

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 164713943	Statement Date: 02/11/2022 Document ID: K07Y 87H 2181	2021
	Venkatakrishna rao Marripudi 12205 Ashford Gables Dr Dunwoody, GA 30338 RECIPIENT'S TIN: XXX-XX-4184		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 34.85</p> <p>1b- Qualified dividends 34.85</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	167,723.80	210,535.97	0.00	18,832.93	-23,979.24
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	2.42	2.70	0.00	0.00	-0.28
	Total Short-term	167,726.22	210,538.67	0.00	18,832.93	-23,979.52
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	167,726.22	210,538.67	0.00	18,832.93	-23,979.52
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 164713943

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	67.45
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 07/16/2021 CALL \$23.00 / CUSIP: / Symbol:							
15 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/30/21	125.00	...	-125.00	1 of 15 - Option expiration- long call
	2.000	0.00	06/30/21	56.00	...	-56.00	2 of 15 - Option expiration- long call
	1.000	0.00	06/30/21	28.00	...	-28.00	3 of 15 - Option expiration- long call
	1.000	0.00	06/30/21	25.00	...	-25.00	4 of 15 - Option expiration- long call
	1.000	0.00	06/30/21	25.00	...	-25.00	5 of 15 - Option expiration- long call
	7.000	0.00	07/08/21	42.00	...	-42.00	6 of 15 - Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 07/16/2021 CALL \$23.00 / CUSIP: / Symbol: (cont'd)							
	6.000	0.00	07/08/21	42.00	...	-42.00	7 of 15 - Option expiration- long call
	3.000	0.00	07/08/21	21.00	...	-21.00	8 of 15 - Option expiration- long call
	2.000	0.00	07/08/21	16.00	...	-16.00	9 of 15 - Option expiration- long call
	2.000	0.00	07/08/21	14.00	...	-14.00	10 of 15 - Option expiration- long call
	1.000	0.00	07/08/21	7.00	...	-7.00	11 of 15 - Option expiration- long call
	1.000	0.00	07/08/21	7.00	...	-7.00	12 of 15 - Option expiration- long call
	1.000	0.00	07/08/21	7.00	...	-7.00	13 of 15 - Option expiration- long call
	26.000	0.00	07/09/21	130.00	...	-130.00	14 of 15 - Option expiration- long call
	7.000	0.00	07/09/21	35.00	...	-35.00	15 of 15 - Option expiration- long call
07/16/21	66.000	0.00	Various	580.00	...	-580.00	Total of 15 transactions
AAPL 03/19/2021 CALL \$121.00 / CUSIP: / Symbol:							
03/15/21	1.000	324.98	03/12/21	183.00	...	141.98	Option sale to close-call ²⁵
AAPL 05/14/2021 CALL \$135.00 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	05/03/21	160.00	...	-160.00	Option expiration- long call
ABT 01/21/2022 CALL \$125.00 / CUSIP: / Symbol:							
3 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	1.000	449.99	06/24/21	285.00	...	164.99	1 of 3 - Option sale to close-call ²⁵
	1.000	450.00	06/24/21	278.00	...	172.00	2 of 3 - Option sale to close-call ²⁵
	2.000	899.99	06/24/21	554.00	...	345.99	3 of 3 - Option sale to close-call ²⁵
06/29/21	4.000	1,799.98	Various	1,117.00	...	682.98	Total of 3 transactions
06/30/21	1.000	364.98	06/24/21	281.00	...	83.98	Option sale to close-call ²⁵
07/08/21	1.000	449.98	06/24/21	281.00	...	168.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABT 01/21/2022 CALL \$125.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 07/13/21. Total proceeds and cost reported to the IRS.							
	1.000	424.99	06/24/21	285.00	...	139.99	1 of 2 - Option sale to close-call ²⁵
	2.000	849.99	06/24/21	566.00	...	283.99	2 of 2 - Option sale to close-call ²⁵
07/13/21	3.000	1,274.98	Various	851.00	...	423.98	Total of 2 transactions
07/19/21	1.000	489.98	07/13/21	455.00	...	34.98	Option sale to close-call ²⁵
	Security total:	4,379.90		2,985.00	...	1,394.90	
AEP 04/16/2021 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	1.000	119.98	03/12/21	90.00	...	29.98	1 of 2 - Option sale to close-call ²⁵
	4.000	459.98	03/12/21	360.00	...	99.98	2 of 2 - Option sale to close-call ²⁵
03/23/21	5.000	579.96	Various	450.00	...	129.96	Total of 2 transactions
AFRM 09/17/2021 CALL \$115.00 / CUSIP: / Symbol:							
09/16/21	1.000	204.98	09/15/21	200.00	...	4.98	Option sale to close-call ²⁵
AHT 07/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	7.000	0.00	06/25/21	315.00	...	-315.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/30/21	20.00	...	-20.00	2 of 2 - Option expiration- long call
07/16/21	8.000	0.00	Various	335.00	...	-335.00	Total of 2 transactions
AMC 03/12/2021 CALL \$10.00 / CUSIP: / Symbol:							
03/09/21	1.000	99.98	03/08/21	75.00	...	24.98	Option sale to close-call ²⁵
AMD 06/25/2021 CALL \$81.00 / CUSIP: / Symbol:							
21 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	1.000	200.00	06/15/21	166.00	...	34.00	1 of 21 - Option sale to close-call ²⁵
	1.000	250.00	06/15/21	146.00	...	104.00	2 of 21 - Option sale to close-call ²⁵
	1.000	250.00	06/15/21	146.00	...	104.00	3 of 21 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 06/25/2021 CALL \$81.00 / CUSIP: / Symbol: (cont'd)							
	1.000	249.99	06/15/21	139.00	...	110.99	4 of 21 - Option sale to close-call 25
	4.000	799.98	06/15/21	676.00	...	123.98	5 of 21 - Option sale to close-call 25
	5.000	999.98	06/15/21	830.00	...	169.98	6 of 21 - Option sale to close-call 25
	2.000	499.99	06/15/21	292.00	...	207.99	7 of 21 - Option sale to close-call 25
	2.000	629.99	06/15/21	278.00	...	351.99	8 of 21 - Option sale to close-call 25
	1.000	315.00	06/16/21	140.00	...	175.00	9 of 21 - Option sale to close-call 25
	1.000	320.00	06/16/21	132.00	...	188.00	10 of 21 - Option sale to close-call 25
	1.000	319.99	06/16/21	106.00	...	213.99	11 of 21 - Option sale to close-call 25
	1.000	320.00	06/16/21	106.00	...	214.00	12 of 21 - Option sale to close-call 25
	1.000	355.98	06/16/21	88.00	...	267.98	13 of 21 - Option sale to close-call 25
	1.000	375.00	06/16/21	101.00	...	274.00	14 of 21 - Option sale to close-call 25
	2.000	629.99	06/16/21	264.00	...	365.99	15 of 21 - Option sale to close-call 25
	2.000	639.99	06/16/21	242.00	...	397.99	16 of 21 - Option sale to close-call 25
	2.000	659.99	06/16/21	196.00	...	463.99	17 of 21 - Option sale to close-call 25
	2.000	749.99	06/16/21	196.00	...	553.99	18 of 21 - Option sale to close-call 25
	2.000	749.99	06/16/21	186.00	...	563.99	19 of 21 - Option sale to close-call 25
	2.000	779.98	06/16/21	186.00	...	593.98	20 of 21 - Option sale to close-call 25

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Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 06/25/2021 CALL \$81.00 / CUSIP: / Symbol: (cont'd)							
	3.000	989.99	06/16/21	318.00	...	671.99	21 of 21 - Option sale to close-call ²⁵
06/17/21	38.000	11,085.82	Various	4,934.00	...	6,151.82	Total of 21 transactions
AMD 06/25/2021 CALL \$82.00 / CUSIP: / Symbol:							
5 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	1.000	139.98	06/14/21	130.00	...	9.98	1 of 5 - Option sale to close-call ²⁵
	1.000	140.00	06/14/21	130.00	...	10.00	2 of 5 - Option sale to close-call ²⁵
	1.000	140.00	06/14/21	130.00	...	10.00	3 of 5 - Option sale to close-call ²⁵
	3.000	419.98	06/14/21	390.00	...	29.98	4 of 5 - Option sale to close-call ²⁵
	4.000	559.98	06/14/21	520.00	...	39.98	5 of 5 - Option sale to close-call ²⁵
06/14/21	10.000	1,399.94	Various	1,300.00	...	99.94	Total of 5 transactions
06/17/21	2.000	481.98	06/15/21	284.00	...	197.98	Option sale to close-call ²⁵
	Security total:	1,881.92		1,584.00	...	297.92	
APPS 07/23/2021 CALL \$77.00 / CUSIP: / Symbol:							
06/24/21	1.000	569.98	06/23/21	330.00	...	239.98	Option sale to close-call ²⁵
AR 06/18/2021 CALL \$12.00 / CUSIP: / Symbol:							
05/14/21	1.000	74.98	05/11/21	80.00	...	-5.02	Option sale to close-call ²⁵
ARLP 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	02/18/21	10.00	...	-10.00	Option expiration- long call
AZN 05/07/2021 CALL \$54.00 / CUSIP: / Symbol:							
3 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	1.000	1.99	04/30/21	146.00	...	-144.01	1 of 3 - Option sale to close-call ²⁵
	1.000	2.00	05/03/21	172.03	...	-170.03	2 of 3 - Option sale to close-call ²⁵
	1.000	1.99	05/03/21	100.01	...	-98.02	3 of 3 - Option sale to close-call ²⁵
05/07/21	3.000	5.98	Various	418.04	...	-412.06	Total of 3 transactions
2 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	3.000	5.98	04/30/21	222.00	216.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	1.98	04/30/21	146.01	144.03 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/07/21	4.000	7.96	Various	368.01	360.05 W	0.00	Total of 2 transactions
	Security total:	13.94		786.05	360.05 W	-412.06	

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AZN 05/14/2021 CALL \$53.00 / CUSIP: / Symbol:							
05/07/21	3.000	341.98	05/04/21	222.00	...	119.98	Option sale to close-call ²⁵
BA 03/12/2021 CALL \$232.50 / CUSIP: / Symbol:							
03/09/21	1.000	455.98	03/08/21	300.00	...	155.98	Option sale to close-call ²⁵
BABA 07/09/2021 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/29/21	316.00	...	-316.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/29/21	160.00	...	-160.00	2 of 2 - Option expiration- long call
07/09/21	3.000	0.00	Various	476.00	...	-476.00	Total of 2 transactions
BB 08/13/2021 CALL \$10.50 / CUSIP: / Symbol:							
08/13/21	1.000	0.00	08/04/21	29.00	...	-29.00	Option expiration- long call
C 11/19/2021 CALL \$72.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	10/28/21	91.00	...	-91.00	Option expiration- long call
CAI 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/02/21	90.00	...	-90.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/02/21	90.00	...	-90.00	2 of 2 - Option expiration- long call
03/19/21	2.000	0.00	Various	180.00	...	-180.00	Total of 2 transactions
CCIV 07/09/2021 CALL \$25.00 / CUSIP: / Symbol:							
06/24/21	1.000	199.98	06/23/21	148.00	...	51.98	Option sale to close-call ²⁵
3 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	2.000	299.98	06/23/21	316.00	...	-16.02	1 of 3 - Option sale to close-call ²⁵
	1.000	149.99	06/23/21	158.00	...	-8.01	2 of 3 - Option sale to close-call ²⁵
	1.000	149.99	06/23/21	154.00	...	-4.01	3 of 3 - Option sale to close-call ²⁵
06/25/21	4.000	599.96	Various	628.00	...	-28.04	Total of 3 transactions
	Security total:	799.94		776.00	...	23.94	
CCL 03/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	03/15/21	68.00	...	-68.00	Option expiration- long call

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Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLF 04/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	1.000	484.98	03/24/21	84.00	...	400.98	1 of 2 - Option sale to close-call ²⁵
	1.000	489.98	03/24/21	84.00	...	405.98	2 of 2 - Option sale to close-call ²⁵
03/31/21	2.000	974.96	Various	168.00	...	806.96	Total of 2 transactions
CLF 04/16/2021 CALL \$16.00 / CUSIP: / Symbol:							
03/29/21	1.000	132.98	03/24/21	70.00	...	62.98	Option sale to close-call ²⁵
2 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	1.000	170.98	03/24/21	70.00	...	100.98	1 of 2 - Option sale to close-call ²⁵
	1.000	171.98	03/24/21	70.00	...	101.98	2 of 2 - Option sale to close-call ²⁵
03/30/21	2.000	342.96	Various	140.00	...	202.96	Total of 2 transactions
2 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	1.000	334.98	03/24/21	70.00	...	264.98	1 of 2 - Option sale to close-call ²⁵
	1.000	404.98	03/24/21	70.00	...	334.98	2 of 2 - Option sale to close-call ²⁵
03/31/21	2.000	739.96	Various	140.00	...	599.96	Total of 2 transactions
	Security total:	1,215.90		350.00	...	865.90	
CLF 05/07/2021 CALL \$18.50 / CUSIP: / Symbol:							
2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	1.000	109.99	04/26/21	84.00	...	25.99	1 of 2 - Option sale to close-call ²⁵
	1.000	109.99	04/26/21	84.00	...	25.99	2 of 2 - Option sale to close-call ²⁵
05/04/21	2.000	219.98	Various	168.00	...	51.98	Total of 2 transactions
CLOV 08/13/2021 CALL \$8.50 / CUSIP: / Symbol:							
2 transactions for 08/12/21. Total proceeds and cost reported to the IRS.							
	1.000	71.98	08/02/21	59.00	...	12.98	1 of 2 - Option sale to close-call ²⁵
	3.000	218.98	08/02/21	177.00	...	41.98	2 of 2 - Option sale to close-call ²⁵
08/12/21	4.000	290.96	Various	236.00	...	54.96	Total of 2 transactions

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLVS 04/30/2021 CALL \$6.00 / CUSIP: / Symbol:							
3 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	04/19/21	100.00	...	-100.00	1 of 3 - Option expiration- long call
	1.000	0.00	04/19/21	24.00	...	-24.00	2 of 3 - Option expiration- long call
	1.000	0.00	04/19/21	24.00	...	-24.00	3 of 3 - Option expiration- long call
04/30/21	6.000	0.00	Various	148.00	...	-148.00	Total of 3 transactions
CLVS 04/30/2021 CALL \$6.50 / CUSIP: / Symbol:							
04/30/21	2.000	0.00	04/19/21	30.00	...	-30.00	Option expiration- long call
CLVS 04/30/2021 CALL \$7.00 / CUSIP: / Symbol:							
9 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/30/21	67.00	...	-67.00	1 of 9 - Option expiration- long call
	1.000	0.00	03/30/21	67.00	...	-67.00	2 of 9 - Option expiration- long call
	7.000	0.00	03/31/21	490.00	...	-490.00	3 of 9 - Option expiration- long call
	1.000	0.00	03/31/21	69.00	...	-69.00	4 of 9 - Option expiration- long call
	8.000	0.00	04/06/21	520.00	...	-520.00	5 of 9 - Option expiration- long call
	5.000	0.00	04/07/21	240.00	...	-240.00	6 of 9 - Option expiration- long call
	8.000	0.00	04/13/21	152.00	...	-152.00	7 of 9 - Option expiration- long call
	1.000	0.00	04/13/21	15.00	...	-15.00	8 of 9 - Option expiration- long call
	1.000	0.00	04/15/21	15.00	...	-15.00	9 of 9 - Option expiration- long call
04/30/21	33.000	0.00	Various	1,635.00	...	-1,635.00	Total of 9 transactions
CNP 04/16/2021 CALL \$22.00 / CUSIP: / Symbol:							
03/16/21	4.000	347.98	03/12/21	308.00	...	39.98	Option sale to close-call ²⁵
COTY 10/15/2021 CALL \$9.00 / CUSIP: / Symbol:							
6 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	09/16/21	66.00	...	-66.00	1 of 6 - Option expiration- long call
	2.000	0.00	09/16/21	44.00	...	-44.00	2 of 6 - Option expiration- long call
	1.000	0.00	09/16/21	22.00	...	-22.00	3 of 6 - Option expiration- long call
	1.000	0.00	09/16/21	22.00	...	-22.00	4 of 6 - Option expiration- long call
	1.000	0.00	09/16/21	22.00	...	-22.00	5 of 6 - Option expiration- long call
	1.000	0.00	09/16/21	22.00	...	-22.00	6 of 6 - Option expiration- long call
10/15/21	9.000	0.00	Various	198.00	...	-198.00	Total of 6 transactions

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CRON 06/18/2021 CALL \$8.50 / CUSIP: / Symbol:							
06/03/21	2.000	187.98	06/02/21	108.00	...	79.98	Option sale to close-call ²⁵
CSCO 04/23/2021 CALL \$47.00 / CUSIP: / Symbol:							
03/24/21	1.000	329.98	03/05/21	141.00	...	188.98	Option sale to close-call ²⁵
04/08/21	1.000	453.98	03/05/21	133.00	...	320.98	Option sale to close-call ²⁵
	Security total:	783.96		274.00	...	509.96	
CSCO 04/23/2021 CALL \$53.00 / CUSIP: / Symbol:							
04/23/21	1.000	0.00	04/16/21	44.00	...	-44.00	Option expiration- long call
D 04/16/2021 CALL \$77.50 / CUSIP: / Symbol:							
	<i>2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	139.99	03/09/21	146.00	...	-6.01	1 of 2 - Option sale to close-call ²⁵
	1.000	69.99	03/11/21	55.00	...	14.99	2 of 2 - Option sale to close-call ²⁵
03/12/21	3.000	209.98	Various	201.00	...	8.98	Total of 2 transactions
DDD 04/23/2021 CALL \$40.00 / CUSIP: / Symbol:							
	<i>3 transactions for 04/23/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	03/12/21	200.00	...	-200.00	1 of 3 - Option expiration- long call
	1.000	0.00	03/15/21	245.00	...	-245.00	2 of 3 - Option expiration- long call
	1.000	0.00	03/30/21	15.00	...	-15.00	3 of 3 - Option expiration- long call
04/23/21	3.000	0.00	Various	460.00	...	-460.00	Total of 3 transactions
DDD 04/30/2021 CALL \$40.00 / CUSIP: / Symbol:							
	<i>5 transactions for 04/30/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	0.00	03/12/21	480.00	...	-480.00	1 of 5 - Option expiration- long call
	5.000	0.00	03/30/21	150.00	...	-150.00	2 of 5 - Option expiration- long call
	1.000	0.00	03/30/21	30.00	...	-30.00	3 of 5 - Option expiration- long call
	1.000	0.00	03/30/21	30.00	...	-30.00	4 of 5 - Option expiration- long call
	1.000	0.00	03/30/21	30.00	...	-30.00	5 of 5 - Option expiration- long call
04/30/21	10.000	0.00	Various	720.00	...	-720.00	Total of 5 transactions

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(continued)

02/11/2022

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DKNG 03/19/2021 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	1.000	26.99	03/03/21	68.00	...	-41.01	1 of 2 - Option sale to close-call ²⁵
	1.000	26.99	03/03/21	59.00	...	-32.01	2 of 2 - Option sale to close-call ²⁵
03/10/21	2.000	53.98	Various	127.00	...	-73.02	Total of 2 transactions
DPW 04/16/2021 CALL \$4.00 / CUSIP: / Symbol:							
03/10/21	4.000	299.98	03/08/21	260.00	...	39.98	Option sale to close-call ²⁵
EDU 03/19/2021 CALL \$17.50 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	03/12/21	58.00	...	-58.00	Option expiration- long call
EXC 06/18/2021 CALL \$46.00 / CUSIP: / Symbol:							
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	2.000	119.99	05/20/21	190.00	70.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	119.99	05/20/21	176.00	56.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
06/08/21	4.000	239.98	Various	366.00	126.02 W	0.00	Total of 2 transactions
5 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	1.000	85.00	05/20/21	123.01	38.01 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	85.00	05/20/21	123.00	38.00 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	84.99	05/20/21	116.01	31.02 W	0.00	3 of 5 - Option sale to close-call ²⁵
	1.000	85.00	05/20/21	123.00	38.00 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	84.99	05/20/21	95.00	10.01 W	0.00	5 of 5 - Option sale to close-call ²⁵
06/09/21	5.000	424.98	Various	580.02	155.04 W	0.00	Total of 5 transactions
12 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	1.000	110.00	05/25/21	76.02	...	33.98	1 of 12 - Option sale to close-call ²⁵
	1.000	110.00	05/28/21	78.02	...	31.98	2 of 12 - Option sale to close-call ²⁵
	1.000	110.00	05/28/21	78.01	...	31.99	3 of 12 - Option sale to close-call ²⁵
	1.000	110.00	05/28/21	73.00	...	37.00	4 of 12 - Option sale to close-call ²⁵
	1.000	110.00	05/28/21	45.01	...	64.99	5 of 12 - Option sale to close-call ²⁵

