

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 840113617	Statement Date: 02/11/2022 Document ID: 20S5 XQQ H61E	2021
	Ayush Mangal 3620 Mystic Valley Pkwy E613 Medford, MA 02155 RECIPIENT'S TIN: XXX-XX-6512		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	816,407.27	837,271.07	0.00	36,649.60	15,785.80
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	816,407.27	837,271.07	0.00	36,649.60	15,785.80
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	816,407.27	837,271.07	0.00	36,649.60	15,785.80
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 840113617

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	71.54
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 02/05/2021 CALL \$56.00 / CUSIP: / Symbol:							
02/01/21	1.000	281.98	02/01/21	292.00	...	-10.02	Option sale to close-call ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	722.271	10,342.74	01/27/21	11,584.36	...	-1,241.62	1 of 3 - Sale ²⁵
	0.484	6.92	01/27/21	7.76	...	-0.84	2 of 3 - Sale ²⁵
	0.246	3.52	01/27/21	4.35	...	-0.83	3 of 3 - Sale ²⁵
01/27/21	723.000	10,353.18	Various	11,596.47	...	-1,243.29	Total of 3 transactions
01/27/21	0.246	3.53	01/27/21	3.94	0.41 W	0.00	Sale ²⁵
3 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	123.915	5,528.78	06/02/21	8,993.72	...	-3,464.94	1 of 3 - Sale ²⁵
	0.084	3.76	06/02/21	6.12	...	-2.36	2 of 3 - Sale ²⁵

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Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.001	0.05	06/02/21	0.11	...	-0.06	3 of 3 - Sale ²⁵
06/03/21	124.000	5,532.59	Various	8,999.95	...	-3,467.36	Total of 3 transactions
06/03/21	0.001	0.05	06/02/21	0.08	0.03 W	0.00	Sale ²⁵
	Security total:	15,889.35		20,600.44	0.44 W	-4,710.65	
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
01/11/21	1,123.000	792.46	01/11/21	948.94	...	-156.48	Sale ²⁵
APPLIED GENETIC TECHNOLOGIES C ORPORATION COMMON STOCK / CUSIP: 03820J100 / Symbol:							
9 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	0.054	0.45	02/16/21	0.43	...	0.02	1 of 9 - Sale ²⁵
	0.105	0.88	02/16/21	0.83	...	0.05	2 of 9 - Sale ²⁵
	1.000	8.38	02/16/21	7.93	...	0.45	3 of 9 - Sale ²⁵
	12.946	108.49	02/16/21	102.66	...	5.83	4 of 9 - Sale ²⁵
	86.054	721.11	02/16/21	682.41	...	38.70	5 of 9 - Sale ²⁵
	100.000	837.97	02/16/21	793.00	...	44.97	6 of 9 - Sale ²⁵
	200.000	1,675.94	02/16/21	1,586.00	...	89.94	7 of 9 - Sale ²⁵
	426.946	3,577.67	02/16/21	3,389.95	...	187.72	8 of 9 - Sale ²⁵
	500.000	4,189.84	02/16/21	3,965.00	...	224.84	9 of 9 - Sale ²⁵
02/17/21	1,327.105	11,120.73	Various	10,528.21	...	592.52	Total of 9 transactions
ASENSUS SURGICAL, INC. / CUSIP: 04367G103 / Symbol:							
18 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	198.224	834.65	03/04/21	1,179.84	...	-345.19	1 of 18 - Sale ²⁵
	325.552	1,370.79	03/04/21	1,561.89	...	-191.10	2 of 18 - Sale ²⁵
	199.224	838.87	03/04/21	1,013.16	...	-174.29	3 of 18 - Sale ²⁵
	98.224	413.59	03/04/21	584.63	...	-171.04	4 of 18 - Sale ²⁵
	98.224	413.59	03/04/21	584.62	...	-171.03	5 of 18 - Sale ²⁵
	65.338	275.11	03/04/21	388.89	...	-113.78	6 of 18 - Sale ²⁵
	64.517	271.93	03/04/21	383.67	...	-111.74	7 of 18 - Sale ²⁵
	50.889	214.27	03/04/21	302.89	...	-88.62	8 of 18 - Sale ²⁵
	50.000	210.53	03/04/21	297.60	...	-87.07	9 of 18 - Sale ²⁵
	31.110	130.99	03/04/21	185.31	...	-54.32	10 of 18 - Sale ²⁵
	30.662	129.24	03/04/21	182.34	...	-53.10	11 of 18 - Sale ²⁵

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Robinhood Securities LLC

Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASENSUS SURGICAL, INC. / CUSIP: 04367G103 / Symbol: (cont'd)							
	4.000	16.84	03/04/21	23.79	...	-6.95	12 of 18 - Sale ²⁵
	1.776	7.48	03/04/21	10.57	...	-3.09	13 of 18 - Sale ²⁵
	1.776	7.48	03/04/21	10.57	...	-3.09	14 of 18 - Sale ²⁵
	0.888	3.74	03/04/21	5.28	...	-1.54	15 of 18 - Sale ²⁵
	0.888	3.74	03/04/21	5.28	...	-1.54	16 of 18 - Sale ²⁵
	0.889	3.74	03/04/21	5.28	...	-1.54	17 of 18 - Sale ²⁵
	0.820	3.46	03/04/21	4.34	...	-0.88	18 of 18 - Sale ²⁵
03/09/21	1,223.000	5,150.04	Various	6,729.95	...	-1,579.91	Total of 18 transactions
	11 transactions for 03/09/21. Total proceeds and cost reported to the IRS.						
	0.820	3.46	03/04/21	3.63	0.17 W	0.00	1 of 11 - Sale ²⁵
	64.517	271.93	03/04/21	327.80	55.87 W	0.00	2 of 11 - Sale ²⁵
	34.662	146.10	03/04/21	176.11	30.01 W	0.00	3 of 11 - Sale ²⁵
	50.000	210.49	03/04/21	254.04	43.55 W	0.00	4 of 11 - Sale ²⁵
	99.111	417.25	03/04/21	503.59	86.34 W	0.00	5 of 11 - Sale ²⁵
	65.338	275.06	03/04/21	331.97	56.91 W	0.00	6 of 11 - Sale ²⁵
	200.000	841.97	03/04/21	1,016.19	174.22 W	0.00	7 of 11 - Sale ²⁵
	32.886	138.44	03/04/21	167.09	28.65 W	0.00	8 of 11 - Sale ²⁵
	0.889	3.74	03/04/21	4.51	0.77 W	0.00	9 of 11 - Sale ²⁵
	50.889	214.23	03/04/21	258.56	44.33 W	0.00	10 of 11 - Sale ²⁵
	99.111	417.25	03/04/21	503.58	86.33 W	0.00	11 of 11 - Sale ²⁵
03/09/21	698.224	2,939.92	Various	3,547.07	607.15 W	0.00	Total of 11 transactions
	2 transactions for 04/21/21. Total proceeds and cost reported to the IRS.						
	0.458	0.77	04/21/21	0.79	0.02 W	0.00	1 of 2 - Sale ²⁵
	2,782.983	4,675.05	04/21/21	4,842.39	167.34 W	0.00	2 of 2 - Sale ²⁵
04/21/21	2,783.441	4,675.82	Various	4,843.18	167.36 W	0.00	Total of 2 transactions
	3 transactions for 04/26/21. Total proceeds and cost reported to the IRS.						
	2,258.627	4,654.28	04/21/21	4,808.91	108.66 W	-45.97	1 of 3 - Sale ²⁵
	0.458	0.94	04/21/21	0.96	0.02 W	0.00	2 of 3 - Sale ²⁵
	0.861	1.78	04/21/21	1.83	0.05 W	0.00	3 of 3 - Sale ²⁵
04/26/21	2,259.947	4,657.00	Various	4,811.70	108.73 W	-45.97	Total of 3 transactions
	5 transactions for 05/20/21. Total proceeds and cost reported to the IRS.						
	1,587.228	3,301.23	04/29/21	3,551.84	...	-250.61	1 of 5 - Sale ²⁵
	523.337	1,088.47	04/29/21	1,166.75	...	-78.28	2 of 5 - Sale ²⁵

