

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 652983404</b>	Statement Date: 02/11/2022 Document ID: 9165 1CZ 48K6	2021
	Hemant Parmar 1908 Artemis Path San Antonio, TX 78245  RECIPIENT'S TIN: XXX-XX-8909		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      24.63</p> <p>1b- Qualified dividends      24.63</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	279,268.78	283,963.09	0.00	10,012.02	5,317.71
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>279,268.78</b>	<b>283,963.09</b>	<b>0.00</b>	<b>10,012.02</b>	<b>5,317.71</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>279,268.78</b>	<b>283,963.09</b>	<b>0.00</b>	<b>10,012.02</b>	<b>5,317.71</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 652983404

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	182.93
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
5 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	0.014	2.90	05/26/21	2.88	...	0.02	1 of 5 - Sale <sup>25</sup>
	0.011	2.30	05/26/21	2.28	...	0.02	2 of 5 - Sale <sup>25</sup>
	0.049	10.56	05/26/21	10.48	...	0.08	3 of 5 - Sale <sup>25</sup>
	5.312	1,136.43	05/26/21	1,127.24	...	9.19	4 of 5 - Sale <sup>25</sup>
	6.772	1,448.83	05/26/21	1,437.12	...	11.71	5 of 5 - Sale <sup>25</sup>
05/28/21	12.158	2,601.02	Various	2,580.00	...	21.02	Total of 5 transactions
05/28/21	0.891	190.62	05/27/21	191.00	0.38 W	0.00	Sale <sup>25</sup>
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	0.891	189.91	06/15/21	188.22	...	1.69	1 of 2 - Sale <sup>25</sup>
	9.109	1,941.42	06/15/21	1,920.34	...	21.08	2 of 2 - Sale <sup>25</sup>
06/18/21	10.000	2,131.33	Various	2,108.56	...	22.77	Total of 2 transactions

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Account 652983404

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
<i>3 transactions for 06/25/21. Total proceeds and cost reported to the IRS.</i>							
	0.500	112.30	06/22/21	105.40	...	6.90	1 of 3 - Sale <sup>25</sup>
	5.000	1,124.99	06/22/21	1,055.00	...	69.99	2 of 3 - Sale <sup>25</sup>
	5.000	1,149.99	06/22/21	1,054.99	...	95.00	3 of 3 - Sale <sup>25</sup>
06/25/21	10.500	2,387.28	Various	2,215.39	...	171.89	Total of 3 transactions
<i>2 transactions for 07/14/21. Total proceeds and cost reported to the IRS.</i>							
	0.181	38.39	07/02/21	39.44	1.05 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.819	1,021.91	07/02/21	1,049.76	27.85 W	0.00	2 of 2 - Sale <sup>25</sup>
07/14/21	5.000	1,060.30	Various	1,089.20	28.90 W	0.00	Total of 2 transactions
<i>2 transactions for 07/29/21. Total proceeds and cost reported to the IRS.</i>							
	0.001	0.11	07/27/21	0.10	...	0.01	1 of 2 - Sale <sup>25</sup>
	6.395	1,241.42	07/27/21	1,172.16	...	69.26	2 of 2 - Sale <sup>25</sup>
07/29/21	6.396	1,241.53	Various	1,172.26	...	69.27	Total of 2 transactions
<i>15 transactions for 07/29/21. Total proceeds and cost reported to the IRS.</i>							
	0.181	35.15	07/02/21	40.49	5.34 W	0.00	1 of 15 - Sale <sup>25</sup>
	4.000	776.64	07/02/21	871.36	94.72 W	0.00	2 of 15 - Sale <sup>25</sup>
	3.819	741.48	07/06/21	834.32	92.84 W	0.00	3 of 15 - Sale <sup>25</sup>
	0.181	35.18	07/06/21	43.85	8.67 W	0.00	4 of 15 - Sale <sup>25</sup>
	0.408	79.31	07/06/21	89.18	9.87 W	0.00	5 of 15 - Sale <sup>25</sup>
	0.592	114.87	07/06/21	129.29	14.42 W	0.00	6 of 15 - Sale <sup>25</sup>
	0.062	12.13	07/06/21	14.72	2.59 W	0.00	7 of 15 - Sale <sup>25</sup>
	1.000	194.11	07/06/21	235.60	41.49 W	0.00	8 of 15 - Sale <sup>25</sup>
	0.181	35.14	07/26/21	44.52	9.38 W	0.00	9 of 15 - Sale <sup>25</sup>
	0.408	79.24	07/26/21	90.69	11.45 W	0.00	10 of 15 - Sale <sup>25</sup>
	3.819	741.29	07/26/21	848.99	107.70 W	0.00	11 of 15 - Sale <sup>25</sup>
	2.938	570.20	07/26/21	651.19	80.99 W	0.00	12 of 15 - Sale <sup>25</sup>
	1.556	301.96	07/26/21	308.02	6.06 W	0.00	13 of 15 - Sale <sup>25</sup>
	0.008	1.56	07/26/21	1.60	0.04 W	0.00	14 of 15 - Sale <sup>25</sup>
	0.040	7.82	07/26/21	7.97	0.15 W	0.00	15 of 15 - Sale <sup>25</sup>
07/29/21	19.193	3,726.08	Various	4,211.79	485.71 W	0.00	Total of 15 transactions
<i>5 transactions for 08/05/21. Total proceeds and cost reported to the IRS.</i>							
	0.181	36.02	08/02/21	44.92	8.90 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.062	12.43	08/02/21	14.85	2.42 W	0.00	2 of 5 - Sale <sup>25</sup>

