

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 144120375	Statement Date: 02/11/2022 Document ID: 23UY 91D FK5U	2021
	Vinod reddy Palakundu 4109 N O'Connor Rd Irving, TX 75062 RECIPIENT'S TIN: XXX-XX-7962		

[] FATCA filing requirement (see instructions)		Summary Information			
DIVIDENDS AND DISTRIBUTIONS	2021 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2021 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	16.26		2- Royalties	0.00	
1b- Qualified dividends	16.26		3- Other income	100.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2021 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00		8- Profit or (loss) realized in 2021 on closed contracts	0.00	
2f- Section 897 capital gain	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
3- Nondividend distributions	27.90		10- Unrealized profit or (loss) on open contracts-12/31/2021	0.00	
4- Federal income tax withheld	0.00		11- Aggregate profit or (loss) on contracts	0.00	
5- Section 199A dividends	0.00				
6- Investment expenses	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
8- Foreign country or US possession: 7- Foreign tax paid:	0.00		* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	54,053.88	49,608.87	0.00	1,415.10	5,860.11
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	3.14	5.50	0.00	0.00	-2.36
	Total Short-term	54,057.02	49,614.37	0.00	1,415.10	5,857.75
Long	D (basis reported to the IRS)	785.42	326.90	0.00	0.00	458.52
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	785.42	326.90	0.00	0.00	458.52
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	54,842.44	49,941.27	0.00	1,415.10	6,316.27
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	65.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Account 144120375

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 02/19/2021 CALL \$180.00 / CUSIP: / Symbol:							
01/22/21	5.000	224.98	11/30/20	205.00	...	19.98	Option sale to close-call ²⁵
AMC 03/19/2021 CALL \$6.00 / CUSIP: / Symbol:							
01/22/21	5.000	329.98	12/14/20	165.00	...	164.98	Option sale to close-call ²⁵
AMZN 01/29/2021 CALL \$3,780.00 / CUSIP: / Symbol:							
01/20/21	1.000	294.98	01/19/21	150.00	...	144.98	Option sale to close-call ²⁵
AMZN 03/19/2021 CALL \$4,300.00 / CUSIP: / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	193.99	11/16/20	2,670.07	...	-2,476.08	1 of 2 - Option sale to close-call ²⁵
	1.000	193.99	11/19/20	1,980.00	...	-1,786.01	2 of 2 - Option sale to close-call ²⁵
02/19/21	2.000	387.98	Various	4,650.07	...	-4,262.09	Total of 2 transactions

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Account 144120375

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 08/06/2021 CALL \$3,530.00 / CUSIP: / Symbol:							
08/03/21	1.000	84.98	07/30/21	670.00	...	-585.02	Option sale to close-call ²⁵
AQMS 02/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
01/22/21	2.000	139.98	12/22/20	80.00	...	59.98	Option sale to close-call ²⁵
01/26/21	1.000	229.98	12/22/20	40.00	...	189.98	Option sale to close-call ²⁵
02/03/21	1.000	149.98	12/22/20	40.00	...	109.98	Option sale to close-call ²⁵
	Security total:	519.94		160.00	...	359.94	
AYRO 04/16/2021 CALL \$17.50 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	02/19/21	65.00	...	-65.00	Option expiration- long call
AZN 01/15/2021 CALL \$80.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	09/14/20	40.00	...	-40.00	Option expiration- long call
BA 02/26/2021 PUT \$180.00 / CUSIP: / Symbol:							
02/26/21	1.000	0.00	02/22/21	27.00	...	-27.00	Option expiration- long put
BABA 02/19/2021 CALL \$325.00 / CUSIP: / Symbol:							
	2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	1.000	10.99	11/12/20	610.00	...	-599.01	1 of 2 - Option sale to close-call ²⁵
	1.000	10.99	11/13/20	460.00	...	-449.01	2 of 2 - Option sale to close-call ²⁵
02/08/21	2.000	21.98	Various	1,070.00	...	-1,048.02	Total of 2 transactions
BABA 02/19/2021 CALL \$330.00 / CUSIP: / Symbol:							
01/20/21	1.000	63.99	12/28/20	34.00	...	29.99	Option sale to close-call ²⁵
01/20/21	1.000	63.99	12/09/20	247.00	183.01 W	0.00	Option sale to close-call ²⁵
	2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	1.000	10.98	12/28/20	217.01	...	-206.03	1 of 2 - Option sale to close-call ²⁵
	1.000	10.98	12/28/20	34.00	...	-23.02	2 of 2 - Option sale to close-call ²⁵
02/09/21	2.000	21.96	Various	251.01	...	-229.05	Total of 2 transactions
	Security total:	149.94		532.01	183.01 W	-199.06	

