

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 121134340	Statement Date: 02/11/2022 Document ID: E4XT 0KM 4M46	2021
	Surender Yelugandula 7904 Ellis Wyatt Ct Elkridge, MD 21075 RECIPIENT'S TIN: XXX-XX-9399		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 26.25</p> <p>1b- Qualified dividends 26.25</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 10.32</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	69,625.29	65,907.30	0.00	3,381.26	7,099.25
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.43	328.30	0.00	0.00	-327.87
	Total Short-term	69,625.72	66,235.60	0.00	3,381.26	6,771.38
Long	D (basis reported to the IRS)	1,363.45	1,299.00	0.00	145.43	209.88
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.10	0.05	0.00	0.00	0.05
	Total Long-term	1,363.55	1,299.05	0.00	145.43	209.93
Undetermined	B or E (basis not reported to the IRS)	0.53	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.53	0.00	0.00	0.00	0.00
	Grand total	70,989.80	67,534.65	0.00	3,526.69	6,981.31
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	433.27
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.53
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/15/2021 CALL \$160.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	11/10/20	57.02	...	-57.02	Option expiration- long call
AAPL 01/29/2021 CALL \$160.00 / CUSIP: / Symbol:							
01/25/21	1.000	93.98	01/22/21	49.00	...	44.98	Option sale to close-call ²⁵
01/26/21	1.000	89.98	01/22/21	49.00	...	40.98	Option sale to close-call ²⁵
	2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	01/22/21	47.00	...	-47.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/26/21	74.00	...	-74.00	2 of 2 - Option expiration- long call
01/29/21	2.000	0.00	Various	121.00	...	-121.00	Total of 2 transactions
	Security total:	183.96		219.00	...	-35.04	
ADAP 09/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
08/25/21	1.000	69.98	06/17/21	55.00	...	14.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AI 06/18/2021 CALL \$70.00 / CUSIP: / Symbol:							
06/08/21	1.000	179.98	06/07/21	100.00	...	79.98	Option sale to close-call ²⁵
AI 06/18/2021 CALL \$75.00 / CUSIP: / Symbol:							
06/09/21	1.000	129.98	06/04/21	105.00	...	24.98	Option sale to close-call ²⁵
BABA 07/30/2021 CALL \$200.00 / CUSIP: / Symbol:							
07/28/21	1.000	159.98	07/26/21	232.00	72.02 W	0.00	Option sale to close-call ²⁵
07/29/21	1.000	283.98	07/27/21	157.02	...	126.96	Option sale to close-call ²⁵
	Security total:	443.96		389.02	72.02 W	126.96	
BABA 08/27/2021 CALL \$180.00 / CUSIP: / Symbol:							
08/25/21	1.000	29.98	08/25/21	29.00	...	0.98	Option sale to close-call ²⁵
BABA 09/24/2021 CALL \$160.00 / CUSIP: / Symbol:							
09/22/21	1.000	52.98	09/21/21	45.00	...	7.98	Option sale to close-call ²⁵
BAC 11/19/2021 CALL \$47.50 / CUSIP: / Symbol:							
11/19/21	2.000	0.00	11/12/21	62.00	...	-62.00	Option expiration- long call
BAC 12/31/2021 CALL \$45.00 / CUSIP: / Symbol:							
	3 transactions for 12/31/21. Total proceeds and cost reported to the IRS.						
	8.000	0.00	12/23/21	280.00	...	-280.00	1 of 3 - Option expiration- long call
	1.000	0.00	12/23/21	35.00	...	-35.00	2 of 3 - Option expiration- long call
	1.000	0.00	12/23/21	35.00	...	-35.00	3 of 3 - Option expiration- long call
12/31/21	10.000	0.00	Various	350.00	...	-350.00	Total of 3 transactions
BAND 04/16/2021 CALL \$200.00 / CUSIP: / Symbol:							
	2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	03/01/21	245.00	...	-245.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/02/21	165.00	...	-165.00	2 of 2 - Option expiration- long call
04/16/21	2.000	0.00	Various	410.00	...	-410.00	Total of 2 transactions
BAND 07/16/2021 CALL \$140.00 / CUSIP: / Symbol:							
07/02/21	1.000	254.98	07/01/21	200.00	...	54.98	Option sale to close-call ²⁵
07/16/21	1.000	0.00	07/08/21	55.00	...	-55.00	Option expiration- long call
	Security total:	254.98		255.00	...	-0.02	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BEKE 01/21/2022 CALL \$35.00 / CUSIP: / Symbol:							
08/09/21	1.000	179.98	08/06/21	110.00	...	69.98	Option sale to close-call ²⁵
BIGC 08/20/2021 CALL \$75.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	08/06/21	76.00	...	-76.00	Option expiration- long call
BIGC 08/20/2021 CALL \$85.00 / CUSIP: / Symbol:							
08/05/21	1.000	89.98	07/29/21	70.00	...	19.98	Option sale to close-call ²⁵
BLNK 02/05/2021 CALL \$53.00 / CUSIP: / Symbol:							
02/05/21	2.000	79.98	02/04/21	200.00	...	-120.02	Option sale to close-call ²⁵
COST 02/12/2021 CALL \$362.50 / CUSIP: / Symbol:							
02/08/21	1.000	171.98	02/08/21	132.00	...	39.98	Option sale to close-call ²⁵
COST 02/12/2021 CALL \$370.00 / CUSIP: / Symbol:							
02/11/21	1.000	5.98	02/08/21	40.00	...	-34.02	Option sale to close-call ²⁵
CSCO 03/05/2021 CALL \$51.00 / CUSIP: / Symbol:							
02/08/21	1.000	92.98	02/04/21	51.00	...	41.98	Option sale to close-call ²⁵
CSCO 03/12/2021 CALL \$50.00 / CUSIP: / Symbol:							
03/05/21	1.000	3.98	02/10/21	27.00	23.02 W	0.00	Option sale to close-call ²⁵
03/08/21	1.000	11.98	02/16/21	42.02	30.04 W	0.00	Option sale to close-call ²⁵
03/12/21	1.000	0.00	02/22/21	36.04	...	-36.04	Option expiration- long call
Security total:		15.96		105.06	53.06 W	-36.04	
CSCO 04/01/2021 CALL \$49.00 / CUSIP: / Symbol:							
03/05/21	1.000	27.98	02/24/21	27.00	...	0.98	Option sale to close-call ²⁵
DNN 03/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
03/03/21	1.000	5.98	02/11/21	30.00	...	-24.02	Option sale to close-call ²⁵
03/19/21	1.000	0.00	02/11/21	30.00	...	-30.00	Option expiration- long call
Security total:		5.98		60.00	...	-54.02	
ETSY 08/27/2021 CALL \$220.00 / CUSIP: / Symbol:							
08/03/21	1.000	359.98	07/30/21	298.00	...	61.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
F 04/30/2021 CALL \$12.00 / CUSIP: / Symbol:							
04/30/21	1.000	0.00	04/29/21	4.00	...	-4.00	Option expiration- long call
FB 08/06/2021 CALL \$360.00 / CUSIP: / Symbol:							
08/03/21	1.000	69.98	08/03/21	82.00	12.02 W	0.00	Option sale to close-call ²⁵
08/04/21	1.000	79.98	08/03/21	55.02	...	24.96	Option sale to close-call ²⁵
	Security total:	149.96		137.02	12.02 W	24.96	
FB 09/03/2021 CALL \$382.50 / CUSIP: / Symbol:							
09/02/21	1.000	54.98	09/02/21	38.00	...	16.98	Option sale to close-call ²⁵
GE 01/15/2021 CALL \$13.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	11/23/20	12.00	...	-12.00	Option expiration- long call
GRPN 06/25/2021 CALL \$47.50 / CUSIP: / Symbol:							
06/24/21	1.000	42.98	06/14/21	87.00	...	-44.02	Option sale to close-call ²⁵
GRPN 07/09/2021 CALL \$45.00 / CUSIP: / Symbol:							
07/01/21	1.000	116.98	06/30/21	100.00	...	16.98	Option sale to close-call ²⁵
GRPN 07/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	07/07/21	46.00	...	-46.00	Option expiration- long call
GRPN 08/13/2021 CALL \$30.00 / CUSIP: / Symbol:							
08/12/21	1.000	4.98	08/09/21	56.00	...	-51.02	Option sale to close-call ²⁵
HIMX 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
02/23/21	1.000	194.98	02/10/21	260.00	65.02 W	0.00	Option sale to close-call ²⁵
04/01/21	1.000	129.98	02/18/21	270.02	140.04 W	0.00	Option sale to close-call ²⁵
04/07/21	1.000	174.98	02/23/21	165.00	...	9.98	Option sale to close-call ²⁵
	2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.						
	1.000	54.99	03/24/21	250.04	195.05 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	54.99	04/07/21	150.00	95.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
06/07/21	2.000	109.98	Various	400.04	290.06 W	0.00	Total of 2 transactions
06/14/21	1.000	64.98	04/13/21	100.00	35.02 W	0.00	Option sale to close-call ²⁵
06/29/21	1.000	59.98	04/30/21	90.00	30.02 W	0.00	Option sale to close-call ²⁵
06/30/21	1.000	99.98	05/19/21	230.05	...	-130.07	Option sale to close-call ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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HIMX 01/21/2022 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
Security total:		834.86		1,515.11	560.16 W	-120.09	
HIMX 07/16/2021 CALL \$16.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	07/02/21	60.00	...	-60.00	Option expiration- long call
JMIA 01/15/2021 CALL \$55.00 / CUSIP: / Symbol:							
01/15/21	1.000	3.98	12/23/20	265.00	...	-261.02	Option sale to close-call ²⁵
JMIA 07/09/2021 CALL \$30.00 / CUSIP: / Symbol:							
07/06/21	1.000	34.98	07/06/21	23.00	...	11.98	Option sale to close-call ²⁵
07/09/21	1.000	0.00	07/06/21	23.00	...	-23.00	Option expiration- long call
Security total:		34.98		46.00	...	-11.02	
LMND 08/27/2021 CALL \$95.00 / CUSIP: / Symbol:							
08/09/21	1.000	209.98	08/05/21	110.00	...	99.98	Option sale to close-call ²⁵
MSFT 08/13/2021 CALL \$292.50 / CUSIP: / Symbol:							
08/12/21	1.000	14.98	08/09/21	60.00	...	-45.02	Option sale to close-call ²⁵
NFLX 07/30/2021 CALL \$530.00 / CUSIP: / Symbol:							
07/26/21	1.000	261.98	07/22/21	212.00	...	49.98	Option sale to close-call ²⁵
NFLX 07/30/2021 CALL \$535.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/21/21	218.00	...	-218.00	Option expiration- long call
NIO 02/05/2021 CALL \$65.00 / CUSIP: / Symbol:							
<i>2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.99	02/01/21	48.00	...	-45.01	1 of 2 - Option sale to close-call ²⁵
	1.000	2.99	02/01/21	24.00	...	-21.01	2 of 2 - Option sale to close-call ²⁵
02/04/21	2.000	5.98	Various	72.00	...	-66.02	Total of 2 transactions
NIO 07/16/2021 CALL \$55.00 / CUSIP: / Symbol:							
07/02/21	1.000	113.98	07/02/21	94.00	...	19.98	Option sale to close-call ²⁵
NIO 11/05/2021 PUT \$38.00 / CUSIP: / Symbol:							
11/05/21	6.000	11.98	11/01/21	198.00	...	-186.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PINS 08/13/2021 CALL \$60.00 / CUSIP: / Symbol:							
08/12/21	1.000	3.98	08/10/21	26.00	...	-22.02	Option sale to close-call ²⁵
PLTR 10/08/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/06/21	34.00	...	-34.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/06/21	19.00	...	-19.00	2 of 2 - Option expiration- long call
10/08/21	2.000	0.00	Various	53.00	...	-53.00	Total of 2 transactions
PTON 09/24/2021 CALL \$100.00 / CUSIP: / Symbol:							
09/24/21	1.000	0.00	09/22/21	30.00	...	-30.00	Option expiration- long call
PTON 11/12/2021 CALL \$66.00 / CUSIP: / Symbol:							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	11/05/21	475.00	...	-475.00	1 of 2 - Option expiration- long call
	3.000	0.00	11/05/21	198.00	...	-198.00	2 of 2 - Option expiration- long call
11/12/21	8.000	0.00	Various	673.00	...	-673.00	Total of 2 transactions
PTON 11/12/2021 PUT \$52.00 / CUSIP: / Symbol:							
11/05/21	5.000	699.98	11/05/21	500.00	...	199.98	Option sale to close-put ²⁵
PYPL 02/05/2021 CALL \$272.50 / CUSIP: / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	109.99	02/04/21	100.00	...	9.99	1 of 2 - Option sale to close-call ²⁵
	1.000	109.99	02/04/21	100.00	...	9.99	2 of 2 - Option sale to close-call ²⁵
02/04/21	2.000	219.98	Various	200.00	...	19.98	Total of 2 transactions
PYPL 10/22/2021 CALL \$257.50 / CUSIP: / Symbol:							
10/21/21	1.000	47.98	10/21/21	52.02	...	-4.04	Option sale to close-call ²⁵
10/21/21	1.000	47.98	10/21/21	50.00	2.02 W	0.00	Option sale to close-call ²⁵
	Security total:	95.96		102.02	2.02 W	-4.04	
QS 06/18/2021 CALL \$31.00 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	06/10/21	103.00	...	-103.00	Option expiration- long call

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ROKU 12/23/2021 CALL \$230.00 / CUSIP: / Symbol:							
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	1.000	249.98	12/22/21	195.00	...	54.98	1 of 2 - Option sale to close-call ²⁵
	1.000	249.98	12/22/21	195.00	...	54.98	2 of 2 - Option sale to close-call ²⁵
12/23/21	2.000	499.96	Various	390.00	...	109.96	Total of 2 transactions
ROKU 12/31/2021 CALL \$235.00 / CUSIP: / Symbol:							
12/29/21	2.000	309.98	12/29/21	210.00	...	99.98	Option sale to close-call ²⁵
12/30/21	1.000	199.98	12/29/21	105.00	...	94.98	Option sale to close-call ²⁵
	Security total:	509.96		315.00	...	194.96	
SAGE 07/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
06/30/21	1.000	89.98	06/29/21	65.00	...	24.98	Option sale to close-call ²⁵
SAGE 08/20/2021 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/15/21	350.00	...	-350.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/16/21	180.00	...	-180.00	2 of 2 - Option expiration- long call
08/20/21	2.000	0.00	Various	530.00	...	-530.00	Total of 2 transactions
SBUX 08/06/2021 CALL \$125.00 / CUSIP: / Symbol:							
08/06/21	1.000	0.00	08/02/21	9.00	...	-9.00	Option expiration- long call
SDC 01/21/2022 CALL \$6.00 / CUSIP: / Symbol:							
08/30/21	1.000	159.98	08/10/21	80.00	...	79.98	Option sale to close-call ²⁵
SLV 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	02/01/21	147.00	...	-147.00	Option expiration- long call
SNAP 10/29/2021 CALL \$67.00 / CUSIP: / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	10/22/21	96.00	...	-96.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/22/21	31.00	...	-31.00	2 of 2 - Option expiration- long call
10/29/21	3.000	0.00	Various	127.00	...	-127.00	Total of 2 transactions

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SNOW 06/25/2021 CALL \$262.50 / CUSIP: / Symbol:							
06/11/21	1.000	309.98	06/11/21	200.00	...	109.98	Option sale to close-call ²⁵
06/14/21	1.000	349.98	06/11/21	235.00	...	114.98	Option sale to close-call ²⁵
	Security total:	659.96		435.00	...	224.96	
SNOW 07/09/2021 CALL \$245.00 / CUSIP: / Symbol:							
07/07/21	1.000	249.98	06/30/21	490.00	...	-240.02	Option sale to close-call ²⁵
SNOW 08/06/2021 CALL \$285.00 / CUSIP: / Symbol:							
08/05/21	1.000	159.98	08/04/21	110.00	...	49.98	Option sale to close-call ²⁵
SONO 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
	<i>2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	32.98	02/05/21	24.00	...	8.98	1 of 2 - Option sale to close-call ²⁵
	2.000	65.98	02/05/21	48.00	...	17.98	2 of 2 - Option sale to close-call ²⁵
02/08/21	3.000	98.96	Various	72.00	...	26.96	Total of 2 transactions
SPCE 07/09/2021 CALL \$55.00 / CUSIP: / Symbol:							
06/30/21	1.000	259.98	06/29/21	243.00	...	16.98	Option sale to close-call ²⁵
SPCE 08/06/2021 CALL \$32.50 / CUSIP: / Symbol:							
08/03/21	1.000	65.98	08/03/21	62.00	...	3.98	Option sale to close-call ²⁵
SPI 02/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
01/06/21	1.000	204.98	12/24/20	155.00	...	49.98	Option sale to close-call ²⁵
SPY 01/19/2021 PUT \$347.00 / CUSIP: / Symbol:							
01/13/21	1.000	7.98	01/07/21	39.00	...	-31.02	Option sale to close-put ²⁵
01/15/21	1.000	6.98	01/07/21	39.00	...	-32.02	Option sale to close-put ²⁵
	Security total:	14.96		78.00	...	-63.04	
SPY 07/16/2021 CALL \$441.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	07/14/21	18.00	...	-18.00	Option expiration- long call
SQ 01/15/2021 CALL \$250.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	01/11/21	75.00	...	-75.00	Option expiration- long call

