

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 144143310	Statement Date: 02/11/2022 Document ID: 0025 NKQ 8J6J	2021
	Baby ram saroja Chadalavada 3314 Circle Brook Dr Apt A Cave Spring, VA 24018 RECIPIENT'S TIN: XXX-XX-6384		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
--	--

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	49,870.03	50,088.76	0.00	328.76	110.03
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	49,870.03	50,088.76	0.00	328.76	110.03
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	49,870.03	50,088.76	0.00	328.76	110.03
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 144143310

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GEVO 04/16/2021 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	1.000	49.99	03/17/21	90.00	...	-40.01	1 of 2 - Option sale to close-call ²⁵
	1.000	49.99	03/17/21	90.00	...	-40.01	2 of 2 - Option sale to close-call ²⁵
03/18/21	2.000	99.98	Various	180.00	...	-80.02	Total of 2 transactions
AILERON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 00887A105 / Symbol:							
6 transactions for 03/25/21. Total proceeds and cost reported to the IRS.							
	231.412	306.15	02/02/21	457.04	...	-150.89	1 of 6 - Sale ²⁵
	150.143	198.63	02/02/21	300.00	...	-101.37	2 of 6 - Sale ²⁵
	21.588	28.49	02/02/21	42.64	...	-14.15	3 of 6 - Sale ²⁵
	20.000	26.46	02/02/21	39.90	...	-13.44	4 of 6 - Sale ²⁵
	0.165	0.22	02/02/21	0.32	...	-0.10	5 of 6 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AILERON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 00887A105 / Symbol: (cont'd)							
	1.446	1.91	02/05/21	3.00	...	-1.09	6 of 6 - Sale ²⁵
03/25/21	424.753	561.86	Various	842.90	...	-281.04	Total of 6 transactions
ANAVEX LIFE SCIENCES CORP. COM MON STOCK / CUSIP: 032797300 / Symbol:							
5 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.735	45.63	02/04/21	45.98	...	-0.35	1 of 5 - Sale ²⁵
	0.264	6.95	02/04/21	7.05	...	-0.10	2 of 5 - Sale ²⁵
	0.001	0.02	02/04/21	0.02	...	0.00	3 of 5 - Sale ²⁵
	1.904	46.25	02/04/21	44.00	...	2.25	4 of 5 - Sale ²⁵
	1.798	43.67	02/04/21	40.00	...	3.67	5 of 5 - Sale ²⁵
02/04/21	5.702	142.52	Various	137.05	...	5.47	Total of 5 transactions
02/04/21	0.264	6.95	02/04/21	7.00	0.05 W	0.00	Sale ²⁵
	Security total:	149.47		144.05	0.05 W	5.47	
ASSERTIO HOLDINGS, INC. COMMON STOCK / CUSIP: 04546C106 / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	200.000	202.19	02/08/21	201.78	...	0.41	1 of 2 - Sale ²⁵
	400.000	404.38	02/08/21	403.56	...	0.82	2 of 2 - Sale ²⁵
02/19/21	600.000	606.57	Various	605.34	...	1.23	Total of 2 transactions
ATLAS CREST INVESTMENT CORP. / CUSIP: 049284102 / Symbol:							
4 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	25.000	251.26	02/17/21	404.25	...	-152.99	1 of 4 - Sale ²⁵
	0.046	0.47	02/17/21	0.75	...	-0.28	2 of 4 - Sale ²⁵
	23.993	241.14	02/18/21	396.84	...	-155.70	3 of 4 - Sale ²⁵
	0.191	1.92	02/18/21	3.16	...	-1.24	4 of 4 - Sale ²⁵
03/24/21	49.230	494.79	Various	805.00	...	-310.21	Total of 4 transactions
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	8.000	88.48	01/04/21	41.12	...	47.36	1 of 2 - Sale ²⁵
	23.646	261.52	01/04/21	120.83	...	140.69	2 of 2 - Sale ²⁵
02/05/21	31.646	350.00	Various	161.95	...	188.05	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
3 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	0.354	3.50	01/04/21	1.81	...	1.69	1 of 3 - Sale ²⁵
	1.000	9.87	01/04/21	5.10	...	4.77	2 of 3 - Sale ²⁵
	26.000	256.65	01/04/21	132.86	...	123.79	3 of 3 - Sale ²⁵
03/01/21	27.354	270.02	Various	139.77	...	130.25	Total of 3 transactions
	Security total:	620.02		301.72	...	318.30	
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
02/02/21	0.694	40.96	02/02/21	42.00	1.04 W	0.00	Sale ²⁵
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	0.694	72.02	02/04/21	75.31	3.29 W	0.00	1 of 2 - Sale ²⁵
	0.091	9.44	02/04/21	9.73	0.29 W	0.00	2 of 2 - Sale ²⁵
02/04/21	0.785	81.46	Various	85.04	3.58 W	0.00	Total of 2 transactions
