

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 729304311	Statement Date: 02/11/2022 Document ID: QV7U S32 B6N3	2021
	Naveen kumar Matta 18211 Kelly Blvd 1634 Dallas, TX 75287 RECIPIENT'S TIN: XXX-XX-7780		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.82</p> <p>1b- Qualified dividends 0.82</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 50.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	304,722.53	307,350.89	0.00	298.48	-2,329.88
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	304,722.53	307,350.89	0.00	298.48	-2,329.88
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	304,722.53	307,350.89	0.00	298.48	-2,329.88
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 729304311

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.38
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABVC BIOPHARMA, INC. COMMON ST OCK / CUSIP: 00091F106 / Symbol:							
11/15/21	1,275.000	5,061.57	11/15/21	4,972.50	...	89.07	Sale ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	0.093	0.31	01/22/21	0.27	...	0.04	1 of 2 - Sale ²⁵
	103.000	347.62	01/22/21	299.73	...	47.89	2 of 2 - Sale ²⁵
01/22/21	103.093	347.93	Various	300.00	...	47.93	Total of 2 transactions
4 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	0.030	0.35	01/26/21	0.15	...	0.20	1 of 4 - Sale ²⁵
	0.095	1.10	01/26/21	0.46	...	0.64	2 of 4 - Sale ²⁵
	0.234	2.70	01/26/21	1.14	...	1.56	3 of 4 - Sale ²⁵
	183.766	2,123.35	01/26/21	898.25	...	1,225.10	4 of 4 - Sale ²⁵
01/28/21	184.125	2,127.50	Various	900.00	...	1,227.50	Total of 4 transactions