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SQ 01/29/2021 CALL \$227.50 / CUSIP: / Symbol:							
01/27/21	1.000	69.98	01/25/21	166.00	...	-96.02	Option sale to close-call ²⁵
SQ 06/11/2021 CALL \$225.00 / CUSIP: / Symbol:							
06/04/21	1.000	249.98	06/03/21	170.00	...	79.98	Option sale to close-call ²⁵
06/08/21	1.000	259.98	06/04/21	160.00	...	99.98	Option sale to close-call ²⁵
	Security total:	509.96		330.00	...	179.96	
SQ 06/11/2021 CALL \$230.00 / CUSIP: / Symbol:							
06/10/21	1.000	10.98	06/08/21	59.00	...	-48.02	Option sale to close-call ²⁵
SQ 06/18/2021 CALL \$225.00 / CUSIP: / Symbol:							
06/10/21	1.000	208.98	06/09/21	147.00	...	61.98	Option sale to close-call ²⁵
SQ 06/18/2021 CALL \$230.00 / CUSIP: / Symbol:							
06/11/21	1.000	199.98	06/09/21	148.00	...	51.98	Option sale to close-call ²⁵
SQ 07/02/2021 CALL \$245.00 / CUSIP: / Symbol:							
06/28/21	1.000	459.98	06/25/21	360.00	...	99.98	Option sale to close-call ²⁵
SQ 07/09/2021 CALL \$245.00 / CUSIP: / Symbol:							
07/06/21	1.000	384.98	07/02/21	285.00	...	99.98	Option sale to close-call ²⁵
SQ 07/16/2021 CALL \$245.00 / CUSIP: / Symbol:							
	<i>2 transactions for 07/08/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	319.98	07/08/21	223.00	...	96.98	1 of 2 - Option sale to close-call ²⁵
	1.000	289.98	07/08/21	190.00	...	99.98	2 of 2 - Option sale to close-call ²⁵
07/08/21	2.000	609.96	Various	413.00	...	196.96	Total of 2 transactions
SQ 07/16/2021 CALL \$250.00 / CUSIP: / Symbol:							
07/09/21	1.000	193.98	07/09/21	147.00	...	46.98	Option sale to close-call ²⁵
SQ 07/23/2021 CALL \$255.00 / CUSIP: / Symbol:							
07/13/21	1.000	229.98	07/13/21	180.00	...	49.98	Option sale to close-call ²⁵
07/21/21	1.000	191.98	07/14/21	135.00	...	56.98	Option sale to close-call ²⁵
	Security total:	421.96		315.00	...	106.96	

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SQ 07/30/2021 CALL \$260.00 / CUSIP: / Symbol: 07/29/21	1.000	69.98	07/29/21	110.00	...	-40.02	Option sale to close-call ²⁵
SQ 08/06/2021 CALL \$280.00 / CUSIP: / Symbol: 08/05/21	1.000	79.98	08/04/21	66.00	...	13.98	Option sale to close-call ²⁵
SQ 08/06/2021 CALL \$282.50 / CUSIP: / Symbol: 08/03/21	1.000	146.98	08/03/21	100.00	...	46.98	Option sale to close-call ²⁵
SQ 08/06/2021 CALL \$285.00 / CUSIP: / Symbol: 08/03/21	1.000	112.98	08/03/21	100.00	...	12.98	Option sale to close-call ²⁵
SQ 08/06/2021 CALL \$300.00 / CUSIP: / Symbol: 08/05/21	1.000	29.98	08/02/21	75.00	...	-45.02	Option sale to close-call ²⁵
SQ 08/13/2021 CALL \$280.00 / CUSIP: / Symbol: 08/13/21	1.000	0.00	08/11/21	135.00	...	-135.00	Option expiration- long call
SQ 08/20/2021 CALL \$285.00 / CUSIP: / Symbol: 08/20/21	1.000	0.00	08/12/21	138.00	...	-138.00	Option expiration- long call
SQ 08/27/2021 CALL \$275.00 / CUSIP: / Symbol: 08/25/21	1.000	112.98	08/25/21	92.00	...	20.98	Option sale to close-call ²⁵
SQ 08/27/2021 CALL \$280.00 / CUSIP: / Symbol: 08/26/21	1.000	6.98	08/26/21	14.00	...	-7.02	Option sale to close-call ²⁵
SQ 09/03/2021 CALL \$280.00 / CUSIP: / Symbol: 09/01/21	1.000	75.98	08/31/21	69.00	...	6.98	Option sale to close-call ²⁵
09/03/21	1.000	0.00	09/01/21	43.00	...	-43.00	Option expiration- long call
Security total:		75.98		112.00	...	-36.02	
SQ 09/10/2021 CALL \$270.00 / CUSIP: / Symbol: 09/08/21	1.000	32.98	09/08/21	40.00	...	-7.02	Option sale to close-call ²⁵
SQ 11/12/2021 CALL \$267.50 / CUSIP: / Symbol: 11/12/21	1.000	0.00	11/05/21	75.00	...	-75.00	Option expiration- long call

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SSL 07/16/2021 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/17/21	45.00	...	-45.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/18/21	19.00	...	-19.00	2 of 2 - Option expiration- long call
07/16/21	2.000	0.00	Various	64.00	...	-64.00	Total of 2 transactions
TSLA 05/14/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	04/29/21	21.00	...	-21.00	Option expiration- long call
TSLA 06/18/2021 CALL \$675.00 / CUSIP: / Symbol:							
06/10/21	1.000	228.98	06/09/21	189.00	...	39.98	Option sale to close-call ²⁵
TSLA 06/25/2021 CALL \$680.00 / CUSIP: / Symbol:							
06/17/21	1.000	98.98	06/15/21	149.00	...	-50.02	Option sale to close-call ²⁵
TSLA 10/22/2021 PUT \$830.00 / CUSIP: / Symbol:							
2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	1.000	124.98	10/21/21	87.04	...	37.94	1 of 2 - Option sale to close-put ²⁵
	1.000	124.98	10/21/21	49.00	...	75.98	2 of 2 - Option sale to close-put ²⁵
10/21/21	2.000	249.96	Various	136.04	...	113.92	Total of 2 transactions
2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	1.000	69.98	10/21/21	89.00	19.02 W	0.00	1 of 2 - Option sale to close-put ²⁵
	1.000	69.98	10/21/21	108.02	38.04 W	0.00	2 of 2 - Option sale to close-put ²⁵
10/21/21	2.000	139.96	Various	197.02	57.06 W	0.00	Total of 2 transactions
	Security total:	389.92		333.06	57.06 W	113.92	
TTD 09/10/2021 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	09/02/21	180.00	...	-180.00	1 of 2 - Option expiration- long call
	2.000	0.00	09/07/21	50.00	...	-50.00	2 of 2 - Option expiration- long call
09/10/21	4.000	0.00	Various	230.00	...	-230.00	Total of 2 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TTD 11/19/2021 PUT \$95.00 / CUSIP: / Symbol:							
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	11/12/21	310.00	...	-310.00	1 of 2 - Option expiration- long put
	1.000	0.00	11/15/21	45.00	...	-45.00	2 of 2 - Option expiration- long put
11/19/21	3.000	0.00	Various	355.00	...	-355.00	Total of 2 transactions
UPST 02/19/2021 CALL \$105.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/16/21	165.00	...	-165.00	Option expiration- long call
WISH 07/16/2021 CALL \$12.50 / CUSIP: / Symbol:							
06/18/21	1.000	209.98	06/16/21	150.00	...	59.98	Option sale to close-call ²⁵
WISH 07/30/2021 CALL \$12.50 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/07/21	85.00	...	-85.00	Option expiration- long call
WISH 07/30/2021 CALL \$13.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/02/21	140.00	...	-140.00	Option expiration- long call
WKHS 06/18/2021 CALL \$17.00 / CUSIP: / Symbol:							
06/16/21	1.000	89.98	06/10/21	102.00	...	-12.02	Option sale to close-call ²⁵
WKHS 06/25/2021 CALL \$16.00 / CUSIP: / Symbol:							
06/16/21	1.000	147.98	06/15/21	96.00	...	51.98	Option sale to close-call ²⁵
06/24/21	1.000	27.98	06/17/21	79.00	...	-51.02	Option sale to close-call ²⁵
	Security total:	175.96		175.00	...	0.96	
WKHS 07/02/2021 CALL \$14.50 / CUSIP: / Symbol:							
06/28/21	1.000	209.98	06/24/21	200.00	...	9.98	Option sale to close-call ²⁵
WKHS 07/02/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/23/21	1.000	129.98	06/21/21	114.00	...	15.98	Option sale to close-call ²⁵
WKHS 07/09/2021 CALL \$15.00 / CUSIP: / Symbol:							
07/02/21	1.000	129.98	07/02/21	83.00	...	46.98	Option sale to close-call ²⁵
07/09/21	1.000	0.00	07/02/21	74.00	...	-74.00	Option expiration- long call
	Security total:	129.98		157.00	...	-27.02	

