

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 133428961	Statement Date: 02/11/2022 Document ID: 7HT8 420 3TV1	2021
	Venkatesh Vatturi 5500 Magnolia Run Cir 203 Virginia Beach, VA 23464 RECIPIENT'S TIN: XXX-XX-8121		

[] FATCA filing requirement (see instructions)		Summary Information	
DIVIDENDS AND DISTRIBUTIONS	2021 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION
			2021 1099-MISC*
			OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00		2- Royalties
1b- Qualified dividends	0.00		3- Other income
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00		4- Federal income tax withheld
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest
2c- Section 1202 gain	0.00		
2d- Collectibles (28%) gain	0.00		
2e- Section 897 ordinary dividends	0.00		SECTION 1256 CONTRACTS
2f- Section 897 capital gain	0.00		2021 1099-B*
3- Nondividend distributions	0.00		OMB No. 1545-0715
4- Federal income tax withheld	0.00		8- Profit or (loss) realized in 2021 on closed contracts
5- Section 199A dividends	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2020
6- Investment expenses	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2021
8- Foreign country or US possession:	0.00		11- Aggregate profit or (loss) on contracts
7- Foreign tax paid:	0.00		
9- Cash liquidation distributions	0.00		
10- Noncash liquidation distributions	0.00		
11- Exempt-interest dividends (includes line 12)	0.00		
12- Specified private activity bond interest dividends (AMT)	0.00		

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	84,521.87	90,346.04	0.00	1,313.09	-4,511.08
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	84,521.87	90,346.04	0.00	1,313.09	-4,511.08
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	84,521.87	90,346.04	0.00	1,313.09	-4,511.08
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 133428961

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	5.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 133428961

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AA 04/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
02/19/21	1.000	99.98	02/10/21	157.00	...	-57.02	Option sale to close-call ²⁵
AAL 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
02/19/21	1.000	684.97	01/29/21	625.00	...	59.97	Option sale to close-call ²⁵
AAL 01/21/2022 CALL \$40.00 / CUSIP: / Symbol:							
02/19/21	1.000	109.98	02/03/21	105.00	...	4.98	Option sale to close-call ²⁵
AAL 02/26/2021 CALL \$20.00 / CUSIP: / Symbol:							
	2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	1.000	245.98	01/27/21	87.00	...	158.98	1 of 2 - Option sale to close-call ²⁵
	1.000	349.98	01/27/21	87.00	...	262.98	2 of 2 - Option sale to close-call ²⁵
01/28/21	2.000	595.96	Various	174.00	...	421.96	Total of 2 transactions

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Account 133428961

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAPL 01/20/2023 CALL \$220.00 / CUSIP: / Symbol: 01/22/21	1.000	859.97	12/23/20	810.00	...	49.97	Option sale to close-call ²⁵
AAPL 01/29/2021 CALL \$155.00 / CUSIP: / Symbol: 01/29/21	2.000	0.00	01/27/21	308.00	...	-308.00	Option expiration- long call
AAPL 02/05/2021 CALL \$142.00 / CUSIP: / Symbol: 01/27/21	1.000	724.97	01/25/21	570.00	...	154.97	Option sale to close-call ²⁵
AAPL 02/19/2021 CALL \$135.00 / CUSIP: / Symbol: 01/22/21	1.000	685.97	01/14/21	448.00	...	237.97	Option sale to close-call ²⁵
AAPL 02/19/2021 CALL \$139.00 / CUSIP: / Symbol: 01/27/21	1.000	1,002.96	01/22/21	590.00	...	412.96	Option sale to close-call ²⁵
AAPL 02/19/2021 CALL \$140.00 / CUSIP: / Symbol: 01/22/21	1.000	494.97	01/15/21	256.00	...	238.97	Option sale to close-call ²⁵
AAPL 02/19/2021 CALL \$160.00 / CUSIP: / Symbol: 02/16/21	1.000	1.98	01/25/21	239.00	...	-237.02	Option sale to close-call ²⁵
AAPL 02/26/2021 CALL \$126.00 / CUSIP: / Symbol: <i>3 transactions for 02/26/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	02/24/21	100.00	...	-100.00	1 of 3 - Option expiration- long call
	1.000	0.00	02/26/21	8.00	...	-8.00	2 of 3 - Option expiration- long call
	1.000	0.00	02/26/21	8.00	...	-8.00	3 of 3 - Option expiration- long call
02/26/21	3.000	0.00	Various	116.00	...	-116.00	Total of 3 transactions
AAPL 03/12/2021 CALL \$128.00 / CUSIP: / Symbol: <i>2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	3.99	03/10/21	7.00	...	-3.01	1 of 2 - Option sale to close-call ²⁵
	1.000	3.99	03/10/21	7.00	...	-3.01	2 of 2 - Option sale to close-call ²⁵
03/11/21	2.000	7.98	Various	14.00	...	-6.02	Total of 2 transactions
AAPL 03/12/2021 CALL \$132.00 / CUSIP: / Symbol: 03/01/21	1.000	57.98	02/25/21	92.00	...	-34.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/17/2023 CALL \$195.00 / CUSIP: / Symbol: 11/22/21	1.000	1,238.98	02/19/21	1,140.00	...	98.98	Option sale to close-call ²⁵
AAPL 03/19/2021 CALL \$122.00 / CUSIP: / Symbol: 03/01/21	1.000	554.98	02/23/21	410.00	...	144.98	Option sale to close-call ²⁵
AAPL 03/19/2021 CALL \$122.50 / CUSIP: / Symbol: 03/01/21	1.000	524.98	02/23/21	446.00	...	78.98	Option sale to close-call ²⁵
AAPL 03/19/2021 CALL \$150.00 / CUSIP: / Symbol: 01/27/21	1.000	729.97	01/22/21	435.00	...	294.97	Option sale to close-call ²⁵
AAPL 03/19/2021 CALL \$185.00 / CUSIP: / Symbol: 02/23/21	1.000	6.98	01/26/21	133.00	...	-126.02	Option sale to close-call ²⁵
AAPL 07/16/2021 PUT \$144.00 / CUSIP: / Symbol: 07/16/21	1.000	0.00	07/07/21	190.00	...	-190.00	Option expiration- long put
AAPL 07/30/2021 PUT \$146.00 / CUSIP: / Symbol: 07/28/21	1.000	124.98	07/13/21	365.00	...	-240.02	Option sale to close-put ²⁵
ABNB 08/20/2021 CALL \$155.00 / CUSIP: / Symbol: 08/17/21	1.000	19.98	08/12/21	303.00	...	-283.02	Option sale to close-call ²⁵
ACB 03/19/2021 CALL \$15.00 / CUSIP: / Symbol: 02/09/21	1.000	344.98	02/08/21	197.00	...	147.98	Option sale to close-call ²⁵
ADBE 02/19/2021 CALL \$525.00 / CUSIP: / Symbol: 01/29/21	1.000	95.98	01/28/21	174.00	...	-78.02	Option sale to close-call ²⁵
ADBE 12/17/2021 PUT \$505.00 / CUSIP: / Symbol: 12/16/21	1.000	55.98	12/15/21	131.00	...	-75.02	Option sale to close-put ²⁵
ADSK 01/15/2021 CALL \$340.00 / CUSIP: / Symbol: 01/12/21	1.000	12.98	12/22/20	130.00	...	-117.02	Option sale to close-call ²⁵

