.35	...	8.45	1 of 4 - Sale <sup>25</sup>
	4.925	331.30	01/22/21	230.65	...	100.65	2 of 4 - Sale <sup>25</sup>
	12.997	874.31	02/26/21	993.98	...	-119.67	3 of 4 - Sale <sup>25</sup>
	0.079	5.30	02/26/21	6.02	...	-0.72	4 of 4 - Sale <sup>25</sup>
03/04/21	18.413	1,238.71	Various	1,250.00	...	-11.29	Total of 4 transactions
	<b>Security total:</b>	<b>2,239.25</b>		<b>2,250.00</b>	...	<b>-10.75</b>	
TYME TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 90238J103 / Symbol:							
02/03/21	200.000	978.95	02/03/21	897.00	...	81.95	Sale <sup>25</sup>
UP FINTECH HOLDING LTD AMERICA N DEPOSITARY SHARE REPRESENTIN G FIFTEEN CLASS A ORDINARY SHA / CUSIP: 91531W106 / Symbol:							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	0.520	9.79	02/18/21	15.98	6.19 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.292	5.50	02/18/21	8.96	3.46 W	0.00	2 of 2 - Sale <sup>25</sup>
03/23/21	0.812	15.29	Various	24.94	9.65 W	0.00	Total of 2 transactions
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	0.196	1.54	01/27/21	1.41	...	0.13	1 of 3 - Sale <sup>25</sup>
	0.204	1.61	01/27/21	1.47	...	0.14	2 of 3 - Sale <sup>25</sup>
	69.000	543.00	01/27/21	497.12	...	45.88	3 of 3 - Sale <sup>25</sup>
01/28/21	69.400	546.15	Various	500.00	...	46.15	Total of 3 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
01/13/21	20.932	573.53	01/06/21	500.00	...	73.53	Sale <sup>25</sup>
7 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	0.111	3.62	01/13/21	3.04	...	0.58	1 of 7 - Sale <sup>25</sup>
	0.889	28.91	01/13/21	24.37	...	4.54	2 of 7 - Sale <sup>25</sup>
	0.010	0.33	01/14/21	0.32	...	0.01	3 of 7 - Sale <sup>25</sup>
	0.029	0.93	01/14/21	0.89	...	0.04	4 of 7 - Sale <sup>25</sup>
	0.232	7.55	01/14/21	7.20	...	0.35	5 of 7 - Sale <sup>25</sup>
	15.972	519.40	01/14/21	499.68	...	19.72	6 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
01/14/21	15.868	516.01	01/14/21	491.91	...	24.10	7 of 7 - Sale <sup>25</sup>
01/14/21	33.111	1,076.75	Various	1,027.41	...	49.34	Total of 7 transactions
4 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	61.000	1,885.45	01/14/21	1,988.60	47.62 W	-55.53	1 of 4 - Sale <sup>25</sup>
	0.350	10.85	01/14/21	11.39	0.54 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.650	20.10	01/14/21	21.19	1.09 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.350	10.81	01/14/21	11.94	1.13 W	0.00	4 of 4 - Sale <sup>25</sup>
01/15/21	62.350	1,927.21	Various	2,033.12	50.38 W	-55.53	Total of 4 transactions
8 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	8.579	456.19	02/01/21	462.31	...	-6.12	1 of 8 - Sale <sup>25</sup>
	0.350	18.60	02/01/21	19.38	...	-0.78	2 of 8 - Sale <sup>25</sup>
	0.650	34.58	02/01/21	35.04	...	-0.46	3 of 8 - Sale <sup>25</sup>
	0.012	0.65	02/02/21	0.65	...	0.00	4 of 8 - Sale <sup>25</sup>
	0.042	2.25	02/02/21	2.22	...	0.03	5 of 8 - Sale <sup>25</sup>
	0.160	9.06	02/02/21	8.39	...	0.67	6 of 8 - Sale <sup>25</sup>
	9.742	518.06	02/02/21	515.84	...	2.22	7 of 8 - Sale <sup>25</sup>
	9.625	511.85	02/02/21	506.01	...	5.84	8 of 8 - Sale <sup>25</sup>
02/12/21	29.160	1,551.24	Various	1,549.84	...	1.40	Total of 8 transactions
	<b>Security total:</b>	<b>5,128.73</b>		<b>5,110.37</b>	<b>50.38 W</b>	<b>68.74</b>	