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 07/09/2021 CALL \$17.00 / CUSIP: / Symbol:							
07/08/21	1.000	3.98	07/01/21	76.00	...	-72.02	Option sale to close-call ²⁵
WKHS 09/24/2021 CALL \$8.00 / CUSIP: / Symbol:							
2 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	1.000	5.98	09/22/21	10.00	...	-4.02	1 of 2 - Option sale to close-call ²⁵
	1.000	5.98	09/22/21	10.00	...	-4.02	2 of 2 - Option sale to close-call ²⁵
09/23/21	2.000	11.96	Various	20.00	...	-8.04	Total of 2 transactions
ZI 08/20/2021 CALL \$70.00 / CUSIP: / Symbol:							
08/09/21	1.000	39.98	08/09/21	30.00	...	9.98	Option sale to close-call ²⁵
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	15.000	89.70	10/21/20	67.95	...	21.75	1 of 2 - Sale ²⁵
	10.000	59.80	10/22/20	42.80	...	17.00	2 of 2 - Sale ²⁵
01/04/21	25.000	149.50	Various	110.75	...	38.75	Total of 2 transactions
3 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	5.000	29.90	10/16/20	48.74	18.84 W	0.00	1 of 3 - Sale ²⁵
	5.000	29.90	10/16/20	47.39	17.49 W	0.00	2 of 3 - Sale ²⁵
	5.000	29.90	10/21/20	37.45	7.55 W	0.00	3 of 3 - Sale ²⁵
01/04/21	15.000	89.70	Various	133.58	43.88 W	0.00	Total of 3 transactions
01/08/21	20.000	123.40	10/22/20	85.60	...	37.80	Sale ²⁵
02/02/21	25.000	144.00	11/10/20	123.00	...	21.00	Sale ²⁵
02/05/21	20.000	124.00	11/19/20	95.00	...	29.00	Sale ²⁵
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	5.000	31.25	11/19/20	23.75	...	7.50	1 of 2 - Sale ²⁵
	5.000	31.25	11/24/20	22.17	...	9.08	2 of 2 - Sale ²⁵
02/08/21	10.000	62.50	Various	45.92	...	16.58	Total of 2 transactions
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	5.000	31.25	11/24/20	50.58	19.33 W	0.00	1 of 2 - Sale ²⁵
	10.000	62.50	11/24/20	89.02	26.52 W	0.00	2 of 2 - Sale ²⁵
02/08/21	15.000	93.75	Various	139.60	45.85 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
<i>2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	145.20	11/24/20	88.70	...	56.50	1 of 2 - Sale ²⁵
	10.000	72.60	12/04/20	44.00	...	28.60	2 of 2 - Sale ²⁵
02/09/21	30.000	217.80	Various	132.70	...	85.10	Total of 2 transactions
<i>4 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	217.80	12/04/20	132.00	...	85.80	1 of 4 - Sale ²⁵
	5.000	41.80	01/13/21	36.65	...	5.15	2 of 4 - Sale ²⁵
	5.000	41.80	01/21/21	39.68	...	2.12	3 of 4 - Sale ²⁵
	5.000	41.80	01/21/21	33.61	...	8.19	4 of 4 - Sale ²⁵
02/16/21	45.000	343.20	Various	241.94	...	101.26	Total of 4 transactions
<i>6 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	36.30	01/13/21	48.43	12.13 W	0.00	1 of 6 - Sale ²⁵
	5.000	36.30	01/13/21	42.36	6.06 W	0.00	2 of 6 - Sale ²⁵
	5.000	41.80	01/13/21	47.94	6.14 W	0.00	3 of 6 - Sale ²⁵
	2.000	16.72	01/13/21	18.64	1.92 W	0.00	4 of 6 - Sale ²⁵
	1.000	8.36	01/13/21	9.32	0.96 W	0.00	5 of 6 - Sale ²⁵
	2.000	16.72	01/13/21	18.63	1.91 W	0.00	6 of 6 - Sale ²⁵
02/16/21	20.000	156.20	Various	185.32	29.12 W	0.00	Total of 6 transactions
<i>5 transactions for 08/23/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	20.40	01/21/21	33.69	13.29 W	0.00	1 of 5 - Sale ²⁵
	1.000	4.08	01/27/21	6.23	2.15 W	0.00	2 of 5 - Sale ²⁵
	2.000	8.16	01/27/21	12.45	4.29 W	0.00	3 of 5 - Sale ²⁵
	2.000	8.16	01/27/21	12.44	4.28 W	0.00	4 of 5 - Sale ²⁵
	10.000	40.80	01/27/21	52.65	11.85 W	0.00	5 of 5 - Sale ²⁵
08/23/21	20.000	81.60	Various	117.46	35.86 W	0.00	Total of 5 transactions
<i>2 transactions for 08/24/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	43.59	01/27/21	52.65	...	-9.06	1 of 2 - Sale ²⁵
	15.000	65.39	02/09/21	105.00	...	-39.61	2 of 2 - Sale ²⁵
08/24/21	25.000	108.98	Various	157.65	...	-48.67	Total of 2 transactions
<i>6 transactions for 08/25/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	67.50	02/09/21	105.00	...	-37.50	1 of 6 - Sale ²⁵
	15.000	67.50	02/09/21	101.70	...	-34.20	2 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
	5.000	22.50	02/09/21	33.90	...	-11.40	3 of 6 - Sale ²⁵
	25.000	123.25	02/17/21	168.00	...	-44.75	4 of 6 - Sale ²⁵
	15.000	67.50	02/17/21	100.80	...	-33.30	5 of 6 - Sale ²⁵
	25.000	123.25	02/17/21	156.25	...	-33.00	6 of 6 - Sale ²⁵
08/25/21	100.000	471.50	Various	665.65	...	-194.15	Total of 6 transactions
	2 transactions for 08/26/21. Total proceeds and cost reported to the IRS.						
	20.000	106.60	02/26/21	112.60	...	-6.00	1 of 2 - Sale ²⁵
	5.000	26.65	03/03/21	27.00	...	-0.35	2 of 2 - Sale ²⁵
08/26/21	25.000	133.25	Various	139.60	...	-6.35	Total of 2 transactions
	2 transactions for 09/07/21. Total proceeds and cost reported to the IRS.						
	25.000	141.25	03/03/21	135.00	...	6.25	1 of 2 - Sale ²⁵
	25.000	156.07	06/03/21	118.25	...	37.82	2 of 2 - Sale ²⁵
09/07/21	50.000	297.32	Various	253.25	...	44.07	Total of 2 transactions
09/10/21	25.000	169.00	06/21/21	98.21	...	70.79	Sale ²⁵
	Security total:	2,765.70		2,725.23	154.71 W	195.18	
AEMETIS, INC. (DE) COMMON STOC K / CUSIP: 00770K202 / Symbol:							
01/25/21	20.000	137.20	01/15/21	79.60	...	57.60	Sale ²⁵
01/28/21	5.000	34.90	01/15/21	19.90	...	15.00	Sale ²⁵
	Security total:	172.10		99.50	...	72.60	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
04/20/21	0.150	496.99	09/17/20	449.75	...	47.24	Sale ²⁵
	2 transactions for 07/23/21. Total proceeds and cost reported to the IRS.						
	0.050	183.00	09/17/20	150.25	...	32.75	1 of 2 - Sale ²⁵
	0.150	547.41	04/26/21	512.39	...	35.02	2 of 2 - Sale ²⁵
07/23/21	0.200	730.41	Various	662.64	...	67.77	Total of 2 transactions
	Security total:	1,227.40		1,112.39	...	115.01	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
02/08/21	5.000	89.26	10/13/20	61.35	...	27.91	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMESITE INC. COMMON STOCK / CUSIP: 031094105 / Symbol:							
<i>2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	30.45	12/02/20	24.85	...	5.60	1 of 2 - Sale ²⁵
01/29/21	5.000	30.45	12/21/20	24.50	...	5.95	2 of 2 - Sale ²⁵
	10.000	60.90	Various	49.35	...	11.55	Total of 2 transactions
<i>2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	29.60	12/21/20	24.50	...	5.10	1 of 2 - Sale ²⁵
02/04/21	5.000	30.22	12/29/20	21.75	...	8.47	2 of 2 - Sale ²⁵
	10.000	59.82	Various	46.25	...	13.57	Total of 2 transactions
<i>2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	32.50	12/29/20	21.75	...	10.75	1 of 2 - Sale ²⁵
02/05/21	5.000	32.50	01/25/21	21.00	...	11.50	2 of 2 - Sale ²⁵
02/16/21	10.000	65.00	Various	42.75	...	22.25	Total of 2 transactions
02/17/21	5.000	33.45	01/25/21	21.00	...	12.45	Sale ²⁵
	5.000	35.20	02/08/21	28.65	...	6.55	Sale ²⁵
<i>3 transactions for 06/11/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	20.60	02/08/21	28.65	8.05 W	0.00	1 of 3 - Sale ²⁵
06/11/21	15.000	61.80	03/01/21	91.37	29.57 W	0.00	2 of 3 - Sale ²⁵
	20.000	82.40	03/09/21	111.00	28.60 W	0.00	3 of 3 - Sale ²⁵
	40.000	164.80	Various	231.02	66.22 W	0.00	Total of 3 transactions
<i>6 transactions for 06/29/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	14.36	05/21/21	19.00	4.64 W	0.00	1 of 6 - Sale ²⁵
06/29/21	15.000	43.06	05/21/21	62.42	19.36 W	0.00	2 of 6 - Sale ²⁵
	5.000	14.36	06/10/21	18.12	3.76 W	0.00	3 of 6 - Sale ²⁵
	5.000	14.36	06/10/21	15.61	1.25 W	0.00	4 of 6 - Sale ²⁵
	15.000	43.06	06/10/21	52.27	9.21 W	0.00	5 of 6 - Sale ²⁵
	5.000	14.36	06/10/21	18.12	3.76 W	0.00	6 of 6 - Sale ²⁵
	50.000	143.56	Various	185.54	41.98 W	0.00	Total of 6 transactions
	Security total:	562.73		604.56	108.20 W	66.37	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
01/22/21	5.000	65.00	01/13/21	50.10	...	14.90	Sale ²⁵
01/26/21	5.000	64.35	01/13/21	50.10	...	14.25	Sale ²⁵
02/10/21	5.000	149.75	01/13/21	50.10	...	99.65	Sale ²⁵
	Security total:	279.10		150.30	...	128.80	
APPIAN CORPORATION CLASS A COM MON STOCK / CUSIP: 03782L101 / Symbol:							
01/25/21	1.000	210.30	12/29/20	153.70	...	56.60	Sale ²⁵
ARRAY TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 04271T100 / Symbol:							
01/07/21	2.000	100.00	11/24/20	91.82	...	8.18	Sale ²⁵
AULT GLOBAL HOLDINGS, INC. / CUSIP: 05150X104 / Symbol:							
06/09/21	10.000	30.21	04/23/21	23.30	...	6.91	Sale ²⁵
07/13/21	30.000	84.90	04/23/21	69.90	...	15.00	Sale ²⁵
	Security total:	115.11		93.20	...	21.91	
AVIAT NETWORKS, INC. COMMON ST OCK / CUSIP: 05366Y201 / Symbol:							
02/03/21	5.000	210.00	02/03/21	194.05	...	15.95	Sale ²⁵
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
01/22/21	2.000	57.56	01/21/21	53.56	...	4.00	Sale ²⁵
01/25/21	5.000	168.95	01/21/21	133.90	...	35.05	Sale ²⁵
03/10/21	1.000	30.20	01/21/21	26.78	...	3.42	Sale ²⁵
	Security total:	256.71		214.24	...	42.47	
BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol:							
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	1.000	65.02	08/27/20	142.48	77.46 W	0.00	1 of 2 - Sale ²⁵
	1.000	65.02	08/31/20	110.00	44.98 W	0.00	2 of 2 - Sale ²⁵
01/07/21	2.000	130.04	Various	252.48	122.44 W	0.00	Total of 2 transactions
01/21/21	2.000	142.52	09/09/20	180.00	37.48 W	0.00	Sale ²⁵
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	87.50	11/09/20	73.81	...	13.69	1 of 2 - Sale ²⁵
	1.000	87.50	11/17/20	66.11	...	21.39	2 of 2 - Sale ²⁵
02/04/21	2.000	175.00	Various	139.92	...	35.08	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol: (cont'd)							
02/10/21	2.000	180.00	11/18/20	136.02	...	43.98	Sale ²⁵
	Security total:	627.56		708.42	159.92 W	79.06	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
02/12/21	2.000	28.42	01/13/21	11.06	...	17.36	Sale ²⁵
02/16/21	2.000	30.00	01/13/21	11.06	...	18.94	Sale ²⁵
	2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	1.000	14.22	01/13/21	5.53	...	8.69	1 of 2 - Sale ²⁵
	1.000	14.22	01/26/21	12.27	...	1.95	2 of 2 - Sale ²⁵
02/17/21	2.000	28.44	Various	17.80	...	10.64	Total of 2 transactions
	Security total:	86.86		39.92	...	46.94	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
02/24/21	1.000	225.00	10/29/20	148.40	...	76.60	Sale ²⁵
03/12/21	1.000	258.52	01/27/21	195.54	...	62.98	Sale ²⁵
	Security total:	483.52		343.94	...	139.58	
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol:							
	2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.						
	20.000	35.20	10/15/20	32.80	...	2.40	1 of 2 - Sale ²⁵
	5.000	8.80	10/21/20	7.90	...	0.90	2 of 2 - Sale ²⁵
01/07/21	25.000	44.00	Various	40.70	...	3.30	Total of 2 transactions
01/26/21	15.000	34.20	10/21/20	23.70	...	10.50	Sale ²⁵
02/08/21	25.000	67.00	10/21/20	39.50	...	27.50	Sale ²⁵
	2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	15.000	51.15	10/21/20	23.70	...	27.45	1 of 2 - Sale ²⁵
	10.000	34.10	11/19/20	14.45	...	19.65	2 of 2 - Sale ²⁵
02/17/21	25.000	85.25	Various	38.15	...	47.10	Total of 2 transactions
	3 transactions for 03/22/21. Total proceeds and cost reported to the IRS.						
	5.000	15.20	11/19/20	7.99	...	7.21	1 of 3 - Sale ²⁵
	10.000	30.40	11/19/20	19.98	...	10.42	2 of 3 - Sale ²⁵
	10.000	30.40	11/19/20	14.45	...	15.95	3 of 3 - Sale ²⁵
03/22/21	25.000	76.00	Various	42.42	...	33.58	Total of 3 transactions

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
<i>2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	14.20	11/19/20	7.99	...	6.21	1 of 2 - Sale ²⁵
	20.000	56.80	11/19/20	28.60	...	28.20	2 of 2 - Sale ²⁵
06/08/21	25.000	71.00	Various	36.59	...	34.41	Total of 2 transactions
<i>3 transactions for 08/12/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	66.75	03/08/21	56.00	...	10.75	1 of 3 - Sale ²⁵
	20.000	51.80	07/07/21	43.40	...	8.40	2 of 3 - Sale ²⁵
	25.000	66.75	07/07/21	54.25	...	12.50	3 of 3 - Sale ²⁵
08/12/21	70.000	185.30	Various	153.65	...	31.65	Total of 3 transactions
	Security total:	562.75		374.71	...	188.04	
BUTTERFLY NETWORK, INC. / CUSIP: 124155102 / Symbol:							
02/17/21	5.000	115.00	01/07/21	101.58	...	13.42	Sale ²⁵
CPS TECHNOLOGIES CORP. COMMON STOCK / CUSIP: 12619F104 / Symbol:							
01/08/21	25.000	83.75	12/01/20	55.50	...	28.25	Sale ²⁵
CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
02/04/21	3.000	57.00	01/27/21	46.20	...	10.80	Sale ²⁵
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
02/22/21	2.000	130.00	02/04/21	143.02	13.02 W	0.00	Sale ²⁵
04/30/21	2.000	96.48	02/04/21	143.02	46.54 W	0.00	Sale ²⁵
05/20/21	1.000	49.96	02/10/21	57.19	...	-7.23	Sale ²⁵
<i>2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	73.70	02/10/21	57.18	...	16.52	1 of 2 - Sale ²⁵
	1.000	73.70	02/10/21	50.67	...	23.03	2 of 2 - Sale ²⁵
06/07/21	2.000	147.40	Various	107.85	...	39.55	Total of 2 transactions
06/11/21	1.000	81.36	02/10/21	50.67	...	30.69	Sale ²⁵
<i>2 transactions for 06/21/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	180.00	02/23/21	102.66	...	77.34	1 of 2 - Sale ²⁵
	2.000	180.00	04/13/21	117.44	...	62.56	2 of 2 - Sale ²⁵
06/21/21	4.000	360.00	Various	220.10	...	139.90	Total of 2 transactions
07/07/21	1.000	96.01	04/13/21	35.45	...	60.56	Sale ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol: (cont'd)							
07/21/21	1.000	117.51	06/22/21	74.02	...	43.49	Sale ²⁵
08/05/21	1.000	100.00	08/02/21	75.69	...	24.31	Sale ²⁵
08/24/21	1.000	124.15	08/02/21	75.69	...	48.46	Sale ²⁵
	Security total:	1,302.87		982.70	59.56 W	379.73	
CELSION CORPORATION COMMON STO CK / CUSIP: 15117N503 / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	20.000	64.30	01/21/21	41.60	...	22.70	1 of 2 - Sale ²⁵
	20.000	64.40	01/21/21	41.60	...	22.80	2 of 2 - Sale ²⁵
02/11/21	40.000	128.70	Various	83.20	...	45.50	Total of 2 transactions
2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	10.000	33.60	01/22/21	14.00	...	19.60	1 of 2 - Sale ²⁵
	10.000	34.00	01/22/21	14.00	...	20.00	2 of 2 - Sale ²⁵
02/22/21	20.000	67.60	Various	28.00	...	39.60	Total of 2 transactions
	Security total:	196.30		111.20	...	85.10	
CHEWY, INC. / CUSIP: 16679L109 / Symbol:							
01/13/21	1.000	109.00	11/13/20	64.56	...	44.44	Sale ²⁵
02/12/21	1.000	114.45	11/13/20	62.99	...	51.46	Sale ²⁵
08/05/21	1.000	90.83	02/01/21	99.94	...	-9.11	Sale ²⁵
	Security total:	314.28		227.49	...	86.79	
CHINA RECYCLING ENERGY CORPORA TION COMMON STOCK / CUSIP: 168913309 / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	2.000	14.00	11/24/20	9.84	...	4.16	1 of 2 - Sale ²⁵
	8.000	56.00	11/24/20	41.52	...	14.48	2 of 2 - Sale ²⁵
01/26/21	10.000	70.00	Various	51.36	...	18.64	Total of 2 transactions
01/27/21	5.000	43.15	11/24/20	24.60	...	18.55	Sale ²⁵
02/04/21	5.000	42.56	11/24/20	24.60	...	17.96	Sale ²⁵
	Security total:	155.71		100.56	...	55.15	
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
02/16/21	6.000	270.00	02/05/21	201.05	...	68.95	Sale ²⁵

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(continued)

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CLEAN ENERGY FUELS CORP. COMMO N STOCK / CUSIP: 184499101 / Symbol:							
02/08/21	5.000	86.75	01/07/21	53.50	...	33.25	Sale ²⁵
02/09/21	3.000	56.10	01/07/21	32.10	...	24.00	Sale ²⁵
	Security total:	142.85		85.60	...	57.25	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
3 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	10.000	130.00	01/04/21	162.20	32.20 W	0.00	1 of 3 - Sale ²⁵
	7.000	91.00	01/12/21	103.46	12.46 W	0.00	2 of 3 - Sale ²⁵
	3.000	39.00	02/01/21	41.55	2.55 W	0.00	3 of 3 - Sale ²⁵
06/07/21	20.000	260.00	Various	307.21	47.21 W	0.00	Total of 3 transactions
4 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	10.000	165.00	02/09/21	130.10	...	34.90	1 of 4 - Sale ²⁵
	5.000	102.70	03/10/21	40.00	...	62.70	2 of 4 - Sale ²⁵
	10.000	165.00	03/10/21	80.00	...	85.00	3 of 4 - Sale ²⁵
	5.000	102.70	04/01/21	37.03	...	65.67	4 of 4 - Sale ²⁵
06/08/21	30.000	535.40	Various	287.13	...	248.27	Total of 4 transactions
	Security total:	795.40		594.34	47.21 W	248.27	
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
5 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	2.000	18.00	12/16/20	42.80	24.80 W	0.00	1 of 5 - Sale ²⁵
	1.000	9.00	12/16/20	20.38	11.38 W	0.00	2 of 5 - Sale ²⁵
	2.000	18.00	12/23/20	42.08	24.08 W	0.00	3 of 5 - Sale ²⁵
	2.000	18.00	12/30/20	36.60	18.60 W	0.00	4 of 5 - Sale ²⁵
	3.000	27.00	02/19/21	65.10	38.10 W	0.00	5 of 5 - Sale ²⁵
06/08/21	10.000	90.00	Various	206.96	116.96 W	0.00	Total of 5 transactions
4 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	5.000	63.16	03/03/21	91.30	28.14 W	0.00	1 of 4 - Sale ²⁵
	5.000	63.15	03/08/21	85.00	21.85 W	0.00	2 of 4 - Sale ²⁵
	5.000	70.00	03/25/21	78.79	8.79 W	0.00	3 of 4 - Sale ²⁵
	5.000	70.00	04/08/21	70.80	0.80 W	0.00	4 of 4 - Sale ²⁵
06/21/21	20.000	266.31	Various	325.89	59.58 W	0.00	Total of 4 transactions
	Security total:	356.31		532.85	176.54 W	0.00	