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EXC 06/18/2021 CALL \$46.00 / CUSIP: / Symbol: (cont'd)							
	3.000	329.99	06/01/21	105.00	...	224.99	6 of 12 - Option sale to close-call ²⁵
	1.000	110.00	06/02/21	45.00	...	65.00	7 of 12 - Option sale to close-call ²⁵
	2.000	219.99	06/02/21	90.00	...	129.99	8 of 12 - Option sale to close-call ²⁵
	2.000	219.99	06/02/21	90.00	...	129.99	9 of 12 - Option sale to close-call ²⁵
	2.000	219.99	06/03/21	58.00	...	161.99	10 of 12 - Option sale to close-call ²⁵
	3.000	329.99	06/03/21	87.00	...	242.99	11 of 12 - Option sale to close-call ²⁵
	5.000	549.98	06/03/21	145.00	...	404.98	12 of 12 - Option sale to close-call ²⁵
06/14/21	23.000	2,529.93	Various	970.06	...	1,559.87	Total of 12 transactions
	2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.						
	1.000	89.99	05/20/21	133.01	43.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	89.99	05/20/21	133.00	43.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
06/14/21	2.000	179.98	Various	266.01	86.03 W	0.00	Total of 2 transactions
	Security total:	3,374.87		2,182.09	367.09 W	1,559.87	
F 06/11/2021 CALL \$15.00 / CUSIP: / Symbol:							
	2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	1.000	76.00	06/02/21	33.00	...	43.00	1 of 2 - Option sale to close-call ²⁵
	4.000	303.98	06/02/21	132.00	...	171.98	2 of 2 - Option sale to close-call ²⁵
06/03/21	5.000	379.98	Various	165.00	...	214.98	Total of 2 transactions
F 06/25/2021 CALL \$16.00 / CUSIP: / Symbol:							
06/25/21	1.000	0.00	06/09/21	45.00	...	-45.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FCEL 04/09/2021 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	1.000	94.00	03/31/21	78.00	...	16.00	1 of 2 - Option sale to close-call ²⁵
	4.000	375.98	03/31/21	312.00	...	63.98	2 of 2 - Option sale to close-call ²⁵
04/01/21	5.000	469.98	Various	390.00	...	79.98	Total of 2 transactions
FCEL 10/29/2021 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/19/21	72.00	...	-72.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/19/21	58.00	...	-58.00	2 of 2 - Option expiration- long call
10/29/21	2.000	0.00	Various	130.00	...	-130.00	Total of 2 transactions
FE 02/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
3 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	1.000	34.98	01/28/21	15.00	...	19.98	1 of 3 - Option sale to close-call ²⁵
	1.000	34.98	01/28/21	15.00	...	19.98	2 of 3 - Option sale to close-call ²⁵
	2.000	69.98	01/28/21	30.00	...	39.98	3 of 3 - Option sale to close-call ²⁵
02/18/21	4.000	139.94	Various	60.00	...	79.94	Total of 3 transactions
FSLY 08/20/2021 CALL \$70.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	06/30/21	355.00	...	-355.00	Option expiration- long call
FSR 03/05/2021 CALL \$32.00 / CUSIP: / Symbol:							
03/05/21	1.000	0.00	03/02/21	170.00	...	-170.00	Option expiration- long call
FTCV 08/20/2021 CALL \$12.50 / CUSIP: / Symbol:							
21 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	8.000	0.00	06/25/21	760.00	...	-760.00	1 of 21 - Option expiration- long call
	6.000	0.00	06/25/21	540.00	...	-540.00	2 of 21 - Option expiration- long call
	3.000	0.00	06/25/21	285.00	...	-285.00	3 of 21 - Option expiration- long call
	1.000	0.00	06/25/21	100.00	...	-100.00	4 of 21 - Option expiration- long call

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FTCV 08/20/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	06/29/21	154.00	...	-154.00	5 of 21 - Option expiration- long call
	2.000	0.00	06/30/21	140.00	...	-140.00	6 of 21 - Option expiration- long call
	7.000	0.00	07/13/21	280.00	...	-280.00	7 of 21 - Option expiration- long call
	3.000	0.00	07/19/21	60.00	...	-60.00	8 of 21 - Option expiration- long call
	1.000	0.00	07/19/21	20.00	...	-20.00	9 of 21 - Option expiration- long call
	1.000	0.00	07/19/21	20.00	...	-20.00	10 of 21 - Option expiration- long call
	11.000	0.00	08/02/21	55.00	...	-55.00	11 of 21 - Option expiration- long call
	2.000	0.00	08/02/21	10.00	...	-10.00	12 of 21 - Option expiration- long call
	2.000	0.00	08/02/21	10.00	...	-10.00	13 of 21 - Option expiration- long call
	1.000	0.00	08/02/21	5.00	...	-5.00	14 of 21 - Option expiration- long call
	4.000	0.00	08/04/21	60.00	...	-60.00	15 of 21 - Option expiration- long call
	14.000	0.00	08/06/21	210.00	...	-210.00	16 of 21 - Option expiration- long call
	4.000	0.00	08/06/21	60.00	...	-60.00	17 of 21 - Option expiration- long call
	2.000	0.00	08/06/21	30.00	...	-30.00	18 of 21 - Option expiration- long call
	1.000	0.00	08/06/21	15.00	...	-15.00	19 of 21 - Option expiration- long call
	25.000	0.00	08/10/21	225.00	...	-225.00	20 of 21 - Option expiration- long call
	2.000	0.00	08/13/21	10.00	...	-10.00	21 of 21 - Option expiration- long call
08/20/21	102.000	0.00	Various	3,049.00	...	-3,049.00	Total of 21 transactions

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FTI 04/16/2021 CALL \$8.00 / CUSIP: / Symbol:							
<i>13 transactions for 04/16/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	0.00	03/16/21	285.00	...	-285.00	1 of 13 - Option expiration- long call
	1.000	0.00	03/17/21	80.00	...	-80.00	2 of 13 - Option expiration- long call
	6.000	0.00	03/29/21	144.00	...	-144.00	3 of 13 - Option expiration- long call
	4.000	0.00	03/29/21	120.00	...	-120.00	4 of 13 - Option expiration- long call
	3.000	0.00	03/29/21	90.00	...	-90.00	5 of 13 - Option expiration- long call
	2.000	0.00	03/29/21	60.00	...	-60.00	6 of 13 - Option expiration- long call
	3.000	0.00	03/30/21	60.00	...	-60.00	7 of 13 - Option expiration- long call
	1.000	0.00	03/30/21	20.00	...	-20.00	8 of 13 - Option expiration- long call
	3.000	0.00	04/06/21	45.00	...	-45.00	9 of 13 - Option expiration- long call
	8.000	0.00	04/08/21	80.00	...	-80.00	10 of 13 - Option expiration- long call
	1.000	0.00	04/08/21	10.00	...	-10.00	11 of 13 - Option expiration- long call
	1.000	0.00	04/08/21	10.00	...	-10.00	12 of 13 - Option expiration- long call
	1.000	0.00	04/08/21	10.00	...	-10.00	13 of 13 - Option expiration- long call
04/16/21	37.000	0.00	Various	1,014.00	...	-1,014.00	Total of 13 transactions
FUBO 07/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	0.00	07/13/21	300.00	...	-300.00	1 of 2 - Option expiration- long call
	5.000	0.00	07/13/21	300.00	...	-300.00	2 of 2 - Option expiration- long call
07/16/21	10.000	0.00	Various	600.00	...	-600.00	Total of 2 transactions

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GCI 04/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
04/16/21	3.000	0.00	02/19/21	120.00	...	-120.00	Option expiration- long call
GE 03/19/2021 CALL \$13.00 / CUSIP: / Symbol:							
2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	9.000	287.97	03/15/21	342.00	...	-54.03	1 of 2 - Option sale to close-call ²⁵
	1.000	32.00	03/15/21	38.00	...	-6.00	2 of 2 - Option sale to close-call ²⁵
03/16/21	10.000	319.97	Various	380.00	...	-60.03	Total of 2 transactions
GNUS 06/18/2021 CALL \$1.50 / CUSIP: / Symbol:							
3 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	1.000	33.99	05/25/21	20.00	...	13.99	1 of 3 - Option sale to close-call ²⁵
	1.000	34.00	05/25/21	20.00	...	14.00	2 of 3 - Option sale to close-call ²⁵
	4.000	135.99	05/25/21	80.00	...	55.99	3 of 3 - Option sale to close-call ²⁵
05/27/21	6.000	203.98	Various	120.00	...	83.98	Total of 3 transactions
2 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	1.000	32.99	05/25/21	20.00	...	12.99	1 of 2 - Option sale to close-call ²⁵
	2.000	65.99	05/25/21	40.00	...	25.99	2 of 2 - Option sale to close-call ²⁵
06/01/21	3.000	98.98	Various	60.00	...	38.98	Total of 2 transactions
	Security total:	302.96		180.00	...	122.96	
GNUS 06/18/2021 CALL \$3.00 / CUSIP: / Symbol:							
12 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	6.000	0.00	06/03/21	90.00	...	-90.00	1 of 12 - Option expiration- long call
	1.000	0.00	06/03/21	15.00	...	-15.00	2 of 12 - Option expiration- long call
	1.000	0.00	06/03/21	15.00	...	-15.00	3 of 12 - Option expiration- long call
	1.000	0.00	06/03/21	15.00	...	-15.00	4 of 12 - Option expiration- long call
	1.000	0.00	06/07/21	9.00	...	-9.00	5 of 12 - Option expiration- long call
	1.000	0.00	06/07/21	7.00	...	-7.00	6 of 12 - Option expiration- long call

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GNUS 06/18/2021 CALL \$3.00 / CUSIP: / Symbol: (cont'd)							
	9.000	0.00	06/09/21	126.00	...	-126.00	7 of 12 - Option expiration- long call
	5.000	0.00	06/09/21	70.00	...	-70.00	8 of 12 - Option expiration- long call
	7.000	0.00	06/09/21	56.00	...	-56.00	9 of 12 - Option expiration- long call
	1.000	0.00	06/09/21	14.00	...	-14.00	10 of 12 - Option expiration- long call
	2.000	0.00	06/10/21	16.00	...	-16.00	11 of 12 - Option expiration- long call
	1.000	0.00	06/10/21	8.00	...	-8.00	12 of 12 - Option expiration- long call
06/18/21	36.000	0.00	Various	441.00	...	-441.00	Total of 12 transactions
GOLD 03/05/2021 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	2.000	41.99	03/02/21	60.00	...	-18.01	1 of 3 - Option sale to close-call ²⁵
	1.000	20.99	03/02/21	24.00	...	-3.01	2 of 3 - Option sale to close-call ²⁵
	1.000	21.00	03/02/21	24.00	...	-3.00	3 of 3 - Option sale to close-call ²⁵
03/04/21	4.000	83.98	Various	108.00	...	-24.02	Total of 3 transactions
GOLD 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
03/08/21	1.000	34.98	03/02/21	62.00	...	-27.02	Option sale to close-call ²⁵
HOG 04/16/2021 CALL \$37.00 / CUSIP: / Symbol:							
03/12/21	1.000	259.98	03/11/21	206.00	...	53.98	Option sale to close-call ²⁵
HOME 06/18/2021 CALL \$38.00 / CUSIP: / Symbol:							
22 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	05/18/21	270.00	...	-270.00	1 of 22 - Option expiration- long call
	2.000	0.00	05/18/21	180.00	...	-180.00	2 of 22 - Option expiration- long call
	1.000	0.00	05/19/21	65.00	...	-65.00	3 of 22 - Option expiration- long call

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HOME 06/18/2021 CALL \$38.00 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	05/20/21	130.00	...	-130.00	4 of 22 - Option expiration- long call
	1.000	0.00	05/20/21	65.00	...	-65.00	5 of 22 - Option expiration- long call
	1.000	0.00	05/20/21	55.00	...	-55.00	6 of 22 - Option expiration- long call
	1.000	0.00	05/20/21	55.00	...	-55.00	7 of 22 - Option expiration- long call
	1.000	0.00	05/20/21	50.00	...	-50.00	8 of 22 - Option expiration- long call
	5.000	0.00	05/27/21	100.00	...	-100.00	9 of 22 - Option expiration- long call
	2.000	0.00	05/27/21	40.00	...	-40.00	10 of 22 - Option expiration- long call
	1.000	0.00	05/28/21	25.00	...	-25.00	11 of 22 - Option expiration- long call
	5.000	0.00	06/02/21	175.00	...	-175.00	12 of 22 - Option expiration- long call
	1.000	0.00	06/02/21	35.00	...	-35.00	13 of 22 - Option expiration- long call
	1.000	0.00	06/02/21	35.00	...	-35.00	14 of 22 - Option expiration- long call
	2.000	0.00	06/03/21	50.00	...	-50.00	15 of 22 - Option expiration- long call
	1.000	0.00	06/03/21	25.00	...	-25.00	16 of 22 - Option expiration- long call
	20.000	0.00	06/09/21	300.00	...	-300.00	17 of 22 - Option expiration- long call
	21.000	0.00	06/10/21	210.00	...	-210.00	18 of 22 - Option expiration- long call
	15.000	0.00	06/10/21	150.00	...	-150.00	19 of 22 - Option expiration- long call
	10.000	0.00	06/10/21	100.00	...	-100.00	20 of 22 - Option expiration- long call
	5.000	0.00	06/10/21	50.00	...	-50.00	21 of 22 - Option expiration- long call

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Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOME 06/18/2021 CALL \$38.00 / CUSIP: / Symbol: (cont'd)							
	4.000	0.00	06/10/21	40.00	...	-40.00	22 of 22 - Option expiration- long call
06/18/21	105.000	0.00	Various	2,205.00	...	-2,205.00	Total of 22 transactions
HPE 04/30/2021 CALL \$16.00 / CUSIP: / Symbol:							
2 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	9.000	359.97	04/05/21	450.00	90.03 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	40.00	04/05/21	50.00	10.00 W	0.00	2 of 2 - Option sale to close-call ²⁵
04/29/21	10.000	399.97	Various	500.00	100.03 W	0.00	Total of 2 transactions
2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	8.000	0.00	04/05/21	480.03	...	-480.03	1 of 2 - Option expiration- long call
	1.000	0.00	04/26/21	45.00	...	-45.00	2 of 2 - Option expiration- long call
04/30/21	9.000	0.00	Various	525.03	...	-525.03	Total of 2 transactions
	Security total:	399.97		1,025.03	100.03 W	-525.03	
IDEX 06/18/2021 CALL \$3.00 / CUSIP: / Symbol:							
06/02/21	4.000	167.98	06/01/21	116.00	...	51.98	Option sale to close-call ²⁵
IMH 03/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/19/21	5.000	0.00	03/02/21	200.00	...	-200.00	Option expiration- long call
INFY 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/04/21	35.00	...	-35.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/04/21	20.00	...	-20.00	2 of 2 - Option expiration- long call
03/19/21	2.000	0.00	Various	55.00	...	-55.00	Total of 2 transactions
INO 08/20/2021 CALL \$9.00 / CUSIP: / Symbol:							
3 transactions for 08/02/21. Total proceeds and cost reported to the IRS.							
	5.000	249.98	07/29/21	325.00	...	-75.02	1 of 3 - Option sale to close-call ²⁵
	3.000	161.98	07/29/21	195.00	...	-33.02	2 of 3 - Option sale to close-call ²⁵
	2.000	107.98	07/29/21	130.00	...	-22.02	3 of 3 - Option sale to close-call ²⁵
08/02/21	10.000	519.94	Various	650.00	...	-130.06	Total of 3 transactions

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Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IQ 06/18/2021 CALL \$15.00 / CUSIP: / Symbol:							
8 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	10.000	589.98	05/20/21	540.00	...	49.98	1 of 8 - Option sale to close-call ²⁵
	1.000	59.00	05/24/21	36.00	...	23.00	2 of 8 - Option sale to close-call ²⁵
	1.000	59.00	05/28/21	50.00	...	9.00	3 of 8 - Option sale to close-call ²⁵
	1.000	55.98	06/02/21	40.00	...	15.98	4 of 8 - Option sale to close-call ²⁵
	1.000	59.00	06/02/21	41.00	...	18.00	5 of 8 - Option sale to close-call ²⁵
	2.000	135.99	06/02/21	80.00	...	55.99	6 of 8 - Option sale to close-call ²⁵
	7.000	412.97	06/02/21	280.00	...	132.97	7 of 8 - Option sale to close-call ²⁵
	1.000	67.99	06/03/21	25.00	...	42.99	8 of 8 - Option sale to close-call ²⁵
06/09/21	24.000	1,439.91	Various	1,092.00	...	347.91	Total of 8 transactions
8 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	2.000	127.99	06/03/21	44.00	...	83.99	1 of 8 - Option sale to close-call ²⁵
	2.000	128.00	06/03/21	44.00	...	84.00	2 of 8 - Option sale to close-call ²⁵
	4.000	255.99	06/03/21	88.00	...	167.99	3 of 8 - Option sale to close-call ²⁵
	9.000	575.98	06/03/21	225.00	...	350.98	4 of 8 - Option sale to close-call ²⁵
	2.000	129.99	06/04/21	38.00	...	91.99	5 of 8 - Option sale to close-call ²⁵
	3.000	191.99	06/04/21	57.00	...	134.99	6 of 8 - Option sale to close-call ²⁵
	3.000	194.99	06/04/21	60.00	...	134.99	7 of 8 - Option sale to close-call ²⁵
	5.000	324.99	06/04/21	100.00	...	224.99	8 of 8 - Option sale to close-call ²⁵
06/14/21	30.000	1,929.92	Various	656.00	...	1,273.92	Total of 8 transactions
	Security total:	3,369.83		1,748.00	...	1,621.83	

IVR 07/09/2021 CALL \$4.00 / CUSIP: / Symbol:

13 transactions for 07/09/21. Total proceeds and cost reported to the IRS.

	16.000	0.00	06/21/21	464.00	...	-464.00	1 of 13 - Option expiration- long call
	7.000	0.00	06/21/21	203.00	...	-203.00	2 of 13 - Option expiration- long call
	4.000	0.00	06/21/21	116.00	...	-116.00	3 of 13 - Option expiration- long call
	1.000	0.00	06/21/21	29.00	...	-29.00	4 of 13 - Option expiration- long call
	1.000	0.00	06/21/21	29.00	...	-29.00	5 of 13 - Option expiration- long call

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IVR 07/09/2021 CALL \$4.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	06/21/21	29.00	...	-29.00	6 of 13 - Option expiration- long call
	2.000	0.00	06/29/21	26.00	...	-26.00	7 of 13 - Option expiration- long call
	1.000	0.00	06/29/21	14.00	...	-14.00	8 of 13 - Option expiration- long call
	30.000	0.00	07/06/21	60.00	...	-60.00	9 of 13 - Option expiration- long call
	10.000	0.00	07/06/21	20.00	...	-20.00	10 of 13 - Option expiration- long call
	3.000	0.00	07/08/21	3.00	...	-3.00	11 of 13 - Option expiration- long call
	1.000	0.00	07/08/21	1.00	...	-1.00	12 of 13 - Option expiration- long call
	1.000	0.00	07/08/21	1.00	...	-1.00	13 of 13 - Option expiration- long call
07/09/21	78.000	0.00	Various	995.00	...	-995.00	Total of 13 transactions
JD 01/20/2023 CALL \$100.00 / CUSIP: / Symbol:							
07/13/21	1.000	744.98	06/21/21	548.00	...	196.98	Option sale to close-call ²⁵
KNDI 05/21/2021 CALL \$6.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	04/30/21	32.00	...	-32.00	Option expiration- long call
KNDI 06/18/2021 CALL \$5.00 / CUSIP: / Symbol:							
							7 transactions for 05/20/21. Total proceeds and cost reported to the IRS.
	2.000	97.98	05/10/21	92.00	...	5.98	1 of 7 - Option sale to close-call ²⁵
	2.000	99.99	05/10/21	92.00	...	7.99	2 of 7 - Option sale to close-call ²⁵
	4.000	199.99	05/10/21	184.00	...	15.99	3 of 7 - Option sale to close-call ²⁵
	1.000	48.00	05/11/21	37.00	...	11.00	4 of 7 - Option sale to close-call ²⁵
	5.000	239.98	05/11/21	225.00	...	14.98	5 of 7 - Option sale to close-call ²⁵
	2.000	95.99	05/11/21	70.00	...	25.99	6 of 7 - Option sale to close-call ²⁵
	2.000	99.99	05/11/21	70.00	...	29.99	7 of 7 - Option sale to close-call ²⁵
05/20/21	18.000	881.92	Various	770.00	...	111.92	Total of 7 transactions
05/21/21	2.000	99.98	05/11/21	90.00	...	9.98	Option sale to close-call ²⁵
Security total:		981.90		860.00	...	121.90	

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KNDI 06/18/2021 CALL \$6.00 / CUSIP: / Symbol:							
2 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	1.000	15.99	05/03/21	55.00	...	-39.01	1 of 2 - Option sale to close-call ²⁵
	1.000	15.99	05/06/21	35.00	...	-19.01	2 of 2 - Option sale to close-call ²⁵
05/20/21	2.000	31.98	Various	90.00	...	-58.02	Total of 2 transactions
KNDI 06/18/2021 CALL \$7.00 / CUSIP: / Symbol:							
16 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	03/09/21	426.00	...	-426.00	1 of 16 - Option expiration- long call
	3.000	0.00	03/09/21	408.00	...	-408.00	2 of 16 - Option expiration- long call
	2.000	0.00	03/09/21	278.00	...	-278.00	3 of 16 - Option expiration- long call
	1.000	0.00	05/03/21	24.00	...	-24.00	4 of 16 - Option expiration- long call
	10.000	0.00	06/08/21	220.00	...	-220.00	5 of 16 - Option expiration- long call
	8.000	0.00	06/08/21	216.00	...	-216.00	6 of 16 - Option expiration- long call
	6.000	0.00	06/08/21	162.00	...	-162.00	7 of 16 - Option expiration- long call
	4.000	0.00	06/08/21	92.00	...	-92.00	8 of 16 - Option expiration- long call
	3.000	0.00	06/08/21	81.00	...	-81.00	9 of 16 - Option expiration- long call
	2.000	0.00	06/08/21	54.00	...	-54.00	10 of 16 - Option expiration- long call
	2.000	0.00	06/08/21	54.00	...	-54.00	11 of 16 - Option expiration- long call
	2.000	0.00	06/08/21	46.00	...	-46.00	12 of 16 - Option expiration- long call
	1.000	0.00	06/08/21	23.00	...	-23.00	13 of 16 - Option expiration- long call
	2.000	0.00	06/09/21	48.00	...	-48.00	14 of 16 - Option expiration- long call

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KNDI 06/18/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	4.000	0.00	06/10/21	84.00	...	-84.00	15 of 16 - Option expiration- long call
	1.000	0.00	06/10/21	21.00	...	-21.00	16 of 16 - Option expiration- long call
06/18/21	54.000	0.00	Various	2,237.00	...	-2,237.00	Total of 16 transactions
KODK 10/29/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	10/25/21	50.00	...	-50.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/25/21	14.00	...	-14.00	2 of 2 - Option expiration- long call
10/29/21	3.000	0.00	Various	64.00	...	-64.00	Total of 2 transactions
KOPN 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
9 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/28/21	290.00	...	-290.00	1 of 9 - Option expiration- long call
	2.000	0.00	06/28/21	290.00	...	-290.00	2 of 9 - Option expiration- long call
	2.000	0.00	07/19/21	80.00	...	-80.00	3 of 9 - Option expiration- long call
	15.000	0.00	08/18/21	75.00	...	-75.00	4 of 9 - Option expiration- long call
	3.000	0.00	08/18/21	15.00	...	-15.00	5 of 9 - Option expiration- long call
	2.000	0.00	08/18/21	10.00	...	-10.00	6 of 9 - Option expiration- long call
	8.000	0.00	08/26/21	152.00	...	-152.00	7 of 9 - Option expiration- long call
	1.000	0.00	08/26/21	10.00	...	-10.00	8 of 9 - Option expiration- long call
	1.000	0.00	09/16/21	5.00	...	-5.00	9 of 9 - Option expiration- long call
10/15/21	36.000	0.00	Various	927.00	...	-927.00	Total of 9 transactions
KOPN 10/15/2021 CALL \$7.50 / CUSIP: / Symbol:							
10/15/21	1.000	0.00	08/31/21	35.00	...	-35.00	Option expiration- long call
LAZR 06/04/2021 CALL \$23.00 / CUSIP: / Symbol:							
7 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	100.00	05/21/21	90.00	...	10.00	1 of 7 - Option sale to close-call ²⁵
	1.000	99.99	05/24/21	80.00	...	19.99	2 of 7 - Option sale to close-call ²⁵
	1.000	109.99	05/25/21	65.01	...	44.98	3 of 7 - Option sale to close-call ²⁵
	1.000	110.00	05/25/21	65.00	...	45.00	4 of 7 - Option sale to close-call ²⁵
	1.000	100.00	05/25/21	50.00	...	50.00	5 of 7 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LAZR 06/04/2021 CALL \$23.00 / CUSIP: / Symbol: (cont'd)							
	2.000	219.99	05/25/21	80.00	...	139.99	6 of 7 - Option sale to close-call ²⁵
	5.000	499.98	05/25/21	200.00	...	299.98	7 of 7 - Option sale to close-call ²⁵
06/02/21	12.000	1,239.95	Various	630.01	...	609.94	Total of 7 transactions
	4 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	1.000	100.00	05/18/21	140.00	40.00 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	100.00	05/18/21	125.00	25.00 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	99.99	05/18/21	125.00	25.01 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	110.00	05/24/21	120.00	10.00 W	0.00	4 of 4 - Option sale to close-call ²⁵
06/02/21	4.000	409.99	Various	510.00	100.01 W	0.00	Total of 4 transactions
	Security total:	1,649.94		1,140.01	100.01 W	609.94	
LAZR 07/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
	36 transactions for 07/16/21. Total proceeds and cost reported to the IRS.						
	2.000	0.00	06/25/21	310.00	...	-310.00	1 of 36 - Option expiration- long call
	2.000	0.00	06/25/21	310.00	...	-310.00	2 of 36 - Option expiration- long call
	1.000	0.00	06/25/21	115.00	...	-115.00	3 of 36 - Option expiration- long call
	1.000	0.00	06/28/21	73.00	...	-73.00	4 of 36 - Option expiration- long call
	1.000	0.00	06/28/21	69.00	...	-69.00	5 of 36 - Option expiration- long call
	1.000	0.00	06/28/21	69.00	...	-69.00	6 of 36 - Option expiration- long call
	1.000	0.00	06/28/21	69.00	...	-69.00	7 of 36 - Option expiration- long call
	1.000	0.00	06/28/21	69.00	...	-69.00	8 of 36 - Option expiration- long call
	11.000	0.00	06/29/21	495.00	...	-495.00	9 of 36 - Option expiration- long call
	5.000	0.00	06/29/21	225.00	...	-225.00	10 of 36 - Option expiration- long call
	3.000	0.00	06/29/21	135.00	...	-135.00	11 of 36 - Option expiration- long call

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(continued)

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LAZR 07/16/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	06/29/21	90.00	...	-90.00	12 of 36 - Option expiration- long call
	2.000	0.00	06/29/21	90.00	...	-90.00	13 of 36 - Option expiration- long call
	1.000	0.00	06/30/21	34.00	...	-34.00	14 of 36 - Option expiration- long call
	5.000	0.00	07/01/21	125.00	...	-125.00	15 of 36 - Option expiration- long call
	4.000	0.00	07/01/21	96.00	...	-96.00	16 of 36 - Option expiration- long call
	4.000	0.00	07/01/21	96.00	...	-96.00	17 of 36 - Option expiration- long call
	3.000	0.00	07/01/21	72.00	...	-72.00	18 of 36 - Option expiration- long call
	1.000	0.00	07/01/21	25.00	...	-25.00	19 of 36 - Option expiration- long call
	1.000	0.00	07/01/21	24.00	...	-24.00	20 of 36 - Option expiration- long call
	1.000	0.00	07/01/21	24.00	...	-24.00	21 of 36 - Option expiration- long call
	16.000	0.00	07/02/21	224.00	...	-224.00	22 of 36 - Option expiration- long call
	14.000	0.00	07/02/21	210.00	...	-210.00	23 of 36 - Option expiration- long call
	1.000	0.00	07/02/21	15.00	...	-15.00	24 of 36 - Option expiration- long call
	15.000	0.00	07/06/21	195.00	...	-195.00	25 of 36 - Option expiration- long call
	14.000	0.00	07/06/21	126.00	...	-126.00	26 of 36 - Option expiration- long call
	11.000	0.00	07/06/21	110.00	...	-110.00	27 of 36 - Option expiration- long call
	7.000	0.00	07/06/21	70.00	...	-70.00	28 of 36 - Option expiration- long call
	6.000	0.00	07/06/21	54.00	...	-54.00	29 of 36 - Option expiration- long call

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Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LAZR 07/16/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	3.000	0.00	07/06/21	45.00	...	-45.00	30 of 36 - Option expiration- long call
	4.000	0.00	07/06/21	40.00	...	-40.00	31 of 36 - Option expiration- long call
	2.000	0.00	07/06/21	20.00	...	-20.00	32 of 36 - Option expiration- long call
	1.000	0.00	07/06/21	15.00	...	-15.00	33 of 36 - Option expiration- long call
	1.000	0.00	07/06/21	10.00	...	-10.00	34 of 36 - Option expiration- long call
	1.000	0.00	07/06/21	9.00	...	-9.00	35 of 36 - Option expiration- long call
	1.000	0.00	07/08/21	5.00	...	-5.00	36 of 36 - Option expiration- long call
07/16/21	150.000	0.00	Various	3,763.00	...	-3,763.00	Total of 36 transactions
LEVI 03/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
5 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	2.000	149.99	03/09/21	190.00	...	-40.01	1 of 5 - Option sale to close-call ²⁵
	4.000	299.99	03/09/21	340.00	...	-40.01	2 of 5 - Option sale to close-call ²⁵
	2.000	150.00	03/09/21	180.00	...	-30.00	3 of 5 - Option sale to close-call ²⁵
	1.000	74.99	03/09/21	70.00	...	4.99	4 of 5 - Option sale to close-call ²⁵
	1.000	75.00	03/09/21	70.00	...	5.00	5 of 5 - Option sale to close-call ²⁵
03/15/21	10.000	749.97	Various	850.00	...	-100.03	Total of 5 transactions
LKQ 03/19/2021 CALL \$42.50 / CUSIP: / Symbol:							
03/05/21	4.000	239.98	02/18/21	260.00	20.02 W	0.00	Option sale to close-call ²⁵
3 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	2.000	309.99	02/19/21	80.01	...	229.98	1 of 3 - Option sale to close-call ²⁵
	2.000	309.99	02/19/21	80.01	...	229.98	2 of 3 - Option sale to close-call ²⁵
	3.000	569.98	02/19/21	105.00	...	464.98	3 of 3 - Option sale to close-call ²⁵
03/08/21	7.000	1,189.96	Various	265.02	...	924.94	Total of 3 transactions
	Security total:	1,429.94		525.02	20.02 W	924.94	

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Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LKQ 04/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
03/09/21	2.000	259.98	02/18/21	130.00	...	129.98	Option sale to close-call ²⁵
LL 04/16/2021 CALL \$27.00 / CUSIP: / Symbol:							
<i>4 transactions for 04/16/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	15.00	03/15/21	200.00	...	-185.00	1 of 4 - Option sale to close-call ²⁵
	2.000	29.99	03/29/21	110.00	...	-80.01	2 of 4 - Option sale to close-call ²⁵
	1.000	15.00	03/30/21	45.00	...	-30.00	3 of 4 - Option sale to close-call ²⁵
	1.000	14.99	03/31/21	75.00	...	-60.01	4 of 4 - Option sale to close-call ²⁵
04/16/21	5.000	74.98	Various	430.00	...	-355.02	Total of 4 transactions
MARA 07/02/2021 PUT \$26.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/15/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	634.98	06/15/21	650.00	...	-15.02	1 of 2 - Option sale to close-put ²⁵
	1.000	127.00	06/15/21	131.00	...	-4.00	2 of 2 - Option sale to close-put ²⁵
06/15/21	6.000	761.98	Various	781.00	...	-19.02	Total of 2 transactions
MGM 04/16/2021 CALL \$40.00 / CUSIP: / Symbol:							
04/05/21	2.000	451.98	04/05/21	452.00	0.01 W	-0.01	Option sale to close-call ²⁵
04/06/21	1.000	275.98	04/05/21	212.01	...	63.97	Option sale to close-call ²⁵
	Security total:	727.96		664.01	0.01 W	63.96	
MMM 04/23/2021 CALL \$187.50 / CUSIP: / Symbol:							
03/17/21	1.000	569.98	03/08/21	425.00	...	144.98	Option sale to close-call ²⁵
MRK 04/16/2021 CALL \$80.00 / CUSIP: / Symbol:							
<i>3 transactions for 03/29/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	133.98	03/17/21	168.00	...	-34.02	1 of 3 - Option sale to close-call ²⁵
	2.000	133.98	03/17/21	168.00	...	-34.02	2 of 3 - Option sale to close-call ²⁵
	2.000	133.98	03/17/21	168.00	...	-34.02	3 of 3 - Option sale to close-call ²⁵
03/29/21	6.000	401.94	Various	504.00	...	-102.06	Total of 3 transactions

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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MYE 06/18/2021 CALL \$22.50 / CUSIP: / Symbol:							
2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	5.000	574.98	04/01/21	425.00	...	149.98	1 of 2 - Option sale to close-call ²⁵
	3.000	344.99	04/08/21	180.00	...	164.99	2 of 2 - Option sale to close-call ²⁵
04/30/21	8.000	919.97	Various	605.00	...	314.97	Total of 2 transactions
NKLA 09/17/2021 CALL \$10.00 / CUSIP: / Symbol:							
08/18/21	1.000	82.98	08/12/21	116.00	33.02 W	0.00	Option sale to close-call ²⁵
08/26/21	2.000	145.98	08/12/21	232.00	86.02 W	0.00	Option sale to close-call ²⁵
3 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	2.000	185.98	08/12/21	318.02	...	-132.04	1 of 3 - Option sale to close-call ²⁵
	1.000	92.98	08/12/21	149.02	...	-56.04	2 of 3 - Option sale to close-call ²⁵
	1.000	92.98	08/12/21	116.00	...	-23.02	3 of 3 - Option sale to close-call ²⁵
08/31/21	4.000	371.94	Various	583.04	...	-211.10	Total of 3 transactions
	Security total:	600.90		931.04	119.04 W	-211.10	
NLOK 03/19/2021 CALL \$21.00 / CUSIP: / Symbol:							
3 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	1.000	94.00	03/08/21	60.00	...	34.00	1 of 3 - Option sale to close-call ²⁵
	4.000	375.98	03/08/21	240.00	...	135.98	2 of 3 - Option sale to close-call ²⁵
	5.000	474.98	03/08/21	300.00	...	174.98	3 of 3 - Option sale to close-call ²⁵
03/09/21	10.000	944.96	Various	600.00	...	344.96	Total of 3 transactions
NLOK 05/21/2021 CALL \$21.00 / CUSIP: / Symbol:							
3 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	1.000	194.98	04/05/21	159.00	...	35.98	1 of 3 - Option sale to close-call ²⁵
	2.000	379.98	04/05/21	318.00	...	61.98	2 of 3 - Option sale to close-call ²⁵
	2.000	389.98	04/05/21	318.00	...	71.98	3 of 3 - Option sale to close-call ²⁵
05/11/21	5.000	964.94	Various	795.00	...	169.94	Total of 3 transactions
NNDM 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
03/09/21	1.000	169.98	03/05/21	85.00	...	84.98	Option sale to close-call ²⁵
NNOX 04/16/2021 CALL \$55.00 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	04/05/21	600.00	...	-600.00	Option expiration- long call

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NOK 03/19/2021 CALL \$4.50 / CUSIP: / Symbol:							
03/17/21	1.000	6.98	03/15/21	18.00	...	-11.02	Option sale to close-call ²⁵
NOK 03/26/2021 CALL \$4.50 / CUSIP: / Symbol:							
2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	03/15/21	42.00	...	-42.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/15/21	18.00	...	-18.00	2 of 2 - Option expiration- long call
03/26/21	3.000	0.00	Various	60.00	...	-60.00	Total of 2 transactions
NOK 04/16/2021 CALL \$4.50 / CUSIP: / Symbol:							
04/16/21	2.000	0.00	03/15/21	66.00	...	-66.00	Option expiration- long call
NOK 05/07/2021 CALL \$5.00 / CUSIP: / Symbol:							
05/03/21	1.000	8.98	04/29/21	10.00	...	-1.02	Option sale to close-call ²⁵
ON 04/16/2021 CALL \$43.00 / CUSIP: / Symbol:							
3 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	3.000	554.98	03/16/21	447.00	...	107.98	1 of 3 - Option sale to close-call ²⁵
	1.000	175.00	03/29/21	50.00	...	125.00	2 of 3 - Option sale to close-call ²⁵
	4.000	699.98	03/31/21	340.00	...	359.98	3 of 3 - Option sale to close-call ²⁵
04/05/21	8.000	1,429.96	Various	837.00	...	592.96	Total of 3 transactions
ORCL 04/16/2021 CALL \$69.50 / CUSIP: / Symbol:							
03/29/21	1.000	271.98	03/24/21	44.00	...	227.98	Option sale to close-call ²⁵
ORCL 04/16/2021 CALL \$72.50 / CUSIP: / Symbol:							
3 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	1.000	74.99	03/12/21	64.00	...	10.99	1 of 3 - Option sale to close-call ²⁵
	1.000	75.00	03/12/21	58.00	...	17.00	2 of 3 - Option sale to close-call ²⁵
	1.000	74.99	03/16/21	32.00	...	42.99	3 of 3 - Option sale to close-call ²⁵
03/29/21	3.000	224.98	Various	154.00	...	70.98	Total of 3 transactions
PBR 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
06/01/21	2.000	123.98	05/12/21	74.00	...	49.98	Option sale to close-call ²⁵

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(continued)

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PDD 07/02/2021 CALL \$127.00 / CUSIP: / Symbol:							
2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	1.000	262.99	06/23/21	162.00	...	100.99	1 of 2 - Option sale to close-call ²⁵
	2.000	525.99	06/23/21	324.00	...	201.99	2 of 2 - Option sale to close-call ²⁵
06/24/21	3.000	788.98	Various	486.00	...	302.98	Total of 2 transactions
PLTR 05/21/2021 CALL \$20.00 / CUSIP: / Symbol:							
8 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	1.000	134.00	05/11/21	121.00	...	13.00	1 of 8 - Option sale to close-call ²⁵
	2.000	287.99	05/11/21	202.00	...	85.99	2 of 8 - Option sale to close-call ²⁵
	2.000	287.99	05/11/21	192.00	...	95.99	3 of 8 - Option sale to close-call ²⁵
	1.000	134.00	05/13/21	70.00	...	64.00	4 of 8 - Option sale to close-call ²⁵
	1.000	134.00	05/13/21	70.00	...	64.00	5 of 8 - Option sale to close-call ²⁵
	1.000	133.99	05/13/21	38.00	...	95.99	6 of 8 - Option sale to close-call ²⁵
	1.000	133.99	05/13/21	38.00	...	95.99	7 of 8 - Option sale to close-call ²⁵
	1.000	134.00	05/13/21	38.00	...	96.00	8 of 8 - Option sale to close-call ²⁵
05/18/21	10.000	1,379.96	Various	769.00	...	610.96	Total of 8 transactions
3 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	1.000	144.00	05/06/21	176.00	32.00 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	144.00	05/06/21	176.00	32.00 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	144.00	05/06/21	176.00	32.00 W	0.00	3 of 3 - Option sale to close-call ²⁵
05/18/21	3.000	432.00	Various	528.00	96.00 W	0.00	Total of 3 transactions
	Security total:	1,811.96		1,297.00	96.00 W	610.96	
PPD 05/21/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/15/21	40.00	...	-40.00	1 of 2 - Option expiration- long call
	2.000	0.00	04/15/21	30.00	...	-30.00	2 of 2 - Option expiration- long call
05/21/21	3.000	0.00	Various	70.00	...	-70.00	Total of 2 transactions
RIG 03/05/2021 CALL \$4.00 / CUSIP: / Symbol:							
6 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	1.000	11.98	03/02/21	10.00	...	1.98	1 of 6 - Option sale to close-call ²⁵
	1.000	11.98	03/02/21	10.00	...	1.98	2 of 6 - Option sale to close-call ²⁵

