

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 643746050	Statement Date: 02/11/2022 Document ID: BVY2 82X 2N47	2021
	Aditya Srivastava 175 Federal Row 201 Collierville, TN 38017 RECIPIENT'S TIN: XXX-XX-6627		

[] FATCA filing requirement (see instructions)		Summary Information	
DIVIDENDS AND DISTRIBUTIONS	2021 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION
			2021 1099-MISC*
			OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	6.64		2- Royalties
1b- Qualified dividends	3.34		3- Other income
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00		4- Federal income tax withheld
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest
2c- Section 1202 gain	0.00		
2d- Collectibles (28%) gain	0.00		
2e- Section 897 ordinary dividends	0.00		SECTION 1256 CONTRACTS
2f- Section 897 capital gain	0.00		2021 1099-B*
3- Nondividend distributions	0.00		OMB No. 1545-0715
4- Federal income tax withheld	0.00		8- Profit or (loss) realized in 2021 on closed contracts
5- Section 199A dividends	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2020
6- Investment expenses	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2021
8- Foreign country or US possession: See detail	0.50		11- Aggregate profit or (loss) on contracts
7- Foreign tax paid:	0.00		
9- Cash liquidation distributions	0.00		
10- Noncash liquidation distributions	0.00		
11- Exempt-interest dividends (includes line 12)	0.00		
12- Specified private activity bond interest dividends (AMT)	0.00		

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	160,872.10	194,178.02	0.00	35,145.19	1,839.27
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	9.26	13.02	0.00	0.00	-3.76
	Total Short-term	160,881.36	194,191.04	0.00	35,145.19	1,835.51
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	160,881.36	194,191.04	0.00	35,145.19	1,835.51
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 643746050

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABVC BIOPHARMA, INC. COMMON ST OCK / CUSIP: 00091F106 / Symbol:							
11/02/21	20.000	88.48	11/01/21	177.67	89.19 W	0.00	Sale ²⁵
	12 transactions for 11/11/21. Total proceeds and cost reported to the IRS.						
	1.000	3.35	11/01/21	5.90	2.55 W	0.00	1 of 12 - Sale ²⁵
	1.000	3.35	11/01/21	13.16	9.81 W	0.00	2 of 12 - Sale ²⁵
	2.000	6.70	11/01/21	11.80	5.10 W	0.00	3 of 12 - Sale ²⁵
	3.000	10.05	11/01/21	18.89	8.84 W	0.00	4 of 12 - Sale ²⁵
	3.000	10.05	11/01/21	31.14	21.09 W	0.00	5 of 12 - Sale ²⁵
	3.000	10.05	11/01/21	39.00	28.95 W	0.00	6 of 12 - Sale ²⁵
	3.000	10.05	11/01/21	39.48	29.43 W	0.00	7 of 12 - Sale ²⁵
	5.000	16.75	11/01/21	30.00	13.25 W	0.00	8 of 12 - Sale ²⁵
	10.000	33.50	11/01/21	103.79	70.29 W	0.00	9 of 12 - Sale ²⁵
	1.000	3.35	11/02/21	4.02	0.67 W	0.00	10 of 12 - Sale ²⁵
	3.000	10.05	11/02/21	13.35	3.30 W	0.00	11 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABVC BIOPHARMA, INC. COMMON STOCK / CUSIP: 00091F106 / Symbol: (cont'd)							
11/11/21	7.000	23.45	11/03/21	30.45	7.00 W	0.00	12 of 12 - Sale ²⁵
	42.000	140.70	Various	340.98	200.28 W	0.00	Total of 12 transactions
	14 transactions for 11/18/21. Total proceeds and cost reported to the IRS.						
	1.000	3.39	11/03/21	6.79	3.40 W	0.00	1 of 14 - Sale ²⁵
	1.000	3.39	11/03/21	11.38	7.99 W	0.00	2 of 14 - Sale ²⁵
	1.000	3.39	11/03/21	14.16	10.77 W	0.00	3 of 14 - Sale ²⁵
	2.000	6.78	11/03/21	10.67	3.89 W	0.00	4 of 14 - Sale ²⁵
	2.000	6.78	11/03/21	13.58	6.80 W	0.00	5 of 14 - Sale ²⁵
	3.000	10.16	11/03/21	33.81	23.65 W	0.00	6 of 14 - Sale ²⁵
	3.000	10.17	11/03/21	21.56	11.39 W	0.00	7 of 14 - Sale ²⁵
	3.000	10.17	11/03/21	42.00	31.83 W	0.00	8 of 14 - Sale ²⁵
	3.000	10.17	11/03/21	42.48	32.31 W	0.00	9 of 14 - Sale ²⁵
	5.000	16.94	11/03/21	34.45	17.51 W	0.00	10 of 14 - Sale ²⁵
	9.000	30.50	11/03/21	101.41	70.91 W	0.00	11 of 14 - Sale ²⁵
	1.000	3.39	11/04/21	4.62	1.23 W	0.00	12 of 14 - Sale ²⁵
	1.000	3.39	11/04/21	5.05	1.66 W	0.00	13 of 14 - Sale ²⁵
	5.000	16.93	11/04/21	24.74	7.81 W	0.00	14 of 14 - Sale ²⁵
11/18/21	40.000	135.55	Various	366.70	231.15 W	0.00	Total of 14 transactions
	21 transactions for 11/23/21. Total proceeds and cost reported to the IRS.						
	1.000	3.17	11/04/21	11.94	8.77 W	0.00	1 of 21 - Sale ²⁵
	1.000	3.17	11/04/21	14.72	11.55 W	0.00	2 of 21 - Sale ²⁵
	2.000	6.35	11/04/21	9.89	3.54 W	0.00	3 of 21 - Sale ²⁵
	3.000	9.52	11/04/21	43.67	34.15 W	0.00	4 of 21 - Sale ²⁵
	3.000	9.52	11/04/21	44.15	34.63 W	0.00	5 of 21 - Sale ²⁵
	8.000	25.38	11/04/21	94.61	69.23 W	0.00	6 of 21 - Sale ²⁵
	1.000	3.17	11/05/21	11.67	8.50 W	0.00	7 of 21 - Sale ²⁵
	1.000	3.17	11/05/21	11.75	8.58 W	0.00	8 of 21 - Sale ²⁵
	2.000	6.35	11/05/21	23.51	17.16 W	0.00	9 of 21 - Sale ²⁵
	3.000	9.52	11/05/21	22.76	13.24 W	0.00	10 of 21 - Sale ²⁵
	1.000	3.17	11/08/21	4.93	1.76 W	0.00	11 of 21 - Sale ²⁵
	1.000	3.17	11/08/21	5.26	2.09 W	0.00	12 of 21 - Sale ²⁵
	1.000	3.17	11/08/21	5.35	2.18 W	0.00	13 of 21 - Sale ²⁵
	1.000	3.17	11/08/21	7.20	4.03 W	0.00	14 of 21 - Sale ²⁵
	2.000	6.35	11/08/21	11.49	5.14 W	0.00	15 of 21 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABVC BIOPHARMA, INC. COMMON ST OCK / CUSIP: 00091F106 / Symbol: (cont'd)							
	2.000	6.35	11/08/21	14.40	8.05 W	0.00	16 of 21 - Sale ²⁵
	3.000	9.52	11/08/21	15.77	6.25 W	0.00	17 of 21 - Sale ²⁵
	5.000	15.87	11/08/21	36.51	20.64 W	0.00	18 of 21 - Sale ²⁵
	1.000	3.17	11/09/21	5.26	2.09 W	0.00	19 of 21 - Sale ²⁵
	3.000	9.52	11/09/21	11.08	1.56 W	0.00	20 of 21 - Sale ²⁵
	5.000	15.87	11/10/21	18.48	2.61 W	0.00	21 of 21 - Sale ²⁵
11/23/21	50.000	158.65	Various	424.40	265.75 W	0.00	Total of 21 transactions
	28 transactions for 11/24/21. Total proceeds and cost reported to the IRS.						
	3.000	9.42	11/10/21	45.72	...	-36.30	1 of 28 - Sale ²⁵
	2.000	6.28	11/10/21	24.70	...	-18.42	2 of 28 - Sale ²⁵
	1.000	3.14	11/10/21	15.24	...	-12.10	3 of 28 - Sale ²⁵
	1.000	3.14	11/10/21	12.46	...	-9.32	4 of 28 - Sale ²⁵
	6.000	18.83	11/11/21	72.14	...	-53.31	5 of 28 - Sale ²⁵
	2.000	6.28	11/11/21	23.89	...	-17.61	6 of 28 - Sale ²⁵
	1.000	3.14	11/11/21	11.95	...	-8.81	7 of 28 - Sale ²⁵
	1.000	3.14	11/11/21	11.87	...	-8.73	8 of 28 - Sale ²⁵
	1.000	3.14	11/11/21	7.78	...	-4.64	9 of 28 - Sale ²⁵
	2.000	6.28	11/11/21	10.28	...	-4.00	10 of 28 - Sale ²⁵
	3.000	9.42	11/12/21	22.68	...	-13.26	11 of 28 - Sale ²⁵
	2.000	6.28	11/12/21	15.69	...	-9.41	12 of 28 - Sale ²⁵
	2.000	6.28	11/15/21	14.93	...	-8.65	13 of 28 - Sale ²⁵
	2.000	6.28	11/15/21	14.72	...	-8.44	14 of 28 - Sale ²⁵
	3.000	9.41	11/15/21	16.26	...	-6.85	15 of 28 - Sale ²⁵
	2.000	6.28	11/15/21	11.81	...	-5.53	16 of 28 - Sale ²⁵
	1.000	3.14	11/15/21	7.37	...	-4.23	17 of 28 - Sale ²⁵
	1.000	3.14	11/15/21	5.51	...	-2.37	18 of 28 - Sale ²⁵
	1.000	3.14	11/15/21	5.43	...	-2.29	19 of 28 - Sale ²⁵
	1.000	3.14	11/15/21	5.42	...	-2.28	20 of 28 - Sale ²⁵
	3.000	9.41	11/15/21	11.58	...	-2.17	21 of 28 - Sale ²⁵
	3.000	9.41	11/15/21	11.56	...	-2.15	22 of 28 - Sale ²⁵
	1.000	3.14	11/15/21	5.09	...	-1.95	23 of 28 - Sale ²⁵
	1.000	3.14	11/15/21	3.83	...	-0.69	24 of 28 - Sale ²⁵
	1.000	3.14	11/16/21	3.89	...	-0.75	25 of 28 - Sale ²⁵
	1.000	3.14	11/17/21	3.39	...	-0.25	26 of 28 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABVC BIOPHARMA, INC. COMMON ST OCK / CUSIP: 00091F106 / Symbol: (cont'd)							
	1.000	3.14	11/18/21	3.38	...	-0.24	27 of 28 - Sale ²⁵
	2.000	6.27	11/23/21	6.38	...	-0.11	28 of 28 - Sale ²⁵
11/24/21	51.000	160.09	Various	404.95	...	-244.86	Total of 28 transactions
11/24/21	3.000	9.42	11/10/21	45.24	11.94 W	-23.88	Sale ²⁵
12/01/21	1.000	3.13	11/23/21	15.13	...	-12.00	Sale ²⁵
	Security total:	696.02		1,775.07	798.31 W	-280.74	
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	2.000	33.43	01/27/21	28.00	...	5.43	1 of 2 - Sale ²⁵
	3.000	50.14	01/27/21	42.00	...	8.14	2 of 2 - Sale ²⁵
01/27/21	5.000	83.57	Various	70.00	...	13.57	Total of 2 transactions
25 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	7.56	02/01/21	11.55	...	-3.99	1 of 25 - Sale ²⁵
	2.000	15.12	02/02/21	19.09	...	-3.97	2 of 25 - Sale ²⁵
	2.000	15.12	02/02/21	15.86	...	-0.74	3 of 25 - Sale ²⁵
	1.000	7.56	02/02/21	7.90	...	-0.34	4 of 25 - Sale ²⁵
	1.000	7.56	02/02/21	7.82	...	-0.26	5 of 25 - Sale ²⁵
	2.000	15.12	02/02/21	13.96	...	1.16	6 of 25 - Sale ²⁵
	3.000	22.68	02/02/21	20.58	...	2.10	7 of 25 - Sale ²⁵
	1.000	7.56	02/03/21	8.14	...	-0.58	8 of 25 - Sale ²⁵
	1.000	7.56	02/04/21	7.23	...	0.33	9 of 25 - Sale ²⁵
	2.000	15.12	02/04/21	14.51	...	0.61	10 of 25 - Sale ²⁵
	2.000	15.12	02/04/21	14.14	...	0.98	11 of 25 - Sale ²⁵
	2.000	15.12	02/04/21	13.84	...	1.28	12 of 25 - Sale ²⁵
	1.000	7.56	02/05/21	6.73	...	0.83	13 of 25 - Sale ²⁵
	2.000	15.12	02/05/21	13.26	...	1.86	14 of 25 - Sale ²⁵
	1.000	7.56	02/08/21	6.37	...	1.19	15 of 25 - Sale ²⁵
	1.000	7.56	02/08/21	6.05	...	1.51	16 of 25 - Sale ²⁵
	1.000	7.56	02/08/21	5.89	...	1.67	17 of 25 - Sale ²⁵
	3.000	22.68	02/09/21	16.52	...	6.16	18 of 25 - Sale ²⁵
	1.000	7.56	02/10/21	6.08	...	1.48	19 of 25 - Sale ²⁵
	1.000	7.56	02/10/21	5.98	...	1.58	20 of 25 - Sale ²⁵
	1.000	7.56	02/11/21	5.66	...	1.90	21 of 25 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	1.000	7.56	02/11/21	5.65	...	1.91	22 of 25 - Sale ²⁵
	3.000	22.68	02/17/21	16.26	...	6.42	23 of 25 - Sale ²⁵
	1.000	7.56	02/19/21	5.74	...	1.82	24 of 25 - Sale ²⁵
	2.000	15.12	02/22/21	12.10	...	3.02	25 of 25 - Sale ²⁵
02/23/21	39.000	294.84	Various	266.91	...	27.93	Total of 25 transactions
02/23/21	1.000	7.56	01/27/21	18.91	11.35 W	0.00	Sale ²⁵
03/09/21	1.000	10.45	03/02/21	20.63	...	-10.18	Sale ²⁵
08/25/21	4.000	184.00	08/25/21	180.00	...	4.00	Sale ²⁵
10/15/21	5.000	205.60	10/14/21	201.25	...	4.35	Sale ²⁵
11/01/21	2.000	73.96	10/25/21	74.00	0.04 W	0.00	Sale ²⁵
8 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	2.000	82.12	10/25/21	74.04	...	8.08	1 of 8 - Sale ²⁵
	3.000	123.18	10/25/21	111.00	...	12.18	2 of 8 - Sale ²⁵
	3.000	123.18	10/25/21	111.00	...	12.18	3 of 8 - Sale ²⁵
	1.000	41.06	10/26/21	36.46	...	4.60	4 of 8 - Sale ²⁵
	1.000	41.06	10/27/21	35.41	...	5.65	5 of 8 - Sale ²⁵
	2.000	82.12	10/27/21	72.20	...	9.92	6 of 8 - Sale ²⁵
	2.000	82.11	10/29/21	71.40	...	10.71	7 of 8 - Sale ²⁵
	1.000	41.06	11/02/21	37.97	...	3.09	8 of 8 - Sale ²⁵
11/03/21	15.000	615.89	Various	549.48	...	66.41	Total of 8 transactions
11/08/21	1.000	42.65	11/05/21	41.37	...	1.28	Sale ²⁵
12/06/21	1.000	27.39	12/03/21	28.99	1.60 W	0.00	Sale ²⁵
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	1.000	27.33	12/10/21	26.31	...	1.02	1 of 2 - Sale ²⁵
	9.000	245.97	12/10/21	243.00	...	2.97	2 of 2 - Sale ²⁵
12/13/21	10.000	273.30	Various	269.31	...	3.99	Total of 2 transactions
12/13/21	1.000	27.33	12/10/21	28.60	1.27 W	0.00	Sale ²⁵
4 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	1.000	21.24	12/13/21	25.01	3.77 W	0.00	1 of 4 - Sale ²⁵
	1.000	21.24	12/13/21	27.57	6.33 W	0.00	2 of 4 - Sale ²⁵
	2.000	42.48	12/13/21	45.30	2.82 W	0.00	3 of 4 - Sale ²⁵
	10.000	212.40	12/13/21	263.00	50.60 W	0.00	4 of 4 - Sale ²⁵
12/14/21	14.000	297.36	Various	360.88	63.52 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
3 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	0.162	3.97	12/13/21	4.83	0.86 W	0.00	1 of 3 - Sale ²⁵
	0.838	20.51	12/14/21	25.15	4.64 W	0.00	2 of 3 - Sale ²⁵
	9.000	220.32	12/14/21	258.73	38.41 W	0.00	3 of 3 - Sale ²⁵
12/15/21	10.000	244.80	Various	288.71	43.91 W	0.00	Total of 3 transactions
2 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	2.000	50.67	12/15/21	48.62	...	2.05	1 of 2 - Sale ²⁵
	1.000	25.34	12/15/21	22.90	...	2.44	2 of 2 - Sale ²⁵
12/16/21	3.000	76.01	Various	71.52	...	4.49	Total of 2 transactions
6 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	0.162	4.11	12/14/21	4.66	0.55 W	0.00	1 of 6 - Sale ²⁵
	0.162	4.11	12/15/21	4.57	0.46 W	0.00	2 of 6 - Sale ²⁵
	0.838	21.23	12/15/21	23.43	2.20 W	0.00	3 of 6 - Sale ²⁵
	0.838	21.23	12/15/21	23.83	2.60 W	0.00	4 of 6 - Sale ²⁵
	1.000	25.33	12/15/21	26.67	1.34 W	0.00	5 of 6 - Sale ²⁵
	9.000	228.01	12/15/21	244.51	16.50 W	0.00	6 of 6 - Sale ²⁵
12/16/21	12.000	304.02	Various	327.67	23.65 W	0.00	Total of 6 transactions
8 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	0.162	3.87	12/15/21	4.26	0.39 W	0.00	1 of 8 - Sale ²⁵
	0.162	3.87	12/16/21	4.51	0.64 W	0.00	2 of 8 - Sale ²⁵
	0.838	20.00	12/16/21	20.39	0.39 W	0.00	3 of 8 - Sale ²⁵
	0.838	20.00	12/16/21	23.15	3.15 W	0.00	4 of 8 - Sale ²⁵
	0.838	20.00	12/16/21	23.55	3.55 W	0.00	5 of 8 - Sale ²⁵
	1.000	23.87	12/16/21	26.34	2.47 W	0.00	6 of 8 - Sale ²⁵
	5.162	123.24	12/16/21	129.05	5.81 W	0.00	7 of 8 - Sale ²⁵
	9.000	214.86	12/16/21	241.50	26.64 W	0.00	8 of 8 - Sale ²⁵
12/17/21	18.000	429.71	Various	472.75	43.04 W	0.00	Total of 8 transactions
12/20/21	0.162	4.94	12/16/21	4.33	...	0.61	Sale ²⁵
	Security total:	3,203.38		3,275.31	188.38 W	116.45	

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ATYR PHARMA, INC. COMMON STOCK / CUSIP: 002120202 / Symbol:							
2 transactions for 09/14/21. Total proceeds and cost reported to the IRS.							
	2.000	25.20	09/14/21	24.70	...	0.50	1 of 2 - Sale ²⁵
	1.000	12.60	09/14/21	12.05	...	0.55	2 of 2 - Sale ²⁵
09/14/21	3.000	37.80	Various	36.75	...	1.05	Total of 2 transactions
2 transactions for 09/21/21. Total proceeds and cost reported to the IRS.							
	8.000	96.00	09/14/21	94.87	...	1.13	1 of 2 - Sale ²⁵
	2.000	24.00	09/14/21	22.73	...	1.27	2 of 2 - Sale ²⁵
09/21/21	10.000	120.00	Various	117.60	...	2.40	Total of 2 transactions
	Security total:	157.80		154.35	...	3.45	
ACADEMY SPORTS AND OUTDOORS, I NC. COMMON STOCK / CUSIP: 00402L107 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	5.000	117.54	01/29/21	113.79	...	3.75	1 of 2 - Sale ²⁵
	3.000	70.52	02/02/21	65.43	...	5.09	2 of 2 - Sale ²⁵
02/09/21	8.000	188.06	Various	179.22	...	8.84	Total of 2 transactions
ACHILLES THERAPEUTICS PLC AMER ICAN DEPOSITARY SHARES / CUSIP: 00449L102 / Symbol:							
7 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	2.000	19.68	06/21/21	25.00	...	-5.32	1 of 7 - Sale ²⁵
	2.000	19.68	06/29/21	21.20	...	-1.52	2 of 7 - Sale ²⁵
	2.000	19.68	07/16/21	13.50	...	6.18	3 of 7 - Sale ²⁵
	2.000	19.68	07/22/21	13.24	...	6.44	4 of 7 - Sale ²⁵
	6.000	59.04	07/22/21	39.72	...	19.32	5 of 7 - Sale ²⁵
	1.000	9.84	07/29/21	6.10	...	3.74	6 of 7 - Sale ²⁵
	3.000	29.52	07/30/21	18.17	...	11.35	7 of 7 - Sale ²⁵
08/11/21	18.000	177.12	Various	136.93	...	40.19	Total of 7 transactions
ADAGIO THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00534A102 / Symbol:							
11/30/21	1.000	50.72	11/29/21	43.50	...	7.22	Sale ²⁵
10 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	15.15	12/16/21	13.97	...	1.18	1 of 10 - Sale ²⁵
	1.000	15.16	12/16/21	13.00	...	2.16	2 of 10 - Sale ²⁵
	1.000	15.16	12/16/21	13.00	...	2.16	3 of 10 - Sale ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ADAGIO THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00534A102 / Symbol: (cont'd)							
	5.000	75.78	12/16/21	67.85	...	7.93	4 of 10 - Sale ²⁵
	5.000	75.77	12/16/21	67.25	...	8.52	5 of 10 - Sale ²⁵
	5.000	75.78	12/16/21	65.50	...	10.28	6 of 10 - Sale ²⁵
	5.000	75.78	12/16/21	65.00	...	10.78	7 of 10 - Sale ²⁵
	5.000	75.78	12/16/21	64.50	...	11.28	8 of 10 - Sale ²⁵
	10.000	151.55	12/16/21	131.00	...	20.55	9 of 10 - Sale ²⁵
	50.000	757.78	12/16/21	724.50	...	33.28	10 of 10 - Sale ²⁵
12/17/21	88.000	1,333.69	Various	1,225.57	...	108.12	Total of 10 transactions
	Security total:	1,384.41		1,269.07	...	115.34	
AEYE, INC. CLASS A COMMON STOC K / CUSIP: 008183105 / Symbol:							
11/05/21	2.000	10.02	10/27/21	9.96	...	0.06	Sale ²⁵
	7 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	1.000	5.01	10/26/21	6.20	1.19 W	0.00	1 of 7 - Sale ²⁵
	2.000	10.03	10/26/21	17.91	7.88 W	0.00	2 of 7 - Sale ²⁵
	1.000	5.01	10/27/21	5.11	0.10 W	0.00	3 of 7 - Sale ²⁵
	2.000	10.03	10/28/21	10.60	0.57 W	0.00	4 of 7 - Sale ²⁵
	1.000	5.01	10/29/21	5.29	0.28 W	0.00	5 of 7 - Sale ²⁵
	2.000	10.03	10/29/21	10.30	0.27 W	0.00	6 of 7 - Sale ²⁵
	5.000	25.06	11/01/21	26.44	1.38 W	0.00	7 of 7 - Sale ²⁵
11/05/21	14.000	70.18	Various	81.85	11.67 W	0.00	Total of 7 transactions
	13 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	1.000	5.32	11/01/21	9.26	...	-3.94	1 of 13 - Sale ²⁵
	1.000	5.32	11/03/21	8.98	...	-3.66	2 of 13 - Sale ²⁵
	1.000	5.32	11/03/21	6.23	...	-0.91	3 of 13 - Sale ²⁵
	2.000	10.64	11/03/21	10.65	...	-0.01	4 of 13 - Sale ²⁵
	1.000	5.32	11/03/21	5.32	...	0.00	5 of 13 - Sale ²⁵
	5.000	26.60	11/03/21	26.58	...	0.02	6 of 13 - Sale ²⁵
	1.000	5.32	11/03/21	5.14	...	0.18	7 of 13 - Sale ²⁵
	1.000	5.32	11/03/21	5.06	...	0.26	8 of 13 - Sale ²⁵
	2.000	10.64	11/03/21	10.35	...	0.29	9 of 13 - Sale ²⁵
	2.000	10.64	11/03/21	10.08	...	0.56	10 of 13 - Sale ²⁵
	1.000	5.32	11/04/21	5.06	...	0.26	11 of 13 - Sale ²⁵
	2.000	10.64	11/04/21	10.24	...	0.40	12 of 13 - Sale ²⁵

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(continued)

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AEYE, INC. CLASS A COMMON STOC K / CUSIP: 008183105 / Symbol: (cont'd)							
	6.000	31.92	11/05/21	30.42	...	1.50	13 of 13 - Sale ²⁵
11/08/21	26.000	138.32	Various	143.37	...	-5.05	Total of 13 transactions
	Security total:	218.52		235.18	11.67 W	-4.99	
AFFIRM HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 00827B106 / Symbol:							
08/31/21	1.000	97.00	08/30/21	95.00	...	2.00	Sale ²⁵
09/13/21	2.000	242.00	09/10/21	230.00	...	12.00	Sale ²⁵
	2 transactions for 09/16/21. Total proceeds and cost reported to the IRS.						
	1.000	113.00	09/14/21	111.00	...	2.00	1 of 2 - Sale ²⁵
	1.000	113.00	09/14/21	111.00	...	2.00	2 of 2 - Sale ²⁵
09/16/21	2.000	226.00	Various	222.00	...	4.00	Total of 2 transactions
	Security total:	565.00		547.00	...	18.00	
AEVA TECHNOLOGIES, INC. / CUSIP: 00835Q103 / Symbol:							
12/07/21	2.000	18.02	12/06/21	17.00	...	1.02	Sale ²⁵
AGENUS INC. COMMON STOCK / CUSIP: 00847G705 / Symbol:							
05/14/21	1.000	3.04	05/10/21	3.04	...	0.00	Sale ²⁵
AIR LEASE CORPORATION / CUSIP: 00912X302 / Symbol:							
02/22/21	2.000	94.00	02/08/21	87.78	...	6.22	Sale ²⁵
ALDEYRA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 01438T106 / Symbol:							
	5 transactions for 12/23/21. Total proceeds and cost reported to the IRS.						
	0.830	3.34	12/21/21	2.90	...	0.44	1 of 5 - Sale ²⁵
	1.000	4.03	12/21/21	3.55	...	0.48	2 of 5 - Sale ²⁵
	4.000	16.12	12/21/21	13.72	...	2.40	3 of 5 - Sale ²⁵
	150.000	604.47	12/21/21	535.50	...	68.97	4 of 5 - Sale ²⁵
	20.000	80.60	12/22/21	68.77	...	11.83	5 of 5 - Sale ²⁵
12/23/21	175.830	708.56	Various	624.44	...	84.12	Total of 5 transactions
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
01/19/21	1.000	248.87	01/14/21	243.97	...	4.90	Sale ²⁵
	6 transactions for 08/31/21. Total proceeds and cost reported to the IRS.						
	3.000	502.95	08/24/21	515.94	...	-12.99	1 of 6 - Sale ²⁵

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(continued)

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ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	1.000	167.65	08/25/21	167.28	...	0.37	2 of 6 - Sale ²⁵
	1.000	167.65	08/25/21	167.26	...	0.39	3 of 6 - Sale ²⁵
	1.000	167.65	08/27/21	159.64	...	8.01	4 of 6 - Sale ²⁵
	1.000	167.65	08/27/21	159.47	...	8.18	5 of 6 - Sale ²⁵
	2.000	335.29	08/30/21	317.90	...	17.39	6 of 6 - Sale ²⁵
08/31/21	9.000	1,508.84	Various	1,487.49	...	21.35	Total of 6 transactions
11/30/21	5.000	640.37	11/29/21	657.95	17.58 W	0.00	Sale ²⁵
12/02/21	5.000	604.05	11/30/21	652.58	48.53 W	0.00	Sale ²⁵
12/07/21	1.000	123.71	12/06/21	123.71	...	0.00	Sale ²⁵
12/07/21	1.000	123.70	12/06/21	132.23	8.53 W	0.00	Sale ²⁵
12/17/21	1.000	120.49	12/15/21	128.46	...	-7.97	Sale ²⁵
12/23/21	2.000	238.00	12/22/21	255.25	17.25 W	0.00	Sale ²⁵
12/28/21	1.000	115.37	12/27/21	125.70	3.21 W	-7.12	Sale ²⁵
	Security total:	3,723.40		3,807.34	95.10 W	11.16	
ALLAKOS INC. COMMON STOCK / CUSIP: 01671P100 / Symbol:							
	3 transactions for 12/27/21. Total proceeds and cost reported to the IRS.						
	0.013	0.13	12/23/21	0.12	...	0.01	1 of 3 - Sale ²⁵
	4.998	51.12	12/23/21	49.88	...	1.24	2 of 3 - Sale ²⁵
	7.000	71.60	12/23/21	70.00	...	1.60	3 of 3 - Sale ²⁵
12/27/21	12.011	122.85	Various	120.00	...	2.85	Total of 3 transactions
	6 transactions for 12/27/21. Total proceeds and cost reported to the IRS.						
	0.011	0.11	12/23/21	0.12	0.01 W	0.00	1 of 6 - Sale ²⁵
	0.011	0.11	12/23/21	0.12	0.01 W	0.00	2 of 6 - Sale ²⁵
	3.000	30.68	12/23/21	31.32	0.64 W	0.00	3 of 6 - Sale ²⁵
	5.000	51.14	12/23/21	51.35	0.21 W	0.00	4 of 6 - Sale ²⁵
	9.989	102.18	12/23/21	106.94	4.76 W	0.00	5 of 6 - Sale ²⁵
	49.989	511.32	12/23/21	550.13	38.81 W	0.00	6 of 6 - Sale ²⁵
12/27/21	68.000	695.54	Various	739.98	44.44 W	0.00	Total of 6 transactions
	6 transactions for 12/28/21. Total proceeds and cost reported to the IRS.						
	49.989	499.63	12/27/21	544.70	...	-45.07	1 of 6 - Sale ²⁵
	9.989	99.84	12/27/21	105.85	...	-6.01	2 of 6 - Sale ²⁵
	12.011	120.05	12/27/21	121.55	...	-1.50	3 of 6 - Sale ²⁵
	3.000	29.98	12/27/21	31.00	...	-1.02	4 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALLAKOS INC. COMMON STOCK / CUSIP: 01671P100 / Symbol: (cont'd)							
	5.000	49.97	12/27/21	50.81	...	-0.84	5 of 6 - Sale ²⁵
	0.011	0.11	12/27/21	0.12	...	-0.01	6 of 6 - Sale ²⁵
12/28/21	80.000	799.58	Various	854.03	...	-54.45	Total of 6 transactions
	Security total:	1,617.97		1,714.01	44.44 W	-51.60	
ALSET EHOME INTERNATIONAL INC. COMMON STOCK / CUSIP: 02116A104 / Symbol:							
4 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	5.000	35.50	06/21/21	37.30	...	-1.80	1 of 4 - Sale ²⁵
	2.000	14.20	06/21/21	13.43	...	0.77	2 of 4 - Sale ²⁵
	3.000	21.30	06/21/21	20.10	...	1.20	3 of 4 - Sale ²⁵
	5.000	35.50	06/21/21	31.90	...	3.60	4 of 4 - Sale ²⁵
06/21/21	15.000	106.50	Various	102.73	...	3.77	Total of 4 transactions
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol:							
09/10/21	5.000	56.25	09/09/21	44.80	...	11.45	Sale ²⁵
3 transactions for 09/13/21. Total proceeds and cost reported to the IRS.							
	2.000	27.74	09/10/21	23.90	...	3.84	1 of 3 - Sale ²⁵
	5.000	69.35	09/10/21	58.00	...	11.35	2 of 3 - Sale ²⁵
	20.000	277.40	09/10/21	240.00	...	37.40	3 of 3 - Sale ²⁵
09/13/21	27.000	374.49	Various	321.90	...	52.59	Total of 3 transactions
09/22/21	1.000	15.20	09/22/21	15.00	...	0.20	Sale ²⁵
09/23/21	5.000	64.45	09/22/21	90.00	25.55 W	0.00	Sale ²⁵
6 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	2.000	26.00	09/23/21	23.58	...	2.42	1 of 6 - Sale ²⁵
	5.000	65.00	09/23/21	59.00	...	6.00	2 of 6 - Sale ²⁵
	7.000	91.00	09/23/21	83.15	...	7.85	3 of 6 - Sale ²⁵
	9.000	117.00	09/23/21	108.00	...	9.00	4 of 6 - Sale ²⁵
	20.000	259.99	09/23/21	240.00	...	19.99	5 of 6 - Sale ²⁵
	20.000	259.99	09/24/21	236.80	...	23.19	6 of 6 - Sale ²⁵
09/24/21	63.000	818.98	Various	750.53	...	68.45	Total of 6 transactions
2 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	1.000	13.00	09/23/21	18.36	5.36 W	0.00	1 of 2 - Sale ²⁵
	4.000	52.00	09/23/21	72.24	20.24 W	0.00	2 of 2 - Sale ²⁵
09/24/21	5.000	65.00	Various	90.60	25.60 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
2 transactions for 09/27/21. Total proceeds and cost reported to the IRS.							
	1.000	13.27	09/24/21	12.94	...	0.33	1 of 2 - Sale ²⁵
	5.000	66.35	09/24/21	64.75	...	1.60	2 of 2 - Sale ²⁵
09/27/21	6.000	79.62	Various	77.69	...	1.93	Total of 2 transactions
2 transactions for 09/27/21. Total proceeds and cost reported to the IRS.							
	1.000	13.27	09/24/21	18.31	5.04 W	0.00	1 of 2 - Sale ²⁵
	4.000	53.08	09/24/21	72.04	18.96 W	0.00	2 of 2 - Sale ²⁵
09/27/21	5.000	66.35	Various	90.35	24.00 W	0.00	Total of 2 transactions
3 transactions for 10/06/21. Total proceeds and cost reported to the IRS.							
	1.000	9.23	09/27/21	18.04	8.81 W	0.00	1 of 3 - Sale ²⁵
	2.000	18.46	09/27/21	26.00	7.54 W	0.00	2 of 3 - Sale ²⁵
	4.000	36.92	09/27/21	70.96	34.04 W	0.00	3 of 3 - Sale ²⁵
10/06/21	7.000	64.61	Various	115.00	50.39 W	0.00	Total of 3 transactions
9 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	1.000	7.85	09/27/21	12.48	4.63 W	0.00	1 of 9 - Sale ²⁵
	1.000	7.85	09/27/21	21.02	13.17 W	0.00	2 of 9 - Sale ²⁵
	1.000	7.85	09/27/21	21.69	13.84 W	0.00	3 of 9 - Sale ²⁵
	2.000	15.70	09/27/21	33.29	17.59 W	0.00	4 of 9 - Sale ²⁵
	3.000	23.55	09/27/21	64.16	40.61 W	0.00	5 of 9 - Sale ²⁵
	10.000	78.50	09/27/21	128.84	50.34 W	0.00	6 of 9 - Sale ²⁵
	12.000	94.19	09/27/21	147.60	53.41 W	0.00	7 of 9 - Sale ²⁵
	19.000	149.15	09/27/21	244.62	95.47 W	0.00	8 of 9 - Sale ²⁵
	43.000	337.54	09/27/21	559.00	221.46 W	0.00	9 of 9 - Sale ²⁵
10/08/21	92.000	722.18	Various	1,232.70	510.52 W	0.00	Total of 9 transactions
10/12/21	20.000	159.62	09/28/21	352.31	192.69 W	0.00	Sale ²⁵
22 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	1.000	8.37	09/28/21	24.96	16.59 W	0.00	1 of 22 - Sale ²⁵
	1.000	8.37	09/28/21	25.71	17.34 W	0.00	2 of 22 - Sale ²⁵
	2.000	16.74	09/28/21	35.49	18.75 W	0.00	3 of 22 - Sale ²⁵
	3.000	25.11	09/28/21	76.24	51.13 W	0.00	4 of 22 - Sale ²⁵
	5.000	41.85	09/28/21	88.08	46.23 W	0.00	5 of 22 - Sale ²⁵
	6.000	50.22	09/28/21	101.64	51.42 W	0.00	6 of 22 - Sale ²⁵
	10.000	83.70	09/28/21	176.78	93.08 W	0.00	7 of 22 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
	1.000	8.37	09/29/21	16.32	7.95 W	0.00	8 of 22 - Sale ²⁵
	1.000	8.39	09/29/21	14.94	6.55 W	0.00	9 of 22 - Sale ²⁵
	1.000	8.39	09/29/21	15.12	6.73 W	0.00	10 of 22 - Sale ²⁵
	2.000	16.74	09/29/21	40.04	23.30 W	0.00	11 of 22 - Sale ²⁵
	2.000	16.78	09/29/21	40.26	23.48 W	0.00	12 of 22 - Sale ²⁵
	3.000	25.17	09/29/21	45.57	20.40 W	0.00	13 of 22 - Sale ²⁵
	4.000	33.56	09/29/21	63.62	30.06 W	0.00	14 of 22 - Sale ²⁵
	5.000	41.85	09/29/21	81.24	39.39 W	0.00	15 of 22 - Sale ²⁵
	5.000	41.95	09/29/21	81.62	39.67 W	0.00	16 of 22 - Sale ²⁵
	8.000	67.12	09/29/21	118.21	51.09 W	0.00	17 of 22 - Sale ²⁵
	14.000	117.18	09/29/21	228.41	111.23 W	0.00	18 of 22 - Sale ²⁵
	1.000	8.39	09/30/21	26.60	18.21 W	0.00	19 of 22 - Sale ²⁵
	2.000	16.78	09/30/21	38.63	21.85 W	0.00	20 of 22 - Sale ²⁵
	5.000	41.95	09/30/21	96.26	54.31 W	0.00	21 of 22 - Sale ²⁵
	18.000	151.02	09/30/21	353.51	202.49 W	0.00	22 of 22 - Sale ²⁵
10/18/21	100.000	838.00	Various	1,789.25	951.25 W	0.00	Total of 22 transactions
	11 transactions for 10/28/21. Total proceeds and cost reported to the IRS.						
	1.000	6.64	09/30/21	17.33	10.69 W	0.00	1 of 11 - Sale ²⁵
	1.000	6.64	09/30/21	19.38	12.74 W	0.00	2 of 11 - Sale ²⁵
	1.000	6.64	09/30/21	19.39	12.75 W	0.00	3 of 11 - Sale ²⁵
	1.000	6.64	09/30/21	27.35	20.71 W	0.00	4 of 11 - Sale ²⁵
	2.000	13.28	09/30/21	35.78	22.50 W	0.00	5 of 11 - Sale ²⁵
	2.000	13.28	09/30/21	43.32	30.04 W	0.00	6 of 11 - Sale ²⁵
	3.000	19.92	09/30/21	81.16	61.24 W	0.00	7 of 11 - Sale ²⁵
	3.000	19.93	09/30/21	52.24	32.31 W	0.00	8 of 11 - Sale ²⁵
	6.000	39.85	09/30/21	111.48	71.63 W	0.00	9 of 11 - Sale ²⁵
	7.000	46.49	09/30/21	122.37	75.88 W	0.00	10 of 11 - Sale ²⁵
	8.000	53.12	09/30/21	154.54	101.42 W	0.00	11 of 11 - Sale ²⁵
10/28/21	35.000	232.43	Various	684.34	451.91 W	0.00	Total of 11 transactions
	26 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	1.000	6.57	09/30/21	17.34	10.77 W	0.00	1 of 26 - Sale ²⁵
	2.000	13.14	09/30/21	34.65	21.51 W	0.00	2 of 26 - Sale ²⁵
	2.000	13.14	09/30/21	37.72	24.58 W	0.00	3 of 26 - Sale ²⁵
	2.000	13.14	09/30/21	37.81	24.67 W	0.00	4 of 26 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
	3.000	19.71	09/30/21	57.97	38.26 W	0.00	5 of 26 - Sale ²⁵
	6.000	39.42	09/30/21	104.01	64.59 W	0.00	6 of 26 - Sale ²⁵
	1.000	6.57	10/01/21	17.13	10.56 W	0.00	7 of 26 - Sale ²⁵
	1.000	6.57	10/01/21	17.55	10.98 W	0.00	8 of 26 - Sale ²⁵
	2.000	13.14	10/01/21	35.20	22.06 W	0.00	9 of 26 - Sale ²⁵
	1.000	6.57	10/04/21	16.63	10.06 W	0.00	10 of 26 - Sale ²⁵
	2.000	13.14	10/04/21	32.93	19.79 W	0.00	11 of 26 - Sale ²⁵
	2.000	13.14	10/04/21	43.65	30.51 W	0.00	12 of 26 - Sale ²⁵
	5.000	32.85	10/04/21	81.76	48.91 W	0.00	13 of 26 - Sale ²⁵
	1.000	6.57	10/05/21	16.72	10.15 W	0.00	14 of 26 - Sale ²⁵
	1.000	6.57	10/05/21	20.76	14.19 W	0.00	15 of 26 - Sale ²⁵
	1.000	6.57	10/05/21	20.85	14.28 W	0.00	16 of 26 - Sale ²⁵
	1.000	6.57	10/06/21	19.73	13.16 W	0.00	17 of 26 - Sale ²⁵
	1.000	6.57	10/06/21	19.79	13.22 W	0.00	18 of 26 - Sale ²⁵
	1.000	6.57	10/06/21	20.14	13.57 W	0.00	19 of 26 - Sale ²⁵
	2.000	13.14	10/06/21	39.18	26.04 W	0.00	20 of 26 - Sale ²⁵
	2.000	13.14	10/07/21	39.55	26.41 W	0.00	21 of 26 - Sale ²⁵
	1.000	6.57	10/08/21	19.14	12.57 W	0.00	22 of 26 - Sale ²⁵
	2.000	13.14	10/08/21	37.96	24.82 W	0.00	23 of 26 - Sale ²⁵
	5.000	32.85	10/08/21	95.75	62.90 W	0.00	24 of 26 - Sale ²⁵
	1.000	6.57	10/11/21	19.34	12.77 W	0.00	25 of 26 - Sale ²⁵
	1.000	6.57	10/12/21	19.24	12.67 W	0.00	26 of 26 - Sale ²⁵
11/01/21	50.000	328.50	Various	922.50	594.00 W	0.00	Total of 26 transactions
	11 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	2.000	12.05	10/12/21	38.48	26.43 W	0.00	1 of 11 - Sale ²⁵
	1.000	6.03	10/13/21	26.15	20.12 W	0.00	2 of 11 - Sale ²⁵
	1.000	6.03	10/13/21	28.29	22.26 W	0.00	3 of 11 - Sale ²⁵
	4.000	24.10	10/13/21	76.75	52.65 W	0.00	4 of 11 - Sale ²⁵
	1.000	6.02	10/14/21	21.47	15.45 W	0.00	5 of 11 - Sale ²⁵
	1.000	6.02	10/14/21	29.43	23.41 W	0.00	6 of 11 - Sale ²⁵
	1.000	6.03	10/14/21	21.46	15.43 W	0.00	7 of 11 - Sale ²⁵
	2.000	12.05	10/14/21	41.32	29.27 W	0.00	8 of 11 - Sale ²⁵
	2.000	12.05	10/14/21	47.48	35.43 W	0.00	9 of 11 - Sale ²⁵
	2.000	12.05	10/14/21	58.27	46.22 W	0.00	10 of 11 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
11/05/21	8.000	48.20	10/14/21	171.18	122.98 W	0.00	11 of 11 - Sale ²⁵
	25.000	150.63	Various	560.28	409.65 W	0.00	Total of 11 transactions
	27 transactions for 11/17/21. Total proceeds and cost reported to the IRS.						
	1.000	5.82	10/14/21	19.07	13.25 W	0.00	1 of 27 - Sale ²⁵
	1.000	5.82	10/14/21	19.13	13.31 W	0.00	2 of 27 - Sale ²⁵
	1.000	5.82	10/14/21	19.15	13.33 W	0.00	3 of 27 - Sale ²⁵
	2.000	11.64	10/14/21	38.98	27.34 W	0.00	4 of 27 - Sale ²⁵
	2.000	11.64	10/14/21	39.94	28.30 W	0.00	5 of 27 - Sale ²⁵
	3.000	17.46	10/14/21	57.66	40.20 W	0.00	6 of 27 - Sale ²⁵
	4.000	23.28	10/14/21	76.80	53.52 W	0.00	7 of 27 - Sale ²⁵
	4.000	23.28	10/14/21	82.63	59.35 W	0.00	8 of 27 - Sale ²⁵
	5.000	29.10	10/15/21	94.92	65.82 W	0.00	9 of 27 - Sale ²⁵
	1.000	5.82	10/18/21	19.11	13.29 W	0.00	10 of 27 - Sale ²⁵
	1.000	5.82	10/18/21	20.66	14.84 W	0.00	11 of 27 - Sale ²⁵
	2.000	11.64	10/18/21	38.25	26.61 W	0.00	12 of 27 - Sale ²⁵
	2.000	11.64	10/18/21	41.41	29.77 W	0.00	13 of 27 - Sale ²⁵
	3.000	17.46	10/18/21	63.37	45.91 W	0.00	14 of 27 - Sale ²⁵
	1.000	5.82	10/19/21	18.91	13.09 W	0.00	15 of 27 - Sale ²⁵
	1.000	5.82	10/19/21	19.32	13.50 W	0.00	16 of 27 - Sale ²⁵
	1.000	5.82	10/19/21	20.64	14.82 W	0.00	17 of 27 - Sale ²⁵
	2.000	11.64	10/19/21	38.75	27.11 W	0.00	18 of 27 - Sale ²⁵
	3.000	17.46	10/20/21	57.25	39.79 W	0.00	19 of 27 - Sale ²⁵
	1.000	5.82	10/21/21	19.21	13.39 W	0.00	20 of 27 - Sale ²⁵
	1.000	5.82	10/21/21	22.59	16.77 W	0.00	21 of 27 - Sale ²⁵
	1.000	5.82	10/21/21	22.65	16.83 W	0.00	22 of 27 - Sale ²⁵
	1.000	5.82	10/21/21	23.00	17.18 W	0.00	23 of 27 - Sale ²⁵
	1.000	5.82	10/21/21	23.62	17.80 W	0.00	24 of 27 - Sale ²⁵
	1.000	5.82	10/21/21	23.71	17.89 W	0.00	25 of 27 - Sale ²⁵
	2.000	11.64	10/21/21	44.90	33.26 W	0.00	26 of 27 - Sale ²⁵
	2.000	11.64	10/21/21	49.37	37.73 W	0.00	27 of 27 - Sale ²⁵
11/17/21	50.000	291.00	Various	1,015.00	724.00 W	0.00	Total of 27 transactions
	86 transactions for 11/22/21. Total proceeds and cost reported to the IRS.						
	1.000	5.17	10/21/21	19.21	14.04 W	0.00	1 of 86 - Sale ²⁵
	1.000	5.17	10/21/21	19.49	14.32 W	0.00	2 of 86 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
	1.000	5.17	10/21/21	19.58	14.41 W	0.00	3 of 86 - Sale ²⁵
	2.000	10.34	10/21/21	38.65	28.31 W	0.00	4 of 86 - Sale ²⁵
	1.000	5.17	10/22/21	20.06	14.89 W	0.00	5 of 86 - Sale ²⁵
	1.000	5.17	10/22/21	20.15	14.98 W	0.00	6 of 86 - Sale ²⁵
	1.000	5.17	10/22/21	20.16	14.99 W	0.00	7 of 86 - Sale ²⁵
	1.000	5.17	10/22/21	20.25	15.08 W	0.00	8 of 86 - Sale ²⁵
	1.000	5.17	10/22/21	20.35	15.18 W	0.00	9 of 86 - Sale ²⁵
	1.000	5.17	10/22/21	20.74	15.57 W	0.00	10 of 86 - Sale ²⁵
	1.000	5.17	10/22/21	27.70	22.53 W	0.00	11 of 86 - Sale ²⁵
	2.000	10.34	10/22/21	39.98	29.64 W	0.00	12 of 86 - Sale ²⁵
	2.000	10.34	10/22/21	41.59	31.25 W	0.00	13 of 86 - Sale ²⁵
	2.000	10.34	10/22/21	41.63	31.29 W	0.00	14 of 86 - Sale ²⁵
	3.000	15.52	10/22/21	60.57	45.05 W	0.00	15 of 86 - Sale ²⁵
	1.000	5.17	10/25/21	20.55	15.38 W	0.00	16 of 86 - Sale ²⁵
	1.000	5.17	10/25/21	20.59	15.42 W	0.00	17 of 86 - Sale ²⁵
	1.000	5.17	10/25/21	20.62	15.45 W	0.00	18 of 86 - Sale ²⁵
	1.000	5.17	10/25/21	20.63	15.46 W	0.00	19 of 86 - Sale ²⁵
	1.000	5.17	10/25/21	20.76	15.59 W	0.00	20 of 86 - Sale ²⁵
	1.000	5.17	10/25/21	20.99	15.82 W	0.00	21 of 86 - Sale ²⁵
	1.000	5.17	10/25/21	22.25	17.08 W	0.00	22 of 86 - Sale ²⁵
	1.000	5.17	10/25/21	22.27	17.10 W	0.00	23 of 86 - Sale ²⁵
	1.000	5.17	10/25/21	22.78	17.61 W	0.00	24 of 86 - Sale ²⁵
	1.000	5.17	10/25/21	22.80	17.63 W	0.00	25 of 86 - Sale ²⁵
	1.000	5.17	10/25/21	29.61	24.44 W	0.00	26 of 86 - Sale ²⁵
	1.000	5.17	10/25/21	30.76	25.59 W	0.00	27 of 86 - Sale ²⁵
	2.000	10.34	10/25/21	41.95	31.61 W	0.00	28 of 86 - Sale ²⁵
	2.000	10.34	10/25/21	60.92	50.58 W	0.00	29 of 86 - Sale ²⁵
	2.000	10.35	10/25/21	41.47	31.12 W	0.00	30 of 86 - Sale ²⁵
	2.000	10.35	10/25/21	42.91	32.56 W	0.00	31 of 86 - Sale ²⁵
	2.000	10.35	10/25/21	43.97	33.62 W	0.00	32 of 86 - Sale ²⁵
	2.000	10.35	10/25/21	44.63	34.28 W	0.00	33 of 86 - Sale ²⁵
	2.000	10.35	10/25/21	50.13	39.78 W	0.00	34 of 86 - Sale ²⁵
	3.000	15.52	10/25/21	61.54	46.02 W	0.00	35 of 86 - Sale ²⁵
	3.000	15.52	10/25/21	62.12	46.60 W	0.00	36 of 86 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
	3.000	15.52	10/25/21	68.20	52.68 W	0.00	37 of 86 - Sale ²⁵
	4.000	20.69	10/25/21	82.74	62.05 W	0.00	38 of 86 - Sale ²⁵
	4.000	20.69	10/25/21	88.75	68.06 W	0.00	39 of 86 - Sale ²⁵
	5.000	25.86	10/25/21	102.35	76.49 W	0.00	40 of 86 - Sale ²⁵
	8.000	41.38	10/25/21	181.78	140.40 W	0.00	41 of 86 - Sale ²⁵
	1.000	5.17	10/26/21	20.01	14.84 W	0.00	42 of 86 - Sale ²⁵
	1.000	5.17	10/26/21	20.42	15.25 W	0.00	43 of 86 - Sale ²⁵
	1.000	5.17	10/26/21	23.60	18.43 W	0.00	44 of 86 - Sale ²⁵
	1.000	5.17	10/26/21	24.02	18.85 W	0.00	45 of 86 - Sale ²⁵
	1.000	5.17	10/26/21	24.64	19.47 W	0.00	46 of 86 - Sale ²⁵
	1.000	5.17	10/26/21	24.73	19.56 W	0.00	47 of 86 - Sale ²⁵
	2.000	10.35	10/26/21	46.94	36.59 W	0.00	48 of 86 - Sale ²⁵
	3.000	15.52	10/26/21	60.31	44.79 W	0.00	49 of 86 - Sale ²⁵
	1.000	5.17	10/26/21	20.21	15.04 W	0.00	50 of 86 - Sale ²⁵
	1.000	5.17	10/26/21	23.66	18.49 W	0.00	51 of 86 - Sale ²⁵
	2.000	10.35	10/26/21	51.38	41.03 W	0.00	52 of 86 - Sale ²⁵
	5.000	25.86	10/27/21	33.25	7.39 W	0.00	53 of 86 - Sale ²⁵
	8.000	41.38	10/27/21	54.07	12.69 W	0.00	54 of 86 - Sale ²⁵
	1.000	5.17	10/28/21	6.77	1.60 W	0.00	55 of 86 - Sale ²⁵
	10.000	51.73	10/28/21	66.50	14.77 W	0.00	56 of 86 - Sale ²⁵
	3.000	15.52	10/29/21	19.68	4.16 W	0.00	57 of 86 - Sale ²⁵
	5.000	25.86	10/29/21	32.45	6.59 W	0.00	58 of 86 - Sale ²⁵
	5.000	25.86	10/29/21	32.90	7.04 W	0.00	59 of 86 - Sale ²⁵
	5.000	25.86	11/01/21	31.90	6.04 W	0.00	60 of 86 - Sale ²⁵
	5.000	25.86	11/01/21	32.75	6.89 W	0.00	61 of 86 - Sale ²⁵
	15.000	77.59	11/03/21	91.50	13.91 W	0.00	62 of 86 - Sale ²⁵
	10.000	51.73	11/04/21	60.85	9.12 W	0.00	63 of 86 - Sale ²⁵
	10.000	51.73	11/04/21	61.70	9.97 W	0.00	64 of 86 - Sale ²⁵
	25.000	129.31	11/04/21	149.25	19.94 W	0.00	65 of 86 - Sale ²⁵
	25.000	129.31	11/04/21	155.00	25.69 W	0.00	66 of 86 - Sale ²⁵
	5.000	25.86	11/05/21	30.20	4.34 W	0.00	67 of 86 - Sale ²⁵
	4.000	20.69	11/08/21	24.84	4.15 W	0.00	68 of 86 - Sale ²⁵
	5.000	25.86	11/08/21	39.15	13.29 W	0.00	69 of 86 - Sale ²⁵
	21.000	108.63	11/08/21	127.18	18.55 W	0.00	70 of 86 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
	5.000	25.86	11/09/21	35.85	9.99 W	0.00	71 of 86 - Sale ²⁵
	10.000	51.73	11/09/21	72.30	20.57 W	0.00	72 of 86 - Sale ²⁵
	25.000	129.32	11/10/21	168.50	39.18 W	0.00	73 of 86 - Sale ²⁵
	3.000	15.52	11/11/21	18.78	3.26 W	0.00	74 of 86 - Sale ²⁵
	1.000	5.17	11/12/21	6.52	1.35 W	0.00	75 of 86 - Sale ²⁵
	2.000	10.34	11/12/21	12.84	2.50 W	0.00	76 of 86 - Sale ²⁵
	1.000	5.17	11/15/21	6.67	1.50 W	0.00	77 of 86 - Sale ²⁵
	10.000	51.73	11/15/21	67.70	15.97 W	0.00	78 of 86 - Sale ²⁵
	2.000	10.35	11/16/21	12.24	1.89 W	0.00	79 of 86 - Sale ²⁵
	2.000	10.35	11/16/21	12.50	2.15 W	0.00	80 of 86 - Sale ²⁵
	5.000	25.86	11/16/21	30.55	4.69 W	0.00	81 of 86 - Sale ²⁵
	9.000	46.55	11/16/21	55.44	8.89 W	0.00	82 of 86 - Sale ²⁵
	6.000	31.04	11/17/21	33.84	2.80 W	0.00	83 of 86 - Sale ²⁵
	26.000	134.48	11/17/21	150.98	16.50 W	0.00	84 of 86 - Sale ²⁵
	3.000	15.52	11/18/21	16.53	1.01 W	0.00	85 of 86 - Sale ²⁵
	1.000	5.17	11/19/21	5.53	0.36 W	0.00	86 of 86 - Sale ²⁵
11/22/21	370.000	1,913.78	Various	3,774.81	1,861.03 W	0.00	Total of 86 transactions
	21 transactions for 11/24/21. Total proceeds and cost reported to the IRS.						
	1.000	5.33	11/22/21	19.19	13.86 W	0.00	1 of 21 - Sale ²⁵
	1.000	5.33	11/22/21	19.47	14.14 W	0.00	2 of 21 - Sale ²⁵
	1.000	5.33	11/22/21	19.56	14.23 W	0.00	3 of 21 - Sale ²⁵
	1.000	5.33	11/22/21	20.04	14.71 W	0.00	4 of 21 - Sale ²⁵
	1.000	5.33	11/22/21	20.13	14.80 W	0.00	5 of 21 - Sale ²⁵
	1.000	5.33	11/22/21	20.14	14.81 W	0.00	6 of 21 - Sale ²⁵
	1.000	5.33	11/22/21	20.23	14.90 W	0.00	7 of 21 - Sale ²⁵
	1.000	5.33	11/22/21	20.33	15.00 W	0.00	8 of 21 - Sale ²⁵
	1.000	5.33	11/22/21	20.72	15.39 W	0.00	9 of 21 - Sale ²⁵
	1.000	5.33	11/22/21	27.68	22.35 W	0.00	10 of 21 - Sale ²⁵
	1.000	5.33	11/22/21	29.59	24.26 W	0.00	11 of 21 - Sale ²⁵
	1.000	5.33	11/22/21	30.74	25.41 W	0.00	12 of 21 - Sale ²⁵
	2.000	10.66	11/22/21	38.61	27.95 W	0.00	13 of 21 - Sale ²⁵
	2.000	10.66	11/22/21	39.94	29.28 W	0.00	14 of 21 - Sale ²⁵
	2.000	10.66	11/22/21	41.55	30.89 W	0.00	15 of 21 - Sale ²⁵
	2.000	10.66	11/22/21	41.59	30.93 W	0.00	16 of 21 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
	2.000	10.66	11/22/21	45.40	34.74 W	0.00	17 of 21 - Sale ²⁵
	2.000	10.66	11/22/21	50.08	39.42 W	0.00	18 of 21 - Sale ²⁵
	2.000	10.66	11/22/21	60.88	50.22 W	0.00	19 of 21 - Sale ²⁵
	3.000	15.99	11/22/21	60.50	44.51 W	0.00	20 of 21 - Sale ²⁵
	4.000	21.32	11/22/21	88.66	67.34 W	0.00	21 of 21 - Sale ²⁵
11/24/21	33.000	175.89	Various	735.03	559.14 W	0.00	Total of 21 transactions
	6 transactions for 12/03/21. Total proceeds and cost reported to the IRS.						
	1.000	4.32	11/23/21	22.51	18.19 W	0.00	1 of 6 - Sale ²⁵
	1.000	4.31	12/01/21	21.70	17.39 W	0.00	2 of 6 - Sale ²⁵
	1.000	4.31	12/01/21	22.52	18.21 W	0.00	3 of 6 - Sale ²⁵
	1.000	4.32	12/01/21	22.44	18.12 W	0.00	4 of 6 - Sale ²⁵
	1.000	4.32	12/01/21	22.50	18.18 W	0.00	5 of 6 - Sale ²⁵
	4.000	17.26	12/01/21	89.80	72.54 W	0.00	6 of 6 - Sale ²⁵
12/03/21	9.000	38.84	Various	201.47	162.63 W	0.00	Total of 6 transactions
	25 transactions for 12/06/21. Total proceeds and cost reported to the IRS.						
	1.000	4.01	12/03/21	18.96	14.95 W	0.00	1 of 25 - Sale ²⁵
	1.000	4.01	12/03/21	19.37	15.36 W	0.00	2 of 25 - Sale ²⁵
	1.000	4.01	12/03/21	19.43	15.42 W	0.00	3 of 25 - Sale ²⁵
	1.000	4.01	12/03/21	19.47	15.46 W	0.00	4 of 25 - Sale ²⁵
	1.000	4.01	12/03/21	19.50	15.49 W	0.00	5 of 25 - Sale ²⁵
	1.000	4.01	12/03/21	19.63	15.62 W	0.00	6 of 25 - Sale ²⁵
	1.000	4.01	12/03/21	19.75	15.74 W	0.00	7 of 25 - Sale ²⁵
	1.000	4.01	12/03/21	19.87	15.86 W	0.00	8 of 25 - Sale ²⁵
	1.000	4.01	12/03/21	21.10	17.09 W	0.00	9 of 25 - Sale ²⁵
	1.000	4.01	12/03/21	21.13	17.12 W	0.00	10 of 25 - Sale ²⁵
	1.000	4.01	12/03/21	21.15	17.14 W	0.00	11 of 25 - Sale ²⁵
	1.000	4.01	12/03/21	22.97	18.96 W	0.00	12 of 25 - Sale ²⁵
	1.000	4.01	12/03/21	23.59	19.58 W	0.00	13 of 25 - Sale ²⁵
	1.000	4.01	12/03/21	23.68	19.67 W	0.00	14 of 25 - Sale ²⁵
	2.000	8.02	12/03/21	39.21	31.19 W	0.00	15 of 25 - Sale ²⁵
	2.000	8.02	12/03/21	39.70	31.68 W	0.00	16 of 25 - Sale ²⁵
	2.000	8.02	12/03/21	40.65	32.63 W	0.00	17 of 25 - Sale ²⁵
	2.000	8.02	12/03/21	42.38	34.36 W	0.00	18 of 25 - Sale ²⁵
	2.000	8.02	12/03/21	44.82	36.80 W	0.00	19 of 25 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
	3.000	12.03	12/03/21	57.13	45.10 W	0.00	20 of 25 - Sale ²⁵
	3.000	12.03	12/03/21	58.74	46.71 W	0.00	21 of 25 - Sale ²⁵
	3.000	12.03	12/03/21	58.89	46.86 W	0.00	22 of 25 - Sale ²⁵
	3.000	12.03	12/03/21	64.82	52.79 W	0.00	23 of 25 - Sale ²⁵
	4.000	16.04	12/03/21	78.24	62.20 W	0.00	24 of 25 - Sale ²⁵
	5.000	20.05	12/03/21	97.94	77.89 W	0.00	25 of 25 - Sale ²⁵
12/06/21	45.000	180.45	Various	912.12	731.67 W	0.00	Total of 25 transactions
	13 transactions for 12/07/21. Total proceeds and cost reported to the IRS.						
	1.000	4.78	12/03/21	22.52	17.74 W	0.00	1 of 13 - Sale ²⁵
	1.000	4.78	12/06/21	5.58	0.80 W	0.00	2 of 13 - Sale ²⁵
	1.000	4.78	12/06/21	19.02	14.24 W	0.00	3 of 13 - Sale ²⁵
	1.000	4.78	12/06/21	22.47	17.69 W	0.00	4 of 13 - Sale ²⁵
	2.000	9.56	12/06/21	48.99	39.43 W	0.00	5 of 13 - Sale ²⁵
	3.000	14.34	12/06/21	15.56	1.22 W	0.00	6 of 13 - Sale ²⁵
	3.000	14.34	12/06/21	16.10	1.76 W	0.00	7 of 13 - Sale ²⁵
	5.000	23.90	12/06/21	26.49	2.59 W	0.00	8 of 13 - Sale ²⁵
	5.000	23.90	12/06/21	26.79	2.89 W	0.00	9 of 13 - Sale ²⁵
	5.000	23.90	12/06/21	26.94	3.04 W	0.00	10 of 13 - Sale ²⁵
	5.000	23.90	12/06/21	27.29	3.39 W	0.00	11 of 13 - Sale ²⁵
	8.000	38.24	12/06/21	44.53	6.29 W	0.00	12 of 13 - Sale ²⁵
	10.000	47.80	12/06/21	54.57	6.77 W	0.00	13 of 13 - Sale ²⁵
12/07/21	50.000	239.00	Various	356.85	117.85 W	0.00	Total of 13 transactions
12/09/21	1.000	5.62	12/06/21	5.19	...	0.43	Sale ²⁵
	8 transactions for 12/09/21. Total proceeds and cost reported to the IRS.						
	1.000	5.62	12/08/21	6.71	1.09 W	0.00	1 of 8 - Sale ²⁵
	5.000	28.10	12/08/21	31.84	3.74 W	0.00	2 of 8 - Sale ²⁵
	8.000	44.96	12/08/21	51.06	6.10 W	0.00	3 of 8 - Sale ²⁵
	10.000	56.20	12/08/21	64.11	7.91 W	0.00	4 of 8 - Sale ²⁵
	10.000	56.20	12/08/21	64.96	8.76 W	0.00	5 of 8 - Sale ²⁵
	15.000	84.30	12/08/21	96.39	12.09 W	0.00	6 of 8 - Sale ²⁵
	25.000	140.49	12/08/21	157.41	16.92 W	0.00	7 of 8 - Sale ²⁵
	25.000	140.49	12/08/21	163.16	22.67 W	0.00	8 of 8 - Sale ²⁵
12/09/21	99.000	556.36	Various	635.64	79.28 W	0.00	Total of 8 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
27 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	1.000	4.76	12/08/21	6.38	1.62 W	0.00	1 of 27 - Sale ²⁵
	1.000	4.76	12/09/21	6.84	2.08 W	0.00	2 of 27 - Sale ²⁵
	4.000	19.04	12/09/21	25.95	6.91 W	0.00	3 of 27 - Sale ²⁵
	5.000	23.80	12/09/21	33.33	9.53 W	0.00	4 of 27 - Sale ²⁵
	5.000	23.80	12/09/21	37.24	13.44 W	0.00	5 of 27 - Sale ²⁵
	5.000	23.80	12/09/21	40.54	16.74 W	0.00	6 of 27 - Sale ²⁵
	10.000	47.60	12/09/21	75.07	27.47 W	0.00	7 of 27 - Sale ²⁵
	12.000	57.12	12/09/21	76.00	18.88 W	0.00	8 of 27 - Sale ²⁵
	14.000	66.64	12/09/21	98.24	31.60 W	0.00	9 of 27 - Sale ²⁵
	1.000	4.76	12/10/21	5.92	1.16 W	0.00	10 of 27 - Sale ²⁵
	1.000	4.76	12/10/21	6.34	1.58 W	0.00	11 of 27 - Sale ²⁵
	1.000	4.76	12/10/21	6.43	1.67 W	0.00	12 of 27 - Sale ²⁵
	2.000	9.52	12/10/21	11.84	2.32 W	0.00	13 of 27 - Sale ²⁵
	2.000	9.52	12/10/21	12.01	2.49 W	0.00	14 of 27 - Sale ²⁵
	2.000	9.52	12/10/21	12.56	3.04 W	0.00	15 of 27 - Sale ²⁵
	3.000	14.28	12/10/21	16.82	2.54 W	0.00	16 of 27 - Sale ²⁵
	3.000	14.28	12/10/21	18.35	4.07 W	0.00	17 of 27 - Sale ²⁵
	4.000	19.04	12/10/21	26.35	7.31 W	0.00	18 of 27 - Sale ²⁵
	5.000	23.80	12/10/21	27.20	3.40 W	0.00	19 of 27 - Sale ²⁵
	5.000	23.80	12/10/21	29.56	5.76 W	0.00	20 of 27 - Sale ²⁵
	5.000	23.80	12/10/21	32.99	9.19 W	0.00	21 of 27 - Sale ²⁵
	6.000	28.56	12/10/21	39.16	10.60 W	0.00	22 of 27 - Sale ²⁵
	8.000	38.07	12/10/21	47.70	9.63 W	0.00	23 of 27 - Sale ²⁵
	10.000	47.59	12/10/21	55.45	7.86 W	0.00	24 of 27 - Sale ²⁵
	3.000	14.28	12/10/21	16.33	2.05 W	0.00	25 of 27 - Sale ²⁵
	5.000	23.79	12/10/21	26.28	2.49 W	0.00	26 of 27 - Sale ²⁵
	5.000	23.80	12/10/21	27.12	3.32 W	0.00	27 of 27 - Sale ²⁵
12/13/21	128.000	609.25	Various	818.00	208.75 W	0.00	Total of 27 transactions
66 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	1.000	4.67	12/13/21	19.72	15.05 W	0.00	1 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	5.08	0.40 W	0.00	2 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	5.19	0.51 W	0.00	3 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	5.45	0.77 W	0.00	4 of 66 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
	1.000	4.68	12/13/21	18.58	13.90 W	0.00	5 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	18.86	14.18 W	0.00	6 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	18.95	14.27 W	0.00	7 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	18.96	14.28 W	0.00	8 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	19.43	14.75 W	0.00	9 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	19.52	14.84 W	0.00	10 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	19.53	14.85 W	0.00	11 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	19.62	14.94 W	0.00	12 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	19.67	14.99 W	0.00	13 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	20.08	15.40 W	0.00	14 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	20.11	15.43 W	0.00	15 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	20.14	15.46 W	0.00	16 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	20.18	15.50 W	0.00	17 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	20.21	15.53 W	0.00	18 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	20.34	15.66 W	0.00	19 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	20.34	15.66 W	0.00	20 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	20.46	15.78 W	0.00	21 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	20.58	15.90 W	0.00	22 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	21.81	17.13 W	0.00	23 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	21.84	17.16 W	0.00	24 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	21.86	17.18 W	0.00	25 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	22.11	17.43 W	0.00	26 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	22.41	17.73 W	0.00	27 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	22.46	17.78 W	0.00	28 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	22.84	18.16 W	0.00	29 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	22.90	18.22 W	0.00	30 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	22.91	18.23 W	0.00	31 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	22.93	18.25 W	0.00	32 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	23.68	19.00 W	0.00	33 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	24.30	19.62 W	0.00	34 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	24.39	19.71 W	0.00	35 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	27.07	22.39 W	0.00	36 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	28.98	24.30 W	0.00	37 of 66 - Sale ²⁵
	1.000	4.68	12/13/21	30.13	25.45 W	0.00	38 of 66 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
	2.000	9.36	12/13/21	37.39	28.03 W	0.00	39 of 66 - Sale ²⁵
	2.000	9.36	12/13/21	38.72	29.36 W	0.00	40 of 66 - Sale ²⁵
	2.000	9.36	12/13/21	40.33	30.97 W	0.00	41 of 66 - Sale ²⁵
	2.000	9.36	12/13/21	40.37	31.01 W	0.00	42 of 66 - Sale ²⁵
	2.000	9.36	12/13/21	40.63	31.27 W	0.00	43 of 66 - Sale ²⁵
	2.000	9.36	12/13/21	40.68	31.32 W	0.00	44 of 66 - Sale ²⁵
	2.000	9.36	12/13/21	41.12	31.76 W	0.00	45 of 66 - Sale ²⁵
	2.000	9.36	12/13/21	42.07	32.71 W	0.00	46 of 66 - Sale ²⁵
	2.000	9.36	12/13/21	43.80	34.44 W	0.00	47 of 66 - Sale ²⁵
	2.000	9.36	12/13/21	44.18	34.82 W	0.00	48 of 66 - Sale ²⁵
	2.000	9.36	12/13/21	46.24	36.88 W	0.00	49 of 66 - Sale ²⁵
	2.000	9.36	12/13/21	48.86	39.50 W	0.00	50 of 66 - Sale ²⁵
	2.000	9.36	12/13/21	48.87	39.51 W	0.00	51 of 66 - Sale ²⁵
	2.000	9.36	12/13/21	59.66	50.30 W	0.00	52 of 66 - Sale ²⁵
	3.000	14.04	12/13/21	15.17	1.13 W	0.00	53 of 66 - Sale ²⁵
	3.000	14.04	12/13/21	16.52	2.48 W	0.00	54 of 66 - Sale ²⁵
	3.000	14.04	12/13/21	58.67	44.63 W	0.00	55 of 66 - Sale ²⁵
	3.000	14.04	12/13/21	59.26	45.22 W	0.00	56 of 66 - Sale ²⁵
	3.000	14.04	12/13/21	60.87	46.83 W	0.00	57 of 66 - Sale ²⁵
	3.000	14.04	12/13/21	66.95	52.91 W	0.00	58 of 66 - Sale ²⁵
	4.000	18.72	12/13/21	21.03	2.31 W	0.00	59 of 66 - Sale ²⁵
	4.000	18.72	12/13/21	81.08	62.36 W	0.00	60 of 66 - Sale ²⁵
	4.000	18.72	12/13/21	86.22	67.50 W	0.00	61 of 66 - Sale ²⁵
	4.000	18.72	12/13/21	91.42	72.70 W	0.00	62 of 66 - Sale ²⁵
	5.000	23.40	12/13/21	26.89	3.49 W	0.00	63 of 66 - Sale ²⁵
	5.000	23.40	12/13/21	27.43	4.03 W	0.00	64 of 66 - Sale ²⁵
	5.000	23.40	12/13/21	101.49	78.09 W	0.00	65 of 66 - Sale ²⁵
	10.000	46.80	12/13/21	53.77	6.97 W	0.00	66 of 66 - Sale ²⁵
12/14/21	125.000	584.99	Various	2,153.31	1,568.32 W	0.00	Total of 66 transactions
3 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	1.000	4.40	12/14/21	5.12	0.72 W	0.00	1 of 3 - Sale ²⁵
	1.000	4.40	12/14/21	5.21	0.81 W	0.00	2 of 3 - Sale ²⁵
	3.000	13.21	12/14/21	15.56	2.35 W	0.00	3 of 3 - Sale ²⁵
12/15/21	5.000	22.01	Various	25.89	3.88 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
<i>18 transactions for 12/16/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.59	12/14/21	5.12	0.53 W	0.00	1 of 18 - Sale ²⁵
	1.000	4.59	12/15/21	5.80	1.21 W	0.00	2 of 18 - Sale ²⁵
	2.000	9.17	12/15/21	9.80	0.63 W	0.00	3 of 18 - Sale ²⁵
	2.000	9.17	12/15/21	10.21	1.04 W	0.00	4 of 18 - Sale ²⁵
	3.000	13.75	12/15/21	14.73	0.98 W	0.00	5 of 18 - Sale ²⁵
	3.000	13.76	12/15/21	14.63	0.87 W	0.00	6 of 18 - Sale ²⁵
	3.000	13.76	12/15/21	14.84	1.08 W	0.00	7 of 18 - Sale ²⁵
	4.000	18.34	12/15/21	19.79	1.45 W	0.00	8 of 18 - Sale ²⁵
	4.000	18.34	12/15/21	23.03	4.69 W	0.00	9 of 18 - Sale ²⁵
	10.000	45.86	12/15/21	49.91	4.05 W	0.00	10 of 18 - Sale ²⁵
	10.000	45.86	12/15/21	50.76	4.90 W	0.00	11 of 18 - Sale ²⁵
	22.000	100.88	12/15/21	107.29	6.41 W	0.00	12 of 18 - Sale ²⁵
	23.000	105.47	12/15/21	121.37	15.90 W	0.00	13 of 18 - Sale ²⁵
	1.000	4.59	12/15/21	5.46	0.87 W	0.00	14 of 18 - Sale ²⁵
	3.000	13.76	12/15/21	14.33	0.57 W	0.00	15 of 18 - Sale ²⁵
	3.000	13.76	12/15/21	14.66	0.90 W	0.00	16 of 18 - Sale ²⁵
	5.000	22.93	12/15/21	24.74	1.81 W	0.00	17 of 18 - Sale ²⁵
	15.000	68.79	12/15/21	77.64	8.85 W	0.00	18 of 18 - Sale ²⁵
12/16/21	115.000	527.37	Various	584.11	56.74 W	0.00	Total of 18 transactions
<i>11 transactions for 12/17/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.25	12/15/21	4.95	0.70 W	0.00	1 of 11 - Sale ²⁵
	1.000	4.25	12/16/21	6.21	1.96 W	0.00	2 of 11 - Sale ²⁵
	1.000	4.25	12/16/21	6.38	2.13 W	0.00	3 of 11 - Sale ²⁵
	2.000	8.50	12/16/21	14.09	5.59 W	0.00	4 of 11 - Sale ²⁵
	3.000	12.75	12/16/21	17.62	4.87 W	0.00	5 of 11 - Sale ²⁵
	4.000	17.00	12/16/21	24.11	7.11 W	0.00	6 of 11 - Sale ²⁵
	5.000	21.25	12/16/21	30.37	9.12 W	0.00	7 of 11 - Sale ²⁵
	5.000	21.25	12/16/21	34.94	13.69 W	0.00	8 of 11 - Sale ²⁵
	5.000	21.25	12/16/21	38.24	16.99 W	0.00	9 of 11 - Sale ²⁵
	8.000	34.00	12/16/21	56.38	22.38 W	0.00	10 of 11 - Sale ²⁵
	14.000	59.50	12/16/21	91.80	32.30 W	0.00	11 of 11 - Sale ²⁵
12/17/21	49.000	208.25	Various	325.09	116.84 W	0.00	Total of 11 transactions
12/20/21	1.000	4.40	12/16/21	6.20	1.80 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
6 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	6.000	25.86	12/30/21	35.19	...	-9.33	1 of 6 - Sale ²⁵
	2.492	10.74	12/30/21	13.21	...	-2.47	2 of 6 - Sale ²⁵
	2.000	8.62	12/30/21	10.69	...	-2.07	3 of 6 - Sale ²⁵
	1.000	4.31	12/30/21	5.77	...	-1.46	4 of 6 - Sale ²⁵
	1.000	4.31	12/30/21	5.26	...	-0.95	5 of 6 - Sale ²⁵
	0.493	2.12	12/30/21	2.59	...	-0.47	6 of 6 - Sale ²⁵
12/31/21	12.984	55.96	Various	72.71	...	-16.75	Total of 6 transactions
6 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	4.000	17.24	12/30/21	23.71	0.84 W	-5.63	1 of 6 - Sale ²⁵
	1.000	4.31	12/30/21	5.68	1.37 W	0.00	2 of 6 - Sale ²⁵
	2.000	8.62	12/30/21	11.24	2.62 W	0.00	3 of 6 - Sale ²⁵
	3.000	12.93	12/30/21	16.37	3.44 W	0.00	4 of 6 - Sale ²⁵
	3.000	12.93	12/30/21	18.02	5.09 W	0.00	5 of 6 - Sale ²⁵
	5.000	21.55	12/30/21	29.69	8.14 W	0.00	6 of 6 - Sale ²⁵
12/31/21	18.000	77.58	Various	104.71	21.50 W	-5.63	Total of 6 transactions
	Security total:	9,527.06		18,863.38	9,448.99 W	112.67	
AMERICAN VIRTUAL CLOUD TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 030382105 / Symbol:							
3 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	1.000	2.29	12/09/21	1.74	...	0.55	1 of 3 - Sale ²⁵
	2.000	4.58	12/09/21	3.20	...	1.38	2 of 3 - Sale ²⁵
	100.000	228.99	12/09/21	170.00	...	58.99	3 of 3 - Sale ²⁵
12/10/21	103.000	235.86	Various	174.94	...	60.92	Total of 3 transactions
12/22/21	100.000	210.49	12/21/21	165.00	...	45.49	Sale ²⁵
5 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	2.000	4.36	12/27/21	4.76	0.40 W	0.00	1 of 5 - Sale ²⁵
	25.000	54.50	12/27/21	56.50	2.00 W	0.00	2 of 5 - Sale ²⁵
	250.000	544.96	12/27/21	627.50	82.54 W	0.00	3 of 5 - Sale ²⁵
	10.000	21.80	12/28/21	24.10	2.30 W	0.00	4 of 5 - Sale ²⁵
	20.000	43.59	12/28/21	46.50	2.91 W	0.00	5 of 5 - Sale ²⁵
12/29/21	307.000	669.21	Various	759.36	90.15 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN VIRTUAL CLOUD TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 030382105 / Symbol: (cont'd)							
5 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	25.000	54.99	12/29/21	57.96	...	-2.97	1 of 5 - Sale ²⁵
	4.413	9.71	12/29/21	10.52	...	-0.81	2 of 5 - Sale ²⁵
	2.000	4.40	12/29/21	4.88	...	-0.48	3 of 5 - Sale ²⁵
	4.785	10.53	12/30/21	10.70	...	-0.17	4 of 5 - Sale ²⁵
	1.802	3.96	12/30/21	4.07	...	-0.11	5 of 5 - Sale ²⁵
12/31/21	38.000	83.59	Various	88.13	...	-4.54	Total of 5 transactions
3 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	17.000	37.40	12/29/21	43.67	5.67 W	-0.60	1 of 3 - Sale ²⁵
	100.000	219.99	12/29/21	256.89	36.90 W	0.00	2 of 3 - Sale ²⁵
	133.000	292.58	12/29/21	341.66	49.08 W	0.00	3 of 3 - Sale ²⁵
12/31/21	250.000	549.97	Various	642.22	91.65 W	-0.60	Total of 3 transactions
	Security total:	1,749.12		1,829.65	181.80 W	101.27	
ANAVEX LIFE SCIENCES CORP. COMMON STOCK / CUSIP: 032797300 / Symbol:							
2 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	1.000	20.00	06/14/21	20.77	...	-0.77	1 of 2 - Sale ²⁵
	1.000	20.00	06/15/21	17.74	...	2.26	2 of 2 - Sale ²⁵
06/16/21	2.000	40.00	Various	38.51	...	1.49	Total of 2 transactions
APTEVO THERAPEUTICS INC. COMMON STOCK / CUSIP: 03835L207 / Symbol:							
4 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	7.000	58.10	11/23/21	115.50	57.40 W	0.00	1 of 4 - Sale ²⁵
	2.000	16.60	11/24/21	24.60	8.00 W	0.00	2 of 4 - Sale ²⁵
	5.000	41.50	11/24/21	61.33	19.83 W	0.00	3 of 4 - Sale ²⁵
	1.000	8.30	11/29/21	11.41	3.11 W	0.00	4 of 4 - Sale ²⁵
12/01/21	15.000	124.50	Various	212.84	88.34 W	0.00	Total of 4 transactions
4 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	1.000	7.71	12/01/21	11.36	3.65 W	0.00	1 of 4 - Sale ²⁵
	2.000	15.42	12/01/21	24.50	9.08 W	0.00	2 of 4 - Sale ²⁵
	5.000	38.55	12/01/21	61.08	22.53 W	0.00	3 of 4 - Sale ²⁵
	7.000	53.97	12/01/21	115.15	61.18 W	0.00	4 of 4 - Sale ²⁵
12/03/21	15.000	115.65	Various	212.09	96.44 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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APTEVO THERAPEUTICS INC. COMMO N STOCK / CUSIP: 03835L207 / Symbol: (cont'd)							
4 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	1.000	6.92	12/03/21	11.30	4.38 W	0.00	1 of 4 - Sale ²⁵
	2.000	13.84	12/03/21	24.38	10.54 W	0.00	2 of 4 - Sale ²⁵
	5.000	34.60	12/03/21	60.78	26.18 W	0.00	3 of 4 - Sale ²⁵
	7.000	48.44	12/03/21	114.73	66.29 W	0.00	4 of 4 - Sale ²⁵
12/06/21	15.000	103.80	Various	211.19	107.39 W	0.00	Total of 4 transactions
5 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	7.000	57.88	12/06/21	113.89	...	-56.01	1 of 5 - Sale ²⁵
	5.000	41.34	12/06/21	60.18	...	-18.84	2 of 5 - Sale ²⁵
	2.000	16.54	12/06/21	24.14	...	-7.60	3 of 5 - Sale ²⁵
	1.000	8.27	12/06/21	11.18	...	-2.91	4 of 5 - Sale ²⁵
	1.000	8.54	12/06/21	6.80	...	1.74	5 of 5 - Sale ²⁵
12/07/21	16.000	132.57	Various	216.19	...	-83.62	Total of 5 transactions
	Security total:	476.52		852.31	292.17 W	-83.62	
APTOSE BIOSCIENCES, INC. COMMO N SHARES / CUSIP: 03835T200 / Symbol:							
15 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	1.000	2.52	03/24/21	6.35	3.83 W	0.00	1 of 15 - Sale ²⁵
	1.000	2.52	03/26/21	5.73	3.21 W	0.00	2 of 15 - Sale ²⁵
	1.000	2.52	04/22/21	4.84	2.32 W	0.00	3 of 15 - Sale ²⁵
	2.000	5.05	06/03/21	10.87	5.82 W	0.00	4 of 15 - Sale ²⁵
	5.000	12.62	06/11/21	19.29	6.67 W	0.00	5 of 15 - Sale ²⁵
	5.000	12.62	06/11/21	19.48	6.86 W	0.00	6 of 15 - Sale ²⁵
	10.000	25.23	06/11/21	38.49	13.26 W	0.00	7 of 15 - Sale ²⁵
	10.000	25.23	06/11/21	38.49	13.26 W	0.00	8 of 15 - Sale ²⁵
	25.000	63.09	06/11/21	96.38	33.29 W	0.00	9 of 15 - Sale ²⁵
	25.000	63.09	06/14/21	95.98	32.89 W	0.00	10 of 15 - Sale ²⁵
	2.000	5.05	06/15/21	7.42	2.37 W	0.00	11 of 15 - Sale ²⁵
	3.000	7.57	06/15/21	10.77	3.20 W	0.00	12 of 15 - Sale ²⁵
	1.000	2.52	06/16/21	3.51	0.99 W	0.00	13 of 15 - Sale ²⁵
	3.000	7.57	06/25/21	10.14	2.57 W	0.00	14 of 15 - Sale ²⁵
	6.000	15.14	06/28/21	20.27	5.13 W	0.00	15 of 15 - Sale ²⁵
09/24/21	100.000	252.34	Various	388.01	135.67 W	0.00	Total of 15 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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APTOSE BIOSCIENCES, INC. COMMO N SHARES / CUSIP: 03835T200 / Symbol: (cont'd)							
6 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	4.000	9.69	06/28/21	13.51	3.82 W	0.00	1 of 6 - Sale ²⁵
	1.000	2.42	06/29/21	3.36	0.94 W	0.00	2 of 6 - Sale ²⁵
	10.000	24.22	08/11/21	26.58	2.36 W	0.00	3 of 6 - Sale ²⁵
	25.000	60.55	08/16/21	64.69	4.14 W	0.00	4 of 6 - Sale ²⁵
	20.000	48.44	08/18/21	49.70	1.26 W	0.00	5 of 6 - Sale ²⁵
	24.000	58.13	08/23/21	63.29	5.16 W	0.00	6 of 6 - Sale ²⁵
09/28/21	84.000	203.45	Various	221.13	17.68 W	0.00	Total of 6 transactions
43 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	16.000	38.09	08/23/21	42.20	4.11 W	0.00	1 of 43 - Sale ²⁵
	1.000	2.38	08/25/21	5.22	2.84 W	0.00	2 of 43 - Sale ²⁵
	1.000	2.38	08/25/21	5.72	3.34 W	0.00	3 of 43 - Sale ²⁵
	1.000	2.38	08/25/21	6.11	3.73 W	0.00	4 of 43 - Sale ²⁵
	1.000	2.38	08/25/21	6.73	4.35 W	0.00	5 of 43 - Sale ²⁵
	1.000	2.38	08/27/21	5.84	3.46 W	0.00	6 of 43 - Sale ²⁵
	3.000	7.14	08/27/21	13.15	6.01 W	0.00	7 of 43 - Sale ²⁵
	2.000	4.76	08/31/21	8.66	3.90 W	0.00	8 of 43 - Sale ²⁵
	10.000	23.81	08/31/21	42.84	19.03 W	0.00	9 of 43 - Sale ²⁵
	18.000	42.70	08/31/21	77.20	34.50 W	0.00	10 of 43 - Sale ²⁵
	1.000	2.37	09/01/21	3.92	1.55 W	0.00	11 of 43 - Sale ²⁵
	1.000	2.37	09/01/21	3.97	1.60 W	0.00	12 of 43 - Sale ²⁵
	2.000	4.74	09/01/21	8.33	3.59 W	0.00	13 of 43 - Sale ²⁵
	3.000	7.12	09/01/21	11.51	4.39 W	0.00	14 of 43 - Sale ²⁵
	3.000	7.12	09/01/21	12.14	5.02 W	0.00	15 of 43 - Sale ²⁵
	4.000	9.49	09/01/21	15.74	6.25 W	0.00	16 of 43 - Sale ²⁵
	5.000	11.86	09/01/21	15.73	3.87 W	0.00	17 of 43 - Sale ²⁵
	5.000	11.86	09/01/21	21.57	9.71 W	0.00	18 of 43 - Sale ²⁵
	6.000	14.23	09/01/21	23.01	8.78 W	0.00	19 of 43 - Sale ²⁵
	7.000	16.60	09/01/21	30.18	13.58 W	0.00	20 of 43 - Sale ²⁵
	10.000	23.72	09/01/21	43.06	19.34 W	0.00	21 of 43 - Sale ²⁵
	10.000	23.73	09/01/21	32.16	8.43 W	0.00	22 of 43 - Sale ²⁵
	18.000	41.63	09/01/21	56.62	14.99 W	0.00	23 of 43 - Sale ²⁵
	25.000	59.30	09/01/21	107.39	48.09 W	0.00	24 of 43 - Sale ²⁵
	1.000	2.31	09/03/21	3.13	0.82 W	0.00	25 of 43 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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APTOSE BIOSCIENCES, INC. COMMO N SHARES / CUSIP: 03835T200 / Symbol: (cont'd)							
	2.000	4.63	09/03/21	6.46	1.83 W	0.00	26 of 43 - Sale ²⁵
	6.000	13.88	09/08/21	18.44	4.56 W	0.00	27 of 43 - Sale ²⁵
	19.000	43.95	09/08/21	55.52	11.57 W	0.00	28 of 43 - Sale ²⁵
	5.000	11.56	09/10/21	15.66	4.10 W	0.00	29 of 43 - Sale ²⁵
	10.000	23.13	09/10/21	31.32	8.19 W	0.00	30 of 43 - Sale ²⁵
	1.000	2.31	09/13/21	4.82	2.51 W	0.00	31 of 43 - Sale ²⁵
	1.000	2.31	09/13/21	4.86	2.55 W	0.00	32 of 43 - Sale ²⁵
	1.000	2.31	09/13/21	5.67	3.36 W	0.00	33 of 43 - Sale ²⁵
	1.000	2.31	09/13/21	6.16	3.85 W	0.00	34 of 43 - Sale ²⁵
	1.000	2.31	09/13/21	6.29	3.98 W	0.00	35 of 43 - Sale ²⁵
	1.000	2.31	09/13/21	6.56	4.25 W	0.00	36 of 43 - Sale ²⁵
	1.000	2.31	09/13/21	7.18	4.87 W	0.00	37 of 43 - Sale ²⁵
	3.000	6.94	09/13/21	9.12	2.18 W	0.00	38 of 43 - Sale ²⁵
	16.000	37.02	09/13/21	49.34	12.32 W	0.00	39 of 43 - Sale ²⁵
	1.000	2.31	09/14/21	4.61	2.30 W	0.00	40 of 43 - Sale ²⁵
	1.000	2.31	09/14/21	4.71	2.40 W	0.00	41 of 43 - Sale ²⁵
	2.000	4.63	09/14/21	9.31	4.68 W	0.00	42 of 43 - Sale ²⁵
	9.000	20.83	09/16/21	41.34	20.51 W	0.00	43 of 43 - Sale ²⁵
09/29/21	236.000	554.21	Various	889.50	335.29 W	0.00	Total of 43 transactions
	4 transactions for 10/01/21. Total proceeds and cost reported to the IRS.						
	6.000	13.45	09/16/21	27.64	14.19 W	0.00	1 of 4 - Sale ²⁵
	1.000	2.24	09/22/21	4.54	2.30 W	0.00	2 of 4 - Sale ²⁵
	2.000	4.48	09/24/21	8.80	4.32 W	0.00	3 of 4 - Sale ²⁵
	7.000	15.68	09/27/21	31.04	15.36 W	0.00	4 of 4 - Sale ²⁵
10/01/21	16.000	35.85	Various	72.02	36.17 W	0.00	Total of 4 transactions
	4 transactions for 10/05/21. Total proceeds and cost reported to the IRS.						
	2.000	4.52	09/27/21	8.87	4.35 W	0.00	1 of 4 - Sale ²⁵
	3.000	6.78	09/27/21	13.35	6.57 W	0.00	2 of 4 - Sale ²⁵
	5.000	11.31	09/27/21	22.30	10.99 W	0.00	3 of 4 - Sale ²⁵
	7.000	15.83	09/27/21	31.20	15.37 W	0.00	4 of 4 - Sale ²⁵
10/05/21	17.000	38.44	Various	75.72	37.28 W	0.00	Total of 4 transactions

