

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 961374246	Statement Date: 02/11/2022 Document ID: B8VA Z2A J71C	2021
	Yashwant Shukla 1000 Windsor Shores Drive 2H Columbia, SC 29223 RECIPIENT'S TIN: XXX-XX-8277		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	207,537.04	204,040.92	0.00	927.38	4,423.50
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	207,537.04	204,040.92	0.00	927.38	4,423.50
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	207,537.04	204,040.92	0.00	927.38	4,423.50
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 961374246

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME**2021 1099-INT**

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	15.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
01/27/21	10.000	166.20	01/27/21	138.70	...	27.50	Sale ²⁵
	4 transactions for 03/09/21. Total proceeds and cost reported to the IRS.						
	37.000	389.23	02/04/21	260.48	...	128.75	1 of 4 - Sale ²⁵
	13.000	136.76	02/05/21	88.79	...	47.97	2 of 4 - Sale ²⁵
	50.000	525.99	02/05/21	341.44	...	184.55	3 of 4 - Sale ²⁵
	100.000	1,099.98	02/05/21	683.00	...	416.98	4 of 4 - Sale ²⁵
03/09/21	200.000	2,151.96	Various	1,373.71	...	778.25	Total of 4 transactions
	3 transactions for 04/22/21. Total proceeds and cost reported to the IRS.						
	25.000	252.49	03/23/21	257.50	...	-5.01	1 of 3 - Sale ²⁵
	12.000	121.20	04/07/21	117.72	...	3.48	2 of 3 - Sale ²⁵
	38.000	383.79	04/07/21	372.78	...	11.01	3 of 3 - Sale ²⁵
04/22/21	75.000	757.48	Various	748.00	...	9.48	Total of 3 transactions
	Security total:	3,075.64		2,260.41	...	815.23	

