

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 120410212	Statement Date: 02/11/2022 Document ID: 656F J3U 1SXG	2021
	Nitin Mishra 5500 Magnolia Run Cir 201 Virginia Beach, VA 23464 RECIPIENT'S TIN: XXX-XX-8137		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 32.66</p> <p>1b- Qualified dividends 29.46</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 0.02</p> <p>7- Foreign tax paid: 0.02</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	537,396.79	552,113.77	0.00	16,034.05	1,317.07
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	537,396.79	552,113.77	0.00	16,034.05	1,317.07
Long	D (basis reported to the IRS)	471.81	386.56	0.00	0.00	85.25
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	471.81	386.56	0.00	0.00	85.25
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	537,868.60	552,500.33	0.00	16,034.05	1,402.32
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 120410212

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	432.48
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 03/05/2021 CALL \$19.00 / CUSIP: / Symbol:							
02/19/21	2.000	139.98	02/18/21	82.00	...	57.98	Option sale to close-call ²⁵
AAL 06/04/2021 CALL \$24.00 / CUSIP: / Symbol:							
05/27/21	1.000	41.98	05/26/21	40.00	...	1.98	Option sale to close-call ²⁵
AAPL 01/15/2021 CALL \$150.00 / CUSIP: / Symbol:							
01/12/21	1.000	0.98	10/14/20	228.00	...	-227.02	Option sale to close-call ²⁵
AAPL 01/19/2024 CALL \$150.00 / CUSIP: / Symbol:							
11/18/21	1.000	3,194.97	11/18/21	3,185.00	...	9.97	Option sale to close-call ²⁵
AAPL 01/19/2024 CALL \$175.00 / CUSIP: / Symbol:							
11/22/21	1.000	2,529.97	11/19/21	2,300.00	...	229.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/19/2024 CALL \$250.00 / CUSIP: / Symbol:							
11/19/21	1.000	689.98	11/18/21	675.00	...	14.98	Option sale to close-call ²⁵
AAPL 01/21/2022 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	1.000	405.98	03/15/21	370.00	...	35.98	1 of 2 - Option sale to close-call ²⁵
	1.000	399.98	03/16/21	445.00	...	-45.02	2 of 2 - Option sale to close-call ²⁵
04/15/21	2.000	805.96	Various	815.00	...	-9.04	Total of 2 transactions
AAPL 01/22/2021 CALL \$133.00 / CUSIP: / Symbol:							
01/15/21	1.000	82.98	01/08/21	286.00	...	-203.02	Option sale to close-call ²⁵
AAPL 03/17/2023 CALL \$150.00 / CUSIP: / Symbol:							
03/16/21	1.000	1,709.98	03/16/21	1,730.00	...	-20.02	Option sale to close-call ²⁵
AAPL 03/19/2021 CALL \$124.00 / CUSIP: / Symbol:							
03/17/21	1.000	153.98	03/17/21	149.00	...	4.98	Option sale to close-call ²⁵
AAPL 03/19/2021 CALL \$170.00 / CUSIP: / Symbol:							
01/25/21	1.000	263.98	09/14/20	200.00	...	63.98	Option sale to close-call ²⁵
AAPL 04/01/2021 CALL \$124.00 / CUSIP: / Symbol:							
03/29/21	1.000	66.98	03/29/21	77.00	...	-10.02	Option sale to close-call ²⁵
AAPL 04/23/2021 CALL \$135.00 / CUSIP: / Symbol:							
04/23/21	10.000	139.97	04/23/21	100.00	...	39.97	Option sale to close-call ²⁵
AAPL 04/30/2021 CALL \$140.00 / CUSIP: / Symbol:							
04/15/21	2.000	379.98	04/09/21	310.00	...	69.98	Option sale to close-call ²⁵
AAPL 04/30/2021 CALL \$145.00 / CUSIP: / Symbol:							
04/20/21	1.000	57.98	04/19/21	96.02	...	-38.04	Option sale to close-call ²⁵
04/20/21	1.000	57.98	04/19/21	77.00	19.02 W	0.00	Option sale to close-call ²⁵
	Security total:	115.96		173.02	19.02 W	-38.04	
AAPL 05/14/2021 CALL \$132.00 / CUSIP: / Symbol:							
05/07/21	2.000	189.98	05/06/21	120.00	...	69.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 06/16/2023 CALL \$300.00 / CUSIP: / Symbol:							
09/08/21	1.000	200.98	09/02/21	199.00	...	1.98	Option sale to close-call ²⁵
AAPL 06/18/2021 CALL \$133.00 / CUSIP: / Symbol:							
3 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	1.000	19.98	06/14/21	21.00	...	-1.02	1 of 3 - Option sale to close-call ²⁵
	1.000	24.98	06/14/21	21.00	...	3.98	2 of 3 - Option sale to close-call ²⁵
	3.000	74.98	06/14/21	63.00	...	11.98	3 of 3 - Option sale to close-call ²⁵
06/16/21	5.000	119.94	Various	105.00	...	14.94	Total of 3 transactions
AAPL 08/27/2021 CALL \$155.00 / CUSIP: / Symbol:							
4 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	14.000	97.96	08/24/21	112.00	...	-14.04	1 of 4 - Option sale to close-call ²⁵
	4.000	27.98	08/24/21	32.00	...	-4.02	2 of 4 - Option sale to close-call ²⁵
	1.000	5.98	08/24/21	8.00	...	-2.02	3 of 4 - Option sale to close-call ²⁵
	1.000	5.98	08/24/21	8.00	...	-2.02	4 of 4 - Option sale to close-call ²⁵
08/24/21	20.000	137.90	Various	160.00	...	-22.10	Total of 4 transactions
AAPL 09/17/2021 CALL \$150.00 / CUSIP: / Symbol:							
07/07/21	1.000	409.98	06/29/21	160.00	...	249.98	Option sale to close-call ²⁵
07/12/21	1.000	415.98	06/29/21	160.00	...	255.98	Option sale to close-call ²⁵
	Security total:	825.96		320.00	...	505.96	
AAPL 09/17/2021 CALL \$165.00 / CUSIP: / Symbol:							
2 transactions for 09/08/21. Total proceeds and cost reported to the IRS.							
	3.000	95.98	09/07/21	144.00	...	-48.02	1 of 2 - Option sale to close-call ²⁵
	1.000	31.98	09/07/21	48.00	...	-16.02	2 of 2 - Option sale to close-call ²⁵
09/08/21	4.000	127.96	Various	192.00	...	-64.04	Total of 2 transactions
09/09/21	1.000	23.98	09/07/21	48.00	...	-24.02	Option sale to close-call ²⁵
	Security total:	151.94		240.00	...	-88.06	
AAPL 09/17/2021 CALL \$250.00 / CUSIP: / Symbol:							
01/28/21	1.000	140.98	09/03/20	369.00	...	-228.02	Option sale to close-call ²⁵

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Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/24/2021 CALL \$170.00 / CUSIP: / Symbol:							
4 transactions for 09/07/21. Total proceeds and cost reported to the IRS.							
	2.000	75.98	09/07/21	84.01	...	-8.03	1 of 4 - Option sale to close-call ²⁵
	1.000	34.98	09/07/21	42.00	...	-7.02	2 of 4 - Option sale to close-call ²⁵
	1.000	37.98	09/07/21	42.00	...	-4.02	3 of 4 - Option sale to close-call ²⁵
	2.000	75.99	09/07/21	80.00	...	-4.01	4 of 4 - Option sale to close-call ²⁵
09/07/21	6.000	224.93	Various	248.01	...	-23.08	Total of 4 transactions
09/07/21	4.000	151.99	09/07/21	160.00	8.01 W	0.00	Option sale to close-call ²⁵
	Security total:	376.92		408.01	8.01 W	-23.08	
AAPL 11/05/2021 CALL \$148.00 / CUSIP: / Symbol:							
11/01/21	1.000	190.98	11/01/21	182.00	...	8.98	Option sale to close-call ²⁵
AAPL 11/19/2021 CALL \$155.00 / CUSIP: / Symbol:							
11/09/21	1.000	71.98	11/08/21	88.02	...	-16.04	Option sale to close-call ²⁵
11/09/21	1.000	71.98	11/08/21	80.00	8.02 W	0.00	Option sale to close-call ²⁵
	Security total:	143.96		168.02	8.02 W	-16.04	
AAPL 12/10/2021 CALL \$165.00 / CUSIP: / Symbol:							
11/30/21	1.000	324.98	11/30/21	292.00	...	32.98	Option sale to close-call ²⁵
AAPL 12/10/2021 PUT \$157.50 / CUSIP: / Symbol:							
12/10/21	1.000	0.00	12/03/21	208.00	...	-208.00	Option expiration- long put
AAPL 12/10/2021 PUT \$160.00 / CUSIP: / Symbol:							
12/10/21	1.000	288.98	12/10/21	0.00	...	288.98	Short sale closed- put expired Option written: 12/03/21
AAPL 12/17/2021 CALL \$182.50 / CUSIP: / Symbol:							
12/16/21	1.000	97.98	12/16/21	118.00	...	-20.02	Option sale to close-call ²⁵
AAPL 12/17/2021 PUT \$177.50 / CUSIP: / Symbol:							
12/16/21	1.000	105.98	12/16/21	104.00	...	1.98	Option sale to close-put ²⁵
ABNB 03/05/2021 CALL \$210.00 / CUSIP: / Symbol:							
02/26/21	1.000	938.98	02/26/21	990.00	...	-51.02	Option sale to close-call ²⁵

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(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABNB 03/19/2021 CALL \$190.00 / CUSIP: / Symbol:							
03/11/21	1.000	759.98	03/11/21	950.00	...	-190.02	Option sale to close-call ²⁵
ABNB 03/26/2021 CALL \$177.50 / CUSIP: / Symbol:							
03/25/21	1.000	304.98	03/25/21	297.00	...	7.98	Option sale to close-call ²⁵
ABNB 04/09/2021 CALL \$187.50 / CUSIP: / Symbol:							
04/08/21	1.000	91.98	04/08/21	119.00	...	-27.02	Option sale to close-call ²⁵
AFRM 10/15/2021 CALL \$150.00 / CUSIP: / Symbol:							
	<i>2 transactions for 10/06/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	9.99	10/05/21	33.00	...	-23.01	1 of 2 - Option sale to close-call ²⁵
	1.000	9.99	10/05/21	33.00	...	-23.01	2 of 2 - Option sale to close-call ²⁵
10/06/21	2.000	19.98	Various	66.00	...	-46.02	Total of 2 transactions
AMC 01/21/2022 CALL \$22.00 / CUSIP: / Symbol:							
01/27/21	1.000	1,009.96	01/27/21	980.00	...	29.96	Option sale to close-call ²⁵
AMC 04/01/2021 CALL \$12.00 / CUSIP: / Symbol:							
02/26/21	1.000	84.98	02/25/21	200.00	...	-115.02	Option sale to close-call ²⁵
AMC 08/27/2021 CALL \$55.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/24/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	176.98	08/24/21	203.00	...	-26.02	1 of 2 - Option sale to close-call ²⁵
	1.000	375.98	08/24/21	203.00	...	172.98	2 of 2 - Option sale to close-call ²⁵
08/24/21	2.000	552.96	Various	406.00	...	146.96	Total of 2 transactions
AMC 08/27/2021 CALL \$60.00 / CUSIP: / Symbol:							
08/24/21	1.000	107.98	08/24/21	291.00	183.02 W	0.00	Option sale to close-call ²⁵
	<i>2 transactions for 08/25/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	221.98	08/25/21	368.04	...	-146.06	1 of 2 - Option sale to close-call ²⁵
	1.000	238.98	08/25/21	243.00	...	-4.02	2 of 2 - Option sale to close-call ²⁵
08/25/21	2.000	460.96	Various	611.04	...	-150.08	Total of 2 transactions
08/25/21	1.000	198.98	08/25/21	426.02	227.04 W	0.00	Option sale to close-call ²⁵
	Security total:	767.92		1,328.06	410.06 W	-150.08	

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 08/27/2021 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	1.000	83.98	08/24/21	171.00	...	-87.02	1 of 2 - Option sale to close-call ²⁵
	1.000	304.98	08/24/21	171.00	...	133.98	2 of 2 - Option sale to close-call ²⁵
08/24/21	2.000	388.96	Various	342.00	...	46.96	Total of 2 transactions
AMC 10/22/2021 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	1.000	82.98	10/14/21	122.00	...	-39.02	1 of 3 - Option sale to close-call ²⁵
	1.000	102.99	10/14/21	122.00	...	-19.01	2 of 3 - Option sale to close-call ²⁵
	1.000	102.99	10/14/21	95.00	...	7.99	3 of 3 - Option sale to close-call ²⁵
10/14/21	3.000	288.96	Various	339.00	...	-50.04	Total of 3 transactions
AMC 11/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/03/21	1.000	515.98	11/02/21	325.00	...	190.98	Option sale to close-call ²⁵
AMC 11/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
3 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	165.98	11/03/21	174.00	...	-8.02	1 of 3 - Option sale to close-call ²⁵
	1.000	154.98	11/03/21	143.00	...	11.98	2 of 3 - Option sale to close-call ²⁵
	1.000	160.98	11/03/21	132.00	...	28.98	3 of 3 - Option sale to close-call ²⁵
11/03/21	3.000	481.94	Various	449.00	...	32.94	Total of 3 transactions
AMC 11/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
11/03/21	1.000	149.98	11/03/21	205.00	...	-55.02	Option sale to close-call ²⁵
AMC 11/26/2021 CALL \$42.00 / CUSIP: / Symbol:							
11/15/21	1.000	302.98	11/15/21	273.00	...	29.98	Option sale to close-call ²⁵
AMC 12/23/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/20/21	1.000	177.98	12/20/21	187.00	...	-9.02	Option sale to close-call ²⁵
AMD 01/21/2022 CALL \$185.00 / CUSIP: / Symbol:							
11/08/21	1.000	399.98	11/08/21	440.00	...	-40.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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AMD 03/19/2021 CALL \$83.00 / CUSIP: / Symbol:							
2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	1.000	178.98	03/15/21	191.00	...	-12.02	1 of 2 - Option sale to close-call ²⁵
	1.000	178.98	03/15/21	191.00	...	-12.02	2 of 2 - Option sale to close-call ²⁵
03/15/21	2.000	357.96	Various	382.00	...	-24.04	Total of 2 transactions
AMD 04/30/2021 CALL \$82.00 / CUSIP: / Symbol:							
04/22/21	1.000	203.98	04/22/21	232.00	...	-28.02	Option sale to close-call ²⁵
AMD 06/11/2021 CALL \$81.00 / CUSIP: / Symbol:							
3 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	1.000	162.98	06/07/21	104.00	...	58.98	1 of 3 - Option sale to close-call ²⁵
	1.000	187.98	06/07/21	104.00	...	83.98	2 of 3 - Option sale to close-call ²⁵
	1.000	93.98	06/08/21	102.00	...	-8.02	3 of 3 - Option sale to close-call ²⁵
06/08/21	3.000	444.94	Various	310.00	...	134.94	Total of 3 transactions
AMD 10/15/2021 PUT \$80.00 / CUSIP: / Symbol:							
09/08/21	5.000	134.98	09/01/21	125.00	...	9.98	Option sale to close-put ²⁵
2 transactions for 09/20/21. Total proceeds and cost reported to the IRS.							
	3.000	95.98	09/01/21	78.00	...	17.98	1 of 2 - Option sale to close-put ²⁵
	2.000	73.98	09/01/21	52.00	...	21.98	2 of 2 - Option sale to close-put ²⁵
09/20/21	5.000	169.96	Various	130.00	...	39.96	Total of 2 transactions
	Security total:	304.94		255.00	...	49.94	
AMD 11/05/2021 CALL \$125.00 / CUSIP: / Symbol:							
11/01/21	1.000	170.98	11/01/21	156.00	...	14.98	Option sale to close-call ²⁵
AMD 11/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
11/03/21	1.000	145.98	11/03/21	144.00	...	1.98	Option sale to close-call ²⁵
AMD 11/19/2021 CALL \$147.00 / CUSIP: / Symbol:							
11/12/21	1.000	399.98	11/12/21	314.00	...	85.98	Option sale to close-call ²⁵
AMD 11/19/2021 CALL \$165.00 / CUSIP: / Symbol:							
11/08/21	1.000	289.98	11/08/21	167.00	...	122.98	Option sale to close-call ²⁵

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Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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AMD 12/17/2021 CALL \$149.00 / CUSIP: / Symbol:							
12/08/21	1.000	399.98	12/08/21	350.00	...	49.98	Option sale to close-call ²⁵
AMD 12/17/2021 CALL \$150.00 / CUSIP: / Symbol:							
12/08/21	1.000	254.98	12/08/21	293.00	...	-38.02	Option sale to close-call ²⁵
AMD 12/31/2021 CALL \$157.50 / CUSIP: / Symbol:							
12/27/21	1.000	217.98	12/27/21	220.00	...	-2.02	Option sale to close-call ²⁵
AMZN 02/12/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
02/04/21	1.000	1,014.96	02/03/21	2,400.00	...	-1,385.04	Option sale to close-call ²⁵
AMZN 02/19/2021 CALL \$3,295.00 / CUSIP: / Symbol:							
02/17/21	1.000	1,999.94	02/17/21	1,550.00	...	449.94	Option sale to close-call ²⁵
AMZN 02/26/2021 CALL \$3,180.00 / CUSIP: / Symbol:							
02/24/21	1.000	2,349.93	02/24/21	2,205.00	...	144.93	Option sale to close-call ²⁵
AMZN 02/26/2021 CALL \$3,195.00 / CUSIP: / Symbol:							
02/23/21	1.000	2,144.94	02/23/21	1,870.00	...	274.94	Option sale to close-call ²⁵
AMZN 02/26/2021 CALL \$3,210.00 / CUSIP: / Symbol:							
02/23/21	1.000	2,839.92	02/23/21	2,600.00	...	239.92	Option sale to close-call ²⁵
AMZN 03/12/2021 CALL \$3,100.00 / CUSIP: / Symbol:							
03/12/21	1.000	153.98	03/12/21	203.00	...	-49.02	Option sale to close-call ²⁵
AMZN 03/19/2021 CALL \$3,100.00 / CUSIP: / Symbol:							
03/19/21	1.000	66.98	03/19/21	355.00	...	-288.02	Option sale to close-call ²⁵
AMZN 03/19/2021 PUT \$3,060.00 / CUSIP: / Symbol:							
<i>2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,804.98	03/16/21	1,910.00	...	-105.02	1 of 2 - Option sale to close-put ²⁵
	1.000	1,749.98	03/16/21	1,690.00	...	59.98	2 of 2 - Option sale to close-put ²⁵
03/16/21	2.000	3,554.96	Various	3,600.00	...	-45.04	Total of 2 transactions
AMZN 03/26/2021 CALL \$3,100.00 / CUSIP: / Symbol:							
03/19/21	1.000	2,574.97	03/19/21	2,535.00	...	39.97	Option sale to close-call ²⁵

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Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMZN 03/26/2021 CALL \$3,115.00 / CUSIP: / Symbol:							
03/19/21	1.000	2,189.97	03/19/21	2,130.00	...	59.97	Option sale to close-call ²⁵
AMZN 03/26/2021 CALL \$3,160.00 / CUSIP: / Symbol:							
03/25/21	1.000	74.98	03/25/21	226.00	...	-151.02	Option sale to close-call ²⁵
AMZN 03/26/2021 CALL \$3,170.00 / CUSIP: / Symbol:							
03/25/21	1.000	424.98	03/25/21	425.00	...	-0.02	Option sale to close-call ²⁵
AMZN 03/26/2021 CALL \$3,200.00 / CUSIP: / Symbol:							
03/23/21	1.000	1,529.98	03/23/21	2,000.00	470.02 W	0.00	Option sale to close-call ²⁵
03/24/21	1.000	1,049.98	03/24/21	1,380.02	...	-330.04	Option sale to close-call ²⁵
Security total:		2,579.96		3,380.02	470.02 W	-330.04	
AMZN 03/26/2021 CALL \$3,210.00 / CUSIP: / Symbol:							
03/24/21	1.000	409.98	03/24/21	782.00	...	-372.02	Option sale to close-call ²⁵
AMZN 03/26/2021 CALL \$3,220.00 / CUSIP: / Symbol:							
03/23/21	1.000	1,126.98	03/23/21	980.00	...	146.98	Option sale to close-call ²⁵
AMZN 03/26/2021 CALL \$3,235.00 / CUSIP: / Symbol:							
03/23/21	1.000	919.98	03/23/21	820.00	...	99.98	Option sale to close-call ²⁵
AMZN 03/26/2021 PUT \$3,075.00 / CUSIP: / Symbol:							
<i>2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	919.98	03/23/21	900.00	...	19.98	1 of 2 - Option sale to close-put ²⁵
	1.000	1,159.98	03/23/21	905.00	...	254.98	2 of 2 - Option sale to close-put ²⁵
03/23/21	2.000	2,079.96	Various	1,805.00	...	274.96	Total of 2 transactions
AMZN 03/26/2021 PUT \$3,090.00 / CUSIP: / Symbol:							
03/23/21	1.000	1,031.98	03/23/21	965.00	...	66.98	Option sale to close-put ²⁵
AMZN 04/01/2021 CALL \$3,100.00 / CUSIP: / Symbol:							
03/30/21	1.000	1,164.98	03/30/21	1,080.00	...	84.98	Option sale to close-call ²⁵
AMZN 04/01/2021 CALL \$3,125.00 / CUSIP: / Symbol:							
03/30/21	1.000	620.98	03/30/21	705.00	...	-84.02	Option sale to close-call ²⁵

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(continued)

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AMZN 04/09/2021 CALL \$3,330.00 / CUSIP: / Symbol:							
04/07/21	1.000	1,039.98	04/07/21	1,020.00	...	19.98	Option sale to close-call ²⁵
AMZN 04/09/2021 CALL \$3,350.00 / CUSIP: / Symbol:							
04/07/21	1.000	884.98	04/07/21	760.00	...	124.98	Option sale to close-call ²⁵
2 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	1.000	864.98	04/07/21	767.00	...	97.98	1 of 2 - Option sale to close-call ²⁵
	1.000	689.98	04/08/21	635.00	...	54.98	2 of 2 - Option sale to close-call ²⁵
04/08/21	2.000	1,554.96	Various	1,402.00	...	152.96	Total of 2 transactions
	Security total:	2,439.94		2,162.00	...	277.94	
AMZN 04/09/2021 PUT \$3,220.00 / CUSIP: / Symbol:							
04/07/21	1.000	469.98	04/07/21	520.00	...	-50.02	Option sale to close-put ²⁵
AMZN 04/23/2021 CALL \$3,450.00 / CUSIP: / Symbol:							
04/22/21	1.000	96.98	04/22/21	211.00	...	-114.02	Option sale to close-call ²⁵
AMZN 07/30/2021 CALL \$3,620.00 / CUSIP: / Symbol:							
4 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/29/21	5,507.00	...	-5,507.00	1 of 4 - Option expiration- long call
	1.000	0.00	07/29/21	5,343.00	...	-5,343.00	2 of 4 - Option expiration- long call
	1.000	0.00	07/29/21	5,343.00	...	-5,343.00	3 of 4 - Option expiration- long call
	1.000	0.00	07/29/21	5,343.00	...	-5,343.00	4 of 4 - Option expiration- long call
07/30/21	4.000	0.00	Various	21,536.00	...	-21,536.00	Total of 4 transactions
AMZN 07/30/2021 CALL \$3,625.00 / CUSIP: / Symbol:							
4 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	2.000	10,217.93	07/30/21	0.00	...	10,217.93	1 of 4 - Short sale closed- call expired Option written: 07/29/21
	2.000	10,217.93	07/30/21	0.00	...	10,217.93	2 of 4 - Short sale closed- call expired Option written: 07/29/21

