



FIDELITY BROKERAGE SERVICES LLC
 P.O. Box 28019
 Albuquerque, NM 87125-8019

2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
 Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

eDelivered

RAJANIKANTH SRINIVASA
 2800 HISTORIC CIR
 MORRISVILLE NC 27560-8178

Payer's Name and Address:
 NATIONAL FINANCIAL SERVICES LLC
 499 WASHINGTON BLVD
 JERSEY CITY, NJ 07310

Form 1099-DIV * **2021 Dividends and Distributions** Copy B for Recipient
(OMB No. 1545-0110)

1a Total Ordinary Dividends	26.36	7 Foreign Tax Paid.....	0.55
1b Qualified Dividends	23.39	8 Foreign Country or U.S. Possession.....	Various
2a Total Capital Gain Distributions	9.35	9 Cash Liquidation Distributions.....	0.00
2b Unrecap. Sec 1250 Gain.....	0.00	10 Non-Cash Liquidation Distributions.....	0.00
2c Section 1202 Gain.....	0.00	11 Exempt Interest Dividends	0.00
2d Collectibles (28%) Gain.....	0.00	12 Specified Private Activity Bond Interest Dividends.....	0.00
3 Nondividend Distributions	3.04	13 State	
4 Federal Income Tax Withheld	0.00	14 State Identification No.	
5 Section 199A Dividends	0.00	15 State Tax Withheld.....	0.00
6 Investment Expenses.....	0.00		

Form 1099-INT * **2021 Interest Income** Copy B for Recipient
(OMB No. 1545-0112)

1 Interest Income	0.00	10 Market Discount	0.00
2 Early Withdrawal Penalty	0.00	11 Bond Premium	0.00
3 Interest on U.S. Savings Bonds and Treas. Obligations	0.00	12 Bond Premium on U.S. Treasury Obligations	0.00
4 Federal Income Tax Withheld	0.00	13 Bond Premium on Tax-Exempt Bond	0.00
5 Investment Expenses	0.00	14 Tax-Exempt Bond CUSIP no.	
6 Foreign Tax Paid	0.00	15 State	
7 Foreign Country or U.S. Possession		16 State Identification No	
8 Tax-Exempt Interest	0.00	17 State Tax Withheld	0.00
9 Specified Private Activity Bond Interest	0.00		

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**



2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

Form 1099-MISC *

2021 Miscellaneous Information

Copy B for Recipient
(OMB No. 1545-0115)

2 Royalties	0.00	15 State Tax Withheld	0.00
3 Other Income	0.00	16 State/Payer's State No.	
4 Federal Income Tax Withheld	0.00	17 State Income	0.00
8 Substitute Payments in Lieu of Dividends or Interest	0.00		

Summary of 2021 Proceeds From Broker and Barter Exchange Transactions

1099-B Section	Total Proceeds	Total Cost Basis	Total Market Discount	Total Wash Sales	Realized Gain/Loss	Federal Income Tax Withheld
Short-term transactions for which basis is <u>reported</u> to the IRS	43,549.03	38,337.20	0.00	442.85	5,211.83	0.00
Short-term transactions for which basis is <u>not reported</u> to the IRS	178.91	155.79	0.00	0.00	23.12	0.00
Long-term transactions for which basis is <u>reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Long-term transactions for which basis is <u>not reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Transactions for which basis is not reported to the IRS and Term is Unknown	0.00	0.00	0.00	0.00	0.00	0.00
	43,727.94	38,492.99	0.00	442.85	5,234.95	0.00

1099-B amounts are reported individually to the IRS. Refer to the Form 1099-B sections in this statement, if applicable.

Summary of 2021 Original Issue Discount

Total Original Issue Discount	0.00	Total Original Issue Discount - REMIC	0.00
Total Original Issue Discount on U.S. Treasury Obligations	0.00		
Total Original Issue Discount on Tax-Exempt Obligations	0.00		

Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Form 1099-OID pages in this statement, if applicable.

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**





2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666

Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I

Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
ALKALINE WTR CO INC COM NEW, WTER, 01643A207										
Sale	110.000	02/10/21	07/13/21	174.12	168.30			5.82		
AMC ENTERTAINMENT HOLDINGS INC, AMC, 00165C104										
Sale	12.000	01/28/21	01/29/21	167.12	102.12			65.00		
Sale	10.000	01/28/21	01/29/21	139.26	104.40			34.86		
Sale	10.000	01/29/21	01/29/21	139.26	141.18		1.92	-1.92		
Sale	15.000	01/29/21	01/29/21	208.90	223.65		14.75	-14.75		
Sale	10.000	01/29/21	01/29/21	139.27	155.58		8.16	-16.31		
Sale	10.000	02/01/21	02/01/21	139.90	142.20			-2.30		
Sale	20.000	02/01/21	02/01/21	260.83	297.91			-37.08		
Sale	1.000	06/09/21	06/22/21	52.73	48.96			3.77		
Sale	1.000	06/09/21	06/22/21	52.73	48.91			3.82		
Sale	2.000	06/11/21	06/22/21	105.46	94.42			11.04		
Sale	2.000	06/11/21	06/22/21	105.46	95.07			10.39		
Sale	1.000	06/14/21	06/22/21	52.72	57.99			-5.27		
Subtotals				1,563.64	1,512.39		24.83			
AMERICAN BATTERY METALS C COM, ABML, 02451V101										
Sale	19.000	01/28/21	01/28/21	54.24	62.51		8.27	-8.27		
APPLE INC COM USD0.00001, AAPL, 037833100										
Sale	1.000	12/22/20	02/01/21	134.43	131.61			2.82		
Sale	2.000	12/22/20	02/12/21	269.28	261.26			8.02		
Sale	2.000	01/04/21	02/12/21	269.21	257.84			11.37		