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Robinhood Securities LLC

Account 961374246

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AT&T INC. / CUSIP: 00206R102 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	29.35	01/25/21	28.87	...	0.48	1 of 2 - Sale ²⁵
	24.000	704.38	01/25/21	692.88	...	11.50	2 of 2 - Sale ²⁵
01/27/21	25.000	733.73	Various	721.75	...	11.98	Total of 2 transactions
ABCELLERA BIOLOGICS INC. COMMO N SHARES / CUSIP: 00288U106 / Symbol:							
03/10/21	15.000	477.60	03/10/21	475.20	...	2.40	Sale ²⁵
03/31/21	20.000	619.99	03/22/21	606.60	...	13.39	Sale ²⁵
05/13/21	20.000	639.99	04/01/21	637.75	...	2.24	Sale ²⁵
06/02/21	25.000	686.24	05/27/21	685.00	...	1.24	Sale ²⁵
	Security total:	2,423.82		2,404.55	...	19.27	
ACADIA PHARMACEUTICALS INC. CO MMON STOCK / CUSIP: 004225108 / Symbol:							
2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	10.000	271.50	03/09/21	236.90	...	34.60	1 of 2 - Sale ²⁵
	15.000	407.25	03/09/21	355.35	...	51.90	2 of 2 - Sale ²⁵
03/10/21	25.000	678.75	Various	592.25	...	86.50	Total of 2 transactions
04/22/21	25.000	536.74	04/12/21	508.25	...	28.49	Sale ²⁵
	Security total:	1,215.49		1,100.50	...	114.99	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
2 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	1.000	235.39	12/31/20	231.50	...	3.89	1 of 2 - Sale ²⁵
	9.000	2,118.55	12/31/20	2,083.50	...	35.05	2 of 2 - Sale ²⁵
01/05/21	10.000	2,353.94	Various	2,315.00	...	38.94	Total of 2 transactions
01/13/21	5.000	1,145.72	01/12/21	1,127.75	...	17.97	Sale ²⁵
	Security total:	3,499.66		3,442.75	...	56.91	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
01/12/21	1.000	3,135.93	01/11/21	3,124.41	...	11.52	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
01/20/21	5.000	650.53	01/15/21	642.75	...	7.78	Sale ²⁵
	2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	1.000	142.58	01/22/21	137.94	...	4.64	1 of 2 - Sale ²⁵
	5.000	712.88	01/22/21	699.85	...	13.03	2 of 2 - Sale ²⁵
01/27/21	6.000	855.46	Various	837.79	...	17.67	Total of 2 transactions
02/26/21	5.000	619.49	02/26/21	616.50	...	2.99	Sale ²⁵
	Security total:	2,125.48		2,097.04	...	28.44	
ARCTURUS THERAPEUTICS HOLDINGS INC. COMMON STOCK / CUSIP: 03969T109 / Symbol:							
	2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.						
	5.000	345.09	01/08/21	355.85	10.76 W	0.00	1 of 2 - Sale ²⁵
	10.000	690.17	01/08/21	760.00	69.83 W	0.00	2 of 2 - Sale ²⁵
01/08/21	15.000	1,035.26	Various	1,115.85	80.59 W	0.00	Total of 2 transactions
	3 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	1.000	65.50	01/15/21	63.84	...	1.66	1 of 3 - Sale ²⁵
	4.000	261.99	01/15/21	251.69	...	10.30	2 of 3 - Sale ²⁵
	5.000	327.49	01/15/21	303.85	...	23.64	3 of 3 - Sale ²⁵
01/19/21	10.000	654.98	Various	619.38	...	35.60	Total of 3 transactions
	2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	1.000	65.50	01/14/21	68.98	3.48 W	0.00	1 of 2 - Sale ²⁵
	9.000	589.49	01/15/21	618.06	28.57 W	0.00	2 of 2 - Sale ²⁵
01/19/21	10.000	654.99	Various	687.04	32.05 W	0.00	Total of 2 transactions
	2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	3.000	194.99	01/21/21	191.34	...	3.65	1 of 2 - Sale ²⁵
	7.000	454.99	01/21/21	446.46	...	8.53	2 of 2 - Sale ²⁵
01/22/21	10.000	649.98	Various	637.80	...	12.18	Total of 2 transactions
	2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	1.000	65.00	01/21/21	67.69	2.69 W	0.00	1 of 2 - Sale ²⁵
	9.000	584.99	01/21/21	606.46	21.47 W	0.00	2 of 2 - Sale ²⁵
01/22/21	10.000	649.99	Various	674.15	24.16 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARCTURUS THERAPEUTICS HOLDINGS INC. COMMON STOCK / CUSIP: 03969T109 / Symbol: (cont'd)							
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	9.000	626.56	01/25/21	624.02	...	2.54	1 of 3 - Sale ²⁵
	10.000	696.19	01/25/21	666.00	...	30.19	2 of 3 - Sale ²⁵
	10.000	696.18	01/26/21	645.50	...	50.68	3 of 3 - Sale ²⁵
01/27/21	29.000	2,018.93	Various	1,935.52	...	83.41	Total of 3 transactions
01/27/21	1.000	69.62	01/25/21	69.64	0.02 W	0.00	Sale ²⁵
	Security total:	5,733.75		5,739.38	136.82 W	131.19	
ARRAY TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 04271T100 / Symbol:							
05/19/21	20.000	292.00	05/13/21	290.00	...	2.00	Sale ²⁵
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	20.000	979.98	01/27/21	966.57	...	13.41	1 of 2 - Sale ²⁵
	30.000	1,469.96	01/27/21	1,362.90	...	107.06	2 of 2 - Sale ²⁵
01/27/21	50.000	2,449.94	Various	2,329.47	...	120.47	Total of 2 transactions
02/09/21	25.000	681.82	02/05/21	663.50	...	18.32	Sale ²⁵
	Security total:	3,131.76		2,992.97	...	138.79	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
01/06/21	5.000	1,065.27	01/05/21	1,050.02	...	15.25	Sale ²⁵
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	1.000	213.05	12/31/20	215.90	2.85 W	0.00	1 of 2 - Sale ²⁵
	1.000	213.06	12/31/20	215.90	2.84 W	0.00	2 of 2 - Sale ²⁵
01/06/21	2.000	426.11	Various	431.80	5.69 W	0.00	Total of 2 transactions
01/14/21	3.000	636.59	01/13/21	623.63	...	12.96	Sale ²⁵
01/14/21	5.000	1,060.97	01/07/21	1,073.72	12.75 W	0.00	Sale ²⁵
5 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	5.000	1,027.17	01/22/21	1,039.60	...	-12.43	1 of 5 - Sale ²⁵
	1.000	205.43	01/22/21	208.21	...	-2.78	2 of 5 - Sale ²⁵
	1.000	205.44	01/22/21	208.22	...	-2.78	3 of 5 - Sale ²⁵
	1.000	205.44	01/22/21	205.37	...	0.07	4 of 5 - Sale ²⁵
	2.000	410.87	01/22/21	410.74	...	0.13	5 of 5 - Sale ²⁵
02/03/21	10.000	2,054.35	Various	2,072.14	...	-17.79	Total of 5 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
Security total:		5,243.29		5,251.31		18.44 W	10.42
C3.AI, INC. / CUSIP: 12468P104 / Symbol:							
6 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	6.000	892.18	12/23/20	968.52	...	-76.34	1 of 6 - Sale ²⁵
	4.000	594.79	12/23/20	645.68	...	-50.89	2 of 6 - Sale ²⁵
	20.000	2,973.93	12/24/20	3,192.50	...	-218.57	3 of 6 - Sale ²⁵
	10.000	1,486.96	12/29/20	1,351.20	...	135.76	4 of 6 - Sale ²⁵
	10.000	1,486.96	01/15/21	1,345.20	...	141.76	5 of 6 - Sale ²⁵
	10.000	1,486.97	01/22/21	1,240.50	...	246.47	6 of 6 - Sale ²⁵
01/25/21	60.000	8,921.79	Various	8,743.60	...	178.19	Total of 6 transactions
CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol:							
04/22/21	25.000	352.25	04/12/21	340.63	...	11.62	Sale ²⁵
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	10.000	752.98	02/04/21	717.70	...	35.28	1 of 2 - Sale ²⁵
	15.000	1,129.47	02/04/21	1,076.55	...	52.92	2 of 2 - Sale ²⁵
02/04/21	25.000	1,882.45	Various	1,794.25	...	88.20	Total of 2 transactions
CHEMOCENTRYX, INC. COMMON STOC K / CUSIP: 16383L106 / Symbol:							
05/10/21	25.000	287.50	05/07/21	276.00	...	11.50	Sale ²⁵
05/14/21	20.000	208.00	05/13/21	199.75	...	8.25	Sale ²⁵
Security total:		495.50		475.75	...	19.75	
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
4 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	1.000	20.14	01/14/21	18.75	...	1.39	1 of 4 - Sale ²⁵
	5.000	100.70	01/14/21	93.75	...	6.95	2 of 4 - Sale ²⁵
	10.000	180.60	01/15/21	180.00	...	0.60	3 of 4 - Sale ²⁵
	10.000	180.60	01/15/21	178.80	...	1.80	4 of 4 - Sale ²⁵
01/15/21	26.000	482.04	Various	471.30	...	10.74	Total of 4 transactions