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Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CONTRAFECT CORPORATION COMMON STOCK / CUSIP: 212326300 / Symbol:							
02/10/21	12.000	74.76	11/24/20	64.20	...	10.56	Sale ²⁵
02/12/21	5.000	33.00	01/27/21	25.75	...	7.25	Sale ²⁵
	Security total:	107.76		89.95	...	17.81	
CORBUS PHARMACEUTICALS HOLDING S, INC. COMMON STOCK / CUSIP: 21833P103 / Symbol:							
02/08/21	20.000	55.20	01/14/21	34.80	...	20.40	Sale ²⁵
02/09/21	10.000	31.50	01/14/21	17.40	...	14.10	Sale ²⁵
02/10/21	20.000	70.00	01/14/21	34.80	...	35.20	Sale ²⁵
	Security total:	156.70		87.00	...	69.70	
DATA STORAGE CORPORATION COMMON STOCK / CUSIP: 23786R201 / Symbol:							
07/08/21	10.000	93.23	07/07/21	95.00	1.77 W	0.00	Sale ²⁵
09/02/21	10.000	51.00	07/07/21	95.00	44.00 W	0.00	Sale ²⁵
09/08/21	10.000	62.20	07/08/21	81.77	19.57 W	0.00	Sale ²⁵
	Security total:	206.43		271.77	65.34 W	0.00	
DIREXION DAILY GOLD MINERS IND EX BULL 2X SHARES / CUSIP: 25460G781 / Symbol:							
01/04/21	2.000	151.20	11/30/20	126.78	...	24.42	Sale ²⁵
05/24/21	2.000	158.08	11/30/20	126.78	...	31.30	Sale ²⁵
	Security total:	309.28		253.56	...	55.72	
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
08/25/21	2.000	118.40	10/08/20	101.86	...	16.54	Sale ²⁵
THE DUCKHORN PORTFOLIO, INC. / CUSIP: 26414D106 / Symbol:							
05/03/21	5.000	95.15	03/18/21	85.15	...	10.00	Sale ²⁵
07/26/21	5.000	116.65	03/18/21	85.15	...	31.50	Sale ²⁵
	Security total:	211.80		170.30	...	41.50	
ELECTRAMECCANICA VEHICLES CORP. LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
01/12/21	5.000	42.60	11/19/20	37.15	...	5.45	Sale ²⁵

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ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
03/01/21	1.000	230.00	01/26/21	227.40	...	2.60	Sale ²⁵
04/13/21	1.000	223.25	01/29/21	200.00	...	23.25	Sale ²⁵
08/26/21	1.000	206.00	02/23/21	201.00	...	5.00	Sale ²⁵
	Security total:	659.25		628.40	...	30.85	
EXPERIENCE INVESTMENT CORP. CLASS A COMMON STOCK / CUSIP: 30217C109 / Symbol:							
02/02/21	10.000	162.40	01/20/21	139.35	...	23.05	Sale ²⁵
02/10/21	5.000	87.00	01/20/21	69.68	...	17.32	Sale ²⁵
	Security total:	249.40		209.03	...	40.37	
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
01/27/21	6.000	37.50	01/25/21	21.90	...	15.60	Sale ²⁵
01/28/21	2.000	9.62	01/25/21	7.30	...	2.32	Sale ²⁵
	Security total:	47.12		29.20	...	17.92	
META PLATFORMS, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
04/01/21	1.000	297.91	01/29/21	264.75	...	33.16	Sale ²⁵
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
05/21/21	10.000	133.31	06/24/20	60.00	...	73.31	Sale ²⁵
06/03/21	5.000	79.00	06/26/20	29.34	...	49.66	Sale ²⁵
	Security total:	212.31		89.34	...	122.97	
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
01/14/21	10.000	65.00	01/13/21	48.70	...	16.30	Sale ²⁵
01/15/21	15.000	135.00	01/13/21	73.05	...	61.95	Sale ²⁵
	Security total:	200.00		121.75	...	78.25	
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
01/12/21	3.000	97.23	12/31/20	82.23	...	15.00	Sale ²⁵
01/21/21	2.000	69.98	12/31/20	54.82	...	15.16	Sale ²⁵
	2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	3.000	150.00	12/31/20	82.23	...	67.77	1 of 2 - Sale ²⁵
	1.000	50.00	01/15/21	32.00	...	18.00	2 of 2 - Sale ²⁵
01/27/21	4.000	200.00	Various	114.23	...	85.77	Total of 2 transactions

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FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
2 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	2.000	40.70	01/15/21	64.00	23.30 W	0.00	1 of 2 - Sale ²⁵
	1.000	20.35	02/23/21	36.90	16.55 W	0.00	2 of 2 - Sale ²⁵
04/22/21	3.000	61.05	Various	100.90	39.85 W	0.00	Total of 2 transactions
2 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	1.000	30.95	02/23/21	36.90	...	-5.95	1 of 2 - Sale ²⁵
	1.000	30.95	02/26/21	34.00	...	-3.05	2 of 2 - Sale ²⁵
06/21/21	2.000	61.90	Various	70.90	...	-9.00	Total of 2 transactions
	Security total:	490.16		423.08	39.85 W	106.93	
GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:							
08/03/21	0.257	26.65	08/13/20	13.71	...	12.94	Cash in lieu
5 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	0.993	104.96	08/13/20	52.99	...	51.97	1 of 5 - Sale ²⁵
	0.003	0.27	10/27/20	0.15	...	0.12	2 of 5 - Sale ²⁵
	0.002	0.16	01/26/21	0.15	...	0.01	3 of 5 - Sale ²⁵
	0.001	0.15	04/27/21	0.15	...	0.00	4 of 5 - Sale ²⁵
	0.001	0.15	07/27/21	0.15	...	0.00	5 of 5 - Sale ²⁵
08/11/21	1.000	105.69	Various	53.59	...	52.10	Total of 5 transactions
	Security total:	132.34		67.30	...	65.04	
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
06/03/21	1.000	61.28	01/21/21	56.18	...	5.10	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
06/09/21	25.000	53.87	03/30/21	51.75	...	2.12	Sale ²⁵
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
01/13/21	15.000	105.00	01/12/21	90.60	...	14.40	Sale ²⁵
01/25/21	5.000	66.61	01/12/21	30.20	...	36.41	Sale ²⁵
	Security total:	171.61		120.80	...	50.81	
GOODRX HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 38246G108 / Symbol:							
09/14/21	3.000	135.12	07/21/21	94.08	...	41.04	Sale ²⁵

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GREENPOWER MOTOR COMPANY INC. COMMON SHARES / CUSIP: 39540E302 / Symbol:							
01/06/21	2.000	66.36	12/17/20	35.41	...	30.95	Sale ²⁵
	<i>2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	32.50	12/17/20	17.71	...	14.79	1 of 2 - Sale ²⁵
	1.000	32.50	01/19/21	28.10	...	4.40	2 of 2 - Sale ²⁵
02/12/21	2.000	65.00	Various	45.81	...	19.19	Total of 2 transactions
03/15/21	1.000	28.20	01/19/21	28.10	...	0.10	Sale ²⁵
03/15/21	1.000	28.20	01/27/21	28.51	0.31 W	0.00	Sale ²⁵
03/31/21	1.000	25.00	02/23/21	22.69	...	2.31	Sale ²⁵
	<i>3 transactions for 03/31/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	25.00	01/27/21	28.51	3.51 W	0.00	1 of 3 - Sale ²⁵
	1.000	25.00	02/22/21	28.91	3.91 W	0.00	2 of 3 - Sale ²⁵
	1.000	25.00	02/22/21	28.60	3.60 W	0.00	3 of 3 - Sale ²⁵
03/31/21	3.000	75.00	Various	86.02	11.02 W	0.00	Total of 3 transactions
	Security total:	287.76		246.54	11.33 W	52.55	
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol:							
02/01/21	5.000	181.50	09/22/20	125.00	...	56.50	Sale ²⁵
02/22/21	5.000	202.25	09/22/20	125.00	...	77.25	Sale ²⁵
02/23/21	5.000	201.05	09/24/20	114.75	...	86.30	Sale ²⁵
02/25/21	3.000	123.00	10/08/20	62.31	...	60.69	Sale ²⁵
03/01/21	2.000	113.58	10/08/20	41.54	...	72.04	Sale ²⁵
03/05/21	5.000	290.10	10/08/20	103.85	...	186.25	Sale ²⁵
	Security total:	1,111.48		572.45	...	539.03	
HOUGHTON MIFFLIN HARCOURT COMP ANY COMMON STOCK / CUSIP: 44157R109 / Symbol:							
01/19/21	40.000	178.80	12/04/20	136.40	...	42.40	Sale ²⁵
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
02/12/21	3.000	56.73	01/26/21	52.86	...	3.87	Sale ²⁵
IGM BIOSCIENCES, INC. COMMON S TOCK / CUSIP: 449585108 / Symbol:							
02/10/21	1.000	122.02	02/09/21	122.86	0.84 W	0.00	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/11/2022

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IMV INC. COMMON SHARES / CUSIP: 44974L103 / Symbol:							
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	10.000	38.30	09/08/20	39.00	...	-0.70	1 of 2 - Sale ²⁵
	19.000	72.77	10/08/20	78.09	...	-5.32	2 of 2 - Sale ²⁵
02/03/21	29.000	111.07	Various	117.09	...	-6.02	Total of 2 transactions
4 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	21.000	87.78	10/08/20	86.31	...	1.47	1 of 4 - Sale ²⁵
	50.000	208.99	10/08/20	200.00	...	8.99	2 of 4 - Sale ²⁵
	1.000	4.18	10/14/20	3.50	...	0.68	3 of 4 - Sale ²⁵
	4.000	16.72	10/15/20	13.56	...	3.16	4 of 4 - Sale ²⁵
02/04/21	76.000	317.67	Various	303.37	...	14.30	Total of 4 transactions
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	46.000	211.14	10/15/20	155.94	...	55.20	1 of 2 - Sale ²⁵
	4.000	18.36	11/12/20	13.64	...	4.72	2 of 2 - Sale ²⁵
02/05/21	50.000	229.50	Various	169.58	...	59.92	Total of 2 transactions
02/12/21	24.000	102.00	11/12/20	81.84	...	20.16	Sale ²⁵
4 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	7.000	15.33	11/12/20	23.87	8.54 W	0.00	1 of 4 - Sale ²⁵
	40.000	87.60	11/19/20	133.00	45.40 W	0.00	2 of 4 - Sale ²⁵
	33.000	72.26	12/22/20	98.34	26.08 W	0.00	3 of 4 - Sale ²⁵
	20.000	43.80	04/05/21	63.80	20.00 W	0.00	4 of 4 - Sale ²⁵
08/10/21	100.000	218.99	Various	319.01	100.02 W	0.00	Total of 4 transactions
	Security total:	979.23		990.89	100.02 W	88.36	
ISPECIMEN INC. COMMON STOCK / CUSIP: 45032V108 / Symbol:							
12/03/21	35.000	559.99	12/02/21	490.00	...	69.99	Sale ²⁵
2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	20.000	320.00	12/03/21	265.00	...	55.00	1 of 2 - Sale ²⁵
	5.000	85.00	12/07/21	62.32	...	22.68	2 of 2 - Sale ²⁵
12/08/21	25.000	405.00	Various	327.32	...	77.68	Total of 2 transactions
	Security total:	964.99		817.32	...	147.67	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol:							
4 transactions for 07/22/21. Total proceeds and cost reported to the IRS.							
	25.000	100.25	02/11/21	81.25	...	19.00	1 of 4 - Sale ²⁵
	25.000	100.25	02/11/21	80.50	...	19.75	2 of 4 - Sale ²⁵
	25.000	100.25	02/12/21	57.70	...	42.55	3 of 4 - Sale ²⁵
	25.000	100.24	02/23/21	46.00	...	54.24	4 of 4 - Sale ²⁵
07/22/21	100.000	400.99	Various	265.45	...	135.54	Total of 4 transactions
JIAYIN GROUP INC. AMERICAN DEP OSITARY SHARES / CUSIP: 47737C104 / Symbol:							
02/12/21	10.000	45.20	11/25/20	43.97	...	1.23	Sale ²⁵
02/17/21	5.000	25.40	11/25/20	21.99	...	3.41	Sale ²⁵
03/22/21	8.000	88.24	12/01/20	26.00	...	62.24	Sale ²⁵
05/26/21	2.000	12.85	12/01/20	6.50	...	6.35	Sale ²⁵
	Security total:	171.69		98.46	...	73.23	
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
01/22/21	2.000	105.20	11/20/20	47.66	...	57.54	Sale ²⁵
KOPIN CORPORATION COMMON STOCK / CUSIP: 500600101 / Symbol:							
04/05/21	5.000	55.73	03/05/21	39.10	...	16.63	Sale ²⁵
2 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	7.000	67.55	03/05/21	54.74	...	12.81	1 of 2 - Sale ²⁵
	3.000	28.95	03/24/21	28.65	...	0.30	2 of 2 - Sale ²⁵
06/16/21	10.000	96.50	Various	83.39	...	13.11	Total of 2 transactions
	Security total:	152.23		122.49	...	29.74	
KUBIENT, INC. COMMON STOCK / CUSIP: 50116V107 / Symbol:							
02/16/21	5.000	64.00	12/08/20	40.70	...	23.30	Sale ²⁵
02/17/21	5.000	60.00	12/09/20	33.30	...	26.70	Sale ²⁵
3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	3.000	22.68	12/09/20	19.98	...	2.70	1 of 3 - Sale ²⁵
	2.000	15.12	12/22/20	13.65	...	1.47	2 of 3 - Sale ²⁵
	5.000	42.40	12/22/20	34.13	...	8.27	3 of 3 - Sale ²⁵
03/12/21	10.000	80.20	Various	67.76	...	12.44	Total of 3 transactions
	Security total:	204.20		141.76	...	62.44	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LI AUTO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 50202M102 / Symbol:							
06/23/21	2.000	60.70	01/27/21	62.34	...	-1.64	Sale ²⁵
	<i>2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	35.00	01/27/21	31.17	...	3.83	1 of 2 - Sale ²⁵
	1.000	35.00	02/08/21	30.11	...	4.89	2 of 2 - Sale ²⁵
06/30/21	2.000	70.00	Various	61.28	...	8.72	Total of 2 transactions
	<i>2 transactions for 07/30/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	67.64	02/08/21	60.22	...	7.42	1 of 2 - Sale ²⁵
	1.000	33.82	02/23/21	25.54	...	8.28	2 of 2 - Sale ²⁵
07/30/21	3.000	101.46	Various	85.76	...	15.70	Total of 2 transactions
	Security total:	232.16		209.38	...	22.78	
LAZYDAYS HOLDINGS, INC. COMMON STOCK / CUSIP: 52110H100 / Symbol:							
01/25/21	3.000	62.70	01/07/21	56.70	...	6.00	Sale ²⁵
06/16/21	2.000	50.60	01/07/21	37.80	...	12.80	Sale ²⁵
	Security total:	113.30		94.50	...	18.80	
LEXICON PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 528872302 / Symbol:							
02/02/21	10.000	90.00	01/14/21	70.20	...	19.80	Sale ²⁵
LIMELIGHT NETWORKS, INC. COMMON STOCK / CUSIP: 53261M104 / Symbol:							
01/27/21	5.000	27.53	10/26/20	20.00	...	7.53	Sale ²⁵
THE LOVESAC COMPANY COMMON STOCK / CUSIP: 54738L109 / Symbol:							
12/10/21	1.000	81.34	07/22/21	60.42	...	20.92	Sale ²⁵
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
12/10/21	3.000	112.08	03/15/21	83.79	...	28.29	Sale ²⁵
LUMEN TECHNOLOGIES, INC. / CUSIP: 550241103 / Symbol:							
	<i>2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	14.50	12/08/20	10.00	...	4.50	1 of 2 - Sale ²⁵
	4.000	58.00	12/08/20	40.00	...	18.00	2 of 2 - Sale ²⁵
01/26/21	5.000	72.50	Various	50.00	...	22.50	Total of 2 transactions

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MP MATERIALS CORP. / CUSIP: 553368101 / Symbol:							
02/18/21	3.000	132.00	02/12/21	110.40	...	21.60	Sale ²⁵
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
02/08/21	4.000	120.00	02/03/21	98.16	...	21.84	Sale ²⁵
MATCH GROUP, INC. COMMON STOCK / CUSIP: 57667L107 / Symbol:							
06/23/21	1.000	160.37	01/29/21	138.00	...	22.37	Sale ²⁵
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
2 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	0.010	2.58	09/11/20	2.05	...	0.53	1 of 2 - Sale ²⁵
	0.979	255.74	09/17/20	195.88	...	59.86	2 of 2 - Sale ²⁵
06/17/21	0.989	258.32	Various	197.93	...	60.39	Total of 2 transactions
MILLERKNOLL, INC. COMMON STOCK / CUSIP: 600544100 / Symbol:							
02/12/21	3.000	116.19	12/16/20	122.00	...	-5.81	Sale ²⁵
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	1.000	39.99	12/16/20	40.66	...	-0.67	1 of 2 - Sale ²⁵
	2.000	79.98	12/17/20	72.82	...	7.16	2 of 2 - Sale ²⁵
02/25/21	3.000	119.97	Various	113.48	...	6.49	Total of 2 transactions
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	1.000	40.23	12/17/20	36.41	...	3.82	1 of 2 - Sale ²⁵
	1.000	40.23	12/22/20	33.25	...	6.98	2 of 2 - Sale ²⁵
03/03/21	2.000	80.46	Various	69.66	...	10.80	Total of 2 transactions
3 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	2.000	84.00	12/22/20	66.50	...	17.50	1 of 3 - Sale ²⁵
	1.000	42.00	12/23/20	33.21	...	8.79	2 of 3 - Sale ²⁵
	1.000	42.00	12/23/20	33.21	...	8.79	3 of 3 - Sale ²⁵
03/08/21	4.000	168.00	Various	132.92	...	35.08	Total of 3 transactions
3 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	1.000	46.70	12/23/20	33.21	...	13.49	1 of 3 - Sale ²⁵
	0.043	1.99	04/16/21	1.88	...	0.11	2 of 3 - Sale ²⁵
	0.957	44.71	04/19/21	37.47	...	7.24	3 of 3 - Sale ²⁵
05/18/21	2.000	93.40	Various	72.56	...	20.84	Total of 3 transactions

