



Robinhood Securities LLC

Account 410460760

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.20
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
4 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	100.000	674.97	07/16/20	440.79	...	234.18	1 of 4 - Sale <sup>25</sup>
	70.000	472.48	11/02/20	153.84	...	318.64	2 of 4 - Sale <sup>25</sup>
	150.000	1,012.46	11/11/20	457.23	...	555.23	3 of 4 - Sale <sup>25</sup>
	90.000	607.47	12/15/20	252.67	...	354.80	4 of 4 - Sale <sup>25</sup>
01/26/21	410.000	2,767.38	Various	1,304.53	...	1,462.85	Total of 4 transactions
2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	78.000	712.12	02/24/21	635.70	...	76.42	1 of 2 - Sale <sup>25</sup>
	92.000	839.94	02/24/21	749.80	...	90.14	2 of 2 - Sale <sup>25</sup>
02/24/21	170.000	1,552.06	Various	1,385.50	...	166.56	Total of 2 transactions
09/24/21	2.000	79.40	05/06/21	18.62	...	60.78	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,398.84</b>		<b>2,708.65</b>	...	<b>1,690.19</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAMIS PHARMACEUTICALS CORPORATION COMMON STOCK / CUSIP: 00547W208 / Symbol:							
01/08/21	1,000.000	684.86	11/17/20	416.60	...	268.26	Sale <sup>25</sup>
01/08/21	10.000	6.85	11/09/20	7.17	0.16 W	-0.16	Sale <sup>25</sup>
3 transactions for 10/06/21. Total proceeds and cost reported to the IRS.							
	5.000	4.97	01/22/21	9.94	...	-4.97	1 of 3 - Sale <sup>25</sup>
	1.000	0.99	05/06/21	0.80	...	0.19	2 of 3 - Sale <sup>25</sup>
	1.000	0.99	05/11/21	0.68	...	0.31	3 of 3 - Sale <sup>25</sup>
10/06/21	7.000	6.95	Various	11.42	...	-4.47	Total of 3 transactions
	<b>Security total:</b>	<b>698.66</b>		<b>435.19</b>	<b>0.16 W</b>	<b>263.63</b>	
AETERNA ZENTARIS INC. COMMON STOCK / CUSIP: 007975402 / Symbol:							
27 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	3,001.000	3,300.72	03/22/21	4,021.34	...	-720.62	1 of 27 - Sale <sup>25</sup>
	2,011.000	2,231.95	03/22/21	2,694.74	...	-462.79	2 of 27 - Sale <sup>25</sup>
	1,927.000	2,138.72	03/22/21	2,582.18	...	-443.46	3 of 27 - Sale <sup>25</sup>
	1,000.000	1,109.87	03/22/21	1,340.00	...	-230.13	4 of 27 - Sale <sup>25</sup>
	500.000	554.93	03/22/21	670.00	...	-115.07	5 of 27 - Sale <sup>25</sup>
	500.000	554.93	03/22/21	670.00	...	-115.07	6 of 27 - Sale <sup>25</sup>
	500.000	554.93	03/22/21	670.00	...	-115.07	7 of 27 - Sale <sup>25</sup>
	411.000	456.16	03/22/21	550.74	...	-94.58	8 of 27 - Sale <sup>25</sup>
	389.000	431.74	03/22/21	521.26	...	-89.52	9 of 27 - Sale <sup>25</sup>
	370.000	410.66	03/22/21	495.80	...	-85.14	10 of 27 - Sale <sup>25</sup>
	300.000	332.96	03/22/21	402.00	...	-69.04	11 of 27 - Sale <sup>25</sup>
	200.000	221.98	03/22/21	268.00	...	-46.02	12 of 27 - Sale <sup>25</sup>
	200.000	221.98	03/22/21	268.00	...	-46.02	13 of 27 - Sale <sup>25</sup>
	200.000	221.98	03/22/21	268.00	...	-46.02	14 of 27 - Sale <sup>25</sup>
	200.000	221.98	03/22/21	268.00	...	-46.02	15 of 27 - Sale <sup>25</sup>
	189.000	209.77	03/22/21	253.26	...	-43.49	16 of 27 - Sale <sup>25</sup>
	111.000	123.20	03/22/21	148.74	...	-25.54	17 of 27 - Sale <sup>25</sup>
	100.000	110.99	03/22/21	134.00	...	-23.01	18 of 27 - Sale <sup>25</sup>
	100.000	110.99	03/22/21	134.00	...	-23.01	19 of 27 - Sale <sup>25</sup>
	100.000	110.99	03/22/21	134.00	...	-23.01	20 of 27 - Sale <sup>25</sup>
	100.000	110.99	03/22/21	134.00	...	-23.01	21 of 27 - Sale <sup>25</sup>
	100.000	110.99	03/22/21	134.00	...	-23.01	22 of 27 - Sale <sup>25</sup>
	100.000	110.99	03/22/21	134.00	...	-23.01	23 of 27 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AETERNA ZENTARIS INC. COMMON S TOCK / CUSIP: 007975402 / Symbol: (cont'd)							
	100.000	110.99	03/22/21	134.00	...	-23.01	24 of 27 - Sale <sup>25</sup>
	100.000	110.99	03/22/21	134.00	...	-23.01	25 of 27 - Sale <sup>25</sup>
	100.000	110.99	03/22/21	134.00	...	-23.01	26 of 27 - Sale <sup>25</sup>
	91.000	101.00	03/22/21	121.94	...	-20.94	27 of 27 - Sale <sup>25</sup>
03/24/21	13,000.000	14,398.37	Various	17,420.00	...	-3,021.63	Total of 27 transactions
AEYE, INC. CLASS A COMMON STOC K / CUSIP: 008183105 / Symbol:							
09/24/21	1,800.000	14,039.71	09/17/21	15,717.42	...	-1,677.71	Sale <sup>25</sup>
ALLIANCE RESOURCE PARTNERS, L. P. COMMON UNITS REPRESENTING L IMITED PARTNERS INTERESTS / CUSIP: 01877R108 / Symbol:							
4 transactions for 10/06/21. Total proceeds and cost reported to the IRS.							
	0.029	0.33	02/23/21	0.17	...	0.16	1 of 4 - Sale <sup>25</sup>
	0.971	11.28	02/23/21	5.83	...	5.45	2 of 4 - Sale <sup>25</sup>
	0.016	0.19	05/17/21	0.10	...	0.09	3 of 4 - Sale <sup>25</sup>
	0.012	0.14	08/16/21	0.10	...	0.04	4 of 4 - Sale <sup>25</sup>
10/06/21	1.029	11.94	Various	6.20	...	5.74	Total of 4 transactions
ALZAMEND NEURO, INC. COMMON ST OCK / CUSIP: 02262M308 / Symbol:							
5 transactions for 10/05/21. Total proceeds and cost reported to the IRS.							
	789.000	2,183.84	09/30/21	2,485.35	...	-301.51	1 of 5 - Sale <sup>25</sup>
	301.000	833.12	09/30/21	945.14	...	-112.02	2 of 5 - Sale <sup>25</sup>
	100.000	276.79	09/30/21	314.00	...	-37.21	3 of 5 - Sale <sup>25</sup>
	100.000	276.79	09/30/21	314.00	...	-37.21	4 of 5 - Sale <sup>25</sup>
	100.000	276.79	09/30/21	314.00	...	-37.21	5 of 5 - Sale <sup>25</sup>
10/05/21	1,390.000	3,847.33	Various	4,372.49	...	-525.16	Total of 5 transactions
5 transactions for 10/05/21. Total proceeds and cost reported to the IRS.							
	200.000	553.57	09/30/21	628.00	33.49 W	-40.94	1 of 5 - Sale <sup>25</sup>
	10.000	27.68	09/30/21	31.40	3.72 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	276.79	09/30/21	314.00	37.21 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	276.79	09/30/21	314.00	37.21 W	0.00	4 of 5 - Sale <sup>25</sup>
	200.000	553.57	09/30/21	628.00	74.43 W	0.00	5 of 5 - Sale <sup>25</sup>
10/05/21	610.000	1,688.40	Various	1,915.40	186.06 W	-40.94	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALZAMEND NEURO, INC. COMMON ST OCK / CUSIP: 02262M308 / Symbol: (cont'd)							
<i>6 transactions for 10/06/21. Total proceeds and cost reported to the IRS.</i>							
	199.000	490.51	09/30/21	700.91	...	-210.40	1 of 6 - Sale <sup>25</sup>
	100.000	246.48	09/30/21	352.21	...	-105.73	2 of 6 - Sale <sup>25</sup>
	100.000	246.49	09/30/21	352.21	...	-105.72	3 of 6 - Sale <sup>25</sup>
	90.000	221.84	09/30/21	316.99	...	-95.15	4 of 6 - Sale <sup>25</sup>
	10.000	24.65	09/30/21	35.22	...	-10.57	5 of 6 - Sale <sup>25</sup>
	1.000	2.46	09/30/21	3.52	...	-1.06	6 of 6 - Sale <sup>25</sup>
10/06/21	500.000	1,232.43	Various	1,761.06	...	-528.63	Total of 6 transactions
	<b>Security total:</b>	<b>6,768.16</b>		<b>8,048.95</b>	<b>186.06 W</b>	<b>-1,094.73</b>	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
<i>7 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>							
	4.000	62.24	07/15/20	54.20	...	8.04	1 of 7 - Sale <sup>25</sup>
	50.000	777.98	07/15/20	677.50	...	100.48	2 of 7 - Sale <sup>25</sup>
	100.000	1,555.95	07/16/20	1,236.75	...	319.20	3 of 7 - Sale <sup>25</sup>
	30.000	466.79	07/17/20	374.10	...	92.69	4 of 7 - Sale <sup>25</sup>
	4.000	62.24	07/20/20	46.39	...	15.85	5 of 7 - Sale <sup>25</sup>
	80.000	1,244.76	07/21/20	916.60	...	328.16	6 of 7 - Sale <sup>25</sup>
	88.000	1,369.23	07/31/20	951.72	...	417.51	7 of 7 - Sale <sup>25</sup>
01/07/21	356.000	5,539.19	Various	4,257.26	...	1,281.93	Total of 7 transactions
02/09/21	25.000	434.56	01/28/21	508.25	2.95 W	-70.74	Sale <sup>25</sup>
09/24/21	1.000	21.42	03/05/21	21.95	...	-0.53	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,995.17</b>		<b>4,787.46</b>	<b>2.95 W</b>	<b>1,210.66</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
<i>3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.</i>							
	0.003	0.49	09/01/20	0.46	...	0.03	1 of 3 - Sale <sup>25</sup>
	1.997	284.59	09/01/20	265.36	...	19.23	2 of 3 - Sale <sup>25</sup>
	0.003	0.49	11/13/20	0.41	...	0.08	3 of 3 - Sale <sup>25</sup>
01/27/21	2.003	285.57	Various	266.23	...	19.34	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103869 / Symbol:							
<i>4 transactions for 07/20/21. Total proceeds and cost reported to the IRS.</i>							
	74.700	1,208.63	05/12/21	1,979.55	...	-770.92	1 of 4 - Sale <sup>25</sup>
	0.100	1.62	07/06/21	2.70	...	-1.08	2 of 4 - Sale <sup>25</sup>
	0.100	1.61	07/08/21	2.20	...	-0.59	3 of 4 - Sale <sup>25</sup>
	0.100	1.62	07/13/21	1.90	...	-0.28	4 of 4 - Sale <sup>25</sup>
07/20/21	75.000	1,213.48	Various	1,986.35	...	-772.87	Total of 4 transactions
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol:							
<i>3 transactions for 02/24/21. Total proceeds and cost reported to the IRS.</i>							
	250.000	431.22	02/18/21	517.50	...	-86.28	1 of 3 - Sale <sup>25</sup>
	200.000	344.98	02/18/21	414.00	...	-69.02	2 of 3 - Sale <sup>25</sup>
	100.000	172.49	02/18/21	207.00	...	-34.51	3 of 3 - Sale <sup>25</sup>
02/24/21	550.000	948.69	Various	1,138.50	...	-189.81	Total of 3 transactions
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
01/05/21	100.000	508.16	01/04/21	537.99	...	-29.83	Sale <sup>25</sup>
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
<i>2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.60	05/24/21	0.70	...	-0.10	1 of 2 - Sale <sup>25</sup>
	9,500.000	5,676.99	10/19/21	5,648.70	...	28.29	2 of 2 - Sale <sup>25</sup>
10/20/21	9,501.000	5,677.59	Various	5,649.40	...	28.19	Total of 2 transactions
BLUE APRON HOLDINGS, INC. CLAS S A COMMON STOCK / CUSIP: 09523Q200 / Symbol:							
09/15/21	200.000	1,099.97	09/15/21	946.30	...	153.67	Sale <sup>25</sup>
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
<i>4 transactions for 08/27/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.99	02/23/21	3.60	2.61 W	0.00	1 of 4 - Sale <sup>25</sup>
	652.000	323.25	06/21/21	454.38	10.06 W	-121.07	2 of 4 - Sale <sup>25</sup>
	1,700.000	843.16	06/21/21	1,184.73	341.57 W	0.00	3 of 4 - Sale <sup>25</sup>
	648.000	321.39	06/21/21	451.59	130.20 W	0.00	4 of 4 - Sale <sup>25</sup>
08/27/21	3,002.000	1,488.79	Various	2,094.30	484.44 W	-121.07	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
<i>3 transactions for 09/16/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	3.36	09/15/21	5.95	2.59 W	0.00	1 of 3 - Sale <sup>25</sup>
	256.000	430.27	09/15/21	478.96	48.69 W	0.00	2 of 3 - Sale <sup>25</sup>
	142.000	238.67	09/15/21	265.67	27.00 W	0.00	3 of 3 - Sale <sup>25</sup>
09/16/21	400.000	672.30	Various	750.58	78.28 W	0.00	Total of 3 transactions
09/29/21	500.000	2,002.42	09/24/21	1,185.21	...	817.21	Sale <sup>25</sup>
<i>3 transactions for 10/05/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	121.49	09/24/21	118.53	...	2.96	1 of 3 - Sale <sup>25</sup>
	648.000	1,574.56	09/24/21	1,536.04	...	38.52	2 of 3 - Sale <sup>25</sup>
	802.000	1,948.75	09/24/21	1,901.08	...	47.67	3 of 3 - Sale <sup>25</sup>
10/05/21	1,500.000	3,644.80	Various	3,555.65	...	89.15	Total of 3 transactions
<i>2 transactions for 10/06/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	2.30	10/05/21	5.19	2.89 W	0.00	1 of 2 - Sale <sup>25</sup>
	198.000	227.74	10/05/21	295.02	67.28 W	0.00	2 of 2 - Sale <sup>25</sup>
10/06/21	200.000	230.04	Various	300.21	70.17 W	0.00	Total of 2 transactions
10/08/21	1.000	2.31	10/07/21	2.77	0.46 W	0.00	Sale <sup>25</sup>
<i>12 transactions for 10/11/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	7.50	10/07/21	6.60	...	0.90	1 of 12 - Sale <sup>25</sup>
	13.000	19.50	10/07/21	17.15	...	2.35	2 of 12 - Sale <sup>25</sup>
	20.000	30.00	10/07/21	26.39	...	3.61	3 of 12 - Sale <sup>25</sup>
	24.000	36.00	10/07/21	31.66	...	4.34	4 of 12 - Sale <sup>25</sup>
	100.000	149.99	10/07/21	131.93	...	18.06	5 of 12 - Sale <sup>25</sup>
	300.000	449.96	10/07/21	395.79	...	54.17	6 of 12 - Sale <sup>25</sup>
	470.000	704.93	10/07/21	620.07	...	84.86	7 of 12 - Sale <sup>25</sup>
	500.000	749.93	10/07/21	659.65	...	90.28	8 of 12 - Sale <sup>25</sup>
	551.000	826.43	10/07/21	726.93	...	99.50	9 of 12 - Sale <sup>25</sup>
	617.000	925.42	10/07/21	814.01	...	111.41	10 of 12 - Sale <sup>25</sup>
	1,000.000	1,499.87	10/07/21	1,319.30	...	180.57	11 of 12 - Sale <sup>25</sup>
	5,000.000	7,499.36	10/07/21	6,596.50	...	902.86	12 of 12 - Sale <sup>25</sup>
10/11/21	8,600.000	12,898.89	Various	11,345.98	...	1,552.91	Total of 12 transactions
<i>6 transactions for 10/11/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.50	10/07/21	2.76	1.26 W	0.00	1 of 6 - Sale <sup>25</sup>
	99.000	148.49	10/07/21	164.25	15.76 W	0.00	2 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	50.000	75.00	10/07/21	82.95	7.95 W	0.00	3 of 6 - Sale <sup>25</sup>
	49.000	73.49	10/07/21	81.30	7.81 W	0.00	4 of 6 - Sale <sup>25</sup>
	58.000	86.99	10/07/21	87.55	0.56 W	0.00	5 of 6 - Sale <sup>25</sup>
	142.000	212.98	10/07/21	214.34	1.36 W	0.00	6 of 6 - Sale <sup>25</sup>
10/11/21	399.000	598.45	Various	633.15	34.70 W	0.00	Total of 6 transactions
10/14/21	183.000	243.37	10/11/21	290.97	...	-47.60	Sale <sup>25</sup>
	13 transactions for 10/14/21. Total proceeds and cost reported to the IRS.						
	3,000.000	3,989.62	10/11/21	4,770.00	439.61 W	-340.77	1 of 13 - Sale <sup>25</sup>
	1.000	1.33	10/11/21	2.85	1.52 W	0.00	2 of 13 - Sale <sup>25</sup>
	1.000	1.33	10/11/21	2.05	0.72 W	0.00	3 of 13 - Sale <sup>25</sup>
	49.000	65.16	10/11/21	85.72	20.56 W	0.00	4 of 13 - Sale <sup>25</sup>
	50.000	66.49	10/11/21	87.45	20.96 W	0.00	5 of 13 - Sale <sup>25</sup>
	99.000	131.66	10/11/21	173.17	41.51 W	0.00	6 of 13 - Sale <sup>25</sup>
	58.000	77.13	10/11/21	92.78	15.65 W	0.00	7 of 13 - Sale <sup>25</sup>
	142.000	188.84	10/11/21	227.14	38.30 W	0.00	8 of 13 - Sale <sup>25</sup>
	1,300.000	1,728.84	10/11/21	2,067.00	338.16 W	0.00	9 of 13 - Sale <sup>25</sup>
	2,317.000	3,081.32	10/11/21	3,684.03	602.71 W	0.00	10 of 13 - Sale <sup>25</sup>
	800.000	1,063.90	10/11/21	1,272.00	208.10 W	0.00	11 of 13 - Sale <sup>25</sup>
	300.000	398.96	10/11/21	477.00	78.04 W	0.00	12 of 13 - Sale <sup>25</sup>
	200.000	265.98	10/11/21	318.00	52.02 W	0.00	13 of 13 - Sale <sup>25</sup>
10/14/21	8,317.000	11,060.56	Various	13,259.19	1,857.86 W	-340.77	Total of 13 transactions
	21 transactions for 10/28/21. Total proceeds and cost reported to the IRS.						
	2,195.000	2,864.20	10/15/21	3,752.41	...	-888.21	1 of 21 - Sale <sup>25</sup>
	1,105.000	1,436.36	10/15/21	1,889.02	...	-452.66	2 of 21 - Sale <sup>25</sup>
	805.000	1,050.42	10/15/21	1,376.17	...	-325.75	3 of 21 - Sale <sup>25</sup>
	800.000	1,043.90	10/15/21	1,367.62	...	-323.72	4 of 21 - Sale <sup>25</sup>
	578.000	754.21	10/15/21	988.11	...	-233.90	5 of 21 - Sale <sup>25</sup>
	495.000	645.91	10/15/21	846.21	...	-200.30	6 of 21 - Sale <sup>25</sup>
	300.000	391.46	10/15/21	512.86	...	-121.40	7 of 21 - Sale <sup>25</sup>
	178.000	232.27	10/15/21	304.29	...	-72.02	8 of 21 - Sale <sup>25</sup>
	137.000	178.77	10/15/21	235.51	...	-56.74	9 of 21 - Sale <sup>25</sup>
	99.000	129.18	10/15/21	185.00	...	-55.82	10 of 21 - Sale <sup>25</sup>
	122.000	159.19	10/15/21	208.56	...	-49.37	11 of 21 - Sale <sup>25</sup>
	50.000	65.24	10/15/21	93.43	...	-28.19	12 of 21 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	49.000	63.94	10/15/21	91.58	...	-27.64	13 of 21 - Sale <sup>25</sup>
	58.000	75.69	10/15/21	99.72	...	-24.03	14 of 21 - Sale <sup>25</sup>
	22.000	28.71	10/15/21	37.61	...	-8.90	15 of 21 - Sale <sup>25</sup>
	5.000	6.52	10/15/21	8.60	...	-2.08	16 of 21 - Sale <sup>25</sup>
	1.000	1.30	10/15/21	2.97	...	-1.67	17 of 21 - Sale <sup>25</sup>
	1.000	1.30	10/15/21	2.17	...	-0.87	18 of 21 - Sale <sup>25</sup>
	5.000	6.50	10/22/21	8.50	...	-2.00	19 of 21 - Sale <sup>25</sup>
	1.000	1.30	10/22/21	1.78	...	-0.48	20 of 21 - Sale <sup>25</sup>
	1.000	1.30	10/22/21	1.71	...	-0.41	21 of 21 - Sale <sup>25</sup>
10/28/21	7,007.000	9,137.67	Various	12,013.83	...	-2,876.16	Total of 21 transactions
	<b>Security total:</b>	<b>41,979.60</b>		<b>45,431.84</b>	<b>2,525.91 W</b>	<b>-926.33</b>	
CARDIOL THERAPEUTICS INC. CLAS S A COMMON SHARES / CUSIP: 14161Y200 / Symbol:							
10/08/21	400.000	1,305.34	09/24/21	1,788.00	...	-482.66	Sale <sup>25</sup>
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
01/12/21	100.000	1,965.44	07/21/20	1,528.83	...	436.61	Sale <sup>25</sup>
CARNIVAL PLC / CUSIP: 14365C103 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	5.000	79.40	09/04/20	77.90	...	1.50	1 of 2 - Sale <sup>25</sup>
	9.000	142.92	09/04/20	140.31	...	2.61	2 of 2 - Sale <sup>25</sup>
01/27/21	14.000	222.32	Various	218.21	...	4.11	Total of 2 transactions
CHEMBIO DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 163572209 / Symbol:							
17 transactions for 07/23/21. Total proceeds and cost reported to the IRS.							
	1,300.000	4,460.12	07/22/21	8,970.00	...	-4,509.88	1 of 17 - Sale <sup>25</sup>
	300.000	1,029.25	07/22/21	2,070.00	...	-1,040.75	2 of 17 - Sale <sup>25</sup>
	100.000	343.49	07/22/21	690.00	...	-346.51	3 of 17 - Sale <sup>25</sup>
	100.000	343.49	07/22/21	690.00	...	-346.51	4 of 17 - Sale <sup>25</sup>
	100.000	343.49	07/22/21	690.00	...	-346.51	5 of 17 - Sale <sup>25</sup>
	100.000	343.49	07/22/21	690.00	...	-346.51	6 of 17 - Sale <sup>25</sup>
	100.000	343.49	07/22/21	690.00	...	-346.51	7 of 17 - Sale <sup>25</sup>
	100.000	343.49	07/22/21	690.00	...	-346.51	8 of 17 - Sale <sup>25</sup>
	100.000	343.49	07/22/21	690.00	...	-346.51	9 of 17 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHEMBIO DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 163572209 / Symbol: (cont'd)							
	100.000	343.49	07/22/21	690.00	...	-346.51	10 of 17 - Sale <sup>25</sup>
	100.000	343.49	07/22/21	690.00	...	-346.51	11 of 17 - Sale <sup>25</sup>
	100.000	343.49	07/22/21	690.00	...	-346.51	12 of 17 - Sale <sup>25</sup>
	100.000	343.49	07/22/21	690.00	...	-346.51	13 of 17 - Sale <sup>25</sup>
	100.000	343.49	07/22/21	690.00	...	-346.51	14 of 17 - Sale <sup>25</sup>
	100.000	343.49	07/22/21	690.00	...	-346.51	15 of 17 - Sale <sup>25</sup>
	58.000	199.22	07/22/21	400.20	...	-200.98	16 of 17 - Sale <sup>25</sup>
	42.000	144.27	07/22/21	289.80	...	-145.53	17 of 17 - Sale <sup>25</sup>
07/23/21	3,000.000	10,298.23	Various	20,700.00	...	-10,401.77	Total of 17 transactions
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	5.000	84.65	10/14/20	41.99	...	42.66	1 of 2 - Sale <sup>25</sup>
	95.000	1,608.30	10/14/20	797.90	...	810.40	2 of 2 - Sale <sup>25</sup>
01/04/21	100.000	1,692.95	Various	839.89	...	853.06	Total of 2 transactions
COMSOVEREIGN HOLDING CORP. COM MON STOCK / CUSIP: 205650203 / Symbol:							
2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	496.000	1,279.61	03/11/21	1,656.64	...	-377.03	1 of 2 - Sale <sup>25</sup>
	4.000	10.32	03/11/21	21.04	...	-10.72	2 of 2 - Sale <sup>25</sup>
04/07/21	500.000	1,289.93	Various	1,677.68	...	-387.75	Total of 2 transactions
04/07/21	4.000	10.32	02/22/21	18.00	7.68 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,300.25</b>		<b>1,695.68</b>	<b>7.68 W</b>	<b>-387.75</b>	
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
6 transactions for 09/27/21. Total proceeds and cost reported to the IRS.							
	1.000	6.05	08/12/21	7.50	1.45 W	0.00	1 of 6 - Sale <sup>25</sup>
	499.000	3,021.37	09/24/21	3,047.44	26.07 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	6.10	09/24/21	7.56	1.46 W	0.00	3 of 6 - Sale <sup>25</sup>
	99.000	603.88	09/24/21	604.60	0.72 W	0.00	4 of 6 - Sale <sup>25</sup>
	100.000	609.98	09/24/21	610.71	0.73 W	0.00	5 of 6 - Sale <sup>25</sup>
	300.000	1,829.95	09/24/21	1,832.13	2.18 W	0.00	6 of 6 - Sale <sup>25</sup>
09/27/21	1,000.000	6,077.33	Various	6,109.94	32.61 W	0.00	Total of 6 transactions
09/29/21	500.000	2,910.92	09/24/21	3,053.55	14.55 W	-128.08	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol: (cont'd)							
8 transactions for 10/05/21. Total proceeds and cost reported to the IRS.							
	499.000	2,442.54	09/24/21	3,083.29	...	-640.75	1 of 8 - Sale <sup>25</sup>
	300.000	1,468.45	09/24/21	1,840.19	...	-371.74	2 of 8 - Sale <sup>25</sup>
	100.000	489.49	09/24/21	613.40	...	-123.91	3 of 8 - Sale <sup>25</sup>
	99.000	484.59	09/24/21	607.26	...	-122.67	4 of 8 - Sale <sup>25</sup>
	1.000	4.89	09/24/21	7.59	...	-2.70	5 of 8 - Sale <sup>25</sup>
	1.000	4.89	09/24/21	6.42	...	-1.53	6 of 8 - Sale <sup>25</sup>
	1.000	4.89	09/24/21	6.11	...	-1.22	7 of 8 - Sale <sup>25</sup>
	50.000	244.74	10/05/21	261.01	...	-16.27	8 of 8 - Sale <sup>25</sup>
10/05/21	1,051.000	5,144.48	Various	6,425.27	...	-1,280.79	Total of 8 transactions
	<b>Security total:</b>	<b>14,132.73</b>		<b>15,588.76</b>	<b>47.16 W</b>	<b>-1,408.87</b>	
CREATD INC. COMMON STOCK / CUSIP: 225265107 / Symbol:							
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	100.000	322.29	10/22/21	623.00	...	-300.71	1 of 3 - Sale <sup>25</sup>
	100.000	322.29	10/22/21	623.00	...	-300.71	2 of 3 - Sale <sup>25</sup>
	100.000	322.30	10/22/21	623.00	...	-300.70	3 of 3 - Sale <sup>25</sup>
11/12/21	300.000	966.88	Various	1,869.00	...	-902.12	Total of 3 transactions
CUENTAS, INC. COMMON STOCK / CUSIP: 229794201 / Symbol:							
3 transactions for 07/22/21. Total proceeds and cost reported to the IRS.							
	4,790.000	18,584.53	07/22/21	22,896.20	...	-4,311.67	1 of 3 - Sale <sup>25</sup>
	600.000	2,327.91	07/22/21	2,868.00	...	-540.09	2 of 3 - Sale <sup>25</sup>
	110.000	426.79	07/22/21	525.80	...	-99.01	3 of 3 - Sale <sup>25</sup>
07/22/21	5,500.000	21,339.23	Various	26,290.00	...	-4,950.77	Total of 3 transactions
DATCHAT, INC. COMMON STOCK / CUSIP: 23816M107 / Symbol:							
2 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	40.000	569.99	09/23/21	399.19	...	170.80	1 of 2 - Sale <sup>25</sup>
	80.000	1,139.99	09/23/21	795.60	...	344.39	2 of 2 - Sale <sup>25</sup>
09/29/21	120.000	1,709.98	Various	1,194.79	...	515.19	Total of 2 transactions
12/17/21	25.000	76.50	10/22/21	295.00	...	-218.50	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,786.48</b>		<b>1,489.79</b>	...	<b>296.69</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIGITAL BRANDS GROUP, INC. COM MON STOCK / CUSIP: 25401N101 / Symbol:							
6 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1,073.000	4,978.56	10/28/21	5,547.41	...	-568.85	1 of 6 - Sale <sup>25</sup>
	412.000	1,911.62	10/28/21	2,130.04	...	-218.42	2 of 6 - Sale <sup>25</sup>
	78.000	361.91	10/28/21	443.83	...	-81.92	3 of 6 - Sale <sup>25</sup>
	10.000	46.40	10/28/21	62.10	...	-15.70	4 of 6 - Sale <sup>25</sup>
	12.000	55.68	10/28/21	68.28	...	-12.60	5 of 6 - Sale <sup>25</sup>
	5.000	23.20	10/28/21	25.85	...	-2.65	6 of 6 - Sale <sup>25</sup>
10/28/21	1,590.000	7,377.37	Various	8,277.51	...	-900.14	Total of 6 transactions
4 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	10.000	46.50	10/28/21	51.70	5.20 W	0.00	1 of 4 - Sale <sup>25</sup>
	12.000	55.80	10/28/21	62.04	6.24 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	46.50	10/28/21	56.90	10.40 W	0.00	3 of 4 - Sale <sup>25</sup>
	78.000	362.69	10/28/21	403.26	40.57 W	0.00	4 of 4 - Sale <sup>25</sup>
10/28/21	110.000	511.49	Various	573.90	62.41 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>7,888.86</b>		<b>8,851.41</b>	<b>62.41 W</b>	<b>-900.14</b>	
EDESAS BIOTECH, INC. COMMON SHA RES / CUSIP: 27966L108 / Symbol:							
09/21/21	71.000	607.03	09/20/21	788.81	...	-181.78	Sale <sup>25</sup>
09/24/21	29.000	237.18	09/20/21	322.19	...	-85.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>844.21</b>		<b>1,111.00</b>	...	<b>-266.79</b>	
ELYS GAME TECHNOLOGY, CORP. CO MMON STOCK / CUSIP: 290734102 / Symbol:							
09/15/21	150.000	731.99	08/31/21	802.50	...	-70.51	Sale <sup>25</sup>
EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol:							
01/08/21	100.000	1,278.96	09/02/20	802.80	...	476.16	Sale <sup>25</sup>
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol:							
4 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	3.000	6.60	01/08/21	4.61	...	1.99	1 of 4 - Sale <sup>25</sup>
	57.000	125.39	01/08/21	87.60	...	37.79	2 of 4 - Sale <sup>25</sup>
	57.000	125.39	01/11/21	91.37	...	34.02	3 of 4 - Sale <sup>25</sup>
	443.000	974.52	01/11/21	710.08	...	264.44	4 of 4 - Sale <sup>25</sup>
01/27/21	560.000	1,231.90	Various	893.66	...	338.24	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
<i>7 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	1,039.96	07/08/20	1,330.00	...	-290.04	1 of 7 - Sale <sup>25</sup>
	48.000	49.92	07/31/20	51.71	...	-1.79	2 of 7 - Sale <sup>25</sup>
	500.000	519.98	08/04/20	548.75	...	-28.77	3 of 7 - Sale <sup>25</sup>
	300.000	311.99	09/01/20	323.34	...	-11.35	4 of 7 - Sale <sup>25</sup>
	200.000	207.99	09/04/20	192.98	...	15.01	5 of 7 - Sale <sup>25</sup>
	500.000	519.97	09/04/20	482.45	...	37.52	6 of 7 - Sale <sup>25</sup>
	1,000.000	1,039.95	11/09/20	845.60	...	194.35	7 of 7 - Sale <sup>25</sup>
01/07/21	3,548.000	3,689.76	Various	3,774.83	...	-85.07	Total of 7 transactions
<i>2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	327.49	02/22/21	273.00	...	54.49	1 of 2 - Sale <sup>25</sup>
	100.000	327.49	02/22/21	273.00	...	54.49	2 of 2 - Sale <sup>25</sup>
02/24/21	200.000	654.98	Various	546.00	...	108.98	Total of 2 transactions
02/25/21	1,900.000	5,624.88	02/24/21	7,125.00	1,500.12 W	0.00	Sale <sup>25</sup>
<i>5 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i>							
	38.000	180.49	03/01/21	141.34	...	39.15	1 of 5 - Sale <sup>25</sup>
	71.000	337.24	03/01/21	264.09	...	73.15	2 of 5 - Sale <sup>25</sup>
	91.000	432.24	03/01/21	338.48	...	93.76	3 of 5 - Sale <sup>25</sup>
	100.000	474.98	03/01/21	371.95	...	103.03	4 of 5 - Sale <sup>25</sup>
	200.000	949.97	03/01/21	743.91	...	206.06	5 of 5 - Sale <sup>25</sup>
03/10/21	500.000	2,374.92	Various	1,859.77	...	515.15	Total of 5 transactions
<i>2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	217.58	03/01/21	185.98	...	31.60	1 of 2 - Sale <sup>25</sup>
	200.000	870.35	03/01/21	743.90	...	126.45	2 of 2 - Sale <sup>25</sup>
03/12/21	250.000	1,087.93	Various	929.88	...	158.05	Total of 2 transactions
<i>13 transactions for 03/15/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	217.49	03/01/21	185.98	...	31.51	1 of 13 - Sale <sup>25</sup>
	50.000	217.99	03/01/21	185.98	...	32.01	2 of 13 - Sale <sup>25</sup>
	50.000	218.00	03/01/21	185.98	...	32.02	3 of 13 - Sale <sup>25</sup>
	100.000	434.98	03/01/21	371.95	...	63.03	4 of 13 - Sale <sup>25</sup>
	100.000	435.99	03/01/21	371.96	...	64.03	5 of 13 - Sale <sup>25</sup>
	100.000	435.99	03/01/21	371.95	...	64.04	6 of 13 - Sale <sup>25</sup>
	100.000	435.99	03/01/21	371.95	...	64.04	7 of 13 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol: (cont'd)							
	100.000	435.99	03/01/21	371.95	...	64.04	8 of 13 - Sale <sup>25</sup>
	100.000	435.99	03/01/21	371.95	...	64.04	9 of 13 - Sale <sup>25</sup>
	100.000	484.98	03/01/21	294.00	...	190.98	10 of 13 - Sale <sup>25</sup>
	400.000	1,939.94	03/01/21	1,487.82	...	452.12	11 of 13 - Sale <sup>25</sup>
	250.000	1,260.44	03/01/21	735.00	...	525.44	12 of 13 - Sale <sup>25</sup>
	500.000	2,405.87	03/01/21	1,470.00	...	935.87	13 of 13 - Sale <sup>25</sup>
03/15/21	2,000.000	9,359.64	Various	6,776.47	...	2,583.17	Total of 13 transactions
03/16/21	2,250.000	10,124.90	03/01/21	6,615.00	...	3,509.90	Sale <sup>25</sup>
	8 transactions for 05/19/21. Total proceeds and cost reported to the IRS.						
	0.388	1.33	05/13/21	1.28	...	0.05	1 of 8 - Sale <sup>25</sup>
	100.000	342.99	05/13/21	331.50	...	11.49	2 of 8 - Sale <sup>25</sup>
	100.000	342.99	05/13/21	331.50	...	11.49	3 of 8 - Sale <sup>25</sup>
	197.568	677.63	05/13/21	650.00	...	27.63	4 of 8 - Sale <sup>25</sup>
	661.000	2,267.14	05/13/21	2,194.52	...	72.62	5 of 8 - Sale <sup>25</sup>
	10,283.494	35,270.97	05/13/21	34,141.20	...	1,129.77	6 of 8 - Sale <sup>25</sup>
	0.211	0.73	05/19/21	0.72	...	0.01	7 of 8 - Sale <sup>25</sup>
	9.789	34.07	05/19/21	33.66	...	0.41	8 of 8 - Sale <sup>25</sup>
05/19/21	11,352.450	38,937.85	Various	37,684.38	...	1,253.47	Total of 8 transactions
	36 transactions for 05/19/21. Total proceeds and cost reported to the IRS.						
	90.211	313.92	05/19/21	316.64	2.72 W	0.00	1 of 36 - Sale <sup>25</sup>
	100.000	347.99	05/19/21	351.00	3.01 W	0.00	2 of 36 - Sale <sup>25</sup>
	100.000	347.99	05/19/21	351.00	3.01 W	0.00	3 of 36 - Sale <sup>25</sup>
	100.000	347.99	05/19/21	351.00	3.01 W	0.00	4 of 36 - Sale <sup>25</sup>
	100.000	347.99	05/19/21	351.00	3.01 W	0.00	5 of 36 - Sale <sup>25</sup>
	207.000	720.33	05/19/21	726.57	6.24 W	0.00	6 of 36 - Sale <sup>25</sup>
	9.000	31.32	05/19/21	31.59	0.27 W	0.00	7 of 36 - Sale <sup>25</sup>
	100.000	347.99	05/19/21	351.00	3.01 W	0.00	8 of 36 - Sale <sup>25</sup>
	300.000	1,043.95	05/19/21	1,053.00	9.05 W	0.00	9 of 36 - Sale <sup>25</sup>
	100.000	347.99	05/19/21	351.00	3.01 W	0.00	10 of 36 - Sale <sup>25</sup>
	184.000	640.29	05/19/21	645.84	5.55 W	0.00	11 of 36 - Sale <sup>25</sup>
	38.000	132.24	05/19/21	133.38	1.14 W	0.00	12 of 36 - Sale <sup>25</sup>
	100.000	347.99	05/19/21	351.00	3.01 W	0.00	13 of 36 - Sale <sup>25</sup>
	671.789	2,337.73	05/19/21	2,357.98	20.25 W	0.00	14 of 36 - Sale <sup>25</sup>
	9.789	34.06	05/19/21	34.65	0.59 W	0.00	15 of 36 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol: (cont'd)							
	90.211	313.92	05/19/21	319.36	5.44 W	0.00	16 of 36 - Sale <sup>25</sup>
	9.789	34.06	05/19/21	34.65	0.59 W	0.00	17 of 36 - Sale <sup>25</sup>
	90.211	313.92	05/19/21	319.36	5.44 W	0.00	18 of 36 - Sale <sup>25</sup>
	100.000	347.99	05/19/21	354.01	6.02 W	0.00	19 of 36 - Sale <sup>25</sup>
	9.789	34.06	05/19/21	34.65	0.59 W	0.00	20 of 36 - Sale <sup>25</sup>
	90.211	313.92	05/19/21	319.36	5.44 W	0.00	21 of 36 - Sale <sup>25</sup>
	9.789	34.07	05/19/21	34.66	0.59 W	0.00	22 of 36 - Sale <sup>25</sup>
	90.211	313.92	05/19/21	319.36	5.44 W	0.00	23 of 36 - Sale <sup>25</sup>
	2.789	9.71	05/19/21	9.87	0.16 W	0.00	24 of 36 - Sale <sup>25</sup>
	197.211	686.27	05/19/21	698.15	11.88 W	0.00	25 of 36 - Sale <sup>25</sup>
	6.211	21.61	05/19/21	21.99	0.38 W	0.00	26 of 36 - Sale <sup>25</sup>
	93.789	326.37	05/19/21	332.03	5.66 W	0.00	27 of 36 - Sale <sup>25</sup>
	100.000	347.99	05/19/21	354.01	6.02 W	0.00	28 of 36 - Sale <sup>25</sup>
	38.000	132.23	05/19/21	134.52	2.29 W	0.00	29 of 36 - Sale <sup>25</sup>
	100.000	347.99	05/19/21	354.01	6.02 W	0.00	30 of 36 - Sale <sup>25</sup>
	100.000	347.99	05/19/21	354.01	6.02 W	0.00	31 of 36 - Sale <sup>25</sup>
	184.000	640.29	05/19/21	651.39	11.10 W	0.00	32 of 36 - Sale <sup>25</sup>
	206.211	717.59	05/19/21	730.02	12.43 W	0.00	33 of 36 - Sale <sup>25</sup>
	5,313.789	18,491.26	05/19/21	18,651.40	160.14 W	0.00	34 of 36 - Sale <sup>25</sup>
	1,714.211	5,965.22	05/19/21	6,016.88	51.66 W	0.00	35 of 36 - Sale <sup>25</sup>
	70.000	243.59	05/19/21	245.70	2.11 W	0.00	36 of 36 - Sale <sup>25</sup>
05/19/21	10,826.211	37,673.74	Various	38,046.04	372.30 W	0.00	Total of 36 transactions
	88 transactions for 05/21/21. Total proceeds and cost reported to the IRS.						
	10.000	36.00	05/19/21	34.90	...	1.10	1 of 88 - Sale <sup>25</sup>
	1.000	3.60	05/20/21	3.53	...	0.07	2 of 88 - Sale <sup>25</sup>
	1.000	3.60	05/20/21	3.50	...	0.10	3 of 88 - Sale <sup>25</sup>
	2.789	10.04	05/20/21	9.87	...	0.17	4 of 88 - Sale <sup>25</sup>
	1.000	3.59	05/20/21	3.42	...	0.17	5 of 88 - Sale <sup>25</sup>
	4.000	14.40	05/20/21	14.16	...	0.24	6 of 88 - Sale <sup>25</sup>
	6.211	22.36	05/20/21	21.99	...	0.37	7 of 88 - Sale <sup>25</sup>
	9.789	35.24	05/20/21	34.66	...	0.58	8 of 88 - Sale <sup>25</sup>
	10.211	36.76	05/20/21	36.15	...	0.61	9 of 88 - Sale <sup>25</sup>
	10.211	36.76	05/20/21	36.15	...	0.61	10 of 88 - Sale <sup>25</sup>
	9.789	35.24	05/20/21	34.56	...	0.68	11 of 88 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol: (cont'd)							
9.789		35.24	05/20/21	34.56	...	0.68	12 of 88 - Sale <sup>25</sup>
9.789		35.24	05/20/21	34.56	...	0.68	13 of 88 - Sale <sup>25</sup>
10.211		36.76	05/20/21	36.05	...	0.71	14 of 88 - Sale <sup>25</sup>
10.211		36.76	05/20/21	36.05	...	0.71	15 of 88 - Sale <sup>25</sup>
10.211		36.76	05/20/21	36.05	...	0.71	16 of 88 - Sale <sup>25</sup>
10.211		36.76	05/20/21	36.05	...	0.71	17 of 88 - Sale <sup>25</sup>
15.000		54.00	05/20/21	53.10	...	0.90	18 of 88 - Sale <sup>25</sup>
12.000		43.08	05/20/21	42.12	...	0.96	19 of 88 - Sale <sup>25</sup>
11.000		39.60	05/20/21	38.50	...	1.10	20 of 88 - Sale <sup>25</sup>
19.000		68.40	05/20/21	67.27	...	1.13	21 of 88 - Sale <sup>25</sup>
19.000		68.40	05/20/21	67.26	...	1.14	22 of 88 - Sale <sup>25</sup>
20.000		72.00	05/20/21	70.60	...	1.40	23 of 88 - Sale <sup>25</sup>
30.000		108.00	05/20/21	106.21	...	1.79	24 of 88 - Sale <sup>25</sup>
30.000		108.00	05/20/21	106.20	...	1.80	25 of 88 - Sale <sup>25</sup>
38.000		136.41	05/20/21	134.53	...	1.88	26 of 88 - Sale <sup>25</sup>
30.000		108.00	05/20/21	105.91	...	2.09	27 of 88 - Sale <sup>25</sup>
30.000		108.00	05/20/21	105.90	...	2.10	28 of 88 - Sale <sup>25</sup>
30.000		108.00	05/20/21	105.90	...	2.10	29 of 88 - Sale <sup>25</sup>
30.000		108.00	05/20/21	105.90	...	2.10	30 of 88 - Sale <sup>25</sup>
30.000		108.00	05/20/21	105.90	...	2.10	31 of 88 - Sale <sup>25</sup>
29.000		104.40	05/20/21	101.51	...	2.89	32 of 88 - Sale <sup>25</sup>
29.000		104.40	05/20/21	101.51	...	2.89	33 of 88 - Sale <sup>25</sup>
29.000		104.40	05/20/21	101.50	...	2.90	34 of 88 - Sale <sup>25</sup>
50.000		179.99	05/20/21	177.02	...	2.97	35 of 88 - Sale <sup>25</sup>
65.000		233.34	05/20/21	230.12	...	3.22	36 of 88 - Sale <sup>25</sup>
50.000		179.99	05/20/21	176.52	...	3.47	37 of 88 - Sale <sup>25</sup>
50.000		179.99	05/20/21	176.52	...	3.47	38 of 88 - Sale <sup>25</sup>
50.000		179.99	05/20/21	176.52	...	3.47	39 of 88 - Sale <sup>25</sup>
50.000		179.99	05/20/21	176.51	...	3.48	40 of 88 - Sale <sup>25</sup>
66.000		237.59	05/20/21	233.65	...	3.94	41 of 88 - Sale <sup>25</sup>
70.000		251.99	05/20/21	247.82	...	4.17	42 of 88 - Sale <sup>25</sup>
81.000		291.59	05/20/21	286.76	...	4.83	43 of 88 - Sale <sup>25</sup>
81.000		291.59	05/20/21	286.76	...	4.83	44 of 88 - Sale <sup>25</sup>
100.000		358.99	05/20/21	354.02	...	4.97	45 of 88 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol: (cont'd)							
	87.000	313.19	05/20/21	308.00	...	5.19	46 of 88 - Sale <sup>25</sup>
	93.789	337.62	05/20/21	332.05	...	5.57	47 of 88 - Sale <sup>25</sup>
	70.000	251.29	05/20/21	245.71	...	5.58	48 of 88 - Sale <sup>25</sup>
	59.789	215.23	05/20/21	209.27	...	5.96	49 of 88 - Sale <sup>25</sup>
	100.000	359.99	05/20/21	354.03	...	5.96	50 of 88 - Sale <sup>25</sup>
	100.000	359.99	05/20/21	354.03	...	5.96	51 of 88 - Sale <sup>25</sup>
	71.000	255.59	05/20/21	248.51	...	7.08	52 of 88 - Sale <sup>25</sup>
	71.000	255.59	05/20/21	248.51	...	7.08	53 of 88 - Sale <sup>25</sup>
	71.000	255.59	05/20/21	248.51	...	7.08	54 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	351.02	...	7.97	55 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	351.01	...	7.98	56 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	351.01	...	7.98	57 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	351.01	...	7.98	58 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	351.01	...	7.98	59 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	351.01	...	7.98	60 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	351.01	...	7.98	61 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	351.01	...	7.98	62 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	351.01	...	7.98	63 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	351.01	...	7.98	64 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	351.01	...	7.98	65 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	351.01	...	7.98	66 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	351.01	...	7.98	67 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	351.01	...	7.98	68 of 88 - Sale <sup>25</sup>
	89.000	320.39	05/20/21	311.51	...	8.88	69 of 88 - Sale <sup>25</sup>
	100.000	359.98	05/20/21	350.01	...	9.97	70 of 88 - Sale <sup>25</sup>
	100.000	359.99	05/20/21	350.02	...	9.97	71 of 88 - Sale <sup>25</sup>
	100.000	358.99	05/20/21	348.00	...	10.99	72 of 88 - Sale <sup>25</sup>
	197.211	707.96	05/20/21	692.23	...	15.73	73 of 88 - Sale <sup>25</sup>
	200.000	717.97	05/20/21	702.03	...	15.94	74 of 88 - Sale <sup>25</sup>
	200.000	717.97	05/20/21	702.03	...	15.94	75 of 88 - Sale <sup>25</sup>
	200.000	717.97	05/20/21	702.03	...	15.94	76 of 88 - Sale <sup>25</sup>
	200.000	717.97	05/20/21	702.03	...	15.94	77 of 88 - Sale <sup>25</sup>
	200.000	717.97	05/20/21	702.03	...	15.94	78 of 88 - Sale <sup>25</sup>
	200.000	717.97	05/20/21	702.03	...	15.94	79 of 88 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol: (cont'd)							
	200.000	717.97	05/20/21	702.03	...	15.94	80 of 88 - Sale <sup>25</sup>
	200.000	717.97	05/20/21	696.00	...	21.97	81 of 88 - Sale <sup>25</sup>
	200.000	717.97	05/20/21	696.00	...	21.97	82 of 88 - Sale <sup>25</sup>
	300.000	1,076.96	05/20/21	1,053.04	...	23.92	83 of 88 - Sale <sup>25</sup>
	300.000	1,076.96	05/20/21	1,053.04	...	23.92	84 of 88 - Sale <sup>25</sup>
	717.000	2,573.92	05/20/21	2,516.77	...	57.15	85 of 88 - Sale <sup>25</sup>
	1,000.000	3,589.86	05/20/21	3,510.14	...	79.72	86 of 88 - Sale <sup>25</sup>
	1,213.000	4,354.49	05/20/21	4,221.24	...	133.25	87 of 88 - Sale <sup>25</sup>
	1,701.789	6,109.18	05/20/21	5,973.54	...	135.64	88 of 88 - Sale <sup>25</sup>
05/21/21	11,012.000	39,551.59	Various	38,636.77	...	914.82	Total of 88 transactions
09/24/21	1.000	5.37	05/21/21	3.41	...	1.96	Sale <sup>25</sup>
11/05/21	1,000.000	4,449.85	11/03/21	4,740.00	...	-290.15	Sale <sup>25</sup>
	<b>Security total:</b>	<b>153,535.41</b>		<b>146,737.55</b>	<b>1,872.42 W</b>	<b>8,670.28</b>	
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	112.000	338.23	10/22/21	361.76	...	-23.53	1 of 2 - Sale <sup>25</sup>
	8.000	24.16	10/22/21	25.91	...	-1.75	2 of 2 - Sale <sup>25</sup>
10/28/21	120.000	362.39	Various	387.67	...	-25.28	Total of 2 transactions
FREELINE THERAPEUTICS HOLDINGS PLC AMERICAN DEPOSITARY SHARE S / CUSIP: 35655L107 / Symbol:							
08/13/21	750.000	2,459.89	08/12/21	3,262.50	...	-802.61	Sale <sup>25</sup>
GALERA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 36338D108 / Symbol:							
12/17/21	100.000	269.49	10/19/21	245.00	...	24.49	Sale <sup>25</sup>
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	2.000	666.13	01/27/21	654.00	...	12.13	1 of 2 - Sale <sup>25</sup>
	1.000	333.06	01/27/21	304.80	...	28.26	2 of 2 - Sale <sup>25</sup>
01/29/21	3.000	999.19	Various	958.80	...	40.39	Total of 2 transactions
2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	0.150	12.57	02/24/21	13.79	...	-1.22	1 of 2 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
	0.007	0.56	02/24/21	0.66	...	-0.10	2 of 2 - Sale <sup>25</sup>
02/24/21	0.157	13.13	Various	14.45	...	-1.32	Total of 2 transactions
02/24/21	0.225	18.79	02/24/21	20.60	0.05 W	-1.76	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,031.11</b>		<b>993.85</b>	<b>0.05 W</b>	<b>37.31</b>	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
02/18/21	1,000.000	1,860.33	01/28/21	2,640.00	506.79 W	-272.88	Sale <sup>25</sup>
02/25/21	650.000	1,073.26	02/24/21	1,676.79	...	-603.53	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,933.59</b>		<b>4,316.79</b>	<b>506.79 W</b>	<b>-876.41</b>	
GEOVAX LABS, INC. COMMON STOCK / CUSIP: 373678507 / Symbol:							
08/20/21	750.000	5,452.38	08/19/21	3,431.25	...	2,021.13	Sale <sup>25</sup>
GOLD STANDARD VENTURES CORP. / CUSIP: 380738104 / Symbol:							
	2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	1,350.000	1,054.30	01/29/21	921.38	...	132.92	1 of 2 - Sale <sup>25</sup>
	2,200.000	1,718.12	01/29/21	1,501.94	...	216.18	2 of 2 - Sale <sup>25</sup>
02/18/21	3,550.000	2,772.42	Various	2,423.32	...	349.10	Total of 2 transactions
INDAPTUS THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 45339J105 / Symbol:							
09/15/21	800.000	15,199.82	09/15/21	13,752.00	...	1,447.82	Sale <sup>25</sup>
10/05/21	1.000	7.15	09/20/21	9.00	...	-1.85	Sale <sup>25</sup>
	<b>Security total:</b>	<b>15,206.97</b>		<b>13,761.00</b>	...	<b>1,445.97</b>	
INFINITY PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 45665G303 / Symbol:							
09/15/21	5,000.000	16,499.31	07/28/21	11,500.00	...	4,999.31	Sale <sup>25</sup>
	4 transactions for 09/17/21. Total proceeds and cost reported to the IRS.						
	100.000	338.99	09/15/21	334.89	...	4.10	1 of 4 - Sale <sup>25</sup>
	100.000	338.99	09/15/21	334.89	...	4.10	2 of 4 - Sale <sup>25</sup>
	200.000	677.97	09/15/21	669.78	...	8.19	3 of 4 - Sale <sup>25</sup>
	4,600.000	15,593.37	09/15/21	15,404.94	...	188.43	4 of 4 - Sale <sup>25</sup>
09/17/21	5,000.000	16,949.32	Various	16,744.50	...	204.82	Total of 4 transactions
	<b>Security total:</b>	<b>33,448.63</b>		<b>28,244.50</b>	...	<b>5,204.13</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INMED PHARMACEUTICALS INC. COM MON SHARES / CUSIP: 457637502 / Symbol:							
<i>3 transactions for 09/30/21. Total proceeds and cost reported to the IRS.</i>							
	810.000	1,385.00	09/29/21	2,260.00	...	-875.00	1 of 3 - Sale <sup>25</sup>
	1,071.000	1,831.27	09/29/21	2,409.75	...	-578.48	2 of 3 - Sale <sup>25</sup>
	55.000	94.04	09/29/21	153.41	...	-59.37	3 of 3 - Sale <sup>25</sup>
09/30/21	1,936.000	3,310.31	Various	4,823.16	...	-1,512.85	Total of 3 transactions
<i>2 transactions for 09/30/21. Total proceeds and cost reported to the IRS.</i>							
	1,509.000	2,580.20	09/29/21	3,395.25	437.50 W	-377.55	1 of 2 - Sale <sup>25</sup>
	55.000	94.04	09/29/21	123.70	29.66 W	0.00	2 of 2 - Sale <sup>25</sup>
09/30/21	1,564.000	2,674.24	Various	3,518.95	467.16 W	-377.55	Total of 2 transactions
	<b>Security total:</b>	<b>5,984.55</b>		<b>8,342.11</b>	<b>467.16 W</b>	<b>-1,890.40</b>	
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
01/08/21	500.000	1,695.90	01/06/21	1,689.00	...	6.90	Sale <sup>25</sup>
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
<i>2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.</i>							
	550.000	1,831.99	01/08/21	1,889.25	57.26 W	0.00	1 of 2 - Sale <sup>25</sup>
	500.000	1,980.44	01/08/21	1,999.55	19.11 W	0.00	2 of 2 - Sale <sup>25</sup>
01/08/21	1,050.000	3,812.43	Various	3,888.80	76.37 W	0.00	Total of 2 transactions
<i>3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	145.56	01/11/21	194.21	48.65 W	0.00	1 of 3 - Sale <sup>25</sup>
	250.000	727.80	01/11/21	954.56	226.76 W	0.00	2 of 3 - Sale <sup>25</sup>
	250.000	727.80	01/12/21	791.73	63.93 W	0.00	3 of 3 - Sale <sup>25</sup>
02/16/21	550.000	1,601.16	Various	1,940.50	339.34 W	0.00	Total of 3 transactions
<i>3 transactions for 04/07/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	19.01	02/25/21	21.90	...	-2.89	1 of 3 - Sale <sup>25</sup>
	295.000	560.70	02/26/21	604.75	...	-44.05	2 of 3 - Sale <sup>25</sup>
	1,000.000	1,900.67	03/23/21	1,978.60	...	-77.93	3 of 3 - Sale <sup>25</sup>
04/07/21	1,305.000	2,480.38	Various	2,605.25	...	-124.87	Total of 3 transactions
<i>7 transactions for 04/07/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	3.80	02/19/21	7.75	3.95 W	0.00	1 of 7 - Sale <sup>25</sup>
	48.000	91.23	02/22/21	182.54	91.31 W	0.00	2 of 7 - Sale <sup>25</sup>
	152.000	288.90	02/22/21	568.03	279.13 W	0.00	3 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol: (cont'd)							
	50.000	95.03	02/22/21	183.33	88.30 W	0.00	4 of 7 - Sale <sup>25</sup>
	2,202.000	4,185.29	02/23/21	5,064.60	179.70 W	-699.61	5 of 7 - Sale <sup>25</sup>
	48.000	91.23	02/23/21	153.94	62.71 W	0.00	6 of 7 - Sale <sup>25</sup>
	250.000	475.17	02/23/21	638.93	163.76 W	0.00	7 of 7 - Sale <sup>25</sup>
04/07/21	2,752.000	5,230.65	Various	6,799.12	868.86 W	-699.61	Total of 7 transactions
	7 transactions for 04/15/21. Total proceeds and cost reported to the IRS.						
	152.000	212.96	04/12/21	537.53	...	-324.57	1 of 7 - Sale <sup>25</sup>
	450.000	630.49	04/12/21	944.70	...	-314.21	2 of 7 - Sale <sup>25</sup>
	250.000	350.27	04/12/21	588.76	...	-238.49	3 of 7 - Sale <sup>25</sup>
	48.000	67.25	04/12/21	172.91	...	-105.66	4 of 7 - Sale <sup>25</sup>
	50.000	70.05	04/12/21	173.30	...	-103.25	5 of 7 - Sale <sup>25</sup>
	48.000	67.25	04/12/21	144.31	...	-77.06	6 of 7 - Sale <sup>25</sup>
	2.000	2.80	04/12/21	7.35	...	-4.55	7 of 7 - Sale <sup>25</sup>
04/15/21	1,000.000	1,401.07	Various	2,568.86	...	-1,167.79	Total of 7 transactions
	<b>Security total:</b>	<b>14,525.69</b>		<b>17,802.53</b>	<b>1,284.57 W</b>	<b>-1,992.27</b>	
JASPER THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 471871103 / Symbol:							
	7 transactions for 10/05/21. Total proceeds and cost reported to the IRS.						
	500.000	4,049.92	10/05/21	5,393.90	...	-1,343.98	1 of 7 - Sale <sup>25</sup>
	100.000	809.98	10/05/21	1,079.00	...	-269.02	2 of 7 - Sale <sup>25</sup>
	100.000	809.98	10/05/21	1,079.00	...	-269.02	3 of 7 - Sale <sup>25</sup>
	100.000	809.98	10/05/21	1,079.00	...	-269.02	4 of 7 - Sale <sup>25</sup>
	100.000	809.98	10/05/21	1,079.00	...	-269.02	5 of 7 - Sale <sup>25</sup>
	80.000	647.99	10/05/21	863.20	...	-215.21	6 of 7 - Sale <sup>25</sup>
	20.000	162.00	10/05/21	215.60	...	-53.60	7 of 7 - Sale <sup>25</sup>
10/05/21	1,000.000	8,099.83	Various	10,788.70	...	-2,688.87	Total of 7 transactions
LEXARIA BIOSCIENCE CORP. COMM N STOCK / CUSIP: 52886N406 / Symbol:							
12/17/21	10.000	43.12	09/07/21	68.40	...	-25.28	Sale <sup>25</sup>
LIQUID MEDIA GROUP LTD. COMMON SHARES / CUSIP: 53634Q204 / Symbol:							
07/20/21	15,000.000	26,099.57	07/15/21	37,198.50	...	-11,098.93	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MARIN SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 56804T205 / Symbol:							
3 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	1.000	7.95	08/04/21	6.00	...	1.95	1 of 3 - Sale <sup>25</sup>
	75.000	596.07	09/21/21	633.75	...	-37.68	2 of 3 - Sale <sup>25</sup>
	25.000	198.69	09/21/21	211.25	...	-12.56	3 of 3 - Sale <sup>25</sup>
09/23/21	101.000	802.71	Various	851.00	...	-48.29	Total of 3 transactions
3 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	265.000	1,632.36	11/05/21	2,173.00	...	-540.64	1 of 3 - Sale <sup>25</sup>
	235.000	1,447.56	11/05/21	1,927.00	...	-479.44	2 of 3 - Sale <sup>25</sup>
	100.000	615.99	11/05/21	820.00	...	-204.01	3 of 3 - Sale <sup>25</sup>
11/15/21	600.000	3,695.91	Various	4,920.00	...	-1,224.09	Total of 3 transactions
	<b>Security total:</b>	<b>4,498.62</b>		<b>5,771.00</b>	...	<b>-1,272.38</b>	
MARKER THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 57055L107 / Symbol:							
7 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	4,300.000	12,297.40	03/22/21	13,631.00	...	-1,333.60	1 of 7 - Sale <sup>25</sup>
	200.000	571.97	03/22/21	632.00	...	-60.03	2 of 7 - Sale <sup>25</sup>
	100.000	285.99	03/22/21	316.00	...	-30.01	3 of 7 - Sale <sup>25</sup>
	100.000	285.99	03/22/21	316.00	...	-30.01	4 of 7 - Sale <sup>25</sup>
	100.000	285.99	03/22/21	316.00	...	-30.01	5 of 7 - Sale <sup>25</sup>
	100.000	285.99	03/22/21	316.00	...	-30.01	6 of 7 - Sale <sup>25</sup>
	100.000	285.99	03/22/21	316.00	...	-30.01	7 of 7 - Sale <sup>25</sup>
03/22/21	5,000.000	14,299.32	Various	15,843.00	...	-1,543.68	Total of 7 transactions
MEDIACO HOLDING INC. CLASS A C OMMON STOCK / CUSIP: 58450D104 / Symbol:							
10 transactions for 07/12/21. Total proceeds and cost reported to the IRS.							
	15.000	165.00	07/12/21	96.60	...	68.40	1 of 10 - Sale <sup>25</sup>
	20.000	220.00	07/12/21	128.80	...	91.20	2 of 10 - Sale <sup>25</sup>
	78.000	857.99	07/12/21	502.32	...	355.67	3 of 10 - Sale <sup>25</sup>
	100.000	1,099.98	07/12/21	644.00	...	455.98	4 of 10 - Sale <sup>25</sup>
	110.000	1,209.98	07/12/21	708.40	...	501.58	5 of 10 - Sale <sup>25</sup>
	441.000	4,850.92	07/12/21	2,840.04	...	2,010.88	6 of 10 - Sale <sup>25</sup>
	513.000	5,642.91	07/12/21	3,303.72	...	2,339.19	7 of 10 - Sale <sup>25</sup>
	560.000	6,159.90	07/12/21	3,606.40	...	2,553.50	8 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MEDIACO HOLDING INC. CLASS A C OMMON STOCK / CUSIP: 58450D104 / Symbol: (cont'd)							
	1,304.000	14,343.77	07/12/21	8,397.76	...	5,946.01	9 of 10 - Sale <sup>25</sup>
	1,359.000	14,948.76	07/12/21	8,751.96	...	6,196.80	10 of 10 - Sale <sup>25</sup>
07/12/21	4,500.000	49,499.21	Various	28,980.00	...	20,519.21	Total of 10 transactions
MEREIO BIOPHARMA GROUP PLC AMER ICAN DEPOSITARY SHARES / CUSIP: 589492107 / Symbol:							
7 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	30.000	117.90	02/16/21	105.26	...	12.64	1 of 7 - Sale <sup>25</sup>
	90.000	353.69	02/16/21	315.79	...	37.90	2 of 7 - Sale <sup>25</sup>
	100.000	392.99	02/16/21	350.88	...	42.11	3 of 7 - Sale <sup>25</sup>
	100.000	393.99	02/16/21	350.88	...	43.11	4 of 7 - Sale <sup>25</sup>
	100.000	393.99	02/16/21	350.88	...	43.11	5 of 7 - Sale <sup>25</sup>
	170.000	668.07	02/16/21	596.50	...	71.57	6 of 7 - Sale <sup>25</sup>
	500.000	1,959.93	02/16/21	1,754.40	...	205.53	7 of 7 - Sale <sup>25</sup>
02/25/21	1,090.000	4,280.56	Various	3,824.59	...	455.97	Total of 7 transactions
MOVING IMAGE TECHNOLOGIES, INC. / CUSIP: 62464R109 / Symbol:							
11 transactions for 07/15/21. Total proceeds and cost reported to the IRS.							
	2,622.000	13,738.89	07/15/21	18,091.80	...	-4,352.91	1 of 11 - Sale <sup>25</sup>
	211.000	1,105.61	07/15/21	2,143.84	...	-1,038.23	2 of 11 - Sale <sup>25</sup>
	535.000	2,803.32	07/15/21	3,691.50	...	-888.18	3 of 11 - Sale <sup>25</sup>
	535.000	2,803.32	07/15/21	3,691.50	...	-888.18	4 of 11 - Sale <sup>25</sup>
	68.000	356.31	07/15/21	580.73	...	-224.42	5 of 11 - Sale <sup>25</sup>
	65.000	340.59	07/15/21	554.46	...	-213.87	6 of 11 - Sale <sup>25</sup>
	65.000	340.59	07/15/21	554.46	...	-213.87	7 of 11 - Sale <sup>25</sup>
	100.000	523.99	07/15/21	690.00	...	-166.01	8 of 11 - Sale <sup>25</sup>
	24.000	125.76	07/15/21	243.84	...	-118.08	9 of 11 - Sale <sup>25</sup>
	32.000	167.68	07/15/21	272.96	...	-105.28	10 of 11 - Sale <sup>25</sup>
	43.000	225.31	07/15/21	296.70	...	-71.39	11 of 11 - Sale <sup>25</sup>
07/15/21	4,300.000	22,531.37	Various	30,811.79	...	-8,280.42	Total of 11 transactions
8 transactions for 07/15/21. Total proceeds and cost reported to the IRS.							
	211.000	1,111.93	07/15/21	1,455.90	343.97 W	0.00	1 of 8 - Sale <sup>25</sup>
	24.000	126.48	07/15/21	165.60	39.12 W	0.00	2 of 8 - Sale <sup>25</sup>
	65.000	342.54	07/15/21	448.50	105.96 W	0.00	3 of 8 - Sale <sup>25</sup>
	24.000	126.48	07/15/21	204.72	78.24 W	0.00	4 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MOVING IMAGE TECHNOLOGIES, INC. / CUSIP: 62464R109 / Symbol: (cont'd)							
	211.000	1,111.93	07/15/21	1,799.87	687.94 W	0.00	5 of 8 - Sale <sup>25</sup>
	65.000	342.54	07/15/21	448.50	105.96 W	0.00	6 of 8 - Sale <sup>25</sup>
	32.000	168.64	07/15/21	220.80	52.16 W	0.00	7 of 8 - Sale <sup>25</sup>
	68.000	357.67	07/15/21	469.20	111.53 W	0.00	8 of 8 - Sale <sup>25</sup>
07/15/21	700.000	3,688.21	Various	5,213.09	1,524.88 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>26,219.58</b>		<b>36,024.88</b>	<b>1,524.88 W</b>	<b>-8,280.42</b>	
NANOVI BRONIX, INC. COMMON STOCK / CUSIP: 63008J108 / Symbol:							
3 transactions for 07/27/21. Total proceeds and cost reported to the IRS.							
	1.000	1.70	07/23/21	1.19	...	0.51	1 of 3 - Sale <sup>25</sup>
	1,499.000	2,293.28	07/23/21	1,783.81	...	509.47	2 of 3 - Sale <sup>25</sup>
	8,500.000	13,003.92	07/23/21	9,690.00	...	3,313.92	3 of 3 - Sale <sup>25</sup>
07/27/21	10,000.000	15,298.90	Various	11,475.00	...	3,823.90	Total of 3 transactions
08/11/21	300.000	716.95	07/27/21	491.52	...	225.43	Sale <sup>25</sup>
	<b>Security total:</b>	<b>16,015.85</b>		<b>11,966.52</b>	...	<b>4,049.33</b>	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	101.000	422.16	01/27/21	679.73	...	-257.57	1 of 2 - Sale <sup>25</sup>
	99.000	413.80	01/27/21	666.27	...	-252.47	2 of 2 - Sale <sup>25</sup>
02/09/21	200.000	835.96	Various	1,346.00	...	-510.04	Total of 2 transactions
PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
4 transactions for 10/06/21. Total proceeds and cost reported to the IRS.							
	90.000	2,106.87	10/05/21	2,337.32	...	-230.45	1 of 4 - Sale <sup>25</sup>
	100.000	2,340.97	10/05/21	2,469.00	...	-128.03	2 of 4 - Sale <sup>25</sup>
	77.000	1,802.55	10/05/21	1,901.13	...	-98.58	3 of 4 - Sale <sup>25</sup>
	23.000	538.42	10/05/21	597.32	...	-58.90	4 of 4 - Sale <sup>25</sup>
10/06/21	290.000	6,788.81	Various	7,304.77	...	-515.96	Total of 4 transactions
10/06/21	160.000	3,745.56	10/05/21	3,950.40	144.67 W	-60.17	Sale <sup>25</sup>
	<b>Security total:</b>	<b>10,534.37</b>		<b>11,255.17</b>	<b>144.67 W</b>	<b>-576.13</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PASITHEA THERAPEUTICS CORP. CO MMON STOCK / CUSIP: 70261F103 / Symbol:							
4 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	100.000	295.99	09/16/21	381.00	...	-85.01	1 of 4 - Sale <sup>25</sup>
	64.000	189.43	09/16/21	244.48	...	-55.05	2 of 4 - Sale <sup>25</sup>
	36.000	106.55	09/16/21	137.16	...	-30.61	3 of 4 - Sale <sup>25</sup>
	1.000	2.96	09/16/21	3.25	...	-0.29	4 of 4 - Sale <sup>25</sup>
09/24/21	201.000	594.93	Various	765.89	...	-170.96	Total of 4 transactions
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
9 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	10.000	18.70	01/27/21	15.70	...	3.00	1 of 9 - Sale <sup>25</sup>
	10.000	18.70	01/27/21	15.70	...	3.00	2 of 9 - Sale <sup>25</sup>
	10.000	18.70	01/27/21	15.70	...	3.00	3 of 9 - Sale <sup>25</sup>
	29.000	54.22	01/27/21	45.53	...	8.69	4 of 9 - Sale <sup>25</sup>
	51.000	95.36	01/27/21	80.07	...	15.29	5 of 9 - Sale <sup>25</sup>
	90.000	168.29	01/27/21	141.30	...	26.99	6 of 9 - Sale <sup>25</sup>
	100.000	186.99	01/27/21	157.00	...	29.99	7 of 9 - Sale <sup>25</sup>
	210.000	392.67	01/27/21	328.99	...	63.68	8 of 9 - Sale <sup>25</sup>
	990.000	1,851.16	01/27/21	1,550.93	...	300.23	9 of 9 - Sale <sup>25</sup>
02/25/21	1,500.000	2,804.79	Various	2,350.92	...	453.87	Total of 9 transactions
10/28/21	150.000	733.47	10/22/21	1,244.99	...	-511.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,538.26</b>		<b>3,595.91</b>	...	<b>-57.65</b>	
PLUS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 72941H400 / Symbol:							
10/08/21	40.000	72.09	05/06/21	91.20	...	-19.11	Sale <sup>25</sup>
PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol:							
3 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	25.000	69.77	10/12/21	52.25	...	17.52	1 of 3 - Sale <sup>25</sup>
	300.000	837.23	10/14/21	624.00	...	213.23	2 of 3 - Sale <sup>25</sup>
	1,600.000	4,465.22	10/19/21	5,438.40	...	-973.18	3 of 3 - Sale <sup>25</sup>
10/19/21	1,925.000	5,372.22	Various	6,114.65	...	-742.43	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	92.000	763.57	01/27/21	1,266.83	...	-503.26	1 of 2 - Sale <sup>25</sup>
	5.000	41.50	01/29/21	96.80	...	-55.30	2 of 2 - Sale <sup>25</sup>
02/24/21	97.000	805.07	Various	1,363.63	...	-558.56	Total of 2 transactions
02/24/21	8.000	66.40	01/27/21	110.16	27.35 W	-16.41	Sale <sup>25</sup>
	<b>Security total:</b>	<b>871.47</b>		<b>1,473.79</b>	<b>27.35 W</b>	<b>-574.97</b>	
RED CAT HOLDINGS, INC. COMMON STOCK / CUSIP: 75644T100 / Symbol:							
3 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	1.000	3.15	07/13/21	4.49	...	-1.34	1 of 3 - Sale <sup>25</sup>
	750.000	2,363.07	09/27/21	3,059.33	...	-696.26	2 of 3 - Sale <sup>25</sup>
	150.000	472.61	09/27/21	574.34	...	-101.73	3 of 3 - Sale <sup>25</sup>
09/28/21	901.000	2,838.83	Various	3,638.16	...	-799.33	Total of 3 transactions
RELAY THERAPEUTICS, INC. COMMON STOCK / CUSIP: 75943R102 / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	80.000	2,741.00	10/08/21	2,541.60	...	199.40	1 of 2 - Sale <sup>25</sup>
	80.000	2,741.01	10/08/21	2,541.60	...	199.41	2 of 2 - Sale <sup>25</sup>
10/15/21	160.000	5,482.01	Various	5,083.20	...	398.81	Total of 2 transactions
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
10/06/21	5.000	209.58	07/29/21	190.00	...	19.58	Sale <sup>25</sup>
SG BLOCKS, INC. COMMON STOCK / CUSIP: 78418A505 / Symbol:							
15 transactions for 08/16/21. Total proceeds and cost reported to the IRS.							
	1.000	3.51	05/07/21	3.30	...	0.21	1 of 15 - Sale <sup>25</sup>
	4.000	14.04	05/07/21	13.20	...	0.84	2 of 15 - Sale <sup>25</sup>
	10.000	34.70	05/07/21	33.00	...	1.70	3 of 15 - Sale <sup>25</sup>
	9.000	31.59	05/07/21	29.70	...	1.89	4 of 15 - Sale <sup>25</sup>
	13.000	45.63	05/07/21	42.90	...	2.73	5 of 15 - Sale <sup>25</sup>
	14.000	49.14	05/07/21	46.20	...	2.94	6 of 15 - Sale <sup>25</sup>
	18.000	62.46	05/07/21	59.40	...	3.06	7 of 15 - Sale <sup>25</sup>
	25.000	86.75	05/07/21	82.50	...	4.25	8 of 15 - Sale <sup>25</sup>
	31.000	108.81	05/07/21	102.30	...	6.51	9 of 15 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SG BLOCKS, INC. COMMON STOCK / CUSIP: 78418A505 / Symbol: (cont'd)							
	40.000	138.79	05/07/21	132.00	...	6.79	10 of 15 - Sale <sup>25</sup>
	75.000	260.24	05/07/21	247.50	...	12.74	11 of 15 - Sale <sup>25</sup>
	69.000	242.18	05/07/21	227.70	...	14.48	12 of 15 - Sale <sup>25</sup>
	91.000	319.40	05/07/21	300.30	...	19.10	13 of 15 - Sale <sup>25</sup>
	100.000	350.98	05/07/21	330.00	...	20.98	14 of 15 - Sale <sup>25</sup>
	200.000	701.97	05/07/21	660.00	...	41.97	15 of 15 - Sale <sup>25</sup>
08/16/21	700.000	2,450.19	Various	2,310.00	...	140.19	Total of 15 transactions
SECOND SIGHT MEDICAL PRODUCTS, INC. COMMON STOCK / CUSIP: 81362J209 / Symbol:							
12/17/21	1,500.000	2,767.30	11/16/21	4,559.10	...	-1,791.80	Sale <sup>25</sup>
SEELOS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 81577F109 / Symbol:							
09/28/21	100.000	254.99	09/21/21	222.78	...	32.21	Sale <sup>25</sup>
SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol:							
2 transactions for 08/16/21. Total proceeds and cost reported to the IRS.							
	1,350.000	1,633.33	08/13/21	2,443.50	...	-810.17	1 of 2 - Sale <sup>25</sup>
	1.000	1.21	08/13/21	1.68	...	-0.47	2 of 2 - Sale <sup>25</sup>
08/16/21	1,351.000	1,634.54	Various	2,445.18	...	-810.64	Total of 2 transactions
17 EDUCATION & TECHNOLOGY GROU P INC. AMERICAN DEPOSITARY SHA RES / CUSIP: 81807M106 / Symbol:							
23 transactions for 07/27/21. Total proceeds and cost reported to the IRS.							
	6,951.000	8,062.28	07/27/21	10,774.05	...	-2,711.77	1 of 23 - Sale <sup>25</sup>
	933.000	1,100.82	07/27/21	1,446.15	...	-345.33	2 of 23 - Sale <sup>25</sup>
	400.000	471.95	07/27/21	620.00	...	-148.05	3 of 23 - Sale <sup>25</sup>
	200.000	233.98	07/27/21	310.00	...	-76.02	4 of 23 - Sale <sup>25</sup>
	200.000	235.98	07/27/21	310.00	...	-74.02	5 of 23 - Sale <sup>25</sup>
	200.000	235.98	07/27/21	310.00	...	-74.02	6 of 23 - Sale <sup>25</sup>
	100.000	116.99	07/27/21	155.00	...	-38.01	7 of 23 - Sale <sup>25</sup>
	100.000	117.49	07/27/21	155.00	...	-37.51	8 of 23 - Sale <sup>25</sup>
	100.000	117.99	07/27/21	155.00	...	-37.01	9 of 23 - Sale <sup>25</sup>
	100.000	117.99	07/27/21	155.00	...	-37.01	10 of 23 - Sale <sup>25</sup>
	100.000	117.99	07/27/21	155.00	...	-37.01	11 of 23 - Sale <sup>25</sup>
	100.000	117.99	07/27/21	155.00	...	-37.01	12 of 23 - Sale <sup>25</sup>
	100.000	117.99	07/27/21	155.00	...	-37.01	13 of 23 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
17 EDUCATION & TECHNOLOGY GROU P INC. AMERICAN DEPOSITARY SHA RES / CUSIP: 81807M106 / Symbol: (cont'd)							
	100.000	117.99	07/27/21	155.00	...	-37.01	14 of 23 - Sale <sup>25</sup>
	100.000	117.99	07/27/21	155.00	...	-37.01	15 of 23 - Sale <sup>25</sup>
	100.000	117.99	07/27/21	155.00	...	-37.01	16 of 23 - Sale <sup>25</sup>
	100.000	117.99	07/27/21	155.00	...	-37.01	17 of 23 - Sale <sup>25</sup>
	11.000	12.98	07/27/21	17.05	...	-4.07	18 of 23 - Sale <sup>25</sup>
	1.000	1.17	07/27/21	1.55	...	-0.38	19 of 23 - Sale <sup>25</sup>
	1.000	1.17	07/27/21	1.55	...	-0.38	20 of 23 - Sale <sup>25</sup>
	1.000	1.17	07/27/21	1.55	...	-0.38	21 of 23 - Sale <sup>25</sup>
	1.000	1.17	07/27/21	1.55	...	-0.38	22 of 23 - Sale <sup>25</sup>
	1.000	1.17	07/27/21	1.55	...	-0.38	23 of 23 - Sale <sup>25</sup>
07/27/21	10,000.000	11,656.21	Various	15,500.00	...	-3,843.79	Total of 23 transactions
SIEBERT FINANCIAL CORP. COMMON STOCK / CUSIP: 826176109 / Symbol:							
01/29/21	65.000	898.93	01/29/21	926.24	...	-27.31	Sale <sup>25</sup>
SIGMATRON INTERNATIONAL, INC. COMMON STOCK / CUSIP: 82661L101 / Symbol:							
4 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	67.000	388.59	07/21/21	385.92	...	2.67	1 of 4 - Sale <sup>25</sup>
	300.000	1,739.95	07/21/21	1,728.00	...	11.95	2 of 4 - Sale <sup>25</sup>
	1,273.000	7,383.21	07/21/21	7,332.48	...	50.73	3 of 4 - Sale <sup>25</sup>
	2,860.000	16,587.57	07/21/21	16,473.60	...	113.97	4 of 4 - Sale <sup>25</sup>
07/21/21	4,500.000	26,099.32	Various	25,920.00	...	179.32	Total of 4 transactions
SILVERBOX ENGAGED MERGER CORP I CLASS A COMMON STOCK / CUSIP: 82836L101 / Symbol:							
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	300.000	3,122.94	11/02/21	4,680.00	...	-1,557.06	1 of 2 - Sale <sup>25</sup>
	200.000	2,081.98	11/02/21	3,120.00	...	-1,038.02	2 of 2 - Sale <sup>25</sup>
11/03/21	500.000	5,204.92	Various	7,800.00	...	-2,595.08	Total of 2 transactions
SONNET BIOTHERAPEUTICS HOLDING S, INC. COMMON STOCK / CUSIP: 83548R105 / Symbol:							
41 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	1.000	0.61	05/06/21	1.80	...	-1.19	1 of 41 - Sale <sup>25</sup>
	1.000	0.61	05/07/21	1.65	...	-1.04	2 of 41 - Sale <sup>25</sup>
	886.000	537.16	08/20/21	548.35	...	-11.19	3 of 41 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SONNET BIOTHERAPEUTICS HOLDING S, INC. COMMON STOCK / CUSIP: 83548R105 / Symbol: (cont'd)							
1,000.000		606.27	08/20/21	617.41	...	-11.14	4 of 41 - Sale <sup>25</sup>
714.000		432.88	08/20/21	441.89	...	-9.01	5 of 41 - Sale <sup>25</sup>
738.000		447.42	08/20/21	455.64	...	-8.22	6 of 41 - Sale <sup>25</sup>
600.000		363.77	08/20/21	370.50	...	-6.73	7 of 41 - Sale <sup>25</sup>
502.000		304.36	08/20/21	309.98	...	-5.62	8 of 41 - Sale <sup>25</sup>
500.000		303.13	08/20/21	308.65	...	-5.52	9 of 41 - Sale <sup>25</sup>
438.000		265.55	08/20/21	270.55	...	-5.00	10 of 41 - Sale <sup>25</sup>
402.000		243.73	08/20/21	248.24	...	-4.51	11 of 41 - Sale <sup>25</sup>
372.000		225.54	08/20/21	229.93	...	-4.39	12 of 41 - Sale <sup>25</sup>
372.000		225.54	08/20/21	229.93	...	-4.39	13 of 41 - Sale <sup>25</sup>
328.000		198.86	08/20/21	202.70	...	-3.84	14 of 41 - Sale <sup>25</sup>
298.000		180.67	08/20/21	184.02	...	-3.35	15 of 41 - Sale <sup>25</sup>
262.000		158.85	08/20/21	161.78	...	-2.93	16 of 41 - Sale <sup>25</sup>
260.000		157.63	08/20/21	160.50	...	-2.87	17 of 41 - Sale <sup>25</sup>
230.000		139.44	08/20/21	142.16	...	-2.72	18 of 41 - Sale <sup>25</sup>
200.000		121.26	08/20/21	123.50	...	-2.24	19 of 41 - Sale <sup>25</sup>
172.000		104.28	08/20/21	106.25	...	-1.97	20 of 41 - Sale <sup>25</sup>
168.000		101.85	08/20/21	103.74	...	-1.89	21 of 41 - Sale <sup>25</sup>
130.000		78.82	08/20/21	80.25	...	-1.43	22 of 41 - Sale <sup>25</sup>
100.000		60.63	08/20/21	61.81	...	-1.18	23 of 41 - Sale <sup>25</sup>
100.000		60.63	08/20/21	61.80	...	-1.17	24 of 41 - Sale <sup>25</sup>
102.000		61.84	08/20/21	62.98	...	-1.14	25 of 41 - Sale <sup>25</sup>
100.000		60.63	08/20/21	61.75	...	-1.12	26 of 41 - Sale <sup>25</sup>
100.000		60.63	08/20/21	61.75	...	-1.12	27 of 41 - Sale <sup>25</sup>
100.000		60.63	08/20/21	61.75	...	-1.12	28 of 41 - Sale <sup>25</sup>
98.000		59.41	08/20/21	60.52	...	-1.11	29 of 41 - Sale <sup>25</sup>
100.000		60.63	08/20/21	61.73	...	-1.10	30 of 41 - Sale <sup>25</sup>
98.000		59.42	08/20/21	60.52	...	-1.10	31 of 41 - Sale <sup>25</sup>
98.000		59.42	08/20/21	60.52	...	-1.10	32 of 41 - Sale <sup>25</sup>
100.000		60.63	08/20/21	61.72	...	-1.09	33 of 41 - Sale <sup>25</sup>
72.000		43.65	08/20/21	44.50	...	-0.85	34 of 41 - Sale <sup>25</sup>
70.000		42.44	08/20/21	43.27	...	-0.83	35 of 41 - Sale <sup>25</sup>
62.000		37.59	08/20/21	38.30	...	-0.71	36 of 41 - Sale <sup>25</sup>
58.000		35.17	08/20/21	35.85	...	-0.68	37 of 41 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SONNET BIOTHERAPEUTICS HOLDING S, INC. COMMON STOCK / CUSIP: 83548R105 / Symbol: (cont'd)							
	38.000	23.04	08/20/21	23.47	...	-0.43	38 of 41 - Sale <sup>25</sup>
	28.000	16.98	08/20/21	17.31	...	-0.33	39 of 41 - Sale <sup>25</sup>
	2.000	1.21	08/20/21	1.23	...	-0.02	40 of 41 - Sale <sup>25</sup>
	2.000	1.21	08/20/21	1.23	...	-0.02	41 of 41 - Sale <sup>25</sup>
09/24/21	10,002.000	6,064.02	Various	6,181.43	...	-117.41	Total of 41 transactions
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
04/15/21	2,500.000	11,349.64	04/07/21	13,872.75	...	-2,523.11	Sale <sup>25</sup>
STAFFING 360 SOLUTIONS, INC. COMMON STOCK (DE) / CUSIP: 852387406 / Symbol:							
08/11/21	1,000.000	2,369.86	07/20/21	4,150.00	...	-1,780.14	Sale <sup>25</sup>
SUNDIAL GROWERS INC. COMMON SHARES / CUSIP: 86730L109 / Symbol:							
3 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	17.000	11.25	01/06/21	10.21	...	1.04	1 of 3 - Sale <sup>25</sup>
	183.000	120.94	01/06/21	109.95	...	10.99	2 of 3 - Sale <sup>25</sup>
	350.000	231.31	01/06/21	210.28	...	21.03	3 of 3 - Sale <sup>25</sup>
01/08/21	550.000	363.50	Various	330.44	...	33.06	Total of 3 transactions
5 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	294.000	652.78	01/08/21	208.33	...	444.45	1 of 5 - Sale <sup>25</sup>
	600.000	1,332.20	01/22/21	381.00	...	951.20	2 of 5 - Sale <sup>25</sup>
	1,000.000	2,220.33	01/27/21	568.00	...	1,652.33	3 of 5 - Sale <sup>25</sup>
	2,000.000	4,440.66	01/27/21	1,250.40	...	3,190.26	4 of 5 - Sale <sup>25</sup>
	110.000	244.23	02/02/21	109.56	...	134.67	5 of 5 - Sale <sup>25</sup>
02/11/21	4,004.000	8,890.20	Various	2,517.29	...	6,372.91	Total of 5 transactions
3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	2,500.000	3,424.68	02/11/21	5,750.00	2,325.32 W	0.00	1 of 3 - Sale <sup>25</sup>
	700.000	958.91	02/16/21	1,525.09	363.97 W	-202.21	2 of 3 - Sale <sup>25</sup>
	50.000	68.49	02/16/21	109.50	41.01 W	0.00	3 of 3 - Sale <sup>25</sup>
02/25/21	3,250.000	4,452.08	Various	7,384.59	2,730.30 W	-202.21	Total of 3 transactions
3 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	828.000	1,225.41	03/15/21	2,060.92	...	-835.51	1 of 3 - Sale <sup>25</sup>
	450.000	665.98	03/15/21	1,065.48	...	-399.50	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	50.000	74.00	03/15/21	118.95	...	-44.95	3 of 3 - Sale <sup>25</sup>
03/16/21	1,328.000	1,965.39	Various	3,245.35	...	-1,279.96	Total of 3 transactions
03/16/21	1,672.000	2,474.34	03/15/21	4,161.65	5.05 W	-1,682.26	Sale <sup>25</sup>
	2 transactions for 10/06/21. Total proceeds and cost reported to the IRS.						
	5.000	3.11	04/12/21	9.80	6.69 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	0.62	06/21/21	0.90	0.28 W	0.00	2 of 2 - Sale <sup>25</sup>
10/06/21	6.000	3.73	Various	10.70	6.97 W	0.00	Total of 2 transactions
	4 transactions for 10/22/21. Total proceeds and cost reported to the IRS.						
	494.000	333.09	10/08/21	364.07	...	-30.98	1 of 4 - Sale <sup>25</sup>
	5.000	3.37	10/08/21	10.38	...	-7.01	2 of 4 - Sale <sup>25</sup>
	1.000	0.67	10/08/21	1.02	...	-0.35	3 of 4 - Sale <sup>25</sup>
	5,000.000	3,371.40	10/21/21	3,698.50	...	-327.10	4 of 4 - Sale <sup>25</sup>
10/22/21	5,500.000	3,708.53	Various	4,073.97	...	-365.44	Total of 4 transactions
	<b>Security total:</b>	<b>21,857.77</b>		<b>21,723.99</b>	<b>2,742.32 W</b>	<b>2,876.10</b>	
SURGALIGN HOLDINGS, INC. COMMO N STOCK / CUSIP: 86882C105 / Symbol:							
08/19/21	3,400.000	3,967.71	08/18/21	3,902.52	...	65.19	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
	4 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	1.000	883.32	09/01/20	502.29	...	381.03	1 of 4 - Sale <sup>25</sup>
	2.000	1,766.65	01/05/21	1,468.66	...	297.99	2 of 4 - Sale <sup>25</sup>
	0.500	441.66	01/07/21	400.18	...	41.48	3 of 4 - Sale <sup>25</sup>
	0.500	441.66	01/07/21	400.08	...	41.58	4 of 4 - Sale <sup>25</sup>
01/27/21	4.000	3,533.29	Various	2,771.21	...	762.08	Total of 4 transactions
01/28/21	2.500	2,059.63	01/07/21	2,000.91	...	58.72	Sale <sup>25</sup>
01/28/21	0.500	411.92	01/08/21	441.05	29.13 W	0.00	Sale <sup>25</sup>
	3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	0.500	423.09	01/08/21	470.56	...	-47.47	1 of 3 - Sale <sup>25</sup>
	0.500	423.10	01/08/21	441.43	...	-18.33	2 of 3 - Sale <sup>25</sup>
	0.500	423.09	01/19/21	419.12	...	3.97	3 of 3 - Sale <sup>25</sup>
02/09/21	1.500	1,269.28	Various	1,331.11	...	-61.83	Total of 3 transactions
02/09/21	0.500	423.10	01/08/21	441.05	17.95 W	0.00	Sale <sup>25</sup>
05/05/21	0.500	340.98	01/19/21	437.06	...	-96.08	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 410460760

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
<b>Security total:</b>		<b>8,038.20</b>		<b>7,422.39</b>		<b>47.08 W</b>	<b>662.89</b>
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
01/29/21	80.000	1,562.36	01/28/21	1,559.11	...	3.25	Sale <sup>25</sup>
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
10/06/21	50.000	27.55	05/03/21	59.50	...	-31.95	Sale <sup>25</sup>
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
3 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	1,250.000	3,449.77	02/22/21	4,462.63	...	-1,012.86	1 of 3 - Sale <sup>25</sup>
	601.000	1,658.65	02/22/21	2,072.67	...	-414.02	2 of 3 - Sale <sup>25</sup>
	49.000	135.23	02/22/21	168.99	...	-33.76	3 of 3 - Sale <sup>25</sup>
02/24/21	1,900.000	5,243.65	Various	6,704.29	...	-1,460.64	Total of 3 transactions
TOWN SPORTS INTL HLDGS INC COM MON STOCK / CUSIP: 89214A102 / Symbol:							
9 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	296.000	81.36	08/17/20	216.08	...	-134.72	1 of 9 - Sale <sup>25</sup>
	284.000	76.64	08/17/20	207.32	...	-130.68	2 of 9 - Sale <sup>25</sup>
	300.000	80.96	08/27/20	176.70	...	-95.74	3 of 9 - Sale <sup>25</sup>
	50.000	13.49	08/27/20	27.49	...	-14.00	4 of 9 - Sale <sup>25</sup>
	500.000	134.94	09/01/20	284.45	...	-149.51	5 of 9 - Sale <sup>25</sup>
	800.000	215.90	09/04/20	307.92	...	-92.02	6 of 9 - Sale <sup>25</sup>
	57.000	15.38	09/04/20	22.80	...	-7.42	7 of 9 - Sale <sup>25</sup>
	33.000	8.91	09/04/20	13.20	...	-4.29	8 of 9 - Sale <sup>25</sup>
	220.000	59.37	09/18/20	71.37	...	-12.00	9 of 9 - Sale <sup>25</sup>
01/27/21	2,540.000	686.95	Various	1,327.33	...	-640.38	Total of 9 transactions
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
01/06/21	4.000	30.00	01/06/21	32.24	2.24 W	0.00	Sale <sup>25</sup>
01/08/21	4.000	25.73	01/06/21	33.04	...	-7.31	Sale <sup>25</sup>
<b>Security total:</b>		<b>55.73</b>		<b>65.28</b>	<b>2.24 W</b>	<b>-7.31</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VINCO VENTURES, INC. COMMON ST OCK / CUSIP: 927330100 / Symbol:							
09/21/21	100.000	662.98	08/27/21	729.00	58.10 W	-7.92	Sale <sup>25</sup>
	<i>2 transactions for 10/08/21. Total proceeds and cost reported to the IRS.</i>						
	212.000	1,064.46	08/27/21	1,545.48	...	-481.02	1 of 2 - Sale <sup>25</sup>
	88.000	441.85	08/27/21	700.50	...	-258.65	2 of 2 - Sale <sup>25</sup>
10/08/21	300.000	1,506.31	Various	2,245.98	...	-739.67	Total of 2 transactions
	<b>Security total:</b>	<b>2,169.29</b>		<b>2,974.98</b>	<b>58.10 W</b>	<b>-747.59</b>	
WILHELMINA INTERNATIONAL, INC. COMMON STOCK / CUSIP: 968235200 / Symbol:							
07/14/21	6,000.000	44,999.06	07/14/21	47,640.00	...	-2,640.94	Sale <sup>25</sup>
WIMI HOLOGRAM CLOUD INC. AMERI CAN DEPOSITARY SHARE / CUSIP: 97264L100 / Symbol:							
04/15/21	300.000	1,685.98	04/01/21	1,920.00	...	-234.02	Sale <sup>25</sup>
WISEKEY INTERNATIONAL HOLDING AG AMERICAN DEPOSITARY SHARES / CUSIP: 97727L200 / Symbol:							
03/24/21	10.000	167.37	03/24/21	190.40	2.30 W	-20.73	Sale <sup>25</sup>
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
	<i>6 transactions for 03/01/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	16.60	02/23/21	15.00	...	1.60	1 of 6 - Sale <sup>25</sup>
	2.000	33.20	02/23/21	30.00	...	3.20	2 of 6 - Sale <sup>25</sup>
	717.000	11,902.05	02/25/21	12,834.30	...	-932.25	3 of 6 - Sale <sup>25</sup>
	125.000	2,074.97	02/25/21	2,237.50	...	-162.53	4 of 6 - Sale <sup>25</sup>
	104.000	1,726.38	02/25/21	1,861.60	...	-135.22	5 of 6 - Sale <sup>25</sup>
	54.000	896.39	02/25/21	966.60	...	-70.21	6 of 6 - Sale <sup>25</sup>
03/01/21	1,003.000	16,649.59	Various	17,945.00	...	-1,295.41	Total of 6 transactions
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
	<i>7 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>						
	300.000	705.28	02/18/21	810.00	...	-104.72	1 of 7 - Sale <sup>25</sup>
	178.000	418.46	02/18/21	480.60	...	-62.14	2 of 7 - Sale <sup>25</sup>
	132.000	310.32	02/18/21	356.40	...	-46.08	3 of 7 - Sale <sup>25</sup>
	126.000	296.22	02/18/21	340.20	...	-43.98	4 of 7 - Sale <sup>25</sup>
	122.000	286.81	02/18/21	329.40	...	-42.59	5 of 7 - Sale <sup>25</sup>
	100.000	235.09	02/18/21	270.00	...	-34.91	6 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol: (cont'd)							
	42.000	98.74	02/18/21	113.40	...	-14.66	7 of 7 - Sale <sup>25</sup>
02/22/21	1,000.000	2,350.92	Various	2,700.00	...	-349.08	Total of 7 transactions
ZOVIO INC. COMMON STOCK / CUSIP: 98979V102 / Symbol:							
<i>2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.</i>							
	297.000	1,372.07	07/02/20	1,003.83	...	368.24	1 of 2 - Sale <sup>25</sup>
	100.000	461.97	07/06/20	345.99	...	115.98	2 of 2 - Sale <sup>25</sup>
01/04/21	397.000	1,834.04	Various	1,349.82	...	484.22	Total of 2 transactions
CN ENERGY GROUP INC. ORDINARY SHARES / CUSIP: G2181K105 / Symbol:							
10/28/21	30.000	245.10	10/20/21	267.00	...	-21.90	Sale <sup>25</sup>
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol:							
<i>2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.48	05/07/21	0.39	...	0.09	1 of 2 - Sale <sup>25</sup>
	5,000.000	2,409.38	05/12/21	1,984.50	...	424.88	2 of 2 - Sale <sup>25</sup>
05/21/21	5,001.000	2,409.86	Various	1,984.89	...	424.97	Total of 2 transactions
<i>3 transactions for 09/27/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.21	05/21/21	0.48	...	-0.27	1 of 3 - Sale <sup>25</sup>
	1.000	0.21	07/08/21	0.39	...	-0.18	2 of 3 - Sale <sup>25</sup>
	3,000.000	634.13	09/24/21	606.90	...	27.23	3 of 3 - Sale <sup>25</sup>
09/27/21	3,002.000	634.55	Various	607.77	...	26.78	Total of 3 transactions
	<b>Security total:</b>	<b>3,044.41</b>		<b>2,592.66</b>	...	<b>451.75</b>	
PUHUI WEALTH INVESTMENT MANAGE MENT CO., LTD. ORDINARY SHARES / CUSIP: G7306H101 / Symbol:							
10/21/21	1,800.000	3,963.90	10/20/21	5,400.00	...	-1,436.10	Sale <sup>25</sup>
REWALK ROBOTICS LTD. ORDINARY SHARES / CUSIP: M8216Q200 / Symbol:							
02/24/21	150.000	457.48	02/12/21	631.50	...	-174.02	Sale <sup>25</sup>
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
<i>2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.</i>							
	350.000	266.72	01/27/21	167.93	...	98.79	1 of 2 - Sale <sup>25</sup>
	600.000	457.24	01/27/21	264.00	...	193.24	2 of 2 - Sale <sup>25</sup>
01/27/21	950.000	723.96	Various	431.93	...	292.03	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
<i>2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	2,000.000	2,620.10	01/27/21	1,868.00	...	752.10	1 of 2 - Sale <sup>25</sup>
	1,000.000	1,310.05	01/27/21	479.80	...	830.25	2 of 2 - Sale <sup>25</sup>
02/16/21	3,000.000	3,930.15	Various	2,347.80	...	1,582.35	Total of 2 transactions
<i>5 transactions for 08/27/21. Total proceeds and cost reported to the IRS.</i>							
	500.000	334.94	04/12/21	300.00	...	34.94	1 of 5 - Sale <sup>25</sup>
	1.000	0.67	04/16/21	0.50	...	0.17	2 of 5 - Sale <sup>25</sup>
	10.000	6.70	05/06/21	5.10	...	1.60	3 of 5 - Sale <sup>25</sup>
	1,000.000	669.87	05/13/21	547.80	...	122.07	4 of 5 - Sale <sup>25</sup>
	2,000.000	1,339.75	07/20/21	1,106.20	...	233.55	5 of 5 - Sale <sup>25</sup>
08/27/21	3,511.000	2,351.93	Various	1,959.60	...	392.33	Total of 5 transactions
09/29/21	4,000.000	3,069.90	09/27/21	2,972.40	...	97.50	Sale <sup>25</sup>
10/08/21	3,000.000	1,934.93	09/28/21	2,754.60	819.67 W	0.00	Sale <sup>25</sup>
<i>11 transactions for 10/15/21. Total proceeds and cost reported to the IRS.</i>							
	1,550.000	1,058.69	10/14/21	1,506.64	...	-447.95	1 of 11 - Sale <sup>25</sup>
	1,050.000	717.18	10/14/21	1,020.62	...	-303.44	2 of 11 - Sale <sup>25</sup>
	400.000	273.21	10/14/21	388.81	...	-115.60	3 of 11 - Sale <sup>25</sup>
	5,007.000	3,419.92	10/14/21	3,499.89	...	-79.97	4 of 11 - Sale <sup>25</sup>
	2,300.000	1,570.96	10/14/21	1,607.24	...	-36.28	5 of 11 - Sale <sup>25</sup>
	1,850.000	1,263.60	10/14/21	1,293.15	...	-29.55	6 of 11 - Sale <sup>25</sup>
	950.000	648.88	10/14/21	663.86	...	-14.98	7 of 11 - Sale <sup>25</sup>
	686.000	468.56	10/14/21	479.86	...	-11.30	8 of 11 - Sale <sup>25</sup>
	550.000	375.66	10/14/21	384.34	...	-8.68	9 of 11 - Sale <sup>25</sup>
	507.000	346.29	10/14/21	354.34	...	-8.05	10 of 11 - Sale <sup>25</sup>
	150.000	102.45	10/14/21	104.82	...	-2.37	11 of 11 - Sale <sup>25</sup>
10/15/21	15,000.000	10,245.40	Various	11,303.57	...	-1,058.17	Total of 11 transactions
	<b>Security total:</b>	<b>22,256.27</b>		<b>21,769.90</b>	<b>819.67 W</b>	<b>1,306.04</b>	

CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:

*8 transactions for 02/23/21. Total proceeds and cost reported to the IRS.*

	145.000	149.46	01/27/21	53.86	...	95.60	1 of 8 - Sale <sup>25</sup>
	300.000	309.23	01/27/21	137.49	...	171.74	2 of 8 - Sale <sup>25</sup>
	300.000	309.23	01/27/21	137.49	...	171.74	3 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	300.000	309.23	01/27/21	137.49	...	171.74	4 of 8 - Sale <sup>25</sup>
	500.000	515.38	01/27/21	229.15	...	286.23	5 of 8 - Sale <sup>25</sup>
	600.000	618.45	01/27/21	274.98	...	343.47	6 of 8 - Sale <sup>25</sup>
	1,000.000	1,030.76	01/27/21	358.40	...	672.36	7 of 8 - Sale <sup>25</sup>
	2,500.000	2,576.89	01/27/21	1,168.25	...	1,408.64	8 of 8 - Sale <sup>25</sup>
02/23/21	5,645.000	5,818.63	Various	2,497.11	...	3,321.52	Total of 8 transactions
GIGAMEDIA LIMITED ORDINARY SHA RES / CUSIP: Y2711Y112 / Symbol:							
5 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	1,000.000	3,389.85	03/24/21	6,400.00	...	-3,010.15	1 of 5 - Sale <sup>25</sup>
	400.000	1,355.95	03/24/21	2,560.00	...	-1,204.05	2 of 5 - Sale <sup>25</sup>
	100.000	338.99	03/24/21	938.01	...	-599.02	3 of 5 - Sale <sup>25</sup>
	100.000	338.99	03/24/21	938.01	...	-599.02	4 of 5 - Sale <sup>25</sup>
	100.000	338.99	03/24/21	938.01	...	-599.02	5 of 5 - Sale <sup>25</sup>
04/07/21	1,700.000	5,762.77	Various	11,774.03	...	-6,011.26	Total of 5 transactions
3 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	100.000	341.99	03/24/21	640.00	298.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	341.99	03/24/21	640.00	298.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	341.99	03/24/21	640.00	298.01 W	0.00	3 of 3 - Sale <sup>25</sup>
04/07/21	300.000	1,025.97	Various	1,920.00	894.03 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>6,788.74</b>		<b>13,694.03</b>	<b>894.03 W</b>	<b>-6,011.26</b>	
JX LUXVENTURE LIMITED COMMON S TOCK / CUSIP: Y46002203 / Symbol:							
09/24/21	300.000	944.38	09/16/21	1,170.00	...	-225.62	Sale <sup>25</sup>
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760194 / Symbol:							
02/22/21	3,100.000	4,931.62	02/12/21	5,360.21	...	-428.59	Sale <sup>25</sup>
<b>Totals :</b>		<b>814,590.10</b>		<b>859,961.14</b>	<b>13,225.96 W</b>	<b>-32,145.08</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 410460760

**Proceeds Not Reported to the IRS**

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ASHFORD HOSPITALITY TRUST, INC . / CUSIP: 044103869 / Symbol:							
3 transactions for 07/26/21							
	0.100	1.61	05/05/21	2.95	...	-1.34	Gain or loss
	0.100	1.62	05/06/21	2.50	...	-0.88	Gain or loss
	0.300	4.84	05/12/21	7.95	...	-3.11	Gain or loss
07/26/21	0.500	8.07	Various	13.40	...	-5.33	Total of 3 transactions
CATABASIS PHARMACEUTICALS, INC . COMMON STOCK / CUSIP: 14875P305 / Symbol:							
3 transactions for 08/26/21							
	0.167	1.08	02/19/21	3.55	...	-2.47	Gain or loss
	0.167	1.08	02/22/21	3.20	...	-2.12	Gain or loss
	0.167	1.07	02/23/21	2.80	...	-1.73	Gain or loss
08/26/21	0.500	3.23	Various	9.55	...	-6.32	Total of 3 transactions
<b>Totals:</b>		<b>11.30</b>		<b>22.95</b>	...	<b>-11.65</b>	

Robinhood Securities LLC

Account 410460760

**Detail for Dividends and Distributions**

2021

02/11/2022

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.*

*Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
UWM HOLDINGS CORPORATION	91823B109		07/06/21	0.10	Qualified dividend	
			10/06/21	0.10	Qualified dividend	
				<b>0.20</b>	<b>Total Dividends &amp; distributions</b>	
				<b>0.20</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 410460760

**Other Receipts and Reconciliations**

2021

02/11/2022

*This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.*

*Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
ALLIANCE RESOURCE PARTNERS, L. P.	01877R108	05/14/21	0.10	Partnership distribution	
COMMON UNITS REPRESENTING L IMITED PARTNERS INTERESTS		08/13/21	0.10	Partnership distribution	
			0.20	Total Partnership distribution	
			<b>0.20</b>	<b>Total Partnership distribution</b>	



Robinhood Securities LLC

Account 410460760

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ARTIUS ACQUISITION INC. CLASS A COMMON STOCK	04316G105	06/25/21	-1.00	0.00	Exchange	
ORIGIN MATERIALS, INC. COMMON STOCK	68622D106	06/25/21	1.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

---

### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

This page intentionally left blank.