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Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ASENSUS SURGICAL, INC. / CUSIP: 04367G103 / Symbol: (cont'd)							
	0.861	1.79	04/29/21	1.92	...	-0.13	3 of 5 - Sale ²⁵
	0.380	0.79	04/29/21	0.84	...	-0.05	4 of 5 - Sale ²⁵
	0.079	0.16	04/29/21	0.17	...	-0.01	5 of 5 - Sale ²⁵
05/20/21	2,111.884	4,392.44	Various	4,721.52	...	-329.08	Total of 5 transactions
	Security total:	21,815.22		24,653.42	883.24 W	-1,954.96	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
	6 transactions for 01/04/21. Total proceeds and cost reported to the IRS.						
	0.437	3.00	01/04/21	2.68	...	0.32	1 of 6 - Sale ²⁵
	0.195	1.34	01/04/21	0.92	...	0.42	2 of 6 - Sale ²⁵
	400.000	2,747.93	01/04/21	2,710.00	...	37.93	3 of 6 - Sale ²⁵
	107.074	735.58	01/04/21	656.36	...	79.22	4 of 6 - Sale ²⁵
	228.908	1,579.41	01/04/21	1,362.00	...	217.41	5 of 6 - Sale ²⁵
	148.879	1,027.22	01/04/21	704.02	...	323.20	6 of 6 - Sale ²⁵
01/04/21	885.492	6,094.48	Various	5,435.98	...	658.50	Total of 6 transactions
	2 transactions for 01/05/21. Total proceeds and cost reported to the IRS.						
	0.070	0.37	01/05/21	0.33	...	0.04	1 of 2 - Sale ²⁵
	723.402	3,841.23	01/05/21	3,413.66	...	427.57	2 of 2 - Sale ²⁵
01/05/21	723.472	3,841.60	Various	3,413.99	...	427.61	Total of 2 transactions
	2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.						
	121.090	645.37	01/05/21	600.00	...	45.37	1 of 2 - Sale ²⁵
	354.902	1,891.54	01/05/21	1,802.90	...	88.64	2 of 2 - Sale ²⁵
01/06/21	475.991	2,536.91	Various	2,402.90	...	134.01	Total of 2 transactions
01/07/21	990.838	4,993.78	01/06/21	4,656.84	...	336.94	Sale ²⁵
	3 transactions for 04/16/21. Total proceeds and cost reported to the IRS.						
	739.443	4,150.81	04/14/21	4,909.90	...	-759.09	1 of 3 - Sale ²⁵
	0.557	3.13	04/14/21	3.70	...	-0.57	2 of 3 - Sale ²⁵
	0.006	0.04	04/14/21	0.04	...	0.00	3 of 3 - Sale ²⁵
04/16/21	740.006	4,153.98	Various	4,913.64	...	-759.66	Total of 3 transactions
	23 transactions for 10/12/21. Total proceeds and cost reported to the IRS.						
	0.169	0.92	10/08/21	0.87	...	0.05	1 of 23 - Sale ²⁵
	10.000	54.12	10/08/21	51.49	...	2.63	2 of 23 - Sale ²⁵
	23.000	124.47	10/08/21	118.45	...	6.02	3 of 23 - Sale ²⁵

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Robinhood Securities LLC

Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
	32.000	173.17	10/08/21	164.80	...	8.37	4 of 23 - Sale ²⁵
	43.000	232.70	10/08/21	221.45	...	11.25	5 of 23 - Sale ²⁵
	60.000	324.70	10/08/21	309.00	...	15.70	6 of 23 - Sale ²⁵
	91.000	492.46	10/08/21	468.65	...	23.81	7 of 23 - Sale ²⁵
	100.000	541.16	10/08/21	515.00	...	26.16	8 of 23 - Sale ²⁵
	100.000	541.17	10/08/21	515.00	...	26.17	9 of 23 - Sale ²⁵
	100.000	541.17	10/08/21	515.00	...	26.17	10 of 23 - Sale ²⁵
	100.000	541.17	10/08/21	515.00	...	26.17	11 of 23 - Sale ²⁵
	103.000	557.40	10/08/21	530.45	...	26.95	12 of 23 - Sale ²⁵
	141.000	763.04	10/08/21	726.15	...	36.89	13 of 23 - Sale ²⁵
	200.000	1,082.33	10/08/21	1,030.00	...	52.33	14 of 23 - Sale ²⁵
	200.000	1,082.33	10/08/21	1,030.00	...	52.33	15 of 23 - Sale ²⁵
	244.000	1,320.44	10/08/21	1,256.60	...	63.84	16 of 23 - Sale ²⁵
	300.000	1,623.49	10/08/21	1,545.00	...	78.49	17 of 23 - Sale ²⁵
	400.000	2,164.66	10/08/21	2,060.00	...	104.66	18 of 23 - Sale ²⁵
	400.000	2,164.66	10/08/21	2,060.00	...	104.66	19 of 23 - Sale ²⁵
	400.000	2,164.66	10/08/21	2,059.60	...	105.06	20 of 23 - Sale ²⁵
	943.202	5,104.28	10/08/21	4,857.49	...	246.79	21 of 23 - Sale ²⁵
	0.208	1.13	10/11/21	1.06	...	0.07	22 of 23 - Sale ²⁵
	468.680	2,536.33	10/11/21	2,398.94	...	137.39	23 of 23 - Sale ²⁵
10/12/21	4,459.260	24,131.96	Various	22,950.00	...	1,181.96	Total of 23 transactions
	Security total:	45,752.71		43,773.35	...	1,979.36	
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
4 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	0.216	0.32	10/25/21	0.30	...	0.02	1 of 4 - Sale ²⁵
	0.311	0.47	10/25/21	0.43	...	0.04	2 of 4 - Sale ²⁵
	863.000	1,285.76	10/25/21	1,199.57	...	86.19	3 of 4 - Sale ²⁵
	9,999.784	14,898.41	10/25/21	13,899.70	...	998.71	4 of 4 - Sale ²⁵
10/25/21	10,863.311	16,184.96	Various	15,100.00	...	1,084.96	Total of 4 transactions

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Robinhood Securities LLC

Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
04/14/21	12.754	4,913.64	04/14/21	5,318.44	...	-404.80	Sale ²⁵
04/14/21	12.979	5,134.50	04/14/21	5,320.00	182.29 W	-3.21	Sale ²⁵
	Security total:	10,048.14		10,638.44	182.29 W	-408.01	
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
5 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	0.122	1.74	10/05/21	1.72	...	0.02	1 of 5 - Sale ²⁵
	0.441	6.32	10/05/21	6.24	...	0.08	2 of 5 - Sale ²⁵
	40.828	585.12	10/05/21	580.00	...	5.12	3 of 5 - Sale ²⁵
	190.277	2,726.98	10/05/21	2,693.76	...	33.22	4 of 5 - Sale ²⁵
	1,692.773	24,260.16	10/05/21	23,998.28	...	261.88	5 of 5 - Sale ²⁵
10/07/21	1,924.441	27,580.32	Various	27,280.00	...	300.32	Total of 5 transactions
2 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	0.026	0.53	12/22/21	0.52	...	0.01	1 of 2 - Sale ²⁵
	1,528.620	30,730.41	12/22/21	30,694.68	...	35.73	2 of 2 - Sale ²⁵
12/22/21	1,528.646	30,730.94	Various	30,695.20	...	35.74	Total of 2 transactions
	Security total:	58,311.26		57,975.20	...	336.06	
FORTRESS BIOTECH, INC. COMMON STOCK / CUSIP: 34960Q109 / Symbol:							
04/09/21	913.953	4,582.70	04/09/21	4,853.00	268.39 W	-1.91	Sale ²⁵
8 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	0.383	2.25	04/09/21	2.04	...	0.21	1 of 8 - Sale ²⁵
	8.000	47.03	04/09/21	42.77	...	4.26	2 of 8 - Sale ²⁵
	9.101	53.51	04/09/21	48.65	...	4.86	3 of 8 - Sale ²⁵
	10.000	58.80	04/09/21	53.46	...	5.34	4 of 8 - Sale ²⁵
	100.000	587.98	04/09/21	534.58	...	53.40	5 of 8 - Sale ²⁵
	100.000	587.98	04/09/21	534.58	...	53.40	6 of 8 - Sale ²⁵
	100.000	587.99	04/09/21	534.57	...	53.42	7 of 8 - Sale ²⁵
	580.000	3,410.32	04/09/21	3,100.53	...	309.79	8 of 8 - Sale ²⁵
04/12/21	907.484	5,335.86	Various	4,851.18	...	484.68	Total of 8 transactions
	Security total:	9,918.56		9,704.18	268.39 W	482.77	