WALMART INC. / CUSIP: 931142103 / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	6.771	995.64	01/13/21	1,000.00	...	-4.36	1 of 2 - Sale <sup>25</sup>
	3.406	500.87	01/22/21	500.00	...	0.87	2 of 2 - Sale <sup>25</sup>
01/26/21	10.177	1,496.51	Various	1,500.00	...	-3.49	Total of 2 transactions
WORKDAY, INC. CLASS A COMMON S TOCK / CUSIP: 98138H101 / Symbol:							
2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	1.935	443.08	01/07/21	433.03	...	10.05	1 of 2 - Sale <sup>25</sup>
	0.066	15.02	01/08/21	14.84	...	0.18	2 of 2 - Sale <sup>25</sup>
01/13/21	2.000	458.10	Various	447.87	...	10.23	Total of 2 transactions
8 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	0.299	68.48	12/28/20	73.20	4.28 W	-0.44	1 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKDAY, INC. CLASS A COMMON S TOCK / CUSIP: 98138H101 / Symbol: (cont'd)							
	0.028	6.40	12/28/20	6.84	0.44 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.000	0.04	12/28/20	0.09	0.05 W	0.00	3 of 8 - Sale <sup>25</sup>
	3.700	847.35	12/28/20	905.87	58.52 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.028	6.40	01/07/21	6.69	0.29 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.207	47.50	01/07/21	49.67	2.17 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.000	0.08	01/07/21	0.13	0.05 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.064	14.57	01/07/21	15.25	0.68 W	0.00	8 of 8 - Sale <sup>25</sup>
01/13/21	4.327	990.82	Various	1,057.74	66.48 W	-0.44	Total of 8 transactions
	6 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	3.700	837.52	01/08/21	895.46	...	-57.94	1 of 6 - Sale <sup>25</sup>
	0.207	46.95	01/08/21	49.09	...	-2.14	2 of 6 - Sale <sup>25</sup>
	0.064	14.40	01/08/21	15.07	...	-0.67	3 of 6 - Sale <sup>25</sup>
	0.028	6.32	01/08/21	6.61	...	-0.29	4 of 6 - Sale <sup>25</sup>
	0.000	0.08	01/08/21	0.13	...	-0.05	5 of 6 - Sale <sup>25</sup>
	0.356	80.56	01/08/21	80.51	...	0.05	6 of 6 - Sale <sup>25</sup>
01/15/21	4.355	985.83	Various	1,046.87	...	-61.04	Total of 6 transactions
	<b>Security total:</b>	<b>2,434.75</b>		<b>2,552.48</b>	<b>66.48 W</b>	<b>-51.25</b>	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
	3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.						
	0.151	3.61	12/02/20	2.95	...	0.66	1 of 3 - Sale <sup>25</sup>
	14.899	356.66	12/02/20	305.57	...	51.09	2 of 3 - Sale <sup>25</sup>
	50.951	1,219.73	12/02/20	997.05	...	222.68	3 of 3 - Sale <sup>25</sup>
01/06/21	66.000	1,580.00	Various	1,305.57	...	274.43	Total of 3 transactions
	4 transactions for 01/06/21. Total proceeds and cost reported to the IRS.						
	24.102	576.98	11/24/20	837.04	260.06 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.059	1.41	12/02/20	2.05	0.64 W	0.00	2 of 4 - Sale <sup>25</sup>
	11.275	269.92	12/02/20	313.46	43.54 W	0.00	3 of 4 - Sale <sup>25</sup>
	12.285	294.10	12/02/20	331.94	37.84 W	0.00	4 of 4 - Sale <sup>25</sup>
01/06/21	47.721	1,142.41	Various	1,484.49	342.08 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	8.163	218.08	01/06/21	193.80	...	24.28	1 of 2 - Sale <sup>25</sup>
	69.770	1,820.95	01/06/21	1,656.34	...	164.61	2 of 2 - Sale <sup>25</sup>
01/08/21	77.934	2,039.03	Various	1,850.14	...	188.89	Total of 2 transactions