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AMZN 07/30/2021 CALL \$3,625.00 / CUSIP: / Symbol: (cont'd)							
	2.000	10,217.93	07/30/21	0.00	...	10,217.93	3 of 4 - Short sale closed- call expired
	2.000	10,549.93	07/30/21	0.00	...	10,549.93	Option written: 07/29/21 4 of 4 - Short sale closed- call expired
07/30/21	8.000	41,203.72	Various	0.00	...	41,203.72	Option written: 07/29/21 Total of 4 transactions
AMZN 07/30/2021 CALL \$3,630.00 / CUSIP: / Symbol:							
4 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/29/21	5,083.00	...	-5,083.00	1 of 4 - Option expiration- long call
	1.000	0.00	07/29/21	4,890.00	...	-4,890.00	2 of 4 - Option expiration- long call
	1.000	0.00	07/29/21	4,890.00	...	-4,890.00	3 of 4 - Option expiration- long call
	1.000	0.00	07/29/21	4,890.00	...	-4,890.00	4 of 4 - Option expiration- long call
07/30/21	4.000	0.00	Various	19,753.00	...	-19,753.00	Total of 4 transactions
AMZN 08/06/2021 CALL \$3,450.00 / CUSIP: / Symbol:							
08/05/21	1.000	201.98	08/05/21	250.00	...	-48.02	Option sale to close-call ²⁵
AMZN 10/15/2021 PUT \$3,300.00 / CUSIP: / Symbol:							
10/15/21	1.000	0.00	10/15/21	83.00	...	-83.00	Option expiration- long put
AMZN 10/22/2021 CALL \$3,550.00 / CUSIP: / Symbol:							
10/19/21	1.000	384.98	10/19/21	325.00	...	59.98	Option sale to close-call ²⁵
AMZN 11/12/2021 CALL \$3,405.00 / CUSIP: / Symbol:							
11/04/21	1.000	5,083.96	10/29/21	3,395.00	...	1,688.96	Option sale to close-call ²⁵
AMZN 11/12/2021 CALL \$3,425.00 / CUSIP: / Symbol:							
11/05/21	1.000	-1,333.03	11/04/21	0.00	...	-1,333.03	Short sale closed- call ²⁵ Option written: 10/29/21
AMZN 11/19/2021 PUT \$3,550.00 / CUSIP: / Symbol:							
11/18/21	1.000	124.98	11/18/21	156.00	...	-31.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 11/26/2021 PUT \$3,490.00 / CUSIP: / Symbol:							
11/16/21	1.000	59.97	11/15/21	0.00	...	59.97	Short sale closed- put ²⁵ Option written: 11/15/21
AMZN 11/26/2021 PUT \$3,500.00 / CUSIP: / Symbol:							
11/16/21	1.000	2,954.97	11/16/21	6,400.03	...	-3,445.06	Option sale to close-put ²⁵
11/16/21	1.000	2,919.97	11/12/21	6,300.00	3,380.03 W	0.00	Option sale to close-put ²⁵
	Security total:	5,874.94		12,700.03	3,380.03 W	-3,445.06	
AMZN 12/17/2021 CALL \$3,450.00 / CUSIP: / Symbol:							
12/17/21	1.000	50.98	12/17/21	70.00	...	-19.02	Option sale to close-call ²⁵
AMZN 12/17/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
12/16/21	1.000	459.98	12/16/21	575.00	...	-115.02	Option sale to close-call ²⁵
AMZN 12/17/2021 CALL \$3,550.00 / CUSIP: / Symbol:							
	<i>2 transactions for 12/16/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	499.98	12/16/21	485.00	...	14.98	1 of 2 - Option sale to close-call ²⁵
	1.000	319.98	12/16/21	295.00	...	24.98	2 of 2 - Option sale to close-call ²⁵
12/16/21	2.000	819.96	Various	780.00	...	39.96	Total of 2 transactions
AMZN 12/17/2021 PUT \$3,325.00 / CUSIP: / Symbol:							
12/16/21	1.000	419.98	12/16/21	500.00	...	-80.02	Option sale to close-put ²⁵
AMZN 12/17/2021 PUT \$3,350.00 / CUSIP: / Symbol:							
12/16/21	1.000	664.98	12/16/21	565.00	...	99.98	Option sale to close-put ²⁵
AZN 04/16/2021 CALL \$75.00 / CUSIP: / Symbol:							
	<i>2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	13.98	09/15/20	110.00	...	-96.02	1 of 2 - Option sale to close-call ²⁵
	1.000	13.98	09/15/20	110.00	...	-96.02	2 of 2 - Option sale to close-call ²⁵
01/27/21	2.000	27.96	Various	220.00	...	-192.04	Total of 2 transactions
BA 02/26/2021 PUT \$205.00 / CUSIP: / Symbol:							
02/22/21	2.000	187.98	02/22/21	364.00	...	-176.02	Option sale to close-put ²⁵

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2021 1099-B* OMB No. 1545-0715

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02/11/2022

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BA 03/19/2021 CALL \$210.00 / CUSIP: / Symbol:							
01/22/21	1.000	1,294.96	01/21/21	1,430.00	...	-135.04	Option sale to close-call ²⁵
BA 03/19/2021 CALL \$275.00 / CUSIP: / Symbol:							
03/15/21	1.000	609.98	03/15/21	595.00	...	14.98	Option sale to close-call ²⁵
BA 03/26/2021 CALL \$247.50 / CUSIP: / Symbol:							
03/25/21	1.000	189.98	03/25/21	242.00	...	-52.02	Option sale to close-call ²⁵
BA 03/26/2021 CALL \$290.00 / CUSIP: / Symbol:							
03/15/21	1.000	384.98	03/15/21	410.00	...	-25.02	Option sale to close-call ²⁵
BA 04/16/2021 CALL \$255.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	64.98	04/16/21	59.00	...	5.98	1 of 2 - Option sale to close-call ²⁵
	4.000	259.98	04/16/21	236.00	...	23.98	2 of 2 - Option sale to close-call ²⁵
04/16/21	5.000	324.96	Various	295.00	...	29.96	Total of 2 transactions
BA 04/16/2021 CALL \$260.00 / CUSIP: / Symbol:							
04/13/21	1.000	136.98	04/13/21	165.00	...	-28.02	Option sale to close-call ²⁵
BA 09/17/2021 CALL \$215.00 / CUSIP: / Symbol:							
09/15/21	1.000	112.98	09/15/21	123.00	...	-10.02	Option sale to close-call ²⁵
BA 09/24/2021 PUT \$210.00 / CUSIP: / Symbol:							
09/14/21	1.000	349.98	09/13/21	283.00	...	66.98	Option sale to close-put ²⁵
09/22/21	1.000	92.98	09/22/21	104.00	...	-11.02	Option sale to close-put ²⁵
Security total:		442.96		387.00	...	55.96	
BA 10/01/2021 PUT \$220.00 / CUSIP: / Symbol:							
09/27/21	1.000	81.98	09/27/21	82.00	...	-0.02	Option sale to close-put ²⁵
BA 10/08/2021 PUT \$215.00 / CUSIP: / Symbol:							
09/30/21	1.000	171.98	09/29/21	127.00	...	44.98	Option sale to close-put ²⁵
10/01/21	1.000	171.98	10/01/21	132.00	...	39.98	Option sale to close-put ²⁵
Security total:		343.96		259.00	...	84.96	

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Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 11/12/2021 CALL \$215.00 / CUSIP: / Symbol:							
11/04/21	1.000	270.98	11/04/21	259.00	...	11.98	Option sale to close-call ²⁵
11/05/21	1.000	624.98	11/04/21	259.00	...	365.98	Option sale to close-call ²⁵
	Security total:	895.96		518.00	...	377.96	
BA 11/12/2021 CALL \$225.00 / CUSIP: / Symbol:							
11/05/21	1.000	197.98	11/05/21	155.00	...	42.98	Option sale to close-call ²⁵
BA 12/17/2021 CALL \$220.00 / CUSIP: / Symbol:							
	2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.						
	1.000	179.98	12/08/21	229.00	...	-49.02	1 of 2 - Option sale to close-call ²⁵
	1.000	195.98	12/08/21	185.00	...	10.98	2 of 2 - Option sale to close-call ²⁵
12/08/21	2.000	375.96	Various	414.00	...	-38.04	Total of 2 transactions
BABA 03/19/2021 CALL \$315.00 / CUSIP: / Symbol:							
01/25/21	1.000	320.98	01/20/21	345.00	...	-24.02	Option sale to close-call ²⁵
BABA 04/16/2021 CALL \$240.00 / CUSIP: / Symbol:							
03/18/21	1.000	784.98	03/12/21	720.00	...	64.98	Option sale to close-call ²⁵
BABA 07/16/2021 CALL \$240.00 / CUSIP: / Symbol:							
	2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	1.000	188.98	05/28/21	157.00	...	31.98	1 of 2 - Option sale to close-call ²⁵
	1.000	195.98	05/28/21	157.00	...	38.98	2 of 2 - Option sale to close-call ²⁵
06/03/21	2.000	384.96	Various	314.00	...	70.96	Total of 2 transactions
BABA 07/16/2021 CALL \$250.00 / CUSIP: / Symbol:							
06/04/21	1.000	-32.04	06/03/21	0.00	...	-32.04	Short sale closed- call ²⁵
06/04/21	1.000	-14.02	06/03/21	0.00	14.02 W	0.00	Option written: 05/28/21 Short sale closed- call ²⁵
	Security total:	-46.06		0.00	14.02 W	-32.04	Option written: 05/28/21
BABA 09/17/2021 CALL \$260.00 / CUSIP: / Symbol:							
06/25/21	1.000	288.98	06/17/21	167.00	...	121.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 11/19/2021 CALL \$200.00 / CUSIP: / Symbol:							
10/11/21	1.000	154.98	09/20/21	124.00	...	30.98	Option sale to close-call ²⁵
BABA 11/19/2021 CALL \$300.00 / CUSIP: / Symbol:							
06/03/21	1.000	204.98	05/28/21	185.00	...	19.98	Option sale to close-call ²⁵
BAC 03/12/2021 CALL \$36.50 / CUSIP: / Symbol:							
		4 transactions for 03/03/21. Total proceeds and cost reported to the IRS.					
	2.000	125.99	03/03/21	138.00	...	-12.01	1 of 4 - Option sale to close-call ²⁵
	1.000	62.99	03/03/21	69.00	...	-6.01	2 of 4 - Option sale to close-call ²⁵
	1.000	63.00	03/03/21	69.00	...	-6.00	3 of 4 - Option sale to close-call ²⁵
	1.000	63.00	03/03/21	69.00	...	-6.00	4 of 4 - Option sale to close-call ²⁵
03/03/21	5.000	314.98	Various	345.00	...	-30.02	Total of 4 transactions
BAC 03/19/2021 CALL \$33.00 / CUSIP: / Symbol:							
02/04/21	1.000	100.98	02/03/21	81.00	...	19.98	Option sale to close-call ²⁵
02/05/21	1.000	113.98	02/03/21	81.00	...	32.98	Option sale to close-call ²⁵
	Security total:	214.96		162.00	...	52.96	
BB 01/21/2022 CALL \$20.00 / CUSIP: / Symbol:							
11/03/21	1.000	-10.02	11/02/21	0.00	...	-10.02	Short sale closed- call ²⁵ Option written: 11/01/21
BB 10/15/2021 CALL \$16.00 / CUSIP: / Symbol:							
10/15/21	1.000	55.98	10/15/21	0.00	...	55.98	Short sale closed- call expired Option written: 08/05/21
BBWI 11/19/2021 CALL \$100.00 / CUSIP: / Symbol:							
11/08/21	2.000	25.98	11/05/21	44.00	...	-18.02	Option sale to close-call ²⁵
BBY 11/19/2021 CALL \$150.00 / CUSIP: / Symbol:							
11/08/21	2.000	9.98	11/05/21	40.00	...	-30.02	Option sale to close-call ²⁵
BFT 02/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/25/21	5.000	244.98	01/25/21	350.00	...	-105.02	Option sale to close-call ²⁵
BIDU 03/19/2021 CALL \$275.00 / CUSIP: / Symbol:							
03/15/21	1.000	384.98	03/15/21	433.00	...	-48.02	Option sale to close-call ²⁵

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BIDU 03/19/2021 CALL \$305.00 / CUSIP: / Symbol:							
03/02/21	1.000	2,274.97	03/02/21	2,145.00	...	129.97	Option sale to close-call ²⁵
BIDU 04/16/2021 CALL \$320.00 / CUSIP: / Symbol:							
03/30/21	1.000	29.98	03/23/21	344.02	...	-314.04	Option sale to close-call ²⁵
03/30/21	1.000	29.98	03/19/21	274.00	244.02 W	0.00	Option sale to close-call ²⁵
	Security total:	59.96		618.02	244.02 W	-314.04	
BIDU 09/03/2021 CALL \$160.00 / CUSIP: / Symbol:							
08/31/21	1.000	104.98	08/31/21	126.00	...	-21.02	Option sale to close-call ²⁵
BYND 01/21/2022 CALL \$105.00 / CUSIP: / Symbol:							
12/10/21	1.000	34.98	12/09/21	60.00	...	-25.02	Option sale to close-call ²⁵
CAR 11/19/2021 PUT \$90.00 / CUSIP: / Symbol:							
11/03/21	1.000	9.98	11/02/21	30.00	...	-20.02	Option sale to close-put ²⁵
CCIV 01/21/2022 CALL \$25.00 / CUSIP: / Symbol:							
06/09/21	1.000	754.98	03/11/21	1,045.00	...	-290.02	Option sale to close-call ²⁵
CCIV 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/02/21	1.000	579.97	02/02/21	390.00	...	189.97	Option sale to close-call ²⁵
02/16/21	1.000	979.96	02/12/21	290.00	...	689.96	Option sale to close-call ²⁵
	Security total:	1,559.93		680.00	...	879.93	
CCIV 03/19/2021 PUT \$10.00 / CUSIP: / Symbol:							
01/15/21	2.000	19.98	01/13/21	28.00	...	-8.02	Option sale to close-put ²⁵
CCIV 10/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
06/01/21	2.000	201.98	06/01/21	206.00	...	-4.02	Option sale to close-call ²⁵
CLOV 05/21/2021 CALL \$10.00 / CUSIP: / Symbol:							
04/12/21	1.000	32.98	04/08/21	90.00	...	-57.02	Option sale to close-call ²⁵

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CLOV 06/18/2021 CALL \$22.00 / CUSIP: / Symbol:							
06/08/21	1.000	370.98	06/08/21	285.00	...	85.98	Option sale to close-call ²⁵
06/09/21	1.000	391.98	06/08/21	370.00	...	21.98	Option sale to close-call ²⁵
06/10/21	1.000	184.98	06/09/21	260.00	...	-75.02	Option sale to close-call ²⁵
	Security total:	947.94		915.00	...	32.94	
CLOV 12/17/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/03/21	5.000	374.98	06/02/21	200.00	...	174.98	Option sale to close-call ²⁵
06/07/21	2.000	323.98	06/02/21	80.00	...	243.98	Option sale to close-call ²⁵
	<i>3 transactions for 06/08/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	549.98	06/02/21	40.00	...	509.98	1 of 3 - Option sale to close-call ²⁵
	1.000	629.98	06/02/21	40.00	...	589.98	2 of 3 - Option sale to close-call ²⁵
	1.000	919.98	06/02/21	40.00	...	879.98	3 of 3 - Option sale to close-call ²⁵
06/08/21	3.000	2,099.94	Various	120.00	...	1,979.94	Total of 3 transactions
	Security total:	2,798.90		400.00	...	2,398.90	
CNC 02/19/2021 CALL \$59.50 / CUSIP: / Symbol:							
02/09/21	1.000	161.98	02/09/21	128.00	...	33.98	Option sale to close-call ²⁵
CRM 02/19/2021 CALL \$227.50 / CUSIP: / Symbol:							
01/27/21	1.000	814.97	01/27/21	865.00	50.03 W	0.00	Option sale to close-call ²⁵
01/29/21	1.000	609.97	01/27/21	915.03	...	-305.06	Option sale to close-call ²⁵
	Security total:	1,424.94		1,780.03	50.03 W	-305.06	
CRM 02/19/2021 CALL \$237.50 / CUSIP: / Symbol:							
	<i>2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	499.97	02/05/21	485.00	...	14.97	1 of 2 - Option sale to close-call ²⁵
	1.000	534.97	02/05/21	485.00	...	49.97	2 of 2 - Option sale to close-call ²⁵
02/11/21	2.000	1,034.94	Various	970.00	...	64.94	Total of 2 transactions
CRM 03/12/2021 CALL \$215.00 / CUSIP: / Symbol:							
03/08/21	2.000	659.98	03/08/21	500.00	...	159.98	Option sale to close-call ²⁵
03/09/21	1.000	304.98	03/08/21	250.00	...	54.98	Option sale to close-call ²⁵
	Security total:	964.96		750.00	...	214.96	

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CRM 03/19/2021 CALL \$270.00 / CUSIP: / Symbol:							
01/25/21	1.000	274.98	01/08/21	205.00	...	69.98	Option sale to close-call ²⁵
CRM 04/16/2021 CALL \$230.00 / CUSIP: / Symbol:							
04/14/21	1.000	-100.02	04/13/21	0.00	...	-100.02	Short sale closed- call ²⁵ Option written: 04/12/21
CRM 04/23/2021 CALL \$230.00 / CUSIP: / Symbol:							
04/13/21	1.000	439.98	04/12/21	313.00	...	126.98	Option sale to close-call ²⁵
CRM 05/21/2021 CALL \$250.00 / CUSIP: / Symbol:							
04/13/21	2.000	369.98	04/13/21	398.00	...	-28.02	Option sale to close-call ²⁵
CRON 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
		3 transactions for 11/15/21. Total proceeds and cost reported to the IRS.					
	1.000	30.98	09/08/21	22.00	...	8.98	1 of 3 - Option sale to close-call ²⁵
	1.000	30.99	09/08/21	22.00	...	8.99	2 of 3 - Option sale to close-call ²⁵
	1.000	30.99	09/08/21	22.00	...	8.99	3 of 3 - Option sale to close-call ²⁵
11/15/21	3.000	92.96	Various	66.00	...	26.96	Total of 3 transactions
11/16/21	2.000	51.98	09/09/21	42.00	...	9.98	Option sale to close-call ²⁵
	Security total:	144.94		108.00	...	36.94	
DIS 07/30/2021 CALL \$182.50 / CUSIP: / Symbol:							
		3 transactions for 07/30/21. Total proceeds and cost reported to the IRS.					
	3.000	8.98	07/30/21	15.00	...	-6.02	1 of 3 - Option sale to close-call ²⁵
	1.000	2.98	07/30/21	5.00	...	-2.02	2 of 3 - Option sale to close-call ²⁵
	1.000	3.00	07/30/21	3.00	...	0.00	3 of 3 - Option sale to close-call ²⁵
07/30/21	5.000	14.96	Various	23.00	...	-8.04	Total of 3 transactions
DKNG 03/19/2021 CALL \$66.00 / CUSIP: / Symbol:							
03/10/21	1.000	324.98	03/10/21	266.00	...	58.98	Option sale to close-call ²⁵
DKNG 03/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
03/11/21	2.000	649.98	03/11/21	700.00	...	-50.02	Option sale to close-call ²⁵
DKNG 03/26/2021 CALL \$64.00 / CUSIP: / Symbol:							
03/26/21	1.000	15.98	03/26/21	56.00	...	-40.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DKNG 04/01/2021 CALL \$68.00 / CUSIP: / Symbol:							
03/26/21	1.000	46.98	03/25/21	166.00	...	-119.02	Option sale to close-call ²⁵
DM 05/21/2021 CALL \$22.50 / CUSIP: / Symbol:							
04/13/21	1.000	9.98	03/22/21	220.00	...	-210.02	Option sale to close-call ²⁵
DM 06/18/2021 CALL \$15.00 / CUSIP: / Symbol:							
04/26/21	1.000	124.98	04/22/21	112.00	...	12.98	Option sale to close-call ²⁵
DNN 03/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	19.000	379.95	02/17/21	855.00	...	-475.05	1 of 2 - Option sale to close-call ²⁵
	1.000	20.00	02/17/21	45.00	...	-25.00	2 of 2 - Option sale to close-call ²⁵
02/18/21	20.000	399.95	Various	900.00	...	-500.05	Total of 2 transactions
F 01/20/2023 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	3.000	410.98	01/21/21	435.00	...	-24.02	1 of 2 - Option sale to close-call ²⁵
	2.000	273.98	01/21/21	240.00	...	33.98	2 of 2 - Option sale to close-call ²⁵
01/25/21	5.000	684.96	Various	675.00	...	9.96	Total of 2 transactions
F 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	122.99	01/19/21	64.00	...	58.99	1 of 2 - Option sale to close-call ²⁵
	1.000	122.99	01/19/21	64.00	...	58.99	2 of 2 - Option sale to close-call ²⁵
01/27/21	2.000	245.98	Various	128.00	...	117.98	Total of 2 transactions
06/03/21	1.000	277.98	02/01/21	158.00	...	119.98	Option sale to close-call ²⁵
2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	1.000	60.99	02/02/21	136.00	...	-75.01	1 of 2 - Option sale to close-call ²⁵
	1.000	60.99	08/27/21	166.02	...	-105.03	2 of 2 - Option sale to close-call ²⁵
08/30/21	2.000	121.98	Various	302.02	...	-180.04	Total of 2 transactions
08/30/21	1.000	60.98	02/01/21	158.00	97.02 W	0.00	Option sale to close-call ²⁵
Security total:		706.92		746.02	97.02 W	57.92	

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Account 120410212

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2021 1099-B* OMB No. 1545-0715

(continued)

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F 02/19/2021 CALL \$13.00 / CUSIP: / Symbol:							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	2.000	33.99	02/01/21	64.00	...	-30.01	1 of 2 - Option sale to close-call ²⁵
	2.000	33.99	02/01/21	64.00	...	-30.01	2 of 2 - Option sale to close-call ²⁵
02/05/21	4.000	67.98	Various	128.00	...	-60.02	Total of 2 transactions
FB 01/07/2022 CALL \$365.00 / CUSIP: / Symbol:							
12/14/21	1.000	230.98	12/14/21	240.00	...	-9.02	Option sale to close-call ²⁵
FB 01/15/2021 CALL \$272.50 / CUSIP: / Symbol:							
2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	1.000	47.99	01/11/21	73.00	...	-25.01	1 of 2 - Option sale to close-call ²⁵
	1.000	47.99	01/11/21	69.00	...	-21.01	2 of 2 - Option sale to close-call ²⁵
01/12/21	2.000	95.98	Various	142.00	...	-46.02	Total of 2 transactions
FB 01/21/2022 CALL \$350.00 / CUSIP: / Symbol:							
10/26/21	1.000	679.98	10/26/21	680.00	0.02 W	0.00	Option sale to close-call ²⁵
10/28/21	1.000	964.98	10/26/21	680.02	...	284.96	Option sale to close-call ²⁵
	Security total:	1,644.96		1,360.02	0.02 W	284.96	
FB 01/21/2022 CALL \$375.00 / CUSIP: / Symbol:							
11/02/21	1.000	644.98	11/01/21	615.00	...	29.98	Option sale to close-call ²⁵
FB 03/19/2021 PUT \$285.00 / CUSIP: / Symbol:							
03/19/21	2.000	23.98	03/19/21	32.00	...	-8.02	Option sale to close-put ²⁵
FB 03/26/2021 CALL \$290.00 / CUSIP: / Symbol:							
03/18/21	1.000	283.98	03/18/21	370.00	...	-86.02	Option sale to close-call ²⁵
FB 03/26/2021 CALL \$300.00 / CUSIP: / Symbol:							
03/22/21	1.000	485.98	03/22/21	400.00	...	85.98	Option sale to close-call ²⁵
FB 04/30/2021 CALL \$320.00 / CUSIP: / Symbol:							
04/22/21	1.000	247.98	04/22/21	298.00	...	-50.02	Option sale to close-call ²⁵
FB 10/01/2021 PUT \$335.00 / CUSIP: / Symbol:							
09/29/21	1.000	170.98	09/29/21	165.00	...	5.98	Option sale to close-put ²⁵

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(continued)

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FB 10/29/2021 CALL \$325.00 / CUSIP: / Symbol:							
10/29/21	1.000	36.98	10/29/21	51.00	...	-14.02	Option sale to close-call ²⁵
FB 11/05/2021 CALL \$340.00 / CUSIP: / Symbol:							
10/28/21	1.000	188.98	10/28/21	199.00	...	-10.02	Option sale to close-call ²⁵
FB 11/12/2021 CALL \$350.00 / CUSIP: / Symbol:							
10/28/21	1.000	155.98	10/28/21	184.00	...	-28.02	Option sale to close-call ²⁵
FB 12/17/2021 PUT \$335.00 / CUSIP: / Symbol:							
12/16/21	1.000	204.98	12/16/21	188.00	...	16.98	Option sale to close-put ²⁵
FCEL 03/12/2021 CALL \$17.50 / CUSIP: / Symbol:							
03/10/21	1.000	100.98	03/10/21	117.00	...	-16.02	Option sale to close-call ²⁵
FCEL 06/04/2021 CALL \$9.50 / CUSIP: / Symbol:							
05/26/21	4.000	163.98	05/26/21	136.00	...	27.98	Option sale to close-call ²⁵
05/27/21	1.000	52.98	05/26/21	34.00	...	18.98	Option sale to close-call ²⁵
Security total:		216.96		170.00	...	46.96	
FCEL 07/23/2021 CALL \$7.50 / CUSIP: / Symbol:							
07/20/21	10.000	59.97	07/20/21	50.00	...	9.97	Option sale to close-call ²⁵
FOUR 01/21/2022 CALL \$70.00 / CUSIP: / Symbol:							
11/10/21	1.000	699.98	11/09/21	349.00	...	350.98	Option sale to close-call ²⁵
FOUR 08/20/2021 CALL \$100.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/04/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	74.98	08/03/21	110.00	...	-35.02	1 of 2 - Option sale to close-call ²⁵
	1.000	79.98	08/03/21	110.00	...	-30.02	2 of 2 - Option sale to close-call ²⁵
08/04/21	2.000	154.96	Various	220.00	...	-65.04	Total of 2 transactions
FSLY 02/19/2021 CALL \$120.00 / CUSIP: / Symbol:							
02/17/21	1.000	58.98	02/16/21	133.00	...	-74.02	Option sale to close-call ²⁵
02/18/21	1.000	4.98	02/16/21	133.00	...	-128.02	Option sale to close-call ²⁵
Security total:		63.96		266.00	...	-202.04	

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FUBO 03/12/2021 CALL \$32.00 / CUSIP: / Symbol:							
03/10/21	1.000	88.98	03/10/21	116.00	...	-27.02	Option sale to close-call ²⁵
GE 09/17/2021 CALL \$18.00 / CUSIP: / Symbol:							
2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	3.000	14.99	03/04/21	183.00	...	-168.01	1 of 2 - Option sale to close-call ²⁵
	2.000	9.99	03/04/21	120.00	...	-110.01	2 of 2 - Option sale to close-call ²⁵
06/28/21	5.000	24.98	Various	303.00	...	-278.02	Total of 2 transactions
GGPI 01/19/2024 CALL \$12.50 / CUSIP: / Symbol:							
12/27/21	1.000	-44.02	12/23/21	0.00	...	-44.02	Short sale closed- call ²⁵ Option written: 12/17/21
GGPI 01/20/2023 CALL \$20.00 / CUSIP: / Symbol:							
11/16/21	1.000	634.98	11/15/21	740.00	...	-105.02	Option sale to close-call ²⁵
GME 03/05/2021 CALL \$120.00 / CUSIP: / Symbol:							
02/25/21	1.000	4,719.96	02/24/21	2,400.00	...	2,319.96	Option sale to close-call ²⁵
GME 03/05/2021 CALL \$75.00 / CUSIP: / Symbol:							
02/24/21	1.000	1,719.95	02/24/21	1,545.00	...	174.95	Option sale to close-call ²⁵
GME 04/01/2021 CALL \$205.00 / CUSIP: / Symbol:							
04/01/21	1.000	229.98	04/01/21	214.00	...	15.98	Option sale to close-call ²⁵
GME 08/27/2021 CALL \$200.00 / CUSIP: / Symbol:							
3 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	1.000	1,189.98	08/24/21	1,320.00	...	-130.02	1 of 3 - Option sale to close-call ²⁵
	1.000	1,004.98	08/24/21	960.00	...	44.98	2 of 3 - Option sale to close-call ²⁵
	1.000	840.98	08/24/21	770.00	...	70.98	3 of 3 - Option sale to close-call ²⁵
08/24/21	3.000	3,035.94	Various	3,050.00	...	-14.06	Total of 3 transactions

