

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 161360664	Statement Date: 02/11/2022 Document ID: M38C 917 1U68	2021
	Ajay Rathor 1822 Burnham St, West Columbia, SC 29169 RECIPIENT'S TIN: XXX-XX-1788		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 14.83</p> <p>1b- Qualified dividends 6.70</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 7- Foreign tax paid: 1.15</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	29,509.11	26,817.51	0.00	105.36	2,796.96
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	7.96	19.74	0.00	0.00	-11.78
	Total Short-term	29,517.07	26,837.25	0.00	105.36	2,785.18
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	29,517.07	26,837.25	0.00	105.36	2,785.18
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GSAT 11/19/2021 CALL \$1.50 / CUSIP: / Symbol:							
11/03/21	2.000	49.98	11/01/21	60.00	...	-10.02	Option sale to close-call ²⁵
MRK 11/05/2021 CALL \$92.00 / CUSIP: / Symbol:							
11/05/21	4.000	0.00	11/04/21	132.00	...	-132.00	Option expiration- long call
MRK 11/12/2021 CALL \$83.00 / CUSIP: / Symbol:							
11/08/21	1.000	43.98	11/05/21	111.00	...	-67.02	Option sale to close-call ²⁵
OCGN 10/29/2021 CALL \$12.00 / CUSIP: / Symbol:							
	2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	1.000	58.98	10/25/21	41.00	...	17.98	1 of 2 - Option sale to close-call ²⁵
	1.000	63.98	10/25/21	41.00	...	22.98	2 of 2 - Option sale to close-call ²⁵
10/26/21	2.000	122.96	Various	82.00	...	40.96	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCGN 11/05/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	2.000	37.98	10/29/21	586.00	...	-548.02	1 of 2 - Option sale to close-call ²⁵
	1.000	18.98	10/29/21	293.00	...	-274.02	2 of 2 - Option sale to close-call ²⁵
11/05/21	3.000	56.96	Various	879.00	...	-822.04	Total of 2 transactions
OCGN 11/05/2021 CALL \$11.00 / CUSIP: / Symbol:							
3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	222.98	10/29/21	226.00	...	-3.02	1 of 3 - Option sale to close-call ²⁵
	1.000	222.98	10/29/21	226.00	...	-3.02	2 of 3 - Option sale to close-call ²⁵
	1.000	222.98	10/29/21	226.00	...	-3.02	3 of 3 - Option sale to close-call ²⁵
10/29/21	3.000	668.94	Various	678.00	...	-9.06	Total of 3 transactions
OCGN 11/12/2021 CALL \$9.00 / CUSIP: / Symbol:							
11/12/21	2.000	3.98	11/05/21	370.00	...	-366.02	Option sale to close-call ²⁵
PFE 10/29/2021 CALL \$43.00 / CUSIP: / Symbol:							
10/29/21	1.000	55.98	10/27/21	81.00	...	-25.02	Option sale to close-call ²⁵
SNDL 12/23/2021 CALL \$0.50 / CUSIP: / Symbol:							
11/30/21	3.000	41.98	11/24/21	57.00	...	-15.02	Option sale to close-call ²⁵
XELA 11/19/2021 CALL \$1.00 / CUSIP: / Symbol:							
3 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	103.99	10/25/21	89.00	...	14.99	1 of 3 - Option sale to close-call ²⁵
	1.000	104.00	10/25/21	89.00	...	15.00	2 of 3 - Option sale to close-call ²⁵
	1.000	103.99	10/25/21	56.00	...	47.99	3 of 3 - Option sale to close-call ²⁵
11/03/21	3.000	311.98	Various	234.00	...	77.98	Total of 3 transactions
XELA 12/17/2021 CALL \$1.00 / CUSIP: / Symbol:							
11/18/21	3.000	164.98	11/17/21	177.00	...	-12.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	50.000	343.09	01/26/21	336.50	...	6.59	1 of 2 - Sale ²⁵
	50.000	343.08	02/02/21	336.50	...	6.58	2 of 2 - Sale ²⁵
02/23/21	100.000	686.17	Various	673.00	...	13.17	Total of 2 transactions
4 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	6.000	62.77	02/25/21	46.38	...	16.39	1 of 4 - Sale ²⁵
	50.000	523.08	02/25/21	497.25	...	25.83	2 of 4 - Sale ²⁵
	5.000	52.31	03/04/21	39.44	...	12.87	3 of 4 - Sale ²⁵
	5.000	52.31	03/04/21	38.99	...	13.32	4 of 4 - Sale ²⁵
03/11/21	66.000	690.47	Various	622.06	...	68.41	Total of 4 transactions
	Security total:	1,376.64		1,295.06	...	81.58	
ACADIA PHARMACEUTICALS INC. CO MMON STOCK / CUSIP: 004225108 / Symbol:							
06/07/21	5.000	128.13	03/09/21	119.95	...	8.18	Sale ²⁵
12/10/21	10.000	226.75	03/09/21	239.90	...	-13.15	Sale ²⁵
	Security total:	354.88		359.85	...	-4.97	
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
01/08/21	250.000	142.47	12/31/20	80.39	...	62.08	Sale ²⁵
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K873 / Symbol:							
6 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	12.500	31.00	01/15/21	60.73	...	-29.73	1 of 6 - Sale ²⁵
	6.250	15.50	01/15/21	29.50	...	-14.00	2 of 6 - Sale ²⁵
	6.250	15.50	04/12/21	25.33	...	-9.83	3 of 6 - Sale ²⁵
	6.250	15.50	05/03/21	24.09	...	-8.59	4 of 6 - Sale ²⁵
	6.250	15.50	05/04/21	21.87	...	-6.37	5 of 6 - Sale ²⁵
	2.500	6.20	05/06/21	8.38	...	-2.18	6 of 6 - Sale ²⁵
09/03/21	40.000	99.20	Various	169.90	...	-70.70	Total of 6 transactions
ACORDA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00484M601 / Symbol:							
04/21/21	17.000	76.50	12/11/20	74.76	...	1.74	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAMIS PHARMACEUTICALS CORPORATION COMMON STOCK / CUSIP: 00547W208 / Symbol:							
6 transactions for 08/25/21. Total proceeds and cost reported to the IRS.							
	25.000	28.36	01/22/21	45.75	...	-17.39	1 of 6 - Sale ²⁵
	20.000	22.69	01/29/21	22.80	...	-0.11	2 of 6 - Sale ²⁵
	50.000	56.73	02/10/21	74.00	...	-17.27	3 of 6 - Sale ²⁵
	20.000	22.69	03/04/21	17.66	...	5.03	4 of 6 - Sale ²⁵
	50.000	56.72	03/24/21	46.83	...	9.89	5 of 6 - Sale ²⁵
	25.000	28.36	05/03/21	19.77	...	8.59	6 of 6 - Sale ²⁵
08/25/21	190.000	215.55	Various	226.81	...	-11.26	Total of 6 transactions
AETERNA ZENTARIS INC. COMMON STOCK / CUSIP: 007975402 / Symbol:							
02/04/21	250.000	263.72	01/26/21	169.80	...	93.92	Sale ²⁵
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTS EIGHT ORDINARY SHARES / CUSIP: 01609W102 / Symbol:							
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	1.000	230.16	12/24/20	256.05	...	-25.89	1 of 2 - Sale ²⁵
	1.000	230.15	12/24/20	221.35	...	8.80	2 of 2 - Sale ²⁵
01/06/21	2.000	460.31	Various	477.40	...	-17.09	Total of 2 transactions
01/06/21	1.000	229.33	12/07/20	264.30	34.97 W	0.00	Sale ²⁵
	Security total:	689.64		741.70	34.97 W	-17.09	
ALLOGENE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 019770106 / Symbol:							
01/27/21	2.000	74.77	12/07/20	57.09	...	17.68	Sale ²⁵
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
01/27/21	2.000	32.97	10/16/20	24.74	...	8.23	Sale ²⁵
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	5.000	41.00	12/16/20	42.90	...	-1.90	1 of 2 - Sale ²⁵
	3.000	24.60	12/31/20	20.78	...	3.82	2 of 2 - Sale ²⁵
01/06/21	8.000	65.60	Various	63.68	...	1.92	Total of 2 transactions
ARCTURUS THERAPEUTICS HOLDINGS INC. COMMON STOCK / CUSIP: 03969T109 / Symbol:							
02/01/21	10.000	799.98	12/29/20	437.25	...	362.73	Sale ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATHENEX, INC. COMMON STOCK / CUSIP: 04685N103 / Symbol:							
2 transactions for 05/12/21. Total proceeds and cost reported to the IRS.							
	9.000	44.47	03/03/21	43.20	...	1.27	1 of 2 - Sale ²⁵
	50.000	247.08	03/03/21	245.25	...	1.83	2 of 2 - Sale ²⁵
05/12/21	59.000	291.55	Various	288.45	...	3.10	Total of 2 transactions
06/03/21	1.000	4.71	03/03/21	4.80	...	-0.09	Sale ²⁵
	Security total:	296.26		293.25	...	3.01	
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
01/25/21	100.000	259.99	12/11/20	86.41	...	173.58	Sale ²⁵
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol:							
01/05/21	75.000	54.00	01/04/21	43.36	...	10.64	Sale ²⁵
BIOCARDIA, INC. COMMON STOCK / CUSIP: 09060U507 / Symbol:							
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	10.000	41.46	12/17/20	42.50	...	-1.04	1 of 2 - Sale ²⁵
	5.000	20.73	12/30/20	16.88	...	3.85	2 of 2 - Sale ²⁵
05/28/21	15.000	62.19	Various	59.38	...	2.81	Total of 2 transactions
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
01/04/21	10.000	46.35	01/04/21	50.70	...	-4.35	Sale ²⁵
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
6 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	300.000	156.56	12/28/20	165.00	...	-8.44	1 of 6 - Sale ²⁵
	300.000	156.57	12/28/20	165.00	...	-8.43	2 of 6 - Sale ²⁵
	50.000	25.50	12/28/20	22.40	...	3.10	3 of 6 - Sale ²⁵
	100.000	52.19	12/28/20	44.40	...	7.79	4 of 6 - Sale ²⁵
	100.000	52.19	12/28/20	44.40	...	7.79	5 of 6 - Sale ²⁵
	150.000	78.28	12/28/20	67.19	...	11.09	6 of 6 - Sale ²⁵
01/08/21	1,000.000	521.29	Various	508.39	...	12.90	Total of 6 transactions
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
02/03/21	1.000	11.77	02/02/21	11.46	...	0.31	Sale ²⁵

