PAYER'S TIN: 38-4019216	RECIPIENT'S TIN: XXX-XX-466	)1		
[ ] FATCA filing requirement (see instructions)	Summary Inform	nation		
DIVIDENDS AND DISTRIBUTIONS 2021 1099-DI	V* OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2021 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties		0.00
1b- Qualified dividends	0.00	3- Other income		0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or	r interest	0.00
2c- Section 1202 gain	0.00	• •		
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS	2021 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00			
2f- Section 897 capital gain	0.00	8- Profit or (loss) realized in 2021 on closed		0.00
3- Nondividend distributions	0.00	9- Unrealized profit or (loss) on open contract		0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (loss) on open contract	ts-12/31/2021	0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (loss) on contracts		0.00
6- Investment expenses	0.00	If applicable, proceeds from sale transaction	one annear summarized hele	ow and are
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	detailed in subsequent sections of this doc		ow and are
9- Cash liquidation distributions	0.00	•		Camilaa
10- Noncash liquidation distributions		s important tax information and is being furni		
11- Exempt-interest dividends (includes line 12)		are required to file a return, a negligence per		
12- Specified private activity bond interest dividends (AMT)	<sub>0.00</sub> you if t	his income is taxable and the IRS determines	that it has not been report	tea.

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	83,425.67	79,763.61	0.00	16.77	3,678.83
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	83,425.67	79,763.61	0.00	16.77	3,678.83
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	83,425.67	79,763.61	0.00	16.77	3,678.83
Withholding		Amount				
Federal income	tax withheld	0.00				

Robinhood Securities LLC
Summary Information
2021
(continued)
Account 549369502
02/11/2022

### INTEREST INCOME

### 2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3) 2- Early withdrawal penalty	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

## STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

#### **Robinhood Securities LLC**

## **Proceeds from Broker and Barter Exchange Transactions**

02/11/2022

Account 549369502

2021 **1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - IXI Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

1a. Description of property/CUSIP/Symbol

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked, Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
AMC ENTERTAINME	NT HOLDINGS, IN C. / CUS	SIP: 00165C104 / Symbol	l:				
	2 transactions fo	r 02/24/21. Total proceed	ls and cost repor	ted to the IRS.			
02/24/21	91.000 109.000 200.000	770.74 923.19 1,693.93	02/02/21 02/02/21 Various	732.54 877.44 1,609.98	 	38.20 45.75 83.95	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transactions
AEHR TEST SYSTEM	IS COMMON STOCK / CUS	SIP: 00760J108 / Symbol:	:				
	5 transactions fo	r 10/13/21. Total proceed	ds and cost repor	ted to the IRS.			
	14.000 15.000 35.000 50.000 66.000	287.70 308.25 719.59 1,027.99 1,356.28	10/11/21 10/11/21 10/11/21 10/11/21 10/11/21	277.20 297.00 693.00 990.00 1,306.80	   	10.50 11.25 26.59 37.99 49.48	1 of 5 - Sale <sup>25</sup> 2 of 5 - Sale <sup>25</sup> 3 of 5 - Sale <sup>25</sup> 4 of 5 - Sale <sup>25</sup> 5 of 5 - Sale <sup>25</sup>
10/13/21	180.000	3,699.81	Various	3,564.00		135.81	Total of 5 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpavers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC Account 549369502