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Account 144120375

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 08/06/2021 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 08/04/21. Total proceeds and cost reported to the IRS.							
	1.000	18.99	07/26/21	163.00	...	-144.01	1 of 2 - Option sale to close-call ²⁵
	1.000	18.99	07/30/21	47.00	...	-28.01	2 of 2 - Option sale to close-call ²⁵
08/04/21	2.000	37.98	Various	210.00	...	-172.02	Total of 2 transactions
BABA 08/06/2021 CALL \$225.00 / CUSIP: / Symbol:							
07/22/21	1.000	202.98	07/20/21	142.00	...	60.98	Option sale to close-call ²⁵
BETZ 04/16/2021 CALL \$29.00 / CUSIP: / Symbol:							
01/21/21	3.000	959.96	11/30/20	135.00	...	824.96	Option sale to close-call ²⁵
03/08/21	2.000	489.98	11/30/20	90.00	...	399.98	Option sale to close-call ²⁵
	Security total:	1,449.94		225.00	...	1,224.94	
BP 01/08/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/06/21	5.000	19.98	12/07/20	130.00	...	-110.02	Option sale to close-call ²⁵
BP 01/21/2022 CALL \$37.00 / CUSIP: / Symbol:							
3 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	2.000	93.99	11/17/20	62.00	...	31.99	1 of 3 - Option sale to close-call ²⁵
	3.000	140.99	11/17/20	93.00	...	47.99	2 of 3 - Option sale to close-call ²⁵
	5.000	234.98	11/19/20	135.00	...	99.98	3 of 3 - Option sale to close-call ²⁵
02/12/21	10.000	469.96	Various	290.00	...	179.96	Total of 3 transactions
BYND 01/15/2021 CALL \$220.00 / CUSIP: / Symbol:							
01/15/21	3.000	0.00	12/03/20	198.00	...	-198.00	Option expiration- long call
CCL 01/21/2022 CALL \$35.00 / CUSIP: / Symbol:							
06/02/21	1.000	339.98	11/12/20	138.00	...	201.98	Option sale to close-call ²⁵
06/07/21	1.000	350.98	11/12/20	138.00	...	212.98	Option sale to close-call ²⁵
	Security total:	690.96		276.00	...	414.96	
CCL 02/26/2021 CALL \$23.50 / CUSIP: / Symbol:							
01/28/21	2.000	239.98	01/27/21	80.00	...	159.98	Option sale to close-call ²⁵
02/02/21	1.000	60.98	01/27/21	40.00	...	20.98	Option sale to close-call ²⁵
	Security total:	300.96		120.00	...	180.96	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCX 01/15/2021 CALL \$17.50 / CUSIP: / Symbol:							
01/15/21	5.000	0.00	12/11/20	100.00	...	-100.00	Option expiration- long call
CCX 05/21/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	12/11/20	400.00	...	-400.00	1 of 2 - Option expiration- long call
	5.000	0.00	01/25/21	150.00	...	-150.00	2 of 2 - Option expiration- long call
05/21/21	10.000	0.00	Various	550.00	...	-550.00	Total of 2 transactions
CLOV 02/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
5 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	1.000	65.99	12/29/20	184.00	118.01 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	65.99	01/04/21	164.00	98.01 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	66.99	01/05/21	130.00	63.01 W	0.00	3 of 5 - Option sale to close-call ²⁵
	1.000	67.00	01/14/21	168.01	101.01 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	66.99	01/14/21	148.01	81.02 W	0.00	5 of 5 - Option sale to close-call ²⁵
01/26/21	5.000	332.96	Various	794.02	461.06 W	0.00	Total of 5 transactions
7 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/14/21	151.01	...	-151.01	1 of 7 - Option expiration- long call
	1.000	0.00	01/14/21	113.01	...	-113.01	2 of 7 - Option expiration- long call
	1.000	0.00	01/20/21	105.02	...	-105.02	3 of 7 - Option expiration- long call
	4.000	0.00	01/20/21	96.00	...	-96.00	4 of 7 - Option expiration- long call
	1.000	0.00	01/28/21	45.00	...	-45.00	5 of 7 - Option expiration- long call
	1.000	0.00	01/28/21	45.00	...	-45.00	6 of 7 - Option expiration- long call
	2.000	0.00	02/01/21	60.00	...	-60.00	7 of 7 - Option expiration- long call
02/19/21	11.000	0.00	Various	615.04	...	-615.04	Total of 7 transactions
	Security total:	332.96		1,409.06	461.06 W	-615.04	
CPG 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/23/21	5.000	104.98	11/24/20	50.00	...	54.98	Option sale to close-call ²⁵
03/04/21	10.000	99.97	11/24/20	100.00	...	-0.03	Option sale to close-call ²⁵
	Security total:	204.95		150.00	...	54.95	
CYRX 01/15/2021 CALL \$75.00 / CUSIP: / Symbol:							
01/15/21	3.000	0.00	12/01/20	150.00	...	-150.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DBX 01/29/2021 CALL \$28.00 / CUSIP: / Symbol:							
3 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	1.000	41.98	12/11/20	28.00	...	13.98	1 of 3 - Option sale to close-call ²⁵
	1.000	41.98	12/11/20	28.00	...	13.98	2 of 3 - Option sale to close-call ²⁵
	1.000	41.98	12/11/20	28.00	...	13.98	3 of 3 - Option sale to close-call ²⁵
01/11/21	3.000	125.94	Various	84.00	...	41.94	Total of 3 transactions
DBX 07/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
5 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	122.98	11/30/20	54.00	...	68.98	1 of 5 - Option sale to close-call ²⁵
	1.000	122.98	11/30/20	54.00	...	68.98	2 of 5 - Option sale to close-call ²⁵
	1.000	122.98	11/30/20	54.00	...	68.98	3 of 5 - Option sale to close-call ²⁵
	1.000	122.98	11/30/20	54.00	...	68.98	4 of 5 - Option sale to close-call ²⁵
	1.000	122.98	11/30/20	54.00	...	68.98	5 of 5 - Option sale to close-call ²⁵
03/12/21	5.000	614.90	Various	270.00	...	344.90	Total of 5 transactions
07/16/21	5.000	0.00	11/30/20	270.00	...	-270.00	Option expiration- long call
	Security total:	614.90		540.00	...	74.90	
DGLY 03/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/19/21	2.000	0.00	02/16/21	30.00	...	-30.00	Option expiration- long call
HYLN 06/18/2021 CALL \$22.50 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	12/16/20	360.00	...	-360.00	Option expiration- long call
INTC 03/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
4 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	1.000	61.98	09/16/20	67.00	...	-5.02	1 of 4 - Option sale to close-call ²⁵
	1.000	61.99	09/16/20	67.00	...	-5.01	2 of 4 - Option sale to close-call ²⁵
	1.000	61.99	10/20/20	62.00	...	-0.01	3 of 4 - Option sale to close-call ²⁵
	1.000	106.98	10/20/20	62.00	...	44.98	4 of 4 - Option sale to close-call ²⁵
01/21/21	4.000	292.94	Various	258.00	...	34.94	Total of 4 transactions

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Robinhood Securities LLC

Account 144120375

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IVR 07/16/2021 CALL \$8.00 / CUSIP: / Symbol:							
3 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/17/21	40.00	...	-40.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/18/21	6.00	...	-6.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/18/21	6.00	...	-6.00	3 of 3 - Option expiration- long call
07/16/21	7.000	0.00	Various	52.00	...	-52.00	Total of 3 transactions
JAGX 06/18/2021 CALL \$5.00 / CUSIP: / Symbol:							
06/18/21	10.000	0.00	06/02/21	40.00	...	-40.00	Option expiration- long call
JAGX 08/20/2021 CALL \$5.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	06/02/21	30.00	...	-30.00	Option expiration- long call
KERN 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	1.000	9.98	12/04/20	30.00	...	-20.02	1 of 3 - Option sale to close-call ²⁵
	1.000	9.98	12/04/20	30.00	...	-20.02	2 of 3 - Option sale to close-call ²⁵
	1.000	9.98	12/04/20	30.00	...	-20.02	3 of 3 - Option sale to close-call ²⁵
01/06/21	3.000	29.94	Various	90.00	...	-60.06	Total of 3 transactions
01/11/21	2.000	13.98	12/04/20	60.00	...	-46.02	Option sale to close-call ²⁵
	Security total:	43.92		150.00	...	-106.08	
LOTZ 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/27/21	130.00	...	-130.00	1 of 3 - Option expiration- long call
	1.000	0.00	01/28/21	55.00	...	-55.00	2 of 3 - Option expiration- long call
	1.000	0.00	01/29/21	50.00	...	-50.00	3 of 3 - Option expiration- long call
02/19/21	3.000	0.00	Various	235.00	...	-235.00	Total of 3 transactions
NAK 04/16/2021 CALL \$2.00 / CUSIP: / Symbol:							
04/16/21	3.000	0.00	03/01/21	24.00	...	-24.00	Option expiration- long call

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Account 144120375

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(continued)

