

Robinhood Securities LLC

Account 477784169

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	60.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALFI, INC. COMMON STOCK / CUSIP: 00161P109 / Symbol:							
06/15/21	1,000.000	6,359.84	06/15/21	4,850.00	...	1,509.84	Sale ²⁵
06/18/21	100.000	749.98	06/16/21	704.02	...	45.96	Sale ²⁵
06/18/21	100.000	728.98	06/16/21	788.00	59.02 W	0.00	Sale ²⁵
10/11/21	850.000	4,907.01	10/08/21	5,023.50	...	-116.49	Sale ²⁵
	Security total:	12,745.81		11,365.52	59.02 W	1,439.31	
AST SPACEMOBILE, INC. CLASS A COMMON STOCK / CUSIP: 00217D100 / Symbol:							
	3 transactions for 07/01/21. Total proceeds and cost reported to the IRS.						
	420.000	5,602.72	06/30/21	6,111.00	...	-508.28	1 of 3 - Sale ²⁵
	15.000	200.09	06/30/21	209.85	...	-9.76	2 of 3 - Sale ²⁵
	13.000	173.42	06/30/21	181.87	...	-8.45	3 of 3 - Sale ²⁵
07/01/21	448.000	5,976.23	Various	6,502.72	...	-526.49	Total of 3 transactions

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
03/30/21	730.000	434.25	03/29/21	421.28	...	12.97	Sale ²⁵
	4 transactions for 03/30/21. Total proceeds and cost reported to the IRS.						
	50.000	29.74	02/08/21	45.00	15.26 W	0.00	1 of 4 - Sale ²⁵
	200.000	118.98	02/08/21	180.00	61.02 W	0.00	2 of 4 - Sale ²⁵
	38.000	22.61	03/10/21	37.60	14.99 W	0.00	3 of 4 - Sale ²⁵
	162.000	96.37	03/29/21	142.92	21.55 W	-25.00	4 of 4 - Sale ²⁵
03/30/21	450.000	267.70	Various	405.52	112.82 W	-25.00	Total of 4 transactions
	6 transactions for 05/07/21. Total proceeds and cost reported to the IRS.						
	50.000	28.24	03/29/21	44.12	...	-15.88	1 of 6 - Sale ²⁵
	38.000	21.47	03/29/21	36.92	...	-15.45	2 of 6 - Sale ²⁵
	254.000	143.48	03/29/21	146.58	...	-3.10	3 of 6 - Sale ²⁵
	35.000	19.77	04/09/21	29.07	...	-9.30	4 of 6 - Sale ²⁵
	40.000	22.60	04/16/21	28.01	...	-5.41	5 of 6 - Sale ²⁵
	35.000	19.77	05/05/21	15.34	...	4.43	6 of 6 - Sale ²⁵
05/07/21	452.000	255.33	Various	300.04	...	-44.71	Total of 6 transactions
	Security total:	957.28		1,126.84	112.82 W	-56.74	
ADAGIO THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00534A102 / Symbol:							
12/17/21	500.000	5,599.91	12/16/21	3,650.00	...	1,949.91	Sale ²⁵
AETHLON MEDICAL, INC. COMMON S TOCK / CUSIP: 00808Y307 / Symbol:							
	4 transactions for 06/10/21. Total proceeds and cost reported to the IRS.						
	50.000	332.19	06/09/21	688.16	...	-355.97	1 of 4 - Sale ²⁵
	30.000	199.31	06/09/21	412.90	...	-213.59	2 of 4 - Sale ²⁵
	20.000	132.88	06/09/21	282.91	...	-150.03	3 of 4 - Sale ²⁵
	10.000	68.45	06/09/21	103.20	...	-34.75	4 of 4 - Sale ²⁵
06/10/21	110.000	732.83	Various	1,487.17	...	-754.34	Total of 4 transactions
	6 transactions for 06/10/21. Total proceeds and cost reported to the IRS.						
	277.000	1,896.02	06/09/21	2,958.08	256.89 W	-805.17	1 of 6 - Sale ²⁵
	4.000	27.38	06/09/21	42.72	15.34 W	0.00	2 of 6 - Sale ²⁵
	46.000	314.86	06/09/21	491.28	176.42 W	0.00	3 of 6 - Sale ²⁵
	50.000	342.24	06/09/21	533.50	191.26 W	0.00	4 of 6 - Sale ²⁵
	50.000	342.24	06/09/21	533.50	191.26 W	0.00	5 of 6 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AETHLON MEDICAL, INC. COMMON S TOCK / CUSIP: 00808Y307 / Symbol: (cont'd)							
06/10/21	163.000	1,115.71	06/09/21	1,740.68	624.97 W	0.00	6 of 6 - Sale ²⁵
	590.000	4,038.45	Various	6,299.76	1,456.14 W	-805.17	Total of 6 transactions
2 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	80.000	483.98	06/09/21	1,101.77	...	-617.79	1 of 2 - Sale ²⁵
	20.000	121.00	06/14/21	194.99	...	-73.99	2 of 2 - Sale ²⁵
06/15/21	100.000	604.98	Various	1,296.76	...	-691.78	Total of 2 transactions
5 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	63.000	327.59	06/14/21	614.20	...	-286.61	1 of 5 - Sale ²⁵
	17.000	88.40	06/14/21	165.75	...	-77.35	2 of 5 - Sale ²⁵
	66.000	356.39	06/21/21	585.70	...	-229.31	3 of 5 - Sale ²⁵
	29.000	156.59	06/21/21	257.38	...	-100.79	4 of 5 - Sale ²⁵
	4.000	21.60	06/21/21	35.50	...	-13.90	5 of 5 - Sale ²⁵
06/22/21	179.000	950.57	Various	1,658.53	...	-707.96	Total of 5 transactions
10 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	1,446.000	6,521.25	08/10/21	7,211.92	...	-690.67	1 of 10 - Sale ²⁵
	234.000	1,055.31	08/10/21	1,272.41	...	-217.10	2 of 10 - Sale ²⁵
	99.000	446.47	08/10/21	657.68	...	-211.21	3 of 10 - Sale ²⁵
	100.000	450.99	08/10/21	580.28	...	-129.29	4 of 10 - Sale ²⁵
	134.000	604.32	08/10/21	731.33	...	-127.01	5 of 10 - Sale ²⁵
	179.000	807.26	08/10/21	874.41	...	-67.15	6 of 10 - Sale ²⁵
	30.000	135.30	08/10/21	186.83	...	-51.53	7 of 10 - Sale ²⁵
	36.000	162.35	08/10/21	208.55	...	-46.20	8 of 10 - Sale ²⁵
	28.000	126.28	08/10/21	152.25	...	-25.97	9 of 10 - Sale ²⁵
	1.000	4.51	08/10/21	10.96	...	-6.45	10 of 10 - Sale ²⁵
08/11/21	2,287.000	10,314.04	Various	11,886.62	...	-1,572.58	Total of 10 transactions
17 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	1.000	4.56	06/21/21	8.87	4.31 W	0.00	1 of 17 - Sale ²⁵
	1.000	4.53	08/10/21	10.60	6.07 W	0.00	2 of 17 - Sale ²⁵
	1.000	4.55	08/10/21	9.71	5.16 W	0.00	3 of 17 - Sale ²⁵
	1.000	4.55	08/10/21	10.16	5.61 W	0.00	4 of 17 - Sale ²⁵
	1.000	4.56	08/10/21	9.29	4.73 W	0.00	5 of 17 - Sale ²⁵
	28.000	127.40	08/10/21	140.00	12.60 W	0.00	6 of 17 - Sale ²⁵
	30.000	135.90	08/10/21	176.18	40.28 W	0.00	7 of 17 - Sale ²⁵

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AETHLON MEDICAL, INC. COMMON S TOCK / CUSIP: 00808Y307 / Symbol: (cont'd)							
	30.000	136.49	08/10/21	163.05	26.56 W	0.00	8 of 17 - Sale ²⁵
	30.000	136.50	08/10/21	149.55	13.05 W	0.00	9 of 17 - Sale ²⁵
	36.000	163.07	08/10/21	195.76	32.69 W	0.00	10 of 17 - Sale ²⁵
	99.000	448.45	08/10/21	622.51	174.06 W	0.00	11 of 17 - Sale ²⁵
	99.000	450.43	08/10/21	579.18	128.75 W	0.00	12 of 17 - Sale ²⁵
	99.000	451.43	08/10/21	493.52	42.09 W	0.00	13 of 17 - Sale ²⁵
	99.000	451.43	08/10/21	535.61	84.18 W	0.00	14 of 17 - Sale ²⁵
	100.000	451.99	08/10/21	543.77	91.78 W	0.00	15 of 17 - Sale ²⁵
	134.000	607.00	08/10/21	670.00	63.00 W	0.00	16 of 17 - Sale ²⁵
	370.000	1,683.44	08/10/21	1,850.00	166.56 W	0.00	17 of 17 - Sale ²⁵
08/11/21	1,159.000	5,266.28	Various	6,167.76	901.48 W	0.00	Total of 17 transactions
	Security total:	21,907.15		28,796.60	2,357.62 W	-4,531.83	
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol:							
09/24/21	100.000	1,135.74	09/22/21	1,723.00	...	-587.26	Sale ²⁵
APREA THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 03836J102 / Symbol:							
07/27/21	500.000	2,161.53	07/21/21	2,590.00	...	-428.47	Sale ²⁵
07/27/21	1,000.000	4,323.05	07/21/21	5,628.80	261.15 W	-1,044.60	Sale ²⁵
07/29/21	200.000	879.97	07/21/21	1,220.91	...	-340.94	Sale ²⁵
	Security total:	7,364.55		9,439.71	261.15 W	-1,814.01	
ATEA PHARMACEUTICALS, INC. COM MON STOCK / CUSIP: 04683R106 / Symbol:							
9 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	6.000	92.22	10/19/21	84.06	...	8.16	1 of 9 - Sale ²⁵
	8.000	122.88	10/19/21	112.08	...	10.80	2 of 9 - Sale ²⁵
	18.000	276.65	10/19/21	252.18	...	24.47	3 of 9 - Sale ²⁵
	38.000	584.05	10/19/21	532.38	...	51.67	4 of 9 - Sale ²⁵
	38.000	584.05	10/19/21	532.38	...	51.67	5 of 9 - Sale ²⁵
	54.000	829.42	10/19/21	756.54	...	72.88	6 of 9 - Sale ²⁵
	62.000	952.93	10/19/21	868.62	...	84.31	7 of 9 - Sale ²⁵
	50.000	768.48	10/19/21	605.00	...	163.48	8 of 9 - Sale ²⁵
	201.000	3,089.33	10/19/21	2,816.01	...	273.32	9 of 9 - Sale ²⁵
10/19/21	475.000	7,300.01	Various	6,559.25	...	740.76	Total of 9 transactions

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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BELLUS HEALTH INC. COMMON SHAR ES / CUSIP: 07987C204 / Symbol:							
3 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	100.000	884.98	12/13/21	770.00	...	114.98	1 of 3 - Sale ²⁵
	220.000	1,946.97	12/13/21	1,694.00	...	252.97	2 of 3 - Sale ²⁵
	300.000	2,654.95	12/13/21	2,310.00	...	344.95	3 of 3 - Sale ²⁵
12/13/21	620.000	5,486.90	Various	4,774.00	...	712.90	Total of 3 transactions
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol:							
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	5.000	66.88	03/17/21	63.01	...	3.87	1 of 2 - Sale ²⁵
	95.000	1,270.27	03/17/21	1,197.15	...	73.12	2 of 2 - Sale ²⁵
03/18/21	100.000	1,337.15	Various	1,260.16	...	76.99	Total of 2 transactions
BIOFRONTERA INC. COMMON STOCK / CUSIP: 09077D100 / Symbol:							
6 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	148.000	1,627.97	12/21/21	1,894.40	...	-266.43	1 of 6 - Sale ²⁵
	93.000	1,022.98	12/21/21	1,190.40	...	-167.42	2 of 6 - Sale ²⁵
	91.000	1,000.98	12/21/21	1,164.80	...	-163.82	3 of 6 - Sale ²⁵
	7.000	77.00	12/21/21	102.20	...	-25.20	4 of 6 - Sale ²⁵
	1.000	11.00	12/21/21	14.60	...	-3.60	5 of 6 - Sale ²⁵
	2.000	22.00	12/21/21	25.60	...	-3.60	6 of 6 - Sale ²⁵
12/21/21	342.000	3,761.93	Various	4,392.00	...	-630.07	Total of 6 transactions
2 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	1.000	11.00	12/21/21	12.80	1.80 W	0.00	1 of 2 - Sale ²⁵
	7.000	77.00	12/21/21	89.60	12.60 W	0.00	2 of 2 - Sale ²⁵
12/21/21	8.000	88.00	Various	102.40	14.40 W	0.00	Total of 2 transactions
	Security total:	3,849.93		4,494.40	14.40 W	-630.07	
BRIACELL THERAPEUTICS CORP. CO MMON SHARES / CUSIP: 10778Y302 / Symbol:							
3 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	100.000	610.99	06/02/21	586.00	...	24.99	1 of 3 - Sale ²⁵
	100.000	612.98	06/02/21	586.00	...	26.98	2 of 3 - Sale ²⁵
	100.000	610.98	06/08/21	511.73	...	99.25	3 of 3 - Sale ²⁵
06/09/21	300.000	1,834.95	Various	1,683.73	...	151.22	Total of 3 transactions