9 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	0.264	7.06	01/06/21	9.10	2.04 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.452	12.07	01/06/21	15.58	3.51 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.059	1.57	01/06/21	2.04	0.47 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.264	7.05	01/06/21	8.31	1.26 W	0.00	4 of 9 - Sale <sup>25</sup>
	23.386	624.74	01/06/21	807.51	182.77 W	0.00	5 of 9 - Sale <sup>25</sup>
	11.275	301.21	01/06/21	311.21	10.00 W	0.00	6 of 9 - Sale <sup>25</sup>
	12.285	328.19	01/06/21	329.50	1.31 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.222	5.81	01/06/21	7.00	1.19 W	0.00	8 of 9 - Sale <sup>25</sup>
	0.230	5.99	01/06/21	7.24	1.25 W	0.00	9 of 9 - Sale <sup>25</sup>
01/08/21	48.437	1,293.69	Various	1,497.49	203.80 W	0.00	Total of 9 transactions
11 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	11.005	256.62	01/08/21	296.12	...	-39.50	1 of 11 - Sale <sup>25</sup>
	23.386	545.33	01/12/21	851.19	...	-305.86	2 of 11 - Sale <sup>25</sup>
	11.275	262.93	01/12/21	332.27	...	-69.34	3 of 11 - Sale <sup>25</sup>
	4.559	106.32	01/12/21	130.81	...	-24.49	4 of 11 - Sale <sup>25</sup>
	0.230	5.36	01/12/21	7.81	...	-2.45	5 of 11 - Sale <sup>25</sup>
	0.161	3.75	01/12/21	5.44	...	-1.69	6 of 11 - Sale <sup>25</sup>
	0.112	2.62	01/12/21	4.14	...	-1.52	7 of 11 - Sale <sup>25</sup>
	0.109	2.53	01/12/21	3.61	...	-1.08	8 of 11 - Sale <sup>25</sup>
	0.059	1.37	01/12/21	2.36	...	-0.99	9 of 11 - Sale <sup>25</sup>
	0.062	1.44	01/12/21	2.09	...	-0.65	10 of 11 - Sale <sup>25</sup>
	0.043	1.01	01/12/21	1.44	...	-0.43	11 of 11 - Sale <sup>25</sup>
01/15/21	51.000	1,189.28	Various	1,637.28	...	-448.00	Total of 11 transactions
12 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	52.591	1,226.91	01/08/21	1,415.16	63.08 W	-125.17	1 of 12 - Sale <sup>25</sup>
	0.059	1.37	01/08/21	2.05	0.68 W	0.00	2 of 12 - Sale <sup>25</sup>
	0.112	2.62	01/08/21	3.56	0.94 W	0.00	3 of 12 - Sale <sup>25</sup>
	0.152	3.56	01/08/21	4.81	1.25 W	0.00	4 of 12 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.161	3.76	01/08/21	5.18	1.42 W	0.00	5 of 12 - Sale <sup>25</sup>
	0.059	1.37	01/08/21	2.26	0.89 W	0.00	6 of 12 - Sale <sup>25</sup>
	0.112	2.62	01/08/21	3.96	1.34 W	0.00	7 of 12 - Sale <sup>25</sup>
	0.062	1.44	01/08/21	1.99	0.55 W	0.00	8 of 12 - Sale <sup>25</sup>
	0.230	5.36	01/08/21	7.43	2.07 W	0.00	9 of 12 - Sale <sup>25</sup>
	23.386	545.58	01/08/21	812.05	266.47 W	0.00	10 of 12 - Sale <sup>25</sup>
	11.275	263.04	01/08/21	313.40	50.36 W	0.00	11 of 12 - Sale <sup>25</sup>
	12.285	286.61	01/08/21	331.90	45.29 W	0.00	12 of 12 - Sale <sup>25</sup>
01/15/21	100.483	2,344.24	Various	2,903.75	434.34 W	-125.17	Total of 12 transactions
03/23/21	0.552	8.63	02/05/21	25.10	16.47 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,597.28</b>		<b>10,703.82</b>	<b>996.69 W</b>	<b>-109.85</b>	
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	6.000	122.67	02/10/21	118.56	...	4.11	1 of 2 - Sale <sup>25</sup>
	14.000	286.23	02/10/21	276.64	...	9.59	2 of 2 - Sale <sup>25</sup>
02/16/21	20.000	408.90	Various	395.20	...	13.70	Total of 2 transactions
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
01/15/21	9.615	455.12	01/14/21	500.00	...	-44.88	Sale <sup>25</sup>
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	0.995	0.99	01/26/21	0.97	...	0.02	1 of 3 - Sale <sup>25</sup>
	511.771	506.99	01/26/21	499.03	...	7.96	2 of 3 - Sale <sup>25</sup>