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Account 729304311

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
Security total:		2,475.43		1,200.00	...	1,275.43	
AETERNA ZENTARIS INC. COMMON S TOCK / CUSIP: 007975402 / Symbol:							
5 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
200.000		230.31	03/22/21	262.00	...	-31.69	1 of 5 - Sale ²⁵
200.000		230.31	03/22/21	262.00	...	-31.69	2 of 5 - Sale ²⁵
200.000		230.31	03/22/21	262.00	...	-31.69	3 of 5 - Sale ²⁵
200.000		230.32	03/22/21	262.00	...	-31.68	4 of 5 - Sale ²⁵
100.000		115.16	03/22/21	131.00	...	-15.84	5 of 5 - Sale ²⁵
03/26/21	900.000	1,036.41	Various	1,179.00	...	-142.59	Total of 5 transactions
AIKIDO PHARMA INC. COMMON STOC K / CUSIP: 008875106 / Symbol:							
6 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
4,344.112		7,341.30	02/08/21	7,341.55	...	-0.25	1 of 6 - Sale ²⁵
0.104		0.18	02/08/21	0.17	...	0.01	2 of 6 - Sale ²⁵
0.295		0.50	02/08/21	0.48	...	0.02	3 of 6 - Sale ²⁵
0.359		0.61	02/08/21	0.58	...	0.03	4 of 6 - Sale ²⁵
0.784		1.32	02/08/21	1.27	...	0.05	5 of 6 - Sale ²⁵
324.000		547.54	02/08/21	524.65	...	22.89	6 of 6 - Sale ²⁵
02/10/21	4,669.654	7,891.45	Various	7,868.70	...	22.75	Total of 6 transactions
ATLAS CREST INVESTMENT CORP. / CUSIP: 049284102 / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
0.561		9.15	02/17/21	8.63	...	0.52	1 of 2 - Sale ²⁵
5.997		97.87	02/17/21	92.34	...	5.53	2 of 2 - Sale ²⁵
02/19/21	6.558	107.02	Various	100.97	...	6.05	Total of 2 transactions
BEAM GLOBAL COMMON STOCK / CUSIP: 07373B109 / Symbol:							
03/26/21	30.000	1,208.54	03/17/21	1,322.10	...	-113.56	Sale ²⁵
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
4 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
0.020		0.16	01/11/21	0.12	...	0.04	1 of 4 - Sale ²⁵
0.875		7.23	01/11/21	5.20	...	2.03	2 of 4 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
	0.103	0.85	01/14/21	0.65	...	0.20	3 of 4 - Sale ²⁵
	157.878	1,304.02	01/14/21	999.35	...	304.67	4 of 4 - Sale ²⁵
01/21/21	158.875	1,312.26	Various	1,005.32	...	306.94	Total of 4 transactions
BROOKLYN IMMUNOTHERAPEUTICS, I NC. COMMON STOCK / CUSIP: 114082100 / Symbol:							
04/22/21	87.000	904.78	04/22/21	935.61	...	-30.83	Sale ²⁵
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
11/18/21	212.000	4,450.16	11/17/21	4,510.53	...	-60.37	Sale ²⁵
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.048	3.79	02/03/21	3.70	...	0.09	1 of 3 - Sale ²⁵
	0.128	10.24	02/03/21	9.97	...	0.27	2 of 3 - Sale ²⁵
	52.952	4,211.20	02/03/21	4,113.33	...	97.87	3 of 3 - Sale ²⁵
02/03/21	53.128	4,225.23	Various	4,127.00	...	98.23	Total of 3 transactions
02/23/21	17.000	862.70	02/22/21	1,047.71	...	-185.01	Sale ²⁵
	Security total:	5,087.93		5,174.71	...	-86.78	
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	0.573	4.43	01/22/21	4.03	...	0.40	1 of 2 - Sale ²⁵
	99.000	764.25	01/22/21	695.97	...	68.28	2 of 2 - Sale ²⁵
01/22/21	99.573	768.68	Various	700.00	...	68.68	Total of 2 transactions
02/01/21	0.142	1.00	01/28/21	1.00	...	0.00	Sale ²⁵
5 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	2,146.271	10,559.35	04/07/21	11,616.05	...	-1,056.70	1 of 5 - Sale ²⁵
	67.000	329.63	04/07/21	426.95	...	-97.32	2 of 5 - Sale ²⁵
	27.271	134.45	04/07/21	173.79	...	-39.34	3 of 5 - Sale ²⁵
	5.000	24.60	04/07/21	34.26	...	-9.66	4 of 5 - Sale ²⁵
	0.729	3.58	04/07/21	4.64	...	-1.06	5 of 5 - Sale ²⁵
04/08/21	2,246.271	11,051.61	Various	12,255.69	...	-1,204.08	Total of 5 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol: (cont'd)							
4 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	100.000	492.99	04/07/21	541.00	48.01 W	0.00	1 of 4 - Sale ²⁵
	99.271	489.40	04/07/21	584.72	95.32 W	0.00	2 of 4 - Sale ²⁵
	0.729	3.59	04/07/21	4.29	0.70 W	0.00	3 of 4 - Sale ²⁵
	5.000	24.65	04/07/21	31.85	7.20 W	0.00	4 of 4 - Sale ²⁵
04/08/21	205.000	1,010.63	Various	1,161.86	151.23 W	0.00	Total of 4 transactions
	Security total:	12,831.92		14,118.55	151.23 W	-1,135.40	
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.044	1.32	01/29/21	1.03	...	0.29	1 of 3 - Sale ²⁵
	1.267	37.94	01/29/21	29.70	...	8.24	2 of 3 - Sale ²⁵
	212.000	6,347.12	01/29/21	4,969.26	...	1,377.86	3 of 3 - Sale ²⁵
02/03/21	213.311	6,386.38	Various	4,999.99	...	1,386.39	Total of 3 transactions
02/23/21	17.000	546.91	02/22/21	1,020.00	...	-473.09	Sale ²⁵
	Security total:	6,933.29		6,019.99	...	913.30	
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
3 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	1,600.000	2,863.79	03/25/21	3,165.12	...	-301.33	1 of 3 - Sale ²⁵
	200.000	357.98	03/25/21	395.64	...	-37.66	2 of 3 - Sale ²⁵
	100.000	178.99	03/25/21	215.83	...	-36.84	3 of 3 - Sale ²⁵
03/26/21	1,900.000	3,400.76	Various	3,776.59	...	-375.83	Total of 3 transactions
03/26/21	100.000	178.99	03/25/21	197.00	18.01 W	0.00	Sale ²⁵
	Security total:	3,579.75		3,973.59	18.01 W	-375.83	
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
4 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	0.034	0.78	03/17/21	0.77	...	0.01	1 of 4 - Sale ²⁵
	0.407	9.35	03/17/21	9.21	...	0.14	2 of 4 - Sale ²⁵
	6.559	150.59	03/17/21	148.32	...	2.27	3 of 4 - Sale ²⁵
	70.441	1,614.57	03/17/21	1,593.04	...	21.53	4 of 4 - Sale ²⁵
03/23/21	77.441	1,775.29	Various	1,751.34	...	23.95	Total of 4 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DAVIDSTEA INC. COMMON STOCK / CUSIP: 238661102 / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	1,602.302	6,841.64	02/04/21	8,276.05	...	-1,434.41	1 of 2 - Sale ²⁵
	0.111	0.47	02/04/21	0.57	...	-0.10	2 of 2 - Sale ²⁵
02/08/21	1,602.413	6,842.11	Various	8,276.62	...	-1,434.51	Total of 2 transactions
EXPERIENCE INVESTMENT CORP. CLASS A COMMON STOCK / CUSIP: 30217C109 / Symbol:							
03/25/21	157.000	1,642.19	03/16/21	2,004.11	...	-361.92	Sale ²⁵
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
07/26/21	157.000	540.35	04/27/21	832.10	...	-291.75	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, INC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
3 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	500.000	1,025.03	03/30/21	970.00	...	55.03	1 of 3 - Sale ²⁵
	758.000	1,553.95	03/30/21	1,470.52	...	83.43	2 of 3 - Sale ²⁵
	3,942.000	8,081.38	03/30/21	7,647.48	...	433.90	3 of 3 - Sale ²⁵
03/30/21	5,200.000	10,660.36	Various	10,088.00	...	572.36	Total of 3 transactions
GEOVAX LABS, INC. COMMON STOCK / CUSIP: 373678507 / Symbol:							
11/16/21	960.000	4,521.46	11/16/21	5,088.00	...	-566.54	Sale ²⁵
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
01/28/21	232.708	2,627.20	01/25/21	2,922.81	...	-295.61	Sale ²⁵
INSIGNIA SYSTEMS, INC. COMMON STOCK / CUSIP: 45765Y204 / Symbol:							
10 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	600.000	4,919.90	04/07/21	5,484.00	...	-564.10	1 of 10 - Sale ²⁵
	100.000	819.98	04/07/21	914.00	...	-94.02	2 of 10 - Sale ²⁵
	100.000	819.98	04/07/21	914.00	...	-94.02	3 of 10 - Sale ²⁵
	100.000	819.98	04/07/21	914.00	...	-94.02	4 of 10 - Sale ²⁵
	100.000	819.98	04/07/21	914.00	...	-94.02	5 of 10 - Sale ²⁵
	100.000	819.98	04/07/21	914.00	...	-94.02	6 of 10 - Sale ²⁵
	100.000	819.98	04/07/21	914.00	...	-94.02	7 of 10 - Sale ²⁵
	100.000	820.98	04/07/21	914.00	...	-93.02	8 of 10 - Sale ²⁵
	100.000	820.98	04/07/21	914.00	...	-93.02	9 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 729304311