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BRIACELL THERAPEUTICS CORP. CO MMON SHARES / CUSIP: 10778Y302 / Symbol: (cont'd)							
06/16/21	53.000	363.99	06/10/21	297.86	...	66.13	Sale ²⁵
	<i>5 transactions for 06/23/21. Total proceeds and cost reported to the IRS.</i>						
	730.000	3,897.43	06/21/21	3,912.80	...	-15.37	1 of 5 - Sale ²⁵
	300.000	1,601.68	06/21/21	1,608.00	...	-6.32	2 of 5 - Sale ²⁵
	200.000	1,067.79	06/21/21	1,072.00	...	-4.21	3 of 5 - Sale ²⁵
	200.000	1,067.79	06/21/21	1,072.00	...	-4.21	4 of 5 - Sale ²⁵
	135.000	720.76	06/21/21	682.82	...	37.94	5 of 5 - Sale ²⁵
06/23/21	1,565.000	8,355.45	Various	8,347.62	...	7.83	Total of 5 transactions
	Security total:	10,554.39		10,329.21	...	225.18	
BRIDGELINE DIGITAL, INC. COMMO N STOCK / CUSIP: 10807Q700 / Symbol:							
	<i>2 transactions for 07/02/21. Total proceeds and cost reported to the IRS.</i>						
	80.000	519.99	07/01/21	484.00	...	35.99	1 of 2 - Sale ²⁵
	900.000	5,849.85	07/01/21	5,445.00	...	404.85	2 of 2 - Sale ²⁵
07/02/21	980.000	6,369.84	Various	5,929.00	...	440.84	Total of 2 transactions
BSQUARE CORPORATION COMMON STO CK / CUSIP: 11776U300 / Symbol:							
	<i>4 transactions for 07/08/21. Total proceeds and cost reported to the IRS.</i>						
	800.000	3,847.88	07/06/21	5,520.00	...	-1,672.12	1 of 4 - Sale ²⁵
	100.000	481.99	07/06/21	690.00	...	-208.01	2 of 4 - Sale ²⁵
	86.000	414.51	07/06/21	593.40	...	-178.89	3 of 4 - Sale ²⁵
	14.000	67.48	07/06/21	96.60	...	-29.12	4 of 4 - Sale ²⁵
07/08/21	1,000.000	4,811.86	Various	6,900.00	...	-2,088.14	Total of 4 transactions
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
09/28/21	3,500.000	10,499.52	09/28/21	10,494.75	...	4.77	Sale ²⁵
	<i>2 transactions for 10/05/21. Total proceeds and cost reported to the IRS.</i>						
	140.000	225.38	10/05/21	614.62	...	-389.24	1 of 2 - Sale ²⁵
	60.000	96.59	10/05/21	244.67	...	-148.08	2 of 2 - Sale ²⁵
10/05/21	200.000	321.97	Various	859.29	...	-537.32	Total of 2 transactions
	<i>4 transactions for 10/05/21. Total proceeds and cost reported to the IRS.</i>						
	900.000	1,448.89	10/05/21	3,951.11	2,335.40 W	-166.82	1 of 4 - Sale ²⁵
	460.000	740.54	10/05/21	1,380.00	639.46 W	0.00	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	900.000	1,448.89	10/05/21	2,700.00	1,251.11 W	0.00	3 of 4 - Sale ²⁵
	1,850.000	2,978.26	10/05/21	5,550.00	2,571.74 W	0.00	4 of 4 - Sale ²⁵
10/05/21	4,110.000	6,616.58	Various	13,581.11	6,797.71 W	-166.82	Total of 4 transactions
	11 transactions for 10/08/21. Total proceeds and cost reported to the IRS.						
	790.000	1,382.40	10/08/21	4,021.29	...	-2,638.89	1 of 11 - Sale ²⁵
	1,143.000	2,000.09	10/08/21	4,229.25	...	-2,229.16	2 of 11 - Sale ²⁵
	427.000	747.19	10/08/21	1,579.95	...	-832.76	3 of 11 - Sale ²⁵
	200.000	349.98	10/08/21	740.03	...	-390.05	4 of 11 - Sale ²⁵
	163.000	285.23	10/08/21	603.12	...	-317.89	5 of 11 - Sale ²⁵
	97.000	169.74	10/08/21	358.91	...	-189.17	6 of 11 - Sale ²⁵
	47.000	82.24	10/08/21	234.07	...	-151.83	7 of 11 - Sale ²⁵
	66.000	115.49	10/08/21	244.21	...	-128.72	8 of 11 - Sale ²⁵
	11.000	19.25	10/08/21	40.70	...	-21.45	9 of 11 - Sale ²⁵
	3.000	5.25	10/08/21	14.94	...	-9.69	10 of 11 - Sale ²⁵
	3.000	5.25	10/08/21	11.10	...	-5.85	11 of 11 - Sale ²⁵
10/08/21	2,950.000	5,162.11	Various	12,077.57	...	-6,915.46	Total of 11 transactions
	Security total:	22,600.18		37,012.72	6,797.71 W	-7,614.83	
CAN-FITE BIOPHARMA LTD. / CUSIP: 13471N201 / Symbol:							
	10 transactions for 12/20/21. Total proceeds and cost reported to the IRS.						
	600.000	1,199.92	12/20/21	1,470.00	...	-270.08	1 of 10 - Sale ²⁵
	130.000	259.98	12/20/21	377.02	...	-117.04	2 of 10 - Sale ²⁵
	238.000	475.97	12/20/21	583.10	...	-107.13	3 of 10 - Sale ²⁵
	206.000	411.98	12/20/21	504.70	...	-92.72	4 of 10 - Sale ²⁵
	200.000	399.97	12/20/21	490.00	...	-90.03	5 of 10 - Sale ²⁵
	50.000	99.99	12/20/21	145.00	...	-45.01	6 of 10 - Sale ²⁵
	73.000	145.99	12/20/21	178.85	...	-32.86	7 of 10 - Sale ²⁵
	36.000	72.00	12/20/21	104.41	...	-32.41	8 of 10 - Sale ²⁵
	60.000	119.99	12/20/21	147.00	...	-27.01	9 of 10 - Sale ²⁵
	14.000	28.00	12/20/21	40.60	...	-12.60	10 of 10 - Sale ²⁵
12/20/21	1,607.000	3,213.79	Various	4,040.68	...	-826.89	Total of 10 transactions
	3 transactions for 12/20/21. Total proceeds and cost reported to the IRS.						
	513.000	1,025.93	12/20/21	1,256.85	22.51 W	-208.41	1 of 3 - Sale ²⁵
	50.000	100.00	12/20/21	122.50	22.50 W	0.00	2 of 3 - Sale ²⁵

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Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CAN-FITE BIOPHARMA LTD. / CUSIP: 13471N201 / Symbol: (cont'd)							
	130.000	259.98	12/20/21	318.50	58.52 W	0.00	3 of 3 - Sale ²⁵
12/20/21	693.000	1,385.91	Various	1,697.85	103.53 W	-208.41	Total of 3 transactions
	Security total:	4,599.70		5,738.53	103.53 W	-1,035.30	
CANCER GENETICS, INC. COMMON S TOCK / CUSIP: 13739U203 / Symbol:							
3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	10.000	145.00	02/09/21	78.00	...	67.00	1 of 3 - Sale ²⁵
	22.000	319.00	02/09/21	179.30	...	139.70	2 of 3 - Sale ²⁵
	178.000	2,580.91	02/09/21	1,450.70	...	1,130.21	3 of 3 - Sale ²⁵
02/10/21	210.000	3,044.91	Various	1,708.00	...	1,336.91	Total of 3 transactions
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	18.000	905.85	10/15/21	945.90	40.05 W	0.00	1 of 2 - Sale ²⁵
	100.000	5,032.49	10/15/21	5,255.00	222.51 W	0.00	2 of 2 - Sale ²⁵
10/19/21	118.000	5,938.34	Various	6,200.90	262.56 W	0.00	Total of 2 transactions
3 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	18.000	886.94	10/27/21	854.19	...	32.75	1 of 3 - Sale ²⁵
	15.000	739.12	10/27/21	678.45	...	60.67	2 of 3 - Sale ²⁵
	100.000	4,927.46	10/27/21	4,745.51	...	181.95	3 of 3 - Sale ²⁵
12/07/21	133.000	6,553.52	Various	6,278.15	...	275.37	Total of 3 transactions
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	51.000	2,260.81	12/10/21	2,254.20	...	6.61	1 of 2 - Sale ²⁵
	59.000	2,615.45	12/10/21	2,607.80	...	7.65	2 of 2 - Sale ²⁵
12/13/21	110.000	4,876.26	Various	4,862.00	...	14.26	Total of 2 transactions
	Security total:	17,368.12		17,341.05	262.56 W	289.63	
CELLECT BIOTECHNOLOGY LTD. AME RICAN DEPOSITARY SHARES / CUSIP: 15116C201 / Symbol:							
2 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	500.000	2,799.93	06/17/21	2,740.00	...	59.93	1 of 2 - Sale ²⁵
	800.000	4,479.88	06/17/21	4,384.00	...	95.88	2 of 2 - Sale ²⁵
06/17/21	1,300.000	7,279.81	Various	7,124.00	...	155.81	Total of 2 transactions
06/22/21	100.000	405.42	06/17/21	548.00	142.58 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CELLECT BIOTECHNOLOGY LTD. AME RICAN DEPOSITARY SHARES / CUSIP: 15116C201 / Symbol: (cont'd)							
07/06/21	1,840.000	6,458.14	07/02/21	6,550.40	...	-92.26	Sale ²⁵
	4 transactions for 07/06/21. Total proceeds and cost reported to the IRS.						
	10.000	35.20	06/17/21	69.06	33.86 W	0.00	1 of 4 - Sale ²⁵
	90.000	315.89	06/17/21	621.52	305.63 W	0.00	2 of 4 - Sale ²⁵
	30.000	105.30	06/23/21	114.18	2.96 W	-5.92	3 of 4 - Sale ²⁵
	10.000	35.10	06/23/21	71.92	36.82 W	0.00	4 of 4 - Sale ²⁵
07/06/21	140.000	491.49	Various	876.68	379.27 W	-5.92	Total of 4 transactions
	3 transactions for 07/07/21. Total proceeds and cost reported to the IRS.						
	90.000	302.84	07/02/21	626.03	...	-323.19	1 of 3 - Sale ²⁵
	10.000	33.65	07/02/21	72.42	...	-38.77	2 of 3 - Sale ²⁵
	10.000	33.65	07/02/21	38.56	...	-4.91	3 of 3 - Sale ²⁵
07/07/21	110.000	370.14	Various	737.01	...	-366.87	Total of 3 transactions
	Security total:	15,005.00		15,836.09	521.85 W	-309.24	
CHINA JO-JO DRUGSTORES, INC. C OMMON STOCK / CUSIP: 16949A206 / Symbol:							
	2 transactions for 01/05/21. Total proceeds and cost reported to the IRS.						
	137.000	147.94	06/24/20	253.45	...	-105.51	1 of 2 - Sale ²⁵
	212.000	228.94	07/23/20	343.47	...	-114.53	2 of 2 - Sale ²⁵
01/05/21	349.000	376.88	Various	596.92	...	-220.04	Total of 2 transactions
	10 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	88.000	104.71	07/23/20	142.57	...	-37.86	1 of 10 - Sale ²⁵
	60.000	71.39	07/23/20	108.01	...	-36.62	2 of 10 - Sale ²⁵
	50.000	59.49	07/23/20	92.51	...	-33.02	3 of 10 - Sale ²⁵
	50.000	59.49	07/23/20	92.51	...	-33.02	4 of 10 - Sale ²⁵
	38.000	45.22	07/23/20	70.31	...	-25.09	5 of 10 - Sale ²⁵
	28.000	33.32	07/23/20	50.40	...	-17.08	6 of 10 - Sale ²⁵
	12.000	14.28	07/23/20	22.20	...	-7.92	7 of 10 - Sale ²⁵
	24.000	28.56	07/23/20	30.24	...	-1.68	8 of 10 - Sale ²⁵
	1.000	1.19	07/23/20	1.26	...	-0.07	9 of 10 - Sale ²⁵
	199.000	236.79	08/21/20	232.83	...	3.96	10 of 10 - Sale ²⁵
01/21/21	550.000	654.44	Various	842.84	...	-188.40	Total of 10 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CHINA JO-JO DRUGSTORES, INC. C OMMON STOCK / CUSIP: 16949A206 / Symbol: (cont'd)							
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	201.000	315.70	08/21/20	235.17	...	80.53	1 of 3 - Sale ²⁵
	50.000	78.53	10/14/20	45.55	...	32.98	2 of 3 - Sale ²⁵
	359.000	563.86	10/14/20	327.05	...	236.81	3 of 3 - Sale ²⁵
02/11/21	610.000	958.09	Various	607.77	...	350.32	Total of 3 transactions
	Security total:	1,989.41		2,047.53	...	-58.12	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
02/09/21	100.000	1,305.96	01/05/21	1,515.00	209.04 W	0.00	Sale ²⁵
5 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	100.000	838.98	01/06/21	1,475.00	636.02 W	0.00	1 of 5 - Sale ²⁵
	5.000	41.95	01/11/21	74.40	32.45 W	0.00	2 of 5 - Sale ²⁵
	100.000	838.98	01/11/21	1,697.04	858.06 W	0.00	3 of 5 - Sale ²⁵
	81.000	679.58	01/21/21	1,101.60	422.02 W	0.00	4 of 5 - Sale ²⁵
	54.000	453.05	02/05/21	638.82	185.77 W	0.00	5 of 5 - Sale ²⁵
03/03/21	340.000	2,852.54	Various	4,986.86	2,134.32 W	0.00	Total of 5 transactions
03/04/21	9.000	65.81	03/01/21	148.59	...	-82.78	Sale ²⁵
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	56.000	409.47	02/05/21	1,018.65	609.18 W	0.00	1 of 2 - Sale ²⁵
	35.000	255.92	03/01/21	655.57	194.12 W	-205.53	2 of 2 - Sale ²⁵
03/04/21	91.000	665.39	Various	1,674.22	803.30 W	-205.53	Total of 2 transactions
9 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	35.000	248.49	03/01/21	577.86	...	-329.37	1 of 9 - Sale ²⁵
	65.000	461.49	03/02/21	1,156.39	...	-694.90	2 of 9 - Sale ²⁵
	56.000	438.47	03/02/21	1,118.78	...	-680.31	3 of 9 - Sale ²⁵
	45.000	319.49	03/02/21	648.91	...	-329.42	4 of 9 - Sale ²⁵
	36.000	281.87	03/02/21	519.12	...	-237.25	5 of 9 - Sale ²⁵
	17.000	133.11	03/02/21	348.82	...	-215.71	6 of 9 - Sale ²⁵
	38.000	297.53	03/02/21	476.53	...	-179.00	7 of 9 - Sale ²⁵
	16.000	125.28	03/02/21	202.40	...	-77.12	8 of 9 - Sale ²⁵
	5.000	35.50	03/02/21	78.50	...	-43.00	9 of 9 - Sale ²⁵
03/05/21	313.000	2,341.23	Various	5,127.31	...	-2,786.08	Total of 9 transactions
	Security total:	7,230.93		13,451.98	3,146.66 W	-3,074.39	

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2021 1099-B* OMB No. 1545-0715

(continued)