	579.441	574.02	01/27/21	500.00	...	74.02	3 of 3 - Sale <sup>25</sup>
01/28/21	1,092.207	1,082.00	Various	1,000.00	...	82.00	Total of 3 transactions
02/11/21	100.000	266.99	02/09/21	232.50	...	34.49	Sale <sup>25</sup>
03/23/21	0.232	0.44	02/11/21	0.61	...	-0.17	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,349.43</b>		<b>1,233.11</b>	...	<b>116.32</b>	
ZYNEX, INC. COMMON STOCK / CUSIP: 98986M103 / Symbol:							
03/23/21	0.659	10.25	02/26/21	9.74	...	0.51	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARCOS DORADOS HOLDINGS INC. / CUSIP: G0457F107 / Symbol:							
4 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	0.112	0.60	01/06/21	0.57	...	0.03	1 of 4 - Sale <sup>25</sup>
	0.800	4.29	01/06/21	4.04	...	0.25	2 of 4 - Sale <sup>25</sup>
	98.000	526.28	01/06/21	495.39	...	30.89	3 of 4 - Sale <sup>25</sup>
	100.200	538.09	01/07/21	500.00	...	38.09	4 of 4 - Sale <sup>25</sup>
01/15/21	199.112	1,069.26	Various	1,000.00	...	69.26	Total of 4 transactions
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
01/07/21	20.871	513.93	01/07/21	500.00	...	13.93	Sale <sup>25</sup>
8 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	0.085	1.75	01/11/21	1.72	...	0.03	1 of 8 - Sale <sup>25</sup>
	0.026	0.54	01/11/21	0.51	...	0.03	2 of 8 - Sale <sup>25</sup>
	0.414	8.58	01/11/21	8.48	...	0.10	3 of 8 - Sale <sup>25</sup>
	0.190	3.92	01/11/21	3.71	...	0.21	4 of 8 - Sale <sup>25</sup>
	3.741	77.55	01/11/21	76.83	...	0.72	5 of 8 - Sale <sup>25</sup>
	20.189	416.49	01/11/21	414.69	...	1.80	6 of 8 - Sale <sup>25</sup>
	24.510	505.62	01/11/21	498.28	...	7.34	7 of 8 - Sale <sup>25</sup>
	51.000	1,052.10	01/11/21	995.78	...	56.32	8 of 8 - Sale <sup>25</sup>
01/12/21	100.155	2,066.55	Various	2,000.00	...	66.55	Total of 8 transactions
3 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	0.008	0.17	01/12/21	0.17	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.096	1.95	01/13/21	1.84	...	0.11	2 of 3 - Sale <sup>25</sup>
	25.912	526.91	01/13/21	498.16	...	28.75	3 of 3 - Sale <sup>25</sup>
01/14/21	26.016	529.03	Various	500.17	...	28.86	Total of 3 transactions
01/14/21	0.992	20.17	01/12/21	20.49	0.32 W	0.00	Sale <sup>25</sup>
01/15/21	0.002	0.03	01/14/21	0.03	...	0.00	Sale <sup>25</sup>
7 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	18.000	304.92	01/14/21	370.46	59.54 W	-6.00	1 of 7 - Sale <sup>25</sup>
	0.293	4.98	01/14/21	6.03	1.05 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.699	11.82	01/14/21	14.41	2.59 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.008	0.14	01/14/21	0.16	0.02 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.040	0.67	01/14/21	0.96	0.29 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.253	4.27	01/14/21	6.11	1.84 W	0.00	6 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol: (cont'd)							
	6.000	101.40	01/14/21	123.49	22.09 W	0.00	7 of 7 - Sale <sup>25</sup>
01/15/21	25.293	428.20	Various	521.62	87.42 W	-6.00	Total of 7 transactions
03/23/21	0.351	5.59	01/29/21	8.72	...	-3.13	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,563.50</b>		<b>3,551.03</b>	<b>87.74 W</b>	<b>100.21</b>	
ITERUM THERAPEUTICS PLC ORDINA RY SHARE / CUSIP: G6333L101 / Symbol:							
3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	219.300	497.77	02/09/21	498.91	...	-1.14	1 of 3 - Sale <sup>25</sup>