## **Proceeds from Broker and Barter Exchange Transactions**

**2021** 1099-B\* OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

	property/CUSIP/Symbol	1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
1c- Date sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
EHR TEST SYSTE	EMS COMMON STOCK / CUS	SIP: 00760J108 / Symbol:	: (cont'd)		• •		
	3 transactions fo	or 10/15/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.289	6.12	10/14/21	5.73		0.39	1 of 3 - Sale <sup>25</sup>
	0.436	9.23	10/14/21	8.66		0.57	2 of 3 - Sale <sup>25</sup>
	221.000	4,671.88	10/14/21	4,391.38		280.50	3 of 3 - Sale <sup>25</sup>
10/15/21	221.725	4,687.23	Various	4,405.77		281.46	Total of 3 transactions
	5 transactions fo	or 10/21/21. Total proceed					
	0.214	4.71	10/19/21	4.42		0.29	1 of 5 - Sale <sup>25</sup>
	0.240	5.27	10/19/21	4.66		0.61	2 of 5 - Sale <sup>25</sup>
	33.000	725.33	10/19/21	641.36	•••	83.97	3 of 5 - Sale <sup>25</sup>
	64.975	1,428.13	10/19/21	1,262.78	•••	165.35	4 of 5 - Sale <sup>25</sup>
	179.786	3,951.64	10/19/21	3,703.58	•••	248.06	5 of 5 - Sale <sup>25</sup>
10/21/21	278.214	6,115.08	Various	5,616.80	•••	498.28	Total of 5 transactions
	2 transactions fo	or 10/25/21. Total proceed	is and cost repor	ted to the IRS.			
	0.055	1.20	10/22/21	1.07		0.13	1 of 2 - Sale <sup>25</sup>
	168.935	3,678.01	10/22/21	3,268.46		409.55	2 of 2 - Sale <sup>25</sup>
10/25/21	168.991	3,679.21	Various	3,269.53		409.68	Total of 2 transactions
	2 transactions fo	or 10/28/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.468	10.24	10/27/21	9.06		1.18	1 of 2 - Sale <sup>25</sup>
	169.000	3,690.92	10/27/21	3,270.15		420.77	2 of 2 - Sale <sup>25</sup>
10/28/21	169.468	3,701.16	Various	3,279.21		421.95	Total of 2 transactions
	2 transactions fo	or 12/27/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.301	6.72	11/18/21	5.86		0.86	1 of 2 - Sale <sup>25</sup>
	169.000	3,775.13	11/18/21	3,295.30		479.83	2 of 2 - Sale <sup>25</sup>
12/27/21	169.301	3,781.85	Various	3,301.16		480.69	Total of 2 transactions
	Security total:	25,664.34		23,436.47	•••	2,227.87	
ALPHABET INC. CI	LASS A COMMON S TOCK /	•	nbol:	,		•	
		or 01/28/21. Total proceed		ted to the IRS			
		•	•			0.04	1 of F Solo 25
	0.001 0.161	1.04 294.74	01/27/21 01/27/21	1.03		0.01 1.45	1 of 5 - Sale <sup>25</sup> 2 of 5 - Sale <sup>25</sup>
	0.161 0.161	294.74 295.23	01/27/21	293.29 293.24	•••	1.45 1.99	3 of 5 - Sale <sup>25</sup>
	0.161 0.168	295.23 307.93	01/27/21	293.2 <del>4</del> 305.77	•••	7.99 2.16	4 of 5 - Sale <sup>25</sup>
	0.100	307.93	01/21/21	303.77	•	2.10	+ UI J - Sale

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

02/11/2022

**Robinhood Securities LLC** Account 549369502

# **Proceeds from Broker and Barter Exchange Transactions**

(continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1099-B\*** OMB No. 1545-0715

2021

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
	<b>-</b>	. , , , , ,	•	Other basis	uisailoweu (VV)	also not reported (2)	Additional information
ALPHABET INC. CLAS	SS A COMMON S TOCK / C	•	, ,				
0.4.10.0.10.4	2.839	5,189.13	01/27/21	5,162.64	···	26.49	5 of 5 - Sale <sup>25</sup>
01/28/21	3.330	6,088.07	Various	6,055.97		32.10	Total of 5 transactions
AMAZON.COM, INC. (	COMMON STOCK / CUSIP:	023135106 / Symbol:					
01/06/21	0.545	1,729.49	01/04/21	1,734.01	2.62 W	-1.90	Sale <sup>25</sup>
	2 transactions for	01/08/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.316	998.70	01/08/21	1,002.58	•••	-3.88	1 of 2 - Sale <sup>25</sup>
	0.000	0.04	01/08/21	0.04	···	0.00	2 of 2 - Sale <sup>25</sup>
01/08/21	0.316	998.74	Various	1,002.62		-3.88	Total of 2 transactions
	Security total:	2,728.23		2,736.63	2.62 W	-5.78	
AMERICAN AIRLINES	GROUP INC. C OMMON S	STOCK / CUSIP: 02376F	R102 / Symbol:				
	2 transactions for	02/03/21. Total proceed	ds and cost repor	ted to the IRS.			
	27.000	473.90	02/02/21	451.98	•••	21.92	1 of 2 - Sale <sup>25</sup>
	73.000	1,286.95	02/02/21	1,222.02		64.93	2 of 2 - Sale <sup>25</sup>
02/03/21	100.000	1,760.85	Various	1,674.00		86.85	Total of 2 transactions
APPLE INC. COMMON	N STOCK / CUSIP: 0378331	100 / Symbol:					
	4 transactions for	01/26/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.034	4.86	01/26/21	4.85	•••	0.01	1 of 4 - Sale <sup>25</sup>
	0.037	5.29	01/26/21	5.27		0.02	2 of 4 - Sale <sup>25</sup>
	0.255	36.57	01/26/21	36.48		0.09	3 of 4 - Sale <sup>25</sup>
	41.963	6,009.39	01/26/21	5,993.59		15.80	4 of 4 - Sale <sup>25</sup>
01/26/21	42.289	6,056.11	Various	6,040.19		15.92	Total of 4 transactions
CANOPY GROWTH C	ORPORATION COMM ON	SHARES / CUSIP: 1380	35100 / Symbol:				
02/09/21	50.000	2,227.45	02/03/21	2,225.66		1.79	Sale <sup>25</sup>
CITIUS PHARMACEU	TICALS, INC. C OMMON S	TOCK / CUSIP: 17322U	207 / Symbol:				
	3 transactions for	02/16/21. Total proceed	ds and cost repor	ted to the IRS.			
	313.463	460.75	02/03/21	460.79		-0.04	1 of 3 - Sale <sup>25</sup>
	8.537	12.55	02/03/21	12.55	•••	0.00	2 of 3 - Sale <sup>25</sup>
	0.378	0.57	02/03/21	0.56		0.01	3 of 3 - Sale <sup>25</sup>
02/16/21	322.378	473.87	Various	473.90		-0.03	Total of 3 transactions

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Robinhood Securities LLC Account 549369502

# **Proceeds from Broker and Barter Exchange Transactions**

**2021 1099-B\*** OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FARFETCH LIMITED CL	LASS A ORDIN ARY SHA	ARES / CUSIP: 30744W1	07 / Symbol:				
02/05/21	24.682	1,683.76	02/03/21	1,661.57	•••	22.19	Sale <sup>25</sup>
FORD MOTOR COMPA	NY / CUSIP: 345370860	/ Symbol:					
02/05/21	2.000	22.93	10/26/20	16.10		6.83	Sale <sup>25</sup>
GOPRO, INC. CLASS A	COMMON STO CK / CU	SIP: 38268T103 / Symbo	ol:				
	2 transactions fo	r 10/18/21. Total proceed	ds and cost repor	ted to the IRS.			
10/18/21	3.737 100.000 103.737	33.49 896.08 929.57	10/26/20 10/06/21 Various	25.00 901.60 926.60	 	8.49 -5.52 2.97	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transactions
HECLA MINING COMPA	ANY / CUSIP: 422704106	/ Symbol:					
02/05/21	1.000	5.98	10/26/20	5.15		0.83	Sale <sup>25</sup>
MARATHON DIGITAL H	OLDINGS, INC . COMMO	ON STOCK / CUSIP: 565	788106 / Symbo	l:			
	2 transactions fo	r 10/11/21. Total proceed	ds and cost repor	ted to the IRS.			
10/11/21	15.000 50.000 65.000	655.32 2,184.42 2,839.74	10/06/21 10/06/21 Various	584.26 1,935.13 2,519.39	 	71.06 249.29 320.35	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transactions
MICROSOFT CORPORA	ATION COMMON S TOC	K / CUSIP: 594918104 /	Symbol:				
02/09/21	0.083	20.18	11/05/20	18.43	•••	1.75	Sale <sup>25</sup>
NETFLIX, INC. COMMO	N STOCK / CUSIP: 6411	0L106 / Symbol:					
	3 transactions fo	r 02/02/21. Total proceed	ds and cost repor	ted to the IRS.			
02/02/21	0.744 0.255 0.000 1.000	412.48 141.47 0.21 554.16	01/22/21 01/22/21 01/22/21 Various	440.80 146.31 0.23 587.34	   122	-28.32 -4.84 -0.02 -33.18	1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup> 3 of 3 - Sale <sup>25</sup> Total of 3 transactions
02/02/21	0.745 Security total:	412.66 <b>966.82</b>	01/22/21	426.81 <b>1,014.15</b>	14.15 W <b>14.15 W</b>	0.00 <b>-33.18</b>	Sale <sup>25</sup>

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Robinhood Securities LLC Account 549369502

# **Proceeds from Broker and Barter Exchange Transactions**

**2021** 1099-B\* OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
PALATIN TECHNOLOG	GIES, INC. / CUSIP: 69607	7403 / Symbol:					
	5 transactions fo	r 02/09/21. Total proceed	ls and cost repo	rted to the IRS.			
	1.000	1.07	02/04/21	1.03	•••	0.04	1 of 5 - Sale <sup>25</sup>
	9.000	9.63	02/04/21	9.32	•••	0.31	2 of 5 - Sale <sup>25</sup>
	11.000	11.77	02/04/21	11.39		0.38	3 of 5 - Sale <sup>25</sup>
	20.000	21.40	02/04/21	20.70		0.70	4 of 5 - Sale <sup>25</sup>
	79.000	84.52	02/04/21	81.76		2.76	5 of 5 - Sale <sup>25</sup>
02/09/21	120.000	128.39	Various	124.20	···	4.19	Total of 5 transactions
SOS LIMITED / CUSIP:	83587W106 / Symbol:						
10/11/21	150.000	334.81	09/24/21	335.15		-0.34	Sale <sup>25</sup>
T-MOBILE US, INC. CC	MMON STOCK / CUSIP:	872590104 / Symbol:					
02/09/21	13.681	1,722.67	02/05/21	1,712.59	***	10.08	Sale <sup>25</sup>
02/10/21	0.001	0.08	02/05/21	0.08		0.00	Sale <sup>25</sup>
	Security total:	1,722.75		1,712.67	•••	10.08	
TESLA, INC. COMMON	STOCK / CUSIP: 88160F	R101 / Symbol:					
	7 transactions fo	r 01/08/21. Total proceed	ds and cost repor	rted to the IRS.			
	0.250	219.87	01/06/21	192.11		27.76	1 of 7 - Sale <sup>25</sup>
	0.297	261.70	01/06/21	229.27		32.43	2 of 7 - Sale <sup>25</sup>
	1.000	879.62	01/06/21	770.73		108.89	3 of 7 - Sale <sup>25</sup>
	1.999	1,759.00	01/06/21	1,537.38		221.62	4 of 7 - Sale <sup>25</sup>
	0.000	0.12	01/08/21	0.12		0.00	5 of 7 - Sale <sup>25</sup>
	0.143	125.50	01/08/21	124.66		0.84	6 of 7 - Sale <sup>25</sup>
	1.000	879.77	01/08/21	873.93		5.84	7 of 7 - Sale <sup>25</sup>
01/08/21	4.689	4,125.58	Various	3,728.20		397.38	Total of 7 transactions
		r 01/25/21. Total proceed				22.100	
	4.693	4,193.30	01/08/21	4,125.58		67.72	1 of 5 - Sale <sup>25</sup>
	0.000	0.31	01/14/21	0.30		0.01	2 of 5 - Sale <sup>25</sup>
	0.164	146.93	01/14/21	141.17		5.76	3 of 5 - Sale <sup>25</sup>
	1.000	893.43	01/14/21	858.47		<i>34.96</i>	4 of 5 - Sale <sup>25</sup>
	6.863	6,040.19	01/25/21	5,783.97		256.22	5 of 5 - Sale <sup>25</sup>
01/25/21	12.721	11,274.16	Various	10,909.49		364.67	Total of 5 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC Account 549369502

Proceeds from Broker and Barter Exchange Transactions

**1099-B\*** OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

2021

1a- Description of p	property/CUSIP/Symbol							
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
TESLA, INC. COMN	MON STOCK / CUSIP: 88160F	R101 / Symbol: (cont'd)						
	2 transactions fo	2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.						
	0.345 6.993	291.49 5,912.90	01/28/21 01/28/21	282.44 5,802.34	 	9.05 110.56	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup>	
02/02/21	7.337 7 transactions fo	6,204.39	Various ds and cost repor	6,084.78		119.61	Total of 2 transactions	
	5.000 0.037 0.006	3,846.97 28.66 4.51	02/10/21 02/10/21 02/10/21	4,063.70 30.27 4.78		-216.73 -1.61 -0.27	1 of 7 - Sale <sup>25</sup> 2 of 7 - Sale <sup>25</sup> 3 of 7 - Sale <sup>25</sup>	
	0.593 0.000	456.13 0.04	02/16/21 02/16/21	473.83 0.04	  	-17.70 0.00	4 of 7 - Sale <sup>25</sup> 5 of 7 - Sale <sup>25</sup>	
09/24/21	2.502 0.276 8.414	1,925.18 212.27 6,473.76	02/25/21 07/12/21 Various	1,693.93 188.38 6,454.93	  	231.25 23.89 18.83	6 of 7 - Sale <sup>25</sup> 7 of 7 - Sale <sup>25</sup> Total of 7 transactions	
Totals :	Security total:	28,077.89 83,425.67	V 41.1500	27,177.40 79,763.61	16.77 W	900.49 3,678.83	. State St. 1. St. And delication	

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## **2021 End Notes for Account 549369502**

**Robinhood Securities LLC** 

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

#### Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3**. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, no to www.irs.gov/Form1099R

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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