

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 838382950	Statement Date: 02/11/2022 Document ID: 08ZK 810 A84B	2021
	Ranjith reddy Abbidi 4030 Baring St Apt 311A Philadelphia, PA 19104 RECIPIENT'S TIN: XXX-XX-7262		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	123,879.39	121,573.61	0.00	1,024.01	3,329.79
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	123,879.39	121,573.61	0.00	1,024.01	3,329.79
Long	D (basis reported to the IRS)	23.35	13.67	0.00	0.00	9.68
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	23.35	13.67	0.00	0.00	9.68
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	123,902.74	121,587.28	0.00	1,024.01	3,339.47
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 838382950

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	8.12
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	60.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/22/2021 CALL \$127.00 / CUSIP: / Symbol: 01/20/21	2.000	679.97	01/19/21	374.00	...	305.97	Option sale to close-call ²⁵
AAPL 01/22/2021 CALL \$130.00 / CUSIP: / Symbol: 01/21/21	1.000	479.97	01/20/21	140.00	...	339.97	Option sale to close-call ²⁵
AAPL 01/29/2021 CALL \$142.00 / CUSIP: / Symbol: 01/27/21	1.000	575.97	01/25/21	581.00	...	-5.03	Option sale to close-call ²⁵
AAPL 01/29/2021 CALL \$150.00 / CUSIP: / Symbol: 01/28/21	1.000	11.98	01/27/21	241.00	...	-229.02	Option sale to close-call ²⁵
AAPL 01/29/2021 PUT \$140.00 / CUSIP: / Symbol: 01/28/21	1.000	233.98	01/27/21	315.00	...	-81.02	Option sale to close-put ²⁵

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Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 04/09/2021 CALL \$130.00 / CUSIP: / Symbol:							
4 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	1.000	56.98	04/06/21	13.00	...	43.98	1 of 4 - Option sale to close-call ²⁵
	1.000	56.98	04/06/21	13.00	...	43.98	2 of 4 - Option sale to close-call ²⁵
	2.000	113.99	04/06/21	26.00	...	87.99	3 of 4 - Option sale to close-call ²⁵
	6.000	341.98	04/06/21	78.00	...	263.98	4 of 4 - Option sale to close-call ²⁵
04/08/21	10.000	569.93	Various	130.00	...	439.93	Total of 4 transactions
AAPL 09/03/2021 CALL \$157.50 / CUSIP: / Symbol:							
3 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	2.000	29.99	08/30/21	48.00	...	-18.01	1 of 3 - Option sale to close-call ²⁵
	1.000	14.99	08/30/21	24.00	...	-9.01	2 of 3 - Option sale to close-call ²⁵
	1.000	15.00	08/30/21	24.00	...	-9.00	3 of 3 - Option sale to close-call ²⁵
08/31/21	4.000	59.98	Various	96.00	...	-36.02	Total of 3 transactions
AAPL 09/17/2021 CALL \$149.00 / CUSIP: / Symbol:							
2 transactions for 09/14/21. Total proceeds and cost reported to the IRS.							
	1.000	242.98	09/10/21	252.00	...	-9.02	1 of 2 - Option sale to close-call ²⁵
	1.000	242.98	09/10/21	252.00	...	-9.02	2 of 2 - Option sale to close-call ²⁵
09/14/21	2.000	485.96	Various	504.00	...	-18.04	Total of 2 transactions
AAPL 09/17/2021 CALL \$157.50 / CUSIP: / Symbol:							
09/17/21	2.000	0.00	09/10/21	210.00	...	-210.00	Option expiration- long call
AAPL 09/17/2021 PUT \$149.00 / CUSIP: / Symbol:							
09/15/21	2.000	523.98	09/14/21	304.00	...	219.98	Option sale to close-put ²⁵
AAPL 10/15/2021 PUT \$145.00 / CUSIP: / Symbol:							
09/20/21	1.000	429.98	09/14/21	253.00	...	176.98	Option sale to close-put ²⁵
AAPL 11/26/2021 PUT \$155.00 / CUSIP: / Symbol:							
11/26/21	5.000	24.98	11/18/21	575.00	...	-550.02	Option sale to close-put ²⁵
AAPL 11/26/2021 PUT \$160.00 / CUSIP: / Symbol:							
11/23/21	2.000	369.98	11/22/21	132.00	...	237.98	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/03/2021 PUT \$167.50 / CUSIP: / Symbol:							
2 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	1.000	211.98	12/01/21	122.00	...	89.98	1 of 2 - Option sale to close-put ²⁵
	2.000	423.98	12/01/21	244.00	...	179.98	2 of 2 - Option sale to close-put ²⁵
12/01/21	3.000	635.96	Various	366.00	...	269.96	Total of 2 transactions
ABNB 12/10/2021 PUT \$165.00 / CUSIP: / Symbol:							
12/02/21	1.000	835.98	12/01/21	370.00	...	465.98	Option sale to close-put ²⁵
ACET 12/17/2021 PUT \$10.00 / CUSIP: / Symbol:							
12/07/21	1.000	34.98	12/06/21	55.00	...	-20.02	Option sale to close-put ²⁵
AFRM 09/17/2021 CALL \$115.00 / CUSIP: / Symbol:							
3 transactions for 09/16/21. Total proceeds and cost reported to the IRS.							
	1.000	419.98	09/16/21	190.00	...	229.98	1 of 3 - Option sale to close-call ²⁵
	1.000	419.98	09/16/21	190.00	...	229.98	2 of 3 - Option sale to close-call ²⁵
	1.000	395.98	09/16/21	140.00	...	255.98	3 of 3 - Option sale to close-call ²⁵
09/16/21	3.000	1,235.94	Various	520.00	...	715.94	Total of 3 transactions
AFRM 10/08/2021 PUT \$130.00 / CUSIP: / Symbol:							
10/07/21	1.000	139.98	10/07/21	275.02	...	-135.04	Option sale to close-put ²⁵
10/07/21	1.000	269.98	10/06/21	280.00	10.02 W	0.00	Option sale to close-put ²⁵
	Security total:	409.96		555.02	10.02 W	-135.04	
AMC 07/16/2021 CALL \$38.00 / CUSIP: / Symbol:							
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/16/21	90.00	...	-90.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/16/21	90.00	...	-90.00	2 of 2 - Option expiration- long call
07/16/21	2.000	0.00	Various	180.00	...	-180.00	Total of 2 transactions
AMD 10/01/2021 CALL \$105.00 / CUSIP: / Symbol:							
2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	1.000	6.98	09/30/21	71.00	...	-64.02	1 of 2 - Option sale to close-call ²⁵
	1.000	6.98	09/30/21	71.00	...	-64.02	2 of 2 - Option sale to close-call ²⁵
10/01/21	2.000	13.96	Various	142.00	...	-128.04	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANIX 08/20/2021 CALL \$5.00 / CUSIP: / Symbol:							
6 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	8.000	39.97	08/18/21	40.00	...	-0.03	1 of 6 - Option sale to close-call ²⁵
	1.000	5.00	08/18/21	5.02	...	-0.02	2 of 6 - Option sale to close-call ²⁵
	1.000	5.00	08/18/21	5.02	...	-0.02	3 of 6 - Option sale to close-call ²⁵
	1.000	5.00	08/18/21	5.02	...	-0.02	4 of 6 - Option sale to close-call ²⁵
	1.000	5.00	08/18/21	5.02	...	-0.02	5 of 6 - Option sale to close-call ²⁵
	4.000	19.99	08/18/21	20.00	...	-0.01	6 of 6 - Option sale to close-call ²⁵
08/18/21	16.000	79.96	Various	80.08	...	-0.12	Total of 6 transactions
4 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	1.000	4.98	08/18/21	5.00	0.02 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	4.98	08/18/21	5.00	0.02 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	4.98	08/18/21	5.00	0.02 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	4.98	08/18/21	5.00	0.02 W	0.00	4 of 4 - Option sale to close-call ²⁵
08/18/21	4.000	19.92	Various	20.00	0.08 W	0.00	Total of 4 transactions
	Security total:	99.88		100.08	0.08 W	-0.12	
ANTM 09/10/2021 CALL \$380.00 / CUSIP: / Symbol:							
09/10/21	1.000	0.00	09/03/21	270.00	...	-270.00	Option expiration- long call
ASAN 09/17/2021 CALL \$85.00 / CUSIP: / Symbol:							
09/02/21	1.000	669.98	09/01/21	265.00	...	404.98	Option sale to close-call ²⁵
BA 02/05/2021 CALL \$202.50 / CUSIP: / Symbol:							
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	1.000	354.98	02/02/21	232.00	...	122.98	1 of 2 - Option sale to close-call ²⁵
	1.000	354.98	02/02/21	232.00	...	122.98	2 of 2 - Option sale to close-call ²⁵
02/03/21	2.000	709.96	Various	464.00	...	245.96	Total of 2 transactions
BA 07/23/2021 CALL \$225.00 / CUSIP: / Symbol:							
07/23/21	1.000	3.98	07/21/21	160.00	...	-156.02	Option sale to close-call ²⁵
BA 09/17/2021 CALL \$220.00 / CUSIP: / Symbol:							
09/15/21	1.000	20.98	09/13/21	72.00	...	-51.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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BA 10/01/2021 CALL \$227.50 / CUSIP: / Symbol:							
09/30/21	1.000	25.98	09/29/21	183.00	...	-157.02	Option sale to close-call ²⁵
BABA 01/15/2021 CALL \$230.00 / CUSIP: / Symbol:							
2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	1.000	1,409.96	01/12/21	394.00	...	1,015.96	1 of 2 - Option sale to close-call ²⁵
	1.000	1,409.96	01/13/21	313.00	...	1,096.96	2 of 2 - Option sale to close-call ²⁵
01/14/21	2.000	2,819.92	Various	707.00	...	2,112.92	Total of 2 transactions
BABA 01/15/2021 CALL \$250.00 / CUSIP: / Symbol:							
01/15/21	2.000	0.00	01/14/21	288.00	...	-288.00	Option expiration- long call
BABA 01/29/2021 CALL \$260.00 / CUSIP: / Symbol:							
01/26/21	1.000	574.97	01/21/21	630.00	...	-55.03	Option sale to close-call ²⁵
BABA 07/16/2021 CALL \$212.50 / CUSIP: / Symbol:							
2 transactions for 07/15/21. Total proceeds and cost reported to the IRS.							
	1.000	293.99	07/06/21	415.00	...	-121.01	1 of 2 - Option sale to close-call ²⁵
	1.000	293.99	07/13/21	173.00	...	120.99	2 of 2 - Option sale to close-call ²⁵
07/15/21	2.000	587.98	Various	588.00	...	-0.02	Total of 2 transactions
BABA 08/13/2021 PUT \$192.50 / CUSIP: / Symbol:							
08/12/21	1.000	329.98	08/09/21	254.00	...	75.98	Option sale to close-put ²⁵
BABA 08/27/2021 CALL \$170.00 / CUSIP: / Symbol:							
6 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	08/27/21	16.00	...	-16.00	1 of 6 - Option expiration- long call
	2.000	0.00	08/27/21	8.00	...	-8.00	2 of 6 - Option expiration- long call
	1.000	0.00	08/27/21	4.00	...	-4.00	3 of 6 - Option expiration- long call
	1.000	0.00	08/27/21	4.00	...	-4.00	4 of 6 - Option expiration- long call
	1.000	0.00	08/27/21	4.00	...	-4.00	5 of 6 - Option expiration- long call
	1.000	0.00	08/27/21	4.00	...	-4.00	6 of 6 - Option expiration- long call
08/27/21	10.000	0.00	Various	40.00	...	-40.00	Total of 6 transactions
BBBY 02/05/2021 PUT \$25.00 / CUSIP: / Symbol:							
02/04/21	2.000	61.98	02/02/21	272.00	...	-210.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BDTX 05/21/2021 PUT \$12.50 / CUSIP: / Symbol:							
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	8.000	0.00	05/21/21	80.00	...	-80.00	1 of 2 - Option expiration- long put
	2.000	0.00	05/21/21	20.00	...	-20.00	2 of 2 - Option expiration- long put
05/21/21	10.000	0.00	Various	100.00	...	-100.00	Total of 2 transactions
BGFV 09/17/2021 CALL \$30.00 / CUSIP: / Symbol:							
09/02/21	1.000	449.98	09/01/21	250.00	...	199.98	Option sale to close-call ²⁵
BGFV 11/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
11/11/21	1.000	349.98	11/11/21	340.00	...	9.98	Option sale to close-call ²⁵
BIDU 07/30/2021 CALL \$165.00 / CUSIP: / Symbol:							
07/28/21	1.000	344.98	07/27/21	263.00	...	81.98	Option sale to close-call ²⁵
BIDU 09/03/2021 CALL \$167.50 / CUSIP: / Symbol:							
2 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	5.000	314.99	08/31/21	125.00	...	189.99	1 of 2 - Option sale to close-call ²⁵
	5.000	314.98	08/31/21	110.00	...	204.98	2 of 2 - Option sale to close-call ²⁵
09/01/21	10.000	629.97	Various	235.00	...	394.97	Total of 2 transactions
BIDU 09/03/2021 CALL \$172.50 / CUSIP: / Symbol:							
2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	1.000	6.98	09/01/21	100.03	...	-93.05	1 of 2 - Option sale to close-call ²⁵
	1.000	6.98	09/01/21	69.01	...	-62.03	2 of 2 - Option sale to close-call ²⁵
09/02/21	2.000	13.96	Various	169.04	...	-155.08	Total of 2 transactions
3 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	1.000	6.98	09/01/21	38.00	31.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	6.99	09/01/21	38.00	31.01 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	6.99	09/01/21	69.02	62.03 W	0.00	3 of 3 - Option sale to close-call ²⁵
09/02/21	3.000	20.96	Various	145.02	124.06 W	0.00	Total of 3 transactions
	Security total:	34.92		314.06	124.06 W	-155.08	
BYND 07/09/2021 CALL \$144.00 / CUSIP: / Symbol:							
06/30/21	1.000	1,284.98	06/24/21	575.00	...	709.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