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Robinhood Securities LLC

Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.476	136.50	01/27/21	135.36	...	1.14	1 of 2 - Sale ²⁵
01/27/21	40.000	11,444.72	01/27/21	11,364.64	...	80.08	2 of 2 - Sale ²⁵
	40.476	11,581.22	Various	11,500.00	...	81.22	Total of 2 transactions
4 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	0.045	5.76	02/25/21	5.16	...	0.60	1 of 4 - Sale ²⁵
	0.055	7.10	02/25/21	6.34	...	0.76	2 of 4 - Sale ²⁵
	0.329	42.28	02/25/21	37.84	...	4.44	3 of 4 - Sale ²⁵
02/26/21	65.626	8,432.37	02/25/21	7,589.66	...	842.71	4 of 4 - Sale ²⁵
	66.055	8,487.51	Various	7,639.00	...	848.51	Total of 4 transactions
2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	0.361	97.34	03/10/21	81.21	...	16.13	1 of 2 - Sale ²⁵
03/10/21	33.000	8,885.00	03/10/21	7,425.00	...	1,460.00	2 of 2 - Sale ²⁵
	33.361	8,982.34	Various	7,506.21	...	1,476.13	Total of 2 transactions
3 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	0.592	157.25	03/10/21	158.35	1.10 W	0.00	1 of 3 - Sale ²⁵
	33.000	8,744.95	03/10/21	8,822.54	77.59 W	0.00	2 of 3 - Sale ²⁵
03/10/21	0.005	1.44	03/10/21	1.45	0.01 W	0.00	3 of 3 - Sale ²⁵
	33.598	8,903.64	Various	8,982.34	78.70 W	0.00	Total of 3 transactions
4 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	33.000	6,976.16	03/17/21	7,184.80	...	-208.64	1 of 4 - Sale ²⁵
	4.971	1,050.93	03/17/21	1,070.68	...	-19.75	2 of 4 - Sale ²⁵
	0.592	125.21	03/17/21	128.66	...	-3.45	3 of 4 - Sale ²⁵
03/18/21	0.005	1.15	03/17/21	1.18	...	-0.03	4 of 4 - Sale ²⁵
	38.569	8,153.45	Various	8,385.32	...	-231.87	Total of 4 transactions
	Security total:	46,108.16		44,012.87	78.70 W	2,173.99	
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.446	1.69	01/22/21	1.52	...	0.17	1 of 3 - Sale ²⁵
	78.555	91.90	01/22/21	83.27	...	8.63	2 of 3 - Sale ²⁵
01/25/21	12,693.917	14,850.04	01/22/21	13,455.55	...	1,394.49	3 of 3 - Sale ²⁵
	12,773.917	14,943.63	Various	13,540.34	...	1,403.29	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q203 / Symbol:							
<i>4 transactions for 02/10/21. Total proceeds and cost reported to the IRS.</i>							
	26.000	28.86	02/09/21	26.25	...	2.61	1 of 4 - Sale ²⁵
	100.000	111.98	02/09/21	99.77	...	12.21	2 of 4 - Sale ²⁵
	811.000	908.19	02/09/21	818.70	...	89.49	3 of 4 - Sale ²⁵
	826.000	925.00	02/09/21	833.85	...	91.15	4 of 4 - Sale ²⁵
02/10/21	1,763.000	1,974.03	Various	1,778.57	...	195.46	Total of 4 transactions
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
<i>2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>							
	0.421	0.71	02/05/21	0.65	...	0.06	1 of 2 - Sale ²⁵
	4,944.000	8,256.69	02/05/21	7,663.20	...	593.49	2 of 2 - Sale ²⁵
02/08/21	4,944.421	8,257.40	Various	7,663.85	...	593.55	Total of 2 transactions
INUVO, INC. / CUSIP: 46122W204 / Symbol:							
<i>5 transactions for 01/21/21. Total proceeds and cost reported to the IRS.</i>							
	2,473.000	3,882.48	01/20/21	4,797.37	...	-914.89	1 of 5 - Sale ²⁵
	1,000.000	1,569.84	01/20/21	1,939.90	...	-370.06	2 of 5 - Sale ²⁵
	649.150	1,019.05	01/20/21	1,259.29	...	-240.24	3 of 5 - Sale ²⁵
	0.413	0.65	01/20/21	0.95	...	-0.30	4 of 5 - Sale ²⁵
	0.437	0.69	01/20/21	0.84	...	-0.15	5 of 5 - Sale ²⁵
01/21/21	4,123.000	6,472.71	Various	7,998.35	...	-1,525.64	Total of 5 transactions
01/21/21	0.413	0.65	01/20/21	0.80	0.15 W	0.00	Sale ²⁵
	Security total:	6,473.36		7,999.15	0.15 W	-1,525.64	
JAGUAR HEALTH, INC. COMMON STOCK / CUSIP: 47010C409 / Symbol:							
01/06/21	1,000.000	2,119.93	01/05/21	2,038.70	...	81.23	Sale ²⁵
01/14/21	857.143	3,286.96	01/14/21	3,000.00	...	286.96	Sale ²⁵
	Security total:	5,406.89		5,038.70	...	368.19	

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LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
3 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	1,334.741	30,259.07	10/13/21	30,284.48	...	-25.41	1 of 3 - Sale ²⁵
	0.259	5.86	10/13/21	5.87	...	-0.01	2 of 3 - Sale ²⁵
	0.426	9.65	10/13/21	9.65	...	0.00	3 of 3 - Sale ²⁵
10/13/21	1,335.426	30,274.58	Various	30,300.00	...	-25.42	Total of 3 transactions
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
3 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	64.661	3,378.52	01/04/21	3,450.29	...	-71.77	1 of 3 - Sale ²⁵
	0.321	16.77	01/04/21	17.48	...	-0.71	2 of 3 - Sale ²⁵
	0.018	0.96	01/04/21	1.00	...	-0.04	3 of 3 - Sale ²⁵
01/05/21	65.000	3,396.25	Various	3,468.77	...	-72.52	Total of 3 transactions
2 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	0.321	16.78	01/04/21	17.13	0.35 W	0.00	1 of 2 - Sale ²⁵
	0.018	0.96	01/04/21	0.98	0.02 W	0.00	2 of 2 - Sale ²⁵
01/05/21	0.339	17.74	Various	18.11	0.37 W	0.00	Total of 2 transactions
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	22.587	814.06	04/16/21	818.30	2.31 W	-1.93	1 of 2 - Sale ²⁵
	100.000	3,604.18	04/16/21	3,622.50	18.32 W	0.00	2 of 2 - Sale ²⁵
04/16/21	122.587	4,418.24	Various	4,440.80	20.63 W	-1.93	Total of 2 transactions
4 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	99.688	4,066.75	04/28/21	4,152.21	...	-85.46	1 of 4 - Sale ²⁵
	12.302	501.84	04/28/21	512.44	...	-10.60	2 of 4 - Sale ²⁵
	0.302	12.31	04/28/21	12.56	...	-0.25	3 of 4 - Sale ²⁵
	0.010	0.41	04/28/21	0.42	...	-0.01	4 of 4 - Sale ²⁵
04/29/21	112.302	4,581.31	Various	4,677.63	...	-96.32	Total of 4 transactions
5 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	0.005	0.18	09/28/21	0.18	...	0.00	1 of 5 - Sale ²⁵
	0.131	4.65	09/28/21	4.61	...	0.04	2 of 5 - Sale ²⁵
	11.995	424.41	09/28/21	421.74	...	2.67	3 of 5 - Sale ²⁵
	24.000	849.19	09/28/21	843.84	...	5.35	4 of 5 - Sale ²⁵
	675.000	23,883.39	09/28/21	23,729.63	...	153.76	5 of 5 - Sale ²⁵
09/29/21	711.131	25,161.82	Various	25,000.00	...	161.82	Total of 5 transactions

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(continued)