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INSIGNIA SYSTEMS, INC. COMMON STOCK / CUSIP: 45765Y204 / Symbol: (cont'd)							
	100.000	820.98	04/07/21	914.00	...	-93.02	10 of 10 - Sale ²⁵
04/07/21	1,500.000	12,302.72	Various	13,710.00	...	-1,407.28	Total of 10 transactions
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
<i>10 transactions for 04/05/21. Total proceeds and cost reported to the IRS.</i>							
	72.000	140.39	04/01/21	141.48	...	-1.09	1 of 10 - Sale ²⁵
	0.926	1.82	04/01/21	1.82	...	0.00	2 of 10 - Sale ²⁵
	169.000	329.53	04/01/21	324.48	...	5.05	3 of 10 - Sale ²⁵
	400.000	779.94	04/01/21	768.00	...	11.94	4 of 10 - Sale ²⁵
	528.000	1,029.53	04/01/21	1,013.76	...	15.77	5 of 10 - Sale ²⁵
	700.000	1,364.91	04/01/21	1,344.00	...	20.91	6 of 10 - Sale ²⁵
	900.000	1,754.88	04/01/21	1,728.00	...	26.88	7 of 10 - Sale ²⁵
	1,131.000	2,205.30	04/01/21	2,171.52	...	33.78	8 of 10 - Sale ²⁵
	1,300.000	2,534.83	04/01/21	2,496.00	...	38.83	9 of 10 - Sale ²⁵
	1,472.000	2,870.20	04/01/21	2,826.24	...	43.96	10 of 10 - Sale ²⁵
04/05/21	6,672.926	13,011.33	Various	12,815.30	...	196.03	Total of 10 transactions
JIAYIN GROUP INC. AMERICAN DEP OSITARY SHARES / CUSIP: 47737C104 / Symbol:							
<i>2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.</i>							
	95.000	876.83	03/18/21	1,007.76	...	-130.93	1 of 2 - Sale ²⁵
	5.000	46.15	03/18/21	53.04	...	-6.89	2 of 2 - Sale ²⁵
03/18/21	100.000	922.98	Various	1,060.80	...	-137.82	Total of 2 transactions
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
04/01/21	337.000	12,809.26	03/31/21	12,064.60	...	744.66	Sale ²⁵
KE HOLDINGS INC. AMERICAN DEPO SITARY SHARES (EACH REPRESENTI NG THREE CLASS A ORDINARY SHAR / CUSIP: 482497104 / Symbol:							
11/10/21	52.000	1,118.50	11/09/21	1,038.96	...	79.54	Sale ²⁵
KINTARA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 49720K101 / Symbol:							
02/10/21	191.710	547.45	02/09/21	500.00	...	47.45	Sale ²⁵