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CAR 11/19/2021 PUT \$115.00 / CUSIP: / Symbol:							
11/05/21	2.000	9.98	11/02/21	290.00	...	-280.02	Option sale to close-put ²⁵
CARA 09/17/2021 CALL \$17.50 / CUSIP: / Symbol:							
08/26/21	1.000	24.98	08/24/21	260.00	...	-235.02	Option sale to close-call ²⁵
CARA 09/17/2021 CALL \$20.00 / CUSIP: / Symbol:							
08/26/21	2.000	19.98	08/24/21	80.00	...	-60.02	Option sale to close-call ²⁵
COIN 07/23/2021 CALL \$215.00 / CUSIP: / Symbol:							
07/21/21	1.000	1,709.98	07/19/21	670.00	...	1,039.98	Option sale to close-call ²⁵
COIN 07/23/2021 CALL \$240.00 / CUSIP: / Symbol:							
07/23/21	2.000	0.00	07/21/21	384.00	...	-384.00	Option expiration- long call
COP 10/08/2021 CALL \$70.00 / CUSIP: / Symbol:							
09/30/21	2.000	123.98	09/28/21	216.00	...	-92.02	Option sale to close-call ²⁵
CRWD 09/03/2021 CALL \$280.00 / CUSIP: / Symbol:							
09/02/21	1.000	37.98	09/01/21	525.00	...	-487.02	Option sale to close-call ²⁵
DASH 09/17/2021 CALL \$225.00 / CUSIP: / Symbol:							
2 transactions for 09/16/21. Total proceeds and cost reported to the IRS.							
	1.000	125.98	09/16/21	167.00	...	-41.02	1 of 2 - Option sale to close-call ²⁵
	2.000	301.98	09/16/21	334.00	...	-32.02	2 of 2 - Option sale to close-call ²⁵
09/16/21	3.000	427.96	Various	501.00	...	-73.04	Total of 2 transactions
DIDI 07/16/2021 PUT \$11.00 / CUSIP: / Symbol:							
2 transactions for 07/14/21. Total proceeds and cost reported to the IRS.							
	3.000	17.99	07/12/21	144.00	...	-126.01	1 of 2 - Option sale to close-put ²⁵
	2.000	11.99	07/12/21	96.00	...	-84.01	2 of 2 - Option sale to close-put ²⁵
07/14/21	5.000	29.98	Various	240.00	...	-210.02	Total of 2 transactions

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Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 07/16/2021 CALL \$180.00 / CUSIP: / Symbol:							
3 transactions for 07/12/21. Total proceeds and cost reported to the IRS.							
	1.000	344.99	07/12/21	152.00	...	192.99	1 of 3 - Option sale to close-call ²⁵
	2.000	689.99	07/12/21	290.00	...	399.99	2 of 3 - Option sale to close-call ²⁵
	2.000	1,039.98	07/12/21	290.00	...	749.98	3 of 3 - Option sale to close-call ²⁵
07/12/21	5.000	2,074.96	Various	732.00	...	1,342.96	Total of 3 transactions
DIS 07/23/2021 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	1.000	21.98	07/16/21	256.00	...	-234.02	1 of 2 - Option sale to close-call ²⁵
	1.000	21.98	07/16/21	256.00	...	-234.02	2 of 2 - Option sale to close-call ²⁵
07/21/21	2.000	43.96	Various	512.00	...	-468.04	Total of 2 transactions
DIS 09/03/2021 CALL \$180.00 / CUSIP: / Symbol:							
09/01/21	1.000	253.98	08/31/21	107.00	...	146.98	Option sale to close-call ²⁵
DIS 09/24/2021 CALL \$175.00 / CUSIP: / Symbol:							
09/23/21	4.000	619.98	09/22/21	368.00	...	251.98	Option sale to close-call ²⁵
DIS 09/24/2021 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 09/22/21. Total proceeds and cost reported to the IRS.							
	1.000	5.99	09/20/21	202.00	...	-196.01	1 of 2 - Option sale to close-call ²⁵
	1.000	5.99	09/20/21	202.00	...	-196.01	2 of 2 - Option sale to close-call ²⁵
09/22/21	2.000	11.98	Various	404.00	...	-392.02	Total of 2 transactions
DIS 09/24/2021 CALL \$185.00 / CUSIP: / Symbol:							
09/22/21	3.000	2.98	09/17/21	474.00	...	-471.02	Option sale to close-call ²⁵
DOCU 09/24/2021 CALL \$275.00 / CUSIP: / Symbol:							
09/23/21	1.000	234.98	09/16/21	510.00	...	-275.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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DOCU 12/03/2021 PUT \$135.00 / CUSIP: / Symbol:							
3 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	1.000	116.98	12/03/21	60.00	...	56.98	1 of 3 - Option sale to close-put ²⁵
	1.000	116.99	12/03/21	60.00	...	56.99	2 of 3 - Option sale to close-put ²⁵
	1.000	116.99	12/03/21	57.00	...	59.99	3 of 3 - Option sale to close-put ²⁵
12/03/21	3.000	350.96	Various	177.00	...	173.96	Total of 3 transactions
EBAY 02/05/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/05/21	2.000	0.00	02/04/21	132.00	...	-132.00	Option expiration- long call
EIGR 08/20/2021 CALL \$10.00 / CUSIP: / Symbol:							
08/09/21	1.000	4.98	08/05/21	10.00	...	-5.02	Option sale to close-call ²⁵
FB 01/22/2021 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	1.000	1,029.96	01/14/21	513.00	...	516.96	1 of 2 - Option sale to close-call ²⁵
	1.000	1,184.96	01/15/21	715.00	...	469.96	2 of 2 - Option sale to close-call ²⁵
01/19/21	2.000	2,214.92	Various	1,228.00	...	986.92	Total of 2 transactions
FB 10/29/2021 PUT \$300.00 / CUSIP: / Symbol:							
10/25/21	1.000	221.98	10/22/21	236.00	...	-14.02	Option sale to close-put ²⁵
FBRX 09/17/2021 CALL \$7.50 / CUSIP: / Symbol:							
6 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	4.000	19.98	09/07/21	32.00	...	-12.02	1 of 6 - Option sale to close-call ²⁵
	2.000	9.98	09/07/21	16.00	...	-6.02	2 of 6 - Option sale to close-call ²⁵
	1.000	4.98	09/07/21	8.00	...	-3.02	3 of 6 - Option sale to close-call ²⁵
	1.000	4.98	09/07/21	8.00	...	-3.02	4 of 6 - Option sale to close-call ²⁵
	1.000	4.98	09/07/21	8.00	...	-3.02	5 of 6 - Option sale to close-call ²⁵
	1.000	4.98	09/07/21	8.00	...	-3.02	6 of 6 - Option sale to close-call ²⁵
09/09/21	10.000	49.88	Various	80.00	...	-30.12	Total of 6 transactions
GME 02/05/2021 PUT \$42.00 / CUSIP: / Symbol:							
02/05/21	1.000	64.98	02/04/21	147.00	...	-82.02	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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GRWG 07/02/2021 CALL \$44.00 / CUSIP: / Symbol:							
06/28/21	1.000	434.98	06/23/21	129.00	...	305.98	Option sale to close-call ²⁵
GSAT 09/17/2021 CALL \$2.50 / CUSIP: / Symbol:							
08/31/21	2.000	43.98	08/30/21	90.00	...	-46.02	Option sale to close-call ²⁵
HD 09/10/2021 CALL \$330.00 / CUSIP: / Symbol:							
09/10/21	1.000	464.98	09/03/21	153.00	...	311.98	Option sale to close-call ²⁵
HD 09/10/2021 CALL \$332.50 / CUSIP: / Symbol:							
09/10/21	1.000	182.98	09/03/21	178.00	...	4.98	Option sale to close-call ²⁵
IBM 01/22/2021 CALL \$130.00 / CUSIP: / Symbol:							
01/22/21	1.000	0.00	01/20/21	274.00	...	-274.00	Option expiration- long call
INTC 01/22/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/22/21	1.000	0.00	01/20/21	103.00	...	-103.00	Option expiration- long call
IPOF 11/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
11/09/21	2.000	73.98	10/21/21	38.00	...	35.98	Option sale to close-call ²⁵
IRM 07/16/2021 CALL \$42.50 / CUSIP: / Symbol:							
07/12/21	3.000	599.98	06/28/21	345.00	...	254.98	Option sale to close-call ²⁵
IWM 07/30/2021 CALL \$218.00 / CUSIP: / Symbol:							
07/29/21	1.000	519.98	07/27/21	220.00	...	299.98	Option sale to close-call ²⁵
IWM 07/30/2021 CALL \$225.00 / CUSIP: / Symbol:							
<i>6 transactions for 07/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	81.99	07/28/21	70.00	...	11.99	1 of 6 - Option sale to close-call ²⁵
	1.000	82.00	07/28/21	70.00	...	12.00	2 of 6 - Option sale to close-call ²⁵
	1.000	82.00	07/28/21	70.00	...	12.00	3 of 6 - Option sale to close-call ²⁵
	2.000	163.99	07/28/21	140.00	...	23.99	4 of 6 - Option sale to close-call ²⁵
	5.000	424.98	07/28/21	350.00	...	74.98	5 of 6 - Option sale to close-call ²⁵
	5.000	424.98	07/28/21	225.00	...	199.98	6 of 6 - Option sale to close-call ²⁵
07/29/21	15.000	1,259.94	Various	925.00	...	334.94	Total of 6 transactions