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
Security total:		37,575.36		37,605.31	21.00 W	-8.95	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
6 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	26.723	129.33	01/27/21	162.74	14.81 W	-18.60	1 of 6 - Sale ²⁵
	164.000	793.72	01/27/21	998.76	204.62 W	-0.42	2 of 6 - Sale ²⁵
	0.398	1.93	01/27/21	2.43	0.50 W	0.00	3 of 6 - Sale ²⁵
	14.879	72.02	01/27/21	90.61	18.59 W	0.00	4 of 6 - Sale ²⁵
	0.398	1.93	01/27/21	2.93	1.00 W	0.00	5 of 6 - Sale ²⁵
	0.213	0.99	01/27/21	1.30	0.31 W	0.00	6 of 6 - Sale ²⁵
01/28/21	206.611	999.92	Various	1,258.77	239.83 W	-19.02	Total of 6 transactions
10 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1,500.058	6,450.37	01/27/21	9,135.34	...	-2,684.97	1 of 10 - Sale ²⁵
	0.228	0.98	01/27/21	1.39	...	-0.41	2 of 10 - Sale ²⁵
	154.666	665.07	02/02/21	909.18	...	-244.11	3 of 10 - Sale ²⁵
	14.879	63.98	02/02/21	87.45	...	-23.47	4 of 10 - Sale ²⁵
	11.843	50.93	02/02/21	69.62	...	-18.69	5 of 10 - Sale ²⁵
	8.000	34.40	02/02/21	46.32	...	-11.92	6 of 10 - Sale ²⁵
	0.942	4.06	02/02/21	5.46	...	-1.40	7 of 10 - Sale ²⁵
	0.398	1.71	02/02/21	2.84	...	-1.13	8 of 10 - Sale ²⁵
	0.213	0.92	02/02/21	1.29	...	-0.37	9 of 10 - Sale ²⁵
	0.058	0.25	02/02/21	0.33	...	-0.08	10 of 10 - Sale ²⁵
02/05/21	1,691.286	7,272.67	Various	10,259.22	...	-2,986.55	Total of 10 transactions
Security total:		8,272.59		11,517.99	239.83 W	-3,005.57	
NORTHERN DYNASTY MINERALS, LTD. / CUSIP: 66510M204 / Symbol:							
3 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	25,000.000	11,629.46	09/27/21	12,940.00	...	-1,310.54	1 of 3 - Sale ²⁵
	1,700.000	790.80	09/27/21	879.75	...	-88.95	2 of 3 - Sale ²⁵
	180.000	83.73	09/27/21	93.01	...	-9.28	3 of 3 - Sale ²⁵
09/28/21	26,880.000	12,503.99	Various	13,912.76	...	-1,408.77	Total of 3 transactions

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Robinhood Securities LLC

Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	193.804	1,884.48	02/10/21	1,970.62	...	-86.14	1 of 3 - Sale ²⁵
	0.137	1.33	02/10/21	1.45	...	-0.12	2 of 3 - Sale ²⁵
	0.059	0.58	02/10/21	0.60	...	-0.02	3 of 3 - Sale ²⁵
02/11/21	194.000	1,886.39	Various	1,972.67	...	-86.28	Total of 3 transactions
02/11/21	0.137	1.33	02/10/21	1.39	0.06 W	0.00	Sale ²⁵
	Security total:	1,887.72		1,974.06	0.06 W	-86.28	
PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol:							
3 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	0.124	0.26	10/13/21	0.26	...	0.00	1 of 3 - Sale ²⁵
	0.027	0.06	10/13/21	0.06	...	0.00	2 of 3 - Sale ²⁵
	7,174.000	15,136.21	10/13/21	15,130.68	...	5.53	3 of 3 - Sale ²⁵
10/14/21	7,174.150	15,136.53	Various	15,131.00	...	5.53	Total of 3 transactions
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
3 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	0.418	24.84	04/13/21	23.11	...	1.73	1 of 3 - Sale ²⁵
	1.053	62.61	04/13/21	58.27	...	4.34	2 of 3 - Sale ²⁵
	88.000	5,234.20	04/13/21	4,870.48	...	363.72	3 of 3 - Sale ²⁵
04/14/21	89.470	5,321.65	Various	4,951.86	...	369.79	Total of 3 transactions
4 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	0.057	1.95	10/29/21	1.56	...	0.39	1 of 4 - Sale ²⁵
	0.092	3.13	10/29/21	2.51	...	0.62	2 of 4 - Sale ²⁵
	1,062.568	36,053.24	10/29/21	28,943.93	...	7,109.31	3 of 4 - Sale ²⁵
	156.432	5,307.79	11/01/21	4,360.34	...	947.45	4 of 4 - Sale ²⁵
11/08/21	1,219.150	41,366.11	Various	33,308.34	...	8,057.77	Total of 4 transactions
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	0.792	36.09	11/08/21	28.93	...	7.16	1 of 2 - Sale ²⁵
	1,155.000	52,596.56	11/08/21	42,187.07	...	10,409.49	2 of 2 - Sale ²⁵
11/15/21	1,155.792	52,632.65	Various	42,216.00	...	10,416.65	Total of 2 transactions

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Robinhood Securities LLC

Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol: (cont'd)							
<i>4 transactions for 12/01/21. Total proceeds and cost reported to the IRS.</i>							
	0.322	13.06	11/15/21	13.80	0.74 W	0.00	1 of 4 - Sale ²⁵
	0.026	1.06	11/15/21	1.13	0.07 W	0.00	2 of 4 - Sale ²⁵
	0.322	13.05	11/15/21	14.55	1.50 W	0.00	3 of 4 - Sale ²⁵
	1,238.678	50,223.16	11/15/21	53,156.26	2,933.10 W	0.00	4 of 4 - Sale ²⁵
12/01/21	1,239.348	50,250.33	Various	53,185.74	2,935.41 W	0.00	Total of 4 transactions
<i>4 transactions for 12/13/21. Total proceeds and cost reported to the IRS.</i>							
	160.115	3,867.66	12/01/21	5,752.91	385.18 W	-1,500.07	1 of 4 - Sale ²⁵
	0.322	7.77	12/01/21	13.06	5.29 W	0.00	2 of 4 - Sale ²⁵
	0.026	0.63	12/01/21	1.01	0.38 W	0.00	3 of 4 - Sale ²⁵
	1,238.678	29,920.96	12/01/21	47,438.69	17,517.73 W	0.00	4 of 4 - Sale ²⁵
12/13/21	1,399.141	33,797.02	Various	53,205.67	17,908.58 W	-1,500.07	Total of 4 transactions
	Security total:	183,367.76		186,867.61	20,843.99 W	17,344.14	
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
<i>3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.</i>							
	2,226.000	10,018.72	02/17/21	11,107.74	...	-1,089.02	1 of 3 - Sale ²⁵
	2.230	10.04	02/17/21	11.13	...	-1.09	2 of 3 - Sale ²⁵
	0.226	1.02	02/17/21	1.13	...	-0.11	3 of 3 - Sale ²⁵
02/19/21	2,228.457	10,029.78	Various	11,120.00	...	-1,090.22	Total of 3 transactions
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
01/11/21	1.000	5.95	01/05/21	6.19	...	-0.24	Sale ²⁵
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
04/13/21	1,044.486	4,951.86	04/12/21	5,335.86	...	-384.00	Sale ²⁵
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
<i>4 transactions for 02/10/21. Total proceeds and cost reported to the IRS.</i>							
	1,702.981	4,070.34	02/09/21	2,724.60	...	1,345.74	1 of 4 - Sale ²⁵
	2,464.000	5,889.27	02/09/21	3,942.40	...	1,946.87	2 of 4 - Sale ²⁵
	0.245	0.54	02/10/21	0.50	...	0.04	3 of 4 - Sale ²⁵
	4,812.748	10,635.36	02/10/21	9,959.50	...	675.86	4 of 4 - Sale ²⁵
02/10/21	8,979.974	20,595.51	Various	16,627.00	...	3,968.51	Total of 4 transactions