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NIO 02/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
01/11/21	1.000	399.98	12/04/20	274.00	...	125.98	Option sale to close-call ²⁵
01/22/21	1.000	157.98	12/04/20	274.00	116.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	19.99	01/21/21	208.02	...	-188.03	1 of 2 - Option sale to close-call ²⁵
	1.000	19.99	01/21/21	92.00	...	-72.01	2 of 2 - Option sale to close-call ²⁵
02/09/21	2.000	39.98	Various	300.02	...	-260.04	Total of 2 transactions
Security total:		597.94		848.02	116.02 W	-134.06	
NIO 04/01/2021 CALL \$41.00 / CUSIP: / Symbol:							
2 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	1.000	14.99	03/26/21	65.02	...	-50.03	1 of 2 - Option sale to close-call ²⁵
	1.000	14.99	03/29/21	12.00	...	2.99	2 of 2 - Option sale to close-call ²⁵
03/30/21	2.000	29.98	Various	77.02	...	-47.04	Total of 2 transactions
03/30/21	1.000	14.98	03/26/21	46.00	31.02 W	0.00	Option sale to close-call ²⁵
Security total:		44.96		123.02	31.02 W	-47.04	
NIO 10/15/2021 CALL \$42.00 / CUSIP: / Symbol:							
10/15/21	2.000	0.00	10/04/21	12.00	...	-12.00	Option expiration- long call
NKLA 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	12/01/20	100.00	...	-100.00	Option expiration- long call
NKLA 01/15/2021 CALL \$85.00 / CUSIP: / Symbol:							
01/15/21	3.000	0.00	11/24/20	105.00	...	-105.00	Option expiration- long call
NKLA 01/21/2022 CALL \$26.00 / CUSIP: / Symbol:							
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	2.000	91.99	07/13/21	370.00	...	-278.01	1 of 2 - Option sale to close-call ²⁵
	1.000	45.99	08/03/21	65.00	...	-19.01	2 of 2 - Option sale to close-call ²⁵
11/03/21	3.000	137.98	Various	435.00	...	-297.02	Total of 2 transactions
NKLA 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	12/23/20	265.00	...	-265.00	Option expiration- long call

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Robinhood Securities LLC

Account 144120375

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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NKLA 04/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/26/21	1.000	339.98	11/30/20	340.00	...	-0.02	Option sale to close-call ²⁵
01/26/21	1.000	339.99	11/30/20	350.00	10.01 W	0.00	Option sale to close-call ²⁵
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	624.98	12/01/20	263.00	...	361.98	1 of 2 - Option sale to close-call ²⁵
	1.000	624.98	01/25/21	176.01	...	448.97	2 of 2 - Option sale to close-call ²⁵
01/27/21	2.000	1,249.96	Various	439.01	...	810.95	Total of 2 transactions
Security total:		1,929.93		1,129.01	10.01 W	810.93	
NKLA 06/18/2021 CALL \$35.00 / CUSIP: / Symbol:							
06/18/21	2.000	0.00	12/16/20	460.00	...	-460.00	Option expiration- long call
NKLA 07/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
03/22/21	7.000	1,161.97	03/18/21	987.00	...	174.97	Option sale to close-call ²⁵
03/22/21	1.000	166.00	03/10/21	175.00	9.00 W	0.00	Option sale to close-call ²⁵
5 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	5.000	189.99	03/22/21	715.00	...	-525.01	1 of 5 - Option sale to close-call ²⁵
	1.000	37.98	03/22/21	152.00	...	-114.02	2 of 5 - Option sale to close-call ²⁵
	1.000	38.00	03/24/21	92.00	...	-54.00	3 of 5 - Option sale to close-call ²⁵
	3.000	113.99	03/25/21	210.00	...	-96.01	4 of 5 - Option sale to close-call ²⁵
	1.000	37.99	03/25/21	70.00	...	-32.01	5 of 5 - Option sale to close-call ²⁵
05/17/21	11.000	417.95	Various	1,239.00	...	-821.05	Total of 5 transactions
5 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	56.00	03/25/21	70.00	...	-14.00	1 of 5 - Option sale to close-call ²⁵
	1.000	56.00	03/26/21	83.00	...	-27.00	2 of 5 - Option sale to close-call ²⁵
	3.000	167.98	04/01/21	189.00	...	-21.02	3 of 5 - Option sale to close-call ²⁵
	2.000	135.98	04/01/21	126.00	...	9.98	4 of 5 - Option sale to close-call ²⁵
	1.000	69.98	04/15/21	23.00	...	46.98	5 of 5 - Option sale to close-call ²⁵
06/02/21	8.000	485.94	Various	491.00	...	-5.06	Total of 5 transactions
3 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	1.000	118.98	04/15/21	23.00	...	95.98	1 of 3 - Option sale to close-call ²⁵
	1.000	118.98	04/15/21	23.00	...	95.98	2 of 3 - Option sale to close-call ²⁵
	1.000	118.98	04/15/21	23.00	...	95.98	3 of 3 - Option sale to close-call ²⁵
06/08/21	3.000	356.94	Various	69.00	...	287.94	Total of 3 transactions

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Robinhood Securities LLC

Account 144120375

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NKLA 07/16/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	Security total:	2,588.80		2,961.00	9.00 W	-363.20	
NOK 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
4 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	5.000	69.98	02/19/21	85.00	...	-15.02	1 of 4 - Option sale to close-call ²⁵
	3.000	41.98	02/19/21	51.00	...	-9.02	2 of 4 - Option sale to close-call ²⁵
	1.000	13.98	02/19/21	17.00	...	-3.02	3 of 4 - Option sale to close-call ²⁵
	1.000	13.98	02/24/21	18.02	...	-4.04	4 of 4 - Option sale to close-call ²⁵
03/11/21	10.000	139.92	Various	171.02	...	-31.10	Total of 4 transactions
03/11/21	1.000	13.98	02/19/21	17.00	3.02 W	0.00	Option sale to close-call ²⁵
	Security total:	153.90		188.02	3.02 W	-31.10	
NOK 07/02/2021 CALL \$6.50 / CUSIP: / Symbol:							
07/02/21	5.000	0.00	06/11/21	45.00	...	-45.00	Option expiration- long call
NOK 10/15/2021 CALL \$6.00 / CUSIP: / Symbol:							
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	7.000	363.98	02/26/21	252.00	...	111.98	1 of 2 - Option sale to close-call ²⁵
	10.000	519.98	02/26/21	360.00	...	159.98	2 of 2 - Option sale to close-call ²⁵
06/02/21	17.000	883.96	Various	612.00	...	271.96	Total of 2 transactions
06/03/21	5.000	359.98	02/26/21	180.00	...	179.98	Option sale to close-call ²⁵
2 transactions for 10/05/21. Total proceeds and cost reported to the IRS.							
	3.000	8.98	02/26/21	108.00	...	-99.02	1 of 2 - Option sale to close-call ²⁵
	2.000	5.98	02/26/21	72.00	...	-66.02	2 of 2 - Option sale to close-call ²⁵
10/05/21	5.000	14.96	Various	180.00	...	-165.04	Total of 2 transactions
	Security total:	1,258.90		972.00	...	286.90	
NXTD 01/15/2021 CALL \$5.00 / CUSIP: / Symbol:							
01/04/21	4.000	19.98	12/16/20	100.00	...	-80.02	Option sale to close-call ²⁵