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MILLERKNOLL, INC. COMMON STOCK / CUSIP: 600544100 / Symbol: (cont'd)							
Security total:		578.02		510.62	...		67.40
MITEK SYSTEMS, INC. COMMON STO CK / CUSIP: 606710200 / Symbol:							
02/25/21	3.000	50.70	02/08/21	46.26	...	4.44	Sale ²⁵
05/24/21	5.000	85.65	02/08/21	77.10	...	8.55	Sale ²⁵
06/16/21	5.000	95.50	02/08/21	77.10	...	18.40	Sale ²⁵
Security total:		231.85		200.46	...		31.39
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
01/25/21	1.000	146.04	11/18/20	89.47	...	56.57	Sale ²⁵
01/27/21	1.000	160.00	11/18/20	89.47	...	70.53	Sale ²⁵
01/29/21	1.000	197.22	12/09/20	151.35	...	45.87	Sale ²⁵
Security total:		503.26		330.29	...		172.97
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
01/07/21	2.000	107.66	11/30/20	100.02	...	7.64	Sale ²⁵
<i>2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.</i>							
	7.000	413.69	11/30/20	350.00	...	63.69	1 of 2 - Sale ²⁵
	8.000	472.79	11/30/20	400.08	...	72.71	2 of 2 - Sale ²⁵
01/08/21	15.000	886.48	Various	750.08	...	136.40	Total of 2 transactions
<i>2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.</i>							
	8.000	523.98	11/30/20	400.00	...	123.98	1 of 2 - Sale ²⁵
	2.000	131.00	12/11/20	83.60	...	47.40	2 of 2 - Sale ²⁵
01/11/21	10.000	654.98	Various	483.60	...	171.38	Total of 2 transactions
03/30/21	2.000	75.06	01/15/21	114.78	39.72 W	0.00	Sale ²⁵
06/29/21	2.000	100.00	02/23/21	92.00	...	8.00	Sale ²⁵
06/29/21	1.000	50.00	01/15/21	57.39	7.39 W	0.00	Sale ²⁵
Security total:		1,874.18		1,597.87	47.11 W		323.42
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
07/14/21	1.000	552.99	01/29/21	532.00	...	20.99	Sale ²⁵
08/19/21	0.500	273.87	04/20/21	244.82	...	29.05	Sale ²⁵
Security total:		826.86		776.82	...		50.04

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
<i>4 transactions for 01/06/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	16.66	12/15/20	35.01	18.35 W	0.00	1 of 4 - Sale ²⁵
	1.000	16.66	12/15/20	35.00	18.34 W	0.00	2 of 4 - Sale ²⁵
	2.000	33.32	12/15/20	64.23	30.91 W	0.00	3 of 4 - Sale ²⁵
	1.000	16.66	12/15/20	30.20	13.54 W	0.00	4 of 4 - Sale ²⁵
01/06/21	5.000	83.30	Various	164.44	81.14 W	0.00	Total of 4 transactions
01/07/21	5.000	87.65	12/15/20	82.71	...	4.94	Sale ²⁵
<i>5 transactions for 01/13/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	44.00	12/23/20	60.91	...	-16.91	1 of 5 - Sale ²⁵
	1.000	22.00	12/23/20	33.35	...	-11.35	2 of 5 - Sale ²⁵
	1.000	22.00	12/23/20	33.34	...	-11.34	3 of 5 - Sale ²⁵
	1.000	22.00	12/23/20	28.54	...	-6.54	4 of 5 - Sale ²⁵
	5.000	110.00	12/24/20	70.25	...	39.75	5 of 5 - Sale ²⁵
01/13/21	10.000	220.00	Various	226.39	...	-6.39	Total of 5 transactions
	Security total:	390.95		473.54	81.14 W	-1.45	
9 METERS BIOPHARMA, INC. COMM N STOCK / CUSIP: 654405109 / Symbol:							
01/13/21	25.000	31.75	12/21/20	24.65	...	7.10	Sale ²⁵
05/26/21	25.000	30.25	01/04/21	21.32	...	8.93	Sale ²⁵
06/22/21	25.000	35.50	03/31/21	26.25	...	9.25	Sale ²⁵
	Security total:	97.50		72.22	...	25.28	
NIU TECHNOLOGIES AMERICAN DEPO SITARY SHARES / CUSIP: 65481N100 / Symbol:							
01/20/21	3.000	136.05	01/07/21	98.13	...	37.92	Sale ²⁵
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
01/27/21	5.000	43.00	01/21/21	21.05	...	21.95	Sale ²⁵
07/08/21	10.000	53.94	01/21/21	42.10	...	11.84	Sale ²⁵
<i>2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	30.07	02/11/21	20.70	...	9.37	1 of 2 - Sale ²⁵
	5.000	30.06	02/22/21	20.10	...	9.96	2 of 2 - Sale ²⁵
12/10/21	10.000	60.13	Various	40.80	...	19.33	Total of 2 transactions
	Security total:	157.07		103.95	...	53.12	

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
01/05/21	1.000	534.33	11/24/20	518.77	...	15.56	Sale ²⁵
01/21/21	0.000	0.17	12/30/20	0.16	...	0.01	Sale ²⁵
	Security total:	534.50		518.93	...	15.57	
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
01/07/21	5.000	102.43	06/08/20	119.40	16.97 W	0.00	Sale ²⁵
	2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.						
	0.006	0.14	07/16/20	0.10	...	0.04	1 of 2 - Sale ²⁵
	4.994	114.86	08/26/20	65.07	...	49.79	2 of 2 - Sale ²⁵
01/14/21	5.000	115.00	Various	65.17	...	49.83	Total of 2 transactions
01/14/21	5.000	115.00	06/08/20	119.40	0.01 W	-4.39	Sale ²⁵
	2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.						
	0.006	0.17	08/26/20	0.08	...	0.09	1 of 2 - Sale ²⁵
	1.994	57.93	08/26/20	26.00	...	31.93	2 of 2 - Sale ²⁵
03/03/21	2.000	58.10	Various	26.08	...	32.02	Total of 2 transactions
	Security total:	390.53		330.05	16.98 W	77.46	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
02/09/21	10.000	137.00	02/08/21	160.00	23.00 W	0.00	Sale ²⁵
02/11/21	30.000	384.00	02/08/21	480.00	96.00 W	0.00	Sale ²⁵
02/26/21	20.000	206.00	02/08/21	320.00	114.00 W	0.00	Sale ²⁵
03/03/21	10.000	123.30	02/08/21	160.00	36.70 W	0.00	Sale ²⁵
03/05/21	20.000	200.00	02/08/21	320.00	120.00 W	0.00	Sale ²⁵
	3 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	10.000	103.70	02/08/21	160.00	56.30 W	0.00	1 of 3 - Sale ²⁵
	10.000	103.70	02/09/21	139.90	36.20 W	0.00	2 of 3 - Sale ²⁵
	10.000	103.70	02/09/21	161.00	57.30 W	0.00	3 of 3 - Sale ²⁵
03/11/21	30.000	311.10	Various	460.90	149.80 W	0.00	Total of 3 transactions
04/07/21	10.000	77.50	02/10/21	164.95	87.45 W	0.00	Sale ²⁵
	7 transactions for 04/22/21. Total proceeds and cost reported to the IRS.						
	10.000	80.00	02/10/21	139.95	59.95 W	0.00	1 of 7 - Sale ²⁵
	10.000	79.80	02/10/21	139.95	60.15 W	0.00	2 of 7 - Sale ²⁵
	5.000	41.00	02/11/21	77.30	36.30 W	0.00	3 of 7 - Sale ²⁵
	10.000	82.00	02/11/21	142.00	60.00 W	0.00	4 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	5.000	41.00	02/11/21	81.15	40.15 W	0.00	5 of 7 - Sale ²⁵
	5.000	50.00	02/24/21	69.55	19.55 W	0.00	6 of 7 - Sale ²⁵
	5.000	50.00	02/24/21	71.40	21.40 W	0.00	7 of 7 - Sale ²⁵
04/22/21	50.000	423.80	Various	721.30	297.50 W	0.00	Total of 7 transactions
	6 transactions for 04/23/21. Total proceeds and cost reported to the IRS.						
	15.000	151.50	02/24/21	214.20	...	-62.70	1 of 6 - Sale ²⁵
	5.000	50.50	03/04/21	75.15	...	-24.65	2 of 6 - Sale ²⁵
	10.000	127.95	03/04/21	147.30	...	-19.35	3 of 6 - Sale ²⁵
	5.000	50.50	03/04/21	64.45	...	-13.95	4 of 6 - Sale ²⁵
	5.000	63.98	03/04/21	63.10	...	0.88	5 of 6 - Sale ²⁵
	5.000	63.97	03/04/21	45.00	...	18.97	6 of 6 - Sale ²⁵
04/23/21	45.000	508.40	Various	609.20	...	-100.80	Total of 6 transactions
	2 transactions for 04/27/21. Total proceeds and cost reported to the IRS.						
	5.000	59.40	03/04/21	45.00	...	14.40	1 of 2 - Sale ²⁵
	5.000	59.40	03/05/21	40.55	...	18.85	2 of 2 - Sale ²⁵
04/27/21	10.000	118.80	Various	85.55	...	33.25	Total of 2 transactions
	2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.						
	5.000	62.70	03/05/21	40.55	...	22.15	1 of 2 - Sale ²⁵
	10.000	125.40	03/05/21	77.00	...	48.40	2 of 2 - Sale ²⁵
04/30/21	15.000	188.10	Various	117.55	...	70.55	Total of 2 transactions
	3 transactions for 05/03/21. Total proceeds and cost reported to the IRS.						
	5.000	78.15	03/05/21	38.50	...	39.65	1 of 3 - Sale ²⁵
	5.000	80.00	03/05/21	38.50	...	41.50	2 of 3 - Sale ²⁵
	10.000	160.00	03/09/21	83.60	...	76.40	3 of 3 - Sale ²⁵
05/03/21	20.000	318.15	Various	160.60	...	157.55	Total of 3 transactions
05/03/21	10.000	160.00	03/09/21	171.05	11.05 W	0.00	Sale ²⁵
05/27/21	10.000	90.00	03/09/21	83.70	...	6.30	Sale ²⁵
06/24/21	10.000	84.01	03/09/21	83.70	...	0.31	Sale ²⁵
	2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	1.000	8.60	03/19/21	8.78	0.18 W	0.00	1 of 2 - Sale ²⁵
	4.000	34.40	03/19/21	35.12	0.72 W	0.00	2 of 2 - Sale ²⁵
06/28/21	5.000	43.00	Various	43.90	0.90 W	0.00	Total of 2 transactions
07/02/21	10.000	84.00	03/19/21	87.80	3.80 W	0.00	Sale ²⁵

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Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
<i>2 transactions for 07/26/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	35.05	03/19/21	43.90	8.85 W	0.00	1 of 2 - Sale ²⁵
	5.000	35.05	03/24/21	68.53	33.48 W	0.00	2 of 2 - Sale ²⁵
07/26/21	10.000	70.10	Various	112.43	42.33 W	0.00	Total of 2 transactions
<i>2 transactions for 08/06/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	40.00	03/24/21	68.52	28.52 W	0.00	1 of 2 - Sale ²⁵
	5.000	40.00	04/01/21	63.72	23.72 W	0.00	2 of 2 - Sale ²⁵
08/06/21	10.000	80.00	Various	132.24	52.24 W	0.00	Total of 2 transactions
<i>3 transactions for 08/10/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	42.00	04/01/21	70.03	28.03 W	0.00	1 of 3 - Sale ²⁵
	10.000	84.00	04/01/21	127.60	43.60 W	0.00	2 of 3 - Sale ²⁵
	5.000	42.00	04/13/21	63.48	21.48 W	0.00	3 of 3 - Sale ²⁵
08/10/21	20.000	168.00	Various	261.11	93.11 W	0.00	Total of 3 transactions
<i>2 transactions for 09/13/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	43.05	04/13/21	73.62	...	-30.57	1 of 2 - Sale ²⁵
	5.000	43.05	04/13/21	53.03	...	-9.98	2 of 2 - Sale ²⁵
09/13/21	10.000	86.10	Various	126.65	...	-40.55	Total of 2 transactions
<i>3 transactions for 10/26/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	64.50	04/13/21	54.87	...	9.63	1 of 3 - Sale ²⁵
	1.000	12.90	05/24/21	9.09	...	3.81	2 of 3 - Sale ²⁵
	9.000	116.10	05/24/21	81.82	...	34.28	3 of 3 - Sale ²⁵
10/26/21	15.000	193.50	Various	145.78	...	47.72	Total of 3 transactions
<i>3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	11.38	06/09/21	9.34	...	2.04	1 of 3 - Sale ²⁵
	4.000	45.52	06/09/21	37.35	...	8.17	2 of 3 - Sale ²⁵
	5.000	56.90	06/09/21	47.69	...	9.21	3 of 3 - Sale ²⁵
10/29/21	10.000	113.80	Various	94.38	...	19.42	Total of 3 transactions
<i>2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	71.06	06/10/21	34.45	...	36.61	1 of 2 - Sale ²⁵
	5.000	71.05	06/10/21	32.55	...	38.50	2 of 2 - Sale ²⁵
11/01/21	10.000	142.11	Various	67.00	...	75.11	Total of 2 transactions
	Security total:	4,310.77		5,169.79	1,127.88 W	268.86	

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Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ON SEMICONDUCTOR CORPORATION C OMMON STOCK / CUSIP: 682189105 / Symbol:							
12/01/21	2.000	123.46	05/03/21	74.94	...	48.52	Sale ²⁵
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	5.000	27.50	12/02/20	25.15	...	2.35	1 of 2 - Sale ²⁵
	15.000	90.75	12/02/20	75.45	...	15.30	2 of 2 - Sale ²⁵
01/27/21	20.000	118.25	Various	100.60	...	17.65	Total of 2 transactions
OUTSET MEDICAL, INC. COMMON STOCK / CUSIP: 690145107 / Symbol:							
01/26/21	2.000	108.80	11/17/20	122.62	13.82 W	0.00	Sale ²⁵
02/10/21	3.000	172.38	11/18/20	170.34	...	2.04	Sale ²⁵
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	1.000	57.68	12/10/20	51.40	...	6.28	1 of 2 - Sale ²⁵
	1.000	57.68	12/10/20	51.30	...	6.38	2 of 2 - Sale ²⁵
02/12/21	2.000	115.36	Various	102.70	...	12.66	Total of 2 transactions
2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	1.000	57.38	12/10/20	51.30	...	6.08	1 of 2 - Sale ²⁵
	1.000	57.38	12/21/20	49.50	...	7.88	2 of 2 - Sale ²⁵
04/09/21	2.000	114.76	Various	100.80	...	13.96	Total of 2 transactions
2 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	1.000	59.03	12/21/20	49.50	...	9.53	1 of 2 - Sale ²⁵
	1.000	59.02	01/05/21	57.63	...	1.39	2 of 2 - Sale ²⁵
04/29/21	2.000	118.05	Various	107.13	...	10.92	Total of 2 transactions
4 transactions for 09/07/21. Total proceeds and cost reported to the IRS.							
	1.000	50.00	01/05/21	57.63	...	-7.63	1 of 4 - Sale ²⁵
	1.000	50.00	01/05/21	50.72	...	-0.72	2 of 4 - Sale ²⁵
	1.000	50.00	01/05/21	50.72	...	-0.72	3 of 4 - Sale ²⁵
	1.000	50.00	01/11/21	48.50	...	1.50	4 of 4 - Sale ²⁵
09/07/21	4.000	200.00	Various	207.57	...	-7.57	Total of 4 transactions
10/28/21	1.000	53.79	01/11/21	48.50	...	5.29	Sale ²⁵
11/02/21	1.000	58.54	01/11/21	48.50	...	10.04	Sale ²⁵
Security total:		941.68		908.16	13.82 W	47.34	