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Robinhood Securities LLC

Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
4 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	8.000	17.76	02/10/21	21.36	...	-3.60	1 of 4 - Sale ²⁵
	0.334	0.74	02/10/21	1.03	...	-0.29	2 of 4 - Sale ²⁵
	0.120	0.27	02/10/21	0.37	...	-0.10	3 of 4 - Sale ²⁵
	0.008	0.02	02/10/21	0.03	...	-0.01	4 of 4 - Sale ²⁵
02/16/21	8.462	18.79	Various	22.79	...	-4.00	Total of 4 transactions
02/16/21	3,976.000	8,828.44	02/10/21	10,613.53	0.20 W	-1,784.89	Sale ²⁵
6 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	100.000	78.11	08/20/21	70.10	...	8.01	1 of 6 - Sale ²⁵
	100.000	78.11	08/20/21	70.10	...	8.01	2 of 6 - Sale ²⁵
	100.000	78.11	08/20/21	70.10	...	8.01	3 of 6 - Sale ²⁵
	100.000	78.11	08/20/21	70.10	...	8.01	4 of 6 - Sale ²⁵
	700.000	546.68	08/20/21	490.70	...	55.98	5 of 6 - Sale ²⁵
	11,400.000	8,903.14	08/20/21	7,991.40	...	911.74	6 of 6 - Sale ²⁵
09/02/21	12,500.000	9,762.26	Various	8,762.50	...	999.76	Total of 6 transactions
	Security total:	39,205.00		36,025.82	0.20 W	3,179.38	
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	939.000	1,680.66	02/11/21	1,887.39	...	-206.73	1 of 2 - Sale ²⁵
	0.179	0.32	02/11/21	0.36	...	-0.04	2 of 2 - Sale ²⁵
02/16/21	939.179	1,680.98	Various	1,887.75	...	-206.77	Total of 2 transactions
TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol:							
10 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	4.822	10.27	01/11/21	7.86	...	2.41	1 of 10 - Sale ²⁵
	100.000	213.07	01/11/21	162.00	...	51.07	2 of 10 - Sale ²⁵
	100.000	213.07	01/11/21	162.00	...	51.07	3 of 10 - Sale ²⁵
	100.000	213.07	01/11/21	162.00	...	51.07	4 of 10 - Sale ²⁵
	100.000	213.07	01/11/21	162.00	...	51.07	5 of 10 - Sale ²⁵
	300.000	639.22	01/11/21	486.00	...	153.22	6 of 10 - Sale ²⁵
	300.000	639.22	01/11/21	486.00	...	153.22	7 of 10 - Sale ²⁵
	500.000	1,065.37	01/11/21	810.00	...	255.37	8 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol: (cont'd)							
	528.308	1,125.70	01/11/21	861.14	...	264.56	9 of 10 - Sale ²⁵
	600.000	1,278.44	01/11/21	972.00	...	306.44	10 of 10 - Sale ²⁵
01/14/21	2,633.130	5,610.50	Various	4,271.00	...	1,339.50	Total of 10 transactions
	9 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	0.012	0.03	01/14/21	0.02	...	0.01	1 of 9 - Sale ²⁵
	3,448.000	7,722.93	01/14/21	6,754.98	...	967.95	2 of 9 - Sale ²⁵
	100.000	209.98	01/15/21	203.00	...	6.98	3 of 9 - Sale ²⁵
	200.000	419.97	01/15/21	406.00	...	13.97	4 of 9 - Sale ²⁵
	200.000	419.97	01/15/21	406.00	...	13.97	5 of 9 - Sale ²⁵
	204.434	429.27	01/15/21	415.00	...	14.27	6 of 9 - Sale ²⁵
	300.000	629.95	01/15/21	609.00	...	20.95	7 of 9 - Sale ²⁵
	700.000	1,469.89	01/15/21	1,421.00	...	48.89	8 of 9 - Sale ²⁵
	2,100.000	4,409.65	01/15/21	4,263.00	...	146.65	9 of 9 - Sale ²⁵
01/15/21	7,252.445	15,711.64	Various	14,478.00	...	1,233.64	Total of 9 transactions
	3 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	0.458	1.19	01/15/21	0.95	...	0.24	1 of 3 - Sale ²⁵
	11.422	29.58	01/15/21	23.63	...	5.95	2 of 3 - Sale ²⁵
	3,874.000	10,032.97	01/15/21	8,014.92	...	2,018.05	3 of 3 - Sale ²⁵
01/19/21	3,885.879	10,063.74	Various	8,039.50	...	2,024.24	Total of 3 transactions
	5 transactions for 01/20/21. Total proceeds and cost reported to the IRS.						
	0.443	1.80	01/19/21	1.22	...	0.58	1 of 5 - Sale ²⁵
	24.065	96.13	01/19/21	66.42	...	29.71	2 of 5 - Sale ²⁵
	100.000	401.99	01/19/21	276.00	...	125.99	3 of 5 - Sale ²⁵
	100.000	401.99	01/19/21	276.00	...	125.99	4 of 5 - Sale ²⁵
	1,770.000	7,097.33	01/19/21	4,885.20	...	2,212.13	5 of 5 - Sale ²⁵
01/20/21	1,994.508	7,999.24	Various	5,504.84	...	2,494.40	Total of 5 transactions
	14 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	0.120	0.42	01/19/21	0.33	...	0.09	1 of 14 - Sale ²⁵
	0.355	1.24	01/19/21	0.98	...	0.26	2 of 14 - Sale ²⁵
	84.000	292.30	01/19/21	231.84	...	60.46	3 of 14 - Sale ²⁵
	779.000	2,710.77	01/19/21	2,150.04	...	560.73	4 of 14 - Sale ²⁵
	808.145	2,812.18	01/19/21	2,230.48	...	581.70	5 of 14 - Sale ²⁵
	0.131	0.46	01/21/21	0.38	...	0.08	6 of 14 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol: (cont'd)							
	100.000	347.99	01/21/21	291.98	...	56.01	7 of 14 - Sale ²⁵
	118.000	410.63	01/21/21	344.54	...	66.09	8 of 14 - Sale ²⁵
	136.000	473.25	01/21/21	396.44	...	76.81	9 of 14 - Sale ²⁵
	165.369	575.45	01/21/21	482.84	...	92.61	10 of 14 - Sale ²⁵
	200.000	695.96	01/21/21	583.00	...	112.96	11 of 14 - Sale ²⁵
	400.000	1,391.91	01/21/21	1,167.92	...	223.99	12 of 14 - Sale ²⁵
	400.000	1,391.92	01/21/21	1,166.00	...	225.92	13 of 14 - Sale ²⁵
	700.000	2,435.86	01/21/21	2,040.50	...	395.36	14 of 14 - Sale ²⁵
01/22/21	3,891.120	13,540.34	Various	11,087.27	...	2,453.07	Total of 14 transactions
	8 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	50.889	152.15	01/25/21	187.27	35.12 W	0.00	1 of 8 - Sale ²⁵
	499.180	1,492.45	01/25/21	1,836.98	344.53 W	0.00	2 of 8 - Sale ²⁵
	50.000	149.50	01/25/21	184.00	34.50 W	0.00	3 of 8 - Sale ²⁵
	300.000	896.94	01/25/21	1,104.00	207.06 W	0.00	4 of 8 - Sale ²⁵
	2,000.000	5,979.62	01/25/21	7,360.00	1,380.38 W	0.00	5 of 8 - Sale ²⁵
	964.000	2,882.18	01/25/21	3,547.52	665.34 W	0.00	6 of 8 - Sale ²⁵
	0.820	2.45	01/25/21	2.99	0.54 W	0.00	7 of 8 - Sale ²⁵
	0.820	2.45	01/25/21	3.56	1.11 W	0.00	8 of 8 - Sale ²⁵
01/27/21	3,865.709	11,557.74	Various	14,226.32	2,668.58 W	0.00	Total of 8 transactions
	20 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	10.000	33.15	02/01/21	38.80	5.65 W	0.00	1 of 20 - Sale ²⁵
	40.011	132.63	02/05/21	154.00	21.37 W	0.00	2 of 20 - Sale ²⁵
	48.291	160.08	02/05/21	185.87	25.79 W	0.00	3 of 20 - Sale ²⁵
	100.000	331.49	02/05/21	384.90	53.41 W	0.00	4 of 20 - Sale ²⁵
	100.000	331.49	02/05/21	384.90	53.41 W	0.00	5 of 20 - Sale ²⁵
	100.000	331.49	02/05/21	384.90	53.41 W	0.00	6 of 20 - Sale ²⁵
	100.000	331.49	02/05/21	384.90	53.41 W	0.00	7 of 20 - Sale ²⁵
	50.889	168.69	02/05/21	195.87	27.18 W	0.00	8 of 20 - Sale ²⁵
	200.000	662.96	02/05/21	769.80	106.84 W	0.00	9 of 20 - Sale ²⁵
	0.889	2.95	02/05/21	3.42	0.47 W	0.00	10 of 20 - Sale ²⁵
	99.111	328.54	02/05/21	381.48	52.94 W	0.00	11 of 20 - Sale ²⁵
	200.000	662.96	02/05/21	769.80	106.84 W	0.00	12 of 20 - Sale ²⁵
	100.000	331.49	02/05/21	384.90	53.41 W	0.00	13 of 20 - Sale ²⁵
	200.000	662.96	02/05/21	769.80	106.84 W	0.00	14 of 20 - Sale ²⁵