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Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	0.435	86.53	08/02/21	103.42	16.89 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.565	112.42	08/02/21	134.36	21.94 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.435	86.52	08/02/21	97.64	11.12 W	0.00	5 of 5 - Sale <sup>25</sup>
08/05/21	1.678	333.92	Various	395.19	61.27 W	0.00	Total of 5 transactions
	3 transactions for 08/09/21. Total proceeds and cost reported to the IRS.						
	0.040	7.86	08/02/21	8.24	...	-0.38	1 of 3 - Sale <sup>25</sup>
	0.008	1.57	08/02/21	1.66	...	-0.09	2 of 3 - Sale <sup>25</sup>
	0.391	76.40	08/03/21	91.66	...	-15.26	3 of 3 - Sale <sup>25</sup>
08/09/21	0.440	85.83	Various	101.56	...	-15.73	Total of 3 transactions
	11 transactions for 08/09/21. Total proceeds and cost reported to the IRS.						
	1.556	303.60	08/02/21	318.60	13.42 W	-1.58	1 of 11 - Sale <sup>25</sup>
	2.730	532.73	08/02/21	612.81	80.08 W	0.00	2 of 11 - Sale <sup>25</sup>
	0.592	115.49	08/02/21	130.58	15.09 W	0.00	3 of 11 - Sale <sup>25</sup>
	0.538	105.03	08/02/21	123.26	18.23 W	0.00	4 of 11 - Sale <sup>25</sup>
	0.181	35.33	08/02/21	45.28	9.95 W	0.00	5 of 11 - Sale <sup>25</sup>
	0.005	0.89	08/02/21	1.10	0.21 W	0.00	6 of 11 - Sale <sup>25</sup>
	0.062	12.19	08/02/21	14.97	2.78 W	0.00	7 of 11 - Sale <sup>25</sup>
	0.435	84.88	08/02/21	104.27	19.39 W	0.00	8 of 11 - Sale <sup>25</sup>
	0.116	22.66	08/02/21	26.60	3.94 W	0.00	9 of 11 - Sale <sup>25</sup>
	0.408	79.67	08/02/21	93.46	13.79 W	0.00	10 of 11 - Sale <sup>25</sup>
	2.938	573.29	08/02/21	671.17	97.88 W	0.00	11 of 11 - Sale <sup>25</sup>
08/09/21	9.560	1,865.76	Various	2,142.10	274.76 W	-1.58	Total of 11 transactions
	13 transactions for 08/20/21. Total proceeds and cost reported to the IRS.						
	2.938	464.24	08/20/21	568.61	...	-104.37	1 of 13 - Sale <sup>25</sup>
	2.730	431.41	08/20/21	517.52	...	-86.11	2 of 13 - Sale <sup>25</sup>
	0.435	68.74	08/20/21	89.09	...	-20.35	3 of 13 - Sale <sup>25</sup>
	0.538	85.05	08/20/21	104.47	...	-19.42	4 of 13 - Sale <sup>25</sup>
	1.391	219.90	08/20/21	236.40	...	-16.50	5 of 13 - Sale <sup>25</sup>
	0.592	93.53	08/20/21	109.92	...	-16.39	6 of 13 - Sale <sup>25</sup>
	0.408	64.51	08/20/21	79.20	...	-14.69	7 of 13 - Sale <sup>25</sup>
	0.435	68.74	08/20/21	80.82	...	-12.08	8 of 13 - Sale <sup>25</sup>
	0.181	28.61	08/20/21	38.96	...	-10.35	9 of 13 - Sale <sup>25</sup>
	0.169	26.72	08/20/21	33.65	...	-6.93	10 of 13 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	0.116	18.35	08/20/21	22.55	...	-4.20	11 of 13 - Sale <sup>25</sup>
	0.062	9.87	08/20/21	12.79	...	-2.92	12 of 13 - Sale <sup>25</sup>
	0.005	0.72	08/20/21	0.94	...	-0.22	13 of 13 - Sale <sup>25</sup>
08/20/21	10.000	1,580.39	Various	1,894.92	...	-314.53	Total of 13 transactions
09/24/21	10.000	1,449.49	09/23/21	1,525.80	...	-76.31	Sale <sup>25</sup>
12/27/21	10.000	1,170.86	12/23/21	1,178.74	...	-7.88	Sale <sup>25</sup>
	<b>Security total:</b>	<b>19,824.41</b>		<b>20,806.51</b>	<b>851.02 W</b>	<b>-131.08</b>	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
8 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	0.000	0.15	06/25/21	0.15	...	0.00	1 of 8 - Sale <sup>25</sup>
	0.034	118.71	06/25/21	115.09	...	3.62	2 of 8 - Sale <sup>25</sup>
	0.044	154.81	06/25/21	149.99	...	4.82	3 of 8 - Sale <sup>25</sup>
	0.284	982.19	06/25/21	969.88	...	12.31	4 of 8 - Sale <sup>25</sup>
	0.294	1,017.64	06/25/21	1,000.18	...	17.46	5 of 8 - Sale <sup>25</sup>
	0.213	748.17	06/25/21	727.51	...	20.66	6 of 8 - Sale <sup>25</sup>
	0.291	1,021.68	06/28/21	1,001.60	...	20.08	7 of 8 - Sale <sup>25</sup>
	0.709	2,488.40	06/28/21	2,439.47	...	48.93	8 of 8 - Sale <sup>25</sup>
07/02/21	1.870	6,531.75	Various	6,403.87	...	127.88	Total of 8 transactions
08/11/21	0.083	271.84	07/29/21	276.85	5.01 W	0.00	Sale <sup>25</sup>
5 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	0.425	1,358.84	07/29/21	1,423.13	64.29 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.083	264.34	07/29/21	281.94	17.60 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.381	1,218.31	07/29/21	1,276.34	58.03 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.034	109.39	07/29/21	114.49	5.10 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.078	249.21	07/29/21	260.19	10.98 W	0.00	5 of 5 - Sale <sup>25</sup>
08/20/21	1.000	3,200.09	Various	3,356.09	156.00 W	0.00	Total of 5 transactions
2 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	0.083	286.79	07/29/21	293.58	6.79 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.151	523.22	07/29/21	526.30	3.08 W	0.00	2 of 2 - Sale <sup>25</sup>
08/31/21	0.233	810.01	Various	819.88	9.87 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
2 transactions for 09/08/21. Total proceeds and cost reported to the IRS.							
	0.010	35.39	07/29/21	35.11	...	0.28	1 of 2 - Sale <sup>25</sup>
	0.274	964.60	07/29/21	956.66	...	7.94	2 of 2 - Sale <sup>25</sup>
09/08/21	0.284	999.99	Various	991.77	...	8.22	Total of 2 transactions
18 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	0.000	0.38	07/29/21	0.38	...	0.00	1 of 18 - Sale <sup>25</sup>
	0.553	1,915.55	07/30/21	1,846.90	...	68.65	2 of 18 - Sale <sup>25</sup>
	1.000	3,465.98	07/30/21	3,337.76	...	128.22	3 of 18 - Sale <sup>25</sup>
	0.083	286.30	08/02/21	283.77	...	2.53	4 of 18 - Sale <sup>25</sup>
	0.065	225.05	08/02/21	217.72	...	7.33	5 of 18 - Sale <sup>25</sup>
	0.151	522.31	08/02/21	508.38	...	13.93	6 of 18 - Sale <sup>25</sup>
	0.197	681.65	08/04/21	658.69	...	22.96	7 of 18 - Sale <sup>25</sup>
	0.473	1,640.29	08/04/21	1,585.28	...	55.01	8 of 18 - Sale <sup>25</sup>
	0.284	983.09	08/10/21	941.39	...	41.70	9 of 18 - Sale <sup>25</sup>
	0.001	3.16	08/11/21	3.02	...	0.14	10 of 18 - Sale <sup>25</sup>
	0.033	115.29	08/11/21	109.96	...	5.33	11 of 18 - Sale <sup>25</sup>
	0.067	232.17	08/11/21	221.60	...	10.57	12 of 18 - Sale <sup>25</sup>
	0.078	269.89	08/11/21	256.77	...	13.12	13 of 18 - Sale <sup>25</sup>
	0.304	1,051.98	08/11/21	1,008.33	...	43.65	14 of 18 - Sale <sup>25</sup>
	0.713	2,470.25	08/11/21	2,338.29	...	131.96	15 of 18 - Sale <sup>25</sup>
	0.304	1,054.41	08/13/21	1,000.00	...	54.41	16 of 18 - Sale <sup>25</sup>
	0.269	931.01	08/17/21	867.28	...	63.73	17 of 18 - Sale <sup>25</sup>
	0.006	20.04	08/24/21	19.00	...	1.04	18 of 18 - Sale <sup>25</sup>
09/10/21	4.579	15,868.80	Various	15,204.52	...	664.28	Total of 18 transactions
4 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	0.001	3.16	07/29/21	3.19	0.03 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.371	1,284.33	07/29/21	1,294.50	10.17 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.033	115.31	07/30/21	116.14	0.83 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.078	269.93	07/30/21	271.23	1.30 W	0.00	4 of 4 - Sale <sup>25</sup>
09/10/21	0.483	1,672.73	Various	1,685.06	12.33 W	0.00	Total of 4 transactions
3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	0.001	3.58	09/13/21	3.58	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.149	517.23	09/13/21	517.18	...	0.05	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
09/17/21	0.499	1,737.42	09/13/21	1,736.42	...	1.00	3 of 3 - Sale <sup>25</sup>
	0.649	2,258.23	Various	2,257.18	...	1.05	Total of 3 transactions
	4 transactions for 10/15/21. Total proceeds and cost reported to the IRS.						
	0.210	708.59	09/13/21	730.80	22.21 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.142	480.63	09/13/21	495.60	14.97 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.358	1,206.83	09/13/21	1,244.23	37.40 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.500	1,692.21	09/13/21	1,739.75	47.54 W	0.00	4 of 4 - Sale <sup>25</sup>
10/15/21	1.210	4,088.26	Various	4,210.38	122.12 W	0.00	Total of 4 transactions
10/18/21	0.064	222.00	09/13/21	224.15	2.15 W	0.00	Sale <sup>25</sup>
	3 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	0.078	262.47	09/13/21	270.29	7.82 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.229	774.43	09/14/21	795.56	21.13 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.296	999.99	09/14/21	1,026.63	26.64 W	0.00	3 of 3 - Sale <sup>25</sup>
11/03/21	0.603	2,036.89	Various	2,092.48	55.59 W	0.00	Total of 3 transactions
	7 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	0.104	357.83	09/14/21	359.81	1.98 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.001	3.23	09/15/21	3.32	0.09 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.142	491.62	09/15/21	505.23	13.61 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.044	151.66	09/15/21	155.83	4.17 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.209	721.71	09/15/21	741.82	20.11 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.144	497.03	09/15/21	509.60	12.57 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.061	209.56	09/16/21	215.38	5.82 W	0.00	7 of 7 - Sale <sup>25</sup>
11/04/21	0.704	2,432.64	Various	2,490.99	58.35 W	0.00	Total of 7 transactions
	2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	0.017	59.69	09/21/21	58.47	...	1.22	1 of 2 - Sale <sup>25</sup>
	0.064	227.93	09/21/21	219.32	...	8.61	2 of 2 - Sale <sup>25</sup>
11/05/21	0.081	287.62	Various	277.79	...	9.83	Total of 2 transactions
	2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	0.109	387.13	09/16/21	389.03	1.90 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.309	1,094.34	09/16/21	1,096.78	2.44 W	0.00	2 of 2 - Sale <sup>25</sup>
11/05/21	0.419	1,481.47	Various	1,485.81	4.34 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	0.174	612.08	09/21/21	602.54	...	9.54	1 of 2 - Sale <sup>25</sup>
	0.326	1,148.43	09/21/21	1,099.51	...	48.92	2 of 2 - Sale <sup>25</sup>
11/08/21	0.500	1,760.51	Various	1,702.05	...	58.46	Total of 2 transactions
2 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	0.315	1,099.02	09/21/21	1,060.44	...	38.58	1 of 2 - Sale <sup>25</sup>
	0.185	647.82	09/27/21	625.09	...	22.73	2 of 2 - Sale <sup>25</sup>
11/11/21	0.500	1,746.84	Various	1,685.53	...	61.31	Total of 2 transactions
4 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	0.268	958.12	09/27/21	902.91	...	55.21	1 of 4 - Sale <sup>25</sup>
	0.133	477.53	09/28/21	441.95	...	35.58	2 of 4 - Sale <sup>25</sup>
	0.232	830.52	09/28/21	777.12	...	53.40	3 of 4 - Sale <sup>25</sup>
	0.367	1,311.42	09/28/21	1,226.88	...	84.54	4 of 4 - Sale <sup>25</sup>
11/17/21	1.000	3,577.59	Various	3,348.86	...	228.73	Total of 4 transactions
2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	0.471	1,729.28	09/28/21	1,558.05	...	171.23	1 of 2 - Sale <sup>25</sup>
	0.029	108.34	09/30/21	97.42	...	10.92	2 of 2 - Sale <sup>25</sup>
11/18/21	0.500	1,837.62	Various	1,655.47	...	182.15	Total of 2 transactions
17 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	0.204	692.12	09/30/21	674.08	...	18.04	1 of 17 - Sale <sup>25</sup>
	0.500	1,692.46	09/30/21	1,652.50	...	39.96	2 of 17 - Sale <sup>25</sup>
	0.011	36.68	10/04/21	35.85	...	0.83	3 of 17 - Sale <sup>25</sup>
	0.022	75.40	10/04/21	73.74	...	1.66	4 of 17 - Sale <sup>25</sup>
	0.055	188.19	10/04/21	184.04	...	4.15	5 of 17 - Sale <sup>25</sup>
	0.081	275.31	10/04/21	260.92	...	14.39	6 of 17 - Sale <sup>25</sup>
	0.218	740.99	10/04/21	722.80	...	18.19	7 of 17 - Sale <sup>25</sup>
	0.112	379.66	10/04/21	360.77	...	18.89	8 of 17 - Sale <sup>25</sup>
	0.296	1,001.58	10/04/21	978.38	...	23.20	9 of 17 - Sale <sup>25</sup>
	0.133	451.64	10/04/21	428.08	...	23.56	10 of 17 - Sale <sup>25</sup>
	0.104	350.99	10/25/21	345.25	...	5.74	11 of 17 - Sale <sup>25</sup>
	0.085	289.37	10/25/21	283.57	...	5.80	12 of 17 - Sale <sup>25</sup>
	0.109	370.48	10/25/21	364.11	...	6.37	13 of 17 - Sale <sup>25</sup>
	0.224	758.13	10/28/21	740.42	...	17.71	14 of 17 - Sale <sup>25</sup>

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Account 652983404

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.281	950.84	10/28/21	926.42	...	24.42	15 of 17 - Sale <sup>25</sup>
	0.417	1,412.81	10/28/21	1,376.93	...	35.88	16 of 17 - Sale <sup>25</sup>
	0.546	1,847.68	10/28/21	1,788.00	...	59.68	17 of 17 - Sale <sup>25</sup>
12/03/21	3.399	11,514.33	Various	11,195.86	...	318.47	Total of 17 transactions
	19 transactions for 12/03/21. Total proceeds and cost reported to the IRS.						
	0.001	3.17	10/25/21	3.19	0.02 W	0.00	1 of 19 - Sale <sup>25</sup>
	0.139	471.63	10/25/21	474.58	2.95 W	0.00	2 of 19 - Sale <sup>25</sup>
	0.209	707.90	10/25/21	712.47	4.57 W	0.00	3 of 19 - Sale <sup>25</sup>
	0.003	10.58	10/25/21	10.64	0.06 W	0.00	4 of 19 - Sale <sup>25</sup>
	0.044	148.76	10/25/21	149.60	0.84 W	0.00	5 of 19 - Sale <sup>25</sup>
	0.144	486.46	10/25/21	488.16	1.70 W	0.00	6 of 19 - Sale <sup>25</sup>
	0.028	95.07	10/25/21	95.64	0.57 W	0.00	7 of 19 - Sale <sup>25</sup>
	0.033	110.07	10/25/21	110.71	0.64 W	0.00	8 of 19 - Sale <sup>25</sup>
	0.001	3.17	11/22/21	3.37	0.20 W	0.00	9 of 19 - Sale <sup>25</sup>
	0.003	10.58	11/22/21	11.25	0.67 W	0.00	10 of 19 - Sale <sup>25</sup>
	0.044	148.75	11/22/21	158.16	9.41 W	0.00	11 of 19 - Sale <sup>25</sup>
	0.106	359.03	11/22/21	380.95	21.92 W	0.00	12 of 19 - Sale <sup>25</sup>
	0.139	471.63	11/22/21	501.73	30.10 W	0.00	13 of 19 - Sale <sup>25</sup>
	0.209	707.89	11/22/21	753.23	45.34 W	0.00	14 of 19 - Sale <sup>25</sup>
	0.038	127.43	11/26/21	134.89	7.46 W	0.00	15 of 19 - Sale <sup>25</sup>
	0.028	95.07	11/26/21	100.87	5.80 W	0.00	16 of 19 - Sale <sup>25</sup>
	0.033	110.04	11/26/21	116.74	6.70 W	0.00	17 of 19 - Sale <sup>25</sup>
	0.182	615.30	11/26/21	649.16	33.86 W	0.00	18 of 19 - Sale <sup>25</sup>
	0.255	862.62	11/26/21	908.00	45.38 W	0.00	19 of 19 - Sale <sup>25</sup>
12/03/21	1.638	5,545.15	Various	5,763.34	218.19 W	0.00	Total of 19 transactions
	14 transactions for 12/29/21. Total proceeds and cost reported to the IRS.						
	0.182	614.97	12/27/21	651.23	...	-36.26	1 of 14 - Sale <sup>25</sup>
	0.139	471.38	12/27/21	503.32	...	-31.94	2 of 14 - Sale <sup>25</sup>
	0.106	358.84	12/27/21	382.16	...	-23.32	3 of 14 - Sale <sup>25</sup>
	0.095	321.91	12/27/21	340.10	...	-18.19	4 of 14 - Sale <sup>25</sup>
	0.044	148.68	12/27/21	158.67	...	-9.99	5 of 14 - Sale <sup>25</sup>
	0.042	142.88	12/27/21	152.59	...	-9.71	6 of 14 - Sale <sup>25</sup>
	0.033	109.98	12/27/21	117.11	...	-7.13	7 of 14 - Sale <sup>25</sup>
	0.028	95.02	12/27/21	101.19	...	-6.17	8 of 14 - Sale <sup>25</sup>