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2021 1099-B* OMB No. 1545-0715

(continued)

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OZON HOLDINGS PLC AMERICAN DEP OSITARY SHARES, EACH ADS REPRE SENTING ONE ORDINARY SHARE / CUSIP: 69269L104 / Symbol:							
04/14/21	2.000	125.16	11/25/20	79.76	...	45.40	Sale ²⁵
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
4 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	2.000	56.76	10/06/20	18.00	...	38.76	1 of 4 - Sale ²⁵
	3.000	90.00	11/25/20	85.71	...	4.29	2 of 4 - Sale ²⁵
	3.000	90.00	12/01/20	77.36	...	12.64	3 of 4 - Sale ²⁵
	2.000	60.00	01/06/21	48.09	...	11.91	4 of 4 - Sale ²⁵
01/22/21	10.000	296.76	Various	229.16	...	67.60	Total of 4 transactions
PDS BIOTECHNOLOGY CORPORATION COMMON STOCK / CUSIP: 70465T107 / Symbol:							
02/03/21	10.000	40.00	08/11/20	30.10	...	9.90	Sale ²⁵
02/04/21	10.000	40.00	01/27/21	26.40	...	13.60	Sale ²⁵
	Security total:	80.00		56.50	...	23.50	
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
06/24/21	1.000	123.04	01/07/21	152.07	...	-29.03	Sale ²⁵
PINDUODUO INC. AMERICAN DEPOSI TARY SHARES / CUSIP: 722304102 / Symbol:							
02/03/21	2.000	390.13	01/22/21	339.20	...	50.93	Sale ²⁵
02/04/21	2.000	400.00	01/22/21	339.20	...	60.80	Sale ²⁵
	Security total:	790.13		678.40	...	111.73	
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	3.000	237.72	11/09/20	183.50	...	54.22	1 of 2 - Sale ²⁵
	2.000	158.48	11/10/20	107.50	...	50.98	2 of 2 - Sale ²⁵
06/30/21	5.000	396.20	Various	291.00	...	105.20	Total of 2 transactions
PREVAIL THERAPEUTICS INC. COMM ON STOCK / CUSIP: 74140Y101 / Symbol:							
01/22/21	5.000	112.50	08/12/20	66.15	...	46.35	Merger

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROPERTY SOLUTIONS ACQUISITION CORP. COMMON STOCK / CUSIP: 74348Q108 / Symbol:							
01/27/21	8.000	112.00	01/14/21	95.36	...	16.64	Sale ²⁵
01/29/21	4.000	82.08	01/21/21	44.68	...	37.40	Sale ²⁵
	Security total:	194.08		140.04	...	54.04	
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
01/13/21	3.000	174.00	12/31/20	243.48	69.48 W	0.00	Sale ²⁵
02/12/21	2.000	106.70	01/04/21	157.02	50.32 W	0.00	Sale ²⁵
02/17/21	2.000	118.40	01/11/21	105.88	...	12.52	Sale ²⁵
	<i>2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	59.20	01/11/21	76.10	16.90 W	0.00	1 of 2 - Sale ²⁵
	1.000	59.20	01/15/21	78.86	19.66 W	0.00	2 of 2 - Sale ²⁵
02/17/21	2.000	118.40	Various	154.96	36.56 W	0.00	Total of 2 transactions
03/10/21	1.000	56.00	01/15/21	53.70	...	2.30	Sale ²⁵
	<i>2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	56.00	01/15/21	78.86	22.86 W	0.00	1 of 2 - Sale ²⁵
	1.000	56.00	01/21/21	67.96	11.96 W	0.00	2 of 2 - Sale ²⁵
03/10/21	2.000	112.00	Various	146.82	34.82 W	0.00	Total of 2 transactions
	Security total:	685.50		861.86	191.18 W	14.82	
REKOR SYSTEMS, INC. COMMON STO CK / CUSIP: 759419104 / Symbol:							
02/12/21	5.000	100.00	02/05/21	78.99	...	21.01	Sale ²⁵
04/14/21	2.000	42.46	02/05/21	31.60	...	10.86	Sale ²⁵
	Security total:	142.46		110.59	...	31.87	
REPLIGEN CORPORATION COMMON ST OCK / CUSIP: 759916109 / Symbol:							
01/19/21	1.000	225.00	01/11/21	206.46	...	18.54	Sale ²⁵
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
08/03/21	2.000	90.00	07/29/21	68.78	...	21.22	Sale ²⁵
08/04/21	1.000	55.00	07/29/21	34.39	...	20.61	Sale ²⁵
	Security total:	145.00		103.17	...	41.83	

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ROCKWELL MEDICAL, INC. (DE) COMMON STOCK / CUSIP: 774374102 / Symbol:							
01/12/21	20.000	23.40	12/04/20	22.20	...	1.20	Sale ²⁵
01/25/21	10.000	14.60	12/04/20	11.10	...	3.50	Sale ²⁵
	Security total:	38.00		33.30	...	4.70	
SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol:							
02/26/21	2.000	29.14	01/15/21	23.62	...	5.52	Sale ²⁵
03/04/21	3.000	46.50	01/15/21	35.43	...	11.07	Sale ²⁵
	Security total:	75.64		59.05	...	16.59	
SAFEGUARD SCIENTIFICS, INC. / CUSIP: 786449207 / Symbol:							
01/05/21	16.000	105.44	09/21/20	86.40	...	19.04	Sale ²⁵
	<i>2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.</i>						
	9.000	65.88	09/21/20	48.60	...	17.28	1 of 2 - Sale ²⁵
	11.000	80.52	12/18/20	67.01	...	13.51	2 of 2 - Sale ²⁵
06/24/21	20.000	146.40	Various	115.61	...	30.79	Total of 2 transactions
	<i>2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.</i>						
	14.000	107.94	12/18/20	85.29	...	22.65	1 of 2 - Sale ²⁵
	1.000	7.71	04/26/21	6.10	...	1.61	2 of 2 - Sale ²⁵
06/25/21	15.000	115.65	Various	91.39	...	24.26	Total of 2 transactions
07/13/21	10.000	83.30	04/26/21	61.00	...	22.30	Sale ²⁵
08/09/21	5.000	40.60	04/26/21	30.50	...	10.10	Sale ²⁵
	Security total:	491.39		384.90	...	106.49	
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
07/30/21	0.155	37.19	12/01/20	37.35	...	-0.16	Cash in lieu
08/05/21	1.000	249.58	12/03/20	225.00	...	24.58	Sale ²⁵
	Security total:	286.77		262.35	...	24.42	
SASOL LIMITED / CUSIP: 803866300 / Symbol:							
01/07/21	5.000	51.50	10/14/20	33.75	...	17.75	Sale ²⁵
09/22/21	5.000	83.06	11/30/20	39.53	...	43.53	Sale ²⁵
	Security total:	134.56		73.28	...	61.28	

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SELECTQUOTE, INC. / CUSIP: 816307300 / Symbol:							
02/22/21	5.000	150.00	12/21/20	109.15	...	40.85	Sale ²⁵
03/03/21	2.000	57.52	01/28/21	45.80	...	11.72	Sale ²⁵
	Security total:	207.52		154.95	...	52.57	
SHIFTPIXY, INC. COMMON STOCK / CUSIP: 82452L203 / Symbol:							
01/26/21	20.000	90.00	01/20/21	62.60	...	27.40	Sale ²⁵
	2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.						
	5.000	17.56	01/20/21	15.65	...	1.91	1 of 2 - Sale ²⁵
	5.000	17.57	02/03/21	17.30	...	0.27	2 of 2 - Sale ²⁵
06/04/21	10.000	35.13	Various	32.95	...	2.18	Total of 2 transactions
	Security total:	125.13		95.55	...	29.58	
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
06/16/21	2.000	89.42	12/01/20	87.56	...	1.86	Sale ²⁵
07/20/21	2.000	53.58	12/01/20	50.21	...	3.37	Merger
	Security total:	143.00		137.77	...	5.23	
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
09/21/21	10.000	65.80	08/10/21	51.40	...	14.40	Sale ²⁵
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
07/09/21	1.000	261.50	02/05/21	305.05	...	-43.55	Sale ²⁵
12/08/21	1.000	371.99	02/22/21	287.00	...	84.99	Sale ²⁵
	Security total:	633.49		592.05	...	41.44	
SOLARIS OILFIELD INFRASTRUCTUR E, INC. / CUSIP: 83418M103 / Symbol:							
02/17/21	5.000	61.35	12/10/20	43.90	...	17.45	Sale ²⁵
02/24/21	5.000	63.50	12/10/20	43.90	...	19.60	Sale ²⁵
	Security total:	124.85		87.80	...	37.05	
SONOS, INC. COMMON STOCK / CUSIP: 83570H108 / Symbol:							
02/25/21	2.000	80.42	02/11/21	72.70	...	7.72	Sale ²⁵
03/02/21	1.000	41.58	02/11/21	36.35	...	5.23	Sale ²⁵
	2 transactions for 08/12/21. Total proceeds and cost reported to the IRS.						
	2.000	76.58	02/11/21	72.70	...	3.88	1 of 2 - Sale ²⁵

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(continued)

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SONOS, INC. COMMON STOCK / CUSIP: 83570H108 / Symbol: (cont'd)							
	1.000	38.29	06/18/21	32.89	...	5.40	2 of 2 - Sale ²⁵
08/12/21	3.000	114.87	Various	105.59	...	9.28	Total of 2 transactions
08/13/21	1.000	42.00	06/18/21	32.89	...	9.11	Sale ²⁵
	Security total:	278.87		247.53	...	31.34	
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
10/26/21	1.000	170.20	12/02/20	186.21	...	-16.01	Sale ²⁵
BLOCK, INC. / CUSIP: 852234103 / Symbol:							
02/08/21	1.000	257.00	09/03/20	150.99	...	106.01	Sale ²⁵
03/15/21	1.000	250.34	01/11/21	225.51	...	24.83	Sale ²⁵
04/08/21	1.000	252.93	01/27/21	204.45	...	48.48	Sale ²⁵
07/21/21	1.000	255.00	03/04/21	222.00	...	33.00	Sale ²⁵
08/02/21	1.000	278.73	03/08/21	205.44	...	73.29	Sale ²⁵
	Security total:	1,294.00		1,008.39	...	285.61	
STAFFING 360 SOLUTIONS, INC. C OMMON STOCK (DE) / CUSIP: 852387307 / Symbol:							
01/13/21	10.000	10.00	08/11/20	8.80	...	1.20	Sale ²⁵
01/13/21	40.000	40.00	10/28/20	42.00	2.00 W	0.00	Sale ²⁵
01/21/21	25.000	24.01	12/22/20	26.25	2.24 W	0.00	Sale ²⁵
	3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	15.000	15.90	12/22/20	15.75	...	0.15	1 of 3 - Sale ²⁵
	10.000	10.60	12/22/20	10.00	...	0.60	2 of 3 - Sale ²⁵
	25.000	26.50	12/23/20	23.35	...	3.15	3 of 3 - Sale ²⁵
02/10/21	50.000	53.00	Various	49.10	...	3.90	Total of 3 transactions
	2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	25.000	29.00	12/23/20	21.18	...	7.82	1 of 2 - Sale ²⁵
	25.000	29.00	12/24/20	15.68	...	13.32	2 of 2 - Sale ²⁵
02/11/21	50.000	58.00	Various	36.86	...	21.14	Total of 2 transactions
	2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.						
	25.000	25.00	12/24/20	15.68	...	9.32	1 of 2 - Sale ²⁵
	50.000	49.99	12/29/20	34.00	...	15.99	2 of 2 - Sale ²⁵
03/18/21	75.000	74.99	Various	49.68	...	25.31	Total of 2 transactions
	Security total:	260.00		212.69	4.24 W	51.55	

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STAR PEAK ENERGY TRANSITION CO RP. / CUSIP: 855185104 / Symbol:							
01/12/21	3.000	107.10	01/11/21	92.10	...	15.00	Sale ²⁵
02/26/21	2.000	66.00	01/11/21	61.40	...	4.60	Sale ²⁵
	Security total:	173.10		153.50	...	19.60	
STEM, INC. / CUSIP: 85859N102 / Symbol:							
06/17/21	2.000	68.23	01/26/21	63.50	...	4.73	Sale ²⁵
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
<i>2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	49.50	11/16/20	12.89	...	36.61	1 of 2 - Sale ²⁵
	50.000	49.49	12/16/20	24.10	...	25.39	2 of 2 - Sale ²⁵
01/28/21	100.000	98.99	Various	36.99	...	62.00	Total of 2 transactions
<i>3 transactions for 02/01/21. Total proceeds and cost reported to the IRS.</i>							
	40.000	43.24	01/07/21	29.20	...	14.04	1 of 3 - Sale ²⁵
	100.000	109.99	01/07/21	73.00	...	36.99	2 of 3 - Sale ²⁵
	10.000	10.81	01/27/21	5.17	...	5.64	3 of 3 - Sale ²⁵
02/01/21	150.000	164.04	Various	107.37	...	56.67	Total of 3 transactions
02/10/21	20.000	41.60	01/27/21	10.35	...	31.25	Sale ²⁵
	Security total:	304.63		154.71	...	149.92	
TENCENT MUSIC ENTERTAINMENT GR OUP AMERICAN DEPOSITARY SHARES , EACH REPRESENTING TWO CLASS / CUSIP: 88034P109 / Symbol:							
03/22/21	6.000	188.22	02/03/21	158.22	...	30.00	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
02/23/21	1.000	701.98	08/28/20	443.00	...	258.98	Sale ²⁵
<i>2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.</i>							
	0.200	130.00	08/28/20	88.60	...	41.40	1 of 2 - Sale ²⁵
	0.800	519.99	09/02/20	352.00	...	167.99	2 of 2 - Sale ²⁵
03/09/21	1.000	649.99	Various	440.60	...	209.39	Total of 2 transactions
<i>2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i>							
	0.200	143.20	09/02/20	88.00	...	55.20	1 of 2 - Sale ²⁵
	0.800	572.79	12/02/20	466.42	...	106.37	2 of 2 - Sale ²⁵
03/10/21	1.000	715.99	Various	554.42	...	161.57	Total of 2 transactions

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
3 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	0.200	132.45	12/02/20	116.60	...	15.85	1 of 3 - Sale ²⁵
	0.226	149.34	12/09/20	134.11	...	15.23	2 of 3 - Sale ²⁵
	0.574	380.45	12/09/20	341.65	...	38.80	3 of 3 - Sale ²⁵
03/31/21	1.000	662.24	Various	592.36	...	69.88	Total of 3 transactions
4 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	0.041	29.55	12/09/20	24.24	...	5.31	1 of 4 - Sale ²⁵
	0.041	30.16	02/23/21	26.33	...	3.83	2 of 4 - Sale ²⁵
	0.959	695.44	02/23/21	619.67	...	75.77	3 of 4 - Sale ²⁵
	0.959	709.83	03/04/21	620.13	...	89.70	4 of 4 - Sale ²⁵
04/13/21	2.000	1,464.98	Various	1,290.37	...	174.61	Total of 4 transactions
2 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	0.041	30.16	03/04/21	26.35	...	3.81	1 of 2 - Sale ²⁵
	0.959	709.83	03/08/21	557.92	...	151.91	2 of 2 - Sale ²⁵
08/31/21	1.000	739.99	Various	584.27	...	155.72	Total of 2 transactions
2 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	0.041	36.92	03/08/21	23.71	...	13.21	1 of 2 - Sale ²⁵
	0.007	6.20	03/12/21	4.62	...	1.58	2 of 2 - Sale ²⁵
10/22/21	0.048	43.12	Various	28.33	...	14.79	Total of 2 transactions
11/22/21	0.100	118.94	03/12/21	67.51	...	51.43	Sale ²⁵
2 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	0.893	961.47	03/12/21	602.94	...	358.53	1 of 2 - Sale ²⁵
	0.107	115.01	03/18/21	70.55	...	44.46	2 of 2 - Sale ²⁵
12/02/21	1.000	1,076.48	Various	673.49	...	402.99	Total of 2 transactions
	Security total:	6,173.71		4,674.35	...	1,499.36	
THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:							
06/24/21	1.000	72.04	06/17/21	59.25	...	12.79	Sale ²⁵

