

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 718799679	Statement Date: 02/11/2022 Document ID: DJT9 N21 G21C	2021
	Sandeep reddy Peddi 1450 Worcester Rd Apt 8401 Framingham, MA 01702 RECIPIENT'S TIN: XXX-XX-2175		

[] FATCA filing requirement (see instructions)		Summary Information	
DIVIDENDS AND DISTRIBUTIONS	2021 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION
			2021 1099-MISC*
			OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	262.28		2- Royalties
1b- Qualified dividends	257.87		3- Other income
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	3.55		4- Federal income tax withheld
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest
2c- Section 1202 gain	0.00		
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS
2e- Section 897 ordinary dividends	0.00		2021 1099-B*
2f- Section 897 capital gain	0.00		OMB No. 1545-0715
3- Nondividend distributions	168.99		8- Profit or (loss) realized in 2021 on closed contracts
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2020
5- Section 199A dividends	2.13		10- Unrealized profit or (loss) on open contracts-12/31/2021
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts
8- Foreign country or US possession: See detail	2.20		
7- Foreign tax paid:	2.20		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>
9- Cash liquidation distributions	0.00		* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
10- Noncash liquidation distributions	0.00		
11- Exempt-interest dividends (includes line 12)	0.00		
12- Specified private activity bond interest dividends (AMT)	0.00		

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	17,623.22	10,025.48	0.00	9.99	7,607.73
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	37.16	69.30	0.00	0.00	-32.14
	Total Short-term	17,660.38	10,094.78	0.00	9.99	7,575.59
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	17,660.38	10,094.78	0.00	9.99	7,575.59
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 718799679

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.30
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	64.27
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	172.21
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/29/2021 CALL \$17.00 / CUSIP: / Symbol:							
02/01/21	1.000	-127.02	01/29/21	0.00	...	-127.02	Short sale closed- call ²⁵ Option written: 01/26/21
AAL 02/05/2021 CALL \$17.50 / CUSIP: / Symbol:							
02/02/21	1.000	-6.02	02/01/21	0.00	...	-6.02	Short sale closed- call ²⁵ Option written: 01/26/21
AAL 02/05/2021 CALL \$24.00 / CUSIP: / Symbol:							
02/05/21	1.000	3.98	02/05/21	0.00	...	3.98	Short sale closed- call expired Option written: 02/03/21
AAL 02/12/2021 CALL \$21.50 / CUSIP: / Symbol:							
02/09/21	1.000	-0.02	02/08/21	0.00	...	-0.02	Short sale closed- call ²⁵ Option written: 02/08/21

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Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAL 02/19/2021 CALL \$20.50 / CUSIP: / Symbol:							
02/19/21	1.000	2.98	02/19/21	0.00	...	2.98	Short sale closed- call expired Option written: 02/17/21
AAL 03/12/2021 CALL \$23.00 / CUSIP: / Symbol:							
03/12/21	1.000	5.98	03/11/21	0.00	...	5.98	Short sale closed- call ²⁵ Option written: 03/05/21
AEZS 02/19/2021 PUT \$1.00 / CUSIP: / Symbol:							
02/18/21	1.000	9.99	02/17/21	0.00	...	9.99	Short sale closed- put ²⁵ Option written: 02/08/21
02/19/21	1.000	14.99	02/19/21	0.00	...	14.99	Short sale closed- put expired Option written: 02/08/21
Security total:		24.98		0.00	...	24.98	
AEZS 02/19/2021 PUT \$2.50 / CUSIP: / Symbol:							
02/17/21	1.000	-5.02	02/16/21	0.00	...	-5.02	Short sale closed- put ²⁵ Option written: 02/09/21
AEZS 03/19/2021 PUT \$1.00 / CUSIP: / Symbol:							
03/19/21	1.000	9.98	03/19/21	0.00	...	9.98	Short sale closed- put expired Option written: 02/16/21
AEZS 05/21/2021 CALL \$1.50 / CUSIP: / Symbol:							
05/21/21	1.000	9.98	05/21/21	0.00	...	9.98	Short sale closed- call expired Option written: 04/26/21
AEZS 08/20/2021 CALL \$2.00 / CUSIP: / Symbol:							
08/20/21	1.000	4.98	08/20/21	0.00	...	4.98	Short sale closed- call expired Option written: 05/27/21
AGTC 02/19/2021 PUT \$5.00 / CUSIP: / Symbol:							
02/19/21	1.000	44.98	02/19/21	0.00	...	44.98	Short sale closed- put expired Option written: 02/09/21

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGTC 03/19/2021 PUT \$5.00 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	19.98	03/19/21	0.00	...	19.98	1 of 2 - Short sale closed- put expired
	1.000	69.98	03/19/21	0.00	...	69.98	Option written: 03/15/21 2 of 2 - Short sale closed- put expired
03/19/21	2.000	89.96	Various	0.00	...	89.96	Option written: 03/01/21 Total of 2 transactions
AGTC 06/18/2021 CALL \$7.50 / CUSIP: / Symbol:							
06/18/21	1.000	4.98	06/18/21	0.00	...	4.98	Short sale closed- call expired Option written: 05/17/21
AGTC 07/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
07/16/21	1.000	9.98	07/16/21	0.00	...	9.98	Short sale closed- call expired Option written: 06/24/21
AIV 12/17/2021 CALL \$7.50 / CUSIP: / Symbol:							
12/06/21	1.000	34.98	06/24/21	85.00	...	-50.02	Option sale to close-call ²⁵
AMC 01/22/2021 PUT \$3.00 / CUSIP: / Symbol:							
01/22/21	1.000	26.98	01/22/21	0.00	...	26.98	Short sale closed- put expired Option written: 01/20/21
AMC 01/29/2021 PUT \$2.50 / CUSIP: / Symbol:							
01/26/21	1.000	12.98	01/25/21	0.00	...	12.98	Short sale closed- put ²⁵ Option written: 01/20/21
AMC 02/19/2021 PUT \$5.50 / CUSIP: / Symbol:							
02/19/21	1.000	20.98	02/19/21	0.00	...	20.98	Short sale closed- put expired Option written: 02/17/21
AMC 02/26/2021 PUT \$6.50 / CUSIP: / Symbol:							
03/01/21	1.000	5.98	02/26/21	0.00	...	5.98	Short sale closed- put ²⁵ Option written: 02/24/21

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMC 02/26/2021 PUT \$7.00 / CUSIP: / Symbol:							
02/24/21	1.000	48.98	02/23/21	0.00	...	48.98	Short sale closed- put ²⁵ Option written: 02/23/21
02/25/21	1.000	36.98	02/24/21	0.00	...	36.98	Short sale closed- put ²⁵ Option written: 02/23/21
Security total:		85.96		0.00	...	85.96	
AMC 02/26/2021 PUT \$8.00 / CUSIP: / Symbol:							
03/01/21	1.000	22.98	02/26/21	0.00	...	22.98	Short sale closed- put ²⁵ Option written: 02/24/21
AMC 03/05/2021 CALL \$12.00 / CUSIP: / Symbol:							
03/08/21	1.000	45.98	03/05/21	0.00	...	45.98	Short sale closed- call ²⁵ Option written: 03/01/21
AMC 03/05/2021 PUT \$6.00 / CUSIP: / Symbol:							
03/02/21	1.000	18.98	03/01/21	0.00	...	18.98	Short sale closed- put ²⁵ Option written: 02/26/21
AMC 03/05/2021 PUT \$7.00 / CUSIP: / Symbol:							
03/08/21	1.000	3.98	03/05/21	0.00	...	3.98	Short sale closed- put ²⁵ Option written: 03/04/21
AMC 03/12/2021 PUT \$8.00 / CUSIP: / Symbol:							
03/12/21	1.000	92.98	03/11/21	0.00	...	92.98	Short sale closed- put ²⁵ Option written: 03/01/21
ASRT 03/19/2021 PUT \$1.00 / CUSIP: / Symbol:							
03/22/21	1.000	14.98	03/19/21	0.00	...	14.98	Short sale closed- put ²⁵ Option written: 03/01/21
ASXC 03/19/2021 CALL \$8.00 / CUSIP: / Symbol:							
03/19/21	1.000	9.98	03/19/21	0.00	...	9.98	Short sale closed- call expired Option written: 02/26/21
ASXC 04/16/2021 CALL \$6.00 / CUSIP: / Symbol:							
04/16/21	1.000	19.98	04/16/21	0.00	...	19.98	Short sale closed- call expired Option written: 03/22/21

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASXC 06/18/2021 CALL \$4.00 / CUSIP: / Symbol:							
06/16/21	1.000	4.98	06/15/21	0.00	...	4.98	Short sale closed- call ²⁵ Option written: 06/04/21
ATOS 03/19/2021 PUT \$3.00 / CUSIP: / Symbol:							
03/22/21	1.000	0.98	03/19/21	0.00	...	0.98	Short sale closed- put ²⁵ Option written: 03/11/21
BP 02/26/2021 PUT \$23.50 / CUSIP: / Symbol:							
02/23/21	1.000	72.98	02/22/21	0.00	...	72.98	Short sale closed- put ²⁵ Option written: 02/16/21
BP 02/26/2021 PUT \$24.00 / CUSIP: / Symbol:							
02/25/21	1.000	47.98	02/24/21	0.00	...	47.98	Short sale closed- put ²⁵ Option written: 02/22/21
BP 03/12/2021 PUT \$23.00 / CUSIP: / Symbol:							
03/05/21	1.000	22.98	03/04/21	0.00	...	22.98	Short sale closed- put ²⁵ Option written: 02/26/21
CEI 12/03/2021 CALL \$1.50 / CUSIP: / Symbol:							
12/03/21	1.000	19.98	12/03/21	0.00	...	19.98	Short sale closed- call expired Option written: 11/17/21
ET 02/26/2021 PUT \$7.00 / CUSIP: / Symbol:							
02/24/21	1.000	35.98	02/23/21	0.00	...	35.98	Short sale closed- put ²⁵ Option written: 02/18/21
ET 02/26/2021 PUT \$8.00 / CUSIP: / Symbol:							
02/26/21	1.000	43.98	02/25/21	0.00	...	43.98	Short sale closed- put ²⁵ Option written: 02/23/21
03/01/21	1.000	-18.02	02/26/21	0.00	...	-18.02	Short sale closed- put ²⁵ Option written: 02/24/21
	Security total:	25.96		0.00	...	25.96	
ET 03/05/2021 PUT \$7.00 / CUSIP: / Symbol:							
03/05/21	1.000	4.98	03/04/21	0.00	...	4.98	Short sale closed- put ²⁵ Option written: 02/26/21

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ET 03/05/2021 PUT \$7.50 / CUSIP: / Symbol:							
03/05/21	1.000	4.98	03/04/21	0.00	...	4.98	Short sale closed- put ²⁵ Option written: 02/25/21
ET 05/28/2021 CALL \$12.00 / CUSIP: / Symbol:							
05/25/21	1.000	2.98	05/24/21	0.00	...	2.98	Short sale closed- call ²⁵ Option written: 05/17/21
GNUS 01/29/2021 PUT \$1.50 / CUSIP: / Symbol:							
01/26/21	1.000	6.98	01/25/21	0.00	...	6.98	Short sale closed- put ²⁵ Option written: 01/20/21
GNUS 02/05/2021 PUT \$1.50 / CUSIP: / Symbol:							
02/05/21	2.000	23.98	02/05/21	0.00	...	23.98	Short sale closed- put expired Option written: 01/25/21
GNUS 02/12/2021 CALL \$4.00 / CUSIP: / Symbol:							
02/12/21	1.000	2.98	02/12/21	0.00	...	2.98	Short sale closed- call expired Option written: 02/08/21
GNUS 02/12/2021 PUT \$2.00 / CUSIP: / Symbol:							
02/12/21	1.000	10.98	02/12/21	0.00	...	10.98	Short sale closed- put expired Option written: 02/08/21
GNUS 02/26/2021 CALL \$4.00 / CUSIP: / Symbol:							
02/25/21	1.000	6.98	02/24/21	0.00	...	6.98	Short sale closed- call ²⁵ Option written: 02/16/21
GNUS 03/05/2021 CALL \$3.00 / CUSIP: / Symbol:							
03/05/21	1.000	3.98	03/05/21	0.00	...	3.98	Short sale closed- call expired Option written: 02/24/21
GNUS 03/12/2021 CALL \$3.00 / CUSIP: / Symbol:							
03/12/21	1.000	3.98	03/12/21	0.00	...	3.98	Short sale closed- call expired Option written: 03/10/21

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GNUS 04/30/2021 CALL \$2.50 / CUSIP: / Symbol:							
04/30/21	1.000	1.98	04/30/21	0.00	...	1.98	Short sale closed- call expired Option written: 04/26/21
GNUS 06/11/2021 CALL \$3.50 / CUSIP: / Symbol:							
06/11/21	1.000	6.98	06/11/21	0.00	...	6.98	Short sale closed- call expired Option written: 06/03/21
GNUS 11/26/2021 CALL \$2.50 / CUSIP: / Symbol:							
11/26/21	1.000	39.98	11/26/21	0.00	...	39.98	Short sale closed- call expired Option written: 10/26/21
IBIO 03/19/2021 PUT \$1.00 / CUSIP: / Symbol:							
03/19/21	1.000	4.98	03/19/21	0.00	...	4.98	Short sale closed- put expired Option written: 03/05/21
IBIO 05/21/2021 PUT \$1.50 / CUSIP: / Symbol:							
05/21/21	1.000	14.98	05/20/21	0.00	...	14.98	Short sale closed- put ²⁵ Option written: 03/22/21
IBIO 06/18/2021 CALL \$2.00 / CUSIP: / Symbol:							
	2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.						
	1.000	4.98	06/18/21	0.00	...	4.98	1 of 2 - Short sale closed- call expired Option written: 05/27/21
	1.000	9.98	06/18/21	0.00	...	9.98	2 of 2 - Short sale closed- call expired Option written: 06/10/21
06/18/21	2.000	14.96	Various	0.00	...	14.96	Total of 2 transactions
IDEX 02/19/2021 PUT \$4.00 / CUSIP: / Symbol:							
02/04/21	1.000	53.98	02/03/21	0.00	...	53.98	Short sale closed- put ²⁵ Option written: 01/27/21
02/18/21	1.000	29.98	02/17/21	0.00	...	29.98	Short sale closed- put ²⁵ Option written: 02/03/21
	Security total:	83.96		0.00	...	83.96	

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
IDEX 03/19/2021 CALL \$6.00 / CUSIP: / Symbol:							
03/01/21	1.000	19.98	02/26/21	0.00	...	19.98	Short sale closed- call ²⁵ Option written: 02/22/21
03/19/21	1.000	8.98	03/19/21	0.00	...	8.98	Short sale closed- call expired Option written: 02/26/21
Security total:		28.96		0.00	...	28.96	
IDEX 04/16/2021 CALL \$5.50 / CUSIP: / Symbol:							
04/16/21	1.000	13.98	04/16/21	0.00	...	13.98	Short sale closed- call expired Option written: 03/22/21
IDEX 07/16/2021 CALL \$6.00 / CUSIP: / Symbol:							
07/13/21	1.000	5.98	07/12/21	0.00	...	5.98	Short sale closed- call ²⁵ Option written: 06/01/21
INO 04/30/2021 PUT \$6.50 / CUSIP: / Symbol:							
04/29/21	1.000	33.98	04/28/21	0.00	...	33.98	Short sale closed- put ²⁵ Option written: 04/26/21
INO 05/07/2021 PUT \$6.50 / CUSIP: / Symbol:							
05/05/21	1.000	-0.02	05/04/21	0.00	...	-0.02	Short sale closed- put ²⁵ Option written: 04/28/21
INO 05/14/2021 CALL \$9.00 / CUSIP: / Symbol:							
05/13/21	1.000	8.98	05/12/21	0.00	...	8.98	Short sale closed- call ²⁵ Option written: 05/03/21
INO 05/21/2021 CALL \$10.00 / CUSIP: / Symbol:							
05/21/21	1.000	5.98	05/21/21	0.00	...	5.98	Short sale closed- call expired Option written: 05/12/21
INO 11/12/2021 CALL \$9.00 / CUSIP: / Symbol:							
11/12/21	1.000	7.98	11/12/21	0.00	...	7.98	Short sale closed- call expired Option written: 10/28/21
IVR 02/19/2021 CALL \$7.00 / CUSIP: / Symbol:							
02/19/21	1.000	5.98	02/19/21	0.00	...	5.98	Short sale closed- call expired Option written: 02/01/21

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
IVR 03/19/2021 CALL \$4.50 / CUSIP: / Symbol:							
03/09/21	1.000	0.98	03/08/21	0.00	...	0.98	Short sale closed- call ²⁵ Option written: 03/08/21
IVR 03/19/2021 CALL \$7.00 / CUSIP: / Symbol:							
02/23/21	1.000	-0.01	02/22/21	0.00	...	-0.01	Short sale closed- call ²⁵ Option written: 02/22/21
03/12/21	1.000	2.99	03/11/21	0.00	...	2.99	Short sale closed- call ²⁵ Option written: 02/22/21
Security total:		2.98		0.00	...	2.98	
IVR 03/19/2021 CALL \$8.00 / CUSIP: / Symbol:							
03/19/21	1.000	2.98	03/19/21	0.00	...	2.98	Short sale closed- call expired Option written: 03/01/21
IVR 04/16/2021 CALL \$7.00 / CUSIP: / Symbol:							
03/19/21	1.000	-0.02	03/18/21	0.00	...	-0.02	Short sale closed- call ²⁵ Option written: 03/11/21
IVR 04/30/2021 CALL \$4.50 / CUSIP: / Symbol:							
04/30/21	1.000	1.98	04/30/21	0.00	...	1.98	Short sale closed- call expired Option written: 04/19/21
JAGX 02/19/2021 PUT \$2.50 / CUSIP: / Symbol:							
02/19/21	1.000	19.98	02/19/21	0.00	...	19.98	Short sale closed- put expired Option written: 02/11/21
JAGX 03/19/2021 PUT \$2.50 / CUSIP: / Symbol:							
03/22/21	1.000	4.98	03/19/21	0.00	...	4.98	Short sale closed- put ²⁵ Option written: 02/19/21
JDST 03/05/2021 PUT \$13.00 / CUSIP: / Symbol:							
03/08/21	1.000	49.98	03/05/21	0.00	...	49.98	Short sale closed- put ²⁵ Option written: 03/03/21

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KOS 02/19/2021 PUT \$2.50 / CUSIP: / Symbol:							
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	9.98	02/19/21	0.00	...	9.98	1 of 3 - Short sale closed- put expired
	1.000	9.98	02/19/21	0.00	...	9.98	Option written: 02/08/21 2 of 3 - Short sale closed- put expired
	1.000	44.98	02/19/21	0.00	...	44.98	Option written: 02/08/21 3 of 3 - Short sale closed- put expired
02/19/21	3.000	64.94	Various	0.00	...	64.94	Option written: 02/01/21 Total of 3 transactions
KOS 03/19/2021 PUT \$2.50 / CUSIP: / Symbol:							
03/01/21	1.000	10.98	02/26/21	0.00	...	10.98	Short sale closed- put ²⁵ Option written: 02/19/21
03/05/21	1.000	9.98	03/04/21	0.00	...	9.98	Short sale closed- put ²⁵ Option written: 02/23/21
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	2.000	9.98	03/19/21	0.00	...	9.98	1 of 2 - Short sale closed- put expired
	1.000	9.98	03/19/21	0.00	...	9.98	Option written: 03/08/21 2 of 2 - Short sale closed- put expired
03/19/21	3.000	19.96	Various	0.00	...	19.96	Option written: 03/08/21 Total of 2 transactions
	Security total:	40.92		0.00	...	40.92	

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KOS 04/16/2021 PUT \$2.50 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	9.98	04/16/21	0.00	...	9.98	1 of 2 - Short sale closed- put expired Option written: 03/22/21
	1.000	29.98	04/16/21	0.00	...	29.98	2 of 2 - Short sale closed- put expired Option written: 02/23/21
04/16/21	2.000	39.96	Various	0.00	...	39.96	Total of 2 transactions
MGI 02/19/2021 PUT \$6.00 / CUSIP: / Symbol:							
01/28/21	1.000	19.98	01/27/21	0.00	...	19.98	Short sale closed- put ²⁵ Option written: 01/20/21
MTEM 05/21/2021 CALL \$12.50 / CUSIP: / Symbol:							
05/12/21	1.000	14.98	05/11/21	0.00	...	14.98	Short sale closed- call ²⁵ Option written: 04/19/21
MTEM 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
06/07/21	1.000	14.98	06/04/21	0.00	...	14.98	Short sale closed- call ²⁵ Option written: 05/28/21
NAK 02/19/2021 PUT \$0.50 / CUSIP: / Symbol:							
02/19/21	4.000	3.98	02/19/21	0.00	...	3.98	Short sale closed- put expired Option written: 02/17/21
NAK 03/19/2021 CALL \$2.00 / CUSIP: / Symbol:							
03/19/21	1.000	2.98	03/19/21	0.00	...	2.98	Short sale closed- call expired Option written: 02/23/21
NAK 03/19/2021 PUT \$0.50 / CUSIP: / Symbol:							
2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	1.000	3.98	03/08/21	0.00	...	3.98	1 of 2 - Short sale closed- put ²⁵ Option written: 02/17/21
	2.000	3.98	03/08/21	0.00	...	3.98	2 of 2 - Short sale closed- put ²⁵ Option written: 02/22/21
03/09/21	3.000	7.96	Various	0.00	...	7.96	Total of 2 transactions

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NAK 03/19/2021 PUT \$1.00 / CUSIP: / Symbol:							
03/22/21	1.000	0.98	03/19/21	0.00	...	0.98	Short sale closed- put ²⁵ Option written: 02/16/21
NAK 06/18/2021 CALL \$1.00 / CUSIP: / Symbol:							
06/18/21	1.000	1.98	06/18/21	0.00	...	1.98	Short sale closed- call expired Option written: 06/01/21
NCLH 01/15/2021 PUT \$23.00 / CUSIP: / Symbol:							
01/07/21	1.000	59.98	01/06/21	0.00	...	59.98	Short sale closed- put ²⁵ Option written: 01/05/21
NCLH 01/15/2021 PUT \$23.50 / CUSIP: / Symbol:							
01/08/21	1.000	27.98	01/07/21	0.00	...	27.98	Short sale closed- put ²⁵ Option written: 01/06/21
01/14/21	1.000	12.98	01/13/21	0.00	...	12.98	Short sale closed- put ²⁵ Option written: 01/07/21
	Security total:	40.96		0.00	...	40.96	
NOK 01/29/2021 PUT \$6.00 / CUSIP: / Symbol:							
01/28/21	1.000	30.98	01/27/21	0.00	...	30.98	Short sale closed- put ²⁵ Option written: 01/27/21
NOK 02/05/2021 CALL \$13.00 / CUSIP: / Symbol:							
02/04/21	1.000	8.98	02/03/21	0.00	...	8.98	Short sale closed- call ²⁵ Option written: 02/01/21
NOK 02/26/2021 CALL \$7.00 / CUSIP: / Symbol:							
03/01/21	1.000	0.98	02/26/21	0.00	...	0.98	Short sale closed- call ²⁵ Option written: 02/16/21
NOK 04/01/2021 CALL \$7.00 / CUSIP: / Symbol:							
04/01/21	1.000	1.98	04/01/21	0.00	...	1.98	Short sale closed- call expired Option written: 03/22/21
NOK 04/30/2021 CALL \$5.50 / CUSIP: / Symbol:							
05/03/21	1.000	-0.02	04/30/21	0.00	...	-0.02	Short sale closed- call ²⁵ Option written: 04/29/21

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOK 06/18/2021 CALL \$7.00 / CUSIP: / Symbol:							
06/04/21	1.000	-19.02	06/03/21	0.00	...	-19.02	Short sale closed- call ²⁵ Option written: 05/27/21
NOK 07/23/2021 CALL \$7.00 / CUSIP: / Symbol:							
07/22/21	1.000	3.98	07/21/21	0.00	...	3.98	Short sale closed- call ²⁵ Option written: 07/13/21
NOK 11/05/2021 CALL \$6.50 / CUSIP: / Symbol:							
11/05/21	1.000	5.98	11/05/21	0.00	...	5.98	Short sale closed- call expired Option written: 10/28/21
OGI 02/19/2021 PUT \$2.50 / CUSIP: / Symbol:							
02/09/21	1.000	59.98	02/08/21	0.00	...	59.98	Short sale closed- put ²⁵ Option written: 01/27/21
02/19/21	1.000	11.98	02/19/21	0.00	...	11.98	Short sale closed- put expired Option written: 02/08/21
	Security total:	71.96		0.00	...	71.96	
RIOT 01/15/2021 PUT \$21.50 / CUSIP: / Symbol:							
01/15/21	1.000	70.98	01/14/21	0.00	...	70.98	Short sale closed- put ²⁵ Option written: 01/13/21
RKT 05/28/2021 CALL \$18.50 / CUSIP: / Symbol:							
05/20/21	1.000	8.98	05/19/21	0.00	...	8.98	Short sale closed- call ²⁵ Option written: 05/17/21
SESN 02/19/2021 PUT \$2.00 / CUSIP: / Symbol:							
02/19/21	1.000	14.98	02/19/21	0.00	...	14.98	Short sale closed- put expired Option written: 02/08/21
SNDL 01/22/2021 PUT \$0.50 / CUSIP: / Symbol:							
01/22/21	1.000	4.98	01/22/21	0.00	...	4.98	Short sale closed- put expired Option written: 01/08/21
SNDL 02/05/2021 CALL \$1.50 / CUSIP: / Symbol:							
02/05/21	1.000	19.98	02/05/21	0.00	...	19.98	Short sale closed- call expired Option written: 02/01/21

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Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SNDL 02/05/2021 PUT \$1.00 / CUSIP: / Symbol:							
3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	19.98	02/05/21	0.00	...	19.98	1 of 3 - Short sale closed- put expired
	1.000	21.98	02/05/21	0.00	...	21.98	Option written: 02/01/21 2 of 3 - Short sale closed- put expired
	1.000	39.98	02/05/21	0.00	...	39.98	Option written: 02/01/21 3 of 3 - Short sale closed- put expired
02/05/21	3.000	81.94	Various	0.00	...	81.94	Option written: 01/19/21 Total of 3 transactions
SNDL 02/12/2021 PUT \$1.50 / CUSIP: / Symbol:							
02/12/21	1.000	15.98	02/12/21	0.00	...	15.98	Short sale closed- put expired Option written: 02/09/21
SNDL 02/12/2021 PUT \$2.00 / CUSIP: / Symbol:							
02/12/21	1.000	19.98	02/12/21	0.00	...	19.98	Short sale closed- put expired Option written: 02/12/21
SNDL 02/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
02/19/21	1.000	4.98	02/19/21	0.00	...	4.98	Short sale closed- call expired Option written: 02/08/21
SNDL 02/19/2021 CALL \$4.00 / CUSIP: / Symbol:							
02/16/21	1.000	2.98	02/12/21	0.00	...	2.98	Short sale closed- call ²⁵ Option written: 02/10/21
SNDL 02/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/16/21	1.000	9.98	02/12/21	0.00	...	9.98	Short sale closed- call ²⁵ Option written: 02/10/21

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNDL 02/19/2021 PUT \$1.50 / CUSIP: / Symbol:							
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	9.98	02/19/21	0.00	...	9.98	1 of 3 - Short sale closed- put expired
	1.000	9.98	02/19/21	0.00	...	9.98	Option written: 02/12/21 2 of 3 - Short sale closed- put expired
	1.000	44.98	02/19/21	0.00	...	44.98	Option written: 02/16/21 3 of 3 - Short sale closed- put expired
02/19/21	3.000	64.94	Various	0.00	...	64.94	Option written: 02/08/21 Total of 3 transactions
SNDL 03/05/2021 CALL \$2.00 / CUSIP: / Symbol:							
03/05/21	1.000	4.98	03/05/21	0.00	...	4.98	Short sale closed- call expired Option written: 03/01/21
SNDL 03/12/2021 CALL \$2.00 / CUSIP: / Symbol:							
03/09/21	1.000	6.98	03/08/21	0.00	...	6.98	Short sale closed- call ²⁵ Option written: 03/01/21
SNDL 03/19/2021 CALL \$2.00 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	6.98	03/19/21	0.00	...	6.98	1 of 2 - Short sale closed- call expired
	1.000	6.98	03/19/21	0.00	...	6.98	Option written: 03/08/21 2 of 2 - Short sale closed- call expired
03/19/21	2.000	13.96	Various	0.00	...	13.96	Option written: 03/08/21 Total of 2 transactions
SNDL 04/23/2021 CALL \$1.50 / CUSIP: / Symbol:							
04/23/21	1.000	2.98	04/23/21	0.00	...	2.98	Short sale closed- call expired Option written: 04/14/21

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SNDL 04/30/2021 CALL \$1.50 / CUSIP: / Symbol: 04/30/21	1.000	2.98	04/30/21	0.00	...	2.98	Short sale closed- call expired Option written: 04/19/21
SNDL 05/21/2021 CALL \$1.00 / CUSIP: / Symbol: 05/21/21	1.000	0.98	05/21/21	0.00	...	0.98	Short sale closed- call expired Option written: 05/14/21
SNDL 06/04/2021 CALL \$1.50 / CUSIP: / Symbol: 06/04/21	2.000	5.98	06/04/21	0.00	...	5.98	Short sale closed- call expired Option written: 05/27/21
SNDL 07/02/2021 CALL \$2.00 / CUSIP: / Symbol: 07/02/21	1.000	1.98	07/02/21	0.00	...	1.98	Short sale closed- call expired Option written: 06/24/21
SNDL 07/09/2021 CALL \$2.50 / CUSIP: / Symbol: 07/09/21	1.000	2.98	07/09/21	0.00	...	2.98	Short sale closed- call expired Option written: 06/24/21
SNDL 07/16/2021 CALL \$4.50 / CUSIP: / Symbol: 07/16/21	1.000	1.98	07/16/21	0.00	...	1.98	Short sale closed- call expired Option written: 06/24/21
SNDL 07/23/2021 CALL \$1.00 / CUSIP: / Symbol: 07/23/21	2.000	9.98	07/23/21	0.00	...	9.98	Short sale closed- call expired Option written: 07/13/21
SNDL 08/20/2021 CALL \$1.00 / CUSIP: / Symbol: 08/20/21	1.000	6.98	08/20/21	0.00	...	6.98	Short sale closed- call expired Option written: 07/28/21
SNDL 08/20/2021 CALL \$1.50 / CUSIP: / Symbol: 08/20/21	1.000	2.98	08/20/21	0.00	...	2.98	Short sale closed- call expired Option written: 07/29/21

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNDL 10/01/2021 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	1.000	3.99	09/22/21	0.00	...	3.99	1 of 2 - Short sale closed- call ²⁵ Option written: 08/31/21
	1.000	3.99	09/22/21	0.00	...	3.99	2 of 2 - Short sale closed- call ²⁵ Option written: 08/31/21
09/23/21	2.000	7.98	Various	0.00	...	7.98	Total of 2 transactions
SNDL 10/29/2021 CALL \$1.00 / CUSIP: / Symbol:							
10/29/21	2.000	7.98	10/29/21	0.00	...	7.98	Short sale closed- call expired Option written: 10/01/21
SNDL 11/19/2021 CALL \$1.00 / CUSIP: / Symbol:							
11/19/21	2.000	13.98	11/19/21	0.00	...	13.98	Short sale closed- call expired Option written: 11/12/21
SNDL 11/26/2021 CALL \$1.50 / CUSIP: / Symbol:							
11/26/21	1.000	2.98	11/26/21	0.00	...	2.98	Short sale closed- call expired Option written: 11/12/21
SOS 04/09/2021 CALL \$7.00 / CUSIP: / Symbol:							
2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	1.000	4.98	04/09/21	0.00	...	4.98	1 of 2 - Short sale closed- call expired Option written: 04/09/21
	1.000	14.98	04/09/21	0.00	...	14.98	2 of 2 - Short sale closed- call expired Option written: 04/07/21
04/09/21	2.000	19.96	Various	0.00	...	19.96	Total of 2 transactions
SOS 04/30/2021 CALL \$7.50 / CUSIP: / Symbol:							
04/30/21	1.000	4.98	04/30/21	0.00	...	4.98	Short sale closed- call expired Option written: 04/20/21
SOS 06/25/2021 CALL \$9.00 / CUSIP: / Symbol:							
06/24/21	1.000	10.98	06/23/21	0.00	...	10.98	Short sale closed- call ²⁵ Option written: 06/14/21

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SOS 08/20/2021 CALL \$5.50 / CUSIP: / Symbol:							
08/20/21	1.000	7.98	08/20/21	0.00	...	7.98	Short sale closed- call expired Option written: 07/26/21
TELL 02/19/2021 PUT \$3.00 / CUSIP: / Symbol:							
02/19/21	1.000	24.98	02/19/21	0.00	...	24.98	Short sale closed- put expired Option written: 02/09/21
TRXC 02/19/2021 PUT \$4.00 / CUSIP: / Symbol:							
02/10/21	1.000	59.98	02/09/21	0.00	...	59.98	Short sale closed- put ²⁵ Option written: 01/26/21
TRXC 03/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
03/01/21	1.000	24.98	02/26/21	0.00	...	24.98	Short sale closed- call ²⁵ Option written: 02/22/21
TTNP 03/19/2021 PUT \$2.50 / CUSIP: / Symbol:							
	2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.						
	1.000	9.98	03/19/21	0.00	...	9.98	1 of 2 - Short sale closed- put expired Option written: 03/01/21
	1.000	19.98	03/19/21	0.00	...	19.98	2 of 2 - Short sale closed- put expired Option written: 02/24/21
03/19/21	2.000	29.96	Various	0.00	...	29.96	Total of 2 transactions
TTNP 11/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
11/19/21	1.000	4.98	11/19/21	0.00	...	4.98	Short sale closed- call expired Option written: 10/04/21
TXMD 02/19/2021 CALL \$6.00 / CUSIP: / Symbol:							
02/19/21	1.000	4.98	02/19/21	0.00	...	4.98	Short sale closed- call expired Option written: 02/01/21

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TXMD 03/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	9.98	03/19/21	0.00	...	9.98	1 of 2 - Short sale closed- call expired
	1.000	19.98	03/19/21	0.00	...	19.98	Option written: 03/01/21 2 of 2 - Short sale closed- call expired
03/19/21	2.000	29.96	Various	0.00	...	29.96	Option written: 02/22/21 Total of 2 transactions
TXMD 03/19/2021 CALL \$3.00 / CUSIP: / Symbol:							
03/19/21	1.000	4.98	03/19/21	0.00	...	4.98	Short sale closed- call expired Option written: 02/23/21
TXMD 03/19/2021 CALL \$3.50 / CUSIP: / Symbol:							
03/19/21	1.000	9.98	03/19/21	0.00	...	9.98	Short sale closed- call expired Option written: 02/22/21
TXMD 04/16/2021 CALL \$2.50 / CUSIP: / Symbol:							
04/16/21	1.000	4.98	04/16/21	0.00	...	4.98	Short sale closed- call expired Option written: 03/23/21
TXMD 06/18/2021 CALL \$3.00 / CUSIP: / Symbol:							
06/18/21	1.000	4.98	06/18/21	0.00	...	4.98	Short sale closed- call expired Option written: 04/21/21
TXMD 08/20/2021 CALL \$1.50 / CUSIP: / Symbol:							
08/20/21	1.000	9.98	08/20/21	0.00	...	9.98	Short sale closed- call expired Option written: 06/25/21
TXMD 12/17/2021 CALL \$2.00 / CUSIP: / Symbol:							
12/17/21	1.000	4.98	12/17/21	0.00	...	4.98	Short sale closed- call expired Option written: 09/15/21
UVXY 02/19/2021 PUT \$9.00 / CUSIP: / Symbol:							
02/22/21	1.000	-8.02	02/19/21	0.00	...	-8.02	Short sale closed- put ²⁵ Option written: 02/19/21