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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APTOSE BIOSCIENCES, INC. COMMO N SHARES / CUSIP: 03835T200 / Symbol: (cont'd)							
2 transactions for 10/06/21. Total proceeds and cost reported to the IRS.							
	7.000	16.20	09/27/21	31.16	14.96 W	0.00	1 of 2 - Sale ²⁵
	18.000	41.68	09/27/21	79.94	38.26 W	0.00	2 of 2 - Sale ²⁵
10/06/21	25.000	57.88	Various	111.10	53.22 W	0.00	Total of 2 transactions
11 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	1.000	2.17	09/27/21	4.07	1.90 W	0.00	1 of 11 - Sale ²⁵
	1.000	2.17	09/27/21	4.12	1.95 W	0.00	2 of 11 - Sale ²⁵
	2.000	4.34	09/27/21	8.63	4.29 W	0.00	3 of 11 - Sale ²⁵
	3.000	6.52	09/27/21	11.94	5.42 W	0.00	4 of 11 - Sale ²⁵
	3.000	6.52	09/27/21	12.57	6.05 W	0.00	5 of 11 - Sale ²⁵
	4.000	8.69	09/27/21	16.32	7.63 W	0.00	6 of 11 - Sale ²⁵
	5.000	10.86	09/27/21	16.46	5.60 W	0.00	7 of 11 - Sale ²⁵
	6.000	13.04	09/27/21	23.88	10.84 W	0.00	8 of 11 - Sale ²⁵
	7.000	15.21	09/27/21	31.09	15.88 W	0.00	9 of 11 - Sale ²⁵
	10.000	21.72	09/27/21	33.61	11.89 W	0.00	10 of 11 - Sale ²⁵
	17.000	36.94	09/27/21	56.95	20.01 W	0.00	11 of 11 - Sale ²⁵
10/11/21	59.000	128.18	Various	219.64	91.46 W	0.00	Total of 11 transactions
45 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	1.000	2.15	09/27/21	3.34	1.19 W	0.00	1 of 45 - Sale ²⁵
	1.000	2.15	09/27/21	3.35	1.20 W	0.00	2 of 45 - Sale ²⁵
	1.000	2.15	09/27/21	5.86	3.71 W	0.00	3 of 45 - Sale ²⁵
	1.000	2.15	09/27/21	6.75	4.60 W	0.00	4 of 45 - Sale ²⁵
	1.000	2.15	09/27/21	7.37	5.22 W	0.00	5 of 45 - Sale ²⁵
	2.000	4.30	09/27/21	6.87	2.57 W	0.00	6 of 45 - Sale ²⁵
	3.000	6.45	09/27/21	9.90	3.45 W	0.00	7 of 45 - Sale ²⁵
	5.000	10.75	09/27/21	16.68	5.93 W	0.00	8 of 45 - Sale ²⁵
	6.000	12.90	09/27/21	18.75	5.85 W	0.00	9 of 45 - Sale ²⁵
	6.000	12.90	09/27/21	19.66	6.76 W	0.00	10 of 45 - Sale ²⁵
	7.000	15.05	09/27/21	23.08	8.03 W	0.00	11 of 45 - Sale ²⁵
	13.000	27.95	09/27/21	40.64	12.69 W	0.00	12 of 45 - Sale ²⁵
	1.000	2.15	09/28/21	4.73	2.58 W	0.00	13 of 45 - Sale ²⁵
	1.000	2.15	09/28/21	4.76	2.61 W	0.00	14 of 45 - Sale ²⁵
	1.000	2.15	09/28/21	4.76	2.61 W	0.00	15 of 45 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APTOSE BIOSCIENCES, INC. COMMO N SHARES / CUSIP: 03835T200 / Symbol: (cont'd)							
	1.000	2.15	09/28/21	4.82	2.67 W	0.00	16 of 45 - Sale ²⁵
	1.000	2.15	09/28/21	4.93	2.78 W	0.00	17 of 45 - Sale ²⁵
	1.000	2.15	09/28/21	4.97	2.82 W	0.00	18 of 45 - Sale ²⁵
	1.000	2.15	09/28/21	6.27	4.12 W	0.00	19 of 45 - Sale ²⁵
	1.000	2.15	09/28/21	6.40	4.25 W	0.00	20 of 45 - Sale ²⁵
	3.000	6.46	09/28/21	9.44	2.98 W	0.00	21 of 45 - Sale ²⁵
	9.000	19.38	09/28/21	42.38	23.00 W	0.00	22 of 45 - Sale ²⁵
	16.000	34.45	09/28/21	51.04	16.59 W	0.00	23 of 45 - Sale ²⁵
	1.000	2.15	09/29/21	4.47	2.32 W	0.00	24 of 45 - Sale ²⁵
	1.000	2.15	09/29/21	4.50	2.35 W	0.00	25 of 45 - Sale ²⁵
	1.000	2.15	09/29/21	4.63	2.48 W	0.00	26 of 45 - Sale ²⁵
	1.000	2.15	09/29/21	4.69	2.54 W	0.00	27 of 45 - Sale ²⁵
	2.000	4.31	09/29/21	8.95	4.64 W	0.00	28 of 45 - Sale ²⁵
	3.000	6.46	09/29/21	13.51	7.05 W	0.00	29 of 45 - Sale ²⁵
	5.000	10.77	09/29/21	23.73	12.96 W	0.00	30 of 45 - Sale ²⁵
	2.000	4.31	09/30/21	8.96	4.65 W	0.00	31 of 45 - Sale ²⁵
	1.000	2.15	10/01/21	4.45	2.30 W	0.00	32 of 45 - Sale ²⁵
	2.000	4.31	10/01/21	8.86	4.55 W	0.00	33 of 45 - Sale ²⁵
	5.000	10.76	10/01/21	22.27	11.51 W	0.00	34 of 45 - Sale ²⁵
	7.000	15.07	10/01/21	31.17	16.10 W	0.00	35 of 45 - Sale ²⁵
	2.000	4.31	10/04/21	8.90	4.59 W	0.00	36 of 45 - Sale ²⁵
	2.000	4.31	10/05/21	8.66	4.35 W	0.00	37 of 45 - Sale ²⁵
	5.000	10.76	10/07/21	22.23	11.47 W	0.00	38 of 45 - Sale ²⁵
	5.000	10.77	10/07/21	22.17	11.40 W	0.00	39 of 45 - Sale ²⁵
	10.000	21.53	10/07/21	44.33	22.80 W	0.00	40 of 45 - Sale ²⁵
	1.000	2.15	10/08/21	4.32	2.17 W	0.00	41 of 45 - Sale ²⁵
	2.000	4.30	10/08/21	8.67	4.37 W	0.00	42 of 45 - Sale ²⁵
	2.000	4.31	10/08/21	8.41	4.10 W	0.00	43 of 45 - Sale ²⁵
	2.000	4.31	10/08/21	8.77	4.46 W	0.00	44 of 45 - Sale ²⁵
	7.000	15.07	10/08/21	31.20	16.13 W	0.00	45 of 45 - Sale ²⁵
10/20/21	152.000	327.10	Various	614.60	287.50 W	0.00	Total of 45 transactions
	45 transactions for 10/22/21. Total proceeds and cost reported to the IRS.						
	1.000	2.19	10/08/21	4.08	1.89 W	0.00	1 of 45 - Sale ²⁵
	1.000	2.19	10/08/21	4.13	1.94 W	0.00	2 of 45 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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APTOSE BIOSCIENCES, INC. COMMO N SHARES / CUSIP: 03835T200 / Symbol: (cont'd)							
	1.000	2.19	10/08/21	4.20	2.01 W	0.00	3 of 45 - Sale ²⁵
	3.000	6.57	10/08/21	11.98	5.41 W	0.00	4 of 45 - Sale ²⁵
	4.000	8.76	10/08/21	16.37	7.61 W	0.00	5 of 45 - Sale ²⁵
	5.000	10.95	10/08/21	16.52	5.57 W	0.00	6 of 45 - Sale ²⁵
	6.000	13.14	10/08/21	23.95	10.81 W	0.00	7 of 45 - Sale ²⁵
	10.000	21.90	10/08/21	33.74	11.84 W	0.00	8 of 45 - Sale ²⁵
	17.000	37.23	10/08/21	57.16	19.93 W	0.00	9 of 45 - Sale ²⁵
	1.000	2.19	10/14/21	3.46	1.27 W	0.00	10 of 45 - Sale ²⁵
	1.000	2.19	10/14/21	3.47	1.28 W	0.00	11 of 45 - Sale ²⁵
	1.000	2.19	10/14/21	5.05	2.86 W	0.00	12 of 45 - Sale ²⁵
	1.000	2.19	10/14/21	5.98	3.79 W	0.00	13 of 45 - Sale ²⁵
	1.000	2.19	10/14/21	6.39	4.20 W	0.00	14 of 45 - Sale ²⁵
	1.000	2.19	10/14/21	6.52	4.33 W	0.00	15 of 45 - Sale ²⁵
	1.000	2.19	10/14/21	6.87	4.68 W	0.00	16 of 45 - Sale ²⁵
	1.000	2.19	10/14/21	7.49	5.30 W	0.00	17 of 45 - Sale ²⁵
	2.000	4.38	10/14/21	7.11	2.73 W	0.00	18 of 45 - Sale ²⁵
	3.000	6.57	10/14/21	10.26	3.69 W	0.00	19 of 45 - Sale ²⁵
	5.000	10.95	10/14/21	17.28	6.33 W	0.00	20 of 45 - Sale ²⁵
	6.000	13.14	10/14/21	19.47	6.33 W	0.00	21 of 45 - Sale ²⁵
	6.000	13.14	10/14/21	20.38	7.24 W	0.00	22 of 45 - Sale ²⁵
	7.000	15.33	10/14/21	23.91	8.58 W	0.00	23 of 45 - Sale ²⁵
	13.000	28.47	10/14/21	42.19	13.72 W	0.00	24 of 45 - Sale ²⁵
	1.000	2.19	10/15/21	3.37	1.18 W	0.00	25 of 45 - Sale ²⁵
	1.000	2.19	10/15/21	4.94	2.75 W	0.00	26 of 45 - Sale ²⁵
	1.000	2.19	10/15/21	4.96	2.77 W	0.00	27 of 45 - Sale ²⁵
	1.000	2.19	10/15/21	4.99	2.80 W	0.00	28 of 45 - Sale ²⁵
	1.000	2.19	10/15/21	4.99	2.80 W	0.00	29 of 45 - Sale ²⁵
	1.000	2.19	10/15/21	5.05	2.86 W	0.00	30 of 45 - Sale ²⁵
	1.000	2.19	10/15/21	5.20	3.01 W	0.00	31 of 45 - Sale ²⁵
	2.000	4.38	10/15/21	6.75	2.37 W	0.00	32 of 45 - Sale ²⁵
	16.000	35.03	10/15/21	54.64	19.61 W	0.00	33 of 45 - Sale ²⁵
	1.000	2.19	10/18/21	4.54	2.35 W	0.00	34 of 45 - Sale ²⁵
	1.000	2.19	10/18/21	4.54	2.35 W	0.00	35 of 45 - Sale ²⁵
	1.000	2.19	10/18/21	4.57	2.38 W	0.00	36 of 45 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APTOSE BIOSCIENCES, INC. COMMO N SHARES / CUSIP: 03835T200 / Symbol: (cont'd)							
	1.000	2.19	10/18/21	4.70	2.51 W	0.00	37 of 45 - Sale ²⁵
	1.000	2.19	10/18/21	4.76	2.57 W	0.00	38 of 45 - Sale ²⁵
	2.000	4.38	10/18/21	9.07	4.69 W	0.00	39 of 45 - Sale ²⁵
	3.000	6.57	10/18/21	13.70	7.13 W	0.00	40 of 45 - Sale ²⁵
	3.000	6.57	10/18/21	14.39	7.82 W	0.00	41 of 45 - Sale ²⁵
	5.000	10.95	10/18/21	23.86	12.91 W	0.00	42 of 45 - Sale ²⁵
	5.000	10.95	10/18/21	24.04	13.09 W	0.00	43 of 45 - Sale ²⁵
	2.000	4.38	10/19/21	9.03	4.65 W	0.00	44 of 45 - Sale ²⁵
	4.000	8.75	10/19/21	18.15	9.40 W	0.00	45 of 45 - Sale ²⁵
10/22/21	152.000	332.86	Various	588.20	255.34 W	0.00	Total of 45 transactions
29 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	2.000	4.19	10/19/21	8.93	...	-4.74	1 of 29 - Sale ²⁵
	9.000	18.56	10/20/21	29.82	...	-11.26	2 of 29 - Sale ²⁵
	6.000	12.37	10/20/21	23.59	...	-11.22	3 of 29 - Sale ²⁵
	4.000	8.25	10/20/21	16.13	...	-7.88	4 of 29 - Sale ²⁵
	3.000	6.19	10/20/21	11.80	...	-5.61	5 of 29 - Sale ²⁵
	2.000	4.18	10/20/21	8.41	...	-4.23	6 of 29 - Sale ²⁵
	2.000	4.13	10/20/21	6.53	...	-2.40	7 of 29 - Sale ²⁵
	1.000	2.09	10/20/21	4.34	...	-2.25	8 of 29 - Sale ²⁵
	1.000	2.09	10/20/21	4.33	...	-2.24	9 of 29 - Sale ²⁵
	1.000	2.10	10/20/21	4.14	...	-2.04	10 of 29 - Sale ²⁵
	1.000	2.09	10/20/21	4.07	...	-1.98	11 of 29 - Sale ²⁵
	1.000	2.06	10/20/21	4.02	...	-1.96	12 of 29 - Sale ²⁵
	1.000	2.06	10/20/21	3.33	...	-1.27	13 of 29 - Sale ²⁵
	1.000	2.06	10/20/21	3.29	...	-1.23	14 of 29 - Sale ²⁵
	14.000	28.88	10/21/21	47.33	...	-18.45	15 of 29 - Sale ²⁵
	1.000	2.06	10/21/21	7.51	...	-5.45	16 of 29 - Sale ²⁵
	1.000	2.06	10/21/21	6.89	...	-4.83	17 of 29 - Sale ²⁵
	3.000	6.19	10/21/21	10.15	...	-3.96	18 of 29 - Sale ²⁵
	2.000	4.13	10/21/21	6.65	...	-2.52	19 of 29 - Sale ²⁵
	1.000	2.06	10/22/21	6.51	...	-4.45	20 of 29 - Sale ²⁵
	1.000	2.06	10/22/21	6.37	...	-4.31	21 of 29 - Sale ²⁵
	1.000	2.06	10/22/21	5.94	...	-3.88	22 of 29 - Sale ²⁵
	1.000	2.06	10/22/21	5.06	...	-3.00	23 of 29 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 643746050
2021 1099-B* OMB No. 1545-0715	(continued)	02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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APTOSE BIOSCIENCES, INC. COMMO N SHARES / CUSIP: 03835T200 / Symbol: (cont'd)							
	2.000	4.13	10/22/21	7.13	...	-3.00	24 of 29 - Sale ²⁵
	2.000	4.13	10/22/21	6.47	...	-2.34	25 of 29 - Sale ²⁵
	1.000	2.06	10/22/21	3.48	...	-1.42	26 of 29 - Sale ²⁵
	1.000	2.06	10/22/21	3.47	...	-1.41	27 of 29 - Sale ²⁵
	11.000	22.69	10/25/21	36.68	...	-13.99	28 of 29 - Sale ²⁵
	1.000	2.06	10/25/21	3.33	...	-1.27	29 of 29 - Sale ²⁵
10/27/21	78.000	161.11	Various	295.70	...	-134.59	Total of 29 transactions
	11 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	10.000	20.93	10/19/21	45.17	19.39 W	-4.85	1 of 11 - Sale ²⁵
	1.000	2.09	10/19/21	4.43	2.34 W	0.00	2 of 11 - Sale ²⁵
	1.000	2.09	10/19/21	4.54	2.45 W	0.00	3 of 11 - Sale ²⁵
	1.000	2.09	10/19/21	4.56	2.47 W	0.00	4 of 11 - Sale ²⁵
	2.000	4.19	10/19/21	8.83	4.64 W	0.00	5 of 11 - Sale ²⁵
	2.000	4.19	10/19/21	9.07	4.88 W	0.00	6 of 11 - Sale ²⁵
	3.000	6.28	10/19/21	13.62	7.34 W	0.00	7 of 11 - Sale ²⁵
	5.000	10.47	10/19/21	22.59	12.12 W	0.00	8 of 11 - Sale ²⁵
	5.000	10.47	10/19/21	22.66	12.19 W	0.00	9 of 11 - Sale ²⁵
	5.000	10.47	10/19/21	22.70	12.23 W	0.00	10 of 11 - Sale ²⁵
	7.000	14.65	10/19/21	31.79	17.14 W	0.00	11 of 11 - Sale ²⁵
10/27/21	42.000	87.92	Various	189.96	97.19 W	-4.85	Total of 11 transactions
	26 transactions for 10/28/21. Total proceeds and cost reported to the IRS.						
	5.000	10.65	10/25/21	24.14	...	-13.49	1 of 26 - Sale ²⁵
	9.000	18.99	10/25/21	31.81	...	-12.82	2 of 26 - Sale ²⁵
	5.000	10.65	10/25/21	23.46	...	-12.81	3 of 26 - Sale ²⁵
	4.000	8.44	10/25/21	19.46	...	-11.02	4 of 26 - Sale ²⁵
	7.000	14.77	10/25/21	24.74	...	-9.97	5 of 26 - Sale ²⁵
	6.000	12.73	10/25/21	20.91	...	-8.18	6 of 26 - Sale ²⁵
	3.000	6.39	10/25/21	14.56	...	-8.17	7 of 26 - Sale ²⁵
	3.000	6.39	10/25/21	14.08	...	-7.69	8 of 26 - Sale ²⁵
	5.000	10.61	10/25/21	16.67	...	-6.06	9 of 26 - Sale ²⁵
	2.000	4.26	10/25/21	9.18	...	-4.92	10 of 26 - Sale ²⁵
	2.000	4.26	10/25/21	9.14	...	-4.88	11 of 26 - Sale ²⁵
	3.000	6.37	10/25/21	10.73	...	-4.36	12 of 26 - Sale ²⁵
	1.000	2.12	10/25/21	5.32	...	-3.20	13 of 26 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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APTOSE BIOSCIENCES, INC. COMMO N SHARES / CUSIP: 03835T200 / Symbol: (cont'd)							
	1.000	2.12	10/25/21	5.17	...	-3.05	14 of 26 - Sale ²⁵
	1.000	2.12	10/25/21	5.11	...	-2.99	15 of 26 - Sale ²⁵
	1.000	2.11	10/25/21	5.05	...	-2.94	16 of 26 - Sale ²⁵
	1.000	2.11	10/25/21	5.02	...	-2.91	17 of 26 - Sale ²⁵
	1.000	2.11	10/25/21	5.00	...	-2.89	18 of 26 - Sale ²⁵
	2.000	4.22	10/25/21	7.08	...	-2.86	19 of 26 - Sale ²⁵
	2.000	4.24	10/25/21	7.09	...	-2.85	20 of 26 - Sale ²⁵
	1.000	2.13	10/25/21	4.87	...	-2.74	21 of 26 - Sale ²⁵
	1.000	2.13	10/25/21	4.82	...	-2.69	22 of 26 - Sale ²⁵
	1.000	2.13	10/25/21	4.76	...	-2.63	23 of 26 - Sale ²⁵
	1.000	2.13	10/25/21	4.63	...	-2.50	24 of 26 - Sale ²⁵
	1.000	2.13	10/25/21	4.62	...	-2.49	25 of 26 - Sale ²⁵
	1.000	2.12	10/25/21	3.54	...	-1.42	26 of 26 - Sale ²⁵
10/28/21	70.000	148.43	Various	290.96	...	-142.53	Total of 26 transactions
	17 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	4.000	8.34	10/25/21	18.39	...	-10.05	1 of 17 - Sale ²⁵
	7.000	14.60	10/25/21	24.31	...	-9.71	2 of 17 - Sale ²⁵
	2.000	4.17	10/25/21	9.25	...	-5.08	3 of 17 - Sale ²⁵
	2.000	4.17	10/25/21	6.86	...	-2.69	4 of 17 - Sale ²⁵
	1.000	2.09	10/25/21	4.65	...	-2.56	5 of 17 - Sale ²⁵
	1.000	2.08	10/25/21	4.62	...	-2.54	6 of 17 - Sale ²⁵
	1.000	2.08	10/25/21	4.60	...	-2.52	7 of 17 - Sale ²⁵
	1.000	2.09	10/25/21	4.60	...	-2.51	8 of 17 - Sale ²⁵
	1.000	2.08	10/25/21	3.43	...	-1.35	9 of 17 - Sale ²⁵
	6.000	12.51	10/26/21	27.51	...	-15.00	10 of 17 - Sale ²⁵
	2.000	4.17	10/26/21	9.15	...	-4.98	11 of 17 - Sale ²⁵
	2.000	4.17	10/26/21	8.92	...	-4.75	12 of 17 - Sale ²⁵
	8.000	16.68	10/27/21	35.93	...	-19.25	13 of 17 - Sale ²⁵
	5.000	10.42	10/27/21	22.52	...	-12.10	14 of 17 - Sale ²⁵
	5.000	10.42	10/27/21	22.45	...	-12.03	15 of 17 - Sale ²⁵
	1.000	2.09	10/27/21	4.52	...	-2.43	16 of 17 - Sale ²⁵
	1.000	2.09	10/27/21	4.41	...	-2.32	17 of 17 - Sale ²⁵
10/29/21	50.000	104.25	Various	216.12	...	-111.87	Total of 17 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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APTOSE BIOSCIENCES, INC. COMMO N SHARES / CUSIP: 03835T200 / Symbol: (cont'd)							
2 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	1.000	1.46	12/15/21	1.12	...	0.34	1 of 2 - Sale ²⁵
	39.000	49.94	12/15/21	43.57	...	6.37	2 of 2 - Sale ²⁵
12/16/21	40.000	51.40	Various	44.69	...	6.71	Total of 2 transactions
	Security total:	2,483.42		4,217.35	1,346.80 W	-387.13	
AQUESTIVE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 03843E104 / Symbol:							
06/18/21	5.000	20.26	06/10/21	20.20	...	0.06	Sale ²⁵
7 transactions for 08/13/21. Total proceeds and cost reported to the IRS.							
	5.000	20.15	06/23/21	22.35	...	-2.20	1 of 7 - Sale ²⁵
	1.000	4.03	06/25/21	4.36	...	-0.33	2 of 7 - Sale ²⁵
	1.000	4.03	06/28/21	4.21	...	-0.18	3 of 7 - Sale ²⁵
	1.000	4.03	06/28/21	4.10	...	-0.07	4 of 7 - Sale ²⁵
	2.000	8.06	06/29/21	7.96	...	0.10	5 of 7 - Sale ²⁵
	1.000	4.03	07/30/21	3.20	...	0.83	6 of 7 - Sale ²⁵
	10.000	40.30	08/11/21	38.06	...	2.24	7 of 7 - Sale ²⁵
08/13/21	21.000	84.63	Various	84.24	...	0.39	Total of 7 transactions
	Security total:	104.89		104.44	...	0.45	
ARDELYX, INC. COMMON STOCK / CUSIP: 039697107 / Symbol:							
06/24/21	1.000	7.65	06/11/21	7.62	...	0.03	Sale ²⁵
7 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	2.000	2.24	12/27/21	2.22	...	0.02	1 of 7 - Sale ²⁵
	100.000	112.22	12/27/21	111.80	...	0.42	2 of 7 - Sale ²⁵
	0.756	0.85	12/29/21	0.77	...	0.08	3 of 7 - Sale ²⁵
	1.882	2.11	12/29/21	1.91	...	0.20	4 of 7 - Sale ²⁵
	5.971	6.70	12/29/21	6.09	...	0.61	5 of 7 - Sale ²⁵
	10.000	11.22	12/29/21	10.50	...	0.72	6 of 7 - Sale ²⁵
	8.961	10.06	12/29/21	9.23	...	0.83	7 of 7 - Sale ²⁵
12/31/21	129.570	145.40	Various	142.52	...	2.88	Total of 7 transactions
	Security total:	153.05		150.14	...	2.91	

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ARTELO BIOSCIENCES, INC. COMMO N STOCK / CUSIP: 04301G201 / Symbol:							
6 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	20.000	24.40	11/01/21	17.60	...	6.80	1 of 6 - Sale ²⁵
	50.000	60.99	11/01/21	44.00	...	16.99	2 of 6 - Sale ²⁵
	50.000	61.00	11/02/21	52.50	...	8.50	3 of 6 - Sale ²⁵
	50.000	60.99	11/02/21	50.00	...	10.99	4 of 6 - Sale ²⁵
	2.000	2.44	11/03/21	1.82	...	0.62	5 of 6 - Sale ²⁵
	50.000	60.99	11/03/21	46.79	...	14.20	6 of 6 - Sale ²⁵
11/04/21	222.000	270.81	Various	212.71	...	58.10	Total of 6 transactions
ARVINAS, INC. COMMON STOCK / CUSIP: 04335A105 / Symbol:							
3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	1.000	75.74	01/27/21	70.45	...	5.29	1 of 3 - Sale ²⁵
	5.000	379.54	01/27/21	365.15	...	14.39	2 of 3 - Sale ²⁵
	4.000	303.63	01/27/21	281.81	...	21.82	3 of 3 - Sale ²⁵
01/29/21	10.000	758.91	Various	717.41	...	41.50	Total of 3 transactions
ATLANTIC AMERICAN CORPORATION COMMON STOCK / CUSIP: 048209100 / Symbol:							
02/05/21	2.000	20.00	02/05/21	18.00	...	2.00	Sale ²⁵
AUDDIA INC. COMMON STOCK / CUSIP: 05072K107 / Symbol:							
2 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	2.000	4.15	12/08/21	4.00	...	0.15	1 of 2 - Sale ²⁵
	197.000	408.76	12/08/21	394.00	...	14.76	2 of 2 - Sale ²⁵
12/09/21	199.000	412.91	Various	398.00	...	14.91	Total of 2 transactions
12/10/21	1.000	2.10	12/08/21	2.00	...	0.10	Sale ²⁵
	Security total:	415.01		400.00	...	15.01	
AURORA MOBILE LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 051857100 / Symbol:							
11 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	5.000	18.32	12/22/20	26.45	...	-8.13	1 of 11 - Sale ²⁵
	1.000	3.66	12/23/20	3.97	...	-0.31	2 of 11 - Sale ²⁵
	1.000	3.67	12/23/20	3.90	...	-0.23	3 of 11 - Sale ²⁵
	2.000	7.33	01/05/21	6.80	...	0.53	4 of 11 - Sale ²⁵
	3.000	10.99	01/05/21	10.16	...	0.83	5 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA MOBILE LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 051857100 / Symbol: (cont'd)							
	1.000	3.67	01/06/21	3.44	...	0.23	6 of 11 - Sale ²⁵
	5.000	18.33	01/21/21	20.20	...	-1.87	7 of 11 - Sale ²⁵
	5.000	18.32	01/21/21	19.23	...	-0.91	8 of 11 - Sale ²⁵
	5.000	18.32	01/27/21	18.10	...	0.22	9 of 11 - Sale ²⁵
	9.000	32.99	01/28/21	31.32	...	1.67	10 of 11 - Sale ²⁵
	25.000	91.62	01/29/21	83.50	...	8.12	11 of 11 - Sale ²⁵
02/03/21	62.000	227.22	Various	227.07	...	0.15	Total of 11 transactions
AUTOLUS THERAPEUTICS PLC AMERI CAN DEPOSITARY SHARE / CUSIP: 05280R100 / Symbol:							
3 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	4.000	30.40	06/11/21	30.83	...	-0.43	1 of 3 - Sale ²⁵
	2.000	15.20	06/15/21	15.13	...	0.07	2 of 3 - Sale ²⁵
	2.000	15.20	06/16/21	14.72	...	0.48	3 of 3 - Sale ²⁵
06/18/21	8.000	60.80	Various	60.68	...	0.12	Total of 3 transactions
7 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	5.000	30.05	11/16/21	34.63	...	-4.58	1 of 7 - Sale ²⁵
	1.000	6.01	11/17/21	6.85	...	-0.84	2 of 7 - Sale ²⁵
	3.000	18.03	11/18/21	19.83	...	-1.80	3 of 7 - Sale ²⁵
	2.000	12.02	11/18/21	12.34	...	-0.32	4 of 7 - Sale ²⁵
	10.000	60.10	11/22/21	61.90	...	-1.80	5 of 7 - Sale ²⁵
	15.000	90.14	11/29/21	92.48	...	-2.34	6 of 7 - Sale ²⁵
	5.000	30.05	11/29/21	30.75	...	-0.70	7 of 7 - Sale ²⁵
11/30/21	41.000	246.40	Various	258.78	...	-12.38	Total of 7 transactions
	Security total:	307.20		319.46	...	-12.26	
AVENUE THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 05360L205 / Symbol:							
3 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	5.000	6.50	11/29/21	7.13	0.63 W	0.00	1 of 3 - Sale ²⁵
	16.000	20.80	11/29/21	23.20	2.40 W	0.00	2 of 3 - Sale ²⁵
	19.000	24.70	11/29/21	33.63	8.93 W	0.00	3 of 3 - Sale ²⁵
12/02/21	40.000	52.00	Various	63.96	11.96 W	0.00	Total of 3 transactions

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AVENUE THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 05360L205 / Symbol: (cont'd)							
<i>6 transactions for 12/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.29	11/29/21	1.92	...	-0.63	1 of 6 - Sale ²⁵
	19.000	24.52	12/02/21	32.68	...	-8.16	2 of 6 - Sale ²⁵
	15.000	19.35	12/02/21	21.00	...	-1.65	3 of 6 - Sale ²⁵
	5.000	6.45	12/02/21	6.88	...	-0.43	4 of 6 - Sale ²⁵
	1.000	1.29	12/02/21	1.25	...	0.04	5 of 6 - Sale ²⁵
	85.000	109.67	12/02/21	104.55	...	5.12	6 of 6 - Sale ²⁵
12/03/21	126.000	162.57	Various	168.28	...	-5.71	Total of 6 transactions
	Security total:	214.57		232.24	11.96 W	-5.71	
AXSOME THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 05464T104 / Symbol:							
08/30/21	1.000	24.56	08/27/21	23.98	...	0.58	Sale ²⁵
BIMI INTERNATIONAL MEDICAL INC . COMMON STOCK / CUSIP: 05552Q103 / Symbol:							
<i>16 transactions for 11/15/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	2.16	10/27/21	2.04	...	0.12	1 of 16 - Sale ²⁵
	3.000	3.24	10/27/21	3.05	...	0.19	2 of 16 - Sale ²⁵
	1.000	1.08	10/27/21	0.88	...	0.20	3 of 16 - Sale ²⁵
	10.000	10.80	10/28/21	8.90	...	1.90	4 of 16 - Sale ²⁵
	1.000	1.08	10/29/21	0.90	...	0.18	5 of 16 - Sale ²⁵
	2.000	2.16	10/29/21	1.73	...	0.43	6 of 16 - Sale ²⁵
	5.000	5.40	10/29/21	4.48	...	0.92	7 of 16 - Sale ²⁵
	10.000	10.80	10/29/21	8.69	...	2.11	8 of 16 - Sale ²⁵
	50.000	53.99	11/01/21	39.44	...	14.55	9 of 16 - Sale ²⁵
	3.000	3.24	11/04/21	2.08	...	1.16	10 of 16 - Sale ²⁵
	1.000	1.08	11/05/21	0.73	...	0.35	11 of 16 - Sale ²⁵
	1.000	1.08	11/05/21	0.73	...	0.35	12 of 16 - Sale ²⁵
	2.000	2.16	11/05/21	1.45	...	0.71	13 of 16 - Sale ²⁵
	1.000	1.08	11/08/21	0.74	...	0.34	14 of 16 - Sale ²⁵
	30.000	32.39	11/08/21	22.13	...	10.26	15 of 16 - Sale ²⁵
	4.000	4.32	11/12/21	2.52	...	1.80	16 of 16 - Sale ²⁵
11/15/21	126.000	136.06	Various	100.49	...	35.57	Total of 16 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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BIMI INTERNATIONAL MEDICAL INC . COMMON STOCK / CUSIP: 05552Q103 / Symbol: (cont'd)							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	5.000	5.40	10/26/21	10.50	5.10 W	0.00	1 of 2 - Sale ²⁵
	10.000	10.80	10/27/21	11.25	0.45 W	0.00	2 of 2 - Sale ²⁵
11/15/21	15.000	16.20	Various	21.75	5.55 W	0.00	Total of 2 transactions
7 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	5.000	5.30	11/16/21	10.24	...	-4.94	1 of 7 - Sale ²⁵
	10.000	10.60	11/16/21	10.74	...	-0.14	2 of 7 - Sale ²⁵
	1.000	1.06	11/16/21	1.02	...	0.04	3 of 7 - Sale ²⁵
	2.000	2.12	11/16/21	1.93	...	0.19	4 of 7 - Sale ²⁵
	8.000	8.48	11/16/21	7.75	...	0.73	5 of 7 - Sale ²⁵
	85.000	90.09	11/16/21	87.44	...	2.65	6 of 7 - Sale ²⁵
	2.000	2.12	11/17/21	1.69	...	0.43	7 of 7 - Sale ²⁵
11/18/21	113.000	119.77	Various	120.81	...	-1.04	Total of 7 transactions
	Security total:	272.03		243.05	5.55 W	34.53	
BAKKT HOLDINGS, INC. / CUSIP: 05759B107 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	3.000	150.00	10/29/21	136.50	...	13.50	1 of 2 - Sale ²⁵
	3.000	150.00	10/29/21	130.32	...	19.68	2 of 2 - Sale ²⁵
11/01/21	6.000	300.00	Various	266.82	...	33.18	Total of 2 transactions
12/28/21	15.000	145.50	12/27/21	144.00	...	1.50	Sale ²⁵
3 transactions for 12/28/21. Total proceeds and cost reported to the IRS.							
	0.897	8.70	12/23/21	9.16	0.46 W	0.00	1 of 3 - Sale ²⁵
	3.997	38.77	12/23/21	40.84	2.07 W	0.00	2 of 3 - Sale ²⁵
	20.000	194.00	12/23/21	208.00	14.00 W	0.00	3 of 3 - Sale ²⁵
12/28/21	24.894	241.47	Various	258.00	16.53 W	0.00	Total of 3 transactions
7 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	0.897	8.15	12/28/21	9.14	0.99 W	0.00	1 of 7 - Sale ²⁵
	2.000	18.16	12/28/21	18.88	0.72 W	0.00	2 of 7 - Sale ²⁵
	3.000	27.24	12/28/21	27.45	0.21 W	0.00	3 of 7 - Sale ²⁵
	3.997	36.29	12/28/21	40.72	4.43 W	0.00	4 of 7 - Sale ²⁵
	5.000	45.39	12/28/21	45.50	0.11 W	0.00	5 of 7 - Sale ²⁵
	20.000	181.58	12/28/21	207.40	25.82 W	0.00	6 of 7 - Sale ²⁵

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(continued)

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BAKKT HOLDINGS, INC. / CUSIP: 05759B107 / Symbol: (cont'd)							
12/29/21	20.106	182.54	12/28/21	194.42	11.88 W	0.00	7 of 7 - Sale ²⁵
	55.000	499.35	Various	543.51	44.16 W	0.00	Total of 7 transactions
	4 transactions for 12/30/21. Total proceeds and cost reported to the IRS.						
	2.000	17.20	12/29/21	18.32	...	-1.12	1 of 4 - Sale ²⁵
	3.000	25.80	12/29/21	26.61	...	-0.81	2 of 4 - Sale ²⁵
	3.240	27.86	12/29/21	28.29	...	-0.43	3 of 4 - Sale ²⁵
	0.760	6.54	12/29/21	6.62	...	-0.08	4 of 4 - Sale ²⁵
12/30/21	9.000	77.40	Various	79.84	...	-2.44	Total of 4 transactions
	4 transactions for 12/30/21. Total proceeds and cost reported to the IRS.						
	20.106	172.90	12/29/21	188.82	3.61 W	-12.31	1 of 4 - Sale ²⁵
	0.897	7.72	12/29/21	8.88	1.16 W	0.00	2 of 4 - Sale ²⁵
	3.997	34.37	12/29/21	39.60	5.23 W	0.00	3 of 4 - Sale ²⁵
	20.000	172.00	12/29/21	201.82	29.82 W	0.00	4 of 4 - Sale ²⁵
12/30/21	45.000	386.99	Various	439.12	39.82 W	-12.31	Total of 4 transactions
	Security total:	1,650.71		1,731.29	100.51 W	19.93	
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
	3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	10.000	200.00	11/03/21	201.00	...	-1.00	1 of 3 - Sale ²⁵
	2.000	40.00	11/03/21	38.96	...	1.04	2 of 3 - Sale ²⁵
	5.000	100.00	11/03/21	98.24	...	1.76	3 of 3 - Sale ²⁵
11/04/21	17.000	340.00	Various	338.20	...	1.80	Total of 3 transactions
BEST INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 08653C106 / Symbol:							
	3 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	9.000	16.97	06/23/21	16.63	...	0.34	1 of 3 - Sale ²⁵
	5.000	9.42	06/24/21	7.90	...	1.52	2 of 3 - Sale ²⁵
	1.000	1.88	06/25/21	1.73	...	0.15	3 of 3 - Sale ²⁵
06/28/21	15.000	28.27	Various	26.26	...	2.01	Total of 3 transactions
	14 transactions for 12/10/21. Total proceeds and cost reported to the IRS.						
	0.021	0.02	12/02/21	0.02	...	0.00	1 of 14 - Sale ²⁵
	3.000	3.22	12/02/21	2.98	...	0.24	2 of 14 - Sale ²⁵
	9.651	10.35	12/02/21	9.11	...	1.24	3 of 14 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BEST INC. AMERICAN DEPOSITORY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 08653C106 / Symbol: (cont'd)							
	20.000	21.46	12/02/21	19.93	...	1.53	4 of 14 - Sale ²⁵
	73.989	79.37	12/02/21	69.89	...	9.48	5 of 14 - Sale ²⁵
	1.000	1.07	12/03/21	0.84	...	0.23	6 of 14 - Sale ²⁵
	3.000	3.22	12/03/21	2.75	...	0.47	7 of 14 - Sale ²⁵
	3.000	3.22	12/03/21	2.62	...	0.60	8 of 14 - Sale ²⁵
	3.000	3.22	12/03/21	2.52	...	0.70	9 of 14 - Sale ²⁵
	5.000	5.36	12/03/21	4.15	...	1.21	10 of 14 - Sale ²⁵
	0.339	0.36	12/06/21	0.30	...	0.06	11 of 14 - Sale ²⁵
	0.661	0.77	12/06/21	0.58	...	0.19	12 of 14 - Sale ²⁵
	7.000	7.51	12/06/21	5.74	...	1.77	13 of 14 - Sale ²⁵
	15.000	16.10	12/06/21	12.33	...	3.77	14 of 14 - Sale ²⁵
12/10/21	144.661	155.25	Various	133.76	...	21.49	Total of 14 transactions
	Security total:	183.52		160.02	...	23.50	
BETTER THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 08773T104 / Symbol:							
11/02/21	5.000	61.35	11/01/21	85.04	23.69 W	0.00	Sale ²⁵
	3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	2.000	29.00	11/03/21	24.60	...	4.40	1 of 3 - Sale ²⁵
	5.000	72.50	11/03/21	59.00	...	13.50	2 of 3 - Sale ²⁵
	10.000	145.00	11/03/21	123.00	...	22.00	3 of 3 - Sale ²⁵
11/04/21	17.000	246.50	Various	206.60	...	39.90	Total of 3 transactions
	2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	2.000	29.00	11/01/21	34.02	5.02 W	0.00	1 of 2 - Sale ²⁵
	5.000	72.50	11/01/21	107.44	34.94 W	0.00	2 of 2 - Sale ²⁵
11/04/21	7.000	101.50	Various	141.46	39.96 W	0.00	Total of 2 transactions
	5 transactions for 12/02/21. Total proceeds and cost reported to the IRS.						
	2.000	12.84	11/24/21	21.42	8.58 W	0.00	1 of 5 - Sale ²⁵
	5.000	32.10	11/24/21	75.94	43.84 W	0.00	2 of 5 - Sale ²⁵
	8.000	51.36	11/24/21	65.60	14.24 W	0.00	3 of 5 - Sale ²⁵
	5.000	32.10	11/29/21	34.55	2.45 W	0.00	4 of 5 - Sale ²⁵
	7.000	44.94	11/29/21	48.78	3.84 W	0.00	5 of 5 - Sale ²⁵
12/02/21	27.000	173.34	Various	246.29	72.95 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BETTER THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 08773T104 / Symbol: (cont'd)							
<i>5 transactions for 12/03/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	12.72	12/02/21	21.38	8.66 W	0.00	1 of 5 - Sale ²⁵
	5.000	31.80	12/02/21	34.45	2.65 W	0.00	2 of 5 - Sale ²⁵
	5.000	31.80	12/02/21	75.84	44.04 W	0.00	3 of 5 - Sale ²⁵
	7.000	44.53	12/02/21	48.64	4.11 W	0.00	4 of 5 - Sale ²⁵
	8.000	50.88	12/02/21	65.44	14.56 W	0.00	5 of 5 - Sale ²⁵
12/03/21	27.000	171.73	Various	245.75	74.02 W	0.00	Total of 5 transactions
<i>4 transactions for 12/06/21. Total proceeds and cost reported to the IRS.</i>							
	8.000	54.44	12/03/21	64.96	...	-10.52	1 of 4 - Sale ²⁵
	2.000	13.61	12/03/21	21.26	...	-7.65	2 of 4 - Sale ²⁵
	7.000	47.63	12/03/21	48.21	...	-0.58	3 of 4 - Sale ²⁵
	5.000	34.03	12/03/21	34.15	...	-0.12	4 of 4 - Sale ²⁵
12/06/21	22.000	149.71	Various	168.58	...	-18.87	Total of 4 transactions
12/06/21	5.000	34.03	12/03/21	75.54	8.30 W	-33.21	Sale ²⁵
12/08/21	1.000	6.92	12/06/21	15.13	...	-8.21	Sale ²⁵
	Security total:	945.08		1,184.39	218.92 W	-20.39	
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol:							
02/02/21	2.000	22.74	02/01/21	21.16	...	1.58	Sale ²⁵
<i>2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	215.00	02/02/21	200.00	...	15.00	1 of 2 - Sale ²⁵
	1.000	10.75	02/10/21	9.53	...	1.22	2 of 2 - Sale ²⁵
02/17/21	21.000	225.75	Various	209.53	...	16.22	Total of 2 transactions
	Security total:	248.49		230.69	...	17.80	
BIOCARDIA, INC. COMMON STOCK / CUSIP: 09060U507 / Symbol:							
<i>6 transactions for 12/27/21. Total proceeds and cost reported to the IRS.</i>							
	34.000	79.91	12/22/21	97.24	...	-17.33	1 of 6 - Sale ²⁵
	5.000	11.75	12/22/21	12.45	...	-0.70	2 of 6 - Sale ²⁵
	1.000	2.35	12/22/21	2.47	...	-0.12	3 of 6 - Sale ²⁵
	50.000	117.51	12/23/21	117.00	...	0.51	4 of 6 - Sale ²⁵
	50.000	117.51	12/23/21	109.33	...	8.18	5 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BIOCARDIA, INC. COMMON STOCK / CUSIP: 09060U507 / Symbol: (cont'd)							
	100.000	235.03	12/23/21	216.84	...	18.19	6 of 6 - Sale ²⁵
12/27/21	240.000	564.06	Various	555.33	...	8.73	Total of 6 transactions
BIORESTORATIVE THERAPIES, INC. COMMON STOCK / CUSIP: 090655606 / Symbol:							
11/26/21	5.000	30.50	11/24/21	30.05	...	0.45	Sale ²⁵
11/26/21	1.000	6.10	11/24/21	6.28	0.18 W	0.00	Sale ²⁵
	2 transactions for 12/28/21. Total proceeds and cost reported to the IRS.						
	2.000	9.14	12/27/21	9.09	...	0.05	1 of 2 - Sale ²⁵
	25.000	114.26	12/27/21	113.50	...	0.76	2 of 2 - Sale ²⁵
12/28/21	27.000	123.40	Various	122.59	...	0.81	Total of 2 transactions
	11 transactions for 12/28/21. Total proceeds and cost reported to the IRS.						
	1.000	4.57	12/22/21	5.36	0.79 W	0.00	1 of 11 - Sale ²⁵
	1.000	4.57	12/22/21	5.54	0.97 W	0.00	2 of 11 - Sale ²⁵
	1.000	4.61	12/22/21	5.08	0.47 W	0.00	3 of 11 - Sale ²⁵
	1.000	4.61	12/22/21	5.26	0.65 W	0.00	4 of 11 - Sale ²⁵
	2.000	9.14	12/22/21	10.74	1.60 W	0.00	5 of 11 - Sale ²⁵
	2.000	9.20	12/22/21	10.16	0.96 W	0.00	6 of 11 - Sale ²⁵
	6.000	27.42	12/22/21	29.33	1.91 W	0.00	7 of 11 - Sale ²⁵
	96.000	438.74	12/22/21	487.68	48.94 W	0.00	8 of 11 - Sale ²⁵
	13.000	59.41	12/27/21	60.82	1.41 W	0.00	9 of 11 - Sale ²⁵
	17.000	77.70	12/27/21	80.92	3.22 W	0.00	10 of 11 - Sale ²⁵
	33.000	150.82	12/27/21	157.08	6.26 W	0.00	11 of 11 - Sale ²⁵
12/28/21	173.000	790.79	Various	857.97	67.18 W	0.00	Total of 11 transactions
	5 transactions for 12/29/21. Total proceeds and cost reported to the IRS.						
	1.000	4.15	12/28/21	4.15	...	0.00	1 of 5 - Sale ²⁵
	2.000	8.30	12/28/21	8.30	...	0.00	2 of 5 - Sale ²⁵
	2.000	8.30	12/28/21	8.30	...	0.00	3 of 5 - Sale ²⁵
	2.000	8.30	12/28/21	8.30	...	0.00	4 of 5 - Sale ²⁵
	15.000	62.25	12/28/21	62.09	...	0.16	5 of 5 - Sale ²⁵
12/29/21	22.000	91.30	Various	91.14	...	0.16	Total of 5 transactions
	11 transactions for 12/29/21. Total proceeds and cost reported to the IRS.						
	1.000	4.15	12/27/21	5.06	0.91 W	0.00	1 of 11 - Sale ²⁵
	1.000	4.15	12/28/21	5.34	1.19 W	0.00	2 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BIORESTORATIVE THERAPIES, INC. COMMON STOCK / CUSIP: 090655606 / Symbol: (cont'd)							
	1.000	4.15	12/28/21	5.52	1.37 W	0.00	3 of 11 - Sale ²⁵
	2.000	8.30	12/28/21	10.70	2.40 W	0.00	4 of 11 - Sale ²⁵
	6.000	24.90	12/28/21	29.21	4.31 W	0.00	5 of 11 - Sale ²⁵
	10.000	41.49	12/28/21	41.60	0.11 W	0.00	6 of 11 - Sale ²⁵
	13.000	53.95	12/28/21	60.56	6.61 W	0.00	7 of 11 - Sale ²⁵
	17.000	70.55	12/28/21	80.57	10.02 W	0.00	8 of 11 - Sale ²⁵
	32.000	132.80	12/28/21	145.60	12.80 W	0.00	9 of 11 - Sale ²⁵
	33.000	136.94	12/28/21	156.41	19.47 W	0.00	10 of 11 - Sale ²⁵
	95.000	394.23	12/28/21	480.68	86.45 W	0.00	11 of 11 - Sale ²⁵
12/29/21	211.000	875.61	Various	1,021.25	145.64 W	0.00	Total of 11 transactions
	6 transactions for 12/30/21. Total proceeds and cost reported to the IRS.						
	32.000	127.03	12/29/21	143.68	...	-16.65	1 of 6 - Sale ²⁵
	17.000	67.49	12/29/21	79.55	...	-12.06	2 of 6 - Sale ²⁵
	13.000	51.61	12/29/21	59.78	...	-8.17	3 of 6 - Sale ²⁵
	10.000	39.70	12/29/21	41.01	...	-1.31	4 of 6 - Sale ²⁵
	9.000	35.73	12/29/21	36.81	...	-1.08	5 of 6 - Sale ²⁵
	5.000	19.85	12/29/21	19.94	...	-0.09	6 of 6 - Sale ²⁵
12/30/21	86.000	341.41	Various	380.77	...	-39.36	Total of 6 transactions
	7 transactions for 12/30/21. Total proceeds and cost reported to the IRS.						
	33.000	131.00	12/29/21	154.44	7.10 W	-16.34	1 of 7 - Sale ²⁵
	1.000	3.97	12/29/21	5.00	1.03 W	0.00	2 of 7 - Sale ²⁵
	1.000	3.97	12/29/21	5.28	1.31 W	0.00	3 of 7 - Sale ²⁵
	1.000	3.97	12/29/21	5.46	1.49 W	0.00	4 of 7 - Sale ²⁵
	2.000	7.94	12/29/21	10.58	2.64 W	0.00	5 of 7 - Sale ²⁵
	6.000	23.82	12/29/21	28.85	5.03 W	0.00	6 of 7 - Sale ²⁵
	95.000	377.13	12/29/21	475.00	97.87 W	0.00	7 of 7 - Sale ²⁵
12/30/21	139.000	551.80	Various	684.61	116.47 W	-16.34	Total of 7 transactions
	7 transactions for 12/31/21. Total proceeds and cost reported to the IRS.						
	95.000	408.79	12/30/21	486.88	...	-78.09	1 of 7 - Sale ²⁵
	10.000	43.03	12/30/21	48.05	...	-5.02	2 of 7 - Sale ²⁵
	6.000	25.82	12/30/21	29.60	...	-3.78	3 of 7 - Sale ²⁵
	2.000	8.61	12/30/21	10.83	...	-2.22	4 of 7 - Sale ²⁵
	1.000	4.30	12/30/21	5.49	...	-1.19	5 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BIORESTORATIVE THERAPIES, INC. COMMON STOCK / CUSIP: 090655606 / Symbol: (cont'd)							
	1.000	4.30	12/30/21	5.40	...	-1.10	6 of 7 - Sale ²⁵
	1.000	4.30	12/30/21	5.12	...	-0.82	7 of 7 - Sale ²⁵
12/31/21	116.000	499.15	Various	591.37	...	-92.22	Total of 7 transactions
	Security total:	3,310.06		3,786.03	329.47 W	-146.50	
BIONDVAX PHARMACEUTICALS LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 09073Q105 / Symbol:							
2 transactions for 12/28/21. Total proceeds and cost reported to the IRS.							
	2.000	4.92	12/27/21	4.48	...	0.44	1 of 2 - Sale ²⁵
	48.000	118.08	12/27/21	110.40	...	7.68	2 of 2 - Sale ²⁵
12/28/21	50.000	123.00	Various	114.88	...	8.12	Total of 2 transactions
12/29/21	1.000	2.32	12/28/21	2.45	...	-0.13	Sale ²⁵
	Security total:	125.32		117.33	...	7.99	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
01/04/21	5.000	26.00	01/04/21	25.70	...	0.30	Sale ²⁵
BIOXCEL THERAPEUTICS, INC. COM MON STOCK / CUSIP: 09075P105 / Symbol:							
12/03/21	14.000	300.51	12/02/21	317.52	...	-17.01	Sale ²⁵
BIOFRONTERA INC. COMMON STOCK / CUSIP: 09077D100 / Symbol:							
11/26/21	5.000	35.50	11/24/21	26.25	...	9.25	Sale ²⁵
3 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	0.672	8.96	12/21/21	8.04	...	0.92	1 of 3 - Sale ²⁵
	1.000	13.30	12/21/21	11.96	...	1.34	2 of 3 - Sale ²⁵
	20.000	266.00	12/23/21	243.20	...	22.80	3 of 3 - Sale ²⁵
12/27/21	21.672	288.26	Various	263.20	...	25.06	Total of 3 transactions
4 transactions for 12/30/21. Total proceeds and cost reported to the IRS.							
	10.571	82.98	12/29/21	100.00	...	-17.02	1 of 4 - Sale ²⁵
	0.998	7.83	12/29/21	8.94	...	-1.11	2 of 4 - Sale ²⁵
	0.111	0.88	12/29/21	1.00	...	-0.12	3 of 4 - Sale ²⁵
	0.007	0.06	12/29/21	0.06	...	0.00	4 of 4 - Sale ²⁵
12/30/21	11.687	91.75	Various	110.00	...	-18.25	Total of 4 transactions
	Security total:	415.51		399.45	...	16.06	