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**



2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
APPLE INC COM USD0.00001, AAPL, 037833100										
Sale	1.000	01/05/21	02/12/21	134.60	130.06			4.54		
Subtotals				807.52	780.77					

ARK ETF TR AUTNMUS TECHN LGY, ARKQ, 00214Q203										
Sale	2.000	02/17/21	11/04/21	179.06	193.82			-14.76		
Sale	1.000	02/23/21	11/04/21	89.53	85.80			3.73		
Sale	1.000	02/23/21	11/04/21	89.53	85.47			4.06		
Sale	2.000	03/04/21	11/04/21	179.06	163.28			15.78		
Sale	1.000	03/15/21	11/04/21	89.52	87.39			2.13		
Subtotals				626.70	615.76					

ARK ETF TR INNOVATION ETF, ARKK, 00214Q104										
Sale	1.000	02/25/21	05/03/21	120.31	129.44			-9.13		
Sale	3.000	03/04/21	10/27/21	359.97	354.09			5.88		
Sale	1.000	03/04/21	10/27/21	119.99	121.36			-1.37		
Sale	1.000	03/04/21	10/27/21	119.99	115.13			4.86		
Sale	1.000	03/24/21	10/27/21	119.99	114.72			5.27		
Sale	1.000	03/29/21	10/27/21	119.98	110.01			9.97		
Subtotals				960.23	944.75					

ARK ETF TR 3D PRINTING ETF, PRNT, 00214Q500										
Sale	3.000	02/24/21	10/27/21	110.99	125.91			-14.92		

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**





2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
 Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
 Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
ARTIFICIAL INTELLIGENCE TECHNO COM NEW, AITX, 04316D201										
Sale	100.000	01/19/21	01/21/21	8.24	5.39			2.85		
Sale	1,000.000	01/19/21	01/21/21	82.43	74.00			8.43		
Sale	10,000.000	01/19/21	01/21/21	824.25	744.00			80.25		
Sale	1,000.000	01/19/21	01/21/21	82.43	70.00			12.43		
Sale	10,000.000	01/19/21	01/21/21	824.25	715.00			109.25		
Sale	1,000.000	01/19/21	01/21/21	82.43	67.60			14.83		
Sale	10,000.000	01/19/21	01/21/21	824.25	649.00			175.25		
Sale	100.000	01/19/21	01/21/21	8.24	6.41			1.83		
Sale	1,000.000	01/19/21	01/21/21	82.43	62.05			20.38		
Sale	100.000	01/19/21	01/21/21	8.24	6.21			2.03		
Sale	1,000.000	01/19/21	01/21/21	82.43	66.00			16.43		
Sale	2,000.000	01/19/21	01/21/21	164.85	121.20			43.65		
Sale	2,700.000	01/19/21	01/21/21	222.53	148.50			74.03		
Subtotals				3,297.00	2,735.36					
ASIA BROADBAND INC, AABB, 04518L100										
Sale	300.000	02/23/21	03/17/21	119.54	138.00		18.46	-18.46		
Sale	300.000	02/25/21	03/17/21	119.54	61.47			58.07		
Sale	190.000	03/05/21	03/17/21	75.71	30.40			45.31		
Sale	10.000	02/23/21	04/07/21	2.80	2.22			0.58		
Sale	290.000	02/23/21	04/07/21	81.34	62.21			19.13		
Sale	100.000	03/08/21	04/07/21	28.05	15.30			12.75		
Subtotals				426.98	309.60		18.46			

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**



2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
BANDWIDTH INC COM CLA, BAND, 05988J103										
Sale	15.000	01/07/21	01/11/21	2,312.27	2,244.52			67.75		
Sale	3.000	01/07/21	01/12/21	463.13	448.90			14.23		
Sale	2.000	01/08/21	01/12/21	308.75	307.67			1.08		
Subtotals				3,084.15	3,001.09					
BEYOND MEAT INC COM, BYND, 08862E109										
Sale	12.000	01/08/21	01/11/21	1,404.32	1,419.00			-14.68		
BLACKBERRY LTD COM ISIN #CA09228F1036 SE, BB, 09228F103										
Sale	1.000	01/26/21	01/27/21	23.52	18.02			5.50		
Sale	2.000	01/26/21	01/27/21	47.03	35.58			11.45		
Subtotals				70.55	53.60					
CHECKPOINT THERAPEUTICS INC COM, CKPT, 162828107										
Sale	50.000	09/27/21	11/18/21	177.49	175.75			1.74		
CINEDIGM CORP COM NEW, CIDM, 172406209										
Sale	100.000	02/12/21	09/10/21	216.49	203.00			13.49		
CLENE INC WTS EXP 11/07/2025, CLNNW, 185634110										
Sale	120.000	02/17/21	07/13/21	293.99	216.00			77.99		
Sale	55.000	02/17/21	07/13/21	134.75	99.00			35.75		
Subtotals				428.74	315.00					