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Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.021	71.39	12/27/21	75.85	...	-4.46	9 of 14 - Sale <sup>25</sup>
	0.017	55.97	12/27/21	59.47	...	-3.50	10 of 14 - Sale <sup>25</sup>
	0.003	10.58	12/27/21	11.29	...	-0.71	11 of 14 - Sale <sup>25</sup>
	0.130	439.20	12/29/21	467.87	...	-28.67	12 of 14 - Sale <sup>25</sup>
	0.160	540.27	12/29/21	567.26	...	-26.99	13 of 14 - Sale <sup>25</sup>
	0.001	3.16	12/29/21	3.38	...	-0.22	14 of 14 - Sale <sup>25</sup>
12/29/21	1.000	3,384.23	Various	3,591.49	...	-207.26	Total of 14 transactions
	2 transactions for 12/29/21. Total proceeds and cost reported to the IRS.						
	0.167	564.66	12/27/21	603.04	29.85 W	-8.53	1 of 2 - Sale <sup>25</sup>
	0.001	3.16	12/27/21	3.38	0.22 W	0.00	2 of 2 - Sale <sup>25</sup>
12/29/21	0.168	567.82	Various	606.42	30.07 W	-8.53	Total of 2 transactions
	<b>Security total:</b>	<b>72,096.41</b>		<b>71,325.84</b>	<b>674.02 W</b>	<b>1,444.59</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
	9 transactions for 01/25/21. Total proceeds and cost reported to the IRS.						
	0.000	0.06	01/12/21	0.05	...	0.01	1 of 9 - Sale <sup>25</sup>
	4.752	686.35	01/12/21	609.93	...	76.42	2 of 9 - Sale <sup>25</sup>
	5.000	722.13	01/14/21	650.15	...	71.98	3 of 9 - Sale <sup>25</sup>
	0.000	0.02	01/15/21	0.02	...	0.00	4 of 9 - Sale <sup>25</sup>
	0.082	11.87	01/15/21	10.60	...	1.27	5 of 9 - Sale <sup>25</sup>
	0.165	23.83	01/15/21	21.27	...	2.56	6 of 9 - Sale <sup>25</sup>
	9.835	1,423.05	01/15/21	1,268.11	...	154.94	7 of 9 - Sale <sup>25</sup>
	0.001	0.15	01/19/21	0.13	...	0.02	8 of 9 - Sale <sup>25</sup>
	4.754	687.85	01/19/21	604.36	...	83.49	9 of 9 - Sale <sup>25</sup>
01/25/21	24.590	3,555.31	Various	3,164.62	...	390.69	Total of 9 transactions
	3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	3.934	481.64	01/29/21	522.32	...	-40.68	1 of 3 - Sale <sup>25</sup>
	0.062	7.58	01/29/21	8.22	...	-0.64	2 of 3 - Sale <sup>25</sup>
	0.002	0.22	01/29/21	0.24	...	-0.02	3 of 3 - Sale <sup>25</sup>
02/23/21	3.998	489.44	Various	530.78	...	-41.34	Total of 3 transactions

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Robinhood Securities LLC

Account 652983404

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
<i>2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.</i>							
	0.002	0.27	01/19/21	0.28	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	6.000	734.56	01/29/21	810.00	49.44 W	-26.00	2 of 2 - Sale <sup>25</sup>
02/23/21	6.002	734.83	Various	810.28	49.45 W	-26.00	Total of 2 transactions
<i>2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.04	02/17/21	0.04	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.508	62.15	02/22/21	69.43	...	-7.28	2 of 2 - Sale <sup>25</sup>
03/03/21	0.509	62.19	Various	69.47	...	-7.28	Total of 2 transactions
<i>6 transactions for 03/03/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,233.49	01/29/21	1,327.69	94.20 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.066	130.37	01/29/21	141.53	11.16 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.002	0.23	02/17/21	0.26	0.03 W	0.00	3 of 6 - Sale <sup>25</sup>
	3.755	459.24	02/17/21	525.48	66.24 W	0.00	4 of 6 - Sale <sup>25</sup>
	3.932	480.86	02/17/21	562.62	81.76 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.737	90.10	02/22/21	100.63	3.37 W	-7.16	6 of 6 - Sale <sup>25</sup>
03/03/21	19.491	2,394.29	Various	2,658.21	256.76 W	-7.16	Total of 6 transactions
<i>8 transactions for 03/29/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	605.71	02/22/21	683.01	...	-77.30	1 of 8 - Sale <sup>25</sup>
	0.491	59.53	02/22/21	67.64	...	-8.11	2 of 8 - Sale <sup>25</sup>
	3.932	476.34	03/19/21	553.38	...	-77.04	3 of 8 - Sale <sup>25</sup>
	3.755	454.93	03/19/21	516.66	...	-61.73	4 of 8 - Sale <sup>25</sup>
	0.412	49.95	03/19/21	53.77	...	-3.82	5 of 8 - Sale <sup>25</sup>
	0.236	28.56	03/19/21	31.65	...	-3.09	6 of 8 - Sale <sup>25</sup>
	0.162	19.67	03/19/21	21.20	...	-1.53	7 of 8 - Sale <sup>25</sup>
	0.002	0.23	03/19/21	0.26	...	-0.03	8 of 8 - Sale <sup>25</sup>
03/29/21	13.990	1,694.92	Various	1,927.57	...	-232.65	Total of 8 transactions
<i>2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.</i>							
	4.804	631.79	04/30/21	632.31	...	-0.52	1 of 2 - Sale <sup>25</sup>
	0.196	25.75	04/30/21	25.77	...	-0.02	2 of 2 - Sale <sup>25</sup>
04/30/21	5.000	657.54	Various	658.08	...	-0.54	Total of 2 transactions

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Robinhood Securities LLC

Account 652983404

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
<i>2 transactions for 05/03/21. Total proceeds and cost reported to the IRS.</i>							
	0.196	25.97	04/30/21	25.74	...	0.23	1 of 2 - Sale <sup>25</sup>
	10.000	1,328.30	04/30/21	1,316.18	...	12.12	2 of 2 - Sale <sup>25</sup>
05/03/21	10.196	1,354.27	Various	1,341.92	...	12.35	Total of 2 transactions
06/03/21	10.458	1,295.67	06/01/21	1,300.00	...	-4.33	Sale <sup>25</sup>
<i>2 transactions for 07/13/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	145.61	07/06/21	141.88	...	3.73	1 of 2 - Sale <sup>25</sup>
	9.000	1,310.48	07/06/21	1,276.91	...	33.57	2 of 2 - Sale <sup>25</sup>
07/13/21	10.000	1,456.09	Various	1,418.79	...	37.30	Total of 2 transactions
	<b>Security total:</b>	<b>13,694.55</b>		<b>13,879.72</b>	<b>306.21 W</b>	<b>121.04</b>	
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:							
08/13/21	10.000	1,522.69	08/13/21	1,577.20	39.99 W	-14.52	Sale <sup>25</sup>
08/24/21	7.336	1,098.37	08/20/21	1,053.99	...	44.38	Sale <sup>25</sup>
09/24/21	10.000	1,562.09	09/23/21	1,609.50	...	-47.41	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,183.15</b>		<b>4,240.69</b>	<b>39.99 W</b>	<b>-17.55</b>	
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
<i>3 transactions for 12/15/21. Total proceeds and cost reported to the IRS.</i>							
	5.818	1,655.59	12/08/21	1,715.63	...	-60.04	1 of 3 - Sale <sup>25</sup>
	0.179	50.99	12/08/21	54.64	...	-3.65	2 of 3 - Sale <sup>25</sup>
	0.002	0.69	12/08/21	0.71	...	-0.02	3 of 3 - Sale <sup>25</sup>
12/15/21	6.000	1,707.27	Various	1,770.98	...	-63.71	Total of 3 transactions
12/15/21	0.179	51.02	12/08/21	52.82	1.80 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,758.29</b>		<b>1,823.80</b>	<b>1.80 W</b>	<b>-63.71</b>	
FIGS, INC. / CUSIP: 30260D103 / Symbol:							
<i>2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	749.99	05/27/21	747.25	...	2.74	1 of 2 - Sale <sup>25</sup>
	29.000	870.72	05/27/21	866.80	...	3.92	2 of 2 - Sale <sup>25</sup>
05/28/21	54.000	1,620.71	Various	1,614.05	...	6.66	Total of 2 transactions

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Robinhood Securities LLC

Account 652983404

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
<i>7 transactions for 09/07/21. Total proceeds and cost reported to the IRS.</i>							
	11.988	24.93	08/30/21	28.62	...	-3.69	1 of 7 - Sale <sup>25</sup>
	22.977	47.79	08/30/21	50.95	...	-3.16	2 of 7 - Sale <sup>25</sup>
	0.788	1.64	08/30/21	1.88	...	-0.24	3 of 7 - Sale <sup>25</sup>
	1.154	2.40	08/30/21	2.55	...	-0.15	4 of 7 - Sale <sup>25</sup>
	3.902	8.12	08/31/21	8.00	...	0.12	5 of 7 - Sale <sup>25</sup>
	22.222	46.22	09/01/21	42.00	...	4.22	6 of 7 - Sale <sup>25</sup>
	49.968	103.93	09/02/21	114.93	...	-11.00	7 of 7 - Sale <sup>25</sup>
09/07/21	113.000	235.03	Various	248.93	...	-13.90	Total of 7 transactions
09/08/21	0.032	0.07	09/02/21	0.07	...	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>235.10</b>		<b>249.00</b>	...	<b>-13.90</b>	

MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:

<i>2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	609.98	12/22/20	634.20	24.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.040	5.00	12/22/20	5.09	0.09 W	0.00	2 of 2 - Sale <sup>25</sup>
01/12/21	5.040	614.98	Various	639.29	24.31 W	0.00	Total of 2 transactions
01/13/21	10.000	1,249.97	12/22/20	1,268.40	18.43 W	0.00	Sale <sup>25</sup>
<i>6 transactions for 01/14/21. Total proceeds and cost reported to the IRS.</i>							
	5.712	742.48	12/22/20	724.45	...	18.03	1 of 6 - Sale <sup>25</sup>
	0.033	4.28	12/28/20	3.90	...	0.38	2 of 6 - Sale <sup>25</sup>
	0.300	38.96	12/28/20	36.25	...	2.71	3 of 6 - Sale <sup>25</sup>
	0.267	34.67	12/28/20	31.48	...	3.19	4 of 6 - Sale <sup>25</sup>
	4.700	611.03	12/28/20	568.86	...	42.17	5 of 6 - Sale <sup>25</sup>
	3.989	518.53	12/28/20	470.78	...	47.75	6 of 6 - Sale <sup>25</sup>
01/14/21	15.000	1,949.95	Various	1,835.72	...	114.23	Total of 6 transactions
<i>4 transactions for 01/21/21. Total proceeds and cost reported to the IRS.</i>							
	0.007	0.97	12/28/20	0.85	...	0.12	1 of 4 - Sale <sup>25</sup>
	0.473	63.79	12/31/20	50.75	...	13.04	2 of 4 - Sale <sup>25</sup>
	3.248	438.52	12/31/20	342.94	...	95.58	3 of 4 - Sale <sup>25</sup>
	5.272	711.69	12/31/20	566.30	...	145.39	4 of 4 - Sale <sup>25</sup>
01/21/21	9.000	1,214.97	Various	960.84	...	254.13	Total of 4 transactions