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GME 08/27/2021 CALL \$250.00 / CUSIP: / Symbol:							
3 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	1.000	544.98	08/24/21	640.00	...	-95.02	1 of 3 - Option sale to close-call ²⁵
	1.000	414.98	08/24/21	391.00	...	23.98	2 of 3 - Option sale to close-call ²⁵
	1.000	539.98	08/24/21	425.00	...	114.98	3 of 3 - Option sale to close-call ²⁵
08/24/21	3.000	1,499.94	Various	1,456.00	...	43.94	Total of 3 transactions
GME 09/03/2021 CALL \$300.00 / CUSIP: / Symbol:							
08/24/21	1.000	459.98	08/24/21	390.00	...	69.98	Option sale to close-call ²⁵
GOLD 09/17/2021 CALL \$20.00 / CUSIP: / Symbol:							
03/15/21	1.000	254.98	03/09/21	244.00	...	10.98	Option sale to close-call ²⁵
GOOGL 04/23/2021 CALL \$2,310.0 / CUSIP: / Symbol:							
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	1.000	179.98	04/23/21	110.00	...	69.98	1 of 2 - Option sale to close-call ²⁵
	1.000	292.98	04/23/21	110.00	...	182.98	2 of 2 - Option sale to close-call ²⁵
04/23/21	2.000	472.96	Various	220.00	...	252.96	Total of 2 transactions
GOOGL 06/11/2021 CALL \$2,430.0 / CUSIP: / Symbol:							
06/11/21	1.000	294.98	06/11/21	240.00	...	54.98	Option sale to close-call ²⁵
GOOGL 06/11/2021 CALL \$2,440.0 / CUSIP: / Symbol:							
06/09/21	1.000	369.98	06/09/21	490.00	...	-120.02	Option sale to close-call ²⁵
GOOGL 06/11/2021 PUT \$2,420.00 / CUSIP: / Symbol:							
06/11/21	1.000	144.98	06/11/21	165.00	...	-20.02	Option sale to close-put ²⁵
GOOGL 06/18/2021 CALL \$2,440.0 / CUSIP: / Symbol:							
06/18/21	1.000	79.98	06/18/21	320.00	...	-240.02	Option sale to close-call ²⁵
GOOGL 06/18/2021 CALL \$2,470.0 / CUSIP: / Symbol:							
06/14/21	1.000	679.98	06/14/21	580.00	...	99.98	Option sale to close-call ²⁵

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GOOGL 06/18/2021 CALL \$2,500.0 / CUSIP: / Symbol:							
06/16/21	1.000	159.98	06/15/21	313.02	...	-153.04	Option sale to close-call ²⁵
06/16/21	1.000	140.98	06/15/21	260.00	119.02 W	0.00	Option sale to close-call ²⁵
	Security total:	300.96		573.02	119.02 W	-153.04	
GOOGL 06/18/2021 PUT \$2,400.00 / CUSIP: / Symbol:							
06/15/21	1.000	639.98	06/15/21	450.00	...	189.98	Option sale to close-put ²⁵
GOOGL 08/06/2021 CALL \$2,720.0 / CUSIP: / Symbol:							
2 transactions for 08/03/21. Total proceeds and cost reported to the IRS.							
	1.000	489.98	08/03/21	460.00	...	29.98	1 of 2 - Option sale to close-call ²⁵
	1.000	459.98	08/03/21	370.00	...	89.98	2 of 2 - Option sale to close-call ²⁵
08/03/21	2.000	949.96	Various	830.00	...	119.96	Total of 2 transactions
GOOGL 08/06/2021 CALL \$2,730.0 / CUSIP: / Symbol:							
3 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	1.000	49.99	08/06/21	235.00	...	-185.01	1 of 3 - Option sale to close-call ²⁵
	1.000	49.99	08/06/21	100.00	...	-50.01	2 of 3 - Option sale to close-call ²⁵
	1.000	359.98	08/06/21	235.00	...	124.98	3 of 3 - Option sale to close-call ²⁵
08/06/21	3.000	459.96	Various	570.00	...	-110.04	Total of 3 transactions
GOOGL 08/06/2021 CALL \$2,750.0 / CUSIP: / Symbol:							
08/02/21	1.000	579.98	07/30/21	570.00	...	9.98	Option sale to close-call ²⁵
GOOGL 08/06/2021 PUT \$2,650.00 / CUSIP: / Symbol:							
08/03/21	1.000	359.98	08/03/21	520.00	...	-160.02	Option sale to close-put ²⁵
GOOGL 08/13/2021 CALL \$2,745.0 / CUSIP: / Symbol:							
08/10/21	1.000	1,059.98	08/10/21	1,440.00	380.02 W	0.00	Option sale to close-call ²⁵
08/12/21	1.000	359.98	08/12/21	770.02	...	-410.04	Option sale to close-call ²⁵
	Security total:	1,419.96		2,210.02	380.02 W	-410.04	

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOGL 08/13/2021 CALL \$2,750.0 / CUSIP: / Symbol:							
2 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	1.000	739.98	08/09/21	730.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	1.000	1,149.98	08/09/21	740.00	...	409.98	2 of 2 - Option sale to close-call ²⁵
08/09/21	2.000	1,889.96	Various	1,470.00	...	419.96	Total of 2 transactions
08/12/21	1.000	289.98	08/12/21	240.00	...	49.98	Option sale to close-call ²⁵
	Security total:	2,179.94		1,710.00	...	469.94	
GOOGL 08/13/2021 PUT \$2,712.50 / CUSIP: / Symbol:							
08/12/21	1.000	349.98	08/12/21	450.00	...	-100.02	Option sale to close-put ²⁵
GOOGL 08/13/2021 PUT \$2,740.00 / CUSIP: / Symbol:							
08/10/21	1.000	1,689.98	08/10/21	1,480.00	...	209.98	Option sale to close-put ²⁵
GOOGL 08/13/2021 PUT \$2,745.00 / CUSIP: / Symbol:							
3 transactions for 08/13/21. Total proceeds and cost reported to the IRS.							
	1.000	174.98	08/13/21	215.00	...	-40.02	1 of 3 - Option sale to close-put ²⁵
	1.000	274.98	08/13/21	225.00	...	49.98	2 of 3 - Option sale to close-put ²⁵
	1.000	294.98	08/13/21	215.00	...	79.98	3 of 3 - Option sale to close-put ²⁵
08/13/21	3.000	744.94	Various	655.00	...	89.94	Total of 3 transactions
GOOGL 09/03/2021 CALL \$2,940.0 / CUSIP: / Symbol:							
09/01/21	1.000	254.98	09/01/21	301.00	...	-46.02	Option sale to close-call ²⁵
GOOGL 09/03/2021 CALL \$2,950.0 / CUSIP: / Symbol:							
08/31/21	1.000	299.98	08/31/21	270.00	...	29.98	Option sale to close-call ²⁵
GOOGL 09/03/2021 PUT \$2,850.00 / CUSIP: / Symbol:							
09/03/21	1.000	84.98	09/03/21	185.00	...	-100.02	Option sale to close-put ²⁵
GOOGL 09/03/2021 PUT \$2,900.00 / CUSIP: / Symbol:							
09/01/21	1.000	609.98	09/01/21	570.00	...	39.98	Option sale to close-put ²⁵
GOOGL 09/17/2021 CALL \$3,000.0 / CUSIP: / Symbol:							
08/05/21	1.000	671.98	07/26/21	1,378.00	...	-706.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GOOGL 09/17/2021 CALL \$3,050.0 / CUSIP: / Symbol:							
08/06/21	2.000	1,057.97	08/05/21	0.00	...	1,057.97	Short sale closed- call ²⁵ Option written: 07/26/21
GOOGL 09/17/2021 CALL \$3,100.0 / CUSIP: / Symbol:							
08/05/21	1.000	313.98	07/26/21	736.00	...	-422.02	Option sale to close-call ²⁵
GOOGL 09/17/2021 PUT \$2,800.00 / CUSIP: / Symbol:							
09/16/21	1.000	199.98	09/16/21	265.00	65.02 W	0.00	Option sale to close-put ²⁵
09/17/21	1.000	109.98	09/17/21	195.02	...	-85.04	Option sale to close-put ²⁵
	Security total:	309.96		460.02	65.02 W	-85.04	
GOOGL 09/24/2021 CALL \$2,850.0 / CUSIP: / Symbol:							
	<i>2 transactions for 09/21/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	329.98	09/21/21	440.00	...	-110.02	1 of 2 - Option sale to close-call ²⁵
	1.000	339.98	09/21/21	330.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
09/21/21	2.000	669.96	Various	770.00	...	-100.04	Total of 2 transactions
GOOGL 10/01/2021 CALL \$2,800.0 / CUSIP: / Symbol:							
09/28/21	1.000	469.98	09/28/21	510.00	...	-40.02	Option sale to close-call ²⁵
GOOGL 10/01/2021 CALL \$2,850.0 / CUSIP: / Symbol:							
09/28/21	1.000	139.98	09/28/21	195.00	...	-55.02	Option sale to close-call ²⁵
GOOGL 10/15/2021 CALL \$2,800.0 / CUSIP: / Symbol:							
10/13/21	1.000	214.98	10/13/21	720.02	...	-505.04	Option sale to close-call ²⁵
10/13/21	1.000	204.98	10/12/21	680.00	475.02 W	0.00	Option sale to close-call ²⁵
	Security total:	419.96		1,400.02	475.02 W	-505.04	
GOOGL 10/15/2021 CALL \$2,810.0 / CUSIP: / Symbol:							
10/14/21	1.000	54.98	10/13/21	0.00	...	54.98	Short sale closed- call ²⁵ Option written: 10/13/21
GOOGL 10/22/2021 CALL \$2,890.0 / CUSIP: / Symbol:							
10/18/21	1.000	689.98	10/18/21	520.00	...	169.98	Option sale to close-call ²⁵
10/21/21	1.000	169.98	10/21/21	200.00	...	-30.02	Option sale to close-call ²⁵
	Security total:	859.96		720.00	...	139.96	

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOGL 10/22/2021 CALL \$2,900.0 / CUSIP: / Symbol:							
10/18/21	1.000	429.98	10/15/21	420.00	...	9.98	Option sale to close-call ²⁵
GOOGL 10/22/2021 CALL \$2,925.0 / CUSIP: / Symbol:							
10/18/21	1.000	239.98	10/18/21	330.00	...	-90.02	Option sale to close-call ²⁵
GOOGL 10/22/2021 CALL \$2,950.0 / CUSIP: / Symbol:							
10/21/21	1.000	34.98	10/18/21	145.00	...	-110.02	Option sale to close-call ²⁵
GOOGL 10/29/2021 PUT \$2,850.00 / CUSIP: / Symbol:							
10/27/21	1.000	249.98	10/27/21	460.00	...	-210.02	Option sale to close-put ²⁵
GPN 08/20/2021 CALL \$175.00 / CUSIP: / Symbol:							
08/03/21	1.000	429.98	08/02/21	380.00	...	49.98	Option sale to close-call ²⁵
GSAT 03/19/2021 CALL \$3.50 / CUSIP: / Symbol:							
03/19/21	1.000	15.98	03/19/21	0.00	...	15.98	Short sale closed- call expired Option written: 02/19/21
IBM 02/19/2021 CALL \$120.00 / CUSIP: / Symbol:							
	2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	1.000	409.98	01/26/21	266.00	...	143.98	1 of 2 - Option sale to close-call ²⁵
	1.000	409.99	01/26/21	264.00	...	145.99	2 of 2 - Option sale to close-call ²⁵
01/27/21	2.000	819.97	Various	530.00	...	289.97	Total of 2 transactions
IDEX 01/15/2021 PUT \$2.00 / CUSIP: / Symbol:							
01/15/21	1.000	67.98	01/15/21	0.00	...	67.98	Short sale closed- put expired Option written: 11/23/20
IGT 04/16/2021 CALL \$19.00 / CUSIP: / Symbol:							
02/09/21	1.000	219.98	02/09/21	185.00	...	34.98	Option sale to close-call ²⁵
INO 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/08/21	1.000	37.98	02/01/21	248.00	...	-210.02	Option sale to close-call ²⁵
INO 02/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
02/09/21	2.000	209.98	02/08/21	0.00	...	209.98	Short sale closed- call ²⁵ Option written: 02/01/21

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Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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INO 02/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
02/08/21	1.000	5.98	02/01/21	74.00	...	-68.02	Option sale to close-call ²⁵
INTC 01/21/2022 CALL \$54.50 / CUSIP: / Symbol:							
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	1.000	42.98	12/23/21	44.00	...	-1.02	1 of 2 - Option sale to close-call ²⁵
	1.000	42.98	12/23/21	44.00	...	-1.02	2 of 2 - Option sale to close-call ²⁵
12/23/21	2.000	85.96	Various	88.00	...	-2.04	Total of 2 transactions
INTC 04/30/2021 CALL \$65.00 / CUSIP: / Symbol:							
04/12/21	1.000	228.98	04/12/21	250.00	...	-21.02	Option sale to close-call ²⁵
JNJ 03/19/2021 CALL \$185.00 / CUSIP: / Symbol:							
01/25/21	2.000	203.98	12/17/20	140.00	...	63.98	Option sale to close-call ²⁵
KODK 02/05/2021 CALL \$11.00 / CUSIP: / Symbol:							
02/05/21	1.000	19.98	02/05/21	0.00	...	19.98	Short sale closed- call expired Option written: 02/04/21
KODK 02/12/2021 CALL \$12.50 / CUSIP: / Symbol:							
02/12/21	1.000	32.98	02/12/21	0.00	...	32.98	Short sale closed- call expired Option written: 02/08/21
KODK 02/26/2021 CALL \$12.00 / CUSIP: / Symbol:							
02/26/21	1.000	41.98	02/26/21	0.00	...	41.98	Short sale closed- call expired Option written: 02/16/21
KODK 03/05/2021 CALL \$10.00 / CUSIP: / Symbol:							
03/02/21	1.000	19.98	03/02/21	21.00	...	-1.02	Option sale to close-call ²⁵
03/05/21	1.000	19.98	03/05/21	0.00	...	19.98	Short sale closed- call expired Option written: 03/02/21
	Security total:	39.96		21.00	...	18.96	
KODK 03/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
03/19/21	1.000	46.98	03/19/21	0.00	...	46.98	Short sale closed- call expired Option written: 03/09/21

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(continued)

02/11/2022

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KODK 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
10/15/21	1.000	45.98	10/15/21	0.00	...	45.98	Short sale closed- call expired Option written: 08/05/21
KPLT 01/21/2022 CALL \$25.00 / CUSIP: / Symbol:							
11/10/21	2.000	27.98	09/03/21	60.00	...	-32.02	Option sale to close-call ²⁵
KPLT 10/15/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	16.000	0.00	09/10/21	240.00	...	-240.00	1 of 2 - Option expiration- long call
	4.000	0.00	09/10/21	80.00	...	-80.00	2 of 2 - Option expiration- long call
10/15/21	20.000	0.00	Various	320.00	...	-320.00	Total of 2 transactions
KPLT 10/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
10/15/21	2.000	0.00	09/03/21	30.00	...	-30.00	Option expiration- long call
KPLT 10/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 09/14/21. Total proceeds and cost reported to the IRS.							
	2.000	19.99	09/03/21	20.02	...	-0.03	1 of 2 - Option sale to close-call ²⁵
	1.000	9.99	09/03/21	10.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
09/14/21	3.000	29.98	Various	30.02	...	-0.04	Total of 2 transactions
09/14/21	2.000	19.98	09/03/21	20.00	0.02 W	0.00	Option sale to close-call ²⁵
	Security total:	49.96		50.02	0.02 W	-0.04	
LCID 01/21/2022 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 09/21/21. Total proceeds and cost reported to the IRS.							
	1.000	533.98	04/21/21	428.00	...	105.98	1 of 2 - Option sale to close-call ²⁵
	1.000	514.98	09/10/21	298.00	...	216.98	2 of 2 - Option sale to close-call ²⁵
09/21/21	2.000	1,048.96	Various	726.00	...	322.96	Total of 2 transactions
LCID 01/21/2022 CALL \$50.00 / CUSIP: / Symbol:							
11/08/21	1.000	684.98	11/05/21	540.00	...	144.98	Option sale to close-call ²⁵
LCID 01/21/2022 CALL \$60.00 / CUSIP: / Symbol:							
11/08/21	1.000	584.98	11/08/21	560.00	...	24.98	Option sale to close-call ²⁵

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LCID 01/21/2022 CALL \$95.00 / CUSIP: / Symbol:							
11/17/21	1.000	57.98	11/16/21	0.00	...	57.98	Short sale closed- call ²⁵ Option written: 11/08/21
LCID 08/20/2021 CALL \$26.00 / CUSIP: / Symbol:							
08/20/21	1.000	44.98	08/20/21	0.00	...	44.98	Short sale closed- call expired Option written: 08/05/21
LCID 09/24/2021 CALL \$28.00 / CUSIP: / Symbol:							
09/21/21	1.000	84.98	09/21/21	71.00	...	13.98	Option sale to close-call ²⁵
LCID 10/01/2021 CALL \$25.00 / CUSIP: / Symbol:							
09/17/21	1.000	86.98	09/17/21	105.00	...	-18.02	Option sale to close-call ²⁵
LCID 10/08/2021 CALL \$35.00 / CUSIP: / Symbol:							
3 transactions for 09/30/21. Total proceeds and cost reported to the IRS.							
	1.000	3.98	09/29/21	0.00	...	3.98	1 of 3 - Short sale closed- call ²⁵ Option written: 09/24/21
	1.000	3.99	09/29/21	0.00	...	3.99	2 of 3 - Short sale closed- call ²⁵ Option written: 09/24/21
	1.000	4.99	09/29/21	0.00	...	4.99	3 of 3 - Short sale closed- call ²⁵ Option written: 09/24/21
09/30/21	3.000	12.96	Various	0.00	...	12.96	Total of 3 transactions
LCID 10/15/2021 CALL \$19.00 / CUSIP: / Symbol:							
09/08/21	1.000	239.98	09/01/21	219.00	...	20.98	Option sale to close-call ²⁵
LCID 11/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
11/01/21	1.000	148.98	10/29/21	0.00	...	148.98	Short sale closed- call ²⁵ Option written: 10/29/21
LCID 11/19/2021 PUT \$42.00 / CUSIP: / Symbol:							
11/16/21	1.000	49.98	11/15/21	194.00	...	-144.02	Option sale to close-put ²⁵
LCID 11/26/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/05/21	2.000	-6.02	11/04/21	0.00	...	-6.02	Short sale closed- call ²⁵ Option written: 11/04/21

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LI 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
02/23/21	1.000	655.97	02/16/21	1,035.00	...	-379.03	Option sale to close-call ²⁵
LOTZ 02/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
01/29/21	1.000	134.98	01/27/21	290.00	...	-155.02	Option sale to close-call ²⁵
LVS 01/29/2021 CALL \$57.00 / CUSIP: / Symbol:							
		2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.					
	2.000	9.98	01/26/21	70.00	...	-60.02	1 of 2 - Option sale to close-call ²⁵
	1.000	4.98	01/26/21	35.00	...	-30.02	2 of 2 - Option sale to close-call ²⁵
01/28/21	3.000	14.96	Various	105.00	...	-90.04	Total of 2 transactions
MA 02/19/2021 CALL \$325.00 / CUSIP: / Symbol:							
01/25/21	1.000	1,369.95	01/25/21	1,085.00	...	284.95	Option sale to close-call ²⁵
MA 02/19/2021 CALL \$330.00 / CUSIP: / Symbol:							
02/02/21	1.000	974.96	02/02/21	645.00	...	329.96	Option sale to close-call ²⁵
MRIN 10/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
10/15/21	1.000	115.98	10/15/21	0.00	...	115.98	Short sale closed- call expired Option written: 09/24/21
MRNA 08/20/2021 CALL \$500.00 / CUSIP: / Symbol:							
08/12/21	1.000	244.98	08/12/21	192.06	...	52.92	Option sale to close-call ²⁵
		4 transactions for 08/12/21. Total proceeds and cost reported to the IRS.					
	1.000	205.98	08/12/21	240.00	34.02 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	179.98	08/12/21	204.02	24.04 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	168.98	08/12/21	186.04	17.06 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	254.98	08/12/21	300.00	45.02 W	0.00	4 of 4 - Option sale to close-call ²⁵
08/12/21	4.000	809.92	Various	930.06	120.14 W	0.00	Total of 4 transactions
		2 transactions for 08/16/21. Total proceeds and cost reported to the IRS.					
	1.000	32.99	08/12/21	215.02	...	-182.03	1 of 2 - Option sale to close-call ²⁵
	1.000	32.99	08/13/21	80.00	...	-47.01	2 of 2 - Option sale to close-call ²⁵
08/16/21	2.000	65.98	Various	295.02	...	-229.04	Total of 2 transactions
	Security total:	1,120.88		1,417.14	120.14 W	-176.12	

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRNA 09/03/2021 CALL \$400.00 / CUSIP: / Symbol:							
09/02/21	1.000	276.98	09/02/21	285.00	...	-8.02	Option sale to close-call ²⁵
MRNA 09/10/2021 CALL \$450.00 / CUSIP: / Symbol:							
09/07/21	1.000	288.98	09/07/21	227.00	...	61.98	Option sale to close-call ²⁵
MRNA 09/17/2021 CALL \$500.00 / CUSIP: / Symbol:							
09/07/21	1.000	250.98	09/07/21	190.00	...	60.98	Option sale to close-call ²⁵
MRVL 12/17/2021 CALL \$90.00 / CUSIP: / Symbol:							
12/09/21	1.000	374.98	12/09/21	391.00	...	-16.02	Option sale to close-call ²⁵
MSFT 02/19/2021 CALL \$240.00 / CUSIP: / Symbol:							
01/25/21	1.000	309.98	01/14/21	146.02	...	163.96	Option sale to close-call ²⁵
01/25/21	1.000	324.98	12/03/20	345.00	20.02 W	0.00	Option sale to close-call ²⁵
Security total:		634.96		491.02	20.02 W	163.96	
MSFT 03/19/2021 CALL \$280.00 / CUSIP: / Symbol:							
<i>2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	37.98	07/13/20	415.00	...	-377.02	1 of 2 - Option sale to close-call ²⁵
	1.000	37.98	07/13/20	415.00	...	-377.02	2 of 2 - Option sale to close-call ²⁵
01/06/21	2.000	75.96	Various	830.00	...	-754.04	Total of 2 transactions
MSFT 09/17/2021 PUT \$297.50 / CUSIP: / Symbol:							
<i>3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	20.98	09/17/21	34.00	...	-13.02	1 of 3 - Option sale to close-put ²⁵
	1.000	28.98	09/17/21	28.00	...	0.98	2 of 3 - Option sale to close-put ²⁵
	1.000	28.98	09/17/21	28.00	...	0.98	3 of 3 - Option sale to close-put ²⁵
09/17/21	3.000	78.94	Various	90.00	...	-11.06	Total of 3 transactions
MSFT 09/17/2021 PUT \$300.00 / CUSIP: / Symbol:							
09/17/21	1.000	43.98	09/17/21	42.00	...	1.98	Option sale to close-put ²⁵
MU 11/19/2021 CALL \$75.00 / CUSIP: / Symbol:							
11/09/21	1.000	175.98	11/08/21	162.00	...	13.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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MU 11/26/2021 CALL \$75.00 / CUSIP: / Symbol:							
11/12/21	1.000	297.98	11/11/21	165.00	...	132.98	Option sale to close-call ²⁵
NCLH 01/20/2023 CALL \$40.00 / CUSIP: / Symbol:							
12/08/21	2.000	263.98	12/08/21	268.00	...	-4.02	Option sale to close-call ²⁵
<i>2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	99.98	12/08/21	134.00	...	-34.02	1 of 2 - Option sale to close-call ²⁵
	1.000	105.98	12/08/21	134.00	...	-28.02	2 of 2 - Option sale to close-call ²⁵
12/10/21	2.000	205.96	Various	268.00	...	-62.04	Total of 2 transactions
	Security total:	469.94		536.00	...	-66.06	
NFLX 04/23/2021 CALL \$600.00 / CUSIP: / Symbol:							
04/19/21	1.000	514.98	04/16/21	355.00	...	159.98	Option sale to close-call ²⁵
NFLX 04/23/2021 CALL \$650.00 / CUSIP: / Symbol:							
04/21/21	1.000	1.98	04/19/21	202.02	...	-200.04	Option sale to close-call ²⁵
04/21/21	1.000	1.98	04/19/21	118.00	116.02 W	0.00	Option sale to close-call ²⁵
	Security total:	3.96		320.02	116.02 W	-200.04	
NFLX 09/03/2021 PUT \$575.00 / CUSIP: / Symbol:							
09/02/21	1.000	72.98	09/01/21	160.00	...	-87.02	Option sale to close-put ²⁵
NFLX 11/26/2021 CALL \$700.00 / CUSIP: / Symbol:							
11/09/21	1.000	379.98	11/09/21	380.00	...	-0.02	Option sale to close-call ²⁵
NIO 01/15/2021 CALL \$75.00 / CUSIP: / Symbol:							
01/06/21	1.000	36.98	12/01/20	175.00	...	-138.02	Option sale to close-call ²⁵
NIO 05/28/2021 CALL \$40.00 / CUSIP: / Symbol:							
04/26/21	1.000	379.98	04/16/21	201.00	...	178.98	Option sale to close-call ²⁵
<i>2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	15.99	04/16/21	201.00	...	-185.01	1 of 2 - Option sale to close-call ²⁵
	1.000	15.99	05/10/21	66.00	...	-50.01	2 of 2 - Option sale to close-call ²⁵
05/28/21	2.000	31.98	Various	267.00	...	-235.02	Total of 2 transactions
	Security total:	411.96		468.00	...	-56.04	