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Account 133428961

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADSK 11/26/2021 CALL \$265.00 / CUSIP: / Symbol:							
11/24/21	1.000	54.99	11/24/21	104.00	...	-49.01	Option sale to close-call ²⁵
11/24/21	1.000	54.99	11/24/21	132.00	77.01 W	0.00	Option sale to close-call ²⁵
11/26/21	1.000	0.00	11/24/21	141.01	...	-141.01	Option expiration- long call
Security total:		109.98		377.01	77.01 W	-190.02	
ADSK 11/26/2021 PUT \$260.00 / CUSIP: / Symbol:							
11/24/21	1.000	699.98	11/23/21	72.00	...	627.98	Option sale to close-put ²⁵
AMC 01/20/2023 CALL \$40.00 / CUSIP: / Symbol:							
02/19/21	1.000	109.98	02/09/21	96.00	...	13.98	Option sale to close-call ²⁵
AMC 02/19/2021 PUT \$4.00 / CUSIP: / Symbol:							
01/28/21	1.000	77.98	01/27/21	75.00	...	2.98	Option sale to close-put ²⁵
AMC 06/18/2021 CALL \$5.00 / CUSIP: / Symbol:							
01/22/21	1.000	100.98	01/21/21	86.00	...	14.98	Option sale to close-call ²⁵
AMC 06/18/2021 PUT \$40.00 / CUSIP: / Symbol:							
06/10/21	1.000	604.98	06/10/21	690.00	...	-85.02	Option sale to close-put ²⁵
AMC 07/02/2021 PUT \$63.00 / CUSIP: / Symbol:							
06/18/21	1.000	1,504.98	06/15/21	1,770.00	...	-265.02	Option sale to close-put ²⁵
AMD 01/29/2021 CALL \$95.50 / CUSIP: / Symbol:							
01/22/21	1.000	314.98	01/22/21	440.00	...	-125.02	Option sale to close-call ²⁵
AMD 01/29/2021 PUT \$95.50 / CUSIP: / Symbol:							
01/22/21	1.000	489.97	01/22/21	483.00	...	6.97	Option sale to close-put ²⁵
AMD 02/12/2021 CALL \$100.00 / CUSIP: / Symbol:							
02/12/21	10.000	0.00	02/09/21	120.00	...	-120.00	Option expiration- long call
AMD 02/12/2021 CALL \$93.00 / CUSIP: / Symbol:							
02/12/21	1.000	34.98	02/09/21	72.00	...	-37.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 02/12/2021 CALL \$95.50 / CUSIP: / Symbol:							
4 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/10/21	44.00	...	-44.00	1 of 4 - Option expiration- long call
	2.000	0.00	02/12/21	40.00	...	-40.00	2 of 4 - Option expiration- long call
	2.000	0.00	02/12/21	40.00	...	-40.00	3 of 4 - Option expiration- long call
	1.000	0.00	02/12/21	20.00	...	-20.00	4 of 4 - Option expiration- long call
02/12/21	6.000	0.00	Various	144.00	...	-144.00	Total of 4 transactions
AMD 02/19/2021 CALL \$90.00 / CUSIP: / Symbol:							
02/02/21	1.000	290.98	02/01/21	273.00	...	17.98	Option sale to close-call ²⁵
AMD 02/19/2021 CALL \$97.00 / CUSIP: / Symbol:							
02/16/21	1.000	34.98	02/01/21	114.00	...	-79.02	Option sale to close-call ²⁵
AMD 09/17/2021 CALL \$125.00 / CUSIP: / Symbol:							
07/29/21	1.000	79.98	03/08/21	128.00	...	-48.02	Option sale to close-call ²⁵
AMGN 02/05/2021 CALL \$250.00 / CUSIP: / Symbol:							
02/05/21	1.000	0.00	02/02/21	149.00	...	-149.00	Option expiration- long call
AMGN 02/05/2021 PUT \$230.00 / CUSIP: / Symbol:							
02/03/21	1.000	98.98	02/02/21	82.00	...	16.98	Option sale to close-put ²⁵
AMGN 03/19/2021 CALL \$250.00 / CUSIP: / Symbol:							
03/12/21	1.000	13.98	02/10/21	272.00	...	-258.02	Option sale to close-call ²⁵
AMZN 03/19/2021 CALL \$3,055.00 / CUSIP: / Symbol:							
03/19/21	1.000	579.98	03/19/21	1,470.00	...	-890.02	Option sale to close-call ²⁵
AMZN 03/19/2021 CALL \$4,750.00 / CUSIP: / Symbol:							
02/16/21	1.000	105.98	01/21/21	710.00	...	-604.02	Option sale to close-call ²⁵
AMZN 07/09/2021 PUT \$3,560.00 / CUSIP: / Symbol:							
07/07/21	1.000	519.98	07/06/21	1,515.00	...	-995.02	Option sale to close-put ²⁵
AMZN 07/30/2021 CALL \$3,535.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/30/21	45.00	...	-45.00	Option expiration- long call

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Robinhood Securities LLC

Account 133428961

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASHR 07/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
03/16/21	1.000	27.98	03/09/21	36.00	...	-8.02	Option sale to close-call ²⁵
AUPH 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/16/21	1.000	3.98	01/25/21	199.00	...	-195.02	Option sale to close-call ²⁵
BA 02/12/2021 CALL \$220.00 / CUSIP: / Symbol:							
02/09/21	1.000	118.98	02/09/21	195.00	...	-76.02	Option sale to close-call ²⁵
BA 02/19/2021 CALL \$220.00 / CUSIP: / Symbol:							
02/04/21	1.000	359.98	02/02/21	214.00	...	145.98	Option sale to close-call ²⁵
BA 02/19/2021 CALL \$225.00 / CUSIP: / Symbol:							
02/19/21	1.000	1.98	02/17/21	50.00	...	-48.02	Option sale to close-call ²⁵
BA 07/30/2021 CALL \$250.00 / CUSIP: / Symbol:							
<i>4 transactions for 07/30/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	07/28/21	30.00	...	-30.00	1 of 4 - Option expiration- long call
	2.000	0.00	07/28/21	24.00	...	-24.00	2 of 4 - Option expiration- long call
	2.000	0.00	07/28/21	24.00	...	-24.00	3 of 4 - Option expiration- long call
	1.000	0.00	07/28/21	12.00	...	-12.00	4 of 4 - Option expiration- long call
07/30/21	7.000	0.00	Various	90.00	...	-90.00	Total of 4 transactions
BABA 01/29/2021 CALL \$285.00 / CUSIP: / Symbol:							
01/28/21	1.000	5.98	01/20/21	185.00	...	-179.02	Option sale to close-call ²⁵
BABA 02/19/2021 CALL \$285.00 / CUSIP: / Symbol:							
02/16/21	1.000	62.98	02/01/21	305.00	...	-242.02	Option sale to close-call ²⁵
BABA 03/19/2021 CALL \$440.00 / CUSIP: / Symbol:							
01/25/21	2.000	37.98	01/21/21	30.00	...	7.98	Option sale to close-call ²⁵
BABA 07/23/2021 CALL \$200.00 / CUSIP: / Symbol:							
07/09/21	1.000	799.98	07/08/21	560.00	...	239.98	Option sale to close-call ²⁵
BABA 08/06/2021 CALL \$215.00 / CUSIP: / Symbol:							
08/06/21	1.000	0.00	07/27/21	92.00	...	-92.00	Option expiration- long call

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BABA 08/20/2021 CALL \$225.00 / CUSIP: / Symbol:							
06/28/21	1.000	1,299.98	06/25/21	1,020.00	...	279.98	Option sale to close-call ²⁵
BABA 08/20/2021 CALL \$260.00 / CUSIP: / Symbol:							
06/28/21	1.000	258.98	06/25/21	196.00	...	62.98	Option sale to close-call ²⁵
BB 01/29/2021 CALL \$22.00 / CUSIP: / Symbol:							
01/27/21	1.000	324.98	01/26/21	177.00	...	147.98	Option sale to close-call ²⁵
BB 02/05/2021 CALL \$21.00 / CUSIP: / Symbol:							
01/27/21	1.000	614.97	01/26/21	360.00	...	254.97	Option sale to close-call ²⁵
BBBY 02/19/2021 CALL \$26.00 / CUSIP: / Symbol:							
01/14/21	1.000	200.98	01/13/21	112.00	...	88.98	Option sale to close-call ²⁵
BCS 09/17/2021 CALL \$10.00 / CUSIP: / Symbol:							
<i>3 transactions for 07/28/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	70.00	06/18/21	100.00	...	-30.00	1 of 3 - Option sale to close-call ²⁵
	4.000	139.99	07/16/21	100.00	...	39.99	2 of 3 - Option sale to close-call ²⁵
	6.000	209.98	07/16/21	150.00	...	59.98	3 of 3 - Option sale to close-call ²⁵
07/28/21	12.000	419.97	Various	350.00	...	69.97	Total of 3 transactions
BEKE 03/19/2021 CALL \$75.00 / CUSIP: / Symbol:							
03/17/21	1.000	14.98	03/16/21	65.00	...	-50.02	Option sale to close-call ²⁵
BEKE 03/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
03/17/21	1.000	4.98	03/16/21	10.00	...	-5.02	Option sale to close-call ²⁵
BEKE 03/19/2021 PUT \$60.00 / CUSIP: / Symbol:							
03/17/21	1.000	39.98	03/16/21	24.00	...	15.98	Option sale to close-put ²⁵
BIGC 01/15/2021 CALL \$70.00 / CUSIP: / Symbol:							
01/13/21	1.000	20.98	01/08/21	299.02	...	-278.04	Option sale to close-call ²⁵
01/13/21	1.000	20.98	01/08/21	160.00	139.02 W	0.00	Option sale to close-call ²⁵
Security total:		41.96		459.02	139.02 W	-278.04	
BLNK 02/19/2021 PUT \$35.00 / CUSIP: / Symbol:							
02/16/21	1.000	9.98	02/01/21	196.00	...	-186.02	Option sale to close-put ²⁵