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Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
6 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.479	67.05	12/31/20	50.57	...	16.48	1 of 6 - Sale <sup>25</sup>
	4.556	637.87	12/31/20	482.06	...	155.81	2 of 6 - Sale <sup>25</sup>
	0.007	1.02	01/19/21	0.90	...	0.12	3 of 6 - Sale <sup>25</sup>
	0.272	38.10	01/19/21	33.49	...	4.61	4 of 6 - Sale <sup>25</sup>
	0.314	45.77	01/19/21	38.66	...	7.11	5 of 6 - Sale <sup>25</sup>
	4.685	655.92	01/19/21	576.80	...	79.12	6 of 6 - Sale <sup>25</sup>
01/25/21	10.314	1,445.73	Various	1,182.48	...	263.25	Total of 6 transactions
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	0.001	0.14	01/19/21	0.09	...	0.05	1 of 2 - Sale <sup>25</sup>
	9.999	1,868.11	02/01/21	1,599.88	...	268.23	2 of 2 - Sale <sup>25</sup>
02/08/21	10.000	1,868.25	Various	1,599.97	...	268.28	Total of 2 transactions
3 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	0.001	0.11	02/01/21	0.12	0.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	716.25	02/01/21	775.00	58.75 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.999	716.15	02/02/21	749.89	33.74 W	0.00	3 of 3 - Sale <sup>25</sup>
04/09/21	10.000	1,432.51	Various	1,525.01	92.50 W	0.00	Total of 3 transactions
2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	1.001	149.85	02/02/21	150.11	0.26 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.999	598.84	02/17/21	696.19	97.35 W	0.00	2 of 2 - Sale <sup>25</sup>
04/13/21	5.000	748.69	Various	846.30	97.61 W	0.00	Total of 2 transactions
5 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	4.974	795.83	02/22/21	813.00	...	-17.17	1 of 5 - Sale <sup>25</sup>
	0.263	42.05	02/22/21	42.73	...	-0.68	2 of 5 - Sale <sup>25</sup>
	0.402	64.38	02/23/21	58.63	...	5.75	3 of 5 - Sale <sup>25</sup>
	2.616	418.50	02/23/21	381.24	...	37.26	4 of 5 - Sale <sup>25</sup>
	5.000	800.43	02/23/21	728.77	...	71.66	5 of 5 - Sale <sup>25</sup>
04/14/21	13.255	2,121.19	Various	2,024.37	...	96.82	Total of 5 transactions
04/14/21	1.745	279.23	02/17/21	303.81	1.47 W	-23.11	Sale <sup>25</sup>
4 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	0.382	64.89	02/23/21	55.63	...	9.26	1 of 4 - Sale <sup>25</sup>
	6.671	1,134.14	03/01/21	1,000.00	...	134.14	2 of 4 - Sale <sup>25</sup>

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Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	0.957	162.71	03/03/21	131.81	...	30.90	3 of 4 - Sale <sup>25</sup>
	6.990	1,188.24	03/03/21	962.76	...	225.48	4 of 4 - Sale <sup>25</sup>
04/16/21	15.000	2,549.98	Various	2,150.20	...	399.78	Total of 4 transactions
	2 transactions for 04/21/21. Total proceeds and cost reported to the IRS.						
	1.009	171.46	03/03/21	138.92	...	32.54	1 of 2 - Sale <sup>25</sup>
	8.991	1,528.53	03/03/21	1,188.67	...	339.86	2 of 2 - Sale <sup>25</sup>
04/21/21	10.000	1,699.99	Various	1,327.59	...	372.40	Total of 2 transactions
	2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.						
	0.259	45.04	03/03/21	34.23	...	10.81	1 of 2 - Sale <sup>25</sup>
	2.900	504.44	03/05/21	372.06	...	132.38	2 of 2 - Sale <sup>25</sup>
04/23/21	3.159	549.48	Various	406.29	...	143.19	Total of 2 transactions
	7 transactions for 04/27/21. Total proceeds and cost reported to the IRS.						
	4.896	891.03	03/05/21	628.03	...	263.00	1 of 7 - Sale <sup>25</sup>
	0.001	0.13	03/23/21	0.11	...	0.02	2 of 7 - Sale <sup>25</sup>
	0.445	81.05	03/23/21	66.82	...	14.23	3 of 7 - Sale <sup>25</sup>
	1.000	181.95	03/23/21	150.06	...	31.89	4 of 7 - Sale <sup>25</sup>
	0.661	120.30	03/29/21	89.78	...	30.52	5 of 7 - Sale <sup>25</sup>
	1.001	182.13	03/29/21	124.45	...	57.68	6 of 7 - Sale <sup>25</sup>
	1.997	363.40	03/29/21	271.23	...	92.17	7 of 7 - Sale <sup>25</sup>
04/27/21	10.000	1,819.99	Various	1,330.48	...	489.51	Total of 7 transactions
	5 transactions for 04/29/21. Total proceeds and cost reported to the IRS.						
	0.104	18.57	03/29/21	14.40	...	4.17	1 of 5 - Sale <sup>25</sup>
	0.897	159.86	03/29/21	121.89	...	37.97	2 of 5 - Sale <sup>25</sup>
	0.897	159.78	03/29/21	117.30	...	42.48	3 of 5 - Sale <sup>25</sup>
	3.999	712.74	03/29/21	593.64	...	119.10	4 of 5 - Sale <sup>25</sup>
	4.103	730.95	03/29/21	536.82	...	194.13	5 of 5 - Sale <sup>25</sup>
04/29/21	10.000	1,781.90	Various	1,384.05	...	397.85	Total of 5 transactions
06/22/21	10.000	2,199.98	06/14/21	2,009.42	...	190.56	Sale <sup>25</sup>
06/24/21	5.000	1,100.69	06/23/21	1,050.00	...	50.69	Sale <sup>25</sup>
	2 transactions for 08/18/21. Total proceeds and cost reported to the IRS.						
	1.774	706.08	08/11/21	752.99	46.91 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.227	1,284.55	08/11/21	1,287.99	3.44 W	0.00	2 of 2 - Sale <sup>25</sup>
08/18/21	5.000	1,990.63	Various	2,040.98	50.35 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 652983404

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
<i>2 transactions for 08/23/21. Total proceeds and cost reported to the IRS.</i>							
	0.449	185.13	08/11/21	180.16	...	4.97	1 of 2 - Sale <sup>25</sup>
	3.227	1,329.31	08/11/21	1,211.66	...	117.65	2 of 2 - Sale <sup>25</sup>
08/23/21	3.676	1,514.44	Various	1,391.82	...	122.62	Total of 2 transactions
08/23/21	1.324	545.54	08/11/21	563.60	18.06 W	0.00	Sale <sup>25</sup>
<i>5 transactions for 09/01/21. Total proceeds and cost reported to the IRS.</i>							
	0.324	127.22	08/11/21	125.80	...	1.42	1 of 5 - Sale <sup>25</sup>
	0.141	55.26	08/20/21	54.42	...	0.84	2 of 5 - Sale <sup>25</sup>
	0.141	55.26	08/20/21	52.50	...	2.76	3 of 5 - Sale <sup>25</sup>
	0.859	337.23	08/20/21	332.70	...	4.53	4 of 5 - Sale <sup>25</sup>
	2.676	1,050.24	08/20/21	997.83	...	52.41	5 of 5 - Sale <sup>25</sup>
09/01/21	4.141	1,625.21	Various	1,563.25	...	61.96	Total of 5 transactions
09/01/21	0.859	337.23	08/13/21	349.53	12.30 W	0.00	Sale <sup>25</sup>
<i>6 transactions for 09/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.183	485.17	08/20/21	441.27	...	43.90	1 of 6 - Sale <sup>25</sup>
	0.281	115.20	08/27/21	107.75	...	7.45	2 of 6 - Sale <sup>25</sup>
	3.536	1,449.61	08/27/21	1,349.00	...	100.61	3 of 6 - Sale <sup>25</sup>
	3.268	1,355.85	08/27/21	1,253.25	...	102.60	4 of 6 - Sale <sup>25</sup>
	0.189	78.29	08/30/21	71.01	...	7.28	5 of 6 - Sale <sup>25</sup>
	0.543	225.45	08/30/21	204.53	...	20.92	6 of 6 - Sale <sup>25</sup>
09/03/21	9.000	3,709.57	Various	3,426.81	...	282.76	Total of 6 transactions
<i>6 transactions for 09/07/21. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.02	08/30/21	0.02	...	0.00	1 of 6 - Sale <sup>25</sup>
	0.137	59.53	08/30/21	50.20	...	9.33	2 of 6 - Sale <sup>25</sup>
	0.407	177.07	08/30/21	149.34	...	27.73	3 of 6 - Sale <sup>25</sup>
	2.456	1,068.37	08/30/21	924.46	...	143.91	4 of 6 - Sale <sup>25</sup>
	2.863	1,247.96	08/30/21	1,050.44	...	197.52	5 of 6 - Sale <sup>25</sup>
	0.107	46.46	09/03/21	42.00	...	4.46	6 of 6 - Sale <sup>25</sup>
09/07/21	5.970	2,599.41	Various	2,216.46	...	382.95	Total of 6 transactions
<i>3 transactions for 09/14/21. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.02	09/13/21	0.02	...	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	439.98	09/13/21	426.88	...	13.10	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	3.943	1,741.42	09/13/21	1,683.10	...	58.32	3 of 3 - Sale <sup>25</sup>
09/14/21	4.943	2,181.42	Various	2,110.00	...	71.42	Total of 3 transactions
	8 transactions for 09/22/21. Total proceeds and cost reported to the IRS.						
	0.003	1.24	09/17/21	1.14	...	0.10	1 of 8 - Sale <sup>25</sup>
	0.077	33.93	09/17/21	31.21	...	2.72	2 of 8 - Sale <sup>25</sup>
	0.198	87.40	09/17/21	80.71	...	6.69	3 of 8 - Sale <sup>25</sup>
	0.254	112.32	09/17/21	103.25	...	9.07	4 of 8 - Sale <sup>25</sup>
	1.000	440.00	09/17/21	425.90	...	14.10	5 of 8 - Sale <sup>25</sup>
	1.254	554.97	09/17/21	517.00	...	37.97	6 of 8 - Sale <sup>25</sup>
	4.802	2,117.69	09/17/21	2,045.10	...	72.59	7 of 8 - Sale <sup>25</sup>
	3.746	1,658.36	09/17/21	1,524.69	...	133.67	8 of 8 - Sale <sup>25</sup>
09/22/21	11.333	5,005.91	Various	4,729.00	...	276.91	Total of 8 transactions
	10 transactions for 11/26/21. Total proceeds and cost reported to the IRS.						
	6.000	1,907.99	09/27/21	2,503.66	595.67 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.432	482.77	09/27/21	597.34	114.57 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.422	142.21	09/27/21	175.00	32.79 W	0.00	3 of 10 - Sale <sup>25</sup>
	3.999	1,348.65	09/27/21	1,660.00	311.35 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.656	221.16	09/27/21	269.07	47.91 W	0.00	5 of 10 - Sale <sup>25</sup>
	2.999	1,011.56	09/27/21	1,230.93	219.37 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.080	27.05	09/28/21	31.57	4.52 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.412	139.03	09/28/21	162.31	23.28 W	0.00	8 of 10 - Sale <sup>25</sup>
	4.588	1,590.46	09/28/21	1,806.12	215.66 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.412	142.93	09/28/21	160.27	17.34 W	0.00	10 of 10 - Sale <sup>25</sup>
11/26/21	21.000	7,013.81	Various	8,596.27	1,582.46 W	0.00	Total of 10 transactions
	3 transactions for 11/29/21. Total proceeds and cost reported to the IRS.						
	5.000	1,846.24	10/04/21	1,611.28	...	234.96	1 of 3 - Sale <sup>25</sup>
	0.120	44.45	10/15/21	40.25	...	4.20	2 of 3 - Sale <sup>25</sup>
	0.181	66.70	10/15/21	60.41	...	6.29	3 of 3 - Sale <sup>25</sup>
11/29/21	5.301	1,957.39	Various	1,711.94	...	245.45	Total of 3 transactions
11/29/21	4.699	1,735.09	09/28/21	1,826.73	91.64 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>54,843.13</b>		<b>52,370.61</b>	<b>1,989.13 W</b>	<b>4,461.65</b>	