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 07/09/2021 CALL \$60.00 / CUSIP: / Symbol:							
06/30/21	1.000	81.98	06/30/21	41.00	...	40.98	Option sale to close-call ²⁵
07/06/21	1.000	13.98	06/30/21	41.00	...	-27.02	Option sale to close-call ²⁵
	Security total:	95.96		82.00	...	13.96	
NIO 08/06/2021 CALL \$50.50 / CUSIP: / Symbol:							
08/06/21	1.000	63.98	08/06/21	0.00	...	63.98	Short sale closed- call expired Option written: 07/19/21
NIO 08/20/2021 CALL \$50.00 / CUSIP: / Symbol:							
08/12/21	1.000	13.98	08/03/21	201.02	...	-187.04	Option sale to close-call ²⁵
08/12/21	1.000	13.98	07/30/21	124.00	110.02 W	0.00	Option sale to close-call ²⁵
	Security total:	27.96		325.02	110.02 W	-187.04	
NIO 08/20/2021 CALL \$65.00 / CUSIP: / Symbol:							
	2 transactions for 07/20/21. Total proceeds and cost reported to the IRS.						
	1.000	19.00	07/20/21	19.00	...	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	19.00	07/20/21	19.00	...	0.00	2 of 2 - Option sale to close-call ²⁵
07/20/21	2.000	38.00	Various	38.00	...	0.00	Total of 2 transactions
	4 transactions for 07/20/21. Total proceeds and cost reported to the IRS.						
	2.000	37.99	07/09/21	134.00	96.01 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	19.00	07/09/21	66.00	47.00 W	0.00	2 of 4 - Option sale to close-call ²⁵
	2.000	37.99	07/09/21	132.00	94.01 W	0.00	3 of 4 - Option sale to close-call ²⁵
	3.000	56.99	07/20/21	57.00	0.01 W	0.00	4 of 4 - Option sale to close-call ²⁵
07/20/21	8.000	151.97	Various	389.00	237.03 W	0.00	Total of 4 transactions
	4 transactions for 08/12/21. Total proceeds and cost reported to the IRS.						
	2.000	5.99	07/20/21	134.01	...	-128.02	1 of 4 - Option sale to close-call ²⁵
	2.000	6.00	07/20/21	132.01	...	-126.01	2 of 4 - Option sale to close-call ²⁵
	1.000	3.00	07/20/21	66.00	...	-63.00	3 of 4 - Option sale to close-call ²⁵
	2.000	5.99	07/20/21	38.01	...	-32.02	4 of 4 - Option sale to close-call ²⁵
08/12/21	7.000	20.98	Various	370.03	...	-349.05	Total of 4 transactions
	Security total:	210.95		797.03	237.03 W	-349.05	

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO 10/01/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	3.000	11.99	09/27/21	21.00	...	-9.01	1 of 2 - Option sale to close-call ²⁵
	2.000	7.99	09/27/21	14.00	...	-6.01	2 of 2 - Option sale to close-call ²⁵
09/28/21	5.000	19.98	Various	35.00	...	-15.02	Total of 2 transactions
NIO 12/10/2021 CALL \$40.00 / CUSIP: / Symbol:							
12/10/21	1.000	134.98	12/10/21	0.00	...	134.98	Short sale closed- call expired Option written: 12/02/21
NIO 12/10/2021 CALL \$41.00 / CUSIP: / Symbol:							
12/10/21	1.000	0.00	12/02/21	103.00	...	-103.00	Option expiration- long call
NIO 12/17/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/17/21	1.000	31.98	12/17/21	0.00	...	31.98	Short sale closed- call expired Option written: 12/02/21
NLS 10/15/2021 CALL \$22.50 / CUSIP: / Symbol:							
2 transactions for 07/12/21. Total proceeds and cost reported to the IRS.							
	1.000	50.99	03/02/21	590.00	...	-539.01	1 of 2 - Option sale to close-call ²⁵
	1.000	50.99	04/12/21	195.00	...	-144.01	2 of 2 - Option sale to close-call ²⁵
07/12/21	2.000	101.98	Various	785.00	...	-683.02	Total of 2 transactions
NOK 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
01/15/21	10.000	0.00	06/03/20	30.00	...	-30.00	Option expiration- long call
NOK 01/20/2023 CALL \$7.00 / CUSIP: / Symbol:							
4 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	2.000	535.97	01/27/21	510.00	...	25.97	1 of 4 - Option sale to close-call ²⁵
	1.000	267.98	01/27/21	225.00	...	42.98	2 of 4 - Option sale to close-call ²⁵
	6.000	1,607.95	01/27/21	1,530.00	...	77.95	3 of 4 - Option sale to close-call ²⁵
	1.000	389.98	01/27/21	255.00	...	134.98	4 of 4 - Option sale to close-call ²⁵
01/27/21	10.000	2,801.88	Various	2,520.00	...	281.88	Total of 4 transactions

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NOK 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
5 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	1.000	35.98	06/02/21	33.00	...	2.98	1 of 5 - Option sale to close-call ²⁵
	1.000	36.00	06/02/21	33.00	...	3.00	2 of 5 - Option sale to close-call ²⁵
	2.000	71.99	06/02/21	66.00	...	5.99	3 of 5 - Option sale to close-call ²⁵
	3.000	107.98	06/02/21	99.00	...	8.98	4 of 5 - Option sale to close-call ²⁵
	3.000	107.99	06/02/21	99.00	...	8.99	5 of 5 - Option sale to close-call ²⁵
06/03/21	10.000	359.94	Various	330.00	...	29.94	Total of 5 transactions
NOK 01/21/2022 CALL \$7.00 / CUSIP: / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	87.98	01/20/21	24.00	...	63.98	1 of 2 - Option sale to close-call ²⁵
	8.000	567.96	01/20/21	192.00	...	375.96	2 of 2 - Option sale to close-call ²⁵
01/25/21	9.000	655.94	Various	216.00	...	439.94	Total of 2 transactions
01/27/21	1.000	364.98	01/20/21	24.00	...	340.98	Option sale to close-call ²⁵
	Security total:	1,020.92		240.00	...	780.92	
NOK 02/12/2021 CALL \$4.50 / CUSIP: / Symbol:							
02/12/21	2.000	17.98	02/12/21	0.00	...	17.98	Short sale closed- call expired Option written: 02/05/21
NOK 08/20/2021 CALL \$5.50 / CUSIP: / Symbol:							
3 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	1.000	47.00	06/01/21	42.00	...	5.00	1 of 3 - Option sale to close-call ²⁵
	1.000	47.00	06/01/21	42.00	...	5.00	2 of 3 - Option sale to close-call ²⁵
	3.000	140.98	06/01/21	126.00	...	14.98	3 of 3 - Option sale to close-call ²⁵
06/10/21	5.000	234.98	Various	210.00	...	24.98	Total of 3 transactions
NUAN 02/19/2021 CALL \$46.00 / CUSIP: / Symbol:							
01/28/21	1.000	209.98	01/27/21	185.00	...	24.98	Option sale to close-call ²⁵
NVDA 04/23/2021 CALL \$600.00 / CUSIP: / Symbol:							
04/12/21	1.000	1,149.98	04/08/21	570.00	...	579.98	Option sale to close-call ²⁵
NVDA 04/23/2021 CALL \$650.00 / CUSIP: / Symbol:							
04/12/21	1.000	369.98	04/12/21	204.00	...	165.98	Option sale to close-call ²⁵

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NVDA 05/21/2021 CALL \$700.00 / CUSIP: / Symbol:							
04/13/21	1.000	624.98	04/13/21	670.00	...	-45.02	Option sale to close-call ²⁵
NVDA 05/21/2021 CALL \$750.00 / CUSIP: / Symbol:							
2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	1.000	294.99	04/12/21	164.00	...	130.99	1 of 2 - Option sale to close-call ²⁵
	1.000	294.99	04/13/21	320.00	...	-25.01	2 of 2 - Option sale to close-call ²⁵
04/13/21	2.000	589.98	Various	484.00	...	105.98	Total of 2 transactions
NVDA 06/11/2021 CALL \$760.00 / CUSIP: / Symbol:							
06/07/21	1.000	211.98	06/07/21	315.00	...	-103.02	Option sale to close-call ²⁵
NVDA 08/13/2021 CALL \$205.00 / CUSIP: / Symbol:							
08/11/21	1.000	19.98	08/09/21	518.02	...	-498.04	Option sale to close-call ²⁵
08/11/21	1.000	19.98	08/06/21	345.00	325.02 W	0.00	Option sale to close-call ²⁵
	Security total:	39.96		863.02	325.02 W	-498.04	
NVDA 08/13/2021 CALL \$220.00 / CUSIP: / Symbol:							
08/05/21	1.000	77.99	08/05/21	105.00	...	-27.01	Option sale to close-call ²⁵
08/05/21	1.000	77.99	08/05/21	105.00	27.01 W	0.00	Option sale to close-call ²⁵
08/06/21	1.000	37.98	08/05/21	102.01	...	-64.03	Option sale to close-call ²⁵
	Security total:	193.96		312.01	27.01 W	-91.04	
NVDA 09/17/2021 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 09/13/21. Total proceeds and cost reported to the IRS.							
	1.000	9.98	09/13/21	18.00	...	-8.02	1 of 2 - Option sale to close-call ²⁵
	1.000	9.98	09/13/21	18.00	...	-8.02	2 of 2 - Option sale to close-call ²⁵
09/13/21	2.000	19.96	Various	36.00	...	-16.04	Total of 2 transactions
NVDA 11/12/2021 CALL \$280.00 / CUSIP: / Symbol:							
11/03/21	1.000	198.98	11/03/21	259.00	...	-60.02	Option sale to close-call ²⁵

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NVDA 11/19/2021 CALL \$355.00 / CUSIP: / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	2.000	29.98	11/01/21	38.00	...	-8.02	1 of 2 - Option sale to close-call ²⁵
	2.000	29.98	11/01/21	38.00	...	-8.02	2 of 2 - Option sale to close-call ²⁵
11/01/21	4.000	59.96	Various	76.00	...	-16.04	Total of 2 transactions
11/02/21	1.000	18.98	11/01/21	19.00	...	-0.02	Option sale to close-call ²⁵
	Security total:	78.94		95.00	...	-16.06	
NVDA 11/19/2021 CALL \$375.00 / CUSIP: / Symbol:							
4 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	12.99	11/02/21	11.00	...	1.99	1 of 4 - Option sale to close-call ²⁵
	1.000	13.00	11/02/21	11.00	...	2.00	2 of 4 - Option sale to close-call ²⁵
	2.000	25.99	11/02/21	22.00	...	3.99	3 of 4 - Option sale to close-call ²⁵
	5.000	74.98	11/02/21	55.00	...	19.98	4 of 4 - Option sale to close-call ²⁵
11/03/21	9.000	126.96	Various	99.00	...	27.96	Total of 4 transactions
11/04/21	1.000	54.98	11/02/21	11.00	...	43.98	Option sale to close-call ²⁵
	Security total:	181.94		110.00	...	71.94	
NVDA 11/19/2021 CALL \$380.00 / CUSIP: / Symbol:							
6 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	135.98	11/04/21	128.00	...	7.98	1 of 6 - Option sale to close-call ²⁵
	1.000	139.98	11/04/21	128.00	...	11.98	2 of 6 - Option sale to close-call ²⁵
	1.000	40.98	11/04/21	14.00	...	26.98	3 of 6 - Option sale to close-call ²⁵
	1.000	48.98	11/04/21	14.00	...	34.98	4 of 6 - Option sale to close-call ²⁵
	1.000	48.98	11/04/21	14.00	...	34.98	5 of 6 - Option sale to close-call ²⁵
	2.000	109.98	11/04/21	28.00	...	81.98	6 of 6 - Option sale to close-call ²⁵
11/04/21	7.000	524.88	Various	326.00	...	198.88	Total of 6 transactions
NVDA 12/17/2021 PUT \$280.00 / CUSIP: / Symbol:							
12/15/21	1.000	67.98	12/15/21	75.00	...	-7.02	Option sale to close-put ²⁵
NVDA 12/17/2021 PUT \$287.50 / CUSIP: / Symbol:							
12/15/21	1.000	194.98	12/15/21	189.00	...	5.98	Option sale to close-put ²⁵
NVDA 12/17/2021 PUT \$290.00 / CUSIP: / Symbol:							
12/15/21	1.000	226.98	12/15/21	198.00	...	28.98	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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OCGN 10/29/2021 CALL \$9.50 / CUSIP: / Symbol:							
10/25/21	2.000	167.98	10/25/21	178.00	...	-10.02	Option sale to close-call ²⁵
OPTT 02/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/19/21	1.000	54.98	02/19/21	0.00	...	54.98	Short sale closed- call expired Option written: 02/08/21
ORGN 08/20/2021 CALL \$10.00 / CUSIP: / Symbol:							
3 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	1.000	62.99	02/17/21	450.00	...	-387.01	1 of 3 - Option sale to close-call ²⁵
	1.000	62.99	03/11/21	200.00	...	-137.01	2 of 3 - Option sale to close-call ²⁵
	1.000	63.00	04/23/21	95.00	...	-32.00	3 of 3 - Option sale to close-call ²⁵
06/28/21	3.000	188.98	Various	745.00	...	-556.02	Total of 3 transactions
OXY 12/17/2021 CALL \$37.00 / CUSIP: / Symbol:							
2 transactions for 09/08/21. Total proceeds and cost reported to the IRS.							
	5.000	144.98	09/02/21	270.00	...	-125.02	1 of 2 - Option sale to close-call ²⁵
	5.000	144.98	09/02/21	270.00	...	-125.02	2 of 2 - Option sale to close-call ²⁵
09/08/21	10.000	289.96	Various	540.00	...	-250.04	Total of 2 transactions
PEP 03/19/2021 CALL \$135.00 / CUSIP: / Symbol:							
02/18/21	1.000	292.98	02/16/21	252.00	...	40.98	Option sale to close-call ²⁵
PFE 01/21/2022 CALL \$55.00 / CUSIP: / Symbol:							
02/11/21	1.000	40.98	12/01/20	118.00	...	-77.02	Option sale to close-call ²⁵
PFE 07/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
02/11/21	1.000	19.98	11/20/20	54.00	...	-34.02	Option sale to close-call ²⁵
PFE 11/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	26.99	11/08/21	60.00	...	-33.01	1 of 2 - Option sale to close-call ²⁵
	1.000	26.99	11/08/21	60.00	...	-33.01	2 of 2 - Option sale to close-call ²⁵
11/09/21	2.000	53.98	Various	120.00	...	-66.02	Total of 2 transactions
PINS 03/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
02/08/21	1.000	624.97	02/08/21	605.00	...	19.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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PINS 10/22/2021 CALL \$80.00 / CUSIP: / Symbol:							
10/20/21	1.000	13.98	10/20/21	20.00	...	-6.02	Option sale to close-call ²⁵
	3 transactions for 10/21/21. Total proceeds and cost reported to the IRS.						
	2.000	1.98	10/20/21	40.00	...	-38.02	1 of 3 - Option sale to close-call ²⁵
	1.000	0.98	10/20/21	20.00	...	-19.02	2 of 3 - Option sale to close-call ²⁵
	1.000	0.98	10/20/21	20.00	...	-19.02	3 of 3 - Option sale to close-call ²⁵
10/21/21	4.000	3.94	Various	80.00	...	-76.06	Total of 3 transactions
	Security total:	17.92		100.00	...	-82.08	
PLTR 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
02/23/21	2.000	1,519.95	02/16/21	2,080.00	...	-560.05	Option sale to close-call ²⁵
PLTR 03/05/2021 CALL \$26.00 / CUSIP: / Symbol:							
03/01/21	2.000	105.98	02/25/21	272.00	166.02 W	0.00	Option sale to close-call ²⁵
	7 transactions for 03/02/21. Total proceeds and cost reported to the IRS.						
	5.000	239.98	02/26/21	300.00	...	-60.02	1 of 7 - Option sale to close-call ²⁵
	2.000	95.99	03/01/21	410.03	...	-314.04	2 of 7 - Option sale to close-call ²⁵
	2.000	95.99	03/01/21	400.02	...	-304.03	3 of 7 - Option sale to close-call ²⁵
	1.000	44.00	03/01/21	144.00	...	-100.00	4 of 7 - Option sale to close-call ²⁵
	1.000	48.00	03/01/21	144.00	...	-96.00	5 of 7 - Option sale to close-call ²⁵
	3.000	131.98	03/01/21	207.01	...	-75.03	6 of 7 - Option sale to close-call ²⁵
	1.000	43.98	03/01/21	69.00	...	-25.02	7 of 7 - Option sale to close-call ²⁵
03/02/21	15.000	699.92	Various	1,674.06	...	-974.14	Total of 7 transactions
	5 transactions for 03/02/21. Total proceeds and cost reported to the IRS.						
	2.000	95.98	02/25/21	272.00	176.02 W	0.00	1 of 5 - Option sale to close-call ²⁵
	2.000	96.00	02/25/21	272.00	176.00 W	0.00	2 of 5 - Option sale to close-call ²⁵
	2.000	95.99	02/25/21	394.02	298.03 W	0.00	3 of 5 - Option sale to close-call ²⁵
	2.000	96.00	02/25/21	384.02	288.02 W	0.00	4 of 5 - Option sale to close-call ²⁵
	5.000	239.99	02/26/21	305.00	52.01 W	-13.00	5 of 5 - Option sale to close-call ²⁵
03/02/21	13.000	623.96	Various	1,627.04	990.08 W	-13.00	Total of 5 transactions
	Security total:	1,429.86		3,573.10	1,156.10 W	-987.14	

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PLTR 04/30/2021 CALL \$27.00 / CUSIP: / Symbol:							
2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	1.000	43.99	03/11/21	333.00	...	-289.01	1 of 2 - Option sale to close-call ²⁵
	1.000	43.99	03/11/21	333.00	...	-289.01	2 of 2 - Option sale to close-call ²⁵
04/13/21	2.000	87.98	Various	666.00	...	-578.02	Total of 2 transactions
PLTR 04/30/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	1.000	210.98	04/13/21	0.00	...	210.98	1 of 2 - Short sale closed- call ²⁵
	1.000	210.98	04/13/21	0.00	...	210.98	2 of 2 - Short sale closed- call ²⁵
04/14/21	2.000	421.96	Various	0.00	...	421.96	Option written: 03/11/21 Total of 2 transactions
PLTR 05/21/2021 CALL \$24.00 / CUSIP: / Symbol:							
04/26/21	1.000	124.98	04/21/21	104.00	...	20.98	Option sale to close-call ²⁵
05/21/21	1.000	0.00	04/21/21	104.00	...	-104.00	Option expiration- long call
	Security total:	124.98		208.00	...	-83.02	
PLTR 05/21/2021 CALL \$26.00 / CUSIP: / Symbol:							
4 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	2.000	189.99	02/23/21	1,020.00	...	-830.01	1 of 4 - Option sale to close-call ²⁵
	1.000	95.00	03/22/21	264.00	...	-169.00	2 of 4 - Option sale to close-call ²⁵
	1.000	95.00	03/23/21	172.00	...	-77.00	3 of 4 - Option sale to close-call ²⁵
	1.000	94.99	03/30/21	97.00	...	-2.01	4 of 4 - Option sale to close-call ²⁵
03/30/21	5.000	474.98	Various	1,553.00	...	-1,078.02	Total of 4 transactions
04/15/21	5.000	479.98	03/30/21	485.00	...	-5.02	Option sale to close-call ²⁵
	Security total:	954.96		2,038.00	...	-1,083.04	
PLTR 05/21/2021 CALL \$30.00 / CUSIP: / Symbol:							
02/03/21	1.000	799.97	02/02/21	790.00	...	9.97	Option sale to close-call ²⁵

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Account 120410212

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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PLTR 06/04/2021 CALL \$23.00 / CUSIP: / Symbol:							
4 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	1.000	43.99	05/26/21	37.00	...	6.99	1 of 4 - Option sale to close-call ²⁵
	1.000	44.00	05/26/21	32.00	...	12.00	2 of 4 - Option sale to close-call ²⁵
	1.000	44.00	05/26/21	32.00	...	12.00	3 of 4 - Option sale to close-call ²⁵
	2.000	87.99	05/26/21	64.00	...	23.99	4 of 4 - Option sale to close-call ²⁵
05/27/21	5.000	219.98	Various	165.00	...	54.98	Total of 4 transactions
4 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	1.000	143.98	05/26/21	37.00	...	106.98	1 of 4 - Option sale to close-call ²⁵
	1.000	151.98	05/26/21	37.00	...	114.98	2 of 4 - Option sale to close-call ²⁵
	1.000	168.98	05/26/21	37.00	...	131.98	3 of 4 - Option sale to close-call ²⁵
	2.000	293.98	05/26/21	74.00	...	219.98	4 of 4 - Option sale to close-call ²⁵
05/28/21	5.000	758.92	Various	185.00	...	573.92	Total of 4 transactions
	Security total:	978.90		350.00	...	628.90	
PLTR 06/04/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	1.000	11.98	05/28/21	47.00	...	-35.02	1 of 2 - Option sale to close-call ²⁵
	1.000	11.98	05/28/21	47.00	...	-35.02	2 of 2 - Option sale to close-call ²⁵
06/01/21	2.000	23.96	Various	94.00	...	-70.04	Total of 2 transactions
PLTR 06/11/2021 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	4.000	139.98	06/10/21	164.00	...	-24.02	1 of 2 - Option sale to close-call ²⁵
	1.000	34.98	06/10/21	41.00	...	-6.02	2 of 2 - Option sale to close-call ²⁵
06/10/21	5.000	174.96	Various	205.00	...	-30.04	Total of 2 transactions
PLTR 06/11/2021 CALL \$26.00 / CUSIP: / Symbol:							
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	1.000	60.98	05/28/21	80.00	...	-19.02	1 of 2 - Option sale to close-call ²⁵
	1.000	60.98	05/28/21	80.00	...	-19.02	2 of 2 - Option sale to close-call ²⁵
05/28/21	2.000	121.96	Various	160.00	...	-38.04	Total of 2 transactions
PLTR 06/17/2022 CALL \$27.00 / CUSIP: / Symbol:							
03/30/21	1.000	515.98	03/11/21	1,058.00	...	-542.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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PLTR 06/17/2022 CALL \$40.00 / CUSIP: / Symbol:							
03/31/21	1.000	464.98	03/30/21	0.00	...	464.98	Short sale closed- call ²⁵ Option written: 03/11/21
PLTR 06/17/2022 CALL \$45.00 / CUSIP: / Symbol:							
08/30/21	2.000	271.98	04/19/21	454.00	91.01 W	-91.01	Option sale to close-call ²⁵
11/08/21	1.000	109.98	07/13/21	89.00	...	20.98	Option sale to close-call ²⁵
11/16/21	1.000	47.98	08/12/21	198.01	...	-150.03	Option sale to close-call ²⁵
	Security total:	429.94		741.01	91.01 W	-220.06	
PLTR 07/02/2021 CALL \$24.00 / CUSIP: / Symbol:							
	<i>2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	160.98	05/28/21	151.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	1.000	209.98	05/28/21	151.00	...	58.98	2 of 2 - Option sale to close-call ²⁵
05/28/21	2.000	370.96	Various	302.00	...	68.96	Total of 2 transactions
PLTR 07/02/2021 CALL \$30.00 / CUSIP: / Symbol:							
	<i>4 transactions for 06/10/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	33.98	06/07/21	76.01	...	-42.03	1 of 4 - Option sale to close-call ²⁵
	2.000	33.99	06/07/21	56.00	...	-22.01	2 of 4 - Option sale to close-call ²⁵
	2.000	33.99	06/07/21	56.00	...	-22.01	3 of 4 - Option sale to close-call ²⁵
	2.000	34.00	06/07/21	56.00	...	-22.00	4 of 4 - Option sale to close-call ²⁵
06/10/21	8.000	135.96	Various	244.01	...	-108.05	Total of 4 transactions
06/10/21	2.000	33.99	06/07/21	56.00	22.01 W	0.00	Option sale to close-call ²⁵
	Security total:	169.95		300.01	22.01 W	-108.05	
PLTR 07/09/2021 CALL \$25.00 / CUSIP: / Symbol:							
06/03/21	1.000	138.98	05/28/21	140.00	...	-1.02	Option sale to close-call ²⁵
PLTR 08/27/2021 CALL \$26.00 / CUSIP: / Symbol:							
08/30/21	1.000	18.98	08/27/21	0.00	...	18.98	Short sale closed- call ²⁵ Option written: 08/05/21

