

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	<b>Tax Information</b> <b>Account 490502734</b>	Statement Date: 02/03/2022 Document ID: 5126 128 311P	2021
	PAYER'S TIN: 47-0533629	SAI CHAITANYA KODIDASU 3124 N 169TH ST OMAHA, NE 68116-2623  RECIPIENT'S TIN: XXX-XX-1616	

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      76.70</p> <p>1b- Qualified dividends      76.70</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      -2,311.71</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      -2,311.71</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	39,316,631.77	39,507,214.76	0.00	196,915.16	6,332.17
Short	B (basis not reported to the IRS)	1,749.30	2,305.59	0.00	0.00	-556.29
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Short-term</b>		<b>39,318,381.07</b>	<b>39,509,520.35</b>	<b>0.00</b>	<b>196,915.16</b>	<b>5,775.88</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Long-term</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Undetermined-term</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Grand total</b>		<b>39,318,381.07</b>	<b>39,509,520.35</b>	<b>0.00</b>	<b>196,915.16</b>	<b>5,775.88</b>
<b>Withholding</b>		<b>Amount</b>				
Federal income tax withheld		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 490502734

**Summary Information**

2021

(continued)

02/03/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	1.17
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	944.63
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	55.72
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/03/2022

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALFI INC COM / CUSIP: 00161P109 / Symbol: ALF							
06/25/21	10.000	167.10	Various	193.26	...	-26.16	Total of 2 transactions
06/25/21	50.000	853.23	Various	965.00	66.97 W	-44.80	Total of 2 transactions
06/28/21	20.000	406.60	Various	427.21	...	-20.61	Total of 2 transactions
10/15/21	225.000	1,391.22	Various	1,541.25	...	-150.03	Total of 2 transactions
	<b>Security total:</b>	<b>2,818.15</b>		<b>3,126.72</b>	<b>66.97 W</b>	<b>-241.60</b>	
AMC ENTERTAINMENT HOLDINGS INC COM CL A / CUSIP: 00165C104 / Symbol: AMC							
06/02/21	20.000	879.60	06/02/21	811.16	...	68.44	Sale
06/07/21	25.000	1,422.89	Various	1,353.15	...	69.74	Total of 2 transactions
06/07/21	30.000	1,612.79	06/07/21	1,683.90	71.11 W	0.00	Sale
06/14/21	45.000	2,606.52	Various	2,858.48	...	-251.96	Total of 3 transactions
06/14/21	210.000	11,808.57	Various	12,584.67	728.39 W	-47.71	Total of 11 transactions
08/18/21	300.000	11,200.05	Various	11,437.03	129.04 W	-107.94	Total of 2 transactions
09/07/21	40.000	1,907.62	09/01/21	1,912.37	...	-4.75	Sale
09/10/21	80.000	4,097.18	Various	4,122.40	...	-25.22	Total of 2 transactions
	<b>Security total:</b>	<b>35,535.22</b>		<b>36,763.16</b>	<b>928.54 W</b>	<b>-299.40</b>	
AMMO INC COM / CUSIP: 00175J107 / Symbol: POWW							
02/04/21	200.000	1,548.95	02/04/21	1,597.98	49.03 W	0.00	Sale
02/11/21	300.000	2,657.93	02/11/21	2,623.00	...	34.93	Sale
	<b>Security total:</b>	<b>4,206.88</b>		<b>4,220.98</b>	<b>49.03 W</b>	<b>34.93</b>	

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TD Ameritrade Clearing, Inc.

Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACORDA THERAPEUTICS INC COM / CUSIP: 00484M601 / Symbol: ACOR							
01/19/21	500.000	4,099.85	01/19/21	3,955.00	...	144.85	Sale
ADITX INC COM / CUSIP: 007025109 / Symbol: ADTX							
01/29/21	400.000	1,479.92	01/22/21	1,431.96	...	47.96	Sale
ADOBE INC COM / CUSIP: 00724F101 / Symbol: ADBE							
11/01/21	25.000	16,244.92	11/01/21	16,328.25	...	-83.33	Sale
ADVANCED MICRO DEVICES INC COM / CUSIP: 007903107 / Symbol: AMD							
06/30/21	50.000	4,578.97	06/29/21	4,489.00	...	89.97	Sale
06/30/21	75.000	6,988.45	06/30/21	7,020.75	32.30 W	0.00	Sale
07/28/21	75.000	7,176.70	07/01/21	7,049.05	...	127.65	Sale
07/28/21	300.000	29,216.82	07/28/21	29,286.00	69.18 W	0.00	Sale
07/29/21	1,875.000	193,270.04	Various	193,585.53	377.29 W	61.80	Total of 10 transactions
07/30/21	700.000	73,856.56	Various	73,688.86	...	167.70	Total of 4 transactions
07/30/21	725.000	77,071.13	Various	77,103.12	49.86 W	17.87	Total of 3 transactions
08/06/21	1,600.000	185,286.85	Various	184,531.36	...	755.49	Total of 5 transactions
	<b>Security total:</b>	<b>577,445.52</b>		<b>576,753.67</b>	<b>528.63 W</b>	<b>1,220.48</b>	
AETHLON MEDICAL INC COM / CUSIP: 00808Y307 / Symbol: AEMD							
06/09/21	5.000	57.90	06/09/21	58.95	...	-1.05	Sale
06/09/21	50.000	519.99	06/09/21	565.50	4.55 W	-40.96	Sale
	<b>Security total:</b>	<b>577.89</b>		<b>624.45</b>	<b>4.55 W</b>	<b>-42.01</b>	
AFFIRM HLDGS INC COM CL A / CUSIP: 00827B106 / Symbol: AFRM							
08/25/21	2,000.000	145,843.84	Various	145,689.60	155.26 W	309.50	Total of 4 transactions
08/26/21	300.000	22,136.85	08/26/21	22,110.46	0.50 W	26.89	Sale
08/27/21	100.000	8,999.94	08/26/21	7,386.57	...	1,613.37	Sale
09/17/21	402.000	48,506.70	Various	48,322.04	...	184.66	Total of 3 transactions
09/23/21	50.000	6,070.97	09/23/21	5,906.02	...	164.95	Sale
09/24/21	625.000	80,953.89	Various	83,205.30	2,251.41 W	0.00	Total of 2 transactions
10/06/21	350.000	44,548.44	Various	45,950.89	1,402.45 W	0.00	Total of 5 transactions
10/07/21	815.000	112,550.64	Various	114,313.58	1,788.41 W	25.47	Total of 3 transactions
10/08/21	125.000	17,734.71	Various	18,007.78	273.07 W	0.00	Total of 4 transactions
10/12/21	120.000	16,517.92	10/12/21	16,904.48	386.56 W	0.00	Sale
10/13/21	645.000	95,027.79	Various	95,399.19	604.20 W	232.80	Total of 4 transactions

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TD Ameritrade Clearing, Inc.

Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AFFIRM HLDGS INC COM CL A / CUSIP: 00827B106 / Symbol: AFRM (cont'd)							
10/14/21	50.000	7,576.60	Various	7,734.04	157.44 W	0.00	Total of 2 transactions
10/15/21	200.000	30,478.82	Various	30,404.00	...	74.82	Total of 2 transactions
10/15/21	1,200.000	182,460.60	Various	185,129.32	2,683.05 W	14.33	Total of 12 transactions
10/18/21	50.000	7,865.46	10/18/21	7,691.08	...	174.38	Sale
10/19/21	200.000	30,421.12	10/15/21	30,340.00	...	81.12	Short sale closed
10/25/21	250.000	41,082.04	Various	41,709.54	...	-627.50	Total of 4 transactions
10/25/21	150.000	24,425.03	Various	24,966.82	465.83 W	-75.96	Total of 5 transactions
10/28/21	20.000	3,224.78	10/28/21	3,338.41	...	-113.63	Sale
10/29/21	15.000	2,431.94	10/29/21	2,543.01	...	-111.07	Sale
11/16/21	15.000	2,306.09	11/11/21	2,349.51	...	-43.42	Sale
	<b>Security total:</b>	<b>931,164.17</b>		<b>939,401.64</b>	<b>10,168.18 W</b>	<b>1,930.71</b>	
AIRBNB INC COM CL A / CUSIP: 009066101 / Symbol: ABNB							
06/02/21	15.000	2,205.59	06/02/21	2,204.18	...	1.41	Sale
06/02/21	10.000	1,470.89	06/02/21	1,473.82	3.66 W	0.73	Sale
06/04/21	45.000	6,711.47	Various	6,631.21	...	80.26	Total of 3 transactions
06/04/21	15.000	2,207.24	06/04/21	2,210.31	3.46 W	0.39	Sale
06/23/21	10.000	1,541.99	06/23/21	1,536.47	...	5.52	Sale
06/23/21	15.000	2,264.72	Various	2,277.30	12.58 W	0.00	Total of 3 transactions
06/30/21	25.000	3,841.48	06/30/21	3,833.00	...	8.48	Sale
07/01/21	50.000	7,806.71	Various	7,696.91	...	109.80	Total of 2 transactions
08/12/21	25.000	3,911.73	07/01/21	3,912.50	0.77 W	0.00	Sale
08/24/21	1,000.000	160,295.07	Various	159,876.42	...	418.65	Total of 2 transactions
08/24/21	3,200.000	511,541.09	Various	511,597.89	83.66 W	26.86	Total of 4 transactions
08/25/21	1,000.000	159,979.06	08/25/21	161,079.07	1,156.35 W	56.34	Sale
09/21/21	600.000	101,168.41	Various	102,841.97	1,673.56 W	0.00	Total of 2 transactions
09/22/21	525.000	89,509.02	Various	91,306.87	1,797.85 W	0.00	Total of 3 transactions
09/24/21	300.000	52,883.72	09/24/21	54,265.19	...	-1,381.47	Sale
09/24/21	300.000	52,826.70	09/24/21	53,709.60	323.55 W	-559.35	Sale
11/04/21	500.000	89,171.57	Various	89,296.66	238.51 W	113.42	Total of 2 transactions
11/05/21	220.000	43,827.15	Various	43,933.40	...	-106.25	Total of 3 transactions
11/05/21	1,630.000	322,494.56	Various	326,529.40	4,037.42 W	2.58	Total of 13 transactions
11/08/21	40.000	8,374.26	Various	8,596.19	...	-221.93	Total of 3 transactions
11/17/21	80.000	16,771.72	Various	17,240.28	...	-468.56	Total of 5 transactions
	<b>Security total:</b>	<b>1,640,804.15</b>		<b>1,652,048.64</b>	<b>9,331.37 W</b>	<b>-1,913.12</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ALIBABA GROUP HOLDING LTD ADR SPONSORED / CUSIP: 01609W102 / Symbol: BABA							
01/04/21	15.000	3,441.37	12/08/20	4,276.43	...	-835.06	Sale
01/05/21	15.000	3,552.36	12/08/20	4,409.12	...	-856.76	Sale
06/25/21	25.000	5,626.52	Various	5,616.70	...	9.82	Total of 3 transactions
08/24/21	400.000	68,310.60	Various	68,444.40	...	-133.80	Total of 2 transactions
08/24/21	700.000	118,867.54	Various	119,357.17	489.63 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>199,798.39</b>		<b>202,103.82</b>	<b>489.63 W</b>	<b>-1,815.80</b>	
ALIGN TECHNOLOGY INC COM / CUSIP: 016255101 / Symbol: ALGN							
07/30/21	5.000	3,460.63	07/30/21	3,446.30	...	14.33	Sale
THE ALKALINE WATER CO INC COM / CUSIP: 01643A207 / Symbol: WTER							
01/05/21	300.000	289.48	10/16/20	524.61	...	-235.13	Sale
ALLIED HEALTHCARE PRODUCTS INC COM / CUSIP: 019222207 / Symbol: AHPI							
07/19/21	25.000	224.25	07/19/21	217.50	...	6.75	Sale
ALPHABET INC COM CL A / CUSIP: 02079K305 / Symbol: GOOGL							
08/27/21	5.000	14,356.68	08/27/21	14,328.50	...	28.18	Sale
10/01/21	10.000	27,106.62	Various	27,259.32	152.70 W	0.00	Total of 2 transactions
10/27/21	10.000	28,469.85	10/27/21	28,313.25	...	156.60	Sale
10/27/21	18.000	51,025.97	Various	50,921.94	127.11 W	231.14	Total of 3 transactions
11/01/21	17.000	49,668.74	Various	49,896.55	227.81 W	0.00	Total of 4 transactions
11/02/21	5.000	14,580.83	11/02/21	14,522.65	...	58.18	Sale
11/02/21	105.000	306,309.19	Various	306,944.93	692.52 W	56.78	Total of 10 transactions
11/04/21	30.000	89,373.77	Various	88,850.59	...	523.18	Total of 6 transactions
11/04/21	20.000	59,689.47	Various	59,992.29	302.82 W	0.00	Total of 4 transactions
11/05/21	25.000	74,951.37	Various	75,234.94	283.57 W	0.00	Total of 2 transactions
11/11/21	20.000	58,814.60	Various	59,196.24	...	-381.64	Total of 2 transactions
11/11/21	10.000	29,455.18	Various	29,619.53	164.35 W	0.00	Total of 2 transactions
12/01/21	5.000	14,534.53	12/01/21	14,497.03	...	37.50	Sale
	<b>Security total:</b>	<b>818,336.80</b>		<b>819,577.76</b>	<b>1,950.88 W</b>	<b>709.92</b>	
ALPINE 4 HOLDINGS INC COM CL A / CUSIP: 02083E105 / Symbol: ALPP							
01/22/21	700.000	2,869.91	01/20/21	2,617.95	...	251.96	Sale

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TD Ameritrade Clearing, Inc.

Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMAZON COM INC COM / CUSIP: 023135106 / Symbol: AMZN							
01/28/21	20.000	65,784.15	01/20/21	65,526.34	...	257.81	Sale
06/07/21	11.000	35,113.23	Various	35,066.55	...	46.68	Total of 3 transactions
06/07/21	5.000	15,957.38	06/03/21	15,967.40	10.02 W	0.00	Short sale closed
06/08/21	5.000	16,287.67	06/08/21	16,284.30	...	3.37	Sale
06/10/21	20.000	66,153.83	Various	65,901.05	...	252.78	Total of 4 transactions
06/11/21	20.000	67,165.76	Various	67,258.09	92.33 W	0.00	Total of 4 transactions
06/14/21	5.000	16,834.46	06/14/21	16,821.99	...	12.47	Sale
06/14/21	15.000	50,547.78	Various	50,623.99	76.21 W	0.00	Total of 3 transactions
06/15/21	5.000	16,722.26	06/11/21	16,715.70	...	6.56	Short sale closed
06/15/21	15.000	50,524.41	Various	50,671.07	146.66 W	0.00	Total of 7 transactions
06/16/21	15.000	51,035.48	Various	51,258.71	223.23 W	0.00	Total of 3 transactions
06/17/21	2.000	6,988.10	06/17/21	6,977.65	...	10.45	Sale
06/17/21	10.000	34,203.52	Various	34,354.54	151.02 W	0.00	Total of 2 transactions
06/18/21	1.000	3,505.54	06/18/21	3,517.92	12.38 W	0.00	Sale
06/24/21	5.000	17,424.34	Various	17,648.46	224.12 W	0.00	Total of 2 transactions
06/28/21	20.000	69,677.64	06/24/21	69,749.68	72.04 W	0.00	Short sale closed
06/29/21	3.000	10,241.86	06/25/21	10,223.71	...	18.15	Short sale closed
06/29/21	2.000	6,827.90	Various	6,834.90	7.00 W	0.00	Total of 2 transactions
06/30/21	1.000	3,459.48	06/30/21	3,504.02	44.54 W	0.00	Sale
07/02/21	4.000	13,883.93	06/30/21	14,068.70	184.77 W	0.00	Sale
07/06/21	2.000	7,210.38	07/06/21	7,207.36	...	3.02	Sale
07/06/21	3.000	10,781.11	07/06/21	10,811.05	29.94 W	0.00	Sale
07/09/21	30.000	111,489.06	Various	112,233.32	745.93 W	1.67	Total of 6 transactions
07/13/21	19.000	71,336.93	Various	71,941.15	604.22 W	0.00	Total of 3 transactions
07/19/21	5.000	18,292.61	07/15/21	18,250.30	...	42.31	Short sale closed
07/20/21	4.000	14,476.46	Various	14,465.84	...	10.62	Total of 2 transactions
07/20/21	2.000	7,224.20	07/16/21	7,226.38	2.18 W	0.00	Short sale closed
07/22/21	2.000	7,241.66	07/22/21	7,277.05	36.91 W	1.52	Sale
07/23/21	50.000	182,971.36	07/23/21	183,861.65	890.29 W	0.00	Sale
07/26/21	20.000	73,787.32	Various	73,986.87	...	-199.55	Total of 2 transactions
07/26/21	55.000	200,824.13	Various	201,533.14	347.13 W	-361.88	Total of 4 transactions
08/03/21	4.000	13,325.78	07/30/21	13,339.32	...	-13.54	Short sale closed
08/03/21	10.000	33,871.42	Various	34,064.55	193.13 W	0.00	Total of 2 transactions
08/23/21	1.000	3,248.54	08/23/21	3,249.17	0.63 W	0.00	Sale
08/31/21	5.000	17,284.16	08/31/21	17,264.51	...	19.65	Sale

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Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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AMAZON COM INC COM / CUSIP: 023135106 / Symbol: AMZN (cont'd)							
08/31/21	20.000	69,345.45	08/31/21	69,319.95	123.55 W	149.05	Sale
09/01/21	6.000	20,877.95	Various	21,246.22	368.27 W	0.00	Total of 2 transactions
09/08/21	90.000	317,303.18	Various	318,025.72	889.63 W	167.09	Total of 2 transactions
09/09/21	50.000	177,113.09	09/09/21	177,435.21	363.13 W	41.01	Sale
10/07/21	20.000	66,392.26	10/07/21	66,849.95	457.69 W	0.00	Sale
10/15/21	40.000	133,083.72	10/15/21	132,918.62	...	165.10	Sale
10/15/21	140.000	469,163.44	Various	470,574.04	1,609.54 W	198.94	Total of 6 transactions
10/18/21	7.000	23,985.03	Various	24,327.53	342.50 W	0.00	Total of 2 transactions
10/28/21	6.000	20,640.90	Various	20,999.42	358.52 W	0.00	Total of 3 transactions
10/29/21	5.000	16,630.11	10/29/21	16,913.99	283.88 W	0.00	Sale
11/02/21	7.000	22,922.50	Various	23,036.32	...	-113.82	Total of 2 transactions
11/02/21	5.000	16,384.90	10/29/21	16,474.95	90.05 W	0.00	Short sale closed
11/04/21	2.000	6,578.65	11/02/21	6,625.89	...	-47.24	Short sale closed
11/08/21	5.000	17,795.83	Various	18,152.22	...	-356.39	Total of 2 transactions
11/18/21	1.000	3,527.27	11/16/21	3,557.35	...	-30.08	Short sale closed
	<b>Security total:</b>	<b>2,773,448.12</b>		<b>2,782,143.81</b>	<b>8,981.44 W</b>	<b>285.75</b>	
ANALOG DEVICES INC COM / CUSIP: 032654105 / Symbol: ADI							
10/19/21	300.000	52,340.70	10/19/21	52,218.00	...	122.70	Sale
ANAVEX LIFE SCIENC COM / CUSIP: 032797300 / Symbol: AVXL							
06/16/21	10.000	200.95	Various	183.60	...	17.35	Total of 2 transactions
APPIAN CORPORATION COM CL A / CUSIP: 03782L101 / Symbol: APPN							
06/28/21	10.000	1,480.09	06/28/21	1,430.60	...	49.49	Sale
APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL							
01/04/21	25.000	3,219.68	11/25/20	2,905.38	...	314.30	Sale
01/06/21	75.000	9,659.04	01/04/21	9,659.24	...	-0.20	Short sale closed
07/14/21	200.000	29,863.83	07/14/21	29,777.09	...	86.74	Sale
07/14/21	200.000	29,804.31	Various	29,817.04	12.73 W	0.00	Total of 3 transactions
08/12/21	1,400.000	208,402.76	Various	208,324.17	6.33 W	84.92	Total of 2 transactions
08/13/21	1,600.000	238,830.58	Various	238,554.19	...	276.39	Total of 2 transactions
08/13/21	200.000	29,687.82	Various	29,884.00	196.18 W	0.00	Total of 2 transactions
08/17/21	200.000	30,235.83	08/17/21	30,500.18	...	-264.35	Sale

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Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL (cont'd)							
08/17/21	200.000	30,240.09	08/17/21	30,304.00	31.96 W	-31.95	Sale
09/01/21	100.000	15,269.91	09/01/21	15,523.72	...	-253.81	Sale
	<b>Security total:</b>	<b>625,213.85</b>		<b>625,249.01</b>	<b>247.20 W</b>	<b>212.04</b>	
APTEVO THERAPEUTICS INC COM / CUSIP: 03835L207 / Symbol: APVO							
06/25/21	2.000	47.80	06/04/21	51.00	...	-3.20	Sale
ARBUTUS BIOPHARMA CORP COM / CUSIP: 03879J100 / Symbol: ABUS							
02/08/21	750.000	3,637.33	01/22/21	3,073.59	...	563.74	Sale
12/01/21	500.000	2,454.93	02/09/21	2,477.08	...	-22.15	Sale
	<b>Security total:</b>	<b>6,092.26</b>		<b>5,550.67</b>	...	<b>541.59</b>	
ARCBEST CORP COM / CUSIP: 03937C105 / Symbol: ARCB							
09/21/21	150.000	11,145.37	Various	11,131.50	...	13.87	Sale
ASANA INC COM CL A / CUSIP: 04342Y104 / Symbol: ASAN							
09/03/21	50.000	4,724.02	09/03/21	4,692.50	...	31.52	Sale
09/14/21	1,050.000	111,431.30	Various	110,450.00	...	981.30	Total of 3 transactions
09/15/21	300.000	32,216.81	09/15/21	31,944.00	...	272.81	Sale
09/16/21	50.000	5,720.46	09/16/21	5,685.50	...	34.96	Sale
09/23/21	50.000	6,089.96	09/23/21	6,040.00	...	49.96	Sale
10/13/21	100.000	11,394.93	10/13/21	11,477.00	82.07 W	0.00	Sale
10/19/21	150.000	19,483.88	Various	19,324.57	...	159.31	Total of 2 transactions
10/20/21	200.000	25,649.38	Various	26,071.07	421.69 W	0.00	Total of 2 transactions
10/28/21	20.000	2,629.59	10/28/21	2,692.12	62.53 W	0.00	Sale
10/29/21	300.000	39,678.80	Various	40,382.03	703.23 W	0.00	Total of 4 transactions
11/09/21	400.000	57,049.16	Various	57,682.23	...	-633.07	Total of 2 transactions
	<b>Security total:</b>	<b>316,068.29</b>		<b>316,441.02</b>	<b>1,269.52 W</b>	<b>896.79</b>	
ASHFORD HOSPITALITY TRUST INC COM USD0.01(POST REV SPLIT) / CUSIP: 044103869 / Symbol: AHT							
08/27/21	500.000	7,696.15	Various	7,679.80	...	16.35	Total of 2 transactions
09/02/21	200.000	3,088.23	Various	3,077.50	...	10.73	Total of 2 transactions
	<b>Security total:</b>	<b>10,784.38</b>		<b>10,757.30</b>	...	<b>27.08</b>	
AT HOME GROUP INC CSH MGR @ \$37.00/SH 7/23/21 / CUSIP: 04650Y100 / Symbol: HOME							
01/22/21	50.000	1,233.47	01/22/21	1,227.94	...	5.53	Sale