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Robinhood Securities LLC

Account 729304311

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LEXARIA BIOSCIENCE CORP. COMMO N STOCK / CUSIP: 52886N406 / Symbol:							
7 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	1.000	7.45	05/06/21	7.24	...	0.21	1 of 7 - Sale ²⁵
	133.000	990.83	05/06/21	962.92	...	27.91	2 of 7 - Sale ²⁵
	251.000	1,869.91	05/06/21	1,817.24	...	52.67	3 of 7 - Sale ²⁵
	300.000	2,234.94	05/06/21	2,172.00	...	62.94	4 of 7 - Sale ²⁵
	367.000	2,734.09	05/06/21	2,657.08	...	77.01	5 of 7 - Sale ²⁵
	398.000	2,965.03	05/06/21	2,881.52	...	83.51	6 of 7 - Sale ²⁵
	950.000	7,077.35	05/06/21	6,878.00	...	199.35	7 of 7 - Sale ²⁵
05/06/21	2,400.000	17,879.60	Various	17,376.00	...	503.60	Total of 7 transactions
LIQUID MEDIA GROUP LTD. COMMON SHARES / CUSIP: 53634Q204 / Symbol:							
10 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	47.000	205.38	03/31/21	183.30	...	22.08	1 of 10 - Sale ²⁵
	50.000	218.49	03/31/21	195.00	...	23.49	2 of 10 - Sale ²⁵
	133.000	581.19	03/31/21	518.70	...	62.49	3 of 10 - Sale ²⁵
	200.000	873.97	03/31/21	780.00	...	93.97	4 of 10 - Sale ²⁵
	300.000	1,310.96	03/31/21	1,170.00	...	140.96	5 of 10 - Sale ²⁵
	300.000	1,310.96	03/31/21	1,170.00	...	140.96	6 of 10 - Sale ²⁵
	367.000	1,603.73	03/31/21	1,431.30	...	172.43	7 of 10 - Sale ²⁵
	400.000	1,747.94	03/31/21	1,560.00	...	187.94	8 of 10 - Sale ²⁵
	403.000	1,761.06	03/31/21	1,571.70	...	189.36	9 of 10 - Sale ²⁵
	500.000	2,184.92	03/31/21	1,950.00	...	234.92	10 of 10 - Sale ²⁵
03/31/21	2,700.000	11,798.60	Various	10,530.00	...	1,268.60	Total of 10 transactions
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK / CUSIP: 565788106 / Symbol:							
4 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	0.609	32.91	04/08/21	30.65	...	2.26	1 of 4 - Sale ²⁵
	0.704	38.24	04/08/21	35.44	...	2.80	2 of 4 - Sale ²⁵
	25.000	1,357.49	04/08/21	1,258.50	...	98.99	3 of 4 - Sale ²⁵
	214.296	11,636.17	04/08/21	10,787.65	...	848.52	4 of 4 - Sale ²⁵
04/12/21	240.609	13,064.81	Various	12,112.24	...	952.57	Total of 4 transactions
MARKER THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 57055L107 / Symbol:							
03/22/21	480.000	1,334.33	03/19/21	1,056.00	...	278.33	Sale ²⁵