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COHEN & COMPANY INC / CUSIP: 19249M102 / Symbol:							
9 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	10.000	201.53	07/09/21	263.70	...	-62.17	1 of 9 - Sale ²⁵
	22.000	454.29	07/14/21	679.97	...	-225.68	2 of 9 - Sale ²⁵
	22.000	454.29	07/14/21	679.97	...	-225.68	3 of 9 - Sale ²⁵
	18.000	371.69	07/14/21	556.34	...	-184.65	4 of 9 - Sale ²⁵
	16.000	330.40	07/14/21	494.52	...	-164.12	5 of 9 - Sale ²⁵
	14.000	289.10	07/14/21	432.70	...	-143.60	6 of 9 - Sale ²⁵
	7.000	144.55	07/14/21	216.35	...	-71.80	7 of 9 - Sale ²⁵
	33.000	665.03	07/14/21	694.98	...	-29.95	8 of 9 - Sale ²⁵
	8.000	161.22	07/14/21	168.48	...	-7.26	9 of 9 - Sale ²⁵
07/21/21	150.000	3,072.10	Various	4,187.01	...	-1,114.91	Total of 9 transactions
2 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	275.000	5,541.93	07/09/21	8,250.00	738.57 W	-1,969.50	1 of 2 - Sale ²⁵
	25.000	503.81	07/09/21	750.00	246.19 W	0.00	2 of 2 - Sale ²⁵
07/21/21	300.000	6,045.74	Various	9,000.00	984.76 W	-1,969.50	Total of 2 transactions
	Security total:	9,117.84		13,187.01	984.76 W	-3,084.41	
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
3 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	95.000	961.86	07/16/21	972.68	...	-10.82	1 of 3 - Sale ²⁵
	5.000	50.62	07/16/21	46.15	...	4.47	2 of 3 - Sale ²⁵
	225.000	2,227.77	07/16/21	2,076.75	...	151.02	3 of 3 - Sale ²⁵
07/21/21	325.000	3,240.25	Various	3,095.58	...	144.67	Total of 3 transactions
07/21/21	95.000	940.62	07/12/21	1,036.45	95.83 W	0.00	Sale ²⁵
	Security total:	4,180.87		4,132.03	95.83 W	144.67	
CREATD INC. COMMON STOCK / CUSIP: 225265107 / Symbol:							
9 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	330.000	2,131.75	10/22/21	2,805.00	...	-673.25	1 of 9 - Sale ²⁵
	50.000	322.99	10/22/21	526.50	...	-203.51	2 of 9 - Sale ²⁵
	50.000	322.99	10/22/21	526.50	...	-203.51	3 of 9 - Sale ²⁵
	50.000	322.99	10/22/21	526.50	...	-203.51	4 of 9 - Sale ²⁵
	33.000	213.17	10/22/21	347.49	...	-134.32	5 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREATD INC. COMMON STOCK / CUSIP: 225265107 / Symbol: (cont'd)							
	21.000	135.66	10/22/21	263.76	...	-128.10	6 of 9 - Sale ²⁵
	28.000	180.88	10/22/21	294.84	...	-113.96	7 of 9 - Sale ²⁵
	16.000	103.36	10/22/21	168.48	...	-65.12	8 of 9 - Sale ²⁵
	1.000	6.46	10/22/21	12.56	...	-6.10	9 of 9 - Sale ²⁵
10/22/21	579.000	3,740.25	Various	5,471.63	...	-1,731.38	Total of 9 transactions
	8 transactions for 10/22/21. Total proceeds and cost reported to the IRS.						
	1.000	6.47	10/22/21	10.53	4.06 W	0.00	1 of 8 - Sale ²⁵
	21.000	135.87	10/22/21	178.50	42.63 W	0.00	2 of 8 - Sale ²⁵
	21.000	135.87	10/22/21	221.13	85.26 W	0.00	3 of 8 - Sale ²⁵
	28.000	181.16	10/22/21	238.00	56.84 W	0.00	4 of 8 - Sale ²⁵
	50.000	323.50	10/22/21	425.00	101.50 W	0.00	5 of 8 - Sale ²⁵
	50.000	323.50	10/22/21	425.00	101.50 W	0.00	6 of 8 - Sale ²⁵
	50.000	323.50	10/22/21	425.00	101.50 W	0.00	7 of 8 - Sale ²⁵
	50.000	323.50	10/22/21	425.00	101.50 W	0.00	8 of 8 - Sale ²⁵
10/22/21	271.000	1,753.37	Various	2,348.16	594.79 W	0.00	Total of 8 transactions
	Security total:	5,493.62		7,819.79	594.79 W	-1,731.38	
CUE HEALTH INC. COMMON STOCK / CUSIP: 229790100 / Symbol:							
	8 transactions for 09/28/21. Total proceeds and cost reported to the IRS.						
	100.000	1,612.61	09/24/21	1,874.50	...	-261.89	1 of 8 - Sale ²⁵
	100.000	1,612.61	09/24/21	1,874.00	...	-261.39	2 of 8 - Sale ²⁵
	100.000	1,612.61	09/24/21	1,874.00	...	-261.39	3 of 8 - Sale ²⁵
	100.000	1,612.61	09/24/21	1,873.50	...	-260.89	4 of 8 - Sale ²⁵
	100.000	1,612.61	09/24/21	1,873.00	...	-260.39	5 of 8 - Sale ²⁵
	90.000	1,451.34	09/24/21	1,687.05	...	-235.71	6 of 8 - Sale ²⁵
	48.000	774.06	09/24/21	899.52	...	-125.46	7 of 8 - Sale ²⁵
	12.000	193.51	09/24/21	225.00	...	-31.49	8 of 8 - Sale ²⁵
09/28/21	650.000	10,481.96	Various	12,180.57	...	-1,698.61	Total of 8 transactions

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DATCHAT, INC. COMMON STOCK / CUSIP: 23816M107 / Symbol:							
3 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	230.000	3,443.05	10/13/21	3,795.00	...	-351.95	1 of 3 - Sale ²⁵
	10.000	149.70	10/13/21	165.00	...	-15.30	2 of 3 - Sale ²⁵
	5.000	74.85	10/13/21	82.50	...	-7.65	3 of 3 - Sale ²⁵
10/13/21	245.000	3,667.60	Various	4,042.50	...	-374.90	Total of 3 transactions
DELL TECHNOLOGIES INC. / CUSIP: 24703L202 / Symbol:							
3 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	35.000	3,372.05	08/26/21	3,559.15	...	-187.10	1 of 3 - Sale ²⁵
	5.000	481.72	08/26/21	519.10	...	-37.38	2 of 3 - Sale ²⁵
	13.000	1,252.47	08/26/21	1,280.50	...	-28.03	3 of 3 - Sale ²⁵
08/27/21	53.000	5,106.24	Various	5,358.75	...	-252.51	Total of 3 transactions
08/27/21	5.000	481.85	08/26/21	508.45	26.60 W	0.00	Sale ²⁵
	Security total:	5,588.09		5,867.20	26.60 W	-252.51	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
7 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	15.000	160.35	10/20/21	187.10	...	-26.75	1 of 7 - Sale ²⁵
	5.000	53.45	10/20/21	59.02	...	-5.57	2 of 7 - Sale ²⁵
	9.000	96.21	10/20/21	99.61	...	-3.40	3 of 7 - Sale ²⁵
	0.829	8.86	10/20/21	10.34	...	-1.48	4 of 7 - Sale ²⁵
	100.000	1,068.98	10/20/21	1,052.82	...	16.16	5 of 7 - Sale ²⁵
	164.171	1,754.95	10/20/21	1,728.43	...	26.52	6 of 7 - Sale ²⁵
	364.171	3,892.91	10/20/21	3,834.06	...	58.85	7 of 7 - Sale ²⁵
10/21/21	658.171	7,035.71	Various	6,971.38	...	64.33	Total of 7 transactions
4 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	0.829	8.86	10/07/21	10.47	1.61 W	0.00	1 of 4 - Sale ²⁵
	15.000	160.35	10/07/21	189.53	29.18 W	0.00	2 of 4 - Sale ²⁵
	5.000	53.45	10/12/21	59.83	6.38 W	0.00	3 of 4 - Sale ²⁵
	9.000	96.21	10/13/21	101.07	4.86 W	0.00	4 of 4 - Sale ²⁵
10/21/21	29.829	318.87	Various	360.90	42.03 W	0.00	Total of 4 transactions
	Security total:	7,354.58		7,332.28	42.03 W	64.33	

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460G831 / Symbol:							
2 transactions for 10/05/21. Total proceeds and cost reported to the IRS.							
	6.939	370.72	09/17/21	410.00	...	-39.28	1 of 2 - Sale ²⁵
	4.000	213.72	09/24/21	218.64	...	-4.92	2 of 2 - Sale ²⁵
10/05/21	10.939	584.44	Various	628.64	...	-44.20	Total of 2 transactions
ECO WAVE POWER GLOBAL AB (PUBL) AMERICAN DEPOSITARY SHARES / CUSIP: 27900N103 / Symbol:							
4 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	4.000	114.00	07/02/21	90.52	...	23.48	1 of 4 - Sale ²⁵
	41.000	1,168.49	07/02/21	1,102.90	...	65.59	2 of 4 - Sale ²⁵
	41.000	1,168.49	07/02/21	927.83	...	240.66	3 of 4 - Sale ²⁵
	159.000	4,531.46	07/02/21	4,277.10	...	254.36	4 of 4 - Sale ²⁵
07/02/21	245.000	6,982.44	Various	6,398.35	...	584.09	Total of 4 transactions
EVER-GLORY INTERNATIONAL GROUP , INC. COMMON STOCK / CUSIP: 299766204 / Symbol:							
20 transactions for 08/02/21. Total proceeds and cost reported to the IRS.							
	19.000	113.24	08/02/21	97.85	...	15.39	1 of 20 - Sale ²⁵
	19.000	113.24	08/02/21	97.85	...	15.39	2 of 20 - Sale ²⁵
	31.000	184.76	08/02/21	159.65	...	25.11	3 of 20 - Sale ²⁵
	50.000	298.00	08/02/21	257.50	...	40.50	4 of 20 - Sale ²⁵
	50.000	298.00	08/02/21	257.50	...	40.50	5 of 20 - Sale ²⁵
	50.000	298.00	08/02/21	257.50	...	40.50	6 of 20 - Sale ²⁵
	50.000	298.00	08/02/21	257.50	...	40.50	7 of 20 - Sale ²⁵
	50.000	298.00	08/02/21	257.50	...	40.50	8 of 20 - Sale ²⁵
	50.000	298.00	08/02/21	257.50	...	40.50	9 of 20 - Sale ²⁵
	50.000	298.00	08/02/21	257.50	...	40.50	10 of 20 - Sale ²⁵
	50.000	298.00	08/02/21	257.50	...	40.50	11 of 20 - Sale ²⁵
	50.000	298.00	08/02/21	257.50	...	40.50	12 of 20 - Sale ²⁵
	50.000	298.00	08/02/21	257.50	...	40.50	13 of 20 - Sale ²⁵
	50.000	298.00	08/02/21	257.50	...	40.50	14 of 20 - Sale ²⁵
	81.000	482.74	08/02/21	417.15	...	65.59	15 of 20 - Sale ²⁵
	200.000	1,189.97	08/02/21	1,030.00	...	159.97	16 of 20 - Sale ²⁵
	300.000	1,784.95	08/02/21	1,545.00	...	239.95	17 of 20 - Sale ²⁵
	900.000	4,472.87	08/02/21	4,230.00	...	242.87	18 of 20 - Sale ²⁵
	1,100.000	5,466.83	08/02/21	5,170.00	...	296.83	19 of 20 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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EVER-GLORY INTERNATIONAL GROUP , INC. COMMON STOCK / CUSIP: 299766204 / Symbol: (cont'd)							
	800.000	4,759.87	08/02/21	4,120.00	...	639.87	20 of 20 - Sale ²⁵
08/02/21	4,000.000	21,846.47	Various	19,700.00	...	2,146.47	Total of 20 transactions
EVOLVE TRANSITION INFRASTRUCTURE LP / CUSIP: 30053M104 / Symbol:							
2 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	71.000	68.61	08/11/21	107.21	38.60 W	0.00	1 of 2 - Sale ²⁵
	100.000	96.64	08/11/21	151.00	54.36 W	0.00	2 of 2 - Sale ²⁵
08/23/21	171.000	165.25	Various	258.21	92.96 W	0.00	Total of 2 transactions
3 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	300.000	297.41	08/11/21	453.00	155.59 W	0.00	1 of 3 - Sale ²⁵
	300.000	297.41	08/11/21	453.00	155.59 W	0.00	2 of 3 - Sale ²⁵
	400.000	396.55	08/11/21	604.00	207.45 W	0.00	3 of 3 - Sale ²⁵
08/24/21	1,000.000	991.37	Various	1,510.00	518.63 W	0.00	Total of 3 transactions
13 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	400.000	375.99	08/17/21	631.25	...	-255.26	1 of 13 - Sale ²⁵
	300.000	281.99	08/17/21	458.59	...	-176.60	2 of 13 - Sale ²⁵
	300.000	281.99	08/17/21	458.59	...	-176.60	3 of 13 - Sale ²⁵
	171.000	160.74	08/17/21	261.40	...	-100.66	4 of 13 - Sale ²⁵
	151.000	141.93	08/17/21	238.06	...	-96.13	5 of 13 - Sale ²⁵
	121.000	113.74	08/17/21	190.76	...	-77.02	6 of 13 - Sale ²⁵
	100.000	94.00	08/17/21	157.65	...	-63.65	7 of 13 - Sale ²⁵
	71.000	66.74	08/17/21	108.53	...	-41.79	8 of 13 - Sale ²⁵
	28.000	26.32	08/17/21	44.19	...	-17.87	9 of 13 - Sale ²⁵
	28.000	26.32	08/17/21	44.14	...	-17.82	10 of 13 - Sale ²⁵
	29.000	27.26	08/17/21	44.33	...	-17.07	11 of 13 - Sale ²⁵
	1.000	0.94	08/17/21	1.57	...	-0.63	12 of 13 - Sale ²⁵
	300.000	281.98	08/26/21	450.34	...	-168.36	13 of 13 - Sale ²⁵
08/27/21	2,000.000	1,879.94	Various	3,089.40	...	-1,209.46	Total of 13 transactions
15 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	200.000	188.18	08/11/21	302.00	113.82 W	0.00	1 of 15 - Sale ²⁵
	300.000	282.56	08/11/21	453.00	170.44 W	0.00	2 of 15 - Sale ²⁵
	400.000	376.75	08/11/21	604.00	227.25 W	0.00	3 of 15 - Sale ²⁵
	400.000	376.75	08/11/21	604.00	227.25 W	0.00	4 of 15 - Sale ²⁵

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(continued)

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EVOLVE TRANSITION INFRASTRUCTU RE LP / CUSIP: 30053M104 / Symbol: (cont'd)							
	400.000	376.75	08/11/21	604.00	227.25 W	0.00	5 of 15 - Sale ²⁵
	400.000	376.75	08/11/21	604.00	227.25 W	0.00	6 of 15 - Sale ²⁵
	400.000	376.75	08/11/21	604.00	227.25 W	0.00	7 of 15 - Sale ²⁵
	2,528.000	2,376.26	08/11/21	3,817.28	1,441.02 W	0.00	8 of 15 - Sale ²⁵
	1.000	0.95	08/11/21	1.51	0.56 W	0.00	9 of 15 - Sale ²⁵
	100.000	94.35	08/11/21	151.00	56.65 W	0.00	10 of 15 - Sale ²⁵
	300.000	283.04	08/11/21	453.00	169.96 W	0.00	11 of 15 - Sale ²⁵
	400.000	376.75	08/11/21	604.00	227.25 W	0.00	12 of 15 - Sale ²⁵
	71.000	66.74	08/17/21	110.31	43.57 W	0.00	13 of 15 - Sale ²⁵
	100.000	94.00	08/17/21	155.36	61.36 W	0.00	14 of 15 - Sale ²⁵
	129.000	121.26	08/17/21	197.19	75.93 W	0.00	15 of 15 - Sale ²⁵
08/27/21	6,129.000	5,767.84	Various	9,264.65	3,496.81 W	0.00	Total of 15 transactions
	15 transactions for 09/21/21. Total proceeds and cost reported to the IRS.						
	2,528.000	2,282.72	09/20/21	3,715.97	752.91 W	-680.34	1 of 15 - Sale ²⁵
	28.000	25.28	09/20/21	41.10	15.82 W	0.00	2 of 15 - Sale ²⁵
	29.000	26.19	09/20/21	43.17	16.98 W	0.00	3 of 15 - Sale ²⁵
	29.000	26.19	09/20/21	43.89	17.70 W	0.00	4 of 15 - Sale ²⁵
	71.000	64.11	09/20/21	107.46	43.35 W	0.00	5 of 15 - Sale ²⁵
	71.000	64.11	09/20/21	107.46	43.35 W	0.00	6 of 15 - Sale ²⁵
	72.000	65.02	09/20/21	105.69	40.67 W	0.00	7 of 15 - Sale ²⁵
	100.000	90.30	09/20/21	146.80	56.50 W	0.00	8 of 15 - Sale ²⁵
	100.000	90.30	09/20/21	148.85	58.55 W	0.00	9 of 15 - Sale ²⁵
	200.000	180.59	09/20/21	293.80	113.21 W	0.00	10 of 15 - Sale ²⁵
	272.000	245.61	09/20/21	399.31	153.70 W	0.00	11 of 15 - Sale ²⁵
	300.000	270.89	09/20/21	440.41	169.52 W	0.00	12 of 15 - Sale ²⁵
	400.000	361.19	09/20/21	587.21	226.02 W	0.00	13 of 15 - Sale ²⁵
	400.000	361.19	09/20/21	587.21	226.02 W	0.00	14 of 15 - Sale ²⁵
	400.000	361.19	09/20/21	587.21	226.02 W	0.00	15 of 15 - Sale ²⁵
09/21/21	5,000.000	4,514.88	Various	7,355.54	2,160.32 W	-680.34	Total of 15 transactions
	26 transactions for 10/15/21. Total proceeds and cost reported to the IRS.						
	400.000	427.94	10/14/21	634.02	...	-206.08	1 of 26 - Sale ²⁵
	328.000	350.92	10/14/21	520.52	...	-169.60	2 of 26 - Sale ²⁵
	301.000	322.03	10/14/21	477.10	...	-155.07	3 of 26 - Sale ²⁵
	300.000	320.96	10/14/21	475.52	...	-154.56	4 of 26 - Sale ²⁵