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WKHS 03/19/2021 PUT \$16.00 / CUSIP: / Symbol: 03/16/21	1.000	92.98	03/15/21	0.00	...	92.98	Short sale closed- put ²⁵ Option written: 03/12/21
WKHS 04/01/2021 CALL \$17.00 / CUSIP: / Symbol: 04/01/21	1.000	2.98	04/01/21	0.00	...	2.98	Short sale closed- call expired Option written: 03/30/21
WKHS 05/07/2021 CALL \$16.50 / CUSIP: / Symbol: 05/06/21	1.000	13.98	05/05/21	0.00	...	13.98	Short sale closed- call ²⁵ Option written: 04/30/21
WKHS 06/25/2021 CALL \$16.00 / CUSIP: / Symbol: 06/25/21	1.000	14.98	06/25/21	0.00	...	14.98	Short sale closed- call expired Option written: 06/02/21
WKHS 07/02/2021 CALL \$19.00 / CUSIP: / Symbol: 07/02/21	1.000	31.98	07/02/21	0.00	...	31.98	Short sale closed- call expired Option written: 06/28/21
WKHS 08/27/2021 CALL \$20.00 / CUSIP: / Symbol: 08/25/21	1.000	3.98	08/24/21	0.00	...	3.98	Short sale closed- call ²⁵ Option written: 08/10/21
WKHS 11/19/2021 CALL \$16.00 / CUSIP: / Symbol: 11/19/21	1.000	7.98	11/19/21	0.00	...	7.98	Short sale closed- call expired Option written: 10/04/21
XSPA 02/19/2021 PUT \$2.50 / CUSIP: / Symbol: 02/18/21	1.000	17.98	02/17/21	0.00	...	17.98	Short sale closed- put ²⁵ Option written: 02/10/21
XSPA 02/19/2021 PUT \$3.00 / CUSIP: / Symbol: 02/18/21	1.000	24.98	02/17/21	0.00	...	24.98	Short sale closed- put ²⁵ Option written: 02/10/21

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Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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XSPA 03/19/2021 CALL \$4.00 / CUSIP: / Symbol:							
03/19/21	1.000	9.98	03/19/21	0.00	...	9.98	Short sale closed- call expired Option written: 02/23/21
XSPA 04/16/2021 CALL \$3.00 / CUSIP: / Symbol:							
04/16/21	1.000	4.98	04/16/21	0.00	...	4.98	Short sale closed- call expired Option written: 03/31/21
XSPA 08/20/2021 CALL \$2.50 / CUSIP: / Symbol:							
08/20/21	1.000	9.98	08/20/21	0.00	...	9.98	Short sale closed- call expired Option written: 06/23/21
XSPA 12/17/2021 CALL \$2.50 / CUSIP: / Symbol:							
12/17/21	1.000	9.98	12/17/21	0.00	...	9.98	Short sale closed- call expired Option written: 11/15/21
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
01/28/21	1.000	10.00	01/27/21	16.30	6.30 W	0.00	Sale ²⁵
	2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	1.000	10.33 N	02/27/21	14.76	...	-4.43	1 of 2 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$0.33
	99.000	1,022.63 N	02/27/21	837.56	...	185.07	2 of 2 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$32.65
03/12/21	100.000	1,032.96	Various	852.32	...	180.64	Total of 2 transactions
	2 transactions for 07/20/21. Total proceeds and cost reported to the IRS.						
	3.000	113.25	05/13/21	40.40	...	72.85	1 of 2 - Sale ²⁵
	6.000	226.50	05/17/21	80.64	...	145.86	2 of 2 - Sale ²⁵
07/20/21	9.000	339.75	Various	121.04	...	218.71	Total of 2 transactions
08/30/21	6.000	265.50	05/17/21	80.64	...	184.86	Sale ²⁵
12/01/21	11.000	330.00	05/17/21	147.84	...	182.16	Sale ²⁵
	Security total:	1,978.21		1,218.14	6.30 W	766.37	

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Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
97 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
0.013		1.56	03/08/21	1.00	...	0.56	1 of 97 - Sale ²⁵
0.013		1.51	03/09/21	1.00	...	0.51	2 of 97 - Sale ²⁵
0.013		1.52	03/10/21	1.00	...	0.52	3 of 97 - Sale ²⁵
0.012		1.46	03/11/21	1.00	...	0.46	4 of 97 - Sale ²⁵
0.012		1.47	03/12/21	1.00	...	0.47	5 of 97 - Sale ²⁵
0.012		1.45	03/15/21	1.00	...	0.45	6 of 97 - Sale ²⁵
0.012		1.42	03/16/21	1.00	...	0.42	7 of 97 - Sale ²⁵
0.012		1.47	03/17/21	1.00	...	0.47	8 of 97 - Sale ²⁵
0.013		1.50	03/18/21	1.00	...	0.50	9 of 97 - Sale ²⁵
0.013		1.50	03/19/21	1.00	...	0.50	10 of 97 - Sale ²⁵
0.012		1.47	03/22/21	1.00	...	0.47	11 of 97 - Sale ²⁵
0.013		1.51	03/23/21	1.00	...	0.51	12 of 97 - Sale ²⁵
0.013		1.53	03/24/21	1.00	...	0.53	13 of 97 - Sale ²⁵
0.013		1.55	03/25/21	1.00	...	0.55	14 of 97 - Sale ²⁵
0.013		1.54	03/26/21	1.00	...	0.54	15 of 97 - Sale ²⁵
0.013		1.53	03/29/21	1.00	...	0.53	16 of 97 - Sale ²⁵
0.013		1.57	03/30/21	1.00	...	0.57	17 of 97 - Sale ²⁵
0.013		1.51	03/31/21	1.00	...	0.51	18 of 97 - Sale ²⁵
0.012		1.47	04/01/21	1.00	...	0.47	19 of 97 - Sale ²⁵
0.019		2.20	04/05/21	1.50	...	0.70	20 of 97 - Sale ²⁵
0.018		2.19	04/06/21	1.50	...	0.69	21 of 97 - Sale ²⁵
0.018		2.17	04/07/21	1.50	...	0.67	22 of 97 - Sale ²⁵
0.018		2.14	04/08/21	1.50	...	0.64	23 of 97 - Sale ²⁵
0.048		5.70	04/09/21	4.00	...	1.70	24 of 97 - Sale ²⁵
0.050		5.98	04/12/21	4.00	...	1.98	25 of 97 - Sale ²⁵
0.050		5.93	04/13/21	4.00	...	1.93	26 of 97 - Sale ²⁵
0.051		6.03	04/14/21	4.00	...	2.03	27 of 97 - Sale ²⁵
3.000		356.24	04/14/21	237.26	...	118.98	28 of 97 - Sale ²⁵
0.048		5.69	04/15/21	4.00	...	1.69	29 of 97 - Sale ²⁵
0.049		5.80	04/16/21	4.00	...	1.80	30 of 97 - Sale ²⁵
0.062		7.34	04/19/21	5.00	...	2.34	31 of 97 - Sale ²⁵
0.064		7.54	04/20/21	5.00	...	2.54	32 of 97 - Sale ²⁵
0.061		7.29	04/21/21	5.00	...	2.29	33 of 97 - Sale ²⁵

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Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
0.063		7.46	04/22/21	5.00	...	2.46	34 of 97 - Sale ²⁵
0.060		7.15	04/23/21	5.00	...	2.15	35 of 97 - Sale ²⁵
0.059		6.98	04/26/21	5.00	...	1.98	36 of 97 - Sale ²⁵
0.058		6.89	04/27/21	5.00	...	1.89	37 of 97 - Sale ²⁵
0.059		7.03	04/28/21	5.00	...	2.03	38 of 97 - Sale ²⁵
0.060		7.11	04/29/21	5.00	...	2.11	39 of 97 - Sale ²⁵
0.061		7.20	04/30/21	5.00	...	2.20	40 of 97 - Sale ²⁵
0.063		7.54	05/03/21	5.00	...	2.54	41 of 97 - Sale ²⁵
0.064		7.64	05/04/21	5.00	...	2.64	42 of 97 - Sale ²⁵
0.064		7.57	05/05/21	5.00	...	2.57	43 of 97 - Sale ²⁵
0.065		7.67	05/06/21	5.00	...	2.67	44 of 97 - Sale ²⁵
0.063		7.53	05/07/21	5.00	...	2.53	45 of 97 - Sale ²⁵
0.065		7.76	05/10/21	5.00	...	2.76	46 of 97 - Sale ²⁵
0.065		7.75	05/11/21	5.00	...	2.75	47 of 97 - Sale ²⁵
0.067		7.95	05/12/21	5.00	...	2.95	48 of 97 - Sale ²⁵
0.067		7.97	05/14/21	5.00	...	2.97	49 of 97 - Sale ²⁵
0.054		6.44	05/17/21	4.00	...	2.44	50 of 97 - Sale ²⁵
0.053		6.24	05/19/21	4.00	...	2.24	51 of 97 - Sale ²⁵
0.051		6.11	05/20/21	4.00	...	2.11	52 of 97 - Sale ²⁵
0.052		6.12	05/21/21	4.00	...	2.12	53 of 97 - Sale ²⁵
0.051		6.11	05/24/21	4.00	...	2.11	54 of 97 - Sale ²⁵
0.051		6.11	05/25/21	4.00	...	2.11	55 of 97 - Sale ²⁵
0.051		6.07	05/26/21	4.00	...	2.07	56 of 97 - Sale ²⁵
0.051		6.09	05/27/21	4.00	...	2.09	57 of 97 - Sale ²⁵
0.050		5.90	05/28/21	4.00	...	1.90	58 of 97 - Sale ²⁵
0.049		5.83	06/01/21	4.00	...	1.83	59 of 97 - Sale ²⁵
0.061		7.24	06/02/21	5.00	...	2.24	60 of 97 - Sale ²⁵
0.062		7.31	06/03/21	5.00	...	2.31	61 of 97 - Sale ²⁵
0.025		2.94	06/07/21	2.00	...	0.94	62 of 97 - Sale ²⁵
0.025		2.93	06/08/21	2.00	...	0.93	63 of 97 - Sale ²⁵
0.025		2.96	06/09/21	2.00	...	0.96	64 of 97 - Sale ²⁵
0.025		2.92	06/10/21	2.00	...	0.92	65 of 97 - Sale ²⁵
0.025		2.92	06/11/21	2.00	...	0.92	66 of 97 - Sale ²⁵
0.025		2.92	06/14/21	2.00	...	0.92	67 of 97 - Sale ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
	0.025	2.93	06/15/21	2.00	...	0.93	68 of 97 - Sale ²⁵
	0.025	2.96	06/16/21	2.00	...	0.96	69 of 97 - Sale ²⁵
	0.024	2.80	06/17/21	2.00	...	0.80	70 of 97 - Sale ²⁵
	0.024	2.80	06/18/21	2.00	...	0.80	71 of 97 - Sale ²⁵
	0.024	2.87	06/21/21	2.00	...	0.87	72 of 97 - Sale ²⁵
	0.024	2.85	06/22/21	2.00	...	0.85	73 of 97 - Sale ²⁵
	0.024	2.83	06/23/21	2.00	...	0.83	74 of 97 - Sale ²⁵
	0.058	6.90	06/24/21	5.00	...	1.90	75 of 97 - Sale ²⁵
	0.057	6.80	06/28/21	5.00	...	1.80	76 of 97 - Sale ²⁵
	0.056	6.61	06/29/21	5.00	...	1.61	77 of 97 - Sale ²⁵
	0.054	6.37	06/30/21	5.00	...	1.37	78 of 97 - Sale ²⁵
	0.054	6.39	07/01/21	5.00	...	1.39	79 of 97 - Sale ²⁵
	0.053	6.26	07/02/21	5.00	...	1.26	80 of 97 - Sale ²⁵
	0.053	6.31	07/06/21	5.00	...	1.31	81 of 97 - Sale ²⁵
	0.055	6.50	07/07/21	5.00	...	1.50	82 of 97 - Sale ²⁵
	0.056	6.59	07/08/21	5.00	...	1.59	83 of 97 - Sale ²⁵
	0.055	6.53	07/09/21	5.00	...	1.53	84 of 97 - Sale ²⁵
	0.055	6.56	07/12/21	5.00	...	1.56	85 of 97 - Sale ²⁵
	0.055	6.55	07/13/21	5.00	...	1.55	86 of 97 - Sale ²⁵
	0.056	6.64	07/14/21	5.00	...	1.64	87 of 97 - Sale ²⁵
	0.058	6.84	07/15/21	5.00	...	1.84	88 of 97 - Sale ²⁵
	0.058	6.90	07/19/21	5.00	...	1.90	89 of 97 - Sale ²⁵
	0.058	6.84	07/20/21	5.00	...	1.84	90 of 97 - Sale ²⁵
	0.056	6.70	07/21/21	5.00	...	1.70	91 of 97 - Sale ²⁵
	0.054	6.47	07/23/21	5.00	...	1.47	92 of 97 - Sale ²⁵
	0.054	6.47	07/26/21	5.00	...	1.47	93 of 97 - Sale ²⁵
	0.056	6.64	07/27/21	5.00	...	1.64	94 of 97 - Sale ²⁵
	0.052	6.13	07/28/21	5.00	...	1.13	95 of 97 - Sale ²⁵
	0.048	5.64	07/29/21	5.00	...	0.64	96 of 97 - Sale ²⁵
	0.037	4.42	07/30/21	3.96	...	0.46	97 of 97 - Sale ²⁵
10/21/21	7.000	831.24	Various	571.22	...	260.02	Total of 97 transactions