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Account 729304311

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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MEDICENNA THERAPEUTICS CORP. C OMMON SHARES / CUSIP: 58490H107 / Symbol:							
<i>9 transactions for 05/07/21. Total proceeds and cost reported to the IRS.</i>							
	2,000.000	7,418.32	05/07/21	8,080.00	...	-661.68	1 of 9 - Sale ²⁵
	600.000	2,225.50	05/07/21	2,424.00	...	-198.50	2 of 9 - Sale ²⁵
	600.000	2,225.50	05/07/21	2,424.00	...	-198.50	3 of 9 - Sale ²⁵
	500.000	1,854.58	05/07/21	2,020.00	...	-165.42	4 of 9 - Sale ²⁵
	200.000	741.83	05/07/21	808.00	...	-66.17	5 of 9 - Sale ²⁵
	200.000	741.83	05/07/21	808.00	...	-66.17	6 of 9 - Sale ²⁵
	163.000	604.59	05/07/21	658.52	...	-53.93	7 of 9 - Sale ²⁵
	100.000	370.92	05/07/21	404.00	...	-33.08	8 of 9 - Sale ²⁵
	82.000	304.15	05/07/21	331.28	...	-27.13	9 of 9 - Sale ²⁵
05/07/21	4,445.000	16,487.22	Various	17,957.80	...	-1,470.58	Total of 9 transactions
MOSYS, INC. COMMON STOCK / CUSIP: 619718307 / Symbol:							
<i>7 transactions for 04/12/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	469.99	04/12/21	460.00	...	9.99	1 of 7 - Sale ²⁵
	100.000	471.99	04/12/21	460.00	...	11.99	2 of 7 - Sale ²⁵
	140.000	657.97	04/12/21	644.00	...	13.97	3 of 7 - Sale ²⁵
	300.000	1,409.96	04/12/21	1,380.00	...	29.96	4 of 7 - Sale ²⁵
	400.000	1,879.94	04/12/21	1,840.00	...	39.94	5 of 7 - Sale ²⁵
	800.000	3,775.88	04/12/21	3,680.00	...	95.88	6 of 7 - Sale ²⁵
	1,000.000	4,709.85	04/12/21	4,600.00	...	109.85	7 of 7 - Sale ²⁵
04/12/21	2,840.000	13,375.58	Various	13,064.00	...	311.58	Total of 7 transactions
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
<i>3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.</i>							
	16.802	946.74	01/08/21	976.98	...	-30.24	1 of 3 - Sale ²⁵
	0.198	11.14	01/08/21	11.85	...	-0.71	2 of 3 - Sale ²⁵
	0.001	0.04	01/08/21	0.04	...	0.00	3 of 3 - Sale ²⁵
01/15/21	17.000	957.92	Various	988.87	...	-30.95	Total of 3 transactions
01/15/21	0.198	11.13	01/08/21	11.49	0.36 W	0.00	Sale ²⁵
03/01/21	90.000	4,465.31	02/24/21	4,443.30	...	22.01	Sale ²⁵
03/11/21	27.000	1,222.05	03/05/21	943.38	...	278.67	Sale ²⁵
	Security total:	6,656.41		6,387.04	0.36 W	269.73	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
04/23/21	0.819	8.12	04/23/21	10.66	2.54 W	0.00	Sale ²⁵
	<i>3 transactions for 04/26/21. Total proceeds and cost reported to the IRS.</i>						
	67.000	782.21	04/23/21	872.27	...	-90.06	1 of 3 - Sale ²⁵
	3.181	37.13	04/23/21	41.41	...	-4.28	2 of 3 - Sale ²⁵
	0.819	9.56	04/23/21	13.20	...	-3.64	3 of 3 - Sale ²⁵
04/26/21	71.000	828.90	Various	926.88	...	-97.98	Total of 3 transactions
	Security total:	837.02		937.54	2.54 W	-97.98	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
03/26/21	60.000	1,374.58	03/17/21	1,494.30	119.72 W	0.00	Sale ²⁵
	<i>2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.</i>						
	406.000	9,327.75	04/13/21	10,332.70	...	-1,004.95	1 of 2 - Sale ²⁵
	60.000	1,378.48	04/13/21	1,646.72	...	-268.24	2 of 2 - Sale ²⁵
04/16/21	466.000	10,706.23	Various	11,979.42	...	-1,273.19	Total of 2 transactions
	Security total:	12,080.81		13,473.72	119.72 W	-1,273.19	
PIONEER POWER SOLUTIONS, INC. COMMON STOCK / CUSIP: 723836300 / Symbol:							
11/08/21	120.000	853.34	11/08/21	858.00	...	-4.66	Sale ²⁵
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
03/17/21	45.000	1,610.24	03/16/21	1,961.06	...	-350.82	Sale ²⁵
PLUS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 72941H400 / Symbol:							
02/10/21	448.000	1,685.64	02/10/21	1,755.08	...	-69.44	Sale ²⁵
POLAR POWER, INC. COMMON STOCK / CUSIP: 73102V105 / Symbol:							
	<i>3 transactions for 01/21/21. Total proceeds and cost reported to the IRS.</i>						
	0.745	13.24	01/19/21	9.35	...	3.89	1 of 3 - Sale ²⁵
	3.052	54.18	01/19/21	38.31	...	15.87	2 of 3 - Sale ²⁵
	59.948	1,064.04	01/19/21	752.34	...	311.70	3 of 3 - Sale ²⁵
01/21/21	63.745	1,131.46	Various	800.00	...	331.46	Total of 3 transactions

