

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 990180150</b>	Statement Date: 02/11/2022 Document ID: 1GCS G41 FBK4	2021
	Deepak Sharma 7825 Kotz Ct 1121 Charlotte, NC 28269  RECIPIENT'S TIN: XXX-XX-0007		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	224,264.50	248,457.67	0.00	4,212.71	-19,980.46
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>224,264.50</b>	<b>248,457.67</b>	<b>0.00</b>	<b>4,212.71</b>	<b>-19,980.46</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>224,264.50</b>	<b>248,457.67</b>	<b>0.00</b>	<b>4,212.71</b>	<b>-19,980.46</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 990180150

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	64.72
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
04/12/21	1.000	219.98	04/06/21	278.00	...	-58.02	Option sale to close-call <sup>25</sup>
AAL 01/22/2021 CALL \$17.50 / CUSIP: / Symbol:							
01/19/21	1.000	7.98	01/14/21	23.00	...	-15.02	Option sale to close-call <sup>25</sup>
AAL 02/05/2021 CALL \$18.00 / CUSIP: / Symbol:							
01/19/21	1.000	30.98	01/15/21	35.00	...	-4.02	Option sale to close-call <sup>25</sup>
AAPL 01/22/2021 CALL \$129.00 / CUSIP: / Symbol:							
01/19/21	1.000	105.98	01/15/21	160.00	...	-54.02	Option sale to close-call <sup>25</sup>
AAPL 02/05/2021 CALL \$139.00 / CUSIP: / Symbol:							
02/03/21	1.000	30.98	02/02/21	86.00	...	-55.02	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 02/12/2021 CALL \$138.00 / CUSIP: / Symbol:							
02/08/21	1.000	93.98	02/08/21	80.00	...	13.98	Option sale to close-call <sup>25</sup>
AAPL 02/19/2021 CALL \$135.00 / CUSIP: / Symbol:							
02/17/21	1.000	32.98	02/16/21	108.00	...	-75.02	Option sale to close-call <sup>25</sup>
AAPL 02/19/2021 CALL \$137.00 / CUSIP: / Symbol:							
02/17/21	1.000	14.98	02/16/21	34.00	...	-19.02	Option sale to close-call <sup>25</sup>
AAPL 09/03/2021 CALL \$148.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	279.98	08/27/21	119.00	...	160.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	559.98	08/27/21	254.00	...	305.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/30/21	3.000	839.96	Various	373.00	...	466.96	Total of 2 transactions
AAPL 09/10/2021 CALL \$157.50 / CUSIP: / Symbol:							
	<i>2 transactions for 09/08/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	51.98	09/08/21	128.00	...	-76.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	51.98	09/08/21	128.00	...	-76.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/08/21	4.000	103.96	Various	256.00	...	-152.04	Total of 2 transactions
AAPL 09/17/2021 CALL \$155.00 / CUSIP: / Symbol:							
09/10/21	1.000	196.98	09/09/21	203.00	...	-6.02	Option sale to close-call <sup>25</sup>
AAPL 09/24/2021 CALL \$143.00 / CUSIP: / Symbol:							
09/21/21	1.000	214.98	09/20/21	163.00	...	51.98	Option sale to close-call <sup>25</sup>
AAPL 09/24/2021 CALL \$145.00 / CUSIP: / Symbol:							
09/21/21	1.000	94.98	09/20/21	88.00	...	6.98	Option sale to close-call <sup>25</sup>
AAPL 09/24/2021 CALL \$148.00 / CUSIP: / Symbol:							
09/20/21	1.000	73.99	09/17/21	110.00	...	-36.01	Option sale to close-call <sup>25</sup>
09/20/21	1.000	73.99	09/17/21	110.00	36.01 W	0.00	Option sale to close-call <sup>25</sup>
09/21/21	1.000	27.98	09/20/21	64.01	...	-36.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>175.96</b>		<b>284.01</b>	<b>36.01 W</b>	<b>-72.04</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/24/2021 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 09/16/21. Total proceeds and cost reported to the IRS.							
	1.000	130.98	09/15/21	102.00	...	28.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	130.98	09/15/21	102.00	...	28.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/16/21	2.000	261.96	Various	204.00	...	57.96	Total of 2 transactions
AAPL 09/24/2021 CALL \$152.50 / CUSIP: / Symbol:							
09/20/21	1.000	12.98	09/17/21	25.00	...	-12.02	Option sale to close-call <sup>25</sup>
AI 04/16/2021 CALL \$85.00 / CUSIP: / Symbol:							
03/22/21	1.000	409.98	03/19/21	420.00	...	-10.02	Option sale to close-call <sup>25</sup>
AMC 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
03/26/21	1.000	369.98	03/24/21	296.00	...	73.98	Option sale to close-call <sup>25</sup>
AMC 04/09/2021 CALL \$12.00 / CUSIP: / Symbol:							
03/25/21	1.000	92.98	03/24/21	70.00	...	22.98	Option sale to close-call <sup>25</sup>
AMC 06/11/2021 CALL \$56.00 / CUSIP: / Symbol:							
06/10/21	2.000	437.98	06/09/21	820.00	...	-382.02	Option sale to close-call <sup>25</sup>
AMC 09/03/2021 CALL \$45.00 / CUSIP: / Symbol:							
08/30/21	1.000	187.98	08/27/21	223.00	...	-35.02	Option sale to close-call <sup>25</sup>
AMZN 09/03/2021 CALL \$3,440.00 / CUSIP: / Symbol:							
08/30/21	1.000	2,429.97	08/30/21	4,030.03	...	-1,600.06	Option sale to close-call <sup>25</sup>
08/30/21	1.000	2,389.97	08/30/21	3,210.00	820.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,819.94</b>		<b>7,240.03</b>	<b>820.03 W</b>	<b>-1,600.06</b>	
AMZN 09/24/2021 CALL \$3,420.00 / CUSIP: / Symbol:							
09/22/21	1.000	459.98	09/21/21	923.00	...	-463.02	Option sale to close-call <sup>25</sup>
AZO 09/03/2021 CALL \$1,600.00 / CUSIP: / Symbol:							
08/30/21	1.000	189.98	08/27/21	600.00	...	-410.02	Option sale to close-call <sup>25</sup>
AZO 09/03/2021 CALL \$1,617.50 / CUSIP: / Symbol:							
08/30/21	1.000	99.98	08/27/21	260.00	...	-160.02	Option sale to close-call <sup>25</sup>

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Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 05/21/2021 CALL \$237.50 / CUSIP: / Symbol:							
05/13/21	1.000	203.98	05/12/21	174.00	...	29.98	Option sale to close-call <sup>25</sup>
BA 07/02/2021 CALL \$245.00 / CUSIP: / Symbol:							
06/30/21	1.000	74.98	06/29/21	104.00	...	-29.02	Option sale to close-call <sup>25</sup>
BABA 02/19/2021 CALL \$265.00 / CUSIP: / Symbol:							
02/19/21	1.000	248.98	02/18/21	200.00	...	48.98	Option sale to close-call <sup>25</sup>
BABA 02/26/2021 CALL \$247.50 / CUSIP: / Symbol:							
02/26/21	1.000	7.98	02/25/21	62.00	...	-54.02	Option sale to close-call <sup>25</sup>
BABA 02/26/2021 CALL \$262.50 / CUSIP: / Symbol:							
02/23/21	1.000	42.98	02/22/21	238.00	...	-195.02	Option sale to close-call <sup>25</sup>
BABA 03/12/2021 PUT \$227.50 / CUSIP: / Symbol:							
03/08/21	1.000	404.98	03/05/21	425.00	...	-20.02	Option sale to close-put <sup>25</sup>
BABA 07/02/2021 CALL \$235.00 / CUSIP: / Symbol:							
06/28/21	1.000	167.98	06/28/21	109.00	...	58.98	Option sale to close-call <sup>25</sup>
BABA 07/09/2021 CALL \$232.50 / CUSIP: / Symbol:							
07/09/21	1.000	1.98	07/02/21	38.00	...	-36.02	Option sale to close-call <sup>25</sup>
BABA 08/27/2021 CALL \$172.50 / CUSIP: / Symbol:							
08/25/21	2.000	295.98	08/24/21	690.00	...	-394.02	Option sale to close-call <sup>25</sup>
BABA 08/27/2021 PUT \$165.00 / CUSIP: / Symbol:							
08/27/21	1.000	599.98	08/26/21	167.00	...	432.98	Option sale to close-put <sup>25</sup>
BABA 09/03/2021 CALL \$162.50 / CUSIP: / Symbol:							
08/30/21	1.000	264.98	08/27/21	360.00	...	-95.02	Option sale to close-call <sup>25</sup>
BABA 09/03/2021 CALL \$167.50 / CUSIP: / Symbol:							
08/30/21	1.000	136.98	08/27/21	209.02	...	-72.04	Option sale to close-call <sup>25</sup>
08/30/21	1.000	136.98	08/27/21	173.00	36.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>273.96</b>		<b>382.02</b>	<b>36.02 W</b>	<b>-72.04</b>	

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Robinhood Securities LLC

Account 990180150

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 09/03/2021 PUT \$170.00 / CUSIP: / Symbol:							
09/02/21	1.000	32.98	09/01/21	103.00	...	-70.02	Option sale to close-put <sup>25</sup>
BABA 09/03/2021 PUT \$172.50 / CUSIP: / Symbol:							
2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	1.000	53.99	09/01/21	283.02	...	-229.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	53.99	09/01/21	149.00	...	-95.01	2 of 2 - Option sale to close-put <sup>25</sup>
09/02/21	2.000	107.98	Various	432.02	...	-324.04	Total of 2 transactions
09/02/21	1.000	53.98	09/01/21	184.00	130.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>161.96</b>		<b>616.02</b>	<b>130.02 W</b>	<b>-324.04</b>	
BABA 09/10/2021 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	1.000	190.98	09/02/21	375.00	...	-184.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	190.98	09/02/21	375.00	...	-184.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/03/21	2.000	381.96	Various	750.00	...	-368.04	Total of 2 transactions
BABA 09/24/2021 CALL \$160.00 / CUSIP: / Symbol:							
09/21/21	1.000	93.98	09/20/21	110.00	...	-16.02	Option sale to close-call <sup>25</sup>
BYND 02/19/2021 CALL \$175.00 / CUSIP: / Symbol:							
02/12/21	1.000	674.97	02/11/21	605.00	...	69.97	Option sale to close-call <sup>25</sup>
BYND 06/04/2021 CALL \$140.00 / CUSIP: / Symbol:							
06/01/21	2.000	493.98	06/01/21	650.00	...	-156.02	Option sale to close-call <sup>25</sup>
BYND 07/09/2021 CALL \$157.50 / CUSIP: / Symbol:							
07/07/21	1.000	37.98	07/02/21	191.00	...	-153.02	Option sale to close-call <sup>25</sup>
CCIV 03/19/2021 CALL \$31.00 / CUSIP: / Symbol:							
03/03/21	1.000	175.98	03/02/21	335.00	...	-159.02	Option sale to close-call <sup>25</sup>
DIS 02/12/2021 PUT \$167.50 / CUSIP: / Symbol:							
02/08/21	1.000	61.98	02/05/21	112.00	...	-50.02	Option sale to close-put <sup>25</sup>
DIS 03/19/2021 CALL \$195.00 / CUSIP: / Symbol:							
03/17/21	1.000	150.98	03/16/21	206.00	...	-55.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 990180150