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BRIDGEBIO PHARMA, INC. COMMON STOCK / CUSIP: 10806X102 / Symbol:							
2 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	3.000	41.49	12/28/21	38.18	...	3.31	1 of 2 - Sale ²⁵
	15.000	207.46	12/28/21	191.55	...	15.91	2 of 2 - Sale ²⁵
12/29/21	18.000	248.95	Various	229.73	...	19.22	Total of 2 transactions
CALLIDITAS THERAPEUTICS AB AME RICAN DEPOSITARY SHARES / CUSIP: 13124Q106 / Symbol:							
12/20/21	0.381	9.84	12/16/21	9.00	...	0.84	Sale ²⁵
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
09/07/21	100.000	96.99	09/03/21	86.11	...	10.88	Sale ²⁵
2 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	50.000	69.99	09/09/21	65.00	...	4.99	1 of 2 - Sale ²⁵
	50.000	70.00	09/09/21	58.44	...	11.56	2 of 2 - Sale ²⁵
09/10/21	100.000	139.99	Various	123.44	...	16.55	Total of 2 transactions
2 transactions for 09/14/21. Total proceeds and cost reported to the IRS.							
	100.000	147.99	09/13/21	143.00	...	4.99	1 of 2 - Sale ²⁵
	100.000	147.99	09/13/21	143.00	...	4.99	2 of 2 - Sale ²⁵
09/14/21	200.000	295.98	Various	286.00	...	9.98	Total of 2 transactions
5 transactions for 09/30/21. Total proceeds and cost reported to the IRS.							
	3.000	12.15	09/29/21	10.56	...	1.59	1 of 5 - Sale ²⁵
	10.000	40.50	09/29/21	34.99	...	5.51	2 of 5 - Sale ²⁵
	50.000	202.49	09/29/21	195.99	...	6.50	3 of 5 - Sale ²⁵
	25.000	101.24	09/29/21	87.44	...	13.80	4 of 5 - Sale ²⁵
	50.000	202.49	09/29/21	176.89	...	25.60	5 of 5 - Sale ²⁵
09/30/21	138.000	558.87	Various	505.87	...	53.00	Total of 5 transactions
09/30/21	15.000	60.75	09/29/21	61.35	0.60 W	0.00	Sale ²⁵
2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	10.000	33.10	09/30/21	39.00	5.90 W	0.00	1 of 2 - Sale ²⁵
	15.000	49.65	09/30/21	59.10	9.45 W	0.00	2 of 2 - Sale ²⁵
10/01/21	25.000	82.75	Various	98.10	15.35 W	0.00	Total of 2 transactions
11 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	2.000	2.86	09/30/21	8.86	6.00 W	0.00	1 of 11 - Sale ²⁵
	3.000	4.29	09/30/21	13.02	8.73 W	0.00	2 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	10.000	14.31	09/30/21	44.00	29.69 W	0.00	3 of 11 - Sale ²⁵
	25.000	35.78	09/30/21	97.50	61.72 W	0.00	4 of 11 - Sale ²⁵
	4.000	5.72	10/01/21	12.52	6.80 W	0.00	5 of 11 - Sale ²⁵
	4.000	5.73	10/01/21	12.51	6.78 W	0.00	6 of 11 - Sale ²⁵
	4.000	5.73	10/01/21	12.68	6.95 W	0.00	7 of 11 - Sale ²⁵
	8.000	11.45	10/01/21	25.91	14.46 W	0.00	8 of 11 - Sale ²⁵
	10.000	14.31	10/01/21	32.58	18.27 W	0.00	9 of 11 - Sale ²⁵
	10.000	14.31	10/01/21	41.20	26.89 W	0.00	10 of 11 - Sale ²⁵
	20.000	28.62	10/01/21	70.60	41.98 W	0.00	11 of 11 - Sale ²⁵
10/22/21	100.000	143.11	Various	371.38	228.27 W	0.00	Total of 11 transactions
	11 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	3.000	3.54	10/01/21	16.80	13.26 W	0.00	1 of 11 - Sale ²⁵
	2.000	2.36	10/04/21	11.96	9.60 W	0.00	2 of 11 - Sale ²⁵
	3.000	3.54	10/04/21	19.43	15.89 W	0.00	3 of 11 - Sale ²⁵
	10.000	11.81	10/04/21	59.58	47.77 W	0.00	4 of 11 - Sale ²⁵
	10.000	11.82	10/04/21	57.97	46.15 W	0.00	5 of 11 - Sale ²⁵
	1.000	1.18	10/05/21	4.20	3.02 W	0.00	6 of 11 - Sale ²⁵
	2.000	2.36	10/05/21	8.46	6.10 W	0.00	7 of 11 - Sale ²⁵
	3.000	3.55	10/05/21	12.42	8.87 W	0.00	8 of 11 - Sale ²⁵
	5.000	5.91	10/05/21	16.65	10.74 W	0.00	9 of 11 - Sale ²⁵
	6.000	7.09	10/05/21	35.45	28.36 W	0.00	10 of 11 - Sale ²⁵
	10.000	11.82	10/05/21	39.19	27.37 W	0.00	11 of 11 - Sale ²⁵
11/02/21	55.000	64.98	Various	282.11	217.13 W	0.00	Total of 11 transactions
	10 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	1.000	1.24	10/05/21	6.38	5.14 W	0.00	1 of 10 - Sale ²⁵
	2.000	2.48	10/05/21	6.62	4.14 W	0.00	2 of 10 - Sale ²⁵
	3.000	3.72	10/05/21	10.25	6.53 W	0.00	3 of 10 - Sale ²⁵
	3.000	3.72	10/05/21	18.06	14.34 W	0.00	4 of 10 - Sale ²⁵
	4.000	4.96	10/05/21	13.24	8.28 W	0.00	5 of 10 - Sale ²⁵
	4.000	4.96	10/05/21	13.39	8.43 W	0.00	6 of 10 - Sale ²⁵
	4.000	4.96	10/05/21	14.83	9.87 W	0.00	7 of 10 - Sale ²⁵
	9.000	11.16	10/05/21	29.96	18.80 W	0.00	8 of 10 - Sale ²⁵
	10.000	12.40	10/05/21	34.37	21.97 W	0.00	9 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
11/03/21	10.000	12.40	10/05/21	62.13	49.73 W	0.00	10 of 10 - Sale ²⁵
	50.000	62.00	Various	209.23	147.23 W	0.00	Total of 10 transactions
	30 transactions for 11/09/21. Total proceeds and cost reported to the IRS.						
	2.000	2.44	10/05/21	12.75	10.31 W	0.00	1 of 30 - Sale ²⁵
	4.000	4.88	10/05/21	13.18	8.30 W	0.00	2 of 30 - Sale ²⁵
	5.000	6.10	10/05/21	17.04	10.94 W	0.00	3 of 30 - Sale ²⁵
	1.000	1.22	10/06/21	4.15	2.93 W	0.00	4 of 30 - Sale ²⁵
	1.000	1.22	10/06/21	4.17	2.95 W	0.00	5 of 30 - Sale ²⁵
	1.000	1.22	10/06/21	6.50	5.28 W	0.00	6 of 30 - Sale ²⁵
	2.000	2.44	10/06/21	11.86	9.42 W	0.00	7 of 30 - Sale ²⁵
	2.000	2.44	10/06/21	11.94	9.50 W	0.00	8 of 30 - Sale ²⁵
	2.000	2.44	10/06/21	12.91	10.47 W	0.00	9 of 30 - Sale ²⁵
	5.000	6.10	10/06/21	30.28	24.18 W	0.00	10 of 30 - Sale ²⁵
	6.000	7.32	10/06/21	35.13	27.81 W	0.00	11 of 30 - Sale ²⁵
	1.000	1.22	10/07/21	4.50	3.28 W	0.00	12 of 30 - Sale ²⁵
	3.000	3.66	10/07/21	13.21	9.55 W	0.00	13 of 30 - Sale ²⁵
	1.000	1.22	10/08/21	6.72	5.50 W	0.00	14 of 30 - Sale ²⁵
	2.000	2.44	10/08/21	7.58	5.14 W	0.00	15 of 30 - Sale ²⁵
	2.000	2.44	10/08/21	9.16	6.72 W	0.00	16 of 30 - Sale ²⁵
	3.000	3.66	10/08/21	11.69	8.03 W	0.00	17 of 30 - Sale ²⁵
	3.000	3.66	10/08/21	13.37	9.71 W	0.00	18 of 30 - Sale ²⁵
	3.000	3.66	10/08/21	19.50	15.84 W	0.00	19 of 30 - Sale ²⁵
	3.000	3.66	10/08/21	20.07	16.41 W	0.00	20 of 30 - Sale ²⁵
	4.000	4.88	10/08/21	15.15	10.27 W	0.00	21 of 30 - Sale ²⁵
	4.000	4.88	10/08/21	15.30	10.42 W	0.00	22 of 30 - Sale ²⁵
	4.000	4.88	10/08/21	16.74	11.86 W	0.00	23 of 30 - Sale ²⁵
	5.000	6.10	10/08/21	19.33	13.23 W	0.00	24 of 30 - Sale ²⁵
	5.000	6.10	10/08/21	24.98	18.88 W	0.00	25 of 30 - Sale ²⁵
	9.000	10.98	10/08/21	34.27	23.29 W	0.00	26 of 30 - Sale ²⁵
	10.000	12.20	10/08/21	39.15	26.95 W	0.00	27 of 30 - Sale ²⁵
	3.000	3.65	10/11/21	19.60	15.95 W	0.00	28 of 30 - Sale ²⁵
	3.000	3.66	10/11/21	20.07	16.41 W	0.00	29 of 30 - Sale ²⁵
	1.000	1.22	10/12/21	6.60	5.38 W	0.00	30 of 30 - Sale ²⁵
11/09/21	100.000	121.99	Various	476.90	354.91 W	0.00	Total of 30 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
20 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	1.000	1.13	10/12/21	3.65	2.52 W	0.00	1 of 20 - Sale ²⁵
	2.000	2.26	10/12/21	13.23	10.97 W	0.00	2 of 20 - Sale ²⁵
	2.000	2.26	10/13/21	7.40	5.14 W	0.00	3 of 20 - Sale ²⁵
	1.000	1.13	10/14/21	4.22	3.09 W	0.00	4 of 20 - Sale ²⁵
	1.000	1.13	10/14/21	4.24	3.11 W	0.00	5 of 20 - Sale ²⁵
	1.000	1.13	10/14/21	4.57	3.44 W	0.00	6 of 20 - Sale ²⁵
	1.000	1.13	10/14/21	6.57	5.44 W	0.00	7 of 20 - Sale ²⁵
	2.000	2.26	10/14/21	6.95	4.69 W	0.00	8 of 20 - Sale ²⁵
	2.000	2.26	10/14/21	9.05	6.79 W	0.00	9 of 20 - Sale ²⁵
	2.000	2.26	10/14/21	12.07	9.81 W	0.00	10 of 20 - Sale ²⁵
	2.000	2.26	10/14/21	13.05	10.79 W	0.00	11 of 20 - Sale ²⁵
	2.000	2.27	10/14/21	9.30	7.03 W	0.00	12 of 20 - Sale ²⁵
	2.000	2.27	10/14/21	11.99	9.72 W	0.00	13 of 20 - Sale ²⁵
	3.000	3.40	10/14/21	13.41	10.01 W	0.00	14 of 20 - Sale ²⁵
	3.000	3.40	10/14/21	19.70	16.30 W	0.00	15 of 20 - Sale ²⁵
	3.000	3.40	10/14/21	20.27	16.87 W	0.00	16 of 20 - Sale ²⁵
	4.000	4.53	10/14/21	13.45	8.92 W	0.00	17 of 20 - Sale ²⁵
	5.000	5.66	10/14/21	25.32	19.66 W	0.00	18 of 20 - Sale ²⁵
	5.000	5.66	10/14/21	30.62	24.96 W	0.00	19 of 20 - Sale ²⁵
	6.000	6.79	10/14/21	35.53	28.74 W	0.00	20 of 20 - Sale ²⁵
11/11/21	50.000	56.59	Various	264.59	208.00 W	0.00	Total of 20 transactions
39 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	1.000	1.39	10/14/21	4.53	3.14 W	0.00	1 of 39 - Sale ²⁵
	3.000	4.17	10/14/21	11.62	7.45 W	0.00	2 of 39 - Sale ²⁵
	4.000	5.56	10/14/21	15.50	9.94 W	0.00	3 of 39 - Sale ²⁵
	2.000	2.78	10/15/21	7.50	4.72 W	0.00	4 of 39 - Sale ²⁵
	2.000	2.78	10/15/21	7.54	4.76 W	0.00	5 of 39 - Sale ²⁵
	2.000	2.78	10/15/21	8.19	5.41 W	0.00	6 of 39 - Sale ²⁵
	4.000	5.56	10/15/21	16.58	11.02 W	0.00	7 of 39 - Sale ²⁵
	5.000	6.95	10/15/21	19.38	12.43 W	0.00	8 of 39 - Sale ²⁵
	1.000	1.39	10/18/21	4.02	2.63 W	0.00	9 of 39 - Sale ²⁵
	3.000	4.17	10/18/21	12.30	8.13 W	0.00	10 of 39 - Sale ²⁵
	1.000	1.39	10/19/21	6.73	5.34 W	0.00	11 of 39 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	1.000	1.39	10/19/21	6.85	5.46 W	0.00	12 of 39 - Sale ²⁵
	2.000	2.78	10/19/21	13.67	10.89 W	0.00	13 of 39 - Sale ²⁵
	3.000	4.17	10/19/21	11.86	7.69 W	0.00	14 of 39 - Sale ²⁵
	3.000	4.17	10/19/21	12.08	7.91 W	0.00	15 of 39 - Sale ²⁵
	3.000	4.17	10/19/21	20.00	15.83 W	0.00	16 of 39 - Sale ²⁵
	3.000	4.17	10/19/21	20.46	16.29 W	0.00	17 of 39 - Sale ²⁵
	4.000	5.56	10/19/21	15.67	10.11 W	0.00	18 of 39 - Sale ²⁵
	5.000	6.95	10/19/21	19.98	13.03 W	0.00	19 of 39 - Sale ²⁵
	1.000	1.39	10/20/21	4.09	2.70 W	0.00	20 of 39 - Sale ²⁵
	1.000	1.39	10/20/21	4.38	2.99 W	0.00	21 of 39 - Sale ²⁵
	1.000	1.39	10/20/21	4.95	3.56 W	0.00	22 of 39 - Sale ²⁵
	1.000	1.39	10/20/21	4.97	3.58 W	0.00	23 of 39 - Sale ²⁵
	1.000	1.39	10/20/21	5.30	3.91 W	0.00	24 of 39 - Sale ²⁵
	1.000	1.39	10/20/21	7.30	5.91 W	0.00	25 of 39 - Sale ²⁵
	2.000	2.78	10/20/21	8.40	5.62 W	0.00	26 of 39 - Sale ²⁵
	2.000	2.78	10/20/21	8.86	6.08 W	0.00	27 of 39 - Sale ²⁵
	2.000	2.78	10/20/21	10.45	7.67 W	0.00	28 of 39 - Sale ²⁵
	2.000	2.78	10/20/21	10.69	7.91 W	0.00	29 of 39 - Sale ²⁵
	2.000	2.78	10/20/21	13.44	10.66 W	0.00	30 of 39 - Sale ²⁵
	2.000	2.78	10/20/21	13.52	10.74 W	0.00	31 of 39 - Sale ²⁵
	2.000	2.78	10/20/21	14.51	11.73 W	0.00	32 of 39 - Sale ²⁵
	3.000	4.16	10/20/21	12.18	8.02 W	0.00	33 of 39 - Sale ²⁵
	3.000	4.17	10/20/21	15.58	11.41 W	0.00	34 of 39 - Sale ²⁵
	3.000	4.17	10/20/21	21.79	17.62 W	0.00	35 of 39 - Sale ²⁵
	3.000	4.17	10/20/21	22.36	18.19 W	0.00	36 of 39 - Sale ²⁵
	5.000	6.95	10/20/21	28.81	21.86 W	0.00	37 of 39 - Sale ²⁵
	5.000	6.95	10/20/21	34.25	27.30 W	0.00	38 of 39 - Sale ²⁵
	6.000	8.34	10/20/21	39.89	31.55 W	0.00	39 of 39 - Sale ²⁵
11/22/21	100.000	138.99	Various	520.18	381.19 W	0.00	Total of 39 transactions
26 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	4.000	5.16	10/29/21	5.10	...	0.06	1 of 26 - Sale ²⁵
	1.000	1.29	11/01/21	1.27	...	0.02	2 of 26 - Sale ²⁵
	10.000	12.90	11/01/21	12.80	...	0.10	3 of 26 - Sale ²⁵
	20.000	25.80	11/01/21	24.60	...	1.20	4 of 26 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	17.000	21.93	11/02/21	20.15	...	1.78	5 of 26 - Sale ²⁵
	18.000	23.22	11/03/21	22.50	...	0.72	6 of 26 - Sale ²⁵
	5.000	6.45	11/04/21	6.08	...	0.37	7 of 26 - Sale ²⁵
	25.000	32.24	11/04/21	30.63	...	1.61	8 of 26 - Sale ²⁵
	1.000	1.29	11/08/21	1.25	...	0.04	9 of 26 - Sale ²⁵
	2.000	2.58	11/08/21	2.52	...	0.06	10 of 26 - Sale ²⁵
	10.000	12.90	11/08/21	12.48	...	0.42	11 of 26 - Sale ²⁵
	2.000	2.58	11/09/21	2.46	...	0.12	12 of 26 - Sale ²⁵
	4.000	5.16	11/09/21	4.90	...	0.26	13 of 26 - Sale ²⁵
	1.000	1.29	11/10/21	1.12	...	0.17	14 of 26 - Sale ²⁵
	2.740	3.53	11/10/21	3.00	...	0.53	15 of 26 - Sale ²⁵
	75.000	96.73	11/10/21	84.65	...	12.08	16 of 26 - Sale ²⁵
	75.000	96.73	11/10/21	84.38	...	12.35	17 of 26 - Sale ²⁵
	10.000	12.90	11/11/21	11.38	...	1.52	18 of 26 - Sale ²⁵
	10.000	12.90	11/12/21	10.90	...	2.00	19 of 26 - Sale ²⁵
	2.000	2.58	11/15/21	2.12	...	0.46	20 of 26 - Sale ²⁵
	50.000	64.49	11/15/21	53.00	...	11.49	21 of 26 - Sale ²⁵
	1.000	1.29	11/16/21	1.08	...	0.21	22 of 26 - Sale ²⁵
	1.000	1.29	11/16/21	1.05	...	0.24	23 of 26 - Sale ²⁵
	2.000	2.58	11/16/21	2.10	...	0.48	24 of 26 - Sale ²⁵
	1.000	1.29	11/18/21	1.28	...	0.01	25 of 26 - Sale ²⁵
	1.000	1.29	11/19/21	1.23	...	0.06	26 of 26 - Sale ²⁵
11/24/21	350.740	452.39	Various	404.03	...	48.36	Total of 26 transactions
	54 transactions for 11/24/21. Total proceeds and cost reported to the IRS.						
	2.000	2.58	10/20/21	3.44	0.86 W	0.00	1 of 54 - Sale ²⁵
	2.000	2.58	10/20/21	3.66	1.08 W	0.00	2 of 54 - Sale ²⁵
	5.000	6.45	10/20/21	8.80	2.35 W	0.00	3 of 54 - Sale ²⁵
	25.000	32.25	10/20/21	44.97	12.72 W	0.00	4 of 54 - Sale ²⁵
	1.000	1.29	10/21/21	1.68	0.39 W	0.00	5 of 54 - Sale ²⁵
	10.000	12.90	10/22/21	14.38	1.48 W	0.00	6 of 54 - Sale ²⁵
	20.000	25.80	10/22/21	28.50	2.70 W	0.00	7 of 54 - Sale ²⁵
	1.000	1.29	10/25/21	4.13	2.84 W	0.00	8 of 54 - Sale ²⁵
	1.000	1.29	10/25/21	4.14	2.85 W	0.00	9 of 54 - Sale ²⁵
	1.000	1.29	10/25/21	4.28	2.99 W	0.00	10 of 54 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	1.000	1.29	10/25/21	4.32	3.03 W	0.00	11 of 54 - Sale ²⁵
	1.000	1.29	10/25/21	4.50	3.21 W	0.00	12 of 54 - Sale ²⁵
	1.000	1.29	10/25/21	4.65	3.36 W	0.00	13 of 54 - Sale ²⁵
	1.000	1.29	10/25/21	5.07	3.78 W	0.00	14 of 54 - Sale ²⁵
	1.000	1.29	10/25/21	5.09	3.80 W	0.00	15 of 54 - Sale ²⁵
	1.000	1.29	10/25/21	5.42	4.13 W	0.00	16 of 54 - Sale ²⁵
	1.000	1.29	10/25/21	6.85	5.56 W	0.00	17 of 54 - Sale ²⁵
	1.000	1.29	10/25/21	6.97	5.68 W	0.00	18 of 54 - Sale ²⁵
	1.000	1.29	10/25/21	7.42	6.13 W	0.00	19 of 54 - Sale ²⁵
	2.000	2.58	10/25/21	7.74	5.16 W	0.00	20 of 54 - Sale ²⁵
	2.000	2.58	10/25/21	7.78	5.20 W	0.00	21 of 54 - Sale ²⁵
	2.000	2.58	10/25/21	8.43	5.85 W	0.00	22 of 54 - Sale ²⁵
	2.000	2.58	10/25/21	9.09	6.51 W	0.00	23 of 54 - Sale ²⁵
	2.000	2.58	10/25/21	13.68	11.10 W	0.00	24 of 54 - Sale ²⁵
	2.000	2.58	10/25/21	13.76	11.18 W	0.00	25 of 54 - Sale ²⁵
	2.000	2.58	10/25/21	13.91	11.33 W	0.00	26 of 54 - Sale ²⁵
	2.000	2.58	10/25/21	14.75	12.17 W	0.00	27 of 54 - Sale ²⁵
	3.000	3.87	10/25/21	11.98	8.11 W	0.00	28 of 54 - Sale ²⁵
	3.000	3.87	10/25/21	12.22	8.35 W	0.00	29 of 54 - Sale ²⁵
	3.000	3.87	10/25/21	12.43	8.56 W	0.00	30 of 54 - Sale ²⁵
	3.000	3.87	10/25/21	12.65	8.78 W	0.00	31 of 54 - Sale ²⁵
	3.000	3.87	10/25/21	15.93	12.06 W	0.00	32 of 54 - Sale ²⁵
	3.000	3.87	10/25/21	20.35	16.48 W	0.00	33 of 54 - Sale ²⁵
	3.000	3.87	10/25/21	20.81	16.94 W	0.00	34 of 54 - Sale ²⁵
	4.000	5.16	10/25/21	15.97	10.81 W	0.00	35 of 54 - Sale ²⁵
	4.000	5.16	10/25/21	16.14	10.98 W	0.00	36 of 54 - Sale ²⁵
	4.000	5.16	10/25/21	17.05	11.89 W	0.00	37 of 54 - Sale ²⁵
	5.000	6.45	10/25/21	19.97	13.52 W	0.00	38 of 54 - Sale ²⁵
	5.000	6.45	10/25/21	20.57	14.12 W	0.00	39 of 54 - Sale ²⁵
	5.000	6.45	10/25/21	34.84	28.39 W	0.00	40 of 54 - Sale ²⁵
	6.000	7.74	10/25/21	40.60	32.86 W	0.00	41 of 54 - Sale ²⁵
	1.000	1.29	10/26/21	5.72	4.43 W	0.00	42 of 54 - Sale ²⁵
	1.000	1.29	10/26/21	7.22	5.93 W	0.00	43 of 54 - Sale ²⁵
	2.000	2.58	10/26/21	11.40	8.82 W	0.00	44 of 54 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	2.000	2.58	10/26/21	14.51	11.93 W	0.00	45 of 54 - Sale ²⁵
	3.000	3.87	10/26/21	22.24	18.37 W	0.00	46 of 54 - Sale ²⁵
	1.000	1.29	10/28/21	5.11	3.82 W	0.00	47 of 54 - Sale ²⁵
	2.000	2.58	10/28/21	10.45	7.87 W	0.00	48 of 54 - Sale ²⁵
	2.000	2.58	10/28/21	11.29	8.71 W	0.00	49 of 54 - Sale ²⁵
	1.000	1.29	10/29/21	3.95	2.66 W	0.00	50 of 54 - Sale ²⁵
	1.000	1.29	10/29/21	5.12	3.83 W	0.00	51 of 54 - Sale ²⁵
	2.000	2.58	10/29/21	7.92	5.34 W	0.00	52 of 54 - Sale ²⁵
	3.000	3.87	11/08/21	3.89	0.02 W	0.00	53 of 54 - Sale ²⁵
	5.260	6.78	11/22/21	7.15	0.37 W	0.00	54 of 54 - Sale ²⁵
11/24/21	173.260	223.50	Various	644.89	421.39 W	0.00	Total of 54 transactions
	3 transactions for 11/30/21. Total proceeds and cost reported to the IRS.						
	0.740	0.91	11/22/21	1.41	0.50 W	0.00	1 of 3 - Sale ²⁵
	0.260	0.32	11/23/21	0.48	0.16 W	0.00	2 of 3 - Sale ²⁵
	0.740	0.90	11/23/21	1.38	0.48 W	0.00	3 of 3 - Sale ²⁵
11/30/21	1.740	2.13	Various	3.27	1.14 W	0.00	Total of 3 transactions
	19 transactions for 12/13/21. Total proceeds and cost reported to the IRS.						
	0.260	0.28	12/06/21	0.43	0.15 W	0.00	1 of 19 - Sale ²⁵
	1.000	1.07	12/06/21	4.47	3.40 W	0.00	2 of 19 - Sale ²⁵
	1.000	1.07	12/06/21	4.89	3.82 W	0.00	3 of 19 - Sale ²⁵
	1.000	1.07	12/06/21	4.91	3.84 W	0.00	4 of 19 - Sale ²⁵
	1.000	1.07	12/06/21	5.24	4.17 W	0.00	5 of 19 - Sale ²⁵
	1.000	1.07	12/06/21	6.67	5.60 W	0.00	6 of 19 - Sale ²⁵
	1.000	1.07	12/06/21	6.79	5.72 W	0.00	7 of 19 - Sale ²⁵
	1.000	1.07	12/06/21	7.24	6.17 W	0.00	8 of 19 - Sale ²⁵
	1.740	1.87	12/06/21	6.64	4.77 W	0.00	9 of 19 - Sale ²⁵
	2.000	2.14	12/06/21	13.33	11.19 W	0.00	10 of 19 - Sale ²⁵
	2.000	2.14	12/06/21	13.41	11.27 W	0.00	11 of 19 - Sale ²⁵
	2.000	2.14	12/06/21	13.56	11.42 W	0.00	12 of 19 - Sale ²⁵
	2.000	2.14	12/06/21	14.40	12.26 W	0.00	13 of 19 - Sale ²⁵
	3.000	3.22	12/06/21	15.40	12.18 W	0.00	14 of 19 - Sale ²⁵
	3.000	3.22	12/06/21	19.82	16.60 W	0.00	15 of 19 - Sale ²⁵
	3.000	3.22	12/06/21	20.28	17.06 W	0.00	16 of 19 - Sale ²⁵
	5.000	5.36	12/06/21	7.92	2.56 W	0.00	17 of 19 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	5.000	5.36	12/06/21	33.96	28.60 W	0.00	18 of 19 - Sale ²⁵
	6.000	6.43	12/06/21	39.54	33.11 W	0.00	19 of 19 - Sale ²⁵
12/13/21	42.000	45.01	Various	238.90	193.89 W	0.00	Total of 19 transactions
	48 transactions for 12/14/21. Total proceeds and cost reported to the IRS.						
	0.740	0.75	12/06/21	2.71	1.96 W	0.00	1 of 48 - Sale ²⁵
	1.000	1.01	12/06/21	1.50	0.49 W	0.00	2 of 48 - Sale ²⁵
	1.260	1.27	12/06/21	4.64	3.37 W	0.00	3 of 48 - Sale ²⁵
	1.740	1.76	12/06/21	7.05	5.29 W	0.00	4 of 48 - Sale ²⁵
	2.000	2.02	12/06/21	3.09	1.07 W	0.00	5 of 48 - Sale ²⁵
	2.000	2.02	12/06/21	7.32	5.30 W	0.00	6 of 48 - Sale ²⁵
	2.260	2.28	12/06/21	8.53	6.25 W	0.00	7 of 48 - Sale ²⁵
	3.000	3.03	12/06/21	11.32	8.29 W	0.00	8 of 48 - Sale ²⁵
	10.000	10.10	12/06/21	12.61	2.51 W	0.00	9 of 48 - Sale ²⁵
	20.000	20.20	12/06/21	24.96	4.76 W	0.00	10 of 48 - Sale ²⁵
	25.000	25.25	12/06/21	40.55	15.30 W	0.00	11 of 48 - Sale ²⁵
	0.740	0.75	12/08/21	3.19	2.44 W	0.00	12 of 48 - Sale ²⁵
	2.000	2.02	12/08/21	8.07	6.05 W	0.00	13 of 48 - Sale ²⁵
	2.260	2.28	12/08/21	9.23	6.95 W	0.00	14 of 48 - Sale ²⁵
	5.000	5.05	12/08/21	19.07	14.02 W	0.00	15 of 48 - Sale ²⁵
	0.260	0.26	12/09/21	1.13	0.87 W	0.00	16 of 48 - Sale ²⁵
	0.260	0.26	12/09/21	1.13	0.87 W	0.00	17 of 48 - Sale ²⁵
	1.000	1.01	12/09/21	3.93	2.92 W	0.00	18 of 48 - Sale ²⁵
	1.000	1.01	12/09/21	4.10	3.09 W	0.00	19 of 48 - Sale ²⁵
	1.740	1.76	12/09/21	6.65	4.89 W	0.00	20 of 48 - Sale ²⁵
	1.740	1.76	12/09/21	7.58	5.82 W	0.00	21 of 48 - Sale ²⁵
	3.000	3.03	12/09/21	11.58	8.55 W	0.00	22 of 48 - Sale ²⁵
	3.000	3.03	12/09/21	12.00	8.97 W	0.00	23 of 48 - Sale ²⁵
	0.740	0.75	12/10/21	2.87	2.12 W	0.00	24 of 48 - Sale ²⁵
	1.000	1.01	12/10/21	3.80	2.79 W	0.00	25 of 48 - Sale ²⁵
	1.000	1.01	12/10/21	3.90	2.89 W	0.00	26 of 48 - Sale ²⁵
	1.260	1.27	12/10/21	4.79	3.52 W	0.00	27 of 48 - Sale ²⁵
	2.000	2.02	12/10/21	7.80	5.78 W	0.00	28 of 48 - Sale ²⁵
	3.000	3.03	12/10/21	11.73	8.70 W	0.00	29 of 48 - Sale ²⁵
	0.260	0.26	12/13/21	1.05	0.79 W	0.00	30 of 48 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	0.260	0.26	12/13/21	1.81	1.55 W	0.00	31 of 48 - Sale ²⁵
	0.260	0.26	12/13/21	1.82	1.56 W	0.00	32 of 48 - Sale ²⁵
	0.740	0.75	12/13/21	0.82	0.07 W	0.00	33 of 48 - Sale ²⁵
	0.740	0.75	12/13/21	3.00	2.25 W	0.00	34 of 48 - Sale ²⁵
	0.740	0.75	12/13/21	5.16	4.41 W	0.00	35 of 48 - Sale ²⁵
	1.000	1.01	12/13/21	3.70	2.69 W	0.00	36 of 48 - Sale ²⁵
	1.000	1.01	12/13/21	3.88	2.87 W	0.00	37 of 48 - Sale ²⁵
	1.000	1.01	12/13/21	4.86	3.85 W	0.00	38 of 48 - Sale ²⁵
	1.000	1.01	12/13/21	4.87	3.86 W	0.00	39 of 48 - Sale ²⁵
	1.000	1.01	12/13/21	5.47	4.46 W	0.00	40 of 48 - Sale ²⁵
	1.260	1.27	12/13/21	4.91	3.64 W	0.00	41 of 48 - Sale ²⁵
	1.740	1.76	12/13/21	12.19	10.43 W	0.00	42 of 48 - Sale ²⁵
	2.000	2.02	12/13/21	7.42	5.40 W	0.00	43 of 48 - Sale ²⁵
	2.000	2.02	12/13/21	9.95	7.93 W	0.00	44 of 48 - Sale ²⁵
	2.000	2.02	12/13/21	10.79	8.77 W	0.00	45 of 48 - Sale ²⁵
	2.000	2.02	12/13/21	10.90	8.88 W	0.00	46 of 48 - Sale ²⁵
	3.000	3.02	12/13/21	3.14	0.12 W	0.00	47 of 48 - Sale ²⁵
	3.000	3.03	12/13/21	21.49	18.46 W	0.00	48 of 48 - Sale ²⁵
12/14/21	125.000	126.24	Various	364.06	237.82 W	0.00	Total of 48 transactions
19 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	1.000	1.08	12/13/21	1.11	0.03 W	0.00	1 of 19 - Sale ²⁵
	0.260	0.28	12/14/21	0.44	0.16 W	0.00	2 of 19 - Sale ²⁵
	0.260	0.28	12/14/21	1.76	1.48 W	0.00	3 of 19 - Sale ²⁵
	0.740	0.80	12/14/21	1.26	0.46 W	0.00	4 of 19 - Sale ²⁵
	0.740	0.80	12/14/21	1.28	0.48 W	0.00	5 of 19 - Sale ²⁵
	1.000	1.08	12/14/21	7.22	6.14 W	0.00	6 of 19 - Sale ²⁵
	1.521	1.64	12/14/21	1.72	0.08 W	0.00	7 of 19 - Sale ²⁵
	1.740	1.88	12/14/21	11.42	9.54 W	0.00	8 of 19 - Sale ²⁵
	1.740	1.88	12/14/21	11.77	9.89 W	0.00	9 of 19 - Sale ²⁵
	2.000	2.16	12/14/21	2.34	0.18 W	0.00	10 of 19 - Sale ²⁵
	2.000	2.16	12/14/21	14.35	12.19 W	0.00	11 of 19 - Sale ²⁵
	0.260	0.28	12/15/21	1.71	1.43 W	0.00	12 of 19 - Sale ²⁵
	0.260	0.28	12/15/21	1.76	1.48 W	0.00	13 of 19 - Sale ²⁵
	0.740	0.80	12/15/21	4.99	4.19 W	0.00	14 of 19 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	1.000	1.08	12/15/21	4.85	3.77 W	0.00	15 of 19 - Sale ²⁵
	1.000	1.08	12/15/21	4.86	3.78 W	0.00	16 of 19 - Sale ²⁵
	1.000	1.08	12/15/21	6.54	5.46 W	0.00	17 of 19 - Sale ²⁵
	3.000	3.24	12/15/21	19.64	16.40 W	0.00	18 of 19 - Sale ²⁵
	3.740	4.04	12/15/21	25.22	21.18 W	0.00	19 of 19 - Sale ²⁵
12/16/21	24.000	25.92	Various	124.24	98.32 W	0.00	Total of 19 transactions
	92 transactions for 12/31/21. Total proceeds and cost reported to the IRS.						
	0.260	0.23	12/27/21	0.42	0.19 W	0.00	1 of 92 - Sale ²⁵
	0.342	0.30	12/27/21	2.31	2.01 W	0.00	2 of 92 - Sale ²⁵
	0.740	0.65	12/27/21	2.73	2.08 W	0.00	3 of 92 - Sale ²⁵
	0.918	0.80	12/27/21	6.21	5.41 W	0.00	4 of 92 - Sale ²⁵
	1.000	0.88	12/27/21	1.53	0.65 W	0.00	5 of 92 - Sale ²⁵
	1.000	0.88	12/27/21	4.44	3.56 W	0.00	6 of 92 - Sale ²⁵
	1.000	0.88	12/27/21	5.21	4.33 W	0.00	7 of 92 - Sale ²⁵
	1.000	0.88	12/27/21	6.64	5.76 W	0.00	8 of 92 - Sale ²⁵
	1.260	1.10	12/27/21	4.68	3.58 W	0.00	9 of 92 - Sale ²⁵
	1.740	1.53	12/27/21	6.58	5.05 W	0.00	10 of 92 - Sale ²⁵
	1.740	1.53	12/27/21	7.10	5.57 W	0.00	11 of 92 - Sale ²⁵
	2.000	1.75	12/27/21	3.15	1.40 W	0.00	12 of 92 - Sale ²⁵
	2.000	1.75	12/27/21	7.38	5.63 W	0.00	13 of 92 - Sale ²⁵
	2.000	1.75	12/27/21	13.27	11.52 W	0.00	14 of 92 - Sale ²⁵
	2.000	1.75	12/27/21	13.35	11.60 W	0.00	15 of 92 - Sale ²⁵
	2.260	1.98	12/27/21	8.60	6.62 W	0.00	16 of 92 - Sale ²⁵
	2.260	1.98	12/27/21	9.29	7.31 W	0.00	17 of 92 - Sale ²⁵
	3.000	2.63	12/27/21	11.40	8.77 W	0.00	18 of 92 - Sale ²⁵
	3.000	2.63	12/27/21	15.30	12.67 W	0.00	19 of 92 - Sale ²⁵
	3.000	2.63	12/27/21	19.71	17.08 W	0.00	20 of 92 - Sale ²⁵
	3.000	2.63	12/27/21	20.18	17.55 W	0.00	21 of 92 - Sale ²⁵
	4.479	3.93	12/27/21	17.21	13.28 W	0.00	22 of 92 - Sale ²⁵
	5.000	4.38	12/27/21	7.75	3.37 W	0.00	23 of 92 - Sale ²⁵
	10.000	8.77	12/27/21	12.89	4.12 W	0.00	24 of 92 - Sale ²⁵
	20.000	17.54	12/27/21	25.52	7.98 W	0.00	25 of 92 - Sale ²⁵
	25.000	21.92	12/27/21	41.26	19.34 W	0.00	26 of 92 - Sale ²⁵
	0.260	0.23	12/28/21	0.40	0.17 W	0.00	27 of 92 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	0.260	0.23	12/28/21	1.04	0.81 W	0.00	28 of 92 - Sale ²⁵
	0.260	0.23	12/28/21	1.13	0.90 W	0.00	29 of 92 - Sale ²⁵
	0.260	0.23	12/28/21	1.13	0.90 W	0.00	30 of 92 - Sale ²⁵
	0.260	0.23	12/28/21	1.67	1.44 W	0.00	31 of 92 - Sale ²⁵
	0.260	0.23	12/28/21	1.72	1.49 W	0.00	32 of 92 - Sale ²⁵
	0.260	0.23	12/28/21	1.72	1.49 W	0.00	33 of 92 - Sale ²⁵
	0.260	0.23	12/28/21	1.80	1.57 W	0.00	34 of 92 - Sale ²⁵
	0.260	0.23	12/28/21	1.81	1.58 W	0.00	35 of 92 - Sale ²⁵
	0.342	0.30	12/28/21	2.32	2.02 W	0.00	36 of 92 - Sale ²⁵
	0.410	0.36	12/28/21	2.19	1.83 W	0.00	37 of 92 - Sale ²⁵
	0.521	0.46	12/28/21	1.97	1.51 W	0.00	38 of 92 - Sale ²⁵
	0.590	0.52	12/28/21	2.36	1.84 W	0.00	39 of 92 - Sale ²⁵
	0.590	0.52	12/28/21	2.88	2.36 W	0.00	40 of 92 - Sale ²⁵
	0.671	0.59	12/28/21	2.60	2.01 W	0.00	41 of 92 - Sale ²⁵
	0.740	0.65	12/28/21	0.75	0.10 W	0.00	42 of 92 - Sale ²⁵
	0.740	0.65	12/28/21	1.14	0.49 W	0.00	43 of 92 - Sale ²⁵
	0.740	0.65	12/28/21	1.16	0.51 W	0.00	44 of 92 - Sale ²⁵
	0.740	0.65	12/28/21	2.85	2.20 W	0.00	45 of 92 - Sale ²⁵
	0.740	0.65	12/28/21	2.96	2.31 W	0.00	46 of 92 - Sale ²⁵
	0.740	0.65	12/28/21	3.17	2.52 W	0.00	47 of 92 - Sale ²⁵
	0.740	0.65	12/28/21	4.87	4.22 W	0.00	48 of 92 - Sale ²⁵
	0.740	0.65	12/28/21	5.12	4.47 W	0.00	49 of 92 - Sale ²⁵
	1.000	0.88	12/28/21	0.95	0.07 W	0.00	50 of 92 - Sale ²⁵
	1.000	0.88	12/28/21	3.61	2.73 W	0.00	51 of 92 - Sale ²⁵
	1.000	0.88	12/28/21	3.77	2.89 W	0.00	52 of 92 - Sale ²⁵
	1.000	0.88	12/28/21	3.83	2.95 W	0.00	53 of 92 - Sale ²⁵
	1.000	0.88	12/28/21	3.85	2.97 W	0.00	54 of 92 - Sale ²⁵
	1.000	0.88	12/28/21	3.91	3.03 W	0.00	55 of 92 - Sale ²⁵
	1.000	0.88	12/28/21	4.07	3.19 W	0.00	56 of 92 - Sale ²⁵
	1.000	0.88	12/28/21	4.69	3.81 W	0.00	57 of 92 - Sale ²⁵
	1.000	0.88	12/28/21	4.70	3.82 W	0.00	58 of 92 - Sale ²⁵
	1.000	0.88	12/28/21	4.77	3.89 W	0.00	59 of 92 - Sale ²⁵
	1.000	0.88	12/28/21	4.78	3.90 W	0.00	60 of 92 - Sale ²⁵
	1.000	0.88	12/28/21	5.40	4.52 W	0.00	61 of 92 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	1.000	0.88	12/28/21	6.38	5.50 W	0.00	62 of 92 - Sale ²⁵
	1.000	0.88	12/28/21	7.06	6.18 W	0.00	63 of 92 - Sale ²⁵
	1.189	1.04	12/28/21	1.13	0.09 W	0.00	64 of 92 - Sale ²⁵
	1.260	1.10	12/28/21	4.76	3.66 W	0.00	65 of 92 - Sale ²⁵
	1.260	1.10	12/28/21	4.85	3.75 W	0.00	66 of 92 - Sale ²⁵
	1.329	1.17	12/28/21	5.11	3.94 W	0.00	67 of 92 - Sale ²⁵
	1.410	1.24	12/28/21	5.65	4.41 W	0.00	68 of 92 - Sale ²⁵
	1.410	1.24	12/28/21	6.87	5.63 W	0.00	69 of 92 - Sale ²⁵
	1.410	1.24	12/28/21	10.03	8.79 W	0.00	70 of 92 - Sale ²⁵
	1.521	1.33	12/28/21	1.48	0.15 W	0.00	71 of 92 - Sale ²⁵
	1.590	1.39	12/28/21	8.41	7.02 W	0.00	72 of 92 - Sale ²⁵
	1.590	1.39	12/28/21	11.28	9.89 W	0.00	73 of 92 - Sale ²⁵
	1.740	1.52	12/28/21	6.60	5.08 W	0.00	74 of 92 - Sale ²⁵
	1.740	1.52	12/28/21	11.14	9.62 W	0.00	75 of 92 - Sale ²⁵
	1.740	1.52	12/28/21	11.49	9.97 W	0.00	76 of 92 - Sale ²⁵
	1.740	1.52	12/28/21	12.10	10.58 W	0.00	77 of 92 - Sale ²⁵
	1.740	1.53	12/28/21	7.54	6.01 W	0.00	78 of 92 - Sale ²⁵
	2.000	1.75	12/28/21	2.02	0.27 W	0.00	79 of 92 - Sale ²⁵
	2.000	1.75	12/28/21	7.24	5.49 W	0.00	80 of 92 - Sale ²⁵
	2.000	1.75	12/28/21	10.76	9.01 W	0.00	81 of 92 - Sale ²⁵
	2.000	1.75	12/28/21	14.03	12.28 W	0.00	82 of 92 - Sale ²⁵
	3.000	2.63	12/28/21	2.88	0.25 W	0.00	83 of 92 - Sale ²⁵
	3.000	2.63	12/28/21	11.51	8.88 W	0.00	84 of 92 - Sale ²⁵
	3.000	2.63	12/28/21	11.66	9.03 W	0.00	85 of 92 - Sale ²⁵
	3.000	2.63	12/28/21	11.93	9.30 W	0.00	86 of 92 - Sale ²⁵
	3.000	2.63	12/28/21	19.16	16.53 W	0.00	87 of 92 - Sale ²⁵
	3.740	3.28	12/28/21	24.62	21.34 W	0.00	88 of 92 - Sale ²⁵
	5.328	4.67	12/28/21	4.91	0.24 W	0.00	89 of 92 - Sale ²⁵
	8.000	7.01	12/28/21	7.52	0.51 W	0.00	90 of 92 - Sale ²⁵
	11.000	9.63	12/28/21	10.12	0.49 W	0.00	91 of 92 - Sale ²⁵
	28.960	25.36	12/29/21	26.35	0.99 W	0.00	92 of 92 - Sale ²⁵
12/31/21	228.081	199.97	Various	657.79	457.82 W	0.00	Total of 92 transactions
	Security total:	2,898.15		5,722.44	2,963.06 W	138.77	

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
11/29/21	10.000	125.50	11/17/21	124.29	...	1.21	Sale ²⁵
CARA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 140755109 / Symbol:							
<i>2 transactions for 08/23/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	14.23	08/23/21	14.10	...	0.13	1 of 2 - Sale ²⁵
	1.000	17.75	08/23/21	13.60	...	4.15	2 of 2 - Sale ²⁵
08/23/21	2.000	31.98	Various	27.70	...	4.28	Total of 2 transactions
08/27/21	10.000	139.40	08/25/21	138.00	...	1.40	Sale ²⁵
<i>2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	39.81	10/25/21	39.36	...	0.45	1 of 2 - Sale ²⁵
	12.000	159.26	10/25/21	157.92	...	1.34	2 of 2 - Sale ²⁵
10/26/21	15.000	199.07	Various	197.28	...	1.79	Total of 2 transactions
<i>2 transactions for 12/16/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	124.04	12/14/21	120.80	...	3.24	1 of 2 - Sale ²⁵
	10.000	124.04	12/15/21	117.58	...	6.46	2 of 2 - Sale ²⁵
12/16/21	20.000	248.08	Various	238.38	...	9.70	Total of 2 transactions
	Security total:	618.53		601.36	...	17.17	
CARDIFF ONCOLOGY, INC. COMMON STOCK / CUSIP: 14147L108 / Symbol:							
<i>4 transactions for 09/13/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	13.90	09/09/21	16.00	...	-2.10	1 of 4 - Sale ²⁵
	1.000	6.95	09/09/21	6.96	...	-0.01	2 of 4 - Sale ²⁵
	2.000	13.90	09/10/21	13.18	...	0.72	3 of 4 - Sale ²⁵
	5.000	34.75	09/10/21	31.35	...	3.40	4 of 4 - Sale ²⁵
09/13/21	10.000	69.50	Various	67.49	...	2.01	Total of 4 transactions
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
02/03/21	2.000	134.85	02/03/21	129.44	...	5.41	Sale ²⁵
11/19/21	4.000	207.32	11/18/21	204.78	...	2.54	Sale ²⁵
	Security total:	342.17		334.22	...	7.95	
CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
08/26/21	1.000	5.51	08/23/21	5.51	...	0.00	Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CEREVEL THERAPEUTICS HOLDINGS, INC. COMMON STOCK / CUSIP: 15678U128 / Symbol:							
06/29/21	2.000	51.00	06/29/21	50.00	...	1.00	Sale ²⁵
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol:							
2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	25.000	576.74	09/02/21	579.16	...	-2.42	1 of 2 - Sale ²⁵
	10.000	230.70	09/02/21	222.00	...	8.70	2 of 2 - Sale ²⁵
09/03/21	35.000	807.44	Various	801.16	...	6.28	Total of 2 transactions
CHECKPOINT THERAPEUTICS, INC. COMMON STOCK / CUSIP: 162828107 / Symbol:							
41 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	2.000	7.20	02/16/21	8.42	...	-1.22	1 of 41 - Sale ²⁵
	1.000	3.60	02/17/21	3.86	...	-0.26	2 of 41 - Sale ²⁵
	2.000	7.20	02/23/21	6.55	...	0.65	3 of 41 - Sale ²⁵
	2.000	7.20	02/24/21	6.90	...	0.30	4 of 41 - Sale ²⁵
	2.000	7.20	02/24/21	6.90	...	0.30	5 of 41 - Sale ²⁵
	1.000	3.60	02/25/21	3.29	...	0.31	6 of 41 - Sale ²⁵
	3.000	10.80	02/26/21	9.50	...	1.30	7 of 41 - Sale ²⁵
	2.000	7.20	03/02/21	6.76	...	0.44	8 of 41 - Sale ²⁵
	1.000	3.60	03/04/21	2.96	...	0.64	9 of 41 - Sale ²⁵
	2.000	7.20	03/04/21	6.24	...	0.96	10 of 41 - Sale ²⁵
	3.000	10.80	03/04/21	8.84	...	1.96	11 of 41 - Sale ²⁵
	4.000	14.40	03/04/21	11.46	...	2.94	12 of 41 - Sale ²⁵
	2.000	7.20	03/05/21	5.66	...	1.54	13 of 41 - Sale ²⁵
	1.000	3.60	03/09/21	3.17	...	0.43	14 of 41 - Sale ²⁵
	2.000	7.20	03/17/21	6.68	...	0.52	15 of 41 - Sale ²⁵
	1.000	3.60	03/18/21	3.43	...	0.17	16 of 41 - Sale ²⁵
	1.000	3.60	03/25/21	3.10	...	0.50	17 of 41 - Sale ²⁵
	1.000	3.60	03/29/21	3.07	...	0.53	18 of 41 - Sale ²⁵
	1.000	3.60	03/29/21	3.04	...	0.56	19 of 41 - Sale ²⁵
	1.000	3.60	03/30/21	2.96	...	0.64	20 of 41 - Sale ²⁵
	3.000	10.80	04/09/21	8.94	...	1.86	21 of 41 - Sale ²⁵
	2.000	7.20	04/15/21	5.89	...	1.31	22 of 41 - Sale ²⁵
	1.000	3.60	04/20/21	2.69	...	0.91	23 of 41 - Sale ²⁵
	1.000	3.60	04/21/21	2.67	...	0.93	24 of 41 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CHECKPOINT THERAPEUTICS, INC. COMMON STOCK / CUSIP: 162828107 / Symbol: (cont'd)							
	2.000	7.20	04/21/21	5.54	...	1.66	25 of 41 - Sale ²⁵
	1.000	3.60	04/23/21	2.82	...	0.78	26 of 41 - Sale ²⁵
	2.000	7.20	04/27/21	5.72	...	1.48	27 of 41 - Sale ²⁵
	1.000	3.60	04/29/21	2.97	...	0.63	28 of 41 - Sale ²⁵
	1.000	3.60	05/03/21	2.87	...	0.73	29 of 41 - Sale ²⁵
	2.000	7.20	05/03/21	5.86	...	1.34	30 of 41 - Sale ²⁵
	2.000	7.20	05/03/21	5.79	...	1.41	31 of 41 - Sale ²⁵
	2.000	7.20	05/03/21	5.78	...	1.42	32 of 41 - Sale ²⁵
	2.000	7.20	05/04/21	5.57	...	1.63	33 of 41 - Sale ²⁵
	2.000	7.20	05/06/21	4.80	...	2.40	34 of 41 - Sale ²⁵
	2.000	7.20	05/13/21	4.75	...	2.45	35 of 41 - Sale ²⁵
	1.000	3.60	05/24/21	2.52	...	1.08	36 of 41 - Sale ²⁵
	5.000	18.00	05/26/21	12.40	...	5.60	37 of 41 - Sale ²⁵
	3.000	10.80	05/27/21	7.59	...	3.21	38 of 41 - Sale ²⁵
	3.000	10.80	05/28/21	8.12	...	2.68	39 of 41 - Sale ²⁵
	17.000	61.19	05/28/21	46.06	...	15.13	40 of 41 - Sale ²⁵
	49.000	176.38	06/07/21	129.34	...	47.04	41 of 41 - Sale ²⁵
06/09/21	139.000	500.37	Various	391.48	...	108.89	Total of 41 transactions
06/09/21	10.000	36.00	02/11/21	43.98	0.80 W	-7.18	Sale ²⁵
06/24/21	1.000	2.95	06/07/21	3.44	...	-0.49	Sale ²⁵
	Security total:	539.32		438.90	0.80 W	101.22	

CHEMOMAB THERAPEUTICS LTD. AME RICAN DEPOSITARY SHARE / CUSIP: 16385C104 / Symbol:

10 transactions for 08/27/21. Total proceeds and cost reported to the IRS.

	2.000	31.20	06/11/21	48.88	...	-17.68	1 of 10 - Sale ²⁵
	1.000	15.60	06/21/21	20.99	...	-5.39	2 of 10 - Sale ²⁵
	1.000	15.60	06/29/21	19.23	...	-3.63	3 of 10 - Sale ²⁵
	1.000	15.60	07/26/21	18.20	...	-2.60	4 of 10 - Sale ²⁵
	1.000	15.60	07/28/21	16.67	...	-1.07	5 of 10 - Sale ²⁵
	5.000	78.00	08/16/21	71.40	...	6.60	6 of 10 - Sale ²⁵
	10.000	156.00	08/16/21	139.96	...	16.04	7 of 10 - Sale ²⁵
	1.000	15.60	08/23/21	12.56	...	3.04	8 of 10 - Sale ²⁵
	5.000	78.00	08/23/21	61.96	...	16.04	9 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHEMOMAB THERAPEUTICS LTD. AME RICAN DEPOSITARY SHARE / CUSIP: 16385C104 / Symbol: (cont'd)							
	2.000	31.20	08/25/21	26.40	...	4.80	10 of 10 - Sale ²⁵
08/27/21	29.000	452.40	Various	436.25	...	16.15	Total of 10 transactions
10/21/21	20.000	241.40	10/20/21	206.19	...	35.21	Sale ²⁵
11/01/21	6.000	63.06	10/29/21	60.35	...	2.71	Sale ²⁵
11/12/21	1.000	9.27	11/04/21	9.86	...	-0.59	Sale ²⁵
	4 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	4.000	37.08	10/29/21	40.23	3.15 W	0.00	1 of 4 - Sale ²⁵
	5.000	46.35	10/29/21	50.18	3.83 W	0.00	2 of 4 - Sale ²⁵
	10.000	92.70	11/03/21	100.40	7.70 W	0.00	3 of 4 - Sale ²⁵
	5.000	46.35	11/04/21	49.79	2.75 W	-0.69	4 of 4 - Sale ²⁵
11/12/21	24.000	222.48	Various	240.60	17.43 W	-0.69	Total of 4 transactions
	9 transactions for 11/15/21. Total proceeds and cost reported to the IRS.						
	2.000	21.16	11/04/21	21.36	...	-0.20	1 of 9 - Sale ²⁵
	2.000	21.16	11/04/21	21.30	...	-0.14	2 of 9 - Sale ²⁵
	1.000	10.58	11/04/21	10.67	...	-0.09	3 of 9 - Sale ²⁵
	4.000	42.32	11/08/21	42.64	...	-0.32	4 of 9 - Sale ²⁵
	1.000	10.58	11/08/21	10.66	...	-0.08	5 of 9 - Sale ²⁵
	5.000	52.90	11/09/21	53.09	...	-0.19	6 of 9 - Sale ²⁵
	4.000	42.32	11/10/21	42.94	...	-0.62	7 of 9 - Sale ²⁵
	1.000	10.58	11/10/21	10.65	...	-0.07	8 of 9 - Sale ²⁵
	3.000	31.74	11/12/21	30.08	...	1.66	9 of 9 - Sale ²⁵
11/15/21	23.000	243.34	Various	243.39	...	-0.05	Total of 9 transactions
	8 transactions for 12/22/21. Total proceeds and cost reported to the IRS.						
	1.000	7.29	12/16/21	7.69	...	-0.40	1 of 8 - Sale ²⁵
	15.000	109.42	12/16/21	103.50	...	5.92	2 of 8 - Sale ²⁵
	1.000	7.29	12/20/21	6.46	...	0.83	3 of 8 - Sale ²⁵
	2.000	14.59	12/20/21	12.92	...	1.67	4 of 8 - Sale ²⁵
	4.000	29.18	12/20/21	25.99	...	3.19	5 of 8 - Sale ²⁵
	10.000	72.95	12/20/21	64.80	...	8.15	6 of 8 - Sale ²⁵
	1.000	7.29	12/21/21	6.55	...	0.74	7 of 8 - Sale ²⁵
	2.000	14.59	12/21/21	13.23	...	1.36	8 of 8 - Sale ²⁵
12/22/21	36.000	262.60	Various	241.14	...	21.46	Total of 8 transactions
	Security total:	1,494.55		1,437.78	17.43 W	74.20	

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
02/26/21	3.000	91.80	02/25/21	84.00	...	7.80	Sale ²⁵
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
06/08/21	2.000	45.20	06/08/21	41.48	...	3.72	Sale ²⁵
	2 transactions for 09/27/21. Total proceeds and cost reported to the IRS.						
	10.000	80.90	09/07/21	109.00	28.10 W	0.00	1 of 2 - Sale ²⁵
	10.000	80.90	09/09/21	96.55	15.65 W	0.00	2 of 2 - Sale ²⁵
09/27/21	20.000	161.80	Various	205.55	43.75 W	0.00	Total of 2 transactions
	2 transactions for 09/29/21. Total proceeds and cost reported to the IRS.						
	3.000	22.41	09/09/21	33.35	10.94 W	0.00	1 of 2 - Sale ²⁵
	10.000	74.70	09/09/21	123.60	48.90 W	0.00	2 of 2 - Sale ²⁵
09/29/21	13.000	97.11	Various	156.95	59.84 W	0.00	Total of 2 transactions
	3 transactions for 09/30/21. Total proceeds and cost reported to the IRS.						
	2.000	14.52	09/09/21	27.72	13.20 W	0.00	1 of 3 - Sale ²⁵
	7.000	50.82	09/09/21	77.80	26.98 W	0.00	2 of 3 - Sale ²⁵
	1.000	7.26	09/10/21	13.90	6.64 W	0.00	3 of 3 - Sale ²⁵
09/30/21	10.000	72.60	Various	119.42	46.82 W	0.00	Total of 3 transactions
10/05/21	7.000	50.27	09/10/21	97.30	47.03 W	0.00	Sale ²⁵
	2 transactions for 10/06/21. Total proceeds and cost reported to the IRS.						
	3.000	21.90	09/13/21	36.59	14.69 W	0.00	1 of 2 - Sale ²⁵
	7.000	51.10	09/13/21	86.83	35.73 W	0.00	2 of 2 - Sale ²⁵
10/06/21	10.000	73.00	Various	123.42	50.42 W	0.00	Total of 2 transactions
	14 transactions for 10/14/21. Total proceeds and cost reported to the IRS.						
	7.000	57.47	09/24/21	100.58	...	-43.11	1 of 14 - Sale ²⁵
	2.000	16.42	09/24/21	28.50	...	-12.08	2 of 14 - Sale ²⁵
	1.000	8.21	09/24/21	14.29	...	-6.08	3 of 14 - Sale ²⁵
	1.000	8.21	09/27/21	13.18	...	-4.97	4 of 14 - Sale ²⁵
	2.000	16.42	09/28/21	25.67	...	-9.25	5 of 14 - Sale ²⁵
	1.000	8.21	09/29/21	12.58	...	-4.37	6 of 14 - Sale ²⁵
	1.000	8.21	09/30/21	12.38	...	-4.17	7 of 14 - Sale ²⁵
	3.000	24.63	10/01/21	36.82	...	-12.19	8 of 14 - Sale ²⁵
	2.000	16.42	10/01/21	24.90	...	-8.48	9 of 14 - Sale ²⁵
	1.000	8.21	10/06/21	7.35	...	0.86	10 of 14 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol: (cont'd)							
	2.000	16.42	10/07/21	15.12	...	1.30	11 of 14 - Sale ²⁵
	10.000	82.10	10/08/21	77.05	...	5.05	12 of 14 - Sale ²⁵
	10.000	82.10	10/08/21	75.20	...	6.90	13 of 14 - Sale ²⁵
	5.000	41.05	10/11/21	40.49	...	0.56	14 of 14 - Sale ²⁵
10/14/21	48.000	394.08	Various	484.11	...	-90.03	Total of 14 transactions
	Security total:	894.06		1,228.23	247.86 W	-86.31	
COMCAST CORPORATION CLASS A COMMON STOCK / CUSIP: 20030N101 / Symbol:							
01/28/21	1.000	50.46	01/27/21	48.46	...	2.00	Sale ²⁵
COMPASS PATHWAYS PLC AMERICAN DEPOSITORY SHARES / CUSIP: 20451W101 / Symbol:							
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	28.09	12/06/21	27.47	...	0.62	1 of 2 - Sale ²⁵
	1.000	28.09	12/06/21	27.40	...	0.69	2 of 2 - Sale ²⁵
12/07/21	2.000	56.18	Various	54.87	...	1.31	Total of 2 transactions
COMSTOCK MINING INC. / CUSIP: 205750300 / Symbol:							
18 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	4.000	24.00	02/17/21	30.00	...	-6.00	1 of 18 - Sale ²⁵
	3.000	18.00	02/17/21	19.26	...	-1.26	2 of 18 - Sale ²⁵
	1.000	6.00	02/17/21	7.07	...	-1.07	3 of 18 - Sale ²⁵
	1.000	6.00	02/17/21	6.97	...	-0.97	4 of 18 - Sale ²⁵
	1.000	6.00	02/17/21	6.94	...	-0.94	5 of 18 - Sale ²⁵
	1.000	6.00	02/17/21	6.68	...	-0.68	6 of 18 - Sale ²⁵
	1.000	6.00	02/17/21	6.63	...	-0.63	7 of 18 - Sale ²⁵
	1.000	6.00	02/17/21	6.61	...	-0.61	8 of 18 - Sale ²⁵
	1.000	6.00	02/17/21	5.97	...	0.03	9 of 18 - Sale ²⁵
	1.000	6.00	02/17/21	5.84	...	0.16	10 of 18 - Sale ²⁵
	2.000	12.00	02/17/21	11.84	...	0.16	11 of 18 - Sale ²⁵
	5.000	30.00	02/17/21	27.60	...	2.40	12 of 18 - Sale ²⁵
	1.000	6.00	02/18/21	4.70	...	1.30	13 of 18 - Sale ²⁵
	2.000	12.00	02/18/21	9.90	...	2.10	14 of 18 - Sale ²⁵
	3.000	18.00	02/18/21	13.68	...	4.32	15 of 18 - Sale ²⁵
	5.000	30.00	02/22/21	27.75	...	2.25	16 of 18 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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COMSTOCK MINING INC. / CUSIP: 205750300 / Symbol: (cont'd)							
	2.000	12.00	02/23/21	9.92	...	2.08	17 of 18 - Sale ²⁵
	5.000	30.00	02/23/21	27.35	...	2.65	18 of 18 - Sale ²⁵
02/23/21	40.000	240.00	Various	234.71	...	5.29	Total of 18 transactions
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
06/09/21	8.000	116.00	06/08/21	95.60	...	20.40	Sale ²⁵
CONTEXT THERAPEUTICS INC. COMM ON STOCK / CUSIP: 21077P108 / Symbol:							
12/01/21	14.000	128.80	11/30/21	108.92	...	19.88	Sale ²⁵
	2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.						
	3.000	20.30	12/09/21	17.19	...	3.11	1 of 2 - Sale ²⁵
	65.000	439.75	12/09/21	377.00	...	62.75	2 of 2 - Sale ²⁵
12/10/21	68.000	460.05	Various	394.19	...	65.86	Total of 2 transactions
	8 transactions for 12/14/21. Total proceeds and cost reported to the IRS.						
	3.000	11.88	12/10/21	15.99	4.11 W	0.00	1 of 8 - Sale ²⁵
	10.000	39.60	12/10/21	54.28	14.68 W	0.00	2 of 8 - Sale ²⁵
	25.000	98.99	12/10/21	140.00	41.01 W	0.00	3 of 8 - Sale ²⁵
	100.000	395.98	12/10/21	580.00	184.02 W	0.00	4 of 8 - Sale ²⁵
	1.000	3.96	12/13/21	4.76	0.80 W	0.00	5 of 8 - Sale ²⁵
	2.000	7.92	12/13/21	9.31	1.39 W	0.00	6 of 8 - Sale ²⁵
	3.000	11.88	12/13/21	13.89	2.01 W	0.00	7 of 8 - Sale ²⁵
	5.000	19.80	12/13/21	23.40	3.60 W	0.00	8 of 8 - Sale ²⁵
12/14/21	149.000	590.01	Various	841.63	251.62 W	0.00	Total of 8 transactions
	6 transactions for 12/15/21. Total proceeds and cost reported to the IRS.						
	1.000	3.63	12/13/21	6.04	2.41 W	0.00	1 of 6 - Sale ²⁵
	1.000	3.63	12/14/21	5.49	1.86 W	0.00	2 of 6 - Sale ²⁵
	3.000	10.89	12/14/21	16.29	5.40 W	0.00	3 of 6 - Sale ²⁵
	10.000	36.30	12/14/21	54.20	17.90 W	0.00	4 of 6 - Sale ²⁵
	28.000	101.64	12/14/21	159.33	57.69 W	0.00	5 of 6 - Sale ²⁵
	71.000	257.72	12/14/21	404.00	146.28 W	0.00	6 of 6 - Sale ²⁵
12/15/21	114.000	413.81	Various	645.35	231.54 W	0.00	Total of 6 transactions
	14 transactions for 12/16/21. Total proceeds and cost reported to the IRS.						
	1.000	3.31	12/14/21	5.43	2.12 W	0.00	1 of 14 - Sale ²⁵
	1.000	3.31	12/15/21	4.38	1.07 W	0.00	2 of 14 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CONTEXT THERAPEUTICS INC. COMM ON STOCK / CUSIP: 21077P108 / Symbol: (cont'd)							
	1.000	3.31	12/15/21	5.99	2.68 W	0.00	3 of 14 - Sale ²⁵
	2.000	6.62	12/15/21	8.55	1.93 W	0.00	4 of 14 - Sale ²⁵
	3.000	9.93	12/15/21	12.75	2.82 W	0.00	5 of 14 - Sale ²⁵
	3.000	9.93	12/15/21	14.85	4.92 W	0.00	6 of 14 - Sale ²⁵
	5.000	16.56	12/15/21	21.50	4.94 W	0.00	7 of 14 - Sale ²⁵
	5.000	16.56	12/15/21	27.15	10.59 W	0.00	8 of 14 - Sale ²⁵
	6.000	19.87	12/15/21	32.46	12.59 W	0.00	9 of 14 - Sale ²⁵
	10.000	33.11	12/15/21	52.21	19.10 W	0.00	10 of 14 - Sale ²⁵
	10.000	33.12	12/15/21	50.48	17.36 W	0.00	11 of 14 - Sale ²⁵
	12.000	39.74	12/15/21	67.68	27.94 W	0.00	12 of 14 - Sale ²⁵
	24.000	79.48	12/15/21	132.97	53.49 W	0.00	13 of 14 - Sale ²⁵
	28.000	92.72	12/15/21	157.93	65.21 W	0.00	14 of 14 - Sale ²⁵
12/16/21	111.000	367.57	Various	594.33	226.76 W	0.00	Total of 14 transactions
	13 transactions for 12/17/21. Total proceeds and cost reported to the IRS.						
	1.000	3.18	12/15/21	5.41	2.23 W	0.00	1 of 13 - Sale ²⁵
	1.000	3.18	12/16/21	5.11	1.93 W	0.00	2 of 13 - Sale ²⁵
	1.000	3.18	12/16/21	5.37	2.19 W	0.00	3 of 13 - Sale ²⁵
	1.000	3.18	12/16/21	5.93	2.75 W	0.00	4 of 13 - Sale ²⁵
	2.000	6.36	12/16/21	9.84	3.48 W	0.00	5 of 13 - Sale ²⁵
	3.000	9.54	12/16/21	14.67	5.13 W	0.00	6 of 13 - Sale ²⁵
	3.000	9.54	12/16/21	15.15	5.61 W	0.00	7 of 13 - Sale ²⁵
	8.000	25.43	12/16/21	39.89	14.46 W	0.00	8 of 13 - Sale ²⁵
	10.000	31.80	12/16/21	50.40	18.60 W	0.00	9 of 13 - Sale ²⁵
	10.000	31.80	12/16/21	51.60	19.80 W	0.00	10 of 13 - Sale ²⁵
	12.000	38.16	12/16/21	66.94	28.78 W	0.00	11 of 13 - Sale ²⁵
	23.000	73.14	12/16/21	122.14	49.00 W	0.00	12 of 13 - Sale ²⁵
	28.000	89.04	12/16/21	156.21	67.17 W	0.00	13 of 13 - Sale ²⁵
12/17/21	103.000	327.53	Various	548.66	221.13 W	0.00	Total of 13 transactions
	10 transactions for 12/20/21. Total proceeds and cost reported to the IRS.						
	9.860	28.30	12/17/21	48.81	...	-20.51	1 of 10 - Sale ²⁵
	8.000	22.96	12/17/21	38.22	...	-15.26	2 of 10 - Sale ²⁵
	3.000	8.61	12/17/21	14.88	...	-6.27	3 of 10 - Sale ²⁵
	5.000	14.35	12/17/21	20.39	...	-6.04	4 of 10 - Sale ²⁵
	3.000	8.61	12/17/21	14.04	...	-5.43	5 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONTEXT THERAPEUTICS INC. COMM ON STOCK / CUSIP: 21077P108 / Symbol: (cont'd)							
	2.000	5.74	12/17/21	9.42	...	-3.68	6 of 10 - Sale ²⁵
	3.000	8.61	12/17/21	12.09	...	-3.48	7 of 10 - Sale ²⁵
	27.000	77.47	12/17/21	80.19	...	-2.72	8 of 10 - Sale ²⁵
	1.860	5.34	12/17/21	7.55	...	-2.21	9 of 10 - Sale ²⁵
	0.140	0.40	12/17/21	0.71	...	-0.31	10 of 10 - Sale ²⁵
12/20/21	62.860	180.39	Various	246.30	...	-65.91	Total of 10 transactions
	14 transactions for 12/20/21. Total proceeds and cost reported to the IRS.						
	0.140	0.40	12/16/21	0.58	0.18 W	0.00	1 of 14 - Sale ²⁵
	0.140	0.40	12/16/21	0.59	0.19 W	0.00	2 of 14 - Sale ²⁵
	0.860	2.47	12/16/21	3.66	1.19 W	0.00	3 of 14 - Sale ²⁵
	10.000	28.70	12/17/21	49.50	14.96 W	-5.84	4 of 14 - Sale ²⁵
	1.000	2.87	12/17/21	5.02	2.15 W	0.00	5 of 14 - Sale ²⁵
	1.000	2.87	12/17/21	5.28	2.41 W	0.00	6 of 14 - Sale ²⁵
	1.000	2.87	12/17/21	5.32	2.45 W	0.00	7 of 14 - Sale ²⁵
	1.000	2.87	12/17/21	5.84	2.97 W	0.00	8 of 14 - Sale ²⁵
	5.000	14.35	12/17/21	26.04	11.69 W	0.00	9 of 14 - Sale ²⁵
	6.000	17.22	12/17/21	31.13	13.91 W	0.00	10 of 14 - Sale ²⁵
	12.000	34.44	12/17/21	65.86	31.42 W	0.00	11 of 14 - Sale ²⁵
	23.000	66.01	12/17/21	120.07	54.06 W	0.00	12 of 14 - Sale ²⁵
	24.000	68.87	12/17/21	127.65	58.78 W	0.00	13 of 14 - Sale ²⁵
	28.000	80.36	12/17/21	153.69	73.33 W	0.00	14 of 14 - Sale ²⁵
12/20/21	113.140	324.70	Various	600.23	269.69 W	-5.84	Total of 14 transactions
	19 transactions for 12/22/21. Total proceeds and cost reported to the IRS.						
	0.140	0.44	12/17/21	0.60	...	-0.16	1 of 19 - Sale ²⁵
	28.000	88.14	12/20/21	152.57	...	-64.43	2 of 19 - Sale ²⁵
	24.000	75.55	12/20/21	126.70	...	-51.15	3 of 19 - Sale ²⁵
	21.000	66.11	12/20/21	108.79	...	-42.68	4 of 19 - Sale ²⁵
	12.000	37.78	12/20/21	65.38	...	-27.60	5 of 19 - Sale ²⁵
	6.000	18.89	12/20/21	30.89	...	-12.00	6 of 19 - Sale ²⁵
	5.000	15.74	12/20/21	25.84	...	-10.10	7 of 19 - Sale ²⁵
	5.860	18.45	12/20/21	28.13	...	-9.68	8 of 19 - Sale ²⁵
	1.000	3.15	12/20/21	5.80	...	-2.65	9 of 19 - Sale ²⁵
	1.000	3.15	12/20/21	5.28	...	-2.13	10 of 19 - Sale ²⁵
	1.000	3.15	12/20/21	5.24	...	-2.09	11 of 19 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONTEXT THERAPEUTICS INC. COMM ON STOCK / CUSIP: 21077P108 / Symbol: (cont'd)							
	1.000	3.15	12/20/21	5.17	...	-2.02	12 of 19 - Sale ²⁵
	1.000	3.15	12/20/21	4.87	...	-1.72	13 of 19 - Sale ²⁵
	0.792	2.49	12/20/21	4.01	...	-1.52	14 of 19 - Sale ²⁵
	1.000	3.45	12/20/21	4.80	...	-1.35	15 of 19 - Sale ²⁵
	0.860	2.71	12/20/21	3.62	...	-0.91	16 of 19 - Sale ²⁵
	0.333	1.15	12/20/21	1.60	...	-0.45	17 of 19 - Sale ²⁵
	0.208	0.65	12/20/21	1.05	...	-0.40	18 of 19 - Sale ²⁵
	0.140	0.44	12/20/21	0.58	...	-0.14	19 of 19 - Sale ²⁵
12/22/21	110.333	347.74	Various	580.92	...	-233.18	Total of 19 transactions
	Security total:	3,140.60		4,560.53	1,200.74 W	-219.19	
CORSAIR GAMING, INC. COMMON STOCK / CUSIP: 22041X102 / Symbol:							
08/25/21	1.000	27.35	08/24/21	27.28	...	0.07	Sale ²⁵
CORTEXME, INC. COMMON STOCK / CUSIP: 22053A107 / Symbol:							
11/29/21	1.000	12.30	11/26/21	11.80	...	0.50	Sale ²⁵
	6 transactions for 12/28/21. Total proceeds and cost reported to the IRS.						
	1.000	12.37	12/27/21	12.74	0.37 W	0.00	1 of 6 - Sale ²⁵
	10.000	123.71	12/27/21	129.90	6.19 W	0.00	2 of 6 - Sale ²⁵
	10.000	123.71	12/27/21	133.10	9.39 W	0.00	3 of 6 - Sale ²⁵
	15.000	185.57	12/27/21	195.00	9.43 W	0.00	4 of 6 - Sale ²⁵
	20.000	247.43	12/27/21	271.00	23.57 W	0.00	5 of 6 - Sale ²⁵
	50.000	618.56	12/27/21	706.95	88.39 W	0.00	6 of 6 - Sale ²⁵
12/28/21	106.000	1,311.35	Various	1,448.69	137.34 W	0.00	Total of 6 transactions
	7 transactions for 12/29/21. Total proceeds and cost reported to the IRS.						
	1.000	12.03	12/28/21	13.11	1.08 W	0.00	1 of 7 - Sale ²⁵
	1.000	12.03	12/28/21	13.14	1.11 W	0.00	2 of 7 - Sale ²⁵
	1.000	12.03	12/28/21	13.83	1.80 W	0.00	3 of 7 - Sale ²⁵
	5.000	60.16	12/28/21	70.39	10.23 W	0.00	4 of 7 - Sale ²⁵
	5.000	60.17	12/28/21	65.69	5.52 W	0.00	5 of 7 - Sale ²⁵
	15.000	180.49	12/28/21	211.18	30.69 W	0.00	6 of 7 - Sale ²⁵
	50.000	601.63	12/28/21	739.39	137.76 W	0.00	7 of 7 - Sale ²⁵
12/29/21	78.000	938.54	Various	1,126.73	188.19 W	0.00	Total of 7 transactions

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2021 1099-B* OMB No. 1545-0715

(continued)

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CORTEXIME, INC. COMMON STOCK / CUSIP: 22053A107 / Symbol: (cont'd)							
4 transactions for 12/30/21. Total proceeds and cost reported to the IRS.							
	15.000	196.64	12/29/21	201.41	...	-4.77	1 of 4 - Sale ²⁵
	10.000	131.10	12/29/21	134.18	...	-3.08	2 of 4 - Sale ²⁵
	2.000	26.22	12/29/21	27.48	...	-1.26	3 of 4 - Sale ²⁵
	1.000	13.11	12/29/21	13.17	...	-0.06	4 of 4 - Sale ²⁵
12/30/21	28.000	367.07	Various	376.24	...	-9.17	Total of 4 transactions
12/30/21	43.881	575.26	12/29/21	682.53	40.79 W	-66.48	Sale ²⁵
	Security total:	3,204.52		3,645.99	366.32 W	-75.15	
CUMBERLAND PHARMACEUTICALS INC . COMMON STOCK / CUSIP: 230770109 / Symbol:							
2 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	7.000	30.73	11/30/21	30.94	...	-0.21	1 of 2 - Sale ²⁵
	1.000	4.39	11/30/21	4.25	...	0.14	2 of 2 - Sale ²⁵
12/03/21	8.000	35.12	Various	35.19	...	-0.07	Total of 2 transactions
CURO GROUP HOLDINGS CORP. / CUSIP: 23131L107 / Symbol:							
12 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	1.000	15.55	12/18/20	19.14	...	-3.59	1 of 12 - Sale ²⁵
	1.000	15.55	12/18/20	17.31	...	-1.76	2 of 12 - Sale ²⁵
	5.000	77.76	12/18/20	78.48	...	-0.72	3 of 12 - Sale ²⁵
	2.000	31.10	12/21/20	31.02	...	0.08	4 of 12 - Sale ²⁵
	3.000	46.66	12/21/20	45.94	...	0.72	5 of 12 - Sale ²⁵
	5.000	77.76	12/21/20	76.08	...	1.68	6 of 12 - Sale ²⁵
	2.000	31.11	12/21/20	28.95	...	2.16	7 of 12 - Sale ²⁵
	1.000	15.55	01/04/21	12.99	...	2.56	8 of 12 - Sale ²⁵
	1.000	15.55	01/05/21	13.30	...	2.25	9 of 12 - Sale ²⁵
	1.000	15.55	01/05/21	13.30	...	2.25	10 of 12 - Sale ²⁵
	1.000	15.56	01/08/21	13.21	...	2.35	11 of 12 - Sale ²⁵
	1.000	15.55	01/08/21	13.17	...	2.38	12 of 12 - Sale ²⁵
01/14/21	24.000	373.25	Various	362.89	...	10.36	Total of 12 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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CYMBABY THERAPEUTICS INC. COMM ON STOCK / CUSIP: 23257D103 / Symbol:							
2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	1.000	4.65	05/10/21	3.97	...	0.68	1 of 2 - Sale ²⁵
	1.000	4.65	05/10/21	3.97	...	0.68	2 of 2 - Sale ²⁵
06/07/21	2.000	9.30	Various	7.94	...	1.36	Total of 2 transactions
06/17/21	1.000	4.79	06/11/21	4.78	...	0.01	Sale ²⁵
	Security total:	14.09		12.72	...	1.37	
CYTOKINETICS, INCORPORATED COM MON STOCK / CUSIP: 23282W605 / Symbol:							
06/15/21	1.000	22.70	06/11/21	21.68	...	1.02	Sale ²⁵
CYTOMX THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 23284F105 / Symbol:							
4 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	2.000	8.52	12/21/21	7.59	...	0.93	1 of 4 - Sale ²⁵
	3.000	12.78	12/21/21	11.54	...	1.24	2 of 4 - Sale ²⁵
	50.000	212.99	12/21/21	192.75	...	20.24	3 of 4 - Sale ²⁵
	10.000	42.60	12/22/21	38.15	...	4.45	4 of 4 - Sale ²⁵
12/23/21	65.000	276.89	Various	250.03	...	26.86	Total of 4 transactions
DADA NEXUS LIMITED AMERICAN DE POSITARY SHARES / CUSIP: 23344D108 / Symbol:							
2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	1.000	16.56	12/07/21	17.39	0.83 W	0.00	1 of 2 - Sale ²⁵
	19.000	314.35	12/07/21	330.41	16.06 W	0.00	2 of 2 - Sale ²⁵
12/08/21	20.000	330.91	Various	347.80	16.89 W	0.00	Total of 2 transactions
2 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	1.000	17.35	12/08/21	17.17	...	0.18	1 of 2 - Sale ²⁵
	19.000	329.72	12/08/21	326.60	...	3.12	2 of 2 - Sale ²⁵
12/09/21	20.000	347.07	Various	343.77	...	3.30	Total of 2 transactions
12/10/21	20.000	330.24	12/09/21	340.00	9.76 W	0.00	Sale ²⁵
12/13/21	20.000	336.20	12/10/21	337.36	1.16 W	0.00	Sale ²⁵
12/14/21	19.000	286.90	12/13/21	311.75	24.85 W	0.00	Sale ²⁵

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DADA NEXUS LIMITED AMERICAN DE POSITARY SHARES / CUSIP: 23344D108 / Symbol: (cont'd)							
2 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	1.000	14.76	12/13/21	16.41	1.65 W	0.00	1 of 2 - Sale ²⁵
	19.000	280.44	12/14/21	307.95	27.51 W	0.00	2 of 2 - Sale ²⁵
12/15/21	20.000	295.20	Various	324.36	29.16 W	0.00	Total of 2 transactions
6 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	1.000	13.56	12/14/21	16.55	...	-2.99	1 of 6 - Sale ²⁵
	19.000	257.69	12/15/21	299.21	...	-41.52	2 of 6 - Sale ²⁵
	6.000	81.37	12/15/21	85.80	...	-4.43	3 of 6 - Sale ²⁵
	10.000	135.63	12/15/21	139.46	...	-3.83	4 of 6 - Sale ²⁵
	5.000	67.81	12/15/21	69.95	...	-2.14	5 of 6 - Sale ²⁵
	1.000	13.56	12/15/21	13.54	...	0.02	6 of 6 - Sale ²⁵
12/16/21	42.000	569.62	Various	624.51	...	-54.89	Total of 6 transactions
	Security total:	2,496.14		2,629.55	81.82 W	-51.59	
DARE BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 23666P101 / Symbol:							
12/15/21	1.000	1.76	12/08/21	2.44	...	-0.68	Sale ²⁵
DECIPHERA PHARMACEUTICALS, INC . COMMON STOCK / CUSIP: 24344T101 / Symbol:							
11/09/21	10.000	100.90	11/08/21	92.40	...	8.50	Sale ²⁵
DEL TACO RESTAURANTS, INC. COM MON STOCK / CUSIP: 245496104 / Symbol:							
12/07/21	4.000	50.17	12/06/21	50.07	...	0.10	Sale ²⁵
DESTINATION XL GROUP, INC. COM MON STOCK / CUSIP: 25065K104 / Symbol:							
6 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	5.000	34.95	09/08/21	36.00	...	-1.05	1 of 6 - Sale ²⁵
	5.000	34.96	09/08/21	36.00	...	-1.04	2 of 6 - Sale ²⁵
	10.000	69.91	09/08/21	70.00	...	-0.09	3 of 6 - Sale ²⁵
	10.000	69.91	09/08/21	69.93	...	-0.02	4 of 6 - Sale ²⁵
	1.000	6.99	09/09/21	6.00	...	0.99	5 of 6 - Sale ²⁵
	5.000	34.95	09/09/21	32.68	...	2.27	6 of 6 - Sale ²⁵
09/10/21	36.000	251.67	Various	250.61	...	1.06	Total of 6 transactions

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DIAMEDICA THERAPEUTICS INC. CO MMON STOCK / CUSIP: 25253X207 / Symbol:							
12 transactions for 08/17/21. Total proceeds and cost reported to the IRS.							
	1.000	3.61	06/14/21	9.35	...	-5.74	1 of 12 - Sale ²⁵
	1.000	3.61	06/17/21	8.20	...	-4.59	2 of 12 - Sale ²⁵
	3.000	10.83	06/29/21	14.67	...	-3.84	3 of 12 - Sale ²⁵
	5.000	18.05	07/07/21	21.00	...	-2.95	4 of 12 - Sale ²⁵
	8.000	28.88	07/16/21	29.84	...	-0.96	5 of 12 - Sale ²⁵
	1.000	3.61	07/16/21	3.68	...	-0.07	6 of 12 - Sale ²⁵
	10.000	36.10	07/23/21	38.01	...	-1.91	7 of 12 - Sale ²⁵
	1.000	3.61	07/30/21	3.49	...	0.12	8 of 12 - Sale ²⁵
	2.000	7.22	08/10/21	6.58	...	0.64	9 of 12 - Sale ²⁵
	1.000	3.61	08/11/21	3.41	...	0.20	10 of 12 - Sale ²⁵
	17.000	61.37	08/16/21	52.11	...	9.26	11 of 12 - Sale ²⁵
	50.000	180.49	08/16/21	156.02	...	24.47	12 of 12 - Sale ²⁵
08/17/21	100.000	360.99	Various	346.36	...	14.63	Total of 12 transactions
DIGITAL WORLD ACQUISITION CORP . CLASS A COMMON STOCK / CUSIP: 25400Q105 / Symbol:							
2 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	2.000	183.00	10/21/21	87.72	...	95.28	1 of 2 - Sale ²⁵
	1.000	91.50	10/22/21	91.15	...	0.35	2 of 2 - Sale ²⁵
10/22/21	3.000	274.50	Various	178.87	...	95.63	Total of 2 transactions
DIGITAL BRANDS GROUP, INC. COM MON STOCK / CUSIP: 25401N101 / Symbol:							
2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	1.000	5.90	06/24/21	6.65	...	-0.75	1 of 2 - Sale ²⁵
	1.000	5.90	06/28/21	4.65	...	1.25	2 of 2 - Sale ²⁵
06/28/21	2.000	11.80	Various	11.30	...	0.50	Total of 2 transactions
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
03/01/21	1.000	65.85	02/26/21	61.75	...	4.10	Sale ²⁵
4 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	2.000	82.16	11/05/21	91.32	9.16 W	0.00	1 of 4 - Sale ²⁵
	1.000	41.04	11/08/21	48.52	7.48 W	0.00	2 of 4 - Sale ²⁵
	1.000	41.03	11/09/21	49.22	8.19 W	0.00	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
11/11/21	1.000	40.74	11/10/21	50.30	9.56 W	0.00	4 of 4 - Sale ²⁵
	5.000	204.97	Various	239.36	34.39 W	0.00	Total of 4 transactions
	2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.						
	1.000	39.03	11/10/21	51.01	11.98 W	0.00	1 of 2 - Sale ²⁵
	2.000	78.05	11/10/21	85.64	7.59 W	0.00	2 of 2 - Sale ²⁵
11/16/21	3.000	117.08	Various	136.65	19.57 W	0.00	Total of 2 transactions
	2 transactions for 11/23/21. Total proceeds and cost reported to the IRS.						
	1.000	34.95	11/12/21	50.22	15.27 W	0.00	1 of 2 - Sale ²⁵
	1.000	34.94	11/15/21	52.99	18.05 W	0.00	2 of 2 - Sale ²⁵
11/23/21	2.000	69.89	Various	103.21	33.32 W	0.00	Total of 2 transactions
	3 transactions for 11/29/21. Total proceeds and cost reported to the IRS.						
	1.000	36.43	11/22/21	54.32	...	-17.89	1 of 3 - Sale ²⁵
	1.000	36.43	11/22/21	51.54	...	-15.11	2 of 3 - Sale ²⁵
	2.000	72.86	11/22/21	80.13	...	-7.27	3 of 3 - Sale ²⁵
11/29/21	4.000	145.72	Various	185.99	...	-40.27	Total of 3 transactions
11/30/21	1.000	33.98	11/22/21	36.27	...	-2.29	Sale ²⁵
	Security total:	637.49		763.23	87.28 W	-38.46	
ESS TECH, INC. / CUSIP: 26916J106 / Symbol:							
	11 transactions for 10/22/21. Total proceeds and cost reported to the IRS.						
	5.000	77.65	10/12/21	126.32	48.67 W	0.00	1 of 11 - Sale ²⁵
	2.000	31.06	10/13/21	36.84	5.78 W	0.00	2 of 11 - Sale ²⁵
	2.000	31.06	10/13/21	39.34	8.28 W	0.00	3 of 11 - Sale ²⁵
	1.000	15.53	10/14/21	18.18	2.65 W	0.00	4 of 11 - Sale ²⁵
	1.000	15.59	10/14/21	27.91	12.32 W	0.00	5 of 11 - Sale ²⁵
	2.000	31.18	10/15/21	55.03	23.85 W	0.00	6 of 11 - Sale ²⁵
	1.000	15.59	10/18/21	21.28	5.69 W	0.00	7 of 11 - Sale ²⁵
	1.000	15.59	10/18/21	22.44	6.85 W	0.00	8 of 11 - Sale ²⁵
	1.000	15.59	10/18/21	22.60	7.01 W	0.00	9 of 11 - Sale ²⁵
	2.000	31.18	10/18/21	42.70	11.52 W	0.00	10 of 11 - Sale ²⁵
	2.000	31.18	10/18/21	56.39	25.21 W	0.00	11 of 11 - Sale ²⁵
10/22/21	20.000	311.20	Various	469.03	157.83 W	0.00	Total of 11 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ESS TECH, INC. / CUSIP: 26916J106 / Symbol: (cont'd)							
15 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	2.000	34.22	10/18/21	61.12	...	-26.90	1 of 15 - Sale ²⁵
	1.000	17.11	10/18/21	31.24	...	-14.13	2 of 15 - Sale ²⁵
	1.000	17.11	10/18/21	30.96	...	-13.85	3 of 15 - Sale ²⁵
	1.000	17.11	10/19/21	31.21	...	-14.10	4 of 15 - Sale ²⁵
	1.000	17.11	10/19/21	24.36	...	-7.25	5 of 15 - Sale ²⁵
	1.000	17.11	10/20/21	23.73	...	-6.62	6 of 15 - Sale ²⁵
	1.000	17.11	10/20/21	23.57	...	-6.46	7 of 15 - Sale ²⁵
	1.000	17.11	10/20/21	22.48	...	-5.37	8 of 15 - Sale ²⁵
	1.000	17.11	10/21/21	22.32	...	-5.21	9 of 15 - Sale ²⁵
	1.000	17.11	10/21/21	16.63	...	0.48	10 of 15 - Sale ²⁵
	1.000	17.11	10/22/21	15.55	...	1.56	11 of 15 - Sale ²⁵
	8.000	136.88	10/25/21	122.91	...	13.97	12 of 15 - Sale ²⁵
	10.000	171.09	10/25/21	150.80	...	20.29	13 of 15 - Sale ²⁵
	1.000	17.11	10/27/21	16.50	...	0.61	14 of 15 - Sale ²⁵
	1.000	17.11	10/28/21	16.54	...	0.57	15 of 15 - Sale ²⁵
10/29/21	32.000	547.51	Various	609.92	...	-62.41	Total of 15 transactions
	Security total:	858.71		1,078.95	157.83 W	-62.41	
EFFECTOR THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 28202V108 / Symbol:							
09/09/21	1.000	36.00	09/09/21	35.32	...	0.68	Sale ²⁵
4 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	2.000	62.47	09/08/21	72.00	9.53 W	0.00	1 of 4 - Sale ²⁵
	1.000	31.23	09/09/21	33.99	2.76 W	0.00	2 of 4 - Sale ²⁵
	1.000	36.00	09/09/21	37.33	1.33 W	0.00	3 of 4 - Sale ²⁵
	1.000	36.00	09/09/21	38.74	2.74 W	0.00	4 of 4 - Sale ²⁵
09/09/21	5.000	165.70	Various	182.06	16.36 W	0.00	Total of 4 transactions
7 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	1.000	13.20	10/07/21	16.83	...	-3.63	1 of 7 - Sale ²⁵
	1.000	13.20	10/07/21	15.42	...	-2.22	2 of 7 - Sale ²⁵
	1.000	13.20	10/07/21	14.11	...	-0.91	3 of 7 - Sale ²⁵
	1.000	13.20	10/08/21	13.41	...	-0.21	4 of 7 - Sale ²⁵
	2.000	26.40	10/08/21	25.66	...	0.74	5 of 7 - Sale ²⁵
	2.000	26.40	10/11/21	23.52	...	2.88	6 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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EFFECTOR THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 28202V108 / Symbol: (cont'd)							
	1.000	13.20	10/12/21	10.75	...	2.45	7 of 7 - Sale ²⁵
10/14/21	9.000	118.80	Various	119.70	...	-0.90	Total of 7 transactions
	Security total:	320.50		337.08	16.36 W	-0.22	
ENBRIDGE, INC / CUSIP: 29250N105 / Symbol:							
2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	5.000	181.50	02/10/21	180.70	...	0.80	1 of 2 - Sale ²⁵
	1.000	36.30	02/12/21	34.65	...	1.65	2 of 2 - Sale ²⁵
03/11/21	6.000	217.80	Various	215.35	...	2.45	Total of 2 transactions
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS / CUSIP: 29273V100 / Symbol:							
2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	1.000	11.09	06/14/21	11.20	...	-0.11	1 of 2 - Sale ²⁵
	2.000	22.18	06/21/21	21.79	...	0.39	2 of 2 - Sale ²⁵
06/23/21	3.000	33.27	Various	32.99	...	0.28	Total of 2 transactions
ENGINE GAMING AND MEDIA, INC. COMMON STOCK / CUSIP: 29287W102 / Symbol:							
3 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	6.000	21.30	11/24/21	22.74	...	-1.44	1 of 3 - Sale ²⁵
	4.000	14.20	11/24/21	15.60	...	-1.40	2 of 3 - Sale ²⁵
	10.000	35.50	11/26/21	32.30	...	3.20	3 of 3 - Sale ²⁵
11/29/21	20.000	71.00	Various	70.64	...	0.36	Total of 3 transactions
ENOCHIAN BIOSCIENCES, INC. COM MON STOCK / CUSIP: 29350E104 / Symbol:							
10 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	5.000	31.50	06/15/21	30.82	...	0.68	1 of 10 - Sale ²⁵
	1.000	6.30	06/17/21	5.92	...	0.38	2 of 10 - Sale ²⁵
	1.000	6.30	06/23/21	5.42	...	0.88	3 of 10 - Sale ²⁵
	10.000	63.00	06/29/21	51.50	...	11.50	4 of 10 - Sale ²⁵
	5.000	31.50	08/10/21	29.07	...	2.43	5 of 10 - Sale ²⁵
	25.000	157.49	08/16/21	150.49	...	7.00	6 of 10 - Sale ²⁵
	5.000	31.50	08/17/21	29.30	...	2.20	7 of 10 - Sale ²⁵
	9.000	56.70	08/17/21	51.12	...	5.58	8 of 10 - Sale ²⁵
	4.000	24.64	08/18/21	38.22	...	-13.58	9 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ENOCHIAN BIOSCIENCES, INC. COM MON STOCK / CUSIP: 29350E104 / Symbol: (cont'd)							
08/23/21	1.000	6.30	08/18/21	5.71	...	0.59	10 of 10 - Sale ²⁵
	66.000	415.23	Various	397.57	...	17.66	Total of 10 transactions
	2 transactions for 08/23/21. Total proceeds and cost reported to the IRS.						
	5.000	31.50	06/14/21	35.47	2.38 W	-1.59	1 of 2 - Sale ²⁵
	5.000	31.50	06/14/21	50.75	19.25 W	0.00	2 of 2 - Sale ²⁵
08/23/21	10.000	63.00	Various	86.22	21.63 W	-1.59	Total of 2 transactions
	3 transactions for 08/24/21. Total proceeds and cost reported to the IRS.						
	1.000	6.15	08/18/21	9.55	...	-3.40	1 of 3 - Sale ²⁵
	2.000	12.30	08/18/21	13.35	...	-1.05	2 of 3 - Sale ²⁵
	1.000	6.15	08/18/21	6.50	...	-0.35	3 of 3 - Sale ²⁵
08/24/21	4.000	24.60	Various	29.40	...	-4.80	Total of 3 transactions
	Security total:	502.83		513.19	21.63 W	11.27	
EPIZYME, INC. COMMON STOCK / CUSIP: 29428V104 / Symbol:							
06/16/21	2.000	18.00	06/11/21	16.85	...	1.15	Sale ²⁵
EVAXION BIOTECH A/S AMERICAN D EPOSITARY SHARE / CUSIP: 29970R105 / Symbol:							
	3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.						
	2.000	12.80	11/04/21	29.99	17.19 W	0.00	1 of 3 - Sale ²⁵
	3.000	19.20	11/04/21	60.00	40.80 W	0.00	2 of 3 - Sale ²⁵
	5.000	32.00	11/04/21	75.93	43.93 W	0.00	3 of 3 - Sale ²⁵
11/09/21	10.000	64.00	Various	165.92	101.92 W	0.00	Total of 3 transactions
	5 transactions for 11/16/21. Total proceeds and cost reported to the IRS.						
	3.000	17.81	11/05/21	62.79	44.98 W	0.00	1 of 5 - Sale ²⁵
	2.000	11.87	11/08/21	31.02	19.15 W	0.00	2 of 5 - Sale ²⁵
	3.000	17.81	11/08/21	20.74	2.93 W	0.00	3 of 5 - Sale ²⁵
	5.000	29.67	11/08/21	78.51	48.84 W	0.00	4 of 5 - Sale ²⁵
	7.000	41.54	11/08/21	47.95	6.41 W	0.00	5 of 5 - Sale ²⁵
11/16/21	20.000	118.70	Various	241.01	122.31 W	0.00	Total of 5 transactions
	9 transactions for 11/24/21. Total proceeds and cost reported to the IRS.						
	1.000	5.01	11/09/21	16.23	11.22 W	0.00	1 of 9 - Sale ²⁵
	2.000	10.02	11/09/21	32.80	22.78 W	0.00	2 of 9 - Sale ²⁵
	3.000	15.03	11/09/21	64.36	49.33 W	0.00	3 of 9 - Sale ²⁵

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Account 643746050

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2021 1099-B* OMB No. 1545-0715

(continued)