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Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	25.000	31.50	07/30/20	33.50	...	-2.00	1 of 2 - Sale ²⁵
	5.000	6.30	08/11/20	5.20	...	1.10	2 of 2 - Sale ²⁵
02/03/21	30.000	37.80	Various	38.70	...	-0.90	Total of 2 transactions
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	15.000	24.15	08/11/20	15.60	...	8.55	1 of 2 - Sale ²⁵
	16.000	25.76	10/26/20	10.08	...	15.68	2 of 2 - Sale ²⁵
02/08/21	31.000	49.91	Various	25.68	...	24.23	Total of 2 transactions
	Security total:	87.71		64.38	...	23.33	
TRIMBLE INC. COMMON STOCK / CUSIP: 896239100 / Symbol:							
03/18/21	1.000	76.69	01/07/21	71.11	...	5.58	Sale ²⁵
03/31/21	1.000	78.48	01/07/21	71.11	...	7.37	Sale ²⁵
	Security total:	155.17		142.22	...	12.95	
II-VI INCORPORATED COMMON STOCK / CUSIP: 902104108 / Symbol:							
02/11/21	1.000	96.18	01/12/21	88.16	...	8.02	Sale ²⁵
04/14/21	1.000	81.72	01/12/21	88.16	...	-6.44	Sale ²⁵
	Security total:	177.90		176.32	...	1.58	
UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol:							
08/11/21	1.000	117.06	03/15/21	108.57	...	8.49	Sale ²⁵
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
03/17/21	1.000	89.10	03/03/21	56.93	...	32.17	Sale ²⁵
03/22/21	1.000	150.00	03/03/21	56.93	...	93.07	Sale ²⁵
	Security total:	239.10		113.86	...	125.24	
VAREX IMAGING CORPORATION COMMON STOCK / CUSIP: 92214X106 / Symbol:							
01/22/21	8.000	160.00	05/14/20	136.00	...	24.00	Sale ²⁵
06/04/21	1.000	25.53	03/31/21	20.72	...	4.81	Sale ²⁵
06/09/21	2.000	52.61	03/31/21	41.44	...	11.17	Sale ²⁵
	Security total:	238.14		198.16	...	39.98	

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Robinhood Securities LLC

Account 121134340

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2021 1099-B* OMB No. 1545-0715

(continued)

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VENUS CONCEPT INC. COMMON STOC K / CUSIP: 92332W105 / Symbol:							
02/08/21	20.000	54.80	01/04/21	34.60	...	20.20	Sale ²⁵
	2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.						
	5.000	13.50	01/04/21	8.65	...	4.85	1 of 2 - Sale ²⁵
	15.000	40.50	01/04/21	25.95	...	14.55	2 of 2 - Sale ²⁵
06/18/21	20.000	54.00	Various	34.60	...	19.40	Total of 2 transactions
	Security total:	108.80		69.20	...	39.60	
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
	3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	6.000	65.58	12/15/20	68.64	...	-3.06	1 of 3 - Sale ²⁵
	4.000	43.72	12/15/20	40.43	...	3.29	2 of 3 - Sale ²⁵
	5.000	65.00	12/15/20	47.15	...	17.85	3 of 3 - Sale ²⁵
02/04/21	15.000	174.30	Various	156.22	...	18.08	Total of 3 transactions
	2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	5.000	70.75	12/17/20	47.30	...	23.45	1 of 2 - Sale ²⁵
	5.000	70.75	12/17/20	47.15	...	23.60	2 of 2 - Sale ²⁵
02/08/21	10.000	141.50	Various	94.45	...	47.05	Total of 2 transactions
02/09/21	2.000	42.78	12/17/20	18.86	...	23.92	Sale ²⁵
02/10/21	3.000	57.05	12/17/20	28.29	...	28.76	Sale ²⁵
	Security total:	415.63		297.82	...	117.81	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
02/18/21	3.000	155.83	12/14/20	81.21	...	74.62	Sale ²⁵
	2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.						
	2.000	71.80	12/14/20	54.14	...	17.66	1 of 2 - Sale ²⁵
	3.000	113.46	12/15/20	74.31	...	39.15	2 of 2 - Sale ²⁵
06/08/21	5.000	185.26	Various	128.45	...	56.81	Total of 2 transactions
	2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.						
	2.000	97.24	12/18/20	49.00	...	48.24	1 of 2 - Sale ²⁵
	1.000	48.62	04/19/21	22.05	...	26.57	2 of 2 - Sale ²⁵
06/25/21	3.000	145.86	Various	71.05	...	74.81	Total of 2 transactions
07/08/21	2.000	104.00	04/19/21	44.09	...	59.91	Sale ²⁵

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(continued)

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	3.000	63.90	10/26/21	60.30	...	3.60	1 of 2 - Sale ²⁵
	2.000	42.60	10/27/21	36.44	...	6.16	2 of 2 - Sale ²⁵
11/09/21	5.000	106.50	Various	96.74	...	9.76	Total of 2 transactions
3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	5.000	106.50	07/14/21	182.55	76.05 W	0.00	1 of 3 - Sale ²⁵
	2.000	42.60	07/15/21	63.50	20.90 W	0.00	2 of 3 - Sale ²⁵
	3.000	63.90	07/20/21	91.77	27.87 W	0.00	3 of 3 - Sale ²⁵
11/09/21	10.000	213.00	Various	337.82	124.82 W	0.00	Total of 3 transactions
	Security total:	910.45		759.36	124.82 W	275.91	
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
6 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	16.600	72.04	06/17/20	65.21	...	6.83	1 of 6 - Sale ²⁵
	17.000	73.78	06/17/20	66.79	...	6.99	2 of 6 - Sale ²⁵
	8.000	34.72	08/11/20	18.96	...	15.76	3 of 6 - Sale ²⁵
	12.000	52.20	08/11/20	28.44	...	23.76	4 of 6 - Sale ²⁵
	8.400	36.54	01/25/21	29.00	...	7.54	5 of 6 - Sale ²⁵
	4.600	20.01	01/25/21	11.18	...	8.83	6 of 6 - Sale ²⁵
02/08/21	66.600	289.29	Various	219.58	...	69.71	Total of 6 transactions
02/08/21	8.400	36.46	06/04/20	45.05	8.59 W	0.00	Sale ²⁵
02/09/21	25.000	108.50	01/25/21	60.75	...	47.75	Sale ²⁵
2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	1.000	3.40	01/25/21	2.43	...	0.97	1 of 2 - Sale ²⁵
	24.000	81.60	02/04/21	61.92	...	19.68	2 of 2 - Sale ²⁵
02/26/21	25.000	85.00	Various	64.35	...	20.65	Total of 2 transactions
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	25.000	99.75	02/04/21	64.50	...	35.25	1 of 2 - Sale ²⁵
	25.000	100.37	02/09/21	100.25	...	0.12	2 of 2 - Sale ²⁵
03/18/21	50.000	200.12	Various	164.75	...	35.37	Total of 2 transactions
04/08/21	5.000	16.80	02/26/21	15.25	...	1.55	Sale ²⁵
04/08/21	25.000	84.00	02/22/21	102.45	18.45 W	0.00	Sale ²⁵

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(continued)

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
2 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	20.000	60.84	03/19/21	85.16	...	-24.32	1 of 2 - Sale ²⁵
	5.000	15.21	03/31/21	14.78	...	0.43	2 of 2 - Sale ²⁵
07/02/21	25.000	76.05	Various	99.94	...	-23.89	Total of 2 transactions
2 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	20.000	60.40	02/26/21	61.00	0.60 W	0.00	1 of 2 - Sale ²⁵
	5.000	15.10	03/19/21	21.29	6.19 W	0.00	2 of 2 - Sale ²⁵
07/02/21	25.000	75.50	Various	82.29	6.79 W	0.00	Total of 2 transactions
	Security total:	971.72		854.41	33.83 W	151.14	
VMWARE, INC / CUSIP: 928563402 / Symbol:							
03/26/21	1.000	152.00	01/14/21	135.46	...	16.54	Sale ²⁵
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
04/27/21	3.000	135.00	01/27/21	91.50	...	43.50	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
02/25/21	3.000	50.52	02/23/21	45.00	...	5.52	Sale ²⁵
03/01/21	3.000	51.09	02/23/21	45.00	...	6.09	Sale ²⁵
03/11/21	5.000	87.50	02/23/21	75.00	...	12.50	Sale ²⁵
03/16/21	5.000	90.20	02/23/21	75.00	...	15.20	Sale ²⁵
4 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	4.000	51.44	02/23/21	60.00	8.56 W	0.00	1 of 4 - Sale ²⁵
	2.000	25.72	03/03/21	29.84	4.12 W	0.00	2 of 4 - Sale ²⁵
	4.000	51.44	03/04/21	54.28	2.84 W	0.00	3 of 4 - Sale ²⁵
	5.000	64.30	03/12/21	80.20	15.90 W	0.00	4 of 4 - Sale ²⁵
06/02/21	15.000	192.90	Various	224.32	31.42 W	0.00	Total of 4 transactions
4 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	1.000	16.00	03/18/21	15.99	...	0.01	1 of 4 - Sale ²⁵
	1.000	16.00	03/18/21	15.99	...	0.01	2 of 4 - Sale ²⁵
	3.000	48.00	03/18/21	47.97	...	0.03	3 of 4 - Sale ²⁵
	5.000	80.00	03/24/21	69.50	...	10.50	4 of 4 - Sale ²⁵
06/03/21	10.000	160.00	Various	149.45	...	10.55	Total of 4 transactions
06/08/21	5.000	80.15	03/24/21	69.50	...	10.65	Sale ²⁵

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
<i>2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	17.17	05/06/21	11.92	...	5.25	1 of 2 - Sale ²⁵
	4.000	68.70	05/06/21	48.00	...	20.70	2 of 2 - Sale ²⁵
06/28/21	5.000	85.87	Various	59.92	...	25.95	Total of 2 transactions
<i>2 transactions for 07/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	12.00	05/06/21	11.92	...	0.08	1 of 2 - Sale ²⁵
	4.000	48.00	05/06/21	42.28	...	5.72	2 of 2 - Sale ²⁵
07/29/21	5.000	60.00	Various	54.20	...	5.80	Total of 2 transactions
	Security total:	858.23		797.39	31.42 W	92.26	
XUNLEI LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 98419E108 / Symbol:							
02/05/21	5.000	26.65	01/22/21	21.65	...	5.00	Sale ²⁵
<i>2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	31.35	01/22/21	21.65	...	9.70	1 of 2 - Sale ²⁵
	5.000	32.90	01/22/21	21.65	...	11.25	2 of 2 - Sale ²⁵
02/08/21	10.000	64.25	Various	43.30	...	20.95	Total of 2 transactions
	Security total:	90.90		64.95	...	25.95	
XPRESSPA GROUP, INC. COMMON STOCK / CUSIP: 98420U703 / Symbol:							
<i>2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	67.20	07/01/20	81.40	14.20 W	0.00	1 of 2 - Sale ²⁵
	5.000	16.80	07/13/20	17.50	0.70 W	0.00	2 of 2 - Sale ²⁵
01/28/21	25.000	84.00	Various	98.90	14.90 W	0.00	Total of 2 transactions
<i>3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	43.80	07/13/20	52.50	8.70 W	0.00	1 of 3 - Sale ²⁵
	10.000	29.20	07/14/20	29.67	0.47 W	0.00	2 of 3 - Sale ²⁵
	5.000	14.60	08/11/20	18.15	3.55 W	0.00	3 of 3 - Sale ²⁵
02/10/21	30.000	87.60	Various	100.32	12.72 W	0.00	Total of 3 transactions
	Security total:	171.60		199.22	27.62 W	0.00	

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XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
06/03/21	3.000	110.91	12/23/20	132.00	...	-21.09	Sale ²⁵
	<i>2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	80.00	12/28/20	77.47	...	2.53	1 of 2 - Sale ²⁵
	1.000	40.00	02/24/21	36.33	...	3.67	2 of 2 - Sale ²⁵
06/08/21	3.000	120.00	Various	113.80	...	6.20	Total of 2 transactions
07/01/21	2.000	94.56	02/24/21	72.66	...	21.90	Sale ²⁵
	Security total:	325.47		318.46	...	7.01	
YUNHONG CTI LTD. COMMON STOCK / CUSIP: 98873Q100 / Symbol:							
	<i>3 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>						
	7.000	21.00	12/10/20	14.35	...	6.65	1 of 3 - Sale ²⁵
	18.000	54.00	12/10/20	36.90	...	17.10	2 of 3 - Sale ²⁵
	10.000	33.40	01/27/21	19.60	...	13.80	3 of 3 - Sale ²⁵
02/22/21	35.000	108.40	Various	70.85	...	37.55	Total of 3 transactions
	<i>2 transactions for 06/16/21. Total proceeds and cost reported to the IRS.</i>						
	15.000	32.33	01/27/21	29.40	...	2.93	1 of 2 - Sale ²⁵
	5.000	10.77	04/23/21	9.20	...	1.57	2 of 2 - Sale ²⁵
06/16/21	20.000	43.10	Various	38.60	...	4.50	Total of 2 transactions
07/06/21	25.000	55.00	04/23/21	46.00	...	9.00	Sale ²⁵
	Security total:	206.50		155.45	...	51.05	
ZOOMINFO TECHNOLOGIES INC CLAS S A COMMON STOCK / CUSIP: 98980F104 / Symbol:							
08/03/21	2.000	123.24	12/10/20	82.00	...	41.24	Sale ²⁵
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
01/14/21	1.000	380.00	11/10/20	370.00	...	10.00	Sale ²⁵
02/08/21	1.000	410.13	12/01/20	405.00	...	5.13	Sale ²⁵
02/16/21	1.000	450.00	01/04/21	338.00	...	112.00	Sale ²⁵
	Security total:	1,240.13		1,113.00	...	127.13	
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
02/04/21	25.000	33.75	01/14/21	23.13	...	10.62	Sale ²⁵

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ZYNEX, INC. COMMON STOCK / CUSIP: 98986M103 / Symbol:							
2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	2.000	31.02	01/05/21	26.94	...	4.08	1 of 2 - Sale ²⁵
	4.000	62.80	01/05/21	53.88	...	8.92	2 of 2 - Sale ²⁵
01/08/21	6.000	93.82	Various	80.82	...	13.00	Total of 2 transactions
CAMBIUM NETWORKS CORPORATION ORDINARY SHARES / CUSIP: G17766109 / Symbol:							
01/26/21	4.000	148.00	01/22/21	135.24	...	12.76	Sale ²⁵
KAIXIN AUTO HOLDINGS ORDINARY SHARES / CUSIP: G5223X100 / Symbol:							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	1.000	5.20	12/10/20	3.91	...	1.29	1 of 2 - Sale ²⁵
	2.000	10.40	01/05/21	7.52	...	2.88	2 of 2 - Sale ²⁵
02/10/21	3.000	15.60	Various	11.43	...	4.17	Total of 2 transactions
02/10/21	2.000	10.40	11/20/20	11.76	1.36 W	0.00	Sale ²⁵
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	8.000	40.96	01/05/21	30.08	...	10.88	1 of 2 - Sale ²⁵
	2.000	10.24	02/04/21	8.82	...	1.42	2 of 2 - Sale ²⁵
02/11/21	10.000	51.20	Various	38.90	...	12.30	Total of 2 transactions
2 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	15.000	49.50	02/04/21	55.93	...	-6.43	1 of 2 - Sale ²⁵
	10.000	33.00	02/11/21	44.10	...	-11.10	2 of 2 - Sale ²⁵
08/10/21	25.000	82.50	Various	100.03	...	-17.53	Total of 2 transactions
2 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	5.000	15.80	02/23/21	17.99	...	-2.19	1 of 2 - Sale ²⁵
	20.000	63.20	03/11/21	67.00	...	-3.80	2 of 2 - Sale ²⁵
08/18/21	25.000	79.00	Various	84.99	...	-5.99	Total of 2 transactions
08/20/21	20.000	72.50	06/09/21	49.40	...	23.10	Sale ²⁵
	Security total:	311.20		296.51	1.36 W	16.05	