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Account 729304311

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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REGIONAL HEALTH PROPERTIES, IN C. / CUSIP: 75903M309 / Symbol:							
<i>12 transactions for 05/03/21. Total proceeds and cost reported to the IRS.</i>							
	6.000	75.90	05/03/21	67.20	...	8.70	1 of 12 - Sale ²⁵
	50.000	630.99	05/03/21	560.00	...	70.99	2 of 12 - Sale ²⁵
	50.000	631.49	05/03/21	560.00	...	71.49	3 of 12 - Sale ²⁵
	94.000	1,189.08	05/03/21	1,052.80	...	136.28	4 of 12 - Sale ²⁵
	100.000	1,259.98	05/03/21	1,120.00	...	139.98	5 of 12 - Sale ²⁵
	100.000	1,259.98	05/03/21	1,120.00	...	139.98	6 of 12 - Sale ²⁵
	100.000	1,260.98	05/03/21	1,120.00	...	140.98	7 of 12 - Sale ²⁵
	100.000	1,260.98	05/03/21	1,120.00	...	140.98	8 of 12 - Sale ²⁵
	100.000	1,261.98	05/03/21	1,120.00	...	141.98	9 of 12 - Sale ²⁵
	100.000	1,261.98	05/03/21	1,120.00	...	141.98	10 of 12 - Sale ²⁵
	127.000	1,588.74	05/03/21	1,422.40	...	166.34	11 of 12 - Sale ²⁵
	403.000	5,118.02	05/03/21	4,513.60	...	604.42	12 of 12 - Sale ²⁵
05/03/21	1,330.000	16,800.10	Various	14,896.00	...	1,904.10	Total of 12 transactions
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
04/16/21	22.000	967.33	04/15/21	997.59	...	-30.26	Sale ²⁵
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
<i>2 transactions for 03/25/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	2,199.97	03/23/21	2,401.00	...	-201.03	1 of 2 - Sale ²⁵
	59.000	1,297.99	03/23/21	1,416.59	...	-118.60	2 of 2 - Sale ²⁵
03/25/21	159.000	3,497.96	Various	3,817.59	...	-319.63	Total of 2 transactions
ROMEO POWER, INC. / CUSIP: 776153108 / Symbol:							
<i>8 transactions for 04/06/21. Total proceeds and cost reported to the IRS.</i>							
	599.000	7,762.92	04/06/21	7,945.74	...	-182.82	1 of 8 - Sale ²⁵
	278.000	3,602.82	04/06/21	3,687.67	...	-84.85	2 of 8 - Sale ²⁵
	100.000	1,295.98	04/06/21	1,326.50	...	-30.52	3 of 8 - Sale ²⁵
	26.321	341.11	04/06/21	355.43	...	-14.32	4 of 8 - Sale ²⁵
	12.949	167.82	04/06/21	171.77	...	-3.95	5 of 8 - Sale ²⁵
	0.366	4.74	04/06/21	5.02	...	-0.28	6 of 8 - Sale ²⁵
	0.766	9.93	04/06/21	10.16	...	-0.23	7 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 729304311

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROMEO POWER, INC. / CUSIP: 776153108 / Symbol: (cont'd)							
	0.314	4.06	04/06/21	4.24	...	-0.18	8 of 8 - Sale ²⁵
04/06/21	1,017.715	13,189.38	Various	13,506.53	...	-317.15	Total of 8 transactions
	4 transactions for 04/06/21. Total proceeds and cost reported to the IRS.						
	112.606	1,459.35	04/06/21	1,486.21	6.28 W	-20.58	1 of 4 - Sale ²⁵
	0.366	4.74	04/06/21	4.82	0.08 W	0.00	2 of 4 - Sale ²⁵
	0.314	4.06	04/06/21	4.14	0.08 W	0.00	3 of 4 - Sale ²⁵
	0.366	4.74	04/06/21	4.91	0.17 W	0.00	4 of 4 - Sale ²⁵
04/06/21	113.651	1,472.89	Various	1,500.08	6.61 W	-20.58	Total of 4 transactions
	Security total:	14,662.27		15,006.61	6.61 W	-337.73	
SANDSTORM GOLD LTD / CUSIP: 80013R206 / Symbol:							
	2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	86.277	545.24	02/04/21	569.43	...	-24.19	1 of 2 - Sale ²⁵
	0.523	3.30	02/04/21	3.45	...	-0.15	2 of 2 - Sale ²⁵
02/04/21	86.800	548.54	Various	572.88	...	-24.34	Total of 2 transactions
SELECTA BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 816212104 / Symbol:							
02/05/21	99.413	499.15	02/05/21	500.00	...	-0.85	Sale ²⁵
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
04/22/21	52.000	952.70	04/22/21	988.00	...	-35.30	Sale ²⁵
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
	2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.						
	0.066	0.19	01/29/21	0.18	...	0.01	1 of 2 - Sale ²⁵
	353.568	1,018.28	01/29/21	999.82	...	18.46	2 of 2 - Sale ²⁵
02/01/21	353.635	1,018.47	Various	1,000.00	...	18.47	Total of 2 transactions
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
	3 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	0.279	6.05	01/22/21	5.05	...	1.00	1 of 3 - Sale ²⁵
	0.711	15.38	01/22/21	12.87	...	2.51	2 of 3 - Sale ²⁵
	54.289	1,173.68	01/22/21	982.08	...	191.60	3 of 3 - Sale ²⁵
01/22/21	55.279	1,195.11	Various	1,000.00	...	195.11	Total of 3 transactions

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Robinhood Securities LLC

Account 729304311

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TAKUNG ART CO., LTD. / CUSIP: 87407Q207 / Symbol:							
03/23/21	27.000	1,930.49	03/23/21	1,872.72	...	57.77	Sale ²⁵
TIMBER PHARMACEUTICALS, INC. / CUSIP: 887080109 / Symbol:							
4 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	317.000	522.99	02/16/21	938.10	...	-415.11	1 of 4 - Sale ²⁵
	253.000	417.42	02/16/21	748.70	...	-331.28	2 of 4 - Sale ²⁵
	150.000	247.48	02/16/21	443.90	...	-196.42	3 of 4 - Sale ²⁵
	40.000	66.00	02/16/21	118.37	...	-52.37	4 of 4 - Sale ²⁵
02/23/21	760.000	1,253.89	Various	2,249.07	...	-995.18	Total of 4 transactions
U.S. GLOBAL INVESTORS, INC. CL ASS A COMMON STOCK / CUSIP: 902952100 / Symbol:							
5 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	0.469	3.81	02/11/21	3.55	...	0.26	1 of 5 - Sale ²⁵
	63.000	510.90	02/11/21	477.54	...	33.36	2 of 5 - Sale ²⁵
	66.816	541.85	02/11/21	506.47	...	35.38	3 of 5 - Sale ²⁵
	100.000	810.97	02/11/21	758.00	...	52.97	4 of 5 - Sale ²⁵
	99.531	809.16	02/11/21	754.44	...	54.72	5 of 5 - Sale ²⁵
02/19/21	329.816	2,676.69	Various	2,500.00	...	176.69	Total of 5 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	4.964	158.14	01/19/21	159.73	...	-1.59	1 of 2 - Sale ²⁵
	0.036	1.16	01/19/21	1.18	...	-0.02	2 of 2 - Sale ²⁵
01/21/21	5.000	159.30	Various	160.91	...	-1.61	Total of 2 transactions
01/21/21	0.253	8.07	01/19/21	8.15	0.01 W	-0.07	Sale ²⁵
	Security total:	167.37		169.06	0.01 W	-1.68	
VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V100 / Symbol:							
4 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.010	0.03	02/02/21	0.03	...	0.00	1 of 4 - Sale ²⁵
	16.573	47.07	02/02/21	43.09	...	3.98	2 of 4 - Sale ²⁵
	147.000	417.53	02/02/21	382.20	...	35.33	3 of 4 - Sale ²⁵
	1,289.427	3,662.37	02/02/21	3,352.51	...	309.86	4 of 4 - Sale ²⁵
02/03/21	1,453.010	4,127.00	Various	3,777.83	...	349.17	Total of 4 transactions

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Robinhood Securities LLC

Account 729304311

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WISEKEY INTERNATIONAL HOLDING AG AMERICAN DEPOSITARY SHARES / CUSIP: 97727L200 / Symbol:							
04/14/21	91.000	947.64	04/14/21	991.90	...	-44.26	Sale ²⁵
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	600.000	1,097.92	03/17/21	1,290.00	...	-192.08	1 of 2 - Sale ²⁵
	400.000	731.94	03/17/21	860.00	...	-128.06	2 of 2 - Sale ²⁵
03/23/21	1,000.000	1,829.86	Various	2,150.00	...	-320.14	Total of 2 transactions
BORQS TECHNOLOGIES, INC. ORDINARY SHARES / CUSIP: G1466B103 / Symbol:							
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	728.000	2,001.86	02/11/21	2,139.88	...	-138.02	1 of 3 - Sale ²⁵
	248.000	681.95	02/11/21	728.97	...	-47.02	2 of 3 - Sale ²⁵
	0.390	1.09	02/11/21	1.15	...	-0.06	3 of 3 - Sale ²⁵
02/11/21	976.390	2,684.90	Various	2,870.00	...	-185.10	Total of 3 transactions
CI&T INC / CUSIP: G21307106 / Symbol:							
11/10/21	56.000	1,198.94	11/10/21	1,120.00	...	78.94	Sale ²⁵
CHINA LIBERAL EDUCATION HOLDINGS LIMITED ORDINARY SHARES / CUSIP: G2161Y109 / Symbol:							
2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	0.128	0.69	03/26/21	0.63	...	0.06	1 of 2 - Sale ²⁵
	1,701.872	9,223.90	03/26/21	8,398.74	...	825.16	2 of 2 - Sale ²⁵
03/26/21	1,702.000	9,224.59	Various	8,399.37	...	825.22	Total of 2 transactions
04/01/21	0.128	0.51	03/26/21	0.63	...	-0.12	Sale ²⁵
	Security total:	9,225.10		8,400.00	...	825.10	
TDH HOLDINGS, INC. COMMON SHARES / CUSIP: G87084102 / Symbol:							
11/08/21	175.000	1,049.97	11/08/21	936.25	...	113.72	Sale ²⁵
TIAN RUIXIANG HOLDINGS LTD CLASS A ORDINARY SHARES / CUSIP: G8884K102 / Symbol:							
04/13/21	530.000	11,405.48	04/13/21	12,561.00	...	-1,155.52	Sale ²⁵

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Robinhood Securities LLC

Account 729304311

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EVOGENE LTD ORDINARY SHARES / CUSIP: M4119S104 / Symbol:							
<i>3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.</i>							
	244.000	1,542.03	02/10/21	2,296.21	...	-754.18	1 of 3 - Sale ²⁵
	0.203	1.29	02/10/21	1.90	...	-0.61	2 of 3 - Sale ²⁵
	0.201	1.28	02/10/21	1.89	...	-0.61	3 of 3 - Sale ²⁵
02/23/21	244.403	1,544.60	Various	2,300.00	...	-755.40	Total of 3 transactions
GILAT SATELLITE NETWORKS LTD. ORDINARY SHARES / CUSIP: M51474118 / Symbol:							
02/16/21	123.000	2,344.31	02/12/21	2,681.70	...	-337.39	Sale ²⁵
PROQR THERAPEUTICS N.V. ORDINARY SHARES / CUSIP: N71542109 / Symbol:							
<i>5 transactions for 03/26/21. Total proceeds and cost reported to the IRS.</i>							
	0.110	0.89	03/25/21	0.88	...	0.01	1 of 5 - Sale ²⁵
	0.379	3.07	03/25/21	3.04	...	0.03	2 of 5 - Sale ²⁵
	7.511	60.84	03/25/21	60.39	...	0.45	3 of 5 - Sale ²⁵
	47.890	387.91	03/25/21	385.37	...	2.54	4 of 5 - Sale ²⁵
	92.000	745.18	03/25/21	740.32	...	4.86	5 of 5 - Sale ²⁵
03/26/21	147.890	1,197.89	Various	1,190.00	...	7.89	Total of 5 transactions
Totals :		304,722.53		307,350.89	298.48 W	-2,329.88	

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Robinhood Securities LLC

Account 729304311

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
U.S. GLOBAL INVESTORS, INC. CL ASS A COMMON STOCK	902952100		02/26/21	0.82	Qualified dividend	
				0.82	Total Dividends & distributions	

Robinhood Securities LLC

Account 729304311

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest payment		11/30/21	0.38	Interest	
			0.38	Total Interest	

Robinhood Securities LLC

Account 729304311

Detail for Miscellaneous Information

2021

02/11/2022

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
		04/07/21	50.00	Other income	
			50.00	Total Miscellaneous income	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and you only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Instructions for Recipient

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7. **Lines 9 and 10.** Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms

1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment

Instructions for Recipient

trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments