

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 821233798</b>	Statement Date: 02/11/2022 Document ID: 213V 139 CE25	2021
	Varun Mendu 6033 Merlot Ln SE Lacey, WA 98513  RECIPIENT'S TIN: XXX-XX-0950		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      25.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	569,030.75	605,527.82	0.00	12,740.34	-23,756.73
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>569,030.75</b>	<b>605,527.82</b>	<b>0.00</b>	<b>12,740.34</b>	<b>-23,756.73</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>569,030.75</b>	<b>605,527.82</b>	<b>0.00</b>	<b>12,740.34</b>	<b>-23,756.73</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 821233798

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	97.56
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 07/16/2021 PUT \$20.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	06/11/21	103.00	...	-103.00	Option expiration- long put
OCGN 07/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	6.000	0.00	06/11/21	768.00	...	-768.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/11/21	120.00	...	-120.00	2 of 2 - Option expiration- long call
07/16/21	7.000	0.00	Various	888.00	...	-888.00	Total of 2 transactions
SNDL 03/26/2021 CALL \$1.50 / CUSIP: / Symbol:							
2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	11.000	0.00	03/22/21	55.00	...	-55.00	1 of 2 - Option expiration- long call
	7.000	0.00	03/22/21	35.00	...	-35.00	2 of 2 - Option expiration- long call
03/26/21	18.000	0.00	Various	90.00	...	-90.00	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOS 03/05/2021 CALL \$7.00 / CUSIP: / Symbol:							
4 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	1.000	160.00	03/03/21	150.00	...	10.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	160.00	03/03/21	150.00	...	10.00	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	319.99	03/03/21	300.00	...	19.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	319.99	03/03/21	300.00	...	19.99	4 of 4 - Option sale to close-call <sup>25</sup>
03/03/21	6.000	959.98	Various	900.00	...	59.98	Total of 4 transactions
SOS 03/19/2021 CALL \$9.00 / CUSIP: / Symbol:							
15 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	47.000	0.00	03/15/21	4,465.00	...	-4,465.00	1 of 15 - Option expiration- long call
	16.000	0.00	03/15/21	1,520.00	...	-1,520.00	2 of 15 - Option expiration- long call
	5.000	0.00	03/15/21	475.00	...	-475.00	3 of 15 - Option expiration- long call
	4.000	0.00	03/15/21	380.00	...	-380.00	4 of 15 - Option expiration- long call
	3.000	0.00	03/15/21	285.00	...	-285.00	5 of 15 - Option expiration- long call
	3.000	0.00	03/15/21	285.00	...	-285.00	6 of 15 - Option expiration- long call
	3.000	0.00	03/15/21	285.00	...	-285.00	7 of 15 - Option expiration- long call
	2.000	0.00	03/15/21	190.00	...	-190.00	8 of 15 - Option expiration- long call
	1.000	0.00	03/15/21	95.00	...	-95.00	9 of 15 - Option expiration- long call
	1.000	0.00	03/15/21	95.00	...	-95.00	10 of 15 - Option expiration- long call
	1.000	0.00	03/15/21	95.00	...	-95.00	11 of 15 - Option expiration- long call
	1.000	0.00	03/15/21	95.00	...	-95.00	12 of 15 - Option expiration- long call
	1.000	0.00	03/15/21	95.00	...	-95.00	13 of 15 - Option expiration- long call

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOS 03/19/2021 CALL \$9.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	03/15/21	95.00	...	-95.00	14 of 15 - Option expiration- long call
	1.000	0.00	03/15/21	95.00	...	-95.00	15 of 15 - Option expiration- long call
03/19/21	90.000	0.00	Various	8,550.00	...	-8,550.00	Total of 15 transactions
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
4 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	0.317	0.96	01/19/21	0.96	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.142	0.43	01/19/21	0.43	...	0.00	2 of 4 - Sale <sup>25</sup>
	0.758	2.28	01/20/21	2.28	...	0.00	3 of 4 - Sale <sup>25</sup>
	340.733	1,026.06	01/20/21	1,025.03	...	1.03	4 of 4 - Sale <sup>25</sup>
01/21/21	341.950	1,029.73	Various	1,028.70	...	1.03	Total of 4 transactions
01/21/21	345.566	1,040.60	01/19/21	1,046.65	6.05 W	0.00	Sale <sup>25</sup>
5 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.161	2.97	01/25/21	0.75	...	2.22	1 of 5 - Sale <sup>25</sup>
	0.181	3.34	01/25/21	0.84	...	2.50	2 of 5 - Sale <sup>25</sup>
	0.659	12.16	01/25/21	3.07	...	9.09	3 of 5 - Sale <sup>25</sup>
	15.839	292.10	01/25/21	73.62	...	218.48	4 of 5 - Sale <sup>25</sup>
	344.745	6,357.79	01/25/21	1,608.52	...	4,749.27	5 of 5 - Sale <sup>25</sup>
01/27/21	361.586	6,668.36	Various	1,686.80	...	4,981.56	Total of 5 transactions
	<b>Security total:</b>	<b>8,738.69</b>		<b>3,762.15</b>	<b>6.05 W</b>	<b>4,982.59</b>	
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
8 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.011	0.28	01/27/21	0.23	...	0.05	1 of 8 - Sale <sup>25</sup>
	0.107	2.87	01/27/21	2.31	...	0.56	2 of 8 - Sale <sup>25</sup>
	0.117	3.14	01/27/21	2.56	...	0.58	3 of 8 - Sale <sup>25</sup>
	0.470	12.63	01/27/21	10.30	...	2.33	4 of 8 - Sale <sup>25</sup>
	18.988	510.56	01/27/21	412.79	...	97.77	5 of 8 - Sale <sup>25</sup>
	27.000	726.01	01/27/21	586.98	...	139.03	6 of 8 - Sale <sup>25</sup>
	69.962	1,881.21	01/27/21	1,535.45	...	345.76	7 of 8 - Sale <sup>25</sup>
	92.145	2,477.71	01/27/21	1,997.69	...	480.02	8 of 8 - Sale <sup>25</sup>
01/27/21	208.797	5,614.41	Various	4,548.31	...	1,066.10	Total of 8 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
02/08/21	42.738	727.60	01/13/21	829.55	...	-101.95	Sale <sup>25</sup>
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
3 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	0.009	0.97	07/08/21	0.87	...	0.10	1 of 3 - Sale <sup>25</sup>
	0.044	4.84	07/08/21	4.35	...	0.49	2 of 3 - Sale <sup>25</sup>
	5.991	651.52	07/08/21	586.89	...	64.63	3 of 3 - Sale <sup>25</sup>
07/09/21	6.044	657.33	Various	592.11	...	65.22	Total of 3 transactions
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
5 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	121.000	4,114.50	02/23/21	5,257.44	...	-1,142.94	1 of 5 - Sale <sup>25</sup>
	0.278	9.44	02/23/21	17.30	...	-7.86	2 of 5 - Sale <sup>25</sup>
	0.387	13.16	02/23/21	20.47	...	-7.31	3 of 5 - Sale <sup>25</sup>
	0.216	7.33	02/23/21	11.41	...	-4.08	4 of 5 - Sale <sup>25</sup>
	0.120	4.07	02/23/21	7.46	...	-3.39	5 of 5 - Sale <sup>25</sup>
02/24/21	122.000	4,148.50	Various	5,314.08	...	-1,165.58	Total of 5 transactions
6 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	144.387	4,909.03	02/23/21	6,273.60	3.66 W	-1,360.91	1 of 6 - Sale <sup>25</sup>
	0.216	7.33	02/23/21	9.37	2.04 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.278	9.44	02/23/21	12.06	2.62 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.120	4.07	02/23/21	5.20	1.13 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.120	4.07	02/23/21	6.33	2.26 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.278	9.44	02/23/21	14.68	5.24 W	0.00	6 of 6 - Sale <sup>25</sup>
02/24/21	145.397	4,943.38	Various	6,321.24	16.95 W	-1,360.91	Total of 6 transactions
	<b>Security total:</b>	<b>9,091.88</b>		<b>11,635.32</b>	<b>16.95 W</b>	<b>-2,526.49</b>	
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
4 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.509	15.11	02/03/21	14.82	...	0.29	1 of 4 - Sale <sup>25</sup>
	0.816	24.25	02/03/21	23.73	...	0.52	2 of 4 - Sale <sup>25</sup>
	34.972	1,038.83	02/03/21	1,019.55	...	19.28	3 of 4 - Sale <sup>25</sup>
	302.519	8,986.15	02/03/21	8,802.98	...	183.17	4 of 4 - Sale <sup>25</sup>
02/03/21	338.816	10,064.34	Various	9,861.08	...	203.26	Total of 4 transactions

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Robinhood Securities LLC

Account 821233798

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DENISON MINES CORP / CUSIP: 248356107 / Symbol:							
<i>9 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	0.150	0.22	02/16/21	0.21	...	0.01	1 of 9 - Sale <sup>25</sup>
	0.706	1.03	02/16/21	1.01	...	0.02	2 of 9 - Sale <sup>25</sup>
	299.294	435.43	02/16/21	427.99	...	7.44	3 of 9 - Sale <sup>25</sup>
	600.000	872.91	02/16/21	858.00	...	14.91	4 of 9 - Sale <sup>25</sup>
	800.000	1,163.87	02/16/21	1,144.00	...	19.87	5 of 9 - Sale <sup>25</sup>
	1,000.000	1,454.84	02/16/21	1,430.00	...	24.84	6 of 9 - Sale <sup>25</sup>
	1,000.000	1,454.84	02/16/21	1,430.00	...	24.84	7 of 9 - Sale <sup>25</sup>
	4,002.000	5,802.29	02/16/21	5,722.86	...	79.43	8 of 9 - Sale <sup>25</sup>
	15,300.000	22,182.68	02/16/21	21,879.00	...	303.68	9 of 9 - Sale <sup>25</sup>
02/16/21	23,002.150	33,368.11	Various	32,893.07	...	475.04	Total of 9 transactions
<i>33 transactions for 02/19/21. Total proceeds and cost reported to the IRS.</i>							
	6,328.137	7,845.95	02/17/21	9,990.23	...	-2,144.28	1 of 33 - Sale <sup>25</sup>
	3,400.000	4,215.49	02/17/21	5,236.00	...	-1,020.51	2 of 33 - Sale <sup>25</sup>
	2,965.325	3,676.56	02/17/21	4,566.60	...	-890.04	3 of 33 - Sale <sup>25</sup>
	500.000	619.93	02/17/21	770.00	...	-150.07	4 of 33 - Sale <sup>25</sup>
	499.798	619.68	02/17/21	769.69	...	-150.01	5 of 33 - Sale <sup>25</sup>
	300.000	371.96	02/17/21	469.50	...	-97.54	6 of 33 - Sale <sup>25</sup>
	200.000	247.97	02/17/21	313.00	...	-65.03	7 of 33 - Sale <sup>25</sup>
	200.000	247.97	02/17/21	313.00	...	-65.03	8 of 33 - Sale <sup>25</sup>
	200.000	247.97	02/17/21	308.00	...	-60.03	9 of 33 - Sale <sup>25</sup>
	200.000	247.97	02/17/21	308.00	...	-60.03	10 of 33 - Sale <sup>25</sup>
	200.000	247.97	02/17/21	308.00	...	-60.03	11 of 33 - Sale <sup>25</sup>
	200.000	247.97	02/17/21	308.00	...	-60.03	12 of 33 - Sale <sup>25</sup>
	200.000	247.97	02/17/21	308.00	...	-60.03	13 of 33 - Sale <sup>25</sup>
	200.000	247.97	02/17/21	308.00	...	-60.03	14 of 33 - Sale <sup>25</sup>
	200.000	247.97	02/17/21	308.00	...	-60.03	15 of 33 - Sale <sup>25</sup>
	200.000	247.97	02/17/21	308.00	...	-60.03	16 of 33 - Sale <sup>25</sup>
	200.000	247.97	02/17/21	308.00	...	-60.03	17 of 33 - Sale <sup>25</sup>
	200.000	247.97	02/17/21	308.00	...	-60.03	18 of 33 - Sale <sup>25</sup>
	200.000	247.97	02/17/21	308.00	...	-60.03	19 of 33 - Sale <sup>25</sup>
	200.000	247.97	02/17/21	308.00	...	-60.03	20 of 33 - Sale <sup>25</sup>
	150.000	185.98	02/17/21	231.00	...	-45.02	21 of 33 - Sale <sup>25</sup>
	121.534	150.68	02/17/21	190.20	...	-39.52	22 of 33 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 821233798

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DENISON MINES CORP / CUSIP: 248356107 / Symbol: (cont'd)							
	100.000	123.98	02/17/21	156.50	...	-32.52	23 of 33 - Sale <sup>25</sup>
	100.000	123.99	02/17/21	156.50	...	-32.51	24 of 33 - Sale <sup>25</sup>
	100.000	123.99	02/17/21	154.00	...	-30.01	25 of 33 - Sale <sup>25</sup>
	100.000	123.99	02/17/21	154.00	...	-30.01	26 of 33 - Sale <sup>25</sup>
	100.000	123.99	02/17/21	154.00	...	-30.01	27 of 33 - Sale <sup>25</sup>
	100.000	123.99	02/17/21	154.00	...	-30.01	28 of 33 - Sale <sup>25</sup>
	95.000	117.79	02/17/21	146.30	...	-28.51	29 of 33 - Sale <sup>25</sup>
	92.798	115.06	02/17/21	142.91	...	-27.85	30 of 33 - Sale <sup>25</sup>
	29.000	35.96	02/17/21	44.66	...	-8.70	31 of 33 - Sale <sup>25</sup>
	6.206	7.69	02/17/21	9.77	...	-2.08	32 of 33 - Sale <sup>25</sup>
	0.202	0.25	02/17/21	0.37	...	-0.12	33 of 33 - Sale <sup>25</sup>
02/19/21	17,888.000	22,178.49	Various	27,818.23	...	-5,639.74	Total of 33 transactions
02/19/21	0.202	0.25	02/17/21	0.31	0.06 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>55,546.85</b>		<b>60,711.61</b>	<b>0.06 W</b>	<b>-5,164.70</b>	
DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol:							
3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	59.640	162.21	01/29/21	175.66	...	-13.45	1 of 3 - Sale <sup>25</sup>
	40.000	108.80	01/29/21	115.41	...	-6.61	2 of 3 - Sale <sup>25</sup>
	0.252	0.69	01/29/21	0.76	...	-0.07	3 of 3 - Sale <sup>25</sup>
02/08/21	99.892	271.70	Various	291.83	...	-20.13	Total of 3 transactions
7 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	0.360	0.98	01/29/21	1.06	0.06 W	-0.02	1 of 7 - Sale <sup>25</sup>
	0.360	0.98	01/29/21	1.00	0.02 W	0.00	2 of 7 - Sale <sup>25</sup>
	99.640	271.01	01/29/21	276.50	5.49 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.360	0.98	01/29/21	1.02	0.04 W	0.00	4 of 7 - Sale <sup>25</sup>
	99.640	271.01	01/29/21	281.99	10.98 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.360	0.98	01/29/21	1.04	0.06 W	0.00	6 of 7 - Sale <sup>25</sup>
	59.640	162.21	01/29/21	172.07	9.86 W	0.00	7 of 7 - Sale <sup>25</sup>
02/08/21	260.360	708.15	Various	734.68	26.51 W	-0.02	Total of 7 transactions
	<b>Security total:</b>	<b>979.85</b>		<b>1,026.51</b>	<b>26.51 W</b>	<b>-20.15</b>	

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Robinhood Securities LLC

Account 821233798

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
3 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	0.477	20.19	12/16/20	27.92	7.73 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.104	4.42	12/16/20	6.11	1.69 W	0.00	2 of 3 - Sale <sup>25</sup>
	23.887	1,011.63	12/16/20	1,402.60	390.97 W	0.00	3 of 3 - Sale <sup>25</sup>
01/05/21	24.468	1,036.24	Various	1,436.63	400.39 W	0.00	Total of 3 transactions
01/11/21	42.852	2,083.29	01/11/21	2,025.99	...	57.30	Sale <sup>25</sup>
4 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	0.320	15.60	01/11/21	20.33	4.73 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.104	5.07	01/11/21	6.62	1.55 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.157	7.61	01/11/21	9.94	2.33 W	0.00	3 of 4 - Sale <sup>25</sup>
	23.887	1,161.27	01/11/21	1,520.31	359.04 W	0.00	4 of 4 - Sale <sup>25</sup>
01/11/21	24.468	1,189.55	Various	1,557.20	367.65 W	0.00	Total of 4 transactions
01/14/21	67.748	3,832.42	01/14/21	3,756.64	...	75.78	Sale <sup>25</sup>
4 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	0.104	5.90	01/14/21	7.34	1.44 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.157	8.86	01/14/21	11.01	2.15 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.320	18.12	01/14/21	22.49	4.37 W	0.00	3 of 4 - Sale <sup>25</sup>
	23.887	1,351.24	01/14/21	1,683.56	332.32 W	0.00	4 of 4 - Sale <sup>25</sup>
01/14/21	24.468	1,384.12	Various	1,724.40	340.28 W	0.00	Total of 4 transactions
01/25/21	37.428	1,923.37	01/22/21	1,821.55	...	101.82	Sale <sup>25</sup>
6 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.104	5.36	01/20/21	7.17	1.81 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.157	8.05	01/20/21	10.75	2.70 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.320	16.46	01/20/21	21.96	5.50 W	0.00	3 of 6 - Sale <sup>25</sup>
	17.796	914.50	01/20/21	1,224.92	310.42 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.637	32.71	01/22/21	39.75	7.04 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.455	280.30	01/22/21	341.34	61.04 W	0.00	6 of 6 - Sale <sup>25</sup>
01/25/21	24.468	1,257.38	Various	1,645.89	388.51 W	0.00	Total of 6 transactions
01/28/21	6.371	321.40	01/27/21	309.87	...	11.53	Sale <sup>25</sup>
7 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	0.019	0.95	01/27/21	1.24	0.29 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.104	5.26	01/27/21	6.89	1.63 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.157	7.90	01/27/21	10.32	2.42 W	0.00	3 of 7 - Sale <sup>25</sup>

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Account 821233798

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	0.301	15.20	01/27/21	19.84	4.64 W	0.00	4 of 7 - Sale <sup>25</sup>
	17.796	897.78	01/27/21	1,176.00	278.22 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.637	32.11	01/27/21	38.00	5.89 W	0.00	6 of 7 - Sale <sup>25</sup>
	5.455	275.18	01/27/21	326.35	51.17 W	0.00	7 of 7 - Sale <sup>25</sup>
01/28/21	24.468	1,234.38	Various	1,578.64	344.26 W	0.00	Total of 7 transactions
	10 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	17.796	907.83	02/02/21	1,174.06	...	-266.23	1 of 10 - Sale <sup>25</sup>
	5.455	278.26	02/02/21	325.75	...	-47.49	2 of 10 - Sale <sup>25</sup>
	0.637	32.47	02/02/21	37.93	...	-5.46	3 of 10 - Sale <sup>25</sup>
	0.301	15.37	02/02/21	19.80	...	-4.43	4 of 10 - Sale <sup>25</sup>
	0.143	7.28	02/02/21	9.39	...	-2.11	5 of 10 - Sale <sup>25</sup>
	0.104	5.32	02/02/21	6.88	...	-1.56	6 of 10 - Sale <sup>25</sup>
	0.019	0.96	02/02/21	1.24	...	-0.28	7 of 10 - Sale <sup>25</sup>
	0.014	0.71	02/02/21	0.91	...	-0.20	8 of 10 - Sale <sup>25</sup>
	31.934	1,629.10	02/02/21	1,607.58	...	21.52	9 of 10 - Sale <sup>25</sup>
	75.866	3,870.21	02/02/21	3,819.11	...	51.10	10 of 10 - Sale <sup>25</sup>
02/03/21	132.269	6,747.51	Various	7,002.65	...	-255.14	Total of 10 transactions
	3 transactions for 07/12/21. Total proceeds and cost reported to the IRS.						
	0.000	0.02	07/07/21	0.02	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.669	59.65	07/07/21	57.99	...	1.66	2 of 3 - Sale <sup>25</sup>
	1.000	89.18	07/07/21	86.73	...	2.45	3 of 3 - Sale <sup>25</sup>
07/12/21	1.669	148.85	Various	144.74	...	4.11	Total of 3 transactions
	<b>Security total:</b>	<b>21,158.51</b>		<b>23,004.20</b>	<b>1,841.09 W</b>	<b>-4.60</b>	
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
	3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	0.004	0.65	01/27/21	0.63	...	0.02	1 of 3 - Sale <sup>25</sup>
	0.611	102.90	01/27/21	100.26	...	2.64	2 of 3 - Sale <sup>25</sup>
	5.996	1,009.54	01/27/21	984.57	...	24.97	3 of 3 - Sale <sup>25</sup>
01/28/21	6.611	1,113.09	Various	1,085.46	...	27.63	Total of 3 transactions

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Account 821233798

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.362	4.41	01/25/21	3.59	...	0.82	1 of 3 - Sale <sup>25</sup>
	30.393	369.88	01/25/21	302.41	...	67.47	2 of 3 - Sale <sup>25</sup>
	120.000	1,460.35	01/25/21	1,194.00	...	266.35	3 of 3 - Sale <sup>25</sup>
01/27/21	150.755	1,834.64	Various	1,500.00	...	334.64	Total of 3 transactions
EVGO INC. CLASS A COMMON STOCK / CUSIP: 30052F100 / Symbol:							
10/15/21	20.646	162.30	07/01/21	310.60	...	-148.30	Sale <sup>25</sup>
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.032	0.39	01/27/21	0.25	...	0.14	1 of 2 - Sale <sup>25</sup>
	129.000	1,547.94	01/27/21	999.75	...	548.19	2 of 2 - Sale <sup>25</sup>
01/27/21	129.032	1,548.33	Various	1,000.00	...	548.33	Total of 2 transactions
META PLATFORMS, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	0.006	1.57	01/14/21	1.56	...	0.01	1 of 2 - Sale <sup>25</sup>
	20.798	5,243.35	01/14/21	5,214.98	...	28.37	2 of 2 - Sale <sup>25</sup>
01/15/21	20.804	5,244.92	Various	5,216.54	...	28.38	Total of 2 transactions
500.COM LIMITED / CUSIP: 33829R100 / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1,237.899	34,536.46	02/16/21	34,673.54	...	-137.08	1 of 2 - Sale <sup>25</sup>
	0.396	11.05	02/16/21	11.07	...	-0.02	2 of 2 - Sale <sup>25</sup>
02/16/21	1,238.295	34,547.51	Various	34,684.61	...	-137.10	Total of 2 transactions
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.286	2.59	02/02/21	2.50	...	0.09	1 of 2 - Sale <sup>25</sup>
	114.000	1,031.78	02/02/21	997.50	...	34.28	2 of 2 - Sale <sup>25</sup>
02/03/21	114.286	1,034.37	Various	1,000.00	...	34.37	Total of 2 transactions

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Robinhood Securities LLC

Account 821233798

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
02/01/21	29.849	1,362.34	01/29/21	1,318.15	...	44.19	Sale <sup>25</sup>
	<i>4 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>						
	0.072	3.74	02/01/21	3.69	...	0.05	1 of 4 - Sale <sup>25</sup>
	0.660	34.12	02/01/21	33.64	...	0.48	2 of 4 - Sale <sup>25</sup>
	10.000	516.89	02/01/21	509.65	...	7.24	3 of 4 - Sale <sup>25</sup>
	38.000	1,964.20	02/01/21	1,937.30	...	26.90	4 of 4 - Sale <sup>25</sup>
02/04/21	48.732	2,518.95	Various	2,484.28	...	34.67	Total of 4 transactions
	<b>Security total:</b>	<b>3,881.29</b>		<b>3,802.43</b>	...	<b>78.86</b>	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
	<i>2 transactions for 03/01/21. Total proceeds and cost reported to the IRS.</i>						
	0.480	55.43	02/25/21	53.74	...	1.69	1 of 2 - Sale <sup>25</sup>
	68.896	7,958.81	02/25/21	7,716.01	...	242.80	2 of 2 - Sale <sup>25</sup>
03/01/21	69.376	8,014.24	Various	7,769.75	...	244.49	Total of 2 transactions
03/02/21	62.992	8,113.32	03/02/21	7,809.45	...	303.87	Sale <sup>25</sup>
	<b>Security total:</b>	<b>16,127.56</b>		<b>15,579.20</b>	...	<b>548.36</b>	
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
	<i>3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.</i>						
	0.068	0.60	01/20/21	0.58	...	0.02	1 of 3 - Sale <sup>25</sup>
	0.415	3.66	01/20/21	3.56	...	0.10	2 of 3 - Sale <sup>25</sup>
	115.932	1,023.06	01/20/21	995.86	...	27.20	3 of 3 - Sale <sup>25</sup>
01/20/21	116.415	1,027.32	Various	1,000.00	...	27.32	Total of 3 transactions
	<i>3 transactions for 01/22/21. Total proceeds and cost reported to the IRS.</i>						
	0.122	1.14	01/21/21	1.11	...	0.03	1 of 3 - Sale <sup>25</sup>
	0.885	8.26	01/21/21	8.08	...	0.18	2 of 3 - Sale <sup>25</sup>
	226.000	2,108.50	01/21/21	2,061.14	...	47.36	3 of 3 - Sale <sup>25</sup>
01/22/21	227.007	2,117.90	Various	2,070.33	...	47.57	Total of 3 transactions
02/08/21	76.569	979.68	01/27/21	1,000.00	...	-20.32	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,124.90</b>		<b>4,070.33</b>	...	<b>54.57</b>	

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Robinhood Securities LLC

Account 821233798

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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INUVO, INC. / CUSIP: 46122W204 / Symbol:							
4 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	0.045	0.07	01/27/21	0.06	...	0.01	1 of 4 - Sale <sup>25</sup>
	100.000	149.40	01/27/21	134.50	...	14.90	2 of 4 - Sale <sup>25</sup>
	169.836	253.74	01/27/21	228.43	...	25.31	3 of 4 - Sale <sup>25</sup>
	1,091.000	1,630.01	01/27/21	1,471.65	...	158.36	4 of 4 - Sale <sup>25</sup>
01/28/21	1,360.881	2,033.22	Various	1,834.64	...	198.58	Total of 4 transactions
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
6 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	0.092	3.45	01/05/21	3.34	...	0.11	1 of 6 - Sale <sup>25</sup>
	0.105	3.95	01/05/21	3.82	...	0.13	2 of 6 - Sale <sup>25</sup>
	26.988	1,010.63	01/05/21	980.24	...	30.39	3 of 6 - Sale <sup>25</sup>
	28.532	1,068.43	01/05/21	1,034.51	...	33.92	4 of 6 - Sale <sup>25</sup>
	9.374	351.02	01/06/21	335.58	...	15.44	5 of 6 - Sale <sup>25</sup>
	18.559	694.98	01/06/21	664.42	...	30.56	6 of 6 - Sale <sup>25</sup>
01/11/21	83.651	3,132.46	Various	3,021.91	...	110.55	Total of 6 transactions
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
4 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	0.005	0.14	01/11/21	0.13	...	0.01	1 of 4 - Sale <sup>25</sup>
	0.040	1.08	01/11/21	1.01	...	0.07	2 of 4 - Sale <sup>25</sup>
	0.291	7.86	01/11/21	7.36	...	0.50	3 of 4 - Sale <sup>25</sup>
	128.669	3,475.10	01/11/21	3,264.34	...	210.76	4 of 4 - Sale <sup>25</sup>
01/11/21	129.005	3,484.18	Various	3,272.84	...	211.34	Total of 4 transactions
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
3 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	0.075	0.90	12/30/20	0.93	0.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	47.925	577.48	12/30/20	600.24	22.76 W	0.00	2 of 3 - Sale <sup>25</sup>
	33.666	405.68	12/30/20	421.65	15.97 W	0.00	3 of 3 - Sale <sup>25</sup>
01/05/21	81.666	984.06	Various	1,022.82	38.76 W	0.00	Total of 3 transactions
5 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	0.005	0.14	01/13/21	0.12	...	0.02	1 of 5 - Sale <sup>25</sup>
	0.064	1.69	01/13/21	1.49	...	0.20	2 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 821233798

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol: (cont'd)							
	33.661	891.98	01/13/21	785.79	...	106.19	3 of 5 - Sale <sup>25</sup>
	47.925	1,269.99	01/13/21	1,118.81	...	151.18	4 of 5 - Sale <sup>25</sup>
	111.308	2,949.59	01/13/21	2,545.62	...	403.97	5 of 5 - Sale <sup>25</sup>
01/14/21	192.964	5,113.39	Various	4,451.83	...	661.56	Total of 5 transactions
	4 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	125.000	2,216.17	01/15/21	2,846.25	...	-630.08	1 of 4 - Sale <sup>25</sup>
	99.305	1,760.62	01/15/21	2,259.68	...	-499.06	2 of 4 - Sale <sup>25</sup>
	6.000	106.38	01/15/21	136.62	...	-30.24	3 of 4 - Sale <sup>25</sup>
	0.105	1.86	01/15/21	2.37	...	-0.51	4 of 4 - Sale <sup>25</sup>
01/27/21	230.409	4,085.03	Various	5,244.92	...	-1,159.89	Total of 4 transactions
	<b>Security total:</b>	<b>10,182.48</b>		<b>10,719.57</b>	<b>38.76 W</b>	<b>-498.33</b>	
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
	2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	0.117	0.70	01/11/21	0.69	...	0.01	1 of 2 - Sale <sup>25</sup>
	139.000	828.40	01/11/21	824.97	...	3.43	2 of 2 - Sale <sup>25</sup>
01/13/21	139.117	829.10	Various	825.66	...	3.44	Total of 2 transactions
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
	3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	40.146	6,317.20	12/11/20	6,413.29	...	-96.09	1 of 3 - Sale <sup>25</sup>
	0.014	2.18	12/11/20	2.21	...	-0.03	2 of 3 - Sale <sup>25</sup>
	6.681	1,051.28	12/15/20	1,000.00	...	51.28	3 of 3 - Sale <sup>25</sup>
01/27/21	46.841	7,370.66	Various	7,415.50	...	-44.84	Total of 3 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
	3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.						
	0.016	0.91	01/19/21	0.90	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.268	15.66	01/19/21	15.52	...	0.14	2 of 3 - Sale <sup>25</sup>
	17.000	992.69	01/19/21	983.57	...	9.12	3 of 3 - Sale <sup>25</sup>
01/20/21	17.284	1,009.26	Various	999.99	...	9.27	Total of 3 transactions
02/02/21	117.649	6,658.39	02/01/21	6,455.38	...	203.01	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 821233798

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	0.696	30.66	03/03/21	29.86	...	0.80	1 of 2 - Sale <sup>25</sup>
	24.000	1,047.83	03/03/21	1,030.08	...	17.75	2 of 2 - Sale <sup>25</sup>
03/10/21	24.696	1,078.49	Various	1,059.94	...	18.55	Total of 2 transactions
	<b>Security total:</b>	<b>8,746.14</b>		<b>8,515.31</b>	...	<b>230.83</b>	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
6 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	754.410	3,138.19	01/27/21	7,913.25	...	-4,775.06	1 of 6 - Sale <sup>25</sup>
	24.896	103.56	01/27/21	179.48	...	-75.92	2 of 6 - Sale <sup>25</sup>
	0.312	1.30	01/27/21	3.20	...	-1.90	3 of 6 - Sale <sup>25</sup>
	0.217	0.90	01/27/21	2.26	...	-1.36	4 of 6 - Sale <sup>25</sup>
	0.123	0.51	01/27/21	1.27	...	-0.76	5 of 6 - Sale <sup>25</sup>
	0.042	0.17	01/27/21	0.43	...	-0.26	6 of 6 - Sale <sup>25</sup>
02/11/21	780.000	3,244.63	Various	8,099.89	...	-4,855.26	Total of 6 transactions
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	899.577	3,742.04	01/27/21	6,485.14	2,302.43 W	-440.67	1 of 2 - Sale <sup>25</sup>
	0.042	0.17	01/27/21	0.30	0.13 W	0.00	2 of 2 - Sale <sup>25</sup>
02/11/21	899.619	3,742.21	Various	6,485.44	2,302.56 W	-440.67	Total of 2 transactions
	<b>Security total:</b>	<b>6,986.84</b>		<b>14,585.33</b>	<b>2,302.56 W</b>	<b>-5,295.93</b>	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
04/23/21	573.000	7,209.15	04/23/21	6,245.70	...	963.45	Sale <sup>25</sup>
3 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	3.730	48.12	04/23/21	46.76	...	1.36	1 of 3 - Sale <sup>25</sup>
	6.270	80.88	05/05/21	80.72	...	0.16	2 of 3 - Sale <sup>25</sup>
	148.000	1,909.17	05/05/21	1,905.54	...	3.63	3 of 3 - Sale <sup>25</sup>
05/05/21	158.000	2,038.17	Various	2,033.02	...	5.15	Total of 3 transactions
3 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	10.000	129.00	04/23/21	129.35	0.35 W	0.00	1 of 3 - Sale <sup>25</sup>
	251.000	3,237.85	04/23/21	3,246.69	8.84 W	0.00	2 of 3 - Sale <sup>25</sup>
	293.000	3,779.65	04/23/21	3,789.95	10.30 W	0.00	3 of 3 - Sale <sup>25</sup>
05/05/21	554.000	7,146.50	Various	7,165.99	19.49 W	0.00	Total of 3 transactions

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Account 821233798

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
7 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	0.050	0.47	05/05/21	0.65	0.18 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.830	7.83	05/05/21	10.64	2.81 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.680	6.42	05/05/21	8.75	2.33 W	0.00	3 of 7 - Sale <sup>25</sup>
	102.270	964.69	05/05/21	1,314.70	350.01 W	0.00	4 of 7 - Sale <sup>25</sup>
	293.000	2,763.82	05/05/21	3,766.56	1,002.74 W	0.00	5 of 7 - Sale <sup>25</sup>
	294.050	2,773.72	05/05/21	3,769.72	996.00 W	0.00	6 of 7 - Sale <sup>25</sup>
	8.715	79.83	05/05/21	111.72	31.89 W	0.00	7 of 7 - Sale <sup>25</sup>
11/09/21	699.595	6,596.78	Various	8,982.74	2,385.96 W	0.00	Total of 7 transactions
4 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	0.293	2.50	07/08/21	2.08	...	0.42	1 of 4 - Sale <sup>25</sup>
	2.408	20.51	07/08/21	17.05	...	3.46	2 of 4 - Sale <sup>25</sup>
	2.205	19.34	07/08/21	15.63	...	3.71	3 of 4 - Sale <sup>25</sup>
	47.592	405.49	07/08/21	337.81	...	67.68	4 of 4 - Sale <sup>25</sup>
11/10/21	52.498	447.84	Various	372.57	...	75.27	Total of 4 transactions
3 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	0.341	2.99	05/05/21	4.37	1.38 W	0.00	1 of 3 - Sale <sup>25</sup>
	16.795	147.29	05/05/21	215.31	68.02 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	8.77	05/05/21	12.79	4.02 W	0.00	3 of 3 - Sale <sup>25</sup>
11/10/21	18.136	159.05	Various	232.47	73.42 W	0.00	Total of 3 transactions
5 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	0.119	0.77	07/08/21	0.85	0.08 W	0.00	1 of 5 - Sale <sup>25</sup>
	28.149	181.70	07/08/21	199.80	18.10 W	0.00	2 of 5 - Sale <sup>25</sup>
	67.925	438.45	07/09/21	499.59	61.14 W	0.00	3 of 5 - Sale <sup>25</sup>
	93.926	606.27	07/12/21	684.72	78.45 W	0.00	4 of 5 - Sale <sup>25</sup>
	2.955	19.04	07/12/21	21.54	2.50 W	0.00	5 of 5 - Sale <sup>25</sup>
11/29/21	193.074	1,246.23	Various	1,406.50	160.27 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>24,843.72</b>		<b>26,438.99</b>	<b>2,639.14 W</b>	<b>1,043.87</b>	

ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:

4 transactions for 02/25/21. Total proceeds and cost reported to the IRS.

	5,060.589	7,134.80	02/24/21	8,349.97	...	-1,215.17	1 of 4 - Sale <sup>25</sup>
	200.000	281.97	02/24/21	329.80	...	-47.83	2 of 4 - Sale <sup>25</sup>

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Account 821233798

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol: (cont'd)							
	200.000	281.97	02/24/21	329.00	...	-47.03	3 of 4 - Sale <sup>25</sup>
	50.370	71.01	02/24/21	83.11	...	-12.10	4 of 4 - Sale <sup>25</sup>
02/25/21	5,510.958	7,769.75	Various	9,091.88	...	-1,322.13	Total of 4 transactions
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
3 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	0.159	4.56	02/19/21	4.54	...	0.02	1 of 3 - Sale <sup>25</sup>
	0.272	7.81	02/19/21	7.76	...	0.05	2 of 3 - Sale <sup>25</sup>
	776.000	22,294.90	02/19/21	22,166.44	...	128.46	3 of 3 - Sale <sup>25</sup>
02/22/21	776.431	22,307.27	Various	22,178.74	...	128.53	Total of 3 transactions
4 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	427.000	11,601.28	02/22/21	12,289.06	...	-687.78	1 of 4 - Sale <sup>25</sup>
	0.374	10.17	02/22/21	10.77	...	-0.60	2 of 4 - Sale <sup>25</sup>
	0.222	6.04	02/22/21	6.40	...	-0.36	3 of 4 - Sale <sup>25</sup>
	0.036	0.97	02/22/21	1.03	...	-0.06	4 of 4 - Sale <sup>25</sup>
02/23/21	427.632	11,618.46	Various	12,307.26	...	-688.80	Total of 4 transactions
	<b>Security total:</b>	<b>33,925.73</b>		<b>34,486.00</b>	...	<b>-560.27</b>	
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
3 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	0.033	0.70	01/08/21	0.85	0.15 W	0.00	1 of 3 - Sale <sup>25</sup>
	33.880	718.87	01/08/21	870.72	151.85 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	106.09	01/08/21	128.43	22.34 W	0.00	3 of 3 - Sale <sup>25</sup>
01/11/21	38.913	825.66	Various	1,000.00	174.34 W	0.00	Total of 3 transactions
5 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	24.235	484.50	02/01/21	569.33	...	-84.83	1 of 5 - Sale <sup>25</sup>
	9.645	192.82	02/01/21	226.58	...	-33.76	2 of 5 - Sale <sup>25</sup>
	5.000	99.96	02/01/21	117.39	...	-17.43	3 of 5 - Sale <sup>25</sup>
	0.033	0.66	02/01/21	0.78	...	-0.12	4 of 5 - Sale <sup>25</sup>
	85.355	1,706.40	02/01/21	1,622.60	...	83.80	5 of 5 - Sale <sup>25</sup>
02/01/21	124.268	2,484.34	Various	2,536.68	...	-52.34	Total of 5 transactions

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Robinhood Securities LLC

Account 821233798

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol: (cont'd)							
3 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	14.000	531.85	04/22/21	511.42	...	20.43	1 of 3 - Sale <sup>25</sup>
	50.000	1,899.49	04/22/21	1,826.50	...	72.99	2 of 3 - Sale <sup>25</sup>
	100.000	3,798.97	04/22/21	3,653.00	...	145.97	3 of 3 - Sale <sup>25</sup>
04/23/21	164.000	6,230.31	Various	5,990.92	...	239.39	Total of 3 transactions
	<b>Security total:</b>	<b>9,540.31</b>		<b>9,527.60</b>	<b>174.34 W</b>	<b>187.05</b>	
SCIENTIFIC GAMES CORP COMMON S TOCK / CUSIP: 80874P109 / Symbol:							
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	0.061	2.56	01/27/21	2.52	...	0.04	1 of 3 - Sale <sup>25</sup>
	0.471	19.89	01/27/21	19.32	...	0.57	2 of 3 - Sale <sup>25</sup>
	35.939	1,503.76	01/27/21	1,478.16	...	25.60	3 of 3 - Sale <sup>25</sup>
01/28/21	36.471	1,526.21	Various	1,500.00	...	26.21	Total of 3 transactions
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
15 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	0.384	1.23	03/12/21	1.23	...	0.00	1 of 15 - Sale <sup>25</sup>
	0.234	0.75	03/12/21	0.74	...	0.01	2 of 15 - Sale <sup>25</sup>
	37.766	121.60	03/12/21	120.47	...	1.13	3 of 15 - Sale <sup>25</sup>
	49.234	158.53	03/12/21	157.06	...	1.47	4 of 15 - Sale <sup>25</sup>
	50.766	163.46	03/12/21	161.94	...	1.52	5 of 15 - Sale <sup>25</sup>
	62.234	200.38	03/12/21	198.53	...	1.85	6 of 15 - Sale <sup>25</sup>
	100.000	321.98	03/12/21	319.00	...	2.98	7 of 15 - Sale <sup>25</sup>
	107.000	344.53	03/12/21	341.33	...	3.20	8 of 15 - Sale <sup>25</sup>
	150.000	482.98	03/12/21	478.50	...	4.48	9 of 15 - Sale <sup>25</sup>
	150.000	482.98	03/12/21	478.50	...	4.48	10 of 15 - Sale <sup>25</sup>
	150.000	482.98	03/12/21	478.50	...	4.48	11 of 15 - Sale <sup>25</sup>
	153.616	494.62	03/12/21	490.03	...	4.59	12 of 15 - Sale <sup>25</sup>
	346.000	1,114.07	03/12/21	1,103.74	...	10.33	13 of 15 - Sale <sup>25</sup>
	496.150	1,597.53	03/12/21	1,582.72	...	14.81	14 of 15 - Sale <sup>25</sup>
	600.000	1,931.92	03/12/21	1,914.00	...	17.92	15 of 15 - Sale <sup>25</sup>
03/15/21	2,453.384	7,899.54	Various	7,826.29	...	73.25	Total of 15 transactions

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Robinhood Securities LLC

Account 821233798

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SKYWATER TECHNOLOGY, INC. COMM ON STOCK / CUSIP: 83089J108 / Symbol:							
<i>7 transactions for 04/22/21. Total proceeds and cost reported to the IRS.</i>							
	0.153	2.84	04/21/21	2.83	...	0.01	1 of 7 - Sale <sup>25</sup>
	0.153	2.85	04/21/21	2.82	...	0.03	2 of 7 - Sale <sup>25</sup>
	0.325	6.04	04/21/21	5.99	...	0.05	3 of 7 - Sale <sup>25</sup>
	17.847	331.06	04/21/21	330.17	...	0.89	4 of 7 - Sale <sup>25</sup>
	100.153	1,857.82	04/21/21	1,852.83	...	4.99	5 of 7 - Sale <sup>25</sup>
	99.847	1,856.14	04/21/21	1,847.17	...	8.97	6 of 7 - Sale <sup>25</sup>
	99.847	1,857.13	04/21/21	1,846.17	...	10.96	7 of 7 - Sale <sup>25</sup>
04/22/21	318.325	5,913.88	Various	5,887.98	...	25.90	Total of 7 transactions
SOCKET MOBILE, INC. COMMON STO CK / CUSIP: 83368E200 / Symbol:							
<i>2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	1,004.000	15,120.39	02/16/21	31,117.57	...	-15,997.18	1 of 2 - Sale <sup>25</sup>
	73.000	1,099.39	02/16/21	2,083.79	...	-984.40	2 of 2 - Sale <sup>25</sup>
02/17/21	1,077.000	16,219.78	Various	33,201.36	...	-16,981.58	Total of 2 transactions
SOLIGENIX, INC. COMMON STOCK / CUSIP: 834223307 / Symbol:							
<i>2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	727.000	1,585.68	02/16/21	1,656.61	...	-70.93	1 of 2 - Sale <sup>25</sup>
	0.467	1.02	02/16/21	1.07	...	-0.05	2 of 2 - Sale <sup>25</sup>
02/17/21	727.467	1,586.70	Various	1,657.68	...	-70.98	Total of 2 transactions
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
<i>2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	0.471	6.59	02/17/21	7.10	0.51 W	0.00	1 of 2 - Sale <sup>25</sup>
	114.000	1,592.11	02/17/21	1,717.41	125.30 W	0.00	2 of 2 - Sale <sup>25</sup>
02/17/21	114.471	1,598.70	Various	1,724.51	125.81 W	0.00	Total of 2 transactions
03/03/21	36.326	263.73	03/02/21	261.16	...	2.57	Sale <sup>25</sup>
<i>13 transactions for 03/03/21. Total proceeds and cost reported to the IRS.</i>							
	0.203	1.48	03/02/21	1.67	0.19 W	0.00	1 of 13 - Sale <sup>25</sup>
	0.269	1.97	03/02/21	2.22	0.25 W	0.00	2 of 13 - Sale <sup>25</sup>
	0.529	3.87	03/02/21	4.38	0.51 W	0.00	3 of 13 - Sale <sup>25</sup>
	0.203	1.47	03/02/21	1.65	0.18 W	0.00	4 of 13 - Sale <sup>25</sup>
	99.798	724.51	03/02/21	827.17	102.66 W	0.00	5 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 821233798

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOS LIMITED / CUSIP: 83587W106 / Symbol: (cont'd)							
	13.674	99.27	03/02/21	113.33	14.06 W	0.00	6 of 13 - Sale <sup>25</sup>
	100.000	714.98	03/02/21	718.93	3.95 W	0.00	7 of 13 - Sale <sup>25</sup>
	100.000	714.98	03/02/21	718.93	3.95 W	0.00	8 of 13 - Sale <sup>25</sup>
	100.000	714.98	03/02/21	718.93	3.95 W	0.00	9 of 13 - Sale <sup>25</sup>
	100.000	714.98	03/02/21	718.93	3.95 W	0.00	10 of 13 - Sale <sup>25</sup>
	100.000	714.98	03/02/21	718.93	3.95 W	0.00	11 of 13 - Sale <sup>25</sup>
	477.000	3,410.47	03/02/21	3,429.29	18.82 W	0.00	12 of 13 - Sale <sup>25</sup>
	0.528	3.79	03/02/21	3.80	0.01 W	0.00	13 of 13 - Sale <sup>25</sup>
03/03/21	1,092.202	7,821.73	Various	7,978.16	156.43 W	0.00	Total of 13 transactions
	3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	0.002	0.01	03/09/21	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	13.80	03/09/21	12.52	...	1.28	2 of 3 - Sale <sup>25</sup>
	43.529	300.36	03/09/21	273.34	...	27.02	3 of 3 - Sale <sup>25</sup>
03/12/21	45.531	314.17	Various	285.87	...	28.30	Total of 3 transactions
	18 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	0.203	1.40	03/09/21	1.44	0.04 W	0.00	1 of 18 - Sale <sup>25</sup>
	0.267	1.84	03/09/21	1.92	0.08 W	0.00	2 of 18 - Sale <sup>25</sup>
	0.529	3.65	03/09/21	3.81	0.16 W	0.00	3 of 18 - Sale <sup>25</sup>
	13.674	94.35	03/09/21	99.38	5.03 W	0.00	4 of 18 - Sale <sup>25</sup>
	99.798	688.63	03/09/21	725.40	36.77 W	0.00	5 of 18 - Sale <sup>25</sup>
	0.113	0.78	03/10/21	0.80	0.02 W	0.00	6 of 18 - Sale <sup>25</sup>
	2.288	15.79	03/10/21	15.88	0.09 W	0.00	7 of 18 - Sale <sup>25</sup>
	54.245	374.31	03/10/21	376.44	2.13 W	0.00	8 of 18 - Sale <sup>25</sup>
	99.887	689.24	03/10/21	693.17	3.93 W	0.00	9 of 18 - Sale <sup>25</sup>
	32.514	224.35	03/10/21	228.89	4.54 W	0.00	10 of 18 - Sale <sup>25</sup>
	97.486	672.68	03/10/21	686.26	13.58 W	0.00	11 of 18 - Sale <sup>25</sup>
	67.260	464.11	03/10/21	473.48	9.37 W	0.00	12 of 18 - Sale <sup>25</sup>
	72.740	501.92	03/10/21	512.06	10.14 W	0.00	13 of 18 - Sale <sup>25</sup>
	0.089	0.62	03/10/21	0.65	0.03 W	0.00	14 of 18 - Sale <sup>25</sup>
	0.415	2.86	03/10/21	2.91	0.05 W	0.00	15 of 18 - Sale <sup>25</sup>
	27.034	186.54	03/10/21	190.31	3.77 W	0.00	16 of 18 - Sale <sup>25</sup>
	476.887	3,290.64	03/10/21	3,357.03	66.39 W	0.00	17 of 18 - Sale <sup>25</sup>
	43.246	298.41	03/10/21	302.72	4.31 W	0.00	18 of 18 - Sale <sup>25</sup>
03/12/21	1,088.674	7,512.12	Various	7,672.55	160.43 W	0.00	Total of 18 transactions

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Account 821233798

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SOS LIMITED / CUSIP: 83587W106 / Symbol: (cont'd)							
<i>17 transactions for 03/15/21. Total proceeds and cost reported to the IRS.</i>							
	0.021	0.17	03/15/21	0.17	...	0.00	1 of 17 - Sale <sup>25</sup>
	0.069	0.57	03/15/21	0.54	...	0.03	2 of 17 - Sale <sup>25</sup>
	0.113	0.94	03/15/21	0.87	...	0.07	3 of 17 - Sale <sup>25</sup>
	0.267	2.21	03/15/21	2.10	...	0.11	4 of 17 - Sale <sup>25</sup>
	0.324	2.68	03/15/21	2.49	...	0.19	5 of 17 - Sale <sup>25</sup>
	0.529	4.38	03/15/21	4.16	...	0.22	6 of 17 - Sale <sup>25</sup>
	2.288	18.97	03/15/21	17.38	...	1.59	7 of 17 - Sale <sup>25</sup>
	13.674	113.36	03/15/21	108.38	...	4.98	8 of 17 - Sale <sup>25</sup>
	27.034	224.12	03/15/21	208.10	...	16.02	9 of 17 - Sale <sup>25</sup>
	32.514	269.54	03/15/21	250.29	...	19.25	10 of 17 - Sale <sup>25</sup>
	99.798	827.34	03/15/21	791.08	...	36.26	11 of 17 - Sale <sup>25</sup>
	54.245	449.70	03/15/21	412.14	...	37.56	12 of 17 - Sale <sup>25</sup>
	67.260	557.59	03/15/21	517.75	...	39.84	13 of 17 - Sale <sup>25</sup>
	72.740	603.02	03/15/21	559.94	...	43.08	14 of 17 - Sale <sup>25</sup>
	97.486	808.17	03/15/21	750.42	...	57.75	15 of 17 - Sale <sup>25</sup>
	99.887	828.08	03/15/21	758.92	...	69.16	16 of 17 - Sale <sup>25</sup>
	476.887	3,953.46	03/15/21	3,670.88	...	282.58	17 of 17 - Sale <sup>25</sup>
03/15/21	1,045.134	8,664.30	Various	8,055.61	...	608.69	Total of 17 transactions
<i>2 transactions for 03/31/21. Total proceeds and cost reported to the IRS.</i>							
	0.091	0.45	03/25/21	0.50	0.05 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.909	4.45	03/25/21	4.96	0.51 W	0.00	2 of 2 - Sale <sup>25</sup>
03/31/21	1.000	4.90	Various	5.46	0.56 W	0.00	Total of 2 transactions
<i>15 transactions for 04/07/21. Total proceeds and cost reported to the IRS.</i>							
	42.337	238.78	03/25/21	231.15	...	7.63	1 of 15 - Sale <sup>25</sup>
	143.230	807.81	03/25/21	767.71	...	40.10	2 of 15 - Sale <sup>25</sup>
	0.091	0.51	03/30/21	0.51	...	0.00	3 of 15 - Sale <sup>25</sup>
	0.909	5.13	03/30/21	5.11	...	0.02	4 of 15 - Sale <sup>25</sup>
	1.000	5.64	03/30/21	5.00	...	0.64	5 of 15 - Sale <sup>25</sup>
	57.000	321.48	03/30/21	288.42	...	33.06	6 of 15 - Sale <sup>25</sup>
	0.023	0.13	04/06/21	0.10	...	0.03	7 of 15 - Sale <sup>25</sup>
	0.285	1.61	04/06/21	1.26	...	0.35	8 of 15 - Sale <sup>25</sup>
	5.000	28.20	04/06/21	22.13	...	6.07	9 of 15 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SOS LIMITED / CUSIP: 83587W106 / Symbol: (cont'd)							
	669.000	3,773.13	04/06/21	2,976.51	...	796.62	10 of 15 - Sale <sup>25</sup>
	0.005	0.03	04/07/21	0.03	...	0.00	11 of 15 - Sale <sup>25</sup>
	0.517	3.09	04/07/21	2.91	...	0.18	12 of 15 - Sale <sup>25</sup>
	0.816	4.88	04/07/21	4.57	...	0.31	13 of 15 - Sale <sup>25</sup>
	427.483	2,556.67	04/07/21	2,406.29	...	150.38	14 of 15 - Sale <sup>25</sup>
	968.699	5,793.53	04/07/21	5,268.65	...	524.88	15 of 15 - Sale <sup>25</sup>
04/07/21	2,316.394	13,540.62	Various	11,980.35	...	1,560.27	Total of 15 transactions
	2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.						
	428.000	2,413.89	04/07/21	2,495.24	81.35 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.816	4.60	04/07/21	4.73	0.13 W	0.00	2 of 2 - Sale <sup>25</sup>
04/07/21	428.816	2,418.49	Various	2,499.97	81.48 W	0.00	Total of 2 transactions
	8 transactions for 04/12/21. Total proceeds and cost reported to the IRS.						
	0.061	0.35	04/07/21	0.35	...	0.00	1 of 8 - Sale <sup>25</sup>
	0.344	1.98	04/07/21	1.97	...	0.01	2 of 8 - Sale <sup>25</sup>
	35.000	201.29	04/07/21	199.50	...	1.79	3 of 8 - Sale <sup>25</sup>
	183.939	1,057.84	04/07/21	1,048.45	...	9.39	4 of 8 - Sale <sup>25</sup>
	400.000	2,300.42	04/07/21	2,280.00	...	20.42	5 of 8 - Sale <sup>25</sup>
	847.000	4,871.13	04/07/21	4,827.90	...	43.23	6 of 8 - Sale <sup>25</sup>
	0.718	4.13	04/08/21	3.80	...	0.33	7 of 8 - Sale <sup>25</sup>
	4.000	23.00	04/08/21	21.20	...	1.80	8 of 8 - Sale <sup>25</sup>
04/12/21	1,471.061	8,460.14	Various	8,383.17	...	76.97	Total of 8 transactions
	43 transactions for 04/16/21. Total proceeds and cost reported to the IRS.						
	0.513	2.24	04/12/21	2.80	0.56 W	0.00	1 of 43 - Sale <sup>25</sup>
	100.000	436.49	04/12/21	546.00	109.51 W	0.00	2 of 43 - Sale <sup>25</sup>
	100.000	436.49	04/12/21	546.00	109.51 W	0.00	3 of 43 - Sale <sup>25</sup>
	99.487	434.25	04/12/21	543.20	108.95 W	0.00	4 of 43 - Sale <sup>25</sup>
	0.513	2.24	04/12/21	3.37	1.13 W	0.00	5 of 43 - Sale <sup>25</sup>
	0.269	1.17	04/12/21	1.76	0.59 W	0.00	6 of 43 - Sale <sup>25</sup>
	0.513	2.24	04/12/21	3.94	1.70 W	0.00	7 of 43 - Sale <sup>25</sup>
	99.219	433.08	04/12/21	653.33	220.25 W	0.00	8 of 43 - Sale <sup>25</sup>
	0.269	1.17	04/12/21	2.06	0.89 W	0.00	9 of 43 - Sale <sup>25</sup>
	0.513	2.24	04/12/21	3.38	1.14 W	0.00	10 of 43 - Sale <sup>25</sup>
	99.219	433.08	04/12/21	653.33	220.25 W	0.00	11 of 43 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 821233798

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOS LIMITED / CUSIP: 83587W106 / Symbol: (cont'd)							
99.487		433.75	04/12/21	655.10	221.35 W	0.00	12 of 43 - Sale <sup>25</sup>
0.781		3.41	04/12/21	5.14	1.73 W	0.00	13 of 43 - Sale <sup>25</sup>
941.959		4,106.80	04/12/21	5,170.98	1,064.18 W	0.00	14 of 43 - Sale <sup>25</sup>
0.269		1.17	04/13/21	2.25	1.08 W	0.00	15 of 43 - Sale <sup>25</sup>
0.513		2.24	04/13/21	4.29	2.05 W	0.00	16 of 43 - Sale <sup>25</sup>
30.991		135.11	04/13/21	225.30	90.19 W	0.00	17 of 43 - Sale <sup>25</sup>
0.513		2.24	04/13/21	3.73	1.49 W	0.00	18 of 43 - Sale <sup>25</sup>
31.259		136.28	04/13/21	227.25	90.97 W	0.00	19 of 43 - Sale <sup>25</sup>
68.228		297.47	04/13/21	496.00	198.53 W	0.00	20 of 43 - Sale <sup>25</sup>
66.228		288.75	04/13/21	481.47	192.72 W	0.00	21 of 43 - Sale <sup>25</sup>
0.781		3.41	04/15/21	5.47	2.06 W	0.00	22 of 43 - Sale <sup>25</sup>
1.731		7.55	04/15/21	12.13	4.58 W	0.00	23 of 43 - Sale <sup>25</sup>
31.259		136.28	04/15/21	219.29	83.01 W	0.00	24 of 43 - Sale <sup>25</sup>
7.228		31.51	04/15/21	50.70	19.19 W	0.00	25 of 43 - Sale <sup>25</sup>
0.772		3.37	04/15/21	5.43	2.06 W	0.00	26 of 43 - Sale <sup>25</sup>
60.228		259.63	04/16/21	394.79	135.16 W	0.00	27 of 43 - Sale <sup>25</sup>
539.772		2,326.82	04/16/21	2,947.02	620.20 W	0.00	28 of 43 - Sale <sup>25</sup>
0.269		1.16	04/16/21	2.24	1.08 W	0.00	29 of 43 - Sale <sup>25</sup>
0.513		2.21	04/16/21	4.27	2.06 W	0.00	30 of 43 - Sale <sup>25</sup>
0.513		2.21	04/16/21	3.71	1.50 W	0.00	31 of 43 - Sale <sup>25</sup>
30.991		133.59	04/16/21	224.38	90.79 W	0.00	32 of 43 - Sale <sup>25</sup>
31.259		134.75	04/16/21	226.32	91.57 W	0.00	33 of 43 - Sale <sup>25</sup>
66.228		285.49	04/16/21	479.49	194.00 W	0.00	34 of 43 - Sale <sup>25</sup>
68.228		294.11	04/16/21	493.96	199.85 W	0.00	35 of 43 - Sale <sup>25</sup>
0.772		3.33	04/16/21	5.40	2.07 W	0.00	36 of 43 - Sale <sup>25</sup>
0.781		3.37	04/16/21	5.44	2.07 W	0.00	37 of 43 - Sale <sup>25</sup>
1.731		7.46	04/16/21	12.08	4.62 W	0.00	38 of 43 - Sale <sup>25</sup>
7.228		31.16	04/16/21	50.49	19.33 W	0.00	39 of 43 - Sale <sup>25</sup>
31.259		134.75	04/16/21	218.36	83.61 W	0.00	40 of 43 - Sale <sup>25</sup>
402.187		1,733.73	04/16/21	2,195.84	462.11 W	0.00	41 of 43 - Sale <sup>25</sup>
685.041		2,953.05	04/16/21	2,966.23	13.18 W	0.00	42 of 43 - Sale <sup>25</sup>
0.399		1.72	04/16/21	1.73	0.01 W	0.00	43 of 43 - Sale <sup>25</sup>
04/16/21	3,709.912	16,082.57	Various	20,755.45	4,672.88 W	0.00	Total of 43 transactions

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Robinhood Securities LLC

Account 821233798

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOS LIMITED / CUSIP: 83587W106 / Symbol: (cont'd)							
<i>18 transactions for 04/19/21. Total proceeds and cost reported to the IRS.</i>							
	539.772	2,239.97	04/16/21	2,941.22	...	-701.25	1 of 18 - Sale <sup>25</sup>
	402.187	1,669.02	04/16/21	2,191.52	...	-522.50	2 of 18 - Sale <sup>25</sup>
	68.228	283.14	04/16/21	493.23	...	-210.09	3 of 18 - Sale <sup>25</sup>
	66.228	274.84	04/16/21	478.78	...	-203.94	4 of 18 - Sale <sup>25</sup>
	60.228	249.94	04/16/21	394.14	...	-144.20	5 of 18 - Sale <sup>25</sup>
	685.041	2,842.82	04/16/21	2,958.85	...	-116.03	6 of 18 - Sale <sup>25</sup>
	31.259	129.72	04/16/21	225.99	...	-96.27	7 of 18 - Sale <sup>25</sup>
	30.991	128.61	04/16/21	224.05	...	-95.44	8 of 18 - Sale <sup>25</sup>
	31.259	129.72	04/16/21	218.03	...	-88.31	9 of 18 - Sale <sup>25</sup>
	7.228	29.99	04/16/21	50.41	...	-20.42	10 of 18 - Sale <sup>25</sup>
	66.601	276.38	04/16/21	286.38	...	-10.00	11 of 18 - Sale <sup>25</sup>
	1.731	7.19	04/16/21	12.07	...	-4.88	12 of 18 - Sale <sup>25</sup>
	0.772	3.20	04/16/21	5.39	...	-2.19	13 of 18 - Sale <sup>25</sup>
	0.781	3.24	04/16/21	5.43	...	-2.19	14 of 18 - Sale <sup>25</sup>
	0.513	2.13	04/16/21	4.26	...	-2.13	15 of 18 - Sale <sup>25</sup>
	0.513	2.13	04/16/21	3.70	...	-1.57	16 of 18 - Sale <sup>25</sup>
	0.269	1.11	04/16/21	2.23	...	-1.12	17 of 18 - Sale <sup>25</sup>
	0.399	1.66	04/16/21	1.73	...	-0.07	18 of 18 - Sale <sup>25</sup>
04/19/21	1,994.000	8,274.81	Various	10,497.41	...	-2,222.60	Total of 18 transactions
	<b>Security total:</b>	<b>74,956.28</b>		<b>80,099.67</b>	<b>5,197.59 W</b>	<b>54.20</b>	
STAR PEAK ENERGY TRANSITION CO RP. / CUSIP: 855185104 / Symbol:							
01/29/21	77.413	2,290.60	01/28/21	2,260.46	...	30.14	Sale <sup>25</sup>
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
<i>4 transactions for 01/13/21. Total proceeds and cost reported to the IRS.</i>							
	1,297.000	854.42	01/11/21	949.66	95.24 W	0.00	1 of 4 - Sale <sup>25</sup>
	5,144.000	3,388.69	01/12/21	3,498.95	110.26 W	0.00	2 of 4 - Sale <sup>25</sup>
	246.000	162.06	01/12/21	167.30	5.24 W	0.00	3 of 4 - Sale <sup>25</sup>
	12.000	7.90	01/12/21	8.16	0.26 W	0.00	4 of 4 - Sale <sup>25</sup>
01/13/21	6,699.000	4,413.07	Various	4,624.07	211.00 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 821233798

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
<i>9 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>							
	6.000	5.10	01/27/21	3.24	...	1.86	1 of 9 - Sale <sup>25</sup>
	11.000	9.36	01/27/21	5.91	...	3.45	2 of 9 - Sale <sup>25</sup>
	30.000	25.52	01/27/21	16.11	...	9.41	3 of 9 - Sale <sup>25</sup>
	89.000	75.72	01/27/21	47.80	...	27.92	4 of 9 - Sale <sup>25</sup>
	121.000	102.95	01/27/21	65.24	...	37.71	5 of 9 - Sale <sup>25</sup>
	192.000	163.35	01/27/21	103.11	...	60.24	6 of 9 - Sale <sup>25</sup>
	500.000	425.38	01/27/21	294.52	...	130.86	7 of 9 - Sale <sup>25</sup>
	797.000	678.05	01/27/21	469.45	...	208.60	8 of 9 - Sale <sup>25</sup>
	911.000	775.03	01/27/21	489.23	...	285.80	9 of 9 - Sale <sup>25</sup>
01/28/21	2,657.000	2,260.46	Various	1,494.61	...	765.85	Total of 9 transactions
<i>19 transactions for 02/10/21. Total proceeds and cost reported to the IRS.</i>							
	0.327	0.94	02/03/21	0.40	...	0.54	1 of 19 - Sale <sup>25</sup>
	0.394	1.14	02/03/21	0.50	...	0.64	2 of 19 - Sale <sup>25</sup>
	12.000	34.68	02/03/21	15.36	...	19.32	3 of 19 - Sale <sup>25</sup>
	246.000	710.89	02/03/21	314.76	...	396.13	4 of 19 - Sale <sup>25</sup>
	400.000	1,155.92	02/03/21	511.85	...	644.07	5 of 19 - Sale <sup>25</sup>
	812.683	2,348.50	02/03/21	999.60	...	1,348.90	6 of 19 - Sale <sup>25</sup>
	1,499.606	4,335.08	02/03/21	1,918.95	...	2,416.13	7 of 19 - Sale <sup>25</sup>
	1,884.000	5,444.42	02/03/21	2,410.84	...	3,033.58	8 of 19 - Sale <sup>25</sup>
	3,957.004	11,435.02	02/03/21	4,978.70	...	6,456.32	9 of 19 - Sale <sup>25</sup>
	0.415	1.20	02/04/21	0.50	...	0.70	10 of 19 - Sale <sup>25</sup>
	687.572	1,986.96	02/04/21	830.93	...	1,156.03	11 of 19 - Sale <sup>25</sup>
	1,396.375	4,035.27	02/04/21	1,687.52	...	2,347.75	12 of 19 - Sale <sup>25</sup>
	3.175	9.17	02/05/21	3.54	...	5.63	13 of 19 - Sale <sup>25</sup>
	800.411	2,313.04	02/05/21	896.46	...	1,416.58	14 of 19 - Sale <sup>25</sup>
	0.057	0.17	02/08/21	0.06	...	0.11	15 of 19 - Sale <sup>25</sup>
	0.355	1.03	02/08/21	0.41	...	0.62	16 of 19 - Sale <sup>25</sup>
	638.193	1,844.26	02/08/21	727.54	...	1,116.72	17 of 19 - Sale <sup>25</sup>
	831.012	2,401.47	02/08/21	979.68	...	1,421.79	18 of 19 - Sale <sup>25</sup>
	844.345	2,440.00	02/08/21	979.44	...	1,460.56	19 of 19 - Sale <sup>25</sup>
02/10/21	14,013.923	40,499.16	Various	17,257.04	...	23,242.12	Total of 19 transactions

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Account 821233798

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
<i>17 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	8,294.000	17,001.33	02/11/21	24,384.36	...	-7,383.03	1 of 17 - Sale <sup>25</sup>
	3,000.000	6,149.50	02/11/21	8,820.00	...	-2,670.50	2 of 17 - Sale <sup>25</sup>
	2,131.000	4,368.20	02/11/21	6,265.14	...	-1,896.94	3 of 17 - Sale <sup>25</sup>
	1,210.000	2,480.30	02/11/21	3,557.40	...	-1,077.10	4 of 17 - Sale <sup>25</sup>
	852.823	1,748.15	02/11/21	2,507.30	...	-759.15	5 of 17 - Sale <sup>25</sup>
	200.000	409.97	02/11/21	588.00	...	-178.03	6 of 17 - Sale <sup>25</sup>
	126.805	259.93	02/11/21	400.69	...	-140.76	7 of 17 - Sale <sup>25</sup>
	150.000	307.48	02/11/21	441.00	...	-133.52	8 of 17 - Sale <sup>25</sup>
	120.000	245.98	02/11/21	352.80	...	-106.82	9 of 17 - Sale <sup>25</sup>
	100.000	204.98	02/11/21	294.00	...	-89.02	10 of 17 - Sale <sup>25</sup>
	25.000	51.25	02/11/21	73.50	...	-22.25	11 of 17 - Sale <sup>25</sup>
	13.000	26.65	02/11/21	38.22	...	-11.57	12 of 17 - Sale <sup>25</sup>
	5.000	10.25	02/11/21	14.70	...	-4.45	13 of 17 - Sale <sup>25</sup>
	5.000	10.25	02/11/21	14.70	...	-4.45	14 of 17 - Sale <sup>25</sup>
	4.000	8.20	02/11/21	11.76	...	-3.56	15 of 17 - Sale <sup>25</sup>
	0.281	0.57	02/11/21	0.88	...	-0.31	16 of 17 - Sale <sup>25</sup>
	480.769	985.50	02/12/21	1,000.00	...	-14.50	17 of 17 - Sale <sup>25</sup>
02/16/21	16,717.678	34,268.49	Various	48,764.45	...	-14,495.96	Total of 17 transactions
02/16/21	203.000	416.12	02/11/21	596.82	132.22 W	-48.48	Sale <sup>25</sup>
<i>2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	75.830	137.24	02/16/21	233.56	...	-96.32	1 of 2 - Sale <sup>25</sup>
	0.316	0.57	02/16/21	0.97	...	-0.40	2 of 2 - Sale <sup>25</sup>
02/17/21	76.146	137.81	Various	234.53	...	-96.72	Total of 2 transactions
03/22/21	72.392	94.11	03/15/21	178.74	...	-84.63	Sale <sup>25</sup>
	<b>Security total:</b>	<b>82,089.22</b>		<b>73,150.26</b>	<b>343.22 W</b>	<b>9,282.18</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
<i>3 transactions for 01/12/21. Total proceeds and cost reported to the IRS.</i>							
	0.280	240.79	01/11/21	228.27	...	12.52	1 of 3 - Sale <sup>25</sup>
	0.281	241.26	01/11/21	228.71	...	12.55	2 of 3 - Sale <sup>25</sup>
	3.719	3,192.81	01/11/21	3,027.19	...	165.62	3 of 3 - Sale <sup>25</sup>
01/12/21	4.280	3,674.86	Various	3,484.17	...	190.69	Total of 3 transactions
02/11/21	50.425	40,972.86	02/10/21	40,499.47	...	473.39	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
<i>4 transactions for 03/02/21. Total proceeds and cost reported to the IRS.</i>							
	10.877	7,641.86	03/01/21	7,842.31	146.47 W	-53.98	1 of 4 - Sale <sup>25</sup>
	0.116	81.17	03/01/21	83.24	2.07 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.007	5.25	03/01/21	5.39	0.14 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.116	81.17	03/01/21	85.37	4.20 W	0.00	4 of 4 - Sale <sup>25</sup>
03/02/21	11.116	7,809.45	Various	8,016.31	152.88 W	-53.98	Total of 4 transactions
<i>5 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i>							
	0.002	1.12	03/03/21	1.08	...	0.04	1 of 5 - Sale <sup>25</sup>
	0.006	4.18	03/03/21	4.03	...	0.15	2 of 5 - Sale <sup>25</sup>
	0.051	35.86	03/03/21	35.45	...	0.41	3 of 5 - Sale <sup>25</sup>
	0.065	46.03	03/03/21	45.53	...	0.50	4 of 5 - Sale <sup>25</sup>
	7.948	5,636.51	03/03/21	5,428.82	...	207.69	5 of 5 - Sale <sup>25</sup>
03/10/21	8.071	5,723.70	Various	5,514.91	...	208.79	Total of 5 transactions
	<b>Security total:</b>	<b>58,180.87</b>		<b>57,514.86</b>	<b>152.88 W</b>	<b>818.89</b>	
UWM HOLDINGS CORPORATION / CUSIP: 91823B109 / Symbol:							
<i>5 transactions for 03/03/21. Total proceeds and cost reported to the IRS.</i>							
	553.631	5,359.94	03/03/21	6,781.99	...	-1,422.05	1 of 5 - Sale <sup>25</sup>
	105.403	993.98	03/03/21	1,291.19	...	-297.21	2 of 5 - Sale <sup>25</sup>
	0.369	3.57	03/03/21	4.80	...	-1.23	3 of 5 - Sale <sup>25</sup>
	0.051	0.48	03/03/21	0.78	...	-0.30	4 of 5 - Sale <sup>25</sup>
	0.061	0.59	03/03/21	0.75	...	-0.16	5 of 5 - Sale <sup>25</sup>
03/03/21	659.516	6,358.56	Various	8,079.51	...	-1,720.95	Total of 5 transactions
<i>2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.</i>							
	0.534	5.04	03/03/21	6.50	1.01 W	-0.45	1 of 2 - Sale <sup>25</sup>
	0.051	0.46	03/03/21	0.62	0.16 W	0.00	2 of 2 - Sale <sup>25</sup>
03/03/21	0.585	5.50	Various	7.12	1.17 W	-0.45	Total of 2 transactions
	<b>Security total:</b>	<b>6,364.06</b>		<b>8,086.63</b>	<b>1.17 W</b>	<b>-1,721.40</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 821233798

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
3 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	0.145	7.54	01/28/21	7.25	...	0.29	1 of 3 - Sale <sup>25</sup>
	0.305	15.86	01/28/21	15.28	...	0.58	2 of 3 - Sale <sup>25</sup>
	123.695	6,431.98	01/28/21	6,205.77	...	226.21	3 of 3 - Sale <sup>25</sup>
02/01/21	124.145	6,455.38	Various	6,228.30	...	227.08	Total of 3 transactions
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
4 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.340	1.02	01/27/21	0.97	...	0.05	1 of 4 - Sale <sup>25</sup>
	0.525	1.58	01/27/21	1.50	...	0.08	2 of 4 - Sale <sup>25</sup>
	139.000	412.94	01/27/21	396.15	...	16.79	3 of 4 - Sale <sup>25</sup>
	560.000	1,663.66	01/27/21	1,601.38	...	62.28	4 of 4 - Sale <sup>25</sup>
02/03/21	699.866	2,079.20	Various	2,000.00	...	79.20	Total of 4 transactions
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
3 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	2.000	3.34	03/22/21	4.01	...	-0.67	1 of 3 - Sale <sup>25</sup>
	0.050	0.08	03/30/21	0.10	...	-0.02	2 of 3 - Sale <sup>25</sup>
	0.950	1.59	03/30/21	1.43	...	0.16	3 of 3 - Sale <sup>25</sup>
03/31/21	3.000	5.01	Various	5.54	...	-0.53	Total of 3 transactions
03/31/21	0.050	0.08	03/22/21	0.10	0.02 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5.09</b>		<b>5.64</b>	<b>0.02 W</b>	<b>-0.53</b>	
MARVELL TECHNOLOGY GROUP LTD. COMMON STOCK / CUSIP: G5876H105 / Symbol:							
01/19/21	19.495	1,048.04	01/15/21	1,000.00	...	48.04	Sale <sup>25</sup>
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	200.000	279.97	01/29/21	422.00	...	-142.03	1 of 3 - Sale <sup>25</sup>
	63.000	88.19	01/29/21	132.93	...	-44.74	2 of 3 - Sale <sup>25</sup>
	32.000	44.80	01/29/21	67.52	...	-22.72	3 of 3 - Sale <sup>25</sup>
02/10/21	295.000	412.96	Various	622.45	...	-209.49	Total of 3 transactions
<b>Totals :</b>		<b>569,030.75</b>		<b>605,527.82</b>	<b>12,740.34 W</b>	<b>-23,756.73</b>	

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Robinhood Securities LLC

Account 821233798

## Detail for Miscellaneous Information

2021

02/11/2022

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/07/21	25.00	Other income	
			<b>25.00</b>	<b>Total Miscellaneous income</b>	

Robinhood Securities LLC

Account 821233798

**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/30/21	-5.00	Margin interest paid	
		03/31/21	-5.00	Margin interest paid	
		07/01/21	-5.00	Margin interest paid	
		08/02/21	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
Margin charge		08/30/21	-0.62	Margin interest paid	
		08/30/21	-5.00	Margin interest paid	
		09/29/21	-5.00	Margin interest paid	
		09/29/21	-6.42	Margin interest paid	
		10/29/21	-5.00	Margin interest paid	
		10/29/21	-10.57	Margin interest paid	
		11/29/21	-5.00	Margin interest paid	
		11/29/21	-19.43	Margin interest paid	
		12/28/21	-5.00	Margin interest paid	
		12/28/21	-15.52	Margin interest paid	
			-77.56	Total Margin interest paid	
			<b>-97.56</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 821233798

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CLIMATE CHANGE CRISIS REAL IMP ACT I ACQUISITION CORPORATION	18716C100	07/01/21	-20.65	0.00	Exchange	
EVGO INC. CLASS A COMMON STOCK	30052F100	07/01/21	20.65	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 15-17.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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