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BMY 02/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
01/11/21	1.000	177.98	12/22/20	103.00	...	74.98	Option sale to close-call ²⁵
BTBT 02/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
02/16/21	1.000	89.98	02/09/21	320.00	...	-230.02	Option sale to close-call ²⁵
BTBT 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/28/21	2.000	379.98	01/27/21	230.00	...	149.98	Option sale to close-call ²⁵
BYND 01/22/2021 CALL \$137.00 / CUSIP: / Symbol:							
	2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	1.000	174.99	01/13/21	101.00	...	73.99	1 of 2 - Option sale to close-call ²⁵
	2.000	349.98	01/13/21	202.00	...	147.98	2 of 2 - Option sale to close-call ²⁵
01/13/21	3.000	524.97	Various	303.00	...	221.97	Total of 2 transactions
BYND 02/19/2021 CALL \$250.00 / CUSIP: / Symbol:							
01/26/21	1.000	1,029.96	01/25/21	204.00	...	825.96	Option sale to close-call ²⁵
BYND 03/19/2021 CALL \$162.50 / CUSIP: / Symbol:							
03/16/21	1.000	25.98	03/15/21	96.00	...	-70.02	Option sale to close-call ²⁵
BYND 07/02/2021 CALL \$165.00 / CUSIP: / Symbol:							
07/01/21	1.000	20.98	06/30/21	113.00	...	-92.02	Option sale to close-call ²⁵
CAT 01/15/2021 CALL \$195.00 / CUSIP: / Symbol:							
01/06/21	1.000	294.98	12/22/20	76.00	...	218.98	Option sale to close-call ²⁵
CCIV 03/12/2021 PUT \$27.50 / CUSIP: / Symbol:							
	2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	2.000	85.98	03/12/21	80.00	...	5.98	1 of 2 - Option sale to close-put ²⁵
	3.000	128.98	03/12/21	120.00	...	8.98	2 of 2 - Option sale to close-put ²⁵
03/12/21	5.000	214.96	Various	200.00	...	14.96	Total of 2 transactions
CCIV 04/16/2021 CALL \$22.50 / CUSIP: / Symbol:							
04/16/21	4.000	0.00	04/15/21	36.00	...	-36.00	Option expiration- long call
CCIV 04/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	03/18/21	91.00	...	-91.00	Option expiration- long call

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CCJ 03/19/2021 CALL \$14.00 / CUSIP: / Symbol: 02/01/21	1.000	230.98	02/01/21	120.00	...	110.98	Option sale to close-call ²⁵
CCJ 03/19/2021 CALL \$17.00 / CUSIP: / Symbol: 02/16/21	1.000	116.98	02/10/21	95.00	...	21.98	Option sale to close-call ²⁵
CCL 01/21/2022 CALL \$40.00 / CUSIP: / Symbol: 02/05/21	1.000	242.98	01/28/21	225.00	...	17.98	Option sale to close-call ²⁵
CLOV 09/03/2021 CALL \$7.00 / CUSIP: / Symbol: 08/12/21	1.000	224.98	07/29/21	193.00	...	31.98	Option sale to close-call ²⁵
COIN 08/13/2021 CALL \$277.50 / CUSIP: / Symbol: 08/13/21	1.000	0.00	08/13/21	40.00	...	-40.00	Option expiration- long call
CRM 01/15/2021 CALL \$260.00 / CUSIP: / Symbol: 01/13/21	1.000	0.98	12/22/20	135.00	...	-134.02	Option sale to close-call ²⁵
CRM 02/19/2021 CALL \$240.00 / CUSIP: / Symbol: 01/22/21	1.000	319.98	01/20/21	254.00	...	65.98	Option sale to close-call ²⁵
CRM 12/03/2021 PUT \$245.00 / CUSIP: / Symbol: 12/01/21	1.000	40.98	11/29/21	77.00	...	-36.02	Option sale to close-put ²⁵
CRM 12/03/2021 PUT \$250.00 / CUSIP: / Symbol: 12/01/21	1.000	65.98	11/29/21	64.00	...	1.98	Option sale to close-put ²⁵
CRON 02/19/2021 CALL \$11.50 / CUSIP: / Symbol: 02/08/21	1.000	142.98	02/03/21	126.00	...	16.98	Option sale to close-call ²⁵
CVS 02/19/2021 CALL \$72.50 / CUSIP: / Symbol: 01/07/21	1.000	334.98	12/18/20	195.00	...	139.98	Option sale to close-call ²⁵
DASH 12/17/2021 CALL \$195.00 / CUSIP: / Symbol: 12/17/21	1.000	0.00	12/07/21	59.00	...	-59.00	Option expiration- long call
DG 02/12/2021 CALL \$207.50 / CUSIP: / Symbol: 02/10/21	1.000	74.98	02/09/21	25.00	...	49.98	Option sale to close-call ²⁵

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DG 02/19/2021 CALL \$195.00 / CUSIP: / Symbol:							
02/08/21	1.000	397.98	02/05/21	300.00	...	97.98	Option sale to close-call ²⁵
DIS 02/19/2021 CALL \$200.00 / CUSIP: / Symbol:							
02/09/21	1.000	251.98	02/08/21	197.00	...	54.98	Option sale to close-call ²⁵
DIS 03/19/2021 PUT \$135.00 / CUSIP: / Symbol:							
01/29/21	1.000	150.98	01/25/21	100.00	...	50.98	Option sale to close-put ²⁵
02/17/21	1.000	28.98	02/02/21	84.00	...	-55.02	Option sale to close-put ²⁵
	Security total:	179.96		184.00	...	-4.04	
DKNG 02/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
01/28/21	1.000	193.98	01/26/21	134.00	...	59.98	Option sale to close-call ²⁵
02/04/21	1.000	218.98	02/02/21	180.00	...	38.98	Option sale to close-call ²⁵
	Security total:	412.96		314.00	...	98.96	
DM 03/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	03/04/21	25.00	...	-25.00	Option expiration- long call
EBAY 02/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/28/21	1.000	186.98	01/27/21	278.00	...	-91.02	Option sale to close-call ²⁵
EXPE 02/19/2021 CALL \$150.00 / CUSIP: / Symbol:							
02/02/21	1.000	215.98	02/01/21	167.00	...	48.98	Option sale to close-call ²⁵
EXPR 02/19/2021 PUT \$4.00 / CUSIP: / Symbol:							
02/02/21	1.000	159.98	01/29/21	130.00	...	29.98	Option sale to close-put ²⁵
EXPR 02/19/2021 PUT \$5.00 / CUSIP: / Symbol:							
01/28/21	1.000	227.98	01/27/21	205.00	...	22.98	Option sale to close-put ²⁵
FB 02/12/2021 CALL \$275.00 / CUSIP: / Symbol:							
02/09/21	1.000	132.98	02/08/21	84.00	...	48.98	Option sale to close-call ²⁵
FB 04/16/2021 PUT \$305.00 / CUSIP: / Symbol:							
04/08/21	1.000	315.98	04/07/21	276.00	...	39.98	Option sale to close-put ²⁵

