

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 669218059	Statement Date: 02/11/2022 Document ID: 3G1M S1V WH0V	2021
	Bhushan Gunaghe 721 Snowy Egret Trail Cary, NC 27518 RECIPIENT'S TIN: XXX-XX-1871		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	111,381.21	120,273.56	0.00	2,924.10	-5,968.25
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	3.06	3.12	0.00	0.00	-0.06
	Total Short-term	111,384.27	120,276.68	0.00	2,924.10	-5,968.31
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	111,384.27	120,276.68	0.00	2,924.10	-5,968.31
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 669218059

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 669218059

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 04/01/2021 CALL \$125.00 / CUSIP: / Symbol:							
03/29/21	1.000	37.98	03/26/21	21.00	...	16.98	Option sale to close-call ²⁵
AAPL 04/30/2021 CALL \$133.00 / CUSIP: / Symbol:							
	3 transactions for 04/30/21. Total proceeds and cost reported to the IRS.						
	6.000	17.98	04/30/21	36.00	...	-18.02	1 of 3 - Option sale to close-call ²⁵
	3.000	8.99	04/30/21	18.00	...	-9.01	2 of 3 - Option sale to close-call ²⁵
	1.000	3.00	04/30/21	6.00	...	-3.00	3 of 3 - Option sale to close-call ²⁵
04/30/21	10.000	29.97	Various	60.00	...	-30.03	Total of 3 transactions
AAPL 04/30/2021 CALL \$140.00 / CUSIP: / Symbol:							
04/29/21	4.000	35.98	04/28/21	180.00	...	-144.02	Option sale to close-call ²⁵

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Account 669218059

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/01/2021 CALL \$141.00 / CUSIP: / Symbol:							
3 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	1.000	71.98	10/01/21	53.00	...	18.98	1 of 3 - Option sale to close-call ²⁵
	1.000	72.99	10/01/21	53.00	...	19.99	2 of 3 - Option sale to close-call ²⁵
	2.000	145.99	10/01/21	38.00	...	107.99	3 of 3 - Option sale to close-call ²⁵
10/01/21	4.000	290.96	Various	144.00	...	146.96	Total of 3 transactions
AAPL 10/22/2021 PUT \$147.00 / CUSIP: / Symbol:							
2 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/19/21	53.00	...	-53.00	1 of 2 - Option expiration- long put
	1.000	0.00	10/19/21	53.00	...	-53.00	2 of 2 - Option expiration- long put
10/22/21	2.000	0.00	Various	106.00	...	-106.00	Total of 2 transactions
ACRX 02/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
6 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	7.000	209.98	01/25/21	385.04	...	-175.06	1 of 6 - Option sale to close-call ²⁵
	5.000	149.98	01/25/21	275.03	...	-125.05	2 of 6 - Option sale to close-call ²⁵
	14.000	419.96	01/25/21	490.00	...	-70.04	3 of 6 - Option sale to close-call ²⁵
	2.000	59.99	01/25/21	90.01	...	-30.02	4 of 6 - Option sale to close-call ²⁵
	1.000	30.00	01/25/21	55.02	...	-25.02	5 of 6 - Option sale to close-call ²⁵
	1.000	30.00	01/25/21	55.00	...	-25.00	6 of 6 - Option sale to close-call ²⁵
01/29/21	30.000	899.91	Various	1,350.10	...	-450.19	Total of 6 transactions
6 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	1.000	29.98	01/25/21	50.00	20.02 W	0.00	1 of 6 - Option sale to close-call ²⁵
	1.000	30.00	01/25/21	50.00	20.00 W	0.00	2 of 6 - Option sale to close-call ²⁵
	5.000	149.99	01/25/21	250.02	100.03 W	0.00	3 of 6 - Option sale to close-call ²⁵
	6.000	179.98	01/25/21	240.00	60.02 W	0.00	4 of 6 - Option sale to close-call ²⁵
	7.000	209.98	01/25/21	350.02	140.04 W	0.00	5 of 6 - Option sale to close-call ²⁵
	10.000	299.97	01/25/21	400.00	100.03 W	0.00	6 of 6 - Option sale to close-call ²⁵
01/29/21	30.000	899.90	Various	1,340.04	440.14 W	0.00	Total of 6 transactions
	Security total:	1,799.81		2,690.14	440.14 W	-450.19	
AGTC 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/11/21	3.000	329.98	03/01/21	441.00	...	-111.02	Option sale to close-call ²⁵

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Account 669218059

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGTC 07/16/2021 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	4.000	1,199.98	02/17/21	2,200.00	...	-1,000.02	1 of 2 - Option sale to close-call ²⁵
	5.000	1,499.98	03/01/21	1,650.00	...	-150.02	2 of 2 - Option sale to close-call ²⁵
03/12/21	9.000	2,699.96	Various	3,850.00	...	-1,150.04	Total of 2 transactions
AGTC 07/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/11/21	6.000	1,019.98	03/05/21	930.00	...	89.98	Option sale to close-call ²⁵
AGTC 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
10/15/21	2.000	0.00	03/24/21	200.00	...	-200.00	Option expiration- long call
AGTC 10/15/2021 CALL \$5.00 / CUSIP: / Symbol:							
10/15/21	9.000	0.00	03/24/21	2,025.00	...	-2,025.00	Option expiration- long call
AMC 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
05/27/21	1.000	1,074.98	01/29/21	620.00	...	454.98	Option sale to close-call ²⁵
AMC 01/29/2021 CALL \$4.50 / CUSIP: / Symbol:							
01/29/21	1.000	924.96	01/27/21	545.00	...	379.96	Option sale to close-call ²⁵
AMC 02/05/2021 CALL \$27.00 / CUSIP: / Symbol:							
02/05/21	1.000	0.00	01/29/21	212.00	...	-212.00	Option expiration- long call
AMC 02/05/2021 CALL \$9.00 / CUSIP: / Symbol:							
02/01/21	2.000	1,239.96	01/29/21	1,360.00	...	-120.04	Option sale to close-call ²⁵
AMC 02/12/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/12/21	3.000	0.00	01/29/21	867.00	...	-867.00	Option expiration- long call
AMC 02/12/2021 CALL \$8.00 / CUSIP: / Symbol:							
02/12/21	2.000	0.00	01/29/21	1,480.00	...	-1,480.00	Option expiration- long call
ATOS 02/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	10.000	399.97	01/25/21	1,000.00	600.03 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	39.98	01/26/21	145.00	105.02 W	0.00	2 of 3 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATOS 02/19/2021 CALL \$2.50 / CUSIP: / Symbol: (cont'd)							
	9.000	359.97	01/26/21	1,305.03	945.06 W	0.00	3 of 3 - Option sale to close-call ²⁵
01/29/21	20.000	799.92	Various	2,450.03	1,650.11 W	0.00	Total of 3 transactions
6 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	5.000	874.97	02/02/21	950.03	...	-75.06	1 of 6 - Option sale to close-call ²⁵
	1.000	174.98	02/02/21	190.02	...	-15.04	2 of 6 - Option sale to close-call ²⁵
	1.000	174.98	02/02/21	190.00	...	-15.02	3 of 6 - Option sale to close-call ²⁵
	1.000	174.99	02/02/21	190.01	...	-15.02	4 of 6 - Option sale to close-call ²⁵
	1.000	174.99	02/02/21	190.01	...	-15.02	5 of 6 - Option sale to close-call ²⁵
	1.000	174.99	02/02/21	190.01	...	-15.02	6 of 6 - Option sale to close-call ²⁵
02/04/21	10.000	1,749.90	Various	1,900.08	...	-150.18	Total of 6 transactions
Security total:		2,549.82		4,350.11	1,650.11 W	-150.18	
ATOS 02/19/2021 PUT \$4.00 / CUSIP: / Symbol:							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	89.98	02/04/21	85.00	...	4.98	1 of 2 - Option sale to close-put ²⁵
	2.000	179.98	02/04/21	170.00	...	9.98	2 of 2 - Option sale to close-put ²⁵
02/05/21	3.000	269.96	Various	255.00	...	14.96	Total of 2 transactions
BB 02/05/2021 CALL \$21.00 / CUSIP: / Symbol:							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	01/29/21	396.00	...	-396.00	1 of 2 - Option expiration- long call
	2.000	0.00	01/29/21	264.00	...	-264.00	2 of 2 - Option expiration- long call
02/05/21	5.000	0.00	Various	660.00	...	-660.00	Total of 2 transactions
CCIV 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
01/28/21	1.000	776.97	01/22/21	706.00	...	70.97	Option sale to close-call ²⁵
CHPT 05/07/2021 CALL \$22.00 / CUSIP: / Symbol:							
04/23/21	1.000	309.98	04/20/21	91.00	...	218.98	Option sale to close-call ²⁵
EXPR 03/05/2021 CALL \$4.50 / CUSIP: / Symbol:							
02/26/21	30.000	299.93	02/24/21	1,050.00	...	-750.07	Option sale to close-call ²⁵
EXPR 03/05/2021 PUT \$2.00 / CUSIP: / Symbol:							
03/01/21	20.000	99.95	02/26/21	100.00	...	-0.05	Option sale to close-put ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPR 03/12/2021 PUT \$3.00 / CUSIP: / Symbol:							
03/01/21	4.000	239.98	02/24/21	360.00	...	-120.02	Option sale to close-put ²⁵
FBIO 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
3 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	6.000	83.97	04/13/21	180.00	...	-96.03	1 of 3 - Option sale to close-call ²⁵
	1.000	14.00	04/13/21	30.00	...	-16.00	2 of 3 - Option sale to close-call ²⁵
	1.000	14.00	04/13/21	30.00	...	-16.00	3 of 3 - Option sale to close-call ²⁵
04/14/21	8.000	111.97	Various	240.00	...	-128.03	Total of 3 transactions
GOEV 05/21/2021 CALL \$7.50 / CUSIP: / Symbol:							
01/28/21	1.000	789.97	01/21/21	970.00	...	-180.03	Option sale to close-call ²⁵
IO 02/19/2021 PUT \$2.50 / CUSIP: / Symbol:							
02/19/21	3.000	0.00	02/12/21	30.00	...	-30.00	Option expiration- long put
IO 03/19/2021 PUT \$2.50 / CUSIP: / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	3.000	68.98	02/12/21	75.00	...	-6.02	1 of 2 - Option sale to close-put ²⁵
	1.000	23.00	02/12/21	25.00	...	-2.00	2 of 2 - Option sale to close-put ²⁵
02/16/21	4.000	91.98	Various	100.00	...	-8.02	Total of 2 transactions
IPOE 03/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/16/21	1.000	69.98	01/29/21	195.00	...	-125.02	Option sale to close-call ²⁵
NOK 01/29/2021 CALL \$6.50 / CUSIP: / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/27/21	166.00	...	-166.00	1 of 2 - Option expiration- long call
	1.000	0.98	01/27/21	166.00	...	-165.02	2 of 2 - Option sale to close-call ²⁵
01/29/21	2.000	0.98	Various	332.00	...	-331.02	Total of 2 transactions
NOK 02/05/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/05/21	2.000	0.00	01/27/21	380.00	...	-380.00	Option expiration- long call
NOK 02/12/2021 CALL \$6.00 / CUSIP: / Symbol:							
02/12/21	3.000	0.00	01/27/21	528.00	...	-528.00	Option expiration- long call

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Robinhood Securities LLC

Account 669218059

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PLTR 04/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
04/16/21	10.000	0.00	04/15/21	50.00	...	-50.00	Option expiration- long call
PRQR 02/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
01/29/21	2.000	449.98	01/22/21	640.00	...	-190.02	Option sale to close-call ²⁵
RKT 03/05/2021 CALL \$33.00 / CUSIP: / Symbol:							
03/02/21	1.000	564.98	03/02/21	150.00	...	414.98	Option sale to close-call ²⁵
SNDL 01/29/2021 CALL \$1.00 / CUSIP: / Symbol:							
	<i>3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.</i>						
	3.000	-9.01	01/28/21	0.00	...	-9.01	1 of 3 - Short sale closed- call ²⁵ Option written: 01/15/21
	3.000	-9.01	01/28/21	0.00	...	-9.01	2 of 3 - Short sale closed- call ²⁵ Option written: 01/15/21
	1.000	-3.02	01/28/21	0.00	...	-3.02	3 of 3 - Short sale closed- call ²⁵ Option written: 01/15/21
01/29/21	7.000	-21.04	Various	0.00	...	-21.04	Total of 3 transactions
TSLA 03/05/2021 CALL \$722.50 / CUSIP: / Symbol:							
02/26/21	1.000	1,259.98	02/26/21	1,080.00	...	179.98	Option sale to close-call ²⁵
TSLA 03/12/2021 CALL \$652.50 / CUSIP: / Symbol:							
03/09/21	1.000	694.98	03/08/21	370.00	...	324.98	Option sale to close-call ²⁵
TYME 02/19/2021 PUT \$2.00 / CUSIP: / Symbol:							
02/04/21	10.000	199.97	02/03/21	230.00	...	-30.03	Option sale to close-put ²⁵
VBIV 02/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/01/21	1.000	14.98	01/29/21	0.00	...	14.98	Short sale closed- call ²⁵ Option written: 01/15/21
WKHS 03/05/2021 CALL \$17.50 / CUSIP: / Symbol:							
02/26/21	4.000	475.98	02/25/21	1,460.00	...	-984.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	25.000	199.50	01/29/21	319.72	...	-120.22	1 of 2 - Sale ²⁵
	90.000	718.19	02/01/21	1,133.10	...	-414.91	2 of 2 - Sale ²⁵
02/02/21	115.000	917.69	Various	1,452.82	...	-535.13	Total of 2 transactions
09/28/21	5.328	200.00	08/03/21	181.65	...	18.35	Sale ²⁵
	Security total:	1,117.69		1,634.47	...	-516.78	
ATA CREATIVITY GLOBAL AMERICAN DEPOSITARY SHARES / CUSIP: 00211V106 / Symbol:							
02/04/21	100.000	1,099.96	02/04/21	1,414.00	...	-314.04	Sale ²⁵
ATYR PHARMA, INC. COMMON STOCK / CUSIP: 002120202 / Symbol:							
02/16/21	200.000	1,199.97	02/04/21	904.00	...	295.97	Sale ²⁵
ACASTI PHARMA, INC. CLASS A COMMON STOCK / CUSIP: 00430K402 / Symbol:							
01/13/21	1,403.000	889.45	01/11/21	951.94	30.73 W	-31.76	Sale ²⁵
01/25/21	690.000	558.80	01/21/21	617.02	...	-58.22	Sale ²⁵
	Security total:	1,448.25		1,568.96	30.73 W	-89.98	
ADAMIS PHARMACEUTICALS CORPORATION COMMON STOCK / CUSIP: 00547W208 / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	150.000	266.23	01/21/21	247.50	...	18.73	1 of 2 - Sale ²⁵
	300.000	532.45	01/21/21	498.00	...	34.45	2 of 2 - Sale ²⁵
01/22/21	450.000	798.68	Various	745.50	...	53.18	Total of 2 transactions
AIKIDO PHARMA INC. COMMON STOCK / CUSIP: 008875106 / Symbol:							
01/21/21	350.000	423.46	01/19/21	479.50	...	-56.04	Sale ²⁵
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
01/06/21	7.000	107.98	11/30/20	104.23	...	3.75	Sale ²⁵
APPLIED GENETIC TECHNOLOGIES CORPORATION COMMON STOCK / CUSIP: 03820J100 / Symbol:							
4 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	2.000	10.76	02/10/21	10.68	...	0.08	1 of 4 - Sale ²⁵
	2.000	10.76	02/10/21	10.68	...	0.08	2 of 4 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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APPLIED GENETIC TECHNOLOGIES CORPORATION COMMON STOCK / CUSIP: 03820J100 / Symbol: (cont'd)							
	40.000	215.28	02/10/21	213.60	...	1.68	3 of 4 - Sale ²⁵
	79.000	425.18	02/10/21	421.86	...	3.32	4 of 4 - Sale ²⁵
02/11/21	123.000	661.98	Various	656.82	...	5.16	Total of 4 transactions
ARCTURUS THERAPEUTICS HOLDINGS INC. COMMON STOCK / CUSIP: 03969T109 / Symbol:							
01/06/21	12.000	601.18	12/29/20	508.05	...	93.13	Sale ²⁵
01/07/21	11.622	672.61	12/29/20	492.05	...	180.56	Sale ²⁵
	Security total:	1,273.79		1,000.10	...	273.69	
ASSERTIO HOLDINGS, INC. COMMON STOCK / CUSIP: 04546C106 / Symbol:							
3 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	2,958.248	2,716.19	03/12/21	2,899.08	...	-182.89	1 of 3 - Sale ²⁵
	0.558	0.51	03/12/21	0.54	...	-0.03	2 of 3 - Sale ²⁵
	0.194	0.18	03/12/21	0.20	...	-0.02	3 of 3 - Sale ²⁵
03/16/21	2,959.000	2,716.88	Various	2,899.82	...	-182.94	Total of 3 transactions
03/16/21	0.194	0.18	03/12/21	0.19	0.01 W	0.00	Sale ²⁵
	Security total:	2,717.06		2,900.01	0.01 W	-182.94	
ATOSSA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 04962H506 / Symbol:							
01/26/21	350.000	927.43	01/21/21	612.33	...	315.10	Sale ²⁵
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol:							
5 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	17.000	19.55	01/05/21	12.63	...	6.92	1 of 5 - Sale ²⁵
	22.000	25.30	01/05/21	16.34	...	8.96	2 of 5 - Sale ²⁵
	28.000	32.20	01/05/21	20.79	...	11.41	3 of 5 - Sale ²⁵
	300.000	344.96	01/05/21	222.81	...	122.15	4 of 5 - Sale ²⁵
	400.000	459.95	01/05/21	297.08	...	162.87	5 of 5 - Sale ²⁵
01/11/21	767.000	881.96	Various	569.65	...	312.31	Total of 5 transactions
4 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	50.000	104.50	01/15/21	76.00	...	28.50	1 of 4 - Sale ²⁵
	100.000	208.99	01/15/21	152.00	...	56.99	2 of 4 - Sale ²⁵
	100.000	208.99	01/15/21	152.00	...	56.99	3 of 4 - Sale ²⁵

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(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol: (cont'd)							
	100.000	208.99	01/15/21	152.00	...	56.99	4 of 4 - Sale ²⁵
01/20/21	350.000	731.47	Various	532.00	...	199.47	Total of 4 transactions
	Security total:	1,613.43		1,101.65	...	511.78	
AZURRX BIOPHARMA, INC. COMMON STOCK / CUSIP: 05502L105 / Symbol:							
01/21/21	350.000	405.96	01/21/21	517.44	111.48 W	0.00	Sale ²⁵
	2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	350.000	734.94	01/22/21	811.48	...	-76.54	1 of 2 - Sale ²⁵
	50.000	104.99	01/22/21	100.00	...	4.99	2 of 2 - Sale ²⁵
01/22/21	400.000	839.93	Various	911.48	...	-71.55	Total of 2 transactions
	Security total:	1,245.89		1,428.92	111.48 W	-71.55	
BICYCLE THERAPEUTICS PLC AMERI CAN DEPOSITARY SHARES / CUSIP: 088786108 / Symbol:							
	4 transactions for 01/14/21. Total proceeds and cost reported to the IRS.						
	0.137	3.53	01/14/21	3.48	...	0.05	1 of 4 - Sale ²⁵
	0.349	9.01	01/14/21	8.85	...	0.16	2 of 4 - Sale ²⁵
	0.651	16.77	01/14/21	16.54	...	0.23	3 of 4 - Sale ²⁵
	83.000	2,140.14	01/14/21	2,101.84	...	38.30	4 of 4 - Sale ²⁵
01/14/21	84.137	2,169.45	Various	2,130.71	...	38.74	Total of 4 transactions
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
	9 transactions for 01/04/21. Total proceeds and cost reported to the IRS.						
	0.003	0.02	12/31/20	0.01	...	0.01	1 of 9 - Sale ²⁵
	0.058	0.35	12/31/20	0.17	...	0.18	2 of 9 - Sale ²⁵
	0.147	0.88	12/31/20	0.42	...	0.46	3 of 9 - Sale ²⁵
	0.301	1.80	12/31/20	0.86	...	0.94	4 of 9 - Sale ²⁵
	0.719	4.27	12/31/20	2.06	...	2.21	5 of 9 - Sale ²⁵
	22.000	130.68	12/31/20	63.14	...	67.54	6 of 9 - Sale ²⁵
	99.281	589.70	12/31/20	284.94	...	304.76	7 of 9 - Sale ²⁵
	0.376	2.45	01/04/21	2.36	...	0.09	8 of 9 - Sale ²⁵
	110.624	720.13	01/04/21	695.81	...	24.32	9 of 9 - Sale ²⁵
01/04/21	233.510	1,450.28	Various	1,049.77	...	400.51	Total of 9 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
<i>2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.</i>							
	0.291	1.62	01/07/21	1.54	...	0.08	1 of 2 - Sale ²⁵
	159.709	894.33	01/07/21	737.86	...	156.47	2 of 2 - Sale ²⁵
01/11/21	160.000	895.95	Various	739.40	...	156.55	Total of 2 transactions
01/11/21	0.291	1.63	01/04/21	1.83	0.20 W	0.00	Sale ²⁵
	Security total:	2,347.86		1,791.00	0.20 W	557.06	
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
01/14/21	700.000	671.90	01/12/21	503.65	...	168.25	Sale ²⁵
01/14/21	500.000	479.93	01/13/21	498.79	18.86 W	0.00	Sale ²⁵
<i>4 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	370.000	462.93	02/09/21	546.76	...	-83.83	1 of 4 - Sale ²⁵
	270.000	337.81	02/09/21	388.80	...	-50.99	2 of 4 - Sale ²⁵
	230.000	287.76	02/09/21	331.20	...	-43.44	3 of 4 - Sale ²⁵
	130.000	162.65	02/09/21	192.10	...	-29.45	4 of 4 - Sale ²⁵
02/16/21	1,000.000	1,251.15	Various	1,458.86	...	-207.71	Total of 4 transactions
	Security total:	2,402.98		2,461.30	18.86 W	-39.46	
BRIDGEBIO PHARMA, INC. COMMON STOCK / CUSIP: 10806X102 / Symbol:							
<i>2 transactions for 03/22/21. Total proceeds and cost reported to the IRS.</i>							
	0.605	42.25	03/22/21	40.80	...	1.45	1 of 2 - Sale ²⁵
	36.000	2,510.62	03/22/21	2,428.20	...	82.42	2 of 2 - Sale ²⁵
03/22/21	36.605	2,552.87	Various	2,469.00	...	83.87	Total of 2 transactions
CANOO INC. CLASS A COMMON STOCK / CUSIP: 13803R102 / Symbol:							
01/21/21	30.000	513.28	01/20/21	514.20	...	-0.92	Sale ²⁵
CARNIVAL PLC / CUSIP: 14365C103 / Symbol:							
01/08/21	5.000	90.95	11/30/20	93.70	...	-2.75	Sale ²⁵

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	60.000	81.59	01/20/21	70.80	...	10.79	1 of 2 - Sale ²⁵
	440.000	598.33	01/20/21	519.20	...	79.13	2 of 2 - Sale ²⁵
01/22/21	500.000	679.92	Various	590.00	...	89.92	Total of 2 transactions
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol:							
18 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	23.411	51.97	02/18/21	35.00	...	16.97	1 of 18 - Sale ²⁵
	5.000	11.10	02/19/21	9.15	...	1.95	2 of 18 - Sale ²⁵
	10.000	22.20	02/19/21	18.30	...	3.90	3 of 18 - Sale ²⁵
	16.411	36.43	02/19/21	30.03	...	6.40	4 of 18 - Sale ²⁵
	34.000	75.48	02/19/21	62.22	...	13.26	5 of 18 - Sale ²⁵
	34.000	75.48	02/19/21	62.22	...	13.26	6 of 18 - Sale ²⁵
	38.000	84.36	02/19/21	69.54	...	14.82	7 of 18 - Sale ²⁵
	42.589	94.54	02/19/21	77.94	...	16.60	8 of 18 - Sale ²⁵
	73.589	163.36	02/19/21	134.67	...	28.69	9 of 18 - Sale ²⁵
	76.589	170.02	02/19/21	140.16	...	29.86	10 of 18 - Sale ²⁵
	85.411	189.60	02/19/21	156.30	...	33.30	11 of 18 - Sale ²⁵
	99.000	219.77	02/19/21	181.17	...	38.60	12 of 18 - Sale ²⁵
	100.000	221.99	02/19/21	183.00	...	38.99	13 of 18 - Sale ²⁵
	100.000	221.99	02/19/21	183.00	...	38.99	14 of 18 - Sale ²⁵
	160.411	356.17	02/19/21	293.55	...	62.62	15 of 18 - Sale ²⁵
	200.000	443.98	02/19/21	366.00	...	77.98	16 of 18 - Sale ²⁵
	200.000	443.98	02/19/21	366.00	...	77.98	17 of 18 - Sale ²⁵
	275.000	610.45	02/19/21	503.25	...	107.20	18 of 18 - Sale ²⁵
02/24/21	1,573.411	3,492.87	Various	2,871.50	...	621.37	Total of 18 transactions
CNS PHARMACEUTICALS, INC. COMM ON STOCK / CUSIP: 18978H102 / Symbol:							
02/16/21	350.000	1,124.20	02/04/21	1,221.50	...	-97.30	Sale ²⁵

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Robinhood Securities LLC

Account 669218059

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DAVIDSTEA INC. COMMON STOCK / CUSIP: 238661102 / Symbol:							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	17.000	80.75	02/09/21	73.10	...	7.65	1 of 2 - Sale ²⁵
	83.000	394.24	02/09/21	356.90	...	37.34	2 of 2 - Sale ²⁵
02/10/21	100.000	474.99	Various	430.00	...	44.99	Total of 2 transactions
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	0.756	2.49	02/24/21	2.48	...	0.01	1 of 2 - Sale ²⁵
	609.000	2,003.58	02/24/21	1,997.52	...	6.06	2 of 2 - Sale ²⁵
02/25/21	609.756	2,006.07	Various	2,000.00	...	6.07	Total of 2 transactions
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
03/26/21	0.182	32.00	03/10/21	52.19	20.19 W	0.00	Sale ²⁵
4 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	1.814	239.53	03/10/21	521.43	...	-281.90	1 of 4 - Sale ²⁵
	0.182	24.02	03/10/21	72.47	...	-48.45	2 of 4 - Sale ²⁵
	0.108	14.30	03/10/21	31.03	...	-16.73	3 of 4 - Sale ²⁵
	0.004	0.49	03/10/21	1.07	...	-0.58	4 of 4 - Sale ²⁵
04/13/21	2.108	278.34	Various	626.00	...	-347.66	Total of 4 transactions
	Security total:	310.34		678.19	20.19 W	-347.66	
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
01/14/21	300.000	269.96	01/08/21	183.95	...	86.01	Sale ²⁵
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	164.765	393.76	02/10/21	402.03	8.27 W	0.00	1 of 2 - Sale ²⁵
	375.235	896.75	02/10/21	1,000.00	103.25 W	0.00	2 of 2 - Sale ²⁵
02/12/21	540.000	1,290.51	Various	1,402.03	111.52 W	0.00	Total of 2 transactions
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	0.153	0.35	02/11/21	0.33	...	0.02	1 of 2 - Sale ²⁵
	164.765	378.93	02/11/21	365.58	...	13.35	2 of 2 - Sale ²⁵
02/16/21	164.918	379.28	Various	365.91	...	13.37	Total of 2 transactions

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(continued)

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GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol: (cont'd)							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	40.153	92.34	02/10/21	109.02	16.68 W	0.00	1 of 2 - Sale ²⁵
	335.082	770.64	02/11/21	818.86	48.22 W	0.00	2 of 2 - Sale ²⁵
02/16/21	375.235	862.98	Various	927.88	64.90 W	0.00	Total of 2 transactions
9 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	174.941	418.27	02/17/21	479.14	...	-60.87	1 of 9 - Sale ²⁵
	280.000	669.46	02/17/21	728.00	...	-58.54	2 of 9 - Sale ²⁵
	160.141	382.89	02/17/21	438.62	...	-55.73	3 of 9 - Sale ²⁵
	200.000	478.19	02/17/21	520.00	...	-41.81	4 of 9 - Sale ²⁵
	39.859	95.30	02/17/21	119.99	...	-24.69	5 of 9 - Sale ²⁵
	34.327	82.07	02/17/21	89.25	...	-7.18	6 of 9 - Sale ²⁵
	25.059	59.91	02/17/21	65.03	...	-5.12	7 of 9 - Sale ²⁵
	20.000	47.82	02/17/21	52.00	...	-4.18	8 of 9 - Sale ²⁵
	0.294	0.70	02/17/21	0.87	...	-0.17	9 of 9 - Sale ²⁵
02/17/21	934.620	2,234.61	Various	2,492.90	...	-258.29	Total of 9 transactions
	Security total:	5,037.34		5,372.67	176.42 W	-158.91	
GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q203 / Symbol:							
01/06/21	400.000	309.99	01/05/21	219.04	...	90.95	Sale ²⁵
HISTOGEN INC. COMMON STOCK / CUSIP: 43358Y103 / Symbol:							
6 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	20.000	18.51	01/08/21	17.53	...	0.98	1 of 6 - Sale ²⁵
	100.000	92.52	01/08/21	87.65	...	4.87	2 of 6 - Sale ²⁵
	100.000	92.52	01/08/21	87.65	...	4.87	3 of 6 - Sale ²⁵
	100.000	92.52	01/08/21	87.65	...	4.87	4 of 6 - Sale ²⁵
	100.000	92.52	01/08/21	87.65	...	4.87	5 of 6 - Sale ²⁵
	150.000	138.78	01/08/21	131.47	...	7.31	6 of 6 - Sale ²⁵
01/12/21	570.000	527.37	Various	499.60	...	27.77	Total of 6 transactions
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
01/05/21	2.909	9.72	12/01/20	10.00	...	-0.28	Sale ²⁵

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Account 669218059

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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JANONE INC. COMMON STOCK (NV) / CUSIP: 47089W104 / Symbol:							
01/29/21	26.000	277.68	01/27/21	261.56	...	16.12	Sale ²⁵
KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol:							
3 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	7.300	129.56	03/01/21	131.90	...	-2.34	1 of 3 - Sale ²⁵
	0.689	12.24	03/01/21	12.64	...	-0.40	2 of 3 - Sale ²⁵
	0.011	0.20	03/01/21	0.20	...	0.00	3 of 3 - Sale ²⁵
03/02/21	8.000	142.00	Various	144.74	...	-2.74	Total of 3 transactions
03/02/21	0.689	12.26	03/01/21	12.44	0.18 W	0.00	Sale ²⁵
	Security total:	154.26		157.18	0.18 W	-2.74	
LM FUNDING AMERICA, INC. COMMON STOCK / CUSIP: 502074305 / Symbol:							
01/11/21	180.000	436.48	01/11/21	498.40	...	-61.92	Sale ²⁵
MOTUS GI HOLDINGS, INC. COMMON STOCK / CUSIP: 62014P108 / Symbol:							
6 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	20.000	40.00	01/15/21	22.90	...	17.10	1 of 6 - Sale ²⁵
	34.000	68.00	01/15/21	38.93	...	29.07	2 of 6 - Sale ²⁵
	34.000	68.00	01/15/21	38.93	...	29.07	3 of 6 - Sale ²⁵
	66.000	131.99	01/15/21	75.57	...	56.42	4 of 6 - Sale ²⁵
	100.000	199.99	01/15/21	114.50	...	85.49	5 of 6 - Sale ²⁵
	146.000	291.98	01/15/21	167.17	...	124.81	6 of 6 - Sale ²⁵
01/20/21	400.000	799.96	Various	458.00	...	341.96	Total of 6 transactions
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
01/27/21	16.813	975.15	01/21/21	978.88	...	-3.73	Sale ²⁵
01/27/21	0.187	10.82	01/21/21	10.85	0.03 W	0.00	Sale ²⁵
01/29/21	0.176	10.10	01/21/21	10.30	...	-0.20	Sale ²⁵
	Security total:	996.07		1,000.03	0.03 W	-3.93	
NEW CONCEPT ENERGY INC / CUSIP: 643611106 / Symbol:							
01/29/21	30.000	386.40	01/28/21	521.10	...	-134.70	Sale ²⁵
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
01/14/21	1.000	19.89	11/30/20	21.70	...	-1.81	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
01/11/21	90.000	232.19	01/05/21	246.44	14.25 W	0.00	Sale ²⁵
	3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	10.000	126.00	02/05/21	50.69	...	75.31	1 of 3 - Sale ²⁵
	90.000	1,133.96	02/05/21	470.45	...	663.51	2 of 3 - Sale ²⁵
	100.000	1,259.96	02/05/21	506.89	...	753.07	3 of 3 - Sale ²⁵
02/08/21	200.000	2,519.92	Various	1,028.03	...	1,491.89	Total of 3 transactions
	3 transactions for 03/08/21. Total proceeds and cost reported to the IRS.						
	38.780	326.57	03/03/21	508.79	...	-182.22	1 of 3 - Sale ²⁵
	0.101	0.85	03/03/21	1.80	...	-0.95	2 of 3 - Sale ²⁵
	0.119	1.00	03/03/21	1.56	...	-0.56	3 of 3 - Sale ²⁵
03/08/21	39.000	328.42	Various	512.15	...	-183.73	Total of 3 transactions
03/08/21	0.101	0.85	03/03/21	1.32	0.47 W	0.00	Sale ²⁵
	Security total:	3,081.38		1,787.94	14.72 W	1,308.16	
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
03/10/21	598.000	657.72	03/09/21	723.58	...	-65.86	Sale ²⁵
POLARITYTE, INC. COMMON STOCK / CUSIP: 731094108 / Symbol:							
01/11/21	400.000	492.15	01/08/21	468.00	...	24.15	Sale ²⁵
PURPLE BIOTECH LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 74638P109 / Symbol:							
02/16/21	100.000	572.97	02/05/21	676.00	...	-103.03	Sale ²⁵
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
03/03/21	14.952	512.41	03/02/21	569.00	...	-56.59	Sale ²⁵
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
	7 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	17.000	29.75	01/14/21	16.66	...	13.09	1 of 7 - Sale ²⁵
	33.000	57.74	01/14/21	31.68	...	26.06	2 of 7 - Sale ²⁵
	63.000	110.24	01/14/21	60.48	...	49.76	3 of 7 - Sale ²⁵
	67.000	117.24	01/14/21	64.32	...	52.92	4 of 7 - Sale ²⁵
	173.000	302.72	01/14/21	169.54	...	133.18	5 of 7 - Sale ²⁵
	260.000	454.96	01/14/21	254.80	...	200.16	6 of 7 - Sale ²⁵

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(continued)

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SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol: (cont'd)							
	387.000	677.19	01/14/21	371.52	...	305.67	7 of 7 - Sale ²⁵
01/15/21	1,000.000	1,749.84	Various	969.00	...	780.84	Total of 7 transactions
	5 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	50.000	101.99	01/14/21	50.44	...	51.55	1 of 5 - Sale ²⁵
	50.000	102.00	01/14/21	49.00	...	53.00	2 of 5 - Sale ²⁵
	194.000	395.74	01/14/21	195.69	...	200.05	3 of 5 - Sale ²⁵
	225.000	460.10	01/14/21	226.96	...	233.14	4 of 5 - Sale ²⁵
	531.000	1,083.15	01/14/21	535.61	...	547.54	5 of 5 - Sale ²⁵
01/19/21	1,050.000	2,142.98	Various	1,057.70	...	1,085.28	Total of 5 transactions
	3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.						
	100.000	270.98	01/19/21	200.00	...	70.98	1 of 3 - Sale ²⁵
	100.000	270.98	01/19/21	200.00	...	70.98	2 of 3 - Sale ²⁵
	100.000	270.98	01/19/21	200.00	...	70.98	3 of 3 - Sale ²⁵
01/20/21	300.000	812.94	Various	600.00	...	212.94	Total of 3 transactions
	3 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	100.000	236.99	01/20/21	270.00	...	-33.01	1 of 3 - Sale ²⁵
	61.000	144.56	01/20/21	164.70	...	-20.14	2 of 3 - Sale ²⁵
	39.000	92.43	01/20/21	105.30	...	-12.87	3 of 3 - Sale ²⁵
01/21/21	200.000	473.98	Various	540.00	...	-66.02	Total of 3 transactions
	Security total:	5,179.74		3,166.70	...	2,013.04	
SOFTWARE ACQUISITION GROUP INC . II CLASS A COMMON STOCK / CUSIP: 83407F101 / Symbol:							
	6 transactions for 03/22/21. Total proceeds and cost reported to the IRS.						
	100.000	1,046.98	03/22/21	1,052.00	...	-5.02	1 of 6 - Sale ²⁵
	50.000	523.49	03/22/21	526.00	...	-2.51	2 of 6 - Sale ²⁵
	41.000	429.27	03/22/21	431.32	...	-2.05	3 of 6 - Sale ²⁵
	25.000	261.75	03/22/21	263.00	...	-1.25	4 of 6 - Sale ²⁵
	20.000	209.40	03/22/21	210.40	...	-1.00	5 of 6 - Sale ²⁵
	3.000	31.41	03/22/21	31.56	...	-0.15	6 of 6 - Sale ²⁵
03/22/21	239.000	2,502.30	Various	2,514.28	...	-11.98	Total of 6 transactions
03/23/21	0.829	8.73	03/22/21	8.72	...	0.01	Sale ²⁵
	Security total:	2,511.03		2,523.00	...	-11.97	

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(continued)

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SOLIGENIX, INC. COMMON STOCK / CUSIP: 834223307 / Symbol:							
<i>2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	1,050.000	2,206.20	02/16/21	2,434.85	...	-228.65	1 of 2 - Sale ²⁵
	100.000	221.99	02/16/21	231.89	...	-9.90	2 of 2 - Sale ²⁵
02/17/21	1,150.000	2,428.19	Various	2,666.74	...	-238.55	Total of 2 transactions
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
01/29/21	700.000	569.07	01/11/21	501.62	...	67.45	Sale ²⁵
TENGASCO, INC. / CUSIP: 88033R502 / Symbol:							
01/29/21	100.000	239.18	01/28/21	529.31	...	-290.13	Sale ²⁵
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
<i>4 transactions for 01/14/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	95.99	01/05/21	80.00	...	15.99	1 of 4 - Sale ²⁵
	300.000	287.95	01/05/21	240.00	...	47.95	2 of 4 - Sale ²⁵
	700.000	671.90	01/12/21	629.93	...	41.97	3 of 4 - Sale ²⁵
	390.000	374.34	01/13/21	369.17	...	5.17	4 of 4 - Sale ²⁵
01/14/21	1,490.000	1,430.18	Various	1,319.10	...	111.08	Total of 4 transactions
<i>6 transactions for 03/22/21. Total proceeds and cost reported to the IRS.</i>							
	1,637.000	2,392.27	03/22/21	2,421.12	...	-28.85	1 of 6 - Sale ²⁵
	46.000	67.39	03/22/21	68.08	...	-0.69	2 of 6 - Sale ²⁵
	36.270	53.13	03/22/21	53.68	...	-0.55	3 of 6 - Sale ²⁵
	4.000	5.86	03/22/21	5.92	...	-0.06	4 of 6 - Sale ²⁵
	0.730	1.07	03/22/21	1.08	...	-0.01	5 of 6 - Sale ²⁵
	0.084	0.12	03/22/21	0.12	...	0.00	6 of 6 - Sale ²⁵
03/22/21	1,724.084	2,519.84	Various	2,550.00	...	-30.16	Total of 6 transactions
	Security total:	3,950.02		3,869.10	...	80.92	
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
<i>2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.</i>							
	242.000	254.06	01/07/21	237.16	...	16.90	1 of 2 - Sale ²⁵
	258.000	270.86	01/07/21	252.84	...	18.02	2 of 2 - Sale ²⁵
01/11/21	500.000	524.92	Various	490.00	...	34.92	Total of 2 transactions

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Robinhood Securities LLC

Account 669218059

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol: (cont'd)							
02/05/21	300.000	479.96	01/29/21	547.50	...	-67.54	Sale ²⁵
06/17/21	215.000	1,074.96	06/16/21	1,216.88	...	-141.92	Sale ²⁵
	Security total:	2,079.84		2,254.38	...	-174.54	
TYME TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 90238J103 / Symbol:							
01/12/21	300.000	636.03	01/11/21	519.00	...	117.03	Sale ²⁵
01/21/21	200.000	372.00	01/12/21	449.00	...	-77.00	Sale ²⁵
	Security total:	1,008.03		968.00	...	40.03	
UNITED STATES ANTIMONY CORPORA TION / CUSIP: 911549103 / Symbol:							
02/09/21	1,000.000	1,529.84	02/09/21	1,310.00	...	219.84	Sale ²⁵
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
01/22/21	60.000	184.19	01/14/21	192.00	...	-7.81	Sale ²⁵
01/29/21	100.000	326.11	01/14/21	320.00	...	6.11	Sale ²⁵
	Security total:	510.30		512.00	...	-1.70	
ZEALAND PHARMA A/S AMERICAN DE POSITARY SHARES / CUSIP: 98920Y304 / Symbol:							
4 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	28.000	951.86	03/22/21	974.40	...	-22.54	1 of 4 - Sale ²⁵
	5.000	169.97	03/22/21	174.00	...	-4.03	2 of 4 - Sale ²⁵
	2.000	67.99	03/22/21	69.60	...	-1.61	3 of 4 - Sale ²⁵
	2.000	67.99	03/22/21	69.60	...	-1.61	4 of 4 - Sale ²⁵
03/23/21	37.000	1,257.81	Various	1,287.60	...	-29.79	Total of 4 transactions
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
4 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	1.000	0.65	01/04/21	0.36	...	0.29	1 of 4 - Sale ²⁵
	500.000	327.13	01/04/21	216.50	...	110.63	2 of 4 - Sale ²⁵
	600.000	392.56	01/06/21	246.00	...	146.56	3 of 4 - Sale ²⁵
	1,200.000	785.12	01/06/21	490.92	...	294.20	4 of 4 - Sale ²⁵
01/11/21	2,301.000	1,505.46	Various	953.78	...	551.68	Total of 4 transactions
01/11/21	500.000	389.94	01/11/21	454.60	64.66 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 669218059

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
<i>2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.</i>							
	500.000	489.12	01/19/21	575.00	85.88 W	0.00	1 of 2 - Sale ²⁵
	500.000	489.13	01/19/21	639.66	150.53 W	0.00	2 of 2 - Sale ²⁵
01/26/21	1,000.000	978.25	Various	1,214.66	236.41 W	0.00	Total of 2 transactions
<i>7 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	39.000	72.54	02/04/21	61.66	...	10.88	1 of 7 - Sale ²⁵
	100.000	185.99	02/04/21	145.18	...	40.81	2 of 7 - Sale ²⁵
	100.000	185.99	02/04/21	145.18	...	40.81	3 of 7 - Sale ²⁵
	100.000	185.99	02/04/21	145.17	...	40.82	4 of 7 - Sale ²⁵
	200.000	371.99	02/04/21	316.21	...	55.78	5 of 7 - Sale ²⁵
	261.000	485.44	02/04/21	412.66	...	72.78	6 of 7 - Sale ²⁵
	200.000	371.99	02/04/21	290.35	...	81.64	7 of 7 - Sale ²⁵
02/05/21	1,000.000	1,859.93	Various	1,516.41	...	343.52	Total of 7 transactions
02/11/21	200.000	547.96	02/08/21	480.00	...	67.96	Sale ²⁵
<i>2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.</i>							
	998.000	2,225.37	02/16/21	2,395.20	...	-169.83	1 of 2 - Sale ²⁵
	281.000	626.58	02/16/21	674.40	...	-47.82	2 of 2 - Sale ²⁵
02/19/21	1,279.000	2,851.95	Various	3,069.60	...	-217.65	Total of 2 transactions
<i>4 transactions for 03/24/21. Total proceeds and cost reported to the IRS.</i>							
	407.000	700.76	03/22/21	811.97	...	-111.21	1 of 4 - Sale ²⁵
	200.000	344.35	03/22/21	399.00	...	-54.65	2 of 4 - Sale ²⁵
	690.345	1,188.62	03/23/21	1,269.82	...	-81.20	3 of 4 - Sale ²⁵
	0.098	0.17	03/23/21	0.18	...	-0.01	4 of 4 - Sale ²⁵
03/24/21	1,297.443	2,233.90	Various	2,480.97	...	-247.07	Total of 4 transactions
	Security total:	10,367.39		10,170.02	301.07 W	498.44	
ARCLIGHT CLEAN TRANSITION CORP . CLASS A ORDINARY SHARES / CUSIP: G04561109 / Symbol:							
<i>4 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>							
	0.015	0.39	01/12/21	0.36	...	0.03	1 of 4 - Sale ²⁵
	1.051	26.98	01/12/21	24.76	...	2.22	2 of 4 - Sale ²⁵
	8.934	229.33	01/12/21	210.39	...	18.94	3 of 4 - Sale ²⁵
	27.000	693.07	01/12/21	635.85	...	57.22	4 of 4 - Sale ²⁵
01/25/21	37.000	949.77	Various	871.36	...	78.41	Total of 4 transactions

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Robinhood Securities LLC

Account 669218059

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARCLIGHT CLEAN TRANSITION CORP . CLASS A ORDINARY SHARES / CUSIP: G04561109 / Symbol: (cont'd)							
01/29/21	0.066	1.54	01/12/21	1.56	...	-0.02	Sale ²⁵
	Security total:	951.31		872.92	...	78.39	
ITERUM THERAPEUTICS PLC ORDINARY SHARE / CUSIP: G6333L101 / Symbol:							
3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	50.000	83.99	01/19/21	63.00	...	20.99	1 of 3 - Sale ²⁵
	100.000	167.98	01/19/21	125.95	...	42.03	2 of 3 - Sale ²⁵
	250.000	419.96	01/19/21	315.00	...	104.96	3 of 3 - Sale ²⁵
01/20/21	400.000	671.93	Various	503.95	...	167.98	Total of 3 transactions
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
6 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	3.797	91.49	11/27/20	91.57	...	-0.08	1 of 6 - Sale ²⁵
	0.005	0.12	11/27/20	0.12	...	0.00	2 of 6 - Sale ²⁵
	0.142	3.42	11/27/20	3.42	...	0.00	3 of 6 - Sale ²⁵
	0.203	4.89	11/27/20	4.89	...	0.00	4 of 6 - Sale ²⁵
	17.998	433.64	12/08/20	494.37	...	-60.73	5 of 6 - Sale ²⁵
	0.205	4.94	12/08/20	5.63	...	-0.69	6 of 6 - Sale ²⁵
01/11/21	22.349	538.50	Various	600.00	...	-61.50	Total of 6 transactions
QILIAN INTERNATIONAL HOLDING GROUP LTD. ORDINARY SHARES / CUSIP: G7307E107 / Symbol:							
01/14/21	20.000	200.20	01/12/21	239.00	...	-38.80	Sale ²⁵
TANTECH HOLDINGS LTD. COMMON STOCK / CUSIP: G8675X107 / Symbol:							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	255.000	460.15	01/14/21	495.98	...	-35.83	1 of 2 - Sale ²⁵
	260.000	469.18	01/14/21	503.96	...	-34.78	2 of 2 - Sale ²⁵
01/15/21	515.000	929.33	Various	999.94	...	-70.61	Total of 2 transactions
CERAGON NETWORKS LTD. ORDINARY SHARES / CUSIP: M22013102 / Symbol:							
01/22/21	85.000	419.04	01/20/21	484.30	...	-65.26	Sale ²⁵

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Robinhood Securities LLC

Account 669218059

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol:							
3 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	80.000	111.19	01/04/21	82.40	...	28.79	1 of 3 - Sale ²⁵
	297.000	412.78	01/04/21	383.13	...	29.65	2 of 3 - Sale ²⁵
	423.000	587.90	01/04/21	545.67	...	42.23	3 of 3 - Sale ²⁵
01/04/21	800.000	1,111.87	Various	1,011.20	...	100.67	Total of 3 transactions
6 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	15.000	28.50	01/04/21	18.88	...	9.62	1 of 6 - Sale ²⁵
	15.000	28.50	01/04/21	18.88	...	9.62	2 of 6 - Sale ²⁵
	20.000	38.00	01/04/21	25.80	...	12.20	3 of 6 - Sale ²⁵
	50.000	95.00	01/04/21	62.94	...	32.06	4 of 6 - Sale ²⁵
	65.000	123.49	01/04/21	81.83	...	41.66	5 of 6 - Sale ²⁵
	85.000	161.49	01/04/21	107.01	...	54.48	6 of 6 - Sale ²⁵
01/05/21	250.000	474.98	Various	315.34	...	159.64	Total of 6 transactions
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	100.000	142.98	01/04/21	125.89	...	17.09	1 of 2 - Sale ²⁵
	350.000	509.19	01/04/21	440.62	...	68.57	2 of 2 - Sale ²⁵
01/06/21	450.000	652.17	Various	566.51	...	85.66	Total of 2 transactions
01/06/21	250.000	357.46	01/05/21	497.50	140.04 W	0.00	Sale ²⁵
3 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	249.000	415.80	01/12/21	522.72	...	-106.92	1 of 3 - Sale ²⁵
	1.000	1.67	01/12/21	2.10	...	-0.43	2 of 3 - Sale ²⁵
	50.000	83.49	01/12/21	76.95	...	6.54	3 of 3 - Sale ²⁵
01/14/21	300.000	500.96	Various	601.77	...	-100.81	Total of 3 transactions
	Security total:	3,097.44		2,992.32	140.04 W	245.16	
SUPERCOM, LTD. ORDINARY SHARES (ISRAEL) / CUSIP: M87095119 / Symbol:							
01/20/21	370.000	484.70	01/12/21	492.10	...	-7.40	Sale ²⁵
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
3 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	2,909.305	2,468.18	03/16/21	2,865.67	...	-397.49	1 of 3 - Sale ²⁵
	0.664	0.56	03/16/21	0.74	...	-0.18	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 669218059

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	0.030	0.03	03/16/21	0.03	...	0.00	3 of 3 - Sale ²⁵
03/22/21	2,910.000	2,468.77	Various	2,866.44	...	-397.67	Total of 3 transactions
03/22/21	0.664	0.56	03/16/21	0.65	0.09 W	0.00	Sale ²⁵
	Security total:	2,469.33		2,867.09	0.09 W	-397.67	
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
01/14/21	2,000.000	667.54	01/11/21	497.60	...	169.94	Sale ²⁵
	2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	90.000	54.89	01/27/21	63.00	8.11 W	0.00	1 of 2 - Sale ²⁵
	130.000	79.28	01/27/21	91.00	11.72 W	0.00	2 of 2 - Sale ²⁵
01/29/21	220.000	134.17	Various	154.00	19.83 W	0.00	Total of 2 transactions
	3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	90.000	123.42	02/05/21	75.25	...	48.17	1 of 3 - Sale ²⁵
	130.000	178.27	02/05/21	108.70	...	69.57	2 of 3 - Sale ²⁵
	180.000	246.84	02/05/21	134.28	...	112.56	3 of 3 - Sale ²⁵
02/16/21	400.000	548.53	Various	318.23	...	230.30	Total of 3 transactions
	Security total:	1,350.24		969.83	19.83 W	400.24	
PYXIS TANKERS INC. COMMON STOCK / CUSIP: Y71726106 / Symbol:							
02/16/21	1,000.000	2,829.81	02/16/21	4,390.00	...	-1,560.19	Sale ²⁵
Totals :		111,381.21		120,273.56	2,924.10 W	-5,968.25	

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Account 669218059

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol: 07/06/21	0.276	2.58	06/16/21	3.12	...	-0.54	Gain or loss
META METALS INC PREFERRED (SER IES A) / CUSIP: 59134N203 / Symbol: 10/15/21	0.552	0.48	06/16/21	0.00	...	0.48	Gain or loss
Totals:		3.06		3.12	...	-0.06	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

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checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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