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Account 652983404

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	0.999	504.54	04/21/21	506.75	1.25 W	-0.96	1 of 2 - Sale <sup>25</sup>
	0.434	219.01	04/21/21	219.94	0.93 W	0.00	2 of 2 - Sale <sup>25</sup>
04/23/21	1.433	723.55	Various	726.69	2.18 W	-0.96	Total of 2 transactions
5 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	0.000	0.25	04/21/21	0.25	...	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	1,020.21	04/21/21	1,014.26	...	5.95	2 of 5 - Sale <sup>25</sup>
	0.434	221.25	04/22/21	219.60	...	1.65	3 of 5 - Sale <sup>25</sup>
	0.566	288.47	04/22/21	286.35	...	2.12	4 of 5 - Sale <sup>25</sup>
	2.001	1,020.59	04/22/21	1,008.64	...	11.95	5 of 5 - Sale <sup>25</sup>
04/27/21	5.000	2,550.77	Various	2,529.10	...	21.67	Total of 5 transactions
	<b>Security total:</b>	<b>3,274.32</b>		<b>3,255.79</b>	<b>2.18 W</b>	<b>20.71</b>	
NVIDIA CORPORATION COMMON STOCK / CUSIP: 67066G104 / Symbol:							
2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	0.006	1.21	08/10/21	1.25	...	-0.04	1 of 2 - Sale <sup>25</sup>
	6.000	1,179.65	08/11/21	1,177.86	...	1.79	2 of 2 - Sale <sup>25</sup>
08/11/21	6.006	1,180.86	Various	1,179.11	...	1.75	Total of 2 transactions
08/11/21	9.597	1,886.91	08/10/21	1,950.36	22.28 W	-41.17	Sale <sup>25</sup>
2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	0.370	84.66	09/02/21	85.44	0.78 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	686.47	09/02/21	692.84	6.37 W	0.00	2 of 2 - Sale <sup>25</sup>
09/03/21	3.370	771.13	Various	778.28	7.15 W	0.00	Total of 2 transactions
4 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	3.000	661.87	09/23/21	666.56	...	-4.69	1 of 4 - Sale <sup>25</sup>
	0.206	45.44	09/23/21	45.75	...	-0.31	2 of 4 - Sale <sup>25</sup>
	0.164	36.20	09/23/21	36.45	...	-0.25	3 of 4 - Sale <sup>25</sup>
	4.836	1,067.12	09/23/21	1,064.39	...	2.73	4 of 4 - Sale <sup>25</sup>
09/24/21	8.206	1,810.63	Various	1,813.15	...	-2.52	Total of 4 transactions
2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	0.898	287.23	11/15/21	268.59	...	18.64	1 of 2 - Sale <sup>25</sup>
	4.102	1,312.76	11/15/21	1,227.68	...	85.08	2 of 2 - Sale <sup>25</sup>
11/18/21	5.000	1,599.99	Various	1,496.27	...	103.72	Total of 2 transactions

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(continued)

02/11/2022

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NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: (cont'd)							
<i>6 transactions for 11/19/21. Total proceeds and cost reported to the IRS.</i>							
	1.897	616.55	11/15/21	567.73	...	48.82	1 of 6 - Sale <sup>25</sup>
	0.000	0.06	11/17/21	0.05	...	0.01	2 of 6 - Sale <sup>25</sup>
	0.511	168.27	11/17/21	152.00	...	16.27	3 of 6 - Sale <sup>25</sup>
	1.103	358.44	11/17/21	327.69	...	30.75	4 of 6 - Sale <sup>25</sup>
	2.875	946.94	11/17/21	888.23	...	58.71	5 of 6 - Sale <sup>25</sup>
	1.897	624.72	11/17/21	563.61	...	61.11	6 of 6 - Sale <sup>25</sup>
11/19/21	8.283	2,714.98	Various	2,499.31	...	215.67	Total of 6 transactions
<i>2 transactions for 11/22/21. Total proceeds and cost reported to the IRS.</i>							
	0.328	110.66	11/17/21	101.22	...	9.44	1 of 2 - Sale <sup>25</sup>
	5.000	1,688.04	11/17/21	1,544.55	...	143.49	2 of 2 - Sale <sup>25</sup>
11/22/21	5.328	1,798.70	Various	1,645.77	...	152.93	Total of 2 transactions
<i>3 transactions for 11/29/21. Total proceeds and cost reported to the IRS.</i>							
	0.510	170.40	11/26/21	163.55	...	6.85	1 of 3 - Sale <sup>25</sup>
	1.000	334.38	11/26/21	321.03	...	13.35	2 of 3 - Sale <sup>25</sup>
	8.490	2,839.00	11/26/21	2,725.59	...	113.41	3 of 3 - Sale <sup>25</sup>
11/29/21	10.000	3,343.78	Various	3,210.17	...	133.61	Total of 3 transactions
<i>6 transactions for 12/01/21. Total proceeds and cost reported to the IRS.</i>							
	0.507	168.50	11/26/21	162.83	...	5.67	1 of 6 - Sale <sup>25</sup>
	0.482	160.27	11/26/21	152.50	...	7.77	2 of 6 - Sale <sup>25</sup>
	0.989	327.68	11/26/21	312.63	...	15.05	3 of 6 - Sale <sup>25</sup>
	4.010	1,332.22	11/26/21	1,267.87	...	64.35	4 of 6 - Sale <sup>25</sup>
	0.161	53.45	11/30/21	52.12	...	1.33	5 of 6 - Sale <sup>25</sup>
	3.850	1,275.71	11/30/21	1,243.99	...	31.72	6 of 6 - Sale <sup>25</sup>
12/01/21	10.000	3,317.83	Various	3,191.94	...	125.89	Total of 6 transactions
	<b>Security total:</b>	<b>18,424.81</b>		<b>17,764.36</b>	<b>29.43 W</b>	<b>689.88</b>	
PFIZER INC. / CUSIP: 717081103 / Symbol:							
<i>13 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	10.789	369.28	12/08/20	454.20	...	-84.92	1 of 13 - Sale <sup>25</sup>
	11.865	406.12	12/14/20	562.28	...	-156.16	2 of 13 - Sale <sup>25</sup>
	7.994	273.93	12/14/20	321.22	...	-47.29	3 of 13 - Sale <sup>25</sup>
	2.256	77.33	12/14/20	90.69	...	-13.36	4 of 13 - Sale <sup>25</sup>

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(continued)

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PFIZER INC. / CUSIP: 717081103 / Symbol: (cont'd)							
	0.687	23.50	12/14/20	31.56	...	-8.06	5 of 13 - Sale <sup>25</sup>
	1.057	36.18	12/14/20	42.48	...	-6.30	6 of 13 - Sale <sup>25</sup>
	0.449	15.36	12/14/20	20.62	...	-5.26	7 of 13 - Sale <sup>25</sup>
	0.154	5.29	12/14/20	7.31	...	-2.02	8 of 13 - Sale <sup>25</sup>
	0.000	0.00	12/14/20	0.00	...	0.00	9 of 13 - Zero proceeds / negative sale <sup>25</sup>
	12.997	445.39	12/15/20	503.17	...	-57.78	10 of 13 - Sale <sup>25</sup>
	0.264	9.04	12/15/20	10.21	...	-1.17	11 of 13 - Sale <sup>25</sup>
	1.489	51.03	12/29/20	54.90	...	-3.87	12 of 13 - Sale <sup>25</sup>
	0.000	0.01	12/29/20	0.01	...	0.00	13 of 13 - Sale <sup>25</sup>
02/22/21	50.000	1,712.46	Various	2,098.65	...	-386.19	Total of 13 transactions
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
3 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	0.002	0.34	11/11/21	0.29	...	0.05	1 of 3 - Sale <sup>25</sup>
	0.327	46.08	11/11/21	38.97	...	7.11	2 of 3 - Sale <sup>25</sup>
	6.998	985.26	11/11/21	833.74	...	151.52	3 of 3 - Sale <sup>25</sup>
11/15/21	7.327	1,031.68	Various	873.00	...	158.68	Total of 3 transactions
2 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	0.003	0.35	11/29/21	0.35	...	0.00	1 of 2 - Sale <sup>25</sup>
	9.997	1,155.94	11/29/21	1,149.65	...	6.29	2 of 2 - Sale <sup>25</sup>
11/30/21	10.000	1,156.29	Various	1,150.00	...	6.29	Total of 2 transactions
12/29/21	10.000	980.19	12/23/21	966.00	...	14.19	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,168.16</b>		<b>2,989.00</b>	...	<b>179.16</b>	
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
2 transactions for 08/03/21. Total proceeds and cost reported to the IRS.							
	0.870	38.14	07/29/21	31.35	...	6.79	1 of 2 - Sale <sup>25</sup>
	13.000	558.99	07/29/21	468.65	...	90.34	2 of 2 - Sale <sup>25</sup>
08/03/21	13.870	597.13	Various	500.00	...	97.13	Total of 2 transactions
3 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	5.554	302.18	08/05/21	309.00	...	-6.82	1 of 3 - Sale <sup>25</sup>
	0.219	11.93	08/05/21	11.37	...	0.56	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 652983404

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol: (cont'd)							
	2.999	163.20	08/05/21	155.63	...	7.57	3 of 3 - Sale <sup>25</sup>
08/10/21	8.772	477.31	Various	476.00	...	1.31	Total of 3 transactions
10/28/21	50.000	1,763.49	10/28/21	1,719.00	...	44.49	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,837.93</b>		<b>2,695.00</b>	...	<b>142.93</b>	
ROKU, INC. CLASS A COMMON STOCK / CUSIP: 77543R102 / Symbol:							
2 transactions for 09/16/21. Total proceeds and cost reported to the IRS.							
	4.756	1,499.29	09/15/21	1,507.62	...	-8.33	1 of 2 - Sale <sup>25</sup>
	0.244	76.95	09/15/21	77.39	...	-0.44	2 of 2 - Sale <sup>25</sup>
09/16/21	5.000	1,576.24	Various	1,585.01	...	-8.77	Total of 2 transactions
09/16/21	0.244	76.99	09/15/21	77.38	0.39 W	0.00	Sale <sup>25</sup>
3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	0.621	184.02	11/03/21	177.99	...	6.03	1 of 3 - Sale <sup>25</sup>
	2.000	592.77	11/03/21	574.00	...	18.77	2 of 3 - Sale <sup>25</sup>
11/04/21	2.997	888.36	11/03/21	860.01	...	28.35	3 of 3 - Sale <sup>25</sup>
11/04/21	5.618	1,665.15	Various	1,612.00	...	53.15	Total of 3 transactions
5 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	3.000	731.99	11/17/21	745.57	6.08 W	-7.50	1 of 5 - Sale <sup>25</sup>
	0.082	19.95	11/17/21	20.63	0.68 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.001	0.12	11/17/21	0.13	0.01 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.082	19.94	11/17/21	21.32	1.38 W	0.00	4 of 5 - Sale <sup>25</sup>
11/17/21	6.918	1,687.93	11/17/21	1,747.60	59.67 W	0.00	5 of 5 - Sale <sup>25</sup>
11/17/21	10.082	2,459.93	Various	2,535.25	67.82 W	-7.50	Total of 5 transactions
7 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	6.347	1,451.29	11/18/21	1,556.25	...	-104.96	1 of 7 - Sale <sup>25</sup>
	0.652	148.98	11/18/21	157.09	...	-8.11	2 of 7 - Sale <sup>25</sup>
	0.309	70.56	11/18/21	75.65	...	-5.09	3 of 7 - Sale <sup>25</sup>
	0.262	59.88	11/18/21	64.19	...	-4.31	4 of 7 - Sale <sup>25</sup>
	0.082	18.68	11/18/21	20.70	...	-2.02	5 of 7 - Sale <sup>25</sup>
	0.001	0.11	11/18/21	0.13	...	-0.02	6 of 7 - Sale <sup>25</sup>
	0.692	158.33	11/19/21	165.13	...	-6.80	7 of 7 - Sale <sup>25</sup>
11/24/21	8.344	1,907.83	Various	2,039.14	...	-131.31	Total of 7 transactions
	<b>Security total:</b>	<b>7,686.14</b>		<b>7,848.78</b>	<b>68.21 W</b>	<b>-94.43</b>	