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(continued)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
3 transactions for 02/27/21. Total proceeds and cost reported to the IRS.							
	1.000	19.64 N	06/23/20	13.85	...	5.79	1 of 3 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$0.14
	15.000	294.59 N	08/03/20	164.25	...	130.34	2 of 3 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$2.10
	84.000	1,649.73 N	10/16/20	1,030.70	...	619.03	3 of 3 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$11.74
02/27/21	100.000	1,963.96	Various	1,208.80	...	755.16	Total of 3 transactions
2 transactions for 03/20/21. Total proceeds and cost reported to the IRS.							
	16.000	388.95 N	10/16/20	196.32	...	192.63	1 of 2 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$4.96
	84.000	2,042.00 N	12/31/20	1,321.34	...	720.66	2 of 2 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$26.02
03/20/21	100.000	2,430.95	Various	1,517.66	...	913.29	Total of 2 transactions
06/01/21	6.000	150.00	12/31/20	94.38	...	55.62	Sale ²⁵
06/02/21	8.000	200.08	12/31/20	125.84	...	74.24	Sale ²⁵
	Security total:	4,744.99		2,946.68	...	1,798.31	
APARTMENT INVESTMENT AND MANAG EMENT COMPANY / CUSIP: 03748R747 / Symbol:							
2 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	10.000	74.70	12/17/20	45.20	...	29.50	1 of 2 - Sale ²⁵
	13.000	96.20	12/17/20	58.76	...	37.44	2 of 2 - Sale ²⁵
10/14/21	23.000	170.90	Various	103.96	...	66.94	Total of 2 transactions
10/15/21	23.000	175.95	12/17/20	103.96	...	71.99	Sale ²⁵
11/09/21	3.000	24.00	12/17/20	13.56	...	10.44	Sale ²⁵
	Security total:	370.85		221.48	...	149.37	
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol:							
06/23/21	10.000	167.00	05/27/21	149.80	...	17.20	Sale ²⁵

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
04/06/21	3.000	88.50	10/16/20	44.28	...	44.22	Sale ²⁵
04/07/21	2.000	60.00	10/16/20	29.52	...	30.48	Sale ²⁵
	Security total:	148.50		73.80	...	74.70	
CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	4.000	18.16	01/27/21	14.48	...	3.68	1 of 2 - Sale ²⁵
	6.000	28.86	01/27/21	21.72	...	7.14	2 of 2 - Sale ²⁵
02/10/21	10.000	47.02	Various	36.20	...	10.82	Total of 2 transactions
09/30/21	5.000	26.85	01/27/21	18.10	...	8.75	Sale ²⁵
	Security total:	73.87		54.30	...	19.57	
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
12/13/21	1.000	57.05	02/16/21	50.75	...	6.30	Sale ²⁵
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
24 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	0.005	1.74	04/26/21	1.50	...	0.24	1 of 24 - Sale ²⁵
	0.005	1.73	04/27/21	1.50	...	0.23	2 of 24 - Sale ²⁵
	0.005	1.75	04/28/21	1.50	...	0.25	3 of 24 - Sale ²⁵
	0.005	1.77	04/29/21	1.50	...	0.27	4 of 24 - Sale ²⁵
	0.007	2.34	04/30/21	2.00	...	0.34	5 of 24 - Sale ²⁵
	0.010	3.52	05/03/21	3.00	...	0.52	6 of 24 - Sale ²⁵
	0.011	3.75	05/04/21	3.00	...	0.75	7 of 24 - Sale ²⁵
	0.011	3.71	05/05/21	3.00	...	0.71	8 of 24 - Sale ²⁵
	0.008	2.72	05/06/21	2.00	...	0.72	9 of 24 - Sale ²⁵
	0.008	2.66	05/07/21	2.00	...	0.66	10 of 24 - Sale ²⁵
	0.007	2.39	05/10/21	2.00	...	0.39	11 of 24 - Sale ²⁵
	0.007	2.35	05/11/21	2.00	...	0.35	12 of 24 - Sale ²⁵
	0.007	2.41	05/12/21	2.00	...	0.41	13 of 24 - Sale ²⁵
	0.008	2.69	05/14/21	2.00	...	0.69	14 of 24 - Sale ²⁵
	0.008	2.90	05/17/21	2.00	...	0.90	15 of 24 - Sale ²⁵
	0.009	3.12	05/19/21	2.00	...	1.12	16 of 24 - Sale ²⁵
	0.009	3.01	05/20/21	2.00	...	1.01	17 of 24 - Sale ²⁵

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COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol: (cont'd)							
	0.009	3.05	05/21/21	2.00	...	1.05	18 of 24 - Sale ²⁵
	0.009	3.09	05/24/21	2.00	...	1.09	19 of 24 - Sale ²⁵
	0.008	2.90	05/25/21	2.00	...	0.90	20 of 24 - Sale ²⁵
	0.008	2.87	05/26/21	2.00	...	0.87	21 of 24 - Sale ²⁵
	0.008	2.83	05/27/21	2.00	...	0.83	22 of 24 - Sale ²⁵
	0.008	2.92	05/28/21	2.00	...	0.92	23 of 24 - Sale ²⁵
	0.008	2.89	06/01/21	2.00	...	0.89	24 of 24 - Sale ²⁵
11/08/21	0.186	65.11	Various	49.00	...	16.11	Total of 24 transactions
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
3 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	0.018	0.91	06/08/20	0.64	...	0.27	1 of 3 - Sale ²⁵
	0.356	18.11	06/08/20	12.81	...	5.30	2 of 3 - Sale ²⁵
	2.627	133.83	06/08/20	94.46	...	39.37	3 of 3 - Sale ²⁵
04/05/21	3.000	152.85	Various	107.91	...	44.94	Total of 3 transactions
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
06/01/21	1.000	90.00	01/27/21	45.52	...	44.48	Sale ²⁵
06/23/21	2.000	193.06	01/27/21	91.04	...	102.02	Sale ²⁵
09/23/21	1.000	77.70	01/27/21	45.52	...	32.18	Sale ²⁵
	Security total:	360.76		182.08	...	178.68	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
07/16/21	21.000	231.00	02/12/21	215.46	...	15.54	Sale ²⁵
2 transactions for 07/20/21. Total proceeds and cost reported to the IRS.							
	4.000	48.00	02/12/21	41.04	...	6.96	1 of 2 - Sale ²⁵
	1.000	12.00	02/16/21	10.90	...	1.10	2 of 2 - Sale ²⁵
07/20/21	5.000	60.00	Various	51.94	...	8.06	Total of 2 transactions
	Security total:	291.00		267.40	...	23.60	
DISCOVER FINANCIAL SERVICES / CUSIP: 254709108 / Symbol:							
04/14/21	2.000	204.50	01/25/21	175.04	...	29.46	Sale ²⁵
04/30/21	1.000	113.50	01/25/21	87.52	...	25.98	Sale ²⁵
	Security total:	318.00		262.56	...	55.44	

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EASTSIDE DISTILLING, INC. COMM ON STOCK / CUSIP: 277802302 / Symbol:							
05/27/21	11.000	28.05	02/16/21	23.10	...	4.95	Sale ²⁵
	3 transactions for 06/23/21. Total proceeds and cost reported to the IRS.						
	2.000	5.98	02/16/21	4.40	...	1.58	1 of 3 - Sale ²⁵
	7.000	20.30	02/16/21	14.70	...	5.60	2 of 3 - Sale ²⁵
	7.000	20.93	02/16/21	14.70	...	6.23	3 of 3 - Sale ²⁵
06/23/21	16.000	47.21	Various	33.80	...	13.41	Total of 3 transactions
	Security total:	75.26		56.90	...	18.36	
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
03/04/21	1.000	58.59	08/03/20	41.60	...	16.99	Sale ²⁵
	2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.						
	1.000	64.19	08/03/20	41.60	...	22.59	1 of 2 - Sale ²⁵
	6.000	385.14	10/26/20	198.90	...	186.24	2 of 2 - Sale ²⁵
06/23/21	7.000	449.33	Various	240.50	...	208.83	Total of 2 transactions
	Security total:	507.92		282.10	...	225.82	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
02/10/21	4.000	45.97	07/13/20	26.60	...	19.37	Sale ²⁵
	2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	1.000	11.85	07/13/20	6.65	...	5.20	1 of 2 - Sale ²⁵
	7.000	82.95	08/31/20	45.47	...	37.48	2 of 2 - Sale ²⁵
02/16/21	8.000	94.80	Various	52.12	...	42.68	Total of 2 transactions
	Security total:	140.77		78.72	...	62.05	
GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:							
08/03/21	0.625	64.83	09/08/20	31.50	...	33.33	Cash in lieu
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
10/26/21	25.000	46.25	01/27/21	44.96	...	1.29	Sale ²⁵
HELIX ENERGY SOLUTIONS GROUP, INC. / CUSIP: 42330P107 / Symbol:							
06/23/21	10.000	66.60	02/24/21	49.80	...	16.80	Sale ²⁵
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
10/15/21	10.000	38.90	01/26/21	25.50	...	13.40	Sale ²⁵