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(continued)

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RIG 03/05/2021 CALL \$4.00 / CUSIP: / Symbol: (cont'd)							
	1.000	11.98	03/02/21	10.00	...	1.98	3 of 6 - Option sale to close-call ²⁵
	1.000	11.98	03/02/21	10.00	...	1.98	4 of 6 - Option sale to close-call ²⁵
	2.000	23.98	03/02/21	20.00	...	3.98	5 of 6 - Option sale to close-call ²⁵
	4.000	47.98	03/02/21	40.00	...	7.98	6 of 6 - Option sale to close-call ²⁵
03/03/21	10.000	119.88	Various	100.00	...	19.88	Total of 6 transactions
RIOT 05/07/2021 CALL \$44.00 / CUSIP: / Symbol:							
05/07/21	1.000	0.00	05/03/21	225.00	...	-225.00	Option expiration- long call
SDC 10/29/2021 CALL \$6.00 / CUSIP: / Symbol:							
10/29/21	1.000	0.00	10/19/21	43.00	...	-43.00	Option expiration- long call
SIRI 06/25/2021 CALL \$6.50 / CUSIP: / Symbol:							
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	3.000	89.99	05/24/21	39.00	...	50.99	1 of 2 - Option sale to close-call ²⁵
	12.000	359.97	05/24/21	180.00	...	179.97	2 of 2 - Option sale to close-call ²⁵
06/02/21	15.000	449.96	Various	219.00	...	230.96	Total of 2 transactions
5 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	2.000	49.99	05/24/21	26.00	...	23.99	1 of 5 - Option sale to close-call ²⁵
	3.000	74.99	05/24/21	39.00	...	35.99	2 of 5 - Option sale to close-call ²⁵
	1.000	25.00	05/25/21	10.00	...	15.00	3 of 5 - Option sale to close-call ²⁵
	1.000	25.00	05/25/21	10.00	...	15.00	4 of 5 - Option sale to close-call ²⁵
	3.000	74.99	05/25/21	30.00	...	44.99	5 of 5 - Option sale to close-call ²⁵
06/03/21	10.000	249.97	Various	115.00	...	134.97	Total of 5 transactions
06/07/21	1.000	34.98	05/25/21	10.00	...	24.98	Option sale to close-call ²⁵
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	2.000	101.98	05/25/21	20.00	...	81.98	1 of 2 - Option sale to close-call ²⁵
	2.000	141.98	05/25/21	20.00	...	121.98	2 of 2 - Option sale to close-call ²⁵
06/08/21	4.000	243.96	Various	40.00	...	203.96	Total of 2 transactions
	Security total:	978.87		384.00	...	594.87	

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SKX 11/19/2021 CALL \$49.00 / CUSIP: / Symbol:							
2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	2.000	269.99	10/25/21	290.00	...	-20.01	1 of 2 - Option sale to close-call ²⁵
	1.000	134.99	10/25/21	150.00	...	-15.01	2 of 2 - Option sale to close-call ²⁵
10/28/21	3.000	404.98	Various	440.00	...	-35.02	Total of 2 transactions
SLV 05/14/2021 CALL \$24.50 / CUSIP: / Symbol:							
2 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	1.000	73.98	05/03/21	56.00	...	17.98	1 of 2 - Option sale to close-call ²⁵
	2.000	145.98	05/03/21	112.00	...	33.98	2 of 2 - Option sale to close-call ²⁵
05/03/21	3.000	219.96	Various	168.00	...	51.96	Total of 2 transactions
SOFI 08/20/2021 CALL \$20.00 / CUSIP: / Symbol:							
4 transactions for 08/12/21. Total proceeds and cost reported to the IRS.							
	2.000	107.99	06/29/21	560.00	452.01 W	0.00	1 of 4 - Option sale to close-call ²⁵
	3.000	161.99	06/29/21	954.00	792.01 W	0.00	2 of 4 - Option sale to close-call ²⁵
	4.000	215.99	07/08/21	372.00	156.01 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	54.00	07/08/21	93.00	39.00 W	0.00	4 of 4 - Option sale to close-call ²⁵
08/12/21	10.000	539.97	Various	1,979.00	1,439.03 W	0.00	Total of 4 transactions
20 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/08/21	93.00	...	-93.00	1 of 20 - Option expiration- long call
	3.000	0.00	07/13/21	957.01	...	-957.01	2 of 20 - Option expiration- long call
	2.000	0.00	07/13/21	562.01	...	-562.01	3 of 20 - Option expiration- long call
	4.000	0.00	07/13/21	376.01	...	-376.01	4 of 20 - Option expiration- long call
	2.000	0.00	07/13/21	110.00	...	-110.00	5 of 20 - Option expiration- long call
	1.000	0.00	07/13/21	94.00	...	-94.00	6 of 20 - Option expiration- long call
	8.000	0.00	07/19/21	248.00	...	-248.00	7 of 20 - Option expiration- long call

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SOFI 08/20/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	07/19/21	31.00	...	-31.00	8 of 20 - Option expiration- long call
	1.000	0.00	07/19/21	31.00	...	-31.00	9 of 20 - Option expiration- long call
	7.000	0.00	07/28/21	210.00	...	-210.00	10 of 20 - Option expiration- long call
	14.000	0.00	08/02/21	252.00	...	-252.00	11 of 20 - Option expiration- long call
	15.000	0.00	08/02/21	225.00	...	-225.00	12 of 20 - Option expiration- long call
	1.000	0.00	08/02/21	24.00	...	-24.00	13 of 20 - Option expiration- long call
	9.000	0.00	08/03/21	108.00	...	-108.00	14 of 20 - Option expiration- long call
	2.000	0.00	08/03/21	24.00	...	-24.00	15 of 20 - Option expiration- long call
	1.000	0.00	08/03/21	12.00	...	-12.00	16 of 20 - Option expiration- long call
	10.000	0.00	08/04/21	250.00	...	-250.00	17 of 20 - Option expiration- long call
	6.000	0.00	08/10/21	168.00	...	-168.00	18 of 20 - Option expiration- long call
	3.000	0.00	08/10/21	84.00	...	-84.00	19 of 20 - Option expiration- long call
	1.000	0.00	08/10/21	28.00	...	-28.00	20 of 20 - Option expiration- long call
08/20/21	92.000	0.00	Various	3,887.03	...	-3,887.03	Total of 20 transactions
	Security total:	539.97		5,866.03	1,439.03 W	-3,887.03	
SOLO 04/09/2021 CALL \$6.00 / CUSIP: / Symbol:							
04/09/21	1.000	0.00	03/09/21	85.00	...	-85.00	Option expiration- long call

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SOLO 05/21/2021 CALL \$7.00 / CUSIP: / Symbol:							
3 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.99	04/01/21	35.00	...	-34.01	1 of 3 - Option sale to close-call ²⁵
	1.000	0.99	04/01/21	32.00	...	-31.01	2 of 3 - Option sale to close-call ²⁵
	1.000	1.00	04/01/21	32.00	...	-31.00	3 of 3 - Option sale to close-call ²⁵
05/19/21	3.000	2.98	Various	99.00	...	-96.02	Total of 3 transactions
SRNE 06/11/2021 CALL \$8.50 / CUSIP: / Symbol:							
06/09/21	1.000	62.98	06/02/21	57.00	...	5.98	Option sale to close-call ²⁵
SWBI 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	2.000	159.99	03/10/21	200.01	...	-40.02	1 of 2 - Option sale to close-call ²⁵
	1.000	79.99	03/10/21	98.01	...	-18.02	2 of 2 - Option sale to close-call ²⁵
03/12/21	3.000	239.98	Various	298.02	...	-58.04	Total of 2 transactions
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	79.99	03/10/21	88.00	8.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	159.99	03/10/21	180.00	20.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
03/12/21	3.000	239.98	Various	268.00	28.02 W	0.00	Total of 2 transactions
	Security total:	479.96		566.02	28.02 W	-58.04	
SWN 12/17/2021 CALL \$7.00 / CUSIP: / Symbol:							
5 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	6.000	0.00	06/29/21	288.00	...	-288.00	1 of 5 - Option expiration- long call
	2.000	0.00	06/29/21	94.00	...	-94.00	2 of 5 - Option expiration- long call
	2.000	0.00	06/29/21	94.00	...	-94.00	3 of 5 - Option expiration- long call
	1.000	0.00	06/29/21	48.00	...	-48.00	4 of 5 - Option expiration- long call
	1.000	0.00	06/29/21	48.00	...	-48.00	5 of 5 - Option expiration- long call
12/17/21	12.000	0.00	Various	572.00	...	-572.00	Total of 5 transactions
T 01/21/2022 CALL \$33.00 / CUSIP: / Symbol:							
04/15/21	2.000	141.98	03/08/21	240.00	...	-98.02	Option sale to close-call ²⁵

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(continued)

02/11/2022

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TGH 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
4 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	03/29/21	220.00	...	-220.00	1 of 4 - Option expiration- long call
	3.000	0.00	03/29/21	195.00	...	-195.00	2 of 4 - Option expiration- long call
	1.000	0.00	03/29/21	50.00	...	-50.00	3 of 4 - Option expiration- long call
	1.000	0.00	04/06/21	15.00	...	-15.00	4 of 4 - Option expiration- long call
04/16/21	9.000	0.00	Various	480.00	...	-480.00	Total of 4 transactions
TPX 05/21/2021 CALL \$40.00 / CUSIP: / Symbol:							
04/19/21	1.000	150.98	03/31/21	125.00	...	25.98	Option sale to close-call ²⁵
8 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	03/31/21	250.00	...	-250.00	1 of 8 - Option expiration- long call
	2.000	0.00	04/13/21	330.00	...	-330.00	2 of 8 - Option expiration- long call
	2.000	0.00	04/15/21	340.00	...	-340.00	3 of 8 - Option expiration- long call
	1.000	0.00	04/15/21	170.00	...	-170.00	4 of 8 - Option expiration- long call
	10.000	0.00	05/11/21	350.00	...	-350.00	5 of 8 - Option expiration- long call
	2.000	0.00	05/13/21	20.00	...	-20.00	6 of 8 - Option expiration- long call
	3.000	0.00	05/14/21	45.00	...	-45.00	7 of 8 - Option expiration- long call
	2.000	0.00	05/14/21	30.00	...	-30.00	8 of 8 - Option expiration- long call
05/21/21	24.000	0.00	Various	1,535.00	...	-1,535.00	Total of 8 transactions
	Security total:	150.98		1,660.00	...	-1,509.02	
TPX 06/18/2021 CALL \$40.00 / CUSIP: / Symbol:							
05/27/21	1.000	104.98	05/19/21	50.00	...	54.98	Option sale to close-call ²⁵
TQQQ 04/16/2021 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	1.000	237.99	04/12/21	100.00	...	137.99	1 of 2 - Option sale to close-call ²⁵
	1.000	237.99	04/12/21	100.00	...	137.99	2 of 2 - Option sale to close-call ²⁵
04/13/21	2.000	475.98	Various	200.00	...	275.98	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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UA 06/04/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	1.000	169.98	05/04/21	70.00	...	99.98	1 of 2 - Option sale to close-call ²⁵
	2.000	319.98	05/04/21	150.00	...	169.98	2 of 2 - Option sale to close-call ²⁵
05/05/21	3.000	489.96	Various	220.00	...	269.96	Total of 2 transactions
UAA 07/16/2021 PUT \$20.00 / CUSIP: / Symbol:							
06/15/21	5.000	259.98	06/14/21	250.00	...	9.98	Option sale to close-put ²⁵
UAL 08/13/2021 PUT \$47.00 / CUSIP: / Symbol:							
08/02/21	1.000	151.98	07/30/21	150.00	...	1.98	Option sale to close-put ²⁵
ULTA 04/09/2021 CALL \$325.00 / CUSIP: / Symbol:							
3 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	04/05/21	295.00	...	-290.01	1 of 3 - Option sale to close-call ²⁵
	1.000	4.99	04/08/21	85.00	...	-80.01	2 of 3 - Option sale to close-call ²⁵
	1.000	5.00	04/08/21	80.00	...	-75.00	3 of 3 - Option sale to close-call ²⁵
04/09/21	3.000	14.98	Various	460.00	...	-445.02	Total of 3 transactions
UPST 07/02/2021 CALL \$140.00 / CUSIP: / Symbol:							
06/24/21	1.000	549.98	06/23/21	320.00	...	229.98	Option sale to close-call ²⁵
USB 08/06/2021 CALL \$58.00 / CUSIP: / Symbol:							
3 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	06/29/21	465.00	...	-465.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/29/21	149.00	...	-149.00	2 of 3 - Option expiration- long call
	1.000	0.00	07/28/21	19.00	...	-19.00	3 of 3 - Option expiration- long call
08/06/21	5.000	0.00	Various	633.00	...	-633.00	Total of 3 transactions
VALE 01/21/2022 CALL \$20.00 / CUSIP: / Symbol:							
04/15/21	2.000	381.98	03/11/21	396.00	...	-14.02	Option sale to close-call ²⁵
VALE 04/09/2021 CALL \$18.00 / CUSIP: / Symbol:							
04/05/21	1.000	45.98	03/11/21	99.00	...	-53.02	Option sale to close-call ²⁵
VIVO 04/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
03/23/21	1.000	289.98	03/15/21	230.00	...	59.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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VSH 05/21/2021 CALL \$30.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	04/06/21	35.00	...	-35.00	Option expiration- long call
VXRT 08/06/2021 CALL \$8.00 / CUSIP: / Symbol:							
4 transactions for 08/03/21. Total proceeds and cost reported to the IRS.							
	1.000	66.98	08/02/21	35.00	...	31.98	1 of 4 - Option sale to close-call ²⁵
	1.000	66.98	08/02/21	35.00	...	31.98	2 of 4 - Option sale to close-call ²⁵
	1.000	66.98	08/02/21	35.00	...	31.98	3 of 4 - Option sale to close-call ²⁵
	2.000	133.98	08/02/21	70.00	...	63.98	4 of 4 - Option sale to close-call ²⁵
08/03/21	5.000	334.92	Various	175.00	...	159.92	Total of 4 transactions
WDC 10/15/2021 CALL \$70.00 / CUSIP: / Symbol:							
10/15/21	2.000	0.00	08/31/21	344.00	...	-344.00	Option expiration- long call
WISH 07/16/2021 CALL \$11.00 / CUSIP: / Symbol:							
3 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	1.000	369.98	06/14/21	290.00	...	79.98	1 of 3 - Option sale to close-call ²⁵
	2.000	679.99	06/17/21	394.00	...	285.99	2 of 3 - Option sale to close-call ²⁵
	3.000	1,019.99	06/17/21	591.00	...	428.99	3 of 3 - Option sale to close-call ²⁵
06/21/21	6.000	2,069.96	Various	1,275.00	...	794.96	Total of 3 transactions
WISH 08/13/2021 CALL \$11.00 / CUSIP: / Symbol:							
3 transactions for 08/13/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	08/02/21	300.00	...	-300.00	1 of 3 - Option expiration- long call
	1.000	0.00	08/02/21	75.00	...	-75.00	2 of 3 - Option expiration- long call
	1.000	0.00	08/03/21	57.00	...	-57.00	3 of 3 - Option expiration- long call
08/13/21	6.000	0.00	Various	432.00	...	-432.00	Total of 3 transactions
WKHS 06/18/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/18/21	1.000	35.98	06/17/21	34.00	...	1.98	Option sale to close-call ²⁵
WKHS 08/20/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/29/21	1.000	414.98	06/24/21	335.00	...	79.98	Option sale to close-call ²⁵

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WKHS 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
4 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	10/28/21	93.00	...	-93.00	1 of 4 - Option expiration- long call
	3.000	0.00	10/28/21	93.00	...	-93.00	2 of 4 - Option expiration- long call
	3.000	0.00	10/28/21	93.00	...	-93.00	3 of 4 - Option expiration- long call
	1.000	0.00	10/28/21	31.00	...	-31.00	4 of 4 - Option expiration- long call
11/19/21	10.000	0.00	Various	310.00	...	-310.00	Total of 4 transactions
WW 03/12/2021 CALL \$34.00 / CUSIP: / Symbol:							
03/02/21	1.000	149.98	03/02/21	110.00	...	39.98	Option sale to close-call ²⁵
X 10/22/2021 CALL \$22.00 / CUSIP: / Symbol:							
10/19/21	1.000	44.98	10/19/21	28.00	...	16.98	Option sale to close-call ²⁵
XEL 04/16/2021 CALL \$65.00 / CUSIP: / Symbol:							
03/24/21	1.000	184.98	03/23/21	145.00	...	39.98	Option sale to close-call ²⁵
3 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	1.000	349.98	03/23/21	145.00	...	204.98	1 of 3 - Option sale to close-call ²⁵
	1.000	349.99	03/23/21	145.00	...	204.99	2 of 3 - Option sale to close-call ²⁵
	2.000	699.99	03/23/21	290.00	...	409.99	3 of 3 - Option sale to close-call ²⁵
04/05/21	4.000	1,399.96	Various	580.00	...	819.96	Total of 3 transactions
04/06/21	1.000	319.98	03/23/21	145.00	...	174.98	Option sale to close-call ²⁵
	Security total:	1,904.92		870.00	...	1,034.92	
XLF 06/25/2021 CALL \$37.00 / CUSIP: / Symbol:							
4 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	1.000	4.98	06/16/21	48.00	43.02 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	4.98	06/16/21	48.00	43.02 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	4.98	06/16/21	48.00	43.02 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	4.98	06/16/21	48.00	43.02 W	0.00	4 of 4 - Option sale to close-call ²⁵
06/24/21	4.000	19.92	Various	192.00	172.08 W	0.00	Total of 4 transactions
7 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	1.000	0.99	06/18/21	70.02	...	-69.03	1 of 7 - Option sale to close-call ²⁵
	1.000	1.00	06/18/21	70.02	...	-69.02	2 of 7 - Option sale to close-call ²⁵
	1.000	1.00	06/18/21	70.02	...	-69.02	3 of 7 - Option sale to close-call ²⁵

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XLF 06/25/2021 CALL \$37.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.00	06/18/21	64.02	...	-63.02	4 of 7 - Option sale to close-call ²⁵
	3.000	2.98	06/18/21	63.02	...	-60.04	5 of 7 - Option sale to close-call ²⁵
	2.000	1.99	06/18/21	42.01	...	-40.02	6 of 7 - Option sale to close-call ²⁵
	1.000	1.00	06/18/21	21.00	...	-20.00	7 of 7 - Option sale to close-call ²⁵
06/25/21	10.000	9.96	Various	400.11	...	-390.15	Total of 7 transactions
	8 transactions for 06/25/21. Total proceeds and cost reported to the IRS.						
	1.000	1.00	06/17/21	58.02	57.02 W	0.00	1 of 8 - Option sale to close-call ²⁵
	1.000	1.00	06/17/21	58.02	57.02 W	0.00	2 of 8 - Option sale to close-call ²⁵
	1.000	1.00	06/17/21	58.02	57.02 W	0.00	3 of 8 - Option sale to close-call ²⁵
	1.000	1.00	06/17/21	58.02	57.02 W	0.00	4 of 8 - Option sale to close-call ²⁵
	6.000	5.97	06/17/21	90.00	84.03 W	0.00	5 of 8 - Option sale to close-call ²⁵
	1.000	1.00	06/18/21	64.02	63.02 W	0.00	6 of 8 - Option sale to close-call ²⁵
	1.000	1.00	06/18/21	64.02	63.02 W	0.00	7 of 8 - Option sale to close-call ²⁵
	1.000	1.00	06/18/21	64.02	63.02 W	0.00	8 of 8 - Option sale to close-call ²⁵
06/25/21	13.000	12.97	Various	514.14	501.17 W	0.00	Total of 8 transactions
	Security total:	42.85		1,106.25	673.25 W	-390.15	
XLF 07/09/2021 PUT \$37.00 / CUSIP: / Symbol:							
06/15/21	1.000	71.98	06/14/21	68.00	...	3.98	Option sale to close-put ²⁵
XOM 07/02/2021 CALL \$62.00 / CUSIP: / Symbol:							
06/21/21	5.000	599.98	06/17/21	665.00	65.02 W	0.00	Option sale to close-call ²⁵
	8 transactions for 06/22/21. Total proceeds and cost reported to the IRS.						
	5.000	1,159.98	06/17/21	665.00	...	494.98	1 of 8 - Option sale to close-call ²⁵
	1.000	231.99	06/18/21	116.01	...	115.98	2 of 8 - Option sale to close-call ²⁵
	1.000	231.99	06/18/21	116.00	...	115.99	3 of 8 - Option sale to close-call ²⁵
	1.000	232.00	06/18/21	116.00	...	116.00	4 of 8 - Option sale to close-call ²⁵
	1.000	231.99	06/18/21	90.00	...	141.99	5 of 8 - Option sale to close-call ²⁵
	1.000	232.00	06/18/21	89.00	...	143.00	6 of 8 - Option sale to close-call ²⁵
	2.000	463.99	06/18/21	204.01	...	259.98	7 of 8 - Option sale to close-call ²⁵
	3.000	668.98	06/18/21	270.00	...	398.98	8 of 8 - Option sale to close-call ²⁵
06/22/21	15.000	3,452.92	Various	1,666.02	...	1,786.90	Total of 8 transactions
	Security total:	4,052.90		2,331.02	65.02 W	1,786.90	

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XOM 07/02/2021 CALL \$64.00 / CUSIP: / Symbol:							
06/23/21	1.000	131.98	06/16/21	155.00	...	-23.02	Option sale to close-call ²⁵
YPF 04/16/2021 CALL \$4.00 / CUSIP: / Symbol:							
03/09/21	1.000	59.98	03/08/21	55.00	...	4.98	Option sale to close-call ²⁵
YPF 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	35.00	03/04/21	50.00	...	-15.00	1 of 2 - Option sale to close-call ²⁵
	4.000	139.98	03/09/21	100.00	...	39.98	2 of 2 - Option sale to close-call ²⁵
03/11/21	5.000	174.98	Various	150.00	...	24.98	Total of 2 transactions
ZIP 08/20/2021 CALL \$30.00 / CUSIP: / Symbol:							
08/04/21	3.000	299.98	08/03/21	270.00	...	29.98	Option sale to close-call ²⁵
ATI PHYSICAL THERAPY, INC. / CUSIP: 00216W109 / Symbol:							
08/02/21	100.000	352.14	07/30/21	349.00	...	3.14	Sale ²⁵
ACCURAY INCORPORATED COMMON STOCK / CUSIP: 004397105 / Symbol:							
2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	40.000	188.02	06/24/21	188.60	...	-0.58	1 of 2 - Sale ²⁵
	10.000	47.01	06/24/21	46.30	...	0.71	2 of 2 - Sale ²⁵
06/29/21	50.000	235.03	Various	234.90	...	0.13	Total of 2 transactions
07/01/21	30.000	135.30	06/24/21	141.45	...	-6.15	Sale ²⁵
07/06/21	5.000	21.95	06/24/21	23.58	...	-1.63	Sale ²⁵
	Security total:	392.28		399.93	...	-7.65	
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol:							
01/28/21	130.000	1,775.13	01/28/21	1,811.68	...	-36.55	Sale ²⁵
APPLIED DNA SCIENCES, INC. COMMON STOCK / CUSIP: 03815U300 / Symbol:							
01/11/21	200.000	1,823.93	01/11/21	1,833.14	5.76 W	-3.45	Sale ²⁵
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	25.000	253.75	01/13/21	228.08	...	25.67	1 of 2 - Sale ²⁵
	100.000	1,013.96	01/13/21	912.31	...	101.65	2 of 2 - Sale ²⁵
01/15/21	125.000	1,267.71	Various	1,140.39	...	127.32	Total of 2 transactions

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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APPLIED DNA SCIENCES, INC. COM MON STOCK / CUSIP: 03815U300 / Symbol: (cont'd)							
Security total:		3,091.64		2,973.53		5.76 W	123.87
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
01/29/21	40.000	44.08	01/29/21	44.40	...	-0.32	Sale ²⁵
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
01/19/21	2.000	418.01	01/15/21	413.80	...	4.21	Sale ²⁵
BRICKELL BIOTECH, INC. COMMON STOCK / CUSIP: 10802T105 / Symbol:							
<i>2 transactions for 03/08/21. Total proceeds and cost reported to the IRS.</i>							
	230.000	267.46	02/11/21	491.22	...	-223.76	1 of 2 - Sale ²⁵
	25.000	29.08	02/18/21	48.39	...	-19.31	2 of 2 - Sale ²⁵
03/08/21	255.000	296.54	Various	539.61	...	-243.07	Total of 2 transactions
03/08/21	500.000	574.93	02/11/21	823.70	131.85 W	-116.92	Sale ²⁵
03/23/21	10.000	12.20	03/09/21	15.88	...	-3.68	Sale ²⁵
Security total:		883.67		1,379.19	131.85 W	-363.67	
CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
<i>2 transactions for 06/10/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	28.62	06/09/21	32.16	...	-3.54	1 of 2 - Sale ²⁵
	1.000	9.54	06/09/21	9.98	...	-0.44	2 of 2 - Sale ²⁵
06/10/21	4.000	38.16	Various	42.14	...	-3.98	Total of 2 transactions
06/10/21	9.000	85.86	06/08/21	94.68	0.98 W	-7.84	Sale ²⁵
<i>5 transactions for 06/16/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	200.90	06/09/21	199.59	...	1.31	1 of 5 - Sale ²⁵
	10.000	98.50	06/14/21	94.28	...	4.22	2 of 5 - Sale ²⁵
	19.000	190.85	06/14/21	179.12	...	11.73	3 of 5 - Sale ²⁵
	20.000	201.50	06/14/21	188.55	...	12.95	4 of 5 - Sale ²⁵
	50.000	501.74	06/14/21	471.38	...	30.36	5 of 5 - Sale ²⁵
06/16/21	119.000	1,193.49	Various	1,132.92	...	60.57	Total of 5 transactions
06/16/21	1.000	10.05	06/14/21	10.41	0.36 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol: (cont'd)							
<i>2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.</i>							
	49.000	475.54	06/22/21	483.62	...	-8.08	1 of 2 - Sale ²⁵
	1.000	9.71	06/22/21	10.24	...	-0.53	2 of 2 - Sale ²⁵
06/25/21	50.000	485.25	Various	493.86	...	-8.61	Total of 2 transactions
<i>2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	9.70	06/17/21	10.63	0.93 W	0.00	1 of 2 - Sale ²⁵
	99.000	960.78	06/17/21	1,016.34	55.56 W	0.00	2 of 2 - Sale ²⁵
06/25/21	100.000	970.48	Various	1,026.97	56.49 W	0.00	Total of 2 transactions
06/29/21	45.000	442.13	06/22/21	444.14	...	-2.01	Sale ²⁵
07/06/21	3.000	27.12	06/22/21	29.61	...	-2.49	Sale ²⁵
09/29/21	1.000	7.50	06/22/21	9.87	...	-2.37	Sale ²⁵
	Security total:	3,260.04		3,284.60	57.83 W	33.27	
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
01/19/21	65.000	794.65	01/13/21	792.37	...	2.28	Sale ²⁵
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol:							
05/04/21	12.000	27.39	05/03/21	29.88	...	-2.49	Sale ²⁵
CRESCENT POINT ENERGY CORP. / CUSIP: 22576C101 / Symbol:							
06/15/21	80.000	374.79	06/14/21	380.00	5.21 W	0.00	Sale ²⁵
06/16/21	20.000	90.90	06/14/21	95.00	4.10 W	0.00	Sale ²⁵
<i>2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	91.46	06/17/21	90.20	...	1.26	1 of 2 - Sale ²⁵
	80.000	365.86	06/17/21	349.61	...	16.25	2 of 2 - Sale ²⁵
06/24/21	100.000	457.32	Various	439.81	...	17.51	Total of 2 transactions
06/25/21	50.000	232.74	06/17/21	215.25	...	17.49	Sale ²⁵
<i>3 transactions for 06/29/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	226.74	06/17/21	215.25	...	11.49	1 of 3 - Sale ²⁵
	80.000	362.76	06/17/21	338.94	...	23.82	2 of 3 - Sale ²⁵
	100.000	453.48	06/17/21	423.68	...	29.80	3 of 3 - Sale ²⁵
06/29/21	230.000	1,042.98	Various	977.87	...	65.11	Total of 3 transactions
07/01/21	15.000	70.82	06/17/21	63.55	...	7.27	Sale ²⁵
08/18/21	4.000	12.94	06/17/21	16.95	...	-4.01	Sale ²⁵

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CRESCENT POINT ENERGY CORP. / CUSIP: 22576C101 / Symbol: (cont'd)							
12/10/21	1.000	5.32	06/17/21	4.24	...	1.08	Sale ²⁵
	Security total:	2,287.81		2,192.67	9.31 W	104.45	
8X8, INC. COMMON STOCK / CUSIP: 282914100 / Symbol:							
05/24/21	20.000	471.20	05/18/21	487.97	...	-16.77	Sale ²⁵
05/25/21	5.000	117.25	05/18/21	121.99	...	-4.74	Sale ²⁵
	Security total:	588.45		609.96	...	-21.51	
ELECTRAMECCANICA VEHICLES CORP. LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
14 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	1.000	8.69	01/11/21	8.50	...	0.19	1 of 14 - Sale ²⁵
	1.000	8.69	01/11/21	8.49	...	0.20	2 of 14 - Sale ²⁵
	1.000	8.69	01/11/21	8.49	...	0.20	3 of 14 - Sale ²⁵
	2.000	17.38	01/11/21	17.00	...	0.38	4 of 14 - Sale ²⁵
	2.000	17.38	01/11/21	16.90	...	0.48	5 of 14 - Sale ²⁵
	3.000	26.07	01/11/21	25.34	...	0.73	6 of 14 - Sale ²⁵
	5.000	43.45	01/11/21	42.49	...	0.96	7 of 14 - Sale ²⁵
	4.000	34.76	01/11/21	33.64	...	1.12	8 of 14 - Sale ²⁵
	5.000	43.45	01/11/21	42.22	...	1.23	9 of 14 - Sale ²⁵
	9.000	78.21	01/11/21	76.48	...	1.73	10 of 14 - Sale ²⁵
	9.000	78.21	01/11/21	76.47	...	1.74	11 of 14 - Sale ²⁵
	13.000	112.97	01/11/21	110.46	...	2.51	12 of 14 - Sale ²⁵
	15.000	130.34	01/11/21	127.45	...	2.89	13 of 14 - Sale ²⁵
	30.000	260.68	01/11/21	254.90	...	5.78	14 of 14 - Sale ²⁵
01/12/21	100.000	868.97	Various	848.83	...	20.14	Total of 14 transactions
3 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	2.000	16.42	01/11/21	14.33	...	2.09	1 of 3 - Sale ²⁵
	12.000	98.52	01/11/21	94.46	...	4.06	2 of 3 - Sale ²⁵
	30.000	246.30	01/11/21	237.78	...	8.52	3 of 3 - Sale ²⁵
01/13/21	44.000	361.24	Various	346.57	...	14.67	Total of 3 transactions
01/21/21	100.000	820.10	01/19/21	742.88	...	77.22	Sale ²⁵
5 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	30.000	245.43	01/28/21	234.90	...	10.53	1 of 5 - Sale ²⁵
	70.000	572.66	01/28/21	548.10	...	24.56	2 of 5 - Sale ²⁵

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(continued)

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	70.000	585.96	01/28/21	548.10	...	37.86	3 of 5 - Sale ²⁵
	110.000	920.81	01/28/21	854.57	...	66.24	4 of 5 - Sale ²⁵
	100.000	818.09	01/29/21	776.00	...	42.09	5 of 5 - Sale ²⁵
02/03/21	380.000	3,142.95	Various	2,961.67	...	181.28	Total of 5 transactions
	2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	12.000	88.62	02/09/21	103.32	...	-14.70	1 of 2 - Sale ²⁵
	13.000	96.01	02/09/21	108.42	...	-12.41	2 of 2 - Sale ²⁵
02/18/21	25.000	184.63	Various	211.74	...	-27.11	Total of 2 transactions
02/18/21	12.000	88.62	02/09/21	99.90	11.28 W	0.00	Sale ²⁵
	4 transactions for 03/09/21. Total proceeds and cost reported to the IRS.						
	46.000	268.63	02/09/21	396.05	127.42 W	0.00	1 of 4 - Sale ²⁵
	3.000	17.52	02/09/21	28.53	11.01 W	0.00	2 of 4 - Sale ²⁵
	9.000	52.56	02/11/21	85.41	32.85 W	0.00	3 of 4 - Sale ²⁵
	4.000	23.36	02/11/21	34.20	10.84 W	0.00	4 of 4 - Sale ²⁵
03/09/21	62.000	362.07	Various	544.19	182.12 W	0.00	Total of 4 transactions
03/11/21	38.000	223.08	02/25/21	247.74	...	-24.66	Sale ²⁵
	7 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	9.000	52.83	02/11/21	101.88	49.05 W	0.00	1 of 7 - Sale ²⁵
	3.000	17.61	02/11/21	32.01	14.40 W	0.00	2 of 7 - Sale ²⁵
	26.000	152.63	02/11/21	277.94	125.31 W	0.00	3 of 7 - Sale ²⁵
	3.000	17.61	02/25/21	30.57	12.96 W	0.00	4 of 7 - Sale ²⁵
	9.000	52.83	02/25/21	91.53	38.70 W	0.00	5 of 7 - Sale ²⁵
	8.000	46.96	02/25/21	74.32	27.36 W	0.00	6 of 7 - Sale ²⁵
	4.000	23.48	02/25/21	36.92	13.44 W	0.00	7 of 7 - Sale ²⁵
03/11/21	62.000	363.95	Various	645.17	281.22 W	0.00	Total of 7 transactions
	8 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	13.000	75.07	02/25/21	84.75	...	-9.68	1 of 8 - Sale ²⁵
	9.000	51.97	03/08/21	93.15	...	-41.18	2 of 8 - Sale ²⁵
	10.000	57.75	03/08/21	97.20	...	-39.45	3 of 8 - Sale ²⁵
	3.000	17.33	03/08/21	29.10	...	-11.77	4 of 8 - Sale ²⁵
	16.000	92.40	03/09/21	170.07	...	-77.67	5 of 8 - Sale ²⁵
	9.000	51.98	03/09/21	90.99	...	-39.01	6 of 8 - Sale ²⁵
	7.000	40.42	03/09/21	64.61	...	-24.19	7 of 8 - Sale ²⁵

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(continued)

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	3.000	17.32	03/09/21	30.39	...	-13.07	8 of 8 - Sale ²⁵
03/12/21	70.000	404.24	Various	660.26	...	-256.02	Total of 8 transactions
03/12/21	65.000	374.07	02/25/21	423.76	19.11 W	-30.58	Sale ²⁵
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	3.000	15.93	03/09/21	27.51	...	-11.58	1 of 2 - Sale ²⁵
	1.000	5.31	03/09/21	9.23	...	-3.92	2 of 2 - Sale ²⁵
03/23/21	4.000	21.24	Various	36.74	...	-15.50	Total of 2 transactions
2 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	25.000	114.00	03/09/21	164.36	...	-50.36	1 of 2 - Sale ²⁵
	1.000	4.56	03/09/21	9.17	...	-4.61	2 of 2 - Sale ²⁵
03/30/21	26.000	118.56	Various	173.53	...	-54.97	Total of 2 transactions
06/24/21	100.000	438.49	06/17/21	451.50	0.65 W	-12.36	Sale ²⁵
06/28/21	5.000	22.11	06/24/21	22.50	...	-0.39	Sale ²⁵
	Security total:	7,794.32		8,416.98	494.38 W	-128.28	
ENERGOUS CORPORATION COMMON STOCK / CUSIP: 29272C103 / Symbol:							
2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	1.000	3.91	02/25/21	5.75	...	-1.84	1 of 2 - Sale ²⁵
	0.500	1.96	02/25/21	2.87	...	-0.91	2 of 2 - Sale ²⁵
03/26/21	1.500	5.87	Various	8.62	...	-2.75	Total of 2 transactions
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
4 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	100.000	449.99	01/27/21	1,170.01	720.02 W	0.00	1 of 4 - Sale ²⁵
	50.000	225.00	01/27/21	564.50	339.50 W	0.00	2 of 4 - Sale ²⁵
	20.000	90.00	01/27/21	185.40	95.40 W	0.00	3 of 4 - Sale ²⁵
	30.000	134.99	01/27/21	294.30	159.31 W	0.00	4 of 4 - Sale ²⁵
01/28/21	200.000	899.98	Various	2,214.21	1,314.23 W	0.00	Total of 4 transactions
02/01/21	5.000	23.42	01/27/21	85.05	61.63 W	0.00	Sale ²⁵
8 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	50.000	150.74	01/27/21	808.41	...	-657.67	1 of 8 - Sale ²⁵
	30.000	90.44	01/27/21	497.35	...	-406.91	2 of 8 - Sale ²⁵
	30.000	90.45	01/27/21	440.65	...	-350.20	3 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol: (cont'd)							
	40.000	120.59	01/27/21	375.13	...	-254.54	4 of 8 - Sale ²⁵
	20.000	60.30	01/27/21	282.96	...	-222.66	5 of 8 - Sale ²⁵
	35.000	105.52	01/27/21	310.80	...	-205.28	6 of 8 - Sale ²⁵
	25.000	75.37	01/27/21	221.75	...	-146.38	7 of 8 - Sale ²⁵
	5.000	15.07	01/27/21	108.52	...	-93.45	8 of 8 - Sale ²⁵
02/11/21	235.000	708.48	Various	3,045.57	...	-2,337.09	Total of 8 transactions
02/11/21	65.000	195.96	01/27/21	1,105.67	699.78 W	-209.93	Sale ²⁵
03/10/21	50.000	209.00	01/27/21	1,143.78	...	-934.78	Sale ²⁵
	Security total:	2,036.84		7,594.28	2,075.64 W	-3,481.80	
FISKER INC. / CUSIP: 33813J106 / Symbol:							
01/13/21	50.000	782.48	01/11/21	746.50	...	35.98	Sale ²⁵
	2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.						
	10.000	147.50	01/22/21	147.50	...	0.00	1 of 2 - Sale ²⁵
	40.000	590.38	01/22/21	590.00	...	0.38	2 of 2 - Sale ²⁵
01/26/21	50.000	737.88	Various	737.50	...	0.38	Total of 2 transactions
02/03/21	80.000	1,195.31	01/29/21	1,267.55	...	-72.24	Sale ²⁵
	2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	12.000	182.64	01/29/21	190.13	...	-7.49	1 of 2 - Sale ²⁵
	8.000	121.77	01/29/21	126.76	...	-4.99	2 of 2 - Sale ²⁵
02/04/21	20.000	304.41	Various	316.89	...	-12.48	Total of 2 transactions
	3 transactions for 05/25/21. Total proceeds and cost reported to the IRS.						
	2.000	25.04	05/21/21	24.62	...	0.42	1 of 3 - Sale ²⁵
	8.000	100.08	05/21/21	98.46	...	1.62	2 of 3 - Sale ²⁵
	19.000	238.11	05/21/21	233.86	...	4.25	3 of 3 - Sale ²⁵
05/25/21	29.000	363.23	Various	356.94	...	6.29	Total of 3 transactions
	2 transactions for 05/25/21. Total proceeds and cost reported to the IRS.						
	1.000	12.53	05/20/21	13.00	0.47 W	0.00	1 of 2 - Sale ²⁵
	1.000	12.51	05/21/21	12.78	0.27 W	0.00	2 of 2 - Sale ²⁵
05/25/21	2.000	25.04	Various	25.78	0.74 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FISKER INC. / CUSIP: 33813J106 / Symbol: (cont'd)							
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	1.000	13.41	05/25/21	12.79	...	0.62	1 of 2 - Sale ²⁵
05/28/21	11.000	147.54	05/25/21	137.72	...	9.82	2 of 2 - Sale ²⁵
	12.000	160.95	Various	150.51	...	10.44	Total of 2 transactions
3 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	1.000	15.80	05/25/21	12.52	...	3.28	1 of 3 - Sale ²⁵
	6.000	94.68	06/02/21	87.60	...	7.08	2 of 3 - Sale ²⁵
06/03/21	14.000	221.23	06/02/21	204.39	...	16.84	3 of 3 - Sale ²⁵
	21.000	331.71	Various	304.51	...	27.20	Total of 3 transactions
3 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	10.000	171.23	06/02/21	146.00	...	25.23	1 of 3 - Sale ²⁵
	9.000	162.91	06/02/21	131.39	...	31.52	2 of 3 - Sale ²⁵
06/08/21	10.000	179.71	06/02/21	146.00	...	33.71	3 of 3 - Sale ²⁵
	29.000	513.85	Various	423.39	...	90.46	Total of 3 transactions
06/16/21	1.000	17.73	06/02/21	14.60	...	3.13	Sale ²⁵
	Security total:	4,432.59		4,344.17	0.74 W	89.16	
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
06/18/21	80.000	696.87	06/17/21	725.60	28.73 W	0.00	Sale ²⁵
06/23/21	100.000	945.99	06/17/21	907.00	...	38.99	Sale ²⁵
2 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	30.000	249.44	06/17/21	278.31	...	-28.87	1 of 2 - Sale ²⁵
07/02/21	20.000	166.29	06/17/21	181.40	...	-15.11	2 of 2 - Sale ²⁵
	50.000	415.73	Various	459.71	...	-43.98	Total of 2 transactions
3 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	25.000	211.28	06/17/21	231.92	...	-20.64	1 of 3 - Sale ²⁵
	15.000	126.93	06/17/21	139.16	...	-12.23	2 of 3 - Sale ²⁵
07/06/21	5.000	42.25	06/17/21	46.38	...	-4.13	3 of 3 - Sale ²⁵
	45.000	380.46	Various	417.46	...	-37.00	Total of 3 transactions
10/19/21	3.000	24.87	06/17/21	27.83	2.96 W	0.00	Sale ²⁵
3 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	2.000	21.57	06/17/21	18.55	...	3.02	1 of 3 - Sale ²⁵
	3.000	32.36	09/29/21	22.88	...	9.48	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	3.000	32.35	09/29/21	19.92	...	12.43	3 of 3 - Sale ²⁵
11/10/21	8.000	86.28	Various	61.35	...	24.93	Total of 3 transactions
	Security total:	2,550.20		2,598.95	31.69 W	-17.06	
FUNKO, INC. CLASS A COMMON STO CK / CUSIP: 361008105 / Symbol:							
06/18/21	9.000	189.00	06/17/21	189.50	...	-0.50	Sale ²⁵
06/23/21	1.000	22.36	06/17/21	21.05	...	1.31	Sale ²⁵
	Security total:	211.36		210.55	...	0.81	
GANNETT CO., INC. / CUSIP: 36472T109 / Symbol:							
02/25/21	7.000	38.50	02/19/21	39.83	...	-1.33	Sale ²⁵
GENETIC TECHNOLOGIES LTD ADS / CUSIP: 37185R307 / Symbol:							
06/10/21	50.000	203.00	06/09/21	216.00	...	-13.00	Sale ²⁵
06/15/21	50.000	207.00	06/09/21	216.00	...	-9.00	Sale ²⁵
06/16/21	50.000	206.30	06/09/21	216.00	...	-9.70	Sale ²⁵
	Security total:	616.30		648.00	...	-31.70	
GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	10.000	363.05	12/10/20	816.90	453.85 W	0.00	1 of 2 - Sale ²⁵
	1.500	54.57	12/10/20	122.54	67.97 W	0.00	2 of 2 - Sale ²⁵
01/26/21	11.500	417.62	Various	939.44	521.82 W	0.00	Total of 2 transactions
01/27/21	8.500	293.24	12/29/20	594.02	...	-300.78	Sale ²⁵
24 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	8.500	306.42	12/10/20	694.36	387.94 W	0.00	1 of 24 - Sale ²⁵
	20.000	720.98	12/10/20	1,591.25	870.27 W	0.00	2 of 24 - Sale ²⁵
	14.000	504.69	12/10/20	979.08	474.39 W	0.00	3 of 24 - Sale ²⁵
	2.000	72.10	12/10/20	143.54	71.44 W	0.00	4 of 24 - Sale ²⁵
	5.500	198.27	12/10/20	371.25	172.98 W	0.00	5 of 24 - Sale ²⁵
	22.500	799.40	12/10/20	1,518.75	719.35 W	0.00	6 of 24 - Sale ²⁵
	12.000	426.35	12/10/20	801.60	375.25 W	0.00	7 of 24 - Sale ²⁵
	6.500	230.94	12/10/20	440.05	209.11 W	0.00	8 of 24 - Sale ²⁵
	1.000	35.84	12/10/20	67.70	31.86 W	0.00	9 of 24 - Sale ²⁵

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol: (cont'd)							
	1.000	35.84	12/10/20	67.70	31.86 W	0.00	10 of 24 - Sale ²⁵
	16.500	591.43	12/10/20	1,117.05	525.62 W	0.00	11 of 24 - Sale ²⁵
	2.000	71.69	12/11/20	123.13	51.44 W	0.00	12 of 24 - Sale ²⁵
	7.000	250.91	12/11/20	415.80	164.89 W	0.00	13 of 24 - Sale ²⁵
	10.000	358.44	12/11/20	594.00	235.56 W	0.00	14 of 24 - Sale ²⁵
	12.500	448.06	12/11/20	669.32	221.26 W	0.00	15 of 24 - Sale ²⁵
	6.500	229.60	12/11/20	348.04	118.44 W	0.00	16 of 24 - Sale ²⁵
	14.000	494.53	12/11/20	764.38	269.85 W	0.00	17 of 24 - Sale ²⁵
	12.000	423.89	12/11/20	657.48	233.59 W	0.00	18 of 24 - Sale ²⁵
	1.000	35.32	12/14/20	45.97	10.65 W	0.00	19 of 24 - Sale ²⁵
	16.500	582.85	12/17/20	730.93	148.08 W	0.00	20 of 24 - Sale ²⁵
	11.500	396.74	12/17/20	509.43	112.69 W	0.00	21 of 24 - Sale ²⁵
	1.500	51.75	12/17/20	66.95	15.20 W	0.00	22 of 24 - Sale ²⁵
	20.000	689.99	12/29/20	1,590.27	495.15 W	-405.13	23 of 24 - Sale ²⁵
	8.500	293.24	12/29/20	693.94	400.70 W	0.00	24 of 24 - Sale ²⁵
01/27/21	232.500	8,249.27	Various	15,001.97	6,347.57 W	-405.13	Total of 24 transactions
	13 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	19.000	666.88	12/29/20	1,281.95	...	-615.07	1 of 13 - Sale ²⁵
	10.000	350.99	12/29/20	813.85	...	-462.86	2 of 13 - Sale ²⁵
	4.000	140.40	12/29/20	279.54	...	-139.14	3 of 13 - Sale ²⁵
	3.000	105.30	12/29/20	200.85	...	-95.55	4 of 13 - Sale ²⁵
	2.500	87.75	12/29/20	167.38	...	-79.63	5 of 13 - Sale ²⁵
	1.500	52.65	12/29/20	121.97	...	-69.32	6 of 13 - Sale ²⁵
	1.500	52.65	12/29/20	107.58	...	-54.93	7 of 13 - Sale ²⁵
	1.500	52.65	12/29/20	104.83	...	-52.18	8 of 13 - Sale ²⁵
	1.000	35.10	12/29/20	67.35	...	-32.25	9 of 13 - Sale ²⁵
	0.500	17.55	12/29/20	35.61	...	-18.06	10 of 13 - Sale ²⁵
	0.500	17.55	12/29/20	33.68	...	-16.13	11 of 13 - Sale ²⁵
	3.000	105.29	12/30/20	200.01	...	-94.72	12 of 13 - Sale ²⁵
	2.000	70.20	12/30/20	134.74	...	-64.54	13 of 13 - Sale ²⁵
01/28/21	50.000	1,754.96	Various	3,549.34	...	-1,794.38	Total of 13 transactions
	17 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	16.500	569.40	12/30/20	1,109.72	...	-540.32	1 of 17 - Sale ²⁵
	9.000	310.58	12/30/20	600.04	...	-289.46	2 of 17 - Sale ²⁵

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Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol: (cont'd)							
	6.500	224.31	12/30/20	439.21	...	-214.90	3 of 17 - Sale ²⁵
	7.000	241.56	12/30/20	412.69	...	-171.13	4 of 17 - Sale ²⁵
	7.000	241.56	12/30/20	412.69	...	-171.13	5 of 17 - Sale ²⁵
	2.000	69.02	12/30/20	122.24	...	-53.22	6 of 17 - Sale ²⁵
	1.000	34.51	12/30/20	67.26	...	-32.75	7 of 17 - Sale ²⁵
	1.000	34.51	12/30/20	67.26	...	-32.75	8 of 17 - Sale ²⁵
	1.000	34.51	12/30/20	58.89	...	-24.38	9 of 17 - Sale ²⁵
	14.000	483.13	01/11/21	769.51	...	-286.38	10 of 17 - Sale ²⁵
	12.500	431.36	01/11/21	667.38	...	-236.02	11 of 17 - Sale ²⁵
	9.000	310.59	01/11/21	496.40	...	-185.81	12 of 17 - Sale ²⁵
	6.500	224.31	01/11/21	350.43	...	-126.12	13 of 17 - Sale ²⁵
	3.000	103.53	01/11/21	165.47	...	-61.94	14 of 17 - Sale ²⁵
	2.000	69.02	01/11/21	118.49	...	-49.47	15 of 17 - Sale ²⁵
	1.000	34.51	01/11/21	46.34	...	-11.83	16 of 17 - Sale ²⁵
	1.000	34.51	01/11/21	44.66	...	-10.15	17 of 17 - Sale ²⁵
01/29/21	100.000	3,450.92	Various	5,948.68	...	-2,497.76	Total of 17 transactions
	3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	11.000	428.99	02/03/21	960.53	...	-531.54	1 of 3 - Sale ²⁵
	8.500	331.49	02/03/21	760.32	...	-428.83	2 of 3 - Sale ²⁵
	10.500	409.49	02/03/21	538.46	...	-128.97	3 of 3 - Sale ²⁵
02/09/21	30.000	1,169.97	Various	2,259.31	...	-1,089.34	Total of 3 transactions
	4 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	6.500	238.13	02/03/21	338.69	...	-100.56	1 of 4 - Sale ²⁵
	5.000	183.53	02/03/21	260.53	...	-77.00	2 of 4 - Sale ²⁵
	5.000	183.52	02/03/21	256.41	...	-72.89	3 of 4 - Sale ²⁵
	1.500	54.95	02/03/21	78.66	...	-23.71	4 of 4 - Sale ²⁵
02/11/21	18.000	660.13	Various	934.29	...	-274.16	Total of 4 transactions
	Security total:	15,996.11		29,227.05	6,869.39 W	-6,361.55	
GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q203 / Symbol:							
01/22/21	450.000	356.02	01/20/21	385.20	...	-29.18	Sale ²⁵
HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol:							
06/15/21	23.000	102.41	06/08/21	100.73	...	1.68	Sale ²⁵

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Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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HECLA MINING COMPANY / CUSIP: 422704106 / Symbol:							
07/01/21	4.000	29.70	06/23/21	32.42	...	-2.72	Sale ²⁵
	2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.						
	6.000	44.55	06/21/21	47.01	2.46 W	0.00	1 of 2 - Sale ²⁵
	10.000	74.25	06/22/21	78.90	4.65 W	0.00	2 of 2 - Sale ²⁵
07/01/21	16.000	118.80	Various	125.91	7.11 W	0.00	Total of 2 transactions
	2 transactions for 07/02/21. Total proceeds and cost reported to the IRS.						
	6.000	44.79	06/23/21	51.09	...	-6.30	1 of 2 - Sale ²⁵
	2.000	14.93	06/23/21	17.14	...	-2.21	2 of 2 - Sale ²⁵
07/02/21	8.000	59.72	Various	68.23	...	-8.51	Total of 2 transactions
07/06/21	6.000	45.44	06/23/21	51.42	...	-5.98	Sale ²⁵
	Security total:	253.66		277.98	7.11 W	-17.21	
HEWLETT PACKARD ENTERPRISE COM PANY / CUSIP: 42824C109 / Symbol:							
	2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.						
	40.000	630.81	04/30/21	664.00	...	-33.19	1 of 2 - Sale ²⁵
	30.000	474.04	04/30/21	498.00	...	-23.96	2 of 2 - Sale ²⁵
05/04/21	70.000	1,104.85	Various	1,162.00	...	-57.15	Total of 2 transactions
	2 transactions for 05/11/21. Total proceeds and cost reported to the IRS.						
	20.000	321.60	04/30/21	332.00	...	-10.40	1 of 2 - Sale ²⁵
	10.000	160.10	04/30/21	166.00	...	-5.90	2 of 2 - Sale ²⁵
05/11/21	30.000	481.70	Various	498.00	...	-16.30	Total of 2 transactions
	Security total:	1,586.55		1,660.00	...	-73.45	
IBIO, INC. / CUSIP: 451033203 / Symbol:							
01/12/21	6.000	9.78	11/16/20	12.36	...	-2.58	Sale ²⁵
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
06/24/21	100.000	314.32	06/17/21	305.67	...	8.65	Sale ²⁵
	2 transactions for 07/08/21. Total proceeds and cost reported to the IRS.						
	50.000	130.17	06/17/21	152.84	...	-22.67	1 of 2 - Sale ²⁵
	40.000	103.60	06/17/21	122.26	...	-18.66	2 of 2 - Sale ²⁵
07/08/21	90.000	233.77	Various	275.10	...	-41.33	Total of 2 transactions
09/29/21	2.000	3.91	06/17/21	6.11	2.20 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
Security total:		552.00		586.88		2.20 W	-32.68
INUVO, INC. / CUSIP: 46122W204 / Symbol:							
5 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	20.000	25.10	03/01/21	35.40	...	-10.30	1 of 5 - Sale ²⁵
	100.000	125.49	03/02/21	159.81	...	-34.32	2 of 5 - Sale ²⁵
	50.000	62.74	03/02/21	78.50	...	-15.76	3 of 5 - Sale ²⁵
	40.000	50.19	03/02/21	53.60	...	-3.41	4 of 5 - Sale ²⁵
	9.000	11.30	03/05/21	9.99	...	1.31	5 of 5 - Sale ²⁵
03/15/21	219.000	274.82	Various	337.30	...	-62.48	Total of 5 transactions
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
06/15/21	70.000	285.75	06/09/21	305.87	...	-20.12	Sale ²⁵
06/16/21	30.000	123.30	06/09/21	131.09	...	-7.79	Sale ²⁵
Security total:		409.05		436.96		-27.91	
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
4 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	106.000	374.15	01/11/21	378.37	...	-4.22	1 of 4 - Sale ²⁵
	88.000	310.62	01/11/21	314.12	...	-3.50	2 of 4 - Sale ²⁵
	58.000	204.73	01/11/21	207.03	...	-2.30	3 of 4 - Sale ²⁵
	48.000	169.43	01/11/21	171.34	...	-1.91	4 of 4 - Sale ²⁵
01/11/21	300.000	1,058.93	Various	1,070.86	...	-11.93	Total of 4 transactions
JANONE INC. COMMON STOCK (NV) / CUSIP: 47089W104 / Symbol:							
06/24/21	8.000	67.80	06/21/21	69.12	1.32 W	0.00	Sale ²⁵
06/25/21	50.000	429.78	06/22/21	451.78	...	-22.00	Sale ²⁵
2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	92.000	790.80	06/21/21	794.84	4.04 W	0.00	1 of 2 - Sale ²⁵
	8.000	68.76	06/21/21	70.26	1.50 W	0.00	2 of 2 - Sale ²⁵
06/25/21	100.000	859.56	Various	865.10	5.54 W	0.00	Total of 2 transactions

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(continued)

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JANONE INC. COMMON STOCK (NV) / CUSIP: 47089W104 / Symbol: (cont'd)							
<i>2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.</i>							
	42.000	357.39	06/22/21	381.34	...	-23.95	1 of 2 - Sale ²⁵
	8.000	68.07	06/22/21	73.78	...	-5.71	2 of 2 - Sale ²⁵
06/28/21	50.000	425.46	Various	455.12	...	-29.66	Total of 2 transactions
07/01/21	30.000	231.98	06/22/21	272.38	...	-40.40	Sale ²⁵
07/06/21	15.000	114.83	06/22/21	136.19	...	-21.36	Sale ²⁵
08/02/21	2.000	15.46	06/22/21	18.16	...	-2.70	Sale ²⁵
	Security total:	2,144.87		2,267.85	6.86 W	-116.12	
KALVISTA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 483497103 / Symbol:							
02/11/21	15.000	604.78	02/10/21	551.85	...	52.93	Sale ²⁵
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
06/18/21	100.000	597.98	06/17/21	634.89	...	-36.91	Sale ²⁵
06/18/21	100.000	604.99	06/17/21	626.88	21.89 W	0.00	Sale ²⁵
	Security total:	1,202.97		1,261.77	21.89 W	-36.91	
KULR TECHNOLOGY GROUP, INC. / CUSIP: 50125G109 / Symbol:							
07/06/21	100.000	234.07	06/24/21	254.50	...	-20.43	Sale ²⁵
07/08/21	10.000	22.00	06/24/21	25.45	...	-3.45	Sale ²⁵
07/09/21	80.000	187.99	06/24/21	203.60	...	-15.61	Sale ²⁵
09/29/21	8.000	16.18	06/24/21	20.36	...	-4.18	Sale ²⁵
	Security total:	460.24		503.91	...	-43.67	
LA JOLLA PHARMACEUTICAL COMPANY COMMON STOCK (DE) / CUSIP: 503459604 / Symbol:							
06/04/21	3.000	15.42	06/03/21	16.10	...	-0.68	Sale ²⁵
<i>3 transactions for 06/04/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	5.14	06/02/21	6.10	0.96 W	0.00	1 of 3 - Sale ²⁵
	11.000	56.54	06/03/21	61.05	1.23 W	-3.28	2 of 3 - Sale ²⁵
	5.000	25.70	06/03/21	27.75	2.05 W	0.00	3 of 3 - Sale ²⁵
06/04/21	17.000	87.38	Various	94.90	4.24 W	-3.28	Total of 3 transactions
<i>3 transactions for 06/08/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	25.87	06/03/21	28.88	...	-3.01	1 of 3 - Sale ²⁵
	2.000	10.35	06/03/21	11.55	...	-1.20	2 of 3 - Sale ²⁵

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Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LA JOLLA PHARMACEUTICAL COMPAN Y COMMON STOCK (DE) / CUSIP: 503459604 / Symbol: (cont'd)							
	1.000	5.18	06/03/21	6.32	...	-1.14	3 of 3 - Sale ²⁵
06/08/21	8.000	41.40	Various	46.75	...	-5.35	Total of 3 transactions
06/16/21	1.000	4.76	06/03/21	5.77	...	-1.01	Sale ²⁵
	Security total:	148.96		163.52	4.24 W	-10.32	
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
06/15/21	40.000	373.60	06/14/21	373.13	...	0.47	Sale ²⁵
06/16/21	10.000	104.00	06/14/21	93.28	...	10.72	Sale ²⁵
06/30/21	50.000	566.99	06/24/21	548.99	...	18.00	Sale ²⁵
07/02/21	25.000	251.75	06/24/21	274.50	3.64 W	-19.11	Sale ²⁵
	2 transactions for 07/06/21. Total proceeds and cost reported to the IRS.						
	15.000	140.72	06/24/21	164.69	...	-23.97	1 of 2 - Sale ²⁵
	8.000	75.84	06/24/21	87.84	...	-12.00	2 of 2 - Sale ²⁵
07/06/21	23.000	216.56	Various	252.53	...	-35.97	Total of 2 transactions
07/19/21	2.000	16.56	06/24/21	21.96	...	-5.40	Sale ²⁵
09/29/21	3.000	22.14	07/09/21	29.46	...	-7.32	Sale ²⁵
	Security total:	1,551.60		1,593.85	3.64 W	-38.61	
MP MATERIALS CORP. / CUSIP: 553368101 / Symbol:							
06/15/21	8.000	253.21	06/14/21	268.96	...	-15.75	Sale ²⁵
06/16/21	2.000	65.43	06/14/21	67.24	...	-1.81	Sale ²⁵
	Security total:	318.64		336.20	...	-17.56	
MARKER THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 57055L107 / Symbol:							
	3 transactions for 05/27/21. Total proceeds and cost reported to the IRS.						
	2.000	5.90	05/21/21	5.45	...	0.45	1 of 3 - Sale ²⁵
	35.000	103.27	05/21/21	95.41	...	7.86	2 of 3 - Sale ²⁵
	28.000	82.61	05/26/21	80.30	...	2.31	3 of 3 - Sale ²⁵
05/27/21	65.000	191.78	Various	181.16	...	10.62	Total of 3 transactions
05/28/21	50.000	148.00	05/26/21	143.38	...	4.62	Sale ²⁵
06/08/21	26.000	81.64	05/26/21	74.56	...	7.08	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MARKER THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 57055L107 / Symbol: (cont'd)							
2 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	9.000	29.63	05/26/21	25.83	...	3.80	1 of 2 - Sale ²⁵
	21.000	69.13	05/26/21	60.22	...	8.91	2 of 2 - Sale ²⁵
06/16/21	30.000	98.76	Various	86.05	...	12.71	Total of 2 transactions
	Security total:	520.18		485.15	...	35.03	
MOTUS GI HOLDINGS, INC. COMMON STOCK / CUSIP: 62014P108 / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	300.000	568.45	01/20/21	609.00	...	-40.55	1 of 2 - Sale ²⁵
	450.000	852.67	01/21/21	724.50	...	128.17	2 of 2 - Sale ²⁵
01/26/21	750.000	1,421.12	Various	1,333.50	...	87.62	Total of 2 transactions
NEUROBO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 64132R107 / Symbol:							
06/16/21	33.000	100.65	06/14/21	168.30	...	-67.65	Sale ²⁵
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
06/29/21	30.000	542.46	06/24/21	543.87	...	-1.41	Sale ²⁵
06/30/21	18.000	329.22	06/24/21	326.32	...	2.90	Sale ²⁵
07/01/21	2.000	33.91	06/24/21	36.26	...	-2.35	Sale ²⁵
	Security total:	905.59		906.45	...	-0.86	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
4 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	40.000	277.80	01/27/21	344.00	66.20 W	0.00	1 of 4 - Sale ²⁵
	60.000	416.50	01/27/21	516.00	99.50 W	0.00	2 of 4 - Sale ²⁵
	40.000	277.67	01/27/21	366.20	88.53 W	0.00	3 of 4 - Sale ²⁵
	60.000	416.50	01/27/21	450.00	33.50 W	0.00	4 of 4 - Sale ²⁵
01/27/21	200.000	1,388.47	Various	1,676.20	287.73 W	0.00	Total of 4 transactions
20 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	50.000	214.09	01/27/21	457.92	243.83 W	0.00	1 of 20 - Sale ²⁵
	10.000	42.82	01/27/21	83.28	40.46 W	0.00	2 of 20 - Sale ²⁵
	35.000	149.86	01/27/21	252.99	103.13 W	0.00	3 of 20 - Sale ²⁵
	40.000	171.27	01/27/21	355.33	184.06 W	0.00	4 of 20 - Sale ²⁵
	3.000	12.85	01/27/21	23.34	10.49 W	0.00	5 of 20 - Sale ²⁵

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NOKIA CORPORATION / CUSIP: 654902204 / Symbol: (cont'd)							
	22.000	94.20	01/27/21	165.84	71.64 W	0.00	6 of 20 - Sale ²⁵
	40.000	171.27	01/27/21	279.20	107.93 W	0.00	7 of 20 - Sale ²⁵
	38.000	161.55	01/27/21	450.55	289.00 W	0.00	8 of 20 - Sale ²⁵
	10.000	42.51	01/27/21	105.46	62.95 W	0.00	9 of 20 - Sale ²⁵
	12.000	51.02	01/27/21	136.52	85.50 W	0.00	10 of 20 - Sale ²⁵
	18.000	76.53	01/27/21	199.83	123.30 W	0.00	11 of 20 - Sale ²⁵
	2.000	8.50	01/27/21	22.20	13.70 W	0.00	12 of 20 - Sale ²⁵
	2.000	8.50	01/27/21	22.20	13.70 W	0.00	13 of 20 - Sale ²⁵
	3.000	12.75	01/27/21	29.99	17.24 W	0.00	14 of 20 - Sale ²⁵
	18.000	76.53	01/27/21	199.83	123.30 W	0.00	15 of 20 - Sale ²⁵
	22.000	93.53	01/27/21	214.64	121.11 W	0.00	16 of 20 - Sale ²⁵
	35.000	148.80	01/27/21	330.63	181.83 W	0.00	17 of 20 - Sale ²⁵
	40.000	170.06	01/27/21	367.93	197.87 W	0.00	18 of 20 - Sale ²⁵
	138.000	586.69	01/27/21	897.00	310.31 W	0.00	19 of 20 - Sale ²⁵
	87.000	369.87	01/27/21	579.42	209.55 W	0.00	20 of 20 - Sale ²⁵
02/08/21	625.000	2,663.20	Various	5,174.10	2,510.90 W	0.00	Total of 20 transactions
	4 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	110.000	459.78	02/03/21	763.25	...	-303.47	1 of 4 - Sale ²⁵
	87.000	363.64	02/03/21	617.58	...	-253.94	2 of 4 - Sale ²⁵
	28.000	117.03	02/03/21	192.18	...	-75.15	3 of 4 - Sale ²⁵
	75.000	313.48	02/03/21	351.75	...	-38.27	4 of 4 - Sale ²⁵
02/09/21	300.000	1,253.93	Various	1,924.76	...	-670.83	Total of 4 transactions
	12 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	2.000	8.36	01/27/21	27.02	18.66 W	0.00	1 of 12 - Sale ²⁵
	2.000	8.36	01/27/21	27.02	18.66 W	0.00	2 of 12 - Sale ²⁵
	10.000	41.80	01/27/21	129.55	87.75 W	0.00	3 of 12 - Sale ²⁵
	12.000	50.16	01/27/21	165.42	115.26 W	0.00	4 of 12 - Sale ²⁵
	13.000	54.34	01/27/21	154.12	99.78 W	0.00	5 of 12 - Sale ²⁵
	18.000	75.24	01/27/21	243.18	167.94 W	0.00	6 of 12 - Sale ²⁵
	18.000	75.24	01/27/21	243.18	167.94 W	0.00	7 of 12 - Sale ²⁵
	38.000	158.83	01/27/21	542.08	383.25 W	0.00	8 of 12 - Sale ²⁵
	40.000	167.19	02/03/21	382.47	118.40 W	-96.88	9 of 12 - Sale ²⁵
	3.000	12.54	02/03/21	31.09	18.55 W	0.00	10 of 12 - Sale ²⁵
	22.000	91.95	02/03/21	215.82	123.87 W	0.00	11 of 12 - Sale ²⁵

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NOKIA CORPORATION / CUSIP: 654902204 / Symbol: (cont'd)							
	22.000	91.95	02/03/21	222.64	130.69 W	0.00	12 of 12 - Sale ²⁵
02/09/21	200.000	835.96	Various	2,383.59	1,450.75 W	-96.88	Total of 12 transactions
9 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	28.000	115.92	02/03/21	413.71	...	-297.79	1 of 9 - Sale ²⁵
	18.000	74.51	02/03/21	252.72	...	-178.21	2 of 9 - Sale ²⁵
	18.000	74.52	02/03/21	252.72	...	-178.20	3 of 9 - Sale ²⁵
	12.000	49.68	02/03/21	171.78	...	-122.10	4 of 9 - Sale ²⁵
	10.000	41.40	02/03/21	134.85	...	-93.45	5 of 9 - Sale ²⁵
	6.000	24.84	02/03/21	88.78	...	-63.94	6 of 9 - Sale ²⁵
	4.000	16.56	02/03/21	59.18	...	-42.62	7 of 9 - Sale ²⁵
	2.000	8.28	02/03/21	28.08	...	-19.80	8 of 9 - Sale ²⁵
	2.000	8.28	02/03/21	28.08	...	-19.80	9 of 9 - Sale ²⁵
02/11/21	100.000	413.99	Various	1,429.90	...	-1,015.91	Total of 9 transactions
03/26/21	4.000	16.22	02/03/21	49.54	...	-33.32	Sale ²⁵
8 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	21.000	84.29	02/03/21	222.16	...	-137.87	1 of 8 - Sale ²⁵
	15.000	60.20	02/03/21	155.11	...	-94.91	2 of 8 - Sale ²⁵
	9.000	36.12	02/03/21	111.47	...	-75.35	3 of 8 - Sale ²⁵
	6.000	24.08	02/03/21	61.68	...	-37.60	4 of 8 - Sale ²⁵
	3.000	12.04	02/03/21	32.47	...	-20.43	5 of 8 - Sale ²⁵
	1.000	4.01	02/03/21	10.27	...	-6.26	6 of 8 - Sale ²⁵
	4.000	16.06	02/25/21	38.13	...	-22.07	7 of 8 - Sale ²⁵
	1.000	4.01	02/25/21	10.09	...	-6.08	8 of 8 - Sale ²⁵
04/07/21	60.000	240.81	Various	641.38	...	-400.57	Total of 8 transactions
04/14/21	1.000	4.14	02/25/21	9.53	...	-5.39	Sale ²⁵
04/15/21	17.000	70.11	02/25/21	162.04	...	-91.93	Sale ²⁵
	Security total:	6,886.83		13,451.04	4,249.38 W	-2,314.83	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	90.000	1,230.26	02/08/21	1,659.60	...	-429.34	1 of 2 - Sale ²⁵
	10.000	136.69	02/08/21	178.50	...	-41.81	2 of 2 - Sale ²⁵
02/08/21	100.000	1,366.95	Various	1,838.10	...	-471.15	Total of 2 transactions

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
<i>2 transactions for 06/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	8.58	05/27/21	8.37	...	0.21	1 of 2 - Sale ²⁵
06/01/21	17.000	145.93	05/27/21	143.98	...	1.95	2 of 2 - Sale ²⁵
	18.000	154.51	Various	152.35	...	2.16	Total of 2 transactions
<i>2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	26.32	05/27/21	25.41	...	0.91	1 of 2 - Sale ²⁵
06/04/21	4.000	35.09	05/27/21	33.87	...	1.22	2 of 2 - Sale ²⁵
06/04/21	7.000	61.41	Various	59.28	...	2.13	Total of 2 transactions
	13.000	114.05	05/27/21	117.26	3.21 W	0.00	Sale ²⁵
<i>2 transactions for 06/16/21. Total proceeds and cost reported to the IRS.</i>							
	4.000	25.17	05/27/21	36.08	10.91 W	0.00	1 of 2 - Sale ²⁵
06/16/21	12.000	75.52	06/08/21	121.88	46.36 W	0.00	2 of 2 - Sale ²⁵
	16.000	100.69	Various	157.96	57.27 W	0.00	Total of 2 transactions
<i>4 transactions for 06/24/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	7.79	06/22/21	7.27	...	0.52	1 of 4 - Sale ²⁵
06/24/21	68.000	529.70	06/22/21	477.16	...	52.54	2 of 4 - Sale ²⁵
	2.000	15.58	06/23/21	14.62	...	0.96	3 of 4 - Sale ²⁵
	3.000	23.37	06/23/21	21.98	...	1.39	4 of 4 - Sale ²⁵
	74.000	576.44	Various	521.03	...	55.41	Total of 4 transactions
<i>2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.</i>							
	4.000	31.16	06/22/21	38.98	7.82 W	0.00	1 of 2 - Sale ²⁵
06/24/21	12.000	93.48	06/22/21	130.56	37.08 W	0.00	2 of 2 - Sale ²⁵
	16.000	124.64	Various	169.54	44.90 W	0.00	Total of 2 transactions
<i>4 transactions for 06/28/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	17.02	06/23/21	18.61	...	-1.59	1 of 4 - Sale ²⁵
06/28/21	2.000	17.02	06/23/21	18.56	...	-1.54	2 of 4 - Sale ²⁵
	12.000	102.13	06/24/21	132.66	...	-30.53	3 of 4 - Sale ²⁵
	16.000	136.17	06/24/21	127.44	...	8.73	4 of 4 - Sale ²⁵
	32.000	272.34	Various	297.27	...	-24.93	Total of 4 transactions
	Security total:	2,771.03		3,312.79	105.38 W	-436.38	

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ON SEMICONDUCTOR CORPORATION C OMMON STOCK / CUSIP: 682189105 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	10.000	382.56	03/02/21	406.00	14.06 W	-9.38	1 of 2 - Sale ²⁵
	5.000	191.83	03/02/21	203.00	11.17 W	0.00	2 of 2 - Sale ²⁵
03/05/21	15.000	574.39	Various	609.00	25.23 W	-9.38	Total of 2 transactions
2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	6.000	227.64	03/05/21	246.67	...	-19.03	1 of 2 - Sale ²⁵
	5.000	189.70	03/05/21	205.01	...	-15.31	2 of 2 - Sale ²⁵
03/09/21	11.000	417.34	Various	451.68	...	-34.34	Total of 2 transactions
	Security total:	991.73		1,060.68	25.23 W	-43.72	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
02/03/21	11.000	720.92	01/29/21	716.65	...	4.27	Sale ²⁵
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	20.000	561.02	06/17/21	551.20	...	9.82	1 of 2 - Sale ²⁵
	10.000	287.02	06/17/21	275.60	...	11.42	2 of 2 - Sale ²⁵
06/23/21	30.000	848.04	Various	826.80	...	21.24	Total of 2 transactions
06/24/21	5.000	144.68	06/17/21	137.80	...	6.88	Sale ²⁵
4 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	5.000	141.97	06/17/21	136.55	...	5.42	1 of 4 - Sale ²⁵
	15.000	425.93	06/17/21	413.40	...	12.53	2 of 4 - Sale ²⁵
	15.000	433.52	06/17/21	409.65	...	23.87	3 of 4 - Sale ²⁵
	5.000	144.50	06/21/21	130.95	...	13.55	4 of 4 - Sale ²⁵
06/25/21	40.000	1,145.92	Various	1,090.55	...	55.37	Total of 4 transactions
3 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	5.000	156.81	06/21/21	130.94	...	25.87	1 of 3 - Sale ²⁵
	5.000	156.80	06/22/21	132.25	...	24.55	2 of 3 - Sale ²⁵
	10.000	313.61	06/22/21	264.50	...	49.11	3 of 3 - Sale ²⁵
06/29/21	20.000	627.22	Various	527.69	...	99.53	Total of 3 transactions
07/06/21	1.000	26.87	06/22/21	26.45	...	0.42	Sale ²⁵
07/08/21	3.000	74.00	06/22/21	79.35	5.35 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
2 transactions for 07/28/21. Total proceeds and cost reported to the IRS.							
	3.000	72.38	07/23/21	72.74	...	-0.36	1 of 2 - Sale ²⁵
	6.000	144.75	07/23/21	134.79	...	9.96	2 of 2 - Sale ²⁵
07/28/21	9.000	217.13	Various	207.53	...	9.60	Total of 2 transactions
07/28/21	1.000	24.13	06/22/21	26.45	2.32 W	0.00	Sale ²⁵
10/19/21	1.000	24.67	07/23/21	24.78	...	-0.11	Sale ²⁵
	Security total:	3,132.66		2,947.40	7.67 W	192.93	
SANDSTORM GOLD LTD / CUSIP: 80013R206 / Symbol:							
02/09/21	25.000	163.50	02/04/21	158.44	...	5.06	Sale ²⁵
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	4.000	13.08	06/04/21	11.68	...	1.40	1 of 2 - Sale ²⁵
	11.000	35.97	06/04/21	29.92	...	6.05	2 of 2 - Sale ²⁵
06/08/21	15.000	49.05	Various	41.60	...	7.45	Total of 2 transactions
2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	1.000	3.92	06/04/21	2.92	...	1.00	1 of 2 - Sale ²⁵
	99.000	388.33	06/17/21	368.18	...	20.15	2 of 2 - Sale ²⁵
06/28/21	100.000	392.25	Various	371.10	...	21.15	Total of 2 transactions
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	1.000	3.79	06/17/21	3.72	...	0.07	1 of 2 - Sale ²⁵
	100.000	379.50	06/22/21	344.84	...	34.66	2 of 2 - Sale ²⁵
06/30/21	101.000	383.29	Various	348.56	...	34.73	Total of 2 transactions
	Security total:	824.59		761.26	...	63.33	
SILVERSUN TECHNOLOGIES, INC. C OMMON STOCK / CUSIP: 82846H207 / Symbol:							
06/24/21	50.000	550.01	06/18/21	429.88	...	120.13	Sale ²⁵
08/02/21	12.000	111.01	06/18/21	103.17	...	7.84	Sale ²⁵
3 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	8.000	63.59	06/18/21	68.78	...	-5.19	1 of 3 - Sale ²⁵
	1.000	7.95	06/18/21	8.30	...	-0.35	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SILVERSUN TECHNOLOGIES, INC. C OMMON STOCK / CUSIP: 82846H207 / Symbol: (cont'd)							
	1.000	7.94	06/18/21	7.89	...	0.05	3 of 3 - Sale ²⁵
08/06/21	10.000	79.48	Various	84.97	...	-5.49	Total of 3 transactions
08/06/21	30.000	236.40	06/18/21	257.92	2.87 W	-18.65	Sale ²⁵
2 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	6.000	39.90	06/18/21	47.31	...	-7.41	1 of 2 - Sale ²⁵
	2.000	13.30	07/22/21	21.09	...	-7.79	2 of 2 - Sale ²⁵
08/18/21	8.000	53.20	Various	68.40	...	-15.20	Total of 2 transactions
08/26/21	1.000	7.91	07/22/21	10.54	...	-2.63	Sale ²⁵
Security total:		1,038.01		954.88	2.87 W	86.00	
SOLIGENIX, INC. COMMON STOCK / CUSIP: 834223307 / Symbol:							
2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	50.000	98.24	02/11/21	98.91	...	-0.67	1 of 2 - Sale ²⁵
	100.000	196.49	02/11/21	188.00	...	8.49	2 of 2 - Sale ²⁵
02/18/21	150.000	294.73	Various	286.91	...	7.82	Total of 2 transactions
02/19/21	50.000	96.60	02/11/21	98.90	...	-2.30	Sale ²⁵
03/12/21	50.000	89.25	02/11/21	98.91	...	-9.66	Sale ²⁵
03/30/21	50.000	73.75	02/11/21	98.90	...	-25.15	Sale ²⁵
Security total:		554.33		583.62	...	-29.29	
SOLID BIOSCIENCES INC. COMMON STOCK / CUSIP: 83422E105 / Symbol:							
05/24/21	50.000	191.00	05/18/21	193.75	2.75 W	0.00	Sale ²⁵
3 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	50.000	192.99	05/18/21	196.00	...	-3.01	1 of 3 - Sale ²⁵
	49.000	189.14	05/18/21	189.87	...	-0.73	2 of 3 - Sale ²⁵
	1.000	3.85	05/18/21	3.88	...	-0.03	3 of 3 - Sale ²⁵
05/26/21	100.000	385.98	Various	389.75	...	-3.77	Total of 3 transactions
Security total:		576.98		583.50	2.75 W	-3.77	
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
07/01/21	3.000	31.07	06/24/21	29.49	...	1.58	Sale ²⁵
2 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	2.000	20.14	06/24/21	19.66	...	0.48	1 of 2 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	13.000	130.93	06/25/21	132.55	...	-1.62	2 of 2 - Sale ²⁵
07/02/21	15.000	151.07	Various	152.21	...	-1.14	Total of 2 transactions
07/06/21	5.000	47.45	06/25/21	50.99	...	-3.54	Sale ²⁵
07/28/21	1.000	8.17	06/25/21	10.20	...	-2.03	Sale ²⁵
	Security total:	237.76		242.89	...	-5.13	
SURGALIGN HOLDINGS, INC. COMMO N STOCK / CUSIP: 86882C105 / Symbol:							
07/19/21	11.659	13.00	06/25/21	16.53	3.53 W	0.00	Sale ²⁵
	4 transactions for 07/29/21. Total proceeds and cost reported to the IRS.						
	888.341	960.54	06/25/21	1,259.67	...	-299.13	1 of 4 - Sale ²⁵
	58.341	63.08	06/25/21	82.84	...	-19.76	2 of 4 - Sale ²⁵
	41.659	45.04	06/25/21	59.16	...	-14.12	3 of 4 - Sale ²⁵
	11.659	12.61	06/25/21	20.09	...	-7.48	4 of 4 - Sale ²⁵
07/29/21	1,000.000	1,081.27	Various	1,421.76	...	-340.49	Total of 4 transactions
	2 transactions for 08/02/21. Total proceeds and cost reported to the IRS.						
	200.000	226.44	06/25/21	284.00	...	-57.56	1 of 2 - Sale ²⁵
	100.000	113.55	06/25/21	142.00	...	-28.45	2 of 2 - Sale ²⁵
08/02/21	300.000	339.99	Various	426.00	...	-86.01	Total of 2 transactions
08/04/21	38.000	40.66	06/25/21	53.96	...	-13.30	Sale ²⁵
08/18/21	30.000	30.00	06/25/21	42.60	...	-12.60	Sale ²⁵
10/12/21	5.000	5.18	06/25/21	7.10	...	-1.92	Sale ²⁵
10/19/21	10.000	10.33	06/25/21	14.20	...	-3.87	Sale ²⁵
	Security total:	1,520.43		1,982.15	3.53 W	-458.19	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
03/01/21	0.400	284.83	02/18/21	312.40	27.57 W	0.00	Sale ²⁵
	3 transactions for 03/02/21. Total proceeds and cost reported to the IRS.						
	0.300	210.47	02/25/21	233.88	...	-23.41	1 of 3 - Sale ²⁵
	0.100	70.16	02/25/21	77.91	...	-7.75	2 of 3 - Sale ²⁵
	0.300	210.47	02/25/21	213.20	...	-2.73	3 of 3 - Sale ²⁵
03/02/21	0.700	491.10	Various	524.99	...	-33.89	Total of 3 transactions
03/02/21	3.300	2,315.20	02/18/21	2,577.30	31.77 W	-230.33	Sale ²⁵
03/05/21	0.400	239.90	02/25/21	316.03	...	-76.13	Sale ²⁵
	Security total:	3,331.03		3,730.72	59.34 W	-340.35	

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(continued)

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3D SYSTEMS CORP / CUSIP: 88554D205 / Symbol:							
06/16/21	3.000	82.65	06/14/21	87.90	...	-5.25	Sale ²⁵
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
05/04/21	10.000	10.50	05/03/21	11.60	0.33 W	-0.77	Sale ²⁵
06/08/21	1.000	1.35	06/03/21	1.35	...	0.00	Sale ²⁵
3 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	2.000	2.24	06/03/21	2.70	...	-0.46	1 of 3 - Sale ²⁵
	50.000	56.04	06/14/21	69.50	...	-13.46	2 of 3 - Sale ²⁵
	15.000	16.82	06/16/21	18.90	...	-2.08	3 of 3 - Sale ²⁵
06/24/21	67.000	75.10	Various	91.10	...	-16.00	Total of 3 transactions
	Security total:	86.95		104.05	0.33 W	-16.77	
UNDER ARMOUR, INC. CLASS C COM MON STOCK, \$0.0003 1/3 PAR VAL UE / CUSIP: 904311206 / Symbol:							
05/11/21	1.000	19.13	05/04/21	19.39	...	-0.26	Sale ²⁵
05/13/21	4.000	73.89	05/04/21	77.55	...	-3.66	Sale ²⁵
	Security total:	93.02		96.94	...	-3.92	
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
4 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	18.400	61.66	11/24/20	69.85	...	-8.19	1 of 4 - Sale ²⁵
	2.000	6.70	11/24/20	8.08	...	-1.38	2 of 4 - Sale ²⁵
	0.400	1.34	11/24/20	1.52	...	-0.18	3 of 4 - Sale ²⁵
	44.600	149.45	11/24/20	140.12	...	9.33	4 of 4 - Sale ²⁵
01/15/21	65.400	219.15	Various	219.57	...	-0.42	Total of 4 transactions
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
9 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	11.000	113.24	12/22/20	154.84	...	-41.60	1 of 9 - Sale ²⁵
	13.480	138.77	12/22/20	170.40	...	-31.63	2 of 9 - Sale ²⁵
	3.020	31.09	12/22/20	42.88	...	-11.79	3 of 9 - Sale ²⁵
	1.000	10.29	12/22/20	14.09	...	-3.80	4 of 9 - Sale ²⁵
	0.500	5.15	12/22/20	7.03	...	-1.88	5 of 9 - Sale ²⁵
	4.000	41.18	12/30/20	47.99	...	-6.81	6 of 9 - Sale ²⁵
	10.000	102.95	01/11/21	62.75	...	40.20	7 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	30.000	308.84	01/19/21	204.90	...	103.94	8 of 9 - Sale ²⁵
	68.000	700.03	01/19/21	469.88	...	230.15	9 of 9 - Sale ²⁵
01/25/21	141.000	1,451.54	Various	1,174.76	...	276.78	Total of 9 transactions
VELODYNE LIDAR, INC. COMMON STOCK / CUSIP: 92259F101 / Symbol:							
2 transactions for 08/02/21. Total proceeds and cost reported to the IRS.							
	20.000	160.80	07/28/21	166.90	...	-6.10	1 of 2 - Sale ²⁵
	6.000	47.91	07/28/21	50.07	...	-2.16	2 of 2 - Sale ²⁵
08/02/21	26.000	208.71	Various	216.97	...	-8.26	Total of 2 transactions
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
01/19/21	50.000	447.03	01/15/21	461.00	...	-13.97	Sale ²⁵
01/19/21	50.000	447.04	01/11/21	488.00	40.96 W	0.00	Sale ²⁵
01/20/21	8.000	71.96	01/19/21	78.31	...	-6.35	Sale ²⁵
01/20/21	100.000	899.46	01/15/21	922.00	13.07 W	-9.47	Sale ²⁵
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	42.000	955.43	02/09/21	776.13	...	179.30	1 of 2 - Sale ²⁵
	58.000	1,319.41	02/09/21	1,037.35	...	282.06	2 of 2 - Sale ²⁵
02/09/21	100.000	2,274.84	Various	1,813.48	...	461.36	Total of 2 transactions
	Security total:	4,140.33		3,762.79	54.03 W	431.57	
VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V209 / Symbol:							
02/19/21	21.000	183.54	02/08/21	226.80	43.26 W	0.00	Sale ²⁵
02/25/21	25.250	202.75	02/09/21	436.66	...	-233.91	Sale ²⁵
9 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	53.750	429.27	02/08/21	580.50	151.23 W	0.00	1 of 9 - Sale ²⁵
	75.000	602.24	02/09/21	968.58	48.85 W	-317.49	2 of 9 - Sale ²⁵
	53.750	431.60	02/09/21	822.03	210.65 W	-179.78	3 of 9 - Sale ²⁵
	25.250	201.66	02/09/21	315.12	113.46 W	0.00	4 of 9 - Sale ²⁵
	28.500	228.85	02/09/21	364.71	135.86 W	0.00	5 of 9 - Sale ²⁵
	7.250	57.90	02/09/21	105.42	47.52 W	0.00	6 of 9 - Sale ²⁵
	13.750	109.81	02/09/21	194.15	84.34 W	0.00	7 of 9 - Sale ²⁵
	1.250	10.04	02/09/21	15.60	5.56 W	0.00	8 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V209 / Symbol: (cont'd)							
02/25/21	16.250	130.49	02/09/21	208.00	77.51 W	0.00	9 of 9 - Sale ²⁵
	274.750	2,201.86	Various	3,574.11	874.98 W	-497.27	Total of 9 transactions
	7 transactions for 03/09/21. Total proceeds and cost reported to the IRS.						
	28.500	191.03	02/09/21	503.92	...	-312.89	1 of 7 - Sale ²⁵
	16.250	108.92	02/09/21	287.37	...	-178.45	2 of 7 - Sale ²⁵
	4.000	26.81	02/09/21	51.66	...	-24.85	3 of 7 - Sale ²⁵
	1.250	8.38	02/09/21	21.70	...	-13.32	4 of 7 - Sale ²⁵
	10.000	67.03	02/10/21	165.21	...	-98.18	5 of 7 - Sale ²⁵
	29.000	194.38	03/09/21	405.75	...	-211.37	6 of 7 - Sale ²⁵
	11.000	73.73	03/09/21	141.48	...	-67.75	7 of 7 - Sale ²⁵
03/09/21	100.000	670.28	Various	1,577.09	...	-906.81	Total of 7 transactions
03/29/21	1.000	6.49	03/09/21	12.86	...	-6.37	Sale ²⁵
	2 transactions for 03/30/21. Total proceeds and cost reported to the IRS.						
	7.250	45.64	03/09/21	96.30	...	-50.66	1 of 2 - Sale ²⁵
	1.750	11.02	03/09/21	22.51	...	-11.49	2 of 2 - Sale ²⁵
03/30/21	9.000	56.66	Various	118.81	...	-62.15	Total of 2 transactions
05/25/21	4.000	14.00	05/24/21	14.00	...	0.00	Sale ²⁵
	3 transactions for 05/25/21. Total proceeds and cost reported to the IRS.						
	4.000	14.00	05/21/21	14.98	0.98 W	0.00	1 of 3 - Sale ²⁵
	3.000	10.50	05/21/21	11.21	0.71 W	0.00	2 of 3 - Sale ²⁵
	11.000	38.50	05/24/21	40.15	1.65 W	0.00	3 of 3 - Sale ²⁵
05/25/21	18.000	63.00	Various	66.34	3.34 W	0.00	Total of 3 transactions
	2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.						
	11.000	44.89	06/02/21	44.33	...	0.56	1 of 2 - Sale ²⁵
	72.000	293.81	06/02/21	279.36	...	14.45	2 of 2 - Sale ²⁵
06/08/21	83.000	338.70	Various	323.69	...	15.01	Total of 2 transactions
	3 transactions for 06/08/21. Total proceeds and cost reported to the IRS.						
	1.000	4.08	06/02/21	4.13	0.05 W	0.00	1 of 3 - Sale ²⁵
	3.000	12.24	06/02/21	12.37	0.13 W	0.00	2 of 3 - Sale ²⁵
	3.000	12.24	06/02/21	12.35	0.11 W	0.00	3 of 3 - Sale ²⁵
06/08/21	7.000	28.56	Various	28.85	0.29 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V209 / Symbol: (cont'd)							
6 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	1.000	4.00	06/02/21	3.93	...	0.07	1 of 6 - Sale ²⁵
	3.000	12.00	06/02/21	11.83	...	0.17	2 of 6 - Sale ²⁵
	3.000	12.00	06/02/21	11.81	...	0.19	3 of 6 - Sale ²⁵
	9.000	36.00	06/02/21	35.09	...	0.91	4 of 6 - Sale ²⁵
	35.000	140.02	06/02/21	136.44	...	3.58	5 of 6 - Sale ²⁵
	49.000	196.01	06/02/21	190.12	...	5.89	6 of 6 - Sale ²⁵
06/10/21	100.000	400.03	Various	389.22	...	10.81	Total of 6 transactions
15 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	15.000	55.12	06/02/21	58.47	3.35 W	0.00	1 of 15 - Sale ²⁵
	2.000	7.35	06/04/21	7.85	0.50 W	0.00	2 of 15 - Sale ²⁵
	3.000	11.02	06/08/21	12.18	1.16 W	0.00	3 of 15 - Sale ²⁵
	26.000	95.55	06/09/21	105.82	10.27 W	0.00	4 of 15 - Sale ²⁵
	15.000	54.45	06/14/21	62.23	7.78 W	0.00	5 of 15 - Sale ²⁵
	15.000	54.45	06/14/21	65.36	10.91 W	0.00	6 of 15 - Sale ²⁵
	2.000	7.26	06/14/21	8.77	1.51 W	0.00	7 of 15 - Sale ²⁵
	3.000	10.89	06/14/21	13.56	2.67 W	0.00	8 of 15 - Sale ²⁵
	26.000	94.38	06/14/21	117.75	23.37 W	0.00	9 of 15 - Sale ²⁵
	54.000	196.01	06/14/21	220.80	24.79 W	0.00	10 of 15 - Sale ²⁵
	54.000	198.45	06/14/21	211.95	13.50 W	0.00	11 of 15 - Sale ²⁵
	2.000	7.26	06/14/21	8.35	1.09 W	0.00	12 of 15 - Sale ²⁵
	3.000	10.89	06/14/21	12.93	2.04 W	0.00	13 of 15 - Sale ²⁵
	26.000	94.37	06/14/21	112.32	17.95 W	0.00	14 of 15 - Sale ²⁵
	100.000	362.98	06/14/21	392.50	29.52 W	0.00	15 of 15 - Sale ²⁵
06/16/21	346.000	1,260.43	Various	1,410.84	150.41 W	0.00	Total of 15 transactions
12 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	100.000	374.10	06/17/21	398.02	23.92 W	0.00	1 of 12 - Sale ²⁵
	15.000	56.11	06/17/21	66.19	10.08 W	0.00	2 of 12 - Sale ²⁵
	2.000	7.48	06/17/21	8.88	1.40 W	0.00	3 of 12 - Sale ²⁵
	3.000	11.22	06/17/21	13.72	2.50 W	0.00	4 of 12 - Sale ²⁵
	26.000	97.27	06/17/21	119.18	21.91 W	0.00	5 of 12 - Sale ²⁵
	54.000	202.01	06/17/21	223.78	21.77 W	0.00	6 of 12 - Sale ²⁵
	15.000	56.47	06/17/21	65.43	8.96 W	0.00	7 of 12 - Sale ²⁵

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Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V209 / Symbol: (cont'd)							
	2.000	7.53	06/17/21	8.78	1.25 W	0.00	8 of 12 - Sale ²⁵
	3.000	11.29	06/17/21	13.57	2.28 W	0.00	9 of 12 - Sale ²⁵
	26.000	97.89	06/17/21	117.85	19.96 W	0.00	10 of 12 - Sale ²⁵
	54.000	203.31	06/17/21	221.03	17.72 W	0.00	11 of 12 - Sale ²⁵
	100.000	376.49	06/17/21	392.92	16.43 W	0.00	12 of 12 - Sale ²⁵
06/25/21	400.000	1,501.17	Various	1,649.35	148.18 W	0.00	Total of 12 transactions
6 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	54.000	193.48	06/17/21	216.98	...	-23.50	1 of 6 - Sale ²⁵
	26.000	93.16	06/17/21	115.90	...	-22.74	2 of 6 - Sale ²⁵
	3.000	10.75	06/17/21	13.35	...	-2.60	3 of 6 - Sale ²⁵
	2.000	7.17	06/17/21	8.63	...	-1.46	4 of 6 - Sale ²⁵
	10.000	35.83	06/18/21	37.71	...	-1.88	5 of 6 - Sale ²⁵
	90.000	322.47	06/22/21	330.36	...	-7.89	6 of 6 - Sale ²⁵
06/29/21	185.000	662.86	Various	722.93	...	-60.07	Total of 6 transactions
06/29/21	15.000	53.75	06/17/21	64.31	7.04 W	-3.52	Sale ²⁵
	Security total:	7,644.08		10,615.86	1,227.50 W	-1,744.28	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
07/01/21	10.000	153.70	06/24/21	153.85	...	-0.15	Sale ²⁵
07/02/21	8.000	113.52	06/24/21	123.08	...	-9.56	Sale ²⁵
08/26/21	2.000	18.90	06/24/21	30.77	...	-11.87	Sale ²⁵
	Security total:	286.12		307.70	...	-21.58	
PAINREFORM LTD. ORDINARY SHARE S / CUSIP: M77798102 / Symbol:							
07/28/21	100.000	292.99	07/23/21	470.00	...	-177.01	Sale ²⁵
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	7.000	13.37	02/09/21	7.84	...	5.53	1 of 2 - Sale ²⁵
	7.000	13.37	02/09/21	7.82	...	5.55	2 of 2 - Sale ²⁵
02/11/21	14.000	26.74	Various	15.66	...	11.08	Total of 2 transactions
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	1.000	0.56	05/26/21	0.56	...	0.00	1 of 2 - Sale ²⁵

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Account 164713943

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	40.000	22.24	05/26/21	22.12	...	0.12	2 of 2 - Sale ²⁵
05/26/21	41.000	22.80	Various	22.68	...	0.12	Total of 2 transactions
05/26/21	649.000	360.70	05/26/21	365.52	4.82 W	0.00	Sale ²⁵
	5 transactions for 06/23/21. Total proceeds and cost reported to the IRS.						
	519.000	346.12	06/17/21	353.98	...	-7.86	1 of 5 - Sale ²⁵
	200.000	133.36	06/17/21	134.92	...	-1.56	2 of 5 - Sale ²⁵
	100.000	66.70	06/17/21	68.20	...	-1.50	3 of 5 - Sale ²⁵
	151.000	100.71	06/17/21	101.86	...	-1.15	4 of 5 - Sale ²⁵
	30.000	20.01	06/17/21	20.46	...	-0.45	5 of 5 - Sale ²⁵
06/23/21	1,000.000	666.90	Various	679.42	...	-12.52	Total of 5 transactions
	Security total:	1,077.14		1,083.28	4.82 W	-1.32	
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L125 / Symbol:							
06/24/21	100.000	273.99	06/17/21	308.50	...	-34.51	Sale ²⁵
Totals :		167,723.80		210,535.97	18,832.93 W	-23,979.24	

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Account 164713943

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
02/22/21	0.250	2.42	02/08/21	2.70	...	-0.28	Gain or loss
Totals:		2.42		2.70	...	-0.28	

Robinhood Securities LLC

Account 164713943

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
HECLA MINING COMPANY	422704106		09/03/21	0.02	Qualified dividend	
			09/03/21	0.01	Qualified dividend	
			12/03/21	0.01	Qualified dividend	
			12/03/21	0.01	Qualified dividend	
				0.05	Total Dividends & distributions	
SILVERSUN TECHNOLOGIES, INC. C OMMON STOCK	82846H207		07/16/21	34.80	Qualified dividend	
				34.85	Total Dividends & distributions	

Robinhood Securities LLC

Account 164713943

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/14/21	-0.21	Margin interest paid	
		01/14/21	-5.00	Margin interest paid	
		02/16/21	-2.95	Margin interest paid	
		02/16/21	-5.00	Margin interest paid	
		03/15/21	-1.13	Margin interest paid	
		03/15/21	-5.00	Margin interest paid	
		04/14/21	-5.00	Margin interest paid	
		05/14/21	-0.01	Margin interest paid	
		05/14/21	-5.00	Margin interest paid	
		06/14/21	-5.00	Margin interest paid	
		07/13/21	-3.15	Margin interest paid	
		07/13/21	-5.00	Margin interest paid	
			-42.45	Total Margin interest paid	
Margin charge		08/12/21	-5.00	Margin interest paid	
		09/13/21	-5.00	Margin interest paid	
		10/11/21	-5.00	Margin interest paid	
		11/10/21	-5.00	Margin interest paid	
		12/10/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			-67.45	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.