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Robinhood Securities LLC

Account 961374246

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
4 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	10.000	249.99	01/25/21	265.40	...	-15.41	1 of 4 - Sale ²⁵
	10.000	250.00	01/25/21	250.00	...	0.00	2 of 4 - Sale ²⁵
	10.000	249.99	01/25/21	249.00	...	0.99	3 of 4 - Sale ²⁵
	20.000	499.99	01/25/21	473.60	...	26.39	4 of 4 - Sale ²⁵
02/01/21	50.000	1,249.97	Various	1,238.00	...	11.97	Total of 4 transactions
05/26/21	20.000	420.00	05/03/21	418.00	...	2.00	Sale ²⁵
	Security total:	2,152.01		2,127.30	...	24.71	
DILLARDS INC. / CUSIP: 254067101 / Symbol:							
01/04/21	20.000	1,271.37	12/31/20	1,235.60	...	35.77	Sale ²⁵
4 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	1.000	60.75	01/04/21	60.54	...	0.21	1 of 4 - Sale ²⁵
	1.000	60.75	01/04/21	56.96	...	3.79	2 of 4 - Sale ²⁵
	19.000	1,154.22	01/04/21	1,150.26	...	3.96	3 of 4 - Sale ²⁵
	9.000	546.74	01/04/21	512.64	...	34.10	4 of 4 - Sale ²⁵
01/06/21	30.000	1,822.46	Various	1,780.40	...	42.06	Total of 4 transactions
2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	10.000	587.88	01/06/21	580.00	...	7.88	1 of 2 - Sale ²⁵
	15.000	881.83	01/07/21	857.55	...	24.28	2 of 2 - Sale ²⁵
01/08/21	25.000	1,469.71	Various	1,437.55	...	32.16	Total of 2 transactions
2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	20.000	1,175.77	01/06/21	1,194.00	18.23 W	0.00	1 of 2 - Sale ²⁵
	30.000	1,763.66	01/06/21	1,773.60	9.94 W	0.00	2 of 2 - Sale ²⁵
01/08/21	50.000	2,939.43	Various	2,967.60	28.17 W	0.00	Total of 2 transactions
2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	6.000	353.99	01/08/21	347.11	...	6.88	1 of 2 - Sale ²⁵
	14.000	825.98	01/08/21	809.92	...	16.06	2 of 2 - Sale ²⁵
01/11/21	20.000	1,179.97	Various	1,157.03	...	22.94	Total of 2 transactions
5 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	4.000	232.39	01/11/21	229.45	...	2.94	1 of 5 - Sale ²⁵
	20.000	1,161.97	01/11/21	1,146.42	...	15.55	2 of 5 - Sale ²⁵
	1.000	58.10	01/12/21	54.94	...	3.16	3 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 961374246

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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DILLARDS INC. / CUSIP: 254067101 / Symbol: (cont'd)							
	6.000	348.59	01/12/21	331.99	...	16.60	4 of 5 - Sale ²⁵
	19.000	1,103.88	01/12/21	1,045.00	...	58.88	5 of 5 - Sale ²⁵
01/13/21	50.000	2,904.93	Various	2,807.80	...	97.13	Total of 5 transactions
01/29/21	1.000	95.00	01/27/21	91.75	...	3.25	Sale ²⁵
	2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	2.000	189.99	01/27/21	190.00	0.01 W	0.00	1 of 2 - Sale ²⁵
	3.000	284.99	01/27/21	285.00	0.01 W	0.00	2 of 2 - Sale ²⁵
01/29/21	5.000	474.98	Various	475.00	0.02 W	0.00	Total of 2 transactions
	2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	25.000	2,174.98	02/02/21	2,119.00	...	55.98	1 of 2 - Sale ²⁵
	25.000	2,174.98	02/04/21	1,986.50	...	188.48	2 of 2 - Sale ²⁵
02/25/21	50.000	4,349.96	Various	4,105.50	...	244.46	Total of 2 transactions
	3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	2.000	174.00	01/29/21	178.21	4.21 W	0.00	1 of 3 - Sale ²⁵
	3.000	261.00	01/29/21	267.31	6.31 W	0.00	2 of 3 - Sale ²⁵
	20.000	1,739.99	01/29/21	1,782.00	42.01 W	0.00	3 of 3 - Sale ²⁵
02/25/21	25.000	2,174.99	Various	2,227.52	52.53 W	0.00	Total of 3 transactions
	5 transactions for 03/01/21. Total proceeds and cost reported to the IRS.						
	1.000	85.80	02/26/21	82.11	...	3.69	1 of 5 - Sale ²⁵
	1.000	85.80	02/26/21	81.95	...	3.85	2 of 5 - Sale ²⁵
	3.000	257.40	02/26/21	245.86	...	11.54	3 of 5 - Sale ²⁵
	2.000	171.60	02/26/21	159.40	...	12.20	4 of 5 - Sale ²⁵
	20.000	1,715.98	02/26/21	1,639.01	...	76.97	5 of 5 - Sale ²⁵
03/01/21	27.000	2,316.58	Various	2,208.33	...	108.25	Total of 5 transactions
03/10/21	15.000	1,285.19	03/10/21	1,269.60	...	15.59	Sale ²⁵
	Security total:	22,284.57		21,763.68	80.72 W	601.61	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
01/25/21	10.000	509.98	01/21/21	505.40	...	4.58	Sale ²⁵
01/27/21	25.000	1,227.97	01/26/21	1,206.00	...	21.97	Sale ²⁵
02/02/21	50.000	2,487.36	01/29/21	2,274.00	...	213.36	Sale ²⁵
02/10/21	25.000	1,512.46	02/09/21	1,467.00	...	45.46	Sale ²⁵
	Security total:	5,737.77		5,452.40	...	285.37	

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Account 961374246

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2021 1099-B* OMB No. 1545-0715

(continued)

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DOORDASH, INC. / CUSIP: 25809K105 / Symbol:							
2 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	5.000	705.99	06/09/21	688.40	...	17.59	1 of 2 - Sale ²⁵
	10.000	1,411.99	06/09/21	1,368.60	...	43.39	2 of 2 - Sale ²⁵
06/10/21	15.000	2,117.98	Various	2,057.00	...	60.98	Total of 2 transactions
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
01/06/21	25.000	1,288.72	12/31/20	1,172.99	...	115.73	Sale ²⁵
01/08/21	25.000	1,299.97	01/06/21	1,228.25	...	71.72	Sale ²⁵
4 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	10.000	548.19	01/22/21	525.00	...	23.19	1 of 4 - Sale ²⁵
	10.000	548.18	01/25/21	515.60	...	32.58	2 of 4 - Sale ²⁵
	10.000	548.19	01/25/21	515.40	...	32.79	3 of 4 - Sale ²⁵
	10.000	548.19	01/25/21	515.10	...	33.09	4 of 4 - Sale ²⁵
01/26/21	40.000	2,192.75	Various	2,071.10	...	121.65	Total of 4 transactions
	Security total:	4,781.44		4,472.34	...	309.10	
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
02/10/21	100.000	313.98	02/08/21	301.00	...	12.98	Sale ²⁵
02/10/21	100.000	313.98	02/05/21	317.81	3.83 W	0.00	Sale ²⁵
3 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	50.000	142.49	02/10/21	155.33	...	-12.84	1 of 3 - Sale ²⁵
	50.000	142.50	02/16/21	135.91	...	6.59	2 of 3 - Sale ²⁵
	50.000	142.49	02/16/21	134.00	...	8.49	3 of 3 - Sale ²⁵
03/08/21	150.000	427.48	Various	425.24	...	2.24	Total of 3 transactions
	Security total:	1,055.44		1,044.05	3.83 W	15.22	
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	7.000	166.60	02/02/21	151.90	...	14.70	1 of 2 - Sale ²⁵
	30.000	713.98	02/02/21	652.50	...	61.48	2 of 2 - Sale ²⁵
02/04/21	37.000	880.58	Various	804.40	...	76.18	Total of 2 transactions
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
02/04/21	10.000	655.68	02/03/21	648.40	...	7.28	Sale ²⁵