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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DIS 03/19/2021 CALL \$205.00 / CUSIP: / Symbol:							
03/16/21	1.000	54.98	03/15/21	85.00	...	-30.02	Option sale to close-call <sup>25</sup>
DIS 06/11/2021 CALL \$180.00 / CUSIP: / Symbol:							
06/07/21	1.000	79.98	06/04/21	72.00	...	7.98	Option sale to close-call <sup>25</sup>
DLTR 09/03/2021 CALL \$94.00 / CUSIP: / Symbol:							
	2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.						
	3.000	119.99	08/27/21	330.00	...	-210.01	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	199.98	08/27/21	260.00	...	-60.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/30/21	8.000	319.97	Various	590.00	...	-270.03	Total of 2 transactions
DLTR 09/03/2021 CALL \$95.00 / CUSIP: / Symbol:							
08/30/21	1.000	19.98	08/27/21	31.00	...	-11.02	Option sale to close-call <sup>25</sup>
EQR 04/16/2021 CALL \$75.00 / CUSIP: / Symbol:							
03/17/21	1.000	134.98	03/16/21	180.00	...	-45.02	Option sale to close-call <sup>25</sup>
FB 01/22/2021 PUT \$242.50 / CUSIP: / Symbol:							
01/15/21	1.000	188.98	01/15/21	245.00	...	-56.02	Option sale to close-put <sup>25</sup>
FB 07/09/2021 CALL \$362.50 / CUSIP: / Symbol:							
07/02/21	1.000	220.98	07/02/21	149.00	...	71.98	Option sale to close-call <sup>25</sup>
FB 08/20/2021 CALL \$362.50 / CUSIP: / Symbol:							
08/20/21	1.000	6.98	08/19/21	28.00	...	-21.02	Option sale to close-call <sup>25</sup>
FB 08/20/2021 CALL \$365.00 / CUSIP: / Symbol:							
08/18/21	3.000	182.98	08/17/21	618.00	...	-435.02	Option sale to close-call <sup>25</sup>
FB 08/20/2021 CALL \$367.50 / CUSIP: / Symbol:							
08/16/21	1.000	268.98	08/13/21	202.00	...	66.98	Option sale to close-call <sup>25</sup>
FB 08/27/2021 CALL \$362.50 / CUSIP: / Symbol:							
08/20/21	3.000	620.98	08/19/21	636.00	...	-15.02	Option sale to close-call <sup>25</sup>

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Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 08/27/2021 CALL \$370.00 / CUSIP: / Symbol:							
2 transactions for 08/17/21. Total proceeds and cost reported to the IRS.							
	1.000	256.98	08/16/21	163.00	...	93.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	770.98	08/16/21	489.00	...	281.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/17/21	4.000	1,027.96	Various	652.00	...	375.96	Total of 2 transactions
FB 09/03/2021 CALL \$375.00 / CUSIP: / Symbol:							
9 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	1.000	332.00	08/30/21	345.00	...	-13.00	1 of 9 - Option sale to close-call <sup>25</sup>
	2.000	663.99	08/30/21	676.00	...	-12.01	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	324.99	08/30/21	305.00	...	19.99	3 of 9 - Option sale to close-call <sup>25</sup>
	2.000	663.99	08/30/21	632.00	...	31.99	4 of 9 - Option sale to close-call <sup>25</sup>
	3.000	995.99	08/30/21	948.00	...	47.99	5 of 9 - Option sale to close-call <sup>25</sup>
	2.000	663.99	08/30/21	610.00	...	53.99	6 of 9 - Option sale to close-call <sup>25</sup>
	2.000	663.99	08/30/21	610.00	...	53.99	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	325.00	08/30/21	239.00	...	86.00	8 of 9 - Option sale to close-call <sup>25</sup>
	2.000	649.99	08/30/21	478.00	...	171.99	9 of 9 - Option sale to close-call <sup>25</sup>
08/30/21	16.000	5,283.93	Various	4,843.00	...	440.93	Total of 9 transactions
FB 09/03/2021 CALL \$380.00 / CUSIP: / Symbol:							
09/03/21	2.000	31.98	09/02/21	242.00	...	-210.02	Option sale to close-call <sup>25</sup>
FB 09/03/2021 PUT \$385.00 / CUSIP: / Symbol:							
09/02/21	2.000	1,039.98	09/01/21	640.00	...	399.98	Option sale to close-put <sup>25</sup>
FB 09/17/2021 CALL \$380.00 / CUSIP: / Symbol:							
09/09/21	3.000	1,172.98	09/09/21	1,035.00	...	137.98	Option sale to close-call <sup>25</sup>
FB 09/24/2021 CALL \$355.00 / CUSIP: / Symbol:							
09/23/21	2.000	79.98	09/22/21	364.00	...	-284.02	Option sale to close-call <sup>25</sup>
FB 09/24/2021 CALL \$357.50 / CUSIP: / Symbol:							
09/23/21	2.000	53.98	09/22/21	238.00	...	-184.02	Option sale to close-call <sup>25</sup>
FB 09/24/2021 CALL \$360.00 / CUSIP: / Symbol:							
09/21/21	1.000	286.98	09/20/21	235.00	...	51.98	Option sale to close-call <sup>25</sup>

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Account 990180150

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FB 09/24/2021 CALL \$362.50 / CUSIP: / Symbol:							
09/23/21	1.000	11.98	09/22/21	38.00	...	-26.02	Option sale to close-call <sup>25</sup>
FB 09/24/2021 CALL \$372.50 / CUSIP: / Symbol:							
	<i>2 transactions for 09/20/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	116.99	09/17/21	156.00	...	-39.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	116.99	09/17/21	156.00	...	-39.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/20/21	2.000	233.98	Various	312.00	...	-78.02	Total of 2 transactions
FB 12/17/2021 CALL \$342.50 / CUSIP: / Symbol:							
	<i>2 transactions for 12/16/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	549.98	12/16/21	566.00	...	-16.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	274.98	12/16/21	283.00	...	-8.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/16/21	3.000	824.96	Various	849.00	...	-24.04	Total of 2 transactions
FB 12/23/2021 CALL \$332.50 / CUSIP: / Symbol:							
12/21/21	1.000	195.98	12/20/21	310.00	...	-114.02	Option sale to close-call <sup>25</sup>
FB 12/23/2021 CALL \$345.00 / CUSIP: / Symbol:							
12/20/21	1.000	62.98	12/17/21	271.00	...	-208.02	Option sale to close-call <sup>25</sup>
FB 12/23/2021 CALL \$350.00 / CUSIP: / Symbol:							
12/20/21	1.000	32.98	12/17/21	126.00	...	-93.02	Option sale to close-call <sup>25</sup>
FB 12/23/2021 CALL \$360.00 / CUSIP: / Symbol:							
12/20/21	1.000	8.98	12/17/21	45.00	...	-36.02	Option sale to close-call <sup>25</sup>
FB 12/23/2021 CALL \$365.00 / CUSIP: / Symbol:							
12/21/21	1.000	1.98	12/17/21	26.00	...	-24.02	Option sale to close-call <sup>25</sup>
FCEL 01/21/2022 CALL \$37.00 / CUSIP: / Symbol:							
03/26/21	1.000	149.98	03/25/21	132.00	...	17.98	Option sale to close-call <sup>25</sup>
FDX 01/08/2021 CALL \$272.50 / CUSIP: / Symbol:							
01/06/21	1.000	13.98	01/04/21	74.00	...	-60.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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FL 09/03/2021 CALL \$58.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	37.98	08/27/21	100.00	...	-62.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	37.98	08/27/21	100.00	...	-62.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/30/21	2.000	75.96	Various	200.00	...	-124.04	Total of 2 transactions
FTFT 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
03/03/21	1.000	19.98	03/02/21	33.00	...	-13.02	Option sale to close-call <sup>25</sup>
FTFT 05/21/2021 CALL \$7.50 / CUSIP: / Symbol:							
03/03/21	1.000	144.98	03/02/21	170.00	...	-25.02	Option sale to close-call <sup>25</sup>
FTFT 11/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/26/21	1.000	159.98	03/25/21	115.00	...	44.98	Option sale to close-call <sup>25</sup>
FUBO 02/26/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/24/21	1.000	159.98	02/24/21	78.00	...	81.98	Option sale to close-call <sup>25</sup>
FUBO 02/26/2021 CALL \$47.00 / CUSIP: / Symbol:							
02/12/21	1.000	384.98	02/11/21	460.00	...	-75.02	Option sale to close-call <sup>25</sup>
HOOD 08/20/2021 CALL \$51.00 / CUSIP: / Symbol:							
08/19/21	1.000	39.98	08/18/21	180.00	...	-140.02	Option sale to close-call <sup>25</sup>
INO 03/05/2021 CALL \$10.00 / CUSIP: / Symbol:							
03/04/21	1.000	9.98	03/03/21	28.00	...	-18.02	Option sale to close-call <sup>25</sup>
MSFT 02/19/2021 CALL \$245.00 / CUSIP: / Symbol:							
02/12/21	1.000	208.98	02/11/21	220.00	11.02 W	0.00	Option sale to close-call <sup>25</sup>
02/17/21	1.000	80.98	02/16/21	159.02	...	-78.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>289.96</b>		<b>379.02</b>	<b>11.02 W</b>	<b>-78.04</b>	
MSFT 05/07/2021 CALL \$247.50 / CUSIP: / Symbol:							
05/06/21	1.000	121.98	05/06/21	75.00	...	46.98	Option sale to close-call <sup>25</sup>
MSFT 05/21/2021 CALL \$247.50 / CUSIP: / Symbol:							
05/14/21	1.000	329.98	05/14/21	236.00	...	93.98	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 07/09/2021 CALL \$275.00 / CUSIP: / Symbol:							
07/02/21	1.000	137.98	07/01/21	79.00	...	58.98	Option sale to close-call <sup>25</sup>
MSFT 08/20/2021 CALL \$292.50 / CUSIP: / Symbol:							
	2 transactions for 08/18/21. Total proceeds and cost reported to the IRS.						
	1.000	220.00	08/17/21	191.00	...	29.00	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	1,099.98	08/17/21	705.00	...	394.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/18/21	6.000	1,319.98	Various	896.00	...	423.98	Total of 2 transactions
MSFT 08/20/2021 CALL \$295.00 / CUSIP: / Symbol:							
08/17/21	1.000	93.98	08/16/21	52.00	...	41.98	Option sale to close-call <sup>25</sup>
08/20/21	2.000	919.98	08/19/21	80.00	...	839.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,013.96</b>		<b>132.00</b>	...	<b>881.96</b>	
MSFT 08/20/2021 CALL \$297.50 / CUSIP: / Symbol:							
08/18/21	1.000	37.98	08/17/21	41.00	...	-3.02	Option sale to close-call <sup>25</sup>
MSFT 08/27/2021 CALL \$292.50 / CUSIP: / Symbol:							
08/17/21	3.000	974.98	08/16/21	666.00	...	308.98	Option sale to close-call <sup>25</sup>
MSFT 08/27/2021 CALL \$295.00 / CUSIP: / Symbol:							
08/20/21	3.000	1,994.97	08/19/21	531.00	...	1,463.97	Option sale to close-call <sup>25</sup>
MSFT 08/27/2021 CALL \$297.50 / CUSIP: / Symbol:							
	2 transactions for 08/18/21. Total proceeds and cost reported to the IRS.						
	1.000	193.98	08/17/21	107.00	...	86.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	387.98	08/17/21	214.00	...	173.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/18/21	3.000	581.96	Various	321.00	...	260.96	Total of 2 transactions
MSFT 08/27/2021 CALL \$305.00 / CUSIP: / Symbol:							
	2 transactions for 08/25/21. Total proceeds and cost reported to the IRS.						
	3.000	185.98	08/24/21	693.02	...	-507.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	62.00	08/24/21	320.02	...	-258.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/25/21	4.000	247.98	Various	1,013.04	...	-765.06	Total of 2 transactions
	3 transactions for 08/25/21. Total proceeds and cost reported to the IRS.						
	1.000	61.98	08/24/21	151.00	89.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>