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FB 07/16/2021 PUT \$352.50 / CUSIP: / Symbol:							
2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	1.000	769.99	06/28/21	770.00	...	-0.01	1 of 2 - Option sale to close-put ²⁵
	1.000	769.99	06/28/21	585.00	...	184.99	2 of 2 - Option sale to close-put ²⁵
06/29/21	2.000	1,539.98	Various	1,355.00	...	184.98	Total of 2 transactions
FDX 06/25/2021 PUT \$295.00 / CUSIP: / Symbol:							
06/25/21	1.000	349.98	06/24/21	315.00	...	34.98	Option sale to close-put ²⁵
FINV 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/12/21	1.000	9.98	03/11/21	80.00	...	-70.02	Option sale to close-call ²⁵
FINV 03/19/2021 PUT \$7.50 / CUSIP: / Symbol:							
03/12/21	1.000	34.98	03/11/21	98.00	...	-63.02	Option sale to close-put ²⁵
FPRX 06/18/2021 PUT \$30.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	03/04/21	65.00	...	-65.00	Option expiration- long put
FSLY 02/05/2021 CALL \$130.00 / CUSIP: / Symbol:							
01/29/21	2.000	187.98	01/28/21	338.00	...	-150.02	Option sale to close-call ²⁵
GIS 03/19/2021 CALL \$55.00 / CUSIP: / Symbol:							
03/04/21	1.000	113.98	03/02/21	104.00	...	9.98	Option sale to close-call ²⁵
GLD 03/19/2021 CALL \$200.00 / CUSIP: / Symbol:							
3 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/07/21	134.00	...	-134.00	1 of 3 - Option expiration- long call
	2.000	0.00	01/14/21	102.00	...	-102.00	2 of 3 - Option expiration- long call
	3.000	0.00	02/02/21	105.00	...	-105.00	3 of 3 - Option expiration- long call
03/19/21	6.000	0.00	Various	341.00	...	-341.00	Total of 3 transactions
GME 03/19/2021 PUT \$31.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	03/15/21	16.00	...	-16.00	Option expiration- long put
GME 03/19/2021 PUT \$85.00 / CUSIP: / Symbol:							
03/18/21	1.000	15.98	03/10/21	290.00	...	-274.02	Option sale to close-put ²⁵

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GME 06/18/2021 PUT \$195.00 / CUSIP: / Symbol: 06/10/21	1.000	1,734.98	06/10/21	1,725.00	...	9.98	Option sale to close-put ²⁵
GME 06/18/2021 PUT \$210.00 / CUSIP: / Symbol: 06/10/21	1.000	1,069.98	06/08/21	760.00	...	309.98	Option sale to close-put ²⁵
GME 06/18/2021 PUT \$225.00 / CUSIP: / Symbol: 06/10/21	1.000	3,099.97	06/10/21	2,730.00	...	369.97	Option sale to close-put ²⁵
GME 07/30/2021 CALL \$170.00 / CUSIP: / Symbol: 07/30/21	1.000	0.00	07/30/21	65.00	...	-65.00	Option expiration- long call
GME 09/17/2021 PUT \$150.00 / CUSIP: / Symbol: 09/09/21	1.000	40.98	09/03/21	121.00	...	-80.02	Option sale to close-put ²⁵
HUM 02/05/2021 CALL \$397.50 / CUSIP: / Symbol: 02/02/21	1.000	299.98	02/01/21	270.00	...	29.98	Option sale to close-call ²⁵
IWM 03/19/2021 PUT \$190.00 / CUSIP: / Symbol: 02/16/21	1.000	101.98	02/02/21	260.00	...	-158.02	Option sale to close-put ²⁵
IWM 03/31/2021 PUT \$190.00 / CUSIP: / Symbol: 01/29/21	1.000	569.97	01/25/21	374.00	...	195.97	Option sale to close-put ²⁵
JD 01/21/2022 CALL \$85.00 / CUSIP: / Symbol: 03/19/21	1.000	1,219.98	03/17/21	1,270.00	...	-50.02	Option sale to close-call ²⁵
JD 06/18/2021 CALL \$100.00 / CUSIP: / Symbol: 06/18/21	2.000	0.00	Various	1,230.00	...	-1,230.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
JNJ 03/19/2021 CALL \$175.00 / CUSIP: / Symbol: 02/19/21	1.000	62.98	01/29/21	204.00	...	-141.02	Option sale to close-call ²⁵
JNJ 04/16/2021 CALL \$220.00 / CUSIP: / Symbol: 02/19/21	1.000	11.98	01/26/21	44.00	...	-32.02	Option sale to close-call ²⁵

2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.

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Robinhood Securities LLC

Account 133428961

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NJN 07/23/2021 PUT \$162.50 / CUSIP: / Symbol:							
07/21/21	1.000	5.98	07/20/21	112.02	...	-106.04	Option sale to close-put ²⁵
07/21/21	1.000	5.98	07/19/21	65.00	59.02 W	0.00	Option sale to close-put ²⁵
07/23/21	1.000	0.00	07/20/21	53.00	...	-53.00	Option expiration- long put
Security total:		11.96		230.02	59.02 W	-159.04	
KALV 03/19/2021 PUT \$15.00 / CUSIP: / Symbol:							
02/19/21	1.000	4.98	02/09/21	30.00	...	-25.02	Option sale to close-put ²⁵
KO 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/25/21	1.000	117.98	01/20/21	113.00	...	4.98	Option sale to close-call ²⁵
KO 04/16/2021 CALL \$53.50 / CUSIP: / Symbol:							
04/06/21	1.000	49.98	04/05/21	36.00	...	13.98	Option sale to close-call ²⁵
KO 07/23/2021 PUT \$55.00 / CUSIP: / Symbol:							
07/21/21	1.000	3.98	07/20/21	30.00	...	-26.02	Option sale to close-put ²⁵
LCID 08/20/2021 CALL \$60.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	03/12/21	325.00	...	-325.00	Option expiration- long call
LCID 10/29/2021 PUT \$30.00 / CUSIP: / Symbol:							
10/29/21	1.000	4.98	10/28/21	40.00	...	-35.02	Option sale to close-put ²⁵
LRCX 07/30/2021 CALL \$700.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/28/21	65.00	...	-65.00	Option expiration- long call
MA 02/05/2021 CALL \$327.50 / CUSIP: / Symbol:							
02/02/21	1.000	334.98	02/01/21	233.00	...	101.98	Option sale to close-call ²⁵
MRNA 01/22/2021 CALL \$126.00 / CUSIP: / Symbol:							
01/13/21	1.000	624.97	01/12/21	415.00	...	209.97	Option sale to close-call ²⁵
MRNA 02/19/2021 CALL \$190.00 / CUSIP: / Symbol:							
01/28/21	1.000	549.97	01/27/21	450.00	...	99.97	Option sale to close-call ²⁵
MRNA 03/19/2021 CALL \$210.00 / CUSIP: / Symbol:							
03/02/21	1.000	57.98	03/01/21	74.00	...	-16.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MRNA 06/25/2021 PUT \$207.50 / CUSIP: / Symbol: 06/23/21	1.000	236.98	06/23/21	177.00	...	59.98	Option sale to close-put ²⁵
MRNA 07/23/2021 PUT \$200.00 / CUSIP: / Symbol: 07/20/21	1.000	32.98	07/12/21	65.00	...	-32.02	Option sale to close-put ²⁵
MRNA 07/23/2021 PUT \$280.00 / CUSIP: / Symbol: 07/22/21	1.000	49.98	07/21/21	122.00	...	-72.02	Option sale to close-put ²⁵
MRNA 08/06/2021 PUT \$255.00 / CUSIP: / Symbol: 07/21/21	1.000	414.98	07/20/21	365.00	...	49.98	Option sale to close-put ²⁵
MRNA 08/06/2021 PUT \$257.50 / CUSIP: / Symbol: 07/16/21	1.000	809.98	07/15/21	1,750.00	940.02 W	0.00	Option sale to close-put ²⁵
07/19/21	1.000	499.98	07/16/21	1,790.02	...	-1,290.04	Option sale to close-put ²⁵
Security total:		1,309.96		3,540.02	940.02 W	-1,290.04	
MRNA 10/01/2021 CALL \$350.00 / CUSIP: / Symbol: 10/01/21	1.000	134.98	10/01/21	81.00	...	53.98	Option sale to close-call ²⁵
MRVL 04/16/2021 CALL \$50.00 / CUSIP: / Symbol: 03/11/21	1.000	179.98	03/09/21	143.00	...	36.98	Option sale to close-call ²⁵
MSFT 01/29/2021 CALL \$245.00 / CUSIP: / Symbol: 01/28/21	2.000	45.98	01/27/21	300.00	...	-254.02	Option sale to close-call ²⁵
MSFT 02/05/2021 CALL \$230.00 / CUSIP: / Symbol: 01/25/21	1.000	589.97	01/22/21	505.00	...	84.97	Option sale to close-call ²⁵
MU 07/02/2021 PUT \$81.50 / CUSIP: / Symbol: 07/01/21	1.000	144.98	06/30/21	70.00	...	74.98	Option sale to close-put ²⁵
NEE 08/20/2021 CALL \$75.00 / CUSIP: / Symbol: 07/15/21	1.000	284.98	06/28/21	230.00	...	54.98	Option sale to close-call ²⁵
NIO 02/19/2021 CALL \$60.00 / CUSIP: / Symbol: 02/09/21	1.000	324.98	02/03/21	286.00	...	38.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 133428961