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(continued)

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ATEA PHARMACEUTICALS INC COM / CUSIP: 04683R106 / Symbol: AVIR							
06/25/21	3.000	71.70	06/04/21	61.41	...	10.29	Sale
08/06/21	500.000	15,224.86	Various	15,104.60	...	120.26	Total of 2 transactions
	<b>Security total:</b>	<b>15,296.56</b>		<b>15,166.01</b>	...	<b>130.55</b>	
AULT GLOBAL HOLDINGS INC N/C 12/13/21 09175M101 / CUSIP: 05150X104 / Symbol: DPW							
06/09/21	50.000	147.99	06/09/21	153.00	5.01 W	0.00	Sale
06/15/21	325.000	1,162.98	Various	1,020.38	...	142.60	Total of 5 transactions
	<b>Security total:</b>	<b>1,310.97</b>		<b>1,173.38</b>	<b>5.01 W</b>	<b>142.60</b>	
AUTODESK INC COM / CUSIP: 052769106 / Symbol: ADSK							
07/23/21	1,000.000	314,062.28	Various	313,027.96	...	1,034.32	Total of 5 transactions
AXCELLA HEALTH INC COM / CUSIP: 05454B105 / Symbol: AXLA							
06/25/21	15.000	61.22	06/04/21	48.75	...	12.47	Sale
BAIDU INC ADR / CUSIP: 056752108 / Symbol: BIDU							
08/31/21	40.000	6,273.17	08/31/21	6,218.40	...	54.77	Sale
10/08/21	100.000	16,015.44	Various	15,960.50	...	54.94	Total of 2 transactions
10/19/21	100.000	17,409.91	10/19/21	17,555.20	...	-145.29	Sale
10/19/21	200.000	34,617.80	Various	34,741.10	123.30 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>74,316.32</b>		<b>74,475.20</b>	<b>123.30 W</b>	<b>-35.58</b>	
BEAM THERAPEUTICS INC COM / CUSIP: 07373V105 / Symbol: BEAM							
10/21/21	25.000	2,379.59	Various	2,421.50	...	-41.91	Total of 2 transactions
BEASLEY BROADCAST GROUP INC COM CL A / CUSIP: 074014101 / Symbol: BBGI							
01/21/21	500.000	1,069.97	01/21/21	1,074.95	...	-4.98	Sale
BED BATH AND BEYOND COM / CUSIP: 075896100 / Symbol: BBBY							
11/03/21	25.000	634.50	11/02/21	772.75	...	-138.25	Sale
BEIGENE LTD ADR SPONSORED / CUSIP: 07725L102 / Symbol: BGNE							
06/25/21	1.000	355.82	06/07/21	346.45	...	9.37	Sale
BEST BUY CO INC COM / CUSIP: 086516101 / Symbol: BBY							
08/24/21	200.000	23,940.86	Various	23,884.00	...	56.86	Total of 2 transactions

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(continued)

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BEYOND MEAT INC COM / CUSIP: 08862E109 / Symbol: BYND							
11/03/21	90.000	9,422.65	Various	9,998.07	...	-575.42	Total of 3 transactions
11/03/21	125.000	13,000.43	Various	14,308.31	1,577.93 W	270.05	Total of 2 transactions
	<b>Security total:</b>	<b>22,423.08</b>		<b>24,306.38</b>	<b>1,577.93 W</b>	<b>-305.37</b>	
BILL COM HLDGS INC COM / CUSIP: 090043100 / Symbol: BILL							
06/21/21	5.000	882.90	06/21/21	892.60	...	-9.70	Sale
BIO KEY INTERNATIONAL COM / CUSIP: 09060C408 / Symbol: BKYI							
02/08/21	200.000	859.96	02/03/21	819.40	...	40.56	Sale
BIOGEN INC COM / CUSIP: 09062X103 / Symbol: BIIB							
06/07/21	3.000	1,319.99	06/07/21	860.64	...	459.35	Sale
06/09/21	40.000	16,442.17	Various	16,262.36	...	179.81	Total of 8 transactions
06/10/21	15.000	6,103.22	Various	6,304.84	201.62 W	0.00	Total of 3 transactions
06/11/21	60.000	24,983.68	Various	25,709.22	725.54 W	0.00	Total of 7 transactions
06/16/21	5.000	1,992.79	Various	2,125.05	132.26 W	0.00	Total of 3 transactions
06/17/21	5.000	1,985.99	06/15/21	1,973.50	...	12.49	Short sale closed
06/17/21	25.000	9,851.37	Various	10,168.83	317.46 W	0.00	Total of 2 transactions
06/24/21	10.000	3,509.58	Various	3,679.65	170.07 W	0.00	Total of 2 transactions
06/28/21	2.000	676.76	06/25/21	711.33	34.57 W	0.00	Sale
07/08/21	40.000	14,425.11	Various	14,925.26	500.15 W	0.00	Total of 4 transactions
07/16/21	10.000	3,235.77	Various	3,365.53	129.76 W	0.00	Total of 2 transactions
07/19/21	75.000	24,894.81	07/15/21	24,799.50	...	95.31	Short sale closed
07/20/21	25.000	8,114.96	07/16/21	8,094.75	...	20.21	Short sale closed
07/21/21	100.000	32,137.49	07/19/21	32,075.00	...	62.49	Short sale closed
07/21/21	100.000	32,137.48	07/19/21	32,426.00	57.70 W	-230.82	Short sale closed
07/22/21	20.000	6,359.17	07/20/21	6,430.70	...	-71.53	Short sale closed
07/28/21	25.000	8,364.21	07/28/21	8,709.66	...	-345.45	Sale
	<b>Security total:</b>	<b>196,534.55</b>		<b>198,621.82</b>	<b>2,269.13 W</b>	<b>181.86</b>	
BIOXCEL THERAPEUTICS INC COM / CUSIP: 09075P105 / Symbol: BTAI							
06/25/21	2.000	61.52	06/09/21	69.40	...	-7.88	Sale

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TD Ameritrade Clearing, Inc.

Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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BIONTECH SE ADR / CUSIP: 09075V102 / Symbol: BNTX							
07/15/21	200.000	44,057.76	07/15/21	43,646.00	...	411.76	Sale
07/16/21	100.000	23,191.08	Various	22,911.00	...	280.08	Total of 2 transactions
07/16/21	443.000	102,655.92	Various	103,162.39	514.17 W	7.70	Total of 7 transactions
07/19/21	100.000	23,429.87	07/19/21	23,202.00	...	227.87	Sale
07/19/21	550.000	128,372.27	Various	128,807.01	532.74 W	98.00	Total of 4 transactions
07/20/21	400.000	100,058.44	Various	99,887.77	...	170.67	Total of 2 transactions
07/20/21	100.000	24,878.86	07/20/21	24,870.44	8.77 W	17.19	Sale
09/15/21	100.000	34,742.81	09/15/21	34,708.00	...	34.81	Sale
09/16/21	110.000	40,021.18	Various	39,730.10	...	291.08	Total of 2 transactions
10/26/21	3.000	891.48	10/26/21	909.00	...	-17.52	Sale
11/22/21	2.000	611.76	10/26/21	606.00	...	5.76	Sale
	<b>Security total:</b>	<b>522,911.43</b>		<b>522,439.71</b>	<b>1,055.68 W</b>	<b>1,527.40</b>	
BLACKBERRY LTD COM / CUSIP: 09228F103 / Symbol: BB							
06/03/21	50.000	932.99	06/02/21	760.50	...	172.49	Sale
BLUE APRON HOLDINGS INC COM CL A / CUSIP: 09523Q200 / Symbol: APRN							
09/21/21	40.000	218.00	09/21/21	207.60	...	10.40	Sale
09/23/21	40.000	253.60	09/22/21	241.20	...	12.40	Sale
10/13/21	150.000	1,388.97	10/13/21	1,356.00	...	32.97	Sale
	<b>Security total:</b>	<b>1,860.57</b>		<b>1,804.80</b>	...	<b>55.77</b>	
BOEING CO COM / CUSIP: 097023105 / Symbol: BA							
06/02/21	20.000	5,151.98	06/02/21	5,155.36	3.38 W	0.00	Sale
06/21/21	30.000	7,212.56	06/21/21	7,224.95	...	-12.39	Sale
06/21/21	10.000	2,407.49	06/21/21	2,406.91	0.16 W	0.74	Sale
10/29/21	300.000	62,476.89	Various	62,289.75	...	187.14	Total of 4 transactions
11/01/21	100.000	20,499.89	10/28/21	20,568.00	...	-68.11	Short sale closed
	<b>Security total:</b>	<b>97,748.81</b>		<b>97,644.97</b>	<b>3.54 W</b>	<b>107.38</b>	
BOOKING HOLDINGS INC COM / CUSIP: 09857L108 / Symbol: BKNG							
11/05/21	10.000	26,051.36	Various	25,759.06	...	292.30	Total of 3 transactions
11/08/21	2.000	5,278.15	Various	5,420.74	...	-142.59	Total of 2 transactions
11/08/21	4.000	10,652.80	Various	10,732.77	79.97 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>41,982.31</b>		<b>41,912.57</b>	<b>79.97 W</b>	<b>149.71</b>	

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TD Ameritrade Clearing, Inc.

Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BOXLIGHT CORPORATION COM CL A / CUSIP: 103197109 / Symbol: BOXL							
09/02/21	40.000	106.94	08/30/21	105.20	...	1.74	Sale
BRIACELL THERAPEUTICS INC COM / CUSIP: 10778Y302 / Symbol: BCTX							
04/09/21	200.000	970.99	Various	935.99	...	35.00	Total of 2 transactions
BRIDGELINE DIGITAL INC COM / CUSIP: 10807Q700 / Symbol: BLIN							
07/13/21	100.000	705.99	07/13/21	725.00	...	-19.01	Sale
BROADCOM INC COM / CUSIP: 11135F101 / Symbol: AVGO							
07/12/21	1.000	488.34	07/09/21	479.33	...	9.01	Sale
BRUNSWICK CORP COM / CUSIP: 117043109 / Symbol: BC							
08/02/21	100.000	10,353.94	08/02/21	10,765.05	...	-411.11	Sale
08/02/21	100.000	10,357.95	08/02/21	10,690.00	332.05 W	0.00	Sale
	<b>Security total:</b>	<b>20,711.89</b>		<b>21,455.05</b>	<b>332.05 W</b>	<b>-411.11</b>	
BUILDERS FIRSTSOURCE INC COM / CUSIP: 12008R107 / Symbol: BLDR							
10/12/21	500.000	28,030.30	10/12/21	28,125.00	...	-94.70	Sale
C3.AI INC COM CL A / CUSIP: 12468P104 / Symbol: AI							
01/27/21	100.000	15,821.65	Various	15,098.46	...	723.19	Total of 2 transactions
06/03/21	15.000	1,054.34	06/03/21	1,038.70	...	15.64	Sale
06/03/21	10.000	681.05	Various	692.47	11.42 W	0.00	Total of 2 transactions
06/28/21	50.000	3,343.98	06/28/21	3,291.42	...	52.56	Sale
	<b>Security total:</b>	<b>20,901.02</b>		<b>20,121.05</b>	<b>11.42 W</b>	<b>791.39</b>	
CAMBER ENERGY INC COM / CUSIP: 13200M508 / Symbol: CEI							
09/07/21	5,000.000	4,949.88	Various	3,599.50	...	1,350.38	Total of 2 transactions
09/10/21	750.000	1,132.40	09/07/21	750.00	...	382.40	Sale
09/15/21	250.000	414.97	09/07/21	250.00	...	164.97	Sale
09/24/21	250.000	497.47	09/23/21	442.50	...	54.97	Sale
	<b>Security total:</b>	<b>6,994.72</b>		<b>5,042.00</b>	...	<b>1,952.72</b>	
CANAAAN INC ADR / CUSIP: 134748102 / Symbol: CAN							
08/06/21	40.000	357.20	08/05/21	333.60	...	23.60	Sale

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Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CARMAX INC COM / CUSIP: 143130102 / Symbol: KMX							
01/20/21	300.000	37,110.94	01/20/21	36,785.99	...	324.95	Sale
CARVANA CO COM CL A / CUSIP: 146869102 / Symbol: CVNA							
08/19/21	20.000	6,979.96	08/19/21	7,075.00	...	-95.04	Sale
CASSAVA SCIENCES INC COM / CUSIP: 14817C107 / Symbol: SAVA							
06/02/21	20.000	1,188.99	06/02/21	1,146.80	...	42.19	Sale
06/07/21	5.000	331.95	06/07/21	327.50	...	4.45	Sale
06/07/21	10.000	687.00	06/07/21	742.65	55.65 W	0.00	Sale
06/11/21	2.000	167.90	06/11/21	157.38	...	10.52	Sale
06/16/21	2.000	155.40	06/16/21	162.64	7.24 W	0.00	Sale
06/17/21	5.000	392.55	06/17/21	430.86	38.31 W	0.00	Sale
06/21/21	30.000	2,665.49	06/18/21	2,420.61	...	244.88	Sale
06/21/21	80.000	7,182.76	Various	7,204.00	21.24 W	0.00	Total of 3 transactions
07/07/21	20.000	1,927.39	06/21/21	1,801.00	...	126.39	Sale
07/21/21	120.000	13,153.13	Various	12,810.54	...	342.59	Total of 2 transactions
08/10/21	700.000	75,449.52	Various	75,202.00	...	247.52	Total of 3 transactions
08/12/21	500.000	55,645.65	Various	55,412.00	...	233.65	Total of 2 transactions
08/27/21	30.000	1,844.99	08/27/21	1,902.30	...	-57.31	Sale
	<b>Security total:</b>	<b>160,792.72</b>		<b>159,720.28</b>	<b>122.44 W</b>	<b>1,194.88</b>	
CBAK ENERGY TECHNOLOGY INC COM / CUSIP: 14986C102 / Symbol: CBAT							
01/27/21	50.000	365.98	01/22/21	395.00	14.51 W	-14.51	Sale
02/17/21	25.000	218.25	02/17/21	242.54	...	-24.29	Sale
	<b>Security total:</b>	<b>584.23</b>		<b>637.54</b>	<b>14.51 W</b>	<b>-38.80</b>	
CELLDEX THERAPEUTICS INC COM / CUSIP: 15117B202 / Symbol: CLDX							
08/05/21	125.000	5,964.96	08/05/21	5,922.50	...	42.46	Sale
CENTURY COMMUNITIES COM / CUSIP: 156504300 / Symbol: CCS							
08/12/21	200.000	14,309.91	08/12/21	14,753.98	444.07 W	0.00	Sale
08/17/21	200.000	13,464.40	Various	15,054.07	...	-1,589.67	Total of 3 transactions
	<b>Security total:</b>	<b>27,774.31</b>		<b>29,808.05</b>	<b>444.07 W</b>	<b>-1,589.67</b>	

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Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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CHARGEPOINT HOLDINGS INC COM CL A / CUSIP: 15961R105 / Symbol: CHPT							
06/16/21	25.000	758.25	06/14/21	738.45	...	19.80	Sale
06/28/21	5.000	170.45	06/22/21	167.15	...	3.30	Sale
	<b>Security total:</b>	<b>928.70</b>		<b>905.60</b>	...	<b>23.10</b>	
CHEMOCENTRYX INC COM / CUSIP: 16383L106 / Symbol: CCXI							
10/11/21	100.000	4,168.97	10/11/21	4,087.00	...	81.97	Sale
10/11/21	400.000	16,379.34	Various	16,765.33	385.99 W	0.00	Total of 2 transactions
10/12/21	5.000	191.65	10/12/21	213.87	...	-22.22	Sale
10/12/21	300.000	12,350.90	10/12/21	12,781.66	5.02 W	-425.74	Sale
	<b>Security total:</b>	<b>33,090.86</b>		<b>33,847.86</b>	<b>391.01 W</b>	<b>-365.99</b>	
CHURCHILL CAPITAL CORP IV 1:1 EXC 7/26/21 549498103 / CUSIP: 171439102 / Symbol:							
06/30/21	50.000	1,351.49	06/28/21	1,356.00	...	-4.51	Sale
06/30/21	50.000	1,305.56	Various	1,356.00	50.44 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,657.05</b>		<b>2,712.00</b>	<b>50.44 W</b>	<b>-4.51</b>	
CINEMARK HOLDINGS INC COM / CUSIP: 17243V102 / Symbol: CNK							
11/09/21	500.000	11,299.75	Various	11,540.00	...	-240.25	Total of 3 transactions
CITRIX SYSTEMS INC COM / CUSIP: 177376100 / Symbol: CTXS							
08/02/21	2,000.000	205,182.73	Various	204,812.40	...	370.33	Total of 6 transactions
08/02/21	600.000	61,605.62	Various	61,754.31	111.75 W	-36.94	Total of 3 transactions
	<b>Security total:</b>	<b>266,788.35</b>		<b>266,566.71</b>	<b>111.75 W</b>	<b>333.39</b>	
CLEAN ENERGY FUELS CORPORATION COM / CUSIP: 184499101 / Symbol: CLNE							
09/23/21	40.000	334.40	09/13/21	335.10	...	-0.70	Sale
CLEVELAND CLIFFS INC COM / CUSIP: 185899101 / Symbol: CLF							
08/12/21	250.000	6,249.94	08/10/21	6,580.00	...	-330.06	Sale
08/13/21	250.000	6,537.47	08/10/21	6,580.00	...	-42.53	Sale
	<b>Security total:</b>	<b>12,787.41</b>		<b>13,160.00</b>	...	<b>-372.59</b>	

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Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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CLOVER HEALTH INVESTMENTS CORP COM CL A / CUSIP: 18914F103 / Symbol: CLOV							
06/07/21	75.000	782.74	Various	758.10	...	24.64	Total of 2 transactions
06/07/21	220.000	2,432.85	Various	2,502.45	60.70 W	-8.90	Total of 7 transactions
06/29/21	25.000	351.88	06/24/21	359.00	...	-7.12	Sale
	<b>Security total:</b>	<b>3,567.47</b>		<b>3,619.55</b>	<b>60.70 W</b>	<b>8.62</b>	
COINBASE GLOBAL INC COM CL A / CUSIP: 19260Q107 / Symbol: COIN							
06/29/21	10.000	2,575.09	06/29/21	2,554.00	...	21.09	Sale
07/09/21	40.000	10,182.94	Various	10,107.94	...	75.00	Total of 2 transactions
07/26/21	150.000	36,941.79	07/26/21	36,693.00	...	248.79	Sale
07/26/21	1,250.000	309,382.16	Various	311,036.60	1,654.44 W	0.00	Total of 9 transactions
08/05/21	25.000	6,387.22	08/05/21	6,375.92	...	11.30	Sale
08/09/21	400.000	110,299.39	08/09/21	109,412.99	...	886.40	Sale
08/09/21	300.000	81,908.55	08/09/21	81,704.52	42.99 W	247.02	Sale
08/11/21	500.000	144,564.20	Various	143,757.89	...	806.31	Total of 2 transactions
08/11/21	500.000	142,901.71	Various	143,497.50	595.79 W	0.00	Total of 2 transactions
08/13/21	200.000	53,144.70	Various	53,186.32	41.62 W	0.00	Total of 2 transactions
09/01/21	500.000	134,290.77	Various	133,997.22	...	293.55	Total of 5 transactions
09/01/21	25.000	6,735.97	09/01/21	6,736.75	0.78 W	0.00	Sale
09/02/21	50.000	13,449.93	09/02/21	13,687.50	...	-237.57	Sale
09/03/21	250.000	68,499.62	09/02/21	68,437.50	...	62.12	Sale
10/11/21	200.000	51,701.72	10/11/21	51,416.99	...	284.73	Sale
10/20/21	400.000	125,445.83	Various	126,792.33	...	-1,346.50	Total of 5 transactions
10/20/21	900.000	280,292.33	Various	283,334.22	2,956.23 W	-85.66	Total of 7 transactions
10/25/21	25.000	8,029.94	Various	8,055.55	...	-25.61	Total of 3 transactions
	<b>Security total:</b>	<b>1,586,733.86</b>		<b>1,590,784.74</b>	<b>5,291.85 W</b>	<b>1,240.97</b>	
CONTEXTLOGIC INC COM CL A / CUSIP: 21077C107 / Symbol: WISH							
06/21/21	300.000	3,784.07	Various	3,734.73	...	49.34	Total of 2 transactions
06/23/21	250.000	3,474.95	Various	3,573.18	10.51 W	-87.72	Sale
06/28/21	250.000	3,749.95	06/22/21	3,584.60	...	165.35	Sale
10/19/21	50.000	268.49	06/22/21	727.43	...	-458.94	Sale
	<b>Security total:</b>	<b>11,277.46</b>		<b>11,619.94</b>	<b>10.51 W</b>	<b>-331.97</b>	