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Robinhood Securities LLC

Account 161360664

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOMBARDIER INC. CLASS B SHARES (CANADA) / CUSIP: 097751200 / Symbol:							
<i>4 transactions for 04/19/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	75.04	01/22/21	60.63	...	14.41	1 of 4 - Sale ²⁵
	150.000	112.55	01/29/21	75.08	...	37.47	2 of 4 - Sale ²⁵
	100.000	75.04	02/10/21	57.48	...	17.56	3 of 4 - Sale ²⁵
	49.000	36.77	02/23/21	21.32	...	15.45	4 of 4 - Sale ²⁵
04/19/21	399.000	299.40	Various	214.51	...	84.89	Total of 4 transactions
05/14/21	1.000	0.73	02/23/21	0.43	...	0.30	Sale ²⁵
	Security total:	300.13		214.94	...	85.19	
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
<i>2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	10.05	11/06/20	6.95	...	3.10	1 of 2 - Sale ²⁵
	10.000	10.05	11/06/20	6.92	...	3.13	2 of 2 - Sale ²⁵
01/11/21	20.000	20.10	Various	13.87	...	6.23	Total of 2 transactions
CARA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 140755109 / Symbol:							
08/26/21	0.073	1.00	08/23/21	1.30	...	-0.30	Sale ²⁵
08/30/21	6.927	103.47	08/23/21	122.95	...	-19.48	Sale ²⁵
	Security total:	104.47		124.25	...	-19.78	
CARDIFF ONCOLOGY, INC. COMMON STOCK / CUSIP: 14147L108 / Symbol:							
<i>5 transactions for 09/09/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	41.75	01/15/21	68.40	...	-26.65	1 of 5 - Sale ²⁵
	2.000	16.70	01/20/21	21.20	...	-4.50	2 of 5 - Sale ²⁵
	3.000	25.05	01/25/21	31.20	...	-6.15	3 of 5 - Sale ²⁵
	5.000	41.76	07/15/21	30.05	...	11.71	4 of 5 - Sale ²⁵
	3.000	25.05	07/16/21	17.76	...	7.29	5 of 5 - Sale ²⁵
09/09/21	18.000	150.31	Various	168.61	...	-18.30	Total of 5 transactions
CHEMOCENTRYX, INC. COMMON STOC K / CUSIP: 16383L106 / Symbol:							
06/09/21	14.000	154.88	05/07/21	153.02	...	1.86	Sale ²⁵
07/09/21	1.000	16.79	05/07/21	10.93	...	5.86	Sale ²⁵
	Security total:	171.67		163.95	...	7.72	

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Robinhood Securities LLC

Account 161360664

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
<i>2 transactions for 03/25/21. Total proceeds and cost reported to the IRS.</i>							
	8.000	14.84	01/15/21	6.63	...	8.21	1 of 2 - Sale ²⁵
	140.000	259.68	01/15/21	116.06	...	143.62	2 of 2 - Sale ²⁵
03/25/21	148.000	274.52	Various	122.69	...	151.83	Total of 2 transactions
04/12/21	2.000	2.38	01/15/21	1.66	...	0.72	Sale ²⁵
	Security total:	276.90		124.35	...	152.55	
CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol:							
01/07/21	2.000	89.04	12/07/20	86.38	...	2.66	Sale ²⁵
CORBUS PHARMACEUTICALS HOLDING S, INC. COMMON STOCK / CUSIP: 21833P103 / Symbol:							
06/11/21	100.000	217.49	01/26/21	198.92	...	18.57	Sale ²⁵
CURO GROUP HOLDINGS CORP. / CUSIP: 23131L107 / Symbol:							
01/20/21	1.000	14.96	12/18/20	15.50	...	-0.54	Sale ²⁵
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol:							
01/04/21	10.000	46.15	10/26/20	41.40	...	4.75	Sale ²⁵
EHEALTH, INC. COMMON STOCK / CUSIP: 28238P109 / Symbol:							
04/12/21	3.000	215.37	01/29/21	149.91	...	65.46	Sale ²⁵
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol:							
<i>5 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	135.90	01/14/21	75.34	...	60.56	1 of 5 - Sale ²⁵
	36.000	163.08	01/14/21	90.41	...	72.67	2 of 5 - Sale ²⁵
	10.000	45.30	02/23/21	21.60	...	23.70	3 of 5 - Sale ²⁵
	4.000	18.12	03/04/21	6.47	...	11.65	4 of 5 - Sale ²⁵
	10.000	45.30	03/04/21	16.19	...	29.11	5 of 5 - Sale ²⁵
03/10/21	90.000	407.70	Various	210.01	...	197.69	Total of 5 transactions
<i>3 transactions for 06/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.19	03/04/21	1.62	...	0.57	1 of 3 - Sale ²⁵
	100.000	218.99	04/12/21	199.00	...	19.99	2 of 3 - Sale ²⁵
	9.000	19.71	05/13/21	12.20	...	7.51	3 of 3 - Sale ²⁵
06/29/21	110.000	240.89	Various	212.82	...	28.07	Total of 3 transactions

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Robinhood Securities LLC

Account 161360664

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
5 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	1.000	2.75	05/13/21	1.35	...	1.40	1 of 5 - Sale ²⁵
	50.000	137.49	06/25/21	66.50	...	70.99	2 of 5 - Sale ²⁵
	100.000	274.99	06/29/21	248.71	...	26.28	3 of 5 - Sale ²⁵
	50.000	137.49	06/29/21	102.96	...	34.53	4 of 5 - Sale ²⁵
	50.000	137.49	07/02/21	94.75	...	42.74	5 of 5 - Sale ²⁵
07/06/21	251.000	690.21	Various	514.27	...	175.94	Total of 5 transactions
07/06/21	100.000	274.99	06/30/21	279.62	4.63 W	0.00	Sale ²⁵
2 transactions for 07/13/21. Total proceeds and cost reported to the IRS.							
	50.000	218.54	07/12/21	153.92	...	64.62	1 of 2 - Sale ²⁵
	100.000	437.08	07/12/21	329.46	...	107.62	2 of 2 - Sale ²⁵
07/13/21	150.000	655.62	Various	483.38	...	172.24	Total of 2 transactions
5 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	50.000	93.58	09/29/21	97.46	...	-3.88	1 of 5 - Sale ²⁵
	10.000	18.72	09/29/21	19.50	...	-0.78	2 of 5 - Sale ²⁵
	10.000	18.72	09/29/21	19.49	...	-0.77	3 of 5 - Sale ²⁵
	50.000	93.58	10/12/21	84.40	...	9.18	4 of 5 - Sale ²⁵
	69.000	129.15	10/22/21	107.49	...	21.66	5 of 5 - Sale ²⁵
10/25/21	189.000	353.75	Various	328.34	...	25.41	Total of 5 transactions
10/25/21	10.000	18.72	07/19/21	30.00	1.13 W	-10.15	Sale ²⁵
	Security total:	2,641.88		2,058.44	5.76 W	589.20	

EXPRESS, INC. / CUSIP: 30219E103 / Symbol:

9 transactions for 03/11/21. Total proceeds and cost reported to the IRS.

	50.000	190.99	02/08/21	147.00	...	43.99	1 of 9 - Sale ²⁵
	50.000	190.99	02/09/21	143.75	...	47.24	2 of 9 - Sale ²⁵
	50.000	190.99	02/09/21	139.50	...	51.49	3 of 9 - Sale ²⁵
	25.000	95.50	02/10/21	71.88	...	23.62	4 of 9 - Sale ²⁵
	5.000	19.10	02/17/21	12.50	...	6.60	5 of 9 - Sale ²⁵
	10.000	38.20	02/17/21	24.30	...	13.90	6 of 9 - Sale ²⁵
	10.000	38.20	02/18/21	25.55	...	12.65	7 of 9 - Sale ²⁵
	10.000	38.19	02/23/21	23.38	...	14.81	8 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 161360664

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol: (cont'd)							
	9.000	34.38	03/03/21	22.03	...	12.35	9 of 9 - Sale ²⁵
03/11/21	219.000	836.54	Various	609.89	...	226.65	Total of 9 transactions
FINSERV ACQUISITION CORP. CLAS S A COMMON STOCK / CUSIP: 318085107 / Symbol:							
01/06/21	10.000	150.00	12/18/20	133.00	...	17.00	Sale ²⁵
FISKER INC. / CUSIP: 33813J106 / Symbol:							
01/27/21	3.000	50.77	01/26/21	47.88	...	2.89	Sale ²⁵
FOSSIL GROUP, INC. COMMON STOC K / CUSIP: 34988V106 / Symbol:							
01/25/21	50.000	605.98	12/14/20	506.91	...	99.07	Sale ²⁵
GAOTU TECHEDU INC. AMERICAN DE POSITARY SHARES, THREE OF WHIC H REPRESENTING TWO CLASS A ORD / CUSIP: 36257Y109 / Symbol:							
4 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	1.000	4.10	07/23/21	3.70	...	0.40	1 of 4 - Sale ²⁵
	10.000	41.05	07/23/21	37.40	...	3.65	2 of 4 - Sale ²⁵
	50.000	205.24	07/23/21	201.48	...	3.76	3 of 4 - Sale ²⁵
	10.000	41.05	07/23/21	37.10	...	3.95	4 of 4 - Sale ²⁵
10/11/21	71.000	291.44	Various	279.68	...	11.76	Total of 4 transactions
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
4 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	85.00	02/04/21	82.51	...	2.49	1 of 4 - Sale ²⁵
	1.000	84.38	02/04/21	63.86	...	20.52	2 of 4 - Sale ²⁵
	1.000	85.00	02/04/21	59.10	...	25.90	3 of 4 - Sale ²⁵
	3.000	254.98	02/04/21	153.00	...	101.98	4 of 4 - Sale ²⁵
02/05/21	6.000	509.36	Various	358.47	...	150.89	Total of 4 transactions
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	85.00	02/02/21	97.92	12.92 W	0.00	1 of 2 - Sale ²⁵
	1.000	85.00	02/02/21	90.74	5.74 W	0.00	2 of 2 - Sale ²⁵
02/05/21	2.000	170.00	Various	188.66	18.66 W	0.00	Total of 2 transactions

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(continued)

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	1.000	120.20	02/25/21	125.85	...	-5.65	1 of 2 - Sale ²⁵
	1.000	120.20	02/25/21	112.81	...	7.39	2 of 2 - Sale ²⁵
03/02/21	2.000	240.40	Various	238.66	...	1.74	Total of 2 transactions
03/02/21	1.000	120.44	02/25/21	131.59	11.15 W	0.00	Sale ²⁵
	Security total:	1,040.20		917.38	29.81 W	152.63	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
06/03/21	2.000	3.70	01/27/21	5.36	...	-1.66	Sale ²⁵
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
01/08/21	50.000	29.64	01/08/21	25.21	...	4.43	Sale ²⁵
01/08/21	250.000	148.22	01/07/21	152.25	1.13 W	-2.90	Sale ²⁵
4 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	10.000	13.90	01/14/21	9.43	...	4.47	1 of 4 - Sale ²⁵
	5.000	6.95	01/15/21	4.53	...	2.42	2 of 4 - Sale ²⁵
	20.000	27.80	01/15/21	17.52	...	10.28	3 of 4 - Sale ²⁵
	35.000	48.64	01/15/21	31.72	...	16.92	4 of 4 - Sale ²⁵
01/20/21	70.000	97.29	Various	63.20	...	34.09	Total of 4 transactions
	Security total:	275.15		240.66	1.13 W	35.62	
IRHYTHM TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 450056106 / Symbol:							
6 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	2.000	219.45	01/29/21	290.52	...	-71.07	1 of 6 - Sale ²⁵
	1.000	109.72	03/17/21	130.98	...	-21.26	2 of 6 - Sale ²⁵
	2.000	219.45	04/12/21	165.51	...	53.94	3 of 6 - Sale ²⁵
	1.000	109.72	06/03/21	61.93	...	47.79	4 of 6 - Sale ²⁵
	1.000	109.72	06/11/21	64.75	...	44.97	5 of 6 - Sale ²⁵
	1.000	109.73	06/15/21	63.10	...	46.63	6 of 6 - Sale ²⁵
11/04/21	8.000	877.79	Various	776.79	...	101.00	Total of 6 transactions

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2021 1099-B* OMB No. 1545-0715

(continued)

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
01/04/21	10.000	20.43	12/17/20	18.25	...	2.18	Sale ²⁵
01/14/21	200.000	616.18	01/07/21	473.50	...	142.68	Sale ²⁵
	Security total:	636.61		491.75	...	144.86	
INHIBIKASE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 45719W106 / Symbol:							
<i>2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	27.00	12/31/20	20.70	...	6.30	1 of 2 - Sale ²⁵
	2.000	18.00	01/15/21	13.94	...	4.06	2 of 2 - Sale ²⁵
02/02/21	5.000	45.00	Various	34.64	...	10.36	Total of 2 transactions
02/02/21	5.000	45.00	12/23/20	49.08	2.45 W	-1.63	Sale ²⁵
<i>2 transactions for 05/12/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	10.20	01/21/21	14.87	...	-4.67	1 of 2 - Sale ²⁵
	1.000	5.10	03/04/21	6.64	...	-1.54	2 of 2 - Sale ²⁵
05/12/21	3.000	15.30	Various	21.51	...	-6.21	Total of 2 transactions
	Security total:	105.30		105.23	2.45 W	2.52	
INSPIREMD, INC. / CUSIP: 45779A853 / Symbol:							
<i>3 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	114.10	01/13/21	66.93	...	47.17	1 of 3 - Sale ²⁵
	48.000	54.76	01/19/21	30.43	...	24.33	2 of 3 - Sale ²⁵
	100.000	114.10	01/19/21	52.31	...	61.79	3 of 3 - Sale ²⁵
02/22/21	248.000	282.96	Various	149.67	...	133.29	Total of 3 transactions
04/19/21	2.000	0.85	01/19/21	1.27	...	-0.42	Sale ²⁵
	Security total:	283.81		150.94	...	132.87	
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
01/04/21	1.000	49.82	10/23/20	48.00	...	1.82	Sale ²⁵
INTERNATIONAL CONSOLIDATED AIR LINES GROUP SA SPONSORED AMERICAN DEPOSITORY RECEIPT (SPAIN) / CUSIP: 459348108 / Symbol:							
03/05/21	20.000	118.40	12/07/20	90.40	...	28.00	Sale ²⁵

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Robinhood Securities LLC

Account 161360664

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ISORAY, INC. / CUSIP: 46489V104 / Symbol:							
3 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	5.000	8.76	01/08/21	7.23	...	1.53	1 of 3 - Sale ²⁵
	5.000	8.76	01/08/21	7.15	...	1.61	2 of 3 - Sale ²⁵
	20.000	35.06	01/08/21	28.00	...	7.06	3 of 3 - Sale ²⁵
01/11/21	30.000	52.58	Various	42.38	...	10.20	Total of 3 transactions
JUST ENERGY GROUP INC COMMON S TOCK (CANADA) / CUSIP: 48213W408 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	2.000	16.38	09/29/20	11.20	...	5.18	1 of 2 - Sale ²⁵
	2.000	16.39	09/29/20	11.20	...	5.19	2 of 2 - Sale ²⁵
01/25/21	4.000	32.77	Various	22.40	...	10.37	Total of 2 transactions
MERCK & CO., INC. / CUSIP: 58933Y105 / Symbol:							
04/12/21	8.000	610.47	02/08/21	597.72	...	12.75	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
7 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	144.41	12/15/20	149.74	...	-5.33	1 of 7 - Sale ²⁵
	1.000	144.40	12/17/20	139.97	...	4.43	2 of 7 - Sale ²⁵
	1.000	144.41	12/17/20	139.33	...	5.08	3 of 7 - Sale ²⁵
	1.000	144.41	12/22/20	125.57	...	18.84	4 of 7 - Sale ²⁵
	1.000	144.40	12/24/20	124.71	...	19.69	5 of 7 - Sale ²⁵
	1.000	144.41	12/28/20	115.26	...	29.15	6 of 7 - Sale ²⁵
	1.000	144.40	12/29/20	113.64	...	30.76	7 of 7 - Sale ²⁵
01/25/21	7.000	1,010.84	Various	908.22	...	102.62	Total of 7 transactions
MOLECULAR DATA INC. AMERICAN D EPOSITARY SHARES / CUSIP: 60852L106 / Symbol:							
2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	100.000	193.49	01/13/21	83.71	...	109.78	1 of 2 - Sale ²⁵
	50.000	96.74	01/19/21	40.40	...	56.34	2 of 2 - Sale ²⁵
02/17/21	150.000	290.23	Various	124.11	...	166.12	Total of 2 transactions
MOTUS GI HOLDINGS, INC. COMMON STOCK / CUSIP: 62014P108 / Symbol:							
01/20/21	50.000	101.50	12/07/20	53.50	...	48.00	Sale ²⁵

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Account 161360664

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
01/04/21	5.000	263.68	12/18/20	228.85	...	34.83	Sale ²⁵
	<i>2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.</i>						
	3.000	182.59	01/05/21	158.07	...	24.52	1 of 2 - Sale ²⁵
	2.000	121.73	01/06/21	101.34	...	20.39	2 of 2 - Sale ²⁵
01/14/21	5.000	304.32	Various	259.41	...	44.91	Total of 2 transactions
01/25/21	4.000	254.73	01/15/21	226.72	...	28.01	Sale ²⁵
	<i>2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	62.08	01/29/21	56.79	...	5.29	1 of 2 - Sale ²⁵
	1.000	62.08	02/01/21	55.37	...	6.71	2 of 2 - Sale ²⁵
02/10/21	2.000	124.16	Various	112.16	...	12.00	Total of 2 transactions
	Security total:	946.89		827.14	...	119.75	
NEUROBO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 64132R107 / Symbol:							
09/02/21	50.000	232.57	01/19/21	259.84	...	-27.27	Sale ²⁵
9 METERS BIOPHARMA, INC. COMMON STOCK / CUSIP: 654405109 / Symbol:							
01/04/21	25.000	20.62	12/07/20	18.97	...	1.65	Sale ²⁵
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
01/25/21	10.000	51.60	10/19/20	41.56	...	10.04	Sale ²⁵
NORTHERN DYNASTY MINERALS, LTD. / CUSIP: 66510M204 / Symbol:							
	<i>9 transactions for 09/24/21. Total proceeds and cost reported to the IRS.</i>						
	100.000	53.06	01/14/21	54.81	...	-1.75	1 of 9 - Sale ²⁵
	100.000	53.13	01/14/21	54.82	...	-1.69	2 of 9 - Sale ²⁵
	40.000	21.22	01/14/21	21.93	...	-0.71	3 of 9 - Sale ²⁵
	10.000	5.31	01/14/21	5.48	...	-0.17	4 of 9 - Sale ²⁵
	50.000	26.53	01/15/21	26.30	...	0.23	5 of 9 - Sale ²⁵
	10.000	5.31	01/20/21	4.97	...	0.34	6 of 9 - Sale ²⁵
	90.000	47.75	01/20/21	44.77	...	2.98	7 of 9 - Sale ²⁵
	50.000	26.53	01/26/21	24.36	...	2.17	8 of 9 - Sale ²⁵
	50.000	26.53	01/26/21	24.12	...	2.41	9 of 9 - Sale ²⁵
09/24/21	500.000	265.37	Various	261.56	...	3.81	Total of 9 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
01/28/21	1.000	162.30	01/28/21	124.27	...	38.03	Sale ²⁵
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
08/26/21	1.000	7.71	01/04/21	3.86	...	3.85	Sale ²⁵
ONCONOVA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 68232V405 / Symbol:							
<i>5 transactions for 01/05/21. Total proceeds and cost reported to the IRS.</i>							
	400.000	263.35	12/23/20	284.76	...	-21.41	1 of 5 - Sale ²⁵
	100.000	65.84	12/23/20	60.77	...	5.07	2 of 5 - Sale ²⁵
	50.000	32.92	12/23/20	24.00	...	8.92	3 of 5 - Sale ²⁵
	100.000	65.84	12/23/20	56.65	...	9.19	4 of 5 - Sale ²⁵
	50.000	32.92	12/24/20	23.01	...	9.91	5 of 5 - Sale ²⁵
01/05/21	700.000	460.87	Various	449.19	...	11.68	Total of 5 transactions
PFIZER INC. / CUSIP: 717081103 / Symbol:							
<i>2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	111.91	11/18/20	110.94	...	0.97	1 of 2 - Sale ²⁵
	7.000	261.14	11/18/20	258.86	...	2.28	2 of 2 - Sale ²⁵
01/06/21	10.000	373.05	Various	369.80	...	3.25	Total of 2 transactions
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
01/08/21	1.000	221.38	12/02/20	219.24	...	2.14	Sale ²⁵
SEACHANGE INTERNATIONAL, INC. COMMON STOCK / CUSIP: 811699107 / Symbol:							
<i>5 transactions for 09/08/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	53.52	01/14/21	66.50	...	-12.98	1 of 5 - Sale ²⁵
	25.000	26.76	01/15/21	31.63	...	-4.87	2 of 5 - Sale ²⁵
	50.000	53.52	01/20/21	67.25	...	-13.73	3 of 5 - Sale ²⁵
	25.000	26.76	01/21/21	31.75	...	-4.99	4 of 5 - Sale ²⁵
	15.000	16.05	03/04/21	14.75	...	1.30	5 of 5 - Sale ²⁵
09/08/21	165.000	176.61	Various	211.88	...	-35.27	Total of 5 transactions

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Proceeds from Broker and Barter Exchange Transactions

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SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol:							
3 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	120.000	155.39	08/16/21	189.60	...	-34.21	1 of 3 - Sale ²⁵
	100.000	129.49	08/18/21	126.00	...	3.49	2 of 3 - Sale ²⁵
	100.000	129.48	08/30/21	93.64	...	35.84	3 of 3 - Sale ²⁵
10/20/21	320.000	414.36	Various	409.24	...	5.12	Total of 3 transactions
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	200.000	235.97	01/15/21	131.30	...	104.67	1 of 3 - Sale ²⁵
	50.000	58.99	01/26/21	29.91	...	29.08	2 of 3 - Sale ²⁵
	50.000	59.00	01/26/21	28.55	...	30.45	3 of 3 - Sale ²⁵
02/03/21	300.000	353.96	Various	189.76	...	164.20	Total of 3 transactions
02/10/21	49.000	141.17	02/09/21	72.77	...	68.40	Sale ²⁵
	Security total:	495.13		262.53	...	232.60	
TELIGENT INC NEW COMMON STOCK / CUSIP: 87960W203 / Symbol:							
3 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	500.000	414.93	12/15/20	390.00	...	24.93	1 of 3 - Sale ²⁵
	100.000	82.98	12/22/20	72.00	...	10.98	2 of 3 - Sale ²⁵
	100.000	82.99	12/29/20	70.98	...	12.01	3 of 3 - Sale ²⁵
01/05/21	700.000	580.90	Various	532.98	...	47.92	Total of 3 transactions
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
01/11/21	5.000	60.60	12/16/20	49.25	...	11.35	Sale ²⁵
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
01/11/21	200.000	197.98	01/05/21	167.00	...	30.98	Sale ²⁵
TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol:							
3 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	50.000	99.49	01/08/21	61.00	...	38.49	1 of 3 - Sale ²⁵
	100.000	198.99	01/08/21	135.00	...	63.99	2 of 3 - Sale ²⁵
	100.000	198.99	01/08/21	115.50	...	83.49	3 of 3 - Sale ²⁵
01/14/21	250.000	497.47	Various	311.50	...	185.97	Total of 3 transactions

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TREVENA, INC. COMMON STOCK / CUSIP: 89532E109 / Symbol:							
3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	50.000	130.00	01/05/21	107.99	...	22.01	1 of 3 - Sale ²⁵
	100.000	259.99	01/05/21	215.98	...	44.01	2 of 3 - Sale ²⁵
	100.000	259.99	01/05/21	215.98	...	44.01	3 of 3 - Sale ²⁵
02/05/21	250.000	649.98	Various	539.95	...	110.03	Total of 3 transactions
04/12/21	1.000	1.74	02/23/21	2.20	...	-0.46	Sale ²⁵
	Security total:	651.72		542.15	...	109.57	
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
2 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	1.000	54.47	02/24/21	54.20	...	0.27	1 of 2 - Sale ²⁵
	2.000	108.94	02/24/21	108.40	...	0.54	2 of 2 - Sale ²⁵
03/01/21	3.000	163.41	Various	162.60	...	0.81	Total of 2 transactions
UWM HOLDINGS CORPORATION / CUSIP: 91823B109 / Symbol:							
2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	5.000	51.06	01/22/21	59.00	...	-7.94	1 of 2 - Sale ²⁵
	5.000	51.06	04/12/21	37.80	...	13.26	2 of 2 - Sale ²⁵
06/11/21	10.000	102.12	Various	96.80	...	5.32	Total of 2 transactions
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
4 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	50.000	453.49	02/08/21	445.82	...	7.67	1 of 4 - Sale ²⁵
	25.000	226.74	02/10/21	211.75	...	14.99	2 of 4 - Sale ²⁵
	50.000	453.49	05/03/21	471.83	...	-18.34	3 of 4 - Sale ²⁵
	5.000	45.35	05/06/21	33.20	...	12.15	4 of 4 - Sale ²⁵
06/11/21	130.000	1,179.07	Various	1,162.60	...	16.47	Total of 4 transactions
VIRIOS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92829J104 / Symbol:							
6 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	1.000	5.94	12/17/20	12.00	...	-6.06	1 of 6 - Sale ²⁵
	3.000	17.82	12/18/20	35.18	...	-17.36	2 of 6 - Sale ²⁵
	2.000	11.88	12/22/20	18.00	...	-6.12	3 of 6 - Sale ²⁵
	2.000	11.88	12/31/20	15.90	...	-4.02	4 of 6 - Sale ²⁵

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VIRIOS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92829J104 / Symbol: (cont'd)							
	2.000	11.88	01/20/21	15.51	...	-3.63	5 of 6 - Sale ²⁵
	5.000	29.71	03/04/21	25.23	...	4.48	6 of 6 - Sale ²⁵
09/10/21	15.000	89.11	Various	121.82	...	-32.71	Total of 6 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
3 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	15.000	210.01	02/23/21	227.10	...	-17.09	1 of 3 - Sale ²⁵
	5.000	70.00	04/14/21	63.85	...	6.15	2 of 3 - Sale ²⁵
	4.000	56.01	05/06/21	40.60	...	15.41	3 of 3 - Sale ²⁵
06/07/21	24.000	336.02	Various	331.55	...	4.47	Total of 3 transactions
06/29/21	1.000	16.67	05/06/21	10.15	...	6.52	Sale ²⁵
	Security total:	352.69		341.70	...	10.99	
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
01/25/21	2.000	4.32	01/22/21	3.50	...	0.82	Sale ²⁵
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
3 transactions for 08/16/21. Total proceeds and cost reported to the IRS.							
	200.000	93.57	12/28/20	49.98	...	43.59	1 of 3 - Sale ²⁵
	90.000	42.11	01/05/21	38.65	...	3.46	2 of 3 - Sale ²⁵
	200.000	93.56	01/05/21	90.00	...	3.56	3 of 3 - Sale ²⁵
08/16/21	490.000	229.24	Various	178.63	...	50.61	Total of 3 transactions
ZYNEX, INC. COMMON STOCK / CUSIP: 98986M103 / Symbol:							
01/20/21	2.000	35.68	10/12/20	29.66	...	6.02	Sale ²⁵
EURONAV NV / CUSIP: B38564108 / Symbol:							
02/09/21	5.000	45.65	01/07/21	42.38	...	3.27	Sale ²⁵
BORR DRILLING LIMITED COMMON S HARES OF PAR VALUE \$0.05 PER S HARE / CUSIP: G1466R207 / Symbol:							
05/12/21	150.000	142.38	01/15/21	132.90	...	9.48	Sale ²⁵

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"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COLOR STAR TECHNOLOGY CO. LTD. ORDINARY SHARES / CUSIP: G2287A100 / Symbol:							
5 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	11.000	10.45	12/30/20	9.24	...	1.21	1 of 5 - Sale ²⁵
	89.000	84.54	12/30/20	74.76	...	9.78	2 of 5 - Sale ²⁵
	50.000	47.49	12/31/20	36.47	...	11.02	3 of 5 - Sale ²⁵
	50.000	47.50	12/31/20	35.83	...	11.67	4 of 5 - Sale ²⁵
	50.000	47.49	01/06/21	31.48	...	16.01	5 of 5 - Sale ²⁵
01/25/21	250.000	237.47	Various	187.78	...	49.69	Total of 5 transactions
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol:							
09/07/21	150.000	64.46	07/08/21	58.50	...	5.96	Sale ²⁵
2 transactions for 09/07/21. Total proceeds and cost reported to the IRS.							
	1.000	0.43	06/21/21	0.44	0.01 W	0.00	1 of 2 - Sale ²⁵
	200.000	85.96	06/22/21	90.38	4.42 W	0.00	2 of 2 - Sale ²⁵
09/07/21	201.000	86.39	Various	90.82	4.43 W	0.00	Total of 2 transactions
3 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	1.000	0.36	09/29/21	0.24	...	0.12	1 of 3 - Sale ²⁵
	99.000	35.36	09/29/21	22.77	...	12.59	2 of 3 - Sale ²⁵
	200.000	71.44	09/29/21	50.42	...	21.02	3 of 3 - Sale ²⁵
10/01/21	300.000	107.16	Various	73.43	...	33.73	Total of 3 transactions
2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	200.000	71.44	10/01/21	89.68	18.24 W	0.00	1 of 2 - Sale ²⁵
	200.000	71.43	10/01/21	80.00	8.57 W	0.00	2 of 2 - Sale ²⁵
10/01/21	400.000	142.87	Various	169.68	26.81 W	0.00	Total of 2 transactions
3 transactions for 10/06/21. Total proceeds and cost reported to the IRS.							
	200.000	69.86	10/01/21	87.56	...	-17.70	1 of 3 - Sale ²⁵
	200.000	69.85	10/01/21	77.89	...	-8.04	2 of 3 - Sale ²⁵
	200.000	69.86	10/01/21	69.32	...	0.54	3 of 3 - Sale ²⁵
10/06/21	600.000	209.57	Various	234.77	...	-25.20	Total of 3 transactions
	Security total:	610.45		627.20	31.24 W	14.49	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161360664

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ITERUM THERAPEUTICS PLC ORDINARY SHARE / CUSIP: G6333L101 / Symbol:							
01/22/21	1.000	2.00	01/20/21	1.83	...	0.17	Sale ²⁵
	3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	40.000	83.20	01/20/21	69.60	...	13.60	1 of 3 - Sale ²⁵
	149.000	309.90	01/20/21	272.67	...	37.23	2 of 3 - Sale ²⁵
	99.000	204.92	01/26/21	158.15	...	46.77	3 of 3 - Sale ²⁵
02/08/21	288.000	598.02	Various	500.42	...	97.60	Total of 3 transactions
04/12/21	1.000	1.26	01/26/21	1.60	...	-0.34	Sale ²⁵
	Security total:	601.28		503.85	...	97.43	
ORIENTAL CULTURE HOLDING LTD ORDINARY SHARES / CUSIP: G6796W107 / Symbol:							
	6 transactions for 03/17/21. Total proceeds and cost reported to the IRS.						
	1.000	9.60	12/17/20	7.00	...	2.60	1 of 6 - Sale ²⁵
	14.000	134.40	12/17/20	98.00	...	36.40	2 of 6 - Sale ²⁵
	5.000	48.00	12/30/20	26.50	...	21.50	3 of 6 - Sale ²⁵
	5.000	48.00	03/04/21	16.40	...	31.60	4 of 6 - Sale ²⁵
	10.000	96.00	03/05/21	28.70	...	67.30	5 of 6 - Sale ²⁵
	14.000	134.40	03/05/21	40.57	...	93.83	6 of 6 - Sale ²⁵
03/17/21	49.000	470.40	Various	217.17	...	253.23	Total of 6 transactions
03/18/21	1.000	12.87	03/05/21	2.90	...	9.97	Sale ²⁵
	Security total:	483.27		220.07	...	263.20	
THUNDER BRIDGE ACQUISITION II, LTD. CLASS A ORDINARY SHARES / CUSIP: G8857S116 / Symbol:							
	2 transactions for 05/06/21. Total proceeds and cost reported to the IRS.						
	20.000	201.00	03/31/21	208.80	...	-7.80	1 of 2 - Sale ²⁵
	5.000	50.25	03/31/21	52.20	...	-1.95	2 of 2 - Sale ²⁵
05/06/21	25.000	251.25	Various	261.00	...	-9.75	Total of 2 transactions
MEIWU TECHNOLOGY COMPANY LIMITED ORDINARY SHARES / CUSIP: G9604C107 / Symbol:							
08/26/21	0.189	1.00	12/18/20	17.41	...	-16.41	Sale ²⁵
ADC THERAPEUTICS SA / CUSIP: H0036K147 / Symbol:							
	6 transactions for 08/26/21. Total proceeds and cost reported to the IRS.						
	1.000	28.62	09/22/20	37.22	...	-8.60	1 of 6 - Sale ²⁵
	1.000	28.62	12/30/20	33.22	...	-4.60	2 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 161360664

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADC THERAPEUTICS SA / CUSIP: H0036K147 / Symbol: (cont'd)							
	1.000	28.62	01/20/21	31.65	...	-3.03	3 of 6 - Sale ²⁵
	1.000	28.62	02/23/21	28.98	...	-0.36	4 of 6 - Sale ²⁵
	1.000	28.62	03/04/21	24.54	...	4.08	5 of 6 - Sale ²⁵
	1.000	28.62	03/04/21	23.94	...	4.68	6 of 6 - Sale ²⁵
08/26/21	6.000	171.72	Various	179.55	...	-7.83	Total of 6 transactions
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L125 / Symbol:							
5 transactions for 07/23/21. Total proceeds and cost reported to the IRS.							
	50.000	99.99	12/31/20	100.00	...	-0.01	1 of 5 - Sale ²⁵
	1.000	2.00	12/31/20	2.00	...	0.00	2 of 5 - Sale ²⁵
	10.000	20.00	01/06/21	22.00	...	-2.00	3 of 5 - Sale ²⁵
	50.000	99.99	01/11/21	105.85	...	-5.86	4 of 5 - Sale ²⁵
	39.000	78.00	01/11/21	82.56	...	-4.56	5 of 5 - Sale ²⁵
07/23/21	150.000	299.98	Various	312.41	...	-12.43	Total of 5 transactions
08/25/21	11.000	23.43	01/11/21	23.29	...	0.14	Sale ²⁵
	Security total:	323.41		335.70	...	-12.29	
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
01/08/21	20.000	30.60	10/16/20	22.70	...	7.90	Sale ²⁵
Totals :		29,509.11		26,817.51	105.36 W	2,796.96	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161360664

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: 02/01/21	0.667	1.61	01/14/21	1.67	...	-0.06	Gain or loss
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V801 / Symbol: 05/28/21	0.667	6.35	03/11/21	11.60	...	-5.25	Gain or loss
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol: 01/19/21	25.000	0.00	10/16/20	6.47	...	-6.47	Gain or loss ²⁵
Totals:		7.96		19.74	...	-11.78	

Robinhood Securities LLC

Account 161360664

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CIELO SA SPONSORED ADR (BRAZI L)	171778202		02/24/21	0.51	Nonqualified dividend	
			05/20/21	2.99	Nonqualified dividend	
			05/20/21	-0.45	Foreign tax withheld-BR	
			08/26/21	2.17	Nonqualified dividend	
			08/26/21	-0.33	Foreign tax withheld-BR	
			12/06/21	2.46	Nonqualified dividend	
			12/06/21	-0.37	Foreign tax withheld-BR	
				8.13	Total Dividends & distributions	
	-1.15	Total Foreign tax withheld				
MERCK & CO., INC.	58933Y105		04/07/21	5.20	Qualified dividend	
UWM HOLDINGS CORPORATION	91823B109		04/06/21	0.50	Qualified dividend	
			07/06/21	1.00	Qualified dividend	
				1.50	Total Dividends & distributions	
				14.83	Total Dividends & distributions	
				-1.15	Total Foreign tax withheld	

Robinhood Securities LLC

Account 161360664

Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BR	CIELO SA SPONSORED ADR (BRAZI L)	171778202	8.13	0.00		0.00	8.13	-1.15
Total BRAZIL			8.13	0.00		0.00	8.13	-1.15
Grand Total	Total Foreign Source Income*			8.13				
	Qualified Foreign Source Income**			0.00				
	Foreign Tax							-1.15

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 161360664

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG)	L7257P205	01/19/21	-25.00	0.00	Security deemed worthless	

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.