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JMIA 07/30/2021 CALL \$24.00 / CUSIP: / Symbol:							
07/30/21	2.000	0.00	07/21/21	256.00	...	-256.00	Option expiration- long call
JMIA 09/03/2021 CALL \$22.00 / CUSIP: / Symbol:							
09/02/21	2.000	47.98	09/01/21	98.00	...	-50.02	Option sale to close-call ²⁵
JOBY 01/21/2022 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	2.000	59.99	12/17/21	80.00	...	-20.01	1 of 3 - Option sale to close-call ²⁵
	2.000	59.99	12/17/21	80.00	...	-20.01	2 of 3 - Option sale to close-call ²⁵
	1.000	30.00	12/17/21	40.00	...	-10.00	3 of 3 - Option sale to close-call ²⁵
12/20/21	5.000	149.98	Various	200.00	...	-50.02	Total of 3 transactions
JPM 07/09/2021 CALL \$157.50 / CUSIP: / Symbol:							
2 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	1.000	2.99	07/06/21	11.00	...	-8.01	1 of 2 - Option sale to close-call ²⁵
	1.000	2.99	07/06/21	11.00	...	-8.01	2 of 2 - Option sale to close-call ²⁵
07/08/21	2.000	5.98	Various	22.00	...	-16.02	Total of 2 transactions
JPM 07/09/2021 PUT \$152.50 / CUSIP: / Symbol:							
07/08/21	3.000	479.98	07/06/21	291.00	...	188.98	Option sale to close-put ²⁵
LCID 09/03/2021 CALL \$17.50 / CUSIP: / Symbol:							
09/02/21	1.000	77.98	09/01/21	118.00	...	-40.02	Option sale to close-call ²⁵
LCID 10/01/2021 CALL \$27.00 / CUSIP: / Symbol:							
2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	1.000	2.98	09/29/21	94.00	...	-91.02	1 of 2 - Option sale to close-call ²⁵
	1.000	2.98	09/29/21	94.00	...	-91.02	2 of 2 - Option sale to close-call ²⁵
10/01/21	2.000	5.96	Various	188.00	...	-182.04	Total of 2 transactions
LCID 11/12/2021 CALL \$44.00 / CUSIP: / Symbol:							
11/09/21	2.000	899.98	11/08/21	770.00	...	129.98	Option sale to close-call ²⁵
LCID 11/19/2021 CALL \$48.00 / CUSIP: / Symbol:							
11/19/21	2.000	405.98	11/18/21	196.00	...	209.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 11/26/2021 PUT \$49.00 / CUSIP: / Symbol:							
11/26/21	1.000	31.98	11/22/21	201.00	...	-169.02	Option sale to close-put ²⁵
LCID 12/03/2021 PUT \$54.00 / CUSIP: / Symbol:							
11/30/21	2.000	583.98	11/30/21	362.00	...	221.98	Option sale to close-put ²⁵
LCID 12/17/2021 CALL \$40.00 / CUSIP: / Symbol:							
12/13/21	4.000	839.98	12/09/21	616.00	...	223.98	Option sale to close-call ²⁵
LCID 12/17/2021 CALL \$43.00 / CUSIP: / Symbol:							
12/15/21	4.000	323.98	12/13/21	356.00	...	-32.02	Option sale to close-call ²⁵
LLY 09/24/2021 CALL \$235.00 / CUSIP: / Symbol:							
	2 transactions for 09/24/21. Total proceeds and cost reported to the IRS.						
	2.000	133.98	09/23/21	130.00	...	3.98	1 of 2 - Option sale to close-call ²⁵
	3.000	200.98	09/23/21	195.00	...	5.98	2 of 2 - Option sale to close-call ²⁵
09/24/21	5.000	334.96	Various	325.00	...	9.96	Total of 2 transactions
MMAT 09/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
08/30/21	2.000	299.98	08/27/21	98.00	...	201.98	Option sale to close-call ²⁵
MNDY 08/20/2021 CALL \$370.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	08/18/21	255.00	...	-255.00	Option expiration- long call
MNDY 08/20/2021 PUT \$290.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	08/19/21	70.00	...	-70.00	Option expiration- long put
MRNA 04/16/2021 CALL \$157.50 / CUSIP: / Symbol:							
04/15/21	1.000	544.98	04/14/21	310.00	...	234.98	Option sale to close-call ²⁵
MSFT 01/29/2021 CALL \$240.00 / CUSIP: / Symbol:							
01/28/21	1.000	230.98	01/27/21	312.00	...	-81.02	Option sale to close-call ²⁵
NFLX 01/22/2021 CALL \$520.00 / CUSIP: / Symbol:							
01/20/21	1.000	4,404.89	01/19/21	1,220.00	...	3,184.89	Option sale to close-call ²⁵
NFLX 01/22/2021 CALL \$580.00 / CUSIP: / Symbol:							
01/20/21	1.000	1,144.96	01/20/21	630.00	...	514.96	Option sale to close-call ²⁵

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Account 838382950

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2021 1099-B* OMB No. 1545-0715

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02/11/2022

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NFLX 01/22/2021 PUT \$450.00 / CUSIP: / Symbol:							
01/22/21	1.000	0.00	01/19/21	181.00	...	-181.00	Option expiration- long put
NFLX 01/29/2021 CALL \$560.00 / CUSIP: / Symbol:							
01/28/21	1.000	221.98	01/26/21	775.00	...	-553.02	Option sale to close-call ²⁵
NFLX 07/30/2021 CALL \$520.00 / CUSIP: / Symbol:							
07/30/21	1.000	50.98	07/30/21	110.00	...	-59.02	Option sale to close-call ²⁵
NIO 01/15/2021 CALL \$58.50 / CUSIP: / Symbol:							
01/11/21	1.000	824.97	01/08/21	405.00	...	419.97	Option sale to close-call ²⁵
NIO 01/29/2021 CALL \$63.00 / CUSIP: / Symbol:							
01/28/21	1.000	10.98	01/25/21	269.00	...	-258.02	Option sale to close-call ²⁵
NIO 01/29/2021 PUT \$57.00 / CUSIP: / Symbol:							
01/28/21	1.000	249.98	01/27/21	147.00	...	102.98	Option sale to close-put ²⁵
NIO 02/26/2021 PUT \$45.00 / CUSIP: / Symbol:							
02/26/21	1.000	11.98	02/23/21	109.00	...	-97.02	Option sale to close-put ²⁵
NIO 03/05/2021 CALL \$49.00 / CUSIP: / Symbol:							
03/05/21	10.000	0.00	03/03/21	400.00	...	-400.00	Option expiration- long call
NIO 03/19/2021 CALL \$45.00 / CUSIP: / Symbol:							
4 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	249.98	03/05/21	69.00	...	180.98	1 of 4 - Option sale to close-call ²⁵
	5.000	1,254.98	03/05/21	345.00	...	909.98	2 of 4 - Option sale to close-call ²⁵
	9.000	2,249.96	03/05/21	621.00	...	1,628.96	3 of 4 - Option sale to close-call ²⁵
	15.000	3,764.95	03/05/21	1,035.00	...	2,729.95	4 of 4 - Option sale to close-call ²⁵
03/11/21	30.000	7,519.87	Various	2,070.00	...	5,449.87	Total of 4 transactions
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	9.000	26.97	03/05/21	621.00	...	-594.03	1 of 2 - Option sale to close-call ²⁵
	1.000	3.00	03/05/21	69.00	...	-66.00	2 of 2 - Option sale to close-call ²⁵
03/19/21	10.000	29.97	Various	690.00	...	-660.03	Total of 2 transactions
	Security total:	7,549.84		2,760.00	...	4,789.84	

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Robinhood Securities LLC

Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 04/01/2021 CALL \$40.00 / CUSIP: / Symbol:							
8 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	8.000	351.98	03/26/21	616.00	...	-264.02	1 of 8 - Option sale to close-call ²⁵
	2.000	87.99	03/26/21	154.00	...	-66.01	2 of 8 - Option sale to close-call ²⁵
	2.000	87.99	03/26/21	154.00	...	-66.01	3 of 8 - Option sale to close-call ²⁵
	2.000	87.99	03/26/21	154.00	...	-66.01	4 of 8 - Option sale to close-call ²⁵
	2.000	88.00	03/26/21	154.00	...	-66.00	5 of 8 - Option sale to close-call ²⁵
	2.000	88.00	03/26/21	154.00	...	-66.00	6 of 8 - Option sale to close-call ²⁵
	1.000	44.00	03/26/21	77.00	...	-33.00	7 of 8 - Option sale to close-call ²⁵
	1.000	44.00	03/26/21	77.00	...	-33.00	8 of 8 - Option sale to close-call ²⁵
03/31/21	20.000	879.95	Various	1,540.00	...	-660.05	Total of 8 transactions
NIO 04/01/2021 CALL \$46.00 / CUSIP: / Symbol:							
04/01/21	5.000	9.98	03/22/21	900.00	...	-890.02	Option sale to close-call ²⁵
NIO 04/16/2021 CALL \$37.50 / CUSIP: / Symbol:							
04/14/21	10.000	1,889.97	04/07/21	1,610.00	...	279.97	Option sale to close-call ²⁵
NIO 04/30/2021 CALL \$35.50 / CUSIP: / Symbol:							
3 transactions for 04/20/21. Total proceeds and cost reported to the IRS.							
	1.000	324.98	04/15/21	209.00	...	115.98	1 of 3 - Option sale to close-call ²⁵
	4.000	1,299.98	04/15/21	836.00	...	463.98	2 of 3 - Option sale to close-call ²⁵
	5.000	1,624.98	04/15/21	1,045.00	...	579.98	3 of 3 - Option sale to close-call ²⁵
04/20/21	10.000	3,249.94	Various	2,090.00	...	1,159.94	Total of 3 transactions
NIO 05/14/2021 CALL \$36.50 / CUSIP: / Symbol:							
2 transactions for 05/14/21. Total proceeds and cost reported to the IRS.							
	9.000	8.97	05/06/21	1,377.00	...	-1,368.03	1 of 2 - Option sale to close-call ²⁵
	1.000	0.98	05/06/21	153.00	...	-152.02	2 of 2 - Option sale to close-call ²⁵
05/14/21	10.000	9.95	Various	1,530.00	...	-1,520.05	Total of 2 transactions
NIO 05/21/2021 CALL \$40.00 / CUSIP: / Symbol:							
05/21/21	10.000	0.00	05/03/21	2,180.00	...	-2,180.00	Option expiration- long call

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Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO 05/28/2021 CALL \$32.50 / CUSIP: / Symbol:							
3 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	1.000	279.98	05/13/21	160.00	...	119.98	1 of 3 - Option sale to close-call ²⁵
	3.000	839.98	05/13/21	480.00	...	359.98	2 of 3 - Option sale to close-call ²⁵
	6.000	1,679.98	05/13/21	960.00	...	719.98	3 of 3 - Option sale to close-call ²⁵
05/18/21	10.000	2,799.94	Various	1,600.00	...	1,199.94	Total of 3 transactions
NIO 06/18/2021 CALL \$47.00 / CUSIP: / Symbol:							
06/17/21	5.000	549.98	06/14/21	600.00	...	-50.02	Option sale to close-call ²⁵
NIO 07/02/2021 CALL \$55.00 / CUSIP: / Symbol:							
07/02/21	3.000	0.00	07/01/21	372.00	...	-372.00	Option expiration- long call
NIO 07/02/2021 PUT \$50.00 / CUSIP: / Symbol:							
07/01/21	1.000	21.98	06/30/21	39.00	...	-17.02	Option sale to close-put ²⁵
NIO 07/09/2021 CALL \$46.00 / CUSIP: / Symbol:							
07/09/21	10.000	1,109.97	07/08/21	550.00	...	559.97	Option sale to close-call ²⁵
NIO 07/16/2021 CALL \$54.00 / CUSIP: / Symbol:							
2 transactions for 07/14/21. Total proceeds and cost reported to the IRS.							
	6.000	17.98	07/09/21	108.00	...	-90.02	1 of 2 - Option sale to close-call ²⁵
	4.000	11.99	07/09/21	72.00	...	-60.01	2 of 2 - Option sale to close-call ²⁵
07/14/21	10.000	29.97	Various	180.00	...	-150.03	Total of 2 transactions
NIO 07/23/2021 CALL \$45.50 / CUSIP: / Symbol:							
3 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	1.000	171.98	07/16/21	41.00	...	130.98	1 of 3 - Option sale to close-call ²⁵
	1.000	171.98	07/16/21	41.00	...	130.98	2 of 3 - Option sale to close-call ²⁵
	8.000	991.97	07/16/21	328.00	...	663.97	3 of 3 - Option sale to close-call ²⁵
07/21/21	10.000	1,335.93	Various	410.00	...	925.93	Total of 3 transactions

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NIO 08/20/2021 CALL \$41.50 / CUSIP: / Symbol:							
2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	08/13/21	321.00	...	-321.00	1 of 2 - Option expiration- long call
	2.000	0.00	08/13/21	214.00	...	-214.00	2 of 2 - Option expiration- long call
08/20/21	5.000	0.00	Various	535.00	...	-535.00	Total of 2 transactions
NIO 08/27/2021 CALL \$40.50 / CUSIP: / Symbol:							
5 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/23/21	21.00	...	-21.00	1 of 5 - Option expiration- long call
	1.000	0.00	08/23/21	21.00	...	-21.00	2 of 5 - Option expiration- long call
	1.000	0.00	08/23/21	21.00	...	-21.00	3 of 5 - Option expiration- long call
	1.000	0.00	08/23/21	21.00	...	-21.00	4 of 5 - Option expiration- long call
	1.000	0.00	08/23/21	21.00	...	-21.00	5 of 5 - Option expiration- long call
08/27/21	5.000	0.00	Various	105.00	...	-105.00	Total of 5 transactions
NIO 09/03/2021 CALL \$38.50 / CUSIP: / Symbol:							
3 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	1.000	121.98	08/26/21	119.00	...	2.98	1 of 3 - Option sale to close-call ²⁵
	1.000	121.98	08/26/21	119.00	...	2.98	2 of 3 - Option sale to close-call ²⁵
	1.000	121.98	08/26/21	119.00	...	2.98	3 of 3 - Option sale to close-call ²⁵
09/01/21	3.000	365.94	Various	357.00	...	8.94	Total of 3 transactions
NIO 11/12/2021 PUT \$40.00 / CUSIP: / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	110.00	11/08/21	45.00	...	65.00	1 of 2 - Option sale to close-put ²⁵
	9.000	989.97	11/08/21	396.00	...	593.97	2 of 2 - Option sale to close-put ²⁵
11/09/21	10.000	1,099.97	Various	441.00	...	658.97	Total of 2 transactions
3 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	104.99	11/09/21	106.00	...	-1.01	1 of 3 - Option sale to close-put ²⁵
	1.000	104.99	11/09/21	106.00	...	-1.01	2 of 3 - Option sale to close-put ²⁵
	1.000	105.00	11/09/21	106.00	...	-1.00	3 of 3 - Option sale to close-put ²⁵
11/10/21	3.000	314.98	Various	318.00	...	-3.02	Total of 3 transactions
	Security total:	1,414.95		759.00	...	655.95	

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Proceeds from Broker and Barter Exchange Transactions

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NIO 11/19/2021 CALL \$44.00 / CUSIP: / Symbol:							
11/16/21	1.000	16.98	11/12/21	116.00	...	-99.02	Option sale to close-call ²⁵
NIO 11/19/2021 PUT \$38.00 / CUSIP: / Symbol:							
11/18/21	5.000	214.98	11/09/21	605.00	...	-390.02	Option sale to close-put ²⁵
NKE 06/25/2021 PUT \$150.00 / CUSIP: / Symbol:							
2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	2.000	15.98	06/25/21	148.00	...	-132.02	1 of 2 - Option sale to close-put ²⁵
	1.000	7.98	06/25/21	74.00	...	-66.02	2 of 2 - Option sale to close-put ²⁵
06/25/21	3.000	23.96	Various	222.00	...	-198.04	Total of 2 transactions
NKE 07/02/2021 PUT \$152.50 / CUSIP: / Symbol:							
07/02/21	5.000	0.00	06/25/21	920.00	...	-920.00	Option expiration- long put
NKLA 01/29/2021 CALL \$21.00 / CUSIP: / Symbol:							
01/26/21	1.000	233.98	01/25/21	120.00	...	113.98	Option sale to close-call ²⁵
NKLA 07/16/2021 CALL \$18.00 / CUSIP: / Symbol:							
06/28/21	1.000	210.98	06/23/21	170.00	...	40.98	Option sale to close-call ²⁵
PBI 02/19/2021 PUT \$9.00 / CUSIP: / Symbol:							
01/27/21	1.000	149.98	01/26/21	100.00	...	49.98	Option sale to close-put ²⁵
PCAR 02/19/2021 PUT \$96.80 / CUSIP: / Symbol:							
01/26/21	1.000	369.98	01/25/21	280.00	...	89.98	Option sale to close-put ²⁵
PEP 07/16/2021 CALL \$152.50 / CUSIP: / Symbol:							
3 transactions for 07/15/21. Total proceeds and cost reported to the IRS.							
	1.000	266.99	07/13/21	103.00	...	163.99	1 of 3 - Option sale to close-call ²⁵
	1.000	266.99	07/13/21	103.00	...	163.99	2 of 3 - Option sale to close-call ²⁵
	2.000	533.98	07/13/21	94.00	...	439.98	3 of 3 - Option sale to close-call ²⁵
07/15/21	4.000	1,067.96	Various	300.00	...	767.96	Total of 3 transactions

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(continued)

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PFE 06/11/2021 CALL \$40.50 / CUSIP: / Symbol:							
3 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	7.000	0.00	06/10/21	126.00	...	-126.00	1 of 3 - Option expiration- long call
	2.000	0.00	06/10/21	36.00	...	-36.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/10/21	18.00	...	-18.00	3 of 3 - Option expiration- long call
06/11/21	10.000	0.00	Various	180.00	...	-180.00	Total of 3 transactions
PFE 08/27/2021 CALL \$50.00 / CUSIP: / Symbol:							
08/24/21	1.000	42.98	08/23/21	100.00	...	-57.02	Option sale to close-call ²⁵
PLTR 01/08/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/08/21	1.000	66.98	01/07/21	52.00	...	14.98	Option sale to close-call ²⁵
PLTR 02/05/2021 CALL \$41.00 / CUSIP: / Symbol:							
02/05/21	1.000	0.00	01/27/21	530.00	...	-530.00	Option expiration- long call
PLTR 02/12/2021 CALL \$38.00 / CUSIP: / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/08/21	192.00	...	-192.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/08/21	192.00	...	-192.00	2 of 2 - Option expiration- long call
02/12/21	2.000	0.00	Various	384.00	...	-384.00	Total of 2 transactions
PLTR 12/03/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	8.000	63.97	12/01/21	280.00	...	-216.03	1 of 2 - Option sale to close-call ²⁵
	1.000	8.00	12/01/21	62.02	...	-54.02	2 of 2 - Option sale to close-call ²⁵
12/02/21	9.000	71.97	Various	342.02	...	-270.05	Total of 2 transactions
12/02/21	1.000	7.98	12/01/21	35.00	27.02 W	0.00	Option sale to close-call ²⁵
	Security total:	79.95		377.02	27.02 W	-270.05	
PLTR 12/03/2021 CALL \$22.50 / CUSIP: / Symbol:							
11/30/21	10.000	59.97	11/19/21	490.00	...	-430.03	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 12/03/2021 PUT \$20.50 / CUSIP: / Symbol:							
2 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	5.000	239.98	11/29/21	140.00	...	99.98	1 of 2 - Option sale to close-put ²⁵
	5.000	239.99	11/29/21	140.00	...	99.99	2 of 2 - Option sale to close-put ²⁵
11/30/21	10.000	479.97	Various	280.00	...	199.97	Total of 2 transactions
PLTR 12/10/2021 CALL \$21.00 / CUSIP: / Symbol:							
5 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	12/07/21	32.00	...	-32.00	1 of 5 - Option expiration- long call
	2.000	0.00	12/07/21	16.00	...	-16.00	2 of 5 - Option expiration- long call
	2.000	0.00	12/07/21	16.00	...	-16.00	3 of 5 - Option expiration- long call
	1.000	0.00	12/07/21	8.00	...	-8.00	4 of 5 - Option expiration- long call
	1.000	0.00	12/07/21	8.00	...	-8.00	5 of 5 - Option expiration- long call
12/10/21	10.000	0.00	Various	80.00	...	-80.00	Total of 5 transactions
PLUG 01/15/2021 PUT \$62.00 / CUSIP: / Symbol:							
3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	1.000	116.98	01/14/21	150.00	...	-33.02	1 of 3 - Option sale to close-put ²⁵
	1.000	344.99	01/14/21	177.02	...	167.97	2 of 3 - Option sale to close-put ²⁵
	1.000	344.98	01/14/21	177.01	...	167.97	3 of 3 - Option sale to close-put ²⁵
01/15/21	3.000	806.95	Various	504.03	...	302.92	Total of 3 transactions
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	2.000	233.98	01/14/21	300.00	33.01 W	-33.01	1 of 2 - Option sale to close-put ²⁵
	1.000	116.98	01/14/21	150.00	33.02 W	0.00	2 of 2 - Option sale to close-put ²⁵
01/15/21	3.000	350.96	Various	450.00	66.03 W	-33.01	Total of 2 transactions
	Security total:	1,157.91		954.03	66.03 W	269.91	
PLUG 06/25/2021 CALL \$33.00 / CUSIP: / Symbol:							
06/23/21	5.000	684.98	06/23/21	360.00	...	324.98	Option sale to close-call ²⁵
PLUG 09/24/2021 CALL \$29.00 / CUSIP: / Symbol:							
5 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	1.000	0.98	09/23/21	13.01	...	-12.03	1 of 5 - Option sale to close-call ²⁵
	1.000	0.99	09/23/21	13.01	...	-12.02	2 of 5 - Option sale to close-call ²⁵
	1.000	0.99	09/23/21	13.00	...	-12.01	3 of 5 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PLUG 09/24/2021 CALL \$29.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.00	09/23/21	13.00	...	-12.00	4 of 5 - Option sale to close-call ²⁵
	1.000	1.00	09/23/21	7.00	...	-6.00	5 of 5 - Option sale to close-call ²⁵
09/24/21	5.000	4.96	Various	59.02	...	-54.06	Total of 5 transactions
2 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	3.000	2.99	09/23/21	21.00	12.01 W	-6.00	1 of 2 - Option sale to close-call ²⁵
	2.000	1.99	09/23/21	14.00	12.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/24/21	5.000	4.98	Various	35.00	24.02 W	-6.00	Total of 2 transactions
Security total:		9.94		94.02	24.02 W	-60.06	
PYPL 02/05/2021 CALL \$267.50 / CUSIP: / Symbol:							
02/05/21	1.000	154.98	02/04/21	520.00	...	-365.02	Option sale to close-call ²⁵
PYPL 07/30/2021 PUT \$280.00 / CUSIP: / Symbol:							
07/30/21	2.000	525.98	07/29/21	132.00	...	393.98	Option sale to close-put ²⁵
QQQ 08/04/2021 PUT \$360.00 / CUSIP: / Symbol:							
08/04/21	3.000	0.00	08/03/21	252.00	...	-252.00	Option expiration- long put
QQQ 09/17/2021 PUT \$375.00 / CUSIP: / Symbol:							
3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	1.000	139.99	09/02/21	297.00	...	-157.01	1 of 3 - Option sale to close-put ²⁵
	1.000	140.00	09/10/21	274.00	...	-134.00	2 of 3 - Option sale to close-put ²⁵
	1.000	139.99	09/13/21	186.00	...	-46.01	3 of 3 - Option sale to close-put ²⁵
09/17/21	3.000	419.98	Various	757.00	...	-337.02	Total of 3 transactions
QS 09/24/2021 CALL \$28.00 / CUSIP: / Symbol:							
09/24/21	1.000	17.98	09/23/21	71.00	...	-53.02	Option sale to close-call ²⁵
QS 11/12/2021 CALL \$37.00 / CUSIP: / Symbol:							
11/08/21	1.000	304.98	11/08/21	179.00	...	125.98	Option sale to close-call ²⁵
RIOT 06/18/2021 PUT \$37.00 / CUSIP: / Symbol:							
06/16/21	2.000	679.98	06/14/21	374.00	...	305.98	Option sale to close-put ²⁵
ROKU 01/15/2021 CALL \$410.00 / CUSIP: / Symbol:							
01/13/21	1.000	1,299.96	01/11/21	925.00	...	374.96	Option sale to close-call ²⁵

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Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROKU 01/15/2021 CALL \$420.00 / CUSIP: / Symbol: 01/13/21	1.000	564.97	01/12/21	925.00	...	-360.03	Option sale to close-call ²⁵
ROKU 01/22/2021 CALL \$460.00 / CUSIP: / Symbol: 01/22/21	1.000	3.98	01/21/21	44.00	...	-40.02	Option sale to close-call ²⁵
ROKU 09/24/2021 CALL \$340.00 / CUSIP: / Symbol: 09/24/21	1.000	22.98	09/23/21	173.00	...	-150.02	Option sale to close-call ²⁵
ROKU 12/10/2021 PUT \$245.00 / CUSIP: / Symbol: 12/09/21	1.000	263.98	12/08/21	191.00	...	72.98	Option sale to close-put ²⁵
SHOP 07/16/2021 CALL \$1,600.00 / CUSIP: / Symbol: 07/16/21	1.000	0.00	07/14/21	470.00	...	-470.00	Option expiration- long call
SNOW 02/12/2021 CALL \$320.00 / CUSIP: / Symbol: 02/12/21	1.000	0.00	02/08/21	1,030.00	...	-1,030.00	Option expiration- long call
SNOW 02/19/2021 CALL \$320.00 / CUSIP: / Symbol: 02/19/21	1.000	9.98	02/11/21	890.00	...	-880.02	Option sale to close-call ²⁵
SNOW 02/19/2021 CALL \$325.00 / CUSIP: / Symbol: 02/19/21	1.000	0.00	02/09/21	1,090.00	...	-1,090.00	Option expiration- long call
SNOW 09/03/2021 CALL \$305.00 / CUSIP: / Symbol: 09/01/21	1.000	549.98	08/31/21	270.00	...	279.98	Option sale to close-call ²⁵
SPCE 08/20/2021 PUT \$25.00 / CUSIP: / Symbol: 08/18/21	2.000	115.98	08/13/21	218.00	...	-102.02	Option sale to close-put ²⁵
SPY 01/08/2021 CALL \$378.00 / CUSIP: / Symbol: 01/08/21	1.000	329.98	01/07/21	215.00	...	114.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 01/29/2021 PUT \$375.00 / CUSIP: / Symbol:							
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	2.000	341.99	01/27/21	580.00	...	-238.01	1 of 3 - Option sale to close-put ²⁵
	1.000	170.99	01/27/21	280.00	...	-109.01	2 of 3 - Option sale to close-put ²⁵
	1.000	170.99	01/27/21	280.00	...	-109.01	3 of 3 - Option sale to close-put ²⁵
01/27/21	4.000	683.97	Various	1,140.00	...	-456.03	Total of 3 transactions
SPY 03/19/2021 PUT \$388.00 / CUSIP: / Symbol:							
03/19/21	2.000	389.98	03/19/21	182.00	...	207.98	Option sale to close-put ²⁵
SPY 03/26/2021 PUT \$391.00 / CUSIP: / Symbol:							
2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	3.000	221.99	03/26/21	276.00	...	-54.01	1 of 2 - Option sale to close-put ²⁵
	2.000	147.99	03/26/21	182.00	...	-34.01	2 of 2 - Option sale to close-put ²⁵
03/26/21	5.000	369.98	Various	458.00	...	-88.02	Total of 2 transactions
SPY 04/09/2021 PUT \$408.00 / CUSIP: / Symbol:							
2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	3.000	98.99	04/08/21	270.00	...	-171.01	1 of 2 - Option sale to close-put ²⁵
	2.000	65.99	04/08/21	180.00	...	-114.01	2 of 2 - Option sale to close-put ²⁵
04/09/21	5.000	164.98	Various	450.00	...	-285.02	Total of 2 transactions
SPY 04/16/2021 CALL \$417.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	34.99	04/16/21	88.00	...	-53.01	1 of 2 - Option sale to close-call ²⁵
	1.000	34.99	04/16/21	88.00	...	-53.01	2 of 2 - Option sale to close-call ²⁵
04/16/21	2.000	69.98	Various	176.00	...	-106.02	Total of 2 transactions
SPY 05/05/2021 PUT \$415.00 / CUSIP: / Symbol:							
05/05/21	2.000	17.98	05/05/21	160.00	...	-142.02	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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SPY 05/28/2021 CALL \$421.00 / CUSIP: / Symbol:							
3 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	1.000	57.00	05/28/21	53.00	...	4.00	1 of 3 - Option sale to close-call ²⁵
	2.000	113.99	05/28/21	106.00	...	7.99	2 of 3 - Option sale to close-call ²⁵
	2.000	113.99	05/28/21	106.00	...	7.99	3 of 3 - Option sale to close-call ²⁵
05/28/21	5.000	284.98	Various	265.00	...	19.98	Total of 3 transactions
SPY 06/04/2021 PUT \$421.00 / CUSIP: / Symbol:							
06/04/21	3.000	38.98	06/04/21	213.00	...	-174.02	Option sale to close-put ²⁵
SPY 06/11/2021 CALL \$425.00 / CUSIP: / Symbol:							
4 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	4.000	11.99	06/11/21	116.00	...	-104.01	1 of 4 - Option sale to close-call ²⁵
	2.000	5.99	06/11/21	58.00	...	-52.01	2 of 4 - Option sale to close-call ²⁵
	2.000	5.99	06/11/21	58.00	...	-52.01	3 of 4 - Option sale to close-call ²⁵
	2.000	6.00	06/11/21	58.00	...	-52.00	4 of 4 - Option sale to close-call ²⁵
06/11/21	10.000	29.97	Various	290.00	...	-260.03	Total of 4 transactions
SPY 06/18/2021 CALL \$418.00 / CUSIP: / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	1.000	5.98	06/18/21	124.00	...	-118.02	1 of 2 - Option sale to close-call ²⁵
	1.000	24.98	06/18/21	124.00	...	-99.02	2 of 2 - Option sale to close-call ²⁵
06/18/21	2.000	30.96	Various	248.00	...	-217.04	Total of 2 transactions
SPY 06/23/2021 PUT \$420.00 / CUSIP: / Symbol:							
06/23/21	2.000	21.98	06/22/21	240.00	...	-218.02	Option sale to close-put ²⁵
SPY 07/02/2021 CALL \$432.00 / CUSIP: / Symbol:							
2 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	2.000	255.98	07/02/21	66.00	...	189.98	1 of 2 - Option sale to close-call ²⁵
	3.000	383.98	07/02/21	99.00	...	284.98	2 of 2 - Option sale to close-call ²⁵
07/02/21	5.000	639.96	Various	165.00	...	474.96	Total of 2 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 07/07/2021 CALL \$435.00 / CUSIP: / Symbol:							
3 transactions for 07/07/21. Total proceeds and cost reported to the IRS.							
	4.000	55.99	07/07/21	72.00	...	-16.01	1 of 3 - Option sale to close-call ²⁵
	3.000	41.99	07/07/21	54.00	...	-12.01	2 of 3 - Option sale to close-call ²⁵
	3.000	41.99	07/07/21	54.00	...	-12.01	3 of 3 - Option sale to close-call ²⁵
07/07/21	10.000	139.97	Various	180.00	...	-40.03	Total of 3 transactions
SPY 07/12/2021 CALL \$438.00 / CUSIP: / Symbol:							
07/12/21	10.000	39.97	07/12/21	90.00	...	-50.03	Option sale to close-call ²⁵
SPY 07/21/2021 CALL \$424.00 / CUSIP: / Symbol:							
07/20/21	1.000	575.98	07/19/21	316.00	...	259.98	Option sale to close-call ²⁵
SPY 07/23/2021 PUT \$434.00 / CUSIP: / Symbol:							
07/23/21	3.000	5.98	07/22/21	387.00	...	-381.02	Option sale to close-put ²⁵
SPY 08/16/2021 PUT \$443.00 / CUSIP: / Symbol:							
08/16/21	5.000	9.98	08/16/21	185.00	...	-175.02	Option sale to close-put ²⁵
SPY 08/25/2021 CALL \$449.00 / CUSIP: / Symbol:							
08/25/21	4.000	175.98	08/24/21	228.00	...	-52.02	Option sale to close-call ²⁵
SPY 08/25/2021 PUT \$447.00 / CUSIP: / Symbol:							
08/25/21	2.000	5.98	08/25/21	74.00	...	-68.02	Option sale to close-put ²⁵
SPY 08/27/2021 PUT \$445.00 / CUSIP: / Symbol:							
08/27/21	2.000	0.00	08/27/21	108.00	...	-108.00	Option expiration- long put
SPY 08/27/2021 PUT \$447.00 / CUSIP: / Symbol:							
08/27/21	2.000	3.98	08/27/21	174.00	...	-170.02	Option sale to close-put ²⁵
SPY 09/20/2021 CALL \$442.00 / CUSIP: / Symbol:							
09/20/21	2.000	0.00	09/17/21	338.00	...	-338.00	Option expiration- long call
SPY 09/29/2021 PUT \$439.00 / CUSIP: / Symbol:							
09/28/21	1.000	390.98	09/28/21	191.00	...	199.98	Option sale to close-put ²⁵

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(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPY 10/01/2021 CALL \$432.00 / CUSIP: / Symbol:							
2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	2.000	159.98	09/30/21	392.00	...	-232.02	1 of 2 - Option sale to close-call ²⁵
	1.000	91.98	09/30/21	196.00	...	-104.02	2 of 2 - Option sale to close-call ²⁵
10/01/21	3.000	251.96	Various	588.00	...	-336.04	Total of 2 transactions
SPY 10/01/2021 PUT \$429.00 / CUSIP: / Symbol:							
2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	1.000	135.98	10/01/21	116.00	...	19.98	1 of 2 - Option sale to close-put ²⁵
	1.000	135.98	10/01/21	116.00	...	19.98	2 of 2 - Option sale to close-put ²⁵
10/01/21	2.000	271.96	Various	232.00	...	39.96	Total of 2 transactions
SPY 10/06/2021 CALL \$429.00 / CUSIP: / Symbol:							
2 transactions for 10/05/21. Total proceeds and cost reported to the IRS.							
	1.000	488.99	10/04/21	283.00	...	205.99	1 of 2 - Option sale to close-call ²⁵
	1.000	488.99	10/04/21	283.00	...	205.99	2 of 2 - Option sale to close-call ²⁵
10/05/21	2.000	977.98	Various	566.00	...	411.98	Total of 2 transactions
SPY 10/06/2021 CALL \$432.00 / CUSIP: / Symbol:							
10/06/21	2.000	265.98	10/06/21	176.00	...	89.98	Option sale to close-call ²⁵
SPY 10/15/2021 PUT \$437.50 / CUSIP: / Symbol:							
10/15/21	2.000	0.00	10/14/21	236.00	...	-236.00	Option expiration- long put
SPY 10/18/2021 PUT \$443.00 / CUSIP: / Symbol:							
10/18/21	3.000	2.98	10/15/21	243.00	...	-240.02	Option sale to close-put ²⁵
SPY 10/25/2021 PUT \$450.00 / CUSIP: / Symbol:							
10/25/21	1.000	0.00	10/22/21	34.00	...	-34.00	Option expiration- long put
SPY 11/10/2021 CALL \$469.00 / CUSIP: / Symbol:							
11/10/21	5.000	0.00	11/10/21	95.00	...	-95.00	Option expiration- long call
SPY 11/12/2021 PUT \$462.00 / CUSIP: / Symbol:							
11/12/21	5.000	0.00	11/11/21	250.00	...	-250.00	Option expiration- long put

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Robinhood Securities LLC

Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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SPY 11/17/2021 CALL \$472.00 / CUSIP: / Symbol:							
11/17/21	2.000	0.00	11/16/21	38.00	...	-38.00	Option expiration- long call
SPY 11/26/2021 CALL \$466.00 / CUSIP: / Symbol:							
11/24/21	2.000	483.98	11/24/21	382.00	...	101.98	Option sale to close-call ²⁵
SPY 11/26/2021 PUT \$468.00 / CUSIP: / Symbol:							
2 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	1.000	587.99	11/24/21	132.00	...	455.99	1 of 2 - Option sale to close-put ²⁵
	3.000	1,763.98	11/24/21	384.00	...	1,379.98	2 of 2 - Option sale to close-put ²⁵
11/26/21	4.000	2,351.97	Various	516.00	...	1,835.97	Total of 2 transactions
SPY 12/01/2021 CALL \$463.00 / CUSIP: / Symbol:							
2 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	2.000	139.99	11/30/21	114.00	...	25.99	1 of 2 - Option sale to close-call ²⁵
	3.000	209.99	11/30/21	171.00	...	38.99	2 of 2 - Option sale to close-call ²⁵
12/01/21	5.000	349.98	Various	285.00	...	64.98	Total of 2 transactions
SPY 12/03/2021 PUT \$450.00 / CUSIP: / Symbol:							
12/03/21	1.000	62.98	12/02/21	171.00	...	-108.02	Option sale to close-put ²⁵
SPY 12/06/2021 CALL \$459.00 / CUSIP: / Symbol:							
3 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	2.000	147.99	12/03/21	162.00	...	-14.01	1 of 3 - Option sale to close-call ²⁵
	1.000	74.00	12/03/21	82.00	...	-8.00	2 of 3 - Option sale to close-call ²⁵
	2.000	147.99	12/03/21	140.00	...	7.99	3 of 3 - Option sale to close-call ²⁵
12/06/21	5.000	369.98	Various	384.00	...	-14.02	Total of 3 transactions
SPY 12/08/2021 PUT \$461.00 / CUSIP: / Symbol:							
12/08/21	5.000	0.00	12/07/21	480.00	...	-480.00	Option expiration- long put
SPY 12/10/2021 PUT \$467.00 / CUSIP: / Symbol:							
12/10/21	2.000	63.98	12/09/21	372.00	...	-308.02	Option sale to close-put ²⁵
SPY 12/17/2021 PUT \$469.00 / CUSIP: / Symbol:							
12/16/21	3.000	830.98	12/16/21	423.00	...	407.98	Option sale to close-put ²⁵

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(continued)

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SPY 12/22/2021 CALL \$460.00 / CUSIP: / Symbol:							
12/21/21	3.000	767.98	12/20/21	468.00	...	299.98	Option sale to close-call ²⁵
SPY 12/23/2021 PUT \$460.00 / CUSIP: / Symbol:							
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/22/21	98.00	...	-98.00	1 of 2 - Option expiration- long put
	1.000	0.00	12/22/21	98.00	...	-98.00	2 of 2 - Option expiration- long put
12/23/21	2.000	0.00	Various	196.00	...	-196.00	Total of 2 transactions
SPY 12/23/2021 PUT \$464.00 / CUSIP: / Symbol:							
12/23/21	3.000	11.98	12/22/21	258.00	...	-246.02	Option sale to close-put ²⁵
SPY 12/29/2021 PUT \$464.00 / CUSIP: / Symbol:							
3 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/23/21	99.00	...	-99.00	1 of 3 - Option expiration- long put
	1.000	0.00	12/23/21	99.00	...	-99.00	2 of 3 - Option expiration- long put
	1.000	0.00	12/23/21	99.00	...	-99.00	3 of 3 - Option expiration- long put
12/29/21	3.000	0.00	Various	297.00	...	-297.00	Total of 3 transactions
SPY 12/29/2021 PUT \$473.00 / CUSIP: / Symbol:							
12/29/21	3.000	0.00	12/27/21	288.00	...	-288.00	Option expiration- long put
SPY 12/31/2021 PUT \$474.00 / CUSIP: / Symbol:							
12/31/21	4.000	55.98	12/29/21	356.00	...	-300.02	Option sale to close-put ²⁵
TLRY 02/19/2021 PUT \$26.00 / CUSIP: / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	9.000	0.00	02/18/21	135.00	...	-135.00	1 of 2 - Option expiration- long put
	1.000	0.00	02/18/21	15.00	...	-15.00	2 of 2 - Option expiration- long put
02/19/21	10.000	0.00	Various	150.00	...	-150.00	Total of 2 transactions
TSLA 01/08/2021 CALL \$800.00 / CUSIP: / Symbol:							
01/07/21	1.000	1,404.95	01/07/21	1,215.00	...	189.95	Option sale to close-call ²⁵
TSLA 01/15/2021 PUT \$820.00 / CUSIP: / Symbol:							
01/13/21	1.000	1,182.96	01/13/21	1,135.00	...	47.96	Option sale to close-put ²⁵

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TSLA 01/22/2021 CALL \$850.00 / CUSIP: / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	1.000	11.99	01/21/21	1,075.00	...	-1,063.01	1 of 2 - Option sale to close-call ²⁵
	1.000	11.99	01/21/21	770.00	...	-758.01	2 of 2 - Option sale to close-call ²⁵
01/22/21	2.000	23.98	Various	1,845.00	...	-1,821.02	Total of 2 transactions
TSLA 07/02/2021 CALL \$700.00 / CUSIP: / Symbol:							
07/01/21	1.000	354.98	06/30/21	982.00	...	-627.02	Option sale to close-call ²⁵
TSLA 07/30/2021 CALL \$700.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/30/21	224.00	...	-224.00	Option expiration- long call
TSLA 10/29/2021 PUT \$1,020.00 / CUSIP: / Symbol:							
10/29/21	1.000	0.00	10/29/21	105.00	...	-105.00	Option expiration- long put
TSLA 10/29/2021 PUT \$940.00 / CUSIP: / Symbol:							
10/29/21	1.000	0.00	10/25/21	430.00	...	-430.00	Option expiration- long put
TWTR 01/22/2021 CALL \$46.00 / CUSIP: / Symbol:							
01/21/21	1.000	202.98	01/14/21	136.00	...	66.98	Option sale to close-call ²⁵
TWTR 10/01/2021 CALL \$67.00 / CUSIP: / Symbol:							
09/28/21	1.000	15.98	09/24/21	132.00	...	-116.02	Option sale to close-call ²⁵
UAL 12/03/2021 PUT \$37.00 / CUSIP: / Symbol:							
2 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	2.000	43.98	12/01/21	136.00	...	-92.02	1 of 2 - Option sale to close-put ²⁵
	1.000	21.98	12/01/21	68.00	...	-46.02	2 of 2 - Option sale to close-put ²⁵
12/02/21	3.000	65.96	Various	204.00	...	-138.04	Total of 2 transactions
UAL 12/10/2021 PUT \$38.00 / CUSIP: / Symbol:							
12/03/21	5.000	399.98	12/02/21	430.00	...	-30.02	Option sale to close-put ²⁵

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UNH 07/02/2021 CALL \$402.50 / CUSIP: / Symbol:							
2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	1.000	255.99	06/28/21	256.00	...	-0.01	1 of 2 - Option sale to close-call ²⁵
	1.000	255.99	06/28/21	255.00	...	0.99	2 of 2 - Option sale to close-call ²⁵
07/01/21	2.000	511.98	Various	511.00	...	0.98	Total of 2 transactions
UNH 07/09/2021 CALL \$405.00 / CUSIP: / Symbol:							
07/09/21	1.000	674.98	07/01/21	340.00	...	334.98	Option sale to close-call ²⁵
UPST 09/17/2021 PUT \$270.00 / CUSIP: / Symbol:							
09/17/21	1.000	6.98	09/14/21	630.00	...	-623.02	Option sale to close-put ²⁵
V 07/16/2021 CALL \$250.00 / CUSIP: / Symbol:							
3 transactions for 07/15/21. Total proceeds and cost reported to the IRS.							
	1.000	47.98	07/14/21	33.00	...	14.98	1 of 3 - Option sale to close-call ²⁵
	1.000	47.99	07/14/21	33.00	...	14.99	2 of 3 - Option sale to close-call ²⁵
	1.000	47.99	07/14/21	33.00	...	14.99	3 of 3 - Option sale to close-call ²⁵
07/15/21	3.000	143.96	Various	99.00	...	44.96	Total of 3 transactions
WISH 07/09/2021 CALL \$12.50 / CUSIP: / Symbol:							
07/08/21	1.000	7.98	07/01/21	335.06	...	-327.08	Option sale to close-call ²⁵
3 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	1.000	7.98	07/01/21	89.00	81.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	7.98	07/01/21	171.02	163.04 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	7.98	07/01/21	253.04	245.06 W	0.00	3 of 3 - Option sale to close-call ²⁵
07/08/21	3.000	23.94	Various	513.06	489.12 W	0.00	Total of 3 transactions
	Security total:	31.92		848.12	489.12 W	-327.08	
ZM 01/08/2021 CALL \$400.00 / CUSIP: / Symbol:							
01/08/21	1.000	0.00	01/04/21	125.00	...	-125.00	Option expiration- long call
ZM 01/15/2021 CALL \$350.00 / CUSIP: / Symbol:							
01/13/21	1.000	1,809.94	01/13/21	880.00	...	929.94	Option sale to close-call ²⁵

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ZM 01/15/2021 CALL \$400.00 / CUSIP: / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	1.000	539.97	01/04/21	530.00	...	9.97	1 of 2 - Option sale to close-call ²⁵
	1.000	539.97	01/04/21	530.00	...	9.97	2 of 2 - Option sale to close-call ²⁵
01/04/21	2.000	1,079.94	Various	1,060.00	...	19.94	Total of 2 transactions
ZM 01/29/2021 CALL \$385.00 / CUSIP: / Symbol:							
01/28/21	1.000	134.98	01/26/21	950.00	...	-815.02	Option sale to close-call ²⁵
ZM 02/12/2021 CALL \$420.00 / CUSIP: / Symbol:							
02/08/21	1.000	1,199.96	02/05/21	970.00	...	229.96	Option sale to close-call ²⁵
ZM 04/01/2021 CALL \$310.00 / CUSIP: / Symbol:							
04/01/21	1.000	2,059.97	03/26/21	850.00	...	1,209.97	Option sale to close-call ²⁵
ZM 08/20/2021 CALL \$355.00 / CUSIP: / Symbol:							
08/16/21	1.000	299.98	08/16/21	530.00	...	-230.02	Option sale to close-call ²⁵
ZM 08/20/2021 CALL \$360.00 / CUSIP: / Symbol:							
08/16/21	1.000	224.98	08/13/21	510.00	...	-285.02	Option sale to close-call ²⁵
ABVC BIOPHARMA, INC. COMMON STOCK / CUSIP: 00091F106 / Symbol:							
09/13/21	100.000	322.36	08/26/21	241.00	...	81.36	Sale ²⁵
ACER THERAPEUTICS INC. COMMON STOCK (DE) / CUSIP: 00444P108 / Symbol:							
10/22/21	99.000	233.63	01/25/21	423.41	...	-189.78	Sale ²⁵
11/24/21	1.000	2.21	01/25/21	4.28	...	-2.07	Sale ²⁵
	Security total:	235.84		427.69	...	-191.85	
AEYE, INC. CLASS A COMMON STOCK / CUSIP: 008183105 / Symbol:							
09/22/21	99.000	900.88	09/17/21	869.86	...	31.02	Sale ²⁵
11/24/21	1.000	5.02	09/17/21	8.79	...	-3.77	Sale ²⁵
	Security total:	905.90		878.65	...	27.25	

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ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol:							
2 transactions for 09/13/21. Total proceeds and cost reported to the IRS.							
	4.000	71.16	09/08/21	35.75	...	35.41	1 of 2 - Sale ²⁵
	96.000	1,707.34	09/08/21	857.90	...	849.44	2 of 2 - Sale ²⁵
09/13/21	100.000	1,778.50	Various	893.65	...	884.85	Total of 2 transactions
09/23/21	99.000	1,410.73	09/16/21	984.56	...	426.17	Sale ²⁵
09/23/21	1.000	14.25	09/13/21	17.86	3.61 W	0.00	Sale ²⁵
	Security total:	3,203.48		1,896.07	3.61 W	1,311.02	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
01/04/21	200.000	1,206.95	01/04/21	1,064.00	...	142.95	Sale ²⁵
BROOKLYN IMMUNOTHERAPEUTICS, I NC. COMMON STOCK / CUSIP: 114082100 / Symbol:							
04/06/21	100.000	807.08	03/16/21	947.78	...	-140.70	Sale ²⁵
CF ACQUISITION CORP. VI CLASS A COMMON STOCK / CUSIP: 12521J103 / Symbol:							
2 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	1.000	12.55	12/03/21	11.68	...	0.87	1 of 2 - Sale ²⁵
	99.000	1,242.44	12/03/21	1,156.31	...	86.13	2 of 2 - Sale ²⁵
12/06/21	100.000	1,254.99	Various	1,167.99	...	87.00	Total of 2 transactions
COMSOVEREIGN HOLDING CORP. COM MON STOCK / CUSIP: 205650203 / Symbol:							
11/08/21	158.000	210.20	10/25/21	291.51	...	-81.31	Sale ²⁵
11/08/21	41.000	54.55	10/25/21	75.65	0.51 W	-20.59	Sale ²⁵
12/21/21	1.000	0.82	10/25/21	2.36	...	-1.54	Sale ²⁵
	Security total:	265.57		369.52	0.51 W	-103.44	
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
6 transactions for 09/14/21. Total proceeds and cost reported to the IRS.							
	1.000	1.95	09/01/21	1.87	...	0.08	1 of 6 - Sale ²⁵
	246.000	442.77	09/14/21	560.35	...	-117.58	2 of 6 - Sale ²⁵
	121.000	217.78	09/14/21	275.62	...	-57.84	3 of 6 - Sale ²⁵
	500.000	899.94	09/14/21	954.60	...	-54.66	4 of 6 - Sale ²⁵
	79.000	142.19	09/14/21	179.95	...	-37.76	5 of 6 - Sale ²⁵
	53.000	95.39	09/14/21	120.73	...	-25.34	6 of 6 - Sale ²⁵
09/14/21	1,000.000	1,800.02	Various	2,093.12	...	-293.10	Total of 6 transactions

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Robinhood Securities LLC

Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol: (cont'd)							
<i>8 transactions for 09/14/21. Total proceeds and cost reported to the IRS.</i>							
	246.000	479.67	09/08/21	526.44	46.77 W	0.00	1 of 8 - Sale ²⁵
	200.000	389.98	09/08/21	428.00	38.02 W	0.00	2 of 8 - Sale ²⁵
	54.000	105.29	09/08/21	115.56	10.27 W	0.00	3 of 8 - Sale ²⁵
	121.000	235.93	09/08/21	280.54	44.61 W	0.00	4 of 8 - Sale ²⁵
	246.000	479.67	09/08/21	570.36	90.69 W	0.00	5 of 8 - Sale ²⁵
	79.000	154.04	09/08/21	183.16	29.12 W	0.00	6 of 8 - Sale ²⁵
	53.000	103.34	09/08/21	122.88	19.54 W	0.00	7 of 8 - Sale ²⁵
	1.000	1.80	09/08/21	2.32	0.52 W	0.00	8 of 8 - Sale ²⁵
09/14/21	1,000.000	1,949.72	Various	2,229.26	279.54 W	0.00	Total of 8 transactions
12/21/21	1.000	1.24	09/14/21	2.43	...	-1.19	Sale ²⁵
	Security total:	3,750.98		4,324.81	279.54 W	-294.29	
GRITSTONE BIO, INC. COMMON STO CK / CUSIP: 39868T105 / Symbol:							
11/24/21	1.000	10.59	01/20/21	29.70	...	-19.11	Sale ²⁵
GROM SOCIAL ENTERPRISES INC. C OMMON STOCK / CUSIP: 39878L308 / Symbol:							
10/11/21	100.000	458.99	10/07/21	591.26	...	-132.27	Sale ²⁵
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
01/08/21	80.000	245.19	01/06/21	200.62	...	44.57	Sale ²⁵
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
01/08/21	400.000	1,475.91	01/05/21	1,150.00	...	325.91	Sale ²⁵
KADMON HOLDINGS, INC. COMMON S TOCK / CUSIP: 48283N106 / Symbol:							
10/22/21	90.000	845.98	10/21/21	844.74	...	1.24	Sale ²⁵
KATAPULT HOLDINGS, INC. COMMON STOCK / CUSIP: 485859102 / Symbol:							
11/17/21	200.000	917.97	11/09/21	997.66	...	-79.69	Sale ²⁵
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
<i>2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.</i>							
	200.000	135.97	12/24/20	93.98	...	41.99	1 of 2 - Sale ²⁵
	800.000	543.89	12/24/20	375.92	...	167.97	2 of 2 - Sale ²⁵
01/06/21	1,000.000	679.86	Various	469.90	...	209.96	Total of 2 transactions

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Robinhood Securities LLC

Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
2 transactions for 09/08/21. Total proceeds and cost reported to the IRS.							
	2.000	52.66	03/19/21	47.81	...	4.85	1 of 2 - Sale ²⁵
	48.000	1,263.83	03/19/21	1,170.96	...	92.87	2 of 2 - Sale ²⁵
09/08/21	50.000	1,316.49	Various	1,218.77	...	97.72	Total of 2 transactions
09/09/21	50.000	1,304.99	03/19/21	1,219.75	...	85.24	Sale ²⁵
	Security total:	2,621.48		2,438.52	...	182.96	
PHIO PHARMACEUTICALS CORP. COMMON STOCK / CUSIP: 71880W303 / Symbol:							
01/29/21	100.000	311.00	01/21/21	280.60	...	30.40	Sale ²⁵
PITNEY BOWES INC. / CUSIP: 724479100 / Symbol:							
01/27/21	100.000	1,304.96	01/26/21	1,121.00	...	183.96	Sale ²⁵
PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol:							
10/25/21	100.000	311.21	10/22/21	306.51	...	4.70	Sale ²⁵
REMARK HOLDINGS, INC. COMMON STOCK / CUSIP: 75955K102 / Symbol:							
11/08/21	100.000	168.08	10/22/21	197.50	...	-29.42	Sale ²⁵
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
10/29/21	1.000	35.53	08/23/21	43.98	...	-8.45	Sale ²⁵
SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol:							
09/28/21	100.000	642.65	09/27/21	733.93	...	-91.28	Sale ²⁵
SUNDIAL GROWERS INC. COMMON SHARES / CUSIP: 86730L109 / Symbol:							
12/21/21	1.000	0.62	04/08/21	1.01	...	-0.39	Sale ²⁵
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
10/22/21	50.000	479.79	10/22/21	501.43	...	-21.64	Sale ²⁵
VECTRUS, INC. / CUSIP: 92242T101 / Symbol:							
01/06/21	20.000	1,018.97	12/30/20	976.00	...	42.97	Sale ²⁵

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Robinhood Securities LLC

Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OTONOMO TECHNOLOGIES LTD. ORDINARY SHARES / CUSIP: M7571L103 / Symbol:							
2 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	200.000	760.97	11/30/21	823.76	...	-62.79	1 of 2 - Sale ²⁵
	100.000	379.99	11/30/21	411.88	...	-31.89	2 of 2 - Sale ²⁵
12/01/21	300.000	1,140.96	Various	1,235.64	...	-94.68	Total of 2 transactions
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	550.000	962.40	01/29/21	1,011.40	...	-49.00	1 of 3 - Sale ²⁵
	100.000	174.99	01/29/21	183.89	...	-8.90	2 of 3 - Sale ²⁵
	100.000	174.99	01/29/21	183.89	...	-8.90	3 of 3 - Sale ²⁵
01/29/21	750.000	1,312.38	Various	1,379.18	...	-66.80	Total of 3 transactions
3 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	100.000	65.09	04/08/21	62.21	...	2.88	1 of 3 - Sale ²⁵
	100.000	65.09	04/08/21	62.21	...	2.88	2 of 3 - Sale ²⁵
	800.000	520.69	04/08/21	497.67	...	23.02	3 of 3 - Sale ²⁵
10/21/21	1,000.000	650.87	Various	622.09	...	28.78	Total of 3 transactions
	Security total:	1,963.25		2,001.27	...	-38.02	
OCEANPAL INC. COMMON STOCK / CUSIP: Y6430L103 / Symbol:							
10 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	7.000	69.30	11/30/21	43.87	...	25.43	1 of 10 - Sale ²⁵
	8.000	79.12	11/30/21	50.14	...	28.98	2 of 10 - Sale ²⁵
	9.000	88.92	11/30/21	56.41	...	32.51	3 of 10 - Sale ²⁵
	10.000	98.90	11/30/21	62.68	...	36.22	4 of 10 - Sale ²⁵
	10.000	98.90	11/30/21	62.67	...	36.23	5 of 10 - Sale ²⁵
	10.000	98.90	11/30/21	62.67	...	36.23	6 of 10 - Sale ²⁵
	30.000	296.70	11/30/21	188.02	...	108.68	7 of 10 - Sale ²⁵
	30.000	296.70	11/30/21	188.02	...	108.68	8 of 10 - Sale ²⁵
	38.000	375.82	11/30/21	238.16	...	137.66	9 of 10 - Sale ²⁵
	48.000	474.72	11/30/21	300.84	...	173.88	10 of 10 - Sale ²⁵
12/01/21	200.000	1,977.98	Various	1,253.48	...	724.50	Total of 10 transactions
Totals :		123,879.39		121,573.61	1,024.01 W	3,329.79	

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Robinhood Securities LLC

Account 838382950

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
10/07/21	1.000	16.00	09/13/19	9.20	...	6.80	Merger
FITBIT, INC. CLASS A COMMON STOCK / CUSIP: 33812L102 / Symbol:							
01/15/21	1.000	7.35	06/13/19	4.47	...	2.88	Merger
Totals :		23.35		13.67		9.68	

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Robinhood Securities LLC

Account 838382950

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/29/21	1.02	Interest	
		02/26/21	1.09	Interest	
		03/31/21	1.18	Interest	
		04/30/21	1.08	Interest	
		05/28/21	0.64	Interest	
		06/30/21	0.48	Interest	
		07/30/21	0.64	Interest	
			6.13	Total Interest	
Interest payment		08/25/21	0.46	Interest	
		08/31/21	0.58	Interest	
		09/30/21	0.45	Interest	
		10/14/21	0.13	Interest	
		10/29/21	0.06	Interest	
		11/30/21	0.08	Interest	
		12/30/21	0.10	Interest	
		12/31/21	0.13	Interest	
			1.99	Total Interest	
			8.12	Total Interest	

Robinhood Securities LLC

Account 838382950

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/12/21	-5.00	Margin interest paid	
		02/11/21	-5.00	Margin interest paid	
		03/15/21	-5.00	Margin interest paid	
		04/12/21	-5.00	Margin interest paid	
		05/12/21	-5.00	Margin interest paid	
		06/11/21	-5.00	Margin interest paid	
		07/12/21	-5.00	Margin interest paid	
		08/10/21	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
Margin charge		09/09/21	-5.00	Margin interest paid	
		10/11/21	-5.00	Margin interest paid	
		11/08/21	-5.00	Margin interest paid	
		12/08/21	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
			-60.00	Total Margin interest paid	

Robinhood Securities LLC

Account 838382950

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CLOUDERA, INC.	18914U100	10/08/21	-1.00	0.00	Merger	
FITBIT, INC. CLASS A COMMON ST OCK	33812L102	01/15/21	-1.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Instructions for Recipient

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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