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GLAXOSMITHKLINE PLC / CUSIP: 37733W105 / Symbol:							
02/09/21	25.000	887.48	02/03/21	886.25	...	1.23	Sale ²⁵
GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol:							
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	10.000	449.99	12/17/20	436.70	...	13.29	1 of 2 - Sale ²⁵
	25.000	1,124.96	12/24/20	981.25	...	143.71	2 of 2 - Sale ²⁵
01/07/21	35.000	1,574.95	Various	1,417.95	...	157.00	Total of 2 transactions
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	10.000	449.99	12/16/20	480.00	30.01 W	0.00	1 of 2 - Sale ²⁵
	10.000	449.99	12/16/20	481.70	31.71 W	0.00	2 of 2 - Sale ²⁵
01/07/21	20.000	899.98	Various	961.70	61.72 W	0.00	Total of 2 transactions
3 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	10.000	364.99	02/01/21	391.71	...	-26.72	1 of 3 - Sale ²⁵
	10.000	364.99	02/01/21	390.01	...	-25.02	2 of 3 - Sale ²⁵
	5.000	182.49	02/01/21	180.00	...	2.49	3 of 3 - Sale ²⁵
02/02/21	25.000	912.47	Various	961.72	...	-49.25	Total of 3 transactions
2 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	25.000	759.99	03/10/21	721.25	...	38.74	1 of 2 - Sale ²⁵
	15.000	456.00	03/11/21	412.35	...	43.65	2 of 2 - Sale ²⁵
03/22/21	40.000	1,215.99	Various	1,133.60	...	82.39	Total of 2 transactions
	Security total:	4,603.39		4,474.97	61.72 W	190.14	
IMARA INC. COMMON STOCK / CUSIP: 45249V107 / Symbol:							
3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	5.000	71.35	01/07/21	73.45	...	-2.10	1 of 3 - Sale ²⁵
	5.000	71.35	01/07/21	71.80	...	-0.45	2 of 3 - Sale ²⁵
	10.000	142.70	01/15/21	137.20	...	5.50	3 of 3 - Sale ²⁵
01/20/21	20.000	285.40	Various	282.45	...	2.95	Total of 3 transactions
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
01/25/21	5.000	276.81	01/22/21	282.65	...	-5.84	Sale ²⁵

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INTERNATIONAL BUSINESS MACHINE S CORPORATION / CUSIP: 459200101 / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	2.000	238.77	01/22/21	237.86	...	0.91	1 of 2 - Sale ²⁵
	8.000	955.10	01/22/21	951.04	...	4.06	2 of 2 - Sale ²⁵
01/26/21	10.000	1,193.87	Various	1,188.90	...	4.97	Total of 2 transactions
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
01/14/21	15.000	45.00	01/13/21	43.35	...	1.65	Sale ²⁵
LIZHI INC. AMERICAN DEPOSITARY SHARES / CUSIP: 53933L104 / Symbol:							
02/08/21	50.000	627.48	02/05/21	617.50	...	9.98	Sale ²⁵
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
01/13/21	5.000	1,077.87	01/12/21	1,075.73	...	2.14	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
6 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	20.000	2,476.94	12/21/20	2,787.00	...	-310.06	1 of 6 - Sale ²⁵
	5.000	619.23	12/21/20	696.75	...	-77.52	2 of 6 - Sale ²⁵
	5.000	619.23	12/28/20	563.45	...	55.78	3 of 6 - Sale ²⁵
	5.000	619.24	12/28/20	561.40	...	57.84	4 of 6 - Sale ²⁵
	10.000	1,238.47	12/28/20	1,174.00	...	64.47	5 of 6 - Sale ²⁵
	10.000	1,238.47	12/31/20	1,028.00	...	210.47	6 of 6 - Sale ²⁵
01/12/21	55.000	6,811.58	Various	6,810.60	...	0.98	Total of 6 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
10 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	3.000	162.00	01/05/21	161.49	...	0.51	1 of 10 - Sale ²⁵
	2.000	108.00	01/05/21	107.36	...	0.64	2 of 10 - Sale ²⁵
	4.000	215.99	01/05/21	214.44	...	1.55	3 of 10 - Sale ²⁵
	1.000	54.00	01/05/21	51.69	...	2.31	4 of 10 - Sale ²⁵
	1.000	54.00	01/06/21	53.61	...	0.39	5 of 10 - Sale ²⁵
	1.000	54.00	01/06/21	52.87	...	1.13	6 of 10 - Sale ²⁵
	3.000	162.00	01/06/21	159.79	...	2.21	7 of 10 - Sale ²⁵
	5.000	269.99	01/06/21	263.77	...	6.22	8 of 10 - Sale ²⁵
	5.000	269.99	01/06/21	260.72	...	9.27	9 of 10 - Sale ²⁵

