

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 903449536</b>	<b>Statement Date: 02/11/2022</b> <b>Document ID: 2UMS QUP W32V</b>	2021
	Saideep Muskeri 2217 Stella St Apt 4 Denton, TX 76201  RECIPIENT'S TIN: XXX-XX-3669		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	93,362.30	100,936.79	0.00	3,992.85	-3,581.64
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>93,362.30</b>	<b>100,936.79</b>	<b>0.00</b>	<b>3,992.85</b>	<b>-3,581.64</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>93,362.30</b>	<b>100,936.79</b>	<b>0.00</b>	<b>3,992.85</b>	<b>-3,581.64</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 903449536

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)  
 "Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 06/25/2021 CALL \$132.00 / CUSIP: / Symbol:							
06/22/21	1.000	134.98	06/17/21	122.00	...	12.98	Option sale to close-call <sup>25</sup>
CLF 06/11/2021 PUT \$22.50 / CUSIP: / Symbol:							
06/09/21	1.000	95.98	06/09/21	135.00	...	-39.02	Option sale to close-put <sup>25</sup>
F 07/02/2021 CALL \$16.00 / CUSIP: / Symbol:							
07/02/21	2.000	0.00	06/01/21	74.00	...	-74.00	Option expiration- long call
IDEX 06/18/2021 PUT \$3.00 / CUSIP: / Symbol:							
06/01/21	1.000	28.98	06/01/21	27.00	...	1.98	Option sale to close-put <sup>25</sup>
MARA 12/10/2021 PUT \$50.00 / CUSIP: / Symbol:							
11/29/21	2.000	869.98	11/17/21	1,280.00	...	-410.02	Option sale to close-put <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 02/12/2021 CALL \$62.00 / CUSIP: / Symbol:							
02/09/21	1.000	175.98	02/09/21	157.00	...	18.98	Option sale to close-call <sup>25</sup>
NIO 04/23/2021 CALL \$37.00 / CUSIP: / Symbol:							
04/21/21	1.000	108.98	04/20/21	83.00	...	25.98	Option sale to close-call <sup>25</sup>
NIO 04/23/2021 CALL \$38.00 / CUSIP: / Symbol:							
	5 transactions for 04/21/21. Total proceeds and cost reported to the IRS.						
	1.000	83.98	04/21/21	79.00	...	4.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	83.98	04/21/21	79.00	...	4.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	83.98	04/21/21	79.00	...	4.98	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	83.98	04/21/21	79.00	...	4.98	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	83.98	04/21/21	79.00	...	4.98	5 of 5 - Option sale to close-call <sup>25</sup>
04/21/21	5.000	419.90	Various	395.00	...	24.90	Total of 5 transactions
PFE 01/08/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/08/21	1.000	0.00	12/08/20	25.00	...	-25.00	Option expiration- long call
QCOM 04/09/2021 CALL \$140.00 / CUSIP: / Symbol:							
04/09/21	1.000	26.98	04/06/21	134.00	...	-107.02	Option sale to close-call <sup>25</sup>
RIOT 02/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
02/11/21	1.000	202.98	02/11/21	291.00	...	-88.02	Option sale to close-call <sup>25</sup>
RIOT 12/10/2021 PUT \$35.00 / CUSIP: / Symbol:							
11/29/21	2.000	599.98	11/17/21	850.00	...	-250.02	Option sale to close-put <sup>25</sup>
STMP 07/16/2021 PUT \$300.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	07/09/21	135.00	...	-135.00	Option expiration- long put
TLRY 04/01/2021 CALL \$23.00 / CUSIP: / Symbol:							
04/01/21	1.000	0.00	03/31/21	39.00	...	-39.00	Option expiration- long call
WKHS 02/05/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/05/21	2.000	135.98	02/05/21	112.00	...	23.98	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
05/10/21	10.000	97.85	05/03/21	97.58	...	0.27	Sale <sup>25</sup>
05/20/21	10.000	123.50	05/19/21	125.89	2.39 W	0.00	Sale <sup>25</sup>
05/26/21	10.000	188.81	05/19/21	125.89	...	62.92	Sale <sup>25</sup>
	8 transactions for 05/28/21. Total proceeds and cost reported to the IRS.						
	1.000	31.32	05/28/21	33.19	1.87 W	0.00	1 of 8 - Sale <sup>25</sup>
	2.000	61.98	05/28/21	68.68	6.70 W	0.00	2 of 8 - Sale <sup>25</sup>
	2.000	62.64	05/28/21	72.70	10.06 W	0.00	3 of 8 - Sale <sup>25</sup>
	4.000	125.29	05/28/21	132.00	6.71 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	154.95	05/28/21	170.50	15.55 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	156.61	05/28/21	180.54	23.93 W	0.00	6 of 8 - Sale <sup>25</sup>
	8.000	247.92	05/28/21	259.03	11.11 W	0.00	7 of 8 - Sale <sup>25</sup>
	8.000	250.57	05/28/21	275.10	24.53 W	0.00	8 of 8 - Sale <sup>25</sup>
05/28/21	35.000	1,091.28	Various	1,191.74	100.46 W	0.00	Total of 8 transactions
	2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	3.000	120.78	06/01/21	101.75	...	19.03	1 of 2 - Sale <sup>25</sup>
	5.000	201.30	06/01/21	176.33	...	24.97	2 of 2 - Sale <sup>25</sup>
06/02/21	8.000	322.08	Various	278.08	...	44.00	Total of 2 transactions
06/18/21	0.000	0.01	06/14/21	0.01	...	0.00	Sale <sup>25</sup>
	2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.						
	0.115	7.21	06/14/21	7.22	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	62.78	06/14/21	62.85	0.07 W	0.00	2 of 2 - Sale <sup>25</sup>
06/18/21	1.115	69.99	Various	70.07	0.08 W	0.00	Total of 2 transactions
06/25/21	0.000	0.01	06/14/21	0.01	...	0.00	Sale <sup>25</sup>
	17 transactions for 06/25/21. Total proceeds and cost reported to the IRS.						
	0.115	6.14	06/14/21	6.71	0.57 W	0.00	1 of 17 - Sale <sup>25</sup>
	0.115	6.14	06/14/21	6.78	0.64 W	0.00	2 of 17 - Sale <sup>25</sup>
	0.115	6.15	06/14/21	7.20	1.05 W	0.00	3 of 17 - Sale <sup>25</sup>
	0.115	6.15	06/14/21	7.84	1.69 W	0.00	4 of 17 - Sale <sup>25</sup>
	0.194	10.40	06/14/21	13.30	2.90 W	0.00	5 of 17 - Sale <sup>25</sup>
	0.691	36.94	06/14/21	47.24	10.30 W	0.00	6 of 17 - Sale <sup>25</sup>
	0.885	47.30	06/14/21	55.62	8.32 W	0.00	7 of 17 - Sale <sup>25</sup>
	0.885	47.35	06/14/21	51.72	4.37 W	0.00	8 of 17 - Sale <sup>25</sup>
	1.000	53.49	06/14/21	60.23	6.74 W	0.00	9 of 17 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	1.445	77.28	06/14/21	85.99	8.71 W	0.00	10 of 17 - Sale <sup>25</sup>
	1.885	100.84	06/14/21	118.01	17.17 W	0.00	11 of 17 - Sale <sup>25</sup>
	2.539	135.85	06/14/21	150.00	14.15 W	0.00	12 of 17 - Sale <sup>25</sup>
	3.000	160.48	06/14/21	189.44	28.96 W	0.00	13 of 17 - Sale <sup>25</sup>
	4.000	213.98	06/14/21	240.15	26.17 W	0.00	14 of 17 - Sale <sup>25</sup>
	7.188	384.52	06/14/21	424.22	39.70 W	0.00	15 of 17 - Sale <sup>25</sup>
	0.001	0.03	06/15/21	0.04	0.01 W	0.00	16 of 17 - Sale <sup>25</sup>
	0.827	44.27	06/15/21	50.80	6.53 W	0.00	17 of 17 - Sale <sup>25</sup>
06/25/21	25.000	1,337.31	Various	1,515.29	177.98 W	0.00	Total of 17 transactions
	10 transactions for 07/02/21. Total proceeds and cost reported to the IRS.						
	2.584	134.14	06/15/21	182.31	...	-48.17	1 of 10 - Sale <sup>25</sup>
	0.541	28.11	06/15/21	37.48	...	-9.37	2 of 10 - Sale <sup>25</sup>
	3.000	155.73	06/29/21	186.31	...	-30.58	3 of 10 - Sale <sup>25</sup>
	0.691	35.85	06/29/21	49.37	...	-13.52	4 of 10 - Sale <sup>25</sup>
	1.000	51.91	06/29/21	63.32	...	-11.41	5 of 10 - Sale <sup>25</sup>
	0.566	29.39	06/29/21	35.73	...	-6.34	6 of 10 - Sale <sup>25</sup>
	0.194	10.10	06/29/21	13.90	...	-3.80	7 of 10 - Sale <sup>25</sup>
	0.308	16.01	06/29/21	19.47	...	-3.46	8 of 10 - Sale <sup>25</sup>
	0.115	5.97	06/29/21	8.20	...	-2.23	9 of 10 - Sale <sup>25</sup>
	0.115	5.96	06/29/21	7.14	...	-1.18	10 of 10 - Sale <sup>25</sup>
07/02/21	9.115	473.17	Various	603.23	...	-130.06	Total of 10 transactions
	5 transactions for 07/02/21. Total proceeds and cost reported to the IRS.						
	3.000	155.73	06/15/21	217.00	49.70 W	-11.57	1 of 5 - Sale <sup>25</sup>
	0.055	2.87	06/15/21	3.66	0.79 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.115	5.96	06/15/21	7.62	1.66 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.830	43.08	06/15/21	56.12	13.04 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.885	97.85	06/15/21	132.89	35.04 W	0.00	5 of 5 - Sale <sup>25</sup>
07/02/21	5.885	305.49	Various	417.29	100.23 W	-11.57	Total of 5 transactions
	6 transactions for 07/13/21. Total proceeds and cost reported to the IRS.						
	4.188	162.70	06/29/21	260.07	...	-97.37	1 of 6 - Sale <sup>25</sup>
	0.130	5.07	06/29/21	8.17	...	-3.10	2 of 6 - Sale <sup>25</sup>
	1.682	65.35	07/01/21	120.54	...	-55.19	3 of 6 - Sale <sup>25</sup>
	0.830	32.24	07/01/21	57.09	...	-24.85	4 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	0.115	4.46	07/01/21	7.76	...	-3.30	5 of 6 - Sale <sup>25</sup>
	0.055	2.15	07/01/21	3.72	...	-1.57	6 of 6 - Sale <sup>25</sup>
07/13/21	7.000	271.97	Various	457.35	...	-185.38	Total of 6 transactions
	7 transactions for 07/14/21. Total proceeds and cost reported to the IRS.						
	2.318	79.92	07/01/21	170.41	...	-90.49	1 of 7 - Sale <sup>25</sup>
	2.539	87.55	07/01/21	148.94	...	-61.39	2 of 7 - Sale <sup>25</sup>
	1.314	45.31	07/01/21	77.68	...	-32.37	3 of 7 - Sale <sup>25</sup>
	0.827	28.52	07/01/21	50.45	...	-21.93	4 of 7 - Sale <sup>25</sup>
	0.203	7.00	07/01/21	14.56	...	-7.56	5 of 7 - Sale <sup>25</sup>
	0.115	3.97	07/01/21	8.45	...	-4.48	6 of 7 - Sale <sup>25</sup>
	0.001	0.02	07/01/21	0.04	...	-0.02	7 of 7 - Sale <sup>25</sup>
07/14/21	7.318	252.29	Various	470.53	...	-218.24	Total of 7 transactions
	<b>Security total:</b>	<b>4,533.76</b>		<b>5,352.96</b>	<b>381.14 W</b>	<b>-438.06</b>	
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
01/20/21	1.000	149.11	01/15/21	143.64	...	5.47	Sale <sup>25</sup>
01/25/21	1.000	143.33	01/15/21	143.63	...	-0.30	Sale <sup>25</sup>
	<b>Security total:</b>	<b>292.44</b>		<b>287.27</b>	...	<b>5.17</b>	
ARK GENOMIC REVOLUTION ETF / CUSIP: 00214Q302 / Symbol:							
01/20/21	1.000	113.48	01/15/21	109.05	...	4.43	Sale <sup>25</sup>
01/25/21	2.000	216.48	01/15/21	218.10	...	-1.62	Sale <sup>25</sup>
	<b>Security total:</b>	<b>329.96</b>		<b>327.15</b>	...	<b>2.81</b>	
AEMETIS, INC. (DE) COMMON STOC K / CUSIP: 00770K202 / Symbol:							
	2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	11.000	80.58	01/26/21	97.13	...	-16.55	1 of 2 - Sale <sup>25</sup>
	0.416	3.04	01/26/21	3.67	...	-0.63	2 of 2 - Sale <sup>25</sup>
01/28/21	11.416	83.62	Various	100.80	...	-17.18	Total of 2 transactions

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	2.000	181.36	02/02/21	176.56	...	4.80	1 of 2 - Sale <sup>25</sup>
	2.000	181.36	02/03/21	175.69	...	5.67	2 of 2 - Sale <sup>25</sup>
02/09/21	4.000	362.72	Various	352.25	...	10.47	Total of 2 transactions
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
02/09/21	1.000	263.06	02/03/21	267.19	...	-4.13	Sale <sup>25</sup>
05/24/21	1.000	211.09	05/21/21	217.42	...	-6.33	Sale <sup>25</sup>
05/24/21	2.000	422.20	05/20/21	434.17	5.99 W	-5.98	Sale <sup>25</sup>
	<b>Security total:</b>	<b>896.35</b>		<b>918.78</b>	<b>5.99 W</b>	<b>-16.44</b>	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
01/12/21	5.000	75.20	12/10/20	87.30	12.10 W	0.00	Sale <sup>25</sup>
3 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	5.000	83.63	01/29/21	100.85	...	-17.22	1 of 3 - Sale <sup>25</sup>
	5.000	83.62	01/29/21	88.75	...	-5.13	2 of 3 - Sale <sup>25</sup>
	4.000	66.90	01/29/21	72.02	...	-5.12	3 of 3 - Sale <sup>25</sup>
02/02/21	14.000	234.15	Various	261.62	...	-27.47	Total of 3 transactions
	<b>Security total:</b>	<b>309.35</b>		<b>348.92</b>	<b>12.10 W</b>	<b>-27.47</b>	
APEX TECHNOLOGY ACQUISITION CO RPORATION CLASS A COMMON STOCK / CUSIP: 03768F102 / Symbol:							
01/26/21	10.000	153.31	01/25/21	157.05	3.74 W	0.00	Sale <sup>25</sup>
01/29/21	10.000	148.00	01/25/21	159.44	11.44 W	0.00	Sale <sup>25</sup>
6 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	1.000	12.22	02/04/21	17.20	...	-4.98	1 of 6 - Sale <sup>25</sup>
	0.351	4.29	02/04/21	6.04	...	-1.75	2 of 6 - Sale <sup>25</sup>
	6.682	81.66	02/12/21	108.43	...	-26.77	3 of 6 - Sale <sup>25</sup>
	3.378	41.28	02/12/21	51.70	...	-10.42	4 of 6 - Sale <sup>25</sup>
	1.967	24.04	02/12/21	31.85	...	-7.81	5 of 6 - Sale <sup>25</sup>
	1.301	15.90	02/12/21	19.62	...	-3.72	6 of 6 - Sale <sup>25</sup>
03/03/21	14.679	179.39	Various	234.84	...	-55.45	Total of 6 transactions
4 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	23.000	234.83	04/08/21	272.21	...	-37.38	1 of 4 - Sale <sup>25</sup>
	0.159	1.63	04/08/21	1.88	...	-0.25	2 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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APEX TECHNOLOGY ACQUISITION CO RPORATION CLASS A COMMON STOCK / CUSIP: 03768F102 / Symbol: (cont'd)							
	12.000	122.52	04/09/21	146.97	...	-24.45	3 of 4 - Sale <sup>25</sup>
	8.000	81.68	04/09/21	97.84	...	-16.16	4 of 4 - Sale <sup>25</sup>
05/10/21	43.159	440.66	Various	518.90	...	-78.24	Total of 4 transactions
	<b>Security total:</b>	<b>921.36</b>		<b>1,070.23</b>	<b>15.18 W</b>	<b>-133.69</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
03/08/21	0.824	97.96	03/03/21	100.86	...	-2.90	Sale <sup>25</sup>
06/17/21	1.000	131.11	06/17/21	132.47	...	-1.36	Sale <sup>25</sup>
06/22/21	2.000	264.65	06/17/21	264.94	...	-0.29	Sale <sup>25</sup>
	<b>Security total:</b>	<b>493.72</b>		<b>498.27</b>	...	<b>-4.55</b>	
ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103877 / Symbol:							
2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	0.700	4.42	06/09/21	4.96	0.54 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	18.94	06/09/21	21.26	2.32 W	0.00	2 of 2 - Sale <sup>25</sup>
06/14/21	3.700	23.36	Various	26.22	2.86 W	0.00	Total of 2 transactions
3 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	46.300	89.85	07/14/21	98.16	...	-8.31	1 of 3 - Sale <sup>25</sup>
	3.000	5.82	07/14/21	8.68	...	-2.86	2 of 3 - Sale <sup>25</sup>
	0.700	1.36	07/14/21	2.02	...	-0.66	3 of 3 - Sale <sup>25</sup>
07/16/21	50.000	97.03	Various	108.86	...	-11.83	Total of 3 transactions
	<b>Security total:</b>	<b>120.39</b>		<b>135.08</b>	<b>2.86 W</b>	<b>-11.83</b>	
ATLAS CREST INVESTMENT CORP. / CUSIP: 049284102 / Symbol:							
2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	10.000	107.62	02/16/21	141.40	...	-33.78	1 of 2 - Sale <sup>25</sup>
	10.000	107.62	02/18/21	169.00	...	-61.38	2 of 2 - Sale <sup>25</sup>
03/10/21	20.000	215.24	Various	310.40	...	-95.16	Total of 2 transactions
AURINIA PHARMACEUTICALS INC OR DINARY SHARES / CUSIP: 05156V102 / Symbol:							
4 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	0.005	0.09	01/26/21	0.09	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.729	12.15	01/27/21	12.62	...	-0.47	2 of 4 - Sale <sup>25</sup>
	0.635	10.58	01/27/21	11.00	...	-0.42	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 903449536

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AURINIA PHARMACEUTICALS INC OR DINARY SHARES / CUSIP: 05156V102 / Symbol: (cont'd)							
	0.008	0.13	01/27/21	0.12	...	0.01	4 of 4 - Sale <sup>25</sup>
01/28/21	1.378	22.95	Various	23.83	...	-0.88	Total of 4 transactions
	3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	1.365	22.74	01/26/21	23.96	0.65 W	-0.57	1 of 3 - Sale <sup>25</sup>
	0.635	10.58	01/26/21	11.15	0.57 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.626	93.73	01/26/21	98.80	5.07 W	0.00	3 of 3 - Sale <sup>25</sup>
01/28/21	7.626	127.05	Various	133.91	6.29 W	-0.57	Total of 3 transactions
	3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	5.626	91.76	01/27/21	97.42	...	-5.66	1 of 3 - Sale <sup>25</sup>
	7.374	120.28	01/27/21	121.05	...	-0.77	2 of 3 - Sale <sup>25</sup>
	0.628	10.21	01/27/21	10.30	...	-0.09	3 of 3 - Sale <sup>25</sup>
01/29/21	13.628	222.25	Various	228.77	...	-6.52	Total of 3 transactions
	<b>Security total:</b>	<b>372.25</b>		<b>386.51</b>	<b>6.29 W</b>	<b>-7.97</b>	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
10/18/21	20.000	923.01	10/14/21	901.80	...	21.21	Sale <sup>25</sup>
BIG 5 SPORTING GOODS CORPORATI ON COMMON STOCK / CUSIP: 08915P101 / Symbol:							
06/15/21	10.000	274.33	06/04/21	309.64	...	-35.31	Sale <sup>25</sup>
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
04/14/21	10.000	64.20	04/12/21	71.40	...	-7.20	Sale <sup>25</sup>
	3 transactions for 04/14/21. Total proceeds and cost reported to the IRS.						
	10.000	64.20	01/29/21	108.85	44.65 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	64.20	01/29/21	108.90	44.70 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	320.98	04/08/21	383.75	43.94 W	-18.83	3 of 3 - Sale <sup>25</sup>
04/14/21	70.000	449.38	Various	601.50	133.29 W	-18.83	Total of 3 transactions
04/27/21	10.000	69.41	04/26/21	110.00	40.59 W	0.00	Sale <sup>25</sup>
	3 transactions for 05/10/21. Total proceeds and cost reported to the IRS.						
	10.000	52.40	04/29/21	82.25	...	-29.85	1 of 3 - Sale <sup>25</sup>
	10.000	52.40	04/29/21	79.21	...	-26.81	2 of 3 - Sale <sup>25</sup>
	5.000	26.20	04/29/21	41.13	...	-14.93	3 of 3 - Sale <sup>25</sup>
05/10/21	25.000	131.00	Various	202.59	...	-71.59	Total of 3 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
2 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	10.000	52.40	04/26/21	109.95	57.55 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	52.40	04/27/21	82.10	29.70 W	0.00	2 of 2 - Sale <sup>25</sup>
05/10/21	20.000	104.80	Various	192.05	87.25 W	0.00	Total of 2 transactions
3 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	5.738	41.20	05/17/21	65.30	...	-24.10	1 of 3 - Sale <sup>25</sup>
	0.733	5.27	05/17/21	8.35	...	-3.08	2 of 3 - Sale <sup>25</sup>
	0.004	0.03	05/17/21	0.05	...	-0.02	3 of 3 - Sale <sup>25</sup>
06/25/21	6.476	46.50	Various	73.70	...	-27.20	Total of 3 transactions
4 transactions for 07/14/21. Total proceeds and cost reported to the IRS.							
	10.000	58.50	05/17/21	96.84	...	-38.34	1 of 4 - Sale <sup>25</sup>
	10.000	58.50	05/17/21	85.95	...	-27.45	2 of 4 - Sale <sup>25</sup>
	3.000	17.55	05/17/21	34.14	...	-16.59	3 of 4 - Sale <sup>25</sup>
	0.524	3.07	05/17/21	5.96	...	-2.89	4 of 4 - Sale <sup>25</sup>
07/14/21	23.524	137.62	Various	222.89	...	-85.27	Total of 4 transactions
	<b>Security total:</b>	<b>1,002.91</b>		<b>1,474.13</b>	<b>261.13 W</b>	<b>-210.09</b>	
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	2.000	54.04	01/26/21	36.56	...	17.48	1 of 2 - Sale <sup>25</sup>
	6.000	162.06	01/26/21	109.68	...	52.38	2 of 2 - Sale <sup>25</sup>
01/27/21	8.000	216.10	Various	146.24	...	69.86	Total of 2 transactions
2 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	10.000	125.33	06/07/21	159.25	...	-33.92	1 of 2 - Sale <sup>25</sup>
	10.000	125.32	06/07/21	156.40	...	-31.08	2 of 2 - Sale <sup>25</sup>
06/22/21	20.000	250.65	Various	315.65	...	-65.00	Total of 2 transactions
	<b>Security total:</b>	<b>466.75</b>		<b>461.89</b>	...	<b>4.86</b>	
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
05/10/21	6.000	181.17	04/30/21	233.53	...	-52.36	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
06/14/21	3.000	28.77	06/09/21	33.42	...	-4.65	Sale <sup>25</sup>
	3 transactions for 06/14/21. Total proceeds and cost reported to the IRS.						
	0.200	1.92	06/09/21	2.24	0.32 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.200	1.92	06/09/21	2.55	0.63 W	0.00	2 of 3 - Sale <sup>25</sup>
	9.800	93.98	06/09/21	109.86	15.88 W	0.00	3 of 3 - Sale <sup>25</sup>
06/14/21	10.200	97.82	Various	114.65	16.83 W	0.00	Total of 3 transactions
	2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.						
	9.800	93.10	06/30/21	116.82	...	-23.72	1 of 2 - Sale <sup>25</sup>
	0.200	1.90	06/30/21	2.69	...	-0.79	2 of 2 - Sale <sup>25</sup>
07/01/21	10.000	95.00	Various	119.51	...	-24.51	Total of 2 transactions
	<b>Security total:</b>	<b>221.59</b>		<b>267.58</b>	<b>16.83 W</b>	<b>-29.16</b>	
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol:							
04/21/21	12.000	238.60	04/20/21	240.24	...	-1.64	Sale <sup>25</sup>
	5 transactions for 04/21/21. Total proceeds and cost reported to the IRS.						
	0.001	0.02	04/12/21	0.03	0.01 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.070	1.40	04/12/21	1.79	0.39 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.070	1.40	04/12/21	2.18	0.78 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.928	38.34	04/12/21	49.04	10.70 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.000	59.64	04/20/21	60.06	0.42 W	0.00	5 of 5 - Sale <sup>25</sup>
04/21/21	5.070	100.80	Various	113.10	12.30 W	0.00	Total of 5 transactions
	4 transactions for 05/10/21. Total proceeds and cost reported to the IRS.						
	1.928	42.23	04/30/21	60.68	...	-18.45	1 of 4 - Sale <sup>25</sup>
	3.000	65.71	04/30/21	78.18	...	-12.47	2 of 4 - Sale <sup>25</sup>
	0.070	1.54	04/30/21	2.61	...	-1.07	3 of 4 - Sale <sup>25</sup>
	0.001	0.03	04/30/21	0.04	...	-0.01	4 of 4 - Sale <sup>25</sup>
05/10/21	5.000	109.51	Various	141.51	...	-32.00	Total of 4 transactions
	<b>Security total:</b>	<b>448.91</b>		<b>494.85</b>	<b>12.30 W</b>	<b>-33.64</b>	

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Robinhood Securities LLC

Account 903449536

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	4.000	86.40	01/15/21	72.74	...	13.66	1 of 2 - Sale <sup>25</sup>
	10.000	216.00	01/15/21	181.31	...	34.69	2 of 2 - Sale <sup>25</sup>
01/28/21	14.000	302.40	Various	254.05	...	48.35	Total of 2 transactions
02/02/21	10.000	272.00	01/29/21	212.90	...	59.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>574.40</b>		<b>466.95</b>	...	<b>107.45</b>	
CLEAN ENERGY FUELS CORP. COMMO N STOCK / CUSIP: 184499101 / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	5.139	54.02	01/25/21	60.00	...	-5.98	1 of 2 - Sale <sup>25</sup>
	7.000	73.59	01/25/21	76.48	...	-2.89	2 of 2 - Sale <sup>25</sup>
02/02/21	12.139	127.61	Various	136.48	...	-8.87	Total of 2 transactions
2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	5.000	64.10	06/04/21	49.45	...	14.65	1 of 2 - Sale <sup>25</sup>
	15.000	192.75	06/04/21	148.35	...	44.40	2 of 2 - Sale <sup>25</sup>
06/09/21	20.000	256.85	Various	197.80	...	59.05	Total of 2 transactions
	<b>Security total:</b>	<b>384.46</b>		<b>334.28</b>	...	<b>50.18</b>	
CLEVELAND-CLIFFS INC. / CUSIP: 185899101 / Symbol:							
05/10/21	10.000	228.42	04/29/21	177.26	...	51.16	Sale <sup>25</sup>
3 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	0.001	0.02	05/25/21	0.02	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.999	22.32	05/25/21	18.91	...	3.41	2 of 3 - Sale <sup>25</sup>
	3.476	77.66	05/25/21	65.80	...	11.86	3 of 3 - Sale <sup>25</sup>
06/14/21	4.476	100.00	Various	84.73	...	15.27	Total of 3 transactions
6 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	0.002	0.05	05/25/21	0.04	...	0.01	1 of 6 - Sale <sup>25</sup>
	0.292	6.27	05/25/21	5.52	...	0.75	2 of 6 - Sale <sup>25</sup>
	5.230	112.55	05/25/21	99.01	...	13.54	3 of 6 - Sale <sup>25</sup>
	0.230	4.84	05/26/21	4.20	...	0.64	4 of 6 - Sale <sup>25</sup>
	3.770	81.13	05/26/21	68.80	...	12.33	5 of 6 - Sale <sup>25</sup>
	11.000	231.72	05/26/21	200.75	...	30.97	6 of 6 - Sale <sup>25</sup>
06/17/21	20.524	436.56	Various	378.32	...	58.24	Total of 6 transactions

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Robinhood Securities LLC

Account 903449536

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLEVELAND-CLIFFS INC. / CUSIP: 185899101 / Symbol: (cont'd)							
<b>Security total:</b>		<b>764.98</b>		<b>640.31</b>	...	<b>124.67</b>	
CLIMATE CHANGE CRISIS REAL IMP ACT I ACQUISITION CORPORATION / CUSIP: 18716C100 / Symbol:							
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	7.000	143.92	01/25/21	143.22	...	0.70	1 of 2 - Sale <sup>25</sup>
	8.000	164.48	01/25/21	163.68	...	0.80	2 of 2 - Sale <sup>25</sup>
02/01/21	15.000	308.40	Various	306.90	...	1.50	Total of 2 transactions
4 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	9.000	125.37	02/12/21	180.72	46.59 W	-8.76	1 of 4 - Sale <sup>25</sup>
	0.029	0.40	02/12/21	0.58	0.18 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.399	5.56	02/12/21	7.88	2.32 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.996	27.80	02/12/21	39.52	11.72 W	0.00	4 of 4 - Sale <sup>25</sup>
04/07/21	11.424	159.13	Various	228.70	60.81 W	-8.76	Total of 4 transactions
4 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	7.576	85.09	04/30/21	145.84	...	-60.75	1 of 4 - Sale <sup>25</sup>
	1.996	22.42	04/30/21	37.87	...	-15.45	2 of 4 - Sale <sup>25</sup>
	0.399	4.48	04/30/21	7.54	...	-3.06	3 of 4 - Sale <sup>25</sup>
	0.029	0.32	04/30/21	0.56	...	-0.24	4 of 4 - Sale <sup>25</sup>
05/10/21	10.000	112.31	Various	191.81	...	-79.50	Total of 4 transactions
<b>Security total:</b>		<b>579.84</b>		<b>727.41</b>	<b>60.81 W</b>	<b>-86.76</b>	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
4 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	8.000	67.04	02/08/21	103.50	...	-36.46	1 of 4 - Sale <sup>25</sup>
	7.000	58.66	02/08/21	90.57	...	-31.91	2 of 4 - Sale <sup>25</sup>
	10.000	83.80	02/09/21	125.35	...	-41.55	3 of 4 - Sale <sup>25</sup>
	10.000	83.80	03/03/21	83.55	...	0.25	4 of 4 - Sale <sup>25</sup>
04/08/21	35.000	293.30	Various	402.97	...	-109.67	Total of 4 transactions
2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	10.000	99.10	06/02/21	82.49	...	16.61	1 of 2 - Sale <sup>25</sup>
	5.000	49.55	06/04/21	45.50	...	4.05	2 of 2 - Sale <sup>25</sup>
06/07/21	15.000	148.65	Various	127.99	...	20.66	Total of 2 transactions
<b>Security total:</b>		<b>441.95</b>		<b>530.96</b>	...	<b>-89.01</b>	

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
06/29/21	10.000	134.31	06/28/21	144.00	...	-9.69	Sale <sup>25</sup>
<i>2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	134.31	06/23/21	134.98	0.67 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	268.61	06/28/21	287.80	14.39 W	-4.80	2 of 2 - Sale <sup>25</sup>
06/29/21	30.000	402.92	Various	422.78	15.06 W	-4.80	Total of 2 transactions
<i>3 transactions for 07/08/21. Total proceeds and cost reported to the IRS.</i>							
	9.000	98.75	07/01/21	120.01	...	-21.26	1 of 3 - Sale <sup>25</sup>
	10.000	109.72	07/01/21	124.42	...	-14.70	2 of 3 - Sale <sup>25</sup>
	6.000	65.84	07/01/21	80.01	...	-14.17	3 of 3 - Sale <sup>25</sup>
07/08/21	25.000	274.31	Various	324.44	...	-50.13	Total of 3 transactions
	<b>Security total:</b>	<b>811.54</b>		<b>891.22</b>	<b>15.06 W</b>	<b>-64.62</b>	
CURIS, INC. COMMON STOCK / CUSIP: 231269200 / Symbol:							
02/04/21	10.919	111.59	01/29/21	120.00	...	-8.41	Sale <sup>25</sup>
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
04/26/21	5.000	296.18	04/08/21	306.20	...	-10.02	Sale <sup>25</sup>
DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol:							
<i>2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	3.528	85.87	01/28/21	83.50	...	2.37	1 of 2 - Sale <sup>25</sup>
	10.000	243.40	01/28/21	230.30	...	13.10	2 of 2 - Sale <sup>25</sup>
02/09/21	13.528	329.27	Various	313.80	...	15.47	Total of 2 transactions
EMBRAER S.A. / CUSIP: 29082A107 / Symbol:							
<i>2 transactions for 06/01/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	69.93	05/28/21	65.83	...	4.10	1 of 2 - Sale <sup>25</sup>
	15.000	209.77	05/28/21	198.75	...	11.02	2 of 2 - Sale <sup>25</sup>
06/01/21	20.000	279.70	Various	264.58	...	15.12	Total of 2 transactions
ENPHASE ENERGY, INC. COMMON ST OCK / CUSIP: 29355A107 / Symbol:							
01/15/21	2.000	381.77	01/15/21	388.01	...	-6.24	Sale <sup>25</sup>
FIGS, INC. / CUSIP: 30260D103 / Symbol:							
07/01/21	5.000	229.93	06/23/21	231.15	...	-1.22	Sale <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
4 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
	0.104	4.49	08/05/21	4.12	...	0.37	1 of 4 - Sale <sup>25</sup>
	14.896	644.10	08/05/21	590.91	...	53.19	2 of 4 - Sale <sup>25</sup>
	0.104	4.49	08/06/21	4.35	...	0.14	3 of 4 - Sale <sup>25</sup>
	9.000	389.16	08/06/21	376.65	...	12.51	4 of 4 - Sale <sup>25</sup>
09/15/21	24.104	1,042.24	Various	976.03	...	66.21	Total of 4 transactions
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	10.000	148.83	06/01/21	146.36	...	2.47	1 of 2 - Sale <sup>25</sup>
	10.000	148.84	06/01/21	146.15	...	2.69	2 of 2 - Sale <sup>25</sup>
07/01/21	20.000	297.67	Various	292.51	...	5.16	Total of 2 transactions
2 transactions for 07/19/21. Total proceeds and cost reported to the IRS.							
	20.916	274.72	06/01/21	306.00	...	-31.28	1 of 2 - Sale <sup>25</sup>
	10.000	131.35	06/04/21	158.55	...	-27.20	2 of 2 - Sale <sup>25</sup>
07/19/21	30.916	406.07	Various	464.55	...	-58.48	Total of 2 transactions
	<b>Security total:</b>	<b>703.74</b>		<b>757.06</b>	...	<b>-53.32</b>	
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	6.734	149.30	01/20/21	113.00	...	36.30	1 of 2 - Sale <sup>25</sup>
	8.000	177.36	01/20/21	140.96	...	36.40	2 of 2 - Sale <sup>25</sup>
01/29/21	14.734	326.66	Various	253.96	...	72.70	Total of 2 transactions
3 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	4.000	43.08	02/03/21	87.88	44.80 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	107.70	02/18/21	192.90	85.20 W	0.00	2 of 3 - Sale <sup>25</sup>
	26.000	280.02	04/08/21	330.46	50.44 W	0.00	3 of 3 - Sale <sup>25</sup>
04/15/21	40.000	430.80	Various	611.24	180.44 W	0.00	Total of 3 transactions
4 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	10.000	77.01	04/26/21	186.50	...	-109.49	1 of 4 - Sale <sup>25</sup>
	16.000	123.22	04/26/21	193.12	...	-69.90	2 of 4 - Sale <sup>25</sup>
	4.000	30.80	04/26/21	85.32	...	-54.52	3 of 4 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	10.000	77.02	04/26/21	125.70	...	-48.68	4 of 4 - Sale <sup>25</sup>
05/10/21	40.000	308.05	Various	590.64	...	-282.59	Total of 4 transactions
	<b>Security total:</b>	<b>1,065.51</b>		<b>1,455.84</b>	<b>180.44 W</b>	<b>-209.89</b>	
FUTURE FINTECH GROUP INC. COMM ON STOCK / CUSIP: 36117V105 / Symbol:							
02/16/21	25.000	241.62	02/01/21	124.50	...	117.12	Sale <sup>25</sup>
04/14/21	50.000	222.49	04/08/21	261.75	...	-39.26	Sale <sup>25</sup>
04/14/21	50.000	222.50	04/08/21	267.42	11.68 W	-33.24	Sale <sup>25</sup>
04/27/21	13.000	49.99	04/26/21	61.47	...	-11.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>736.60</b>		<b>715.14</b>	<b>11.68 W</b>	<b>33.14</b>	
GAOTU TECHEDU INC. AMERICAN DE POSITARY SHARES, THREE OF WHIC H REPRESENTING TWO CLASS A ORD / CUSIP: 36257Y109 / Symbol:							
2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	15.000	218.70	06/08/21	228.45	9.75 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	72.90	06/09/21	84.39	4.60 W	-6.89	2 of 2 - Sale <sup>25</sup>
06/11/21	20.000	291.60	Various	312.84	14.35 W	-6.89	Total of 2 transactions
3 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	8.000	127.29	06/09/21	139.02	...	-11.73	1 of 3 - Sale <sup>25</sup>
	7.000	111.38	06/09/21	122.70	...	-11.32	2 of 3 - Sale <sup>25</sup>
	2.000	31.82	06/09/21	38.05	...	-6.23	3 of 3 - Sale <sup>25</sup>
06/14/21	17.000	270.49	Various	299.77	...	-29.28	Total of 3 transactions
	<b>Security total:</b>	<b>562.09</b>		<b>612.61</b>	<b>14.35 W</b>	<b>-36.17</b>	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	5.000	882.63	09/15/21	1,022.03	...	-139.40	1 of 2 - Sale <sup>25</sup>
	0.103	18.21	09/15/21	21.08	...	-2.87	2 of 2 - Sale <sup>25</sup>
10/01/21	5.103	900.84	Various	1,043.11	...	-142.27	Total of 2 transactions
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
02/03/21	30.000	53.10	02/01/21	60.27	7.17 W	0.00	Sale <sup>25</sup>
5 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	0.356	1.00	02/08/21	0.82	...	0.18	1 of 5 - Sale <sup>25</sup>
	10.000	27.90	02/08/21	20.60	...	7.30	2 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 903449536

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	29.644	82.70	02/08/21	68.15	...	14.55	3 of 5 - Sale <sup>25</sup>
	40.000	111.60	02/16/21	82.32	...	29.28	4 of 5 - Sale <sup>25</sup>
	42.356	118.17	02/22/21	80.90	...	37.27	5 of 5 - Sale <sup>25</sup>
03/24/21	122.356	341.37	Various	252.79	...	88.58	Total of 5 transactions
04/14/21	100.000	162.15	04/08/21	179.68	...	-17.53	Sale <sup>25</sup>
3 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	50.000	91.64	06/09/21	112.50	...	-20.86	1 of 3 - Sale <sup>25</sup>
	30.000	54.98	06/09/21	63.80	...	-8.82	2 of 3 - Sale <sup>25</sup>
	20.000	36.65	06/09/21	41.50	...	-4.85	3 of 3 - Sale <sup>25</sup>
06/22/21	100.000	183.27	Various	217.80	...	-34.53	Total of 3 transactions
	<b>Security total:</b>	<b>739.89</b>		<b>710.54</b>	<b>7.17 W</b>	<b>36.52</b>	
GOLDEN NUGGET ONLINE GAMING, I NC. CLASS A COMMON STOCK / CUSIP: 38113L107 / Symbol:							
5 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	2.000	38.94	01/25/21	44.50	5.56 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	39.80	01/25/21	41.88	2.08 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	39.80	01/25/21	42.42	2.62 W	0.00	3 of 5 - Sale <sup>25</sup>
	3.136	61.06	01/25/21	69.77	8.71 W	0.00	4 of 5 - Sale <sup>25</sup>
	8.000	159.20	01/25/21	169.68	10.48 W	0.00	5 of 5 - Sale <sup>25</sup>
01/26/21	17.136	338.80	Various	368.25	29.45 W	0.00	Total of 5 transactions
01/29/21	2.000	35.66	01/25/21	43.62	...	-7.96	Sale <sup>25</sup>
3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	2.000	35.66	01/25/21	44.16	6.07 W	-2.43	1 of 3 - Sale <sup>25</sup>
	1.000	17.83	01/25/21	23.55	5.72 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.864	51.06	01/25/21	63.72	12.66 W	0.00	3 of 3 - Sale <sup>25</sup>
01/29/21	5.864	104.55	Various	131.43	24.45 W	-2.43	Total of 3 transactions
7 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	3.136	47.05	02/12/21	64.47	...	-17.42	1 of 7 - Sale <sup>25</sup>
	2.089	31.34	02/12/21	46.38	...	-15.04	2 of 7 - Sale <sup>25</sup>
	1.428	21.43	02/12/21	31.81	...	-10.38	3 of 7 - Sale <sup>25</sup>
	1.000	15.00	02/12/21	23.74	...	-8.74	4 of 7 - Sale <sup>25</sup>
	1.000	15.00	02/12/21	20.56	...	-5.56	5 of 7 - Sale <sup>25</sup>
	0.428	6.43	02/12/21	9.51	...	-3.08	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GOLDEN NUGGET ONLINE GAMING, I NC. CLASS A COMMON STOCK / CUSIP: 38113L107 / Symbol: (cont'd)							
	0.346	5.19	02/12/21	7.77	...	-2.58	7 of 7 - Sale <sup>25</sup>
03/03/21	9.428	141.44	Various	204.24	...	-62.80	Total of 7 transactions
05/10/21	15.000	186.92	04/26/21	221.70	...	-34.78	Sale <sup>25</sup>
	<b>Security total:</b>	<b>807.37</b>		<b>969.24</b>	<b>53.90 W</b>	<b>-107.97</b>	
GRAYBUG VISION, INC. COMMON STOCK / CUSIP: 38942Q103 / Symbol:							
06/18/21	25.000	131.62	06/17/21	131.25	...	0.37	Sale <sup>25</sup>
06/22/21	10.000	49.20	06/17/21	54.69	5.49 W	0.00	Sale <sup>25</sup>
	3 transactions for 07/01/21. Total proceeds and cost reported to the IRS.						
	10.000	50.80	06/25/21	57.26	...	-6.46	1 of 3 - Sale <sup>25</sup>
	10.000	50.80	06/25/21	51.76	...	-0.96	2 of 3 - Sale <sup>25</sup>
	20.000	101.60	06/25/21	102.39	...	-0.79	3 of 3 - Sale <sup>25</sup>
07/01/21	40.000	203.20	Various	211.41	...	-8.21	Total of 3 transactions
	<b>Security total:</b>	<b>384.02</b>		<b>397.35</b>	<b>5.49 W</b>	<b>-7.84</b>	
HOLICITY INC. CLASS A COMMON STOCK / CUSIP: 435063102 / Symbol:							
02/12/21	5.000	91.31	02/05/21	98.95	7.64 W	0.00	Sale <sup>25</sup>
	3 transactions for 04/08/21. Total proceeds and cost reported to the IRS.						
	5.000	53.41	02/05/21	102.59	...	-49.18	1 of 3 - Sale <sup>25</sup>
	3.000	32.04	02/05/21	59.37	...	-27.33	2 of 3 - Sale <sup>25</sup>
	2.000	21.36	02/05/21	37.98	...	-16.62	3 of 3 - Sale <sup>25</sup>
04/08/21	10.000	106.81	Various	199.94	...	-93.13	Total of 3 transactions
	<b>Security total:</b>	<b>198.12</b>		<b>298.89</b>	<b>7.64 W</b>	<b>-93.13</b>	
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
	5 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	7.993	128.10	01/28/21	135.80	...	-7.70	1 of 5 - Sale <sup>25</sup>
	3.825	61.29	01/28/21	64.93	...	-3.64	2 of 5 - Sale <sup>25</sup>
	0.434	6.95	01/28/21	7.77	...	-0.82	3 of 5 - Sale <sup>25</sup>
	0.403	6.45	01/28/21	6.84	...	-0.39	4 of 5 - Sale <sup>25</sup>
	4.346	69.65	02/02/21	75.00	...	-5.35	5 of 5 - Sale <sup>25</sup>
02/03/21	17.000	272.44	Various	290.34	...	-17.90	Total of 5 transactions
02/03/21	0.434	6.96	01/28/21	7.37	0.41 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>279.40</b>		<b>297.71</b>	<b>0.41 W</b>	<b>-17.90</b>	

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
02/02/21	30.000	125.10	01/26/21	121.11	...	3.99	Sale <sup>25</sup>
02/03/21	0.964	4.42	01/26/21	3.89	...	0.53	Sale <sup>25</sup>
04/14/21	0.759	2.08	04/08/21	4.19	...	-2.11	Sale <sup>25</sup>
6 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	10.000	27.80	02/08/21	52.98	25.18 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.028	0.08	02/09/21	0.15	0.07 W	0.00	2 of 6 - Sale <sup>25</sup>
	7.731	21.49	02/09/21	41.25	19.76 W	0.00	3 of 6 - Sale <sup>25</sup>
	15.000	41.70	02/16/21	67.05	25.35 W	0.00	4 of 6 - Sale <sup>25</sup>
	39.241	109.09	04/08/21	118.10	4.13 W	-4.88	5 of 6 - Sale <sup>25</sup>
	50.000	138.99	04/08/21	152.48	13.49 W	0.00	6 of 6 - Sale <sup>25</sup>
04/14/21	122.000	339.15	Various	432.01	87.98 W	-4.88	Total of 6 transactions
6 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	15.000	45.00	04/26/21	65.85	...	-20.85	1 of 6 - Sale <sup>25</sup>
	9.241	27.72	04/26/21	48.22	...	-20.50	2 of 6 - Sale <sup>25</sup>
	7.731	23.19	04/26/21	40.63	...	-17.44	3 of 6 - Sale <sup>25</sup>
	0.028	0.08	04/26/21	0.15	...	-0.07	4 of 6 - Sale <sup>25</sup>
	18.000	54.00	04/26/21	52.73	...	1.27	5 of 6 - Sale <sup>25</sup>
	50.000	150.00	04/26/21	148.49	...	1.51	6 of 6 - Sale <sup>25</sup>
04/29/21	100.000	299.99	Various	356.07	...	-56.08	Total of 6 transactions
<b>Security total:</b>		<b>770.74</b>		<b>917.27</b>	<b>87.98 W</b>	<b>-58.55</b>	
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	5.000	280.29	01/15/21	217.82	...	62.47	1 of 2 - Sale <sup>25</sup>
	5.000	280.29	01/15/21	217.16	...	63.13	2 of 2 - Sale <sup>25</sup>
01/25/21	10.000	560.58	Various	434.98	...	125.60	Total of 2 transactions
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	0.387	18.64	02/18/21	27.63	...	-8.99	1 of 2 - Sale <sup>25</sup>
	0.226	10.91	02/18/21	16.29	...	-5.38	2 of 2 - Sale <sup>25</sup>
02/23/21	0.613	29.55	Various	43.92	...	-14.37	Total of 2 transactions
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	0.387	18.65	02/03/21	25.00	6.35 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.387	211.45	02/11/21	285.93	11.14 W	-63.34	2 of 3 - Sale <sup>25</sup>

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Account 903449536

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol: (cont'd)							
	0.613	29.55	02/11/21	39.96	3.84 W	-6.57	3 of 3 - Sale <sup>25</sup>
02/23/21	5.387	259.65	Various	350.89	21.33 W	-69.91	Total of 3 transactions
02/24/21	0.656	30.28	02/18/21	47.21	...	-16.93	Sale <sup>25</sup>
	<b>Security total:</b>	<b>880.06</b>		<b>877.00</b>	<b>21.33 W</b>	<b>24.39</b>	
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
01/29/21	15.000	240.30	01/27/21	269.95	...	-29.65	Sale <sup>25</sup>
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK / CUSIP: 565788106 / Symbol:							
7 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	5.000	180.50	04/14/21	214.40	33.90 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	180.50	04/14/21	214.88	34.38 W	0.00	2 of 7 - Sale <sup>25</sup>
	5.000	180.50	04/14/21	216.15	35.65 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	180.50	04/14/21	229.80	49.30 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	361.00	04/14/21	429.35	68.35 W	0.00	5 of 7 - Sale <sup>25</sup>
	5.000	180.50	04/15/21	198.18	14.14 W	-3.54	6 of 7 - Sale <sup>25</sup>
	6.000	216.59	04/15/21	252.00	35.41 W	0.00	7 of 7 - Sale <sup>25</sup>
04/19/21	41.000	1,480.09	Various	1,754.76	271.13 W	-3.54	Total of 7 transactions
3 transactions for 04/21/21. Total proceeds and cost reported to the IRS.							
	5.000	167.56	04/21/21	219.00	...	-51.44	1 of 3 - Sale <sup>25</sup>
	3.000	100.53	04/21/21	125.94	...	-25.41	2 of 3 - Sale <sup>25</sup>
	2.000	67.02	04/21/21	83.96	...	-16.94	3 of 3 - Sale <sup>25</sup>
04/21/21	10.000	335.11	Various	428.90	...	-93.79	Total of 3 transactions
3 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	5.000	158.51	04/21/21	211.84	...	-53.33	1 of 3 - Sale <sup>25</sup>
	5.000	172.50	04/21/21	210.37	...	-37.87	2 of 3 - Sale <sup>25</sup>
	5.000	172.50	04/21/21	210.16	...	-37.66	3 of 3 - Sale <sup>25</sup>
04/22/21	15.000	503.51	Various	632.37	...	-128.86	Total of 3 transactions
4 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	5.000	102.70	04/26/21	201.70	...	-99.00	1 of 4 - Sale <sup>25</sup>
	5.000	102.70	04/26/21	196.82	...	-94.12	2 of 4 - Sale <sup>25</sup>
	4.000	82.16	05/03/21	157.48	...	-75.32	3 of 4 - Sale <sup>25</sup>

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Account 903449536

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MARATHON DIGITAL HOLDINGS, INC. COMMON STOCK / CUSIP: 565788106 / Symbol: (cont'd)							
	1.000	20.54	05/03/21	41.74	...	-21.20	4 of 4 - Sale <sup>25</sup>
05/17/21	15.000	308.10	Various	597.74	...	-289.64	Total of 4 transactions
	2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.						
	15.000	843.53	10/22/21	734.48	...	109.05	1 of 2 - Sale <sup>25</sup>
	5.791	325.68	11/15/21	332.00	...	-6.32	2 of 2 - Sale <sup>25</sup>
11/16/21	20.791	1,169.21	Various	1,066.48	...	102.73	Total of 2 transactions
	<b>Security total:</b>	<b>3,796.02</b>		<b>4,480.25</b>	<b>271.13 W</b>	<b>-413.10</b>	
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
	3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	10.000	356.29	01/15/21	221.29	...	135.00	1 of 3 - Sale <sup>25</sup>
	3.000	106.89	01/20/21	61.95	...	44.94	2 of 3 - Sale <sup>25</sup>
	17.000	605.69	01/27/21	304.81	...	300.88	3 of 3 - Sale <sup>25</sup>
02/10/21	30.000	1,068.87	Various	588.05	...	480.82	Total of 3 transactions
	3 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	0.925	34.93	02/11/21	35.86	...	-0.93	1 of 3 - Sale <sup>25</sup>
	0.075	2.83	02/11/21	2.98	...	-0.15	2 of 3 - Sale <sup>25</sup>
	0.001	0.02	02/11/21	0.02	...	0.00	3 of 3 - Sale <sup>25</sup>
02/12/21	1.001	37.78	Various	38.86	...	-1.08	Total of 3 transactions
02/12/21	0.075	2.84	02/11/21	2.91	0.07 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,109.49</b>		<b>629.82</b>	<b>0.07 W</b>	<b>479.74</b>	
MOTUS GI HOLDINGS, INC. COMMON STOCK / CUSIP: 62014P108 / Symbol:							
01/15/21	150.000	174.73	01/15/21	173.84	...	0.89	Sale <sup>25</sup>
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
01/29/21	12.000	688.78	12/21/20	563.76	...	125.02	Sale <sup>25</sup>
	2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	2.000	119.36	12/21/20	93.96	...	25.40	1 of 2 - Sale <sup>25</sup>
	3.000	181.32	12/21/20	140.94	...	40.38	2 of 2 - Sale <sup>25</sup>
02/12/21	5.000	300.68	Various	234.90	...	65.78	Total of 2 transactions

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Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
4 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	1.000	56.67	12/21/20	46.98	...	9.69	1 of 4 - Sale <sup>25</sup>
	2.000	113.06	12/21/20	93.96	...	19.10	2 of 4 - Sale <sup>25</sup>
	2.000	113.34	01/15/21	111.48	...	1.86	3 of 4 - Sale <sup>25</sup>
	2.000	113.24	02/02/21	111.65	...	1.59	4 of 4 - Sale <sup>25</sup>
02/17/21	7.000	396.31	Various	364.07	...	32.24	Total of 4 transactions
8 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	0.001	0.04	03/08/21	0.04	...	0.00	1 of 8 - Sale <sup>25</sup>
	2.585	100.51	03/08/21	97.92	...	2.59	2 of 8 - Sale <sup>25</sup>
	2.751	106.81	03/24/21	109.80	...	-2.99	3 of 8 - Sale <sup>25</sup>
	2.107	81.78	03/24/21	82.65	...	-0.87	4 of 8 - Sale <sup>25</sup>
	0.001	0.05	03/24/21	0.05	...	0.00	5 of 8 - Sale <sup>25</sup>
	0.095	3.68	03/24/21	3.54	...	0.14	6 of 8 - Sale <sup>25</sup>
	3.147	122.35	03/24/21	115.84	...	6.51	7 of 8 - Sale <sup>25</sup>
	9.000	349.91	03/24/21	337.41	...	12.50	8 of 8 - Sale <sup>25</sup>
04/08/21	19.687	765.13	Various	747.25	...	17.88	Total of 8 transactions
7 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	0.005	0.18	02/02/21	0.27	0.09 W	0.00	1 of 7 - Sale <sup>25</sup>
	6.995	271.97	02/02/21	390.51	118.54 W	0.00	2 of 7 - Sale <sup>25</sup>
	2.751	106.97	03/03/21	115.50	8.53 W	0.00	3 of 7 - Sale <sup>25</sup>
	8.000	311.04	03/03/21	330.40	19.36 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.005	0.19	03/10/21	0.30	0.11 W	0.00	5 of 7 - Sale <sup>25</sup>
	5.995	233.09	03/10/21	253.83	20.74 W	0.00	6 of 7 - Sale <sup>25</sup>
	6.995	271.55	03/24/21	376.03	46.40 W	-58.08	7 of 7 - Sale <sup>25</sup>
04/08/21	30.746	1,194.99	Various	1,466.84	213.77 W	-58.08	Total of 7 transactions
4 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	3.107	110.57	04/20/21	159.89	...	-49.32	1 of 4 - Sale <sup>25</sup>
	5.995	213.37	04/20/21	239.74	...	-26.37	2 of 4 - Sale <sup>25</sup>
	5.893	209.75	04/20/21	229.54	...	-19.79	3 of 4 - Sale <sup>25</sup>
	0.005	0.17	04/20/21	0.29	...	-0.12	4 of 4 - Sale <sup>25</sup>
05/10/21	15.000	533.86	Various	629.46	...	-95.60	Total of 4 transactions
	<b>Security total:</b>	<b>3,879.75</b>		<b>4,006.28</b>	<b>213.77 W</b>	<b>87.24</b>	

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
<i>6 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>							
	1.012	17.44	01/15/21	10.43	...	7.01	1 of 6 - Sale <sup>25</sup>
	10.000	172.19	01/15/21	103.18	...	69.01	2 of 6 - Sale <sup>25</sup>
	13.988	240.87	01/15/21	144.22	...	96.65	3 of 6 - Sale <sup>25</sup>
	0.005	0.09	01/20/21	0.06	...	0.03	4 of 6 - Sale <sup>25</sup>
	0.007	0.12	01/20/21	0.08	...	0.04	5 of 6 - Sale <sup>25</sup>
	13.000	223.85	01/20/21	153.86	...	69.99	6 of 6 - Sale <sup>25</sup>
01/25/21	38.012	654.56	Various	411.83	...	242.73	Total of 6 transactions
02/02/21	11.021	163.79	01/29/21	153.09	...	10.70	Sale <sup>25</sup>
<i>3 transactions for 02/02/21. Total proceeds and cost reported to the IRS.</i>							
	0.009	0.12	01/27/21	0.14	0.02 W	0.00	1 of 3 - Sale <sup>25</sup>
	25.969	385.91	01/27/21	394.86	8.95 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.009	0.14	01/29/21	0.15	0.01 W	0.00	3 of 3 - Sale <sup>25</sup>
02/02/21	25.988	386.17	Various	395.15	8.98 W	0.00	Total of 3 transactions
<i>5 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	0.009	0.14	01/29/21	0.14	...	0.00	1 of 5 - Sale <sup>25</sup>
	0.150	2.22	01/29/21	2.14	...	0.08	2 of 5 - Sale <sup>25</sup>
	3.819	56.41	01/29/21	54.36	...	2.05	3 of 5 - Sale <sup>25</sup>
	3.172	46.85	01/29/21	44.12	...	2.73	4 of 5 - Sale <sup>25</sup>
	22.000	324.96	01/29/21	313.59	...	11.37	5 of 5 - Sale <sup>25</sup>
02/05/21	29.150	430.58	Various	414.35	...	16.23	Total of 5 transactions
<i>2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.</i>							
	10.766	81.71	01/29/21	149.74	...	-68.03	1 of 2 - Sale <sup>25</sup>
	10.000	75.90	03/03/21	87.08	...	-11.18	2 of 2 - Sale <sup>25</sup>
04/14/21	20.766	157.61	Various	236.82	...	-79.21	Total of 2 transactions
	<b>Security total:</b>	<b>1,792.71</b>		<b>1,611.24</b>	<b>8.98 W</b>	<b>190.45</b>	
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol:							
03/03/21	25.000	32.27	02/17/21	51.63	...	-19.36	Sale <sup>25</sup>
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
01/20/21	0.673	377.86	01/19/21	339.00	...	38.86	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 903449536

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKE, INC. / CUSIP: 654106103 / Symbol:							
4 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	07/08/21	0.01	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.230	37.07	07/08/21	36.98	...	0.09	2 of 4 - Sale <sup>25</sup>
	2.000	321.95	07/08/21	321.43	...	0.52	3 of 4 - Sale <sup>25</sup>
	3.000	482.93	07/08/21	481.91	...	1.02	4 of 4 - Sale <sup>25</sup>
07/16/21	5.230	841.96	Various	840.33	...	1.63	Total of 4 transactions
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
3 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	5.000	864.62	08/05/21	1,201.12	...	-336.50	1 of 3 - Sale <sup>25</sup>
	5.000	864.62	08/05/21	1,192.09	...	-327.47	2 of 3 - Sale <sup>25</sup>
	5.000	864.62	08/05/21	1,084.90	...	-220.28	3 of 3 - Sale <sup>25</sup>
10/01/21	15.000	2,593.86	Various	3,478.11	...	-884.25	Total of 3 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	0.016	0.13	06/17/21	0.11	...	0.02	1 of 2 - Sale <sup>25</sup>
	3.984	32.51	06/17/21	26.64	...	5.87	2 of 2 - Sale <sup>25</sup>
06/25/21	4.000	32.64	Various	26.75	...	5.89	Total of 2 transactions
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	6.000	48.81	06/17/21	39.12	...	9.69	1 of 2 - Sale <sup>25</sup>
	10.953	89.10	06/17/21	73.25	...	15.85	2 of 2 - Sale <sup>25</sup>
06/30/21	16.953	137.91	Various	112.37	...	25.54	Total of 2 transactions
	<b>Security total:</b>	<b>170.55</b>		<b>139.12</b>	...	<b>31.43</b>	
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
4 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	0.066	0.21	02/08/21	0.21	...	0.00	1 of 4 - Sale <sup>25</sup>
	14.934	47.94	02/08/21	47.92	...	0.02	2 of 4 - Sale <sup>25</sup>
	28.000	89.88	02/12/21	118.72	...	-28.84	3 of 4 - Sale <sup>25</sup>
	0.066	0.21	02/12/21	0.28	...	-0.07	4 of 4 - Sale <sup>25</sup>
04/08/21	43.066	138.24	Various	167.13	...	-28.89	Total of 4 transactions

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BARK, INC. / CUSIP: 68622E104 / Symbol:							
3 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	15.000	139.80	06/28/21	150.15	...	-10.35	1 of 3 - Sale <sup>25</sup>
	15.000	139.80	06/30/21	161.43	...	-21.63	2 of 3 - Sale <sup>25</sup>
	10.000	93.20	06/30/21	107.70	...	-14.50	3 of 3 - Sale <sup>25</sup>
07/08/21	40.000	372.80	Various	419.28	...	-46.48	Total of 3 transactions
ORLA MINING LTD. / CUSIP: 68634K106 / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	21.000	69.30	02/22/21	87.26	...	-17.96	1 of 2 - Sale <sup>25</sup>
	14.000	46.20	02/22/21	58.17	...	-11.97	2 of 2 - Sale <sup>25</sup>
03/03/21	35.000	115.50	Various	145.43	...	-29.93	Total of 2 transactions
OZON HOLDINGS PLC AMERICAN DEP OSITARY SHARES, EACH ADS REPRE SENTING ONE ORDINARY SHARE / CUSIP: 69269L104 / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	2.000	122.32	02/10/21	131.23	...	-8.91	1 of 2 - Sale <sup>25</sup>
	5.000	305.81	02/11/21	327.92	...	-22.11	2 of 2 - Sale <sup>25</sup>
03/03/21	7.000	428.13	Various	459.15	...	-31.02	Total of 2 transactions
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	1.356	31.67	03/03/21	32.17	...	-0.50	1 of 2 - Sale <sup>25</sup>
	0.088	2.06	03/03/21	2.09	...	-0.03	2 of 2 - Sale <sup>25</sup>
04/30/21	1.445	33.73	Various	34.26	...	-0.53	Total of 2 transactions
7 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	5.000	116.75	02/03/21	162.30	45.55 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	116.75	02/09/21	181.45	64.70 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.080	1.87	02/17/21	2.31	0.44 W	0.00	3 of 7 - Sale <sup>25</sup>
	4.920	114.86	02/17/21	142.22	27.36 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	233.45	02/18/21	250.70	4.32 W	-12.93	5 of 7 - Sale <sup>25</sup>
	0.635	14.83	02/18/21	16.04	1.21 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	23.34	02/18/21	25.26	1.92 W	0.00	7 of 7 - Sale <sup>25</sup>
04/30/21	26.635	621.85	Various	780.28	145.50 W	-12.93	Total of 7 transactions

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
<i>13 transactions for 05/10/21. Total proceeds and cost reported to the IRS.</i>							
	13.477	250.47	03/03/21	320.83	...	-70.36	1 of 13 - Sale <sup>25</sup>
	11.634	216.22	03/03/21	275.96	...	-59.74	2 of 13 - Sale <sup>25</sup>
	0.007	0.13	03/03/21	0.17	...	-0.04	3 of 13 - Sale <sup>25</sup>
	4.999	92.91	03/10/21	125.78	...	-32.87	4 of 13 - Sale <sup>25</sup>
	0.750	13.95	03/10/21	18.87	...	-4.92	5 of 13 - Sale <sup>25</sup>
	5.000	92.92	04/09/21	182.75	...	-89.83	6 of 13 - Sale <sup>25</sup>
	5.000	92.92	04/09/21	163.60	...	-70.68	7 of 13 - Sale <sup>25</sup>
	4.859	90.31	04/09/21	141.89	...	-51.58	8 of 13 - Sale <sup>25</sup>
	2.504	46.53	04/09/21	63.51	...	-16.98	9 of 13 - Sale <sup>25</sup>
	1.000	18.58	04/09/21	25.56	...	-6.98	10 of 13 - Sale <sup>25</sup>
	0.635	11.81	04/09/21	16.23	...	-4.42	11 of 13 - Sale <sup>25</sup>
	0.080	1.49	04/09/21	2.33	...	-0.84	12 of 13 - Sale <sup>25</sup>
	0.061	1.13	04/09/21	1.77	...	-0.64	13 of 13 - Sale <sup>25</sup>
05/10/21	50.007	929.37	Various	1,339.25	...	-409.88	Total of 13 transactions
	<b>Security total:</b>	<b>1,584.95</b>		<b>2,153.79</b>	<b>145.50 W</b>	<b>-423.34</b>	
PDS BIOTECHNOLOGY CORPORATION COMMON STOCK / CUSIP: 70465T107 / Symbol:							
06/30/21	25.000	315.50	06/28/21	292.79	...	22.71	Sale <sup>25</sup>
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
01/19/21	4.000	270.12	01/15/21	239.00	...	31.12	Sale <sup>25</sup>
04/15/21	10.000	270.25	04/08/21	328.20	57.95 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 05/10/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	214.11	04/26/21	292.85	39.37 W	-39.37	1 of 3 - Sale <sup>25</sup>
	5.000	107.06	04/26/21	140.65	33.59 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	214.11	04/26/21	339.25	125.14 W	0.00	3 of 3 - Sale <sup>25</sup>
05/10/21	25.000	535.28	Various	772.75	198.10 W	-39.37	Total of 3 transactions
<i>3 transactions for 05/28/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	155.75	05/17/21	155.99	0.24 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	155.76	05/17/21	161.77	6.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	311.60	05/17/21	369.94	58.34 W	0.00	3 of 3 - Sale <sup>25</sup>
05/28/21	20.000	623.11	Various	687.70	64.59 W	0.00	Total of 3 transactions

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Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
9 transactions for 07/19/21. Total proceeds and cost reported to the IRS.							
	6.000	155.52	06/22/21	221.91	...	-66.39	1 of 9 - Sale <sup>25</sup>
	3.579	92.78	06/22/21	135.56	...	-42.78	2 of 9 - Sale <sup>25</sup>
	5.000	129.60	06/22/21	160.44	...	-30.84	3 of 9 - Sale <sup>25</sup>
	3.579	92.78	06/22/21	118.22	...	-25.44	4 of 9 - Sale <sup>25</sup>
	1.421	36.82	06/22/21	47.22	...	-10.40	5 of 9 - Sale <sup>25</sup>
	0.421	10.90	06/22/21	18.02	...	-7.12	6 of 9 - Sale <sup>25</sup>
	1.000	25.92	06/22/21	31.83	...	-5.91	7 of 9 - Sale <sup>25</sup>
	5.000	129.60	06/23/21	162.05	...	-32.45	8 of 9 - Sale <sup>25</sup>
	10.000	259.19	07/14/21	265.10	...	-5.91	9 of 9 - Sale <sup>25</sup>
07/19/21	36.000	933.11	Various	1,160.35	...	-227.24	Total of 9 transactions
07/19/21	0.421	10.91	06/22/21	15.55	4.64 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,642.78</b>		<b>3,203.55</b>	<b>325.28 W</b>	<b>-235.49</b>	
POLAR POWER, INC. COMMON STOCK / CUSIP: 73102V105 / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	7.000	127.41	01/29/21	128.87	0.42 W	-1.04	1 of 2 - Sale <sup>25</sup>
	3.000	54.54	01/29/21	55.23	0.69 W	0.00	2 of 2 - Sale <sup>25</sup>
02/12/21	10.000	181.95	Various	184.10	1.11 W	-1.04	Total of 2 transactions
3 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	5.000	46.31	01/29/21	92.05	...	-45.74	1 of 3 - Sale <sup>25</sup>
	3.000	27.78	02/09/21	56.34	...	-28.56	2 of 3 - Sale <sup>25</sup>
	2.000	18.53	02/09/21	37.52	...	-18.99	3 of 3 - Sale <sup>25</sup>
04/19/21	10.000	92.62	Various	185.91	...	-93.29	Total of 3 transactions
	<b>Security total:</b>	<b>274.57</b>		<b>370.01</b>	<b>1.11 W</b>	<b>-94.33</b>	
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	2.000	111.54	12/09/20	148.88	...	-37.34	1 of 2 - Sale <sup>25</sup>
	2.000	114.31	12/09/20	148.88	...	-34.57	2 of 2 - Sale <sup>25</sup>
02/17/21	4.000	225.85	Various	297.76	...	-71.91	Total of 2 transactions
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	2.000	128.64	12/09/20	148.88	...	-20.24	1 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 903449536

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	2.000	129.06	12/09/20	148.88	...	-19.82	2 of 3 - Sale <sup>25</sup>
	2.000	129.10	12/09/20	148.88	...	-19.78	3 of 3 - Sale <sup>25</sup>
02/19/21	6.000	386.80	Various	446.64	...	-59.84	Total of 3 transactions
	<b>Security total:</b>	<b>612.65</b>		<b>744.40</b>	...	<b>-131.75</b>	
RENESOLA LTD AMERICAN DEPOSITA RY (EACH REPRESENTING TEN SHAR ES) / CUSIP: 75971T301 / Symbol:							
2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	7.414	74.88	02/04/21	175.00	...	-100.12	1 of 2 - Sale <sup>25</sup>
	0.386	3.90	02/04/21	9.10	...	-5.20	2 of 2 - Sale <sup>25</sup>
04/14/21	7.800	78.78	Various	184.10	...	-105.32	Total of 2 transactions
3 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	5.000	44.35	06/08/21	51.42	...	-7.07	1 of 3 - Sale <sup>25</sup>
	3.000	26.61	06/08/21	29.33	...	-2.72	2 of 3 - Sale <sup>25</sup>
	0.720	6.39	06/08/21	7.04	...	-0.65	3 of 3 - Sale <sup>25</sup>
06/15/21	8.720	77.35	Various	87.79	...	-10.44	Total of 3 transactions
06/15/21	11.280	100.00	06/08/21	110.30	4.57 W	-5.73	Sale <sup>25</sup>
	<b>Security total:</b>	<b>256.13</b>		<b>382.19</b>	<b>4.57 W</b>	<b>-121.49</b>	
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
4 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	5.000	98.65	01/15/21	127.25	...	-28.60	1 of 4 - Sale <sup>25</sup>
	1.000	19.73	01/15/21	25.28	...	-5.55	2 of 4 - Sale <sup>25</sup>
	0.324	6.38	01/15/21	8.19	...	-1.81	3 of 4 - Sale <sup>25</sup>
	0.001	0.02	01/15/21	0.03	...	-0.01	4 of 4 - Sale <sup>25</sup>
01/26/21	6.325	124.78	Various	160.75	...	-35.97	Total of 4 transactions
2 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	0.000	0.02	03/24/21	0.02	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.748	39.98	03/24/21	34.60	...	5.38	2 of 2 - Sale <sup>25</sup>
03/31/21	0.749	40.00	Various	34.62	...	5.38	Total of 2 transactions
2 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	0.938	49.62	03/24/21	43.38	...	6.24	1 of 2 - Sale <sup>25</sup>
	8.000	422.96	03/24/21	370.00	...	52.96	2 of 2 - Sale <sup>25</sup>
04/01/21	8.938	472.58	Various	413.38	...	59.20	Total of 2 transactions

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Robinhood Securities LLC

Account 903449536

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol: (cont'd)							
5 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	3.000	133.38	04/14/21	165.06	31.68 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	444.59	04/14/21	496.55	51.96 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	88.92	04/15/21	89.40	0.48 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	88.92	04/15/21	92.06	3.14 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	222.30	04/15/21	244.20	21.90 W	0.00	5 of 5 - Sale <sup>25</sup>
04/16/21	22.000	978.11	Various	1,087.27	109.16 W	0.00	Total of 5 transactions
04/19/21	2.000	82.16	04/16/21	98.34	...	-16.18	Sale <sup>25</sup>
2 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	10.000	410.79	04/16/21	499.86	35.63 W	-53.44	1 of 2 - Sale <sup>25</sup>
	3.000	123.24	04/16/21	166.05	42.81 W	0.00	2 of 2 - Sale <sup>25</sup>
04/19/21	13.000	534.03	Various	665.91	78.44 W	-53.44	Total of 2 transactions
4 transactions for 04/21/21. Total proceeds and cost reported to the IRS.							
	3.000	118.35	04/21/21	167.13	...	-48.78	1 of 4 - Sale <sup>25</sup>
	3.000	118.35	04/21/21	151.04	...	-32.69	2 of 4 - Sale <sup>25</sup>
	3.000	118.35	04/21/21	132.35	...	-14.00	3 of 4 - Sale <sup>25</sup>
	1.000	39.45	04/21/21	41.31	...	-1.86	4 of 4 - Sale <sup>25</sup>
04/21/21	10.000	394.50	Various	491.83	...	-97.33	Total of 4 transactions
3 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	1.000	40.58	04/21/21	50.35	...	-9.77	1 of 3 - Sale <sup>25</sup>
	1.000	40.58	04/21/21	43.01	...	-2.43	2 of 3 - Sale <sup>25</sup>
	2.000	81.16	04/21/21	83.36	...	-2.20	3 of 3 - Sale <sup>25</sup>
04/22/21	4.000	162.32	Various	176.72	...	-14.40	Total of 3 transactions
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	10.000	382.41	10/18/21	307.82	...	74.59	1 of 2 - Sale <sup>25</sup>
	5.000	191.21	10/22/21	141.32	...	49.89	2 of 2 - Sale <sup>25</sup>
11/16/21	15.000	573.62	Various	449.14	...	124.48	Total of 2 transactions
	<b>Security total:</b>	<b>3,362.10</b>		<b>3,577.96</b>	<b>187.60 W</b>	<b>-28.26</b>	

ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:

6 transactions for 07/29/21. Total proceeds and cost reported to the IRS.

	62.000	2,245.07	07/29/21	2,342.98	79.06 W	-18.85	1 of 6 - Sale <sup>25</sup>
	0.715	25.89	07/29/21	28.15	2.26 W	0.00	2 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol: (cont'd)							
	0.715	25.91	07/29/21	27.04	1.13 W	0.00	3 of 6 - Sale <sup>25</sup>
	9.285	336.22	07/29/21	351.16	14.94 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	374.30	07/29/21	380.00	5.70 W	0.00	5 of 6 - Sale <sup>25</sup>
	20.000	724.22	07/29/21	745.00	20.78 W	0.00	6 of 6 - Sale <sup>25</sup>
07/29/21	102.715	3,731.61	Various	3,874.33	123.87 W	-18.85	Total of 6 transactions
	6 transactions for 08/03/21. Total proceeds and cost reported to the IRS.						
	5.715	260.96	07/30/21	207.44	...	53.52	1 of 6 - Sale <sup>25</sup>
	9.285	423.98	07/30/21	342.31	...	81.67	2 of 6 - Sale <sup>25</sup>
	9.916	452.79	08/03/21	458.55	...	-5.76	3 of 6 - Sale <sup>25</sup>
	0.084	3.84	08/03/21	3.88	...	-0.04	4 of 6 - Sale <sup>25</sup>
	0.715	32.65	08/03/21	30.55	...	2.10	5 of 6 - Sale <sup>25</sup>
	14.285	652.29	08/03/21	579.98	...	72.31	6 of 6 - Sale <sup>25</sup>
08/03/21	40.000	1,826.51	Various	1,622.71	...	203.80	Total of 6 transactions
	2 transactions for 08/04/21. Total proceeds and cost reported to the IRS.						
	0.062	4.09	08/03/21	2.87	...	1.22	1 of 2 - Sale <sup>25</sup>
	40.000	2,624.38	08/03/21	1,849.76	...	774.62	2 of 2 - Sale <sup>25</sup>
08/04/21	40.062	2,628.47	Various	1,852.63	...	775.84	Total of 2 transactions
	<b>Security total:</b>	<b>8,186.59</b>		<b>7,349.67</b>	<b>123.87 W</b>	<b>960.79</b>	
SECOND SIGHT MEDICAL PRODUCTS, INC. COMMON STOCK / CUSIP: 81362J209 / Symbol:							
07/01/21	50.000	236.50	06/25/21	264.50	...	-28.00	Sale <sup>25</sup>
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
	4 transactions for 04/14/21. Total proceeds and cost reported to the IRS.						
	4.933	10.41	02/09/21	20.77	...	-10.36	1 of 4 - Sale <sup>25</sup>
	0.067	0.14	02/09/21	0.28	...	-0.14	2 of 4 - Sale <sup>25</sup>
	22.983	48.50	02/17/21	112.59	...	-64.09	3 of 4 - Sale <sup>25</sup>
	0.085	0.18	02/17/21	0.41	...	-0.23	4 of 4 - Sale <sup>25</sup>
04/14/21	28.067	59.23	Various	134.05	...	-74.82	Total of 4 transactions
	2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.						
	15.000	62.77	06/08/21	46.31	...	16.46	1 of 2 - Sale <sup>25</sup>
	25.000	104.63	06/08/21	73.20	...	31.43	2 of 2 - Sale <sup>25</sup>
06/14/21	40.000	167.40	Various	119.51	...	47.89	Total of 2 transactions

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol: (cont'd)							
<b>Security total:</b>		<b>226.63</b>		<b>253.56</b>	...	<b>-26.93</b>	
SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol:							
03/03/21	30.000	78.00	02/19/21	92.69	...	-14.69	Sale <sup>25</sup>
SNAP INC. / CUSIP: 83304A106 / Symbol:							
06/09/21	3.000	181.75	06/01/21	191.10	...	-9.35	Sale <sup>25</sup>
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
02/16/21	15.000	178.35	02/09/21	52.33	...	126.02	Sale <sup>25</sup>
4 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	0.706	3.83	02/17/21	7.39	3.56 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.294	23.28	02/17/21	44.96	21.68 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.714	3.87	02/19/21	8.10	4.23 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.991	27.05	02/19/21	56.70	29.65 W	0.00	4 of 4 - Sale <sup>25</sup>
04/08/21	10.706	58.03	Various	117.15	59.12 W	0.00	Total of 4 transactions
7 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	4.000	18.40	04/14/21	43.42	...	-25.02	1 of 7 - Sale <sup>25</sup>
	4.294	19.75	04/14/21	42.79	...	-23.04	2 of 7 - Sale <sup>25</sup>
	9.294	40.63	04/14/21	45.68	...	-5.05	3 of 7 - Sale <sup>25</sup>
	0.706	3.08	04/14/21	7.66	...	-4.58	4 of 7 - Sale <sup>25</sup>
	0.714	3.29	04/14/21	7.74	...	-4.45	5 of 7 - Sale <sup>25</sup>
	0.706	3.25	04/14/21	7.03	...	-3.78	6 of 7 - Sale <sup>25</sup>
	0.286	1.31	04/14/21	3.10	...	-1.79	7 of 7 - Sale <sup>25</sup>
04/15/21	20.000	89.71	Various	157.42	...	-67.71	Total of 7 transactions
<b>Security total:</b>		<b>326.09</b>		<b>326.90</b>	<b>59.12 W</b>	<b>58.31</b>	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
01/28/21	95.000	83.82	01/20/21	67.39	...	16.43	Sale <sup>25</sup>
02/11/21	150.000	468.26	02/08/21	169.50	...	298.76	Sale <sup>25</sup>
7 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	61.856	57.77	02/12/21	122.97	...	-65.20	1 of 7 - Sale <sup>25</sup>
	24.000	22.41	02/12/21	53.88	...	-31.47	2 of 7 - Sale <sup>25</sup>
	19.620	18.32	02/12/21	40.59	...	-22.27	3 of 7 - Sale <sup>25</sup>

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Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	0.454	0.42	02/12/21	1.02	...	-0.60	4 of 7 - Sale <sup>25</sup>
	0.343	0.32	02/12/21	0.71	...	-0.39	5 of 7 - Sale <sup>25</sup>
	0.013	0.01	02/12/21	0.03	...	-0.02	6 of 7 - Sale <sup>25</sup>
	101.727	95.01	02/19/21	129.00	...	-33.99	7 of 7 - Sale <sup>25</sup>
04/14/21	208.013	194.26	Various	348.20	...	-153.94	Total of 7 transactions
	<b>Security total:</b>	<b>746.34</b>		<b>585.09</b>	...	<b>161.25</b>	
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
5 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	10.000	115.90	02/04/21	216.23	...	-100.33	1 of 5 - Sale <sup>25</sup>
	5.000	57.95	02/04/21	102.50	...	-44.55	2 of 5 - Sale <sup>25</sup>
	5.000	57.95	02/05/21	106.96	...	-49.01	3 of 5 - Sale <sup>25</sup>
	3.000	34.77	02/05/21	62.55	...	-27.78	4 of 5 - Sale <sup>25</sup>
	0.837	9.70	02/05/21	17.45	...	-7.75	5 of 5 - Sale <sup>25</sup>
04/14/21	23.837	276.27	Various	505.69	...	-229.42	Total of 5 transactions
TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol:							
02/11/21	3.000	72.38	02/04/21	73.75	...	-1.37	Sale <sup>25</sup>
TELLURIAN INC. / CUSIP: 87968A104 / Symbol:							
02/12/21	30.000	117.45	01/26/21	111.60	...	5.85	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
3 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	0.012	10.38	12/14/20	7.98	...	2.40	1 of 3 - Sale <sup>25</sup>
	0.113	94.27	12/14/20	72.36	...	21.91	2 of 3 - Sale <sup>25</sup>
	1.782	1,490.28	12/21/20	1,182.00	...	308.28	3 of 3 - Sale <sup>25</sup>
01/11/21	1.907	1,594.93	Various	1,262.34	...	332.59	Total of 3 transactions
02/12/21	0.014	11.00	02/03/21	11.90	...	-0.90	Sale <sup>25</sup>
5 transactions for 05/12/21. Total proceeds and cost reported to the IRS.							
	0.047	28.05	05/10/21	30.43	2.38 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.953	572.98	05/10/21	623.96	50.98 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	601.02	05/10/21	703.84	102.82 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	601.98	05/10/21	653.22	51.24 W	0.00	4 of 5 - Sale <sup>25</sup>

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Account 903449536

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	4.000	2,404.11	05/10/21	2,612.87	208.76 W	0.00	5 of 5 - Sale <sup>25</sup>
05/12/21	7.000	4,208.14	Various	4,624.32	416.18 W	0.00	Total of 5 transactions
	9 transactions for 05/17/21. Total proceeds and cost reported to the IRS.						
	0.043	25.10	05/10/21	30.48	5.38 W	0.00	1 of 9 - Sale <sup>25</sup>
	2.000	1,163.73	05/12/21	1,315.62	151.89 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.043	25.10	05/13/21	29.26	4.16 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.957	556.77	05/13/21	600.40	43.63 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	581.87	05/13/21	627.50	45.63 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.047	27.15	05/14/21	29.69	2.54 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.953	554.71	05/14/21	608.88	54.17 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.957	556.76	05/14/21	658.35	101.59 W	0.00	8 of 9 - Sale <sup>25</sup>
	1.000	581.87	05/14/21	585.21	3.34 W	0.00	9 of 9 - Sale <sup>25</sup>
05/17/21	7.000	4,073.06	Various	4,485.39	412.33 W	0.00	Total of 9 transactions
	4 transactions for 05/19/21. Total proceeds and cost reported to the IRS.						
	0.957	526.56	05/19/21	631.25	...	-104.69	1 of 4 - Sale <sup>25</sup>
	1.000	550.30	05/19/21	599.17	...	-48.87	2 of 4 - Sale <sup>25</sup>
	0.957	526.57	05/19/21	573.30	...	-46.73	3 of 4 - Sale <sup>25</sup>
	0.043	23.74	05/19/21	28.04	...	-4.30	4 of 4 - Sale <sup>25</sup>
05/19/21	2.957	1,627.17	Various	1,831.76	...	-204.59	Total of 4 transactions
	2 transactions for 05/19/21. Total proceeds and cost reported to the IRS.						
	0.043	23.74	05/14/21	30.62	6.88 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	1,100.60	05/19/21	1,257.63	112.20 W	-44.83	2 of 2 - Sale <sup>25</sup>
05/19/21	2.043	1,124.34	Various	1,288.25	119.08 W	-44.83	Total of 2 transactions
	6 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	0.953	556.89	05/19/21	583.22	...	-26.33	1 of 6 - Sale <sup>25</sup>
	0.429	250.63	05/19/21	271.79	...	-21.16	2 of 6 - Sale <sup>25</sup>
	0.043	25.20	05/19/21	30.82	...	-5.62	3 of 6 - Sale <sup>25</sup>
	0.043	25.20	05/19/21	26.23	...	-1.03	4 of 6 - Sale <sup>25</sup>
	0.004	2.06	05/19/21	2.15	...	-0.09	5 of 6 - Sale <sup>25</sup>
	0.528	308.32	05/19/21	294.67	...	13.65	6 of 6 - Sale <sup>25</sup>
06/03/21	2.000	1,168.30	Various	1,208.88	...	-40.58	Total of 6 transactions
06/09/21	0.333	200.00	05/19/21	185.95	...	14.05	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 903449536

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
4 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	0.000	0.03	05/19/21	0.03	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.139	86.70	05/19/21	77.64	...	9.06	2 of 4 - Sale <sup>25</sup>
	0.818	507.31	05/20/21	538.28	...	-30.97	3 of 4 - Sale <sup>25</sup>
	0.182	113.27	05/20/21	119.51	...	-6.24	4 of 4 - Sale <sup>25</sup>
06/22/21	1.139	707.31	Various	735.46	...	-28.15	Total of 4 transactions
4 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	0.333	317.59	12/15/21	326.04	8.45 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.333	317.60	12/15/21	321.79	4.19 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.367	349.60	12/15/21	354.28	4.68 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.667	635.52	12/15/21	644.05	8.53 W	0.00	4 of 4 - Sale <sup>25</sup>
12/15/21	1.700	1,620.31	Various	1,646.16	25.85 W	0.00	Total of 4 transactions
7 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	0.400	435.68	12/15/21	385.95	...	49.73	1 of 7 - Sale <sup>25</sup>
	1.233	1,344.43	12/15/21	1,191.08	...	153.35	2 of 7 - Sale <sup>25</sup>
	0.300	327.08	12/17/21	283.98	...	43.10	3 of 7 - Sale <sup>25</sup>
	0.333	363.29	12/17/21	319.60	...	43.69	4 of 7 - Sale <sup>25</sup>
	0.367	399.90	12/17/21	347.18	...	52.72	5 of 7 - Sale <sup>25</sup>
	0.367	399.90	12/20/21	333.27	...	66.63	6 of 7 - Sale <sup>25</sup>
	0.400	436.21	12/20/21	358.42	...	77.79	7 of 7 - Sale <sup>25</sup>
12/27/21	3.400	3,706.49	Various	3,219.48	...	487.01	Total of 7 transactions
	<b>Security total:</b>	<b>20,041.05</b>		<b>20,499.89</b>	<b>973.44 W</b>	<b>514.60</b>	
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
05/10/21	5.000	77.01	02/18/21	160.10	...	-83.09	Sale <sup>25</sup>
5 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	2.000	30.80	02/11/21	76.56	45.76 W	0.00	1 of 5 - Sale <sup>25</sup>
	7.000	107.81	02/11/21	313.18	205.37 W	0.00	2 of 5 - Sale <sup>25</sup>
	3.238	49.87	02/12/21	100.00	50.13 W	0.00	3 of 5 - Sale <sup>25</sup>
	6.627	102.06	02/12/21	200.00	97.94 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	77.00	02/17/21	160.15	73.18 W	-9.97	5 of 5 - Sale <sup>25</sup>
05/10/21	23.865	367.54	Various	849.89	472.38 W	-9.97	Total of 5 transactions

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol: (cont'd)							
<i>13 transactions for 06/07/21. Total proceeds and cost reported to the IRS.</i>							
	6.535	122.62	06/03/21	324.00	...	-201.38	1 of 13 - Sale <sup>25</sup>
	4.000	75.05	06/03/21	140.07	...	-65.02	2 of 13 - Sale <sup>25</sup>
	2.000	37.52	06/03/21	86.24	...	-48.72	3 of 13 - Sale <sup>25</sup>
	3.188	62.65	06/03/21	110.51	...	-47.86	4 of 13 - Sale <sup>25</sup>
	2.588	50.86	06/03/21	93.02	...	-42.16	5 of 13 - Sale <sup>25</sup>
	2.199	43.21	06/03/21	77.01	...	-33.80	6 of 13 - Sale <sup>25</sup>
	1.812	35.61	06/03/21	64.91	...	-29.30	7 of 13 - Sale <sup>25</sup>
	0.464	8.71	06/03/21	23.03	...	-14.32	8 of 13 - Sale <sup>25</sup>
	0.266	5.22	06/03/21	9.31	...	-4.09	9 of 13 - Sale <sup>25</sup>
	0.162	3.18	06/03/21	5.49	...	-2.31	10 of 13 - Sale <sup>25</sup>
	0.050	0.99	06/03/21	1.75	...	-0.76	11 of 13 - Sale <sup>25</sup>
	0.000	0.01	06/03/21	0.02	...	-0.01	12 of 13 - Sale <sup>25</sup>
	0.001	0.01	06/03/21	0.02	...	-0.01	13 of 13 - Sale <sup>25</sup>
06/07/21	23.266	445.64	Various	935.38	...	-489.74	Total of 13 transactions
	<b>Security total:</b>	<b>890.19</b>		<b>1,945.37</b>	<b>472.38 W</b>	<b>-582.80</b>	
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
<i>3 transactions for 03/03/21. Total proceeds and cost reported to the IRS.</i>							
	15.800	42.19	02/17/21	59.25	...	-17.06	1 of 3 - Sale <sup>25</sup>
	7.946	21.21	02/17/21	29.08	...	-7.87	2 of 3 - Sale <sup>25</sup>
	0.033	0.09	02/17/21	0.12	...	-0.03	3 of 3 - Sale <sup>25</sup>
03/03/21	23.778	63.49	Various	88.45	...	-24.96	Total of 3 transactions
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
02/12/21	0.270	0.82	02/08/21	1.00	...	-0.18	Sale <sup>25</sup>
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
<i>2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.</i>							
	2.601	149.98	05/27/21	150.73	...	-0.75	1 of 2 - Sale <sup>25</sup>
	0.000	0.02	05/27/21	0.02	...	0.00	2 of 2 - Sale <sup>25</sup>
06/03/21	2.602	150.00	Various	150.75	...	-0.75	Total of 2 transactions
06/09/21	2.000	121.66	05/27/21	115.88	...	5.78	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TWITTER, INC. / CUSIP: 90184L102 / Symbol: (cont'd)							
2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	0.398	24.39	05/27/21	23.07	...	1.32	1 of 2 - Sale <sup>25</sup>
	1.000	61.25	05/27/21	57.94	...	3.31	2 of 2 - Sale <sup>25</sup>
06/14/21	1.398	85.64	Various	81.01	...	4.63	Total of 2 transactions
	<b>Security total:</b>	<b>357.30</b>		<b>347.64</b>	...	<b>9.66</b>	
UNITED NATURAL FOODS INC / CUSIP: 911163103 / Symbol:							
2 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	4.000	154.72	05/27/21	150.08	...	4.64	1 of 2 - Sale <sup>25</sup>
	6.000	232.20	05/27/21	225.12	...	7.08	2 of 2 - Sale <sup>25</sup>
06/01/21	10.000	386.92	Various	375.20	...	11.72	Total of 2 transactions
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	8.000	137.00	06/04/21	101.08	...	35.92	1 of 2 - Sale <sup>25</sup>
	20.000	342.50	06/04/21	265.00	...	77.50	2 of 2 - Sale <sup>25</sup>
06/08/21	28.000	479.50	Various	366.08	...	113.42	Total of 2 transactions
06/11/21	10.000	212.34	06/08/21	171.89	...	40.45	Sale <sup>25</sup>
	<b>Security total:</b>	<b>691.84</b>		<b>537.97</b>	...	<b>153.87</b>	
VIACOMCBS INC. CLASS B COMMON STOCK / CUSIP: 92556H206 / Symbol:							
06/08/21	5.000	207.90	05/27/21	213.78	...	-5.88	Sale <sup>25</sup>
06/09/21	5.000	207.70	05/27/21	213.77	...	-6.07	Sale <sup>25</sup>
	<b>Security total:</b>	<b>415.60</b>		<b>427.55</b>	...	<b>-11.95</b>	
VINCO VENTURES, INC. COMMON STOCK / CUSIP: 927330100 / Symbol:							
06/15/21	30.000	123.75	06/07/21	129.00	4.38 W	-0.87	Sale <sup>25</sup>
06/30/21	25.000	98.79	06/22/21	128.88	...	-30.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>222.54</b>		<b>257.88</b>	<b>4.38 W</b>	<b>-30.96</b>	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
02/22/21	2.000	100.12	02/10/21	105.14	...	-5.02	Sale <sup>25</sup>
3 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	0.001	0.02	06/01/21	0.02	...	0.00	1 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	0.042	1.54	06/01/21	1.29	...	0.25	2 of 3 - Sale <sup>25</sup>
	2.000	73.44	06/01/21	61.38	...	12.06	3 of 3 - Sale <sup>25</sup>
06/14/21	2.042	75.00	Various	62.69	...	12.31	Total of 3 transactions
	2 transactions for 06/17/21. Total proceeds and cost reported to the IRS.						
	3.958	146.75	06/01/21	121.45	...	25.30	1 of 2 - Sale <sup>25</sup>
	5.000	185.40	06/01/21	144.85	...	40.55	2 of 2 - Sale <sup>25</sup>
06/17/21	8.958	332.15	Various	266.30	...	65.85	Total of 2 transactions
	3 transactions for 07/09/21. Total proceeds and cost reported to the IRS.						
	6.000	300.12	06/30/21	283.98	...	16.14	1 of 3 - Sale <sup>25</sup>
	7.703	385.29	07/01/21	337.30	...	47.99	2 of 3 - Sale <sup>25</sup>
	8.000	400.16	07/07/21	349.33	...	50.83	3 of 3 - Sale <sup>25</sup>
07/09/21	21.703	1,085.57	Various	970.61	...	114.96	Total of 3 transactions
	2 transactions for 07/15/21. Total proceeds and cost reported to the IRS.						
	15.000	476.69	07/12/21	626.40	...	-149.71	1 of 2 - Sale <sup>25</sup>
	10.000	317.80	07/14/21	330.10	...	-12.30	2 of 2 - Sale <sup>25</sup>
07/15/21	25.000	794.49	Various	956.50	...	-162.01	Total of 2 transactions
	<b>Security total:</b>	<b>2,387.33</b>		<b>2,361.24</b>	...	<b>26.09</b>	
WM TECHNOLOGY, INC. CLASS A CO MMON STOCK / CUSIP: 92971A109 / Symbol:							
	4 transactions for 06/30/21. Total proceeds and cost reported to the IRS.						
	6.672	118.70	06/21/21	121.40	...	-2.70	1 of 4 - Sale <sup>25</sup>
	0.311	5.52	06/21/21	5.73	...	-0.21	2 of 4 - Sale <sup>25</sup>
	0.017	0.31	06/21/21	0.31	...	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	88.95	06/23/21	93.19	...	-4.24	4 of 4 - Sale <sup>25</sup>
06/30/21	12.000	213.48	Various	220.63	...	-7.15	Total of 4 transactions
06/30/21	0.311	5.56	06/21/21	5.64	0.08 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>219.04</b>		<b>226.27</b>	<b>0.08 W</b>	<b>-7.15</b>	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
	2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	5.000	185.10	02/02/21	171.53	...	13.57	1 of 2 - Sale <sup>25</sup>
	5.000	185.10	02/02/21	169.55	...	15.55	2 of 2 - Sale <sup>25</sup>
02/12/21	10.000	370.20	Various	341.08	...	29.12	Total of 2 transactions

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Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
02/22/21	3.845	126.18	02/19/21	129.10	2.92 W	0.00	Sale <sup>25</sup>
	6 transactions for 03/03/21. Total proceeds and cost reported to the IRS.						
	0.016	0.24	02/24/21	0.22	...	0.02	1 of 6 - Sale <sup>25</sup>
	0.642	9.67	02/24/21	9.49	...	0.18	2 of 6 - Sale <sup>25</sup>
	3.202	48.13	02/24/21	47.29	...	0.84	3 of 6 - Sale <sup>25</sup>
	1.000	15.03	02/24/21	14.01	...	1.02	4 of 6 - Sale <sup>25</sup>
	1.798	27.02	02/24/21	25.19	...	1.83	5 of 6 - Sale <sup>25</sup>
	13.984	210.20	02/24/21	195.92	...	14.28	6 of 6 - Sale <sup>25</sup>
03/03/21	20.642	310.29	Various	292.12	...	18.17	Total of 6 transactions
	<b>Security total:</b>	<b>806.67</b>		<b>762.30</b>	<b>2.92 W</b>	<b>47.29</b>	
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
	2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	5.000	98.30	01/25/21	97.58	...	0.72	1 of 2 - Sale <sup>25</sup>
	10.000	196.60	01/25/21	195.60	...	1.00	2 of 2 - Sale <sup>25</sup>
01/29/21	15.000	294.90	Various	293.18	...	1.72	Total of 2 transactions
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
02/01/21	100.000	96.06	01/20/21	103.00	6.94 W	0.00	Sale <sup>25</sup>
	3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	50.000	93.55	02/02/21	56.97	...	36.58	1 of 3 - Sale <sup>25</sup>
	20.000	37.42	02/03/21	22.20	...	15.22	2 of 3 - Sale <sup>25</sup>
	50.000	93.55	02/03/21	58.97	...	34.58	3 of 3 - Sale <sup>25</sup>
02/05/21	120.000	224.52	Various	138.14	...	86.38	Total of 3 transactions
	10 transactions for 04/08/21. Total proceeds and cost reported to the IRS.						
	14.608	18.86	02/08/21	37.69	...	-18.83	1 of 10 - Sale <sup>25</sup>
	25.000	32.28	02/09/21	56.50	...	-24.22	2 of 10 - Sale <sup>25</sup>
	32.258	41.65	02/12/21	80.00	...	-38.35	3 of 10 - Sale <sup>25</sup>
	54.929	70.92	02/19/21	128.43	...	-57.51	4 of 10 - Sale <sup>25</sup>
	0.118	0.15	02/19/21	0.27	...	-0.12	5 of 10 - Sale <sup>25</sup>
	13.980	18.05	02/24/21	29.61	...	-11.56	6 of 10 - Sale <sup>25</sup>
	0.279	0.36	02/24/21	0.59	...	-0.23	7 of 10 - Sale <sup>25</sup>
	112.436	145.18	04/06/21	149.48	...	-4.30	8 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	0.208	0.27	04/06/21	0.53	...	-0.26	9 of 10 - Sale <sup>25</sup>
	0.185	0.24	04/06/21	0.49	...	-0.25	10 of 10 - Sale <sup>25</sup>
04/08/21	254.000	327.96	Various	483.59	...	-155.63	Total of 10 transactions
04/08/21	0.392	0.51	02/08/21	1.01	0.50 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>649.05</b>		<b>725.74</b>	<b>7.44 W</b>	<b>-69.25</b>	
ARCLIGHT CLEAN TRANSITION CORP. CLASS A ORDINARY SHARES / CUSIP: G04561109 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	2.000	50.00	02/02/21	53.18	3.18 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	125.00	02/02/21	132.95	7.95 W	0.00	2 of 2 - Sale <sup>25</sup>
02/04/21	7.000	175.00	Various	186.13	11.13 W	0.00	Total of 2 transactions
3 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	5.000	91.73	02/10/21	131.95	...	-40.22	1 of 3 - Sale <sup>25</sup>
	3.000	55.03	02/10/21	74.40	...	-19.37	2 of 3 - Sale <sup>25</sup>
	2.000	36.69	02/10/21	52.78	...	-16.09	3 of 3 - Sale <sup>25</sup>
03/10/21	10.000	183.45	Various	259.13	...	-75.68	Total of 3 transactions
	<b>Security total:</b>	<b>358.45</b>		<b>445.26</b>	<b>11.13 W</b>	<b>-75.68</b>	
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol:							
06/15/21	80.000	40.77	06/09/21	51.57	...	-10.80	Sale <sup>25</sup>
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. IV / CUSIP: G82514103 / Symbol:							
02/04/21	10.000	159.50	01/15/21	156.08	...	3.42	Sale <sup>25</sup>
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. VI / CUSIP: G8251L105 / Symbol:							
02/04/21	10.000	152.14	01/15/21	146.00	...	6.14	Sale <sup>25</sup>
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol:							
07/08/21	20.000	89.63	06/28/21	90.00	...	-0.37	Sale <sup>25</sup>
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
3 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	21.000	10.45	02/08/21	19.82	...	-9.37	1 of 3 - Sale <sup>25</sup>
	50.000	24.88	02/09/21	54.88	...	-30.00	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 903449536

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	64.562	32.13	02/16/21	100.00	...	-67.87	3 of 3 - Sale <sup>25</sup>
04/08/21	135.562	67.46	Various	174.70	...	-107.24	Total of 3 transactions
<b>Totals :</b>		<b>93,362.30</b>		<b>100,936.79</b>	<b>3,992.85 W</b>	<b>-3,581.64</b>	

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25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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