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Robinhood Securities LLC

Account 652983404

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD. / CUSIP: 874039100 / Symbol:							
04/23/21	0.500	59.17	04/19/21	58.38	...	0.79	Sale <sup>25</sup>
	5 transactions for 04/23/21. Total proceeds and cost reported to the IRS.						
	0.500	58.93	04/16/21	59.20	0.27 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	589.19	04/16/21	591.98	2.79 W	0.00	2 of 5 - Sale <sup>25</sup>
	9.500	1,124.32	04/16/21	1,124.77	0.45 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.500	59.17	04/16/21	59.45	0.28 W	0.00	4 of 5 - Sale <sup>25</sup>
	4.500	532.58	04/16/21	535.13	2.55 W	0.00	5 of 5 - Sale <sup>25</sup>
04/23/21	20.000	2,364.19	Various	2,370.53	6.34 W	0.00	Total of 5 transactions
	7 transactions for 04/26/21. Total proceeds and cost reported to the IRS.						
	0.500	60.66	04/19/21	58.02	...	2.64	1 of 7 - Sale <sup>25</sup>
	4.000	485.28	04/19/21	462.11	...	23.17	2 of 7 - Sale <sup>25</sup>
	5.500	667.25	04/19/21	639.35	...	27.90	3 of 7 - Sale <sup>25</sup>
	0.000	0.05	04/22/21	0.05	...	0.00	4 of 7 - Sale <sup>25</sup>
	0.001	0.16	04/22/21	0.15	...	0.01	5 of 7 - Sale <sup>25</sup>
	4.498	545.73	04/22/21	524.33	...	21.40	6 of 7 - Sale <sup>25</sup>
	5.500	667.30	04/22/21	638.02	...	29.28	7 of 7 - Sale <sup>25</sup>
04/26/21	20.000	2,426.43	Various	2,322.03	...	104.40	Total of 7 transactions
	2 transactions for 08/24/21. Total proceeds and cost reported to the IRS.						
	7.473	839.99	08/20/21	809.36	...	30.63	1 of 2 - Sale <sup>25</sup>
	7.527	846.06	08/20/21	815.29	...	30.77	2 of 2 - Sale <sup>25</sup>
08/24/21	15.000	1,686.05	Various	1,624.65	...	61.40	Total of 2 transactions
	<b>Security total:</b>	<b>6,535.84</b>		<b>6,375.59</b>	<b>6.34 W</b>	<b>166.59</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
04/21/21	5.000	3,720.20	04/19/21	3,505.79	...	214.41	Sale <sup>25</sup>
	14 transactions for 05/04/21. Total proceeds and cost reported to the IRS.						
	1.514	1,011.02	04/27/21	1,082.98	71.86 W	-0.10	1 of 14 - Sale <sup>25</sup>
	0.002	1.40	04/27/21	1.50	0.10 W	0.00	2 of 14 - Sale <sup>25</sup>
	0.978	653.46	04/27/21	699.52	46.06 W	0.00	3 of 14 - Sale <sup>25</sup>
	0.002	1.40	04/27/21	1.58	0.18 W	0.00	4 of 14 - Sale <sup>25</sup>
	0.020	13.40	04/27/21	15.14	1.74 W	0.00	5 of 14 - Sale <sup>25</sup>
	1.000	673.18	04/27/21	755.16	81.98 W	0.00	6 of 14 - Sale <sup>25</sup>
	0.486	321.58	04/27/21	366.88	45.30 W	0.00	7 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.492	325.33	04/27/21	371.36	46.03 W	0.00	8 of 14 - Sale <sup>25</sup>
	0.002	1.39	04/27/21	1.67	0.28 W	0.00	9 of 14 - Sale <sup>25</sup>
	0.020	13.26	04/27/21	15.95	2.69 W	0.00	10 of 14 - Sale <sup>25</sup>
	0.094	62.24	04/27/21	74.40	12.16 W	0.00	11 of 14 - Sale <sup>25</sup>
	0.492	325.32	04/27/21	371.77	46.45 W	0.00	12 of 14 - Sale <sup>25</sup>
	0.002	1.40	04/27/21	1.67	0.27 W	0.00	13 of 14 - Sale <sup>25</sup>
	0.412	272.60	04/27/21	324.86	52.26 W	0.00	14 of 14 - Sale <sup>25</sup>
05/04/21	5.516	3,676.98	Various	4,084.44	407.36 W	-0.10	Total of 14 transactions
	6 transactions for 05/19/21. Total proceeds and cost reported to the IRS.						
	0.008	4.64	04/27/21	6.59	1.95 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.492	276.70	04/27/21	387.72	111.02 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.084	47.15	04/27/21	67.05	19.90 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.237	133.09	04/29/21	181.96	48.87 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.400	224.91	04/29/21	307.65	82.74 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.280	157.51	04/29/21	252.47	94.96 W	0.00	6 of 6 - Sale <sup>25</sup>
05/19/21	1.500	844.00	Various	1,203.44	359.44 W	0.00	Total of 6 transactions
	21 transactions for 05/24/21. Total proceeds and cost reported to the IRS.						
	0.008	4.94	04/29/21	7.52	2.58 W	0.00	1 of 21 - Sale <sup>25</sup>
	0.084	50.28	04/29/21	76.56	26.28 W	0.00	2 of 21 - Sale <sup>25</sup>
	0.212	127.09	04/29/21	191.03	63.94 W	0.00	3 of 21 - Sale <sup>25</sup>
	0.144	86.64	04/29/21	127.51	40.87 W	0.00	4 of 21 - Sale <sup>25</sup>
	0.002	1.26	04/29/21	1.70	0.44 W	0.00	5 of 21 - Sale <sup>25</sup>
	0.002	1.27	04/29/21	1.71	0.44 W	0.00	6 of 21 - Sale <sup>25</sup>
	0.020	12.03	04/29/21	16.24	4.21 W	0.00	7 of 21 - Sale <sup>25</sup>
	0.094	56.44	04/29/21	75.76	19.32 W	0.00	8 of 21 - Sale <sup>25</sup>
	0.412	247.22	04/29/21	330.85	83.63 W	0.00	9 of 21 - Sale <sup>25</sup>
	0.250	149.73	04/29/21	191.99	42.26 W	0.00	10 of 21 - Sale <sup>25</sup>
	0.492	295.05	04/29/21	378.93	83.88 W	0.00	11 of 21 - Sale <sup>25</sup>
	0.225	135.07	04/29/21	198.57	63.50 W	0.00	12 of 21 - Sale <sup>25</sup>
	0.255	153.20	04/29/21	225.32	72.12 W	0.00	13 of 21 - Sale <sup>25</sup>
	0.280	167.96	04/29/21	284.07	116.11 W	0.00	14 of 21 - Sale <sup>25</sup>
	0.011	6.85	04/29/21	10.08	3.23 W	0.00	15 of 21 - Sale <sup>25</sup>
	0.709	425.12	04/29/21	478.64	53.52 W	0.00	16 of 21 - Sale <sup>25</sup>
	1.967	1,180.18	05/03/21	1,355.00	174.82 W	0.00	17 of 21 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	3.000	1,799.99	05/03/21	2,054.32	254.33 W	0.00	18 of 21 - Sale <sup>25</sup>
	0.333	199.64	05/03/21	228.00	28.36 W	0.00	19 of 21 - Sale <sup>25</sup>
	1.000	600.00	05/05/21	670.49	70.49 W	0.00	20 of 21 - Sale <sup>25</sup>
	0.500	300.00	05/10/21	314.05	14.05 W	0.00	21 of 21 - Sale <sup>25</sup>
05/24/21	10.000	5,999.96	Various	7,218.34	1,218.38 W	0.00	Total of 21 transactions
	20 transactions for 05/28/21. Total proceeds and cost reported to the IRS.						
	0.280	174.82	05/10/21	291.94	117.12 W	0.00	1 of 20 - Sale <sup>25</sup>
	0.008	5.15	05/10/21	7.76	2.61 W	0.00	2 of 20 - Sale <sup>25</sup>
	0.084	52.33	05/10/21	78.91	26.58 W	0.00	3 of 20 - Sale <sup>25</sup>
	0.212	132.28	05/10/21	196.99	64.71 W	0.00	4 of 20 - Sale <sup>25</sup>
	0.011	7.13	05/10/21	10.40	3.27 W	0.00	5 of 20 - Sale <sup>25</sup>
	0.144	90.17	05/10/21	131.56	41.39 W	0.00	6 of 20 - Sale <sup>25</sup>
	0.225	140.59	05/10/21	204.90	64.31 W	0.00	7 of 20 - Sale <sup>25</sup>
	0.255	159.45	05/10/21	232.49	73.04 W	0.00	8 of 20 - Sale <sup>25</sup>
	0.002	1.31	05/10/21	1.76	0.45 W	0.00	9 of 20 - Sale <sup>25</sup>
	0.002	1.33	05/10/21	1.77	0.44 W	0.00	10 of 20 - Sale <sup>25</sup>
	0.020	12.52	05/10/21	16.80	4.28 W	0.00	11 of 20 - Sale <sup>25</sup>
	0.094	58.75	05/10/21	78.41	19.66 W	0.00	12 of 20 - Sale <sup>25</sup>
	0.412	257.32	05/10/21	342.43	85.11 W	0.00	13 of 20 - Sale <sup>25</sup>
	0.250	155.84	05/10/21	199.01	43.17 W	0.00	14 of 20 - Sale <sup>25</sup>
	0.492	307.10	05/10/21	392.75	85.65 W	0.00	15 of 20 - Sale <sup>25</sup>
	0.709	442.47	05/10/21	498.55	56.08 W	0.00	16 of 20 - Sale <sup>25</sup>
	0.800	499.42	05/10/21	573.38	73.96 W	0.00	17 of 20 - Sale <sup>25</sup>
	0.333	207.63	05/10/21	237.20	29.57 W	0.00	18 of 20 - Sale <sup>25</sup>
	1.167	728.38	05/10/21	836.91	108.53 W	0.00	19 of 20 - Sale <sup>25</sup>
	0.500	312.00	05/11/21	342.25	30.25 W	0.00	20 of 20 - Sale <sup>25</sup>
05/28/21	6.000	3,745.99	Various	4,676.17	930.18 W	0.00	Total of 20 transactions
	27 transactions for 06/07/21. Total proceeds and cost reported to the IRS.						
	1.167	702.88	05/11/21	799.11	96.23 W	0.00	1 of 27 - Sale <sup>25</sup>
	0.229	137.64	05/11/21	161.00	23.36 W	0.00	2 of 27 - Sale <sup>25</sup>
	0.280	168.54	05/11/21	290.56	122.02 W	0.00	3 of 27 - Sale <sup>25</sup>
	0.116	69.65	05/11/21	107.01	37.36 W	0.00	4 of 27 - Sale <sup>25</sup>
	0.333	200.33	05/11/21	234.51	34.18 W	0.00	5 of 27 - Sale <sup>25</sup>
	0.771	464.31	05/11/21	543.18	78.87 W	0.00	6 of 27 - Sale <sup>25</sup>

