

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 147763395</b>	Statement Date: 02/11/2022 Document ID: 7021 X5P QC8Z	2021
	Suryakumar Pulipati 22470 Winding Woods Way Clarksburg, MD 20871  RECIPIENT'S TIN: XXX-XX-1920		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	80,714.07	91,462.63	0.00	2,454.38	-8,294.18
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>80,714.07</b>	<b>91,462.63</b>	<b>0.00</b>	<b>2,454.38</b>	<b>-8,294.18</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>80,714.07</b>	<b>91,462.63</b>	<b>0.00</b>	<b>2,454.38</b>	<b>-8,294.18</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 147763395

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	63.29
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 06/18/2021 CALL \$23.00 / CUSIP: / Symbol:							
03/30/21	1.000	324.98	03/29/21	255.00	...	69.98	Option sale to close-call <sup>25</sup>
AAPL 01/29/2021 CALL \$135.00 / CUSIP: / Symbol:							
01/20/21	1.000	293.98	01/15/21	200.00	...	93.98	Option sale to close-call <sup>25</sup>
AGEN 01/21/2022 CALL \$5.00 / CUSIP: / Symbol:							
11/10/21	1.000	54.98	10/22/21	40.00	...	14.98	Option sale to close-call <sup>25</sup>
AHT 06/18/2021 CALL \$7.50 / CUSIP: / Symbol:							
06/08/21	1.000	69.98	06/07/21	45.00	...	24.98	Option sale to close-call <sup>25</sup>
06/14/21	1.000	50.98	06/11/21	50.00	...	0.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>120.96</b>		<b>95.00</b>	...	<b>25.96</b>	
AHT 07/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
06/09/21	1.000	249.98	06/07/21	120.00	...	129.98	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AHT 08/20/2021 CALL \$5.00 / CUSIP: / Symbol:							
06/25/21	1.000	134.98	06/18/21	135.00	...	-0.02	Option sale to close-call <sup>25</sup>
AHT1 08/20/2021 CALL \$5.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	06/18/21	135.00	...	-135.00	Option expiration- long call
ALLY 02/19/2021 CALL \$41.00 / CUSIP: / Symbol:							
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	1.000	99.99	01/21/21	209.00	...	-109.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	99.99	01/28/21	69.00	...	30.99	2 of 2 - Option sale to close-call <sup>25</sup>
02/03/21	2.000	199.98	Various	278.00	...	-78.02	Total of 2 transactions
AMC 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
03/10/21	1.000	639.98	03/03/21	405.00	...	234.98	Option sale to close-call <sup>25</sup>
AMC 03/12/2021 PUT \$9.00 / CUSIP: / Symbol:							
03/10/21	1.000	57.98	03/10/21	24.00	...	33.98	Option sale to close-put <sup>25</sup>
BB 07/16/2021 CALL \$11.00 / CUSIP: / Symbol:							
06/02/21	1.000	499.98	05/28/21	130.00	...	369.98	Option sale to close-call <sup>25</sup>
BBBY 03/12/2021 CALL \$40.00 / CUSIP: / Symbol:							
3 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	4.000	119.98	03/08/21	148.00	...	-28.02	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	159.98	03/08/21	185.00	...	-25.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	29.98	03/08/21	37.00	...	-7.02	3 of 3 - Option sale to close-call <sup>25</sup>
03/08/21	10.000	309.94	Various	370.00	...	-60.06	Total of 3 transactions
BBIG 06/18/2021 CALL \$5.50 / CUSIP: / Symbol:							
06/14/21	1.000	24.98	06/11/21	60.00	...	-35.02	Option sale to close-call <sup>25</sup>
BIGC 02/19/2021 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	1.000	37.99	02/10/21	200.00	...	-162.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	37.99	02/16/21	58.00	...	-20.01	2 of 2 - Option sale to close-call <sup>25</sup>
02/17/21	2.000	75.98	Various	258.00	...	-182.02	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CALA 12/17/2021 CALL \$2.50 / CUSIP: / Symbol:							
10/29/21	1.000	29.98	10/29/21	25.00	...	4.98	Option sale to close-call <sup>25</sup>
CCL 01/20/2023 CALL \$35.00 / CUSIP: / Symbol:							
04/05/21	1.000	659.98	03/31/21	560.00	...	99.98	Option sale to close-call <sup>25</sup>
CHWY 11/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
10/01/21	1.000	309.98	09/28/21	410.00	...	-100.02	Option sale to close-call <sup>25</sup>
CLNE 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
06/11/21	1.000	389.98	06/04/21	300.00	...	89.98	Option sale to close-call <sup>25</sup>
CLOV 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/09/21	1.000	199.98	02/05/21	190.00	...	9.98	Option sale to close-call <sup>25</sup>
CPNG 01/20/2023 CALL \$30.00 / CUSIP: / Symbol:							
11/10/21	1.000	579.98	09/24/21	550.00	...	29.98	Option sale to close-call <sup>25</sup>
CRVS 11/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
11/01/21	3.000	59.98	10/20/21	42.00	...	17.98	Option sale to close-call <sup>25</sup>
CTIC 03/18/2022 CALL \$4.00 / CUSIP: / Symbol:							
12/15/21	1.000	34.98	12/01/21	30.00	...	4.98	Option sale to close-call <sup>25</sup>
DKNG 02/12/2021 CALL \$70.00 / CUSIP: / Symbol:							
	<i>2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	16.00	02/04/21	76.00	...	-60.00	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	79.98	02/08/21	100.00	...	-20.02	2 of 2 - Option sale to close-call <sup>25</sup>
02/08/21	6.000	95.98	Various	176.00	...	-80.02	Total of 2 transactions
DMTK 07/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
06/09/21	1.000	489.98	05/28/21	390.00	...	99.98	Option sale to close-call <sup>25</sup>
EBON 03/19/2021 PUT \$7.50 / CUSIP: / Symbol:							
	<i>2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.</i>						
	3.000	29.99	03/15/21	45.00	...	-15.01	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	19.99	03/15/21	30.00	...	-10.01	2 of 2 - Option sale to close-put <sup>25</sup>
03/16/21	5.000	49.98	Various	75.00	...	-25.02	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EBON 04/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
03/12/21	1.000	293.98	03/10/21	197.00	...	96.98	Option sale to close-call <sup>25</sup>
EVFM 10/15/2021 CALL \$1.00 / CUSIP: / Symbol:							
06/15/21	5.000	199.98	05/27/21	100.00	...	99.98	Option sale to close-call <sup>25</sup>
07/07/21	5.000	99.98	05/27/21	100.00	...	-0.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>299.96</b>		<b>200.00</b>	...	<b>99.96</b>	
EXPR 06/04/2021 PUT \$5.00 / CUSIP: / Symbol:							
06/03/21	1.000	24.98	06/02/21	25.00	...	-0.02	Option sale to close-put <sup>25</sup>
F 01/15/2021 CALL \$11.50 / CUSIP: / Symbol:							
01/15/21	20.000	0.00	01/12/21	20.00	...	-20.00	Option expiration- long call
F 01/21/2022 CALL \$11.00 / CUSIP: / Symbol:							
05/21/21	1.000	292.98	04/29/21	188.00	...	104.98	Option sale to close-call <sup>25</sup>
F 01/21/2022 CALL \$12.00 / CUSIP: / Symbol:							
03/10/21	1.000	273.98	02/19/21	208.00	...	65.98	Option sale to close-call <sup>25</sup>
F 02/05/2021 CALL \$11.50 / CUSIP: / Symbol:							
	2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	1.000	29.99	02/01/21	58.00	...	-28.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	59.99	02/02/21	50.00	...	9.99	2 of 2 - Option sale to close-call <sup>25</sup>
02/03/21	3.000	89.98	Various	108.00	...	-18.02	Total of 2 transactions
F 06/25/2021 PUT \$14.50 / CUSIP: / Symbol:							
05/28/21	1.000	74.98	05/27/21	71.00	...	3.98	Option sale to close-put <sup>25</sup>
FB 01/15/2021 CALL \$250.00 / CUSIP: / Symbol:							
01/15/21	1.000	109.98	01/14/21	115.00	...	-5.02	Option sale to close-call <sup>25</sup>
FB 02/19/2021 CALL \$350.00 / CUSIP: / Symbol:							
	4 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	01/14/21	35.00	...	-35.00	1 of 4 - Option expiration- long call
	1.000	0.00	01/14/21	35.00	...	-35.00	2 of 4 - Option expiration- long call

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Robinhood Securities LLC

Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 02/19/2021 CALL \$350.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	01/14/21	35.00	...	-35.00	3 of 4 - Option expiration- long call
	5.000	0.00	01/29/21	55.00	...	-55.00	4 of 4 - Option expiration- long call
02/19/21	8.000	0.00	Various	160.00	...	-160.00	Total of 4 transactions
FCEL 12/17/2021 CALL \$8.00 / CUSIP: / Symbol:							
09/29/21	1.000	65.98	09/28/21	101.00	...	-35.02	Option sale to close-call <sup>25</sup>
FSR 12/23/2021 CALL \$20.00 / CUSIP: / Symbol:							
11/10/21	1.000	219.98	11/08/21	200.00	...	19.98	Option sale to close-call <sup>25</sup>
FUBO 06/25/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	139.99	05/28/21	173.00	...	-33.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	139.99	05/28/21	100.00	...	39.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/21	2.000	279.98	Various	273.00	...	6.98	Total of 2 transactions
GE 01/20/2023 CALL \$15.00 / CUSIP: / Symbol:							
06/18/21	1.000	158.98	04/12/21	238.00	...	-79.02	Option sale to close-call <sup>25</sup>
GE 06/18/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	1.000	12.00	02/12/21	10.00	...	2.00	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	47.98	02/12/21	40.00	...	7.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/12/21	5.000	59.98	Various	50.00	...	9.98	Total of 2 transactions
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	2.000	27.99	02/12/21	20.00	...	7.99	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	41.99	02/12/21	30.00	...	11.99	2 of 2 - Option sale to close-call <sup>25</sup>
03/03/21	5.000	69.98	Various	50.00	...	19.98	Total of 2 transactions
	<b>Security total:</b>	<b>129.96</b>		<b>100.00</b>	...	<b>29.96</b>	
GME 01/29/2021 PUT \$50.00 / CUSIP: / Symbol:							
01/28/21	1.000	199.98	01/26/21	145.00	...	54.98	Option sale to close-put <sup>25</sup>
GOCO 03/18/2022 CALL \$5.00 / CUSIP: / Symbol:							
09/03/21	1.000	139.98	08/20/21	115.00	...	24.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 147763395

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOEV 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	1.000	15.00	03/15/21	15.02	...	-0.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	29.99	03/15/21	30.00	...	-0.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	14.99	03/15/21	15.00	...	-0.01	3 of 3 - Option sale to close-call <sup>25</sup>
03/15/21	4.000	59.98	Various	60.02	...	-0.04	Total of 3 transactions
03/15/21	1.000	14.98	03/15/21	15.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>74.96</b>		<b>75.02</b>	<b>0.02 W</b>	<b>-0.04</b>	
GOEV 04/16/2021 CALL \$12.50 / CUSIP: / Symbol:							
04/09/21	2.000	21.98	04/08/21	50.00	...	-28.02	Option sale to close-call <sup>25</sup>
GSAT 04/16/2021 CALL \$2.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	10.000	0.00	03/09/21	300.00	...	-300.00	1 of 2 - Option expiration- long call
	5.000	0.00	03/10/21	100.00	...	-100.00	2 of 2 - Option expiration- long call
04/16/21	15.000	0.00	Various	400.00	...	-400.00	Total of 2 transactions
GSAT 07/16/2021 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	77.98	01/12/21	30.00	...	47.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	99.98	01/12/21	30.00	...	69.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/09/21	2.000	177.96	Various	60.00	...	117.96	Total of 2 transactions
02/10/21	1.000	199.98	01/12/21	30.00	...	169.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>377.94</b>		<b>90.00</b>	...	<b>287.94</b>	
INO 05/21/2021 CALL \$11.00 / CUSIP: / Symbol:							
05/07/21	1.000	9.98	04/15/21	90.00	...	-80.02	Option sale to close-call <sup>25</sup>
INTC 01/29/2021 PUT \$59.00 / CUSIP: / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	1.000	119.99	01/21/21	140.00	...	-20.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	119.99	01/21/21	66.00	...	53.99	2 of 2 - Option sale to close-put <sup>25</sup>
01/22/21	2.000	239.98	Various	206.00	...	33.98	Total of 2 transactions

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Robinhood Securities LLC

Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KMPH 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/16/21	1.000	114.98	03/15/21	60.00	...	54.98	Option sale to close-call <sup>25</sup>
LCID 12/03/2021 PUT \$50.00 / CUSIP: / Symbol:							
11/17/21	1.000	429.98	11/16/21	350.00	...	79.98	Option sale to close-put <sup>25</sup>
11/19/21	1.000	339.98	11/19/21	420.00	...	-80.02	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>769.96</b>		<b>770.00</b>	...	<b>-0.04</b>	
MAC 04/16/2021 CALL \$17.00 / CUSIP: / Symbol:							
03/10/21	1.000	79.98	03/09/21	65.00	...	14.98	Option sale to close-call <sup>25</sup>
MAXR 04/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
04/06/21	1.000	29.98	03/29/21	135.00	...	-105.02	Option sale to close-call <sup>25</sup>
NNDM 12/17/2021 CALL \$10.00 / CUSIP: / Symbol:							
06/29/21	1.000	207.98	03/24/21	300.00	92.02 W	0.00	Option sale to close-call <sup>25</sup>
	<i>4 transactions for 08/25/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	30.99	04/14/21	183.00	...	-152.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	31.00	04/21/21	168.00	...	-137.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	30.99	05/27/21	109.00	...	-78.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	30.98	06/17/21	257.02	...	-226.04	4 of 4 - Option sale to close-call <sup>25</sup>
08/25/21	4.000	123.96	Various	717.02	...	-593.06	Total of 4 transactions
09/07/21	1.000	30.98	07/01/21	173.00	...	-142.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>362.92</b>		<b>1,190.02</b>	<b>92.02 W</b>	<b>-735.08</b>	
NOK 01/21/2022 CALL \$6.00 / CUSIP: / Symbol:							
08/27/21	1.000	58.98	08/27/21	40.00	...	18.98	Option sale to close-call <sup>25</sup>
NVDA 06/04/2021 CALL \$700.00 / CUSIP: / Symbol:							
06/02/21	1.000	94.98	06/01/21	39.00	...	55.98	Option sale to close-call <sup>25</sup>
NVDA 06/25/2021 PUT \$755.00 / CUSIP: / Symbol:							
06/18/21	1.000	1,039.98	06/18/21	1,100.00	...	-60.02	Option sale to close-put <sup>25</sup>
PLTR 05/14/2021 CALL \$24.00 / CUSIP: / Symbol:							
04/29/21	1.000	95.98	04/29/21	100.00	...	-4.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PLTR 05/21/2021 CALL \$24.00 / CUSIP: / Symbol:							
03/15/21	1.000	529.98	03/12/21	525.00	...	4.98	Option sale to close-call <sup>25</sup>
PLTR 06/18/2021 CALL \$24.00 / CUSIP: / Symbol:							
<i>3 transactions for 04/14/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	409.98	03/22/21	370.00	...	39.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	334.98	03/23/21	278.00	...	56.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	329.98	03/25/21	230.00	...	99.98	3 of 3 - Option sale to close-call <sup>25</sup>
04/14/21	3.000	1,074.94	Various	878.00	...	196.94	Total of 3 transactions
PLTR 08/20/2021 CALL \$24.00 / CUSIP: / Symbol:							
03/04/21	1.000	569.98	03/03/21	550.00	...	19.98	Option sale to close-call <sup>25</sup>
03/11/21	1.000	699.98	03/08/21	500.00	...	199.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,269.96</b>		<b>1,050.00</b>	...	<b>219.96</b>	
PPL 04/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
02/23/21	2.000	19.98	02/17/21	56.00	...	-36.02	Option sale to close-call <sup>25</sup>
PYPL 02/18/2022 CALL \$220.00 / CUSIP: / Symbol:							
11/10/21	1.000	949.98	11/09/21	980.00	...	-30.02	Option sale to close-call <sup>25</sup>
RBLX 06/11/2021 CALL \$105.00 / CUSIP: / Symbol:							
06/03/21	1.000	222.98	06/03/21	335.00	...	-112.02	Option sale to close-call <sup>25</sup>
RIG 01/21/2022 CALL \$4.50 / CUSIP: / Symbol:							
07/01/21	1.000	154.98	06/28/21	120.00	...	34.98	Option sale to close-call <sup>25</sup>
RKT 01/21/2022 CALL \$18.00 / CUSIP: / Symbol:							
03/02/21	1.000	1,199.98	02/18/21	670.00	...	529.98	Option sale to close-call <sup>25</sup>
RKT 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
03/02/21	1.000	599.98	03/02/21	565.00	...	34.98	Option sale to close-call <sup>25</sup>
RKT 08/20/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/23/21	1.000	189.98	06/23/21	156.00	...	33.98	Option sale to close-call <sup>25</sup>
SBE 01/21/2022 CALL \$40.00 / CUSIP: / Symbol:							
02/16/21	1.000	1,299.96	01/12/21	1,285.00	...	14.96	Option sale to close-call <sup>25</sup>

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Account 147763395

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SDC 06/11/2021 CALL \$8.50 / CUSIP: / Symbol:							
06/03/21	1.000	60.98	06/02/21	36.00	...	24.98	Option sale to close-call <sup>25</sup>
SENS 06/18/2021 PUT \$4.00 / CUSIP: / Symbol:							
06/15/21	1.000	47.98	06/14/21	35.00	...	12.98	Option sale to close-put <sup>25</sup>
SESN 08/20/2021 CALL \$5.00 / CUSIP: / Symbol:							
06/29/21	1.000	99.98	06/18/21	100.00	...	-0.02	Option sale to close-call <sup>25</sup>
SIOX 08/20/2021 CALL \$3.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	06/17/21	40.00	...	-40.00	Option expiration- long call
SOS 01/21/2022 CALL \$7.50 / CUSIP: / Symbol:							
02/16/21	1.000	699.97	02/12/21	390.00	...	309.97	Option sale to close-call <sup>25</sup>
SOS 03/19/2021 CALL \$6.00 / CUSIP: / Symbol:							
03/10/21	1.000	144.98	03/09/21	85.00	...	59.98	Option sale to close-call <sup>25</sup>
SOS 04/16/2021 CALL \$6.50 / CUSIP: / Symbol:							
04/07/21	1.000	69.98	03/23/21	105.00	...	-35.02	Option sale to close-call <sup>25</sup>
SOS 11/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	1.000	74.99	03/24/21	250.00	175.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	74.99	03/25/21	210.00	135.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/21	2.000	149.98	Various	460.00	310.02 W	0.00	Total of 2 transactions
5 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	1.000	9.00	03/30/21	135.00	...	-126.00	1 of 5 - Option sale to close-call <sup>25</sup>
	3.000	26.99	04/14/21	360.00	...	-333.01	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	9.00	04/26/21	75.00	...	-66.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	8.99	05/17/21	200.01	...	-191.02	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	9.00	05/17/21	160.01	...	-151.01	5 of 5 - Option sale to close-call <sup>25</sup>
08/27/21	7.000	62.98	Various	930.02	...	-867.04	Total of 5 transactions
	<b>Security total:</b>	<b>212.96</b>		<b>1,390.02</b>	<b>310.02 W</b>	<b>-867.04</b>	

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Robinhood Securities LLC

Account 147763395

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPI 11/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
11/09/21	1.000	9.98	10/28/21	14.00	4.02 W	0.00	Option sale to close-call <sup>25</sup>
11/15/21	1.000	9.98	11/02/21	12.02	...	-2.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>19.96</b>		<b>26.02</b>	<b>4.02 W</b>	<b>-2.04</b>	
SPY 05/21/2021 PUT \$412.00 / CUSIP: / Symbol:							
04/15/21	1.000	625.98	04/14/21	670.00	44.02 W	0.00	Option sale to close-put <sup>25</sup>
04/19/21	1.000	520.98	04/15/21	637.02	...	-116.04	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,146.96</b>		<b>1,307.02</b>	<b>44.02 W</b>	<b>-116.04</b>	
SPY 10/25/2021 PUT \$449.00 / CUSIP: / Symbol:							
10/22/21	1.000	36.98	10/22/21	50.00	...	-13.02	Option sale to close-put <sup>25</sup>
SPY 12/29/2021 PUT \$450.00 / CUSIP: / Symbol:							
12/14/21	1.000	307.98	12/13/21	195.00	...	112.98	Option sale to close-put <sup>25</sup>
SPY 12/31/2021 PUT \$460.00 / CUSIP: / Symbol:							
11/03/21	1.000	894.98	11/02/21	1,026.00	...	-131.02	Option sale to close-put <sup>25</sup>
SQ 04/01/2021 PUT \$225.00 / CUSIP: / Symbol:							
03/31/21	1.000	149.98	03/31/21	204.00	...	-54.02	Option sale to close-put <sup>25</sup>
TLRY 06/18/2021 CALL \$38.00 / CUSIP: / Symbol:							
	2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.						
	1.000	26.99	02/11/21	1,550.00	...	-1,523.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	26.99	03/22/21	370.00	...	-343.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/08/21	2.000	53.98	Various	1,920.00	...	-1,866.02	Total of 2 transactions
TME 01/20/2023 CALL \$17.00 / CUSIP: / Symbol:							
04/15/21	1.000	509.98	03/26/21	520.00	...	-10.02	Option sale to close-call <sup>25</sup>
TME 01/21/2022 CALL \$16.00 / CUSIP: / Symbol:							
06/15/21	1.000	205.98	06/09/21	219.00	...	-13.02	Option sale to close-call <sup>25</sup>
TSLA 03/12/2021 CALL \$682.50 / CUSIP: / Symbol:							
03/12/21	1.000	1,399.98	03/12/21	600.00	...	799.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 03/19/2021 CALL \$700.00 / CUSIP: / Symbol:							
03/19/21	1.000	10.98	03/19/21	31.00	...	-20.02	Option sale to close-call <sup>25</sup>
TSLA 03/19/2021 PUT \$635.00 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	267.99	03/19/21	600.00	...	-332.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	267.99	03/19/21	372.00	...	-104.01	2 of 2 - Option sale to close-put <sup>25</sup>
03/19/21	2.000	535.98	Various	972.00	...	-436.02	Total of 2 transactions
TSLA 03/26/2021 CALL \$675.00 / CUSIP: / Symbol:							
03/22/21	1.000	2,299.97	03/22/21	2,400.00	...	-100.03	Option sale to close-call <sup>25</sup>
TSLA 05/21/2021 CALL \$635.00 / CUSIP: / Symbol:							
05/13/21	1.000	469.98	05/13/21	460.00	...	9.98	Option sale to close-call <sup>25</sup>
UVXY 03/12/2021 CALL \$10.00 / CUSIP: / Symbol:							
03/05/21	2.000	71.98	03/05/21	212.00	140.02 W	0.00	Option sale to close-call <sup>25</sup>
03/08/21	2.000	63.98	03/05/21	240.02	...	-176.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>135.96</b>		<b>452.02</b>	<b>140.02 W</b>	<b>-176.04</b>	
UVXY 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/22/21	1.000	123.98	02/03/21	245.00	...	-121.02	Option sale to close-call <sup>25</sup>
UWMC 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	1.000	199.99	03/02/21	60.00	...	139.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	199.99	03/02/21	55.00	...	144.99	2 of 2 - Option sale to close-call <sup>25</sup>
03/03/21	2.000	399.98	Various	115.00	...	284.98	Total of 2 transactions
UWMC 06/18/2021 CALL \$11.00 / CUSIP: / Symbol:							
06/14/21	1.000	49.98	06/11/21	45.00	...	4.98	Option sale to close-call <sup>25</sup>
VXRT 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
02/08/21	1.000	539.97	02/03/21	515.00	...	24.97	Option sale to close-call <sup>25</sup>
VXX 03/19/2021 CALL \$14.00 / CUSIP: / Symbol:							
03/16/21	1.000	25.98	03/15/21	44.00	...	-18.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 147763395

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VXX 03/26/2021 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
	1.000	49.98	03/15/21	50.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	54.98	03/15/21	50.00	...	4.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/17/21	2.000	104.96	Various	100.00	...	4.96	Total of 2 transactions
WFC 04/16/2021 CALL \$40.00 / CUSIP: / Symbol:							
03/24/21	1.000	89.99	03/22/21	101.00	...	-11.01	Option sale to close-call <sup>25</sup>
03/24/21	1.000	89.99	03/22/21	101.00	11.01 W	0.00	Option sale to close-call <sup>25</sup>
03/25/21	1.000	99.98	03/22/21	112.01	...	-12.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>279.96</b>		<b>314.01</b>	<b>11.01 W</b>	<b>-23.04</b>	
X 01/08/2021 CALL \$22.50 / CUSIP: / Symbol:							
2 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	46.000	137.90	01/05/21	138.00	...	-0.10	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	11.99	01/05/21	12.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
01/05/21	50.000	149.89	Various	150.00	...	-0.11	Total of 2 transactions
XBI 02/18/2022 CALL \$117.00 / CUSIP: / Symbol:							
12/16/21	1.000	379.98	12/16/21	455.00	...	-75.02	Option sale to close-call <sup>25</sup>
XLF 01/22/2021 PUT \$31.00 / CUSIP: / Symbol:							
01/19/21	2.000	55.98	01/12/21	66.00	...	-10.02	Option sale to close-put <sup>25</sup>
XLF 07/02/2021 CALL \$38.00 / CUSIP: / Symbol:							
06/17/21	1.000	14.98	06/16/21	25.00	...	-10.02	Option sale to close-call <sup>25</sup>
ABEONA THERAPEUTICS INC. COMMO N STOCK / CUSIP: 00289Y107 / Symbol:							
5 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	99.000	29.41	02/16/21	302.94	...	-273.53	1 of 5 - Sale <sup>25</sup>
	50.000	14.85	02/16/21	137.98	...	-123.13	2 of 5 - Sale <sup>25</sup>
	1.000	0.30	02/16/21	3.06	...	-2.76	3 of 5 - Sale <sup>25</sup>
	50.000	14.85	02/17/21	120.43	...	-105.58	4 of 5 - Sale <sup>25</sup>
	100.000	29.71	06/01/21	166.62	...	-136.91	5 of 5 - Sale <sup>25</sup>
12/29/21	300.000	89.12	Various	731.03	...	-641.91	Total of 5 transactions
12/30/21	100.000	30.04	08/27/21	130.50	...	-100.46	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ABEONA THERAPEUTICS INC. COMMO N STOCK / CUSIP: 00289Y107 / Symbol: (cont'd)							
<b>Security total:</b>		<b>119.16</b>		<b>861.53</b>	...		<b>-742.37</b>
AEROCLEAN TECHNOLOGIES, INC. C OMMON STOCK / CUSIP: 007744105 / Symbol:							
11/30/21	10.000	195.00	11/30/21	228.78	...		-33.78 Sale <sup>25</sup>
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
3 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	50.000	324.99	04/27/21	318.00	...	6.99	1 of 3 - Sale <sup>25</sup>
	22.000	143.00	06/02/21	114.84	...	28.16	2 of 3 - Sale <sup>25</sup>
	28.000	181.99	06/02/21	146.16	...	35.83	3 of 3 - Sale <sup>25</sup>
06/09/21	100.000	649.98	Various	579.00	...	70.98	Total of 3 transactions
ALZAMEND NEURO, INC. COMMON ST OCK / CUSIP: 02262M308 / Symbol:							
2 transactions for 12/30/21. Total proceeds and cost reported to the IRS.							
	50.000	94.25	06/29/21	548.00	453.75 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	94.24	08/23/21	164.50	70.26 W	0.00	2 of 2 - Sale <sup>25</sup>
12/30/21	100.000	188.49	Various	712.50	524.01 W	0.00	Total of 2 transactions
APPLIED GENETIC TECHNOLOGIES C ORPORATION COMMON STOCK / CUSIP: 03820J100 / Symbol:							
4 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	25.000	202.56	02/02/21	106.25	...	96.31	1 of 4 - Sale <sup>25</sup>
	30.000	231.30	02/02/21	127.50	...	103.80	2 of 4 - Sale <sup>25</sup>
	45.000	357.80	02/02/21	191.25	...	166.55	3 of 4 - Sale <sup>25</sup>
	100.000	795.97	02/02/21	425.00	...	370.97	4 of 4 - Sale <sup>25</sup>
02/16/21	200.000	1,587.63	Various	850.00	...	737.63	Total of 4 transactions
3 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	50.000	97.13	02/17/21	417.39	...	-320.26	1 of 3 - Sale <sup>25</sup>
	25.000	48.57	03/23/21	140.38	...	-91.81	2 of 3 - Sale <sup>25</sup>
	25.000	48.56	03/24/21	125.00	...	-76.44	3 of 3 - Sale <sup>25</sup>
12/14/21	100.000	194.26	Various	682.77	...	-488.51	Total of 3 transactions
<b>Security total:</b>		<b>1,781.89</b>		<b>1,532.77</b>	...		<b>249.12</b>
ASLAN PHARMACEUTICALS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 04522R101 / Symbol:							
11/03/21	100.000	189.99	10/21/21	160.79	...	29.20	Sale <sup>25</sup>

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Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ATLAS CREST INVESTMENT CORP. / CUSIP: 049284102 / Symbol:							
05/13/21	50.000	493.05	03/17/21	525.00	...	-31.95	Sale <sup>25</sup>
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol:							
4 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	95.000	81.69	03/22/21	182.88	...	-101.19	1 of 4 - Sale <sup>25</sup>
	5.000	4.30	03/22/21	9.63	...	-5.33	2 of 4 - Sale <sup>25</sup>
	100.000	85.99	06/01/21	102.85	...	-16.86	3 of 4 - Sale <sup>25</sup>
	250.000	214.97	06/28/21	289.45	...	-74.48	4 of 4 - Sale <sup>25</sup>
11/17/21	450.000	386.95	Various	584.81	...	-197.86	Total of 4 transactions
MICROSECTORS FANG+ INDEX -3X I NVERSE LEVERAGED ETNS DUE JANU ARY 8, 2038 / CUSIP: 063679666 / Symbol:							
03/05/21	100.000	406.22	03/03/21	381.50	...	24.72	Sale <sup>25</sup>
BRAINSTORM CELL THERAPEUTICS I NC. COMMON STOCK / CUSIP: 10501E201 / Symbol:							
2 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	50.000	194.63	03/09/21	194.95	...	-0.32	1 of 2 - Sale <sup>25</sup>
	50.000	194.62	03/25/21	186.50	...	8.12	2 of 2 - Sale <sup>25</sup>
06/15/21	100.000	389.25	Various	381.45	...	7.80	Total of 2 transactions
CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	42.000	269.43	09/24/21	294.84	...	-25.41	1 of 2 - Sale <sup>25</sup>
	8.000	51.32	09/24/21	56.16	...	-4.84	2 of 2 - Sale <sup>25</sup>
10/15/21	50.000	320.75	Various	351.00	...	-30.25	Total of 2 transactions
CATABASIS PHARMACEUTICALS, INC . COMMON STOCK / CUSIP: 14875P206 / Symbol:							
02/17/21	100.000	345.99	02/01/21	340.99	...	5.00	Sale <sup>25</sup>
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol:							
12/30/21	100.000	144.49	02/17/21	861.00	...	-716.51	Sale <sup>25</sup>
6 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	30.000	48.30	02/22/21	245.40	...	-197.10	1 of 6 - Sale <sup>25</sup>
	30.000	48.30	02/23/21	186.45	...	-138.15	2 of 6 - Sale <sup>25</sup>
	40.000	64.39	03/25/21	201.60	...	-137.21	3 of 6 - Sale <sup>25</sup>
	10.000	16.10	03/25/21	50.40	...	-34.30	4 of 6 - Sale <sup>25</sup>

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Account 147763395

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol: (cont'd)							
	50.000	80.49	06/30/21	231.48	...	-150.99	5 of 6 - Sale <sup>25</sup>
	40.000	64.40	08/27/21	130.76	...	-66.36	6 of 6 - Sale <sup>25</sup>
12/31/21	200.000	321.98	Various	1,046.09	...	-724.11	Total of 6 transactions
	<b>Security total:</b>	<b>466.47</b>		<b>1,907.09</b>	...	<b>-1,440.62</b>	
CEMEX S.A.B. DE C.V. / CUSIP: 151290889 / Symbol:							
03/12/21	50.000	378.25	03/11/21	367.39	...	10.86	Sale <sup>25</sup>
CENTENNIAL RESOURCE DEVELOPMEN T, INC. CLASS A COMMON STOCK / CUSIP: 15136A102 / Symbol:							
02/16/21	100.000	357.17	02/01/21	272.00	...	85.17	Sale <sup>25</sup>
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	10.000	587.09	02/17/21	547.10	...	39.99	1 of 2 - Sale <sup>25</sup>
	20.000	1,174.17	02/17/21	1,043.00	...	131.17	2 of 2 - Sale <sup>25</sup>
02/18/21	30.000	1,761.26	Various	1,590.10	...	171.16	Total of 2 transactions
CHURCHILL CAPITAL CORP V / CUSIP: 17144T107 / Symbol:							
2 transactions for 05/13/21. Total proceeds and cost reported to the IRS.							
	25.000	248.35	03/18/21	255.00	...	-6.65	1 of 2 - Sale <sup>25</sup>
	25.000	248.36	03/19/21	254.50	...	-6.14	2 of 2 - Sale <sup>25</sup>
05/13/21	50.000	496.71	Various	509.50	...	-12.79	Total of 2 transactions
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
5 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	10.000	191.86	03/31/21	239.20	...	-47.34	1 of 5 - Sale <sup>25</sup>
	5.000	95.93	04/12/21	102.82	...	-6.89	2 of 5 - Sale <sup>25</sup>
	10.000	191.87	04/15/21	217.50	...	-25.63	3 of 5 - Sale <sup>25</sup>
	10.000	191.87	05/26/21	161.36	...	30.51	4 of 5 - Sale <sup>25</sup>
	25.000	479.66	06/04/21	411.77	...	67.89	5 of 5 - Sale <sup>25</sup>
06/15/21	60.000	1,151.19	Various	1,132.65	...	18.54	Total of 5 transactions

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Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol: (cont'd)							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	10.000	157.41	09/07/21	143.50	...	13.91	1 of 2 - Sale <sup>25</sup>
	30.000	472.22	10/01/21	361.64	...	110.58	2 of 2 - Sale <sup>25</sup>
10/15/21	40.000	629.63	Various	505.14	...	124.49	Total of 2 transactions
	<b>Security total:</b>	<b>1,780.82</b>		<b>1,637.79</b>	...	<b>143.03</b>	
CLEVELAND-CLIFFS INC. / CUSIP: 185899101 / Symbol:							
10/06/21	10.000	204.40	10/04/21	198.80	...	5.60	Sale <sup>25</sup>
COMSCORE, INC. COMMON STOCK / CUSIP: 20564W105 / Symbol:							
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	50.000	174.00	03/25/21	181.50	...	-7.50	1 of 2 - Sale <sup>25</sup>
	50.000	173.99	03/31/21	181.50	...	-7.51	2 of 2 - Sale <sup>25</sup>
04/23/21	100.000	347.99	Various	363.00	...	-15.01	Total of 2 transactions
CONFORMIS, INC. COMMON STOCK / CUSIP: 20717E101 / Symbol:							
2 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	200.000	234.34	02/22/21	242.00	...	-7.66	1 of 2 - Sale <sup>25</sup>
	50.000	58.58	03/23/21	55.00	...	3.58	2 of 2 - Sale <sup>25</sup>
06/15/21	250.000	292.92	Various	297.00	...	-4.08	Total of 2 transactions
COUPANG, INC. / CUSIP: 22266T109 / Symbol:							
04/13/21	10.000	462.80	03/18/21	432.35	...	30.45	Sale <sup>25</sup>
CYCLACEL PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 23254L603 / Symbol:							
03/15/21	50.000	392.75	03/10/21	350.00	...	42.75	Sale <sup>25</sup>
DARE BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 23666P101 / Symbol:							
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	100.000	204.13	02/01/21	219.70	...	-15.57	1 of 2 - Sale <sup>25</sup>
	50.000	102.06	05/10/21	67.50	...	34.56	2 of 2 - Sale <sup>25</sup>
06/30/21	150.000	306.19	Various	287.20	...	18.99	Total of 2 transactions
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	50.000	102.06	01/20/21	152.00	49.94 W	0.00	1 of 2 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DARE BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 23666P101 / Symbol: (cont'd)							
	50.000	102.06	01/27/21	120.00	17.94 W	0.00	2 of 2 - Sale <sup>25</sup>
06/30/21	100.000	204.12	Various	272.00	67.88 W	0.00	Total of 2 transactions
3 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	150.000	276.06	05/10/21	202.50	...	73.56	1 of 3 - Sale <sup>25</sup>
	50.000	92.02	06/18/21	115.44	...	-23.42	2 of 3 - Sale <sup>25</sup>
	50.000	92.02	06/18/21	83.44	...	8.58	3 of 3 - Sale <sup>25</sup>
07/09/21	250.000	460.10	Various	401.38	...	58.72	Total of 3 transactions
	<b>Security total:</b>	<b>970.41</b>		<b>960.58</b>	<b>67.88 W</b>	<b>77.71</b>	
DERMTECH, INC. COMMON STOCK / CUSIP: 24984K105 / Symbol:							
03/15/21	1.000	56.70	03/11/21	50.32	...	6.38	Sale <sup>25</sup>
12/31/21	20.000	325.12	03/29/21	903.80	...	-578.68	Sale <sup>25</sup>
	<b>Security total:</b>	<b>381.82</b>		<b>954.12</b>	...	<b>-572.30</b>	
EDITAS MEDICINE, INC. COMMON S TOCK / CUSIP: 28106W103 / Symbol:							
02/02/21	10.000	665.79	01/21/21	650.20	...	15.59	Sale <sup>25</sup>
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	126.00	02/26/21	110.47	...	15.53	1 of 2 - Sale <sup>25</sup>
	1.000	126.00	03/01/21	122.00	...	4.00	2 of 2 - Sale <sup>25</sup>
03/04/21	2.000	252.00	Various	232.47	...	19.53	Total of 2 transactions
GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol:							
6 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	8.000	8.40	02/01/21	5.36	...	3.04	1 of 6 - Sale <sup>25</sup>
	92.000	96.59	02/01/21	61.64	...	34.95	2 of 6 - Sale <sup>25</sup>
	100.000	104.98	02/01/21	67.00	...	37.98	3 of 6 - Sale <sup>25</sup>
	100.000	104.98	02/01/21	67.00	...	37.98	4 of 6 - Sale <sup>25</sup>
	100.000	104.98	02/01/21	67.00	...	37.98	5 of 6 - Sale <sup>25</sup>
	100.000	104.99	02/01/21	67.00	...	37.99	6 of 6 - Sale <sup>25</sup>
02/10/21	500.000	524.92	Various	335.00	...	189.92	Total of 6 transactions

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Robinhood Securities LLC

Account 147763395

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEPION PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 426897104 / Symbol:							
2 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	100.000	225.26	02/18/21	226.00	...	-0.74	1 of 2 - Sale <sup>25</sup>
	200.000	450.53	02/19/21	469.60	...	-19.07	2 of 2 - Sale <sup>25</sup>
06/15/21	300.000	675.79	Various	695.60	...	-19.81	Total of 2 transactions
HIGHPOINT RESOURCES CORPORATIO N / CUSIP: 43114K207 / Symbol:							
03/05/21	50.000	413.70	02/16/21	609.50	...	-195.80	Sale <sup>25</sup>
HISTOGEN INC. COMMON STOCK / CUSIP: 43358Y103 / Symbol:							
7 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	100.000	35.00	02/19/21	176.95	...	-141.95	1 of 7 - Sale <sup>25</sup>
	90.000	31.50	04/28/21	103.50	...	-72.00	2 of 7 - Sale <sup>25</sup>
	10.000	3.50	04/28/21	11.50	...	-8.00	3 of 7 - Sale <sup>25</sup>
	50.000	17.50	05/28/21	50.42	...	-32.92	4 of 7 - Sale <sup>25</sup>
	50.000	17.50	05/28/21	50.41	...	-32.91	5 of 7 - Sale <sup>25</sup>
	50.000	17.50	06/18/21	49.30	...	-31.80	6 of 7 - Sale <sup>25</sup>
	50.000	17.51	06/18/21	49.30	...	-31.79	7 of 7 - Sale <sup>25</sup>
12/27/21	400.000	140.01	Various	491.38	...	-351.37	Total of 7 transactions
IMV INC. COMMON SHARES / CUSIP: 44974L103 / Symbol:							
11/10/21	100.000	154.21	10/28/21	163.00	...	-8.79	Sale <sup>25</sup>
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	25.000	1,249.99	02/18/21	1,371.54	...	-121.55	1 of 2 - Sale <sup>25</sup>
	10.000	500.00	03/08/21	360.00	...	140.00	2 of 2 - Sale <sup>25</sup>
03/15/21	35.000	1,749.99	Various	1,731.54	...	18.45	Total of 2 transactions
LIXTE BIOTECHNOLOGY HOLDINGS, INC. COMMON STOCK / CUSIP: 539319202 / Symbol:							
05/18/21	100.000	419.99	03/18/21	393.55	...	26.44	Sale <sup>25</sup>
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
7 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	30.000	1,019.45	03/23/21	780.00	...	239.45	1 of 7 - Sale <sup>25</sup>
	10.000	339.82	03/24/21	231.20	...	108.62	2 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol: (cont'd)							
	10.000	339.82	03/25/21	216.75	...	123.07	3 of 7 - Sale <sup>25</sup>
	10.000	339.81	04/26/21	206.70	...	133.11	4 of 7 - Sale <sup>25</sup>
	10.000	339.82	06/24/21	257.30	...	82.52	5 of 7 - Sale <sup>25</sup>
	15.000	509.72	08/27/21	316.50	...	193.22	6 of 7 - Sale <sup>25</sup>
	15.000	509.72	09/30/21	384.45	...	125.27	7 of 7 - Sale <sup>25</sup>
10/28/21	100.000	3,398.16	Various	2,392.90	...	1,005.26	Total of 7 transactions
MICT, INC. COMMON STOCK / CUSIP: 55328R109 / Symbol:							
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	100.000	255.18	02/18/21	272.00	...	-16.82	1 of 2 - Sale <sup>25</sup>
	100.000	255.17	02/19/21	258.89	...	-3.72	2 of 2 - Sale <sup>25</sup>
02/25/21	200.000	510.35	Various	530.89	...	-20.54	Total of 2 transactions
MAXAR TECHNOLOGIES INC. COMMON STOCK / CUSIP: 57778K105 / Symbol:							
02/05/21	10.000	499.30	01/14/21	498.00	...	1.30	Sale <sup>25</sup>
MEREIO BIOPHARMA GROUP PLC AMER ICAN DEPOSITARY SHARES / CUSIP: 589492107 / Symbol:							
3 transactions for 12/30/21. Total proceeds and cost reported to the IRS.							
	50.000	79.00	03/08/21	199.63	...	-120.63	1 of 3 - Sale <sup>25</sup>
	25.000	39.50	03/23/21	97.75	...	-58.25	2 of 3 - Sale <sup>25</sup>
	25.000	39.49	03/24/21	92.50	...	-53.01	3 of 3 - Sale <sup>25</sup>
12/30/21	100.000	157.99	Various	389.88	...	-231.89	Total of 3 transactions
3 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	25.000	40.50	03/24/21	92.50	...	-52.00	1 of 3 - Sale <sup>25</sup>
	50.000	80.99	04/01/21	172.50	...	-91.51	2 of 3 - Sale <sup>25</sup>
	25.000	40.50	05/20/21	82.88	...	-42.38	3 of 3 - Sale <sup>25</sup>
12/31/21	100.000	161.99	Various	347.88	...	-185.89	Total of 3 transactions
	<b>Security total:</b>	<b>319.98</b>		<b>737.76</b>	...	<b>-417.78</b>	
METACRINE, INC. COMMON STOCK / CUSIP: 59101E103 / Symbol:							
11/10/21	100.000	125.12	10/28/21	161.36	...	-36.24	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	10.000	166.70	03/31/21	179.29	...	-12.59	1 of 2 - Sale <sup>25</sup>
	20.000	333.39	04/19/21	215.00	...	118.39	2 of 2 - Sale <sup>25</sup>
04/23/21	30.000	500.09	Various	394.29	...	105.80	Total of 2 transactions
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol:							
2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	25.000	66.29	06/04/20	113.17	...	-46.88	1 of 2 - Sale <sup>25</sup>
	100.000	265.17	12/07/20	263.95	...	1.22	2 of 2 - Sale <sup>25</sup>
01/12/21	125.000	331.46	Various	377.12	...	-45.66	Total of 2 transactions
MOLECULIN BIOTECH, INC. COMMON STOCK / CUSIP: 60855D200 / Symbol:							
2 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	10.000	42.21	02/18/21	49.50	...	-7.29	1 of 2 - Sale <sup>25</sup>
	50.000	211.06	03/01/21	218.00	...	-6.94	2 of 2 - Sale <sup>25</sup>
03/30/21	60.000	253.27	Various	267.50	...	-14.23	Total of 2 transactions
MUSTANG BIO, INC. COMMON STOCK / CUSIP: 62818Q104 / Symbol:							
3 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	10.000	32.11	01/22/21	49.00	...	-16.89	1 of 3 - Sale <sup>25</sup>
	40.000	128.46	02/18/21	159.60	...	-31.14	2 of 3 - Sale <sup>25</sup>
	50.000	160.57	05/27/21	165.25	...	-4.68	3 of 3 - Sale <sup>25</sup>
06/03/21	100.000	321.14	Various	373.85	...	-52.71	Total of 3 transactions
NEPTUNE WELLNESS SOLUTIONS INC . ORDINARY SHARES / CUSIP: 64079L105 / Symbol:							
4 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	100.000	36.98	02/19/21	186.50	...	-149.52	1 of 4 - Sale <sup>25</sup>
	100.000	36.98	02/22/21	181.00	...	-144.02	2 of 4 - Sale <sup>25</sup>
	300.000	110.93	03/09/21	464.76	...	-353.83	3 of 4 - Sale <sup>25</sup>
	500.000	184.89	08/27/21	356.80	...	-171.91	4 of 4 - Sale <sup>25</sup>
12/29/21	1,000.000	369.78	Various	1,189.06	...	-819.28	Total of 4 transactions

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Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NEPTUNE WELLNESS SOLUTIONS INC . ORDINARY SHARES / CUSIP: 64079L105 / Symbol: (cont'd)							
6 transactions for 12/30/21. Total proceeds and cost reported to the IRS.							
	426.000	154.58	10/28/21	222.16	...	-67.58	1 of 6 - Sale <sup>25</sup>
	174.000	63.14	10/28/21	90.76	...	-27.62	2 of 6 - Sale <sup>25</sup>
	100.000	36.29	10/28/21	52.18	...	-15.89	3 of 6 - Sale <sup>25</sup>
	100.000	36.29	10/28/21	52.16	...	-15.87	4 of 6 - Sale <sup>25</sup>
	100.000	36.29	10/28/21	52.16	...	-15.87	5 of 6 - Sale <sup>25</sup>
	100.000	36.29	10/28/21	52.16	...	-15.87	6 of 6 - Sale <sup>25</sup>
12/30/21	1,000.000	362.88	Various	521.58	...	-158.70	Total of 6 transactions
	<b>Security total:</b>	<b>732.66</b>		<b>1,710.64</b>	...	<b>-977.98</b>	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	50.000	326.25	01/27/21	271.00	...	55.25	1 of 2 - Sale <sup>25</sup>
	50.000	338.57	01/27/21	272.00	...	66.57	2 of 2 - Sale <sup>25</sup>
01/27/21	100.000	664.82	Various	543.00	...	121.82	Total of 2 transactions
5 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	79.000	384.72	01/27/21	449.47	...	-64.75	1 of 5 - Sale <sup>25</sup>
	21.000	102.27	01/27/21	119.48	...	-17.21	2 of 5 - Sale <sup>25</sup>
	50.000	243.49	02/01/21	249.50	...	-6.01	3 of 5 - Sale <sup>25</sup>
	50.000	243.49	02/02/21	230.90	...	12.59	4 of 5 - Sale <sup>25</sup>
	100.000	486.98	02/25/21	425.00	...	61.98	5 of 5 - Sale <sup>25</sup>
04/29/21	300.000	1,460.95	Various	1,474.35	...	-13.40	Total of 5 transactions
	<b>Security total:</b>	<b>2,125.77</b>		<b>2,017.35</b>	...	<b>108.42</b>	
NUZEE, INC. COMMON STOCK / CUSIP: 67073S208 / Symbol:							
3 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	48.000	287.99	03/26/21	186.24	...	101.75	1 of 3 - Sale <sup>25</sup>
	52.000	311.99	03/26/21	201.76	...	110.23	2 of 3 - Sale <sup>25</sup>
	50.000	299.99	03/30/21	173.75	...	126.24	3 of 3 - Sale <sup>25</sup>
11/11/21	150.000	899.97	Various	561.75	...	338.22	Total of 3 transactions
ONCTERNAL THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 68236P107 / Symbol:							
11/10/21	50.000	209.80	11/04/21	200.83	...	8.97	Sale <sup>25</sup>

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Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
02/09/21	50.000	216.00	02/03/21	125.00	...	91.00	Sale <sup>25</sup>
	3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	25.000	149.00	02/03/21	62.50	...	86.50	1 of 3 - Sale <sup>25</sup>
	50.000	275.00	02/03/21	125.00	...	150.00	2 of 3 - Sale <sup>25</sup>
	50.000	350.00	02/03/21	125.00	...	225.00	3 of 3 - Sale <sup>25</sup>
02/10/21	125.000	774.00	Various	312.50	...	461.50	Total of 3 transactions
02/11/21	25.000	122.00	02/03/21	62.50	...	59.50	Sale <sup>25</sup>
03/12/21	50.000	205.00	02/16/21	204.50	...	0.50	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,317.00</b>		<b>704.50</b>	...	<b>612.50</b>	
PETCO HEALTH AND WELLNESS COMP ANY, INC. CLASS A COMMON STOCK / CUSIP: 71601V105 / Symbol:							
05/03/21	10.000	235.90	01/14/21	299.90	...	-64.00	Sale <sup>25</sup>
PHIO PHARMACEUTICALS CORP. COM MON STOCK / CUSIP: 71880W303 / Symbol:							
02/19/21	150.000	582.25	02/16/21	555.00	...	27.25	Sale <sup>25</sup>
PROPHASE LABS, INC. COMMON STO CK (DE) / CUSIP: 74345W108 / Symbol:							
07/30/21	50.000	358.41	06/28/21	370.00	...	-11.59	Sale <sup>25</sup>
QUANTUM-SI INCORPORATED CLASS A COMMON STOCK / CUSIP: 74765K105 / Symbol:							
	3 transactions for 06/29/21. Total proceeds and cost reported to the IRS.						
	10.000	132.20	03/16/21	147.00	...	-14.80	1 of 3 - Sale <sup>25</sup>
	10.000	132.20	03/23/21	125.35	...	6.85	2 of 3 - Sale <sup>25</sup>
	10.000	132.20	03/29/21	119.90	...	12.30	3 of 3 - Sale <sup>25</sup>
06/29/21	30.000	396.60	Various	392.25	...	4.35	Total of 3 transactions
RIMINI STREET, INC. (DE) COMMO N STOCK / CUSIP: 76674Q107 / Symbol:							
	3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	2.000	14.80	01/19/21	13.42	...	1.38	1 of 3 - Sale <sup>25</sup>
	8.000	59.20	01/19/21	53.68	...	5.52	2 of 3 - Sale <sup>25</sup>
	40.000	296.20	01/19/21	268.40	...	27.80	3 of 3 - Sale <sup>25</sup>
01/27/21	50.000	370.20	Various	335.50	...	34.70	Total of 3 transactions
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
02/23/21	500.000	784.92	02/08/21	675.00	...	109.92	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT, INC. CLASS A COMMON STOC K / CUSIP: 77664L108 / Symbol:							
11/11/21	100.000	583.98	11/11/21	620.00	...	-36.02	Sale <sup>25</sup>
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
06/15/21	1.000	6.70	06/01/21	6.28	...	0.42	Sale <sup>25</sup>
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
<i>6 transactions for 03/15/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	239.69	02/25/21	206.55	...	33.14	1 of 6 - Sale <sup>25</sup>
	20.000	159.80	03/02/21	150.00	...	9.80	2 of 6 - Sale <sup>25</sup>
	30.000	239.39	03/02/21	225.00	...	14.39	3 of 6 - Sale <sup>25</sup>
	20.000	159.60	03/08/21	123.00	...	36.60	4 of 6 - Sale <sup>25</sup>
	30.000	239.39	03/08/21	184.50	...	54.89	5 of 6 - Sale <sup>25</sup>
	20.000	159.60	03/12/21	138.00	...	21.60	6 of 6 - Sale <sup>25</sup>
03/15/21	150.000	1,197.47	Various	1,027.05	...	170.42	Total of 6 transactions
<i>4 transactions for 03/15/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	426.01	02/16/21	599.50	173.49 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	399.49	02/17/21	723.49	324.00 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	398.99	03/02/21	699.00	300.01 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	398.99	03/08/21	607.51	208.52 W	0.00	4 of 4 - Sale <sup>25</sup>
03/15/21	200.000	1,623.48	Various	2,629.50	1,006.02 W	0.00	Total of 4 transactions
03/18/21	30.000	254.99	03/12/21	207.00	...	47.99	Sale <sup>25</sup>
03/18/21	50.000	424.99	03/12/21	553.52	128.53 W	0.00	Sale <sup>25</sup>
<i>4 transactions for 04/07/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	299.99	03/29/21	416.54	...	-116.55	1 of 4 - Sale <sup>25</sup>
	50.000	299.99	03/29/21	283.01	...	16.98	2 of 4 - Sale <sup>25</sup>
	50.000	299.99	03/29/21	279.00	...	20.99	3 of 4 - Sale <sup>25</sup>
	100.000	599.99	03/30/21	465.00	...	134.99	4 of 4 - Sale <sup>25</sup>
04/07/21	250.000	1,499.96	Various	1,443.55	...	56.41	Total of 4 transactions
<i>2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	300.99	03/25/21	412.53	111.54 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	300.99	03/29/21	305.00	4.01 W	0.00	2 of 2 - Sale <sup>25</sup>
04/07/21	100.000	601.98	Various	717.53	115.55 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>5,602.87</b>		<b>6,578.15</b>	<b>1,250.10 W</b>	<b>274.82</b>	

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Robinhood Securities LLC

Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol:							
12/17/21	50.000	163.25	11/17/21	285.00	...	-121.75	Sale <sup>25</sup>
SPIRE GLOBAL, INC. / CUSIP: 848560108 / Symbol:							
10/06/21	100.000	604.98	10/01/21	720.00	...	-115.02	Sale <sup>25</sup>
TMC THE METALS COMPANY INC. CO MMON STOCK / CUSIP: 87261Y106 / Symbol:							
11/10/21	50.000	159.00	11/04/21	168.00	...	-9.00	Sale <sup>25</sup>
TELLURIAN INC. / CUSIP: 87968A104 / Symbol:							
02/04/21	100.000	357.47	01/28/21	284.99	...	72.48	Sale <sup>25</sup>
02/04/21	50.000	178.74	01/25/21	190.00	11.26 W	0.00	Sale <sup>25</sup>
4 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	50.000	198.49	02/18/21	183.71	...	14.78	1 of 4 - Sale <sup>25</sup>
	50.000	198.49	02/18/21	172.44	...	26.05	2 of 4 - Sale <sup>25</sup>
	50.000	198.50	03/15/21	144.00	...	54.50	3 of 4 - Sale <sup>25</sup>
	50.000	198.49	03/18/21	127.48	...	71.01	4 of 4 - Sale <sup>25</sup>
05/28/21	200.000	793.97	Various	627.63	...	166.34	Total of 4 transactions
	<b>Security total:</b>	<b>1,330.18</b>		<b>1,102.62</b>	<b>11.26 W</b>	<b>238.82</b>	
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314606 / Symbol:							
2 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	50.000	57.55	02/18/21	220.50	...	-162.95	1 of 2 - Sale <sup>25</sup>
	50.000	57.55	02/18/21	210.00	...	-152.45	2 of 2 - Sale <sup>25</sup>
12/14/21	100.000	115.10	Various	430.50	...	-315.40	Total of 2 transactions
3 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	50.000	55.09	03/10/21	152.48	...	-97.39	1 of 3 - Sale <sup>25</sup>
	50.000	55.09	05/27/21	121.74	...	-66.65	2 of 3 - Sale <sup>25</sup>
	100.000	110.18	06/28/21	274.52	...	-164.34	3 of 3 - Sale <sup>25</sup>
12/27/21	200.000	220.36	Various	548.74	...	-328.38	Total of 3 transactions
	<b>Security total:</b>	<b>335.46</b>		<b>979.24</b>	<b>...</b>	<b>-643.78</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol:							
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	50.000	160.50	01/21/21	153.00	...	7.50	1 of 2 - Sale <sup>25</sup>
	50.000	160.50	01/28/21	144.00	...	16.50	2 of 2 - Sale <sup>25</sup>
02/01/21	100.000	321.00	Various	297.00	...	24.00	Total of 2 transactions
UNITY BIOTECHNOLOGY, INC. COMM ON STOCK / CUSIP: 91381U101 / Symbol:							
3 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	1.000	4.68	06/01/21	4.54	...	0.14	1 of 3 - Sale <sup>25</sup>
	50.000	234.00	06/07/21	252.50	...	-18.50	2 of 3 - Sale <sup>25</sup>
	50.000	233.99	06/17/21	212.35	...	21.64	3 of 3 - Sale <sup>25</sup>
06/28/21	101.000	472.67	Various	469.39	...	3.28	Total of 3 transactions
VELODYNE LIDAR, INC. COMMON STOCK / CUSIP: 92259F101 / Symbol:							
6 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	20.000	100.40	02/19/21	431.64	...	-331.24	1 of 6 - Sale <sup>25</sup>
	20.000	100.40	02/24/21	328.60	...	-228.20	2 of 6 - Sale <sup>25</sup>
	20.000	100.39	03/08/21	246.00	...	-145.61	3 of 6 - Sale <sup>25</sup>
	10.000	50.20	04/12/21	125.20	...	-75.00	4 of 6 - Sale <sup>25</sup>
	20.000	100.39	05/25/21	203.60	...	-103.21	5 of 6 - Sale <sup>25</sup>
	10.000	50.20	06/09/21	116.89	...	-66.69	6 of 6 - Sale <sup>25</sup>
12/29/21	100.000	501.98	Various	1,451.93	...	-949.95	Total of 6 transactions
VERB TECHNOLOGY COMPANY, INC. COMMON STOCK / CUSIP: 92337U104 / Symbol:							
02/04/21	100.000	200.10	02/02/21	171.00	...	29.10	Sale <sup>25</sup>
VIKING THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92686J106 / Symbol:							
06/15/21	1.000	5.93	06/01/21	5.26	...	0.67	Sale <sup>25</sup>
08/30/21	1.000	6.53	06/29/21	6.52	...	0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>12.46</b>		<b>11.78</b>	...	<b>0.68</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VINCO VENTURES, INC. COMMON ST OCK / CUSIP: 927330100 / Symbol:							
2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	100.000	369.98	03/24/21	368.89	...	1.09	1 of 2 - Sale <sup>25</sup>
	100.000	369.99	03/24/21	365.00	...	4.99	2 of 2 - Sale <sup>25</sup>
03/26/21	200.000	739.97	Various	733.89	...	6.08	Total of 2 transactions
WESTWATER RESOURCES, INC. / CUSIP: 961684206 / Symbol:							
02/25/21	35.000	230.68	02/24/21	230.13	...	0.55	Sale <sup>25</sup>
WIMI HOLOGRAM CLOUD INC. AMERI CAN DEPOSITARY SHARE / CUSIP: 97264L100 / Symbol:							
04/06/21	30.000	191.10	03/31/21	202.05	...	-10.95	Sale <sup>25</sup>
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	10.000	167.95	03/30/21	128.70	...	39.25	1 of 2 - Sale <sup>25</sup>
	10.000	167.95	04/14/21	129.38	...	38.57	2 of 2 - Sale <sup>25</sup>
06/08/21	20.000	335.90	Various	258.08	...	77.82	Total of 2 transactions
06/09/21	10.000	172.22	05/11/21	81.90	...	90.32	Sale <sup>25</sup>
2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	10.000	154.80	05/11/21	81.90	...	72.90	1 of 2 - Sale <sup>25</sup>
	20.000	309.60	06/03/21	310.00	...	-0.40	2 of 2 - Sale <sup>25</sup>
06/14/21	30.000	464.40	Various	391.90	...	72.50	Total of 2 transactions
	<b>Security total:</b>	<b>972.52</b>		<b>731.88</b>	...	<b>240.64</b>	
XUNLEI LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 98419E108 / Symbol:							
01/26/21	100.000	451.99	01/15/21	374.89	...	77.10	Sale <sup>25</sup>
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
16 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	100.000	31.79	03/15/21	245.00	...	-213.21	1 of 16 - Sale <sup>25</sup>
	59.000	18.76	03/15/21	144.55	...	-125.79	2 of 16 - Sale <sup>25</sup>
	50.000	15.90	03/15/21	122.50	...	-106.60	3 of 16 - Sale <sup>25</sup>
	41.000	13.03	03/15/21	100.45	...	-87.42	4 of 16 - Sale <sup>25</sup>
	100.000	31.79	04/09/21	124.50	...	-92.71	5 of 16 - Sale <sup>25</sup>
	209.000	66.44	04/13/21	209.00	...	-142.56	6 of 16 - Sale <sup>25</sup>

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Account 147763395

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	41.000	13.03	04/13/21	41.00	...	-27.97	7 of 16 - Sale <sup>25</sup>
	150.000	47.68	06/14/21	143.70	...	-96.02	8 of 16 - Sale <sup>25</sup>
	200.000	63.58	06/18/21	178.10	...	-114.52	9 of 16 - Sale <sup>25</sup>
	400.000	127.15	11/04/21	230.00	...	-102.85	10 of 16 - Sale <sup>25</sup>
	200.000	63.57	11/04/21	115.00	...	-51.43	11 of 16 - Sale <sup>25</sup>
	152.000	48.32	11/04/21	87.40	...	-39.08	12 of 16 - Sale <sup>25</sup>
	109.000	34.65	11/04/21	62.67	...	-28.02	13 of 16 - Sale <sup>25</sup>
	91.000	28.93	11/04/21	52.33	...	-23.40	14 of 16 - Sale <sup>25</sup>
	48.000	15.26	11/04/21	27.60	...	-12.34	15 of 16 - Sale <sup>25</sup>
	50.000	15.89	11/12/21	25.50	...	-9.61	16 of 16 - Sale <sup>25</sup>
12/29/21	2,000.000	635.77	Various	1,909.30	...	-1,273.53	Total of 16 transactions
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
03/12/21	50.000	524.99	02/17/21	523.89	...	1.10	Sale <sup>25</sup>
	7 transactions for 12/17/21. Total proceeds and cost reported to the IRS.						
	100.000	120.46	03/24/21	870.00	...	-749.54	1 of 7 - Sale <sup>25</sup>
	100.000	120.46	04/07/21	555.00	...	-434.54	2 of 7 - Sale <sup>25</sup>
	100.000	120.46	04/09/21	520.82	...	-400.36	3 of 7 - Sale <sup>25</sup>
	100.000	120.45	04/09/21	511.88	...	-391.43	4 of 7 - Sale <sup>25</sup>
	50.000	60.23	05/18/21	153.75	...	-93.52	5 of 7 - Sale <sup>25</sup>
	50.000	60.23	05/27/21	150.25	...	-90.02	6 of 7 - Sale <sup>25</sup>
	100.000	120.45	10/01/21	197.00	...	-76.55	7 of 7 - Sale <sup>25</sup>
12/17/21	600.000	722.74	Various	2,958.70	...	-2,235.96	Total of 7 transactions
	<b>Security total:</b>	<b>1,247.73</b>		<b>3,482.59</b>	...	<b>-2,234.86</b>	
RECON TECHNOLOGY, LTD. CLASS A ORDINARY SHARES / CUSIP: G7415M124 / Symbol:							
06/15/21	30.000	142.20	06/11/21	192.99	...	-50.79	Sale <sup>25</sup>
TRITERRAS INC CLASS A ORDINARY SHARES / CUSIP: G9103H102 / Symbol:							
01/27/21	50.000	445.00	01/21/21	377.50	...	67.50	Sale <sup>25</sup>

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Account 147763395

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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VECTOR ACQUISITION CORPORATION CLASS A COMMON STOCK / CUSIP: G9442R126 / Symbol:							
3 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	10.000	102.72	03/03/21	138.30	...	-35.58	1 of 3 - Sale <sup>25</sup>
	10.000	102.72	04/07/21	110.50	...	-7.78	2 of 3 - Sale <sup>25</sup>
	10.000	102.72	06/02/21	100.00	...	2.72	3 of 3 - Sale <sup>25</sup>
06/15/21	30.000	308.16	Various	348.80	...	-40.64	Total of 3 transactions
GILAT SATELLITE NETWORKS LTD. ORDINARY SHARES / CUSIP: M51474118 / Symbol:							
01/26/21	50.000	649.98	01/19/21	480.00	...	169.98	Sale <sup>25</sup>
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol:							
5 transactions for 12/30/21. Total proceeds and cost reported to the IRS.							
	2.000	30.26	04/05/21	98.23	...	-67.97	1 of 5 - Sale <sup>25</sup>
	5.000	75.65	04/06/21	225.95	...	-150.30	2 of 5 - Sale <sup>25</sup>
	5.000	75.65	04/09/21	201.59	...	-125.94	3 of 5 - Sale <sup>25</sup>
	3.000	45.39	04/12/21	109.85	...	-64.46	4 of 5 - Sale <sup>25</sup>
	5.000	75.65	04/14/21	180.00	...	-104.35	5 of 5 - Sale <sup>25</sup>
12/30/21	20.000	302.60	Various	815.62	...	-513.02	Total of 5 transactions
<b>Totals :</b>		<b>80,714.07</b>		<b>91,462.63</b>	<b>2,454.38 W</b>	<b>-8,294.18</b>	

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Robinhood Securities LLC

Account 147763395

**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/08/21	-5.00	Margin interest paid	
		02/08/21	-5.00	Margin interest paid	
		03/09/21	-0.04	Margin interest paid	
		03/09/21	-5.00	Margin interest paid	
		04/08/21	-5.00	Margin interest paid	
		05/10/21	-5.00	Margin interest paid	
		06/07/21	-5.00	Margin interest paid	
		07/07/21	-5.00	Margin interest paid	
		08/06/21	-5.00	Margin interest paid	
			-40.04	Total Margin interest paid	
Margin charge		09/07/21	-5.00	Margin interest paid	
		10/05/21	-0.49	Margin interest paid	
		10/05/21	-5.00	Margin interest paid	
		11/04/21	-2.76	Margin interest paid	
		11/04/21	-5.00	Margin interest paid	
		12/06/21	-5.00	Margin interest paid	
			-23.25	Total Margin interest paid	
			<b>-63.29</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 147763395

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-70.00	0.00	Exchange	
HIGHCAPE CAPITAL ACQUISITION C ORP. CLASS A COMMON STOCK	42984L105	06/11/21	-30.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	70.00	0.00	Exchange	
QUANTUM-SI INCORPORATED CLASS A COMMON STOCK	74765K105	06/11/21	30.00	0.00	Exchange	
AHT 08/20/2021 CALL \$5.00	AHT 08/20/21 C 5.000	07/19/21	-1.00	0.00	Merger	
AHT1 08/20/2021 CALL \$5.00	AHT1 08/20/21 C 5.000	07/19/21	1.00	0.00	Merger	



25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.