

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 106087448</b>	Statement Date: 02/11/2022 Document ID: 1J72 880 1G12	2021
	Bala gangadhar Chintala 17833 Althea Blue Pl Tampa, FL 33558  RECIPIENT'S TIN: XXX-XX-8458		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      51.39</p> <p>1b- Qualified dividends      46.68</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      1,918.29</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      4.71</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	117,237.65	131,604.42	0.00	12,984.11	-1,382.66
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	1.74	5.38	0.00	0.00	-3.64
	<b>Total Short-term</b>	<b>117,239.39</b>	<b>131,609.80</b>	<b>0.00</b>	<b>12,984.11</b>	<b>-1,386.30</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>117,239.39</b>	<b>131,609.80</b>	<b>0.00</b>	<b>12,984.11</b>	<b>-1,386.30</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 106087448

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	65.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/29/2021 CALL \$138.00 / CUSIP: / Symbol:							
01/22/21	1.000	424.98	01/22/21	340.00	...	84.98	Option sale to close-call <sup>25</sup>
AMC 01/22/2021 CALL \$2.00 / CUSIP: / Symbol:							
01/21/21	2.000	209.98	01/19/21	210.00	...	-0.02	Option sale to close-call <sup>25</sup>
AMD 02/05/2021 CALL \$93.50 / CUSIP: / Symbol:							
01/26/21	1.000	559.97	01/25/21	415.00	...	144.97	Option sale to close-call <sup>25</sup>
AMD 02/05/2021 CALL \$99.00 / CUSIP: / Symbol:							
	2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.						
	1.000	359.98	01/25/21	345.00	...	14.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	359.99	01/25/21	345.00	...	14.99	2 of 2 - Option sale to close-call <sup>25</sup>
01/26/21	2.000	719.97	Various	690.00	...	29.97	Total of 2 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATVI 12/17/2021 CALL \$72.50 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	11/15/21	170.00	...	-170.00	Option expiration- long call
IVR 01/21/2022 CALL \$4.00 / CUSIP: / Symbol:							
06/09/21	3.000	209.98	06/08/21	78.00	...	131.98	Option sale to close-call <sup>25</sup>
IVR 02/19/2021 CALL \$4.00 / CUSIP: / Symbol:							
02/19/21	10.000	89.97	02/19/21	0.00	...	89.97	Short sale closed- call expired Option written: 01/21/21
IVR 07/30/2021 CALL \$3.50 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/19/21	6.00	...	-6.00	Option expiration- long call
NIO 02/05/2021 CALL \$61.00 / CUSIP: / Symbol:							
3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	0.99	01/25/21	353.00	...	-352.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.00	01/25/21	353.00	...	-352.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1.99	01/26/21	670.00	...	-668.01	3 of 3 - Option sale to close-call <sup>25</sup>
02/05/21	4.000	3.98	Various	1,376.00	...	-1,372.02	Total of 3 transactions
NIO 02/05/2021 CALL \$62.00 / CUSIP: / Symbol:							
4 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	01/25/21	670.00	...	-670.00	1 of 4 - Option expiration- long call
	2.000	0.00	01/25/21	606.00	...	-606.00	2 of 4 - Option expiration- long call
	1.000	0.00	01/26/21	288.00	...	-288.00	3 of 4 - Option expiration- long call
	1.000	0.00	01/26/21	288.00	...	-288.00	4 of 4 - Option expiration- long call
02/05/21	6.000	0.00	Various	1,852.00	...	-1,852.00	Total of 4 transactions
NOG 02/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
01/27/21	1.000	79.98	01/25/21	60.00	...	19.98	Option sale to close-call <sup>25</sup>
NOVA 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/13/21	2.000	529.97	01/12/21	330.00	...	199.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OGI 03/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	5.000	499.98	01/28/21	75.00	...	424.98	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	499.97	02/09/21	480.00	...	19.97	2 of 2 - Option sale to close-call <sup>25</sup>
02/09/21	10.000	999.95	Various	555.00	...	444.95	Total of 2 transactions
RKT 01/29/2021 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	106.99	01/25/21	104.00	...	2.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	106.99	01/26/21	31.00	...	75.99	2 of 2 - Option sale to close-call <sup>25</sup>
01/27/21	2.000	213.98	Various	135.00	...	78.98	Total of 2 transactions
SBUX 02/05/2021 CALL \$110.00 / CUSIP: / Symbol:							
01/25/21	1.000	80.98	01/25/21	65.00	...	15.98	Option sale to close-call <sup>25</sup>
SDC 02/26/2021 CALL \$16.00 / CUSIP: / Symbol:							
4 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/28/21	200.00	...	-200.00	1 of 4 - Option expiration- long call
	1.000	0.00	01/28/21	126.00	...	-126.00	2 of 4 - Option expiration- long call
	1.000	0.00	02/03/21	62.00	...	-62.00	3 of 4 - Option expiration- long call
	1.000	0.00	02/05/21	69.00	...	-69.00	4 of 4 - Option expiration- long call
02/26/21	4.000	0.00	Various	457.00	...	-457.00	Total of 4 transactions
ADMA BIOLOGICS INC COMMON STOC K / CUSIP: 000899104 / Symbol:							
6 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	10.000	18.54	06/02/21	23.75	...	-5.21	1 of 6 - Sale <sup>25</sup>
	40.000	72.80	06/02/21	70.60	...	2.20	2 of 6 - Sale <sup>25</sup>
	8.000	14.83	06/03/21	13.76	...	1.07	3 of 6 - Sale <sup>25</sup>
	9.000	16.68	06/03/21	15.48	...	1.20	4 of 6 - Sale <sup>25</sup>
	9.000	16.68	06/03/21	15.48	...	1.20	5 of 6 - Sale <sup>25</sup>
	24.000	44.49	06/03/21	41.28	...	3.21	6 of 6 - Sale <sup>25</sup>
06/09/21	100.000	184.02	Various	180.35	...	3.67	Total of 6 transactions
06/09/21	10.000	18.20	01/20/21	24.30	6.10 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>202.22</b>		<b>204.65</b>	<b>6.10 W</b>	<b>3.67</b>	

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Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALFI, INC. COMMON STOCK / CUSIP: 00161P109 / Symbol:							
06/18/21	10.000	70.00	06/17/21	66.39	...	3.61	Sale <sup>25</sup>
	<i>6 transactions for 06/22/21. Total proceeds and cost reported to the IRS.</i>						
	10.000	108.90	06/21/21	79.00	...	29.90	1 of 6 - Sale <sup>25</sup>
	2.000	21.76	06/22/21	20.00	...	1.76	2 of 6 - Sale <sup>25</sup>
	17.000	185.13	06/22/21	170.00	...	15.13	3 of 6 - Sale <sup>25</sup>
	27.000	294.03	06/22/21	270.00	...	24.03	4 of 6 - Sale <sup>25</sup>
	27.000	294.03	06/22/21	270.00	...	24.03	5 of 6 - Sale <sup>25</sup>
	27.000	294.03	06/22/21	270.00	...	24.03	6 of 6 - Sale <sup>25</sup>
06/22/21	110.000	1,197.88	Various	1,079.00	...	118.88	Total of 6 transactions
	<b>Security total:</b>	<b>1,267.88</b>		<b>1,145.39</b>	...	<b>122.49</b>	
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
06/08/21	1.000	59.38	06/07/21	56.00	...	3.38	Sale <sup>25</sup>
08/25/21	1.000	44.36	07/29/21	39.00	...	5.36	Sale <sup>25</sup>
	<b>Security total:</b>	<b>103.74</b>		<b>95.00</b>	...	<b>8.74</b>	
AMMO, INC. COMMON STOCK / CUSIP: 00175J107 / Symbol:							
	<i>4 transactions for 06/09/21. Total proceeds and cost reported to the IRS.</i>						
	100.000	749.98	02/04/21	749.00	...	0.98	1 of 4 - Sale <sup>25</sup>
	50.000	374.99	06/03/21	338.50	...	36.49	2 of 4 - Sale <sup>25</sup>
	50.000	377.50	06/03/21	338.50	...	39.00	3 of 4 - Sale <sup>25</sup>
	100.000	754.98	06/03/21	677.00	...	77.98	4 of 4 - Sale <sup>25</sup>
06/09/21	300.000	2,257.45	Various	2,103.00	...	154.45	Total of 4 transactions
AT&T INC. / CUSIP: 00206R102 / Symbol:							
05/06/21	1.000	32.19	04/08/21	30.04	...	2.15	Sale <sup>25</sup>
ATYR PHARMA, INC. COMMON STOCK / CUSIP: 002120202 / Symbol:							
09/16/21	39.000	351.00	09/15/21	303.81	...	47.19	Sale <sup>25</sup>
09/16/21	10.000	90.00	09/14/21	92.90	0.29 W	-2.61	Sale <sup>25</sup>
11/11/21	1.000	8.73	09/15/21	8.08	...	0.65	Sale <sup>25</sup>
	<b>Security total:</b>	<b>449.73</b>		<b>404.79</b>	<b>0.29 W</b>	<b>45.23</b>	