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 03/05/2021 CALL \$59.50 / CUSIP: / Symbol:							
03/02/21	1.000	7.98	03/01/21	44.00	...	-36.02	Option sale to close-call ²⁵
NIO 03/19/2021 CALL \$52.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	03/16/21	17.00	...	-17.00	Option expiration- long call
NIO 03/19/2021 CALL \$75.00 / CUSIP: / Symbol:							
03/02/21	1.000	13.98	02/11/21	370.00	...	-356.02	Option sale to close-call ²⁵
NIO 04/30/2021 CALL \$39.50 / CUSIP: / Symbol:							
04/01/21	1.000	329.98	03/24/21	264.00	...	65.98	Option sale to close-call ²⁵
NIO 06/18/2021 CALL \$40.00 / CUSIP: / Symbol:							
04/23/21	1.000	442.98	03/24/21	420.00	...	22.98	Option sale to close-call ²⁵
NIO 08/20/2021 CALL \$80.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	03/10/21	253.00	...	-253.00	Option expiration- long call
NNDM 02/19/2021 CALL \$17.50 / CUSIP: / Symbol:							
02/10/21	1.000	138.98	02/08/21	119.00	...	19.98	Option sale to close-call ²⁵
NOK 04/16/2021 CALL \$3.00 / CUSIP: / Symbol:							
02/04/21	1.000	145.98	02/02/21	161.00	...	-15.02	Option sale to close-call ²⁵
NTLA 07/16/2021 CALL \$200.00 / CUSIP: / Symbol:							
06/30/21	1.000	1,449.98	06/30/21	1,710.00	...	-260.02	Option sale to close-call ²⁵
NTLA 07/16/2021 PUT \$175.00 / CUSIP: / Symbol:							
07/01/21	1.000	2,219.97	06/30/21	1,710.00	...	509.97	Option sale to close-put ²⁵
OGI 03/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/10/21	1.000	222.98	02/09/21	120.00	...	102.98	Option sale to close-call ²⁵
OSTK 02/05/2021 CALL \$85.00 / CUSIP: / Symbol:							
01/26/21	1.000	394.98	01/25/21	118.00	...	276.98	Option sale to close-call ²⁵
PENN 07/16/2021 CALL \$75.00 / CUSIP: / Symbol:							
06/29/21	1.000	369.98	06/28/21	356.00	...	13.98	Option sale to close-call ²⁵

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Account 133428961

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PENN 07/16/2021 CALL \$80.00 / CUSIP: / Symbol:							
06/29/21	1.000	262.98	06/25/21	254.00	...	8.98	Option sale to close-call ²⁵
PINS 03/19/2021 CALL \$85.00 / CUSIP: / Symbol:							
02/11/21	1.000	659.97	02/05/21	555.00	...	104.97	Option sale to close-call ²⁵
PINS 04/09/2021 CALL \$72.00 / CUSIP: / Symbol:							
03/19/21	1.000	354.98	03/18/21	425.00	...	-70.02	Option sale to close-call ²⁵
PLTR 01/22/2021 CALL \$26.00 / CUSIP: / Symbol:							
01/21/21	2.000	117.98	01/20/21	192.00	...	-74.02	Option sale to close-call ²⁵
PLTR 02/05/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/26/21	1.000	272.98	01/25/21	204.00	...	68.98	Option sale to close-call ²⁵
PLTR 02/19/2021 PUT \$26.00 / CUSIP: / Symbol:							
	<i>2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	0.00	02/19/21	20.00	...	-20.00	1 of 2 - Option expiration- long put
	2.000	0.00	02/19/21	20.00	...	-20.00	2 of 2 - Option expiration- long put
02/19/21	4.000	0.00	Various	40.00	...	-40.00	Total of 2 transactions
PLTR 03/19/2021 CALL \$45.00 / CUSIP: / Symbol:							
01/27/21	1.000	1,019.96	01/22/21	208.00	...	811.96	Option sale to close-call ²⁵
PLTR 04/16/2021 CALL \$24.00 / CUSIP: / Symbol:							
04/13/21	1.000	86.98	04/06/21	70.00	...	16.98	Option sale to close-call ²⁵
PLTR 05/14/2021 CALL \$22.50 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	05/11/21	18.00	...	-18.00	Option expiration- long call
PLTR 06/18/2021 CALL \$23.00 / CUSIP: / Symbol:							
06/09/21	1.000	186.98	03/08/21	425.00	...	-238.02	Option sale to close-call ²⁵
PLTR 06/18/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/10/21	1.000	309.98	03/04/21	305.00	...	4.98	Option sale to close-call ²⁵
PLTR 08/20/2021 CALL \$22.00 / CUSIP: / Symbol:							
08/12/21	1.000	243.98	07/28/21	156.00	...	87.98	Option sale to close-call ²⁵

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Account 133428961

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 11/19/2021 CALL \$30.00 / CUSIP: / Symbol: 09/03/21	1.000	132.98	08/12/21	122.00	...	10.98	Option sale to close-call ²⁵
PLTR 12/10/2021 CALL \$19.00 / CUSIP: / Symbol: 12/07/21	1.000	94.98	12/03/21	63.00	...	31.98	Option sale to close-call ²⁵
PLTR 12/10/2021 CALL \$20.00 / CUSIP: / Symbol: 12/08/21	1.000	15.98	12/07/21	31.00	...	-15.02	Option sale to close-call ²⁵
QQQ 02/19/2021 PUT \$278.00 / CUSIP: / Symbol: 02/19/21	1.000	0.00	01/29/21	179.00	...	-179.00	Option expiration- long put
QUOT 02/19/2021 CALL \$10.00 / CUSIP: / Symbol: 02/12/21	1.000	309.98	02/11/21	295.00	...	14.98	Option sale to close-call ²⁵
RKT 07/16/2021 CALL \$19.00 / CUSIP: / Symbol: 07/08/21	1.000	44.98	07/07/21	71.00	...	-26.02	Option sale to close-call ²⁵
ROKU 01/15/2021 CALL \$400.00 / CUSIP: / Symbol: 01/07/21	1.000	544.97	12/18/20	530.00	...	14.97	Option sale to close-call ²⁵
ROKU 07/02/2021 PUT \$422.50 / CUSIP: / Symbol: 07/01/21	1.000	82.98	06/30/21	96.00	...	-13.02	Option sale to close-put ²⁵
RUBY 03/19/2021 PUT \$22.50 / CUSIP: / Symbol: 03/17/21	1.000	39.98	03/15/21	130.00	...	-90.02	Option sale to close-put ²⁵
SAM 09/17/2021 CALL \$1,030.00 / CUSIP: / Symbol: 09/17/21	1.000	0.00	07/23/21	255.00	...	-255.00	Option expiration- long call
SE 03/19/2021 PUT \$150.00 / CUSIP: / Symbol: 01/29/21	1.000	127.98	01/21/21	71.00	...	56.98	Option sale to close-put ²⁵
SHOP 07/30/2021 PUT \$1,430.00 / CUSIP: / Symbol: 07/26/21	1.000	469.98	07/23/21	380.00	...	89.98	Option sale to close-put ²⁵

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Account 133428961

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SLV 03/31/2021 CALL \$35.00 / CUSIP: / Symbol:							
3 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/01/21	200.00	...	-200.00	1 of 3 - Option expiration- long call
	1.000	0.00	02/01/21	149.00	...	-149.00	2 of 3 - Option expiration- long call
	3.000	0.00	02/02/21	132.00	...	-132.00	3 of 3 - Option expiration- long call
03/31/21	5.000	0.00	Various	481.00	...	-481.00	Total of 3 transactions
SNDL 07/16/2021 CALL \$1.00 / CUSIP: / Symbol:							
02/01/21	1.000	64.98	01/15/21	40.00	...	24.98	Option sale to close-call ²⁵
SNOW 07/30/2021 CALL \$275.00 / CUSIP: / Symbol:							
07/22/21	1.000	499.98	07/21/21	295.00	...	204.98	Option sale to close-call ²⁵
SOXL 03/19/2021 CALL \$37.00 / CUSIP: / Symbol:							
03/16/21	1.000	159.98	03/05/21	133.00	...	26.98	Option sale to close-call ²⁵
SPCE 01/29/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/27/21	1.000	519.97	01/26/21	140.00	...	379.97	Option sale to close-call ²⁵
SPCE 01/29/2021 CALL \$49.00 / CUSIP: / Symbol:							
01/27/21	1.000	138.98	01/26/21	119.00	...	19.98	Option sale to close-call ²⁵
SPCE 02/19/2021 CALL \$85.00 / CUSIP: / Symbol:							
02/17/21	1.000	2.98	02/02/21	230.00	...	-227.02	Option sale to close-call ²⁵
SPCE 10/08/2021 CALL \$24.00 / CUSIP: / Symbol:							
10/08/21	1.000	0.00	10/01/21	79.00	...	-79.00	Option expiration- long call
SPCE 10/08/2021 CALL \$25.00 / CUSIP: / Symbol:							
10/08/21	1.000	0.00	10/06/21	11.00	...	-11.00	Option expiration- long call
SPCE 10/08/2021 CALL \$26.00 / CUSIP: / Symbol:							
10/08/21	1.000	0.00	10/04/21	11.00	...	-11.00	Option expiration- long call
SPCE 11/12/2021 CALL \$24.00 / CUSIP: / Symbol:							
11/12/21	1.000	0.00	11/09/21	16.00	...	-16.00	Option expiration- long call

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SPCE 12/03/2021 CALL \$15.00 / CUSIP: / Symbol:							
12/02/21	2.000	69.98	12/02/21	36.00	...	33.98	Option sale to close-call ²⁵
SPCE 12/23/2021 CALL \$16.00 / CUSIP: / Symbol:							
3 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/15/21	16.00	...	-16.00	1 of 3 - Option expiration- long call
	3.000	0.00	12/16/21	51.00	...	-51.00	2 of 3 - Option expiration- long call
	1.000	0.00	12/17/21	9.00	...	-9.00	3 of 3 - Option expiration- long call
12/23/21	5.000	0.00	Various	76.00	...	-76.00	Total of 3 transactions
SPG 02/19/2021 CALL \$105.00 / CUSIP: / Symbol:							
02/10/21	1.000	469.97	02/09/21	265.00	...	204.97	Option sale to close-call ²⁵
SPY 03/19/2021 CALL \$400.00 / CUSIP: / Symbol:							
02/01/21	1.000	188.98	01/29/21	164.00	...	24.98	Option sale to close-call ²⁵
STMP 08/13/2021 PUT \$200.00 / CUSIP: / Symbol:							
08/13/21	1.000	0.00	07/09/21	55.00	...	-55.00	Option expiration- long put
SYY 03/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
02/03/21	1.000	134.98	02/02/21	129.00	...	5.98	Option sale to close-call ²⁵
TBIO 03/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
03/02/21	1.000	24.98	02/10/21	230.00	...	-205.02	Option sale to close-call ²⁵
TSLA 01/29/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
01/26/21	1.000	949.96	01/25/21	710.00	...	239.96	Option sale to close-call ²⁵
TSLA 01/29/2021 CALL \$1,380.00 / CUSIP: / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/26/21	197.02	...	-197.02	1 of 2 - Option expiration- long call
	1.000	0.00	01/26/21	99.00	...	-99.00	2 of 2 - Option expiration- long call
01/29/21	2.000	0.00	Various	296.02	...	-296.02	Total of 2 transactions
01/29/21	1.000	0.98	01/26/21	99.00	98.02 W	0.00	Option sale to close-call ²⁵
Security total:		0.98		395.02	98.02 W	-296.02	

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Robinhood Securities LLC

Account 133428961

Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TSLA 02/12/2021 CALL \$815.00 / CUSIP: / Symbol: 02/12/21	1.000	22.98	02/12/21	154.00	...	-131.02	Option sale to close-call ²⁵
TSLA 02/19/2021 CALL \$1,000.00 / CUSIP: / Symbol: 02/16/21	1.000	24.98	02/10/21	113.00	...	-88.02	Option sale to close-call ²⁵
TSLA 02/19/2021 CALL \$870.00 / CUSIP: / Symbol: 02/16/21	1.000	160.98	02/16/21	215.00	...	-54.02	Option sale to close-call ²⁵
TSLA 03/05/2021 CALL \$640.00 / CUSIP: / Symbol: 03/05/21	1.000	219.98	03/04/21	590.00	...	-370.02	Option sale to close-call ²⁵
TSLA 03/05/2021 CALL \$695.00 / CUSIP: / Symbol: 03/05/21	1.000	12.98	03/04/21	535.00	...	-522.02	Option sale to close-call ²⁵
TSLA 03/05/2021 PUT \$560.00 / CUSIP: / Symbol: 03/05/21	1.000	19.98	03/05/21	102.00	...	-82.02	Option sale to close-put ²⁵
TSLA 03/05/2021 PUT \$570.00 / CUSIP: / Symbol: 03/05/21	1.000	41.98	03/05/21	325.00	...	-283.02	Option sale to close-put ²⁵
TSLA 03/12/2021 CALL \$662.50 / CUSIP: / Symbol: 03/09/21	1.000	919.98	03/08/21	283.00	...	636.98	Option sale to close-call ²⁵
TSLA 03/12/2021 CALL \$755.00 / CUSIP: / Symbol: 03/11/21	1.000	125.98	03/10/21	252.00	...	-126.02	Option sale to close-call ²⁵
TSLA 03/12/2021 PUT \$380.00 / CUSIP: / Symbol: 03/10/21	1.000	7.98	03/09/21	23.00	...	-15.02	Option sale to close-put ²⁵
TSLA 03/19/2021 CALL \$785.00 / CUSIP: / Symbol: 03/17/21	1.000	50.98	03/16/21	138.00	...	-87.02	Option sale to close-call ²⁵
TSLA 03/19/2021 CALL \$795.00 / CUSIP: / Symbol: 03/10/21	1.000	484.98	03/09/21	730.00	...	-245.02	Option sale to close-call ²⁵
TSLA 03/19/2021 PUT \$550.00 / CUSIP: / Symbol: 03/18/21	1.000	30.98	03/17/21	138.00	...	-107.02	Option sale to close-put ²⁵

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TSLA 07/02/2021 CALL \$700.00 / CUSIP: / Symbol: 07/02/21	1.000	251.98	07/01/21	320.00	...	-68.02	Option sale to close-call ²⁵
TSLA 07/16/2021 CALL \$675.00 / CUSIP: / Symbol: 07/12/21	1.000	1,889.98	07/09/21	750.00	...	1,139.98	Option sale to close-call ²⁵
TSLA 07/16/2021 CALL \$710.00 / CUSIP: / Symbol: 07/13/21	1.000	290.98	07/12/21	395.00	...	-104.02	Option sale to close-call ²⁵
TSLA 07/23/2021 CALL \$665.00 / CUSIP: / Symbol: 07/23/21	1.000	0.00	07/22/21	175.00	...	-175.00	Option expiration- long call
TSLA 07/30/2021 PUT \$587.50 / CUSIP: / Symbol: 07/27/21	1.000	104.98	07/26/21	470.00	...	-365.02	Option sale to close-put ²⁵
TSLA 10/08/2021 CALL \$820.00 / CUSIP: / Symbol: 10/08/21	1.000	6.98	10/07/21	123.00	...	-116.02	Option sale to close-call ²⁵
TSM 07/16/2021 PUT \$120.00 / CUSIP: / Symbol: 07/15/21	1.000	219.98	07/13/21	57.00	...	162.98	Option sale to close-put ²⁵
TTWO 07/30/2021 CALL \$177.50 / CUSIP: / Symbol: 07/28/21	1.000	44.98	07/23/21	110.00	...	-65.02	Option sale to close-call ²⁵
TWTR 01/29/2021 CALL \$52.00 / CUSIP: / Symbol: 01/26/21	2.000	87.96	Various	54.00	...	33.96	Total of 2 transactions
<i>2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	41.98	01/21/21	27.00	...	14.98	1 of 2 - Option sale to close-call ²⁵
	1.000	45.98	01/21/21	27.00	...	18.98	2 of 2 - Option sale to close-call ²⁵
UNP 02/05/2021 CALL \$212.50 / CUSIP: / Symbol: 02/05/21	1.000	0.00	01/28/21	109.00	...	-109.00	Option expiration- long call
UPST 04/16/2021 PUT \$45.00 / CUSIP: / Symbol: 04/16/21	1.000	0.00	03/18/21	55.00	...	-55.00	Option expiration- long put
UWMC 02/19/2021 CALL \$15.00 / CUSIP: / Symbol: 02/19/21	1.000	0.00	01/28/21	45.00	...	-45.00	Option expiration- long call

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VIPS 07/02/2021 CALL \$21.00 / CUSIP: / Symbol:							
06/25/21	10.000	499.97	06/25/21	750.00	...	-250.03	Option sale to close-call ²⁵
VIPS 07/02/2021 CALL \$21.50 / CUSIP: / Symbol:							
06/25/21	10.000	549.97	06/24/21	400.00	...	149.97	Option sale to close-call ²⁵
VIPS 07/23/2021 CALL \$20.50 / CUSIP: / Symbol:							
	2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.						
	6.000	749.98	06/25/21	810.00	...	-60.02	1 of 2 - Option sale to close-call ²⁵
	4.000	499.98	06/25/21	540.00	...	-40.02	2 of 2 - Option sale to close-call ²⁵
06/25/21	10.000	1,249.96	Various	1,350.00	...	-100.04	Total of 2 transactions
WBA 04/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
01/07/21	1.000	412.98	12/17/20	187.00	...	225.98	Option sale to close-call ²⁵
WBA 07/02/2021 CALL \$52.50 / CUSIP: / Symbol:							
07/02/21	1.000	0.00	06/28/21	123.00	...	-123.00	Option expiration- long call
WBA 07/16/2021 CALL \$70.00 / CUSIP: / Symbol:							
	2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	3.000	239.98	01/13/21	135.00	...	104.98	1 of 2 - Option sale to close-call ²⁵
	2.000	255.98	01/13/21	90.00	...	165.98	2 of 2 - Option sale to close-call ²⁵
01/27/21	5.000	495.96	Various	225.00	...	270.96	Total of 2 transactions
WDC 03/19/2021 CALL \$57.50 / CUSIP: / Symbol:							
02/01/21	1.000	451.98	01/29/21	380.00	...	71.98	Option sale to close-call ²⁵
WKHS 03/19/2021 CALL \$24.00 / CUSIP: / Symbol:							
02/24/21	1.000	84.98	02/23/21	140.00	...	-55.02	Option sale to close-call ²⁵
WKHS 07/16/2021 CALL \$17.00 / CUSIP: / Symbol:							
06/30/21	1.000	168.98	06/28/21	203.00	...	-34.02	Option sale to close-call ²⁵
WKHS 08/20/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/24/21	1.000	329.98	06/23/21	360.00	...	-30.02	Option sale to close-call ²⁵
WWR 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
01/28/21	1.000	194.98	01/27/21	177.00	...	17.98	Option sale to close-call ²⁵

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ZM 03/05/2021 CALL \$405.00 / CUSIP: / Symbol:							
03/04/21	1.000	19.98	03/03/21	100.00	...	-80.02	Option sale to close-call ²⁵
ZM 07/23/2021 CALL \$370.00 / CUSIP: / Symbol:							
07/23/21	1.000	0.00	07/22/21	155.00	...	-155.00	Option expiration- long call
ZNGA 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
02/01/21	1.000	219.98	01/14/21	184.00	...	35.98	Option sale to close-call ²⁵
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
12/03/21	9.000	1,001.24	11/22/21	1,235.52	...	-234.28	Sale ²⁵
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol:							
3 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	3.000	27.45	10/07/21	24.71	...	2.74	1 of 3 - Sale ²⁵
	1.000	9.15	10/12/21	8.33	...	0.82	2 of 3 - Sale ²⁵
	2.000	18.30	10/14/21	16.40	...	1.90	3 of 3 - Sale ²⁵
10/19/21	6.000	54.90	Various	49.44	...	5.46	Total of 3 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
3 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	1.000	134.50	02/17/21	131.55	...	2.95	1 of 3 - Sale ²⁵
	1.000	134.50	02/23/21	121.88	...	12.62	2 of 3 - Sale ²⁵
	3.000	403.49	03/04/21	365.16	...	38.33	3 of 3 - Sale ²⁵
04/15/21	5.000	672.49	Various	618.59	...	53.90	Total of 3 transactions
ARGO BLOCKCHAIN PLC AMERICAN D EPOSITARY SHARES / CUSIP: 040126104 / Symbol:							
2 transactions for 09/30/21. Total proceeds and cost reported to the IRS.							
	10.000	155.10	09/23/21	150.00	...	5.10	1 of 2 - Sale ²⁵
	10.000	155.10	09/23/21	150.00	...	5.10	2 of 2 - Sale ²⁵
09/30/21	20.000	310.20	Various	300.00	...	10.20	Total of 2 transactions
ATEA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 04683R106 / Symbol:							
6 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	11.27	10/19/21	15.84	...	-4.57	1 of 6 - Sale ²⁵
	1.000	11.27	10/22/21	12.07	...	-0.80	2 of 6 - Sale ²⁵

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ATEA PHARMACEUTICALS, INC. COM MON STOCK / CUSIP: 04683R106 / Symbol: (cont'd)							
	1.000	11.27	10/22/21	12.07	...	-0.80	3 of 6 - Sale ²⁵
	10.000	112.71	11/09/21	109.89	...	2.82	4 of 6 - Sale ²⁵
	6.000	67.62	11/11/21	63.40	...	4.22	5 of 6 - Sale ²⁵
	20.000	225.42	11/11/21	211.37	...	14.05	6 of 6 - Sale ²⁵
11/12/21	39.000	439.56	Various	424.64	...	14.92	Total of 6 transactions
AZURRX BIOPHARMA, INC. COMMON STOCK / CUSIP: 05502L105 / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	60.000	113.39	01/21/21	84.57	...	28.82	1 of 2 - Sale ²⁵
	1,000.000	1,829.83	01/22/21	1,879.80	...	-49.97	2 of 2 - Sale ²⁵
01/22/21	1,060.000	1,943.22	Various	1,964.37	...	-21.15	Total of 2 transactions
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
11/02/21	1.000	29.10	09/30/21	16.68	...	12.42	Sale ²⁵
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	99.17	10/28/21	96.57	...	2.60	1 of 2 - Sale ²⁵
	1.000	99.17	10/28/21	96.45	...	2.72	2 of 2 - Sale ²⁵
11/02/21	2.000	198.34	Various	193.02	...	5.32	Total of 2 transactions
11/16/21	1.000	82.77	11/11/21	80.46	...	2.31	Sale ²⁵
	Security total:	281.11		273.48	...	7.63	
BRILLIANT EARTH GROUP, INC. CL ASS A COMMON STOCK / CUSIP: 109504100 / Symbol:							
09/29/21	2.000	26.74	09/23/21	32.60	...	-5.86	Sale ²⁵
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
01/07/21	10.000	314.47	01/06/21	301.73	...	12.74	Sale ²⁵
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
6 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	5.000	113.15	03/08/21	115.39	...	-2.24	1 of 6 - Sale ²⁵
	8.000	181.05	04/13/21	172.92	...	8.13	2 of 6 - Sale ²⁵
	19.000	429.98	04/13/21	415.72	...	14.26	3 of 6 - Sale ²⁵
	62.000	1,403.11	04/13/21	1,359.60	...	43.51	4 of 6 - Sale ²⁵

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
	22.000	497.87	05/04/21	422.39	...	75.48	5 of 6 - Sale ²⁵
	27.000	611.03	05/10/21	507.02	...	104.01	6 of 6 - Sale ²⁵
06/02/21	143.000	3,236.19	Various	2,993.04	...	243.15	Total of 6 transactions
07/23/21	20.000	488.60	07/19/21	437.00	...	51.60	Sale ²⁵
	Security total:	3,724.79		3,430.04	...	294.75	
CUE HEALTH INC. COMMON STOCK / CUSIP: 229790100 / Symbol:							
5 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	3.000	36.88	09/24/21	48.00	...	-11.12	1 of 5 - Sale ²⁵
	1.000	12.29	10/05/21	9.83	...	2.46	2 of 5 - Sale ²⁵
	1.000	12.29	10/27/21	9.01	...	3.28	3 of 5 - Sale ²⁵
	1.000	12.29	10/27/21	8.86	...	3.43	4 of 5 - Sale ²⁵
	5.000	61.47	11/09/21	51.03	...	10.44	5 of 5 - Sale ²⁵
11/16/21	11.000	135.22	Various	126.73	...	8.49	Total of 5 transactions
DESKTOP METAL, INC. / CUSIP: 25058X105 / Symbol:							
01/07/21	40.000	809.20	01/07/21	758.00	...	51.20	Sale ²⁵
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
12/15/21	1.000	145.68	12/03/21	139.13	...	6.55	Sale ²⁵
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	50.000	174.24	01/22/21	105.00	...	69.24	1 of 2 - Sale ²⁵
	150.000	522.72	01/22/21	314.25	...	208.47	2 of 2 - Sale ²⁵
01/25/21	200.000	696.96	Various	419.25	...	277.71	Total of 2 transactions
FRESHWORKS INC. CLASS A COMMON STOCK / CUSIP: 358054104 / Symbol:							
11/29/21	1.000	34.09	11/02/21	43.35	...	-9.26	Sale ²⁵
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	1.000	28.33	09/01/21	17.35	...	10.98	1 of 2 - Sale ²⁵
	29.000	821.56	09/01/21	507.50	...	314.06	2 of 2 - Sale ²⁵
10/27/21	30.000	849.89	Various	524.85	...	325.04	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 133428961

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
06/03/21	1.000	41.67	03/08/21	37.11	...	4.56	Sale ²⁵
PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	5.000	140.31	02/16/21	140.04	...	0.27	1 of 3 - Sale ²⁵
	5.000	141.30	02/16/21	140.04	...	1.26	2 of 3 - Sale ²⁵
	3.000	84.18	02/17/21	81.03	...	3.15	3 of 3 - Sale ²⁵
02/19/21	13.000	365.79	Various	361.11	...	4.68	Total of 3 transactions
7 transactions for 10/05/21. Total proceeds and cost reported to the IRS.							
	3.000	75.84	09/29/21	75.74	...	0.10	1 of 7 - Sale ²⁵
	2.000	50.56	09/29/21	50.33	...	0.23	2 of 7 - Sale ²⁵
	1.000	25.28	09/29/21	24.74	...	0.54	3 of 7 - Sale ²⁵
	1.000	25.28	09/30/21	24.11	...	1.17	4 of 7 - Sale ²⁵
	12.000	303.36	09/30/21	291.84	...	11.52	5 of 7 - Sale ²⁵
	21.000	530.87	09/30/21	504.60	...	26.27	6 of 7 - Sale ²⁵
	2.000	50.56	10/05/21	46.24	...	4.32	7 of 7 - Sale ²⁵
10/05/21	42.000	1,061.75	Various	1,017.60	...	44.15	Total of 7 transactions
10/14/21	1.000	24.33	10/06/21	23.80	...	0.53	Sale ²⁵
	Security total:	1,451.87		1,402.51	...	49.36	
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
11/08/21	17.000	635.37	04/15/21	644.44	...	-9.07	Sale ²⁵
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
10/01/21	2.000	83.28	07/29/21	68.44	...	14.84	Sale ²⁵
11/29/21	1.000	26.02	11/24/21	28.30	...	-2.28	Sale ²⁵
	Security total:	109.30		96.74	...	12.56	
ROLLS ROYCE HOLDINGS PLC SPONSORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
09/29/21	40.000	76.00	09/27/21	78.00	...	-2.00	Sale ²⁵
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
02/02/21	100.000	260.99	02/01/21	251.99	...	9.00	Sale ²⁵

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Robinhood Securities LLC

Account 133428961

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOCIETY PASS INCORPORATED COMM ON STOCK / CUSIP: 83370P102 / Symbol:							
11/24/21	5.000	69.95	11/23/21	53.23	...	16.72	Sale ²⁵
	<i>4 transactions for 12/15/21. Total proceeds and cost reported to the IRS.</i>						
	4.000	15.36	12/15/21	14.96	...	0.40	1 of 4 - Sale ²⁵
	11.000	42.24	12/15/21	40.98	...	1.26	2 of 4 - Sale ²⁵
	11.000	42.46	12/15/21	40.98	...	1.48	3 of 4 - Sale ²⁵
	13.000	49.90	12/15/21	48.42	...	1.48	4 of 4 - Sale ²⁵
12/15/21	39.000	149.96	Various	145.34	...	4.62	Total of 4 transactions
	Security total:	219.91		198.57	...	21.34	
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
12/08/21	5.000	119.72	12/01/21	104.85	...	14.87	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
03/12/21	1.000	669.99	03/04/21	656.50	...	13.49	Sale ²⁵
04/13/21	2.000	1,447.02	03/04/21	1,313.00	...	134.02	Sale ²⁵
	Security total:	2,117.01		1,969.50	...	147.51	
THORNE HEALTHTECH, INC. COMMON STOCK / CUSIP: 885260109 / Symbol:							
	<i>2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.</i>						
	3.000	25.74	09/23/21	24.72	...	1.02	1 of 2 - Sale ²⁵
	3.000	25.74	11/04/21	26.23	...	-0.49	2 of 2 - Sale ²⁵
11/04/21	6.000	51.48	Various	50.95	...	0.53	Total of 2 transactions
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
11/04/21	9.000	45.90	11/03/21	34.38	...	11.52	Sale ²⁵
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
01/13/21	30.000	824.08	01/12/21	781.80	...	42.28	Sale ²⁵
09/30/21	20.000	526.19	09/29/21	463.78	...	62.41	Sale ²⁵
	Security total:	1,350.27		1,245.58	...	104.69	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
02/24/21	3.000	44.16	02/23/21	39.93	...	4.23	Sale ²⁵
Totals :		84,521.87		90,346.04	1,313.09 W	-4,511.08	

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Robinhood Securities LLC

Account 133428961

Detail for Miscellaneous Information

2021

02/11/2022

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/24/21	50.00	Other income	
			50.00	Total Miscellaneous income	

Robinhood Securities LLC

Account 133428961

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/05/21	-5.00	Margin interest paid	
			-5.00	Total Margin interest paid	

Robinhood Securities LLC

Account 133428961

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CCIV 08/20/2021 CALL \$60.00	CCIV 08/20/21 C 60.000	07/26/21	-1.00	0.00	Merger	
LCID 08/20/2021 CALL \$60.00	LCID 08/20/21 C 60.000	07/26/21	1.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.