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Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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CORSAIR GAMING INC COM / CUSIP: 22041X102 / Symbol: CRSR							
01/08/21	150.000	5,764.35	12/22/20	6,472.55	118.03 W	-590.17	Sale
02/08/21	25.000	1,175.39	02/04/21	1,248.03	...	-72.64	Sale
06/15/21	5.000	177.25	06/14/21	196.75	...	-19.50	Sale
06/28/21	20.000	670.00	06/14/21	787.00	...	-117.00	Sale
	<b>Security total:</b>	<b>7,786.99</b>		<b>8,704.33</b>	<b>118.03 W</b>	<b>-799.31</b>	
COSTCO WHOLESALE CORP COM / CUSIP: 22160K105 / Symbol: COST							
06/28/21	5.000	1,979.84	06/23/21	1,963.55	...	16.29	Sale
CROWDSTRIKE HOLDINGS INC COM CL A / CUSIP: 22788C105 / Symbol: CRWD							
05/06/21	1.000	192.70	02/12/21	244.22	...	-51.52	Sale
06/08/21	20.000	4,374.56	06/08/21	4,414.60	40.04 W	0.00	Sale
06/10/21	20.000	4,462.78	06/08/21	4,410.44	...	52.34	Sale
06/16/21	2.000	470.96	06/14/21	463.14	...	7.82	Sale
06/28/21	5.000	1,287.24	06/28/21	1,302.10	14.86 W	0.00	Sale
06/29/21	10.000	2,560.43	Various	2,601.76	41.33 W	0.00	Total of 2 transactions
07/06/21	25.000	6,548.72	07/06/21	6,517.25	...	31.47	Sale
07/06/21	25.000	6,594.97	07/06/21	6,558.58	15.33 W	51.72	Sale
07/07/21	5.000	1,324.24	07/07/21	1,361.23	...	-36.99	Sale
10/06/21	10.000	2,471.29	10/06/21	2,500.30	14.51 W	-14.50	Sale
10/26/21	5.000	1,472.04	10/26/21	1,475.61	...	-3.57	Sale
	<b>Security total:</b>	<b>31,759.93</b>		<b>31,849.23</b>	<b>126.07 W</b>	<b>36.77</b>	
DIDI GLOBAL INC ADR / CUSIP: 23292E108 / Symbol: DIDI							
12/03/21	30.000	235.20	06/30/21	499.40	...	-264.20	Sale
DATADOG INC COM CL A / CUSIP: 23804L103 / Symbol: DDOG							
08/19/21	120.000	16,263.71	Various	16,208.60	...	55.11	Total of 2 transactions
09/16/21	150.000	21,464.87	Various	21,385.00	...	79.87	Sale
	<b>Security total:</b>	<b>37,728.58</b>		<b>37,593.60</b>	...	<b>134.98</b>	
DIAMONDBACK ENERGY INC COM / CUSIP: 25278X109 / Symbol: FANG							
09/24/21	1,100.000	99,509.42	Various	98,670.00	...	839.42	Total of 2 transactions
DICKS SPORTING GOODS INC COM / CUSIP: 253393102 / Symbol: DKS							
10/25/21	100.000	12,759.54	Various	12,748.00	...	11.54	Total of 3 transactions

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Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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DIGITAL TURBINE INC COM / CUSIP: 25400W102 / Symbol: APPS							
09/23/21	500.000	36,989.75	09/23/21	36,844.00	...	145.75	Sale
09/27/21	700.000	52,149.65	Various	51,868.00	...	281.65	Sale
	<b>Security total:</b>	<b>89,139.40</b>		<b>88,712.00</b>	...	<b>427.40</b>	
DIREXION SHARES ETF TRUST DIRXN DLY JR GLD MIN IDX 2X / CUSIP: 25460G831 / Symbol: JNUG							
01/04/21	300.000	39,677.08	Various	36,371.57	...	3,305.51	Total of 3 transactions
DIREXION SHARES ETF TRUST DAILY RGNAL BULL ETF / CUSIP: 25460G864 / Symbol: DPST							
10/05/21	20.000	5,009.15	10/05/21	4,992.20	...	16.95	Sale
WALT DISNEY COMPANY (THE) COM / CUSIP: 254687106 / Symbol: DIS							
06/30/21	25.000	4,383.26	Various	4,393.25	9.99 W	0.00	Total of 2 transactions
07/12/21	100.000	18,085.88	Various	18,012.49	...	73.39	Total of 2 transactions
07/13/21	100.000	18,467.89	Various	18,550.48	59.30 W	-23.29	Total of 2 transactions
07/14/21	25.000	4,653.48	07/14/21	4,669.88	...	-16.40	Sale
09/01/21	25.000	4,583.48	09/01/21	4,585.00	1.52 W	0.00	Sale
09/08/21	600.000	111,761.36	09/08/21	111,100.52	...	660.84	Sale
09/17/21	50.000	9,278.44	09/17/21	9,280.00	...	-1.56	Sale
11/15/21	100.000	16,063.91	Various	15,938.00	...	125.91	Total of 2 transactions
	<b>Security total:</b>	<b>187,277.70</b>		<b>186,529.62</b>	<b>70.81 W</b>	<b>818.89</b>	
DISCOVERY INC COM / CUSIP: 25470F104 / Symbol: DISCA							
07/19/21	100.000	2,774.98	06/30/21	3,068.00	...	-293.02	Sale
DOMINOS PIZZA INC COM / CUSIP: 25754A201 / Symbol: DPZ							
06/11/21	2.000	906.22	06/11/21	901.20	...	5.02	Sale
07/27/21	100.000	53,878.20	Various	54,159.00	...	-280.80	Total of 2 transactions
	<b>Security total:</b>	<b>54,784.42</b>		<b>55,060.20</b>	...	<b>-275.78</b>	
DOORDASH INC COM CL A / CUSIP: 25809K105 / Symbol: DASH							
06/28/21	2.000	354.06	06/18/21	346.74	...	7.32	Sale
DOUYU INTL HLDGS LTD ADR / CUSIP: 25985W105 / Symbol: DOYU							
09/01/21	40.000	175.20	08/31/21	164.80	...	10.40	Sale

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Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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DRAFTKINGS INC COM CL A / CUSIP: 26142R104 / Symbol: DKNG							
06/07/21	25.000	1,303.24	06/07/21	1,300.00	...	3.24	Sale
08/25/21	500.000	29,409.83	Various	29,045.00	...	364.83	Total of 2 transactions
08/25/21	500.000	29,454.79	08/25/21	29,455.00	0.21 W	0.00	Sale
09/08/21	1,000.000	64,499.65	09/08/21	64,420.21	...	79.44	Sale
	<b>Security total:</b>	<b>124,667.51</b>		<b>124,220.21</b>	<b>0.21 W</b>	<b>447.51</b>	
DOXIMITY INC COM CL A / CUSIP: 26622P107 / Symbol: DOCS							
08/11/21	300.000	19,575.22	Various	19,256.99	...	318.23	Total of 5 transactions
09/10/21	300.000	31,667.83	09/10/21	31,515.00	...	152.83	Sale
	<b>Security total:</b>	<b>51,243.05</b>		<b>50,771.99</b>	...	<b>471.06</b>	
ELECTRAMECCANICA VEHS CORP COM / CUSIP: 284849205 / Symbol: SOLO							
01/25/21	500.000	4,369.84	01/21/21	4,084.95	...	284.89	Sale
ELECTROCORE INC COM / CUSIP: 28531P103 / Symbol: ECOR							
01/22/21	500.000	1,374.91	01/22/21	1,434.95	...	-60.04	Sale
ENBRIDGE INC COM / CUSIP: 29250N105 / Symbol: ENB							
10/12/21	700.000	29,364.76	10/12/21	29,316.00	...	48.76	Sale
ENVERIC BIOSCI COM / CUSIP: 29405E109 / Symbol: ENVB							
03/10/21	150.000	785.98	02/16/21	751.22	...	34.76	Sale
ETSY INC COM / CUSIP: 29786A106 / Symbol: ETSY							
01/19/21	50.000	10,667.26	01/19/21	10,753.65	...	-86.39	Sale
EXELA TECHNOLOGIES INC COM / CUSIP: 30162V409 / Symbol: XELA							
06/29/21	200.000	451.98	Various	474.00	22.02 W	0.00	Total of 2 transactions
07/07/21	25.000	73.25	07/07/21	70.75	...	2.50	Sale
07/08/21	375.000	1,334.52	Various	1,395.53	61.01 W	0.00	Total of 4 transactions
07/12/21	600.000	2,300.90	Various	2,215.80	...	85.10	Total of 2 transactions
07/12/21	300.000	1,061.98	07/12/21	1,036.25	1.80 W	27.53	Sale
07/13/21	900.000	4,283.96	Various	3,995.72	...	288.24	Total of 4 transactions
	<b>Security total:</b>	<b>9,506.59</b>		<b>9,188.05</b>	<b>84.83 W</b>	<b>403.37</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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EXP WORLD HOLDINGS INC COM / CUSIP: 30212W100 / Symbol: EXPI							
08/17/21	200.000	8,544.95	Various	10,774.00	...	-2,229.05	Total of 2 transactions
FIGS INC COM CL A / CUSIP: 30260D103 / Symbol: FIGS							
09/02/21	75.000	3,548.97	09/02/21	3,440.25	...	108.72	Sale
META PLATFORMS INC COM CL A / CUSIP: 30303M102 / Symbol: FB							
06/04/21	5.000	1,657.04	06/04/21	1,660.40	3.36 W	0.00	Sale
06/07/21	120.000	40,199.31	Various	40,079.19	...	120.12	Total of 7 transactions
06/07/21	15.000	4,955.02	Various	4,967.73	12.71 W	0.00	Total of 2 transactions
06/09/21	5.000	1,667.94	06/07/21	1,667.70	...	0.24	Short sale closed
06/22/21	5.000	1,669.34	06/22/21	1,665.85	...	3.49	Sale
07/01/21	15.000	5,297.12	Various	5,279.85	...	17.27	Total of 2 transactions
07/01/21	35.000	12,373.18	Various	12,376.72	3.54 W	0.00	Total of 2 transactions
07/07/21	20.000	7,098.53	Various	7,111.10	12.57 W	0.00	Total of 2 transactions
07/09/21	90.000	31,421.53	07/09/21	31,355.59	...	65.94	Sale
07/12/21	50.000	17,638.40	07/12/21	17,593.00	...	45.40	Sale
07/23/21	980.000	360,603.27	Various	360,056.98	...	546.29	Total of 5 transactions
07/23/21	320.000	117,001.41	Various	117,061.75	60.34 W	0.00	Total of 2 transactions
07/26/21	850.000	317,715.04	Various	317,323.77	...	391.27	Total of 5 transactions
07/26/21	500.000	186,126.81	Various	186,941.18	814.37 W	0.00	Total of 4 transactions
08/04/21	500.000	178,633.43	Various	179,751.46	635.19 W	-482.84	Total of 4 transactions
08/30/21	10.000	3,796.98	08/30/21	3,791.42	...	5.56	Sale
09/10/21	40.000	15,266.92	Various	15,345.60	...	-78.68	Total of 2 transactions
10/14/21	100.000	31,779.83	10/12/21	31,764.00	...	15.83	Short sale closed
10/28/21	10.000	3,223.58	10/26/21	3,216.80	...	6.78	Short sale closed
10/28/21	25.000	7,901.21	10/28/21	7,901.25	0.04 W	0.00	Sale
11/01/21	75.000	24,956.86	11/01/21	24,859.76	...	97.10	Sale
11/01/21	65.000	20,650.95	Various	20,709.14	58.19 W	0.00	Total of 2 transactions
11/02/21	50.000	16,700.67	Various	16,637.63	...	63.04	Total of 2 transactions
11/02/21	50.000	16,589.67	Various	16,608.50	18.83 W	0.00	Total of 2 transactions
11/04/21	625.000	209,712.90	Various	210,545.16	240.26 W	-592.00	Total of 4 transactions
11/05/21	20.000	6,841.97	11/05/21	6,874.40	...	-32.43	Sale
<b>Security total:</b>		<b>1,641,478.91</b>		<b>1,643,145.93</b>	<b>1,859.40 W</b>	<b>192.38</b>	

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2021 1099-B\* OMB No. 1545-0715

(continued)

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FARADAY FUTURE INTELLIGENT ELE COM / CUSIP: 307359109 / Symbol: FFIE							
09/21/21	40.000	458.00	09/03/21	422.80	...	35.20	Sale
FASTLY INC COM CL A / CUSIP: 31188V100 / Symbol: FSLY							
01/25/21	25.000	2,749.94	12/11/20	2,514.50	...	235.44	Sale
02/09/21	10.000	1,161.87	02/09/21	1,157.20	...	4.67	Sale
11/03/21	20.000	1,276.99	06/30/21	1,246.00	...	30.99	Sale
	<b>Security total:</b>	<b>5,188.80</b>		<b>4,917.70</b>	...	<b>271.10</b>	
FATE THERAPEUTICS COM / CUSIP: 31189P102 / Symbol: FATE							
01/06/21	10.000	953.58	01/06/21	943.45	...	10.13	Sale
FENNEC PHARMACEUTICALS INC COM / CUSIP: 31447P100 / Symbol: FENC							
06/25/21	7.000	51.38	06/07/21	50.33	...	1.05	Sale
FIRST SOLAR INC COM / CUSIP: 336433107 / Symbol: FSLR							
08/04/21	100.000	9,218.94	08/04/21	9,291.00	...	-72.06	Sale
FIVE9 INC COM / CUSIP: 338307101 / Symbol: FIVN							
07/22/21	100.000	19,346.89	07/22/21	19,655.06	...	-308.17	Sale
07/22/21	100.000	19,384.89	07/22/21	19,642.15	12.06 W	-245.20	Sale
	<b>Security total:</b>	<b>38,731.78</b>		<b>39,297.21</b>	<b>12.06 W</b>	<b>-553.37</b>	
FLOOR & DECOR HOLDINGS INC COM CL A / CUSIP: 339750101 / Symbol: FND							
07/21/21	600.000	67,173.59	Various	66,972.98	...	200.61	Total of 2 transactions
FORTINET INC COM / CUSIP: 34959E109 / Symbol: FTNT							
09/24/21	20.000	6,254.17	09/23/21	6,224.00	...	30.17	Sale
FUELCELL ENERGY INC COM / CUSIP: 35952H601 / Symbol: FCEL							
08/09/21	40.000	280.48	08/09/21	275.59	...	4.89	Sale
11/02/21	200.000	1,802.98	Various	1,914.00	...	-111.02	Total of 2 transactions
11/08/21	100.000	982.20	11/02/21	957.00	...	25.20	Sale
	<b>Security total:</b>	<b>3,065.66</b>		<b>3,146.59</b>	...	<b>-80.93</b>	

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FUBOTV INC COM / CUSIP: 35953D104 / Symbol: FUBO							
01/13/21	50.000	1,972.45	01/12/21	1,688.46	...	283.99	Sale
01/25/21	50.000	1,999.45	01/22/21	1,847.00	...	152.45	Sale
04/09/21	25.000	576.25	04/09/21	567.00	...	9.25	Sale
06/22/21	50.000	1,655.98	06/22/21	1,647.00	...	8.98	Sale
11/01/21	150.000	4,766.96	Various	4,759.50	...	7.46	Total of 2 transactions
11/01/21	1,050.000	33,555.91	Various	33,824.67	236.88 W	-31.88	Total of 4 transactions
11/02/21	100.000	3,353.75	Various	3,301.68	...	52.07	Total of 4 transactions
	<b>Security total:</b>	<b>47,880.75</b>		<b>47,635.31</b>	<b>236.88 W</b>	<b>482.32</b>	
FULGENT GENETICS INC COM / CUSIP: 359664109 / Symbol: FLGT							
08/04/21	100.000	10,467.94	08/04/21	10,459.00	...	8.94	Sale
FUTU HOLDINGS LIMITED ADR / CUSIP: 36118L106 / Symbol: FUTU							
06/22/21	1.000	158.53	06/18/21	156.32	...	2.21	Sale
06/23/21	25.000	4,281.78	06/23/21	4,191.20	...	90.58	Sale
07/28/21	450.000	51,207.19	Various	50,667.50	...	539.69	Total of 4 transactions
09/01/21	600.000	59,249.63	Various	59,103.62	...	146.01	Total of 2 transactions
	<b>Security total:</b>	<b>114,897.13</b>		<b>114,118.64</b>	...	<b>778.49</b>	
GAOTU TECHEDU INC ADR / CUSIP: 36257Y109 / Symbol: GOTU							
06/09/21	15.000	256.80	06/09/21	239.55	...	17.25	Sale
07/28/21	40.000	144.80	07/28/21	133.20	...	11.60	Sale
	<b>Security total:</b>	<b>401.60</b>		<b>372.75</b>	...	<b>28.85</b>	
GAMESTOP CORPORATION COM CL A / CUSIP: 36467W109 / Symbol: GME							
06/07/21	25.000	6,567.02	Various	6,525.51	11.83 W	53.34	Total of 2 transactions
06/08/21	32.000	10,199.79	Various	10,370.50	...	-170.71	Total of 3 transactions
11/03/21	50.000	12,070.43	11/03/21	11,853.20	...	217.23	Sale
	<b>Security total:</b>	<b>28,837.24</b>		<b>28,749.21</b>	<b>11.83 W</b>	<b>99.86</b>	

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GEOVAX LABS INC COM / CUSIP: 373678507 / Symbol: GOVX							
01/26/21	1,000.000	6,284.35	Various	6,130.41	...	153.94	Total of 2 transactions
02/02/21	500.000	2,564.93	02/01/21	2,565.00	0.03 W	-0.04	Sale
02/11/21	100.000	787.97	02/11/21	718.01	...	69.96	Sale
02/12/21	100.000	785.78	02/12/21	748.01	...	37.77	Sale
	<b>Security total:</b>	<b>10,423.03</b>		<b>10,161.43</b>	<b>0.03 W</b>	<b>261.63</b>	
GEVO INC COM / CUSIP: 374396406 / Symbol: GEVO							
02/01/21	100.000	1,095.97	01/22/21	1,053.00	...	42.97	Sale
GLOBALSTAR INC COM / CUSIP: 378973408 / Symbol: GSAT							
02/09/21	3,000.000	5,431.02	01/22/21	3,299.75	...	2,131.27	Sale
08/30/21	300.000	785.96	06/21/21	455.97	...	329.99	Sale
	<b>Security total:</b>	<b>6,216.98</b>		<b>3,755.72</b>	<b>...</b>	<b>2,461.26</b>	
GOODRX HLDGS INC COM CL A / CUSIP: 38246G108 / Symbol: GDRX							
09/14/21	15.000	675.75	09/13/21	663.00	...	12.75	Sale
09/15/21	1,024.000	46,081.23	Various	46,090.25	13.84 W	4.82	Total of 2 transactions
09/16/21	1,941.000	87,712.26	Various	87,388.41	...	323.85	Total of 5 transactions
10/07/21	100.000	4,411.91	Various	4,525.03	...	-113.12	Total of 2 transactions
10/07/21	575.000	25,633.30	10/07/21	25,850.50	47.03 W	-170.17	Sale
	<b>Security total:</b>	<b>164,514.45</b>		<b>164,517.19</b>	<b>60.87 W</b>	<b>58.13</b>	
GREENBOX POS COM / CUSIP: 39366L208 / Symbol: GBOX							
04/20/21	50.000	345.00	04/06/21	885.00	...	-540.00	Sale
GREENPRO CAP CORP COM / CUSIP: 39540F101 / Symbol: GRNQ							
08/20/21	100.000	109.08	08/20/21	152.00	...	-42.92	Sale
GREENWICH LIFESCIENCES INC COM / CUSIP: 396879108 / Symbol: GLSI							
04/06/21	25.000	1,399.99	04/06/21	1,439.75	39.76 W	0.00	Sale
04/07/21	50.000	2,806.99	04/07/21	2,979.27	66.25 W	-106.03	Sale
04/09/21	25.000	1,698.49	04/07/21	1,438.60	...	259.89	Sale
	<b>Security total:</b>	<b>5,905.47</b>		<b>5,857.62</b>	<b>106.01 W</b>	<b>153.86</b>	
GROVE INC COM / CUSIP: 39959A106 / Symbol: GRVI							
07/28/21	40.000	227.60	07/28/21	257.90	...	-30.30	Sale

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TD Ameritrade Clearing, Inc.

Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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HALLADOR ENERGY CO COM / CUSIP: 40609P105 / Symbol: HNRG							
02/16/21	150.000	326.97	02/16/21	300.00	...	26.97	Sale
HAWAIIAN HOLDINGS INC COM / CUSIP: 419879101 / Symbol: HA							
09/23/21	500.000	10,825.80	Various	10,630.00	...	195.80	Total of 3 transactions
HEAT BIOLOGICS INC COM / CUSIP: 42237K409 / Symbol: HTBX							
04/06/21	25.000	181.75	02/10/21	279.50	...	-97.75	Sale
HEXO CORP COM / CUSIP: 428304307 / Symbol: HEXO							
01/14/21	100.000	705.97	01/14/21	697.00	...	8.97	Sale
HIBBETT INC COM / CUSIP: 428567101 / Symbol: HIBB							
10/06/21	25.000	1,869.24	10/06/21	1,806.75	...	62.49	Sale
HISTOGEN INC COM / CUSIP: 43358Y103 / Symbol: HSTO							
02/12/21	200.000	364.19	02/10/21	385.98	...	-21.79	Sale
HOME DEPOT INC COM / CUSIP: 437076102 / Symbol: HD							
02/18/21	10.000	2,838.70	01/20/21	2,716.61	...	122.09	Sale
10/18/21	20.000	7,098.36	10/18/21	7,038.80	...	59.56	Sale
11/16/21	30.000	11,602.74	11/16/21	11,633.11	...	-30.37	Sale
11/16/21	60.000	23,112.53	Various	23,163.87	68.38 W	17.04	Total of 3 transactions
	<b>Security total:</b>	<b>44,652.33</b>		<b>44,552.39</b>	<b>68.38 W</b>	<b>168.32</b>	
HOUSTON AMER ENERG COM / CUSIP: 44183U209 / Symbol: HUSA							
02/09/21	250.000	714.95	02/09/21	669.98	...	44.97	Sale
IMV INC COM / CUSIP: 44974L103 / Symbol: IMV							
01/04/21	1,500.000	4,574.87	11/11/20	6,627.93	...	-2,053.06	Sale
ILLUMINA INC COM / CUSIP: 452327109 / Symbol: ILMN							
06/08/21	18.000	7,853.04	Various	7,898.04	...	-45.00	Total of 2 transactions
06/08/21	2.000	872.70	06/08/21	877.56	2.43 W	-2.43	Sale
06/17/21	1.000	456.57	06/14/21	456.16	...	0.41	Sale
	<b>Security total:</b>	<b>9,182.31</b>		<b>9,231.76</b>	<b>2.43 W</b>	<b>-47.02</b>	

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Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IMMUNOVANT INC COM / CUSIP: 45258J102 / Symbol: IMVT							
06/25/21	5.000	54.73	06/04/21	52.75	...	1.98	Sale
DEPENDENCE CONTRACT DRILLING COM / CUSIP: 453415606 / Symbol: ICD							
10/13/21	500.000	2,049.93	10/13/21	1,920.00	...	129.93	Sale
INTELLIA THERAPEUTICS INC COM / CUSIP: 45826J105 / Symbol: NTLA							
09/07/21	50.000	8,932.44	09/07/21	8,998.00	...	-65.56	Sale
INTERNATIONAL BUS MACH CORP COM / CUSIP: 459200101 / Symbol: IBM							
07/20/21	200.000	28,725.43	Various	28,960.61	...	-235.18	Total of 3 transactions
07/20/21	20.000	2,884.19	07/20/21	2,886.80	2.61 W	0.00	Sale
	<b>Security total:</b>	<b>31,609.62</b>		<b>31,847.41</b>	<b>2.61 W</b>	<b>-235.18</b>	
ION GEOPHYSICAL CORPORATION COM / CUSIP: 462044207 / Symbol: IO							
09/15/21	40.000	68.40	09/13/21	62.80	...	5.60	Sale
JP MORGAN CHASE & CO COM / CUSIP: 46625H100 / Symbol: JPM							
08/26/21	600.000	97,952.42	Various	98,019.34	...	-66.92	Total of 2 transactions
08/26/21	600.000	97,919.66	Various	98,136.28	216.62 W	0.00	Total of 3 transactions
09/27/21	600.000	99,335.42	09/27/21	99,381.00	2.05 W	-43.53	Sale
10/04/21	50.000	8,466.45	10/04/21	8,454.55	...	11.90	Sale
	<b>Security total:</b>	<b>303,673.95</b>		<b>303,991.17</b>	<b>218.67 W</b>	<b>-98.55</b>	
JINKOSOLAR HOLDING CO LTD ADR / CUSIP: 47759T100 / Symbol: JKS							
06/28/21	20.000	999.99	06/11/21	863.60	...	136.39	Sale
JUMIA TECHNOLOGIES AG ADR SPONSORED / CUSIP: 48138M105 / Symbol: JMIA							
01/14/21	100.000	4,676.89	12/22/20	4,689.00	2.42 W	-9.69	Sale
01/28/21	300.000	17,204.59	12/22/20	14,067.00	...	3,137.59	Sale
02/01/21	20.000	1,239.97	02/01/21	1,194.54	...	45.43	Sale
07/15/21	50.000	1,169.98	06/28/21	1,617.00	447.02 W	0.00	Sale
	<b>Security total:</b>	<b>24,291.43</b>		<b>21,567.54</b>	<b>449.44 W</b>	<b>3,173.33</b>	
KE HOLDINGS INC ADR / CUSIP: 482497104 / Symbol: BEKE							
10/21/21	800.000	20,299.99	Various	20,260.62	...	39.37	Total of 4 transactions

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Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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KINTARA THERAPEUTICS INC COM / CUSIP: 49720K101 / Symbol: KTRA							
07/19/21	100.000	150.99	07/01/21	271.00	...	-120.01	Sale
KOSS CORP COM / CUSIP: 500692108 / Symbol: KOSS							
02/24/21	25.000	519.50	02/18/21	358.62	...	160.88	Sale
KYMERA THERAPEUTICS INC COM / CUSIP: 501575104 / Symbol: KYMR							
07/01/21	10.000	554.32	07/01/21	537.10	...	17.22	Sale
LAM RESEARCH CORP COM / CUSIP: 512807108 / Symbol: LRCX							
11/15/21	25.000	16,080.17	11/15/21	16,023.00	...	57.17	Sale
LEMONADE INC COM / CUSIP: 52567D107 / Symbol: LMND							
06/02/21	20.000	1,872.39	06/02/21	1,846.00	...	26.39	Sale
06/03/21	10.000	1,017.49	06/03/21	1,007.10	...	10.39	Sale
06/03/21	45.000	4,435.63	Various	4,553.86	118.23 W	0.00	Total of 2 transactions
06/11/21	5.000	528.18	06/11/21	542.81	14.63 W	0.00	Sale
06/23/21	1.000	105.92	06/23/21	104.83	...	1.09	Sale
06/23/21	49.000	5,185.65	Various	5,241.16	64.87 W	9.36	Total of 2 transactions
	<b>Security total:</b>	<b>13,145.26</b>		<b>13,295.76</b>	<b>197.73 W</b>	<b>47.23</b>	
LENNAR CORP COM CL A / CUSIP: 526057104 / Symbol: LEN							
11/08/21	100.000	10,479.06	Various	10,525.00	45.94 W	0.00	Total of 3 transactions
11/09/21	700.000	74,448.34	Various	75,214.14	...	-765.80	Total of 2 transactions
11/09/21	500.000	53,284.67	11/09/21	53,560.94	140.14 W	-136.13	Sale
	<b>Security total:</b>	<b>138,212.07</b>		<b>139,300.08</b>	<b>186.08 W</b>	<b>-901.93</b>	
LIGHTWAVE LOGIC INC COM / CUSIP: 532275104 / Symbol: LWLG							
09/21/21	228.000	3,109.87	Various	3,112.20	2.33 W	0.00	Total of 2 transactions
11/05/21	426.000	5,661.46	09/21/21	5,694.47	...	-33.01	Sale
	<b>Security total:</b>	<b>8,771.33</b>		<b>8,806.67</b>	<b>2.33 W</b>	<b>-33.01</b>	
ELI LILLY AND COMPANY COM / CUSIP: 532457108 / Symbol: LLY							
11/03/21	25.000	6,550.72	11/03/21	6,531.00	...	19.72	Sale
LIZHI INC ADR / CUSIP: 53933L104 / Symbol: LIZI							
01/04/21	250.000	940.65	12/03/20	1,452.48	...	-511.83	Sale

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LUCID GROUP INC COM / CUSIP: 549498103 / Symbol: LCID							
09/21/21	1,075.000	28,067.99	Various	28,065.41	...	2.58	Sale
10/27/21	900.000	25,238.88	Various	26,448.09	1,209.21 W	0.00	Total of 3 transactions
10/28/21	325.000	10,764.68	Various	10,477.57	...	287.11	Total of 5 transactions
10/28/21	6,000.000	177,964.09	Various	181,783.23	4,187.29 W	368.15	Total of 17 transactions
10/29/21	200.000	8,187.94	10/29/21	7,965.98	...	221.96	Sale
10/29/21	500.000	20,056.85	Various	20,619.52	887.80 W	325.13	Total of 3 transactions
11/03/21	75.000	2,698.78	Various	3,003.02	304.24 W	0.00	Total of 3 transactions
11/04/21	325.000	11,844.90	Various	12,998.31	1,153.41 W	0.00	Total of 2 transactions
11/05/21	150.000	5,873.36	Various	6,078.04	204.68 W	0.00	Total of 5 transactions
11/08/21	775.000	34,763.75	Various	36,371.52	1,691.38 W	83.61	Total of 8 transactions
11/11/21	100.000	4,481.96	Various	4,570.78	88.82 W	0.00	Total of 2 transactions
11/16/21	75.000	3,944.99	Various	4,127.66	...	-182.67	Total of 3 transactions
11/16/21	50.000	2,553.48	11/16/21	2,632.15	75.74 W	-2.93	Sale
	<b>Security total:</b>	<b>336,441.65</b>		<b>345,141.28</b>	<b>9,802.57 W</b>	<b>1,102.94</b>	
LULULEMON ATHLETICA INC COM / CUSIP: 550021109 / Symbol: LULU							
06/25/21	1.000	368.00	06/18/21	350.49	...	17.51	Sale
06/28/21	2.000	734.36	06/25/21	738.00	...	-3.64	Sale
10/19/21	50.000	20,859.38	10/19/21	20,919.75	60.37 W	0.00	Sale
11/04/21	150.000	71,620.12	11/04/21	71,182.36	...	437.76	Sale
	<b>Security total:</b>	<b>93,581.86</b>		<b>93,190.60</b>	<b>60.37 W</b>	<b>451.63</b>	
MACY'S INC COM / CUSIP: 55616P104 / Symbol: M							
10/25/21	150.000	4,100.96	10/25/21	4,095.10	...	5.86	Sale
10/25/21	450.000	12,224.88	Various	12,258.10	33.22 W	0.00	Total of 3 transactions
11/03/21	300.000	9,055.43	Various	8,980.58	...	74.85	Total of 6 transactions
11/18/21	300.000	10,988.90	11/18/21	10,929.00	...	59.90	Sale
	<b>Security total:</b>	<b>36,370.17</b>		<b>36,262.78</b>	<b>33.22 W</b>	<b>140.61</b>	
MARATHON DIGITAL HOLDINGS INC COM / CUSIP: 565788106 / Symbol: MARA							
09/02/21	500.000	22,089.83	Various	21,907.75	...	182.08	Sale
09/03/21	575.000	25,202.06	09/02/21	25,512.25	...	-310.19	Sale
	<b>Security total:</b>	<b>47,291.89</b>		<b>47,420.00</b>	...	<b>-128.11</b>	

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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MARATHON PATENT GROUP INC N/C 3/1/21 565788106 / CUSIP: 56585W401 / Symbol:							
02/08/21	25.000	649.74	02/03/21	606.99	...	42.75	Sale
MARIN SOFTWARE INC COM / CUSIP: 56804T205 / Symbol: MRIN							
07/13/21	75.000	1,257.73	07/13/21	1,260.75	...	-3.02	Sale
MARVELL TECHNOLOGY INC COM / CUSIP: 573874104 / Symbol: MRVL							
11/02/21	100.000	7,051.56	Various	7,107.00	...	-55.44	Total of 4 transactions
MASTERCARD INCORPORATED COM CL A / CUSIP: 57636Q104 / Symbol: MA							
07/14/21	110.000	42,985.79	Various	42,966.90	...	18.89	Total of 4 transactions
MEDICENNA THERAPEUTICS CORP COM / CUSIP: 58490H107 / Symbol: MDNA							
06/25/21	13.000	42.90	06/11/21	48.10	...	-5.20	Sale
MERCK & CO INC COM / CUSIP: 58933Y105 / Symbol: MRK							
06/25/21	1.000	76.60	06/03/21	73.95	...	2.65	Sale
MESOBLAST LTD ADR SPONSORED / CUSIP: 590717104 / Symbol: MESO							
02/10/21	100.000	1,052.97	02/10/21	1,055.00	2.03 W	0.00	Sale
02/11/21	100.000	1,280.96	02/10/21	1,055.03	...	225.93	Sale
	<b>Security total:</b>	<b>2,333.93</b>		<b>2,110.03</b>	<b>2.03 W</b>	<b>225.93</b>	
MICROSOFT CORP COM / CUSIP: 594918104 / Symbol: MSFT							
04/01/21	5.000	1,205.80	04/01/21	1,205.65	...	0.15	Sale
09/15/21	500.000	151,837.37	Various	152,540.00	...	-702.63	Total of 3 transactions
10/18/21	10.000	3,069.08	10/18/21	3,064.20	...	4.88	Sale
	<b>Security total:</b>	<b>156,112.25</b>		<b>156,809.85</b>	...	<b>-697.60</b>	
MICROVISION INC WASHINGTON COM / CUSIP: 594960304 / Symbol: MVIS							
06/01/21	50.000	874.49	06/01/21	867.25	...	7.24	Sale
06/07/21	15.000	314.70	06/07/21	333.75	19.05 W	0.00	Sale
06/10/21	25.000	591.25	06/07/21	597.55	...	-6.30	Sale
	<b>Security total:</b>	<b>1,780.44</b>		<b>1,798.55</b>	<b>19.05 W</b>	<b>0.94</b>	

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(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROSTRATEGY COM CL A / CUSIP: 594972408 / Symbol: MSTR							
11/02/21	2.000	1,649.38	Various	1,620.04	...	29.34	Total of 2 transactions
11/08/21	6.000	5,091.39	11/03/21	4,892.61	...	198.78	Sale
	<b>Security total:</b>	<b>6,740.77</b>		<b>6,512.65</b>	...	<b>228.12</b>	
MICRON TECHNOLOGY INC COM / CUSIP: 595112103 / Symbol: MU							
06/30/21	25.000	2,124.74	06/25/21	2,054.25	...	70.49	Sale
11/09/21	200.000	15,103.90	Various	15,230.15	...	-126.25	Total of 2 transactions
11/09/21	400.000	30,299.82	Various	30,394.07	66.69 W	-27.56	Total of 2 transactions
12/01/21	50.000	4,411.27	Various	4,405.56	...	5.71	Total of 2 transactions
	<b>Security total:</b>	<b>51,939.73</b>		<b>52,084.03</b>	<b>66.69 W</b>	<b>-77.61</b>	
MIDATECH PHARMA PLC ADR SPONSORED / CUSIP: 59564R302 / Symbol: MTP							
02/04/21	200.000	646.25	02/04/21	613.72	...	32.53	Sale
MILESTONE SCIENTIFIC INC COM / CUSIP: 59935P209 / Symbol: MLSS							
06/25/21	150.000	383.98	06/25/21	342.00	...	41.98	Sale
06/29/21	150.000	352.48	06/25/21	342.00	...	10.48	Sale
	<b>Security total:</b>	<b>736.46</b>		<b>684.00</b>	...	<b>52.46</b>	
MODERNA INC COM / CUSIP: 60770K107 / Symbol: MRNA							
04/15/21	1.000	155.80	04/09/21	142.20	...	13.60	Sale
06/03/21	10.000	1,912.19	06/03/21	1,913.60	...	-1.41	Sale
07/14/21	200.000	48,897.74	Various	48,470.80	...	426.94	Total of 2 transactions
07/16/21	30.000	8,496.89	Various	8,478.94	...	17.95	Total of 2 transactions
07/16/21	515.000	146,397.23	Various	147,076.24	802.30 W	123.29	Total of 13 transactions
07/19/21	380.000	115,216.57	Various	115,193.20	...	23.37	Total of 2 transactions
07/19/21	785.000	235,328.49	Various	236,143.71	1,054.17 W	238.95	Total of 10 transactions
07/20/21	120.000	36,601.64	Various	37,034.02	432.45 W	0.07	Total of 6 transactions
07/22/21	321.000	101,341.60	Various	101,010.91	...	330.69	Total of 4 transactions
07/22/21	120.000	37,756.18	Various	37,593.40	4.94 W	167.72	Total of 2 transactions
07/28/21	850.000	292,771.37	Various	293,150.97	639.72 W	260.12	Total of 6 transactions
07/30/21	25.000	8,993.20	07/30/21	9,033.85	40.65 W	0.00	Sale
08/04/21	320.000	130,267.69	Various	130,647.34	416.43 W	36.78	Total of 4 transactions
08/12/21	101.000	40,695.71	08/12/21	40,728.47	67.87 W	35.11	Sale
08/17/21	175.000	68,592.63	08/17/21	68,472.94	...	119.69	Sale

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TD Ameritrade Clearing, Inc.

Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MODERNA INC COM / CUSIP: 60770K107 / Symbol: MRNA (cont'd)							
08/17/21	350.000	135,529.89	Various	135,537.47	162.54 W	154.96	Total of 4 transactions
08/23/21	310.000	124,434.23	Various	124,272.80	...	161.43	Total of 2 transactions
08/25/21	520.000	210,970.19	Various	214,765.95	3,795.76 W	0.00	Total of 4 transactions
09/09/21	300.000	133,505.29	09/09/21	135,848.21	31.57 W	-2,311.35	Sale
09/16/21	5.000	2,210.96	09/16/21	2,258.62	...	-47.66	Sale
11/03/21	10.000	3,243.68	11/01/21	3,220.10	...	23.58	Short sale closed
11/08/21	125.000	35,810.35	Various	36,188.66	378.31 W	0.00	Total of 4 transactions
11/09/21	25.000	5,719.47	Various	5,896.29	...	-176.82	Total of 2 transactions
11/09/21	40.000	9,057.76	Various	9,181.27	115.50 W	-8.01	Total of 2 transactions
11/18/21	10.000	2,494.43	Various	2,546.19	...	-51.76	Total of 2 transactions
	<b>Security total:</b>	<b>1,936,401.18</b>		<b>1,944,806.15</b>	<b>7,942.21 W</b>	<b>-462.76</b>	
MOLECULAR DATA INC ADR / CUSIP: 60852L106 / Symbol: MKD							
02/17/21	150.000	303.26	02/16/21	293.99	...	9.27	Sale
MONEYGRAM INTERNATIONAL INC COM / CUSIP: 60935Y208 / Symbol: MGI							
10/26/21	250.000	1,662.51	10/15/21	2,002.50	...	-339.99	Sale
11/03/21	250.000	1,305.44	10/15/21	2,002.50	...	-697.06	Sale
12/15/21	500.000	4,004.92	10/15/21	4,005.00	...	-0.08	Sale
	<b>Security total:</b>	<b>6,972.87</b>		<b>8,010.00</b>	...	<b>-1,037.13</b>	
MONGODB INC COM CL A / CUSIP: 60937P106 / Symbol: MDB							
06/10/21	5.000	1,662.49	06/09/21	1,623.50	...	38.99	Sale
NANO DIMENSION LTD ADR SPONSORED / CUSIP: 63008G203 / Symbol: NNDM							
04/19/21	50.000	334.00	02/08/21	828.50	...	-494.50	Sale
06/23/21	105.000	892.64	Various	879.60	...	13.04	Total of 2 transactions
06/25/21	45.000	404.09	06/23/21	383.40	...	20.69	Sale
	<b>Security total:</b>	<b>1,630.73</b>		<b>2,091.50</b>	...	<b>-460.77</b>	
NATIONAL BEVERAGE CORP COM / CUSIP: 635017106 / Symbol: FIZZ							
09/13/21	1,000.000	55,149.60	09/13/21	54,510.00	...	639.60	Sale

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Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NETFLIX INC COM / CUSIP: 64110L106 / Symbol: NFLX							
06/25/21	10.000	5,312.97	06/25/21	5,305.10	...	7.87	Sale
06/25/21	60.000	31,765.77	Various	31,869.94	127.81 W	23.64	Total of 6 transactions
07/01/21	10.000	5,354.47	07/01/21	5,380.12	25.65 W	0.00	Sale
07/15/21	20.000	11,076.94	07/15/21	11,122.37	...	-45.43	Sale
07/15/21	80.000	44,317.56	Various	44,487.20	195.37 W	25.73	Total of 4 transactions
08/19/21	300.000	164,363.13	08/19/21	164,045.39	...	317.74	Sale
08/19/21	300.000	163,557.61	Various	163,680.00	122.39 W	0.00	Total of 4 transactions
08/20/21	100.000	54,445.19	08/20/21	54,915.70	470.51 W	0.00	Sale
09/01/21	200.000	114,816.17	Various	115,534.80	718.63 W	0.00	Total of 5 transactions
09/22/21	5.000	2,952.11	09/22/21	2,951.11	...	1.00	Sale
09/22/21	395.000	233,195.03	Various	233,494.24	303.96 W	4.75	Total of 3 transactions
10/05/21	30.000	18,641.15	Various	18,540.71	...	100.44	Total of 2 transactions
10/05/21	15.000	9,216.74	Various	9,265.25	48.51 W	0.00	Total of 2 transactions
10/07/21	5.000	3,224.98	10/07/21	3,233.17	8.19 W	0.00	Sale
10/08/21	110.000	70,590.85	Various	70,284.41	...	306.44	Total of 3 transactions
10/08/21	105.000	66,945.59	Various	67,380.82	435.23 W	0.00	Total of 2 transactions
10/21/21	8.000	5,136.05	10/21/21	5,135.23	...	0.82	Sale
10/21/21	8.000	5,133.49	10/21/21	5,146.83	13.34 W	0.00	Sale
10/28/21	77.000	51,820.02	Various	52,186.57	...	-366.55	Total of 4 transactions
10/28/21	53.000	35,670.41	10/28/21	35,861.10	165.37 W	-25.32	Sale
11/17/21	50.000	34,648.45	Various	35,157.90	...	-509.45	Total of 6 transactions
	<b>Security total:</b>	<b>1,132,184.68</b>		<b>1,134,977.96</b>	<b>2,634.96 W</b>	<b>-158.32</b>	
NEUROMETRIX INC COM / CUSIP: 641255807 / Symbol: NURO							
07/20/21	1,100.000	6,167.84	Various	6,171.00	...	-3.16	Total of 2 transactions
NEUROBO PHARMA COM / CUSIP: 64132R107 / Symbol: NRBO							
07/20/21	2,100.000	8,863.71	Various	9,298.94	...	-435.23	Total of 3 transactions
07/20/21	1,500.000	6,224.79	07/20/21	6,509.85	209.04 W	-76.02	Sale
	<b>Security total:</b>	<b>15,088.50</b>		<b>15,808.79</b>	<b>209.04 W</b>	<b>-511.25</b>	
NEXTERA ENERGY INC COM / CUSIP: 65339F101 / Symbol: NEE							
08/03/21	75.000	5,935.47	08/03/21	5,974.50	...	-39.03	Sale
08/04/21	75.000	5,977.46	08/03/21	5,974.50	...	2.96	Sale
	<b>Security total:</b>	<b>11,912.93</b>		<b>11,949.00</b>	...	<b>-36.07</b>	

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Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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NIKE INC COM CL B / CUSIP: 654106103 / Symbol: NKE							
06/29/21	40.000	6,212.77	06/29/21	6,229.60	...	-16.83	Sale
11/01/21	150.000	25,156.36	Various	25,230.00	...	-73.64	Total of 2 transactions
	<b>Security total:</b>	<b>31,369.13</b>		<b>31,459.60</b>	...	<b>-90.47</b>	
NLIGHT INC COM / CUSIP: 65487K100 / Symbol: LASR							
07/01/21	25.000	909.88	06/29/21	874.50	...	35.38	Sale
NOKIA OYJ ADR SPONSORED / CUSIP: 654902204 / Symbol: NOK							
07/29/21	100.000	620.99	07/13/21	587.00	...	33.99	Sale
NOVA LIFESTYLE INC COM / CUSIP: 66979P201 / Symbol: NVFY							
07/20/21	100.000	428.99	07/20/21	479.01	...	-50.02	Sale
07/20/21	200.000	869.98	07/20/21	946.00	39.01 W	-37.01	Sale
	<b>Security total:</b>	<b>1,298.97</b>		<b>1,425.01</b>	<b>39.01 W</b>	<b>-87.03</b>	
NOVAVAX INC COM / CUSIP: 670002401 / Symbol: NVAX							
01/28/21	50.000	7,499.82	12/01/20	7,322.30	...	177.52	Sale
01/29/21	25.000	5,570.27	01/29/21	5,729.75	...	-159.48	Sale
06/29/21	10.000	2,064.99	Various	2,076.06	21.38 W	10.31	Sale
06/30/21	69.000	14,681.18	Various	14,833.43	182.14 W	29.89	Total of 5 transactions
07/20/21	20.000	4,566.38	07/20/21	4,546.06	11.90 W	32.22	Sale
08/04/21	1,250.000	286,405.41	Various	286,815.54	1,109.64 W	699.51	Total of 7 transactions
08/05/21	225.000	54,299.96	Various	53,924.63	...	375.33	Total of 3 transactions
08/09/21	25.000	5,411.97	08/09/21	5,333.25	...	78.72	Sale
08/10/21	400.000	94,272.47	Various	93,226.25	...	1,046.22	Total of 3 transactions
08/10/21	200.000	44,991.75	08/10/21	45,180.00	188.25 W	0.00	Sale
08/11/21	300.000	72,775.59	Various	72,295.10	...	480.49	Total of 2 transactions
08/11/21	400.000	93,880.97	Various	98,511.82	4,631.83 W	0.98	Total of 5 transactions
08/13/21	400.000	103,289.44	Various	105,496.86	1,131.42 W	-1,076.00	Total of 3 transactions
	<b>Security total:</b>	<b>789,710.20</b>		<b>795,291.05</b>	<b>7,276.56 W</b>	<b>1,695.71</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

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NUCOR CORP COM / CUSIP: 670346105 / Symbol: NUE							
10/11/21	200.000	20,230.27	Various	20,358.00	127.73 W	0.00	Total of 2 transactions
10/12/21	300.000	30,245.82	10/12/21	30,328.78	...	-82.96	Sale
10/12/21	250.000	25,003.45	Various	25,196.69	193.24 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>75,479.54</b>		<b>75,883.47</b>	<b>320.97 W</b>	<b>-82.96</b>	
NVIDIA CORP COM / CUSIP: 67066G104 / Symbol: NVDA							
01/22/21	15.000	8,222.28	12/07/20	8,221.50	...	0.78	Sale
06/02/21	10.000	6,657.46	Various	6,595.74	...	61.72	Total of 2 transactions
06/02/21	20.000	13,354.13	06/02/21	13,371.40	17.27 W	0.00	Sale
06/03/21	10.000	6,864.86	Various	6,825.89	...	38.97	Total of 2 transactions
06/04/21	45.000	31,441.49	Various	31,215.53	...	225.96	Total of 4 transactions
06/11/21	63.000	44,666.16	Various	44,861.86	195.70 W	0.00	Total of 8 transactions
06/18/21	1.000	749.04	06/18/21	774.65	25.61 W	0.00	Sale
06/24/21	20.000	15,471.48	Various	15,529.94	...	-58.46	Sale
06/28/21	2.000	1,578.98	06/24/21	1,577.58	...	1.40	Sale
07/09/21	10.000	8,225.36	Various	8,216.52	...	8.84	Total of 2 transactions
07/09/21	5.000	4,096.03	07/07/21	4,099.75	3.72 W	0.00	Short sale closed
07/19/21	100.000	78,195.59	07/15/21	78,121.41	...	74.18	Short sale closed
07/21/21	50.000	37,292.80	07/19/21	37,269.50	...	23.30	Short sale closed
08/03/21	300.000	60,652.17	Various	60,439.50	...	212.67	Total of 2 transactions
08/04/21	100.000	20,239.89	08/04/21	20,222.00	...	17.89	Sale
08/19/21	700.000	138,547.21	Various	138,250.00	...	297.21	Total of 3 transactions
08/20/21	1,000.000	204,479.84	Various	203,886.75	...	593.09	Total of 4 transactions
08/20/21	300.000	61,253.25	Various	61,259.12	5.87 W	0.00	Total of 5 transactions
08/23/21	750.000	160,577.59	Various	160,347.55	...	230.04	Total of 4 transactions
08/23/21	600.000	128,327.28	Various	128,362.02	34.74 W	0.00	Total of 2 transactions
08/25/21	400.000	89,272.50	Various	89,152.97	...	119.53	Total of 2 transactions
08/27/21	175.000	39,577.78	08/27/21	39,822.86	...	-245.08	Sale
08/27/21	450.000	101,055.14	Various	101,746.85	691.71 W	0.00	Total of 5 transactions
10/05/21	40.000	8,249.96	10/05/21	8,173.60	...	76.36	Sale
10/06/21	20.000	4,105.38	10/06/21	4,063.40	...	41.98	Sale
10/15/21	240.000	52,336.93	Various	52,492.16	155.23 W	0.00	Total of 4 transactions
10/18/21	40.000	8,795.56	10/15/21	8,792.01	...	3.55	Sale
10/18/21	50.000	11,099.43	10/18/21	11,134.43	35.00 W	0.00	Sale
10/25/21	80.000	18,437.92	Various	18,562.94	125.02 W	0.00	Total of 4 transactions

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2021 1099-B\* OMB No. 1545-0715

(continued)

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NVIDIA CORP COM / CUSIP: 67066G104 / Symbol: NVDA (cont'd)							
10/26/21	20.000	4,924.18	Various	4,912.99	...	11.19	Total of 2 transactions
10/26/21	160.000	39,048.00	Various	39,027.83	23.72 W	43.89	Total of 3 transactions
11/02/21	150.000	39,026.77	Various	39,120.57	93.80 W	0.00	Total of 3 transactions
11/18/21	325.000	103,615.93	11/18/21	106,233.41	...	-2,617.48	Sale
11/18/21	25.000	8,139.46	11/18/21	8,153.29	13.83 W	0.00	Sale
	<b>Security total:</b>	<b>1,558,577.83</b>		<b>1,560,837.52</b>	<b>1,421.22 W</b>	<b>-838.47</b>	
NURIX THERAPEUTICS INC COM / CUSIP: 67080M103 / Symbol: NRIX							
08/05/21	200.000	6,794.63	08/04/21	6,830.00	...	-35.37	Sale
OCUGEN INC COM / CUSIP: 67577C105 / Symbol: OCGN							
06/07/21	40.000	413.80	Various	411.20	...	2.60	Total of 2 transactions
10/28/21	100.000	1,023.19	Various	1,034.00	...	-10.81	Total of 2 transactions
	<b>Security total:</b>	<b>1,436.99</b>		<b>1,445.20</b>	...	<b>-8.21</b>	
ON SEMICONDUCTOR CORP COM / CUSIP: 682189105 / Symbol: ON							
03/17/21	150.000	6,284.95	02/12/21	6,250.50	...	34.45	Sale
ONTRAK INC COM / CUSIP: 683373104 / Symbol: OTRK							
09/02/21	40.000	512.95	08/30/21	499.60	...	13.35	Sale
OPKO HEALTH INC COM / CUSIP: 68375N103 / Symbol: OPK							
07/01/21	250.000	1,047.46	06/29/21	1,004.53	...	42.93	Sale
ORCHARD THERAPEUTICS PLC ADR UNSPONSORED / CUSIP: 68570P101 / Symbol: ORTX							
02/12/21	100.000	903.97	02/12/21	901.00	...	2.97	Sale
ORPHAZYME A/S ADR SPONSORED / CUSIP: 687305102 / Symbol: ORPH							
06/16/21	30.000	492.90	Various	370.25	...	122.65	Total of 2 transactions
06/17/21	10.000	144.40	Various	183.90	...	-39.50	Total of 2 transactions
06/17/21	15.000	218.40	Various	280.10	61.70 W	0.00	Total of 2 transactions
06/18/21	5.000	34.20	06/17/21	115.90	...	-81.70	Sale
08/11/21	40.000	263.70	08/11/21	255.20	...	8.50	Sale
	<b>Security total:</b>	<b>1,153.60</b>		<b>1,205.35</b>	<b>61.70 W</b>	<b>9.95</b>	

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TD Ameritrade Clearing, Inc.

Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OSCAR HEALTH INC COM CL A / CUSIP: 687793109 / Symbol: OSCR							
10/04/21	40.000	739.20	09/17/21	712.40	...	26.80	Sale
OVERSTOCK COM INC DEL COM / CUSIP: 690370101 / Symbol: OSTK							
02/11/21	25.000	2,648.00	02/11/21	2,610.25	...	37.75	Sale
06/08/21	20.000	1,850.20	Various	1,956.70	...	-106.50	Total of 2 transactions
06/08/21	20.000	1,838.10	Various	1,898.40	60.30 W	0.00	Total of 2 transactions
09/23/21	1,803.000	141,959.25	Various	140,724.38	...	1,234.87	Total of 2 transactions
11/02/21	25.000	2,533.49	11/02/21	2,490.75	...	42.74	Sale
	<b>Security total:</b>	<b>150,829.04</b>		<b>149,680.48</b>	<b>60.30 W</b>	<b>1,208.86</b>	
PALANTIR TECH INC COM CL A / CUSIP: 69608A108 / Symbol: PLTR							
01/08/21	75.000	1,874.96	12/23/20	2,173.28	298.32 W	0.00	Sale
01/25/21	150.000	5,369.88	01/22/21	5,178.60	32.45 W	223.73	Sale
01/27/21	50.000	1,954.45	01/22/21	1,632.45	...	322.00	Sale
10/05/21	300.000	7,151.92	10/05/21	7,101.00	...	50.92	Sale
	<b>Security total:</b>	<b>16,351.21</b>		<b>16,085.33</b>	<b>330.77 W</b>	<b>596.65</b>	
PAYPAL HOLDINGS INC COM / CUSIP: 70450Y103 / Symbol: PYPL							
06/24/21	35.000	10,275.00	Various	10,285.65	...	-10.65	Total of 4 transactions
06/24/21	10.000	2,918.19	06/24/21	2,930.90	12.71 W	0.00	Sale
06/28/21	10.000	2,936.89	06/24/21	2,954.61	...	-17.72	Sale
	<b>Security total:</b>	<b>16,130.08</b>		<b>16,171.16</b>	<b>12.71 W</b>	<b>-28.37</b>	
PDS BIOTECHNOLOGY CORPORATION COM / CUSIP: 70465T107 / Symbol: PDSB							
06/25/21	5.000	53.38	06/07/21	56.25	...	-2.87	Sale
PEDEVCO CORP COM / CUSIP: 70532Y303 / Symbol: PED							
10/01/21	200.000	355.98	09/30/21	294.00	...	61.98	Sale
10/07/21	600.000	1,241.92	10/01/21	1,281.00	...	-39.08	Sale
	<b>Security total:</b>	<b>1,597.90</b>		<b>1,575.00</b>	...	<b>22.90</b>	
PELTON INTERACTIVE INC COM CL A / CUSIP: 70614W100 / Symbol: PTON							
01/06/21	50.000	7,731.57	01/04/21	7,447.70	...	283.87	Short sale closed
01/22/21	50.000	7,967.57	Various	7,931.00	...	36.57	Total of 2 transactions
	<b>Security total:</b>	<b>15,699.14</b>		<b>15,378.70</b>	...	<b>320.44</b>	

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Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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PFIZER INC COM / CUSIP: 717081103 / Symbol: PFE							
08/23/21	600.000	30,511.77	Various	30,549.04	...	-37.27	Total of 2 transactions
08/23/21	500.000	25,429.81	08/23/21	25,640.00	42.04 W	-168.15	Sale
	<b>Security total:</b>	<b>55,941.58</b>		<b>56,189.04</b>	<b>42.04 W</b>	<b>-205.42</b>	
PINDUODUO INC ADR / CUSIP: 722304102 / Symbol: PDD							
01/20/21	25.000	4,375.15	01/19/21	4,308.75	...	66.40	Sale
PINTEREST INC COM CL A / CUSIP: 72352L106 / Symbol: PINS							
01/22/21	100.000	7,358.68	12/24/20	7,300.00	...	58.68	Sale
04/06/21	100.000	8,368.45	04/06/21	8,301.78	...	66.67	Sale
	<b>Security total:</b>	<b>15,727.13</b>		<b>15,601.78</b>	...	<b>125.35</b>	
PLUG POWER INC COM / CUSIP: 72919P202 / Symbol: PLUG							
06/29/21	85.000	3,018.90	Various	2,999.60	...	19.30	Total of 4 transactions
10/11/21	600.000	16,673.84	10/11/21	16,695.00	21.16 W	0.00	Sale
10/12/21	769.000	22,909.43	Various	22,767.33	...	142.10	Total of 3 transactions
10/12/21	2,331.000	68,456.65	Various	68,735.23	312.12 W	33.54	Total of 4 transactions
10/13/21	800.000	26,071.47	Various	25,762.16	...	309.31	Total of 2 transactions
10/13/21	2,100.000	68,789.31	Various	69,490.70	701.39 W	0.00	Total of 4 transactions
10/27/21	200.000	6,986.94	10/27/21	7,165.21	...	-178.27	Sale
10/27/21	1,900.000	66,726.43	Various	67,539.27	772.81 W	-40.03	Total of 9 transactions
10/28/21	600.000	21,419.82	Various	21,725.92	...	-306.10	Total of 2 transactions
10/29/21	300.000	11,374.44	Various	11,479.13	...	-104.69	Total of 6 transactions
	<b>Security total:</b>	<b>312,427.23</b>		<b>314,359.55</b>	<b>1,807.48 W</b>	<b>-124.84</b>	
PRECIGEN INC COM / CUSIP: 74017N105 / Symbol: PGEN							
01/20/21	1,500.000	14,444.65	01/20/21	14,415.01	...	29.64	Sale
01/20/21	700.000	6,656.77	01/20/21	6,656.93	0.16 W	0.00	Sale
	<b>Security total:</b>	<b>21,101.42</b>		<b>21,071.94</b>	<b>0.16 W</b>	<b>29.64</b>	
PROTERRA INC COM / CUSIP: 74374T109 / Symbol: PTR A							
11/08/21	40.000	508.00	08/10/21	498.80	...	9.20	Sale

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Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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QUALCOMM INC COM / CUSIP: 747525103 / Symbol: QCOM							
07/14/21	100.000	14,469.02	Various	15,072.49	603.47 W	0.00	Sale
07/28/21	25.000	3,642.48	07/14/21	4,162.24	519.76 W	0.00	Sale
07/29/21	100.000	14,961.16	Various	15,632.02	...	-670.86	Total of 3 transactions
07/29/21	750.000	113,478.83	Various	114,383.57	1,075.51 W	170.77	Total of 4 transactions
11/18/21	400.000	75,060.18	Various	75,349.36	...	-289.18	Total of 4 transactions
11/18/21	103.000	19,272.89	11/18/21	19,310.80	37.91 W	0.00	Sale
	<b>Security total:</b>	<b>240,884.56</b>		<b>243,910.48</b>	<b>2,236.65 W</b>	<b>-789.27</b>	
QUANTUMSCAPE CORP COM CL A / CUSIP: 74767V109 / Symbol: QS							
02/18/21	225.000	15,431.66	Various	12,062.34	...	3,369.32	Sale
10/29/21	200.000	5,841.45	Various	5,772.00	...	69.45	Total of 5 transactions
	<b>Security total:</b>	<b>21,273.11</b>		<b>17,834.34</b>	...	<b>3,438.77</b>	
QUIDEL CORP COM / CUSIP: 74838J101 / Symbol: QDEL							
06/29/21	35.000	4,624.71	Various	4,433.85	...	190.86	Sale
07/22/21	200.000	29,334.08	Various	30,366.17	...	-1,032.09	Total of 2 transactions
07/22/21	200.000	29,397.83	07/22/21	29,906.00	508.17 W	0.00	Sale
	<b>Security total:</b>	<b>63,356.62</b>		<b>64,706.02</b>	<b>508.17 W</b>	<b>-841.23</b>	
RH COM / CUSIP: 74967X103 / Symbol: RH							
08/17/21	10.000	6,930.96	08/12/21	7,391.70	...	-460.74	Sale
RED CAT HOLDINGS INC COM / CUSIP: 75644T100 / Symbol: RCAT							
07/16/21	500.000	2,789.95	Various	2,812.54	...	-22.59	Total of 2 transactions
07/16/21	250.000	1,302.46	07/16/21	1,387.50	85.04 W	0.00	Sale
	<b>Security total:</b>	<b>4,092.41</b>		<b>4,200.04</b>	<b>85.04 W</b>	<b>-22.59</b>	
RENESOLA LTD ADR / CUSIP: 75971T301 / Symbol: SOL							
01/28/21	200.000	4,255.55	01/26/21	5,520.00	...	-1,264.45	Sale
RIVIAN AUTOMOTIVE INC COM CL A / CUSIP: 76954A103 / Symbol: RIVN							
11/10/21	1.000	106.22	11/10/21	114.93	8.71 W	0.00	Sale
11/16/21	1.000	164.00	11/10/21	114.93	...	49.07	Sale
12/08/21	30.000	3,673.03	Various	3,674.41	...	-1.38	Total of 3 transactions
	<b>Security total:</b>	<b>3,943.25</b>		<b>3,904.27</b>	<b>8.71 W</b>	<b>47.69</b>	

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Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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ROBINHOOD MARKETS INC COM CL A / CUSIP: 770700102 / Symbol: HOOD							
09/23/21	600.000	28,009.90	Various	27,877.92	63.88 W	195.86	Total of 3 transactions
ROBLOX CORP COM CL A / CUSIP: 771049103 / Symbol: RBLX							
06/02/21	90.000	8,887.40	Various	8,821.10	...	66.30	Total of 3 transactions
06/02/21	25.000	2,450.49	06/02/21	2,469.25	18.76 W	0.00	Sale
06/03/21	30.000	3,045.03	Various	3,039.86	...	5.17	Total of 3 transactions
06/03/21	10.000	1,011.79	06/03/21	1,013.70	1.91 W	0.00	Sale
06/04/21	44.000	4,496.78	Various	4,482.17	...	14.61	Total of 3 transactions
06/04/21	66.000	6,713.63	Various	6,716.58	3.09 W	0.14	Total of 3 transactions
11/09/21	200.000	20,963.65	Various	21,462.53	...	-498.88	Total of 3 transactions
11/09/21	2,000.000	209,079.21	Various	211,035.29	2,146.81 W	190.73	Total of 16 transactions
11/12/21	75.000	8,072.70	Various	8,173.77	...	-101.07	Total of 2 transactions
11/16/21	25.000	2,744.49	11/09/21	2,724.59	...	19.90	Sale
	<b>Security total:</b>	<b>267,465.17</b>		<b>269,938.84</b>	<b>2,170.57 W</b>	<b>-303.10</b>	
ROCKET COMPANIES INC COM CL A / CUSIP: 77311W101 / Symbol: RKT							
03/03/21	100.000	3,984.97	03/02/21	4,174.00	...	-189.03	Sale
06/03/21	50.000	991.48	06/02/21	1,019.50	28.02 W	0.00	Sale
06/23/21	50.000	989.50	Various	1,025.02	...	-35.52	Total of 2 transactions
	<b>Security total:</b>	<b>5,965.95</b>		<b>6,218.52</b>	<b>28.02 W</b>	<b>-224.55</b>	
ROKU INC COM CL A / CUSIP: 77543R102 / Symbol: ROKU							
06/21/21	20.000	7,503.16	Various	7,358.84	...	144.32	Sale
06/22/21	2.000	790.06	06/21/21	736.32	...	53.74	Sale
06/24/21	5.000	2,125.39	06/23/21	2,070.95	...	54.44	Sale
09/21/21	100.000	32,523.82	09/21/21	32,578.00	54.18 W	0.00	Sale
09/22/21	70.000	22,748.47	09/21/21	22,708.43	...	40.04	Sale
09/22/21	400.000	130,288.29	Various	130,147.85	3.23 W	143.67	Total of 2 transactions
10/19/21	20.000	6,992.96	10/19/21	6,961.63	...	31.33	Sale
11/15/21	25.000	6,925.89	11/15/21	6,956.00	...	-30.11	Sale
	<b>Security total:</b>	<b>209,898.04</b>		<b>209,518.02</b>	<b>57.41 W</b>	<b>437.43</b>	
SCWORX CORP COM / CUSIP: 78396V109 / Symbol: WORX							
02/16/21	150.000	448.76	02/16/21	402.00	...	46.76	Sale

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Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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SALESFORCE.COM INC COM / CUSIP: 79466L302 / Symbol: CRM							
01/20/21	20.000	4,461.50	01/20/21	4,448.20	...	13.30	Sale
08/26/21	100.000	27,391.85	08/26/21	27,591.26	...	-199.41	Sale
08/26/21	800.000	218,954.94	Various	220,158.49	686.75 W	-516.80	Total of 2 transactions
10/07/21	400.000	110,904.38	Various	111,492.58	588.20 W	0.00	Total of 2 transactions
10/08/21	450.000	124,343.32	Various	124,817.87	...	-474.55	Sale
	<b>Security total:</b>	<b>486,055.99</b>		<b>488,508.40</b>	<b>1,274.95 W</b>	<b>-1,177.46</b>	
SAREPTA THERAPEUTICS INC COM / CUSIP: 803607100 / Symbol: SRPT							
06/15/21	5.000	448.81	06/14/21	431.80	...	17.01	Sale
SCIENTIFIC GAMES CORP COM / CUSIP: 80874P109 / Symbol: SGMS							
09/27/21	300.000	25,790.84	Various	24,816.00	...	974.84	Total of 2 transactions
SEA LIMITED ADR / CUSIP: 81141R100 / Symbol: SE							
08/09/21	25.000	7,655.52	08/05/21	7,657.50	...	-1.98	Sale
10/19/21	641.000	235,283.87	Various	234,566.04	...	717.83	Total of 4 transactions
10/19/21	940.000	344,722.40	Various	345,455.98	206.24 W	-527.34	Total of 4 transactions
	<b>Security total:</b>	<b>587,661.79</b>		<b>587,679.52</b>	<b>206.24 W</b>	<b>188.51</b>	
SEAWORLD ENTERTAINMENT INC COM / CUSIP: 81282V100 / Symbol: SEAS							
09/29/21	1,500.000	83,534.39	09/27/21	89,950.00	...	-6,415.61	Sale
SENMIAO TECHNOLOGY LIMITED COM / CUSIP: 817225105 / Symbol: AIHS							
09/22/21	2,500.000	1,951.94	09/16/21	1,429.50	...	522.44	Sale
SENSEONICS HOLDINGS INC COM / CUSIP: 81727U105 / Symbol: SENS							
01/19/21	1,000.000	2,989.81	01/19/21	2,850.00	...	139.81	Sale
06/28/21	50.000	197.49	06/14/21	195.00	...	2.49	Sale
	<b>Security total:</b>	<b>3,187.30</b>		<b>3,045.00</b>	...	<b>142.30</b>	
SERVICENOW INC COM / CUSIP: 81762P102 / Symbol: NOW							
06/11/21	1.000	499.20	06/11/21	493.06	...	6.14	Sale
SESEN BIO INC COM / CUSIP: 817763105 / Symbol: SESN							
06/28/21	100.000	419.99	06/14/21	429.00	...	-9.01	Sale

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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SHOPIFY INC COM CL A / CUSIP: 82509L107 / Symbol: SHOP							
06/17/21	1.000	1,409.67	06/17/21	1,377.00	...	32.67	Sale
06/18/21	1.000	1,482.33	06/18/21	1,469.53	...	12.80	Sale
06/23/21	10.000	15,169.62	06/22/21	15,067.30	...	102.32	Sale
07/14/21	15.000	22,980.85	07/14/21	23,088.00	107.15 W	0.00	Sale
07/19/21	10.000	14,383.63	07/15/21	14,280.50	...	103.13	Short sale closed
07/21/21	20.000	31,214.74	Various	31,330.73	...	-115.99	Total of 2 transactions
07/21/21	10.000	15,446.02	07/21/21	15,621.82	175.80 W	0.00	Sale
08/24/21	1.000	1,544.80	08/24/21	1,535.40	...	9.40	Sale
08/25/21	25.000	38,709.60	Various	38,898.75	...	-189.15	Total of 4 transactions
10/06/21	5.000	6,459.42	10/04/21	6,485.55	26.13 W	0.00	Short sale closed
10/08/21	10.000	14,205.02	Various	14,329.35	124.33 W	0.00	Total of 2 transactions
11/01/21	5.000	7,512.62	Various	7,582.91	70.29 W	0.00	Total of 3 transactions
11/02/21	5.000	7,620.30	Various	7,735.69	115.39 W	0.00	Total of 5 transactions
11/04/21	2.000	3,078.08	11/04/21	3,124.91	23.42 W	-23.41	Sale
11/12/21	2.000	3,345.54	11/12/21	3,359.27	...	-13.73	Sale
11/15/21	2.000	3,399.80	11/15/21	3,422.63	...	-22.83	Sale
	<b>Security total:</b>	<b>187,962.04</b>		<b>188,709.34</b>	<b>642.51 W</b>	<b>-104.79</b>	
SIMON PROPERTY GROUP INC COM USD0.0001 / CUSIP: 828806109 / Symbol: SPG							
10/14/21	700.000	97,264.49	10/14/21	98,103.73	...	-839.24	Sale
10/14/21	1,400.000	195,144.98	Various	195,282.70	305.95 W	168.23	Total of 2 transactions
	<b>Security total:</b>	<b>292,409.47</b>		<b>293,386.43</b>	<b>305.95 W</b>	<b>-671.01</b>	
SINTX TECHNOLOGIES INC COM / CUSIP: 829392307 / Symbol: SINT							
02/09/21	250.000	637.46	02/09/21	596.70	...	40.76	Sale
SKILLZ INC COM / CUSIP: 83067L109 / Symbol: SKLZ							
01/25/21	150.000	4,403.88	Various	4,161.45	...	242.43	Sale
06/08/21	135.000	3,127.43	Various	3,088.70	...	38.73	Total of 4 transactions
	<b>Security total:</b>	<b>7,531.31</b>		<b>7,250.15</b>	<b>...</b>	<b>281.16</b>	
SNAP INC COM CL A / CUSIP: 83304A106 / Symbol: SNAP							
06/24/21	40.000	2,739.59	06/23/21	2,673.54	...	66.05	Sale
07/23/21	200.000	15,761.90	07/23/21	15,774.00	12.10 W	0.00	Sale
08/06/21	400.000	30,943.79	Various	31,222.25	278.46 W	0.00	Total of 3 transactions

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TD Ameritrade Clearing, Inc.

Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SNAP INC COM CL A / CUSIP: 83304A106 / Symbol: SNAP (cont'd)							
08/10/21	40.000	3,224.38	08/10/21	3,198.80	...	25.58	Sale
08/10/21	1,000.000	80,228.92	Various	80,473.34	333.49 W	89.07	Total of 3 transactions
08/11/21	150.000	11,615.92	08/10/21	12,022.50	...	-406.58	Sale
08/17/21	60.000	4,258.78	08/10/21	4,841.13	...	-582.35	Sale
08/19/21	150.000	10,560.08	Various	12,099.03	...	-1,538.95	Total of 2 transactions
	<b>Security total:</b>	<b>159,333.36</b>		<b>162,304.59</b>	<b>624.05 W</b>	<b>-2,347.18</b>	
SNOWFLAKE INC COM CL A / CUSIP: 833445109 / Symbol: SNOW							
07/07/21	2.000	495.12	06/14/21	493.56	...	1.56	Sale
07/09/21	115.000	30,397.14	Various	30,115.24	...	281.90	Total of 4 transactions
07/09/21	65.000	17,204.10	07/09/21	17,231.50	27.40 W	0.00	Sale
07/12/21	25.000	6,669.97	07/12/21	6,645.50	...	24.47	Sale
07/13/21	95.000	26,031.16	Various	25,818.75	...	212.41	Total of 2 transactions
07/13/21	175.000	47,564.83	Various	48,081.58	553.18 W	36.43	Total of 6 transactions
07/16/21	100.000	25,936.05	Various	25,887.62	...	48.43	Total of 2 transactions
07/16/21	150.000	39,168.99	Various	39,495.41	328.69 W	2.27	Total of 5 transactions
07/21/21	100.000	25,998.86	07/21/21	26,050.68	76.48 W	24.66	Sale
07/22/21	300.000	80,312.56	Various	80,054.41	...	258.15	Total of 2 transactions
07/22/21	500.000	132,952.04	Various	132,618.55	67.68 W	401.17	Total of 4 transactions
07/23/21	200.000	53,896.39	Various	54,238.82	342.43 W	0.00	Total of 6 transactions
07/26/21	450.000	120,648.82	Various	121,769.51	1,120.69 W	0.00	Total of 3 transactions
07/29/21	50.000	13,578.19	Various	13,632.39	54.20 W	0.00	Total of 2 transactions
08/03/21	600.000	168,782.11	Various	169,030.98	490.87 W	242.00	Total of 6 transactions
08/12/21	400.000	113,799.37	Various	113,825.69	132.73 W	106.41	Sale
08/13/21	400.000	115,215.38	Various	117,411.29	1,604.39 W	-591.52	Total of 2 transactions
09/02/21	125.000	38,456.54	Various	39,423.34	...	-966.80	Total of 2 transactions
	<b>Security total:</b>	<b>1,057,107.62</b>		<b>1,061,824.82</b>	<b>4,798.74 W</b>	<b>81.54</b>	
SOLAREEDGE TECHNOLOGIES INC COM / CUSIP: 83417M104 / Symbol: SEDG							
07/30/21	15.000	3,945.58	07/30/21	3,976.50	...	-30.92	Sale
08/02/21	10.000	2,763.63	Various	2,651.00	...	112.63	Total of 2 transactions
	<b>Security total:</b>	<b>6,709.21</b>		<b>6,627.50</b>	...	<b>81.71</b>	
SOS LIMITED ADR / CUSIP: 83587W106 / Symbol: SOS							
01/29/21	500.000	1,329.96	01/22/21	1,055.00	...	274.96	Sale

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Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPHERE 3D CORP NEW COM / CUSIP: 84841L308 / Symbol: ANY							
10/11/21	1,000.000	7,619.94	10/11/21	7,820.00	200.06 W	0.00	Sale
10/13/21	1,000.000	7,869.85	10/13/21	7,840.22	...	29.63	Sale
10/13/21	1,000.000	7,869.84	10/13/21	7,930.06	60.22 W	0.00	Sale
10/26/21	250.000	1,622.46	10/13/21	2,092.50	470.04 W	0.00	Sale
	<b>Security total:</b>	<b>24,982.09</b>		<b>25,682.78</b>	<b>730.32 W</b>	<b>29.63</b>	
SPLUNK INC COM / CUSIP: 848637104 / Symbol: SPLK							
06/28/21	2.000	286.58	06/25/21	284.58	...	2.00	Sale
09/03/21	100.000	15,930.92	09/02/21	15,902.00	...	28.92	Sale
10/14/21	300.000	49,611.88	10/14/21	50,058.29	...	-446.41	Sale
10/14/21	300.000	49,604.71	10/14/21	49,872.00	267.29 W	0.00	Sale
	<b>Security total:</b>	<b>115,434.09</b>		<b>116,116.87</b>	<b>267.29 W</b>	<b>-415.49</b>	
BLOCK INC COM CL A / CUSIP: 852234103 / Symbol: SQ							
06/28/21	30.000	7,424.96	06/24/21	7,408.06	...	16.90	Sale
08/05/21	525.000	143,793.21	Various	143,320.50	...	472.71	Total of 2 transactions
08/23/21	700.000	190,533.44	Various	189,686.50	...	846.94	Total of 5 transactions
08/23/21	10.000	2,708.99	08/23/21	2,713.10	4.11 W	0.00	Sale
08/24/21	1,400.000	380,698.90	Various	380,806.11	...	-107.21	Total of 3 transactions
	<b>Security total:</b>	<b>725,159.50</b>		<b>723,934.27</b>	<b>4.11 W</b>	<b>1,229.34</b>	
STAFFING 360 SOLUTIONS INC COM / CUSIP: 852387406 / Symbol: STAF							
07/20/21	100.000	341.29	Various	452.00	...	-110.71	Total of 2 transactions
STARBUCKS CORP COM / CUSIP: 855244109 / Symbol: SBUX							
07/27/21	100.000	12,566.21	07/27/21	12,602.00	...	-35.79	Sale
STEEL DYNAMICS INC COM / CUSIP: 858119100 / Symbol: STLD							
08/10/21	4,030.000	273,809.13	Various	272,441.05	...	1,368.08	Total of 4 transactions
SUNWORKS INC COM / CUSIP: 86803X204 / Symbol: SUNW							
01/07/21	1,000.000	7,820.61	01/07/21	7,717.70	...	102.91	Sale
SUPERIOR INDS INTL COM / CUSIP: 868168105 / Symbol: SUP							
02/12/21	100.000	684.47	02/12/21	648.78	...	35.69	Sale

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Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SUPERNUS PHARMACEUTICALS INC COM / CUSIP: 868459108 / Symbol: SUPN							
01/13/21	100.000	2,808.04	01/05/21	2,567.00	...	241.04	Sale
SURGERY PARTNERS INC COM / CUSIP: 86881A100 / Symbol: SGRY							
06/25/21	50.000	3,353.47	06/25/21	3,427.00	...	-73.53	Sale
TAIWAN SEMICONDUCTOR MANUFACTU ADR SPONSORED / CUSIP: 874039100 / Symbol: TSM							
09/07/21	100.000	12,491.73	Various	12,443.00	...	48.73	Total of 2 transactions
11/09/21	150.000	18,469.39	11/09/21	18,498.10	...	-28.71	Sale
11/09/21	1,150.000	141,437.76	11/09/21	141,464.00	18.10 W	-8.14	Sale
	<b>Security total:</b>	<b>172,398.88</b>		<b>172,405.10</b>	<b>18.10 W</b>	<b>11.88</b>	
TAL EDUCATION GROUP ADR / CUSIP: 874080104 / Symbol: TAL							
07/27/21	40.000	214.00	07/27/21	206.00	...	8.00	Sale
TALOS ENERGY INC COM / CUSIP: 87484T108 / Symbol: TALO							
01/14/21	250.000	2,667.41	12/08/20	2,633.75	...	33.66	Sale
TECK RESOURCES LIMITED COM CL B / CUSIP: 878742204 / Symbol: TECK							
09/03/21	2,250.000	55,384.45	Various	55,282.00	...	102.45	Total of 3 transactions
TELADOC HEALTH INC COM / CUSIP: 87918A105 / Symbol: TDOC							
01/07/21	20.000	4,364.02	12/23/20	4,255.30	...	108.72	Sale
TESLA INC COM / CUSIP: 88160R101 / Symbol: TSLA							
01/04/21	50.000	36,840.18	01/04/21	37,026.27	186.09 W	0.00	Sale
01/19/21	100.000	84,154.62	Various	83,092.61	...	1,062.01	Total of 2 transactions
01/19/21	50.000	42,017.83	Various	44,802.12	3,766.90 W	982.61	Total of 2 transactions
06/03/21	25.000	14,998.91	Various	15,047.78	53.65 W	4.78	Total of 4 transactions
06/09/21	35.000	21,270.26	Various	21,374.52	104.26 W	0.00	Total of 7 transactions
06/10/21	5.000	3,063.13	06/10/21	3,078.45	15.32 W	0.00	Sale
06/14/21	5.000	3,095.01	Various	3,124.79	29.78 W	0.00	Total of 2 transactions
06/18/21	4.000	2,488.92	Various	2,517.95	29.03 W	0.00	Total of 3 transactions
06/21/21	1.000	625.07	06/18/21	629.49	4.42 W	0.00	Sale
06/23/21	5.000	3,272.83	06/23/21	3,253.42	...	19.41	Sale
06/23/21	35.000	22,554.88	Various	22,635.08	102.27 W	22.07	Total of 4 transactions
06/24/21	10.000	6,919.26	06/24/21	6,842.52	...	76.74	Sale

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Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA INC COM / CUSIP: 88160R101 / Symbol: TSLA (cont'd)							
06/28/21	10.000	6,938.06	Various	6,893.90	...	44.16	Total of 2 transactions
06/28/21	10.000	6,861.27	06/28/21	6,893.90	32.63 W	0.00	Sale
06/30/21	35.000	24,229.33	06/30/21	24,207.13	16.96 W	39.16	Sale
07/02/21	50.000	34,640.57	Various	34,427.46	...	213.11	Total of 2 transactions
07/02/21	10.000	6,947.41	Various	6,988.37	40.96 W	0.00	Total of 2 transactions
07/08/21	25.000	15,856.92	07/08/21	15,842.75	...	14.17	Sale
07/08/21	30.000	19,040.73	Various	19,072.50	53.51 W	21.74	Total of 2 transactions
07/12/21	50.000	33,923.42	Various	33,816.42	27.46 W	134.46	Total of 2 transactions
07/19/21	116.000	74,777.32	Various	74,950.34	201.27 W	28.25	Total of 9 transactions
07/20/21	220.000	145,631.99	Various	145,565.36	...	66.63	Total of 4 transactions
07/20/21	500.000	330,455.73	Various	330,456.36	251.18 W	250.55	Total of 4 transactions
07/21/21	1.000	640.19	07/19/21	639.84	...	0.35	Short sale closed
07/21/21	761.000	504,500.65	Various	505,372.98	899.69 W	27.36	Total of 8 transactions
07/22/21	100.000	64,964.66	07/21/21	66,495.97	1,531.31 W	0.00	Sale
07/26/21	2,100.000	1,391,420.96	Various	1,408,380.87	16,981.76 W	21.85	Total of 20 transactions
07/28/21	260.000	169,887.76	Various	171,862.19	2,029.57 W	55.14	Total of 4 transactions
07/29/21	150.000	101,097.47	Various	102,388.81	1,310.15 W	18.81	Total of 5 transactions
07/30/21	45.000	30,908.98	Various	31,544.85	635.87 W	0.00	Total of 5 transactions
08/02/21	600.000	426,804.23	Various	432,197.95	5,441.69 W	47.97	Total of 5 transactions
08/04/21	220.000	159,254.86	Various	160,455.97	1,253.20 W	52.09	Total of 3 transactions
08/09/21	25.000	17,947.91	08/05/21	18,106.75	158.84 W	0.00	Sale
08/18/21	800.000	552,164.70	Various	554,942.97	3,119.96 W	341.69	Total of 8 transactions
08/19/21	25.000	16,605.92	08/17/21	16,661.50	55.58 W	0.00	Short sale closed
08/20/21	100.000	69,126.64	08/18/21	69,148.77	22.13 W	0.00	Short sale closed
08/23/21	10.000	7,098.06	08/23/21	7,063.07	...	34.99	Sale
08/24/21	25.000	17,720.91	08/24/21	17,757.32	36.41 W	0.00	Sale
08/30/21	100.000	72,274.62	08/30/21	72,739.95	465.33 W	0.00	Sale
08/31/21	165.000	121,236.41	Various	120,931.79	...	304.62	Sale
08/31/21	50.000	36,930.32	Various	37,131.45	247.04 W	45.91	Total of 2 transactions
09/01/21	50.000	36,875.82	Various	37,229.93	354.11 W	0.00	Total of 2 transactions
09/02/21	75.000	55,465.96	08/31/21	55,467.75	1.79 W	0.00	Short sale closed
09/07/21	275.000	206,853.42	Various	207,428.34	795.19 W	220.27	Total of 5 transactions
09/08/21	250.000	187,426.55	Various	191,005.69	3,585.85 W	6.71	Total of 6 transactions
09/14/21	615.000	462,569.33	Various	467,396.71	5,057.58 W	230.20	Total of 4 transactions
09/27/21	30.000	23,413.88	Various	23,776.61	362.73 W	0.00	Total of 2 transactions

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Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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TESLA INC COM / CUSIP: 88160R101 / Symbol: TSLA (cont'd)							
10/04/21	60.000	48,188.76	Various	48,702.44	513.68 W	0.00	Total of 2 transactions
10/07/21	20.000	15,905.92	10/07/21	16,373.44	467.52 W	0.00	Sale
10/12/21	10.000	8,102.16	10/12/21	8,272.30	170.14 W	0.00	Sale
10/14/21	235.000	191,909.05	Various	194,805.37	2,896.32 W	0.00	Total of 3 transactions
10/15/21	1,605.000	1,329,411.24	Various	1,343,242.77	13,906.21 W	74.68	Total of 17 transactions
10/18/21	240.000	208,077.71	Various	208,614.67	946.02 W	409.06	Total of 4 transactions
10/21/21	31.000	27,624.16	Various	27,929.97	305.81 W	0.00	Total of 5 transactions
10/22/21	50.000	44,980.76	10/22/21	44,934.00	...	46.76	Sale
10/22/21	725.000	652,660.30	Various	656,983.53	4,327.43 W	4.20	Total of 8 transactions
10/25/21	39.000	37,430.56	Various	36,579.54	...	851.02	Total of 3 transactions
10/25/21	35.000	34,375.03	Various	34,291.51	18.43 W	101.95	Total of 2 transactions
10/26/21	462.000	482,716.96	Various	483,537.07	1,677.28 W	857.17	Total of 10 transactions
10/27/21	30.000	31,659.73	Various	32,303.78	644.05 W	0.00	Total of 3 transactions
10/28/21	32.000	34,140.19	Various	35,288.77	1,148.58 W	0.00	Total of 3 transactions
10/29/21	230.000	252,252.96	Various	253,539.67	1,764.30 W	477.59	Total of 4 transactions
11/01/21	6.000	7,115.93	Various	7,128.31	12.38 W	0.00	Total of 2 transactions
11/02/21	59.000	70,145.88	Various	70,007.62	...	138.26	Total of 9 transactions
11/02/21	31.000	36,842.37	Various	37,449.58	614.16 W	6.95	Total of 6 transactions
11/03/21	3.000	3,583.18	11/03/21	3,723.04	139.86 W	0.00	Sale
11/10/21	9.000	9,456.49	Various	9,607.22	158.17 W	7.44	Total of 3 transactions
11/11/21	25.000	26,623.92	Various	27,208.41	584.49 W	0.00	Total of 5 transactions
11/15/21	5.000	5,294.71	11/11/21	5,297.75	3.04 W	0.00	Short sale closed
11/16/21	47.000	48,911.77	Various	49,632.47	757.01 W	36.31	Total of 4 transactions
11/17/21	9.000	9,374.98	Various	9,406.10	...	-31.12	Total of 3 transactions
11/17/21	80.000	88,274.34	Various	88,720.00	599.91 W	154.25	Total of 5 transactions
11/22/21	2.000	2,385.01	11/22/21	2,375.01	...	10.00	Sale
	<b>Security total:</b>	<b>9,381,237.93</b>		<b>9,454,621.86</b>	<b>80,916.26 W</b>	<b>7,532.33</b>	
TG THERAPEUTICS INC COM / CUSIP: 88322Q108 / Symbol: TGTX							
06/25/21	10.000	398.90	06/24/21	386.00	...	12.90	Sale
THE9 LTD ADR / CUSIP: 88337K302 / Symbol: NCTY							
06/23/21	50.000	733.49	06/23/21	706.00	...	27.49	Sale

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TD Ameritrade Clearing, Inc.

Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE TRADE DESK INC COM CL A / CUSIP: 88339J105 / Symbol: TTD							
07/27/21	400.000	32,770.85	Various	33,440.90	...	-670.05	Total of 3 transactions
07/27/21	400.000	32,570.02	Various	33,961.44	1,391.42 W	0.00	Total of 6 transactions
11/08/21	100.000	8,607.44	Various	8,813.03	205.59 W	0.00	Total of 2 transactions
11/16/21	120.000	13,002.52	Various	13,071.78	97.90 W	28.64	Total of 2 transactions
11/17/21	50.000	5,639.97	11/17/21	5,745.40	...	-105.43	Sale
	<b>Security total:</b>	<b>92,590.80</b>		<b>95,032.55</b>	<b>1,694.91 W</b>	<b>-746.84</b>	
THERMOGENESIS HOLDINGS INC COM / CUSIP: 88362L100 / Symbol: THMO							
02/09/21	250.000	920.80	02/09/21	899.81	...	20.99	Sale
3 D SYSTEMS INC COM / CUSIP: 88554D205 / Symbol: DDD							
06/22/21	25.000	820.25	06/22/21	788.50	...	31.75	Sale
TRANSMEDICS GROUP INC COM / CUSIP: 89377M109 / Symbol: TMDX							
09/09/21	75.000	2,473.48	09/07/21	2,463.75	...	9.73	Sale
TRXADE HEALTH INC COM / CUSIP: 89846A207 / Symbol: MEDS							
06/10/21	25.000	199.00	06/10/21	172.00	...	27.00	Sale
TUESDAY MORNING CORP COM / CUSIP: 89904V101 / Symbol: TUEM							
09/20/21	40.000	93.20	09/17/21	92.00	...	1.20	Sale
TWILIO INC COM CL A / CUSIP: 90138F102 / Symbol: TWLO							
06/18/21	1.000	370.85	06/18/21	365.59	...	5.26	Sale
06/22/21	10.000	3,770.08	06/22/21	3,780.82	...	-10.74	Sale
06/22/21	45.000	16,920.81	Various	16,938.76	28.95 W	11.00	Total of 2 transactions
06/29/21	10.000	3,969.98	Various	3,856.17	...	113.81	Total of 2 transactions
07/23/21	140.000	57,334.90	Various	57,451.04	...	-116.14	Total of 4 transactions
07/23/21	100.000	40,785.33	Various	40,986.99	180.44 W	-21.22	Total of 2 transactions
08/30/21	80.000	29,386.25	Various	29,484.64	73.27 W	-25.12	Total of 2 transactions
08/31/21	20.000	7,120.97	08/31/21	7,214.43	...	-93.46	Sale
	<b>Security total:</b>	<b>159,659.17</b>		<b>160,078.44</b>	<b>282.66 W</b>	<b>-136.61</b>	

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TD Ameritrade Clearing, Inc.

Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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UBER TECHNOLOGIES INC COM / CUSIP: 90353T100 / Symbol: UBER							
07/19/21	50.000	2,240.98	06/30/21	2,512.50	...	-271.52	Sale
09/22/21	500.000	23,284.82	09/22/21	23,087.50	...	197.32	Sale
	<b>Security total:</b>	<b>25,525.80</b>		<b>25,600.00</b>	...	<b>-74.20</b>	
ULTA BEAUTY INC COM / CUSIP: 90384S303 / Symbol: ULTA							
11/05/21	48.000	18,753.98	11/05/21	18,849.32	...	-95.34	Sale
11/05/21	2.000	781.50	11/05/21	783.02	1.52 W	0.00	Sale
	<b>Security total:</b>	<b>19,535.48</b>		<b>19,632.34</b>	<b>1.52 W</b>	<b>-95.34</b>	
UNITED PARCEL SERVICE INC COM CL B / CUSIP: 911312106 / Symbol: UPS							
01/05/21	25.000	4,057.91	12/11/20	4,216.00	...	-158.09	Sale
08/18/21	200.000	39,476.78	Various	39,796.00	...	-319.22	Total of 2 transactions
	<b>Security total:</b>	<b>43,534.69</b>		<b>44,012.00</b>	...	<b>-477.31</b>	
UNITED STATES STEEL CORP COM / CUSIP: 912909108 / Symbol: X							
08/18/21	400.000	12,019.93	08/18/21	12,176.00	...	-156.07	Sale
UNITEDHEALTH GROUP INC COM / CUSIP: 91324P102 / Symbol: UNH							
12/06/21	20.000	9,140.35	12/01/21	9,105.40	...	34.95	Sale
UNITY SOFTWARE INC COM / CUSIP: 91332U101 / Symbol: U							
06/21/21	5.000	540.00	06/21/21	546.25	...	-6.25	Sale
06/22/21	5.000	555.55	06/21/21	546.25	...	9.30	Sale
08/11/21	1,000.000	120,132.27	Various	119,549.00	...	583.27	Total of 2 transactions
08/12/21	700.000	88,516.47	Various	87,416.00	...	1,100.47	Total of 2 transactions
11/18/21	25.000	4,957.72	11/18/21	5,044.25	...	-86.53	Sale
	<b>Security total:</b>	<b>214,702.01</b>		<b>213,101.75</b>	...	<b>1,600.26</b>	
UPSTART HLDGS INC COM / CUSIP: 91680M107 / Symbol: UPST							
08/09/21	60.000	8,371.76	Various	8,362.00	...	9.76	Total of 2 transactions
08/12/21	600.000	107,265.88	Various	106,736.00	...	529.88	Total of 3 transactions
08/13/21	600.000	115,011.34	Various	114,660.00	...	351.34	Total of 3 transactions
08/16/21	200.000	42,153.79	08/16/21	42,666.00	512.21 W	0.00	Sale
08/17/21	100.000	20,090.89	08/17/21	20,157.11	66.22 W	0.00	Sale
08/18/21	100.000	21,136.88	08/18/21	21,086.22	...	50.66	Sale
08/18/21	350.000	73,354.97	Various	75,073.36	1,718.39 W	0.00	Total of 3 transactions

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TD Ameritrade Clearing, Inc.

Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UPSTART HLDGS INC COM / CUSIP: 91680M107 / Symbol: UPST (cont'd)							
08/24/21	300.000	63,635.64	08/24/21	64,521.13	943.37 W	57.88	Sale
09/14/21	115.000	31,275.38	Various	32,826.55	1,551.17 W	0.00	Total of 3 transactions
09/16/21	50.000	14,414.92	09/16/21	14,578.10	163.18 W	0.00	Sale
09/21/21	315.000	98,814.96	09/21/21	100,352.52	1,633.50 W	95.94	Sale
10/07/21	10.000	3,160.48	10/06/21	3,090.79	...	69.69	Sale
10/12/21	150.000	49,084.99	Various	50,572.83	1,487.84 W	0.00	Total of 3 transactions
10/15/21	100.000	39,754.87	10/15/21	40,797.93	...	-1,043.06	Sale
10/15/21	100.000	39,825.79	10/15/21	40,716.17	88.78 W	-801.60	Sale
	<b>Security total:</b>	<b>727,352.54</b>		<b>736,196.71</b>	<b>8,164.66 W</b>	<b>-679.51</b>	
VBI VACCINES INC CDA COM / CUSIP: 91822J103 / Symbol: VBIV							
02/08/21	1,000.000	4,299.78	02/01/21	4,009.90	...	289.88	Sale
VANECK ETF TRUST VANECK OIL SERVICES ETF / CUSIP: 92189H607 / Symbol: OIH							
10/11/21	500.000	110,184.38	10/11/21	109,809.50	...	374.88	Sale
VAXART INC COM / CUSIP: 92243A200 / Symbol: VXRT							
08/09/21	40.000	386.40	08/09/21	380.80	...	5.60	Sale
VELODYNE LIDAR INC COM / CUSIP: 92259F101 / Symbol: VLDR							
10/26/21	100.000	681.14	10/26/21	680.86	...	0.28	Sale
VERACYTE INC COM / CUSIP: 92337F107 / Symbol: VCYT							
08/27/21	500.000	22,944.82	08/26/21	22,352.50	...	592.32	Sale
VIACOMCBS INC COM CL B / CUSIP: 92556H206 / Symbol: VIAC							
06/28/21	300.000	13,954.90	Various	13,312.70	...	642.20	Total of 2 transactions
06/28/21	25.000	1,144.49	06/28/21	1,147.25	2.76 W	0.00	Sale
	<b>Security total:</b>	<b>15,099.39</b>		<b>14,459.95</b>	<b>2.76 W</b>	<b>642.20</b>	
VINCO VENTURES INC COM / CUSIP: 927330100 / Symbol: BBIG							
06/04/21	50.000	238.45	Various	223.10	...	15.35	Total of 2 transactions
08/27/21	400.000	1,939.94	06/22/21	2,050.00	9.61 W	-100.45	Sale
10/12/21	7,341.000	47,299.35	Various	46,908.81	1,180.43 W	1,570.97	Total of 5 transactions

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Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VINCO VENTURES INC COM / CUSIP: 927330100 / Symbol: BBIG (cont'd)							
10/15/21	300.000	2,540.95	10/14/21	2,666.07	205.65 W	80.53	Sale
10/18/21	100.000	861.00	10/18/21	1,071.65	...	-210.65	Sale
	<b>Security total:</b>	<b>52,879.69</b>		<b>52,919.63</b>	<b>1,395.69 W</b>	<b>1,355.75</b>	
VIRGIN GALACTIC HLDGS INC COM / CUSIP: 92766K106 / Symbol: SPCE							
06/23/21	50.000	1,986.23	Various	2,046.00	59.77 W	0.00	Total of 2 transactions
06/25/21	50.000	2,492.23	Various	2,284.76	...	207.47	Total of 2 transactions
06/25/21	25.000	1,230.49	06/25/21	1,260.51	30.02 W	0.00	Sale
06/28/21	50.000	2,790.23	Various	2,884.02	93.79 W	0.00	Total of 2 transactions
07/08/21	100.000	4,970.72	Various	4,959.01	...	11.71	Total of 2 transactions
07/08/21	50.000	2,472.50	07/08/21	2,556.29	25.01 W	-58.78	Sale
	<b>Security total:</b>	<b>15,942.40</b>		<b>15,990.59</b>	<b>208.59 W</b>	<b>160.40</b>	
VISA INC COM CL A / CUSIP: 92826C839 / Symbol: V							
07/06/21	5.000	1,197.49	07/06/21	1,201.15	...	-3.66	Sale
VISLINK TECHNOLOGIES INC COM / CUSIP: 92836Y300 / Symbol: VISL							
01/19/21	500.000	1,244.91	01/19/21	1,139.95	...	104.96	Sale
WALMART INC COM / CUSIP: 931142103 / Symbol: WMT							
08/18/21	200.000	30,499.82	08/18/21	30,464.00	...	35.82	Sale
09/13/21	300.000	43,983.76	Various	44,115.00	...	-131.24	Total of 3 transactions
	<b>Security total:</b>	<b>74,483.58</b>		<b>74,579.00</b>	...	<b>-95.42</b>	
WEIDAI LTD 1:5 R/S 1/26/22 94861A207 / CUSIP: 94861A108 / Symbol:							
06/30/21	100.000	243.99	06/30/21	257.01	...	-13.02	Sale
06/30/21	100.000	245.99	06/30/21	255.00	9.01 W	0.00	Sale
	<b>Security total:</b>	<b>489.98</b>		<b>512.01</b>	<b>9.01 W</b>	<b>-13.02</b>	
WELLS FARGO & COMPANY COM / CUSIP: 949746101 / Symbol: WFC							
10/15/21	1,101.000	53,459.27	Various	53,645.77	186.50 W	0.00	Total of 2 transactions
10/18/21	300.000	14,891.91	10/18/21	14,836.90	...	55.01	Sale
10/18/21	450.000	22,279.32	Various	22,288.04	9.84 W	1.12	Total of 2 transactions
	<b>Security total:</b>	<b>90,630.50</b>		<b>90,770.71</b>	<b>196.34 W</b>	<b>56.13</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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WHITING PETROLEUM CORP COM / CUSIP: 966387508 / Symbol: WLL							
07/08/21	105.000	5,853.70	07/06/21	5,859.00	...	-5.30	Short sale closed
WILLIAMS-SONOMA INC COM / CUSIP: 969904101 / Symbol: WSM							
09/16/21	20.000	3,746.98	09/16/21	3,727.80	...	19.18	Sale
09/27/21	50.000	9,713.47	09/23/21	9,594.50	...	118.97	Sale
	<b>Security total:</b>	<b>13,460.45</b>		<b>13,322.30</b>	...	<b>138.15</b>	
WORKDAY INC COM CL A / CUSIP: 98138H101 / Symbol: WDAY							
08/31/21	75.000	20,525.89	08/31/21	20,595.00	...	-69.11	Sale
10/20/21	100.000	27,761.85	10/20/21	27,922.00	...	-160.15	Sale
10/20/21	200.000	55,533.70	10/20/21	55,844.00	54.30 W	-256.00	Sale
10/21/21	35.000	9,796.80	10/21/21	9,885.45	...	-88.65	Sale
	<b>Security total:</b>	<b>113,618.24</b>		<b>114,246.45</b>	<b>54.30 W</b>	<b>-573.91</b>	
WORLD WRESTLING ENTERTAINMENT COM CL A / CUSIP: 98156Q108 / Symbol: WWE							
09/13/21	400.000	21,507.85	Various	21,362.00	...	145.85	Total of 2 transactions
XL FLEET CORP COM CL A / CUSIP: 9837FR100 / Symbol: XL							
06/09/21	50.000	458.99	06/09/21	469.50	...	-10.51	Sale
XENON PHARMACEUTICALS INC COM / CUSIP: 98420N105 / Symbol: XENE							
10/04/21	50.000	1,459.49	10/04/21	1,420.00	...	39.49	Sale
ZSCALER INC COM / CUSIP: 98980G102 / Symbol: ZS							
01/22/21	50.000	10,979.25	12/21/20	9,816.75	...	1,162.50	Sale
07/22/21	100.000	23,587.87	07/22/21	23,756.12	...	-168.25	Sale
07/22/21	400.000	94,147.64	Various	94,573.78	268.10 W	-158.04	Total of 3 transactions
	<b>Security total:</b>	<b>128,714.76</b>		<b>128,146.65</b>	<b>268.10 W</b>	<b>836.21</b>	
ZOOM VIDEO COMMUNICATIONS INC COM CL A / CUSIP: 98980L101 / Symbol: ZM							
06/11/21	1.000	366.44	06/11/21	363.16	...	3.28	Sale
06/17/21	5.000	1,852.94	06/14/21	1,827.35	...	25.59	Sale
06/23/21	2.000	759.38	06/18/21	752.94	...	6.44	Sale
08/04/21	500.000	192,037.45	Various	191,680.00	...	357.45	Total of 4 transactions
09/10/21	220.000	66,516.84	Various	66,155.80	...	361.04	Total of 2 transactions
	<b>Security total:</b>	<b>261,533.05</b>		<b>260,779.25</b>	...	<b>753.80</b>	

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TD Ameritrade Clearing, Inc.

Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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APPLE INC AAPL Apr 16 2021	130.0	Call / CUSIP: / Symbol: AAPL 04/16/21	C 130.000				
04/06/21	2.000	180.67	04/05/21	153.33	...	27.34	Option sale
APPLE INC AAPL Jul 16 2021	135.0	Call / CUSIP: / Symbol: AAPL 07/16/21	C 135.000				
06/22/21	1.000	192.34	06/21/21	132.66	...	59.68	Option sale
APPLE INC AAPL Dec 10 2021	175.0	Call / CUSIP: / Symbol: AAPL 12/10/21	C 175.000				
12/08/21	6.000	775.03	Various	687.96	...	87.07	Total of 4 transactions
APPLE INC AAPL Dec 17 2021	175.0	Call / CUSIP: / Symbol: AAPL 12/17/21	C 175.000				
12/08/21	1.000	329.34	12/08/21	320.66	...	8.68	Option sale
AIRBNB INC ABNB Aug 20 2021	160.0	Call / CUSIP: / Symbol: ABNB 08/20/21	C 160.000				
08/20/21	1.000	0.00	06/23/21	814.66	...	-814.66	Option expiration
AIRBNB INC ABNB Aug 20 2021	170.0	Call / CUSIP: / Symbol: ABNB 08/20/21	C 170.000				
08/06/21	1.000	412.68	08/05/21	0.00	...	412.68	Short sale closed- option
08/20/21	1.000	107.34	08/20/21	0.00	...	107.34	Option expiration short position
	<b>Security total:</b>	<b>520.02</b>		<b>0.00</b>	...	<b>520.02</b>	
AMAZON COM INC AMZN Dec 10 2021	3550.0	Call / CUSIP: / Symbol: AMZN 12/10/21	C 3550.000				
12/07/21	1.000	1,663.33	12/07/21	2,065.66	...	-402.33	Option sale
AMAZON COM INC AMZN Dec 10 2021	3415.0	Put / CUSIP: / Symbol: AMZN 12/10/21	P 3415.000				
12/09/21	1.000	301.34	12/09/21	425.66	...	-124.32	Option sale
AMAZON COM INC AMZN Dec 10 2021	3450.0	Put / CUSIP: / Symbol: AMZN 12/10/21	P 3450.000				
12/07/21	2.000	5,062.66	Various	6,613.65	1,550.99 W	0.00	Total of 2 transactions
12/08/21	1.000	1,511.33	12/08/21	2,464.32	952.99 W	0.00	Option sale
12/09/21	1.000	736.34	12/09/21	1,984.30	...	-1,247.96	Option sale
12/09/21	2.000	1,718.67	Various	3,826.62	2,107.95 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>9,029.00</b>		<b>14,888.89</b>	<b>4,611.93 W</b>	<b>-1,247.96</b>	
ARK ETF TR ARKG Jul 16 2021	99.21	Call / CUSIP: / Symbol: ARKG 07/16/21	C 99.210				
07/16/21	1.000	0.00	06/28/21	75.66	...	-75.66	Option expiration
BAIDU INC BIDU Jul 02 2021	210.0	Call / CUSIP: / Symbol: BIDU 07/02/21	C 210.000				
07/02/21	1.000	0.00	06/29/21	265.66	...	-265.66	Option expiration

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

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BAIDU INC BIDU Jul 16 2021 205.0 Call / CUSIP: / Symbol: BIDU 07/16/21 C 205.000							
06/24/21	1.000	227.34	06/23/21	145.66	...	81.68	Option sale
BIGCOMMERCE HLDGS INC BIGC Jul 16 2021 65.0 Call / CUSIP: / Symbol: BIGC 07/16/21 C 65.000							
06/14/21	1.000	389.34	06/14/21	317.66	...	71.68	Option sale
07/16/21	1.000	359.34	07/16/21	0.00	...	359.34	Option expiration short position
	<b>Security total:</b>	<b>748.68</b>		<b>317.66</b>	...	<b>431.02</b>	
BIGCOMMERCE HLDGS INC BIGC Aug 20 2021 65.0 Call / CUSIP: / Symbol: BIGC 08/20/21 C 65.000							
08/02/21	1.000	114.68	07/30/21	0.00	...	114.68	Short sale closed- option
BIGCOMMERCE HLDGS INC BIGC Sep 17 2021 85.0 Call / CUSIP: / Symbol: BIGC 09/17/21 C 85.000							
08/09/21	1.000	133.68	08/06/21	0.00	...	133.68	Short sale closed- option
BEYOND MEAT INC BYND Jul 02 2021 160.0 Call / CUSIP: / Symbol: BYND 07/02/21 C 160.000							
07/02/21	1.000	0.00	06/30/21	280.66	...	-280.66	Option expiration
CLOVER HEALTH INVESTMENTS CORP CLOV Jun 18 2021 20.0 Call / CUSIP: / Symbol: CLOV 06/18/21 C 20.000							
06/08/21	1.000	574.34	06/08/21	300.66	...	273.68	Option sale
CLOVER HEALTH INVESTMENTS CORP CLOV Jun 18 2021 22.0 Call / CUSIP: / Symbol: CLOV 06/18/21 C 22.000							
06/09/21	1.000	189.34	06/09/21	420.66	...	-231.32	Option sale
COUPANG INC CPNG Jul 16 2021 45.0 Call / CUSIP: / Symbol: CPNG 07/16/21 C 45.000							
06/29/21	1.000	119.34	06/29/21	155.66	...	-36.32	Option sale
CROWDSTRIKE HOLDINGS INC CRWD Jul 16 2021 190.0 Put / CUSIP: / Symbol: CRWD 07/16/21 P 190.000							
06/07/21	1.000	-29.32	06/04/21	0.00	...	-29.32	Short sale closed- option
CROWDSTRIKE HOLDINGS INC CRWD Jul 16 2021 200.0 Put / CUSIP: / Symbol: CRWD 07/16/21 P 200.000							
06/04/21	1.000	727.34	06/03/21	690.66	...	36.68	Option sale
WALT DISNEY COMPANY (THE) DIS Apr 16 2021 190.0 Call / CUSIP: / Symbol: DIS 04/16/21 C 190.000							
04/05/21	1.000	393.34	04/01/21	315.66	...	77.68	Option sale
FACEBOOK INC FB Jul 02 2021 355.0 Call / CUSIP: / Symbol: FB 07/02/21 C 355.000							
06/29/21	1.000	229.34	06/29/21	310.66	...	-81.32	Option sale

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META PLATFORMS INC FB Dec 10 2021 335.0 Call / CUSIP: / Symbol: FB 12/10/21 C 335.000							
12/08/21	3.000	752.02	Various	706.98	...	45.04	Total of 3 transactions
FASTLY INC FSLY Jul 02 2021 63.0 Call / CUSIP: / Symbol: FSLY 07/02/21 C 63.000							
07/02/21	1.000	0.00	06/30/21	190.66	...	-190.66	Option expiration
AMBARELLA INC COM / CUSIP: G037AX101 / Symbol: AMBA							
09/22/21	500.000	79,499.53	09/22/21	79,160.00	...	339.53	Sale
ATLASSIAN CORPORATION PLC COM CL A / CUSIP: G06242104 / Symbol: TEAM							
08/20/21	50.000	17,299.90	08/20/21	17,225.50	...	74.40	Sale
ATHENE HOLDING LTD 1.149:1 EXC 1/3/22 03769M106 / CUSIP: G0684D107 / Symbol: ATH							
06/16/21	20.000	1,277.59	Various	1,275.30	...	2.29	Sale
BORQS TECHNOLOGIES INC COM / CUSIP: G1466B103 / Symbol: BRQS							
01/06/21	2,000.000	2,001.52	11/24/20	2,647.98	...	-646.46	Sale
CHINA CUSTOMER RELATIONS CENTE CSH MRG @\$6.50/SH 7/7/21 / CUSIP: G2118P102 / Symbol: CCRC							
01/29/21	500.000	1,959.90	01/21/21	1,980.00	...	-20.10	Sale
CITIC CAPITAL ACQ CORP COM / CUSIP: G21513109 / Symbol: CCAC							
05/06/21	500.000	4,974.91	01/12/21	5,461.45	...	-486.54	Sale
FARMMI INC COM / CUSIP: G33277107 / Symbol: FAMI							
11/18/21	5,000.000	1,499.39	11/03/21	1,738.50	...	-239.11	Sale
HAPPINESS DEVELOPMENT GRP COM / CUSIP: G4289N114 / Symbol: HAPP							
02/16/21	150.000	383.97	02/16/21	371.99	...	11.98	Sale
HORIZON THERAPEUTICS PLC COM / CUSIP: G46188101 / Symbol: HZNP							
10/05/21	50.000	5,730.97	10/05/21	5,717.50	...	13.47	Sale
LIANLUO SMART LIMITED 1:1 EXC 5/20/21 G6483G100 / CUSIP: G5478K118 / Symbol: LLIT							
01/28/21	200.000	1,999.94	01/22/21	1,051.84	...	948.10	Sale
MMTEC INC COM / CUSIP: G6181K106 / Symbol: MTC							
01/22/21	1,500.000	3,659.74	01/22/21	3,584.85	...	74.89	Sale

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ITERUM THERAPEUTICS PLC COM / CUSIP: G6333L101 / Symbol: ITRM							
06/15/21	30.000	63.60	06/03/21	45.30	...	18.30	Sale
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH							
07/19/21	300.000	7,070.92	07/15/21	7,313.80	...	-242.88	Short sale closed
07/19/21	600.000	14,151.20	Various	14,274.08	122.88 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>21,222.12</b>		<b>21,587.88</b>	<b>122.88 W</b>	<b>-242.88</b>	
ORIENTAL CULTURE HOLDING LTD COM / CUSIP: G6796W107 / Symbol: OCG							
02/12/21	100.000	599.98	02/12/21	572.99	...	26.99	Sale
PAYSAFE LTD COM / CUSIP: G6964L107 / Symbol: PSFE							
06/25/21	75.000	946.49	06/25/21	948.75	...	-2.26	Sale
REINVENT TECHNOLOGY PARTNERS 1:1 EXC 8/11/21 G65163100 / CUSIP: G7483N129 / Symbol: RTP							
01/19/21	25.000	304.49	01/19/21	304.75	...	-0.26	Sale
STRONGBRIDGE BIOPHARMA PLC 0.784:1 EXC 10/6/21 98422E103 / CUSIP: G85347105 / Symbol: SBBP							
01/05/21	400.000	991.97	08/06/20	1,739.48	...	-747.51	Sale
SPI ENERGY CO LTD COM / CUSIP: G8651P110 / Symbol: SPI							
01/05/21	50.000	395.23	11/24/20	821.96	426.73 W	0.00	Sale
TRITERRAS INC COM CL A / CUSIP: G9103H102 / Symbol: TRIT							
03/11/21	200.000	1,515.97	01/22/21	1,489.98	...	25.99	Sale
URBAN TEA N/C 6/16/21 G1144D109 / CUSIP: G9396G118 / Symbol:							
02/09/21	250.000	687.45	02/08/21	615.00	...	72.45	Sale
CRISPR THERAPEUTICS AG COM / CUSIP: H17182108 / Symbol: CRSP							
06/30/21	30.000	4,825.67	Various	5,025.00	...	-199.33	Total of 3 transactions
09/02/21	20.000	2,543.99	06/30/21	3,350.00	...	-806.01	Sale
	<b>Security total:</b>	<b>7,369.66</b>		<b>8,375.00</b>	...	<b>-1,005.34</b>	
JUMIA TECHNOLOGIES AG JMIA Jan 29 2021 50.0 Call / CUSIP: / Symbol: JMIA 01/29/21 C 50.000							
01/26/21	3.000	-2,494.00	01/25/21	0.00	...	-2,494.00	Short sale closed- option

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JUMIA TECHNOLOGIES AG JMIA Dec 10 2021 13.0 Call / CUSIP: / Symbol: JMIA 12/10/21 C 13.000							
12/07/21	10.000	827.39	Various	796.59	...	30.80	Total of 3 transactions
JUMIA TECHNOLOGIES AG JMIA Dec 10 2021 14.0 Call / CUSIP: / Symbol: JMIA 12/10/21 C 14.000							
12/08/21	6.000	380.02	Various	381.96	...	-1.94	Total of 2 transactions
SPOTIFY TECHNOLOGY S.A. COM / CUSIP: L8681T102 / Symbol: SPOT							
10/28/21	20.000	5,804.37	10/28/21	5,687.00	...	117.37	Sale
DIREXION SHARES ETF TRUST LABU Jun 18 2021 90.0 Call / CUSIP: / Symbol: LABU 06/18/21 C 90.000							
06/18/21	1.000	0.00	06/14/21	10.66	...	-10.66	Option expiration
LEMONADE INC LMND Jun 04 2021 102.0 Call / CUSIP: / Symbol: LMND 06/04/21 C 102.000							
06/03/21	1.000	199.34	06/03/21	160.66	...	38.68	Option sale
CHECK POINT SFTWRE COM / CUSIP: M22465104 / Symbol: CHKP							
07/14/21	25.000	3,087.98	07/09/21	3,066.24	...	21.74	Sale
GAMIDA CELL LTD COM / CUSIP: M47364100 / Symbol: GMDA							
02/09/21	500.000	6,999.79	12/08/20	5,300.00	...	1,699.79	Sale
FIVERR INTERNATIONAL LTD COM / CUSIP: M4R82T106 / Symbol: FVRR							
07/28/21	5.000	1,265.44	06/29/21	1,262.75	...	2.69	Sale
NANO X IMAGING LTD COM / CUSIP: M70700105 / Symbol: NNOX							
06/17/21	50.000	1,577.92	Various	1,498.83	...	79.09	Total of 2 transactions
06/17/21	30.000	939.00	06/17/21	975.60	30.50 W	-6.10	Sale
06/29/21	25.000	849.75	06/28/21	855.50	...	-5.75	Sale
	<b>Security total:</b>	<b>3,366.67</b>		<b>3,329.93</b>	<b>30.50 W</b>	<b>67.24</b>	
RISKIFIED LTD COM CL A / CUSIP: M8216R109 / Symbol: RSKD							
08/30/21	70.000	2,306.49	08/30/21	2,187.50	...	118.99	Sale
VASCULAR BIOGENICS LTD COM / CUSIP: M96883109 / Symbol: VBLT							
06/25/21	18.000	43.02	06/04/21	46.80	...	-3.78	Sale

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ZIM INTEGRATED SHIPPING SERV COM / CUSIP: M9T951109 / Symbol: ZIM							
08/18/21	50.000	2,424.99	06/24/21	2,423.50	...	1.49	Sale
09/09/21	100.000	5,635.96	09/07/21	5,580.00	...	55.96	Sale
	<b>Security total:</b>	<b>8,060.95</b>		<b>8,003.50</b>	...	<b>57.45</b>	
MAXEON SOLAR TECH LTD MAXN Sep 17 2021 25.0 Call / CUSIP: / Symbol: MAXN 09/17/21 C 25.000							
06/28/21	1.000	219.34	06/11/21	153.66	...	65.68	Option sale
MAXEON SOLAR TECH LTD MAXN Sep 17 2021 35.0 Call / CUSIP: / Symbol: MAXN 09/17/21 C 35.000							
06/29/21	1.000	-16.32	06/28/21	0.00	...	-16.32	Short sale closed- option
HERMAN MILLER INC MLHR Jul 16 2021 50.0 Call / CUSIP: / Symbol: MLHR 07/16/21 C 50.000							
07/16/21	1.000	0.00	06/28/21	170.66	...	-170.66	Option expiration
ALTRIA GROUP INC MO Jul 16 2021 52.5 Call / CUSIP: / Symbol: MO 07/16/21 C 52.500							
07/16/21	1.000	0.00	06/04/21	27.66	...	-27.66	Option expiration
NIO INC NIO Dec 10 2021 35.0 Call / CUSIP: / Symbol: NIO 12/10/21 C 35.000							
12/08/21	5.000	221.69	12/08/21	248.30	...	-26.61	Option sale
NVIDIA CORP NVDA Dec 10 2021 325.0 Call / CUSIP: / Symbol: NVDA 12/10/21 C 325.000							
12/08/21	2.000	554.68	Various	509.32	...	45.36	Total of 2 transactions
NVIDIA CORP NVDA Dec 10 2021 335.0 Call / CUSIP: / Symbol: NVDA 12/10/21 C 335.000							
12/07/21	3.000	495.02	Various	526.98	...	-31.96	Total of 3 transactions
NVIDIA CORP NVDA Dec 10 2021 280.0 Put / CUSIP: / Symbol: NVDA 12/10/21 P 280.000							
12/06/21	1.000	769.34	12/06/21	750.66	...	18.68	Option sale
OPENDOOR TECHNOLOGIES INC OPEN Jun 18 2021 17.5 Call / CUSIP: / Symbol: OPEN 06/18/21 C 17.500							
06/03/21	1.000	65.34	06/03/21	65.66	...	-0.32	Option sale
NAKED BRAND GROUP LTD 1:15 R/S 12/22/21 Q6519V120 / CUSIP: Q6519T117 / Symbol:							
01/04/21	5,000.000	962.38	12/29/20	972.00	...	-9.62	Sale
06/02/21	50.000	35.39	02/11/21	96.25	60.86 W	0.00	Sale
	<b>Security total:</b>	<b>997.77</b>		<b>1,068.25</b>	<b>60.86 W</b>	<b>-9.62</b>	

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INVESCO QQQ TRUST QQQ Apr 09 2021 324.0 Call / CUSIP: / Symbol: QQQ 04/09/21 C 324.000							
04/01/21	2.000	658.67	03/31/21	501.33	...	157.34	Option sale
QUANTUMSCAPE CORP QS Feb 19 2021 65.0 Call / CUSIP: / Symbol: QS 02/19/21 C 65.000							
02/18/21	2.000	-182.66	02/17/21	0.00	...	-182.66	Short sale closed- option
REGENERON PHARMACEUTICALS INC REGN Jul 16 2021 490.0 Put / CUSIP: / Symbol: REGN 07/16/21 P 490.000							
07/16/21	1.000	605.34	07/16/21	0.00	...	605.34	Option expiration short position
REGENERON PHARMACEUTICALS INC REGN Jul 16 2021 500.0 Put / CUSIP: / Symbol: REGN 07/16/21 P 500.000							
07/16/21	1.000	0.00	06/09/21	870.66	...	-870.66	Option expiration
ROCKET COMPANIES INC RKT Jul 16 2021 22.0 Call / CUSIP: / Symbol: RKT 07/16/21 C 22.000							
07/16/21	2.000	0.00	06/14/21	247.33	...	-247.33	Option expiration
ROKU INC ROKU Apr 16 2021 410.0 Call / CUSIP: / Symbol: ROKU 04/16/21 C 410.000							
04/16/21	1.000	0.00	04/08/21	291.66	...	-291.66	Option expiration
ROOT INC ROOT Jul 16 2021 12.5 Call / CUSIP: / Symbol: ROOT 07/16/21 C 12.500							
07/16/21	2.000	0.00	06/29/21	131.33	...	-131.33	Option expiration
SHOPIFY INC SHOP Jul 16 2021 1190.0 Put / CUSIP: / Symbol: SHOP 07/16/21 P 1190.000							
07/16/21	2.000	2,529.67	07/16/21	0.00	...	2,529.67	Option expiration short position
SHOPIFY INC SHOP Jul 16 2021 1200.0 Put / CUSIP: / Symbol: SHOP 07/16/21 P 1200.000							
07/16/21	2.000	0.00	Various	2,837.32	...	-2,837.32	Option expiration
SNOWFLAKE INC SNOW Jul 16 2021 300.0 Call / CUSIP: / Symbol: SNOW 07/16/21 C 300.000							
07/12/21	5.000	396.67	07/12/21	303.32	...	93.35	Option sale
SUNPOWER CORP SPWR Aug 20 2021 30.0 Call / CUSIP: / Symbol: SPWR 08/20/21 C 30.000							
06/28/21	1.000	271.34	06/23/21	204.66	...	66.68	Option sale
SPDR S&P500 ETF TRUST SPY Jul 28 2021 435.0 Put / CUSIP: / Symbol: SPY 07/28/21 P 435.000							
07/27/21	47.000	4,139.67	Various	5,549.16	...	-1,409.49	Total of 4 transactions
07/27/21	56.000	7,189.69	Various	7,251.37	415.15 W	353.47	Total of 5 transactions
	<b>Security total:</b>	<b>11,329.36</b>		<b>12,800.53</b>	<b>415.15 W</b>	<b>-1,056.02</b>	

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TD Ameritrade Clearing, Inc.

Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CONSTELLATION BRANDS INC STZ Jul 02 2021 237.5 Call / CUSIP: / Symbol: STZ 07/02/21 C 237.500							
06/30/21	1.000	214.34	06/30/21	215.66	...	-1.32	Option sale
INVESCO EXCHANGE TRADED FUND T TAN Jun 18 2021 80.0 Call / CUSIP: / Symbol: TAN 06/18/21 C 80.000							
06/15/21	2.000	146.68	Various	441.33	...	-294.65	Total of 2 transactions
06/17/21	1.000	319.34	06/14/21	220.66	...	98.68	Option sale
	<b>Security total:</b>	<b>466.02</b>		<b>661.99</b>	...	<b>-195.97</b>	
INVESCO EXCHANGE TRADED FUND T TAN Jul 16 2021 85.0 Call / CUSIP: / Symbol: TAN 07/16/21 C 85.000							
06/23/21	1.000	249.34	06/23/21	210.66	...	38.68	Option sale
TESLA INC TSLA Jan 08 2021 790.0 Call / CUSIP: / Symbol: TSLA 01/08/21 C 790.000							
01/11/21	1.000	-7,081.35	01/08/21	0.00	...	-7,081.35	Short sale closed- option
TESLA INC TSLA Jun 04 2021 580.0 Put / CUSIP: / Symbol: TSLA 06/04/21 P 580.000							
06/02/21	2.000	430.68	Various	424.32	...	6.36	Total of 2 transactions
TESLA INC TSLA Dec 10 2021 1080.0 Call / CUSIP: / Symbol: TSLA 12/10/21 C 1080.000							
12/08/21	1.000	1,386.33	12/08/21	1,525.66	...	-139.33	Option sale
TESLA INC TSLA Dec 10 2021 1100.0 Call / CUSIP: / Symbol: TSLA 12/10/21 C 1100.000							
12/06/21	1.000	599.34	12/06/21	589.66	...	9.68	Option sale
12/07/21	2.000	2,083.67	Various	1,949.32	...	134.35	Total of 2 transactions
12/08/21	1.000	759.34	12/08/21	735.66	...	23.68	Option sale
	<b>Security total:</b>	<b>3,442.35</b>		<b>3,274.64</b>	...	<b>167.71</b>	
TESLA INC TSLA Dec 10 2021 1120.0 Call / CUSIP: / Symbol: TSLA 12/10/21 C 1120.000							
12/08/21	1.000	479.34	12/08/21	485.66	...	-6.32	Option sale
TESLA INC TSLA Dec 10 2021 1000.0 Put / CUSIP: / Symbol: TSLA 12/10/21 P 1000.000							
12/09/21	6.000	2,381.03	Various	2,550.96	...	-169.93	Total of 4 transactions
TESLA INC TSLA Dec 10 2021 1020.0 Put / CUSIP: / Symbol: TSLA 12/10/21 P 1020.000							
12/08/21	1.000	1,261.33	12/08/21	1,240.66	...	20.67	Option sale
12/09/21	2.000	2,055.67	Various	2,042.96	...	12.71	Total of 2 transactions
12/09/21	2.000	1,795.68	Various	1,952.32	156.64 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>5,112.68</b>		<b>5,235.94</b>	<b>156.64 W</b>	<b>33.38</b>	

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TD Ameritrade Clearing, Inc.

Account 490502734

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIACOMCBS INC VIAC Jul 16 2021 43.0 Call / CUSIP: / Symbol: VIAC 07/16/21 C 43.000							
06/28/21	2.000	928.67	06/25/21	405.33	...	523.34	Option sale
CONTEXTLOGIC INC WISH Jun 18 2021 15.0 Call / CUSIP: / Symbol: WISH 06/18/21 C 15.000							
06/18/21	3.000	0.00	06/09/21	538.99	...	-538.99	Option expiration
CONTEXTLOGIC INC WISH Jun 18 2021 20.0 Call / CUSIP: / Symbol: WISH 06/18/21 C 20.000							
06/18/21	3.000	340.00	06/18/21	0.00	...	340.00	Option expiration short position
CONTEXTLOGIC INC WISH Dec 10 2021 3.5 Call / CUSIP: / Symbol: WISH 12/10/21 C 3.500							
12/07/21	20.000	586.77	12/07/21	613.19	...	-26.42	Option sale
WORKHORSE GROUP INC WKHS Jul 16 2021 20.0 Call / CUSIP: / Symbol: WKHS 07/16/21 C 20.000							
07/16/21	1.000	0.00	06/28/21	149.66	...	-149.66	Option expiration
UNITED STATES STEEL CORP X Aug 20 2021 25.0 Call / CUSIP: / Symbol: X 08/20/21 C 25.000							
07/30/21	1.000	214.34	06/24/21	223.66	...	-9.32	Option sale
MAXEON SOLAR TECH LTD COM / CUSIP: Y58473102 / Symbol: MAXN							
06/24/21	20.000	397.20	06/11/21	388.60	...	8.60	Sale
06/25/21	200.000	4,236.22	06/24/21	4,164.82	...	71.40	Sale
	<b>Security total:</b>	<b>4,633.42</b>		<b>4,553.42</b>	...	<b>80.00</b>	
SEANERGY MARI HLDG COM / CUSIP: Y73760194 / Symbol: SHIP							
01/26/21	10,000.000	11,013.34	Various	14,900.00	1,935.95 W	-1,950.71	Total of 2 transactions
02/10/21	5,000.000	6,596.46	Various	8,185.95	...	-1,589.49	Total of 3 transactions
	<b>Security total:</b>	<b>17,609.80</b>		<b>23,085.95</b>	<b>1,935.95 W</b>	<b>-3,540.20</b>	
ZOOM VIDEO COMMUNICATIONS INC ZM Jun 11 2021 350.0 Call / CUSIP: / Symbol: ZM 06/11/21 C 350.000							
06/11/21	1.000	529.34	06/08/21	540.66	...	-11.32	Option sale
<b>Totals :</b>		<b>39,316,631.77</b>		<b>39,507,214.76</b>	<b>196,915.16 W</b>	<b>6,332.17</b>	

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TD Ameritrade Clearing, Inc.

Account 490502734

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/03/2022

**SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)**

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>Date acquired</b>	<b>Cost or other basis</b>	<b>Accrued mkt disc (D) &amp; Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
BP PRUDHOE BAY ROYALTY COM / CUSIP: 055630107 / Symbol: BPT / Note: BP							
01/05/21	400.000	1,097.29	12/10/20	1,667.59	...	-570.30	Sale
EVOLVE TRANSITION INFRASTRUCTU COM UNITS REP CL B LTD LIAB / CUSIP: 30053M104 / Symbol: SNMP							
07/21/21	100.000	161.99	07/21/21	148.00	...	13.99	Sale
NGL ENERGY PARTNERS LP COM UNIT REPSTG LTD PARTNER / CUSIP: 62913M107 / Symbol: NGL							
07/02/21	200.000	490.02	06/23/21	490.00	...	0.02	Sale
<b>Totals :</b>		<b>1,749.30</b>		<b>2,305.59</b>		<b>-556.29</b>	

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TD Ameritrade Clearing, Inc.

Account 490502734

**Detail for Dividends and Distributions**

2021

02/03/2022

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.*

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MERCK & CO INC COM	58933Y105 MRK		07/07/21	0.65	Qualified dividend	
QUALCOMM INC COM	747525103 QCOM		03/25/21 06/24/21	16.25 17.00	Qualified dividend Qualified dividend	
				<b>33.25</b>	<b>Total Dividends &amp; distributions</b>	
STEEL DYNAMICS INC COM	858119100 STLD		07/16/21	7.80	Qualified dividend	
UNITEDHEALTH GROUP INC COM	91324P102 UNH		12/14/21	29.00	Qualified dividend	
VIACOMCBS INC COM CL B	92556H206 VIAC		10/01/21	6.00	Qualified dividend	
				<b>76.70</b>	<b>Total Dividends &amp; distributions</b>	

TD Ameritrade Clearing, Inc.

Account 490502734

**Detail for Interest Income**

2021

02/03/2022

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
Interest Credited		02/26/21	0.07	Credit interest	
		06/30/21	0.44	Credit interest	
		07/30/21	0.21	Credit interest	
		08/31/21	0.21	Credit interest	
		09/30/21	0.16	Credit interest	
		10/29/21	0.08	Credit interest	
			1.17	Total Interest	
			<b>1.17</b>	<b>Total Interest</b>	

TD Ameritrade Clearing, Inc.

Account 490502734

**Section 1256 Contracts - Regulated Futures and Nonequity Options**

2021

02/03/2022

*This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.*

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
S&P 500 SPXW Dec 08 2021 4570.0 Put	SPXW 12/08/21 P 4570.000	12/08/21	2.00	-36.01	Realized profit/loss	
		12/08/21	1.00	-37.48	Realized profit/loss	
		12/08/21	17.00	-286.09	Realized profit/loss	
				<b>-359.58</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Dec 08 2021 4660.0 Put	SPXW 12/08/21 P 4660.000	12/09/21	1.00	27.52	Realized profit/loss	
		12/09/21	1.00	-12.48	Realized profit/loss	
		12/09/21	1.00	-172.48	Realized profit/loss	
				<b>-157.44</b>	<b>Total Realized profit/loss</b>	
CBOE MARKET VOLATILITY VIX Jul 21 2021 30.0	VIX 07/21/21 C 30.000	07/20/21	4.00	-186.68	Realized profit/loss	
CBOE MARKET VOLATILITY VIXW Feb 03 2021	VIXW 02/03/21 C 28.000	02/03/21	1.00	-251.11	Realized profit/loss	
CBOE MARKET VOLATILITY VIXW Feb 03 2021	VIXW 02/03/21 P 29.000	02/04/21	-1.00	-359.83	Realized profit/loss	
CBOE MARKET VOLATILITY VIXW Feb 03 2021	VIXW 02/03/21 P 30.000	02/04/21	-1.00	-434.96	Realized profit/loss	
CBOE MARKET VOLATILITY VIXW Feb 10 2021	VIXW 02/10/21 P 30.000	02/11/21	-1.00	-562.11	Realized profit/loss	
				<b>-2,311.71</b>	<b>Total Realized profit/loss</b>	
				<b>-2,311.71</b>	<b>Total Aggregate profit or (loss) on contracts</b>	

TD Ameritrade Clearing, Inc.

Account 490502734

**Fees and Expenses**

2021

02/03/2022

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
HARD TO BORROW FEE		10/29/21	-0.22	Miscellaneous fee	
REORG FEE Q6519T117		12/22/21	-38.00	Miscellaneous fee	
Interest Charged		01/29/21	-431.00	Margin interest paid	
		02/26/21	-25.08	Margin interest paid	
		03/31/21	-104.38	Margin interest paid	
		04/30/21	-94.47	Margin interest paid	
		05/28/21	-71.03	Margin interest paid	
		06/30/21	-1.94	Margin interest paid	
		07/30/21	-24.40	Margin interest paid	
		08/31/21	-9.86	Margin interest paid	
		09/30/21	-90.13	Margin interest paid	
		10/29/21	-34.21	Margin interest paid	
		11/30/21	-30.87	Margin interest paid	
		12/31/21	-27.26	Margin interest paid	
			-944.63	Total Margin interest paid	
JUMIA TECHNOLOGIES AG ADR SPONSORED	48138M105 JMIA	10/08/21	-1.50	Depository receipt fee	
NIO INC ADR	62914V106 NIO	06/09/21	-2.00	Depository receipt fee	
SOS LIMITED ADR	83587W106 SOS	05/10/21	-10.00	Depository receipt fee	
XPENG INC ADR	98422D105 XPEV	09/09/21	-4.00	Depository receipt fee	
			-17.50	<b>Total Depository receipt fee</b>	
			-38.22	<b>Total Miscellaneous fee</b>	
			-944.63	<b>Total Margin interest paid</b>	



TD Ameritrade Clearing, Inc.

**2021 End Notes for Account 490502734**

BP BP Prudhoe Bay Royalty Trust is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s). You may be entitled to a depletion allowance which can be determined by referencing the additional information published by the trustee at [bpt.q4web.com](http://bpt.q4web.com). If you can not access the information electronically and do not receive it from the trustee, you may request a hardcopy.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Instructions for Recipient**

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7. **Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you

notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

## Instructions for Recipient

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

### Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)

**Line 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

**Line 9.** Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2020.

**Line 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2021 in 2022.

**Line 11.** Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.

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