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02/11/2022

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	269.99	01/06/21	258.72	...	11.27	10 of 10 - Sale ²⁵
01/07/21	30.000	1,619.96	Various	1,584.46	...	35.50	Total of 10 transactions
	2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.						
	10.000	440.00	03/09/21	425.00	...	15.00	1 of 2 - Sale ²⁵
	10.000	439.99	03/09/21	424.00	...	15.99	2 of 2 - Sale ²⁵
03/10/21	20.000	879.99	Various	849.00	...	30.99	Total of 2 transactions
	3 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	7.000	305.62	03/10/21	294.00	...	11.62	1 of 3 - Sale ²⁵
	5.000	218.30	03/10/21	205.40	...	12.90	2 of 3 - Sale ²⁵
	13.000	567.57	03/10/21	546.00	...	21.57	3 of 3 - Sale ²⁵
03/11/21	25.000	1,091.49	Various	1,045.40	...	46.09	Total of 3 transactions
03/17/21	25.000	1,124.99	03/17/21	1,045.00	...	79.99	Sale ²⁵
03/22/21	25.000	1,089.49	03/18/21	1,080.00	...	9.49	Sale ²⁵
05/27/21	25.000	973.74	05/04/21	968.75	...	4.99	Sale ²⁵
05/27/21	15.000	584.25	04/29/21	588.60	2.90 W	-1.45	Sale ²⁵
06/03/21	10.000	410.21	05/28/21	394.10	...	16.11	Sale ²⁵
	Security total:	7,774.12		7,555.31	2.90 W	221.71	
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
	6 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	10.000	205.49	11/25/20	301.00	...	-95.51	1 of 6 - Sale ²⁵
	5.000	102.75	11/25/20	150.50	...	-47.75	2 of 6 - Sale ²⁵
	15.000	308.24	11/30/20	316.04	...	-7.80	3 of 6 - Sale ²⁵
	10.000	205.49	11/30/20	200.90	...	4.59	4 of 6 - Sale ²⁵
	40.000	821.98	12/03/20	714.00	...	107.98	5 of 6 - Sale ²⁵
	50.000	1,027.47	12/14/20	853.00	...	174.47	6 of 6 - Sale ²⁵
01/13/21	130.000	2,671.42	Various	2,535.44	...	135.98	Total of 6 transactions
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
	3 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	50.000	203.49	02/05/21	211.50	...	-8.01	1 of 3 - Sale ²⁵
	50.000	203.49	02/05/21	211.50	...	-8.01	2 of 3 - Sale ²⁵
	100.000	406.99	03/04/21	397.00	...	9.99	3 of 3 - Sale ²⁵
03/11/21	200.000	813.97	Various	820.00	...	-6.03	Total of 3 transactions

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Robinhood Securities LLC

Account 961374246

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	30.000	418.49	02/10/21	390.61	...	27.88	1 of 2 - Sale ²⁵
	20.000	279.00	02/10/21	216.60	...	62.40	2 of 2 - Sale ²⁵
03/03/21	50.000	697.49	Various	607.21	...	90.28	Total of 2 transactions
3 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	50.000	697.49	02/08/21	807.00	109.51 W	0.00	1 of 3 - Sale ²⁵
	50.000	697.49	02/08/21	890.50	193.01 W	0.00	2 of 3 - Sale ²⁵
	50.000	697.49	02/10/21	734.51	37.02 W	0.00	3 of 3 - Sale ²⁵
03/03/21	150.000	2,092.47	Various	2,432.01	339.54 W	0.00	Total of 3 transactions
2 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	20.000	200.00	03/03/21	312.40	...	-112.40	1 of 2 - Sale ²⁵
	30.000	300.00	03/03/21	402.90	...	-102.90	2 of 2 - Sale ²⁵
04/22/21	50.000	500.00	Various	715.30	...	-215.30	Total of 2 transactions
04/22/21	50.000	425.00	03/03/21	708.52	141.76 W	-141.76	Sale ²⁵
05/03/21	100.000	1,404.98	03/03/21	1,343.00	...	61.98	Sale ²⁵
4 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	15.000	139.50	05/05/21	248.86	...	-109.36	1 of 4 - Sale ²⁵
	9.000	83.70	05/05/21	149.31	...	-65.61	2 of 4 - Sale ²⁵
	1.000	9.30	05/05/21	16.59	...	-7.29	3 of 4 - Sale ²⁵
	25.000	232.50	05/26/21	187.50	...	45.00	4 of 4 - Sale ²⁵
05/27/21	50.000	465.00	Various	602.26	...	-137.26	Total of 4 transactions
	Security total:	5,584.94		6,408.30	481.30 W	-342.06	
ONTRAK, INC. COMMON STOCK / CUSIP: 683373104 / Symbol:							
2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	4.000	255.99	01/12/21	237.08	...	18.91	1 of 2 - Sale ²⁵
	20.000	1,279.97	01/12/21	1,181.19	...	98.78	2 of 2 - Sale ²⁵
01/13/21	24.000	1,535.96	Various	1,418.27	...	117.69	Total of 2 transactions
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	10.000	779.98	01/21/21	772.70	...	7.28	1 of 3 - Sale ²⁵
	10.000	779.98	01/21/21	771.00	...	8.98	2 of 3 - Sale ²⁵
	5.000	389.99	01/22/21	352.50	...	37.49	3 of 3 - Sale ²⁵
01/25/21	25.000	1,949.95	Various	1,896.20	...	53.75	Total of 3 transactions

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Robinhood Securities LLC

Account 961374246

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ONTRAK, INC. COMMON STOCK / CUSIP: 683373104 / Symbol: (cont'd)							
02/05/21	25.000	2,049.95	02/03/21	1,993.13	...	56.82	Sale ²⁵
<i>2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	819.98	01/28/21	821.00	1.02 W	0.00	1 of 2 - Sale ²⁵
	15.000	1,229.97	01/28/21	1,231.50	1.53 W	0.00	2 of 2 - Sale ²⁵
02/05/21	25.000	2,049.95	Various	2,052.50	2.55 W	0.00	Total of 2 transactions
	Security total:	7,585.81		7,360.10	2.55 W	228.26	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
<i>3 transactions for 06/18/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	383.24	02/11/21	496.65	...	-113.41	1 of 3 - Sale ²⁵
	9.000	229.95	03/08/21	209.70	...	20.25	2 of 3 - Sale ²⁵
	31.000	792.04	04/16/21	694.71	...	97.33	3 of 3 - Sale ²⁵
06/18/21	55.000	1,405.23	Various	1,401.06	...	4.17	Total of 3 transactions
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol:							
01/07/21	5.000	457.50	01/06/21	443.20	...	14.30	Sale ²⁵
01/08/21	5.000	460.95	01/07/21	437.50	...	23.45	Sale ²⁵
01/27/21	10.000	1,031.87	01/26/21	991.10	...	40.77	Sale ²⁵
	Security total:	1,950.32		1,871.80	...	78.52	
PFIZER INC. / CUSIP: 717081103 / Symbol:							
<i>3 transactions for 01/11/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	187.60	12/14/20	198.70	...	-11.10	1 of 3 - Sale ²⁵
	5.000	187.59	12/14/20	196.85	...	-9.26	2 of 3 - Sale ²⁵
	10.000	375.19	12/28/20	371.50	...	3.69	3 of 3 - Sale ²⁵
01/11/21	20.000	750.38	Various	767.05	...	-16.67	Total of 3 transactions
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
<i>2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	649.98	01/15/21	615.20	...	34.78	1 of 2 - Sale ²⁵
	10.000	649.99	01/21/21	603.20	...	46.79	2 of 2 - Sale ²⁵
01/21/21	20.000	1,299.97	Various	1,218.40	...	81.57	Total of 2 transactions
<i>3 transactions for 01/21/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	324.99	01/13/21	345.00	20.01 W	0.00	1 of 3 - Sale ²⁵

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Account 961374246

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	8.000	519.99	01/13/21	550.08	30.09 W	0.00	2 of 3 - Sale ²⁵
	7.000	454.99	01/14/21	455.00	0.01 W	0.00	3 of 3 - Sale ²⁵
01/21/21	20.000	1,299.97	Various	1,350.08	50.11 W	0.00	Total of 3 transactions
	2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.						
	5.000	348.99	01/25/21	344.26	...	4.73	1 of 2 - Sale ²⁵
	5.000	348.99	01/25/21	343.06	...	5.93	2 of 2 - Sale ²⁵
01/26/21	10.000	697.98	Various	687.32	...	10.66	Total of 2 transactions
	2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	7.000	468.99	01/27/21	459.28	...	9.71	1 of 2 - Sale ²⁵
	40.000	2,679.93	01/27/21	2,624.40	...	55.53	2 of 2 - Sale ²⁵
02/03/21	47.000	3,148.92	Various	3,083.68	...	65.24	Total of 2 transactions
02/03/21	3.000	201.00	01/27/21	208.11	7.11 W	0.00	Sale ²⁵
	2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	3.000	205.20	02/05/21	204.51	...	0.69	1 of 2 - Sale ²⁵
	47.000	3,214.72	02/05/21	3,092.60	...	122.12	2 of 2 - Sale ²⁵
02/09/21	50.000	3,419.92	Various	3,297.11	...	122.81	Total of 2 transactions
02/11/21	25.000	1,615.71	02/10/21	1,612.75	...	2.96	Sale ²⁵
	Security total:	11,683.47		11,457.45	57.22 W	283.24	
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
	2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.						
	27.000	1,441.79	03/02/21	1,425.60	...	16.19	1 of 2 - Sale ²⁵
	73.000	3,898.17	03/02/21	3,854.40	...	43.77	2 of 2 - Sale ²⁵
03/02/21	100.000	5,339.96	Various	5,280.00	...	59.96	Total of 2 transactions
	3 transactions for 03/03/21. Total proceeds and cost reported to the IRS.						
	100.000	5,459.96	03/02/21	5,240.00	...	219.96	1 of 3 - Sale ²⁵
	25.000	1,289.99	03/03/21	1,275.00	...	14.99	2 of 3 - Sale ²⁵
	25.000	1,289.99	03/03/21	1,275.00	...	14.99	3 of 3 - Sale ²⁵
03/03/21	150.000	8,039.94	Various	7,790.00	...	249.94	Total of 3 transactions
	2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.						
	24.000	1,223.99	03/03/21	1,221.60	...	2.39	1 of 2 - Sale ²⁵
	26.000	1,325.99	03/03/21	1,323.40	...	2.59	2 of 2 - Sale ²⁵
03/09/21	50.000	2,549.98	Various	2,545.00	...	4.98	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol: (cont'd)							
04/05/21	20.000	1,119.99	03/22/21	1,114.20	...	5.79	Sale ²⁵
04/12/21	25.000	1,374.99	04/06/21	1,322.50	...	52.49	Sale ²⁵
	Security total:	18,424.86		18,051.70	...	373.16	
ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:							
03/15/21	15.000	1,109.99	03/11/21	1,095.00	...	14.99	Sale ²⁵
03/16/21	15.000	1,109.99	03/15/21	1,072.50	...	37.49	Sale ²⁵
04/05/21	15.000	1,079.99	03/18/21	1,013.70	...	66.29	Sale ²⁵
05/11/21	10.000	700.00	05/10/21	640.00	...	60.00	Sale ²⁵
05/11/21	15.000	1,049.99	05/03/21	1,102.50	35.01 W	-17.50	Sale ²⁵
05/14/21	10.000	729.99	05/13/21	725.01	...	4.98	Sale ²⁵
	Security total:	5,779.95		5,648.71	35.01 W	166.25	
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
6 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	5.000	1,136.27	12/01/20	1,192.03	...	-55.76	1 of 6 - Sale ²⁵
	10.000	2,272.55	12/01/20	2,326.00	...	-53.45	2 of 6 - Sale ²⁵
	10.000	2,272.55	12/01/20	2,304.80	...	-32.25	3 of 6 - Sale ²⁵
	3.000	681.76	12/01/20	710.70	...	-28.94	4 of 6 - Sale ²⁵
	2.000	454.51	12/01/20	478.85	...	-24.34	5 of 6 - Sale ²⁵
	10.000	2,272.55	01/15/21	2,144.50	...	128.05	6 of 6 - Sale ²⁵
01/22/21	40.000	9,090.19	Various	9,156.88	...	-66.69	Total of 6 transactions
SAP SE / CUSIP: 803054204 / Symbol:							
01/27/21	5.000	657.08	01/25/21	636.35	...	20.73	Sale ²⁵
SAREPTA THERAPEUTICS, INC. COM MON STOCK (DE) / CUSIP: 803607100 / Symbol:							
01/11/21	10.000	861.28	01/08/21	830.00	...	31.28	Sale ²⁵
2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	10.000	881.98	01/13/21	871.20	...	10.78	1 of 2 - Sale ²⁵
	5.000	440.99	01/13/21	421.25	...	19.74	2 of 2 - Sale ²⁵
01/14/21	15.000	1,322.97	Various	1,292.45	...	30.52	Total of 2 transactions