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Robinhood Securities LLC

Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol: (cont'd)							
	100.000	331.49	02/05/21	384.90	53.41 W	0.00	15 of 20 - Sale ²⁵
	712.777	2,359.44	02/05/21	2,743.46	384.02 W	0.00	16 of 20 - Sale ²⁵
	0.878	2.91	02/05/21	3.37	0.46 W	0.00	17 of 20 - Sale ²⁵
	0.820	2.72	02/05/21	3.70	0.98 W	0.00	18 of 20 - Sale ²⁵
	50.000	165.74	02/05/21	192.44	26.70 W	0.00	19 of 20 - Sale ²⁵
	99.111	328.53	02/05/21	381.48	52.95 W	0.00	20 of 20 - Sale ²⁵
02/05/21	2,312.777	7,663.20	Various	8,902.69	1,239.49 W	0.00	Total of 20 transactions
	13 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	0.878	4.21	02/23/21	3.79	...	0.42	1 of 13 - Sale ²⁵
	1.552	7.46	02/23/21	6.94	...	0.52	2 of 13 - Sale ²⁵
	7.042	33.87	02/23/21	31.55	...	2.32	3 of 13 - Sale ²⁵
	10.000	48.10	02/23/21	43.55	...	4.55	4 of 13 - Sale ²⁵
	35.483	170.30	02/23/21	153.43	...	16.87	5 of 13 - Sale ²⁵
	40.011	192.03	02/23/21	173.01	...	19.02	6 of 13 - Sale ²⁵
	48.291	231.77	02/23/21	208.81	...	22.96	7 of 13 - Sale ²⁵
	100.000	479.99	02/23/21	448.02	...	31.97	8 of 13 - Sale ²⁵
	100.000	480.99	02/23/21	448.02	...	32.97	9 of 13 - Sale ²⁵
	100.000	479.93	02/23/21	432.41	...	47.52	10 of 13 - Sale ²⁵
	180.586	868.57	02/23/21	809.06	...	59.51	11 of 13 - Sale ²⁵
	198.932	954.75	02/23/21	891.25	...	63.50	12 of 13 - Sale ²⁵
	964.000	4,626.59	02/23/21	4,318.90	...	307.69	13 of 13 - Sale ²⁵
02/24/21	1,786.774	8,578.56	Various	7,968.74	...	609.82	Total of 13 transactions
02/24/21	0.820	3.95	02/23/21	4.09	0.14 W	0.00	Sale ²⁵
	14 transactions for 03/04/21. Total proceeds and cost reported to the IRS.						
	387.225	1,808.70	03/03/21	2,128.79	190.40 W	-129.69	1 of 14 - Sale ²⁵
	0.889	4.15	03/03/21	4.88	0.73 W	0.00	2 of 14 - Sale ²⁵
	50.000	233.55	03/03/21	274.64	41.09 W	0.00	3 of 14 - Sale ²⁵
	50.889	237.70	03/03/21	279.53	41.83 W	0.00	4 of 14 - Sale ²⁵
	64.517	301.36	03/03/21	354.39	53.03 W	0.00	5 of 14 - Sale ²⁵
	99.111	462.94	03/03/21	544.42	81.48 W	0.00	6 of 14 - Sale ²⁵
	99.111	462.94	03/03/21	544.41	81.47 W	0.00	7 of 14 - Sale ²⁵
	100.000	467.10	03/03/21	549.29	82.19 W	0.00	8 of 14 - Sale ²⁵
	100.000	467.09	03/03/21	549.29	82.20 W	0.00	9 of 14 - Sale ²⁵
	100.000	467.10	03/03/21	549.29	82.19 W	0.00	10 of 14 - Sale ²⁵

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Robinhood Securities LLC

Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol: (cont'd)							
	100.000	467.10	03/03/21	549.29	82.19 W	0.00	11 of 14 - Sale ²⁵
	200.000	934.19	03/03/21	1,098.60	164.41 W	0.00	12 of 14 - Sale ²⁵
	200.000	934.19	03/03/21	1,098.60	164.41 W	0.00	13 of 14 - Sale ²⁵
	200.000	934.19	03/03/21	1,098.60	164.41 W	0.00	14 of 14 - Sale ²⁵
03/04/21	1,751.742	8,182.30	Various	9,624.02	1,312.03 W	-129.69	Total of 14 transactions
	Security total:	88,911.21		84,106.47	5,220.24 W	10,024.98	
VIVOS THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 92859E108 / Symbol:							
10 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	45.797	285.07	12/22/20	329.42	...	-44.35	1 of 10 - Sale ²⁵
	31.586	196.61	12/28/20	216.68	...	-20.07	2 of 10 - Sale ²⁵
	4.918	30.61	12/28/20	33.76	...	-3.15	3 of 10 - Sale ²⁵
	13.712	85.49	12/29/20	112.60	...	-27.11	4 of 10 - Sale ²⁵
	11.221	69.86	12/29/20	74.60	...	-4.74	5 of 10 - Sale ²⁵
	2.736	17.03	12/29/20	18.19	...	-1.16	6 of 10 - Sale ²⁵
	0.067	0.42	12/29/20	0.55	...	-0.13	7 of 10 - Sale ²⁵
	100.000	623.47	12/30/20	778.89	...	-155.42	8 of 10 - Sale ²⁵
	8.000	49.88	12/30/20	61.71	...	-11.83	9 of 10 - Sale ²⁵
	0.221	1.38	12/30/20	1.71	...	-0.33	10 of 10 - Sale ²⁵
01/04/21	218.258	1,359.82	Various	1,628.11	...	-268.29	Total of 10 transactions
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	122.550	762.83	12/21/20	954.48	190.69 W	-0.96	1 of 2 - Sale ²⁵
	0.067	0.42	12/21/20	0.52	0.10 W	0.00	2 of 2 - Sale ²⁵
01/04/21	122.617	763.25	Various	955.00	190.79 W	-0.96	Total of 2 transactions
	Security total:	2,123.07		2,583.11	190.79 W	-269.25	
VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V100 / Symbol:							
33 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1,425.000	3,776.42	01/25/21	3,975.75	...	-199.33	1 of 33 - Sale ²⁵
	300.000	795.04	01/25/21	837.00	...	-41.96	2 of 33 - Sale ²⁵
	158.000	418.72	01/25/21	458.72	...	-40.00	3 of 33 - Sale ²⁵
	158.000	418.72	01/25/21	458.71	...	-39.99	4 of 33 - Sale ²⁵
	200.000	530.02	01/25/21	558.00	...	-27.98	5 of 33 - Sale ²⁵
	200.000	530.02	01/25/21	558.00	...	-27.98	6 of 33 - Sale ²⁵

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Account 840113617

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(continued)

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VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V100 / Symbol: (cont'd)							
	200.000	530.03	01/25/21	558.00	...	-27.97	7 of 33 - Sale ²⁵
	200.000	530.03	01/25/21	558.00	...	-27.97	8 of 33 - Sale ²⁵
	103.000	272.96	01/25/21	300.07	...	-27.11	9 of 33 - Sale ²⁵
	39.000	103.35	01/25/21	127.73	...	-24.38	10 of 33 - Sale ²⁵
	48.000	127.21	01/25/21	151.27	...	-24.06	11 of 33 - Sale ²⁵
	32.000	84.80	01/25/21	105.13	...	-20.33	12 of 33 - Sale ²⁵
	50.000	132.51	01/25/21	151.42	...	-18.91	13 of 33 - Sale ²⁵
	30.000	79.50	01/25/21	98.25	...	-18.75	14 of 33 - Sale ²⁵
	34.000	90.10	01/25/21	107.15	...	-17.05	15 of 33 - Sale ²⁵
	100.000	265.01	01/25/21	279.00	...	-13.99	16 of 33 - Sale ²⁵
	100.000	265.01	01/25/21	279.00	...	-13.99	17 of 33 - Sale ²⁵
	100.000	265.01	01/25/21	279.00	...	-13.99	18 of 33 - Sale ²⁵
	22.000	58.30	01/25/21	72.03	...	-13.73	19 of 33 - Sale ²⁵
	42.000	111.31	01/25/21	121.94	...	-10.63	20 of 33 - Sale ²⁵
	42.000	111.31	01/25/21	121.94	...	-10.63	21 of 33 - Sale ²⁵
	42.000	111.31	01/25/21	121.94	...	-10.63	22 of 33 - Sale ²⁵
	15.000	39.75	01/25/21	49.12	...	-9.37	23 of 33 - Sale ²⁵
	60.000	159.01	01/25/21	167.40	...	-8.39	24 of 33 - Sale ²⁵
	13.000	34.45	01/25/21	40.97	...	-6.52	25 of 33 - Sale ²⁵
	40.000	106.01	01/25/21	111.60	...	-5.59	26 of 33 - Sale ²⁵
	7.000	18.55	01/25/21	23.00	...	-4.45	27 of 33 - Sale ²⁵
	13.000	34.45	01/25/21	37.74	...	-3.29	28 of 33 - Sale ²⁵
	20.290	53.77	01/25/21	56.61	...	-2.84	29 of 33 - Sale ²⁵
	3.000	7.95	01/25/21	9.85	...	-1.90	30 of 33 - Sale ²⁵
	3.000	7.95	01/25/21	9.82	...	-1.87	31 of 33 - Sale ²⁵
	2.000	5.30	01/25/21	6.56	...	-1.26	32 of 33 - Sale ²⁵
	2.000	5.30	01/25/21	6.31	...	-1.01	33 of 33 - Sale ²⁵
01/25/21	3,803.290	10,079.18	Various	10,797.03	...	-717.85	Total of 33 transactions
	30 transactions for 01/25/21. Total proceeds and cost reported to the IRS.						
	2.000	5.31	01/25/21	5.56	0.25 W	0.00	1 of 30 - Sale ²⁵
	198.000	526.09	01/25/21	550.44	24.35 W	0.00	2 of 30 - Sale ²⁵
	2.000	5.31	01/25/21	5.81	0.50 W	0.00	3 of 30 - Sale ²⁵
	50.000	132.85	01/25/21	139.00	6.15 W	0.00	4 of 30 - Sale ²⁵
	148.000	392.91	01/25/21	429.64	36.73 W	0.00	5 of 30 - Sale ²⁵

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Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V100 / Symbol: (cont'd)							
	2.000	5.31	01/25/21	6.06	0.75 W	0.00	6 of 30 - Sale ²⁵
	48.000	127.43	01/25/21	139.34	11.91 W	0.00	7 of 30 - Sale ²⁵
	50.000	132.74	01/25/21	145.15	12.41 W	0.00	8 of 30 - Sale ²⁵
	2.000	5.31	01/25/21	5.81	0.50 W	0.00	9 of 30 - Sale ²⁵
	50.000	132.74	01/25/21	139.00	6.26 W	0.00	10 of 30 - Sale ²⁵
	25.000	66.43	01/25/21	75.70	9.27 W	0.00	11 of 30 - Sale ²⁵
	54.000	143.45	01/25/21	163.52	20.07 W	0.00	12 of 30 - Sale ²⁵
	30.000	79.70	01/25/21	90.85	11.15 W	0.00	13 of 30 - Sale ²⁵
	39.000	103.61	01/25/21	118.10	14.49 W	0.00	14 of 30 - Sale ²⁵
	2.000	5.31	01/25/21	6.31	1.00 W	0.00	15 of 30 - Sale ²⁵
	48.000	127.52	01/25/21	145.35	17.83 W	0.00	16 of 30 - Sale ²⁵
	50.000	132.84	01/25/21	151.41	18.57 W	0.00	17 of 30 - Sale ²⁵
	2.000	5.31	01/25/21	6.06	0.75 W	0.00	18 of 30 - Sale ²⁵
	22.000	58.45	01/25/21	69.32	10.87 W	0.00	19 of 30 - Sale ²⁵
	50.000	132.84	01/25/21	145.26	12.42 W	0.00	20 of 30 - Sale ²⁵
	3.000	7.97	01/25/21	9.45	1.48 W	0.00	21 of 30 - Sale ²⁵
	30.000	79.70	01/25/21	94.55	14.85 W	0.00	22 of 30 - Sale ²⁵
	54.000	143.46	01/25/21	170.19	26.73 W	0.00	23 of 30 - Sale ²⁵
	13.000	34.54	01/25/21	36.14	1.60 W	0.00	24 of 30 - Sale ²⁵
	200.000	531.34	01/25/21	556.00	24.66 W	0.00	25 of 30 - Sale ²⁵
	200.000	531.35	01/25/21	556.00	24.65 W	0.00	26 of 30 - Sale ²⁵
	145.000	385.22	01/25/21	403.10	17.88 W	0.00	27 of 30 - Sale ²⁵
	7.000	18.59	01/25/21	22.06	3.47 W	0.00	28 of 30 - Sale ²⁵
	3.000	7.97	01/25/21	9.45	1.48 W	0.00	29 of 30 - Sale ²⁵
	32.000	85.00	01/25/21	100.85	15.85 W	0.00	30 of 30 - Sale ²⁵
01/25/21	1,561.000	4,146.60	Various	4,495.48	348.88 W	0.00	Total of 30 transactions
	Security total:	14,225.78		15,292.51	348.88 W	-717.85	

ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:

4 transactions for 01/13/21. Total proceeds and cost reported to the IRS.

	2.000	2.00	01/11/21	1.79	...	0.21	1 of 4 - Sale ²⁵
	34.000	34.00	01/11/21	33.18	...	0.82	2 of 4 - Sale ²⁵
	60.000	60.00	01/11/21	54.17	...	5.83	3 of 4 - Sale ²⁵

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(continued)

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	780.000	779.97	01/11/21	760.58	...	19.39	4 of 4 - Sale ²⁵
01/13/21	876.000	875.97	Various	849.72	...	26.25	Total of 4 transactions
	3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	0.288	0.65	02/08/21	0.62	...	0.03	1 of 3 - Sale ²⁵
	1,577.225	3,534.45	02/08/21	3,421.00	...	113.45	2 of 3 - Sale ²⁵
	1,395.775	3,127.84	02/08/21	2,999.38	...	128.46	3 of 3 - Sale ²⁵
02/09/21	2,973.288	6,662.94	Various	6,421.00	...	241.94	Total of 3 transactions
	3 transactions for 04/09/21. Total proceeds and cost reported to the IRS.						
	3,738.441	4,825.85	03/18/21	8,107.93	...	-3,282.08	1 of 3 - Sale ²⁵
	21.000	27.11	03/18/21	45.36	...	-18.25	2 of 3 - Sale ²⁵
	0.035	0.04	03/18/21	0.07	...	-0.03	3 of 3 - Sale ²⁵
04/09/21	3,759.475	4,853.00	Various	8,153.36	...	-3,300.36	Total of 3 transactions
	Security total:	12,391.91		15,424.08	...	-3,032.17	
LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol:							
	2 transactions for 03/01/21. Total proceeds and cost reported to the IRS.						
	0.701	1.25	02/26/21	1.17	...	0.08	1 of 2 - Sale ²⁵
	5,051.923	8,993.79	02/26/21	8,487.23	...	506.56	2 of 2 - Sale ²⁵
03/01/21	5,052.624	8,995.04	Various	8,488.40	...	506.64	Total of 2 transactions
OBSEVA SA ORDINARY SHARES / CUSIP: H5861P103 / Symbol:							
	2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	2.485	12.17	01/19/21	12.10	...	0.07	1 of 2 - Sale ²⁵
	2,051.282	10,042.60	01/19/21	9,987.90	...	54.70	2 of 2 - Sale ²⁵
01/19/21	2,053.767	10,054.77	Various	10,000.00	...	54.77	Total of 2 transactions
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
	4 transactions for 03/10/21. Total proceeds and cost reported to the IRS.						
	0.163	0.16	03/10/21	0.17	0.01 W	0.00	1 of 4 - Sale ²⁵
	2.456	2.38	03/10/21	2.51	0.13 W	0.00	2 of 4 - Sale ²⁵
	0.163	0.16	03/10/21	0.18	0.02 W	0.00	3 of 4 - Sale ²⁵
	7,733.380	7,503.51	03/10/21	7,963.06	459.55 W	0.00	4 of 4 - Sale ²⁵
03/10/21	7,736.163	7,506.21	Various	7,965.92	459.71 W	0.00	Total of 4 transactions