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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EVOLVE TRANSITION INFRASTRUCTURE LP / CUSIP: 30053M104 / Symbol: (cont'd)							
	214.000	228.95	10/14/21	339.61	...	-110.66	5 of 26 - Sale ²⁵
	214.000	228.95	10/14/21	339.61	...	-110.66	6 of 26 - Sale ²⁵
	214.000	228.95	10/14/21	339.60	...	-110.65	7 of 26 - Sale ²⁵
	200.000	213.97	10/14/21	317.21	...	-103.24	8 of 26 - Sale ²⁵
	200.000	213.97	10/14/21	317.01	...	-103.04	9 of 26 - Sale ²⁵
	200.000	213.97	10/14/21	317.01	...	-103.04	10 of 26 - Sale ²⁵
	190.000	203.28	10/14/21	301.52	...	-98.24	11 of 26 - Sale ²⁵
	115.000	123.03	10/14/21	182.28	...	-59.25	12 of 26 - Sale ²⁵
	100.000	106.99	10/14/21	160.55	...	-53.56	13 of 26 - Sale ²⁵
	100.000	106.99	10/14/21	158.70	...	-51.71	14 of 26 - Sale ²⁵
	100.000	106.99	10/14/21	158.50	...	-51.51	15 of 26 - Sale ²⁵
	99.000	105.92	10/14/21	156.92	...	-51.00	16 of 26 - Sale ²⁵
	71.000	75.96	10/14/21	115.77	...	-39.81	17 of 26 - Sale ²⁵
	71.000	75.96	10/14/21	115.77	...	-39.81	18 of 26 - Sale ²⁵
	72.000	77.03	10/14/21	114.13	...	-37.10	19 of 26 - Sale ²⁵
	72.000	77.03	10/14/21	114.12	...	-37.09	20 of 26 - Sale ²⁵
	72.000	77.03	10/14/21	114.11	...	-37.08	21 of 26 - Sale ²⁵
	68.000	72.75	10/14/21	107.91	...	-35.16	22 of 26 - Sale ²⁵
	29.000	31.03	10/14/21	47.28	...	-16.25	23 of 26 - Sale ²⁵
	29.000	31.03	10/14/21	46.56	...	-15.53	24 of 26 - Sale ²⁵
	28.000	29.96	10/14/21	44.38	...	-14.42	25 of 26 - Sale ²⁵
	13.000	13.91	10/14/21	20.61	...	-6.70	26 of 26 - Sale ²⁵
10/15/21	3,800.000	4,065.50	Various	6,036.32	...	-1,970.82	Total of 26 transactions
	Security total:	17,384.78		27,514.12	6,268.72 W	-3,860.62	
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol:							
3 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	3.000	9.06	03/10/21	18.30	9.24 W	0.00	1 of 3 - Sale ²⁵
	5.000	15.10	03/10/21	30.50	15.40 W	0.00	2 of 3 - Sale ²⁵
	492.000	1,485.77	03/10/21	3,001.20	1,515.43 W	0.00	3 of 3 - Sale ²⁵
03/16/21	500.000	1,509.93	Various	3,050.00	1,540.07 W	0.00	Total of 3 transactions
6 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	50.000	144.49	03/10/21	428.92	...	-284.43	1 of 6 - Sale ²⁵
	350.000	1,011.45	03/15/21	2,394.05	...	-1,382.60	2 of 6 - Sale ²⁵

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Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
	92.000	265.87	03/15/21	869.25	...	-603.38	3 of 6 - Sale ²⁵
	72.000	208.07	03/15/21	270.72	...	-62.65	4 of 6 - Sale ²⁵
	5.000	14.45	03/15/21	47.24	...	-32.79	5 of 6 - Sale ²⁵
	3.000	8.67	03/15/21	28.34	...	-19.67	6 of 6 - Sale ²⁵
03/19/21	572.000	1,653.00	Various	4,038.52	...	-2,385.52	Total of 6 transactions
	3 transactions for 03/19/21. Total proceeds and cost reported to the IRS.						
	3.000	8.67	03/10/21	25.73	17.06 W	0.00	1 of 3 - Sale ²⁵
	5.000	14.45	03/10/21	42.89	28.44 W	0.00	2 of 3 - Sale ²⁵
	92.000	265.87	03/10/21	789.20	523.33 W	0.00	3 of 3 - Sale ²⁵
03/19/21	100.000	288.99	Various	857.82	568.83 W	0.00	Total of 3 transactions
	Security total:	3,451.92		7,946.34	2,108.90 W	-2,385.52	
THE EXONE COMPANY COMMON STOCK / CUSIP: 302104104 / Symbol:							
03/12/21	50.000	1,741.99	03/08/21	1,382.93	...	359.06	Sale ²⁵
04/06/21	100.000	3,061.42	04/05/21	3,040.00	...	21.42	Sale ²⁵
04/22/21	50.000	1,141.71	04/14/21	1,336.87	...	-195.16	Sale ²⁵
04/22/21	25.000	575.24	04/14/21	668.43	33.55 W	-59.64	Sale ²⁵
	2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.						
	25.000	523.66	04/14/21	668.43	...	-144.77	1 of 2 - Sale ²⁵
	9.000	188.51	04/14/21	272.95	...	-84.44	2 of 2 - Sale ²⁵
05/26/21	34.000	712.17	Various	941.38	...	-229.21	Total of 2 transactions
	Security total:	7,232.53		7,369.61	33.55 W	-103.53	
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
	2 transactions for 10/06/21. Total proceeds and cost reported to the IRS.						
	1,500.000	6,824.79	10/05/21	7,027.50	...	-202.71	1 of 2 - Sale ²⁵
	125.000	568.73	10/05/21	578.13	...	-9.40	2 of 2 - Sale ²⁵
10/06/21	1,625.000	7,393.52	Various	7,605.63	...	-212.11	Total of 2 transactions
EZFILL HOLDINGS, INC. COMMON S TOCK / CUSIP: 302314208 / Symbol:							
10/11/21	1,200.000	4,416.07	10/11/21	4,728.00	311.93 W	0.00	Sale ²⁵
	6 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	400.000	1,091.94	10/22/21	1,235.97	...	-144.03	1 of 6 - Sale ²⁵
	200.000	548.17	10/22/21	635.82	...	-87.65	2 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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EZFILL HOLDINGS, INC. COMMON S TOCK / CUSIP: 302314208 / Symbol: (cont'd)							
	200.000	548.17	10/22/21	617.99	...	-69.82	3 of 6 - Sale ²⁵
	200.000	548.17	10/22/21	617.99	...	-69.82	4 of 6 - Sale ²⁵
	200.000	548.17	10/22/21	617.99	...	-69.82	5 of 6 - Sale ²⁵
	600.000	1,637.92	10/22/21	1,698.00	...	-60.08	6 of 6 - Sale ²⁵
10/27/21	1,800.000	4,922.54	Various	5,423.76	...	-501.22	Total of 6 transactions
10/27/21	200.000	548.17	10/22/21	617.99	69.82 W	0.00	Sale ²⁵
	Security total:	9,886.78		10,769.75	381.75 W	-501.22	
FISKER INC. / CUSIP: 33813J106 / Symbol:							
9 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	138.000	2,478.44	08/10/21	2,648.26	...	-169.82	1 of 9 - Sale ²⁵
	100.000	1,795.98	08/10/21	1,930.94	...	-134.96	2 of 9 - Sale ²⁵
	100.000	1,795.98	08/10/21	1,919.02	...	-123.04	3 of 9 - Sale ²⁵
	100.000	1,795.98	08/10/21	1,919.02	...	-123.04	4 of 9 - Sale ²⁵
	50.000	897.99	08/10/21	953.50	...	-55.51	5 of 9 - Sale ²⁵
	3.000	53.88	08/10/21	57.57	...	-3.69	6 of 9 - Sale ²⁵
	3.000	53.88	08/10/21	57.57	...	-3.69	7 of 9 - Sale ²⁵
	3.000	53.88	08/10/21	57.57	...	-3.69	8 of 9 - Sale ²⁵
	3.000	53.88	08/10/21	57.57	...	-3.69	9 of 9 - Sale ²⁵
08/10/21	500.000	8,979.89	Various	9,601.02	...	-621.13	Total of 9 transactions
3 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	100.000	1,796.03	08/10/21	1,808.00	11.97 W	0.00	1 of 3 - Sale ²⁵
	100.000	1,796.03	08/10/21	1,819.97	23.94 W	0.00	2 of 3 - Sale ²⁵
	350.000	6,285.92	08/10/21	6,328.00	42.08 W	0.00	3 of 3 - Sale ²⁵
08/10/21	550.000	9,877.98	Various	9,955.97	77.99 W	0.00	Total of 3 transactions
	Security total:	18,857.87		19,556.99	77.99 W	-621.13	
FOCUS UNIVERSAL INC. COMMON ST OCK / CUSIP: 34417J104 / Symbol:							
2 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
	100.000	1,194.39	09/01/21	2,202.00	...	-1,007.61	1 of 2 - Sale ²⁵
	38.000	453.87	09/01/21	1,169.27	...	-715.40	2 of 2 - Sale ²⁵
09/15/21	138.000	1,648.26	Various	3,371.27	...	-1,723.01	Total of 2 transactions
09/15/21	600.000	6,059.89	09/01/21	13,212.00	452.97 W	-6,699.14	Sale ²⁵
	Security total:	7,708.15		16,583.27	452.97 W	-8,422.15	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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FORGEROCK, INC. / CUSIP: 34631B101 / Symbol:							
2 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	183.000	7,228.44	09/16/21	6,405.00	...	823.44	1 of 2 - Sale ²⁵
	197.000	7,781.43	09/16/21	6,895.00	...	886.43	2 of 2 - Sale ²⁵
09/17/21	380.000	15,009.87	Various	13,300.00	...	1,709.87	Total of 2 transactions
FORUM ENERGY TECHNOLOGIES, INC. / CUSIP: 34984V209 / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	5.000	74.65	06/09/20	81.00	...	-6.35	1 of 2 - Sale ²⁵
	10.000	149.30	06/17/20	133.20	...	16.10	2 of 2 - Sale ²⁵
01/19/21	15.000	223.95	Various	214.20	...	9.75	Total of 2 transactions
FULGENT GENETICS, INC. COMMON STOCK / CUSIP: 359664109 / Symbol:							
03/05/21	30.000	2,939.98	03/03/21	2,780.70	...	159.28	Sale ²⁵
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
5 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	55.000	126.49	02/11/21	114.95	...	11.54	1 of 5 - Sale ²⁵
	100.000	229.98	02/11/21	209.00	...	20.98	2 of 5 - Sale ²⁵
	100.000	229.98	02/11/21	209.00	...	20.98	3 of 5 - Sale ²⁵
	100.000	229.98	02/11/21	209.00	...	20.98	4 of 5 - Sale ²⁵
	100.000	229.99	02/11/21	209.00	...	20.99	5 of 5 - Sale ²⁵
02/12/21	455.000	1,046.42	Various	950.95	...	95.47	Total of 5 transactions
3 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	596.000	758.92	03/22/21	917.84	...	-158.92	1 of 3 - Sale ²⁵
	193.000	245.76	03/22/21	297.22	...	-51.46	2 of 3 - Sale ²⁵
	100.000	127.34	03/22/21	154.00	...	-26.66	3 of 3 - Sale ²⁵
04/12/21	889.000	1,132.02	Various	1,369.06	...	-237.04	Total of 3 transactions
	Security total:	2,178.44		2,320.01	...	-141.57	
GROVE, INC. COMMON STOCK / CUSIP: 39959A106 / Symbol:							
4 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	425.000	3,353.18	06/25/21	3,740.00	...	-386.82	1 of 4 - Sale ²⁵
	73.000	575.96	06/25/21	708.84	...	-132.88	2 of 4 - Sale ²⁵
	36.000	284.03	06/25/21	349.21	...	-65.18	3 of 4 - Sale ²⁵

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(continued)

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GROVE, INC. COMMON STOCK / CUSIP: 39959A106 / Symbol: (cont'd)							
	15.000	118.35	06/25/21	145.50	...	-27.15	4 of 4 - Sale ²⁵
06/25/21	549.000	4,331.52	Various	4,943.55	...	-612.03	Total of 4 transactions
	2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.						
	200.000	1,577.97	06/25/21	1,760.00	66.44 W	-115.59	1 of 2 - Sale ²⁵
	51.000	402.89	06/25/21	448.80	45.91 W	0.00	2 of 2 - Sale ²⁵
06/25/21	251.000	1,980.86	Various	2,208.80	112.35 W	-115.59	Total of 2 transactions
	Security total:	6,312.38		7,152.35	112.35 W	-727.62	
HANCOCK JAFFE LABORATORIES, IN C. COMMON STOCK / CUSIP: 41015N304 / Symbol:							
	6 transactions for 08/10/21. Total proceeds and cost reported to the IRS.						
	785.000	4,741.28	08/03/21	6,280.00	...	-1,538.72	1 of 6 - Sale ²⁵
	412.000	2,488.42	08/03/21	3,296.00	...	-807.58	2 of 6 - Sale ²⁵
	292.000	1,763.63	08/03/21	2,233.80	...	-470.17	3 of 6 - Sale ²⁵
	58.000	350.31	08/03/21	443.70	...	-93.39	4 of 6 - Sale ²⁵
	22.000	132.88	08/03/21	155.75	...	-22.87	5 of 6 - Sale ²⁵
	3.000	18.12	08/03/21	24.00	...	-5.88	6 of 6 - Sale ²⁵
08/10/21	1,572.000	9,494.64	Various	12,433.25	...	-2,938.61	Total of 6 transactions
HELBIZ, INC. CLASS A COMMON STOCK / CUSIP: 42309B204 / Symbol:							
	5 transactions for 09/24/21. Total proceeds and cost reported to the IRS.						
	300.000	5,703.23	09/21/21	10,050.00	...	-4,346.77	1 of 5 - Sale ²⁵
	150.000	2,851.62	09/21/21	4,500.00	...	-1,648.38	2 of 5 - Sale ²⁵
	38.000	722.41	09/21/21	989.90	...	-267.49	3 of 5 - Sale ²⁵
	10.000	190.11	09/21/21	282.00	...	-91.89	4 of 5 - Sale ²⁵
	92.000	1,748.99	09/23/21	2,208.00	...	-459.01	5 of 5 - Sale ²⁵
09/24/21	590.000	11,216.36	Various	18,029.90	...	-6,813.54	Total of 5 transactions
IBIO, INC. / CUSIP: 451033203 / Symbol:							
	3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	100.000	245.48	08/10/20	386.00	...	-140.52	1 of 3 - Sale ²⁵
	38.000	93.28	08/10/20	146.68	...	-53.40	2 of 3 - Sale ²⁵
	200.000	490.97	12/07/20	280.00	...	210.97	3 of 3 - Sale ²⁵
02/04/21	338.000	829.73	Various	812.68	...	17.05	Total of 3 transactions

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INDAPTUS THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 45339J105 / Symbol:							
6 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
	1.000	18.11	09/15/21	14.01	...	4.10	1 of 6 - Sale ²⁵
	90.000	1,630.24	09/15/21	1,623.60	...	6.64	2 of 6 - Sale ²⁵
	100.000	1,811.38	09/15/21	1,401.00	...	410.38	3 of 6 - Sale ²⁵
	139.000	2,517.81	09/15/21	1,947.39	...	570.42	4 of 6 - Sale ²⁵
	200.000	3,622.76	09/15/21	2,802.00	...	820.76	5 of 6 - Sale ²⁵
	200.000	3,622.76	09/15/21	2,802.00	...	820.76	6 of 6 - Sale ²⁵
09/15/21	730.000	13,223.06	Various	10,590.00	...	2,633.06	Total of 6 transactions
5 transactions for 09/16/21. Total proceeds and cost reported to the IRS.							
	174.000	2,087.96	09/16/21	2,610.03	...	-522.07	1 of 5 - Sale ²⁵
	174.000	2,087.97	09/16/21	2,610.03	...	-522.06	2 of 5 - Sale ²⁵
	92.000	1,103.98	09/16/21	1,334.02	...	-230.04	3 of 5 - Sale ²⁵
	43.000	515.99	09/16/21	623.51	...	-107.52	4 of 5 - Sale ²⁵
	65.000	779.99	09/16/21	877.50	...	-97.51	5 of 5 - Sale ²⁵
09/16/21	548.000	6,575.89	Various	8,055.09	...	-1,479.20	Total of 5 transactions
09/16/21	587.000	7,043.89	09/16/21	7,924.50	724.59 W	-156.02	Sale ²⁵
	Security total:	26,842.84		26,569.59	724.59 W	997.84	
INSIGNIA SYSTEMS, INC. COMMON STOCK / CUSIP: 45765Y204 / Symbol:							
2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	180.000	3,689.96	12/08/21	4,230.00	...	-540.04	1 of 2 - Sale ²⁵
	100.000	2,049.98	12/08/21	2,350.00	...	-300.02	2 of 2 - Sale ²⁵
12/08/21	280.000	5,739.94	Various	6,580.00	...	-840.06	Total of 2 transactions
INSPIREMD, INC. / CUSIP: 45779A853 / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	300.000	302.96	02/05/21	231.00	...	71.96	1 of 2 - Sale ²⁵
	810.000	817.98	02/05/21	623.70	...	194.28	2 of 2 - Sale ²⁵
02/08/21	1,110.000	1,120.94	Various	854.70	...	266.24	Total of 2 transactions
02/23/21	200.000	175.98	02/05/21	154.00	...	21.98	Sale ²⁵
	Security total:	1,296.92		1,008.70	...	288.22	

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
6 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	141.000	166.43	03/24/21	166.38	...	0.05	1 of 6 - Sale ²⁵
	159.000	187.68	03/24/21	187.62	...	0.06	2 of 6 - Sale ²⁵
	300.000	354.11	03/24/21	354.00	...	0.11	3 of 6 - Sale ²⁵
	300.000	354.11	03/24/21	354.00	...	0.11	4 of 6 - Sale ²⁵
	300.000	354.11	03/24/21	354.00	...	0.11	5 of 6 - Sale ²⁵
	300.000	354.11	03/24/21	354.00	...	0.11	6 of 6 - Sale ²⁵
03/31/21	1,500.000	1,770.55	Various	1,770.00	...	0.55	Total of 6 transactions
3 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	400.000	472.15	02/08/21	676.00	203.85 W	0.00	1 of 3 - Sale ²⁵
	45.000	53.12	02/10/21	73.26	20.14 W	0.00	2 of 3 - Sale ²⁵
	14.000	16.53	03/12/21	17.50	0.97 W	0.00	3 of 3 - Sale ²⁵
03/31/21	459.000	541.80	Various	766.76	224.96 W	0.00	Total of 3 transactions
8 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	300.000	359.96	04/13/21	467.62	...	-107.66	1 of 8 - Sale ²⁵
	100.000	119.99	04/13/21	155.87	...	-35.88	2 of 8 - Sale ²⁵
	45.000	53.99	04/13/21	67.35	...	-13.36	3 of 8 - Sale ²⁵
	14.000	16.80	04/13/21	15.66	...	1.14	4 of 8 - Sale ²⁵
	141.000	169.18	04/13/21	147.92	...	21.26	5 of 8 - Sale ²⁵
	300.000	359.96	04/13/21	314.73	...	45.23	6 of 8 - Sale ²⁵
	300.000	359.96	04/13/21	314.73	...	45.23	7 of 8 - Sale ²⁵
	433.000	519.54	04/13/21	454.26	...	65.28	8 of 8 - Sale ²⁵
04/14/21	1,633.000	1,959.38	Various	1,938.14	...	21.24	Total of 8 transactions
	Security total:	4,271.73		4,474.90	224.96 W	21.79	
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
04/28/21	68.000	3,919.49	04/22/21	4,250.34	...	-330.85	Sale ²⁵
06/02/21	6.000	344.97	04/22/21	375.03	...	-30.06	Sale ²⁵
06/11/21	1.000	57.03	04/22/21	62.51	...	-5.48	Sale ²⁵
	Security total:	4,321.49		4,687.88	...	-366.39	

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INTRUSION INC. COMMON STOCK / CUSIP: 46121E205 / Symbol:							
<i>7 transactions for 08/02/21. Total proceeds and cost reported to the IRS.</i>							
	300.000	1,319.95	07/27/21	1,953.00	...	-633.05	1 of 7 - Sale ²⁵
	278.000	1,223.16	07/27/21	1,809.78	...	-586.62	2 of 7 - Sale ²⁵
	100.000	439.99	07/27/21	651.00	...	-211.01	3 of 7 - Sale ²⁵
	32.000	139.83	07/27/21	208.32	...	-68.49	4 of 7 - Sale ²⁵
	120.000	524.38	07/29/21	792.89	...	-268.51	5 of 7 - Sale ²⁵
	50.000	218.49	07/29/21	330.36	...	-111.87	6 of 7 - Sale ²⁵
	35.000	152.95	07/29/21	231.25	...	-78.30	7 of 7 - Sale ²⁵
08/02/21	915.000	4,018.75	Various	5,976.60	...	-1,957.85	Total of 7 transactions
<i>3 transactions for 08/02/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	439.99	07/27/21	651.00	73.85 W	-137.16	1 of 3 - Sale ²⁵
	50.000	220.00	07/27/21	325.50	105.50 W	0.00	2 of 3 - Sale ²⁵
	120.000	527.98	07/27/21	781.20	253.22 W	0.00	3 of 3 - Sale ²⁵
08/02/21	270.000	1,187.97	Various	1,757.70	432.57 W	-137.16	Total of 3 transactions
	Security total:	5,206.72		7,734.30	432.57 W	-2,095.01	
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074404 / Symbol:							
<i>6 transactions for 10/15/21. Total proceeds and cost reported to the IRS.</i>							
	500.000	2,569.93	10/15/21	2,725.00	...	-155.07	1 of 6 - Sale ²⁵
	200.000	1,027.97	10/15/21	1,090.00	...	-62.03	2 of 6 - Sale ²⁵
	150.000	770.98	10/15/21	817.50	...	-46.52	3 of 6 - Sale ²⁵
	100.000	513.98	10/15/21	545.00	...	-31.02	4 of 6 - Sale ²⁵
	100.000	513.98	10/15/21	545.00	...	-31.02	5 of 6 - Sale ²⁵
	100.000	513.98	10/15/21	545.00	...	-31.02	6 of 6 - Sale ²⁵
10/15/21	1,150.000	5,910.82	Various	6,267.50	...	-356.68	Total of 6 transactions
LIGHTNING EMOTORS, INC. / CUSIP: 53228T101 / Symbol:							
<i>9 transactions for 08/10/21. Total proceeds and cost reported to the IRS.</i>							
	700.000	7,518.29	08/10/21	8,290.59	...	-772.30	1 of 9 - Sale ²⁵
	80.000	859.24	08/10/21	997.42	...	-138.18	2 of 9 - Sale ²⁵
	100.000	1,073.98	08/10/21	1,184.37	...	-110.39	3 of 9 - Sale ²⁵
	100.000	1,073.98	08/10/21	1,184.37	...	-110.39	4 of 9 - Sale ²⁵
	100.000	1,073.98	08/10/21	1,184.37	...	-110.39	5 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LIGHTNING EMOTORS, INC. / CUSIP: 53228T101 / Symbol: (cont'd)							
	100.000	1,073.98	08/10/21	1,184.37	...	-110.39	6 of 9 - Sale ²⁵
	100.000	1,073.98	08/10/21	1,184.37	...	-110.39	7 of 9 - Sale ²⁵
	100.000	1,073.98	08/10/21	1,184.37	...	-110.39	8 of 9 - Sale ²⁵
	100.000	1,073.98	08/10/21	1,184.37	...	-110.39	9 of 9 - Sale ²⁵
08/10/21	1,480.000	15,895.39	Various	17,578.60	...	-1,683.21	Total of 9 transactions
08/10/21	100.000	1,073.98	08/10/21	1,184.37	88.31 W	-22.08	Sale ²⁵
	Security total:	16,969.37		18,762.97	88.31 W	-1,705.29	
MARIN SOFTWARE INCORPORATED COMMON STOCK / CUSIP: 56804T205 / Symbol:							
5 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	100.000	911.98	07/21/21	1,032.00	...	-120.02	1 of 5 - Sale ²⁵
	77.000	703.76	07/21/21	794.64	...	-90.88	2 of 5 - Sale ²⁵
	23.000	209.76	07/21/21	237.36	...	-27.60	3 of 5 - Sale ²⁵
	55.000	501.59	07/29/21	440.29	...	61.30	4 of 5 - Sale ²⁵
	100.000	911.98	07/29/21	803.02	...	108.96	5 of 5 - Sale ²⁵
07/30/21	355.000	3,239.07	Various	3,307.31	...	-68.24	Total of 5 transactions
2 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	100.000	915.48	07/21/21	1,032.00	64.09 W	-52.43	1 of 2 - Sale ²⁵
	100.000	912.98	07/21/21	1,032.00	119.02 W	0.00	2 of 2 - Sale ²⁵
07/30/21	200.000	1,828.46	Various	2,064.00	183.11 W	-52.43	Total of 2 transactions
	Security total:	5,067.53		5,371.31	183.11 W	-120.67	
MARKER THERAPEUTICS, INC. COMMON STOCK / CUSIP: 57055L107 / Symbol:							
5 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	200.000	510.43	03/22/21	576.00	...	-65.57	1 of 5 - Sale ²⁵
	200.000	510.43	03/22/21	576.00	...	-65.57	2 of 5 - Sale ²⁵
	200.000	510.43	03/22/21	576.00	...	-65.57	3 of 5 - Sale ²⁵
	200.000	510.43	03/22/21	576.00	...	-65.57	4 of 5 - Sale ²⁵
	200.000	510.44	03/22/21	576.00	...	-65.56	5 of 5 - Sale ²⁵
03/24/21	1,000.000	2,552.16	Various	2,880.00	...	-327.84	Total of 5 transactions

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Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
3 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	24.000	364.56	05/12/21	730.81	...	-366.25	1 of 3 - Sale ²⁵
	21.000	318.99	05/12/21	639.45	...	-320.46	2 of 3 - Sale ²⁵
	30.000	434.99	05/12/21	658.51	...	-223.52	3 of 3 - Sale ²⁵
05/17/21	75.000	1,118.54	Various	2,028.77	...	-910.23	Total of 3 transactions
3 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	70.000	1,014.99	04/28/21	2,205.01	850.01 W	-340.01	1 of 3 - Sale ²⁵
	30.000	434.99	04/28/21	690.00	255.01 W	0.00	2 of 3 - Sale ²⁵
	70.000	1,014.99	04/28/21	1,610.00	595.01 W	0.00	3 of 3 - Sale ²⁵
05/17/21	170.000	2,464.97	Various	4,505.01	1,700.03 W	-340.01	Total of 3 transactions
06/07/21	4.000	90.72	05/12/21	121.80	...	-31.08	Sale ²⁵
3 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	1.000	9.67	05/12/21	30.45	...	-20.78	1 of 3 - Sale ²⁵
	40.000	387.00	09/28/21	466.00	...	-79.00	2 of 3 - Sale ²⁵
	17.000	164.48	10/08/21	169.97	...	-5.49	3 of 3 - Sale ²⁵
10/15/21	58.000	561.15	Various	666.42	...	-105.27	Total of 3 transactions
	Security total:	4,235.38		7,322.00	1,700.03 W	-1,386.59	
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
9 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	15.000	132.30	02/08/21	238.50	106.20 W	0.00	1 of 9 - Sale ²⁵
	5.000	44.10	03/22/21	49.50	5.40 W	0.00	2 of 9 - Sale ²⁵
	5.000	44.10	03/22/21	54.95	10.85 W	0.00	3 of 9 - Sale ²⁵
	5.000	44.10	03/22/21	84.90	40.80 W	0.00	4 of 9 - Sale ²⁵
	10.000	88.20	03/22/21	99.00	10.80 W	0.00	5 of 9 - Sale ²⁵
	10.000	88.20	03/22/21	99.00	10.80 W	0.00	6 of 9 - Sale ²⁵
	10.000	88.20	03/22/21	99.00	10.80 W	0.00	7 of 9 - Sale ²⁵
	10.000	88.20	03/22/21	109.80	21.60 W	0.00	8 of 9 - Sale ²⁵
	10.000	88.20	03/22/21	169.80	81.60 W	0.00	9 of 9 - Sale ²⁵
03/26/21	80.000	705.60	Various	1,004.45	298.85 W	0.00	Total of 9 transactions
8 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	5.000	39.81	03/22/21	54.95	15.14 W	0.00	1 of 8 - Sale ²⁵
	5.000	39.81	03/22/21	54.95	15.14 W	0.00	2 of 8 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	5.000	39.81	03/22/21	90.35	50.54 W	0.00	3 of 8 - Sale ²⁵
	10.000	79.62	03/22/21	109.90	30.28 W	0.00	4 of 8 - Sale ²⁵
	10.000	79.63	03/22/21	180.70	101.07 W	0.00	5 of 8 - Sale ²⁵
	5.000	39.81	03/24/21	53.78	13.97 W	0.00	6 of 8 - Sale ²⁵
	10.000	79.62	03/24/21	107.45	27.83 W	0.00	7 of 8 - Sale ²⁵
	40.000	318.50	03/24/21	343.40	24.90 W	0.00	8 of 8 - Sale ²⁵
04/08/21	90.000	716.61	Various	995.48	278.87 W	0.00	Total of 8 transactions
	7 transactions for 04/13/21. Total proceeds and cost reported to the IRS.						
	10.000	75.93	03/24/21	116.13	...	-40.20	1 of 7 - Sale ²⁵
	5.000	37.97	03/24/21	58.06	...	-20.09	2 of 7 - Sale ²⁵
	5.000	37.97	03/24/21	58.06	...	-20.09	3 of 7 - Sale ²⁵
	40.000	303.72	04/07/21	340.48	...	-36.76	4 of 7 - Sale ²⁵
	10.000	75.93	04/07/21	106.72	...	-30.79	5 of 7 - Sale ²⁵
	5.000	37.97	04/07/21	53.42	...	-15.45	6 of 7 - Sale ²⁵
	30.000	227.79	04/07/21	236.68	...	-8.89	7 of 7 - Sale ²⁵
04/13/21	105.000	797.28	Various	969.55	...	-172.27	Total of 7 transactions
	2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.						
	10.000	75.93	03/24/21	186.92	33.30 W	-77.69	1 of 2 - Sale ²⁵
	5.000	37.97	03/24/21	93.47	55.50 W	0.00	2 of 2 - Sale ²⁵
04/13/21	15.000	113.90	Various	280.39	88.80 W	-77.69	Total of 2 transactions
08/20/21	172.000	971.95	08/13/21	1,021.68	...	-49.73	Sale ²⁵
	2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.						
	3.000	16.95	04/07/21	56.97	40.02 W	0.00	1 of 2 - Sale ²⁵
	5.000	28.25	04/07/21	94.95	66.70 W	0.00	2 of 2 - Sale ²⁵
08/20/21	8.000	45.20	Various	151.92	106.72 W	0.00	Total of 2 transactions
	4 transactions for 08/23/21. Total proceeds and cost reported to the IRS.						
	5.000	29.40	08/13/21	96.40	...	-67.00	1 of 4 - Sale ²⁵
	3.000	17.64	08/13/21	57.84	...	-40.20	2 of 4 - Sale ²⁵
	172.000	1,011.33	08/13/21	1,021.68	...	-10.35	3 of 4 - Sale ²⁵
	193.000	1,142.53	08/13/21	1,146.42	...	-3.89	4 of 4 - Sale ²⁵
08/23/21	373.000	2,200.90	Various	2,322.34	...	-121.44	Total of 4 transactions

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Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
2 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	100.000	599.98	08/13/21	594.00	...	5.98	1 of 2 - Sale ²⁵
	100.000	602.17	08/13/21	594.00	...	8.17	2 of 2 - Sale ²⁵
08/24/21	200.000	1,202.15	Various	1,188.00	...	14.15	Total of 2 transactions
08/25/21	100.000	602.16	08/13/21	594.00	...	8.16	Sale ²⁵
	Security total:	7,355.75		8,527.81	773.24 W	-398.82	
NEUROMETRIX, INC. COMMON STOCK / CUSIP: 641255807 / Symbol:							
13 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	2.000	31.54	08/27/21	28.20	...	3.34	1 of 13 - Sale ²⁵
	25.000	394.00	08/27/21	352.50	...	41.50	2 of 13 - Sale ²⁵
	18.000	323.82	08/27/21	280.80	...	43.02	3 of 13 - Sale ²⁵
	34.000	536.51	08/27/21	479.40	...	57.11	4 of 13 - Sale ²⁵
	40.000	631.19	08/27/21	564.00	...	67.19	5 of 13 - Sale ²⁵
	42.000	662.33	08/27/21	592.20	...	70.13	6 of 13 - Sale ²⁵
	43.000	678.10	08/27/21	606.30	...	71.80	7 of 13 - Sale ²⁵
	58.000	914.65	08/27/21	817.80	...	96.85	8 of 13 - Sale ²⁵
	66.000	1,040.80	08/27/21	930.60	...	110.20	9 of 13 - Sale ²⁵
	80.000	1,262.39	08/27/21	1,128.00	...	134.39	10 of 13 - Sale ²⁵
	45.000	809.54	08/27/21	648.34	...	161.20	11 of 13 - Sale ²⁵
	100.000	1,798.98	08/27/21	1,560.00	...	238.98	12 of 13 - Sale ²⁵
	732.000	13,168.51	08/27/21	11,419.20	...	1,749.31	13 of 13 - Sale ²⁵
08/27/21	1,285.000	22,252.36	Various	19,407.34	...	2,845.02	Total of 13 transactions
NEWPARK RESOURCES, INC. / CUSIP: 651718504 / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	300.000	608.95	12/29/20	561.00	...	47.95	1 of 2 - Sale ²⁵
	300.000	608.95	12/29/20	561.00	...	47.95	2 of 2 - Sale ²⁵
01/04/21	600.000	1,217.90	Various	1,122.00	...	95.90	Total of 2 transactions
03/19/21	1,000.000	3,291.96	03/18/21	3,300.00	...	-8.04	Sale ²⁵
11 transactions for 05/25/21. Total proceeds and cost reported to the IRS.							
	20.000	65.80	05/24/21	63.80	...	2.00	1 of 11 - Sale ²⁵
	52.000	171.07	05/24/21	165.88	...	5.19	2 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NEWPARK RESOURCES, INC. / CUSIP: 651718504 / Symbol: (cont'd)							
	79.000	259.90	05/24/21	252.01	...	7.89	3 of 11 - Sale ²⁵
	100.000	328.98	05/24/21	319.00	...	9.98	4 of 11 - Sale ²⁵
	100.000	328.99	05/24/21	319.00	...	9.99	5 of 11 - Sale ²⁵
	100.000	328.99	05/24/21	319.00	...	9.99	6 of 11 - Sale ²⁵
	100.000	328.99	05/24/21	319.00	...	9.99	7 of 11 - Sale ²⁵
	100.000	328.99	05/24/21	319.00	...	9.99	8 of 11 - Sale ²⁵
	100.000	328.99	05/24/21	319.00	...	9.99	9 of 11 - Sale ²⁵
	121.000	398.07	05/24/21	385.99	...	12.08	10 of 11 - Sale ²⁵
	248.000	815.88	05/24/21	791.12	...	24.76	11 of 11 - Sale ²⁵
05/25/21	1,120.000	3,684.65	Various	3,572.80	...	111.85	Total of 11 transactions
	11 transactions for 10/12/21. Total proceeds and cost reported to the IRS.						
	7.000	25.14	10/11/21	25.13	...	0.01	1 of 11 - Sale ²⁵
	19.000	68.23	10/11/21	68.21	...	0.02	2 of 11 - Sale ²⁵
	48.000	172.38	10/11/21	172.32	...	0.06	3 of 11 - Sale ²⁵
	61.000	219.07	10/11/21	218.99	...	0.08	4 of 11 - Sale ²⁵
	73.000	262.16	10/11/21	262.07	...	0.09	5 of 11 - Sale ²⁵
	79.000	283.71	10/11/21	283.61	...	0.10	6 of 11 - Sale ²⁵
	81.000	290.89	10/11/21	290.79	...	0.10	7 of 11 - Sale ²⁵
	100.000	359.13	10/11/21	359.00	...	0.13	8 of 11 - Sale ²⁵
	112.000	402.22	10/11/21	402.08	...	0.14	9 of 11 - Sale ²⁵
	200.000	718.25	10/11/21	718.00	...	0.25	10 of 11 - Sale ²⁵
	500.000	1,795.63	10/11/21	1,795.00	...	0.63	11 of 11 - Sale ²⁵
10/12/21	1,280.000	4,596.81	Various	4,595.20	...	1.61	Total of 11 transactions
12/09/21	1,850.000	5,272.62	12/08/21	5,456.02	...	-183.40	Sale ²⁵
	Security total:	18,063.94		18,046.02	...	17.92	
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
05/27/21	6.000	3,765.52	05/26/21	3,763.86	...	1.66	Sale ²⁵
NUVERRA ENVIRONMENTAL SOLUTION S, INC. COMMON STOCK / CUSIP: 67091K302 / Symbol:							
	2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.						
	1,003.000	2,211.48	12/13/21	3,109.30	...	-897.82	1 of 2 - Sale ²⁵
	797.000	1,757.28	12/13/21	2,470.70	...	-713.42	2 of 2 - Sale ²⁵
12/13/21	1,800.000	3,968.76	Various	5,580.00	...	-1,611.24	Total of 2 transactions

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
06/21/21	100.000	675.08	06/18/21	641.00	...	34.08	Sale ²⁵
ODONATE THERAPEUTICS INC COMMO N STOCK / CUSIP: 676079106 / Symbol:							
04/14/21	285.000	968.96	04/07/21	1,311.00	342.04 W	0.00	Sale ²⁵
	4 transactions for 04/20/21. Total proceeds and cost reported to the IRS.						
	113.000	374.01	04/07/21	663.58	...	-289.57	1 of 4 - Sale ²⁵
	102.000	337.61	04/07/21	591.61	...	-254.00	2 of 4 - Sale ²⁵
	81.000	268.10	04/13/21	466.77	...	-198.67	3 of 4 - Sale ²⁵
	104.000	344.22	04/13/21	474.49	...	-130.27	4 of 4 - Sale ²⁵
04/20/21	400.000	1,323.94	Various	2,196.45	...	-872.51	Total of 4 transactions
	2 transactions for 04/20/21. Total proceeds and cost reported to the IRS.						
	183.000	608.94	04/07/21	1,061.43	200.28 W	-252.21	1 of 2 - Sale ²⁵
	317.000	1,054.84	04/07/21	1,458.20	403.36 W	0.00	2 of 2 - Sale ²⁵
04/20/21	500.000	1,663.78	Various	2,519.63	603.64 W	-252.21	Total of 2 transactions
06/01/21	100.000	355.99	04/13/21	456.25	...	-100.26	Sale ²⁵
	Security total:	4,312.67		6,483.33	945.68 W	-1,224.98	
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
02/22/21	250.000	397.47	02/08/21	256.25	...	141.22	Sale ²⁵
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol:							
06/01/21	1,000.000	6,389.84	06/01/21	5,550.00	...	839.84	Sale ²⁵
ORPHAZYME A/S AMERICAN DEPOSIT ARY SHARES / CUSIP: 687305102 / Symbol:							
	4 transactions for 06/29/21. Total proceeds and cost reported to the IRS.						
	30.000	231.90	06/25/21	230.89	...	1.01	1 of 4 - Sale ²⁵
	100.000	772.98	06/25/21	769.63	...	3.35	2 of 4 - Sale ²⁵
	40.000	309.19	06/25/21	305.70	...	3.49	3 of 4 - Sale ²⁵
	670.000	5,179.00	06/25/21	5,156.52	...	22.48	4 of 4 - Sale ²⁵
06/29/21	840.000	6,493.07	Various	6,462.74	...	30.33	Total of 4 transactions

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PALTALK, INC. COMMON STOCK / CUSIP: 69764K106 / Symbol:							
<i>7 transactions for 09/29/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	240.00	09/29/21	179.00	...	61.00	1 of 7 - Sale ²⁵
	25.000	300.00	09/29/21	223.75	...	76.25	2 of 7 - Sale ²⁵
	50.000	599.99	09/29/21	447.50	...	152.49	3 of 7 - Sale ²⁵
	61.000	731.98	09/29/21	545.95	...	186.03	4 of 7 - Sale ²⁵
	174.000	2,087.96	09/29/21	1,557.30	...	530.66	5 of 7 - Sale ²⁵
	180.000	2,159.96	09/29/21	1,611.00	...	548.96	6 of 7 - Sale ²⁵
	590.000	7,079.89	09/29/21	5,280.50	...	1,799.39	7 of 7 - Sale ²⁵
09/29/21	1,100.000	13,199.78	Various	9,845.00	...	3,354.78	Total of 7 transactions
PDS BIOTECHNOLOGY CORPORATION COMMON STOCK / CUSIP: 70465T107 / Symbol:							
10/05/21	890.000	12,915.41	09/29/21	13,332.20	...	-416.79	Sale ²⁵
<i>5 transactions for 12/16/21. Total proceeds and cost reported to the IRS.</i>							
	206.000	1,810.70	12/13/21	1,880.78	...	-70.08	1 of 5 - Sale ²⁵
	200.000	1,757.97	12/13/21	1,826.00	...	-68.03	2 of 5 - Sale ²⁵
	18.000	158.22	12/13/21	164.34	...	-6.12	3 of 5 - Sale ²⁵
	3.000	26.37	12/13/21	27.39	...	-1.02	4 of 5 - Sale ²⁵
	3.000	26.37	12/13/21	27.39	...	-1.02	5 of 5 - Sale ²⁵
12/16/21	430.000	3,779.63	Various	3,925.90	...	-146.27	Total of 5 transactions
	Security total:	16,695.04		17,258.10	...	-563.06	
PETVIVO HOLDINGS, INC. COMMON STOCK / CUSIP: 716817408 / Symbol:							
<i>2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.</i>							
	1,128.000	4,568.24	12/09/21	5,233.92	...	-665.68	1 of 2 - Sale ²⁵
	70.000	283.49	12/09/21	315.47	...	-31.98	2 of 2 - Sale ²⁵
12/10/21	1,198.000	4,851.73	Various	5,549.39	...	-697.66	Total of 2 transactions
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X203 / Symbol:							
<i>7 transactions for 06/07/21. Total proceeds and cost reported to the IRS.</i>							
	21.000	174.30	06/07/21	164.85	...	9.45	1 of 7 - Sale ²⁵
	79.000	655.68	06/07/21	620.15	...	35.53	2 of 7 - Sale ²⁵
	100.000	829.98	06/07/21	785.00	...	44.98	3 of 7 - Sale ²⁵
	100.000	829.98	06/07/21	785.00	...	44.98	4 of 7 - Sale ²⁵
	100.000	829.98	06/07/21	785.00	...	44.98	5 of 7 - Sale ²⁵

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X203 / Symbol: (cont'd)							
	100.000	829.98	06/07/21	785.00	...	44.98	6 of 7 - Sale ²⁵
	300.000	2,489.94	06/07/21	2,355.00	...	134.94	7 of 7 - Sale ²⁵
06/07/21	800.000	6,639.84	Various	6,280.00	...	359.84	Total of 7 transactions
RADIUS HEALTH, INC. COMMON STO CK / CUSIP: 750469207 / Symbol:							
2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	290.000	5,776.74	10/20/21	6,092.90	...	-316.16	1 of 2 - Sale ²⁵
	65.000	1,294.13	10/20/21	1,365.65	...	-71.52	2 of 2 - Sale ²⁵
10/20/21	355.000	7,070.87	Various	7,458.55	...	-387.68	Total of 2 transactions
RESONANT INC. COMMON STOCK / CUSIP: 76118L102 / Symbol:							
02/26/21	154.000	802.31	02/10/21	1,101.10	298.79 W	0.00	Sale ²⁵
03/03/21	200.000	1,058.65	02/10/21	1,430.00	371.35 W	0.00	Sale ²⁵
9 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	8.000	36.96	02/12/21	67.68	...	-30.72	1 of 9 - Sale ²⁵
	7.000	32.34	02/12/21	58.64	...	-26.30	2 of 9 - Sale ²⁵
	42.000	194.03	02/22/21	343.00	...	-148.97	3 of 9 - Sale ²⁵
	20.000	92.40	02/22/21	163.33	...	-70.93	4 of 9 - Sale ²⁵
	11.000	50.82	02/25/21	76.06	...	-25.24	5 of 9 - Sale ²⁵
	84.000	399.13	03/12/21	736.29	...	-337.16	6 of 9 - Sale ²⁵
	120.000	554.38	03/12/21	813.82	...	-259.44	7 of 9 - Sale ²⁵
	66.000	313.60	03/12/21	492.04	...	-178.44	8 of 9 - Sale ²⁵
	80.000	369.58	03/12/21	394.00	...	-24.42	9 of 9 - Sale ²⁵
03/16/21	438.000	2,043.24	Various	3,144.86	...	-1,101.62	Total of 9 transactions
2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	66.000	304.91	02/10/21	471.90	166.99 W	0.00	1 of 2 - Sale ²⁵
	146.000	674.50	02/12/21	1,235.19	322.59 W	-238.10	2 of 2 - Sale ²⁵
03/16/21	212.000	979.41	Various	1,707.09	489.58 W	-238.10	Total of 2 transactions
Security total: 4,883.61 7,383.05 1,159.72 W -1,339.72							
ROMEO POWER, INC. / CUSIP: 776153108 / Symbol:							
15 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	1.000	9.60	04/06/21	8.74	...	0.86	1 of 15 - Sale ²⁵
	3.000	28.80	04/06/21	26.22	...	2.58	2 of 15 - Sale ²⁵

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ROMEO POWER, INC. / CUSIP: 776153108 / Symbol: (cont'd)							
	3.000	28.80	04/06/21	26.22	...	2.58	3 of 15 - Sale ²⁵
	5.000	48.00	04/06/21	43.70	...	4.30	4 of 15 - Sale ²⁵
	5.000	48.00	04/06/21	43.70	...	4.30	5 of 15 - Sale ²⁵
	14.000	134.40	04/06/21	122.36	...	12.04	6 of 15 - Sale ²⁵
	25.000	239.99	04/06/21	218.50	...	21.49	7 of 15 - Sale ²⁵
	29.000	278.39	04/06/21	253.46	...	24.93	8 of 15 - Sale ²⁵
	32.000	307.19	04/06/21	279.68	...	27.51	9 of 15 - Sale ²⁵
	35.000	335.99	04/06/21	305.90	...	30.09	10 of 15 - Sale ²⁵
	45.000	431.99	04/06/21	393.30	...	38.69	11 of 15 - Sale ²⁵
	46.000	441.59	04/06/21	402.04	...	39.55	12 of 15 - Sale ²⁵
	65.000	623.99	04/06/21	568.10	...	55.89	13 of 15 - Sale ²⁵
	75.000	719.99	04/06/21	655.50	...	64.49	14 of 15 - Sale ²⁵
	97.000	931.18	04/06/21	847.78	...	83.40	15 of 15 - Sale ²⁵
04/06/21	480.000	4,607.90	Various	4,195.20	...	412.70	Total of 15 transactions
ROSS STORES, INC. COMMON STOCK / CUSIP: 778296103 / Symbol:							
05/21/21	29.000	3,568.14	05/20/21	3,564.39	...	3.75	Sale ²⁵
SEMILEDS CORPORATION COMMON STOCK / CUSIP: 816645204 / Symbol:							
2 transactions for 05/25/21. Total proceeds and cost reported to the IRS.							
	300.000	2,808.73	05/25/21	3,057.00	...	-248.27	1 of 2 - Sale ²⁵
	40.000	374.49	05/25/21	402.80	...	-28.31	2 of 2 - Sale ²⁵
05/25/21	340.000	3,183.22	Various	3,459.80	...	-276.58	Total of 2 transactions
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
7 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	23.000	72.91	03/03/21	72.45	...	0.46	1 of 7 - Sale ²⁵
	5.000	15.85	03/04/21	14.00	...	1.85	2 of 7 - Sale ²⁵
	5.000	15.85	03/04/21	14.00	...	1.85	3 of 7 - Sale ²⁵
	33.000	104.60	03/04/21	89.41	...	15.19	4 of 7 - Sale ²⁵
	50.000	158.50	03/04/21	139.98	...	18.52	5 of 7 - Sale ²⁵
	74.000	234.57	03/04/21	207.18	...	27.39	6 of 7 - Sale ²⁵
	102.000	323.33	03/04/21	285.57	...	37.76	7 of 7 - Sale ²⁵
03/15/21	292.000	925.61	Various	822.59	...	103.02	Total of 7 transactions
03/15/21	328.000	1,039.71	03/03/21	1,069.28	21.28 W	-8.29	Sale ²⁵

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol: (cont'd)							
Security total:		1,965.32		1,891.87		21.28 W	94.73
SOCIETY PASS INCORPORATED COMM ON STOCK / CUSIP: 83370P102 / Symbol:							
2 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	257.000	2,665.04	12/20/21	2,827.00	...	-161.96	1 of 2 - Sale ²⁵
	143.000	1,482.88	12/20/21	1,573.00	...	-90.12	2 of 2 - Sale ²⁵
12/20/21	400.000	4,147.92	Various	4,400.00	...	-252.08	Total of 2 transactions
SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol:							
4 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	100.000	778.98	10/13/21	724.00	...	54.98	1 of 4 - Sale ²⁵
	100.000	778.98	10/13/21	724.00	...	54.98	2 of 4 - Sale ²⁵
	100.000	778.99	10/13/21	724.00	...	54.99	3 of 4 - Sale ²⁵
	200.000	1,557.97	10/13/21	1,448.00	...	109.97	4 of 4 - Sale ²⁵
10/14/21	500.000	3,894.92	Various	3,620.00	...	274.92	Total of 4 transactions
4 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	100.000	785.98	10/19/21	759.00	...	26.98	1 of 4 - Sale ²⁵
	200.000	1,571.97	10/19/21	1,518.00	...	53.97	2 of 4 - Sale ²⁵
	300.000	2,357.95	10/19/21	2,277.00	...	80.95	3 of 4 - Sale ²⁵
	360.000	2,829.55	10/19/21	2,732.40	...	97.15	4 of 4 - Sale ²⁵
10/20/21	960.000	7,545.45	Various	7,286.40	...	259.05	Total of 4 transactions
4 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	300.000	1,019.96	12/20/21	990.00	...	29.96	1 of 4 - Sale ²⁵
	300.000	1,019.96	12/20/21	990.00	...	29.96	2 of 4 - Sale ²⁵
	350.000	1,189.95	12/20/21	1,155.00	...	34.95	3 of 4 - Sale ²⁵
	400.000	1,359.94	12/20/21	1,320.00	...	39.94	4 of 4 - Sale ²⁵
12/21/21	1,350.000	4,589.81	Various	4,455.00	...	134.81	Total of 4 transactions
Security total:		16,030.18		15,361.40	...	668.78	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
05/12/21	25.000	173.00	05/07/21	181.63	...	-8.63	Sale ²⁵
05/12/21	130.000	899.57	05/04/21	1,105.00	15.80 W	-189.63	Sale ²⁵
Security total:		1,072.57		1,286.63	15.80 W	-198.26	

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Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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VERTEX ENERGY, INC COMMON STOC K / CUSIP: 92534K107 / Symbol:							
6 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	100.000	664.98	05/27/21	480.00	...	184.98	1 of 6 - Sale ²⁵
	100.000	664.98	05/27/21	475.00	...	189.98	2 of 6 - Sale ²⁵
	100.000	670.48	05/27/21	475.00	...	195.48	3 of 6 - Sale ²⁵
	200.000	1,329.97	05/27/21	950.00	...	379.97	4 of 6 - Sale ²⁵
	200.000	1,329.97	05/27/21	950.00	...	379.97	5 of 6 - Sale ²⁵
	200.000	1,329.97	05/27/21	950.00	...	379.97	6 of 6 - Sale ²⁵
05/28/21	900.000	5,990.35	Various	4,280.00	...	1,710.35	Total of 6 transactions
VOYAGER THERAPEUTICS, INC. COM MON STOCK / CUSIP: 92915B106 / Symbol:							
2 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	500.000	1,899.93	10/06/21	2,000.00	...	-100.07	1 of 2 - Sale ²⁵
	400.000	1,519.94	10/06/21	1,600.00	...	-80.06	2 of 2 - Sale ²⁵
10/08/21	900.000	3,419.87	Various	3,600.00	...	-180.13	Total of 2 transactions
2 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	201.000	763.77	10/06/21	804.00	40.23 W	0.00	1 of 2 - Sale ²⁵
	699.000	2,656.10	10/06/21	2,796.00	139.90 W	0.00	2 of 2 - Sale ²⁵
10/08/21	900.000	3,419.87	Various	3,600.00	180.13 W	0.00	Total of 2 transactions
6 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	366.000	1,669.57	10/12/21	1,921.55	...	-251.98	1 of 6 - Sale ²⁵
	233.000	1,062.86	10/12/21	1,223.28	...	-160.42	2 of 6 - Sale ²⁵
	100.000	456.16	10/12/21	525.02	...	-68.86	3 of 6 - Sale ²⁵
	100.000	456.16	10/12/21	525.01	...	-68.85	4 of 6 - Sale ²⁵
	67.000	305.63	10/12/21	351.76	...	-46.13	5 of 6 - Sale ²⁵
	34.000	155.10	10/12/21	178.51	...	-23.41	6 of 6 - Sale ²⁵
10/13/21	900.000	4,105.48	Various	4,725.13	...	-619.65	Total of 6 transactions
	Security total:	10,945.22		11,925.13	180.13 W	-799.78	
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	100.000	205.98	08/27/20	262.00	...	-56.02	1 of 2 - Sale ²⁵
	290.000	597.35	11/16/20	493.00	...	104.35	2 of 2 - Sale ²⁵
01/25/21	390.000	803.33	Various	755.00	...	48.33	Total of 2 transactions

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	52.000	124.35	01/15/21	50.23	...	74.12	1 of 2 - Sale ²⁵
	320.000	765.23	01/28/21	313.60	...	451.63	2 of 2 - Sale ²⁵
02/08/21	372.000	889.58	Various	363.83	...	525.75	Total of 2 transactions
AGRIFORCE GROWING SYSTEMS LTD. COMMON SHARES / CUSIP: C00948106 / Symbol:							
4 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	79.000	417.90	10/27/21	406.85	...	11.05	1 of 4 - Sale ²⁵
	100.000	510.98	10/27/21	465.00	...	45.98	2 of 4 - Sale ²⁵
	921.000	4,853.53	10/27/21	4,743.15	...	110.38	3 of 4 - Sale ²⁵
	900.000	4,598.86	10/27/21	4,185.00	...	413.86	4 of 4 - Sale ²⁵
10/27/21	2,000.000	10,381.27	Various	9,800.00	...	581.27	Total of 4 transactions
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
10/19/21	53.000	623.05	10/15/21	585.65	...	37.40	Sale ²⁵
8 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	55.000	598.39	10/21/21	620.97	...	-22.58	1 of 8 - Sale ²⁵
	55.000	598.39	10/21/21	613.26	...	-14.87	2 of 8 - Sale ²⁵
	100.000	1,087.98	10/21/21	1,102.00	...	-14.02	3 of 8 - Sale ²⁵
	45.000	489.59	10/21/21	501.76	...	-12.17	4 of 8 - Sale ²⁵
	25.000	271.99	10/21/21	282.26	...	-10.27	5 of 8 - Sale ²⁵
	20.000	217.60	10/21/21	225.80	...	-8.20	6 of 8 - Sale ²⁵
	45.000	489.59	10/21/21	495.90	...	-6.31	7 of 8 - Sale ²⁵
	30.000	326.39	10/21/21	330.60	...	-4.21	8 of 8 - Sale ²⁵
10/22/21	375.000	4,079.92	Various	4,172.55	...	-92.63	Total of 8 transactions
5 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	20.000	217.60	10/21/21	223.00	5.40 W	0.00	1 of 5 - Sale ²⁵
	25.000	272.00	10/21/21	278.76	6.76 W	0.00	2 of 5 - Sale ²⁵
	55.000	598.39	10/21/21	613.26	14.87 W	0.00	3 of 5 - Sale ²⁵
	100.000	1,088.98	10/21/21	1,102.00	13.02 W	0.00	4 of 5 - Sale ²⁵
	100.000	1,088.98	10/21/21	1,102.00	13.02 W	0.00	5 of 5 - Sale ²⁵
10/22/21	300.000	3,265.95	Various	3,319.02	53.07 W	0.00	Total of 5 transactions
	Security total:	7,968.92		8,077.22	53.07 W	-55.23	

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2021 1099-B* OMB No. 1545-0715

(continued)

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JIUZI HOLDINGS, INC. ORDINARY SHARES / CUSIP: G51400102 / Symbol:							
<i>3 transactions for 09/29/21. Total proceeds and cost reported to the IRS.</i>							
	2,432.000	6,809.27	09/29/21	7,174.40	...	-365.13	1 of 3 - Sale ²⁵
	631.000	1,766.72	09/29/21	1,861.45	...	-94.73	2 of 3 - Sale ²⁵
	437.000	1,223.54	09/29/21	1,289.15	...	-65.61	3 of 3 - Sale ²⁵
09/29/21	3,500.000	9,799.53	Various	10,325.00	...	-525.47	Total of 3 transactions
ITERUM THERAPEUTICS PLC ORDINARY SHARE / CUSIP: G6333L101 / Symbol:							
04/01/21	600.000	887.92	03/18/21	1,013.22	125.30 W	0.00	Sale ²⁵
04/06/21	434.000	629.24	04/05/21	706.22	...	-76.98	Sale ²⁵
04/06/21	55.000	79.74	03/18/21	92.88	11.95 W	-1.19	Sale ²⁵
<i>3 transactions for 05/28/21. Total proceeds and cost reported to the IRS.</i>							
	66.000	92.39	04/05/21	107.40	...	-15.01	1 of 3 - Sale ²⁵
	100.000	139.99	04/09/21	154.38	...	-14.39	2 of 3 - Sale ²⁵
	50.000	69.99	04/09/21	78.70	...	-8.71	3 of 3 - Sale ²⁵
05/28/21	216.000	302.37	Various	340.48	...	-38.11	Total of 3 transactions
	Security total:	1,899.27		2,152.80	137.25 W	-116.28	
SOCIAL CAPITAL HEDOSOPHIA HOLDINGS CORP. III / CUSIP: G8251K107 / Symbol:							
<i>2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	483.00	01/05/21	454.50	...	28.50	1 of 2 - Sale ²⁵
	70.000	1,126.96	01/05/21	1,060.50	...	66.46	2 of 2 - Sale ²⁵
01/07/21	100.000	1,609.96	Various	1,515.00	...	94.96	Total of 2 transactions
THUNDER BRIDGE ACQUISITION II, LTD. CLASS A ORDINARY SHARES / CUSIP: G8857S116 / Symbol:							
<i>2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.</i>							
	24.000	303.95	01/25/21	294.48	...	9.47	1 of 2 - Sale ²⁵
	42.000	531.72	01/25/21	521.03	...	10.69	2 of 2 - Sale ²⁵
02/03/21	66.000	835.67	Various	815.51	...	20.16	Total of 2 transactions
02/03/21	51.000	645.89	01/22/21	652.80	5.69 W	-1.22	Sale ²⁵
	Security total:	1,481.56		1,468.31	5.69 W	18.94	

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(continued)

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UTIME LIMITED ORDINARY SHARES / CUSIP: G9411M108 / Symbol:							
2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	10.000	504.00	04/09/21	499.00	...	5.00	1 of 2 - Sale ²⁵
	24.000	1,209.59	04/12/21	1,103.52	...	106.07	2 of 2 - Sale ²⁵
04/13/21	34.000	1,713.59	Various	1,602.52	...	111.07	Total of 2 transactions
ZHONGCHAO INC. CLASS A ORDINARY SHARES / CUSIP: G9897X107 / Symbol:							
4 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
	300.000	899.95	03/17/21	1,005.00	...	-105.05	1 of 4 - Sale ²⁵
	228.000	683.96	03/17/21	763.80	...	-79.84	2 of 4 - Sale ²⁵
	117.000	350.99	03/17/21	391.95	...	-40.96	3 of 4 - Sale ²⁵
	5.000	15.00	03/17/21	16.75	...	-1.75	4 of 4 - Sale ²⁵
03/17/21	650.000	1,949.90	Various	2,177.50	...	-227.60	Total of 4 transactions
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol:							
01/27/21	90.000	313.19	01/07/21	243.00	...	70.19	Sale ²⁵
ENTERA BIO LTD. ORDINARY SHARE S / CUSIP: M40527109 / Symbol:							
06/24/21	1,200.000	7,199.82	06/23/21	9,552.00	...	-2,352.18	Sale ²⁵
GILAT SATELLITE NETWORKS LTD. ORDINARY SHARES / CUSIP: M51474118 / Symbol:							
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	10.000	95.07	02/08/21	183.11	...	-88.04	1 of 2 - Sale ²⁵
	350.000	3,327.46	05/25/21	3,212.86	...	114.60	2 of 2 - Sale ²⁵
05/26/21	360.000	3,422.53	Various	3,395.97	...	26.56	Total of 2 transactions
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
10 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	857.000	259.13	10/02/20	95.98	...	163.15	1 of 10 - Sale ²⁵
	143.000	43.24	10/14/20	16.09	...	27.15	2 of 10 - Sale ²⁵
	143.000	43.37	10/14/20	16.09	...	27.28	3 of 10 - Sale ²⁵
	857.000	259.90	10/14/20	96.41	...	163.49	4 of 10 - Sale ²⁵
	857.000	260.16	10/14/20	96.41	...	163.75	5 of 10 - Sale ²⁵
	1,000.000	302.37	10/14/20	112.50	...	189.87	6 of 10 - Sale ²⁵
	1,000.000	303.27	10/14/20	112.50	...	190.77	7 of 10 - Sale ²⁵

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(continued)

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NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	2,500.000	758.93	10/15/20	265.00	...	493.93	8 of 10 - Sale ²⁵
	643.000	195.20	10/19/20	57.87	...	137.33	9 of 10 - Sale ²⁵
	2,000.000	604.34	10/19/20	180.00	...	424.34	10 of 10 - Sale ²⁵
01/05/21	10,000.000	3,029.91	Various	1,048.85	...	1,981.06	Total of 10 transactions
	38 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	456.000	253.61	10/19/20	41.04	...	212.57	1 of 38 - Sale ²⁵
	75.000	41.71	12/02/20	162.83	...	-121.12	2 of 38 - Sale ²⁵
	112.000	62.29	12/02/20	151.27	...	-88.98	3 of 38 - Sale ²⁵
	95.000	52.84	12/02/20	115.79	...	-62.95	4 of 38 - Sale ²⁵
	37.000	20.58	12/02/20	71.53	...	-50.95	5 of 38 - Sale ²⁵
	60.000	33.37	12/02/20	83.65	...	-50.28	6 of 38 - Sale ²⁵
	50.000	27.81	12/02/20	71.81	...	-44.00	7 of 38 - Sale ²⁵
	25.000	13.90	12/02/20	54.27	...	-40.37	8 of 38 - Sale ²⁵
	35.000	19.47	12/02/20	56.33	...	-36.86	9 of 38 - Sale ²⁵
	218.000	121.25	12/02/20	157.85	...	-36.60	10 of 38 - Sale ²⁵
	27.000	15.02	12/02/20	50.72	...	-35.70	11 of 38 - Sale ²⁵
	40.000	22.25	12/02/20	52.88	...	-30.63	12 of 38 - Sale ²⁵
	38.000	21.13	12/02/20	50.26	...	-29.13	13 of 38 - Sale ²⁵
	32.000	17.80	12/02/20	42.29	...	-24.49	14 of 38 - Sale ²⁵
	26.000	14.46	12/02/20	37.95	...	-23.49	15 of 38 - Sale ²⁵
	28.000	15.57	12/02/20	37.84	...	-22.27	16 of 38 - Sale ²⁵
	28.000	15.57	12/02/20	37.01	...	-21.44	17 of 38 - Sale ²⁵
	31.000	17.24	12/02/20	37.79	...	-20.55	18 of 38 - Sale ²⁵
	24.000	13.35	12/02/20	33.43	...	-20.08	19 of 38 - Sale ²⁵
	30.000	16.68	12/02/20	36.34	...	-19.66	20 of 38 - Sale ²⁵
	25.000	13.90	12/02/20	33.05	...	-19.15	21 of 38 - Sale ²⁵
	20.000	11.12	12/02/20	29.19	...	-18.07	22 of 38 - Sale ²⁵
	13.000	7.23	12/02/20	24.37	...	-17.14	23 of 38 - Sale ²⁵
	22.000	12.24	12/02/20	29.06	...	-16.82	24 of 38 - Sale ²⁵
	18.000	10.01	12/02/20	26.30	...	-16.29	25 of 38 - Sale ²⁵
	12.000	6.67	12/02/20	22.53	...	-15.86	26 of 38 - Sale ²⁵
	70.000	38.93	12/02/20	50.69	...	-11.76	27 of 38 - Sale ²⁵
	12.000	6.67	12/02/20	15.85	...	-9.18	28 of 38 - Sale ²⁵
	11.000	6.12	12/02/20	14.87	...	-8.75	29 of 38 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	8.000	4.45	12/02/20	12.87	...	-8.42	30 of 38 - Sale ²⁵
	5.000	2.78	12/02/20	9.41	...	-6.63	31 of 38 - Sale ²⁵
	20.000	11.12	12/02/20	17.32	...	-6.20	32 of 38 - Sale ²⁵
	3.000	1.67	12/02/20	6.01	...	-4.34	33 of 38 - Sale ²⁵
	233.000	129.58	12/02/20	133.75	...	-4.17	34 of 38 - Sale ²⁵
	3.000	1.67	12/02/20	5.80	...	-4.13	35 of 38 - Sale ²⁵
	3.000	1.67	12/02/20	4.39	...	-2.72	36 of 38 - Sale ²⁵
	2.000	1.11	12/02/20	2.92	...	-1.81	37 of 38 - Sale ²⁵
	53.000	29.48	12/02/20	13.96	...	15.52	38 of 38 - Sale ²⁵
01/21/21	2,000.000	1,112.32	Various	1,835.22	...	-722.90	Total of 38 transactions
	16 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	2.000	1.80	12/02/20	1.15	...	0.65	1 of 16 - Sale ²⁵
	7.000	6.30	12/02/20	4.29	...	2.01	2 of 16 - Sale ²⁵
	21.000	18.90	12/02/20	12.05	...	6.85	3 of 16 - Sale ²⁵
	30.000	27.00	12/02/20	18.37	...	8.63	4 of 16 - Sale ²⁵
	34.000	30.60	12/02/20	21.33	...	9.27	5 of 16 - Sale ²⁵
	50.000	44.99	12/02/20	31.38	...	13.61	6 of 16 - Sale ²⁵
	30.000	26.99	12/02/20	12.88	...	14.11	7 of 16 - Sale ²⁵
	63.000	56.69	12/02/20	39.52	...	17.17	8 of 16 - Sale ²⁵
	63.000	56.69	12/02/20	39.52	...	17.17	9 of 16 - Sale ²⁵
	63.000	56.69	12/02/20	39.52	...	17.17	10 of 16 - Sale ²⁵
	50.000	44.99	12/02/20	27.39	...	17.60	11 of 16 - Sale ²⁵
	60.000	53.99	12/02/20	32.86	...	21.13	12 of 16 - Sale ²⁵
	60.000	53.99	12/02/20	25.77	...	28.22	13 of 16 - Sale ²⁵
	62.000	55.79	12/02/20	26.63	...	29.16	14 of 16 - Sale ²⁵
	166.000	149.38	12/02/20	87.24	...	62.14	15 of 16 - Sale ²⁵
	239.000	215.07	12/02/20	62.93	...	152.14	16 of 16 - Sale ²⁵
02/23/21	1,000.000	899.86	Various	482.83	...	417.03	Total of 16 transactions
	6 transactions for 03/02/21. Total proceeds and cost reported to the IRS.						
	3.000	3.18	12/02/20	1.24	...	1.94	1 of 6 - Sale ²⁵
	18.000	19.10	12/02/20	7.73	...	11.37	2 of 6 - Sale ²⁵
	24.000	25.46	12/02/20	5.76	...	19.70	3 of 6 - Sale ²⁵
	203.000	215.38	12/02/20	83.52	...	131.86	4 of 6 - Sale ²⁵
	334.000	354.36	12/02/20	137.76	...	216.60	5 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	374.000	396.81	12/02/20	101.12	...	295.69	6 of 6 - Sale ²⁵
03/02/21	956.000	1,014.29	Various	337.13	...	677.16	Total of 6 transactions
	5 transactions for 03/17/21. Total proceeds and cost reported to the IRS.						
	200.000	184.14	03/16/21	182.00	...	2.14	1 of 5 - Sale ²⁵
	300.000	276.20	03/16/21	273.00	...	3.20	2 of 5 - Sale ²⁵
	500.000	460.34	03/16/21	455.00	...	5.34	3 of 5 - Sale ²⁵
	500.000	460.34	03/16/21	455.00	...	5.34	4 of 5 - Sale ²⁵
	1,040.000	957.49	03/16/21	946.40	...	11.09	5 of 5 - Sale ²⁵
03/17/21	2,540.000	2,338.51	Various	2,311.40	...	27.11	Total of 5 transactions
	Security total:	8,394.89		6,015.43	...	2,379.46	
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
	2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.						
	645.000	644.92	01/19/21	223.17	...	421.75	1 of 2 - Sale ²⁵
	900.000	899.89	02/24/21	1,026.00	...	-126.11	2 of 2 - Sale ²⁵
03/02/21	1,545.000	1,544.81	Various	1,249.17	...	295.64	Total of 2 transactions
EQONEX LIMITED ORDINARY SHARES / CUSIP: Y2074E109 / Symbol:							
01/06/21	84.000	1,335.56	01/05/21	1,218.00	...	117.56	Sale ²⁵
Totals :		684,302.57		762,090.24	31,462.99 W	-46,324.68	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS EXP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
10/05/21	1.000	104.67	05/08/20	37.62	...	67.05	Sale ²⁵

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Robinhood Securities LLC

Account 477784169

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	4.000	42.76	10/20/21	82.26	...	-39.50	1 of 2 - Sale ²⁵
	2.000	21.38	10/20/21	51.62	...	-30.24	2 of 2 - Sale ²⁵
10/21/21	6.000	64.14	Various	133.88	...	-69.74	Total of 2 transactions
2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	2.000	21.38	05/11/20	51.94	30.56 W	0.00	1 of 2 - Sale ²⁵
	4.000	42.76	05/18/20	82.91	40.15 W	0.00	2 of 2 - Sale ²⁵
10/21/21	6.000	64.14	Various	134.85	70.71 W	0.00	Total of 2 transactions
	Security total:	128.28		268.73	70.71 W	-69.74	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460G831 / Symbol:							
10/05/21	1.000	53.43	06/05/20	88.64	...	-35.21	Sale ²⁵
Totals :		286.38		394.99	70.71 W	-37.90	

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Robinhood Securities LLC

Account 477784169

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
VALARIS LIMITED WARRANTS TO PURCHASE COMMON SHARES / CUSIP: G9460G119 / Symbol:							
05/10/21	0.781	1.34	05/01/21	18.83	...	-17.49	Gain or loss
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol:							
01/19/21	45.000	0.00	08/25/20	29.09	...	-29.09	Gain or loss ²⁵
Totals:		1.34		47.92	...	-46.58	

Robinhood Securities LLC

Account 477784169

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
COHEN & COMPANY INC	19249M102		08/27/21	0.25	Nondividend distribution	03
			11/30/21	0.25	Nondividend distribution	03
				0.50	Total Dividends & distributions	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES	25460G831		03/30/21	0.34	Nondividend distribution	03
INTEL CORPORATION COMMON STOCK	458140100		06/01/21	2.43	Qualified dividend	
				3.27	Total Dividends & distributions	

Robinhood Securities LLC

Account 477784169

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/22/21	-5.00	Margin interest paid	
		02/22/21	-5.00	Margin interest paid	
		03/23/21	-5.00	Margin interest paid	
		04/22/21	-5.00	Margin interest paid	
		05/24/21	-5.00	Margin interest paid	
		06/21/21	-5.00	Margin interest paid	
		07/21/21	-5.00	Margin interest paid	
			-35.00	Total Margin interest paid	
Margin charge		08/20/21	-5.00	Margin interest paid	
		09/20/21	-5.00	Margin interest paid	
		10/19/21	-5.00	Margin interest paid	
		11/18/21	-5.00	Margin interest paid	
		12/20/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			-60.00	Total Margin interest paid	

Robinhood Securities LLC

Account 477784169

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK	18914F103	01/07/21	200.00	0.00	Exchange	
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. III	G8251K107	01/08/21	-200.00	0.00	Exchange	
VALARIS LIMITED WARRANTS TO PURCHASE COMMON SHARES	G9460G119	05/03/21	18.00	0.00	Stock spinoff	
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG)	L7257P205	01/19/21	-45.00	0.00	Security deemed worthless	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.