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EVAXION BIOTECH A/S AMERICAN D EPOSITARY SHARE / CUSIP: 29970R105 / Symbol: (cont'd)							
	1.000	5.01	11/10/21	15.94	10.93 W	0.00	4 of 9 - Sale ²⁵
	2.000	10.02	11/10/21	32.26	22.24 W	0.00	5 of 9 - Sale ²⁵
	1.000	5.01	11/12/21	15.74	10.73 W	0.00	6 of 9 - Sale ²⁵
	3.000	15.03	11/15/21	21.49	6.46 W	0.00	7 of 9 - Sale ²⁵
	1.000	5.01	11/16/21	6.92	1.91 W	0.00	8 of 9 - Sale ²⁵
	1.000	5.01	11/17/21	6.97	1.96 W	0.00	9 of 9 - Sale ²⁵
11/24/21	15.000	75.15	Various	212.71	137.56 W	0.00	Total of 9 transactions
	3 transactions for 11/30/21. Total proceeds and cost reported to the IRS.						
	1.000	4.61	11/29/21	6.76	...	-2.15	1 of 3 - Sale ²⁵
	3.000	13.83	11/29/21	14.40	...	-0.57	2 of 3 - Sale ²⁵
	2.000	9.22	11/29/21	9.52	...	-0.30	3 of 3 - Sale ²⁵
11/30/21	6.000	27.66	Various	30.68	...	-3.02	Total of 3 transactions
	11 transactions for 11/30/21. Total proceeds and cost reported to the IRS.						
	1.000	4.61	11/17/21	6.97	2.36 W	0.00	1 of 11 - Sale ²⁵
	1.000	4.61	11/24/21	16.27	11.66 W	0.00	2 of 11 - Sale ²⁵
	1.000	4.61	11/24/21	16.44	11.83 W	0.00	3 of 11 - Sale ²⁵
	1.000	4.61	11/24/21	16.44	11.83 W	0.00	4 of 11 - Sale ²⁵
	3.000	13.83	11/24/21	64.48	50.65 W	0.00	5 of 11 - Sale ²⁵
	4.000	18.44	11/24/21	23.86	5.42 W	0.00	6 of 11 - Sale ²⁵
	1.000	4.61	11/29/21	6.71	2.10 W	0.00	7 of 11 - Sale ²⁵
	1.000	4.61	11/29/21	15.53	10.92 W	0.00	8 of 11 - Sale ²⁵
	1.000	4.61	11/29/21	15.73	11.12 W	0.00	9 of 11 - Sale ²⁵
	2.000	9.22	11/29/21	31.84	22.62 W	0.00	10 of 11 - Sale ²⁵
	3.000	13.83	11/29/21	20.86	7.03 W	0.00	11 of 11 - Sale ²⁵
11/30/21	19.000	87.59	Various	235.13	147.54 W	0.00	Total of 11 transactions
	12 transactions for 12/06/21. Total proceeds and cost reported to the IRS.						
	2.000	7.94	11/29/21	43.19	...	-35.25	1 of 12 - Sale ²⁵
	1.000	3.97	11/29/21	21.64	...	-17.67	2 of 12 - Sale ²⁵
	1.000	3.97	11/29/21	16.37	...	-12.40	3 of 12 - Sale ²⁵
	1.000	3.97	11/29/21	7.12	...	-3.15	4 of 12 - Sale ²⁵
	2.000	7.95	12/03/21	31.00	...	-23.05	5 of 12 - Sale ²⁵
	1.000	3.97	12/03/21	16.02	...	-12.05	6 of 12 - Sale ²⁵
	1.000	3.97	12/03/21	16.02	...	-12.05	7 of 12 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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EVAXION BIOTECH A/S AMERICAN D EPOSITARY SHARE / CUSIP: 29970R105 / Symbol: (cont'd)							
	1.000	3.97	12/03/21	15.30	...	-11.33	8 of 12 - Sale ²⁵
	1.000	3.97	12/03/21	15.11	...	-11.14	9 of 12 - Sale ²⁵
	3.000	11.92	12/03/21	18.76	...	-6.84	10 of 12 - Sale ²⁵
	4.000	15.90	12/03/21	22.18	...	-6.28	11 of 12 - Sale ²⁵
	1.000	3.97	12/03/21	6.27	...	-2.30	12 of 12 - Sale ²⁵
12/06/21	19.000	75.47	Various	228.98	...	-153.51	Total of 12 transactions
	Security total:	448.57		1,114.43	509.33 W	-156.53	
EVGO INC. CLASS A COMMON STOCK / CUSIP: 30052F100 / Symbol:							
11/09/21	1.000	18.10	11/08/21	13.25	...	4.85	Sale ²⁵
EXELA TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 30162V409 / Symbol:							
07/16/21	5.000	18.75	07/16/21	18.25	...	0.50	Sale ²⁵
	5 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	5.000	10.05	10/26/21	9.79	...	0.26	1 of 5 - Sale ²⁵
	23.000	46.23	10/26/21	45.05	...	1.18	2 of 5 - Sale ²⁵
	15.000	30.15	10/26/21	28.77	...	1.38	3 of 5 - Sale ²⁵
	15.000	30.15	10/27/21	26.40	...	3.75	4 of 5 - Sale ²⁵
	20.000	40.19	10/28/21	38.60	...	1.59	5 of 5 - Sale ²⁵
11/02/21	78.000	156.77	Various	148.61	...	8.16	Total of 5 transactions
11/02/21	12.000	24.12	10/25/21	27.84	3.72 W	0.00	Sale ²⁵
	3 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	10.000	20.40	10/28/21	21.88	1.48 W	0.00	1 of 3 - Sale ²⁵
	1.000	2.04	10/29/21	2.20	0.16 W	0.00	2 of 3 - Sale ²⁵
	1.000	2.04	11/02/21	2.30	0.26 W	0.00	3 of 3 - Sale ²⁵
11/03/21	12.000	24.48	Various	26.38	1.90 W	0.00	Total of 3 transactions
	13 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	1.000	1.61	11/08/21	1.94	0.33 W	0.00	1 of 13 - Sale ²⁵
	1.000	1.61	11/08/21	2.04	0.43 W	0.00	2 of 13 - Sale ²⁵
	1.000	1.61	11/08/21	2.04	0.43 W	0.00	3 of 13 - Sale ²⁵
	1.000	1.61	11/08/21	2.14	0.53 W	0.00	4 of 13 - Sale ²⁵
	2.000	3.22	11/08/21	4.13	0.91 W	0.00	5 of 13 - Sale ²⁵
	3.000	4.84	11/08/21	5.51	0.67 W	0.00	6 of 13 - Sale ²⁵
	4.000	6.44	11/08/21	7.12	0.68 W	0.00	7 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
	4.000	6.45	11/08/21	7.52	1.07 W	0.00	8 of 13 - Sale ²⁵
	8.000	12.90	11/08/21	16.22	3.32 W	0.00	9 of 13 - Sale ²⁵
	10.000	16.10	11/08/21	19.28	3.18 W	0.00	10 of 13 - Sale ²⁵
	11.000	17.71	11/08/21	19.58	1.87 W	0.00	11 of 13 - Sale ²⁵
	11.000	17.74	11/08/21	20.68	2.94 W	0.00	12 of 13 - Sale ²⁵
	23.000	37.03	11/08/21	40.14	3.11 W	0.00	13 of 13 - Sale ²⁵
11/12/21	80.000	128.87	Various	148.34	19.47 W	0.00	Total of 13 transactions
11/29/21	4.000	5.55	11/22/21	5.12	...	0.43	Sale ²⁵
	11 transactions for 11/29/21. Total proceeds and cost reported to the IRS.						
	7.000	9.73	11/08/21	12.84	3.11 W	0.00	1 of 11 - Sale ²⁵
	13.000	18.07	11/10/21	22.43	4.36 W	0.00	2 of 11 - Sale ²⁵
	1.000	1.39	11/15/21	2.12	0.73 W	0.00	3 of 11 - Sale ²⁵
	1.000	1.39	11/15/21	2.23	0.84 W	0.00	4 of 11 - Sale ²⁵
	2.000	2.78	11/15/21	4.30	1.52 W	0.00	5 of 11 - Sale ²⁵
	3.000	4.17	11/15/21	5.75	1.58 W	0.00	6 of 11 - Sale ²⁵
	4.000	5.56	11/15/21	7.85	2.29 W	0.00	7 of 11 - Sale ²⁵
	8.000	11.12	11/15/21	16.88	5.76 W	0.00	8 of 11 - Sale ²⁵
	11.000	15.29	11/15/21	21.59	6.30 W	0.00	9 of 11 - Sale ²⁵
	3.000	4.17	11/16/21	4.52	0.35 W	0.00	10 of 11 - Sale ²⁵
	3.000	4.17	11/16/21	4.55	0.38 W	0.00	11 of 11 - Sale ²⁵
11/29/21	56.000	77.84	Various	105.06	27.22 W	0.00	Total of 11 transactions
	13 transactions for 11/30/21. Total proceeds and cost reported to the IRS.						
	1.000	1.28	11/22/21	1.72	0.44 W	0.00	1 of 13 - Sale ²⁵
	1.000	1.28	11/29/21	2.03	0.75 W	0.00	2 of 13 - Sale ²⁵
	1.000	1.28	11/29/21	2.14	0.86 W	0.00	3 of 13 - Sale ²⁵
	2.000	2.56	11/29/21	4.12	1.56 W	0.00	4 of 13 - Sale ²⁵
	3.000	3.84	11/29/21	4.25	0.41 W	0.00	5 of 13 - Sale ²⁵
	3.000	3.84	11/29/21	4.28	0.44 W	0.00	6 of 13 - Sale ²⁵
	3.000	3.84	11/29/21	5.48	1.64 W	0.00	7 of 13 - Sale ²⁵
	4.000	5.12	11/29/21	7.49	2.37 W	0.00	8 of 13 - Sale ²⁵
	6.000	7.68	11/29/21	10.47	2.79 W	0.00	9 of 13 - Sale ²⁵
	8.000	10.24	11/29/21	16.16	5.92 W	0.00	10 of 13 - Sale ²⁵
	11.000	14.08	11/29/21	20.60	6.52 W	0.00	11 of 13 - Sale ²⁵
	13.000	16.64	11/29/21	21.26	4.62 W	0.00	12 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
	45.000	57.59	11/29/21	58.50	0.91 W	0.00	13 of 13 - Sale ²⁵
11/30/21	101.000	129.27	Various	158.50	29.23 W	0.00	Total of 13 transactions
	14 transactions for 12/01/21. Total proceeds and cost reported to the IRS.						
	1.000	1.23	11/30/21	1.70	0.47 W	0.00	1 of 14 - Sale ²⁵
	1.000	1.23	11/30/21	2.01	0.78 W	0.00	2 of 14 - Sale ²⁵
	1.000	1.23	11/30/21	2.12	0.89 W	0.00	3 of 14 - Sale ²⁵
	2.000	2.46	11/30/21	2.52	0.06 W	0.00	4 of 14 - Sale ²⁵
	2.000	2.46	11/30/21	4.08	1.62 W	0.00	5 of 14 - Sale ²⁵
	3.000	3.70	11/30/21	4.19	0.49 W	0.00	6 of 14 - Sale ²⁵
	3.000	3.70	11/30/21	4.22	0.52 W	0.00	7 of 14 - Sale ²⁵
	3.000	3.70	11/30/21	5.42	1.72 W	0.00	8 of 14 - Sale ²⁵
	4.000	4.93	11/30/21	7.41	2.48 W	0.00	9 of 14 - Sale ²⁵
	6.000	7.39	11/30/21	10.35	2.96 W	0.00	10 of 14 - Sale ²⁵
	8.000	9.86	11/30/21	16.00	6.14 W	0.00	11 of 14 - Sale ²⁵
	11.000	13.56	11/30/21	20.38	6.82 W	0.00	12 of 14 - Sale ²⁵
	13.000	16.02	11/30/21	21.00	4.98 W	0.00	13 of 14 - Sale ²⁵
	45.000	55.45	11/30/21	57.61	2.16 W	0.00	14 of 14 - Sale ²⁵
12/01/21	103.000	126.92	Various	159.01	32.09 W	0.00	Total of 14 transactions
	15 transactions for 12/02/21. Total proceeds and cost reported to the IRS.						
	11.000	12.87	12/01/21	20.13	...	-7.26	1 of 15 - Sale ²⁵
	8.000	9.36	12/01/21	15.82	...	-6.46	2 of 15 - Sale ²⁵
	13.000	15.21	12/01/21	20.71	...	-5.50	3 of 15 - Sale ²⁵
	45.000	52.64	12/01/21	56.61	...	-3.97	4 of 15 - Sale ²⁵
	6.000	7.02	12/01/21	10.22	...	-3.20	5 of 15 - Sale ²⁵
	4.000	4.68	12/01/21	7.32	...	-2.64	6 of 15 - Sale ²⁵
	3.000	3.51	12/01/21	5.35	...	-1.84	7 of 15 - Sale ²⁵
	2.000	2.34	12/01/21	4.04	...	-1.70	8 of 15 - Sale ²⁵
	1.000	1.17	12/01/21	2.10	...	-0.93	9 of 15 - Sale ²⁵
	1.000	1.17	12/01/21	1.99	...	-0.82	10 of 15 - Sale ²⁵
	3.000	3.51	12/01/21	4.15	...	-0.64	11 of 15 - Sale ²⁵
	3.000	3.51	12/01/21	4.12	...	-0.61	12 of 15 - Sale ²⁵
	1.000	1.17	12/01/21	1.68	...	-0.51	13 of 15 - Sale ²⁵
	2.000	2.34	12/01/21	2.48	...	-0.14	14 of 15 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
	2.000	2.34	12/01/21	2.42	...	-0.08	15 of 15 - Sale ²⁵
12/02/21	105.000	122.84	Various	159.14	...	-36.30	Total of 15 transactions
	Security total:	815.41		956.25	113.63 W	-27.21	
FISKER INC. / CUSIP: 33813J106 / Symbol:							
02/25/21	2.000	48.20	02/24/21	45.08	...	3.12	Sale ²⁵
FLEXION THERAPEUTICS, INC. COM MON STOCK / CUSIP: 33938J106 / Symbol:							
4 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	1.000	9.00	04/19/21	9.41	...	-0.41	1 of 4 - Sale ²⁵
	1.000	9.00	04/27/21	8.53	...	0.47	2 of 4 - Sale ²⁵
	1.000	9.00	05/04/21	7.24	...	1.76	3 of 4 - Sale ²⁵
	1.000	9.00	05/05/21	7.66	...	1.34	4 of 4 - Sale ²⁵
06/08/21	4.000	36.00	Various	32.84	...	3.16	Total of 4 transactions
10/11/21	5.000	47.40	10/11/21	47.28	...	0.12	Sale ²⁵
	Security total:	83.40		80.12	...	3.28	
FOCUS UNIVERSAL INC. COMMON ST OCK / CUSIP: 34417J104 / Symbol:							
4 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	5.000	108.75	09/01/21	85.00	...	23.75	1 of 4 - Sale ²⁵
	25.000	512.49	09/01/21	481.25	...	31.24	2 of 4 - Sale ²⁵
	10.000	205.00	09/01/21	170.00	...	35.00	3 of 4 - Sale ²⁵
	10.000	216.00	09/01/21	170.00	...	46.00	4 of 4 - Sale ²⁵
09/02/21	50.000	1,042.24	Various	906.25	...	135.99	Total of 4 transactions
09/20/21	5.000	57.00	09/02/21	117.50	60.50 W	0.00	Sale ²⁵
09/22/21	3.000	33.30	09/02/21	70.50	37.20 W	0.00	Sale ²⁵
2 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	8.000	85.59	09/02/21	188.00	102.41 W	0.00	1 of 2 - Sale ²⁵
	10.000	106.30	09/02/21	235.00	128.70 W	0.00	2 of 2 - Sale ²⁵
09/23/21	18.000	191.89	Various	423.00	231.11 W	0.00	Total of 2 transactions
18 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	1.000	10.30	09/02/21	30.73	20.43 W	0.00	1 of 18 - Sale ²⁵
	1.000	10.30	09/02/21	32.37	22.07 W	0.00	2 of 18 - Sale ²⁵
	1.000	10.30	09/02/21	32.60	22.30 W	0.00	3 of 18 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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FOCUS UNIVERSAL INC. COMMON STOCK / CUSIP: 34417J104 / Symbol: (cont'd)							
	2.000	20.60	09/02/21	60.46	39.86 W	0.00	4 of 18 - Sale ²⁵
	2.000	20.60	09/02/21	66.86	46.26 W	0.00	5 of 18 - Sale ²⁵
	2.000	20.60	09/02/21	67.86	47.26 W	0.00	6 of 18 - Sale ²⁵
	3.000	30.90	09/02/21	100.88	69.98 W	0.00	7 of 18 - Sale ²⁵
	4.000	41.20	09/02/21	94.00	52.80 W	0.00	8 of 18 - Sale ²⁵
	6.000	61.80	09/02/21	198.01	136.21 W	0.00	9 of 18 - Sale ²⁵
	2.000	20.60	09/03/21	26.39	5.79 W	0.00	10 of 18 - Sale ²⁵
	2.000	20.60	09/03/21	27.91	7.31 W	0.00	11 of 18 - Sale ²⁵
	2.000	20.60	09/03/21	30.40	9.80 W	0.00	12 of 18 - Sale ²⁵
	2.000	20.60	09/03/21	57.85	37.25 W	0.00	13 of 18 - Sale ²⁵
	2.000	20.60	09/03/21	61.34	40.74 W	0.00	14 of 18 - Sale ²⁵
	4.000	41.20	09/03/21	112.28	71.08 W	0.00	15 of 18 - Sale ²⁵
	5.000	51.50	09/03/21	65.96	14.46 W	0.00	16 of 18 - Sale ²⁵
	2.000	20.60	09/07/21	29.23	8.63 W	0.00	17 of 18 - Sale ²⁵
	2.000	20.60	09/07/21	29.38	8.78 W	0.00	18 of 18 - Sale ²⁵
09/28/21	45.000	463.50	Various	1,124.51	661.01 W	0.00	Total of 18 transactions
	15 transactions for 09/29/21. Total proceeds and cost reported to the IRS.						
	1.000	9.33	09/07/21	27.82	18.49 W	0.00	1 of 15 - Sale ²⁵
	1.000	9.33	09/08/21	28.26	18.93 W	0.00	2 of 15 - Sale ²⁵
	2.000	18.65	09/08/21	54.21	35.56 W	0.00	3 of 15 - Sale ²⁵
	2.000	18.65	09/08/21	75.52	56.87 W	0.00	4 of 15 - Sale ²⁵
	2.000	18.65	09/08/21	77.38	58.73 W	0.00	5 of 15 - Sale ²⁵
	2.000	18.66	09/08/21	76.38	57.72 W	0.00	6 of 15 - Sale ²⁵
	3.000	27.98	09/08/21	115.15	87.17 W	0.00	7 of 15 - Sale ²⁵
	1.000	9.32	09/10/21	34.33	25.01 W	0.00	8 of 15 - Sale ²⁵
	1.000	9.33	09/10/21	32.59	23.26 W	0.00	9 of 15 - Sale ²⁵
	1.000	9.33	09/10/21	34.62	25.29 W	0.00	10 of 15 - Sale ²⁵
	1.000	9.33	09/10/21	34.68	25.35 W	0.00	11 of 15 - Sale ²⁵
	1.000	9.33	09/10/21	36.32	26.99 W	0.00	12 of 15 - Sale ²⁵
	1.000	9.33	09/10/21	36.55	27.22 W	0.00	13 of 15 - Sale ²⁵
	2.000	18.65	09/10/21	68.36	49.71 W	0.00	14 of 15 - Sale ²⁵
	4.000	37.31	09/10/21	147.81	110.50 W	0.00	15 of 15 - Sale ²⁵
09/29/21	25.000	233.18	Various	879.98	646.80 W	0.00	Total of 15 transactions

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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FOCUS UNIVERSAL INC. COMMON STOCK / CUSIP: 34417J104 / Symbol: (cont'd)							
5 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	1.000	8.29	09/10/21	32.58	24.29 W	0.00	1 of 5 - Sale ²⁵
	1.000	8.29	09/13/21	31.27	22.98 W	0.00	2 of 5 - Sale ²⁵
	2.000	16.58	09/13/21	34.31	17.73 W	0.00	3 of 5 - Sale ²⁵
	2.000	16.58	09/13/21	36.80	20.22 W	0.00	4 of 5 - Sale ²⁵
	3.000	24.87	09/13/21	94.71	69.84 W	0.00	5 of 5 - Sale ²⁵
10/01/21	9.000	74.61	Various	229.67	155.06 W	0.00	Total of 5 transactions
25 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	1.000	7.66	09/13/21	16.19	8.53 W	0.00	1 of 25 - Sale ²⁵
	2.000	15.32	09/14/21	28.50	13.18 W	0.00	2 of 25 - Sale ²⁵
	2.000	15.32	09/14/21	31.36	16.04 W	0.00	3 of 25 - Sale ²⁵
	1.000	7.66	09/15/21	29.44	21.78 W	0.00	4 of 25 - Sale ²⁵
	2.000	15.32	09/15/21	27.68	12.36 W	0.00	5 of 25 - Sale ²⁵
	2.000	15.32	09/15/21	30.52	15.20 W	0.00	6 of 25 - Sale ²⁵
	2.000	15.32	09/15/21	30.68	15.36 W	0.00	7 of 25 - Sale ²⁵
	1.000	7.66	09/16/21	29.26	21.60 W	0.00	8 of 25 - Sale ²⁵
	1.000	7.66	09/20/21	39.89	32.23 W	0.00	9 of 25 - Sale ²⁵
	1.000	7.70	09/20/21	29.23	21.53 W	0.00	10 of 25 - Sale ²⁵
	1.000	7.70	09/21/21	39.98	32.28 W	0.00	11 of 25 - Sale ²⁵
	2.000	15.40	09/21/21	80.82	65.42 W	0.00	12 of 25 - Sale ²⁵
	2.000	15.40	09/21/21	81.83	66.43 W	0.00	13 of 25 - Sale ²⁵
	1.000	7.70	09/22/21	40.26	32.56 W	0.00	14 of 25 - Sale ²⁵
	1.000	7.70	09/24/21	34.48	26.78 W	0.00	15 of 25 - Sale ²⁵
	1.000	7.70	09/24/21	35.51	27.81 W	0.00	16 of 25 - Sale ²⁵
	1.000	7.70	09/24/21	36.23	28.53 W	0.00	17 of 25 - Sale ²⁵
	1.000	7.70	09/24/21	36.51	28.81 W	0.00	18 of 25 - Sale ²⁵
	1.000	7.70	09/24/21	36.57	28.87 W	0.00	19 of 25 - Sale ²⁵
	1.000	7.70	09/24/21	38.21	30.51 W	0.00	20 of 25 - Sale ²⁵
	1.000	7.70	09/24/21	38.44	30.74 W	0.00	21 of 25 - Sale ²⁵
	2.000	15.40	09/24/21	72.15	56.75 W	0.00	22 of 25 - Sale ²⁵
	2.000	15.40	09/24/21	80.55	65.15 W	0.00	23 of 25 - Sale ²⁵
	3.000	23.10	09/24/21	103.50	80.40 W	0.00	24 of 25 - Sale ²⁵
	4.000	30.80	09/24/21	155.38	124.58 W	0.00	25 of 25 - Sale ²⁵
10/08/21	39.000	299.74	Various	1,203.17	903.43 W	0.00	Total of 25 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FOCUS UNIVERSAL INC. COMMON STOCK / CUSIP: 34417J104 / Symbol: (cont'd)							
9 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	2.000	16.32	10/01/21	16.00	...	0.32	1 of 9 - Sale ²⁵
	2.000	16.32	10/05/21	16.27	...	0.05	2 of 9 - Sale ²⁵
	2.000	16.32	10/05/21	15.95	...	0.37	3 of 9 - Sale ²⁵
	2.000	16.32	10/06/21	15.69	...	0.63	4 of 9 - Sale ²⁵
	1.000	8.16	10/07/21	7.98	...	0.18	5 of 9 - Sale ²⁵
	2.000	16.32	10/07/21	16.00	...	0.32	6 of 9 - Sale ²⁵
	5.000	40.80	10/08/21	38.50	...	2.30	7 of 9 - Sale ²⁵
	1.000	8.16	10/12/21	7.96	...	0.20	8 of 9 - Sale ²⁵
	3.000	24.48	10/12/21	23.25	...	1.23	9 of 9 - Sale ²⁵
10/20/21	20.000	163.20	Various	157.60	...	5.60	Total of 9 transactions
36 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	1.000	8.16	09/24/21	19.75	11.59 W	0.00	1 of 36 - Sale ²⁵
	1.000	8.16	09/24/21	30.15	21.99 W	0.00	2 of 36 - Sale ²⁵
	1.000	8.16	09/24/21	32.76	24.60 W	0.00	3 of 36 - Sale ²⁵
	1.000	8.16	09/24/21	32.82	24.66 W	0.00	4 of 36 - Sale ²⁵
	1.000	8.16	09/24/21	33.00	24.84 W	0.00	5 of 36 - Sale ²⁵
	1.000	8.16	09/24/21	34.20	26.04 W	0.00	6 of 36 - Sale ²⁵
	1.000	8.16	09/24/21	43.45	35.29 W	0.00	7 of 36 - Sale ²⁵
	1.000	8.16	09/24/21	43.50	35.34 W	0.00	8 of 36 - Sale ²⁵
	2.000	16.32	09/24/21	34.81	18.49 W	0.00	9 of 36 - Sale ²⁵
	2.000	16.32	09/24/21	35.62	19.30 W	0.00	10 of 36 - Sale ²⁵
	2.000	16.32	09/24/21	37.64	21.32 W	0.00	11 of 36 - Sale ²⁵
	2.000	16.32	09/24/21	37.81	21.49 W	0.00	12 of 36 - Sale ²⁵
	2.000	16.32	09/24/21	38.49	22.17 W	0.00	13 of 36 - Sale ²⁵
	2.000	16.32	09/24/21	40.17	23.85 W	0.00	14 of 36 - Sale ²⁵
	2.000	16.32	09/24/21	42.66	26.34 W	0.00	15 of 36 - Sale ²⁵
	1.000	8.16	09/27/21	38.88	30.72 W	0.00	16 of 36 - Sale ²⁵
	1.000	8.16	09/27/21	42.21	34.05 W	0.00	17 of 36 - Sale ²⁵
	1.000	8.16	09/27/21	43.63	35.47 W	0.00	18 of 36 - Sale ²⁵
	2.000	16.32	09/27/21	87.56	71.24 W	0.00	19 of 36 - Sale ²⁵
	2.000	16.32	09/27/21	88.57	72.25 W	0.00	20 of 36 - Sale ²⁵
	1.000	8.16	09/28/21	39.22	31.06 W	0.00	21 of 36 - Sale ²⁵
	1.000	8.16	09/28/21	40.86	32.70 W	0.00	22 of 36 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FOCUS UNIVERSAL INC. COMMON STOCK / CUSIP: 34417J104 / Symbol: (cont'd)							
	2.000	16.32	09/28/21	77.32	61.00 W	0.00	23 of 36 - Sale ²⁵
	3.000	24.48	09/28/21	124.48	100.00 W	0.00	24 of 36 - Sale ²⁵
	1.000	8.16	09/30/21	9.39	1.23 W	0.00	25 of 36 - Sale ²⁵
	1.000	8.16	09/30/21	36.17	28.01 W	0.00	26 of 36 - Sale ²⁵
	1.000	8.16	09/30/21	37.92	29.76 W	0.00	27 of 36 - Sale ²⁵
	1.000	8.16	09/30/21	38.20	30.04 W	0.00	28 of 36 - Sale ²⁵
	1.000	8.16	09/30/21	40.13	31.97 W	0.00	29 of 36 - Sale ²⁵
	2.000	16.32	09/30/21	83.93	67.61 W	0.00	30 of 36 - Sale ²⁵
	3.000	24.48	09/30/21	108.57	84.09 W	0.00	31 of 36 - Sale ²⁵
	5.000	40.80	09/30/21	42.77	1.97 W	0.00	32 of 36 - Sale ²⁵
	10.000	81.60	09/30/21	91.12	9.52 W	0.00	33 of 36 - Sale ²⁵
	2.000	16.32	10/01/21	16.69	0.37 W	0.00	34 of 36 - Sale ²⁵
	1.000	8.16	10/06/21	8.25	0.09 W	0.00	35 of 36 - Sale ²⁵
	16.000	130.54	10/14/21	134.80	4.26 W	0.00	36 of 36 - Sale ²⁵
10/20/21	80.000	652.78	Various	1,767.50	1,114.72 W	0.00	Total of 36 transactions
	17 transactions for 10/22/21. Total proceeds and cost reported to the IRS.						
	1.000	7.81	10/14/21	39.06	31.25 W	0.00	1 of 17 - Sale ²⁵
	1.000	7.81	10/14/21	39.40	31.59 W	0.00	2 of 17 - Sale ²⁵
	1.000	7.81	10/14/21	41.04	33.23 W	0.00	3 of 17 - Sale ²⁵
	1.000	7.81	10/14/21	42.39	34.58 W	0.00	4 of 17 - Sale ²⁵
	1.000	7.81	10/14/21	43.63	35.82 W	0.00	5 of 17 - Sale ²⁵
	1.000	7.81	10/14/21	43.68	35.87 W	0.00	6 of 17 - Sale ²⁵
	1.000	7.81	10/14/21	43.81	36.00 W	0.00	7 of 17 - Sale ²⁵
	1.000	7.87	10/14/21	36.35	28.48 W	0.00	8 of 17 - Sale ²⁵
	1.000	7.87	10/14/21	38.10	30.23 W	0.00	9 of 17 - Sale ²⁵
	1.000	7.87	10/14/21	38.38	30.51 W	0.00	10 of 17 - Sale ²⁵
	1.000	7.87	10/14/21	40.31	32.44 W	0.00	11 of 17 - Sale ²⁵
	2.000	15.62	10/14/21	77.68	62.06 W	0.00	12 of 17 - Sale ²⁵
	2.000	15.62	10/14/21	84.28	68.66 W	0.00	13 of 17 - Sale ²⁵
	2.000	15.62	10/14/21	87.92	72.30 W	0.00	14 of 17 - Sale ²⁵
	2.000	15.62	10/14/21	88.93	73.31 W	0.00	15 of 17 - Sale ²⁵
	2.000	15.74	10/14/21	72.73	56.99 W	0.00	16 of 17 - Sale ²⁵
	3.000	23.43	10/14/21	125.01	101.58 W	0.00	17 of 17 - Sale ²⁵
10/22/21	24.000	187.80	Various	982.70	794.90 W	0.00	Total of 17 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FOCUS UNIVERSAL INC. COMMON STOCK / CUSIP: 34417J104 / Symbol: (cont'd)							
22 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	7.50	10/14/21	9.57	2.07 W	0.00	1 of 22 - Sale ²⁵
	1.000	7.50	10/14/21	19.93	12.43 W	0.00	2 of 22 - Sale ²⁵
	1.000	7.50	10/14/21	30.33	22.83 W	0.00	3 of 22 - Sale ²⁵
	1.000	7.50	10/14/21	32.94	25.44 W	0.00	4 of 22 - Sale ²⁵
	1.000	7.50	10/14/21	33.00	25.50 W	0.00	5 of 22 - Sale ²⁵
	1.000	7.50	10/14/21	33.18	25.68 W	0.00	6 of 22 - Sale ²⁵
	1.000	7.50	10/14/21	34.38	26.88 W	0.00	7 of 22 - Sale ²⁵
	1.000	7.50	10/14/21	36.37	28.87 W	0.00	8 of 22 - Sale ²⁵
	2.000	15.00	10/14/21	19.59	4.59 W	0.00	9 of 22 - Sale ²⁵
	2.000	15.00	10/14/21	35.17	20.17 W	0.00	10 of 22 - Sale ²⁵
	2.000	15.00	10/14/21	35.98	20.98 W	0.00	11 of 22 - Sale ²⁵
	2.000	15.00	10/14/21	38.00	23.00 W	0.00	12 of 22 - Sale ²⁵
	2.000	15.00	10/14/21	38.17	23.17 W	0.00	13 of 22 - Sale ²⁵
	2.000	15.00	10/14/21	38.85	23.85 W	0.00	14 of 22 - Sale ²⁵
	2.000	15.00	10/14/21	40.53	25.53 W	0.00	15 of 22 - Sale ²⁵
	2.000	15.00	10/14/21	43.02	28.02 W	0.00	16 of 22 - Sale ²⁵
	4.000	30.00	10/14/21	37.15	7.15 W	0.00	17 of 22 - Sale ²⁵
	1.000	7.50	10/15/21	9.19	1.69 W	0.00	18 of 22 - Sale ²⁵
	4.000	30.00	10/15/21	39.01	9.01 W	0.00	19 of 22 - Sale ²⁵
	1.000	7.50	10/18/21	8.36	0.86 W	0.00	20 of 22 - Sale ²⁵
	2.000	15.00	10/18/21	16.91	1.91 W	0.00	21 of 22 - Sale ²⁵
	4.000	30.00	10/18/21	34.66	4.66 W	0.00	22 of 22 - Sale ²⁵
11/01/21	40.000	300.00	Various	664.29	364.29 W	0.00	Total of 22 transactions
13 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	3.000	23.01	10/18/21	25.61	2.60 W	0.00	1 of 13 - Sale ²⁵
	5.000	38.35	10/19/21	42.53	4.18 W	0.00	2 of 13 - Sale ²⁵
	1.000	7.67	10/20/21	8.49	0.82 W	0.00	3 of 13 - Sale ²⁵
	1.000	7.67	10/21/21	39.43	31.76 W	0.00	4 of 13 - Sale ²⁵
	1.000	7.67	10/21/21	41.42	33.75 W	0.00	5 of 13 - Sale ²⁵
	1.000	7.67	10/21/21	42.77	35.10 W	0.00	6 of 13 - Sale ²⁵
	1.000	7.67	10/21/21	44.00	36.33 W	0.00	7 of 13 - Sale ²⁵
	1.000	7.67	10/21/21	44.06	36.39 W	0.00	8 of 13 - Sale ²⁵
	1.000	7.67	10/21/21	44.18	36.51 W	0.00	9 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FOCUS UNIVERSAL INC. COMMON STOCK / CUSIP: 34417J104 / Symbol: (cont'd)							
	2.000	15.34	10/21/21	88.67	73.33 W	0.00	10 of 13 - Sale ²⁵
	2.000	15.34	10/21/21	89.68	74.34 W	0.00	11 of 13 - Sale ²⁵
	3.000	23.00	10/21/21	25.35	2.35 W	0.00	12 of 13 - Sale ²⁵
	3.000	23.01	10/21/21	126.13	103.12 W	0.00	13 of 13 - Sale ²⁵
11/02/21	25.000	191.74	Various	662.32	470.58 W	0.00	Total of 13 transactions
	20 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	1.000	7.82	10/21/21	39.73	31.91 W	0.00	1 of 20 - Sale ²⁵
	2.000	15.64	10/21/21	78.33	62.69 W	0.00	2 of 20 - Sale ²⁵
	2.000	15.64	10/21/21	84.94	69.30 W	0.00	3 of 20 - Sale ²⁵
	4.000	31.28	10/21/21	33.80	2.52 W	0.00	4 of 20 - Sale ²⁵
	1.000	7.82	10/22/21	20.29	12.47 W	0.00	5 of 20 - Sale ²⁵
	1.000	7.82	10/22/21	33.30	25.48 W	0.00	6 of 20 - Sale ²⁵
	1.000	7.82	10/22/21	33.36	25.54 W	0.00	7 of 20 - Sale ²⁵
	1.000	7.82	10/22/21	33.54	25.72 W	0.00	8 of 20 - Sale ²⁵
	1.000	7.82	10/22/21	34.74	26.92 W	0.00	9 of 20 - Sale ²⁵
	1.000	7.82	10/22/21	36.34	28.52 W	0.00	10 of 20 - Sale ²⁵
	1.000	7.82	10/22/21	36.73	28.91 W	0.00	11 of 20 - Sale ²⁵
	1.000	7.82	10/22/21	38.09	30.27 W	0.00	12 of 20 - Sale ²⁵
	1.000	7.82	10/22/21	38.37	30.55 W	0.00	13 of 20 - Sale ²⁵
	1.000	7.82	10/22/21	40.30	32.48 W	0.00	14 of 20 - Sale ²⁵
	2.000	15.64	10/22/21	36.70	21.06 W	0.00	15 of 20 - Sale ²⁵
	2.000	15.64	10/22/21	39.57	23.93 W	0.00	16 of 20 - Sale ²⁵
	2.000	15.64	10/22/21	72.71	57.07 W	0.00	17 of 20 - Sale ²⁵
	1.000	7.82	10/25/21	30.57	22.75 W	0.00	18 of 20 - Sale ²⁵
	2.000	15.64	10/25/21	35.65	20.01 W	0.00	19 of 20 - Sale ²⁵
	2.000	15.64	10/25/21	38.64	23.00 W	0.00	20 of 20 - Sale ²⁵
11/05/21	30.000	234.60	Various	835.70	601.10 W	0.00	Total of 20 transactions
	14 transactions for 11/09/21. Total proceeds and cost reported to the IRS.						
	1.000	7.76	10/25/21	8.51	0.75 W	0.00	1 of 14 - Sale ²⁵
	1.000	7.76	10/25/21	8.52	0.76 W	0.00	2 of 14 - Sale ²⁵
	1.000	7.76	10/25/21	9.35	1.59 W	0.00	3 of 14 - Sale ²⁵
	1.000	7.76	10/25/21	9.77	2.01 W	0.00	4 of 14 - Sale ²⁵
	1.000	7.76	10/25/21	20.46	12.70 W	0.00	5 of 14 - Sale ²⁵
	1.000	7.76	10/25/21	20.51	12.75 W	0.00	6 of 14 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FOCUS UNIVERSAL INC. COMMON STOCK / CUSIP: 34417J104 / Symbol: (cont'd)							
	2.000	15.52	10/25/21	17.06	1.54 W	0.00	7 of 14 - Sale ²⁵
	2.000	15.52	10/25/21	17.24	1.72 W	0.00	8 of 14 - Sale ²⁵
	2.000	15.52	10/25/21	19.99	4.47 W	0.00	9 of 14 - Sale ²⁵
	2.000	15.52	10/25/21	38.48	22.96 W	0.00	10 of 14 - Sale ²⁵
	2.000	15.52	10/25/21	43.49	27.97 W	0.00	11 of 14 - Sale ²⁵
	4.000	31.04	10/25/21	35.31	4.27 W	0.00	12 of 14 - Sale ²⁵
	4.000	31.04	10/25/21	37.95	6.91 W	0.00	13 of 14 - Sale ²⁵
	4.000	31.04	10/25/21	39.81	8.77 W	0.00	14 of 14 - Sale ²⁵
11/09/21	28.000	217.28	Various	326.45	109.17 W	0.00	Total of 14 transactions
	46 transactions for 11/10/21. Total proceeds and cost reported to the IRS.						
	3.000	24.09	10/27/21	126.22	...	-102.13	1 of 46 - Sale ²⁵
	1.000	8.03	10/27/21	44.21	...	-36.18	2 of 46 - Sale ²⁵
	1.000	8.03	10/27/21	42.80	...	-34.77	3 of 46 - Sale ²⁵
	1.000	8.03	10/27/21	39.46	...	-31.43	4 of 46 - Sale ²⁵
	1.000	8.03	10/28/21	41.36	...	-33.33	5 of 46 - Sale ²⁵
	2.000	16.06	10/28/21	16.78	...	-0.72	6 of 46 - Sale ²⁵
	1.000	8.03	10/29/21	8.28	...	-0.25	7 of 46 - Sale ²⁵
	2.000	16.06	10/29/21	16.25	...	-0.19	8 of 46 - Sale ²⁵
	1.000	8.03	11/01/21	39.77	...	-31.74	9 of 46 - Sale ²⁵
	2.000	16.06	11/01/21	16.98	...	-0.92	10 of 46 - Sale ²⁵
	2.000	16.06	11/04/21	84.89	...	-68.83	11 of 46 - Sale ²⁵
	2.000	16.06	11/04/21	78.28	...	-62.22	12 of 46 - Sale ²⁵
	2.000	16.06	11/04/21	72.66	...	-56.60	13 of 46 - Sale ²⁵
	1.000	8.03	11/04/21	40.28	...	-32.25	14 of 46 - Sale ²⁵
	1.000	8.03	11/04/21	38.35	...	-30.32	15 of 46 - Sale ²⁵
	1.000	8.03	11/04/21	38.06	...	-30.03	16 of 46 - Sale ²⁵
	1.000	8.03	11/04/21	36.70	...	-28.67	17 of 46 - Sale ²⁵
	1.000	8.03	11/04/21	36.32	...	-28.29	18 of 46 - Sale ²⁵
	2.000	16.06	11/04/21	43.52	...	-27.46	19 of 46 - Sale ²⁵
	1.000	8.03	11/04/21	34.71	...	-26.68	20 of 46 - Sale ²⁵
	1.000	8.03	11/04/21	33.52	...	-25.49	21 of 46 - Sale ²⁵
	1.000	8.03	11/04/21	33.33	...	-25.30	22 of 46 - Sale ²⁵
	1.000	8.03	11/04/21	33.28	...	-25.25	23 of 46 - Sale ²⁵
	2.000	16.06	11/04/21	39.48	...	-23.42	24 of 46 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FOCUS UNIVERSAL INC. COMMON STOCK / CUSIP: 34417J104 / Symbol: (cont'd)							
	1.000	8.03	11/04/21	30.52	...	-22.49	25 of 46 - Sale ²⁵
	2.000	16.06	11/04/21	38.55	...	-22.49	26 of 46 - Sale ²⁵
	2.000	16.06	11/04/21	38.51	...	-22.45	27 of 46 - Sale ²⁵
	2.000	16.06	11/04/21	36.61	...	-20.55	28 of 46 - Sale ²⁵
	2.000	16.06	11/04/21	35.56	...	-19.50	29 of 46 - Sale ²⁵
	1.000	8.03	11/04/21	20.53	...	-12.50	30 of 46 - Sale ²⁵
	1.000	8.03	11/04/21	20.48	...	-12.45	31 of 46 - Sale ²⁵
	1.000	8.03	11/04/21	20.25	...	-12.22	32 of 46 - Sale ²⁵
	4.000	32.12	11/04/21	38.01	...	-5.89	33 of 46 - Sale ²⁵
	2.000	16.06	11/04/21	20.02	...	-3.96	34 of 46 - Sale ²⁵
	2.000	16.06	11/04/21	19.94	...	-3.88	35 of 46 - Sale ²⁵
	1.000	8.03	11/04/21	9.78	...	-1.75	36 of 46 - Sale ²⁵
	2.000	16.06	11/05/21	20.22	...	-4.16	37 of 46 - Sale ²⁵
	4.000	32.12	11/05/21	35.95	...	-3.83	38 of 46 - Sale ²⁵
	1.000	8.03	11/05/21	9.51	...	-1.48	39 of 46 - Sale ²⁵
	2.000	16.06	11/08/21	17.24	...	-1.18	40 of 46 - Sale ²⁵
	2.000	16.06	11/08/21	17.06	...	-1.00	41 of 46 - Sale ²⁵
	1.000	8.03	11/08/21	8.52	...	-0.49	42 of 46 - Sale ²⁵
	1.000	8.03	11/08/21	8.51	...	-0.48	43 of 46 - Sale ²⁵
	1.000	8.03	11/08/21	7.76	...	0.27	44 of 46 - Sale ²⁵
	15.000	120.44	11/08/21	118.05	...	2.39	45 of 46 - Sale ²⁵
	18.000	144.53	11/09/21	140.40	...	4.13	46 of 46 - Sale ²⁵
11/10/21	102.000	819.04	Various	1,747.47	...	-928.43	Total of 46 transactions
	8 transactions for 11/10/21. Total proceeds and cost reported to the IRS.						
	4.000	32.12	10/25/21	33.90	1.78 W	0.00	1 of 8 - Sale ²⁵
	1.000	8.03	10/26/21	8.59	0.56 W	0.00	2 of 8 - Sale ²⁵
	1.000	8.03	10/26/21	8.61	0.58 W	0.00	3 of 8 - Sale ²⁵
	1.000	8.03	10/26/21	44.10	36.07 W	0.00	4 of 8 - Sale ²⁵
	1.000	8.03	10/26/21	44.16	36.13 W	0.00	5 of 8 - Sale ²⁵
	1.000	8.03	10/26/21	44.93	36.90 W	0.00	6 of 8 - Sale ²⁵
	2.000	16.06	10/27/21	88.74	36.34 W	-36.34	7 of 8 - Sale ²⁵
	1.000	8.03	10/27/21	44.87	36.84 W	0.00	8 of 8 - Sale ²⁵
11/10/21	12.000	96.36	Various	317.90	185.20 W	-36.34	Total of 8 transactions

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FOCUS UNIVERSAL INC. COMMON STOCK / CUSIP: 34417J104 / Symbol: (cont'd)							
5 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	1.000	8.04	11/11/21	45.71	...	-37.67	1 of 5 - Sale ²⁵
	1.000	8.05	11/11/21	45.65	...	-37.60	2 of 5 - Sale ²⁵
	4.000	32.18	11/11/21	40.10	...	-7.92	3 of 5 - Sale ²⁵
	1.000	8.05	11/11/21	10.16	...	-2.11	4 of 5 - Sale ²⁵
	1.000	8.04	11/11/21	10.14	...	-2.10	5 of 5 - Sale ²⁵
11/16/21	8.000	64.36	Various	151.76	...	-87.40	Total of 5 transactions
3 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	9.40	11/12/21	45.57	...	-36.17	1 of 3 - Sale ²⁵
	1.000	9.40	11/15/21	45.69	...	-36.29	2 of 3 - Sale ²⁵
	1.000	9.40	11/15/21	45.19	...	-35.79	3 of 3 - Sale ²⁵
11/17/21	3.000	28.20	Various	136.45	...	-108.25	Total of 3 transactions
	Security total:	5,350.82		12,704.72		6,335.07 W	-1,018.83
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
11/08/21	2.000	40.41	11/05/21	39.05	...	1.36	Sale ²⁵
FORTRESS BIOTECH, INC. COMMON STOCK / CUSIP: 34960Q109 / Symbol:							
12/21/21	25.000	67.00	12/20/21	64.44	...	2.56	Sale ²⁵
FORTE BIOSCIENCES, INC. COMMON STOCK / CUSIP: 34962G109 / Symbol:							
08/17/21	2.000	54.51	08/17/21	54.00	...	0.51	Sale ²⁵
2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	13.000	37.18	10/20/21	36.62	...	0.56	1 of 2 - Sale ²⁵
	62.000	177.31	10/20/21	174.84	...	2.47	2 of 2 - Sale ²⁵
10/21/21	75.000	214.49	Various	211.46	...	3.03	Total of 2 transactions
	Security total:	269.00		265.46		3.54	
FULCRUM THERAPEUTICS, INC. COMMON STOCK / CUSIP: 359616109 / Symbol:							
08/10/21	2.000	30.35	08/10/21	28.20	...	2.15	Sale ²⁵
THE GEO GROUP, INC. / CUSIP: 36162J106 / Symbol:							
06/09/21	5.000	48.75	06/09/21	48.30	...	0.45	Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GALERA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 36338D108 / Symbol:							
2 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	1.000	3.01	12/14/21	2.85	...	0.16	1 of 2 - Sale ²⁵
	49.000	157.78	12/14/21	139.65	...	18.13	2 of 2 - Sale ²⁵
12/15/21	50.000	160.79	Various	142.50	...	18.29	Total of 2 transactions
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
02/24/21	1.000	124.93	02/24/21	111.95	...	12.98	Sale ²⁵
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	52.46	02/01/21	51.27	...	1.19	1 of 2 - Sale ²⁵
	5.000	262.27	02/01/21	257.35	...	4.92	2 of 2 - Sale ²⁵
02/02/21	6.000	314.73	Various	308.62	...	6.11	Total of 2 transactions
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
3 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	1.000	2.37	03/04/21	2.01	...	0.36	1 of 3 - Sale ²⁵
	1.000	2.37	03/04/21	1.84	...	0.53	2 of 3 - Sale ²⁵
	1.000	2.37	03/11/21	2.16	...	0.21	3 of 3 - Sale ²⁵
03/22/21	3.000	7.11	Various	6.01	...	1.10	Total of 3 transactions
5 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	2.000	2.70	10/25/21	4.10	1.40 W	0.00	1 of 5 - Sale ²⁵
	10.000	13.51	10/25/21	20.65	7.14 W	0.00	2 of 5 - Sale ²⁵
	1.000	1.35	10/26/21	1.87	0.52 W	0.00	3 of 5 - Sale ²⁵
	11.000	14.87	10/26/21	19.25	4.38 W	0.00	4 of 5 - Sale ²⁵
	12.000	16.22	10/26/21	20.96	4.74 W	0.00	5 of 5 - Sale ²⁵
11/10/21	36.000	48.65	Various	66.83	18.18 W	0.00	Total of 5 transactions
10 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	1.36	10/26/21	2.15	0.79 W	0.00	1 of 10 - Sale ²⁵
	1.000	1.36	10/26/21	2.27	0.91 W	0.00	2 of 10 - Sale ²⁵
	2.000	2.72	10/26/21	4.90	2.18 W	0.00	3 of 10 - Sale ²⁵
	10.000	13.60	10/26/21	24.64	11.04 W	0.00	4 of 10 - Sale ²⁵
	9.000	12.24	10/27/21	18.94	6.70 W	0.00	5 of 10 - Sale ²⁵
	11.000	14.96	10/27/21	23.12	8.16 W	0.00	6 of 10 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	2.000	2.72	10/28/21	3.90	1.18 W	0.00	7 of 10 - Sale ²⁵
	5.000	6.80	10/28/21	7.73	0.93 W	0.00	8 of 10 - Sale ²⁵
	8.000	10.88	10/28/21	12.40	1.52 W	0.00	9 of 10 - Sale ²⁵
	1.000	1.36	10/29/21	1.42	0.06 W	0.00	10 of 10 - Sale ²⁵
11/12/21	50.000	68.00	Various	101.47	33.47 W	0.00	Total of 10 transactions
	25 transactions for 11/24/21. Total proceeds and cost reported to the IRS.						
	2.000	2.42	10/29/21	5.01	...	-2.59	1 of 25 - Sale ²⁵
	1.000	1.21	10/29/21	2.33	...	-1.12	2 of 25 - Sale ²⁵
	1.000	1.21	10/29/21	2.21	...	-1.00	3 of 25 - Sale ²⁵
	1.000	1.21	10/29/21	2.14	...	-0.93	4 of 25 - Sale ²⁵
	1.000	1.21	11/02/21	2.13	...	-0.92	5 of 25 - Sale ²⁵
	9.000	10.89	11/03/21	18.94	...	-8.05	6 of 25 - Sale ²⁵
	9.000	10.89	11/03/21	18.92	...	-8.03	7 of 25 - Sale ²⁵
	5.000	6.05	11/03/21	7.75	...	-1.70	8 of 25 - Sale ²⁵
	2.000	2.42	11/03/21	3.90	...	-1.48	9 of 25 - Sale ²⁵
	3.000	3.63	11/04/21	4.86	...	-1.23	10 of 25 - Sale ²⁵
	2.000	2.42	11/04/21	3.23	...	-0.81	11 of 25 - Sale ²⁵
	1.000	1.21	11/05/21	1.61	...	-0.40	12 of 25 - Sale ²⁵
	1.000	1.21	11/05/21	1.61	...	-0.40	13 of 25 - Sale ²⁵
	13.000	15.73	11/10/21	17.68	...	-1.95	14 of 25 - Sale ²⁵
	1.000	1.21	11/10/21	1.54	...	-0.33	15 of 25 - Sale ²⁵
	1.000	1.21	11/10/21	1.42	...	-0.21	16 of 25 - Sale ²⁵
	10.000	12.10	11/12/21	13.70	...	-1.60	17 of 25 - Sale ²⁵
	10.000	12.09	11/12/21	13.67	...	-1.58	18 of 25 - Sale ²⁵
	8.000	9.68	11/12/21	10.93	...	-1.25	19 of 25 - Sale ²⁵
	2.000	2.42	11/12/21	2.73	...	-0.31	20 of 25 - Sale ²⁵
	1.000	1.21	11/12/21	1.37	...	-0.16	21 of 25 - Sale ²⁵
	1.000	1.21	11/15/21	1.41	...	-0.20	22 of 25 - Sale ²⁵
	1.000	1.21	11/16/21	1.39	...	-0.18	23 of 25 - Sale ²⁵
	2.000	2.42	11/23/21	2.46	...	-0.04	24 of 25 - Sale ²⁵
	1.000	1.21	11/23/21	1.20	...	0.01	25 of 25 - Sale ²⁵
11/24/21	89.000	107.68	Various	144.14	...	-36.46	Total of 25 transactions
11/24/21	10.000	12.10	10/29/21	25.20	1.31 W	-11.79	Sale ²⁵
11/30/21	1.000	1.22	11/23/21	2.50	...	-1.28	Sale ²⁵

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
Security total:		244.76		346.15		52.96 W	-48.43
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	1.000	1.01	01/13/21	1.12	...	-0.11	1 of 3 - Sale ²⁵
	1.000	1.01	01/13/21	0.84	...	0.17	2 of 3 - Sale ²⁵
	1.000	1.01	01/19/21	0.92	...	0.09	3 of 3 - Sale ²⁵
01/20/21	3.000	3.03	Various	2.88	...	0.15	Total of 3 transactions
GORES GUGGENHEIM, INC. CLASS A COMMON STOCK / CUSIP: 38286Q107 / Symbol:							
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	5.000	78.00	11/15/21	77.75	...	0.25	1 of 2 - Sale ²⁵
	1.000	15.60	11/15/21	14.95	...	0.65	2 of 2 - Sale ²⁵
11/16/21	6.000	93.60	Various	92.70	...	0.90	Total of 2 transactions
GOSSAMER BIO, INC. COMMON STOC K / CUSIP: 38341P102 / Symbol:							
2 transactions for 07/28/21. Total proceeds and cost reported to the IRS.							
	1.000	7.87	06/11/21	8.84	...	-0.97	1 of 2 - Sale ²⁵
	2.000	15.74	07/16/21	14.66	...	1.08	2 of 2 - Sale ²⁵
07/28/21	3.000	23.61	Various	23.50	...	0.11	Total of 2 transactions
GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol:							
5 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	35.000	43.40	10/25/21	43.75	...	-0.35	1 of 5 - Sale ²⁵
	1.000	1.24	10/26/21	1.16	...	0.08	2 of 5 - Sale ²⁵
	1.000	1.24	10/26/21	1.13	...	0.11	3 of 5 - Sale ²⁵
	4.000	4.96	10/26/21	4.78	...	0.18	4 of 5 - Sale ²⁵
	3.000	3.72	10/26/21	3.48	...	0.24	5 of 5 - Sale ²⁵
10/27/21	44.000	54.56	Various	54.30	...	0.26	Total of 5 transactions
HEAT BIOLOGICS, INC. COMMON ST OCK / CUSIP: 42237K409 / Symbol:							
46 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	2.000	18.00	02/23/21	16.82	...	1.18	1 of 46 - Sale ²⁵
	1.000	9.00	02/24/21	8.57	...	0.43	2 of 46 - Sale ²⁵

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HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K409 / Symbol: (cont'd)							
	2.000	18.00	02/25/21	16.58	...	1.42	3 of 46 - Sale ²⁵
	2.000	18.00	02/25/21	15.60	...	2.40	4 of 46 - Sale ²⁵
	2.000	18.00	03/01/21	16.15	...	1.85	5 of 46 - Sale ²⁵
	1.000	9.00	03/03/21	8.84	...	0.16	6 of 46 - Sale ²⁵
	1.000	9.00	03/04/21	7.96	...	1.04	7 of 46 - Sale ²⁵
	1.000	9.00	03/05/21	6.98	...	2.02	8 of 46 - Sale ²⁵
	2.000	18.00	03/05/21	15.62	...	2.38	9 of 46 - Sale ²⁵
	1.000	9.00	03/08/21	7.68	...	1.32	10 of 46 - Sale ²⁵
	2.000	18.00	03/17/21	16.75	...	1.25	11 of 46 - Sale ²⁵
	1.000	9.00	03/18/21	8.50	...	0.50	12 of 46 - Sale ²⁵
	1.000	9.00	03/23/21	8.30	...	0.70	13 of 46 - Sale ²⁵
	3.000	27.00	03/23/21	24.30	...	2.70	14 of 46 - Sale ²⁵
	1.000	9.00	03/24/21	8.01	...	0.99	15 of 46 - Sale ²⁵
	2.000	18.00	03/25/21	14.78	...	3.22	16 of 46 - Sale ²⁵
	1.000	9.00	03/31/21	7.33	...	1.67	17 of 46 - Sale ²⁵
	1.000	9.00	04/01/21	7.40	...	1.60	18 of 46 - Sale ²⁵
	2.000	18.00	04/09/21	14.82	...	3.18	19 of 46 - Sale ²⁵
	1.000	9.00	04/19/21	6.40	...	2.60	20 of 46 - Sale ²⁵
	1.000	9.00	04/20/21	6.29	...	2.71	21 of 46 - Sale ²⁵
	3.000	27.00	04/23/21	20.45	...	6.55	22 of 46 - Sale ²⁵
	2.000	18.00	04/27/21	14.23	...	3.77	23 of 46 - Sale ²⁵
	1.000	9.00	04/29/21	6.93	...	2.07	24 of 46 - Sale ²⁵
	2.000	18.00	05/03/21	14.11	...	3.89	25 of 46 - Sale ²⁵
	2.000	18.00	05/03/21	14.00	...	4.00	26 of 46 - Sale ²⁵
	4.000	36.00	05/03/21	28.36	...	7.64	27 of 46 - Sale ²⁵
	1.000	9.00	05/04/21	6.69	...	2.31	28 of 46 - Sale ²⁵
	2.000	18.00	05/04/21	13.36	...	4.64	29 of 46 - Sale ²⁵
	3.000	27.00	05/06/21	19.62	...	7.38	30 of 46 - Sale ²⁵
	8.000	71.99	05/26/21	49.69	...	22.30	31 of 46 - Sale ²⁵
	8.000	71.99	05/28/21	53.21	...	18.78	32 of 46 - Sale ²⁵
	5.000	50.00	06/07/21	55.69	...	-5.69	33 of 46 - Sale ²⁵
	1.000	10.00	06/07/21	10.58	...	-0.58	34 of 46 - Sale ²⁵
	1.000	10.00	06/07/21	9.52	...	0.48	35 of 46 - Sale ²⁵
	2.000	20.00	06/07/21	19.18	...	0.82	36 of 46 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 643746050
2021 1099-B* OMB No. 1545-0715	(continued)	02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K409 / Symbol: (cont'd)							
	2.000	20.00	06/07/21	19.12	...	0.88	37 of 46 - Sale ²⁵
	1.000	10.00	06/07/21	8.56	...	1.44	38 of 46 - Sale ²⁵
	1.000	10.00	06/07/21	7.38	...	2.62	39 of 46 - Sale ²⁵
	2.000	20.00	06/07/21	15.77	...	4.23	40 of 46 - Sale ²⁵
	5.000	45.00	06/07/21	33.59	...	11.41	41 of 46 - Sale ²⁵
	2.000	20.00	06/10/21	19.35	...	0.65	42 of 46 - Sale ²⁵
	3.000	30.00	06/10/21	27.85	...	2.15	43 of 46 - Sale ²⁵
	1.000	10.00	06/10/21	7.44	...	2.56	44 of 46 - Sale ²⁵
	2.000	20.00	06/10/21	13.99	...	6.01	45 of 46 - Sale ²⁵
	11.000	110.00	06/10/21	74.64	...	35.36	46 of 46 - Sale ²⁵
06/10/21	106.000	987.98	Various	806.99	...	180.99	Total of 46 transactions
	14 transactions for 06/10/21. Total proceeds and cost reported to the IRS.						
	1.000	9.00	02/09/21	11.80	2.80 W	0.00	1 of 14 - Sale ²⁵
	1.000	9.00	02/09/21	12.86	3.86 W	0.00	2 of 14 - Sale ²⁵
	2.000	18.00	02/09/21	23.68	5.68 W	0.00	3 of 14 - Sale ²⁵
	2.000	18.00	02/09/21	23.74	5.74 W	0.00	4 of 14 - Sale ²⁵
	5.000	42.50	02/09/21	70.90	28.40 W	0.00	5 of 14 - Sale ²⁵
	5.000	45.00	02/09/21	67.10	22.10 W	0.00	6 of 14 - Sale ²⁵
	5.000	45.00	02/09/21	70.90	25.90 W	0.00	7 of 14 - Sale ²⁵
	1.000	9.00	02/10/21	10.84	1.84 W	0.00	8 of 14 - Sale ²⁵
	2.000	18.00	02/17/21	19.32	1.32 W	0.00	9 of 14 - Sale ²⁵
	2.000	18.00	02/17/21	20.34	2.34 W	0.00	10 of 14 - Sale ²⁵
	2.000	18.00	02/22/21	18.42	0.42 W	0.00	11 of 14 - Sale ²⁵
	3.000	27.00	05/13/21	34.50	7.50 W	0.00	12 of 14 - Sale ²⁵
	2.000	18.00	05/26/21	23.78	5.78 W	0.00	13 of 14 - Sale ²⁵
	5.000	50.00	06/07/21	59.49	7.60 W	-1.89	14 of 14 - Sale ²⁵
06/10/21	38.000	344.50	Various	467.67	121.28 W	-1.89	Total of 14 transactions
	9 transactions for 08/18/21. Total proceeds and cost reported to the IRS.						
	1.000	6.50	08/16/21	8.82	...	-2.32	1 of 9 - Sale ²⁵
	1.000	6.50	08/16/21	8.79	...	-2.29	2 of 9 - Sale ²⁵
	1.000	6.50	08/16/21	8.73	...	-2.23	3 of 9 - Sale ²⁵
	1.000	6.50	08/16/21	8.46	...	-1.96	4 of 9 - Sale ²⁵
	1.000	6.50	08/16/21	6.39	...	0.11	5 of 9 - Sale ²⁵
	4.000	26.00	08/16/21	25.59	...	0.41	6 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K409 / Symbol: (cont'd)							
	2.000	13.00	08/16/21	12.56	...	0.44	7 of 9 - Sale ²⁵
	9.000	58.50	08/16/21	56.50	...	2.00	8 of 9 - Sale ²⁵
	10.000	65.00	08/16/21	62.78	...	2.22	9 of 9 - Sale ²⁵
08/18/21	30.000	195.00	Various	198.62	...	-3.62	Total of 9 transactions
6 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	1.000	6.50	06/10/21	8.68	2.18 W	0.00	1 of 6 - Sale ²⁵
	1.000	6.50	06/25/21	9.01	2.51 W	0.00	2 of 6 - Sale ²⁵
	1.000	6.50	06/25/21	9.04	2.54 W	0.00	3 of 6 - Sale ²⁵
	1.000	6.50	06/28/21	8.95	2.45 W	0.00	4 of 6 - Sale ²⁵
	1.000	6.50	08/12/21	6.61	0.11 W	0.00	5 of 6 - Sale ²⁵
	4.000	26.00	08/12/21	26.48	0.48 W	0.00	6 of 6 - Sale ²⁵
08/18/21	9.000	58.50	Various	68.77	10.27 W	0.00	Total of 6 transactions
	Security total:	1,585.98		1,542.05	131.55 W	175.48	
HOOKIPA PHARMA INC. COMMON STOCK / CUSIP: 43906K100 / Symbol:							
7 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	1.000	6.88	06/11/21	9.75	...	-2.87	1 of 7 - Sale ²⁵
	1.000	6.88	07/28/21	8.26	...	-1.38	2 of 7 - Sale ²⁵
	4.000	27.50	08/11/21	29.80	...	-2.30	3 of 7 - Sale ²⁵
	3.000	20.63	08/11/21	22.26	...	-1.63	4 of 7 - Sale ²⁵
	10.000	68.75	08/16/21	67.40	...	1.35	5 of 7 - Sale ²⁵
	20.000	137.50	08/16/21	134.79	...	2.71	6 of 7 - Sale ²⁵
	11.000	75.62	08/17/21	69.83	...	5.79	7 of 7 - Sale ²⁵
08/18/21	50.000	343.76	Various	342.09	...	1.67	Total of 7 transactions
ISPECIMEN INC. COMMON STOCK / CUSIP: 45032V108 / Symbol:							
11/26/21	6.000	97.20	11/24/21	84.60	...	12.60	Sale ²⁵
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
01/05/21	1.000	2.20	01/04/21	2.32	...	-0.12	Sale ²⁵
2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	1.000	1.99	10/25/21	1.95	...	0.04	1 of 2 - Sale ²⁵
	89.000	177.32	10/25/21	174.17	...	3.15	2 of 2 - Sale ²⁵
10/26/21	90.000	179.31	Various	176.12	...	3.19	Total of 2 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	47.000	93.53	10/27/21	89.30	...	4.23	1 of 3 - Sale ²⁵
	53.000	105.46	10/27/21	100.70	...	4.76	2 of 3 - Sale ²⁵
	10.000	19.90	10/28/21	18.77	...	1.13	3 of 3 - Sale ²⁵
10/29/21	110.000	218.89	Various	208.77	...	10.12	Total of 3 transactions
11/03/21	21.000	43.26	10/29/21	41.58	...	1.68	Sale ²⁵
	Security total:	443.66		428.79	...	14.87	
IMMUNOGEN, INC. COMMON STOCK / CUSIP: 45253H101 / Symbol:							
12/02/21	15.000	106.23	12/01/21	105.00	...	1.23	Sale ²⁵
IMMUNOPRECISE ANTIBODIES LTD. COMMON STOCK / CUSIP: 45257F200 / Symbol:							
2 transactions for 07/22/21. Total proceeds and cost reported to the IRS.							
	1.000	15.75	07/22/21	15.57	...	0.18	1 of 2 - Sale ²⁵
	1.000	15.75	07/22/21	15.33	...	0.42	2 of 2 - Sale ²⁵
07/22/21	2.000	31.50	Various	30.90	...	0.60	Total of 2 transactions
IMMUTEP LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45257L108 / Symbol:							
38 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	12.000	45.84	12/10/20	76.80	...	-30.96	1 of 38 - Sale ²⁵
	7.000	26.74	12/10/20	37.93	...	-11.19	2 of 38 - Sale ²⁵
	5.000	19.10	12/10/20	28.14	...	-9.04	3 of 38 - Sale ²⁵
	2.000	7.64	12/10/20	10.78	...	-3.14	4 of 38 - Sale ²⁵
	26.000	99.31	12/11/20	109.98	...	-10.67	5 of 38 - Sale ²⁵
	20.000	76.40	12/11/20	81.78	...	-5.38	6 of 38 - Sale ²⁵
	13.000	49.66	12/11/20	49.79	...	-0.13	7 of 38 - Sale ²⁵
	5.000	19.10	12/11/20	17.68	...	1.42	8 of 38 - Sale ²⁵
	10.000	38.20	12/11/20	35.79	...	2.41	9 of 38 - Sale ²⁵
	7.000	26.74	12/11/20	23.38	...	3.36	10 of 38 - Sale ²⁵
	10.000	38.20	12/11/20	34.50	...	3.70	11 of 38 - Sale ²⁵
	4.000	15.28	12/14/20	13.07	...	2.21	12 of 38 - Sale ²⁵
	50.000	190.99	12/14/20	164.44	...	26.55	13 of 38 - Sale ²⁵
	4.000	15.28	12/15/20	12.36	...	2.92	14 of 38 - Sale ²⁵
	20.000	76.39	12/15/20	63.35	...	13.04	15 of 38 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IMMUTEP LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45257L108 / Symbol: (cont'd)							
	25.000	95.49	12/15/20	80.50	...	14.99	16 of 38 - Sale ²⁵
	1.000	3.82	12/16/20	3.28	...	0.54	17 of 38 - Sale ²⁵
	2.000	7.64	12/16/20	7.08	...	0.56	18 of 38 - Sale ²⁵
	5.000	19.10	12/16/20	17.75	...	1.35	19 of 38 - Sale ²⁵
	5.000	19.10	12/16/20	16.20	...	2.90	20 of 38 - Sale ²⁵
	10.000	38.20	12/16/20	34.08	...	4.12	21 of 38 - Sale ²⁵
	3.000	11.46	12/17/20	9.26	...	2.20	22 of 38 - Sale ²⁵
	3.000	11.46	12/21/20	8.69	...	2.77	23 of 38 - Sale ²⁵
	5.000	19.10	12/21/20	14.55	...	4.55	24 of 38 - Sale ²⁵
	5.000	19.10	12/21/20	14.47	...	4.63	25 of 38 - Sale ²⁵
	25.000	95.49	12/21/20	72.63	...	22.86	26 of 38 - Sale ²⁵
	2.000	7.64	12/24/20	5.87	...	1.77	27 of 38 - Sale ²⁵
	5.000	19.10	12/24/20	15.00	...	4.10	28 of 38 - Sale ²⁵
	2.000	7.64	12/28/20	5.97	...	1.67	29 of 38 - Sale ²⁵
	5.000	19.10	12/29/20	14.13	...	4.97	30 of 38 - Sale ²⁵
	2.000	7.64	12/31/20	5.96	...	1.68	31 of 38 - Sale ²⁵
	1.000	3.82	01/14/21	3.49	...	0.33	32 of 38 - Sale ²⁵
	5.000	19.09	01/15/21	17.38	...	1.71	33 of 38 - Sale ²⁵
	1.000	3.82	01/19/21	3.43	...	0.39	34 of 38 - Sale ²⁵
	5.000	19.10	01/19/21	17.10	...	2.00	35 of 38 - Sale ²⁵
	1.000	3.82	01/20/21	3.28	...	0.54	36 of 38 - Sale ²⁵
	5.000	19.09	01/20/21	16.37	...	2.72	37 of 38 - Sale ²⁵
	2.000	7.64	01/22/21	6.22	...	1.42	38 of 38 - Sale ²⁵
01/26/21	320.000	1,222.33	Various	1,152.46	...	69.87	Total of 38 transactions
INMUNE BIO INC. COMMON STOCK / CUSIP: 45782T105 / Symbol:							
06/24/21	1.000	19.50	06/14/21	19.09	...	0.41	Sale ²⁵
IRONNET, INC. / CUSIP: 46323Q105 / Symbol:							
09/07/21	2.000	51.00	09/07/21	50.00	...	1.00	Sale ²⁵
	3 transactions for 09/16/21. Total proceeds and cost reported to the IRS.						
	1.000	43.00	09/16/21	37.20	...	5.80	1 of 3 - Sale ²⁵
	2.000	86.00	09/16/21	80.00	...	6.00	2 of 3 - Sale ²⁵
	3.000	129.00	09/16/21	117.00	...	12.00	3 of 3 - Sale ²⁵
09/16/21	6.000	258.00	Various	234.20	...	23.80	Total of 3 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IRONNET, INC. / CUSIP: 46323Q105 / Symbol: (cont'd)							
Security total:		309.00		284.20	...		24.80
ITAMAR MEDICAL LTD. AMERICAN D EPOSITORY SHARES / CUSIP: 465437101 / Symbol:							
09/14/21	1.000	30.17	09/13/21	30.00	...		0.17 Sale ²⁵
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
<i>3 transactions for 03/15/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.22	03/08/21	2.20	...		0.02 1 of 3 - Sale ²⁵
	1.000	2.22	03/09/21	2.11	...		0.11 2 of 3 - Sale ²⁵
	5.000	11.10	03/10/21	10.13	...		0.97 3 of 3 - Sale ²⁵
03/15/21	7.000	15.54	Various	14.44	...		1.10 Total of 3 transactions
JASPER THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 471871103 / Symbol:							
10/13/21	3.000	47.52	10/13/21	46.76	...		0.76 Sale ²⁵
JD.COM, INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 47215P106 / Symbol:							
<i>3 transactions for 06/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	79.25	03/11/21	90.00	...		-10.75 1 of 3 - Sale ²⁵
	1.000	79.25	06/07/21	74.10	...		5.15 2 of 3 - Sale ²⁵
	1.000	79.25	06/10/21	72.11	...		7.14 3 of 3 - Sale ²⁵
06/29/21	3.000	237.75	Various	236.21	...		1.54 Total of 3 transactions
KT CORP. / CUSIP: 48268K101 / Symbol:							
02/16/21	1.000	11.82	02/10/21	10.81	...		1.01 Sale ²⁵
KALVISTA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 483497103 / Symbol:							
<i>3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	80.00	02/09/21	87.62	...		-7.62 1 of 3 - Sale ²⁵
	1.000	40.00	02/09/21	32.41	...		7.59 2 of 3 - Sale ²⁵
	1.000	40.00	02/09/21	30.26	...		9.74 3 of 3 - Sale ²⁵
02/09/21	4.000	160.00	Various	150.29	...		9.71 Total of 3 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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KARYOPHARM THERAPEUTICS INC. C OMMON STOCK / CUSIP: 48576U106 / Symbol:							
06/17/21	1.000	10.51	06/11/21	10.40	...	0.11	Sale ²⁵
	<i>2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.</i>						
	5.000	38.06	11/03/21	38.00	...	0.06	1 of 2 - Sale ²⁵
	10.000	76.12	11/03/21	73.00	...	3.12	2 of 2 - Sale ²⁵
11/04/21	15.000	114.18	Various	111.00	...	3.18	Total of 2 transactions
11/17/21	5.000	42.55	11/16/21	42.44	...	0.11	Sale ²⁵
	Security total:	167.24		163.84	...	3.40	
KEZAR LIFE SCIENCES, INC. COMM ON STOCK / CUSIP: 49372L100 / Symbol:							
11/18/21	10.000	135.70	11/17/21	131.51	...	4.19	Sale ²⁵
KIMBELL ROYALTY PARTNERS, LP C OMMON UNITS REPRESENTING LIMIT ED PARTNER INTERESTS / CUSIP: 49435R102 / Symbol:							
	<i>6 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	9.02	01/28/21	8.63	...	0.39	1 of 6 - Sale ²⁵
	5.000	45.09	01/28/21	43.62	...	1.47	2 of 6 - Sale ²⁵
	1.000	9.02	01/29/21	8.68	...	0.34	3 of 6 - Sale ²⁵
	2.000	18.04	01/29/21	16.94	...	1.10	4 of 6 - Sale ²⁵
	5.000	45.09	01/29/21	41.75	...	3.34	5 of 6 - Sale ²⁵
	2.000	18.03	02/02/21	16.96	...	1.07	6 of 6 - Sale ²⁵
02/09/21	16.000	144.29	Various	136.58	...	7.71	Total of 6 transactions
KINDRED BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 494577109 / Symbol:							
06/18/21	1.000	9.24	06/16/21	9.19	...	0.05	Sale ²⁵
KIORA PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 49721T101 / Symbol:							
12/31/21	66.000	99.65	12/30/21	94.99	...	4.66	Sale ²⁵
KOREA ELECTRIC POWER CORP / CUSIP: 500631106 / Symbol:							
04/20/21	4.000	43.16	02/10/21	43.96	...	-0.80	Sale ²⁵
04/27/21	1.000	11.06	02/10/21	10.99	...	0.07	Sale ²⁵
	Security total:	54.22		54.95	...	-0.73	

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol:							
12 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	2.000	40.00	02/02/21	38.00	...	2.00	1 of 12 - Sale ²⁵
	1.000	20.00	02/04/21	19.13	...	0.87	2 of 12 - Sale ²⁵
	1.000	20.00	02/04/21	18.45	...	1.55	3 of 12 - Sale ²⁵
	1.000	20.00	02/05/21	18.86	...	1.14	4 of 12 - Sale ²⁵
	1.000	20.00	02/05/21	17.11	...	2.89	5 of 12 - Sale ²⁵
	1.000	20.00	02/08/21	18.99	...	1.01	6 of 12 - Sale ²⁵
	1.000	20.00	02/09/21	18.04	...	1.96	7 of 12 - Sale ²⁵
	1.000	20.00	02/10/21	17.67	...	2.33	8 of 12 - Sale ²⁵
	1.000	20.00	02/11/21	16.91	...	3.09	9 of 12 - Sale ²⁵
	1.000	20.00	02/11/21	15.50	...	4.50	10 of 12 - Sale ²⁵
	1.000	20.00	02/12/21	14.95	...	5.05	11 of 12 - Sale ²⁵
	1.000	20.00	02/17/21	13.90	...	6.10	12 of 12 - Sale ²⁵
02/24/21	13.000	260.00	Various	227.51	...	32.49	Total of 12 transactions
5 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	1.000	20.00	01/29/21	86.68	66.68 W	0.00	1 of 5 - Sale ²⁵
	1.000	20.00	02/02/21	20.44	0.44 W	0.00	2 of 5 - Sale ²⁵
	1.000	20.00	02/02/21	26.44	6.44 W	0.00	3 of 5 - Sale ²⁵
	2.000	40.00	02/02/21	41.32	1.32 W	0.00	4 of 5 - Sale ²⁵
	2.000	40.00	02/04/21	46.37	6.37 W	0.00	5 of 5 - Sale ²⁵
02/24/21	7.000	140.00	Various	221.25	81.25 W	0.00	Total of 5 transactions
11 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	1.000	31.00	02/17/21	20.08	...	10.92	1 of 11 - Sale ²⁵
	1.000	31.00	02/17/21	13.96	...	17.04	2 of 11 - Sale ²⁵
	1.000	31.00	02/19/21	13.91	...	17.09	3 of 11 - Sale ²⁵
	1.000	31.00	02/22/21	13.99	...	17.01	4 of 11 - Sale ²⁵
	1.000	31.00	02/23/21	15.22	...	15.78	5 of 11 - Sale ²⁵
	1.000	31.00	02/23/21	15.19	...	15.81	6 of 11 - Sale ²⁵
	1.000	31.00	02/23/21	12.01	...	18.99	7 of 11 - Sale ²⁵
	2.000	62.00	02/23/21	23.90	...	38.10	8 of 11 - Sale ²⁵
	2.000	62.00	02/24/21	26.41	...	35.59	9 of 11 - Sale ²⁵
	3.000	93.00	02/24/21	44.19	...	48.81	10 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol: (cont'd)							
02/25/21	5.000	155.00	02/24/21	91.90	...	63.10	11 of 11 - Sale ²⁵
02/25/21	19.000	589.00	Various	290.76	...	298.24	Total of 11 transactions
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	1.000	31.00	02/17/21	80.58	49.58 W	0.00	1 of 2 - Sale ²⁵
	1.000	31.00	02/24/21	62.79	31.79 W	0.00	2 of 2 - Sale ²⁵
02/25/21	2.000	62.00	Various	143.37	81.37 W	0.00	Total of 2 transactions
8 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	1.000	24.32	02/25/21	30.62	...	-6.30	1 of 8 - Sale ²⁵
	1.000	24.33	02/25/21	26.30	...	-1.97	2 of 8 - Sale ²⁵
	2.000	48.65	02/26/21	43.38	...	5.27	3 of 8 - Sale ²⁵
	1.000	24.32	02/26/21	16.30	...	8.02	4 of 8 - Sale ²⁵
	1.000	24.32	03/01/21	17.80	...	6.52	5 of 8 - Sale ²⁵
	1.000	24.33	03/01/21	16.34	...	7.99	6 of 8 - Sale ²⁵
	1.000	24.33	03/05/21	15.46	...	8.87	7 of 8 - Sale ²⁵
	1.000	27.51	03/10/21	64.74	...	-37.23	8 of 8 - Sale ²⁵
03/10/21	9.000	222.11	Various	230.94	...	-8.83	Total of 8 transactions
03/10/21	1.000	24.33	02/25/21	62.41	38.08 W	0.00	Sale ²⁵
Security total:		1,297.44		1,176.24	200.70 W	321.90	
LEXINFINTech HOLDINGS LTD. AME RICAN DEPOSITARY SHARES / CUSIP: 528877103 / Symbol:							
06/17/21	1.000	12.83	06/11/21	12.22	...	0.61	Sale ²⁵
LONGEVERON INC. CLASS A COMMON STOCK / CUSIP: 54303L104 / Symbol:							
11/23/21	3.000	61.11	11/22/21	52.50	...	8.61	Sale ²⁵
11/23/21	3.000	61.11	11/22/21	130.50	69.39 W	0.00	Sale ²⁵
3 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	1.000	25.00	11/23/21	18.20	...	6.80	1 of 3 - Sale ²⁵
	2.000	50.00	11/23/21	41.37	...	8.63	2 of 3 - Sale ²⁵
	2.000	50.00	11/23/21	33.14	...	16.86	3 of 3 - Sale ²⁵
11/24/21	5.000	125.00	Various	92.71	...	32.29	Total of 3 transactions
11/24/21	3.000	75.00	11/23/21	131.44	56.44 W	0.00	Sale ²⁵
11/26/21	1.000	40.00	11/24/21	52.06	12.06 W	0.00	Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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LONGEVERON INC. CLASS A COMMON STOCK / CUSIP: 54303L104 / Symbol: (cont'd)							
2 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	1.000	19.10	12/03/21	36.50	17.40 W	0.00	1 of 2 - Sale ²⁵
	2.000	38.20	12/03/21	86.51	48.31 W	0.00	2 of 2 - Sale ²⁵
12/06/21	3.000	57.30	Various	123.01	65.71 W	0.00	Total of 2 transactions
12/07/21	2.000	40.84	12/06/21	34.00	...	6.84	Sale ²⁵
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	20.42	12/06/21	34.40	13.98 W	0.00	1 of 2 - Sale ²⁵
	2.000	40.85	12/06/21	82.31	41.46 W	0.00	2 of 2 - Sale ²⁵
12/07/21	3.000	61.27	Various	116.71	55.44 W	0.00	Total of 2 transactions
5 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	0.180	2.17	12/30/21	2.28	0.11 W	0.00	1 of 5 - Sale ²⁵
	1.000	12.10	12/30/21	12.72	0.62 W	0.00	2 of 5 - Sale ²⁵
	1.000	12.10	12/30/21	27.31	15.21 W	0.00	3 of 5 - Sale ²⁵
	2.000	24.19	12/30/21	68.12	43.93 W	0.00	4 of 5 - Sale ²⁵
	4.502	54.44	12/30/21	60.01	5.57 W	0.00	5 of 5 - Sale ²⁵
12/31/21	8.681	105.00	Various	170.44	65.44 W	0.00	Total of 5 transactions
	Security total:	626.63		903.37	324.48 W	47.74	
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
11/08/21	2.000	87.34	11/05/21	78.92	...	8.42	Sale ²⁵
11/17/21	3.000	163.65	11/16/21	159.36	...	4.29	Sale ²⁵
3 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	1.000	39.10	12/14/21	37.48	...	1.62	1 of 3 - Sale ²⁵
	1.000	40.27	12/14/21	37.48	...	2.79	2 of 3 - Sale ²⁵
	8.000	322.16	12/14/21	299.83	...	22.33	3 of 3 - Sale ²⁵
12/15/21	10.000	401.53	Various	374.79	...	26.74	Total of 3 transactions
	Security total:	652.52		613.07	...	39.45	
MARINUS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 56854Q200 / Symbol:							
06/14/21	1.000	19.34	06/11/21	18.30	...	1.04	Sale ²⁵

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MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol:							
12 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	1.000	1.66	01/06/21	1.59	...	0.07	1 of 12 - Sale ²⁵
	1.000	1.66	01/06/21	1.56	...	0.10	2 of 12 - Sale ²⁵
	5.000	8.30	01/06/21	8.10	...	0.20	3 of 12 - Sale ²⁵
	5.000	8.30	01/06/21	7.63	...	0.67	4 of 12 - Sale ²⁵
	5.000	8.30	01/06/21	7.63	...	0.67	5 of 12 - Sale ²⁵
	1.000	1.66	01/08/21	1.51	...	0.15	6 of 12 - Sale ²⁵
	2.000	3.32	01/08/21	2.86	...	0.46	7 of 12 - Sale ²⁵
	3.000	4.98	01/08/21	4.52	...	0.46	8 of 12 - Sale ²⁵
	5.000	8.30	01/08/21	7.73	...	0.57	9 of 12 - Sale ²⁵
	15.000	24.90	01/08/21	21.68	...	3.22	10 of 12 - Sale ²⁵
	1.000	1.66	01/12/21	1.60	...	0.06	11 of 12 - Sale ²⁵
	1.000	1.66	01/12/21	1.59	...	0.07	12 of 12 - Sale ²⁵
01/14/21	45.000	74.70	Various	68.00	...	6.70	Total of 12 transactions
6 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	1.000	1.66	01/05/21	1.70	0.04 W	0.00	1 of 6 - Sale ²⁵
	2.000	3.32	01/05/21	3.41	0.09 W	0.00	2 of 6 - Sale ²⁵
	3.000	4.98	01/05/21	6.00	1.02 W	0.00	3 of 6 - Sale ²⁵
	3.000	4.98	01/05/21	6.30	1.32 W	0.00	4 of 6 - Sale ²⁵
	5.000	8.30	01/05/21	10.03	1.73 W	0.00	5 of 6 - Sale ²⁵
	10.000	16.60	01/05/21	17.35	0.75 W	0.00	6 of 6 - Sale ²⁵
01/14/21	24.000	39.84	Various	44.79	4.95 W	0.00	Total of 6 transactions
5 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	1.000	1.25	02/02/21	1.06	...	0.19	1 of 5 - Sale ²⁵
	2.000	2.50	02/02/21	2.14	...	0.36	2 of 5 - Sale ²⁵
	10.000	12.50	02/02/21	11.00	...	1.50	3 of 5 - Sale ²⁵
	76.000	94.99	02/02/21	77.90	...	17.09	4 of 5 - Sale ²⁵
	100.000	124.99	02/02/21	101.89	...	23.10	5 of 5 - Sale ²⁵
02/08/21	189.000	236.23	Various	193.99	...	42.24	Total of 5 transactions
3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	3.000	3.75	02/02/21	4.10	0.35 W	0.00	1 of 3 - Sale ²⁵
	3.000	3.75	02/02/21	4.40	0.65 W	0.00	2 of 3 - Sale ²⁵

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MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol: (cont'd)							
02/08/21	5.000	6.25	02/02/21	6.85	0.60 W	0.00	3 of 3 - Sale ²⁵
	11.000	13.75	Various	15.35	1.60 W	0.00	Total of 3 transactions
	4 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	1.000	1.16	03/04/21	1.18	0.02 W	0.00	1 of 4 - Sale ²⁵
	2.000	2.32	03/04/21	2.39	0.07 W	0.00	2 of 4 - Sale ²⁵
	3.000	3.48	03/04/21	3.89	0.41 W	0.00	3 of 4 - Sale ²⁵
	5.000	5.81	03/04/21	6.00	0.19 W	0.00	4 of 4 - Sale ²⁵
03/05/21	11.000	12.77	Various	13.46	0.69 W	0.00	Total of 4 transactions
	38 transactions for 06/11/21. Total proceeds and cost reported to the IRS.						
	10.000	8.65	03/08/21	10.99	...	-2.34	1 of 38 - Sale ²⁵
	2.000	1.73	03/08/21	2.27	...	-0.54	2 of 38 - Sale ²⁵
	1.000	0.86	03/08/21	1.12	...	-0.26	3 of 38 - Sale ²⁵
	3.000	2.59	03/09/21	3.51	...	-0.92	4 of 38 - Sale ²⁵
	1.000	0.86	03/16/21	1.19	...	-0.33	5 of 38 - Sale ²⁵
	2.000	1.73	03/19/21	2.36	...	-0.63	6 of 38 - Sale ²⁵
	2.000	1.73	03/22/21	2.24	...	-0.51	7 of 38 - Sale ²⁵
	5.000	4.32	03/24/21	5.38	...	-1.06	8 of 38 - Sale ²⁵
	6.000	5.19	03/25/21	6.21	...	-1.02	9 of 38 - Sale ²⁵
	5.000	4.32	03/29/21	5.23	...	-0.91	10 of 38 - Sale ²⁵
	2.000	1.73	03/29/21	2.07	...	-0.34	11 of 38 - Sale ²⁵
	1.000	0.86	03/29/21	1.04	...	-0.18	12 of 38 - Sale ²⁵
	5.000	4.32	04/09/21	5.15	...	-0.83	13 of 38 - Sale ²⁵
	3.000	2.59	04/09/21	3.09	...	-0.50	14 of 38 - Sale ²⁵
	4.000	3.46	04/13/21	3.92	...	-0.46	15 of 38 - Sale ²⁵
	3.000	2.59	04/13/21	2.94	...	-0.35	16 of 38 - Sale ²⁵
	10.000	8.65	04/15/21	9.50	...	-0.85	17 of 38 - Sale ²⁵
	1.000	0.86	04/15/21	0.94	...	-0.08	18 of 38 - Sale ²⁵
	2.000	1.73	04/16/21	1.70	...	0.03	19 of 38 - Sale ²⁵
	1.000	0.86	04/19/21	0.90	...	-0.04	20 of 38 - Sale ²⁵
	3.000	2.60	04/19/21	2.63	...	-0.03	21 of 38 - Sale ²⁵
	2.000	1.73	04/19/21	1.75	...	-0.02	22 of 38 - Sale ²⁵
	10.000	8.65	04/20/21	8.62	...	0.03	23 of 38 - Sale ²⁵
	2.000	1.73	04/21/21	1.86	...	-0.13	24 of 38 - Sale ²⁵
	1.000	0.87	04/23/21	0.89	...	-0.02	25 of 38 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol: (cont'd)							
	5.000	4.32	04/26/21	4.47	...	-0.15	26 of 38 - Sale ²⁵
	1.000	0.87	04/27/21	0.93	...	-0.06	27 of 38 - Sale ²⁵
	1.000	0.86	04/28/21	0.96	...	-0.10	28 of 38 - Sale ²⁵
	4.000	3.46	04/30/21	3.59	...	-0.13	29 of 38 - Sale ²⁵
	11.000	9.52	05/03/21	9.53	...	-0.01	30 of 38 - Sale ²⁵
	10.000	8.65	05/04/21	8.59	...	0.06	31 of 38 - Sale ²⁵
	15.000	12.97	05/13/21	11.25	...	1.72	32 of 38 - Sale ²⁵
	1.000	0.87	05/14/21	0.75	...	0.12	33 of 38 - Sale ²⁵
	50.000	43.25	05/26/21	38.24	...	5.01	34 of 38 - Sale ²⁵
	50.000	43.25	05/28/21	38.45	...	4.80	35 of 38 - Sale ²⁵
	50.000	43.25	05/28/21	38.36	...	4.89	36 of 38 - Sale ²⁵
	2.000	1.73	06/07/21	1.68	...	0.05	37 of 38 - Sale ²⁵
	25.000	21.62	06/10/21	21.25	...	0.37	38 of 38 - Sale ²⁵
06/11/21	312.000	269.83	Various	265.55	...	4.28	Total of 38 transactions
	3 transactions for 06/11/21. Total proceeds and cost reported to the IRS.						
	1.000	0.86	03/05/21	1.28	0.42 W	0.00	1 of 3 - Sale ²⁵
	5.000	4.32	03/08/21	5.69	0.55 W	-0.82	2 of 3 - Sale ²⁵
	2.000	1.73	03/08/21	2.47	0.74 W	0.00	3 of 3 - Sale ²⁵
06/11/21	8.000	6.91	Various	9.44	1.71 W	-0.82	Total of 3 transactions
	9 transactions for 08/05/21. Total proceeds and cost reported to the IRS.						
	1.000	0.77	06/11/21	1.29	...	-0.52	1 of 9 - Sale ²⁵
	1.000	0.77	06/11/21	1.23	...	-0.46	2 of 9 - Sale ²⁵
	1.000	0.77	06/29/21	1.16	...	-0.39	3 of 9 - Sale ²⁵
	2.000	1.54	07/09/21	2.06	...	-0.52	4 of 9 - Sale ²⁵
	2.000	1.54	07/14/21	1.49	...	0.05	5 of 9 - Sale ²⁵
	1.000	0.77	07/16/21	0.74	...	0.03	6 of 9 - Sale ²⁵
	2.000	1.54	07/16/21	1.47	...	0.07	7 of 9 - Sale ²⁵
	1.000	0.77	08/03/21	0.74	...	0.03	8 of 9 - Sale ²⁵
	1.000	0.77	08/04/21	0.73	...	0.04	9 of 9 - Sale ²⁵
08/05/21	12.000	9.24	Various	10.91	...	-1.67	Total of 9 transactions
	Security total:	663.27		621.49	8.95 W	50.73	

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 643746050
2021 1099-B* OMB No. 1545-0715	(continued)	02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MEDICINOVA INC COMMON STOCK / CUSIP: 58468P206 / Symbol:							
<i>29 transactions for 06/21/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	24.50	03/10/21	42.50	...	-18.00	1 of 29 - Sale ²⁵
	1.000	4.90	03/10/21	8.04	...	-3.14	2 of 29 - Sale ²⁵
	4.000	19.60	03/11/21	28.00	...	-8.40	3 of 29 - Sale ²⁵
	2.000	9.80	03/11/21	13.95	...	-4.15	4 of 29 - Sale ²⁵
	1.000	4.90	03/11/21	7.04	...	-2.14	5 of 29 - Sale ²⁵
	1.000	4.90	03/17/21	6.00	...	-1.10	6 of 29 - Sale ²⁵
	1.000	4.90	03/17/21	5.89	...	-0.99	7 of 29 - Sale ²⁵
	1.000	4.90	03/22/21	5.75	...	-0.85	8 of 29 - Sale ²⁵
	2.000	9.80	03/23/21	10.76	...	-0.96	9 of 29 - Sale ²⁵
	1.000	4.90	03/25/21	5.12	...	-0.22	10 of 29 - Sale ²⁵
	1.000	4.90	04/09/21	4.96	...	-0.06	11 of 29 - Sale ²⁵
	1.000	4.90	04/09/21	4.83	...	0.07	12 of 29 - Sale ²⁵
	1.000	4.90	04/19/21	4.52	...	0.38	13 of 29 - Sale ²⁵
	1.000	4.90	04/22/21	4.55	...	0.35	14 of 29 - Sale ²⁵
	1.000	4.90	04/23/21	4.36	...	0.54	15 of 29 - Sale ²⁵
	2.000	9.80	04/28/21	9.66	...	0.14	16 of 29 - Sale ²⁵
	1.000	4.90	04/29/21	4.71	...	0.19	17 of 29 - Sale ²⁵
	1.000	4.90	05/03/21	4.35	...	0.55	18 of 29 - Sale ²⁵
	2.000	9.80	05/05/21	8.51	...	1.29	19 of 29 - Sale ²⁵
	1.000	4.90	05/26/21	4.13	...	0.77	20 of 29 - Sale ²⁵
	4.000	19.60	06/03/21	16.32	...	3.28	21 of 29 - Sale ²⁵
	15.000	73.50	06/07/21	62.18	...	11.32	22 of 29 - Sale ²⁵
	1.000	4.90	06/08/21	4.19	...	0.71	23 of 29 - Sale ²⁵
	25.000	122.49	06/10/21	105.63	...	16.86	24 of 29 - Sale ²⁵
	24.000	117.59	06/11/21	102.72	...	14.87	25 of 29 - Sale ²⁵
	3.000	14.70	06/15/21	12.57	...	2.13	26 of 29 - Sale ²⁵
	1.000	4.90	06/16/21	4.03	...	0.87	27 of 29 - Sale ²⁵
	5.000	24.50	06/16/21	20.40	...	4.10	28 of 29 - Sale ²⁵
	2.000	9.80	06/17/21	7.66	...	2.14	29 of 29 - Sale ²⁵
06/21/21	111.000	543.88	Various	523.33	...	20.55	Total of 29 transactions
<i>7 transactions for 12/29/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	13.20	12/23/21	14.25	1.05 W	0.00	1 of 7 - Sale ²⁵
	95.000	249.93	12/23/21	270.75	20.82 W	0.00	2 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MEDICINOVA INC COMMON STOCK / CUSIP: 58468P206 / Symbol: (cont'd)							
	2.000	5.26	12/27/21	5.50	0.24 W	0.00	3 of 7 - Sale ²⁵
	5.000	13.15	12/27/21	14.75	1.60 W	0.00	4 of 7 - Sale ²⁵
	95.000	249.93	12/27/21	260.39	10.46 W	0.00	5 of 7 - Sale ²⁵
	1.000	2.63	12/28/21	2.72	0.09 W	0.00	6 of 7 - Sale ²⁵
	4.000	10.53	12/28/21	10.96	0.43 W	0.00	7 of 7 - Sale ²⁵
12/29/21	207.000	544.63	Various	579.32	34.69 W	0.00	Total of 7 transactions
12/30/21	90.000	238.49	12/29/21	251.89	...	-13.40	Sale ²⁵
	2 transactions for 12/30/21. Total proceeds and cost reported to the IRS.						
	95.000	251.74	12/29/21	276.25	8.16 W	-16.35	1 of 2 - Sale ²⁵
	5.000	13.25	12/29/21	15.04	1.79 W	0.00	2 of 2 - Sale ²⁵
12/30/21	100.000	264.99	Various	291.29	9.95 W	-16.35	Total of 2 transactions
	10 transactions for 12/31/21. Total proceeds and cost reported to the IRS.						
	1.542	4.10	12/29/21	4.32	...	-0.22	1 of 10 - Sale ²⁵
	28.247	75.14	12/30/21	82.28	...	-7.14	2 of 10 - Sale ²⁵
	5.000	13.30	12/30/21	15.07	...	-1.77	3 of 10 - Sale ²⁵
	3.377	8.98	12/30/21	9.84	...	-0.86	4 of 10 - Sale ²⁵
	3.377	8.98	12/30/21	9.34	...	-0.36	5 of 10 - Sale ²⁵
	3.377	8.98	12/30/21	9.33	...	-0.35	6 of 10 - Sale ²⁵
	2.000	5.32	12/30/21	5.55	...	-0.23	7 of 10 - Sale ²⁵
	1.000	2.66	12/30/21	2.74	...	-0.08	8 of 10 - Sale ²⁵
	0.623	1.68	12/30/21	1.72	...	-0.04	9 of 10 - Sale ²⁵
	0.081	0.22	12/30/21	0.22	...	0.00	10 of 10 - Sale ²⁵
12/31/21	48.623	129.36	Various	140.41	...	-11.05	Total of 10 transactions
	Security total:	1,721.35		1,786.24	44.64 W	-20.25	
MERSANA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 59045L106 / Symbol:							
09/14/21	5.000	47.14	09/13/21	43.20	...	3.94	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
	3 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	1.000	132.53	12/21/20	146.67	...	-14.14	1 of 3 - Sale ²⁵
	1.000	132.52	01/19/21	124.99	...	7.53	2 of 3 - Sale ²⁵
	1.000	132.53	01/20/21	124.00	...	8.53	3 of 3 - Sale ²⁵
01/21/21	3.000	397.58	Various	395.66	...	1.92	Total of 3 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
11/30/21	2.000	691.30	11/29/21	720.00	28.70 W	0.00	Sale ²⁵
12/01/21	2.000	655.55	11/30/21	708.70	53.15 W	0.00	Sale ²⁵
12/02/21	2.000	598.11	12/01/21	703.15	...	-105.04	Sale ²⁵
	Security total:	2,342.54		2,527.51	81.85 W	-103.12	
MOLECULAR TEMPLATES, INC. COMM ON STOCK / CUSIP: 608550109 / Symbol:							
4 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	0.243	1.01	12/30/21	1.06	0.05 W	0.00	1 of 4 - Sale ²⁵
	0.650	2.69	12/30/21	2.82	0.13 W	0.00	2 of 4 - Sale ²⁵
	14.984	61.88	12/30/21	65.18	3.30 W	0.00	3 of 4 - Sale ²⁵
	23.123	95.49	12/30/21	99.17	3.68 W	0.00	4 of 4 - Sale ²⁵
12/31/21	39.000	161.07	Various	168.23	7.16 W	0.00	Total of 4 transactions
MOLECULIN BIOTECH, INC. COMMON STOCK / CUSIP: 60855D200 / Symbol:							
02/02/21	5.000	38.10	02/02/21	34.58	...	3.52	Sale ²⁵
12 transactions for 08/25/21. Total proceeds and cost reported to the IRS.							
	2.000	5.86	06/11/21	7.76	...	-1.90	1 of 12 - Sale ²⁵
	3.000	8.79	08/02/21	9.44	...	-0.65	2 of 12 - Sale ²⁵
	2.000	5.86	08/05/21	6.14	...	-0.28	3 of 12 - Sale ²⁵
	1.000	2.93	08/05/21	3.07	...	-0.14	4 of 12 - Sale ²⁵
	2.000	5.86	08/11/21	6.14	...	-0.28	5 of 12 - Sale ²⁵
	2.000	5.86	08/13/21	5.94	...	-0.08	6 of 12 - Sale ²⁵
	2.000	5.86	08/13/21	5.89	...	-0.03	7 of 12 - Sale ²⁵
	25.000	73.25	08/16/21	73.91	...	-0.66	8 of 12 - Sale ²⁵
	25.000	73.25	08/16/21	73.73	...	-0.48	9 of 12 - Sale ²⁵
	2.000	5.86	08/17/21	5.56	...	0.30	10 of 12 - Sale ²⁵
	2.000	5.86	08/17/21	5.49	...	0.37	11 of 12 - Sale ²⁵
	50.000	146.49	08/23/21	141.97	...	4.52	12 of 12 - Sale ²⁵
08/25/21	118.000	345.73	Various	345.04	...	0.69	Total of 12 transactions
	Security total:	383.83		379.62	...	4.21	

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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MUSCLE MAKER, INC COMMON STOCK / CUSIP: 627333107 / Symbol:							
2 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	50.000	56.52	11/30/21	54.00	...	2.52	1 of 2 - Sale ²⁵
	5.000	5.65	12/03/21	5.18	...	0.47	2 of 2 - Sale ²⁵
12/06/21	55.000	62.17	Various	59.18	...	2.99	Total of 2 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
12/08/21	50.000	1,660.49	12/07/21	1,640.00	...	20.49	Sale ²⁵
6 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	10.000	292.93	12/17/21	287.48	...	5.45	1 of 6 - Sale ²⁵
	0.543	16.14	12/20/21	15.19	...	0.95	2 of 6 - Sale ²⁵
	10.000	292.93	12/20/21	291.58	...	1.35	3 of 6 - Sale ²⁵
	1.457	42.68	12/20/21	40.65	...	2.03	4 of 6 - Sale ²⁵
	5.000	146.46	12/20/21	143.84	...	2.62	5 of 6 - Sale ²⁵
	3.543	103.79	12/20/21	100.00	...	3.79	6 of 6 - Sale ²⁵
12/21/21	30.543	894.93	Various	878.74	...	16.19	Total of 6 transactions
2 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	5.000	146.46	12/15/21	146.83	0.37 W	0.00	1 of 2 - Sale ²⁵
	5.000	146.46	12/16/21	150.00	1.80 W	-1.74	2 of 2 - Sale ²⁵
12/21/21	10.000	292.92	Various	296.83	2.17 W	-1.74	Total of 2 transactions
	Security total:	2,848.34		2,815.57	2.17 W	34.94	
NGM BIOPHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 62921N105 / Symbol:							
06/17/21	1.000	19.53	06/11/21	18.48	...	1.05	Sale ²⁵
NRG ENERGY, INC. / CUSIP: 629377508 / Symbol:							
2 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	1.000	42.00	03/01/21	41.80	...	0.20	1 of 2 - Sale ²⁵
	1.000	42.00	03/01/21	40.50	...	1.50	2 of 2 - Sale ²⁵
03/08/21	2.000	84.00	Various	82.30	...	1.70	Total of 2 transactions
NRX PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 629444100 / Symbol:							
7 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	1.000	11.00	10/14/21	13.27	...	-2.27	1 of 7 - Sale ²⁵
	2.000	22.00	10/15/21	21.40	...	0.60	2 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NRX PHARMACEUTICALS, INC. COMM ON STOCK / CUSIP: 629444100 / Symbol: (cont'd)							
	3.000	33.00	10/18/21	32.25	...	0.75	3 of 7 - Sale ²⁵
	5.000	55.00	10/18/21	53.80	...	1.20	4 of 7 - Sale ²⁵
	5.000	55.00	10/18/21	53.49	...	1.51	5 of 7 - Sale ²⁵
	3.000	33.00	10/19/21	31.65	...	1.35	6 of 7 - Sale ²⁵
	3.000	33.00	10/20/21	32.31	...	0.69	7 of 7 - Sale ²⁵
10/21/21	22.000	242.00	Various	238.17	...	3.83	Total of 7 transactions
NEOLEUKIN THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 64049K104 / Symbol:							
5 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	2.000	29.70	12/24/20	31.32	...	-1.62	1 of 5 - Sale ²⁵
	1.000	14.85	12/31/20	14.12	...	0.73	2 of 5 - Sale ²⁵
	1.000	14.85	12/31/20	14.09	...	0.76	3 of 5 - Sale ²⁵
	1.000	14.85	01/04/21	13.98	...	0.87	4 of 5 - Sale ²⁵
	1.000	14.85	01/04/21	13.72	...	1.13	5 of 5 - Sale ²⁵
01/05/21	6.000	89.10	Various	87.23	...	1.87	Total of 5 transactions
04/01/21	1.000	12.17	03/26/21	11.68	...	0.49	Sale ²⁵
2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	1.000	10.45	06/10/21	10.58	...	-0.13	1 of 2 - Sale ²⁵
	1.000	10.45	06/21/21	10.22	...	0.23	2 of 2 - Sale ²⁵
06/25/21	2.000	20.90	Various	20.80	...	0.10	Total of 2 transactions
12/09/21	25.000	136.25	12/07/21	133.75	...	2.50	Sale ²⁵
2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	2.000	10.64	12/09/21	10.60	...	0.04	1 of 2 - Sale ²⁵
	23.000	122.36	12/09/21	121.90	...	0.46	2 of 2 - Sale ²⁵
12/10/21	25.000	133.00	Various	132.50	...	0.50	Total of 2 transactions
	Security total:	391.42		385.96	...	5.46	
NEOPHOTONICS CORP / CUSIP: 64051T100 / Symbol:							
06/25/21	1.000	10.90	06/11/21	10.85	...	0.05	Sale ²⁵
NEW RESIDENTIAL INVESTMENT CORP / CUSIP: 64828T201 / Symbol:							
02/17/21	5.000	49.85	02/11/21	47.59	...	2.26	Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIU TECHNOLOGIES AMERICAN DEPO SITARY SHARES / CUSIP: 65481N100 / Symbol:							
11/24/21	2.000	40.52	11/23/21	39.90	...	0.62	Sale ²⁵
NOVAN, INC. COMMON STOCK / CUSIP: 66988N205 / Symbol:							
2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	1.000	20.25	06/11/21	15.86	...	4.39	1 of 2 - Sale ²⁵
	5.000	101.25	06/11/21	85.99	...	15.26	2 of 2 - Sale ²⁵
06/14/21	6.000	121.50	Various	101.85	...	19.65	Total of 2 transactions
NUZEE, INC. COMMON STOCK / CUSIP: 67073S208 / Symbol:							
11/12/21	1.000	5.01	11/11/21	6.55	...	-1.54	Sale ²⁵
4 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	2.000	9.88	11/11/21	13.26	3.38 W	0.00	1 of 4 - Sale ²⁵
	3.000	14.94	11/11/21	19.89	4.95 W	0.00	2 of 4 - Sale ²⁵
	4.000	19.76	11/11/21	26.40	6.64 W	0.00	3 of 4 - Sale ²⁵
	8.000	42.08	11/11/21	52.80	10.72 W	0.00	4 of 4 - Sale ²⁵
11/12/21	17.000	86.66	Various	112.35	25.69 W	0.00	Total of 4 transactions
8 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	1.000	5.60	11/11/21	6.55	...	-0.95	1 of 8 - Sale ²⁵
	2.000	11.20	11/16/21	13.02	...	-1.82	2 of 8 - Sale ²⁵
	2.000	11.20	11/16/21	12.93	...	-1.73	3 of 8 - Sale ²⁵
	2.000	11.20	11/16/21	12.87	...	-1.67	4 of 8 - Sale ²⁵
	1.000	5.60	11/16/21	6.42	...	-0.82	5 of 8 - Sale ²⁵
	1.000	5.60	11/16/21	6.02	...	-0.42	6 of 8 - Sale ²⁵
	1.000	5.60	11/17/21	6.40	...	-0.80	7 of 8 - Sale ²⁵
	1.000	5.60	11/19/21	5.72	...	-0.12	8 of 8 - Sale ²⁵
11/30/21	11.000	61.60	Various	69.93	...	-8.33	Total of 8 transactions
	Security total:	153.27		188.83	25.69 W	-9.87	
NUVATION BIO INC. / CUSIP: 67080N101 / Symbol:							
9 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	1.000	9.20	06/11/21	12.20	...	-3.00	1 of 9 - Sale ²⁵
	1.000	9.20	06/15/21	11.10	...	-1.90	2 of 9 - Sale ²⁵
	3.000	27.60	06/21/21	29.85	...	-2.25	3 of 9 - Sale ²⁵
	2.000	18.40	06/21/21	19.47	...	-1.07	4 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NUVATION BIO INC. / CUSIP: 67080N101 / Symbol: (cont'd)							
	1.000	9.20	06/24/21	9.71	...	-0.51	5 of 9 - Sale ²⁵
	5.000	46.00	06/29/21	46.40	...	-0.40	6 of 9 - Sale ²⁵
	10.000	92.00	08/16/21	89.80	...	2.20	7 of 9 - Sale ²⁵
	1.000	9.20	08/17/21	8.80	...	0.40	8 of 9 - Sale ²⁵
	12.000	110.40	08/18/21	98.64	...	11.76	9 of 9 - Sale ²⁵
08/23/21	36.000	331.20	Various	325.97	...	5.23	Total of 9 transactions
	3 transactions for 11/26/21. Total proceeds and cost reported to the IRS.						
	2.000	15.82	11/24/21	15.86	...	-0.04	1 of 3 - Sale ²⁵
	3.000	23.73	11/24/21	22.44	...	1.29	2 of 3 - Sale ²⁵
	5.000	39.55	11/24/21	37.40	...	2.15	3 of 3 - Sale ²⁵
11/26/21	10.000	79.10	Various	75.70	...	3.40	Total of 3 transactions
	Security total:	410.30		401.67	...	8.63	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
	6 transactions for 02/02/21. Total proceeds and cost reported to the IRS.						
	1.000	2.09	01/26/21	1.90	...	0.19	1 of 6 - Sale ²⁵
	18.000	37.62	01/26/21	35.73	...	1.89	2 of 6 - Sale ²⁵
	10.000	20.90	01/27/21	16.15	...	4.75	3 of 6 - Sale ²⁵
	20.000	41.79	01/27/21	33.40	...	8.39	4 of 6 - Sale ²⁵
	1.000	2.09	01/28/21	1.72	...	0.37	5 of 6 - Sale ²⁵
	3.000	6.27	01/28/21	5.22	...	1.05	6 of 6 - Sale ²⁵
02/02/21	53.000	110.76	Various	94.12	...	16.64	Total of 6 transactions
02/02/21	1.000	2.09	01/26/21	2.29	0.20 W	0.00	Sale ²⁵
	2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	1.000	3.34	02/02/21	2.83	...	0.51	1 of 2 - Sale ²⁵
	24.000	80.19	02/02/21	63.11	...	17.08	2 of 2 - Sale ²⁵
02/04/21	25.000	83.53	Various	65.94	...	17.59	Total of 2 transactions
	2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	2.000	18.68	02/05/21	15.32	...	3.36	1 of 2 - Sale ²⁵
	15.000	140.10	02/05/21	73.62	...	66.48	2 of 2 - Sale ²⁵
02/08/21	17.000	158.78	Various	88.94	...	69.84	Total of 2 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
<i>5 transactions for 04/23/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	39.00	03/11/21	31.68	...	7.32	1 of 5 - Sale ²⁵
	1.000	13.00	03/17/21	9.14	...	3.86	2 of 5 - Sale ²⁵
	1.000	13.00	03/24/21	7.70	...	5.30	3 of 5 - Sale ²⁵
	1.000	13.00	04/09/21	6.81	...	6.19	4 of 5 - Sale ²⁵
	1.000	13.00	04/15/21	6.86	...	6.14	5 of 5 - Sale ²⁵
04/23/21	7.000	91.00	Various	62.19	...	28.81	Total of 5 transactions
06/15/21	19.000	133.95	06/14/21	123.59	...	10.36	Sale ²⁵
<i>2 transactions for 06/17/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	6.56	06/14/21	6.51	...	0.05	1 of 2 - Sale ²⁵
	1.000	6.57	06/15/21	6.45	...	0.12	2 of 2 - Sale ²⁵
06/17/21	2.000	13.13	Various	12.96	...	0.17	Total of 2 transactions
<i>2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	16.86	11/02/21	14.58	...	2.28	1 of 2 - Sale ²⁵
	7.000	118.02	11/02/21	102.06	...	15.96	2 of 2 - Sale ²⁵
11/03/21	8.000	134.88	Various	116.64	...	18.24	Total of 2 transactions
11/10/21	2.000	17.13	11/05/21	20.55	3.42 W	0.00	Sale ²⁵
<i>3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>							
	8.000	65.64	11/05/21	82.20	16.56 W	0.00	1 of 3 - Sale ²⁵
	2.000	16.41	11/08/21	22.60	6.19 W	0.00	2 of 3 - Sale ²⁵
	5.000	41.03	11/09/21	46.30	5.27 W	0.00	3 of 3 - Sale ²⁵
11/16/21	15.000	123.08	Various	151.10	28.02 W	0.00	Total of 3 transactions
<i>17 transactions for 11/30/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	18.78	11/09/21	33.92	15.14 W	0.00	1 of 17 - Sale ²⁵
	5.000	31.30	11/09/21	56.65	25.35 W	0.00	2 of 17 - Sale ²⁵
	1.000	6.26	11/10/21	9.59	3.33 W	0.00	3 of 17 - Sale ²⁵
	2.000	12.52	11/10/21	23.26	10.74 W	0.00	4 of 17 - Sale ²⁵
	1.000	6.26	11/12/21	9.59	3.33 W	0.00	5 of 17 - Sale ²⁵
	3.000	18.78	11/12/21	28.95	10.17 W	0.00	6 of 17 - Sale ²⁵
	5.000	31.30	11/15/21	43.00	11.70 W	0.00	7 of 17 - Sale ²⁵
	5.000	31.30	11/16/21	40.95	9.65 W	0.00	8 of 17 - Sale ²⁵
	1.000	6.26	11/17/21	7.75	1.49 W	0.00	9 of 17 - Sale ²⁵
	1.000	6.26	11/17/21	7.80	1.54 W	0.00	10 of 17 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	1.000	6.26	11/17/21	7.94	1.68 W	0.00	11 of 17 - Sale ²⁵
	1.000	6.26	11/24/21	7.12	0.86 W	0.00	12 of 17 - Sale ²⁵
	5.000	31.30	11/26/21	32.50	1.20 W	0.00	13 of 17 - Sale ²⁵
	1.000	6.26	11/29/21	6.45	0.19 W	0.00	14 of 17 - Sale ²⁵
	12.000	75.13	11/29/21	78.12	2.99 W	0.00	15 of 17 - Sale ²⁵
	15.000	93.92	11/29/21	97.62	3.70 W	0.00	16 of 17 - Sale ²⁵
	20.000	125.22	11/29/21	130.10	4.88 W	0.00	17 of 17 - Sale ²⁵
11/30/21	82.000	513.37	Various	621.31	107.94 W	0.00	Total of 17 transactions
	19 transactions for 12/01/21. Total proceeds and cost reported to the IRS.						
	3.000	18.46	11/29/21	34.74	16.28 W	0.00	1 of 19 - Sale ²⁵
	1.000	6.15	11/30/21	6.44	0.29 W	0.00	2 of 19 - Sale ²⁵
	1.000	6.15	11/30/21	7.11	0.96 W	0.00	3 of 19 - Sale ²⁵
	1.000	6.15	11/30/21	7.79	1.64 W	0.00	4 of 19 - Sale ²⁵
	1.000	6.15	11/30/21	9.58	3.43 W	0.00	5 of 19 - Sale ²⁵
	1.000	6.16	11/30/21	7.74	1.58 W	0.00	6 of 19 - Sale ²⁵
	1.000	6.16	11/30/21	7.93	1.77 W	0.00	7 of 19 - Sale ²⁵
	1.000	6.16	11/30/21	9.58	3.42 W	0.00	8 of 19 - Sale ²⁵
	2.000	12.31	11/30/21	22.64	10.33 W	0.00	9 of 19 - Sale ²⁵
	2.000	12.31	11/30/21	23.24	10.93 W	0.00	10 of 19 - Sale ²⁵
	3.000	18.46	11/30/21	18.75	0.29 W	0.00	11 of 19 - Sale ²⁵
	3.000	18.46	11/30/21	28.92	10.46 W	0.00	12 of 19 - Sale ²⁵
	3.000	18.46	11/30/21	33.89	15.43 W	0.00	13 of 19 - Sale ²⁵
	5.000	30.77	11/30/21	40.90	10.13 W	0.00	14 of 19 - Sale ²⁵
	5.000	30.78	11/30/21	32.45	1.67 W	0.00	15 of 19 - Sale ²⁵
	5.000	30.78	11/30/21	42.95	12.17 W	0.00	16 of 19 - Sale ²⁵
	12.000	73.86	11/30/21	77.99	4.13 W	0.00	17 of 19 - Sale ²⁵
	15.000	92.33	11/30/21	97.45	5.12 W	0.00	18 of 19 - Sale ²⁵
	20.000	123.10	11/30/21	129.88	6.78 W	0.00	19 of 19 - Sale ²⁵
12/01/21	85.000	523.16	Various	639.97	116.81 W	0.00	Total of 19 transactions
	20 transactions for 12/02/21. Total proceeds and cost reported to the IRS.						
	1.000	5.97	12/01/21	6.42	0.45 W	0.00	1 of 20 - Sale ²⁵
	1.000	5.97	12/01/21	7.09	1.12 W	0.00	2 of 20 - Sale ²⁵
	1.000	5.97	12/01/21	7.71	1.74 W	0.00	3 of 20 - Sale ²⁵
	1.000	5.97	12/01/21	7.77	1.80 W	0.00	4 of 20 - Sale ²⁵

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(continued)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	1.000	5.97	12/01/21	7.90	1.93 W	0.00	5 of 20 - Sale ²⁵
	1.000	5.97	12/01/21	9.55	3.58 W	0.00	6 of 20 - Sale ²⁵
	1.000	5.97	12/01/21	9.56	3.59 W	0.00	7 of 20 - Sale ²⁵
	2.000	11.94	12/01/21	22.59	10.65 W	0.00	8 of 20 - Sale ²⁵
	2.000	11.94	12/01/21	23.19	11.25 W	0.00	9 of 20 - Sale ²⁵
	3.000	17.91	12/01/21	18.68	0.77 W	0.00	10 of 20 - Sale ²⁵
	3.000	17.91	12/01/21	28.85	10.94 W	0.00	11 of 20 - Sale ²⁵
	3.000	17.91	12/01/21	33.82	15.91 W	0.00	12 of 20 - Sale ²⁵
	3.000	17.91	12/01/21	34.67	16.76 W	0.00	13 of 20 - Sale ²⁵
	5.000	29.85	12/01/21	30.65	0.80 W	0.00	14 of 20 - Sale ²⁵
	5.000	29.85	12/01/21	32.32	2.47 W	0.00	15 of 20 - Sale ²⁵
	5.000	29.85	12/01/21	40.78	10.93 W	0.00	16 of 20 - Sale ²⁵
	5.000	29.85	12/01/21	42.82	12.97 W	0.00	17 of 20 - Sale ²⁵
	12.000	71.64	12/01/21	77.69	6.05 W	0.00	18 of 20 - Sale ²⁵
	15.000	89.54	12/01/21	97.07	7.53 W	0.00	19 of 20 - Sale ²⁵
	20.000	119.39	12/01/21	129.38	9.99 W	0.00	20 of 20 - Sale ²⁵
12/02/21	90.000	537.28	Various	668.51	131.23 W	0.00	Total of 20 transactions
12/03/21	5.000	30.53	12/02/21	30.30	...	0.23	Sale ²⁵
19 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	1.000	6.10	12/02/21	6.35	0.25 W	0.00	1 of 19 - Sale ²⁵
	1.000	6.10	12/02/21	7.02	0.92 W	0.00	2 of 19 - Sale ²⁵
	1.000	6.10	12/02/21	7.70	1.60 W	0.00	3 of 19 - Sale ²⁵
	1.000	6.10	12/02/21	9.48	3.38 W	0.00	4 of 19 - Sale ²⁵
	1.000	6.10	12/02/21	9.49	3.39 W	0.00	5 of 19 - Sale ²⁵
	1.000	6.11	12/02/21	7.64	1.53 W	0.00	6 of 19 - Sale ²⁵
	1.000	6.11	12/02/21	7.83	1.72 W	0.00	7 of 19 - Sale ²⁵
	2.000	12.21	12/02/21	22.45	10.24 W	0.00	8 of 19 - Sale ²⁵
	2.000	12.21	12/02/21	23.05	10.84 W	0.00	9 of 19 - Sale ²⁵
	3.000	18.31	12/02/21	18.47	0.16 W	0.00	10 of 19 - Sale ²⁵
	3.000	18.31	12/02/21	28.64	10.33 W	0.00	11 of 19 - Sale ²⁵
	3.000	18.31	12/02/21	33.61	15.30 W	0.00	12 of 19 - Sale ²⁵
	3.000	18.31	12/02/21	34.46	16.15 W	0.00	13 of 19 - Sale ²⁵
	5.000	30.52	12/02/21	40.43	9.91 W	0.00	14 of 19 - Sale ²⁵
	5.000	30.53	12/02/21	31.97	1.44 W	0.00	15 of 19 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	5.000	30.53	12/02/21	42.47	11.94 W	0.00	16 of 19 - Sale ²⁵
	12.000	73.26	12/02/21	76.85	3.59 W	0.00	17 of 19 - Sale ²⁵
	15.000	91.58	12/02/21	96.03	4.45 W	0.00	18 of 19 - Sale ²⁵
	20.000	122.10	12/02/21	127.99	5.89 W	0.00	19 of 19 - Sale ²⁵
12/03/21	85.000	518.90	Various	631.93	113.03 W	0.00	Total of 19 transactions
	22 transactions for 12/06/21. Total proceeds and cost reported to the IRS.						
	1.000	5.50	12/03/21	6.30	0.80 W	0.00	1 of 22 - Sale ²⁵
	1.000	5.50	12/03/21	6.97	1.47 W	0.00	2 of 22 - Sale ²⁵
	1.000	5.50	12/03/21	7.58	2.08 W	0.00	3 of 22 - Sale ²⁵
	1.000	5.50	12/03/21	7.65	2.15 W	0.00	4 of 22 - Sale ²⁵
	1.000	5.50	12/03/21	7.77	2.27 W	0.00	5 of 22 - Sale ²⁵
	1.000	5.50	12/03/21	9.43	3.93 W	0.00	6 of 22 - Sale ²⁵
	1.000	5.50	12/03/21	9.44	3.94 W	0.00	7 of 22 - Sale ²⁵
	2.000	11.00	12/03/21	22.34	11.34 W	0.00	8 of 22 - Sale ²⁵
	2.000	11.00	12/03/21	22.94	11.94 W	0.00	9 of 22 - Sale ²⁵
	3.000	16.50	12/03/21	18.31	1.81 W	0.00	10 of 22 - Sale ²⁵
	3.000	16.50	12/03/21	28.48	11.98 W	0.00	11 of 22 - Sale ²⁵
	3.000	16.50	12/03/21	33.45	16.95 W	0.00	12 of 22 - Sale ²⁵
	3.000	16.50	12/03/21	34.30	17.80 W	0.00	13 of 22 - Sale ²⁵
	5.000	27.50	12/03/21	31.69	4.19 W	0.00	14 of 22 - Sale ²⁵
	5.000	27.50	12/03/21	40.16	12.66 W	0.00	15 of 22 - Sale ²⁵
	5.000	27.50	12/03/21	42.19	14.69 W	0.00	16 of 22 - Sale ²⁵
	6.000	33.00	12/03/21	36.30	3.30 W	0.00	17 of 22 - Sale ²⁵
	10.000	54.99	12/03/21	57.35	2.36 W	0.00	18 of 22 - Sale ²⁵
	10.000	55.00	12/03/21	58.25	3.25 W	0.00	19 of 22 - Sale ²⁵
	12.000	66.00	12/03/21	76.19	10.19 W	0.00	20 of 22 - Sale ²⁵
	15.000	82.50	12/03/21	95.20	12.70 W	0.00	21 of 22 - Sale ²⁵
	20.000	109.99	12/03/21	126.89	16.90 W	0.00	22 of 22 - Sale ²⁵
12/06/21	111.000	610.48	Various	779.18	168.70 W	0.00	Total of 22 transactions
	2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.						
	4.000	22.65	12/06/21	21.59	...	1.06	1 of 2 - Sale ²⁵
	10.000	56.61	12/06/21	54.50	...	2.11	2 of 2 - Sale ²⁵
12/07/21	14.000	79.26	Various	76.09	...	3.17	Total of 2 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
23 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	5.66	12/03/21	11.68	6.02 W	0.00	1 of 23 - Sale ²⁵
	1.000	5.66	12/06/21	6.25	0.59 W	0.00	2 of 23 - Sale ²⁵
	1.000	5.66	12/06/21	6.92	1.26 W	0.00	3 of 23 - Sale ²⁵
	1.000	5.66	12/06/21	7.53	1.87 W	0.00	4 of 23 - Sale ²⁵
	1.000	5.66	12/06/21	7.60	1.94 W	0.00	5 of 23 - Sale ²⁵
	1.000	5.66	12/06/21	7.72	2.06 W	0.00	6 of 23 - Sale ²⁵
	1.000	5.66	12/06/21	9.38	3.72 W	0.00	7 of 23 - Sale ²⁵
	1.000	5.66	12/06/21	9.39	3.73 W	0.00	8 of 23 - Sale ²⁵
	2.000	11.32	12/06/21	22.24	10.92 W	0.00	9 of 23 - Sale ²⁵
	2.000	11.32	12/06/21	22.77	11.45 W	0.00	10 of 23 - Sale ²⁵
	2.000	11.32	12/06/21	22.84	11.52 W	0.00	11 of 23 - Sale ²⁵
	3.000	16.99	12/06/21	18.16	1.17 W	0.00	12 of 23 - Sale ²⁵
	3.000	16.99	12/06/21	28.33	11.34 W	0.00	13 of 23 - Sale ²⁵
	3.000	16.99	12/06/21	33.30	16.31 W	0.00	14 of 23 - Sale ²⁵
	5.000	28.31	12/06/21	31.44	3.13 W	0.00	15 of 23 - Sale ²⁵
	5.000	28.31	12/06/21	39.91	11.60 W	0.00	16 of 23 - Sale ²⁵
	5.000	28.31	12/06/21	41.94	13.63 W	0.00	17 of 23 - Sale ²⁵
	6.000	33.97	12/06/21	36.00	2.03 W	0.00	18 of 23 - Sale ²⁵
	10.000	56.62	12/06/21	56.86	0.24 W	0.00	19 of 23 - Sale ²⁵
	10.000	56.62	12/06/21	57.75	1.13 W	0.00	20 of 23 - Sale ²⁵
	12.000	67.94	12/06/21	75.59	7.65 W	0.00	21 of 23 - Sale ²⁵
	15.000	84.93	12/06/21	94.45	9.52 W	0.00	22 of 23 - Sale ²⁵
	20.000	113.23	12/06/21	125.90	12.67 W	0.00	23 of 23 - Sale ²⁵
12/07/21	111.000	628.45	Various	773.95	145.50 W	0.00	Total of 23 transactions
29 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	1.000	5.42	12/06/21	11.42	6.00 W	0.00	1 of 29 - Sale ²⁵
	1.000	5.42	12/10/21	5.56	0.14 W	0.00	2 of 29 - Sale ²⁵
	1.000	5.42	12/10/21	6.04	0.62 W	0.00	3 of 29 - Sale ²⁵
	1.000	5.42	12/10/21	7.32	1.90 W	0.00	4 of 29 - Sale ²⁵
	1.000	5.42	12/10/21	7.51	2.09 W	0.00	5 of 29 - Sale ²⁵
	1.000	5.42	12/10/21	9.17	3.75 W	0.00	6 of 29 - Sale ²⁵
	1.000	5.42	12/10/21	9.18	3.76 W	0.00	7 of 29 - Sale ²⁵
	1.000	5.42	12/10/21	11.45	6.03 W	0.00	8 of 29 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	1.000	5.43	12/10/21	6.71	1.28 W	0.00	9 of 29 - Sale ²⁵
	1.000	5.43	12/10/21	7.39	1.96 W	0.00	10 of 29 - Sale ²⁵
	2.000	10.84	12/10/21	21.87	11.03 W	0.00	11 of 29 - Sale ²⁵
	2.000	10.84	12/10/21	22.40	11.56 W	0.00	12 of 29 - Sale ²⁵
	2.000	10.84	12/10/21	22.47	11.63 W	0.00	13 of 29 - Sale ²⁵
	2.000	10.85	12/10/21	21.82	10.97 W	0.00	14 of 29 - Sale ²⁵
	2.000	10.85	12/10/21	22.35	11.50 W	0.00	15 of 29 - Sale ²⁵
	2.000	10.85	12/10/21	22.42	11.57 W	0.00	16 of 29 - Sale ²⁵
	3.000	16.26	12/10/21	32.74	16.48 W	0.00	17 of 29 - Sale ²⁵
	3.000	16.27	12/10/21	17.52	1.25 W	0.00	18 of 29 - Sale ²⁵
	3.000	16.27	12/10/21	27.69	11.42 W	0.00	19 of 29 - Sale ²⁵
	3.000	16.27	12/10/21	32.66	16.39 W	0.00	20 of 29 - Sale ²⁵
	5.000	27.12	12/10/21	30.38	3.26 W	0.00	21 of 29 - Sale ²⁵
	5.000	27.13	12/10/21	38.85	11.72 W	0.00	22 of 29 - Sale ²⁵
	5.000	27.13	12/10/21	40.88	13.75 W	0.00	23 of 29 - Sale ²⁵
	6.000	32.55	12/10/21	34.73	2.18 W	0.00	24 of 29 - Sale ²⁵
	9.000	48.83	12/10/21	50.07	1.24 W	0.00	25 of 29 - Sale ²⁵
	10.000	54.20	12/10/21	54.74	0.54 W	0.00	26 of 29 - Sale ²⁵
	12.000	65.10	12/10/21	73.05	7.95 W	0.00	27 of 29 - Sale ²⁵
	15.000	81.38	12/10/21	91.27	9.89 W	0.00	28 of 29 - Sale ²⁵
	20.000	108.50	12/10/21	121.67	13.17 W	0.00	29 of 29 - Sale ²⁵
12/13/21	121.000	656.30	Various	861.33	205.03 W	0.00	Total of 29 transactions
	25 transactions for 12/14/21. Total proceeds and cost reported to the IRS.						
	1.000	5.18	12/13/21	5.49	0.31 W	0.00	1 of 25 - Sale ²⁵
	1.000	5.18	12/13/21	5.97	0.79 W	0.00	2 of 25 - Sale ²⁵
	1.000	5.18	12/13/21	6.63	1.45 W	0.00	3 of 25 - Sale ²⁵
	1.000	5.18	12/13/21	7.25	2.07 W	0.00	4 of 25 - Sale ²⁵
	1.000	5.18	12/13/21	7.31	2.13 W	0.00	5 of 25 - Sale ²⁵
	1.000	5.18	12/13/21	7.44	2.26 W	0.00	6 of 25 - Sale ²⁵
	1.000	5.18	12/13/21	9.10	3.92 W	0.00	7 of 25 - Sale ²⁵
	1.000	5.18	12/13/21	9.11	3.93 W	0.00	8 of 25 - Sale ²⁵
	1.000	5.18	12/13/21	11.38	6.20 W	0.00	9 of 25 - Sale ²⁵
	2.000	10.36	12/13/21	21.73	11.37 W	0.00	10 of 25 - Sale ²⁵
	2.000	10.36	12/13/21	22.26	11.90 W	0.00	11 of 25 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	2.000	10.36	12/13/21	22.33	11.97 W	0.00	12 of 25 - Sale ²⁵
	3.000	15.54	12/13/21	17.30	1.76 W	0.00	13 of 25 - Sale ²⁵
	3.000	15.54	12/13/21	27.47	11.93 W	0.00	14 of 25 - Sale ²⁵
	3.000	15.54	12/13/21	32.53	16.99 W	0.00	15 of 25 - Sale ²⁵
	5.000	25.90	12/13/21	30.01	4.11 W	0.00	16 of 25 - Sale ²⁵
	5.000	25.90	12/13/21	38.47	12.57 W	0.00	17 of 25 - Sale ²⁵
	5.000	25.90	12/13/21	40.50	14.60 W	0.00	18 of 25 - Sale ²⁵
	6.000	31.08	12/13/21	34.28	3.20 W	0.00	19 of 25 - Sale ²⁵
	9.000	46.62	12/13/21	48.15	1.53 W	0.00	20 of 25 - Sale ²⁵
	9.000	46.62	12/13/21	49.39	2.77 W	0.00	21 of 25 - Sale ²⁵
	10.000	51.79	12/13/21	54.04	2.25 W	0.00	22 of 25 - Sale ²⁵
	12.000	62.16	12/13/21	72.15	9.99 W	0.00	23 of 25 - Sale ²⁵
	15.000	77.70	12/13/21	90.14	12.44 W	0.00	24 of 25 - Sale ²⁵
	20.000	103.59	12/13/21	120.17	16.58 W	0.00	25 of 25 - Sale ²⁵
12/14/21	120.000	621.58	Various	790.60	169.02 W	0.00	Total of 25 transactions
	23 transactions for 12/15/21. Total proceeds and cost reported to the IRS.						
	1.000	5.05	12/13/21	5.35	0.30 W	0.00	1 of 23 - Sale ²⁵
	1.000	5.05	12/14/21	5.74	0.69 W	0.00	2 of 23 - Sale ²⁵
	1.000	5.05	12/14/21	6.40	1.35 W	0.00	3 of 23 - Sale ²⁵
	1.000	5.05	12/14/21	7.02	1.97 W	0.00	4 of 23 - Sale ²⁵
	1.000	5.05	12/14/21	7.08	2.03 W	0.00	5 of 23 - Sale ²⁵
	1.000	5.05	12/14/21	7.21	2.16 W	0.00	6 of 23 - Sale ²⁵
	1.000	5.05	12/14/21	8.87	3.82 W	0.00	7 of 23 - Sale ²⁵
	1.000	5.05	12/14/21	8.88	3.83 W	0.00	8 of 23 - Sale ²⁵
	1.000	5.05	12/14/21	11.15	6.10 W	0.00	9 of 23 - Sale ²⁵
	2.000	10.10	12/14/21	21.27	11.17 W	0.00	10 of 23 - Sale ²⁵
	2.000	10.10	12/14/21	21.80	11.70 W	0.00	11 of 23 - Sale ²⁵
	2.000	10.10	12/14/21	21.87	11.77 W	0.00	12 of 23 - Sale ²⁵
	3.000	15.15	12/14/21	16.61	1.46 W	0.00	13 of 23 - Sale ²⁵
	3.000	15.15	12/14/21	26.78	11.63 W	0.00	14 of 23 - Sale ²⁵
	3.000	15.15	12/14/21	31.84	16.69 W	0.00	15 of 23 - Sale ²⁵
	5.000	25.25	12/14/21	28.86	3.61 W	0.00	16 of 23 - Sale ²⁵
	5.000	25.25	12/14/21	37.32	12.07 W	0.00	17 of 23 - Sale ²⁵
	5.000	25.25	12/14/21	39.35	14.10 W	0.00	18 of 23 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	6.000	30.30	12/14/21	32.90	2.60 W	0.00	19 of 23 - Sale ²⁵
	9.000	45.44	12/14/21	47.32	1.88 W	0.00	20 of 23 - Sale ²⁵
	12.000	60.60	12/14/21	69.39	8.79 W	0.00	21 of 23 - Sale ²⁵
	15.000	75.75	12/14/21	86.69	10.94 W	0.00	22 of 23 - Sale ²⁵
	20.000	100.99	12/14/21	115.58	14.59 W	0.00	23 of 23 - Sale ²⁵
12/15/21	101.000	510.03	Various	665.28	155.25 W	0.00	Total of 23 transactions
	4 transactions for 12/16/21. Total proceeds and cost reported to the IRS.						
	1.000	5.30	12/14/21	5.11	...	0.19	1 of 4 - Sale ²⁵
	1.000	5.30	12/15/21	5.09	...	0.21	2 of 4 - Sale ²⁵
	8.000	42.40	12/15/21	39.68	...	2.72	3 of 4 - Sale ²⁵
	10.000	52.99	12/15/21	50.15	...	2.84	4 of 4 - Sale ²⁵
12/16/21	20.000	105.99	Various	100.03	...	5.96	Total of 4 transactions
	19 transactions for 12/16/21. Total proceeds and cost reported to the IRS.						
	1.000	5.30	12/15/21	5.48	0.18 W	0.00	1 of 19 - Sale ²⁵
	1.000	5.30	12/15/21	6.14	0.84 W	0.00	2 of 19 - Sale ²⁵
	1.000	5.30	12/15/21	6.76	1.46 W	0.00	3 of 19 - Sale ²⁵
	1.000	5.30	12/15/21	6.82	1.52 W	0.00	4 of 19 - Sale ²⁵
	1.000	5.30	12/15/21	6.95	1.65 W	0.00	5 of 19 - Sale ²⁵
	1.000	5.30	12/15/21	8.61	3.31 W	0.00	6 of 19 - Sale ²⁵
	1.000	5.30	12/15/21	8.62	3.32 W	0.00	7 of 19 - Sale ²⁵
	1.000	5.30	12/15/21	10.89	5.59 W	0.00	8 of 19 - Sale ²⁵
	2.000	10.60	12/15/21	20.75	10.15 W	0.00	9 of 19 - Sale ²⁵
	2.000	10.60	12/15/21	21.28	10.68 W	0.00	10 of 19 - Sale ²⁵
	2.000	10.60	12/15/21	21.35	10.75 W	0.00	11 of 19 - Sale ²⁵
	3.000	15.90	12/15/21	26.00	10.10 W	0.00	12 of 19 - Sale ²⁵
	3.000	15.90	12/15/21	31.06	15.16 W	0.00	13 of 19 - Sale ²⁵
	5.000	26.50	12/15/21	27.56	1.06 W	0.00	14 of 19 - Sale ²⁵
	5.000	26.50	12/15/21	36.02	9.52 W	0.00	15 of 19 - Sale ²⁵
	5.000	26.50	12/15/21	38.05	11.55 W	0.00	16 of 19 - Sale ²⁵
	15.000	79.50	12/15/21	82.79	3.29 W	0.00	17 of 19 - Sale ²⁵
	20.000	105.99	12/15/21	110.39	4.40 W	0.00	18 of 19 - Sale ²⁵
	10.000	53.00	12/15/21	55.22	2.22 W	0.00	19 of 19 - Sale ²⁵
12/16/21	80.000	423.99	Various	530.74	106.75 W	0.00	Total of 19 transactions
12/20/21	1.000	5.43	12/15/21	4.96	...	0.47	Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
Security total:		6,633.08		7,912.50		1,450.90 W	171.48
OCUPHIRE PHARMA, INC. COMMON S TOCK / CUSIP: 67577R102 / Symbol:							
2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	14.000	59.78	11/24/21	60.20	...	-0.42	1 of 2 - Sale ²⁵
	5.000	21.35	11/24/21	21.50	...	-0.15	2 of 2 - Sale ²⁵
11/29/21	19.000	81.13	Various	81.70	...	-0.57	Total of 2 transactions
11/29/21	30.000	128.10	11/24/21	144.00	0.53 W	-15.37	Sale ²⁵
12/01/21	1.000	3.76	11/24/21	4.83	...	-1.07	Sale ²⁵
Security total:		212.99		230.53	0.53 W	-17.01	
180 LIFE SCIENCES CORP. COMMON STOCK / CUSIP: 68236V104 / Symbol:							
12/01/21	30.000	147.30	11/30/21	220.50	73.20 W	0.00	Sale ²⁵
12/02/21	30.000	130.80	12/01/21	216.60	85.80 W	0.00	Sale ²⁵
12/03/21	30.000	106.58	12/02/21	214.80	108.22 W	0.00	Sale ²⁵
12/06/21	30.000	97.80	12/03/21	213.22	115.42 W	0.00	Sale ²⁵
3 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	30.000	104.06	12/06/21	211.42	...	-107.36	1 of 3 - Sale ²⁵
	1.000	3.65	12/06/21	3.20	...	0.45	2 of 3 - Sale ²⁵
	9.000	31.22	12/06/21	28.80	...	2.42	3 of 3 - Sale ²⁵
12/07/21	40.000	138.93	Various	243.42	...	-104.49	Total of 3 transactions
Security total:		621.41		1,108.54	382.64 W	-104.49	
ORCHARD THERAPEUTICS PLC AMERI CAN DEPOSITARY SHARES / CUSIP: 68570P101 / Symbol:							
01/06/21	5.000	30.25	01/05/21	24.48	...	5.77	Sale ²⁵
01/20/21	6.000	41.97	01/14/21	41.16	...	0.81	Sale ²⁵
24 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	1.000	2.80	06/10/21	5.19	...	-2.39	1 of 24 - Sale ²⁵
	1.000	2.80	07/02/21	4.26	...	-1.46	2 of 24 - Sale ²⁵
	1.000	2.80	07/12/21	3.92	...	-1.12	3 of 24 - Sale ²⁵
	3.000	8.40	07/14/21	10.59	...	-2.19	4 of 24 - Sale ²⁵
	10.000	28.00	07/19/21	33.20	...	-5.20	5 of 24 - Sale ²⁵
	2.000	5.60	07/19/21	6.57	...	-0.97	6 of 24 - Sale ²⁵
	2.000	5.60	07/21/21	6.74	...	-1.14	7 of 24 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ORCHARD THERAPEUTICS PLC AMERI CAN DEPOSITARY SHARES / CUSIP: 68570P101 / Symbol: (cont'd)							
	1.000	2.80	07/23/21	3.12	...	-0.32	8 of 24 - Sale ²⁵
	1.000	2.80	07/26/21	3.02	...	-0.22	9 of 24 - Sale ²⁵
	1.000	2.80	07/27/21	2.92	...	-0.12	10 of 24 - Sale ²⁵
	3.000	8.40	07/28/21	8.85	...	-0.45	11 of 24 - Sale ²⁵
	5.000	14.00	08/13/21	14.00	...	0.00	12 of 24 - Sale ²⁵
	2.000	5.60	08/16/21	5.42	...	0.18	13 of 24 - Sale ²⁵
	25.000	70.00	08/16/21	69.00	...	1.00	14 of 24 - Sale ²⁵
	25.000	69.99	08/16/21	68.50	...	1.49	15 of 24 - Sale ²⁵
	1.000	2.80	08/17/21	2.67	...	0.13	16 of 24 - Sale ²⁵
	1.000	2.80	08/17/21	2.63	...	0.17	17 of 24 - Sale ²⁵
	25.000	69.99	08/18/21	64.96	...	5.03	18 of 24 - Sale ²⁵
	25.000	70.00	08/18/21	64.97	...	5.03	19 of 24 - Sale ²⁵
	50.000	139.99	08/18/21	129.87	...	10.12	20 of 24 - Sale ²⁵
	1.000	2.80	08/19/21	2.45	...	0.35	21 of 24 - Sale ²⁵
	14.000	39.20	08/23/21	37.45	...	1.75	22 of 24 - Sale ²⁵
	1.000	2.80	08/24/21	2.69	...	0.11	23 of 24 - Sale ²⁵
	2.000	5.60	08/24/21	5.37	...	0.23	24 of 24 - Sale ²⁵
08/24/21	203.000	568.37	Various	558.36	...	10.01	Total of 24 transactions
	5 transactions for 12/30/21. Total proceeds and cost reported to the IRS.						
	0.814	0.96	12/29/21	1.07	0.11 W	0.00	1 of 5 - Sale ²⁵
	1.491	1.76	12/29/21	1.99	0.23 W	0.00	2 of 5 - Sale ²⁵
	5.978	7.06	12/29/21	8.01	0.95 W	0.00	3 of 5 - Sale ²⁵
	19.718	23.31	12/29/21	26.02	2.71 W	0.00	4 of 5 - Sale ²⁵
	50.000	59.08	12/29/21	70.00	10.92 W	0.00	5 of 5 - Sale ²⁵
12/30/21	78.000	92.17	Various	107.09	14.92 W	0.00	Total of 5 transactions
	8 transactions for 12/31/21. Total proceeds and cost reported to the IRS.						
	2.207	3.00	12/29/21	3.39	...	-0.39	1 of 8 - Sale ²⁵
	47.429	64.56	12/30/21	67.04	...	-2.48	2 of 8 - Sale ²⁵
	0.364	0.50	12/30/21	0.51	...	-0.01	3 of 8 - Sale ²⁵
	1.491	2.03	12/30/21	2.01	...	0.02	4 of 8 - Sale ²⁵
	0.814	1.11	12/30/21	1.08	...	0.03	5 of 8 - Sale ²⁵
	5.978	8.14	12/30/21	8.09	...	0.05	6 of 8 - Sale ²⁵
	19.718	26.84	12/30/21	26.27	...	0.57	7 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORCHARD THERAPEUTICS PLC AMERI CAN DEPOSITARY SHARES / CUSIP: 68570P101 / Symbol: (cont'd)							
	91.571	124.64	12/30/21	109.44	...	15.20	8 of 8 - Sale ²⁵
12/31/21	169.571	230.82	Various	217.83	...	12.99	Total of 8 transactions
	Security total:	963.58		948.92	14.92 W	29.58	
OUTFRONT MEDIA INC. / CUSIP: 69007J106 / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	2.000	44.18	02/08/21	41.80	...	2.38	1 of 2 - Sale ²⁵
	5.000	110.47	02/08/21	105.64	...	4.83	2 of 2 - Sale ²⁵
02/11/21	7.000	154.65	Various	147.44	...	7.21	Total of 2 transactions
PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol:							
9 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	1.000	0.58	12/06/21	0.72	0.14 W	0.00	1 of 9 - Sale ²⁵
	6.000	3.48	12/06/21	4.23	0.75 W	0.00	2 of 9 - Sale ²⁵
	99.000	57.43	12/06/21	69.60	12.17 W	0.00	3 of 9 - Sale ²⁵
	6.000	3.48	12/07/21	3.64	0.16 W	0.00	4 of 9 - Sale ²⁵
	13.000	7.54	12/07/21	8.12	0.58 W	0.00	5 of 9 - Sale ²⁵
	25.000	14.50	12/07/21	15.57	1.07 W	0.00	6 of 9 - Sale ²⁵
	25.000	14.50	12/07/21	16.25	1.75 W	0.00	7 of 9 - Sale ²⁵
	40.000	23.21	12/07/21	24.00	0.79 W	0.00	8 of 9 - Sale ²⁵
	100.000	58.00	12/07/21	66.75	8.75 W	0.00	9 of 9 - Sale ²⁵
12/08/21	315.000	182.72	Various	208.88	26.16 W	0.00	Total of 9 transactions
3 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	25.000	13.78	12/08/21	16.12	...	-2.34	1 of 3 - Sale ²⁵
	19.000	10.48	12/08/21	11.74	...	-1.26	2 of 3 - Sale ²⁵
	3.000	1.65	12/09/21	1.84	...	-0.19	3 of 3 - Sale ²⁵
12/13/21	47.000	25.91	Various	29.70	...	-3.79	Total of 3 transactions
4 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	100.000	55.14	12/08/21	66.25	9.11 W	-2.00	1 of 4 - Sale ²⁵
	1.000	0.55	12/08/21	0.71	0.16 W	0.00	2 of 4 - Sale ²⁵
	6.000	3.31	12/08/21	4.20	0.89 W	0.00	3 of 4 - Sale ²⁵
	99.000	54.59	12/08/21	69.10	14.51 W	0.00	4 of 4 - Sale ²⁵
12/13/21	206.000	113.59	Various	140.26	24.67 W	-2.00	Total of 4 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol: (cont'd)							
9 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	82.000	44.51	12/13/21	54.29	...	-9.78	1 of 9 - Sale ²⁵
	61.000	33.11	12/13/21	42.55	...	-9.44	2 of 9 - Sale ²⁵
	38.000	20.58	12/13/21	26.51	...	-5.93	3 of 9 - Sale ²⁵
	40.000	21.67	12/13/21	22.83	...	-1.16	4 of 9 - Sale ²⁵
	6.000	3.26	12/13/21	4.20	...	-0.94	5 of 9 - Sale ²⁵
	13.000	7.04	12/13/21	7.74	...	-0.70	6 of 9 - Sale ²⁵
	6.000	3.25	12/13/21	3.47	...	-0.22	7 of 9 - Sale ²⁵
	1.000	0.54	12/13/21	0.71	...	-0.17	8 of 9 - Sale ²⁵
	3.000	1.62	12/13/21	1.78	...	-0.16	9 of 9 - Sale ²⁵
12/14/21	250.000	135.58	Various	164.08	...	-28.50	Total of 9 transactions
	Security total:	457.80		542.92	50.83 W	-34.29	

PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:

23 transactions for 06/07/21. Total proceeds and cost reported to the IRS.

	1.000	24.60	02/16/21	29.80	...	-5.20	1 of 23 - Sale ²⁵
	1.000	24.60	02/23/21	26.76	...	-2.16	2 of 23 - Sale ²⁵
	1.000	24.60	02/24/21	26.24	...	-1.64	3 of 23 - Sale ²⁵
	1.000	24.60	02/26/21	23.57	...	1.03	4 of 23 - Sale ²⁵
	1.000	24.60	03/01/21	25.10	...	-0.50	5 of 23 - Sale ²⁵
	1.000	24.60	03/05/21	24.15	...	0.45	6 of 23 - Sale ²⁵
	1.000	24.60	03/05/21	21.82	...	2.78	7 of 23 - Sale ²⁵
	1.000	24.60	03/08/21	23.17	...	1.43	8 of 23 - Sale ²⁵
	1.000	24.60	03/08/21	22.60	...	2.00	9 of 23 - Sale ²⁵
	2.000	49.20	03/11/21	53.61	...	-4.41	10 of 23 - Sale ²⁵
	2.000	49.20	03/11/21	53.54	...	-4.34	11 of 23 - Sale ²⁵
	1.000	24.60	03/11/21	26.79	...	-2.19	12 of 23 - Sale ²⁵
	1.000	24.60	03/17/21	24.79	...	-0.19	13 of 23 - Sale ²⁵
	1.000	24.60	03/19/21	23.73	...	0.87	14 of 23 - Sale ²⁵
	1.000	24.60	03/23/21	23.20	...	1.40	15 of 23 - Sale ²⁵
	1.000	24.60	04/23/21	23.36	...	1.24	16 of 23 - Sale ²⁵
	1.000	24.60	04/27/21	23.85	...	0.75	17 of 23 - Sale ²⁵
	1.000	24.60	05/03/21	23.01	...	1.59	18 of 23 - Sale ²⁵
	1.000	24.60	05/03/21	22.56	...	2.04	19 of 23 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	1.000	24.60	05/04/21	21.10	...	3.50	20 of 23 - Sale ²⁵
	2.000	49.20	05/06/21	39.66	...	9.54	21 of 23 - Sale ²⁵
	2.000	49.19	05/07/21	39.58	...	9.61	22 of 23 - Sale ²⁵
	2.000	49.20	05/13/21	36.80	...	12.40	23 of 23 - Sale ²⁵
06/07/21	28.000	688.79	Various	658.79	...	30.00	Total of 23 transactions
06/07/21	2.000	49.20	02/08/21	75.04	12.92 W	-12.92	Sale ²⁵
06/15/21	1.000	24.80	06/03/21	36.74	...	-11.94	Sale ²⁵
	Security total:	762.79		770.57	12.92 W	5.14	
PANDION THERAPEUTICS INC. COMM ON STOCK / CUSIP: 698340106 / Symbol:							
02/26/21	1.000	59.98	02/25/21	59.91	...	0.07	Sale ²⁵
PDS BIOTECHNOLOGY CORPORATION COMMON STOCK / CUSIP: 70465T107 / Symbol:							
<i>2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	11.04	06/11/21	12.10	...	-1.06	1 of 2 - Sale ²⁵
	1.000	11.05	06/15/21	9.57	...	1.48	2 of 2 - Sale ²⁵
06/18/21	2.000	22.09	Various	21.67	...	0.42	Total of 2 transactions
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
02/11/21	1.000	146.69	02/05/21	144.22	...	2.47	Sale ²⁵
11/09/21	1.000	52.54	11/08/21	49.77	...	2.77	Sale ²⁵
11/17/21	1.000	52.85	11/16/21	49.00	...	3.85	Sale ²⁵
12/07/21	1.000	42.64	12/06/21	42.50	...	0.14	Sale ²⁵
	Security total:	294.72		285.49	...	9.23	
PETROS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 71678J100 / Symbol:							
<i>5 transactions for 12/02/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	11.35	11/29/21	23.50	...	-12.15	1 of 5 - Sale ²⁵
	5.000	11.35	11/30/21	16.10	...	-4.75	2 of 5 - Sale ²⁵
	2.000	4.54	11/30/21	5.80	...	-1.26	3 of 5 - Sale ²⁵
	1.000	2.27	12/01/21	2.20	...	0.07	4 of 5 - Sale ²⁵
	3.000	6.81	12/01/21	6.60	...	0.21	5 of 5 - Sale ²⁵
12/02/21	16.000	36.32	Various	54.20	...	-17.88	Total of 5 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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PFIZER INC. / CUSIP: 717081103 / Symbol:							
11/09/21	2.000	96.12	11/05/21	95.41	...	0.71	Sale ²⁵
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
3 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	60.000	484.19	10/21/21	123.00	...	361.19	1 of 3 - Sale ²⁵
	9.000	72.63	10/22/21	64.62	...	8.01	2 of 3 - Sale ²⁵
	15.000	121.05	10/22/21	91.35	...	29.70	3 of 3 - Sale ²⁵
10/22/21	84.000	677.87	Various	278.97	...	398.90	Total of 3 transactions
14 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	1.000	6.17	10/22/21	7.95	1.78 W	0.00	1 of 14 - Sale ²⁵
	2.000	12.34	10/22/21	17.14	4.80 W	0.00	2 of 14 - Sale ²⁵
	2.000	16.14	10/22/21	17.38	1.24 W	0.00	3 of 14 - Sale ²⁵
	5.000	30.80	10/22/21	55.40	24.60 W	0.00	4 of 14 - Sale ²⁵
	5.000	30.85	10/22/21	41.94	11.09 W	0.00	5 of 14 - Sale ²⁵
	5.000	40.35	10/22/21	42.54	2.19 W	0.00	6 of 14 - Sale ²⁵
	7.000	43.19	10/22/21	77.56	34.37 W	0.00	7 of 14 - Sale ²⁵
	10.000	61.70	10/22/21	85.01	23.31 W	0.00	8 of 14 - Sale ²⁵
	10.000	61.70	10/22/21	86.11	24.41 W	0.00	9 of 14 - Sale ²⁵
	10.000	61.70	10/22/21	90.70	29.00 W	0.00	10 of 14 - Sale ²⁵
	10.000	80.69	10/22/21	86.20	5.51 W	0.00	11 of 14 - Sale ²⁵
	10.000	80.69	10/22/21	87.30	6.61 W	0.00	12 of 14 - Sale ²⁵
	10.000	80.70	10/22/21	91.90	11.20 W	0.00	13 of 14 - Sale ²⁵
	12.000	96.84	10/22/21	134.40	37.56 W	0.00	14 of 14 - Sale ²⁵
10/22/21	99.000	703.86	Various	921.53	217.67 W	0.00	Total of 14 transactions
7 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	8.96	10/22/21	8.02	...	0.94	1 of 7 - Sale ²⁵
	8.000	71.68	10/22/21	69.45	...	2.23	2 of 7 - Sale ²⁵
	1.000	8.96	10/22/21	6.25	...	2.71	3 of 7 - Sale ²⁵
	1.000	8.96	10/22/21	6.15	...	2.81	4 of 7 - Sale ²⁵
	6.000	53.76	10/22/21	37.44	...	16.32	5 of 7 - Sale ²⁵
	12.000	107.51	10/22/21	74.40	...	33.11	6 of 7 - Sale ²⁵
	50.000	588.49	10/22/21	397.50	...	190.99	7 of 7 - Sale ²⁵
10/25/21	79.000	848.32	Various	599.21	...	249.11	Total of 7 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol: (cont'd)							
9 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	8.96	10/22/21	9.24	0.28 W	0.00	1 of 9 - Sale ²⁵
	1.000	8.96	10/22/21	9.79	0.83 W	0.00	2 of 9 - Sale ²⁵
	1.000	8.96	10/22/21	10.53	1.57 W	0.00	3 of 9 - Sale ²⁵
	2.000	17.92	10/22/21	20.06	2.14 W	0.00	4 of 9 - Sale ²⁵
	5.000	44.80	10/22/21	47.84	3.04 W	0.00	5 of 9 - Sale ²⁵
	5.000	44.80	10/22/21	62.75	17.95 W	0.00	6 of 9 - Sale ²⁵
	7.000	62.72	10/22/21	87.78	25.06 W	0.00	7 of 9 - Sale ²⁵
	9.000	80.64	10/22/21	92.25	11.61 W	0.00	8 of 9 - Sale ²⁵
	10.000	89.60	10/22/21	99.61	10.01 W	0.00	9 of 9 - Sale ²⁵
10/25/21	41.000	367.36	Various	439.85	72.49 W	0.00	Total of 9 transactions
10 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	4.55	10/29/21	4.21	...	0.34	1 of 10 - Sale ²⁵
	5.000	22.75	10/29/21	21.20	...	1.55	2 of 10 - Sale ²⁵
	1.000	4.55	11/01/21	4.04	...	0.51	3 of 10 - Sale ²⁵
	1.000	4.55	11/01/21	3.97	...	0.58	4 of 10 - Sale ²⁵
	3.000	13.65	11/01/21	11.58	...	2.07	5 of 10 - Sale ²⁵
	10.000	45.50	11/01/21	41.59	...	3.91	6 of 10 - Sale ²⁵
	1.000	4.82	11/02/21	4.70	...	0.12	7 of 10 - Sale ²⁵
	1.000	4.55	11/02/21	3.69	...	0.86	8 of 10 - Sale ²⁵
	8.000	38.56	11/02/21	35.34	...	3.22	9 of 10 - Sale ²⁵
	13.000	59.14	11/02/21	48.88	...	10.26	10 of 10 - Sale ²⁵
11/03/21	44.000	202.62	Various	179.20	...	23.42	Total of 10 transactions
24 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	4.55	10/27/21	5.09	0.54 W	0.00	1 of 24 - Sale ²⁵
	1.000	4.55	10/27/21	5.48	0.93 W	0.00	2 of 24 - Sale ²⁵
	1.000	4.55	10/27/21	6.03	1.48 W	0.00	3 of 24 - Sale ²⁵
	1.000	4.55	10/27/21	6.77	2.22 W	0.00	4 of 24 - Sale ²⁵
	2.000	9.10	10/27/21	12.54	3.44 W	0.00	5 of 24 - Sale ²⁵
	5.000	22.75	10/27/21	23.39	0.64 W	0.00	6 of 24 - Sale ²⁵
	5.000	22.75	10/27/21	24.65	1.90 W	0.00	7 of 24 - Sale ²⁵
	5.000	22.75	10/27/21	29.04	6.29 W	0.00	8 of 24 - Sale ²⁵
	5.000	22.75	10/27/21	43.95	21.20 W	0.00	9 of 24 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol: (cont'd)							
	7.000	31.85	10/27/21	61.46	29.61 W	0.00	10 of 24 - Sale ²⁵
	9.000	40.95	10/27/21	46.80	5.85 W	0.00	11 of 24 - Sale ²⁵
	9.000	40.95	10/27/21	58.41	17.46 W	0.00	12 of 24 - Sale ²⁵
	10.000	45.50	10/27/21	62.01	16.51 W	0.00	13 of 24 - Sale ²⁵
	1.000	4.55	10/28/21	4.96	0.41 W	0.00	14 of 24 - Sale ²⁵
	3.000	13.65	10/28/21	15.24	1.59 W	0.00	15 of 24 - Sale ²⁵
	1.000	4.82	11/02/21	5.25	0.43 W	0.00	16 of 24 - Sale ²⁵
	1.000	4.82	11/02/21	5.98	1.16 W	0.00	17 of 24 - Sale ²⁵
	2.000	9.64	11/02/21	10.06	0.42 W	0.00	18 of 24 - Sale ²⁵
	2.000	9.64	11/02/21	10.95	1.31 W	0.00	19 of 24 - Sale ²⁵
	3.000	14.46	11/02/21	15.04	0.58 W	0.00	20 of 24 - Sale ²⁵
	5.000	24.10	11/02/21	40.00	15.90 W	0.00	21 of 24 - Sale ²⁵
	7.000	33.74	11/02/21	55.93	22.19 W	0.00	22 of 24 - Sale ²⁵
	9.000	43.38	11/02/21	51.25	7.87 W	0.00	23 of 24 - Sale ²⁵
	10.000	48.20	11/02/21	54.06	5.86 W	0.00	24 of 24 - Sale ²⁵
11/03/21	105.000	488.55	Various	654.34	165.79 W	0.00	Total of 24 transactions
	9 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	1.000	4.50	11/03/21	5.24	0.74 W	0.00	1 of 9 - Sale ²⁵
	4.000	18.00	11/03/21	19.88	1.88 W	0.00	2 of 9 - Sale ²⁵
	5.000	22.50	11/03/21	23.59	1.09 W	0.00	3 of 9 - Sale ²⁵
	1.000	4.50	11/04/21	4.63	0.13 W	0.00	4 of 9 - Sale ²⁵
	1.000	4.50	11/04/21	4.66	0.16 W	0.00	5 of 9 - Sale ²⁵
	1.000	4.50	11/04/21	4.79	0.29 W	0.00	6 of 9 - Sale ²⁵
	3.000	13.50	11/04/21	14.33	0.83 W	0.00	7 of 9 - Sale ²⁵
	4.000	18.00	11/04/21	29.71	11.71 W	0.00	8 of 9 - Sale ²⁵
	1.000	4.50	11/05/21	7.34	2.84 W	0.00	9 of 9 - Sale ²⁵
11/08/21	21.000	94.50	Various	114.17	19.67 W	0.00	Total of 9 transactions
11/24/21	1.000	3.35	11/22/21	3.33	...	0.02	Sale ²⁵
	21 transactions for 11/24/21. Total proceeds and cost reported to the IRS.						
	1.000	3.35	11/12/21	5.63	2.28 W	0.00	1 of 21 - Sale ²⁵
	2.000	6.70	11/12/21	9.85	3.15 W	0.00	2 of 21 - Sale ²⁵
	4.000	13.40	11/12/21	20.22	6.82 W	0.00	3 of 21 - Sale ²⁵
	6.000	20.10	11/12/21	29.14	9.04 W	0.00	4 of 21 - Sale ²⁵
	7.000	23.45	11/12/21	52.08	28.63 W	0.00	5 of 21 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol: (cont'd)							
	1.000	3.35	11/15/21	4.28	0.93 W	0.00	6 of 21 - Sale ²⁵
	9.000	30.15	11/15/21	44.68	14.53 W	0.00	7 of 21 - Sale ²⁵
	1.000	3.35	11/16/21	4.17	0.82 W	0.00	8 of 21 - Sale ²⁵
	1.000	3.35	11/17/21	3.94	0.59 W	0.00	9 of 21 - Sale ²⁵
	1.000	3.35	11/17/21	3.96	0.61 W	0.00	10 of 21 - Sale ²⁵
	1.000	3.35	11/22/21	3.46	0.11 W	0.00	11 of 21 - Sale ²⁵
	1.000	3.35	11/22/21	3.49	0.14 W	0.00	12 of 21 - Sale ²⁵
	1.000	3.35	11/22/21	3.54	0.19 W	0.00	13 of 21 - Sale ²⁵
	1.000	3.35	11/22/21	3.62	0.27 W	0.00	14 of 21 - Sale ²⁵
	1.000	3.35	11/22/21	3.76	0.41 W	0.00	15 of 21 - Sale ²⁵
	1.000	3.35	11/22/21	4.07	0.72 W	0.00	16 of 21 - Sale ²⁵
	1.000	3.35	11/22/21	6.16	2.81 W	0.00	17 of 21 - Sale ²⁵
	3.000	10.04	11/22/21	10.81	0.77 W	0.00	18 of 21 - Sale ²⁵
	4.000	13.40	11/22/21	15.19	1.79 W	0.00	19 of 21 - Sale ²⁵
	4.000	13.40	11/22/21	25.01	11.61 W	0.00	20 of 21 - Sale ²⁵
	5.000	16.75	11/22/21	17.72	0.97 W	0.00	21 of 21 - Sale ²⁵
11/24/21	56.000	187.59	Various	274.78	87.19 W	0.00	Total of 21 transactions
	2 transactions for 12/01/21. Total proceeds and cost reported to the IRS.						
	1.000	4.26	11/22/21	7.41	3.15 W	0.00	1 of 2 - Sale ²⁵
	1.000	4.26	11/30/21	7.17	2.91 W	0.00	2 of 2 - Sale ²⁵
12/01/21	2.000	8.52	Various	14.58	6.06 W	0.00	Total of 2 transactions
	28 transactions for 12/02/21. Total proceeds and cost reported to the IRS.						
	1.000	3.70	12/01/21	4.31	0.61 W	0.00	1 of 28 - Sale ²⁵
	1.000	3.70	12/01/21	4.34	0.64 W	0.00	2 of 28 - Sale ²⁵
	1.000	3.70	12/01/21	4.39	0.69 W	0.00	3 of 28 - Sale ²⁵
	1.000	3.70	12/01/21	4.47	0.77 W	0.00	4 of 28 - Sale ²⁵
	1.000	3.70	12/01/21	4.61	0.91 W	0.00	5 of 28 - Sale ²⁵
	1.000	3.70	12/01/21	4.79	1.09 W	0.00	6 of 28 - Sale ²⁵
	1.000	3.70	12/01/21	4.81	1.11 W	0.00	7 of 28 - Sale ²⁵
	1.000	3.70	12/01/21	4.92	1.22 W	0.00	8 of 28 - Sale ²⁵
	1.000	3.70	12/01/21	5.02	1.32 W	0.00	9 of 28 - Sale ²⁵
	1.000	3.70	12/01/21	5.13	1.43 W	0.00	10 of 28 - Sale ²⁵
	1.000	3.70	12/01/21	6.48	2.78 W	0.00	11 of 28 - Sale ²⁵
	1.000	3.70	12/01/21	7.01	3.31 W	0.00	12 of 28 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol: (cont'd)							
	1.000	3.70	12/01/21	7.11	3.41 W	0.00	13 of 28 - Sale ²⁵
	1.000	3.70	12/01/21	7.35	3.65 W	0.00	14 of 28 - Sale ²⁵
	1.000	3.70	12/01/21	7.76	4.06 W	0.00	15 of 28 - Sale ²⁵
	1.000	3.70	12/01/21	8.00	4.30 W	0.00	16 of 28 - Sale ²⁵
	2.000	7.40	12/01/21	11.55	4.15 W	0.00	17 of 28 - Sale ²⁵
	3.000	11.10	12/01/21	13.37	2.27 W	0.00	18 of 28 - Sale ²⁵
	3.000	11.10	12/01/21	26.82	15.72 W	0.00	19 of 28 - Sale ²⁵
	4.000	14.79	12/01/21	16.80	2.01 W	0.00	20 of 28 - Sale ²⁵
	4.000	14.80	12/01/21	18.59	3.79 W	0.00	21 of 28 - Sale ²⁵
	4.000	14.80	12/01/21	23.62	8.82 W	0.00	22 of 28 - Sale ²⁵
	4.000	14.80	12/01/21	28.41	13.61 W	0.00	23 of 28 - Sale ²⁵
	5.000	18.50	12/01/21	21.97	3.47 W	0.00	24 of 28 - Sale ²⁵
	5.000	18.50	12/01/21	41.45	22.95 W	0.00	25 of 28 - Sale ²⁵
	6.000	22.20	12/01/21	34.24	12.04 W	0.00	26 of 28 - Sale ²⁵
	9.000	33.30	12/01/21	52.33	19.03 W	0.00	27 of 28 - Sale ²⁵
	15.000	55.50	12/01/21	63.00	7.50 W	0.00	28 of 28 - Sale ²⁵
12/02/21	80.000	295.99	Various	442.65	146.66 W	0.00	Total of 28 transactions
	29 transactions for 12/03/21. Total proceeds and cost reported to the IRS.						
	1.000	3.25	12/02/21	4.26	1.01 W	0.00	1 of 29 - Sale ²⁵
	1.000	3.25	12/02/21	4.29	1.04 W	0.00	2 of 29 - Sale ²⁵
	1.000	3.25	12/02/21	4.34	1.09 W	0.00	3 of 29 - Sale ²⁵
	1.000	3.25	12/02/21	4.42	1.17 W	0.00	4 of 29 - Sale ²⁵
	1.000	3.25	12/02/21	4.56	1.31 W	0.00	5 of 29 - Sale ²⁵
	1.000	3.25	12/02/21	4.74	1.49 W	0.00	6 of 29 - Sale ²⁵
	1.000	3.25	12/02/21	4.76	1.51 W	0.00	7 of 29 - Sale ²⁵
	1.000	3.25	12/02/21	4.87	1.62 W	0.00	8 of 29 - Sale ²⁵
	1.000	3.25	12/02/21	4.97	1.72 W	0.00	9 of 29 - Sale ²⁵
	1.000	3.25	12/02/21	5.08	1.83 W	0.00	10 of 29 - Sale ²⁵
	1.000	3.25	12/02/21	6.43	3.18 W	0.00	11 of 29 - Sale ²⁵
	1.000	3.25	12/02/21	6.96	3.71 W	0.00	12 of 29 - Sale ²⁵
	1.000	3.25	12/02/21	7.71	4.46 W	0.00	13 of 29 - Sale ²⁵
	1.000	3.25	12/02/21	7.95	4.70 W	0.00	14 of 29 - Sale ²⁵
	2.000	6.50	12/02/21	6.62	0.12 W	0.00	15 of 29 - Sale ²⁵
	2.000	6.50	12/02/21	11.45	4.95 W	0.00	16 of 29 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol: (cont'd)							
	2.000	6.50	12/02/21	16.48	9.98 W	0.00	17 of 29 - Sale ²⁵
	3.000	9.75	12/02/21	13.22	3.47 W	0.00	18 of 29 - Sale ²⁵
	3.000	9.75	12/02/21	26.67	16.92 W	0.00	19 of 29 - Sale ²⁵
	4.000	13.00	12/02/21	16.61	3.61 W	0.00	20 of 29 - Sale ²⁵
	4.000	13.00	12/02/21	18.39	5.39 W	0.00	21 of 29 - Sale ²⁵
	4.000	13.00	12/02/21	23.42	10.42 W	0.00	22 of 29 - Sale ²⁵
	4.000	13.00	12/02/21	28.21	15.21 W	0.00	23 of 29 - Sale ²⁵
	5.000	16.25	12/02/21	21.72	5.47 W	0.00	24 of 29 - Sale ²⁵
	6.000	19.50	12/02/21	33.94	14.44 W	0.00	25 of 29 - Sale ²⁵
	9.000	29.25	12/02/21	32.85	3.60 W	0.00	26 of 29 - Sale ²⁵
	9.000	29.25	12/02/21	51.88	22.63 W	0.00	27 of 29 - Sale ²⁵
	10.000	32.51	12/02/21	34.00	1.49 W	0.00	28 of 29 - Sale ²⁵
	15.000	48.75	12/02/21	62.25	13.50 W	0.00	29 of 29 - Sale ²⁵
12/03/21	96.000	312.01	Various	473.05	161.04 W	0.00	Total of 29 transactions
	32 transactions for 12/06/21. Total proceeds and cost reported to the IRS.						
	1.000	2.92	12/03/21	4.21	1.29 W	0.00	1 of 32 - Sale ²⁵
	1.000	2.92	12/03/21	4.24	1.32 W	0.00	2 of 32 - Sale ²⁵
	1.000	2.92	12/03/21	4.29	1.37 W	0.00	3 of 32 - Sale ²⁵
	1.000	2.92	12/03/21	4.71	1.79 W	0.00	4 of 32 - Sale ²⁵
	1.000	2.92	12/03/21	4.82	1.90 W	0.00	5 of 32 - Sale ²⁵
	1.000	2.92	12/03/21	4.92	2.00 W	0.00	6 of 32 - Sale ²⁵
	1.000	2.92	12/03/21	6.38	3.46 W	0.00	7 of 32 - Sale ²⁵
	1.000	2.92	12/03/21	7.66	4.74 W	0.00	8 of 32 - Sale ²⁵
	1.000	2.92	12/03/21	7.90	4.98 W	0.00	9 of 32 - Sale ²⁵
	1.000	2.93	12/03/21	4.37	1.44 W	0.00	10 of 32 - Sale ²⁵
	1.000	2.93	12/03/21	4.51	1.58 W	0.00	11 of 32 - Sale ²⁵
	1.000	2.93	12/03/21	4.69	1.76 W	0.00	12 of 32 - Sale ²⁵
	1.000	2.93	12/03/21	5.03	2.10 W	0.00	13 of 32 - Sale ²⁵
	1.000	2.93	12/03/21	6.91	3.98 W	0.00	14 of 32 - Sale ²⁵
	2.000	5.85	12/03/21	6.52	0.67 W	0.00	15 of 32 - Sale ²⁵
	2.000	5.85	12/03/21	11.35	5.50 W	0.00	16 of 32 - Sale ²⁵
	2.000	5.85	12/03/21	16.38	10.53 W	0.00	17 of 32 - Sale ²⁵
	3.000	8.77	12/03/21	13.07	4.30 W	0.00	18 of 32 - Sale ²⁵
	3.000	8.77	12/03/21	26.52	17.75 W	0.00	19 of 32 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol: (cont'd)							
	4.000	11.70	12/03/21	16.41	4.71 W	0.00	20 of 32 - Sale ²⁵
	4.000	11.70	12/03/21	18.19	6.49 W	0.00	21 of 32 - Sale ²⁵
	4.000	11.70	12/03/21	23.22	11.52 W	0.00	22 of 32 - Sale ²⁵
	4.000	11.70	12/03/21	28.01	16.31 W	0.00	23 of 32 - Sale ²⁵
	5.000	14.63	12/03/21	21.47	6.84 W	0.00	24 of 32 - Sale ²⁵
	6.000	17.55	12/03/21	33.64	16.09 W	0.00	25 of 32 - Sale ²⁵
	9.000	26.32	12/03/21	51.43	25.11 W	0.00	26 of 32 - Sale ²⁵
	9.000	26.33	12/03/21	32.40	6.07 W	0.00	27 of 32 - Sale ²⁵
	10.000	29.25	12/03/21	29.90	0.65 W	0.00	28 of 32 - Sale ²⁵
	10.000	29.25	12/03/21	33.49	4.24 W	0.00	29 of 32 - Sale ²⁵
	15.000	43.88	12/03/21	61.50	17.62 W	0.00	30 of 32 - Sale ²⁵
	25.000	73.12	12/03/21	74.88	1.76 W	0.00	31 of 32 - Sale ²⁵
	54.000	157.95	12/03/21	172.80	14.85 W	0.00	32 of 32 - Sale ²⁵
12/06/21	185.000	541.10	Various	745.82	204.72 W	0.00	Total of 32 transactions
	3 transactions for 12/07/21. Total proceeds and cost reported to the IRS.						
	10.000	30.30	12/06/21	29.55	...	0.75	1 of 3 - Sale ²⁵
	25.000	75.74	12/06/21	74.01	...	1.73	2 of 3 - Sale ²⁵
	14.000	42.42	12/06/21	40.46	...	1.96	3 of 3 - Sale ²⁵
12/07/21	49.000	148.46	Various	144.02	...	4.44	Total of 3 transactions
	30 transactions for 12/07/21. Total proceeds and cost reported to the IRS.						
	1.000	3.03	12/06/21	4.18	1.15 W	0.00	1 of 30 - Sale ²⁵
	1.000	3.03	12/06/21	4.21	1.18 W	0.00	2 of 30 - Sale ²⁵
	1.000	3.03	12/06/21	4.26	1.23 W	0.00	3 of 30 - Sale ²⁵
	1.000	3.03	12/06/21	4.33	1.30 W	0.00	4 of 30 - Sale ²⁵
	1.000	3.03	12/06/21	4.47	1.44 W	0.00	5 of 30 - Sale ²⁵
	1.000	3.03	12/06/21	4.65	1.62 W	0.00	6 of 30 - Sale ²⁵
	1.000	3.03	12/06/21	4.68	1.65 W	0.00	7 of 30 - Sale ²⁵
	1.000	3.03	12/06/21	4.79	1.76 W	0.00	8 of 30 - Sale ²⁵
	1.000	3.03	12/06/21	4.89	1.86 W	0.00	9 of 30 - Sale ²⁵
	1.000	3.03	12/06/21	4.99	1.96 W	0.00	10 of 30 - Sale ²⁵
	1.000	3.03	12/06/21	6.35	3.32 W	0.00	11 of 30 - Sale ²⁵
	1.000	3.03	12/06/21	6.87	3.84 W	0.00	12 of 30 - Sale ²⁵
	1.000	3.03	12/06/21	7.63	4.60 W	0.00	13 of 30 - Sale ²⁵
	1.000	3.03	12/06/21	7.87	4.84 W	0.00	14 of 30 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol: (cont'd)							
	2.000	6.06	12/06/21	6.45	0.39 W	0.00	15 of 30 - Sale ²⁵
	2.000	6.06	12/06/21	11.28	5.22 W	0.00	16 of 30 - Sale ²⁵
	2.000	6.06	12/06/21	16.31	10.25 W	0.00	17 of 30 - Sale ²⁵
	3.000	9.09	12/06/21	12.97	3.88 W	0.00	18 of 30 - Sale ²⁵
	3.000	9.09	12/06/21	26.42	17.33 W	0.00	19 of 30 - Sale ²⁵
	4.000	12.12	12/06/21	16.27	4.15 W	0.00	20 of 30 - Sale ²⁵
	4.000	12.12	12/06/21	18.05	5.93 W	0.00	21 of 30 - Sale ²⁵
	4.000	12.12	12/06/21	23.08	10.96 W	0.00	22 of 30 - Sale ²⁵
	4.000	12.12	12/06/21	27.87	15.75 W	0.00	23 of 30 - Sale ²⁵
	5.000	15.15	12/06/21	21.29	6.14 W	0.00	24 of 30 - Sale ²⁵
	6.000	18.18	12/06/21	33.43	15.25 W	0.00	25 of 30 - Sale ²⁵
	9.000	27.27	12/06/21	32.08	4.81 W	0.00	26 of 30 - Sale ²⁵
	9.000	27.27	12/06/21	51.12	23.85 W	0.00	27 of 30 - Sale ²⁵
	10.000	30.30	12/06/21	33.14	2.84 W	0.00	28 of 30 - Sale ²⁵
	15.000	45.45	12/06/21	60.97	15.52 W	0.00	29 of 30 - Sale ²⁵
	54.000	163.60	12/06/21	170.91	7.31 W	0.00	30 of 30 - Sale ²⁵
12/07/21	150.000	454.48	Various	635.81	181.33 W	0.00	Total of 30 transactions
	6 transactions for 12/09/21. Total proceeds and cost reported to the IRS.						
	1.000	3.58	12/06/21	2.89	...	0.69	1 of 6 - Sale ²⁵
	1.000	3.58	12/07/21	3.49	...	0.09	2 of 6 - Sale ²⁵
	1.000	3.58	12/07/21	3.30	...	0.28	3 of 6 - Sale ²⁵
	2.000	7.16	12/07/21	6.81	...	0.35	4 of 6 - Sale ²⁵
	9.000	32.22	12/07/21	31.43	...	0.79	5 of 6 - Sale ²⁵
	4.000	14.32	12/07/21	13.37	...	0.95	6 of 6 - Sale ²⁵
12/09/21	18.000	64.44	Various	61.29	...	3.15	Total of 6 transactions
	27 transactions for 12/09/21. Total proceeds and cost reported to the IRS.						
	1.000	3.58	12/07/21	4.36	0.78 W	0.00	1 of 27 - Sale ²⁵
	1.000	3.58	12/07/21	4.39	0.81 W	0.00	2 of 27 - Sale ²⁵
	1.000	3.58	12/07/21	4.44	0.86 W	0.00	3 of 27 - Sale ²⁵
	1.000	3.58	12/07/21	4.51	0.93 W	0.00	4 of 27 - Sale ²⁵
	1.000	3.58	12/07/21	4.65	1.07 W	0.00	5 of 27 - Sale ²⁵
	1.000	3.58	12/07/21	4.83	1.25 W	0.00	6 of 27 - Sale ²⁵
	1.000	3.58	12/07/21	4.86	1.28 W	0.00	7 of 27 - Sale ²⁵
	1.000	3.58	12/07/21	4.97	1.39 W	0.00	8 of 27 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol: (cont'd)							
	1.000	3.58	12/07/21	5.07	1.49 W	0.00	9 of 27 - Sale ²⁵
	1.000	3.58	12/07/21	5.17	1.59 W	0.00	10 of 27 - Sale ²⁵
	1.000	3.58	12/07/21	6.53	2.95 W	0.00	11 of 27 - Sale ²⁵
	1.000	3.58	12/07/21	7.05	3.47 W	0.00	12 of 27 - Sale ²⁵
	1.000	3.58	12/07/21	7.81	4.23 W	0.00	13 of 27 - Sale ²⁵
	1.000	3.58	12/07/21	8.05	4.47 W	0.00	14 of 27 - Sale ²⁵
	2.000	7.16	12/07/21	11.64	4.48 W	0.00	15 of 27 - Sale ²⁵
	2.000	7.16	12/07/21	16.67	9.51 W	0.00	16 of 27 - Sale ²⁵
	3.000	10.74	12/07/21	13.51	2.77 W	0.00	17 of 27 - Sale ²⁵
	3.000	10.74	12/07/21	26.96	16.22 W	0.00	18 of 27 - Sale ²⁵
	4.000	14.32	12/07/21	16.98	2.66 W	0.00	19 of 27 - Sale ²⁵
	4.000	14.32	12/07/21	18.76	4.44 W	0.00	20 of 27 - Sale ²⁵
	4.000	14.32	12/07/21	23.79	9.47 W	0.00	21 of 27 - Sale ²⁵
	4.000	14.32	12/07/21	28.58	14.26 W	0.00	22 of 27 - Sale ²⁵
	5.000	17.90	12/07/21	22.18	4.28 W	0.00	23 of 27 - Sale ²⁵
	6.000	21.48	12/07/21	34.50	13.02 W	0.00	24 of 27 - Sale ²⁵
	9.000	32.21	12/07/21	33.69	1.48 W	0.00	25 of 27 - Sale ²⁵
	9.000	32.22	12/07/21	52.73	20.51 W	0.00	26 of 27 - Sale ²⁵
	15.000	53.70	12/07/21	63.65	9.95 W	0.00	27 of 27 - Sale ²⁵
12/09/21	84.000	300.71	Various	440.33	139.62 W	0.00	Total of 27 transactions
24 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	1.000	3.24	12/07/21	3.30	0.06 W	0.00	1 of 24 - Sale ²⁵
	1.000	3.24	12/09/21	4.28	1.04 W	0.00	2 of 24 - Sale ²⁵
	1.000	3.24	12/09/21	4.36	1.12 W	0.00	3 of 24 - Sale ²⁵
	1.000	3.24	12/09/21	4.43	1.19 W	0.00	4 of 24 - Sale ²⁵
	1.000	3.24	12/09/21	4.57	1.33 W	0.00	5 of 24 - Sale ²⁵
	1.000	3.24	12/09/21	4.75	1.51 W	0.00	6 of 24 - Sale ²⁵
	1.000	3.24	12/09/21	4.78	1.54 W	0.00	7 of 24 - Sale ²⁵
	1.000	3.24	12/09/21	4.89	1.65 W	0.00	8 of 24 - Sale ²⁵
	1.000	3.24	12/09/21	4.99	1.75 W	0.00	9 of 24 - Sale ²⁵
	1.000	3.24	12/09/21	5.09	1.85 W	0.00	10 of 24 - Sale ²⁵
	1.000	3.24	12/09/21	6.45	3.21 W	0.00	11 of 24 - Sale ²⁵
	1.000	3.24	12/09/21	6.97	3.73 W	0.00	12 of 24 - Sale ²⁵
	1.000	3.24	12/09/21	7.73	4.49 W	0.00	13 of 24 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol: (cont'd)							
	1.000	3.24	12/09/21	7.97	4.73 W	0.00	14 of 24 - Sale ²⁵
	2.000	6.48	12/09/21	11.48	5.00 W	0.00	15 of 24 - Sale ²⁵
	2.000	6.48	12/09/21	16.51	10.03 W	0.00	16 of 24 - Sale ²⁵
	3.000	9.72	12/09/21	26.72	17.00 W	0.00	17 of 24 - Sale ²⁵
	4.000	12.96	12/09/21	18.44	5.48 W	0.00	18 of 24 - Sale ²⁵
	4.000	12.96	12/09/21	23.47	10.51 W	0.00	19 of 24 - Sale ²⁵
	4.000	12.96	12/09/21	28.26	15.30 W	0.00	20 of 24 - Sale ²⁵
	5.000	16.21	12/09/21	21.78	5.57 W	0.00	21 of 24 - Sale ²⁵
	6.000	19.45	12/09/21	34.02	14.57 W	0.00	22 of 24 - Sale ²⁵
	9.000	29.17	12/09/21	52.01	22.84 W	0.00	23 of 24 - Sale ²⁵
	48.000	155.58	12/09/21	174.50	18.92 W	0.00	24 of 24 - Sale ²⁵
12/13/21	101.000	327.33	Various	481.75	154.42 W	0.00	Total of 24 transactions
	34 transactions for 12/14/21. Total proceeds and cost reported to the IRS.						
	1.000	3.03	12/13/21	3.16	0.13 W	0.00	1 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	3.49	0.46 W	0.00	2 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	3.76	0.73 W	0.00	3 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	3.91	0.88 W	0.00	4 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	4.14	1.11 W	0.00	5 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	4.22	1.19 W	0.00	6 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	4.29	1.26 W	0.00	7 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	4.43	1.40 W	0.00	8 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	4.61	1.58 W	0.00	9 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	4.64	1.61 W	0.00	10 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	4.75	1.72 W	0.00	11 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	4.85	1.82 W	0.00	12 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	4.95	1.92 W	0.00	13 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	5.64	2.61 W	0.00	14 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	6.31	3.28 W	0.00	15 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	6.83	3.80 W	0.00	16 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	7.59	4.56 W	0.00	17 of 34 - Sale ²⁵
	1.000	3.03	12/13/21	7.83	4.80 W	0.00	18 of 34 - Sale ²⁵
	2.000	6.06	12/13/21	8.43	2.37 W	0.00	19 of 34 - Sale ²⁵
	2.000	6.06	12/13/21	11.20	5.14 W	0.00	20 of 34 - Sale ²⁵
	2.000	6.06	12/13/21	16.23	10.17 W	0.00	21 of 34 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol: (cont'd)							
	3.000	9.09	12/13/21	12.07	2.98 W	0.00	22 of 34 - Sale ²⁵
	3.000	9.09	12/13/21	12.64	3.55 W	0.00	23 of 34 - Sale ²⁵
	3.000	9.09	12/13/21	26.30	17.21 W	0.00	24 of 34 - Sale ²⁵
	4.000	12.12	12/13/21	15.06	2.94 W	0.00	25 of 34 - Sale ²⁵
	4.000	12.12	12/13/21	17.88	5.76 W	0.00	26 of 34 - Sale ²⁵
	4.000	12.12	12/13/21	22.91	10.79 W	0.00	27 of 34 - Sale ²⁵
	4.000	12.12	12/13/21	27.70	15.58 W	0.00	28 of 34 - Sale ²⁵
	6.000	18.18	12/13/21	33.17	14.99 W	0.00	29 of 34 - Sale ²⁵
	7.000	21.21	12/13/21	24.46	3.25 W	0.00	30 of 34 - Sale ²⁵
	7.000	21.21	12/13/21	24.46	3.25 W	0.00	31 of 34 - Sale ²⁵
	8.000	24.24	12/13/21	26.12	1.88 W	0.00	32 of 34 - Sale ²⁵
	8.000	24.24	12/13/21	45.10	20.86 W	0.00	33 of 34 - Sale ²⁵
	14.000	42.42	12/13/21	52.69	10.27 W	0.00	34 of 34 - Sale ²⁵
12/14/21	99.000	299.97	Various	465.82	165.85 W	0.00	Total of 34 transactions
12/15/21	1.000	2.89	12/13/21	3.26	0.37 W	0.00	Sale ²⁵
	Security total:	6,329.92		7,373.76	1,722.88 W	679.04	
PIEDMONT LITHIUM LIMITED AMERI CAN DEPOSITARY RECEIPTS / CUSIP: 720162106 / Symbol:							
02/01/21	5.000	269.42	01/29/21	252.00	...	17.42	Sale ²⁵
PINDUODUO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 722304102 / Symbol:							
12/03/21	1.000	54.01	11/29/21	71.75	...	-17.74	Sale ²⁵
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	3.000	199.23	01/14/21	198.21	...	1.02	1 of 2 - Sale ²⁵
	1.000	66.41	01/15/21	61.14	...	5.27	2 of 2 - Sale ²⁵
01/19/21	4.000	265.64	Various	259.35	...	6.29	Total of 2 transactions
7 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	1.000	31.25	04/23/21	27.46	...	3.79	1 of 7 - Sale ²⁵
	1.000	31.25	04/27/21	28.85	...	2.40	2 of 7 - Sale ²⁵
	1.000	31.25	04/27/21	28.85	...	2.40	3 of 7 - Sale ²⁵
	1.000	31.25	05/03/21	32.27	...	-1.02	4 of 7 - Sale ²⁵
	1.000	31.25	05/04/21	29.35	...	1.90	5 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	1.000	31.25	05/06/21	22.64	...	8.61	6 of 7 - Sale ²⁵
	1.000	31.25	05/13/21	22.00	...	9.25	7 of 7 - Sale ²⁵
05/28/21	7.000	218.75	Various	191.42	...	27.33	Total of 7 transactions
05/28/21	2.000	62.50	03/17/21	72.00	9.50 W	0.00	Sale ²⁵
	Security total:	546.89		522.77	9.50 W	33.62	
PRECIPIO, INC. COMMON STOCK / CUSIP: 74019L503 / Symbol:							
	9 transactions for 12/01/21. Total proceeds and cost reported to the IRS.						
	25.000	45.49	11/29/21	62.00	...	-16.51	1 of 9 - Sale ²⁵
	11.000	20.02	11/29/21	27.94	...	-7.92	2 of 9 - Sale ²⁵
	3.000	5.46	11/29/21	7.65	...	-2.19	3 of 9 - Sale ²⁵
	4.000	7.28	11/29/21	8.98	...	-1.70	4 of 9 - Sale ²⁵
	4.000	7.28	11/29/21	8.98	...	-1.70	5 of 9 - Sale ²⁵
	2.000	3.64	11/29/21	4.54	...	-0.90	6 of 9 - Sale ²⁵
	5.000	9.10	11/30/21	11.10	...	-2.00	7 of 9 - Sale ²⁵
	1.000	1.82	11/30/21	2.20	...	-0.38	8 of 9 - Sale ²⁵
	1.000	1.82	11/30/21	2.10	...	-0.28	9 of 9 - Sale ²⁵
12/01/21	56.000	101.91	Various	135.49	...	-33.58	Total of 9 transactions
	12 transactions for 12/01/21. Total proceeds and cost reported to the IRS.						
	13.000	23.66	11/29/21	32.63	8.28 W	-0.69	1 of 12 - Sale ²⁵
	1.000	1.82	11/29/21	2.57	0.75 W	0.00	2 of 12 - Sale ²⁵
	2.000	3.64	11/29/21	4.06	0.42 W	0.00	3 of 12 - Sale ²⁵
	2.000	3.64	11/29/21	4.08	0.44 W	0.00	4 of 12 - Sale ²⁵
	4.000	7.28	11/29/21	10.03	2.75 W	0.00	5 of 12 - Sale ²⁵
	5.000	9.10	11/29/21	10.25	1.15 W	0.00	6 of 12 - Sale ²⁵
	7.000	12.74	11/29/21	17.43	4.69 W	0.00	7 of 12 - Sale ²⁵
	8.000	14.55	11/29/21	16.00	1.45 W	0.00	8 of 12 - Sale ²⁵
	8.000	14.56	11/29/21	16.12	1.56 W	0.00	9 of 12 - Sale ²⁵
	11.000	20.02	11/29/21	27.56	7.54 W	0.00	10 of 12 - Sale ²⁵
	25.000	45.50	11/29/21	56.25	10.75 W	0.00	11 of 12 - Sale ²⁵
	50.000	91.00	11/29/21	115.50	24.50 W	0.00	12 of 12 - Sale ²⁵
12/01/21	136.000	247.51	Various	312.48	64.28 W	-0.69	Total of 12 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PRECIPIO, INC. COMMON STOCK / CUSIP: 74019L503 / Symbol: (cont'd)							
7 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	7.000	11.59	12/01/21	17.43	...	-5.84	1 of 7 - Sale ²⁵
	8.000	13.24	12/01/21	16.01	...	-2.77	2 of 7 - Sale ²⁵
	3.000	4.97	12/01/21	7.52	...	-2.55	3 of 7 - Sale ²⁵
	12.000	19.86	12/03/21	29.88	...	-10.02	4 of 7 - Sale ²⁵
	11.000	18.21	12/03/21	27.34	...	-9.13	5 of 7 - Sale ²⁵
	1.000	1.65	12/03/21	2.55	...	-0.90	6 of 7 - Sale ²⁵
	1.000	1.65	12/03/21	2.49	...	-0.84	7 of 7 - Sale ²⁵
12/06/21	43.000	71.17	Various	103.22	...	-32.05	Total of 7 transactions
	Security total:	420.59		551.19	64.28 W	-66.32	
PROFOUND MEDICAL CORP. COMMON STOCK / CUSIP: 74319B502 / Symbol:							
3 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	5.000	53.45	12/14/21	52.25	...	1.20	1 of 3 - Sale ²⁵
	15.000	160.35	12/14/21	154.09	...	6.26	2 of 3 - Sale ²⁵
	7.000	74.83	12/15/21	70.84	...	3.99	3 of 3 - Sale ²⁵
12/16/21	27.000	288.63	Various	277.18	...	11.45	Total of 3 transactions
PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol:							
6 transactions for 10/04/21. Total proceeds and cost reported to the IRS.							
	10.000	19.60	10/01/21	19.40	...	0.20	1 of 6 - Sale ²⁵
	3.000	5.88	10/01/21	5.04	...	0.84	2 of 6 - Sale ²⁵
	8.000	15.68	10/01/21	13.44	...	2.24	3 of 6 - Sale ²⁵
	25.000	49.00	10/01/21	45.00	...	4.00	4 of 6 - Sale ²⁵
	1.000	1.96	10/04/21	1.84	...	0.12	5 of 6 - Sale ²⁵
	10.000	19.59	10/04/21	18.85	...	0.74	6 of 6 - Sale ²⁵
10/04/21	57.000	111.71	Various	103.57	...	8.14	Total of 6 transactions
10/04/21	25.000	49.00	10/01/21	52.75	3.75 W	0.00	Sale ²⁵
3 transactions for 10/12/21. Total proceeds and cost reported to the IRS.							
	3.000	6.39	10/11/21	4.85	...	1.54	1 of 3 - Sale ²⁵
	20.000	42.60	10/11/21	35.20	...	7.40	2 of 3 - Sale ²⁵
	25.000	53.25	10/11/21	45.63	...	7.62	3 of 3 - Sale ²⁵
10/12/21	48.000	102.24	Various	85.68	...	16.56	Total of 3 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol: (cont'd)							
10/18/21	50.000	127.50	10/18/21	123.00	...	4.50	Sale ²⁵
	<i>2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	3.28	10/18/21	2.66	...	0.62	1 of 2 - Sale ²⁵
	100.000	327.99	10/18/21	269.00	...	58.99	2 of 2 - Sale ²⁵
10/19/21	101.000	331.27	Various	271.66	...	59.61	Total of 2 transactions
	<i>5 transactions for 11/05/21. Total proceeds and cost reported to the IRS.</i>						
	5.000	19.00	10/28/21	17.58	...	1.42	1 of 5 - Sale ²⁵
	5.000	19.00	10/29/21	18.10	...	0.90	2 of 5 - Sale ²⁵
	10.000	38.00	11/01/21	36.65	...	1.35	3 of 5 - Sale ²⁵
	7.000	26.60	11/02/21	21.18	...	5.42	4 of 5 - Sale ²⁵
	22.000	83.59	11/03/21	67.76	...	15.83	5 of 5 - Sale ²⁵
11/05/21	49.000	186.19	Various	161.27	...	24.92	Total of 5 transactions
	<i>6 transactions for 11/05/21. Total proceeds and cost reported to the IRS.</i>						
	10.000	38.00	10/26/21	40.00	2.00 W	0.00	1 of 6 - Sale ²⁵
	20.000	76.00	10/26/21	82.59	6.59 W	0.00	2 of 6 - Sale ²⁵
	5.000	19.00	10/27/21	19.65	0.65 W	0.00	3 of 6 - Sale ²⁵
	10.000	38.00	10/27/21	38.50	0.50 W	0.00	4 of 6 - Sale ²⁵
	1.000	3.80	10/28/21	3.85	0.05 W	0.00	5 of 6 - Sale ²⁵
	5.000	19.00	10/28/21	19.50	0.50 W	0.00	6 of 6 - Sale ²⁵
11/05/21	51.000	193.80	Various	204.09	10.29 W	0.00	Total of 6 transactions
	<i>2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.</i>						
	5.000	29.75	11/16/21	23.05	...	6.70	1 of 2 - Sale ²⁵
	20.000	119.00	11/16/21	94.78	...	24.22	2 of 2 - Sale ²⁵
11/17/21	25.000	148.75	Various	117.83	...	30.92	Total of 2 transactions
	<i>8 transactions for 11/24/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	3.76	11/17/21	5.31	1.55 W	0.00	1 of 8 - Sale ²⁵
	4.000	15.04	11/17/21	21.46	6.42 W	0.00	2 of 8 - Sale ²⁵
	5.000	18.80	11/17/21	26.98	8.18 W	0.00	3 of 8 - Sale ²⁵
	5.000	18.80	11/17/21	27.33	8.53 W	0.00	4 of 8 - Sale ²⁵
	10.000	37.60	11/17/21	53.15	15.55 W	0.00	5 of 8 - Sale ²⁵
	1.000	3.76	11/22/21	4.44	0.68 W	0.00	6 of 8 - Sale ²⁵
	1.000	3.76	11/22/21	4.68	0.92 W	0.00	7 of 8 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol: (cont'd)							
11/24/21	24.000	90.23	11/22/21	109.92	19.69 W	0.00	8 of 8 - Sale ²⁵
	51.000	191.75	Various	253.27	61.52 W	0.00	Total of 8 transactions
	3 transactions for 12/01/21. Total proceeds and cost reported to the IRS.						
	1.000	3.19	11/29/21	3.11	...	0.08	1 of 3 - Sale ²⁵
	15.000	47.84	11/29/21	46.35	...	1.49	2 of 3 - Sale ²⁵
	5.000	15.95	11/30/21	15.75	...	0.20	3 of 3 - Sale ²⁵
12/01/21	21.000	66.98	Various	65.21	...	1.77	Total of 3 transactions
	11 transactions for 12/01/21. Total proceeds and cost reported to the IRS.						
	1.000	3.19	11/23/21	5.82	2.63 W	0.00	1 of 11 - Sale ²⁵
	1.000	3.19	11/24/21	4.38	1.19 W	0.00	2 of 11 - Sale ²⁵
	1.000	3.19	11/24/21	4.62	1.43 W	0.00	3 of 11 - Sale ²⁵
	1.000	3.19	11/24/21	5.25	2.06 W	0.00	4 of 11 - Sale ²⁵
	4.000	12.76	11/24/21	21.22	8.46 W	0.00	5 of 11 - Sale ²⁵
	4.000	12.76	11/24/21	21.62	8.86 W	0.00	6 of 11 - Sale ²⁵
	5.000	15.95	11/24/21	26.68	10.73 W	0.00	7 of 11 - Sale ²⁵
	10.000	31.90	11/24/21	52.55	20.65 W	0.00	8 of 11 - Sale ²⁵
	24.000	76.56	11/24/21	108.49	31.93 W	0.00	9 of 11 - Sale ²⁵
	50.000	159.49	11/24/21	185.00	25.51 W	0.00	10 of 11 - Sale ²⁵
	20.000	63.80	11/29/21	67.60	3.80 W	0.00	11 of 11 - Sale ²⁵
12/01/21	121.000	385.98	Various	503.23	117.25 W	0.00	Total of 11 transactions
	13 transactions for 12/02/21. Total proceeds and cost reported to the IRS.						
	1.000	2.77	12/01/21	3.06	0.29 W	0.00	1 of 13 - Sale ²⁵
	1.000	2.77	12/01/21	4.34	1.57 W	0.00	2 of 13 - Sale ²⁵
	1.000	2.77	12/01/21	4.58	1.81 W	0.00	3 of 13 - Sale ²⁵
	1.000	2.77	12/01/21	5.21	2.44 W	0.00	4 of 13 - Sale ²⁵
	1.000	2.77	12/01/21	5.78	3.01 W	0.00	5 of 13 - Sale ²⁵
	4.000	11.08	12/01/21	21.06	9.98 W	0.00	6 of 13 - Sale ²⁵
	4.000	11.08	12/01/21	21.46	10.38 W	0.00	7 of 13 - Sale ²⁵
	5.000	13.85	12/01/21	26.48	12.63 W	0.00	8 of 13 - Sale ²⁵
	9.000	24.93	12/01/21	28.35	3.42 W	0.00	9 of 13 - Sale ²⁵
	10.000	27.70	12/01/21	52.15	24.45 W	0.00	10 of 13 - Sale ²⁵
	20.000	55.39	12/01/21	66.80	11.41 W	0.00	11 of 13 - Sale ²⁵
	24.000	66.48	12/01/21	107.53	41.05 W	0.00	12 of 13 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol: (cont'd)							
12/02/21	50.000	138.49	12/01/21	183.01	44.52 W	0.00	13 of 13 - Sale ²⁵
	131.000	362.85	Various	529.81	166.96 W	0.00	Total of 13 transactions
	12 transactions for 12/03/21. Total proceeds and cost reported to the IRS.						
	1.000	2.68	12/02/21	4.27	1.59 W	0.00	1 of 12 - Sale ²⁵
	1.000	2.68	12/02/21	4.51	1.83 W	0.00	2 of 12 - Sale ²⁵
	1.000	2.68	12/02/21	5.14	2.46 W	0.00	3 of 12 - Sale ²⁵
	1.000	2.68	12/02/21	5.71	3.03 W	0.00	4 of 12 - Sale ²⁵
	4.000	10.72	12/02/21	20.78	10.06 W	0.00	5 of 12 - Sale ²⁵
	4.000	10.73	12/02/21	21.18	10.45 W	0.00	6 of 12 - Sale ²⁵
	5.000	13.41	12/02/21	26.13	12.72 W	0.00	7 of 12 - Sale ²⁵
	8.000	21.45	12/02/21	24.64	3.19 W	0.00	8 of 12 - Sale ²⁵
	10.000	26.81	12/02/21	51.45	24.64 W	0.00	9 of 12 - Sale ²⁵
	20.000	53.63	12/02/21	65.41	11.78 W	0.00	10 of 12 - Sale ²⁵
	24.000	64.35	12/02/21	105.85	41.50 W	0.00	11 of 12 - Sale ²⁵
	50.000	134.06	12/02/21	179.52	45.46 W	0.00	12 of 12 - Sale ²⁵
12/03/21	129.000	345.88	Various	514.59	168.71 W	0.00	Total of 12 transactions
	17 transactions for 12/06/21. Total proceeds and cost reported to the IRS.						
	1.000	2.21	12/02/21	3.08	0.87 W	0.00	1 of 17 - Sale ²⁵
	1.000	2.21	12/03/21	2.89	0.68 W	0.00	2 of 17 - Sale ²⁵
	1.000	2.21	12/03/21	4.19	1.98 W	0.00	3 of 17 - Sale ²⁵
	1.000	2.21	12/03/21	4.43	2.22 W	0.00	4 of 17 - Sale ²⁵
	1.000	2.21	12/03/21	5.06	2.85 W	0.00	5 of 17 - Sale ²⁵
	1.000	2.21	12/03/21	5.63	3.42 W	0.00	6 of 17 - Sale ²⁵
	4.000	8.84	12/03/21	20.46	11.62 W	0.00	7 of 17 - Sale ²⁵
	4.000	8.84	12/03/21	20.85	12.01 W	0.00	8 of 17 - Sale ²⁵
	5.000	11.05	12/03/21	25.72	14.67 W	0.00	9 of 17 - Sale ²⁵
	8.000	17.68	12/03/21	23.99	6.31 W	0.00	10 of 17 - Sale ²⁵
	9.000	19.89	12/03/21	21.15	1.26 W	0.00	11 of 17 - Sale ²⁵
	10.000	22.10	12/03/21	23.48	1.38 W	0.00	12 of 17 - Sale ²⁵
	10.000	22.10	12/03/21	50.64	28.54 W	0.00	13 of 17 - Sale ²⁵
	20.000	44.19	12/03/21	52.00	7.81 W	0.00	14 of 17 - Sale ²⁵
	20.000	44.20	12/03/21	63.78	19.58 W	0.00	15 of 17 - Sale ²⁵
	24.000	53.04	12/03/21	103.90	50.86 W	0.00	16 of 17 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol: (cont'd)							
	50.000	110.49	12/03/21	175.46	64.97 W	0.00	17 of 17 - Sale ²⁵
12/06/21	170.000	375.68	Various	606.71	231.03 W	0.00	Total of 17 transactions
12/07/21	19.000	53.63	12/06/21	48.27	...	5.36	Sale ²⁵
	14 transactions for 12/07/21. Total proceeds and cost reported to the IRS.						
	1.000	2.82	12/03/21	3.22	0.40 W	0.00	1 of 14 - Sale ²⁵
	1.000	2.82	12/06/21	2.83	0.01 W	0.00	2 of 14 - Sale ²⁵
	1.000	2.82	12/06/21	4.13	1.31 W	0.00	3 of 14 - Sale ²⁵
	1.000	2.82	12/06/21	4.37	1.55 W	0.00	4 of 14 - Sale ²⁵
	1.000	2.82	12/06/21	5.00	2.18 W	0.00	5 of 14 - Sale ²⁵
	1.000	2.82	12/06/21	5.57	2.75 W	0.00	6 of 14 - Sale ²⁵
	4.000	11.29	12/06/21	20.22	8.93 W	0.00	7 of 14 - Sale ²⁵
	4.000	11.29	12/06/21	20.61	9.32 W	0.00	8 of 14 - Sale ²⁵
	5.000	14.11	12/06/21	25.42	11.31 W	0.00	9 of 14 - Sale ²⁵
	8.000	22.58	12/06/21	23.51	0.93 W	0.00	10 of 14 - Sale ²⁵
	10.000	28.22	12/06/21	50.04	21.82 W	0.00	11 of 14 - Sale ²⁵
	20.000	56.45	12/06/21	62.58	6.13 W	0.00	12 of 14 - Sale ²⁵
	24.000	67.74	12/06/21	102.46	34.72 W	0.00	13 of 14 - Sale ²⁵
	50.000	141.13	12/06/21	172.47	31.34 W	0.00	14 of 14 - Sale ²⁵
12/07/21	131.000	369.73	Various	502.43	132.70 W	0.00	Total of 14 transactions
	21 transactions for 12/13/21. Total proceeds and cost reported to the IRS.						
	1.000	2.46	12/06/21	2.54	0.08 W	0.00	1 of 21 - Sale ²⁵
	1.000	2.46	12/09/21	3.15	0.69 W	0.00	2 of 21 - Sale ²⁵
	1.000	2.46	12/09/21	3.18	0.72 W	0.00	3 of 21 - Sale ²⁵
	1.000	2.46	12/09/21	4.06	1.60 W	0.00	4 of 21 - Sale ²⁵
	1.000	2.46	12/09/21	4.30	1.84 W	0.00	5 of 21 - Sale ²⁵
	1.000	2.46	12/09/21	4.93	2.47 W	0.00	6 of 21 - Sale ²⁵
	1.000	2.46	12/09/21	5.50	3.04 W	0.00	7 of 21 - Sale ²⁵
	4.000	9.85	12/09/21	19.93	10.08 W	0.00	8 of 21 - Sale ²⁵
	4.000	9.85	12/09/21	20.32	10.47 W	0.00	9 of 21 - Sale ²⁵
	5.000	12.31	12/09/21	25.06	12.75 W	0.00	10 of 21 - Sale ²⁵
	7.000	17.24	12/09/21	22.23	4.99 W	0.00	11 of 21 - Sale ²⁵
	9.000	22.16	12/09/21	26.01	3.85 W	0.00	12 of 21 - Sale ²⁵
	10.000	24.62	12/09/21	28.88	4.26 W	0.00	13 of 21 - Sale ²⁵
	10.000	24.62	12/09/21	31.87	7.25 W	0.00	14 of 21 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol: (cont'd)							
	10.000	24.62	12/09/21	49.32	24.70 W	0.00	15 of 21 - Sale ²⁵
	24.000	59.09	12/09/21	100.72	41.63 W	0.00	16 of 21 - Sale ²⁵
	29.000	71.40	12/09/21	97.93	26.53 W	0.00	17 of 21 - Sale ²⁵
	3.000	7.39	12/10/21	9.37	1.98 W	0.00	18 of 21 - Sale ²⁵
	8.000	19.69	12/10/21	20.89	1.20 W	0.00	19 of 21 - Sale ²⁵
	8.000	19.70	12/10/21	22.41	2.71 W	0.00	20 of 21 - Sale ²⁵
	12.000	29.55	12/10/21	33.62	4.07 W	0.00	21 of 21 - Sale ²⁵
12/13/21	150.000	369.31	Various	536.22	166.91 W	0.00	Total of 21 transactions
	21 transactions for 12/14/21. Total proceeds and cost reported to the IRS.						
	1.000	2.42	12/13/21	2.48	0.06 W	0.00	1 of 21 - Sale ²⁵
	1.000	2.42	12/13/21	3.09	0.67 W	0.00	2 of 21 - Sale ²⁵
	1.000	2.42	12/13/21	3.12	0.70 W	0.00	3 of 21 - Sale ²⁵
	1.000	2.42	12/13/21	4.00	1.58 W	0.00	4 of 21 - Sale ²⁵
	1.000	2.42	12/13/21	4.24	1.82 W	0.00	5 of 21 - Sale ²⁵
	1.000	2.42	12/13/21	4.87	2.45 W	0.00	6 of 21 - Sale ²⁵
	1.000	2.42	12/13/21	5.44	3.02 W	0.00	7 of 21 - Sale ²⁵
	3.000	7.26	12/13/21	9.18	1.92 W	0.00	8 of 21 - Sale ²⁵
	4.000	9.68	12/13/21	19.68	10.00 W	0.00	9 of 21 - Sale ²⁵
	4.000	9.68	12/13/21	20.07	10.39 W	0.00	10 of 21 - Sale ²⁵
	5.000	12.10	12/13/21	24.75	12.65 W	0.00	11 of 21 - Sale ²⁵
	7.000	16.94	12/13/21	17.85	0.91 W	0.00	12 of 21 - Sale ²⁵
	7.000	16.94	12/13/21	21.79	4.85 W	0.00	13 of 21 - Sale ²⁵
	8.000	19.36	12/13/21	21.91	2.55 W	0.00	14 of 21 - Sale ²⁵
	9.000	21.78	12/13/21	25.45	3.67 W	0.00	15 of 21 - Sale ²⁵
	10.000	24.19	12/13/21	28.26	4.07 W	0.00	16 of 21 - Sale ²⁵
	10.000	24.20	12/13/21	31.25	7.05 W	0.00	17 of 21 - Sale ²⁵
	10.000	24.20	12/13/21	48.70	24.50 W	0.00	18 of 21 - Sale ²⁵
	12.000	29.04	12/13/21	32.87	3.83 W	0.00	19 of 21 - Sale ²⁵
	24.000	58.08	12/13/21	99.23	41.15 W	0.00	20 of 21 - Sale ²⁵
	29.000	70.17	12/13/21	96.13	25.96 W	0.00	21 of 21 - Sale ²⁵
12/14/21	149.000	360.56	Various	524.36	163.80 W	0.00	Total of 21 transactions
	4 transactions for 12/16/21. Total proceeds and cost reported to the IRS.						
	1.000	2.58	12/13/21	2.41	...	0.17	1 of 4 - Sale ²⁵
	1.000	2.58	12/15/21	2.48	...	0.10	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol: (cont'd)							
	1.000	2.58	12/15/21	2.42	...	0.16	3 of 4 - Sale ²⁵
	6.000	15.50	12/15/21	14.91	...	0.59	4 of 4 - Sale ²⁵
12/16/21	9.000	23.24	Various	22.22	...	1.02	Total of 4 transactions
	21 transactions for 12/16/21. Total proceeds and cost reported to the IRS.						
	1.000	2.58	12/14/21	2.75	0.17 W	0.00	1 of 21 - Sale ²⁵
	1.000	2.58	12/14/21	4.42	1.84 W	0.00	2 of 21 - Sale ²⁵
	1.000	2.58	12/14/21	5.05	2.47 W	0.00	3 of 21 - Sale ²⁵
	1.000	2.58	12/14/21	5.62	3.04 W	0.00	4 of 21 - Sale ²⁵
	4.000	10.33	12/14/21	20.40	10.07 W	0.00	5 of 21 - Sale ²⁵
	4.000	10.33	12/14/21	20.79	10.46 W	0.00	6 of 21 - Sale ²⁵
	5.000	12.91	12/14/21	25.65	12.74 W	0.00	7 of 21 - Sale ²⁵
	10.000	25.82	12/14/21	50.50	24.68 W	0.00	8 of 21 - Sale ²⁵
	23.000	59.40	12/14/21	99.24	39.84 W	0.00	9 of 21 - Sale ²⁵
	1.000	2.58	12/15/21	3.02	0.44 W	0.00	10 of 21 - Sale ²⁵
	1.000	2.58	12/15/21	3.03	0.45 W	0.00	11 of 21 - Sale ²⁵
	1.000	2.58	12/15/21	3.08	0.50 W	0.00	12 of 21 - Sale ²⁵
	1.000	2.58	12/15/21	3.96	1.38 W	0.00	13 of 21 - Sale ²⁵
	1.000	2.58	12/15/21	4.09	1.51 W	0.00	14 of 21 - Sale ²⁵
	2.000	5.17	12/15/21	5.99	0.82 W	0.00	15 of 21 - Sale ²⁵
	7.000	18.08	12/15/21	21.51	3.43 W	0.00	16 of 21 - Sale ²⁵
	8.000	20.66	12/15/21	21.39	0.73 W	0.00	17 of 21 - Sale ²⁵
	8.000	20.66	12/15/21	22.10	1.44 W	0.00	18 of 21 - Sale ²⁵
	10.000	25.83	12/15/21	30.85	5.02 W	0.00	19 of 21 - Sale ²⁵
	12.000	30.99	12/15/21	32.09	1.10 W	0.00	20 of 21 - Sale ²⁵
	29.000	74.89	12/15/21	94.98	20.09 W	0.00	21 of 21 - Sale ²⁵
12/16/21	131.000	338.29	Various	480.51	142.22 W	0.00	Total of 21 transactions
12/20/21	1.000	2.64	12/15/21	2.76	0.12 W	0.00	Sale ²⁵
	46 transactions for 12/30/21. Total proceeds and cost reported to the IRS.						
	1.000	2.07	12/28/21	2.10	0.03 W	0.00	1 of 46 - Sale ²⁵
	1.000	2.07	12/28/21	2.23	0.16 W	0.00	2 of 46 - Sale ²⁵
	1.000	2.07	12/28/21	2.42	0.35 W	0.00	3 of 46 - Sale ²⁵
	1.000	2.07	12/28/21	2.42	0.35 W	0.00	4 of 46 - Sale ²⁵
	1.000	2.07	12/28/21	2.43	0.36 W	0.00	5 of 46 - Sale ²⁵
	1.000	2.07	12/28/21	2.43	0.36 W	0.00	6 of 46 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol: (cont'd)							
	1.000	2.07	12/28/21	2.48	0.41 W	0.00	7 of 46 - Sale ²⁵
	1.000	2.07	12/28/21	2.51	0.44 W	0.00	8 of 46 - Sale ²⁵
	1.000	2.07	12/28/21	2.52	0.45 W	0.00	9 of 46 - Sale ²⁵
	1.000	2.07	12/28/21	3.44	1.37 W	0.00	10 of 46 - Sale ²⁵
	1.000	2.07	12/28/21	3.57	1.50 W	0.00	11 of 46 - Sale ²⁵
	1.000	2.07	12/28/21	3.90	1.83 W	0.00	12 of 46 - Sale ²⁵
	1.000	2.07	12/28/21	4.52	2.45 W	0.00	13 of 46 - Sale ²⁵
	1.000	2.07	12/28/21	4.53	2.46 W	0.00	14 of 46 - Sale ²⁵
	1.000	2.07	12/28/21	5.01	2.94 W	0.00	15 of 46 - Sale ²⁵
	1.000	2.07	12/28/21	5.10	3.03 W	0.00	16 of 46 - Sale ²⁵
	2.000	4.14	12/28/21	4.78	0.64 W	0.00	17 of 46 - Sale ²⁵
	2.000	4.14	12/28/21	4.96	0.82 W	0.00	18 of 46 - Sale ²⁵
	3.000	6.21	12/28/21	7.13	0.92 W	0.00	19 of 46 - Sale ²⁵
	3.000	6.21	12/28/21	7.40	1.19 W	0.00	20 of 46 - Sale ²⁵
	4.000	8.28	12/28/21	18.31	10.03 W	0.00	21 of 46 - Sale ²⁵
	4.000	8.28	12/28/21	18.34	10.06 W	0.00	22 of 46 - Sale ²⁵
	4.000	8.28	12/28/21	18.70	10.42 W	0.00	23 of 46 - Sale ²⁵
	5.000	10.35	12/28/21	12.11	1.76 W	0.00	24 of 46 - Sale ²⁵
	5.000	10.35	12/28/21	12.56	2.21 W	0.00	25 of 46 - Sale ²⁵
	5.000	10.35	12/28/21	23.04	12.69 W	0.00	26 of 46 - Sale ²⁵
	6.000	12.42	12/28/21	16.52	4.10 W	0.00	27 of 46 - Sale ²⁵
	7.000	14.49	12/28/21	17.29	2.80 W	0.00	28 of 46 - Sale ²⁵
	8.000	16.56	12/28/21	16.57	0.01 W	0.00	29 of 46 - Sale ²⁵
	8.000	16.56	12/28/21	17.28	0.72 W	0.00	30 of 46 - Sale ²⁵
	8.000	16.56	12/28/21	19.86	3.30 W	0.00	31 of 46 - Sale ²⁵
	10.000	20.70	12/28/21	44.38	23.68 W	0.00	32 of 46 - Sale ²⁵
	10.000	20.70	12/28/21	45.28	24.58 W	0.00	33 of 46 - Sale ²⁵
	12.000	24.84	12/28/21	24.86	0.02 W	0.00	34 of 46 - Sale ²⁵
	23.000	47.60	12/28/21	61.47	13.87 W	0.00	35 of 46 - Sale ²⁵
	23.000	47.61	12/28/21	87.22	39.61 W	0.00	36 of 46 - Sale ²⁵
	0.855	1.77	12/29/21	3.00	1.23 W	0.00	37 of 46 - Sale ²⁵
	1.000	2.07	12/29/21	3.17	1.10 W	0.00	38 of 46 - Sale ²⁵
	1.000	2.07	12/29/21	3.30	1.23 W	0.00	39 of 46 - Sale ²⁵
	1.000	2.07	12/29/21	3.69	1.62 W	0.00	40 of 46 - Sale ²⁵

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(continued)

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PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol: (cont'd)							
	1.000	2.07	12/29/21	4.33	2.26 W	0.00	41 of 46 - Sale ²⁵
	4.000	8.28	12/29/21	17.49	9.21 W	0.00	42 of 46 - Sale ²⁵
	4.000	8.28	12/29/21	17.61	9.33 W	0.00	43 of 46 - Sale ²⁵
	5.000	10.35	12/29/21	17.94	7.59 W	0.00	44 of 46 - Sale ²⁵
	6.000	12.42	12/29/21	14.90	2.48 W	0.00	45 of 46 - Sale ²⁵
	17.145	35.48	12/29/21	60.39	24.91 W	0.00	46 of 46 - Sale ²⁵
12/30/21	209.000	432.61	Various	675.49	242.88 W	0.00	Total of 46 transactions
12/31/21	1.000	2.09	12/29/21	1.96	...	0.13	Sale ²⁵
	34 transactions for 12/31/21. Total proceeds and cost reported to the IRS.						
	0.783	1.64	12/29/21	1.88	0.24 W	0.00	1 of 34 - Sale ²⁵
	0.647	1.35	12/30/21	1.76	0.41 W	0.00	2 of 34 - Sale ²⁵
	0.855	1.79	12/30/21	3.05	1.26 W	0.00	3 of 34 - Sale ²⁵
	1.000	2.09	12/30/21	2.16	0.07 W	0.00	4 of 34 - Sale ²⁵
	1.000	2.09	12/30/21	2.48	0.39 W	0.00	5 of 34 - Sale ²⁵
	1.000	2.09	12/30/21	2.48	0.39 W	0.00	6 of 34 - Sale ²⁵
	1.000	2.09	12/30/21	2.49	0.40 W	0.00	7 of 34 - Sale ²⁵
	1.000	2.09	12/30/21	2.49	0.40 W	0.00	8 of 34 - Sale ²⁵
	1.000	2.09	12/30/21	2.54	0.45 W	0.00	9 of 34 - Sale ²⁵
	1.000	2.09	12/30/21	3.75	1.66 W	0.00	10 of 34 - Sale ²⁵
	1.000	2.09	12/30/21	4.39	2.30 W	0.00	11 of 34 - Sale ²⁵
	1.000	2.09	12/30/21	4.58	2.49 W	0.00	12 of 34 - Sale ²⁵
	1.000	2.09	12/30/21	5.07	2.98 W	0.00	13 of 34 - Sale ²⁵
	2.000	4.18	12/30/21	4.90	0.72 W	0.00	14 of 34 - Sale ²⁵
	2.000	4.18	12/30/21	5.07	0.89 W	0.00	15 of 34 - Sale ²⁵
	2.440	5.10	12/30/21	8.72	3.62 W	0.00	16 of 34 - Sale ²⁵
	3.000	6.27	12/30/21	7.30	1.03 W	0.00	17 of 34 - Sale ²⁵
	4.000	8.36	12/30/21	17.72	9.36 W	0.00	18 of 34 - Sale ²⁵
	4.000	8.36	12/30/21	17.84	9.48 W	0.00	19 of 34 - Sale ²⁵
	4.000	8.36	12/30/21	18.57	10.21 W	0.00	20 of 34 - Sale ²⁵
	5.000	10.45	12/30/21	12.40	1.95 W	0.00	21 of 34 - Sale ²⁵
	5.000	10.45	12/30/21	18.22	7.77 W	0.00	22 of 34 - Sale ²⁵
	7.000	14.63	12/30/21	17.69	3.06 W	0.00	23 of 34 - Sale ²⁵
	8.000	16.72	12/30/21	17.03	0.31 W	0.00	24 of 34 - Sale ²⁵
	8.000	16.72	12/30/21	20.32	3.60 W	0.00	25 of 34 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol: (cont'd)							
	10.000	20.90	12/30/21	44.95	24.05 W	0.00	26 of 34 - Sale ²⁵
	12.000	25.08	12/30/21	25.55	0.47 W	0.00	27 of 34 - Sale ²⁵
	21.570	45.08	12/30/21	58.90	13.82 W	0.00	28 of 34 - Sale ²⁵
	1.000	2.09	12/30/21	3.22	1.13 W	0.00	29 of 34 - Sale ²⁵
	1.000	2.09	12/30/21	3.35	1.26 W	0.00	30 of 34 - Sale ²⁵
	6.000	12.54	12/30/21	15.20	2.66 W	0.00	31 of 34 - Sale ²⁵
	8.000	16.72	12/30/21	17.74	1.02 W	0.00	32 of 34 - Sale ²⁵
	14.705	30.73	12/30/21	52.54	21.81 W	0.00	33 of 34 - Sale ²⁵
	71.000	148.36	12/30/21	150.52	2.16 W	0.00	34 of 34 - Sale ²⁵
12/31/21	212.000	443.05	Various	576.87	133.82 W	0.00	Total of 34 transactions
	Security total:	5,374.73		6,963.76	1,741.96 W	152.93	
PROTAGONIST THERAPEUTICS, INC. COMMON STOCK / CUSIP: 74366E102 / Symbol:							
06/14/21	1.000	40.92	06/11/21	39.75	...	1.17	Sale ²⁵
09/21/21	2.000	28.10	09/20/21	26.20	...	1.90	Sale ²⁵
	Security total:	69.02		65.95	...	3.07	
QUALIGEN THERAPEUTICS, INC. COMMON STOCK / CUSIP: 74754R103 / Symbol:							
<i>8 transactions for 11/29/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	9.75	11/23/21	9.18	...	0.57	1 of 8 - Sale ²⁵
	6.000	11.70	11/23/21	9.84	...	1.86	2 of 8 - Sale ²⁵
	30.000	58.49	11/23/21	56.40	...	2.09	3 of 8 - Sale ²⁵
	25.000	48.75	11/23/21	46.38	...	2.37	4 of 8 - Sale ²⁵
	1.000	1.95	11/24/21	1.65	...	0.30	5 of 8 - Sale ²⁵
	2.000	3.90	11/24/21	3.60	...	0.30	6 of 8 - Sale ²⁵
	20.000	39.00	11/24/21	35.40	...	3.60	7 of 8 - Sale ²⁵
	20.000	38.99	11/24/21	30.50	...	8.49	8 of 8 - Sale ²⁵
11/29/21	109.000	212.53	Various	192.95	...	19.58	Total of 8 transactions
<i>2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.95	11/23/21	2.07	0.12 W	0.00	1 of 2 - Sale ²⁵
	23.000	44.85	11/23/21	48.30	3.45 W	0.00	2 of 2 - Sale ²⁵
11/29/21	24.000	46.80	Various	50.37	3.57 W	0.00	Total of 2 transactions
12/02/21	1.000	1.40	11/30/21	1.39	...	0.01	Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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QUALIGEN THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 74754R103 / Symbol: (cont'd)							
11 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	1.000	1.40	11/29/21	1.80	0.40 W	0.00	1 of 11 - Sale ²⁵
	2.000	2.80	11/29/21	3.04	0.24 W	0.00	2 of 11 - Sale ²⁵
	2.000	2.80	11/29/21	5.93	3.13 W	0.00	3 of 11 - Sale ²⁵
	21.000	29.40	11/29/21	38.43	9.03 W	0.00	4 of 11 - Sale ²⁵
	78.000	109.19	11/29/21	131.04	21.85 W	0.00	5 of 11 - Sale ²⁵
	1.000	1.40	11/30/21	1.46	0.06 W	0.00	6 of 11 - Sale ²⁵
	1.000	1.40	11/30/21	1.46	0.06 W	0.00	7 of 11 - Sale ²⁵
	1.000	1.40	11/30/21	1.48	0.08 W	0.00	8 of 11 - Sale ²⁵
	3.000	4.20	11/30/21	4.34	0.14 W	0.00	9 of 11 - Sale ²⁵
	4.000	5.60	11/30/21	5.88	0.28 W	0.00	10 of 11 - Sale ²⁵
	1.000	1.40	12/01/21	1.46	0.06 W	0.00	11 of 11 - Sale ²⁵
12/02/21	115.000	160.99	Various	196.32	35.33 W	0.00	Total of 11 transactions
14 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	1.000	1.28	12/02/21	1.35	0.07 W	0.00	1 of 14 - Sale ²⁵
	1.000	1.28	12/02/21	1.41	0.13 W	0.00	2 of 14 - Sale ²⁵
	1.000	1.28	12/02/21	1.41	0.13 W	0.00	3 of 14 - Sale ²⁵
	1.000	1.28	12/02/21	1.41	0.13 W	0.00	4 of 14 - Sale ²⁵
	1.000	1.28	12/02/21	1.43	0.15 W	0.00	5 of 14 - Sale ²⁵
	1.000	1.28	12/02/21	1.75	0.47 W	0.00	6 of 14 - Sale ²⁵
	1.000	1.29	12/02/21	1.32	0.03 W	0.00	7 of 14 - Sale ²⁵
	2.000	2.57	12/02/21	2.94	0.37 W	0.00	8 of 14 - Sale ²⁵
	2.000	2.57	12/02/21	5.83	3.26 W	0.00	9 of 14 - Sale ²⁵
	3.000	3.85	12/02/21	4.19	0.34 W	0.00	10 of 14 - Sale ²⁵
	4.000	5.13	12/02/21	5.68	0.55 W	0.00	11 of 14 - Sale ²⁵
	4.000	5.14	12/02/21	5.28	0.14 W	0.00	12 of 14 - Sale ²⁵
	21.000	26.95	12/02/21	37.38	10.43 W	0.00	13 of 14 - Sale ²⁵
	78.000	100.08	12/02/21	127.15	27.07 W	0.00	14 of 14 - Sale ²⁵
12/03/21	121.000	155.26	Various	198.53	43.27 W	0.00	Total of 14 transactions
17 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	1.000	1.10	12/03/21	1.28	0.18 W	0.00	1 of 17 - Sale ²⁵
	1.000	1.10	12/03/21	1.38	0.28 W	0.00	2 of 17 - Sale ²⁵
	1.000	1.10	12/03/21	1.38	0.28 W	0.00	3 of 17 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUALIGEN THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 74754R103 / Symbol: (cont'd)							
	1.000	1.10	12/03/21	1.72	0.62 W	0.00	4 of 17 - Sale ²⁵
	1.000	1.11	12/03/21	1.32	0.21 W	0.00	5 of 17 - Sale ²⁵
	1.000	1.11	12/03/21	1.38	0.27 W	0.00	6 of 17 - Sale ²⁵
	1.000	1.11	12/03/21	1.40	0.29 W	0.00	7 of 17 - Sale ²⁵
	2.000	2.21	12/03/21	2.50	0.29 W	0.00	8 of 17 - Sale ²⁵
	2.000	2.21	12/03/21	2.50	0.29 W	0.00	9 of 17 - Sale ²⁵
	2.000	2.21	12/03/21	2.87	0.66 W	0.00	10 of 17 - Sale ²⁵
	2.000	2.21	12/03/21	5.76	3.55 W	0.00	11 of 17 - Sale ²⁵
	3.000	3.31	12/03/21	4.09	0.78 W	0.00	12 of 17 - Sale ²⁵
	4.000	4.42	12/03/21	5.14	0.72 W	0.00	13 of 17 - Sale ²⁵
	4.000	4.42	12/03/21	5.55	1.13 W	0.00	14 of 17 - Sale ²⁵
	5.000	5.53	12/03/21	6.03	0.50 W	0.00	15 of 17 - Sale ²⁵
	21.000	23.20	12/03/21	36.68	13.48 W	0.00	16 of 17 - Sale ²⁵
	78.000	86.18	12/03/21	124.57	38.39 W	0.00	17 of 17 - Sale ²⁵
12/06/21	130.000	143.63	Various	205.55	61.92 W	0.00	Total of 17 transactions
	14 transactions for 12/07/21. Total proceeds and cost reported to the IRS.						
	4.000	4.96	12/06/21	5.45	...	-0.49	1 of 14 - Sale ²⁵
	2.000	2.48	12/06/21	2.82	...	-0.34	2 of 14 - Sale ²⁵
	3.000	3.72	12/06/21	4.02	...	-0.30	3 of 14 - Sale ²⁵
	1.000	1.24	12/06/21	1.37	...	-0.13	4 of 14 - Sale ²⁵
	1.000	1.24	12/06/21	1.36	...	-0.12	5 of 14 - Sale ²⁵
	1.000	1.24	12/06/21	1.36	...	-0.12	6 of 14 - Sale ²⁵
	1.000	1.24	12/06/21	1.35	...	-0.11	7 of 14 - Sale ²⁵
	4.000	4.96	12/06/21	5.04	...	-0.08	8 of 14 - Sale ²⁵
	1.000	1.24	12/06/21	1.29	...	-0.05	9 of 14 - Sale ²⁵
	1.000	1.24	12/06/21	1.26	...	-0.02	10 of 14 - Sale ²⁵
	2.000	2.48	12/06/21	2.45	...	0.03	11 of 14 - Sale ²⁵
	2.000	2.48	12/06/21	2.45	...	0.03	12 of 14 - Sale ²⁵
	5.000	6.20	12/06/21	5.90	...	0.30	13 of 14 - Sale ²⁵
	21.000	26.03	12/06/21	22.68	...	3.35	14 of 14 - Sale ²⁵
12/07/21	49.000	60.75	Various	58.80	...	1.95	Total of 14 transactions
	5 transactions for 12/07/21. Total proceeds and cost reported to the IRS.						
	1.000	1.24	12/03/21	2.93	1.69 W	0.00	1 of 5 - Sale ²⁵
	78.000	96.71	12/06/21	122.63	25.59 W	-0.33	2 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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QUALIGEN THERAPEUTICS, INC. COMMON STOCK / CUSIP: 74754R103 / Symbol: (cont'd)							
	1.000	1.24	12/06/21	1.70	0.46 W	0.00	3 of 5 - Sale ²⁵
	1.000	1.24	12/06/21	2.85	1.61 W	0.00	4 of 5 - Sale ²⁵
	21.000	26.04	12/06/21	36.16	10.12 W	0.00	5 of 5 - Sale ²⁵
12/07/21	102.000	126.47	Various	166.27	39.47 W	-0.33	Total of 5 transactions
12/08/21	1.000	1.21	12/06/21	2.74	...	-1.53	Sale ²⁵
5 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	69.000	83.15	12/23/21	98.83	...	-15.68	1 of 5 - Sale ²⁵
	21.000	25.30	12/23/21	33.22	...	-7.92	2 of 5 - Sale ²⁵
	8.000	9.64	12/23/21	11.46	...	-1.82	3 of 5 - Sale ²⁵
	1.000	1.20	12/23/21	2.71	...	-1.51	4 of 5 - Sale ²⁵
	1.000	1.20	12/23/21	1.56	...	-0.36	5 of 5 - Sale ²⁵
12/27/21	100.000	120.49	Various	147.78	...	-27.29	Total of 5 transactions
	Security total:	1,029.53		1,220.70	183.56 W	-7.61	
RAPT THERAPEUTICS, INC. COMMON STOCK / CUSIP: 75382E109 / Symbol:							
14 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	1.000	33.53	06/14/21	48.63	...	-15.10	1 of 14 - Sale ²⁵
	1.000	33.53	06/15/21	38.40	...	-4.87	2 of 14 - Sale ²⁵
	1.000	33.53	06/21/21	35.31	...	-1.78	3 of 14 - Sale ²⁵
	1.000	33.53	06/21/21	34.97	...	-1.44	4 of 14 - Sale ²⁵
	1.000	33.53	06/29/21	33.25	...	0.28	5 of 14 - Sale ²⁵
	2.000	67.07	06/29/21	66.25	...	0.82	6 of 14 - Sale ²⁵
	1.000	33.54	07/07/21	31.45	...	2.09	7 of 14 - Sale ²⁵
	5.000	167.67	08/16/21	160.34	...	7.33	8 of 14 - Sale ²⁵
	1.000	33.54	08/17/21	30.72	...	2.82	9 of 14 - Sale ²⁵
	2.000	67.07	08/23/21	63.78	...	3.29	10 of 14 - Sale ²⁵
	1.000	33.53	08/24/21	30.89	...	2.64	11 of 14 - Sale ²⁵
	2.000	67.07	08/30/21	64.08	...	2.99	12 of 14 - Sale ²⁵
	2.000	67.07	08/31/21	63.93	...	3.14	13 of 14 - Sale ²⁵
	5.000	167.68	08/31/21	162.29	...	5.39	14 of 14 - Sale ²⁵
09/01/21	26.000	871.89	Various	864.29	...	7.60	Total of 14 transactions

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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REATA PHARMACEUTICALS, INC. CL ASS A COMMON STOCK / CUSIP: 75615P103 / Symbol:							
12/13/21	6.000	174.18	12/10/21	174.00	...	0.18	Sale ²⁵
12/14/21	6.000	169.68	12/13/21	171.00	...	-1.32	Sale ²⁵
	Security total:	343.86		345.00	...	-1.14	
REDHILL BIOPHARMA LTD. AMERICA N DEPOSITARY SHARES / CUSIP: 757468103 / Symbol:							
3 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	3.000	7.90	12/21/21	7.64	...	0.26	1 of 3 - Sale ²⁵
	15.000	39.47	12/21/21	37.61	...	1.86	2 of 3 - Sale ²⁵
	100.000	263.14	12/21/21	258.00	...	5.14	3 of 3 - Sale ²⁵
12/23/21	118.000	310.51	Various	303.25	...	7.26	Total of 3 transactions
2 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	0.418	1.09	12/30/21	1.02	...	0.07	1 of 2 - Sale ²⁵
	204.499	531.87	12/30/21	498.98	...	32.89	2 of 2 - Sale ²⁵
12/31/21	204.917	532.96	Various	500.00	...	32.96	Total of 2 transactions
	Security total:	843.47		803.25	...	40.22	
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
4 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	2.000	8.40	10/22/21	4.36	...	4.04	1 of 4 - Sale ²⁵
	2.000	14.96	10/22/21	4.70	...	10.26	2 of 4 - Sale ²⁵
	100.000	419.99	10/22/21	223.00	...	196.99	3 of 4 - Sale ²⁵
	98.000	733.02	10/22/21	213.64	...	519.38	4 of 4 - Sale ²⁵
10/25/21	202.000	1,176.37	Various	445.70	...	730.67	Total of 4 transactions
2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	14.000	18.06	11/22/21	17.76	...	0.30	1 of 2 - Sale ²⁵
	50.000	64.49	11/22/21	64.00	...	0.49	2 of 2 - Sale ²⁵
11/29/21	64.000	82.55	Various	81.76	...	0.79	Total of 2 transactions
6 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	11.000	14.19	11/10/21	18.59	4.40 W	0.00	1 of 6 - Sale ²⁵
	1.000	1.29	11/11/21	1.60	0.31 W	0.00	2 of 6 - Sale ²⁵
	2.000	2.58	11/11/21	3.27	0.69 W	0.00	3 of 6 - Sale ²⁵
	1.000	1.29	11/12/21	1.62	0.33 W	0.00	4 of 6 - Sale ²⁵
	10.000	12.90	11/12/21	16.09	3.19 W	0.00	5 of 6 - Sale ²⁵

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Account 643746050

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2021 1099-B* OMB No. 1545-0715

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
11/29/21	10.000	12.90	11/12/21	16.90	4.00 W	0.00	6 of 6 - Sale ²⁵
	35.000	45.15	Various	58.07	12.92 W	0.00	Total of 6 transactions
	16 transactions for 12/09/21. Total proceeds and cost reported to the IRS.						
	1.000	1.25	11/22/21	1.67	...	-0.42	1 of 16 - Sale ²⁵
	10.000	12.55	12/01/21	17.69	...	-5.14	2 of 16 - Sale ²⁵
	6.000	7.53	12/01/21	11.10	...	-3.57	3 of 16 - Sale ²⁵
	2.000	2.51	12/01/21	3.59	...	-1.08	4 of 16 - Sale ²⁵
	2.000	2.51	12/01/21	3.24	...	-0.73	5 of 16 - Sale ²⁵
	2.000	2.51	12/01/21	3.16	...	-0.65	6 of 16 - Sale ²⁵
	1.000	1.25	12/01/21	1.85	...	-0.60	7 of 16 - Sale ²⁵
	1.000	1.25	12/01/21	1.76	...	-0.51	8 of 16 - Sale ²⁵
	9.000	11.29	12/03/21	14.02	...	-2.73	9 of 16 - Sale ²⁵
	1.000	1.26	12/03/21	1.49	...	-0.23	10 of 16 - Sale ²⁵
	5.000	6.28	12/03/21	5.30	...	0.98	11 of 16 - Sale ²⁵
	15.000	18.82	12/03/21	16.35	...	2.47	12 of 16 - Sale ²⁵
	90.000	112.95	12/03/21	104.21	...	8.74	13 of 16 - Sale ²⁵
	5.000	6.27	12/06/21	5.29	...	0.98	14 of 16 - Sale ²⁵
	8.000	10.04	12/07/21	9.20	...	0.84	15 of 16 - Sale ²⁵
	10.000	12.55	12/08/21	11.49	...	1.06	16 of 16 - Sale ²⁵
12/09/21	168.000	210.82	Various	211.41	...	-0.59	Total of 16 transactions
	Security total:	1,514.89		796.94	12.92 W	730.87	
ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:							
03/10/21	1.000	73.00	03/10/21	62.34	...	10.66	Sale ²⁵
ROCKWELL MEDICAL, INC. (DE) CO MMON STOCK / CUSIP: 774374102 / Symbol:							
	6 transactions for 12/01/21. Total proceeds and cost reported to the IRS.						
	100.000	41.89	11/17/21	56.40	...	-14.51	1 of 6 - Sale ²⁵
	5.000	2.10	11/17/21	2.73	...	-0.63	2 of 6 - Sale ²⁵
	5.000	2.09	11/24/21	2.22	...	-0.13	3 of 6 - Sale ²⁵
	5.000	2.09	11/29/21	2.23	...	-0.14	4 of 6 - Sale ²⁵
	4.000	1.68	11/29/21	1.72	...	-0.04	5 of 6 - Sale ²⁵
	4.000	1.68	11/30/21	1.70	...	-0.02	6 of 6 - Sale ²⁵
12/01/21	123.000	51.53	Various	67.00	...	-15.47	Total of 6 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT, INC. CLASS A COMMON STOC K / CUSIP: 77664L108 / Symbol:							
8 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	50.000	329.99	08/31/21	340.50	...	-10.51	1 of 8 - Sale ²⁵
	2.000	13.20	08/31/21	12.68	...	0.52	2 of 8 - Sale ²⁵
	10.000	66.00	08/31/21	65.00	...	1.00	3 of 8 - Sale ²⁵
	4.000	26.40	08/31/21	24.63	...	1.77	4 of 8 - Sale ²⁵
	10.000	66.00	08/31/21	62.30	...	3.70	5 of 8 - Sale ²⁵
	24.000	158.39	09/01/21	152.86	...	5.53	6 of 8 - Sale ²⁵
	25.000	165.00	09/02/21	152.25	...	12.75	7 of 8 - Sale ²⁵
	25.000	164.99	09/08/21	141.25	...	23.74	8 of 8 - Sale ²⁵
09/09/21	150.000	989.97	Various	951.47	...	38.50	Total of 8 transactions
10/14/21	50.000	255.50	10/13/21	250.00	...	5.50	Sale ²⁵
4 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	5.07	11/01/21	4.93	...	0.14	1 of 4 - Sale ²⁵
	15.000	76.05	11/01/21	73.13	...	2.92	2 of 4 - Sale ²⁵
	4.000	20.28	11/02/21	19.10	...	1.18	3 of 4 - Sale ²⁵
	30.000	152.10	11/03/21	146.40	...	5.70	4 of 4 - Sale ²⁵
11/04/21	50.000	253.50	Various	243.56	...	9.94	Total of 4 transactions
11/30/21	2.000	7.95	11/26/21	7.80	...	0.15	Sale ²⁵
4 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	40.000	159.00	11/19/21	189.60	30.60 W	0.00	1 of 4 - Sale ²⁵
	1.000	3.97	11/22/21	4.34	0.37 W	0.00	2 of 4 - Sale ²⁵
	5.000	19.88	11/26/21	20.04	0.16 W	0.00	3 of 4 - Sale ²⁵
	15.000	59.62	11/29/21	61.20	1.58 W	0.00	4 of 4 - Sale ²⁵
11/30/21	61.000	242.47	Various	275.18	32.71 W	0.00	Total of 4 transactions
5 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	1.000	3.69	11/30/21	4.32	0.63 W	0.00	1 of 5 - Sale ²⁵
	2.000	7.38	11/30/21	7.90	0.52 W	0.00	2 of 5 - Sale ²⁵
	5.000	18.45	11/30/21	19.91	1.46 W	0.00	3 of 5 - Sale ²⁵
	15.000	55.36	11/30/21	60.83	5.47 W	0.00	4 of 5 - Sale ²⁵
	40.000	147.61	11/30/21	188.60	40.99 W	0.00	5 of 5 - Sale ²⁵
12/01/21	63.000	232.49	Various	281.56	49.07 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT, INC. CLASS A COMMON STOC K / CUSIP: 77664L108 / Symbol: (cont'd)							
5 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	40.000	138.00	12/01/21	186.99	...	-48.99	1 of 5 - Sale ²⁵
	15.000	51.75	12/01/21	60.22	...	-8.47	2 of 5 - Sale ²⁵
	5.000	17.25	12/01/21	19.71	...	-2.46	3 of 5 - Sale ²⁵
	2.000	6.90	12/01/21	7.82	...	-0.92	4 of 5 - Sale ²⁵
	1.000	3.45	12/01/21	4.28	...	-0.83	5 of 5 - Sale ²⁵
12/02/21	63.000	217.35	Various	279.02	...	-61.67	Total of 5 transactions
	Security total:	2,199.23		2,288.59	81.78 W	-7.58	
SAVARA INC. COMMON STOCK / CUSIP: 805111101 / Symbol:							
18 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	2.000	3.72	03/18/21	4.21	...	-0.49	1 of 18 - Sale ²⁵
	2.000	3.72	03/19/21	4.07	...	-0.35	2 of 18 - Sale ²⁵
	1.000	1.86	03/19/21	2.04	...	-0.18	3 of 18 - Sale ²⁵
	1.000	1.86	03/25/21	1.70	...	0.16	4 of 18 - Sale ²⁵
	2.000	3.72	03/25/21	3.36	...	0.36	5 of 18 - Sale ²⁵
	2.000	3.72	03/29/21	3.23	...	0.49	6 of 18 - Sale ²⁵
	3.000	5.58	04/09/21	5.97	...	-0.39	7 of 18 - Sale ²⁵
	2.000	3.72	04/15/21	3.46	...	0.26	8 of 18 - Sale ²⁵
	1.000	1.86	04/28/21	1.88	...	-0.02	9 of 18 - Sale ²⁵
	4.000	7.44	05/03/21	7.16	...	0.28	10 of 18 - Sale ²⁵
	1.000	1.86	05/04/21	1.73	...	0.13	11 of 18 - Sale ²⁵
	5.000	9.30	05/05/21	8.69	...	0.61	12 of 18 - Sale ²⁵
	2.000	3.72	05/13/21	3.68	...	0.04	13 of 18 - Sale ²⁵
	1.000	1.86	05/14/21	1.86	...	0.00	14 of 18 - Sale ²⁵
	5.000	9.30	05/21/21	8.40	...	0.90	15 of 18 - Sale ²⁵
	6.000	11.16	05/27/21	10.50	...	0.66	16 of 18 - Sale ²⁵
	10.000	18.60	06/03/21	17.60	...	1.00	17 of 18 - Sale ²⁵
	39.000	72.53	06/10/21	70.79	...	1.74	18 of 18 - Sale ²⁵
06/11/21	89.000	165.53	Various	160.33	...	5.20	Total of 18 transactions
2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	7.000	13.02	03/17/21	15.26	0.32 W	-1.92	1 of 2 - Sale ²⁵
	3.000	5.58	03/17/21	6.54	0.96 W	0.00	2 of 2 - Sale ²⁵
06/11/21	10.000	18.60	Various	21.80	1.28 W	-1.92	Total of 2 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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SAVARA INC. COMMON STOCK / CUSIP: 805111101 / Symbol: (cont'd)							
06/17/21	1.000	1.85	06/10/21	2.13	...	-0.28	Sale ²⁵
	Security total:	185.98		184.26	1.28 W	3.00	
SEACHANGE INTERNATIONAL, INC. COMMON STOCK / CUSIP: 811699107 / Symbol:							
14 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	1.000	3.27	12/20/21	1.99	...	1.28	1 of 14 - Sale ²⁵
	28.000	91.56	12/20/21	57.96	...	33.60	2 of 14 - Sale ²⁵
	0.024	0.07	12/21/21	0.04	...	0.03	3 of 14 - Sale ²⁵
	0.584	1.66	12/21/21	1.05	...	0.61	4 of 14 - Sale ²⁵
	0.571	1.65	12/21/21	1.02	...	0.63	5 of 14 - Sale ²⁵
	1.000	2.88	12/21/21	1.86	...	1.02	6 of 14 - Sale ²⁵
	1.000	2.88	12/21/21	1.81	...	1.07	7 of 14 - Sale ²⁵
	3.000	8.64	12/21/21	5.61	...	3.03	8 of 14 - Sale ²⁵
	4.000	11.53	12/21/21	7.64	...	3.89	9 of 14 - Sale ²⁵
	4.405	12.69	12/21/21	7.93	...	4.76	10 of 14 - Sale ²⁵
	10.000	28.82	12/21/21	17.95	...	10.87	11 of 14 - Sale ²⁵
	25.000	72.04	12/21/21	45.19	...	26.85	12 of 14 - Sale ²⁵
	21.000	68.67	12/21/21	40.11	...	28.56	13 of 14 - Sale ²⁵
	50.000	163.49	12/21/21	93.75	...	69.74	14 of 14 - Sale ²⁵
12/22/21	149.584	469.85	Various	283.91	...	185.94	Total of 14 transactions
6 transactions for 12/28/21. Total proceeds and cost reported to the IRS.							
	1.000	1.61	12/27/21	1.85	0.24 W	0.00	1 of 6 - Sale ²⁵
	4.000	6.46	12/27/21	6.92	0.46 W	0.00	2 of 6 - Sale ²⁵
	25.000	40.37	12/27/21	44.49	4.12 W	0.00	3 of 6 - Sale ²⁵
	25.000	40.38	12/27/21	45.50	5.12 W	0.00	4 of 6 - Sale ²⁵
	40.000	64.60	12/27/21	74.80	10.20 W	0.00	5 of 6 - Sale ²⁵
	100.000	161.49	12/27/21	188.50	27.01 W	0.00	6 of 6 - Sale ²⁵
12/28/21	195.000	314.91	Various	362.06	47.15 W	0.00	Total of 6 transactions
12/29/21	4.000	6.76	12/28/21	6.66	...	0.10	Sale ²⁵
5 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	1.000	1.69	12/28/21	1.79	0.10 W	0.00	1 of 5 - Sale ²⁵
	25.000	42.24	12/28/21	42.87	0.63 W	0.00	2 of 5 - Sale ²⁵
	25.000	42.25	12/28/21	43.87	1.62 W	0.00	3 of 5 - Sale ²⁵
	40.000	67.60	12/28/21	72.20	4.60 W	0.00	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SEACHANGE INTERNATIONAL, INC. COMMON STOCK / CUSIP: 811699107 / Symbol: (cont'd)							
12/29/21	100.000	168.99	12/28/21	182.01	13.02 W	0.00	5 of 5 - Sale ²⁵
	191.000	322.77	Various	342.74	19.97 W	0.00	Total of 5 transactions
	7 transactions for 12/30/21. Total proceeds and cost reported to the IRS.						
	1.000	1.60	12/29/21	1.89	0.29 W	0.00	1 of 7 - Sale ²⁵
	1.090	1.75	12/29/21	2.08	0.33 W	0.00	2 of 7 - Sale ²⁵
	25.000	40.04	12/29/21	45.38	5.34 W	0.00	3 of 7 - Sale ²⁵
	25.000	40.04	12/29/21	46.37	6.33 W	0.00	4 of 7 - Sale ²⁵
	31.000	49.66	12/29/21	55.49	5.83 W	0.00	5 of 7 - Sale ²⁵
	40.000	64.07	12/29/21	76.20	12.13 W	0.00	6 of 7 - Sale ²⁵
	98.910	158.43	12/29/21	189.93	31.50 W	0.00	7 of 7 - Sale ²⁵
12/30/21	222.000	355.59	Various	417.34	61.75 W	0.00	Total of 7 transactions
	8 transactions for 12/31/21. Total proceeds and cost reported to the IRS.						
	0.380	0.60	12/29/21	0.68	0.08 W	0.00	1 of 8 - Sale ²⁵
	1.090	1.72	12/29/21	2.28	0.56 W	0.00	2 of 8 - Sale ²⁵
	1.000	1.58	12/30/21	1.92	0.34 W	0.00	3 of 8 - Sale ²⁵
	10.090	15.94	12/30/21	18.35	2.41 W	0.00	4 of 8 - Sale ²⁵
	25.000	39.50	12/30/21	46.09	6.59 W	0.00	5 of 8 - Sale ²⁵
	25.000	39.50	12/30/21	47.08	7.58 W	0.00	6 of 8 - Sale ²⁵
	40.000	63.20	12/30/21	77.33	14.13 W	0.00	7 of 8 - Sale ²⁵
	98.910	156.28	12/30/21	192.72	36.44 W	0.00	8 of 8 - Sale ²⁵
12/31/21	201.470	318.32	Various	386.45	68.13 W	0.00	Total of 8 transactions
	Security total:	1,788.20		1,799.16	197.00 W	186.04	
SELECTA BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 816212104 / Symbol:							
	4 transactions for 07/28/21. Total proceeds and cost reported to the IRS.						
	1.000	3.65	06/10/21	4.99	...	-1.34	1 of 4 - Sale ²⁵
	2.000	7.30	07/09/21	7.88	...	-0.58	2 of 4 - Sale ²⁵
	5.000	18.25	07/26/21	17.03	...	1.22	3 of 4 - Sale ²⁵
	5.000	18.25	07/26/21	17.00	...	1.25	4 of 4 - Sale ²⁵
07/28/21	13.000	47.45	Various	46.90	...	0.55	Total of 4 transactions
12/21/21	13.000	42.54	12/20/21	41.34	...	1.20	Sale ²⁵
	Security total:	89.99		88.24	...	1.75	

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
11 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	9.000	24.21	03/19/21	27.68	...	-3.47	1 of 11 - Sale ²⁵
	1.000	2.69	03/24/21	2.93	...	-0.24	2 of 11 - Sale ²⁵
	2.000	5.38	03/25/21	5.61	...	-0.23	3 of 11 - Sale ²⁵
	1.000	2.69	03/26/21	2.81	...	-0.12	4 of 11 - Sale ²⁵
	4.000	10.76	04/19/21	7.28	...	3.48	5 of 11 - Sale ²⁵
	1.000	2.69	04/21/21	2.05	...	0.64	6 of 11 - Sale ²⁵
	1.000	2.69	04/23/21	2.02	...	0.67	7 of 11 - Sale ²⁵
	2.000	5.38	04/28/21	4.50	...	0.88	8 of 11 - Sale ²⁵
	5.000	13.45	04/28/21	10.60	...	2.85	9 of 11 - Sale ²⁵
	1.000	2.69	05/13/21	1.73	...	0.96	10 of 11 - Sale ²⁵
	10.000	26.90	05/17/21	19.17	...	7.73	11 of 11 - Sale ²⁵
06/03/21	37.000	99.53	Various	86.38	...	13.15	Total of 11 transactions
SHIFT TECHNOLOGIES, INC. CLASS A COMMON STOCK / CUSIP: 82452T107 / Symbol:							
05/04/21	1.000	8.72	04/28/21	8.70	...	0.02	Sale ²⁵
2 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	50.000	205.75	12/07/21	213.50	7.75 W	0.00	1 of 2 - Sale ²⁵
	1.000	4.11	12/08/21	4.14	0.03 W	0.00	2 of 2 - Sale ²⁵
12/09/21	51.000	209.86	Various	217.64	7.78 W	0.00	Total of 2 transactions
6 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	50.000	188.56	12/09/21	212.75	24.19 W	0.00	1 of 6 - Sale ²⁵
	1.000	3.77	12/10/21	3.95	0.18 W	0.00	2 of 6 - Sale ²⁵
	4.000	15.08	12/10/21	15.66	0.58 W	0.00	3 of 6 - Sale ²⁵
	5.000	18.85	12/10/21	19.35	0.50 W	0.00	4 of 6 - Sale ²⁵
	5.000	18.86	12/10/21	19.45	0.59 W	0.00	5 of 6 - Sale ²⁵
	15.000	56.57	12/10/21	58.49	1.92 W	0.00	6 of 6 - Sale ²⁵
12/13/21	80.000	301.69	Various	329.65	27.96 W	0.00	Total of 6 transactions
6 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	1.000	3.55	12/13/21	3.91	0.36 W	0.00	1 of 6 - Sale ²⁵
	4.000	14.20	12/13/21	15.32	1.12 W	0.00	2 of 6 - Sale ²⁵
	4.000	14.20	12/13/21	15.50	1.30 W	0.00	3 of 6 - Sale ²⁵
	5.000	17.75	12/13/21	19.24	1.49 W	0.00	4 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHIFT TECHNOLOGIES, INC. CLASS A COMMON STOCK / CUSIP: 82452T107 / Symbol: (cont'd)							
	15.000	53.25	12/13/21	57.87		0.00	5 of 6 - Sale ²⁵
	50.000	177.49	12/13/21	210.69	4.62 W	0.00	6 of 6 - Sale ²⁵
12/14/21	79.000	280.44	Various	322.53	33.20 W	0.00	Total of 6 transactions
	8 transactions for 12/15/21. Total proceeds and cost reported to the IRS.						
	1.000	3.46	12/13/21	3.83	42.09 W	0.00	1 of 8 - Sale ²⁵
	1.000	3.46	12/14/21	3.86		0.00	2 of 8 - Sale ²⁵
	4.000	13.84	12/14/21	15.12	0.37 W	0.00	3 of 8 - Sale ²⁵
	4.000	13.84	12/14/21	15.30	0.40 W	0.00	4 of 8 - Sale ²⁵
	5.000	17.30	12/14/21	18.99	1.28 W	0.00	5 of 8 - Sale ²⁵
	15.000	51.90	12/14/21	57.12	1.46 W	0.00	6 of 8 - Sale ²⁵
	20.000	69.20	12/14/21	70.00	1.69 W	0.00	7 of 8 - Sale ²⁵
	50.000	172.99	12/14/21	208.20	5.22 W	0.00	8 of 8 - Sale ²⁵
12/15/21	100.000	345.99	Various	392.42	0.80 W	0.00	Total of 8 transactions
12/16/21	19.000	64.81	12/15/21	64.60	35.21 W	0.00	8 of 8 - Sale ²⁵
	7 transactions for 12/16/21. Total proceeds and cost reported to the IRS.						
	1.000	3.41	12/14/21	3.87	46.43 W	0.21	Sale ²⁵
	1.000	3.41	12/15/21	3.76	...	0.00	1 of 7 - Sale ²⁵
	4.000	13.64	12/15/21	14.72	0.46 W	0.00	2 of 7 - Sale ²⁵
	4.000	13.65	12/15/21	14.90	0.35 W	0.00	3 of 7 - Sale ²⁵
	5.000	17.06	12/15/21	18.49	1.08 W	0.00	4 of 7 - Sale ²⁵
	15.000	51.17	12/15/21	55.62	1.25 W	0.00	5 of 7 - Sale ²⁵
	50.000	170.57	12/15/21	203.21	1.43 W	0.00	6 of 7 - Sale ²⁵
12/16/21	80.000	272.91	Various	314.57	4.45 W	0.00	7 of 7 - Sale ²⁵
	8 transactions for 12/17/21. Total proceeds and cost reported to the IRS.						
	50.000	159.99	12/16/21	197.64	32.64 W	0.00	Total of 7 transactions
	15.000	48.00	12/16/21	53.95	41.66 W	0.00	
	5.000	16.00	12/16/21	17.93	...	-37.65	1 of 8 - Sale ²⁵
	19.000	60.80	12/16/21	62.70	...	-5.95	2 of 8 - Sale ²⁵
	4.000	12.80	12/16/21	14.45	...	-1.93	3 of 8 - Sale ²⁵
	4.000	12.80	12/16/21	14.28	...	-1.90	4 of 8 - Sale ²⁵
	1.000	3.20	12/16/21	3.76	...	-1.65	5 of 8 - Sale ²⁵
	1.000	3.20	12/16/21	3.65	...	-1.48	6 of 8 - Sale ²⁵
	1.000	3.20	12/16/21	3.65	...	-0.56	7 of 8 - Sale ²⁵
12/17/21	99.000	316.79	Various	368.36	...	-0.45	8 of 8 - Sale ²⁵
					...	-51.57	Total of 8 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SHIFT TECHNOLOGIES, INC. CLASS A COMMON STOCK / CUSIP: 82452T107 / Symbol: (cont'd)							
12/17/21	1.000	3.20	12/15/21	3.40	0.20 W	0.00	Sale ²⁵
12/22/21	1.000	3.25	12/16/21	3.50	...	-0.25	Sale ²⁵
	Security total:	1,807.66		2,025.37	166.12 W	-51.59	
SINGULARITY FUTURE TECHNOLOGY LTD. COMMON STOCK / CUSIP: 82935V208 / Symbol:							
4 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	5.000	52.75	02/03/21	51.05	...	1.70	1 of 4 - Sale ²⁵
	2.000	21.10	02/03/21	14.67	...	6.43	2 of 4 - Sale ²⁵
	5.000	52.75	02/03/21	44.78	...	7.97	3 of 4 - Sale ²⁵
	3.000	31.65	02/05/21	22.94	...	8.71	4 of 4 - Sale ²⁵
02/08/21	15.000	158.25	Various	133.44	...	24.81	Total of 4 transactions
02/09/21	1.000	9.06	02/05/21	6.79	...	2.27	Sale ²⁵
	Security total:	167.31		140.23	...	27.08	
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	4.000	150.68	02/04/21	150.00	...	0.68	1 of 2 - Sale ²⁵
	2.000	75.34	02/04/21	70.92	...	4.42	2 of 2 - Sale ²⁵
02/05/21	6.000	226.02	Various	220.92	...	5.10	Total of 2 transactions
8 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	20.000	270.00	08/16/21	229.40	...	40.60	1 of 8 - Sale ²⁵
	2.000	27.00	08/17/21	21.83	...	5.17	2 of 8 - Sale ²⁵
	10.000	135.00	08/17/21	106.40	...	28.60	3 of 8 - Sale ²⁵
	5.000	67.50	08/18/21	54.59	...	12.91	4 of 8 - Sale ²⁵
	15.000	202.49	08/23/21	159.68	...	42.81	5 of 8 - Sale ²⁵
	2.000	27.00	08/25/21	21.64	...	5.36	6 of 8 - Sale ²⁵
	15.000	202.50	08/30/21	160.80	...	41.70	7 of 8 - Sale ²⁵
	17.000	229.49	08/31/21	183.09	...	46.40	8 of 8 - Sale ²⁵
09/01/21	86.000	1,160.98	Various	937.43	...	223.55	Total of 8 transactions
4 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	5.000	67.50	06/09/21	120.80	53.30 W	0.00	1 of 4 - Sale ²⁵
	5.000	67.50	06/10/21	106.73	39.23 W	0.00	2 of 4 - Sale ²⁵
	2.000	27.00	06/14/21	40.30	13.30 W	0.00	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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SKILLZ INC. / CUSIP: 83067L109 / Symbol: (cont'd)							
09/01/21	2.000	27.00	07/07/21	35.40	8.40 W	0.00	4 of 4 - Sale ²⁵
	14.000	189.00	Various	303.23	114.23 W	0.00	Total of 4 transactions
	5 transactions for 09/29/21. Total proceeds and cost reported to the IRS.						
	2.000	20.16	09/07/21	38.86	18.70 W	0.00	1 of 5 - Sale ²⁵
	2.000	20.17	09/07/21	33.96	13.79 W	0.00	2 of 5 - Sale ²⁵
	5.000	50.37	09/07/21	103.13	52.76 W	0.00	3 of 5 - Sale ²⁵
	5.000	50.38	09/07/21	117.20	66.82 W	0.00	4 of 5 - Sale ²⁵
	6.000	60.49	09/07/21	76.68	16.19 W	0.00	5 of 5 - Sale ²⁵
09/29/21	20.000	201.57	Various	369.83	168.26 W	0.00	Total of 5 transactions
	4 transactions for 10/05/21. Total proceeds and cost reported to the IRS.						
	5.000	44.18	09/07/21	63.90	19.72 W	0.00	1 of 4 - Sale ²⁵
	5.000	44.17	09/08/21	124.85	80.68 W	0.00	2 of 4 - Sale ²⁵
	1.000	8.83	09/10/21	22.04	13.21 W	0.00	3 of 4 - Sale ²⁵
	3.000	26.51	09/13/21	64.06	37.55 W	0.00	4 of 4 - Sale ²⁵
10/05/21	14.000	123.69	Various	274.85	151.16 W	0.00	Total of 4 transactions
	2 transactions for 10/07/21. Total proceeds and cost reported to the IRS.						
	1.000	8.85	09/29/21	19.45	10.60 W	0.00	1 of 2 - Sale ²⁵
	1.000	8.85	09/29/21	20.65	11.80 W	0.00	2 of 2 - Sale ²⁵
10/07/21	2.000	17.70	Various	40.10	22.40 W	0.00	Total of 2 transactions
	3 transactions for 10/11/21. Total proceeds and cost reported to the IRS.						
	1.000	8.24	09/29/21	19.45	11.21 W	0.00	1 of 3 - Sale ²⁵
	2.000	16.48	09/29/21	33.98	17.50 W	0.00	2 of 3 - Sale ²⁵
	2.000	16.48	09/30/21	24.87	8.39 W	0.00	3 of 3 - Sale ²⁵
10/11/21	5.000	41.20	Various	78.30	37.10 W	0.00	Total of 3 transactions
	16 transactions for 10/13/21. Total proceeds and cost reported to the IRS.						
	2.000	18.12	09/30/21	24.86	...	-6.74	1 of 16 - Sale ²⁵
	1.000	9.06	10/01/21	12.33	...	-3.27	2 of 16 - Sale ²⁵
	1.000	9.06	10/07/21	12.81	...	-3.75	3 of 16 - Sale ²⁵
	1.000	9.06	10/07/21	11.57	...	-2.51	4 of 16 - Sale ²⁵
	5.000	45.30	10/08/21	121.86	...	-76.56	5 of 16 - Sale ²⁵
	3.000	27.18	10/08/21	62.25	...	-35.07	6 of 16 - Sale ²⁵
	2.000	18.12	10/08/21	33.97	...	-15.85	7 of 16 - Sale ²⁵
	1.000	9.06	10/08/21	21.45	...	-12.39	8 of 16 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SKILLZ INC. / CUSIP: 83067L109 / Symbol: (cont'd)							
	1.000	9.06	10/08/21	20.04	...	-10.98	9 of 16 - Sale ²⁵
	1.000	9.06	10/08/21	19.45	...	-10.39	10 of 16 - Sale ²⁵
	1.000	9.06	10/08/21	18.83	...	-9.77	11 of 16 - Sale ²⁵
	2.000	18.12	10/08/21	24.86	...	-6.74	12 of 16 - Sale ²⁵
	2.000	18.12	10/08/21	24.36	...	-6.24	13 of 16 - Sale ²⁵
	2.000	18.12	10/08/21	24.33	...	-6.21	14 of 16 - Sale ²⁵
	7.000	63.42	10/08/21	57.64	...	5.78	15 of 16 - Sale ²⁵
	10.000	90.60	10/08/21	82.40	...	8.20	16 of 16 - Sale ²⁵
10/13/21	42.000	380.52	Various	573.01	...	-192.49	Total of 16 transactions
	Security total:	2,340.68		2,797.67	493.15 W	36.16	
SKYLIGHT HEALTH GROUP INC. ORDINARY SHARES / CUSIP: 83086L205 / Symbol:							
12/29/21	25.000	35.21	12/28/21	37.00	...	-1.79	Sale ²⁵
12/29/21	50.000	70.43	12/28/21	74.00	3.57 W	0.00	Sale ²⁵
12/30/21	50.000	68.00	12/29/21	73.07	...	-5.07	Sale ²⁵
	Security total:	173.64		184.07	3.57 W	-6.86	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
11/08/21	4.000	218.52	11/05/21	211.40	...	7.12	Sale ²⁵
SOCIETY PASS INCORPORATED COMM ON STOCK / CUSIP: 83370P102 / Symbol:							
12 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	0.222	3.84	12/20/21	2.50	...	1.34	1 of 12 - Sale ²⁵
	1.000	17.27	12/20/21	11.87	...	5.40	2 of 12 - Sale ²⁵
	1.000	17.27	12/20/21	11.78	...	5.49	3 of 12 - Sale ²⁵
	1.000	17.27	12/20/21	11.23	...	6.04	4 of 12 - Sale ²⁵
	2.000	34.54	12/20/21	23.64	...	10.90	5 of 12 - Sale ²⁵
	10.000	172.70	12/20/21	123.00	...	49.70	6 of 12 - Sale ²⁵
	0.287	4.97	12/21/21	3.00	...	1.97	7 of 12 - Sale ²⁵
	1.000	17.27	12/21/21	11.07	...	6.20	8 of 12 - Sale ²⁵
	1.000	17.27	12/21/21	10.94	...	6.33	9 of 12 - Sale ²⁵
	1.000	17.27	12/21/21	10.10	...	7.17	10 of 12 - Sale ²⁵
	0.510	8.29	12/22/21	5.37	...	2.92	11 of 12 - Sale ²⁵
	4.490	77.54	12/22/21	47.33	...	30.21	12 of 12 - Sale ²⁵
12/23/21	23.510	405.50	Various	271.83	...	133.67	Total of 12 transactions

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(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
<i>13 transactions for 06/09/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	9.18	02/16/21	14.20	...	-5.02	1 of 13 - Sale ²⁵
	1.000	9.18	02/24/21	10.88	...	-1.70	2 of 13 - Sale ²⁵
	1.000	9.18	02/25/21	10.11	...	-0.93	3 of 13 - Sale ²⁵
	1.000	9.18	03/02/21	10.34	...	-1.16	4 of 13 - Sale ²⁵
	1.000	9.18	03/10/21	9.75	...	-0.57	5 of 13 - Sale ²⁵
	1.000	9.18	03/23/21	9.10	...	0.08	6 of 13 - Sale ²⁵
	1.000	9.18	03/24/21	8.91	...	0.27	7 of 13 - Sale ²⁵
	1.000	9.18	03/25/21	8.45	...	0.73	8 of 13 - Sale ²⁵
	1.000	9.18	04/09/21	7.62	...	1.56	9 of 13 - Sale ²⁵
	1.000	9.18	05/03/21	8.39	...	0.79	10 of 13 - Sale ²⁵
	1.000	9.18	05/26/21	7.03	...	2.15	11 of 13 - Sale ²⁵
	3.000	27.55	06/03/21	24.72	...	2.83	12 of 13 - Sale ²⁵
	9.000	82.63	06/07/21	74.77	...	7.86	13 of 13 - Sale ²⁵
06/09/21	23.000	211.16	Various	204.27	...	6.89	Total of 13 transactions
06/09/21	1.000	9.18	02/12/21	14.94	5.76 W	0.00	Sale ²⁵
06/23/21	1.000	9.15	06/07/21	14.07	...	-4.92	Sale ²⁵
	Security total:	229.49		233.28	5.76 W	1.97	
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
02/12/21	1.000	5.75	02/12/21	5.53	...	0.22	Sale ²⁵
<i>4 transactions for 11/23/21. Total proceeds and cost reported to the IRS.</i>							
	40.000	52.40	10/20/21	107.18	54.78 W	0.00	1 of 4 - Sale ²⁵
	5.000	6.55	10/21/21	13.00	6.45 W	0.00	2 of 4 - Sale ²⁵
	1.000	1.31	10/22/21	2.42	1.11 W	0.00	3 of 4 - Sale ²⁵
	4.000	5.24	10/22/21	9.72	4.48 W	0.00	4 of 4 - Sale ²⁵
11/23/21	50.000	65.50	Various	132.32	66.82 W	0.00	Total of 4 transactions
<i>27 transactions for 11/24/21. Total proceeds and cost reported to the IRS.</i>							
	8.000	10.24	10/22/21	19.44	...	-9.20	1 of 27 - Sale ²⁵
	29.000	37.12	10/25/21	111.93	...	-74.81	2 of 27 - Sale ²⁵
	2.000	2.56	10/25/21	7.77	...	-5.21	3 of 27 - Sale ²⁵
	1.000	1.28	10/25/21	3.84	...	-2.56	4 of 27 - Sale ²⁵
	5.000	6.40	10/26/21	19.24	...	-12.84	5 of 27 - Sale ²⁵
	5.000	6.40	10/27/21	18.20	...	-11.80	6 of 27 - Sale ²⁵

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SOS LIMITED / CUSIP: 83587W106 / Symbol: (cont'd)							
	4.000	5.12	10/27/21	13.88	...	-8.76	7 of 27 - Sale ²⁵
	7.000	8.96	10/27/21	16.45	...	-7.49	8 of 27 - Sale ²⁵
	3.000	3.84	10/27/21	11.16	...	-7.32	9 of 27 - Sale ²⁵
	1.000	1.28	10/27/21	3.46	...	-2.18	10 of 27 - Sale ²⁵
	10.000	12.80	10/28/21	23.40	...	-10.60	11 of 27 - Sale ²⁵
	2.000	2.56	10/28/21	4.62	...	-2.06	12 of 27 - Sale ²⁵
	10.000	12.80	10/29/21	22.60	...	-9.80	13 of 27 - Sale ²⁵
	25.000	32.00	11/04/21	55.00	...	-23.00	14 of 27 - Sale ²⁵
	1.000	1.28	11/05/21	2.14	...	-0.86	15 of 27 - Sale ²⁵
	1.000	1.28	11/05/21	2.11	...	-0.83	16 of 27 - Sale ²⁵
	10.000	12.80	11/08/21	22.40	...	-9.60	17 of 27 - Sale ²⁵
	1.000	1.28	11/08/21	2.22	...	-0.94	18 of 27 - Sale ²⁵
	2.000	2.56	11/09/21	4.36	...	-1.80	19 of 27 - Sale ²⁵
	50.000	63.99	11/10/21	71.50	...	-7.51	20 of 27 - Sale ²⁵
	50.000	63.99	11/10/21	71.25	...	-7.26	21 of 27 - Sale ²⁵
	50.000	63.99	11/15/21	77.50	...	-13.51	22 of 27 - Sale ²⁵
	5.000	6.40	11/15/21	7.60	...	-1.20	23 of 27 - Sale ²⁵
	5.000	6.40	11/15/21	7.50	...	-1.10	24 of 27 - Sale ²⁵
	3.000	3.84	11/16/21	4.35	...	-0.51	25 of 27 - Sale ²⁵
	2.000	2.56	11/17/21	2.74	...	-0.18	26 of 27 - Sale ²⁵
	4.000	5.11	11/23/21	5.20	...	-0.09	27 of 27 - Sale ²⁵
11/24/21	296.000	378.84	Various	611.86	...	-233.02	Total of 27 transactions
11/24/21	13.000	16.64	10/22/21	31.59	1.15 W	-13.80	Sale ²⁵
12/01/21	1.000	1.28	11/23/21	2.45	...	-1.17	Sale ²⁵
	Security total:	468.01		783.75	67.97 W	-247.77	
SPRUCE BIOSCIENCES, INC. COMMO N STOCK / CUSIP: 85209E109 / Symbol:							
12/20/21	400.000	1,599.94	12/17/21	1,160.00	...	439.94	Sale ²⁵
	4 transactions for 12/31/21. Total proceeds and cost reported to the IRS.						
	0.132	0.60	12/30/21	0.65	0.05 W	0.00	1 of 4 - Sale ²⁵
	0.400	1.82	12/30/21	2.00	0.18 W	0.00	2 of 4 - Sale ²⁵
	10.000	45.51	12/30/21	49.35	3.84 W	0.00	3 of 4 - Sale ²⁵
	19.960	90.84	12/30/21	100.00	9.16 W	0.00	4 of 4 - Sale ²⁵
12/31/21	30.492	138.77	Various	152.00	13.23 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPRUCE BIOSCIENCES, INC. COMMO N STOCK / CUSIP: 85209E109 / Symbol: (cont'd)							
Security total:		1,738.71		1,312.00		13.23 W	439.94
STANTEC, INC. / CUSIP: 85472N109 / Symbol:							
10/22/21	2.000	110.60	10/21/21	110.42	...	0.18	Sale ²⁵
STOKE THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 86150R107 / Symbol:							
12/15/21	20.000	357.81	12/14/21	380.00	17.75 W	-4.44	Sale ²⁵
2 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	1.000	20.41	12/14/21	19.28	...	1.13	1 of 2 - Sale ²⁵
	15.000	306.15	12/15/21	283.34	...	22.81	2 of 2 - Sale ²⁵
12/16/21	16.000	326.56	Various	302.62	...	23.94	Total of 2 transactions
Security total:		684.37		682.62	17.75 W	19.50	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
26 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	3.000	4.29	03/22/21	4.35	...	-0.06	1 of 26 - Sale ²⁵
	1.000	1.43	03/24/21	1.21	...	0.22	2 of 26 - Sale ²⁵
	5.000	7.15	03/25/21	5.84	...	1.31	3 of 26 - Sale ²⁵
	2.000	2.86	03/26/21	2.42	...	0.44	4 of 26 - Sale ²⁵
	1.000	1.43	03/29/21	1.12	...	0.31	5 of 26 - Sale ²⁵
	1.000	1.43	03/31/21	1.19	...	0.24	6 of 26 - Sale ²⁵
	1.000	1.43	04/01/21	1.15	...	0.28	7 of 26 - Sale ²⁵
	5.000	7.15	04/09/21	5.35	...	1.80	8 of 26 - Sale ²⁵
	3.000	4.29	04/15/21	2.73	...	1.56	9 of 26 - Sale ²⁵
	5.000	7.15	04/19/21	4.11	...	3.04	10 of 26 - Sale ²⁵
	3.000	4.29	04/20/21	2.69	...	1.60	11 of 26 - Sale ²⁵
	4.000	5.72	04/26/21	3.50	...	2.22	12 of 26 - Sale ²⁵
	3.000	4.29	04/27/21	2.67	...	1.62	13 of 26 - Sale ²⁵
	10.000	14.30	04/28/21	9.14	...	5.16	14 of 26 - Sale ²⁵
	1.000	1.43	05/03/21	0.87	...	0.56	15 of 26 - Sale ²⁵
	2.000	2.86	05/03/21	1.67	...	1.19	16 of 26 - Sale ²⁵
	2.000	2.86	05/03/21	1.66	...	1.20	17 of 26 - Sale ²⁵
	7.000	10.01	05/03/21	6.16	...	3.85	18 of 26 - Sale ²⁵
	3.000	4.29	05/04/21	2.37	...	1.92	19 of 26 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	5.000	7.15	05/04/21	3.88	...	3.27	20 of 26 - Sale ²⁵
	10.000	14.29	05/05/21	8.02	...	6.27	21 of 26 - Sale ²⁵
	5.000	7.15	05/13/21	3.41	...	3.74	22 of 26 - Sale ²⁵
	6.000	8.58	05/17/21	4.38	...	4.20	23 of 26 - Sale ²⁵
	2.000	2.86	05/24/21	1.45	...	1.41	24 of 26 - Sale ²⁵
	4.000	5.71	05/26/21	3.07	...	2.64	25 of 26 - Sale ²⁵
	3.000	4.29	05/27/21	2.53	...	1.76	26 of 26 - Sale ²⁵
06/03/21	97.000	138.69	Various	86.94	...	51.75	Total of 26 transactions
	3 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	5.000	7.15	03/18/21	7.75	0.60 W	0.00	1 of 3 - Sale ²⁵
	25.000	35.75	03/18/21	40.50	4.75 W	0.00	2 of 3 - Sale ²⁵
	5.000	7.15	03/19/21	7.20	0.03 W	-0.02	3 of 3 - Sale ²⁵
06/03/21	35.000	50.05	Various	55.45	5.38 W	-0.02	Total of 3 transactions
	3 transactions for 06/23/21. Total proceeds and cost reported to the IRS.						
	25.000	25.50	06/23/21	29.75	...	-4.25	1 of 3 - Sale ²⁵
	5.000	5.10	06/23/21	5.60	...	-0.50	2 of 3 - Sale ²⁵
	3.000	3.06	06/23/21	3.03	...	0.03	3 of 3 - Sale ²⁵
06/23/21	33.000	33.66	Various	38.38	...	-4.72	Total of 3 transactions
	3 transactions for 11/15/21. Total proceeds and cost reported to the IRS.						
	30.000	27.39	11/12/21	26.95	...	0.44	1 of 3 - Sale ²⁵
	50.000	45.65	11/12/21	43.03	...	2.62	2 of 3 - Sale ²⁵
	222.000	202.71	11/12/21	189.81	...	12.90	3 of 3 - Sale ²⁵
11/15/21	302.000	275.75	Various	259.79	...	15.96	Total of 3 transactions
11/15/21	25.000	22.83	11/12/21	22.96	0.13 W	0.00	Sale ²⁵
	5 transactions for 11/29/21. Total proceeds and cost reported to the IRS.						
	75.000	49.19	11/16/21	62.92	...	-13.73	1 of 5 - Sale ²⁵
	4.000	2.62	11/16/21	3.34	...	-0.72	2 of 5 - Sale ²⁵
	1.000	0.66	11/17/21	0.83	...	-0.17	3 of 5 - Sale ²⁵
	1.000	0.65	11/23/21	0.68	...	-0.03	4 of 5 - Sale ²⁵
	3.000	1.97	11/23/21	2.00	...	-0.03	5 of 5 - Sale ²⁵
11/29/21	84.000	55.09	Various	69.77	...	-14.68	Total of 5 transactions
11/29/21	25.000	16.40	11/16/21	21.10	0.19 W	-4.51	Sale ²⁵
12/01/21	1.000	0.62	11/23/21	0.86	...	-0.24	Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
Security total:		593.09		555.25		5.70 W	43.54
SUPPORT.COM, INC. COMMON STOCK / CUSIP: 86858W200 / Symbol:							
<i>7 transactions for 06/08/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	7.10	03/25/21	10.30	...	-3.20	1 of 7 - Sale ²⁵
	1.000	3.55	04/19/21	3.60	...	-0.05	2 of 7 - Sale ²⁵
	1.000	3.55	04/20/21	3.32	...	0.23	3 of 7 - Sale ²⁵
	1.000	3.55	04/21/21	3.35	...	0.20	4 of 7 - Sale ²⁵
	2.000	7.10	04/28/21	7.38	...	-0.28	5 of 7 - Sale ²⁵
	2.000	7.10	05/05/21	7.00	...	0.10	6 of 7 - Sale ²⁵
	9.000	31.95	05/17/21	22.85	...	9.10	7 of 7 - Sale ²⁵
06/08/21	18.000	63.90	Various	57.80	...	6.10	Total of 7 transactions
06/08/21	1.000	3.55	03/22/21	7.13	3.58 W	0.00	Sale ²⁵
06/15/21	1.000	4.28	05/17/21	6.12	...	-1.84	Sale ²⁵
<i>3 transactions for 08/30/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	38.00	08/27/21	36.00	...	2.00	1 of 3 - Sale ²⁵
	1.000	38.00	08/27/21	27.50	...	10.50	2 of 3 - Sale ²⁵
	10.000	390.00	08/30/21	380.00	...	10.00	3 of 3 - Sale ²⁵
08/30/21	12.000	466.00	Various	443.50	...	22.50	Total of 3 transactions
<i>2 transactions for 09/09/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	24.10	09/08/21	20.00	...	4.10	1 of 2 - Sale ²⁵
	9.000	216.90	09/08/21	180.00	...	36.90	2 of 2 - Sale ²⁵
09/09/21	10.000	241.00	Various	200.00	...	41.00	Total of 2 transactions
Security total:		778.73		714.55		3.58 W	67.76
SUTRO BIOPHARMA, INC. COMMON S TOCK / CUSIP: 869367102 / Symbol:							
06/16/21	1.000	19.00	06/11/21	18.87	...	0.13	Sale ²⁵
<i>2 transactions for 12/22/21. Total proceeds and cost reported to the IRS.</i>							
	0.091	1.36	12/21/21	1.35	...	0.01	1 of 2 - Sale ²⁵
	5.977	89.00	12/21/21	88.65	...	0.35	2 of 2 - Sale ²⁵
12/22/21	6.068	90.36	Various	90.00	...	0.36	Total of 2 transactions

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(continued)

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SUTRO BIOPHARMA, INC. COMMON S TOCK / CUSIP: 869367102 / Symbol: (cont'd)							
6 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	15.00	12/22/21	14.75	...	0.25	1 of 6 - Sale ²⁵
	2.000	30.00	12/23/21	28.18	...	1.82	2 of 6 - Sale ²⁵
	2.000	30.00	12/23/21	28.17	...	1.83	3 of 6 - Sale ²⁵
	5.000	75.00	12/23/21	71.05	...	3.95	4 of 6 - Sale ²⁵
	5.000	75.00	12/23/21	70.78	...	4.22	5 of 6 - Sale ²⁵
	20.000	299.99	12/23/21	285.20	...	14.79	6 of 6 - Sale ²⁵
12/27/21	35.000	524.99	Various	498.13	...	26.86	Total of 6 transactions
	Security total:	634.35		607.00	...	27.35	
TABULA RASA HEALTHCARE, INC. C OMMON STOCK / CUSIP: 873379101 / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	13.52	11/08/21	16.18	...	-2.66	1 of 2 - Sale ²⁵
	1.000	13.54	11/08/21	16.18	...	-2.64	2 of 2 - Sale ²⁵
11/09/21	2.000	27.06	Various	32.36	...	-5.30	Total of 2 transactions
11/09/21	2.000	26.94	11/08/21	30.89	3.95 W	0.00	Sale ²⁵
	Security total:	54.00		63.25	3.95 W	-5.30	
TAKUNG ART CO., LTD. / CUSIP: 87407Q207 / Symbol:							
09/01/21	10.000	115.00	08/31/21	113.73	...	1.27	Sale ²⁵
12/30/21	0.189	0.87	12/29/21	1.00	...	-0.13	Sale ²⁵
	Security total:	115.87		114.73	...	1.14	
TAYSHA GENE THERAPIES, INC. CO MMON STOCK / CUSIP: 877619106 / Symbol:							
06/18/21	1.000	25.76	06/14/21	25.72	...	0.04	Sale ²⁵
TCR2 THERAPEUTICS INC. COMMON STOCK / CUSIP: 87808K106 / Symbol:							
06/23/21	1.000	17.55	06/11/21	17.39	...	0.16	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
11/24/21	1.000	1,075.99	11/22/21	1,181.68	105.69 W	0.00	Sale ²⁵
11/29/21	1.000	1,125.22	11/24/21	1,170.69	45.47 W	0.00	Sale ²⁵
12/06/21	1.000	1,001.99	12/03/21	1,125.47	123.48 W	0.00	Sale ²⁵
12/07/21	1.000	1,032.17	12/06/21	1,118.48	86.31 W	0.00	Sale ²⁵
12/10/21	0.898	908.84	12/09/21	977.52	68.68 W	0.00	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	0.102	97.24	12/14/21	104.78	7.54 W	0.00	1 of 2 - Sale ²⁵
	0.898	857.95	12/14/21	915.69	57.74 W	0.00	2 of 2 - Sale ²⁵
12/15/21	1.000	955.19	Various	1,020.47	65.28 W	0.00	Total of 2 transactions
2 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	0.001	1.00	12/15/21	1.07	0.07 W	0.00	1 of 2 - Sale ²⁵
	0.160	152.93	12/15/21	160.26	7.33 W	0.00	2 of 2 - Sale ²⁵
12/16/21	0.161	153.93	Various	161.33	7.40 W	0.00	Total of 2 transactions
8 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	0.001	0.95	12/16/21	0.98	0.03 W	0.00	1 of 8 - Sale ²⁵
	0.001	0.95	12/16/21	1.05	0.10 W	0.00	2 of 8 - Sale ²⁵
	0.001	0.95	12/16/21	1.07	0.12 W	0.00	3 of 8 - Sale ²⁵
	0.102	92.90	12/16/21	103.23	10.33 W	0.00	4 of 8 - Sale ²⁵
	0.107	97.24	12/16/21	100.00	2.76 W	0.00	5 of 8 - Sale ²⁵
	0.160	145.65	12/16/21	157.35	11.70 W	0.00	6 of 8 - Sale ²⁵
	0.212	193.85	12/16/21	196.19	2.34 W	0.00	7 of 8 - Sale ²⁵
	0.737	672.16	12/16/21	739.67	67.51 W	0.00	8 of 8 - Sale ²⁵
12/17/21	1.320	1,204.65	Various	1,299.54	94.89 W	0.00	Total of 8 transactions
10 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	0.001	0.95	12/16/21	1.06	0.11 W	0.00	1 of 10 - Sale ²⁵
	0.001	0.95	12/16/21	1.08	0.13 W	0.00	2 of 10 - Sale ²⁵
	0.002	1.87	12/16/21	2.04	0.17 W	0.00	3 of 10 - Sale ²⁵
	0.001	0.95	12/17/21	1.03	0.08 W	0.00	4 of 10 - Sale ²⁵
	0.102	93.04	12/17/21	107.71	14.67 W	0.00	5 of 10 - Sale ²⁵
	0.107	97.39	12/17/21	104.69	7.30 W	0.00	6 of 10 - Sale ²⁵
	0.158	144.00	12/17/21	162.27	18.27 W	0.00	7 of 10 - Sale ²⁵
	0.212	194.14	12/17/21	205.54	11.40 W	0.00	8 of 10 - Sale ²⁵
	0.680	621.52	12/17/21	650.51	28.99 W	0.00	9 of 10 - Sale ²⁵
	0.737	673.18	12/17/21	772.10	98.92 W	0.00	10 of 10 - Sale ²⁵
12/20/21	2.000	1,827.99	Various	2,008.03	180.04 W	0.00	Total of 10 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
<i>2 transactions for 12/21/21. Total proceeds and cost reported to the IRS.</i>							
	0.111	101.89	12/20/21	100.00	...	1.89	1 of 2 - Sale ²⁵
	0.889	812.21	12/20/21	801.69	...	10.52	2 of 2 - Sale ²⁵
12/21/21	1.000	914.10	Various	901.69	...	12.41	Total of 2 transactions
<i>17 transactions for 12/21/21. Total proceeds and cost reported to the IRS.</i>							
	0.001	0.95	12/17/21	1.11	0.16 W	0.00	1 of 17 - Sale ²⁵
	0.001	0.95	12/17/21	1.13	0.18 W	0.00	2 of 17 - Sale ²⁵
	0.002	1.87	12/17/21	2.12	0.25 W	0.00	3 of 17 - Sale ²⁵
	0.004	3.88	12/17/21	4.49	0.61 W	0.00	4 of 17 - Sale ²⁵
	0.001	0.95	12/20/21	1.03	0.08 W	0.00	5 of 17 - Sale ²⁵
	0.001	0.95	12/20/21	1.11	0.16 W	0.00	6 of 17 - Sale ²⁵
	0.001	0.95	12/20/21	1.13	0.18 W	0.00	7 of 17 - Sale ²⁵
	0.002	1.87	12/20/21	2.11	0.24 W	0.00	8 of 17 - Sale ²⁵
	0.004	3.88	12/20/21	4.46	0.58 W	0.00	9 of 17 - Sale ²⁵
	0.042	38.26	12/20/21	42.91	4.65 W	0.00	10 of 17 - Sale ²⁵
	0.102	93.05	12/20/21	107.25	14.20 W	0.00	11 of 17 - Sale ²⁵
	0.107	97.40	12/20/21	104.20	6.80 W	0.00	12 of 17 - Sale ²⁵
	0.111	101.89	12/20/21	112.97	11.08 W	0.00	13 of 17 - Sale ²⁵
	0.111	101.90	12/20/21	114.30	12.40 W	0.00	14 of 17 - Sale ²⁵
	0.212	194.16	12/20/21	204.57	10.41 W	0.00	15 of 17 - Sale ²⁵
	0.680	621.59	12/20/21	647.40	25.81 W	0.00	16 of 17 - Sale ²⁵
	0.737	673.26	12/20/21	768.74	95.48 W	0.00	17 of 17 - Sale ²⁵
12/21/21	2.120	1,937.76	Various	2,121.03	183.27 W	0.00	Total of 17 transactions
<i>3 transactions for 12/22/21. Total proceeds and cost reported to the IRS.</i>							
	0.212	205.61	12/21/21	203.27	...	2.34	1 of 3 - Sale ²⁵
	0.680	658.23	12/21/21	643.25	...	14.98	2 of 3 - Sale ²⁵
	1.000	967.99	12/21/21	908.00	...	59.99	3 of 3 - Sale ²⁵
12/22/21	1.892	1,831.83	Various	1,754.52	...	77.31	Total of 3 transactions
<i>10 transactions for 12/22/21. Total proceeds and cost reported to the IRS.</i>							
	0.001	1.01	12/21/21	1.03	0.02 W	0.00	1 of 10 - Sale ²⁵
	0.001	1.01	12/21/21	1.10	0.09 W	0.00	2 of 10 - Sale ²⁵
	0.001	1.01	12/21/21	1.13	0.12 W	0.00	3 of 10 - Sale ²⁵
	0.002	1.98	12/21/21	2.10	0.12 W	0.00	4 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.004	4.11	12/21/21	4.43	0.32 W	0.00	5 of 10 - Sale ²⁵
	0.042	40.51	12/21/21	42.65	2.14 W	0.00	6 of 10 - Sale ²⁵
	0.102	98.54	12/21/21	106.63	8.09 W	0.00	7 of 10 - Sale ²⁵
	0.111	107.90	12/21/21	112.29	4.39 W	0.00	8 of 10 - Sale ²⁵
	0.737	712.94	12/21/21	764.24	51.30 W	0.00	9 of 10 - Sale ²⁵
	0.107	103.14	12/21/21	103.55	0.41 W	0.00	10 of 10 - Sale ²⁵
12/22/21	1.108	1,072.15	Various	1,139.15	67.00 W	0.00	Total of 10 transactions
	8 transactions for 12/23/21. Total proceeds and cost reported to the IRS.						
	0.102	103.53	12/22/21	111.14	7.61 W	0.00	1 of 8 - Sale ²⁵
	0.001	1.06	12/22/21	1.14	0.08 W	0.00	2 of 8 - Sale ²⁵
	0.001	1.06	12/22/21	1.18	0.12 W	0.00	3 of 8 - Sale ²⁵
	0.002	2.08	12/22/21	2.19	0.11 W	0.00	4 of 8 - Sale ²⁵
	0.004	4.31	12/22/21	4.61	0.30 W	0.00	5 of 8 - Sale ²⁵
	0.042	42.56	12/22/21	44.51	1.95 W	0.00	6 of 8 - Sale ²⁵
	0.111	113.36	12/22/21	117.23	3.87 W	0.00	7 of 8 - Sale ²⁵
	0.737	749.03	12/22/21	796.91	47.88 W	0.00	8 of 8 - Sale ²⁵
12/23/21	1.000	1,016.99	Various	1,078.91	61.92 W	0.00	Total of 8 transactions
12/27/21	0.093	100.32	12/23/21	99.25	...	1.07	Sale ²⁵
12/27/21	0.001	1.12	12/23/21	1.13	0.01 W	0.00	Sale ²⁵
	Security total:	16,160.24		17,158.89	1,089.44 W	90.79	
THERAPEUTICSMD, INC. COMMON STOCK / CUSIP: 88338N107 / Symbol:							
	2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	8.000	12.42	02/08/21	18.16	5.74 W	0.00	1 of 2 - Sale ²⁵
	2.000	3.10	02/10/21	4.00	0.90 W	0.00	2 of 2 - Sale ²⁵
02/25/21	10.000	15.52	Various	22.16	6.64 W	0.00	Total of 2 transactions
	47 transactions for 09/28/21. Total proceeds and cost reported to the IRS.						
	1.000	0.72	02/11/21	1.86	1.14 W	0.00	1 of 47 - Sale ²⁵
	1.000	0.72	02/11/21	2.31	1.59 W	0.00	2 of 47 - Sale ²⁵
	1.000	0.72	02/11/21	2.37	1.65 W	0.00	3 of 47 - Sale ²⁵
	4.000	2.89	02/11/21	7.84	4.95 W	0.00	4 of 47 - Sale ²⁵
	4.000	2.89	02/11/21	10.54	7.65 W	0.00	5 of 47 - Sale ²⁵
	4.000	2.89	02/11/21	10.66	7.77 W	0.00	6 of 47 - Sale ²⁵
	5.000	3.62	02/12/21	10.00	6.38 W	0.00	7 of 47 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol: (cont'd)							
	1.000	0.72	02/16/21	1.92	1.20 W	0.00	8 of 47 - Sale ²⁵
	2.000	1.45	02/16/21	3.76	2.31 W	0.00	9 of 47 - Sale ²⁵
	3.000	2.17	02/16/21	5.88	3.71 W	0.00	10 of 47 - Sale ²⁵
	5.000	3.62	02/18/21	8.85	5.23 W	0.00	11 of 47 - Sale ²⁵
	2.000	1.45	02/22/21	3.52	2.07 W	0.00	12 of 47 - Sale ²⁵
	5.000	3.62	02/22/21	8.64	5.02 W	0.00	13 of 47 - Sale ²⁵
	7.000	5.06	02/23/21	11.06	6.00 W	0.00	14 of 47 - Sale ²⁵
	10.000	7.23	02/24/21	16.18	8.95 W	0.00	15 of 47 - Sale ²⁵
	25.000	18.08	02/24/21	41.25	23.17 W	0.00	16 of 47 - Sale ²⁵
	20.000	14.46	02/25/21	31.40	16.94 W	0.00	17 of 47 - Sale ²⁵
	2.000	1.45	02/26/21	3.00	1.55 W	0.00	18 of 47 - Sale ²⁵
	5.000	3.62	03/01/21	7.49	3.87 W	0.00	19 of 47 - Sale ²⁵
	5.000	3.62	03/01/21	7.55	3.93 W	0.00	20 of 47 - Sale ²⁵
	3.000	2.17	03/02/21	4.53	2.36 W	0.00	21 of 47 - Sale ²⁵
	1.000	0.72	03/03/21	1.48	0.76 W	0.00	22 of 47 - Sale ²⁵
	2.000	1.45	03/04/21	2.70	1.25 W	0.00	23 of 47 - Sale ²⁵
	4.000	2.89	03/04/21	5.64	2.75 W	0.00	24 of 47 - Sale ²⁵
	5.000	3.61	03/04/21	6.69	3.08 W	0.00	25 of 47 - Sale ²⁵
	1.000	0.72	03/09/21	1.34	0.62 W	0.00	26 of 47 - Sale ²⁵
	1.000	0.72	03/09/21	1.35	0.63 W	0.00	27 of 47 - Sale ²⁵
	5.000	3.62	03/15/21	8.10	4.48 W	0.00	28 of 47 - Sale ²⁵
	6.000	4.34	03/17/21	9.60	5.26 W	0.00	29 of 47 - Sale ²⁵
	2.000	1.45	03/18/21	3.22	1.77 W	0.00	30 of 47 - Sale ²⁵
	5.000	3.62	03/18/21	8.05	4.43 W	0.00	31 of 47 - Sale ²⁵
	5.000	3.61	03/19/21	7.55	3.94 W	0.00	32 of 47 - Sale ²⁵
	3.000	2.17	03/22/21	4.56	2.39 W	0.00	33 of 47 - Sale ²⁵
	5.000	3.61	03/23/21	7.35	3.74 W	0.00	34 of 47 - Sale ²⁵
	5.000	3.62	03/24/21	7.14	3.52 W	0.00	35 of 47 - Sale ²⁵
	5.000	3.61	03/25/21	6.75	3.14 W	0.00	36 of 47 - Sale ²⁵
	5.000	3.62	03/29/21	6.65	3.03 W	0.00	37 of 47 - Sale ²⁵
	1.000	0.72	03/30/21	1.28	0.56 W	0.00	38 of 47 - Sale ²⁵
	1.000	0.72	03/31/21	1.36	0.64 W	0.00	39 of 47 - Sale ²⁵
	2.000	1.45	04/01/21	2.70	1.25 W	0.00	40 of 47 - Sale ²⁵
	1.000	0.72	04/14/21	1.22	0.50 W	0.00	41 of 47 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol: (cont'd)							
	5.000	3.62	04/15/21	6.14	2.52 W	0.00	42 of 47 - Sale ²⁵
	1.000	0.72	04/19/21	1.13	0.41 W	0.00	43 of 47 - Sale ²⁵
	3.000	2.17	04/19/21	3.51	1.34 W	0.00	44 of 47 - Sale ²⁵
	5.000	3.61	04/20/21	5.53	1.92 W	0.00	45 of 47 - Sale ²⁵
	1.000	0.72	04/22/21	1.19	0.47 W	0.00	46 of 47 - Sale ²⁵
	5.000	3.62	04/23/21	6.05	2.43 W	0.00	47 of 47 - Sale ²⁵
09/28/21	200.000	144.62	Various	318.89	174.27 W	0.00	Total of 47 transactions
	7 transactions for 09/29/21. Total proceeds and cost reported to the IRS.						
	1.000	0.73	04/28/21	1.16	0.43 W	0.00	1 of 7 - Sale ²⁵
	5.000	3.67	04/29/21	5.58	1.91 W	0.00	2 of 7 - Sale ²⁵
	5.000	3.68	05/03/21	6.00	2.32 W	0.00	3 of 7 - Sale ²⁵
	20.000	14.70	05/03/21	23.18	8.48 W	0.00	4 of 7 - Sale ²⁵
	5.000	3.67	05/04/21	5.83	2.16 W	0.00	5 of 7 - Sale ²⁵
	10.000	7.35	05/06/21	11.00	3.65 W	0.00	6 of 7 - Sale ²⁵
	45.000	33.08	05/07/21	49.50	16.42 W	0.00	7 of 7 - Sale ²⁵
09/29/21	91.000	66.88	Various	102.25	35.37 W	0.00	Total of 7 transactions
	37 transactions for 10/01/21. Total proceeds and cost reported to the IRS.						
	50.000	36.71	06/10/21	63.91	...	-27.20	1 of 37 - Sale ²⁵
	5.000	3.67	06/18/21	5.95	...	-2.28	2 of 37 - Sale ²⁵
	13.000	9.56	06/21/21	15.45	...	-5.89	3 of 37 - Sale ²⁵
	7.000	5.15	06/21/21	8.32	...	-3.17	4 of 37 - Sale ²⁵
	10.000	7.36	07/19/21	9.61	...	-2.25	5 of 37 - Sale ²⁵
	3.000	2.21	07/20/21	2.97	...	-0.76	6 of 37 - Sale ²⁵
	1.000	0.74	07/30/21	1.04	...	-0.30	7 of 37 - Sale ²⁵
	3.000	2.21	08/05/21	2.83	...	-0.62	8 of 37 - Sale ²⁵
	5.000	3.68	08/10/21	4.62	...	-0.94	9 of 37 - Sale ²⁵
	2.000	1.47	08/16/21	1.56	...	-0.09	10 of 37 - Sale ²⁵
	2.000	1.47	08/18/21	1.52	...	-0.05	11 of 37 - Sale ²⁵
	5.000	3.67	08/23/21	4.18	...	-0.51	12 of 37 - Sale ²⁵
	5.000	3.68	08/23/21	4.00	...	-0.32	13 of 37 - Sale ²⁵
	4.000	2.94	08/25/21	3.32	...	-0.38	14 of 37 - Sale ²⁵
	1.000	0.74	08/25/21	0.85	...	-0.11	15 of 37 - Sale ²⁵
	4.000	2.94	08/30/21	10.86	...	-7.92	16 of 37 - Sale ²⁵
	5.000	3.68	08/30/21	10.39	...	-6.71	17 of 37 - Sale ²⁵

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Account 643746050

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(continued)

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THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol: (cont'd)							
	3.000	2.21	08/30/21	8.23	...	-6.02	18 of 37 - Sale ²⁵
	4.000	2.94	08/30/21	8.16	...	-5.22	19 of 37 - Sale ²⁵
	3.000	2.21	08/30/21	6.12	...	-3.91	20 of 37 - Sale ²⁵
	2.000	1.47	08/30/21	3.92	...	-2.45	21 of 37 - Sale ²⁵
	1.000	0.73	08/30/21	2.75	...	-2.02	22 of 37 - Sale ²⁵
	1.000	0.74	08/30/21	2.45	...	-1.71	23 of 37 - Sale ²⁵
	1.000	0.74	08/30/21	2.39	...	-1.65	24 of 37 - Sale ²⁵
	1.000	0.74	08/30/21	2.00	...	-1.26	25 of 37 - Sale ²⁵
	1.000	0.74	08/30/21	1.94	...	-1.20	26 of 37 - Sale ²⁵
	1.000	0.74	08/30/21	1.85	...	-1.11	27 of 37 - Sale ²⁵
	10.000	7.36	09/01/21	16.72	...	-9.36	28 of 37 - Sale ²⁵
	7.000	5.15	09/01/21	11.43	...	-6.28	29 of 37 - Sale ²⁵
	5.000	3.68	09/01/21	8.90	...	-5.22	30 of 37 - Sale ²⁵
	4.000	2.94	09/01/21	7.28	...	-4.34	31 of 37 - Sale ²⁵
	2.000	1.47	09/01/21	3.62	...	-2.15	32 of 37 - Sale ²⁵
	2.000	1.47	09/01/21	3.40	...	-1.93	33 of 37 - Sale ²⁵
	20.000	14.70	09/09/21	32.67	...	-17.97	34 of 37 - Sale ²⁵
	16.000	11.77	09/09/21	27.41	...	-15.64	35 of 37 - Sale ²⁵
	7.000	5.15	09/09/21	12.00	...	-6.85	36 of 37 - Sale ²⁵
	1.000	0.74	09/09/21	1.56	...	-0.82	37 of 37 - Sale ²⁵
10/01/21	217.000	159.57	Various	316.18	...	-156.61	Total of 37 transactions
	5 transactions for 10/01/21. Total proceeds and cost reported to the IRS.						
	5.000	3.67	05/07/21	5.50	1.83 W	0.00	1 of 5 - Sale ²⁵
	3.000	2.20	05/13/21	3.08	0.88 W	0.00	2 of 5 - Sale ²⁵
	5.000	3.67	05/13/21	5.14	1.47 W	0.00	3 of 5 - Sale ²⁵
	25.000	18.35	05/28/21	30.92	12.57 W	0.00	4 of 5 - Sale ²⁵
	50.000	36.71	06/07/21	61.37	5.92 W	-18.74	5 of 5 - Sale ²⁵
10/01/21	88.000	64.60	Various	106.01	22.67 W	-18.74	Total of 5 transactions
	59 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	5.000	3.75	09/09/21	7.87	...	-4.12	1 of 59 - Sale ²⁵
	5.000	3.75	09/09/21	7.80	...	-4.05	2 of 59 - Sale ²⁵
	1.000	0.75	09/09/21	1.58	...	-0.83	3 of 59 - Sale ²⁵
	1.000	0.75	09/09/21	1.56	...	-0.81	4 of 59 - Sale ²⁵
	6.000	4.50	09/13/21	9.77	...	-5.27	5 of 59 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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THERAPEUTICSMD, INC. COMMON STOCK / CUSIP: 88338N107 / Symbol: (cont'd)							
	5.000	3.75	09/13/21	8.24	...	-4.49	6 of 59 - Sale ²⁵
	5.000	3.75	09/13/21	8.19	...	-4.44	7 of 59 - Sale ²⁵
	5.000	3.75	09/13/21	7.70	...	-3.95	8 of 59 - Sale ²⁵
	5.000	3.74	09/13/21	7.50	...	-3.76	9 of 59 - Sale ²⁵
	5.000	3.75	09/13/21	6.84	...	-3.09	10 of 59 - Sale ²⁵
	4.000	3.00	09/13/21	5.76	...	-2.76	11 of 59 - Sale ²⁵
	3.000	2.25	09/13/21	4.64	...	-2.39	12 of 59 - Sale ²⁵
	3.000	2.25	09/13/21	4.36	...	-2.11	13 of 59 - Sale ²⁵
	2.000	1.50	09/13/21	3.27	...	-1.77	14 of 59 - Sale ²⁵
	2.000	1.50	09/13/21	3.07	...	-1.57	15 of 59 - Sale ²⁵
	2.000	1.50	09/13/21	2.98	...	-1.48	16 of 59 - Sale ²⁵
	2.000	1.50	09/13/21	2.75	...	-1.25	17 of 59 - Sale ²⁵
	1.000	0.75	09/13/21	1.51	...	-0.76	18 of 59 - Sale ²⁵
	1.000	0.75	09/13/21	1.42	...	-0.67	19 of 59 - Sale ²⁵
	1.000	0.75	09/13/21	1.38	...	-0.63	20 of 59 - Sale ²⁵
	1.000	0.75	09/13/21	1.37	...	-0.62	21 of 59 - Sale ²⁵
	5.000	3.74	09/14/21	6.73	...	-2.99	22 of 59 - Sale ²⁵
	4.000	3.00	09/14/21	5.47	...	-2.47	23 of 59 - Sale ²⁵
	1.000	0.75	09/14/21	1.30	...	-0.55	24 of 59 - Sale ²⁵
	2.000	1.50	09/15/21	2.74	...	-1.24	25 of 59 - Sale ²⁵
	1.000	0.75	09/15/21	1.38	...	-0.63	26 of 59 - Sale ²⁵
	4.000	2.99	09/24/21	4.89	...	-1.90	27 of 59 - Sale ²⁵
	1.000	0.75	09/24/21	1.22	...	-0.47	28 of 59 - Sale ²⁵
	2.000	1.50	09/27/21	2.39	...	-0.89	29 of 59 - Sale ²⁵
	1.000	0.75	09/27/21	1.25	...	-0.50	30 of 59 - Sale ²⁵
	5.000	3.74	09/28/21	5.56	...	-1.82	31 of 59 - Sale ²⁵
	1.000	0.75	09/28/21	1.22	...	-0.47	32 of 59 - Sale ²⁵
	1.000	0.75	09/28/21	1.19	...	-0.44	33 of 59 - Sale ²⁵
	1.000	0.75	09/28/21	1.18	...	-0.43	34 of 59 - Sale ²⁵
	1.000	0.75	09/28/21	1.14	...	-0.39	35 of 59 - Sale ²⁵
	3.000	2.24	09/30/21	3.64	...	-1.40	36 of 59 - Sale ²⁵
	1.000	0.75	09/30/21	1.20	...	-0.45	37 of 59 - Sale ²⁵
	1.000	0.75	09/30/21	1.15	...	-0.40	38 of 59 - Sale ²⁵
	1.000	0.75	10/01/21	1.12	...	-0.37	39 of 59 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol: (cont'd)							
	16.000	11.99	10/04/21	18.83	...	-6.84	40 of 59 - Sale ²⁵
	5.000	3.75	10/04/21	6.09	...	-2.34	41 of 59 - Sale ²⁵
	4.000	3.00	10/04/21	4.54	...	-1.54	42 of 59 - Sale ²⁵
	1.000	0.75	10/06/21	1.15	...	-0.40	43 of 59 - Sale ²⁵
	1.000	0.75	10/13/21	1.15	...	-0.40	44 of 59 - Sale ²⁵
	2.000	1.50	10/14/21	2.33	...	-0.83	45 of 59 - Sale ²⁵
	1.000	0.75	10/15/21	1.18	...	-0.43	46 of 59 - Sale ²⁵
	2.000	1.50	10/20/21	2.44	...	-0.94	47 of 59 - Sale ²⁵
	2.000	1.50	10/20/21	2.43	...	-0.93	48 of 59 - Sale ²⁵
	2.000	1.50	10/21/21	2.32	...	-0.82	49 of 59 - Sale ²⁵
	1.000	0.75	10/21/21	1.17	...	-0.42	50 of 59 - Sale ²⁵
	1.000	0.75	10/22/21	1.12	...	-0.37	51 of 59 - Sale ²⁵
	44.000	32.97	10/25/21	50.04	...	-17.07	52 of 59 - Sale ²⁵
	25.000	18.56	10/25/21	31.79	...	-13.23	53 of 59 - Sale ²⁵
	6.000	4.50	10/25/21	6.82	...	-2.32	54 of 59 - Sale ²⁵
	5.000	3.75	10/25/21	5.67	...	-1.92	55 of 59 - Sale ²⁵
	5.000	3.74	10/25/21	5.31	...	-1.57	56 of 59 - Sale ²⁵
	2.000	1.49	10/25/21	2.52	...	-1.03	57 of 59 - Sale ²⁵
	3.000	2.23	10/25/21	3.19	...	-0.96	58 of 59 - Sale ²⁵
	1.000	0.75	10/25/21	1.14	...	-0.39	59 of 59 - Sale ²⁵
10/26/21	230.000	172.18	Various	295.56	...	-123.38	Total of 59 transactions
10/27/21	10.000	7.41	10/25/21	12.62	...	-5.21	Sale ²⁵
	13 transactions for 12/31/21. Total proceeds and cost reported to the IRS.						
	2.000	0.73	12/22/21	0.83	0.10 W	0.00	1 of 13 - Sale ²⁵
	250.000	91.27	12/22/21	105.00	13.73 W	0.00	2 of 13 - Sale ²⁵
	117.000	42.71	12/23/21	47.57	4.86 W	0.00	3 of 13 - Sale ²⁵
	1.000	0.37	12/27/21	0.40	0.03 W	0.00	4 of 13 - Sale ²⁵
	5.000	1.83	12/27/21	1.99	0.16 W	0.00	5 of 13 - Sale ²⁵
	100.000	36.51	12/27/21	39.45	2.94 W	0.00	6 of 13 - Sale ²⁵
	100.000	36.51	12/27/21	39.80	3.29 W	0.00	7 of 13 - Sale ²⁵
	1.000	0.36	12/28/21	0.40	0.04 W	0.00	8 of 13 - Sale ²⁵
	4.000	1.46	12/28/21	1.58	0.12 W	0.00	9 of 13 - Sale ²⁵
	10.000	3.65	12/28/21	4.00	0.35 W	0.00	10 of 13 - Sale ²⁵
	2.000	0.73	12/29/21	0.78	0.05 W	0.00	11 of 13 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol: (cont'd)							
	20.000	7.30	12/29/21	7.80	0.50 W	0.00	12 of 13 - Sale ²⁵
	24.000	8.76	12/29/21	9.31	0.55 W	0.00	13 of 13 - Sale ²⁵
12/31/21	636.000	232.19	Various	258.91	26.72 W	0.00	Total of 13 transactions
	Security total:	862.97		1,432.58	265.67 W	-303.94	
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
	8 transactions for 05/04/21. Total proceeds and cost reported to the IRS.						
	0.295	4.71	03/17/21	7.08	...	-2.37	1 of 8 - Sale ²⁵
	0.838	13.36	03/25/21	17.13	...	-3.77	2 of 8 - Sale ²⁵
	0.838	13.36	04/09/21	16.11	...	-2.75	3 of 8 - Sale ²⁵
	0.838	13.36	04/15/21	14.22	...	-0.86	4 of 8 - Sale ²⁵
	0.838	13.36	04/20/21	13.54	...	-0.18	5 of 8 - Sale ²⁵
	0.838	13.36	04/22/21	14.06	...	-0.70	6 of 8 - Sale ²⁵
	0.838	13.36	04/23/21	14.06	...	-0.70	7 of 8 - Sale ²⁵
	1.676	26.71	04/27/21	28.88	...	-2.17	8 of 8 - Sale ²⁵
05/04/21	7.000	111.58	Various	125.08	...	-13.50	Total of 8 transactions
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
11/26/21	2.000	0.99	11/24/21	0.95	...	0.04	Sale ²⁵
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
06/21/21	2.000	19.00	06/21/21	18.86	...	0.14	Sale ²⁵
TORRID HOLDINGS INC. / CUSIP: 89142B107 / Symbol:							
09/10/21	1.000	24.60	09/09/21	21.00	...	3.60	Sale ²⁵
TRANSLATE BIO, INC. COMMON STO CK / CUSIP: 89374L104 / Symbol:							
	6 transactions for 04/27/21. Total proceeds and cost reported to the IRS.						
	1.000	21.98	02/12/21	27.41	...	-5.43	1 of 6 - Sale ²⁵
	1.000	21.98	03/12/21	25.00	...	-3.02	2 of 6 - Sale ²⁵
	1.000	21.98	03/17/21	24.42	...	-2.44	3 of 6 - Sale ²⁵
	1.000	21.98	03/17/21	21.70	...	0.28	4 of 6 - Sale ²⁵
	1.000	21.98	03/18/21	17.49	...	4.49	5 of 6 - Sale ²⁵
	1.000	21.98	03/25/21	15.94	...	6.04	6 of 6 - Sale ²⁵
04/27/21	6.000	131.88	Various	131.96	...	-0.08	Total of 6 transactions

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2021 1099-B* OMB No. 1545-0715

(continued)

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TRANSLATE BIO, INC. COMMON STO CK / CUSIP: 89374L104 / Symbol: (cont'd)							
04/28/21	1.000	23.00	03/25/21	15.84	...	7.16	Sale ²⁵
	Security total:	154.88		147.80	...	7.08	
TRAVERE THERAPEUTICS, INC. COM MON STOCK / CUSIP: 89422G107 / Symbol:							
06/17/21	1.000	15.13	06/11/21	14.83	...	0.30	Sale ²⁵
	<i>2 transactions for 08/17/21. Total proceeds and cost reported to the IRS.</i>						
	3.000	57.39	08/16/21	57.44	...	-0.05	1 of 2 - Sale ²⁵
	2.000	38.26	08/17/21	36.99	...	1.27	2 of 2 - Sale ²⁵
08/17/21	5.000	95.65	Various	94.43	...	1.22	Total of 2 transactions
	Security total:	110.78		109.26	...	1.52	
UP FINTECH HOLDING LTD AMERICA N DEPOSITARY SHARE REPRESENTIN G FIFTEEN CLASS A ORDINARY SHA / CUSIP: 91531W106 / Symbol:							
12/03/21	10.000	47.50	11/30/21	60.00	...	-12.50	Sale ²⁵
UWM HOLDINGS CORPORATION / CUSIP: 91823B109 / Symbol:							
	<i>7 transactions for 06/09/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	10.05	03/03/21	11.32	...	-1.27	1 of 7 - Sale ²⁵
	1.000	10.05	03/08/21	8.15	...	1.90	2 of 7 - Sale ²⁵
	1.000	10.05	03/10/21	8.85	...	1.20	3 of 7 - Sale ²⁵
	1.000	10.05	04/28/21	8.33	...	1.72	4 of 7 - Sale ²⁵
	1.000	10.05	05/03/21	8.21	...	1.84	5 of 7 - Sale ²⁵
	1.000	10.05	05/05/21	7.78	...	2.27	6 of 7 - Sale ²⁵
	5.000	50.25	06/03/21	45.00	...	5.25	7 of 7 - Sale ²⁵
06/09/21	11.000	110.55	Various	97.64	...	12.91	Total of 7 transactions
06/09/21	4.000	40.20	03/03/21	47.04	1.71 W	-5.13	Sale ²⁵
06/17/21	1.000	9.85	06/07/21	10.74	...	-0.89	Sale ²⁵
	<i>4 transactions for 09/03/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	14.84	08/25/21	15.16	...	-0.32	1 of 4 - Sale ²⁵
	1.000	7.42	08/26/21	7.48	...	-0.06	2 of 4 - Sale ²⁵
	4.000	29.68	08/27/21	29.50	...	0.18	3 of 4 - Sale ²⁵
	10.000	74.20	08/30/21	72.75	...	1.45	4 of 4 - Sale ²⁵
09/03/21	17.000	126.14	Various	124.89	...	1.25	Total of 4 transactions
11/22/21	10.000	69.40	11/19/21	68.85	...	0.55	Sale ²⁵
	Security total:	356.14		349.16	1.71 W	8.69	

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
01/29/21	5.000	65.60	01/29/21	62.85	...	2.75	Sale ²⁵
VELODYNE LIDAR, INC. COMMON ST OCK / CUSIP: 92259F101 / Symbol:							
<i>21 transactions for 09/23/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	6.44	02/16/21	22.89	16.45 W	0.00	1 of 21 - Sale ²⁵
	1.000	6.44	02/23/21	16.69	10.25 W	0.00	2 of 21 - Sale ²⁵
	1.000	6.44	02/24/21	16.66	10.22 W	0.00	3 of 21 - Sale ²⁵
	1.000	6.44	02/24/21	16.66	10.22 W	0.00	4 of 21 - Sale ²⁵
	1.000	6.44	02/25/21	15.34	8.90 W	0.00	5 of 21 - Sale ²⁵
	1.000	6.44	03/02/21	16.35	9.91 W	0.00	6 of 21 - Sale ²⁵
	1.000	6.44	03/10/21	12.23	5.79 W	0.00	7 of 21 - Sale ²⁵
	1.000	6.44	03/10/21	12.33	5.89 W	0.00	8 of 21 - Sale ²⁵
	2.000	12.89	03/10/21	24.73	11.84 W	0.00	9 of 21 - Sale ²⁵
	1.000	6.44	03/23/21	13.00	6.56 W	0.00	10 of 21 - Sale ²⁵
	1.000	6.44	04/23/21	13.96	7.52 W	0.00	11 of 21 - Sale ²⁵
	1.000	6.44	05/05/21	12.55	6.11 W	0.00	12 of 21 - Sale ²⁵
	2.000	12.89	05/21/21	20.30	7.41 W	0.00	13 of 21 - Sale ²⁵
	5.000	32.21	06/03/21	48.63	16.42 W	0.00	14 of 21 - Sale ²⁵
	5.000	32.21	06/07/21	51.64	19.43 W	0.00	15 of 21 - Sale ²⁵
	5.000	32.22	06/10/21	56.30	24.08 W	0.00	16 of 21 - Sale ²⁵
	5.000	32.21	06/11/21	55.45	23.24 W	0.00	17 of 21 - Sale ²⁵
	2.000	12.89	06/15/21	21.68	8.79 W	0.00	18 of 21 - Sale ²⁵
	2.000	12.89	06/21/21	21.44	8.55 W	0.00	19 of 21 - Sale ²⁵
	5.000	32.21	06/29/21	54.80	22.59 W	0.00	20 of 21 - Sale ²⁵
	6.000	38.66	08/11/21	46.50	7.84 W	0.00	21 of 21 - Sale ²⁵
09/23/21	50.000	322.12	Various	570.13	248.01 W	0.00	Total of 21 transactions
<i>20 transactions for 09/27/21. Total proceeds and cost reported to the IRS.</i>							
	4.000	25.81	08/11/21	31.00	5.19 W	0.00	1 of 20 - Sale ²⁵
	10.000	64.52	08/16/21	69.48	4.96 W	0.00	2 of 20 - Sale ²⁵
	50.000	322.58	08/16/21	349.25	26.67 W	0.00	3 of 20 - Sale ²⁵
	3.000	19.35	08/17/21	20.01	0.66 W	0.00	4 of 20 - Sale ²⁵
	10.000	64.51	08/17/21	66.25	1.74 W	0.00	5 of 20 - Sale ²⁵
	5.000	32.26	08/18/21	33.93	1.67 W	0.00	6 of 20 - Sale ²⁵
	2.000	12.90	08/23/21	14.70	1.80 W	0.00	7 of 20 - Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VELOCITYNE LIDAR, INC. COMMON ST OCK / CUSIP: 92259F101 / Symbol: (cont'd)							
	1.000	6.45	08/24/21	17.20	10.75 W	0.00	8 of 20 - Sale ²⁵
	1.000	6.45	08/24/21	23.40	16.95 W	0.00	9 of 20 - Sale ²⁵
	1.000	6.45	08/27/21	12.89	6.44 W	0.00	10 of 20 - Sale ²⁵
	1.000	6.45	08/27/21	12.99	6.54 W	0.00	11 of 20 - Sale ²⁵
	1.000	6.45	08/27/21	13.06	6.61 W	0.00	12 of 20 - Sale ²⁵
	1.000	6.45	08/27/21	13.66	7.21 W	0.00	13 of 20 - Sale ²⁵
	1.000	6.45	08/27/21	16.00	9.55 W	0.00	14 of 20 - Sale ²⁵
	1.000	6.45	08/27/21	17.01	10.56 W	0.00	15 of 20 - Sale ²⁵
	1.000	6.45	08/27/21	17.32	10.87 W	0.00	16 of 20 - Sale ²⁵
	1.000	6.45	08/27/21	17.32	10.87 W	0.00	17 of 20 - Sale ²⁵
	1.000	6.46	08/27/21	10.66	4.20 W	0.00	18 of 20 - Sale ²⁵
	1.000	6.46	08/27/21	14.62	8.16 W	0.00	19 of 20 - Sale ²⁵
	2.000	12.91	08/27/21	26.04	13.13 W	0.00	20 of 20 - Sale ²⁵
09/27/21	98.000	632.26	Various	796.79	164.53 W	0.00	Total of 20 transactions
	15 transactions for 09/30/21. Total proceeds and cost reported to the IRS.						
	1.000	5.80	08/27/21	10.65	4.85 W	0.00	1 of 15 - Sale ²⁵
	2.000	11.59	08/27/21	21.67	10.08 W	0.00	2 of 15 - Sale ²⁵
	5.000	28.97	08/27/21	51.17	22.20 W	0.00	3 of 15 - Sale ²⁵
	1.000	5.93	08/30/21	7.87	1.94 W	0.00	4 of 15 - Sale ²⁵
	2.000	11.59	08/30/21	15.74	4.15 W	0.00	5 of 15 - Sale ²⁵
	2.000	11.59	08/30/21	21.69	10.10 W	0.00	6 of 15 - Sale ²⁵
	2.000	11.59	08/30/21	21.93	10.34 W	0.00	7 of 15 - Sale ²⁵
	3.000	17.38	08/30/21	31.37	13.99 W	0.00	8 of 15 - Sale ²⁵
	5.000	28.97	08/30/21	39.38	10.41 W	0.00	9 of 15 - Sale ²⁵
	5.000	28.97	08/30/21	56.09	27.12 W	0.00	10 of 15 - Sale ²⁵
	5.000	28.98	08/30/21	55.44	26.46 W	0.00	11 of 15 - Sale ²⁵
	5.000	28.98	08/30/21	56.93	27.95 W	0.00	12 of 15 - Sale ²⁵
	2.000	11.85	08/31/21	15.78	3.93 W	0.00	13 of 15 - Sale ²⁵
	12.000	71.10	08/31/21	85.54	14.44 W	0.00	14 of 15 - Sale ²⁵
	10.000	59.25	09/01/21	72.09	12.84 W	0.00	15 of 15 - Sale ²⁵
09/30/21	62.000	362.54	Various	563.34	200.80 W	0.00	Total of 15 transactions
10/05/21	5.000	28.47	09/08/21	35.17	...	-6.70	Sale ²⁵
10/05/21	5.000	28.48	09/01/21	36.04	1.51 W	-6.05	Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VELOCITYNE LIDAR, INC. COMMON ST OCK / CUSIP: 92259F101 / Symbol: (cont'd)							
2 transactions for 10/06/21. Total proceeds and cost reported to the IRS.							
	5.000	28.30	09/08/21	35.16	...	-6.86	1 of 2 - Sale ²⁵
	5.000	28.30	09/13/21	34.37	...	-6.07	2 of 2 - Sale ²⁵
10/06/21	10.000	56.60	Various	69.53	...	-12.93	Total of 2 transactions
11 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	2.000	11.84	09/14/21	13.89	...	-2.05	1 of 11 - Sale ²⁵
	1.000	5.92	09/21/21	6.73	...	-0.81	2 of 11 - Sale ²⁵
	10.000	59.21	09/24/21	67.44	...	-8.23	3 of 11 - Sale ²⁵
	5.000	29.61	09/24/21	33.91	...	-4.30	4 of 11 - Sale ²⁵
	1.000	5.92	09/27/21	23.41	...	-17.49	5 of 11 - Sale ²⁵
	1.000	5.87	09/27/21	17.33	...	-11.46	6 of 11 - Sale ²⁵
	1.000	5.87	09/27/21	17.33	...	-11.46	7 of 11 - Sale ²⁵
	1.000	5.92	09/27/21	17.21	...	-11.29	8 of 11 - Sale ²⁵
	1.000	5.87	09/27/21	16.01	...	-10.14	9 of 11 - Sale ²⁵
	4.000	23.48	09/27/21	26.54	...	-3.06	10 of 11 - Sale ²⁵
	3.000	17.61	09/27/21	20.04	...	-2.43	11 of 11 - Sale ²⁵
10/07/21	30.000	177.12	Various	259.84	...	-82.72	Total of 11 transactions
35 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	2.000	12.98	09/27/21	14.72	...	-1.74	1 of 35 - Sale ²⁵
	3.000	19.47	09/27/21	20.38	...	-0.91	2 of 35 - Sale ²⁵
	6.000	38.94	09/27/21	39.80	...	-0.86	3 of 35 - Sale ²⁵
	1.000	6.49	09/27/21	6.80	...	-0.31	4 of 35 - Sale ²⁵
	1.000	6.49	09/27/21	6.79	...	-0.30	5 of 35 - Sale ²⁵
	2.000	12.98	09/28/21	25.55	...	-12.57	6 of 35 - Sale ²⁵
	1.000	6.49	09/28/21	16.89	...	-10.40	7 of 35 - Sale ²⁵
	1.000	6.49	09/28/21	13.42	...	-6.93	8 of 35 - Sale ²⁵
	1.000	6.49	09/28/21	12.75	...	-6.26	9 of 35 - Sale ²⁵
	1.000	6.49	09/28/21	12.65	...	-6.16	10 of 35 - Sale ²⁵
	1.000	6.49	09/29/21	14.31	...	-7.82	11 of 35 - Sale ²⁵
	2.000	12.98	09/29/21	20.62	...	-7.64	12 of 35 - Sale ²⁵
	1.000	6.49	09/29/21	12.49	...	-6.00	13 of 35 - Sale ²⁵
	1.000	6.49	09/29/21	10.72	...	-4.23	14 of 35 - Sale ²⁵
	1.000	6.49	09/29/21	10.07	...	-3.58	15 of 35 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VELODYNE LIDAR, INC. COMMON ST OCK / CUSIP: 92259F101 / Symbol: (cont'd)							
	3.000	19.47	09/30/21	31.78	...	-12.31	16 of 35 - Sale ²⁵
	3.000	19.47	09/30/21	31.11	...	-11.64	17 of 35 - Sale ²⁵
	2.000	12.98	09/30/21	23.04	...	-10.06	18 of 35 - Sale ²⁵
	2.000	12.98	09/30/21	21.94	...	-8.96	19 of 35 - Sale ²⁵
	2.000	12.98	10/01/21	22.90	...	-9.92	20 of 35 - Sale ²⁵
	2.000	12.98	10/01/21	22.52	...	-9.54	21 of 35 - Sale ²⁵
	1.000	6.49	10/01/21	11.43	...	-4.94	22 of 35 - Sale ²⁵
	5.000	32.45	10/08/21	55.94	...	-23.49	23 of 35 - Sale ²⁵
	3.000	19.47	10/08/21	33.96	...	-14.49	24 of 35 - Sale ²⁵
	2.000	12.98	10/08/21	22.13	...	-9.15	25 of 35 - Sale ²⁵
	2.000	12.98	10/08/21	21.89	...	-8.91	26 of 35 - Sale ²⁵
	5.000	32.45	10/08/21	39.88	...	-7.43	27 of 35 - Sale ²⁵
	9.000	58.41	10/08/21	64.10	...	-5.69	28 of 35 - Sale ²⁵
	2.000	12.98	10/08/21	15.94	...	-2.96	29 of 35 - Sale ²⁵
	2.000	12.98	10/08/21	15.72	...	-2.74	30 of 35 - Sale ²⁵
	3.000	19.47	10/08/21	21.29	...	-1.82	31 of 35 - Sale ²⁵
	1.000	6.49	10/08/21	7.84	...	-1.35	32 of 35 - Sale ²⁵
	1.000	6.49	10/08/21	7.20	...	-0.71	33 of 35 - Sale ²⁵
	9.000	58.40	10/12/21	66.01	...	-7.61	34 of 35 - Sale ²⁵
	1.000	6.49	10/12/21	7.56	...	-1.07	35 of 35 - Sale ²⁵
10/14/21	85.000	551.64	Various	782.14	...	-230.50	Total of 35 transactions
	Security total:	2,159.23		3,112.98	614.85 W	-338.90	
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
02/09/21	5.000	78.08	02/08/21	60.98	...	17.10	Sale ²⁵
VINCO VENTURES, INC. COMMON ST OCK / CUSIP: 927330100 / Symbol:							
4 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	10.000	63.91	08/31/21	62.00	...	1.91	1 of 4 - Sale ²⁵
	25.000	159.76	08/31/21	151.49	...	8.27	2 of 4 - Sale ²⁵
	25.000	159.77	08/31/21	150.75	...	9.02	3 of 4 - Sale ²⁵
	16.000	102.25	08/31/21	91.60	...	10.65	4 of 4 - Sale ²⁵
08/31/21	76.000	485.69	Various	455.84	...	29.85	Total of 4 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VINCO VENTURES, INC. COMMON ST OCK / CUSIP: 927330100 / Symbol: (cont'd)							
4 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	1.000	6.39	08/30/21	6.88	0.49 W	0.00	1 of 4 - Sale ²⁵
	3.000	19.17	08/30/21	23.73	4.56 W	0.00	2 of 4 - Sale ²⁵
	10.000	63.91	08/30/21	80.80	16.89 W	0.00	3 of 4 - Sale ²⁵
	10.000	63.91	08/31/21	66.00	2.09 W	0.00	4 of 4 - Sale ²⁵
08/31/21	24.000	153.38	Various	177.41	24.03 W	0.00	Total of 4 transactions
4 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	10.000	80.30	08/31/21	79.79	...	0.51	1 of 4 - Sale ²⁵
	26.000	208.78	08/31/21	202.02	...	6.76	2 of 4 - Sale ²⁵
	1.000	9.50	09/01/21	9.48	...	0.02	3 of 4 - Sale ²⁵
	11.000	104.50	09/01/21	101.75	...	2.75	4 of 4 - Sale ²⁵
09/01/21	48.000	403.08	Various	393.04	...	10.04	Total of 4 transactions
6 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	1.000	8.03	08/31/21	8.26	0.23 W	0.00	1 of 6 - Sale ²⁵
	3.000	24.09	08/31/21	27.87	3.78 W	0.00	2 of 6 - Sale ²⁵
	10.000	80.30	08/31/21	94.59	14.29 W	0.00	3 of 6 - Sale ²⁵
	3.000	28.50	09/01/21	31.53	3.03 W	0.00	4 of 6 - Sale ²⁵
	5.000	47.50	09/01/21	53.39	5.89 W	0.00	5 of 6 - Sale ²⁵
	5.000	47.50	09/01/21	53.40	5.90 W	0.00	6 of 6 - Sale ²⁵
09/01/21	27.000	235.92	Various	269.04	33.12 W	0.00	Total of 6 transactions
09/07/21	12.000	99.65	09/03/21	96.00	...	3.65	Sale ²⁵
3 transactions for 09/07/21. Total proceeds and cost reported to the IRS.							
	3.000	24.92	09/03/21	27.03	2.11 W	0.00	1 of 3 - Sale ²⁵
	5.000	41.52	09/03/21	45.90	4.38 W	0.00	2 of 3 - Sale ²⁵
	5.000	41.53	09/03/21	45.89	4.36 W	0.00	3 of 3 - Sale ²⁵
09/07/21	13.000	107.97	Various	118.82	10.85 W	0.00	Total of 3 transactions
6 transactions for 09/08/21. Total proceeds and cost reported to the IRS.							
	3.000	34.05	09/07/21	26.11	...	7.94	1 of 6 - Sale ²⁵
	5.000	56.75	09/07/21	44.38	...	12.37	2 of 6 - Sale ²⁵
	5.000	56.75	09/07/21	44.36	...	12.39	3 of 6 - Sale ²⁵
	25.000	283.74	09/07/21	255.50	...	28.24	4 of 6 - Sale ²⁵
	37.000	419.94	09/07/21	296.00	...	123.94	5 of 6 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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VINCO VENTURES, INC. COMMON ST OCK / CUSIP: 927330100 / Symbol: (cont'd)							
	20.000	232.00	09/08/21	229.10	...	2.90	6 of 6 - Sale ²⁵
09/08/21	95.000	1,083.23	Various	895.45	...	187.78	Total of 6 transactions
09/10/21	20.000	225.60	09/09/21	215.00	...	10.60	Sale ²⁵
	8 transactions for 09/27/21. Total proceeds and cost reported to the IRS.						
	15.000	113.70	09/16/21	127.50	...	-13.80	1 of 8 - Sale ²⁵
	3.000	22.74	09/21/21	21.75	...	0.99	2 of 8 - Sale ²⁵
	1.000	7.58	09/21/21	6.29	...	1.29	3 of 8 - Sale ²⁵
	8.000	60.64	09/21/21	50.04	...	10.60	4 of 8 - Sale ²⁵
	2.000	15.16	09/22/21	13.13	...	2.03	5 of 8 - Sale ²⁵
	1.000	7.58	09/23/21	6.43	...	1.15	6 of 8 - Sale ²⁵
	2.000	15.16	09/24/21	11.45	...	3.71	7 of 8 - Sale ²⁵
	20.000	151.59	09/24/21	117.00	...	34.59	8 of 8 - Sale ²⁵
09/27/21	52.000	394.15	Various	353.59	...	40.56	Total of 8 transactions
	5 transactions for 11/16/21. Total proceeds and cost reported to the IRS.						
	2.000	8.33	11/08/21	9.33	1.00 W	0.00	1 of 5 - Sale ²⁵
	5.000	20.83	11/08/21	25.08	4.25 W	0.00	2 of 5 - Sale ²⁵
	10.000	41.65	11/08/21	50.35	8.70 W	0.00	3 of 5 - Sale ²⁵
	15.000	62.47	11/08/21	73.88	11.41 W	0.00	4 of 5 - Sale ²⁵
	8.000	33.32	11/09/21	36.28	2.96 W	0.00	5 of 5 - Sale ²⁵
11/16/21	40.000	166.60	Various	194.92	28.32 W	0.00	Total of 5 transactions
	10 transactions for 11/29/21. Total proceeds and cost reported to the IRS.						
	5.000	16.40	11/10/21	26.38	9.98 W	0.00	1 of 10 - Sale ²⁵
	10.000	32.80	11/10/21	51.86	19.06 W	0.00	2 of 10 - Sale ²⁵
	10.000	32.80	11/10/21	52.95	20.15 W	0.00	3 of 10 - Sale ²⁵
	1.000	3.28	11/12/21	5.16	1.88 W	0.00	4 of 10 - Sale ²⁵
	3.000	9.84	11/12/21	15.75	5.91 W	0.00	5 of 10 - Sale ²⁵
	1.000	3.28	11/15/21	5.16	1.88 W	0.00	6 of 10 - Sale ²⁵
	2.000	6.56	11/15/21	9.80	3.24 W	0.00	7 of 10 - Sale ²⁵
	7.000	22.96	11/15/21	33.39	10.43 W	0.00	8 of 10 - Sale ²⁵
	1.000	3.28	11/16/21	4.54	1.26 W	0.00	9 of 10 - Sale ²⁵
	2.000	6.56	11/16/21	8.42	1.86 W	0.00	10 of 10 - Sale ²⁵
11/29/21	42.000	137.76	Various	213.41	75.65 W	0.00	Total of 10 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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VINCO VENTURES, INC. COMMON STOCK / CUSIP: 927330100 / Symbol: (cont'd)							
<i>13 transactions for 12/02/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	26.60	11/29/21	50.56	...	-23.96	1 of 13 - Sale ²⁵
	7.000	18.62	11/29/21	32.48	...	-13.86	2 of 13 - Sale ²⁵
	5.000	13.30	11/29/21	25.73	...	-12.43	3 of 13 - Sale ²⁵
	3.000	7.98	11/29/21	15.36	...	-7.38	4 of 13 - Sale ²⁵
	1.000	2.66	11/29/21	5.03	...	-2.37	5 of 13 - Sale ²⁵
	1.000	2.66	11/29/21	5.03	...	-2.37	6 of 13 - Sale ²⁵
	1.000	2.66	11/29/21	4.77	...	-2.11	7 of 13 - Sale ²⁵
	1.000	2.66	11/29/21	4.77	...	-2.11	8 of 13 - Sale ²⁵
	1.000	2.66	11/29/21	4.41	...	-1.75	9 of 13 - Sale ²⁵
	1.000	2.66	11/29/21	4.08	...	-1.42	10 of 13 - Sale ²⁵
	3.000	7.98	11/30/21	16.46	...	-8.48	11 of 13 - Sale ²⁵
	1.000	2.66	11/30/21	6.33	...	-3.67	12 of 13 - Sale ²⁵
	1.000	2.66	11/30/21	3.91	...	-1.25	13 of 13 - Sale ²⁵
12/02/21	36.000	95.76	Various	178.92	...	-83.16	Total of 13 transactions
<i>2 transactions for 12/02/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.66	11/17/21	6.01	3.35 W	0.00	1 of 2 - Sale ²⁵
	9.000	23.94	11/29/21	46.49	7.52 W	-15.03	2 of 2 - Sale ²⁵
12/02/21	10.000	26.60	Various	52.50	10.87 W	-15.03	Total of 2 transactions
	Security total:	3,615.39		3,613.94	182.84 W	184.29	
VOYAGER THERAPEUTICS, INC. COMMON STOCK / CUSIP: 92915B106 / Symbol:							
10/06/21	5.000	20.40	10/06/21	19.50	...	0.90	Sale ²⁵
XENON PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 98420N105 / Symbol:							
<i>3 transactions for 08/11/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	18.57	06/11/21	18.77	...	-0.20	1 of 3 - Sale ²⁵
	1.000	18.57	07/22/21	18.61	...	-0.04	2 of 3 - Sale ²⁵
	1.000	18.57	07/28/21	17.85	...	0.72	3 of 3 - Sale ²⁵
08/11/21	3.000	55.71	Various	55.23	...	0.48	Total of 3 transactions
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
06/08/21	1.000	39.48	03/22/21	38.00	...	1.48	Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
<i>14 transactions for 10/01/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	32.10	09/02/21	31.42	...	0.68	1 of 14 - Sale ²⁵
	42.000	26.96	09/09/21	23.92	...	3.04	2 of 14 - Sale ²⁵
	20.000	12.84	09/10/21	11.28	...	1.56	3 of 14 - Sale ²⁵
	100.000	64.19	09/10/21	61.40	...	2.79	4 of 14 - Sale ²⁵
	10.000	6.42	09/13/21	5.71	...	0.71	5 of 14 - Sale ²⁵
	2.000	1.28	09/16/21	1.07	...	0.21	6 of 14 - Sale ²⁵
	10.000	6.42	09/16/21	5.35	...	1.07	7 of 14 - Sale ²⁵
	10.000	6.42	09/16/21	5.35	...	1.07	8 of 14 - Sale ²⁵
	3.000	1.92	09/22/21	1.52	...	0.40	9 of 14 - Sale ²⁵
	1.000	0.64	09/27/21	0.53	...	0.11	10 of 14 - Sale ²⁵
	2.000	1.28	09/29/21	1.02	...	0.26	11 of 14 - Sale ²⁵
	2.000	1.29	09/29/21	1.02	...	0.27	12 of 14 - Sale ²⁵
	1.000	0.65	09/30/21	0.53	...	0.12	13 of 14 - Sale ²⁵
	2.000	1.28	09/30/21	1.08	...	0.20	14 of 14 - Sale ²⁵
10/01/21	255.000	163.69	Various	151.20	...	12.49	Total of 14 transactions
<i>3 transactions for 10/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.64	08/26/21	0.72	0.08 W	0.00	1 of 3 - Sale ²⁵
	2.000	1.28	08/26/21	1.51	0.23 W	0.00	2 of 3 - Sale ²⁵
	5.000	3.21	08/26/21	3.88	0.67 W	0.00	3 of 3 - Sale ²⁵
10/01/21	8.000	5.13	Various	6.11	0.98 W	0.00	Total of 3 transactions
<i>8 transactions for 11/08/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	2.68	10/25/21	3.31	...	-0.63	1 of 8 - Sale ²⁵
	2.000	1.07	10/25/21	1.28	...	-0.21	2 of 8 - Sale ²⁵
	1.000	0.54	10/25/21	0.61	...	-0.07	3 of 8 - Sale ²⁵
	192.000	103.02	10/25/21	101.28	...	1.74	4 of 8 - Sale ²⁵
	5.000	2.68	10/26/21	2.54	...	0.14	5 of 8 - Sale ²⁵
	2.000	1.07	10/27/21	1.02	...	0.05	6 of 8 - Sale ²⁵
	33.000	17.71	10/29/21	16.80	...	0.91	7 of 8 - Sale ²⁵
	4.000	2.15	11/01/21	2.08	...	0.07	8 of 8 - Sale ²⁵
11/08/21	244.000	130.92	Various	128.92	...	2.00	Total of 8 transactions
	Security total:	299.74		286.23	0.98 W	14.49	

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
02/09/21	10.000	111.70	02/03/21	103.20	...	8.50	Sale ²⁵
03/29/21	2.000	20.01	03/17/21	19.78	...	0.23	Sale ²⁵
	Security total:	131.71		122.98	...	8.73	
ZYNERBA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 98986X109 / Symbol:							
06/18/21	1.000	5.57	06/10/21	5.48	...	0.09	Sale ²⁵
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
10/06/21	2.000	22.42	10/05/21	18.90	...	3.52	Sale ²⁵
	3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	3.000	36.18	11/01/21	36.00	...	0.18	1 of 3 - Sale ²⁵
	1.000	12.06	11/02/21	12.05	...	0.01	2 of 3 - Sale ²⁵
	2.000	24.12	11/03/21	23.16	...	0.96	3 of 3 - Sale ²⁵
11/04/21	6.000	72.36	Various	71.21	...	1.15	Total of 3 transactions
	Security total:	94.78		90.11	...	4.67	
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol:							
	15 transactions for 09/30/21. Total proceeds and cost reported to the IRS.						
	2.000	1.00	09/13/21	0.56	...	0.44	1 of 15 - Sale ²⁵
	50.000	24.99	09/13/21	14.12	...	10.87	2 of 15 - Sale ²⁵
	1.000	0.50	09/27/21	0.22	...	0.28	3 of 15 - Sale ²⁵
	2.000	1.00	09/27/21	0.46	...	0.54	4 of 15 - Sale ²⁵
	2.000	1.00	09/28/21	0.50	...	0.50	5 of 15 - Sale ²⁵
	2.000	1.00	09/29/21	0.65	...	0.35	6 of 15 - Sale ²⁵
	4.000	2.00	09/29/21	0.98	...	1.02	7 of 15 - Sale ²⁵
	9.000	4.50	09/29/21	2.25	...	2.25	8 of 15 - Sale ²⁵
	12.000	6.00	09/29/21	3.03	...	2.97	9 of 15 - Sale ²⁵
	50.000	24.99	09/29/21	12.50	...	12.49	10 of 15 - Sale ²⁵
	80.000	39.99	09/29/21	24.94	...	15.05	11 of 15 - Sale ²⁵
	100.000	49.99	09/29/21	25.06	...	24.93	12 of 15 - Sale ²⁵
	100.000	49.99	09/29/21	25.00	...	24.99	13 of 15 - Sale ²⁵
	190.000	94.98	09/29/21	47.60	...	47.38	14 of 15 - Sale ²⁵
	200.000	99.97	09/29/21	49.96	...	50.01	15 of 15 - Sale ²⁵
09/30/21	804.000	401.90	Various	207.83	...	194.07	Total of 15 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol: (cont'd)							
87 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
15.000		6.40	09/30/21	6.04	...	0.36	1 of 87 - Sale ²⁵
50.000		21.34	09/30/21	20.38	...	0.96	2 of 87 - Sale ²⁵
1.000		0.43	10/01/21	0.38	...	0.05	3 of 87 - Sale ²⁵
1.000		0.43	10/01/21	0.38	...	0.05	4 of 87 - Sale ²⁵
4.000		1.71	10/01/21	1.66	...	0.05	5 of 87 - Sale ²⁵
4.000		1.71	10/01/21	1.60	...	0.11	6 of 87 - Sale ²⁵
10.000		4.27	10/01/21	3.92	...	0.35	7 of 87 - Sale ²⁵
12.000		5.12	10/01/21	4.56	...	0.56	8 of 87 - Sale ²⁵
20.000		8.54	10/01/21	7.83	...	0.71	9 of 87 - Sale ²⁵
25.000		10.67	10/01/21	9.82	...	0.85	10 of 87 - Sale ²⁵
20.000		8.54	10/01/21	7.65	...	0.89	11 of 87 - Sale ²⁵
2.000		0.85	10/04/21	0.79	...	0.06	12 of 87 - Sale ²⁵
20.000		8.54	10/04/21	8.07	...	0.47	13 of 87 - Sale ²⁵
25.000		10.67	10/04/21	9.92	...	0.75	14 of 87 - Sale ²⁵
25.000		10.67	10/04/21	9.65	...	1.02	15 of 87 - Sale ²⁵
50.000		21.34	10/04/21	20.18	...	1.16	16 of 87 - Sale ²⁵
2.000		0.85	10/05/21	0.66	...	0.19	17 of 87 - Sale ²⁵
5.000		2.13	10/05/21	1.89	...	0.24	18 of 87 - Sale ²⁵
3.000		1.28	10/05/21	0.98	...	0.30	19 of 87 - Sale ²⁵
30.000		12.81	10/05/21	10.07	...	2.74	20 of 87 - Sale ²⁵
50.000		21.34	10/05/21	16.53	...	4.81	21 of 87 - Sale ²⁵
50.000		21.34	10/05/21	16.51	...	4.83	22 of 87 - Sale ²⁵
1.000		0.43	10/06/21	0.32	...	0.11	23 of 87 - Sale ²⁵
2.000		0.85	10/06/21	0.74	...	0.11	24 of 87 - Sale ²⁵
2.000		0.85	10/06/21	0.73	...	0.12	25 of 87 - Sale ²⁵
2.000		0.85	10/06/21	0.72	...	0.13	26 of 87 - Sale ²⁵
3.000		1.28	10/06/21	1.05	...	0.23	27 of 87 - Sale ²⁵
50.000		21.34	10/06/21	18.55	...	2.79	28 of 87 - Sale ²⁵
50.000		21.34	10/06/21	18.53	...	2.81	29 of 87 - Sale ²⁵
25.000		10.67	10/06/21	7.82	...	2.85	30 of 87 - Sale ²⁵
50.000		21.34	10/06/21	18.48	...	2.86	31 of 87 - Sale ²⁵
50.000		21.35	10/06/21	18.49	...	2.86	32 of 87 - Sale ²⁵
50.000		21.34	10/06/21	18.42	...	2.92	33 of 87 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol: (cont'd)							
	100.000	42.69	10/06/21	36.82	...	5.87	34 of 87 - Sale ²⁵
	100.000	42.69	10/06/21	34.79	...	7.90	35 of 87 - Sale ²⁵
	1.000	0.43	10/07/21	0.37	...	0.06	36 of 87 - Sale ²⁵
	2.000	0.85	10/07/21	0.74	...	0.11	37 of 87 - Sale ²⁵
	10.000	4.27	10/07/21	3.76	...	0.51	38 of 87 - Sale ²⁵
	25.000	10.67	10/07/21	9.27	...	1.40	39 of 87 - Sale ²⁵
	1.000	0.43	10/08/21	0.37	...	0.06	40 of 87 - Sale ²⁵
	2.000	0.85	10/08/21	0.75	...	0.10	41 of 87 - Sale ²⁵
	2.000	0.85	10/08/21	0.73	...	0.12	42 of 87 - Sale ²⁵
	4.000	1.71	10/08/21	1.48	...	0.23	43 of 87 - Sale ²⁵
	4.000	1.71	10/08/21	1.48	...	0.23	44 of 87 - Sale ²⁵
	8.000	3.42	10/08/21	3.00	...	0.42	45 of 87 - Sale ²⁵
	20.000	8.54	10/08/21	7.55	...	0.99	46 of 87 - Sale ²⁵
	2.000	0.85	10/11/21	0.69	...	0.16	47 of 87 - Sale ²⁵
	4.000	1.71	10/11/21	1.39	...	0.32	48 of 87 - Sale ²⁵
	10.000	4.27	10/11/21	3.53	...	0.74	49 of 87 - Sale ²⁵
	3.000	1.28	10/12/21	1.09	...	0.19	50 of 87 - Sale ²⁵
	6.000	2.56	10/12/21	2.04	...	0.52	51 of 87 - Sale ²⁵
	1.000	0.43	10/13/21	0.36	...	0.07	52 of 87 - Sale ²⁵
	10.000	4.27	10/13/21	3.63	...	0.64	53 of 87 - Sale ²⁵
	1.000	0.43	10/14/21	0.34	...	0.09	54 of 87 - Sale ²⁵
	2.000	0.85	10/14/21	0.69	...	0.16	55 of 87 - Sale ²⁵
	40.000	17.08	10/14/21	13.80	...	3.28	56 of 87 - Sale ²⁵
	3.000	1.28	10/15/21	1.05	...	0.23	57 of 87 - Sale ²⁵
	3.000	1.28	10/18/21	1.03	...	0.25	58 of 87 - Sale ²⁵
	4.000	1.71	10/18/21	1.39	...	0.32	59 of 87 - Sale ²⁵
	5.000	2.13	10/18/21	1.70	...	0.43	60 of 87 - Sale ²⁵
	25.000	10.67	10/18/21	8.55	...	2.12	61 of 87 - Sale ²⁵
	9.000	3.84	10/19/21	3.01	...	0.83	62 of 87 - Sale ²⁵
	100.000	42.69	10/19/21	33.93	...	8.76	63 of 87 - Sale ²⁵
	1.000	0.43	10/20/21	0.33	...	0.10	64 of 87 - Sale ²⁵
	2.000	0.85	10/20/21	0.67	...	0.18	65 of 87 - Sale ²⁵
	2.000	0.85	10/20/21	0.67	...	0.18	66 of 87 - Sale ²⁵
	2.000	0.85	10/20/21	0.66	...	0.19	67 of 87 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol: (cont'd)							
	1.000	0.43	10/21/21	0.33	...	0.10	68 of 87 - Sale ²⁵
	1.000	0.43	10/21/21	0.32	...	0.11	69 of 87 - Sale ²⁵
	3.000	1.28	10/21/21	0.99	...	0.29	70 of 87 - Sale ²⁵
	6.000	2.56	10/21/21	1.89	...	0.67	71 of 87 - Sale ²⁵
	100.000	42.69	10/21/21	33.40	...	9.29	72 of 87 - Sale ²⁵
	2.000	0.85	10/22/21	0.59	...	0.26	73 of 87 - Sale ²⁵
	4.000	1.71	10/22/21	1.20	...	0.51	74 of 87 - Sale ²⁵
	7.000	2.99	10/22/21	2.07	...	0.92	75 of 87 - Sale ²⁵
	10.000	4.27	10/22/21	2.91	...	1.36	76 of 87 - Sale ²⁵
	2.000	0.85	10/25/21	0.65	...	0.20	77 of 87 - Sale ²⁵
	2.000	0.85	10/25/21	0.63	...	0.22	78 of 87 - Sale ²⁵
	3.000	1.28	10/25/21	0.99	...	0.29	79 of 87 - Sale ²⁵
	10.000	4.27	10/25/21	3.22	...	1.05	80 of 87 - Sale ²⁵
	20.000	8.54	10/25/21	6.51	...	2.03	81 of 87 - Sale ²⁵
	100.000	42.69	10/25/21	32.64	...	10.05	82 of 87 - Sale ²⁵
	100.000	42.69	10/25/21	32.62	...	10.07	83 of 87 - Sale ²⁵
	2.000	0.85	10/26/21	0.71	...	0.14	84 of 87 - Sale ²⁵
	2.000	0.86	10/26/21	0.70	...	0.16	85 of 87 - Sale ²⁵
	2.000	0.85	10/26/21	0.66	...	0.19	86 of 87 - Sale ²⁵
	50.000	21.36	10/26/21	17.58	...	3.78	87 of 87 - Sale ²⁵
10/27/21	1,650.000	704.34	Various	585.59	...	118.75	Total of 87 transactions
	7 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	1.000	0.43	09/30/21	0.47	0.04 W	0.00	1 of 7 - Sale ²⁵
	1.000	0.43	09/30/21	0.47	0.04 W	0.00	2 of 7 - Sale ²⁵
	3.000	1.28	09/30/21	1.35	0.07 W	0.00	3 of 7 - Sale ²⁵
	10.000	4.27	09/30/21	4.69	0.42 W	0.00	4 of 7 - Sale ²⁵
	25.000	10.67	09/30/21	11.00	0.33 W	0.00	5 of 7 - Sale ²⁵
	150.000	64.03	09/30/21	72.33	8.30 W	0.00	6 of 7 - Sale ²⁵
	30.000	12.81	10/01/21	13.47	0.66 W	0.00	7 of 7 - Sale ²⁵
10/27/21	220.000	93.92	Various	103.78	9.86 W	0.00	Total of 7 transactions
	87 transactions for 12/06/21. Total proceeds and cost reported to the IRS.						
	1.000	0.21	11/03/21	0.41	0.20 W	0.00	1 of 87 - Sale ²⁵
	1.000	0.21	11/04/21	0.40	0.19 W	0.00	2 of 87 - Sale ²⁵
	1.000	0.21	11/04/21	0.40	0.19 W	0.00	3 of 87 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol: (cont'd)							
	3.000	0.64	11/04/21	1.15	0.51 W	0.00	4 of 87 - Sale ²⁵
	10.000	2.12	11/04/21	3.71	1.59 W	0.00	5 of 87 - Sale ²⁵
	10.000	2.12	11/04/21	4.02	1.90 W	0.00	6 of 87 - Sale ²⁵
	11.000	2.33	11/04/21	4.19	1.86 W	0.00	7 of 87 - Sale ²⁵
	25.000	5.30	11/04/21	9.32	4.02 W	0.00	8 of 87 - Sale ²⁵
	149.000	31.57	11/04/21	61.84	30.27 W	0.00	9 of 87 - Sale ²⁵
	1.000	0.21	11/05/21	0.37	0.16 W	0.00	10 of 87 - Sale ²⁵
	1.000	0.21	11/05/21	0.38	0.17 W	0.00	11 of 87 - Sale ²⁵
	3.000	0.64	11/05/21	1.13	0.49 W	0.00	12 of 87 - Sale ²⁵
	4.000	0.85	11/05/21	1.49	0.64 W	0.00	13 of 87 - Sale ²⁵
	6.000	1.27	11/05/21	2.11	0.84 W	0.00	14 of 87 - Sale ²⁵
	1.000	0.21	11/08/21	0.35	0.14 W	0.00	15 of 87 - Sale ²⁵
	1.000	0.21	11/08/21	0.35	0.14 W	0.00	16 of 87 - Sale ²⁵
	1.000	0.21	11/08/21	0.35	0.14 W	0.00	17 of 87 - Sale ²⁵
	1.000	0.21	11/08/21	0.36	0.15 W	0.00	18 of 87 - Sale ²⁵
	5.000	1.06	11/08/21	1.75	0.69 W	0.00	19 of 87 - Sale ²⁵
	14.000	2.97	11/08/21	4.87	1.90 W	0.00	20 of 87 - Sale ²⁵
	1.000	0.21	11/09/21	0.34	0.13 W	0.00	21 of 87 - Sale ²⁵
	2.000	0.42	11/09/21	0.68	0.26 W	0.00	22 of 87 - Sale ²⁵
	3.000	0.64	11/09/21	1.02	0.38 W	0.00	23 of 87 - Sale ²⁵
	6.000	1.27	11/09/21	2.07	0.80 W	0.00	24 of 87 - Sale ²⁵
	7.000	1.48	11/09/21	2.43	0.95 W	0.00	25 of 87 - Sale ²⁵
	1.000	0.21	11/10/21	0.32	0.11 W	0.00	26 of 87 - Sale ²⁵
	1.000	0.21	11/10/21	0.32	0.11 W	0.00	27 of 87 - Sale ²⁵
	1.000	0.21	11/10/21	0.32	0.11 W	0.00	28 of 87 - Sale ²⁵
	1.000	0.21	11/10/21	0.33	0.12 W	0.00	29 of 87 - Sale ²⁵
	1.000	0.21	11/11/21	0.32	0.11 W	0.00	30 of 87 - Sale ²⁵
	1.000	0.21	11/11/21	0.32	0.11 W	0.00	31 of 87 - Sale ²⁵
	2.000	0.42	11/11/21	0.66	0.24 W	0.00	32 of 87 - Sale ²⁵
	1.000	0.21	11/12/21	0.32	0.11 W	0.00	33 of 87 - Sale ²⁵
	1.000	0.21	11/12/21	0.32	0.11 W	0.00	34 of 87 - Sale ²⁵
	1.000	0.21	11/12/21	0.33	0.12 W	0.00	35 of 87 - Sale ²⁵
	1.000	0.21	11/12/21	0.33	0.12 W	0.00	36 of 87 - Sale ²⁵
	3.000	0.64	11/12/21	0.97	0.33 W	0.00	37 of 87 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol: (cont'd)							
	11.000	2.33	11/12/21	3.48	1.15 W	0.00	38 of 87 - Sale ²⁵
	1.000	0.21	11/15/21	0.34	0.13 W	0.00	39 of 87 - Sale ²⁵
	2.000	0.42	11/15/21	0.66	0.24 W	0.00	40 of 87 - Sale ²⁵
	25.000	5.30	11/15/21	8.54	3.24 W	0.00	41 of 87 - Sale ²⁵
	2.000	0.42	11/16/21	0.61	0.19 W	0.00	42 of 87 - Sale ²⁵
	3.000	0.64	11/16/21	0.92	0.28 W	0.00	43 of 87 - Sale ²⁵
	7.000	1.48	11/16/21	2.15	0.67 W	0.00	44 of 87 - Sale ²⁵
	1.000	0.21	11/17/21	0.31	0.10 W	0.00	45 of 87 - Sale ²⁵
	3.000	0.64	11/17/21	0.89	0.25 W	0.00	46 of 87 - Sale ²⁵
	3.000	0.64	11/17/21	0.91	0.27 W	0.00	47 of 87 - Sale ²⁵
	4.000	0.85	11/17/21	1.19	0.34 W	0.00	48 of 87 - Sale ²⁵
	3.000	0.64	11/18/21	0.86	0.22 W	0.00	49 of 87 - Sale ²⁵
	4.000	0.85	11/18/21	1.13	0.28 W	0.00	50 of 87 - Sale ²⁵
	7.000	1.48	11/22/21	1.96	0.48 W	0.00	51 of 87 - Sale ²⁵
	2.000	0.42	11/23/21	0.54	0.12 W	0.00	52 of 87 - Sale ²⁵
	2.000	0.42	11/23/21	0.55	0.13 W	0.00	53 of 87 - Sale ²⁵
	3.000	0.64	11/23/21	0.82	0.18 W	0.00	54 of 87 - Sale ²⁵
	1.000	0.21	11/24/21	0.26	0.05 W	0.00	55 of 87 - Sale ²⁵
	1.000	0.21	11/24/21	0.27	0.06 W	0.00	56 of 87 - Sale ²⁵
	13.000	2.76	11/24/21	3.49	0.73 W	0.00	57 of 87 - Sale ²⁵
	1.000	0.21	11/29/21	0.26	0.05 W	0.00	58 of 87 - Sale ²⁵
	3.000	0.64	11/29/21	0.77	0.13 W	0.00	59 of 87 - Sale ²⁵
	4.000	0.85	11/29/21	1.03	0.18 W	0.00	60 of 87 - Sale ²⁵
	5.000	1.06	11/29/21	1.31	0.25 W	0.00	61 of 87 - Sale ²⁵
	6.000	1.27	11/29/21	1.55	0.28 W	0.00	62 of 87 - Sale ²⁵
	6.000	1.27	11/29/21	1.55	0.28 W	0.00	63 of 87 - Sale ²⁵
	7.000	1.48	11/29/21	1.82	0.34 W	0.00	64 of 87 - Sale ²⁵
	12.000	2.54	11/29/21	3.24	0.70 W	0.00	65 of 87 - Sale ²⁵
	1.000	0.21	11/30/21	0.25	0.04 W	0.00	66 of 87 - Sale ²⁵
	1.000	0.21	11/30/21	0.25	0.04 W	0.00	67 of 87 - Sale ²⁵
	6.000	1.27	11/30/21	1.48	0.21 W	0.00	68 of 87 - Sale ²⁵
	9.000	1.91	11/30/21	2.35	0.44 W	0.00	69 of 87 - Sale ²⁵
	1.000	0.21	12/01/21	0.23	0.02 W	0.00	70 of 87 - Sale ²⁵
	2.000	0.42	12/01/21	0.46	0.04 W	0.00	71 of 87 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol: (cont'd)							
	2.000	0.42	12/01/21	0.46	0.04 W	0.00	72 of 87 - Sale ²⁵
	3.000	0.64	12/01/21	0.75	0.11 W	0.00	73 of 87 - Sale ²⁵
	3.000	0.64	12/01/21	0.75	0.11 W	0.00	74 of 87 - Sale ²⁵
	4.000	0.85	12/01/21	0.91	0.06 W	0.00	75 of 87 - Sale ²⁵
	4.000	0.85	12/01/21	1.00	0.15 W	0.00	76 of 87 - Sale ²⁵
	6.000	1.27	12/01/21	1.37	0.10 W	0.00	77 of 87 - Sale ²⁵
	13.000	2.76	12/01/21	3.17	0.41 W	0.00	78 of 87 - Sale ²⁵
	1.000	0.21	12/02/21	0.24	0.03 W	0.00	79 of 87 - Sale ²⁵
	8.000	1.70	12/02/21	1.94	0.24 W	0.00	80 of 87 - Sale ²⁵
	1.000	0.21	12/03/21	0.23	0.02 W	0.00	81 of 87 - Sale ²⁵
	3.000	0.64	12/03/21	0.68	0.04 W	0.00	82 of 87 - Sale ²⁵
	3.000	0.64	12/03/21	0.69	0.05 W	0.00	83 of 87 - Sale ²⁵
	3.000	0.64	12/03/21	0.70	0.06 W	0.00	84 of 87 - Sale ²⁵
	3.000	0.64	12/03/21	0.71	0.07 W	0.00	85 of 87 - Sale ²⁵
	4.000	0.85	12/03/21	0.91	0.06 W	0.00	86 of 87 - Sale ²⁵
	25.000	5.29	12/03/21	5.59	0.30 W	0.00	87 of 87 - Sale ²⁵
12/06/21	531.000	112.51	Various	176.88	64.37 W	0.00	Total of 87 transactions
	4 transactions for 12/09/21. Total proceeds and cost reported to the IRS.						
	1.000	0.26	12/07/21	0.25	...	0.01	1 of 4 - Sale ²⁵
	2.000	0.53	12/07/21	0.51	...	0.02	2 of 4 - Sale ²⁵
	4.000	1.06	12/07/21	1.00	...	0.06	3 of 4 - Sale ²⁵
	473.000	125.03	12/07/21	120.25	...	4.78	4 of 4 - Sale ²⁵
12/09/21	480.000	126.88	Various	122.01	...	4.87	Total of 4 transactions
	88 transactions for 12/09/21. Total proceeds and cost reported to the IRS.						
	1.000	0.26	12/03/21	0.42	0.16 W	0.00	1 of 88 - Sale ²⁵
	3.000	0.79	12/06/21	1.32	0.53 W	0.00	2 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.27	0.01 W	0.00	3 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.27	0.01 W	0.00	4 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.28	0.02 W	0.00	5 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.29	0.03 W	0.00	6 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.29	0.03 W	0.00	7 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.30	0.04 W	0.00	8 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.30	0.04 W	0.00	9 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.31	0.05 W	0.00	10 of 88 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol: (cont'd)							
	1.000	0.26	12/07/21	0.35	0.09 W	0.00	11 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.36	0.10 W	0.00	12 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.36	0.10 W	0.00	13 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.36	0.10 W	0.00	14 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.36	0.10 W	0.00	15 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.36	0.10 W	0.00	16 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.36	0.10 W	0.00	17 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.36	0.10 W	0.00	18 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.37	0.11 W	0.00	19 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.37	0.11 W	0.00	20 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.37	0.11 W	0.00	21 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.38	0.12 W	0.00	22 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.38	0.12 W	0.00	23 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.39	0.13 W	0.00	24 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.39	0.13 W	0.00	25 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.39	0.13 W	0.00	26 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.40	0.14 W	0.00	27 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.41	0.15 W	0.00	28 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.42	0.16 W	0.00	29 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.44	0.18 W	0.00	30 of 88 - Sale ²⁵
	1.000	0.26	12/07/21	0.44	0.18 W	0.00	31 of 88 - Sale ²⁵
	2.000	0.53	12/07/21	0.55	0.02 W	0.00	32 of 88 - Sale ²⁵
	2.000	0.53	12/07/21	0.55	0.02 W	0.00	33 of 88 - Sale ²⁵
	2.000	0.53	12/07/21	0.63	0.10 W	0.00	34 of 88 - Sale ²⁵
	2.000	0.53	12/07/21	0.64	0.11 W	0.00	35 of 88 - Sale ²⁵
	2.000	0.53	12/07/21	0.70	0.17 W	0.00	36 of 88 - Sale ²⁵
	2.000	0.53	12/07/21	0.75	0.22 W	0.00	37 of 88 - Sale ²⁵
	2.000	0.53	12/07/21	0.75	0.22 W	0.00	38 of 88 - Sale ²⁵
	2.000	0.53	12/07/21	0.77	0.24 W	0.00	39 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	0.80	0.01 W	0.00	40 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	0.81	0.02 W	0.00	41 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	0.82	0.03 W	0.00	42 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	0.83	0.04 W	0.00	43 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	0.87	0.08 W	0.00	44 of 88 - Sale ²⁵

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol: (cont'd)							
	3.000	0.79	12/07/21	0.87	0.08 W	0.00	45 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	0.89	0.10 W	0.00	46 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	0.94	0.15 W	0.00	47 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	0.98	0.19 W	0.00	48 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	1.01	0.22 W	0.00	49 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	1.03	0.24 W	0.00	50 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	1.04	0.25 W	0.00	51 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	1.09	0.30 W	0.00	52 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	1.14	0.35 W	0.00	53 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	1.25	0.46 W	0.00	54 of 88 - Sale ²⁵
	3.000	0.79	12/07/21	1.27	0.48 W	0.00	55 of 88 - Sale ²⁵
	4.000	1.06	12/07/21	1.08	0.02 W	0.00	56 of 88 - Sale ²⁵
	4.000	1.06	12/07/21	1.08	0.02 W	0.00	57 of 88 - Sale ²⁵
	4.000	1.06	12/07/21	1.17	0.11 W	0.00	58 of 88 - Sale ²⁵
	4.000	1.06	12/07/21	1.20	0.14 W	0.00	59 of 88 - Sale ²⁵
	4.000	1.06	12/07/21	1.30	0.24 W	0.00	60 of 88 - Sale ²⁵
	4.000	1.06	12/07/21	1.36	0.30 W	0.00	61 of 88 - Sale ²⁵
	4.000	1.06	12/07/21	1.66	0.60 W	0.00	62 of 88 - Sale ²⁵
	5.000	1.32	12/07/21	1.52	0.20 W	0.00	63 of 88 - Sale ²⁵
	5.000	1.32	12/07/21	1.96	0.64 W	0.00	64 of 88 - Sale ²⁵
	6.000	1.59	12/07/21	1.63	0.04 W	0.00	65 of 88 - Sale ²⁵
	6.000	1.59	12/07/21	1.74	0.15 W	0.00	66 of 88 - Sale ²⁵
	6.000	1.59	12/07/21	1.81	0.22 W	0.00	67 of 88 - Sale ²⁵
	6.000	1.59	12/07/21	1.81	0.22 W	0.00	68 of 88 - Sale ²⁵
	6.000	1.59	12/07/21	2.32	0.73 W	0.00	69 of 88 - Sale ²⁵
	6.000	1.59	12/07/21	2.36	0.77 W	0.00	70 of 88 - Sale ²⁵
	7.000	1.85	12/07/21	2.12	0.27 W	0.00	71 of 88 - Sale ²⁵
	7.000	1.85	12/07/21	2.26	0.41 W	0.00	72 of 88 - Sale ²⁵
	7.000	1.85	12/07/21	2.45	0.60 W	0.00	73 of 88 - Sale ²⁵
	7.000	1.85	12/07/21	2.73	0.88 W	0.00	74 of 88 - Sale ²⁵
	8.000	2.11	12/07/21	2.27	0.16 W	0.00	75 of 88 - Sale ²⁵
	9.000	2.38	12/07/21	2.73	0.35 W	0.00	76 of 88 - Sale ²⁵
	10.000	2.64	12/07/21	4.13	1.49 W	0.00	77 of 88 - Sale ²⁵
	10.000	2.64	12/07/21	4.44	1.80 W	0.00	78 of 88 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol: (cont'd)							
	11.000	2.91	12/07/21	3.95	1.04 W	0.00	79 of 88 - Sale ²⁵
	11.000	2.91	12/07/21	4.65	1.74 W	0.00	80 of 88 - Sale ²⁵
	12.000	3.17	12/07/21	3.75	0.58 W	0.00	81 of 88 - Sale ²⁵
	13.000	3.44	12/07/21	3.71	0.27 W	0.00	82 of 88 - Sale ²⁵
	13.000	3.44	12/07/21	4.03	0.59 W	0.00	83 of 88 - Sale ²⁵
	14.000	3.70	12/07/21	5.46	1.76 W	0.00	84 of 88 - Sale ²⁵
	25.000	6.61	12/07/21	6.66	0.05 W	0.00	85 of 88 - Sale ²⁵
	25.000	6.61	12/07/21	9.59	2.98 W	0.00	86 of 88 - Sale ²⁵
	25.000	6.61	12/07/21	10.37	3.76 W	0.00	87 of 88 - Sale ²⁵
	146.000	38.57	12/07/21	66.75	28.18 W	0.00	88 of 88 - Sale ²⁵
12/09/21	531.000	140.21	Various	199.10	58.89 W	0.00	Total of 88 transactions
	Security total:	1,579.76		1,395.19	133.12 W	317.69	
GENIUS SPORTS LIMITED / CUSIP: G3934V109 / Symbol:							
2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	1.000	10.01	11/24/21	10.20	...	-0.19	1 of 2 - Sale ²⁵
	2.000	20.02	11/24/21	19.64	...	0.38	2 of 2 - Sale ²⁵
11/29/21	3.000	30.03	Various	29.84	...	0.19	Total of 2 transactions
G MEDICAL INNOVATIONS HOLDINGS LTD. ORDINARY SHARES / CUSIP: G39462208 / Symbol:							
2 transactions for 12/30/21. Total proceeds and cost reported to the IRS.							
	29.000	46.60	12/29/21	49.01	...	-2.41	1 of 2 - Sale ²⁵
	0.586	0.93	12/29/21	1.09	...	-0.16	2 of 2 - Sale ²⁵
12/30/21	29.586	47.53	Various	50.10	...	-2.57	Total of 2 transactions
12/30/21	50.000	80.34	12/28/21	89.00	0.10 W	-8.56	Sale ²⁵
	Security total:	127.87		139.10	0.10 W	-11.13	
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
7 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	1.000	2.44	06/14/21	3.93	...	-1.49	1 of 7 - Sale ²⁵
	1.000	2.43	07/12/21	2.61	...	-0.18	2 of 7 - Sale ²⁵
	1.000	2.44	07/12/21	2.60	...	-0.16	3 of 7 - Sale ²⁵
	4.000	9.74	07/16/21	9.12	...	0.62	4 of 7 - Sale ²⁵
	5.000	12.17	07/26/21	11.69	...	0.48	5 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol: (cont'd)							
	7.000	17.05	07/26/21	16.38	...	0.67	6 of 7 - Sale ²⁵
	5.000	12.17	07/28/21	11.75	...	0.42	7 of 7 - Sale ²⁵
08/05/21	24.000	58.44	Various	58.08	...	0.36	Total of 7 transactions
METEN HOLDING GROUP LTD. ORDIN ARY SHARES / CUSIP: G6055H114 / Symbol:							
13 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	0.68	10/27/21	0.40	...	0.28	1 of 13 - Sale ²⁵
	5.000	3.40	10/27/21	2.31	...	1.09	2 of 13 - Sale ²⁵
	5.000	3.40	10/27/21	2.31	...	1.09	3 of 13 - Sale ²⁵
	5.000	3.40	10/27/21	2.27	...	1.13	4 of 13 - Sale ²⁵
	12.000	8.16	10/27/21	6.99	...	1.17	5 of 13 - Sale ²⁵
	10.000	6.80	10/27/21	5.15	...	1.65	6 of 13 - Sale ²⁵
	15.000	10.20	10/27/21	7.72	...	2.48	7 of 13 - Sale ²⁵
	12.000	8.16	10/27/21	5.57	...	2.59	8 of 13 - Sale ²⁵
	88.000	59.83	10/27/21	53.68	...	6.15	9 of 13 - Sale ²⁵
	1.000	0.68	10/28/21	0.48	...	0.20	10 of 13 - Sale ²⁵
	3.000	2.04	10/28/21	1.51	...	0.53	11 of 13 - Sale ²⁵
	8.000	5.44	10/28/21	4.72	...	0.72	12 of 13 - Sale ²⁵
	50.000	33.98	10/28/21	30.85	...	3.13	13 of 13 - Sale ²⁵
10/29/21	215.000	146.17	Various	123.96	...	22.21	Total of 13 transactions
22 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	2.000	1.45	10/29/21	1.40	...	0.05	1 of 22 - Sale ²⁵
	15.000	10.90	10/29/21	10.84	...	0.06	2 of 22 - Sale ²⁵
	1.000	0.73	10/29/21	0.61	...	0.12	3 of 22 - Sale ²⁵
	50.000	36.33	10/29/21	35.78	...	0.55	4 of 22 - Sale ²⁵
	9.000	6.54	10/29/21	5.93	...	0.61	5 of 22 - Sale ²⁵
	50.000	36.33	10/29/21	32.59	...	3.74	6 of 22 - Sale ²⁵
	75.000	54.49	10/29/21	45.45	...	9.04	7 of 22 - Sale ²⁵
	143.000	103.90	10/29/21	94.75	...	9.15	8 of 22 - Sale ²⁵
	1.000	0.73	11/01/21	0.58	...	0.15	9 of 22 - Sale ²⁵
	1.000	0.73	11/01/21	0.57	...	0.16	10 of 22 - Sale ²⁵
	2.000	1.45	11/01/21	1.29	...	0.16	11 of 22 - Sale ²⁵
	3.000	2.18	11/01/21	1.74	...	0.44	12 of 22 - Sale ²⁵
	6.000	4.36	11/01/21	3.48	...	0.88	13 of 22 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
METEN HOLDING GROUP LTD. ORDINARY SHARES / CUSIP: G6055H114 / Symbol: (cont'd)							
	10.000	7.27	11/01/21	6.06	...	1.21	14 of 22 - Sale ²⁵
	11.000	7.99	11/01/21	6.38	...	1.61	15 of 22 - Sale ²⁵
	50.000	36.33	11/01/21	29.78	...	6.55	16 of 22 - Sale ²⁵
	2.000	1.45	11/02/21	1.07	...	0.38	17 of 22 - Sale ²⁵
	7.000	5.09	11/02/21	3.69	...	1.40	18 of 22 - Sale ²⁵
	75.000	54.49	11/03/21	41.47	...	13.02	19 of 22 - Sale ²⁵
	75.000	54.49	11/03/21	41.36	...	13.13	20 of 22 - Sale ²⁵
	100.000	72.65	11/04/21	52.67	...	19.98	21 of 22 - Sale ²⁵
	2.000	1.45	11/05/21	1.19	...	0.26	22 of 22 - Sale ²⁵
11/08/21	690.000	501.33	Various	418.68	...	82.65	Total of 22 transactions
	2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.						
	100.000	36.15	11/22/21	49.61	...	-13.46	1 of 2 - Sale ²⁵
	2.000	0.72	11/26/21	0.70	...	0.02	2 of 2 - Sale ²⁵
11/29/21	102.000	36.87	Various	50.31	...	-13.44	Total of 2 transactions
	2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.						
	148.000	53.50	11/18/21	70.86	0.23 W	-17.13	1 of 2 - Sale ²⁵
	100.000	36.19	11/18/21	47.88	11.69 W	0.00	2 of 2 - Sale ²⁵
11/29/21	248.000	89.69	Various	118.74	11.92 W	-17.13	Total of 2 transactions
12/01/21	2.000	0.69	11/26/21	0.92	...	-0.23	Sale ²⁵
	Security total:	774.75		712.61	11.92 W	74.06	
OXBRIDGE RE HOLDINGS LIMITED ORDINARY SHARES / CUSIP: G6856M106 / Symbol:							
	6 transactions for 11/19/21. Total proceeds and cost reported to the IRS.						
	3.000	13.08	11/12/21	14.79	...	-1.71	1 of 6 - Sale ²⁵
	1.000	4.36	11/12/21	4.75	...	-0.39	2 of 6 - Sale ²⁵
	1.000	4.36	11/15/21	3.82	...	0.54	3 of 6 - Sale ²⁵
	5.000	21.80	11/15/21	19.50	...	2.30	4 of 6 - Sale ²⁵
	3.000	13.08	11/16/21	11.40	...	1.68	5 of 6 - Sale ²⁵
	3.000	13.08	11/18/21	12.15	...	0.93	6 of 6 - Sale ²⁵
11/19/21	16.000	69.76	Various	66.41	...	3.35	Total of 6 transactions

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PAYSAFE LIMITED / CUSIP: G6964L107 / Symbol:							
<i>18 transactions for 09/03/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	27.18	06/28/21	39.42	...	-12.24	1 of 18 - Sale ²⁵
	1.000	9.06	06/29/21	11.93	...	-2.87	2 of 18 - Sale ²⁵
	2.000	18.12	07/07/21	22.30	...	-4.18	3 of 18 - Sale ²⁵
	3.000	27.18	07/16/21	32.16	...	-4.98	4 of 18 - Sale ²⁵
	5.000	45.30	08/05/21	52.23	...	-6.93	5 of 18 - Sale ²⁵
	1.000	9.06	08/10/21	10.67	...	-1.61	6 of 18 - Sale ²⁵
	8.000	72.48	08/13/21	82.08	...	-9.60	7 of 18 - Sale ²⁵
	10.000	90.60	08/16/21	91.00	...	-0.40	8 of 18 - Sale ²⁵
	10.000	90.60	08/16/21	89.90	...	0.70	9 of 18 - Sale ²⁵
	1.000	9.06	08/17/21	8.48	...	0.58	10 of 18 - Sale ²⁵
	6.000	54.36	08/17/21	51.36	...	3.00	11 of 18 - Sale ²⁵
	1.000	9.06	08/18/21	8.52	...	0.54	12 of 18 - Sale ²⁵
	1.000	9.06	08/18/21	8.49	...	0.57	13 of 18 - Sale ²⁵
	5.000	45.30	08/23/21	43.24	...	2.06	14 of 18 - Sale ²⁵
	1.000	9.06	08/25/21	8.55	...	0.51	15 of 18 - Sale ²⁵
	2.000	18.12	08/26/21	16.92	...	1.20	16 of 18 - Sale ²⁵
	40.000	362.39	08/30/21	331.80	...	30.59	17 of 18 - Sale ²⁵
	50.000	452.98	09/01/21	446.25	...	6.73	18 of 18 - Sale ²⁵
09/03/21	150.000	1,358.97	Various	1,355.30	...	3.67	Total of 18 transactions
<i>3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.45	11/11/21	4.23	...	0.22	1 of 3 - Sale ²⁵
	10.000	44.50	11/11/21	44.10	...	0.40	2 of 3 - Sale ²⁵
	20.000	89.00	11/11/21	87.50	...	1.50	3 of 3 - Sale ²⁵
11/12/21	31.000	137.95	Various	135.83	...	2.12	Total of 3 transactions
<i>11 transactions for 12/02/21. Total proceeds and cost reported to the IRS.</i>							
	12.000	40.92	11/15/21	53.76	...	-12.84	1 of 11 - Sale ²⁵
	2.000	6.82	11/17/21	8.48	...	-1.66	2 of 11 - Sale ²⁵
	2.000	6.82	11/19/21	8.00	...	-1.18	3 of 11 - Sale ²⁵
	1.000	3.41	11/23/21	3.88	...	-0.47	4 of 11 - Sale ²⁵
	25.000	85.24	11/29/21	95.00	...	-9.76	5 of 11 - Sale ²⁵
	4.000	13.64	11/29/21	15.12	...	-1.48	6 of 11 - Sale ²⁵
	3.000	10.23	11/29/21	11.55	...	-1.32	7 of 11 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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PAYSAFE LIMITED / CUSIP: G6964L107 / Symbol: (cont'd)							
	1.000	3.41	11/29/21	3.79	...	-0.38	8 of 11 - Sale ²⁵
	3.000	10.23	11/30/21	10.98	...	-0.75	9 of 11 - Sale ²⁵
	1.000	3.41	12/01/21	3.65	...	-0.24	10 of 11 - Sale ²⁵
	1.000	3.41	12/01/21	3.42	...	-0.01	11 of 11 - Sale ²⁵
12/02/21	55.000	187.54	Various	217.63	...	-30.09	Total of 11 transactions
	Security total:	1,684.46		1,708.76	...	-24.30	
POP CULTURE GROUP CO., LTD CLA SS A ORDINARY SHARES / CUSIP: G71700101 / Symbol:							
3 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	9.000	24.71	12/28/21	24.12	...	0.59	1 of 3 - Sale ²⁵
	10.000	27.45	12/28/21	26.70	...	0.75	2 of 3 - Sale ²⁵
	30.000	82.35	12/28/21	81.60	...	0.75	3 of 3 - Sale ²⁵
12/29/21	49.000	134.51	Various	132.42	...	2.09	Total of 3 transactions
TDH HOLDINGS, INC. COMMON SHAR ES / CUSIP: G87084102 / Symbol:							
11/23/21	2.000	11.30	11/09/21	18.47	7.17 W	0.00	Sale ²⁵
6 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	1.000	4.65	11/09/21	12.21	7.56 W	0.00	1 of 6 - Sale ²⁵
	8.000	37.20	11/09/21	73.88	36.68 W	0.00	2 of 6 - Sale ²⁵
	1.000	4.65	11/10/21	9.93	5.28 W	0.00	3 of 6 - Sale ²⁵
	1.000	4.65	11/11/21	6.19	1.54 W	0.00	4 of 6 - Sale ²⁵
	1.000	4.65	11/15/21	6.29	1.64 W	0.00	5 of 6 - Sale ²⁵
	3.000	13.95	11/17/21	16.58	2.63 W	0.00	6 of 6 - Sale ²⁵
12/01/21	15.000	69.75	Various	125.08	55.33 W	0.00	Total of 6 transactions
6 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	8.000	34.64	12/01/21	73.48	...	-38.84	1 of 6 - Sale ²⁵
	1.000	4.33	12/01/21	12.16	...	-7.83	2 of 6 - Sale ²⁵
	1.000	4.33	12/01/21	9.88	...	-5.55	3 of 6 - Sale ²⁵
	3.000	12.99	12/01/21	16.43	...	-3.44	4 of 6 - Sale ²⁵
	1.000	4.33	12/01/21	6.24	...	-1.91	5 of 6 - Sale ²⁵
	1.000	4.33	12/01/21	6.14	...	-1.81	6 of 6 - Sale ²⁵
12/02/21	15.000	64.95	Various	124.33	...	-59.38	Total of 6 transactions
	Security total:	146.00		267.88	62.50 W	-59.38	

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Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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COMPUGEN LTD. ORDINARY SHARES / CUSIP: M25722105 / Symbol:							
06/24/21	1.000	8.69	06/11/21	8.23	...	0.46	Sale ²⁵
GALMED PHARMACEUTICALS LTD. ORDINARY SHARES / CUSIP: M47238106 / Symbol:							
46 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
10.000		33.00	02/11/21	55.50	...	-22.50	1 of 46 - Sale ²⁵
2.000		6.60	02/11/21	10.66	...	-4.06	2 of 46 - Sale ²⁵
2.000		6.60	02/16/21	9.14	...	-2.54	3 of 46 - Sale ²⁵
2.000		6.60	02/17/21	9.24	...	-2.64	4 of 46 - Sale ²⁵
4.000		13.20	02/18/21	18.08	...	-4.88	5 of 46 - Sale ²⁵
1.000		3.30	02/23/21	4.05	...	-0.75	6 of 46 - Sale ²⁵
2.000		6.60	02/24/21	8.32	...	-1.72	7 of 46 - Sale ²⁵
3.000		9.90	02/25/21	12.63	...	-2.73	8 of 46 - Sale ²⁵
1.000		3.30	02/25/21	4.07	...	-0.77	9 of 46 - Sale ²⁵
1.000		3.30	03/04/21	3.71	...	-0.41	10 of 46 - Sale ²⁵
5.000		16.50	03/10/21	17.50	...	-1.00	11 of 46 - Sale ²⁵
3.000		9.90	03/10/21	10.11	...	-0.21	12 of 46 - Sale ²⁵
2.000		6.60	03/11/21	7.12	...	-0.52	13 of 46 - Sale ²⁵
2.000		6.60	03/15/21	7.42	...	-0.82	14 of 46 - Sale ²⁵
2.000		6.60	03/17/21	7.00	...	-0.40	15 of 46 - Sale ²⁵
1.000		3.30	03/17/21	3.66	...	-0.36	16 of 46 - Sale ²⁵
1.000		3.30	03/17/21	3.57	...	-0.27	17 of 46 - Sale ²⁵
1.000		3.30	03/18/21	3.46	...	-0.16	18 of 46 - Sale ²⁵
5.000		16.50	03/23/21	18.80	...	-2.30	19 of 46 - Sale ²⁵
1.000		3.30	03/25/21	3.42	...	-0.12	20 of 46 - Sale ²⁵
1.000		3.30	03/29/21	3.27	...	0.03	21 of 46 - Sale ²⁵
3.000		9.90	04/09/21	10.26	...	-0.36	22 of 46 - Sale ²⁵
2.000		6.60	04/28/21	6.73	...	-0.13	23 of 46 - Sale ²⁵
2.000		6.60	05/03/21	6.50	...	0.10	24 of 46 - Sale ²⁵
2.000		6.60	05/04/21	6.48	...	0.12	25 of 46 - Sale ²⁵
1.000		3.30	05/13/21	2.25	...	1.05	26 of 46 - Sale ²⁵
5.000		16.50	05/26/21	12.59	...	3.91	27 of 46 - Sale ²⁵
10.000		33.00	05/28/21	26.38	...	6.62	28 of 46 - Sale ²⁵
23.000		75.90	06/07/21	87.09	...	-11.19	29 of 46 - Sale ²⁵
4.000		13.20	06/10/21	13.11	...	0.09	30 of 46 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GALMED PHARMACEUTICALS LTD. OR DINARY SHARES / CUSIP: M47238106 / Symbol: (cont'd)							
	10.000	33.00	06/10/21	32.75	...	0.25	31 of 46 - Sale ²⁵
	25.000	82.50	06/10/21	80.43	...	2.07	32 of 46 - Sale ²⁵
	25.000	82.49	06/11/21	81.50	...	0.99	33 of 46 - Sale ²⁵
	2.000	6.60	06/15/21	6.52	...	0.08	34 of 46 - Sale ²⁵
	5.000	16.50	06/16/21	15.93	...	0.57	35 of 46 - Sale ²⁵
	3.000	9.90	06/18/21	9.09	...	0.81	36 of 46 - Sale ²⁵
	5.000	16.50	06/21/21	14.39	...	2.11	37 of 46 - Sale ²⁵
	10.000	33.00	06/21/21	29.10	...	3.90	38 of 46 - Sale ²⁵
	1.000	3.30	07/23/21	2.70	...	0.60	39 of 46 - Sale ²⁵
	100.000	329.98	08/16/21	290.50	...	39.48	40 of 46 - Sale ²⁵
	1.000	3.30	08/18/21	2.76	...	0.54	41 of 46 - Sale ²⁵
	25.000	82.49	08/18/21	69.03	...	13.46	42 of 46 - Sale ²⁵
	50.000	164.99	08/23/21	144.77	...	20.22	43 of 46 - Sale ²⁵
	3.000	9.90	08/25/21	9.42	...	0.48	44 of 46 - Sale ²⁵
	4.000	13.20	08/25/21	12.64	...	0.56	45 of 46 - Sale ²⁵
	4.000	13.20	08/27/21	12.70	...	0.50	46 of 46 - Sale ²⁵
08/30/21	377.000	1,244.05	Various	1,206.35	...	37.70	Total of 46 transactions
ATAI LIFE SCIENCES N.V. COMMON SHARES / CUSIP: N0731H103 / Symbol:							
12/20/21	8.000	65.52	12/17/21	63.60	...	1.92	Sale ²⁵
UNIQUE N.V. ORDINARY SHARES / CUSIP: N90064101 / Symbol:							
12/20/21	5.000	103.75	12/16/21	100.00	...	3.75	Sale ²⁵
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
14 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	34.000	25.53	11/09/21	27.17	...	-1.64	1 of 14 - Sale ²⁵
	16.000	12.01	11/09/21	12.76	...	-0.75	2 of 14 - Sale ²⁵
	16.000	12.01	11/09/21	12.68	...	-0.67	3 of 14 - Sale ²⁵
	3.000	2.25	11/10/21	2.08	...	0.17	4 of 14 - Sale ²⁵
	3.000	2.25	11/10/21	2.05	...	0.20	5 of 14 - Sale ²⁵
	7.000	5.26	11/10/21	4.73	...	0.53	6 of 14 - Sale ²⁵
	20.000	15.02	11/10/21	13.82	...	1.20	7 of 14 - Sale ²⁵
	1.000	0.75	11/11/21	0.67	...	0.08	8 of 14 - Sale ²⁵
	2.000	1.50	11/11/21	1.35	...	0.15	9 of 14 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	2.000	1.50	11/11/21	1.34	...	0.16	10 of 14 - Sale ²⁵
	2.000	1.50	11/11/21	1.33	...	0.17	11 of 14 - Sale ²⁵
	4.000	3.00	11/11/21	2.67	...	0.33	12 of 14 - Sale ²⁵
	5.000	3.76	11/12/21	3.23	...	0.53	13 of 14 - Sale ²⁵
	3.000	2.26	11/15/21	1.96	...	0.30	14 of 14 - Sale ²⁵
11/16/21	118.000	88.60	Various	87.84	...	0.76	Total of 14 transactions
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
6 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	2.000	0.40	12/30/20	0.95	...	-0.55	1 of 6 - Sale ²⁵
	1.000	0.20	12/30/20	0.49	...	-0.29	2 of 6 - Sale ²⁵
	7.000	1.40	12/31/20	3.16	...	-1.76	3 of 6 - Sale ²⁵
	1.000	0.20	12/31/20	0.47	...	-0.27	4 of 6 - Sale ²⁵
	3.000	0.60	01/04/21	0.54	...	0.06	5 of 6 - Sale ²⁵
	11.000	2.20	01/04/21	2.06	...	0.14	6 of 6 - Sale ²⁵
01/05/21	25.000	5.00	Various	7.67	...	-2.67	Total of 6 transactions
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	2.000	3.20	02/11/21	3.16	...	0.04	1 of 2 - Sale ²⁵
	2.000	3.20	02/11/21	3.06	...	0.14	2 of 2 - Sale ²⁵
02/11/21	4.000	6.40	Various	6.22	...	0.18	Total of 2 transactions
	Security total:	11.40		13.89	...	-2.49	
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L125 / Symbol:							
109 transactions for 09/27/21. Total proceeds and cost reported to the IRS.							
	0.400	1.08	02/11/21	6.78	...	-5.70	1 of 109 - Sale ²⁵
	0.100	0.27	02/16/21	1.55	...	-1.28	2 of 109 - Sale ²⁵
	0.400	1.08	02/25/21	4.08	...	-3.00	3 of 109 - Sale ²⁵
	0.500	1.35	03/01/21	5.10	...	-3.75	4 of 109 - Sale ²⁵
	0.500	1.35	03/02/21	4.99	...	-3.64	5 of 109 - Sale ²⁵
	0.500	1.35	03/02/21	4.97	...	-3.62	6 of 109 - Sale ²⁵
	0.300	0.81	03/02/21	2.93	...	-2.12	7 of 109 - Sale ²⁵
	0.200	0.54	03/02/21	1.98	...	-1.44	8 of 109 - Sale ²⁵
	0.100	0.27	03/02/21	1.01	...	-0.74	9 of 109 - Sale ²⁵
	0.100	0.27	03/02/21	0.96	...	-0.69	10 of 109 - Sale ²⁵

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Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L125 / Symbol: (cont'd)							
	0.100	0.27	03/02/21	0.95	...	-0.68	11 of 109 - Sale ²⁵
	0.100	0.27	03/02/21	0.95	...	-0.68	12 of 109 - Sale ²⁵
	0.100	0.27	03/03/21	0.95	...	-0.68	13 of 109 - Sale ²⁵
	0.300	0.81	03/04/21	2.31	...	-1.50	14 of 109 - Sale ²⁵
	0.200	0.54	03/04/21	1.76	...	-1.22	15 of 109 - Sale ²⁵
	0.200	0.54	03/04/21	1.66	...	-1.12	16 of 109 - Sale ²⁵
	0.200	0.54	03/04/21	1.62	...	-1.08	17 of 109 - Sale ²⁵
	0.100	0.27	03/04/21	0.82	...	-0.55	18 of 109 - Sale ²⁵
	0.100	0.27	03/05/21	0.74	...	-0.47	19 of 109 - Sale ²⁵
	0.100	0.27	03/05/21	0.70	...	-0.43	20 of 109 - Sale ²⁵
	0.200	0.54	03/09/21	1.79	...	-1.25	21 of 109 - Sale ²⁵
	0.200	0.54	03/09/21	1.78	...	-1.24	22 of 109 - Sale ²⁵
	0.200	0.54	03/10/21	1.90	...	-1.36	23 of 109 - Sale ²⁵
	0.100	0.27	03/11/21	0.95	...	-0.68	24 of 109 - Sale ²⁵
	0.100	0.27	03/16/21	1.00	...	-0.73	25 of 109 - Sale ²⁵
	0.100	0.27	03/19/21	0.95	...	-0.68	26 of 109 - Sale ²⁵
	0.100	0.27	03/19/21	0.95	...	-0.68	27 of 109 - Sale ²⁵
	0.100	0.27	03/19/21	0.94	...	-0.67	28 of 109 - Sale ²⁵
	0.100	0.27	03/19/21	0.94	...	-0.67	29 of 109 - Sale ²⁵
	0.100	0.27	03/19/21	0.94	...	-0.67	30 of 109 - Sale ²⁵
	0.100	0.27	03/19/21	0.94	...	-0.67	31 of 109 - Sale ²⁵
	0.200	0.54	03/23/21	1.75	...	-1.21	32 of 109 - Sale ²⁵
	0.100	0.27	03/25/21	0.73	...	-0.46	33 of 109 - Sale ²⁵
	0.100	0.27	03/25/21	0.72	...	-0.45	34 of 109 - Sale ²⁵
	0.100	0.27	03/29/21	0.79	...	-0.52	35 of 109 - Sale ²⁵
	0.100	0.27	03/29/21	0.79	...	-0.52	36 of 109 - Sale ²⁵
	0.100	0.27	03/30/21	0.77	...	-0.50	37 of 109 - Sale ²⁵
	0.100	0.27	04/01/21	0.75	...	-0.48	38 of 109 - Sale ²⁵
	0.100	0.27	04/05/21	0.58	...	-0.31	39 of 109 - Sale ²⁵
	0.100	0.27	04/07/21	0.52	...	-0.25	40 of 109 - Sale ²⁵
	0.100	0.27	04/08/21	0.47	...	-0.20	41 of 109 - Sale ²⁵
	0.200	0.54	04/09/21	1.03	...	-0.49	42 of 109 - Sale ²⁵
	0.200	0.54	04/12/21	0.96	...	-0.42	43 of 109 - Sale ²⁵
	0.100	0.27	04/12/21	0.48	...	-0.21	44 of 109 - Sale ²⁵

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Account 643746050

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(continued)

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CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L125 / Symbol: (cont'd)							
	0.100	0.27	04/16/21	0.45	...	-0.18	45 of 109 - Sale ²⁵
	0.100	0.27	04/19/21	0.47	...	-0.20	46 of 109 - Sale ²⁵
	0.100	0.27	04/19/21	0.46	...	-0.19	47 of 109 - Sale ²⁵
	0.200	0.54	04/20/21	0.92	...	-0.38	48 of 109 - Sale ²⁵
	0.100	0.27	04/20/21	0.46	...	-0.19	49 of 109 - Sale ²⁵
	0.100	0.27	04/21/21	0.48	...	-0.21	50 of 109 - Sale ²⁵
	0.100	0.27	04/21/21	0.46	...	-0.19	51 of 109 - Sale ²⁵
	0.100	0.27	04/22/21	0.49	...	-0.22	52 of 109 - Sale ²⁵
	0.100	0.27	04/23/21	0.49	...	-0.22	53 of 109 - Sale ²⁵
	0.400	1.08	04/26/21	2.01	...	-0.93	54 of 109 - Sale ²⁵
	0.100	0.27	04/26/21	0.51	...	-0.24	55 of 109 - Sale ²⁵
	0.100	0.27	04/27/21	0.53	...	-0.26	56 of 109 - Sale ²⁵
	0.100	0.27	04/28/21	0.52	...	-0.25	57 of 109 - Sale ²⁵
	0.100	0.27	05/03/21	0.51	...	-0.24	58 of 109 - Sale ²⁵
	0.100	0.27	05/03/21	0.51	...	-0.24	59 of 109 - Sale ²⁵
	0.100	0.27	05/03/21	0.50	...	-0.23	60 of 109 - Sale ²⁵
	0.100	0.27	05/03/21	0.49	...	-0.22	61 of 109 - Sale ²⁵
	2.100	5.67	05/04/21	9.75	...	-4.08	62 of 109 - Sale ²⁵
	0.300	0.81	05/04/21	1.41	...	-0.60	63 of 109 - Sale ²⁵
	0.700	1.89	05/05/21	3.28	...	-1.39	64 of 109 - Sale ²⁵
	0.100	0.27	05/05/21	0.46	...	-0.19	65 of 109 - Sale ²⁵
	0.500	1.35	05/06/21	2.10	...	-0.75	66 of 109 - Sale ²⁵
	0.100	0.27	05/06/21	0.43	...	-0.16	67 of 109 - Sale ²⁵
	0.900	2.43	05/13/21	3.49	...	-1.06	68 of 109 - Sale ²⁵
	0.200	0.54	05/13/21	0.73	...	-0.19	69 of 109 - Sale ²⁵
	0.100	0.27	05/13/21	0.39	...	-0.12	70 of 109 - Sale ²⁵
	0.100	0.27	05/14/21	0.40	...	-0.13	71 of 109 - Sale ²⁵
	0.300	0.81	05/17/21	1.27	...	-0.46	72 of 109 - Sale ²⁵
	5.000	13.50	05/21/21	19.00	...	-5.50	73 of 109 - Sale ²⁵
	1.000	2.70	05/24/21	3.60	...	-0.90	74 of 109 - Sale ²⁵
	0.500	1.35	05/25/21	1.82	...	-0.47	75 of 109 - Sale ²⁵
	0.100	0.27	05/26/21	0.38	...	-0.11	76 of 109 - Sale ²⁵
	0.300	0.81	05/27/21	1.10	...	-0.29	77 of 109 - Sale ²⁵
	5.000	13.50	05/28/21	15.39	...	-1.89	78 of 109 - Sale ²⁵

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(continued)

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CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L125 / Symbol: (cont'd)							
	5.000	13.50	06/07/21	16.65	...	-3.15	79 of 109 - Sale ²⁵
	10.000	27.00	06/10/21	33.10	...	-6.10	80 of 109 - Sale ²⁵
	1.000	2.70	06/18/21	2.98	...	-0.28	81 of 109 - Sale ²⁵
	3.000	8.10	06/21/21	8.46	...	-0.36	82 of 109 - Sale ²⁵
	1.000	2.70	06/21/21	2.70	...	0.00	83 of 109 - Sale ²⁵
	1.000	2.70	06/24/21	2.74	...	-0.04	84 of 109 - Sale ²⁵
	3.000	8.10	06/29/21	8.04	...	0.06	85 of 109 - Sale ²⁵
	1.000	2.70	07/02/21	2.58	...	0.12	86 of 109 - Sale ²⁵
	1.000	2.70	07/16/21	2.11	...	0.59	87 of 109 - Sale ²⁵
	1.000	2.70	07/19/21	2.07	...	0.63	88 of 109 - Sale ²⁵
	1.000	2.70	08/05/21	2.50	...	0.20	89 of 109 - Sale ²⁵
	2.000	5.40	08/10/21	4.34	...	1.06	90 of 109 - Sale ²⁵
	1.000	2.70	08/11/21	2.16	...	0.54	91 of 109 - Sale ²⁵
	50.000	134.99	08/16/21	102.75	...	32.24	92 of 109 - Sale ²⁵
	1.000	2.70	08/17/21	1.97	...	0.73	93 of 109 - Sale ²⁵
	3.000	8.10	08/17/21	5.81	...	2.29	94 of 109 - Sale ²⁵
	1.000	2.70	08/27/21	2.22	...	0.48	95 of 109 - Sale ²⁵
	1.000	2.70	08/27/21	2.21	...	0.49	96 of 109 - Sale ²⁵
	1.000	2.70	08/27/21	2.18	...	0.52	97 of 109 - Sale ²⁵
	1.000	2.70	08/31/21	2.19	...	0.51	98 of 109 - Sale ²⁵
	25.000	67.50	09/08/21	53.63	...	13.87	99 of 109 - Sale ²⁵
	1.000	2.70	09/13/21	2.44	...	0.26	100 of 109 - Sale ²⁵
	25.000	67.50	09/13/21	53.88	...	13.62	101 of 109 - Sale ²⁵
	1.000	2.70	09/14/21	2.34	...	0.36	102 of 109 - Sale ²⁵
	4.000	10.80	09/15/21	9.92	...	0.88	103 of 109 - Sale ²⁵
	20.000	54.00	09/16/21	54.70	...	-0.70	104 of 109 - Sale ²⁵
	1.000	2.70	09/22/21	2.53	...	0.17	105 of 109 - Sale ²⁵
	1.000	2.70	09/23/21	2.52	...	0.18	106 of 109 - Sale ²⁵
	8.000	21.60	09/27/21	20.71	...	0.89	107 of 109 - Sale ²⁵
	50.000	134.99	09/27/21	128.75	...	6.24	108 of 109 - Sale ²⁵
	150.000	404.96	09/27/21	388.23	...	16.73	109 of 109 - Sale ²⁵
09/27/21	402.000	1,085.34	Various	1,074.90	...	10.44	Total of 109 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 643746050

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
<i>2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	35.30	02/12/21	30.67	...	4.63	1 of 2 - Sale ²⁵
	10.000	35.30	02/12/21	28.70	...	6.60	2 of 2 - Sale ²⁵
02/16/21	20.000	70.60	Various	59.37	...	11.23	Total of 2 transactions
Totals :		160,872.10		194,178.02	35,145.19 W	1,839.27	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 643746050

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol: 05/10/21	0.543	9.26	03/17/21	13.02	...	-3.76	Gain or loss
Totals:		9.26		13.02	...	-3.76	

Robinhood Securities LLC

Account 643746050

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ENBRIDGE, INC	29250N105		03/01/21	3.30	Nonqualified dividend	
			03/01/21	-0.50	Foreign tax withheld-CA	
				3.30	Total Dividends & distributions	
				-0.50	Total Foreign tax withheld	
KIMBELL ROYALTY PARTNERS, LP C COMMON UNITS REPRESENTING LIMITED PARTNER INTERESTS	49435R102		02/08/21	1.14	Qualified dividend	
UWM HOLDINGS CORPORATION	91823B109		04/06/21	0.60	Qualified dividend	
			07/06/21	1.60	Qualified dividend	
				2.20	Total Dividends & distributions	
				6.64	Total Dividends & distributions	
				-0.50	Total Foreign tax withheld	

Robinhood Securities LLC

Account 643746050

Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	ENBRIDGE, INC	29250N105	3.30	0.00		0.00	3.30	-0.50
Total CANADA			3.30	0.00		0.00	3.30	-0.50
Grand Total	Total Foreign Source Income*			3.30				
	Qualified Foreign Source Income**			0.00				
	Foreign Tax							-0.50

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 643746050

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
APHRIA INC. COMMON SHARES	03765K104	05/03/21	-9.00	0.00	Merger	
TILRAY BRANDS, INC. CLASS 2 COMMON STOCK	88688T100	05/03/21	7.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.