



FIDELITY BROKERAGE SERVICES LLC  
 P.O. Box 28019  
 Albuquerque, NM 87125-8019

# 2021 TAX REPORTING STATEMENT

SRINIVAS GOTTE

Account No. **X96-681916** Customer Service: 800-544-6666  
 Recipient ID No. **\*\*\*-\*\*-2807** Payer's Fed ID Number: 04-3523567

eDelivered

SRINIVAS GOTTE  
 5775 PARKWOOD BLVD APT 938  
 FRISCO TX 75034-7313

Payer's Name and Address:  
 NATIONAL FINANCIAL SERVICES LLC  
 499 WASHINGTON BLVD  
 JERSEY CITY, NJ 07310

**Form 1099-DIV \***

**2021 Dividends and Distributions**

Copy B for Recipient  
 (OMB No. 1545-0110)

1a Total Ordinary Dividends .....	2,815.89	7 Foreign Tax Paid.....	55.20
1b Qualified Dividends .....	2,815.89	8 Foreign Country or U.S. Possession.....	Various
2a Total Capital Gain Distributions .....	0.00	9 Cash Liquidation Distributions.....	0.00
2b Unrecap. Sec 1250 Gain.....	0.00	10 Non-Cash Liquidation Distributions.....	0.00
2c Section 1202 Gain.....	0.00	11 Exempt Interest Dividends .....	0.00
2d Collectibles (28%) Gain.....	0.00	12 Specified Private Activity Bond Interest Dividends.....	0.00
3 Nondividend Distributions .....	90.00	13 State .....	
<b>4 Federal Income Tax Withheld .....</b>	<b>0.00</b>	14 State Identification No. ....	
5 Section 199A Dividends .....	0.00	15 State Tax Withheld.....	0.00
6 Investment Expenses.....	0.00		

**Form 1099-INT \***

**2021 Interest Income**

Copy B for Recipient  
 (OMB No. 1545-0112)

1 Interest Income .....	0.16	10 Market Discount .....	0.00
2 Early Withdrawal Penalty .....	0.00	11 Bond Premium .....	0.00
3 Interest on U.S. Savings Bonds and Treas. Obligations .....	0.00	12 Bond Premium on U.S. Treasury Obligations .....	0.00
<b>4 Federal Income Tax Withheld .....</b>	<b>0.00</b>	13 Bond Premium on Tax-Exempt Bond .....	0.00
5 Investment Expenses .....	0.00	14 Tax-Exempt Bond CUSIP no. ....	
6 Foreign Tax Paid .....	0.00	15 State .....	
7 Foreign Country or U.S. Possession .....		16 State Identification No .....	
8 Tax-Exempt Interest .....	0.00	17 State Tax Withheld .....	0.00
9 Specified Private Activity Bond Interest .....	0.00		

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## Form 1099-MISC \*

## 2021 Miscellaneous Information

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(OMB No. 1545-0115)

2 Royalties .....	0.00	15 State Tax Withheld .....	0.00
3 Other Income .....	0.00	16 State/Payer's State No. ....	
<b>4 Federal Income Tax Withheld .....</b>	<b>0.00</b>	17 State Income .....	0.00
8 Substitute Payments in Lieu of Dividends or Interest .....	2.97		

## Summary of 2021 Proceeds From Broker and Barter Exchange Transactions

1099-B Section	Total Proceeds	Total Cost Basis	Total Market Discount	Total Wash Sales	Realized Gain/Loss	Federal Income Tax Withheld
Short-term transactions for which basis is <u>reported</u> to the IRS	475,201.64	481,162.08	0.00	4,546.14	-5,960.44	0.00
Short-term transactions for which basis is <u>not reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Long-term transactions for which basis is <u>reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Long-term transactions for which basis is <u>not reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Transactions for which basis is not reported to the IRS and Term is Unknown	0.00	0.00	0.00	0.00	0.00	0.00
	475,201.64	481,162.08	0.00	4,546.14	-5,960.44	0.00

1099-B amounts are reported individually to the IRS. Refer to the Form 1099-B sections in this statement, if applicable.

## Summary of 2021 Original Issue Discount

Total Original Issue Discount .....	0.00	Total Original Issue Discount - REMIC .....	0.00
Total Original Issue Discount on U.S. Treasury Obligations .....	0.00		
Total Original Issue Discount on Tax-Exempt Obligations .....	0.00		

Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Form 1099-OID pages in this statement, if applicable.

