

APEX CLEARING
ONE DALLAS CENTER
350 NORTH ST PAUL SUITE 1300
DALLAS, TX 75201

VAMSHI PULLIGILA
1928 INTERFACE LANE
CHARLOTTE, NC 28262-2292

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
917-725-2448

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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APEX CLEARING ONE DALLAS CENTER 350 NORTH ST PAUL SUITE 1300 DALLAS, TX 75201 Customer Service: 214-765-1009 PAYER'S Federal ID No: 13-2967453 IN ACCOUNT WITH WEBULL FINANCIAL LLC (917) 725-2448	Account 5MZ48000	Form 1099 Composite	2021
	VAMSHI PULLIGILA 1928 INTERFACE LANE CHARLOTTE, NC 28262-2292 RECIPIENT'S ID No: XXX-XX-5203	Statement Date: 01/31/2022	

FATCA filing requirement []

Tax Reporting Statement

DIVIDENDS AND DISTRIBUTIONS	2021 Form 1099-DIV*	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b, 2e)	0.00	
1b- Qualified Dividends	0.00	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d, 2f)	0.00	
2b- Unrecaptured Section 1250 gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 Ordinary Dividends	0.00	
2f- Section 897 Capital Gain	0.00	
3- Nondividend Distributions	0.00	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign tax Paid	0.00	
8- Foreign country or US Possession		
9- Cash Liquidation Distributions	0.00	
10- Noncash Liquidation Distribution	0.00	
11- Exempt-Interest Dividends	0.00	
12- Specified Private Activity Bond Interest Dividends	0.00	

MISCELLANEOUS INFORMATION	2021 Form 1099-MISC*	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	37.04	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

INTEREST INCOME	2021 Form 1099-INT*	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on U.S. Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt Bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	2021 Form 1099-B*	OMB No. 1545-0715
8- Profit or (loss) realized in 2021 on closed contracts	0.00	
9- Unrealized profit or (loss) on open contracts - 12/31/2020	0.00	
10- Unrealized profit or (loss) on open contracts - 12/31/2021	0.00	
11- Aggregate profit or (loss) on contracts	0.00	
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>		

APEX CLEARING

Tax Summary

2021

5MZ48000

01/31/2022

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	552,126.33	565,584.78	0.00	4,608.94	-8,849.51
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Short-term	552,126.33	565,584.78	0.00	4,608.94	-8,849.51
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

ORIGINAL ISSUE DISCOUNT SUMMARY*

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount – Covered Positions	0.00
Acquisition Premium – Covered Positions	0.00
Bond Premium – Covered Positions	0.00
Tax-Exempt OID – Covered Positions	0.00
Tax-Exempt OID – Specified Private Activity Bonds – Covered Positions	0.00
Investment Expenses	0.00

**Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the OID section of this document.*

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

01/31/2022

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B – Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a “covered security” for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column “Additional Notes”, cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as “Box 2 – Ordinary.” In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as “Box 3 – Collectibles Box Checked.” Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as “Box 7 – Loss Disallowed Box Checked.” Disposition of a QOF investment is noted in the Additional Notes column as “Box 3 – QOF.”

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SPCE 07/16/21 45 VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210716C00045000							
06/30/2021	1.00	649.93	06/30/2021	480.05	0.00	169.88	Sell to Close
07/01/2021	1.00	499.93	07/01/2021	499.05	0.00	0.88	Sell to Close
Security Totals:		1,149.86		979.10		170.76	
CALL SQ 05/21/21 215 SQUARE INC CUSIP: Symbol(Box 1a): SQ210521C00215000							
05/13/2021	2.00	899.82	05/13/2021	800.16	0.00	99.66	Sell to Close

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
05/13/2021	2.00	531.82	Various	730.14	0.00	-198.32	Sell to Close
Security Totals:		1,431.64		1,530.30		-98.66	
CALL RBLX 08/06/21 78			ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210806C00078000				
08/04/2021	5.00	949.71	08/04/2021	835.27	0.00	114.44	Sell to Close
CALL AMAT 06/18/21 140			APPLIED MATERIALS INC CUSIP: Symbol(Box 1a): AMAT210618C00140000				
05/27/2021	3.00	1,070.75	05/27/2021	1,107.23	0.00	-36.48	Sell to Close
CALL BIDU 07/23/21 182.50			BAIDU INC CUSIP: Symbol(Box 1a): BIDU210723C00182500				
07/21/2021	1.00	99.93	07/21/2021	114.05	0.00	-14.12	Sell to Close
CALL GUSH 08/20/21 80			DIREXION DLY S&P OIL & GAS EXP CUSIP: Symbol(Box 1a): GUSH210820C00080000				
07/20/2021	4.00	999.76	07/20/2021	1,160.22	0.00	-160.46	Sell to Close
CALL ROKU 07/23/21 415			ROKU INC CUSIP: Symbol(Box 1a): ROKU210723C00415000				
07/21/2021	1.00	779.93	07/21/2021	700.05	0.00	79.88	Sell to Close
MICROVISION INC DEL CUSIP: 594960304 Symbol(Box 1a): MVIS							
02/22/2021	1.00	19.03	02/22/2021	19.19	0.00	-0.16	Sale
CALL PLTR 02/26/21 33			PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210226C00033000				
02/22/2021	5.00	469.57	Various	418.40	0.00	51.17	Sell to Close
CALL ROKU 07/23/21 420			ROKU INC CUSIP: Symbol(Box 1a): ROKU210723C00420000				
07/20/2021	1.00	324.93	07/19/2021	475.05	0.00	-150.12	Sell to Close
CALL TSLA 06/18/21 650			TESLA INC CUSIP: Symbol(Box 1a): TSLA210618C00650000				
06/11/2021	1.00	238.93	06/11/2021	294.05	55.12 D	0.00	Sell to Close
06/14/2021	1.00	304.93	06/14/2021	355.17	50.24 D	0.00	Sell to Close
06/14/2021	1.00	271.93	06/14/2021	320.29	0.00	-48.36	Sell to Close
Security Totals:		815.79		969.51		-48.36	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL TSLA 07/30/21	700	TESLA INC CUSIP: Symbol(Box 1a): TSLA210730C00700000					
07/20/2021	1.00	914.93	07/20/2021	910.05	0.00	4.88	Sell to Close
CALL TSLA 07/30/21	690	TESLA INC CUSIP: Symbol(Box 1a): TSLA210730C00690000					
07/20/2021	1.00	1,099.93	07/20/2021	1,120.05	0.00	-20.12	Sell to Close
CALL HD 07/02/21	320	HOME DEPOT INC CUSIP: Symbol(Box 1a): HD210702C00320000					
06/28/2021	4.00	159.76	06/28/2021	304.22	0.00	-144.46	Sell to Close
CALL W 05/28/21	312.50	WAYFAIR INC CUSIP: Symbol(Box 1a): W210528C00312500					
05/25/2021	1.00	439.91	05/25/2021	430.07	0.00	9.84	Sell to Close
CALL SPY 02/01/21	380	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210201C00380000					
02/01/2021	25.00	47.93	02/01/2021	102.01	0.00	-54.08	Sell to Close
CALL WKHS 10/15/21	10	WORKHORSE GROUP INC CUSIP: Symbol(Box 1a): WKHS211015C00010000					
09/13/2021	8.00	415.55	09/10/2021	552.42	0.00	-136.87	Sell to Close
CALL PLTR 04/23/21	25.50	PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210423C00025500					
04/13/2021	6.00	503.50	Various	397.47	0.00	106.03	Sell to Close
04/13/2021	5.00	529.59	Various	268.39	0.00	261.20	Sell to Close
04/14/2021	4.00	367.66	04/13/2021	208.31	0.00	159.35	Sell to Close
Security Totals:		1,400.75		874.17		526.58	
CALL UAL 06/18/21	60	UNITED AIRLINES HOLDINGS INC CUSIP: Symbol(Box 1a): UAL210618C00060000					
05/21/2021	5.00	524.59	05/20/2021	525.39	0.00	-0.80	Sell to Close
CALL XPEV 06/25/21	50	XPENG INC ADR CLASS A CUSIP: Symbol(Box 1a): XPEV210625C00050000					
06/18/2021	8.00	319.53	06/18/2021	288.44	0.00	31.09	Sell to Close
06/18/2021	7.00	279.60	06/18/2021	280.38	0.00	-0.78	Sell to Close
Security Totals:		599.13		568.82		30.31	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL CAT 05/21/21	245	CATERPILLAR INC CUSIP: Symbol(Box 1a): CAT210521C00245000					
05/17/2021	1.00	251.91	05/17/2021	258.07	0.00	-6.16	Sell to Close
CALL DIS 11/05/21	175	WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS211105C00175000					
10/22/2021	5.00	554.77	Various	901.20	0.00	-346.43	Sell to Close
CALL PTON 08/13/21	115	PELTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210813C00115000					
08/11/2021	3.00	590.82	Various	556.16	0.00	34.66	Sell to Close
CALL PTON 07/23/21	115	PELTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210723C00115000					
07/19/2021	3.00	1,067.82	07/19/2021	960.16	0.00	107.66	Sell to Close
CALL NIO 07/30/21	44	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210730C00044000					
07/26/2021	5.00	519.71	07/26/2021	420.27	0.00	99.44	Sell to Close
07/26/2021	6.00	749.65	07/23/2021	720.33	0.00	29.32	Sell to Close
Security Totals:		1,269.36		1,140.60		128.76	
CALL ROKU 07/23/21	440	ROKU INC CUSIP: Symbol(Box 1a): ROKU210723C00440000					
07/22/2021	1.00	175.93	07/22/2021	158.05	0.00	17.88	Sell to Close
CALL NIO 05/07/21	42.50	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210507C00042500					
04/30/2021	5.00	339.59	04/30/2021	305.39	0.00	34.20	Sell to Close
CALL TSLA 05/28/21	650	TESLA INC CUSIP: Symbol(Box 1a): TSLA210528C00650000					
05/24/2021	1.00	187.91	05/24/2021	188.07	0.16 D	0.00	Sell to Close
05/26/2021	2.00	339.82	Various	492.30	0.00	-152.48	Sell to Close
Security Totals:		527.73		680.37		-152.48	
CALL SPY 01/29/21	388	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210129C00388000					
01/26/2021	2.00	163.82	01/26/2021	172.16	0.00	-8.34	Sell to Close
CALL BYND 06/18/21	160	BEYOND MEAT INC CUSIP: Symbol(Box 1a): BYND210618C00160000					
06/08/2021	2.00	716.82	Various	920.14	0.00	-203.32	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL PTON 08/13/21 118 PELOTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210813C00118000							
08/06/2021	2.00	291.87	08/06/2021	422.11	0.00	-130.24	Sell to Close
CALL TSLA 05/28/21 630 TESLA INC CUSIP: Symbol(Box 1a): TSLA210528C00630000							
05/27/2021	1.00	469.91	05/26/2021	540.07	0.00	-70.16	Sell to Close
CALL TSLA 07/16/21 800 TESLA INC ADJ 5:1 STOCK SPLIT CUSIP: Symbol(Box 1a): TSLA210716C00800000							
06/29/2021	1.00	339.93	06/29/2021	489.17	0.00	-149.24	Sell to Close
06/29/2021	1.00	329.93	06/28/2021	479.05	149.12 D	0.00	Sell to Close
Security Totals:		669.86		968.22		-149.24	
CALL ACB 01/22/21 11 AURORA CANNABIS INC CUSIP: Symbol(Box 1a): ACB210122C00011000							
01/13/2021	3.00	263.75	01/12/2021	204.24	0.00	59.51	Sell to Close
CALL SQ 07/30/21 262.50 SQUARE INC CUSIP: Symbol(Box 1a): SQ210730C00262500							
07/28/2021	2.00	492.87	Various	469.10	0.00	23.77	Sell to Close
CALL TSLA 04/16/21 735 TESLA INC CUSIP: Symbol(Box 1a): TSLA210416C00735000							
04/09/2021	1.00	264.91	04/09/2021	264.07	0.00	0.84	Sell to Close
CALL ABNB 07/02/21 162.50 AIRBNB INC CUSIP: Symbol(Box 1a): ABNB210702C00162500							
07/01/2021	1.00	33.93	07/01/2021	24.05	0.00	9.88	Sell to Close
CALL LAZR 01/15/21 34 LUMINAR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): LAZR210115C00034000							
01/14/2021	1.00	69.90	01/13/2021	130.08	0.00	-60.18	Sell to Close
URBAN ONE INC CLASS A COMMON STOCK CUSIP: 91705J105 Symbol(Box 1a): UONE							
06/18/2021	1.00	12.97	06/17/2021	14.08	0.00	-1.11	Sale
CALL KHC 04/09/21 40 KRAFT HEINZ COMPANY (THE) CUSIP: Symbol(Box 1a): KHC210409C00040000							
03/10/2021	3.00	227.74	03/09/2021	270.24	0.00	-42.50	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL GME 05/28/21	400	GAMESTOP CORP CUSIP: Symbol(Box 1a): GME210528C00400000					
05/27/2021	1.00	51.91	05/27/2021	57.07	5.16 D	0.00	Sell to Close
05/28/2021	2.00	55.82	Various	136.30	0.00	-80.48	Sell to Close
Security Totals:		107.73		193.37		-80.48	
CALL RIOT 01/22/21	27.50	RIOT BLOCKCHAIN INC CUSIP: Symbol(Box 1a): RIOT210122C00027500					
01/19/2021	1.00	101.90	01/15/2021	208.08	0.00	-106.18	Sell to Close
CALL BGFV 10/15/21	35	BIG 5 SPORTING GOODS CORP CUSIP: Symbol(Box 1a): BGFV211015C00035000					
09/13/2021	2.00	319.88	Various	415.10	0.00	-95.22	Sell to Close
CALL SPCE 08/20/21	35	VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210820C00035000					
08/09/2021	2.00	445.87	08/09/2021	332.11	0.00	113.76	Sell to Close
CALL XPEV 08/20/21	45	XPENG INC ADR CLASS A CUSIP: Symbol(Box 1a): XPEV210820C00045000					
08/02/2021	6.00	1,553.66	Various	1,478.32	0.00	75.34	Sell to Close
CALL NIO 08/20/21	45	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210820C00045000					
07/20/2021	5.00	1,239.71	Various	1,338.27	0.00	-98.56	Sell to Close
CALL AAL 07/16/21	23	AMERICAN AIRLINES GROUP INC CUSIP: Symbol(Box 1a): AAL210716C00023000					
06/23/2021	15.00	914.14	06/23/2021	900.82	0.00	13.32	Sell to Close
CALL SNAP 08/13/21	74.50	SNAP INC CUSIP: Symbol(Box 1a): SNAP210813C00074500					
08/03/2021	1.00	145.93	08/03/2021	164.05	0.00	-18.12	Sell to Close
CALL AMD 08/13/21	110	ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210813C00110000					
08/11/2021	1.00	145.93	08/11/2021	140.05	0.00	5.88	Sell to Close
CALL CRWD 03/26/21	220	CROWDSTRIKE HOLDINGS INC CL A CUSIP: Symbol(Box 1a): CRWD210326C00220000					
03/18/2021	2.00	207.82	Various	324.16	0.00	-116.34	Sell to Close
CALL UAA 03/19/21	30	UNDER ARMOUR INC CL A CUSIP: Symbol(Box 1a): UAA210319C00030000					
02/16/2021	12.00	83.00	Various	212.97	0.00	-129.97	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL UAL 05/14/21 53 UNITED AIRLINES HOLDINGS INC CUSIP: Symbol(Box 1a): UAL210514C00053000							
05/11/2021	5.00	439.59	05/11/2021	440.39	0.00	-0.80	Sell to Close
CALL NVDA 10/15/21 215 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA211015C00215000							
09/28/2021	3.00	1,334.82	Various	1,330.15	0.00	4.67	Sell to Close
CALL SNAP 09/03/21 77 SNAP INC CUSIP: Symbol(Box 1a): SNAP210903C00077000							
09/01/2021	4.00	491.77	Various	488.43	0.00	3.34	Sell to Close
09/01/2021	2.00	249.88	09/01/2021	250.10	0.22 D	0.00	Sell to Close
Security Totals:		741.65		738.53		3.34	
CALL BABA 01/15/21 250 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210115C00250000							
01/14/2021	1.00	125.90	01/14/2021	124.08	0.00	1.82	Sell to Close
CALL SPY 01/15/21 379 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210115C00379000							
01/15/2021	5.00	74.58	01/15/2021	135.40	0.00	-60.82	Sell to Close
NIKOLA CORPORATION COMMON STOCK CUSIP: 654110105 Symbol(Box 1a): NKLA							
09/10/2021	250.00	2,474.95	09/09/2021	2,471.25	0.00	3.70	Sale
CALL QQQ 01/29/21 333 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210129C00333000							
01/27/2021	1.00	59.90	01/26/2021	148.08	0.00	-88.18	Sell to Close
CALL BBBY 07/23/21 30 BED BATH & BEYOND INC CUSIP: Symbol(Box 1a): BBBY210723C00030000							
07/20/2021	15.00	1,049.14	07/20/2021	930.82	0.00	118.32	Sell to Close
CALL RBLX 04/23/21 90 ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210423C00090000							
04/13/2021	3.00	509.75	04/13/2021	510.23	0.00	-0.48	Sell to Close
CALL PLTR 04/23/21 25 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210423C00025000							
04/14/2021	3.00	209.75	04/14/2021	369.71	0.00	-159.96	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
04/14/2021	3.00	323.75	04/14/2021	345.23	21.48 D	0.00	Sell to Close
Security Totals:		533.50		714.94		-159.96	
CALL NFLX 02/19/21 600	NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210219C00600000						
02/08/2021	4.00	487.65	Various	863.32	0.00	-375.67	Sell to Close
CALL TSLA 07/16/21 700	TESLA INC		ADJ 5:1 STOCK SPLIT CUSIP: Symbol(Box 1a): TSLA210716C00700000				
06/23/2021	1.00	1,109.93	06/22/2021	635.05	0.00	474.88	Sell to Close
CALL ABT 02/19/21 135	ABBOTT LABORATORIES CUSIP: Symbol(Box 1a): ABT210219C00135000						
02/03/2021	13.00	112.92	Various	276.04	0.00	-163.12	Sell to Close
CALL CRWD 03/26/21 207.50	CROWDSTRIKE HOLDINGS INC CL A CUSIP: Symbol(Box 1a): CRWD210326C00207500						
03/19/2021	1.00	244.90	03/18/2021	245.08	0.00	-0.18	Sell to Close
CALL TSLA 02/19/21 830	TESLA INC CUSIP: Symbol(Box 1a): TSLA210219C00830000						
02/17/2021	1.00	229.90	02/17/2021	323.08	0.00	-93.18	Sell to Close
CALL TSLA 07/16/21 720	TESLA INC		ADJ 5:1 STOCK SPLIT CUSIP: Symbol(Box 1a): TSLA210716C00720000				
07/12/2021	4.00	999.76	07/12/2021	1,000.22	0.00	-0.46	Sell to Close
CALL RMO 02/19/21 25	ROMEO POWER INC CL A CUSIP: Symbol(Box 1a): RMO210219C00025000						
02/01/2021	5.00	249.58	Various	430.40	0.00	-180.82	Sell to Close
CALL NIO 01/22/21 60	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210122C00060000						
01/08/2021	1.00	374.90	12/30/2020	150.08	0.00	224.82	Sell to Close
CALL AMD 10/15/21 110	ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD211015C00110000						
09/24/2021	1.00	172.93	09/24/2021	164.05	0.00	8.88	Sell to Close
CALL AMD 10/15/21 105	ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD211015C00105000						
09/24/2021	3.00	1,082.82	09/23/2021	1,050.16	0.00	32.66	Sell to Close
09/27/2021	2.00	929.88	09/27/2021	734.32	0.00	195.56	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
09/27/2021	4.00	1,131.77	Various	1,405.21	154.22 D	-119.22	Sell to Close
Security Totals:		3,144.47		3,189.69		109.00	
CALL AAPL 04/30/21 140 APPLE INC CUSIP: Symbol(Box 1a): AAPL210430C00140000							
04/28/2021	1.00	61.91	04/28/2021	57.07	0.00	4.84	Sell to Close
CALL RUN 02/19/21 105 SUNRUN INC CUSIP: Symbol(Box 1a): RUN210219C00105000							
01/22/2021	1.00	184.90	01/21/2021	265.08	0.00	-80.18	Sell to Close
CALL HD 07/16/21 320 HOME DEPOT INC CUSIP: Symbol(Box 1a): HD210716C00320000							
06/28/2021	3.00	725.82	06/25/2021	720.16	0.00	5.66	Sell to Close
06/28/2021	2.00	501.87	06/28/2021	500.11	0.00	1.76	Sell to Close
Security Totals:		1,227.69		1,220.27		7.42	
CALL ROKU 06/18/21 352.50 ROKU INC CUSIP: Symbol(Box 1a): ROKU210618C00352500							
06/15/2021	1.00	474.93	06/15/2021	470.05	0.00	4.88	Sell to Close
CALL AFRM 10/15/21 120 AFFIRM HOLDINGS INC CL A CUSIP: Symbol(Box 1a): AFRM211015C00120000							
09/23/2021	3.00	2,459.81	Various	1,330.15	0.00	1,129.66	Sell to Close
09/23/2021	5.00	3,199.71	09/21/2021	2,400.26	0.00	799.45	Sell to Close
Security Totals:		5,659.52		3,730.41		1,929.11	
CALL BLNK 04/01/21 40 BLINK CHARGING CO CUSIP: Symbol(Box 1a): BLNK210401C00040000							
03/22/2021	1.00	271.90	03/19/2021	206.08	0.00	65.82	Sell to Close
CALL HD 07/16/21 315 HOME DEPOT INC CUSIP: Symbol(Box 1a): HD210716C00315000							
06/29/2021	1.00	634.93	06/28/2021	455.05	0.00	179.88	Sell to Close
CALL ZM 07/16/21 400 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210716C00400000							
06/17/2021	1.00	629.93	06/17/2021	550.05	0.00	79.88	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL TLRX 06/18/21 20 TILRAY INC CUSIP: Symbol(Box 1a): TLRX210618C00020000							
05/27/2021	5.00	269.59	05/27/2021	275.39	0.00	-5.80	Sell to Close
CALL ZM 07/16/21 370 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210716C00370000							
06/09/2021	1.00	579.92	06/09/2021	600.07	0.00	-20.15	Sell to Close
PUT QQQ 08/06/21 365 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210806P00365000							
08/03/2021	1.00	199.93	08/03/2021	197.06	0.00	2.87	Sell to Close
08/03/2021	1.00	200.93	08/03/2021	197.05	0.00	3.88	Sell to Close
Security Totals:		400.86		394.11		6.75	
CALL PINS 01/29/21 70 PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210129C00070000							
01/27/2021	5.00	524.57	01/27/2021	260.40	0.00	264.17	Sell to Close
01/28/2021	2.00	163.82	Various	135.16	0.00	28.66	Sell to Close
Security Totals:		688.39		395.56		292.83	
CALL PINS 01/29/21 71 PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210129C00071000							
01/25/2021	1.00	261.90	01/25/2021	182.08	0.00	79.82	Sell to Close
CALL PLUG 07/02/21 34 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210702C00034000							
06/25/2021	5.00	544.71	06/24/2021	535.27	0.00	9.44	Sell to Close
CALL RUN 02/19/21 100 SUNRUN INC CUSIP: Symbol(Box 1a): RUN210219C00100000							
01/27/2021	3.00	209.74	Various	498.24	0.00	-288.50	Sell to Close
CALL CCL 05/07/21 30 CARNIVAL CORP CUSIP: Symbol(Box 1a): CCL210507C00030000							
04/30/2021	3.00	41.75	04/30/2021	45.23	0.00	-3.48	Sell to Close
CALL AMD 01/29/21 109 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210129C00109000							
01/26/2021	1.00	105.90	01/26/2021	106.08	0.00	-0.18	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL RSI 09/17/21	15	RUSH STREET INTERACTIVE INC CUSIP: Symbol(Box 1a): RSI210917C00015000					
08/24/2021	10.00	599.44	08/24/2021	550.53	0.00	48.91	Sell to Close
CALL ACB 02/19/21	12	AURORA CANNABIS INC CUSIP: Symbol(Box 1a): ACB210219C00012000					
02/01/2021	2.00	243.83	02/01/2021	232.16	0.00	11.67	Sell to Close
CALL AFRM 10/01/21	125	AFFIRM HOLDINGS INC CL A CUSIP: Symbol(Box 1a): AFRM211001C00125000					
09/23/2021	2.00	919.88	09/23/2021	900.10	0.00	19.78	Sell to Close
CALL SNAP 06/18/21	65	SNAP INC CUSIP: Symbol(Box 1a): SNAP210618C00065000					
05/27/2021	1.00	125.91	05/27/2021	123.07	0.00	2.84	Sell to Close
CALL SDC 09/17/21	7	SMILEDIRECTCLUB INC CL A CUSIP: Symbol(Box 1a): SDC210917C00007000					
09/14/2021	6.00	107.67	09/14/2021	186.31	0.00	-78.64	Sell to Close
CALL DIS 07/09/21	180	WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210709C00180000					
07/02/2021	1.00	43.93	07/02/2021	44.05	0.00	-0.12	Sell to Close
CALL ED 01/29/21	73.50	CONSOLIDATED EDISON INC CUSIP: Symbol(Box 1a): ED210129C00073500					
01/05/2021	1.00	54.90	01/05/2021	55.08	0.00	-0.18	Sell to Close
CALL FB 08/27/21	370	FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210827C00370000					
08/25/2021	1.00	219.93	08/25/2021	218.05	0.00	1.88	Sell to Close
08/25/2021	3.00	689.82	08/25/2021	597.16	0.00	92.66	Sell to Close
08/25/2021	2.00	299.87	08/25/2021	316.35	0.00	-16.48	Sell to Close
08/25/2021	2.00	259.87	08/25/2021	276.11	16.24 D	0.00	Sell to Close
Security Totals:		1,469.49		1,407.67		78.06	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SPCE 01/15/21 32	VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210115C00032000						
01/14/2021	1.00	159.90	01/14/2021	74.08	0.00	85.82	Sell to Close
CALL PINS 01/29/21 67.50	PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210129C00067500						
01/27/2021	1.00	257.90	01/27/2021	159.08	0.00	98.82	Sell to Close
CALL WKHS 03/12/21 20	WORKHORSE GROUP INC CUSIP: Symbol(Box 1a): WKHS210312C00020000						
03/01/2021	2.00	203.83	03/01/2021	198.16	0.00	5.67	Sell to Close
CALL AMZN 08/13/21 3500	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210813C03500000						
08/04/2021	1.00	999.93	08/04/2021	1,000.05	0.00	-0.12	Sell to Close
CALL ROKU 09/17/21 385	ROKU INC CUSIP: Symbol(Box 1a): ROKU210917C00385000						
08/16/2021	1.00	1,054.93	08/16/2021	1,050.05	0.00	4.88	Sell to Close
CALL MARA 11/05/21 53	MARATHON DIGITAL HOLDINGS INC CUSIP: Symbol(Box 1a): MARA211105C00053000						
10/28/2021	4.00	859.81	Various	1,210.16	350.35 D	0.00	Sell to Close
10/29/2021	5.00	889.77	Various	1,424.55	0.00	-534.78	Sell to Close
Security Totals:		1,749.58		2,634.71		-534.78	
CALL AAPL 03/12/21 135	APPLE INC CUSIP: Symbol(Box 1a): AAPL210312C00135000						
03/03/2021	6.00	77.50	02/26/2021	180.48	0.00	-102.98	Sell to Close
CALL FDX 10/29/21 250	FEDEX CORP CUSIP: Symbol(Box 1a): FDX211029C00250000						
10/26/2021	1.00	52.94	10/25/2021	49.04	0.00	3.90	Sell to Close
CALL MSFT 08/27/21 290	MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210827C00290000						
08/13/2021	3.00	989.82	08/12/2021	849.16	0.00	140.66	Sell to Close
CALL FCCEL 02/19/21 26	FUELCELL ENERGY INC CUSIP: Symbol(Box 1a): FCCEL210219C00026000						
02/08/2021	1.00	197.90	02/04/2021	161.08	0.00	36.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL PLUG 03/19/21 50 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210319C00050000							
03/11/2021	1.00	211.90	03/10/2021	164.08	0.00	47.82	Sell to Close
03/12/2021	1.00	119.90	03/10/2021	90.08	0.00	29.82	Sell to Close
Security Totals:		331.80		254.16		77.64	
CALL SPY 01/27/21 382 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210127C00382000							
01/27/2021	5.00	249.58	Various	238.40	0.00	11.18	Sell to Close
PUT QQQ 08/06/21 360 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210806P00360000							
07/30/2021	1.00	185.93	07/30/2021	202.05	0.00	-16.12	Sell to Close
CALL RUN 03/19/21 70 SUNRUN INC CUSIP: Symbol(Box 1a): RUN210319C00070000							
03/12/2021	1.00	69.90	03/11/2021	140.08	0.00	-70.18	Sell to Close
CALL TSLA 02/26/21 755 TESLA INC CUSIP: Symbol(Box 1a): TSLA210226C00755000							
02/24/2021	1.00	449.90	02/23/2021	415.08	0.00	34.82	Sell to Close
CALL SBUX 02/19/21 110 STARBUCKS CORPORATION CUSIP: Symbol(Box 1a): SBUX210219C00110000							
02/08/2021	7.00	272.42	02/08/2021	322.56	0.00	-50.14	Sell to Close
CALL TDOC 05/21/21 145 TELADOC HEALTH INC CUSIP: Symbol(Box 1a): TDOC210521C00145000							
05/17/2021	1.00	96.91	05/17/2021	112.07	0.00	-15.16	Sell to Close
CALL PTON 07/02/21 119 PELOTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210702C00119000							
06/22/2021	1.00	205.93	06/22/2021	202.05	0.00	3.88	Sell to Close
06/22/2021	1.00	197.93	06/22/2021	197.05	0.00	0.88	Sell to Close
Security Totals:		403.86		399.10		4.76	
CALL X 06/04/21 25 UNITED STATES STL CORP NEW CUSIP: Symbol(Box 1a): X210604C00025000							
05/24/2021	5.00	384.59	05/24/2021	345.39	0.00	39.20	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL BA 05/21/21	230	BOEING CO CUSIP: Symbol(Box 1a): BA210521C00230000					
05/14/2021	2.00	551.82	05/14/2021	594.16	0.00	-42.34	Sell to Close
CALL TSLA 08/06/21	720	TESLA INC CUSIP: Symbol(Box 1a): TSLA210806C00720000					
08/03/2021	1.00	679.93	08/03/2021	725.05	0.00	-45.12	Sell to Close
CALL PTON 06/25/21	115	PELTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210625C00115000					
06/22/2021	1.00	182.93	06/22/2021	182.05	0.00	0.88	Sell to Close
EZFILL HOLDINGS INC	COMMON STOCK CUSIP: 302314208 Symbol(Box 1a): EZFL						
09/21/2021	2,588.00	9,194.92	09/17/2021	12,215.36	0.00	-3,020.44	Sale
CALL BARK 07/16/21	12.50	ORIGINAL BARK COMPANY (THE) CUSIP: Symbol(Box 1a): BARK210716C00012500					
06/09/2021	5.00	949.59	06/09/2021	700.39	0.00	249.20	Sell to Close
06/09/2021	1.00	189.91	06/09/2021	200.07	0.00	-10.16	Sell to Close
Security Totals:		1,139.50		900.46		239.04	
CALL PINS 01/29/21	74	PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210129C00074000					
01/27/2021	1.00	19.90	01/27/2021	23.08	0.00	-3.18	Sell to Close
CALL SNAP 08/27/21	76	SNAP INC CUSIP: Symbol(Box 1a): SNAP210827C00076000					
08/23/2021	3.00	347.82	08/23/2021	432.16	0.00	-84.34	Sell to Close
CALL MSFT 07/09/21	275	MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210709C00275000					
06/30/2021	5.00	524.71	06/30/2021	520.27	0.00	4.44	Sell to Close
CALL MRNA 09/17/21	470	MODERNA INC CUSIP: Symbol(Box 1a): MRNA210917C00470000					
09/10/2021	1.00	725.93	09/10/2021	930.05	0.00	-204.12	Sell to Close
PUT NFLX 07/30/21	500	NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210730P00500000					
07/21/2021	1.00	514.93	07/21/2021	495.06	0.00	19.87	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT NFLX 07/30/21 500 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210730P00500000							
07/22/2021	2.00	759.87	Various	925.10	0.00	-165.23	Sell to Close
Security Totals:		1,274.80		1,420.16		-145.36	
CALL MSFT 01/29/21 240 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210129C00240000							
01/26/2021	1.00	190.90	01/25/2021	142.08	0.00	48.82	Sell to Close
01/26/2021	1.00	209.90	01/25/2021	152.08	0.00	57.82	Sell to Close
01/26/2021	1.00	321.90	01/25/2021	142.08	0.00	179.82	Sell to Close
Security Totals:		722.70		436.24		286.46	
CALL BLNK 03/05/21 51 BLINK CHARGING CO CUSIP: Symbol(Box 1a): BLNK210305C00051000							
02/22/2021	2.00	295.82	Various	460.16	0.00	-164.34	Sell to Close
CALL FSLY 06/18/21 50 FASTLY INC CLASS A CUSIP: Symbol(Box 1a): FSLY210618C00050000							
05/06/2021	1.00	165.91	05/06/2021	239.07	0.00	-73.16	Sell to Close
CALL DKNG 08/20/21 45 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210820C00045000							
07/15/2021	5.00	1,459.71	07/14/2021	1,925.27	0.00	-465.56	Sell to Close
CALL RBLX 04/30/21 85 ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210430C00085000							
04/16/2021	1.00	204.91	04/15/2021	270.07	0.00	-65.16	Sell to Close
CALL XPEV 03/19/21 37 XPENG INC ADR CLASS A CUSIP: Symbol(Box 1a): XPEV210319C00037000							
03/12/2021	1.00	59.90	03/11/2021	103.08	0.00	-43.18	Sell to Close
CALL PLTR 09/17/21 27 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210917C00027000							
09/01/2021	20.00	1,378.91	Various	1,357.06	0.00	21.85	Sell to Close
CALL PINS 01/22/21 80 PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210122C00080000							
01/11/2021	1.00	74.90	01/11/2021	83.08	8.18 D	0.00	Sell to Close
01/11/2021	2.00	203.82	01/11/2021	166.16	0.00	37.66	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
01/11/2021	1.00	83.90	01/11/2021	112.26	0.00	-28.36	Sell to Close
Security Totals:		362.62		361.50		9.30	
CALL NVDA 09/24/21 225	NVIDIA CORPORATION CUSIP: Symbol(Box 1a): NVDA210924C00225000						
09/16/2021	6.00	1,319.67	Various	1,680.31	0.00	-360.64	Sell to Close
CALL PLTR 01/15/21 27.50	PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210115C00027500						
01/08/2021	3.00	185.74	01/08/2021	186.24	0.34 D	-0.16	Sell to Close
01/11/2021	2.00	53.82	Various	90.50	0.00	-36.68	Sell to Close
Security Totals:		239.56		276.74		-36.84	
CALL SPCE 07/23/21 32	VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210723C00032000						
07/19/2021	1.00	199.93	07/19/2021	168.05	0.00	31.88	Sell to Close
07/19/2021	5.00	1,019.71	07/19/2021	840.28	0.00	179.43	Sell to Close
Security Totals:		1,219.64		1,008.33		211.31	
CALL X 05/28/21 25	UNITED STATES STL CORP NEW CUSIP: Symbol(Box 1a): X210528C00025000						
05/21/2021	5.00	414.59	Various	409.39	0.00	5.20	Sell to Close
CALL DKNG 09/17/21 70	DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210917C00070000						
08/27/2021	6.00	245.66	08/27/2021	240.32	0.00	5.34	Sell to Close
CALL BAC 03/19/21 38	BANK OF AMERICA CORP CUSIP: Symbol(Box 1a): BAC210319C00038000						
03/10/2021	3.00	113.74	03/10/2021	108.24	0.00	5.50	Sell to Close
CALL ENG 08/20/21 2.50	ENGLOBAL CORPORATION CUSIP: Symbol(Box 1a): ENG210820C00002500						
07/20/2021	20.00	1,118.86	Various	1,101.09	0.00	17.77	Sell to Close
CALL NFLX 10/15/21 610	NETFLIX INC CUSIP: Symbol(Box 1a): NFLX211015C00610000						
09/27/2021	2.00	789.88	09/27/2021	900.10	0.00	-110.22	Sell to Close
CALL NIO 08/06/21 45	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210806C00045000						
07/27/2021	8.00	823.53	07/26/2021	1,216.44	0.00	-392.91	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SPY 01/13/21 381 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210113C00381000							
01/13/2021	1.00	13.90	01/13/2021	13.08	0.00	0.82	Sell to Close
01/13/2021	7.00	104.42	01/13/2021	91.57	0.00	12.85	Sell to Close
Security Totals:		118.32		104.65		13.67	
CALL AMD 08/27/21 105 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210827C00105000							
08/19/2021	2.00	619.87	08/19/2021	478.11	0.00	141.76	Sell to Close
08/23/2021	5.00	1,674.71	Various	1,126.27	0.00	548.44	Sell to Close
Security Totals:		2,294.58		1,604.38		690.20	
CALL AMAT 09/17/21 140 APPLIED MATERIALS INC CUSIP: Symbol(Box 1a): AMAT210917C00140000							
09/14/2021	4.00	463.77	Various	566.21	0.00	-102.44	Sell to Close
CALL PLUG 01/29/21 70 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210129C00070000							
01/25/2021	1.00	364.90	01/22/2021	246.08	0.00	118.82	Sell to Close
CALL BA 09/17/21 220 BOEING CO CUSIP: Symbol(Box 1a): BA210917C00220000							
09/08/2021	2.00	335.88	09/08/2021	364.10	0.00	-28.22	Sell to Close
CALL NVDA 10/22/21 220 NVIDIA CORPORATION CUSIP: Symbol(Box 1a): NVDA211022C00220000							
10/15/2021	2.00	511.90	10/15/2021	512.08	0.00	-0.18	Sell to Close
CALL QCOM 02/05/21 175 QUALCOMM INC CUSIP: Symbol(Box 1a): QCOM210205C00175000							
02/04/2021	1.00	2.90	02/03/2021	138.08	0.00	-135.18	Sell to Close
CALL MRIN 08/20/21 20 MARIN SOFTWARE INCORPORATED CUSIP: Symbol(Box 1a): MRIN210820C00020000							
07/21/2021	1.00	94.93	07/21/2021	95.05	0.00	-0.12	Sell to Close
CALL BA 11/05/21 210 BOEING CO CUSIP: Symbol(Box 1a): BA211105C00210000							
10/28/2021	1.00	231.94	10/28/2021	244.04	0.00	-12.10	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL AAPL 07/16/21	135	APPLE INC CUSIP: Symbol(Box 1a): AAPL210716C00135000					
06/29/2021	4.00	935.76	06/29/2021	920.22	0.00	15.54	Sell to Close
CALL MRIN 08/20/21	15	MARIN SOFTWARE INCORPORATED CUSIP: Symbol(Box 1a): MRIN210820C00015000					
07/22/2021	1.00	89.93	07/21/2021	125.05	0.00	-35.12	Sell to Close
OPKO HEALTH INC CUSIP: 68375N103 Symbol(Box 1a): OPK							
08/02/2021	2.00	6.98	Various	0.00	0.00	6.98	Sale
CALL SNAP 08/20/21	76	SNAP INC CUSIP: Symbol(Box 1a): SNAP210820C00076000					
08/02/2021	2.00	527.87	08/02/2021	524.11	0.00	3.76	Sell to Close
08/03/2021	3.00	617.82	Various	625.15	34.11 D	26.78	Sell to Close
08/03/2021	4.00	1,075.77	Various	946.21	0.00	129.56	Sell to Close
08/03/2021	1.00	203.93	08/03/2021	201.16	0.00	2.77	Sell to Close
Security Totals:		2,425.39		2,296.63		162.87	
CALL SNAP 07/16/21	65	SNAP INC CUSIP: Symbol(Box 1a): SNAP210716C00065000					
06/23/2021	1.00	282.93	06/23/2021	282.05	0.00	0.88	Sell to Close
CALL NVDA 09/03/21	230	NVIDIA CORPORATION CUSIP: Symbol(Box 1a): NVDA210903C00230000					
08/30/2021	2.00	441.87	Various	515.10	0.00	-73.23	Sell to Close
CALL AAPL 01/29/21	145	APPLE INC CUSIP: Symbol(Box 1a): AAPL210129C00145000					
01/28/2021	5.00	159.58	Various	253.40	0.00	-93.82	Sell to Close
CALL UPWK 07/16/21	65	UPWORK INC CUSIP: Symbol(Box 1a): UPWK210716C00065000					
07/13/2021	6.00	539.65	07/13/2021	858.33	0.00	-318.68	Sell to Close
CALL PLUG 06/18/21	30	PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210618C00030000					
05/14/2021	5.00	569.59	05/14/2021	650.39	0.00	-80.80	Sell to Close
CALL LULU 05/28/21	325	LULULEMON ATHLETICA INC CUSIP: Symbol(Box 1a): LULU210528C00325000					
05/24/2021	1.00	140.91	05/24/2021	166.23	0.00	-25.32	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
05/24/2021	1.00	145.91	05/24/2021	177.07	31.16 D	0.00	Sell to Close
Security Totals:		286.82		343.30		-25.32	
CALL LAZR 01/22/21 34	LUMINAR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): LAZR210122C00034000						
01/14/2021	1.00	179.90	01/14/2021	230.08	0.00	-50.18	Sell to Close
CALL SNAP 07/02/21 68	SNAP INC CUSIP: Symbol(Box 1a): SNAP210702C00068000						
06/29/2021	4.00	483.76	Various	510.45	0.00	-26.69	Sell to Close
06/29/2021	4.00	539.76	Various	522.22	10.23 D	27.77	Sell to Close
Security Totals:		1,023.52		1,032.67		1.08	
CALL FUTU 07/16/21 155	FUTU HOLDINGS LTD ADS CUSIP: Symbol(Box 1a): FUTU210716C00155000						
06/22/2021	1.00	999.93	06/21/2021	793.05	0.00	206.88	Sell to Close
CALL UPST 05/21/21 130	UPSTART HOLDINGS INC CUSIP: Symbol(Box 1a): UPST210521C00130000						
05/18/2021	1.00	239.91	05/18/2021	270.07	0.00	-30.16	Sell to Close
CALL TSLA 03/19/21 665	TESLA INC CUSIP: Symbol(Box 1a): TSLA210319C00665000						
03/19/2021	1.00	222.90	03/19/2021	222.08	0.00	0.82	Sell to Close
CALL TSLA 07/16/21 685	TESLA INC CUSIP: Symbol(Box 1a): TSLA210716C00685000						
07/13/2021	1.00	829.93	07/13/2021	1,080.05	0.00	-250.12	Sell to Close
CALL SPRT 09/17/21 10	SUPPORT.COM INC CUSIP: Symbol(Box 1a): SPRT210917C00010000						
08/17/2021	5.00	399.71	08/16/2021	500.27	0.00	-100.56	Sell to Close
CALL PLTR 02/19/21 45	PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210219C00045000						
02/10/2021	1.00	158.90	02/10/2021	188.08	29.18 D	0.00	Sell to Close
02/12/2021	5.00	289.58	Various	667.58	0.00	-378.00	Sell to Close
Security Totals:		448.48		855.66		-378.00	
CALL DKNG 08/20/21 50	DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210820C00050000						
07/14/2021	5.00	987.71	Various	1,486.27	0.00	-498.56	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NIO 07/23/21 44 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210723C00044000							
07/20/2021	12.00	1,247.31	07/20/2021	1,104.66	0.00	142.65	Sell to Close
07/21/2021	2.00	309.87	07/20/2021	214.11	0.00	95.76	Sell to Close
Security Totals:		1,557.18		1,318.77		238.41	
CALL AMAT 09/17/21 145 APPLIED MATERIALS INC CUSIP: Symbol(Box 1a): AMAT210917C00145000							
09/13/2021	4.00	159.77	09/13/2021	232.21	0.00	-72.44	Sell to Close
ALSET EHOME INTERNATIONAL INC COMMON STOCK CUSIP: 02116A104 Symbol(Box 1a): AEI							
09/08/2021	3.00	7.60	09/07/2021	7.32	0.00	0.28	Sale
09/10/2021	460.00	1,126.94	09/07/2021	1,122.12	0.00	4.82	Sale
09/14/2021	1,000.00	2,869.96	Various	2,444.26	0.00	425.70	Sale
Security Totals:		4,004.50		3,573.70		430.80	
CALL NFLX 01/15/21 555 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210115C00555000							
01/05/2021	1.00	394.90	01/04/2021	370.08	0.00	24.82	Sell to Close
CALL MSFT 06/18/21 257.50 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210618C00257500							
06/08/2021	1.00	144.91	06/08/2021	156.07	0.00	-11.16	Sell to Close
CALL ABNB 06/18/21 155 AIRBNB INC CUSIP: Symbol(Box 1a): ABNB210618C00155000							
06/08/2021	2.00	467.82	Various	514.14	0.00	-46.32	Sell to Close
CALL IWM 01/21/22 250 ISHARES RUSSELL 2000 ETF CUSIP: Symbol(Box 1a): IWM220121C00250000							
11/17/2021	8.00	2,255.79	11/10/2021	4,040.33	0.00	-1,784.54	Sell to Close
CALL AAPL 07/16/21 146 APPLE INC CUSIP: Symbol(Box 1a): AAPL210716C00146000							
07/12/2021	5.00	529.71	07/12/2021	640.27	0.00	-110.56	Sell to Close
CALL SPY 01/06/21 373 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210106C00373000							
01/05/2021	1.00	153.90	01/05/2021	171.08	0.00	-17.18	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SPY 05/03/21 421 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210503C00421000							
04/30/2021	1.00	41.91	04/29/2021	108.07	0.00	-66.16	Sell to Close
CALL SPY 05/03/21 422 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210503C00422000							
04/29/2021	3.00	245.75	04/29/2021	210.24	0.00	35.51	Sell to Close
04/30/2021	1.00	23.91	04/29/2021	70.08	0.00	-46.17	Sell to Close
Security Totals:		269.66		280.32		-10.66	
CALL X 06/25/21 24 UNITED STATES STL CORP NEW CUSIP: Symbol(Box 1a): X210625C00024000							
06/21/2021	5.00	289.71	06/21/2021	370.27	0.00	-80.56	Sell to Close
CALL TAN 02/19/21 130 INVESCO SOLAR ETF CUSIP: Symbol(Box 1a): TAN210219C00130000							
02/16/2021	1.00	54.90	02/10/2021	130.08	0.00	-75.18	Sell to Close
CALL CLNE 06/18/21 10 CLEAN ENERGY FUELS CORP CUSIP: Symbol(Box 1a): CLNE210618C00010000							
06/08/2021	2.00	199.82	06/07/2021	290.16	0.00	-90.34	Sell to Close
CALL WISH 07/16/21 15 CONTEXTLOGIC INC CL A CUSIP: Symbol(Box 1a): WISH210716C00015000							
06/28/2021	1.00	209.93	06/28/2021	220.05	0.00	-10.12	Sell to Close
CALL XL 01/15/21 22.50 XL FLEET CORPORATION CL A CUSIP: Symbol(Box 1a): XL210115C00022500							
01/05/2021	1.00	159.90	01/05/2021	170.08	0.00	-10.18	Sell to Close
CALL CLF 09/03/21 25 CLEVELAND CLIFFS INC CUSIP: Symbol(Box 1a): CLF210903C00025000							
08/27/2021	5.00	289.71	08/27/2021	275.27	0.00	14.44	Sell to Close
MEDIACO HOLDING INC CLASS A COMMON STOCK CUSIP: 58450D104 Symbol(Box 1a): MDIA							
08/10/2021	100.00	1,099.98	08/10/2021	911.00	0.00	188.98	Sale
08/11/2021	50.00	487.98	08/10/2021	500.00	0.00	-12.02	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
MEDIACO HOLDING INC CLASS A COMMON STOCK CUSIP: 58450D104 Symbol(Box 1a): MDIA							
08/11/2021	50.00	488.98	08/10/2021	500.00	11.02 D	0.00	Sale
08/12/2021	50.00	435.23	08/11/2021	473.52	0.00	-38.29	Sale
Security Totals:		2,512.17		2,384.52		138.67	
CALL CLF 09/03/21 24 CLEVELAND CLIFFS INC CUSIP: Symbol(Box 1a): CLF210903C00024000							
08/23/2021	5.00	459.71	08/23/2021	460.27	0.45 D	-0.11	Sell to Close
08/24/2021	1.00	144.93	08/23/2021	90.16	0.00	54.77	Sell to Close
08/24/2021	3.00	392.82	08/23/2021	270.50	0.00	122.32	Sell to Close
Security Totals:		997.46		820.93		176.98	
CALL TWTR 07/23/21 67.50 TWITTER INC CUSIP: Symbol(Box 1a): TWTR210723C00067500							
07/20/2021	3.00	974.82	07/20/2021	969.16	0.00	5.66	Sell to Close
BLINK CHARGING CO COMMON STOCK CUSIP: 09354A100 Symbol(Box 1a): BLNK							
02/26/2021	57.00	2,123.22	02/24/2021	2,320.47	0.00	-197.25	Sale
CALL PLUG 03/05/21 70 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210305C00070000							
02/22/2021	2.00	155.82	02/19/2021	308.16	0.00	-152.34	Sell to Close
CALL SPCE 02/05/21 36 VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210205C00036000							
01/21/2021	2.00	379.82	01/21/2021	266.16	0.00	113.66	Sell to Close
CALL SBUX 05/21/21 115 STARBUCKS CORPORATION CUSIP: Symbol(Box 1a): SBUX210521C00115000							
05/06/2021	4.00	403.66	Various	553.30	0.00	-149.64	Sell to Close
CALL SPCE 02/05/21 35 VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210205C00035000							
01/22/2021	1.00	210.90	01/22/2021	166.08	0.00	44.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SPCE 02/05/21 35 VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210205C00035000							
01/25/2021	1.00	295.90	01/22/2021	194.08	0.00	101.82	Sell to Close
Security Totals:		506.80		360.16		146.64	
CALL PLTR 02/19/21 40 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210219C00040000							
02/03/2021	2.00	307.82	02/03/2021	308.16	0.34 D	0.00	Sell to Close
02/05/2021	1.00	193.90	02/03/2021	158.08	0.00	35.82	Sell to Close
02/05/2021	2.00	305.82	02/03/2021	316.50	10.68 D	0.00	Sell to Close
02/08/2021	2.00	659.81	02/03/2021	298.84	0.00	360.97	Sell to Close
Security Totals:		1,467.35		1,081.58		396.79	
CALL ATVI 05/07/21 94 ACTIVISION BLIZZARD INC CUSIP: Symbol(Box 1a): ATVI210507C00094000							
04/22/2021	1.00	299.91	04/22/2021	315.07	0.00	-15.16	Sell to Close
CALL NIO 04/30/21 38 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210430C00038000							
04/20/2021	3.00	440.75	Various	424.23	0.00	16.52	Sell to Close
CALL SPY 01/29/21 375 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210129C00375000							
01/29/2021	1.00	158.90	01/29/2021	126.08	0.00	32.82	Sell to Close
CALL ZM 08/20/21 390 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210820C00390000							
07/27/2021	1.00	799.93	07/27/2021	930.05	0.00	-130.12	Sell to Close
CALL PLTR 02/26/21 46 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210226C00046000							
02/16/2021	3.00	95.74	02/12/2021	288.24	0.00	-192.50	Sell to Close
CALL ZM 08/20/21 410 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210820C00410000							
08/04/2021	1.00	629.93	08/04/2021	530.05	0.00	99.88	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL RIOT 01/29/21 35 RIOT BLOCKCHAIN INC CUSIP: Symbol(Box 1a): RIOT210129C00035000							
01/15/2021	1.00	191.90	01/14/2021	280.08	88.18 D	0.00	Sell to Close
01/20/2021	1.00	66.90	01/19/2021	138.08	0.00	-71.18	Sell to Close
01/20/2021	1.00	63.90	01/19/2021	226.26	0.00	-162.36	Sell to Close
Security Totals:		322.70		644.42		-233.54	
CALL MRNA 09/17/21 450 MODERNA INC CUSIP: Symbol(Box 1a): MRNA210917C00450000							
09/07/2021	1.00	659.93	09/07/2021	650.05	0.00	9.88	Sell to Close
CALL RIOT 01/15/21 34 RIOT BLOCKCHAIN INC CUSIP: Symbol(Box 1a): RIOT210115C00034000							
01/11/2021	1.00	35.90	01/08/2021	162.08	0.00	-126.18	Sell to Close
CALL AAPL 01/29/21 150 APPLE INC CUSIP: Symbol(Box 1a): AAPL210129C00150000							
01/27/2021	1.00	224.90	01/26/2021	238.08	0.00	-13.18	Sell to Close
CALL MRNA 07/16/21 240 MODERNA INC CUSIP: Symbol(Box 1a): MRNA210716C00240000							
07/06/2021	1.00	519.93	07/06/2021	420.05	0.00	99.88	Sell to Close
CALL RMO 02/19/21 30 ROMEO POWER INC CL A CUSIP: Symbol(Box 1a): RMO210219C00030000							
01/22/2021	5.00	249.58	01/22/2021	250.40	0.00	-0.82	Sell to Close
CALL ROKU 05/21/21 332.50 ROKU INC CUSIP: Symbol(Box 1a): ROKU210521C00332500							
05/20/2021	1.00	324.91	05/20/2021	315.07	0.00	9.84	Sell to Close
CALL GME 05/28/21 350 GAMESTOP CORP CUSIP: Symbol(Box 1a): GME210528C00350000							
05/27/2021	1.00	224.91	05/27/2021	94.07	0.00	130.84	Sell to Close
CALL HD 07/02/21 315 HOME DEPOT INC CUSIP: Symbol(Box 1a): HD210702C00315000							
06/28/2021	1.00	205.93	06/28/2021	159.05	0.00	46.88	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NIO 01/15/21	65	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210115C00065000					
01/08/2021	1.00	179.90	01/08/2021	187.08	0.00	-7.18	Sell to Close
CALL NIO 02/19/21	65	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210219C00065000					
02/08/2021	4.00	351.66	Various	583.32	0.00	-231.66	Sell to Close
CALL BABA 02/05/21	280	ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210205C00280000					
02/03/2021	1.00	55.90	02/03/2021	56.08	0.00	-0.18	Sell to Close
PUT MSFT 08/13/21	282.50	MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210813P00282500					
07/30/2021	2.00	499.87	07/30/2021	500.11	0.00	-0.24	Sell to Close
CALL SPCE 07/16/21	50	VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210716C00050000					
07/06/2021	1.00	419.93	07/06/2021	575.05	0.00	-155.12	Sell to Close
CALL SQ 05/21/21	200	SQUARE INC CUSIP: Symbol(Box 1a): SQ210521C00200000					
05/17/2021	1.00	409.91	05/17/2021	370.07	0.00	39.84	Sell to Close
05/19/2021	1.00	294.91	05/19/2021	291.07	0.00	3.84	Sell to Close
Security Totals:		704.82		661.14		43.68	
CALL OPEN 02/19/21	30	OPENDOOR TECHNOLOGIES INC CUSIP: Symbol(Box 1a): OPEN210219C00030000					
01/14/2021	2.00	271.82	Various	395.16	0.00	-123.34	Sell to Close
CALL V 09/17/21	240	VISA INC CUSIP: Symbol(Box 1a): V210917C00240000					
08/18/2021	4.00	1,047.77	08/18/2021	1,000.21	0.00	47.56	Sell to Close
08/30/2021	10.00	969.44	Various	1,310.53	0.00	-341.09	Sell to Close
Security Totals:		2,017.21		2,310.74		-293.53	
CALL ABT 02/19/21	125	ABBOTT LABORATORIES CUSIP: Symbol(Box 1a): ABT210219C00125000					
02/08/2021	2.00	377.82	02/03/2021	82.16	0.00	295.66	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL ABT 02/19/21 125 ABBOTT LABORATORIES CUSIP: Symbol(Box 1a): ABT210219C00125000							
02/08/2021	1.00	167.90	02/03/2021	41.08	0.00	126.82	Sell to Close
Security Totals:		545.72		123.24		422.48	
CALL FB 04/16/21 320 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210416C00320000							
04/05/2021	1.00	284.91	04/05/2021	234.07	0.00	50.84	Sell to Close
04/09/2021	2.00	495.82	04/09/2021	484.16	0.00	11.66	Sell to Close
Security Totals:		780.73		718.23		62.50	
CALL ROKU 09/17/21 370 ROKU INC CUSIP: Symbol(Box 1a): ROKU210917C00370000							
08/23/2021	1.00	1,184.93	08/23/2021	1,180.05	0.00	4.88	Sell to Close
CALL NFLX 07/23/21 545 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210723C00545000							
07/20/2021	1.00	1,054.93	07/20/2021	1,045.05	0.00	9.88	Sell to Close
CALL ZM 01/29/21 400 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210129C00400000							
01/11/2021	1.00	489.89	01/11/2021	430.08	0.00	59.81	Sell to Close
01/12/2021	1.00	309.90	01/11/2021	430.08	0.00	-120.18	Sell to Close
Security Totals:		799.79		860.16		-60.37	
CALL UAL 05/21/21 56 UNITED AIRLINES HOLDINGS INC CUSIP: Symbol(Box 1a): UAL210521C00056000							
05/10/2021	3.00	332.75	05/07/2021	324.23	0.00	8.52	Sell to Close
WORKHORSE GROUP INC COM CUSIP: 98138J206 Symbol(Box 1a): WKHS							
02/25/2021	41.00	751.87	Various	670.27	0.00	81.60	Sale
02/26/2021	20.00	329.98	02/26/2021	345.70	0.00	-15.72	Sale
Security Totals:		1,081.85		1,015.97		65.88	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMD 11/19/21 105 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD211119C00105000							
10/07/2021	5.00	3,324.76	10/06/2021	2,500.21	0.00	824.55	Sell to Close
10/07/2021	1.00	692.94	10/07/2021	659.04	0.00	33.90	Sell to Close
Security Totals:		4,017.70		3,159.25		858.45	
CALL AAPL 07/16/21 140 APPLE INC CUSIP: Symbol(Box 1a): AAPL210716C00140000							
06/01/2021	4.00	147.66	05/26/2021	288.32	0.00	-140.66	Sell to Close
CALL GME 03/05/21 300 GAMESTOP CORP CUSIP: Symbol(Box 1a): GME210305C00300000							
03/03/2021	1.00	101.90	03/03/2021	96.08	0.00	5.82	Sell to Close
CALL SPWR 01/22/21 37 SUNPOWER CORPORATION CUSIP: Symbol(Box 1a): SPWR210122C00037000							
01/20/2021	1.00	153.90	01/20/2021	140.08	0.00	13.82	Sell to Close
CALL BABA 07/16/21 225 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210716C00225000							
06/25/2021	2.00	819.87	06/24/2021	564.11	0.00	255.76	Sell to Close
CALL AMC 02/05/21 12 AMC ENTERTAINMENT HOLDINGS INC CUSIP: Symbol(Box 1a): AMC210205C00012000							
02/03/2021	1.00	49.90	02/03/2021	55.08	0.00	-5.18	Sell to Close
CALL DKNG 01/22/21 55 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210122C00055000							
01/14/2021	1.00	269.90	01/13/2021	228.08	0.00	41.82	Sell to Close
CALL TLRY 03/26/21 28.50 TILRAY INC CUSIP: Symbol(Box 1a): TLRY210326C00028500							
03/18/2021	1.00	185.90	03/18/2021	258.08	0.00	-72.18	Sell to Close
CALL NFLX 09/03/21 575 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210903C000575000							
09/01/2021	1.00	449.93	09/01/2021	450.05	0.00	-0.12	Sell to Close
CALL SOS 03/19/21 7 SOS LIMITED ADS CUSIP: Symbol(Box 1a): SOS210319C00007000							
03/09/2021	2.00	179.82	03/09/2021	160.16	0.00	19.66	Sell to Close

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2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SQ 01/22/21 245 SQUARE INC CUSIP: Symbol(Box 1a): SQ210122C00245000							
01/12/2021	1.00	304.90	01/11/2021	305.08	0.18 D	0.00	Sell to Close
01/13/2021	1.00	364.90	01/13/2021	273.26	0.00	91.64	Sell to Close
Security Totals:		669.80		578.34		91.64	
CALL NIO 01/08/21 60 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210108C00060000							
01/04/2021	10.00	860.15	12/30/2020	200.81	0.00	659.34	Sell to Close
CALL NFLX 02/19/21 630 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210219C00630000							
01/28/2021	1.00	213.90	01/28/2021	226.08	0.00	-12.18	Sell to Close
CALL TSLA 05/21/21 590 TESLA INC CUSIP: Symbol(Box 1a): TSLA210521C00590000							
05/20/2021	1.00	339.91	05/20/2021	309.07	0.00	30.84	Sell to Close
05/20/2021	1.00	344.91	05/20/2021	315.07	0.00	29.84	Sell to Close
Security Totals:		684.82		624.14		60.68	
CALL FUBO 02/05/21 35 FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210205C00035000							
01/19/2021	1.00	304.90	01/19/2021	310.08	0.00	-5.18	Sell to Close
01/20/2021	1.00	300.90	01/19/2021	310.08	0.00	-9.18	Sell to Close
Security Totals:		605.80		620.16		-14.36	
CALL BIDU 01/08/21 230 BAIDU INC CUSIP: Symbol(Box 1a): BIDU210108C00230000							
01/07/2021	1.00	73.90	01/07/2021	126.08	0.00	-52.18	Sell to Close
CALL MRNA 10/22/21 350 MODERNA INC CUSIP: Symbol(Box 1a): MRNA211022C00350000							
10/21/2021	1.00	119.94	10/21/2021	119.04	0.00	0.90	Sell to Close
CALL SPRT 09/10/21 25 SUPPORT.COM INC CUSIP: Symbol(Box 1a): SPRT210910C00025000							
09/08/2021	2.00	159.88	09/08/2021	168.10	0.00	-8.22	Sell to Close

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2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL TWTR 06/18/21 60 TWITTER INC CUSIP: Symbol(Box 1a): TWTR210618C00060000							
05/05/2021	4.00	511.66	Various	509.30	6.48 D	8.84	Sell to Close
05/11/2021	14.00	1,034.84	Various	1,043.60	0.00	-8.76	Sell to Close
Security Totals:		1,546.50		1,552.90		0.08	
CALL SPCE 01/15/21 34 VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210115C00034000							
01/14/2021	1.00	29.90	01/14/2021	72.08	0.00	-42.18	Sell to Close
CALL BAC 04/23/21 40.50 BANK OF AMERICA CORP CUSIP: Symbol(Box 1a): BAC210423C00040500							
04/13/2021	5.00	279.59	04/12/2021	350.39	0.00	-70.80	Sell to Close
CALL SNAP 06/11/21 60 SNAP INC CUSIP: Symbol(Box 1a): SNAP210611C00060000							
05/24/2021	4.00	703.66	Various	627.30	0.00	76.36	Sell to Close
CALL PENN 10/22/21 80 PENN NATIONAL GAMING INC CUSIP: Symbol(Box 1a): PENN211022C00080000							
10/18/2021	4.00	251.81	10/15/2021	388.17	0.00	-136.36	Sell to Close
CALL SHOP 08/06/21 1600 SHOPIFY INC CUSIP: Symbol(Box 1a): SHOP210806C01600000							
08/02/2021	1.00	759.93	08/02/2021	650.05	0.00	109.88	Sell to Close
CALL TSLA 08/06/21 735 TESLA INC CUSIP: Symbol(Box 1a): TSLA210806C00735000							
07/30/2021	1.00	329.93	07/30/2021	315.05	0.00	14.88	Sell to Close
CALL TGT 05/21/21 215 TARGET CORP CUSIP: Symbol(Box 1a): TGT210521C00215000							
05/19/2021	1.00	389.91	05/19/2021	355.07	0.00	34.84	Sell to Close
CALL NIO 03/05/21 60 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210305C00060000							
02/22/2021	2.00	491.81	02/22/2021	512.16	0.00	-20.35	Sell to Close
CALL PYPL 02/05/21 285 PAYPAL HOLDINGS INC CUSIP: Symbol(Box 1a): PYPL210205C00285000							
01/26/2021	2.00	355.82	Various	459.16	0.00	-103.34	Sell to Close
CALL XPEV 01/15/21 45 XPENG INC ADR CLASS A CUSIP: Symbol(Box 1a): XPEV210115C00045000							
01/07/2021	1.00	227.90	01/07/2021	202.08	0.00	25.82	Sell to Close

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CALL SPY 03/05/21 395 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210305C00395000							
03/02/2021	3.00	179.74	03/02/2021	204.24	0.00	-24.50	Sell to Close
CALL XPEV 01/15/21 50 XPENG INC ADR CLASS A CUSIP: Symbol(Box 1a): XPEV210115C00050000							
01/08/2021	1.00	135.90	01/08/2021	138.08	0.00	-2.18	Sell to Close
01/08/2021	3.00	455.73	01/07/2021	243.24	0.00	212.49	Sell to Close
Security Totals:		591.63		381.32		210.31	
CALL MSFT 08/20/21 295 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210820C00295000							
08/13/2021	6.00	533.66	Various	536.32	0.00	-2.66	Sell to Close
CALL NIO 06/18/21 38 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210618C00038000							
05/25/2021	1.00	127.91	05/25/2021	140.07	0.00	-12.16	Sell to Close
CALL AAPL 05/07/21 147 APPLE INC CUSIP: Symbol(Box 1a): AAPL210507C00147000							
04/29/2021	12.00	167.01	Various	378.96	0.00	-211.95	Sell to Close
CALL OCGN 03/19/21 20 OCUGEN INC CUSIP: Symbol(Box 1a): OCGN210319C00020000							
02/26/2021	1.00	159.90	02/26/2021	215.08	0.00	-55.18	Sell to Close
CALL XPEV 04/16/21 50 XPENG INC ADR CLASS A CUSIP: Symbol(Box 1a): XPEV210416C00050000							
03/11/2021	1.00	81.90	03/11/2021	76.08	0.00	5.82	Sell to Close
CALL PYPL 02/05/21 280 PAYPAL HOLDINGS INC CUSIP: Symbol(Box 1a): PYPL210205C00280000							
02/03/2021	1.00	162.90	02/03/2021	164.08	0.00	-1.18	Sell to Close
CALL CRM 10/01/21 285 SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM211001C00285000							
09/24/2021	3.00	1,049.82	09/24/2021	915.16	0.00	134.66	Sell to Close
CALL UPST 10/08/21 300 UPSTART HOLDINGS INC CUSIP: Symbol(Box 1a): UPST211008C00300000							
10/06/2021	1.00	579.94	10/06/2021	570.04	0.00	9.90	Sell to Close

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CALL PTON 08/20/21 115 PELOTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210820C00115000							
08/12/2021	1.00	266.93	08/12/2021	310.05	0.00	-43.12	Sell to Close
08/12/2021	3.00	1,004.82	Various	781.16	0.00	223.66	Sell to Close
Security Totals:		1,271.75		1,091.21		180.54	
CALL PLUG 07/16/21 34 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210716C00034000							
06/24/2021	3.00	662.82	Various	641.16	0.00	21.66	Sell to Close
06/24/2021	7.00	1,315.60	06/24/2021	1,463.38	147.78 D	0.00	Sell to Close
06/25/2021	4.00	619.76	06/25/2021	700.67	20.23 D	-60.68	Sell to Close
06/25/2021	4.00	535.76	Various	706.77	0.00	-171.01	Sell to Close
Security Totals:		3,133.94		3,511.98		-210.03	
CALL PENN 09/17/21 90 PENN NATIONAL GAMING INC CUSIP: Symbol(Box 1a): PENN210917C00090000							
09/08/2021	2.00	263.88	09/07/2021	228.10	0.00	35.78	Sell to Close
CALL XLF 08/13/21 37.50 SECTOR SPDR FINANCIAL ETF CUSIP: Symbol(Box 1a): XLF210813C00037500							
08/09/2021	5.00	319.71	08/09/2021	310.27	0.00	9.44	Sell to Close
***HIVE BLOCKCHAIN TECHNOLOGIS LTD COM CUSIP: 43366H100 Symbol(Box 1a): HVBT							
07/21/2021	412.00	988.74	07/21/2021	1,001.16	0.00	-12.42	Sale
CALL PENN 09/17/21 85 PENN NATIONAL GAMING INC CUSIP: Symbol(Box 1a): PENN210917C00085000							
09/09/2021	2.00	415.88	09/08/2021	450.10	0.00	-34.22	Sell to Close
CALL FUBO 02/19/21 54 FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210219C00054000							
02/10/2021	1.00	155.90	02/08/2021	268.08	0.00	-112.18	Sell to Close
CALL DIS 09/10/21 185 WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210910C00185000							
09/08/2021	3.00	635.82	09/08/2021	594.16	0.00	41.66	Sell to Close

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CALL SPY 02/03/21 392 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210203C00392000							
01/26/2021	4.00	295.66	01/26/2021	356.32	0.00	-60.66	Sell to Close
CALL SOS 06/18/21 5 SOS LIMITED ADS CUSIP: Symbol(Box 1a): SOS210618C00005000							
06/10/2021	10.00	239.17	06/10/2021	380.80	0.00	-141.63	Sell to Close
CALL AAPL 04/23/21 135 APPLE INC CUSIP: Symbol(Box 1a): AAPL210423C00135000							
04/12/2021	3.00	383.75	04/12/2021	306.23	0.00	77.52	Sell to Close
04/20/2021	2.00	243.82	04/20/2021	244.16	0.00	-0.34	Sell to Close
Security Totals:		627.57		550.39		77.18	
CALL NIO 10/29/21 40 NIO INC ADS CUSIP: Symbol(Box 1a): NIO211029C00040000							
10/21/2021	4.00	547.81	10/21/2021	420.17	0.00	127.64	Sell to Close
CALL AMD 09/24/21 106 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210924C00106000							
09/16/2021	3.00	407.82	09/16/2021	471.16	0.00	-63.34	Sell to Close
CALL SOLO 02/26/21 8 ELECTRAMECANICA VEHICLES CORP CUSIP: Symbol(Box 1a): SOLO210226C00008000							
02/18/2021	4.00	167.66	02/17/2021	240.32	0.00	-72.66	Sell to Close
CALL NFLX 02/19/21 620 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210219C00620000							
02/03/2021	3.00	287.74	Various	400.24	0.00	-112.50	Sell to Close
CALL PTON 08/20/21 120 PELOTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210820C00120000							
08/04/2021	1.00	554.93	08/04/2021	550.05	0.00	4.88	Sell to Close
CALL RBLX 08/20/21 80 ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210820C00080000							
07/27/2021	2.00	769.87	07/26/2021	890.11	0.00	-120.24	Sell to Close
CALL QQQ 03/12/21 315 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210312C00315000							
03/08/2021	1.00	135.90	03/05/2021	198.08	0.00	-62.18	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL BLNK 02/19/21 60 BLINK CHARGING CO CUSIP: Symbol(Box 1a): BLNK210219C00060000							
02/08/2021	2.00	415.82	Various	606.16	0.00	-190.34	Sell to Close
CALL SQ 08/27/21 270 SQUARE INC CUSIP: Symbol(Box 1a): SQ210827C00270000							
08/20/2021	2.00	505.87	08/19/2021	480.11	0.00	25.76	Sell to Close
08/20/2021	2.00	579.87	08/19/2021	480.10	0.00	99.77	Sell to Close
08/23/2021	1.00	539.93	08/23/2021	536.05	0.00	3.88	Sell to Close
Security Totals:		1,625.67		1,496.26		129.41	
CALL PTON 02/19/21 160 PELOTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210219C00160000							
02/16/2021	2.00	119.82	Various	225.16	0.00	-105.34	Sell to Close
CALL CRON 02/19/21 12.50 CRONOS GROUP INC CUSIP: Symbol(Box 1a): CRON210219C00012500							
01/29/2021	4.00	247.66	01/28/2021	148.32	0.00	99.34	Sell to Close
02/01/2021	5.00	139.58	Various	185.40	0.00	-45.82	Sell to Close
Security Totals:		387.24		333.72		53.52	
CALL SQ 01/22/21 260 SQUARE INC CUSIP: Symbol(Box 1a): SQ210122C00260000							
01/11/2021	1.00	115.90	01/11/2021	124.08	8.18 D	0.00	Sell to Close
01/13/2021	1.00	132.90	01/13/2021	139.43	0.00	-6.53	Sell to Close
01/13/2021	3.00	308.74	Various	311.42	6.35 D	3.67	Sell to Close
Security Totals:		557.54		574.93		-2.86	
CALL OPEN 05/21/21 17.50 OPENDOOR TECHNOLOGIES INC CUSIP: Symbol(Box 1a): OPEN210521C00017500							
05/11/2021	1.00	114.91	05/11/2021	115.07	0.00	-0.16	Sell to Close
CALL BA 04/09/21 255 BOEING CO CUSIP: Symbol(Box 1a): BA210409C00255000							
04/08/2021	2.00	295.82	04/08/2021	270.16	0.00	25.66	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL ROKU 08/13/21 425 ROKU INC CUSIP: Symbol(Box 1a): ROKU210813C00425000							
08/05/2021	1.00	829.93	08/05/2021	657.05	0.00	172.88	Sell to Close
CALL FUTU 06/18/21 150 FUTU HOLDINGS LTD ADS CUSIP: Symbol(Box 1a): FUTU210618C00150000							
06/11/2021	1.00	379.93	06/11/2021	370.05	0.00	9.88	Sell to Close
CALL BA 04/16/21 262.50 BOEING CO CUSIP: Symbol(Box 1a): BA210416C00262500							
04/09/2021	2.00	279.82	04/08/2021	416.16	0.00	-136.34	Sell to Close
CALL RBLX 08/20/21 95 ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210820C00095000							
07/13/2021	3.00	1,142.82	07/12/2021	1,260.16	0.00	-117.34	Sell to Close
CALL LAZR 01/29/21 42 LUMINAR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): LAZR210129C00042000							
01/19/2021	1.00	74.90	01/15/2021	155.08	0.00	-80.18	Sell to Close
CALL AMD 10/01/21 110 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD211001C00110000							
09/23/2021	7.00	559.61	09/23/2021	518.37	0.00	41.24	Sell to Close
PUT QQQ 03/19/21 315 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210319P00315000							
03/18/2021	1.00	159.90	03/18/2021	180.08	0.00	-20.18	Sell to Close
CALL PLTR 02/05/21 39 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210205C00039000							
01/29/2021	1.00	231.90	01/29/2021	252.08	0.00	-20.18	Sell to Close
CALL RBLX 08/20/21 85 ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210820C00085000							
07/26/2021	4.00	1,127.76	Various	1,575.21	0.00	-447.45	Sell to Close
CALL PLTR 02/05/21 42 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210205C00042000							
01/29/2021	1.00	168.90	01/29/2021	182.08	0.00	-13.18	Sell to Close
PUT SPY 08/20/21 435 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210820P00435000							
08/09/2021	1.00	152.93	08/06/2021	196.05	0.00	-43.12	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL PDD 09/03/21 110 PINDUODUO INC ADS CUSIP: Symbol(Box 1a): PDD210903C00110000							
09/01/2021	2.00	259.88	09/01/2021	258.10	0.00	1.78	Sell to Close
CALL PTON 08/20/21 125 PELOTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210820C00125000							
08/06/2021	3.00	425.82	Various	835.48	0.00	-409.66	Sell to Close
08/06/2021	4.00	1,299.77	Various	1,299.21	90.33 D	90.89	Sell to Close
Security Totals:		1,725.59		2,134.69		-318.77	
CALL NIO 06/18/21 46 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210618C00046000							
06/14/2021	2.00	255.87	06/11/2021	228.11	0.00	27.76	Sell to Close
CALL AAPL 07/30/21 150 APPLE INC CUSIP: Symbol(Box 1a): AAPL210730C00150000							
07/23/2021	3.00	680.82	07/23/2021	627.16	0.00	53.66	Sell to Close
07/23/2021	5.00	1,049.71	07/22/2021	1,035.27	0.00	14.44	Sell to Close
Security Totals:		1,730.53		1,662.43		68.10	
CALL PLTR 03/19/21 30 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210319C00030000							
02/26/2021	2.00	209.82	02/26/2021	212.16	2.34 D	0.00	Sell to Close
03/03/2021	2.00	91.82	Various	186.50	0.00	-94.68	Sell to Close
Security Totals:		301.64		398.66		-94.68	
CALL UPST 08/27/21 240 UPSTART HOLDINGS INC CUSIP: Symbol(Box 1a): UPST210827C00240000							
08/24/2021	1.00	142.93	08/24/2021	150.05	0.00	-7.12	Sell to Close
TROIKA MEDIA GROUP INC COMMON STOCK CUSIP: 89689F305 Symbol(Box 1a): TRKA							
08/26/2021	500.00	884.93	08/25/2021	890.00	0.00	-5.07	Sale
CALL BIDU 07/16/21 185 BAIDU INC CUSIP: Symbol(Box 1a): BIDU210716C00185000							
07/13/2021	2.00	599.87	Various	510.10	0.00	89.77	Sell to Close
PUT COIN 05/21/21 225 COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210521P00225000							
05/20/2021	1.00	194.91	05/20/2021	227.07	0.00	-32.16	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SPY 07/14/21 436 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210714C00436000							
07/12/2021	2.00	341.87	07/12/2021	324.11	0.00	17.76	Sell to Close
07/12/2021	1.00	166.93	07/12/2021	162.05	0.00	4.88	Sell to Close
Security Totals:		508.80		486.16		22.64	
CALL PLTR 02/12/21 45 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210212C00045000							
02/08/2021	12.00	239.00	Various	615.96	0.00	-376.96	Sell to Close
CALL SPY 05/19/21 406 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210519C00406000							
05/19/2021	1.00	147.91	05/19/2021	140.07	0.00	7.84	Sell to Close
CALL SPY 04/23/21 419 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210423C00419000							
04/16/2021	1.00	155.91	04/16/2021	145.07	0.00	10.84	Sell to Close
CALL BABA 09/03/21 175 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210903C00175000							
09/01/2021	7.00	965.61	Various	956.36	0.00	9.25	Sell to Close
PUT MSFT 08/06/21 285 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210806P00285000							
07/30/2021	2.00	467.87	07/30/2021	458.11	0.00	9.76	Sell to Close
07/30/2021	2.00	473.87	07/30/2021	462.11	0.00	11.76	Sell to Close
Security Totals:		941.74		920.22		21.52	
CALL NFLX 04/09/21 560 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210409C00560000							
04/06/2021	1.00	209.91	04/06/2021	400.07	0.00	-190.16	Sell to Close
CALL BYND 07/02/21 160 BEYOND MEAT INC CUSIP: Symbol(Box 1a): BYND210702C00160000							
06/28/2021	2.00	699.87	Various	493.10	0.00	206.77	Sell to Close
06/28/2021	1.00	290.93	06/28/2021	290.05	0.00	0.88	Sell to Close
Security Totals:		990.80		783.15		207.65	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SPRT 08/20/21 10 SUPPORT.COM INC CUSIP: Symbol(Box 1a): SPRT210820C00010000							
08/20/2021	7.00	34.61	08/20/2021	63.37	0.00	-28.76	Sell to Close
08/20/2021	3.00	14.82	08/20/2021	27.16	0.00	-12.34	Sell to Close
Security Totals:		49.43		90.53		-41.10	
CALL BA 05/21/21 237.50 BOEING CO CUSIP: Symbol(Box 1a): BA210521C00237500							
05/14/2021	1.00	120.91	05/14/2021	120.07	0.00	0.84	Sell to Close
CALL SNOW 02/19/21 350 SNOWFLAKE INC CLASS A CUSIP: Symbol(Box 1a): SNOW210219C00350000							
02/09/2021	3.00	1,889.70	Various	1,190.24	0.00	699.46	Sell to Close
CALL SPRT 09/17/21 7.50 SUPPORT.COM INC CUSIP: Symbol(Box 1a): SPRT210917C00007500							
08/17/2021	1.00	124.93	08/17/2021	130.05	0.00	-5.12	Sell to Close
CALL PLUG 07/16/21 35 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210716C00035000							
06/24/2021	1.00	154.93	06/24/2021	149.05	0.00	5.88	Sell to Close
06/24/2021	2.00	309.87	06/24/2021	298.11	0.00	11.76	Sell to Close
Security Totals:		464.80		447.16		17.64	
CAMBER ENERGY INC COMMON STOCK CUSIP: 13200M508 Symbol(Box 1a): CEI							
10/01/2021	1.00	3.24	10/01/2021	3.38	0.00	-0.14	Sale
CALL NVDA 06/04/21 750 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210604C00750000							
05/21/2021	1.00	82.91	05/21/2021	81.07	0.00	1.84	Sell to Close
PUT SPY 06/25/21 420 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210625P00420000							
06/22/2021	1.00	102.93	06/22/2021	139.05	0.00	-36.12	Sell to Close
CALL MCD 03/19/21 215 MCDONALDS CORP CUSIP: Symbol(Box 1a): MCD210319C00215000							
03/02/2021	1.00	145.90	03/02/2021	126.08	0.00	19.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL MCD 03/19/21 215 MCDONALDS CORP CUSIP: Symbol(Box 1a): MCD210319C00215000							
03/02/2021	1.00	125.90	03/02/2021	126.08	0.00	-0.18	Sell to Close
Security Totals:		271.80		252.16		19.64	
CALL BFLY 07/16/21 15 BUTTERFLY NETWORK INC CL A CUSIP: Symbol(Box 1a): BFLY210716C00015000							
06/21/2021	4.00	447.76	06/21/2021	356.22	0.00	91.54	Sell to Close
06/21/2021	1.00	105.93	06/21/2021	115.05	9.12 D	0.00	Sell to Close
07/02/2021	35.00	453.01	Various	1,481.04	0.00	-1,028.03	Sell to Close
Security Totals:		1,006.70		1,952.31		-936.49	
CALL PLTR 03/19/21 25 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210319C00025000							
03/11/2021	1.00	209.90	03/10/2021	147.08	0.00	62.82	Sell to Close
DATCHAT INC COMMON STOCK CUSIP: 23816M107 Symbol(Box 1a): DATS							
09/14/2021	5.00	37.03	09/14/2021	37.00	0.00	0.03	Sale
09/14/2021	1,000.00	7,012.57	Various	7,357.72	292.14 D	-53.01	Sale
10/01/2021	794.00	8,776.74	Various	9,947.18	0.00	-1,170.44	Sale
Security Totals:		15,826.34		17,341.90		-1,223.42	
CALL NIO 04/01/21 45 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210401C00045000							
03/23/2021	2.00	133.82	Various	274.16	0.00	-140.34	Sell to Close
CALL AAPL 04/16/21 134 APPLE INC CUSIP: Symbol(Box 1a): AAPL210416C00134000							
04/12/2021	5.00	309.59	04/12/2021	430.39	0.00	-120.80	Sell to Close
PUT BBBY 02/19/21 20 BED BATH & BEYOND INC CUSIP: Symbol(Box 1a): BBBY210219P00020000							
01/27/2021	1.00	81.90	01/27/2021	88.08	0.00	-6.18	Sell to Close
CALL AAPL 03/12/21 118 APPLE INC CUSIP: Symbol(Box 1a): AAPL210312C00118000							
03/08/2021	1.00	201.90	03/08/2021	199.08	0.00	2.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL PYPL 04/16/21 270							
04/08/2021	2.00	435.82	Various	383.14	0.00	52.68	Sell to Close
CALL NET 01/15/21 85							
01/07/2021	1.00	69.90	01/07/2021	70.08	0.00	-0.18	Sell to Close
CALL TSLA 02/19/21 865							
02/17/2021	1.00	109.90	02/16/2021	209.08	0.00	-99.18	Sell to Close
CALL AAL 02/19/21 23							
01/27/2021	8.00	255.32	Various	366.64	0.00	-111.32	Sell to Close
CALL PDD 09/03/21 97							
08/27/2021	3.00	374.82	08/27/2021	468.16	0.00	-93.34	Sell to Close
CALL NVDA 08/06/21 205							
08/04/2021	1.00	139.93	08/04/2021	120.05	0.00	19.88	Sell to Close
08/04/2021	1.00	130.93	08/04/2021	124.05	0.00	6.88	Sell to Close
08/04/2021	5.00	599.71	08/04/2021	520.27	0.00	79.44	Sell to Close
Security Totals:		870.57		764.37		106.20	
CALL SQ 07/30/21 285							
07/29/2021	3.00	14.82	07/28/2021	30.16	0.00	-15.34	Sell to Close
CALL SPCE 07/02/21 36							
06/22/2021	2.00	599.87	06/21/2021	448.11	0.00	151.76	Sell to Close
PUT SPY 06/23/21 420							
06/22/2021	2.00	99.87	06/22/2021	94.11	0.00	5.76	Sell to Close
CALL DDOG 02/19/21 120							
02/08/2021	1.00	449.90	02/04/2021	330.08	0.00	119.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SNAP 01/22/21	57.50	SNAP INC CUSIP: Symbol(Box 1a): SNAP210122C00057500					
01/11/2021	1.00	99.90	01/11/2021	140.08	0.00	-40.18	Sell to Close
CALL SNAP 09/17/21	76	SNAP INC CUSIP: Symbol(Box 1a): SNAP210917C00076000					
09/09/2021	6.00	815.67	09/09/2021	744.31	0.00	71.36	Sell to Close
09/09/2021	3.00	416.82	09/08/2021	402.16	0.00	14.66	Sell to Close
Security Totals:		1,232.49		1,146.47		86.02	
CALL SPY 06/25/21	426	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210625C00426000					
06/25/2021	2.00	87.87	06/25/2021	96.11	0.00	-8.24	Sell to Close
PUT SPY 06/25/21	425	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210625P00425000					
06/24/2021	4.00	315.76	06/24/2021	332.22	0.00	-16.46	Sell to Close
CALL XPEV 06/25/21	48	XPENG INC ADR CLASS A CUSIP: Symbol(Box 1a): XPEV210625C00048000					
06/18/2021	3.00	248.82	06/18/2021	246.16	0.00	2.66	Sell to Close
CALL CRON 03/19/21	13	CRONOS GROUP INC CUSIP: Symbol(Box 1a): CRON210319C00013000					
03/04/2021	4.00	51.66	03/02/2021	104.32	0.00	-52.66	Sell to Close
CALL V 09/17/21	235	VISA INC CUSIP: Symbol(Box 1a): V210917C00235000					
08/30/2021	4.00	759.77	08/30/2021	840.21	0.00	-80.44	Sell to Close
CALL IGT 08/20/21	30	INTL GAME TECHNOLOGY CUSIP: Symbol(Box 1a): IGT210820C00030000					
07/12/2021	5.00	84.71	07/09/2021	95.27	0.00	-10.56	Sell to Close
CALL ROKU 07/30/21	482.50	ROKU INC CUSIP: Symbol(Box 1a): ROKU210730C00482500					
07/28/2021	1.00	459.93	07/28/2021	430.05	0.00	29.88	Sell to Close
ATERIAN INC		COMMON STOCK CUSIP: 02156U101 Symbol(Box 1a): ATER					
10/01/2021	921.00	9,652.53	09/30/2021	9,578.40	0.00	74.13	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL DIS 08/20/21 180 WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210820C00180000							
07/12/2021	2.00	1,261.87	07/06/2021	720.11	0.00	541.76	Sell to Close
07/12/2021	2.00	1,223.87	07/06/2021	720.11	0.00	503.76	Sell to Close
07/26/2021	1.00	389.93	07/26/2021	385.05	0.00	4.88	Sell to Close
07/27/2021	1.00	444.93	07/26/2021	400.05	0.00	44.88	Sell to Close
07/27/2021	2.00	919.87	07/26/2021	800.11	0.00	119.76	Sell to Close
Security Totals:		4,240.47		3,025.43		1,215.04	
CALL SPWR 01/29/21 45 SUNPOWER CORPORATION CUSIP: Symbol(Box 1a): SPWR210129C00045000							
01/22/2021	1.00	170.90	01/21/2021	214.08	0.00	-43.18	Sell to Close
CALL LAZR 01/22/21 37 LUMINAR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): LAZR210122C00037000							
01/14/2021	2.00	281.82	Various	395.16	0.00	-113.34	Sell to Close
CALL DIS 08/20/21 185 WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210820C00185000							
07/26/2021	2.00	465.87	07/26/2021	452.11	0.00	13.76	Sell to Close
CALL SNAP 05/21/21 55 SNAP INC CUSIP: Symbol(Box 1a): SNAP210521C00055000							
05/20/2021	2.00	189.82	05/20/2021	142.16	0.00	47.66	Sell to Close
CALL FUBO 04/16/21 25 FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210416C00025000							
04/09/2021	1.00	109.91	04/09/2021	182.07	0.00	-72.16	Sell to Close
CALL CCL 03/26/21 29 CARNIVAL CORP CUSIP: Symbol(Box 1a): CCL210326C00029000							
03/18/2021	3.00	464.74	03/17/2021	345.24	0.00	119.50	Sell to Close
CALL CRM 04/16/21 232.50 SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM210416C000232500							
04/09/2021	2.00	327.82	Various	213.14	0.00	114.68	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL X 05/21/21	26.50	UNITED STATES STL CORP NEW	CUSIP: Symbol(Box 1a): X210521C00026500				
05/17/2021	3.00	263.75	05/17/2021	258.23	0.00	5.52	Sell to Close
CALL W 05/21/21	312.50	WAYFAIR INC	CUSIP: Symbol(Box 1a): W210521C00312500				
05/18/2021	1.00	529.91	05/18/2021	500.07	0.00	29.84	Sell to Close
CALL PYPL 06/18/21	270	PAYPAL HOLDINGS INC	CUSIP: Symbol(Box 1a): PYPL210618C00270000				
06/10/2021	1.00	329.91	06/10/2021	310.07	0.00	19.84	Sell to Close
CALL PYPL 11/05/21	240	PAYPAL HOLDINGS INC	CUSIP: Symbol(Box 1a): PYPL211105C00240000				
10/28/2021	1.00	283.94	10/28/2021	250.04	0.00	33.90	Sell to Close
CALL X 05/21/21	24.50	UNITED STATES STL CORP NEW	CUSIP: Symbol(Box 1a): X210521C00024500				
05/20/2021	1.00	17.91	05/20/2021	19.07	0.00	-1.16	Sell to Close
CALL PDD 09/17/21	120	PINDUODUO INC ADS	CUSIP: Symbol(Box 1a): PDD210917C00120000				
09/01/2021	6.00	779.67	Various	932.31	0.00	-152.64	Sell to Close
CALL SPWR 01/29/21	38	SUNPOWER CORPORATION	CUSIP: Symbol(Box 1a): SPWR210129C00038000				
01/20/2021	2.00	403.82	01/15/2021	188.16	0.00	215.66	Sell to Close
01/21/2021	1.00	584.89	01/15/2021	94.08	0.00	490.81	Sell to Close
Security Totals:		988.71		282.24		706.47	
PUT QQQ 05/21/21	315	INVESCO QQQ TRUST SERIES 1	CUSIP: Symbol(Box 1a): QQQ210521P00315000				
05/19/2021	1.00	233.91	05/18/2021	90.07	0.00	143.84	Sell to Close
CALL NVDA 06/04/21	660	NVIDIA CORP	CUSIP: Symbol(Box 1a): NVDA210604C00660000				
06/01/2021	1.00	278.91	06/01/2021	248.07	0.00	30.84	Sell to Close
CALL SPWR 01/22/21	38	SUNPOWER CORPORATION	CUSIP: Symbol(Box 1a): SPWR210122C00038000				
01/15/2021	5.00	359.58	Various	415.40	64.66 D	8.84	Sell to Close
01/15/2021	8.00	319.32	Various	485.30	0.00	-165.98	Sell to Close
Security Totals:		678.90		900.70		-157.14	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL MSFT 06/11/21 260 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210611C00260000							
06/08/2021	4.00	103.66	06/08/2021	200.32	0.00	-96.66	Sell to Close
CALL AI 05/21/21 65 C3 AI INC CLASS A CUSIP: Symbol(Box 1a): AI210521C00065000							
05/04/2021	3.00	484.75	Various	622.21	0.00	-137.46	Sell to Close
CALL AMD 09/17/21 110 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210917C00110000							
09/08/2021	2.00	335.88	09/07/2021	474.10	0.00	-138.22	Sell to Close
CALL AMD 04/01/21 81 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210401C00081000							
03/23/2021	2.00	163.82	Various	335.16	0.00	-171.34	Sell to Close
PHIO PHARMACEUTICALS CORP COMMON STOCK CUSIP: 71880W303 Symbol(Box 1a): PHIO							
02/17/2021	140.00	505.36	02/16/2021	495.60	0.00	9.76	Sale
CALL AMD 09/17/21 115 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210917C00115000							
08/25/2021	5.00	1,004.71	08/25/2021	990.27	0.00	14.44	Sell to Close
08/27/2021	3.00	779.82	08/25/2021	600.16	0.00	179.66	Sell to Close
08/30/2021	1.00	399.93	08/25/2021	200.05	0.00	199.88	Sell to Close
08/30/2021	1.00	394.93	08/25/2021	200.06	0.00	194.87	Sell to Close
Security Totals:		2,579.39		1,990.54		588.85	
CALL DIS 09/17/21 185 WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210917C00185000							
08/30/2021	3.00	455.82	08/30/2021	438.16	0.00	17.66	Sell to Close
CALL ZM 08/13/21 400 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210813C00400000							
08/10/2021	4.00	1,759.77	08/10/2021	1,360.21	0.00	399.56	Sell to Close
CALL PLTR 08/13/21 23 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210813C00023000							
08/02/2021	5.00	314.71	Various	357.81	0.00	-43.10	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
08/02/2021	10.00	629.44	Various	680.54	95.55 D	44.45	Sell to Close
Security Totals:		944.15		1,038.35		1.35	
CALL TSLA 06/04/21 750	TESLA INC CUSIP: Symbol(Box 1a): TSLA210604C00750000						
05/24/2021	1.00	45.91	05/24/2021	42.07	0.00	3.84	Sell to Close
CALL AAPL 02/05/21 135	APPLE INC CUSIP: Symbol(Box 1a): AAPL210205C00135000						
01/29/2021	1.00	267.90	01/29/2021	267.08	0.00	0.82	Sell to Close
CALL FSLR 05/21/21 100	FIRST SOLAR INC CUSIP: Symbol(Box 1a): FSLR210521C00100000						
04/22/2021	1.00	143.91	04/22/2021	151.07	0.00	-7.16	Sell to Close
CALL ORCL 07/16/21 87.50	ORACLE CORP CUSIP: Symbol(Box 1a): ORCL210716C00087500						
07/09/2021	4.00	547.76	07/09/2021	432.22	0.00	115.54	Sell to Close
CALL SRNE 07/30/21 15	SORRENTO THERAPEUTICS INC CUSIP: Symbol(Box 1a): SRNE210730C00015000						
07/06/2021	10.00	149.42	Various	240.54	0.00	-91.12	Sell to Close
CALL QQQ 03/19/21 325	INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210319C00325000						
03/16/2021	1.00	185.90	03/16/2021	179.08	0.00	6.82	Sell to Close
CALL AAPL 01/22/21 135	APPLE INC CUSIP: Symbol(Box 1a): AAPL210122C00135000						
01/14/2021	3.00	299.74	Various	325.24	0.00	-25.50	Sell to Close
CALL X 06/18/21 27	UNITED STATES STL CORP NEW CUSIP: Symbol(Box 1a): X210618C00027000						
06/07/2021	3.00	287.75	Various	381.23	0.00	-93.48	Sell to Close
CALL SNAP 04/23/21 66	SNAP INC CUSIP: Symbol(Box 1a): SNAP210423C00066000						
04/15/2021	1.00	374.91	04/15/2021	355.07	0.00	19.84	Sell to Close
CALL SNAP 03/12/21 70	SNAP INC CUSIP: Symbol(Box 1a): SNAP210312C00070000						
03/03/2021	2.00	61.82	Various	301.16	0.00	-239.34	Sell to Close
CALL WMT 01/22/21 150	WALMART INC CUSIP: Symbol(Box 1a): WMT210122C00150000						
01/06/2021	1.00	164.90	01/05/2021	126.08	0.00	38.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL IBM 01/29/21 150 INTL BUSINESS MACHINE CUSIP: Symbol(Box 1a): IBM210129C00150000							
01/22/2021	1.00	1.90	01/21/2021	41.08	0.00	-39.18	Sell to Close
CALL SPY 06/09/21 422 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210609C00422000							
06/08/2021	1.00	114.91	06/08/2021	120.07	0.00	-5.16	Sell to Close
CALL QQQ 05/07/21 340 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210507C00340000							
04/29/2021	1.00	365.91	04/29/2021	269.07	0.00	96.84	Sell to Close
CALL COIN 07/30/21 250 COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210730C00250000							
07/26/2021	1.00	392.93	07/26/2021	390.05	0.00	2.88	Sell to Close
07/26/2021	1.00	449.93	07/26/2021	450.05	0.12 D	0.00	Sell to Close
07/26/2021	3.00	1,349.82	07/26/2021	1,080.16	0.00	269.66	Sell to Close
07/26/2021	2.00	839.87	Various	820.23	0.00	19.64	Sell to Close
Security Totals:		3,032.55		2,740.49		292.18	
CALL NIO 01/29/21 64 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210129C00064000							
01/22/2021	1.00	160.90	01/22/2021	150.08	0.00	10.82	Sell to Close
CALL PLUG 01/15/21 52 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210115C00052000							
01/07/2021	2.00	209.82	Various	299.16	89.34 D	0.00	Sell to Close
01/08/2021	2.00	749.81	Various	279.50	0.00	470.31	Sell to Close
Security Totals:		959.63		578.66		470.31	
CALL SPCE 08/20/21 50 VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210820C00050000							
07/12/2021	2.00	1,029.87	07/12/2021	1,154.11	0.00	-124.24	Sell to Close
CALL TSLA 03/19/21 800 TESLA INC ADJ 5:1 STOCK SPLIT CUSIP: Symbol(Box 1a): TSLA210319C00800000							
03/15/2021	1.00	339.90	03/12/2021	251.08	0.00	88.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SNAP 04/23/21 59 SNAP INC CUSIP: Symbol(Box 1a): SNAP210423C00059000							
04/22/2021	1.00	374.91	04/22/2021	375.07	0.00	-0.16	Sell to Close
CALL QCOM 01/29/21 180 QUALCOMM INC CUSIP: Symbol(Box 1a): QCOM210129C00180000							
01/22/2021	6.00	119.50	Various	210.48	0.00	-90.98	Sell to Close
CALL UPST 08/06/21 130 UPSTART HOLDINGS INC CUSIP: Symbol(Box 1a): UPST210806C00130000							
08/03/2021	1.00	204.93	08/03/2021	300.05	0.00	-95.12	Sell to Close
08/03/2021	1.00	439.93	08/03/2021	400.05	0.00	39.88	Sell to Close
Security Totals:		644.86		700.10		-55.24	
CALL ABNB 07/16/21 160 AIRBNB INC CUSIP: Symbol(Box 1a): ABNB210716C00160000							
06/17/2021	2.00	779.87	Various	760.10	0.00	19.77	Sell to Close
CALL NCLH 02/12/21 25 NORWEIGIAN CRUISE LINE HOLDING CUSIP: Symbol(Box 1a): NCLH210212C00025000							
02/03/2021	3.00	149.74	02/03/2021	162.24	0.00	-12.50	Sell to Close
CALL SNAP 10/15/21 75 SNAP INC CUSIP: Symbol(Box 1a): SNAP211015C00075000							
09/13/2021	2.00	501.88	09/13/2021	500.10	0.00	1.78	Sell to Close
09/16/2021	10.00	2,539.44	Various	2,360.52	0.00	178.92	Sell to Close
Security Totals:		3,041.32		2,860.62		180.70	
CALL PLUG 04/09/21 40 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210409C00040000							
04/01/2021	2.00	143.82	03/31/2021	142.16	0.00	1.66	Sell to Close
EXPRESS INC CUSIP: 30219E103 Symbol(Box 1a): EXPR							
08/02/2021	1,000.00	5,064.85	08/02/2021	5,060.00	0.00	4.85	Sale
CALL MRIN 08/20/21 12.50 MARIN SOFTWARE INCORPORATED CUSIP: Symbol(Box 1a): MRIN210820C00012500							
07/22/2021	1.00	89.93	07/22/2021	110.05	0.00	-20.12	Sell to Close
CALL TWTR 06/18/21 55 TWITTER INC CUSIP: Symbol(Box 1a): TWTR210618C00055000							
05/12/2021	6.00	809.50	05/12/2021	1,093.46	0.00	-283.96	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
05/12/2021	6.00	887.50	05/12/2021	1,092.48	204.98 D	0.00	Sell to Close
Security Totals:		1,697.00		2,185.94		-283.96	
CALL SOLO 01/15/21	8	ELECTRAMECCANICA VEHICLES CORP CUSIP: Symbol(Box 1a): SOLO210115C00008000					
01/07/2021	4.00	159.66	Various	117.32	0.00	42.34	Sell to Close
CALL BIDU 07/16/21	190	BAIDU INC CUSIP: Symbol(Box 1a): BIDU210716C00190000					
07/13/2021	4.00	463.76	07/13/2021	460.22	0.00	3.54	Sell to Close
CALL ROKU 09/10/21	400	ROKU INC CUSIP: Symbol(Box 1a): ROKU210910C00400000					
09/01/2021	1.00	279.93	09/01/2021	270.05	0.00	9.88	Sell to Close
PUT SPY 06/25/21	415	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210625P00415000					
06/22/2021	1.00	53.93	06/22/2021	66.05	0.00	-12.12	Sell to Close
CALL BLNK 03/05/21	42	BLINK CHARGING CO CUSIP: Symbol(Box 1a): BLNK210305C00042000					
03/03/2021	2.00	43.82	Various	155.16	0.00	-111.34	Sell to Close
VINCO VENTURES INC		COMMON STOCK CUSIP: 927330100 Symbol(Box 1a): BBIG					
08/13/2021	340.00	975.75	08/10/2021	999.60	23.85 D	0.00	Sale
08/17/2021	400.00	1,007.94	Various	1,023.85	17.1 D	1.19	Sale
08/23/2021	500.00	1,224.93	Various	1,237.10	13.75 D	1.58	Sale
09/17/2021	1,482.00	12,211.43	Various	12,294.38	0.00	-82.95	Sale
Security Totals:		15,420.05		15,554.93		-80.18	
CALL ZNGA 07/09/21	10.50	ZYNGA INC CUSIP: Symbol(Box 1a): ZNGA210709C00010500					
06/28/2021	3.00	155.82	Various	150.16	0.00	5.66	Sell to Close
PUT QQQ 05/19/21	315	INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210519P00315000					
05/19/2021	4.00	215.66	Various	214.32	0.00	1.34	Sell to Close
CALL TTCF 02/19/21	30	TATTOOED CHEF INC CL A CUSIP: Symbol(Box 1a): TTCF210219C00030000					
01/20/2021	2.00	215.82	01/11/2021	300.16	0.00	-84.34	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL QCOM 01/29/21 170 QUALCOMM INC CUSIP: Symbol(Box 1a): QCOM210129C00170000							
01/22/2021	1.00	91.90	01/22/2021	106.08	0.00	-14.18	Sell to Close
CALL FUTU 09/03/21 105 FUTU HOLDINGS LTD ADS CUSIP: Symbol(Box 1a): FUTU210903C00105000							
09/01/2021	5.00	874.72	09/01/2021	825.26	0.00	49.46	Sell to Close
CALL FUTU 09/03/21 120 FUTU HOLDINGS LTD ADS CUSIP: Symbol(Box 1a): FUTU210903C00120000							
08/30/2021	1.00	34.93	08/27/2021	78.05	0.00	-43.12	Sell to Close
CALL UPST 08/06/21 135 UPSTART HOLDINGS INC CUSIP: Symbol(Box 1a): UPST210806C00135000							
08/03/2021	2.00	423.87	Various	866.10	0.00	-442.23	Sell to Close
CALL COIN 07/30/21 260 COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210730C00260000							
07/26/2021	3.00	809.82	07/26/2021	795.50	0.00	14.32	Sell to Close
07/26/2021	3.00	869.82	07/26/2021	870.16	0.34 D	0.00	Sell to Close
Security Totals:		1,679.64		1,665.66		14.32	
CALL MRIN 08/20/21 10 MARIN SOFTWARE INCORPORATED CUSIP: Symbol(Box 1a): MRIN210820C00010000							
07/21/2021	2.00	619.87	07/21/2021	320.11	0.00	299.76	Sell to Close
07/22/2021	1.00	191.93	07/21/2021	165.05	0.00	26.88	Sell to Close
Security Totals:		811.80		485.16		326.64	
PUT SPY 07/16/21 435 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210716P00435000							
07/13/2021	3.00	431.82	Various	431.16	0.00	0.66	Sell to Close
CALL RBLX 07/16/21 90 ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210716C00090000							
06/17/2021	1.00	329.93	06/17/2021	330.05	0.12 D	0.00	Sell to Close
06/17/2021	3.00	1,169.82	06/16/2021	975.16	0.00	194.66	Sell to Close
07/06/2021	5.00	1,074.71	Various	1,383.39	0.00	-308.68	Sell to Close
Security Totals:		2,574.46		2,688.60		-114.02	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL LI 01/29/21 33	LI AUTO INC ADS CUSIP: Symbol(Box 1a): LI210129C00033000						
01/29/2021	1.00	49.90	01/29/2021	44.08	0.00	5.82	Sell to Close
PUT RBLX 07/16/21 85	ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210716P00085000						
07/12/2021	1.00	225.93	07/12/2021	250.05	0.00	-24.12	Sell to Close
CALL LI 01/29/21 34	LI AUTO INC ADS CUSIP: Symbol(Box 1a): LI210129C00034000						
01/26/2021	1.00	73.90	01/26/2021	74.08	0.00	-0.18	Sell to Close
CALL ABNB 07/16/21 155	AIRBNB INC CUSIP: Symbol(Box 1a): ABNB210716C00155000						
06/16/2021	2.00	959.87	06/16/2021	940.11	0.00	19.76	Sell to Close
CALL RUN 02/19/21 85	SUNRUN INC CUSIP: Symbol(Box 1a): RUN210219C00085000						
02/01/2021	1.00	159.90	01/27/2021	180.08	0.00	-20.18	Sell to Close
CALL PENN 09/10/21 85	PENN NATIONAL GAMING INC CUSIP: Symbol(Box 1a): PENN210910C00085000						
09/08/2021	2.00	303.88	09/08/2021	484.10	0.00	-180.22	Sell to Close
CALL SPY 01/27/21 380	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210127C00380000						
01/27/2021	3.00	155.74	Various	225.24	0.00	-69.50	Sell to Close
CALL SOLO 02/19/21 9	ELECTRAMECCANICA VEHICLES CORP CUSIP: Symbol(Box 1a): SOLO210219C00009000						
02/01/2021	3.00	194.74	01/29/2021	243.24	0.00	-48.50	Sell to Close
CALL PLTR 04/23/21 40	PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210423C00040000						
04/14/2021	20.00	98.36	04/14/2021	121.59	0.00	-23.23	Sell to Close
CALL XPEV 01/22/21 50	XPENG INC ADR CLASS A CUSIP: Symbol(Box 1a): XPEV210122C00050000						
01/11/2021	1.00	126.90	01/11/2021	147.08	0.00	-20.18	Sell to Close
CALL NOK 06/18/21 6	NOKIA CORPORATION CUSIP: Symbol(Box 1a): NOK210618C00006000						
05/21/2021	4.00	19.66	05/20/2021	24.32	0.00	-4.66	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL OPEN 03/19/21 32 OPENDOOR TECHNOLOGIES INC CUSIP: Symbol(Box 1a): OPEN210319C00032000							
03/03/2021	1.00	204.90	03/02/2021	275.08	0.00	-70.18	Sell to Close
CALL CCL 02/12/21 23.50 CARNIVAL CORP CUSIP: Symbol(Box 1a): CCL210212C00023500							
02/03/2021	4.00	87.66	02/03/2021	100.32	0.00	-12.66	Sell to Close
CALL SNAP 06/18/21 63 SNAP INC CUSIP: Symbol(Box 1a): SNAP210618C00063000							
06/16/2021	2.00	165.87	06/16/2021	164.11	0.00	1.76	Sell to Close
06/16/2021	2.00	139.87	06/16/2021	158.11	0.00	-18.24	Sell to Close
Security Totals:		305.74		322.22		-16.48	
CALL SNAP 06/04/21 61 SNAP INC CUSIP: Symbol(Box 1a): SNAP210604C00061000							
05/27/2021	3.00	431.75	05/27/2021	426.23	0.00	5.52	Sell to Close
CALL NIO 05/07/21 45 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210507C00045000							
04/30/2021	8.00	191.33	04/30/2021	240.64	0.00	-49.31	Sell to Close
CALL BA 07/16/21 255 BOEING CO CUSIP: Symbol(Box 1a): BA210716C00255000							
06/23/2021	3.00	869.82	Various	998.15	0.00	-128.33	Sell to Close
CALL NIO 01/15/21 60 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210115C00060000							
01/08/2021	1.00	258.90	01/07/2021	188.08	0.00	70.82	Sell to Close
CALL UPST 10/29/21 370 UPSTART HOLDINGS INC CUSIP: Symbol(Box 1a): UPST211029C00370000							
10/25/2021	1.00	982.94	10/25/2021	530.04	0.00	452.90	Sell to Close
CALL SQ 05/28/21 225 SQUARE INC CUSIP: Symbol(Box 1a): SQ210528C00225000							
05/28/2021	2.00	25.82	Various	115.14	0.00	-89.32	Sell to Close
CALL NVDA 08/27/21 225 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210827C00225000							
08/25/2021	1.00	212.93	08/25/2021	198.05	0.00	14.88	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL CRM 08/20/21	252.50	SALESFORCE.COM INC	CUSIP: Symbol(Box 1a): CRM210820C00252500				
08/09/2021	2.00	583.87	08/09/2021	582.11	0.00	1.76	Sell to Close
CALL CRM 04/30/21	235	SALESFORCE.COM INC	CUSIP: Symbol(Box 1a): CRM210430C00235000				
04/20/2021	4.00	711.66	Various	863.30	0.00	-151.64	Sell to Close
CALL SPY 01/27/21	385	STANDARD & POORS DEPOSITORY	CUSIP: Symbol(Box 1a): SPY210127C00385000				
01/26/2021	1.00	106.90	01/26/2021	104.08	0.00	2.82	Sell to Close
GENWORTH FINANCIAL INC		COM CL A	CUSIP: 37247D106 Symbol(Box 1a): GNW				
08/02/2021	2.00	6.68	Various	0.00	0.00	6.68	Sale
CALL FUBO 07/02/21	35	FUBOTV INC	CUSIP: Symbol(Box 1a): FUBO210702C00035000				
06/24/2021	5.00	469.71	Various	652.27	0.00	-182.56	Sell to Close
CALL CRWD 01/29/21	240	CROWDSTRIKE HOLDINGS INC CL A	CUSIP: Symbol(Box 1a): CRWD210129C00240000				
01/21/2021	2.00	479.81	Various	557.16	0.00	-77.35	Sell to Close
CALL CRM 04/30/21	230	SALESFORCE.COM INC	CUSIP: Symbol(Box 1a): CRM210430C00230000				
04/21/2021	2.00	599.82	Various	526.14	0.00	73.68	Sell to Close
CALL BYND 11/05/21	98	BEYOND MEAT INC	CUSIP: Symbol(Box 1a): BYND211105C00098000				
10/27/2021	2.00	650.90	10/27/2021	504.08	0.00	146.82	Sell to Close
CALL TSLA 04/16/21	750	TESLA INC	CUSIP: Symbol(Box 1a): TSLA210416C00750000				
04/09/2021	2.00	447.82	Various	780.14	0.00	-332.32	Sell to Close
***BIRKS GROUP INC		CL A COM	CUSIP: 09088U109 Symbol(Box 1a): BGI				
08/27/2021	500.00	1,619.93	08/27/2021	1,625.00	0.00	-5.07	Sale
CALL QQQ 05/14/21	340	INVESCO QQQ TRUST SERIES 1	CUSIP: Symbol(Box 1a): QQQ210514C00340000				
05/07/2021	4.00	447.66	05/07/2021	448.32	0.00	-0.66	Sell to Close
CALL SNAP 06/04/21	59	SNAP INC	CUSIP: Symbol(Box 1a): SNAP210604C00059000				
05/21/2021	1.00	115.91	05/21/2021	134.07	0.00	-18.16	Sell to Close
CALL IWM 12/17/21	240	ISHARES RUSSELL 2000 ETF	CUSIP: Symbol(Box 1a): IWM211217C00240000				
11/09/2021	6.00	4,055.71	11/02/2021	1,998.25	0.00	2,057.46	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL BA 07/16/21	245	BOEING CO CUSIP: Symbol(Box 1a): BA210716C00245000					
06/17/2021	1.00	544.93	06/17/2021	545.05	0.00	-0.12	Sell to Close
PUT BA 01/15/21	200	BOEING CO CUSIP: Symbol(Box 1a): BA210115P00200000					
01/12/2021	1.00	111.90	01/12/2021	97.08	0.00	14.82	Sell to Close
CALL NNDM 06/18/21	10	NANO DIMENSION LTD ADS CUSIP: Symbol(Box 1a): NNDM210618C00010000					
06/09/2021	35.00	452.14	Various	606.79	0.00	-154.65	Sell to Close
CALL NIO 08/13/21	47	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210813C00047000					
08/02/2021	3.00	587.82	08/02/2021	588.16	0.00	-0.34	Sell to Close
CALL GRWG 06/11/21	45	GROWGENERATION CORP CUSIP: Symbol(Box 1a): GRWG210611C00045000					
06/01/2021	2.00	225.82	06/01/2021	284.16	0.00	-58.34	Sell to Close
PUT SPY 07/16/21	428	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210716P00428000					
07/07/2021	3.00	383.82	Various	666.16	0.00	-282.34	Sell to Close
CALL GRWG 07/16/21	50	GROWGENERATION CORP CUSIP: Symbol(Box 1a): GRWG210716C00050000					
06/28/2021	3.00	536.82	06/28/2021	477.16	0.00	59.66	Sell to Close
CALL UPST 09/17/21	300	UPSTART HOLDINGS INC CUSIP: Symbol(Box 1a): UPST210917C00300000					
09/13/2021	3.00	1,019.82	09/13/2021	1,170.16	150.34 D	0.00	Sell to Close
09/13/2021	3.00	1,169.82	09/13/2021	1,161.50	0.00	8.32	Sell to Close
Security Totals:		2,189.64		2,331.66		8.32	
CALL COIN 07/16/21	250	COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210716C00250000					
06/28/2021	1.00	619.93	06/28/2021	572.05	0.00	47.88	Sell to Close
CALL BBBY 01/08/21	23	BED BATH & BEYOND INC CUSIP: Symbol(Box 1a): BBBY210108C00023000					
01/06/2021	1.00	81.90	01/06/2021	82.08	0.00	-0.18	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SPY 02/03/21 383 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210203C00383000							
02/03/2021	3.00	128.74	02/03/2021	102.24	0.00	26.50	Sell to Close
PUT SPY 06/23/21 422 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210623P00422000							
06/22/2021	1.00	94.93	06/22/2021	100.05	0.00	-5.12	Sell to Close
CALL AMD 01/15/21 100 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210115C00100000							
01/04/2021	1.00	89.90	01/04/2021	189.25	99.35 D	0.00	Sell to Close
01/07/2021	3.00	227.74	Various	318.76	0.00	-91.02	Sell to Close
Security Totals:		317.64		508.01		-91.02	
CALL NFLX 05/21/21 535 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210521C00535000							
05/03/2021	1.00	334.91	04/30/2021	420.07	0.00	-85.16	Sell to Close
CALL SNAP 04/23/21 70 SNAP INC CUSIP: Symbol(Box 1a): SNAP210423C00070000							
04/15/2021	3.00	653.75	Various	644.23	0.00	9.52	Sell to Close
CALL UAL 07/16/21 50 UNITED AIRLINES HOLDINGS INC CUSIP: Symbol(Box 1a): UAL210716C00050000							
07/14/2021	10.00	309.42	07/14/2021	500.54	0.00	-191.12	Sell to Close
07/14/2021	10.00	509.42	07/14/2021	500.55	0.00	8.87	Sell to Close
Security Totals:		818.84		1,001.09		-182.25	
CALL BABA 07/16/21 230 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210716C00230000							
06/30/2021	1.00	424.93	06/30/2021	445.05	20.12 D	0.00	Sell to Close
07/01/2021	1.00	404.93	06/30/2021	450.17	0.00	-45.24	Sell to Close
Security Totals:		829.86		895.22		-45.24	
CALL NVDA 07/16/21 850 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210716C00850000							
07/12/2021	2.00	591.87	07/12/2021	590.11	0.00	1.76	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AAPL 01/08/21 135 APPLE INC CUSIP: Symbol(Box 1a): AAPL210108C00135000							
01/08/2021	5.00	92.58	01/08/2021	90.40	0.00	2.18	Sell to Close
CALL PDD 09/10/21 110 PINDUODUO INC ADS CUSIP: Symbol(Box 1a): PDD210910C00110000							
09/02/2021	1.00	79.93	09/01/2021	276.05	0.00	-196.12	Sell to Close
CALL PINS 01/15/21 75 PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210115C00075000							
01/07/2021	2.00	135.82	Various	125.16	0.00	10.66	Sell to Close
CALL RBLX 05/28/21 100 ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210528C00100000							
05/26/2021	1.00	144.91	05/26/2021	132.07	0.00	12.84	Sell to Close
CALL ZM 05/28/21 330 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210528C00330000							
05/26/2021	1.00	274.91	05/26/2021	273.07	0.00	1.84	Sell to Close
CALL FB 04/09/21 312.50 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210409C00312500							
04/09/2021	1.00	213.91	04/09/2021	208.07	0.00	5.84	Sell to Close
CALL AAPL 10/29/21 152.50 APPLE INC CUSIP: Symbol(Box 1a): AAPL211029C00152500							
10/28/2021	1.00	174.94	10/28/2021	159.04	0.00	15.90	Sell to Close
CALL PENN 10/15/21 80 PENN NATIONAL GAMING INC CUSIP: Symbol(Box 1a): PENN211015C00080000							
09/27/2021	5.00	1,469.72	09/24/2021	950.26	0.00	519.46	Sell to Close
09/28/2021	5.00	959.72	09/24/2021	950.26	0.00	9.46	Sell to Close
09/30/2021	20.00	1,578.91	Various	2,269.05	0.00	-690.14	Sell to Close
Security Totals:		4,008.35		4,169.57		-161.22	
CALL WKHS 02/05/21 25 WORKHORSE GROUP INC CUSIP: Symbol(Box 1a): WKHS210205C00025000							
01/25/2021	1.00	221.90	01/22/2021	124.08	0.00	97.82	Sell to Close
01/26/2021	1.00	499.89	01/22/2021	124.08	0.00	375.81	Sell to Close
Security Totals:		721.79		248.16		473.63	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NFLX 01/15/21	550	NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210115C00550000					
01/06/2021	1.00	198.90	01/06/2021	196.08	0.00	2.82	Sell to Close
PRECIPPIO INC	COMMON STOCK CUSIP: 74019L503 Symbol(Box 1a): PRPO						
05/03/2021	145.00	416.12	Various	343.00	0.00	73.12	Sale
CALL NFLX 01/15/21	545	NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210115C00545000					
01/07/2021	1.00	165.90	01/07/2021	164.08	0.00	1.82	Sell to Close
CALL NRXP 09/17/21	20	NRX PHARMACEUTICALS INC CUSIP: Symbol(Box 1a): NRXP210917C00020000					
09/02/2021	5.00	474.72	09/02/2021	475.26	0.00	-0.54	Sell to Close
CALL FUBO 02/12/21	70	FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210212C00070000					
02/04/2021	3.00	473.73	Various	575.24	0.00	-101.51	Sell to Close
CALL NVDA 08/27/21	205	NVIDIA CORPORATION	ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210827C00205000				
08/16/2021	2.00	879.87	Various	1,060.10	0.00	-180.23	Sell to Close
CALL AAPL 08/20/21	148	APPLE INC CUSIP: Symbol(Box 1a): AAPL210820C00148000					
08/04/2021	2.00	399.87	08/04/2021	432.11	32.24 D	0.00	Sell to Close
08/04/2021	1.00	210.93	08/04/2021	216.05	5.12 D	0.00	Sell to Close
08/04/2021	6.00	1,211.66	Various	1,208.81	24.58 D	27.43	Sell to Close
08/04/2021	1.00	206.93	08/04/2021	216.06	9.13 D	0.00	Sell to Close
08/05/2021	4.00	755.77	Various	688.79	0.00	66.98	Sell to Close
08/06/2021	5.00	849.71	Various	840.26	16.44 D	25.89	Sell to Close
08/09/2021	4.00	443.77	Various	552.65	0.00	-108.88	Sell to Close
Security Totals:		4,078.64		4,154.73		11.42	
CALL COIN 05/21/21	240	COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210521C00240000					
05/20/2021	1.00	274.91	05/20/2021	270.07	0.00	4.84	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL BA 10/29/21	220	BOEING CO CUSIP: Symbol(Box 1a): BA211029C00220000					
10/18/2021	1.00	359.94	10/18/2021	360.04	0.00	-0.10	Sell to Close
CALL PENN 10/15/21	75	PENN NATIONAL GAMING INC CUSIP: Symbol(Box 1a): PENN211015C00075000					
08/19/2021	8.00	1,999.54	08/19/2021	2,152.42	0.00	-152.88	Sell to Close
09/30/2021	3.00	575.82	09/30/2021	576.16	0.00	-0.34	Sell to Close
Security Totals:		2,575.36		2,728.58		-153.22	
CALL CRSR 02/19/21	50	CORSAIR GAMING INC CUSIP: Symbol(Box 1a): CRSR210219C00050000					
02/10/2021	2.00	195.82	02/09/2021	260.16	0.00	-64.34	Sell to Close
CALL ACB 02/19/21	13.50	AURORA CANNABIS INC CUSIP: Symbol(Box 1a): ACB210219C00013500					
02/01/2021	2.00	167.82	02/01/2021	160.16	0.00	7.66	Sell to Close
CALL AAPL 07/30/21	148	APPLE INC CUSIP: Symbol(Box 1a): AAPL210730C00148000					
07/23/2021	4.00	1,319.76	07/23/2021	1,240.22	0.00	79.54	Sell to Close
MY SIZE INC	COMMON STOCK CUSIP: 62844N208 Symbol(Box 1a): MYSZ						
10/26/2021	250.00	487.46	Various	483.50	0.00	3.96	Sale
CALL NFLX 01/15/21	535	NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210115C000535000					
01/07/2021	1.00	349.90	01/07/2021	286.08	0.00	63.82	Sell to Close
01/08/2021	1.00	319.90	01/07/2021	320.08	0.00	-0.18	Sell to Close
Security Totals:		669.80		606.16		63.64	
CALL BA 03/19/21	270	BOEING CO CUSIP: Symbol(Box 1a): BA210319C00270000					
03/17/2021	1.00	89.90	03/17/2021	178.08	0.00	-88.18	Sell to Close
CALL SDC 07/16/21	10	SMILEDIRECTCLUB INC CL A CUSIP: Symbol(Box 1a): SDC210716C00010000					
06/25/2021	10.00	299.42	06/25/2021	400.55	0.00	-101.13	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL RIOT 01/15/21	25	RIOT BLOCKCHAIN INC CUSIP: Symbol(Box 1a): RIOT210115C00025000					
01/11/2021	1.00	194.90	01/11/2021	180.08	0.00	14.82	Sell to Close
CALL ZNGA 07/02/21	10.50	ZYNGA INC CUSIP: Symbol(Box 1a): ZNGA210702C00010500					
06/28/2021	1.00	40.93	06/28/2021	44.05	0.00	-3.12	Sell to Close
CALL CCL 05/28/21	29.50	CARNIVAL CORP CUSIP: Symbol(Box 1a): CCL210528C00029500					
05/04/2021	3.00	158.75	05/04/2021	177.23	0.00	-18.48	Sell to Close
CALL AFRM 10/15/21	130	AFFIRM HOLDINGS INC CL A CUSIP: Symbol(Box 1a): AFRM211015C00130000					
09/29/2021	3.00	1,232.82	Various	1,370.15	0.00	-137.33	Sell to Close
CALL SNAP 06/04/21	64.50	SNAP INC CUSIP: Symbol(Box 1a): SNAP210604C00064500					
06/01/2021	1.00	54.91	05/28/2021	52.07	0.00	2.84	Sell to Close
CALL BABA 07/02/21	222.50	ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210702C00222500					
06/25/2021	1.00	359.93	06/24/2021	186.05	0.00	173.88	Sell to Close
CALL NIO 05/07/21	38	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210507C00038000					
04/22/2021	3.00	944.75	04/21/2021	696.23	0.00	248.52	Sell to Close
CALL MSFT 10/15/21	305	MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT211015C00305000					
09/24/2021	9.00	1,925.50	Various	2,158.47	0.00	-232.97	Sell to Close
09/24/2021	4.00	1,019.77	09/24/2021	1,000.21	0.00	19.56	Sell to Close
Security Totals:		2,945.27		3,158.68		-213.41	
CALL TSLA 01/08/21	800	TESLA INC CUSIP: Symbol(Box 1a): TSLA210108C00800000					
01/06/2021	1.00	304.90	01/06/2021	240.08	0.00	64.82	Sell to Close
01/06/2021	1.00	198.90	01/06/2021	190.08	0.00	8.82	Sell to Close
Security Totals:		503.80		430.16		73.64	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SPY 01/06/21	374	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210106C00374000					
01/05/2021	1.00	69.90	01/04/2021	83.08	0.00	-13.18	Sell to Close
CALL NIO 04/23/21	40	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210423C00040000					
04/16/2021	10.00	299.17	04/16/2021	360.80	0.00	-61.63	Sell to Close
CALL NIO 04/23/21	39	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210423C00039000					
04/22/2021	1.00	76.91	04/22/2021	66.07	0.00	10.84	Sell to Close
CALL FB 11/05/21	330	META PLATFORMS INC CL A CUSIP: Symbol(Box 1a): FB211105C00330000					
11/01/2021	2.00	1,084.90	11/01/2021	1,080.08	0.00	4.82	Sell to Close
CALL BIDU 07/02/21	210	BAIDU INC CUSIP: Symbol(Box 1a): BIDU210702C00210000					
06/28/2021	1.00	267.93	06/28/2021	315.17	0.00	-47.24	Sell to Close
06/28/2021	1.00	324.93	06/28/2021	325.05	0.12 D	0.00	Sell to Close
Security Totals:		592.86		640.22		-47.24	
CALL DKNG 04/30/21	60	DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210430C00060000					
04/23/2021	2.00	143.82	04/22/2021	208.16	0.00	-64.34	Sell to Close
CALL FUBO 02/19/21	73	FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210219C00073000					
02/05/2021	2.00	307.82	02/04/2021	472.16	0.00	-164.34	Sell to Close
CALL BBY 01/15/21	23.50	BED BATH & BEYOND INC CUSIP: Symbol(Box 1a): BBY210115C00023500					
01/05/2021	3.00	173.74	01/05/2021	180.24	0.00	-6.50	Sell to Close
CALL AAPL 01/08/21	131	APPLE INC CUSIP: Symbol(Box 1a): AAPL210108C00131000					
01/04/2021	1.00	132.90	01/04/2021	198.08	0.00	-65.18	Sell to Close
PUT HOOD 08/13/21	45	ROBINHOOD MARKET INC CUSIP: Symbol(Box 1a): HOOD210813P00045000					
08/11/2021	1.00	24.93	08/10/2021	15.05	0.00	9.88	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT QQQ 05/21/21 318 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210521P00318000							
05/19/2021	1.00	206.91	05/19/2021	210.07	3.16 D	0.00	Sell to Close
05/19/2021	2.00	247.82	Various	330.30	0.00	-82.48	Sell to Close
05/19/2021	1.00	207.91	05/19/2021	206.07	0.00	1.84	Sell to Close
Security Totals:		662.64		746.44		-80.64	
CALL DIS 07/16/21 180 WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210716C00180000							
06/28/2021	3.00	470.82	06/28/2021	468.16	0.00	2.66	Sell to Close
06/28/2021	3.00	518.82	06/28/2021	471.16	0.00	47.66	Sell to Close
07/02/2021	2.00	205.87	07/02/2021	192.11	0.00	13.76	Sell to Close
Security Totals:		1,195.51		1,131.43		64.08	
***SPHERE 3D CORP NEW COM NO PAR CUSIP: 84841L308 Symbol(Box 1a): ANY							
09/02/2021	1,000.00	9,399.83	09/02/2021	9,009.40	0.00	390.43	Sale
CALL ANY 09/17/21 10 SPHERE 3D CORPORATION CUSIP: Symbol(Box 1a): ANY210917C00010000							
09/03/2021	10.00	549.45	09/03/2021	500.52	0.00	48.93	Sell to Close
09/03/2021	10.00	509.45	09/03/2021	550.52	0.00	-41.07	Sell to Close
Security Totals:		1,058.90		1,051.04		7.86	
CALL NVDA 05/21/21 590 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210521C00590000							
05/20/2021	1.00	225.91	05/20/2021	284.07	0.00	-58.16	Sell to Close
CALL NEE 01/15/21 77.50 NEXTERA ENERGY INC ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NEE210115C00077500							
01/04/2021	1.00	74.90	12/31/2020	115.08	0.00	-40.18	Sell to Close
CALL ATER 10/15/21 10 ATERIAN INC CUSIP: Symbol(Box 1a): ATER211015C00010000							
10/05/2021	10.00	1,599.55	10/05/2021	1,550.42	0.00	49.13	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL ATER 10/15/21 10 ATERIAN INC CUSIP: Symbol(Box 1a): ATER211015C00010000							
10/06/2021	5.00	649.77	10/06/2021	675.21	0.00	-25.44	Sell to Close
Security Totals:		2,249.32		2,225.63		23.69	
CALL WISH 07/16/21 14 CONTEXTLOGIC INC CL A CUSIP: Symbol(Box 1a): WISH210716C00014000							
06/24/2021	5.00	1,199.71	06/24/2021	1,000.27	0.00	199.44	Sell to Close
06/25/2021	1.00	224.93	06/24/2021	270.05	0.00	-45.12	Sell to Close
Security Totals:		1,424.64		1,270.32		154.32	
CALL NFLX 07/23/21 542.50 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210723C000542500							
07/20/2021	1.00	1,119.93	07/20/2021	1,140.05	0.00	-20.12	Sell to Close
CALL CHWY 06/18/21 85 CHEWY INC CLASS A CUSIP: Symbol(Box 1a): CHWY210618C00085000							
06/11/2021	3.00	224.82	06/11/2021	222.16	0.00	2.66	Sell to Close
CALL NVDA 08/27/21 210 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210827C00210000							
08/13/2021	1.00	369.93	08/13/2021	368.05	0.00	1.88	Sell to Close
CALL TLRV 07/23/21 15 TILRAY INC CUSIP: Symbol(Box 1a): TLRV210723C00015000							
07/21/2021	24.00	478.63	Various	602.31	0.00	-123.68	Sell to Close
CALL ZM 06/11/21 350 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210611C000350000							
06/07/2021	1.00	399.91	06/07/2021	340.07	0.00	59.84	Sell to Close
CALL SNAP 04/09/21 60 SNAP INC CUSIP: Symbol(Box 1a): SNAP210409C00060000							
04/07/2021	1.00	155.91	04/07/2021	142.07	0.00	13.84	Sell to Close
CALL BABA 01/08/21 230 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210108C00230000							
01/07/2021	1.00	193.90	01/07/2021	182.08	0.00	11.82	Sell to Close
CALL DKNG 04/09/21 65 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210409C00065000							
04/07/2021	1.00	72.91	04/07/2021	104.07	0.00	-31.16	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL PYPL 02/05/21 305 PAYPAL HOLDINGS INC CUSIP: Symbol(Box 1a): PYPL210205C00305000							
01/21/2021	3.00	311.74	01/19/2021	216.24	0.00	95.50	Sell to Close
01/22/2021	1.00	106.90	01/19/2021	72.08	0.00	34.82	Sell to Close
Security Totals:		418.64		288.32		130.32	
CALL QQQ 03/19/21 319 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210319C00319000							
03/10/2021	1.00	400.90	03/09/2021	318.08	0.00	82.82	Sell to Close
CALL TWTR 01/29/21 52 TWITTER INC CUSIP: Symbol(Box 1a): TWTR210129C00052000							
01/28/2021	5.00	314.58	01/27/2021	210.40	0.00	104.18	Sell to Close
CALL WFC 08/20/21 49 WELLS FARGO & CO CUSIP: Symbol(Box 1a): WFC210820C00049000							
08/09/2021	5.00	409.71	Various	479.27	0.00	-69.56	Sell to Close
CALL AMD 01/29/21 97.50 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210129C00097500							
01/25/2021	1.00	299.90	01/25/2021	315.08	0.00	-15.18	Sell to Close
CALL SPY 08/06/21 441 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210806C00441000							
08/04/2021	4.00	431.77	08/04/2021	508.21	0.00	-76.44	Sell to Close
CALL TSLA 07/23/21 680 TESLA INC CUSIP: Symbol(Box 1a): TSLA210723C00680000							
07/21/2021	1.00	284.93	07/21/2021	402.05	0.00	-117.12	Sell to Close
CALL ANY 09/17/21 8 SPHERE 3D CORPORATION CUSIP: Symbol(Box 1a): ANY210917C00008000							
09/03/2021	6.00	395.67	09/03/2021	510.31	0.00	-114.64	Sell to Close
FORD MOTOR CO PAR \$0.01 CUSIP: 345370860 Symbol(Box 1a): F							
03/17/2021	1.00	12.38	03/15/2021	13.20	0.00	-0.82	Sale
CALL NVDA 03/12/21 500 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210312C00500000							
03/08/2021	1.00	259.90	03/08/2021	228.08	0.00	31.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL BLNK 02/05/21	52.50	BLINK CHARGING CO CUSIP: Symbol(Box 1a): BLNK210205C00052500					
02/03/2021	2.00	333.82	02/03/2021	316.16	0.00	17.66	Sell to Close
CALL VIAC 06/18/21	40	VIACOMCBS INC CLASS B CUSIP: Symbol(Box 1a): VIAC210618C00040000					
05/18/2021	1.00	173.91	05/17/2021	152.07	0.00	21.84	Sell to Close
CALL QQQ 05/19/21	322	INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210519C00322000					
05/19/2021	1.00	13.91	05/19/2021	41.07	0.00	-27.16	Sell to Close
PUT QQQ 05/19/21	318	INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210519P00318000					
05/19/2021	3.00	71.75	05/19/2021	57.24	0.00	14.51	Sell to Close
05/19/2021	7.00	146.43	Various	94.54	0.00	51.89	Sell to Close
Security Totals:		218.18		151.78		66.40	
CALL ANY 09/17/21	7	SPHERE 3D CORPORATION CUSIP: Symbol(Box 1a): ANY210917C00007000					
08/30/2021	3.00	569.82	08/30/2021	570.16	0.00	-0.34	Sell to Close
CALL RIOT 04/23/21	65	RIOT BLOCKCHAIN INC CUSIP: Symbol(Box 1a): RIOT210423C00065000					
04/14/2021	1.00	251.91	04/14/2021	350.07	0.00	-98.16	Sell to Close
CALL PTON 04/16/21	124	PELTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210416C00124000					
04/13/2021	1.00	270.91	04/13/2021	270.07	0.00	0.84	Sell to Close
CALL NFLX 02/19/21	585	NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210219C00585000					
02/04/2021	1.00	494.89	02/03/2021	293.08	0.00	201.81	Sell to Close
CALL RBLX 07/16/21	85	ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210716C00085000					
06/21/2021	2.00	759.87	06/17/2021	1,030.11	0.00	-270.24	Sell to Close
CALL DIS 05/07/21	190	WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210507C00190000					
04/30/2021	3.00	221.75	04/30/2021	252.23	0.00	-30.48	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL ROKU 08/13/21 410 ROKU INC CUSIP: Symbol(Box 1a): ROKU210813C00410000							
08/09/2021	1.00	354.93	08/06/2021	440.05	0.00	-85.12	Sell to Close
CALL WBA 05/21/21 55 WALGREENS BOOTS ALLIANCE INC CUSIP: Symbol(Box 1a): WBA210521C00055000							
05/04/2021	5.00	224.59	05/04/2021	280.39	0.00	-55.80	Sell to Close
CALL TWTR 01/29/21 50 TWITTER INC CUSIP: Symbol(Box 1a): TWTR210129C00050000							
01/25/2021	2.00	73.82	01/22/2021	100.16	0.00	-26.34	Sell to Close
01/25/2021	3.00	239.74	Various	150.24	0.00	89.50	Sell to Close
Security Totals:		313.56		250.40		63.16	
CALL T 03/12/21 30 AT&T INC CUSIP: Symbol(Box 1a): T210312C00030000							
02/19/2021	3.00	86.74	02/18/2021	122.24	0.00	-35.50	Sell to Close
CALL CCIV 03/19/21 26 CHURCHILL CAPITAL CORP IV CL A CUSIP: Symbol(Box 1a): CCIV210319C00026000							
03/12/2021	1.00	155.90	03/11/2021	206.08	0.00	-50.18	Sell to Close
CALL ROKU 08/13/21 400 ROKU INC CUSIP: Symbol(Box 1a): ROKU210813C00400000							
08/10/2021	1.00	504.93	08/10/2021	500.05	0.00	4.88	Sell to Close
CALL PYPL 06/04/21 265 PAYPAL HOLDINGS INC CUSIP: Symbol(Box 1a): PYPL210604C00265000							
06/01/2021	2.00	215.82	06/01/2021	252.16	0.00	-36.34	Sell to Close
CALL SOFI 11/19/21 21 SOFI TECHNOLOGIES INC CUSIP: Symbol(Box 1a): SOFI211119C00021000							
10/26/2021	6.00	839.73	10/25/2021	882.25	0.00	-42.52	Sell to Close
CALL LAZR 02/19/21 35 LUMINAR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): LAZR210219C00035000							
02/03/2021	1.00	199.90	02/02/2021	300.08	100.18 D	0.00	Sell to Close
02/04/2021	1.00	184.90	02/03/2021	300.26	0.00	-115.36	Sell to Close
Security Totals:		384.80		600.34		-115.36	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL TTCF 01/15/21 25							
TATTOOED CHEF INC CL A CUSIP: Symbol(Box 1a): TTCF210115C00025000							
01/11/2021	2.00	109.82	01/08/2021	140.16	0.00	-30.34	Sell to Close
CALL BLNK 02/05/21 56							
BLINK CHARGING CO CUSIP: Symbol(Box 1a): BLNK210205C00056000							
02/03/2021	2.00	239.82	Various	243.16	0.00	-3.34	Sell to Close
CALL PLTR 09/03/21 26							
PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210903C00026000							
09/01/2021	1.00	47.93	09/01/2021	46.05	0.00	1.88	Sell to Close
CALL MU 07/16/21 85							
MICRON TECHNOLOGY INC CUSIP: Symbol(Box 1a): MU210716C00085000							
06/29/2021	2.00	437.87	Various	500.10	0.00	-62.23	Sell to Close
CALL U 09/17/21 130							
UNITY SOFTWARE INC CUSIP: Symbol(Box 1a): U210917C00130000							
08/30/2021	1.00	305.93	08/30/2021	295.05	0.00	10.88	Sell to Close
08/31/2021	3.00	1,109.82	08/30/2021	1,093.16	0.00	16.66	Sell to Close
Security Totals:		1,415.75		1,388.21		27.54	
CALL AMD 01/29/21 100							
ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210129C00100000							
01/26/2021	1.00	213.90	01/26/2021	246.08	0.00	-32.18	Sell to Close
CALL TSLA 07/02/21 700							
TESLA INC CUSIP: Symbol(Box 1a): TSLA210702C00700000							
06/23/2021	1.00	506.93	06/23/2021	567.17	0.00	-60.24	Sell to Close
06/23/2021	1.00	502.93	06/23/2021	570.05	67.12 D	0.00	Sell to Close
06/23/2021	1.00	574.93	06/23/2021	570.05	0.00	4.88	Sell to Close
Security Totals:		1,584.79		1,707.27		-55.36	
CALL SBUX 09/17/21 115							
STARBUCKS CORPORATION CUSIP: Symbol(Box 1a): SBUX210917C00115000							
08/26/2021	2.00	405.87	08/26/2021	404.11	0.00	1.76	Sell to Close
08/26/2021	2.00	409.87	08/26/2021	408.11	0.00	1.76	Sell to Close

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
08/27/2021	3.00	509.82	08/26/2021	492.16	0.00	17.66	Sell to Close
Security Totals:		1,325.56		1,304.38		21.18	
CALL BGFV 09/17/21 30			BIG 5 SPORTING GOODS CORP CUSIP: Symbol(Box 1a): BGFV210917C00030000				
09/09/2021	5.00	549.72	Various	504.26	0.00	45.46	Sell to Close
PUT SPY 02/01/21 372			STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210201P00372000				
02/01/2021	4.00	171.66	02/01/2021	192.32	0.00	-20.66	Sell to Close
02/01/2021	1.00	41.90	02/01/2021	48.08	0.00	-6.18	Sell to Close
Security Totals:		213.56		240.40		-26.84	
CALL SPY 03/10/21 391			STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210310C00391000				
03/08/2021	4.00	223.66	03/05/2021	272.32	0.00	-48.66	Sell to Close
PUT HD 05/21/21 305			HOME DEPOT INC CUSIP: Symbol(Box 1a): HD210521P00305000				
05/19/2021	1.00	117.91	05/19/2021	114.07	0.00	3.84	Sell to Close
CALL NFLX 10/01/21 600			NETFLIX INC CUSIP: Symbol(Box 1a): NFLX211001C00600000				
09/27/2021	1.00	354.93	09/27/2021	447.05	0.00	-92.12	Sell to Close
CALL NVDA 04/09/21 580			NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210409C00580000				
04/08/2021	1.00	201.91	04/08/2021	282.07	0.00	-80.16	Sell to Close
CALL NET 08/13/21 127			CLOUDFLARE INC CL A CUSIP: Symbol(Box 1a): NET210813C00127000				
08/10/2021	1.00	249.93	08/10/2021	240.05	0.00	9.88	Sell to Close
***JUMIA TECHNOLOGIES AG			AMERICAN DEPOSITARY SHARES ECH REPRESENTING TWO ORDINARY SHS CUSIP: 48138M105 Symbol(Box 1a): JMIA				
04/28/2021	58.00	1,854.82	Various	1,803.30	0.00	51.52	Sale
CALL TWTR 04/16/21 73			TWITTER INC CUSIP: Symbol(Box 1a): TWTR210416C00073000				
04/12/2021	3.00	218.75	04/12/2021	321.23	0.00	-102.48	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NVDA 01/15/21 600 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210115C00600000							
01/11/2021	1.00	156.90	01/11/2021	132.08	0.00	24.82	Sell to Close
CALL DIS 04/16/21 190 WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210416C00190000							
04/08/2021	2.00	349.82	Various	611.14	0.00	-261.32	Sell to Close
CALL SPY 02/05/21 391 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210205C00391000							
01/26/2021	2.00	271.82	01/26/2021	302.16	0.00	-30.34	Sell to Close
CALL FB 02/05/21 290 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210205C00290000							
01/28/2021	2.00	503.81	Various	447.16	0.00	56.65	Sell to Close
CALL CCL 05/28/21 28 CARNIVAL CORP CUSIP: Symbol(Box 1a): CCL210528C00028000							
05/07/2021	3.00	218.75	05/07/2021	213.23	0.00	5.52	Sell to Close
05/25/2021	1.00	83.91	05/24/2021	62.07	0.00	21.84	Sell to Close
Security Totals:		302.66		275.30		27.36	
CALL XPEV 07/16/21 35 XPENG INC ADR CLASS A CUSIP: Symbol(Box 1a): XPEV210716C00035000							
05/26/2021	3.00	467.75	Various	524.23	0.00	-56.48	Sell to Close
CALL TSLA 07/23/21 660 TESLA INC CUSIP: Symbol(Box 1a): TSLA210723C00660000							
07/21/2021	1.00	636.93	07/21/2021	930.29	293.36 D	0.00	Sell to Close
07/21/2021	1.00	869.93	07/21/2021	870.05	0.12 D	0.00	Sell to Close
07/21/2021	1.00	594.93	07/21/2021	918.41	0.00	-323.48	Sell to Close
07/21/2021	1.00	709.93	07/21/2021	930.17	220.24 D	0.00	Sell to Close
Security Totals:		2,811.72		3,648.92		-323.48	
CALL BBIG 10/15/21 15 VINCO VENTURES INC CUSIP: Symbol(Box 1a): BBIG211015C00015000							
09/08/2021	1.00	244.93	09/08/2021	250.16	0.00	-5.23	Sell to Close

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL BBIG 10/15/21 15 VINCO VENTURES INC CUSIP: Symbol(Box 1a): BBIG211015C00015000							
09/08/2021	1.00	242.93	09/08/2021	250.16	0.00	-7.23	Sell to Close
09/08/2021	4.00	1,079.77	09/08/2021	1,080.21	0.22 D	-0.22	Sell to Close
Security Totals:		1,567.63		1,580.53		-12.68	
CALL FB 01/22/21 257.50 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210122C00257500							
01/15/2021	1.00	339.90	01/14/2021	192.08	0.00	147.82	Sell to Close
CALL FUBO 04/16/21 23 FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210416C00023000							
04/09/2021	2.00	613.82	04/09/2021	212.16	0.00	401.66	Sell to Close
CALL SPY 01/11/21 380 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210111C00380000							
01/11/2021	1.00	74.90	01/11/2021	72.08	0.00	2.82	Sell to Close
CALL NVDA 04/09/21 570 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210409C00570000							
04/07/2021	1.00	231.91	04/07/2021	198.07	0.00	33.84	Sell to Close
CALL TSLA 06/25/21 690 TESLA INC CUSIP: Symbol(Box 1a): TSLA210625C00690000							
06/25/2021	1.00	149.93	06/25/2021	159.05	0.00	-9.12	Sell to Close
CALL ZM 08/20/21 395 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210820C00395000							
08/04/2021	1.00	1,099.93	08/04/2021	1,100.05	0.00	-0.12	Sell to Close
CALL SNAP 07/09/21 68 SNAP INC CUSIP: Symbol(Box 1a): SNAP210709C00068000							
06/29/2021	2.00	425.87	06/29/2021	420.11	0.00	5.76	Sell to Close
CALL ROKU 07/30/21 430 ROKU INC CUSIP: Symbol(Box 1a): ROKU210730C00430000							
07/22/2021	1.00	829.93	07/22/2021	1,070.05	0.00	-240.12	Sell to Close
CALL MU 08/20/21 82.50 MICRON TECHNOLOGY INC CUSIP: Symbol(Box 1a): MU210820C00082500							
08/05/2021	5.00	1,039.71	Various	1,004.27	0.33 D	35.77	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
08/05/2021	6.00	1,049.66	Various	1,068.65	0.00	-18.99	Sell to Close
Security Totals:		2,089.37		2,072.92		16.78	
CALL SNAP 01/29/21	53.50	SNAP INC CUSIP: Symbol(Box 1a): SNAP210129C00053500					
01/27/2021	2.00	155.82	01/27/2021	164.16	0.00	-8.34	Sell to Close
CALL NCLH 06/25/21	32.50	NORWEIGIAN CRUISE LINE HOLDING CUSIP: Symbol(Box 1a): NCLH210625C00032500					
06/14/2021	2.00	143.87	06/11/2021	202.11	0.00	-58.24	Sell to Close
CALL SNAP 01/29/21	54.50	SNAP INC CUSIP: Symbol(Box 1a): SNAP210129C00054500					
01/27/2021	1.00	51.90	01/27/2021	51.08	0.00	0.82	Sell to Close
CALL LMND 06/11/21	110	LEMONADE INC CUSIP: Symbol(Box 1a): LMND210611C00110000					
06/09/2021	1.00	179.91	06/09/2021	190.07	0.00	-10.16	Sell to Close
CALL TSLA 10/29/21	1200	TESLA INC CUSIP: Symbol(Box 1a): TSLA211029C01200000					
10/26/2021	1.00	319.94	10/26/2021	400.04	0.00	-80.10	Sell to Close
CALL KO 03/19/21	52.50	COCA COLA CO CUSIP: Symbol(Box 1a): KO210319C00052500					
03/09/2021	3.00	44.74	Various	116.24	0.00	-71.50	Sell to Close
CALL FCCEL 03/19/21	20	FUELCELL ENERGY INC CUSIP: Symbol(Box 1a): FCCEL210319C00020000					
03/02/2021	1.00	141.90	03/02/2021	202.08	0.00	-60.18	Sell to Close
CALL NIO 07/23/21	45	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210723C00045000					
07/21/2021	1.00	124.93	07/21/2021	98.05	0.00	26.88	Sell to Close
CALL NVDA 08/20/21	200	NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210820C00200000					
08/18/2021	3.00	896.82	08/18/2021	897.16	0.00	-0.34	Sell to Close
CALL TQQQ 01/29/21	98.75	PROSHARES ULTRAPRO QQQ ADJ 2:1 STOCK SPLIT CUSIP: Symbol(Box 1a): TQQQ210129C00098750					
01/28/2021	1.00	148.90	01/27/2021	145.08	0.00	3.82	Sell to Close
CALL RBLX 11/05/21	86	ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX211105C00086000					
11/01/2021	7.00	699.69	Various	1,354.29	0.00	-654.60	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL ROKU 07/30/21	440	ROKU INC CUSIP: Symbol(Box 1a): ROKU210730C00440000					
07/22/2021	1.00	609.93	07/22/2021	605.05	0.00	4.88	Sell to Close
CALL SNAP 03/12/21	58	SNAP INC CUSIP: Symbol(Box 1a): SNAP210312C00058000					
03/10/2021	1.00	117.90	03/10/2021	116.08	0.00	1.82	Sell to Close
CALL PLTR 04/16/21	26	PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210416C00026000					
04/14/2021	5.00	249.60	Various	243.39	0.00	6.21	Sell to Close
CALL MARA 06/18/21	25	MARATHON DIGITAL HOLDINGS INC CUSIP: Symbol(Box 1a): MARA210618C00025000					
05/20/2021	1.00	354.91	05/19/2021	256.07	0.00	98.84	Sell to Close
CALL PLTR 04/16/21	25	PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210416C00025000					
04/12/2021	6.00	269.50	04/08/2021	228.48	0.00	41.02	Sell to Close
CALL XL 02/19/21	25	XL FLEET CORPORATION CL A CUSIP: Symbol(Box 1a): XL210219C00025000					
01/20/2021	2.00	253.82	Various	450.16	0.00	-196.34	Sell to Close
PUT SPY 02/01/21	374	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210201P00374000					
02/01/2021	2.00	123.82	02/01/2021	186.16	0.00	-62.34	Sell to Close
CALL PENN 11/19/21	80	PENN NATIONAL GAMING INC CUSIP: Symbol(Box 1a): PENN211119C00080000					
10/15/2021	5.00	2,474.76	Various	1,687.21	0.00	787.55	Sell to Close
CALL SNAP 10/22/21	77	SNAP INC CUSIP: Symbol(Box 1a): SNAP211022C00077000					
10/15/2021	1.00	429.94	10/15/2021	405.04	0.00	24.90	Sell to Close
CALL BABA 07/16/21	237.50	ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210716C00237500					
06/30/2021	2.00	457.87	06/30/2021	478.11	0.00	-20.24	Sell to Close
CALL TLRY 07/16/21	17	TILRAY INC CUSIP: Symbol(Box 1a): TLRY210716C00017000					
07/14/2021	10.00	429.42	07/13/2021	380.55	0.00	48.87	Sell to Close
CALL AMZN 05/21/21	3230	AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210521C03230000					
05/21/2021	1.00	444.92	05/21/2021	390.07	0.00	54.85	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMD 10/29/21 117 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD211029C00117000							
10/19/2021	1.00	409.94	10/19/2021	360.04	0.00	49.90	Sell to Close
10/19/2021	3.00	1,109.85	10/19/2021	1,140.13	0.00	-30.28	Sell to Close
Security Totals:		1,519.79		1,500.17		19.62	
CALL SPWR 03/05/21 41.50 SUNPOWER CORPORATION CUSIP: Symbol(Box 1a): SPWR210305C00041500							
02/22/2021	1.00	86.90	02/19/2021	198.08	0.00	-111.18	Sell to Close
CALL PYPL 08/20/21 275 PAYPAL HOLDINGS INC CUSIP: Symbol(Box 1a): PYPL210820C00275000							
08/04/2021	1.00	599.93	08/03/2021	500.05	0.00	99.88	Sell to Close
08/04/2021	2.00	959.87	Various	950.10	0.00	9.77	Sell to Close
Security Totals:		1,559.80		1,450.15		109.65	
CALL TSLA 06/25/21 700 TESLA INC CUSIP: Symbol(Box 1a): TSLA210625C00700000							
06/23/2021	1.00	92.93	06/23/2021	81.05	0.00	11.88	Sell to Close
CALL PLTR 01/29/21 29 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210129C00029000							
01/22/2021	1.00	404.90	01/22/2021	86.41	0.00	318.49	Sell to Close
01/22/2021	2.00	539.81	01/22/2021	172.83	0.00	366.98	Sell to Close
Security Totals:		944.71		259.24		685.47	
CALL SPY 01/25/21 385 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210125C00385000							
01/20/2021	3.00	539.73	01/20/2021	393.24	0.00	146.49	Sell to Close
CALL PLTR 01/29/21 30 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210129C00030000							
01/08/2021	1.00	95.90	01/08/2021	96.08	0.18 D	0.00	Sell to Close
01/11/2021	2.00	155.82	Various	184.34	28.52 D	0.00	Sell to Close
01/15/2021	2.00	345.82	Various	186.68	0.00	159.14	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL PLTR 01/29/21 30							
PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210129C00030000							
01/20/2021	3.00	236.74	Various	235.24	0.00	1.50	Sell to Close
Security Totals:		834.28		702.34		160.64	
CALL FB 06/18/21 335							
FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210618C00335000							
06/14/2021	1.00	329.93	06/14/2021	325.05	0.00	4.88	Sell to Close
06/14/2021	5.00	1,674.71	06/14/2021	1,460.27	0.00	214.44	Sell to Close
Security Totals:		2,004.64		1,785.32		219.32	
PUT SPY 05/21/21 413							
STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210521P00413000							
05/20/2021	1.00	109.91	05/20/2021	158.07	0.00	-48.16	Sell to Close
CALL X 06/04/21 23.50							
UNITED STATES STL CORP NEW CUSIP: Symbol(Box 1a): X210604C00023500							
05/24/2021	1.00	145.91	05/24/2021	118.07	0.00	27.84	Sell to Close
CALL BBW 06/18/21 17.50							
BUILD A BEAR WORKSHOP INC CUSIP: Symbol(Box 1a): BBW210618C00017500							
05/27/2021	5.00	999.59	05/27/2021	275.40	0.00	724.19	Sell to Close
05/27/2021	2.00	399.82	05/27/2021	110.16	0.00	289.66	Sell to Close
05/28/2021	1.00	164.91	05/27/2021	55.08	0.00	109.83	Sell to Close
Security Totals:		1,564.32		440.64		1,123.68	
CALL SPY 04/16/21 417							
STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210416C00417000							
04/16/2021	1.00	45.91	04/16/2021	78.07	0.00	-32.16	Sell to Close
CALL BIDU 04/16/21 235							
BAIDU INC CUSIP: Symbol(Box 1a): BIDU210416C00235000							
04/09/2021	1.00	175.91	04/08/2021	300.07	0.00	-124.16	Sell to Close
CALL SBUX 04/16/21 110							
STARBUCKS CORPORATION CUSIP: Symbol(Box 1a): SBUX210416C00110000							
03/22/2021	1.00	206.90	03/19/2021	204.08	0.00	2.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NVDA 05/21/21 650 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210521C00650000							
05/21/2021	1.00	57.91	05/21/2021	82.07	0.00	-24.16	Sell to Close
CALL PYPL 02/05/21 270 PAYPAL HOLDINGS INC CUSIP: Symbol(Box 1a): PYPL210205C00270000							
01/29/2021	2.00	413.82	01/28/2021	384.16	0.00	29.66	Sell to Close
CALL DASH 06/18/21 160 DOORDASH INC CL A CUSIP: Symbol(Box 1a): DASH210618C00160000							
06/14/2021	1.00	389.93	06/14/2021	380.05	0.00	9.88	Sell to Close
CALL AMC 09/03/21 44 AMC ENTERTAINMENT HOLDINGS INC CUSIP: Symbol(Box 1a): AMC210903C00044000							
08/30/2021	2.00	611.87	Various	671.10	0.00	-59.23	Sell to Close
CALL AAPL 04/30/21 134 APPLE INC CUSIP: Symbol(Box 1a): AAPL210430C00134000							
04/27/2021	1.00	334.91	04/26/2021	300.07	0.00	34.84	Sell to Close
CALL ACB 02/05/21 12 AURORA CANNABIS INC CUSIP: Symbol(Box 1a): ACB210205C00012000							
01/26/2021	1.00	45.90	01/26/2021	45.08	0.00	0.82	Sell to Close
01/27/2021	3.00	113.74	01/26/2021	135.24	0.00	-21.50	Sell to Close
Security Totals:		159.64		180.32		-20.68	
CALL SPCE 02/19/21 60 VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210219C00060000							
02/11/2021	1.00	395.90	02/10/2021	390.08	0.00	5.82	Sell to Close
PUT SNAP 05/28/21 60 SNAP INC CUSIP: Symbol(Box 1a): SNAP210528P00060000							
05/25/2021	1.00	136.91	05/25/2021	136.07	0.00	0.84	Sell to Close
PUT QQQ 01/15/21 310 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210115P00310000							
01/11/2021	1.00	162.90	01/11/2021	151.08	0.00	11.82	Sell to Close
01/11/2021	1.00	195.90	01/11/2021	206.08	0.00	-10.18	Sell to Close
Security Totals:		358.80		357.16		1.64	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SPWR 06/18/21 26 SUNPOWER CORPORATION CUSIP: Symbol(Box 1a): SPWR210618C00026000							
06/10/2021	5.00	749.59	06/10/2021	590.39	0.00	159.20	Sell to Close
CALL SNAP 05/28/21 60.50 SNAP INC CUSIP: Symbol(Box 1a): SNAP210528C00060500							
05/21/2021	1.00	40.91	05/21/2021	59.07	0.00	-18.16	Sell to Close
CALL BA 04/16/21 300 BOEING CO CUSIP: Symbol(Box 1a): BA210416C00300000							
04/06/2021	3.00	148.75	04/05/2021	219.23	0.00	-70.48	Sell to Close
CALL SNAP 01/29/21 55 SNAP INC CUSIP: Symbol(Box 1a): SNAP210129C00055000							
01/26/2021	2.00	157.82	01/26/2021	184.16	0.00	-26.34	Sell to Close
01/26/2021	2.00	207.82	01/25/2021	164.16	0.00	43.66	Sell to Close
Security Totals:		365.64		348.32		17.32	
CALL SNAP 05/28/21 60 SNAP INC CUSIP: Symbol(Box 1a): SNAP210528C00060000							
05/24/2021	1.00	90.91	05/24/2021	90.07	0.00	0.84	Sell to Close
CALL AMC 09/03/21 45 AMC ENTERTAINMENT HOLDINGS INC CUSIP: Symbol(Box 1a): AMC210903C00045000							
08/30/2021	3.00	914.82	08/30/2021	975.16	0.00	-60.34	Sell to Close
CALL QQQ 04/16/21 338 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210416C00338000							
04/12/2021	1.00	181.91	04/09/2021	258.07	0.00	-76.16	Sell to Close
CALL ZM 06/25/21 400 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210625C00400000							
06/23/2021	1.00	69.93	06/23/2021	80.05	0.00	-10.12	Sell to Close
CALL SQ 04/16/21 250 SQUARE INC CUSIP: Symbol(Box 1a): SQ210416C00250000							
04/07/2021	1.00	429.91	04/06/2021	345.07	0.00	84.84	Sell to Close
CALL ZM 06/18/21 350 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210618C00350000							
06/10/2021	1.00	409.91	06/10/2021	260.07	0.00	149.84	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AAPL 01/15/21	135						
							APPLE INC CUSIP: Symbol(Box 1a): AAPL210115C00135000 ADJ 4:1 STOCK SPLIT
01/12/2021	2.00	45.82	01/12/2021	50.16	0.00	-4.34	Sell to Close
CALL SPCE 06/04/21	33						
							VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210604C00033000
06/01/2021	3.00	296.75	05/28/2021	468.23	0.00	-171.48	Sell to Close
CALL RIOT 03/19/21	100						
							RIOT BLOCKCHAIN INC CUSIP: Symbol(Box 1a): RIOT210319C00100000
02/26/2021	1.00	147.90	02/26/2021	182.08	0.00	-34.18	Sell to Close
CALL FB 01/22/21	270						
							FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210122C00270000
01/20/2021	1.00	140.90	01/20/2021	155.08	0.00	-14.18	Sell to Close
CALL TWTR 10/22/21	65						
							TWITTER INC CUSIP: Symbol(Box 1a): TWTR211022C00065000
10/18/2021	3.00	224.85	10/18/2021	294.13	0.00	-69.28	Sell to Close
CALL T 03/19/21	30						
							AT&T INC CUSIP: Symbol(Box 1a): T210319C00030000
02/18/2021	5.00	244.58	02/17/2021	280.40	0.00	-35.82	Sell to Close
CALL AFRM 10/15/21	140						
							AFFIRM HOLDINGS INC CL A CUSIP: Symbol(Box 1a): AFRM211015C00140000
09/27/2021	2.00	969.88	09/27/2021	900.10	0.00	69.78	Sell to Close
CALL DDOG 09/17/21	140						
							DATADOG INC CL A CUSIP: Symbol(Box 1a): DDOG210917C00140000
09/08/2021	2.00	319.88	09/07/2021	470.10	0.00	-150.22	Sell to Close
CALL DIS 03/19/21	200						
							WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210319C00200000
03/04/2021	1.00	165.90	03/03/2021	370.08	0.00	-204.18	Sell to Close
CALL BBBY 01/15/21	20						
							BED BATH & BEYOND INC CUSIP: Symbol(Box 1a): BBBY210115C00020000
01/05/2021	1.00	110.90	12/31/2020	126.08	15.18 D	0.00	Sell to Close
01/06/2021	1.00	209.90	01/04/2021	109.26	0.00	100.64	Sell to Close
Security Totals:		320.80		235.34		100.64	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL PENN 09/17/21 75 PENN NATIONAL GAMING INC CUSIP: Symbol(Box 1a): PENN210917C00075000							
08/19/2021	17.00	2,090.05	Various	3,082.90	531.32 D	-461.53	Sell to Close
08/25/2021	1.00	599.93	08/24/2021	492.16	0.00	107.77	Sell to Close
08/25/2021	2.00	1,209.87	08/24/2021	984.32	0.00	225.55	Sell to Close
Security Totals:		3,899.85		4,559.38		-128.21	
CALL AFRM 10/15/21 135 AFFIRM HOLDINGS INC CL A CUSIP: Symbol(Box 1a): AFRM211015C00135000							
09/28/2021	3.00	1,023.82	09/28/2021	1,470.16	0.00	-446.34	Sell to Close
CALL BA 04/09/21 260 BOEING CO CUSIP: Symbol(Box 1a): BA210409C00260000							
04/06/2021	1.00	165.91	04/06/2021	204.07	0.00	-38.16	Sell to Close
CALL BABA 02/05/21 270 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210205C00270000							
02/03/2021	1.00	224.90	02/03/2021	217.08	0.00	7.82	Sell to Close
CALL CLNE 07/16/21 12 CLEAN ENERGY FUELS CORP CUSIP: Symbol(Box 1a): CLNE210716C00012000							
06/28/2021	1.00	64.93	06/28/2021	70.05	0.00	-5.12	Sell to Close
CALL FUBO 02/19/21 62 FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210219C00062000							
02/08/2021	1.00	163.90	02/05/2021	293.08	0.00	-129.18	Sell to Close
CALL NVDA 06/11/21 700 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210611C00700000							
05/27/2021	3.00	443.75	05/27/2021	444.23	0.00	-0.48	Sell to Close
CALL MRNA 10/29/21 350 MODERNA INC CUSIP: Symbol(Box 1a): MRNA211029C00350000							
10/27/2021	1.00	359.94	10/27/2021	350.04	0.00	9.90	Sell to Close
CALL SNAP 03/12/21 66 SNAP INC CUSIP: Symbol(Box 1a): SNAP210312C00066000							
02/19/2021	1.00	309.90	02/16/2021	246.08	0.00	63.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NCLH 03/19/21 32.50 NORWEIGIAN CRUISE LINE HOLDING CUSIP: Symbol(Box 1a): NCLH210319C00032500							
03/16/2021	1.00	39.90	03/15/2021	66.08	0.00	-26.18	Sell to Close
CALL PENN 09/17/21 80 PENN NATIONAL GAMING INC CUSIP: Symbol(Box 1a): PENN210917C00080000							
08/13/2021	7.00	1,189.61	Various	1,348.38	158.77 D	0.00	Sell to Close
08/23/2021	10.00	1,049.44	Various	769.31	0.00	280.13	Sell to Close
08/24/2021	5.00	699.71	08/19/2021	305.26	0.00	394.45	Sell to Close
08/24/2021	5.00	824.71	08/24/2021	810.27	0.00	14.44	Sell to Close
08/24/2021	5.00	794.71	08/19/2021	305.26	0.00	489.45	Sell to Close
08/25/2021	3.00	989.82	08/25/2021	990.16	0.00	-0.34	Sell to Close
Security Totals:		5,548.00		4,528.64		1,178.13	
CALL MSFT 03/19/21 240 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210319C00240000							
03/16/2021	1.00	111.90	03/16/2021	184.08	0.00	-72.18	Sell to Close
CALL INO 02/05/21 20 INOVIO PHARMACEUTICALS INC CUSIP: Symbol(Box 1a): INO210205C00020000							
02/01/2021	2.00	297.82	02/01/2021	348.16	0.00	-50.34	Sell to Close
CALL NVDA 07/16/21 815 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210716C000815000							
07/13/2021	1.00	1,159.93	07/13/2021	1,150.05	0.00	9.88	Sell to Close
CALL ROKU 07/30/21 485 ROKU INC CUSIP: Symbol(Box 1a): ROKU210730C00485000							
07/28/2021	1.00	409.93	07/28/2021	410.05	0.00	-0.12	Sell to Close
CALL CBAT 03/19/21 7.50 CBAK ENERGY TECHNOLOGY INC CUSIP: Symbol(Box 1a): CBAT210319C00007500							
02/17/2021	1.00	234.90	02/16/2021	160.08	0.00	74.82	Sell to Close
CALL PINS 01/22/21 77 PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210122C00077000							
01/11/2021	1.00	129.90	01/08/2021	97.08	0.00	32.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL MU 01/15/21	82.50	MICRON TECHNOLOGY INC CUSIP: Symbol(Box 1a): MU210115C00082500					
01/05/2021	1.00	169.90	01/05/2021	184.08	0.00	-14.18	Sell to Close
CALL HGEN 11/19/21	10	HUMANIGEN INC CUSIP: Symbol(Box 1a): HGEN211119C00010000					
10/25/2021	2.00	59.90	Various	90.08	0.00	-30.18	Sell to Close
CALL MU 08/27/21	73	MICRON TECHNOLOGY INC CUSIP: Symbol(Box 1a): MU210827C00073000					
08/25/2021	3.00	152.82	08/25/2021	201.16	0.00	-48.34	Sell to Close
CALL SNAP 03/12/21	62	SNAP INC CUSIP: Symbol(Box 1a): SNAP210312C00062000					
03/04/2021	1.00	133.90	03/03/2021	190.08	0.00	-56.18	Sell to Close
CALL UBER 05/14/21	60	UBER TECHNOLOGIES INC CUSIP: Symbol(Box 1a): UBER210514C00060000					
04/26/2021	1.00	165.91	04/22/2021	158.07	0.00	7.84	Sell to Close
CALL SPY 02/03/21	382	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210203C00382000					
02/03/2021	1.00	86.90	02/03/2021	75.08	0.00	11.82	Sell to Close
CALL NVDA 07/16/21	825	NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210716C00825000					
07/12/2021	1.00	1,019.93	07/12/2021	925.05	0.00	94.88	Sell to Close
CALL JD 07/16/21	80	JD.COM INC CUSIP: Symbol(Box 1a): JD210716C00080000					
06/30/2021	2.00	411.87	06/29/2021	358.11	0.00	53.76	Sell to Close
07/01/2021	4.00	551.76	Various	684.22	0.00	-132.46	Sell to Close
Security Totals:		963.63		1,042.33		-78.70	
CALL AFRM 10/15/21	150	AFFIRM HOLDINGS INC CL A CUSIP: Symbol(Box 1a): AFRM211015C00150000					
10/07/2021	2.00	679.90	10/07/2021	600.08	0.00	79.82	Sell to Close
SUPPORT.COM INC		COMMON STOCK CUSIP: 86858W200 Symbol(Box 1a): SPRT					
09/09/2021	62.00	1,549.98	09/09/2021	1,578.52	28.54 D	0.00	Sale
09/10/2021	100.00	2,159.98	Various	2,498.50	0.00	-338.52	Sale
Security Totals:		3,709.96		4,077.02		-338.52	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL DIS 07/23/21	180	WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210723C00180000					
07/21/2021	15.00	599.14	Various	1,000.82	0.00	-401.68	Sell to Close
CALL SQ 08/06/21	272.50	SQUARE INC CUSIP: Symbol(Box 1a): SQ210806C00272500					
07/28/2021	1.00	464.93	07/28/2021	450.05	0.00	14.88	Sell to Close
CALL ANY 10/15/21	15	SPHERE 3D CORP CUSIP: Symbol(Box 1a): ANY211015C00015000					
09/02/2021	1.00	204.93	09/02/2021	195.05	0.00	9.88	Sell to Close
09/02/2021	1.00	219.93	09/02/2021	175.05	0.00	44.88	Sell to Close
09/02/2021	5.00	824.72	09/02/2021	400.26	0.00	424.46	Sell to Close
Security Totals:		1,249.58		770.36		479.22	
CALL SNAP 10/29/21	60	SNAP INC CUSIP: Symbol(Box 1a): SNAP211029C00060000					
10/26/2021	5.00	319.77	10/26/2021	320.21	0.00	-0.44	Sell to Close
CALL TSLA 10/29/21	1050	TESLA INC CUSIP: Symbol(Box 1a): TSLA211029C01050000					
10/25/2021	1.00	499.94	10/25/2021	279.04	0.00	220.90	Sell to Close
10/25/2021	1.00	449.94	10/25/2021	444.04	0.00	5.90	Sell to Close
Security Totals:		949.88		723.08		226.80	
CALL ROKU 07/30/21	450	ROKU INC CUSIP: Symbol(Box 1a): ROKU210730C00450000					
07/21/2021	1.00	451.93	07/21/2021	445.05	0.00	6.88	Sell to Close
07/22/2021	1.00	504.93	07/22/2021	520.05	15.12 D	0.00	Sell to Close
07/22/2021	2.00	989.87	Various	975.22	0.00	14.65	Sell to Close
Security Totals:		1,946.73		1,940.32		21.53	
CALL ABNB 05/28/21	140	AIRBNB INC CUSIP: Symbol(Box 1a): ABNB210528C00140000					
05/24/2021	1.00	245.91	05/24/2021	216.07	0.00	29.84	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL GOOG 10/22/21 2900 ALPHABET INC CLASS C CUSIP: Symbol(Box 1a): GOOG211022C02900000							
10/13/2021	1.00	269.94	10/12/2021	400.04	0.00	-130.10	Sell to Close
CALL CRM 07/16/21 250 SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM210716C00250000							
06/07/2021	8.00	2,999.32	06/02/2021	2,384.64	0.00	614.68	Sell to Close
06/08/2021	1.00	399.91	06/02/2021	298.08	0.00	101.83	Sell to Close
06/08/2021	1.00	319.91	06/02/2021	298.08	0.00	21.83	Sell to Close
Security Totals:		3,719.14		2,980.80		738.34	
CALL NVDA 08/06/21 200 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210806C00200000							
07/26/2021	1.00	299.93	07/23/2021	390.05	0.00	-90.12	Sell to Close
CALL SPY 01/25/21 383 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210125C00383000							
01/25/2021	3.00	77.74	Various	173.24	0.00	-95.50	Sell to Close
CALL NIO 01/08/21 54 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210108C00054000							
01/06/2021	1.00	243.90	01/05/2021	164.08	0.00	79.82	Sell to Close
01/06/2021	1.00	100.90	01/06/2021	100.08	0.00	0.82	Sell to Close
Security Totals:		344.80		264.16		80.64	
CALL RBLX 08/27/21 91 ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210827C00091000							
08/25/2021	3.00	296.82	08/25/2021	384.16	0.00	-87.34	Sell to Close
CALL BLNK 06/18/21 40 BLINK CHARGING CO CUSIP: Symbol(Box 1a): BLNK210618C00040000							
06/14/2021	2.00	341.87	06/14/2021	336.11	0.00	5.76	Sell to Close
06/16/2021	2.00	211.87	06/16/2021	252.11	0.00	-40.24	Sell to Close
Security Totals:		553.74		588.22		-34.48	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL PLTR 03/12/21	26.50	PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210312C00026500					
03/10/2021	2.00	57.82	Various	133.16	0.00	-75.34	Sell to Close
CALL DKNG 07/23/21	45	DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210723C00045000					
07/19/2021	1.00	38.93	07/16/2021	98.05	0.00	-59.12	Sell to Close
CALL SQ 08/20/21	300	SQUARE INC CUSIP: Symbol(Box 1a): SQ210820C00300000					
08/11/2021	1.00	106.93	08/11/2021	110.05	0.00	-3.12	Sell to Close
08/11/2021	1.00	157.93	08/10/2021	103.05	0.00	54.88	Sell to Close
Security Totals:		264.86		213.10		51.76	
CALL ABNB 06/04/21	139	AIRBNB INC CUSIP: Symbol(Box 1a): ABNB210604C00139000					
05/25/2021	2.00	443.82	05/25/2021	554.16	0.00	-110.34	Sell to Close
CALL SPY 01/11/21	381	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210111C00381000					
01/11/2021	5.00	119.58	01/11/2021	140.40	0.00	-20.82	Sell to Close
CALL NIO 01/08/21	55	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210108C00055000					
01/05/2021	1.00	129.90	01/05/2021	130.08	0.00	-0.18	Sell to Close
CALL GME 05/28/21	370	GAMESTOP CORP CUSIP: Symbol(Box 1a): GME210528C00370000					
05/27/2021	1.00	97.91	05/27/2021	94.07	0.00	3.84	Sell to Close
CALL PLUG 05/14/21	24	PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210514C00024000					
05/14/2021	1.00	20.91	05/14/2021	30.07	0.00	-9.16	Sell to Close
CALL X 05/21/21	27	UNITED STATES STL CORP NEW CUSIP: Symbol(Box 1a): X210521C00027000					
05/07/2021	7.00	720.43	Various	665.55	0.00	54.88	Sell to Close
CALL CAT 04/09/21	240	CATERPILLAR INC CUSIP: Symbol(Box 1a): CAT210409C00240000					
03/31/2021	1.00	261.90	03/30/2021	212.08	0.00	49.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL SNAP 09/17/21 75 SNAP INC CUSIP: Symbol(Box 1a): SNAP210917C00075000							
09/09/2021	5.00	924.72	09/09/2021	815.26	0.00	109.46	Sell to Close
CALL ROKU 07/16/21 450 ROKU INC CUSIP: Symbol(Box 1a): ROKU210716C00450000							
07/12/2021	1.00	686.93	07/09/2021	510.05	0.00	176.88	Sell to Close
CALL AFRM 10/01/21 120 AFFIRM HOLDINGS INC CL A CUSIP: Symbol(Box 1a): AFRM211001C00120000							
09/23/2021	3.00	1,859.82	Various	1,610.15	0.00	249.67	Sell to Close
PUT SPY 08/23/21 435 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210823P00435000							
08/19/2021	1.00	171.93	08/19/2021	142.05	0.00	29.88	Sell to Close
08/19/2021	2.00	361.87	08/19/2021	284.11	0.00	77.76	Sell to Close
Security Totals:		533.80		426.16		107.64	
PUT NIO 06/18/21 46 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210618P00046000							
06/15/2021	1.00	111.93	06/15/2021	117.05	0.00	-5.12	Sell to Close
CALL TSLA 05/21/21 580 TESLA INC CUSIP: Symbol(Box 1a): TSLA210521C00580000							
05/19/2021	1.00	429.91	05/19/2021	360.07	0.00	69.84	Sell to Close
OCUGEN INC COMMON STOCK CUSIP: 67577C105 Symbol(Box 1a): OCGN							
02/19/2021	50.00	509.47	02/19/2021	509.00	0.00	0.47	Sale
CALL DKNG 06/18/21 55 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210618C00055000							
06/11/2021	2.00	217.87	06/11/2021	206.11	0.00	11.76	Sell to Close
CALL FB 04/09/21 310 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210409C00310000							
04/07/2021	1.00	279.91	04/06/2021	271.07	0.00	8.84	Sell to Close
AULT GLOBAL HOLDINGS INC COMMON STOCK CUSIP: 05150X104 Symbol(Box 1a): DPW							
02/16/2021	193.00	1,302.70	02/11/2021	999.74	0.00	302.96	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL MSFT 02/19/21 240 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210219C00240000							
01/14/2021	2.00	217.82	Various	269.16	0.00	-51.34	Sell to Close
CALL BBIG 09/17/21 7.50 VINCO VENTURES INC CUSIP: Symbol(Box 1a): BBIG210917C00007500							
08/27/2021	2.00	249.87	08/27/2021	90.11	0.00	159.76	Sell to Close
08/30/2021	1.00	359.93	08/27/2021	50.05	0.00	309.88	Sell to Close
08/30/2021	1.00	399.93	08/27/2021	50.06	0.00	349.87	Sell to Close
08/30/2021	1.00	379.93	08/27/2021	50.05	0.00	329.88	Sell to Close
Security Totals:		1,389.66		240.27		1,149.39	
CALL TLRV 04/23/21 22 TILRAY INC CUSIP: Symbol(Box 1a): TLRV210423C00022000							
04/12/2021	3.00	161.75	Various	332.23	0.00	-170.48	Sell to Close
CALL BIDU 08/13/21 175 BAIDU INC CUSIP: Symbol(Box 1a): BIDU210813C00175000							
08/11/2021	1.00	92.93	08/11/2021	140.05	47.12 D	0.00	Sell to Close
08/11/2021	1.00	94.93	08/11/2021	141.17	0.00	-46.24	Sell to Close
Security Totals:		187.86		281.22		-46.24	
NEXTPLAT CORP COMMON STOCK CUSIP: 68557F209 Symbol(Box 1a): OSAT							
07/27/2021	132.00	874.22	Various	938.36	0.00	-64.14	Sale
CALL NIO 01/08/21 52 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210108C00052000							
01/04/2021	1.00	357.90	12/30/2020	157.08	0.00	200.82	Sell to Close
CALL NVDA 05/21/21 605 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210521C00605000							
05/21/2021	3.00	503.75	Various	977.21	0.00	-473.46	Sell to Close
CALL BIDU 08/13/21 170 BAIDU INC CUSIP: Symbol(Box 1a): BIDU210813C00170000							
08/12/2021	1.00	8.93	08/11/2021	208.05	0.00	-199.12	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SNAP 04/09/21	62.50	SNAP INC CUSIP: Symbol(Box 1a): SNAP210409C00062500					
04/08/2021	1.00	78.91	04/08/2021	77.07	0.00	1.84	Sell to Close
04/08/2021	4.00	407.66	04/08/2021	400.32	0.00	7.34	Sell to Close
Security Totals:		486.57		477.39		9.18	
CALL SPWR 08/20/21	25	SUNPOWER CORPORATION CUSIP: Symbol(Box 1a): SPWR210820C00025000					
08/13/2021	4.00	111.77	08/12/2021	172.21	0.00	-60.44	Sell to Close
CALL SPY 05/19/21	412	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210519C00412000					
05/19/2021	1.00	13.92	05/19/2021	12.07	0.00	1.85	Sell to Close
CALL CCL 05/07/21	28.50	CARNIVAL CORP CUSIP: Symbol(Box 1a): CCL210507C00028500					
04/30/2021	1.00	39.91	04/30/2021	45.07	0.00	-5.16	Sell to Close
CALL PLTR 02/19/21	30	PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210219C00030000					
02/19/2021	5.00	139.58	02/19/2021	110.40	0.00	29.18	Sell to Close
CALL TSLA 07/23/21	677.50	TESLA INC CUSIP: Symbol(Box 1a): TSLA210723C00677500					
07/20/2021	1.00	211.93	07/20/2021	209.05	0.00	2.88	Sell to Close
CALL BABA 02/19/21	280	ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210219C00280000					
02/03/2021	1.00	288.90	02/02/2021	149.08	0.00	139.82	Sell to Close
CALL RIOT 05/21/21	25	RIOT BLOCKCHAIN INC CUSIP: Symbol(Box 1a): RIOT210521C00025000					
05/20/2021	1.00	68.91	05/20/2021	68.07	0.00	0.84	Sell to Close
CALL OCGN 10/29/21	12	OCUGEN INC CUSIP: Symbol(Box 1a): OCGN211029C00012000					
10/26/2021	1.00	260.94	10/26/2021	260.04	0.00	0.90	Sell to Close
CALL PYPL 07/16/21	300	PAYPAL HOLDINGS INC CUSIP: Symbol(Box 1a): PYPL210716C00300000					
06/29/2021	1.00	444.93	06/28/2021	413.05	0.00	31.88	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL NIO 01/08/21 53 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210108C00053000							
01/04/2021	1.00	304.90	12/31/2020	184.08	0.00	120.82	Sell to Close
01/05/2021	1.00	222.90	01/05/2021	206.08	0.00	16.82	Sell to Close
Security Totals:		527.80		390.16		137.64	
CALL ROOT 09/17/21 10 ROOT INC CL A CUSIP: Symbol(Box 1a): ROOT210917C00010000							
08/30/2021	3.00	300.82	08/30/2021	300.16	0.00	0.66	Sell to Close
08/30/2021	10.00	649.44	08/30/2021	600.53	0.00	48.91	Sell to Close
Security Totals:		950.26		900.69		49.57	
CALL UPST 06/18/21 130 UPSTART HOLDINGS INC CUSIP: Symbol(Box 1a): UPST210618C00130000							
06/15/2021	1.00	299.93	06/15/2021	295.05	0.00	4.88	Sell to Close
CALL HON 02/05/21 217.50 HONEYWELL INTL INC CUSIP: Symbol(Box 1a): HON210205C00217500							
01/28/2021	1.00	83.90	01/28/2021	90.08	0.00	-6.18	Sell to Close
CALL ROOT 09/17/21 5 ROOT INC CL A CUSIP: Symbol(Box 1a): ROOT210917C00005000							
08/25/2021	5.00	579.71	Various	560.26	0.00	19.45	Sell to Close
CALL PACB 01/15/21 30 PACIFIC BIOSCIENCES OF CALIF CUSIP: Symbol(Box 1a): PACB210115C00030000							
01/07/2021	1.00	244.90	01/06/2021	135.08	0.00	109.82	Sell to Close
01/07/2021	2.00	397.82	Various	345.16	0.00	52.66	Sell to Close
Security Totals:		642.72		480.24		162.48	
CALL NFLX 01/29/21 580 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210129C00580000							
01/26/2021	1.00	259.90	01/26/2021	266.08	6.18 D	0.00	Sell to Close
01/27/2021	1.00	107.90	01/26/2021	266.26	0.00	-158.36	Sell to Close
Security Totals:		367.80		532.34		-158.36	

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2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL DIS 04/23/21	185	WALT DISNEY CO CUSIP:	Symbol(Box 1a): DIS210423C00185000				
04/20/2021	4.00	495.66	04/20/2021	488.32	0.00	7.34	Sell to Close
04/21/2021	3.00	203.75	Various	370.23	0.00	-166.48	Sell to Close
Security Totals:		699.41		858.55		-159.14	
CALL DIS 04/23/21	190	WALT DISNEY CO CUSIP:	Symbol(Box 1a): DIS210423C00190000				
04/20/2021	6.00	257.50	Various	369.46	0.00	-111.96	Sell to Close
DRIVE SHACK INC		COMMON STOCK CUSIP: 262077100	Symbol(Box 1a): DS				
06/28/2021	100.00	340.98	06/25/2021	351.68	0.00	-10.70	Sale
CALL AMZN 01/08/21	3300	AMAZON.COM INC CUSIP:	Symbol(Box 1a): AMZN210108C033000000				
01/07/2021	1.00	230.90	01/07/2021	200.08	0.00	30.82	Sell to Close
CALL NUE 10/15/21	105	NUCOR CORP CUSIP:	Symbol(Box 1a): NUE211015C00105000				
09/27/2021	2.00	523.88	09/27/2021	530.10	0.00	-6.22	Sell to Close
CALL PTON 10/29/21	95	PELTON INTERACTIVE INC CL A CUSIP:	Symbol(Box 1a): PTON211029C00095000				
10/27/2021	2.00	195.90	Various	255.08	0.00	-59.18	Sell to Close
CALL SQ 08/27/21	275	SQUARE INC CUSIP:	Symbol(Box 1a): SQ210827C00275000				
08/23/2021	1.00	324.93	08/23/2021	320.05	0.00	4.88	Sell to Close
CALL SPY 02/03/21	400	STANDARD & POORS DEPOSITORY CUSIP:	Symbol(Box 1a): SPY210203C004000000				
01/26/2021	45.00	401.27	Various	360.63	0.00	40.64	Sell to Close
CALL FUBO 07/23/21	30	FUBOTV INC CUSIP:	Symbol(Box 1a): FUBO210723C000300000				
07/12/2021	5.00	454.71	Various	820.27	0.00	-365.56	Sell to Close
CALL ABNB 06/04/21	138	AIRBNB INC CUSIP:	Symbol(Box 1a): ABNB210604C00138000				
05/26/2021	1.00	224.91	05/26/2021	280.07	0.00	-55.16	Sell to Close
05/26/2021	2.00	559.82	05/25/2021	502.16	0.00	57.66	Sell to Close
Security Totals:		784.73		782.23		2.50	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SQ 08/06/21	260	SQUARE INC CUSIP: Symbol(Box 1a): SQ210806C00260000					
07/29/2021	1.00	914.93	07/28/2021	940.05	0.00	-25.12	Sell to Close
CALL AAPL 04/23/21	140	APPLE INC CUSIP: Symbol(Box 1a): AAPL210423C00140000					
04/16/2021	15.00	558.77	Various	735.15	0.00	-176.38	Sell to Close
CALL PTON 10/29/21	100	PELTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON211029C00100000					
10/26/2021	3.00	137.86	10/26/2021	141.13	0.00	-3.27	Sell to Close
CALL FUTU 07/16/21	180	FUTU HOLDINGS LTD ADS CUSIP: Symbol(Box 1a): FUTU210716C00180000					
06/28/2021	1.00	919.93	06/28/2021	810.05	0.00	109.88	Sell to Close
07/06/2021	2.00	99.87	07/02/2021	480.11	0.00	-380.24	Sell to Close
Security Totals:		1,019.80		1,290.16		-270.36	
CALL HON 02/05/21	215	HONEYWELL INTL INC CUSIP: Symbol(Box 1a): HON210205C00215000					
01/28/2021	1.00	110.90	01/28/2021	124.08	0.00	-13.18	Sell to Close
CALL AUPH 10/15/21	24	AURINIA PHARMACEUTICALS INC CUSIP: Symbol(Box 1a): AUPH211015C00024000					
09/24/2021	4.00	899.77	09/24/2021	928.21	0.00	-28.44	Sell to Close
CALL FSLY 01/22/21	100	FASTLY INC CLASS A CUSIP: Symbol(Box 1a): FSLY210122C00100000					
01/14/2021	1.00	141.90	01/13/2021	191.08	0.00	-49.18	Sell to Close
CALL PTON 01/15/21	165	PELTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210115C00165000					
01/06/2021	1.00	101.90	01/06/2021	107.08	5.18 D	0.00	Sell to Close
01/07/2021	1.00	124.90	01/06/2021	78.08	0.00	46.82	Sell to Close
01/07/2021	2.00	283.82	Various	198.34	0.00	85.48	Sell to Close
01/08/2021	1.00	299.90	01/08/2021	214.08	0.00	85.82	Sell to Close
Security Totals:		810.52		597.58		218.12	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NIO 08/06/21	46	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210806C00046000					
08/02/2021	1.00	146.93	08/02/2021	146.05	0.00	0.88	Sell to Close
CALL UAL 05/21/21	57.50	UNITED AIRLINES HOLDINGS INC CUSIP: Symbol(Box 1a): UAL210521C00057500					
05/18/2021	2.00	153.82	Various	192.14	0.00	-38.32	Sell to Close
CALL BABA 03/26/21	250	ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210326C00250000					
03/22/2021	1.00	50.90	03/22/2021	80.08	0.00	-29.18	Sell to Close
CALL UAL 05/21/21	55	UNITED AIRLINES HOLDINGS INC CUSIP: Symbol(Box 1a): UAL210521C00055000					
05/10/2021	5.00	689.60	05/10/2021	680.39	0.00	9.21	Sell to Close
CALL AAPL 01/29/21	135	APPLE INC CUSIP: Symbol(Box 1a): AAPL210129C00135000					
01/19/2021	1.00	150.90	01/14/2021	287.08	0.00	-136.18	Sell to Close
***AETERNA ZENTARIS INC		COM NO PAR CUSIP: 007975402 Symbol(Box 1a): AEZS					
02/18/2021	330.00	475.14	02/17/2021	499.95	0.00	-24.81	Sale
CALL FUBO 01/08/21	30	FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210108C00030000					
01/05/2021	1.00	204.90	01/05/2021	202.08	0.00	2.82	Sell to Close
CALL TAN 01/08/21	120	INVESCO SOLAR ETF CUSIP: Symbol(Box 1a): TAN210108C00120000					
01/06/2021	1.00	74.90	01/06/2021	105.08	0.00	-30.18	Sell to Close
CALL LCID 11/19/21	28	LUCID GROUP INC CUSIP: Symbol(Box 1a): LCID211119C00028000					
10/26/2021	2.00	493.90	10/26/2021	480.08	0.00	13.82	Sell to Close
PUT SPY 07/30/21	434	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210730P00434000					
07/22/2021	1.00	285.93	07/22/2021	293.05	0.00	-7.12	Sell to Close
CALL BBIG 07/16/21	5	VINCO VENTURES INC CUSIP: Symbol(Box 1a): BBIG210716C00005000					
06/16/2021	8.00	479.53	06/15/2021	480.44	0.00	-0.91	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SPY 07/30/21 434 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210730C00434000							
07/22/2021	1.00	346.93	07/22/2021	347.05	0.00	-0.12	Sell to Close
CALL PLUG 01/08/21 34 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210108C00034000							
01/04/2021	3.00	188.74	01/04/2021	258.24	0.00	-69.50	Sell to Close
CALL RIOT 07/16/21 40 RIOT BLOCKCHAIN INC CUSIP: Symbol(Box 1a): RIOT210716C00040000							
06/30/2021	2.00	391.87	Various	538.10	0.00	-146.23	Sell to Close
CALL RBLX 06/18/21 90 ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210618C00090000							
06/15/2021	2.00	415.87	Various	590.10	0.00	-174.23	Sell to Close
06/15/2021	2.00	639.87	06/15/2021	576.11	0.00	63.76	Sell to Close
Security Totals:		1,055.74		1,166.21		-110.47	
CALL FB 01/29/21 300 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210129C00300000							
01/21/2021	1.00	203.90	01/20/2021	197.08	0.00	6.82	Sell to Close
01/25/2021	1.00	252.90	01/20/2021	197.08	0.00	55.82	Sell to Close
01/25/2021	1.00	255.90	01/20/2021	197.08	0.00	58.82	Sell to Close
Security Totals:		712.70		591.24		121.46	
CALL USO 03/26/21 44 UNITED STATES OIL FUND LP CUSIP: Symbol(Box 1a): USO210326C00044000							
03/19/2021	12.00	203.00	03/19/2021	228.97	0.00	-25.97	Sell to Close
CALL SNAP 08/20/21 75 SNAP INC CUSIP: Symbol(Box 1a): SNAP210820C00075000							
08/03/2021	4.00	927.77	08/03/2021	800.21	0.00	127.56	Sell to Close
CALL TSLA 03/12/21 735 TESLA INC CUSIP: Symbol(Box 1a): TSLA210312C00735000							
03/09/2021	1.00	475.90	03/09/2021	460.08	0.00	15.82	Sell to Close
CALL BABA 05/28/21 215 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210528C00215000							
05/24/2021	2.00	299.82	05/21/2021	368.16	0.00	-68.34	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL HON 02/05/21 212.50 HONEYWELL INTL INC CUSIP: Symbol(Box 1a): HON210205C00212500							
01/29/2021	1.00	19.90	01/28/2021	180.08	0.00	-160.18	Sell to Close
CALL SDC 07/02/21 9.50 SMILEDIRECTCLUB INC CL A CUSIP: Symbol(Box 1a): SDC210702C00009500							
06/25/2021	10.00	199.42	06/25/2021	280.55	0.00	-81.13	Sell to Close
CALL LAZR 01/29/21 36.50 LUMINAR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): LAZR210129C00036500							
01/19/2021	1.00	119.90	01/14/2021	249.08	0.00	-129.18	Sell to Close
01/19/2021	1.00	119.90	01/14/2021	249.08	0.00	-129.18	Sell to Close
Security Totals:		239.80		498.16		-258.36	
CALL TAN 03/19/21 97 INVESCO SOLAR ETF CUSIP: Symbol(Box 1a): TAN210319C00097000							
03/10/2021	1.00	319.90	03/09/2021	275.08	0.00	44.82	Sell to Close
CALL BA 06/25/21 250 BOEING CO CUSIP: Symbol(Box 1a): BA210625C00250000							
06/18/2021	1.00	100.93	06/18/2021	100.05	0.00	0.88	Sell to Close
CALL SLV 02/05/21 25 ISHARES SILVER SHARES CUSIP: Symbol(Box 1a): SLV210205C00025000							
01/28/2021	1.00	92.90	01/28/2021	91.08	0.00	1.82	Sell to Close
PUT TWTR 07/30/21 68 TWITTER INC CUSIP: Symbol(Box 1a): TWTR210730P00068000							
07/27/2021	4.00	403.76	07/27/2021	400.22	0.00	3.54	Sell to Close
CALL SNAP 07/30/21 70 SNAP INC CUSIP: Symbol(Box 1a): SNAP210730C00070000							
07/09/2021	2.00	435.87	07/09/2021	432.11	0.00	3.76	Sell to Close
CALL BLNK 04/30/21 40 BLINK CHARGING CO CUSIP: Symbol(Box 1a): BLNK210430C00040000							
04/29/2021	5.00	169.59	Various	297.39	100.32 D	-27.48	Sell to Close
04/30/2021	2.00	57.82	04/30/2021	154.48	0.00	-96.66	Sell to Close
Security Totals:		227.41		451.87		-124.14	

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SPY 03/15/21 394 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210315C00394000							
03/15/2021	1.00	118.90	03/12/2021	114.08	0.00	4.82	Sell to Close
CALL WWR 01/15/21 5 WESTWATER RESOURCES INC CUSIP: Symbol(Box 1a): WWR210115C00005000							
01/11/2021	5.00	234.58	Various	325.40	0.00	-90.82	Sell to Close
CALL PTON 05/21/21 95 PELOTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210521C00095000							
05/17/2021	1.00	189.91	05/17/2021	177.07	0.00	12.84	Sell to Close
CALL MSFT 04/16/21 250 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210416C00250000							
03/17/2021	1.00	183.90	03/16/2021	227.08	43.18 D	0.00	Sell to Close
04/01/2021	2.00	249.82	Various	247.34	0.00	2.48	Sell to Close
Security Totals:		433.72		474.42		2.48	
CALL NIO 02/05/21 70 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210205C00070000							
01/22/2021	1.00	171.90	01/21/2021	93.08	0.00	78.82	Sell to Close
01/25/2021	1.00	109.90	01/21/2021	93.08	0.00	16.82	Sell to Close
01/25/2021	1.00	177.90	01/21/2021	93.08	0.00	84.82	Sell to Close
Security Totals:		459.70		279.24		180.46	
PUT SPY 07/23/21 434 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210723P00434000							
07/22/2021	1.00	101.93	07/22/2021	129.05	0.00	-27.12	Sell to Close
CALL FB 02/12/21 272.50 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210212C00272500							
02/08/2021	1.00	133.90	02/08/2021	136.08	0.00	-2.18	Sell to Close
CALL RSI 09/17/21 20 RUSH STREET INTERACTIVE INC CUSIP: Symbol(Box 1a): RSI210917C00020000							
08/30/2021	20.00	118.89	08/27/2021	301.06	0.00	-182.17	Sell to Close
CALL RBLX 08/27/21 85 ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210827C00085000							
08/24/2021	1.00	214.93	08/23/2021	210.05	0.00	4.88	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AAPL 06/25/21 135 APPLE INC CUSIP: Symbol(Box 1a): AAPL210625C00135000							
06/21/2021	10.00	139.42	06/18/2021	250.55	0.00	-111.13	Sell to Close
CALL BIDU 04/09/21 230 BAIDU INC CUSIP: Symbol(Box 1a): BIDU210409C00230000							
04/06/2021	1.00	394.91	04/06/2021	315.07	0.00	79.84	Sell to Close
CALL AAPL 09/17/21 150 APPLE INC ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): AAPL210917C00150000							
08/23/2021	3.00	842.82	08/20/2021	831.16	0.00	11.66	Sell to Close
08/26/2021	2.00	449.87	08/26/2021	432.11	0.00	17.76	Sell to Close
08/27/2021	3.00	515.82	08/27/2021	513.16	0.00	2.66	Sell to Close
Security Totals:		1,808.51		1,776.43		32.08	
PUT TWTR 08/06/21 68 TWITTER INC CUSIP: Symbol(Box 1a): TWTR210806P00068000							
07/27/2021	5.00	884.71	07/27/2021	865.27	0.00	19.44	Sell to Close
CALL MARA 05/14/21 35 MARATHON DIGITAL HOLDINGS INC CUSIP: Symbol(Box 1a): MARA210514C00035000							
05/10/2021	1.00	43.91	05/07/2021	85.07	0.00	-41.16	Sell to Close
CALL VXX 01/15/21 17.50 IPATH SER B S&P 500 VIX SHORT CUSIP: Symbol(Box 1a): VXX210115C00017500							
01/11/2021	1.00	43.90	01/11/2021	43.08	0.00	0.82	Sell to Close
01/11/2021	1.00	43.90	01/11/2021	43.08	0.00	0.82	Sell to Close
Security Totals:		87.80		86.16		1.64	
CALL WMT 05/21/21 145 WALMART INC CUSIP: Symbol(Box 1a): WMT210521C00145000							
04/20/2021	5.00	689.59	04/16/2021	900.39	0.00	-210.80	Sell to Close
CALL DDOG 09/17/21 135 DATADOG INC CL A CUSIP: Symbol(Box 1a): DDOG210917C00135000							
08/23/2021	3.00	1,229.82	08/23/2021	1,074.16	0.00	155.66	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
SUMMER INFANT INC COMMON STOCK CUSIP: 865646301 Symbol(Box 1a): SUMR							
07/13/2021	100.00	1,267.48	07/12/2021	1,309.00	0.00	-41.52	Sale
CALL SPY 02/17/21 393 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210217C00393000							
02/17/2021	3.00	41.74	02/17/2021	57.24	0.00	-15.50	Sell to Close
PUT AMD 08/13/21 107 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210813P00107000							
08/09/2021	5.00	894.71	08/09/2021	890.27	0.00	4.44	Sell to Close
CALL PLUG 11/05/21 41 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG211105C00041000							
11/01/2021	6.00	815.73	11/01/2021	762.25	0.00	53.48	Sell to Close
CALL MU 08/27/21 72.50 MICRON TECHNOLOGY INC CUSIP: Symbol(Box 1a): MU210827C00072500							
08/25/2021	3.00	212.82	08/25/2021	246.16	0.00	-33.34	Sell to Close
CALL ORCL 03/12/21 75 ORACLE CORP CUSIP: Symbol(Box 1a): ORCL210312C00075000							
03/09/2021	2.00	163.82	Various	212.16	0.00	-48.34	Sell to Close
CALL SQ 08/13/21 300 SQUARE INC CUSIP: Symbol(Box 1a): SQ210813C00300000							
08/05/2021	3.00	611.82	08/05/2021	534.16	0.00	77.66	Sell to Close
08/05/2021	3.00	587.82	08/05/2021	585.16	0.00	2.66	Sell to Close
Security Totals:		1,199.64		1,119.32		80.32	
CALL ROKU 07/16/21 460 ROKU INC CUSIP: Symbol(Box 1a): ROKU210716C00460000							
07/12/2021	1.00	429.93	07/12/2021	441.05	0.00	-11.12	Sell to Close
CALL APHA 04/16/21 18 APHRIA INC CUSIP: Symbol(Box 1a): APHA210416C00018000							
03/31/2021	3.00	614.74	03/29/2021	477.24	0.00	137.50	Sell to Close
CALL SPY 05/19/21 415 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210519C00415000							
05/18/2021	1.00	95.91	05/18/2021	105.07	0.00	-9.16	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SPY 07/23/21 428 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210723C00428000							
07/19/2021	2.00	325.87	Various	407.10	0.00	-81.23	Sell to Close
07/19/2021	1.00	232.93	07/19/2021	214.05	0.00	18.88	Sell to Close
07/19/2021	5.00	1,129.71	07/19/2021	1,070.28	0.00	59.43	Sell to Close
Security Totals:		1,688.51		1,691.43		-2.92	
CALL MSFT 03/12/21 240 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210312C00240000							
03/09/2021	3.00	140.74	03/09/2021	213.24	0.00	-72.50	Sell to Close
CALL NFLX 09/17/21 580 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210917C00580000							
09/01/2021	1.00	804.93	09/01/2021	800.05	0.00	4.88	Sell to Close
CALL AAPL 06/18/21 130 APPLE INC ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): AAPL210618C00130000							
05/20/2021	1.00	226.92	05/20/2021	226.07	0.00	0.85	Sell to Close
CALL ATER 10/15/21 15 ATERIAN INC CUSIP: Symbol(Box 1a): ATER211015C00015000							
09/28/2021	10.00	1,413.45	Various	2,098.52	0.00	-685.07	Sell to Close
CALL AMD 08/13/21 111 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210813C00111000							
08/11/2021	1.00	77.93	08/11/2021	80.05	0.00	-2.12	Sell to Close
CALL SNAP 07/02/21 64 SNAP INC CUSIP: Symbol(Box 1a): SNAP210702C00064000							
06/23/2021	2.00	499.87	Various	383.10	0.00	116.77	Sell to Close
06/23/2021	2.00	437.87	Various	466.10	0.00	-28.23	Sell to Close
Security Totals:		937.74		849.20		88.54	
CALL BETZ 01/15/21 29 ROUNDHILL SPRTS BTTNG & IGMNG CUSIP: Symbol(Box 1a): BETZ210115C00029000							
01/12/2021	2.00	83.82	01/12/2021	84.16	0.00	-0.34	Sell to Close
CALL ACB 03/19/21 11 AURORA CANNABIS INC CUSIP: Symbol(Box 1a): ACB210319C00011000							
03/12/2021	2.00	93.82	03/11/2021	115.16	21.34 D	0.00	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL ACB 03/19/21 11 AURORA CANNABIS INC CUSIP: Symbol(Box 1a): ACB210319C00011000							
03/15/2021	5.00	314.58	Various	266.74	0.00	47.84	Sell to Close
Security Totals:		408.40		381.90		47.84	
CALL RBLX 10/29/21 85 ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX211029C00085000							
10/25/2021	4.00	415.81	Various	412.17	0.00	3.64	Sell to Close
10/25/2021	5.00	709.77	Various	554.21	0.00	155.56	Sell to Close
Security Totals:		1,125.58		966.38		159.20	
CALL LI 02/12/21 34 LI AUTO INC ADS CUSIP: Symbol(Box 1a): LI210212C00034000							
01/29/2021	1.00	230.90	01/26/2021	249.08	18.18 D	0.00	Sell to Close
02/01/2021	1.00	168.90	01/27/2021	220.26	0.00	-51.36	Sell to Close
Security Totals:		399.80		469.34		-51.36	
CALL ACB 01/15/21 11 AURORA CANNABIS INC CUSIP: Symbol(Box 1a): ACB210115C00011000							
01/13/2021	1.00	49.90	01/13/2021	45.08	0.00	4.82	Sell to Close
CALL MU 08/27/21 80 MICRON TECHNOLOGY INC CUSIP: Symbol(Box 1a): MU210827C00080000							
08/25/2021	1.00	35.93	08/25/2021	38.05	0.00	-2.12	Sell to Close
GLOBALSTAR INC CUSIP: 378973408 Symbol(Box 1a): GSAT							
03/16/2021	300.00	499.45	03/15/2021	497.52	0.00	1.93	Sale
CALL BA 06/18/21 260 BOEING CO CUSIP: Symbol(Box 1a): BA210618C00260000							
06/10/2021	2.00	319.82	Various	437.14	0.00	-117.32	Sell to Close
CALL DKNG 04/30/21 58.50 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210430C00058500							
04/26/2021	1.00	119.91	04/26/2021	137.07	0.00	-17.16	Sell to Close
CALL DKNG 04/30/21 59 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210430C00059000							
04/22/2021	2.00	265.82	04/22/2021	328.16	0.00	-62.34	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SDC 09/17/21	8	SMILEDIRECTCLUB INC CL A	CUSIP: Symbol(Box 1a): SDC210917C00008000				
09/14/2021	10.00	179.45	09/13/2021	170.52	0.00	8.93	Sell to Close
CALL TSLA 06/18/21	860	TESLA INC	CUSIP: Symbol(Box 1a): TSLA210618C00860000				
05/07/2021	1.00	394.91	05/07/2021	515.07	0.00	-120.16	Sell to Close
CALL SPCE 01/29/21	34.50	VIRGIN GALACTIC HOLDINGS INC	CUSIP: Symbol(Box 1a): SPCE210129C00034500				
01/15/2021	1.00	181.90	01/14/2021	263.08	0.00	-81.18	Sell to Close
CALL X 05/21/21	25	UNITED STATES STL CORP NEW	CUSIP: Symbol(Box 1a): X210521C00025000				
04/13/2021	6.00	497.50	04/12/2021	486.48	0.00	11.02	Sell to Close
CALL TSLA 08/27/21	720	TESLA INC	CUSIP: Symbol(Box 1a): TSLA210827C00720000				
08/25/2021	1.00	329.93	08/25/2021	330.05	0.00	-0.12	Sell to Close
08/25/2021	1.00	414.93	08/25/2021	360.05	0.00	54.88	Sell to Close
Security Totals:		744.86		690.10		54.76	
CALL ULTA 05/21/21	317.50	ULTA BEAUTY INC	CUSIP: Symbol(Box 1a): ULTA210521C00317500				
05/20/2021	1.00	264.91	05/20/2021	260.07	0.00	4.84	Sell to Close
CALL C 07/16/21	70	CITIGROUP INC	CUSIP: Symbol(Box 1a): C210716C00070000				
07/14/2021	5.00	469.71	07/14/2021	460.27	0.00	9.44	Sell to Close
FUBOTV INC		COMMON STOCK	CUSIP: 35953D104 Symbol(Box 1a): FUBO				
02/23/2021	61.00	2,239.21	Various	2,478.13	249.58 D	10.66	Sale
02/26/2021	50.00	1,695.73	02/23/2021	2,063.87	0.00	-368.14	Sale
Security Totals:		3,934.94		4,542.00		-357.48	
CALL DKNG 08/20/21	53	DRAFTKINGS INC CL A	CUSIP: Symbol(Box 1a): DKNG210820C00053000				
08/12/2021	4.00	339.77	08/11/2021	424.21	0.00	-84.44	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NIO 05/07/21 41	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210507C00041000						
04/30/2021	5.00	384.59	Various	472.39	0.00	-87.80	Sell to Close
CALL AAL 10/01/21 21	AMERICAN AIRLINES GROUP INC CUSIP: Symbol(Box 1a): AAL211001C00021000						
09/21/2021	17.00	475.07	09/21/2021	782.89	0.00	-307.82	Sell to Close
CALL ROKU 10/15/21 400	ROKU INC CUSIP: Symbol(Box 1a): ROKU211015C000400000						
09/23/2021	4.00	543.77	09/23/2021	536.21	0.00	7.56	Sell to Close
CALL NVDA 07/16/21 820	NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210716C00820000						
07/13/2021	1.00	959.93	07/13/2021	955.05	0.00	4.88	Sell to Close
CALL MSFT 01/29/21 250	MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210129C000250000						
01/26/2021	1.00	99.90	01/26/2021	100.08	0.00	-0.18	Sell to Close
CALL FB 10/29/21 325	FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB211029C000325000						
10/26/2021	1.00	183.94	10/26/2021	182.04	0.00	1.90	Sell to Close
CALL NVDA 05/21/21 617.50	NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210521C00617500						
05/21/2021	2.00	467.82	Various	402.14	0.00	65.68	Sell to Close
CALL BBIG 09/17/21 15	VINCO VENTURES INC CUSIP: Symbol(Box 1a): BBIG210917C00015000						
09/09/2021	3.00	305.82	09/08/2021	543.16	0.00	-237.34	Sell to Close
CALL FSLY 10/29/21 50	FASTLY INC CLASS A CUSIP: Symbol(Box 1a): FSLY211029C00050000						
10/25/2021	3.00	299.85	10/25/2021	216.13	0.00	83.72	Sell to Close
10/25/2021	1.00	95.94	10/25/2021	100.04	0.00	-4.10	Sell to Close
Security Totals:		395.79		316.17		79.62	
CALL CRM 06/04/21 240	SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM210604C00240000						
06/01/2021	2.00	205.82	06/01/2021	272.16	0.00	-66.34	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL BTCM 09/17/21 15 BIT MINING LIMITED ADS CUSIP: Symbol(Box 1a): BTCM210917C00015000							
09/02/2021	3.00	449.82	09/02/2021	225.16	0.00	224.66	Sell to Close
09/03/2021	6.00	479.67	09/03/2021	480.31	0.00	-0.64	Sell to Close
09/03/2021	3.00	404.82	09/02/2021	225.15	0.00	179.67	Sell to Close
Security Totals:		1,334.31		930.62		403.69	
CALL V 03/19/21 230 VISA INC CUSIP: Symbol(Box 1a): V210319C00230000							
03/09/2021	2.00	191.82	Various	405.16	0.00	-213.34	Sell to Close
PUT XOM 01/08/21 40 EXXON MOBIL CORP CUSIP: Symbol(Box 1a): XOM210108P00040000							
01/05/2021	15.00	73.75	01/05/2021	91.21	0.00	-17.46	Sell to Close
CALL SPCE 01/29/21 35 VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210129C00035000							
01/22/2021	1.00	121.90	01/21/2021	150.08	0.00	-28.18	Sell to Close
CALL SPY 05/28/21 410 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210528C00410000							
05/19/2021	1.00	392.91	05/19/2021	378.07	0.00	14.84	Sell to Close
CALL AAPL 04/09/21 127 APPLE INC CUSIP: Symbol(Box 1a): AAPL210409C00127000							
04/07/2021	3.00	347.75	04/07/2021	300.23	0.00	47.52	Sell to Close
CALL KPLT 09/17/21 9 KATAPULT HOLDINGS INC CUSIP: Symbol(Box 1a): KPLT210917C00009000							
09/07/2021	10.00	499.45	09/03/2021	400.52	0.00	98.93	Sell to Close
CALL ROKU 08/20/21 360 ROKU INC CUSIP: Symbol(Box 1a): ROKU210820C00360000							
08/18/2021	1.00	359.93	08/18/2021	360.05	0.00	-0.12	Sell to Close
CALL UPS 07/16/21 220 UNITED PARCEL SVC INC CUSIP: Symbol(Box 1a): UPS210716C00220000							
06/09/2021	2.00	135.82	06/09/2021	156.16	0.00	-20.34	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMD 06/18/21 80 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210618C00080000							
05/21/2021	1.00	184.91	05/21/2021	190.07	5.16 D	0.00	Sell to Close
05/26/2021	1.00	157.91	05/26/2021	165.23	0.00	-7.32	Sell to Close
Security Totals:		342.82		355.30		-7.32	
CALL FB 04/23/21 305 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210423C00305000							
04/20/2021	1.00	179.91	04/19/2021	288.07	0.00	-108.16	Sell to Close
CALL F 08/20/21 15 FORD MOTOR COMPANY CUSIP: Symbol(Box 1a): F210820C00015000							
07/12/2021	10.00	659.42	07/12/2021	660.55	1.13 D	0.00	Sell to Close
07/15/2021	25.00	1,023.57	Various	1,372.50	0.00	-348.93	Sell to Close
Security Totals:		1,682.99		2,033.05		-348.93	
CALL AAL 08/20/21 21 AMERICAN AIRLINES GROUP INC CUSIP: Symbol(Box 1a): AAL210820C00021000							
07/19/2021	25.00	1,048.57	07/15/2021	2,351.37	0.00	-1,302.80	Sell to Close
CALL DKNG 03/12/21 68 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210312C00068000							
03/10/2021	1.00	151.90	03/10/2021	114.08	0.00	37.82	Sell to Close
CALL DKNG 08/20/21 52.50 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210820C00052500							
08/12/2021	1.00	102.94	08/12/2021	106.05	0.00	-3.11	Sell to Close
CALL MU 01/08/21 80 MICRON TECHNOLOGY INC CUSIP: Symbol(Box 1a): MU210108C00080000							
01/05/2021	1.00	226.90	01/05/2021	187.08	0.00	39.82	Sell to Close
CALL ZM 01/15/21 412.50 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210115C00412500							
01/15/2021	1.00	134.90	01/15/2021	200.08	0.00	-65.18	Sell to Close
CALL PLUG 10/29/21 35 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG211029C00035000							
10/25/2021	4.00	299.81	Various	300.17	0.00	-0.36	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

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01/31/2022

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL CLF 07/16/21 22 CLEVELAND CLIFFS INC CUSIP: Symbol(Box 1a): CLF210716C00022000							
06/30/2021	5.00	419.71	06/29/2021	450.27	0.00	-30.56	Sell to Close
CALL MP 02/19/21 40 MP MATERIALS CORPORATION CL A CUSIP: Symbol(Box 1a): MP210219C00040000							
02/16/2021	3.00	449.74	Various	316.24	0.00	133.50	Sell to Close
CALL AAPL 03/12/21 123 APPLE INC CUSIP: Symbol(Box 1a): AAPL210312C00123000							
03/08/2021	5.00	229.59	03/08/2021	390.40	0.00	-160.81	Sell to Close
CALL FB 04/16/21 315 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210416C00315000							
04/12/2021	2.00	401.82	04/12/2021	472.16	70.34 D	0.00	Sell to Close
04/13/2021	2.00	461.82	04/13/2021	530.50	0.00	-68.68	Sell to Close
Security Totals:		863.64		1,002.66		-68.68	
CALL ROKU 08/20/21 420 ROKU INC CUSIP: Symbol(Box 1a): ROKU210820C00420000							
07/15/2021	1.00	2,454.92	07/15/2021	2,545.05	0.00	-90.13	Sell to Close
CALL SPY 01/11/21 382 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210111C00382000							
01/11/2021	4.00	61.66	01/11/2021	64.32	0.00	-2.66	Sell to Close
CALL SPY 02/05/21 388 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210205C00388000							
02/03/2021	2.00	57.82	02/02/2021	78.16	0.00	-20.34	Sell to Close
CALL DKNG 04/01/21 73 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210401C00073000							
03/22/2021	1.00	288.90	03/22/2021	232.08	0.00	56.82	Sell to Close
CALL ANY 09/17/21 13 SPHERE 3D CORP CUSIP: Symbol(Box 1a): ANY210917C00013000							
09/02/2021	2.00	279.88	09/02/2021	432.10	0.00	-152.22	Sell to Close
CALL SPY 03/22/21 390 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210322C00390000							
03/19/2021	1.00	172.90	03/19/2021	198.08	0.00	-25.18	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL WKHS 04/16/21 25 WORKHORSE GROUP INC CUSIP: Symbol(Box 1a): WKHS210416C00025000							
03/11/2021	1.00	99.91	03/11/2021	96.08	0.00	3.83	Sell to Close
CALL ANY 09/17/21 15 SPHERE 3D CORP CUSIP: Symbol(Box 1a): ANY210917C00015000							
09/02/2021	1.00	109.93	09/02/2021	135.05	25.12 D	0.00	Sell to Close
09/02/2021	5.00	949.72	Various	975.38	0.00	-25.66	Sell to Close
Security Totals:		1,059.65		1,110.43		-25.66	
CALL DS 06/18/21 5 DRIVE SHACK INC CUSIP: Symbol(Box 1a): DS210618C00005000							
06/14/2021	33.00	559.11	06/14/2021	265.81	0.00	293.30	Sell to Close
CALL AMAT 08/20/21 130 APPLIED MATERIALS INC CUSIP: Symbol(Box 1a): AMAT210820C00130000							
08/13/2021	3.00	1,049.82	Various	980.16	0.00	69.66	Sell to Close
CALL ANY 09/17/21 11 SPHERE 3D CORP CUSIP: Symbol(Box 1a): ANY210917C00011000							
09/03/2021	3.00	179.82	Various	360.15	0.00	-180.33	Sell to Close
CALL BNGO 11/19/21 6 BIONANO GENOMICS INC CUSIP: Symbol(Box 1a): BNGO211119C00006000							
10/27/2021	25.00	448.88	10/26/2021	501.06	0.00	-52.18	Sell to Close
CALL PLUG 07/02/21 32.50 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210702C00032500							
06/25/2021	1.00	100.93	06/25/2021	112.05	0.00	-11.12	Sell to Close
CALL BABA 03/05/21 295 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210305C00295000							
02/16/2021	1.00	233.90	02/12/2021	188.08	0.00	45.82	Sell to Close
CALL PLUG 01/15/21 40 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210115C00040000							
01/04/2021	7.00	258.42	Various	498.56	0.00	-240.14	Sell to Close
CALL SPCE 02/12/21 56.50 VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210212C00056500							
02/10/2021	1.00	153.90	02/09/2021	203.08	0.00	-49.18	Sell to Close
CALL VXX 02/05/21 20 IPATH SER B S&P 500 VIX SHORT CUSIP: Symbol(Box 1a): VXX210205C00020000							
01/28/2021	1.00	133.90	01/28/2021	132.08	0.00	1.82	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
01/29/2021	1.00	159.90	01/28/2021	125.08	0.00	34.82	Sell to Close
Security Totals:		293.80		257.16		36.64	
CALL LI 02/05/21	35.50	LI AUTO INC ADS CUSIP: Symbol(Box 1a): LI210205C00035500					
01/29/2021	1.00	110.90	01/29/2021	120.08	0.00	-9.18	Sell to Close
CALL LI 02/05/21	35	LI AUTO INC ADS CUSIP: Symbol(Box 1a): LI210205C00035000					
02/02/2021	6.00	107.50	02/01/2021	294.48	0.00	-186.98	Sell to Close
CALL SLV 01/29/21	25.50	ISHARES SILVER SHARES CUSIP: Symbol(Box 1a): SLV210129C00025500					
01/29/2021	1.00	31.90	01/29/2021	34.08	0.00	-2.18	Sell to Close
CALL ECVT 09/17/21	14.30	ECOVYST INC ADJ CASH DISTRIBUTION CUSIP: Symbol(Box 1a): ECVT210917C00014300					
08/30/2021	5.00	99.71	08/30/2021	100.27	0.56 D	0.00	Sell to Close
08/31/2021	25.00	498.61	Various	501.89	1.09 D	-2.19	Sell to Close
08/31/2021	10.00	199.44	08/31/2021	201.62	0.00	-2.18	Sell to Close
Security Totals:		797.76		803.78		-4.37	
CALL AAPL 08/20/21	150	APPLE INC CUSIP: Symbol(Box 1a): AAPL210820C00150000					
08/13/2021	8.00	871.55	08/13/2021	992.42	75.54 D	-45.33	Sell to Close
08/13/2021	5.00	519.71	08/13/2021	595.81	0.00	-76.10	Sell to Close
Security Totals:		1,391.26		1,588.23		-121.43	
CALL NIO 03/05/21	70	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210305C00070000					
02/22/2021	5.00	469.57	Various	989.40	0.00	-519.83	Sell to Close
CALL SPCE 06/18/21	28	VIRGIN GALACTIC HOLDINGS INC CUSIP: Symbol(Box 1a): SPCE210618C00028000					
05/26/2021	1.00	249.91	05/26/2021	238.07	0.00	11.84	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL PYPL 04/16/21	275	PAYPAL HOLDINGS INC CUSIP: Symbol(Box 1a): PYPL210416C00275000					
04/12/2021	3.00	485.75	04/12/2021	447.23	0.00	38.52	Sell to Close
CALL NIO 07/30/21	44.50	NIO INC ADS CUSIP: Symbol(Box 1a): NIO210730C00044500					
07/26/2021	1.00	87.93	07/26/2021	98.05	0.00	-10.12	Sell to Close
ZYNGA INC	CLASS A CUSIP: 98986T108 Symbol(Box 1a): ZNGA						
03/17/2021	1.00	10.11	03/15/2021	10.28	0.00	-0.17	Sale
CALL SPRT 10/15/21	25	SUPPORT.COM INC CUSIP: Symbol(Box 1a): SPRT211015C00025000					
09/13/2021	1.00	449.93	09/10/2021	540.05	0.00	-90.12	Sell to Close
CALL TWTR 05/21/21	55	TWITTER INC CUSIP: Symbol(Box 1a): TWTR210521C00055000					
05/03/2021	3.00	578.75	05/03/2021	396.23	0.00	182.52	Sell to Close
05/04/2021	1.00	209.91	05/03/2021	191.07	0.00	18.84	Sell to Close
Security Totals:		788.66		587.30		201.36	
CALL RBLX 05/21/21	76	ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210521C00076000					
05/20/2021	1.00	148.91	05/20/2021	142.07	0.00	6.84	Sell to Close
CALL RBLX 06/18/21	100	ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210618C00100000					
06/11/2021	2.00	249.87	06/11/2021	304.11	0.00	-54.24	Sell to Close
CALL WWR 02/19/21	7.50	WESTWATER RESOURCES INC CUSIP: Symbol(Box 1a): WWR210219C00007500					
02/01/2021	2.00	219.82	Various	250.16	0.00	-30.34	Sell to Close
CALL SNAP 10/08/21	73	SNAP INC CUSIP: Symbol(Box 1a): SNAP211008C00073000					
10/05/2021	5.00	729.77	10/05/2021	725.21	0.00	4.56	Sell to Close
CALL RBLX 06/18/21	95	ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210618C00095000					
06/09/2021	2.00	655.82	06/08/2021	636.16	0.00	19.66	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5MZ48000

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL RBLX 06/18/21 95 ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210618C00095000							
06/11/2021	1.00	339.93	06/11/2021	305.05	0.00	34.88	Sell to Close
06/14/2021	2.00	391.87	Various	466.10	0.00	-74.23	Sell to Close
Security Totals:		1,387.62		1,407.31		-19.69	
Totals:		552,126.33		565,584.78		-8,849.51	

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APEX CLEARING

Miscellaneous Information - Details of Form 1099-MISC

2021

5MZ48000

01/31/2022

This section of the information statement provides payment-level details for royalty distributions and substitute payments in lieu of dividends and interest that are reported in aggregate on Form 1099-MISC. Links to tax information booklets for royalty trusts relevant to your account, if any, are provided at the end of this information statement.

Description | CUSIP | Symbol

Date	Royalties(Box 2)	Other Income(Box 3)	Substitute Payments in Lieu of Dividends or Interest (Box 8)
GENWORTH FINANCIAL INC	COM CL A CUSIP: 37247D106 Symbol: GNW		
07/30/2021	0.00	6.68	0.00
ZYNGA INC	CLASS A CUSIP: 98986T108 Symbol: ZNGA		
03/15/2021	0.00	10.28	0.00
OPKO HEALTH INC CUSIP: 68375N103 Symbol: OPK			
07/30/2021	0.00	6.88	0.00
FORD MOTOR CO	PAR \$0.01 CUSIP: 345370860 Symbol: F		
03/15/2021	0.00	13.20	0.00
Totals:	0.00	37.04	0.00

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2021 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 or 1040-SR.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable of USRPI.

Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States.

See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 or 104-SR.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 11. See the Instructions for Form 6251.

Boxes 13-15. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 and 1040-SR to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. **Note:** This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040 and 1040-SR. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting also is required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040)(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D (Form 1040), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2020.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2021 in 2022.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.

Box 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14–16. Shows state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Amounts shown may be subject to self-employment (SE) tax. Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the proper line of their tax returns.

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your income correctly.

Box 1. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.

Box 2. Report royalties from oil, gas, or mineral properties; copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040 or Form 1040-SR) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Box 3. Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Box 5. Shows the amount paid to a fishing boat crew member who is considered by the operator to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.

Box 6. For individuals, report on Schedule C (Form 1040).

Box 7. If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).

Box 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Box 9. Report this amount on Schedule F (Form 1040).

Box 10. Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.

Box 12. May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A, plus any earnings on current and prior year deferrals.

Box 13. Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.

Box 14. Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.

Box 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

1099-OID Instructions for Recipient

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID

obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

Box 1. Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.

Box 2. Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest

and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Form 1040 and 1040-SR.

Box 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 and 1040-SR to see where to take the deduction.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that

accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you. For a covered

security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR).

If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. **Note:** This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to

amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has

reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security

acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12–14. State income tax withheld reporting.

Future developments. For the latest information about developments related to Form 1099-OID and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099OID.