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Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	602.07	05/11/21	690.04	87.97 W	0.00	7 of 27 - Sale <sup>25</sup>
	0.500	301.03	05/11/21	323.82	22.79 W	0.00	8 of 27 - Sale <sup>25</sup>
	0.008	4.96	05/13/21	7.40	2.44 W	0.00	9 of 27 - Sale <sup>25</sup>
	0.084	50.45	05/13/21	75.33	24.88 W	0.00	10 of 27 - Sale <sup>25</sup>
	0.096	57.88	05/13/21	85.30	27.42 W	0.00	11 of 27 - Sale <sup>25</sup>
	0.011	6.88	05/13/21	9.92	3.04 W	0.00	12 of 27 - Sale <sup>25</sup>
	0.144	86.93	05/13/21	125.40	38.47 W	0.00	13 of 27 - Sale <sup>25</sup>
	0.225	135.54	05/13/21	195.28	59.74 W	0.00	14 of 27 - Sale <sup>25</sup>
	0.255	153.72	05/13/21	221.58	67.86 W	0.00	15 of 27 - Sale <sup>25</sup>
	0.002	1.26	05/13/21	1.67	0.41 W	0.00	16 of 27 - Sale <sup>25</sup>
	0.002	1.28	05/13/21	1.68	0.40 W	0.00	17 of 27 - Sale <sup>25</sup>
	0.020	12.07	05/13/21	15.94	3.87 W	0.00	18 of 27 - Sale <sup>25</sup>
	0.094	56.64	05/13/21	74.39	17.75 W	0.00	19 of 27 - Sale <sup>25</sup>
	0.412	248.08	05/13/21	324.83	76.75 W	0.00	20 of 27 - Sale <sup>25</sup>
	0.250	150.25	05/13/21	188.36	38.11 W	0.00	21 of 27 - Sale <sup>25</sup>
	0.492	296.07	05/13/21	371.75	75.68 W	0.00	22 of 27 - Sale <sup>25</sup>
	0.709	426.58	05/13/21	468.29	41.71 W	0.00	23 of 27 - Sale <sup>25</sup>
	0.333	200.33	05/13/21	223.15	22.82 W	0.00	24 of 27 - Sale <sup>25</sup>
	0.800	481.48	05/13/21	539.22	57.74 W	0.00	25 of 27 - Sale <sup>25</sup>
	1.167	702.77	05/13/21	787.62	84.85 W	0.00	26 of 27 - Sale <sup>25</sup>
	0.500	301.04	05/13/21	321.14	20.10 W	0.00	27 of 27 - Sale <sup>25</sup>
06/07/21	10.000	6,020.66	Various	7,187.48	1,166.82 W	0.00	Total of 27 transactions
06/11/21	0.500	304.99	05/17/21	303.56	...	1.43	Sale <sup>25</sup>
26 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	0.280	170.76	05/13/21	284.88	114.12 W	0.00	1 of 26 - Sale <sup>25</sup>
	0.116	70.57	05/13/21	104.66	34.09 W	0.00	2 of 26 - Sale <sup>25</sup>
	0.229	139.46	05/13/21	156.36	16.90 W	0.00	3 of 26 - Sale <sup>25</sup>
	0.333	202.97	05/13/21	227.76	24.79 W	0.00	4 of 26 - Sale <sup>25</sup>
	0.771	470.43	05/13/21	527.54	57.11 W	0.00	5 of 26 - Sale <sup>25</sup>
	1.167	712.14	05/13/21	775.42	63.28 W	0.00	6 of 26 - Sale <sup>25</sup>
	1.000	609.99	05/13/21	669.75	59.76 W	0.00	7 of 26 - Sale <sup>25</sup>
	0.500	305.00	05/13/21	313.68	8.68 W	0.00	8 of 26 - Sale <sup>25</sup>
	0.008	5.03	05/17/21	7.11	2.08 W	0.00	9 of 26 - Sale <sup>25</sup>
	0.084	51.12	05/17/21	72.39	21.27 W	0.00	10 of 26 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.096	58.64	05/17/21	81.92	23.28 W	0.00	11 of 26 - Sale <sup>25</sup>
	0.011	6.97	05/17/21	9.52	2.55 W	0.00	12 of 26 - Sale <sup>25</sup>
	0.144	88.08	05/17/21	120.33	32.25 W	0.00	13 of 26 - Sale <sup>25</sup>
	0.225	137.32	05/17/21	187.37	50.05 W	0.00	14 of 26 - Sale <sup>25</sup>
	0.255	155.75	05/17/21	212.61	56.86 W	0.00	15 of 26 - Sale <sup>25</sup>
	0.002	1.28	05/17/21	1.60	0.32 W	0.00	16 of 26 - Sale <sup>25</sup>
	0.002	1.30	05/17/21	1.60	0.30 W	0.00	17 of 26 - Sale <sup>25</sup>
	0.020	12.23	05/17/21	15.24	3.01 W	0.00	18 of 26 - Sale <sup>25</sup>
	0.094	57.39	05/17/21	71.08	13.69 W	0.00	19 of 26 - Sale <sup>25</sup>
	0.412	251.34	05/17/21	310.35	59.01 W	0.00	20 of 26 - Sale <sup>25</sup>
	0.250	152.23	05/17/21	179.59	27.36 W	0.00	21 of 26 - Sale <sup>25</sup>
	0.492	299.97	05/17/21	354.47	54.50 W	0.00	22 of 26 - Sale <sup>25</sup>
	0.709	432.19	05/17/21	443.40	11.21 W	0.00	23 of 26 - Sale <sup>25</sup>
	0.333	202.97	05/17/21	211.46	8.49 W	0.00	24 of 26 - Sale <sup>25</sup>
	0.800	487.82	05/17/21	511.12	23.30 W	0.00	25 of 26 - Sale <sup>25</sup>
	1.167	712.02	05/17/21	746.61	34.59 W	0.00	26 of 26 - Sale <sup>25</sup>
06/11/21	9.500	5,794.97	Various	6,597.82	802.85 W	0.00	Total of 26 transactions
	16 transactions for 06/18/21. Total proceeds and cost reported to the IRS.						
	0.280	174.08	06/03/21	252.84	...	-78.76	1 of 16 - Sale <sup>25</sup>
	1.000	621.85	06/03/21	656.21	...	-34.36	2 of 16 - Sale <sup>25</sup>
	0.106	66.20	06/03/21	84.14	...	-17.94	3 of 16 - Sale <sup>25</sup>
	0.096	59.78	06/03/21	76.70	...	-16.92	4 of 16 - Sale <sup>25</sup>
	0.595	369.82	06/03/21	386.55	...	-16.73	5 of 16 - Sale <sup>25</sup>
	0.333	206.91	06/03/21	223.25	...	-16.34	6 of 16 - Sale <sup>25</sup>
	0.171	106.19	06/03/21	114.50	...	-8.31	7 of 16 - Sale <sup>25</sup>
	0.229	142.16	06/03/21	148.58	...	-6.42	8 of 16 - Sale <sup>25</sup>
	0.677	420.74	06/03/21	426.36	...	-5.62	9 of 16 - Sale <sup>25</sup>
	0.226	140.62	06/03/21	142.50	...	-1.88	10 of 16 - Sale <sup>25</sup>
	0.009	5.74	06/03/21	7.28	...	-1.54	11 of 16 - Sale <sup>25</sup>
	0.003	1.61	06/03/21	2.10	...	-0.49	12 of 16 - Sale <sup>25</sup>
	0.006	3.51	06/03/21	3.70	...	-0.19	13 of 16 - Sale <sup>25</sup>
	0.333	206.91	06/03/21	206.95	...	-0.04	14 of 16 - Sale <sup>25</sup>
	0.500	310.92	06/03/21	306.91	...	4.01	15 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 652983404

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
06/18/21	0.709	441.27	06/03/21	433.81	...	7.46	16 of 16 - Sale <sup>25</sup>
	5.271	3,278.31	Various	3,472.38	...	-194.07	Total of 16 transactions
	28 transactions for 06/18/21. Total proceeds and cost reported to the IRS.						
	0.280	175.54	05/17/21	272.82	97.28 W	0.00	1 of 28 - Sale <sup>25</sup>
	0.106	66.76	05/17/21	91.73	24.97 W	0.00	2 of 28 - Sale <sup>25</sup>
	0.009	5.79	05/17/21	7.95	2.16 W	0.00	3 of 28 - Sale <sup>25</sup>
	0.229	143.35	06/01/21	158.87	15.52 W	0.00	4 of 28 - Sale <sup>25</sup>
	0.595	372.91	06/01/21	413.33	40.42 W	0.00	5 of 28 - Sale <sup>25</sup>
	1.167	732.02	06/01/21	788.22	56.20 W	0.00	6 of 28 - Sale <sup>25</sup>
	0.006	3.56	06/01/21	3.98	0.42 W	0.00	7 of 28 - Sale <sup>25</sup>
	0.438	272.19	06/03/21	274.04	0.81 W	-1.04	8 of 28 - Sale <sup>25</sup>
	0.280	174.34	06/03/21	264.25	89.91 W	0.00	9 of 28 - Sale <sup>25</sup>
	0.009	5.75	06/03/21	7.66	1.91 W	0.00	10 of 28 - Sale <sup>25</sup>
	0.106	66.30	06/03/21	88.47	22.17 W	0.00	11 of 28 - Sale <sup>25</sup>
	0.008	5.13	06/03/21	7.00	1.87 W	0.00	12 of 28 - Sale <sup>25</sup>
	0.084	52.19	06/03/21	71.25	19.06 W	0.00	13 of 28 - Sale <sup>25</sup>
	0.096	59.87	06/03/21	80.62	20.75 W	0.00	14 of 28 - Sale <sup>25</sup>
	0.011	7.11	06/03/21	9.36	2.25 W	0.00	15 of 28 - Sale <sup>25</sup>
	0.144	89.93	06/03/21	118.37	28.44 W	0.00	16 of 28 - Sale <sup>25</sup>
	0.225	140.21	06/03/21	184.32	44.11 W	0.00	17 of 28 - Sale <sup>25</sup>
	0.255	159.02	06/03/21	209.15	50.13 W	0.00	18 of 28 - Sale <sup>25</sup>
	0.002	1.31	06/03/21	1.57	0.26 W	0.00	19 of 28 - Sale <sup>25</sup>
	0.002	1.32	06/03/21	1.57	0.25 W	0.00	20 of 28 - Sale <sup>25</sup>
	0.020	12.49	06/03/21	14.97	2.48 W	0.00	21 of 28 - Sale <sup>25</sup>
	0.094	58.59	06/03/21	69.80	11.21 W	0.00	22 of 28 - Sale <sup>25</sup>
	0.412	256.62	06/03/21	304.77	48.15 W	0.00	23 of 28 - Sale <sup>25</sup>
	0.250	155.42	06/03/21	176.21	20.79 W	0.00	24 of 28 - Sale <sup>25</sup>
	0.492	306.27	06/03/21	347.81	41.54 W	0.00	25 of 28 - Sale <sup>25</sup>
	0.265	164.87	06/03/21	170.64	5.77 W	0.00	26 of 28 - Sale <sup>25</sup>
	0.800	498.05	06/03/21	500.29	2.24 W	0.00	27 of 28 - Sale <sup>25</sup>
	0.730	454.36	06/03/21	456.76	2.40 W	0.00	28 of 28 - Sale <sup>25</sup>
06/18/21	7.116	4,441.27	Various	5,095.78	653.47 W	-1.04	Total of 28 transactions

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Robinhood Securities LLC

Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
18 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	0.000	0.05	06/03/21	0.05	...	0.00	1 of 18 - Sale <sup>25</sup>
	0.255	158.53	06/21/21	206.04	...	-47.51	2 of 18 - Sale <sup>25</sup>
	0.412	255.82	06/21/21	299.75	...	-43.93	3 of 18 - Sale <sup>25</sup>
	0.225	139.77	06/21/21	181.57	...	-41.80	4 of 18 - Sale <sup>25</sup>
	0.492	305.32	06/21/21	341.81	...	-36.49	5 of 18 - Sale <sup>25</sup>
	0.144	89.65	06/21/21	116.61	...	-26.96	6 of 18 - Sale <sup>25</sup>
	0.250	154.94	06/21/21	173.17	...	-18.23	7 of 18 - Sale <sup>25</sup>
	0.084	52.03	06/21/21	70.23	...	-18.20	8 of 18 - Sale <sup>25</sup>
	0.094	58.41	06/21/21	68.65	...	-10.24	9 of 18 - Sale <sup>25</sup>
	0.265	164.36	06/21/21	167.41	...	-3.05	10 of 18 - Sale <sup>25</sup>
	0.020	12.45	06/21/21	14.72	...	-2.27	11 of 18 - Sale <sup>25</sup>
	0.011	7.09	06/21/21	9.22	...	-2.13	12 of 18 - Sale <sup>25</sup>
	0.006	3.51	06/21/21	4.73	...	-1.22	13 of 18 - Sale <sup>25</sup>
	0.002	1.30	06/21/21	1.54	...	-0.24	14 of 18 - Sale <sup>25</sup>
	0.002	1.32	06/21/21	1.55	...	-0.23	15 of 18 - Sale <sup>25</sup>
	0.192	118.90	06/21/21	117.75	...	1.15	16 of 18 - Sale <sup>25</sup>
	0.730	452.95	06/21/21	447.87	...	5.08	17 of 18 - Sale <sup>25</sup>
	0.800	496.52	06/21/21	490.55	...	5.97	18 of 18 - Sale <sup>25</sup>
06/21/21	3.983	2,472.92	Various	2,713.22	...	-240.30	Total of 18 transactions
3 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	0.010	10.21	12/08/21	10.21	...	0.00	1 of 3 - Sale <sup>25</sup>
	1.725	1,795.42	12/08/21	1,792.80	...	2.62	2 of 3 - Sale <sup>25</sup>
	4.000	4,172.69	12/08/21	4,165.49	...	7.20	3 of 3 - Sale <sup>25</sup>
12/23/21	5.735	5,978.32	Various	5,968.50	...	9.82	Total of 3 transactions
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	3.265	3,398.53	12/08/21	3,400.29	1.05 W	-0.71	1 of 2 - Sale <sup>25</sup>
	0.010	10.21	12/08/21	10.22	0.01 W	0.00	2 of 2 - Sale <sup>25</sup>
12/23/21	3.275	3,408.74	Various	3,410.51	1.06 W	-0.71	Total of 2 transactions
	<b>Security total:</b>	<b>49,687.31</b>		<b>55,437.43</b>	<b>5,539.56 W</b>	<b>-210.56</b>	

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Account 652983404

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
2 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	0.666	34.66	05/17/21	34.56	...	0.10	1 of 2 - Sale <sup>25</sup>
	6.999	364.37	05/17/21	363.44	...	0.93	2 of 2 - Sale <sup>25</sup>
05/19/21	7.664	399.03	Various	398.00	...	1.03	Total of 2 transactions
5 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	15.861	860.90	10/28/21	866.77	...	-5.87	1 of 5 - Sale <sup>25</sup>
	1.757	95.37	10/28/21	96.02	...	-0.65	2 of 5 - Sale <sup>25</sup>
	1.139	61.85	10/28/21	62.29	...	-0.44	3 of 5 - Sale <sup>25</sup>
	0.733	39.81	10/28/21	39.98	...	-0.17	4 of 5 - Sale <sup>25</sup>
	0.384	20.85	10/28/21	20.93	...	-0.08	5 of 5 - Sale <sup>25</sup>
10/28/21	19.875	1,078.78	Various	1,085.99	...	-7.21	Total of 5 transactions
3 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	16.859	915.09	10/28/21	917.12	0.09 W	-1.94	1 of 3 - Sale <sup>25</sup>
	18.757	1,018.13	10/28/21	1,023.00	4.87 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.384	20.85	10/28/21	20.89	0.04 W	0.00	3 of 3 - Sale <sup>25</sup>
10/28/21	36.000	1,954.07	Various	1,961.01	5.00 W	-1.94	Total of 3 transactions
	<b>Security total:</b>	<b>3,431.88</b>		<b>3,445.00</b>	<b>5.00 W</b>	<b>-8.12</b>	
UIPATH, INC. / CUSIP: 90364P105 / Symbol:							
6 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	0.369	25.18	06/14/21	26.10	0.92 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.007	0.48	06/14/21	0.50	0.02 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.369	25.16	06/14/21	27.03	1.87 W	0.00	3 of 6 - Sale <sup>25</sup>
	7.628	520.62	06/14/21	540.29	19.67 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.010	68.93	06/15/21	70.89	1.96 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.986	408.53	06/15/21	421.11	12.58 W	0.00	6 of 6 - Sale <sup>25</sup>
06/21/21	15.369	1,048.90	Various	1,085.92	37.02 W	0.00	Total of 6 transactions
06/28/21	5.000	350.00	06/21/21	344.65	...	5.35	Sale <sup>25</sup>
5 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	0.369	25.80	06/21/21	27.28	1.48 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.007	0.50	06/21/21	0.51	0.01 W	0.00	2 of 5 - Sale <sup>25</sup>
	7.628	533.98	06/21/21	545.49	11.51 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.010	70.70	06/21/21	71.58	0.88 W	0.00	4 of 5 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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UIPATH, INC. / CUSIP: 90364P105 / Symbol: (cont'd)							
06/28/21	5.986	419.01	06/21/21	425.19	6.18 W	0.00	5 of 5 - Sale <sup>25</sup>
	15.000	1,049.99	Various	1,070.05	20.06 W	0.00	Total of 5 transactions
	13 transactions for 08/18/21. Total proceeds and cost reported to the IRS.						
	0.198	12.00	06/21/21	13.66	1.66 W	0.00	1 of 13 - Sale <sup>25</sup>
	0.006	0.34	06/21/21	0.39	0.05 W	0.00	2 of 13 - Sale <sup>25</sup>
	14.614	884.93	06/21/21	1,007.35	122.42 W	0.00	3 of 13 - Sale <sup>25</sup>
	0.369	22.32	06/28/21	27.64	5.32 W	0.00	4 of 13 - Sale <sup>25</sup>
	0.007	0.43	06/28/21	0.51	0.08 W	0.00	5 of 13 - Sale <sup>25</sup>
	7.628	461.92	06/28/21	552.82	90.90 W	0.00	6 of 13 - Sale <sup>25</sup>
	1.010	61.16	06/28/21	72.55	11.39 W	0.00	7 of 13 - Sale <sup>25</sup>
	1.986	120.25	06/28/21	142.97	22.72 W	0.00	8 of 13 - Sale <sup>25</sup>
	3.584	217.04	06/28/21	261.70	44.66 W	0.00	9 of 13 - Sale <sup>25</sup>
	0.086	5.23	07/06/21	5.68	0.45 W	0.00	10 of 13 - Sale <sup>25</sup>
	0.329	19.94	07/06/21	21.66	1.72 W	0.00	11 of 13 - Sale <sup>25</sup>
	3.668	222.12	07/06/21	237.52	15.40 W	0.00	12 of 13 - Sale <sup>25</sup>
	16.718	1,012.30	07/06/21	1,080.47	68.17 W	0.00	13 of 13 - Sale <sup>25</sup>
08/18/21	50.204	3,039.98	Various	3,424.92	384.94 W	0.00	Total of 13 transactions
	12 transactions for 08/19/21. Total proceeds and cost reported to the IRS.						
	9.140	548.51	07/27/21	624.75	...	-76.24	1 of 12 - Sale <sup>25</sup>
	0.656	39.47	07/27/21	44.83	...	-5.36	2 of 12 - Sale <sup>25</sup>
	0.198	11.92	07/27/21	13.54	...	-1.62	3 of 12 - Sale <sup>25</sup>
	0.006	0.34	07/27/21	0.39	...	-0.05	4 of 12 - Sale <sup>25</sup>
	4.666	280.01	08/02/21	334.81	...	-54.80	5 of 12 - Sale <sup>25</sup>
	4.818	289.12	08/02/21	328.67	...	-39.55	6 of 12 - Sale <sup>25</sup>
	0.369	22.12	08/02/21	27.38	...	-5.26	7 of 12 - Sale <sup>25</sup>
	0.007	0.42	08/02/21	0.50	...	-0.08	8 of 12 - Sale <sup>25</sup>
	2.962	177.77	08/13/21	219.15	...	-41.38	9 of 12 - Sale <sup>25</sup>
	1.986	119.18	08/13/21	145.97	...	-26.79	10 of 12 - Sale <sup>25</sup>
	1.010	60.61	08/13/21	74.07	...	-13.46	11 of 12 - Sale <sup>25</sup>
	0.042	2.50	08/13/21	3.11	...	-0.61	12 of 12 - Sale <sup>25</sup>
08/19/21	25.860	1,551.97	Various	1,817.17	...	-265.20	Total of 12 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UIPATH, INC. / CUSIP: 90364P105 / Symbol: (cont'd)							
6 transactions for 08/19/21. Total proceeds and cost reported to the IRS.							
	0.524	31.54	07/06/21	33.87	2.33 W	0.00	1 of 6 - Sale <sup>25</sup>
	2.758	165.99	07/06/21	178.26	12.27 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.112	6.72	07/06/21	7.20	0.48 W	0.00	3 of 6 - Sale <sup>25</sup>
	4.000	240.72	07/06/21	257.80	17.08 W	0.00	4 of 6 - Sale <sup>25</sup>
	17.000	1,023.05	07/14/21	1,054.43	24.46 W	-6.92	5 of 6 - Sale <sup>25</sup>
	0.270	16.28	07/14/21	16.77	0.49 W	0.00	6 of 6 - Sale <sup>25</sup>
08/19/21	24.664	1,484.30	Various	1,548.33	57.11 W	-6.92	Total of 6 transactions
28 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	3.543	193.43	09/02/21	262.08	...	-68.65	1 of 28 - Sale <sup>25</sup>
	3.565	194.65	09/02/21	232.68	...	-38.03	2 of 28 - Sale <sup>25</sup>
	3.500	191.10	09/02/21	227.84	...	-36.74	3 of 28 - Sale <sup>25</sup>
	3.770	205.86	09/02/21	237.63	...	-31.77	4 of 28 - Sale <sup>25</sup>
	2.626	143.40	09/02/21	172.09	...	-28.69	5 of 28 - Sale <sup>25</sup>
	2.374	129.60	09/02/21	155.24	...	-25.64	6 of 28 - Sale <sup>25</sup>
	3.000	163.79	09/02/21	188.82	...	-25.03	7 of 28 - Sale <sup>25</sup>
	2.000	109.20	09/02/21	131.07	...	-21.87	8 of 28 - Sale <sup>25</sup>
	2.000	109.20	09/02/21	130.82	...	-21.62	9 of 28 - Sale <sup>25</sup>
	2.000	109.20	09/02/21	130.75	...	-21.55	10 of 28 - Sale <sup>25</sup>
	2.000	109.20	09/02/21	130.73	...	-21.53	11 of 28 - Sale <sup>25</sup>
	1.847	100.87	09/02/21	120.98	...	-20.11	12 of 28 - Sale <sup>25</sup>
	2.000	109.20	09/02/21	125.87	...	-16.67	13 of 28 - Sale <sup>25</sup>
	1.042	56.88	09/02/21	68.45	...	-11.57	14 of 28 - Sale <sup>25</sup>
	1.000	54.60	09/02/21	65.44	...	-10.84	15 of 28 - Sale <sup>25</sup>
	1.000	54.60	09/02/21	65.36	...	-10.76	16 of 28 - Sale <sup>25</sup>
	0.959	52.37	09/02/21	62.78	...	-10.41	17 of 28 - Sale <sup>25</sup>
	0.911	49.73	09/02/21	59.78	...	-10.05	18 of 28 - Sale <sup>25</sup>
	0.524	28.61	09/02/21	34.40	...	-5.79	19 of 28 - Sale <sup>25</sup>
	0.329	17.98	09/02/21	21.98	...	-4.00	20 of 28 - Sale <sup>25</sup>
	0.279	15.23	09/02/21	18.14	...	-2.91	21 of 28 - Sale <sup>25</sup>
	0.270	14.77	09/02/21	17.04	...	-2.27	22 of 28 - Sale <sup>25</sup>
	0.112	6.10	09/02/21	7.30	...	-1.20	23 of 28 - Sale <sup>25</sup>
	0.086	4.72	09/02/21	5.76	...	-1.04	24 of 28 - Sale <sup>25</sup>
	0.041	2.23	09/02/21	2.66	...	-0.43	25 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 652983404

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UIPATH, INC. / CUSIP: 90364P105 / Symbol: (cont'd)							
	3.000	163.78	09/08/21	172.84	...	-9.06	26 of 28 - Sale <sup>25</sup>
	0.479	26.15	09/08/21	27.58	...	-1.43	27 of 28 - Sale <sup>25</sup>
	1.000	54.57	09/09/21	55.85	...	-1.28	28 of 28 - Sale <sup>25</sup>
09/17/21	45.257	2,471.02	Various	2,931.96	...	-460.94	Total of 28 transactions
	<b>Security total:</b>	<b>10,996.16</b>		<b>12,223.00</b>	<b>499.13 W</b>	<b>-727.71</b>	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	10.000	1,943.47	11/30/21	2,096.27	...	-152.80	1 of 2 - Sale <sup>25</sup>
	6.764	1,314.55	11/30/21	1,424.00	...	-109.45	2 of 2 - Sale <sup>25</sup>
12/08/21	16.764	3,258.02	Various	3,520.27	...	-262.25	Total of 2 transactions
<b>Totals :</b>		<b>279,268.78</b>		<b>283,963.09</b>	<b>10,012.02 W</b>	<b>5,317.71</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 652983404

**Detail for Dividends and Distributions**

2021

02/11/2022

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.*

*Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
APPLE INC. COMMON STOCK	037833100		02/11/21	4.32	Qualified dividend	
NVIDIA CORPORATION COMMON STOC K	67066G104		12/23/21	0.81	Qualified dividend	
PFIZER INC.	717081103		03/05/21	19.50	Qualified dividend	
				<b>24.63</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 652983404

**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		06/10/21	-5.00	Margin interest paid	
		06/10/21	-12.74	Margin interest paid	
		07/12/21	-5.00	Margin interest paid	
		07/12/21	-5.42	Margin interest paid	
		08/09/21	-5.00	Margin interest paid	
		08/09/21	-7.32	Margin interest paid	
			-40.48	Total Margin interest paid	
Margin charge		09/08/21	-5.00	Margin interest paid	
		09/08/21	-22.18	Margin interest paid	
		10/08/21	-5.00	Margin interest paid	
		10/08/21	-15.37	Margin interest paid	
		11/08/21	-5.00	Margin interest paid	
		11/08/21	-37.32	Margin interest paid	
		12/07/21	-5.00	Margin interest paid	
		12/07/21	-47.58	Margin interest paid	
			-142.45	Total Margin interest paid	
			<b>-182.93</b>	<b>Total Margin interest paid</b>	

25 Tax lot closed on a first in first out basis.

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### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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