

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 140671710	Statement Date: 02/11/2022 Document ID: HV4B Q05 ZG6A	2021
	Venkatesh Karanam 2719 Tropical Point 831 Fort Worth, TX 76131 RECIPIENT'S TIN: XXX-XX-7260		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.15</p> <p>1b- Qualified dividends 0.15</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	246,730.64	235,748.55	0.00	4,257.02	15,239.11
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	33.26	81.63	0.00	0.00	-48.37
	Total Short-term	246,763.90	235,830.18	0.00	4,257.02	15,190.74
Long	D (basis reported to the IRS)	49.40	137.84	0.00	0.00	-88.44
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	49.40	137.84	0.00	0.00	-88.44
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	246,813.30	235,968.02	0.00	4,257.02	15,102.30
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	7.17
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	55.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 140671710

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APXT 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
04/16/21	3.000	0.00	02/04/21	675.00	...	-675.00	Option expiration- long call
FTFT 02/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	104.99	02/08/21	55.00	...	49.99	1 of 2 - Option sale to close-call ²⁵
	1.000	104.99	02/08/21	55.00	...	49.99	2 of 2 - Option sale to close-call ²⁵
02/09/21	2.000	209.98	Various	110.00	...	99.98	Total of 2 transactions
5 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	3.000	317.99	02/09/21	219.00	...	98.99	1 of 5 - Option sale to close-call ²⁵
	3.000	317.99	02/09/21	162.00	...	155.99	2 of 5 - Option sale to close-call ²⁵
	3.000	317.99	02/10/21	120.00	...	197.99	3 of 5 - Option sale to close-call ²⁵
	3.000	317.98	02/10/21	105.00	...	212.98	4 of 5 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FTFT 02/19/2021 CALL \$7.50 / CUSIP: / Symbol: (cont'd)							
	1.000	169.98	02/12/21	120.00	...	49.98	5 of 5 - Option sale to close-call ²⁵
02/12/21	13.000	1,441.93	Various	726.00	...	715.93	Total of 5 transactions
	Security total:	1,651.91		836.00	...	815.91	
GNOG 05/21/2021 CALL \$20.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	02/04/21	410.00	...	-410.00	Option expiration- long call
JDST 02/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
02/17/21	7.000	419.98	02/05/21	315.00	...	104.98	Option sale to close-call ²⁵
NAK 02/19/2021 CALL \$0.50 / CUSIP: / Symbol:							
02/08/21	1.000	21.98	02/04/21	21.00	...	0.98	Option sale to close-call ²⁵
NIO 04/09/2021 CALL \$37.50 / CUSIP: / Symbol:							
03/09/21	1.000	669.98	03/09/21	425.00	...	244.98	Option sale to close-call ²⁵
NKLA 02/12/2021 CALL \$22.50 / CUSIP: / Symbol:							
	2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	1.000	113.98	02/09/21	67.00	...	46.98	1 of 2 - Option sale to close-call ²⁵
	3.000	341.98	02/09/21	201.00	...	140.98	2 of 2 - Option sale to close-call ²⁵
02/09/21	4.000	455.96	Various	268.00	...	187.96	Total of 2 transactions
NKLA 02/19/2021 CALL \$22.00 / CUSIP: / Symbol:							
02/19/21	3.000	0.00	02/12/21	294.00	...	-294.00	Option expiration- long call
PLTR 03/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/19/21	2.000	0.00	02/19/21	580.00	...	-580.00	Option expiration- long call
PLTR 03/26/2021 CALL \$26.50 / CUSIP: / Symbol:							
03/26/21	4.000	0.00	02/23/21	1,340.00	...	-1,340.00	Option expiration- long call
RTP 04/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
04/16/21	2.000	0.00	02/25/21	300.00	...	-300.00	Option expiration- long call
SENS 04/16/2021 CALL \$4.50 / CUSIP: / Symbol:							
04/16/21	5.000	0.00	02/22/21	645.00	...	-645.00	Option expiration- long call

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2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SENS 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	02/22/21	375.00	...	-375.00	1 of 2 - Option expiration- long call
	2.000	0.00	02/22/21	246.00	...	-246.00	2 of 2 - Option expiration- long call
04/16/21	5.000	0.00	Various	621.00	...	-621.00	Total of 2 transactions
SKLZ 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/04/21	276.00	...	-276.00	Option expiration- long call
SKLZ 02/19/2021 CALL \$45.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/08/21	300.00	...	-300.00	Option expiration- long call
SNDL 02/26/2021 CALL \$2.00 / CUSIP: / Symbol:							
02/26/21	5.000	0.00	02/17/21	125.00	...	-125.00	Option expiration- long call
SNDL 02/26/2021 CALL \$2.50 / CUSIP: / Symbol:							
02/26/21	3.000	0.00	02/12/21	189.00	...	-189.00	Option expiration- long call
SNDL 04/16/2021 CALL \$1.50 / CUSIP: / Symbol:							
4 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	1.000	59.99	02/19/21	45.00	...	14.99	1 of 4 - Option sale to close-call ²⁵
	1.000	59.99	02/19/21	45.00	...	14.99	2 of 4 - Option sale to close-call ²⁵
	1.000	59.99	02/19/21	45.00	...	14.99	3 of 4 - Option sale to close-call ²⁵
	2.000	119.99	02/19/21	90.00	...	29.99	4 of 4 - Option sale to close-call ²⁵
02/25/21	5.000	299.96	Various	225.00	...	74.96	Total of 4 transactions
SNDL 04/16/2021 CALL \$2.00 / CUSIP: / Symbol:							
4 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	02/19/21	100.00	...	-100.00	1 of 4 - Option expiration- long call
	1.000	0.00	02/19/21	50.00	...	-50.00	2 of 4 - Option expiration- long call
	1.000	0.00	02/19/21	50.00	...	-50.00	3 of 4 - Option expiration- long call
	1.000	0.00	02/19/21	50.00	...	-50.00	4 of 4 - Option expiration- long call
04/16/21	5.000	0.00	Various	250.00	...	-250.00	Total of 4 transactions
UAVS 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/19/21	3.000	0.00	02/18/21	600.00	...	-600.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 04/16/2021 CALL \$17.00 / CUSIP: / Symbol:							
03/11/21	1.000	314.98	03/01/21	315.00	...	-0.02	Option sale to close-call ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
5 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	0.426	4.83	12/07/20	1.47	...	3.36	1 of 5 - Sale ²⁵
	84.879	962.49	12/07/20	294.53	...	667.96	2 of 5 - Sale ²⁵
	0.166	1.89	12/16/20	0.46	...	1.43	3 of 5 - Sale ²⁵
	0.406	3.66	12/16/20	1.12	...	2.54	4 of 5 - Sale ²⁵
	35.529	402.88	12/16/20	98.42	...	304.46	5 of 5 - Sale ²⁵
01/28/21	121.406	1,375.75	Various	396.00	...	979.75	Total of 5 transactions
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
02/04/21	270.000	215.08	02/03/21	190.35	...	24.73	Sale ²⁵
2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	400.000	294.55	02/26/21	283.00	...	11.55	1 of 2 - Sale ²⁵
	100.000	73.64	03/05/21	56.18	...	17.46	2 of 2 - Sale ²⁵
03/15/21	500.000	368.19	Various	339.18	...	29.01	Total of 2 transactions
4 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	288.000	212.08	02/26/21	214.99	2.91 W	0.00	1 of 4 - Sale ²⁵
	312.000	229.75	02/26/21	232.91	3.16 W	0.00	2 of 4 - Sale ²⁵
	700.000	515.46	02/26/21	522.55	7.09 W	0.00	3 of 4 - Sale ²⁵
	700.000	515.46	02/26/21	529.64	14.18 W	0.00	4 of 4 - Sale ²⁵
03/15/21	2,000.000	1,472.75	Various	1,500.09	27.34 W	0.00	Total of 4 transactions
33 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	100.000	52.26	04/19/21	43.05	...	9.21	1 of 33 - Sale ²⁵
	100.000	52.26	04/19/21	43.05	...	9.21	2 of 33 - Sale ²⁵
	100.000	52.26	04/19/21	43.04	...	9.22	3 of 33 - Sale ²⁵
	100.000	52.27	04/19/21	43.05	...	9.22	4 of 33 - Sale ²⁵
	100.000	52.27	04/19/21	43.05	...	9.22	5 of 33 - Sale ²⁵
	100.000	52.27	04/19/21	43.05	...	9.22	6 of 33 - Sale ²⁵
	1,006.000	525.71	04/19/21	432.98	...	92.73	7 of 33 - Sale ²⁵
	287.000	149.98	05/25/21	176.15	...	-26.17	8 of 33 - Sale ²⁵
	200.000	104.52	05/25/21	126.43	...	-21.91	9 of 33 - Sale ²⁵
	199.000	103.99	05/25/21	125.86	...	-21.87	10 of 33 - Sale ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol: (cont'd)							
	175.000	91.45	05/25/21	106.43	...	-14.98	11 of 33 - Sale ²⁵
	99.000	51.73	05/25/21	60.19	...	-8.46	12 of 33 - Sale ²⁵
	81.000	42.33	05/25/21	50.52	...	-8.19	13 of 33 - Sale ²⁵
	101.000	52.78	05/25/21	60.37	...	-7.59	14 of 33 - Sale ²⁵
	74.000	38.67	05/25/21	46.17	...	-7.50	15 of 33 - Sale ²⁵
	94.000	49.12	05/25/21	56.18	...	-7.06	16 of 33 - Sale ²⁵
	27.000	14.11	05/25/21	17.07	...	-2.96	17 of 33 - Sale ²⁵
	25.000	13.06	05/25/21	15.59	...	-2.53	18 of 33 - Sale ²⁵
	19.000	9.93	05/25/21	12.33	...	-2.40	19 of 33 - Sale ²⁵
	25.000	13.06	05/25/21	14.94	...	-1.88	20 of 33 - Sale ²⁵
	12.000	6.27	05/25/21	7.37	...	-1.10	21 of 33 - Sale ²⁵
	6.000	3.14	05/25/21	3.65	...	-0.51	22 of 33 - Sale ²⁵
	1.000	0.52	05/25/21	0.69	...	-0.17	23 of 33 - Sale ²⁵
	1.000	0.52	05/25/21	0.65	...	-0.13	24 of 33 - Sale ²⁵
	228.000	119.15	05/25/21	113.54	...	5.61	25 of 33 - Sale ²⁵
	291.000	152.07	05/25/21	144.92	...	7.15	26 of 33 - Sale ²⁵
	374.000	195.44	05/25/21	186.59	...	8.85	27 of 33 - Sale ²⁵
	261.000	136.40	06/01/21	162.54	...	-26.14	28 of 33 - Sale ²⁵
	100.000	52.26	06/01/21	59.93	...	-7.67	29 of 33 - Sale ²⁵
	100.000	52.26	06/01/21	59.93	...	-7.67	30 of 33 - Sale ²⁵
	75.000	39.19	06/01/21	46.71	...	-7.52	31 of 33 - Sale ²⁵
	39.000	20.38	06/01/21	24.27	...	-3.89	32 of 33 - Sale ²⁵
	425.000	222.10	06/01/21	207.99	...	14.11	33 of 33 - Sale ²⁵
06/02/21	4,925.000	2,573.73	Various	2,578.28	...	-4.55	Total of 33 transactions
32 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	0.52	03/15/21	0.76	0.24 W	0.00	1 of 32 - Sale ²⁵
	1.000	0.52	03/19/21	0.69	0.17 W	0.00	2 of 32 - Sale ²⁵
	12.000	6.28	03/19/21	8.32	2.04 W	0.00	3 of 32 - Sale ²⁵
	39.000	20.40	03/19/21	26.54	6.14 W	0.00	4 of 32 - Sale ²⁵
	99.000	51.77	03/19/21	68.38	16.61 W	0.00	5 of 32 - Sale ²⁵
	100.000	52.27	03/19/21	68.06	15.79 W	0.00	6 of 32 - Sale ²⁵
	101.000	52.82	03/19/21	68.74	15.92 W	0.00	7 of 32 - Sale ²⁵
	101.000	52.82	03/19/21	71.00	18.18 W	0.00	8 of 32 - Sale ²⁵
	200.000	104.54	03/19/21	138.15	33.61 W	0.00	9 of 32 - Sale ²⁵

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Robinhood Securities LLC

Account 140671710

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol: (cont'd)							
	200.000	104.60	03/19/21	136.12	31.52 W	0.00	10 of 32 - Sale ²⁵
	200.000	104.60	03/19/21	140.59	35.99 W	0.00	11 of 32 - Sale ²⁵
	261.000	136.42	03/19/21	177.64	41.22 W	0.00	12 of 32 - Sale ²⁵
	287.000	150.09	03/19/21	198.83	48.74 W	0.00	13 of 32 - Sale ²⁵
	399.000	208.55	03/19/21	280.48	71.93 W	0.00	14 of 32 - Sale ²⁵
	1.000	0.52	05/03/21	0.72	0.20 W	0.00	15 of 32 - Sale ²⁵
	12.000	6.27	05/03/21	7.75	1.48 W	0.00	16 of 32 - Sale ²⁵
	101.000	52.78	05/03/21	66.25	13.47 W	0.00	17 of 32 - Sale ²⁵
	200.000	104.52	05/03/21	131.17	26.65 W	0.00	18 of 32 - Sale ²⁵
	287.000	149.98	05/03/21	185.32	35.34 W	0.00	19 of 32 - Sale ²⁵
	399.000	208.51	05/03/21	261.81	53.30 W	0.00	20 of 32 - Sale ²⁵
	1.000	0.52	05/07/21	0.68	0.16 W	0.00	21 of 32 - Sale ²⁵
	19.000	9.93	05/07/21	12.94	3.01 W	0.00	22 of 32 - Sale ²⁵
	99.000	51.73	05/10/21	63.35	11.62 W	0.00	23 of 32 - Sale ²⁵
	101.000	52.78	05/10/21	63.60	10.82 W	0.00	24 of 32 - Sale ²⁵
	119.000	62.19	05/10/21	74.93	12.74 W	0.00	25 of 32 - Sale ²⁵
	181.000	94.59	05/10/21	115.87	21.28 W	0.00	26 of 32 - Sale ²⁵
	39.000	20.38	05/25/21	25.56	5.18 W	0.00	27 of 32 - Sale ²⁵
	81.000	42.33	05/25/21	53.11	10.78 W	0.00	28 of 32 - Sale ²⁵
	100.000	52.26	05/25/21	63.25	10.99 W	0.00	29 of 32 - Sale ²⁵
	100.000	52.26	05/25/21	63.25	10.99 W	0.00	30 of 32 - Sale ²⁵
	100.000	52.26	05/25/21	65.59	13.33 W	0.00	31 of 32 - Sale ²⁵
	261.000	136.39	05/25/21	171.20	34.81 W	0.00	32 of 32 - Sale ²⁵
06/02/21	4,202.000	2,196.40	Various	2,810.65	614.25 W	0.00	Total of 32 transactions
	Security total:	6,826.15		7,418.55	641.59 W	49.19	
ADAMIS PHARMACEUTICALS CORPORA TION COMMON STOCK / CUSIP: 00547W208 / Symbol:							
2 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	93.000	99.50	11/24/20	36.96	...	62.54	1 of 2 - Sale ²⁵
	807.000	863.37	11/24/20	320.70	...	542.67	2 of 2 - Sale ²⁵
01/20/21	900.000	962.87	Various	357.66	...	605.21	Total of 2 transactions
AGENUS INC. COMMON STOCK / CUSIP: 00847G705 / Symbol:							
02/02/21	1.000	4.24	01/25/21	3.44	...	0.80	Sale ²⁵

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Account 140671710

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	0.710	86.81	01/28/21	99.99	...	-13.18	1 of 2 - Sale ²⁵
	0.000	0.01	01/28/21	0.01	...	0.00	2 of 2 - Sale ²⁵
03/18/21	0.710	86.82	Various	100.00	...	-13.18	Total of 2 transactions
ATLAS CREST INVESTMENT CORP. / CUSIP: 049284102 / Symbol:							
03/19/21	1.000	10.36	02/16/21	14.78	...	-4.42	Sale ²⁵
BELLUS HEALTH INC. COMMON SHAR ES / CUSIP: 07987C204 / Symbol:							
4 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	20.000	81.00	07/08/20	60.10	...	20.90	1 of 4 - Sale ²⁵
	50.000	202.50	07/08/20	153.25	...	49.25	2 of 4 - Sale ²⁵
	20.000	81.00	07/23/20	51.30	...	29.70	3 of 4 - Sale ²⁵
	30.000	121.49	07/23/20	77.85	...	43.64	4 of 4 - Sale ²⁵
03/10/21	120.000	485.99	Various	342.50	...	143.49	Total of 4 transactions
BOMBARDIER INC. CLASS B SHARES (CANADA) / CUSIP: 097751200 / Symbol:							
4 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	75.000	35.99	02/12/21	36.86	...	-0.87	1 of 4 - Sale ²⁵
	373.000	179.00	02/17/21	186.46	...	-7.46	2 of 4 - Sale ²⁵
	127.000	60.95	02/17/21	63.49	...	-2.54	3 of 4 - Sale ²⁵
	299.000	143.48	02/18/21	136.79	...	6.69	4 of 4 - Sale ²⁵
03/04/21	874.000	419.42	Various	423.60	...	-4.18	Total of 4 transactions
03/04/21	1.000	0.48	02/11/21	0.49	0.01 W	0.00	Sale ²⁵
04/06/21	1.000	0.78	02/18/21	0.47	...	0.31	Sale ²⁵
	Security total:	420.68		424.56	0.01 W	-3.87	
CPS TECHNOLOGIES CORP. COMMON STOCK / CUSIP: 12619F104 / Symbol:							
13 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	38.349	963.69	02/04/21	997.09	...	-33.40	1 of 13 - Sale ²⁵
	0.022	0.54	02/04/21	0.51	...	0.03	2 of 13 - Sale ²⁵
	0.112	2.82	02/04/21	2.75	...	0.07	3 of 13 - Sale ²⁵
	0.150	3.76	02/04/21	3.56	...	0.20	4 of 13 - Sale ²⁵
	0.325	8.18	02/04/21	7.29	...	0.89	5 of 13 - Sale ²⁵

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(continued)

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CPS TECHNOLOGIES CORP. COMMON STOCK / CUSIP: 12619F104 / Symbol: (cont'd)							
	0.340	8.53	02/04/21	7.57	...	0.96	6 of 13 - Sale ²⁵
	0.992	24.93	02/04/21	23.78	...	1.15	7 of 13 - Sale ²⁵
	1.695	42.59	02/04/21	40.00	...	2.59	8 of 13 - Sale ²⁵
	4.228	106.25	02/04/21	99.49	...	6.76	9 of 13 - Sale ²⁵
	4.848	121.84	02/04/21	100.00	...	21.84	10 of 13 - Sale ²⁵
	0.472	11.87	02/05/21	9.55	...	2.32	11 of 13 - Sale ²⁵
	1.467	36.87	02/05/21	30.00	...	6.87	12 of 13 - Sale ²⁵
	1.999	50.23	02/05/21	40.45	...	9.78	13 of 13 - Sale ²⁵
02/12/21	55.000	1,382.10	Various	1,362.04	...	20.06	Total of 13 transactions
02/12/21	0.112	2.82	02/04/21	2.91	0.09 W	0.00	Sale ²⁵
	Security total:	1,384.92		1,364.95	0.09 W	20.06	
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
4 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	200.000	16,109.89	10/19/21	9,907.50	...	6,202.39	1 of 4 - Sale ²⁵
	10.000	805.49	10/20/21	456.23	...	349.26	2 of 4 - Sale ²⁵
	20.000	1,610.99	10/20/21	920.00	...	690.99	3 of 4 - Sale ²⁵
	50.000	4,027.48	10/27/21	2,268.00	...	1,759.48	4 of 4 - Sale ²⁵
11/04/21	280.000	22,553.85	Various	13,551.73	...	9,002.12	Total of 4 transactions
CATABASIS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14875P206 / Symbol:							
6 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	70.936	237.66	02/02/21	238.92	...	-1.26	1 of 6 - Sale ²⁵
	2.972	9.96	02/02/21	10.00	...	-0.04	2 of 6 - Sale ²⁵
	0.298	1.00	02/02/21	1.00	...	0.00	3 of 6 - Sale ²⁵
	0.322	1.08	02/02/21	1.08	...	0.00	4 of 6 - Sale ²⁵
	0.349	1.17	02/04/21	1.15	...	0.02	5 of 6 - Sale ²⁵
	30.000	100.51	02/04/21	98.85	...	1.66	6 of 6 - Sale ²⁵
02/05/21	104.877	351.38	Various	351.00	...	0.38	Total of 6 transactions
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol:							
5 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	50.000	1,290.49	03/15/21	1,299.00	...	-8.51	1 of 5 - Sale ²⁵
	50.000	1,290.49	03/15/21	1,286.50	...	3.99	2 of 5 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol: (cont'd)							
	50.000	1,290.49	03/15/21	1,285.50	...	4.99	3 of 5 - Sale ²⁵
	50.000	1,290.48	03/15/21	1,276.00	...	14.48	4 of 5 - Sale ²⁵
	10.000	258.10	03/16/21	253.90	...	4.20	5 of 5 - Sale ²⁵
03/31/21	210.000	5,420.05	Various	5,400.90	...	19.15	Total of 5 transactions
CLEAN ENERGY FUELS CORP. COMMO N STOCK / CUSIP: 184499101 / Symbol:							
4 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	0.021	0.24	06/08/21	0.20	...	0.04	1 of 4 - Sale ²⁵
	0.306	3.51	06/08/21	2.94	...	0.57	2 of 4 - Sale ²⁵
	52.576	603.73	06/08/21	500.00	...	103.73	3 of 4 - Sale ²⁵
	519.424	5,964.44	06/08/21	4,996.86	...	967.58	4 of 4 - Sale ²⁵
06/09/21	572.327	6,571.92	Various	5,500.00	...	1,071.92	Total of 4 transactions
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
4 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
	8.000	179.52	03/16/21	176.71	...	2.81	1 of 4 - Sale ²⁵
	42.000	942.47	03/16/21	927.70	...	14.77	2 of 4 - Sale ²⁵
	50.000	1,121.98	03/16/21	1,103.50	...	18.48	3 of 4 - Sale ²⁵
	100.000	2,243.98	03/16/21	2,210.00	...	33.98	4 of 4 - Sale ²⁵
03/17/21	200.000	4,487.95	Various	4,417.91	...	70.04	Total of 4 transactions
DIREXION DAILY SMALL CAP BEAR 3X SHARES / CUSIP: 25460E232 / Symbol:							
03/18/21	1.000	30.91	11/30/20	69.64	...	-38.73	Sale ²⁵
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
6 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.990	97.03	07/01/20	125.89	...	-28.86	1 of 6 - Sale ²⁵
	0.010	0.47	07/01/20	0.61	...	-0.14	2 of 6 - Sale ²⁵
	0.001	0.06	07/01/20	0.04	...	0.02	3 of 6 - Sale ²⁵
	1.000	48.75	07/01/20	35.21	...	13.54	4 of 6 - Sale ²⁵
	2.000	97.50	07/01/20	80.16	...	17.34	5 of 6 - Sale ²⁵
	0.009	0.41	12/31/20	0.33	...	0.08	6 of 6 - Sale ²⁵
02/02/21	5.010	244.22	Various	242.24	...	1.98	Total of 6 transactions

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(continued)

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DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
02/18/21	1.000	11.78	08/04/20	10.32	...	1.46	Sale ²⁵
	25 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	0.480	5.65	06/19/20	13.68	8.03 W	0.00	1 of 25 - Sale ²⁵
	0.480	5.65	06/19/20	14.51	8.86 W	0.00	2 of 25 - Sale ²⁵
	0.520	6.13	06/19/20	13.41	7.28 W	0.00	3 of 25 - Sale ²⁵
	0.920	10.84	06/19/20	29.20	18.36 W	0.00	4 of 25 - Sale ²⁵
	1.000	11.78	06/19/20	28.96	17.18 W	0.00	5 of 25 - Sale ²⁵
	1.000	11.78	06/19/20	30.05	18.27 W	0.00	6 of 25 - Sale ²⁵
	1.000	11.78	06/19/20	32.06	20.28 W	0.00	7 of 25 - Sale ²⁵
	1.000	11.78	06/19/20	37.25	25.47 W	0.00	8 of 25 - Sale ²⁵
	1.000	11.78	06/19/20	38.16	26.38 W	0.00	9 of 25 - Sale ²⁵
	1.000	11.78	06/19/20	40.20	28.42 W	0.00	10 of 25 - Sale ²⁵
	1.000	11.78	06/19/20	40.85	29.07 W	0.00	11 of 25 - Sale ²⁵
	1.080	12.72	06/19/20	40.74	28.02 W	0.00	12 of 25 - Sale ²⁵
	1.520	17.91	06/19/20	47.47	29.56 W	0.00	13 of 25 - Sale ²⁵
	2.000	23.56	06/19/20	54.35	30.79 W	0.00	14 of 25 - Sale ²⁵
	2.000	23.56	06/19/20	57.27	33.71 W	0.00	15 of 25 - Sale ²⁵
	3.000	35.34	06/19/20	86.04	50.70 W	0.00	16 of 25 - Sale ²⁵
	4.000	47.12	06/19/20	114.83	67.71 W	0.00	17 of 25 - Sale ²⁵
	26.000	306.27	06/19/20	545.63	239.36 W	0.00	18 of 25 - Sale ²⁵
	1.000	11.78	06/22/20	44.63	32.85 W	0.00	19 of 25 - Sale ²⁵
	9.000	106.02	06/22/20	171.50	65.48 W	0.00	20 of 25 - Sale ²⁵
	7.000	82.46	06/23/20	126.28	43.82 W	0.00	21 of 25 - Sale ²⁵
	1.000	11.78	06/30/20	17.00	5.22 W	0.00	22 of 25 - Sale ²⁵
	3.000	35.34	06/30/20	51.00	15.66 W	0.00	23 of 25 - Sale ²⁵
	10.000	117.79	07/23/20	123.19	5.40 W	0.00	24 of 25 - Sale ²⁵
	19.000	223.81	11/24/20	256.02	32.21 W	0.00	25 of 25 - Sale ²⁵
02/18/21	99.000	1,166.19	Various	2,054.28	888.09 W	0.00	Total of 25 transactions
	8 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	20.000	234.81	01/04/21	167.80	...	67.01	1 of 8 - Sale ²⁵
	0.151	1.77	02/01/21	1.47	...	0.30	2 of 8 - Sale ²⁵
	4.175	49.02	02/01/21	47.68	...	1.34	3 of 8 - Sale ²⁵
	14.825	174.05	02/01/21	169.45	...	4.60	4 of 8 - Sale ²⁵
	5.720	67.15	02/01/21	55.68	...	11.47	5 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 140671710

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol: (cont'd)							
	10.000	117.41	02/01/21	102.65	...	14.76	6 of 8 - Sale ²⁵
	20.536	241.10	02/01/21	200.00	...	41.10	7 of 8 - Sale ²⁵
	43.593	511.81	02/01/21	426.34	...	85.47	8 of 8 - Sale ²⁵
02/19/21	119.000	1,397.12	Various	1,171.07	...	226.05	Total of 8 transactions
	33 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	11.000	129.15	11/24/20	148.22	19.07 W	0.00	1 of 33 - Sale ²⁵
	0.920	10.80	01/28/21	27.93	17.13 W	0.00	2 of 33 - Sale ²⁵
	1.000	11.74	01/28/21	27.26	15.52 W	0.00	3 of 33 - Sale ²⁵
	1.000	11.74	01/28/21	27.58	15.84 W	0.00	4 of 33 - Sale ²⁵
	1.000	11.74	01/28/21	35.87	24.13 W	0.00	5 of 33 - Sale ²⁵
	1.000	11.74	01/28/21	36.78	25.04 W	0.00	6 of 33 - Sale ²⁵
	1.000	11.74	01/28/21	38.82	27.08 W	0.00	7 of 33 - Sale ²⁵
	1.000	11.74	01/28/21	39.47	27.73 W	0.00	8 of 33 - Sale ²⁵
	1.080	12.68	01/28/21	39.25	26.57 W	0.00	9 of 33 - Sale ²⁵
	2.000	23.48	01/28/21	51.59	28.11 W	0.00	10 of 33 - Sale ²⁵
	0.008	0.10	02/01/21	0.23	0.13 W	0.00	11 of 33 - Sale ²⁵
	0.035	0.41	02/01/21	0.99	0.58 W	0.00	12 of 33 - Sale ²⁵
	0.035	0.41	02/01/21	0.67	0.26 W	0.00	13 of 33 - Sale ²⁵
	0.038	0.45	02/01/21	0.73	0.28 W	0.00	14 of 33 - Sale ²⁵
	0.052	0.61	02/01/21	1.40	0.79 W	0.00	15 of 33 - Sale ²⁵
	0.480	5.64	02/01/21	12.64	7.00 W	0.00	16 of 33 - Sale ²⁵
	0.480	5.64	02/01/21	13.47	7.83 W	0.00	17 of 33 - Sale ²⁵
	0.520	6.11	02/01/21	12.46	6.35 W	0.00	18 of 33 - Sale ²⁵
	0.566	6.64	02/01/21	9.04	2.40 W	0.00	19 of 33 - Sale ²⁵
	0.957	11.23	02/01/21	26.66	15.43 W	0.00	20 of 33 - Sale ²⁵
	1.000	11.74	02/01/21	14.95	3.21 W	0.00	21 of 33 - Sale ²⁵
	1.000	11.74	02/01/21	26.45	14.71 W	0.00	22 of 33 - Sale ²⁵
	1.000	11.74	02/01/21	30.25	18.51 W	0.00	23 of 33 - Sale ²⁵
	1.000	11.74	02/01/21	42.59	30.85 W	0.00	24 of 33 - Sale ²⁵
	1.520	17.85	02/01/21	44.71	26.86 W	0.00	25 of 33 - Sale ²⁵
	1.825	21.42	02/01/21	29.17	7.75 W	0.00	26 of 33 - Sale ²⁵
	3.000	35.22	02/01/21	44.83	9.61 W	0.00	27 of 33 - Sale ²⁵
	3.000	35.22	02/01/21	80.61	45.39 W	0.00	28 of 33 - Sale ²⁵
	3.948	46.35	02/01/21	106.19	59.84 W	0.00	29 of 33 - Sale ²⁵

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Robinhood Securities LLC

Account 140671710

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol: (cont'd)							
	4.610	54.12	02/01/21	73.75	19.63 W	0.00	30 of 33 - Sale ²⁵
	5.927	69.58	02/01/21	112.28	42.70 W	0.00	31 of 33 - Sale ²⁵
	9.000	105.66	02/01/21	153.13	47.47 W	0.00	32 of 33 - Sale ²⁵
	20.000	234.81	02/01/21	382.88	148.07 W	0.00	33 of 33 - Sale ²⁵
02/19/21	81.000	950.98	Various	1,692.85	741.87 W	0.00	Total of 33 transactions
	35 transactions for 02/26/21. Total proceeds and cost reported to the IRS.						
	20.000	247.04	02/01/21	343.87	...	-96.83	1 of 35 - Sale ²⁵
	3.948	48.76	02/01/21	98.49	...	-49.73	2 of 35 - Sale ²⁵
	3.000	37.06	02/01/21	74.76	...	-37.70	3 of 35 - Sale ²⁵
	1.000	12.35	02/01/21	37.52	...	-25.17	4 of 35 - Sale ²⁵
	1.000	12.35	02/01/21	36.87	...	-24.52	5 of 35 - Sale ²⁵
	9.000	111.17	02/01/21	135.63	...	-24.46	6 of 35 - Sale ²⁵
	2.000	24.70	02/01/21	47.69	...	-22.99	7 of 35 - Sale ²⁵
	1.520	18.77	02/01/21	41.74	...	-22.97	8 of 35 - Sale ²⁵
	1.000	12.35	02/01/21	34.83	...	-22.48	9 of 35 - Sale ²⁵
	1.000	12.35	02/01/21	28.30	...	-15.95	10 of 35 - Sale ²⁵
	3.382	41.77	02/01/21	57.47	...	-15.70	11 of 35 - Sale ²⁵
	1.000	12.35	02/01/21	25.63	...	-13.28	12 of 35 - Sale ²⁵
	0.957	11.82	02/01/21	24.80	...	-12.98	13 of 35 - Sale ²⁵
	1.000	12.35	02/01/21	25.31	...	-12.96	14 of 35 - Sale ²⁵
	1.000	12.35	02/01/21	24.50	...	-12.15	15 of 35 - Sale ²⁵
	2.446	30.22	02/01/21	41.59	...	-11.37	16 of 35 - Sale ²⁵
	4.610	56.94	02/01/21	64.78	...	-7.84	17 of 35 - Sale ²⁵
	0.480	5.93	02/01/21	12.53	...	-6.60	18 of 35 - Sale ²⁵
	0.480	5.93	02/01/21	11.70	...	-5.77	19 of 35 - Sale ²⁵
	0.520	6.42	02/01/21	11.44	...	-5.02	20 of 35 - Sale ²⁵
	1.825	22.54	02/01/21	25.62	...	-3.08	21 of 35 - Sale ²⁵
	3.000	37.05	02/01/21	39.00	...	-1.95	22 of 35 - Sale ²⁵
	0.566	6.99	02/01/21	7.94	...	-0.95	23 of 35 - Sale ²⁵
	0.052	0.64	02/01/21	1.30	...	-0.66	24 of 35 - Sale ²⁵
	1.000	12.35	02/01/21	13.00	...	-0.65	25 of 35 - Sale ²⁵
	0.035	0.43	02/01/21	0.92	...	-0.49	26 of 35 - Sale ²⁵
	0.099	1.22	02/01/21	1.67	...	-0.45	27 of 35 - Sale ²⁵
	0.038	0.47	02/01/21	0.65	...	-0.18	28 of 35 - Sale ²⁵

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Robinhood Securities LLC

Account 140671710

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol: (cont'd)							
	0.035	0.44	02/01/21	0.60	...	-0.16	29 of 35 - Sale ²⁵
	0.008	0.10	02/01/21	0.21	...	-0.11	30 of 35 - Sale ²⁵
	0.099	1.22	02/01/21	1.13	...	0.09	31 of 35 - Sale ²⁵
	3.520	43.48	02/01/21	40.56	...	2.92	32 of 35 - Sale ²⁵
	7.382	91.17	02/01/21	84.99	...	6.18	33 of 35 - Sale ²⁵
	20.419	252.21	02/01/21	200.00	...	52.21	34 of 35 - Sale ²⁵
	28.502	352.05	02/01/21	279.18	...	72.87	35 of 35 - Sale ²⁵
02/26/21	125.921	1,555.34	Various	1,876.22	...	-320.88	Total of 35 transactions
	Security total:	5,081.41		6,804.74	1,629.96 W	-93.37	
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
03/19/21	250.000	2,042.75	03/18/21	2,041.95	...	0.80	Sale ²⁵
ELECTRAMECCANICA VEHICLES CORP. LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
02/04/21	1.000	8.96	01/12/21	8.90	...	0.06	Sale ²⁵
10/18/21	1,000.000	3,429.86	10/14/21	3,380.00	...	49.86	Sale ²⁵
	Security total:	3,438.82		3,388.90	...	49.92	
FISKER INC. / CUSIP: 33813J106 / Symbol:							
02/09/21	10.000	156.45	01/28/21	155.80	...	0.65	Sale ²⁵
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
4 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	0.012	0.63	02/01/21	0.61	...	0.02	1 of 4 - Sale ²⁵
	0.301	15.98	02/01/21	15.59	...	0.39	2 of 4 - Sale ²⁵
	4.000	212.62	02/01/21	207.76	...	4.86	3 of 4 - Sale ²⁵
	14.941	794.19	02/01/21	776.04	...	18.15	4 of 4 - Sale ²⁵
02/04/21	19.254	1,023.42	Various	1,000.00	...	23.42	Total of 4 transactions
THE GEO GROUP, INC. / CUSIP: 36162J106 / Symbol:							
2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	100.000	989.98	06/09/21	846.95	...	143.03	1 of 2 - Sale ²⁵
	500.000	4,949.91	06/09/21	4,300.00	...	649.91	2 of 2 - Sale ²⁵
06/09/21	600.000	5,939.89	Various	5,146.95	...	792.94	Total of 2 transactions

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(continued)

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GENESIS HEALTHCARE, INC. / CUSIP: 37185X106 / Symbol:							
02/01/21	1.000	0.71	01/29/21	0.69	...	0.02	Sale ²⁵
GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol:							
<i>3 transactions for 03/16/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	16.25	01/25/21	10.06	...	6.19	1 of 3 - Sale ²⁵
	400.000	325.04	01/25/21	191.40	...	133.64	2 of 3 - Sale ²⁵
	40.000	32.50	01/26/21	19.07	...	13.43	3 of 3 - Sale ²⁵
03/16/21	460.000	373.79	Various	220.53	...	153.26	Total of 3 transactions
<i>8 transactions for 03/19/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	7.82	01/26/21	4.77	...	3.05	1 of 8 - Sale ²⁵
	90.000	70.39	03/18/21	70.11	...	0.28	2 of 8 - Sale ²⁵
	200.000	156.52	03/18/21	155.80	...	0.72	3 of 8 - Sale ²⁵
	200.000	156.52	03/18/21	155.80	...	0.72	4 of 8 - Sale ²⁵
	200.000	156.52	03/18/21	155.80	...	0.72	5 of 8 - Sale ²⁵
	200.000	156.52	03/18/21	155.80	...	0.72	6 of 8 - Sale ²⁵
	200.000	156.52	03/18/21	155.80	...	0.72	7 of 8 - Sale ²⁵
	900.000	704.31	03/18/21	701.10	...	3.21	8 of 8 - Sale ²⁵
03/19/21	2,000.000	1,565.12	Various	1,554.98	...	10.14	Total of 8 transactions
05/07/21	10.000	7.25	03/18/21	7.79	...	-0.54	Sale ²⁵
	Security total:	1,946.16		1,783.30	...	162.86	
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
02/01/21	0.586	9.76	01/29/21	10.00	...	-0.24	Sale ²⁵
IBIO, INC. / CUSIP: 451033203 / Symbol:							
<i>4 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>							
	0.747	1.77	12/09/20	0.96	...	0.81	1 of 4 - Sale ²⁵
	542.480	1,286.34	12/09/20	699.04	...	587.30	2 of 4 - Sale ²⁵
	0.601	1.42	12/10/20	0.70	...	0.72	3 of 4 - Sale ²⁵
	19.949	47.31	12/10/20	23.30	...	24.01	4 of 4 - Sale ²⁵
02/04/21	563.777	1,336.84	Various	724.00	...	612.84	Total of 4 transactions
03/10/21	540.000	893.31	03/09/21	852.61	...	40.70	Sale ²⁵
	Security total:	2,230.15		1,576.61	...	653.54	

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(continued)

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
02/01/21	1.000	4.04	01/12/21	2.92	...	1.12	Sale ²⁵
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
4 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	3.000	51.69	01/04/21	26.75	...	24.94	1 of 4 - Sale ²⁵
	8.000	137.84	01/04/21	86.08	...	51.76	2 of 4 - Sale ²⁵
	12.000	206.77	01/04/21	143.16	...	63.61	3 of 4 - Sale ²⁵
	1.000	17.04	01/27/21	12.62	...	4.42	4 of 4 - Sale ²⁵
02/01/21	24.000	413.34	Various	268.61	...	144.73	Total of 4 transactions
3 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	12.000	206.76	08/07/20	242.52	35.76 W	0.00	1 of 3 - Sale ²⁵
	57.000	982.13	08/10/20	1,085.28	1.81 W	-101.34	2 of 3 - Sale ²⁵
	8.000	137.84	08/10/20	152.32	14.48 W	0.00	3 of 3 - Sale ²⁵
02/01/21	77.000	1,326.73	Various	1,480.12	52.05 W	-101.34	Total of 3 transactions
04/23/21	100.000	665.24	04/23/21	665.74	...	-0.50	Sale ²⁵
	Security total:	2,405.31		2,414.47	52.05 W	42.89	
INSPIREMD, INC. / CUSIP: 45779A853 / Symbol:							
02/01/21	50.000	35.23	01/27/21	34.00	...	1.23	Sale ²⁵
INTRUSION INC. COMMON STOCK / CUSIP: 46121E205 / Symbol:							
10 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	3.000	51.63	04/19/21	49.52	...	2.11	1 of 10 - Sale ²⁵
	10.000	172.10	05/03/21	176.25	...	-4.15	2 of 10 - Sale ²⁵
	5.000	86.05	05/03/21	86.50	...	-0.45	3 of 10 - Sale ²⁵
	5.000	86.05	05/03/21	85.39	...	0.66	4 of 10 - Sale ²⁵
	5.000	86.04	05/03/21	79.88	...	6.16	5 of 10 - Sale ²⁵
	2.000	34.42	05/28/21	29.86	...	4.56	6 of 10 - Sale ²⁵
	10.000	172.09	05/28/21	142.54	...	29.55	7 of 10 - Sale ²⁵
	13.000	223.73	05/28/21	194.09	...	29.64	8 of 10 - Sale ²⁵
	10.000	172.10	05/28/21	142.35	...	29.75	9 of 10 - Sale ²⁵
	15.000	258.15	05/28/21	223.04	...	35.11	10 of 10 - Sale ²⁵
06/02/21	78.000	1,342.36	Various	1,209.42	...	132.94	Total of 10 transactions

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(continued)

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INTRUSION INC. COMMON STOCK / CUSIP: 46121E205 / Symbol: (cont'd)							
<i>7 transactions for 06/02/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	172.30	04/15/21	194.09	21.79 W	0.00	1 of 7 - Sale ²⁵
	10.000	172.30	04/15/21	197.35	25.05 W	0.00	2 of 7 - Sale ²⁵
	50.000	861.49	04/15/21	1,008.25	146.76 W	0.00	3 of 7 - Sale ²⁵
	10.000	172.10	04/16/21	176.10	4.00 W	0.00	4 of 7 - Sale ²⁵
	10.000	172.30	04/16/21	176.10	3.80 W	0.00	5 of 7 - Sale ²⁵
	20.000	344.59	04/16/21	366.10	21.51 W	0.00	6 of 7 - Sale ²⁵
	50.000	860.49	05/03/21	911.21	15.22 W	-35.50	7 of 7 - Sale ²⁵
06/02/21	160.000	2,755.57	Various	3,029.20	238.13 W	-35.50	Total of 7 transactions
	Security total:	4,097.93		4,238.62	238.13 W	97.44	
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
02/01/21	1.000	3.97	01/28/21	4.18	...	-0.21	Sale ²⁵
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
<i>3 transactions for 02/01/21. Total proceeds and cost reported to the IRS.</i>							
	0.007	0.18	02/01/21	0.18	...	0.00	1 of 3 - Sale ²⁵
	0.216	5.83	02/01/21	5.81	...	0.02	2 of 3 - Sale ²⁵
	36.993	996.64	02/01/21	994.01	...	2.63	3 of 3 - Sale ²⁵
02/01/21	37.216	1,002.65	Various	1,000.00	...	2.65	3- Proceeds from collectibles [X] Total of 3 transactions
IT TECH PACKAGING, INC. / CUSIP: 46527C100 / Symbol:							
<i>3 transactions for 03/01/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	20.25	02/26/21	19.63	...	0.62	1 of 3 - Sale ²⁵
	45.000	36.44	02/26/21	33.99	...	2.45	2 of 3 - Sale ²⁵
	130.000	105.28	02/26/21	98.18	...	7.10	3 of 3 - Sale ²⁵
03/01/21	200.000	161.97	Various	151.80	...	10.17	Total of 3 transactions
03/01/21	25.000	20.25	02/24/21	21.00	0.75 W	0.00	Sale ²⁵
	Security total:	182.22		172.80	0.75 W	10.17	
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
02/01/21	1.000	25.40	01/28/21	25.05	...	0.35	Sale ²⁵

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Robinhood Securities LLC

Account 140671710

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
03/17/21	100.000	4,286.12	03/17/21	4,258.00	...	28.12	Sale ²⁵
NRX PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 629444100 / Symbol:							
<i>8 transactions for 10/21/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	11.30	10/15/21	11.00	...	0.30	1 of 8 - Sale ²⁵
	1.000	11.30	10/15/21	11.00	...	0.30	2 of 8 - Sale ²⁵
	1.000	11.30	10/15/21	11.00	...	0.30	3 of 8 - Sale ²⁵
	21.000	237.30	10/15/21	231.00	...	6.30	4 of 8 - Sale ²⁵
	40.000	451.99	10/15/21	440.00	...	11.99	5 of 8 - Sale ²⁵
	80.000	903.98	10/15/21	886.40	...	17.58	6 of 8 - Sale ²⁵
	120.000	1,355.98	10/15/21	1,329.60	...	26.38	7 of 8 - Sale ²⁵
	300.000	3,389.94	10/15/21	3,333.00	...	56.94	8 of 8 - Sale ²⁵
10/21/21	564.000	6,373.09	Various	6,253.00	...	120.09	Total of 8 transactions
<i>6 transactions for 10/27/21. Total proceeds and cost reported to the IRS.</i>							
	182.000	1,921.88	10/21/21	1,978.38	...	-56.50	1 of 6 - Sale ²⁵
	17.000	175.61	10/21/21	184.45	...	-8.84	2 of 6 - Sale ²⁵
	18.000	185.94	10/21/21	190.80	...	-4.86	3 of 6 - Sale ²⁵
	15.000	158.40	10/21/21	163.05	...	-4.65	4 of 6 - Sale ²⁵
	68.000	718.07	10/21/21	720.80	...	-2.73	5 of 6 - Sale ²⁵
	35.000	369.59	10/22/21	340.21	...	29.38	6 of 6 - Sale ²⁵
10/27/21	335.000	3,529.49	Various	3,577.69	...	-48.20	Total of 6 transactions
<i>2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.</i>							
	283.000	2,923.33	10/21/21	2,999.80	62.69 W	-13.78	1 of 2 - Sale ²⁵
	17.000	175.61	10/21/21	179.86	4.25 W	0.00	2 of 2 - Sale ²⁵
10/27/21	300.000	3,098.94	Various	3,179.66	66.94 W	-13.78	Total of 2 transactions
Security total:		13,001.52		13,010.35	66.94 W	58.11	
NEOS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 64052L106 / Symbol:							
03/11/21	100.000	89.58	03/01/21	89.00	...	0.58	Sale ²⁵
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol:							
02/23/21	0.200	0.33	02/17/21	0.41	...	-0.08	Sale ²⁵

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Robinhood Securities LLC

Account 140671710

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol:							
6 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	5.000	3.44	01/12/21	2.09	...	1.35	1 of 6 - Sale ²⁵
	30.000	20.61	01/12/21	12.48	...	8.13	2 of 6 - Sale ²⁵
	400.000	274.87	01/12/21	171.02	...	103.85	3 of 6 - Sale ²⁵
	300.000	206.15	01/20/21	151.50	...	54.65	4 of 6 - Sale ²⁵
	300.000	206.15	01/20/21	147.67	...	58.48	5 of 6 - Sale ²⁵
	20.000	13.74	01/27/21	8.75	...	4.99	6 of 6 - Sale ²⁵
03/10/21	1,055.000	724.96	Various	493.51	...	231.45	Total of 6 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
7 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	1.000	9.81	02/23/21	7.90	...	1.91	1 of 7 - Sale ²⁵
	7.000	68.67	02/23/21	55.28	...	13.39	2 of 7 - Sale ²⁵
	92.000	902.50	02/23/21	726.60	...	175.90	3 of 7 - Sale ²⁵
	100.000	980.98	02/23/21	789.78	...	191.20	4 of 7 - Sale ²⁵
	200.000	1,961.96	02/23/21	1,576.00	...	385.96	5 of 7 - Sale ²⁵
	100.000	980.98	02/26/21	776.00	...	204.98	6 of 7 - Sale ²⁵
	200.000	1,959.97	02/26/21	1,552.00	...	407.97	7 of 7 - Sale ²⁵
02/26/21	700.000	6,864.87	Various	5,483.56	...	1,381.31	Total of 7 transactions
03/11/21	100.000	1,013.99	03/09/21	842.00	...	171.99	Sale ²⁵
03/16/21	100.000	917.48	03/09/21	842.00	...	75.48	Sale ²⁵
03/19/21	10.000	87.42	03/10/21	81.95	...	5.47	Sale ²⁵
3 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	40.000	349.67	03/19/21	353.58	3.91 W	0.00	1 of 3 - Sale ²⁵
	50.000	437.10	03/19/21	441.50	4.40 W	0.00	2 of 3 - Sale ²⁵
	150.000	1,311.28	03/19/21	1,336.50	25.22 W	0.00	3 of 3 - Sale ²⁵
03/19/21	240.000	2,098.05	Various	2,131.58	33.53 W	0.00	Total of 3 transactions
14 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	10.000	96.00	03/19/21	90.08	...	5.92	1 of 14 - Sale ²⁵
	10.000	96.00	03/19/21	87.83	...	8.17	2 of 14 - Sale ²⁵
	10.000	96.00	03/19/21	87.53	...	8.47	3 of 14 - Sale ²⁵
	10.000	96.00	03/19/21	86.95	...	9.05	4 of 14 - Sale ²⁵
	30.000	288.00	03/19/21	263.78	...	24.22	5 of 14 - Sale ²⁵

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Account 140671710

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	40.000	383.99	03/19/21	353.33	...	30.66	6 of 14 - Sale ²⁵
	40.000	383.99	03/19/21	350.92	...	33.07	7 of 14 - Sale ²⁵
	100.000	959.98	03/19/21	882.81	...	77.17	8 of 14 - Sale ²⁵
	20.000	192.00	03/23/21	164.00	...	28.00	9 of 14 - Sale ²⁵
	1.000	9.60	03/31/21	6.93	...	2.67	10 of 14 - Sale ²⁵
	99.000	950.38	03/31/21	686.02	...	264.36	11 of 14 - Sale ²⁵
	100.000	959.98	03/31/21	692.95	...	267.03	12 of 14 - Sale ²⁵
	100.000	959.98	03/31/21	692.95	...	267.03	13 of 14 - Sale ²⁵
	4.000	38.40	04/13/21	27.86	...	10.54	14 of 14 - Sale ²⁵
04/22/21	574.000	5,510.30	Various	4,473.94	...	1,036.36	Total of 14 transactions
06/02/21	1,000.000	8,754.83	06/02/21	8,070.00	...	684.83	Sale ²⁵
6 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	32.000	246.11	06/10/21	216.16	...	29.95	1 of 6 - Sale ²⁵
	68.000	522.98	06/10/21	459.34	...	63.64	2 of 6 - Sale ²⁵
	100.000	769.09	06/10/21	677.00	...	92.09	3 of 6 - Sale ²⁵
	100.000	769.10	06/10/21	675.50	...	93.60	4 of 6 - Sale ²⁵
	200.000	1,538.19	06/10/21	1,354.00	...	184.19	5 of 6 - Sale ²⁵
	200.000	1,538.19	06/10/21	1,351.00	...	187.19	6 of 6 - Sale ²⁵
07/01/21	700.000	5,383.66	Various	4,733.00	...	650.66	Total of 6 transactions
4 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	200.000	1,539.97	09/16/21	1,460.00	...	79.97	1 of 4 - Sale ²⁵
	200.000	1,539.96	09/16/21	1,457.86	...	82.10	2 of 4 - Sale ²⁵
	300.000	2,309.94	09/16/21	2,190.00	...	119.94	3 of 4 - Sale ²⁵
	300.000	2,309.94	09/16/21	2,190.00	...	119.94	4 of 4 - Sale ²⁵
09/17/21	1,000.000	7,699.81	Various	7,297.86	...	401.95	Total of 4 transactions
9 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	60.000	467.99	09/28/21	429.00	...	38.99	1 of 9 - Sale ²⁵
	100.000	779.98	09/28/21	730.00	...	49.98	2 of 9 - Sale ²⁵
	200.000	1,559.97	09/28/21	1,460.00	...	99.97	3 of 9 - Sale ²⁵
	200.000	1,559.97	09/28/21	1,460.00	...	99.97	4 of 9 - Sale ²⁵
	5.000	39.00	09/29/21	34.10	...	4.90	5 of 9 - Sale ²⁵
	35.000	272.99	10/04/21	237.65	...	35.34	6 of 9 - Sale ²⁵
	200.000	1,559.97	10/04/21	1,354.00	...	205.97	7 of 9 - Sale ²⁵

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(continued)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	100.000	779.98	10/05/21	684.82	...	95.16	8 of 9 - Sale ²⁵
	100.000	779.99	10/05/21	683.82	...	96.17	9 of 9 - Sale ²⁵
10/11/21	1,000.000	7,799.84	Various	7,073.39	...	726.45	Total of 9 transactions
	Security total:	46,130.25		41,029.28	33.53 W	5,134.50	
ONCONOVA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 68232V405 / Symbol:							
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	100.000	83.88	01/20/21	75.90	...	7.98	1 of 2 - Sale ²⁵
	100.000	83.88	01/25/21	69.81	...	14.07	2 of 2 - Sale ²⁵
02/03/21	200.000	167.76	Various	145.71	...	22.05	Total of 2 transactions
POLARITYTE, INC. COMMON STOCK / CUSIP: 731094108 / Symbol:							
5 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	50.000	47.12	01/06/21	37.73	...	9.39	1 of 5 - Sale ²⁵
	100.000	94.24	01/20/21	103.00	...	-8.76	2 of 5 - Sale ²⁵
	100.000	94.24	01/25/21	100.00	...	-5.76	3 of 5 - Sale ²⁵
	1,000.000	942.35	01/28/21	949.60	...	-7.25	4 of 5 - Sale ²⁵
	1,000.000	942.35	01/28/21	940.00	...	2.35	5 of 5 - Sale ²⁵
02/01/21	2,250.000	2,120.30	Various	2,130.33	...	-10.03	Total of 5 transactions
ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	10.000	700.43	03/10/21	698.40	...	2.03	1 of 2 - Sale ²⁵
	20.000	1,400.85	03/10/21	1,299.40	...	101.45	2 of 2 - Sale ²⁵
03/12/21	30.000	2,101.28	Various	1,997.80	...	103.48	Total of 2 transactions
SANGAMO THERAPEUTICS, INC. COMMON STOCK / CUSIP: 800677106 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	0.847	12.01	02/02/21	11.90	...	0.11	1 of 2 - Sale ²⁵
	2.000	28.35	02/02/21	28.10	...	0.25	2 of 2 - Sale ²⁵
02/04/21	2.847	40.36	Various	40.00	...	0.36	Total of 2 transactions
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
03/11/21	100.000	313.99	03/04/21	313.00	...	0.99	Sale ²⁵

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(continued)

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
<i>9 transactions for 09/08/21. Total proceeds and cost reported to the IRS.</i>							
	40.000	28.95	02/10/21	117.13	88.18 W	0.00	1 of 9 - Sale ²⁵
	4.000	2.90	02/12/21	7.76	4.86 W	0.00	2 of 9 - Sale ²⁵
	300.000	217.16	02/12/21	624.00	406.84 W	0.00	3 of 9 - Sale ²⁵
	20.000	14.48	02/17/21	36.40	21.92 W	0.00	4 of 9 - Sale ²⁵
	50.000	36.19	02/19/21	74.50	38.31 W	0.00	5 of 9 - Sale ²⁵
	100.000	72.39	02/19/21	128.99	56.60 W	0.00	6 of 9 - Sale ²⁵
	200.000	144.77	02/23/21	256.00	111.23 W	0.00	7 of 9 - Sale ²⁵
	100.000	72.39	03/12/21	144.81	72.42 W	0.00	8 of 9 - Sale ²⁵
	186.000	134.64	03/23/21	230.34	95.70 W	0.00	9 of 9 - Sale ²⁵
09/08/21	1,000.000	723.87	Various	1,619.93	896.06 W	0.00	Total of 9 transactions
SYNTHETIC BIOLOGICS, INC. / CUSIP: 87164U201 / Symbol:							
<i>4 transactions for 03/12/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	91.30	02/18/21	89.20	...	2.10	1 of 4 - Sale ²⁵
	1,000.000	912.97	02/19/21	885.00	...	27.97	2 of 4 - Sale ²⁵
	200.000	182.59	02/23/21	146.90	...	35.69	3 of 4 - Sale ²⁵
	200.000	182.59	03/11/21	139.72	...	42.87	4 of 4 - Sale ²⁵
03/12/21	1,500.000	1,369.45	Various	1,260.82	...	108.63	Total of 4 transactions
03/12/21	150.000	136.95	02/10/21	152.72	1.05 W	-14.72	Sale ²⁵
<i>37 transactions for 05/05/21. Total proceeds and cost reported to the IRS.</i>							
	1,410.000	719.63	05/03/21	769.72	...	-50.09	1 of 37 - Sale ²⁵
	800.000	411.58	05/03/21	436.72	...	-25.14	2 of 37 - Sale ²⁵
	800.000	411.58	05/03/21	436.72	...	-25.14	3 of 37 - Sale ²⁵
	800.000	411.58	05/03/21	436.72	...	-25.14	4 of 37 - Sale ²⁵
	739.000	380.20	05/03/21	403.42	...	-23.22	5 of 37 - Sale ²⁵
	661.000	339.54	05/03/21	360.84	...	-21.30	6 of 37 - Sale ²⁵
	590.000	303.54	05/03/21	322.08	...	-18.54	7 of 37 - Sale ²⁵
	500.000	257.24	05/03/21	272.95	...	-15.71	8 of 37 - Sale ²⁵
	500.000	257.24	05/03/21	272.95	...	-15.71	9 of 37 - Sale ²⁵
	500.000	257.24	05/03/21	272.95	...	-15.71	10 of 37 - Sale ²⁵
	500.000	257.24	05/03/21	272.95	...	-15.71	11 of 37 - Sale ²⁵
	210.000	108.04	05/03/21	121.24	...	-13.20	12 of 37 - Sale ²⁵
	90.000	45.93	05/03/21	53.22	...	-7.29	13 of 37 - Sale ²⁵

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Robinhood Securities LLC

Account 140671710

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SYNTHETIC BIOLOGICS, INC. / CUSIP: 87164U201 / Symbol: (cont'd)							
	80.000	40.83	05/03/21	47.80	...	-6.97	14 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	57.74	...	-6.29	15 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	57.73	...	-6.28	16 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	57.73	...	-6.28	17 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	57.73	...	-6.28	18 of 37 - Sale ²⁵
	70.000	35.73	05/03/21	41.39	...	-5.66	19 of 37 - Sale ²⁵
	90.000	46.30	05/03/21	51.96	...	-5.66	20 of 37 - Sale ²⁵
	10.000	5.10	05/03/21	10.17	...	-5.07	21 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	...	-3.14	22 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	...	-3.14	23 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	...	-3.14	24 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	...	-3.14	25 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	...	-3.14	26 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	...	-3.14	27 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	...	-3.14	28 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	...	-3.14	29 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	...	-3.14	30 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	...	-3.14	31 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	...	-3.14	32 of 37 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	...	-3.14	33 of 37 - Sale ²⁵
	20.000	10.21	05/03/21	11.82	...	-1.61	34 of 37 - Sale ²⁵
	10.000	5.10	05/03/21	5.91	...	-0.81	35 of 37 - Sale ²⁵
	10.000	5.14	05/03/21	5.77	...	-0.63	36 of 37 - Sale ²⁵
	10.000	5.14	05/03/21	5.77	...	-0.63	37 of 37 - Sale ²⁵
05/05/21	10,000.000	5,137.33	Various	5,499.08	...	-361.75	Total of 37 transactions
	11 transactions for 05/05/21. Total proceeds and cost reported to the IRS.						
	10.000	5.14	03/15/21	9.71	4.57 W	0.00	1 of 11 - Sale ²⁵
	80.000	41.16	05/03/21	43.67	2.20 W	-0.31	2 of 11 - Sale ²⁵
	10.000	5.15	05/03/21	10.03	4.88 W	0.00	3 of 11 - Sale ²⁵
	70.000	36.01	05/03/21	40.41	4.40 W	0.00	4 of 11 - Sale ²⁵
	90.000	46.31	05/03/21	51.96	5.65 W	0.00	5 of 11 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	3.14 W	0.00	6 of 11 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	3.14 W	0.00	7 of 11 - Sale ²⁵
	100.000	51.45	05/03/21	54.59	3.14 W	0.00	8 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 140671710

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SYNTHETIC BIOLOGICS, INC. / CUSIP: 87164U201 / Symbol: (cont'd)							
	110.000	56.60	05/03/21	63.50	6.90 W	0.00	9 of 11 - Sale ²⁵
	200.000	102.90	05/03/21	109.18	6.28 W	0.00	10 of 11 - Sale ²⁵
	420.000	216.08	05/03/21	229.28	13.20 W	0.00	11 of 11 - Sale ²⁵
05/05/21	1,290.000	663.70	Various	721.51	57.50 W	-0.31	Total of 11 transactions
	Security total:	7,307.43		7,634.13	58.55 W	-268.15	
TAL EDUCATION GROUP / CUSIP: 874080104 / Symbol:							
	2 transactions for 06/10/21. Total proceeds and cost reported to the IRS.						
	30.000	945.29	06/09/21	881.94	...	63.35	1 of 2 - Sale ²⁵
	170.000	5,354.95	06/09/21	4,997.66	...	357.29	2 of 2 - Sale ²⁵
06/10/21	200.000	6,300.24	Various	5,879.60	...	420.64	Total of 2 transactions
10/13/21	1,000.000	4,859.85	10/12/21	4,748.20	...	111.65	Sale ²⁵
	3 transactions for 10/19/21. Total proceeds and cost reported to the IRS.						
	1,000.000	4,861.95	10/14/21	4,748.20	...	113.75	1 of 3 - Sale ²⁵
	44.000	213.93	10/18/21	199.76	...	14.17	2 of 3 - Sale ²⁵
	456.000	2,217.05	10/18/21	2,070.24	...	146.81	3 of 3 - Sale ²⁵
10/19/21	1,500.000	7,292.93	Various	7,018.20	...	274.73	Total of 3 transactions
	Security total:	18,453.02		17,646.00	...	807.02	
TELIGENT INC NEW COMMON STOCK / CUSIP: 87960W203 / Symbol:							
02/16/21	1.000	1.32	02/02/21	0.91	...	0.41	Sale ²⁵
10X CAPITAL VENTURE ACQUISITIO N CORP CLASS A COMMON STOCK / CUSIP: 88025V107 / Symbol:							
02/04/21	0.061	0.92	02/03/21	1.00	...	-0.08	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
03/11/21	2.000	1,395.01	02/22/21	1,430.00	34.99 W	0.00	Sale ²⁵
	4 transactions for 03/15/21. Total proceeds and cost reported to the IRS.						
	3.000	2,115.59	02/23/21	2,102.64	...	12.95	1 of 4 - Sale ²⁵
	0.151	106.72	02/26/21	102.56	...	4.16	2 of 4 - Sale ²⁵
	1.849	1,303.68	02/26/21	1,228.53	...	75.15	3 of 4 - Sale ²⁵
	0.151	106.72	03/03/21	100.00	...	6.72	4 of 4 - Sale ²⁵
03/15/21	5.151	3,632.71	Various	3,533.73	...	98.98	Total of 4 transactions

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Robinhood Securities LLC

Account 140671710

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	0.151	106.72	02/23/21	108.71	1.99 W	0.00	1 of 2 - Sale ²⁵
	1.849	1,303.68	02/23/21	1,328.04	24.36 W	0.00	2 of 2 - Sale ²⁵
03/15/21	2.000	1,410.40	Various	1,436.75	26.35 W	0.00	Total of 2 transactions
2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	1.849	1,403.17	03/16/21	1,327.78	...	75.39	1 of 2 - Sale ²⁵
	2.151	1,632.90	03/16/21	1,516.82	...	116.08	2 of 2 - Sale ²⁵
04/13/21	4.000	3,036.07	Various	2,844.60	...	191.47	Total of 2 transactions
06/04/21	20.000	11,999.93	06/03/21	11,605.70	...	394.23	Sale ²⁵
	Security total:	21,474.12		20,850.78	61.34 W	684.68	
TILRAY BRANDS, INC. CLASS 2 COMMON STOCK / CUSIP: 88688T100 / Symbol:							
01/05/21	25.000	237.50	11/23/20	171.16	...	66.34	Sale ²⁵
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	5.000	5.85	01/12/21	4.30	...	1.55	1 of 3 - Sale ²⁵
	100.000	117.07	01/20/21	94.05	...	23.02	2 of 3 - Sale ²⁵
	100.000	117.07	01/20/21	93.54	...	23.53	3 of 3 - Sale ²⁵
02/03/21	205.000	239.99	Various	191.89	...	48.10	Total of 3 transactions
VELODYNE LIDAR, INC. COMMON STOCK / CUSIP: 92259F101 / Symbol:							
06/03/21	1,000.000	9,819.82	06/03/21	9,750.00	...	69.82	Sale ²⁵
3 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	0.052	0.57	06/07/21	0.51	...	0.06	1 of 3 - Sale ²⁵
	0.778	8.50	06/07/21	7.78	...	0.72	2 of 3 - Sale ²⁵
	699.948	7,671.31	06/07/21	6,991.71	...	679.60	3 of 3 - Sale ²⁵
06/08/21	700.778	7,680.38	Various	7,000.00	...	680.38	Total of 3 transactions
	Security total:	17,500.20		16,750.00	...	750.20	
WORKHORSE GROUP, INC. COMMON STOCK / CUSIP: 98138J206 / Symbol:							
3 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	90.000	1,471.93	02/26/21	1,466.03	...	5.90	1 of 3 - Sale ²⁵
	10.000	172.52	02/26/21	165.52	...	7.00	2 of 3 - Sale ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	190.000	3,277.82	02/26/21	3,094.95	...	182.87	3 of 3 - Sale ²⁵
03/11/21	290.000	4,922.27	Various	4,726.50	...	195.77	Total of 3 transactions
03/11/21	10.000	163.55	02/23/21	166.18	2.63 W	0.00	Sale ²⁵
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	110.000	1,846.88	02/26/21	1,791.81	...	55.07	1 of 2 - Sale ²⁵
	3.000	50.37	03/01/21	47.31	...	3.06	2 of 2 - Sale ²⁵
03/12/21	113.000	1,897.25	Various	1,839.12	...	58.13	Total of 2 transactions
	Security total:	6,983.07		6,731.80	2.63 W	253.90	
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
7 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	250.000	1,819.96	03/31/21	1,970.00	...	-150.04	1 of 7 - Sale ²⁵
	170.000	1,237.57	03/31/21	1,339.60	...	-102.03	2 of 7 - Sale ²⁵
	10.000	72.80	04/15/21	66.75	...	6.05	3 of 7 - Sale ²⁵
	25.000	182.00	05/04/21	155.12	...	26.88	4 of 7 - Sale ²⁵
	50.000	363.99	05/10/21	297.25	...	66.74	5 of 7 - Sale ²⁵
	100.000	727.99	05/10/21	590.95	...	137.04	6 of 7 - Sale ²⁵
	100.000	727.98	05/25/21	660.00	...	67.98	7 of 7 - Sale ²⁵
05/28/21	705.000	5,132.29	Various	5,079.67	...	52.62	Total of 7 transactions
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
14 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	1.000	0.51	10/21/21	0.51	...	0.00	1 of 14 - Sale ²⁵
	100.000	51.11	10/21/21	50.67	...	0.44	2 of 14 - Sale ²⁵
	99.000	50.60	10/21/21	50.13	...	0.47	3 of 14 - Sale ²⁵
	200.000	102.22	10/21/21	101.34	...	0.88	4 of 14 - Sale ²⁵
	200.000	102.23	10/21/21	101.28	...	0.95	5 of 14 - Sale ²⁵
	200.000	102.23	10/21/21	101.28	...	0.95	6 of 14 - Sale ²⁵
	300.000	153.32	10/21/21	152.01	...	1.31	7 of 14 - Sale ²⁵
	300.000	153.32	10/21/21	152.01	...	1.31	8 of 14 - Sale ²⁵
	701.000	358.30	10/21/21	354.99	...	3.31	9 of 14 - Sale ²⁵
	1,427.000	729.38	10/21/21	722.63	...	6.75	10 of 14 - Sale ²⁵
	2,100.000	1,073.37	10/21/21	1,063.44	...	9.93	11 of 14 - Sale ²⁵
	2,299.000	1,174.97	10/21/21	1,164.90	...	10.07	12 of 14 - Sale ²⁵

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(continued)

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	2,300.000	1,175.48	10/21/21	1,165.41	...	10.07	13 of 14 - Sale ²⁵
	2,773.000	1,417.36	10/21/21	1,405.08	...	12.28	14 of 14 - Sale ²⁵
10/21/21	13,000.000	6,644.40	Various	6,585.68	...	58.72	Total of 14 transactions
BORR DRILLING LIMITED COMMON S HARES OF PAR VALUE \$0.05 PER S HARE / CUSIP: G1466R207 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	50.000	48.50	01/26/21	44.55	...	3.95	1 of 2 - Sale ²⁵
	93.376	90.57	02/01/21	80.00	...	10.57	2 of 2 - Sale ²⁵
02/04/21	143.376	139.07	Various	124.55	...	14.52	Total of 2 transactions
FTAC OLYMPUS ACQUISITION CORP. CLASS A ORDINARY SHARES / CUSIP: G37288100 / Symbol:							
03/18/21	3.650	41.61	02/05/21	50.00	...	-8.39	Sale ²⁵
LUOKUNG TECHNOLOGY CORP ORDINA RY SHARES / CUSIP: G56981106 / Symbol:							
3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	151.000	135.29	02/03/21	94.98	...	40.31	1 of 3 - Sale ²⁵
	138.000	123.64	02/04/21	94.67	...	28.97	2 of 3 - Sale ²⁵
	77.000	68.99	02/05/21	47.39	...	21.60	3 of 3 - Sale ²⁵
02/09/21	366.000	327.92	Various	237.04	...	90.88	Total of 3 transactions
TORTOISE ACQUISITION CORP. II / CUSIP: G89554102 / Symbol:							
9 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	20.000	202.80	04/27/21	239.11	...	-36.31	1 of 9 - Sale ²⁵
	10.000	101.40	04/28/21	119.69	...	-18.29	2 of 9 - Sale ²⁵
	35.000	354.89	04/28/21	363.75	...	-8.86	3 of 9 - Sale ²⁵
	5.000	50.70	04/28/21	53.62	...	-2.92	4 of 9 - Sale ²⁵
	20.000	202.79	04/29/21	206.78	...	-3.99	5 of 9 - Sale ²⁵
	40.000	405.59	05/03/21	481.25	...	-75.66	6 of 9 - Sale ²⁵
	15.000	152.09	05/03/21	180.83	...	-28.74	7 of 9 - Sale ²⁵
	35.000	354.89	05/03/21	360.51	...	-5.62	8 of 9 - Sale ²⁵
	10.000	101.40	05/03/21	103.00	...	-1.60	9 of 9 - Sale ²⁵
05/03/21	190.000	1,926.55	Various	2,108.54	...	-181.99	Total of 9 transactions

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(continued)

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TORTOISE ACQUISITION CORP. II / CUSIP: G89554102 / Symbol: (cont'd)							
<i>13 transactions for 05/03/21. Total proceeds and cost reported to the IRS.</i>							
	95.000	963.76	03/15/21	1,098.68	134.92 W	0.00	1 of 13 - Sale ²⁵
	5.000	50.72	04/23/21	51.60	0.88 W	0.00	2 of 13 - Sale ²⁵
	15.000	152.17	04/23/21	176.10	23.93 W	0.00	3 of 13 - Sale ²⁵
	50.000	506.99	04/27/21	601.55	75.65 W	-18.91	4 of 13 - Sale ²⁵
	5.000	50.70	04/27/21	53.16	2.46 W	0.00	5 of 13 - Sale ²⁵
	5.000	50.72	04/27/21	52.38	1.66 W	0.00	6 of 13 - Sale ²⁵
	15.000	152.10	04/27/21	178.43	26.33 W	0.00	7 of 13 - Sale ²⁵
	15.000	152.10	04/27/21	180.83	28.73 W	0.00	8 of 13 - Sale ²⁵
	30.000	304.19	04/27/21	356.26	52.07 W	0.00	9 of 13 - Sale ²⁵
	35.000	354.89	04/27/21	360.50	5.61 W	0.00	10 of 13 - Sale ²⁵
	50.000	506.99	04/27/21	593.70	86.71 W	0.00	11 of 13 - Sale ²⁵
	65.000	659.09	04/27/21	669.50	10.41 W	0.00	12 of 13 - Sale ²⁵
	80.000	811.59	04/27/21	937.62	126.03 W	0.00	13 of 13 - Sale ²⁵
05/03/21	465.000	4,716.01	Various	5,310.31	575.39 W	-18.91	Total of 13 transactions
	Security total:	6,642.56		7,418.85	575.39 W	-200.90	
VALARIS LIMITED WARRANTS TO PURCHASE COMMON SHARES / CUSIP: G9460G119 / Symbol:							
05/04/21	74.000	187.37	05/01/21	1,784.88	...	-1,597.51	Sale ²⁵
05/06/21	1.000	2.35	05/01/21	24.12	...	-21.77	Sale ²⁵
	Security total:	189.72		1,809.00	...	-1,619.28	
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol:							
02/01/21	1.000	1.54	01/27/21	1.64	...	-0.10	Sale ²⁵
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
<i>4 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>							
	485.000	310.33	07/01/20	181.20	...	129.13	1 of 4 - Sale ²⁵
	500.000	319.93	07/01/20	186.03	...	133.90	2 of 4 - Sale ²⁵
	500.000	319.93	07/02/20	165.00	...	154.93	3 of 4 - Sale ²⁵
	100.000	63.99	08/03/20	19.43	...	44.56	4 of 4 - Sale ²⁵
01/28/21	1,585.000	1,014.18	Various	551.66	...	462.52	Total of 4 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 140671710

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	5.000	3.50	01/29/21	3.55	...	-0.05	1 of 2 - Sale ²⁵
	2.000	1.40	01/29/21	1.42	...	-0.02	2 of 2 - Sale ²⁵
02/01/21	7.000	4.90	Various	4.97	...	-0.07	Total of 2 transactions
	Security total:	1,019.08		556.63	...	462.45	
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265126 / Symbol:							
02/04/21	2.000	11.24	01/28/21	11.18	...	0.06	Sale ²⁵
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
02/01/21	2.000	4.24	01/05/21	2.48	...	1.76	Sale ²⁵
Totals :		246,730.64		235,748.55	4,257.02 W	15,239.11	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
4 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	1.000	12.35	02/01/21	40.64	...	-28.29	1 of 4 - Sale ²⁵
	1.080	13.34	02/01/21	37.14	...	-23.80	2 of 4 - Sale ²⁵
	1.000	12.35	02/01/21	33.92	...	-21.57	3 of 4 - Sale ²⁵
	0.920	11.36	02/01/21	26.14	...	-14.78	4 of 4 - Sale ²⁵
02/26/21	4.000	49.40	Various	137.84	...	-88.44	Total of 4 transactions
Totals :		49.40		137.84		-88.44	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 140671710

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY SMALL CAP BEAR 3X SHARES / CUSIP: 25460E232 / Symbol:							
4 transactions for 03/08/21							
	0.500	16.85	11/25/20	35.02	...	-18.17	Gain or loss
	0.019	0.65	11/25/20	1.34	...	-0.69	Gain or loss
	0.375	12.64	11/30/20	26.12	...	-13.48	Gain or loss
	0.061	2.06	11/30/20	4.24	...	-2.18	Gain or loss
03/08/21	0.955	32.20	Various	66.72	...	-34.52	Total of 4 transactions
VALARIS LIMITED WARRANTS TO PURCHASE COMMON SHARES / CUSIP: G9460G119 / Symbol:							
05/10/21	0.618	1.06	05/01/21	14.91	...	-13.85	Gain or loss
	Totals:	33.26		81.63	...	-48.37	

Robinhood Securities LLC

Account 140671710

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/11/21	0.15	Qualified dividend	
				0.15	Total Dividends & distributions	

Robinhood Securities LLC

Account 140671710

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/29/21	0.02	Interest	
		02/19/21	0.01	Interest	
		02/26/21	0.22	Interest	
		03/31/21	0.31	Interest	
		04/30/21	0.26	Interest	
		05/19/21	0.09	Interest	
		05/28/21	0.42	Interest	
		06/30/21	0.67	Interest	
		07/30/21	0.06	Interest	
		10/14/21	0.06	Interest	
			2.12	Total Interest	
Interest payment		09/30/21	2.29	Interest	
		10/21/21	1.31	Interest	
		10/29/21	0.16	Interest	
		11/29/21	1.00	Interest	
		11/30/21	0.17	Interest	
		12/31/21	0.12	Interest	
			5.05	Total Interest	
			7.17	Total Interest	

Robinhood Securities LLC

Account 140671710

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/08/21	-5.00	Margin interest paid	
		03/09/21	-5.00	Margin interest paid	
		04/08/21	-5.00	Margin interest paid	
		05/10/21	-5.00	Margin interest paid	
		06/07/21	-5.00	Margin interest paid	
		07/07/21	-5.00	Margin interest paid	
		08/06/21	-5.00	Margin interest paid	
			-35.00	Total Margin interest paid	
Margin charge		09/07/21	-5.00	Margin interest paid	
		10/05/21	-5.00	Margin interest paid	
		11/04/21	-5.00	Margin interest paid	
		12/06/21	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
			-55.00	Total Margin interest paid	

Robinhood Securities LLC

Account 140671710

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
VALARIS LIMITED WARRANTS TO PURCHASE COMMON SHARES	G9460G119	05/03/21	75.00	0.00	Stock spinoff	

25 Tax lot closed on a first in first out basis.

CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Instructions for Recipient

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.