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PLTR 12/17/2021 CALL \$30.00 / CUSIP: / Symbol:							
11/08/21	1.000	103.98	11/03/21	77.00	...	26.98	Option sale to close-call ²⁵
	<i>2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	10.99	11/03/21	77.00	...	-66.01	1 of 2 - Option sale to close-call ²⁵
	1.000	10.99	11/09/21	37.00	...	-26.01	2 of 2 - Option sale to close-call ²⁵
11/16/21	2.000	21.98	Various	114.00	...	-92.02	Total of 2 transactions
	Security total:	125.96		191.00	...	-65.04	
PLUG 02/26/2021 PUT \$45.00 / CUSIP: / Symbol:							
02/24/21	1.000	159.98	02/23/21	225.00	...	-65.02	Option sale to close-put ²⁵
PLUG 03/05/2021 CALL \$56.00 / CUSIP: / Symbol:							
02/23/21	1.000	114.98	02/17/21	540.00	...	-425.02	Option sale to close-call ²⁵
PLUG 03/05/2021 CALL \$64.00 / CUSIP: / Symbol:							
	<i>2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	29.99	02/12/21	595.00	...	-565.01	1 of 2 - Option sale to close-call ²⁵
	1.000	29.99	02/19/21	270.00	...	-240.01	2 of 2 - Option sale to close-call ²⁵
02/23/21	2.000	59.98	Various	865.00	...	-805.02	Total of 2 transactions
PLUG 07/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
06/03/21	1.000	263.98	06/01/21	220.00	...	43.98	Option sale to close-call ²⁵
PLUG 09/17/2021 CALL \$70.00 / CUSIP: / Symbol:							
06/10/21	1.000	85.98	06/08/21	108.00	...	-22.02	Option sale to close-call ²⁵
PLUG 11/26/2021 CALL \$42.00 / CUSIP: / Symbol:							
11/10/21	1.000	197.98	11/10/21	240.00	...	-42.02	Option sale to close-call ²⁵
PROG 10/15/2021 CALL \$1.50 / CUSIP: / Symbol:							
	<i>3 transactions for 09/07/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	21.99	09/02/21	20.00	...	1.99	1 of 3 - Option sale to close-call ²⁵
	1.000	22.00	09/02/21	20.00	...	2.00	2 of 3 - Option sale to close-call ²⁵
	3.000	65.99	09/02/21	60.00	...	5.99	3 of 3 - Option sale to close-call ²⁵
09/07/21	5.000	109.98	Various	100.00	...	9.98	Total of 3 transactions
09/16/21	5.000	39.98	09/02/21	100.00	...	-60.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROG 10/15/2021 CALL \$1.50 / CUSIP: / Symbol: (cont'd)							
Security total:		149.96		200.00	...	-50.04	
PRTY 01/15/2021 CALL \$12.50 / CUSIP: / Symbol:							
01/15/21	2.000	0.00	12/04/20	10.00	...	-10.00	Option expiration- long call
PTON 09/10/2021 CALL \$105.00 / CUSIP: / Symbol:							
09/02/21	1.000	123.98	09/02/21	156.00	...	-32.02	Option sale to close-call ²⁵
PTON 11/12/2021 PUT \$50.00 / CUSIP: / Symbol:							
11/09/21	1.000	111.98	11/08/21	153.00	...	-41.02	Option sale to close-put ²⁵
PYPL 10/29/2021 CALL \$255.00 / CUSIP: / Symbol:							
10/22/21	1.000	139.98	10/22/21	144.00	...	-4.02	Option sale to close-call ²⁵
PYPL 12/17/2021 CALL \$250.00 / CUSIP: / Symbol:							
11/03/21	1.000	509.98	11/03/21	510.00	0.02 W	0.00	Option sale to close-call ²⁵
11/08/21	1.000	519.98	11/04/21	435.02	...	84.96	Option sale to close-call ²⁵
Security total:		1,029.96		945.02	0.02 W	84.96	
QCOM 03/19/2021 CALL \$150.00 / CUSIP: / Symbol:							
02/18/21	1.000	340.98	02/05/21	535.00	...	-194.02	Option sale to close-call ²⁵
QQQ 02/05/2021 PUT \$315.00 / CUSIP: / Symbol:							
02/03/21	1.000	22.98	02/02/21	83.02	...	-60.04	Option sale to close-put ²⁵
02/03/21	1.000	22.98	02/02/21	53.00	30.02 W	0.00	Option sale to close-put ²⁵
Security total:		45.96		136.02	30.02 W	-60.04	
QQQ 05/21/2021 PUT \$315.00 / CUSIP: / Symbol:							
04/16/21	1.000	215.98	04/12/21	333.00	...	-117.02	Option sale to close-put ²⁵
QQQ 06/18/2021 CALL \$319.00 / CUSIP: / Symbol:							
03/30/21	1.000	1,100.98	03/23/21	1,562.00	...	-461.02	Option sale to close-call ²⁵
QQQ 06/18/2021 CALL \$335.00 / CUSIP: / Symbol:							
03/31/21	1.000	309.98	03/30/21	0.00	...	309.98	Short sale closed- call ²⁵ Option written: 03/23/21

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 10/04/2021 PUT \$370.00 / CUSIP: / Symbol: 09/02/21	1.000	343.98	09/01/21	361.00	...	-17.02	Option sale to close-put ²⁵
QQQ 10/04/2021 PUT \$375.00 / CUSIP: / Symbol: 09/08/21	1.000	502.98	09/02/21	466.00	...	36.98	Option sale to close-put ²⁵
QQQ 11/12/2021 CALL \$393.00 / CUSIP: / Symbol: 11/11/21	1.000	107.98	11/11/21	125.00	...	-17.02	Option sale to close-call ²⁵
QQQ 12/01/2021 CALL \$399.00 / CUSIP: / Symbol: 12/01/21	1.000	20.98	12/01/21	33.00	...	-12.02	Option sale to close-call ²⁵
QQQ 12/27/2021 PUT \$397.00 / CUSIP: / Symbol: 12/23/21	1.000	136.98	12/23/21	138.00	...	-1.02	Option sale to close-put ²⁵
QQQ 12/29/2021 CALL \$405.00 / CUSIP: / Symbol: 12/08/21	1.000	395.98	12/08/21	384.00	...	11.98	Option sale to close-call ²⁵
QQQ 12/31/2021 CALL \$401.00 / CUSIP: / Symbol: 12/28/21	1.000	293.98	12/28/21	281.00	...	12.98	Option sale to close-call ²⁵
QS 02/05/2021 CALL \$43.00 / CUSIP: / Symbol: 02/03/21	2.000	429.98	02/02/21	420.00	...	9.98	Option sale to close-call ²⁵
QS 02/05/2021 CALL \$60.00 / CUSIP: / Symbol: 01/22/21	1.000	269.98	01/22/21	318.00	...	-48.02	Option sale to close-call ²⁵
RAAS 08/20/2021 CALL \$10.00 / CUSIP: / Symbol: 2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.	1.000	22.98	08/20/21	0.00	...	22.98	1 of 2 - Short sale closed- call expired Option written: 07/06/21
	1.000	22.98	08/20/21	0.00	...	22.98	2 of 2 - Short sale closed- call expired Option written: 07/06/21
08/20/21	2.000	45.96	Various	0.00	...	45.96	Total of 2 transactions

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RAAS 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
09/03/21	1.000	-110.04	09/02/21	0.00	...	-110.04	Short sale closed- call ²⁵
09/03/21	1.000	-50.02	09/02/21	0.00	50.02 W	0.00	Option written: 08/12/21 Short sale closed- call ²⁵ Option written: 08/12/21
Security total:		-160.06		0.00	50.02 W	-110.04	
RAAS 10/15/2021 CALL \$22.50 / CUSIP: / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	2.000	39.98	10/15/21	0.00	...	39.98	1 of 2 - Short sale closed- call expired
	4.000	79.98	10/15/21	0.00	...	79.98	Option written: 09/02/21 2 of 2 - Short sale closed- call expired
10/15/21	6.000	119.96	Various	0.00	...	119.96	Option written: 09/02/21 Total of 2 transactions
RBLX 11/05/2021 CALL \$85.00 / CUSIP: / Symbol:							
10/29/21	1.000	204.98	10/29/21	203.00	...	1.98	Option sale to close-call ²⁵
RBLX 11/19/2021 CALL \$130.00 / CUSIP: / Symbol:							
11/10/21	1.000	118.98	11/09/21	231.00	...	-112.02	Option sale to close-call ²⁵
RIOT 12/17/2021 PUT \$23.00 / CUSIP: / Symbol:							
12/17/21	1.000	13.98	12/17/21	24.00	...	-10.02	Option sale to close-put ²⁵
RKT 03/12/2021 CALL \$32.00 / CUSIP: / Symbol:							
03/02/21	1.000	908.98	03/02/21	861.00	...	47.98	Option sale to close-call ²⁵
RKT 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
03/03/21	1.000	434.98	03/02/21	690.00	...	-255.02	Option sale to close-call ²⁵
RKT 07/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
06/10/21	2.000	105.98	06/09/21	166.00	...	-60.02	Option sale to close-call ²⁵
RMGB 10/15/2021 CALL \$12.50 / CUSIP: / Symbol:							
07/12/21	1.000	29.98	03/11/21	175.00	...	-145.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 04/09/2021 CALL \$380.00 / CUSIP: / Symbol: 04/08/21	1.000	374.98	04/08/21	360.00	...	14.98	Option sale to close-call ²⁵
ROKU 08/13/2021 CALL \$420.00 / CUSIP: / Symbol: 08/09/21	2.000	929.98	08/06/21	644.00	...	285.98	Option sale to close-call ²⁵
ROKU 08/13/2021 CALL \$425.00 / CUSIP: / Symbol: 08/10/21	2.000	-226.02	08/09/21	0.00	...	-226.02	Short sale closed- call ²⁵ Option written: 08/06/21
ROKU 08/20/2021 CALL \$400.00 / CUSIP: / Symbol: 08/12/21	1.000	309.98	08/12/21	284.00	...	25.98	Option sale to close-call ²⁵
ROKU 08/27/2021 CALL \$360.00 / CUSIP: / Symbol: 08/26/21	1.000	714.98	08/26/21	825.00	...	-110.02	Option sale to close-call ²⁵
ROKU 08/27/2021 CALL \$362.50 / CUSIP: / Symbol: 08/27/21	1.000	-209.02	08/26/21	0.00	...	-209.02	Short sale closed- call ²⁵ Option written: 08/25/21
ROKU 08/27/2021 CALL \$425.00 / CUSIP: / Symbol: 08/12/21	1.000	257.98	08/11/21	223.00	...	34.98	Option sale to close-call ²⁵
ROKU 08/27/2021 PUT \$350.00 / CUSIP: / Symbol: 08/26/21	2.000	239.98	08/26/21	258.00	...	-18.02	Option sale to close-put ²⁵
ROKU 10/15/2021 CALL \$350.00 / CUSIP: / Symbol: 08/26/21	1.000	3,129.97	08/25/21	2,785.00	...	344.97	Option sale to close-call ²⁵
ROKU 11/05/2021 CALL \$315.00 / CUSIP: / Symbol: 11/04/21	1.000	80.98	11/04/21	122.00	...	-41.02	Option sale to close-call ²⁵
ROKU 12/17/2021 PUT \$225.00 / CUSIP: / Symbol: 12/17/21	1.000	69.98	12/17/21	102.02	...	-32.04	Option sale to close-put ²⁵
12/17/21	1.000	62.98	12/17/21	92.00	29.02 W	0.00	Option sale to close-put ²⁵
Security total:		132.96		194.02	29.02 W	-32.04	

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Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RTX 03/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	1.000	269.98	01/26/21	265.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	1.000	284.98	01/26/21	265.00	...	19.98	2 of 2 - Option sale to close-call ²⁵
01/28/21	2.000	554.96	Various	530.00	...	24.96	Total of 2 transactions
RVP 03/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
03/19/21	1.000	99.98	03/19/21	0.00	...	99.98	Short sale closed- call expired Option written: 02/19/21
RVP 08/20/2021 CALL \$17.50 / CUSIP: / Symbol:							
07/07/21	1.000	15.98	07/07/21	20.00	...	-4.02	Option sale to close-call ²⁵
SAVA 01/19/2024 CALL \$150.00 / CUSIP: / Symbol:							
12/22/21	1.000	-80.02	12/21/21	0.00	80.02 W	0.00	Short sale closed- call ²⁵ Option written: 12/17/21
12/31/21	1.000	-140.04	12/30/21	0.00	...	-140.04	Short sale closed- call ²⁵ Option written: 12/20/21
	Security total:	-220.06		0.00	80.02 W	-140.04	
SAVA 01/20/2023 PUT \$70.00 / CUSIP: / Symbol:							
09/21/21	1.000	138.96	09/20/21	0.00	...	138.96	Short sale closed- put ²⁵ Option written: 08/30/21
SAVA 08/27/2021 CALL \$110.00 / CUSIP: / Symbol:							
08/27/21	1.000	304.98	08/26/21	0.00	...	304.98	Short sale closed- call ²⁵ Option written: 08/25/21
SAVA 08/27/2021 CALL \$63.00 / CUSIP: / Symbol:							
08/27/21	1.000	84.98	08/27/21	85.00	...	-0.02	Option sale to close-call ²⁵
SAVA 09/03/2021 CALL \$100.00 / CUSIP: / Symbol:							
08/27/21	1.000	209.98	08/27/21	195.00	...	14.98	Option sale to close-call ²⁵
08/30/21	1.000	56.98	08/27/21	230.00	...	-173.02	Option sale to close-call ²⁵
	Security total:	266.96		425.00	...	-158.04	

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Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SAVA 09/03/2021 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	1.000	26.98	09/03/21	80.00	...	-53.02	1 of 2 - Option sale to close-call ²⁵
	1.000	39.98	09/03/21	80.00	...	-40.02	2 of 2 - Option sale to close-call ²⁵
09/03/21	2.000	66.96	Various	160.00	...	-93.04	Total of 2 transactions
SAVA 09/10/2021 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	1.000	69.98	09/08/21	35.00	...	34.98	1 of 2 - Option sale to close-call ²⁵
	1.000	69.98	09/08/21	35.00	...	34.98	2 of 2 - Option sale to close-call ²⁵
09/09/21	2.000	139.96	Various	70.00	...	69.96	Total of 2 transactions
09/09/21	1.000	24.98	09/09/21	45.00	20.02 W	0.00	Option sale to close-call ²⁵
09/10/21	1.000	9.98	09/09/21	52.02	...	-42.04	Option sale to close-call ²⁵
	Security total:	174.92		167.02	20.02 W	27.92	
SAVA 10/01/2021 CALL \$75.00 / CUSIP: / Symbol:							
09/29/21	1.000	29.98	09/28/21	0.00	...	29.98	Short sale closed- call ²⁵ Option written: 09/24/21
SAVA 11/05/2021 CALL \$95.00 / CUSIP: / Symbol:							
11/04/21	1.000	169.98	11/03/21	0.00	...	169.98	Short sale closed- call ²⁵ Option written: 11/02/21
SAVA 11/12/2021 PUT \$60.00 / CUSIP: / Symbol:							
11/12/21	1.000	5.98	11/11/21	25.00	...	-19.02	Option sale to close-put ²⁵
SAVA 11/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	49.98	11/05/21	0.00	...	49.98	1 of 2 - Short sale closed- call ²⁵ Option written: 11/04/21
	1.000	59.98	11/05/21	0.00	...	59.98	2 of 2 - Short sale closed- call ²⁵ Option written: 11/04/21
11/08/21	2.000	109.96	Various	0.00	...	109.96	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SCYX 02/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	1.000	19.99	01/22/21	37.00	...	-17.01	1 of 2 - Option sale to close-call ²⁵
	1.000	19.99	01/22/21	37.00	...	-17.01	2 of 2 - Option sale to close-call ²⁵
01/22/21	2.000	39.98	Various	74.00	...	-34.02	Total of 2 transactions
SHOP 07/23/2021 CALL \$1,700.00 / CUSIP: / Symbol:							
07/12/21	1.000	309.98	07/08/21	1,660.02	...	-1,350.04	Option sale to close-call ²⁵
07/12/21	1.000	309.98	07/07/21	1,370.00	1,060.02 W	0.00	Option sale to close-call ²⁵
	Security total:	619.96		3,030.02	1,060.02 W	-1,350.04	
SHOP 11/26/2021 CALL \$1,840.00 / CUSIP: / Symbol:							
11/24/21	2.000	65.98	11/19/21	1,880.00	...	-1,814.02	Option sale to close-call ²⁵
SHOP 11/26/2021 CALL \$1,850.00 / CUSIP: / Symbol:							
11/26/21	2.000	1,569.98	11/24/21	0.00	...	1,569.98	Short sale closed- call ²⁵ Option written: 11/19/21
SNAP 02/12/2021 CALL \$63.00 / CUSIP: / Symbol:							
02/05/21	1.000	260.98	02/05/21	175.00	...	85.98	Option sale to close-call ²⁵
SNAP 03/12/2021 CALL \$61.00 / CUSIP: / Symbol:							
03/08/21	1.000	28.98	03/05/21	92.00	...	-63.02	Option sale to close-call ²⁵
SNDL 07/16/2021 CALL \$1.50 / CUSIP: / Symbol:							
02/11/21	1.000	223.98	02/10/21	205.00	...	18.98	Option sale to close-call ²⁵
SNOW 02/19/2021 CALL \$285.00 / CUSIP: / Symbol:							
01/29/21	1.000	1,289.96	01/25/21	2,110.00	...	-820.04	Option sale to close-call ²⁵
SNOW 02/19/2021 CALL \$305.00 / CUSIP: / Symbol:							
02/01/21	1.000	579.95	01/29/21	0.00	...	579.95	Short sale closed- call ²⁵ Option written: 01/25/21

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOXL 09/17/2021 PUT \$40.00 / CUSIP: / Symbol:							
08/12/21	1.000	291.98	08/02/21	239.00	...	52.98	Option sale to close-put ²⁵
08/30/21	1.000	44.98	08/26/21	221.02	...	-176.04	Option sale to close-put ²⁵
08/30/21	1.000	44.98	08/26/21	132.00	87.02 W	0.00	Option sale to close-put ²⁵
	Security total:	381.94		592.02	87.02 W	-123.06	
SPCE 07/16/2021 CALL \$36.00 / CUSIP: / Symbol:							
06/07/21	1.000	464.98	06/07/21	363.00	...	101.98	Option sale to close-call ²⁵
SPCE 10/01/2021 CALL \$30.00 / CUSIP: / Symbol:							
08/26/21	2.000	213.98	08/24/21	350.00	...	-136.02	Option sale to close-call ²⁵
SPG 03/19/2021 CALL \$120.00 / CUSIP: / Symbol:							
02/10/21	2.000	387.98	02/09/21	316.00	...	71.98	Option sale to close-call ²⁵
SPG 04/16/2021 CALL \$115.00 / CUSIP: / Symbol:							
02/11/21	1.000	564.97	02/10/21	505.00	...	59.97	Option sale to close-call ²⁵
SPY 01/03/2022 CALL \$478.00 / CUSIP: / Symbol:							
12/28/21	1.000	208.98	12/28/21	200.00	...	8.98	Option sale to close-call ²⁵
SPY 02/12/2021 PUT \$385.00 / CUSIP: / Symbol:							
02/05/21	1.000	205.98	02/04/21	311.00	...	-105.02	Option sale to close-put ²⁵
SPY 02/26/2021 CALL \$386.00 / CUSIP: / Symbol:							
	<i>2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	311.98	02/23/21	238.00	...	73.98	1 of 2 - Option sale to close-call ²⁵
	1.000	311.98	02/23/21	238.00	...	73.98	2 of 2 - Option sale to close-call ²⁵
02/23/21	2.000	623.96	Various	476.00	...	147.96	Total of 2 transactions
SPY 02/26/2021 CALL \$389.00 / CUSIP: / Symbol:							
02/23/21	2.000	481.97	02/23/21	364.00	...	117.97	Option sale to close-call ²⁵
SPY 02/26/2021 CALL \$391.00 / CUSIP: / Symbol:							
02/24/21	1.000	175.98	02/23/21	126.00	...	49.98	Option sale to close-call ²⁵
SPY 03/01/2021 CALL \$387.00 / CUSIP: / Symbol:							
02/26/21	2.000	251.98	02/25/21	538.00	...	-286.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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SPY 03/05/2021 PUT \$380.00 / CUSIP: / Symbol:							
03/05/21	1.000	74.98	03/05/21	128.00	...	-53.02	Option sale to close-put ²⁵
SPY 03/24/2021 PUT \$392.00 / CUSIP: / Symbol:							
03/23/21	1.000	109.98	03/22/21	142.00	...	-32.02	Option sale to close-put ²⁵
SPY 04/21/2021 PUT \$412.00 / CUSIP: / Symbol:							
04/20/21	1.000	180.98	04/20/21	132.00	...	48.98	Option sale to close-put ²⁵
SPY 05/07/2021 PUT \$405.00 / CUSIP: / Symbol:							
05/03/21	1.000	23.98	04/23/21	139.00	...	-115.02	Option sale to close-put ²⁵
SPY 05/10/2021 PUT \$400.00 / CUSIP: / Symbol:							
04/22/21	1.000	210.98	04/21/21	146.00	...	64.98	Option sale to close-put ²⁵
SPY 05/21/2021 PUT \$400.00 / CUSIP: / Symbol:							
04/16/21	1.000	285.98	04/12/21	411.00	...	-125.02	Option sale to close-put ²⁵
SPY 05/28/2021 CALL \$418.00 / CUSIP: / Symbol:							
05/26/21	1.000	220.98	05/26/21	225.00	...	-4.02	Option sale to close-call ²⁵
SPY 09/08/2021 PUT \$443.00 / CUSIP: / Symbol:							
08/30/21	1.000	59.98	08/26/21	296.00	...	-236.02	Option sale to close-put ²⁵
SPY 09/10/2021 PUT \$440.00 / CUSIP: / Symbol:							
08/18/21	1.000	489.98	08/13/21	378.00	...	111.98	Option sale to close-put ²⁵
08/19/21	1.000	808.98	08/19/21	655.00	...	153.98	Option sale to close-put ²⁵
		3 transactions for 08/30/21. Total proceeds and cost reported to the IRS.					
	1.000	90.99	08/20/21	475.00	...	-384.01	1 of 3 - Option sale to close-put ²⁵
	1.000	91.00	08/24/21	231.00	...	-140.00	2 of 3 - Option sale to close-put ²⁵
	1.000	90.99	08/26/21	279.00	...	-188.01	3 of 3 - Option sale to close-put ²⁵
08/30/21	3.000	272.98	Various	985.00	...	-712.02	Total of 3 transactions
	Security total:	1,571.94		2,018.00	...	-446.06	
SPY 09/10/2021 PUT \$441.00 / CUSIP: / Symbol:							
08/26/21	1.000	256.98	08/23/21	254.00	...	2.98	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/15/2021 PUT \$445.00 / CUSIP: / Symbol:							
09/08/21	1.000	155.98	09/08/21	166.00	10.02 W	0.00	Option sale to close-put ²⁵
		<i>2 transactions for 09/10/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	63.99	09/09/21	141.00	...	-77.01	1 of 2 - Option sale to close-put ²⁵
	1.000	127.98	09/10/21	237.03	...	-109.05	2 of 2 - Option sale to close-put ²⁵
09/10/21	2.000	191.97	Various	378.03	...	-186.06	Total of 2 transactions
09/10/21	1.000	63.99	09/09/21	160.02	96.03 W	0.00	Option sale to close-put ²⁵
	Security total:	411.94		704.05	106.05 W	-186.06	
SPY 09/17/2021 PUT \$440.00 / CUSIP: / Symbol:							
09/10/21	1.000	291.98	09/10/21	218.00	...	73.98	Option sale to close-put ²⁵
SPY 09/17/2021 PUT \$445.00 / CUSIP: / Symbol:							
09/10/21	1.000	341.98	09/10/21	341.00	...	0.98	Option sale to close-put ²⁵
SPY 09/20/2021 PUT \$445.00 / CUSIP: / Symbol:							
09/09/21	1.000	292.98	09/08/21	265.00	...	27.98	Option sale to close-put ²⁵
SPY 09/24/2021 CALL \$445.00 / CUSIP: / Symbol:							
		<i>2 transactions for 09/23/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	40.99	09/22/21	35.00	...	5.99	1 of 2 - Option sale to close-call ²⁵
	1.000	54.98	09/22/21	37.01	...	17.97	2 of 2 - Option sale to close-call ²⁵
09/23/21	2.000	95.97	Various	72.01	...	23.96	Total of 2 transactions
09/23/21	2.000	81.99	09/22/21	110.00	14.01 W	-14.00	Option sale to close-call ²⁵
	Security total:	177.96		182.01	14.01 W	9.96	
SPY 09/24/2021 PUT \$445.00 / CUSIP: / Symbol:							
09/08/21	1.000	400.98	08/31/21	367.00	...	33.98	Option sale to close-put ²⁵
SPY 09/30/2021 CALL \$450.00 / CUSIP: / Symbol:							
09/27/21	2.000	41.98	09/23/21	126.00	...	-84.02	Option sale to close-call ²⁵
SPY 09/30/2021 PUT \$440.00 / CUSIP: / Symbol:							
09/08/21	1.000	354.98	09/01/21	331.00	...	23.98	Option sale to close-put ²⁵
SPY 09/30/2021 PUT \$445.00 / CUSIP: / Symbol:							
09/09/21	1.000	465.98	09/09/21	425.00	...	40.98	Option sale to close-put ²⁵

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Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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SPY 11/12/2021 CALL \$465.00 / CUSIP: / Symbol:							
11/10/21	1.000	137.98	11/10/21	128.00	...	9.98	Option sale to close-call ²⁵
SPY 11/15/2021 PUT \$465.00 / CUSIP: / Symbol:							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	1.000	7.99	11/12/21	79.00	...	-71.01	1 of 2 - Option sale to close-put ²⁵
	1.000	7.99	11/12/21	79.00	...	-71.01	2 of 2 - Option sale to close-put ²⁵
11/15/21	2.000	15.98	Various	158.00	...	-142.02	Total of 2 transactions
SPY 12/01/2021 PUT \$465.00 / CUSIP: / Symbol:							
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	243.98	11/19/21	232.00	...	11.98	1 of 2 - Option sale to close-put ²⁵
	1.000	225.98	11/19/21	200.00	...	25.98	2 of 2 - Option sale to close-put ²⁵
11/19/21	2.000	469.96	Various	432.00	...	37.96	Total of 2 transactions
SPY 12/10/2021 PUT \$464.00 / CUSIP: / Symbol:							
12/08/21	1.000	132.98	12/07/21	199.00	...	-66.02	Option sale to close-put ²⁵
SPY 12/10/2021 PUT \$466.00 / CUSIP: / Symbol:							
2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	1.000	7.98	12/10/21	17.00	...	-9.02	1 of 2 - Option sale to close-put ²⁵
	2.000	53.98	12/10/21	34.00	...	19.98	2 of 2 - Option sale to close-put ²⁵
12/10/21	3.000	61.96	Various	51.00	...	10.96	Total of 2 transactions
SPY 12/17/2021 PUT \$456.00 / CUSIP: / Symbol:							
12/17/21	1.000	73.98	12/17/21	75.00	...	-1.02	Option sale to close-put ²⁵
SPY 12/17/2021 PUT \$460.00 / CUSIP: / Symbol:							
12/17/21	1.000	47.98	12/17/21	72.00	...	-24.02	Option sale to close-put ²⁵
SPY 12/17/2021 PUT \$470.00 / CUSIP: / Symbol:							
12/16/21	1.000	239.98	12/16/21	208.00	...	31.98	Option sale to close-put ²⁵
SPY 12/20/2021 CALL \$468.00 / CUSIP: / Symbol:							
12/15/21	1.000	96.98	12/14/21	140.00	...	-43.02	Option sale to close-call ²⁵