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Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
<i>6 transactions for 03/17/21. Total proceeds and cost reported to the IRS.</i>							
	7,733.380	7,286.98	03/12/21	8,270.27	...	-983.29	1 of 6 - Sale ²⁵
	1,079.149	1,016.86	03/12/21	1,089.94	...	-73.08	2 of 6 - Sale ²⁵
	1.964	1.85	03/12/21	2.08	...	-0.23	3 of 6 - Sale ²⁵
	0.343	0.32	03/12/21	0.39	...	-0.07	4 of 6 - Sale ²⁵
	0.163	0.15	03/12/21	0.18	...	-0.03	5 of 6 - Sale ²⁵
	0.149	0.14	03/12/21	0.16	...	-0.02	6 of 6 - Sale ²⁵
03/17/21	8,815.149	8,306.30	Various	9,363.02	...	-1,056.72	Total of 6 transactions
03/17/21	0.343	0.32	03/12/21	0.36	0.04 W	0.00	Sale ²⁵
<i>2 transactions for 09/10/21. Total proceeds and cost reported to the IRS.</i>							
	700.000	442.31	09/08/21	424.06	...	18.25	1 of 2 - Sale ²⁵
	15,400.000	9,730.91	09/08/21	9,335.48	...	395.43	2 of 2 - Sale ²⁵
09/10/21	16,100.000	10,173.22	Various	9,759.54	...	413.68	Total of 2 transactions
<i>5 transactions for 09/22/21. Total proceeds and cost reported to the IRS.</i>							
	16,000.000	9,242.85	09/13/21	9,656.00	...	-413.15	1 of 5 - Sale ²⁵
	900.000	519.91	09/13/21	541.71	...	-21.80	2 of 5 - Sale ²⁵
	48.000	27.72	09/13/21	28.95	...	-1.23	3 of 5 - Sale ²⁵
	2.000	1.16	09/13/21	1.21	...	-0.05	4 of 5 - Sale ²⁵
	1.000	0.58	09/13/21	0.62	...	-0.04	5 of 5 - Sale ²⁵
09/22/21	16,951.000	9,792.22	Various	10,228.49	...	-436.27	Total of 5 transactions
	Security total:	35,778.27		37,317.33	459.75 W	-1,079.31	
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
01/14/21	110.000	39.86	01/13/21	40.71	...	-0.85	Sale ²⁵
01/14/21	2,253.000	816.43	01/13/21	833.61	15.25 W	-1.93	Sale ²⁵
02/08/21	2,000.000	1,795.52	02/08/21	1,850.85	55.33 W	0.00	Sale ²⁵
<i>9 transactions for 02/23/21. Total proceeds and cost reported to the IRS.</i>							
	0.379	0.33	02/19/21	0.48	0.15 W	0.00	1 of 9 - Sale ²⁵
	1,999.621	1,708.40	02/19/21	2,554.85	846.45 W	0.00	2 of 9 - Sale ²⁵
	500.000	427.18	02/19/21	625.00	197.82 W	0.00	3 of 9 - Sale ²⁵
	0.379	0.32	02/19/21	0.62	0.30 W	0.00	4 of 9 - Sale ²⁵
	1,404.621	1,200.05	02/19/21	1,755.78	555.73 W	0.00	5 of 9 - Sale ²⁵
	95.000	81.16	02/19/21	118.75	37.59 W	0.00	6 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	0.098	0.08	02/19/21	0.12	0.04 W	0.00	7 of 9 - Sale ²⁵
	3,023.281	2,582.98	02/19/21	3,866.17	1,283.19 W	0.00	8 of 9 - Sale ²⁵
	910.000	777.54	02/19/21	1,548.92	771.38 W	0.00	9 of 9 - Sale ²⁵
02/23/21	7,933.379	6,778.04	Various	10,470.69	3,692.65 W	0.00	Total of 9 transactions
	17 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	0.706	0.71	02/24/21	1.08	0.37 W	0.00	1 of 17 - Sale ²⁵
	0.121	0.12	02/24/21	0.23	0.11 W	0.00	2 of 17 - Sale ²⁵
	0.259	0.26	02/24/21	0.49	0.23 W	0.00	3 of 17 - Sale ²⁵
	19.741	19.74	02/24/21	38.65	18.91 W	0.00	4 of 17 - Sale ²⁵
	200.000	199.98	02/24/21	391.51	191.53 W	0.00	5 of 17 - Sale ²⁵
	400.000	399.95	02/24/21	783.03	383.08 W	0.00	6 of 17 - Sale ²⁵
	290.259	290.22	02/24/21	568.20	277.98 W	0.00	7 of 17 - Sale ²⁵
	0.706	0.71	02/24/21	1.15	0.44 W	0.00	8 of 17 - Sale ²⁵
	1,088.915	1,088.78	02/24/21	1,669.53	580.75 W	0.00	9 of 17 - Sale ²⁵
	0.098	0.10	02/24/21	0.15	0.05 W	0.00	10 of 17 - Sale ²⁵
	95.000	94.99	02/24/21	143.03	48.04 W	0.00	11 of 17 - Sale ²⁵
	500.000	499.94	02/24/21	752.77	252.83 W	0.00	12 of 17 - Sale ²⁵
	1,404.621	1,404.44	02/24/21	2,114.72	710.28 W	0.00	13 of 17 - Sale ²⁵
	1,917.402	1,917.16	02/24/21	2,941.94	1,024.78 W	0.00	14 of 17 - Sale ²⁵
	200.000	199.98	02/24/21	306.87	106.89 W	0.00	15 of 17 - Sale ²⁵
	905.879	905.77	02/24/21	1,389.92	484.15 W	0.00	16 of 17 - Sale ²⁵
	617.121	617.04	02/24/21	684.94	67.90 W	0.00	17 of 17 - Sale ²⁵
02/25/21	7,640.827	7,639.89	Various	11,788.21	4,148.32 W	0.00	Total of 17 transactions
	27 transactions for 03/03/21. Total proceeds and cost reported to the IRS.						
	1,917.402	1,888.59	03/01/21	2,980.53	...	-1,091.94	1 of 27 - Sale ²⁵
	1,404.621	1,383.52	03/01/21	2,143.00	...	-759.48	2 of 27 - Sale ²⁵
	1,088.915	1,072.55	03/01/21	1,691.44	...	-618.89	3 of 27 - Sale ²⁵
	905.879	892.27	03/01/21	1,408.15	...	-515.88	4 of 27 - Sale ²⁵
	500.000	492.49	03/01/21	762.83	...	-270.34	5 of 27 - Sale ²⁵
	200.000	196.99	03/01/21	310.89	...	-113.90	6 of 27 - Sale ²⁵
	109.820	108.17	03/01/21	217.19	...	-109.02	7 of 27 - Sale ²⁵
	100.000	98.54	03/01/21	197.77	...	-99.23	8 of 27 - Sale ²⁵
	100.000	98.54	03/01/21	197.77	...	-99.23	9 of 27 - Sale ²⁵
	100.000	98.54	03/01/21	197.77	...	-99.23	10 of 27 - Sale ²⁵

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Robinhood Securities LLC

Account 840113617

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	100.000	98.54	03/01/21	197.77	...	-99.23	11 of 27 - Sale ²⁵
	100.000	98.54	03/01/21	197.76	...	-99.22	12 of 27 - Sale ²⁵
	617.121	607.85	03/01/21	697.36	...	-89.51	13 of 27 - Sale ²⁵
	80.438	79.26	03/01/21	159.08	...	-79.82	14 of 27 - Sale ²⁵
	80.438	79.26	03/01/21	159.08	...	-79.82	15 of 27 - Sale ²⁵
	80.438	79.29	03/01/21	159.08	...	-79.79	16 of 27 - Sale ²⁵
	95.000	93.57	03/01/21	144.94	...	-51.37	17 of 27 - Sale ²⁵
	1,178.438	1,160.73	03/01/21	1,202.01	...	-41.28	18 of 27 - Sale ²⁵
	19.562	19.28	03/01/21	38.69	...	-19.41	19 of 27 - Sale ²⁵
	19.562	19.28	03/01/21	38.69	...	-19.41	20 of 27 - Sale ²⁵
	19.454	19.17	03/01/21	38.48	...	-19.31	21 of 27 - Sale ²⁵
	0.706	0.70	03/01/21	1.16	...	-0.46	22 of 27 - Sale ²⁵
	0.288	0.29	03/01/21	0.57	...	-0.28	23 of 27 - Sale ²⁵
	0.259	0.25	03/01/21	0.49	...	-0.24	24 of 27 - Sale ²⁵
	0.108	0.11	03/01/21	0.21	...	-0.10	25 of 27 - Sale ²⁵
	0.098	0.10	03/01/21	0.15	...	-0.05	26 of 27 - Sale ²⁵
	0.012	0.01	03/01/21	0.02	...	-0.01	27 of 27 - Sale ²⁵
03/03/21	8,818.559	8,686.43	Various	13,142.88	...	-4,456.45	Total of 27 transactions
03/03/21	0.108	0.11	03/01/21	0.21	0.10 W	0.00	Sale ²⁵
	Security total:	25,756.28		38,127.16	7,911.65 W	-4,459.23	
Totals :		816,407.27		837,271.07	36,649.60 W	15,785.80	

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Robinhood Securities LLC

Account 840113617

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		10/25/21	-5.00	Margin interest paid	
		10/25/21	-5.66	Margin interest paid	
		11/23/21	-5.00	Margin interest paid	
		11/23/21	-24.17	Margin interest paid	
		12/23/21	-5.00	Margin interest paid	
		12/23/21	-26.71	Margin interest paid	
			-71.54	Total Margin interest paid	
			-71.54	Total Margin interest paid	

Robinhood Securities LLC

Account 840113617

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ASENSUS SURGICAL, INC.	04367G103	03/04/21	1,921.22	0.00	Exchange	
TRANSENTERIX INC.	89366M300	03/04/21	-1,921.22	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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