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Robinhood Securities LLC

Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
2 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	2.000	211.66	09/29/21	203.80	...	7.86	1 of 2 - Sale <sup>25</sup>
	2.000	211.67	09/29/21	200.00	...	11.67	2 of 2 - Sale <sup>25</sup>
10/07/21	4.000	423.33	Various	403.80	...	19.53	Total of 2 transactions
AETERNA ZENTARIS INC. COMMON STOCK / CUSIP: 007975402 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	20.000	21.40	01/28/21	16.10	...	5.30	1 of 2 - Sale <sup>25</sup>
	80.000	85.59	01/28/21	64.41	...	21.18	2 of 2 - Sale <sup>25</sup>
02/04/21	100.000	106.99	Various	80.51	...	26.48	Total of 2 transactions
AIRBNB, INC. CLASS A COMMON STOCK / CUSIP: 009066101 / Symbol:							
5 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	1.000	165.23	01/29/21	183.77	...	-18.54	1 of 5 - Sale <sup>25</sup>
	3.000	495.67	05/12/21	428.29	...	67.38	2 of 5 - Sale <sup>25</sup>
	5.000	826.13	05/12/21	710.00	...	116.13	3 of 5 - Sale <sup>25</sup>
	5.000	826.12	06/07/21	739.50	...	86.62	4 of 5 - Sale <sup>25</sup>
	3.000	495.68	06/09/21	434.10	...	61.58	5 of 5 - Sale <sup>25</sup>
09/09/21	17.000	2,808.83	Various	2,495.66	...	313.17	Total of 5 transactions
7 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	2.000	330.45	01/28/21	388.84	58.39 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	165.22	01/28/21	190.10	24.88 W	0.00	2 of 7 - Sale <sup>25</sup>
	3.000	495.68	01/29/21	567.00	71.32 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	165.22	01/29/21	187.76	22.54 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	330.45	01/29/21	375.30	44.85 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	165.22	01/29/21	184.41	19.19 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	165.23	01/29/21	186.81	21.58 W	0.00	7 of 7 - Sale <sup>25</sup>
09/09/21	11.000	1,817.47	Various	2,080.22	262.75 W	0.00	Total of 7 transactions
4 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
	3.000	498.59	06/10/21	426.46	...	72.13	1 of 4 - Sale <sup>25</sup>
	3.000	498.32	09/13/21	545.32	...	-47.00	2 of 4 - Sale <sup>25</sup>
	2.000	332.40	09/13/21	378.39	...	-45.99	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106087448

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol: (cont'd)							
	1.000	166.11	09/13/21	182.88	...	-16.77	4 of 4 - Sale <sup>25</sup>
09/15/21	9.000	1,495.42	Various	1,533.05	...	-37.63	Total of 4 transactions
	2 transactions for 09/22/21. Total proceeds and cost reported to the IRS.						
	1.000	170.00	09/13/21	180.54	...	-10.54	1 of 2 - Sale <sup>25</sup>
	2.000	339.99	09/20/21	363.85	...	-23.86	2 of 2 - Sale <sup>25</sup>
09/22/21	3.000	509.99	Various	544.39	...	-34.40	Total of 2 transactions
	<b>Security total:</b>	<b>6,631.71</b>		<b>6,653.32</b>	<b>262.75 W</b>	<b>241.14</b>	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
10/08/21	1.000	162.20	10/04/21	140.00	...	22.20	Sale <sup>25</sup>
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol:							
	8 transactions for 08/25/21. Total proceeds and cost reported to the IRS.						
	10.000	137.66	05/04/21	133.80	...	3.86	1 of 8 - Sale <sup>25</sup>
	50.000	688.29	05/04/21	671.00	...	17.29	2 of 8 - Sale <sup>25</sup>
	1.000	13.77	05/05/21	13.36	...	0.41	3 of 8 - Sale <sup>25</sup>
	4.000	55.06	05/05/21	53.44	...	1.62	4 of 8 - Sale <sup>25</sup>
	10.000	137.66	05/06/21	128.70	...	8.96	5 of 8 - Sale <sup>25</sup>
	10.000	137.66	05/06/21	126.70	...	10.96	6 of 8 - Sale <sup>25</sup>
	50.000	688.28	05/25/21	600.00	...	88.28	7 of 8 - Sale <sup>25</sup>
	40.000	550.63	06/30/21	392.00	...	158.63	8 of 8 - Sale <sup>25</sup>
08/25/21	175.000	2,409.01	Various	2,119.00	...	290.01	Total of 8 transactions
08/25/21	5.000	68.83	02/12/21	111.10	42.27 W	0.00	Sale <sup>25</sup>
08/26/21	9.000	130.31	06/30/21	88.19	...	42.12	Sale <sup>25</sup>
	4 transactions for 09/28/21. Total proceeds and cost reported to the IRS.						
	1.000	13.59	06/30/21	9.80	...	3.79	1 of 4 - Sale <sup>25</sup>
	20.000	271.90	09/28/21	220.00	...	51.90	2 of 4 - Sale <sup>25</sup>
	20.000	271.90	09/28/21	220.00	...	51.90	3 of 4 - Sale <sup>25</sup>
	20.000	271.89	09/28/21	214.00	...	57.89	4 of 4 - Sale <sup>25</sup>
09/28/21	61.000	829.28	Various	663.80	...	165.48	Total of 4 transactions
	3 transactions for 09/28/21. Total proceeds and cost reported to the IRS.						
	5.000	67.97	08/30/21	114.17	46.20 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	135.95	09/24/21	152.60	16.65 W	0.00	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
	20.000	271.90	09/24/21	301.20	29.30 W	0.00	3 of 3 - Sale <sup>25</sup>
09/28/21	35.000	475.82	Various	567.97	92.15 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>3,913.25</b>		<b>3,550.06</b>	<b>134.42 W</b>	<b>497.61</b>	
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol:							
3 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	10.000	131.00	09/23/21	120.00	...	11.00	1 of 3 - Sale <sup>25</sup>
	20.000	261.99	09/23/21	238.00	...	23.99	2 of 3 - Sale <sup>25</sup>
	19.000	248.90	09/23/21	224.20	...	24.70	3 of 3 - Sale <sup>25</sup>
09/24/21	49.000	641.89	Various	582.20	...	59.69	Total of 3 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
04/08/21	0.100	331.39	01/20/21	323.73	...	7.66	Sale <sup>25</sup>
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
5 transactions for 07/27/21. Total proceeds and cost reported to the IRS.							
	20.000	2,899.98	01/27/21	2,810.00	...	89.98	1 of 5 - Sale <sup>25</sup>
	2.000	290.00	01/29/21	264.26	...	25.74	2 of 5 - Sale <sup>25</sup>
	2.000	290.00	02/18/21	255.16	...	34.84	3 of 5 - Sale <sup>25</sup>
	10.000	1,449.99	05/11/21	1,243.20	...	206.79	4 of 5 - Sale <sup>25</sup>
	10.000	1,449.99	05/11/21	1,240.00	...	209.99	5 of 5 - Sale <sup>25</sup>
07/27/21	44.000	6,379.96	Various	5,812.62	...	567.34	Total of 5 transactions
3 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	4.000	629.08	09/14/21	590.34	...	38.74	1 of 3 - Sale <sup>25</sup>
	2.000	314.54	09/15/21	294.00	...	20.54	2 of 3 - Sale <sup>25</sup>
	4.000	629.08	09/20/21	568.00	...	61.08	3 of 3 - Sale <sup>25</sup>
11/18/21	10.000	1,572.70	Various	1,452.34	...	120.36	Total of 3 transactions
	<b>Security total:</b>	<b>7,952.66</b>		<b>7,264.96</b>	<b>...</b>	<b>687.70</b>	
ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103869 / Symbol:							
8 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	10.000	117.50	06/29/21	507.00	389.50 W	0.00	1 of 8 - Sale <sup>25</sup>
	10.000	117.50	06/29/21	489.75	372.25 W	0.00	2 of 8 - Sale <sup>25</sup>
	10.000	117.50	06/30/21	454.73	337.23 W	0.00	3 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ASHFORD HOSPITALITY TRUST, INC . / CUSIP: 044103869 / Symbol: (cont'd)							
	10.000	117.50	07/02/21	331.50	214.00 W	0.00	4 of 8 - Sale <sup>25</sup>
	2.000	23.50	07/19/21	30.48	6.98 W	0.00	5 of 8 - Sale <sup>25</sup>
	10.000	117.50	07/21/21	187.37	69.87 W	0.00	6 of 8 - Sale <sup>25</sup>
	15.000	176.24	07/21/21	283.50	107.26 W	0.00	7 of 8 - Sale <sup>25</sup>
	43.000	505.24	07/23/21	730.14	172.60 W	-52.30	8 of 8 - Sale <sup>25</sup>
11/26/21	110.000	1,292.48	Various	3,014.47	1,669.69 W	-52.30	Total of 8 transactions
ASHFORD HOSPITALITY TRUST, INC . / CUSIP: 044103877 / Symbol:							
2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	2.000	10.30	06/23/21	9.38	...	0.92	1 of 2 - Sale <sup>25</sup>
	98.000	504.68	06/23/21	459.62	...	45.06	2 of 2 - Sale <sup>25</sup>
06/24/21	100.000	514.98	Various	469.00	...	45.98	Total of 2 transactions
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
06/24/21	10.000	405.00	06/17/21	399.38	...	5.62	Sale <sup>25</sup>
BELLUS HEALTH INC. COMMON SHAR ES / CUSIP: 07987C204 / Symbol:							
4 transactions for 09/13/21. Total proceeds and cost reported to the IRS.							
	10.000	48.00	02/19/21	44.50	...	3.50	1 of 4 - Sale <sup>25</sup>
	90.000	431.99	02/19/21	400.50	...	31.49	2 of 4 - Sale <sup>25</sup>
	100.000	479.98	06/03/21	354.00	...	125.98	3 of 4 - Sale <sup>25</sup>
	50.000	239.99	06/30/21	155.00	...	84.99	4 of 4 - Sale <sup>25</sup>
09/13/21	250.000	1,199.96	Various	954.00	...	245.96	Total of 4 transactions
3 transactions for 09/14/21. Total proceeds and cost reported to the IRS.							
	50.000	244.99	06/30/21	155.00	...	89.99	1 of 3 - Sale <sup>25</sup>
	9.000	50.85	09/13/21	36.00	...	14.85	2 of 3 - Sale <sup>25</sup>
	40.000	196.00	09/13/21	160.00	...	36.00	3 of 3 - Sale <sup>25</sup>
09/14/21	99.000	491.84	Various	351.00	...	140.84	Total of 3 transactions
2 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	1.000	6.66	09/13/21	4.00	...	2.66	1 of 2 - Sale <sup>25</sup>
	10.000	66.60	09/28/21	63.50	...	3.10	2 of 2 - Sale <sup>25</sup>
09/28/21	11.000	73.26	Various	67.50	...	5.76	Total of 2 transactions
	<b>Security total:</b>	<b>1,765.06</b>		<b>1,372.50</b>	...	<b>392.56</b>	

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Account 106087448

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
3 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	2.000	210.00	10/29/21	200.05	...	9.95	1 of 3 - Sale <sup>25</sup>
	2.000	210.00	10/29/21	199.00	...	11.00	2 of 3 - Sale <sup>25</sup>
	2.000	209.99	10/29/21	197.96	...	12.03	3 of 3 - Sale <sup>25</sup>
11/03/21	6.000	629.99	Various	597.01	...	32.98	Total of 3 transactions
BRILLIANT EARTH GROUP, INC. CL ASS A COMMON STOCK / CUSIP: 109504100 / Symbol:							
11/12/21	1.000	18.39	09/23/21	12.00	...	6.39	Sale <sup>25</sup>
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
09/30/21	10.000	37.71	09/29/21	34.80	...	2.91	Sale <sup>25</sup>
CHEMOCENTRYX, INC. COMMON STOCK / CUSIP: 16383L106 / Symbol:							
2 transactions for 07/28/21. Total proceeds and cost reported to the IRS.							
	1.000	15.51	05/07/21	11.10	...	4.41	1 of 2 - Sale <sup>25</sup>
	5.000	77.55	06/28/21	69.06	...	8.49	2 of 2 - Sale <sup>25</sup>
07/28/21	6.000	93.06	Various	80.16	...	12.90	Total of 2 transactions
09/28/21	1.000	19.56	08/13/21	14.00	...	5.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>112.62</b>		<b>94.16</b>	...	<b>18.46</b>	
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
5 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	6.000	103.80	01/13/21	103.26	...	0.54	1 of 5 - Sale <sup>25</sup>
	13.000	224.89	01/13/21	223.60	...	1.29	2 of 5 - Sale <sup>25</sup>
	29.000	501.69	01/13/21	499.09	...	2.60	3 of 5 - Sale <sup>25</sup>
	47.000	813.07	01/13/21	808.87	...	4.20	4 of 5 - Sale <sup>25</sup>
	50.000	864.97	01/13/21	860.00	...	4.97	5 of 5 - Sale <sup>25</sup>
01/14/21	145.000	2,508.42	Various	2,494.82	...	13.60	Total of 5 transactions
02/02/21	4.000	134.60	01/13/21	68.84	...	65.76	Sale <sup>25</sup>
04/06/21	1.000	23.14	01/13/21	17.21	...	5.93	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,666.16</b>		<b>2,580.87</b>	...	<b>85.29</b>	

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Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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COSTCO WHOLESALE CORPORATION C OMMON STOCK / CUSIP: 22160K105 / Symbol:							
2 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	1.000	361.86	01/25/21	358.21	...	3.65	1 of 2 - Sale <sup>25</sup>
	2.000	723.72	02/12/21	701.92	...	21.80	2 of 2 - Sale <sup>25</sup>
04/06/21	3.000	1,085.58	Various	1,060.13	...	25.45	Total of 2 transactions
CYCLACEL PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 23254L603 / Symbol:							
8 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	36.000	140.76	02/09/21	349.20	...	-208.44	1 of 8 - Sale <sup>25</sup>
	200.000	782.01	02/10/21	1,859.40	...	-1,077.39	2 of 8 - Sale <sup>25</sup>
	150.000	586.51	02/10/21	1,333.83	...	-747.32	3 of 8 - Sale <sup>25</sup>
	119.000	465.30	02/17/21	1,041.25	...	-575.95	4 of 8 - Sale <sup>25</sup>
	100.000	391.01	02/17/21	890.00	...	-498.99	5 of 8 - Sale <sup>25</sup>
	100.000	391.01	02/17/21	890.00	...	-498.99	6 of 8 - Sale <sup>25</sup>
	81.000	316.72	02/17/21	708.75	...	-392.03	7 of 8 - Sale <sup>25</sup>
	50.000	195.50	02/18/21	412.30	...	-216.80	8 of 8 - Sale <sup>25</sup>
11/22/21	836.000	3,268.82	Various	7,484.73	...	-4,215.91	Total of 8 transactions
3 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	50.000	195.50	02/09/21	545.00	349.50 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	195.50	02/09/21	540.00	344.50 W	0.00	2 of 3 - Sale <sup>25</sup>
	64.000	250.24	02/09/21	620.80	370.56 W	0.00	3 of 3 - Sale <sup>25</sup>
11/22/21	164.000	641.24	Various	1,705.80	1,064.56 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>3,910.06</b>		<b>9,190.53</b>	<b>1,064.56 W</b>	<b>-4,215.91</b>	
ELECTROCORE, INC. COMMON STOCK / CUSIP: 28531P103 / Symbol:							
4 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	2.000	3.52	01/25/21	5.85	...	-2.33	1 of 4 - Sale <sup>25</sup>
	6.000	10.56	05/05/21	10.14	...	0.42	2 of 4 - Sale <sup>25</sup>
	10.000	17.60	05/06/21	15.90	...	1.70	3 of 4 - Sale <sup>25</sup>
	20.000	35.20	05/06/21	30.30	...	4.90	4 of 4 - Sale <sup>25</sup>
06/02/21	38.000	66.88	Various	62.19	...	4.69	Total of 4 transactions
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
01/22/21	1.000	11.38	02/18/20	8.27	...	3.11	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
01/22/21	200.000	3,516.90	01/21/21	3,193.96	...	322.94	Sale <sup>25</sup>
05/25/21	10.000	85.22	05/24/21	83.89	...	1.33	Sale <sup>25</sup>
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	10.000	108.50	06/08/21	122.90	...	-14.40	1 of 3 - Sale <sup>25</sup>
	30.000	325.49	06/21/21	256.80	...	68.69	2 of 3 - Sale <sup>25</sup>
	10.000	108.50	06/30/21	88.90	...	19.60	3 of 3 - Sale <sup>25</sup>
11/12/21	50.000	542.49	Various	468.60	...	73.89	Total of 3 transactions
	<b>Security total:</b>	<b>4,144.61</b>		<b>3,746.45</b>	...	<b>398.16</b>	
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	5.000	140.97	01/29/21	222.50	...	-81.53	1 of 2 - Sale <sup>25</sup>
	10.000	281.94	04/07/21	209.20	...	72.74	2 of 2 - Sale <sup>25</sup>
06/02/21	15.000	422.91	Various	431.70	...	-8.79	Total of 2 transactions
06/07/21	5.000	152.00	04/07/21	104.35	...	47.65	Sale <sup>25</sup>
	<b>Security total:</b>	<b>574.91</b>		<b>536.05</b>	...	<b>38.86</b>	
FULGENT GENETICS, INC. COMMON STOCK / CUSIP: 359664109 / Symbol:							
02/08/21	1.000	161.06	02/04/21	120.00	...	41.06	Sale <sup>25</sup>
GREENIDGE GENERATION HOLDINGS INC. CLASS A COMMON STOCK / CUSIP: 39531G100 / Symbol:							
5 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	2.000	38.96	09/15/21	86.00	...	-47.04	1 of 5 - Sale <sup>25</sup>
	1.000	19.48	09/15/21	54.78	...	-35.30	2 of 5 - Sale <sup>25</sup>
	1.000	19.48	09/15/21	46.00	...	-26.52	3 of 5 - Sale <sup>25</sup>
	2.000	38.96	09/16/21	87.95	...	-48.99	4 of 5 - Sale <sup>25</sup>
	5.000	97.40	09/17/21	200.00	...	-102.60	5 of 5 - Sale <sup>25</sup>
11/22/21	11.000	214.28	Various	474.73	...	-260.45	Total of 5 transactions
HEPION PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 426897104 / Symbol:							
06/07/21	100.000	193.21	06/04/21	180.50	...	12.71	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106087448

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol:							
<i>15 transactions for 05/05/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.86	01/20/21	1.46	...	0.40	1 of 15 - Sale <sup>25</sup>
	500.000	930.69	03/30/21	763.65	...	167.04	2 of 15 - Sale <sup>25</sup>
	192.000	357.38	04/07/21	288.00	...	69.38	3 of 15 - Sale <sup>25</sup>
	308.000	573.30	04/07/21	462.00	...	111.30	4 of 15 - Sale <sup>25</sup>
	100.000	186.14	04/08/21	149.00	...	37.14	5 of 15 - Sale <sup>25</sup>
	100.000	186.14	04/08/21	149.00	...	37.14	6 of 15 - Sale <sup>25</sup>
	100.000	186.14	04/08/21	149.00	...	37.14	7 of 15 - Sale <sup>25</sup>
	100.000	186.14	04/08/21	149.00	...	37.14	8 of 15 - Sale <sup>25</sup>
	100.000	186.14	04/08/21	149.00	...	37.14	9 of 15 - Sale <sup>25</sup>
	145.000	269.90	04/08/21	216.05	...	53.85	10 of 15 - Sale <sup>25</sup>
	200.000	372.27	04/09/21	290.00	...	82.27	11 of 15 - Sale <sup>25</sup>
	10.000	18.61	04/12/21	13.98	...	4.63	12 of 15 - Sale <sup>25</sup>
	1,000.000	1,861.37	04/12/21	1,380.00	...	481.37	13 of 15 - Sale <sup>25</sup>
	194.000	361.10	04/19/21	232.80	...	128.30	14 of 15 - Sale <sup>25</sup>
	806.000	1,500.26	04/19/21	967.20	...	533.06	15 of 15 - Sale <sup>25</sup>
05/05/21	3,856.000	7,177.44	Various	5,360.14	...	1,817.30	Total of 15 transactions
<i>11 transactions for 05/06/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.86	05/05/21	1.51	...	0.35	1 of 11 - Sale <sup>25</sup>
	1.000	1.86	05/05/21	1.50	...	0.36	2 of 11 - Sale <sup>25</sup>
	48.000	89.27	05/06/21	65.76	...	23.51	3 of 11 - Sale <sup>25</sup>
	48.000	89.28	05/06/21	64.80	...	24.48	4 of 11 - Sale <sup>25</sup>
	52.000	96.71	05/06/21	71.24	...	25.47	5 of 11 - Sale <sup>25</sup>
	52.000	96.71	05/06/21	70.20	...	26.51	6 of 11 - Sale <sup>25</sup>
	100.000	185.99	05/06/21	143.00	...	42.99	7 of 11 - Sale <sup>25</sup>
	100.000	185.99	05/06/21	142.80	...	43.19	8 of 11 - Sale <sup>25</sup>
	100.000	185.98	05/06/21	142.00	...	43.98	9 of 11 - Sale <sup>25</sup>
	100.000	185.99	05/06/21	142.00	...	43.99	10 of 11 - Sale <sup>25</sup>
	100.000	185.99	05/06/21	135.00	...	50.99	11 of 11 - Sale <sup>25</sup>
05/06/21	702.000	1,305.63	Various	979.81	...	325.82	Total of 11 transactions
<i>4 transactions for 11/22/21. Total proceeds and cost reported to the IRS.</i>							
	500.000	325.19	06/03/21	705.00	...	-379.81	1 of 4 - Sale <sup>25</sup>
	100.000	65.04	06/04/21	140.00	...	-74.96	2 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	500.000	325.19	07/29/21	630.00	...	-304.81	3 of 4 - Sale <sup>25</sup>
	440.000	286.17	08/16/21	510.40	...	-224.23	4 of 4 - Sale <sup>25</sup>
11/22/21	1,540.000	1,001.59	Various	1,985.40	...	-983.81	Total of 4 transactions
	23 transactions for 11/22/21. Total proceeds and cost reported to the IRS.						
	1.000	0.65	05/07/21	1.97	1.32 W	0.00	1 of 23 - Sale <sup>25</sup>
	10.000	6.50	05/07/21	16.16	9.66 W	0.00	2 of 23 - Sale <sup>25</sup>
	10.000	6.50	05/07/21	16.07	9.57 W	0.00	3 of 23 - Sale <sup>25</sup>
	20.000	13.01	05/07/21	32.00	18.99 W	0.00	4 of 23 - Sale <sup>25</sup>
	10.000	6.50	05/07/21	15.70	9.20 W	0.00	5 of 23 - Sale <sup>25</sup>
	89.000	57.89	05/12/21	142.40	84.51 W	0.00	6 of 23 - Sale <sup>25</sup>
	111.000	72.19	05/12/21	177.60	105.41 W	0.00	7 of 23 - Sale <sup>25</sup>
	200.000	130.08	05/12/21	320.00	189.92 W	0.00	8 of 23 - Sale <sup>25</sup>
	200.000	130.08	05/12/21	320.00	189.92 W	0.00	9 of 23 - Sale <sup>25</sup>
	200.000	130.08	05/12/21	320.00	189.92 W	0.00	10 of 23 - Sale <sup>25</sup>
	200.000	130.07	05/12/21	320.00	189.93 W	0.00	11 of 23 - Sale <sup>25</sup>
	1.000	0.65	05/14/21	1.52	0.87 W	0.00	12 of 23 - Sale <sup>25</sup>
	299.000	194.46	05/14/21	454.48	260.02 W	0.00	13 of 23 - Sale <sup>25</sup>
	350.000	227.63	05/14/21	518.00	290.37 W	0.00	14 of 23 - Sale <sup>25</sup>
	30.000	19.51	05/17/21	42.30	22.79 W	0.00	15 of 23 - Sale <sup>25</sup>
	400.000	260.15	05/17/21	555.12	294.97 W	0.00	16 of 23 - Sale <sup>25</sup>
	329.000	213.97	05/17/21	444.15	230.18 W	0.00	17 of 23 - Sale <sup>25</sup>
	200.000	130.08	05/19/21	262.00	131.92 W	0.00	18 of 23 - Sale <sup>25</sup>
	179.000	116.42	06/03/21	254.18	91.58 W	-46.18	19 of 23 - Sale <sup>25</sup>
	6.000	3.90	06/03/21	8.52	4.62 W	0.00	20 of 23 - Sale <sup>25</sup>
	13.000	8.45	06/03/21	18.46	10.01 W	0.00	21 of 23 - Sale <sup>25</sup>
	2.000	1.30	06/03/21	2.84	1.54 W	0.00	22 of 23 - Sale <sup>25</sup>
	300.000	195.11	06/03/21	426.00	230.89 W	0.00	23 of 23 - Sale <sup>25</sup>
11/22/21	3,160.000	2,055.18	Various	4,669.47	2,568.11 W	-46.18	Total of 23 transactions
	23 transactions for 11/29/21. Total proceeds and cost reported to the IRS.						
	60.000	54.98	08/16/21	69.60	...	-14.62	1 of 23 - Sale <sup>25</sup>
	299.000	273.99	11/22/21	454.52	...	-180.53	2 of 23 - Sale <sup>25</sup>
	200.000	183.27	11/22/21	320.02	...	-136.75	3 of 23 - Sale <sup>25</sup>
	200.000	183.28	11/22/21	320.03	...	-136.75	4 of 23 - Sale <sup>25</sup>
	200.000	183.28	11/22/21	320.02	...	-136.74	5 of 23 - Sale <sup>25</sup>

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Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	201.000	184.19	11/22/21	297.41	...	-113.22	6 of 23 - Sale <sup>25</sup>
	151.000	138.37	11/22/21	241.62	...	-103.25	7 of 23 - Sale <sup>25</sup>
	200.000	183.27	11/22/21	277.49	...	-94.22	8 of 23 - Sale <sup>25</sup>
	149.000	136.54	11/22/21	220.53	...	-83.99	9 of 23 - Sale <sup>25</sup>
	111.000	101.72	11/22/21	177.62	...	-75.90	10 of 23 - Sale <sup>25</sup>
	89.000	81.56	11/22/21	142.40	...	-60.84	11 of 23 - Sale <sup>25</sup>
	49.000	44.90	11/22/21	78.40	...	-33.50	12 of 23 - Sale <sup>25</sup>
	69.000	63.23	11/22/21	95.73	...	-32.50	13 of 23 - Sale <sup>25</sup>
	30.000	27.49	11/22/21	42.29	...	-14.80	14 of 23 - Sale <sup>25</sup>
	20.000	18.33	11/22/21	32.00	...	-13.67	15 of 23 - Sale <sup>25</sup>
	10.000	9.16	11/22/21	16.17	...	-7.01	16 of 23 - Sale <sup>25</sup>
	10.000	9.16	11/22/21	16.07	...	-6.91	17 of 23 - Sale <sup>25</sup>
	10.000	9.16	11/22/21	15.71	...	-6.55	18 of 23 - Sale <sup>25</sup>
	1.000	0.92	11/22/21	1.97	...	-1.05	19 of 23 - Sale <sup>25</sup>
	1.000	0.92	11/22/21	1.52	...	-0.60	20 of 23 - Sale <sup>25</sup>
	78.000	71.48	11/23/21	107.03	...	-35.55	21 of 23 - Sale <sup>25</sup>
	69.000	63.23	11/23/21	92.06	...	-28.83	22 of 23 - Sale <sup>25</sup>
	53.000	48.57	11/23/21	72.72	...	-24.15	23 of 23 - Sale <sup>25</sup>
11/29/21	2,260.000	2,071.00	Various	3,412.93	...	-1,341.93	Total of 23 transactions
	<b>Security total:</b>	<b>13,610.84</b>		<b>16,407.75</b>	<b>2,568.11 W</b>	<b>-228.80</b>	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	2.000	5.95	01/14/21	7.19	...	-1.24	1 of 2 - Sale <sup>25</sup>
	10.000	29.73	05/04/21	25.60	...	4.13	2 of 2 - Sale <sup>25</sup>
05/26/21	12.000	35.68	Various	32.79	...	2.89	Total of 2 transactions
INDEPENDENCE CONTRACT DRILLING, INC. / CUSIP: 453415606 / Symbol:							
3 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	100.000	391.09	05/06/21	356.91	...	34.18	1 of 3 - Sale <sup>25</sup>
	100.000	391.09	05/06/21	328.00	...	63.09	2 of 3 - Sale <sup>25</sup>
	100.000	391.09	05/06/21	327.00	...	64.09	3 of 3 - Sale <sup>25</sup>
06/02/21	300.000	1,173.27	Various	1,011.91	...	161.36	Total of 3 transactions
06/02/21	100.000	391.09	01/28/21	420.00	28.91 W	0.00	Sale <sup>25</sup>

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Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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INDEPENDENCE CONTRACT DRILLING , INC. / CUSIP: 453415606 / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,564.36</b>		<b>1,431.91</b>	<b>28.91 W</b>	<b>161.36</b>	
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
12 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	500.000	2,049.92	06/23/20	2,030.00	...	19.92	1 of 12 - Sale <sup>25</sup>
	500.000	2,074.92	06/23/20	2,030.00	...	44.92	2 of 12 - Sale <sup>25</sup>
	27.000	113.40	06/24/20	99.63	...	13.77	3 of 12 - Sale <sup>25</sup>
	27.000	113.40	06/24/20	99.63	...	13.77	4 of 12 - Sale <sup>25</sup>
	27.000	113.40	06/24/20	99.63	...	13.77	5 of 12 - Sale <sup>25</sup>
	28.000	117.60	06/24/20	103.32	...	14.28	6 of 12 - Sale <sup>25</sup>
	72.000	302.39	06/24/20	265.68	...	36.71	7 of 12 - Sale <sup>25</sup>
	73.000	306.59	06/24/20	269.37	...	37.22	8 of 12 - Sale <sup>25</sup>
	73.000	306.59	06/24/20	269.37	...	37.22	9 of 12 - Sale <sup>25</sup>
	73.000	306.59	06/24/20	269.37	...	37.22	10 of 12 - Sale <sup>25</sup>
	100.000	419.99	06/24/20	369.00	...	50.99	11 of 12 - Sale <sup>25</sup>
	500.000	2,249.92	06/24/20	1,845.00	...	404.92	12 of 12 - Sale <sup>25</sup>
06/09/21	2,000.000	8,474.71	Various	7,750.00	...	724.71	Total of 12 transactions
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C607 / Symbol:							
6 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	166.667	232.21	03/26/21	889.65	...	-657.44	1 of 6 - Sale <sup>25</sup>
	33.333	46.44	04/16/21	122.00	...	-75.56	2 of 6 - Sale <sup>25</sup>
	33.333	46.44	04/16/21	122.00	...	-75.56	3 of 6 - Sale <sup>25</sup>
	33.333	46.44	04/16/21	122.00	...	-75.56	4 of 6 - Sale <sup>25</sup>
	33.333	46.45	04/16/21	122.00	...	-75.55	5 of 6 - Sale <sup>25</sup>
	33.333	46.45	04/16/21	122.00	...	-75.55	6 of 6 - Sale <sup>25</sup>
11/22/21	333.333	464.43	Various	1,499.65	...	-1,035.22	Total of 6 transactions
13 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	2.667	3.72	01/28/21	21.52	17.80 W	0.00	1 of 13 - Sale <sup>25</sup>
	33.333	46.44	02/18/21	315.00	268.56 W	0.00	2 of 13 - Sale <sup>25</sup>
	33.333	46.44	02/18/21	308.00	261.56 W	0.00	3 of 13 - Sale <sup>25</sup>
	66.667	92.88	02/18/21	600.00	507.12 W	0.00	4 of 13 - Sale <sup>25</sup>
	100.000	139.33	02/19/21	900.00	760.67 W	0.00	5 of 13 - Sale <sup>25</sup>
	16.667	23.22	02/19/21	148.00	124.78 W	0.00	6 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C607 / Symbol: (cont'd)							
	16.667	23.22	02/19/21	148.00	124.78 W	0.00	7 of 13 - Sale <sup>25</sup>
	33.333	46.44	02/25/21	213.89	167.45 W	0.00	8 of 13 - Sale <sup>25</sup>
	33.333	46.44	03/03/21	191.00	2.89 W	-141.67	9 of 13 - Sale <sup>25</sup>
	13.333	18.58	03/03/21	76.76	58.18 W	0.00	10 of 13 - Sale <sup>25</sup>
	33.333	46.44	03/03/21	191.00	144.56 W	0.00	11 of 13 - Sale <sup>25</sup>
	16.667	23.22	03/03/21	95.50	72.28 W	0.00	12 of 13 - Sale <sup>25</sup>
	33.333	46.44	03/03/21	191.00	144.56 W	0.00	13 of 13 - Sale <sup>25</sup>
11/22/21	432.667	602.81	Various	3,399.67	2,655.19 W	-141.67	Total of 13 transactions
	<b>Security total:</b>	<b>1,067.24</b>		<b>4,899.32</b>	<b>2,655.19 W</b>	<b>-1,176.89</b>	
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
3 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	1.000	81.77	05/06/21	75.60	...	6.17	1 of 3 - Sale <sup>25</sup>
	1.000	81.78	05/06/21	75.60	...	6.18	2 of 3 - Sale <sup>25</sup>
	10.000	817.75	05/11/21	688.40	...	129.35	3 of 3 - Sale <sup>25</sup>
05/26/21	12.000	981.30	Various	839.60	...	141.70	Total of 3 transactions
3 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	2.000	163.55	04/22/21	184.00	20.45 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	245.33	04/29/21	273.00	27.67 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	408.88	05/03/21	439.40	30.52 W	0.00	3 of 3 - Sale <sup>25</sup>
05/26/21	10.000	817.76	Various	896.40	78.64 W	0.00	Total of 3 transactions
2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	2.000	195.89	06/03/21	210.45	14.56 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	97.95	06/03/21	104.22	6.27 W	0.00	2 of 2 - Sale <sup>25</sup>
06/03/21	3.000	293.84	Various	314.67	20.83 W	0.00	Total of 2 transactions
5 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	2.000	213.43	06/04/21	212.83	...	0.60	1 of 5 - Sale <sup>25</sup>
	1.000	106.71	06/04/21	101.83	...	4.88	2 of 5 - Sale <sup>25</sup>
	2.000	213.43	06/04/21	205.68	...	7.75	3 of 5 - Sale <sup>25</sup>
	2.000	213.43	06/04/21	203.33	...	10.10	4 of 5 - Sale <sup>25</sup>
	3.000	320.15	06/04/21	309.88	...	10.27	5 of 5 - Sale <sup>25</sup>
06/08/21	10.000	1,067.15	Various	1,033.55	...	33.60	Total of 5 transactions

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Robinhood Securities LLC

Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LEMONADE, INC. / CUSIP: 52567D107 / Symbol: (cont'd)							
4 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	5.000	251.45	08/11/21	402.50	...	-151.05	1 of 4 - Sale <sup>25</sup>
	10.000	502.89	08/12/21	785.00	...	-282.11	2 of 4 - Sale <sup>25</sup>
	10.000	502.89	08/12/21	760.00	...	-257.11	3 of 4 - Sale <sup>25</sup>
	5.000	251.45	08/16/21	362.50	...	-111.05	4 of 4 - Sale <sup>25</sup>
11/26/21	30.000	1,508.68	Various	2,310.00	...	-801.32	Total of 4 transactions
7 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	2.000	100.58	07/28/21	177.55	76.97 W	0.00	1 of 7 - Sale <sup>25</sup>
	2.000	100.58	07/29/21	175.12	74.54 W	0.00	2 of 7 - Sale <sup>25</sup>
	2.000	100.58	07/30/21	173.74	73.16 W	0.00	3 of 7 - Sale <sup>25</sup>
	10.000	502.89	08/04/21	805.00	302.11 W	0.00	4 of 7 - Sale <sup>25</sup>
	4.000	201.16	08/05/21	322.43	121.27 W	0.00	5 of 7 - Sale <sup>25</sup>
	5.000	251.45	08/05/21	397.50	146.05 W	0.00	6 of 7 - Sale <sup>25</sup>
	5.000	251.45	08/05/21	395.00	143.55 W	0.00	7 of 7 - Sale <sup>25</sup>
11/26/21	30.000	1,508.69	Various	2,446.34	937.65 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>6,177.42</b>		<b>7,840.56</b>	<b>1,037.12 W</b>	<b>-626.02</b>	
LEXICON PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 528872302 / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	1.000	8.50	03/04/20	2.61	...	5.89	1 of 2 - Sale <sup>25</sup>
	1.000	8.51	03/04/20	2.61	...	5.90	2 of 2 - Sale <sup>25</sup>
01/22/21	2.000	17.01	Various	5.22	...	11.79	Total of 2 transactions
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	1.000	53.33	09/30/21	27.00	...	26.33	1 of 2 - Sale <sup>25</sup>
	2.000	106.66	09/30/21	51.00	...	55.66	2 of 2 - Sale <sup>25</sup>
11/16/21	3.000	159.99	Various	78.00	...	81.99	Total of 2 transactions
MISTER CAR WASH, INC. / CUSIP: 60646V105 / Symbol:							
07/21/21	10.000	220.00	06/25/21	213.20	...	6.80	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol:							
3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	20.000	220.08	06/24/21	209.88	...	10.20	1 of 3 - Sale <sup>25</sup>
	20.000	220.08	06/28/21	208.87	...	11.21	2 of 3 - Sale <sup>25</sup>
	20.000	220.08	07/28/21	188.57	...	31.51	3 of 3 - Sale <sup>25</sup>
09/17/21	60.000	660.24	Various	607.32	...	52.92	Total of 3 transactions
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
01/12/21	80.000	1,445.55	12/01/20	1,411.62	...	33.93	Sale <sup>25</sup>
3 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	20.000	362.39	03/11/21	341.20	...	21.19	1 of 3 - Sale <sup>25</sup>
	20.000	362.39	03/17/21	318.80	...	43.59	2 of 3 - Sale <sup>25</sup>
	15.000	271.80	03/18/21	231.44	...	40.36	3 of 3 - Sale <sup>25</sup>
06/02/21	55.000	996.58	Various	891.44	...	105.14	Total of 3 transactions
	<b>Security total:</b>	<b>2,442.13</b>		<b>2,303.06</b>	...	<b>139.07</b>	
NORTHERN OIL AND GAS, INC. COM MON STOCK / CUSIP: 665531307 / Symbol:							
18 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	12.400	124.00	06/24/20	162.22	...	-38.22	1 of 18 - Sale <sup>25</sup>
	11.900	119.00	06/24/20	154.72	...	-35.72	2 of 18 - Sale <sup>25</sup>
	10.000	100.00	06/24/20	130.01	...	-30.01	3 of 18 - Sale <sup>25</sup>
	6.000	60.00	06/24/20	78.01	...	-18.01	4 of 18 - Sale <sup>25</sup>
	2.100	21.00	06/24/20	27.30	...	-6.30	5 of 18 - Sale <sup>25</sup>
	1.500	15.00	06/24/20	19.63	...	-4.63	6 of 18 - Sale <sup>25</sup>
	1.500	15.00	06/24/20	19.62	...	-4.62	7 of 18 - Sale <sup>25</sup>
	0.900	9.00	06/24/20	11.70	...	-2.70	8 of 18 - Sale <sup>25</sup>
	5.400	54.00	06/24/20	45.90	...	8.10	9 of 18 - Sale <sup>25</sup>
	7.500	75.00	06/24/20	63.75	...	11.25	10 of 18 - Sale <sup>25</sup>
	83.800	837.98	06/24/20	712.30	...	125.68	11 of 18 - Sale <sup>25</sup>
	20.000	199.99	08/04/20	154.96	...	45.03	12 of 18 - Sale <sup>25</sup>
	20.000	199.99	09/08/20	114.80	...	85.19	13 of 18 - Sale <sup>25</sup>
	20.000	199.99	09/08/20	114.80	...	85.19	14 of 18 - Sale <sup>25</sup>
	21.100	210.99	09/08/20	121.11	...	89.88	15 of 18 - Sale <sup>25</sup>
	49.200	491.99	09/08/20	282.41	...	209.58	16 of 18 - Sale <sup>25</sup>
	88.200	881.98	09/08/20	506.27	...	375.71	17 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORTHERN OIL AND GAS, INC. COM MON STOCK / CUSIP: 665531307 / Symbol: (cont'd)							
01/07/21	140.000	1,399.96	09/08/20	803.60	...	596.36	18 of 18 - Sale <sup>25</sup>
	501.500	5,014.87	Various	3,523.11	...	1,491.76	Total of 18 transactions
	10 transactions for 01/07/21. Total proceeds and cost reported to the IRS.						
	7.000	70.00	06/24/20	91.57	1.54 W	-20.03	1 of 10 - Sale <sup>25</sup>
	3.200	32.00	06/24/20	44.74	12.74 W	0.00	2 of 10 - Sale <sup>25</sup>
	3.800	38.00	06/24/20	53.13	15.13 W	0.00	3 of 10 - Sale <sup>25</sup>
	19.500	195.00	06/24/20	272.63	77.63 W	0.00	4 of 10 - Sale <sup>25</sup>
	28.900	288.99	06/24/20	404.06	115.07 W	0.00	5 of 10 - Sale <sup>25</sup>
	30.000	299.99	06/24/20	407.44	107.45 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.300	13.00	06/24/20	17.75	4.75 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.900	19.00	06/24/20	26.03	7.03 W	0.00	8 of 10 - Sale <sup>25</sup>
	2.800	28.00	06/24/20	38.37	10.37 W	0.00	9 of 10 - Sale <sup>25</sup>
	8.100	81.00	06/24/20	105.97	24.97 W	0.00	10 of 10 - Sale <sup>25</sup>
01/07/21	106.500	1,064.98	Various	1,461.69	376.68 W	-20.03	Total of 10 transactions
	11 transactions for 02/02/21. Total proceeds and cost reported to the IRS.						
	28.900	312.40	01/27/21	398.44	...	-86.04	1 of 11 - Sale <sup>25</sup>
	30.000	324.28	01/27/21	401.60	...	-77.32	2 of 11 - Sale <sup>25</sup>
	18.500	199.98	01/27/21	255.04	...	-55.06	3 of 11 - Sale <sup>25</sup>
	8.100	87.56	01/27/21	104.39	...	-16.83	4 of 11 - Sale <sup>25</sup>
	3.800	41.08	01/27/21	52.39	...	-11.31	5 of 11 - Sale <sup>25</sup>
	3.200	34.59	01/27/21	44.12	...	-9.53	6 of 11 - Sale <sup>25</sup>
	2.800	30.27	01/27/21	37.82	...	-7.55	7 of 11 - Sale <sup>25</sup>
	1.900	20.54	01/27/21	25.66	...	-5.12	8 of 11 - Sale <sup>25</sup>
	1.300	14.05	01/27/21	17.50	...	-3.45	9 of 11 - Sale <sup>25</sup>
	1.000	10.81	01/27/21	13.78	...	-2.97	10 of 11 - Sale <sup>25</sup>
	0.500	5.40	01/27/21	6.44	...	-1.04	11 of 11 - Sale <sup>25</sup>
02/02/21	100.000	1,080.96	Various	1,357.18	...	-276.22	Total of 11 transactions
09/08/21	2.000	35.80	08/31/21	32.16	...	3.64	Sale <sup>25</sup>
09/15/21	8.000	147.72	08/31/21	128.64	...	19.08	Sale <sup>25</sup>
	5 transactions for 09/23/21. Total proceeds and cost reported to the IRS.						
	1.000	18.55	09/15/21	18.00	...	0.55	1 of 5 - Sale <sup>25</sup>
	10.000	185.50	09/16/21	182.00	...	3.50	2 of 5 - Sale <sup>25</sup>
	10.000	185.50	09/17/21	175.00	...	10.50	3 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORTHERN OIL AND GAS, INC. COM MON STOCK / CUSIP: 665531307 / Symbol: (cont'd)							
	20.000	370.99	09/17/21	360.00	...	10.99	4 of 5 - Sale <sup>25</sup>
	49.000	908.94	09/17/21	844.76	...	64.18	5 of 5 - Sale <sup>25</sup>
09/23/21	90.000	1,669.48	Various	1,579.76	...	89.72	Total of 5 transactions
09/27/21	1.000	20.50	09/17/21	17.24	...	3.26	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,034.31</b>		<b>8,099.78</b>	<b>376.68 W</b>	<b>1,311.21</b>	
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
02/08/21	100.000	166.18	01/21/21	123.00	...	43.18	Sale <sup>25</sup>
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
04/23/21	10.000	111.55	02/05/21	52.00	...	59.55	Sale <sup>25</sup>
	2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.						
	10.000	80.00	06/15/21	70.90	...	9.10	1 of 2 - Sale <sup>25</sup>
	10.000	80.00	06/15/21	68.70	...	11.30	2 of 2 - Sale <sup>25</sup>
06/24/21	20.000	160.00	Various	139.60	...	20.40	Total of 2 transactions
	10 transactions for 09/17/21. Total proceeds and cost reported to the IRS.						
	20.000	158.00	09/15/21	150.00	...	8.00	1 of 10 - Sale <sup>25</sup>
	1.000	7.90	09/16/21	7.39	...	0.51	2 of 10 - Sale <sup>25</sup>
	1.000	7.90	09/16/21	7.39	...	0.51	3 of 10 - Sale <sup>25</sup>
	1.000	7.90	09/16/21	7.39	...	0.51	4 of 10 - Sale <sup>25</sup>
	2.000	15.80	09/16/21	14.60	...	1.20	5 of 10 - Sale <sup>25</sup>
	5.000	39.50	09/16/21	36.50	...	3.00	6 of 10 - Sale <sup>25</sup>
	13.000	102.70	09/16/21	94.90	...	7.80	7 of 10 - Sale <sup>25</sup>
	20.000	158.00	09/16/21	148.00	...	10.00	8 of 10 - Sale <sup>25</sup>
	47.000	371.29	09/16/21	347.33	...	23.96	9 of 10 - Sale <sup>25</sup>
	50.000	394.98	09/16/21	369.00	...	25.98	10 of 10 - Sale <sup>25</sup>
09/17/21	160.000	1,263.97	Various	1,182.50	...	81.47	Total of 10 transactions
	6 transactions for 10/12/21. Total proceeds and cost reported to the IRS.						
	10.000	90.00	09/20/21	76.18	...	13.82	1 of 6 - Sale <sup>25</sup>
	10.000	90.00	09/21/21	74.50	...	15.50	2 of 6 - Sale <sup>25</sup>
	10.000	90.00	09/29/21	70.00	...	20.00	3 of 6 - Sale <sup>25</sup>
	10.000	90.00	09/29/21	69.00	...	21.00	4 of 6 - Sale <sup>25</sup>
	20.000	180.00	09/29/21	144.10	...	35.90	5 of 6 - Sale <sup>25</sup>

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Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	40.000	359.98	10/05/21	272.00	...	87.98	6 of 6 - Sale <sup>25</sup>
10/12/21	100.000	899.98	Various	705.78	...	194.20	Total of 6 transactions
11/11/21	6.000	50.91	10/05/21	40.80	...	10.11	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,486.41</b>		<b>2,120.68</b>	...	<b>365.73</b>	
PFIZER INC. / CUSIP: 717081103 / Symbol:							
11/16/21	10.000	497.50	11/16/21	497.09	...	0.41	Sale <sup>25</sup>
PROTAGONIST THERAPEUTICS, INC. COMMON STOCK / CUSIP: 74366E102 / Symbol:							
2 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	2.000	35.95	09/17/21	33.16	...	2.79	1 of 2 - Sale <sup>25</sup>
	4.000	71.90	09/20/21	58.00	...	13.90	2 of 2 - Sale <sup>25</sup>
10/07/21	6.000	107.85	Various	91.16	...	16.69	Total of 2 transactions
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol:							
6 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	2.000	269.54	02/04/21	292.70	...	-23.16	1 of 6 - Sale <sup>25</sup>
	1.000	134.77	02/04/21	148.93	...	-14.16	2 of 6 - Sale <sup>25</sup>
	2.000	269.54	02/17/21	287.98	...	-18.44	3 of 6 - Sale <sup>25</sup>
	2.000	269.54	05/04/21	267.80	...	1.74	4 of 6 - Sale <sup>25</sup>
	4.000	539.08	05/10/21	524.40	...	14.68	5 of 6 - Sale <sup>25</sup>
	10.000	1,347.70	05/10/21	1,300.00	...	47.70	6 of 6 - Sale <sup>25</sup>
06/04/21	21.000	2,830.17	Various	2,821.81	...	8.36	Total of 6 transactions
RIO TINTO PLC / CUSIP: 767204100 / Symbol:							
6 transactions for 07/28/21. Total proceeds and cost reported to the IRS.							
	5.000	432.31	06/15/21	428.45	...	3.86	1 of 6 - Sale <sup>25</sup>
	5.000	432.31	06/16/21	427.50	...	4.81	2 of 6 - Sale <sup>25</sup>
	5.000	432.31	06/16/21	427.10	...	5.21	3 of 6 - Sale <sup>25</sup>
	5.000	432.31	06/16/21	423.30	...	9.01	4 of 6 - Sale <sup>25</sup>
	10.000	864.61	06/17/21	825.00	...	39.61	5 of 6 - Sale <sup>25</sup>
	5.000	432.30	06/21/21	403.95	...	28.35	6 of 6 - Sale <sup>25</sup>
07/28/21	35.000	3,026.15	Various	2,935.30	...	90.85	Total of 6 transactions
07/28/21	5.000	432.31	06/10/21	435.60	3.29 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RIO TINTO PLC / CUSIP: 767204100 / Symbol: (cont'd)							
<b>Security total:</b>		<b>3,458.46</b>		<b>3,370.90</b>		<b>3.29 W</b>	<b>90.85</b>
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	2.000	328.00	11/10/21	202.00	...	126.00	1 of 3 - Sale <sup>25</sup>
	2.000	328.00	11/10/21	202.00	...	126.00	2 of 3 - Sale <sup>25</sup>
	2.000	330.00	11/10/21	202.00	...	128.00	3 of 3 - Sale <sup>25</sup>
11/16/21	6.000	986.00	Various	606.00	...	380.00	Total of 3 transactions
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
03/03/21	1.000	29.15	01/19/21	19.66	...	9.49	Sale <sup>25</sup>
SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol:							
8 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	10.000	14.00	08/20/21	10.00	...	4.00	1 of 8 - Sale <sup>25</sup>
	100.000	139.98	08/27/21	100.00	...	39.98	2 of 8 - Sale <sup>25</sup>
	190.000	265.98	08/27/21	190.00	...	75.98	3 of 8 - Sale <sup>25</sup>
	210.000	293.97	08/27/21	210.00	...	83.97	4 of 8 - Sale <sup>25</sup>
	10.000	14.00	09/14/21	9.40	...	4.60	5 of 8 - Sale <sup>25</sup>
	90.000	125.99	09/14/21	84.60	...	41.39	6 of 8 - Sale <sup>25</sup>
	100.000	139.99	09/14/21	94.00	...	45.99	7 of 8 - Sale <sup>25</sup>
	390.000	545.94	09/15/21	352.95	...	192.99	8 of 8 - Sale <sup>25</sup>
10/20/21	1,100.000	1,539.85	Various	1,050.95	...	488.90	Total of 8 transactions
2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	90.000	125.99	09/15/21	81.00	...	44.99	1 of 2 - Sale <sup>25</sup>
	110.000	153.99	09/15/21	99.55	...	54.44	2 of 2 - Sale <sup>25</sup>
10/21/21	200.000	279.98	Various	180.55	...	99.43	Total of 2 transactions
11/11/21	10.000	11.70	09/15/21	9.00	...	2.70	Sale <sup>25</sup>
<b>Security total:</b>		<b>1,831.53</b>		<b>1,240.50</b>		<b>591.03</b>	
SHOALS TECHNOLOGIES GROUP, INC . CLASS A COMMON STOCK / CUSIP: 82489W107 / Symbol:							
3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	5.000	195.99	01/27/21	171.67	...	24.32	1 of 3 - Sale <sup>25</sup>
	5.000	195.99	01/27/21	158.25	...	37.74	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOALS TECHNOLOGIES GROUP, INC . CLASS A COMMON STOCK / CUSIP: 82489W107 / Symbol: (cont'd)							
	8.000	313.58	01/27/21	246.64	...	66.94	3 of 3 - Sale <sup>25</sup>
02/03/21	18.000	705.56	Various	576.56	...	129.00	Total of 3 transactions
04/06/21	2.000	71.40	01/27/21	61.66	...	9.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>776.96</b>		<b>638.22</b>	...	<b>138.74</b>	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
4 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	100.000	93.73	09/30/21	69.00	...	24.73	1 of 4 - Sale <sup>25</sup>
	100.000	93.74	09/30/21	69.00	...	24.74	2 of 4 - Sale <sup>25</sup>
	100.000	93.74	09/30/21	69.00	...	24.74	3 of 4 - Sale <sup>25</sup>
	200.000	187.48	09/30/21	138.00	...	49.48	4 of 4 - Sale <sup>25</sup>
11/12/21	500.000	468.69	Various	345.00	...	123.69	Total of 4 transactions
TAL EDUCATION GROUP / CUSIP: 874080104 / Symbol:							
06/28/21	10.000	250.00	06/21/21	225.00	...	25.00	Sale <sup>25</sup>
06/30/21	25.000	643.24	06/28/21	615.50	...	27.74	Sale <sup>25</sup>
07/28/21	10.000	63.00	07/27/21	46.50	...	16.50	Sale <sup>25</sup>
2 transactions for 08/04/21. Total proceeds and cost reported to the IRS.							
	5.000	31.40	07/29/21	29.40	...	2.00	1 of 2 - Sale <sup>25</sup>
	9.000	56.52	07/30/21	50.85	...	5.67	2 of 2 - Sale <sup>25</sup>
08/04/21	14.000	87.92	Various	80.25	...	7.67	Total of 2 transactions
	<b>Security total:</b>	<b>1,044.16</b>		<b>967.25</b>	...	<b>76.91</b>	
TATA MOTORS LIMITED / CUSIP: 876568502 / Symbol:							
01/27/21	10.000	179.10	01/11/21	155.05	...	24.05	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/12/21	2.000	1,707.38	01/11/21	1,621.25	...	86.13	Sale <sup>25</sup>
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	817.98	02/12/21	802.36	...	15.62	1 of 2 - Sale <sup>25</sup>
	3.000	2,453.94	02/12/21	2,376.00	...	77.94	2 of 2 - Sale <sup>25</sup>
02/16/21	4.000	3,271.92	Various	3,178.36	...	93.56	Total of 2 transactions
02/19/21	1.000	794.60	02/17/21	770.00	...	24.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,773.90</b>		<b>5,569.61</b>	...	<b>204.29</b>	

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Robinhood Securities LLC

Account 106087448

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	1.000	12.92	09/30/21	11.45	...	1.47	1 of 2 - Sale <sup>25</sup>
	5.000	64.60	09/30/21	57.25	...	7.35	2 of 2 - Sale <sup>25</sup>
11/16/21	6.000	77.52	Various	68.70	...	8.82	Total of 2 transactions
UDEMY, INC. COMMON STOCK / CUSIP: 902685106 / Symbol:							
11/16/21	1.000	28.53	11/01/21	27.00	...	1.53	Sale <sup>25</sup>
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
06/25/21	10.000	569.99	06/25/21	482.50	...	87.49	Sale <sup>25</sup>
WALMART INC. / CUSIP: 931142103 / Symbol:							
5 transactions for 07/23/21. Total proceeds and cost reported to the IRS.							
	3.000	427.50	02/09/21	438.02	...	-10.52	1 of 5 - Sale <sup>25</sup>
	3.000	427.50	05/07/21	420.00	...	7.50	2 of 5 - Sale <sup>25</sup>
	5.000	712.49	06/16/21	686.40	...	26.09	3 of 5 - Sale <sup>25</sup>
	5.000	712.50	06/16/21	686.20	...	26.30	4 of 5 - Sale <sup>25</sup>
	5.000	712.49	06/21/21	681.88	...	30.61	5 of 5 - Sale <sup>25</sup>
07/23/21	21.000	2,992.48	Various	2,912.50	...	79.98	Total of 5 transactions
E-HOME HOUSEHOLD SERVICE HOLDI NGS LIMITED ORDINARY SHARES / CUSIP: G2952X104 / Symbol:							
7 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	10.000	23.20	08/03/21	87.20	64.00 W	0.00	1 of 7 - Sale <sup>25</sup>
	20.000	46.40	08/03/21	170.00	123.60 W	0.00	2 of 7 - Sale <sup>25</sup>
	30.000	69.60	08/03/21	240.00	170.40 W	0.00	3 of 7 - Sale <sup>25</sup>
	50.000	115.99	08/03/21	392.50	276.51 W	0.00	4 of 7 - Sale <sup>25</sup>
	50.000	115.99	08/04/21	364.50	248.51 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	231.98	09/14/21	339.00	107.02 W	0.00	6 of 7 - Sale <sup>25</sup>
	90.000	208.79	09/17/21	305.84	43.13 W	-53.92	7 of 7 - Sale <sup>25</sup>
11/22/21	350.000	811.95	Various	1,899.04	1,033.17 W	-53.92	Total of 7 transactions

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Robinhood Securities LLC

Account 106087448

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol:							
3 transactions for 09/30/21. Total proceeds and cost reported to the IRS.							
	37.000	15.91	09/29/21	13.69	...	2.22	1 of 3 - Sale <sup>25</sup>
	100.000	43.02	09/29/21	37.00	...	6.02	2 of 3 - Sale <sup>25</sup>
	863.000	370.98	09/29/21	319.31	...	51.67	3 of 3 - Sale <sup>25</sup>
09/30/21	1,000.000	429.91	Various	370.00	...	59.91	Total of 3 transactions
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol:							
5 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	2.000	2.39	01/26/21	4.28	...	-1.89	1 of 5 - Sale <sup>25</sup>
	10.000	11.94	05/04/21	11.46	...	0.48	2 of 5 - Sale <sup>25</sup>
	20.000	23.87	05/06/21	21.10	...	2.77	3 of 5 - Sale <sup>25</sup>
	20.000	23.87	05/06/21	21.00	...	2.87	4 of 5 - Sale <sup>25</sup>
	50.000	59.69	05/06/21	52.84	...	6.85	5 of 5 - Sale <sup>25</sup>
05/07/21	102.000	121.76	Various	110.68	...	11.08	Total of 5 transactions
PAYSAFE LIMITED / CUSIP: G6964L107 / Symbol:							
9 transactions for 11/23/21. Total proceeds and cost reported to the IRS.							
	50.000	194.99	07/02/21	572.00	377.01 W	0.00	1 of 9 - Sale <sup>25</sup>
	30.000	117.00	08/03/21	321.00	204.00 W	0.00	2 of 9 - Sale <sup>25</sup>
	50.000	194.99	08/04/21	524.50	329.51 W	0.00	3 of 9 - Sale <sup>25</sup>
	50.000	194.99	08/11/21	515.00	320.01 W	0.00	4 of 9 - Sale <sup>25</sup>
	50.000	194.99	08/16/21	472.50	277.51 W	0.00	5 of 9 - Sale <sup>25</sup>
	50.000	194.99	09/13/21	420.00	225.01 W	0.00	6 of 9 - Sale <sup>25</sup>
	50.000	195.00	09/28/21	393.00	198.00 W	0.00	7 of 9 - Sale <sup>25</sup>
	50.000	194.99	09/29/21	388.75	193.76 W	0.00	8 of 9 - Sale <sup>25</sup>
	49.000	191.10	11/11/21	210.12	19.02 W	0.00	9 of 9 - Sale <sup>25</sup>
11/23/21	429.000	1,673.04	Various	3,816.87	2,143.83 W	0.00	Total of 9 transactions
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
01/28/21	10.000	27.80	01/27/21	8.80	...	19.00	Sale <sup>25</sup>
<b>Totals :</b>		<b>117,237.65</b>		<b>131,604.42</b>	<b>12,984.11 W</b>	<b>-1,382.66</b>	

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Robinhood Securities LLC

Account 106087448

**Proceeds Not Reported to the IRS**

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C607 / Symbol:							
09/14/21	0.667	1.74	01/28/21	5.38	...	-3.64	Gain or loss
<b>Totals:</b>		<b>1.74</b>		<b>5.38</b>	...	<b>-3.64</b>	

Robinhood Securities LLC

Account 106087448

## Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/11/21	4.51	Qualified dividend	
			05/13/21	5.28	Qualified dividend	
			11/11/21	2.64	Qualified dividend	
				<b>12.43</b>	<b>Total Dividends &amp; distributions</b>	
COSTCO WHOLESALE CORPORATION C OMMON STOCK	22160K105		02/19/21	0.70	Qualified dividend	
FEDEX CORPORATION	31428X106		12/27/21	1.50	Qualified dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100		01/26/21	520.00	Nondividend distribution	03
			04/27/21	585.00	Nondividend distribution	03
			07/27/21	405.00	Nondividend distribution	03
			10/26/21	405.00	Nondividend distribution	03
	<b>1,915.00</b>	<b>Total Dividends &amp; distributions</b>				
NEW RESIDENTIAL INVESTMENT COR P	64828T201		07/30/21	4.71	Section 199A dividend	03
			07/30/21	3.29	Nondividend distribution	03
	<b>8.00</b>	<b>Total Dividends &amp; distributions</b>				
QUALCOMM INCORPORATED COMMON S TOCK	747525103		03/25/21	3.25	Qualified dividend	
			06/24/21	14.28	Qualified dividend	
	<b>17.53</b>	<b>Total Dividends &amp; distributions</b>				
RIO TINTO PLC	767204100		09/23/21	7.52	Qualified dividend	
			09/23/21	3.70	Qualified dividend	
	<b>11.22</b>	<b>Total Dividends &amp; distributions</b>				

Robinhood Securities LLC

Account 106087448

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
WALMART INC.	931142103		04/05/21	1.65	Qualified dividend	
			06/01/21	1.65	Qualified dividend	
				<b>3.30</b>	<b>Total Dividends &amp; distributions</b>	
				<b>1,969.68</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 106087448

**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/04/21	-5.00	Margin interest paid	
		02/03/21	-5.00	Margin interest paid	
		03/05/21	-5.00	Margin interest paid	
		04/05/21	-5.00	Margin interest paid	
		05/04/21	-5.00	Margin interest paid	
		06/03/21	-5.00	Margin interest paid	
		07/06/21	-5.00	Margin interest paid	
		08/02/21	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
Margin charge		09/01/21	-5.00	Margin interest paid	
		10/01/21	-5.00	Margin interest paid	
		11/01/21	-5.00	Margin interest paid	
		11/30/21	-5.00	Margin interest paid	
		12/30/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			<b>-65.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 106087448

**Foreign Income and Taxes Summary**

2021

02/11/2022

*This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."*

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
UK	RIO TINTO PLC	767204100	0.00	11.22		0.00	11.22	0.00
<b>Total UNITED KINGDOM</b>			<b>0.00</b>	<b>11.22</b>		<b>0.00</b>	<b>11.22</b>	<b>0.00</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>11.22</b>				
	<b>Qualified Foreign Source Income**</b>			<b>11.22</b>				
	<b>Foreign Tax</b>					<b>0.00</b>		

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.



03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Instructions for Recipient**

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.