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SPY 12/20/2021 CALL \$475.00 / CUSIP: / Symbol:							
2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	9.99	12/16/21	24.00	...	-14.01	1 of 2 - Option sale to close-call ²⁵
	1.000	9.99	12/16/21	24.00	...	-14.01	2 of 2 - Option sale to close-call ²⁵
12/17/21	2.000	19.98	Various	48.00	...	-28.02	Total of 2 transactions
SPY 12/22/2021 CALL \$458.00 / CUSIP: / Symbol:							
12/20/21	1.000	209.98	12/20/21	207.00	...	2.98	Option sale to close-call ²⁵
SPY 12/22/2021 CALL \$460.00 / CUSIP: / Symbol:							
12/20/21	1.000	110.98	12/20/21	138.00	...	-27.02	Option sale to close-call ²⁵
SPY 12/27/2021 CALL \$472.00 / CUSIP: / Symbol:							
3 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	1.000	125.98	12/23/21	126.00	...	-0.02	1 of 3 - Option sale to close-call ²⁵
	1.000	126.98	12/23/21	123.00	...	3.98	2 of 3 - Option sale to close-call ²⁵
	1.000	123.98	12/23/21	115.00	...	8.98	3 of 3 - Option sale to close-call ²⁵
12/23/21	3.000	376.94	Various	364.00	...	12.94	Total of 3 transactions
SPY 12/27/2021 PUT \$471.00 / CUSIP: / Symbol:							
12/23/21	1.000	126.98	12/23/21	127.00	...	-0.02	Option sale to close-put ²⁵
SPY 12/29/2021 PUT \$475.00 / CUSIP: / Symbol:							
12/27/21	1.000	185.98	12/27/21	184.00	...	1.98	Option sale to close-put ²⁵
SQ 08/06/2021 CALL \$270.00 / CUSIP: / Symbol:							
08/03/21	1.000	519.98	08/03/21	350.00	...	169.98	Option sale to close-call ²⁵
SQ 08/06/2021 CALL \$295.00 / CUSIP: / Symbol:							
08/05/21	2.000	121.98	08/05/21	132.00	...	-10.02	Option sale to close-call ²⁵
SQ 08/13/2021 CALL \$300.00 / CUSIP: / Symbol:							
5 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	8.000	543.98	08/06/21	776.00	...	-232.02	1 of 5 - Option sale to close-call ²⁵
	1.000	68.00	08/06/21	221.02	...	-153.02	2 of 5 - Option sale to close-call ²⁵
	1.000	57.98	08/06/21	171.01	...	-113.03	3 of 5 - Option sale to close-call ²⁵
	1.000	57.98	08/06/21	171.00	...	-113.02	4 of 5 - Option sale to close-call ²⁵

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SQ 08/13/2021 CALL \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	68.00	08/06/21	147.00	...	-79.00	5 of 5 - Option sale to close-call ²⁵
08/09/21	12.000	795.94	Various	1,486.03	...	-690.09	Total of 5 transactions
2 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	7.000	475.98	08/06/21	994.00	148.01 W	-370.01	1 of 2 - Option sale to close-call ²⁵
	1.000	67.98	08/06/21	142.00	74.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
08/09/21	8.000	543.96	Various	1,136.00	222.03 W	-370.01	Total of 2 transactions
	Security total:	1,339.90		2,622.03	222.03 W	-1,060.10	
SQ 08/20/2021 CALL \$290.00 / CUSIP: / Symbol:							
2 transactions for 08/04/21. Total proceeds and cost reported to the IRS.							
	1.000	225.99	08/04/21	217.00	...	8.99	1 of 2 - Option sale to close-call ²⁵
	1.000	225.99	08/04/21	217.00	...	8.99	2 of 2 - Option sale to close-call ²⁵
08/04/21	2.000	451.98	Various	434.00	...	17.98	Total of 2 transactions
SQ 08/27/2021 CALL \$290.00 / CUSIP: / Symbol:							
08/05/21	1.000	659.98	08/04/21	360.00	...	299.98	Option sale to close-call ²⁵
SQ 09/17/2021 CALL \$350.00 / CUSIP: / Symbol:							
08/05/21	1.000	94.98	08/05/21	99.00	...	-4.02	Option sale to close-call ²⁵
SQ 10/29/2021 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	1.000	17.00	10/15/21	10.00	...	7.00	1 of 2 - Option sale to close-call ²⁵
	3.000	50.99	10/15/21	30.00	...	20.99	2 of 2 - Option sale to close-call ²⁵
10/18/21	4.000	67.99	Various	40.00	...	27.99	Total of 2 transactions
10/18/21	2.000	33.99	10/07/21	92.00	58.01 W	0.00	Option sale to close-call ²⁵
10/21/21	2.000	27.98	10/15/21	78.01	...	-50.03	Option sale to close-call ²⁵
	Security total:	129.96		210.01	58.01 W	-22.04	
STSA 10/15/2021 CALL \$5.00 / CUSIP: / Symbol:							
10/15/21	2.000	0.00	10/06/21	70.00	...	-70.00	Option expiration- long call

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02/11/2022

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TAK 03/19/2021 CALL \$18.00 / CUSIP: / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	1.000	100.98	01/28/21	135.00	...	-34.02	1 of 2 - Option sale to close-call ²⁵
	1.000	100.98	01/28/21	135.00	...	-34.02	2 of 2 - Option sale to close-call ²⁵
01/29/21	2.000	201.96	Various	270.00	...	-68.04	Total of 2 transactions
TIGR 10/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
08/31/21	1.000	159.98	08/30/21	158.00	...	1.98	Option sale to close-call ²⁵
TJX 11/19/2021 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	31.98	11/05/21	86.01	...	-54.03	1 of 2 - Option sale to close-call ²⁵
	1.000	38.99	11/05/21	64.00	...	-25.01	2 of 2 - Option sale to close-call ²⁵
11/08/21	2.000	70.97	Various	150.01	...	-79.04	Total of 2 transactions
11/08/21	1.000	38.99	11/05/21	64.00	25.01 W	0.00	Option sale to close-call ²⁵
	Security total:	109.96		214.01	25.01 W	-79.04	
TLRY 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	1.000	214.98	11/08/21	129.00	...	85.98	1 of 2 - Option sale to close-call ²⁵
	1.000	214.98	11/08/21	129.00	...	85.98	2 of 2 - Option sale to close-call ²⁵
11/15/21	2.000	429.96	Various	258.00	...	171.96	Total of 2 transactions
11/16/21	1.000	165.98	11/09/21	144.00	...	21.98	Option sale to close-call ²⁵
	Security total:	595.94		402.00	...	193.94	
TLRY 01/21/2022 CALL \$20.00 / CUSIP: / Symbol:							
09/08/21	2.000	203.98	09/07/21	240.00	36.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	1.000	66.98	09/09/21	118.01	51.03 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	66.98	09/09/21	118.01	51.03 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/17/21	2.000	133.96	Various	236.02	102.06 W	0.00	Total of 2 transactions
09/23/21	1.000	62.00	09/22/21	61.00	...	1.00	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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TLRY 01/21/2022 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	1.000	61.99	09/22/21	107.03	45.04 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	61.99	09/22/21	107.03	45.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/23/21	2.000	123.98	Various	214.06	90.08 W	0.00	Total of 2 transactions
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	64.99	09/22/21	106.04	...	-41.05	1 of 2 - Option sale to close-call ²⁵
	2.000	129.99	10/06/21	120.00	...	9.99	2 of 2 - Option sale to close-call ²⁵
11/08/21	3.000	194.98	Various	226.04	...	-31.06	Total of 2 transactions
11/08/21	1.000	65.00	09/22/21	106.04	41.04 W	0.00	Option sale to close-call ²⁵
11/15/21	1.000	134.98	10/06/21	60.00	...	74.98	Option sale to close-call ²⁵
11/16/21	1.000	95.98	11/09/21	123.04	...	-27.06	Option sale to close-call ²⁵
	Security total:	1,014.86		1,266.20	269.20 W	17.86	
TLRY 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
06/04/21	1.000	429.98	06/01/21	249.00	...	180.98	Option sale to close-call ²⁵
TLRY 07/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/03/21	1.000	499.98	06/01/21	194.00	...	305.98	Option sale to close-call ²⁵
06/07/21	1.000	264.98	06/01/21	194.00	...	70.98	Option sale to close-call ²⁵
	Security total:	764.96		388.00	...	376.96	
TSLA 01/29/2021 CALL \$890.00 / CUSIP: / Symbol:							
01/28/21	1.000	277.98	01/28/21	395.00	...	-117.02	Option sale to close-call ²⁵
TSLA 02/26/2021 CALL \$710.00 / CUSIP: / Symbol:							
02/23/21	1.000	1,329.96	02/23/21	705.00	...	624.96	Option sale to close-call ²⁵
TSLA 02/26/2021 CALL \$745.00 / CUSIP: / Symbol:							
02/23/21	1.000	649.97	02/23/21	620.00	...	29.97	Option sale to close-call ²⁵
02/26/21	1.000	64.98	02/25/21	781.02	...	-716.04	Option sale to close-call ²⁵
02/26/21	1.000	49.98	02/25/21	630.00	580.02 W	0.00	Option sale to close-call ²⁵
	Security total:	764.93		2,031.02	580.02 W	-686.07	
TSLA 02/26/2021 CALL \$800.00 / CUSIP: / Symbol:							
02/25/21	1.000	30.98	02/24/21	152.00	...	-121.02	Option sale to close-call ²⁵

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Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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TSLA 03/05/2021 CALL \$690.00 / CUSIP: / Symbol: 02/26/21	1.000	2,953.97	02/26/21	2,500.00	...	453.97	Option sale to close-call ²⁵
TSLA 03/05/2021 CALL \$710.00 / CUSIP: / Symbol: 02/26/21	1.000	1,794.98	02/26/21	2,205.00	...	-410.02	Option sale to close-call ²⁵
TSLA 03/05/2021 CALL \$750.00 / CUSIP: / Symbol: 02/24/21	1.000	2,349.93	02/24/21	2,230.00	...	119.93	Option sale to close-call ²⁵
TSLA 03/05/2021 PUT \$710.00 / CUSIP: / Symbol: 02/24/21	1.000	2,279.93	02/24/21	2,315.00	...	-35.07	Option sale to close-put ²⁵
TSLA 03/12/2021 CALL \$690.00 / CUSIP: / Symbol: 03/03/21	1.000	2,999.97	03/03/21	2,810.00	...	189.97	Option sale to close-call ²⁵
TSLA 03/12/2021 CALL \$700.00 / CUSIP: / Symbol: <i>3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	255.98	03/12/21	179.00	...	76.98	1 of 3 - Option sale to close-call ²⁵
	1.000	226.99	03/12/21	140.00	...	86.99	2 of 3 - Option sale to close-call ²⁵
	1.000	226.99	03/12/21	140.00	...	86.99	3 of 3 - Option sale to close-call ²⁵
03/12/21	3.000	709.96	Various	459.00	...	250.96	Total of 3 transactions
TSLA 03/12/2021 CALL \$707.50 / CUSIP: / Symbol: <i>2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	52.98	03/12/21	96.00	...	-43.02	1 of 2 - Option sale to close-call ²⁵
	1.000	234.98	03/12/21	168.00	...	66.98	2 of 2 - Option sale to close-call ²⁵
03/12/21	2.000	287.96	Various	264.00	...	23.96	Total of 2 transactions
TSLA 03/12/2021 CALL \$715.00 / CUSIP: / Symbol: 03/11/21	1.000	883.98	03/11/21	845.00	...	38.98	Option sale to close-call ²⁵
TSLA 03/12/2021 CALL \$725.00 / CUSIP: / Symbol: 03/10/21	1.000	599.98	03/10/21	885.00	...	-285.02	Option sale to close-call ²⁵

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(continued)

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TSLA 03/12/2021 CALL \$750.00 / CUSIP: / Symbol:							
3 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	1.000	664.98	03/10/21	755.00	...	-90.02	1 of 3 - Option sale to close-call ²⁵
	1.000	954.98	03/10/21	740.00	...	214.98	2 of 3 - Option sale to close-call ²⁵
	1.000	1,214.98	03/10/21	934.00	...	280.98	3 of 3 - Option sale to close-call ²⁵
03/10/21	3.000	2,834.94	Various	2,429.00	...	405.94	Total of 3 transactions
TSLA 03/19/2021 CALL \$685.00 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	12.99	03/19/21	137.00	...	-124.01	1 of 2 - Option sale to close-call ²⁵
	1.000	12.99	03/19/21	81.00	...	-68.01	2 of 2 - Option sale to close-call ²⁵
03/19/21	2.000	25.98	Various	218.00	...	-192.02	Total of 2 transactions
TSLA 03/19/2021 CALL \$700.00 / CUSIP: / Symbol:							
03/17/21	1.000	1,109.98	03/17/21	1,030.00	...	79.98	Option sale to close-call ²⁵
TSLA 03/19/2021 CALL \$710.00 / CUSIP: / Symbol:							
03/16/21	1.000	1,679.98	03/16/21	1,505.00	...	174.98	Option sale to close-call ²⁵
3 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
	1.000	989.98	03/17/21	982.00	...	7.98	1 of 3 - Option sale to close-call ²⁵
	1.000	1,114.98	03/17/21	1,060.00	...	54.98	2 of 3 - Option sale to close-call ²⁵
	1.000	1,229.98	03/17/21	1,039.00	...	190.98	3 of 3 - Option sale to close-call ²⁵
03/17/21	3.000	3,334.94	Various	3,081.00	...	253.94	Total of 3 transactions
	Security total:	5,014.92		4,586.00	...	428.92	
TSLA 03/19/2021 CALL \$725.00 / CUSIP: / Symbol:							
03/11/21	1.000	2,139.97	03/10/21	2,165.00	...	-25.03	Option sale to close-call ²⁵
TSLA 03/19/2021 CALL \$740.00 / CUSIP: / Symbol:							
03/18/21	1.000	100.98	03/17/21	305.00	...	-204.02	Option sale to close-call ²⁵
TSLA 03/19/2021 CALL \$760.00 / CUSIP: / Symbol:							
03/15/21	1.000	734.98	03/15/21	806.00	...	-71.02	Option sale to close-call ²⁵
TSLA 03/19/2021 CALL \$835.00 / CUSIP: / Symbol:							
03/02/21	1.000	419.98	03/01/21	770.00	...	-350.02	Option sale to close-call ²⁵

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TSLA 03/19/2021 PUT \$660.00 / CUSIP: / Symbol:							
03/16/21	1.000	1,549.98	03/16/21	1,220.00	...	329.98	Option sale to close-put ²⁵
TSLA 03/19/2021 PUT \$685.00 / CUSIP: / Symbol:							
03/16/21	1.000	1,149.98	03/16/21	1,200.00	...	-50.02	Option sale to close-put ²⁵
TSLA 03/26/2021 CALL \$630.00 / CUSIP: / Symbol:							
03/26/21	1.000	72.98	03/26/21	186.00	...	-113.02	Option sale to close-call ²⁵
TSLA 03/26/2021 CALL \$640.00 / CUSIP: / Symbol:							
03/26/21	1.000	86.98	03/26/21	170.00	...	-83.02	Option sale to close-call ²⁵
TSLA 03/26/2021 CALL \$655.00 / CUSIP: / Symbol:							
03/25/21	1.000	374.98	03/25/21	259.00	...	115.98	Option sale to close-call ²⁵
03/26/21	1.000	51.98	03/26/21	263.00	...	-211.02	Option sale to close-call ²⁵
Security total:		426.96		522.00	...	-95.04	
TSLA 03/26/2021 CALL \$657.50 / CUSIP: / Symbol:							
03/25/21	1.000	409.98	03/25/21	312.00	...	97.98	Option sale to close-call ²⁵
TSLA 03/26/2021 CALL \$660.00 / CUSIP: / Symbol:							
		<i>2 transactions for 03/25/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	379.98	03/25/21	375.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	1.000	449.98	03/25/21	293.00	...	156.98	2 of 2 - Option sale to close-call ²⁵
03/25/21	2.000	829.96	Various	668.00	...	161.96	Total of 2 transactions
TSLA 03/26/2021 CALL \$670.00 / CUSIP: / Symbol:							
		<i>2 transactions for 03/25/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	68.99	03/25/21	290.00	...	-221.01	1 of 2 - Option sale to close-call ²⁵
	1.000	68.99	03/25/21	126.00	...	-57.01	2 of 2 - Option sale to close-call ²⁵
03/25/21	2.000	137.98	Various	416.00	...	-278.02	Total of 2 transactions
TSLA 03/26/2021 CALL \$710.00 / CUSIP: / Symbol:							
03/12/21	1.000	3,304.97	03/11/21	3,826.00	...	-521.03	Option sale to close-call ²⁵
TSLA 03/26/2021 CALL \$715.00 / CUSIP: / Symbol:							
03/22/21	1.000	1,264.98	03/22/21	1,160.00	...	104.98	Option sale to close-call ²⁵

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TSLA 03/26/2021 CALL \$720.00 / CUSIP: / Symbol:							
03/15/21	1.000	475.97	03/12/21	0.00	...	475.97	Short sale closed- call ²⁵ Option written: 03/11/21
TSLA 03/26/2021 PUT \$580.00 / CUSIP: / Symbol:							
03/24/21	1.000	238.98	03/24/21	255.00	16.02 W	0.00	Option sale to close-put ²⁵
03/26/21	1.000	54.98	03/26/21	71.02	...	-16.04	Option sale to close-put ²⁵
	Security total:	293.96		326.02	16.02 W	-16.04	
TSLA 03/26/2021 PUT \$600.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/24/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	359.98	03/24/21	466.00	...	-106.02	1 of 2 - Option sale to close-put ²⁵
	1.000	419.98	03/24/21	340.00	...	79.98	2 of 2 - Option sale to close-put ²⁵
03/24/21	2.000	779.96	Various	806.00	...	-26.04	Total of 2 transactions
TSLA 03/26/2021 PUT \$605.00 / CUSIP: / Symbol:							
03/26/21	1.000	141.98	03/26/21	93.00	...	48.98	Option sale to close-put ²⁵
TSLA 04/01/2021 CALL \$660.00 / CUSIP: / Symbol:							
03/30/21	1.000	369.98	03/30/21	445.00	...	-75.02	Option sale to close-call ²⁵
TSLA 04/01/2021 CALL \$700.00 / CUSIP: / Symbol:							
03/29/21	1.000	144.98	03/26/21	211.00	...	-66.02	Option sale to close-call ²⁵
TSLA 04/01/2021 PUT \$650.00 / CUSIP: / Symbol:							
04/01/21	1.000	61.98	04/01/21	74.00	...	-12.02	Option sale to close-put ²⁵
TSLA 04/01/2021 PUT \$660.00 / CUSIP: / Symbol:							
04/01/21	1.000	71.98	04/01/21	141.00	...	-69.02	Option sale to close-put ²⁵
TSLA 04/09/2021 CALL \$700.00 / CUSIP: / Symbol:							
	<i>3 transactions for 04/08/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	549.98	04/08/21	575.00	...	-25.02	1 of 3 - Option sale to close-call ²⁵
	1.000	359.98	04/08/21	334.00	...	25.98	2 of 3 - Option sale to close-call ²⁵
	1.000	461.98	04/08/21	414.00	...	47.98	3 of 3 - Option sale to close-call ²⁵
04/08/21	3.000	1,371.94	Various	1,323.00	...	48.94	Total of 3 transactions

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TSLA 04/09/2021 PUT \$665.00 / CUSIP: / Symbol:							
2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	1.000	188.98	04/09/21	148.00	...	40.98	1 of 2 - Option sale to close-put ²⁵
	1.000	236.98	04/09/21	145.00	...	91.98	2 of 2 - Option sale to close-put ²⁵
04/09/21	2.000	425.96	Various	293.00	...	132.96	Total of 2 transactions
TSLA 04/16/2021 CALL \$700.00 / CUSIP: / Symbol:							
04/12/21	1.000	1,109.98	04/09/21	850.00	...	259.98	Option sale to close-call ²⁵
TSLA 04/16/2021 CALL \$750.00 / CUSIP: / Symbol:							
04/13/21	1.000	709.98	04/12/21	354.00	...	355.98	Option sale to close-call ²⁵
04/16/21	1.000	149.98	04/16/21	132.00	...	17.98	Option sale to close-call ²⁵
	Security total:	859.96		486.00	...	373.96	
TSLA 04/16/2021 CALL \$770.00 / CUSIP: / Symbol:							
04/16/21	1.000	42.98	04/15/21	109.00	...	-66.02	Option sale to close-call ²⁵
TSLA 04/23/2021 CALL \$800.00 / CUSIP: / Symbol:							
04/20/21	1.000	200.98	04/20/21	268.00	...	-67.02	Option sale to close-call ²⁵
TSLA 04/30/2021 CALL \$800.00 / CUSIP: / Symbol:							
04/22/21	1.000	1,049.98	04/21/21	889.00	...	160.98	Option sale to close-call ²⁵
3 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	1.000	715.98	04/22/21	1,140.00	424.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	901.98	04/23/21	1,214.02	312.04 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	969.98	04/23/21	1,267.04	297.06 W	0.00	3 of 3 - Option sale to close-call ²⁵
04/23/21	3.000	2,587.94	Various	3,621.06	1,033.12 W	0.00	Total of 3 transactions
04/26/21	1.000	1,024.98	04/23/21	1,078.06	...	-53.08	Option sale to close-call ²⁵
	Security total:	4,662.90		5,588.12	1,033.12 W	107.90	
TSLA 04/30/2021 CALL \$850.00 / CUSIP: / Symbol:							
04/23/21	1.000	256.98	04/22/21	385.00	128.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	1.000	319.98	04/23/21	424.02	104.04 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	231.98	04/23/21	281.04	49.06 W	0.00	2 of 2 - Option sale to close-call ²⁵
04/26/21	2.000	551.96	Various	705.06	153.10 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 04/30/2021 CALL \$850.00 / CUSIP: / Symbol: (cont'd)							
04/27/21	1.000	21.98	04/26/21	291.06	...	-269.08	Option sale to close-call ²⁵
Security total:		830.92		1,381.12	281.12 W	-269.08	
TSLA 04/30/2021 CALL \$900.00 / CUSIP: / Symbol:							
04/20/21	1.000	281.98	04/20/21	360.00	...	-78.02	Option sale to close-call ²⁵
TSLA 05/07/2021 CALL \$750.00 / CUSIP: / Symbol:							
2 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	1.000	36.99	05/03/21	123.00	...	-86.01	1 of 2 - Option sale to close-call ²⁵
	1.000	36.99	05/05/21	39.00	...	-2.01	2 of 2 - Option sale to close-call ²⁵
05/05/21	2.000	73.98	Various	162.00	...	-88.02	Total of 2 transactions
TSLA 05/14/2021 CALL \$625.00 / CUSIP: / Symbol:							
05/14/21	1.000	17.98	05/13/21	66.00	...	-48.02	Option sale to close-call ²⁵
TSLA 05/14/2021 CALL \$650.00 / CUSIP: / Symbol:							
2 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	1.000	624.98	05/11/21	515.00	...	109.98	1 of 2 - Option sale to close-call ²⁵
	1.000	884.98	05/11/21	715.00	...	169.98	2 of 2 - Option sale to close-call ²⁵
05/11/21	2.000	1,509.96	Various	1,230.00	...	279.96	Total of 2 transactions
05/12/21	1.000	215.98	05/12/21	286.00	...	-70.02	Option sale to close-call ²⁵
Security total:		1,725.94		1,516.00	...	209.94	
TSLA 05/28/2021 CALL \$640.00 / CUSIP: / Symbol:							
05/28/21	1.000	43.98	05/28/21	70.00	...	-26.02	Option sale to close-call ²⁵
TSLA 05/28/2021 CALL \$650.00 / CUSIP: / Symbol:							
05/21/21	1.000	131.98	05/21/21	212.00	...	-80.02	Option sale to close-call ²⁵
TSLA 06/11/2021 CALL \$625.00 / CUSIP: / Symbol:							
06/10/21	1.000	181.98	06/10/21	170.00	...	11.98	Option sale to close-call ²⁵
TSLA 06/11/2021 CALL \$630.00 / CUSIP: / Symbol:							
06/10/21	1.000	224.98	06/10/21	123.00	...	101.98	Option sale to close-call ²⁵

