

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 146969795	Statement Date: 02/11/2022 Document ID: 66C0 GMP 84BJ	2021
	Ramesh Chilamkuri 1736 E Sycamore Ave Apt E El Segundo, CA 90245 RECIPIENT'S TIN: XXX-XX-0426		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 16.96</p> <p>1b- Qualified dividends 0.04</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 4.46</p> <p>7- Foreign tax paid: 4.46</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	169,691.11	207,876.44	0.00	3,019.05	-35,166.28
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	1.96	6.45	0.00	0.00	-4.49
	Total Short-term	169,693.07	207,882.89	0.00	3,019.05	-35,170.77
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	169,693.07	207,882.89	0.00	3,019.05	-35,170.77
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	3.06
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	84.83
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/29/2021 CALL \$23.00 / CUSIP: / Symbol:							
01/28/21	1.000	131.98	01/27/21	12.00	...	119.98	Option sale to close-call ²⁵
AAL 02/12/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/12/21	3.000	0.00	02/03/21	84.00	...	-84.00	Option expiration- long call
AAPL 04/16/2021 CALL \$155.00 / CUSIP: / Symbol:							
04/16/21	4.000	0.00	02/02/21	1,240.00	...	-1,240.00	Option expiration- long call
AAPL 05/14/2021 CALL \$146.00 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	04/28/21	50.00	...	-50.00	Option expiration- long call
AAPL 07/16/2021 CALL \$150.00 / CUSIP: / Symbol:							
07/15/21	2.000	199.98	07/14/21	114.00	...	85.98	Option sale to close-call ²⁵

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Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/30/2021 CALL \$160.00 / CUSIP: / Symbol:							
07/30/21	3.000	0.00	07/23/21	99.00	...	-99.00	Option expiration- long call
AAPL 08/06/2021 CALL \$150.00 / CUSIP: / Symbol:							
08/06/21	2.000	0.00	08/03/21	76.00	...	-76.00	Option expiration- long call
AAPL 08/20/2021 CALL \$147.00 / CUSIP: / Symbol:							
2 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	1.000	274.98	07/28/21	243.00	...	31.98	1 of 2 - Option sale to close-call ²⁵
	9.000	2,474.96	07/28/21	2,250.00	...	224.96	2 of 2 - Option sale to close-call ²⁵
08/05/21	10.000	2,749.94	Various	2,493.00	...	256.94	Total of 2 transactions
AAPL 08/20/2021 CALL \$148.00 / CUSIP: / Symbol:							
08/06/21	1.000	-32.02	08/05/21	0.00	...	-32.02	Short sale closed- call ²⁵
08/06/21	9.000	-117.03	08/05/21	0.00	13.00 W	-104.03	Option written: 07/28/21 Short sale closed- call ²⁵ Option written: 07/28/21
Security total:		-149.05		0.00	13.00 W	-136.05	
AAPL 09/03/2021 CALL \$160.00 / CUSIP: / Symbol:							
8 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	7.000	48.98	08/30/21	56.00	...	-7.02	1 of 8 - Option sale to close-call ²⁵
	4.000	27.99	08/30/21	32.00	...	-4.01	2 of 8 - Option sale to close-call ²⁵
	2.000	13.99	08/30/21	16.00	...	-2.01	3 of 8 - Option sale to close-call ²⁵
	2.000	13.99	08/30/21	16.00	...	-2.01	4 of 8 - Option sale to close-call ²⁵
	2.000	14.00	08/30/21	16.00	...	-2.00	5 of 8 - Option sale to close-call ²⁵
	1.000	7.00	08/30/21	8.00	...	-1.00	6 of 8 - Option sale to close-call ²⁵
	1.000	7.00	08/30/21	8.00	...	-1.00	7 of 8 - Option sale to close-call ²⁵
	1.000	7.00	08/30/21	8.00	...	-1.00	8 of 8 - Option sale to close-call ²⁵
09/01/21	20.000	139.95	Various	160.00	...	-20.05	Total of 8 transactions
AAPL 09/17/2021 CALL \$150.00 / CUSIP: / Symbol:							
08/12/21	1.000	319.98	08/04/21	320.00	0.02 W	0.00	Option sale to close-call ²⁵
08/19/21	1.000	314.98	08/19/21	264.02	...	50.96	Option sale to close-call ²⁵
08/27/21	5.000	869.98	08/27/21	830.00	...	39.98	Option sale to close-call ²⁵
Security total:		1,504.94		1,414.02	0.02 W	90.94	

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/24/2021 CALL \$160.00 / CUSIP: / Symbol:							
7 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	09/03/21	512.00	...	-512.00	1 of 7 - Option expiration- long call
	1.000	0.00	09/09/21	106.00	...	-106.00	2 of 7 - Option expiration- long call
	2.000	0.00	09/10/21	110.00	...	-110.00	3 of 7 - Option expiration- long call
	1.000	0.00	09/10/21	93.00	...	-93.00	4 of 7 - Option expiration- long call
	1.000	0.00	09/10/21	52.00	...	-52.00	5 of 7 - Option expiration- long call
	1.000	0.00	09/10/21	52.00	...	-52.00	6 of 7 - Option expiration- long call
	2.000	0.00	09/13/21	72.00	...	-72.00	7 of 7 - Option expiration- long call
09/24/21	12.000	0.00	Various	997.00	...	-997.00	Total of 7 transactions
AAPL 10/15/2021 CALL \$160.00 / CUSIP: / Symbol:							
3 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	1.000	285.99	08/31/21	196.00	...	89.99	1 of 3 - Option sale to close-call ²⁵
	1.000	285.99	08/31/21	196.00	...	89.99	2 of 3 - Option sale to close-call ²⁵
	5.000	1,379.98	08/31/21	1,085.00	...	294.98	3 of 3 - Option sale to close-call ²⁵
09/01/21	7.000	1,951.96	Various	1,477.00	...	474.96	Total of 3 transactions
AAPL 11/19/2021 CALL \$155.00 / CUSIP: / Symbol:							
11/17/21	2.000	119.98	11/17/21	110.00	...	9.98	Option sale to close-call ²⁵
AAPL 11/26/2021 CALL \$155.00 / CUSIP: / Symbol:							
11/02/21	6.000	839.98	10/29/21	828.00	...	11.98	Option sale to close-call ²⁵
ABNB 01/29/2021 CALL \$250.00 / CUSIP: / Symbol:							
01/27/21	2.000	349.98	01/15/21	330.00	...	19.98	Option sale to close-call ²⁵
ABNB 06/18/2021 CALL \$165.00 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	05/14/21	287.00	...	-287.00	Option expiration- long call
AFRM 01/21/2022 CALL \$200.00 / CUSIP: / Symbol:							
11/15/21	1.000	549.98	11/11/21	490.00	...	59.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMAT 07/02/2021 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	1.000	171.98	06/24/21	143.00	...	28.98	1 of 2 - Option sale to close-call ²⁵
	1.000	171.98	06/24/21	143.00	...	28.98	2 of 2 - Option sale to close-call ²⁵
06/28/21	2.000	343.96	Various	286.00	...	57.96	Total of 2 transactions
AMC 01/29/2021 CALL \$3.00 / CUSIP: / Symbol:							
2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	5.000	69.98	12/28/20	160.00	...	-90.02	1 of 2 - Option sale to close-call ²⁵
	1.000	14.00	12/28/20	32.00	...	-18.00	2 of 2 - Option sale to close-call ²⁵
01/12/21	6.000	83.98	Various	192.00	...	-108.02	Total of 2 transactions
AMC 05/28/2021 CALL \$33.00 / CUSIP: / Symbol:							
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	1.000	549.99	05/27/21	330.00	...	219.99	1 of 2 - Option sale to close-call ²⁵
	2.000	1,099.99	05/27/21	152.00	...	947.99	2 of 2 - Option sale to close-call ²⁵
05/28/21	3.000	1,649.98	Various	482.00	...	1,167.98	Total of 2 transactions
AMD 04/30/2021 CALL \$100.00 / CUSIP: / Symbol:							
3 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	04/26/21	80.00	...	-80.00	1 of 3 - Option expiration- long call
	4.000	0.00	04/26/21	64.00	...	-64.00	2 of 3 - Option expiration- long call
	1.000	0.00	04/26/21	16.00	...	-16.00	3 of 3 - Option expiration- long call
04/30/21	10.000	0.00	Various	160.00	...	-160.00	Total of 3 transactions
AMD 08/13/2021 PUT \$100.00 / CUSIP: / Symbol:							
08/12/21	5.000	54.98	08/11/21	55.00	...	-0.02	Option sale to close-put ²⁵
AMD 10/22/2021 CALL \$110.00 / CUSIP: / Symbol:							
3 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	2.000	299.99	09/15/21	562.00	...	-262.01	1 of 3 - Option sale to close-call ²⁵
	1.000	150.00	09/16/21	242.00	...	-92.00	2 of 3 - Option sale to close-call ²⁵
	1.000	149.99	09/20/21	168.00	...	-18.01	3 of 3 - Option sale to close-call ²⁵
10/07/21	4.000	599.98	Various	972.00	...	-372.02	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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AMZN 08/06/2021 CALL \$3,380.00 / CUSIP: / Symbol:							
08/06/21	1.000	0.00	08/05/21	1,770.00	...	-1,770.00	Option expiration- long call
AMZN 08/06/2021 CALL \$3,395.00 / CUSIP: / Symbol:							
08/06/21	2.000	2,337.97	08/06/21	0.00	...	2,337.97	Short sale closed- call expired Option written: 08/05/21
AMZN 08/06/2021 CALL \$3,410.00 / CUSIP: / Symbol:							
08/06/21	1.000	0.00	08/05/21	773.00	...	-773.00	Option expiration- long call
AMZN 08/13/2021 PUT \$3,200.00 / CUSIP: / Symbol:							
08/13/21	1.000	0.00	08/12/21	211.00	...	-211.00	Option expiration- long put
AMZN 09/10/2021 CALL \$3,600.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/09/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	439.99	09/08/21	430.00	...	9.99	1 of 2 - Option sale to close-call ²⁵
	1.000	439.99	09/08/21	325.00	...	114.99	2 of 2 - Option sale to close-call ²⁵
09/09/21	2.000	879.98	Various	755.00	...	124.98	Total of 2 transactions
AMZN 11/19/2021 CALL \$3,700.00 / CUSIP: / Symbol:							
11/17/21	1.000	349.98	11/17/21	355.00	...	-5.02	Option sale to close-call ²⁵
APPH 05/07/2021 CALL \$25.00 / CUSIP: / Symbol:							
05/07/21	10.000	0.00	03/25/21	300.00	...	-300.00	Option expiration- long call
APPH 08/20/2021 CALL \$35.00 / CUSIP: / Symbol:							
08/20/21	3.000	0.00	03/30/21	90.00	...	-90.00	Option expiration- long call
APPH 08/20/2021 CALL \$50.00 / CUSIP: / Symbol:							
08/20/21	2.000	0.00	03/26/21	70.00	...	-70.00	Option expiration- long call
APPS 05/07/2021 CALL \$80.00 / CUSIP: / Symbol:							
<i>6 transactions for 05/07/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	04/30/21	430.00	...	-430.00	1 of 6 - Option expiration- long call
	2.000	0.00	04/30/21	190.00	...	-190.00	2 of 6 - Option expiration- long call
	1.000	0.00	05/03/21	45.00	...	-45.00	3 of 6 - Option expiration- long call
	1.000	0.00	05/03/21	45.00	...	-45.00	4 of 6 - Option expiration- long call

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 05/07/2021 CALL \$80.00 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	05/04/21	30.00	...	-30.00	5 of 6 - Option expiration- long call
	2.000	0.00	05/04/21	30.00	...	-30.00	6 of 6 - Option expiration- long call
05/07/21	10.000	0.00	Various	770.00	...	-770.00	Total of 6 transactions
APPS 06/04/2021 CALL \$110.00 / CUSIP: / Symbol:							
06/04/21	1.000	0.00	04/30/21	130.00	...	-130.00	Option expiration- long call
ATER 10/15/2021 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 09/13/21. Total proceeds and cost reported to the IRS.							
	1.000	439.98	09/10/21	255.00	...	184.98	1 of 2 - Option sale to close-call ²⁵
	1.000	499.98	09/10/21	255.00	...	244.98	2 of 2 - Option sale to close-call ²⁵
09/13/21	2.000	939.96	Various	510.00	...	429.96	Total of 2 transactions
ATOM 05/21/2021 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	04/26/21	100.00	...	-100.00	1 of 2 - Option expiration- long call
	2.000	0.00	04/26/21	30.00	...	-30.00	2 of 2 - Option expiration- long call
05/21/21	7.000	0.00	Various	130.00	...	-130.00	Total of 2 transactions
ATOM 07/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
07/16/21	2.000	0.00	06/07/21	280.00	...	-280.00	Option expiration- long call
ATVI 08/20/2021 CALL \$95.00 / CUSIP: / Symbol:							
07/12/21	1.000	251.98	06/24/21	320.00	...	-68.02	Option sale to close-call ²⁵
AVGO 09/17/2021 CALL \$510.00 / CUSIP: / Symbol:							
09/14/21	2.000	319.98	09/14/21	250.00	...	69.98	Option sale to close-call ²⁵
AYX 09/17/2021 CALL \$100.00 / CUSIP: / Symbol:							
09/17/21	1.000	0.00	07/20/21	137.00	...	-137.00	Option expiration- long call
BA 04/30/2021 CALL \$280.00 / CUSIP: / Symbol:							
04/30/21	3.000	0.00	04/27/21	54.00	...	-54.00	Option expiration- long call
BA 05/07/2021 CALL \$245.00 / CUSIP: / Symbol:							
05/05/21	2.000	47.98	05/03/21	106.00	...	-58.02	Option sale to close-call ²⁵

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BA 06/04/2021 CALL \$242.50 / CUSIP: / Symbol:							
05/25/21	1.000	549.98	05/24/21	255.00	...	294.98	Option sale to close-call ²⁵
BA 06/11/2021 CALL \$260.00 / CUSIP: / Symbol:							
3 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/08/21	334.00	...	-334.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/08/21	67.00	...	-67.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/08/21	67.00	...	-67.00	3 of 3 - Option expiration- long call
06/11/21	4.000	0.00	Various	468.00	...	-468.00	Total of 3 transactions
BA 07/09/2021 CALL \$250.00 / CUSIP: / Symbol:							
07/09/21	2.000	0.00	07/08/21	50.00	...	-50.00	Option expiration- long call
BA 07/16/2021 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	07/12/21	90.00	...	-90.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/12/21	45.00	...	-45.00	2 of 2 - Option expiration- long call
07/16/21	3.000	0.00	Various	135.00	...	-135.00	Total of 2 transactions
BA 08/20/2021 CALL \$300.00 / CUSIP: / Symbol:							
05/27/21	1.000	399.98	05/26/21	257.00	...	142.98	Option sale to close-call ²⁵
BA 10/29/2021 CALL \$250.00 / CUSIP: / Symbol:							
3 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	1.000	149.98	10/07/21	119.00	...	30.98	1 of 3 - Option sale to close-call ²⁵
	1.000	149.98	10/07/21	119.00	...	30.98	2 of 3 - Option sale to close-call ²⁵
	3.000	449.98	10/07/21	357.00	...	92.98	3 of 3 - Option sale to close-call ²⁵
10/11/21	5.000	749.94	Various	595.00	...	154.94	Total of 3 transactions
BABA 02/12/2021 CALL \$300.00 / CUSIP: / Symbol:							
01/19/21	1.000	72.98	01/15/21	73.00	...	-0.02	Option sale to close-call ²⁵
BABA 05/14/2021 CALL \$235.00 / CUSIP: / Symbol:							
05/10/21	1.000	102.98	05/10/21	102.00	...	0.98	Option sale to close-call ²⁵
BABA 05/14/2021 CALL \$245.00 / CUSIP: / Symbol:							
05/14/21	2.000	0.00	05/06/21	184.00	...	-184.00	Option expiration- long call

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BABA 07/02/2021 CALL \$225.00 / CUSIP: / Symbol:							
06/25/21	1.000	306.98	06/25/21	250.00	...	56.98	Option sale to close-call ²⁵
BABA 09/10/2021 CALL \$180.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	184.98	09/01/21	146.00	...	38.98	1 of 2 - Option sale to close-call ²⁵
	2.000	561.98	09/01/21	292.00	...	269.98	2 of 2 - Option sale to close-call ²⁵
09/02/21	3.000	746.96	Various	438.00	...	308.96	Total of 2 transactions
BABA 09/10/2021 CALL \$190.00 / CUSIP: / Symbol:							
09/01/21	2.000	67.98	08/25/21	170.00	...	-102.02	Option sale to close-call ²⁵
BABA 11/19/2021 PUT \$40.00 / CUSIP: / Symbol:							
11/19/21	5.000	0.00	10/05/21	120.00	...	-120.00	Option expiration- long put
BAC 01/29/2021 CALL \$39.50 / CUSIP: / Symbol:							
<i>4 transactions for 01/29/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	0.00	01/12/21	45.00	...	-45.00	1 of 4 - Option expiration- long call
	3.000	0.00	01/12/21	27.00	...	-27.00	2 of 4 - Option expiration- long call
	2.000	0.00	01/12/21	18.00	...	-18.00	3 of 4 - Option expiration- long call
	2.000	0.00	01/12/21	18.00	...	-18.00	4 of 4 - Option expiration- long call
01/29/21	12.000	0.00	Various	108.00	...	-108.00	Total of 4 transactions
BB 01/29/2021 CALL \$29.00 / CUSIP: / Symbol:							
01/29/21	5.000	59.98	01/27/21	925.00	...	-865.02	Option sale to close-call ²⁵
BB 02/05/2021 CALL \$28.00 / CUSIP: / Symbol:							
02/05/21	3.000	0.00	01/29/21	429.00	...	-429.00	Option expiration- long call
BB 06/04/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/04/21	2.000	0.00	06/02/21	296.00	...	-296.00	Option expiration- long call
BB 06/11/2021 CALL \$19.50 / CUSIP: / Symbol:							
<i>2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	06/04/21	328.00	...	-328.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/04/21	101.00	...	-101.00	2 of 2 - Option expiration- long call
06/11/21	3.000	0.00	Various	429.00	...	-429.00	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BBIG 07/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	06/09/21	375.00	...	-375.00	1 of 2 - Option expiration- long call
	2.000	0.00	06/09/21	200.00	...	-200.00	2 of 2 - Option expiration- long call
07/16/21	5.000	0.00	Various	575.00	...	-575.00	Total of 2 transactions
BBIG 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
08/30/21	1.000	319.98	08/27/21	75.00	...	244.98	Option sale to close-call ²⁵
BBIG 10/29/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	1.000	100.98	09/16/21	200.00	...	-99.02	1 of 2 - Option sale to close-call ²⁵
	1.000	100.98	09/16/21	200.00	...	-99.02	2 of 2 - Option sale to close-call ²⁵
10/18/21	2.000	201.96	Various	400.00	...	-198.04	Total of 2 transactions
BIDU 05/07/2021 CALL \$210.00 / CUSIP: / Symbol:							
05/07/21	2.000	0.00	05/05/21	194.00	...	-194.00	Option expiration- long call
BIDU 05/14/2021 CALL \$202.50 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	05/07/21	88.00	...	-88.00	Option expiration- long call
BIDU 07/09/2021 CALL \$215.00 / CUSIP: / Symbol:							
07/09/21	1.000	0.00	06/29/21	310.00	...	-310.00	Option expiration- long call
BIDU 07/30/2021 CALL \$210.00 / CUSIP: / Symbol:							
06/25/21	1.000	389.98	06/23/21	180.00	...	209.98	Option sale to close-call ²⁵
BIGC 07/16/2021 CALL \$70.00 / CUSIP: / Symbol:							
06/14/21	1.000	199.98	06/07/21	187.00	...	12.98	Option sale to close-call ²⁵
BIIB 07/02/2021 CALL \$350.00 / CUSIP: / Symbol:							
06/30/21	1.000	339.98	06/29/21	285.00	...	54.98	Option sale to close-call ²⁵
BLDP 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/19/21	2.000	0.00	01/13/21	500.00	...	-500.00	Option expiration- long call
BLNK 07/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	06/24/21	169.00	...	-169.00	Option expiration- long call

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLNK 09/17/2021 CALL \$32.00 / CUSIP: / Symbol:							
08/31/21	4.000	647.98	08/30/21	616.00	...	31.98	Option sale to close-call ²⁵
BYND 07/09/2021 CALL \$155.00 / CUSIP: / Symbol:							
07/09/21	1.000	0.00	07/06/21	67.00	...	-67.00	Option expiration- long call
BYND 08/13/2021 CALL \$135.00 / CUSIP: / Symbol:							
08/10/21	1.000	188.98	08/05/21	235.00	...	-46.02	Option sale to close-call ²⁵
BYND 08/13/2021 CALL \$150.00 / CUSIP: / Symbol:							
08/11/21	1.000	47.98	08/10/21	0.00	...	47.98	Short sale closed- call ²⁵ Option written: 08/05/21
BYND 12/17/2021 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	11/19/21	441.00	...	-441.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/19/21	147.00	...	-147.00	2 of 2 - Option expiration- long call
12/17/21	4.000	0.00	Various	588.00	...	-588.00	Total of 2 transactions
CARG 11/19/2021 PUT \$34.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/09/21	120.00	...	-120.00	Option expiration- long put
CBAT 02/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/17/21	1.000	53.98	02/11/21	20.00	...	33.98	Option sale to close-call ²⁵
CELH 05/21/2021 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	1.000	194.99	03/25/21	105.00	...	89.99	1 of 2 - Option sale to close-call ²⁵
	1.000	194.99	03/25/21	100.00	...	94.99	2 of 2 - Option sale to close-call ²⁵
04/15/21	2.000	389.98	Various	205.00	...	184.98	Total of 2 transactions
CELH 07/16/2021 CALL \$100.00 / CUSIP: / Symbol:							
06/02/21	1.000	129.98	04/15/21	130.00	0.02 W	0.00	Option sale to close-call ²⁵
4 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	1.000	39.99	06/08/21	225.02	...	-185.03	1 of 4 - Option sale to close-call ²⁵
	1.000	40.00	06/08/21	225.00	...	-185.00	2 of 4 - Option sale to close-call ²⁵
	1.000	39.99	06/08/21	125.00	...	-85.01	3 of 4 - Option sale to close-call ²⁵

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CELH 07/16/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	1.000	40.00	06/09/21	110.00	...	-70.00	4 of 4 - Option sale to close-call ²⁵
06/30/21	4.000	159.98	Various	685.02	...	-525.04	Total of 4 transactions
	Security total:	289.96		815.02	0.02 W	-525.04	
CELH 07/16/2021 CALL \$75.00 / CUSIP: / Symbol:							
07/13/21	2.000	399.98	06/10/21	640.00	...	-240.02	Option sale to close-call ²⁵
CELH 07/16/2021 CALL \$90.00 / CUSIP: / Symbol:							
3 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	2.000	199.99	06/03/21	540.00	...	-340.01	1 of 3 - Option sale to close-call ²⁵
	1.000	100.00	06/08/21	220.00	...	-120.00	2 of 3 - Option sale to close-call ²⁵
	2.000	199.99	06/10/21	280.00	...	-80.01	3 of 3 - Option sale to close-call ²⁵
06/30/21	5.000	499.98	Various	1,040.00	...	-540.02	Total of 3 transactions
CELH 08/20/2021 CALL \$100.00 / CUSIP: / Symbol:							
08/20/21	2.000	0.00	06/08/21	720.00	...	-720.00	Option expiration- long call
CELH 08/20/2021 CALL \$80.00 / CUSIP: / Symbol:							
05/24/21	1.000	399.98	05/20/21	275.00	...	124.98	Option sale to close-call ²⁵
CELH 08/20/2021 CALL \$95.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	07/02/21	265.00	...	-265.00	Option expiration- long call
CELH 10/15/2021 CALL \$90.00 / CUSIP: / Symbol:							
06/02/21	1.000	599.98	05/20/21	285.00	...	314.98	Option sale to close-call ²⁵
CI 07/16/2021 CALL \$245.00 / CUSIP: / Symbol:							
06/25/21	2.000	299.98	06/24/21	260.00	...	39.98	Option sale to close-call ²⁵
CLOV 07/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/09/21	325.00	...	-325.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/10/21	100.00	...	-100.00	2 of 2 - Option expiration- long call
07/16/21	2.000	0.00	Various	425.00	...	-425.00	Total of 2 transactions

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLPT 10/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
06/10/21	5.000	749.98	06/03/21	450.00	...	299.98	Option sale to close-call ²⁵
CMG 09/03/2021 CALL \$2,000.00 / CUSIP: / Symbol:							
2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	1.000	99.98	08/30/21	140.00	...	-40.02	1 of 2 - Option sale to close-call ²⁵
	1.000	99.99	08/30/21	85.00	...	14.99	2 of 2 - Option sale to close-call ²⁵
08/30/21	2.000	199.97	Various	225.00	...	-25.03	Total of 2 transactions
08/30/21	3.000	299.99	08/27/21	465.00	55.00 W	-110.01	Option sale to close-call ²⁵
	Security total:	499.96		690.00	55.00 W	-135.04	
COIN 05/21/2021 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	2.000	299.99	05/20/21	270.00	...	29.99	1 of 2 - Option sale to close-call ²⁵
	1.000	149.99	05/20/21	75.00	...	74.99	2 of 2 - Option sale to close-call ²⁵
05/20/21	3.000	449.98	Various	345.00	...	104.98	Total of 2 transactions
COIN 07/30/2021 CALL \$250.00 / CUSIP: / Symbol:							
07/26/21	1.000	509.98	07/26/21	285.00	...	224.98	Option sale to close-call ²⁵
COIN 08/06/2021 CALL \$250.00 / CUSIP: / Symbol:							
08/04/21	1.000	140.98	08/04/21	145.00	4.02 W	0.00	Option sale to close-call ²⁵
08/05/21	1.000	214.98	08/05/21	124.02	...	90.96	Option sale to close-call ²⁵
	Security total:	355.96		269.02	4.02 W	90.96	
CRM 05/28/2021 CALL \$245.00 / CUSIP: / Symbol:							
05/28/21	2.000	0.00	05/27/21	170.00	...	-170.00	Option expiration- long call
CRWD 07/16/2021 CALL \$280.00 / CUSIP: / Symbol:							
07/07/21	1.000	309.98	06/22/21	305.00	...	4.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CVS 05/21/2021 CALL \$100.00 / CUSIP: / Symbol:							
<i>14 transactions for 05/21/21. Total proceeds and cost reported to the IRS.</i>							
	9.000	0.00	01/11/21	450.00	...	-450.00	1 of 14 - Option expiration- long call
	1.000	0.00	01/11/21	50.00	...	-50.00	2 of 14 - Option expiration- long call
	21.000	0.00	04/23/21	42.00	...	-42.00	3 of 14 - Option expiration- long call
	10.000	0.00	04/23/21	20.00	...	-20.00	4 of 14 - Option expiration- long call
	5.000	0.00	04/23/21	10.00	...	-10.00	5 of 14 - Option expiration- long call
	3.000	0.00	04/23/21	6.00	...	-6.00	6 of 14 - Option expiration- long call
	2.000	0.00	04/23/21	4.00	...	-4.00	7 of 14 - Option expiration- long call
	2.000	0.00	04/23/21	4.00	...	-4.00	8 of 14 - Option expiration- long call
	2.000	0.00	04/23/21	4.00	...	-4.00	9 of 14 - Option expiration- long call
	1.000	0.00	04/23/21	2.00	...	-2.00	10 of 14 - Option expiration- long call
	1.000	0.00	04/23/21	2.00	...	-2.00	11 of 14 - Option expiration- long call
	1.000	0.00	04/23/21	2.00	...	-2.00	12 of 14 - Option expiration- long call
	1.000	0.00	04/23/21	2.00	...	-2.00	13 of 14 - Option expiration- long call
	1.000	0.00	04/23/21	2.00	...	-2.00	14 of 14 - Option expiration- long call
05/21/21	60.000	0.00	Various	600.00	...	-600.00	Total of 14 transactions
CVS 05/28/2021 CALL \$86.50 / CUSIP: / Symbol:							
05/18/21	1.000	209.98	05/13/21	79.00	...	130.98	Option sale to close-call ²⁵
CVS 08/20/2021 CALL \$100.00 / CUSIP: / Symbol:							
08/20/21	2.000	0.00	05/20/21	224.00	...	-224.00	Option expiration- long call

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CVS 08/20/2021 CALL \$90.00 / CUSIP: / Symbol:							
4 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	1.000	79.98	01/04/21	78.00	...	1.98	1 of 4 - Option sale to close-call ²⁵
	1.000	79.98	01/04/21	78.00	...	1.98	2 of 4 - Option sale to close-call ²⁵
	2.000	159.99	01/04/21	156.00	...	3.99	3 of 4 - Option sale to close-call ²⁵
	3.000	239.99	01/04/21	234.00	...	5.99	4 of 4 - Option sale to close-call ²⁵
03/08/21	7.000	559.94	Various	546.00	...	13.94	Total of 4 transactions
CVX 02/26/2021 CALL \$105.00 / CUSIP: / Symbol:							
2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	1.000	29.99	02/17/21	26.00	...	3.99	1 of 2 - Option sale to close-call ²⁵
	1.000	29.99	02/17/21	26.00	...	3.99	2 of 2 - Option sale to close-call ²⁵
02/24/21	2.000	59.98	Various	52.00	...	7.98	Total of 2 transactions
DASH 05/28/2021 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	1.000	274.98	05/27/21	237.00	...	37.98	1 of 2 - Option sale to close-call ²⁵
	1.000	299.98	05/27/21	211.00	...	88.98	2 of 2 - Option sale to close-call ²⁵
05/27/21	2.000	574.96	Various	448.00	...	126.96	Total of 2 transactions
DIS 07/16/2021 CALL \$185.00 / CUSIP: / Symbol:							
07/12/21	2.000	259.98	07/12/21	142.00	...	117.98	Option sale to close-call ²⁵
DIS 08/13/2021 CALL \$200.00 / CUSIP: / Symbol:							
08/13/21	10.000	0.00	08/10/21	100.00	...	-100.00	Option expiration- long call
DIS 08/20/2021 CALL \$200.00 / CUSIP: / Symbol:							
08/20/21	5.000	0.00	08/10/21	90.00	...	-90.00	Option expiration- long call
DM 08/20/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	1.000	35.98	08/05/21	35.00	...	0.98	1 of 2 - Option sale to close-call ²⁵
	2.000	71.98	08/05/21	70.00	...	1.98	2 of 2 - Option sale to close-call ²⁵
08/11/21	3.000	107.96	Various	105.00	...	2.96	Total of 2 transactions

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Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DM 08/20/2021 CALL \$35.00 / CUSIP: / Symbol:							
5 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	04/21/21	76.00	...	-76.00	1 of 5 - Option expiration- long call
	3.000	0.00	04/21/21	60.00	...	-60.00	2 of 5 - Option expiration- long call
	2.000	0.00	04/21/21	40.00	...	-40.00	3 of 5 - Option expiration- long call
	1.000	0.00	04/21/21	20.00	...	-20.00	4 of 5 - Option expiration- long call
	10.000	0.00	05/04/21	100.00	...	-100.00	5 of 5 - Option expiration- long call
08/20/21	20.000	0.00	Various	296.00	...	-296.00	Total of 5 transactions
DM 08/20/2021 PUT \$7.50 / CUSIP: / Symbol:							
3 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	1.000	14.99	08/05/21	10.00	...	4.99	1 of 3 - Option sale to close-put ²⁵
	1.000	14.99	08/05/21	10.00	...	4.99	2 of 3 - Option sale to close-put ²⁵
	1.000	15.00	08/05/21	10.00	...	5.00	3 of 3 - Option sale to close-put ²⁵
08/11/21	3.000	44.98	Various	30.00	...	14.98	Total of 3 transactions
DMTK 05/21/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/26/21	34.00	...	-34.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/26/21	34.00	...	-34.00	2 of 2 - Option expiration- long call
05/21/21	2.000	0.00	Various	68.00	...	-68.00	Total of 2 transactions
DMTK 05/21/2021 CALL \$65.00 / CUSIP: / Symbol:							
05/21/21	10.000	0.00	05/03/21	80.00	...	-80.00	Option expiration- long call
DMTK 05/21/2021 CALL \$85.00 / CUSIP: / Symbol:							
3 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	04/27/21	75.00	...	-75.00	1 of 3 - Option expiration- long call
	3.000	0.00	04/27/21	57.00	...	-57.00	2 of 3 - Option expiration- long call
	2.000	0.00	04/27/21	38.00	...	-38.00	3 of 3 - Option expiration- long call
05/21/21	10.000	0.00	Various	170.00	...	-170.00	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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DMTK 06/18/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	5.000	499.99	05/28/21	525.00	...	-25.01	1 of 2 - Option sale to close-call ²⁵
	2.000	199.99	06/01/21	110.00	...	89.99	2 of 2 - Option sale to close-call ²⁵
06/08/21	7.000	699.98	Various	635.00	...	64.98	Total of 2 transactions
DMTK 07/16/2021 CALL \$55.00 / CUSIP: / Symbol:							
07/16/21	2.000	0.00	05/28/21	370.00	...	-370.00	Option expiration- long call
DOCU 01/21/2022 CALL \$165.00 / CUSIP: / Symbol:							
12/09/21	2.000	1,137.98	12/03/21	834.00	...	303.98	Option sale to close-call ²⁵
DOCU 01/21/2022 CALL \$175.00 / CUSIP: / Symbol:							
12/10/21	2.000	-164.02	12/09/21	0.00	...	-164.02	Short sale closed- call ²⁵ Option written: 12/03/21
DPW 11/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
11/19/21	10.000	0.00	10/28/21	200.00	...	-200.00	Option expiration- long call
DRIO 06/18/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/23/21	340.00	...	-340.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/14/21	5.00	...	-5.00	2 of 2 - Option expiration- long call
06/18/21	2.000	0.00	Various	345.00	...	-345.00	Total of 2 transactions
EFX 05/21/2021 CALL \$250.00 / CUSIP: / Symbol:							
04/27/21	2.000	259.98	04/22/21	240.00	...	19.98	Option sale to close-call ²⁵
EQIX 07/16/2021 CALL \$900.00 / CUSIP: / Symbol:							
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/18/21	360.00	...	-360.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/24/21	60.00	...	-60.00	2 of 2 - Option expiration- long call
07/16/21	3.000	0.00	Various	420.00	...	-420.00	Total of 2 transactions
ETSY 08/20/2021 CALL \$250.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	08/04/21	118.00	...	-118.00	Option expiration- long call

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F 06/04/2021 CALL \$14.50 / CUSIP: / Symbol:							
5 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	5.000	24.98	04/30/21	35.00	...	-10.02	1 of 5 - Option sale to close-call ²⁵
	2.000	9.98	04/30/21	14.00	...	-4.02	2 of 5 - Option sale to close-call ²⁵
	1.000	4.98	04/30/21	7.00	...	-2.02	3 of 5 - Option sale to close-call ²⁵
	1.000	4.98	04/30/21	7.00	...	-2.02	4 of 5 - Option sale to close-call ²⁵
	1.000	4.98	04/30/21	7.00	...	-2.02	5 of 5 - Option sale to close-call ²⁵
05/20/21	10.000	49.90	Various	70.00	...	-20.10	Total of 5 transactions
F 06/25/2021 CALL \$16.00 / CUSIP: / Symbol:							
3 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	3.000	53.99	06/16/21	54.01	...	-0.02	1 of 3 - Option sale to close-call ²⁵
	1.000	17.99	06/16/21	18.01	...	-0.02	2 of 3 - Option sale to close-call ²⁵
	1.000	17.98	06/16/21	18.00	...	-0.02	3 of 3 - Option sale to close-call ²⁵
06/17/21	5.000	89.96	Various	90.02	...	-0.06	Total of 3 transactions
06/17/21	5.000	89.98	06/16/21	90.00	0.02 W	0.00	Option sale to close-call ²⁵
	Security total:	179.94		180.02	0.02 W	-0.06	
FB 05/21/2021 CALL \$325.00 / CUSIP: / Symbol:							
05/14/21	2.000	199.98	05/10/21	176.00	...	23.98	Option sale to close-call ²⁵
2 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	2.000	31.99	05/17/21	172.00	...	-140.01	1 of 2 - Option sale to close-call ²⁵
	2.000	31.99	05/18/21	78.00	...	-46.01	2 of 2 - Option sale to close-call ²⁵
05/20/21	4.000	63.98	Various	250.00	...	-186.02	Total of 2 transactions
	Security total:	263.96		426.00	...	-162.04	
FB 05/21/2021 CALL \$340.00 / CUSIP: / Symbol:							
04/29/21	1.000	394.98	04/28/21	197.00	...	197.98	Option sale to close-call ²⁵
FB 05/28/2021 CALL \$332.50 / CUSIP: / Symbol:							
05/27/21	1.000	199.98	05/26/21	121.00	...	78.98	Option sale to close-call ²⁵

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FB 06/11/2021 CALL \$340.00 / CUSIP: / Symbol:							
2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	1.000	274.99	06/04/21	120.00	...	154.99	1 of 2 - Option sale to close-call ²⁵
	2.000	549.99	06/04/21	322.00	...	227.99	2 of 2 - Option sale to close-call ²⁵
06/07/21	3.000	824.98	Various	442.00	...	382.98	Total of 2 transactions
FB 08/20/2021 CALL \$390.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	05/20/21	288.00	...	-288.00	Option expiration- long call
FB 08/27/2021 CALL \$370.00 / CUSIP: / Symbol:							
08/25/21	2.000	399.98	08/24/21	380.00	...	19.98	Option sale to close-call ²⁵
08/27/21	1.000	40.98	08/24/21	190.00	...	-149.02	Option sale to close-call ²⁵
	Security total:	440.96		570.00	...	-129.04	
FDX 09/17/2021 CALL \$330.00 / CUSIP: / Symbol:							
06/29/21	1.000	404.98	06/28/21	290.00	...	114.98	Option sale to close-call ²⁵
FSLR 02/26/2021 CALL \$150.00 / CUSIP: / Symbol:							
02/26/21	2.000	0.00	01/13/21	196.00	...	-196.00	Option expiration- long call
FUBO 02/19/2021 CALL \$55.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	01/21/21	110.00	...	-110.00	Option expiration- long call
FUBO 06/04/2021 CALL \$28.00 / CUSIP: / Symbol:							
06/02/21	3.000	209.98	06/02/21	153.00	...	56.98	Option sale to close-call ²⁵
FUBO 09/17/2021 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	10.000	0.00	09/13/21	370.00	...	-370.00	1 of 3 - Option expiration- long call
	2.000	0.00	09/14/21	32.00	...	-32.00	2 of 3 - Option expiration- long call
	2.000	0.00	09/14/21	32.00	...	-32.00	3 of 3 - Option expiration- long call
09/17/21	14.000	0.00	Various	434.00	...	-434.00	Total of 3 transactions
FUTU 05/21/2021 CALL \$230.00 / CUSIP: / Symbol:							
05/21/21	2.000	0.00	04/27/21	90.00	...	-90.00	Option expiration- long call

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUTU 06/04/2021 CALL \$139.00 / CUSIP: / Symbol:							
05/25/21	1.000	499.98	05/21/21	280.00	...	219.98	Option sale to close-call ²⁵
FUTU 10/29/2021 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	10/22/21	620.00	...	-620.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/22/21	185.00	...	-185.00	2 of 2 - Option expiration- long call
10/29/21	3.000	0.00	Various	805.00	...	-805.00	Total of 2 transactions
GM 02/19/2021 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/19/21	32.00	...	-32.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/19/21	32.00	...	-32.00	2 of 2 - Option expiration- long call
02/19/21	2.000	0.00	Various	64.00	...	-64.00	Total of 2 transactions
GM 03/05/2021 CALL \$75.00 / CUSIP: / Symbol:							
03/05/21	2.000	0.00	01/22/21	98.00	...	-98.00	Option expiration- long call
GM 03/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
03/19/21	5.000	0.00	01/22/21	895.00	...	-895.00	Option expiration- long call
GM 03/26/2021 CALL \$59.00 / CUSIP: / Symbol:							
03/19/21	2.000	403.98	02/10/21	400.00	...	3.98	Option sale to close-call ²⁵
GM 06/18/2021 CALL \$61.00 / CUSIP: / Symbol:							
2 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	2.000	137.99	06/14/21	166.02	...	-28.03	1 of 2 - Option sale to close-call ²⁵
	1.000	68.99	06/14/21	76.00	...	-7.01	2 of 2 - Option sale to close-call ²⁵
06/15/21	3.000	206.98	Various	242.02	...	-35.04	Total of 2 transactions
06/15/21	2.000	137.98	06/14/21	152.00	14.02 W	0.00	Option sale to close-call ²⁵
	Security total:	344.96		394.02	14.02 W	-35.04	

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2021 1099-B* OMB No. 1545-0715

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02/11/2022

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GM 06/18/2021 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	1.000	264.98	01/14/21	121.00	...	143.98	1 of 2 - Option sale to close-call ²⁵
	1.000	264.98	01/14/21	121.00	...	143.98	2 of 2 - Option sale to close-call ²⁵
02/08/21	2.000	529.96	Various	242.00	...	287.96	Total of 2 transactions
03/03/21	2.000	251.98	01/14/21	242.00	...	9.98	Option sale to close-call ²⁵
	Security total:	781.94		484.00	...	297.94	
GM 06/18/2021 CALL \$80.00 / CUSIP: / Symbol:							
3 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	01/21/21	850.00	...	-850.00	1 of 3 - Option expiration- long call
	5.000	0.00	04/23/21	45.00	...	-45.00	2 of 3 - Option expiration- long call
	5.000	0.00	04/23/21	45.00	...	-45.00	3 of 3 - Option expiration- long call
06/18/21	15.000	0.00	Various	940.00	...	-940.00	Total of 3 transactions
GM 08/20/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	1.000	103.98	07/27/21	61.00	...	42.98	1 of 2 - Option sale to close-call ²⁵
	2.000	207.98	07/27/21	122.00	...	85.98	2 of 2 - Option sale to close-call ²⁵
07/29/21	3.000	311.96	Various	183.00	...	128.96	Total of 2 transactions
GM 08/20/2021 CALL \$64.00 / CUSIP: / Symbol:							
2 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	2.000	-26.01	07/29/21	0.00	...	-26.01	1 of 2 - Short sale closed- call ²⁵ Option written: 07/27/21
	1.000	-13.01	07/29/21	0.00	...	-13.01	2 of 2 - Short sale closed- call ²⁵ Option written: 07/27/21
07/30/21	3.000	-39.02	Various	0.00	...	-39.02	Total of 2 transactions
GM 09/17/2021 CALL \$70.00 / CUSIP: / Symbol:							
09/17/21	1.000	0.00	02/09/21	440.00	...	-440.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GM 10/22/2021 CALL \$60.00 / CUSIP: / Symbol:							
4 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	5.000	229.99	10/13/21	410.00	...	-180.01	1 of 4 - Option sale to close-call ²⁵
	2.000	91.99	10/13/21	146.00	...	-54.01	2 of 4 - Option sale to close-call ²⁵
	2.000	92.00	10/13/21	102.00	...	-10.00	3 of 4 - Option sale to close-call ²⁵
	3.000	137.99	10/13/21	117.00	...	20.99	4 of 4 - Option sale to close-call ²⁵
10/14/21	12.000	551.97	Various	775.00	...	-223.03	Total of 4 transactions
GME 06/04/2021 CALL \$300.00 / CUSIP: / Symbol:							
06/01/21	1.000	744.98	05/24/21	194.00	...	550.98	Option sale to close-call ²⁵
GOOGL 05/21/2021 CALL \$2,400.0 / CUSIP: / Symbol:							
2 transactions for 05/14/21. Total proceeds and cost reported to the IRS.							
	2.000	199.99	05/14/21	160.00	...	39.99	1 of 2 - Option sale to close-call ²⁵
	2.000	199.99	05/14/21	140.00	...	59.99	2 of 2 - Option sale to close-call ²⁵
05/14/21	4.000	399.98	Various	300.00	...	99.98	Total of 2 transactions
GS 04/16/2021 CALL \$350.00 / CUSIP: / Symbol:							
04/14/21	1.000	117.98	04/14/21	105.00	...	12.98	Option sale to close-call ²⁵
HD 09/10/2021 CALL \$330.00 / CUSIP: / Symbol:							
09/03/21	4.000	603.98	09/02/21	580.00	...	23.98	Option sale to close-call ²⁵
ICLN 06/11/2021 CALL \$23.00 / CUSIP: / Symbol:							
06/11/21	10.000	0.00	06/04/21	110.00	...	-110.00	Option expiration- long call
ILMN 09/17/2021 CALL \$490.00 / CUSIP: / Symbol:							
09/09/21	2.000	319.98	09/08/21	320.00	...	-0.02	Option sale to close-call ²⁵
JMIA 07/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	1.000	140.98	06/22/21	107.00	...	33.98	1 of 3 - Option sale to close-call ²⁵
	1.000	140.98	06/22/21	107.00	...	33.98	2 of 3 - Option sale to close-call ²⁵
	1.000	148.98	06/22/21	107.00	...	41.98	3 of 3 - Option sale to close-call ²⁵
06/23/21	3.000	430.94	Various	321.00	...	109.94	Total of 3 transactions

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Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
KO 06/18/2021 CALL \$57.50 / CUSIP: / Symbol:							
06/18/21	3.000	0.00	05/10/21	102.00	...	-102.00	Option expiration- long call
KSS 10/29/2021 CALL \$49.00 / CUSIP: / Symbol:							
4 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	1.000	49.98	10/27/21	33.00	...	16.98	1 of 4 - Option sale to close-call ²⁵
	1.000	49.98	10/27/21	33.00	...	16.98	2 of 4 - Option sale to close-call ²⁵
	1.000	49.98	10/27/21	33.00	...	16.98	3 of 4 - Option sale to close-call ²⁵
	2.000	99.98	10/27/21	66.00	...	33.98	4 of 4 - Option sale to close-call ²⁵
10/27/21	5.000	249.92	Various	165.00	...	84.92	Total of 4 transactions
LCID 01/21/2022 CALL \$50.00 / CUSIP: / Symbol:							
11/04/21	2.000	719.98	11/04/21	680.00	...	39.98	Option sale to close-call ²⁵
LCID 01/21/2022 CALL \$70.00 / CUSIP: / Symbol:							
11/16/21	2.000	819.98	11/09/21	820.00	...	-0.02	Option sale to close-call ²⁵
LCID 09/17/2021 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	1.000	94.99	09/01/21	101.02	...	-6.03	1 of 3 - Option sale to close-call ²⁵
	1.000	94.99	09/01/21	101.01	...	-6.02	2 of 3 - Option sale to close-call ²⁵
	1.000	94.99	09/01/21	80.00	...	14.99	3 of 3 - Option sale to close-call ²⁵
09/03/21	3.000	284.97	Various	282.03	...	2.94	Total of 3 transactions
2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	1.000	94.98	09/01/21	98.00	3.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	189.99	09/01/21	196.00	6.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/03/21	3.000	284.97	Various	294.00	9.03 W	0.00	Total of 2 transactions
	Security total:	569.94		576.03	9.03 W	2.94	
LCID 11/26/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	229.99	11/19/21	181.00	...	48.99	1 of 2 - Option sale to close-call ²⁵
	1.000	229.99	11/19/21	181.00	...	48.99	2 of 2 - Option sale to close-call ²⁵
11/19/21	2.000	459.98	Various	362.00	...	97.98	Total of 2 transactions

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LI 01/29/2021 CALL \$37.00 / CUSIP: / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/27/21	18.00	...	-18.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/27/21	18.00	...	-18.00	2 of 2 - Option expiration- long call
01/29/21	2.000	0.00	Various	36.00	...	-36.00	Total of 2 transactions
LI 02/05/2021 CALL \$53.00 / CUSIP: / Symbol:							
02/05/21	1.000	0.00	02/01/21	9.00	...	-9.00	Option expiration- long call
LI 05/28/2021 CALL \$30.00 / CUSIP: / Symbol:							
05/28/21	10.000	0.00	04/26/21	130.00	...	-130.00	Option expiration- long call
LMND 04/30/2021 CALL \$101.00 / CUSIP: / Symbol:							
04/26/21	1.000	159.98	04/22/21	65.00	...	94.98	Option sale to close-call ²⁵
LMND 05/14/2021 CALL \$90.00 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	05/04/21	210.00	...	-210.00	Option expiration- long call
LMND 06/18/2021 CALL \$102.00 / CUSIP: / Symbol:							
06/08/21	1.000	699.98	06/04/21	320.00	...	379.98	Option sale to close-call ²⁵
LMND 06/18/2021 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	4.000	79.98	05/06/21	100.00	...	-20.02	1 of 2 - Option sale to close-call ²⁵
	1.000	20.00	05/06/21	25.00	...	-5.00	2 of 2 - Option sale to close-call ²⁵
05/28/21	5.000	99.98	Various	125.00	...	-25.02	Total of 2 transactions
LMND 07/30/2021 CALL \$90.00 / CUSIP: / Symbol:							
07/28/21	1.000	259.98	07/22/21	255.00	...	4.98	Option sale to close-call ²⁵
LMND 08/20/2021 CALL \$105.00 / CUSIP: / Symbol:							
2 transactions for 07/28/21. Total proceeds and cost reported to the IRS.							
	1.000	264.98	07/26/21	203.00	...	61.98	1 of 2 - Option sale to close-call ²⁵
	1.000	264.98	07/26/21	203.00	...	61.98	2 of 2 - Option sale to close-call ²⁵
07/28/21	2.000	529.96	Various	406.00	...	123.96	Total of 2 transactions

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LMND 08/20/2021 CALL \$115.00 / CUSIP: / Symbol:							
2 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	1.000	-32.01	07/28/21	0.00	...	-32.01	1 of 2 - Short sale closed- call ²⁵ Option written: 07/26/21
	1.000	-32.01	07/28/21	0.00	...	-32.01	2 of 2 - Short sale closed- call ²⁵ Option written: 07/26/21
07/29/21	2.000	-64.02	Various	0.00	...	-64.02	Total of 2 transactions
LMND 08/20/2021 CALL \$120.00 / CUSIP: / Symbol:							
08/20/21	2.000	0.00	07/29/21	160.00	...	-160.00	Option expiration- long call
LTRN 07/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	03/23/21	225.00	...	-225.00	Option expiration- long call
LVS 06/18/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/01/21	304.00	...	-304.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/03/21	78.00	...	-78.00	2 of 2 - Option expiration- long call
06/18/21	3.000	0.00	Various	382.00	...	-382.00	Total of 2 transactions
MARK 09/17/2021 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	3.000	101.98	08/27/21	105.00	...	-3.02	1 of 2 - Option sale to close-call ²⁵
	2.000	67.98	08/27/21	70.00	...	-2.02	2 of 2 - Option sale to close-call ²⁵
09/02/21	5.000	169.96	Various	175.00	...	-5.04	Total of 2 transactions
MCD 07/23/2021 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 07/22/21. Total proceeds and cost reported to the IRS.							
	1.000	66.98	07/22/21	36.00	...	30.98	1 of 2 - Option sale to close-call ²⁵
	1.000	66.98	07/22/21	36.00	...	30.98	2 of 2 - Option sale to close-call ²⁵
07/22/21	2.000	133.96	Various	72.00	...	61.96	Total of 2 transactions

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MCD 07/30/2021 CALL \$255.00 / CUSIP: / Symbol:							
2 transactions for 07/23/21. Total proceeds and cost reported to the IRS.							
	2.000	89.98	07/22/21	42.00	...	47.98	1 of 2 - Option sale to close-call ²⁵
	3.000	119.98	07/22/21	63.00	...	56.98	2 of 2 - Option sale to close-call ²⁵
07/23/21	5.000	209.96	Various	105.00	...	104.96	Total of 2 transactions
MCD 07/30/2021 CALL \$257.50 / CUSIP: / Symbol:							
5 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	8.000	0.00	07/27/21	256.00	...	-256.00	1 of 5 - Option expiration- long call
	8.000	0.00	07/27/21	256.00	...	-256.00	2 of 5 - Option expiration- long call
	2.000	0.00	07/27/21	66.00	...	-66.00	3 of 5 - Option expiration- long call
	1.000	0.00	07/27/21	33.00	...	-33.00	4 of 5 - Option expiration- long call
	1.000	0.00	07/27/21	33.00	...	-33.00	5 of 5 - Option expiration- long call
07/30/21	20.000	0.00	Various	644.00	...	-644.00	Total of 5 transactions
MCD 07/30/2021 CALL \$260.00 / CUSIP: / Symbol:							
5 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	1.000	20.98	07/30/21	0.00	...	20.98	1 of 5 - Short sale closed- call expired
	1.000	20.98	07/30/21	0.00	...	20.98	Option written: 07/27/21 2 of 5 - Short sale closed- call expired
	2.000	41.98	07/30/21	0.00	...	41.98	Option written: 07/27/21 3 of 5 - Short sale closed- call expired
	8.000	175.97	07/30/21	0.00	...	175.97	Option written: 07/27/21 4 of 5 - Short sale closed- call expired
	8.000	175.97	07/30/21	0.00	...	175.97	Option written: 07/27/21 5 of 5 - Short sale closed- call expired
07/30/21	20.000	435.88	Various	0.00	...	435.88	Option written: 07/27/21 Total of 5 transactions
MCD 08/06/2021 CALL \$250.00 / CUSIP: / Symbol:							
07/23/21	2.000	161.98	07/22/21	138.00	...	23.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MCD 08/06/2021 CALL \$255.00 / CUSIP: / Symbol:							
08/06/21	2.000	0.00	07/23/21	138.00	...	-138.00	Option expiration- long call
MCD 09/03/2021 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	1.000	45.98	09/01/21	36.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	2.000	91.98	09/01/21	72.00	...	19.98	2 of 2 - Option sale to close-call ²⁵
09/02/21	3.000	137.96	Various	108.00	...	29.96	Total of 2 transactions
MNMD 06/18/2021 CALL \$5.00 / CUSIP: / Symbol:							
3 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	10.000	0.00	06/14/21	150.00	...	-150.00	1 of 3 - Option expiration- long call
	9.000	0.00	06/14/21	135.00	...	-135.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/14/21	14.00	...	-14.00	3 of 3 - Option expiration- long call
06/18/21	20.000	0.00	Various	299.00	...	-299.00	Total of 3 transactions
MRIN 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
3 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	1.000	85.99	08/27/21	68.00	...	17.99	1 of 3 - Option sale to close-call ²⁵
	1.000	85.99	08/27/21	68.00	...	17.99	2 of 3 - Option sale to close-call ²⁵
	1.000	86.00	08/27/21	68.00	...	18.00	3 of 3 - Option sale to close-call ²⁵
08/27/21	3.000	257.98	Various	204.00	...	53.98	Total of 3 transactions
MRNA 08/06/2021 PUT \$390.00 / CUSIP: / Symbol:							
08/06/21	1.000	199.98	08/05/21	350.00	...	-150.02	Option sale to close-put ²⁵
MRNA 08/13/2021 PUT \$400.00 / CUSIP: / Symbol:							
08/11/21	1.000	299.98	08/09/21	248.00	...	51.98	Option sale to close-put ²⁵
MSFT 07/16/2021 CALL \$300.00 / CUSIP: / Symbol:							
9 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	04/27/21	292.00	...	-292.00	1 of 9 - Option expiration- long call
	1.000	0.00	05/03/21	48.00	...	-48.00	2 of 9 - Option expiration- long call
	1.000	0.00	05/05/21	30.00	...	-30.00	3 of 9 - Option expiration- long call
	1.000	0.00	05/05/21	30.00	...	-30.00	4 of 9 - Option expiration- long call
	1.000	0.00	05/24/21	17.00	...	-17.00	5 of 9 - Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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MSFT 07/16/2021 CALL \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	05/24/21	17.00	...	-17.00	6 of 9 - Option expiration- long call
	2.000	0.00	06/07/21	24.00	...	-24.00	7 of 9 - Option expiration- long call
	2.000	0.00	06/07/21	24.00	...	-24.00	8 of 9 - Option expiration- long call
	1.000	0.00	06/07/21	12.00	...	-12.00	9 of 9 - Option expiration- long call
07/16/21	12.000	0.00	Various	494.00	...	-494.00	Total of 9 transactions
MSFT 09/17/2021 CALL \$300.00 / CUSIP: / Symbol:							
08/19/21	1.000	354.98	07/26/21	386.00	...	-31.02	Option sale to close-call ²⁵
MSFT 09/17/2021 CALL \$310.00 / CUSIP: / Symbol:							
08/20/21	1.000	46.98	08/19/21	0.00	...	46.98	Short sale closed- call ²⁵ Option written: 07/26/21
NFLX 04/23/2021 CALL \$630.00 / CUSIP: / Symbol:							
04/23/21	1.000	0.00	04/19/21	157.00	...	-157.00	Option expiration- long call
NFLX 05/07/2021 CALL \$575.00 / CUSIP: / Symbol:							
05/07/21	1.000	0.00	04/21/21	92.00	...	-92.00	Option expiration- long call
NFLX 05/14/2021 CALL \$580.00 / CUSIP: / Symbol:							
05/14/21	3.000	0.00	04/21/21	306.00	...	-306.00	Option expiration- long call
NFLX 06/18/2021 CALL \$500.00 / CUSIP: / Symbol:							
06/14/21	2.000	599.98	06/10/21	490.00	...	109.98	Option sale to close-call ²⁵
NFLX 07/02/2021 CALL \$550.00 / CUSIP: / Symbol:							
06/28/21	1.000	199.98	06/23/21	111.00	...	88.98	Option sale to close-call ²⁵
NFLX 08/27/2021 PUT \$530.00 / CUSIP: / Symbol:							
08/26/21	1.000	39.98	08/19/21	350.00	...	-310.02	Option sale to close-put ²⁵
NFLX 09/17/2021 CALL \$600.00 / CUSIP: / Symbol:							
2 transactions for 08/19/21. Total proceeds and cost reported to the IRS.							
	1.000	100.00	06/17/21	390.00	...	-290.00	1 of 2 - Option sale to close-call ²⁵
	1.000	99.99	07/21/21	211.00	...	-111.01	2 of 2 - Option sale to close-call ²⁵
08/19/21	2.000	199.99	Various	601.00	...	-401.01	Total of 2 transactions

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NFLX 09/17/2021 CALL \$600.00 / CUSIP: / Symbol: (cont'd)							
08/19/21	1.000	99.99	06/15/21	440.00	340.01 W	0.00	Option sale to close-call ²⁵
09/01/21	1.000	237.98	08/31/21	549.01	...	-311.03	Option sale to close-call ²⁵
	Security total:	537.96		1,590.01	340.01 W	-712.04	
NFLX 09/17/2021 PUT \$570.00 / CUSIP: / Symbol:							
2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	1.000	499.98	09/02/21	295.00	...	204.98	1 of 2 - Option sale to close-put ²⁵
	1.000	519.98	09/02/21	295.00	...	224.98	2 of 2 - Option sale to close-put ²⁵
09/03/21	2.000	1,019.96	Various	590.00	...	429.96	Total of 2 transactions
NIO 01/22/2021 CALL \$80.00 / CUSIP: / Symbol:							
01/22/21	5.000	0.00	01/11/21	970.00	...	-970.00	Option expiration- long call
NIO 02/26/2021 CALL \$52.00 / CUSIP: / Symbol:							
02/26/21	1.000	0.00	02/24/21	134.00	...	-134.00	Option expiration- long call
NIO 05/07/2021 CALL \$56.00 / CUSIP: / Symbol:							
05/07/21	7.000	0.00	04/27/21	98.00	...	-98.00	Option expiration- long call
NIO 05/07/2021 CALL \$59.00 / CUSIP: / Symbol:							
05/07/21	10.000	0.00	04/27/21	90.00	...	-90.00	Option expiration- long call
NIO 05/21/2021 CALL \$55.00 / CUSIP: / Symbol:							
04/26/21	4.000	227.98	04/22/21	108.00	...	119.98	Option sale to close-call ²⁵
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	04/22/21	135.00	...	-135.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/22/21	27.00	...	-27.00	2 of 2 - Option expiration- long call
05/21/21	6.000	0.00	Various	162.00	...	-162.00	Total of 2 transactions
	Security total:	227.98		270.00	...	-42.02	
NIO 05/28/2021 CALL \$34.00 / CUSIP: / Symbol:							
05/24/21	2.000	279.98	05/19/21	200.00	...	79.98	Option sale to close-call ²⁵
NIO 06/18/2021 CALL \$42.00 / CUSIP: / Symbol:							
06/01/21	5.000	1,049.98	05/25/21	295.00	...	754.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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NIO 07/09/2021 CALL \$55.00 / CUSIP: / Symbol:							
06/11/21	5.000	349.98	06/01/21	260.00	...	89.98	Option sale to close-call ²⁵
NIO 10/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
10/15/21	5.000	0.00	07/27/21	495.00	...	-495.00	Option expiration- long call
NIO 10/15/2021 CALL \$65.00 / CUSIP: / Symbol:							
10/15/21	5.000	329.98	10/15/21	0.00	...	329.98	Short sale closed- call expired Option written: 07/27/21
NIO 12/17/2021 CALL \$85.00 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	03/25/21	229.00	...	-229.00	Option expiration- long call
NUE 10/22/2021 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 09/14/21. Total proceeds and cost reported to the IRS.							
	1.000	54.99	09/13/21	50.00	...	4.99	1 of 2 - Option sale to close-call ²⁵
	3.000	164.99	09/13/21	150.00	...	14.99	2 of 2 - Option sale to close-call ²⁵
09/14/21	4.000	219.98	Various	200.00	...	19.98	Total of 2 transactions
4 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	09/13/21	200.00	...	-200.00	1 of 4 - Option expiration- long call
	1.000	0.00	09/13/21	50.00	...	-50.00	2 of 4 - Option expiration- long call
	1.000	0.00	09/13/21	50.00	...	-50.00	3 of 4 - Option expiration- long call
	3.000	0.00	09/17/21	90.00	...	-90.00	4 of 4 - Option expiration- long call
10/22/21	9.000	0.00	Various	390.00	...	-390.00	Total of 4 transactions
	Security total:	219.98		590.00	...	-370.02	
NVDA 05/21/2021 CALL \$590.00 / CUSIP: / Symbol:							
05/18/21	2.000	599.98	05/17/21	328.00	...	271.98	Option sale to close-call ²⁵
NVDA 06/04/2021 CALL \$700.00 / CUSIP: / Symbol:							
06/04/21	1.000	299.98	06/03/21	214.00	...	85.98	Option sale to close-call ²⁵
NVDA 07/30/2021 CALL \$200.00 / CUSIP: / Symbol:							
07/27/21	1.000	199.98	07/26/21	161.00	...	38.98	Option sale to close-call ²⁵
07/29/21	1.000	119.98	07/26/21	161.00	...	-41.02	Option sale to close-call ²⁵
	Security total:	319.96		322.00	...	-2.04	

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NVDA 08/20/2021 PUT \$185.00 / CUSIP: / Symbol:							
3 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	08/19/21	302.00	...	-302.00	1 of 3 - Option expiration- long put
	1.000	0.00	08/19/21	86.00	...	-86.00	2 of 3 - Option expiration- long put
	1.000	0.00	08/19/21	58.00	...	-58.00	3 of 3 - Option expiration- long put
08/20/21	4.000	0.00	Various	446.00	...	-446.00	Total of 3 transactions
NVDA 10/01/2021 CALL \$235.00 / CUSIP: / Symbol:							
09/10/21	1.000	359.98	09/08/21	335.00	...	24.98	Option sale to close-call ²⁵
OEG 07/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/01/21	6.000	119.98	03/30/21	210.00	...	-90.02	Option sale to close-call ²⁵
OPEN 10/22/2021 CALL \$25.00 / CUSIP: / Symbol:							
10/22/21	4.000	0.00	10/18/21	200.00	...	-200.00	Option expiration- long call
ORCL 01/21/2022 CALL \$90.00 / CUSIP: / Symbol:							
06/04/21	1.000	349.98	04/19/21	330.00	...	19.98	Option sale to close-call ²⁵
ORCL 08/20/2021 CALL \$90.00 / CUSIP: / Symbol:							
08/03/21	1.000	118.98	07/23/21	116.00	...	2.98	Option sale to close-call ²⁵
OSTK 10/29/2021 CALL \$95.00 / CUSIP: / Symbol:							
2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	154.98	10/28/21	153.00	...	1.98	1 of 2 - Option sale to close-call ²⁵
	1.000	154.98	10/28/21	153.00	...	1.98	2 of 2 - Option sale to close-call ²⁵
10/28/21	2.000	309.96	Various	306.00	...	3.96	Total of 2 transactions
PAVM 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
3 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	1.000	34.99	04/01/21	35.01	...	-0.02	1 of 3 - Option sale to close-call ²⁵
	1.000	34.99	04/01/21	35.00	...	-0.01	2 of 3 - Option sale to close-call ²⁵
	2.000	69.99	04/01/21	70.00	...	-0.01	3 of 3 - Option sale to close-call ²⁵
04/01/21	4.000	139.97	Various	140.01	...	-0.04	Total of 3 transactions
04/01/21	1.000	34.99	04/01/21	35.00	0.01 W	0.00	Option sale to close-call ²⁵
Security total:		174.96		175.01	0.01 W	-0.04	

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PAVM 05/21/2021 CALL \$10.00 / CUSIP: / Symbol:							
3 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	12.000	0.00	05/03/21	60.00	...	-60.00	1 of 3 - Option expiration- long call
	7.000	0.00	05/03/21	35.00	...	-35.00	2 of 3 - Option expiration- long call
	1.000	0.00	05/03/21	5.00	...	-5.00	3 of 3 - Option expiration- long call
05/21/21	20.000	0.00	Various	100.00	...	-100.00	Total of 3 transactions
PCT 09/17/2021 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	2.000	79.99	08/27/21	80.00	...	-0.01	1 of 2 - Option sale to close-call ²⁵
	1.000	39.99	08/27/21	40.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
09/01/21	3.000	119.98	Various	120.00	...	-0.02	Total of 2 transactions
PFE 03/19/2021 CALL \$41.00 / CUSIP: / Symbol:							
03/19/21	6.000	0.00	02/02/21	126.00	...	-126.00	Option expiration- long call
PFE 10/29/2021 CALL \$47.00 / CUSIP: / Symbol:							
4 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	6.000	0.00	10/22/21	30.00	...	-30.00	1 of 4 - Option expiration- long call
	2.000	0.00	10/22/21	10.00	...	-10.00	2 of 4 - Option expiration- long call
	1.000	0.00	10/22/21	5.00	...	-5.00	3 of 4 - Option expiration- long call
	1.000	0.00	10/22/21	5.00	...	-5.00	4 of 4 - Option expiration- long call
10/29/21	10.000	0.00	Various	50.00	...	-50.00	Total of 4 transactions
PING 07/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	02/09/21	480.00	...	-480.00	1 of 2 - Option expiration- long call
	10.000	0.00	04/23/21	50.00	...	-50.00	2 of 2 - Option expiration- long call
07/16/21	13.000	0.00	Various	530.00	...	-530.00	Total of 2 transactions
PING 10/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	2.000	639.99	02/25/21	640.00	...	-0.01	1 of 2 - Option sale to close-call ²⁵
	1.000	319.99	02/25/21	320.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
03/03/21	3.000	959.98	Various	960.00	...	-0.02	Total of 2 transactions

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(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PINS 06/17/2022 CALL \$55.00 / CUSIP: / Symbol:							
11/10/21	2.000	749.98	10/27/21	670.00	...	79.98	Option sale to close-call ²⁵
PLTR 05/21/2021 CALL \$23.00 / CUSIP: / Symbol:							
05/21/21	2.000	0.00	05/11/21	48.00	...	-48.00	Option expiration- long call
PLTR 05/21/2021 CALL \$50.00 / CUSIP: / Symbol:							
<i>5 transactions for 05/21/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	0.00	03/12/21	231.00	...	-231.00	1 of 5 - Option expiration- long call
	5.000	0.00	04/23/21	20.00	...	-20.00	2 of 5 - Option expiration- long call
	2.000	0.00	04/23/21	8.00	...	-8.00	3 of 5 - Option expiration- long call
	2.000	0.00	04/23/21	8.00	...	-8.00	4 of 5 - Option expiration- long call
	1.000	0.00	04/23/21	4.00	...	-4.00	5 of 5 - Option expiration- long call
05/21/21	13.000	0.00	Various	271.00	...	-271.00	Total of 5 transactions
PLTR 11/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
11/19/21	3.000	0.00	06/01/21	312.00	...	-312.00	Option expiration- long call
PLUG 02/19/2021 CALL \$85.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	01/19/21	425.00	...	-425.00	Option expiration- long call
PLUG 06/18/2021 CALL \$35.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	06/08/21	174.00	...	-174.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/08/21	174.00	...	-174.00	2 of 2 - Option expiration- long call
06/18/21	2.000	0.00	Various	348.00	...	-348.00	Total of 2 transactions
PLUG 10/29/2021 CALL \$36.00 / CUSIP: / Symbol:							
10/27/21	5.000	254.98	10/26/21	200.00	...	54.98	Option sale to close-call ²⁵
POSH 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
10/21/21	2.000	179.98	10/21/21	170.00	...	9.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/05/2021 CALL \$260.00 / CUSIP: / Symbol:							
2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	349.99	10/21/21	278.00	...	71.99	1 of 2 - Option sale to close-call ²⁵
	2.000	699.99	10/21/21	556.00	...	143.99	2 of 2 - Option sale to close-call ²⁵
10/25/21	3.000	1,049.98	Various	834.00	...	215.98	Total of 2 transactions
4 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	10/21/21	556.00	...	-556.00	1 of 4 - Option expiration- long call
	1.000	0.00	10/22/21	169.00	...	-169.00	2 of 4 - Option expiration- long call
	2.000	0.00	10/27/21	108.00	...	-108.00	3 of 4 - Option expiration- long call
	2.000	0.00	10/28/21	92.00	...	-92.00	4 of 4 - Option expiration- long call
11/05/21	7.000	0.00	Various	925.00	...	-925.00	Total of 4 transactions
	Security total:	1,049.98		1,759.00	...	-709.02	
PYPL 11/12/2021 CALL \$235.00 / CUSIP: / Symbol:							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/03/21	450.00	...	-450.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/03/21	435.00	...	-435.00	2 of 2 - Option expiration- long call
11/12/21	2.000	0.00	Various	885.00	...	-885.00	Total of 2 transactions
PYPL 12/23/2021 CALL \$240.00 / CUSIP: / Symbol:							
12/02/21	1.000	32.98	11/09/21	242.00	209.02 W	0.00	Option sale to close-call ²⁵
4 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	3.000	83.99	11/09/21	726.00	...	-642.01	1 of 4 - Option sale to close-call ²⁵
	1.000	28.00	11/09/21	419.02	...	-391.02	2 of 4 - Option sale to close-call ²⁵
	1.000	28.00	11/11/21	130.00	...	-102.00	3 of 4 - Option sale to close-call ²⁵
	2.000	55.99	11/17/21	228.00	...	-172.01	4 of 4 - Option sale to close-call ²⁵
12/03/21	7.000	195.98	Various	1,503.02	...	-1,307.04	Total of 4 transactions
	Security total:	228.96		1,745.02	209.02 W	-1,307.04	
QFIN 06/18/2021 CALL \$35.00 / CUSIP: / Symbol:							
06/01/21	2.000	399.98	05/27/21	180.00	...	219.98	Option sale to close-call ²⁵
06/04/21	3.000	899.98	05/27/21	270.00	...	629.98	Option sale to close-call ²⁵
	Security total:	1,299.96		450.00	...	849.96	

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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QFIN 07/16/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	1.000	499.98	06/02/21	150.00	...	349.98	1 of 2 - Option sale to close-call ²⁵
	2.000	899.98	06/02/21	300.00	...	599.98	2 of 2 - Option sale to close-call ²⁵
06/11/21	3.000	1,399.96	Various	450.00	...	949.96	Total of 2 transactions
QFIN 08/20/2021 CALL \$50.00 / CUSIP: / Symbol:							
06/16/21	1.000	349.98	06/03/21	90.00	...	259.98	Option sale to close-call ²⁵
06/23/21	2.000	699.98	06/03/21	180.00	...	519.98	Option sale to close-call ²⁵
08/20/21	2.000	0.00	06/03/21	180.00	...	-180.00	Option expiration- long call
	Security total:	1,049.96		450.00	...	599.96	
QFIN 09/17/2021 CALL \$40.00 / CUSIP: / Symbol:							
09/17/21	5.000	0.00	07/26/21	260.00	...	-260.00	Option expiration- long call
QFIN 09/17/2021 CALL \$45.00 / CUSIP: / Symbol:							
09/17/21	10.000	0.00	07/26/21	330.00	...	-330.00	Option expiration- long call
QFIN 09/17/2021 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	5.000	69.98	09/17/21	0.00	...	69.98	1 of 2 - Short sale closed- call expired
	10.000	129.97	09/17/21	0.00	...	129.97	Option written: 07/26/21 2 of 2 - Short sale closed- call expired
09/17/21	15.000	199.95	Various	0.00	...	199.95	Option written: 07/26/21 Total of 2 transactions
QFIN 11/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/19/21	4.000	0.00	07/29/21	460.00	...	-460.00	Option expiration- long call

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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QFIN 11/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
3 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	07/02/21	308.00	...	-308.00	1 of 3 - Option expiration- long call
	1.000	0.00	07/02/21	154.00	...	-154.00	2 of 3 - Option expiration- long call
	1.000	0.00	07/06/21	105.00	...	-105.00	3 of 3 - Option expiration- long call
11/19/21	4.000	0.00	Various	567.00	...	-567.00	Total of 3 transactions
RBLX 01/21/2022 CALL \$90.00 / CUSIP: / Symbol:							
11/09/21	1.000	1,799.98	11/08/21	425.00	...	1,374.98	Option sale to close-call ²⁵
RBLX 07/02/2021 CALL \$96.00 / CUSIP: / Symbol:							
07/02/21	1.000	0.00	06/11/21	462.00	...	-462.00	Option expiration- long call
RKT 06/25/2021 CALL \$25.00 / CUSIP: / Symbol:							
3 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/08/21	270.00	...	-270.00	1 of 3 - Option expiration- long call
	2.000	0.00	06/10/21	70.00	...	-70.00	2 of 3 - Option expiration- long call
	3.000	0.00	06/11/21	75.00	...	-75.00	3 of 3 - Option expiration- long call
06/25/21	10.000	0.00	Various	415.00	...	-415.00	Total of 3 transactions
RMO 07/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	04/06/21	220.00	...	-220.00	1 of 2 - Option expiration- long call
	5.000	0.00	04/26/21	55.00	...	-55.00	2 of 2 - Option expiration- long call
07/16/21	7.000	0.00	Various	275.00	...	-275.00	Total of 2 transactions
ROKU 06/11/2021 CALL \$350.00 / CUSIP: / Symbol:							
3 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	1.000	299.98	06/04/21	231.00	...	68.98	1 of 3 - Option sale to close-call ²⁵
	1.000	299.99	06/04/21	231.00	...	68.99	2 of 3 - Option sale to close-call ²⁵
	1.000	299.99	06/04/21	159.00	...	140.99	3 of 3 - Option sale to close-call ²⁵
06/07/21	3.000	899.96	Various	621.00	...	278.96	Total of 3 transactions
ROKU 06/18/2021 CALL \$350.00 / CUSIP: / Symbol:							
06/17/21	1.000	249.98	06/15/21	430.00	...	-180.02	Option sale to close-call ²⁵

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(continued)

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ROKU 06/25/2021 CALL \$400.00 / CUSIP: / Symbol:							
2 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	1.000	434.98	06/18/21	350.00	...	84.98	1 of 2 - Option sale to close-call ²⁵
	1.000	444.98	06/18/21	289.00	...	155.98	2 of 2 - Option sale to close-call ²⁵
06/21/21	2.000	879.96	Various	639.00	...	240.96	Total of 2 transactions
ROKU 07/23/2021 CALL \$520.00 / CUSIP: / Symbol:							
2 transactions for 07/20/21. Total proceeds and cost reported to the IRS.							
	8.000	31.97	07/19/21	48.00	...	-16.03	1 of 2 - Option sale to close-call ²⁵
	2.000	7.98	07/19/21	12.00	...	-4.02	2 of 2 - Option sale to close-call ²⁵
07/20/21	10.000	39.95	Various	60.00	...	-20.05	Total of 2 transactions
ROKU 07/30/2021 CALL \$590.00 / CUSIP: / Symbol:							
07/27/21	1.000	199.98	07/26/21	266.00	...	-66.02	Option sale to close-call ²⁵
ROKU 08/06/2021 CALL \$470.00 / CUSIP: / Symbol:							
08/09/21	1.000	552.98	08/06/21	0.00	...	552.98	Short sale closed- call ²⁵ Option written: 08/02/21
ROKU 08/06/2021 PUT \$365.00 / CUSIP: / Symbol:							
08/04/21	1.000	186.98	08/04/21	192.00	...	-5.02	Option sale to close-put ²⁵
ROKU 08/13/2021 CALL \$470.00 / CUSIP: / Symbol:							
08/06/21	1.000	79.98	08/02/21	836.00	...	-756.02	Option sale to close-call ²⁵
ROKU 09/10/2021 PUT \$300.00 / CUSIP: / Symbol:							
09/10/21	5.000	0.00	09/03/21	315.00	...	-315.00	Option expiration- long put
ROKU 10/15/2021 CALL \$400.00 / CUSIP: / Symbol:							
6 transactions for 09/30/21. Total proceeds and cost reported to the IRS.							
	2.000	49.99	09/10/21	534.00	...	-484.01	1 of 6 - Option sale to close-call ²⁵
	1.000	25.00	09/13/21	174.00	...	-149.00	2 of 6 - Option sale to close-call ²⁵
	1.000	25.00	09/13/21	164.00	...	-139.00	3 of 6 - Option sale to close-call ²⁵
	1.000	24.99	09/14/21	141.00	...	-116.01	4 of 6 - Option sale to close-call ²⁵
	1.000	25.00	09/15/21	99.00	...	-74.00	5 of 6 - Option sale to close-call ²⁵

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ROKU 10/15/2021 CALL \$400.00 / CUSIP: / Symbol: (cont'd)							
	2.000	49.99	09/20/21	156.00	...	-106.01	6 of 6 - Option sale to close-call ²⁵
09/30/21	8.000	199.97	Various	1,268.00	...	-1,068.03	Total of 6 transactions
RUN 02/19/2021 CALL \$135.00 / CUSIP: / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	01/11/21	360.00	...	-360.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/11/21	180.00	...	-180.00	2 of 2 - Option expiration- long call
02/19/21	3.000	0.00	Various	540.00	...	-540.00	Total of 2 transactions
RVLV 07/02/2021 CALL \$65.00 / CUSIP: / Symbol:							
05/25/21	2.000	149.98	05/18/21	180.00	...	-30.02	Option sale to close-call ²⁵
SAVA 08/20/2021 CALL \$190.00 / CUSIP: / Symbol:							
08/20/21	4.000	0.00	07/27/21	3,124.00	...	-3,124.00	Option expiration- long call
SAVA 08/20/2021 CALL \$195.00 / CUSIP: / Symbol:							
08/20/21	4.000	2,903.97	08/20/21	0.00	...	2,903.97	Short sale closed- call expired Option written: 07/27/21
SAVA 09/10/2021 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	09/03/21	480.00	...	-480.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/03/21	170.00	...	-170.00	2 of 2 - Option expiration- long call
09/10/21	3.000	0.00	Various	650.00	...	-650.00	Total of 2 transactions
SAVA 11/05/2021 CALL \$65.00 / CUSIP: / Symbol:							
11/04/21	2.000	3,321.97	11/02/21	1,006.00	...	2,315.97	Option sale to close-call ²⁵
SAVA 11/05/2021 CALL \$70.00 / CUSIP: / Symbol:							
11/05/21	2.000	-1,970.02	11/04/21	0.00	...	-1,970.02	Short sale closed- call ²⁵ Option written: 11/02/21
SBUX 07/30/2021 CALL \$128.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/26/21	148.00	...	-148.00	Option expiration- long call

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(continued)

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SHOP 05/07/2021 CALL \$1,150.00 / CUSIP: / Symbol:							
05/07/21	1.000	0.00	05/07/21	155.00	...	-155.00	Option expiration- long call
SHOP 07/30/2021 CALL \$1,700.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/26/21	2,222.00	...	-2,222.00	Option expiration- long call
SHOP 07/30/2021 CALL \$1,710.00 / CUSIP: / Symbol:							
07/30/21	1.000	1,996.97	07/30/21	0.00	...	1,996.97	Short sale closed- call expired Option written: 07/26/21
SKLZ 06/25/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/04/21	525.00	...	-525.00	1 of 2 - Option expiration- long call
	2.000	0.00	06/10/21	160.00	...	-160.00	2 of 2 - Option expiration- long call
06/25/21	7.000	0.00	Various	685.00	...	-685.00	Total of 2 transactions
SKLZ 08/20/2021 CALL \$30.00 / CUSIP: / Symbol:							
08/20/21	3.000	0.00	06/14/21	360.00	...	-360.00	Option expiration- long call
SKLZ 09/17/2021 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	09/01/21	388.00	...	-388.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/01/21	75.00	...	-75.00	2 of 2 - Option expiration- long call
09/17/21	5.000	0.00	Various	463.00	...	-463.00	Total of 2 transactions
SKLZ 12/03/2021 CALL \$12.00 / CUSIP: / Symbol:							
11/03/21	4.000	659.98	10/26/21	460.00	...	199.98	Option sale to close-call ²⁵
SKLZ 12/17/2021 CALL \$15.00 / CUSIP: / Symbol:							
12/17/21	4.000	0.00	11/03/21	460.00	...	-460.00	Option expiration- long call
SLV 05/21/2021 CALL \$26.50 / CUSIP: / Symbol:							
05/17/21	5.000	129.98	05/17/21	130.00	...	-0.02	Option sale to close-call ²⁵
SNAP 07/16/2021 CALL \$65.00 / CUSIP: / Symbol:							
06/11/21	1.000	270.98	05/27/21	248.00	...	22.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNAP 07/30/2021 CALL \$80.00 / CUSIP: / Symbol:							
07/23/21	1.000	248.98	07/23/21	236.00	...	12.98	Option sale to close-call ²⁵
SNAP 08/20/2021 CALL \$80.00 / CUSIP: / Symbol:							
4 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	08/11/21	348.00	...	-348.00	1 of 4 - Option expiration- long call
	1.000	0.00	08/13/21	32.00	...	-32.00	2 of 4 - Option expiration- long call
	1.000	0.00	08/13/21	32.00	...	-32.00	3 of 4 - Option expiration- long call
	1.000	0.00	08/13/21	32.00	...	-32.00	4 of 4 - Option expiration- long call
08/20/21	6.000	0.00	Various	444.00	...	-444.00	Total of 4 transactions
SNDL 10/15/2021 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	9.000	0.00	08/31/21	63.00	...	-63.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/31/21	7.00	...	-7.00	2 of 2 - Option expiration- long call
10/15/21	10.000	0.00	Various	70.00	...	-70.00	Total of 2 transactions
SNOW 07/09/2021 CALL \$260.00 / CUSIP: / Symbol:							
07/09/21	1.000	104.98	07/08/21	210.00	...	-105.02	Option sale to close-call ²⁵
SOFI 08/13/2021 CALL \$19.00 / CUSIP: / Symbol:							
3 transactions for 08/13/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/12/21	33.00	...	-33.00	1 of 3 - Option expiration- long call
	1.000	0.00	08/12/21	33.00	...	-33.00	2 of 3 - Option expiration- long call
	1.000	0.00	08/12/21	33.00	...	-33.00	3 of 3 - Option expiration- long call
08/13/21	3.000	0.00	Various	99.00	...	-99.00	Total of 3 transactions
SOFI 08/20/2021 CALL \$35.00 / CUSIP: / Symbol:							
08/20/21	3.000	0.00	06/21/21	243.00	...	-243.00	Option expiration- long call
SOFI 11/05/2021 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	2.000	139.99	11/01/21	132.00	...	7.99	1 of 2 - Option sale to close-call ²⁵
	8.000	559.98	11/01/21	528.00	...	31.98	2 of 2 - Option sale to close-call ²⁵
11/02/21	10.000	699.97	Various	660.00	...	39.97	Total of 2 transactions

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(continued)

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SOS 02/19/2021 CALL \$17.50 / CUSIP: / Symbol:							
02/19/21	2.000	0.00	02/17/21	186.00	...	-186.00	Option expiration- long call
SPCE 06/04/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	05/28/21	324.00	...	-324.00	1 of 2 - Option expiration- long call
	2.000	0.00	05/28/21	84.00	...	-84.00	2 of 2 - Option expiration- long call
06/04/21	5.000	0.00	Various	408.00	...	-408.00	Total of 2 transactions
SPCE 07/02/2021 CALL \$60.00 / CUSIP: / Symbol:							
07/02/21	1.000	0.00	06/25/21	365.00	...	-365.00	Option expiration- long call
SPCE 08/20/2021 CALL \$65.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	07/13/21	184.00	...	-184.00	Option expiration- long call
SPRT 09/17/2021 CALL \$15.00 / CUSIP: / Symbol:							
08/26/21	4.000	2,623.97	08/25/21	832.00	...	1,791.97	Option sale to close-call ²⁵
08/27/21	1.000	1,827.98	08/25/21	208.00	...	1,619.98	Option sale to close-call ²⁵
	Security total:	4,451.95		1,040.00	...	3,411.95	
SPRT 09/17/2021 CALL \$20.00 / CUSIP: / Symbol:							
08/27/21	4.000	-1,472.02	08/26/21	0.00	...	-1,472.02	Short sale closed- call ²⁵
08/30/21	1.000	-1,365.00	08/27/21	0.00	...	-1,365.00	Option written: 08/25/21 Short sale closed- call ²⁵ Option written: 08/25/21
	Security total:	-2,837.02		0.00	...	-2,837.02	
SPRT 09/17/2021 PUT \$15.00 / CUSIP: / Symbol:							
08/30/21	1.000	184.98	08/27/21	225.00	...	-40.02	Option sale to close-put ²⁵
SPY 07/23/2021 CALL \$440.00 / CUSIP: / Symbol:							
07/23/21	2.000	49.98	07/15/21	150.00	...	-100.02	Option sale to close-call ²⁵
SQ 05/14/2021 CALL \$240.00 / CUSIP: / Symbol:							
05/14/21	2.000	0.00	05/10/21	160.00	...	-160.00	Option expiration- long call

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SQ 05/21/2021 CALL \$205.00 / CUSIP: / Symbol:							
05/20/21	1.000	294.98	05/19/21	179.00	...	115.98	Option sale to close-call ²⁵
SQ 05/21/2021 CALL \$222.50 / CUSIP: / Symbol:							
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/13/21	68.00	...	-68.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/13/21	68.00	...	-68.00	2 of 2 - Option expiration- long call
05/21/21	2.000	0.00	Various	136.00	...	-136.00	Total of 2 transactions
SQ 05/21/2021 CALL \$225.00 / CUSIP: / Symbol:							
05/14/21	2.000	191.98	05/13/21	122.00	...	69.98	Option sale to close-call ²⁵
SQ 06/18/2021 CALL \$250.00 / CUSIP: / Symbol:							
05/25/21	2.000	419.98	05/14/21	380.00	...	39.98	Option sale to close-call ²⁵
05/28/21	1.000	209.98	05/24/21	86.00	...	123.98	Option sale to close-call ²⁵
	Security total:	629.96		466.00	...	163.96	
SQ 08/20/2021 CALL \$270.00 / CUSIP: / Symbol:							
08/05/21	1.000	1,551.98	07/27/21	487.00	...	1,064.98	Option sale to close-call ²⁵
SQ 08/20/2021 CALL \$280.00 / CUSIP: / Symbol:							
08/06/21	1.000	-700.02	08/05/21	0.00	...	-700.02	Short sale closed- call ²⁵ Option written: 07/27/21
SQ 08/20/2021 CALL \$300.00 / CUSIP: / Symbol:							
07/27/21	1.000	115.98	07/22/21	285.00	...	-169.02	Option sale to close-call ²⁵
SQ 10/01/2021 CALL \$280.00 / CUSIP: / Symbol:							
10/01/21	2.000	0.00	09/03/21	1,300.00	...	-1,300.00	Option expiration- long call
SQ 10/01/2021 CALL \$300.00 / CUSIP: / Symbol:							
10/01/21	4.000	807.98	10/01/21	0.00	...	807.98	Short sale closed- call expired Option written: 09/03/21
SQ 10/01/2021 CALL \$320.00 / CUSIP: / Symbol:							
10/01/21	2.000	0.00	09/03/21	122.00	...	-122.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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SQ 11/05/2021 PUT \$220.00 / CUSIP: / Symbol:							
11/05/21	1.000	0.00	11/04/21	85.00	...	-85.00	Option expiration- long put
SQQQ 08/20/2021 CALL \$9.00 / CUSIP: / Symbol:							
08/20/21	2.000	0.00	07/20/21	110.00	...	-110.00	Option expiration- long call
TAL 01/20/2023 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	5.000	234.98	07/27/21	250.00	...	-15.02	1 of 3 - Option sale to close-call ²⁵
	5.000	234.99	07/27/21	250.00	...	-15.01	2 of 3 - Option sale to close-call ²⁵
	1.000	47.00	07/27/21	53.00	...	-6.00	3 of 3 - Option sale to close-call ²⁵
07/29/21	11.000	516.97	Various	553.00	...	-36.03	Total of 3 transactions
TAL 01/20/2023 CALL \$55.00 / CUSIP: / Symbol:							
3 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	5.000	-15.02	07/29/21	0.00	...	-15.02	1 of 3 - Short sale closed- call ²⁵ Option written: 07/27/21
	5.000	-15.02	07/29/21	0.00	...	-15.02	2 of 3 - Short sale closed- call ²⁵ Option written: 07/27/21
	1.000	-6.02	07/29/21	0.00	...	-6.02	3 of 3 - Short sale closed- call ²⁵ Option written: 07/27/21
07/30/21	11.000	-36.06	Various	0.00	...	-36.06	Total of 3 transactions
TAL 07/16/2021 CALL \$22.50 / CUSIP: / Symbol:							
07/13/21	3.000	233.98	07/13/21	234.00	...	-0.02	Option sale to close-call ²⁵
TAL 08/20/2021 CALL \$30.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	06/24/21	265.00	...	-265.00	Option expiration- long call
TGT 09/17/2021 CALL \$260.00 / CUSIP: / Symbol:							
08/20/21	1.000	319.98	08/18/21	319.00	...	0.98	Option sale to close-call ²⁵
TIGR 07/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	1.000	65.00	03/19/21	210.00	145.00 W	0.00	1 of 3 - Option sale to close-call ²⁵
	2.000	129.99	03/19/21	420.00	290.01 W	0.00	2 of 3 - Option sale to close-call ²⁵

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(continued)

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TIGR 07/16/2021 CALL \$45.00 / CUSIP: / Symbol: (cont'd)							
06/04/21	1.000	64.99	03/19/21	210.00	145.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
	4.000	259.98	Various	840.00	580.02 W	0.00	Total of 3 transactions
	2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.						
	2.000	140.00	04/26/21	130.00	...	10.00	1 of 2 - Option sale to close-call ²⁵
	6.000	419.98	05/25/21	180.00	...	239.98	2 of 2 - Option sale to close-call ²⁵
06/09/21	8.000	559.98	Various	310.00	...	249.98	Total of 2 transactions
	6 transactions for 06/09/21. Total proceeds and cost reported to the IRS.						
	4.000	279.99	03/19/21	860.00	580.01 W	0.00	1 of 6 - Option sale to close-call ²⁵
	2.000	140.00	03/24/21	160.00	20.00 W	0.00	2 of 6 - Option sale to close-call ²⁵
	2.000	139.99	03/26/21	150.00	10.01 W	0.00	3 of 6 - Option sale to close-call ²⁵
	1.000	70.00	05/25/21	175.00	105.00 W	0.00	4 of 6 - Option sale to close-call ²⁵
	1.000	70.00	05/25/21	175.01	105.01 W	0.00	5 of 6 - Option sale to close-call ²⁵
	2.000	139.99	05/25/21	350.01	210.02 W	0.00	6 of 6 - Option sale to close-call ²⁵
06/09/21	12.000	839.97	Various	1,870.02	1,030.05 W	0.00	Total of 6 transactions
	9 transactions for 07/16/21. Total proceeds and cost reported to the IRS.						
	4.000	0.00	05/25/21	640.01	...	-640.01	1 of 9 - Option expiration- long call
	1.000	0.00	05/25/21	120.01	...	-120.01	2 of 9 - Option expiration- long call
	1.000	0.00	05/25/21	120.00	...	-120.00	3 of 9 - Option expiration- long call
	1.000	0.00	05/25/21	115.01	...	-115.01	4 of 9 - Option expiration- long call
	1.000	0.00	05/25/21	115.01	...	-115.01	5 of 9 - Option expiration- long call
	8.000	0.00	05/25/21	80.00	...	-80.00	6 of 9 - Option expiration- long call
	2.000	0.00	05/25/21	50.00	...	-50.00	7 of 9 - Option expiration- long call
	2.000	0.00	05/25/21	40.01	...	-40.01	8 of 9 - Option expiration- long call
	1.000	0.00	06/22/21	15.00	...	-15.00	9 of 9 - Option expiration- long call
07/16/21	21.000	0.00	Various	1,295.05	...	-1,295.05	Total of 9 transactions
	Security total:	1,659.93		4,315.07	1,610.07 W	-1,045.07	
TLRY 01/15/2021 CALL \$24.00 / CUSIP: / Symbol:							
01/15/21	3.000	23.98	01/15/21	24.00	...	-0.02	Option sale to close-call ²⁵

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TLRY 08/20/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/02/21	730.00	...	-730.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/17/21	100.00	...	-100.00	2 of 2 - Option expiration- long call
08/20/21	6.000	0.00	Various	830.00	...	-830.00	Total of 2 transactions
TSLA 04/30/2021 CALL \$700.00 / CUSIP: / Symbol:							
04/29/21	1.000	168.98	04/29/21	246.00	...	-77.02	Option sale to close-call ²⁵
TSLA 04/30/2021 CALL \$850.00 / CUSIP: / Symbol:							
04/30/21	1.000	0.00	04/26/21	240.00	...	-240.00	Option expiration- long call
TSLA 08/27/2021 CALL \$750.00 / CUSIP: / Symbol:							
2 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	1.000	149.99	08/23/21	102.00	...	47.99	1 of 2 - Option sale to close-call ²⁵
	3.000	449.99	08/23/21	366.00	...	83.99	2 of 2 - Option sale to close-call ²⁵
08/23/21	4.000	599.98	Various	468.00	...	131.98	Total of 2 transactions
TSLA 09/03/2021 CALL \$750.00 / CUSIP: / Symbol:							
2 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	1.000	399.98	08/30/21	192.00	...	207.98	1 of 2 - Option sale to close-call ²⁵
	1.000	499.98	08/30/21	192.00	...	307.98	2 of 2 - Option sale to close-call ²⁵
08/31/21	2.000	899.96	Various	384.00	...	515.96	Total of 2 transactions
TSLA 09/10/2021 PUT \$600.00 / CUSIP: / Symbol:							
2 transactions for 09/07/21. Total proceeds and cost reported to the IRS.							
	6.000	461.98	09/03/21	912.00	...	-450.02	1 of 2 - Option sale to close-put ²⁵
	4.000	311.98	09/03/21	608.00	...	-296.02	2 of 2 - Option sale to close-put ²⁵
09/07/21	10.000	773.96	Various	1,520.00	...	-746.04	Total of 2 transactions

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TSLA 09/10/2021 PUT \$650.00 / CUSIP: / Symbol:							
2 transactions for 09/08/21. Total proceeds and cost reported to the IRS.							
	8.000	1,063.97	09/07/21	0.00	...	1,063.97	1 of 2 - Short sale closed- put ²⁵ Option written: 09/03/21
	12.000	1,607.95	09/07/21	0.00	...	1,607.95	2 of 2 - Short sale closed- put ²⁵ Option written: 09/03/21
09/08/21	20.000	2,671.92	Various	0.00	...	2,671.92	Total of 2 transactions
TSLA 09/10/2021 PUT \$700.00 / CUSIP: / Symbol:							
2 transactions for 09/07/21. Total proceeds and cost reported to the IRS.							
	6.000	2,015.97	09/03/21	3,066.00	...	-1,050.03	1 of 2 - Option sale to close-put ²⁵
	4.000	1,347.98	09/03/21	2,044.00	...	-696.02	2 of 2 - Option sale to close-put ²⁵
09/07/21	10.000	3,363.95	Various	5,110.00	...	-1,746.05	Total of 2 transactions
TSLA 09/17/2021 CALL \$780.00 / CUSIP: / Symbol:							
4 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	09/14/21	268.00	...	-268.00	1 of 4 - Option expiration- long call
	1.000	0.00	09/14/21	88.00	...	-88.00	2 of 4 - Option expiration- long call
	1.000	0.00	09/14/21	70.00	...	-70.00	3 of 4 - Option expiration- long call
	1.000	0.00	09/14/21	42.00	...	-42.00	4 of 4 - Option expiration- long call
09/17/21	5.000	0.00	Various	468.00	...	-468.00	Total of 4 transactions
TSLA 10/15/2021 CALL \$850.00 / CUSIP: / Symbol:							
09/03/21	1.000	584.98	08/30/21	804.00	...	-219.02	Option sale to close-call ²⁵
TSLA 10/15/2021 CALL \$900.00 / CUSIP: / Symbol:							
09/07/21	1.000	124.98	09/03/21	0.00	...	124.98	Short sale closed- call ²⁵ Option written: 08/30/21
TSLA 10/22/2021 CALL \$900.00 / CUSIP: / Symbol:							
10/22/21	1.000	804.98	10/21/21	745.00	...	59.98	Option sale to close-call ²⁵
TSLA 10/22/2021 CALL \$905.00 / CUSIP: / Symbol:							
10/25/21	1.000	-30.02	10/22/21	0.00	...	-30.02	Short sale closed- call ²⁵ Option written: 10/21/21

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TTD 06/04/2021 CALL \$620.00 / CUSIP: / Symbol:							
2 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	1.000	599.99	05/27/21	560.00	...	39.99	1 of 2 - Option sale to close-call ²⁵
	1.000	599.99	05/28/21	300.00	...	299.99	2 of 2 - Option sale to close-call ²⁵
06/01/21	2.000	1,199.98	Various	860.00	...	339.98	Total of 2 transactions
TTD 06/11/2021 CALL \$610.00 / CUSIP: / Symbol:							
06/11/21	2.000	199.98	06/10/21	310.00	...	-110.02	Option sale to close-call ²⁵
TWTR 02/19/2021 CALL \$74.00 / CUSIP: / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	36.98	02/18/21	27.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	1.000	36.98	02/18/21	27.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
02/19/21	2.000	73.96	Various	54.00	...	19.96	Total of 2 transactions
TWTR 02/19/2021 CALL \$79.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/16/21	45.00	...	-45.00	Option expiration- long call
TWTR 02/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/16/21	34.00	...	-34.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/16/21	34.00	...	-34.00	2 of 2 - Option expiration- long call
02/19/21	2.000	0.00	Various	68.00	...	-68.00	Total of 2 transactions
TWTR 03/12/2021 CALL \$70.00 / CUSIP: / Symbol:							
02/12/21	1.000	569.97	02/10/21	370.00	...	199.97	Option sale to close-call ²⁵
TWTR 03/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
02/25/21	1.000	354.98	02/16/21	285.00	...	69.98	Option sale to close-call ²⁵
02/26/21	1.000	384.98	02/16/21	285.00	...	99.98	Option sale to close-call ²⁵
	Security total:	739.96		570.00	...	169.96	
TWTR 05/14/2021 CALL \$54.00 / CUSIP: / Symbol:							
05/14/21	2.000	0.00	05/07/21	192.00	...	-192.00	Option expiration- long call

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TWTR 05/21/2021 CALL \$90.00 / CUSIP: / Symbol:							
6 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/02/21	465.00	...	-465.00	1 of 6 - Option expiration- long call
	4.000	0.00	04/23/21	104.00	...	-104.00	2 of 6 - Option expiration- long call
	3.000	0.00	04/23/21	78.00	...	-78.00	3 of 6 - Option expiration- long call
	1.000	0.00	04/23/21	26.00	...	-26.00	4 of 6 - Option expiration- long call
	1.000	0.00	04/23/21	26.00	...	-26.00	5 of 6 - Option expiration- long call
	1.000	0.00	04/23/21	26.00	...	-26.00	6 of 6 - Option expiration- long call
05/21/21	11.000	0.00	Various	725.00	...	-725.00	Total of 6 transactions
TWTR 07/16/2021 CALL \$90.00 / CUSIP: / Symbol:							
4 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/25/21	680.00	...	-680.00	1 of 4 - Option expiration- long call
	2.000	0.00	04/27/21	202.00	...	-202.00	2 of 4 - Option expiration- long call
	3.000	0.00	04/30/21	48.00	...	-48.00	3 of 4 - Option expiration- long call
	5.000	0.00	05/03/21	55.00	...	-55.00	4 of 4 - Option expiration- long call
07/16/21	11.000	0.00	Various	985.00	...	-985.00	Total of 4 transactions
TWTR 07/23/2021 CALL \$75.00 / CUSIP: / Symbol:							
07/23/21	1.000	0.00	07/22/21	153.00	...	-153.00	Option expiration- long call
TWTR 08/27/2021 CALL \$77.00 / CUSIP: / Symbol:							
08/27/21	10.000	0.00	08/11/21	90.00	...	-90.00	Option expiration- long call
TWTR 10/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
09/14/21	4.000	947.98	09/13/21	828.00	...	119.98	Option sale to close-call ²⁵
TWTR 12/17/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/17/21	3.000	0.00	11/19/21	465.00	...	-465.00	Option expiration- long call
UAL 10/22/2021 CALL \$48.00 / CUSIP: / Symbol:							
10/22/21	3.000	0.00	10/18/21	267.00	...	-267.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

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02/11/2022

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UBER 06/18/2021 CALL \$52.50 / CUSIP: / Symbol:							
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	1.000	154.99	05/26/21	146.00	...	8.99	1 of 2 - Option sale to close-call ²⁵
	1.000	154.99	05/26/21	146.00	...	8.99	2 of 2 - Option sale to close-call ²⁵
05/28/21	2.000	309.98	Various	292.00	...	17.98	Total of 2 transactions
UPS 09/17/2021 CALL \$200.00 / CUSIP: / Symbol:							
08/17/21	2.000	639.98	08/06/21	462.00	...	177.98	Option sale to close-call ²⁵
UPST 05/21/2021 CALL \$170.00 / CUSIP: / Symbol:							
05/20/21	2.000	299.98	04/23/21	350.00	...	-50.02	Option sale to close-call ²⁵
UPST 06/18/2021 CALL \$210.00 / CUSIP: / Symbol:							
4 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	1.000	225.00	05/24/21	380.00	...	-155.00	1 of 4 - Option sale to close-call ²⁵
	1.000	225.00	05/24/21	295.00	...	-70.00	2 of 4 - Option sale to close-call ²⁵
	1.000	224.99	05/25/21	190.00	...	34.99	3 of 4 - Option sale to close-call ²⁵
	2.000	449.99	05/25/21	260.00	...	189.99	4 of 4 - Option sale to close-call ²⁵
05/26/21	5.000	1,124.98	Various	1,125.00	...	-0.02	Total of 4 transactions
UPST 07/16/2021 CALL \$140.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	07/12/21	179.00	...	-179.00	Option expiration- long call
UPST 07/23/2021 CALL \$190.00 / CUSIP: / Symbol:							
07/23/21	1.000	0.00	06/11/21	510.00	...	-510.00	Option expiration- long call
UPST 07/23/2021 CALL \$205.00 / CUSIP: / Symbol:							
07/23/21	2.000	0.00	06/11/21	720.00	...	-720.00	Option expiration- long call
UPST 07/30/2021 CALL \$143.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/13/21	200.00	...	-200.00	Option expiration- long call
UPST 08/20/2021 CALL \$165.00 / CUSIP: / Symbol:							
07/26/21	1.000	279.98	07/16/21	235.00	...	44.98	Option sale to close-call ²⁵
UPST 08/20/2021 CALL \$170.00 / CUSIP: / Symbol:							
07/29/21	3.000	764.98	07/26/21	660.00	...	104.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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UPST 08/20/2021 CALL \$185.00 / CUSIP: / Symbol:							
07/30/21	3.000	-105.02	07/29/21	0.00	...	-105.02	Short sale closed- call ²⁵ Option written: 07/26/21
UPST 08/20/2021 PUT \$130.00 / CUSIP: / Symbol:							
08/20/21	2.000	0.00	08/11/21	70.00	...	-70.00	Option expiration- long put
UPST 08/20/2021 PUT \$142.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	08/11/21	130.00	...	-130.00	Option expiration- long put
UPST 09/17/2021 CALL \$140.00 / CUSIP: / Symbol:							
08/10/21	1.000	1,412.98	07/29/21	1,095.00	...	317.98	Option sale to close-call ²⁵
UPST 09/17/2021 CALL \$150.00 / CUSIP: / Symbol:							
08/11/21	1.000	-233.02	08/10/21	0.00	...	-233.02	Short sale closed- call ²⁵ Option written: 07/29/21
UPST 09/17/2021 CALL \$190.00 / CUSIP: / Symbol:							
07/26/21	1.000	269.98	07/23/21	200.00	...	69.98	Option sale to close-call ²⁵
UVXY 09/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
05/13/21	1.000	207.98	05/13/21	204.00	...	3.98	Option sale to close-call ²⁵
VRM 11/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
11/03/21	5.000	1,074.98	11/02/21	900.00	...	174.98	Option sale to close-call ²⁵
VSAT 12/17/2021 CALL \$60.00 / CUSIP: / Symbol:							
07/21/21	2.000	419.98	06/21/21	380.00	...	39.98	Option sale to close-call ²⁵
WBA 02/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	01/27/21	72.00	...	-72.00	Option expiration- long call
WBA 02/26/2021 CALL \$60.00 / CUSIP: / Symbol:							
02/26/21	6.000	0.00	01/27/21	306.00	...	-306.00	Option expiration- long call

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WISH 07/09/2021 CALL \$16.00 / CUSIP: / Symbol:							
2 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/25/21	174.00	...	-174.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/25/21	174.00	...	-174.00	2 of 2 - Option expiration- long call
07/09/21	2.000	0.00	Various	348.00	...	-348.00	Total of 2 transactions
WISH 10/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
06/24/21	2.000	399.98	06/14/21	340.00	...	59.98	Option sale to close-call ²⁵
WKHS 12/17/2021 CALL \$40.00 / CUSIP: / Symbol:							
3 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	1.000	179.99	03/23/21	111.00	...	68.99	1 of 3 - Option sale to close-call ²⁵
	1.000	180.00	03/23/21	111.00	...	69.00	2 of 3 - Option sale to close-call ²⁵
	1.000	179.99	03/24/21	90.00	...	89.99	3 of 3 - Option sale to close-call ²⁵
06/16/21	3.000	539.98	Various	312.00	...	227.98	Total of 3 transactions
2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/24/21	90.00	...	-90.00	1 of 2 - Option expiration- long call
	4.000	0.00	03/25/21	400.00	...	-400.00	2 of 2 - Option expiration- long call
12/17/21	5.000	0.00	Various	490.00	...	-490.00	Total of 2 transactions
	Security total:	539.98		802.00	...	-262.02	
XLE 07/16/2021 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	186.99	06/01/21	166.00	...	20.99	1 of 2 - Option sale to close-call ²⁵
	1.000	186.99	06/01/21	166.00	...	20.99	2 of 2 - Option sale to close-call ²⁵
06/02/21	2.000	373.98	Various	332.00	...	41.98	Total of 2 transactions
XOM 06/18/2021 CALL \$65.00 / CUSIP: / Symbol:							
05/12/21	2.000	213.98	05/11/21	142.00	...	71.98	Option sale to close-call ²⁵
2 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	1.000	93.99	05/12/21	94.00	...	-0.01	1 of 2 - Option sale to close-call ²⁵
	1.000	93.99	05/12/21	94.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
05/17/21	2.000	187.98	Various	188.00	...	-0.02	Total of 2 transactions
	Security total:	401.96		330.00	...	71.96	

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XOM 07/23/2021 CALL \$56.00 / CUSIP: / Symbol:							
07/20/21	2.000	155.98	07/19/21	94.00	...	61.98	Option sale to close-call ²⁵
XPEV 01/15/2021 CALL \$100.00 / CUSIP: / Symbol:							
01/15/21	10.000	0.00	01/11/21	10.00	...	-10.00	Option expiration- long call
XPEV 02/05/2021 CALL \$49.50 / CUSIP: / Symbol:							
02/05/21	2.000	0.00	01/05/21	488.00	...	-488.00	Option expiration- long call
XPEV 03/05/2021 CALL \$56.50 / CUSIP: / Symbol:							
03/05/21	1.000	0.00	01/28/21	525.00	...	-525.00	Option expiration- long call
XPEV 10/15/2021 CALL \$55.00 / CUSIP: / Symbol:							
10/15/21	1.000	0.00	04/07/21	285.00	...	-285.00	Option expiration- long call
Z 12/17/2021 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	11/03/21	620.00	...	-620.00	1 of 2 - Option expiration- long call
	2.000	0.00	11/03/21	434.00	...	-434.00	2 of 2 - Option expiration- long call
12/17/21	4.000	0.00	Various	1,054.00	...	-1,054.00	Total of 2 transactions
ZM 07/09/2021 CALL \$410.00 / CUSIP: / Symbol:							
07/09/21	1.000	0.00	07/07/21	255.00	...	-255.00	Option expiration- long call
ZM 09/17/2021 CALL \$520.00 / CUSIP: / Symbol:							
08/04/21	1.000	451.98	07/30/21	283.00	...	168.98	Option sale to close-call ²⁵
ZM 09/17/2021 CALL \$560.00 / CUSIP: / Symbol:							
08/05/21	1.000	-95.02	08/04/21	0.00	...	-95.02	Short sale closed- call ²⁵ Option written: 07/30/21
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	23.000	327.06	11/24/20	103.46	...	223.60	1 of 2 - Sale ²⁵
	42.000	597.22	11/24/20	188.94	...	408.28	2 of 2 - Sale ²⁵
01/27/21	65.000	924.28	Various	292.40	...	631.88	Total of 2 transactions

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
<i>4 transactions for 02/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	13.32	11/24/20	4.50	...	8.82	1 of 4 - Sale ²⁵
	10.000	133.30	11/24/20	44.98	...	88.32	2 of 4 - Sale ²⁵
	19.000	251.55	11/24/20	85.47	...	166.08	3 of 4 - Sale ²⁵
	70.000	926.77	12/16/20	200.90	...	725.87	4 of 4 - Sale ²⁵
02/01/21	100.000	1,324.94	Various	335.85	...	989.09	Total of 4 transactions
02/08/21	100.000	595.97	12/16/20	287.00	...	308.97	Sale ²⁵
<i>2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.</i>							
	70.000	617.74	02/24/21	661.50	...	-43.76	1 of 2 - Sale ²⁵
	30.000	264.74	02/24/21	259.14	...	5.60	2 of 2 - Sale ²⁵
03/02/21	100.000	882.48	Various	920.64	...	-38.16	Total of 2 transactions
03/08/21	30.000	272.70	02/24/21	283.50	...	-10.80	Sale ²⁵
	Security total:	4,000.37		2,119.39	...	1,880.98	
AGRIFY CORPORATION COMMON STOC K / CUSIP: 00853E107 / Symbol:							
02/25/21	100.000	1,214.98	02/24/21	1,308.50	...	-93.52	Sale ²⁵
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol:							
<i>2 transactions for 09/14/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	702.85	06/08/21	981.17	...	-278.32	1 of 2 - Sale ²⁵
	50.000	702.85	08/23/21	160.92	...	541.93	2 of 2 - Sale ²⁵
09/14/21	100.000	1,405.70	Various	1,142.09	...	263.61	Total of 2 transactions
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
02/08/21	3.000	53.41	10/30/20	33.44	...	19.97	Sale ²⁵
AMPLITECH GROUP, INC. COMMON S TOCK / CUSIP: 03211Q200 / Symbol:							
03/12/21	20.000	221.50	03/12/21	239.00	...	-17.50	Sale ²⁵
ANAVEX LIFE SCIENCES CORP. COM MON STOCK / CUSIP: 032797300 / Symbol:							
03/03/21	65.000	902.18	02/04/21	1,383.13	...	-480.95	Sale ²⁵

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPHARVEST, INC. COMMON STOCK / CUSIP: 03783T103 / Symbol:							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	20.000	432.00	03/08/21	339.85	...	92.15	1 of 2 - Sale ²⁵
	20.000	433.20	03/08/21	339.86	...	93.34	2 of 2 - Sale ²⁵
03/23/21	40.000	865.20	Various	679.71	...	185.49	Total of 2 transactions
ATOMERA INCORPORATED COMMON STOCK / CUSIP: 04965B100 / Symbol:							
04/30/21	10.000	160.58	04/30/21	163.81	...	-3.23	Sale ²⁵
AURORA CANNABIS INC. COMMON SHARES / CUSIP: 05156X884 / Symbol:							
02/10/21	15.000	258.30	10/30/20	60.12	...	198.18	Sale ²⁵
02/16/21	50.000	653.48	10/30/20	200.41	...	453.07	Sale ²⁵
02/17/21	50.000	669.48	10/30/20	200.40	...	469.08	Sale ²⁵
	Security total:	1,581.26		460.93	...	1,120.33	
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
03/08/21	30.000	292.05	02/24/21	374.10	...	-82.05	Sale ²⁵
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
01/11/21	12.000	572.74	12/29/20	488.40	...	84.34	Sale ²⁵
CANGO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO (2) CLASS A ORDINARY SHARES / CUSIP: 137586103 / Symbol:							
11/19/21	100.000	436.99	04/01/21	856.00	...	-419.01	Sale ²⁵
CANOPY GROWTH CORPORATION COMMON SHARES / CUSIP: 138035100 / Symbol:							
01/27/21	1.000	38.74	11/24/20	26.43	...	12.31	Sale ²⁵
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
02/02/21	9.000	573.81	02/02/21	464.09	...	109.72	Sale ²⁵
02/02/21	6.000	382.54	02/02/21	441.00	29.23 W	-29.23	Sale ²⁵
03/03/21	3.000	149.76	02/04/21	331.74	...	-181.98	Sale ²⁵
	Security total:	1,106.11		1,236.83	29.23 W	-101.49	
CLEARPOINT NEURO, INC. COMMON STOCK / CUSIP: 18507C103 / Symbol:							
04/28/21	25.000	522.62	04/21/21	448.80	...	73.82	Sale ²⁵
05/04/21	25.000	483.35	04/21/21	448.79	...	34.56	Sale ²⁵
	Security total:	1,005.97		897.59	...	108.38	

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CLOOPEN GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTING TWO CLASS A OR / CUSIP: 18900M104 / Symbol:							
2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	18.000	395.10	02/09/21	952.95	...	-557.85	1 of 2 - Sale ²⁵
	1.000	21.95	02/09/21	35.30	...	-13.35	2 of 2 - Sale ²⁵
03/02/21	19.000	417.05	Various	988.25	...	-571.20	Total of 2 transactions
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
06/15/21	50.000	724.99	04/28/21	523.75	...	201.24	Sale ²⁵
DERMTECH, INC. COMMON STOCK / CUSIP: 24984K105 / Symbol:							
05/24/21	10.000	390.23	04/21/21	373.05	...	17.18	Sale ²⁵
EHANG HOLDINGS LIMITED ADS / CUSIP: 26853E102 / Symbol:							
03/08/21	9.000	329.55	02/17/21	609.21	...	-279.66	Sale ²⁵
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
3 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	2.000	20.03	09/16/20	17.16	...	2.87	1 of 3 - Sale ²⁵
	44.000	440.66	09/21/20	450.12	...	-9.46	2 of 3 - Sale ²⁵
	2.000	20.03	09/22/20	20.32	...	-0.29	3 of 3 - Sale ²⁵
02/01/21	48.000	480.72	Various	487.60	...	-6.88	Total of 3 transactions
EZFILL HOLDINGS, INC. COMMON S TOCK / CUSIP: 302314208 / Symbol:							
09/15/21	200.000	909.99	09/15/21	837.98	...	72.01	Sale ²⁵
FORTRESS BIOTECH, INC. COMMON STOCK / CUSIP: 34960Q109 / Symbol:							
03/03/21	150.000	710.97	03/02/21	699.00	...	11.97	Sale ²⁵
2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	100.000	425.04	03/02/21	467.00	...	-41.96	1 of 2 - Sale ²⁵
	50.000	212.51	03/02/21	233.00	...	-20.49	2 of 2 - Sale ²⁵
04/07/21	150.000	637.55	Various	700.00	...	-62.45	Total of 2 transactions
	Security total:	1,348.52		1,399.00	...	-50.48	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	28.14	02/08/21	25.89	...	2.25	1 of 2 - Sale ²⁵
	35.000	984.91	02/08/21	920.42	...	64.49	2 of 2 - Sale ²⁵
02/09/21	36.000	1,013.05	Various	946.31	...	66.74	Total of 2 transactions
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
3 transactions for 07/26/21. Total proceeds and cost reported to the IRS.							
	20.000	535.13	02/02/21	966.96	...	-431.83	1 of 3 - Sale ²⁵
	20.000	535.12	03/08/21	540.00	...	-4.88	2 of 3 - Sale ²⁵
	10.000	267.57	04/19/21	177.30	...	90.27	3 of 3 - Sale ²⁵
07/26/21	50.000	1,337.82	Various	1,684.26	...	-346.44	Total of 3 transactions
08/11/21	10.000	317.80	04/19/21	177.30	...	140.50	Sale ²⁵
	Security total:	1,655.62		1,861.56	...	-205.94	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
02/04/21	4.000	318.36	02/03/21	417.12	...	-98.76	Sale ²⁵
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
02/03/21	4.000	44.77	09/15/20	24.39	...	20.38	Sale ²⁵
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
02/19/21	1.000	52.54	09/16/20	32.02	...	20.52	Sale ²⁵
KALVISTA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 483497103 / Symbol:							
02/18/21	13.000	502.96	02/09/21	465.53	...	37.43	Sale ²⁵
KATAPULT HOLDINGS, INC. COMMON STOCK / CUSIP: 485859102 / Symbol:							
2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	100.000	599.98	09/02/21	554.00	...	45.98	1 of 2 - Sale ²⁵
	100.000	599.98	09/02/21	554.00	...	45.98	2 of 2 - Sale ²⁵
09/03/21	200.000	1,199.96	Various	1,108.00	...	91.96	Total of 2 transactions
KEMPHARM, INC. COMMON STOCK / CUSIP: 488445206 / Symbol:							
11/19/21	50.000	420.94	03/03/21	820.50	...	-399.56	Sale ²⁵

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Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol:							
2 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	30.000	531.14	03/10/21	1,101.00	...	-569.86	1 of 2 - Sale ²⁵
	20.000	354.10	03/10/21	552.00	...	-197.90	2 of 2 - Sale ²⁵
05/05/21	50.000	885.24	Various	1,653.00	...	-767.76	Total of 2 transactions
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
03/19/21	5.000	493.70	03/09/21	463.15	...	30.55	Sale ²⁵
03/23/21	5.000	493.30	03/09/21	463.15	...	30.15	Sale ²⁵
03/23/21	5.000	492.95	03/18/21	503.00	10.05 W	0.00	Sale ²⁵
3 transactions for 03/25/21. Total proceeds and cost reported to the IRS.							
	13.000	1,114.55	03/18/21	1,307.80	44.60 W	-148.65	1 of 3 - Sale ²⁵
	1.000	85.50	03/18/21	100.60	15.10 W	0.00	2 of 3 - Sale ²⁵
	1.000	85.50	03/18/21	100.60	15.10 W	0.00	3 of 3 - Sale ²⁵
03/25/21	15.000	1,285.55	Various	1,509.00	74.80 W	-148.65	Total of 3 transactions
4 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	3.000	255.53	03/30/21	300.17	...	-44.64	1 of 4 - Sale ²⁵
	1.000	85.17	03/30/21	100.29	...	-15.12	2 of 4 - Sale ²⁵
	1.000	85.17	03/30/21	100.29	...	-15.12	3 of 4 - Sale ²⁵
	5.000	425.87	03/30/21	436.00	...	-10.13	4 of 4 - Sale ²⁵
03/30/21	10.000	851.74	Various	936.75	...	-85.01	Total of 4 transactions
	Security total:	3,617.24		3,875.05	84.85 W	-172.96	
LIFEMD, INC. COMMON STOCK / CUSIP: 53216B104 / Symbol:							
2 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	50.000	737.49	04/19/21	483.25	...	254.24	1 of 2 - Sale ²⁵
	50.000	738.99	04/19/21	436.39	...	302.60	2 of 2 - Sale ²⁵
06/10/21	100.000	1,476.48	Various	919.64	...	556.84	Total of 2 transactions
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
6 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	32.36	02/05/21	33.56	...	-1.20	1 of 6 - Sale ²⁵
	9.000	291.25	02/17/21	484.65	...	-193.40	2 of 6 - Sale ²⁵
	7.000	226.53	02/18/21	424.19	...	-197.66	3 of 6 - Sale ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

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LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol: (cont'd)							
	13.000	420.70	02/23/21	451.60	...	-30.90	4 of 6 - Sale ²⁵
	50.000	1,618.08	02/24/21	1,464.50	...	153.58	5 of 6 - Sale ²⁵
	20.000	647.23	03/05/21	428.90	...	218.33	6 of 6 - Sale ²⁵
10/28/21	100.000	3,236.15	Various	3,287.40	...	-51.25	Total of 6 transactions
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
02/24/21	28.000	1,421.24	02/24/21	1,446.48	18.03 W	-7.21	Sale ²⁵
	2 transactions for 07/30/21. Total proceeds and cost reported to the IRS.						
	9.000	398.61	02/25/21	453.25	...	-54.64	1 of 2 - Sale ²⁵
	1.000	44.62	02/25/21	50.36	...	-5.74	2 of 2 - Sale ²⁵
07/30/21	10.000	443.23	Various	503.61	...	-60.38	Total of 2 transactions
08/02/21	10.000	462.10	02/25/21	503.62	...	-41.52	Sale ²⁵
	Security total:	2,326.57		2,453.71	18.03 W	-109.11	
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
02/10/21	12.000	282.72	09/22/20	332.88	...	-50.16	Sale ²⁵
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
02/08/21	3.000	968.14	02/01/21	778.62	...	189.52	Sale ²⁵
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
02/09/21	44.000	516.15	02/05/21	216.27	...	299.88	Sale ²⁵
02/11/21	25.000	238.00	02/05/21	122.89	...	115.11	Sale ²⁵
02/17/21	25.000	254.50	02/05/21	122.88	...	131.62	Sale ²⁵
03/03/21	50.000	656.99	03/03/21	654.03	...	2.96	Sale ²⁵
	Security total:	1,665.64		1,116.07	...	549.57	
ORBITAL ENERGY GROUP, INC. COMMON STOCK / CUSIP: 68559A109 / Symbol:							
04/01/21	130.000	783.19	03/30/21	700.51	...	82.68	Sale ²⁵
ORGANIGRAM HOLDINGS INC. COMMON SHARES / CUSIP: 68620P101 / Symbol:							
02/12/21	120.000	517.77	02/12/21	530.41	...	-12.64	Sale ²⁵

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
02/18/21	18.000	465.30	02/18/21	465.48	0.18 W	0.00	Sale ²⁵
	3 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	17.000	483.29	02/18/21	438.77	...	44.52	1 of 3 - Sale ²⁵
	1.000	28.43	02/19/21	28.41	...	0.02	2 of 3 - Sale ²⁵
	1.000	28.43	02/19/21	28.40	...	0.03	3 of 3 - Sale ²⁵
02/22/21	19.000	540.15	Various	495.58	...	44.57	Total of 3 transactions
02/22/21	3.000	85.29	02/19/21	87.23	1.94 W	0.00	Sale ²⁵
	4 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	4.000	96.24	02/19/21	116.30	20.06 W	0.00	1 of 4 - Sale ²⁵
	3.000	72.18	02/22/21	90.44	18.26 W	0.00	2 of 4 - Sale ²⁵
	13.000	312.78	02/22/21	383.48	70.70 W	0.00	3 of 4 - Sale ²⁵
	18.000	433.07	02/24/21	483.12	50.05 W	0.00	4 of 4 - Sale ²⁵
02/25/21	38.000	914.27	Various	1,073.34	159.07 W	0.00	Total of 4 transactions
	5 transactions for 03/17/21. Total proceeds and cost reported to the IRS.						
	13.000	328.02	03/03/21	395.96	...	-67.94	1 of 5 - Sale ²⁵
	18.000	454.19	03/03/21	500.41	...	-46.22	2 of 5 - Sale ²⁵
	4.000	100.93	03/03/21	120.14	...	-19.21	3 of 5 - Sale ²⁵
	3.000	75.70	03/03/21	93.32	...	-17.62	4 of 5 - Sale ²⁵
	62.000	1,564.43	03/03/21	1,551.24	...	13.19	5 of 5 - Sale ²⁵
03/17/21	100.000	2,523.27	Various	2,661.07	...	-137.80	Total of 5 transactions
	Security total:	4,528.28		4,782.70	161.19 W	-93.23	
PDS BIOTECHNOLOGY CORPORATION COMMON STOCK / CUSIP: 70465T107 / Symbol:							
05/24/21	47.000	421.83	02/22/21	274.48	...	147.35	Sale ²⁵
07/28/21	50.000	497.50	02/22/21	291.99	...	205.51	Sale ²⁵
	3 transactions for 07/29/21. Total proceeds and cost reported to the IRS.						
	3.000	31.32	02/22/21	17.52	...	13.80	1 of 3 - Sale ²⁵
	7.000	73.08	02/22/21	40.32	...	32.76	2 of 3 - Sale ²⁵
	10.000	104.40	02/23/21	50.20	...	54.20	3 of 3 - Sale ²⁵
07/29/21	20.000	208.80	Various	108.04	...	100.76	Total of 3 transactions
	Security total:	1,128.13		674.51	...	453.62	

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PING IDENTITY HOLDING CORP. / CUSIP: 72341T103 / Symbol:							
02/26/21	50.000	1,194.99	02/26/21	1,196.25	...	-1.26	Sale ²⁵
RING ENERGY INC. / CUSIP: 76680V108 / Symbol:							
03/24/21	75.000	192.13	01/19/21	76.88	...	115.25	Sale ²⁵
ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:							
03/10/21	10.000	735.09	03/10/21	728.40	...	6.69	Sale ²⁵
ROMEO POWER, INC. / CUSIP: 776153108 / Symbol:							
03/24/21	50.000	629.99	03/10/21	604.00	...	25.99	Sale ²⁵
	2 transactions for 03/25/21. Total proceeds and cost reported to the IRS.						
	48.000	558.71	03/10/21	579.84	...	-21.13	1 of 2 - Sale ²⁵
	2.000	23.28	03/10/21	24.16	...	-0.88	2 of 2 - Sale ²⁵
03/25/21	50.000	581.99	Various	604.00	...	-22.01	Total of 2 transactions
	Security total:	1,211.98		1,208.00	...	3.98	
SIEBERT FINANCIAL CORP. COMMON STOCK / CUSIP: 826176109 / Symbol:							
01/29/21	46.000	536.96	01/29/21	545.10	1.77 W	-6.37	Sale ²⁵
SOLIGENIX, INC. COMMON STOCK / CUSIP: 834223307 / Symbol:							
03/26/21	500.000	770.73	03/04/21	879.95	...	-109.22	Sale ²⁵
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
	4 transactions for 03/03/21. Total proceeds and cost reported to the IRS.						
	6.000	42.21	02/12/21	40.92	...	1.29	1 of 4 - Sale ²⁵
	88.000	601.13	02/26/21	414.39	...	186.74	2 of 4 - Sale ²⁵
	100.000	703.49	02/26/21	470.89	...	232.60	3 of 4 - Sale ²⁵
	88.000	704.86	03/03/21	694.27	...	10.59	4 of 4 - Sale ²⁵
03/03/21	282.000	2,051.69	Various	1,620.47	...	431.22	Total of 4 transactions
	9 transactions for 03/03/21. Total proceeds and cost reported to the IRS.						
	2.000	14.07	02/17/21	25.98	11.91 W	0.00	1 of 9 - Sale ²⁵
	5.000	35.17	02/17/21	64.65	29.48 W	0.00	2 of 9 - Sale ²⁵
	5.000	35.17	02/18/21	57.70	22.53 W	0.00	3 of 9 - Sale ²⁵
	2.000	13.66	02/26/21	21.33	7.67 W	0.00	4 of 9 - Sale ²⁵
	5.000	34.15	02/26/21	53.02	18.87 W	0.00	5 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOS LIMITED / CUSIP: 83587W106 / Symbol: (cont'd)							
	5.000	34.15	02/26/21	46.07	11.92 W	0.00	6 of 9 - Sale ²⁵
	2.000	16.02	03/03/21	23.45	7.43 W	0.00	7 of 9 - Sale ²⁵
	5.000	40.05	03/03/21	58.32	18.27 W	0.00	8 of 9 - Sale ²⁵
	5.000	40.05	03/03/21	51.37	11.32 W	0.00	9 of 9 - Sale ²⁵
03/03/21	36.000	262.49	Various	401.89	139.40 W	0.00	Total of 9 transactions
5 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	5.000	33.35	03/05/21	50.77	...	-17.42	1 of 5 - Sale ²⁵
	5.000	33.35	03/05/21	43.82	...	-10.47	2 of 5 - Sale ²⁵
	2.000	13.34	03/05/21	20.43	...	-7.09	3 of 5 - Sale ²⁵
	88.000	587.05	03/05/21	571.99	...	15.06	4 of 5 - Sale ²⁵
	60.000	400.26	03/08/21	339.90	...	60.36	5 of 5 - Sale ²⁵
03/10/21	160.000	1,067.35	Various	1,026.91	...	40.44	Total of 5 transactions
	Security total:	3,381.53		3,049.27	139.40 W	471.66	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
02/01/21	1.000	818.90	09/16/20	449.94	...	368.96	Sale ²⁵
TEVA PHARMACEUTICAL INDUSTRIES LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 881624209 / Symbol:							
02/19/21	21.000	234.19	02/10/21	246.54	...	-12.35	Sale ²⁵
360 DIGITECH, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 88557W101 / Symbol:							
2 transactions for 07/14/21. Total proceeds and cost reported to the IRS.							
	10.000	282.61	03/22/21	291.55	...	-8.94	1 of 2 - Sale ²⁵
	15.000	423.91	03/22/21	429.00	...	-5.09	2 of 2 - Sale ²⁵
07/14/21	25.000	706.52	Various	720.55	...	-14.03	Total of 2 transactions
07/16/21	25.000	731.56	03/22/21	715.00	...	16.56	Sale ²⁵
	Security total:	1,438.08		1,435.55	...	2.53	
TUSCAN HOLDINGS CORP. COMMON STOCK / CUSIP: 90069K104 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	19.000	417.05	02/01/21	453.72	...	-36.67	1 of 2 - Sale ²⁵
	1.000	21.95	02/01/21	23.83	...	-1.88	2 of 2 - Sale ²⁵
02/04/21	20.000	439.00	Various	477.55	...	-38.55	Total of 2 transactions

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Robinhood Securities LLC

Account 146969795

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
03/19/21	15.000	1,740.14	03/18/21	1,401.37	...	338.77	Sale ²⁵
VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH / CUSIP: 928662600 / Symbol:							
<i>2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.</i>							
	27.000	862.91	03/17/21	1,134.54	...	-271.63	1 of 2 - Sale ²⁵
	3.000	95.88	03/17/21	125.28	...	-29.40	2 of 2 - Sale ²⁵
10/21/21	30.000	958.79	Various	1,259.82	...	-301.03	Total of 2 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
02/24/21	100.000	1,504.95	02/23/21	1,628.00	123.05 W	0.00	Sale ²⁵
03/02/21	50.000	781.74	02/25/21	989.03	207.29 W	0.00	Sale ²⁵
<i>3 transactions for 03/22/21. Total proceeds and cost reported to the IRS.</i>							
	47.000	736.98	03/05/21	783.50	...	-46.52	1 of 3 - Sale ²⁵
	3.000	47.05	03/05/21	50.01	...	-2.96	2 of 3 - Sale ²⁵
	50.000	776.99	03/05/21	687.75	...	89.24	3 of 3 - Sale ²⁵
03/22/21	100.000	1,561.02	Various	1,521.26	...	39.76	Total of 3 transactions
	Security total:	3,847.71		4,138.29	330.34 W	39.76	
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
03/10/21	35.000	1,063.33	03/08/21	1,011.13	...	52.20	Sale ²⁵
03/31/21	15.000	517.72	03/31/21	517.73	...	-0.01	Sale ²⁵
	Security total:	1,581.05		1,528.86	...	52.19	
ARYA SCIENCES ACQUISITION CORP III CLASS A ORDINARY SHARES / CUSIP: G3166W106 / Symbol:							
02/10/21	25.000	498.24	02/08/21	519.31	...	-21.07	Sale ²⁵
Totals :		169,691.11		207,876.44	3,019.05 W	-35,166.28	

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Robinhood Securities LLC

Account 146969795

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L125 / Symbol: 06/07/21	0.600	1.96	02/09/21	6.45	...	-4.49	Gain or loss
Totals:		1.96		6.45	...	-4.49	

Robinhood Securities LLC

Account 146969795

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
GENERAL ELECTRIC COMPANY	369604103		01/25/21	0.04	Qualified dividend	
VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH	928662600		08/10/21	16.92	Nonqualified dividend	
			08/10/21	-4.46	Foreign tax withheld-GM	
				16.92	Total Dividends & distributions	
				-4.46	Total Foreign tax withheld	
				16.96	Total Dividends & distributions	
				-4.46	Total Foreign tax withheld	

Robinhood Securities LLC

Account 146969795

Other Receipts and Reconciliations

2021

02/11/2022

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS	29273V100	02/19/21	0.76	Partnership distribution	
		05/19/21	0.76	Partnership distribution	
		08/19/21	0.76	Partnership distribution	
		11/19/21	0.78	Partnership distribution	
			3.06	Total Partnership distribution	
			3.06	Total Partnership distribution	

Robinhood Securities LLC

Account 146969795

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/22/21	-4.61	Margin interest paid	
		03/22/21	-5.00	Margin interest paid	
		04/20/21	-5.00	Margin interest paid	
		04/20/21	-5.99	Margin interest paid	
		05/20/21	-4.47	Margin interest paid	
		05/20/21	-5.00	Margin interest paid	
		06/21/21	-5.00	Margin interest paid	
		06/21/21	-6.34	Margin interest paid	
		07/19/21	-5.00	Margin interest paid	
		07/19/21	-7.09	Margin interest paid	
			-53.50	Total Margin interest paid	
Margin charge		08/18/21	-3.25	Margin interest paid	
		08/18/21	-5.00	Margin interest paid	
		09/17/21	-0.43	Margin interest paid	
		09/17/21	-5.00	Margin interest paid	
		10/18/21	-1.94	Margin interest paid	
		10/18/21	-5.00	Margin interest paid	
		11/16/21	-0.70	Margin interest paid	
		11/16/21	-5.00	Margin interest paid	
		12/16/21	-0.01	Margin interest paid	
		12/16/21	-5.00	Margin interest paid	
			-31.33	Total Margin interest paid	
			-84.83	Total Margin interest paid	

Robinhood Securities LLC

Account 146969795

Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
GM	VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH	928662600	16.92	0.00		0.00	16.92	-4.46
Total GERMANY			16.92	0.00		0.00	16.92	-4.46
Grand Total	Total Foreign Source Income*					16.92		
	Qualified Foreign Source Income**					0.00		
	Foreign Tax					-4.46		

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 146969795

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AULT GLOBAL HOLDINGS, INC.	05150X104	12/13/21	-1.00	0.00	Exchange	
BITNILE HOLDINGS, INC.	09175M101	12/13/21	1.00	0.00	Exchange	
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-100.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	100.00	0.00	Exchange	
META METALS INC PREFERRED (SER IES A)	59134N203	07/07/21	14.00	0.00	Stock spinoff	
NAUTILUS BIOTECHNOLGY, INC. CO MMON STOCK	63909J108	06/10/21	2.00	0.00	Exchange	
ARYA SCIENCES ACQUISITION CORP III CLASS A ORDINARY SHARES	G3166W106	06/10/21	-2.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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