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Robinhood Securities LLC

Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LOWE'S COMPANIES INC. / CUSIP: 548661107 / Symbol:							
07/26/21	0.008	1.54	06/01/21	1.50	...	0.04	Sale ²⁵
LUMENTUM HOLDINGS INC. COMMON STOCK / CUSIP: 55024U109 / Symbol:							
13 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	0.016	1.52	04/19/21	1.50	...	0.02	1 of 13 - Sale ²⁵
	0.016	1.52	04/20/21	1.50	...	0.02	2 of 13 - Sale ²⁵
	0.016	1.50	04/21/21	1.50	...	0.00	3 of 13 - Sale ²⁵
	0.016	1.50	04/22/21	1.50	...	0.00	4 of 13 - Sale ²⁵
	0.016	1.48	04/23/21	1.50	...	-0.02	5 of 13 - Sale ²⁵
	0.016	1.48	04/26/21	1.50	...	-0.02	6 of 13 - Sale ²⁵
	0.016	1.47	04/27/21	1.50	...	-0.03	7 of 13 - Sale ²⁵
	0.016	1.48	04/28/21	1.50	...	-0.02	8 of 13 - Sale ²⁵
	0.016	1.51	04/29/21	1.50	...	0.01	9 of 13 - Sale ²⁵
	0.017	1.62	04/30/21	1.50	...	0.12	10 of 13 - Sale ²⁵
	0.012	1.11	05/03/21	1.00	...	0.11	11 of 13 - Sale ²⁵
	0.012	1.13	05/04/21	1.00	...	0.13	12 of 13 - Sale ²⁵
	0.012	1.13	05/05/21	1.00	...	0.13	13 of 13 - Sale ²⁵
11/08/21	0.198	18.45	Various	18.00	...	0.45	Total of 13 transactions
MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol:							
28 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	0.006	1.65	05/21/21	1.50	...	0.15	1 of 28 - Sale ²⁵
	0.006	1.65	05/24/21	1.50	...	0.15	2 of 28 - Sale ²⁵
	0.006	1.64	05/25/21	1.50	...	0.14	3 of 28 - Sale ²⁵
	0.006	1.64	05/26/21	1.50	...	0.14	4 of 28 - Sale ²⁵
	0.006	1.64	05/27/21	1.50	...	0.14	5 of 28 - Sale ²⁵
	0.006	1.63	05/28/21	1.50	...	0.13	6 of 28 - Sale ²⁵
	0.009	2.18	06/01/21	2.00	...	0.18	7 of 28 - Sale ²⁵
	0.009	2.18	06/02/21	2.00	...	0.18	8 of 28 - Sale ²⁵
	0.009	2.19	06/03/21	2.00	...	0.19	9 of 28 - Sale ²⁵
	0.008	2.09	07/26/21	2.00	...	0.09	10 of 28 - Sale ²⁵
	0.008	2.07	07/27/21	2.00	...	0.07	11 of 28 - Sale ²⁵
	0.008	2.12	07/28/21	2.00	...	0.12	12 of 28 - Sale ²⁵
	0.008	2.08	07/29/21	2.00	...	0.08	13 of 28 - Sale ²⁵

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Account 718799679

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol: (cont'd)							
	0.008	2.09	07/30/21	2.00	...	0.09	14 of 28 - Sale ²⁵
	0.008	2.12	08/02/21	2.00	...	0.12	15 of 28 - Sale ²⁵
	0.008	2.16	08/03/21	2.00	...	0.16	16 of 28 - Sale ²⁵
	0.009	2.18	08/04/21	2.00	...	0.18	17 of 28 - Sale ²⁵
	0.009	2.17	08/05/21	2.00	...	0.17	18 of 28 - Sale ²⁵
	0.008	2.16	08/06/21	2.00	...	0.16	19 of 28 - Sale ²⁵
	0.009	2.17	08/09/21	2.00	...	0.17	20 of 28 - Sale ²⁵
	0.009	2.17	08/10/21	2.00	...	0.17	21 of 28 - Sale ²⁵
	0.008	2.16	08/11/21	2.00	...	0.16	22 of 28 - Sale ²⁵
	0.008	2.12	08/16/21	2.00	...	0.12	23 of 28 - Sale ²⁵
	0.008	2.13	08/17/21	2.00	...	0.13	24 of 28 - Sale ²⁵
	0.008	2.13	08/18/21	2.00	...	0.13	25 of 28 - Sale ²⁵
	0.008	2.15	08/19/21	2.00	...	0.15	26 of 28 - Sale ²⁵
	0.008	2.13	08/20/21	2.00	...	0.13	27 of 28 - Sale ²⁵
	0.008	2.13	08/25/21	2.00	...	0.13	28 of 28 - Sale ²⁵
11/08/21	0.224	56.93	Various	53.00	...	3.93	Total of 28 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
04/05/21	1.000	245.00	01/13/21	215.95	...	29.05	Sale ²⁵
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
06/10/21	1.000	23.20	05/04/21	13.37	...	9.83	Sale ²⁵
NEWPARK RESOURCES, INC. / CUSIP: 651718504 / Symbol:							
2 transactions for 09/27/21. Total proceeds and cost reported to the IRS.							
	7.000	23.31	12/03/20	11.27	...	12.04	1 of 2 - Sale ²⁵
	8.000	26.64	12/03/20	12.88	...	13.76	2 of 2 - Sale ²⁵
09/27/21	15.000	49.95	Various	24.15	...	25.80	Total of 2 transactions
NEXTDECADE CORPORATION COMMON STOCK / CUSIP: 65342K105 / Symbol:							
06/08/21	4.000	18.96	03/10/21	6.88	...	12.08	Sale ²⁵
10/26/21	1.000	3.88	03/10/21	1.72	...	2.16	Sale ²⁵
	Security total:	22.84		8.60	...	14.24	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NUANCE COMMUNICATIONS, INC. CO MMON STOCK / CUSIP: 67020Y100 / Symbol:							
04/12/21	3.000	167.85	03/04/21	126.00	...	41.85	Sale ²⁵
05/04/21	2.000	105.88	03/04/21	84.00	...	21.88	Sale ²⁵
05/05/21	2.000	106.50	03/04/21	84.00	...	22.50	Sale ²⁵
05/20/21	2.000	105.90	03/04/21	84.00	...	21.90	Sale ²⁵
07/19/21	1.000	55.07	03/04/21	42.00	...	13.07	Sale ²⁵
	Security total:	541.20		420.00	...	121.20	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
<i>2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	128.25	01/14/21	35.40	...	92.85	1 of 2 - Sale ²⁵
	10.000	152.50	01/14/21	23.60	...	128.90	2 of 2 - Sale ²⁵
02/08/21	25.000	280.75	Various	59.00	...	221.75	Total of 2 transactions
02/10/21	10.000	113.85	01/14/21	23.60	...	90.25	Sale ²⁵
02/11/21	5.000	47.65	01/14/21	11.80	...	35.85	Sale ²⁵
02/22/21	3.000	27.21	01/14/21	7.08	...	20.13	Sale ²⁵
<i>2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	24.61	01/14/21	4.72	...	19.89	1 of 2 - Sale ²⁵
	5.000	65.00	01/14/21	11.80	...	53.20	2 of 2 - Sale ²⁵
03/03/21	7.000	89.61	Various	16.52	...	73.09	Total of 2 transactions
	Security total:	559.07		118.00	...	441.07	
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
<i>3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.50	01/27/21	0.65	...	0.85	1 of 3 - Sale ²⁵
	15.000	26.25	01/27/21	9.95	...	16.30	2 of 3 - Sale ²⁵
	24.000	36.00	01/27/21	15.91	...	20.09	3 of 3 - Sale ²⁵
02/17/21	40.000	63.75	Various	26.51	...	37.24	Total of 3 transactions
02/18/21	10.000	18.50	01/27/21	6.63	...	11.87	Sale ²⁵
<i>3 transactions for 02/24/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.64	01/27/21	0.66	...	0.98	1 of 3 - Sale ²⁵
	25.000	41.00	01/27/21	17.79	...	23.21	2 of 3 - Sale ²⁵
	1.000	1.64	02/01/21	0.64	...	1.00	3 of 3 - Sale ²⁵
02/24/21	27.000	44.28	Various	19.09	...	25.19	Total of 3 transactions
03/01/21	25.000	32.00	02/01/21	16.00	...	16.00	Sale ²⁵

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(continued)

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ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol: (cont'd)							
Security total:		158.53		68.23	...	90.30	
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
02/10/21	5.000	29.45	01/27/21	8.75	...	20.70	Sale ²⁵
02/12/21	4.000	17.54	01/27/21	7.00	...	10.54	Sale ²⁵
Security total:		46.99		15.75	...	31.24	
ORLA MINING LTD. / CUSIP: 68634K106 / Symbol:							
05/19/21	5.000	22.43	03/15/21	19.35	...	3.08	Sale ²⁵
PFIZER INC. / CUSIP: 717081103 / Symbol:							
04/09/21	9.000	330.30	11/23/20	326.70	...	3.60	Sale ²⁵
05/03/21	2.000	79.00	11/23/20	72.60	...	6.40	Sale ²⁵
Security total:		409.30		399.30	...	10.00	
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	2.000	3.94	12/03/20	3.76	...	0.18	1 of 3 - Sale ²⁵
	50.000	98.50	12/03/20	97.00	...	1.50	2 of 3 - Sale ²⁵
	73.000	143.80	12/03/20	133.59	...	10.21	3 of 3 - Sale ²⁵
11/09/21	125.000	246.24	Various	234.35	...	11.89	Total of 3 transactions
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
06/14/21	2.000	492.26	12/01/20	482.28	...	9.98	Sale ²⁵
SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol:							
02/16/21	4.000	14.00	02/08/21	10.72	...	3.28	Sale ²⁵
06/02/21	4.000	15.40	02/08/21	10.71	...	4.69	Sale ²⁵
06/18/21	2.000	9.50	02/08/21	5.36	...	4.14	Sale ²⁵
Security total:		38.90		26.79	...	12.11	
TRIMBLE INC. COMMON STOCK / CUSIP: 896239100 / Symbol:							
20 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	0.012	1.05	04/05/21	1.00	...	0.05	1 of 20 - Sale ²⁵
	0.012	1.07	04/06/21	1.00	...	0.07	2 of 20 - Sale ²⁵

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TRIMBLE INC. COMMON STOCK / CUSIP: 896239100 / Symbol: (cont'd)							
	0.012	1.11	04/07/21	1.00	...	0.11	3 of 20 - Sale ²⁵
	0.012	1.10	04/08/21	1.00	...	0.10	4 of 20 - Sale ²⁵
	0.012	1.10	04/09/21	1.00	...	0.10	5 of 20 - Sale ²⁵
	0.012	1.10	04/12/21	1.00	...	0.10	6 of 20 - Sale ²⁵
	0.012	1.09	04/13/21	1.00	...	0.09	7 of 20 - Sale ²⁵
	0.012	1.09	04/14/21	1.00	...	0.09	8 of 20 - Sale ²⁵
	0.012	1.08	04/15/21	1.00	...	0.08	9 of 20 - Sale ²⁵
	0.012	1.07	04/16/21	1.00	...	0.07	10 of 20 - Sale ²⁵
	0.012	1.10	04/19/21	1.00	...	0.10	11 of 20 - Sale ²⁵
	0.013	1.12	04/20/21	1.00	...	0.12	12 of 20 - Sale ²⁵
	0.012	1.10	04/21/21	1.00	...	0.10	13 of 20 - Sale ²⁵
	0.012	1.08	04/22/21	1.00	...	0.08	14 of 20 - Sale ²⁵
	0.012	1.07	04/23/21	1.00	...	0.07	15 of 20 - Sale ²⁵
	0.012	1.06	04/26/21	1.00	...	0.06	16 of 20 - Sale ²⁵
	0.012	1.06	04/27/21	1.00	...	0.06	17 of 20 - Sale ²⁵
	0.012	1.06	04/28/21	1.00	...	0.06	18 of 20 - Sale ²⁵
	0.012	1.06	04/29/21	1.00	...	0.06	19 of 20 - Sale ²⁵
	0.012	1.08	04/30/21	1.00	...	0.08	20 of 20 - Sale ²⁵
11/09/21	0.244	21.65	Various	20.00	...	1.65	Total of 20 transactions
VERTEX PHARMACEUTICALS INCORPORATED COMMON STOCK / CUSIP: 92532F100 / Symbol:							
04/21/21	1.000	218.91	03/11/21	215.15	...	3.76	Sale ²⁵
WALMART INC. / CUSIP: 931142103 / Symbol:							
08/17/21	3.000	457.50	01/14/21	439.62	...	17.88	Sale ²⁵
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
05/06/21	2.000	93.00	10/22/20	45.24	...	47.76	Sale ²⁵
	3 transactions for 08/06/21. Total proceeds and cost reported to the IRS.						
	1.000	48.00	10/22/20	22.62	...	25.38	1 of 3 - Sale ²⁵
	2.000	96.00	10/22/20	45.24	...	50.76	2 of 3 - Sale ²⁵
	2.000	96.00	10/29/20	41.90	...	54.10	3 of 3 - Sale ²⁵
08/06/21	5.000	240.00	Various	109.76	...	130.24	Total of 3 transactions
	Security total:	333.00		155.00	...	178.00	

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
02/08/21	50.000	112.50	01/27/21	47.00	...	65.50	Sale ²⁵
	<i>3 transactions for 03/01/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	4.28	01/27/21	1.88	...	2.40	1 of 3 - Sale ²⁵
	3.000	6.42	01/27/21	2.82	...	3.60	2 of 3 - Sale ²⁵
	10.000	21.40	01/27/21	9.40	...	12.00	3 of 3 - Sale ²⁵
03/01/21	15.000	32.10	Various	14.10	...	18.00	Total of 3 transactions
	Security total:	144.60		61.10	...	83.50	
ITERUM THERAPEUTICS PLC ORDINA RY SHARE / CUSIP: G6333L101 / Symbol:							
06/23/21	15.000	30.75	03/15/21	24.90	...	5.85	Sale ²⁵
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
	<i>2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>						
	25.000	68.75	01/26/21	9.88	...	58.87	1 of 2 - Sale ²⁵
	25.000	81.25	01/26/21	9.87	...	71.38	2 of 2 - Sale ²⁵
01/28/21	50.000	150.00	Various	19.75	...	130.25	Total of 2 transactions
02/24/21	25.000	33.00	01/26/21	9.88	...	23.12	Sale ²⁵
	<i>2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i>						
	15.000	16.65	01/26/21	5.70	...	10.95	1 of 2 - Sale ²⁵
	25.000	27.75	01/26/21	9.87	...	17.88	2 of 2 - Sale ²⁵
03/10/21	40.000	44.40	Various	15.57	...	28.83	Total of 2 transactions
09/23/21	50.000	30.65	01/26/21	19.00	...	11.65	Sale ²⁵
09/24/21	75.000	56.24	01/26/21	28.50	...	27.74	Sale ²⁵
09/28/21	40.000	34.80	01/26/21	15.20	...	19.60	Sale ²⁵
	Security total:	349.09		107.90	...	241.19	
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
02/23/21	45.000	38.70	02/08/21	42.39	3.69 W	0.00	Sale ²⁵
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
02/16/21	25.000	93.75	12/04/20	31.20	...	62.55	Sale ²⁵
Totals :		17,623.22		10,025.48	9.99 W	7,607.73	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 718799679

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228501 / Symbol: 07/29/21	0.333	3.67	03/20/21	4.27	...	-0.60	Gain or loss
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K873 / Symbol: 09/07/21	0.625	1.75	02/25/21	4.11	...	-2.36	Gain or loss
ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103869 / Symbol: 07/26/21	0.700	11.30	04/08/21	17.50	...	-6.20	Gain or loss
ASSERTIO HOLDINGS, INC. COMMON STOCK / CUSIP: 04546C205 / Symbol: 06/01/21	0.750	1.34	02/25/21	2.94	...	-1.60	Gain or loss
AYTU BIOPHARMA, INC. COMMON ST OCK / CUSIP: 054754874 / Symbol: 03/26/21	0.176	1.70	02/25/21	1.53	...	0.17	Gain or loss
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V801 / Symbol: 05/28/21	0.333	3.17	02/01/21	3.20	...	-0.03	Gain or loss
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347Y839 / Symbol: 06/11/21	0.300	12.27	02/08/21	30.00	...	-17.73	Gain or loss

Robinhood Securities LLC

Account 718799679

Proceeds Not Reported to the IRS

2021

(continued)

02/11/2022

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L125 / Symbol:							
	<i>2 transactions for 06/07/21</i>						
	<i>0.500</i>	<i>1.63</i>	<i>02/08/21</i>	<i>4.71</i>	<i>...</i>	<i>-3.08</i>	<i>Gain or loss</i>
	<i>0.100</i>	<i>0.33</i>	<i>02/09/21</i>	<i>1.04</i>	<i>...</i>	<i>-0.71</i>	<i>Gain or loss</i>
06/07/21	0.600	1.96	Various	5.75	...	-3.79	Total of 2 transactions
	Totals:	37.16		69.30	...	-32.14	

Robinhood Securities LLC

Account 718799679

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AG MORTGAGE INVESTMENT TRUST, INC.	001228105		04/30/21	6.00	Nondividend distribution	03
			07/30/21	7.00	Nondividend distribution	03
				13.00	Total Dividends & distributions	
AG MORTGAGE INVESTMENT TRUST, INC.	001228501		10/29/21	6.93	Nondividend distribution	03
			01/31/22	6.93	Qualified dividend	
				13.86	Total Dividends & distributions	
AT&T INC.	00206R102		08/02/21	0.32	Qualified dividend	
			11/01/21	0.32	Qualified dividend	
				0.64	Total Dividends & distributions	
ARK INNOVATION ETF	00214Q104		12/31/21	0.34	Short-term capital gain	03
			12/31/21	0.19	Long-term capital gain	
			12/31/21	0.05	Qualified dividend	03
				0.58	Total Dividends & distributions	
ARK AUTONOMOUS TECHNOLOGY & ROBOTICS ETF	00214Q203		12/31/21	0.35	Long-term capital gain	03
			12/31/21	0.34	Qualified dividend	03
			12/31/21	0.03	Short-term capital gain	03
				0.72	Total Dividends & distributions	
ARK GENOMIC REVOLUTION ETF	00214Q302		12/31/21	0.32	Qualified dividend	03
ARK NEXT GENERATION INTERNET E TF	00214Q401		12/31/21	3.01	Long-term capital gain	
			12/31/21	0.13	Short-term capital gain	03
			12/31/21	0.06	Qualified dividend	03
				3.20	Total Dividends & distributions	

Robinhood Securities LLC

Account 718799679

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/11/21	2.87	Qualified dividend	
			05/13/21	6.17	Qualified dividend	
			08/12/21	6.67	Qualified dividend	
			11/11/21	6.87	Qualified dividend	
				22.58	Total Dividends & distributions	
BP P.L.C.	055622104		03/26/21	9.77	Qualified dividend	
			06/18/21	9.77	Qualified dividend	
			09/24/21	10.16	Qualified dividend	
			12/17/21	10.16	Qualified dividend	
				39.86	Total Dividends & distributions	
BARRICK GOLD CORP.	067901108		03/15/21	0.27	Nonqualified dividend	
			03/15/21	-0.04	Foreign tax withheld-CA	
			06/15/21	0.27	Nonqualified dividend	
			06/15/21	-0.04	Foreign tax withheld-CA	
			09/15/21	0.27	Nonqualified dividend	
			09/15/21	-0.04	Foreign tax withheld-CA	
			12/15/21	0.27	Nonqualified dividend	
			12/15/21	-0.04	Foreign tax withheld-CA	
				1.08	Total Dividends & distributions	
	-0.16	Total Foreign tax withheld				
COCA-COLA COMPANY	191216100		04/01/21	0.42	Qualified dividend	
			07/01/21	0.42	Qualified dividend	
			10/01/21	0.42	Qualified dividend	
			12/15/21	0.42	Qualified dividend	
				1.68	Total Dividends & distributions	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES	25460G831		03/30/21	0.34	Nondividend distribution	03
DISCOVER FINANCIAL SERVICES	254709108		03/04/21	1.32	Qualified dividend	
DOMINO'S PIZZA INC.	25754A201		06/30/21	0.02	Qualified dividend	
			09/30/21	0.18	Qualified dividend	
			12/30/21	0.18	Qualified dividend	
				0.38	Total Dividends & distributions	

Robinhood Securities LLC

Account 718799679

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
EXXON MOBIL CORPORATION	30231G102		03/10/21	9.57	Qualified dividend	
			06/10/21	9.45	Qualified dividend	
			09/10/21	5.45	Qualified dividend	
			12/10/21	5.81	Qualified dividend	
				30.28	Total Dividends & distributions	
FORD MOTOR COMPANY	345370860		12/01/21	0.03	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		01/25/21	0.17	Qualified dividend	
			04/26/21	0.05	Qualified dividend	
			07/26/21	0.05	Qualified dividend	
				0.27	Total Dividends & distributions	
INVESCO QQQ TRUST, SERIES 1	46090E103		04/30/21	0.03	Qualified dividend	
			07/30/21	0.30	Qualified dividend	
			10/29/21	0.52	Qualified dividend	
				0.85	Total Dividends & distributions	
INVESCO MORTGAGE CAPITAL INC.	46131B100		01/26/21	9.60	Nondividend distribution	03
			04/27/21	31.50	Nondividend distribution	03
			07/27/21	31.50	Nondividend distribution	03
			10/26/21	31.50	Nondividend distribution	03
				104.10	Total Dividends & distributions	
ISHARES GLOBAL CLEAN ENERGY ET F	464288224		06/16/21	0.66	Qualified dividend	03
			06/16/21	-0.04	Foreign tax withheld-Variou	03
			01/05/22	0.01	Qualified dividend	
				0.67	Total Dividends & distributions	
				-0.04	Total Foreign tax withheld	
LOWE'S COMPANIES INC.	548661107		08/04/21	0.01	Qualified dividend	
MFA FINANCIAL, INC	55272X102		04/30/21	0.63	Nondividend distribution	03
			04/30/21	0.12	Section 199A dividend	03
			07/30/21	1.00	Nondividend distribution	03
			10/29/21	1.00	Nondividend distribution	03
			01/31/22	1.10	Qualified dividend	
				3.85	Total Dividends & distributions	

Robinhood Securities LLC

Account 718799679

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MASTERCARD INCORPORATED	57636Q104		08/09/21	0.01	Qualified dividend	
			11/09/21	0.01	Qualified dividend	
				0.02	Total Dividends & distributions	
MCDONALD'S CORPORATION	580135101		06/15/21	0.04	Qualified dividend	
			09/15/21	0.29	Qualified dividend	
				0.33	Total Dividends & distributions	
MICROSOFT CORPORATION COMMON STOCK	594918104		03/11/21	0.56	Qualified dividend	
			06/10/21	0.40	Qualified dividend	
			09/09/21	0.95	Qualified dividend	
			12/09/21	1.07	Qualified dividend	
			2.98	Total Dividends & distributions		
NEW RESIDENTIAL INVESTMENT CORP	64828T201		04/30/21	0.47	Section 199A dividend	03
			04/30/21	0.33	Nondividend distribution	03
			07/30/21	0.47	Section 199A dividend	03
			07/30/21	0.33	Nondividend distribution	03
			10/29/21	0.59	Section 199A dividend	03
			10/29/21	0.41	Nondividend distribution	03
			01/28/22	1.44	Qualified dividend	
	4.04	Total Dividends & distributions				
NVIDIA CORPORATION COMMON STOCK	67066G104		07/01/21	0.06	Qualified dividend	
			09/23/21	0.11	Qualified dividend	
			12/23/21	0.14	Qualified dividend	
			0.31	Total Dividends & distributions		
ORACLE CORP	68389X105		07/29/21	0.36	Qualified dividend	
			10/26/21	0.51	Qualified dividend	
			0.87	Total Dividends & distributions		
PFIZER INC.	717081103		03/05/21	4.29	Qualified dividend	
ROYAL DUTCH SHELL PLC ADS CLASS B	780259107		03/29/21	9.99	Qualified dividend	
			06/21/21	18.74	Qualified dividend	
			09/20/21	26.27	Qualified dividend	
			12/20/21	26.27	Qualified dividend	
			81.27	Total Dividends & distributions		