	0.480	1.09	02/09/21	1.09	...	0.00	2 of 3 - Sale <sup>25</sup>
	245.700	557.69	02/09/21	500.00	...	57.69	3 of 3 - Sale <sup>25</sup>
02/09/21	465.480	1,056.55	Various	1,000.00	...	56.55	Total of 3 transactions
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.038	0.92	11/24/20	0.83	...	0.09	1 of 3 - Sale <sup>25</sup>
	0.403	9.83	11/24/20	8.87	...	0.96	2 of 3 - Sale <sup>25</sup>
	45.000	1,097.75	11/24/20	990.30	...	107.45	3 of 3 - Sale <sup>25</sup>
01/06/21	45.441	1,108.50	Various	1,000.00	...	108.50	Total of 3 transactions
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	0.650	16.97	01/07/21	16.00	...	0.97	1 of 2 - Sale <sup>25</sup>
	40.000	1,042.01	01/07/21	984.00	...	58.01	2 of 2 - Sale <sup>25</sup>
01/15/21	40.650	1,058.98	Various	1,000.00	...	58.98	Total of 2 transactions
	<b>Security total:</b>	<b>2,167.48</b>		<b>2,000.00</b>	...	<b>167.48</b>	
SILVER SPIKE ACQUISITION CORP. CLASS A ORDINARY SHARES / CUSIP: G8136L106 / Symbol:							
4 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	0.475	11.74	01/19/21	8.72	...	3.02	1 of 4 - Sale <sup>25</sup>
	10.424	254.55	01/19/21	191.28	...	63.27	2 of 4 - Sale <sup>25</sup>
	0.021	0.51	01/21/21	0.51	...	0.00	3 of 4 - Sale <sup>25</sup>
	20.555	501.94	01/21/21	499.49	...	2.45	4 of 4 - Sale <sup>25</sup>
01/22/21	31.475	768.74	Various	700.00	...	68.74	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol:							
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.104	0.39	01/27/21	0.36	...	0.03	1 of 3 - Sale <sup>25</sup>
	0.196	0.73	01/27/21	0.68	...	0.05	2 of 3 - Sale <sup>25</sup>
	143.896	535.61	01/27/21	498.96	...	36.65	3 of 3 - Sale <sup>25</sup>
01/27/21	144.196	536.73	Various	500.00	...	36.73	Total of 3 transactions
FIAT CHRYSLER AUTOMOBILES N.V. / CUSIP: N31738102 / Symbol:							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	30.960	476.45	01/14/21	500.00	...	-23.55	1 of 2 - Sale <sup>25</sup>
	30.960	476.45	01/14/21	500.00	...	-23.55	2 of 2 - Sale <sup>25</sup>
01/15/21	61.920	952.90	Various	1,000.00	...	-47.10	Total of 2 transactions
STELLANTIS N.V. / CUSIP: N82405106 / Symbol:							
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	0.004	0.07	01/21/21	0.07	...	0.00	1 of 2 - Sale <sup>25</sup>
	14.868	249.93	01/21/21	249.48	...	0.45	2 of 2 - Sale <sup>25</sup>
01/21/21	14.872	250.00	Various	249.55	...	0.45	Total of 2 transactions
2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	14.000	229.25	01/21/21	234.92	...	-5.67	1 of 2 - Sale <sup>25</sup>
	0.926	15.16	01/21/21	15.53	...	-0.37	2 of 2 - Sale <sup>25</sup>
02/24/21	14.926	244.41	Various	250.45	...	-6.04	Total of 2 transactions
	<b>Security total:</b>	<b>494.41</b>		<b>500.00</b>	...	<b>-5.59</b>	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
01/27/21	454.000	540.19	01/27/21	347.31	...	192.88	Sale <sup>25</sup>
01/28/21	766.000	1,501.46	01/27/21	585.99	...	915.47	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,041.65</b>		<b>933.30</b>	...	<b>1,108.35</b>	
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
02/05/21	285.000	211.90	02/04/21	189.98	...	21.92	Sale <sup>25</sup>
<b>Totals :</b>		<b>324,040.92</b>		<b>313,854.98</b>		<b>9,972.59 W</b>	<b>20,158.53</b>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648019362

## Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/11/21	2.97	Qualified dividend	
NVIDIA CORPORATION COMMON STOC K	67066G104		03/31/21	0.15	Qualified dividend	
TAIWAN SEMICONDUCTOR MANUFACTU RING COMPANY LTD.	874039100		04/15/21	1.73	Qualified dividend	
			04/15/21	-0.36	Foreign tax withheld-TW	
				<b>1.73</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.36</b>	<b>Total Foreign tax withheld</b>	
				<b>4.85</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.36</b>	<b>Total Foreign tax withheld</b>	



<b>Robinhood Securities LLC</b>	<b>Detail for Interest Income</b>	Account 648019362
<b>2021</b>		02/11/2022

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/29/21	0.71	Interest	
			<b>0.71</b>	<b>Total Interest</b>	

Robinhood Securities LLC 2021	<b>Detail for Miscellaneous Information</b>	Account 648019362 02/11/2022
----------------------------------	---	---------------------------------

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/11/21	150.00	Other income	
			150.00	Total Miscellaneous income	

Robinhood Securities LLC

Account 648019362

## Foreign Income and Taxes Summary

2021

02/11/2022

*This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."*

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
TW	TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.	874039100	0.00	1.73		0.00	1.73	-0.36
<b>Total TAIWAN</b>			<b>0.00</b>	<b>1.73</b>		<b>0.00</b>	<b>1.73</b>	<b>-0.36</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>1.73</b>				
	<b>Qualified Foreign Source Income**</b>			<b>1.73</b>				
	<b>Foreign Tax</b>							<b>-0.36</b>

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

25 Tax lot closed on a first in first out basis.

---

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Instructions for Recipient**

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7. **Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms

1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment

**Instructions for Recipient**

trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 15-17.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments