

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 115939415	Statement Date: 02/14/2021 Document ID: D612 6J3 4429	2020
	Thirumurugan Samuthiram 2343 W Hunter Ct Phoenix, AZ 85085 RECIPIENT'S TIN: XXX-XX-2590		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 233.54</p> <p>1b- Qualified dividends 142.32</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 9.11</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	358,459.08	291,048.83	0.00	9,374.42	76,784.67
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	358,459.08	291,048.83	0.00	9,374.42	76,784.67
Long	D (basis reported to the IRS)	48,896.66	35,047.13	0.00	877.20	14,726.73
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	48,896.66	35,047.13	0.00	877.20	14,726.73
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	407,355.74	326,095.96	0.00	10,251.62	91,511.40
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK GENOMIC REVOLUTION ETF / CUSIP: 00214Q302 / Symbol:							
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	3.000	280.79	12/14/20	298.89	18.10 W	0.00	1 of 2 - Sale ²⁵
	9.000	842.38	12/14/20	897.30	54.92 W	0.00	2 of 2 - Sale ²⁵
12/15/20	12.000	1,123.17	Various	1,196.19	73.02 W	0.00	Total of 2 transactions
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	105.44	12/14/20	105.73	0.29 W	0.00	1 of 3 - Sale ²⁵
	1.000	105.44	12/14/20	105.68	0.24 W	0.00	2 of 3 - Sale ²⁵
	1.000	105.44	12/14/20	105.67	0.23 W	0.00	3 of 3 - Sale ²⁵
12/22/20	3.000	316.32	Various	317.08	0.76 W	0.00	Total of 3 transactions
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	12.000	1,131.16	12/15/20	1,121.52	...	9.64	1 of 2 - Sale ²⁵
	55.000	5,184.46	12/29/20	5,181.00	...	3.46	2 of 2 - Sale ²⁵
12/29/20	67.000	6,315.62	Various	6,302.52	...	13.10	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK GENOMIC REVOLUTION ETF / CUSIP: 00214Q302 / Symbol: (cont'd)							
6 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	5.000	471.31	12/14/20	528.71	57.40 W	0.00	1 of 6 - Sale ²⁵
	4.000	377.05	12/14/20	420.17	43.12 W	0.00	2 of 6 - Sale ²⁵
	1.000	94.26	12/14/20	99.27	5.01 W	0.00	3 of 6 - Sale ²⁵
	1.000	94.26	12/14/20	99.21	4.95 W	0.00	4 of 6 - Sale ²⁵
	1.000	94.26	12/14/20	97.33	3.07 W	0.00	5 of 6 - Sale ²⁵
	1.000	94.26	12/14/20	97.10	2.84 W	0.00	6 of 6 - Sale ²⁵
12/29/20	13.000	1,225.40	Various	1,341.79	116.39 W	0.00	Total of 6 transactions
9 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	3.000	279.77	12/31/20	315.46	...	-35.69	1 of 9 - Sale ²⁵
	2.000	186.52	12/31/20	211.20	...	-24.68	2 of 9 - Sale ²⁵
	2.000	186.51	12/31/20	208.92	...	-22.41	3 of 9 - Sale ²⁵
	2.000	186.52	12/31/20	208.90	...	-22.38	4 of 9 - Sale ²⁵
	1.000	93.26	12/31/20	98.69	...	-5.43	5 of 9 - Sale ²⁵
	1.000	93.26	12/31/20	98.62	...	-5.36	6 of 9 - Sale ²⁵
	1.000	93.26	12/31/20	96.74	...	-3.48	7 of 9 - Sale ²⁵
	1.000	93.26	12/31/20	96.51	...	-3.25	8 of 9 - Sale ²⁵
	2.000	186.51	12/31/20	187.36	...	-0.85	9 of 9 - Sale ²⁵
12/31/20	15.000	1,398.87	Various	1,522.40	...	-123.53	Total of 9 transactions
23 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	2.000	187.51	12/29/20	211.36	23.85 W	0.00	1 of 23 - Sale ²⁵
	1.000	93.76	12/29/20	99.21	5.45 W	0.00	2 of 23 - Sale ²⁵
	1.000	93.76	12/29/20	99.15	5.39 W	0.00	3 of 23 - Sale ²⁵
	1.000	93.76	12/29/20	97.27	3.51 W	0.00	4 of 23 - Sale ²⁵
	3.000	281.27	12/29/20	317.04	35.77 W	0.00	5 of 23 - Sale ²⁵
	2.000	187.51	12/29/20	209.96	22.45 W	0.00	6 of 23 - Sale ²⁵
	2.000	187.51	12/29/20	212.25	24.74 W	0.00	7 of 23 - Sale ²⁵
	2.000	187.52	12/29/20	209.96	22.44 W	0.00	8 of 23 - Sale ²⁵
	1.000	93.76	12/29/20	97.04	3.28 W	0.00	9 of 23 - Sale ²⁵
	5.000	468.78	12/29/20	471.00	2.22 W	0.00	10 of 23 - Sale ²⁵
	5.000	468.79	12/29/20	471.00	2.21 W	0.00	11 of 23 - Sale ²⁵
	1.000	93.75	12/31/20	99.19	5.44 W	0.00	12 of 23 - Sale ²⁵
	1.000	93.76	12/31/20	99.13	5.37 W	0.00	13 of 23 - Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK GENOMIC REVOLUTION ETF / CUSIP: 00214Q302 / Symbol: (cont'd)							
	1.000	93.76	12/31/20	97.25	3.49 W	0.00	14 of 23 - Sale ²⁵
	2.000	187.52	12/31/20	212.22	24.70 W	0.00	15 of 23 - Sale ²⁵
	3.000	281.28	12/31/20	316.99	35.71 W	0.00	16 of 23 - Sale ²⁵
	2.000	187.51	12/31/20	209.93	22.42 W	0.00	17 of 23 - Sale ²⁵
	2.000	187.52	12/31/20	209.92	22.40 W	0.00	18 of 23 - Sale ²⁵
	1.000	93.76	12/31/20	97.02	3.26 W	0.00	19 of 23 - Sale ²⁵
	2.000	187.51	12/31/20	188.37	0.86 W	0.00	20 of 23 - Sale ²⁵
	3.000	279.77	12/31/20	282.55	2.78 W	0.00	21 of 23 - Sale ²⁵
	5.000	466.29	12/31/20	470.91	4.62 W	0.00	22 of 23 - Sale ²⁵
	17.000	1,585.38	12/31/20	1,593.58	8.20 W	0.00	23 of 23 - Sale ²⁵
12/31/20	65.000	6,081.74	Various	6,372.30	290.56 W	0.00	Total of 23 transactions
	Security total:	16,461.12		17,052.28	480.73 W	-110.43	
ABIOMED, INC. COMMON STOCK / CUSIP: 003654100 / Symbol:							
12 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	2.000	335.99	12/09/19	371.68	...	-35.69	1 of 12 - Sale ²⁵
	1.000	167.99	12/09/19	185.84	...	-17.85	2 of 12 - Sale ²⁵
	4.000	669.44	12/24/19	690.00	...	-20.56	3 of 12 - Sale ²⁵
	3.000	502.24	12/24/19	517.50	...	-15.26	4 of 12 - Sale ²⁵
	1.000	166.03	12/24/19	172.50	...	-6.47	5 of 12 - Sale ²⁵
	1.000	167.99	12/24/19	172.50	...	-4.51	6 of 12 - Sale ²⁵
	1.000	168.00	12/24/19	172.50	...	-4.50	7 of 12 - Sale ²⁵
	1.000	166.02	01/10/20	187.80	...	-21.78	8 of 12 - Sale ²⁵
	1.000	166.03	01/10/20	187.80	...	-21.77	9 of 12 - Sale ²⁵
	1.000	166.02	01/10/20	187.56	...	-21.54	10 of 12 - Sale ²⁵
	1.000	166.03	01/10/20	187.56	...	-21.53	11 of 12 - Sale ²⁵
	1.000	166.02	01/10/20	186.84	...	-20.82	12 of 12 - Sale ²⁵
02/20/20	18.000	3,007.80	Various	3,220.08	...	-212.28	Total of 12 transactions
2 transactions for 02/21/20. Total proceeds and cost reported to the IRS.							
	2.000	334.34	01/10/20	390.05	...	-55.71	1 of 2 - Sale ²⁵
	1.000	167.17	01/10/20	187.80	...	-20.63	2 of 2 - Sale ²⁵
02/21/20	3.000	501.51	Various	577.85	...	-76.34	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABIOMED, INC. COMMON STOCK / CUSIP: 003654100 / Symbol: (cont'd)							
2 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	7.000	1,143.07	01/10/20	1,365.17	...	-222.10	1 of 2 - Sale ²⁵
	1.000	163.30	01/10/20	195.03	...	-31.73	2 of 2 - Sale ²⁵
02/24/20	8.000	1,306.37	Various	1,560.20	...	-253.83	Total of 2 transactions
	Security total:	4,815.68		5,358.13	...	-542.45	
ACADIA PHARMACEUTICALS INC. CO MMON STOCK / CUSIP: 004225108 / Symbol:							
5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	3.000	153.78	11/25/20	186.36	...	-32.58	1 of 5 - Sale ²⁵
	1.000	51.26	11/25/20	68.32	...	-17.06	2 of 5 - Sale ²⁵
	1.000	51.26	11/25/20	68.29	...	-17.03	3 of 5 - Sale ²⁵
	1.000	51.26	11/25/20	62.20	...	-10.94	4 of 5 - Sale ²⁵
	1.000	51.26	11/25/20	56.86	...	-5.60	5 of 5 - Sale ²⁵
12/11/20	7.000	358.82	Various	442.03	...	-83.21	Total of 5 transactions
4 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	2.000	102.52	11/25/20	114.76	12.24 W	0.00	1 of 4 - Sale ²⁵
	3.000	153.78	11/25/20	172.14	18.36 W	0.00	2 of 4 - Sale ²⁵
	2.000	102.52	11/25/20	127.10	24.58 W	0.00	3 of 4 - Sale ²⁵
	1.000	51.26	11/25/20	57.43	6.17 W	0.00	4 of 4 - Sale ²⁵
12/11/20	8.000	410.08	Various	471.43	61.35 W	0.00	Total of 4 transactions
	Security total:	768.90		913.46	61.35 W	-83.21	
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	81.80	02/26/20	48.74	...	33.06	1 of 3 - Sale ²⁵
	1.000	81.80	02/26/20	48.28	...	33.52	2 of 3 - Sale ²⁵
	4.000	327.18	03/20/20	166.04	...	161.14	3 of 3 - Sale ²⁵
08/20/20	6.000	490.78	Various	263.06	...	227.72	Total of 3 transactions
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	82.82	03/20/20	41.51	...	41.31	1 of 2 - Sale ²⁵
	9.000	745.36	03/20/20	373.59	...	371.77	2 of 2 - Sale ²⁵
11/19/20	10.000	828.18	Various	415.10	...	413.08	Total of 2 transactions
	Security total:	1,318.96		678.16	...	640.80	

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ALIGN TECHNOLOGY, INC. COMMON STOCK / CUSIP: 016255101 / Symbol:							
02/21/20	1.000	260.64	08/08/19	188.50	...	72.14	Sale ²⁵
	4 transactions for 02/24/20. Total proceeds and cost reported to the IRS.						
	5.000	1,202.47	08/08/19	942.50	...	259.97	1 of 4 - Sale ²⁵
	1.000	240.49	08/22/19	178.00	...	62.49	2 of 4 - Sale ²⁵
	1.000	240.50	08/22/19	178.00	...	62.50	3 of 4 - Sale ²⁵
	1.000	240.49	01/10/20	297.16	...	-56.67	4 of 4 - Sale ²⁵
02/24/20	8.000	1,923.95	Various	1,595.66	...	328.29	Total of 4 transactions
	Security total:	2,184.59		1,784.16	...	400.43	
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol:							
	2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	10.000	237.99	07/10/20	230.00	...	7.99	1 of 2 - Sale ²⁵
	25.000	594.99	07/10/20	580.00	...	14.99	2 of 2 - Sale ²⁵
07/10/20	35.000	832.98	Various	810.00	...	22.98	Total of 2 transactions
AMEDISYS INC COMMON STOCK / CUSIP: 023436108 / Symbol:							
08/20/20	1.000	243.77	02/26/20	188.14	...	55.63	Sale ²⁵
	2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	1.000	251.71	03/20/20	177.51	...	74.20	1 of 2 - Sale ²⁵
	4.000	1,006.81	03/20/20	710.04	...	296.77	2 of 2 - Sale ²⁵
10/30/20	5.000	1,258.52	Various	887.55	...	370.97	Total of 2 transactions
	Security total:	1,502.29		1,075.69	...	426.60	
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
	2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	1.000	13.41	11/09/20	13.22	...	0.19	1 of 2 - Sale ²⁵
	19.000	254.79	11/09/20	251.18	...	3.61	2 of 2 - Sale ²⁵
11/09/20	20.000	268.20	Various	264.40	...	3.80	Total of 2 transactions
AMPIO PHARMACEUTICALS, INC / CUSIP: 03209T109 / Symbol:							
	17 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	1,000.000	1,779.84	12/23/20	1,930.00	...	-150.16	1 of 17 - Sale ²⁵
	800.000	1,423.87	12/23/20	1,544.00	...	-120.13	2 of 17 - Sale ²⁵
	800.000	1,423.87	12/23/20	1,544.00	...	-120.13	3 of 17 - Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMPIO PHARMACEUTICALS, INC / CUSIP: 03209T109 / Symbol: (cont'd)							
	800.000	1,423.87	12/23/20	1,544.00	...	-120.13	4 of 17 - Sale ²⁵
	800.000	1,423.88	12/23/20	1,544.00	...	-120.12	5 of 17 - Sale ²⁵
	725.000	1,290.38	12/23/20	1,399.25	...	-108.87	6 of 17 - Sale ²⁵
	75.000	133.49	12/23/20	144.75	...	-11.26	7 of 17 - Sale ²⁵
	14.000	23.52	12/23/20	23.24	...	0.28	8 of 17 - Sale ²⁵
	100.000	167.98	12/23/20	166.00	...	1.98	9 of 17 - Sale ²⁵
	100.000	167.99	12/23/20	166.00	...	1.99	10 of 17 - Sale ²⁵
	100.000	167.99	12/23/20	166.00	...	1.99	11 of 17 - Sale ²⁵
	122.000	204.95	12/23/20	202.52	...	2.43	12 of 17 - Sale ²⁵
	286.000	480.43	12/23/20	474.76	...	5.67	13 of 17 - Sale ²⁵
	400.000	671.93	12/23/20	664.00	...	7.93	14 of 17 - Sale ²⁵
	400.000	671.94	12/23/20	664.00	...	7.94	15 of 17 - Sale ²⁵
	678.000	1,138.93	12/23/20	1,125.48	...	13.45	16 of 17 - Sale ²⁵
	800.000	1,343.87	12/23/20	1,328.00	...	15.87	17 of 17 - Sale ²⁵
12/23/20	8,000.000	13,938.73	Various	14,630.00	...	-691.27	Total of 17 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	379.99	02/24/20	299.89	...	80.10	1 of 2 - Sale ²⁵
	1.000	379.99	02/26/20	288.00	...	91.99	2 of 2 - Sale ²⁵
07/14/20	2.000	759.98	Various	587.89	...	172.09	Total of 2 transactions
4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	468.69	02/26/20	288.00	...	180.69	1 of 4 - Sale ²⁵
	1.000	468.69	02/28/20	275.82	...	192.87	2 of 4 - Sale ²⁵
	2.000	937.37	02/28/20	551.14	...	386.23	3 of 4 - Sale ²⁵
	1.000	468.69	03/10/20	284.17	...	184.52	4 of 4 - Sale ²⁵
08/20/20	5.000	2,343.44	Various	1,399.13	...	944.31	Total of 4 transactions
09/01/20	20.000	2,635.54	03/10/20	1,420.85	...	1,214.69	Sale ²⁵
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	109.45	03/10/20	71.04	...	38.41	1 of 2 - Sale ²⁵
	39.000	4,268.45	03/10/20	2,770.66	...	1,497.79	2 of 2 - Sale ²⁵
10/30/20	40.000	4,377.90	Various	2,841.70	...	1,536.20	Total of 2 transactions

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
7 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	1.000	109.75	03/10/20	71.04	...	38.71	1 of 7 - Sale ²⁵
	15.000	1,640.01	03/10/20	1,065.64	...	574.37	2 of 7 - Sale ²⁵
	1.000	110.86	03/20/20	62.03	...	48.83	3 of 7 - Sale ²⁵
	4.000	438.99	03/20/20	248.13	...	190.86	4 of 7 - Sale ²⁵
	4.000	443.44	03/20/20	248.12	...	195.32	5 of 7 - Sale ²⁵
	5.000	551.08	03/20/20	310.16	...	240.92	6 of 7 - Sale ²⁵
	5.000	551.88	03/20/20	310.16	...	241.72	7 of 7 - Sale ²⁵
11/03/20	35.000	3,846.01	Various	2,315.28	...	1,530.73	Total of 7 transactions
3 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	1.000	113.50	03/20/20	62.03	...	51.47	1 of 3 - Sale ²⁵
	5.000	566.90	03/20/20	310.15	...	256.75	2 of 3 - Sale ²⁵
	9.000	1,021.47	03/20/20	558.28	...	463.19	3 of 3 - Sale ²⁵
11/04/20	15.000	1,701.87	Various	930.46	...	771.41	Total of 3 transactions
9 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	116.38	03/20/20	62.03	...	54.35	1 of 9 - Sale ²⁵
	1.000	116.38	03/20/20	62.03	...	54.35	2 of 9 - Sale ²⁵
	1.000	116.85	03/20/20	62.03	...	54.82	3 of 9 - Sale ²⁵
	1.000	116.85	03/20/20	62.03	...	54.82	4 of 9 - Sale ²⁵
	1.000	118.40	03/20/20	62.04	...	56.36	5 of 9 - Sale ²⁵
	1.000	118.40	03/20/20	62.03	...	56.37	6 of 9 - Sale ²⁵
	1.000	118.60	03/20/20	61.97	...	56.63	7 of 9 - Sale ²⁵
	3.000	355.20	03/20/20	185.92	...	169.28	8 of 9 - Sale ²⁵
	10.000	1,189.48	03/20/20	619.72	...	569.76	9 of 9 - Sale ²⁵
11/06/20	20.000	2,366.54	Various	1,239.80	...	1,126.74	Total of 9 transactions
5 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	4.000	472.79	03/20/20	250.00	...	222.79	1 of 5 - Sale ²⁵
	5.000	589.58	03/20/20	312.50	...	277.08	2 of 5 - Sale ²⁵
	5.000	590.38	03/20/20	312.50	...	277.88	3 of 5 - Sale ²⁵
	6.000	709.18	03/20/20	371.84	...	337.34	4 of 5 - Sale ²⁵
	10.000	1,181.37	03/20/20	625.00	...	556.37	5 of 5 - Sale ²⁵
11/19/20	30.000	3,543.30	Various	1,871.84	...	1,671.46	Total of 5 transactions
	Security total:	21,574.58		12,606.95	...	8,967.63	

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Account 115939415

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2020 1099-B* OMB No. 1545-0715

(continued)

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ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol:							
<i>5 transactions for 12/30/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	207.14	12/02/20	189.30	...	17.84	1 of 5 - Sale ²⁵
	10.000	138.09	12/08/20	141.10	...	-3.01	2 of 5 - Sale ²⁵
	10.000	138.10	12/08/20	139.10	...	-1.00	3 of 5 - Sale ²⁵
	3.000	41.43	12/08/20	41.52	...	-0.09	4 of 5 - Sale ²⁵
	2.000	27.62	12/08/20	27.68	...	-0.06	5 of 5 - Sale ²⁵
12/30/20	40.000	552.38	Various	538.70	...	13.68	Total of 5 transactions
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol:							
12/24/20	200.000	137.98	12/24/20	115.84	...	22.14	Sale ²⁵
BANDWIDTH INC. CLASS A COMMON STOCK / CUSIP: 05988J103 / Symbol:							
<i>2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	145.66	10/26/20	161.75	...	-16.09	1 of 2 - Sale ²⁵
	2.000	291.32	11/03/20	337.30	...	-45.98	2 of 2 - Sale ²⁵
11/19/20	3.000	436.98	Various	499.05	...	-62.07	Total of 2 transactions
11/19/20	3.000	439.05	10/26/20	485.25	30.80 W	-15.40	Sale ²⁵
	Security total:	876.03		984.30	30.80 W	-77.47	
BEAM THERAPEUTICS INC. COMMON STOCK / CUSIP: 07373V105 / Symbol:							
<i>2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	177.14	12/21/20	176.90	...	0.24	1 of 2 - Sale ²⁵
	93.000	8,236.81	12/29/20	8,207.25	...	29.56	2 of 2 - Sale ²⁵
12/29/20	95.000	8,413.95	Various	8,384.15	...	29.80	Total of 2 transactions
12/29/20	5.000	442.84	12/21/20	445.00	2.16 W	0.00	Sale ²⁵
12/31/20	40.000	3,239.93	12/31/20	3,238.80	...	1.13	Sale ²⁵
<i>3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	404.99	12/29/20	443.41	38.42 W	0.00	1 of 3 - Sale ²⁵
	2.000	162.00	12/29/20	176.50	14.50 W	0.00	2 of 3 - Sale ²⁵
	3.000	242.99	12/29/20	261.00	18.01 W	0.00	3 of 3 - Sale ²⁵
12/31/20	10.000	809.98	Various	880.91	70.93 W	0.00	Total of 3 transactions
	Security total:	12,906.70		12,948.86	73.09 W	30.93	

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(continued)

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BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
06/08/20	2.000	284.00	06/08/20	283.36	...	0.64	Sale ²⁵
BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	4.000	270.86	09/04/20	340.00	...	-69.14	1 of 2 - Sale ²⁵
	2.000	135.43	09/10/20	164.00	...	-28.57	2 of 2 - Sale ²⁵
11/19/20	6.000	406.29	Various	504.00	...	-97.71	Total of 2 transactions
BIONTECH SE AMERICAN DEPOSITAR Y SHARE / CUSIP: 09075V102 / Symbol:							
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	13.000	1,144.36	12/29/20	1,143.74	...	0.62	1 of 2 - Sale ²⁵
	19.000	1,672.53	12/29/20	1,671.62	...	0.91	2 of 2 - Sale ²⁵
12/29/20	32.000	2,816.89	Various	2,815.36	...	1.53	Total of 2 transactions
6 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	6.000	528.17	12/21/20	636.00	107.83 W	0.00	1 of 6 - Sale ²⁵
	7.000	616.19	12/28/20	626.01	9.82 W	0.00	2 of 6 - Sale ²⁵
	1.000	88.03	12/28/20	89.43	1.40 W	0.00	3 of 6 - Sale ²⁵
	6.000	528.17	12/29/20	635.71	107.54 W	0.00	4 of 6 - Sale ²⁵
	1.000	88.03	12/29/20	89.38	1.35 W	0.00	5 of 6 - Sale ²⁵
	7.000	616.19	12/29/20	625.68	9.49 W	0.00	6 of 6 - Sale ²⁵
12/29/20	28.000	2,464.78	Various	2,702.21	237.43 W	0.00	Total of 6 transactions
8 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	6.000	487.49	12/31/20	594.94	...	-107.45	1 of 8 - Sale ²⁵
	10.000	812.47	12/31/20	878.14	...	-65.67	2 of 8 - Sale ²⁵
	4.000	324.99	12/31/20	350.25	...	-25.26	3 of 8 - Sale ²⁵
	7.000	568.74	12/31/20	577.06	...	-8.32	4 of 8 - Sale ²⁵
	1.000	81.25	12/31/20	82.43	...	-1.18	5 of 8 - Sale ²⁵
	1.000	81.25	12/31/20	81.33	...	-0.08	6 of 8 - Sale ²⁵
	1.000	81.25	12/31/20	81.10	...	0.15	7 of 8 - Sale ²⁵
	18.000	1,466.96	12/31/20	1,466.64	...	0.32	8 of 8 - Sale ²⁵
12/31/20	48.000	3,904.40	Various	4,111.89	...	-207.49	Total of 8 transactions

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(continued)

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BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol: (cont'd)							
9 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	4.000	326.00	12/29/20	351.92	25.92 W	0.00	1 of 9 - Sale ²⁵
	10.000	814.98	12/29/20	879.80	64.82 W	0.00	2 of 9 - Sale ²⁵
	6.000	488.99	12/31/20	595.94	106.95 W	0.00	3 of 9 - Sale ²⁵
	4.000	325.99	12/31/20	351.84	25.85 W	0.00	4 of 9 - Sale ²⁵
	1.000	81.50	12/31/20	82.83	1.33 W	0.00	5 of 9 - Sale ²⁵
	7.000	570.49	12/31/20	579.85	9.36 W	0.00	6 of 9 - Sale ²⁵
	6.000	487.49	12/31/20	595.83	108.34 W	0.00	7 of 9 - Sale ²⁵
	10.000	812.48	12/31/20	879.62	67.14 W	0.00	8 of 9 - Sale ²⁵
	4.000	324.99	12/31/20	325.92	0.93 W	0.00	9 of 9 - Sale ²⁵
12/31/20	52.000	4,232.91	Various	4,643.55	410.64 W	0.00	Total of 9 transactions
	Security total:	13,418.98		14,273.01	648.07 W	-205.96	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
07/10/20	2.000	346.40	07/10/20	346.00	...	0.40	Sale ²⁵
10/07/20	4.000	657.54	07/16/20	719.80	46.70 W	-15.56	Sale ²⁵
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	2.000	332.19	07/16/20	359.90	...	-27.71	1 of 2 - Sale ²⁵
	2.000	332.19	09/03/20	347.00	...	-14.81	2 of 2 - Sale ²⁵
10/08/20	4.000	664.38	Various	706.90	...	-42.52	Total of 2 transactions
3 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	1.000	169.68	09/04/20	167.00	...	2.68	1 of 3 - Sale ²⁵
	1.000	169.70	09/04/20	167.00	...	2.70	2 of 3 - Sale ²⁵
	3.000	508.84	09/10/20	534.58	...	-25.74	3 of 3 - Sale ²⁵
10/09/20	5.000	848.22	Various	868.58	...	-20.36	Total of 3 transactions
	Security total:	2,516.54		2,641.28	46.70 W	-78.04	
BRINKER INTERNATIONAL, INC. / CUSIP: 109641100 / Symbol:							
3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	8.000	138.89	03/24/20	111.92	...	26.97	1 of 3 - Sale ²⁵
	3.000	52.08	03/25/20	41.52	...	10.56	2 of 3 - Sale ²⁵
	5.000	86.81	03/25/20	67.55	...	19.26	3 of 3 - Sale ²⁵
05/14/20	16.000	277.78	Various	220.99	...	56.79	Total of 3 transactions

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BURLINGTON STORES, INC. / CUSIP: 122017106 / Symbol:							
06/30/20	5.000	977.32	03/20/20	715.60	...	261.72	Sale ²⁵
CAESARS ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 12769G100 / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	65.19	02/26/20	55.62	...	9.57	1 of 2 - Sale ²⁵
	15.000	977.82	03/20/20	135.00	...	842.82	2 of 2 - Sale ²⁵
11/19/20	16.000	1,043.01	Various	190.62	...	852.39	Total of 2 transactions
11/30/20	25.000	1,713.60	03/20/20	225.00	...	1,488.60	Sale ²⁵
11 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	2.000	136.80	03/20/20	18.40	...	118.40	1 of 11 - Sale ²⁵
	3.000	205.73	03/20/20	27.60	...	178.13	2 of 11 - Sale ²⁵
	5.000	340.15	03/20/20	46.40	...	293.75	3 of 11 - Sale ²⁵
	5.000	342.20	03/20/20	46.40	...	295.80	4 of 11 - Sale ²⁵
	5.000	341.80	03/20/20	46.00	...	295.80	5 of 11 - Sale ²⁵
	5.000	342.61	03/20/20	46.39	...	296.22	6 of 11 - Sale ²⁵
	5.000	342.89	03/20/20	43.25	...	299.64	7 of 11 - Sale ²⁵
	6.000	412.31	03/20/20	51.90	...	360.41	8 of 11 - Sale ²⁵
	10.000	686.88	03/20/20	89.30	...	597.58	9 of 11 - Sale ²⁵
	14.000	962.05	03/20/20	121.10	...	840.95	10 of 11 - Sale ²⁵
	15.000	1,030.33	03/20/20	139.20	...	891.13	11 of 11 - Sale ²⁵
12/02/20	75.000	5,143.75	Various	675.94	...	4,467.81	Total of 11 transactions
4 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	5.000	362.84	03/20/20	43.25	...	319.59	1 of 4 - Sale ²⁵
	10.000	739.58	03/20/20	116.50	...	623.08	2 of 4 - Sale ²⁵
	20.000	1,451.36	03/20/20	173.00	...	1,278.36	3 of 4 - Sale ²⁵
	25.000	1,822.95	03/20/20	291.25	...	1,531.70	4 of 4 - Sale ²⁵
12/22/20	60.000	4,376.73	Various	624.00	...	3,752.73	Total of 4 transactions
	Security total:	12,277.09		1,715.56	...	10,561.53	
CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol:							
11/19/20	10.000	33.25	10/19/20	18.99	...	14.26	Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CARVANA CO. / CUSIP: 146869102 / Symbol:							
03/26/20	1.000	56.79	03/24/20	50.80	...	5.99	Sale ²⁵
	2.000	264.53	07/02/20	263.26	...	1.27	1 of 2 - Sale ²⁵
	5.000	661.33	07/02/20	657.10	...	4.23	2 of 2 - Sale ²⁵
07/02/20	7.000	925.86	Various	920.36	...	5.50	Total of 2 transactions
	Security total:	982.65		971.16	...	11.49	
CASPER SLEEP INC. / CUSIP: 147626105 / Symbol:							
	3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	44.000	431.64	06/08/20	426.80	...	4.84	1 of 3 - Sale ²⁵
	2.000	19.62	06/10/20	16.48	...	3.14	2 of 3 - Sale ²⁵
	1.000	9.81	06/24/20	8.66	...	1.15	3 of 3 - Sale ²⁵
08/10/20	47.000	461.07	Various	451.94	...	9.13	Total of 3 transactions
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol:							
	10 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	1.000	5.12	12/14/20	4.91	...	0.21	1 of 10 - Sale ²⁵
	2.000	10.24	12/14/20	9.70	...	0.54	2 of 10 - Sale ²⁵
	25.000	127.99	12/14/20	121.13	...	6.86	3 of 10 - Sale ²⁵
	3.000	15.36	12/15/20	14.73	...	0.63	4 of 10 - Sale ²⁵
	16.000	81.92	12/17/20	76.80	...	5.12	5 of 10 - Sale ²⁵
	25.000	127.99	12/17/20	118.00	...	9.99	6 of 10 - Sale ²⁵
	41.000	209.09	12/31/20	239.06	...	-29.97	7 of 10 - Sale ²⁵
	172.000	877.15	12/31/20	873.76	...	3.39	8 of 10 - Sale ²⁵
	400.000	2,039.89	12/31/20	2,036.00	...	3.89	9 of 10 - Sale ²⁵
	172.000	880.58	12/31/20	875.48	...	5.10	10 of 10 - Sale ²⁵
12/31/20	857.000	4,375.33	Various	4,369.57	...	5.76	Total of 10 transactions
	58 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	20.000	102.39	11/30/20	159.00	56.61 W	0.00	1 of 58 - Sale ²⁵
	11.000	56.32	12/02/20	78.43	22.11 W	0.00	2 of 58 - Sale ²⁵
	10.000	51.20	12/02/20	70.49	19.29 W	0.00	3 of 58 - Sale ²⁵
	5.000	25.60	12/02/20	34.15	8.55 W	0.00	4 of 58 - Sale ²⁵
	4.000	20.48	12/02/20	27.47	6.99 W	0.00	5 of 58 - Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol: (cont'd)							
	50.000	255.98	12/08/20	278.50	22.52 W	0.00	6 of 58 - Sale ²⁵
	15.000	76.80	12/08/20	126.01	49.21 W	0.00	7 of 58 - Sale ²⁵
	2.000	10.24	12/08/20	16.86	6.62 W	0.00	8 of 58 - Sale ²⁵
	3.000	15.36	12/08/20	25.80	10.44 W	0.00	9 of 58 - Sale ²⁵
	4.000	20.48	12/08/20	30.07	9.59 W	0.00	10 of 58 - Sale ²⁵
	5.000	25.60	12/08/20	37.40	11.80 W	0.00	11 of 58 - Sale ²⁵
	10.000	51.20	12/08/20	76.99	25.79 W	0.00	12 of 58 - Sale ²⁵
	11.000	56.32	12/08/20	85.58	29.26 W	0.00	13 of 58 - Sale ²⁵
	17.000	87.03	12/08/20	105.75	18.72 W	0.00	14 of 58 - Sale ²⁵
	1.000	5.12	12/08/20	6.54	1.42 W	0.00	15 of 58 - Sale ²⁵
	2.000	10.24	12/10/20	11.50	1.26 W	0.00	16 of 58 - Sale ²⁵
	2.000	10.24	12/10/20	11.50	1.26 W	0.00	17 of 58 - Sale ²⁵
	28.000	143.35	12/14/20	148.38	5.03 W	0.00	18 of 58 - Sale ²⁵
	28.000	143.35	12/21/20	148.40	5.05 W	0.00	19 of 58 - Sale ²⁵
	50.000	255.99	12/21/20	273.85	17.86 W	0.00	20 of 58 - Sale ²⁵
	50.000	255.98	12/21/20	295.00	39.02 W	0.00	21 of 58 - Sale ²⁵
	2.000	10.24	12/22/20	18.26	8.02 W	0.00	22 of 58 - Sale ²⁵
	3.000	15.36	12/22/20	27.90	12.54 W	0.00	23 of 58 - Sale ²⁵
	15.000	76.80	12/22/20	136.51	59.71 W	0.00	24 of 58 - Sale ²⁵
	4.000	20.48	12/22/20	32.87	12.39 W	0.00	25 of 58 - Sale ²⁵
	5.000	25.60	12/22/20	40.90	15.30 W	0.00	26 of 58 - Sale ²⁵
	10.000	51.20	12/22/20	83.99	32.79 W	0.00	27 of 58 - Sale ²⁵
	11.000	56.32	12/22/20	93.28	36.96 W	0.00	28 of 58 - Sale ²⁵
	1.000	5.12	12/22/20	7.24	2.12 W	0.00	29 of 58 - Sale ²⁵
	17.000	87.04	12/22/20	117.66	30.62 W	0.00	30 of 58 - Sale ²⁵
	2.000	10.24	12/22/20	12.90	2.66 W	0.00	31 of 58 - Sale ²⁵
	2.000	10.24	12/22/20	12.90	2.66 W	0.00	32 of 58 - Sale ²⁵
	28.000	143.35	12/22/20	167.99	24.64 W	0.00	33 of 58 - Sale ²⁵
	20.000	102.39	12/22/20	120.01	17.62 W	0.00	34 of 58 - Sale ²⁵
	2.000	10.24	12/22/20	11.98	1.74 W	0.00	35 of 58 - Sale ²⁵
	4.000	20.48	12/29/20	25.10	4.62 W	0.00	36 of 58 - Sale ²⁵
	6.000	30.72	12/29/20	34.05	3.33 W	0.00	37 of 58 - Sale ²⁵
	50.000	255.99	12/29/20	292.61	36.62 W	0.00	38 of 58 - Sale ²⁵
	5.000	25.60	12/29/20	31.38	5.78 W	0.00	39 of 58 - Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol: (cont'd)							
	41.000	209.91	12/31/20	240.69	30.78 W	0.00	40 of 58 - Sale ²⁵
	2.000	10.20	12/31/20	18.20	8.00 W	0.00	41 of 58 - Sale ²⁵
	3.000	15.30	12/31/20	27.81	12.51 W	0.00	42 of 58 - Sale ²⁵
	15.000	76.50	12/31/20	136.06	59.56 W	0.00	43 of 58 - Sale ²⁵
	4.000	20.40	12/31/20	32.75	12.35 W	0.00	44 of 58 - Sale ²⁵
	5.000	25.50	12/31/20	40.75	15.25 W	0.00	45 of 58 - Sale ²⁵
	10.000	51.00	12/31/20	83.69	32.69 W	0.00	46 of 58 - Sale ²⁵
	11.000	56.10	12/31/20	92.95	36.85 W	0.00	47 of 58 - Sale ²⁵
	1.000	5.10	12/31/20	7.21	2.11 W	0.00	48 of 58 - Sale ²⁵
	17.000	86.70	12/31/20	117.15	30.45 W	0.00	49 of 58 - Sale ²⁵
	2.000	10.20	12/31/20	12.84	2.64 W	0.00	50 of 58 - Sale ²⁵
	2.000	10.20	12/31/20	12.84	2.64 W	0.00	51 of 58 - Sale ²⁵
	28.000	142.79	12/31/20	167.16	24.37 W	0.00	52 of 58 - Sale ²⁵
	2.000	10.20	12/31/20	11.92	1.72 W	0.00	53 of 58 - Sale ²⁵
	20.000	101.99	12/31/20	119.42	17.43 W	0.00	54 of 58 - Sale ²⁵
	4.000	20.40	12/31/20	24.98	4.58 W	0.00	55 of 58 - Sale ²⁵
	5.000	25.50	12/31/20	31.23	5.73 W	0.00	56 of 58 - Sale ²⁵
	6.000	30.60	12/31/20	33.87	3.27 W	0.00	57 of 58 - Sale ²⁵
	50.000	254.99	12/31/20	291.12	36.13 W	0.00	58 of 58 - Sale ²⁵
12/31/20	743.000	3,800.26	Various	4,817.84	1,017.58 W	0.00	Total of 58 transactions
	Security total:	8,175.59		9,187.41	1,017.58 W	5.76	
THE CHEFS' WAREHOUSE, INC. COM MON STOCK / CUSIP: 163086101 / Symbol:							
03/26/20	10.000	113.00	03/24/20	107.70	...	5.30	Sale ²⁵
CHEWY, INC. / CUSIP: 16679L109 / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	68.10	11/10/20	59.70	...	8.40	1 of 2 - Sale ²⁵
	4.000	272.40	11/10/20	238.80	...	33.60	2 of 2 - Sale ²⁵
11/19/20	5.000	340.50	Various	298.50	...	42.00	Total of 2 transactions
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	5.000	523.24	11/10/20	294.50	...	228.74	1 of 2 - Sale ²⁵
	10.000	1,046.47	11/10/20	597.00	...	449.47	2 of 2 - Sale ²⁵
12/22/20	15.000	1,569.71	Various	891.50	...	678.21	Total of 2 transactions

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Account 115939415

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CHEWY, INC. / CUSIP: 16679L109 / Symbol: (cont'd)							
Security total:		1,910.21		1,190.00	...		720.21
CINTAS CORPORATION COMMON STOC K / CUSIP: 172908105 / Symbol:							
08/20/20	1.000	320.91	03/20/20	179.95	...	140.96	Sale ²⁵
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	335.20	03/20/20	179.95	...	155.25	1 of 2 - Sale ²⁵
	2.000	669.82	03/20/20	359.90	...	309.92	2 of 2 - Sale ²⁵
09/01/20	3.000	1,005.02	Various	539.85	...	465.17	Total of 2 transactions
Security total:		1,325.93		719.80	...		606.13
COCRYSTAL PHARMA, INC. COMMON STOCK / CUSIP: 19188J300 / Symbol:							
5 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	100.000	194.48	07/09/20	176.00	...	18.48	1 of 5 - Sale ²⁵
	100.000	194.48	07/09/20	176.00	...	18.48	2 of 5 - Sale ²⁵
	100.000	194.49	07/09/20	172.00	...	22.49	3 of 5 - Sale ²⁵
	250.000	486.21	07/09/20	455.00	...	31.21	4 of 5 - Sale ²⁵
	300.000	583.45	07/09/20	531.00	...	52.45	5 of 5 - Sale ²⁵
07/10/20	850.000	1,653.11	Various	1,510.00	...	143.11	Total of 5 transactions
COPART, INC. (DE) COMMON STOCK / CUSIP: 217204106 / Symbol:							
3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	100.43	02/20/20	94.50	...	5.93	1 of 3 - Sale ²⁵
	4.000	401.71	02/20/20	378.00	...	23.71	2 of 3 - Sale ²⁵
	4.000	401.71	02/20/20	372.00	...	29.71	3 of 3 - Sale ²⁵
08/20/20	9.000	903.85	Various	844.50	...	59.35	Total of 3 transactions
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	105.84	02/20/20	94.00	...	11.84	1 of 3 - Sale ²⁵
	1.000	105.84	02/20/20	93.00	...	12.84	2 of 3 - Sale ²⁵
	3.000	317.52	02/20/20	282.00	...	35.52	3 of 3 - Sale ²⁵
09/29/20	5.000	529.20	Various	469.00	...	60.20	Total of 3 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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COPART, INC. (DE) COMMON STOCK / CUSIP: 217204106 / Symbol: (cont'd)							
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	114.59	02/20/20	98.00	...	16.59	1 of 2 - Sale ²⁵
	1.000	114.59	02/20/20	94.00	...	20.59	2 of 2 - Sale ²⁵
10/22/20	2.000	229.18	Various	192.00	...	37.18	Total of 2 transactions
3 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	4.000	449.75	02/20/20	392.00	...	57.75	1 of 3 - Sale ²⁵
	1.000	112.44	02/21/20	95.87	...	16.57	2 of 3 - Sale ²⁵
	1.000	112.43	02/26/20	91.98	...	20.45	3 of 3 - Sale ²⁵
10/26/20	6.000	674.62	Various	579.85	...	94.77	Total of 3 transactions
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	2.000	226.79	02/26/20	183.96	...	42.83	1 of 2 - Sale ²⁵
	5.000	566.99	03/20/20	351.57	...	215.42	2 of 2 - Sale ²⁵
11/19/20	7.000	793.78	Various	535.53	...	258.25	Total of 2 transactions
	Security total:	3,130.63		2,620.88	...	509.75	
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
4 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	220.47	11/03/20	126.55	...	93.92	1 of 4 - Sale ²⁵
	1.000	220.48	11/03/20	126.55	...	93.93	2 of 4 - Sale ²⁵
	1.000	220.47	11/03/20	126.50	...	93.97	3 of 4 - Sale ²⁵
	1.000	220.48	11/03/20	125.00	...	95.48	4 of 4 - Sale ²⁵
12/24/20	4.000	881.90	Various	504.60	...	377.30	Total of 4 transactions
CRYOPOINT, INC. COMMON STOCK / CUSIP: 229050307 / Symbol:							
11/19/20	3.000	140.28	10/22/20	133.11	...	7.17	Sale ²⁵
12/15/20	7.000	325.15	10/22/20	310.59	...	14.56	Sale ²⁵
	Security total:	465.43		443.70	...	21.73	
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
9 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	7.000	97.37	03/24/20	111.30	...	-13.93	1 of 9 - Sale ²⁵
	6.000	83.46	03/24/20	95.22	...	-11.76	2 of 9 - Sale ²⁵
	4.000	55.64	03/24/20	66.40	...	-10.76	3 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol: (cont'd)							
	5.000	69.55	03/24/20	80.25	...	-10.70	4 of 9 - Sale ²⁵
	10.000	139.09	03/25/20	151.60	...	-12.51	5 of 9 - Sale ²⁵
	5.000	69.55	03/25/20	76.25	...	-6.70	6 of 9 - Sale ²⁵
	5.000	69.55	04/27/20	63.80	...	5.75	7 of 9 - Sale ²⁵
	4.000	55.64	05/20/20	46.48	...	9.16	8 of 9 - Sale ²⁵
	19.000	264.27	06/05/20	378.86	...	-114.59	9 of 9 - Sale ²⁵
08/20/20	65.000	904.12	Various	1,070.16	...	-166.04	Total of 9 transactions
	3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	50.000	811.47	06/05/20	992.50	...	-181.03	1 of 3 - Sale ²⁵
	1.000	16.23	06/05/20	19.94	...	-3.71	2 of 3 - Sale ²⁵
	14.000	227.22	06/22/20	206.92	...	20.30	3 of 3 - Sale ²⁵
09/17/20	65.000	1,054.92	Various	1,219.36	...	-164.44	Total of 3 transactions
	Security total:	1,959.04		2,289.52	...	-330.48	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
	2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	3.000	52.95	03/24/20	80.70	...	-27.75	1 of 2 - Sale ²⁵
	1.000	17.65	03/24/20	26.90	...	-9.25	2 of 2 - Sale ²⁵
05/14/20	4.000	70.60	Various	107.60	...	-37.00	Total of 2 transactions
11/09/20	8.000	289.38	11/09/20	288.28	...	1.10	Sale ²⁵
	Security total:	359.98		395.88	...	-35.90	
DEXCOM, INC. COMMON STOCK / CUSIP: 252131107 / Symbol:							
09/25/20	3.000	1,133.97	07/14/20	1,158.00	...	-24.03	Sale ²⁵
DINE BRANDS GLOBAL, INC. / CUSIP: 254423106 / Symbol:							
	2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	1.000	30.77	03/24/20	24.99	...	5.78	1 of 2 - Sale ²⁵
	3.000	92.31	03/24/20	75.39	...	16.92	2 of 2 - Sale ²⁵
05/14/20	4.000	123.08	Various	100.38	...	22.70	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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DIREXION DAILY SEMICONDUCTOR B EAR 3X SHARES / CUSIP: 25460E182 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	25.000	146.28	06/11/20	151.25	...	-4.97	1 of 2 - Sale ²⁵
	1.000	5.85	06/11/20	5.97	...	-0.12	2 of 2 - Sale ²⁵
06/11/20	26.000	152.13	Various	157.22	...	-5.09	Total of 2 transactions
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460G831 / Symbol:							
7 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	0.400	31.90	03/26/20	27.60	...	4.30	1 of 7 - Sale ²⁵
	0.500	39.87	03/26/20	31.70	...	8.17	2 of 7 - Sale ²⁵
	1.000	79.75	03/26/20	69.50	...	10.25	3 of 7 - Sale ²⁵
	1.300	103.67	03/26/20	90.09	...	13.58	4 of 7 - Sale ²⁵
	1.400	111.65	03/26/20	97.30	...	14.35	5 of 7 - Sale ²⁵
	2.400	191.39	03/26/20	165.60	...	25.79	6 of 7 - Sale ²⁵
	2.000	159.50	03/26/20	130.00	...	29.50	7 of 7 - Sale ²⁵
04/23/20	9.000	717.73	Various	611.79	...	105.94	Total of 7 transactions
05/06/20	0.700	52.95	03/26/20	48.30	...	4.65	Cash in lieu
	Security total:	770.68		660.09	...	110.59	
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	220.85	09/04/20	206.00	...	14.85	1 of 2 - Sale ²⁵
	2.000	442.10	09/04/20	412.00	...	30.10	2 of 2 - Sale ²⁵
11/19/20	3.000	662.95	Various	618.00	...	44.95	Total of 2 transactions
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	3.000	647.20	09/04/20	633.00	...	14.20	1 of 2 - Sale ²⁵
	2.000	431.47	09/04/20	412.00	...	19.47	2 of 2 - Sale ²⁵
12/01/20	5.000	1,078.67	Various	1,045.00	...	33.67	Total of 2 transactions
	Security total:	1,741.62		1,663.00	...	78.62	

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Account 115939415

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	5.000	193.50	10/16/20	226.50	33.00 W	0.00	1 of 2 - Sale ²⁵
	3.000	116.10	10/19/20	126.60	10.50 W	0.00	2 of 2 - Sale ²⁵
10/27/20	8.000	309.60	Various	353.10	43.50 W	0.00	Total of 2 transactions
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	50.50	10/22/20	47.72	...	2.78	1 of 2 - Sale ²⁵
	2.000	101.00	10/27/20	91.40	...	9.60	2 of 2 - Sale ²⁵
12/08/20	3.000	151.50	Various	139.12	...	12.38	Total of 2 transactions
12/22/20	2.000	104.22	10/27/20	91.40	...	12.82	Sale ²⁵
	Security total:	565.32		583.62	43.50 W	25.20	
EDITAS MEDICINE, INC. COMMON S TOCK / CUSIP: 28106W103 / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	24.000	1,470.92	12/10/20	1,329.36	...	141.56	1 of 2 - Sale ²⁵
	75.000	4,596.64	12/10/20	4,154.25	...	442.39	2 of 2 - Sale ²⁵
12/11/20	99.000	6,067.56	Various	5,483.61	...	583.95	Total of 2 transactions
7 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1.000	73.68	12/10/20	55.39	...	18.29	1 of 7 - Sale ²⁵
	1.000	73.68	12/14/20	62.40	...	11.28	2 of 7 - Sale ²⁵
	3.000	221.03	12/14/20	192.00	...	29.03	3 of 7 - Sale ²⁵
	3.000	221.03	12/14/20	181.89	...	39.14	4 of 7 - Sale ²⁵
	5.000	368.39	12/14/20	312.50	...	55.89	5 of 7 - Sale ²⁵
	10.000	736.78	12/14/20	660.00	...	76.78	6 of 7 - Sale ²⁵
	75.000	5,525.87	12/29/20	5,242.50	...	283.37	7 of 7 - Sale ²⁵
12/29/20	98.000	7,220.46	Various	6,706.68	...	513.78	Total of 7 transactions
12/29/20	2.000	147.36	12/21/20	169.70	22.34 W	0.00	Sale ²⁵
	Security total:	13,435.38		12,359.99	22.34 W	1,097.73	
EHEALTH, INC. COMMON STOCK / CUSIP: 28238P109 / Symbol:							
06/08/20	4.000	428.00	02/21/20	549.35	...	-121.35	Sale ²⁵
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	3.000	329.03	02/21/20	420.99	...	-91.96	1 of 4 - Sale ²⁵
	5.000	547.98	02/24/20	595.00	...	-47.02	2 of 4 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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EHEALTH, INC. COMMON STOCK / CUSIP: 28238P109 / Symbol: (cont'd)							
	1.000	109.67	02/24/20	119.00	...	-9.33	3 of 4 - Sale ²⁵
	1.000	109.68	02/24/20	119.00	...	-9.32	4 of 4 - Sale ²⁵
06/10/20	10.000	1,096.36	Various	1,253.99	...	-157.63	Total of 4 transactions
	3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	3.000	316.67	02/24/20	357.00	...	-40.33	1 of 3 - Sale ²⁵
	1.000	105.56	02/26/20	117.10	...	-11.54	2 of 3 - Sale ²⁵
	6.000	633.34	04/24/20	654.00	...	-20.66	3 of 3 - Sale ²⁵
07/16/20	10.000	1,055.57	Various	1,128.10	...	-72.53	Total of 3 transactions
	Security total:	2,579.93		2,931.44	...	-351.51	
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
	10 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	50.000	311.48	12/31/20	367.95	...	-56.47	1 of 10 - Sale ²⁵
	20.000	124.59	12/31/20	142.39	...	-17.80	2 of 10 - Sale ²⁵
	10.000	62.30	12/31/20	71.90	...	-9.60	3 of 10 - Sale ²⁵
	8.000	49.84	12/31/20	57.87	...	-8.03	4 of 10 - Sale ²⁵
	5.000	31.15	12/31/20	35.24	...	-4.09	5 of 10 - Sale ²⁵
	3.000	18.69	12/31/20	21.09	...	-2.40	6 of 10 - Sale ²⁵
	2.000	12.46	12/31/20	14.28	...	-1.82	7 of 10 - Sale ²⁵
	2.000	12.46	12/31/20	12.46	...	0.00	8 of 10 - Sale ²⁵
	124.000	772.48	12/31/20	772.37	...	0.11	9 of 10 - Sale ²⁵
	178.000	1,108.89	12/31/20	1,108.72	...	0.17	10 of 10 - Sale ²⁵
12/31/20	402.000	2,504.34	Various	2,604.27	...	-99.93	Total of 10 transactions
	7 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	50.000	311.49	12/01/20	368.00	56.51 W	0.00	1 of 7 - Sale ²⁵
	20.000	124.59	12/02/20	142.40	17.81 W	0.00	2 of 7 - Sale ²⁵
	8.000	49.84	12/02/20	57.88	8.04 W	0.00	3 of 7 - Sale ²⁵
	5.000	31.15	12/02/20	35.25	4.10 W	0.00	4 of 7 - Sale ²⁵
	3.000	18.69	12/02/20	21.09	2.40 W	0.00	5 of 7 - Sale ²⁵
	2.000	12.46	12/02/20	14.28	1.82 W	0.00	6 of 7 - Sale ²⁵
	10.000	62.29	12/09/20	71.90	9.61 W	0.00	7 of 7 - Sale ²⁵
12/31/20	98.000	610.51	Various	710.80	100.29 W	0.00	Total of 7 transactions
	Security total:	3,114.85		3,315.07	100.29 W	-99.93	

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(continued)

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ENPHASE ENERGY, INC. COMMON ST OCK / CUSIP: 29355A107 / Symbol:							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	40.96	03/24/20	34.00	...	6.96	1 of 3 - Sale ²⁵
	1.000	40.96	03/25/20	34.96	...	6.00	2 of 3 - Sale ²⁵
	3.000	122.88	03/25/20	106.86	...	16.02	3 of 3 - Sale ²⁵
06/17/20	5.000	204.80	Various	175.82	...	28.98	Total of 3 transactions
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
11/19/20	1.000	133.50	10/29/20	134.00	...	-0.50	Sale ²⁵
11/19/20	1.000	133.50	10/29/20	134.00	0.50 W	0.00	Sale ²⁵
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	194.20	10/29/20	134.00	...	60.20	1 of 2 - Sale ²⁵
	2.000	392.80	10/29/20	268.00	...	124.80	2 of 2 - Sale ²⁵
12/22/20	3.000	587.00	Various	402.00	...	185.00	Total of 2 transactions
4 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	189.50	10/29/20	134.45	...	55.05	1 of 4 - Sale ²⁵
	1.000	189.50	10/29/20	133.95	...	55.55	2 of 4 - Sale ²⁵
	1.000	189.49	10/29/20	133.94	...	55.55	3 of 4 - Sale ²⁵
	4.000	757.98	10/30/20	492.80	...	265.18	4 of 4 - Sale ²⁵
12/24/20	7.000	1,326.47	Various	895.14	...	431.33	Total of 4 transactions
	Security total:	2,180.47		1,565.14	0.50 W	615.83	
EVERBRIDGE, INC. COMMON STOCK / CUSIP: 29978A104 / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	5.000	620.88	06/04/20	654.50	...	-33.62	1 of 2 - Sale ²⁵
	1.000	124.18	06/04/20	130.90	...	-6.72	2 of 2 - Sale ²⁵
06/05/20	6.000	745.06	Various	785.40	...	-40.34	Total of 2 transactions
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	5.000	615.09	07/14/20	642.50	...	-27.41	1 of 2 - Sale ²⁵
	2.000	246.03	09/10/20	251.00	...	-4.97	2 of 2 - Sale ²⁵
11/19/20	7.000	861.12	Various	893.50	...	-32.38	Total of 2 transactions
	Security total:	1,606.18		1,678.90	...	-72.72	

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FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
09/29/20	5.000	484.00	07/14/20	399.00	...	85.00	Sale ²⁵
	4 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	1.000	80.80	07/14/20	80.00	...	0.80	1 of 4 - Sale ²⁵
	1.000	80.80	07/14/20	79.80	...	1.00	2 of 4 - Sale ²⁵
	1.000	80.80	08/18/20	80.60	...	0.20	3 of 4 - Sale ²⁵
	5.000	403.98	08/18/20	403.00	...	0.98	4 of 4 - Sale ²⁵
10/21/20	8.000	646.38	Various	643.40	...	2.98	Total of 4 transactions
	Security total:	1,130.38		1,042.40	...	87.98	
FISKER INC. / CUSIP: 33813J106 / Symbol:							
	2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	5.000	76.75	12/02/20	86.70	...	-9.95	1 of 2 - Sale ²⁵
	5.000	76.75	12/02/20	86.70	...	-9.95	2 of 2 - Sale ²⁵
12/10/20	10.000	153.50	Various	173.40	...	-19.90	Total of 2 transactions
FIVE9, INC. COMMON STOCK / CUSIP: 338307101 / Symbol:							
12/22/20	2.000	373.56	07/14/20	216.00	...	157.56	Sale ²⁵
	2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	3.000	541.16	07/14/20	324.00	...	217.16	1 of 2 - Sale ²⁵
	4.000	721.54	07/14/20	441.00	...	280.54	2 of 2 - Sale ²⁵
12/24/20	7.000	1,262.70	Various	765.00	...	497.70	Total of 2 transactions
	Security total:	1,636.26		981.00	...	655.26	
FLEETCOR TECHNOLOGIES, INC. / CUSIP: 339041105 / Symbol:							
	2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	3.000	731.68	06/08/20	839.58	...	-107.90	1 of 2 - Sale ²⁵
	1.000	243.89	06/08/20	275.49	...	-31.60	2 of 2 - Sale ²⁵
08/20/20	4.000	975.57	Various	1,115.07	...	-139.50	Total of 2 transactions
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
12/22/20	2.000	21.54	12/02/20	15.29	...	6.25	Sale ²⁵
GRUPO AVAL ACCIONES Y VALORES S.A. / CUSIP: 40053W101 / Symbol:							
06/08/20	100.000	520.07	06/05/20	517.00	...	3.07	Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	20.000	311.80	12/01/20	391.40	...	-79.60	1 of 2 - Sale ²⁵
	5.000	77.95	12/02/20	91.45	...	-13.50	2 of 2 - Sale ²⁵
12/15/20	25.000	389.75	Various	482.85	...	-93.10	Total of 2 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
07/08/20	50.000	85.50	07/06/20	72.00	...	13.50	Sale ²⁵
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	15.000	386.99	07/13/20	378.90	...	8.09	1 of 2 - Sale ²⁵
	25.000	644.98	07/13/20	636.50	...	8.48	2 of 2 - Sale ²⁵
07/13/20	40.000	1,031.97	Various	1,015.40	...	16.57	Total of 2 transactions
INTELLIGENT SYSTEMS CORP. / CUSIP: 45816D100 / Symbol:							
7 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	4.000	132.32	12/09/19	165.52	...	-33.20	1 of 7 - Sale ²⁵
	4.000	132.31	12/17/19	178.40	...	-46.09	2 of 7 - Sale ²⁵
	1.000	33.08	12/17/19	44.60	...	-11.52	3 of 7 - Sale ²⁵
	2.000	66.16	01/15/20	77.98	...	-11.82	4 of 7 - Sale ²⁵
	4.000	132.32	02/24/20	156.87	...	-24.55	5 of 7 - Sale ²⁵
	5.000	165.39	02/26/20	185.80	...	-20.41	6 of 7 - Sale ²⁵
	1.000	33.08	02/26/20	37.16	...	-4.08	7 of 7 - Sale ²⁵
07/09/20	21.000	694.66	Various	846.33	...	-151.67	Total of 7 transactions
INTELLIA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 45826J105 / Symbol:							
3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	3.000	164.85	12/29/20	160.29	...	4.56	1 of 3 - Sale ²⁵
	3.000	164.85	12/29/20	159.45	...	5.40	2 of 3 - Sale ²⁵
	60.000	3,296.91	12/29/20	3,286.20	...	10.71	3 of 3 - Sale ²⁵
12/29/20	66.000	3,626.61	Various	3,605.94	...	20.67	Total of 3 transactions

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INTELLIA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 45826J105 / Symbol: (cont'd)							
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	10.000	549.49	12/21/20	650.00	100.51 W	0.00	1 of 2 - Sale ²⁵
	4.000	219.79	12/21/20	251.40	31.61 W	0.00	2 of 2 - Sale ²⁵
12/29/20	14.000	769.28	Various	901.40	132.12 W	0.00	Total of 2 transactions
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	8.000	435.67	12/31/20	518.49	...	-82.82	1 of 2 - Sale ²⁵
	32.000	1,742.67	12/31/20	1,742.40	...	0.27	2 of 2 - Sale ²⁵
12/31/20	40.000	2,178.34	Various	2,260.89	...	-82.55	Total of 2 transactions
4 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	8.000	435.68	12/29/20	518.57	82.89 W	0.00	1 of 4 - Sale ²⁵
	4.000	217.83	12/29/20	250.69	32.86 W	0.00	2 of 4 - Sale ²⁵
	2.000	108.92	12/29/20	129.64	20.72 W	0.00	3 of 4 - Sale ²⁵
	6.000	326.75	12/29/20	328.62	1.87 W	0.00	4 of 4 - Sale ²⁵
12/31/20	20.000	1,089.18	Various	1,227.52	138.34 W	0.00	Total of 4 transactions
	Security total:	7,663.41		7,995.75	270.46 W	-61.88	
INVITAE CORPORATION / CUSIP: 46185L103 / Symbol:							
3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	10.000	437.99	12/29/20	437.60	...	0.39	1 of 3 - Sale ²⁵
	30.000	1,313.96	12/29/20	1,311.75	...	2.21	2 of 3 - Sale ²⁵
	10.000	437.99	12/29/20	432.50	...	5.49	3 of 3 - Sale ²⁵
12/29/20	50.000	2,189.94	Various	2,181.85	...	8.09	Total of 3 transactions
6 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	2.000	87.60	12/07/20	112.00	24.40 W	0.00	1 of 6 - Sale ²⁵
	5.000	218.99	12/07/20	278.75	59.76 W	0.00	2 of 6 - Sale ²⁵
	4.000	175.20	12/07/20	223.00	47.80 W	0.00	3 of 6 - Sale ²⁵
	3.000	131.40	12/14/20	173.25	41.85 W	0.00	4 of 6 - Sale ²⁵
	23.000	1,007.37	12/15/20	1,192.32	184.95 W	0.00	5 of 6 - Sale ²⁵
	3.000	131.40	12/21/20	150.60	19.20 W	0.00	6 of 6 - Sale ²⁵
12/29/20	40.000	1,751.96	Various	2,129.92	377.96 W	0.00	Total of 6 transactions

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Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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INVITAE CORPORATION / CUSIP: 46185L103 / Symbol: (cont'd)							
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	50.000	2,089.94	12/31/20	2,100.00	...	-10.06	1 of 2 - Sale ²⁵
	50.000	2,125.67	12/31/20	2,125.00	...	0.67	2 of 2 - Sale ²⁵
12/31/20	100.000	4,215.61	Various	4,225.00	...	-9.39	Total of 2 transactions
12 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	2.000	85.03	12/29/20	111.92	26.89 W	0.00	1 of 12 - Sale ²⁵
	4.000	170.05	12/29/20	222.84	52.79 W	0.00	2 of 12 - Sale ²⁵
	5.000	212.57	12/29/20	278.56	65.99 W	0.00	3 of 12 - Sale ²⁵
	3.000	127.54	12/29/20	173.13	45.59 W	0.00	4 of 12 - Sale ²⁵
	23.000	977.80	12/29/20	1,191.43	213.63 W	0.00	5 of 12 - Sale ²⁵
	3.000	127.54	12/29/20	150.48	22.94 W	0.00	6 of 12 - Sale ²⁵
	2.000	83.60	12/31/20	111.89	28.29 W	0.00	7 of 12 - Sale ²⁵
	4.000	167.20	12/31/20	222.79	55.59 W	0.00	8 of 12 - Sale ²⁵
	5.000	208.99	12/31/20	278.49	69.50 W	0.00	9 of 12 - Sale ²⁵
	3.000	125.40	12/31/20	173.09	47.69 W	0.00	10 of 12 - Sale ²⁵
	23.000	961.37	12/31/20	1,191.13	229.76 W	0.00	11 of 12 - Sale ²⁵
	3.000	125.40	12/31/20	150.44	25.04 W	0.00	12 of 12 - Sale ²⁵
12/31/20	80.000	3,372.49	Various	4,256.19	883.70 W	0.00	Total of 12 transactions
	Security total:	11,530.00		12,792.96	1,261.66 W	-1.30	
JINKOSOLAR HOLDINGS CO / CUSIP: 47759T100 / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	5.000	293.99	11/06/20	327.50	...	-33.51	1 of 2 - Sale ²⁵
	4.000	235.19	11/06/20	261.68	...	-26.49	2 of 2 - Sale ²⁵
11/19/20	9.000	529.18	Various	589.18	...	-60.00	Total of 2 transactions
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
40 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	10.000	128.50	09/02/20	97.00	...	31.50	1 of 40 - Sale ²⁵
	10.000	128.50	09/02/20	97.00	...	31.50	2 of 40 - Sale ²⁵
	10.000	128.50	09/02/20	96.90	...	31.60	3 of 40 - Sale ²⁵
	10.000	128.50	09/02/20	96.90	...	31.60	4 of 40 - Sale ²⁵
	10.000	128.50	09/02/20	93.85	...	34.65	5 of 40 - Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol: (cont'd)							
	15.000	192.74	09/02/20	140.78	...	51.96	6 of 40 - Sale ²⁵
	25.000	321.24	09/02/20	237.50	...	83.74	7 of 40 - Sale ²⁵
	25.000	321.24	09/02/20	236.00	...	85.24	8 of 40 - Sale ²⁵
	25.000	321.24	09/02/20	230.50	...	90.74	9 of 40 - Sale ²⁵
	30.000	385.48	09/02/20	290.70	...	94.78	10 of 40 - Sale ²⁵
	80.000	1,027.96	09/02/20	776.00	...	251.96	11 of 40 - Sale ²⁵
	100.000	1,284.96	09/02/20	970.00	...	314.96	12 of 40 - Sale ²⁵
	10.000	128.50	09/03/20	90.40	...	38.10	13 of 40 - Sale ²⁵
	20.000	256.99	09/03/20	181.00	...	75.99	14 of 40 - Sale ²⁵
	20.000	256.99	09/03/20	180.80	...	76.19	15 of 40 - Sale ²⁵
	25.000	321.24	09/03/20	222.25	...	98.99	16 of 40 - Sale ²⁵
	25.000	321.24	09/03/20	218.00	...	103.24	17 of 40 - Sale ²⁵
	20.000	256.99	09/04/20	158.20	...	98.79	18 of 40 - Sale ²⁵
	20.000	256.99	09/04/20	152.80	...	104.19	19 of 40 - Sale ²⁵
	100.000	1,284.96	09/04/20	831.00	...	453.96	20 of 40 - Sale ²⁵
	10.000	128.50	09/10/20	88.90	...	39.60	21 of 40 - Sale ²⁵
	10.000	128.50	09/10/20	88.80	...	39.70	22 of 40 - Sale ²⁵
	3.000	38.55	09/11/20	24.72	...	13.83	23 of 40 - Sale ²⁵
	7.000	89.95	09/17/20	56.56	...	33.39	24 of 40 - Sale ²⁵
	20.000	256.99	09/17/20	158.20	...	98.79	25 of 40 - Sale ²⁵
	10.000	128.50	09/23/20	75.60	...	52.90	26 of 40 - Sale ²⁵
	10.000	128.50	09/24/20	75.00	...	53.50	27 of 40 - Sale ²⁵
	30.000	385.49	09/28/20	232.50	...	152.99	28 of 40 - Sale ²⁵
	10.000	128.50	09/30/20	82.09	...	46.41	29 of 40 - Sale ²⁵
	1.000	12.85	10/07/20	8.53	...	4.32	30 of 40 - Sale ²⁵
	1.000	12.85	10/07/20	8.52	...	4.33	31 of 40 - Sale ²⁵
	4.000	51.40	10/07/20	34.08	...	17.32	32 of 40 - Sale ²⁵
	9.000	115.65	10/07/20	76.77	...	38.88	33 of 40 - Sale ²⁵
	10.000	128.49	10/07/20	85.30	...	43.19	34 of 40 - Sale ²⁵
	16.000	205.59	10/07/20	136.32	...	69.27	35 of 40 - Sale ²⁵
	35.000	449.73	10/07/20	298.55	...	151.18	36 of 40 - Sale ²⁵
	23.000	295.54	10/08/20	207.92	...	87.62	37 of 40 - Sale ²⁵
	50.000	642.47	10/08/20	453.00	...	189.47	38 of 40 - Sale ²⁵
	15.000	192.74	10/09/20	157.50	...	35.24	39 of 40 - Sale ²⁵

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(continued)

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JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol: (cont'd)							
11/10/20	50.000	642.48	10/09/20	523.00	...	119.48	40 of 40 - Sale ²⁵
	914.000	11,744.53	Various	8,269.44	...	3,475.09	Total of 40 transactions
	5 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	1.000	12.85	10/30/20	16.04	3.19 W	0.00	1 of 5 - Sale ²⁵
	17.000	218.44	10/30/20	272.68	54.24 W	0.00	2 of 5 - Sale ²⁵
	25.000	321.24	10/30/20	385.50	64.26 W	0.00	3 of 5 - Sale ²⁵
	1.000	12.85	10/30/20	15.42	2.57 W	0.00	4 of 5 - Sale ²⁵
	20.000	256.99	10/30/20	308.40	51.41 W	0.00	5 of 5 - Sale ²⁵
11/10/20	64.000	822.37	Various	998.04	175.67 W	0.00	Total of 5 transactions
	6 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	1.000	39.33	12/02/20	33.92	...	5.41	1 of 6 - Sale ²⁵
	1.000	39.68	12/02/20	32.68	...	7.00	2 of 6 - Sale ²⁵
	1.000	39.68	12/02/20	32.67	...	7.01	3 of 6 - Sale ²⁵
	2.000	78.66	12/02/20	65.34	...	13.32	4 of 6 - Sale ²⁵
	2.000	78.90	12/02/20	65.34	...	13.56	5 of 6 - Sale ²⁵
	5.000	198.00	12/02/20	163.36	...	34.64	6 of 6 - Sale ²⁵
12/17/20	12.000	474.25	Various	393.31	...	80.94	Total of 6 transactions
	3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	1.000	45.50	12/02/20	33.92	...	11.58	1 of 3 - Sale ²⁵
	4.000	182.00	12/02/20	133.20	...	48.80	2 of 3 - Sale ²⁵
	5.000	227.50	12/02/20	169.60	...	57.90	3 of 3 - Sale ²⁵
12/22/20	10.000	455.00	Various	336.72	...	118.28	Total of 3 transactions
	Security total:	13,496.15		9,997.51	175.67 W	3,674.31	
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
	2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	25.000	184.00	11/30/20	282.50	98.50 W	0.00	1 of 2 - Sale ²⁵
	5.000	36.80	12/02/20	41.04	4.24 W	0.00	2 of 2 - Sale ²⁵
12/22/20	30.000	220.80	Various	323.54	102.74 W	0.00	Total of 2 transactions
	9 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	15.000	105.30	12/02/20	182.22	...	-76.92	1 of 9 - Sale ²⁵
	10.000	70.20	12/02/20	123.45	...	-53.25	2 of 9 - Sale ²⁵
	20.000	140.39	12/02/20	168.00	...	-27.61	3 of 9 - Sale ²⁵

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KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol: (cont'd)							
	10.000	70.20	12/02/20	84.05	...	-13.85	4 of 9 - Sale ²⁵
	5.000	35.10	12/02/20	46.27	...	-11.17	5 of 9 - Sale ²⁵
	20.000	140.39	12/08/20	175.19	...	-34.80	6 of 9 - Sale ²⁵
	5.000	35.10	12/08/20	43.40	...	-8.30	7 of 9 - Sale ²⁵
	10.000	70.19	12/09/20	87.70	...	-17.51	8 of 9 - Sale ²⁵
	5.000	35.10	12/14/20	39.34	...	-4.24	9 of 9 - Sale ²⁵
12/28/20	100.000	701.97	Various	949.62	...	-247.65	Total of 9 transactions
	Security total:	922.77		1,273.16	102.74 W	-247.65	
LI AUTO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 50202M102 / Symbol:							
11/19/20	5.000	181.45	09/10/20	82.03	...	99.42	Sale ²⁵
LAM RESEARCH CORPORATION COMMON STOCK / CUSIP: 512807108 / Symbol:							
07/02/20	1.000	325.82	07/02/20	324.49	...	1.33	Sale ²⁵
LITHIUM AMERICAS CORP. COMMON SHARES / CUSIP: 53680Q207 / Symbol:							
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	10.46	11/09/20	11.10	0.64 W	0.00	1 of 3 - Sale ²⁵
	9.000	94.14	11/09/20	99.90	5.76 W	0.00	2 of 3 - Sale ²⁵
	15.000	156.75	11/09/20	166.50	9.75 W	0.00	3 of 3 - Sale ²⁵
11/09/20	25.000	261.35	Various	277.50	16.15 W	0.00	Total of 3 transactions
LIVONGO HEALTH, INC. COMMON STOCK / CUSIP: 539183103 / Symbol:							
09/29/20	3.000	415.86	07/14/20	282.90	...	132.96	Sale ²⁵
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
08/20/20	2.000	41.98	06/12/20	39.04	...	2.94	Sale ²⁵
MARATHON PATENT GROUP, INC. COMMON STOCK / CUSIP: 56585W401 / Symbol:							
11/19/20	32.000	101.44	10/19/20	72.64	...	28.80	Sale ²⁵
MASTERCARD INCORPORATED / CUSIP: 57636Q104 / Symbol:							
09/08/20	4.000	1,321.57	03/20/20	933.84	...	387.73	Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MATCH GROUP, INC. COMMON STOCK / CUSIP: 57667L107 / Symbol:							
12/09/20	0.135	19.50	02/26/20	8.94	...	10.56	Sale ²⁵
12/22/20	2.000	308.16	02/26/20	132.66	...	175.50	Sale ²⁵
	Security total:	327.66		141.60	...	186.06	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
<i>8 transactions for 07/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	203.00	02/26/20	172.33	...	30.67	1 of 8 - Sale ²⁵
	1.000	202.99	02/26/20	170.75	...	32.24	2 of 8 - Sale ²⁵
	2.000	405.99	02/28/20	328.50	...	77.49	3 of 8 - Sale ²⁵
	1.000	202.99	03/10/20	160.37	...	42.62	4 of 8 - Sale ²⁵
	2.000	406.61	03/10/20	320.74	...	85.87	5 of 8 - Sale ²⁵
	7.000	1,423.15	03/10/20	1,122.59	...	300.56	6 of 8 - Sale ²⁵
	15.000	3,077.49	03/10/20	2,405.55	...	671.94	7 of 8 - Sale ²⁵
	5.000	1,025.84	03/20/20	734.50	...	291.34	8 of 8 - Sale ²⁵
07/14/20	34.000	6,948.06	Various	5,415.33	...	1,532.73	Total of 8 transactions
08/20/20	5.000	1,057.22	03/20/20	734.50	...	322.72	Sale ²⁵
09/08/20	2.000	412.00	03/20/20	291.26	...	120.74	Sale ²⁵
<i>3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	622.42	03/20/20	436.89	...	185.53	1 of 3 - Sale ²⁵
	5.000	1,036.87	03/20/20	730.00	...	306.87	2 of 3 - Sale ²⁵
	5.000	1,037.38	03/20/20	730.00	...	307.38	3 of 3 - Sale ²⁵
09/29/20	13.000	2,696.67	Various	1,896.89	...	799.78	Total of 3 transactions
10/16/20	2.000	441.66	04/08/20	329.00	...	112.66	Sale ²⁵
<i>3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	218.65	04/08/20	164.50	...	54.15	1 of 3 - Sale ²⁵
	2.000	435.90	04/08/20	329.00	...	106.90	2 of 3 - Sale ²⁵
	2.000	437.20	04/08/20	329.00	...	108.20	3 of 3 - Sale ²⁵
10/19/20	5.000	1,091.75	Various	822.50	...	269.25	Total of 3 transactions
10/21/20	3.000	641.98	04/08/20	493.50	...	148.48	Sale ²⁵
	Security total:	13,289.34		9,982.98	...	3,306.36	

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	6.000	238.04	10/27/20	163.50	...	74.54	1 of 2 - Sale ²⁵
	5.000	198.37	10/28/20	135.54	...	62.83	2 of 2 - Sale ²⁵
11/05/20	11.000	436.41	Various	299.04	...	137.37	Total of 2 transactions
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	1.000	40.95	10/30/20	30.60	...	10.35	1 of 2 - Sale ²⁵
	9.000	368.54	10/30/20	275.40	...	93.14	2 of 2 - Sale ²⁵
11/10/20	10.000	409.49	Various	306.00	...	103.49	Total of 2 transactions
11/10/20	50.000	2,047.44	11/10/20	2,095.00	47.56 W	0.00	Sale ²⁵
53 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	15.000	629.98	11/30/20	775.52	145.54 W	0.00	1 of 53 - Sale ²⁵
	4.000	168.00	12/01/20	191.16	23.16 W	0.00	2 of 53 - Sale ²⁵
	2.000	84.00	12/02/20	94.26	10.26 W	0.00	3 of 53 - Sale ²⁵
	5.000	209.99	12/02/20	237.51	27.52 W	0.00	4 of 53 - Sale ²⁵
	1.000	42.00	12/02/20	47.35	5.35 W	0.00	5 of 53 - Sale ²⁵
	3.000	126.00	12/02/20	141.75	15.75 W	0.00	6 of 53 - Sale ²⁵
	7.000	293.99	12/02/20	335.66	41.67 W	0.00	7 of 53 - Sale ²⁵
	10.000	419.99	12/02/20	479.02	59.03 W	0.00	8 of 53 - Sale ²⁵
	3.000	126.00	12/02/20	143.85	17.85 W	0.00	9 of 53 - Sale ²⁵
	2.000	84.00	12/02/20	94.00	10.00 W	0.00	10 of 53 - Sale ²⁵
	5.000	209.99	12/02/20	235.79	25.80 W	0.00	11 of 53 - Sale ²⁵
	10.000	419.99	12/07/20	422.10	2.11 W	0.00	12 of 53 - Sale ²⁵
	10.000	419.99	12/07/20	422.10	2.11 W	0.00	13 of 53 - Sale ²⁵
	3.000	126.00	12/07/20	127.11	1.11 W	0.00	14 of 53 - Sale ²⁵
	20.000	839.97	12/07/20	880.00	40.03 W	0.00	15 of 53 - Sale ²⁵
	15.000	629.98	12/07/20	838.54	208.56 W	0.00	16 of 53 - Sale ²⁵
	4.000	168.00	12/07/20	207.96	39.96 W	0.00	17 of 53 - Sale ²⁵
	1.000	42.00	12/07/20	51.33	9.33 W	0.00	18 of 53 - Sale ²⁵
	1.000	42.00	12/07/20	50.38	8.38 W	0.00	19 of 53 - Sale ²⁵
	1.000	42.00	12/07/20	50.60	8.60 W	0.00	20 of 53 - Sale ²⁵
	3.000	126.00	12/07/20	151.48	25.48 W	0.00	21 of 53 - Sale ²⁵
	5.000	209.99	12/07/20	253.74	43.75 W	0.00	22 of 53 - Sale ²⁵
	5.000	209.99	12/07/20	255.99	46.00 W	0.00	23 of 53 - Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.000	84.00	12/07/20	102.71	18.71 W	0.00	24 of 53 - Sale ²⁵
	3.000	126.00	12/07/20	153.91	27.91 W	0.00	25 of 53 - Sale ²⁵
	5.000	209.99	12/07/20	255.55	45.56 W	0.00	26 of 53 - Sale ²⁵
	2.000	83.90	12/09/20	100.40	16.50 W	0.00	27 of 53 - Sale ²⁵
	3.000	125.85	12/09/20	150.74	24.89 W	0.00	28 of 53 - Sale ²⁵
	2.000	83.90	12/10/20	99.22	15.32 W	0.00	29 of 53 - Sale ²⁵
	1.000	41.95	12/10/20	49.77	7.82 W	0.00	30 of 53 - Sale ²⁵
	4.000	167.80	12/11/20	188.64	20.84 W	0.00	31 of 53 - Sale ²⁵
	2.000	83.90	12/11/20	84.42	0.52 W	0.00	32 of 53 - Sale ²⁵
	15.000	629.23	12/11/20	838.56	209.33 W	0.00	33 of 53 - Sale ²⁵
	4.000	167.79	12/11/20	207.96	40.17 W	0.00	34 of 53 - Sale ²⁵
	1.000	41.95	12/11/20	51.33	9.38 W	0.00	35 of 53 - Sale ²⁵
	1.000	41.95	12/11/20	50.38	8.43 W	0.00	36 of 53 - Sale ²⁵
	1.000	41.95	12/11/20	50.60	8.65 W	0.00	37 of 53 - Sale ²⁵
	2.000	83.90	12/11/20	102.71	18.81 W	0.00	38 of 53 - Sale ²⁵
	3.000	125.85	12/11/20	151.48	25.63 W	0.00	39 of 53 - Sale ²⁵
	3.000	125.85	12/11/20	153.91	28.06 W	0.00	40 of 53 - Sale ²⁵
	5.000	209.74	12/11/20	253.75	44.01 W	0.00	41 of 53 - Sale ²⁵
	5.000	209.74	12/11/20	256.00	46.26 W	0.00	42 of 53 - Sale ²⁵
	5.000	209.74	12/11/20	255.56	45.82 W	0.00	43 of 53 - Sale ²⁵
	2.000	83.90	12/11/20	100.50	16.60 W	0.00	44 of 53 - Sale ²⁵
	3.000	125.85	12/11/20	150.89	25.04 W	0.00	45 of 53 - Sale ²⁵
	1.000	41.95	12/11/20	49.82	7.87 W	0.00	46 of 53 - Sale ²⁵
	2.000	83.90	12/11/20	99.32	15.42 W	0.00	47 of 53 - Sale ²⁵
	4.000	167.80	12/11/20	188.84	21.04 W	0.00	48 of 53 - Sale ²⁵
	8.000	335.59	12/11/20	337.69	2.10 W	0.00	49 of 53 - Sale ²⁵
	4.000	167.79	12/11/20	168.84	1.05 W	0.00	50 of 53 - Sale ²⁵
	3.000	125.85	12/11/20	127.11	1.26 W	0.00	51 of 53 - Sale ²⁵
	6.000	251.69	12/11/20	253.27	1.58 W	0.00	52 of 53 - Sale ²⁵
	8.000	335.59	12/11/20	352.01	16.42 W	0.00	53 of 53 - Sale ²⁵
12/11/20	245.000	10,284.74	Various	11,873.09	1,588.35 W	0.00	Total of 53 transactions
	Security total:	13,178.08		14,573.13	1,635.91 W	240.86	
NANO DIMENSION LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
12/22/20	3.000	26.28	12/02/20	17.61	...	8.67	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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NEW ORIENTAL EDUCATION AND TEC HNOLOGY GROUP, INC. / CUSIP: 647581107 / Symbol:							
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	4.000	430.07	01/27/20	485.68	...	-55.61	1 of 3 - Sale ²⁵
	3.000	322.55	03/20/20	344.85	...	-22.30	2 of 3 - Sale ²⁵
	1.000	107.52	03/20/20	128.85	...	-21.33	3 of 3 - Sale ²⁵
04/07/20	8.000	860.14	Various	959.38	...	-99.24	Total of 3 transactions
04/07/20	1.000	107.52	01/27/20	121.42	13.90 W	0.00	Sale ²⁵
	Security total:	967.66		1,080.80	13.90 W	-99.24	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
5 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	5.000	81.50	09/10/20	192.35	...	-110.85	1 of 5 - Sale ²⁵
	5.000	81.50	09/25/20	100.00	...	-18.50	2 of 5 - Sale ²⁵
	7.000	114.10	12/01/20	119.84	...	-5.74	3 of 5 - Sale ²⁵
	8.000	130.40	12/02/20	147.72	...	-17.32	4 of 5 - Sale ²⁵
	5.000	81.50	12/02/20	92.25	...	-10.75	5 of 5 - Sale ²⁵
12/15/20	30.000	489.00	Various	652.16	...	-163.16	Total of 5 transactions
NIU TECHNOLOGIES AMERICAN DEPO SITARY SHARES / CUSIP: 65481N100 / Symbol:							
12/08/20	5.000	141.65	10/28/20	133.42	...	8.23	Sale ²⁵
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	5.000	140.00	10/28/20	133.42	...	6.58	1 of 2 - Sale ²⁵
	1.000	28.00	12/02/20	29.21	...	-1.21	2 of 2 - Sale ²⁵
12/10/20	6.000	168.00	Various	162.63	...	5.37	Total of 2 transactions
	Security total:	309.65		296.05	...	13.60	
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
12/02/20	1.000	541.60	01/10/20	245.46	...	296.14	Sale ²⁵
12/07/20	1.000	545.98	01/10/20	245.46	...	300.52	Sale ²⁵
12/08/20	1.000	538.33	01/10/20	245.46	...	292.87	Sale ²⁵
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	525.16	01/10/20	245.46	...	279.70	1 of 3 - Sale ²⁵
	2.000	1,035.37	01/10/20	491.06	...	544.31	2 of 3 - Sale ²⁵
	1.000	517.69	02/21/20	292.00	...	225.69	3 of 3 - Sale ²⁵
12/09/20	4.000	2,078.22	Various	1,028.52	...	1,049.70	Total of 3 transactions

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NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: (cont'd)							
<i>4 transactions for 12/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	526.98	02/26/20	265.36	...	261.62	1 of 4 - Sale ²⁵
	1.000	526.99	02/26/20	265.36	...	261.63	2 of 4 - Sale ²⁵
	1.000	526.98	02/28/20	272.02	...	254.96	3 of 4 - Sale ²⁵
	2.000	1,057.15	03/10/20	519.70	...	537.45	4 of 4 - Sale ²⁵
12/22/20	5.000	2,638.10	Various	1,322.44	...	1,315.66	Total of 4 transactions
	Security total:	6,342.23		3,087.34	...	3,254.89	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
<i>2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>							
	811.000	1,873.27	12/23/20	1,703.10	...	170.17	1 of 2 - Sale ²⁵
	4,189.000	9,675.87	12/23/20	8,796.90	...	878.97	2 of 2 - Sale ²⁵
12/23/20	5,000.000	11,549.14	Various	10,500.00	...	1,049.14	Total of 2 transactions
<i>2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.</i>							
	109.000	192.91	12/31/20	192.75	...	0.16	1 of 2 - Sale ²⁵
	209.000	370.13	12/31/20	369.34	...	0.79	2 of 2 - Sale ²⁵
12/31/20	318.000	563.04	Various	562.09	...	0.95	Total of 2 transactions
<i>40 transactions for 12/31/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	531.28	12/28/20	700.50	169.22 W	0.00	1 of 40 - Sale ²⁵
	200.000	354.19	12/28/20	467.00	112.81 W	0.00	2 of 40 - Sale ²⁵
	500.000	885.47	12/28/20	1,190.00	304.53 W	0.00	3 of 40 - Sale ²⁵
	500.000	885.47	12/28/20	1,200.00	314.53 W	0.00	4 of 40 - Sale ²⁵
	50.000	88.55	12/28/20	113.75	25.20 W	0.00	5 of 40 - Sale ²⁵
	4.000	7.08	12/28/20	9.04	1.96 W	0.00	6 of 40 - Sale ²⁵
	2.000	3.54	12/28/20	4.40	0.86 W	0.00	7 of 40 - Sale ²⁵
	300.000	531.28	12/29/20	653.85	122.57 W	0.00	8 of 40 - Sale ²⁵
	35.000	61.98	12/31/20	62.65	0.67 W	0.00	9 of 40 - Sale ²⁵
	100.000	176.98	12/31/20	233.13	56.15 W	0.00	10 of 40 - Sale ²⁵
	300.000	530.95	12/31/20	699.38	168.43 W	0.00	11 of 40 - Sale ²⁵
	100.000	176.98	12/31/20	233.12	56.14 W	0.00	12 of 40 - Sale ²⁵
	300.000	530.95	12/31/20	712.88	181.93 W	0.00	13 of 40 - Sale ²⁵
	200.000	353.97	12/31/20	475.25	121.28 W	0.00	14 of 40 - Sale ²⁵
	200.000	353.96	12/31/20	479.25	125.29 W	0.00	15 of 40 - Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	2.000	3.54	12/31/20	4.39	0.85 W	0.00	16 of 40 - Sale ²⁵
	4.000	7.08	12/31/20	9.03	1.95 W	0.00	17 of 40 - Sale ²⁵
	50.000	88.49	12/31/20	113.56	25.07 W	0.00	18 of 40 - Sale ²⁵
	300.000	530.95	12/31/20	718.88	187.93 W	0.00	19 of 40 - Sale ²⁵
	44.000	77.87	12/31/20	95.74	17.87 W	0.00	20 of 40 - Sale ²⁵
	256.000	453.08	12/31/20	557.00	103.92 W	0.00	21 of 40 - Sale ²⁵
	35.000	61.94	12/31/20	62.52	0.58 W	0.00	22 of 40 - Sale ²⁵
	109.000	192.91	12/31/20	253.94	61.03 W	0.00	23 of 40 - Sale ²⁵
	100.000	176.98	12/31/20	232.98	56.00 W	0.00	24 of 40 - Sale ²⁵
	100.000	176.98	12/31/20	232.97	55.99 W	0.00	25 of 40 - Sale ²⁵
	99.000	175.22	12/31/20	235.10	59.88 W	0.00	26 of 40 - Sale ²⁵
	191.000	338.04	12/31/20	444.98	106.94 W	0.00	27 of 40 - Sale ²⁵
	300.000	530.95	12/31/20	712.42	181.47 W	0.00	28 of 40 - Sale ²⁵
	101.000	178.76	12/31/20	239.84	61.08 W	0.00	29 of 40 - Sale ²⁵
	9.000	15.93	12/31/20	21.55	5.62 W	0.00	30 of 40 - Sale ²⁵
	191.000	338.03	12/31/20	457.40	119.37 W	0.00	31 of 40 - Sale ²⁵
	109.000	192.91	12/31/20	261.03	68.12 W	0.00	32 of 40 - Sale ²⁵
	2.000	3.54	12/31/20	4.39	0.85 W	0.00	33 of 40 - Sale ²⁵
	4.000	7.08	12/31/20	9.02	1.94 W	0.00	34 of 40 - Sale ²⁵
	50.000	88.49	12/31/20	113.49	25.00 W	0.00	35 of 40 - Sale ²⁵
	191.000	338.04	12/31/20	457.39	119.35 W	0.00	36 of 40 - Sale ²⁵
	44.000	77.87	12/31/20	95.67	17.80 W	0.00	37 of 40 - Sale ²⁵
	256.000	453.07	12/31/20	556.60	103.53 W	0.00	38 of 40 - Sale ²⁵
	35.000	61.94	12/31/20	62.47	0.53 W	0.00	39 of 40 - Sale ²⁵
	109.000	192.92	12/31/20	253.69	60.77 W	0.00	40 of 40 - Sale ²⁵
12/31/20	5,782.000	10,235.24	Various	13,440.25	3,205.01 W	0.00	Total of 40 transactions
	Security total:	22,347.42		24,502.34	3,205.01 W	1,050.09	

PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:

8 transactions for 12/23/20. Total proceeds and cost reported to the IRS.

20.000	573.18	12/23/20	572.80	...	0.38	1 of 8 - Sale ²⁵
20.000	573.18	12/23/20	572.80	...	0.38	2 of 8 - Sale ²⁵
50.000	1,432.96	12/23/20	1,432.00	...	0.96	3 of 8 - Sale ²⁵
80.000	2,292.73	12/23/20	2,291.20	...	1.53	4 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	80.000	2,292.74	12/23/20	2,291.20	...	1.54	5 of 8 - Sale ²⁵
	100.000	2,865.92	12/23/20	2,864.00	...	1.92	6 of 8 - Sale ²⁵
	100.000	2,865.92	12/23/20	2,864.00	...	1.92	7 of 8 - Sale ²⁵
	100.000	2,865.92	12/23/20	2,864.00	...	1.92	8 of 8 - Sale ²⁵
12/23/20	550.000	15,762.55	Various	15,752.00	...	10.55	Total of 8 transactions
PAYCOM SOFTWARE, INC. / CUSIP: 70432V102 / Symbol:							
11/19/20	1.000	390.64	02/20/20	309.19	...	81.45	Sale ²⁵
	2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	1.000	414.03	02/20/20	309.19	...	104.84	1 of 2 - Sale ²⁵
	1.000	414.03	02/21/20	300.50	...	113.53	2 of 2 - Sale ²⁵
12/02/20	2.000	828.06	Various	609.69	...	218.37	Total of 2 transactions
12/22/20	2.000	919.95	02/21/20	601.00	...	318.95	Sale ²⁵
	Security total:	2,138.65		1,519.88	...	618.77	
PINDUODUO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 722304102 / Symbol:							
	2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	2.000	282.89	12/09/20	295.20	12.31 W	0.00	1 of 2 - Sale ²⁵
	3.000	424.34	12/21/20	437.55	13.21 W	0.00	2 of 2 - Sale ²⁵
12/22/20	5.000	707.23	Various	732.75	25.52 W	0.00	Total of 2 transactions
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
	2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	1.000	35.04	12/02/20	24.66	...	10.38	1 of 2 - Sale ²⁵
	3.000	105.12	12/02/20	73.98	...	31.14	2 of 2 - Sale ²⁵
12/22/20	4.000	140.16	Various	98.64	...	41.52	Total of 2 transactions
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol:							
	4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	10.000	1,361.67	02/24/20	957.90	...	403.77	1 of 4 - Sale ²⁵
	2.000	272.33	02/26/20	185.76	...	86.57	2 of 4 - Sale ²⁵
	1.000	136.17	03/20/20	43.62	...	92.55	3 of 4 - Sale ²⁵
	6.000	817.00	03/20/20	259.80	...	557.20	4 of 4 - Sale ²⁵
08/20/20	19.000	2,587.17	Various	1,447.08	...	1,140.09	Total of 4 transactions

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
09/29/20	5.000	645.28	03/20/20	218.10	...	427.18	Sale ²⁵
10/22/20	2.000	276.90	03/20/20	87.24	...	189.66	Sale ²⁵
	2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.						
	1.000	135.95	03/20/20	43.62	...	92.33	1 of 2 - Sale ²⁵
	2.000	271.90	03/20/20	87.24	...	184.66	2 of 2 - Sale ²⁵
10/27/20	3.000	407.85	Various	130.86	...	276.99	Total of 2 transactions
	2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	2.000	290.36	03/20/20	87.24	...	203.12	1 of 2 - Sale ²⁵
	5.000	728.63	03/20/20	218.10	...	510.53	2 of 2 - Sale ²⁵
11/19/20	7.000	1,018.99	Various	305.34	...	713.65	Total of 2 transactions
	6 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	3.000	494.85	03/20/20	130.86	...	363.99	1 of 6 - Sale ²⁵
	4.000	659.95	03/20/20	174.48	...	485.47	2 of 6 - Sale ²⁵
	1.000	164.99	03/24/20	43.93	...	121.06	3 of 6 - Sale ²⁵
	5.000	816.53	03/24/20	219.65	...	596.88	4 of 6 - Sale ²⁵
	2.000	329.12	04/08/20	103.60	...	225.52	5 of 6 - Sale ²⁵
	3.000	490.38	04/08/20	155.40	...	334.98	6 of 6 - Sale ²⁵
12/02/20	18.000	2,955.82	Various	827.92	...	2,127.90	Total of 6 transactions
	2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	2.000	328.00	04/08/20	103.60	...	224.40	1 of 2 - Sale ²⁵
	3.000	487.59	04/08/20	155.40	...	332.19	2 of 2 - Sale ²⁵
12/09/20	5.000	815.59	Various	259.00	...	556.59	Total of 2 transactions
	Security total:	8,707.60		3,275.54	...	5,432.06	
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol:							
07/08/20	10.000	930.97	07/06/20	936.60	...	-5.63	Sale ²⁵
QUIDEL CORPORATION COMMON STOC K / CUSIP: 74838J101 / Symbol:							
10/27/20	1.000	265.62	09/01/20	173.00	...	92.62	Sale ²⁵
10/28/20	2.000	536.14	09/01/20	346.00	...	190.14	Sale ²⁵
	2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	2.000	540.99	09/01/20	346.00	...	194.99	1 of 2 - Sale ²⁵

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Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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QUIDEL CORPORATION COMMON STOC K / CUSIP: 74838J101 / Symbol: (cont'd)							
	2.000	540.99	09/01/20	339.80	...	201.19	2 of 2 - Sale ²⁵
10/29/20	4.000	1,081.98	Various	685.80	...	396.18	Total of 2 transactions
	8 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	1.000	271.99	09/01/20	169.50	...	102.49	1 of 8 - Sale ²⁵
	2.000	543.99	09/01/20	339.80	...	204.19	2 of 8 - Sale ²⁵
	2.000	543.99	09/01/20	334.26	...	209.73	3 of 8 - Sale ²⁵
	3.000	815.98	09/01/20	477.60	...	338.38	4 of 8 - Sale ²⁵
	5.000	1,359.97	09/01/20	805.00	...	554.97	5 of 8 - Sale ²⁵
	3.000	815.98	09/03/20	470.46	...	345.52	6 of 8 - Sale ²⁵
	5.000	1,359.97	09/03/20	805.00	...	554.97	7 of 8 - Sale ²⁵
	2.000	543.99	09/04/20	290.00	...	253.99	8 of 8 - Sale ²⁵
10/30/20	23.000	6,255.86	Various	3,691.62	...	2,564.24	Total of 8 transactions
	Security total:	8,139.60		4,896.42	...	3,243.18	
RENESOLA LTD AMERICAN DEPOSITA RY (EACH REPRESENTING TEN SHAR ES) / CUSIP: 75971T301 / Symbol:							
	3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	4.000	20.20	11/06/20	14.28	...	5.92	1 of 3 - Sale ²⁵
	8.000	40.40	11/06/20	28.96	...	11.44	2 of 3 - Sale ²⁵
	10.000	50.50	11/06/20	35.45	...	15.05	3 of 3 - Sale ²⁵
11/19/20	22.000	111.10	Various	78.69	...	32.41	Total of 3 transactions
RINGCENTRAL, INC. / CUSIP: 76680R206 / Symbol:							
	2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	1.000	289.24	02/21/20	235.41	...	53.83	1 of 2 - Sale ²⁵
	1.000	289.23	02/26/20	237.50	...	51.73	2 of 2 - Sale ²⁵
08/20/20	2.000	578.47	Various	472.91	...	105.56	Total of 2 transactions
	2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	1.000	297.76	02/26/20	240.00	...	57.76	1 of 2 - Sale ²⁵
	3.000	893.29	03/20/20	574.80	...	318.49	2 of 2 - Sale ²⁵
11/19/20	4.000	1,191.05	Various	814.80	...	376.25	Total of 2 transactions
	3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	283.00	03/20/20	191.60	...	91.40	1 of 3 - Sale ²⁵
	1.000	285.64	07/14/20	256.20	...	29.44	2 of 3 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RINGCENTRAL, INC. / CUSIP: 76680R206 / Symbol: (cont'd)							
	1.000	288.58	07/14/20	256.20	...	32.38	3 of 3 - Sale ²⁵
11/25/20	3.000	857.22	Various	704.00	...	153.22	Total of 3 transactions
	Security total:	2,626.74		1,991.71	...	635.03	
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	10.000	57.60	10/19/20	35.28	...	22.32	1 of 2 - Sale ²⁵
	32.000	184.32	10/19/20	112.90	...	71.42	2 of 2 - Sale ²⁵
11/19/20	42.000	241.92	Various	148.18	...	93.74	Total of 2 transactions
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
5 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	1.000	117.54	01/02/20	136.69	19.15 W	0.00	1 of 5 - Sale ²⁵
	1.000	117.54	01/09/20	135.57	18.03 W	0.00	2 of 5 - Sale ²⁵
	1.000	117.54	02/10/20	151.23	33.69 W	0.00	3 of 5 - Sale ²⁵
	4.000	470.14	02/10/20	528.30	58.16 W	0.00	4 of 5 - Sale ²⁵
	1.000	117.54	02/20/20	127.30	9.76 W	0.00	5 of 5 - Sale ²⁵
02/26/20	8.000	940.30	Various	1,079.09	138.79 W	0.00	Total of 5 transactions
9 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	2.000	206.69	02/20/20	283.68	...	-76.99	1 of 9 - Sale ²⁵
	1.000	103.35	02/20/20	160.99	...	-57.64	2 of 9 - Sale ²⁵
	1.000	103.35	02/20/20	145.33	...	-41.98	3 of 9 - Sale ²⁵
	1.000	103.35	02/24/20	128.54	...	-25.19	4 of 9 - Sale ²⁵
	1.000	103.35	02/24/20	128.54	...	-25.19	5 of 9 - Sale ²⁵
	2.000	206.69	02/24/20	228.00	...	-21.31	6 of 9 - Sale ²⁵
	1.000	103.35	02/24/20	123.76	...	-20.41	7 of 9 - Sale ²⁵
	1.000	103.35	03/20/20	77.50	...	25.85	8 of 9 - Sale ²⁵
	3.000	310.04	03/20/20	232.50	...	77.54	9 of 9 - Sale ²⁵
06/05/20	13.000	1,343.52	Various	1,508.84	...	-165.32	Total of 9 transactions
07/10/20	3.000	466.11	07/10/20	465.00	...	1.11	Sale ²⁵
	Security total:	2,749.93		3,052.93	138.79 W	-164.21	

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
12/24/20	40.000	41.63	12/24/20	37.56	...	4.07	Sale ²⁵
12/24/20	100.000	104.10	12/24/20	107.00	2.90 W	0.00	Sale ²⁵
	Security total:	145.73		144.56	2.90 W	4.07	
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
<i>3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,061.98	02/26/20	476.90	...	585.08	1 of 3 - Sale ²⁵
	1.000	1,062.97	02/26/20	472.48	...	590.49	2 of 3 - Sale ²⁵
	1.000	1,079.97	02/26/20	473.00	...	606.97	3 of 3 - Sale ²⁵
11/30/20	3.000	3,204.92	Various	1,422.38	...	1,782.54	Total of 3 transactions
12/02/20	1.000	1,082.27	02/26/20	478.80	...	603.47	Sale ²⁵
<i>5 transactions for 12/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,059.97	03/10/20	449.03	...	610.94	1 of 5 - Sale ²⁵
	1.000	1,059.97	03/10/20	449.03	...	610.94	2 of 5 - Sale ²⁵
	1.000	1,061.97	03/10/20	449.03	...	612.94	3 of 5 - Sale ²⁵
	1.000	1,063.11	03/10/20	449.03	...	614.08	4 of 5 - Sale ²⁵
	1.000	1,065.97	03/20/20	346.00	...	719.97	5 of 5 - Sale ²⁵
12/07/20	5.000	5,310.99	Various	2,142.12	...	3,168.87	Total of 5 transactions
<i>5 transactions for 12/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,053.97	03/20/20	346.00	...	707.97	1 of 5 - Sale ²⁵
	1.000	1,054.97	03/20/20	346.00	...	708.97	2 of 5 - Sale ²⁵
	1.000	1,055.97	03/20/20	346.00	...	709.97	3 of 5 - Sale ²⁵
	1.000	1,056.17	07/14/20	932.00	...	124.17	4 of 5 - Sale ²⁵
	1.000	1,073.47	07/14/20	932.00	...	141.47	5 of 5 - Sale ²⁵
12/14/20	5.000	5,294.55	Various	2,902.00	...	2,392.55	Total of 5 transactions
	Security total:	14,892.73		6,945.30	...	7,947.43	
SIMULATIONS PLUS, INC. COMMON STOCK / CUSIP: 829214105 / Symbol:							
07/17/20	8.000	496.08	07/14/20	480.00	...	16.08	Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOLAREDGE TECHNOLOGIES, INC. C OMMON STOCK / CUSIP: 83417M104 / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	273.90	11/03/20	214.00	...	59.90	1 of 2 - Sale ²⁵
	2.000	551.64	11/03/20	428.00	...	123.64	2 of 2 - Sale ²⁵
12/02/20	3.000	825.54	Various	642.00	...	183.54	Total of 2 transactions
12/07/20	1.000	279.28	11/03/20	213.00	...	66.28	Sale ²⁵
4 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	284.76	11/03/20	212.00	...	72.76	1 of 4 - Sale ²⁵
	1.000	285.16	11/03/20	207.00	...	78.16	2 of 4 - Sale ²⁵
	1.000	285.55	11/03/20	206.80	...	78.75	3 of 4 - Sale ²⁵
	1.000	285.16	11/03/20	206.00	...	79.16	4 of 4 - Sale ²⁵
12/08/20	4.000	1,140.63	Various	831.80	...	308.83	Total of 4 transactions
	Security total:	2,245.45		1,686.80	...	558.65	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	15.000	3,239.93	03/20/20	643.20	...	2,596.73	1 of 3 - Sale ²⁵
	6.000	1,295.97	10/30/20	921.00	...	374.97	2 of 3 - Sale ²⁵
	2.000	431.99	11/19/20	380.70	...	51.29	3 of 3 - Sale ²⁵
12/11/20	23.000	4,967.89	Various	1,944.90	...	3,022.99	Total of 3 transactions
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	240.57	11/19/20	190.35	...	50.22	1 of 3 - Sale ²⁵
	1.000	240.57	11/19/20	190.35	...	50.22	2 of 3 - Sale ²⁵
	1.000	240.57	11/19/20	190.30	...	50.27	3 of 3 - Sale ²⁵
12/22/20	3.000	721.71	Various	571.00	...	150.71	Total of 3 transactions
	Security total:	5,689.60		2,515.90	...	3,173.70	
SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	3.000	174.74	11/04/20	150.00	...	24.74	1 of 2 - Sale ²⁵
	3.000	174.75	11/04/20	150.00	...	24.75	2 of 2 - Sale ²⁵
11/19/20	6.000	349.49	Various	300.00	...	49.49	Total of 2 transactions
11/19/20	3.000	174.74	11/06/20	175.50	0.76 W	0.00	Sale ²⁵
12/22/20	2.000	134.80	11/06/20	117.00	...	17.80	Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol: (cont'd)							
4 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	69.81	11/06/20	58.80	...	11.01	1 of 4 - Sale ²⁵
	2.000	139.62	11/06/20	116.51	...	23.11	2 of 4 - Sale ²⁵
	3.000	209.42	11/06/20	175.64	...	33.78	3 of 4 - Sale ²⁵
	2.000	139.61	11/10/20	105.28	...	34.33	4 of 4 - Sale ²⁵
12/24/20	8.000	558.46	Various	456.23	...	102.23	Total of 4 transactions
	Security total:	1,217.49		1,048.73	0.76 W	169.52	
TAL EDUCATION GROUP / CUSIP: 874080104 / Symbol:							
10 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	10.000	441.99	01/27/20	470.00	...	-28.01	1 of 10 - Sale ²⁵
	5.000	220.99	01/27/20	237.40	...	-16.41	2 of 10 - Sale ²⁵
	4.000	176.80	01/27/20	190.00	...	-13.20	3 of 10 - Sale ²⁵
	2.000	88.40	01/27/20	94.96	...	-6.56	4 of 10 - Sale ²⁵
	1.000	44.20	01/27/20	47.48	...	-3.28	5 of 10 - Sale ²⁵
	2.000	88.40	02/26/20	114.77	...	-26.37	6 of 10 - Sale ²⁵
	1.000	44.20	02/26/20	57.23	...	-13.03	7 of 10 - Sale ²⁵
	9.000	397.79	02/28/20	469.98	...	-72.19	8 of 10 - Sale ²⁵
	1.000	44.20	02/28/20	52.22	...	-8.02	9 of 10 - Sale ²⁵
	5.000	220.99	03/20/20	247.80	...	-26.81	10 of 10 - Sale ²⁵
04/07/20	40.000	1,767.96	Various	1,981.84	...	-213.88	Total of 10 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
08/20/20	2.000	3,967.91	03/20/20	864.00	...	3,103.91	Sale ²⁵
09/08/20	5.000	1,729.96	03/20/20	434.00	...	1,295.96	Sale ²⁵
3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	2.000	1,319.97	03/20/20	186.40	...	1,133.57	1 of 3 - Sale ²⁵
	2.000	1,329.97	03/20/20	173.60	...	1,156.37	2 of 3 - Sale ²⁵
	3.000	1,988.95	03/20/20	260.40	...	1,728.55	3 of 3 - Sale ²⁵
12/21/20	7.000	4,638.89	Various	620.40	...	4,018.49	Total of 3 transactions
	Security total:	10,336.76		1,918.40	...	8,418.36	

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:							
08/20/20	1.000	469.03	02/28/20	266.00	...	203.03	Sale ²⁵
	2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	1.000	817.98	02/28/20	266.00	...	551.98	1 of 2 - Sale ²⁵
	4.000	3,271.92	03/20/20	688.00	...	2,583.92	2 of 2 - Sale ²⁵
11/19/20	5.000	4,089.90	Various	954.00	...	3,135.90	Total of 2 transactions
	3 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	1.000	935.50	03/20/20	186.80	...	748.70	1 of 3 - Sale ²⁵
	1.000	935.50	03/20/20	172.00	...	763.50	2 of 3 - Sale ²⁵
	3.000	2,806.49	03/20/20	517.86	...	2,288.63	3 of 3 - Sale ²⁵
12/17/20	5.000	4,677.49	Various	876.66	...	3,800.83	Total of 3 transactions
	3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	1.000	934.48	03/20/20	186.80	...	747.68	1 of 3 - Sale ²⁵
	3.000	2,801.93	03/20/20	560.40	...	2,241.53	2 of 3 - Sale ²⁵
	1.000	934.47	07/13/20	446.00	...	488.47	3 of 3 - Sale ²⁵
12/21/20	5.000	4,670.88	Various	1,193.20	...	3,477.68	Total of 3 transactions
	Security total:	13,907.30		3,289.86	...	10,617.44	
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
	4 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	1.000	288.84	01/10/20	115.60	...	173.24	1 of 4 - Sale ²⁵
	1.000	288.85	01/10/20	115.60	...	173.25	2 of 4 - Sale ²⁵
	1.000	288.84	01/10/20	115.57	...	173.27	3 of 4 - Sale ²⁵
	1.000	288.85	01/10/20	115.57	...	173.28	4 of 4 - Sale ²⁵
11/06/20	4.000	1,155.38	Various	462.34	...	693.04	Total of 4 transactions
12/22/20	1.000	366.75	01/10/20	115.57	...	251.18	Sale ²⁵
	Security total:	1,522.13		577.91	...	944.22	
2U, INC. COMMON STOCK / CUSIP: 90214J101 / Symbol:							
	5 transactions for 03/20/20. Total proceeds and cost reported to the IRS.						
	5.000	79.90	02/14/20	125.30	...	-45.40	1 of 5 - Sale ²⁵
	5.000	79.90	02/20/20	128.59	...	-48.69	2 of 5 - Sale ²⁵
	2.000	31.96	02/21/20	49.30	...	-17.34	3 of 5 - Sale ²⁵
	1.000	15.98	02/24/20	25.40	...	-9.42	4 of 5 - Sale ²⁵

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Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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2U, INC. COMMON STOCK / CUSIP: 90214J101 / Symbol: (cont'd)							
	1.000	15.98	02/24/20	25.40	...	-9.42	5 of 5 - Sale ²⁵
03/20/20	14.000	223.72	Various	353.99	...	-130.27	Total of 5 transactions
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
11/09/20	6.000	247.80	11/09/20	246.60	...	1.20	Sale ²⁵
UNITED STATES STEEL CORPORATIO N / CUSIP: 912909108 / Symbol:							
06/08/20	30.000	315.36	06/08/20	312.15	...	3.21	Sale ²⁵
VEEVA SYSTEMS INC. / CUSIP: 922475108 / Symbol:							
3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	273.05	11/03/20	272.85	...	0.20	1 of 3 - Sale ²⁵
	1.000	273.05	11/03/20	271.50	...	1.55	2 of 3 - Sale ²⁵
	1.000	273.06	11/03/20	271.50	...	1.56	3 of 3 - Sale ²⁵
12/02/20	3.000	819.16	Various	815.85	...	3.31	Total of 3 transactions
VERICEL CORPORATION COMMON STO CK / CUSIP: 92346J108 / Symbol:							
07/13/20	50.000	828.98	07/13/20	828.50	...	0.48	Sale ²⁵
VIKING THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92686J106 / Symbol:							
6 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	8.000	50.80	04/08/20	38.16	...	12.64	1 of 6 - Sale ²⁵
	30.000	190.49	04/08/20	143.67	...	46.82	2 of 6 - Sale ²⁵
	13.000	82.54	04/14/20	73.97	...	8.57	3 of 6 - Sale ²⁵
	8.000	50.80	05/06/20	53.84	...	-3.04	4 of 6 - Sale ²⁵
	1.000	6.35	05/14/20	7.08	...	-0.73	5 of 6 - Sale ²⁵
	1.000	6.35	05/27/20	7.38	...	-1.03	6 of 6 - Sale ²⁵
12/22/20	61.000	387.33	Various	324.10	...	63.23	Total of 6 transactions
WASHINGTON PRIME GROUP INC. / CUSIP: 93964W108 / Symbol:							
4 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	25.000	34.00	06/05/20	27.50	...	6.50	1 of 4 - Sale ²⁵
	95.000	129.18	06/05/20	104.50	...	24.68	2 of 4 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WASHINGTON PRIME GROUP INC. / CUSIP: 93964W108 / Symbol: (cont'd)							
	100.000	135.98	06/05/20	111.00	...	24.98	3 of 4 - Sale ²⁵
	180.000	244.77	06/05/20	198.00	...	46.77	4 of 4 - Sale ²⁵
06/08/20	400.000	543.93	Various	441.00	...	102.93	Total of 4 transactions
ZENDESK INC / CUSIP: 98936J101 / Symbol:							
02/26/20	1.000	83.97	08/22/19	81.07	...	2.90	Sale ²⁵
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	402.000	64.67	07/02/20	63.92	...	0.75	1 of 3 - Sale ²⁵
	400.000	64.35	07/02/20	63.60	...	0.75	2 of 3 - Sale ²⁵
	2,198.000	353.62	07/02/20	349.48	...	4.14	3 of 3 - Sale ²⁵
07/02/20	3,000.000	482.64	Various	477.00	...	5.64	Total of 3 transactions
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	1.000	17.00	03/24/20	14.00	...	3.00	1 of 2 - Sale ²⁵
	4.000	68.00	03/24/20	55.76	...	12.24	2 of 2 - Sale ²⁵
03/26/20	5.000	85.00	Various	69.76	...	15.24	Total of 2 transactions
YATRA ONLINE, INC. ORDINARY SHARES / CUSIP: G98338109 / Symbol:							
4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	61.000	70.14	06/05/20	91.50	...	-21.36	1 of 4 - Sale ²⁵
	59.000	67.84	06/05/20	88.50	...	-20.66	2 of 4 - Sale ²⁵
	12.000	13.80	06/08/20	14.88	...	-1.08	3 of 4 - Sale ²⁵
	1.000	1.15	06/10/20	1.26	...	-0.11	4 of 4 - Sale ²⁵
06/11/20	133.000	152.93	Various	196.14	...	-43.21	Total of 4 transactions
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol:							
4 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	100.000	62.99	06/30/20	60.40	...	2.59	1 of 4 - Sale ²⁵
	100.000	62.99	06/30/20	60.00	...	2.99	2 of 4 - Sale ²⁵

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Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
	200.000	126.04	06/30/20	120.80	...	5.24	3 of 4 - Sale ²⁵
	300.000	188.96	06/30/20	181.20	...	7.76	4 of 4 - Sale ²⁵
07/01/20	700.000	440.98	Various	422.40	...	18.58	Total of 4 transactions
WIX.COM LTD. ORDINARY SHARES / CUSIP: M98068105 / Symbol:							
07/01/20	3.000	783.76	07/01/20	782.40	...	1.36	Sale ²⁵
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
4 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	4.000	160.31	03/24/20	137.80	...	22.51	1 of 4 - Sale ²⁵
	3.000	120.24	03/25/20	107.70	...	12.54	2 of 4 - Sale ²⁵
	4.000	160.31	03/26/20	166.00	...	-5.69	3 of 4 - Sale ²⁵
	2.000	80.16	03/26/20	83.00	...	-2.84	4 of 4 - Sale ²⁵
03/26/20	13.000	521.02	Various	494.50	...	26.52	Total of 4 transactions
Totals :		358,459.08		291,048.83	9,374.42 W	76,784.67	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABIOMED, INC. COMMON STOCK / CUSIP: 003654100 / Symbol:							
2 transactions for 01/27/20. Total proceeds and cost reported to the IRS.							
	1.000	181.25	10/17/18	384.00	202.75 W	0.00	1 of 2 - Sale ²⁵
	3.000	543.73	10/17/18	1,152.00	608.27 W	0.00	2 of 2 - Sale ²⁵
01/27/20	4.000	724.98	Various	1,536.00	811.02 W	0.00	Total of 2 transactions
4 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	1.000	168.00	10/17/18	384.00	...	-216.00	1 of 4 - Sale ²⁵
	1.000	166.03	12/31/19	371.51	...	-205.48	2 of 4 - Sale ²⁵
	2.000	332.05	01/09/20	770.83	...	-438.78	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ABIOMED, INC. COMMON STOCK / CUSIP: 003654100 / Symbol: (cont'd)							
	1.000	166.03	01/09/20	384.40	...	-218.37	4 of 4 - Sale ²⁵
02/20/20	5.000	832.11	Various	1,910.74	...	-1,078.63	Total of 4 transactions
	Security total:	1,557.09		3,446.74	811.02 W	-1,078.63	
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
03/24/20	2.000	619.98	10/19/18	495.08	...	124.90	Sale ²⁵
03/25/20	2.000	603.38	10/19/18	495.08	...	108.30	Sale ²⁵
	Security total:	1,223.36		990.16	...	233.20	
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	12.000	981.58	10/25/18	229.44	...	752.14	1 of 3 - Sale ²⁵
	1.000	81.80	12/13/18	20.14	...	61.66	2 of 3 - Sale ²⁵
	1.000	81.80	12/20/18	18.03	...	63.77	3 of 3 - Sale ²⁵
08/20/20	14.000	1,145.18	Various	267.61	...	877.57	Total of 3 transactions
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTS EIGHT ORDINARY SHARES / CUSIP: 01609W102 / Symbol:							
02/26/20	2.000	421.20	02/25/19	367.00	...	54.20	Sale ²⁵
ALIGN TECHNOLOGY, INC. COMMON STOCK / CUSIP: 016255101 / Symbol:							
2 transactions for 02/21/20. Total proceeds and cost reported to the IRS.							
	3.000	781.93	10/17/18	999.00	...	-217.07	1 of 2 - Sale ²⁵
	1.000	260.65	10/17/18	333.00	...	-72.35	2 of 2 - Sale ²⁵
02/21/20	4.000	1,042.58	Various	1,332.00	...	-289.42	Total of 2 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	1.000	1,887.61	10/17/18	1,822.00	...	65.61	1 of 2 - Sale ²⁵
	1.000	1,887.41	10/18/18	1,798.12	...	89.29	2 of 2 - Sale ²⁵
01/10/20	2.000	3,775.02	Various	3,620.12	...	154.90	Total of 2 transactions

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AMEDISYS INC COMMON STOCK / CUSIP: 023436108 / Symbol:							
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	243.78	12/20/18	108.69	...	135.09	1 of 2 - Sale ²⁵
	1.000	243.77	12/20/18	108.32	...	135.45	2 of 2 - Sale ²⁵
08/20/20	2.000	487.55	Various	217.01	...	270.54	Total of 2 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	321.40	10/19/18	217.90	...	103.50	1 of 2 - Sale ²⁵
	3.000	964.17	10/19/18	653.70	...	310.47	2 of 2 - Sale ²⁵
06/04/20	4.000	1,285.57	Various	871.60	...	413.97	Total of 2 transactions
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	379.99	10/19/18	218.00	...	161.99	1 of 2 - Sale ²⁵
	2.000	759.98	10/19/18	435.80	...	324.18	2 of 2 - Sale ²⁵
07/14/20	3.000	1,139.97	Various	653.80	...	486.17	Total of 2 transactions
	Security total:	2,425.54		1,525.40	...	900.14	
BIOTELEMETRY, INC. COMMON STOCK / CUSIP: 090672106 / Symbol:							
2 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	9.000	449.28	10/17/18	496.98	...	-47.70	1 of 2 - Sale ²⁵
	1.000	49.92	10/17/18	55.22	...	-5.30	2 of 2 - Sale ²⁵
02/26/20	10.000	499.20	Various	552.20	...	-53.00	Total of 2 transactions
BOOT BARN HOLDINGS, INC. / CUSIP: 099406100 / Symbol:							
2 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	1.000	30.93	12/14/18	17.00	...	13.93	1 of 2 - Sale ²⁵
	3.000	92.79	12/14/18	51.00	...	41.79	2 of 2 - Sale ²⁵
02/26/20	4.000	123.72	Various	68.00	...	55.72	Total of 2 transactions
BURLINGTON STORES, INC. / CUSIP: 122017106 / Symbol:							
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	1.000	165.22	12/14/18	158.41	...	6.81	1 of 2 - Sale ²⁵
	1.000	166.71	12/14/18	158.41	...	8.30	2 of 2 - Sale ²⁵
03/26/20	2.000	331.93	Various	316.82	...	15.11	Total of 2 transactions

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CAESARS ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 12769G100 / Symbol:							
08/20/20	1.000	45.20	06/22/18	39.17	...	6.03	Sale ²⁵
		3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.					
	1.000	65.19	06/22/18	39.16	...	26.03	1 of 3 - Sale ²⁵
	3.000	195.56	06/22/18	117.33	...	78.23	2 of 3 - Sale ²⁵
	2.000	130.38	09/12/18	84.61	...	45.77	3 of 3 - Sale ²⁵
11/19/20	6.000	391.13	Various	241.10	...	150.03	Total of 3 transactions
	Security total:	436.33		280.27	...	156.06	
CANADA GOOSE HOLDINGS INC. / CUSIP: 135086106 / Symbol:							
		3 transactions for 01/09/20. Total proceeds and cost reported to the IRS.					
	4.000	137.08	09/06/18	194.82	...	-57.74	1 of 3 - Sale ²⁵
	1.000	34.27	09/06/18	48.74	...	-14.47	2 of 3 - Sale ²⁵
	1.000	34.27	10/25/18	48.12	...	-13.85	3 of 3 - Sale ²⁵
01/09/20	6.000	205.62	Various	291.68	...	-86.06	Total of 3 transactions
CODEXIS, INC. COMMON STOCK / CUSIP: 192005106 / Symbol:							
		4 transactions for 02/21/20. Total proceeds and cost reported to the IRS.					
	7.000	97.39	10/04/18	105.93	...	-8.54	1 of 4 - Sale ²⁵
	2.000	27.82	10/05/18	30.22	...	-2.40	2 of 4 - Sale ²⁵
	4.000	55.65	10/25/18	58.32	...	-2.67	3 of 4 - Sale ²⁵
	1.000	13.91	10/25/18	14.67	...	-0.76	4 of 4 - Sale ²⁵
02/21/20	14.000	194.77	Various	209.14	...	-14.37	Total of 4 transactions
ELDORADO RESORTS, INC. COMMON STOCK / CUSIP: 28470R102 / Symbol:							
		2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.					
	1.000	41.56	06/21/18	39.91	...	1.65	1 of 2 - Sale ²⁵
	1.000	41.56	06/22/18	39.16	...	2.40	2 of 2 - Sale ²⁵
07/02/20	2.000	83.12	Various	79.07	...	4.05	Total of 2 transactions

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ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
3 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	1.000	52.36	09/06/18	45.90	...	6.46	1 of 3 - Sale ²⁵
	1.000	52.36	09/06/18	45.67	...	6.69	2 of 3 - Sale ²⁵
	3.000	157.08	09/06/18	137.71	...	19.37	3 of 3 - Sale ²⁵
02/26/20	5.000	261.80	Various	229.28	...	32.52	Total of 3 transactions
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
07/14/20	4.000	945.97	10/25/18	600.00	...	345.97	Sale ²⁵
GODADDY INC / CUSIP: 380237107 / Symbol:							
2 transactions for 01/09/20. Total proceeds and cost reported to the IRS.							
	1.000	69.65	09/24/18	75.90	...	-6.25	1 of 2 - Sale ²⁵
	1.000	69.65	09/24/18	75.78	...	-6.13	2 of 2 - Sale ²⁵
01/09/20	2.000	139.30	Various	151.68	...	-12.38	Total of 2 transactions
2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	1.000	71.22	09/24/18	75.77	...	-4.55	1 of 2 - Sale ²⁵
	3.000	213.66	10/25/18	213.21	...	0.45	2 of 2 - Sale ²⁵
01/10/20	4.000	284.88	Various	288.98	...	-4.10	Total of 2 transactions
	Security total:	424.18		440.66	...	-16.48	
HEALTHY EQUITY, INC. COMMON STOCK / CUSIP: 42226A107 / Symbol:							
2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	1.000	73.33	12/13/18	69.69	...	3.64	1 of 2 - Sale ²⁵
	3.000	219.99	12/13/18	209.07	...	10.92	2 of 2 - Sale ²⁵
01/10/20	4.000	293.32	Various	278.76	...	14.56	Total of 2 transactions
HUAZHU GROUP LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 44332N106 / Symbol:							
2 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	5.000	170.85	12/13/18	156.25	...	14.60	1 of 2 - Sale ²⁵
	5.000	170.85	12/13/18	156.25	...	14.60	2 of 2 - Sale ²⁵
02/26/20	10.000	341.70	Various	312.50	...	29.20	Total of 2 transactions
IAC/INTERACTIVECORP COMMON STOCK / CUSIP: 44919P508 / Symbol:							
02/26/20	4.000	853.54	10/17/18	818.60	...	34.94	Sale ²⁵

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IMMUNOMEDICS, INC. COMMON STOC K / CUSIP: 452907108 / Symbol:							
<i>2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	40.42	06/07/18	56.24	...	-15.82	1 of 2 - Sale ²⁵
	7.000	141.47	06/11/18	184.94	...	-43.47	2 of 2 - Sale ²⁵
01/10/20	9.000	181.89	Various	241.18	...	-59.29	Total of 2 transactions
INSPERITY, INC / CUSIP: 45778Q107 / Symbol:							
<i>3 transactions for 01/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	88.42	10/17/18	110.28	...	-21.86	1 of 3 - Sale ²⁵
	2.000	176.84	11/28/18	194.82	...	-17.98	2 of 3 - Sale ²⁵
	3.000	265.26	12/24/19	329.01	...	-63.75	3 of 3 - Sale ²⁵
01/10/20	6.000	530.52	Various	634.11	...	-103.59	Total of 3 transactions
01/10/20	5.000	441.10	10/17/18	551.40	66.18 W	-44.12	Sale ²⁵
	Security total:	971.62		1,185.51	66.18 W	-147.71	
INTUITIVE SURGICAL, INC. COMMO N STOCK / CUSIP: 46120E602 / Symbol:							
<i>3 transactions for 02/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	534.15	10/17/18	580.00	...	-45.85	1 of 3 - Sale ²⁵
	1.000	527.24	10/17/18	542.00	...	-14.76	2 of 3 - Sale ²⁵
	1.000	527.24	10/17/18	542.00	...	-14.76	3 of 3 - Sale ²⁵
02/28/20	3.000	1,588.63	Various	1,664.00	...	-75.37	Total of 3 transactions
MASTERCARD INCORPORATED / CUSIP: 57636Q104 / Symbol:							
08/20/20	4.000	1,339.37	10/17/18	826.00	...	513.37	Sale ²⁵
<i>2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	330.18	10/17/18	206.50	...	123.68	1 of 2 - Sale ²⁵
	3.000	991.17	10/17/18	619.50	...	371.67	2 of 2 - Sale ²⁵
09/08/20	4.000	1,321.35	Various	826.00	...	495.35	Total of 2 transactions
	Security total:	2,660.72		1,652.00	...	1,008.72	
MATCH GROUP, INC. COMMON STOCK / CUSIP: 57667L107 / Symbol:							
07/16/20	0.741	78.45	10/19/18	35.64	...	42.81	Cash in lieu
<i>3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.034	116.92	10/19/18	49.82	...	67.10	1 of 3 - Sale ²⁵
	2.360	266.90	10/19/18	113.42	...	153.48	2 of 3 - Sale ²⁵

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MATCH GROUP, INC. COMMON STOCK / CUSIP: 57667L107 / Symbol: (cont'd)							
	2.607	294.82	10/19/18	125.86	...	168.96	3 of 3 - Sale ²⁵
08/20/20	6.000	678.64	Various	289.10	...	389.54	Total of 3 transactions
	2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	2.562	278.72	10/19/18	123.71	...	155.01	1 of 2 - Sale ²⁵
	2.438	265.26	12/13/18	100.76	...	164.50	2 of 2 - Sale ²⁵
09/29/20	5.000	543.98	Various	224.47	...	319.51	Total of 2 transactions
	2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	2.730	365.92	12/13/18	112.84	...	253.08	1 of 2 - Sale ²⁵
	2.270	304.16	12/20/18	86.70	...	217.46	2 of 2 - Sale ²⁵
11/19/20	5.000	670.08	Various	199.54	...	470.54	Total of 2 transactions
12/09/20	1.865	269.80	12/20/18	71.26	...	198.54	Sale ²⁵
	Security total:	2,240.95		820.01	...	1,420.94	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
07/14/20	5.000	1,014.98	10/19/18	543.20	...	471.78	Sale ²⁵
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
03/24/20	3.000	1,069.87	10/25/18	925.62	...	144.25	Sale ²⁵
NEUROCRINE BIOSCIENCES, INC. C OMMON STOCK / CUSIP: 64125C109 / Symbol:							
	4 transactions for 01/15/20. Total proceeds and cost reported to the IRS.						
	3.000	321.68	09/20/18	342.62	...	-20.94	1 of 4 - Sale ²⁵
	1.000	107.23	10/25/18	105.72	...	1.51	2 of 4 - Sale ²⁵
	1.000	107.22	12/31/18	70.72	...	36.50	3 of 4 - Sale ²⁵
	1.000	107.23	12/31/18	70.72	...	36.51	4 of 4 - Sale ²⁵
01/15/20	6.000	643.36	Various	589.78	...	53.58	Total of 4 transactions
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
08/20/20	4.000	1,950.95	10/17/18	969.52	...	981.43	Sale ²⁵
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
12/22/20	1.000	282.53	10/25/18	55.00	...	227.53	Sale ²⁵

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PAYCOM SOFTWARE, INC. / CUSIP: 70432V102 / Symbol:								
	08/20/20	3.000	888.61	10/17/18	432.92	...	455.69	Sale ²⁵
		<i>2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.</i>						
		1.000	390.64	10/17/18	144.30	...	246.34	1 of 2 - Sale ²⁵
		1.000	390.64	10/17/18	135.17	...	255.47	2 of 2 - Sale ²⁵
	11/19/20	2.000	781.28	Various	279.47	...	501.81	Total of 2 transactions
	Security total:		1,669.89		712.39	...	957.50	
PLANET FITNESS, INC. / CUSIP: 72703H101 / Symbol:								
		<i>4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.</i>						
		1.000	54.92	08/28/18	52.70	...	2.22	1 of 4 - Sale ²⁵
		3.000	164.76	08/28/18	158.28	...	6.48	2 of 4 - Sale ²⁵
		1.000	54.92	08/29/18	52.35	...	2.57	3 of 4 - Sale ²⁵
		3.000	164.40	10/25/18	139.17	...	25.23	4 of 4 - Sale ²⁵
	08/20/20	8.000	439.00	Various	402.50	...	36.50	Total of 4 transactions
RINGCENTRAL, INC. / CUSIP: 76680R206 / Symbol:								
	08/20/20	2.000	578.47	12/20/18	148.38	...	430.09	Sale ²⁵
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:								
	01/27/20	2.000	361.24	10/17/18	291.90	...	69.34	Sale ²⁵
		<i>2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.</i>						
		1.000	188.76	10/17/18	145.95	...	42.81	1 of 2 - Sale ²⁵
		3.000	566.26	10/17/18	437.84	...	128.42	2 of 2 - Sale ²⁵
	02/10/20	4.000	755.02	Various	583.79	...	171.23	Total of 2 transactions
	02/26/20	4.000	713.88	10/17/18	583.79	...	130.09	Sale ²⁵
	Security total:		1,830.14		1,459.48	...	370.66	
SAREPTA THERAPEUTICS, INC. COM MON STOCK (DE) / CUSIP: 803607100 / Symbol:								
		<i>3 transactions for 02/26/20. Total proceeds and cost reported to the IRS.</i>						
		1.000	114.45	09/11/18	139.40	...	-24.95	1 of 3 - Sale ²⁵
		1.000	114.45	09/11/18	139.30	...	-24.85	2 of 3 - Sale ²⁵
		2.000	228.90	10/25/18	254.70	...	-25.80	3 of 3 - Sale ²⁵
	02/26/20	4.000	457.80	Various	533.40	...	-75.60	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
08/20/20	3.000	3,074.93	10/17/18	408.54	...	2,666.39	Sale ²⁵
11/17/20	2.000	1,823.35	10/17/18	272.36	...	1,550.99	Sale ²⁵
11/30/20	1.000	1,061.97	10/17/18	136.18	...	925.79	Sale ²⁵
	Security total:	5,960.25		817.08	...	5,143.17	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
	<i>2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	313.40	10/25/18	145.10	...	168.30	1 of 2 - Sale ²⁵
	5.000	783.48	10/25/18	362.75	...	420.73	2 of 2 - Sale ²⁵
08/20/20	7.000	1,096.88	Various	507.85	...	589.03	Total of 2 transactions
	<i>2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	647.98	10/25/18	217.65	...	430.33	1 of 2 - Sale ²⁵
	2.000	431.99	12/13/18	125.60	...	306.39	2 of 2 - Sale ²⁵
12/11/20	5.000	1,079.97	Various	343.25	...	736.72	Total of 2 transactions
	Security total:	2,176.85		851.10	...	1,325.75	
TABULA RASA HEALTHCARE, INC. C OMMON STOCK / CUSIP: 873379101 / Symbol:							
	<i>2 transactions for 01/09/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	143.04	09/24/18	233.93	...	-90.89	1 of 2 - Sale ²⁵
	4.000	190.72	10/25/18	279.40	...	-88.68	2 of 2 - Sale ²⁵
01/09/20	7.000	333.76	Various	513.33	...	-179.57	Total of 2 transactions
TAKE-TWO INTERACTIVE SOFTWARE, INC. COMMON STOCK / CUSIP: 874054109 / Symbol:							
01/10/20	4.000	512.89	10/25/18	482.52	...	30.37	Sale ²⁵
THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:							
	<i>2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	469.04	11/28/18	129.00	...	340.04	1 of 2 - Sale ²⁵
	1.000	469.04	11/28/18	129.00	...	340.04	2 of 2 - Sale ²⁵
08/20/20	2.000	938.08	Various	258.00	...	680.08	Total of 2 transactions

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
<i>3 transactions for 03/26/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	97.99	10/17/18	83.16	...	14.83	1 of 3 - Sale ²⁵
	2.000	195.98	10/17/18	166.32	...	29.66	2 of 3 - Sale ²⁵
	2.000	195.98	10/17/18	161.99	...	33.99	3 of 3 - Sale ²⁵
03/26/20	5.000	489.95	Various	411.47	...	78.48	Total of 3 transactions
<i>2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	256.64	10/17/18	81.15	...	175.49	1 of 2 - Sale ²⁵
	3.000	769.93	10/17/18	208.12	...	561.81	2 of 2 - Sale ²⁵
08/20/20	4.000	1,026.57	Various	289.27	...	737.30	Total of 2 transactions
	Security total:	1,516.52		700.74	...	815.78	
UNITEDHEALTH GROUP INCORPORATE D (DELAWARE) / CUSIP: 91324P102 / Symbol:							
<i>2 transactions for 02/26/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	265.46	12/13/18	270.50	...	-5.04	1 of 2 - Sale ²⁵
	1.000	265.46	12/13/18	270.31	...	-4.85	2 of 2 - Sale ²⁵
02/26/20	2.000	530.92	Various	540.81	...	-9.89	Total of 2 transactions
VIKING THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92686J106 / Symbol:							
<i>2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	155.60	01/03/19	306.41	...	-150.81	1 of 2 - Sale ²⁵
	16.000	124.48	01/03/19	245.12	...	-120.64	2 of 2 - Sale ²⁵
08/20/20	36.000	280.08	Various	551.53	...	-271.45	Total of 2 transactions
<i>4 transactions for 12/22/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	323.50	01/03/19	766.02	...	-442.52	1 of 4 - Sale ²⁵
	18.000	114.29	01/03/19	275.76	...	-161.47	2 of 4 - Sale ²⁵
	14.000	88.90	01/03/19	214.48	...	-125.58	3 of 4 - Sale ²⁵
	7.000	44.45	01/03/19	107.24	...	-62.79	4 of 4 - Sale ²⁵
12/22/20	89.000	571.14	Various	1,363.50	...	-792.36	Total of 4 transactions
	Security total:	851.22		1,915.03	...	-1,063.81	

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Robinhood Securities LLC

Account 115939415

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
VISA INC. / CUSIP: 92826C839 / Symbol:							
<i>3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	190.10	10/18/18	136.79	...	53.31	1 of 3 - Sale ²⁵
	2.000	380.19	10/18/18	280.68	...	99.51	2 of 3 - Sale ²⁵
	1.000	190.10	10/25/18	135.92	...	54.18	3 of 3 - Sale ²⁵
07/10/20	4.000	760.39	Various	553.39	...	207.00	Total of 3 transactions
ZENDESK INC / CUSIP: 98936J101 / Symbol:							
<i>2 transactions for 02/26/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	83.97	12/20/18	52.24	...	31.73	1 of 2 - Sale ²⁵
	1.000	83.97	12/20/18	52.24	...	31.73	2 of 2 - Sale ²⁵
02/26/20	2.000	167.94	Various	104.48	...	63.46	Total of 2 transactions
ATLASSIAN CORPORATION PLC CLAS S A ORDINARY SHARES / CUSIP: G06242104 / Symbol:							
12/22/20	2.000	494.32	10/17/18	165.66	...	328.66	Sale ²⁵
Totals :		48,896.66		35,047.13	877.20 W	14,726.73	

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Robinhood Securities LLC

Account 115939415

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
			12/24/20	3.86	Adj- Foreign tax withheld-Variou	
			12/24/20	-38.60	Adj- Qualified dividend	
			12/29/20	38.60	Qualified dividend	
			12/29/20	-3.86	Foreign tax withheld-Variou	
			12/30/20	3.86	Adj- Foreign tax withheld-Variou	
			12/30/20	-38.60	Adj- Qualified dividend	
				-38.60	Total Dividends & distributions	
				3.86	Total Foreign tax withheld	
ARK GENOMIC REVOLUTION ETF	00214Q302		12/31/20	10.62	Short-term capital gain	03
			12/31/20	9.11	Long-term capital gain	
			12/31/20	0.02	Qualified dividend	03
				19.75	Total Dividends & distributions	
APPLE INC. COMMON STOCK	037833100		02/13/20	5.39	Qualified dividend	
			05/14/20	44.28	Qualified dividend	
			08/13/20	36.90	Qualified dividend	
			11/12/20	10.25	Qualified dividend	
				96.82	Total Dividends & distributions	
HUAZHU GROUP LIMITED AMERICAN DEPOSITARY SHARES	44332N106		02/05/20	3.40	Nonqualified dividend	
MASTERCARD INCORPORATED	57636Q104		02/07/20	3.20	Qualified dividend	
			05/08/20	4.80	Qualified dividend	
			08/07/20	4.80	Qualified dividend	
				12.80	Total Dividends & distributions	
MICROSOFT CORPORATION COMMON STOCK	594918104		03/12/20	2.55	Qualified dividend	
			06/11/20	35.19	Qualified dividend	
			09/10/20	15.30	Qualified dividend	
				53.04	Total Dividends & distributions	

Robinhood Securities LLC

Account 115939415

Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
NVIDIA CORPORATION COMMON STOC K	67066G104		03/20/20	2.08	Qualified dividend	
			06/26/20	4.32	Qualified dividend	
			09/24/20	3.68	Qualified dividend	
			12/29/20	5.76	Qualified dividend	
				15.84	Total Dividends & distributions	
VISA INC.	92826C839		03/03/20	1.20	Qualified dividend	
			06/02/20	1.20	Qualified dividend	
				2.40	Total Dividends & distributions	
BETTERWARE DE MEXICO, S.A.B. D E C.V. ORDINARY SHARES	P1666E105		11/19/20	38.60	Nonqualified dividend	
			11/19/20	38.60	Nonqualified dividend	
			11/19/20	-3.86	Foreign tax withheld-MX	
				77.20	Total Dividends & distributions	
				-3.86	Total Foreign tax withheld	
				242.65	Total Dividends & distributions	
				0.00	Total Foreign tax withheld	

Robinhood Securities LLC

Account 115939415

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
			0.00		-38.60	0.00	-38.60	3.86
Total			0.00		-38.60	0.00	-38.60	3.86
CH	HUAZHU GROUP LIMITED AMERICAN DEPOSITARY SHARES	44332N106	3.40		0.00	0.00	3.40	0.00
Total CHINA			3.40		0.00	0.00	3.40	0.00
MX	BETTERWARE DE MEXICO, S.A.B. D E C.V. ORDINARY SHARES	P1666E105	77.20		0.00	0.00	77.20	-3.86
Total MEXICO			77.20		0.00	0.00	77.20	-3.86
Grand Total	Total Foreign Source Income*				42.00			
	Qualified Foreign Source Income**				-38.60			
	Foreign Tax				0.00			

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 115939415

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CAESARS ENTERTAINMENT, INC. COMMON STOCK	12769G100	07/21/20	320.00	0.00	Exchange	
ELDORADO RESORTS, INC. COMMON STOCK	28470R102	07/20/20	-320.00	0.00	Exchange	
MATCH GROUP, INC. COMMON STOCK	57665R106	07/01/20	-22.00	0.00	Merger	
MATCH GROUP, INC. COMMON STOCK	57667L107	07/01/20	22.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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