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Account 990180150

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 08/27/2021 CALL \$305.00 / CUSIP: / Symbol: (cont'd)							
	1.000	62.00	08/24/21	240.02	178.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	185.98	08/24/21	453.00	267.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/25/21	5.000	309.96	Various	844.02	534.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>557.94</b>		<b>1,857.06</b>	<b>534.06 W</b>	<b>-765.06</b>	
MSFT 08/27/2021 PUT \$287.50 / CUSIP: / Symbol:							
08/23/21	1.000	30.98	08/20/21	42.00	...	-11.02	Option sale to close-put <sup>25</sup>
MSFT 08/27/2021 PUT \$297.50 / CUSIP: / Symbol:							
08/23/21	1.000	101.98	08/20/21	127.00	...	-25.02	Option sale to close-put <sup>25</sup>
MSFT 09/03/2021 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	2.000	829.99	08/27/21	352.00	...	477.99	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	2,074.98	08/27/21	990.00	...	1,084.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/30/21	7.000	2,904.97	Various	1,342.00	...	1,562.97	Total of 2 transactions
MSFT 09/03/2021 CALL \$302.50 / CUSIP: / Symbol:							
2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	2.000	575.98	08/27/21	198.00	...	377.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	863.98	08/27/21	297.00	...	566.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/30/21	5.000	1,439.96	Various	495.00	...	944.96	Total of 2 transactions
MSFT 09/03/2021 CALL \$305.00 / CUSIP: / Symbol:							
09/03/21	4.000	23.98	09/02/21	168.00	...	-144.02	Option sale to close-call <sup>25</sup>
MSFT 09/10/2021 CALL \$300.00 / CUSIP: / Symbol:							
09/10/21	1.000	33.98	09/09/21	37.00	...	-3.02	Option sale to close-call <sup>25</sup>
MSFT 09/10/2021 CALL \$302.50 / CUSIP: / Symbol:							
6 transactions for 09/08/21. Total proceeds and cost reported to the IRS.							
	3.000	131.99	09/07/21	630.01	...	-498.02	1 of 6 - Option sale to close-call <sup>25</sup>
	10.000	439.98	09/07/21	890.00	...	-450.02	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	88.00	09/07/21	410.01	...	-322.01	3 of 6 - Option sale to close-call <sup>25</sup>
	5.000	219.99	09/07/21	455.00	...	-235.01	4 of 6 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 990180150

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MSFT 09/10/2021 CALL \$302.50 / CUSIP: / Symbol: (cont'd)							
	5.000	219.99	09/07/21	445.00	...	-225.01	5 of 6 - Option sale to close-call <sup>25</sup>
	5.000	219.98	09/07/21	400.00	...	-180.02	6 of 6 - Option sale to close-call <sup>25</sup>
09/08/21	30.000	1,319.93	Various	3,230.02	...	-1,910.09	Total of 6 transactions
	2 transactions for 09/08/21. Total proceeds and cost reported to the IRS.						
	2.000	93.99	09/03/21	326.00	232.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	140.99	09/03/21	504.00	363.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/08/21	5.000	234.98	Various	830.00	595.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,554.91</b>		<b>4,060.02</b>	<b>595.02 W</b>	<b>-1,910.09</b>	
MSFT 09/10/2021 CALL \$305.00 / CUSIP: / Symbol:							
	4 transactions for 09/07/21. Total proceeds and cost reported to the IRS.						
	3.000	113.99	09/02/21	444.00	...	-330.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	38.00	09/02/21	148.00	...	-110.00	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	189.98	09/03/21	425.00	...	-235.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	38.00	09/03/21	82.00	...	-44.00	4 of 4 - Option sale to close-call <sup>25</sup>
09/07/21	10.000	379.97	Various	1,099.00	...	-719.03	Total of 4 transactions
MSFT 09/17/2021 CALL \$300.00 / CUSIP: / Symbol:							
	5 transactions for 09/13/21. Total proceeds and cost reported to the IRS.						
	1.000	97.00	09/09/21	249.00	...	-152.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	97.00	09/09/21	249.00	...	-152.00	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	193.99	09/10/21	362.00	...	-168.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	96.99	09/10/21	148.00	...	-51.01	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	97.00	09/10/21	148.00	...	-51.00	5 of 5 - Option sale to close-call <sup>25</sup>
09/13/21	6.000	581.98	Various	1,156.00	...	-574.02	Total of 5 transactions
MSFT 09/17/2021 CALL \$302.50 / CUSIP: / Symbol:							
09/10/21	1.000	81.98	09/09/21	129.00	...	-47.02	Option sale to close-call <sup>25</sup>
MSFT 09/24/2021 CALL \$297.50 / CUSIP: / Symbol:							
	2 transactions for 09/21/21. Total proceeds and cost reported to the IRS.						
	1.000	213.98	09/20/21	159.00	...	54.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	214.98	09/20/21	159.00	...	55.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/21/21	2.000	428.96	Various	318.00	...	110.96	Total of 2 transactions

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Robinhood Securities LLC

Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MSFT 09/24/2021 CALL \$297.50 / CUSIP: / Symbol: (cont'd)							
09/23/21	1.000	243.98	09/22/21	122.00	...	121.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>672.94</b>		<b>440.00</b>	...	<b>232.94</b>	
MSFT 09/24/2021 CALL \$305.00 / CUSIP: / Symbol:							
09/20/21	1.000	89.98	09/17/21	118.00	...	-28.02	Option sale to close-call <sup>25</sup>
MSFT 10/01/2021 CALL \$295.00 / CUSIP: / Symbol:							
2 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	2.000	55.99	09/28/21	190.00	...	-134.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	27.99	09/28/21	89.00	...	-61.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/29/21	3.000	83.98	Various	279.00	...	-195.02	Total of 2 transactions
MSFT 12/10/2021 CALL \$332.50 / CUSIP: / Symbol:							
12/08/21	2.000	393.98	12/08/21	430.00	...	-36.02	Option sale to close-call <sup>25</sup>
MSFT 12/10/2021 CALL \$335.00 / CUSIP: / Symbol:							
12/09/21	1.000	245.98	12/08/21	116.00	...	129.98	Option sale to close-call <sup>25</sup>
MSFT 12/10/2021 CALL \$340.00 / CUSIP: / Symbol:							
4 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	1.000	71.99	12/08/21	31.00	...	40.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	72.00	12/08/21	31.00	...	41.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	72.00	12/08/21	29.00	...	43.00	3 of 4 - Option sale to close-call <sup>25</sup>
	3.000	215.99	12/08/21	84.00	...	131.99	4 of 4 - Option sale to close-call <sup>25</sup>
12/09/21	6.000	431.98	Various	175.00	...	256.98	Total of 4 transactions
2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	5.000	94.99	12/09/21	190.00	...	-95.01	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	94.98	12/09/21	130.00	...	-35.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/10/21	10.000	189.97	Various	320.00	...	-130.03	Total of 2 transactions
<b>Security total:</b>		<b>621.95</b>		<b>495.00</b>	...	<b>126.95</b>	
MSFT 12/17/2021 CALL \$350.00 / CUSIP: / Symbol:							
12/14/21	1.000	12.98	12/13/21	108.00	...	-95.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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MSFT 12/17/2021 CALL \$352.50 / CUSIP: / Symbol:							
12/14/21	1.000	10.98	12/13/21	68.00	...	-57.02	Option sale to close-call <sup>25</sup>
MSFT 12/23/2021 CALL \$327.50 / CUSIP: / Symbol:							
<i>2 transactions for 12/21/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	136.99	12/17/21	256.00	...	-119.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	136.99	12/17/21	223.00	...	-86.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/21/21	2.000	273.98	Various	479.00	...	-205.02	Total of 2 transactions
MSFT 12/23/2021 CALL \$335.00 / CUSIP: / Symbol:							
12/20/21	1.000	62.98	12/17/21	96.00	...	-33.02	Option sale to close-call <sup>25</sup>
MSFT 12/23/2021 CALL \$347.50 / CUSIP: / Symbol:							
12/16/21	1.000	63.98	12/14/21	43.00	...	20.98	Option sale to close-call <sup>25</sup>
MU 07/02/2021 CALL \$85.50 / CUSIP: / Symbol:							
06/29/21	1.000	116.98	06/28/21	156.00	...	-39.02	Option sale to close-call <sup>25</sup>
NFLX 03/05/2021 CALL \$547.50 / CUSIP: / Symbol:							
03/03/21	1.000	202.98	03/03/21	287.00	...	-84.02	Option sale to close-call <sup>25</sup>
NFLX 09/03/2021 PUT \$580.00 / CUSIP: / Symbol:							
09/02/21	1.000	168.98	09/01/21	289.00	...	-120.02	Option sale to close-put <sup>25</sup>
NFLX 09/10/2021 CALL \$595.00 / CUSIP: / Symbol:							
09/07/21	1.000	879.98	09/03/21	335.00	...	544.98	Option sale to close-call <sup>25</sup>
NFLX 09/10/2021 CALL \$610.00 / CUSIP: / Symbol:							
09/09/21	3.000	884.98	09/09/21	960.00	...	-75.02	Option sale to close-call <sup>25</sup>
NFLX 09/17/2021 CALL \$590.00 / CUSIP: / Symbol:							
09/14/21	1.000	379.98	09/13/21	625.00	...	-245.02	Option sale to close-call <sup>25</sup>
NKE 06/11/2021 CALL \$136.00 / CUSIP: / Symbol:							
06/07/21	1.000	61.98	06/04/21	44.00	...	17.98	Option sale to close-call <sup>25</sup>
NKLA 05/21/2021 CALL \$20.00 / CUSIP: / Symbol:							
04/12/21	1.000	25.98	04/06/21	45.00	...	-19.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NKLA 07/16/2021 CALL \$22.50 / CUSIP: / Symbol:							
04/12/21	1.000	34.98	04/05/21	70.00	...	-35.02	Option sale to close-call <sup>25</sup>
NNDM 08/20/2021 CALL \$17.50 / CUSIP: / Symbol:							
02/09/21	1.000	674.97	02/09/21	635.00	...	39.97	Option sale to close-call <sup>25</sup>
02/16/21	1.000	534.97	02/12/21	580.00	...	-45.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,209.94</b>		<b>1,215.00</b>	...	<b>-5.06</b>	
NVDA 04/16/2021 CALL \$625.00 / CUSIP: / Symbol:							
04/14/21	1.000	544.98	04/14/21	485.00	...	59.98	Option sale to close-call <sup>25</sup>
NVDA 09/24/2021 CALL \$212.50 / CUSIP: / Symbol:							
09/22/21	1.000	280.98	09/21/21	279.00	...	1.98	Option sale to close-call <sup>25</sup>
NVDA 12/10/2021 CALL \$325.00 / CUSIP: / Symbol:							
	<i>2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	287.99	12/08/21	266.04	...	21.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	287.99	12/08/21	235.00	...	52.99	2 of 2 - Option sale to close-call <sup>25</sup>
12/08/21	2.000	575.98	Various	501.04	...	74.94	Total of 2 transactions
	<i>2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	287.98	12/08/21	335.00	47.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	287.98	12/08/21	318.02	30.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/08/21	2.000	575.96	Various	653.02	77.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,151.94</b>		<b>1,154.06</b>	<b>77.06 W</b>	<b>74.94</b>	
OCX 03/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
02/23/21	1.000	29.98	02/22/21	35.00	...	-5.02	Option sale to close-call <sup>25</sup>
ODT 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/24/21	1.000	9.98	03/22/21	65.00	...	-55.02	Option sale to close-call <sup>25</sup>
ODT 05/21/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/24/21	1.000	29.98	03/23/21	37.00	...	-7.02	Option sale to close-call <sup>25</sup>
PLUG 01/21/2022 CALL \$100.00 / CUSIP: / Symbol:							
03/25/21	1.000	154.98	03/24/21	237.00	...	-82.02	Option sale to close-call <sup>25</sup>