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NXTD 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	2.000	259.98	12/16/20	90.00	...	169.98	1 of 3 - Option sale to close-call ²⁵
	1.000	134.98	01/29/21	40.00	...	94.98	2 of 3 - Option sale to close-call ²⁵
	1.000	134.98	01/29/21	40.00	...	94.98	3 of 3 - Option sale to close-call ²⁵
02/17/21	4.000	529.94	Various	170.00	...	359.94	Total of 3 transactions
PFE 01/08/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	7.000	0.00	12/18/20	84.00	...	-84.00	1 of 2 - Option expiration- long call
	1.000	0.00	12/18/20	12.00	...	-12.00	2 of 2 - Option expiration- long call
01/08/21	8.000	0.00	Various	96.00	...	-96.00	Total of 2 transactions
SNDL 01/21/2022 CALL \$3.50 / CUSIP: / Symbol:							
4 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	1.000	21.98	04/13/21	18.00	...	3.98	1 of 4 - Option sale to close-call ²⁵
	1.000	21.98	04/13/21	18.00	...	3.98	2 of 4 - Option sale to close-call ²⁵
	5.000	109.98	04/13/21	90.00	...	19.98	3 of 4 - Option sale to close-call ²⁵
	10.000	219.97	04/13/21	180.00	...	39.97	4 of 4 - Option sale to close-call ²⁵
06/01/21	17.000	373.91	Various	306.00	...	67.91	Total of 4 transactions
3 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	3.000	83.98	04/13/21	54.00	...	29.98	1 of 3 - Option sale to close-call ²⁵
	5.000	159.99	04/13/21	90.00	...	69.99	2 of 3 - Option sale to close-call ²⁵
	5.000	159.98	04/15/21	90.00	...	69.98	3 of 3 - Option sale to close-call ²⁵
06/02/21	13.000	403.95	Various	234.00	...	169.95	Total of 3 transactions
2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	2.000	99.98	04/15/21	36.00	...	63.98	1 of 2 - Option sale to close-call ²⁵
	3.000	149.98	04/15/21	54.00	...	95.98	2 of 2 - Option sale to close-call ²⁵
06/03/21	5.000	249.96	Various	90.00	...	159.96	Total of 2 transactions
11/12/21	1.000	6.98	04/20/21	16.00	...	-9.02	Option sale to close-call ²⁵
Security total:		1,034.80		646.00	...	388.80	

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SNDL 01/21/2022 CALL \$7.00 / CUSIP: / Symbol:							
3 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	5.000	34.98	03/23/21	125.00	90.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	2.000	14.00	03/25/21	40.00	26.00 W	0.00	2 of 3 - Option sale to close-call ²⁵
	2.000	13.99	04/13/21	22.00	8.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
05/20/21	9.000	62.97	Various	187.00	124.03 W	0.00	Total of 3 transactions
18 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	1.000	13.99	04/13/21	11.00	...	2.99	1 of 18 - Option sale to close-call ²⁵
	1.000	14.00	04/14/21	11.00	...	3.00	2 of 18 - Option sale to close-call ²⁵
	2.000	27.99	04/14/21	22.00	...	5.99	3 of 18 - Option sale to close-call ²⁵
	5.000	69.99	04/14/21	55.00	...	14.99	4 of 18 - Option sale to close-call ²⁵
	10.000	139.97	04/15/21	90.00	...	49.97	5 of 18 - Option sale to close-call ²⁵
	3.000	41.99	04/16/21	24.00	...	17.99	6 of 18 - Option sale to close-call ²⁵
	1.000	14.00	04/29/21	13.01	...	0.99	7 of 18 - Option sale to close-call ²⁵
	1.000	14.00	04/29/21	13.00	...	1.00	8 of 18 - Option sale to close-call ²⁵
	5.000	69.99	04/29/21	45.00	...	24.99	9 of 18 - Option sale to close-call ²⁵
	6.000	83.98	04/29/21	54.00	...	29.98	10 of 18 - Option sale to close-call ²⁵
	3.000	41.99	05/17/21	18.00	...	23.99	11 of 18 - Option sale to close-call ²⁵
	1.000	13.99	05/19/21	12.01	...	1.98	12 of 18 - Option sale to close-call ²⁵
	1.000	14.00	05/19/21	12.01	...	1.99	13 of 18 - Option sale to close-call ²⁵
	2.000	27.98	05/19/21	24.02	...	3.96	14 of 18 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 144120375

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SNDL 01/21/2022 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	14.00	05/19/21	7.00	...	7.00	15 of 18 - Option sale to close-call ²⁵
	1.000	14.00	05/19/21	7.00	...	7.00	16 of 18 - Option sale to close-call ²⁵
	2.000	27.99	05/19/21	14.00	...	13.99	17 of 18 - Option sale to close-call ²⁵
	5.000	69.99	05/19/21	35.00	...	34.99	18 of 18 - Option sale to close-call ²⁵
06/01/21	51.000	713.84	Various	467.05	...	246.79	Total of 18 transactions
	4 transactions for 06/01/21. Total proceeds and cost reported to the IRS.						
	5.000	69.99	04/29/21	135.02	65.03 W	0.00	1 of 4 - Option sale to close-call ²⁵
	2.000	28.00	04/29/21	44.00	16.00 W	0.00	2 of 4 - Option sale to close-call ²⁵
	5.000	69.99	05/17/21	95.03	25.04 W	0.00	3 of 4 - Option sale to close-call ²⁵
	2.000	27.99	05/19/21	30.00	2.01 W	0.00	4 of 4 - Option sale to close-call ²⁵
06/01/21	14.000	195.97	Various	304.05	108.08 W	0.00	Total of 4 transactions
	5 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	1.000	15.00	05/19/21	12.00	...	3.00	1 of 5 - Option sale to close-call ²⁵
	1.000	15.00	05/19/21	7.00	...	8.00	2 of 5 - Option sale to close-call ²⁵
	1.000	15.00	05/19/21	7.00	...	8.00	3 of 5 - Option sale to close-call ²⁵
	2.000	29.99	05/19/21	16.01	...	13.98	4 of 5 - Option sale to close-call ²⁵
	5.000	74.98	05/24/21	30.00	...	44.98	5 of 5 - Option sale to close-call ²⁵
06/02/21	10.000	149.97	Various	72.01	...	77.96	Total of 5 transactions
	Security total:	1,122.75		1,030.11	232.11 W	324.75	
SNDL 02/05/2021 CALL \$1.00 / CUSIP: / Symbol:							
01/20/21	5.000	39.98	01/04/21	35.00	...	4.98	Option sale to close-call ²⁵
SNDL 02/12/2021 CALL \$1.50 / CUSIP: / Symbol:							
02/09/21	3.000	98.98	02/01/21	75.00	...	23.98	Option sale to close-call ²⁵
SNDL 03/19/2021 CALL \$2.00 / CUSIP: / Symbol:							
03/19/21	5.000	0.00	03/10/21	40.00	...	-40.00	Option expiration- long call

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SNDL 04/16/2021 CALL \$6.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	10.000	0.00	02/22/21	120.00	...	-120.00	1 of 2 - Option expiration- long call
	6.000	0.00	02/23/21	30.00	...	-30.00	2 of 2 - Option expiration- long call
04/16/21	16.000	0.00	Various	150.00	...	-150.00	Total of 2 transactions
SNDL 07/09/2021 CALL \$2.00 / CUSIP: / Symbol:							
2 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/21/21	2.00	...	-2.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/21/21	2.00	...	-2.00	2 of 2 - Option expiration- long call
07/09/21	2.000	0.00	Various	4.00	...	-4.00	Total of 2 transactions
SNDL 07/23/2021 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 07/23/21. Total proceeds and cost reported to the IRS.							
	6.000	0.00	07/13/21	30.00	...	-30.00	1 of 2 - Option expiration- long call
	4.000	0.00	07/16/21	8.00	...	-8.00	2 of 2 - Option expiration- long call
07/23/21	10.000	0.00	Various	38.00	...	-38.00	Total of 2 transactions
SNDL 07/30/2021 CALL \$1.00 / CUSIP: / Symbol:							
3 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	07/16/21	6.00	...	-6.00	1 of 3 - Option expiration- long call
	10.000	0.00	07/21/21	20.00	...	-20.00	2 of 3 - Option expiration- long call
	10.000	0.00	07/26/21	10.00	...	-10.00	3 of 3 - Option expiration- long call
07/30/21	22.000	0.00	Various	36.00	...	-36.00	Total of 3 transactions
SOLO 02/12/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/02/21	2.000	69.98	02/01/21	36.00	...	33.98	Option sale to close-call ²⁵
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	30.98	02/01/21	18.00	...	12.98	1 of 2 - Option sale to close-call ²⁵
	2.000	61.98	02/01/21	36.00	...	25.98	2 of 2 - Option sale to close-call ²⁵
02/04/21	3.000	92.96	Various	54.00	...	38.96	Total of 2 transactions
	Security total:	162.94		90.00	...	72.94	
SPLK 01/15/2021 CALL \$210.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	12/03/20	60.00	...	-60.00	Option expiration- long call

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TTCF 06/18/2021 CALL \$30.00 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	11/25/20	130.00	...	-130.00	Option expiration- long call
TWTR 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/04/21	2.000	85.98	11/30/20	58.00	...	27.98	Option sale to close-call ²⁵
TXMD 09/17/2021 CALL \$4.50 / CUSIP: / Symbol:							
6 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	03/11/21	72.00	...	-72.00	1 of 6 - Option expiration- long call
	2.000	0.00	03/11/21	46.00	...	-46.00	2 of 6 - Option expiration- long call
	3.000	0.00	03/30/21	30.00	...	-30.00	3 of 6 - Option expiration- long call
	2.000	0.00	04/15/21	20.00	...	-20.00	4 of 6 - Option expiration- long call
	5.000	0.00	06/09/21	25.00	...	-25.00	5 of 6 - Option expiration- long call
	3.000	0.00	07/08/21	9.00	...	-9.00	6 of 6 - Option expiration- long call
09/17/21	18.000	0.00	Various	202.00	...	-202.00	Total of 6 transactions
UWMC 02/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
02/08/21	1.000	10.98	12/18/20	180.00	...	-169.02	Option sale to close-call ²⁵
UXIN 05/21/2021 CALL \$5.00 / CUSIP: / Symbol:							
05/21/21	2.000	0.00	02/17/21	70.00	...	-70.00	Option expiration- long call
VXRT 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/29/21	1.000	287.98	11/30/20	130.00	...	157.98	Option sale to close-call ²⁵
02/02/21	1.000	685.97	11/30/20	130.00	...	555.97	Option sale to close-call ²⁵
04/16/21	1.000	0.00	11/30/20	130.00	...	-130.00	Option expiration- long call
	Security total:	973.95		390.00	...	583.95	
ZM 01/15/2021 CALL \$520.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	12/01/20	1,140.00	...	-1,140.00	Option expiration- long call
ZS 01/15/2021 PUT \$130.00 / CUSIP: / Symbol:							
01/15/21	4.000	0.00	12/03/20	292.00	...	-292.00	Option expiration- long put

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ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
02/09/21	150.000	144.58	12/23/20	53.61	...	90.97	Sale ²⁵
	<i>3 transactions for 03/22/21. Total proceeds and cost reported to the IRS.</i>						
	150.000	110.11	12/23/20	63.73	...	46.38	1 of 3 - Sale ²⁵
	400.000	293.63	12/23/20	144.18	...	149.45	2 of 3 - Sale ²⁵
	450.000	330.33	12/23/20	160.83	...	169.50	3 of 3 - Sale ²⁵
03/22/21	1,000.000	734.07	Various	368.74	...	365.33	Total of 3 transactions
03/25/21	250.000	153.87	12/23/20	106.23	...	47.64	Sale ²⁵
	Security total:	1,032.52		528.58	...	503.94	
AETERNA ZENTARIS INC. COMMON S TOCK / CUSIP: 007975402 / Symbol:							
	<i>2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.</i>						
	150.000	238.55	02/17/21	228.00	...	10.55	1 of 2 - Sale ²⁵
	200.000	318.08	03/10/21	207.00	...	111.08	2 of 2 - Sale ²⁵
03/15/21	350.000	556.63	Various	435.00	...	121.63	Total of 2 transactions
	<i>2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.</i>						
	150.000	238.56	02/11/21	295.34	56.78 W	0.00	1 of 2 - Sale ²⁵
	30.000	47.71	02/16/21	55.65	7.94 W	0.00	2 of 2 - Sale ²⁵
03/15/21	180.000	286.27	Various	350.99	64.72 W	0.00	Total of 2 transactions
	Security total:	842.90		785.99	64.72 W	121.63	
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol:							
05/19/21	2.000	273.70	12/14/20	295.66	...	-21.96	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
	<i>2 transactions for 05/05/21. Total proceeds and cost reported to the IRS.</i>						
	0.019	2.52	09/16/20	2.24	...	0.28	1 of 2 - Sale ²⁵
	1.000	129.29	09/16/20	114.92	...	14.37	2 of 2 - Sale ²⁵
05/05/21	1.019	131.81	Various	117.16	...	14.65	Total of 2 transactions
AQUA METALS, INC. COMMON STOCK / CUSIP: 03837J101 / Symbol:							
01/04/21	100.000	312.99	12/24/20	238.00	...	74.99	Sale ²⁵
ASHFORD HOSPITALITY TRUST, INC . / CUSIP: 044103869 / Symbol:							
07/26/21	15.000	261.47	05/19/21	454.10	...	-192.63	Sale ²⁵

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ASSERTIO HOLDINGS, INC. COMMON STOCK / CUSIP: 04546C106 / Symbol:							
02/09/21	100.000	125.10	12/09/20	46.38	...	78.72	Sale ²⁵
AULT GLOBAL HOLDINGS, INC. / CUSIP: 05150X104 / Symbol:							
06/07/21	50.000	143.59	02/01/21	221.43	77.84 W	0.00	Sale ²⁵
<i>3 transactions for 06/09/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	58.61	04/15/21	50.70	...	7.91	1 of 3 - Sale ²⁵
	80.000	234.39	04/15/21	202.80	...	31.59	2 of 3 - Sale ²⁵
	30.000	87.93	04/29/21	81.15	...	6.78	3 of 3 - Sale ²⁵
06/09/21	130.000	380.93	Various	334.65	...	46.28	Total of 3 transactions
06/09/21	20.000	58.60	04/01/21	64.20	5.60 W	0.00	Sale ²⁵
06/11/21	50.000	143.61	04/29/21	135.25	...	8.36	Sale ²⁵
	Security total:	726.73		755.53	83.44 W	54.64	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
01/25/21	35.000	369.95	12/28/20	304.33	...	65.62	Sale ²⁵
AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
06/17/21	100.000	553.98	12/23/20	646.11	...	-92.13	Sale ²⁵
BARNWELL INDUSTRIES, INC. / CUSIP: 068221100 / Symbol:							
<i>2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	169.00	01/28/21	316.34	...	-147.34	1 of 2 - Sale ²⁵
	50.000	168.99	01/29/21	181.50	...	-12.51	2 of 2 - Sale ²⁵
02/09/21	100.000	337.99	Various	497.84	...	-159.85	Total of 2 transactions
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
02/09/21	50.000	106.09	12/08/20	39.00	...	67.09	Sale ²⁵
02/19/21	100.000	195.49	12/08/20	78.00	...	117.49	Sale ²⁵
<i>5 transactions for 03/23/21. Total proceeds and cost reported to the IRS.</i>							
	35.000	45.85	12/08/20	27.30	...	18.55	1 of 5 - Sale ²⁵
	65.000	85.79	12/08/20	50.70	...	35.09	2 of 5 - Sale ²⁵
	200.000	263.97	12/09/20	165.57	...	98.40	3 of 5 - Sale ²⁵
	200.000	263.98	12/23/20	134.00	...	129.98	4 of 5 - Sale ²⁵
	200.000	263.97	03/22/21	257.78	...	6.19	5 of 5 - Sale ²⁵
03/23/21	700.000	923.56	Various	635.35	...	288.21	Total of 5 transactions

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
03/24/21	50.000	105.50	03/22/21	64.45	...	41.05	Sale ²⁵
07/26/21	50.000	74.25	03/22/21	64.44	...	9.81	Sale ²⁵
10/20/21	100.000	285.21	03/22/21	128.89	...	156.32	Sale ²⁵
	Security total:	1,690.10		1,010.13	...	679.97	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
6 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	15.000	166.97	02/19/21	165.45	...	1.52	1 of 6 - Sale ²⁵
	30.000	333.95	02/19/21	325.50	...	8.45	2 of 6 - Sale ²⁵
	20.000	222.63	02/24/21	205.10	...	17.53	3 of 6 - Sale ²⁵
	10.000	111.32	03/02/21	94.20	...	17.12	4 of 6 - Sale ²⁵
	30.000	333.94	03/16/21	260.70	...	73.24	5 of 6 - Sale ²⁵
	15.000	166.97	03/25/21	109.58	...	57.39	6 of 6 - Sale ²⁵
04/29/21	120.000	1,335.78	Various	1,160.53	...	175.25	Total of 6 transactions
2 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	100.000	1,113.15	02/05/21	1,191.50	78.35 W	0.00	1 of 2 - Sale ²⁵
	100.000	1,113.15	02/05/21	1,191.50	78.35 W	0.00	2 of 2 - Sale ²⁵
04/29/21	200.000	2,226.30	Various	2,383.00	156.70 W	0.00	Total of 2 transactions
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	30.000	534.90	04/29/21	358.87	...	176.03	1 of 2 - Sale ²⁵
	40.000	815.19	04/29/21	478.50	...	336.69	2 of 2 - Sale ²⁵
06/08/21	70.000	1,350.09	Various	837.37	...	512.72	Total of 2 transactions
	Security total:	4,912.17		4,380.90	156.70 W	687.97	
DERMATA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 249845108 / Symbol:							
11/04/21	10.000	62.80	11/03/21	62.32	...	0.48	Sale ²⁵
ENVERIC BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 29405E109 / Symbol:							
2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	5.000	30.80	02/03/21	22.20	...	8.60	1 of 2 - Sale ²⁵
	95.000	585.23	02/03/21	421.79	...	163.44	2 of 2 - Sale ²⁵
03/10/21	100.000	616.03	Various	443.99	...	172.04	Total of 2 transactions

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
5 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	1.036	1.99	06/26/20	1.55	...	0.44	1 of 5 - Sale ²⁵
	24.000	45.84	06/26/20	35.88	...	9.96	2 of 5 - Sale ²⁵
	39.000	74.49	06/26/20	58.31	...	16.18	3 of 5 - Sale ²⁵
	50.707	96.84	06/26/20	72.00	...	24.84	4 of 5 - Sale ²⁵
	77.292	147.62	07/14/20	102.63	...	44.99	5 of 5 - Sale ²⁵
01/22/21	192.036	366.78	Various	270.37	...	96.41	Total of 5 transactions
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	50.000	584.98	07/14/20	66.39	...	518.59	1 of 2 - Sale ²⁵
	50.000	599.98	07/14/20	66.39	...	533.59	2 of 2 - Sale ²⁵
01/27/21	100.000	1,184.96	Various	132.78	...	1,052.18	Total of 2 transactions
03/25/21	100.000	451.99	07/14/20	132.78	...	319.21	Sale ²⁵
3 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	12.664	61.30	07/14/20	16.81	...	44.49	1 of 3 - Sale ²⁵
	0.336	1.63	08/19/20	0.38	...	1.25	2 of 3 - Sale ²⁵
	37.000	179.10	08/19/20	42.37	...	136.73	3 of 3 - Sale ²⁵
05/26/21	50.000	242.03	Various	59.56	...	182.47	Total of 3 transactions
3 transactions for 07/20/21. Total proceeds and cost reported to the IRS.							
	50.000	240.60	08/19/20	57.25	...	183.35	1 of 3 - Sale ²⁵
	1.000	4.84	09/03/20	1.04	...	3.80	2 of 3 - Sale ²⁵
	34.000	164.56	09/03/20	35.28	...	129.28	3 of 3 - Sale ²⁵
07/20/21	85.000	410.00	Various	93.57	...	316.43	Total of 3 transactions
	Security total:	2,655.76		689.06	...	1,966.70	
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
02/09/21	50.000	542.03	11/30/20	57.44	...	484.59	Sale ²⁵
2 transactions for 05/24/21. Total proceeds and cost reported to the IRS.							
	50.000	195.00	11/30/20	57.44	...	137.56	1 of 2 - Sale ²⁵
	50.000	195.00	11/30/20	57.43	...	137.57	2 of 2 - Sale ²⁵
05/24/21	100.000	390.00	Various	114.87	...	275.13	Total of 2 transactions
	Security total:	932.03		172.31	...	759.72	

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Robinhood Securities LLC

Account 144120375

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FORUM MERGER III CORPORATION C LASS A COMMON STOCK / CUSIP: 349885103 / Symbol:							
04/13/21	50.000	499.55	12/21/20	604.25	...	-104.70	Sale ²⁵
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
02/16/21	30.000	639.91	12/04/20	541.76	...	98.15	Sale ²⁵
02/19/21	50.000	957.47	12/04/20	902.94	...	54.53	Sale ²⁵
	Security total:	1,597.38		1,444.70	...	152.68	
JUPITER WELLNESS, INC. COMMON STOCK / CUSIP: 48208F105 / Symbol:							
01/22/21	21.000	112.23	12/17/20	162.33	...	-50.10	Sale ²⁵
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
01/04/21	5.000	162.51	10/09/20	88.58	...	73.93	Sale ²⁵
01/04/21	10.000	325.01	11/25/20	390.00	64.99 W	0.00	Sale ²⁵
	4 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	10.000	338.60	11/25/20	390.00	...	-51.40	1 of 4 - Sale ²⁵
	10.000	338.59	11/30/20	363.55	...	-24.96	2 of 4 - Sale ²⁵
	10.000	334.30	12/04/20	307.19	...	27.11	3 of 4 - Sale ²⁵
	10.000	334.29	12/23/20	361.79	...	-27.50	4 of 4 - Sale ²⁵
06/28/21	40.000	1,345.78	Various	1,422.53	...	-76.75	Total of 4 transactions
	Security total:	1,833.30		1,901.11	64.99 W	-2.82	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
01/22/21	10.000	615.48	05/21/20	33.27	...	582.21	Sale ²⁵
	3 transactions for 04/26/21. Total proceeds and cost reported to the IRS.						
	20.000	859.99	05/21/20	66.55	...	793.44	1 of 3 - Sale ²⁵
	50.000	2,099.98	05/21/20	166.38	...	1,933.60	2 of 3 - Sale ²⁵
	80.000	3,446.37	05/21/20	266.20	...	3,180.17	3 of 3 - Sale ²⁵
04/26/21	150.000	6,406.34	Various	499.13	...	5,907.21	Total of 3 transactions
	Security total:	7,021.82		532.40	...	6,489.42	

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Robinhood Securities LLC

Account 144120375

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol:							
2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	100.000	60.48	01/25/21	49.29	...	11.19	1 of 2 - Sale ²⁵
	400.000	241.91	01/25/21	197.16	...	44.75	2 of 2 - Sale ²⁵
04/14/21	500.000	302.39	Various	246.45	...	55.94	Total of 2 transactions
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V801 / Symbol:							
7 transactions for 07/13/21. Total proceeds and cost reported to the IRS.							
	6.667	39.41	02/03/21	90.48	...	-51.07	1 of 7 - Sale ²⁵
	13.333	78.82	03/02/21	259.98	...	-181.16	2 of 7 - Sale ²⁵
	13.333	78.82	04/28/21	153.30	...	-74.48	3 of 7 - Sale ²⁵
	13.333	78.83	04/28/21	153.30	...	-74.47	4 of 7 - Sale ²⁵
	20.000	118.24	04/29/21	217.23	...	-98.99	5 of 7 - Sale ²⁵
	3.333	19.71	05/19/21	42.39	...	-22.68	6 of 7 - Sale ²⁵
	20.000	118.23	06/01/21	129.37	...	-11.14	7 of 7 - Sale ²⁵
07/13/21	90.000	532.06	Various	1,046.05	...	-513.99	Total of 7 transactions
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347Y839 / Symbol:							
07/08/21	5.000	156.06	06/03/21	183.37	...	-27.31	Sale ²⁵
THERAPEUTICSMD, INC. COMMON STOCK / CUSIP: 88338N107 / Symbol:							
02/09/21	100.000	247.99	02/01/21	173.99	...	74.00	Sale ²⁵
TIMBER PHARMACEUTICALS, INC. / CUSIP: 887080109 / Symbol:							
05/21/21	100.000	131.27	12/17/20	83.19	...	48.08	Sale ²⁵
TOUGHBUILT INDUSTRIES, INC. CO MMON STOCK / CUSIP: 89157G504 / Symbol:							
02/09/21	300.000	489.29	12/07/20	257.31	...	231.98	Sale ²⁵
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	42.000	63.84	12/07/20	36.02	...	27.82	1 of 2 - Sale ²⁵
	158.000	240.14	12/07/20	141.56	...	98.58	2 of 2 - Sale ²⁵
02/11/21	200.000	303.98	Various	177.58	...	126.40	Total of 2 transactions
03/02/21	300.000	354.29	12/07/20	257.94	...	96.35	Sale ²⁵

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Robinhood Securities LLC

Account 144120375

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOUGHBUILT INDUSTRIES, INC. COMMON STOCK / CUSIP: 89157G504 / Symbol: (cont'd)							
<i>4 transactions for 03/25/21. Total proceeds and cost reported to the IRS.</i>							
	17.000	18.73	12/07/20	14.62	...	4.11	1 of 4 - Sale ²⁵
	25.000	27.50	12/07/20	21.49	...	6.01	2 of 4 - Sale ²⁵
	250.000	275.52	12/07/20	204.98	...	70.54	3 of 4 - Sale ²⁵
	208.000	229.23	12/08/20	156.00	...	73.23	4 of 4 - Sale ²⁵
03/25/21	500.000	550.98	Various	397.09	...	153.89	Total of 4 transactions
	Security total:	1,698.54		1,089.92	...	608.62	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
02/16/21	2.000	89.70	05/13/20	40.97	...	48.73	Sale ²⁵
UNITED STATES ANTIMONY CORPORATION / CUSIP: 911549103 / Symbol:							
07/26/21	200.000	187.10	02/05/21	151.92	...	35.18	Sale ²⁵
UXIN LIMITED ADS / CUSIP: 91818X108 / Symbol:							
02/12/21	100.000	143.01	01/25/21	130.00	...	13.01	Sale ²⁵
<i>2 transactions for 04/01/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	95.15	01/25/21	65.00	...	30.15	1 of 2 - Sale ²⁵
	250.000	475.73	01/25/21	330.00	...	145.73	2 of 2 - Sale ²⁵
04/01/21	300.000	570.88	Various	395.00	...	175.88	Total of 2 transactions
<i>9 transactions for 04/28/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	149.99	01/25/21	66.00	...	83.99	1 of 9 - Sale ²⁵
	150.000	449.97	01/27/21	181.32	...	268.65	2 of 9 - Sale ²⁵
	5.000	15.00	01/28/21	5.57	...	9.43	3 of 9 - Sale ²⁵
	10.000	29.42	01/28/21	11.15	...	18.27	4 of 9 - Sale ²⁵
	10.000	30.00	01/28/21	11.15	...	18.85	5 of 9 - Sale ²⁵
	10.000	30.00	01/28/21	11.15	...	18.85	6 of 9 - Sale ²⁵
	10.000	30.00	01/28/21	11.15	...	18.85	7 of 9 - Sale ²⁵
	15.000	45.00	01/28/21	16.73	...	28.27	8 of 9 - Sale ²⁵
	40.000	117.68	01/28/21	44.74	...	72.94	9 of 9 - Sale ²⁵
04/28/21	300.000	897.06	Various	358.96	...	538.10	Total of 9 transactions
07/19/21	50.000	167.64	01/28/21	55.92	...	111.72	Sale ²⁵
	Security total:	1,778.59		939.88	...	838.71	

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Robinhood Securities LLC

Account 144120375

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VINCO VENTURES, INC. COMMON ST OCK / CUSIP: 927330100 / Symbol:							
10/14/21	15.000	119.55	06/08/21	70.63	...	48.92	Sale ²⁵
10/19/21	10.000	82.30	06/08/21	47.08	...	35.22	Sale ²⁵
	Security total:	201.85		117.71	...	84.14	
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
02/09/21	10.000	26.05	12/07/20	15.58	...	10.47	Sale ²⁵
	7 transactions for 04/15/21. Total proceeds and cost reported to the IRS.						
	140.000	197.66	12/07/20	218.14	...	-20.48	1 of 7 - Sale ²⁵
	200.000	282.37	12/09/20	297.42	...	-15.05	2 of 7 - Sale ²⁵
	200.000	282.38	12/09/20	297.42	...	-15.04	3 of 7 - Sale ²⁵
	100.000	141.19	12/17/20	138.71	...	2.48	4 of 7 - Sale ²⁵
	150.000	211.78	12/28/20	192.95	...	18.83	5 of 7 - Sale ²⁵
	110.000	155.30	12/29/20	133.88	...	21.42	6 of 7 - Sale ²⁵
	100.000	141.19	01/05/21	117.71	...	23.48	7 of 7 - Sale ²⁵
04/15/21	1,000.000	1,411.87	Various	1,396.23	...	15.64	Total of 7 transactions
	Security total:	1,437.92		1,411.81	...	26.11	
BORQS TECHNOLOGIES, INC. ORDINARY SHARES / CUSIP: G1466B103 / Symbol:							
	2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	100.000	179.99	02/03/21	148.50	...	31.49	1 of 2 - Sale ²⁵
	100.000	179.99	02/03/21	148.50	...	31.49	2 of 2 - Sale ²⁵
02/24/21	200.000	359.98	Various	297.00	...	62.98	Total of 2 transactions
ITERUM THERAPEUTICS PLC ORDINARY SHARE / CUSIP: G6333L101 / Symbol:							
	3 transactions for 05/19/21. Total proceeds and cost reported to the IRS.						
	200.000	224.27	10/23/20	104.00	...	120.27	1 of 3 - Sale ²⁵
	100.000	112.14	10/26/20	51.51	...	60.63	2 of 3 - Sale ²⁵
	200.000	224.27	12/07/20	157.48	...	66.79	3 of 3 - Sale ²⁵
05/19/21	500.000	560.68	Various	312.99	...	247.69	Total of 3 transactions

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Robinhood Securities LLC

Account 144120375

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RECON TECHNOLOGY, LTD. CLASS A ORDINARY SHARES / CUSIP: G7415M124 / Symbol:							
2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	40.000	134.20	02/09/21	109.11	...	25.09	1 of 2 - Sale ²⁵
	40.000	134.19	02/23/21	93.60	...	40.59	2 of 2 - Sale ²⁵
03/16/21	80.000	268.39	Various	202.71	...	65.68	Total of 2 transactions
URBAN TEA, INC. ORDINARY SHARE S / CUSIP: G9396G118 / Symbol:							
3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	50.000	114.50	11/24/20	92.50	...	22.00	1 of 3 - Sale ²⁵
	50.000	114.50	11/24/20	92.50	...	22.00	2 of 3 - Sale ²⁵
	150.000	343.48	11/24/20	277.50	...	65.98	3 of 3 - Sale ²⁵
01/20/21	250.000	572.48	Various	462.50	...	109.98	Total of 3 transactions
PAINREFORM LTD. ORDINARY SHARE S / CUSIP: M77798102 / Symbol:							
01/22/21	100.000	447.49	12/17/20	581.00	...	-133.51	Sale ²⁵
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
5 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	10.000	10.42	10/16/20	0.93	...	9.49	1 of 5 - Sale ²⁵
	90.000	86.30	10/16/20	8.36	...	77.94	2 of 5 - Sale ²⁵
	1.000	2.50	10/20/20	0.08	...	2.42	3 of 5 - Sale ²⁵
	190.000	197.94	10/20/20	14.82	...	183.12	4 of 5 - Sale ²⁵
	99.000	286.10	10/20/20	7.72	...	278.38	5 of 5 - Sale ²⁵
01/27/21	390.000	583.26	Various	31.91	...	551.35	Total of 5 transactions
Totals :		54,053.88		49,608.87	1,415.10 W	5,860.11	

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Robinhood Securities LLC

Account 144120375

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
11/04/21	0.016	2.43	09/16/20	1.85	...	0.58	Sale ²⁵
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
06/25/21	2.000	499.64	05/11/20	257.60	...	242.04	Sale ²⁵
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
11/03/21	15.000	71.85	09/03/20	15.57	...	56.28	Sale ²⁵
11/04/21	50.000	211.50	09/03/20	51.88	...	159.62	Sale ²⁵
	Security total:	283.35		67.45	...	215.90	
Totals :		785.42		326.90		458.52	

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Robinhood Securities LLC

Account 144120375

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ACASTI PHARMA, INC. CLASS A COMMON STOCK / CUSIP: 00430K873 / Symbol:							
09/07/21	0.500	1.40	12/23/20	1.70	...	-0.30	Gain or loss
JAGUAR HEALTH, INC. COMMON STOCK / CUSIP: 47010C607 / Symbol:							
09/14/21	0.667	1.74	06/02/21	3.80	...	-2.06	Gain or loss
Totals:		3.14		5.50	...	-2.36	

Robinhood Securities LLC

Account 144120375

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/11/21	1.23	Qualified dividend	
			05/13/21	1.10	Qualified dividend	
			08/12/21	1.10	Qualified dividend	
			11/11/21	1.10	Qualified dividend	
				4.53	Total Dividends & distributions	
INVESCO MORTGAGE CAPITAL INC.	46131B100		07/27/21	13.50	Nondividend distribution	03
			10/26/21	14.40	Nondividend distribution	03
				27.90	Total Dividends & distributions	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/11/21	2.85	Qualified dividend	
			06/10/21	2.85	Qualified dividend	
			09/09/21	2.86	Qualified dividend	
			12/09/21	3.17	Qualified dividend	
				11.73	Total Dividends & distributions	
	44.16	Total Dividends & distributions				

Robinhood Securities LLC

Account 144120375

Detail for Miscellaneous Information

2021

02/11/2022

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/16/21	100.00	Other income	
			100.00	Total Miscellaneous income	

Robinhood Securities LLC

Account 144120375

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/04/21	-5.00	Margin interest paid	
		02/01/21	-5.00	Margin interest paid	
		03/03/21	-5.00	Margin interest paid	
		04/05/21	-5.00	Margin interest paid	
		05/03/21	-5.00	Margin interest paid	
		06/01/21	-5.00	Margin interest paid	
		07/01/21	-5.00	Margin interest paid	
		08/02/21	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
Margin charge		08/30/21	-5.00	Margin interest paid	
		09/29/21	-5.00	Margin interest paid	
		10/29/21	-5.00	Margin interest paid	
		11/29/21	-5.00	Margin interest paid	
		12/28/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			-65.00	Total Margin interest paid	

Robinhood Securities LLC

Account 144120375

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AULT GLOBAL HOLDINGS, INC.	05150X104	12/13/21	-705.00	0.00	Exchange	
BITNILE HOLDINGS, INC.	09175M101	12/13/21	705.00	0.00	Exchange	
CLOV 02/19/2021 CALL \$25.00	CLOV 02/19/21 C 25.000	01/11/21	3.00	0.00	Merger	
GHIV 02/19/2021 CALL \$12.50	GHIV 02/19/21 C 12.500	01/22/21	-1.00	0.00	Merger	
IPOC 02/19/2021 CALL \$25.00	IPOC 02/19/21 C 25.000	01/11/21	-3.00	0.00	Merger	
UWMC 02/19/2021 CALL \$12.50	UWMC 02/19/21 C 12.500	01/22/21	1.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on

Instructions for Recipient

forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).