7 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	8.997	462.42	02/23/21	475.38	...	-12.96	1 of 7 - Sale ²⁵
	0.934	48.01	02/23/21	50.00	...	-1.99	2 of 7 - Sale ²⁵
	0.466	23.96	02/23/21	24.62	...	-0.66	3 of 7 - Sale ²⁵
	0.053	2.72	03/09/21	2.66	...	0.06	4 of 7 - Sale ²⁵
	0.023	1.20	03/09/21	1.12	...	0.08	5 of 7 - Sale ²⁵
	0.998	51.32	03/09/21	50.34	...	0.98	6 of 7 - Sale ²⁵
	2.497	128.36	03/09/21	119.88	...	8.48	7 of 7 - Sale ²⁵
03/10/21	13.969	717.99	Various	724.00	...	-6.01	Total of 7 transactions
10 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	0.017	0.86	02/17/21	0.95	0.09 W	0.00	1 of 10 - Sale ²⁵
	0.619	31.87	02/17/21	35.39	3.52 W	0.00	2 of 10 - Sale ²⁵
	0.017	0.86	02/17/21	0.97	0.11 W	0.00	3 of 10 - Sale ²⁵
	0.091	4.67	02/17/21	5.06	0.39 W	0.00	4 of 10 - Sale ²⁵
	0.058	2.99	02/17/21	3.32	0.33 W	0.00	5 of 10 - Sale ²⁵
	14.168	728.24	02/17/21	742.98	14.74 W	0.00	6 of 10 - Sale ²⁵
	0.619	31.81	02/17/21	36.15	4.34 W	0.00	7 of 10 - Sale ²⁵
	2.037	104.68	02/17/21	107.37	2.69 W	0.00	8 of 10 - Sale ²⁵
	9.000	462.60	02/19/21	497.70	14.85 W	-20.25	9 of 10 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol: (cont'd)							
03/10/21	0.042	2.14	02/19/21	2.30	0.16 W	0.00	10 of 10 - Sale ²⁵
03/10/21	26.667	1,370.72	Various	1,432.19	41.22 W	-20.25	Total of 10 transactions
8 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	3.809	200.04	03/10/21	213.89	...	-13.85	1 of 8 - Sale ²⁵
	14.168	744.12	03/10/21	755.11	...	-10.99	2 of 8 - Sale ²⁵
	0.619	32.50	03/10/21	36.68	...	-4.18	3 of 8 - Sale ²⁵
	2.037	106.97	03/10/21	109.11	...	-2.14	4 of 8 - Sale ²⁵
	0.091	4.77	03/10/21	5.14	...	-0.37	5 of 8 - Sale ²⁵
	0.058	3.05	03/10/21	3.37	...	-0.32	6 of 8 - Sale ²⁵
	0.042	2.18	03/10/21	2.33	...	-0.15	7 of 8 - Sale ²⁵
	0.017	0.88	03/10/21	0.98	...	-0.10	8 of 8 - Sale ²⁵
03/12/21	20.840	1,094.51	Various	1,126.61	...	-32.10	Total of 8 transactions
	Security total:	3,305.64		3,409.84	45.84 W	-58.36	
DAVIDSTEA INC. COMMON STOCK / CUSIP: 238661102 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	70.000	299.74	02/05/21	361.75	...	-62.01	1 of 2 - Sale ²⁵
	0.630	2.70	02/05/21	3.25	...	-0.55	2 of 2 - Sale ²⁵
02/09/21	70.630	302.44	Various	365.00	...	-62.56	Total of 2 transactions
EDITAS MEDICINE, INC. COMMON S TOCK / CUSIP: 28106W103 / Symbol:							
6 transactions for 03/25/21. Total proceeds and cost reported to the IRS.							
	5.329	207.50	03/10/21	247.99	...	-40.49	1 of 6 - Sale ²⁵
	1.668	64.93	03/10/21	77.61	...	-12.68	2 of 6 - Sale ²⁵
	0.030	1.17	03/10/21	1.40	...	-0.23	3 of 6 - Sale ²⁵
	7.829	304.85	03/11/21	375.00	...	-70.15	4 of 6 - Sale ²⁵
	5.974	232.64	03/11/21	288.27	...	-55.63	5 of 6 - Sale ²⁵
	0.244	9.51	03/11/21	11.73	...	-2.22	6 of 6 - Sale ²⁵
03/25/21	21.074	820.60	Various	1,002.00	...	-181.40	Total of 6 transactions
THE EXONE COMPANY COMMON STOCK / CUSIP: 302104104 / Symbol:							
12 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	0.031	1.16	03/11/21	1.07	...	0.09	1 of 12 - Sale ²⁵
	0.040	1.49	03/11/21	1.39	...	0.10	2 of 12 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE EXONE COMPANY COMMON STOCK / CUSIP: 302104104 / Symbol: (cont'd)							
	4.953	185.84	03/11/21	173.54	...	12.30	3 of 12 - Sale ²⁵
	0.061	2.27	03/12/21	2.11	...	0.16	4 of 12 - Sale ²⁵
	0.061	2.28	03/12/21	2.12	...	0.16	5 of 12 - Sale ²⁵
	0.120	4.52	03/12/21	4.20	...	0.32	6 of 12 - Sale ²⁵
	0.565	21.21	03/12/21	19.83	...	1.38	7 of 12 - Sale ²⁵
	1.000	37.52	03/12/21	34.89	...	2.63	8 of 12 - Sale ²⁵
	1.000	37.53	03/12/21	34.88	...	2.65	9 of 12 - Sale ²⁵
	2.000	75.05	03/12/21	70.17	...	4.88	10 of 12 - Sale ²⁵
	2.000	75.04	03/12/21	69.80	...	5.24	11 of 12 - Sale ²⁵
	5.240	196.64	03/12/21	176.00	...	20.64	12 of 12 - Sale ²⁵
03/16/21	17.070	640.55	Various	590.00	...	50.55	Total of 12 transactions
FORTRESS BIOTECH, INC. COMMON STOCK / CUSIP: 34960Q109 / Symbol:							
5 transactions for 03/25/21. Total proceeds and cost reported to the IRS.							
	83.236	302.52	02/18/21	354.17	...	-51.65	1 of 5 - Sale ²⁵
	70.000	254.41	02/18/21	298.03	...	-43.62	2 of 5 - Sale ²⁵
	0.340	1.24	02/18/21	1.45	...	-0.21	3 of 5 - Sale ²⁵
	0.195	0.71	02/18/21	0.83	...	-0.12	4 of 5 - Sale ²⁵
	0.122	0.44	02/18/21	0.52	...	-0.08	5 of 5 - Sale ²⁵
03/25/21	153.893	559.32	Various	655.00	...	-95.68	Total of 5 transactions
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
02/17/21	11.000	140.03	12/22/20	33.20	...	106.83	Sale ²⁵
2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	25.000	275.24	12/22/20	74.13	...	201.11	1 of 2 - Sale ²⁵
	89.000	979.86	12/22/20	268.61	...	711.25	2 of 2 - Sale ²⁵
02/18/21	114.000	1,255.10	Various	342.74	...	912.36	Total of 2 transactions
	Security total:	1,395.13		375.94	...	1,019.19	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	0.839	1.98	02/11/21	1.94	...	0.04	1 of 3 - Sale ²⁵
	116.044	272.81	02/11/21	268.06	...	4.75	2 of 3 - Sale ²⁵
	119.956	282.00	02/11/21	272.90	...	9.10	3 of 3 - Sale ²⁵
02/16/21	236.839	556.79	Various	542.90	...	13.89	Total of 3 transactions
HISTOGEN INC. COMMON STOCK / CUSIP: 43358Y103 / Symbol:							
10 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	61.426	78.00	02/19/21	99.51	...	-21.51	1 of 10 - Sale ²⁵
	62.000	78.72	02/19/21	99.51	...	-20.79	2 of 10 - Sale ²⁵
	62.000	78.73	02/19/21	99.51	...	-20.78	3 of 10 - Sale ²⁵
	60.814	77.23	02/19/21	97.91	...	-20.68	4 of 10 - Sale ²⁵
	63.840	81.07	02/19/21	99.52	...	-18.45	5 of 10 - Sale ²⁵
	1.305	1.66	02/19/21	2.09	...	-0.43	6 of 10 - Sale ²⁵
	0.305	0.39	02/19/21	0.49	...	-0.10	7 of 10 - Sale ²⁵
	0.305	0.39	02/19/21	0.49	...	-0.10	8 of 10 - Sale ²⁵
	0.305	0.39	02/19/21	0.49	...	-0.10	9 of 10 - Sale ²⁵
	0.309	0.39	02/19/21	0.48	...	-0.09	10 of 10 - Sale ²⁵
03/10/21	312.609	396.97	Various	500.00	...	-103.03	Total of 10 transactions
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
5 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	88.161	110.19	02/11/21	169.28	...	-59.09	1 of 5 - Sale ²⁵
	88.712	110.88	02/11/21	169.44	...	-58.56	2 of 5 - Sale ²⁵
	51.948	64.93	02/11/21	98.96	...	-34.03	3 of 5 - Sale ²⁵
	16.000	20.00	02/11/21	41.12	...	-21.12	4 of 5 - Sale ²⁵
	0.546	0.68	02/11/21	1.04	...	-0.36	5 of 5 - Sale ²⁵
03/10/21	245.367	306.68	Various	479.84	...	-173.16	Total of 5 transactions
03/10/21	16.000	20.16	02/11/21	30.56	10.40 W	0.00	Sale ²⁵
	Security total:	326.84		510.40	10.40 W	-173.16	
INUVO, INC. / CUSIP: 46122W204 / Symbol:							
02/16/21	251.799	405.37	02/05/21	350.00	...	55.37	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISORAY, INC. / CUSIP: 46489V104 / Symbol:							
02/04/21	56.000	84.55	01/08/21	83.44	...	1.11	Sale ²⁵
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	241.000	402.71	01/28/21	450.65	40.00 W	-7.94	1 of 2 - Sale ²⁵
	3.000	5.01	01/28/21	5.94	0.93 W	0.00	2 of 2 - Sale ²⁵
02/02/21	244.000	407.72	Various	456.59	40.93 W	-7.94	Total of 2 transactions
4 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	201.083	384.44	02/08/21	434.12	...	-49.68	1 of 4 - Sale ²⁵
	2.396	4.58	02/08/21	5.44	...	-0.86	2 of 4 - Sale ²⁵
	0.520	0.99	02/08/21	1.18	...	-0.19	3 of 4 - Sale ²⁵
	0.083	0.16	02/08/21	0.19	...	-0.03	4 of 4 - Sale ²⁵
02/16/21	204.083	390.17	Various	440.93	...	-50.76	Total of 4 transactions
	Security total:	797.89		897.52	40.93 W	-58.70	
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
01/28/21	16.000	918.21	01/08/21	912.72	...	5.49	Sale ²⁵
NANO DIMENSION LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
5 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	12/22/20	0.00	...	0.01	1 of 5 - Sale ²⁵
	0.005	0.07	12/22/20	0.04	...	0.03	2 of 5 - Sale ²⁵
	7.000	103.88	12/22/20	64.75	...	39.13	3 of 5 - Sale ²⁵
	14.995	221.93	12/22/20	138.70	...	83.23	4 of 5 - Sale ²⁵
	18.000	267.12	12/22/20	166.49	...	100.63	5 of 5 - Sale ²⁵
02/05/21	40.000	593.01	Various	369.98	...	223.03	Total of 5 transactions
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol:							
5 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	227.364	325.10	02/16/21	554.77	...	-229.67	1 of 5 - Sale ²⁵
	156.723	224.09	02/16/21	388.58	...	-164.49	2 of 5 - Sale ²⁵
	0.576	0.82	02/16/21	1.42	...	-0.60	3 of 5 - Sale ²⁵
	0.169	0.24	02/16/21	0.58	...	-0.34	4 of 5 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol: (cont'd)							
	0.168	0.24	02/16/21	0.41	...	-0.17	5 of 5 - Sale ²⁵
03/02/21	385.000	550.49	Various	945.76	...	-395.27	Total of 5 transactions
03/02/21	0.169	0.24	02/16/21	0.41	0.17 W	0.00	Sale ²⁵
	Security total:	550.73		946.17	0.17 W	-395.27	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	0.138	1.54	02/05/21	0.47	...	1.07	1 of 2 - Sale ²⁵
	92.000	1,017.54	02/05/21	312.80	...	704.74	2 of 2 - Sale ²⁵
02/08/21	92.138	1,019.08	Various	313.27	...	705.81	Total of 2 transactions
ORCHARD THERAPEUTICS PLC AMERI CAN DEPOSITARY SHARES / CUSIP: 68570P101 / Symbol:							
5 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	39.632	333.69	03/10/21	340.00	...	-6.31	1 of 5 - Sale ²⁵
	6.963	58.63	03/10/21	60.00	...	-1.37	2 of 5 - Sale ²⁵
	0.300	2.52	03/10/21	2.49	...	0.03	3 of 5 - Sale ²⁵
	0.446	3.75	03/10/21	3.70	...	0.05	4 of 5 - Sale ²⁵
	46.959	395.39	03/10/21	390.81	...	4.58	5 of 5 - Sale ²⁵
03/11/21	94.300	793.98	Various	797.00	...	-3.02	Total of 5 transactions
PACIFIC BIOSCIENCES OF CALIFOR NIA, INC. COMMON STOCK / CUSIP: 69404D108 / Symbol:							
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	3.000	110.97	01/11/21	109.04	...	1.93	1 of 3 - Sale ²⁵
	1.000	36.99	01/14/21	37.76	...	-0.77	2 of 3 - Sale ²⁵
	25.000	924.72	01/14/21	857.00	...	67.72	3 of 3 - Sale ²⁵
01/25/21	29.000	1,072.68	Various	1,003.80	...	68.88	Total of 3 transactions
7 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	0.368	11.85	03/09/21	11.57	...	0.28	1 of 7 - Sale ²⁵
	0.456	14.66	03/09/21	14.12	...	0.54	2 of 7 - Sale ²⁵
	5.984	192.44	03/09/21	188.43	...	4.01	3 of 7 - Sale ²⁵
	2.590	83.29	03/09/21	77.69	...	5.60	4 of 7 - Sale ²⁵
	6.000	192.96	03/09/21	185.88	...	7.08	5 of 7 - Sale ²⁵
	9.643	310.13	03/09/21	300.00	...	10.13	6 of 7 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PACIFIC BIOSCIENCES OF CALIFOR NIA, INC. COMMON STOCK / CUSIP: 69404D108 / Symbol: (cont'd)							
03/18/21	7.410	238.31	03/09/21	222.31	...	16.00	7 of 7 - Sale ²⁵
	32.451	1,043.64	Various	1,000.00	...	43.64	Total of 7 transactions
	5 transactions for 03/18/21. Total proceeds and cost reported to the IRS.						
	0.665	21.38	03/10/21	23.00	1.62 W	0.00	1 of 5 - Sale ²⁵
	11.660	374.98	03/11/21	400.00	25.02 W	0.00	2 of 5 - Sale ²⁵
	2.830	91.00	03/11/21	100.00	9.00 W	0.00	3 of 5 - Sale ²⁵
	0.010	0.33	03/12/21	0.34	0.01 W	0.00	4 of 5 - Sale ²⁵
03/18/21	5.974	192.10	03/12/21	199.66	7.56 W	0.00	5 of 5 - Sale ²⁵
	21.138	679.79	Various	723.00	43.21 W	0.00	Total of 5 transactions
	20 transactions for 04/09/21. Total proceeds and cost reported to the IRS.						
	0.010	0.34	03/19/21	0.34	...	0.00	1 of 20 - Sale ²⁵
	0.064	2.12	03/24/21	2.00	...	0.12	2 of 20 - Sale ²⁵
	0.213	7.04	03/24/21	6.62	...	0.42	3 of 20 - Sale ²⁵
	0.173	5.72	03/24/21	5.15	...	0.57	4 of 20 - Sale ²⁵
	2.994	99.01	03/24/21	93.38	...	5.63	5 of 20 - Sale ²⁵
	15.972	528.19	03/24/21	498.00	...	30.19	6 of 20 - Sale ²⁵
	15.000	496.05	03/24/21	446.85	...	49.20	7 of 20 - Sale ²⁵
	16.582	548.36	03/24/21	494.00	...	54.36	8 of 20 - Sale ²⁵
	0.091	3.01	03/25/21	2.45	...	0.56	9 of 20 - Sale ²⁵
	0.210	6.94	03/25/21	5.82	...	1.12	10 of 20 - Sale ²⁵
	0.414	13.68	03/25/21	12.11	...	1.57	11 of 20 - Sale ²⁵
	0.377	12.48	03/25/21	10.23	...	2.25	12 of 20 - Sale ²⁵
	0.996	32.94	03/25/21	27.01	...	5.93	13 of 20 - Sale ²⁵
	2.996	99.06	03/25/21	87.89	...	11.17	14 of 20 - Sale ²⁵
	3.573	118.15	03/25/21	100.00	...	18.15	15 of 20 - Sale ²⁵
	3.658	120.96	03/25/21	100.00	...	20.96	16 of 20 - Sale ²⁵
	6.000	198.42	03/25/21	162.76	...	35.66	17 of 20 - Sale ²⁵
	6.988	231.08	03/25/21	194.18	...	36.90	18 of 20 - Sale ²⁵
	10.000	330.70	03/25/21	269.55	...	61.15	19 of 20 - Sale ²⁵
	10.325	341.43	03/29/21	300.00	...	41.43	20 of 20 - Sale ²⁵
04/09/21	96.633	3,195.68	Various	2,818.34	...	377.34	Total of 20 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PACIFIC BIOSCIENCES OF CALIFORNIA, INC. COMMON STOCK / CUSIP: 69404D108 / Symbol: (cont'd)							
<i>18 transactions for 04/09/21. Total proceeds and cost reported to the IRS.</i>							
	0.139	4.60	03/18/21	4.77	0.17 W	0.00	1 of 18 - Sale ²⁵
	0.526	17.38	03/18/21	18.03	0.65 W	0.00	2 of 18 - Sale ²⁵
	0.474	15.69	03/18/21	16.13	0.44 W	0.00	3 of 18 - Sale ²⁵
	2.000	66.13	03/18/21	68.00	1.87 W	0.00	4 of 18 - Sale ²⁵
	0.115	3.81	03/19/21	3.95	0.14 W	0.00	5 of 18 - Sale ²⁵
	0.989	32.69	03/19/21	34.02	1.33 W	0.00	6 of 18 - Sale ²⁵
	2.000	66.14	03/19/21	68.69	2.55 W	0.00	7 of 18 - Sale ²⁵
	0.122	4.04	03/19/21	4.17	0.13 W	0.00	8 of 18 - Sale ²⁵
	3.000	99.21	03/19/21	102.53	3.32 W	0.00	9 of 18 - Sale ²⁵
	2.830	93.58	03/19/21	99.96	6.38 W	0.00	10 of 18 - Sale ²⁵
	2.960	97.89	03/19/21	101.50	3.61 W	0.00	11 of 18 - Sale ²⁵
	1.106	36.59	03/19/21	36.96	0.37 W	0.00	12 of 18 - Sale ²⁵
	0.568	18.79	03/22/21	20.23	1.44 W	0.00	13 of 18 - Sale ²⁵
	4.299	142.18	03/22/21	153.25	11.07 W	0.00	14 of 18 - Sale ²⁵
	2.696	89.15	03/22/21	92.68	3.53 W	0.00	15 of 18 - Sale ²⁵
	1.000	33.07	03/22/21	34.38	1.31 W	0.00	16 of 18 - Sale ²⁵
	4.819	159.36	03/22/21	165.62	6.26 W	0.00	17 of 18 - Sale ²⁵
	0.524	17.31	03/22/21	18.00	0.69 W	0.00	18 of 18 - Sale ²⁵
04/09/21	30.166	997.61	Various	1,042.87	45.26 W	0.00	Total of 18 transactions
04/12/21	46.534	1,469.99	04/09/21	1,507.00	...	-37.01	Sale ²⁵
<i>43 transactions for 04/12/21. Total proceeds and cost reported to the IRS.</i>							
	9.422	297.64	04/09/21	300.00	0.56 W	-1.80	1 of 43 - Sale ²⁵
	0.139	4.40	04/09/21	4.74	0.34 W	0.00	2 of 43 - Sale ²⁵
	0.526	16.60	04/09/21	17.92	1.32 W	0.00	3 of 43 - Sale ²⁵
	0.474	14.99	04/09/21	16.03	1.04 W	0.00	4 of 43 - Sale ²⁵
	2.000	63.17	04/09/21	67.58	4.41 W	0.00	5 of 43 - Sale ²⁵
	0.115	3.64	04/09/21	3.93	0.29 W	0.00	6 of 43 - Sale ²⁵
	0.989	31.23	04/09/21	33.81	2.58 W	0.00	7 of 43 - Sale ²⁵
	1.844	58.25	04/09/21	62.94	4.69 W	0.00	8 of 43 - Sale ²⁵
	0.107	3.38	04/09/21	3.64	0.26 W	0.00	9 of 43 - Sale ²⁵
	0.049	1.55	04/09/21	1.67	0.12 W	0.00	10 of 43 - Sale ²⁵
	0.122	3.86	04/09/21	4.14	0.28 W	0.00	11 of 43 - Sale ²⁵
	2.816	88.96	04/09/21	95.85	6.89 W	0.00	12 of 43 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PACIFIC BIOSCIENCES OF CALIFOR NIA, INC. COMMON STOCK / CUSIP: 69404D108 / Symbol: (cont'd)							
	3.000	94.77	04/09/21	101.78	7.01 W	0.00	13 of 43 - Sale ²⁵
	0.065	2.06	04/09/21	2.20	0.14 W	0.00	14 of 43 - Sale ²⁵
	2.830	89.39	04/09/21	98.35	8.96 W	0.00	15 of 43 - Sale ²⁵
	0.103	3.26	04/09/21	3.38	0.12 W	0.00	16 of 43 - Sale ²⁵
	0.079	2.49	04/09/21	2.66	0.17 W	0.00	17 of 43 - Sale ²⁵
	0.240	7.59	04/09/21	7.78	0.19 W	0.00	18 of 43 - Sale ²⁵
	0.763	24.10	04/09/21	24.74	0.64 W	0.00	19 of 43 - Sale ²⁵
	0.568	17.94	04/09/21	19.66	1.72 W	0.00	20 of 43 - Sale ²⁵
	3.663	115.72	04/09/21	126.95	11.23 W	0.00	21 of 43 - Sale ²⁵
	0.636	20.09	04/09/21	22.04	1.95 W	0.00	22 of 43 - Sale ²⁵
	0.364	11.50	04/09/21	12.16	0.66 W	0.00	23 of 43 - Sale ²⁵
	0.116	3.66	04/09/21	3.86	0.20 W	0.00	24 of 43 - Sale ²⁵
	0.783	24.73	04/09/21	26.17	1.44 W	0.00	25 of 43 - Sale ²⁵
	2.216	70.00	04/09/21	74.05	4.05 W	0.00	26 of 43 - Sale ²⁵
	0.217	6.86	04/09/21	7.37	0.51 W	0.00	27 of 43 - Sale ²⁵
	0.524	16.54	04/09/21	17.79	1.25 W	0.00	28 of 43 - Sale ²⁵
	4.819	152.23	04/09/21	163.65	11.42 W	0.00	29 of 43 - Sale ²⁵
	0.564	17.82	04/09/21	18.42	0.60 W	0.00	30 of 43 - Sale ²⁵
	0.145	4.59	04/09/21	4.73	0.14 W	0.00	31 of 43 - Sale ²⁵
	5.995	189.39	04/09/21	195.27	5.88 W	0.00	32 of 43 - Sale ²⁵
	0.163	5.16	04/09/21	5.30	0.14 W	0.00	33 of 43 - Sale ²⁵
	6.000	189.54	04/09/21	194.70	5.16 W	0.00	34 of 43 - Sale ²⁵
	0.079	2.50	04/09/21	2.57	0.07 W	0.00	35 of 43 - Sale ²⁵
	3.000	94.77	04/09/21	97.43	2.66 W	0.00	36 of 43 - Sale ²⁵
	0.138	4.35	04/09/21	4.49	0.14 W	0.00	37 of 43 - Sale ²⁵
	6.000	189.54	04/09/21	195.51	5.97 W	0.00	38 of 43 - Sale ²⁵
	0.153	4.84	04/09/21	5.02	0.18 W	0.00	39 of 43 - Sale ²⁵
	8.997	284.20	04/09/21	294.98	10.78 W	0.00	40 of 43 - Sale ²⁵
	0.054	1.70	04/09/21	1.76	0.06 W	0.00	41 of 43 - Sale ²⁵
	2.999	94.75	04/09/21	98.24	3.49 W	0.00	42 of 43 - Sale ²⁵
	9.355	295.51	04/09/21	300.00	4.49 W	0.00	43 of 43 - Sale ²⁵
04/12/21	83.232	2,629.26	Various	2,745.26	114.20 W	-1.80	Total of 43 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PACIFIC BIOSCIENCES OF CALIFOR NIA, INC. COMMON STOCK / CUSIP: 69404D108 / Symbol: (cont'd)							
37 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
3.663		122.17	04/13/21	126.59	...	-4.42	1 of 37 - Sale ²⁵
2.830		94.36	04/13/21	98.07	...	-3.71	2 of 37 - Sale ²⁵
4.819		160.70	04/13/21	163.16	...	-2.46	3 of 37 - Sale ²⁵
2.816		93.91	04/13/21	95.57	...	-1.66	4 of 37 - Sale ²⁵
3.000		100.05	04/13/21	101.48	...	-1.43	5 of 37 - Sale ²⁵
1.844		61.50	04/13/21	62.76	...	-1.26	6 of 37 - Sale ²⁵
0.636		21.21	04/13/21	21.98	...	-0.77	7 of 37 - Sale ²⁵
0.989		32.97	04/13/21	33.71	...	-0.74	8 of 37 - Sale ²⁵
2.000		66.69	04/13/21	67.38	...	-0.69	9 of 37 - Sale ²⁵
0.568		18.94	04/13/21	19.61	...	-0.67	10 of 37 - Sale ²⁵
0.526		17.53	04/13/21	17.87	...	-0.34	11 of 37 - Sale ²⁵
0.524		17.46	04/13/21	17.74	...	-0.28	12 of 37 - Sale ²⁵
0.474		15.82	04/13/21	15.98	...	-0.16	13 of 37 - Sale ²⁵
0.217		7.24	04/13/21	7.35	...	-0.11	14 of 37 - Sale ²⁵
0.139		4.64	04/13/21	4.72	...	-0.08	15 of 37 - Sale ²⁵
0.115		3.84	04/13/21	3.92	...	-0.08	16 of 37 - Sale ²⁵
0.107		3.56	04/13/21	3.63	...	-0.07	17 of 37 - Sale ²⁵
0.122		4.07	04/13/21	4.12	...	-0.05	18 of 37 - Sale ²⁵
0.049		1.64	04/13/21	1.67	...	-0.03	19 of 37 - Sale ²⁵
0.065		2.18	04/13/21	2.20	...	-0.02	20 of 37 - Sale ²⁵
0.079		2.63	04/13/21	2.65	...	-0.02	21 of 37 - Sale ²⁵
0.116		3.86	04/13/21	3.84	...	0.02	22 of 37 - Sale ²⁵
0.364		12.14	04/13/21	12.12	...	0.02	23 of 37 - Sale ²⁵
0.783		26.11	04/13/21	26.09	...	0.02	24 of 37 - Sale ²⁵
2.216		73.90	04/13/21	73.83	...	0.07	25 of 37 - Sale ²⁵
0.079		2.64	04/13/21	2.57	...	0.07	26 of 37 - Sale ²⁵
0.103		3.44	04/13/21	3.36	...	0.08	27 of 37 - Sale ²⁵
0.138		4.60	04/13/21	4.48	...	0.12	28 of 37 - Sale ²⁵
0.145		4.85	04/13/21	4.72	...	0.13	29 of 37 - Sale ²⁵
0.163		5.45	04/13/21	5.28	...	0.17	30 of 37 - Sale ²⁵
0.240		8.01	04/13/21	7.76	...	0.25	31 of 37 - Sale ²⁵
0.564		18.81	04/13/21	18.36	...	0.45	32 of 37 - Sale ²⁵
0.763		25.44	04/13/21	24.67	...	0.77	33 of 37 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PACIFIC BIOSCIENCES OF CALIFOR NIA, INC. COMMON STOCK / CUSIP: 69404D108 / Symbol: (cont'd)							
	1.383	46.10	04/13/21	44.92	...	1.18	34 of 37 - Sale ²⁵
	3.000	100.05	04/13/21	97.12	...	2.93	35 of 37 - Sale ²⁵
	5.995	199.94	04/13/21	194.67	...	5.27	36 of 37 - Sale ²⁵
	6.000	200.09	04/13/21	194.10	...	5.99	37 of 37 - Sale ²⁵
04/14/21	47.634	1,588.54	Various	1,590.05	...	-1.51	Total of 37 transactions
	11 transactions for 05/03/21. Total proceeds and cost reported to the IRS.						
	8.997	262.05	04/28/21	312.60	...	-50.55	1 of 11 - Sale ²⁵
	4.607	134.19	04/28/21	159.13	...	-24.94	2 of 11 - Sale ²⁵
	1.083	31.55	04/28/21	37.59	...	-6.04	3 of 11 - Sale ²⁵
	0.153	4.47	04/28/21	5.32	...	-0.85	4 of 11 - Sale ²⁵
	0.054	1.57	04/28/21	1.87	...	-0.30	5 of 11 - Sale ²⁵
	0.010	0.30	04/28/21	0.36	...	-0.06	6 of 11 - Sale ²⁵
	8.776	238.80	04/30/21	264.32	...	-25.52	7 of 11 - Sale ²⁵
	2.224	60.52	04/30/21	66.49	...	-5.97	8 of 11 - Sale ²⁵
	1.916	55.82	04/30/21	59.03	...	-3.21	9 of 11 - Sale ²⁵
	0.234	6.37	04/30/21	7.04	...	-0.67	10 of 11 - Sale ²⁵
	0.345	10.05	04/30/21	10.40	...	-0.35	11 of 11 - Sale ²⁵
05/03/21	28.400	805.69	Various	924.15	...	-118.46	Total of 11 transactions
	Security total:	13,482.88		13,354.47	202.67 W	331.08	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
	13 transactions for 03/08/21. Total proceeds and cost reported to the IRS.						
	32.000	750.39	02/19/21	881.60	...	-131.21	1 of 13 - Sale ²⁵
	19.963	468.12	02/19/21	588.30	...	-120.18	2 of 13 - Sale ²⁵
	18.383	431.07	02/19/21	500.00	...	-68.93	3 of 13 - Sale ²⁵
	18.423	432.02	02/19/21	500.00	...	-67.98	4 of 13 - Sale ²⁵
	17.000	398.64	02/19/21	460.87	...	-62.23	5 of 13 - Sale ²⁵
	1.000	23.45	02/19/21	27.11	...	-3.66	6 of 13 - Sale ²⁵
	0.328	7.70	02/19/21	9.66	...	-1.96	7 of 13 - Sale ²⁵
	0.307	7.21	02/19/21	9.04	...	-1.83	8 of 13 - Sale ²⁵
	0.300	7.02	02/19/21	8.11	...	-1.09	9 of 13 - Sale ²⁵
	0.145	3.39	02/19/21	3.90	...	-0.51	10 of 13 - Sale ²⁵
	0.033	0.78	02/19/21	0.92	...	-0.14	11 of 13 - Sale ²⁵
	0.017	0.41	02/19/21	0.48	...	-0.07	12 of 13 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	18.450	432.65	02/23/21	500.00	...	-67.35	13 of 13 - Sale ²⁵
03/08/21	126.349	2,962.85	Various	3,489.99	...	-527.14	Total of 13 transactions
PROTO LABS, INC. / CUSIP: 743713109 / Symbol:							
17 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	3.607	407.86	03/15/21	500.00	...	-92.14	1 of 17 - Sale ²⁵
	1.000	113.09	03/16/21	131.55	...	-18.46	2 of 17 - Sale ²⁵
	0.761	86.05	03/16/21	100.00	...	-13.95	3 of 17 - Sale ²⁵
	0.064	7.26	03/16/21	8.45	...	-1.19	4 of 17 - Sale ²⁵
	0.916	103.60	03/17/21	120.00	...	-16.40	5 of 17 - Sale ²⁵
	1.000	113.08	03/17/21	128.70	...	-15.62	6 of 17 - Sale ²⁵
	0.765	86.53	03/17/21	100.00	...	-13.47	7 of 17 - Sale ²⁵
	0.767	86.72	03/17/21	100.00	...	-13.28	8 of 17 - Sale ²⁵
	0.770	87.08	03/17/21	100.00	...	-12.92	9 of 17 - Sale ²⁵
	0.780	88.16	03/17/21	100.00	...	-11.84	10 of 17 - Sale ²⁵
	0.156	17.61	03/17/21	20.00	...	-2.39	11 of 17 - Sale ²⁵
	0.088	9.93	03/17/21	11.30	...	-1.37	12 of 17 - Sale ²⁵
	0.806	91.17	03/18/21	100.00	...	-8.83	13 of 17 - Sale ²⁵
	0.806	91.19	03/18/21	100.00	...	-8.81	14 of 17 - Sale ²⁵
	0.831	94.02	03/18/21	100.00	...	-5.98	15 of 17 - Sale ²⁵
	0.831	94.03	03/29/21	100.00	...	-5.97	16 of 17 - Sale ²⁵
	0.806	91.10	03/31/21	100.00	...	-8.90	17 of 17 - Sale ²⁵
04/12/21	14.754	1,668.48	Various	1,920.00	...	-251.52	Total of 17 transactions
SALARIUS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 79400X107 / Symbol:							
9 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	211.633	253.95	02/11/21	598.90	...	-344.95	1 of 9 - Sale ²⁵
	35.462	42.55	02/11/21	100.00	...	-57.45	2 of 9 - Sale ²⁵
	35.000	42.00	02/11/21	98.18	...	-56.18	3 of 9 - Sale ²⁵
	36.000	43.20	02/11/21	99.31	...	-56.11	4 of 9 - Sale ²⁵
	0.524	0.63	02/11/21	1.47	...	-0.84	5 of 9 - Sale ²⁵
	0.389	0.47	02/11/21	1.10	...	-0.63	6 of 9 - Sale ²⁵
	0.166	0.20	02/11/21	0.46	...	-0.26	7 of 9 - Sale ²⁵
	0.125	0.15	02/11/21	0.35	...	-0.20	8 of 9 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SALARIUS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 79400X107 / Symbol: (cont'd)							
	0.083	0.10	02/11/21	0.23	...	-0.13	9 of 9 - Sale ²⁵
05/07/21	319.383	383.25	Various	900.00	...	-516.75	Total of 9 transactions
SCYNEXIS, INC. COMMON STOCK / CUSIP: 811292200 / Symbol:							
5 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	29.121	213.16	03/02/21	244.61	...	-31.45	1 of 5 - Sale ²⁵
	9.561	69.99	03/02/21	82.21	...	-12.22	2 of 5 - Sale ²⁵
	0.453	3.32	03/02/21	3.81	...	-0.49	3 of 5 - Sale ²⁵
	0.294	2.15	03/02/21	2.52	...	-0.37	4 of 5 - Sale ²⁵
	0.189	1.38	03/02/21	1.58	...	-0.20	5 of 5 - Sale ²⁵
04/19/21	39.617	290.00	Various	334.73	...	-44.73	Total of 5 transactions
2 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	54.000	413.63	03/02/21	464.32	...	-50.69	1 of 2 - Sale ²⁵
	0.227	1.74	03/02/21	1.95	...	-0.21	2 of 2 - Sale ²⁵
05/03/21	54.227	415.37	Various	466.27	...	-50.90	Total of 2 transactions
	Security total:	705.37		801.00	...	-95.63	
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
6 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	2.526	445.40	03/22/21	500.00	...	-54.60	1 of 6 - Sale ²⁵
	2.535	446.99	03/22/21	500.00	...	-53.01	2 of 6 - Sale ²⁵
	2.000	352.61	03/31/21	365.62	...	-13.01	3 of 6 - Sale ²⁵
	1.640	289.12	03/31/21	300.00	...	-10.88	4 of 6 - Sale ²⁵
	0.735	129.57	03/31/21	134.36	...	-4.79	5 of 6 - Sale ²⁵
	0.000	0.02	03/31/21	0.02	...	0.00	6 of 6 - Sale ²⁵
04/12/21	9.437	1,663.71	Various	1,800.00	...	-136.29	Total of 6 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
02/17/21	1.000	785.05	08/31/20	484.52	...	300.53	Sale ²⁵
5 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	08/31/20	0.01	...	0.00	1 of 5 - Sale ²⁵
	0.258	205.18	08/31/20	127.66	...	77.52	2 of 5 - Sale ²⁵
	0.742	589.13	08/31/20	366.93	...	222.20	3 of 5 - Sale ²⁵
	1.000	794.62	08/31/20	484.99	...	309.63	4 of 5 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	794.11	09/01/20	475.70	...	318.41	5 of 5 - Sale ²⁵
02/19/21	3.000	2,383.05	Various	1,455.29	...	927.76	Total of 5 transactions
	Security total:	3,168.10		1,939.81	...	1,228.29	
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
4 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	300.000	286.77	01/29/21	308.99	...	-22.20	1 of 4 - Sale ²⁵
	100.000	95.59	01/29/21	102.99	...	-7.40	2 of 4 - Sale ²⁵
	40.000	38.23	01/29/21	41.16	...	-2.93	3 of 4 - Sale ²⁵
	13.000	12.43	01/29/21	13.39	...	-0.96	4 of 4 - Sale ²⁵
02/02/21	453.000	433.02	Various	466.51	...	-33.49	Total of 4 transactions
VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V100 / Symbol:							
5 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	52.000	138.97	01/25/21	134.16	...	4.81	1 of 5 - Sale ²⁵
	520.000	1,389.65	01/25/21	1,339.26	...	50.39	2 of 5 - Sale ²⁵
	0.117	0.32	02/05/21	0.34	...	-0.02	3 of 5 - Sale ²⁵
	0.883	2.36	02/05/21	2.37	...	-0.01	4 of 5 - Sale ²⁵
	0.117	0.31	02/05/21	0.31	...	0.00	5 of 5 - Sale ²⁵
02/11/21	573.117	1,531.61	Various	1,476.44	...	55.17	Total of 5 transactions
02/11/21	528.000	1,411.04	01/25/21	1,499.52	0.02 W	-88.46	Sale ²⁵
	Security total:	2,942.65		2,975.96	0.02 W	-33.29	
STRONGBRIDGE BIOPHARMA PLC ORDINARY SHARES / CUSIP: G85347105 / Symbol:							
03/17/21	120.000	369.92	03/08/21	338.40	...	31.52	Sale ²⁵
2 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
	57.000	175.71	02/26/21	190.38	14.67 W	0.00	1 of 2 - Sale ²⁵
	86.378	266.27	03/01/21	279.00	12.73 W	0.00	2 of 2 - Sale ²⁵
03/17/21	143.378	441.98	Various	469.38	27.40 W	0.00	Total of 2 transactions
6 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	0.270	0.92	03/17/21	0.92	...	0.00	1 of 6 - Sale ²⁵
	0.249	0.85	03/17/21	0.83	...	0.02	2 of 6 - Sale ²⁵
	0.516	1.76	03/17/21	1.65	...	0.11	3 of 6 - Sale ²⁵
	37.787	128.47	03/17/21	125.16	...	3.31	4 of 6 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STRONGBRIDGE BIOPHARMA PLC ORD INARY SHARES / CUSIP: G85347105 / Symbol: (cont'd)							
	48.342	164.35	03/17/21	160.36	...	3.99	5 of 6 - Sale ²⁵
	108.622	369.29	03/17/21	344.33	...	24.96	6 of 6 - Sale ²⁵
03/18/21	195.787	665.64	Various	633.25	...	32.39	Total of 6 transactions
	2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.						
	56.213	191.12	03/17/21	192.39	1.27 W	0.00	1 of 2 - Sale ²⁵
	0.516	1.76	03/17/21	1.77	0.01 W	0.00	2 of 2 - Sale ²⁵
03/18/21	56.730	192.88	Various	194.16	1.28 W	0.00	Total of 2 transactions
	5 transactions for 04/19/21. Total proceeds and cost reported to the IRS.						
	123.803	288.45	03/19/21	427.80	...	-139.35	1 of 5 - Sale ²⁵
	69.054	160.89	03/19/21	237.39	...	-76.50	2 of 5 - Sale ²⁵
	55.849	130.13	03/19/21	193.26	...	-63.13	3 of 5 - Sale ²⁵
	0.638	1.49	03/19/21	2.20	...	-0.71	4 of 5 - Sale ²⁵
	0.182	0.42	03/19/21	0.62	...	-0.20	5 of 5 - Sale ²⁵
04/19/21	249.526	581.38	Various	861.27	...	-279.89	Total of 5 transactions
	Security total:	2,251.80		2,496.46	28.68 W	-215.98	
CRISPR THERAPEUTICS AG COMMON SHARES / CUSIP: H17182108 / Symbol:							
	3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.						
	7.000	1,319.47	01/14/21	1,385.93	...	-66.46	1 of 3 - Sale ²⁵
	2.000	377.56	01/14/21	395.98	...	-18.42	2 of 3 - Sale ²⁵
	1.000	188.85	01/14/21	197.99	...	-9.14	3 of 3 - Sale ²⁵
01/25/21	10.000	1,885.88	Various	1,979.90	...	-94.02	Total of 3 transactions
GILAT SATELLITE NETWORKS LTD. ORDINARY SHARES / CUSIP: M51474118 / Symbol:							
	2 transactions for 03/25/21. Total proceeds and cost reported to the IRS.						
	20.813	210.94	02/16/21	410.00	...	-199.06	1 of 2 - Sale ²⁵
	11.715	118.73	02/18/21	200.00	...	-81.27	2 of 2 - Sale ²⁵
03/25/21	32.528	329.67	Various	610.00	...	-280.33	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144143310

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RADA ELECTRONIC INDUSTRIES LTD . ORDINARY SHARES / CUSIP: M81863124 / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	0.051	0.68	02/11/21	0.65	...	0.03	1 of 2 - Sale ²⁵
	79.000	1,053.03	02/11/21	999.35	...	53.68	2 of 2 - Sale ²⁵
02/23/21	79.051	1,053.71	Various	1,000.00	...	53.71	Total of 2 transactions
STRATASYS, LTD. ORDINARY SHARE S (ISRAEL) / CUSIP: M85548101 / Symbol:							
6 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	4.251	113.49	03/11/21	120.00	...	-6.51	1 of 6 - Sale ²⁵
	6.911	184.53	03/12/21	194.00	...	-9.47	2 of 6 - Sale ²⁵
	3.617	96.58	03/12/21	100.00	...	-3.42	3 of 6 - Sale ²⁵
	1.276	34.07	03/12/21	36.00	...	-1.93	4 of 6 - Sale ²⁵
	1.842	49.17	03/12/21	50.00	...	-0.83	5 of 6 - Sale ²⁵
	1.940	51.79	03/17/21	52.00	...	-0.21	6 of 6 - Sale ²⁵
03/19/21	19.837	529.63	Various	552.00	...	-22.37	Total of 6 transactions
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	3.000	4.32	02/09/21	3.20	...	1.12	1 of 2 - Sale ²⁵
	281.000	404.92	02/09/21	299.27	...	105.65	2 of 2 - Sale ²⁵
02/16/21	284.000	409.24	Various	302.47	...	106.77	Total of 2 transactions
Totals :		49,870.03		50,088.76	328.76 W	110.03	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.