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Account 990180150

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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PLUG 02/19/2021 CALL \$54.00 / CUSIP: / Symbol: 02/19/21	1.000	96.98	02/18/21	141.00	...	-44.02	Option sale to close-call <sup>25</sup>
PLUG 02/19/2021 CALL \$56.00 / CUSIP: / Symbol: 02/19/21	1.000	32.98	02/18/21	83.00	...	-50.02	Option sale to close-call <sup>25</sup>
PLUG 02/26/2021 CALL \$50.00 / CUSIP: / Symbol: 02/26/21	1.000	6.98	02/25/21	16.00	...	-9.02	Option sale to close-call <sup>25</sup>
PLUG 03/19/2021 CALL \$55.00 / CUSIP: / Symbol: 03/03/21	1.000	121.98	03/02/21	254.00	...	-132.02	Option sale to close-call <sup>25</sup>
PYPL 02/12/2021 CALL \$285.00 / CUSIP: / Symbol: 02/11/21	1.000	704.97	02/10/21	345.00	...	359.97	Option sale to close-call <sup>25</sup>
PYPL 02/19/2021 CALL \$300.00 / CUSIP: / Symbol: 02/18/21	1.000	96.98	02/17/21	415.00	...	-318.02	Option sale to close-call <sup>25</sup>
PYPL 02/19/2021 CALL \$305.00 / CUSIP: / Symbol: 2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	554.97	02/16/21	460.00	...	94.97	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,109.96	02/16/21	920.00	...	189.96	2 of 2 - Option sale to close-call <sup>25</sup>
02/16/21	3.000	1,664.93	Various	1,380.00	...	284.93	Total of 2 transactions
PYPL 02/26/2021 CALL \$292.50 / CUSIP: / Symbol: 02/22/21	1.000	199.98	02/19/21	400.00	...	-200.02	Option sale to close-call <sup>25</sup>
PYPL 03/05/2021 CALL \$277.50 / CUSIP: / Symbol: 03/03/21	1.000	51.98	03/02/21	209.00	...	-157.02	Option sale to close-call <sup>25</sup>
PYPL 03/12/2021 CALL \$287.50 / CUSIP: / Symbol: 03/03/21	1.000	84.98	03/02/21	206.00	...	-121.02	Option sale to close-call <sup>25</sup>
PYPL 03/19/2021 CALL \$255.00 / CUSIP: / Symbol: 03/16/21	1.000	315.98	03/15/21	265.00	...	50.98	Option sale to close-call <sup>25</sup>
PYPL 04/16/2021 CALL \$275.00 / CUSIP: / Symbol: 04/15/21	1.000	263.98	04/15/21	192.00	...	71.98	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 05/14/2021 CALL \$252.50 / CUSIP: / Symbol:							
05/11/21	2.000	163.98	05/10/21	456.00	...	-292.02	Option sale to close-call <sup>25</sup>
PYPL 05/28/2021 CALL \$257.50 / CUSIP: / Symbol:							
3 transactions for 05/24/21. Total proceeds and cost reported to the IRS.							
	1.000	261.98	05/24/21	298.00	...	-36.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	261.98	05/24/21	298.00	...	-36.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	268.98	05/24/21	298.00	...	-29.02	3 of 3 - Option sale to close-call <sup>25</sup>
05/24/21	3.000	792.94	Various	894.00	...	-101.06	Total of 3 transactions
PYPL 06/11/2021 CALL \$262.50 / CUSIP: / Symbol:							
06/08/21	1.000	269.98	06/07/21	240.00	...	29.98	Option sale to close-call <sup>25</sup>
PYPL 12/23/2021 CALL \$190.00 / CUSIP: / Symbol:							
3 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	1.000	83.99	12/17/21	208.00	...	-124.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	84.00	12/20/21	117.00	...	-33.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	83.99	12/20/21	111.00	...	-27.01	3 of 3 - Option sale to close-call <sup>25</sup>
12/21/21	3.000	251.98	Various	436.00	...	-184.02	Total of 3 transactions
PYPL 12/23/2021 CALL \$197.50 / CUSIP: / Symbol:							
12/20/21	1.000	30.98	12/17/21	73.00	...	-42.02	Option sale to close-call <sup>25</sup>
RLX 01/21/2022 CALL \$12.50 / CUSIP: / Symbol:							
04/14/21	1.000	259.98	04/12/21	250.00	...	9.98	Option sale to close-call <sup>25</sup>
RLX 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	1.000	301.98	03/24/21	285.00	...	16.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	301.98	03/24/21	285.00	...	16.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/26/21	2.000	603.96	Various	570.00	...	33.96	Total of 2 transactions
RLX 10/15/2021 CALL \$12.50 / CUSIP: / Symbol:							
04/14/21	1.000	219.98	04/05/21	290.00	...	-70.02	Option sale to close-call <sup>25</sup>
ROKU 02/12/2021 CALL \$475.00 / CUSIP: / Symbol:							
02/11/21	1.000	572.97	02/10/21	630.00	...	-57.03	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ROKU 02/12/2021 CALL \$480.00 / CUSIP: / Symbol: 02/10/21	1.000	784.97	02/09/21	645.00	...	139.97	Option sale to close-call <sup>25</sup>
ROKU 02/26/2021 CALL \$445.00 / CUSIP: / Symbol: 02/25/21	1.000	80.98	02/24/21	160.00	...	-79.02	Option sale to close-call <sup>25</sup>
ROKU 03/05/2021 CALL \$405.00 / CUSIP: / Symbol: 02/26/21	1.000	1,369.98	02/26/21	1,070.00	...	299.98	Option sale to close-call <sup>25</sup>
ROKU 03/12/2021 CALL \$372.50 / CUSIP: / Symbol: 03/08/21	1.000	539.98	03/08/21	415.00	...	124.98	Option sale to close-call <sup>25</sup>
ROKU 03/12/2021 CALL \$387.50 / CUSIP: / Symbol: 03/11/21	1.000	99.98	03/10/21	510.00	...	-410.02	Option sale to close-call <sup>25</sup>
ROKU 04/09/2021 CALL \$332.50 / CUSIP: / Symbol: 03/30/21	1.000	334.98	03/26/21	585.00	...	-250.02	Option sale to close-call <sup>25</sup>
ROKU 04/09/2021 CALL \$337.50 / CUSIP: / Symbol: 03/30/21	1.000	269.98	03/26/21	680.00	...	-410.02	Option sale to close-call <sup>25</sup>
ROKU 04/16/2021 CALL \$400.00 / CUSIP: / Symbol: 04/14/21	1.000	158.98	04/14/21	530.00	...	-371.02	Option sale to close-call <sup>25</sup>
ROKU 05/14/2021 CALL \$330.00 / CUSIP: / Symbol: 05/13/21	1.000	276.98	05/13/21	219.00	...	57.98	Option sale to close-call <sup>25</sup>
ROKU 05/28/2021 CALL \$342.50 / CUSIP: / Symbol: 05/24/21	1.000	1,019.98	05/24/21	530.00	...	489.98	Option sale to close-call <sup>25</sup>
ROKU 05/28/2021 CALL \$355.00 / CUSIP: / Symbol: 05/26/21	1.000	369.98	05/25/21	309.00	...	60.98	Option sale to close-call <sup>25</sup>
ROKU 05/28/2021 PUT \$330.00 / CUSIP: / Symbol: 05/26/21	1.000	106.98	05/25/21	150.00	...	-43.02	Option sale to close-put <sup>25</sup>
ROKU 06/04/2021 CALL \$335.00 / CUSIP: / Symbol: 06/03/21	2.000	689.98	06/03/21	582.00	...	107.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ROKU 06/04/2021 CALL \$357.50 / CUSIP: / Symbol:							
06/01/21	2.000	649.98	06/01/21	880.00	...	-230.02	Option sale to close-call <sup>25</sup>
ROKU 06/11/2021 CALL \$340.00 / CUSIP: / Symbol:							
06/07/21	1.000	444.98	06/04/21	550.00	...	-105.02	Option sale to close-call <sup>25</sup>
ROKU 06/11/2021 CALL \$345.00 / CUSIP: / Symbol:							
06/10/21	1.000	379.98	06/10/21	360.00	...	19.98	Option sale to close-call <sup>25</sup>
ROKU 06/11/2021 CALL \$347.50 / CUSIP: / Symbol:							
06/08/21	1.000	389.98	06/08/21	590.00	...	-200.02	Option sale to close-call <sup>25</sup>
ROKU 06/11/2021 CALL \$350.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	567.99	06/09/21	546.00	...	21.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	283.99	06/09/21	216.00	...	67.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/21	3.000	851.98	Various	762.00	...	89.98	Total of 2 transactions
ROKU 06/18/2021 CALL \$350.00 / CUSIP: / Symbol:							
06/17/21	1.000	150.98	06/16/21	211.00	...	-60.02	Option sale to close-call <sup>25</sup>
ROKU 06/18/2021 CALL \$362.50 / CUSIP: / Symbol:							
06/15/21	1.000	188.98	06/15/21	400.00	...	-211.02	Option sale to close-call <sup>25</sup>
ROKU 08/20/2021 CALL \$355.00 / CUSIP: / Symbol:							
08/19/21	1.000	219.98	08/18/21	620.00	...	-400.02	Option sale to close-call <sup>25</sup>
ROKU 08/20/2021 CALL \$360.00 / CUSIP: / Symbol:							
08/16/21	1.000	1,069.98	08/16/21	920.00	...	149.98	Option sale to close-call <sup>25</sup>
08/17/21	2.000	1,139.99	08/16/21	1,090.00	...	49.99	Option sale to close-call <sup>25</sup>
08/17/21	1.000	569.99	08/16/21	570.00	0.01 W	0.00	Option sale to close-call <sup>25</sup>
	<i>2 transactions for 08/18/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	579.99	08/17/21	835.01	...	-255.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	579.99	08/17/21	760.00	...	-180.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/18/21	2.000	1,159.98	Various	1,595.01	...	-435.03	Total of 2 transactions
<b>Security total:</b>		<b>3,939.94</b>		<b>4,175.01</b>	<b>0.01 W</b>	<b>-235.06</b>	

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Robinhood Securities LLC

Account 990180150

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 08/20/2021 CALL \$375.00 / CUSIP: / Symbol:							
08/16/21	3.000	1,094.98	08/16/21	1,515.00	...	-420.02	Option sale to close-call <sup>25</sup>
ROKU 08/20/2021 CALL \$385.00 / CUSIP: / Symbol:							
08/16/21	1.000	174.98	08/13/21	298.00	...	-123.02	Option sale to close-call <sup>25</sup>
ROKU 08/20/2021 CALL \$390.00 / CUSIP: / Symbol:							
08/13/21	1.000	212.98	08/13/21	232.00	...	-19.02	Option sale to close-call <sup>25</sup>
ROKU 08/27/2021 CALL \$352.50 / CUSIP: / Symbol:							
08/20/21	1.000	784.98	08/19/21	1,010.00	...	-225.02	Option sale to close-call <sup>25</sup>
ROKU 08/27/2021 CALL \$355.00 / CUSIP: / Symbol:							
4 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	3.000	2,144.98	08/23/21	2,730.00	...	-585.02	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	714.99	08/23/21	1,115.02	...	-400.03	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	715.00	08/23/21	1,115.02	...	-400.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	714.99	08/23/21	920.00	...	-205.01	4 of 4 - Option sale to close-call <sup>25</sup>
08/23/21	6.000	4,289.96	Various	5,880.04	...	-1,590.08	Total of 4 transactions
2 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	1.000	714.98	08/23/21	910.00	195.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	714.98	08/23/21	910.00	195.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/23/21	2.000	1,429.96	Various	1,820.00	390.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>5,719.92</b>		<b>7,700.04</b>	<b>390.04 W</b>	<b>-1,590.08</b>	
ROKU 08/27/2021 CALL \$357.50 / CUSIP: / Symbol:							
08/19/21	1.000	664.98	08/18/21	914.00	...	-249.02	Option sale to close-call <sup>25</sup>
ROKU 08/27/2021 CALL \$362.50 / CUSIP: / Symbol:							
5 transactions for 08/25/21. Total proceeds and cost reported to the IRS.							
	1.000	364.99	08/24/21	655.00	...	-290.01	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	729.99	08/24/21	980.00	...	-250.01	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	364.99	08/24/21	552.00	...	-187.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	365.00	08/24/21	552.00	...	-187.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	365.00	08/24/21	510.00	...	-145.00	5 of 5 - Option sale to close-call <sup>25</sup>
08/25/21	6.000	2,189.97	Various	3,249.00	...	-1,059.03	Total of 5 transactions

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Robinhood Securities LLC

Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ROKU 08/27/2021 CALL \$370.00 / CUSIP: / Symbol:							
08/24/21	1.000	494.98	08/23/21	285.00	...	209.98	Option sale to close-call <sup>25</sup>
ROKU 08/27/2021 CALL \$380.00 / CUSIP: / Symbol:							
08/17/21	1.000	719.98	08/16/21	515.00	...	204.98	Option sale to close-call <sup>25</sup>
ROKU 08/27/2021 PUT \$347.50 / CUSIP: / Symbol:							
08/27/21	1.000	93.98	08/26/21	195.00	...	-101.02	Option sale to close-put <sup>25</sup>
ROKU 09/03/2021 CALL \$362.50 / CUSIP: / Symbol:							
3 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	1.000	11.99	09/02/21	545.00	...	-533.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	11.99	09/02/21	435.00	...	-423.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	12.00	09/02/21	435.00	...	-423.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/03/21	3.000	35.98	Various	1,415.00	...	-1,379.02	Total of 3 transactions
ROKU 09/03/2021 PUT \$357.50 / CUSIP: / Symbol:							
3 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	1.000	364.99	09/01/21	212.00	...	152.99	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	364.99	09/01/21	144.00	...	220.99	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	365.00	09/01/21	144.00	...	221.00	3 of 3 - Option sale to close-put <sup>25</sup>
09/02/21	3.000	1,094.98	Various	500.00	...	594.98	Total of 3 transactions
ROKU 09/03/2021 PUT \$367.50 / CUSIP: / Symbol:							
09/02/21	1.000	359.98	09/01/21	440.00	...	-80.02	Option sale to close-put <sup>25</sup>
ROKU 09/03/2021 PUT \$370.00 / CUSIP: / Symbol:							
3 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	1.000	874.98	09/01/21	570.00	...	304.98	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	874.99	09/01/21	525.00	...	349.99	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	874.99	09/01/21	490.00	...	384.99	3 of 3 - Option sale to close-put <sup>25</sup>
09/02/21	3.000	2,624.96	Various	1,585.00	...	1,039.96	Total of 3 transactions
ROKU 09/10/2021 CALL \$337.50 / CUSIP: / Symbol:							
09/09/21	2.000	1,049.98	09/08/21	1,270.00	...	-220.02	Option sale to close-call <sup>25</sup>

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Account 990180150

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ROKU 09/10/2021 CALL \$345.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	694.99	09/03/21	790.00	...	-95.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	694.99	09/03/21	645.00	...	49.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/07/21	2.000	1,389.98	Various	1,435.00	...	-45.02	Total of 2 transactions
ROKU 09/10/2021 CALL \$360.00 / CUSIP: / Symbol:							
09/03/21	1.000	334.98	09/02/21	1,125.00	...	-790.02	Option sale to close-call <sup>25</sup>
ROKU 09/17/2021 CALL \$330.00 / CUSIP: / Symbol:							
09/15/21	1.000	158.98	09/14/21	284.00	...	-125.02	Option sale to close-call <sup>25</sup>
ROKU 09/24/2021 PUT \$310.00 / CUSIP: / Symbol:							
09/20/21	1.000	554.98	09/20/21	515.00	...	39.98	Option sale to close-put <sup>25</sup>
ROKU 10/01/2021 CALL \$330.00 / CUSIP: / Symbol:							
09/28/21	1.000	269.98	09/27/21	220.00	...	49.98	Option sale to close-call <sup>25</sup>
ROKU 10/01/2021 CALL \$335.00 / CUSIP: / Symbol:							
09/27/21	1.000	196.98	09/24/21	350.00	...	-153.02	Option sale to close-call <sup>25</sup>
ROKU 10/08/2021 PUT \$312.50 / CUSIP: / Symbol:							
10/07/21	1.000	129.98	10/06/21	264.00	...	-134.02	Option sale to close-put <sup>25</sup>
ROKU 10/08/2021 PUT \$320.00 / CUSIP: / Symbol:							
10/08/21	1.000	205.98	10/07/21	148.00	...	57.98	Option sale to close-put <sup>25</sup>
ROKU 11/26/2021 CALL \$285.00 / CUSIP: / Symbol:							
11/26/21	1.000	0.00	11/22/21	23.00	...	-23.00	Option expiration- long call
ROKU 12/10/2021 PUT \$240.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	152.99	12/08/21	273.00	...	-120.01	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	305.99	12/08/21	342.00	...	-36.01	2 of 2 - Option sale to close-put <sup>25</sup>
12/08/21	3.000	458.98	Various	615.00	...	-156.02	Total of 2 transactions
ROKU 12/31/2021 CALL \$240.00 / CUSIP: / Symbol:							
12/17/21	1.000	669.98	12/17/21	450.00	...	219.98	Option sale to close-call <sup>25</sup>

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Account 990180150

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SNAP 10/29/2021 CALL \$66.00 / CUSIP: / Symbol: 10/25/21	1.000	18.98	10/22/21	71.00	...	-52.02	Option sale to close-call <sup>25</sup>
SNOW 09/03/2021 CALL \$310.00 / CUSIP: / Symbol: 08/30/21	2.000	209.98	08/27/21	720.00	...	-510.02	Option sale to close-call <sup>25</sup>
TGT 09/03/2021 CALL \$245.00 / CUSIP: / Symbol: 09/02/21	1.000	214.98	09/01/21	164.00	...	50.98	Option sale to close-call <sup>25</sup>
TGT 09/03/2021 CALL \$247.50 / CUSIP: / Symbol: 09/03/21	2.000	27.98	09/02/21	102.00	...	-74.02	Option sale to close-call <sup>25</sup>
TGT 09/03/2021 CALL \$250.00 / CUSIP: / Symbol: 08/30/21	1.000	259.98	08/27/21	197.00	...	62.98	Option sale to close-call <sup>25</sup>
TGT 12/23/2021 CALL \$230.00 / CUSIP: / Symbol: 12/20/21	1.000	31.98	12/17/21	111.00	...	-79.02	Option sale to close-call <sup>25</sup>
TLRY 02/12/2021 PUT \$28.00 / CUSIP: / Symbol: 02/12/21	1.000	170.98	02/11/21	39.00	...	131.98	Option sale to close-put <sup>25</sup>
TSLA 02/12/2021 CALL \$827.50 / CUSIP: / Symbol: 02/12/21	1.000	86.98	02/11/21	380.00	...	-293.02	Option sale to close-call <sup>25</sup>
TSLA 02/12/2021 CALL \$830.00 / CUSIP: / Symbol: 02/12/21	1.000	80.98	02/11/21	1,635.02	...	-1,554.04	Option sale to close-call <sup>25</sup>
02/12/21	1.000	64.98	02/11/21	1,230.00	1,165.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>145.96</b>		<b>2,865.02</b>	<b>1,165.02 W</b>	<b>-1,554.04</b>	
TSLA 02/12/2021 CALL \$847.50 / CUSIP: / Symbol: 02/10/21	1.000	604.97	02/10/21	903.00	...	-298.03	Option sale to close-call <sup>25</sup>
TSLA 02/19/2021 CALL \$810.00 / CUSIP: / Symbol: 02/19/21	1.000	98.98	02/16/21	1,480.00	...	-1,381.02	Option sale to close-call <sup>25</sup>
TSLA 02/19/2021 CALL \$815.00 / CUSIP: / Symbol: 02/18/21	1.000	319.98	02/16/21	1,690.00	...	-1,370.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 02/19/2021 CALL \$840.00 / CUSIP: / Symbol: 02/16/21	1.000	904.96	02/12/21	945.00	...	-40.04	Option sale to close-call <sup>25</sup>
TSLA 03/05/2021 CALL \$740.00 / CUSIP: / Symbol: 02/26/21	1.000	1,044.98	02/26/21	970.00	...	74.98	Option sale to close-call <sup>25</sup>
TSLA 03/19/2021 CALL \$755.00 / CUSIP: / Symbol: 03/16/21	1.000	384.98	03/16/21	505.00	...	-120.02	Option sale to close-call <sup>25</sup>
TSLA 03/26/2021 CALL \$662.50 / CUSIP: / Symbol: 03/24/21	1.000	1,129.98	03/24/21	1,650.00	...	-520.02	Option sale to close-call <sup>25</sup>
TSLA 04/23/2021 CALL \$800.00 / CUSIP: / Symbol: 04/21/21	1.000	53.98	04/19/21	335.00	...	-281.02	Option sale to close-call <sup>25</sup>
TSLA 05/14/2021 CALL \$630.00 / CUSIP: / Symbol: 05/13/21	1.000	184.98	05/13/21	247.00	...	-62.02	Option sale to close-call <sup>25</sup>
TSLA 06/11/2021 CALL \$625.00 / CUSIP: / Symbol: 06/08/21	1.000	999.98	06/07/21	310.00	...	689.98	Option sale to close-call <sup>25</sup>
TSLA 06/11/2021 CALL \$632.50 / CUSIP: / Symbol: <i>2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.</i>	1.000	314.99	06/08/21	690.00	...	-375.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	314.99	06/08/21	575.00	...	-260.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/21	2.000	629.98	Various	1,265.00	...	-635.02	Total of 2 transactions
TSLA 08/20/2021 CALL \$665.00 / CUSIP: / Symbol: 08/18/21	1.000	1,846.98	08/17/21	1,250.00	...	596.98	Option sale to close-call <sup>25</sup>
TSLA 08/20/2021 CALL \$715.00 / CUSIP: / Symbol: 08/17/21	1.000	189.98	08/16/21	570.00	...	-380.02	Option sale to close-call <sup>25</sup>
TSLA 08/27/2021 CALL \$680.00 / CUSIP: / Symbol: 08/20/21	1.000	2,204.97	08/19/21	1,930.00	...	274.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 990180150

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TSLA 08/27/2021 CALL \$690.00 / CUSIP: / Symbol:							
3 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	1.000	1,737.98	08/23/21	1,380.00	...	357.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,737.98	08/23/21	1,380.00	...	357.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,737.99	08/23/21	1,235.00	...	502.99	3 of 3 - Option sale to close-call <sup>25</sup>
08/23/21	3.000	5,213.95	Various	3,995.00	...	1,218.95	Total of 3 transactions
TSLA 08/27/2021 CALL \$697.50 / CUSIP: / Symbol:							
2 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	1.000	1,304.99	08/23/21	1,379.06	...	-74.07	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	2,609.98	08/23/21	2,500.00	...	109.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/23/21	3.000	3,914.97	Various	3,879.06	...	35.91	Total of 2 transactions
3 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	1.000	1,304.98	08/23/21	1,348.00	43.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,304.98	08/23/21	1,391.02	86.04 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,304.98	08/23/21	1,434.04	129.06 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/23/21	3.000	3,914.94	Various	4,173.06	258.12 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>7,829.91</b>		<b>8,052.12</b>	<b>258.12 W</b>	<b>35.91</b>	
TSLA 08/27/2021 CALL \$707.50 / CUSIP: / Symbol:							
2 transactions for 08/25/21. Total proceeds and cost reported to the IRS.							
	1.000	1,144.98	08/24/21	1,145.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,144.99	08/24/21	1,014.00	...	130.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/25/21	2.000	2,289.97	Various	2,159.00	...	130.97	Total of 2 transactions
TSLA 08/27/2021 PUT \$675.00 / CUSIP: / Symbol:							
08/24/21	1.000	304.98	08/23/21	375.00	...	-70.02	Option sale to close-put <sup>25</sup>
TSLA 08/27/2021 PUT \$690.00 / CUSIP: / Symbol:							
08/27/21	1.000	92.98	08/26/21	175.00	...	-82.02	Option sale to close-put <sup>25</sup>
TSLA 09/03/2021 CALL \$722.50 / CUSIP: / Symbol:							
6 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	1.000	1,060.98	08/30/21	1,025.00	...	35.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,060.98	08/30/21	1,025.00	...	35.98	2 of 6 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 990180150

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 09/03/2021 CALL \$722.50 / CUSIP: / Symbol: (cont'd)							
	1.000	1,060.98	08/30/21	1,025.00	...	35.98	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,059.98	08/30/21	950.00	...	109.98	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,059.98	08/30/21	950.00	...	109.98	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,060.98	08/30/21	950.00	...	110.98	6 of 6 - Option sale to close-call <sup>25</sup>
08/30/21	6.000	6,363.88	Various	5,925.00	...	438.88	Total of 6 transactions
TSLA 09/03/2021 PUT \$730.00 / CUSIP: / Symbol:							
09/02/21	1.000	459.98	09/01/21	362.00	...	97.98	Option sale to close-put <sup>25</sup>
TSLA 09/03/2021 PUT \$735.00 / CUSIP: / Symbol:							
09/02/21	1.000	609.98	09/01/21	465.00	...	144.98	Option sale to close-put <sup>25</sup>
TSLA 09/24/2021 CALL \$735.00 / CUSIP: / Symbol:							
09/21/21	1.000	1,239.98	09/21/21	1,145.00	...	94.98	Option sale to close-call <sup>25</sup>
TSLA 09/24/2021 CALL \$750.00 / CUSIP: / Symbol:							
09/20/21	1.000	499.98	09/20/21	560.00	...	-60.02	Option sale to close-call <sup>25</sup>
TSLA 10/08/2021 CALL \$815.00 / CUSIP: / Symbol:							
10/06/21	1.000	197.98	10/06/21	157.00	...	40.98	Option sale to close-call <sup>25</sup>
TSLA 12/10/2021 CALL \$1,080.00 / CUSIP: / Symbol:							
12/09/21	1.000	774.98	12/08/21	1,070.00	...	-295.02	Option sale to close-call <sup>25</sup>
TSLA 12/17/2021 CALL \$1,110.00 / CUSIP: / Symbol:							
5 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	1.000	76.00	12/09/21	1,605.00	...	-1,529.00	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	151.99	12/10/21	1,040.00	...	-888.01	2 of 5 - Option sale to close-call <sup>25</sup>
	3.000	227.99	12/13/21	564.00	...	-336.01	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	151.99	12/13/21	486.00	...	-334.01	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	76.00	12/13/21	287.00	...	-211.00	5 of 5 - Option sale to close-call <sup>25</sup>
12/14/21	9.000	683.97	Various	3,982.00	...	-3,298.03	Total of 5 transactions
TSLA 12/23/2021 CALL \$1,025.00 / CUSIP: / Symbol:							
12/20/21	1.000	188.98	12/17/21	725.00	...	-536.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TSLA 12/23/2021 CALL \$1,045.00 / CUSIP: / Symbol: 12/17/21	1.000	619.98	12/16/21	720.00	...	-100.02	Option sale to close-call <sup>25</sup>
TSLA 12/23/2021 CALL \$1,050.00 / CUSIP: / Symbol: 12/16/21	1.000	714.98	12/16/21	890.00	...	-175.02	Option sale to close-call <sup>25</sup>
TSLA 12/23/2021 CALL \$1,060.00 / CUSIP: / Symbol: 12/16/21	1.000	844.98	12/14/21	655.00	...	189.98	Option sale to close-call <sup>25</sup>
TSLA 12/23/2021 PUT \$970.00 / CUSIP: / Symbol: 12/23/21	1.000	141.98	12/22/21	164.00	...	-22.02	Option sale to close-put <sup>25</sup>
TSLA 12/31/2021 PUT \$915.00 / CUSIP: / Symbol: 12/27/21	1.000	127.98	12/23/21	179.00	...	-51.02	Option sale to close-put <sup>25</sup>
UBER 03/19/2021 CALL \$60.50 / CUSIP: / Symbol: 03/16/21	1.000	107.98	03/15/21	119.00	...	-11.02	Option sale to close-call <sup>25</sup>
UPS 09/17/2021 CALL \$192.50 / CUSIP: / Symbol: <i>3 transactions for 09/10/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	122.98	09/09/21	122.00	...	0.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	122.98	09/09/21	122.00	...	0.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	122.98	09/09/21	122.00	...	0.98	3 of 3 - Option sale to close-call <sup>25</sup>
09/10/21	3.000	368.94	Various	366.00	...	2.94	Total of 3 transactions
V 08/27/2021 CALL \$232.50 / CUSIP: / Symbol: 08/23/21	1.000	238.98	08/20/21	226.00	...	12.98	Option sale to close-call <sup>25</sup>
V 09/03/2021 CALL \$227.50 / CUSIP: / Symbol: <i>3 transactions for 09/03/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	57.99	09/02/21	324.00	...	-266.01	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	86.99	09/02/21	288.00	...	-201.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	29.00	09/02/21	71.00	...	-42.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/03/21	6.000	173.98	Various	683.00	...	-509.02	Total of 3 transactions

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 990180150
2021 1099-B* OMB No. 1545-0715	(continued)	02/11/2022

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V 09/10/2021 CALL \$230.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	111.99	09/02/21	294.00	...	-182.01	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	167.99	09/02/21	279.00	...	-111.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/03/21	5.000	279.98	Various	573.00	...	-293.02	Total of 2 transactions
V 12/23/2021 CALL \$217.50 / CUSIP: / Symbol:							
12/20/21	1.000	26.98	12/17/21	54.00	...	-27.02	Option sale to close-call <sup>25</sup>
VST 04/16/2021 CALL \$19.00 / CUSIP: / Symbol:							
03/03/21	2.000	59.98	03/02/21	100.00	...	-40.02	Option sale to close-call <sup>25</sup>
WMT 05/28/2021 CALL \$142.00 / CUSIP: / Symbol:							
05/24/21	10.000	979.97	05/24/21	900.00	...	79.97	Option sale to close-call <sup>25</sup>
WMT 06/11/2021 CALL \$143.00 / CUSIP: / Symbol:							
06/08/21	1.000	14.98	06/07/21	18.00	...	-3.02	Option sale to close-call <sup>25</sup>
WMT 06/11/2021 CALL \$144.00 / CUSIP: / Symbol:							
06/08/21	1.000	6.98	06/07/21	8.00	...	-1.02	Option sale to close-call <sup>25</sup>
WMT 09/03/2021 CALL \$147.00 / CUSIP: / Symbol:							
09/02/21	2.000	307.98	09/01/21	134.00	...	173.98	Option sale to close-call <sup>25</sup>
WMT 09/03/2021 CALL \$148.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	99.98	08/27/21	156.00	...	-56.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	49.98	08/27/21	78.00	...	-28.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/30/21	3.000	149.96	Various	234.00	...	-84.04	Total of 2 transactions
WMT 09/03/2021 CALL \$149.00 / CUSIP: / Symbol:							
08/30/21	1.000	24.98	08/27/21	46.00	...	-21.02	Option sale to close-call <sup>25</sup>

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Account 990180150

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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WMT 09/10/2021 CALL \$148.00 / CUSIP: / Symbol:							
3 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	1.000	109.99	09/02/21	101.00	...	8.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	109.99	09/02/21	101.00	...	8.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	110.00	09/02/21	101.00	...	9.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/03/21	3.000	329.98	Various	303.00	...	26.98	Total of 3 transactions
WMT 12/17/2021 CALL \$137.00 / CUSIP: / Symbol:							
12/09/21	2.000	245.98	12/08/21	242.00	...	3.98	Option sale to close-call <sup>25</sup>
WMT 12/17/2021 CALL \$141.00 / CUSIP: / Symbol:							
12/13/21	3.000	332.98	12/10/21	201.00	...	131.98	Option sale to close-call <sup>25</sup>
WMT 12/17/2021 CALL \$142.00 / CUSIP: / Symbol:							
12/13/21	1.000	74.98	12/10/21	29.00	...	45.98	Option sale to close-call <sup>25</sup>
WMT 12/23/2021 CALL \$143.00 / CUSIP: / Symbol:							
2 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	2.000	59.99	12/17/21	262.00	...	-202.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	29.99	12/17/21	160.00	...	-130.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/20/21	3.000	89.98	Various	422.00	...	-332.02	Total of 2 transactions
XL 12/17/2021 CALL \$14.00 / CUSIP: / Symbol:							
04/06/21	2.000	309.98	04/05/21	280.00	...	29.98	Option sale to close-call <sup>25</sup>
ZM 03/19/2021 CALL \$400.00 / CUSIP: / Symbol:							
03/15/21	1.000	99.98	03/12/21	135.00	...	-35.02	Option sale to close-call <sup>25</sup>
ZM 04/16/2021 CALL \$337.50 / CUSIP: / Symbol:							
04/15/21	1.000	319.98	04/14/21	200.00	...	119.98	Option sale to close-call <sup>25</sup>
ZM 08/20/2021 CALL \$377.50 / CUSIP: / Symbol:							
2 transactions for 08/16/21. Total proceeds and cost reported to the IRS.							
	1.000	89.99	08/13/21	220.00	...	-130.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	89.99	08/13/21	135.00	...	-45.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/16/21	2.000	179.98	Various	355.00	...	-175.02	Total of 2 transactions

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Robinhood Securities LLC

Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ZM 09/03/2021 CALL \$295.00 / CUSIP: / Symbol:							
09/03/21	1.000	174.98	09/02/21	146.00	...	28.98	Option sale to close-call <sup>25</sup>
ZM 09/03/2021 CALL \$320.00 / CUSIP: / Symbol:							
09/01/21	1.000	44.98	08/31/21	145.00	...	-100.02	Option sale to close-call <sup>25</sup>
ZM 09/03/2021 CALL \$325.00 / CUSIP: / Symbol:							
09/01/21	1.000	34.98	08/31/21	115.00	...	-80.02	Option sale to close-call <sup>25</sup>
ZM 09/03/2021 CALL \$385.00 / CUSIP: / Symbol:							
09/03/21	1.000	0.00	08/30/21	280.00	...	-280.00	Option expiration- long call
ZM 09/03/2021 CALL \$405.00 / CUSIP: / Symbol:							
09/03/21	1.000	0.00	08/30/21	115.00	...	-115.00	Option expiration- long call
ZM 09/10/2021 CALL \$295.00 / CUSIP: / Symbol:							
09/03/21	2.000	1,279.98	09/02/21	960.00	...	319.98	Option sale to close-call <sup>25</sup>
ZM 09/24/2021 CALL \$300.00 / CUSIP: / Symbol:							
09/01/21	1.000	929.98	08/31/21	1,150.00	...	-220.02	Option sale to close-call <sup>25</sup>
ZM 10/15/2021 CALL \$300.00 / CUSIP: / Symbol:							
09/01/21	1.000	1,379.98	08/31/21	1,590.00	...	-210.02	Option sale to close-call <sup>25</sup>
ZM 10/15/2021 CALL \$340.00 / CUSIP: / Symbol:							
		<i>4 transactions for 09/01/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	359.98	08/31/21	500.01	...	-140.03	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	359.98	08/31/21	480.01	...	-120.03	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	819.99	08/31/21	880.00	...	-60.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	410.00	08/31/21	450.00	...	-40.00	4 of 4 - Option sale to close-call <sup>25</sup>
09/01/21	5.000	1,949.95	Various	2,310.02	...	-360.07	Total of 4 transactions
		<i>2 transactions for 09/01/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	409.99	08/31/21	470.00	60.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	409.99	08/31/21	450.00	40.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/01/21	2.000	819.98	Various	920.00	100.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,769.93</b>		<b>3,230.02</b>	<b>100.02 W</b>	<b>-360.07</b>	

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Account 990180150

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ZM 12/10/2021 CALL \$202.50 / CUSIP: / Symbol:							
12/09/21	1.000	99.98	12/08/21	60.00	...	39.98	Option sale to close-call <sup>25</sup>
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
09/20/21	3.000	126.00	09/17/21	132.15	...	-6.15	Sale <sup>25</sup>
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
03/18/21	300.000	210.71	03/17/21	211.92	...	-1.21	Sale <sup>25</sup>
AILERON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 00887A105 / Symbol:							
02/10/21	45.000	95.46	02/09/21	96.30	...	-0.84	Sale <sup>25</sup>
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
08/24/21	75.000	12,629.92	08/23/21	11,581.40	...	1,048.52	Sale <sup>25</sup>
08/26/21	50.000	8,369.95	08/25/21	8,397.09	...	-27.14	Sale <sup>25</sup>
	<b>Security total:</b>	<b>20,999.87</b>		<b>19,978.49</b>	...	<b>1,021.38</b>	
ALSET EHOME INTERNATIONAL INC. COMMON STOCK / CUSIP: 02116A104 / Symbol:							
03/09/21	50.000	491.00	03/08/21	549.91	...	-58.91	Sale <sup>25</sup>
AZURRX BIOPHARMA, INC. COMMON STOCK / CUSIP: 05502L105 / Symbol:							
04/05/21	250.000	240.12	04/01/21	239.73	...	0.39	Sale <sup>25</sup>
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
03/02/21	100.000	99.99	03/01/21	95.96	...	4.03	Sale <sup>25</sup>
BLOOM ENERGY CORPORATION / CUSIP: 093712107 / Symbol:							
08/31/21	50.000	1,085.99	08/30/21	1,060.50	...	25.49	Sale <sup>25</sup>
CODE CHAIN NEW CONTINENT LIMIT ED COMMON STOCK / CUSIP: 19200A105 / Symbol:							
03/19/21	100.000	514.54	03/18/21	607.00	...	-92.46	Sale <sup>25</sup>
DENISON MINES CORP / CUSIP: 248356107 / Symbol:							
02/19/21	350.000	430.46	02/19/21	427.00	...	3.46	Sale <sup>25</sup>

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Account 990180150

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DOCUMENT SECURITY SYSTEMS, INC. / CUSIP: 25614T309 / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	5.000	21.76	03/18/21	20.90	...	0.86	1 of 2 - Sale <sup>25</sup>
	95.000	413.43	03/18/21	396.31	...	17.12	2 of 2 - Sale <sup>25</sup>
03/19/21	100.000	435.19	Various	417.21	...	17.98	Total of 2 transactions
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
08/31/21	100.000	4,365.43	08/30/21	4,408.53	...	-43.10	Sale <sup>25</sup>
FUTURE FINTECH GROUP INC. COMM ON STOCK / CUSIP: 36117V105 / Symbol:							
03/02/21	200.000	1,213.97	03/01/21	1,197.92	...	16.05	Sale <sup>25</sup>
GTT COMMUNICATIONS, INC. COMM N STOCK / CUSIP: 362393100 / Symbol:							
03/05/21	110.000	189.19	03/03/21	219.88	...	-30.69	Sale <sup>25</sup>
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
03/18/21	2.000	431.40	03/17/21	424.96	...	6.44	Sale <sup>25</sup>
03/22/21	3.000	591.37	03/19/21	574.74	...	16.63	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,022.77</b>		<b>999.70</b>	...	<b>23.07</b>	
GENESIS HEALTHCARE, INC. / CUSIP: 37185X106 / Symbol:							
03/18/21	400.000	222.59	03/17/21	226.52	...	-3.93	Sale <sup>25</sup>
GRAYBUG VISION, INC. COMMON STOCK / CUSIP: 38942Q103 / Symbol:							
04/05/21	75.000	410.24	04/01/21	414.00	...	-3.76	Sale <sup>25</sup>
GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q401 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	80.000	206.11	03/03/21	210.80	...	-4.69	1 of 2 - Sale <sup>25</sup>
	3.000	7.73	03/04/21	8.07	...	-0.34	2 of 2 - Sale <sup>25</sup>
03/05/21	83.000	213.84	Various	218.87	...	-5.03	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IT TECH PACKAGING, INC. / CUSIP: 46527C100 / Symbol:							
3 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	40.000	30.79	03/17/21	28.09	...	2.70	1 of 3 - Sale <sup>25</sup>
	100.000	76.99	03/17/21	70.24	...	6.75	2 of 3 - Sale <sup>25</sup>
	100.000	76.99	03/17/21	70.24	...	6.75	3 of 3 - Sale <sup>25</sup>
03/18/21	240.000	184.77	Various	168.57	...	16.20	Total of 3 transactions
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol:							
02/24/21	45.000	74.25	02/23/21	73.35	...	0.90	Sale <sup>25</sup>
03/10/21	40.000	58.40	03/09/21	55.96	...	2.44	Sale <sup>25</sup>
04/16/21	250.000	350.65	04/15/21	374.20	...	-23.55	Sale <sup>25</sup>
	<b>Security total:</b>	<b>483.30</b>		<b>503.51</b>	...	<b>-20.21</b>	
MICT, INC. COMMON STOCK / CUSIP: 55328R109 / Symbol:							
03/22/21	100.000	253.09	03/19/21	229.00	...	24.09	Sale <sup>25</sup>
04/01/21	100.000	209.30	03/30/21	200.50	...	8.80	Sale <sup>25</sup>
	<b>Security total:</b>	<b>462.39</b>		<b>429.50</b>	...	<b>32.89</b>	
MICROSOFT CORPORATION COMMON STOCK / CUSIP: 594918104 / Symbol:							
2 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	9.000	2,679.70	08/25/21	2,717.05	...	-37.35	1 of 2 - Sale <sup>25</sup>
	25.000	7,443.60	08/26/21	7,539.12	...	-95.52	2 of 2 - Sale <sup>25</sup>
08/27/21	34.000	10,123.30	Various	10,256.17	...	-132.87	Total of 2 transactions
NEOS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 64052L106 / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	142.000	161.87	03/18/21	203.93	...	-42.06	1 of 2 - Sale <sup>25</sup>
	8.000	9.12	03/18/21	11.52	...	-2.40	2 of 2 - Sale <sup>25</sup>
03/19/21	150.000	170.99	Various	215.45	...	-44.46	Total of 2 transactions
NVIDIA CORPORATION COMMON STOCK / CUSIP: 67066G104 / Symbol:							
08/19/21	10.000	1,889.12	08/18/21	1,960.52	...	-71.40	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ODONATE THERAPEUTICS INC COMMO N STOCK / CUSIP: 676079106 / Symbol:							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	100.000	355.99	03/22/21	476.00	...	-120.01	1 of 2 - Sale <sup>25</sup>
	100.000	381.15	03/22/21	476.00	...	-94.85	2 of 2 - Sale <sup>25</sup>
03/23/21	200.000	737.14	Various	952.00	...	-214.86	Total of 2 transactions
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
08/19/21	18.000	4,815.27	08/18/21	4,873.99	...	-58.72	Sale <sup>25</sup>
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
08/31/21	100.000	2,618.98	08/30/21	2,586.81	...	32.17	Sale <sup>25</sup>
Q&K INTERNATIONAL GROUP LIMITE D AMERICAN DEPOSITARY SHARES / CUSIP: 74738J102 / Symbol:							
4 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	89.000	121.45	05/17/21	135.72	...	-14.27	1 of 4 - Sale <sup>25</sup>
	10.000	13.65	05/18/21	14.30	...	-0.65	2 of 4 - Sale <sup>25</sup>
	1.000	1.36	05/18/21	1.44	...	-0.08	3 of 4 - Sale <sup>25</sup>
	109.000	148.74	05/18/21	141.15	...	7.59	4 of 4 - Sale <sup>25</sup>
05/19/21	209.000	285.20	Various	292.61	...	-7.41	Total of 4 transactions
2 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	1.000	1.39	05/17/21	1.53	0.14 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	13.90	05/17/21	15.25	1.35 W	0.00	2 of 2 - Sale <sup>25</sup>
05/19/21	11.000	15.29	Various	16.78	1.49 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>300.49</b>		<b>309.39</b>	<b>1.49 W</b>	<b>-7.41</b>	
RLX TECHNOLOGY INC. AMERICAN D EPOSITARY SHARES, EACH REPRESNTING THE RIGHT TO RECEIVE ONE / CUSIP: 74969N103 / Symbol:							
4 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	95.000	988.93	03/22/21	1,121.48	...	-132.55	1 of 4 - Sale <sup>25</sup>
	31.000	322.70	03/23/21	343.42	...	-20.72	2 of 4 - Sale <sup>25</sup>
	30.000	312.30	03/23/21	329.70	...	-17.40	3 of 4 - Sale <sup>25</sup>
	2.000	20.82	03/23/21	22.15	...	-1.33	4 of 4 - Sale <sup>25</sup>
03/24/21	158.000	1,644.75	Various	1,816.75	...	-172.00	Total of 4 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
2 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	12.000	4,314.33	08/20/21	4,161.24	...	153.09	1 of 2 - Sale <sup>25</sup>
	16.000	5,752.45	08/20/21	5,549.44	...	203.01	2 of 2 - Sale <sup>25</sup>
08/23/21	28.000	10,066.78	Various	9,710.68	...	356.10	Total of 2 transactions
08/31/21	10.000	3,544.17	08/30/21	3,558.00	...	-13.83	Sale <sup>25</sup>
	<b>Security total:</b>	<b>13,610.95</b>		<b>13,268.68</b>	...	<b>342.27</b>	
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
08/23/21	10.000	2,568.56	08/20/21	2,551.50	...	17.06	Sale <sup>25</sup>
SENECA BIOPHARMA, INC. COMMON STOCK / CUSIP: 81689B103 / Symbol:							
5 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	5.000	11.25	03/18/21	8.69	...	2.56	1 of 5 - Sale <sup>25</sup>
	10.000	22.50	03/18/21	17.35	...	5.15	2 of 5 - Sale <sup>25</sup>
	185.000	416.46	03/18/21	321.64	...	94.82	3 of 5 - Sale <sup>25</sup>
	5.000	11.26	03/19/21	7.93	...	3.33	4 of 5 - Sale <sup>25</sup>
	95.000	213.86	03/19/21	150.98	...	62.88	5 of 5 - Sale <sup>25</sup>
03/22/21	300.000	675.33	Various	506.59	...	168.74	Total of 5 transactions
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol:							
5 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	1.000	2.43	03/09/21	2.19	...	0.24	1 of 5 - Sale <sup>25</sup>
	14.000	34.02	03/09/21	30.65	...	3.37	2 of 5 - Sale <sup>25</sup>
	14.000	34.02	03/09/21	30.59	...	3.43	3 of 5 - Sale <sup>25</sup>
	85.000	206.54	03/09/21	186.11	...	20.43	4 of 5 - Sale <sup>25</sup>
	86.000	208.97	03/09/21	187.91	...	21.06	5 of 5 - Sale <sup>25</sup>
03/10/21	200.000	485.98	Various	437.45	...	48.53	Total of 5 transactions
03/18/21	140.000	286.99	03/17/21	274.33	...	12.66	Sale <sup>25</sup>
03/18/21	60.000	122.99	03/17/21	127.10	4.11 W	0.00	Sale <sup>25</sup>
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	60.000	118.19	03/18/21	128.83	10.64 W	0.00	1 of 2 - Sale <sup>25</sup>
	90.000	177.29	03/18/21	187.07	9.78 W	0.00	2 of 2 - Sale <sup>25</sup>
03/19/21	150.000	295.48	Various	315.90	20.42 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 990180150

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
4 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	60.000	114.65	03/30/21	119.24	...	-4.59	1 of 4 - Sale <sup>25</sup>
	90.000	171.97	03/30/21	172.68	...	-0.71	2 of 4 - Sale <sup>25</sup>
	35.000	66.87	03/30/21	64.05	...	2.82	3 of 4 - Sale <sup>25</sup>
	50.000	95.54	03/30/21	90.50	...	5.04	4 of 4 - Sale <sup>25</sup>
04/01/21	235.000	449.03	Various	446.47	...	2.56	Total of 4 transactions
	<b>Security total:</b>	<b>1,640.47</b>		<b>1,601.25</b>	<b>24.53 W</b>	<b>63.75</b>	
SYNTHETIC BIOLOGICS, INC. / CUSIP: 87164U201 / Symbol:							
03/18/21	200.000	163.94	03/17/21	161.32	...	2.62	Sale <sup>25</sup>
TAKUNG ART CO., LTD. / CUSIP: 87407Q207 / Symbol:							
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	5.000	170.83	03/18/21	153.70	...	17.13	1 of 2 - Sale <sup>25</sup>
	50.000	1,708.23	03/18/21	1,535.75	...	172.48	2 of 2 - Sale <sup>25</sup>
03/18/21	55.000	1,879.06	Various	1,689.45	...	189.61	Total of 2 transactions
TELIGENT INC NEW COMMON STOCK / CUSIP: 87960W203 / Symbol:							
03/18/21	200.000	169.32	03/17/21	168.90	...	0.42	Sale <sup>25</sup>
05/18/21	300.000	155.99	05/17/21	155.97	...	0.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>325.31</b>		<b>324.87</b>	...	<b>0.44</b>	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
06/15/21	48.000	399.12	06/14/21	447.84	...	-48.72	Sale <sup>25</sup>
WASHINGTON PRIME GROUP INC NEW COMMON SHARES / CUSIP: 93964W405 / Symbol:							
2 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
	100.000	277.19	03/17/21	280.00	...	-2.81	1 of 2 - Sale <sup>25</sup>
	100.000	277.18	03/17/21	279.00	...	-1.82	2 of 2 - Sale <sup>25</sup>
03/17/21	200.000	554.37	Various	559.00	...	-4.63	Total of 2 transactions
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
04/19/21	400.000	327.99	04/16/21	350.24	...	-22.25	Sale <sup>25</sup>
08/11/21	64.000	37.11	08/04/21	37.50	...	-0.39	Sale <sup>25</sup>
	<b>Security total:</b>	<b>365.10</b>		<b>387.74</b>	...	<b>-22.64</b>	

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Robinhood Securities LLC

Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CHINA XIANGTAI FOOD CO., LTD. ORDINARY SHARES / CUSIP: G21621100 / Symbol:							
03/18/21	100.000	110.29	03/17/21	107.89	...	2.40	Sale <sup>25</sup>
LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol:							
<i>2 transactions for 03/22/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	176.16	03/18/21	190.89	...	-14.73	1 of 2 - Sale <sup>25</sup>
	20.000	35.23	03/19/21	38.58	...	-3.35	2 of 2 - Sale <sup>25</sup>
03/22/21	120.000	211.39	Various	229.47	...	-18.08	Total of 2 transactions
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
<i>4 transactions for 03/05/21. Total proceeds and cost reported to the IRS.</i>							
	230.000	161.45	03/05/21	184.20	...	-22.75	1 of 4 - Sale <sup>25</sup>
	100.000	70.20	03/05/21	80.02	...	-9.82	2 of 4 - Sale <sup>25</sup>
	100.000	70.20	03/05/21	80.02	...	-9.82	3 of 4 - Sale <sup>25</sup>
	60.000	42.12	03/05/21	48.01	...	-5.89	4 of 4 - Sale <sup>25</sup>
03/05/21	490.000	343.97	Various	392.25	...	-48.28	Total of 4 transactions
03/05/21	130.000	91.26	03/03/21	125.50	34.24 W	0.00	Sale <sup>25</sup>
03/12/21	130.000	125.33	03/11/21	157.62	...	-32.29	Sale <sup>25</sup>
	<b>Security total:</b>	<b>560.56</b>		<b>675.37</b>	<b>34.24 W</b>	<b>-80.57</b>	
<b>Totals :</b>		<b>224,264.50</b>		<b>248,457.67</b>	<b>4,212.71 W</b>	<b>-19,980.46</b>	

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Robinhood Securities LLC

Account 990180150

**Fees and Expenses**

2021

02/11/2022

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/19/21	-5.00	Margin interest paid	
		02/11/21	-5.00	Margin interest paid	
		03/15/21	-5.00	Margin interest paid	
		04/12/21	-5.00	Margin interest paid	
		05/12/21	-5.00	Margin interest paid	
		06/11/21	-5.00	Margin interest paid	
		07/12/21	-5.00	Margin interest paid	
		08/10/21	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
Margin charge		09/09/21	-4.72	Margin interest paid	
		09/09/21	-5.00	Margin interest paid	
		10/11/21	-5.00	Margin interest paid	
		11/08/21	-5.00	Margin interest paid	
		12/08/21	-5.00	Margin interest paid	
			-24.72	Total Margin interest paid	
			<b>-64.72</b>	<b>Total Margin interest paid</b>	



25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.