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FORM 1099-B\*

## 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
ADVANCED MICRO DEVICES INC, AMD, 007903107										
Sale	100.000	04/29/21	08/04/21	11,999.93	8,364.99			3,634.94		
AGEAGLE AERIAL SYS INC NEW COM, UAVS, 00848K101										
Sale	101.000	06/07/21	08/13/21	393.89	582.47			-188.58		
Sale	99.000	06/07/21	08/13/21	386.59	570.93			-184.34		
<b>Subtotals</b>				<b>780.48</b>	<b>1,153.40</b>					
AMAZON.COM INC, AMZN, 023135106										
Sale	1.000	12/28/20	03/29/21	3,081.66	3,288.97			-207.31		
Sale	1.000	12/28/20	04/08/21	3,313.98	3,288.97			25.01		
Sale	1.000	12/28/20	05/28/21	3,238.69	3,288.96		50.27	-50.27		
Sale	1.000	12/28/20	05/28/21	3,243.88	3,627.04			-383.16		
Sale	2.000	04/29/21	05/28/21	6,477.39	7,153.54			-676.15		
<b>Subtotals</b>				<b>19,355.60</b>	<b>20,647.48</b>		<b>50.27</b>			
AMC ENTERTAINMENT HOLDINGS INC, AMC, 00165C104										
Sale	1,800.000	01/19/21	01/27/21	34,361.24	5,013.00			29,348.24		
Sale	750.000	01/29/21	02/03/21	6,614.84	9,051.53		2,436.69	-2,436.69		
Sale	20.000	01/29/21	02/03/21	176.40	265.20		88.80	-88.80		
Sale	100.000	01/29/21	02/24/21	1,037.99	1,252.21		214.22	-214.22		
Sale	670.000	01/29/21	02/24/21	7,390.06	8,230.23		840.17	-840.17		
Sale	80.000	01/29/21	02/24/21	882.40	802.32			80.08		
Sale	20.000	01/29/21	02/24/21	220.60	224.40		3.80	-3.80		
Sale	230.000	02/03/21	02/24/21	2,536.89	2,078.05			458.84		

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FORM 1099-B\*

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AMC ENTERTAINMENT HOLDINGS INC, AMC, 00165C104										
Sale	500.000	02/24/21	02/24/21	5,514.96	4,062.50			1,452.46		
Sale	400.000	01/29/21	03/23/21	4,239.98	3,751.59			488.39		
Sale	290.000	02/03/21	03/23/21	3,073.98	2,937.50			136.48		
Sale	710.000	03/01/21	03/23/21	7,525.96	6,353.58			1,172.38		
Sale	19.000	03/09/21	12/20/21	549.87	187.15			362.72		
Sale	51.000	03/24/21	12/20/21	1,475.95	557.43			918.52		
<b>Subtotals</b>				<b>75,601.12</b>	<b>44,766.69</b>		<b>3,583.68</b>			
AMERICAN AIRLINES GROUP INC COM USD0.01, AAL, 02376R102										
Sale	250.000	12/25/20	12/01/21	4,153.13	4,218.34			-65.21		
Sale	250.000	01/05/21	12/01/21	4,153.12	3,896.56			256.56		
<b>Subtotals</b>				<b>8,306.25</b>	<b>8,114.90</b>					
APPLE INC, AAPL, 037833100										
Sale	49.000	12/28/20	03/29/21	5,933.37	6,681.15		747.78	-747.78		
Sale	1.000	12/28/20	05/28/21	125.44	136.35			-10.91		
Sale	49.000	01/11/21	05/28/21	6,146.53	7,205.37			-1,058.84		
Sale	50.000	04/12/21	05/28/21	6,271.96	6,589.38			-317.42		
<b>Subtotals</b>				<b>18,477.30</b>	<b>20,612.25</b>		<b>747.78</b>			
AQUABOUNTY TECHNOLOGIES INC COMNEW, AQB, 03842K200										
Sale	50.000	02/12/21	06/02/21	282.13	465.89			-183.76		

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FORM 1099-B\*

## 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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AT&T INC COM USD1, T, 00206R102										
Sale	100.000	08/13/21	12/31/21	2,467.99	2,822.00			-354.01		
Sale	400.000	08/13/21	12/31/21	9,871.94	11,286.64			-1,414.70		
<b>Subtotals</b>				<b>12,339.93</b>	<b>14,108.64</b>					
-----										
ATEA PHARMACEUTICALSINC COM, AVIR, 04683R106										
Sale	300.000	08/09/21	08/13/21	8,519.95	9,918.00			-1,398.05		
-----										
ATOSSA THERAPEUTICS INC COM, ATOS, 04962H506										
Sale	1,000.000	05/03/21	06/02/21	3,999.97	2,435.00			1,564.97		
-----										
BARCLAYS PLC ADR-EACH CV INTO 4 ORD STK, BCS, 06738E204										
Sale	100.000	08/09/21	12/08/21	997.49	1,039.93			-42.44		
-----										
BED BATH AND BEYOND COM USD0.01, BBY, 075896100										
Sale	500.000	02/01/21	02/16/21	13,576.49	15,300.00			-1,723.51		
Sale	100.000	06/02/21	06/09/21	3,425.98	3,764.00			-338.02		
Sale	34.000	06/02/21	06/09/21	1,164.15	1,279.76			-115.61		
Sale	16.000	06/02/21	06/09/21	547.99	602.24			-54.25		
<b>Subtotals</b>				<b>18,714.61</b>	<b>20,946.00</b>					
-----										
BEYONDSRING INC COMUSD0.0001, BYSI, G10830100										
Sale	100.000	08/04/21	08/05/21	2,256.98	2,634.17			-377.19		

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FORM 1099-B\*

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BLACKBERRY LTD COM ISIN #CA09228F1036 SE, BB, 09228F103										
Sale	40.000	06/07/21	08/13/21	400.20	617.80			-217.60		
Sale	50.000	06/14/21	08/13/21	500.25	720.25			-220.00		
Sale	10.000	06/14/21	08/13/21	100.04	144.25			-44.21		
<b>Subtotals</b>				<b>1,000.49</b>	<b>1,482.30</b>					
BRIACELL THERAPEUTICS CORP COM NPV(POST, BCTX, 10778Y302										
Sale	1,000.000	06/02/21	06/04/21	5,339.97	6,729.00			-1,389.03		
CARNIVAL CORP NEW COM USD0.01, CCL, 143658300										
Sale	30.000	02/24/21	11/29/21	537.81	838.65			-300.84		
Sale	75.000	03/03/21	11/29/21	1,344.52	2,159.87			-815.35		
Sale	375.000	03/15/21	11/29/21	6,722.59	11,103.75			-4,381.16		
Sale	20.000	03/15/21	11/29/21	358.53	595.60			-237.07		
Sale	170.000	02/24/21	08/19/21	3,767.18	4,752.35			-985.17		
Sale	50.000	02/24/21	09/02/21	1,178.99	1,397.75			-218.76		
Sale	50.000	02/24/21	09/03/21	1,199.99	1,397.75			-197.76		
Sale	100.000	02/24/21	09/22/21	2,316.98	2,795.50			-478.52		
Sale	100.000	02/24/21	09/30/21	2,487.99	2,795.50			-307.51		
<b>Subtotals</b>				<b>19,914.58</b>	<b>27,836.72</b>					
CINEDIGM CORP COM NEW, CIDM, 172406209										
Sale	8,000.000	01/27/21	02/05/21	14,041.28	10,877.60			3,163.68		

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FORM 1099-B\*

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CLOVER HEALTH INVESTMENTS CORP COMCL A, CLOV, 18914F103										
Sale	1,000.000	04/29/21	05/27/21	7,204.96	10,260.00			-3,055.04		
DIGITAL ALLY INC COMNEW, DGLY, 25382P208										
Sale	500.000	02/24/21	06/02/21	865.04	1,067.50			-202.46		
DRAGON VICTORY INTERNATIONAL LTD COM USD, LYL, G28365107										
Sale	2,000.000	04/13/21	04/14/21	2,769.98	3,620.00			-850.02		
EASTMAN KODAK CO COMNEW, KODK, 277461406										
Sale	250.000	05/27/21	06/08/21	2,719.98	1,856.30			863.68		
Sale	150.000	06/02/21	06/08/21	1,631.99	1,267.50			364.49		
<b>Subtotals</b>				<b>4,351.97</b>	<b>3,123.80</b>					
EBANG INTERNATIONAL HLDGS INC COM HKD0.0, EBON, G3R33A106										
Sale	900.000	06/09/21	08/13/21	2,249.98	3,303.00			-1,053.02		
EBAY INC. COM, EBAY, 278642103										
Sale	5.000	08/13/21	12/20/21	320.80	358.80			-38.00		
Sale	20.000	08/13/21	12/20/21	1,283.19	1,447.76			-164.57		
Sale	80.000	08/13/21	12/31/21	5,337.62	5,791.04			-453.42		
Sale	45.000	08/13/21	12/31/21	3,002.41	3,280.28			-277.87		
<b>Subtotals</b>				<b>9,944.02</b>	<b>10,877.88</b>					
ENGLOBAL CORPORATIONCOM USD0.001, ENG, 293306106										
Sale	1,000.000	06/09/21	06/14/21	2,723.08	3,060.00			-336.92		

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EQONEX LTD COM ISIN #SGXZ53262598, EQOS, Y2074E109										
Sale	500.000	10/29/21	11/22/21	2,259.98	2,885.00			-625.02		
EXPRESS INC, EXPR, 30219E103										
Sale	500.000	05/28/21	06/14/21	2,549.98	2,735.00			-185.02		
Sale	80.000	06/02/21	06/14/21	408.00	444.79			-36.79		
Sale	300.000	06/02/21	06/14/21	1,529.99	1,688.67			-158.68		
Sale	15.000	06/02/21	06/14/21	76.50	85.17			-8.67		
<b>Subtotals</b>				<b>4,564.47</b>	<b>4,953.63</b>					
FARMMI INC SHS ISIN #KYG332771073, FAMI, G33277107										
Sale	150.000	06/03/21	08/13/21	59.06	77.55			-18.49		
FORD MTR CO DEL COM, F, 345370860										
Sale	500.000	03/02/21	04/09/21	6,240.31	6,345.00			-104.69		
Sale	125.000	05/27/21	07/08/21	1,762.49	1,871.88			-109.39		
Sale	15.000	05/27/21	07/15/21	210.29	224.62			-14.33		
Sale	160.000	05/27/21	07/19/21	2,121.58	2,396.00			-274.42		
Sale	200.000	05/27/21	08/23/21	2,539.98	2,995.00			-455.02		
<b>Subtotals</b>				<b>12,874.65</b>	<b>13,832.50</b>					
FREELINE THERAPEUTICS HLDGS PLC SPON ADS, FRLN, 35655L107										
Sale	179.000	08/12/21	08/13/21	581.74	722.30		39.26	-140.56		
Sale	71.000	08/12/21	08/13/21	230.03	286.50			-56.47		

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FREELINE THERAPEUTICS HLDGS PLC SPON ADS, FRLN, 35655L107										
Sale	50.000	08/12/21	08/13/21	162.00	244.26			-82.26		
<b>Subtotals</b>				<b>973.77</b>	<b>1,253.06</b>		<b>39.26</b>			
IDEANOMICS INC COM USD0.001, IDEX, 45166V106										
Sale	2,000.000	04/27/21	08/13/21	4,599.97	5,630.00			-1,030.03		
INVESCO MORTGAGE CAPITAL INC, IVR, 46131B100										
Sale	100.000	06/09/21	08/05/21	318.25	419.50			-101.25		
Sale	900.000	06/09/21	08/05/21	2,864.23	3,771.00			-906.77		
<b>Subtotals</b>				<b>3,182.48</b>	<b>4,190.50</b>					
ITERUM THERAPEUTICS PLC COM USD0.01, ITRM, G6333L101										
Sale	500.000	05/28/21	08/13/21	350.74	739.95			-389.21		
Sale	200.000	05/28/21	08/13/21	140.30	294.96			-154.66		
<b>Subtotals</b>				<b>491.04</b>	<b>1,034.91</b>					
IZEA WORLDWIDE INC COM, IZEA, 46604H105										
Sale	100.000	04/13/21	04/14/21	441.00	560.00		119.00	-119.00		
Sale	1,800.000	04/13/21	04/14/21	7,937.95	10,080.00			-2,142.05		
Sale	100.000	04/13/21	04/14/21	441.00	678.00			-237.00		
<b>Subtotals</b>				<b>8,819.95</b>	<b>11,318.00</b>		<b>119.00</b>			
MACY S INC COM USD0.01, M, 55616P104										
Sale	10.000	11/16/20	01/27/21	179.01	78.10			100.91		
Sale	50.000	11/17/20	01/27/21	895.07	438.88			456.19		

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# 2021 TAX REPORTING STATEMENT

SRINIVAS GOTTE

Account No. **X96-681916** Customer Service: 800-544-6666  
Recipient ID No. **\*\*\*-\*\*-2807** Payer's Fed ID Number: 04-3523567

FORM 1099-B\*

## 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

**Short-term transactions for which basis is reported to the IRS** --report on Form 8949 with Box A checked and/or Schedule D, Part I  
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
MACY S INC COM USD0.01, M, 55616P104										
Sale	50.000	11/17/20	01/27/21	895.07	438.30			456.77		
Sale	9.000	11/17/20	01/27/21	161.11	79.11			82.00		
Sale	100.000	11/23/20	01/27/21	1,790.14	1,006.00			784.14		
Sale	100.000	11/25/20	01/27/21	1,790.14	1,089.58			700.56		
Sale	90.000	11/25/20	01/27/21	1,611.12	996.08			615.04		
Sale	2.000	12/03/20	01/27/21	35.81	22.00			13.81		
Sale	100.000	12/04/20	01/27/21	1,790.46	1,144.50			645.96		
Sale	200.000	12/04/20	01/27/21	3,580.92	2,305.32			1,275.60		
<b>Subtotals</b>				<b>12,728.85</b>	<b>7,597.87</b>					
MARATHON OIL CORP COM, MRO, 565849106										
Sale	45.000	08/25/21	10/08/21	719.99	533.48			186.51		
MICROSOFT CORP, MSFT, 594918104										
Sale	3.000	12/01/20	03/15/21	699.90	643.14			56.76		
Sale	47.000	12/28/20	03/15/21	10,965.04	10,580.17			384.87		
<b>Subtotals</b>				<b>11,664.94</b>	<b>11,223.31</b>					
NAKED BRAND GROUP LTD ORD SHS ISIN #AU00, NAKD, Q6519V120										
Sale	146.960	04/08/21	12/31/21	785.50	1,496.00			-710.50		
Sale	53.440	04/08/21	12/31/21	285.64	543.92			-258.28		
Sale	132.600	04/26/21	12/31/21	708.74	1,437.96			-729.22		
<b>Subtotals</b>				<b>1,779.88</b>	<b>3,477.88</b>					

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# 2021 TAX REPORTING STATEMENT

SRINIVAS GOTTE

Account No. **X96-681916** Customer Service: 800-544-6666  
 Recipient ID No. **\*\*\*-\*\*-2807** Payer's Fed ID Number: 04-3523567

FORM 1099-B\*

## 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

**Short-term transactions for which basis is reported to the IRS** --report on Form 8949 with Box A checked and/or Schedule D, Part I  
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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
NAKED BRAND GROUP LTD SHS NEW ISIN #AU00, Q6519T117										
Sale	700.000	01/27/21	02/01/21	1,071.05	854.91			216.14		
Sale	408.000	01/27/21	02/01/21	624.27	501.39			122.88		
Sale	392.000	01/27/21	02/01/21	599.79	482.16			117.63		
Sale	10,000.000	01/28/21	02/01/21	15,300.65	15,298.00			2.65		
Sale	2,400.000	02/10/21	06/16/21	1,658.63	3,564.00			-1,905.37		
Sale	91.000	02/10/21	06/21/21	57.89	135.14			-77.25		
Sale	509.000	02/10/21	06/21/21	325.66	755.86			-430.20		
Sale	1,800.000	04/08/21	06/21/21	1,151.63	1,224.00			-72.37		
<b>Subtotals</b>				<b>20,789.57</b>	<b>22,815.46</b>					
NANO DIMENSION LTD SPON ADS EA REPR 1 OR, NNDM, 63008G203										
Sale	550.000	06/14/21	08/13/21	3,277.98	4,360.07			-1,082.09		
Sale	40.000	06/14/21	08/13/21	238.40	317.40			-79.00		
Sale	10.000	06/14/21	08/13/21	59.60	79.50			-19.90		
<b>Subtotals</b>				<b>3,575.98</b>	<b>4,756.97</b>					
NEW RESIDENTIAL INVT CORP COM NPV, NRZ, 64828T201										
Sale	1,000.000	01/28/21	02/05/21	9,929.88	9,725.00			204.88		
NIO INC SPON ADS EACH REP 1 ORD SHS CLAS, NIO, 62914V106										
Sale	50.000	02/24/21	09/15/21	1,884.00	2,528.50			-644.50		
Sale	50.000	06/01/21	09/15/21	1,899.24	2,123.00			-223.76		
Sale	50.000	06/01/21	12/02/21	1,756.59	2,123.00			-366.41		
Sale	75.000	06/01/21	12/02/21	2,634.89	3,184.50			-549.61		

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# 2021 TAX REPORTING STATEMENT

SRINIVAS GOTTE

Account No. **X96-681916** Customer Service: 800-544-6666  
Recipient ID No. **\*\*\*-\*\*-2807** Payer's Fed ID Number: 04-3523567

FORM 1099-B\*

## 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

**Short-term transactions for which basis is reported to the IRS** --report on Form 8949 with Box A checked and/or Schedule D, Part I  
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
NIO INC SPON ADS EACH REP 1 ORD SHS CLAS, NIO, 62914V106										
Sale	75.000	06/01/21	12/02/21	2,634.89	3,185.25			-550.36		
Sale	150.000	10/18/21	12/02/21	5,269.77	5,822.54			-552.77		
Sale	100.000	10/18/21	12/02/21	3,513.18	3,885.00			-371.82		
Sale	50.000	10/19/21	12/02/21	1,756.59	2,009.00			-252.41		
Sale	50.000	11/22/21	12/02/21	1,756.59	2,106.00			-349.41		
Sale	50.000	11/22/21	12/02/21	1,756.59	2,139.41			-382.82		
Sale	100.000	11/22/21	12/02/21	3,513.18	4,263.00			-749.82		
<b>Subtotals</b>				<b>28,375.51</b>	<b>33,369.20</b>					
NORDSTROM INC COM NPV, JWN, 655664100										
Sale	10.000	11/16/20	01/14/21	360.76	193.56			167.20		
Sale	50.000	11/17/20	01/14/21	1,803.79	1,026.95			776.84		
Sale	20.000	11/17/20	01/14/21	721.52	410.94			310.58		
Sale	50.000	11/23/20	01/14/21	1,803.79	1,134.50			669.29		
Sale	100.000	11/25/20	01/14/21	3,607.59	2,815.00			792.59		
Sale	100.000	12/04/20	01/14/21	3,607.59	3,053.00			554.59		
<b>Subtotals</b>				<b>11,905.04</b>	<b>8,633.95</b>					
ORGANOVO HLDGS INC COM NEW, ONVO, 68620A203										
Sale	700.000	05/28/21	05/28/21	6,124.96	6,727.00			-602.04		
Sale	300.000	05/28/21	05/28/21	2,627.98	2,883.00			-255.02		
<b>Subtotals</b>				<b>8,752.94</b>	<b>9,610.00</b>					

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# 2021 TAX REPORTING STATEMENT

SRINIVAS GOTTE

Account No. **X96-681916** Customer Service: 800-544-6666  
 Recipient ID No. **\*\*\*-\*\*-2807** Payer's Fed ID Number: 04-3523567

FORM 1099-B\*

## 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
PETROLEO BRASILEIRO SA PETROBRAS SPON AD, PBR, 71654V408										
Sale	250.000	05/28/21	12/08/21	2,833.73	2,512.48			321.25		
Sale	250.000	05/28/21	12/08/21	2,832.51	2,512.47			320.04		
<b>Subtotals</b>				<b>5,666.24</b>	<b>5,024.95</b>					
RENESOLA LTD SPON ADS EACH REP 10 ORD, SOL, 75971T301										
Sale	268.000	02/10/21	02/18/21	4,546.89	6,799.16			-2,252.27		
Sale	232.000	02/10/21	02/18/21	3,936.12	5,888.16			-1,952.04		
Sale	199.000	02/10/21	02/18/21	3,376.24	5,054.60			-1,678.36		
Sale	51.000	02/10/21	02/18/21	865.26	1,293.36			-428.10		
<b>Subtotals</b>				<b>12,724.51</b>	<b>19,035.28</b>					
SECOND SIGHT MED PRODS INC COM NEW, EYES, 81362J209										
Sale	100.000	05/28/21	08/13/21	372.99	559.00			-186.01		
SMILEDIRECTCLUB INC CL A COM, SDC, 83192H106										
Sale	25.000	06/09/21	08/13/21	126.00	263.22			-137.22		
Sale	500.000	06/09/21	08/13/21	2,519.98	5,261.50			-2,741.52		
<b>Subtotals</b>				<b>2,645.98</b>	<b>5,524.72</b>					
SOS LIMITED SPON ADSEA REP 10 ORD SHS CL, SOS, 83587W106										
Sale	500.000	05/27/21	06/02/21	1,743.49	1,804.95		6.15	-61.46		
Sale	800.000	05/27/21	06/02/21	2,815.98	2,887.92			-71.94		
Sale	200.000	05/27/21	06/02/21	704.99	721.98			-16.99		

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# 2021 TAX REPORTING STATEMENT

SRINIVAS GOTTE

Account No. **X96-681916** Customer Service: 800-544-6666  
Recipient ID No. **\*\*\*-\*\*-2807** Payer's Fed ID Number: 04-3523567

FORM 1099-B\*

## 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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SOS LIMITED SPON ADSEA REP 10 ORD SHS CL, SOS, 83587W106										
Sale	50.000	05/29/21	08/13/21	140.00	191.15			-51.15		
<b>Subtotals</b>				<b>5,404.46</b>	<b>5,606.00</b>		<b>6.15</b>			
SPIRIT AIRLINES INC, SAVE, 848577102										
Sale	250.000	01/04/21	12/01/21	5,134.72	7,163.02			-2,028.30		
SUNDIAL GROWERS INC COM NPV ISIN #CA8673, SNDL, 86730L109										
Sale	2,000.000	05/18/21	12/31/21	1,160.19	1,565.40			-405.21		
TELADOC HEALTH INC COM, TDOC, 87918A105										
Sale	50.000	05/11/21	07/09/21	7,795.47	7,375.00			420.47		
Sale	50.000	05/11/21	11/22/21	5,574.47	7,375.00			-1,800.53		
<b>Subtotals</b>				<b>13,369.94</b>	<b>14,750.00</b>					
UXIN LIMITED ADS EACH REP 3 CL A ORD SHS, UXIN, 91818X108										
Sale	2,000.000	04/26/21	06/01/21	8,419.95	4,313.60			4,106.35		
VERTEX ENERGY INC, VTNR, 92534K107										
Sale	100.000	05/28/21	06/02/21	828.99	758.00			70.99		
Sale	100.000	05/28/21	06/02/21	828.99	769.50			59.49		
Sale	100.000	05/28/21	06/02/21	829.00	823.00			6.00		
<b>Subtotals</b>				<b>2,486.98</b>	<b>2,350.50</b>					
VINCO VENTURES INC COM, BBIG, 927330100										
Sale	500.000	11/09/21	11/29/21	1,507.39	2,244.55			-737.16		

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# 2021 TAX REPORTING STATEMENT

SRINIVAS GOTTE

Account No. **X96-681916** Customer Service: 800-544-6666  
 Recipient ID No. **\*\*\*-\*\*-2807** Payer's Fed ID Number: 04-3523567

FORM 1099-B\*

## 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
WINDTREE THERAPEUTICS INC COM, WINT, 97382D303										
Sale	500.000	06/04/21	06/09/21	1,204.99	1,569.45			-364.46		
WYNN RESORTS LTD, WYNN, 983134107										
Sale	20.000	08/05/21	09/15/21	1,723.59	1,955.40			-231.81		
Sale	15.000	08/05/21	09/16/21	1,263.74	1,466.55			-202.81		
Sale	65.000	08/05/21	11/29/21	5,417.72	6,355.05			-937.33		
Sale	35.000	10/19/21	11/29/21	2,917.23	3,209.15			-291.92		
<b>Subtotals</b>				<b>11,322.28</b>	<b>12,986.15</b>					
1847 GOEDEKER INC COM, GOED, 28252C109										
Sale	250.000	06/02/21	11/22/21	577.50	581.25			-3.75		
Sale	800.000	06/03/21	11/22/21	1,847.99	2,064.00			-216.01		
Sale	200.000	06/03/21	11/22/21	462.00	515.80			-53.80		
Sale	350.000	06/03/21	11/22/21	808.49	945.00			-136.51		
<b>Subtotals</b>				<b>3,695.98</b>	<b>4,106.05</b>					
<b>TOTALS</b>				<b>475,201.64</b>	<b>481,162.08</b>	<b>0.00</b>	<b>4,546.14</b>		<b>0.00</b>	
								<b>59,290.42</b>		
								<b>-65,250.86</b>		

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# 2021 TAX REPORTING STATEMENT

SRINIVAS GOTTE

Account No. **X96-681916** Customer Service: 800-544-6666  
Recipient ID No. **\*\*\*-\*\*-2807** Payer's Fed ID Number: 04-3523567

FORM 1099-B\*

## 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

For any transaction listed on Form 1099-B in a section indicating that "**basis is reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **2** type of gain or loss (i.e. short-term or long-term), **3** Proceeds from QOF (Qualified Opportunity Fund), **6** Gross or Net Proceeds, **12** basis reported to IRS, and columns **1b, 1c, 1d, 1e, 1f, 1g, 4, 7, 14, 15** and **16**. We are not reporting to the IRS: the Action, the Gain/Loss, and all subtotals and totals.

For any transaction listed on Form 1099-B in a section indicating that "**basis is not reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **3** Proceeds from QOF (Qualified Opportunity Fund), **5** Noncovered security, **6** Gross or Net Proceeds, and columns **1c, 1d, 4, 14, 15** and **16**. We are not reporting to the IRS: **2** type of gain or loss (i.e. short-term or long-term), the Action, the Gain/Loss, columns **1b, 1e, 1f, 1g, 2, 7** and **12** and all subtotals and totals.

For any section 1256 option contracts we are reporting to the IRS: **1a** Description of Property and totals for boxes **8, 9, 10** and **11**.

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns.

(b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments and unit investment trusts.

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# 2021 TAX REPORTING STATEMENT

SRINIVAS GOTTE

Account No. **X96-681916** Customer Service: 800-544-6666  
Recipient ID No. **\*\*\*-\*\*-2807** Payer's Fed ID Number: 04-3523567

## Summary of 2021 Supplemental Information Not Reported to the IRS

Margin Interest Paid .....	7,855.62	Currency Realized Gain/Loss (USD) .....	0.00
Tax Exempt Investment Expense .....	0.00	Actual Payment Shortfall.....	0.00
Accrued Interest Paid on Purchases .....	0.00	Addition to Basis .....	0.00
Proceeds Investment Expenses .....	0.00	Account Fees.....	11.58
Severance Tax .....	0.00	Short Dividends.....	0.00
Administrative Expenses .....	0.00	Money Market Realized Gain/Loss.....	0.00
Non-deductible Generic Expenses .....	0.00	Short/Long Term Realized Gain/Loss.....	0.00
Deductible Generic Expenses .....	0.00	Mortgage Pool Statement (MBS).....	0.00

**\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**



# 2021 SUPPLEMENTAL INFORMATION

SRINIVAS GOTTE

Account No. **X96-681916** Customer Service: 800-544-6666

Recipient ID No. **\*\*\*-\*\*-2807** Payer's Fed ID Number: 04-3523567

**Note: This information is not reported to the IRS. It may assist you in tax return preparation.**

## Details of 1099-DIV Transactions

### Total Ordinary Dividends and Distributions Detail

Description, Symbol, CUSIP								
Date	1a Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	1b Qualified Dividends	5 Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	7 Foreign Tax Paid
APPLE INC, AAPL, 037833100								
02/11/21	10.25			10.25				
05/13/21	22.22			22.22				
08/12/21	0.22			0.22				
11/11/21	0.22			0.22				
<b>Subtotals</b>	<b>32.91</b>			<b>32.91</b>				
-----								
AT&T INC COM USD1, T, 00206R102								
11/01/21	260.00			260.00				
-----								
BARCLAYS PLC ADR-EACH CV INTO 4 ORD STK, BCS, 06738E204								
09/17/21	11.07			11.07				
-----								
EBAY INC. COM, EBAY, 278642103								
09/17/21	27.00			27.00				
12/17/21	27.00			27.00				
<b>Subtotals</b>	<b>54.00</b>			<b>54.00</b>				
-----								
FORD MTR CO DEL COM, F, 345370860								
12/01/21	49.90			49.90				
-----								
MGM RESORTS INTERNATIONAL COM USD0.01, MGM, 552953101								
03/15/21	0.03			0.03				
06/15/21	0.03			0.03				
09/15/21	0.10			0.10				
12/15/21	0.10			0.10				
<b>Subtotals</b>	<b>0.26</b>			<b>0.26</b>				





# 2021 SUPPLEMENTAL INFORMATION

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## Details of 1099-DIV Transactions

### Total Ordinary Dividends and Distributions Detail

Description, Symbol, CUSIP								
Date	1a Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	1b Qualified Dividends	5 Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	7 Foreign Tax Paid
MICROSOFT CORP, MSFT, 594918104								
03/11/21	29.68			29.68				
06/10/21	57.68			57.68				
09/09/21	84.00			84.00				
12/09/21	107.89			107.89				
<b>Subtotals</b>	<b>279.25</b>			<b>279.25</b>				
-----								
NVIDIA CORPORATION COM, NVDA, 67066G104								
09/23/21	1.80			1.80				
12/23/21	2.20			2.20				
<b>Subtotals</b>	<b>4.00</b>			<b>4.00</b>				
-----								
PETROLEO BRASILEIRO SA PETROBRAS SPON, PBR, 71654V408								
09/01/21	612.90			612.90				
12/22/21	1,133.45			1,133.45				55.20
<b>Subtotals</b>	<b>1,746.35</b>			<b>1,746.35</b>				<b>55.20</b>
-----								
WALMART INC COM, WMT, 931142103								
04/05/21	1.05			1.05				
06/01/21	1.05			1.05				
09/07/21	1.05			1.05				
<b>Subtotals</b>	<b>3.15</b>			<b>3.15</b>				
-----								
WELLS FARGO CO NEW COM, WFC, 949746101								
03/01/21	50.00			50.00				
06/01/21	65.00			65.00				
09/01/21	130.00			130.00				
12/01/21	130.00			130.00				
<b>Subtotals</b>	<b>375.00</b>			<b>375.00</b>				
-----								



# 2021 SUPPLEMENTAL INFORMATION

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Recipient ID No. **\*\*\*-\*\*-2807** Payer's Fed ID Number: 04-3523567

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## Details of 1099-DIV Transactions

### Total Ordinary Dividends and Distributions Detail

Description, Symbol, CUSIP								
Date	1a Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	1b Qualified Dividends	5 Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	7 Foreign Tax Paid
<b>TOTALS</b>	<b>2,815.89</b>	<b>0.00</b>	<b>0.00</b>	<b>2,815.89</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>55.20</b>

Short-term capital gain distributions reported on monthly/quarterly account statements are included in **1a** Total Ordinary Dividends on Form 1099-DIV.

To see the **2021** State Percentages of Tax-Exempt Income for Fidelity Federal Tax-Exempt Funds or the Percentage of Income from U.S. Government Securities for applicable Fidelity Funds, visit [Fidelity.com/fundtaxinfo](https://www.fidelity.com/fundtaxinfo).

### Other Distributions, Tax and Expense Detail

Description, Symbol, CUSIP								
Date	3 Non Dividend Distribution	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld	6 Investment Expenses (n)	9 Cash Liquidation Distribution	10 Noncash Liquidation Distribution	
INVESCO MORTGAGE CAPITAL INC, IVR, 46131B100								
07/27/21	90.00							
<b>TOTALS</b>	<b>90.00</b>	<b>0.00</b>			<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

Dividends and other distributions sometimes must be reclassified by the issuer. As a result, we may be required to send you a corrected Tax Reporting Statement that may affect the information you report on your tax return.

(n) Reported widely held fixed investment trust dividend amounts include expenses which were not distributed to trust holders. Those amounts are listed separately in **1a** Total ordinary dividends. The equivalent expense amounts are also detailed in the supplemental (1099-DIV) Other Distribution, Tax, and Expense Details, column **6**. Tax exempt interest dividend expenses are listed as separate entries in column **11** and in the separate Tax-Exempt Interest Expenses section shown later in this statement.





# 2021 SUPPLEMENTAL INFORMATION

SRINIVAS GOTTE

Account No. **X96-681916** Customer Service: 800-544-6666

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## Details of 1099-INT Transactions

### Interest Income Details, Taxable Obligations

Description, Symbol, CUSIP	1 Interest Income	6 Foreign Tax Paid	11 Bond Premium	Noncovered Bond Premium	10 Market Discount	Noncovered Market Discount
CASH, FCASH, 315994103						
01/29/21	0.02					
02/26/21	0.11					
03/31/21	0.03					
<b>Subtotals</b>	<b>0.16</b>					
<b>TOTALS</b>	<b>0.16</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>



# 2021 SUPPLEMENTAL INFORMATION

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## Details of 1099-MISC Transactions

### Royalties, Substitute Payments, Withholdings

Description, Symbol, CUSIP								
Date	2 Royalties	4 Federal Tax Withheld	8 Substitute Payments in Lieu of Dividend or Interest			15 State Tax Withheld	16 State/Payer's State No.	17 State Income
			Eligible for Credit Adjustment (t)	Not Eligible for Credit Adjustment	Total			
FORD MTR CO DEL COM, F, 345370860								
12/01/21			0.10		0.10			
MICROSOFT CORP, MSFT, 594918104								
12/09/21			0.61		0.61			
PETROLEO BRASILEIRO SA PETROBRAS SPON, PBR, 71654V408								
12/22/21			2.26		2.26			
<b>TOTALS</b>	<b>0.00</b>	<b>0.00</b>	<b>2.97</b>	<b>0.00</b>	<b>2.97</b>	<b>0.00</b>		<b>0.00</b>

(t) Eligible customers may receive an annual credit adjustment in **2022** to help offset any additional federal tax burden resulting from the receipt of a substitute payment in lieu of a qualified dividend in **2021**.





# 2021 SUPPLEMENTAL INFORMATION

SRINIVAS GOTTE

Account No. **X96-681916** Customer Service: 800-544-6666

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# 2021 SUPPLEMENTAL INFORMATION

SRINIVAS GOTTE

Account No. **X96-681916** Customer Service: 800-544-6666  
Recipient ID No. **\*\*\*-\*\*-2807** Payer's Fed ID Number: 04-3523567

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## Account Fees

Description	Date	Amount
BARCLAYS PLC ADR-EACH CV INTO 4 ORD STK	09/17/21	0.29
LI AUTO INC SPN ADS ECH REP 2 ORD SHS CL	10/11/21	0.30
NIO INC SPON ADS EACH REP 1 ORD SHS	05/11/21	1.00
PETROLEO BRASILEIRO SA PETROBRAS SPON	09/01/21	5.00
PETROLEO BRASILEIRO SA PETROBRAS SPON	12/22/21	2.99
PETROLEO BRASILEIRO SA PETROBRAS SPON	12/22/21	2.00
<b>TOTAL</b>		<b>11.58</b>

## Margin Interest Paid

Description	Date	Amount
MARGIN INTEREST @ 6.825% AVG B	06/20/21	803.31
MARGIN INTEREST @ 6.825% AVG B	07/20/21	796.81
MARGIN INTEREST @ 6.825% AVG B	08/22/21	902.66
MARGIN INTEREST @ 6.825% AVG B	09/20/21	984.72
MARGIN INTEREST @ 6.825% AVG B	10/20/21	976.32
MARGIN INTEREST @ 6.825% AVG B	11/21/21	1,247.46
MARGIN INTEREST @ 6.825% AVG B	12/20/21	1,172.13
MARGIN INTEREST @ 6.825% AVG B	12/30/21	392.30
MARGIN INTEREST @ 6.875% AVG B	05/20/21	514.46
MARGIN INTEREST @ 7.825% AVG B	04/20/21	65.45
<b>TOTAL</b>		<b>7,855.62</b>







# 2021 SUPPLEMENTAL INFORMATION

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## Foreign Income and Taxes Summary

*This schedule lists all income and foreign tax by country. Foreign income and tax from mutual funds is listed in a separate section with a country designation of "RIC"*

### Equities and Bonds

Country	Security description	CUSIP	Dividends		Interest	Total Foreign Income	Foreign Tax Paid
			Nonqualified	Qualified			
<b>BR</b>							
	PETROLEO BRASILEIRO SA PETROBRAS SPON	71654V408	0.00	1,746.35	0.00	1,746.35	55.20
<b>Total BRAZIL</b>			<b>0.00</b>	<b>1,746.35</b>	<b>0.00</b>	<b>1,746.35</b>	<b>55.20</b>
<b>TOTAL Equities and Bonds</b>			<b>0.00</b>	<b>1,746.35</b>	<b>0.00</b>	<b>1,746.35</b>	<b>55.20</b>

### RICs

Country	Security description	CUSIP	Dividends		Interest	Total Foreign Income	Foreign Tax Paid
			Nonqualified	Qualified			
<b>RIC</b>							
<b>TOTAL RICs</b>			<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

*Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.*

*N/A - Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.*

**Important Tax Return Document Enclosed.**

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