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**





2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
 Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
 Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
CLOVER HEALTH INVESTMENTS CORP COMCL A, CLOV, 18914F103										
Sale	10.000	02/18/21	06/08/21	199.55	111.10			88.45		
Sale	19.000	04/20/21	06/08/21	379.14	178.60			200.54		
Sale	1.000	04/20/21	09/27/21	7.98	9.40			-1.42		
Subtotals				586.67	299.10					
COOL TECHNOLOGIES INC COM, WARM, 21639Y100										
Sale	1,000.000	02/24/21	03/16/21	76.64	37.68			38.96		
Sale	1,000.000	02/24/21	03/16/21	77.75	37.68			40.07		
Sale	3,000.000	02/24/21	03/16/21	229.28	113.02			116.26		
Sale	2,000.000	02/24/21	03/16/21	153.84	74.00			79.84		
Sale	1,000.000	02/24/21	07/13/21	71.14	37.00			34.14		
Subtotals				608.65	299.38					
COUPANG INC CL A, CPNG, 22266T109										
Sale	4.000	03/11/21	03/11/21	204.03	217.26		3.31	-13.23		
DALRADA FINANCIAL CORP, DFCO, 235496403										
Sale	300.000	02/23/21	11/03/21	129.14	135.00			-5.86		
DENISON MINES CORPORATION COM NPV ISIN #, DNN, 248356107										
Sale	50.000	02/16/21	09/10/21	78.50	71.00			7.50		
Sale	200.000	02/17/21	09/10/21	313.99	298.00			15.99		
Sale	100.000	02/17/21	09/10/21	157.00	149.99			7.01		
Subtotals				549.49	518.99					

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**



2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
DIREXION SHS ETF TR DLY CNMSR BULL, WANT, 25459Y801										
Sale	1.000	02/16/21	05/11/21	59.67	59.01			0.66		
Sale	1.000	02/16/21	07/29/21	71.82	59.01			12.81		
Subtotals				131.49	118.02					
EDITAS MEDICINE INC COM, EDIT, 28106W103										
Sale	5.000	02/08/21	08/12/21	334.99	320.25			14.74		
ELCORA ADVANCED MATERIALS CORP COM NPV I, ECORF, 284445103										
Sale	1,000.000	02/12/21	10/26/21	105.00	119.20			-14.20		
Sale	100.000	02/16/21	10/26/21	10.50	14.10			-3.60		
Sale	1,000.000	04/20/21	10/26/21	104.99	68.00			36.99		
Subtotals				220.49	201.30					
FIRST TR EXCHANGE-TRADED FD NAS CLNEDG G, QCLN, 33733E500										
Sale	2.000	02/05/21	11/04/21	162.80	168.36			-5.56		
Sale	1.000	02/16/21	11/04/21	81.40	88.10			-6.70		
Sale	1.000	03/04/21	11/04/21	81.39	66.84			14.55		
Subtotals				325.59	323.30					
GREENWICH LIFESCIENCES INC COM, GLSI, 396879108										
Sale	13.000	12/22/20	01/15/21	518.55	496.60			21.95		
Sale	7.000	12/22/20	01/15/21	279.99	267.40			12.59		
Sale	1.000	01/15/21	01/15/21	39.63	41.29			-1.66		
Subtotals				838.17	805.29					

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**





2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
 Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
 Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
GULFPORT ENERGY CORPCOM USD0.01, 402635304										
Sale	100.000	02/04/21	03/26/21	5.34	8.70			-3.36		
Sale	2,000.000	02/05/21	03/26/21	106.80	157.20			-50.40		
Sale	2,000.000	02/05/21	03/26/21	106.80	174.60			-67.80		
Sale	1,000.000	02/05/21	03/26/21	53.40	85.00			-31.60		
Sale	1,000.000	02/08/21	03/26/21	53.40	85.00			-31.60		
Sale	900.000	02/09/21	03/26/21	48.05	72.90			-24.85		
Sale	1,100.000	02/09/21	04/01/21	51.15	89.10			-37.95		
Sale	100.000	02/10/21	04/01/21	4.65	8.50			-3.85		
Sale	1,000.000	02/10/21	04/01/21	46.50	87.00			-40.50		
Sale	1,000.000	02/10/21	04/01/21	46.50	86.00			-39.50		
Sale	1,000.000	02/11/21	04/01/21	46.50	90.00			-43.50		
Sale	100.000	02/16/21	04/01/21	4.64	9.16			-4.52		
Subtotals				573.73	953.16					
HANCOCK JAFFE LABORATORIES INC COMNEW, 41015N304										
Sale	15.000	03/08/21	08/24/21	112.49	94.50			17.99		
Sale	2.000	08/18/21	08/24/21	15.00	14.80			0.20		
Subtotals				127.49	109.30					
HIVE BLOCKCHAIN TECHNOLOGIES LTD COMNPV, HIVE, 43366H100										
Sale	100.000	02/24/21	11/04/21	449.99	441.50			8.49		
HYLIION HOLDINGS CORP COMMON STOCK, HYLN, 449109107										
Sale	20.000	01/06/21	01/15/21	340.19	328.42			11.77		

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**



2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
ILUSTRATO PICTURES INTERNATIONAL INC, ILUS, 452372105										
Sale	150.000	09/17/21	09/28/21	64.35	37.80			26.55		
Sale	100.000	09/20/21	09/28/21	42.90	38.05			4.85		
Sale	100.000	09/20/21	09/28/21	42.90	40.07			2.83		
Sale	50.000	09/24/21	09/28/21	21.44	20.50			0.94		
Sale	50.000	09/24/21	09/28/21	20.90	20.50			0.40		
Sale	100.000	09/24/21	09/28/21	41.80	41.51			0.29		
Sale	50.000	09/27/21	09/28/21	20.90	21.15			-0.25		
Sale	30.000	09/27/21	09/28/21	12.54	13.50			-0.96		
Sale	100.000	09/27/21	09/28/21	41.79	44.51			-2.72		
Subtotals				309.52	277.59					
INSPIREMD INCB EXP 031422 WTS EXP 03/14/, NSPRZ, 45779A135										
Sale	10.000	03/01/21	07/02/21	1.88	0.60			1.28		
Sale	490.000	03/01/21	07/02/21	91.62	29.40			62.22		
Sale	210.000	03/01/21	07/13/21	30.55	12.60			17.95		
Sale	145.000	03/01/21	08/16/21	20.26	8.70			11.56		
Sale	75.000	03/01/21	09/10/21	10.19	4.50			5.69		
Subtotals				154.50	55.80					
INSU ACQUISITION CORP II COM CL A, 457821106										
Sale	100.000	02/01/21	02/09/21	1,840.95	1,689.00			151.95		
Sale	2.000	02/01/21	02/09/21	36.86	34.50			2.36		
Sale	5.000	02/01/21	02/09/21	92.15	86.45			5.70		

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**





2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
 Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
 Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
INSU ACQUISITION CORP II COM CL A, 457821106										
Sale	27.000	02/01/21	02/09/21	497.60	464.00			33.60		
Sale	20.000	02/01/21	02/09/21	368.59	349.10			19.49		
Sale	3.000	02/01/21	02/09/21	55.29	51.30			3.99		
Sale	5.000	02/01/21	02/09/21	92.15	84.25			7.90		
Sale	4.000	02/01/21	02/09/21	73.72	67.68			6.04		
Sale	9.000	02/03/21	02/09/21	165.85	155.43			10.42		
Subtotals				3,223.16	2,981.71					
ISHARES TR GL CLEAN ENE ETF, ICLN, 464288224										
Sale	10.000	12/14/20	01/05/21	293.64	240.20			53.44		
Sale	10.000	12/16/20	01/05/21	293.64	248.90			44.74		
Subtotals				587.28	489.10					
LIFE CLIPS INC COM, LCLP, 53185D107										
Sale	5,500.000	02/12/21	07/14/21	185.62	35.75			149.87		
LUCID GROUP INC COM, LCID, 549498103										
Sale	2.000	09/27/21	11/10/21	78.88	52.53			26.35		
Sale	1.000	09/29/21	11/10/21	39.44	26.98			12.46		
Sale	1.000	10/27/21	11/10/21	39.44	27.07			12.37		
Sale	4.000	10/28/21	11/10/21	157.76	132.82			24.94		
Sale	2.000	10/28/21	11/10/21	78.88	66.14			12.74		
Sale	4.000	10/28/21	11/10/21	157.76	153.32			4.44		
Sale	6.000	10/29/21	11/10/21	236.64	217.68			18.96		

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**



2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
LUCID GROUP INC COM, LCID, 549498103										
Sale	2.000	11/04/21	11/10/21	78.88	74.39			4.49		
Sale	2.000	11/04/21	11/10/21	78.88	74.49			4.39		
Sale	2.000	11/05/21	11/10/21	78.88	77.15			1.73		
Sale	1.000	11/05/21	11/10/21	39.44	38.83			0.61		
Sale	2.000	11/08/21	11/10/21	78.87	91.00			-12.13		
Subtotals				1,143.75	1,032.40					
MARAVAI LIFESCIECESHLDGS INC COM CL A, MRVI, 56600D107										
Sale	15.000	01/06/21	01/12/21	424.49	389.98			34.51		
NET 1 UEPS TECHNOLOGIES INC, UEPS, 64107N206										
Sale	20.000	12/22/20	01/05/21	93.82	89.40			4.42		
NOKIA OYJ ADR EACH REPR 1 ORD NPV, NOK, 654902204										
Sale	50.000	02/10/21	07/13/21	289.64	209.00			80.64		
Sale	25.000	02/10/21	07/30/21	151.74	104.50			47.24		
Sale	25.000	02/10/21	08/11/21	149.75	104.50			45.25		
Sale	41.000	02/10/21	08/11/21	245.58	170.15			75.43		
Sale	9.000	02/10/21	08/11/21	54.00	37.35			16.65		
Sale	75.000	02/11/21	08/11/21	449.99	308.10			141.89		
Sale	20.000	02/11/21	08/17/21	118.47	82.16			36.31		
Sale	5.000	02/11/21	10/06/21	27.77	20.54			7.23		
Sale	50.000	04/29/21	10/06/21	277.75	233.81			43.94		
Sale	20.000	04/29/21	10/06/21	111.10	91.10			20.00		

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**





2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666

Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
NOKIA OYJ ADR EACH REPR 1 ORD NPV, NOK, 654902204										
Sale	25.000	07/14/21	10/06/21	138.87	146.70			-7.83		
Sale	5.000	07/14/21	12/27/21	31.62	29.34			2.28		
Subtotals				2,046.28	1,537.25					
ONCONOVA THERAPEUTICS INC COMNEW, ONTX, 68232V801										
Cash In Lieu	0.007	02/11/21	05/21/21	0.07	0.12			-0.05		
Cash In Lieu	0.726	02/18/21	05/21/21	6.98	16.99			-10.01		
Subtotals				7.05	17.11					
OZOP ENERGY SOLUTIONS INC COM, OZSC, 692732209										
Sale	1,000.000	02/09/21	02/23/21	250.00	460.00		210.00	-210.00		
Sale	1,000.000	02/09/21	02/23/21	250.00	378.40		128.40	-128.40		
Sale	100.000	02/09/21	02/23/21	25.00	35.98		10.98	-10.98		
Sale	900.000	02/10/21	02/23/21	224.99	278.47			-53.48		
Subtotals				749.99	1,152.85		349.38			
PALANTIR TECHNOLOGIES INC CL A, PLTR, 69608A108										
Sale	1.000	03/30/21	06/03/21	23.76	21.00			2.76		
Sale	9.000	03/30/21	09/08/21	231.75	189.00			42.75		
Sale	1.000	03/31/21	09/08/21	25.75	23.54			2.21		
Sale	2.000	04/01/21	09/08/21	51.50	46.26			5.24		
Sale	1.000	04/01/21	09/08/21	25.75	23.01			2.74		
Sale	2.000	04/14/21	09/08/21	51.50	49.68			1.82		
Sale	10.000	04/22/21	09/08/21	257.50	227.00			30.50		

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**



2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
PALANTIR TECHNOLOGIES INC CL A, PLTR, 69608A108										
Sale	10.000	04/22/21	09/08/21	257.50	233.20			24.30		
Sale	10.000	04/22/21	09/08/21	257.50	226.69			30.81		
Sale	4.000	04/22/21	09/08/21	103.00	91.32			11.68		
Sale	2.000	04/23/21	09/08/21	51.50	46.43			5.07		
Sale	5.000	04/23/21	09/08/21	128.75	117.03			11.72		
Sale	3.000	04/23/21	09/08/21	77.25	70.26			6.99		
Sale	2.000	04/26/21	09/08/21	51.50	47.31			4.19		
Sale	2.000	04/26/21	09/08/21	51.50	48.29			3.21		
Sale	3.000	04/26/21	09/08/21	77.25	72.45			4.80		
Sale	3.000	04/27/21	09/08/21	77.25	72.06			5.19		
Sale	3.000	05/11/21	09/08/21	77.25	59.63			17.62		
Sale	1.000	07/14/21	09/08/21	25.74	21.65			4.09		
Sale	10.000	09/09/21	11/04/21	263.99	260.98			3.01		
Sale	2.000	09/16/21	11/04/21	52.80	56.52			-3.72		
Subtotals				2,220.29	2,003.31					

PROCURE ETF TRUST IISPACE ETF, UFO, 74280R205										
Sale	3.000	02/24/21	09/10/21	95.12	91.82			3.30		

QUANTUMSCAPE CORP COM CL A, QS, 74767V109										
Sale	20.000	01/05/21	01/06/21	1,379.86	1,187.27			192.59		

REMARK HLDGS INC COM, MARK, 75955K102										
Sale	50.000	02/22/21	10/25/21	203.24	190.50			12.74		

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**





2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
 Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
 Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
ROLLS ROYCE HOLDINGSSPON ADR EACH REP 1, RYCEY, 775781206										
Sale	100.000	02/10/21	09/27/21	197.50	134.50			63.00		
Sale	170.000	02/17/21	09/27/21	335.74	249.90			85.84		
Sale	30.000	02/17/21	11/03/21	53.10	44.10			9.00		
Sale	30.000	02/24/21	11/03/21	53.10	49.20			3.90		
Sale	30.000	03/08/21	11/03/21	53.09	48.00			5.09		
Subtotals				692.53	525.70					
SENSEONICS HLDGS INCCOM, SENS, 81727U105										
Sale	600.000	01/22/21	02/16/21	3,012.35	1,310.40			1,701.95		
Sale	401.000	01/22/21	07/08/21	1,162.89	875.78			287.11		
Sale	10.000	01/25/21	07/08/21	29.00	29.50		0.50	-0.50		
Sale	100.000	01/29/21	07/08/21	290.00	239.00			51.00		
Sale	20.000	02/11/21	07/08/21	58.00	76.20		9.10	-18.20		
Sale	50.000	02/12/21	07/08/21	145.00	194.43			-49.43		
Sale	20.000	02/17/21	07/08/21	58.00	97.90			-39.90		
Sale	30.000	02/23/21	07/08/21	87.00	111.60			-24.60		
Sale	20.000	03/05/21	07/08/21	58.00	44.38			13.62		
Sale	20.000	03/22/21	07/08/21	58.00	62.40			-4.40		
Sale	10.000	04/23/21	07/08/21	29.00	19.90			9.10		
Sale	9.000	04/30/21	07/08/21	26.09	19.49			6.60		
Sale	10.000	01/25/21	08/26/21	39.05	36.30			2.75		
Sale	10.000	02/11/21	08/26/21	39.05	44.25			-5.20		
Sale	1.000	04/30/21	08/26/21	3.90	2.16			1.74		

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**



2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
SENSEONICS HLDGS INCCOM, SENS, 81727U105										
Sale	5.000	05/11/21	08/26/21	19.52	9.03			10.49		
Subtotals				5,114.85	3,172.72		9.60			
SOS LIMITED SPON ADSEA REP 10 ORD SHS CL, SOS, 83587W106										
Sale	10.000	03/15/21	04/13/21	50.00	79.00		29.00	-29.00		
Sale	10.000	04/12/21	04/13/21	49.99	48.58			1.41		
Subtotals				99.99	127.58		29.00			
TESLA INC COM, TSLA, 88160R101										
Sale	1.000	03/04/21	03/23/21	668.82	625.00			43.82		
TRANSENERIX INC COM, 89366M300										
Sale	100.000	01/11/21	01/14/21	188.00	172.50			15.50		
Sale	100.000	01/11/21	01/14/21	187.99	173.00			14.99		
Sale	400.000	01/11/21	01/14/21	754.98	706.00			48.98		
Sale	100.000	01/11/21	01/14/21	188.49	176.50			11.99		
Subtotals				1,319.46	1,228.00					
VAXART INC COM NEW, VXRT, 92243A200										
Sale	96.000	02/11/21	05/03/21	872.63	806.40			66.23		
VELO3D INC WTS EXP 12/01/2025, VLDWS, 92259N112										
Sale	10.000	02/12/21	11/03/21	29.70	26.00			3.70		
Sale	11.000	02/12/21	11/03/21	32.67	28.60			4.07		
Sale	50.000	02/12/21	11/03/21	148.50	125.00			23.50		

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**





2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
VELO3D INC WTS EXP 12/01/2025, VLDWS, 92259N112										
Sale	101.000	02/12/21	11/03/21	299.97	252.50			47.47		
Sale	160.000	02/12/21	11/03/21	475.20	400.00			75.20		
Sale	11.000	02/12/21	11/03/21	32.67	27.94			4.73		
Sale	30.000	03/05/21	11/03/21	89.10	42.00			47.10		
Sale	20.000	03/29/21	11/03/21	59.40	42.40			17.00		
Sale	1.000	04/27/21	11/03/21	2.97	1.91			1.06		
Sale	10.000	04/28/21	11/03/21	29.69	19.00			10.69		
Sale	20.000	11/03/21	11/03/21	60.29	63.30			-3.01		
Sale	10.000	11/03/21	11/03/21	30.15	32.00			-1.85		
Subtotals				1,290.31	1,060.65					
VYNE THERAPEUTICS INC COM, VYNE, 92941V209										
Cash In Lieu	0.143	01/25/21	02/16/21	1.44	1.66			-0.22		
Cash In Lieu	0.286	01/25/21	02/16/21	2.89	3.01			-0.12		
Cash In Lieu	0.071	01/25/21	02/16/21	0.72	0.77			-0.05		
Subtotals				5.05	5.44					
XPENG INC SPON ADS EACH REP 2 CL A ORD S, XPEV, 98422D105										
Sale	15.000	03/03/21	03/17/21	509.99	472.50			37.49		
ZOMEDICA CORP COM NPV ISIN #CA98980M1095, ZOM, 98980M109										
Sale	1,000.000	01/11/21	01/11/21	926.28	893.50			32.78		
Sale	423.000	01/11/21	01/11/21	391.82	385.69			6.13		
Sale	0.338	01/11/21	01/11/21	0.31	0.31			0.00		

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**



2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
ZOMEDICA CORP COM NPV ISIN #CA98980M1095, ZOM, 98980M109										
Sale	9.662	01/11/21	01/11/21	8.95	8.51			0.44		
Sale	0.338	01/11/21	03/16/21	0.80	0.30			0.50		
Subtotals				1,328.16	1,288.31					
TOTALS				43,549.03	38,337.20	0.00	442.85		0.00	
								6,419.72		
								-1,207.89		

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.





2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is not reported to the IRS --report on Form 8949 with Box B checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
CREDIT SUISSE AG NASSAU BRH X LINKS MONT, 22539T282										
Sale	10.000	02/24/21	08/30/21	71.30	64.00			7.30		
Sale	10.000	03/05/21	08/30/21	71.30	58.70			12.60		
Sale	2.000	03/10/21	08/30/21	14.25	13.24			1.01		
Sale	3.000	03/10/21	11/01/21	22.06	19.85			2.21		
Subtotals				178.91	155.79					
TOTALS				178.91	155.79	0.00	0.00		0.00	
								23.12		
								0.00		

For any transaction listed on Form 1099-B in a section indicating that "**basis is reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **2** type of gain or loss (i.e. short-term or long-term), **3** Proceeds from QOF (Qualified Opportunity Fund), **6** Gross or Net Proceeds, **12** basis reported to IRS, and columns **1b, 1c, 1d, 1e, 1f, 1g, 4, 7, 14, 15** and **16**. We are not reporting to the IRS: the Action, the Gain/Loss, and all subtotals and totals.

For any transaction listed on Form 1099-B in a section indicating that "**basis is not reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **3** Proceeds from QOF (Qualified Opportunity Fund), **5** Noncovered security, **6** Gross or Net Proceeds, and columns **1c, 1d, 4, 14, 15** and **16**. We are not reporting to the IRS: **2** type of gain or loss (i.e. short-term or long-term), the Action, the Gain/Loss, columns **1b, 1e, 1f, 1g, 2, 7** and **12** and all subtotals and totals.

For any section 1256 option contracts we are reporting to the IRS: **1a** Description of Property and totals for boxes **8, 9, 10** and **11**.

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns.

(b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments and unit investment trusts.

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**



2021 TAX REPORTING STATEMENT

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666
Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

Summary of 2021 Supplemental Information Not Reported to the IRS

Margin Interest Paid	0.00	Currency Realized Gain/Loss (USD)	0.00
Tax Exempt Investment Expense	0.00	Actual Payment Shortfall.....	0.00
Accrued Interest Paid on Purchases	0.00	Addition to Basis	0.00
Proceeds Investment Expenses	0.00	Account Fees.....	7.67
Severance Tax	0.00	Short Dividends.....	0.00
Administrative Expenses	0.00	Money Market Realized Gain/Loss.....	0.00
Non-deductible Generic Expenses	0.00	Short/Long Term Realized Gain/Loss.....	0.00
Deductible Generic Expenses	0.00	Mortgage Pool Statement (MBS).....	0.00

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**





2021 SUPPLEMENTAL INFORMATION

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666

Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Details of 1099-DIV Transactions

Total Ordinary Dividends and Distributions Detail

Description, Symbol, CUSIP								
Date	1a Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	1b Qualified Dividends	5 Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	7 Foreign Tax Paid
APPLE INC, AAPL, 037833100								
02/11/21	1.03			1.03				

ARK ETF TR NEXT GNRTN INTER, ARKW, 00214Q401								
12/31/21	0.59		0.41	0.18				

COLLABORATIVE INVESTMNT SER TR THE SPAC, SPCX, 19423L672								
12/29/21	1.79	1.79						

FIDELITY GOVERNMENT MONEY MARKET, SPAXX, 31617H102								
01/29/21	0.04	0.04						
02/26/21	0.04	0.04						
03/31/21	0.02	0.02						
07/30/21	0.01	0.01						
11/30/21	0.01	0.01						
12/31/21	0.01	0.01						
Subtotals	0.13	0.13						

FIRST TR EXCHANGE-TRADED FD NAS CLNEDG, QCLN, 33733E500								
06/30/21	0.03	0.02		0.01				

ISHARES TR GL CLEAN ENE ETF, ICLN, 464288224								
06/16/21	3.04			3.04				0.18
12/17/21	2.80			2.80				0.17
01/05/22	0.29			0.29				0.01
Subtotals	6.13			6.13				0.36



2021 SUPPLEMENTAL INFORMATION

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666

Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Details of 1099-DIV Transactions

Total Ordinary Dividends and Distributions Detail

Description, Symbol, CUSIP									
Date	1a Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	1b Qualified Dividends	5 Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	7 Foreign Tax Paid	
PROCURE ETF TRUST II SPACE ETF, UFO, 74280R205									
03/30/21	0.07			0.07					
06/29/21	0.31			0.31					
Subtotals	0.38			0.38					
ROCKET COS INC COM CL A, RKT, 77311W101									
03/23/21	14.43			14.43					
TENCENT HOLDINGS LIMITED UNSPON ADR, TCEHY, 88032Q109									
06/22/21	0.62	0.62							
YAMANA GOLD INC COM NPV ISIN, AUJ, 98462Y100									
04/14/21	0.39			0.39					0.06
07/14/21	0.39			0.39					0.06
10/14/21	0.45			0.45					0.07
Subtotals	1.23			1.23					0.19
TOTALS	26.36	2.56	0.41	23.39	0.00	0.00	0.00		0.55

Short-term capital gain distributions reported on monthly/quarterly account statements are included in **1a** Total Ordinary Dividends on Form 1099-DIV.

To see the **2021** State Percentages of Tax-Exempt Income for Fidelity Federal Tax-Exempt Funds or the Percentage of Income from U.S. Government Securities for applicable Fidelity Funds, visit [Fidelity.com/fundtaxinfo](https://www.fidelity.com/fundtaxinfo).

Total Capital Gains Distributions Detail

Description, Symbol, CUSIP				
Date	2a Total Capital Gain Distr. (m)	Capital Gain Distributions Subject to Applicable Rate (m)	2b Unrecaptured Section 1250 Gain	2c Section 1202 Gain
ARK ETF TR NEXT GNRTN INTER, ARKW, 00214Q401				
12/31/21		9.35	9.35	





2021 SUPPLEMENTAL INFORMATION

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666

Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Details of 1099-DIV Transactions

Total Capital Gains Distributions Detail

Description, Symbol, CUSIP						
Date	2a Total Capital Gain Distr. (m)	Capital Gain Distributions Subject to Applicable Rate (m)	2b Unrecaptured Section 1250 Gain	2c Section 1202 Gain	2d Collectibles (28%) Gain	
TOTALS	9.35	9.35	0.00	0.00	0.00	0.00

(m) 2a Total Capital Gain includes 2b, 2c and 2d. The portion of Capital Gain Distributions is subject to Applicable Rate.

Other Distributions, Tax and Expense Detail

Description, Symbol, CUSIP							
Date	3 Non Dividend Distribution	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld	6 Investment Expenses (n)	9 Cash Liquidation Distribution	10 Noncash Liquidation Distribution

NORDIC AMERICAN TANKERS LIMITE COM, NAT, G65773106

03/31/21	1.00						
06/18/21	1.00						
10/14/21	0.50						
12/21/21	0.50						
Subtotals	3.00						

PROCURE ETF TRUST II SPACE ETF, UFO, 74280R205

06/29/21	0.04						
----------	------	--	--	--	--	--	--

TOTALS	3.04	0.00			0.00	0.00	0.00
---------------	-------------	-------------	--	--	-------------	-------------	-------------

Dividends and other distributions sometimes must be reclassified by the issuer. As a result, we may be required to send you a corrected Tax Reporting Statement that may affect the information you report on your tax return.

(n) Reported widely held fixed investment trust dividend amounts include expenses which were not distributed to trust holders. Those amounts are listed separately in 1a Total ordinary dividends. The equivalent expense amounts are also detailed in the supplemental (1099-DIV) Other Distribution, Tax, and Expense Details, column 6. Tax exempt interest dividend expenses are listed as separate entries in column 11 and in the separate Tax-Exempt Interest Expenses section shown later in this statement.



2021 SUPPLEMENTAL INFORMATION

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666

Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Account Fees

Description	Date	Amount
ROLLS ROYCE HOLDINGS SPON ADR EACH REP 1	07/12/21	7.20
SOS LIMITED SPON ADS EA REP 10 ORD SHS	05/11/21	0.40
TENCENT HOLDINGS LIMITED UNSPON ADR	06/22/21	0.07
TOTAL		7.67





2021 SUPPLEMENTAL INFORMATION

RAJANIKANTH SRINIVASA

Account No. **Z09-233220** Customer Service: 800-544-6666

Recipient ID No. *****-**-1745** Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Foreign Income and Taxes Summary

This schedule lists all income and foreign tax by country. Foreign income and tax from mutual funds is listed in a separate section with a country designation of "RIC"

Equities and Bonds

Country	Security description	CUSIP	Dividends		Interest	Total Foreign Income	Foreign Tax Paid
			Nonqualified	Qualified			
CA							
	YAMANA GOLD INC COM NPV ISIN	98462Y100	0.00	1.23	0.00	1.23	0.19
Total CANADA			0.00	1.23	0.00	1.23	0.19
TOTAL Equities and Bonds			0.00	1.23	0.00	1.23	0.19

RICs

Country	Security description	CUSIP	Dividends		Interest	Total Foreign Income	Foreign Tax Paid
			Nonqualified	Qualified			
RIC							
	ISHARES TR GL CLEAN ENE ETF	464288224	0.00	2.97	0.00	2.97	0.36
TOTAL RICs			0.00	2.97	0.00	2.97	0.36

Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

N/A - Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Important Tax Return Document Enclosed.

**** This Page Intentionally Left Blank ****