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TSLA 06/11/2021 CALL \$640.00 / CUSIP: / Symbol:							
06/08/21	1.000	644.98	06/07/21	150.00	...	494.98	Option sale to close-call ²⁵
06/08/21	1.000	202.98	06/08/21	228.00	25.02 W	0.00	Option sale to close-call ²⁵
06/09/21	1.000	182.98	06/09/21	226.02	43.04 W	0.00	Option sale to close-call ²⁵
06/10/21	1.000	49.98	06/10/21	111.04	...	-61.06	Option sale to close-call ²⁵
	Security total:	1,080.92		715.06	68.06 W	433.92	
TSLA 06/18/2021 CALL \$640.00 / CUSIP: / Symbol:							
06/16/21	1.000	82.98	06/15/21	189.00	...	-106.02	Option sale to close-call ²⁵
TSLA 07/02/2021 CALL \$750.00 / CUSIP: / Symbol:							
06/28/21	1.000	235.98	06/25/21	350.00	...	-114.02	Option sale to close-call ²⁵
TSLA 07/16/2021 CALL \$725.00 / CUSIP: / Symbol:							
07/13/21	1.000	192.98	07/13/21	243.00	...	-50.02	Option sale to close-call ²⁵
TSLA 07/16/2021 CALL \$750.00 / CUSIP: / Symbol:							
07/13/21	2.000	173.98	07/13/21	204.00	...	-30.02	Option sale to close-call ²⁵
TSLA 07/30/2021 CALL \$750.00 / CUSIP: / Symbol:							
07/23/21	1.000	131.98	07/15/21	361.00	229.02 W	0.00	Option sale to close-call ²⁵
07/26/21	1.000	160.98	07/22/21	424.02	...	-263.04	Option sale to close-call ²⁵
	Security total:	292.96		785.02	229.02 W	-263.04	
TSLA 08/06/2021 CALL \$720.00 / CUSIP: / Symbol:							
08/06/21	1.000	93.98	08/06/21	145.00	...	-51.02	Option sale to close-call ²⁵
TSLA 08/13/2021 CALL \$725.00 / CUSIP: / Symbol:							
	2 transactions for 08/13/21. Total proceeds and cost reported to the IRS.						
	1.000	242.98	08/13/21	282.00	...	-39.02	1 of 2 - Option sale to close-call ²⁵
	1.000	266.98	08/13/21	255.00	...	11.98	2 of 2 - Option sale to close-call ²⁵
08/13/21	2.000	509.96	Various	537.00	...	-27.04	Total of 2 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/17/2021 CALL \$765.00 / CUSIP: / Symbol:							
2 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
	1.000	121.98	09/15/21	159.00	...	-37.02	1 of 2 - Option sale to close-call ²⁵
	1.000	160.98	09/15/21	156.00	...	4.98	2 of 2 - Option sale to close-call ²⁵
09/15/21	2.000	282.96	Various	315.00	...	-32.04	Total of 2 transactions
TSLA 10/22/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
10/18/21	1.000	96.98	10/18/21	95.00	...	1.98	Option sale to close-call ²⁵
TSLA 10/22/2021 CALL \$950.00 / CUSIP: / Symbol:							
2 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	1.000	179.98	10/15/21	87.00	...	92.98	1 of 2 - Option sale to close-call ²⁵
	1.000	197.98	10/15/21	82.00	...	115.98	2 of 2 - Option sale to close-call ²⁵
10/18/21	2.000	377.96	Various	169.00	...	208.96	Total of 2 transactions
TSLA 10/22/2021 PUT \$800.00 / CUSIP: / Symbol:							
10/20/21	1.000	234.98	10/20/21	202.00	...	32.98	Option sale to close-put ²⁵
TSLA 10/22/2021 PUT \$850.00 / CUSIP: / Symbol:							
10/22/21	1.000	1.98	10/21/21	95.00	...	-93.02	Option sale to close-put ²⁵
TSLA 12/10/2021 CALL \$1,150.00 / CUSIP: / Symbol:							
12/07/21	1.000	282.98	12/07/21	235.00	...	47.98	Option sale to close-call ²⁵
TSLA 12/17/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
2 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	1.000	409.98	12/16/21	405.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	1.000	191.98	12/16/21	157.00	...	34.98	2 of 2 - Option sale to close-call ²⁵
12/16/21	2.000	601.96	Various	562.00	...	39.96	Total of 2 transactions
TSLA 12/17/2021 CALL \$975.00 / CUSIP: / Symbol:							
12/16/21	1.000	339.98	12/16/21	470.00	...	-130.02	Option sale to close-call ²⁵
TSLA 12/17/2021 PUT \$930.00 / CUSIP: / Symbol:							
12/16/21	1.000	674.98	12/16/21	660.00	...	14.98	Option sale to close-put ²⁵

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TSLA 12/23/2021 CALL \$1,000.00 / CUSIP: / Symbol: 12/20/21	1.000	262.98	12/20/21	256.00	...	6.98	Option sale to close-call ²⁵
TSLA 12/23/2021 CALL \$1,015.00 / CUSIP: / Symbol: 12/23/21	1.000	544.98	12/23/21	515.00	...	29.98	Option sale to close-call ²⁵
TSLA 12/23/2021 CALL \$1,025.00 / CUSIP: / Symbol: 12/22/21	1.000	364.98	12/22/21	345.00	...	19.98	Option sale to close-call ²⁵
TSLA 12/23/2021 CALL \$1,040.00 / CUSIP: / Symbol: 12/22/21	1.000	255.98	12/22/21	275.00	...	-19.02	Option sale to close-call ²⁵
TSLA 12/23/2021 CALL \$1,150.00 / CUSIP: / Symbol: 12/14/21	1.000	171.98	12/14/21	177.00	...	-5.02	Option sale to close-call ²⁵
TSLA 12/23/2021 CALL \$950.00 / CUSIP: / Symbol: 12/20/21	1.000	640.98	12/20/21	675.00	...	-34.02	Option sale to close-call ²⁵
TSLA 12/23/2021 PUT \$1,000.00 / CUSIP: / Symbol: 12/23/21	1.000	579.98	12/23/21	565.00	...	14.98	Option sale to close-put ²⁵
TSLA 12/23/2021 PUT \$1,005.00 / CUSIP: / Symbol: 12/23/21	1.000	404.98	12/23/21	400.00	...	4.98	Option sale to close-put ²⁵
TSLA 12/23/2021 PUT \$1,015.00 / CUSIP: / Symbol: 12/23/21	1.000	319.98	12/23/21	470.00	...	-150.02	Option sale to close-put ²⁵
TSLA 12/23/2021 PUT \$975.00 / CUSIP: / Symbol: 12/22/21	1.000	246.98	12/22/21	243.00	...	3.98	Option sale to close-put ²⁵
TSLA 12/31/2021 CALL \$1,150.00 / CUSIP: / Symbol: 2 transactions for 12/28/21. Total proceeds and cost reported to the IRS.	1.000	724.98	12/28/21	890.00	...	-165.02	1 of 2 - Option sale to close-call ²⁵
	1.000	854.98	12/28/21	805.00	...	49.98	2 of 2 - Option sale to close-call ²⁵
12/28/21	2.000	1,579.96	Various	1,695.00	...	-115.04	Total of 2 transactions
TSLA 12/31/2021 CALL \$1,200.00 / CUSIP: / Symbol: 12/23/21	1.000	479.98	12/23/21	475.00	...	4.98	Option sale to close-call ²⁵

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TSLA 12/31/2021 PUT \$1,000.00 / CUSIP: / Symbol:							
12/28/21	1.000	162.98	12/28/21	249.00	...	-86.02	Option sale to close-put ²⁵
TTD 08/13/2021 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	1.000	239.98	08/05/21	205.00	...	34.98	1 of 2 - Option sale to close-call ²⁵
	1.000	206.98	08/06/21	175.00	...	31.98	2 of 2 - Option sale to close-call ²⁵
08/06/21	2.000	446.96	Various	380.00	...	66.96	Total of 2 transactions
TTM 01/21/2022 CALL \$22.00 / CUSIP: / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	349.98	01/12/21	184.00	...	165.98	1 of 2 - Option sale to close-call ²⁵
	1.000	360.98	01/21/21	439.00	...	-78.02	2 of 2 - Option sale to close-call ²⁵
01/25/21	2.000	710.96	Various	623.00	...	87.96	Total of 2 transactions
UBER 11/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	69.99	11/04/21	95.00	...	-25.01	1 of 2 - Option sale to close-call ²⁵
	1.000	69.99	11/04/21	94.00	...	-24.01	2 of 2 - Option sale to close-call ²⁵
11/05/21	2.000	139.98	Various	189.00	...	-49.02	Total of 2 transactions
UEC 01/20/2023 CALL \$4.50 / CUSIP: / Symbol:							
08/30/21	1.000	44.98	05/06/21	120.00	...	-75.02	Option sale to close-call ²⁵
UEC 01/20/2023 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	1.000	34.99	05/26/21	90.00	...	-55.01	1 of 2 - Option sale to close-call ²⁵
	1.000	34.99	05/26/21	85.00	...	-50.01	2 of 2 - Option sale to close-call ²⁵
08/30/21	2.000	69.98	Various	175.00	...	-105.02	Total of 2 transactions
UEC 08/20/2021 CALL \$3.00 / CUSIP: / Symbol:							
04/15/21	3.000	152.98	02/05/21	105.00	...	47.98	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UVXY 03/12/2021 CALL \$8.00 / CUSIP: / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	2.000	9.98	03/12/21	12.00	...	-2.02	1 of 2 - Option sale to close-call ²⁵
	18.000	107.95	03/12/21	108.00	...	-0.05	2 of 2 - Option sale to close-call ²⁵
03/12/21	20.000	117.93	Various	120.00	...	-2.07	Total of 2 transactions
V 01/29/2021 CALL \$205.00 / CUSIP: / Symbol:							
01/26/21	5.000	1,089.96	01/26/21	1,240.00	...	-150.04	Option sale to close-call ²⁵
V 10/01/2021 CALL \$230.00 / CUSIP: / Symbol:							
09/29/21	1.000	112.98	09/29/21	109.00	...	3.98	Option sale to close-call ²⁵
VALE 01/20/2023 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	112.98	12/11/20	78.00	...	34.98	1 of 2 - Option sale to close-call ²⁵
	1.000	110.98	12/17/20	85.00	...	25.98	2 of 2 - Option sale to close-call ²⁵
01/25/21	2.000	223.96	Various	163.00	...	60.96	Total of 2 transactions
VIH 09/17/2021 CALL \$17.50 / CUSIP: / Symbol:							
09/02/21	5.000	29.98	09/02/21	75.00	...	-45.02	Option sale to close-call ²⁵
WISH 03/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
03/19/21	1.000	64.98	03/19/21	0.00	...	64.98	Short sale closed- call expired Option written: 03/03/21
WISH 06/18/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/09/21	1.000	114.98	06/09/21	100.00	...	14.98	Option sale to close-call ²⁵
06/10/21	1.000	54.98	06/09/21	100.00	...	-45.02	Option sale to close-call ²⁵
	Security total:	169.96		200.00	...	-30.04	
WISH 08/13/2021 CALL \$10.50 / CUSIP: / Symbol:							
08/13/21	1.000	34.98	08/13/21	0.00	...	34.98	Short sale closed- call expired Option written: 08/12/21
WKHS 01/08/2021 CALL \$21.00 / CUSIP: / Symbol:							
01/04/21	1.000	62.98	01/04/21	86.00	...	-23.02	Option sale to close-call ²⁵

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(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WMT 01/15/2021 CALL \$220.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	09/09/20	83.00	...	-83.00	Option expiration- long call
WMT 03/19/2021 CALL \$145.00 / CUSIP: / Symbol:							
02/10/21	1.000	509.97	02/02/21	450.00	...	59.97	Option sale to close-call ²⁵
WMT 04/16/2021 CALL \$140.00 / CUSIP: / Symbol:							
02/23/21	1.000	260.98	02/23/21	299.00	...	-38.02	Option sale to close-call ²⁵
WWR 02/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/19/21	1.000	59.98	02/19/21	0.00	...	59.98	Short sale closed- call expired Option written: 01/21/21
X 11/05/2021 CALL \$27.00 / CUSIP: / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	69.98	10/29/21	57.00	...	12.98	1 of 2 - Option sale to close-call ²⁵
	1.000	85.98	10/29/21	57.00	...	28.98	2 of 2 - Option sale to close-call ²⁵
10/29/21	2.000	155.96	Various	114.00	...	41.96	Total of 2 transactions
XLE 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/15/21	10.000	0.00	06/26/20	200.00	...	-200.00	Option expiration- long call
XOM 01/22/2021 CALL \$48.00 / CUSIP: / Symbol:							
01/15/21	2.000	221.98	01/15/21	202.00	...	19.98	Option sale to close-call ²⁵
XPEV 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
02/10/21	1.000	534.97	02/09/21	499.00	...	35.97	Option sale to close-call ²⁵
XPEV 03/19/2021 CALL \$55.00 / CUSIP: / Symbol:							
02/23/21	1.000	69.98	02/16/21	268.00	...	-198.02	Option sale to close-call ²⁵
XPEV 03/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	42.99	02/10/21	365.00	...	-322.01	1 of 3 - Option sale to close-call ²⁵
	1.000	42.99	02/17/21	154.00	...	-111.01	2 of 3 - Option sale to close-call ²⁵
	1.000	43.00	02/19/21	105.00	...	-62.00	3 of 3 - Option sale to close-call ²⁵
02/23/21	3.000	128.98	Various	624.00	...	-495.02	Total of 3 transactions

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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XPEV 07/30/2021 CALL \$50.00 / CUSIP: / Symbol:							
07/26/21	2.000	5.98	07/09/21	68.00	...	-62.02	Option sale to close-call ²⁵
XPEV 08/13/2021 CALL \$43.00 / CUSIP: / Symbol:							
08/13/21	1.000	18.98	08/13/21	0.00	...	18.98	Short sale closed- call expired Option written: 08/12/21
ZM 04/23/2021 CALL \$350.00 / CUSIP: / Symbol:							
04/08/21	1.000	469.98	04/07/21	380.00	...	89.98	Option sale to close-call ²⁵
ZM 10/15/2021 CALL \$350.00 / CUSIP: / Symbol:							
09/02/21	1.000	220.98	08/31/21	440.00	...	-219.02	Option sale to close-call ²⁵
ZNGA 01/21/2022 CALL \$17.00 / CUSIP: / Symbol:							
01/25/21	1.000	64.98	08/11/20	57.00	...	7.98	Option sale to close-call ²⁵
ZNGA 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	1.000	118.99	08/11/20	100.00	...	18.99	1 of 2 - Option sale to close-call ²⁵
	1.000	118.99	11/25/20	29.00	...	89.99	2 of 2 - Option sale to close-call ²⁵
01/21/21	2.000	237.98	Various	129.00	...	108.98	Total of 2 transactions
01/25/21	1.000	140.98	12/03/20	34.00	...	106.98	Option sale to close-call ²⁵
	Security total:	378.96		163.00	...	215.96	
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	40.000	618.86	01/26/21	276.00	...	342.86	1 of 2 - Sale ²⁵
	160.000	2,475.45	01/27/21	1,808.00	...	667.45	2 of 2 - Sale ²⁵
01/27/21	200.000	3,094.31	Various	2,084.00	...	1,010.31	Total of 2 transactions
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	50.000	688.98	01/27/21	683.50	...	5.48	1 of 2 - Sale ²⁵
	50.000	688.98	01/27/21	565.00	...	123.98	2 of 2 - Sale ²⁵
02/01/21	100.000	1,377.96	Various	1,248.50	...	129.46	Total of 2 transactions
	Security total:	4,472.27		3,332.50	...	1,139.77	

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(continued)

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AFFIRM HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 00827B106 / Symbol:							
2 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	1.000	136.00	11/19/21	135.93	...	0.07	1 of 2 - Sale ²⁵
	19.000	2,583.98	11/19/21	2,582.59	...	1.39	2 of 2 - Sale ²⁵
11/26/21	20.000	2,719.98	Various	2,718.52	...	1.46	Total of 2 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
02/09/21	4.000	13,228.50	02/02/21	13,760.00	...	-531.50	Sale ²⁵
02/16/21	1.000	3,274.10	02/02/21	3,440.00	...	-165.90	Sale ²⁵
	Security total:	16,502.60		17,200.00	...	-697.40	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
01/07/21	50.000	771.73	10/08/20	654.72	...	117.01	Sale ²⁵
11/18/21	100.000	1,929.99	11/18/21	1,930.50	...	-0.51	Sale ²⁵
11/19/21	100.000	1,926.38	11/18/21	1,930.50	...	-4.12	Sale ²⁵
	Security total:	4,628.10		4,515.72	...	112.38	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	10.017	1,330.55	12/22/20	1,330.45	...	0.10	1 of 3 - Sale ²⁵
	2.136	283.73	01/11/21	279.78	...	3.95	2 of 3 - Sale ²⁵
	10.000	1,328.27	01/11/21	1,291.80	...	36.47	3 of 3 - Sale ²⁵
02/16/21	22.153	2,942.55	Various	2,902.03	...	40.52	Total of 3 transactions
02/16/21	27.847	3,698.80	12/24/20	3,750.07	18.69 W	-32.58	Sale ²⁵
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	0.004	0.48	01/11/21	0.51	...	-0.03	1 of 2 - Sale ²⁵
	9.996	1,223.82	01/15/21	1,270.60	...	-46.78	2 of 2 - Sale ²⁵
03/03/21	10.000	1,224.30	Various	1,271.11	...	-46.81	Total of 2 transactions
4 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	0.157	21.03	01/15/21	19.91	...	1.12	1 of 4 - Sale ²⁵
	39.847	5,351.52	01/15/21	5,064.99	...	286.53	2 of 4 - Sale ²⁵
	0.153	20.50	02/12/21	20.78	...	-0.28	3 of 4 - Sale ²⁵
	10.000	1,343.01	03/04/21	1,219.66	...	123.35	4 of 4 - Sale ²⁵
04/19/21	50.157	6,736.06	Various	6,325.34	...	410.72	Total of 4 transactions

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Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
12/10/21	100.000	16,521.88 N	12/10/21	16,667.00	...	-145.12	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$271.98
Security total:		31,123.59		30,915.55	18.69 W	226.73	
BIOFRONTERA INC. COMMON STOCK / CUSIP: 09077D100 / Symbol:							
11/24/21	50.000	293.00	11/24/21	296.00	...	-3.00	Sale ²⁵
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
4 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.007	0.33	01/29/21	0.33	...	0.00	1 of 4 - Sale ²⁵
	0.035	1.73	01/29/21	1.71	...	0.02	2 of 4 - Sale ²⁵
	5.993	296.43	01/29/21	294.09	...	2.34	3 of 4 - Sale ²⁵
	4.000	197.84	02/01/21	192.24	...	5.60	4 of 4 - Sale ²⁵
02/03/21	10.035	496.33	Various	488.37	...	7.96	Total of 4 transactions
BOXLIGHT CORPORATION CLASS A COMMON STOCK / CUSIP: 103197109 / Symbol:							
02/02/21	100.000	224.08	02/01/21	221.00	...	3.08	Sale ²⁵
C3.AI, INC. / CUSIP: 12468P104 / Symbol:							
2 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	10.000	531.52	07/15/21	530.00	...	1.52	1 of 2 - Sale ²⁵
	10.000	531.53	07/15/21	530.00	...	1.53	2 of 2 - Sale ²⁵
09/01/21	20.000	1,063.05	Various	1,060.00	...	3.05	Total of 2 transactions
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
11/22/21	100.000	145.22	10/05/21	113.00	...	32.22	Sale ²⁵
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
4 transactions for 08/12/21. Total proceeds and cost reported to the IRS.							
	1.000	125.20	08/12/21	121.86	...	3.34	1 of 4 - Sale ²⁵
	24.000	2,951.26	08/12/21	2,924.74	...	26.52	2 of 4 - Sale ²⁵
	24.000	3,004.78	08/12/21	2,952.00	...	52.78	3 of 4 - Sale ²⁵
	25.000	3,028.83	08/12/21	2,930.93	...	97.90	4 of 4 - Sale ²⁵
08/12/21	74.000	9,110.07	Various	8,929.53	...	180.54	Total of 4 transactions

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CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol: (cont'd)							
5 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	72.66	08/26/21	70.50	...	2.16	1 of 5 - Sale ²⁵
	49.000	3,560.34	08/26/21	3,454.50	...	105.84	2 of 5 - Sale ²⁵
	1.000	72.66	08/27/21	53.00	...	19.66	3 of 5 - Sale ²⁵
	25.000	1,816.51	08/27/21	1,617.17	...	199.34	4 of 5 - Sale ²⁵
	24.000	1,743.84	08/27/21	1,268.88	...	474.96	5 of 5 - Sale ²⁵
11/10/21	100.000	7,266.01	Various	6,464.05	...	801.96	Total of 5 transactions
3 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	72.66	08/12/21	123.00	50.34 W	0.00	1 of 3 - Sale ²⁵
	50.000	3,633.01	08/25/21	4,365.80	732.79 W	0.00	2 of 3 - Sale ²⁵
	49.000	3,560.34	08/25/21	4,110.26	549.92 W	0.00	3 of 3 - Sale ²⁵
11/10/21	100.000	7,266.01	Various	8,599.06	1,333.05 W	0.00	Total of 3 transactions
2 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	1.000	52.84	09/20/21	47.88	...	4.96	1 of 2 - Sale ²⁵
	99.000	5,218.80	09/20/21	4,740.44	...	478.36	2 of 2 - Sale ²⁵
11/22/21	100.000	5,271.64	Various	4,788.32	...	483.32	Total of 2 transactions
	Security total:	28,913.73		28,780.96	1,333.05 W	1,465.82	
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol:							
01/27/21	50.000	393.96	01/22/21	390.80	...	3.16	Sale ²⁵
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
01/15/21	100.000	1,675.95	01/11/21	1,380.00	...	295.95	Sale ²⁵
01/19/21	100.000	1,803.18	01/12/21	1,502.00	...	301.18	Sale ²⁵
01/25/21	100.000	2,385.93	01/15/21	1,735.00	...	650.93	Sale ²⁵
	Security total:	5,865.06		4,617.00	...	1,248.06	
CHURCHILL CAPITAL CORP V / CUSIP: 17144T107 / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	50.000	519.99	02/16/21	607.50	...	-87.51	1 of 2 - Sale ²⁵
	50.000	519.99	02/16/21	586.41	...	-66.42	2 of 2 - Sale ²⁵
03/12/21	100.000	1,039.98	Various	1,193.91	...	-153.93	Total of 2 transactions

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
01/21/21	100.000	131.04	01/19/21	98.98	...	32.06	Sale ²⁵
01/27/21	100.000	132.19	01/19/21	120.00	...	12.19	Sale ²⁵
	Security total:	263.23		218.98	...	44.25	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
<i>2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	283.59	01/08/21	305.60	...	-22.01	1 of 2 - Sale ²⁵
	30.000	425.39	01/14/21	429.60	...	-4.21	2 of 2 - Sale ²⁵
01/25/21	50.000	708.98	Various	735.20	...	-26.22	Total of 2 transactions
01/27/21	50.000	777.48	01/14/21	716.00	...	61.48	Sale ²⁵
	Security total:	1,486.46		1,451.20	...	35.26	
DIDI GLOBAL INC. AMERICAN DEPO SITARY SHARES, EACH FOUR REPRE SENTING ONE CLASS A ORDINARY S / CUSIP: 23292E108 / Symbol:							
<i>3 transactions for 12/03/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	375.49	07/01/21	806.91	...	-431.42	1 of 3 - Sale ²⁵
	25.000	187.75	07/06/21	298.63	...	-110.88	2 of 3 - Sale ²⁵
	25.000	187.74	07/07/21	293.00	...	-105.26	3 of 3 - Sale ²⁵
12/03/21	100.000	750.98	Various	1,398.54	...	-647.56	Total of 3 transactions
DMY TECHNOLOGY GROUP, INC. III / CUSIP: 233278100 / Symbol:							
08/09/21	10.000	99.90	07/23/21	99.50	...	0.40	Sale ²⁵
DIGITAL WORLD ACQUISITION CORP . CLASS A COMMON STOCK / CUSIP: 25400Q105 / Symbol:							
10/21/21	5.000	234.30	10/21/21	114.95	...	119.35	Sale ²⁵
10/22/21	5.000	467.25	10/21/21	114.95	...	352.30	Sale ²⁵
	Security total:	701.55		229.90	...	471.65	
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol:							
03/15/21	25.000	2,089.73	03/08/21	1,668.75	...	420.98	Sale ²⁵
1847 GOEDEKER INC. / CUSIP: 28252C109 / Symbol:							
09/13/21	100.000	300.14	09/13/21	302.85	...	-2.71	Sale ²⁵
ENGLOBAL CORPORATION COMMON ST OCK / CUSIP: 293306106 / Symbol:							
01/13/21	15.000	90.45	01/12/21	87.53	...	2.92	Sale ²⁵