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Account 961374246

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SAREPTA THERAPEUTICS, INC. COM MON STOCK (DE) / CUSIP: 803607100 / Symbol: (cont'd)							
3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	5.000	470.99	01/25/21	465.60	...	5.39	1 of 3 - Sale ²⁵
	20.000	1,883.95	01/26/21	1,872.00	...	11.95	2 of 3 - Sale ²⁵
	20.000	1,883.96	01/26/21	1,872.00	...	11.96	3 of 3 - Sale ²⁵
02/04/21	45.000	4,238.90	Various	4,209.60	...	29.30	Total of 3 transactions
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	94.20	01/25/21	94.76	0.56 W	0.00	1 of 2 - Sale ²⁵
	4.000	376.79	01/25/21	379.04	2.25 W	0.00	2 of 2 - Sale ²⁵
02/04/21	5.000	470.99	Various	473.80	2.81 W	0.00	Total of 2 transactions
4 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	1.000	87.02	03/01/21	83.56	...	3.46	1 of 4 - Sale ²⁵
	4.000	348.08	03/01/21	334.25	...	13.83	2 of 4 - Sale ²⁵
	7.000	609.13	03/01/21	581.00	...	28.13	3 of 4 - Sale ²⁵
	20.000	1,740.39	03/01/21	1,660.00	...	80.39	4 of 4 - Sale ²⁵
03/02/21	32.000	2,784.62	Various	2,658.81	...	125.81	Total of 4 transactions
2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	1.000	72.20	04/28/21	71.00	...	1.20	1 of 2 - Sale ²⁵
	19.000	1,371.79	04/28/21	1,349.00	...	22.79	2 of 2 - Sale ²⁵
04/30/21	20.000	1,443.99	Various	1,420.00	...	23.99	Total of 2 transactions
	Security total:	11,122.75		10,884.66	2.81 W	240.90	
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
3 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	3.000	56.55	04/28/21	56.43	...	0.12	1 of 3 - Sale ²⁵
	3.000	56.55	04/28/21	56.43	...	0.12	2 of 3 - Sale ²⁵
	14.000	263.90	04/28/21	263.34	...	0.56	3 of 3 - Sale ²⁵
06/02/21	20.000	377.00	Various	376.20	...	0.80	Total of 3 transactions
THE J.M. SMUCKER COMPANY / CUSIP: 832696405 / Symbol:							
02/09/21	1.000	115.73	02/08/21	114.95	...	0.78	Sale ²⁵

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Robinhood Securities LLC

Account 961374246

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
3 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	25.000	95.00	04/12/21	123.50	...	-28.50	1 of 3 - Sale ²⁵
	25.000	95.00	05/03/21	104.13	...	-9.13	2 of 3 - Sale ²⁵
	50.000	189.99	05/13/21	150.50	...	39.49	3 of 3 - Sale ²⁵
05/27/21	100.000	379.99	Various	378.13	...	1.86	Total of 3 transactions
STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol:							
02/01/21	5.000	496.75	01/25/21	515.85	...	-19.10	Sale ²⁵
SUNPOWER CORPORATION COMMON ST OCK / CUSIP: 867652406 / Symbol:							
6 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	45.00	02/02/21	43.75	...	1.25	1 of 6 - Sale ²⁵
	1.000	45.00	02/02/21	43.75	...	1.25	2 of 6 - Sale ²⁵
	2.000	90.00	02/02/21	87.50	...	2.50	3 of 6 - Sale ²⁵
	9.000	404.99	02/02/21	393.75	...	11.24	4 of 6 - Sale ²⁵
	12.000	539.99	02/02/21	525.00	...	14.99	5 of 6 - Sale ²⁵
	25.000	1,124.97	02/04/21	1,004.00	...	120.97	6 of 6 - Sale ²⁵
02/05/21	50.000	2,249.95	Various	2,097.75	...	152.20	Total of 6 transactions
SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol:							
4 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	5.000	306.25	01/12/21	467.21	...	-160.96	1 of 4 - Sale ²⁵
	9.000	551.24	01/13/21	817.21	...	-265.97	2 of 4 - Sale ²⁵
	5.000	306.25	01/13/21	450.51	...	-144.26	3 of 4 - Sale ²⁵
	1.000	61.25	01/13/21	90.43	...	-29.18	4 of 4 - Sale ²⁵
03/31/21	20.000	1,224.99	Various	1,825.36	...	-600.37	Total of 4 transactions
TAL EDUCATION GROUP / CUSIP: 874080104 / Symbol:							
05/25/21	20.000	819.99	05/24/21	750.20	...	69.79	Sale ²⁵
06/10/21	10.000	309.90	06/04/21	299.70	...	10.20	Sale ²⁵
	Security total:	1,129.89		1,049.90	...	79.99	

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Robinhood Securities LLC

Account 961374246

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	870.98	01/22/21	835.33	...	35.65	1 of 3 - Sale ²⁵
	1.000	870.98	01/22/21	831.66	...	39.32	2 of 3 - Sale ²⁵
	2.000	1,741.96	01/22/21	1,670.66	...	71.30	3 of 3 - Sale ²⁵
01/27/21	4.000	3,483.92	Various	3,337.65	...	146.27	Total of 3 transactions
THERAPEUTICSMD, INC. COMMON STOCK / CUSIP: 88338N107 / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	24.000	36.00	02/10/21	51.24	...	-15.24	1 of 2 - Sale ²⁵
	26.000	39.00	02/24/21	42.90	...	-3.90	2 of 2 - Sale ²⁵
03/03/21	50.000	75.00	Various	94.14	...	-19.14	Total of 2 transactions
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
02/11/21	50.000	460.50	02/08/21	454.50	...	6.00	Sale ²⁵
2 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	50.000	387.99	02/11/21	450.00	...	-62.01	1 of 2 - Sale ²⁵
	100.000	775.99	03/09/21	649.00	...	126.99	2 of 2 - Sale ²⁵
04/27/21	150.000	1,163.98	Various	1,099.00	...	64.98	Total of 2 transactions
04/27/21	50.000	387.99	02/11/21	451.00	31.51 W	-31.50	Sale ²⁵
06/02/21	25.000	182.50	05/05/21	215.51	...	-33.01	Sale ²⁵
	Security total:	2,194.97		2,220.01	31.51 W	6.47	
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
4 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	15.000	137.99	12/15/20	141.60	...	-3.61	1 of 4 - Sale ²⁵
	5.000	46.00	12/15/20	47.20	...	-1.20	2 of 4 - Sale ²⁵
	10.000	92.00	12/15/20	92.60	...	-0.60	3 of 4 - Sale ²⁵
	25.000	229.99	12/24/20	216.75	...	13.24	4 of 4 - Sale ²⁵
01/05/21	55.000	505.98	Various	498.15	...	7.83	Total of 4 transactions
4 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	19.000	174.79	12/15/20	179.36	3.61 W	-0.96	1 of 4 - Sale ²⁵
	1.000	9.20	12/15/20	9.49	0.29 W	0.00	2 of 4 - Sale ²⁵
	1.000	9.20	12/15/20	9.73	0.53 W	0.00	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 961374246

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol: (cont'd)							
	9.000	82.80	12/15/20	85.41	2.61 W	0.00	4 of 4 - Sale ²⁵
01/05/21	30.000	275.99	Various	283.99	7.04 W	-0.96	Total of 4 transactions
	3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.						
	1.000	9.16	01/15/21	9.43	...	-0.27	1 of 3 - Sale ²⁵
	9.000	82.44	01/15/21	82.71	...	-0.27	2 of 3 - Sale ²⁵
	15.000	137.40	01/15/21	137.11	...	0.29	3 of 3 - Sale ²⁵
01/20/21	25.000	229.00	Various	229.25	...	-0.25	Total of 3 transactions
	Security total:	1,010.97		1,011.39	7.04 W	6.62	
VISA INC. / CUSIP: 92826C839 / Symbol:							
01/20/21	10.000	2,033.45	01/14/21	2,025.00	...	8.45	Sale ²⁵
WAITR HOLDINGS INC. COMMON STOCK / CUSIP: 930752100 / Symbol:							
03/11/21	100.000	290.99	03/09/21	289.00	...	1.99	Sale ²⁵
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
	2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	5.000	259.99	01/13/21	258.25	...	1.74	1 of 2 - Sale ²⁵
	5.000	259.99	01/15/21	238.15	...	21.84	2 of 2 - Sale ²⁵
01/19/21	10.000	519.98	Various	496.40	...	23.58	Total of 2 transactions
	7 transactions for 11/23/21. Total proceeds and cost reported to the IRS.						
	10.000	505.00	01/25/21	548.60	...	-43.60	1 of 7 - Sale ²⁵
	5.000	252.50	01/25/21	273.10	...	-20.60	2 of 7 - Sale ²⁵
	25.000	1,262.49	01/26/21	1,348.50	...	-86.01	3 of 7 - Sale ²⁵
	10.000	505.00	01/27/21	512.00	...	-7.00	4 of 7 - Sale ²⁵
	10.000	504.99	02/02/21	481.20	...	23.79	5 of 7 - Sale ²⁵
	20.000	1,009.99	02/04/21	945.20	...	64.79	6 of 7 - Sale ²⁵
	20.000	1,009.99	02/05/21	934.00	...	75.99	7 of 7 - Sale ²⁵
11/23/21	100.000	5,049.96	Various	5,042.60	...	7.36	Total of 7 transactions
	Security total:	5,569.94		5,539.00	...	30.94	
ZOOM VIDEO COMMUNICATIONS, INC. CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
01/08/21	2.000	689.20	01/07/21	684.00	...	5.20	Sale ²⁵

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Robinhood Securities LLC

Account 961374246

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
02/11/21	100.000	256.99	02/08/21	241.50	...	15.49	Sale ²⁵
03/03/21	50.000	108.00	02/11/21	132.00	...	-24.00	Sale ²⁵
	Security total:	364.99		373.50	...	-8.51	
AERCAP HOLDINGS N.V. / CUSIP: N00985106 / Symbol:							
01/11/21	5.000	224.99	01/08/21	218.35	...	6.64	Sale ²⁵
	2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.						
	1.000	45.00	01/07/21	45.55	0.55 W	0.00	1 of 2 - Sale ²⁵
	9.000	404.99	01/07/21	409.95	4.96 W	0.00	2 of 2 - Sale ²⁵
01/11/21	10.000	449.99	Various	455.50	5.51 W	0.00	Total of 2 transactions
	5 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	1.000	41.18	01/22/21	40.87	...	0.31	1 of 5 - Sale ²⁵
	2.000	82.36	01/22/21	81.74	...	0.62	2 of 5 - Sale ²⁵
	7.000	288.25	01/22/21	286.10	...	2.15	3 of 5 - Sale ²⁵
	10.000	411.79	01/22/21	403.20	...	8.59	4 of 5 - Sale ²⁵
	30.000	1,235.37	01/26/21	1,199.25	...	36.12	5 of 5 - Sale ²⁵
01/28/21	50.000	2,058.95	Various	2,011.16	...	47.79	Total of 5 transactions
	Security total:	2,733.93		2,685.01	5.51 W	54.43	
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
02/11/21	50.000	84.00	02/10/21	58.00	...	26.00	Sale ²⁵
Totals :		207,537.04		204,040.92	927.38 W	4,423.50	

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Robinhood Securities LLC

Account 961374246

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/04/21	-5.00	Margin interest paid	
		02/03/21	-5.00	Margin interest paid	
		03/05/21	-5.00	Margin interest paid	
			-15.00	Total Margin interest paid	
			-15.00	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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