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	1.000	8.42	12/14/20	7.62	...	0.80	1 of 2 - Sale ²⁵
	9.000	75.78	12/14/20	68.58	...	7.20	2 of 2 - Sale ²⁵
06/09/21	10.000	84.20	Various	76.20	...	8.00	Total of 2 transactions
CRISPR THERAPEUTICS AG COMMON SHARES / CUSIP: H17182108 / Symbol:							
06/30/21	1.000	163.04	02/17/21	147.03	...	16.01	Sale ²⁵
NANO-X IMAGING LTD ORDINARY SHARES / CUSIP: M70700105 / Symbol:							
01/27/21	1.000	86.40	12/11/20	48.61	...	37.79	Sale ²⁵
02/09/21	1.000	79.88	12/11/20	48.61	...	31.27	Sale ²⁵
	Security total:	166.28		97.22	...	69.06	
PERION NETWORK LTD. ORDINARY SHARES / CUSIP: M78673114 / Symbol:							
01/26/21	5.000	81.25	01/06/21	67.25	...	14.00	Sale ²⁵
02/04/21	3.000	49.53	01/06/21	40.35	...	9.18	Sale ²⁵
02/05/21	5.000	93.75	01/27/21	74.70	...	19.05	Sale ²⁵
	Security total:	224.53		182.30	...	42.23	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
01/12/21	25.000	14.25	01/05/21	10.96	...	3.29	Sale ²⁵
7 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	50.000	24.20	01/05/21	21.91	...	2.29	1 of 7 - Sale ²⁵
	25.000	14.00	01/05/21	10.95	...	3.05	2 of 7 - Sale ²⁵
	50.000	27.99	01/06/21	17.60	...	10.39	3 of 7 - Sale ²⁵
	25.000	14.00	01/13/21	12.00	...	2.00	4 of 7 - Sale ²⁵
	75.000	63.29	01/13/21	36.00	...	27.29	5 of 7 - Sale ²⁵
	45.000	45.00	01/25/21	20.60	...	24.40	6 of 7 - Sale ²⁵
	100.000	77.78	01/25/21	45.78	...	32.00	7 of 7 - Sale ²⁵
01/27/21	370.000	266.26	Various	164.84	...	101.42	Total of 7 transactions
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	5.000	8.70	01/25/21	2.29	...	6.41	1 of 3 - Sale ²⁵
	50.000	86.99	01/25/21	20.50	...	66.49	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	50.000	159.50	01/25/21	22.88	...	136.62	3 of 3 - Sale ²⁵
01/28/21	105.000	255.19	Various	45.67	...	209.52	Total of 3 transactions
05/28/21	50.000	34.71	01/25/21	20.49	...	14.22	Sale ²⁵
	Security total:	570.41		241.96	...	328.45	
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
01/07/21	25.000	35.63	09/08/20	26.00	...	9.63	Sale ²⁵
Totals :		69,625.29		65,907.30	3,381.26 W	7,099.25	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ADAPTIMMUNE THERAPEUTICS PLC AMERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol:							
08/23/21	5.000	20.40	01/21/21	40.81	20.41 W	0.00	Sale ²⁵
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	1.000	18.32	10/13/20	12.27	...	6.05	1 of 2 - Sale ²⁵
	4.000	73.29	10/27/20	44.92	...	28.37	2 of 2 - Sale ²⁵
12/08/21	5.000	91.61	Various	57.19	...	34.42	Total of 2 transactions
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
11/18/21	4.000	79.82	06/26/20	23.46	...	56.36	Sale ²⁵
GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:							
08/03/21	0.625	64.82	05/15/20	27.94	...	36.88	Cash in lieu

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol:							
<i>2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.700	61.71	07/09/20	63.05	1.34 W	0.00	1 of 2 - Sale ²⁵
	3.300	119.79	07/09/20	122.40	2.61 W	0.00	2 of 2 - Sale ²⁵
02/01/21	5.000	181.50	Various	185.45	3.95 W	0.00	Total of 2 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
06/17/21	0.011	2.80	06/12/20	2.04	...	0.76	Sale ²⁵
OASIS PETE INC NEW WARRANT 1 1/19/2024 / CUSIP: 674215116 / Symbol:							
<i>3 transactions for 07/08/21. Total proceeds and cost reported to the IRS.</i>							
	0.481	12.27	06/08/20	184.37	...	-172.10	1 of 3 - Sale ²⁵
	0.506	12.91	06/16/20	120.00	...	-107.09	2 of 3 - Sale ²⁵
	0.013	0.32	06/26/20	1.94	...	-1.62	3 of 3 - Sale ²⁵
07/08/21	1.000	25.50	Various	306.31	...	-280.81	Total of 3 transactions
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
10/18/21	2.000	66.80	08/26/20	26.08	...	40.72	Sale ²⁵
SAGE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 78667J108 / Symbol:							
02/09/21	1.000	83.93	09/30/19	140.26	56.33 W	0.00	Sale ²⁵
03/05/21	1.000	75.52	09/30/19	140.26	64.74 W	0.00	Sale ²⁵
	Security total:	159.45		280.52	121.07 W	0.00	
SASOL LIMITED / CUSIP: 803866300 / Symbol:							
08/02/21	5.000	76.70	10/27/20	32.75	...	43.95	Sale ²⁵
08/03/21	5.000	77.25	10/27/20	32.75	...	44.50	Sale ²⁵
<i>2 transactions for 08/27/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	76.25	10/27/20	32.75	...	43.50	1 of 2 - Sale ²⁵
	5.000	76.26	10/28/20	31.25	...	45.01	2 of 2 - Sale ²⁵
08/27/21	10.000	152.51	Various	64.00	...	88.51	Total of 2 transactions
09/13/21	5.000	84.93	10/28/20	28.30	...	56.63	Sale ²⁵
11/08/21	10.000	182.30	11/30/20	79.05	...	103.25	Sale ²⁵
	Security total:	573.69		236.85	...	336.84	

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VAREX IMAGING CORPORATION COMM ON STOCK / CUSIP: 92214X106 / Symbol:							
06/04/21	2.000	51.06	05/14/20	34.00	...	17.06	Sale ²⁵
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
2 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	5.000	9.20	08/11/20	18.15	...	-8.95	1 of 2 - Sale ²⁵
	20.000	36.80	08/14/20	60.20	...	-23.40	2 of 2 - Sale ²⁵
08/31/21	25.000	46.00	Various	78.35	...	-32.35	Total of 2 transactions
Totals :		1,363.45		1,299.00	145.43 W	209.88	

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
02/28/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000397717
03/31/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000461133
04/30/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000393270
05/31/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000405766
06/30/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000433420
07/31/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000432626
08/31/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000427223
09/30/21	0.000	0.04	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000432880

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL (cont'd)							
10/31/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000414059
11/30/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000434447
12/31/21	0.000	0.04	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000424936
	Security total:	0.53		
Totals :		0.53		

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Robinhood Securities LLC

Account 121134340

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OASIS PETE INC NEW WARRANT 04/16/21	1 1/19/2024 / CUSIP: 674215116 / Symbol: 0.025	0.43	06/08/20	9.63	...	-9.20	Gain or loss
OLD COPPER CO INC COMMON STOCK / CUSIP: 679535104 / Symbol: 02/18/21	500.000	0.00	04/14/20	172.90	...	-172.90	Gain or loss ²⁵
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol: <i>4 transactions for 01/19/21</i>	<i>100.000</i>	<i>0.00</i>	<i>08/07/20</i>	<i>70.70</i>	<i>...</i>	<i>-70.70</i>	<i>Gain or loss ²⁵</i>
	<i>100.000</i>	<i>0.00</i>	<i>08/17/20</i>	<i>27.57</i>	<i>...</i>	<i>-27.57</i>	<i>Gain or loss ²⁵</i>
	<i>100.000</i>	<i>0.00</i>	<i>09/01/20</i>	<i>24.50</i>	<i>...</i>	<i>-24.50</i>	<i>Gain or loss ²⁵</i>
	<i>100.000</i>	<i>0.00</i>	<i>09/03/20</i>	<i>23.00</i>	<i>...</i>	<i>-23.00</i>	<i>Gain or loss ²⁵</i>
01/19/21	400.000	0.00	Various	145.77	...	-145.77	Total of 4 transactions
Totals:		0.43		328.30	...	-327.87	

Robinhood Securities LLC

Account 121134340

Proceeds Not Reported to the IRS

2021

(continued)

02/11/2022

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:							
08/03/21	0.001	0.10	07/28/20	0.05	...	0.05	Gain or loss
Totals:		0.10		0.05	...	0.05	

Robinhood Securities LLC

Account 121134340

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/11/21	1.03	Qualified dividend	
			05/13/21	1.10	Qualified dividend	
			08/12/21	1.11	Qualified dividend	
			11/11/21	1.11	Qualified dividend	
				4.35	Total Dividends & distributions	
FORD MOTOR COMPANY	345370860		12/01/21	1.50	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		01/25/21	0.15	Qualified dividend	
			04/26/21	0.15	Qualified dividend	
			07/26/21	0.15	Qualified dividend	
	0.45	Total Dividends & distributions				
KRANESHARES CSI CHINA INTERNET ETF	500767306		12/31/21	10.32	Long-term capital gain	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/11/21	3.38	Qualified dividend	
			06/10/21	3.39	Qualified dividend	
			09/09/21	2.83	Qualified dividend	
			12/09/21	3.14	Qualified dividend	
	12.74	Total Dividends & distributions				
MILLERKNOLL, INC. COMMON STOCK	600544100		04/15/21	1.88	Qualified dividend	
			07/15/21	0.20	Qualified dividend	
			10/15/21	0.20	Qualified dividend	
	2.28	Total Dividends & distributions				

Robinhood Securities LLC

Account 121134340

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
OCCIDENTAL PETROLEUM CORPORATI ON	674599105		01/15/21	0.20	Qualified dividend	
			04/15/21	0.08	Qualified dividend	
			07/15/21	0.10	Qualified dividend	
			10/15/21	0.13	Qualified dividend	
				0.51	Total Dividends & distributions	
STARBUCKS CORPORATION COMMON S TOCK	855244109		03/05/21	0.93	Qualified dividend	
			05/28/21	0.93	Qualified dividend	
			08/27/21	0.94	Qualified dividend	
			11/26/21	1.02	Qualified dividend	
				3.82	Total Dividends & distributions	
TERADYNE, INC. COMMON STOCK	880770102		06/18/21	0.10	Qualified dividend	
			09/24/21	0.10	Qualified dividend	
			12/17/21	0.10	Qualified dividend	
				0.30	Total Dividends & distributions	
WELLS FARGO & CO.	949746101		03/01/21	0.30	Qualified dividend	
				36.57	Total Dividends & distributions	

Robinhood Securities LLC

Account 121134340

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/27/21	-5.00	Margin interest paid	
		01/27/21	-12.69	Margin interest paid	
		02/26/21	-5.00	Margin interest paid	
		02/26/21	-18.53	Margin interest paid	
		03/29/21	-5.00	Margin interest paid	
		03/29/21	-24.25	Margin interest paid	
		04/27/21	-5.00	Margin interest paid	
		04/27/21	-32.14	Margin interest paid	
		05/27/21	-5.00	Margin interest paid	
		05/27/21	-32.83	Margin interest paid	
		06/28/21	-5.00	Margin interest paid	
		06/28/21	-32.52	Margin interest paid	
		07/26/21	-5.00	Margin interest paid	
		07/26/21	-32.49	Margin interest paid	
			-220.45	Total Margin interest paid	
Margin charge		08/25/21	-5.00	Margin interest paid	
		08/25/21	-36.00	Margin interest paid	
		09/24/21	-5.00	Margin interest paid	
		09/24/21	-36.55	Margin interest paid	
		10/25/21	-5.00	Margin interest paid	
		10/25/21	-37.37	Margin interest paid	
		11/23/21	-5.00	Margin interest paid	
		11/23/21	-38.89	Margin interest paid	
		12/23/21	-5.00	Margin interest paid	
		12/23/21	-39.01	Margin interest paid	
			-212.82	Total Margin interest paid	
ISHARES SILVER TRUST	46428Q109	02/28/21	-0.05	Gross proceeds investment expense	15
	Note: CL	03/31/21	-0.05	Gross proceeds investment expense	15
		04/30/21	-0.05	Gross proceeds investment expense	15
		05/31/21	-0.05	Gross proceeds investment expense	15
		06/30/21	-0.05	Gross proceeds investment expense	15
		07/31/21	-0.05	Gross proceeds investment expense	15

Robinhood Securities LLC

Account 121134340

Fees and Expenses

2021

(continued)

02/11/2022

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ISHARES SILVER TRUST (cont'd)		08/31/21	-0.05	Gross proceeds investment expense	15
		09/30/21	-0.04	Gross proceeds investment expense	15
		10/31/21	-0.05	Gross proceeds investment expense	15
		11/30/21	-0.05	Gross proceeds investment expense	15
		12/31/21	-0.04	Gross proceeds investment expense	15
			-0.53	Total Gross proceeds investmnt exp	
			-433.27	Total Margin interest paid	
			-0.53	Total Gross proceeds investmnt exp	

Robinhood Securities LLC

Account 121134340

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ACAMAR PARTNERS ACQUISITION CO RP. CLASS A COMMON STOCK	004285102	01/22/21	-25.00	0.00	Exchange	
AULT GLOBAL HOLDINGS, INC.	05150X104	12/13/21	-40.00	0.00	Exchange	
BITNILE HOLDINGS, INC.	09175M101	12/13/21	40.00	0.00	Exchange	
BUTTERFLY NETWORK, INC.	124155102	02/16/21	5.00	0.00	Exchange	
CARLOTZ, INC. CLASS A COMMON S TOCK	142552108	01/22/21	25.00	0.00	Exchange	
LUMEN TECHNOLOGIES, INC.	156700106	01/22/21	-5.00	0.00	Exchange	
CHARGEPOINT HOLDINGS, INC.	15961R105	03/01/21	8.00	0.00	Exchange	
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-8.00	0.00	Exchange	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK	18914F103	01/08/21	10.00	0.00	Exchange	
CRESCENT ACQUISITION CORP CLAS S A COMMON STOCK	22564L105	06/22/21	-8.00	0.00	Exchange	
FIREEYE, INC. COMMON STOCK	31816Q101	10/05/21	-4.00	0.00	Exchange	
GS ACQUISITION HOLDINGS CORP I I	36258Q105	10/21/21	-5.00	0.00	Exchange	
HELLO GROUP INC. AMERICAN DEPO SITARY SHARES	423403104	08/03/21	10.00	0.00	Exchange	
LIVEVOX HOLDING, INC. CLASS A COMMON STOCK	53838L100	06/22/21	8.00	0.00	Exchange	
LONGVIEW ACQUISITION CORP.	543195101	02/16/21	-5.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	8.00	0.00	Exchange	
LUMEN TECHNOLOGIES, INC.	550241103	01/22/21	5.00	0.00	Exchange	
MANDIANT, INC. COMMON STOCK	562662106	10/05/21	4.00	0.00	Exchange	
MIRION TECHNOLOGIES, INC.	60471A101	10/21/21	5.00	0.00	Exchange	
MOMO INC. AMERICAN DEPOSITARY SHARES	60879B107	08/03/21	-10.00	0.00	Exchange	

Robinhood Securities LLC

Account 121134340

Non-Reportable Actions
(continued)

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
OLD COPPER CO INC COMMON STOCK	679535104	01/08/21	500.00	0.00	Exchange	
		02/18/21	-500.00	0.00	Security deemed worthless	
PENNEY J C CORP INC COMMON STO CK	708160106	01/08/21	-500.00	0.00	Exchange	
PREVAIL THERAPEUTICS INC. COMM ON STOCK	74140Y101	01/26/21	-5.00	0.00	Merger	
PRVL CONTINGENT VALUE RIGHT (C VR)	741CVR013	01/26/21	5.00	0.00	Merger	
SLACK TECHNOLOGIES, INC.	83088V102	07/21/21	-2.00	0.00	Merger	
STAR PEAK ENERGY TRANSITION CO RP.	855185104	04/29/21	-8.00	0.00	Exchange	
STEM, INC.	85859N102	04/29/21	8.00	0.00	Exchange	
SWITCHBACK ENERGY ACQUISITION CORPORATION	87105M102	03/01/21	-8.00	0.00	Exchange	
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. III	G8251K107	01/08/21	-10.00	0.00	Exchange	
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG)	L7257P205	01/19/21	-400.00	0.00	Security deemed worthless	

- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is $\$1,000 * 0.005$ or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.