Robinhood Securities LLC

Account 718799679

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ROYAL DUTCH SHELL PLC ADS CLAS S A	780259206		03/29/21	2.43	Qualified dividend	
			03/29/21	-0.36	Foreign tax withheld-NL	
			06/21/21	2.78	Qualified dividend	
			06/21/21	-0.42	Foreign tax withheld-NL	
			09/20/21	4.08	Qualified dividend	
			09/20/21	-0.61	Foreign tax withheld-NL	
			12/20/21	4.08	Qualified dividend	
			12/20/21	-0.61	Foreign tax withheld-NL	
					13.37	Total Dividends & distributions
			-2.00	Total Foreign tax withheld		
SPDR S&P 500 ETF TRUST	78462F103		04/30/21	0.17	Qualified dividend	03
			04/30/21	0.01	Nonqualified dividend	03
			07/30/21	1.07	Qualified dividend	03
			07/30/21	0.08	Nonqualified dividend	03
			10/29/21	0.37	Qualified dividend	03
			10/29/21	0.03	Nonqualified dividend	03
			01/31/22	2.67	Qualified dividend	
			4.40	Total Dividends & distributions		
TIDAL ETF TRUST SOFI GIG ECONO MY ETF	886364504		12/31/21	0.16	Nonqualified dividend	03
			12/31/21	0.01	Qualified dividend	03
			0.17	Total Dividends & distributions		
TWO HARBORS INVESTMENT CORP.	90187B408		04/29/21	7.64	Nondividend distribution	03
			04/29/21	0.01	Section 199A dividend	03
			07/29/21	7.64	Nondividend distribution	03
			07/29/21	0.01	Section 199A dividend	03
			10/29/21	7.64	Nondividend distribution	03
			10/29/21	0.01	Section 199A dividend	03
			01/28/22	7.65	Qualified dividend	
			30.60	Total Dividends & distributions		
VANGUARD S&P 500 GROWTH ETF	921932505		03/31/21	0.04	Qualified dividend	
			06/29/21	0.34	Qualified dividend	
			10/04/21	0.70	Qualified dividend	
			12/27/21	0.91	Qualified dividend	
			1.99	Total Dividends & distributions		
VANGUARD S&P 500 VALUE ETF	921932703		12/27/21	0.48	Qualified dividend	

Robinhood Securities LLC

Account 718799679

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VANGUARD S&P SMALL-CAP 600 VAL UE ETF	921932778		03/31/21	0.04	Qualified dividend	03
			03/31/21	0.01	Nonqualified dividend	03
			06/29/21	0.23	Qualified dividend	03
			06/29/21	0.04	Nonqualified dividend	03
			06/29/21	0.02	Section 199A dividend	03
			10/04/21	1.17	Qualified dividend	03
			10/04/21	0.18	Nonqualified dividend	03
			10/04/21	0.10	Section 199A dividend	03
			12/27/21	1.24	Qualified dividend	03
			12/27/21	0.19	Nonqualified dividend	03
			12/27/21	0.11	Section 199A dividend	03
				3.33	Total Dividends & distributions	
		VANGUARD HIGH DIVIDEND YIELD E TF	921946406		06/24/21	0.35
	09/23/21			0.34	Qualified dividend	
	12/23/21			0.43	Qualified dividend	
		1.12	Total Dividends & distributions			
VANGUARD INFORMATION TECHNOLOG Y ETF	92204A702		03/31/21	0.05	Qualified dividend	
			06/29/21	0.37	Qualified dividend	
			10/04/21	0.92	Qualified dividend	
			12/21/21	0.85	Qualified dividend	
		2.19	Total Dividends & distributions			
VANGUARD S&P 500 ETF	922908363		03/31/21	0.19	Qualified dividend	03
			03/31/21	0.01	Section 199A dividend	03
			07/02/21	0.92	Qualified dividend	03
			07/02/21	0.03	Section 199A dividend	03
			10/04/21	1.49	Qualified dividend	03
			10/04/21	0.05	Section 199A dividend	03
			12/27/21	2.11	Qualified dividend	03
			12/27/21	0.07	Section 199A dividend	03
		4.87	Total Dividends & distributions			
VANGUARD GROWTH ETF	922908736		03/30/21	0.03	Qualified dividend	
			06/29/21	0.29	Qualified dividend	03
			06/29/21	0.01	Section 199A dividend	03
			09/29/21	0.41	Qualified dividend	03
			09/29/21	0.02	Section 199A dividend	03

Robinhood Securities LLC

Account 718799679

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VANGUARD GROWTH ETF (cont'd)			12/30/21	0.61	Qualified dividend	03
			12/30/21	0.03	Section 199A dividend	03
				1.40	Total Dividends & distributions	
WALMART INC.	931142103		04/05/21	2.75	Qualified dividend	
			06/01/21	2.75	Qualified dividend	
			09/07/21	2.75	Qualified dividend	
				8.25	Total Dividends & distributions	
WELLS FARGO & CO.	949746101		03/01/21	1.10	Qualified dividend	
			06/01/21	1.10	Qualified dividend	
			09/01/21	1.86	Qualified dividend	
			12/01/21	0.86	Qualified dividend	
				4.92	Total Dividends & distributions	
WESTERN ASSET MORTGAGE CAPITAL CORPORATION	95790D105		04/26/21	6.00	Nondividend distribution	03
			07/26/21	6.00	Nondividend distribution	03
			10/26/21	6.00	Nondividend distribution	03
			01/26/22	6.00	Qualified dividend	
				24.00	Total Dividends & distributions	
				434.82	Total Dividends & distributions	
				-2.20	Total Foreign tax withheld	

Robinhood Securities LLC

Account 718799679

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/20/21	0.16	Interest	
		01/29/21	0.12	Interest	
		02/26/21	0.02	Interest	
			0.30	Total Interest	
			0.30	Total Interest	

Robinhood Securities LLC

Account 718799679

Detail for Miscellaneous Information

2021

02/11/2022

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ARK INNOVATION ETF	00214Q104	12/31/21	1.05	Substitute payment	
		12/31/21	0.52	Substitute payment	
			1.57	Total Miscellaneous income	
ARK AUTONOMOUS TECHNOLOGY & ROBOTICS ETF	00214Q203	12/31/21	0.31	Substitute payment	
ARK GENOMIC REVOLUTION ETF	00214Q302	12/31/21	0.76	Substitute payment	
ARK NEXT GENERATION INTERNET E TF	00214Q401	12/31/21	3.12	Substitute payment	
		12/31/21	0.20	Substitute payment	
			3.32	Total Miscellaneous income	
INVESCO QQQ TRUST, SERIES 1	46090E103	12/31/21	0.82	Substitute payment	
ISHARES GLOBAL CLEAN ENERGY ET F	464288224	12/17/21	0.57	Substitute payment	
SPDR S&P 500 ETF TRUST	78462F103	10/29/21	1.43	Substitute payment	
			8.78	Total Miscellaneous income	

Robinhood Securities LLC

Account 718799679

Other Receipts and Reconciliations

2021

02/11/2022

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ENERGY TRANSFER LP COMMON UNIT S	29273V100	02/19/21	0.31	Partnership distribution	
REPRESENTING LIMITED PARTNER		05/19/21	20.13	Partnership distribution	
INTERESTS		08/19/21	21.69	Partnership distribution	
		11/19/21	22.14	Partnership distribution	
			64.27	Total Partnership distribution	
			64.27	Total Partnership distribution	

Robinhood Securities LLC

Account 718799679

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/26/21	-5.00	Margin interest paid	
		03/29/21	-5.00	Margin interest paid	
		04/27/21	-1.75	Margin interest paid	
		04/27/21	-5.00	Margin interest paid	
		05/27/21	-5.00	Margin interest paid	
		05/27/21	-9.04	Margin interest paid	
		06/28/21	-5.00	Margin interest paid	
		06/28/21	-17.57	Margin interest paid	
		07/26/21	-5.00	Margin interest paid	
		07/26/21	-15.42	Margin interest paid	
			-73.78	Total Margin interest paid	
Margin charge		08/25/21	-5.00	Margin interest paid	
		08/25/21	-17.69	Margin interest paid	
		09/24/21	-5.00	Margin interest paid	
		09/24/21	-16.45	Margin interest paid	
		10/25/21	-5.00	Margin interest paid	
		10/25/21	-14.78	Margin interest paid	
		11/23/21	-5.00	Margin interest paid	
		11/23/21	-12.59	Margin interest paid	
		12/23/21	-5.00	Margin interest paid	
		12/23/21	-11.92	Margin interest paid	
			-98.43	Total Margin interest paid	
			-172.21	Total Margin interest paid	

Robinhood Securities LLC

Account 718799679

Mutual Fund and UIT Supplemental Information

2021

02/11/2022

The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

ISHARES GLOBAL CLEAN ENERGY ET F / 464288224**FOREIGN SOURCE INCOME PERCENTAGES**

Fgn Source Inc Tot	48.43%	Fgn Source Inc Qual	48.43%	Fgn Source Inc Adj	0.00%
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VANGUARD S&P 500 GROWTH ETF / 921932505**PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES**

Fed Source Total 0.01% (A detailed breakdown is shown below when available)

<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>
U.S. Treasury	0.01	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

VANGUARD S&P 500 ETF / 922908363**PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES**

Fed Source Total 0.01% (A detailed breakdown is shown below when available)

<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>
U.S. Treasury	0.01	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

VANGUARD GROWTH ETF / 922908736**PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES**

Fed Source Total 0.01% (A detailed breakdown is shown below when available)

<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>
U.S. Treasury	0.01	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

Robinhood Securities LLC

Account 718799679

Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
	ISHARES GLOBAL CLEAN ENERGY ET F	464288224	0.00	0.67		0.00	0.67	-0.04
Total			0.00	0.67		0.00	0.67	-0.04
CA	BARRICK GOLD CORP.	067901108	1.08	0.00		0.00	1.08	-0.16
Total CANADA			1.08	0.00		0.00	1.08	-0.16
NL	ROYAL DUTCH SHELL PLC ADS CLAS S B	780259107	0.00	81.27		0.00	81.27	0.00
NL	ROYAL DUTCH SHELL PLC ADS CLAS S A	780259206	0.00	13.37		0.00	13.37	-2.00
Total NETHERLANDS			0.00	94.64		0.00	94.64	-2.00
UK	BP P.L.C.	055622104	0.00	39.86		0.00	39.86	0.00
Total UNITED KINGDOM			0.00	39.86		0.00	39.86	0.00
Grand Total	Total Foreign Source Income*					136.25		
	Qualified Foreign Source Income**					135.17		
	Foreign Tax					-2.20		

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 718799679

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ASENSUS SURGICAL, INC.	04367G103	03/05/21	100.00	0.00	Exchange	
AYTU BIOPHARMA, INC. COMMON STOCK	054754874	03/22/21	2.00	0.00	Merger	
NEOS THERAPEUTICS, INC. COMMON STOCK	64052L106	03/22/21	-20.00	0.00	Merger	
TRANSETERIX INC.	89366M300	03/05/21	-100.00	0.00	Exchange	
ASXC 03/19/2021 CALL \$8.00	ASXC 03/19/21 C 8.000	03/05/21	-1.00	0.00	Merger	
TRXC 03/19/2021 CALL \$8.00	TRXC 03/19/21 C 8.000	03/05/21	1.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
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Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Instructions for Recipient

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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