

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 145705067	Statement Date: 02/11/2022 Document ID: DQ2D 801 AE86	2021
	Gopal reddy Guduri 8900 Independence Pkwy 17205 Plano, TX 75025 RECIPIENT'S TIN: XXX-XX-2851		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	40,551.46	42,885.06	0.00	2,013.37	-320.23
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	40,551.46	42,885.06	0.00	2,013.37	-320.23
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	40,551.46	42,885.06	0.00	2,013.37	-320.23
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
01/27/21	40.000	733.23	01/27/21	649.20	...	84.03	Sale ²⁵
01/28/21	10.000	116.00	01/27/21	162.30	46.30 W	0.00	Sale ²⁵
02/02/21	10.000	80.50	01/29/21	177.48	...	-96.98	Sale ²⁵
	Security total:	929.73		988.98	46.30 W	-12.95	
ADAGIO THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00534A102 / Symbol:							
11/30/21	15.000	641.62	11/26/21	384.60	...	257.02	Sale ²⁵
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
02/08/21	0.500	1,662.90	02/02/21	1,685.92	...	-23.02	Sale ²⁵
AMYRIS, INC. COMMON STOCK / CUSIP: 03236M200 / Symbol:							
03/03/21	10.000	189.90	03/02/21	189.39	...	0.51	Sale ²⁵

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Account 145705067

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANAVEX LIFE SCIENCES CORP. COM MON STOCK / CUSIP: 032797300 / Symbol:							
02/04/21	8.000	116.08	02/04/21	170.00	...	-53.92	Sale ²⁵
AQUA METALS, INC. COMMON STOCK / CUSIP: 03837J101 / Symbol:							
02/22/21	50.000	278.25	02/17/21	369.50	...	-91.25	Sale ²⁵
AURORA MOBILE LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 051857100 / Symbol:							
<i>2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	86.000	466.11	02/05/21	670.71	...	-204.60	1 of 2 - Sale ²⁵
	14.000	75.88	02/05/21	109.18	...	-33.30	2 of 2 - Sale ²⁵
02/05/21	100.000	541.99	Various	779.89	...	-237.90	Total of 2 transactions
BALLARD POWER SYSTEMS, INC. CO MMON SHARES / CUSIP: 058586108 / Symbol:							
01/14/21	2.000	66.91	01/12/21	68.74	1.83 W	0.00	Sale ²⁵
02/04/21	8.000	296.72	01/26/21	291.44	...	5.28	Sale ²⁵
02/04/21	2.000	74.18	01/26/21	74.69	0.51 W	0.00	Sale ²⁵
<i>2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.</i>							
	8.000	197.20	03/02/21	231.36	...	-34.16	1 of 2 - Sale ²⁵
	2.000	49.30	03/02/21	58.35	...	-9.05	2 of 2 - Sale ²⁵
03/23/21	10.000	246.50	Various	289.71	...	-43.21	Total of 2 transactions
03/25/21	5.000	108.88	03/02/21	144.60	...	-35.72	Sale ²⁵
04/15/21	10.000	216.41	03/02/21	289.20	...	-72.79	Sale ²⁵
	Security total:	1,009.60		1,158.38	2.34 W	-146.44	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
01/25/21	50.000	627.99	01/25/21	639.00	...	-11.01	Sale ²⁵
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
01/26/21	30.000	1,580.96	01/14/21	1,553.10	...	27.86	Sale ²⁵
BLOOM ENERGY CORPORATION / CUSIP: 093712107 / Symbol:							
03/04/21	5.000	128.45	03/02/21	147.63	...	-19.18	Sale ²⁵
03/05/21	10.000	254.20	03/02/21	295.25	...	-41.05	Sale ²⁵
	Security total:	382.65		442.88	...	-60.23	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOINGO WIRELESS, INC. COMMON S TOCK / CUSIP: 09739C102 / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	10.000	141.30	03/01/21	140.95	...	0.35	1 of 2 - Sale ²⁵
	40.000	565.21	03/01/21	563.96	...	1.25	2 of 2 - Sale ²⁵
03/03/21	50.000	706.51	Various	704.91	...	1.60	Total of 2 transactions
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
11/26/21	40.000	52.80	11/24/21	55.50	...	-2.70	Sale ²⁵
CELSION CORPORATION COMMON STO CK / CUSIP: 15117N503 / Symbol:							
03/19/21	200.000	424.00	02/22/21	574.00	75.00 W	-75.00	Sale ²⁵
03/22/21	100.000	210.99	02/23/21	285.50	...	-74.51	Sale ²⁵
	Security total:	634.99		859.50	75.00 W	-149.51	
COMSTOCK MINING INC. / CUSIP: 205750300 / Symbol:							
02/22/21	20.000	109.62	02/19/21	111.00	...	-1.38	Sale ²⁵
DECIPHERA PHARMACEUTICALS, INC . COMMON STOCK / CUSIP: 24344T101 / Symbol:							
11/26/21	45.000	366.30	11/11/21	431.55	...	-65.25	Sale ²⁵
FISKER INC. / CUSIP: 33813J106 / Symbol:							
01/26/21	20.000	314.20	01/14/21	316.90	2.70 W	0.00	Sale ²⁵
3 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	10.000	258.90	02/25/21	219.70	...	39.20	1 of 3 - Sale ²⁵
	10.000	266.39	02/25/21	219.70	...	46.69	2 of 3 - Sale ²⁵
	20.000	532.76	02/25/21	442.10	...	90.66	3 of 3 - Sale ²⁵
03/01/21	40.000	1,058.05	Various	881.50	...	176.55	Total of 3 transactions
11/10/21	20.000	392.40	11/02/21	343.30	...	49.10	Sale ²⁵
	Security total:	1,764.65		1,541.70	2.70 W	225.65	
FORTRESS BIOTECH, INC. COMMON STOCK / CUSIP: 34960Q109 / Symbol:							
11/02/21	35.000	121.80	10/27/21	112.29	...	9.51	Sale ²⁵
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
01/14/21	35.000	590.43	01/07/21	480.88	...	109.55	Sale ²⁵
02/10/21	25.000	662.29	02/02/21	565.70	...	96.59	Sale ²⁵
03/04/21	10.000	132.80	02/02/21	226.28	93.48 W	0.00	Sale ²⁵

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Account 145705067

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
03/05/21	15.000	196.95	02/02/21	339.41	142.46 W	0.00	Sale ²⁵
	<i>2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.</i>						
	15.000	223.37	02/22/21	562.89	...	-339.52	1 of 2 - Sale ²⁵
	10.000	148.91	02/22/21	373.77	...	-224.86	2 of 2 - Sale ²⁵
03/16/21	25.000	372.28	Various	936.66	...	-564.38	Total of 2 transactions
	<i>2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.</i>						
	15.000	225.90	02/05/21	501.09	275.19 W	0.00	1 of 2 - Sale ²⁵
	10.000	150.60	02/05/21	332.57	181.97 W	0.00	2 of 2 - Sale ²⁵
03/16/21	25.000	376.50	Various	833.66	457.16 W	0.00	Total of 2 transactions
09/17/21	5.000	30.96	09/03/21	30.54	...	0.42	Sale ²⁵
	<i>2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.</i>						
	5.000	35.56	09/03/21	30.40	...	5.16	1 of 2 - Sale ²⁵
	35.000	248.89	09/03/21	213.79	...	35.10	2 of 2 - Sale ²⁵
10/26/21	40.000	284.45	Various	244.19	...	40.26	Total of 2 transactions
	Security total:	2,646.66		3,657.32	693.10 W	-317.56	
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
02/04/21	30.000	355.41	01/27/21	419.70	53.58 W	-10.71	Sale ²⁵
02/22/21	25.000	297.03	02/19/21	355.33	...	-58.30	Sale ²⁵
	Security total:	652.44		775.03	53.58 W	-69.01	
GRITSTONE BIO, INC. COMMON STO CK / CUSIP: 39868T105 / Symbol:							
01/22/21	3.000	81.34	01/20/21	79.74	...	1.60	Sale ²⁵
IMMUNOGEN, INC. COMMON STOCK / CUSIP: 45253H101 / Symbol:							
02/12/21	100.000	1,018.01	02/12/21	975.48	...	42.53	Sale ²⁵
12/03/21	15.000	100.21	11/30/21	92.85	...	7.36	Sale ²⁵
	Security total:	1,118.22		1,068.33	...	49.89	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
	<i>2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.</i>						
	15.000	152.93	03/01/21	169.93	...	-17.00	1 of 2 - Sale ²⁵
	5.000	50.97	03/01/21	58.90	...	-7.93	2 of 2 - Sale ²⁵
03/02/21	20.000	203.90	Various	228.83	...	-24.93	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol:							
02/02/21	5.000	814.17	01/27/21	838.00	...	-23.83	Sale ²⁵
LEXICON PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 528872302 / Symbol:							
01/19/21	10.000	80.10	01/14/21	71.70	...	8.40	Sale ²⁵
LIZHI INC. AMERICAN DEPOSITARY SHARES / CUSIP: 53933L104 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	14.02	02/04/21	11.14	...	2.88	1 of 2 - Sale ²⁵
	29.000	406.51	02/04/21	323.06	...	83.45	2 of 2 - Sale ²⁵
02/04/21	30.000	420.53	Various	334.20	...	86.33	Total of 2 transactions
LONGEVERON INC. CLASS A COMMON STOCK / CUSIP: 54303L104 / Symbol:							
11/24/21	2.000	50.29	11/24/21	36.46	...	13.83	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
6 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	1.000	135.76	07/14/20	73.59	...	62.17	1 of 6 - Sale ²⁵
	2.000	271.53	07/14/20	147.58	...	123.95	2 of 6 - Sale ²⁵
	2.000	271.53	07/15/20	160.70	...	110.83	3 of 6 - Sale ²⁵
	5.000	678.82	07/15/20	424.05	...	254.77	4 of 6 - Sale ²⁵
	3.000	407.30	08/04/20	233.46	...	173.84	5 of 6 - Sale ²⁵
	5.000	678.82	08/12/20	353.82	...	325.00	6 of 6 - Sale ²⁵
01/21/21	18.000	2,443.76	Various	1,393.20	...	1,050.56	Total of 6 transactions
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	2.000	349.59	08/12/20	141.24	...	208.35	1 of 2 - Sale ²⁵
	3.000	524.39	12/01/20	491.52	...	32.87	2 of 2 - Sale ²⁵
01/29/21	5.000	873.98	Various	632.76	...	241.22	Total of 2 transactions
02/02/21	3.000	447.59	12/01/20	413.11	...	34.48	Sale ²⁵
02/02/21	2.000	298.39	12/01/20	327.68	29.29 W	0.00	Sale ²⁵
3 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	3.000	449.45	02/16/21	540.16	...	-90.71	1 of 3 - Sale ²⁵
	1.000	149.82	02/16/21	194.69	...	-44.87	2 of 3 - Sale ²⁵
	1.000	150.10	02/16/21	194.70	...	-44.60	3 of 3 - Sale ²⁵
03/01/21	5.000	749.37	Various	929.55	...	-180.18	Total of 3 transactions

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Robinhood Securities LLC

Account 145705067

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
Security total:		4,813.09		3,696.30	29.29 W		1,146.08
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
03/02/21	20.000	904.19	03/01/21	968.60	...	-64.41	Sale ²⁵
09/17/21	1.000	37.48	07/12/21	45.62	...	-8.14	Sale ²⁵
10/04/21	9.000	306.37	07/12/21	410.57	...	-104.20	Sale ²⁵
Security total:		1,248.04		1,424.79	...		-176.75
NRX PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 629444100 / Symbol:							
11/30/21	5.000	35.90	11/29/21	41.95	...	-6.05	Sale ²⁵
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
01/04/21	20.000	62.84	12/23/20	55.78	...	7.06	Sale ²⁵
02/10/21	100.000	1,067.96	02/08/21	1,224.70	156.74 W	0.00	Sale ²⁵
02/12/21	100.000	1,006.18	02/11/21	1,393.74	387.56 W	0.00	Sale ²⁵
02/26/21	25.000	272.75	02/26/21	327.84	55.09 W	0.00	Sale ²⁵
05/18/21	50.000	464.04	03/03/21	863.78	399.74 W	0.00	Sale ²⁵
<i>6 transactions for 12/08/21. Total proceeds and cost reported to the IRS.</i>							
	18.000	109.47	03/03/21	316.72	...	-207.25	1 of 6 - Sale ²⁵
	21.000	127.71	03/04/21	231.82	...	-104.11	2 of 6 - Sale ²⁵
	7.000	42.57	03/04/21	88.97	...	-46.40	3 of 6 - Sale ²⁵
	29.000	176.37	03/05/21	246.79	...	-70.42	4 of 6 - Sale ²⁵
	21.000	127.71	03/05/21	178.89	...	-51.18	5 of 6 - Sale ²⁵
	4.000	24.33	03/05/21	42.89	...	-18.56	6 of 6 - Sale ²⁵
12/08/21	100.000	608.16	Various	1,106.08	...	-497.92	Total of 6 transactions
Security total:		3,481.93		4,971.92	999.13 W		-490.86
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
02/11/21	100.000	138.10	02/09/21	160.99	...	-22.89	Sale ²⁵
PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
<i>2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	242.45	02/19/21	277.88	35.43 W	0.00	1 of 2 - Sale ²⁵
	10.000	242.45	02/22/21	285.50	43.05 W	0.00	2 of 2 - Sale ²⁵
03/18/21	20.000	484.90	Various	563.38	78.48 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 145705067

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	5.000	118.35	02/22/21	160.47	...	-42.12	1 of 2 - Sale ²⁵
	5.000	118.35	02/22/21	158.99	...	-40.64	2 of 2 - Sale ²⁵
03/23/21	10.000	236.70	Various	319.46	...	-82.76	Total of 2 transactions
03/29/21	10.000	215.85	02/22/21	325.60	...	-109.75	Sale ²⁵
	Security total:	937.45		1,208.44	78.48 W	-192.51	
PFIZER INC. / CUSIP: 717081103 / Symbol:							
01/06/21	10.000	371.20	12/01/20	404.70	...	-33.50	Sale ²⁵
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
01/14/21	20.000	1,287.97	12/15/20	562.19	...	725.78	Sale ²⁵
02/08/21	5.000	328.85	02/02/21	317.33	...	11.52	Sale ²⁵
02/25/21	25.000	1,143.24	02/22/21	1,227.75	...	-84.51	Sale ²⁵
	Security total:	2,760.06		2,107.27	...	652.79	
PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol:							
11/02/21	100.000	296.23	10/20/21	282.50	...	13.73	Sale ²⁵
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
12/07/21	2.000	225.76	11/30/21	240.15	...	-14.39	Sale ²⁵
SOCKET MOBILE, INC. COMMON STOCK / CUSIP: 83368E200 / Symbol:							
02/19/21	10.000	133.30	02/18/21	141.56	8.26 W	0.00	Sale ²⁵
02/26/21	8.000	85.60	02/24/21	86.13	0.53 W	0.00	Sale ²⁵
3 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	14.000	153.61	03/01/21	164.43	...	-10.82	1 of 3 - Sale ²⁵
	8.000	87.77	03/01/21	94.49	...	-6.72	2 of 3 - Sale ²⁵
	2.000	21.94	03/01/21	25.14	...	-3.20	3 of 3 - Sale ²⁵
03/02/21	24.000	263.32	Various	284.06	...	-20.74	Total of 3 transactions
	Security total:	482.22		511.75	8.79 W	-20.74	
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
03/01/21	25.000	165.28	03/01/21	172.75	...	-7.47	Sale ²⁵

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Robinhood Securities LLC

Account 145705067

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
01/26/21	30.000	646.66	01/22/21	643.50	...	3.16	Sale ²⁵
TATA MOTORS LIMITED / CUSIP: 876568502 / Symbol:							
02/19/21	25.000	535.98	02/08/21	576.75	...	-40.77	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
<i>2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	710.62	01/26/21	890.25	...	-179.63	1 of 2 - Sale ²⁵
	1.000	710.75	01/26/21	890.25	...	-179.50	2 of 2 - Sale ²⁵
02/22/21	2.000	1,421.37	Various	1,780.50	...	-359.13	Total of 2 transactions
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
02/17/21	100.000	392.99	02/16/21	449.00	...	-56.01	Sale ²⁵
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
10/20/21	100.000	321.18	10/04/21	303.58	...	17.60	Sale ²⁵
VANDA PHARMACEUTICALS INC. COM MON STOCK / CUSIP: 921659108 / Symbol:							
02/11/21	100.000	1,562.28	02/10/21	1,539.99	...	22.29	Sale ²⁵
02/12/21	30.000	570.43	02/11/21	532.50	...	37.93	Sale ²⁵
	Security total:	2,132.71		2,072.49	...	60.22	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
01/28/21	20.000	645.98	01/27/21	695.30	24.66 W	-24.66	Sale ²⁵
02/22/21	10.000	322.50	02/12/21	385.73	...	-63.23	Sale ²⁵
	Security total:	968.48		1,081.03	24.66 W	-87.89	
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
01/27/21	20.000	1,023.88	01/22/21	1,103.60	...	-79.72	Sale ²⁵
NU HOLDINGS LTD. / CUSIP: G6683N103 / Symbol:							
<i>2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	98.52	12/09/21	105.90	...	-7.38	1 of 2 - Sale ²⁵
	16.000	157.64	12/09/21	144.00	...	13.64	2 of 2 - Sale ²⁵
12/17/21	26.000	256.16	Various	249.90	...	6.26	Total of 2 transactions

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Robinhood Securities LLC

Account 145705067

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TDH HOLDINGS, INC. COMMON SHAR ES / CUSIP: G87084102 / Symbol:							
02/17/21	10.000	110.80	02/17/21	133.50	...	-22.70	Sale ²⁵
Totals :		40,551.46		42,885.06	2,013.37 W	-320.23	

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25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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