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EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol:							
01/07/21	25.000	323.25	05/18/20	125.73	...	197.52	Sale ²⁵
META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol:							
12/07/21	9.725	3,149.94	12/01/21	3,177.37	...	-27.43	Sale ²⁵
12/07/21	0.275	89.10	11/08/21	94.00	4.90 W	0.00	Sale ²⁵
3 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	0.270	88.30	12/01/21	93.03	...	-4.73	1 of 3 - Sale ²⁵
	0.005	1.66	12/01/21	1.75	...	-0.09	2 of 3 - Sale ²⁵
	9.730	3,181.90	12/01/21	3,179.03	...	2.87	3 of 3 - Sale ²⁵
12/08/21	10.005	3,271.86	Various	3,273.81	...	-1.95	Total of 3 transactions
	Security total:	6,510.90		6,545.18	4.90 W	-29.38	
FISKER INC. / CUSIP: 33813J106 / Symbol:							
3 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	30.000	467.31	09/03/20	404.10	...	63.21	1 of 3 - Sale ²⁵
	20.000	311.54	09/23/20	303.80	...	7.74	2 of 3 - Sale ²⁵
	50.000	778.84	10/16/20	676.50	...	102.34	3 of 3 - Sale ²⁵
01/14/21	100.000	1,557.69	Various	1,384.40	...	173.29	Total of 3 transactions
FRESHWORKS INC. CLASS A COMMON STOCK / CUSIP: 358054104 / Symbol:							
09/23/21	100.000	4,761.57	09/23/21	4,646.74	...	114.83	Sale ²⁵
09/24/21	100.000	4,744.96	09/24/21	4,733.11	...	11.85	Sale ²⁵
	Security total:	9,506.53		9,379.85	...	126.68	
GS ACQUISITION HOLDINGS CORP I I / CUSIP: 36258Q105 / Symbol:							
01/21/21	100.000	1,230.96	01/19/21	1,284.00	...	-53.04	Sale ²⁵
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
08/30/21	100.000	218.24	02/09/21	213.00	...	5.24	Sale ²⁵
GORES GUGGENHEIM, INC. CLASS A COMMON STOCK / CUSIP: 38286Q107 / Symbol:							
11/22/21	100.000	1,434.24	11/12/21	1,295.00	...	139.24	Sale ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. COMMON SHARES / CUSIP: 428304307 / Symbol:							
3 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	25.000	137.50	11/06/20	91.34	...	46.16	1 of 3 - Sale ²⁵
	50.000	274.99	11/20/20	145.28	...	129.71	2 of 3 - Sale ²⁵
	25.000	137.49	12/23/20	101.14	...	36.35	3 of 3 - Sale ²⁵
04/19/21	100.000	549.98	Various	337.76	...	212.22	Total of 3 transactions
HOLICITY INC. CLASS A COMMON S TOCK / CUSIP: 435063102 / Symbol:							
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	25.000	409.25	02/02/21	403.75	...	5.50	1 of 2 - Sale ²⁵
	75.000	1,227.71	02/02/21	1,211.25	...	16.46	2 of 2 - Sale ²⁵
02/03/21	100.000	1,636.96	Various	1,615.00	...	21.96	Total of 2 transactions
IMMUTEP LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45257L108 / Symbol:							
3 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	70.000	349.39	12/10/20	524.96	...	-175.57	1 of 3 - Sale ²⁵
	30.000	153.60	12/10/20	224.99	...	-71.39	2 of 3 - Sale ²⁵
	100.000	499.12	12/22/20	297.80	...	201.32	3 of 3 - Sale ²⁵
06/03/21	200.000	1,002.11	Various	1,047.75	...	-45.64	Total of 3 transactions
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
3 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	0.134	6.96	10/22/20	6.47	...	0.49	1 of 3 - Sale ²⁵
	19.866	1,033.51	10/22/20	960.33	...	73.18	2 of 3 - Sale ²⁵
	0.134	6.96	12/02/20	6.60	...	0.36	3 of 3 - Sale ²⁵
01/07/21	20.134	1,047.43	Various	973.40	...	74.03	Total of 3 transactions
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	50.000	1,326.53	02/23/21	1,926.75	...	-600.22	1 of 2 - Sale ²⁵
	50.000	1,326.54	02/23/21	1,704.75	...	-378.21	2 of 2 - Sale ²⁵
10/26/21	100.000	2,653.07	Various	3,631.50	...	-978.43	Total of 2 transactions
MAQUIA CAPITAL ACQUISITION COR PORATION CLASS A COMMON STOCK / CUSIP: 56564V101 / Symbol:							
10/22/21	50.000	522.82	10/22/21	549.99	...	-27.17	Sale ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
6 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	0.004	1.15	09/11/20	0.79	...	0.36	1 of 6 - Sale ²⁵
	0.453	137.22	09/22/20	93.95	...	43.27	2 of 6 - Sale ²⁵
	2.000	605.25	11/16/20	434.44	...	170.81	3 of 6 - Sale ²⁵
	0.011	3.22	12/11/20	2.24	...	0.98	4 of 6 - Sale ²⁵
	0.010	2.91	03/12/21	2.25	...	0.66	5 of 6 - Sale ²⁵
	0.009	2.64	06/11/21	2.25	...	0.39	6 of 6 - Sale ²⁵
08/30/21	2.486	752.39	Various	535.92	...	216.47	Total of 6 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	50.000	1,841.99 N	12/11/20	2,093.89	251.90 W	0.00	1 of 2 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$58.00
	50.000	1,841.98 N	01/11/21	3,207.00	1,365.02 W	0.00	2 of 2 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$58.00
12/10/21	100.000	3,683.97	Various	5,300.89	1,616.92 W	0.00	Total of 2 transactions
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	400.000	1,568.48	01/27/21	2,812.00	...	-1,243.52	1 of 2 - Sale ²⁵
	400.000	1,568.47	02/03/21	1,835.52	...	-267.05	2 of 2 - Sale ²⁵
02/23/21	800.000	3,136.95	Various	4,647.52	...	-1,510.57	Total of 2 transactions
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	50.000	196.06	01/25/21	239.50	43.44 W	0.00	1 of 2 - Sale ²⁵
	50.000	196.06	01/25/21	249.00	52.94 W	0.00	2 of 2 - Sale ²⁵
02/23/21	100.000	392.12	Various	488.50	96.38 W	0.00	Total of 2 transactions
2 transactions for 08/25/21. Total proceeds and cost reported to the IRS.							
	50.000	302.18	02/03/21	282.44	...	19.74	1 of 2 - Sale ²⁵
	50.000	302.19	02/03/21	272.94	...	29.25	2 of 2 - Sale ²⁵
08/25/21	100.000	604.37	Various	555.38	...	48.99	Total of 2 transactions
	Security total:	4,133.44		5,691.40	96.38 W	-1,461.58	

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORTHERN GENESIS ACQUISITION C ORP. / CUSIP: 66516T104 / Symbol:							
<i>2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.</i>							
	45.000	1,040.15	01/04/21	860.85	...	179.30	1 of 2 - Sale ²⁵
	5.000	115.57	01/06/21	102.55	...	13.02	2 of 2 - Sale ²⁵
01/08/21	50.000	1,155.72	Various	963.40	...	192.32	Total of 2 transactions
OCEAN POWER TECHNOLOGIES, INC. / CUSIP: 674870506 / Symbol:							
01/20/21	100.000	338.99	01/13/21	330.00	...	8.99	Sale ²⁵
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
01/15/21	50.000	1,357.46	01/13/21	1,340.91	...	16.55	Sale ²⁵
01/25/21	25.000	921.85	01/13/21	670.45	...	251.40	Sale ²⁵
<i>3 transactions for 08/27/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	644.26	01/13/21	670.45	...	-26.19	1 of 3 - Sale ²⁵
	25.000	644.25	02/18/21	628.63	...	15.62	2 of 3 - Sale ²⁵
	50.000	1,288.51	02/23/21	1,214.45	...	74.06	3 of 3 - Sale ²⁵
08/27/21	100.000	2,577.02	Various	2,513.53	...	63.49	Total of 3 transactions
11/19/21	100.000	2,161.21	11/18/21	2,168.50	...	-7.29	Sale ²⁵
	Security total:	7,017.54		6,693.39	...	324.15	
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
03/15/21	10.000	1,112.37	03/03/21	1,085.50	...	26.87	Sale ²⁵
PROSHARES BITCOIN STRATEGY ETF / CUSIP: 74347G440 / Symbol:							
10/19/21	25.000	1,016.24	10/19/21	1,025.43	...	-9.19	Sale ²⁵
PROSPECT CAPITAL CORPORATION C OMMON STOCK / CUSIP: 74348T102 / Symbol:							
06/03/21	0.012	0.10	10/23/20	0.06	...	0.04	Sale ²⁵
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
<i>4 transactions for 11/10/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	113.00	11/10/21	112.73	...	0.27	1 of 4 - Sale ²⁵
	1.000	113.00	11/10/21	112.70	...	0.30	2 of 4 - Sale ²⁵
	4.000	452.00	11/10/21	450.80	...	1.20	3 of 4 - Sale ²⁵
	19.000	2,146.98	11/10/21	2,141.83	...	5.15	4 of 4 - Sale ²⁵
11/10/21	25.000	2,824.98	Various	2,818.06	...	6.92	Total of 4 transactions

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:							
04/19/21	5.000	369.50	03/10/21	361.20	...	8.30	Sale ²⁵
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
02/16/21	5.000	1,236.66	08/26/20	1,270.72	...	-34.06	Sale ²⁵
08/06/21	5.000	1,251.34	11/30/20	1,194.25	...	57.09	Sale ²⁵
	2 transactions for 12/16/21. Total proceeds and cost reported to the IRS.						
	5.000	1,263.39	11/30/21	1,424.80	...	-161.41	1 of 2 - Sale ²⁵
	5.000	1,291.69	11/30/21	1,424.80	...	-133.11	2 of 2 - Sale ²⁵
12/16/21	10.000	2,555.08	Various	2,849.60	...	-294.52	Total of 2 transactions
	Security total:	5,043.08		5,314.57	...	-271.49	
SANDBRIDGE ACQUISITION CORPORATION / CUSIP: 799793104 / Symbol:							
02/19/21	100.000	1,048.96	02/16/21	1,038.50	...	10.46	Sale ²⁵
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
	2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	1.000	3.48	01/19/21	3.19	...	0.29	1 of 2 - Sale ²⁵
	99.000	365.80	01/19/21	315.81	...	49.99	2 of 2 - Sale ²⁵
02/08/21	100.000	369.28	Various	319.00	...	50.28	Total of 2 transactions
	2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	24.000	91.19 N	01/19/21	76.56	...	14.63	1 of 2 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$7.20
	76.000	288.78 N	01/19/21	242.44	...	46.34	2 of 2 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$22.78
02/17/21	100.000	379.97	Various	319.00	...	60.97	Total of 2 transactions
	Security total:	749.25		638.00	...	111.25	
SUNDIAL GROWERS INC. COMMON SHARES / CUSIP: 86730L109 / Symbol:							
01/21/21	100.000	65.54	01/15/21	71.48	5.94 W	0.00	Sale ²⁵
	2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	100.000	65.66	01/22/21	70.49	...	-4.83	1 of 2 - Sale ²⁵

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	100.000	65.66	01/22/21	64.54	...	1.12	2 of 2 - Sale ²⁵
01/27/21	200.000	131.32	Various	135.03	...	-3.71	Total of 2 transactions
	Security total:	196.86		206.51	5.94 W	-3.71	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
3 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	2.000	1,194.54	09/02/20	883.86	...	310.68	1 of 3 - Sale ²⁵
	2.000	1,194.54	09/03/20	823.94	...	370.60	2 of 3 - Sale ²⁵
	1.000	597.27	09/14/20	422.90	...	174.37	3 of 3 - Sale ²⁵
03/30/21	5.000	2,986.35	Various	2,130.70	...	855.65	Total of 3 transactions
2 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	4.000	2,795.75	09/14/20	1,691.60	...	1,104.15	1 of 2 - Sale ²⁵
	1.000	698.93	10/30/20	383.58	...	315.35	2 of 2 - Sale ²⁵
04/19/21	5.000	3,494.68	Various	2,075.18	...	1,419.50	Total of 2 transactions
07/29/21	6.000	4,063.74	07/15/21	3,978.00	...	85.74	Sale ²⁵
	Security total:	10,544.77		8,183.88	...	2,360.89	
360 DIGITECH, INC. AMERICAN DE POSITARY SHARES / CUSIP: 88557W101 / Symbol:							
2 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	25.000	642.91	02/08/21	511.94	...	130.97	1 of 2 - Sale ²⁵
	25.000	642.92	02/22/21	691.63	...	-48.71	2 of 2 - Sale ²⁵
03/01/21	50.000	1,285.83	Various	1,203.57	...	82.26	Total of 2 transactions
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	50.000	546.44	11/06/20	437.00	...	109.44	1 of 2 - Sale ²⁵
	50.000	546.44	11/09/20	580.00	...	-33.56	2 of 2 - Sale ²⁵
01/06/21	100.000	1,092.88	Various	1,017.00	...	75.88	Total of 2 transactions

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TRXADE HEALTH, INC. COMMON STO CK / CUSIP: 89846A207 / Symbol:							
2 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	25.000	223.08	02/08/21	175.56	...	47.52	1 of 2 - Sale ²⁵
	75.000	669.22	02/09/21	596.33	...	72.89	2 of 2 - Sale ²⁵
06/10/21	100.000	892.30	Various	771.89	...	120.41	Total of 2 transactions
UNITED STATES STEEL CORPORATIO N / CUSIP: 912909108 / Symbol:							
01/08/21	9.000	196.38	01/07/21	195.75	...	0.63	Sale ²⁵
URANIUM ENERGY CORP. / CUSIP: 916896103 / Symbol:							
4 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	88.278	139.46	12/04/20	104.00	...	35.46	1 of 4 - Sale ²⁵
	20.000	31.60	12/07/20	26.90	...	4.70	2 of 4 - Sale ²⁵
	92.000	145.34	12/07/20	132.25	...	13.09	3 of 4 - Sale ²⁵
	199.722	315.53	12/07/20	284.60	...	30.93	4 of 4 - Sale ²⁵
01/20/21	400.000	631.93	Various	547.75	...	84.18	Total of 4 transactions
4 transactions for 08/25/21. Total proceeds and cost reported to the IRS.							
	0.109	0.25	12/07/20	0.16	...	0.09	1 of 4 - Sale ²⁵
	100.000	230.21	12/07/20	142.50	...	87.71	2 of 4 - Sale ²⁵
	20.000	46.04	01/22/21	33.60	...	12.44	3 of 4 - Sale ²⁵
	80.000	184.17	01/22/21	134.32	...	49.85	4 of 4 - Sale ²⁵
08/25/21	200.109	460.67	Various	310.58	...	150.09	Total of 4 transactions
	Security total:	1,092.60		858.33	...	234.27	
UXIN LIMITED ADS / CUSIP: 91818X108 / Symbol:							
02/08/21	100.000	144.15	02/04/21	132.78	...	11.37	Sale ²⁵
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	10.000	564.33	10/15/20	209.90	...	354.43	1 of 3 - Sale ²⁵
	15.000	846.28	10/15/20	314.85	...	531.43	2 of 3 - Sale ²⁵
	25.000	1,410.45	11/09/20	488.38	...	922.07	3 of 3 - Sale ²⁵
01/27/21	50.000	2,821.06	Various	1,013.13	...	1,807.93	Total of 3 transactions
07/02/21	50.000	2,626.48	11/23/20	1,262.00	...	1,364.48	Sale ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
2 transactions for 08/25/21. Total proceeds and cost reported to the IRS.							
	7.000	184.03	04/26/21	160.09	...	23.94	1 of 2 - Sale ²⁵
	43.000	1,130.46	04/26/21	983.41	...	147.05	2 of 2 - Sale ²⁵
08/25/21	50.000	1,314.49	Various	1,143.50	...	170.99	Total of 2 transactions
	Security total:	6,762.03		3,418.63	...	3,343.40	
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
02/10/21	100.000	484.10	02/08/21	450.95	...	33.15	Sale ²⁵
VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH / CUSIP: 928662600 / Symbol:							
2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	5.000	164.17	03/09/21	132.50	...	31.67	1 of 2 - Sale ²⁵
	5.000	164.17	03/10/21	146.40	...	17.77	2 of 2 - Sale ²⁵
03/16/21	10.000	328.34	Various	278.90	...	49.44	Total of 2 transactions
03/16/21	15.000	492.53	03/16/21	508.50	15.97 W	0.00	Sale ²⁵
3 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	62.000	2,195.39	03/22/21	2,422.96	...	-227.57	1 of 3 - Sale ²⁵
	23.000	814.42	03/22/21	898.84	...	-84.42	2 of 3 - Sale ²⁵
	15.000	531.15	03/22/21	602.17	...	-71.02	3 of 3 - Sale ²⁵
03/23/21	100.000	3,540.96	Various	3,923.97	...	-383.01	Total of 3 transactions
	Security total:	4,361.83		4,711.37	15.97 W	-333.57	
WALMART INC. / CUSIP: 931142103 / Symbol:							
01/27/21	2.000	290.46	01/11/21	300.80	...	-10.34	Sale ²⁵
WESTWATER RESOURCES, INC. / CUSIP: 961684206 / Symbol:							
01/21/21	100.000	685.47	01/19/21	674.00	...	11.47	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
01/27/21	100.000	3,890.40	01/26/21	2,692.00	...	1,198.40	Sale ²⁵
03/01/21	50.000	832.99	02/23/21	736.50	...	96.49	Sale ²⁵
	Security total:	4,723.39		3,428.50	...	1,294.89	

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
01/27/21	50.000	2,595.47	01/11/21	2,361.50	...	233.97	Sale ²⁵
	3 transactions for 03/22/21. Total proceeds and cost reported to the IRS.						
	5.000	189.95	01/11/21	236.15	46.20 W	0.00	1 of 3 - Sale ²⁵
	45.000	1,709.58	01/11/21	2,125.35	415.77 W	0.00	2 of 3 - Sale ²⁵
	50.000	1,899.53	02/17/21	2,149.25	249.72 W	0.00	3 of 3 - Sale ²⁵
03/22/21	100.000	3,799.06	Various	4,510.75	711.69 W	0.00	Total of 3 transactions
	3 transactions for 06/09/21. Total proceeds and cost reported to the IRS.						
	45.000	1,752.76	02/22/21	2,184.04	...	-431.28	1 of 3 - Sale ²⁵
	50.000	1,947.52	02/22/21	2,214.46	...	-266.94	2 of 3 - Sale ²⁵
	5.000	194.75	02/22/21	242.67	...	-47.92	3 of 3 - Sale ²⁵
06/09/21	100.000	3,895.03	Various	4,641.17	...	-746.14	Total of 3 transactions
08/23/21	100.000	3,956.60	03/03/21	3,005.00	...	951.60	Sale ²⁵
	Security total:	14,246.16		14,518.42	711.69 W	439.43	
AGRIFORCE GROWING SYSTEMS LTD. COMMON SHARES / CUSIP: C00948106 / Symbol:							
07/09/21	70.000	401.92	07/08/21	276.50	...	125.42	Sale ²⁵
VISION MARINE TECHNOLOGIES INC. COMMON SHARES / CUSIP: C96657116 / Symbol:							
	2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	6.933	108.19	01/28/21	107.87	...	0.32	1 of 2 - Sale ²⁵
	3.058	47.73	01/28/21	47.13	...	0.60	2 of 2 - Sale ²⁵
02/11/21	9.991	155.92	Various	155.00	...	0.92	Total of 2 transactions
CN ENERGY GROUP INC. ORDINARY SHARES / CUSIP: G2181K105 / Symbol:							
02/11/21	50.000	365.00	02/10/21	345.00	...	20.00	Sale ²⁵
METEN HOLDING GROUP LTD. ORDINARY SHARES / CUSIP: G6055H114 / Symbol:							
01/27/21	100.000	204.07	01/08/21	200.83	...	3.24	Sale ²⁵
ITERUM THERAPEUTICS PLC ORDINARY SHARE / CUSIP: G6333L101 / Symbol:							
01/21/21	100.000	187.99	01/21/21	196.92	8.93 W	0.00	Sale ²⁵
	3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	100.000	151.15	01/29/21	154.90	...	-3.75	1 of 3 - Sale ²⁵
	200.000	302.24	02/01/21	303.98	...	-1.74	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ITERUM THERAPEUTICS PLC ORDINARY SHARE / CUSIP: G6333L101 / Symbol: (cont'd)							
	200.000	302.29	02/01/21	303.98	...	-1.69	3 of 3 - Sale ²⁵
02/08/21	500.000	755.68	Various	762.86	...	-7.18	Total of 3 transactions
03/15/21	100.000	163.99	03/11/21	147.00	...	16.99	Sale ²⁵
06/03/21	100.000	149.22	03/11/21	147.00	...	2.22	Sale ²⁵
	Security total:	1,256.88		1,253.78	8.93 W	12.03	
RMG ACQUISITION CORP. II CLASS A ORDINARY SHARES / CUSIP: G76083107 / Symbol:							
03/11/21	100.000	1,041.99	02/16/21	1,214.99	...	-173.00	Sale ²⁵
ENTERA BIO LTD. ORDINARY SHARE S / CUSIP: M40527109 / Symbol:							
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	92.000	172.23	02/11/21	172.04	...	0.19	1 of 3 - Sale ²⁵
	100.000	187.20	02/11/21	187.00	...	0.20	2 of 3 - Sale ²⁵
	308.000	576.58	02/11/21	575.96	...	0.62	3 of 3 - Sale ²⁵
02/19/21	500.000	936.01	Various	935.00	...	1.01	Total of 3 transactions
FIVERR INTERNATIONAL LTD. / CUSIP: M4R82T106 / Symbol:							
08/12/21	25.000	4,237.82	08/06/21	4,221.53	...	16.29	Sale ²⁵
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
02/19/21	100.000	121.49	02/08/21	93.89	...	27.60	Sale ²⁵
03/01/21	100.000	100.99	02/08/21	93.89	...	7.10	Sale ²⁵
	Security total:	222.48		187.78	...	34.70	
Totals :		537,396.79		552,113.77	16,034.05 W	1,317.07	

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Robinhood Securities LLC

Account 120410212

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
VALE 01/21/2022 CALL \$25.00 / CUSIP: / Symbol:							
3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	2.000	1.98	09/18/20	40.00	...	-38.02	1 of 3 - Option sale to close-call ²⁵
	2.000	1.98	09/18/20	40.00	...	-38.02	2 of 3 - Option sale to close-call ²⁵
	1.000	0.98	09/18/20	20.00	...	-19.02	3 of 3 - Option sale to close-call ²⁵
11/16/21	5.000	4.94	Various	100.00	...	-95.06	Total of 3 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
5 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	0.029	8.77	05/18/20	5.39	...	3.38	1 of 5 - Sale ²⁵
	0.971	293.85	05/18/20	180.39	...	113.46	2 of 5 - Sale ²⁵
	0.026	7.78	05/19/20	4.77	...	3.01	3 of 5 - Sale ²⁵
	0.513	155.23	05/19/20	95.23	...	60.00	4 of 5 - Sale ²⁵
	0.004	1.24	06/12/20	0.78	...	0.46	5 of 5 - Sale ²⁵
08/30/21	1.543	466.87	Various	286.56	...	180.31	Total of 5 transactions
Totals :		471.81		386.56		85.25	

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Robinhood Securities LLC

Account 120410212

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/11/21	20.50	Qualified dividend	
U.S. GLOBAL JETS ETF	26922A842		12/29/21	3.20	Short-term capital gain	03
			12/29/21	0.34	Qualified dividend	03
				3.54	Total Dividends & distributions	
ETFMG ALTERNATIVE HARVEST ETF	26924G508		12/30/21	1.86	Qualified dividend	03
			12/30/21	-0.02	Foreign tax withheld-Variou	03
				1.86	Total Dividends & distributions	
				-0.02	Total Foreign tax withheld	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/11/21	2.25	Qualified dividend	
			06/10/21	2.25	Qualified dividend	
			09/09/21	2.26	Qualified dividend	
				6.76	Total Dividends & distributions	
				32.66	Total Dividends & distributions	
				-0.02	Total Foreign tax withheld	

Robinhood Securities LLC

Account 120410212

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/15/21	-5.00	Margin interest paid	
		01/15/21	-13.05	Margin interest paid	
		02/16/21	-5.00	Margin interest paid	
		02/16/21	-32.13	Margin interest paid	
		03/16/21	-5.00	Margin interest paid	
		03/16/21	-44.90	Margin interest paid	
		04/15/21	-5.00	Margin interest paid	
		04/15/21	-43.74	Margin interest paid	
		05/17/21	-5.00	Margin interest paid	
		05/17/21	-26.56	Margin interest paid	
		06/14/21	-5.00	Margin interest paid	
		06/14/21	-23.37	Margin interest paid	
		07/14/21	-5.00	Margin interest paid	
		07/14/21	-15.43	Margin interest paid	
			-234.18	Total Margin interest paid	
Margin charge		08/13/21	-5.00	Margin interest paid	
		08/13/21	-28.58	Margin interest paid	
		09/13/21	-5.00	Margin interest paid	
		09/13/21	-19.85	Margin interest paid	
		10/12/21	-5.00	Margin interest paid	
		10/12/21	-28.75	Margin interest paid	
		11/11/21	-5.00	Margin interest paid	
		11/11/21	-45.41	Margin interest paid	
		12/13/21	-5.00	Margin interest paid	
		12/13/21	-50.71	Margin interest paid	
			-198.30	Total Margin interest paid	
			-432.48	Total Margin interest paid	

Robinhood Securities LLC

Account 120410212

Mutual Fund and UIT Supplemental Information

2021

02/11/2022

The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

ETFMG ALTERNATIVE HARVEST ETF / 26924G508**FOREIGN SOURCE INCOME PERCENTAGES**

Fgn Source Inc Tot	17.55%	Fgn Source Inc Qual	0.00%	Fgn Source Inc Adj	0.00%
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Robinhood Securities LLC

Account 120410212

Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
	ETFMG ALTERNATIVE HARVEST ETF	26924G508	0.00	1.86		0.00	1.86	-0.02
Total			0.00	1.86		0.00	1.86	-0.02
Grand Total	Total Foreign Source Income*			1.86				
	Qualified Foreign Source Income**			1.86				
	Foreign Tax							-0.02

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 120410212

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ARCHER AVIATION INC.	03945R102	09/17/21	100.00	0.00	Exchange	
ATLAS CREST INVESTMENT CORP.	049284102	09/17/21	-100.00	0.00	Exchange	
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-500.00	0.00	Exchange	
THE LION ELECTRIC COMPANY	536221104	05/07/21	20.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	500.00	0.00	Exchange	
NORTHERN GENESIS ACQUISITION C ORP.	66516T104	05/07/21	-20.00	0.00	Exchange	
AACQ 08/20/2021 CALL \$10.00	AACQ 08/20/21 C 10.000	06/25/21	-3.00	0.00	Merger	
CCIV 01/21/2022 CALL \$25.00	CCIV 01/21/22 C 25.000	07/26/21	-1.00	0.00	Merger	
LCID 01/21/2022 CALL \$25.00	LCID 01/21/22 C 25.000	07/26/21	1.00	0.00	Merger	
ORGN 08/20/2021 CALL \$10.00	ORGN 08/20/21 C 10.000	06/25/21	3.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.