

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 142989722	Statement Date: 02/11/2022 Document ID: 146U 6JV JFGF	2021
	Sandeep Gujari 6215 Love Dr 2416 Irving, TX 75039 RECIPIENT'S TIN: XXX-XX-3527		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.84</p> <p>1b- Qualified dividends 0.76</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	237,513.33	266,328.84	0.00	3,793.92	-25,021.59
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	237,513.33	266,328.84	0.00	3,793.92	-25,021.59
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	237,513.33	266,328.84	0.00	3,793.92	-25,021.59
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 142989722

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	1.10
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	45.87
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/19/2021 CALL \$130.00 / CUSIP: / Symbol:							
01/08/21	1.000	1,002.96	01/06/21	856.00	...	146.96	Option sale to close-call ²⁵
AAPL 03/19/2021 CALL \$135.00 / CUSIP: / Symbol:							
01/11/21	1.000	-127.03	01/08/21	0.00	...	-127.03	Short sale closed- call ²⁵ Option written: 01/06/21
AAPL 06/11/2021 CALL \$129.00 / CUSIP: / Symbol:							
06/08/21	1.000	47.98	06/01/21	42.00	...	5.98	Option sale to close-call ²⁵
AAPL 06/18/2021 CALL \$131.00 / CUSIP: / Symbol:							
06/08/21	3.000	158.98	05/27/21	312.00	102.02 W	-51.00	Option sale to close-call ²⁵
	2 transactions for 06/15/21. Total proceeds and cost reported to the IRS.						
	1.000	52.99	05/27/21	155.01	...	-102.02	1 of 2 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 06/18/2021 CALL \$131.00 / CUSIP: / Symbol: (cont'd)							
	1.000	52.99	05/27/21	155.01	...	-102.02	2 of 2 - Option sale to close-call ²⁵
06/15/21	2.000	105.98	Various	310.02	...	-204.04	Total of 2 transactions
	Security total:	264.96		622.02	102.02 W	-255.04	
AAPL 09/17/2021 CALL \$152.50 / CUSIP: / Symbol:							
3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	2.000	1.99	09/14/21	150.00	...	-148.01	1 of 3 - Option sale to close-call ²⁵
	2.000	1.99	09/14/21	150.00	...	-148.01	2 of 3 - Option sale to close-call ²⁵
	1.000	1.00	09/14/21	75.00	...	-74.00	3 of 3 - Option sale to close-call ²⁵
09/17/21	5.000	4.98	Various	375.00	...	-370.02	Total of 3 transactions
AAPL 10/15/2021 CALL \$149.00 / CUSIP: / Symbol:							
10/12/21	3.000	8.98	10/01/21	117.00	...	-108.02	Option sale to close-call ²⁵
AAPL 10/22/2021 CALL \$150.00 / CUSIP: / Symbol:							
3 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	1.000	61.99	10/06/21	36.00	...	25.99	1 of 3 - Option sale to close-call ²⁵
	1.000	61.99	10/06/21	36.00	...	25.99	2 of 3 - Option sale to close-call ²⁵
	1.000	62.00	10/06/21	36.00	...	26.00	3 of 3 - Option sale to close-call ²⁵
10/19/21	3.000	185.98	Various	108.00	...	77.98	Total of 3 transactions
AAPL 10/29/2021 CALL \$149.00 / CUSIP: / Symbol:							
10/15/21	5.000	504.98	10/12/21	450.00	...	54.98	Option sale to close-call ²⁵
AAPL 11/05/2021 CALL \$152.50 / CUSIP: / Symbol:							
11/02/21	5.000	399.98	10/29/21	285.00	...	114.98	Option sale to close-call ²⁵
AAPL 11/12/2021 CALL \$155.00 / CUSIP: / Symbol:							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	11/02/21	268.00	...	-268.00	1 of 2 - Option expiration- long call
	2.000	0.00	11/02/21	134.00	...	-134.00	2 of 2 - Option expiration- long call
11/12/21	6.000	0.00	Various	402.00	...	-402.00	Total of 2 transactions
AAPL 11/19/2021 CALL \$152.50 / CUSIP: / Symbol:							
11/17/21	5.000	1,119.98	11/10/21	305.00	...	814.98	Option sale to close-call ²⁵

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Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 11/19/2021 CALL \$157.50 / CUSIP: / Symbol:							
5 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	3.000	59.99	10/29/21	582.02	...	-522.03	1 of 5 - Option sale to close-call ²⁵
	3.000	59.99	10/29/21	582.02	...	-522.03	2 of 5 - Option sale to close-call ²⁵
	2.000	39.99	10/29/21	388.01	...	-348.02	3 of 5 - Option sale to close-call ²⁵
	2.000	39.99	10/29/21	272.00	...	-232.01	4 of 5 - Option sale to close-call ²⁵
	1.000	19.98	11/02/21	186.01	...	-166.03	5 of 5 - Option sale to close-call ²⁵
11/17/21	11.000	219.94	Various	2,010.06	...	-1,790.12	Total of 5 transactions
4 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	4.000	79.99	10/29/21	544.01	348.02 W	-116.00	1 of 4 - Option sale to close-call ²⁵
	6.000	119.99	10/29/21	468.00	348.01 W	0.00	2 of 4 - Option sale to close-call ²⁵
	6.000	119.98	10/29/21	468.00	348.02 W	0.00	3 of 4 - Option sale to close-call ²⁵
	6.000	119.98	10/29/21	816.02	696.04 W	0.00	4 of 4 - Option sale to close-call ²⁵
11/17/21	22.000	439.94	Various	2,296.03	1,740.09 W	-116.00	Total of 4 transactions
	Security total:	659.88		4,306.09	1,740.09 W	-1,906.12	
AAPL 11/26/2021 CALL \$155.00 / CUSIP: / Symbol:							
11/12/21	10.000	549.97	11/11/21	410.00	...	139.97	Option sale to close-call ²⁵
3 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	2.000	251.98	11/11/21	82.00	...	169.98	1 of 3 - Option sale to close-call ²⁵
	3.000	377.98	11/11/21	123.00	...	254.98	2 of 3 - Option sale to close-call ²⁵
	5.000	629.98	11/11/21	205.00	...	424.98	3 of 3 - Option sale to close-call ²⁵
11/18/21	10.000	1,259.94	Various	410.00	...	849.94	Total of 3 transactions
	Security total:	1,809.91		820.00	...	989.91	
AAPL 12/03/2021 CALL \$155.00 / CUSIP: / Symbol:							
11/18/21	6.000	2,609.97	11/18/21	1,446.00	...	1,163.97	Option sale to close-call ²⁵
AAPL 12/03/2021 CALL \$162.50 / CUSIP: / Symbol:							
3 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	200.98	11/18/21	45.00	...	155.98	1 of 3 - Option sale to close-call ²⁵
	1.000	200.98	11/18/21	45.00	...	155.98	2 of 3 - Option sale to close-call ²⁵
	3.000	602.98	11/18/21	135.00	...	467.98	3 of 3 - Option sale to close-call ²⁵
11/19/21	5.000	1,004.94	Various	225.00	...	779.94	Total of 3 transactions
11/22/21	5.000	2,329.97	11/18/21	225.00	...	2,104.97	Option sale to close-call ²⁵

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Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/03/2021 CALL \$162.50 / CUSIP: / Symbol: (cont'd)							
Security total:		3,334.91		450.00	...	2,884.91	
AAPL 12/23/2021 CALL \$170.00 / CUSIP: / Symbol:							
11/30/21	2.000	383.98	11/26/21	260.00	...	123.98	Option sale to close-call ²⁵
2 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	5.000	2,199.97	11/26/21	650.00	...	1,549.97	1 of 2 - Option sale to close-call ²⁵
	5.000	2,474.97	11/26/21	650.00	...	1,824.97	2 of 2 - Option sale to close-call ²⁵
12/01/21	10.000	4,674.94	Various	1,300.00	...	3,374.94	Total of 2 transactions
Security total:		5,058.92		1,560.00	...	3,498.92	
AAPL 12/31/2021 CALL \$165.00 / CUSIP: / Symbol:							
12/22/21	1.000	989.98	12/16/21	1,055.00	...	-65.02	Option sale to close-call ²⁵
12/23/21	1.000	1,149.98	12/16/21	1,055.00	...	94.98	Option sale to close-call ²⁵
Security total:		2,139.96		2,110.00	...	29.96	
AAPL 12/31/2021 CALL \$170.00 / CUSIP: / Symbol:							
12/07/21	4.000	2,039.97	12/03/21	996.00	...	1,043.97	Option sale to close-call ²⁵
12/08/21	3.000	2,189.97	12/03/21	747.00	...	1,442.97	Option sale to close-call ²⁵
Security total:		4,229.94		1,743.00	...	2,486.94	
ABNB 01/15/2021 CALL \$195.00 / CUSIP: / Symbol:							
01/15/21	1.000	4.98	01/14/21	230.00	...	-225.02	Option sale to close-call ²⁵
ACIA 01/15/2021 CALL \$120.00 / CUSIP: / Symbol:							
01/15/21	5.000	0.00	01/14/21	50.00	...	-50.00	Option expiration- long call
AFRM 10/15/2021 CALL \$149.00 / CUSIP: / Symbol:							
10/13/21	1.000	289.98	10/13/21	400.00	...	-110.02	Option sale to close-call ²⁵
AFRM 12/10/2021 CALL \$111.00 / CUSIP: / Symbol:							
12/07/21	1.000	1,059.98	12/03/21	470.00	...	589.98	Option sale to close-call ²⁵
AFRM 12/17/2021 CALL \$123.00 / CUSIP: / Symbol:							
12/14/21	2.000	31.98	12/01/21	1,840.00	...	-1,808.02	Option sale to close-call ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AFRM 12/31/2021 CALL \$125.00 / CUSIP: / Symbol:							
12/28/21	1.000	14.98	12/14/21	190.00	...	-175.02	Option sale to close-call ²⁵
AFRM 12/31/2021 CALL \$127.00 / CUSIP: / Symbol:							
12/28/21	1.000	9.98	12/13/21	420.00	...	-410.02	Option sale to close-call ²⁵
AMC 02/26/2021 CALL \$21.00 / CUSIP: / Symbol:							
02/26/21	1.000	0.00	02/25/21	24.00	...	-24.00	Option expiration- long call
AMC 06/18/2021 PUT \$8.50 / CUSIP: / Symbol:							
06/07/21	1.000	4.98	06/02/21	11.00	...	-6.02	Option sale to close-put ²⁵
AMC 08/27/2021 CALL \$43.00 / CUSIP: / Symbol:							
08/26/21	5.000	449.98	08/24/21	3,725.00	...	-3,275.02	Option sale to close-call ²⁵
AMC 09/10/2021 CALL \$46.00 / CUSIP: / Symbol:							
2 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	2.000	649.98	08/30/21	800.00	75.01 W	-75.01	1 of 2 - Option sale to close-call ²⁵
	2.000	649.98	08/30/21	800.00	150.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/01/21	4.000	1,299.96	Various	1,600.00	225.03 W	-75.01	Total of 2 transactions
2 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	2.000	803.98	09/08/21	984.02	...	-180.04	1 of 2 - Option sale to close-call ²⁵
	1.000	401.98	09/08/21	492.01	...	-90.03	2 of 2 - Option sale to close-call ²⁵
09/10/21	3.000	1,205.96	Various	1,476.03	...	-270.07	Total of 2 transactions
	Security total:	2,505.92		3,076.03	225.03 W	-345.08	
AMC 09/17/2021 CALL \$52.00 / CUSIP: / Symbol:							
09/17/21	1.000	4.98	09/16/21	39.00	...	-34.02	Option sale to close-call ²⁵
AMC 09/24/2021 CALL \$51.00 / CUSIP: / Symbol:							
09/17/21	1.000	80.98	09/16/21	397.02	...	-316.04	Option sale to close-call ²⁵
09/17/21	1.000	80.98	09/16/21	239.00	158.02 W	0.00	Option sale to close-call ²⁵
	Security total:	161.96		636.02	158.02 W	-316.04	

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 01/14/2022 CALL \$162.50 / CUSIP: / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	389.98	12/23/21	248.00	...	141.98	1 of 2 - Option sale to close-call ²⁵
	2.000	779.98	12/23/21	496.00	...	283.98	2 of 2 - Option sale to close-call ²⁵
12/27/21	3.000	1,169.96	Various	744.00	...	425.96	Total of 2 transactions
12/30/21	7.000	888.98	12/23/21	1,736.00	...	-847.02	Option sale to close-call ²⁵
	Security total:	2,058.94		2,480.00	...	-421.06	
AMD 10/29/2021 CALL \$140.00 / CUSIP: / Symbol:							
10/27/21	3.000	47.98	10/26/21	153.00	...	-105.02	Option sale to close-call ²⁵
AMD 11/05/2021 CALL \$126.00 / CUSIP: / Symbol:							
11/02/21	1.000	182.98	10/27/21	305.00	...	-122.02	Option sale to close-call ²⁵
AMD 11/12/2021 CALL \$126.00 / CUSIP: / Symbol:							
10/28/21	1.000	175.98	10/27/21	405.00	...	-229.02	Option sale to close-call ²⁵
3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	2.000	333.98	10/27/21	810.00	...	-476.02	1 of 3 - Option sale to close-call ²⁵
	1.000	166.98	10/27/21	405.00	...	-238.02	2 of 3 - Option sale to close-call ²⁵
	1.000	166.98	10/27/21	405.00	...	-238.02	3 of 3 - Option sale to close-call ²⁵
10/29/21	4.000	667.94	Various	1,620.00	...	-952.06	Total of 3 transactions
	Security total:	843.92		2,025.00	...	-1,181.08	
AMD 12/03/2021 CALL \$170.00 / CUSIP: / Symbol:							
3 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	9.000	8.98	11/30/21	405.00	...	-396.02	1 of 3 - Option sale to close-call ²⁵
	1.000	1.00	11/30/21	45.00	...	-44.00	2 of 3 - Option sale to close-call ²⁵
	10.000	9.97	12/01/21	270.00	...	-260.03	3 of 3 - Option sale to close-call ²⁵
12/03/21	20.000	19.95	Various	720.00	...	-700.05	Total of 3 transactions
AMD 12/17/2021 CALL \$170.00 / CUSIP: / Symbol:							
7 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	1.000	23.98	12/06/21	23.00	...	0.98	1 of 7 - Option sale to close-call ²⁵
	1.000	23.98	12/06/21	23.00	...	0.98	2 of 7 - Option sale to close-call ²⁵
	1.000	24.00	12/06/21	23.00	...	1.00	3 of 7 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 12/17/2021 CALL \$170.00 / CUSIP: / Symbol: (cont'd)							
	1.000	24.00	12/06/21	23.00	...	1.00	4 of 7 - Option sale to close-call ²⁵
	1.000	24.00	12/06/21	23.00	...	1.00	5 of 7 - Option sale to close-call ²⁵
	3.000	71.99	12/06/21	69.00	...	2.99	6 of 7 - Option sale to close-call ²⁵
	12.000	287.96	12/06/21	276.00	...	11.96	7 of 7 - Option sale to close-call ²⁵
12/08/21	20.000	479.91	Various	460.00	...	19.91	Total of 7 transactions
AMD 12/23/2021 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	12/08/21	395.00	...	-395.00	1 of 2 - Option expiration- long call
	5.000	0.00	12/08/21	305.00	...	-305.00	2 of 2 - Option expiration- long call
12/23/21	10.000	0.00	Various	700.00	...	-700.00	Total of 2 transactions
AMZN 04/01/2021 CALL \$3,130.00 / CUSIP: / Symbol:							
04/01/21	1.000	1,349.98	03/31/21	470.00	...	879.98	Option sale to close-call ²⁵
AMZN 04/01/2021 CALL \$3,240.00 / CUSIP: / Symbol:							
04/01/21	1.000	22.98	03/29/21	121.00	...	-98.02	Option sale to close-call ²⁵
AMZN 04/01/2021 CALL \$3,250.00 / CUSIP: / Symbol:							
03/31/21	1.000	24.98	03/18/21	1,260.00	...	-1,235.02	Option sale to close-call ²⁵
AMZN 04/01/2021 PUT \$3,090.00 / CUSIP: / Symbol:							
04/01/21	1.000	35.98	04/01/21	198.00	...	-162.02	Option sale to close-put ²⁵
AMZN 04/23/2021 CALL \$3,445.00 / CUSIP: / Symbol:							
04/23/21	1.000	0.98	04/19/21	3,910.00	...	-3,909.02	Option sale to close-call ²⁵
AMZN 05/14/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
05/10/21	1.000	104.98	05/04/21	640.00	...	-535.02	Option sale to close-call ²⁵
AMZN 05/21/2021 CALL \$3,420.00 / CUSIP: / Symbol:							
05/11/21	1.000	704.98	05/10/21	605.00	...	99.98	Option sale to close-call ²⁵
AMZN 06/18/2021 CALL \$3,375.00 / CUSIP: / Symbol:							
06/08/21	1.000	1,104.98	06/07/21	365.00	...	739.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 08/27/2021 CALL \$3,220.00 / CUSIP: / Symbol:							
08/23/21	1.000	6,324.95	08/20/21	2,200.00	...	4,124.95	Option sale to close-call ²⁵
AMZN 08/27/2021 CALL \$3,510.00 / CUSIP: / Symbol:							
08/23/21	1.000	146.98	08/12/21	580.00	...	-433.02	Option sale to close-call ²⁵
AMZN 09/03/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
08/23/21	1.000	304.98	08/12/21	1,120.00	...	-815.02	Option sale to close-call ²⁵
AMZN 09/10/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
08/30/21	1.000	1,629.98	08/26/21	540.00	...	1,089.98	Option sale to close-call ²⁵
AMZN 10/08/2021 CALL \$3,385.00 / CUSIP: / Symbol:							
10/06/21	1.000	61.98	10/01/21	1,166.00	...	-1,104.02	Option sale to close-call ²⁵
AMZN 10/22/2021 CALL \$3,390.00 / CUSIP: / Symbol:							
10/15/21	1.000	3,504.97	10/12/21	860.00	...	2,644.97	Option sale to close-call ²⁵
AMZN 10/29/2021 CALL \$3,625.00 / CUSIP: / Symbol:							
10/29/21	1.000	14.98	10/27/21	685.00	...	-670.02	Option sale to close-call ²⁵
AMZN 11/12/2021 CALL \$3,730.00 / CUSIP: / Symbol:							
11/12/21	1.000	7.98	10/29/21	500.00	...	-492.02	Option sale to close-call ²⁵
AMZN 12/23/2021 CALL \$3,600.00 / CUSIP: / Symbol:							
12/15/21	1.000	1,349.98	12/14/21	505.00	...	844.98	Option sale to close-call ²⁵
AMZN 12/31/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
12/31/21	1.000	1.98	12/10/21	4,935.00	...	-4,933.02	Option sale to close-call ²⁵
AMZN 12/31/2021 CALL \$3,565.00 / CUSIP: / Symbol:							
12/31/21	1.000	0.00	12/23/21	850.00	...	-850.00	Option expiration- long call
APPS 10/22/2021 CALL \$88.00 / CUSIP: / Symbol:							
		2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.					
	1.000	209.98	10/15/21	200.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	1.000	209.98	10/15/21	200.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
10/19/21	2.000	419.96	Various	400.00	...	19.96	Total of 2 transactions

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 12/10/2021 CALL \$64.00 / CUSIP: / Symbol:							
8 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	12/08/21	15.00	...	-15.00	1 of 8 - Option expiration- long call
	1.000	0.00	12/08/21	5.00	...	-5.00	2 of 8 - Option expiration- long call
	1.000	0.00	12/08/21	5.00	...	-5.00	3 of 8 - Option expiration- long call
	1.000	0.00	12/08/21	5.00	...	-5.00	4 of 8 - Option expiration- long call
	1.000	0.00	12/08/21	5.00	...	-5.00	5 of 8 - Option expiration- long call
	1.000	0.00	12/08/21	5.00	...	-5.00	6 of 8 - Option expiration- long call
	1.000	0.00	12/08/21	5.00	...	-5.00	7 of 8 - Option expiration- long call
	1.000	0.00	12/08/21	5.00	...	-5.00	8 of 8 - Option expiration- long call
12/10/21	10.000	0.00	Various	50.00	...	-50.00	Total of 8 transactions
BABA 01/21/2022 CALL \$200.00 / CUSIP: / Symbol:							
4 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	2.000	103.98	11/23/21	108.01	...	-4.03	1 of 4 - Option sale to close-call ²⁵
	1.000	51.99	11/23/21	55.02	...	-3.03	2 of 4 - Option sale to close-call ²⁵
	1.000	51.99	11/23/21	54.01	...	-2.02	3 of 4 - Option sale to close-call ²⁵
	1.000	51.98	11/23/21	53.00	...	-1.02	4 of 4 - Option sale to close-call ²⁵
11/24/21	5.000	259.94	Various	270.04	...	-10.10	Total of 4 transactions
3 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	1.000	52.00	11/23/21	53.00	1.00 W	0.00	1 of 3 - Option sale to close-call ²⁵
	3.000	155.98	11/23/21	159.00	3.02 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	51.98	11/23/21	54.00	2.02 W	0.00	3 of 3 - Option sale to close-call ²⁵
11/24/21	5.000	259.96	Various	266.00	6.04 W	0.00	Total of 3 transactions
	Security total:	519.90		536.04	6.04 W	-10.10	
BABA 03/18/2022 CALL \$180.00 / CUSIP: / Symbol:							
08/02/21	1.000	3,619.97	07/27/21	2,805.00	...	814.97	Option sale to close-call ²⁵
BABA 03/18/2022 CALL \$200.00 / CUSIP: / Symbol:							
08/12/21	1.000	1,809.98	08/04/21	2,560.00	...	-750.02	Option sale to close-call ²⁵
BABA 03/19/2021 PUT \$225.00 / CUSIP: / Symbol:							
03/18/21	1.000	17.98	03/16/21	223.00	...	-205.02	Option sale to close-put ²⁵

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Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BABA 07/16/2021 CALL \$222.50 / CUSIP: / Symbol:							
2 transactions for 07/12/21. Total proceeds and cost reported to the IRS.							
	3.000	56.99	07/07/21	306.00	...	-249.01	1 of 2 - Option sale to close-call ²⁵
	1.000	18.99	07/07/21	102.00	...	-83.01	2 of 2 - Option sale to close-call ²⁵
07/12/21	4.000	75.98	Various	408.00	...	-332.02	Total of 2 transactions
BABA 08/06/2021 CALL \$207.50 / CUSIP: / Symbol:							
08/06/21	1.000	0.00	08/02/21	209.00	...	-209.00	Option expiration- long call
BABA 10/01/2021 CALL \$200.00 / CUSIP: / Symbol:							
08/26/21	1.000	91.98	08/12/21	585.00	...	-493.02	Option sale to close-call ²⁵
BABA 10/22/2021 CALL \$165.00 / CUSIP: / Symbol:							
09/16/21	1.000	549.98	09/13/21	920.00	...	-370.02	Option sale to close-call ²⁵
BABA 10/22/2021 CALL \$182.50 / CUSIP: / Symbol:							
2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	1.000	250.98	10/19/21	58.00	...	192.98	1 of 2 - Option sale to close-call ²⁵
	3.000	599.98	10/19/21	174.00	...	425.98	2 of 2 - Option sale to close-call ²⁵
10/20/21	4.000	850.96	Various	232.00	...	618.96	Total of 2 transactions
BABA 10/29/2021 CALL \$177.50 / CUSIP: / Symbol:							
10/19/21	1.000	304.98	10/15/21	165.00	...	139.98	Option sale to close-call ²⁵
2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	1.000	489.98	10/15/21	165.00	...	324.98	1 of 2 - Option sale to close-call ²⁵
	1.000	489.98	10/15/21	165.00	...	324.98	2 of 2 - Option sale to close-call ²⁵
10/20/21	2.000	979.96	Various	330.00	...	649.96	Total of 2 transactions
	Security total:	1,284.94		495.00	...	789.94	
BABA 10/29/2021 CALL \$192.50 / CUSIP: / Symbol:							
10/28/21	10.000	19.97	10/21/21	570.00	...	-550.03	Option sale to close-call ²⁵
BABA 10/29/2021 CALL \$215.00 / CUSIP: / Symbol:							
10/20/21	1.000	11.98	10/11/21	34.00	...	-22.02	Option sale to close-call ²⁵

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 11/12/2021 CALL \$172.50 / CUSIP: / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	324.98	10/28/21	485.00	...	-160.02	1 of 2 - Option sale to close-call ²⁵
	1.000	359.98	10/28/21	485.00	...	-125.02	2 of 2 - Option sale to close-call ²⁵
10/29/21	2.000	684.96	Various	970.00	...	-285.04	Total of 2 transactions
11/12/21	2.000	19.98	10/28/21	970.00	...	-950.02	Option sale to close-call ²⁵
	Security total:	704.94		1,940.00	...	-1,235.06	
BABA 11/12/2021 CALL \$185.00 / CUSIP: / Symbol:							
3 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	3.000	626.99	10/26/21	579.00	...	47.99	1 of 3 - Option sale to close-call ²⁵
	3.000	626.99	10/26/21	579.00	...	47.99	2 of 3 - Option sale to close-call ²⁵
10/27/21	4.000	835.98	10/26/21	772.00	...	63.98	3 of 3 - Option sale to close-call ²⁵
	10.000	2,089.96	Various	1,930.00	...	159.96	Total of 3 transactions
BABA 11/19/2021 CALL \$190.00 / CUSIP: / Symbol:							
3 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	6.000	0.00	11/16/21	144.00	...	-144.00	1 of 3 - Option expiration- long call
	1.000	0.00	11/16/21	25.00	...	-25.00	2 of 3 - Option expiration- long call
	1.000	0.00	11/16/21	24.00	...	-24.00	3 of 3 - Option expiration- long call
11/19/21	8.000	0.00	Various	193.00	...	-193.00	Total of 3 transactions
BABA 12/17/2021 CALL \$165.00 / CUSIP: / Symbol:							
09/10/21	2.000	3,169.97	09/09/21	3,150.00	...	19.97	Option sale to close-call ²⁵
BABA 12/31/2021 CALL \$200.00 / CUSIP: / Symbol:							
4 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	1.000	11.00	11/23/21	28.00	...	-17.00	1 of 4 - Option sale to close-call ²⁵
	5.000	54.98	11/24/21	145.00	...	-90.02	2 of 4 - Option sale to close-call ²⁵
	3.000	32.99	11/24/21	87.00	...	-54.01	3 of 4 - Option sale to close-call ²⁵
	1.000	11.00	11/24/21	34.00	...	-23.00	4 of 4 - Option sale to close-call ²⁵
12/03/21	10.000	109.97	Various	294.00	...	-184.03	Total of 4 transactions
BIDU 10/15/2021 CALL \$200.00 / CUSIP: / Symbol:							
09/17/21	1.000	19.98	08/12/21	98.00	...	-78.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BRKB 06/18/2021 CALL \$292.50 / CUSIP: / Symbol:							
06/09/21	2.000	225.98	06/08/21	220.00	...	5.98	Option sale to close-call ²⁵
BRKB 06/25/2021 CALL \$300.00 / CUSIP: / Symbol:							
06/16/21	1.000	22.98	06/15/21	21.00	...	1.98	Option sale to close-call ²⁵
BRKB 07/02/2021 CALL \$300.00 / CUSIP: / Symbol:							
06/25/21	14.000	41.96	06/10/21	1,036.00	...	-994.04	Option sale to close-call ²⁵
06/28/21	1.000	1.98	06/10/21	74.00	...	-72.02	Option sale to close-call ²⁵
Security total:		43.94		1,110.00	...	-1,066.06	
BRKB 07/23/2021 CALL \$292.50 / CUSIP: / Symbol:							
07/19/21	1.000	0.98	06/25/21	100.00	...	-99.02	Option sale to close-call ²⁵
BYND 11/26/2021 CALL \$94.00 / CUSIP: / Symbol:							
11/22/21	3.000	2.98	11/11/21	123.00	...	-120.02	Option sale to close-call ²⁵
CGC 11/26/2021 CALL \$13.50 / CUSIP: / Symbol:							
11/26/21	2.000	1.98	11/26/21	0.00	...	1.98	Short sale closed- call expired Option written: 11/24/21
CHGG 11/19/2021 CALL \$45.00 / CUSIP: / Symbol:							
11/19/21	6.000	0.00	11/02/21	330.00	...	-330.00	Option expiration- long call
CHWY 10/22/2021 CALL \$65.00 / CUSIP: / Symbol:							
10/18/21	5.000	899.98	10/15/21	480.00	...	419.98	Option sale to close-call ²⁵
		<i>4 transactions for 10/19/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	117.98	10/15/21	96.00	...	21.98	1 of 4 - Option sale to close-call ²⁵
	1.000	117.98	10/15/21	96.00	...	21.98	2 of 4 - Option sale to close-call ²⁵
	1.000	117.98	10/15/21	96.00	...	21.98	3 of 4 - Option sale to close-call ²⁵
	2.000	235.98	10/15/21	192.00	...	43.98	4 of 4 - Option sale to close-call ²⁵
10/19/21	5.000	589.92	Various	480.00	...	109.92	Total of 4 transactions
Security total:		1,489.90		960.00	...	529.90	

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(continued)

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CHWY 12/03/2021 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	4.000	3.98	11/18/21	224.00	...	-220.02	1 of 2 - Option sale to close-call ²⁵
	1.000	0.98	11/18/21	56.00	...	-55.02	2 of 2 - Option sale to close-call ²⁵
12/01/21	5.000	4.96	Various	280.00	...	-275.04	Total of 2 transactions
CHWY 12/10/2021 CALL \$75.00 / CUSIP: / Symbol:							
12/09/21	10.000	359.97	12/08/21	470.00	...	-110.03	Option sale to close-call ²⁵
CLOV 06/18/2021 CALL \$22.00 / CUSIP: / Symbol:							
06/09/21	1.000	523.98	06/08/21	460.00	...	63.98	Option sale to close-call ²⁵
CLOV 06/18/2021 CALL \$27.00 / CUSIP: / Symbol:							
06/10/21	1.000	67.98	06/09/21	390.00	...	-322.02	Option sale to close-call ²⁵
CMCSA 03/26/2021 CALL \$56.50 / CUSIP: / Symbol:							
03/22/21	1.000	49.98	03/19/21	31.00	...	18.98	Option sale to close-call ²⁵
COIN 10/22/2021 CALL \$295.00 / CUSIP: / Symbol:							
10/19/21	1.000	1,499.98	10/18/21	880.00	...	619.98	Option sale to close-call ²⁵
CRM 01/22/2021 CALL \$227.50 / CUSIP: / Symbol:							
01/22/21	1.000	1.98	01/20/21	81.00	...	-79.02	Option sale to close-call ²⁵
CRM 12/03/2021 CALL \$340.00 / CUSIP: / Symbol:							
2 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	1.000	0.98	11/30/21	22.00	...	-21.02	1 of 2 - Option sale to close-call ²⁵
	1.000	0.98	11/30/21	22.00	...	-21.02	2 of 2 - Option sale to close-call ²⁵
12/01/21	2.000	1.96	Various	44.00	...	-42.04	Total of 2 transactions
CRM 12/17/2021 CALL \$300.00 / CUSIP: / Symbol:							
6 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	3.000	5.98	12/01/21	210.00	...	-204.02	1 of 6 - Option sale to close-call ²⁵
	2.000	3.98	12/01/21	140.00	...	-136.02	2 of 6 - Option sale to close-call ²⁵
	1.000	1.98	12/01/21	138.00	...	-136.02	3 of 6 - Option sale to close-call ²⁵
	1.000	1.98	12/01/21	70.00	...	-68.02	4 of 6 - Option sale to close-call ²⁵
	1.000	1.98	12/01/21	70.00	...	-68.02	5 of 6 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CRM 12/17/2021 CALL \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.98	12/01/21	70.00	...	-68.02	6 of 6 - Option sale to close-call ²⁵
12/14/21	9.000	17.88	Various	698.00	...	-680.12	Total of 6 transactions
12/14/21	1.000	2.00	12/01/21	70.00	68.00 W	0.00	Option sale to close-call ²⁵
	Security total:	19.88		768.00	68.00 W	-680.12	
CRM 12/23/2021 CALL \$300.00 / CUSIP: / Symbol:							
12/23/21	20.000	0.00	12/07/21	680.00	...	-680.00	Option expiration- long call
CRTX 12/17/2021 CALL \$40.00 / CUSIP: / Symbol:							
	2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.						
	1.000	14.98	11/02/21	30.00	15.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	14.98	11/02/21	30.00	15.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
11/15/21	2.000	29.96	Various	60.00	30.04 W	0.00	Total of 2 transactions
	2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.						
	1.000	4.98	11/02/21	80.02	...	-75.04	1 of 2 - Option sale to close-call ²⁵
	1.000	4.98	11/02/21	80.02	...	-75.04	2 of 2 - Option sale to close-call ²⁵
11/19/21	2.000	9.96	Various	160.04	...	-150.08	Total of 2 transactions
	Security total:	39.92		220.04	30.04 W	-150.08	
CRWD 03/19/2021 CALL \$240.00 / CUSIP: / Symbol:							
03/18/21	1.000	4.98	03/15/21	66.00	...	-61.02	Option sale to close-call ²⁵
DIDI 10/29/2021 CALL \$9.00 / CUSIP: / Symbol:							
10/19/21	1.000	23.98	09/16/21	67.00	...	-43.02	Option sale to close-call ²⁵
DIS 01/29/2021 CALL \$180.00 / CUSIP: / Symbol:							
01/08/21	1.000	349.98	12/28/20	665.00	...	-315.02	Option sale to close-call ²⁵
DIS 04/01/2021 CALL \$215.00 / CUSIP: / Symbol:							
04/01/21	1.000	0.00	03/19/21	48.00	...	-48.00	Option expiration- long call
DIS 12/03/2021 CALL \$170.00 / CUSIP: / Symbol:							
12/03/21	5.000	0.00	11/18/21	125.00	...	-125.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DIS 12/23/2021 CALL \$170.00 / CUSIP: / Symbol:							
4 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	1.000	22.98	12/01/21	18.00	...	4.98	1 of 4 - Option sale to close-call ²⁵
	3.000	68.98	12/01/21	54.00	...	14.98	2 of 4 - Option sale to close-call ²⁵
	4.000	91.98	12/01/21	72.00	...	19.98	3 of 4 - Option sale to close-call ²⁵
	7.000	160.98	12/01/21	126.00	...	34.98	4 of 4 - Option sale to close-call ²⁵
12/02/21	15.000	344.92	Various	270.00	...	74.92	Total of 4 transactions
6 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	3.000	68.98	12/01/21	54.00	...	14.98	1 of 6 - Option sale to close-call ²⁵
	3.000	68.98	12/01/21	54.00	...	14.98	2 of 6 - Option sale to close-call ²⁵
	3.000	68.98	12/01/21	54.00	...	14.98	3 of 6 - Option sale to close-call ²⁵
	3.000	68.98	12/01/21	54.00	...	14.98	4 of 6 - Option sale to close-call ²⁵
	3.000	68.99	12/01/21	54.00	...	14.99	5 of 6 - Option sale to close-call ²⁵
	5.000	114.98	12/07/21	100.00	...	14.98	6 of 6 - Option sale to close-call ²⁵
12/08/21	20.000	459.89	Various	370.00	...	89.89	Total of 6 transactions
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	19.000	0.00	12/07/21	380.00	...	-380.00	1 of 2 - Option expiration- long call
	1.000	0.00	12/07/21	20.00	...	-20.00	2 of 2 - Option expiration- long call
12/23/21	20.000	0.00	Various	400.00	...	-400.00	Total of 2 transactions
	Security total:	804.81		1,040.00	...	-235.19	
DKNG 11/05/2021 CALL \$50.00 / CUSIP: / Symbol:							
10/12/21	1.000	249.98	10/11/21	290.00	...	-40.02	Option sale to close-call ²⁵
10/15/21	3.000	518.98	10/11/21	870.00	...	-351.02	Option sale to close-call ²⁵
	Security total:	768.96		1,160.00	...	-391.04	
DKNG 11/05/2021 CALL \$52.00 / CUSIP: / Symbol:							
2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	1.000	81.98	10/25/21	40.00	...	41.98	1 of 2 - Option sale to close-call ²⁵
	4.000	419.98	10/25/21	160.00	...	259.98	2 of 2 - Option sale to close-call ²⁵
10/26/21	5.000	501.96	Various	200.00	...	301.96	Total of 2 transactions

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DKNG 11/05/2021 CALL \$55.00 / CUSIP: / Symbol:							
3 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	6.000	299.98	10/19/21	510.00	...	-210.02	1 of 3 - Option sale to close-call ²⁵
	1.000	49.98	10/19/21	155.04	...	-105.06	2 of 3 - Option sale to close-call ²⁵
	1.000	49.98	10/19/21	85.00	...	-35.02	3 of 3 - Option sale to close-call ²⁵
10/20/21	8.000	399.94	Various	750.04	...	-350.10	Total of 3 transactions
2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	1.000	49.98	10/19/21	85.00	35.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	49.98	10/19/21	120.02	70.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
10/20/21	2.000	99.96	Various	205.02	105.06 W	0.00	Total of 2 transactions
	Security total:	499.90		955.06	105.06 W	-350.10	
DKNG 11/26/2021 CALL \$45.00 / CUSIP: / Symbol:							
11/22/21	3.000	5.98	11/12/21	144.00	...	-138.02	Option sale to close-call ²⁵
DOCU 03/12/2021 CALL \$250.00 / CUSIP: / Symbol:							
03/12/21	1.000	3.98	03/11/21	125.00	...	-121.02	Option sale to close-call ²⁵
DOCU 12/31/2021 CALL \$200.00 / CUSIP: / Symbol:							
6 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	1.000	51.98	12/07/21	31.00	...	20.98	1 of 6 - Option sale to close-call ²⁵
	1.000	51.99	12/07/21	31.00	...	20.99	2 of 6 - Option sale to close-call ²⁵
	1.000	51.99	12/07/21	27.00	...	24.99	3 of 6 - Option sale to close-call ²⁵
	3.000	155.98	12/07/21	81.00	...	74.98	4 of 6 - Option sale to close-call ²⁵
	4.000	207.98	12/07/21	124.00	...	83.98	5 of 6 - Option sale to close-call ²⁵
	5.000	374.98	12/07/21	155.00	...	219.98	6 of 6 - Option sale to close-call ²⁵
12/08/21	15.000	894.90	Various	449.00	...	445.90	Total of 6 transactions
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	3.000	11.98	12/07/21	93.00	...	-81.02	1 of 2 - Option sale to close-call ²⁵
	2.000	7.98	12/07/21	62.00	...	-54.02	2 of 2 - Option sale to close-call ²⁵
12/27/21	5.000	19.96	Various	155.00	...	-135.04	Total of 2 transactions
	Security total:	914.86		604.00	...	310.86	

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FCEL 10/22/2021 CALL \$8.50 / CUSIP: / Symbol:							
5 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	1.000	55.00	10/15/21	20.00	...	35.00	1 of 5 - Option sale to close-call ²⁵
	3.000	164.99	10/15/21	45.00	...	119.99	2 of 5 - Option sale to close-call ²⁵
	5.000	274.98	10/15/21	75.00	...	199.98	3 of 5 - Option sale to close-call ²⁵
	5.000	274.99	10/15/21	75.00	...	199.99	4 of 5 - Option sale to close-call ²⁵
	6.000	329.99	10/15/21	120.00	...	209.99	5 of 5 - Option sale to close-call ²⁵
10/18/21	20.000	1,099.95	Various	335.00	...	764.95	Total of 5 transactions
FCEL 10/22/2021 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	1.000	51.99	10/15/21	14.00	...	37.99	1 of 2 - Option sale to close-call ²⁵
	1.000	51.99	10/15/21	14.00	...	37.99	2 of 2 - Option sale to close-call ²⁵
10/18/21	2.000	103.98	Various	28.00	...	75.98	Total of 2 transactions
FCEL 10/29/2021 CALL \$9.00 / CUSIP: / Symbol:							
10/27/21	4.000	7.98	10/19/21	288.00	...	-280.02	Option sale to close-call ²⁵
FCEL 11/05/2021 CALL \$13.00 / CUSIP: / Symbol:							
10/28/21	1.000	2.98	10/19/21	32.00	...	-29.02	Option sale to close-call ²⁵
FUBO 11/05/2021 CALL \$36.00 / CUSIP: / Symbol:							
11/01/21	1.000	42.98	10/25/21	25.00	...	17.98	Option sale to close-call ²⁵
FUTU 11/12/2021 CALL \$83.00 / CUSIP: / Symbol:							
11/12/21	1.000	0.00	10/21/21	120.00	...	-120.00	Option expiration- long call
GDRX 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
03/12/21	1.000	14.98	03/11/21	135.00	...	-120.02	Option sale to close-call ²⁵
GME 03/05/2021 PUT \$30.00 / CUSIP: / Symbol:							
03/05/21	1.000	0.00	02/25/21	63.00	...	-63.00	Option expiration- long put
GME 03/26/2021 PUT \$63.00 / CUSIP: / Symbol:							
03/26/21	2.000	0.00	03/25/21	94.00	...	-94.00	Option expiration- long put

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GME 12/10/2021 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	2.000	13.99	12/08/21	84.00	...	-70.01	1 of 2 - Option sale to close-call ²⁵
	1.000	6.99	12/08/21	42.00	...	-35.01	2 of 2 - Option sale to close-call ²⁵
12/09/21	3.000	20.98	Various	126.00	...	-105.02	Total of 2 transactions
GOOG 09/24/2021 CALL \$2,860.00 / CUSIP: / Symbol:							
09/24/21	1.000	54.98	09/20/21	510.00	...	-455.02	Option sale to close-call ²⁵
GOOG 09/24/2021 CALL \$2,885.00 / CUSIP: / Symbol:							
09/14/21	1.000	3,599.97	09/13/21	2,980.00	...	619.97	Option sale to close-call ²⁵
GOOG 09/24/2021 CALL \$2,895.00 / CUSIP: / Symbol:							
09/17/21	1.000	879.98	09/15/21	2,290.00	...	-1,410.02	Option sale to close-call ²⁵
GOOG 10/08/2021 CALL \$2,795.00 / CUSIP: / Symbol:							
2 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	1.000	1,619.98	10/04/21	225.00	...	1,394.98	1 of 2 - Option sale to close-call ²⁵
	2.000	2,219.97	10/04/21	450.00	...	1,769.97	2 of 2 - Option sale to close-call ²⁵
10/07/21	3.000	3,839.95	Various	675.00	...	3,164.95	Total of 2 transactions
GOOG 10/22/2021 CALL \$2,915.00 / CUSIP: / Symbol:							
10/19/21	1.000	599.98	10/15/21	300.00	...	299.98	Option sale to close-call ²⁵
GOOG 10/22/2021 CALL \$2,955.00 / CUSIP: / Symbol:							
10/14/21	1.000	184.98	10/12/21	130.00	...	54.98	Option sale to close-call ²⁵
GOOG 11/05/2021 CALL \$3,100.00 / CUSIP: / Symbol:							
11/02/21	1.000	209.98	10/22/21	430.00	...	-220.02	Option sale to close-call ²⁵
GOOGL 05/21/2021 CALL \$2,400.0 / CUSIP: / Symbol:							
05/20/21	1.000	14.98	05/11/21	300.00	...	-285.02	Option sale to close-call ²⁵
GOOGL 09/17/2021 CALL \$2,900.0 / CUSIP: / Symbol:							
09/17/21	1.000	19.98	09/15/21	690.00	...	-670.02	Option sale to close-call ²⁵
GOOGL 09/24/2021 PUT \$2,800.00 / CUSIP: / Symbol:							
09/17/21	1.000	2,599.97	09/17/21	2,900.00	...	-300.03	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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GOOGL 10/01/2021 CALL \$2,865.0 / CUSIP: / Symbol:							
09/13/21	1.000	3,909.97	09/10/21	3,000.00	...	909.97	Option sale to close-call ²⁵
GOOGL 10/08/2021 CALL \$2,850.0 / CUSIP: / Symbol:							
10/06/21	1.000	64.98	09/17/21	4,080.00	...	-4,015.02	Option sale to close-call ²⁵
GOOGL 10/22/2021 CALL \$2,940.0 / CUSIP: / Symbol:							
10/20/21	1.000	94.98	10/15/21	190.00	...	-95.02	Option sale to close-call ²⁵
GOOGL 10/29/2021 CALL \$3,050.0 / CUSIP: / Symbol:							
10/29/21	1.000	19.98	10/26/21	350.00	...	-330.02	Option sale to close-call ²⁵
GOOGL 11/05/2021 CALL \$3,100.0 / CUSIP: / Symbol:							
11/02/21	1.000	189.98	10/22/21	350.00	...	-160.02	Option sale to close-call ²⁵
GREE 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
	<i>2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.</i>						
	3.000	344.98	10/18/21	600.00	...	-255.02	1 of 2 - Option sale to close-call ²⁵
	1.000	114.98	10/18/21	200.00	...	-85.02	2 of 2 - Option sale to close-call ²⁵
10/19/21	4.000	459.96	Various	800.00	...	-340.04	Total of 2 transactions
GREE 11/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
10/26/21	1.000	64.98	10/20/21	60.00	...	4.98	Option sale to close-call ²⁵
GWH 03/18/2022 CALL \$15.00 / CUSIP: / Symbol:							
	<i>2 transactions for 10/12/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	599.98	10/12/21	380.00	...	219.98	1 of 2 - Option sale to close-call ²⁵
	2.000	1,859.98	10/12/21	760.00	...	1,099.98	2 of 2 - Option sale to close-call ²⁵
10/12/21	3.000	2,459.96	Various	1,140.00	...	1,319.96	Total of 2 transactions
GWH 12/17/2021 CALL \$20.00 / CUSIP: / Symbol:							
10/13/21	1.000	369.98	10/12/21	870.00	...	-500.02	Option sale to close-call ²⁵

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Account 142989722

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2021 1099-B* OMB No. 1545-0715

(continued)

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HOOD 12/17/2021 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	4.000	23.98	12/08/21	316.00	...	-292.02	1 of 2 - Option sale to close-call ²⁵
	1.000	6.00	12/08/21	79.00	...	-73.00	2 of 2 - Option sale to close-call ²⁵
12/13/21	5.000	29.98	Various	395.00	...	-365.02	Total of 2 transactions
IBM 11/05/2021 CALL \$140.00 / CUSIP: / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	6.000	11.98	10/21/21	150.00	...	-138.02	1 of 4 - Option sale to close-call ²⁵
	4.000	7.98	10/21/21	100.00	...	-92.02	2 of 4 - Option sale to close-call ²⁵
	4.000	7.98	10/21/21	100.00	...	-92.02	3 of 4 - Option sale to close-call ²⁵
	4.000	7.98	10/21/21	100.00	...	-92.02	4 of 4 - Option sale to close-call ²⁵
11/01/21	18.000	35.92	Various	450.00	...	-414.08	Total of 4 transactions
11/02/21	2.000	1.98	10/21/21	50.00	...	-48.02	Option sale to close-call ²⁵
	Security total:	37.90		500.00	...	-462.10	
INTC 11/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
4 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	189.00	10/29/21	51.00	...	138.00	1 of 4 - Option sale to close-call ²⁵
	2.000	377.99	10/29/21	102.00	...	275.99	2 of 4 - Option sale to close-call ²⁵
	3.000	566.99	10/29/21	153.00	...	413.99	3 of 4 - Option sale to close-call ²⁵
	4.000	755.99	10/29/21	204.00	...	551.99	4 of 4 - Option sale to close-call ²⁵
11/05/21	10.000	1,889.97	Various	510.00	...	1,379.97	Total of 4 transactions
3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	194.99	10/29/21	51.00	...	143.99	1 of 3 - Option sale to close-call ²⁵
	1.000	194.99	10/29/21	51.00	...	143.99	2 of 3 - Option sale to close-call ²⁵
	1.000	195.00	10/29/21	51.00	...	144.00	3 of 3 - Option sale to close-call ²⁵
11/08/21	3.000	584.98	Various	153.00	...	431.98	Total of 3 transactions
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	73.98	10/29/21	49.00	...	24.98	1 of 2 - Option sale to close-call ²⁵
	1.000	73.98	10/29/21	49.00	...	24.98	2 of 2 - Option sale to close-call ²⁵
11/12/21	2.000	147.96	Various	98.00	...	49.96	Total of 2 transactions
	Security total:	2,622.91		761.00	...	1,861.91	

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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INTC 11/19/2021 CALL \$50.50 / CUSIP: / Symbol:							
11/03/21	2.000	127.98	10/29/21	74.00	...	53.98	Option sale to close-call ²⁵
INTC 11/26/2021 CALL \$51.50 / CUSIP: / Symbol:							
11/16/21	4.000	159.98	11/15/21	132.00	...	27.98	Option sale to close-call ²⁵
11/26/21	5.000	0.00	11/15/21	165.00	...	-165.00	Option expiration- long call
	Security total:	159.98		297.00	...	-137.02	
INTC 12/17/2021 CALL \$51.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/06/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	113.00	12/01/21	65.00	...	48.00	1 of 2 - Option sale to close-call ²⁵
	4.000	451.98	12/01/21	260.00	...	191.98	2 of 2 - Option sale to close-call ²⁵
12/06/21	5.000	564.98	Various	325.00	...	239.98	Total of 2 transactions
<i>3 transactions for 12/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	370.00	12/01/21	65.00	...	305.00	1 of 3 - Option sale to close-call ²⁵
	2.000	739.99	12/01/21	130.00	...	609.99	2 of 3 - Option sale to close-call ²⁵
	2.000	739.99	12/01/21	130.00	...	609.99	3 of 3 - Option sale to close-call ²⁵
12/07/21	5.000	1,849.98	Various	325.00	...	1,524.98	Total of 3 transactions
	Security total:	2,414.96		650.00	...	1,764.96	
INTC 12/17/2021 CALL \$51.50 / CUSIP: / Symbol:							
12/14/21	3.000	23.98	12/09/21	156.00	...	-132.02	Option sale to close-call ²⁵
INTC 12/31/2021 CALL \$52.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.</i>							
	11.000	494.97	12/09/21	792.00	...	-297.03	1 of 2 - Option sale to close-call ²⁵
	2.000	89.99	12/09/21	142.00	...	-52.01	2 of 2 - Option sale to close-call ²⁵
12/27/21	13.000	584.96	Various	934.00	...	-349.04	Total of 2 transactions
INTC 12/31/2021 CALL \$55.00 / CUSIP: / Symbol:							
<i>5 transactions for 12/22/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	9.98	12/08/21	225.00	...	-215.02	1 of 5 - Option sale to close-call ²⁵
	2.000	3.98	12/08/21	90.00	...	-86.02	2 of 5 - Option sale to close-call ²⁵
	1.000	1.98	12/08/21	45.00	...	-43.02	3 of 5 - Option sale to close-call ²⁵
	1.000	1.98	12/08/21	45.00	...	-43.02	4 of 5 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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INTC 12/31/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.98	12/08/21	45.00	...	-43.02	5 of 5 - Option sale to close-call ²⁵
12/22/21	10.000	19.90	Various	450.00	...	-430.10	Total of 5 transactions
LAZR 02/05/2021 CALL \$38.00 / CUSIP: / Symbol:							
02/01/21	1.000	39.98	01/27/21	410.00	...	-370.02	Option sale to close-call ²⁵
LCID 11/05/2021 CALL \$32.00 / CUSIP: / Symbol:							
2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	429.98	10/26/21	61.00	...	368.98	1 of 2 - Option sale to close-call ²⁵
	5.000	2,099.97	10/26/21	305.00	...	1,794.97	2 of 2 - Option sale to close-call ²⁵
10/28/21	6.000	2,529.95	Various	366.00	...	2,163.95	Total of 2 transactions
LCID 11/12/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/10/21	1.000	98.98	10/29/21	390.00	...	-291.02	Option sale to close-call ²⁵
LCID 12/31/2021 CALL \$45.00 / CUSIP: / Symbol:							
4 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	8.000	23.97	12/23/21	248.00	...	-224.03	1 of 4 - Option sale to close-call ²⁵
	5.000	14.98	12/23/21	155.00	...	-140.02	2 of 4 - Option sale to close-call ²⁵
	1.000	2.98	12/23/21	31.00	...	-28.02	3 of 4 - Option sale to close-call ²⁵
	1.000	2.98	12/23/21	31.00	...	-28.02	4 of 4 - Option sale to close-call ²⁵
12/29/21	15.000	44.91	Various	465.00	...	-420.09	Total of 4 transactions
LMND 11/12/2021 CALL \$68.00 / CUSIP: / Symbol:							
11/10/21	5.000	399.98	11/09/21	280.00	...	119.98	Option sale to close-call ²⁵
MRNA 09/10/2021 CALL \$490.00 / CUSIP: / Symbol:							
09/09/21	1.000	74.98	09/09/21	60.00	...	14.98	Option sale to close-call ²⁵
MRNA 10/22/2021 CALL \$375.00 / CUSIP: / Symbol:							
4 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	1.000	0.98	10/14/21	216.00	...	-215.02	1 of 4 - Option sale to close-call ²⁵
	1.000	4.98	10/14/21	216.00	...	-211.02	2 of 4 - Option sale to close-call ²⁵

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MRNA 10/22/2021 CALL \$375.00 / CUSIP: / Symbol: (cont'd)							
	1.000	4.98	10/14/21	216.00	...	-211.02	3 of 4 - Option sale to close-call ²⁵
	1.000	4.98	10/14/21	216.00	...	-211.02	4 of 4 - Option sale to close-call ²⁵
10/22/21	4.000	15.92	Various	864.00	...	-848.08	Total of 4 transactions
MRNA 10/29/2021 CALL \$375.00 / CUSIP: / Symbol:							
10/18/21	3.000	791.98	10/15/21	570.00	...	221.98	Option sale to close-call ²⁵
10/26/21	1.000	263.98	10/20/21	177.00	...	86.98	Option sale to close-call ²⁵
	Security total:	1,055.96		747.00	...	308.96	
MRNA 10/29/2021 CALL \$380.00 / CUSIP: / Symbol:							
10/29/21	1.000	0.98	10/19/21	230.00	...	-229.02	Option sale to close-call ²⁵
MRNA 11/05/2021 CALL \$405.00 / CUSIP: / Symbol:							
11/04/21	2.000	5.98	11/02/21	202.00	...	-196.02	Option sale to close-call ²⁵
MSFT 01/14/2022 CALL \$345.00 / CUSIP: / Symbol:							
12/29/21	2.000	1,049.98	12/28/21	870.00	...	179.98	Option sale to close-call ²⁵
12/30/21	1.000	349.98	12/28/21	435.00	...	-85.02	Option sale to close-call ²⁵
	Security total:	1,399.96		1,305.00	...	94.96	
MSFT 01/28/2022 CALL \$310.00 / CUSIP: / Symbol:							
12/27/21	1.000	3,349.97	12/14/21	2,400.00	...	949.97	Option sale to close-call ²⁵
MSFT 01/29/2021 CALL \$237.50 / CUSIP: / Symbol:							
01/27/21	1.000	429.98	01/25/21	215.00	...	214.98	Option sale to close-call ²⁵
MSFT 05/07/2021 CALL \$270.00 / CUSIP: / Symbol:							
	2 transactions for 05/05/21. Total proceeds and cost reported to the IRS.						
	1.000	1.98	04/26/21	198.00	...	-196.02	1 of 2 - Option sale to close-call ²⁵
	1.000	1.98	04/26/21	198.00	...	-196.02	2 of 2 - Option sale to close-call ²⁵
05/05/21	2.000	3.96	Various	396.00	...	-392.04	Total of 2 transactions
	2 transactions for 05/05/21. Total proceeds and cost reported to the IRS.						
	1.000	1.98	04/26/21	198.00	196.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	1.98	04/26/21	394.02	392.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/05/21	2.000	3.96	Various	592.02	588.06 W	0.00	Total of 2 transactions

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MSFT 05/07/2021 CALL \$270.00 / CUSIP: / Symbol: (cont'd)							
05/07/21	1.000	0.98	04/26/21	590.04	...	-589.06	Option sale to close-call ²⁵
	Security total:	8.90		1,578.06	588.06 W	-981.10	
MSFT 10/29/2021 CALL \$322.50 / CUSIP: / Symbol:							
10/27/21	1.000	119.98	10/26/21	62.00	...	57.98	Option sale to close-call ²⁵
MSFT 10/29/2021 CALL \$330.00 / CUSIP: / Symbol:							
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	1.000	24.98	10/26/21	20.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	4.000	99.98	10/26/21	80.00	...	19.98	2 of 2 - Option sale to close-call ²⁵
10/27/21	5.000	124.96	Various	100.00	...	24.96	Total of 2 transactions
MSFT 12/17/2021 CALL \$350.00 / CUSIP: / Symbol:							
3 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	1.000	91.98	12/07/21	47.00	...	44.98	1 of 3 - Option sale to close-call ²⁵
	4.000	367.98	12/07/21	188.00	...	179.98	2 of 3 - Option sale to close-call ²⁵
	10.000	919.97	12/07/21	470.00	...	449.97	3 of 3 - Option sale to close-call ²⁵
12/10/21	15.000	1,379.93	Various	705.00	...	674.93	Total of 3 transactions
MSFT 12/23/2021 CALL \$342.50 / CUSIP: / Symbol:							
12/22/21	3.000	5.98	12/13/21	1,470.00	...	-1,464.02	Option sale to close-call ²⁵
MSFT 12/23/2021 CALL \$352.50 / CUSIP: / Symbol:							
3 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	1.000	123.98	12/08/21	64.00	...	59.98	1 of 3 - Option sale to close-call ²⁵
	2.000	247.99	12/08/21	128.00	...	119.99	2 of 3 - Option sale to close-call ²⁵
	2.000	247.99	12/08/21	128.00	...	119.99	3 of 3 - Option sale to close-call ²⁵
12/10/21	5.000	619.96	Various	320.00	...	299.96	Total of 3 transactions
2 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	4.000	3.98	12/08/21	256.00	...	-252.02	1 of 2 - Option sale to close-call ²⁵
	1.000	0.98	12/08/21	64.00	...	-63.02	2 of 2 - Option sale to close-call ²⁵
12/21/21	5.000	4.96	Various	320.00	...	-315.04	Total of 2 transactions
	Security total:	624.92		640.00	...	-15.08	

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MSFT 12/31/2021 CALL \$325.00 / CUSIP: / Symbol:							
12/10/21	1.000	1,709.98	12/09/21	1,310.00	...	399.98	Option sale to close-call ²⁵
NFLX 03/19/2021 CALL \$527.50 / CUSIP: / Symbol:							
03/19/21	1.000	11.98	03/18/21	59.00	...	-47.02	Option sale to close-call ²⁵
NFLX 05/21/2021 CALL \$600.00 / CUSIP: / Symbol:							
2 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	1.000	9.98	04/21/21	150.01	...	-140.03	1 of 2 - Option sale to close-call ²⁵
	1.000	9.99	04/21/21	80.00	...	-70.01	2 of 2 - Option sale to close-call ²⁵
05/10/21	2.000	19.97	Various	230.01	...	-210.04	Total of 2 transactions
05/10/21	1.000	9.99	04/21/21	80.00	70.01 W	0.00	Option sale to close-call ²⁵
	Security total:	29.96		310.01	70.01 W	-210.04	
NFLX 10/29/2021 CALL \$700.00 / CUSIP: / Symbol:							
15 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	1.000	99.98	10/20/21	47.00	...	52.98	1 of 15 - Option sale to close-call ²⁵
	1.000	99.98	10/20/21	47.00	...	52.98	2 of 15 - Option sale to close-call ²⁵
	1.000	99.98	10/20/21	47.00	...	52.98	3 of 15 - Option sale to close-call ²⁵
	1.000	99.99	10/20/21	47.00	...	52.99	4 of 15 - Option sale to close-call ²⁵
	1.000	99.99	10/20/21	47.00	...	52.99	5 of 15 - Option sale to close-call ²⁵
	1.000	100.00	10/20/21	47.00	...	53.00	6 of 15 - Option sale to close-call ²⁵
	1.000	100.00	10/20/21	47.00	...	53.00	7 of 15 - Option sale to close-call ²⁵
	1.000	100.00	10/20/21	47.00	...	53.00	8 of 15 - Option sale to close-call ²⁵
	1.000	100.00	10/20/21	47.00	...	53.00	9 of 15 - Option sale to close-call ²⁵
	1.000	100.00	10/20/21	47.00	...	53.00	10 of 15 - Option sale to close-call ²⁵

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(continued)

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NFLX 10/29/2021 CALL \$700.00 / CUSIP: / Symbol: (cont'd)							
	1.000	100.00	10/20/21	47.00	...	53.00	11 of 15 - Option sale to close-call ²⁵
	1.000	100.00	10/20/21	47.00	...	53.00	12 of 15 - Option sale to close-call ²⁵
	1.000	100.00	10/20/21	47.00	...	53.00	13 of 15 - Option sale to close-call ²⁵
	2.000	199.99	10/20/21	94.00	...	105.99	14 of 15 - Option sale to close-call ²⁵
	5.000	499.98	10/20/21	235.00	...	264.98	15 of 15 - Option sale to close-call ²⁵
10/21/21	20.000	1,999.89	Various	940.00	...	1,059.89	Total of 15 transactions
NIO 05/07/2021 CALL \$40.00 / CUSIP: / Symbol:							
04/19/21	5.000	719.98	04/14/21	970.00	...	-250.02	Option sale to close-call ²⁵
NIO 05/14/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	1.000	263.00	04/20/21	195.00	...	68.00	1 of 2 - Option sale to close-call ²⁵
	4.000	1,051.98	04/20/21	780.00	...	271.98	2 of 2 - Option sale to close-call ²⁵
04/22/21	5.000	1,314.98	Various	975.00	...	339.98	Total of 2 transactions
NIO 05/14/2021 CALL \$50.00 / CUSIP: / Symbol:							
05/13/21	2.000	1.98	04/26/21	176.00	...	-174.02	Option sale to close-call ²⁵
05/14/21	3.000	0.00	04/26/21	264.00	...	-264.00	Option expiration- long call
	Security total:	1.98		440.00	...	-438.02	
NIO 10/29/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	14.000	209.96	10/19/21	378.00	...	-168.04	1 of 2 - Option sale to close-call ²⁵
	1.000	15.00	10/19/21	23.00	...	-8.00	2 of 2 - Option sale to close-call ²⁵
10/25/21	15.000	224.96	Various	401.00	...	-176.04	Total of 2 transactions

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Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO 11/05/2021 CALL \$42.00 / CUSIP: / Symbol:							
10/26/21	11.000	2,056.96	10/19/21	1,001.00	...	1,055.96	Option sale to close-call ²⁵
<i>3 transactions for 10/27/21. Total proceeds and cost reported to the IRS.</i>							
	4.000	171.98	10/19/21	364.00	...	-192.02	1 of 3 - Option sale to close-call ²⁵
	3.000	128.98	10/19/21	273.00	...	-144.02	2 of 3 - Option sale to close-call ²⁵
	1.000	42.98	10/19/21	91.00	...	-48.02	3 of 3 - Option sale to close-call ²⁵
10/27/21	8.000	343.94	Various	728.00	...	-384.06	Total of 3 transactions
11/04/21	3.000	374.98	10/19/21	273.00	...	101.98	Option sale to close-call ²⁵
	Security total:	2,775.88		2,002.00	...	773.88	
NIO 11/05/2021 CALL \$43.00 / CUSIP: / Symbol:							
10/29/21	2.000	61.98	10/29/21	72.00	...	-10.02	Option sale to close-call ²⁵
NIO 11/05/2021 CALL \$45.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	79.99	10/19/21	210.00	...	-130.01	1 of 2 - Option sale to close-call ²⁵
	2.000	31.99	10/20/21	72.00	...	-40.01	2 of 2 - Option sale to close-call ²⁵
10/29/21	7.000	111.98	Various	282.00	...	-170.02	Total of 2 transactions
NIO 11/12/2021 CALL \$43.00 / CUSIP: / Symbol:							
11/05/21	5.000	964.98	10/19/21	500.00	...	464.98	Option sale to close-call ²⁵
11/12/21	1.000	18.98	10/19/21	100.00	...	-81.02	Option sale to close-call ²⁵
	Security total:	983.96		600.00	...	383.96	
NIO 11/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
10/29/21	10.000	499.97	10/22/21	420.00	...	79.97	Option sale to close-call ²⁵
NIO 11/12/2021 CALL \$50.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/10/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	14.98	11/05/21	145.00	...	-130.02	1 of 2 - Option sale to close-call ²⁵
	1.000	3.00	11/05/21	29.00	...	-26.00	2 of 2 - Option sale to close-call ²⁵
11/10/21	6.000	17.98	Various	174.00	...	-156.02	Total of 2 transactions
NIO 12/03/2021 CALL \$40.00 / CUSIP: / Symbol:							
12/02/21	1.000	3.98	12/01/21	38.00	...	-34.02	Option sale to close-call ²⁵

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(continued)

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NIO 12/17/2021 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	5.000	69.98	11/12/21	340.00	...	-270.02	1 of 2 - Option sale to close-call ²⁵
	2.000	25.98	11/18/21	174.01	...	-148.03	2 of 2 - Option sale to close-call ²⁵
12/02/21	7.000	95.96	Various	514.01	...	-418.05	Total of 2 transactions
12/02/21	4.000	55.99	11/12/21	272.00	108.01 W	-108.00	Option sale to close-call ²⁵
	Security total:	151.95		786.01	108.01 W	-526.05	
NIO 12/17/2021 CALL \$60.00 / CUSIP: / Symbol:							
3 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	9.000	89.97	11/24/21	396.00	...	-306.03	1 of 3 - Option sale to close-call ²⁵
	1.000	8.98	11/24/21	79.01	...	-70.03	2 of 3 - Option sale to close-call ²⁵
	1.000	8.99	11/24/21	44.00	...	-35.01	3 of 3 - Option sale to close-call ²⁵
12/02/21	11.000	107.94	Various	519.01	...	-411.07	Total of 3 transactions
12/02/21	1.000	8.99	11/24/21	44.00	35.01 W	0.00	Option sale to close-call ²⁵
	Security total:	116.93		563.01	35.01 W	-411.07	
NIO 12/23/2021 CALL \$39.00 / CUSIP: / Symbol:							
3 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	12/02/21	294.00	...	-294.00	1 of 3 - Option expiration- long call
	1.000	0.00	12/02/21	147.00	...	-147.00	2 of 3 - Option expiration- long call
	1.000	0.00	12/02/21	147.00	...	-147.00	3 of 3 - Option expiration- long call
12/23/21	4.000	0.00	Various	588.00	...	-588.00	Total of 3 transactions
NIO 12/23/2021 CALL \$40.00 / CUSIP: / Symbol:							
12/21/21	5.000	4.98	12/06/21	450.00	...	-445.02	Option sale to close-call ²⁵
NIO 12/31/2021 CALL \$36.00 / CUSIP: / Symbol:							
12/03/21	10.000	1,859.97	12/02/21	3,000.00	...	-1,140.03	Option sale to close-call ²⁵
NIO 12/31/2021 CALL \$40.00 / CUSIP: / Symbol:							
4 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	6.000	11.98	12/17/21	132.00	...	-120.02	1 of 4 - Option sale to close-call ²⁵
	5.000	9.98	12/17/21	110.00	...	-100.02	2 of 4 - Option sale to close-call ²⁵

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(continued)

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NIO 12/31/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.98	12/17/21	22.00	...	-20.02	3 of 4 - Option sale to close-call ²⁵
	1.000	1.98	12/17/21	22.00	...	-20.02	4 of 4 - Option sale to close-call ²⁵
12/27/21	13.000	25.92	Various	286.00	...	-260.08	Total of 4 transactions
NKE 10/15/2021 CALL \$160.00 / CUSIP: / Symbol:							
3 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	5.000	14.99	10/14/21	130.00	...	-115.01	1 of 3 - Option sale to close-call ²⁵
	4.000	11.98	10/14/21	104.00	...	-92.02	2 of 3 - Option sale to close-call ²⁵
	1.000	3.00	10/14/21	26.00	...	-23.00	3 of 3 - Option sale to close-call ²⁵
10/15/21	10.000	29.97	Various	260.00	...	-230.03	Total of 3 transactions
NOK 01/29/2021 CALL \$5.00 / CUSIP: / Symbol:							
01/27/21	1.000	71.98	01/26/21	54.00	...	17.98	Option sale to close-call ²⁵
NOK 01/29/2021 CALL \$5.50 / CUSIP: / Symbol:							
01/28/21	1.000	43.98	01/27/21	75.00	...	-31.02	Option sale to close-call ²⁵
NVDA 01/07/2022 CALL \$290.00 / CUSIP: / Symbol:							
2 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	1.000	2,479.98	12/15/21	1,495.00	...	984.98	1 of 2 - Option sale to close-call ²⁵
	1.000	2,479.98	12/15/21	1,495.00	...	984.98	2 of 2 - Option sale to close-call ²⁵
12/15/21	2.000	4,959.96	Various	2,990.00	...	1,969.96	Total of 2 transactions
12/27/21	1.000	2,279.97	12/15/21	1,495.00	...	784.97	Option sale to close-call ²⁵
	Security total:	7,239.93		4,485.00	...	2,754.93	
NVDA 01/14/2022 CALL \$307.50 / CUSIP: / Symbol:							
12/29/21	1.000	804.98	12/28/21	1,000.00	...	-195.02	Option sale to close-call ²⁵
NVDA 04/16/2021 CALL \$795.00 / CUSIP: / Symbol:							
03/29/21	1.000	12.98	03/08/21	26.00	...	-13.02	Option sale to close-call ²⁵

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NVDA 11/19/2021 CALL \$350.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	174.98	11/17/21	89.00	...	85.98	1 of 2 - Option sale to close-call ²⁵
	1.000	174.98	11/17/21	89.00	...	85.98	2 of 2 - Option sale to close-call ²⁵
11/18/21	2.000	349.96	Various	178.00	...	171.96	Total of 2 transactions
11/19/21	1.000	10.98	11/17/21	89.00	...	-78.02	Option sale to close-call ²⁵
	Security total:	360.94		267.00	...	93.94	
ONEM 11/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
11/04/21	2.000	189.98	11/03/21	90.00	...	99.98	Option sale to close-call ²⁵
ORCL 03/12/2021 CALL \$78.00 / CUSIP: / Symbol:							
03/12/21	1.000	0.00	03/09/21	38.00	...	-38.00	Option expiration- long call
ORCL 10/29/2021 CALL \$105.00 / CUSIP: / Symbol:							
10/29/21	9.000	0.00	10/13/21	180.00	...	-180.00	Option expiration- long call
PDD 01/21/2022 CALL \$100.00 / CUSIP: / Symbol:							
09/17/21	2.000	2,499.97	09/14/21	2,910.00	...	-410.03	Option sale to close-call ²⁵
PDD 03/12/2021 CALL \$162.50 / CUSIP: / Symbol:							
03/11/21	1.000	217.98	03/08/21	47.00	...	170.98	Option sale to close-call ²⁵
PDD 03/19/2021 CALL \$197.50 / CUSIP: / Symbol:							
03/17/21	1.000	6.98	03/16/21	82.00	...	-75.02	Option sale to close-call ²⁵
PDD 09/24/2021 CALL \$120.00 / CUSIP: / Symbol:							
09/17/21	1.000	1.98	09/13/21	36.00	...	-34.02	Option sale to close-call ²⁵
PEP 11/12/2021 CALL \$165.00 / CUSIP: / Symbol:							
11/09/21	10.000	419.97	11/08/21	300.00	...	119.97	Option sale to close-call ²⁵
11/10/21	5.000	304.98	11/08/21	150.00	...	154.98	Option sale to close-call ²⁵
	Security total:	724.95		450.00	...	274.95	
PFE 01/22/2021 CALL \$42.50 / CUSIP: / Symbol:							
01/13/21	1.000	2.98	12/11/20	213.00	...	-210.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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PINS 10/29/2021 CALL \$72.00 / CUSIP: / Symbol:							
4 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	10.000	0.00	10/21/21	270.00	...	-270.00	1 of 4 - Option expiration- long call
	7.000	0.00	10/21/21	189.00	...	-189.00	2 of 4 - Option expiration- long call
	2.000	0.00	10/21/21	54.00	...	-54.00	3 of 4 - Option expiration- long call
	1.000	0.00	10/21/21	27.00	...	-27.00	4 of 4 - Option expiration- long call
10/29/21	20.000	0.00	Various	540.00	...	-540.00	Total of 4 transactions
PLTR 06/04/2021 CALL \$20.00 / CUSIP: / Symbol:							
05/21/21	1.000	139.98	05/13/21	69.00	...	70.98	Option sale to close-call ²⁵
05/27/21	4.000	879.98	05/13/21	276.00	...	603.98	Option sale to close-call ²⁵
	Security total:	1,019.96		345.00	...	674.96	
PLTR 06/04/2021 CALL \$25.00 / CUSIP: / Symbol:							
05/27/21	1.000	6.98	05/20/21	9.00	...	-2.02	Option sale to close-call ²⁵
PLTR 06/18/2021 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	1.000	191.98	05/27/21	65.00	...	126.98	1 of 2 - Option sale to close-call ²⁵
	1.000	191.98	05/27/21	65.00	...	126.98	2 of 2 - Option sale to close-call ²⁵
06/07/21	2.000	383.96	Various	130.00	...	253.96	Total of 2 transactions
06/08/21	3.000	527.98	05/27/21	195.00	...	332.98	Option sale to close-call ²⁵
	Security total:	911.94		325.00	...	586.94	
PLTR 07/02/2021 CALL \$27.50 / CUSIP: / Symbol:							
06/18/21	6.000	245.98	06/08/21	354.00	...	-108.02	Option sale to close-call ²⁵
PLTR 07/02/2021 CALL \$31.00 / CUSIP: / Symbol:							
06/18/21	1.000	10.98	06/07/21	19.00	...	-8.02	Option sale to close-call ²⁵
PLTR 08/20/2021 CALL \$50.00 / CUSIP: / Symbol:							
05/18/21	1.000	10.98	05/17/21	11.00	...	-0.02	Option sale to close-call ²⁵
PLTR 08/20/2021 CALL \$60.00 / CUSIP: / Symbol:							
05/18/21	1.000	6.98	04/26/21	21.00	...	-14.02	Option sale to close-call ²⁵

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(continued)

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PLTR 11/05/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	8.000	447.97	10/19/21	672.00	...	-224.03	1 of 2 - Option sale to close-call ²⁵
	2.000	111.98	10/19/21	168.00	...	-56.02	2 of 2 - Option sale to close-call ²⁵
10/20/21	10.000	559.95	Various	840.00	...	-280.05	Total of 2 transactions
PLTR 11/05/2021 CALL \$27.00 / CUSIP: / Symbol:							
3 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	1.000	29.98	10/21/21	20.00	...	9.98	1 of 3 - Option sale to close-call ²⁵
	1.000	29.98	10/21/21	20.00	...	9.98	2 of 3 - Option sale to close-call ²⁵
	3.000	89.98	10/21/21	60.00	...	29.98	3 of 3 - Option sale to close-call ²⁵
10/26/21	5.000	149.94	Various	100.00	...	49.94	Total of 3 transactions
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	3.000	65.98	10/21/21	60.00	...	5.98	1 of 2 - Option sale to close-call ²⁵
	7.000	153.98	10/21/21	140.00	...	13.98	2 of 2 - Option sale to close-call ²⁵
10/27/21	10.000	219.96	Various	200.00	...	19.96	Total of 2 transactions
11/02/21	5.000	69.98	10/21/21	100.00	...	-30.02	Option sale to close-call ²⁵
	Security total:	439.88		400.00	...	39.88	
PLTR 11/12/2021 CALL \$28.00 / CUSIP: / Symbol:							
5 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	1.00	10/28/21	107.01	...	-106.01	1 of 5 - Option sale to close-call ²⁵
	1.000	0.99	10/28/21	107.00	...	-106.01	2 of 5 - Option sale to close-call ²⁵
	1.000	1.00	10/28/21	107.01	...	-106.01	3 of 5 - Option sale to close-call ²⁵
	1.000	1.00	10/28/21	107.00	...	-106.00	4 of 5 - Option sale to close-call ²⁵
	1.000	0.99	10/28/21	54.00	...	-53.01	5 of 5 - Option sale to close-call ²⁵
11/10/21	5.000	4.98	Various	482.02	...	-477.04	Total of 5 transactions
4 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	1.00	10/28/21	54.00	53.00 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	0.99	10/28/21	54.00	53.01 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	1.00	10/28/21	54.00	53.00 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	0.99	10/28/21	54.00	53.01 W	0.00	4 of 4 - Option sale to close-call ²⁵
11/10/21	4.000	3.98	Various	216.00	212.02 W	0.00	Total of 4 transactions
	Security total:	8.96		698.02	212.02 W	-477.04	

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PLTR 12/23/2021 CALL \$20.50 / CUSIP: / Symbol:							
12/21/21	10.000	9.97	12/09/21	520.00	...	-510.03	Option sale to close-call ²⁵
PLTR 12/31/2021 CALL \$21.00 / CUSIP: / Symbol:							
12/07/21	10.000	519.97	12/06/21	410.00	...	109.97	Option sale to close-call ²⁵
12/28/21	3.000	2.98	12/06/21	123.00	...	-120.02	Option sale to close-call ²⁵
	Security total:	522.95		533.00	...	-10.05	
PLUG 01/08/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/08/21	1.000	233.98	01/07/21	58.00	...	175.98	Option sale to close-call ²⁵
PLUG 01/15/2021 CALL \$71.00 / CUSIP: / Symbol:							
01/14/21	1.000	58.98	01/13/21	342.00	...	-283.02	Option sale to close-call ²⁵
PLUG 11/05/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/01/21	2.000	423.98	10/28/21	168.00	...	255.98	Option sale to close-call ²⁵
PLUG 11/12/2021 CALL \$39.00 / CUSIP: / Symbol:							
	2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.						
	2.000	339.98	10/26/21	190.00	...	149.98	1 of 2 - Option sale to close-call ²⁵
	2.000	339.98	10/26/21	190.00	...	149.98	2 of 2 - Option sale to close-call ²⁵
10/28/21	4.000	679.96	Various	380.00	...	299.96	Total of 2 transactions
PROG 11/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
	2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.						
	1.000	109.98	10/12/21	88.00	...	21.98	1 of 2 - Option sale to close-call ²⁵
	2.000	219.98	10/12/21	176.00	...	43.98	2 of 2 - Option sale to close-call ²⁵
10/19/21	3.000	329.96	Various	264.00	...	65.96	Total of 2 transactions
	3 transactions for 10/22/21. Total proceeds and cost reported to the IRS.						
	1.000	94.98	10/20/21	75.00	...	19.98	1 of 3 - Option sale to close-call ²⁵
	1.000	94.99	10/20/21	75.00	...	19.99	2 of 3 - Option sale to close-call ²⁵
	2.000	189.99	10/20/21	150.00	...	39.99	3 of 3 - Option sale to close-call ²⁵
10/22/21	4.000	379.96	Various	300.00	...	79.96	Total of 3 transactions
10/25/21	3.000	314.98	10/20/21	225.00	...	89.98	Option sale to close-call ²⁵
10/26/21	2.000	299.98	10/20/21	150.00	...	149.98	Option sale to close-call ²⁵
	Security total:	1,324.88		939.00	...	385.88	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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PROG 11/19/2021 CALL \$3.00 / CUSIP: / Symbol: 10/19/21	5.000	449.98	10/18/21	300.00	...	149.98	Option sale to close-call ²⁵
PROG 11/26/2021 CALL \$7.50 / CUSIP: / Symbol: 11/26/21	1.000	24.98	11/26/21	0.00	...	24.98	Short sale closed- call expired Option written: 11/19/21
PYPL 10/29/2021 CALL \$262.50 / CUSIP: / Symbol: 10/27/21	4.000	39.98	10/21/21	600.00	...	-560.02	Option sale to close-call ²⁵
PYPL 12/23/2021 CALL \$235.00 / CUSIP: / Symbol: 12/21/21	3.000	2.98	11/23/21	210.00	...	-207.02	Option sale to close-call ²⁵
PYPL 12/31/2021 CALL \$235.00 / CUSIP: / Symbol: <i>2 transactions for 12/28/21. Total proceeds and cost reported to the IRS.</i>	<i>8.000</i>	<i>7.97</i>	<i>12/08/21</i>	<i>416.00</i>	<i>...</i>	<i>-408.03</i>	<i>1 of 2 - Option sale to close-call ²⁵</i>
	<i>2.000</i>	<i>1.98</i>	<i>12/08/21</i>	<i>104.00</i>	<i>...</i>	<i>-102.02</i>	<i>2 of 2 - Option sale to close-call ²⁵</i>
12/28/21	10.000	9.95	Various	520.00	...	-510.05	Total of 2 transactions
QQQ 12/08/2021 CALL \$387.00 / CUSIP: / Symbol: 12/07/21	2.000	2,199.97	12/03/21	888.00	...	1,311.97	Option sale to close-call ²⁵
QQQ 12/13/2021 CALL \$398.00 / CUSIP: / Symbol: 12/09/21	5.000	1,819.98	12/08/21	1,690.00	...	129.98	Option sale to close-call ²⁵
RIVN 01/21/2022 CALL \$115.00 / CUSIP: / Symbol: 12/29/21	5.000	1,099.98	12/27/21	2,500.00	...	-1,400.02	Option sale to close-call ²⁵
RIVN 11/19/2021 CALL \$220.00 / CUSIP: / Symbol: 11/19/21	1.000	0.00	11/16/21	110.00	...	-110.00	Option expiration- long call
RKT 03/19/2021 CALL \$46.89 / CUSIP: / Symbol: 03/16/21	1.000	5.98	03/02/21	880.00	...	-874.02	Option sale to close-call ²⁵
ROKU 04/09/2021 CALL \$400.00 / CUSIP: / Symbol: 04/06/21	1.000	34.98	03/24/21	255.00	...	-220.02	Option sale to close-call ²⁵

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S 12/17/2021 CALL \$75.00 / CUSIP: / Symbol:							
12/17/21	3.000	0.00	12/07/21	255.00	...	-255.00	Option expiration- long call
SHPW 11/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
3 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	1.000	134.98	10/20/21	110.00	...	24.98	1 of 3 - Option sale to close-call ²⁵
	2.000	269.98	10/20/21	220.00	...	49.98	2 of 3 - Option sale to close-call ²⁵
	2.000	269.98	10/20/21	220.00	...	49.98	3 of 3 - Option sale to close-call ²⁵
10/20/21	5.000	674.94	Various	550.00	...	124.94	Total of 3 transactions
4 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	1.000	179.98	10/20/21	100.00	...	79.98	1 of 4 - Option sale to close-call ²⁵
	1.000	179.98	10/20/21	100.00	...	79.98	2 of 4 - Option sale to close-call ²⁵
	1.000	179.99	10/20/21	100.00	...	79.99	3 of 4 - Option sale to close-call ²⁵
	2.000	359.99	10/20/21	210.00	...	149.99	4 of 4 - Option sale to close-call ²⁵
10/21/21	5.000	899.94	Various	510.00	...	389.94	Total of 4 transactions
	Security total:	1,574.88		1,060.00	...	514.88	
SLV 07/16/2021 CALL \$28.00 / CUSIP: / Symbol:							
2 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	1.000	36.98	02/01/21	389.00	...	-352.02	1 of 2 - Option sale to close-call ²⁵
	1.000	36.98	02/01/21	389.00	...	-352.02	2 of 2 - Option sale to close-call ²⁵
03/29/21	2.000	73.96	Various	778.00	...	-704.04	Total of 2 transactions
SNAP 11/05/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	19.000	113.96	10/28/21	665.00	...	-551.04	1 of 2 - Option sale to close-call ²⁵
	3.000	17.99	10/28/21	192.02	...	-174.03	2 of 2 - Option sale to close-call ²⁵
11/02/21	22.000	131.95	Various	857.02	...	-725.07	Total of 2 transactions
11/02/21	3.000	17.98	10/28/21	105.00	87.02 W	0.00	Option sale to close-call ²⁵
	Security total:	149.93		962.02	87.02 W	-725.07	
SNAP 11/12/2021 CALL \$59.00 / CUSIP: / Symbol:							
10/27/21	2.000	107.98	10/25/21	270.00	...	-162.02	Option sale to close-call ²⁵

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SNAP 11/12/2021 CALL \$60.00 / CUSIP: / Symbol:							
11/10/21	15.000	44.96	11/01/21	465.00	...	-420.04	Option sale to close-call ²⁵
SNAP 11/26/2021 CALL \$53.00 / CUSIP: / Symbol:							
2 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	9.000	0.00	11/23/21	207.00	...	-207.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/23/21	23.00	...	-23.00	2 of 2 - Option expiration- long call
11/26/21	10.000	0.00	Various	230.00	...	-230.00	Total of 2 transactions
SNAP 11/26/2021 CALL \$60.00 / CUSIP: / Symbol:							
11/23/21	10.000	19.97	11/17/21	450.00	...	-430.03	Option sale to close-call ²⁵
SNAP 12/03/2021 CALL \$60.00 / CUSIP: / Symbol:							
11/30/21	1.000	0.98	11/23/21	11.00	...	-10.02	Option sale to close-call ²⁵
SNDL 03/12/2021 CALL \$1.50 / CUSIP: / Symbol:							
03/12/21	1.000	0.00	03/11/21	8.00	...	-8.00	Option expiration- long call
SNDL 03/19/2021 CALL \$1.50 / CUSIP: / Symbol:							
03/16/21	1.000	18.98	03/11/21	18.00	...	0.98	Option sale to close-call ²⁵
SOFI 10/15/2021 CALL \$20.00 / CUSIP: / Symbol:							
5 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	1.000	20.00	10/12/21	14.00	...	6.00	1 of 5 - Option sale to close-call ²⁵
	1.000	20.00	10/12/21	14.00	...	6.00	2 of 5 - Option sale to close-call ²⁵
	1.000	20.00	10/12/21	14.00	...	6.00	3 of 5 - Option sale to close-call ²⁵
	3.000	59.98	10/12/21	42.00	...	17.98	4 of 5 - Option sale to close-call ²⁵
	3.000	59.98	10/12/21	42.00	...	17.98	5 of 5 - Option sale to close-call ²⁵
10/13/21	9.000	179.96	Various	126.00	...	53.96	Total of 5 transactions
8 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	2.000	3.98	10/12/21	28.00	...	-24.02	1 of 8 - Option sale to close-call ²⁵
	1.000	1.98	10/12/21	14.00	...	-12.02	2 of 8 - Option sale to close-call ²⁵
	1.000	1.98	10/12/21	14.00	...	-12.02	3 of 8 - Option sale to close-call ²⁵
	1.000	1.98	10/12/21	14.00	...	-12.02	4 of 8 - Option sale to close-call ²⁵
	1.000	1.98	10/12/21	14.00	...	-12.02	5 of 8 - Option sale to close-call ²⁵
	1.000	1.98	10/12/21	14.00	...	-12.02	6 of 8 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SOFI 10/15/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.98	10/12/21	14.00	...	-12.02	7 of 8 - Option sale to close-call ²⁵
	1.000	1.98	10/12/21	14.00	...	-12.02	8 of 8 - Option sale to close-call ²⁵
10/15/21	9.000	17.84	Various	126.00	...	-108.16	Total of 8 transactions
	Security total:	197.80		252.00	...	-54.20	
SOFI 11/05/2021 CALL \$19.50 / CUSIP: / Symbol:							
10/13/21	3.000	296.98	10/12/21	285.00	...	11.98	Option sale to close-call ²⁵
SOFI 12/03/2021 CALL \$18.00 / CUSIP: / Symbol:							
5 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	1.000	32.98	12/01/21	20.00	...	12.98	1 of 5 - Option sale to close-call ²⁵
	2.000	65.98	12/01/21	40.00	...	25.98	2 of 5 - Option sale to close-call ²⁵
	3.000	98.98	12/01/21	60.00	...	38.98	3 of 5 - Option sale to close-call ²⁵
	5.000	164.98	12/01/21	100.00	...	64.98	4 of 5 - Option sale to close-call ²⁵
	9.000	296.97	12/01/21	180.00	...	116.97	5 of 5 - Option sale to close-call ²⁵
12/01/21	20.000	659.89	Various	400.00	...	259.89	Total of 5 transactions
SOFI 12/17/2021 CALL \$17.00 / CUSIP: / Symbol:							
4 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	2.000	3.98	12/07/21	236.01	...	-232.03	1 of 4 - Option sale to close-call ²⁵
	1.000	1.99	12/07/21	118.01	...	-116.02	2 of 4 - Option sale to close-call ²⁵
	1.000	1.98	12/07/21	118.00	...	-116.02	3 of 4 - Option sale to close-call ²⁵
	1.000	1.99	12/07/21	60.00	...	-58.01	4 of 4 - Option sale to close-call ²⁵
12/14/21	5.000	9.94	Various	532.02	...	-522.08	Total of 4 transactions
2 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	3.000	5.99	12/07/21	180.00	116.01 W	-58.00	1 of 2 - Option sale to close-call ²⁵
	2.000	3.99	12/07/21	120.00	116.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/14/21	5.000	9.98	Various	300.00	232.02 W	-58.00	Total of 2 transactions
	Security total:	19.92		832.02	232.02 W	-580.08	

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Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOFI 12/23/2021 CALL \$18.00 / CUSIP: / Symbol:							
3 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	5.000	14.98	12/07/21	245.00	...	-230.02	1 of 3 - Option sale to close-call ²⁵
	4.000	11.98	12/07/21	196.00	...	-184.02	2 of 3 - Option sale to close-call ²⁵
	1.000	3.98	12/07/21	49.00	...	-45.02	3 of 3 - Option sale to close-call ²⁵
12/15/21	10.000	30.94	Various	490.00	...	-459.06	Total of 3 transactions
SONO 12/31/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	2.000	71.98	11/24/21	66.00	...	5.98	1 of 2 - Option sale to close-call ²⁵
	33.000	1,187.92	11/24/21	1,089.00	...	98.92	2 of 2 - Option sale to close-call ²⁵
11/26/21	35.000	1,259.90	Various	1,155.00	...	104.90	Total of 2 transactions
SOXL 01/21/2022 CALL \$31.00 / CUSIP: / Symbol:							
04/19/21	1.000	1,439.98	03/04/21	1,130.00	...	309.98	Option sale to close-call ²⁵
SOXL 05/21/2021 CALL \$40.00 / CUSIP: / Symbol:							
05/12/21	1.000	9.98	05/03/21	170.00	...	-160.02	Option sale to close-call ²⁵
SPY 01/10/2022 CALL \$470.00 / CUSIP: / Symbol:							
12/23/21	5.000	2,944.97	12/17/21	2,025.00	...	919.97	Option sale to close-call ²⁵
SPY 01/21/2022 CALL \$490.00 / CUSIP: / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	11.000	1,209.97	12/16/21	1,067.00	...	142.97	1 of 2 - Option sale to close-call ²⁵
	12.000	1,319.97	12/16/21	1,164.00	...	155.97	2 of 2 - Option sale to close-call ²⁵
12/27/21	23.000	2,529.94	Various	2,231.00	...	298.94	Total of 2 transactions
SPY 03/03/2021 CALL \$394.00 / CUSIP: / Symbol:							
03/03/21	1.000	0.00	03/02/21	42.00	...	-42.00	Option expiration- long call

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 04/01/2021 CALL \$400.00 / CUSIP: / Symbol:							
3 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	1.000	48.99	04/01/21	48.00	...	0.99	1 of 3 - Option sale to close-call ²⁵
	1.000	49.00	04/01/21	48.00	...	1.00	2 of 3 - Option sale to close-call ²⁵
	3.000	146.99	04/01/21	144.00	...	2.99	3 of 3 - Option sale to close-call ²⁵
04/01/21	5.000	244.98	Various	240.00	...	4.98	Total of 3 transactions
SPY 04/14/2021 CALL \$413.00 / CUSIP: / Symbol:							
04/13/21	5.000	624.98	04/13/21	460.00	...	164.98	Option sale to close-call ²⁵
SPY 04/16/2021 CALL \$415.00 / CUSIP: / Symbol:							
04/15/21	2.000	273.98	04/15/21	192.00	...	81.98	Option sale to close-call ²⁵
SPY 04/21/2021 CALL \$418.00 / CUSIP: / Symbol:							
2 transactions for 04/21/21. Total proceeds and cost reported to the IRS.							
	1.000	0.98	04/19/21	48.00	...	-47.02	1 of 2 - Option sale to close-call ²⁵
	1.000	0.98	04/19/21	48.00	...	-47.02	2 of 2 - Option sale to close-call ²⁵
04/21/21	2.000	1.96	Various	96.00	...	-94.04	Total of 2 transactions
SPY 04/26/2021 CALL \$415.00 / CUSIP: / Symbol:							
2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	2.000	537.98	04/22/21	258.00	...	279.98	1 of 2 - Option sale to close-call ²⁵
	3.000	806.98	04/22/21	387.00	...	419.98	2 of 2 - Option sale to close-call ²⁵
04/26/21	5.000	1,344.96	Various	645.00	...	699.96	Total of 2 transactions
SPY 04/26/2021 CALL \$416.00 / CUSIP: / Symbol:							
04/23/21	5.000	1,074.98	04/22/21	435.00	...	639.98	Option sale to close-call ²⁵
SPY 04/28/2021 CALL \$418.00 / CUSIP: / Symbol:							
04/27/21	1.000	101.98	04/26/21	178.00	...	-76.02	Option sale to close-call ²⁵
04/28/21	4.000	247.98	04/26/21	712.00	...	-464.02	Option sale to close-call ²⁵
	Security total:	349.96		890.00	...	-540.04	
SPY 04/30/2021 CALL \$420.00 / CUSIP: / Symbol:							
04/30/21	2.000	43.98	04/29/21	286.00	...	-242.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPY 05/03/2021 CALL \$420.00 / CUSIP: / Symbol:							
05/03/21	5.000	254.98	04/30/21	220.00	...	34.98	Option sale to close-call ²⁵
SPY 05/12/2021 CALL \$424.00 / CUSIP: / Symbol:							
05/11/21	1.000	1.98	05/10/21	29.00	...	-27.02	Option sale to close-call ²⁵
SPY 06/23/2021 CALL \$424.00 / CUSIP: / Symbol:							
06/23/21	3.000	128.98	06/22/21	111.00	...	17.98	Option sale to close-call ²⁵
SPY 06/25/2021 CALL \$424.00 / CUSIP: / Symbol:							
06/24/21	3.000	488.98	06/18/21	234.00	...	254.98	Option sale to close-call ²⁵
SPY 07/16/2021 CALL \$433.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	289.99	07/08/21	200.00	...	89.99	1 of 2 - Option sale to close-call ²⁵
	1.000	289.99	07/08/21	200.00	...	89.99	2 of 2 - Option sale to close-call ²⁵
07/16/21	2.000	579.98	Various	400.00	...	179.98	Total of 2 transactions
SPY 08/20/2021 PUT \$430.00 / CUSIP: / Symbol:							
08/18/21	1.000	12.98	08/18/21	15.00	...	-2.02	Option sale to close-put ²⁵
SPY 08/23/2021 CALL \$444.00 / CUSIP: / Symbol:							
08/23/21	1.000	408.98	08/20/21	101.00	...	307.98	Option sale to close-call ²⁵
SPY 09/01/2021 CALL \$442.00 / CUSIP: / Symbol:							
08/20/21	5.000	2,294.97	08/17/21	2,725.00	...	-430.03	Option sale to close-call ²⁵
SPY 09/20/2021 CALL \$452.00 / CUSIP: / Symbol:							
09/17/21	1.000	3.98	09/10/21	100.00	...	-96.02	Option sale to close-call ²⁵
SPY 10/01/2021 CALL \$454.00 / CUSIP: / Symbol:							
08/23/21	1.000	341.98	08/17/21	279.00	...	62.98	Option sale to close-call ²⁵
SPY 10/08/2021 CALL \$437.00 / CUSIP: / Symbol:							
10/07/21	2.000	1,037.98	09/28/21	988.00	...	49.98	Option sale to close-call ²⁵
SPY 10/22/2021 CALL \$437.00 / CUSIP: / Symbol:							
10/14/21	1.000	608.98	10/13/21	311.00	...	297.98	Option sale to close-call ²⁵

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SPY 11/12/2021 CALL \$469.00 / CUSIP: / Symbol:							
4 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	2.000	11.99	11/10/21	184.00	...	-172.01	1 of 4 - Option sale to close-call ²⁵
	1.000	5.99	11/10/21	93.00	...	-87.01	2 of 4 - Option sale to close-call ²⁵
	1.000	6.00	11/10/21	93.00	...	-87.00	3 of 4 - Option sale to close-call ²⁵
	1.000	6.00	11/10/21	93.00	...	-87.00	4 of 4 - Option sale to close-call ²⁵
11/12/21	5.000	29.98	Various	463.00	...	-433.02	Total of 4 transactions
SPY 11/19/2021 CALL \$471.00 / CUSIP: / Symbol:							
11/19/21	1.000	4.98	11/18/21	21.00	...	-16.02	Option sale to close-call ²⁵
SPY 11/19/2021 CALL \$473.00 / CUSIP: / Symbol:							
11/19/21	4.000	3.98	11/17/21	116.00	...	-112.02	Option sale to close-call ²⁵
SPY 11/24/2021 CALL \$471.00 / CUSIP: / Symbol:							
11/24/21	1.000	6.98	11/23/21	25.00	...	-18.02	Option sale to close-call ²⁵
SPY 11/24/2021 CALL \$472.00 / CUSIP: / Symbol:							
2 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	9.000	26.97	11/23/21	198.00	...	-171.03	1 of 2 - Option sale to close-call ²⁵
	3.000	8.98	11/23/21	66.00	...	-57.02	2 of 2 - Option sale to close-call ²⁵
11/24/21	12.000	35.95	Various	264.00	...	-228.05	Total of 2 transactions
SPY 11/24/2021 CALL \$475.00 / CUSIP: / Symbol:							
2 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	7.000	384.98	11/19/21	168.00	...	216.98	1 of 2 - Option sale to close-call ²⁵
	8.000	439.98	11/19/21	192.00	...	247.98	2 of 2 - Option sale to close-call ²⁵
11/22/21	15.000	824.96	Various	360.00	...	464.96	Total of 2 transactions
11/23/21	5.000	24.98	11/19/21	120.00	...	-95.02	Option sale to close-call ²⁵
	Security total:	849.94		480.00	...	369.94	
SPY 12/01/2021 CALL \$471.00 / CUSIP: / Symbol:							
12/01/21	1.000	0.00	11/26/21	42.00	...	-42.00	Option expiration- long call

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SPY 12/03/2021 CALL \$467.00 / CUSIP: / Symbol:							
3 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	1.000	101.99	11/30/21	63.00	...	38.99	1 of 3 - Option sale to close-call ²⁵
	1.000	102.00	11/30/21	63.00	...	39.00	2 of 3 - Option sale to close-call ²⁵
	5.000	509.99	11/30/21	315.00	...	194.99	3 of 3 - Option sale to close-call ²⁵
12/01/21	7.000	713.98	Various	441.00	...	272.98	Total of 3 transactions
SPY 12/06/2021 CALL \$470.00 / CUSIP: / Symbol:							
3 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	7.000	314.98	11/30/21	665.00	...	-350.02	1 of 3 - Option sale to close-call ²⁵
	2.000	89.98	11/30/21	190.00	...	-100.02	2 of 3 - Option sale to close-call ²⁵
	1.000	44.98	11/30/21	95.00	...	-50.02	3 of 3 - Option sale to close-call ²⁵
12/01/21	10.000	449.94	Various	950.00	...	-500.06	Total of 3 transactions
SPY 12/08/2021 CALL \$459.00 / CUSIP: / Symbol:							
12/07/21	1.000	947.98	12/03/21	323.00	...	624.98	Option sale to close-call ²⁵
SPY 12/15/2021 CALL \$468.00 / CUSIP: / Symbol:							
2 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	1.000	34.98	12/08/21	457.00	...	-422.02	1 of 2 - Option sale to close-call ²⁵
	1.000	34.98	12/08/21	457.00	...	-422.02	2 of 2 - Option sale to close-call ²⁵
12/14/21	2.000	69.96	Various	914.00	...	-844.04	Total of 2 transactions
SPY 12/23/2021 CALL \$472.00 / CUSIP: / Symbol:							
6 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	6.000	59.98	12/16/21	1,572.00	...	-1,512.02	1 of 6 - Option sale to close-call ²⁵
	5.000	49.98	12/16/21	1,310.00	...	-1,260.02	2 of 6 - Option sale to close-call ²⁵
	4.000	39.98	12/16/21	1,048.00	...	-1,008.02	3 of 6 - Option sale to close-call ²⁵
	2.000	19.98	12/16/21	524.00	...	-504.02	4 of 6 - Option sale to close-call ²⁵
	2.000	19.98	12/16/21	524.00	...	-504.02	5 of 6 - Option sale to close-call ²⁵
	1.000	9.98	12/16/21	262.00	...	-252.02	6 of 6 - Option sale to close-call ²⁵
12/21/21	20.000	199.88	Various	5,240.00	...	-5,040.12	Total of 6 transactions
SPY 12/29/2021 CALL \$462.00 / CUSIP: / Symbol:							
12/23/21	1.000	932.98	12/17/21	560.00	...	372.98	Option sale to close-call ²⁵

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(continued)

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TRIL 01/20/2023 CALL \$20.00 / CUSIP: / Symbol:							
12/17/21	5.000	0.00	09/14/21	125.00	...	-125.00	Option expiration- long call
TRIL 01/20/2023 CALL \$22.50 / CUSIP: / Symbol:							
12/17/21	4.000	0.00	11/05/21	40.00	...	-40.00	Option expiration- long call
TRIL 01/21/2022 CALL \$20.00 / CUSIP: / Symbol:							
	2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.						
	4.000	0.00	08/23/21	140.00	...	-140.00	1 of 2 - Option expiration- long call
	4.000	0.00	08/25/21	72.00	...	-72.00	2 of 2 - Option expiration- long call
12/17/21	8.000	0.00	Various	212.00	...	-212.00	Total of 2 transactions
TSLA 01/08/2021 CALL \$820.00 / CUSIP: / Symbol:							
01/07/21	1.000	729.97	01/07/21	510.00	...	219.97	Option sale to close-call ²⁵
TSLA 01/15/2021 CALL \$895.00 / CUSIP: / Symbol:							
01/14/21	1.000	256.98	01/11/21	1,695.00	...	-1,438.02	Option sale to close-call ²⁵
TSLA 01/22/2021 CALL \$945.00 / CUSIP: / Symbol:							
01/22/21	1.000	0.00	01/15/21	620.00	...	-620.00	Option expiration- long call
TSLA 02/19/2021 CALL \$835.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/18/21	54.00	...	-54.00	Option expiration- long call
TSLA 02/26/2021 CALL \$725.00 / CUSIP: / Symbol:							
02/26/21	1.000	2.98	02/25/21	430.00	...	-427.02	Option sale to close-call ²⁵
TSLA 02/26/2021 CALL \$800.00 / CUSIP: / Symbol:							
02/26/21	1.000	0.00	02/22/21	215.00	...	-215.00	Option expiration- long call
TSLA 03/05/2021 CALL \$717.50 / CUSIP: / Symbol:							
03/04/21	1.000	39.98	03/03/21	182.00	...	-142.02	Option sale to close-call ²⁵
TSLA 03/05/2021 CALL \$720.00 / CUSIP: / Symbol:							
03/02/21	1.000	819.98	03/02/21	985.00	...	-165.02	Option sale to close-call ²⁵
TSLA 03/05/2021 CALL \$900.00 / CUSIP: / Symbol:							
03/02/21	1.000	8.98	01/22/21	5,870.00	...	-5,861.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TSLA 03/12/2021 CALL \$722.50 / CUSIP: / Symbol: 03/12/21	1.000	8.98	03/10/21	500.00	...	-491.02	Option sale to close-call ²⁵
TSLA 03/19/2021 CALL \$725.00 / CUSIP: / Symbol: 03/19/21	1.000	8.98	03/18/21	73.00	...	-64.02	Option sale to close-call ²⁵
TSLA 03/19/2021 CALL \$810.00 / CUSIP: / Symbol: 01/08/21	1.000	14,401.67	01/07/21	10,463.00	...	3,938.67	Option sale to close-call ²⁵
TSLA 03/19/2021 CALL \$825.00 / CUSIP: / Symbol: 01/11/21	1.000	-3,850.23	01/08/21	0.00	...	-3,850.23	Short sale closed- call ²⁵ Option written: 01/07/21
TSLA 03/26/2021 CALL \$770.00 / CUSIP: / Symbol: 03/24/21	1.000	23.98	03/23/21	45.00	...	-21.02	Option sale to close-call ²⁵
TSLA 04/01/2021 CALL \$702.50 / CUSIP: / Symbol: 04/01/21	1.000	249.98	03/30/21	92.00	...	157.98	Option sale to close-call ²⁵
TSLA 04/01/2021 CALL \$900.00 / CUSIP: / Symbol: 04/01/21	2.000	1.98	03/17/21	296.00	...	-294.02	Option sale to close-call ²⁵
TSLA 04/01/2021 PUT \$600.00 / CUSIP: / Symbol: 04/01/21	1.000	1.98	03/31/21	27.00	...	-25.02	Option sale to close-put ²⁵
TSLA 04/09/2021 PUT \$622.50 / CUSIP: / Symbol: 04/06/21	1.000	209.98	04/05/21	197.00	...	12.98	Option sale to close-put ²⁵
TSLA 04/16/2021 CALL \$745.00 / CUSIP: / Symbol: 04/13/21	1.000	1,234.98	04/09/21	195.00	...	1,039.98	Option sale to close-call ²⁵
TSLA 04/16/2021 CALL \$770.00 / CUSIP: / Symbol: 04/16/21	2.000	3.98	04/15/21	234.00	...	-230.02	Option sale to close-call ²⁵
TSLA 04/23/2021 CALL \$740.00 / CUSIP: / Symbol: 04/22/21	1.000	1,034.98	04/19/21	1,000.00	...	34.98	Option sale to close-call ²⁵
04/23/21	1.000	12.98	04/23/21	245.00	...	-232.02	Option sale to close-call ²⁵
Security total:		1,047.96		1,245.00	...	-197.04	

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TSLA 04/23/2021 CALL \$780.00 / CUSIP: / Symbol:							
04/23/21	1.000	2.98	04/22/21	154.00	...	-151.02	Option sale to close-call ²⁵
TSLA 04/23/2021 CALL \$800.00 / CUSIP: / Symbol:							
04/14/21	1.000	1,669.98	04/13/21	1,350.00	...	319.98	Option sale to close-call ²⁵
<i>2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.99	04/14/21	1,275.00	...	-1,274.01	1 of 2 - Option sale to close-call ²⁵
	1.000	0.99	04/21/21	96.00	...	-95.01	2 of 2 - Option sale to close-call ²⁵
04/23/21	2.000	1.98	Various	1,371.00	...	-1,369.02	Total of 2 transactions
	Security total:	1,671.96		2,721.00	...	-1,049.04	
TSLA 04/30/2021 CALL \$715.00 / CUSIP: / Symbol:							
04/30/21	1.000	183.98	04/29/21	91.00	...	92.98	Option sale to close-call ²⁵
TSLA 04/30/2021 CALL \$780.00 / CUSIP: / Symbol:							
04/29/21	1.000	5.98	04/27/21	96.00	...	-90.02	Option sale to close-call ²⁵
TSLA 04/30/2021 CALL \$810.00 / CUSIP: / Symbol:							
04/29/21	1.000	2.98	04/26/21	905.00	...	-902.02	Option sale to close-call ²⁵
TSLA 04/30/2021 PUT \$650.00 / CUSIP: / Symbol:							
04/29/21	1.000	83.98	04/28/21	101.00	...	-17.02	Option sale to close-put ²⁵
TSLA 05/07/2021 CALL \$740.00 / CUSIP: / Symbol:							
05/07/21	1.000	0.00	05/04/21	64.00	...	-64.00	Option expiration- long call
TSLA 05/07/2021 CALL \$760.00 / CUSIP: / Symbol:							
05/07/21	1.000	0.98	05/03/21	90.00	...	-89.02	Option sale to close-call ²⁵
TSLA 05/07/2021 CALL \$820.00 / CUSIP: / Symbol:							
04/13/21	1.000	1,844.98	04/05/21	1,640.00	...	204.98	Option sale to close-call ²⁵
TSLA 05/14/2021 CALL \$725.00 / CUSIP: / Symbol:							
05/13/21	1.000	2.98	05/10/21	99.00	...	-96.02	Option sale to close-call ²⁵
TSLA 05/21/2021 CALL \$730.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.98	05/18/21	18.00	...	-17.02	Option sale to close-call ²⁵

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TSLA 06/04/2021 CALL \$690.00 / CUSIP: / Symbol:							
06/04/21	1.000	0.98	05/21/21	135.00	...	-134.02	Option sale to close-call ²⁵
TSLA 06/11/2021 CALL \$672.50 / CUSIP: / Symbol:							
06/09/21	1.000	28.98	06/08/21	49.00	...	-20.02	Option sale to close-call ²⁵
06/10/21	2.000	33.98	06/08/21	98.00	...	-64.02	Option sale to close-call ²⁵
Security total:		62.96		147.00	...	-84.04	
TSLA 06/25/2021 CALL \$692.50 / CUSIP: / Symbol:							
06/18/21	1.000	80.98	06/15/21	106.00	...	-25.02	Option sale to close-call ²⁵
TSLA 07/09/2021 CALL \$680.00 / CUSIP: / Symbol:							
07/09/21	1.000	2.98	07/08/21	39.00	...	-36.02	Option sale to close-call ²⁵
TSLA 07/09/2021 CALL \$715.00 / CUSIP: / Symbol:							
07/08/21	1.000	10.98	07/07/21	40.00	...	-29.02	Option sale to close-call ²⁵
TSLA 07/23/2021 CALL \$692.50 / CUSIP: / Symbol:							
07/23/21	2.000	7.98	07/16/21	580.00	...	-572.02	Option sale to close-call ²⁵
TSLA 07/30/2021 CALL \$745.00 / CUSIP: / Symbol:							
07/29/21	1.000	4.98	07/26/21	180.00	...	-175.02	Option sale to close-call ²⁵
TSLA 08/06/2021 CALL \$760.00 / CUSIP: / Symbol:							
08/06/21	4.000	39.98	08/04/21	300.00	...	-260.02	Option sale to close-call ²⁵
TSLA 08/13/2021 CALL \$760.00 / CUSIP: / Symbol:							
08/13/21	1.000	7.98	08/10/21	56.00	...	-48.02	Option sale to close-call ²⁵
TSLA 08/13/2021 CALL \$765.00 / CUSIP: / Symbol:							
08/12/21	1.000	26.98	08/12/21	21.00	...	5.98	Option sale to close-call ²⁵
TSLA 08/20/2021 CALL \$730.00 / CUSIP: / Symbol:							
08/20/21	1.000	3.98	08/16/21	180.00	...	-176.02	Option sale to close-call ²⁵
TSLA 08/20/2021 CALL \$760.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	07/29/21	271.00	...	-271.00	Option expiration- long call

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TSLA 08/27/2021 CALL \$770.00 / CUSIP: / Symbol:							
08/27/21	1.000	0.00	08/20/21	55.00	...	-55.00	Option expiration- long call
TSLA 09/10/2021 CALL \$770.00 / CUSIP: / Symbol:							
09/10/21	5.000	14.98	09/09/21	275.00	...	-260.02	Option sale to close-call ²⁵
TSLA 09/17/2021 CALL \$770.00 / CUSIP: / Symbol:							
09/16/21	1.000	40.98	09/14/21	93.00	...	-52.02	Option sale to close-call ²⁵
TSLA 09/24/2021 CALL \$815.00 / CUSIP: / Symbol:							
09/17/21	1.000	46.98	09/10/21	180.00	...	-133.02	Option sale to close-call ²⁵
TSLA 10/15/2021 CALL \$825.00 / CUSIP: / Symbol:							
10/12/21	1.000	436.98	10/07/21	695.00	...	-258.02	Option sale to close-call ²⁵
TSLA 10/15/2021 CALL \$830.00 / CUSIP: / Symbol:							
10/14/21	2.000	359.98	10/08/21	500.00	...	-140.02	Option sale to close-call ²⁵
TSLA 10/15/2021 CALL \$845.00 / CUSIP: / Symbol:							
10/15/21	5.000	164.98	10/13/21	295.00	...	-130.02	Option sale to close-call ²⁵
TSLA 10/15/2021 CALL \$850.00 / CUSIP: / Symbol:							
10/15/21	2.000	31.98	10/14/21	26.00	...	5.98	Option sale to close-call ²⁵
TSLA 10/15/2021 CALL \$870.00 / CUSIP: / Symbol:							
	<i>2 transactions for 10/13/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	25.98	10/12/21	24.00	...	1.98	1 of 2 - Option sale to close-call ²⁵
	1.000	25.98	10/12/21	24.00	...	1.98	2 of 2 - Option sale to close-call ²⁵
10/13/21	2.000	51.96	Various	48.00	...	3.96	Total of 2 transactions
TSLA 10/22/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
10/22/21	2.000	11.98	10/19/21	200.00	...	-188.02	Option sale to close-call ²⁵
TSLA 10/22/2021 CALL \$900.00 / CUSIP: / Symbol:							
10/22/21	1.000	824.98	10/20/21	525.00	...	299.98	Option sale to close-call ²⁵

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VALE 11/26/2021 CALL \$12.00 / CUSIP: / Symbol:							
11/11/21	10.000	789.97	11/05/21	400.00	...	389.97	Option sale to close-call ²⁵
11/15/21	5.000	274.98	11/05/21	200.00	...	74.98	Option sale to close-call ²⁵
	Security total:	1,064.95		600.00	...	464.95	
VIAC 04/09/2021 CALL \$86.00 / CUSIP: / Symbol:							
04/09/21	1.000	0.00	03/25/21	78.00	...	-78.00	Option expiration- long call
WKHS 11/26/2021 CALL \$7.00 / CUSIP: / Symbol:							
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	5.000	154.98	11/05/21	325.00	...	-170.02	1 of 2 - Option sale to close-call ²⁵
	5.000	154.98	11/05/21	325.00	...	-170.02	2 of 2 - Option sale to close-call ²⁵
11/16/21	10.000	309.96	Various	650.00	...	-340.04	Total of 2 transactions
WMT 01/07/2022 CALL \$141.00 / CUSIP: / Symbol:							
2 transactions for 12/28/21. Total proceeds and cost reported to the IRS.							
	1.000	219.98	12/22/21	142.00	...	77.98	1 of 2 - Option sale to close-call ²⁵
	5.000	1,099.98	12/22/21	710.00	...	389.98	2 of 2 - Option sale to close-call ²⁵
12/28/21	6.000	1,319.96	Various	852.00	...	467.96	Total of 2 transactions
WMT 01/07/2022 CALL \$144.00 / CUSIP: / Symbol:							
2 transactions for 12/28/21. Total proceeds and cost reported to the IRS.							
	1.000	81.98	12/23/21	49.00	...	32.98	1 of 2 - Option sale to close-call ²⁵
	19.000	1,557.95	12/23/21	931.00	...	626.95	2 of 2 - Option sale to close-call ²⁵
12/28/21	20.000	1,639.93	Various	980.00	...	659.93	Total of 2 transactions
WMT 01/14/2022 CALL \$138.00 / CUSIP: / Symbol:							
12/15/21	5.000	4,449.96	12/10/21	1,875.00	...	2,574.96	Option sale to close-call ²⁵
12/16/21	3.000	2,204.97	12/10/21	1,125.00	...	1,079.97	Option sale to close-call ²⁵
12/17/21	5.000	2,249.97	12/10/21	1,875.00	...	374.97	Option sale to close-call ²⁵
	Security total:	8,904.90		4,875.00	...	4,029.90	
WMT 02/04/2022 CALL \$140.00 / CUSIP: / Symbol:							
12/29/21	2.000	929.98	12/27/21	790.00	...	139.98	Option sale to close-call ²⁵

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WMT 10/01/2021 CALL \$146.00 / CUSIP: / Symbol:							
09/17/21	6.000	905.98	09/10/21	1,170.00	...	-264.02	Option sale to close-call ²⁵
WMT 12/03/2021 CALL \$150.00 / CUSIP: / Symbol:							
11/23/21	5.000	254.98	11/18/21	165.00	...	89.98	Option sale to close-call ²⁵
11/24/21	5.000	254.98	11/18/21	165.00	...	89.98	Option sale to close-call ²⁵
11/26/21	5.000	254.98	11/22/21	190.00	...	64.98	Option sale to close-call ²⁵
	Security total:	764.94		520.00	...	244.94	
WMT 12/10/2021 CALL \$138.00 / CUSIP: / Symbol:							
12/09/21	10.000	909.97	12/09/21	180.00	...	729.97	Option sale to close-call ²⁵
WMT 12/17/2021 CALL \$150.00 / CUSIP: / Symbol:							
	<i>2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.</i>						
	19.000	151.95	12/01/21	380.00	...	-228.05	1 of 2 - Option sale to close-call ²⁵
	6.000	47.98	12/01/21	120.00	...	-72.02	2 of 2 - Option sale to close-call ²⁵
12/13/21	25.000	199.93	Various	500.00	...	-300.07	Total of 2 transactions
WMT 12/23/2021 CALL \$149.00 / CUSIP: / Symbol:							
	<i>2 transactions for 12/14/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	46.98	12/01/21	38.00	...	8.98	1 of 2 - Option sale to close-call ²⁵
	9.000	422.97	12/01/21	342.00	...	80.97	2 of 2 - Option sale to close-call ²⁵
12/14/21	10.000	469.95	Various	380.00	...	89.95	Total of 2 transactions
WMT 12/23/2021 CALL \$150.00 / CUSIP: / Symbol:							
12/14/21	2.000	71.98	12/03/21	42.00	...	29.98	Option sale to close-call ²⁵
WMT 12/31/2021 CALL \$137.00 / CUSIP: / Symbol:							
12/10/21	4.000	1,319.98	12/08/21	844.00	...	475.98	Option sale to close-call ²⁵
WMT 12/31/2021 CALL \$141.00 / CUSIP: / Symbol:							
12/14/21	5.000	2,324.97	12/01/21	1,125.00	...	1,199.97	Option sale to close-call ²⁵
12/17/21	2.000	599.98	12/01/21	450.00	...	149.98	Option sale to close-call ²⁵
	Security total:	2,924.95		1,575.00	...	1,349.95	

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 12/31/2021 CALL \$146.00 / CUSIP: / Symbol:							
3 transactions for 12/28/21. Total proceeds and cost reported to the IRS.							
	5.000	29.98	12/21/21	90.00	...	-60.02	1 of 3 - Option sale to close-call ²⁵
	5.000	29.98	12/21/21	90.00	...	-60.02	2 of 3 - Option sale to close-call ²⁵
	1.000	5.98	12/21/21	18.00	...	-12.02	3 of 3 - Option sale to close-call ²⁵
12/28/21	11.000	65.94	Various	198.00	...	-132.06	Total of 3 transactions
WSM 03/19/2021 PUT \$145.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	03/18/21	10.00	...	-10.00	Option expiration- long put
XPEV 01/21/2022 CALL \$65.00 / CUSIP: / Symbol:							
07/08/21	2.000	429.98	06/24/21	558.00	...	-128.02	Option sale to close-call ²⁵
XPEV 07/23/2021 CALL \$42.50 / CUSIP: / Symbol:							
07/21/21	1.000	170.98	07/12/21	75.00	...	95.98	Option sale to close-call ²⁵
ZM 02/26/2021 CALL \$380.00 / CUSIP: / Symbol:							
02/26/21	1.000	0.00	02/25/21	195.00	...	-195.00	Option expiration- long call
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	0.346	48.00	11/24/20	39.17	...	8.83	1 of 2 - Sale ²⁵
	0.720	100.00	11/24/20	81.66	...	18.34	2 of 2 - Sale ²⁵
01/22/21	1.066	148.00	Various	120.83	...	27.17	Total of 2 transactions
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.002	0.26	11/24/20	0.21	...	0.05	1 of 3 - Sale ²⁵
	0.106	15.08	11/24/20	12.00	...	3.08	2 of 3 - Sale ²⁵
	2.000	284.66	11/24/20	226.76	...	57.90	3 of 3 - Sale ²⁵
01/25/21	2.108	300.00	Various	238.97	...	61.03	Total of 3 transactions
2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	11/24/20	0.01	...	0.00	1 of 2 - Sale ²⁵
	0.720	89.99	11/24/20	81.60	...	8.39	2 of 2 - Sale ²⁵
02/24/21	0.720	90.00	Various	81.61	...	8.39	Total of 2 transactions

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
2 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	0.775	93.91	11/24/20	87.85	...	6.06	1 of 2 - Sale ²⁵
	0.002	0.28	02/12/21	0.31	...	-0.03	2 of 2 - Sale ²⁵
03/29/21	0.777	94.19	Various	88.16	...	6.03	Total of 2 transactions
	Security total:	632.19		529.57	...	102.62	
ARDELYX, INC. COMMON STOCK / CUSIP: 039697107 / Symbol:							
2 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	16.808	26.65	07/29/21	29.33	...	-2.68	1 of 2 - Sale ²⁵
	0.192	0.30	07/29/21	0.37	...	-0.07	2 of 2 - Sale ²⁵
08/05/21	17.000	26.95	Various	29.70	...	-2.75	Total of 2 transactions
08/05/21	0.192	0.30	07/29/21	0.33	0.03 W	0.00	Sale ²⁵
	Security total:	27.25		30.03	0.03 W	-2.75	
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
4 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	1.941	3.01	09/13/21	2.80	...	0.21	1 of 4 - Sale ²⁵
	18.821	29.19	09/13/21	27.20	...	1.99	2 of 4 - Sale ²⁵
	0.621	0.96	10/06/21	0.70	...	0.26	3 of 4 - Sale ²⁵
	17.000	26.37	10/06/21	19.30	...	7.07	4 of 4 - Sale ²⁵
10/11/21	38.382	59.53	Various	50.00	...	9.53	Total of 4 transactions
2 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	732.867	1,136.66	10/07/21	1,311.83	26.65 W	-148.52	1 of 2 - Sale ²⁵
	2.395	3.71	10/07/21	4.27	0.56 W	0.00	2 of 2 - Sale ²⁵
10/11/21	735.262	1,140.37	Various	1,316.10	27.21 W	-148.52	Total of 2 transactions
5 transactions for 10/12/21. Total proceeds and cost reported to the IRS.							
	649.738	969.39	10/07/21	1,163.03	...	-193.64	1 of 5 - Sale ²⁵
	95.855	143.01	10/07/21	194.49	...	-51.48	2 of 5 - Sale ²⁵
	14.961	22.33	10/07/21	30.36	...	-8.03	3 of 5 - Sale ²⁵
	2.395	3.57	10/07/21	4.85	...	-1.28	4 of 5 - Sale ²⁵
	0.686	1.02	10/07/21	1.38	...	-0.36	5 of 5 - Sale ²⁵
10/12/21	763.635	1,139.32	Various	1,394.11	...	-254.79	Total of 5 transactions
	Security total:	2,339.22		2,760.21	27.21 W	-393.78	

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
12/03/21	201.535	1,999.59	11/22/21	2,363.00	...	-363.41	Sale ²⁵
DIDI GLOBAL INC. AMERICAN DEPO SITARY SHARES, EACH FOUR REPRE SENTING ONE CLASS A ORDINARY S / CUSIP: 23292E108 / Symbol:							
2 transactions for 08/16/21. Total proceeds and cost reported to the IRS.							
	0.443	3.57	07/27/21	3.50	...	0.07	1 of 2 - Sale ²⁵
	25.000	201.50	07/27/21	197.50	...	4.00	2 of 2 - Sale ²⁵
08/16/21	25.443	205.07	Various	201.00	...	4.07	Total of 2 transactions
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol:							
2 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	7.759	303.06	02/26/21	300.00	...	3.06	1 of 2 - Sale ²⁵
	0.004	0.14	03/31/21	0.13	...	0.01	2 of 2 - Sale ²⁵
04/19/21	7.763	303.20	Various	300.13	...	3.07	Total of 2 transactions
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
2 transactions for 08/12/21. Total proceeds and cost reported to the IRS.							
	0.001	0.10	08/04/21	0.09	...	0.01	1 of 2 - Sale ²⁵
	8.721	595.90	08/04/21	550.91	...	44.99	2 of 2 - Sale ²⁵
08/12/21	8.723	596.00	Various	551.00	...	45.00	Total of 2 transactions
EXPENSIFY, INC. CLASS A COMMON STOCK / CUSIP: 30219Q106 / Symbol:							
3 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	0.027	1.12	11/10/21	1.09	...	0.03	1 of 3 - Sale ²⁵
	0.250	10.32	11/10/21	10.00	...	0.32	2 of 3 - Sale ²⁵
	72.973	3,006.46	11/10/21	2,918.91	...	87.55	3 of 3 - Sale ²⁵
11/10/21	73.250	3,017.90	Various	2,930.00	...	87.90	Total of 3 transactions
11/17/21	0.421	18.80	11/12/21	20.00	...	-1.20	Sale ²⁵
	Security total:	3,036.70		2,950.00	...	86.70	
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
2 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	1.000	14.37	06/22/21	14.86	...	-0.49	1 of 2 - Sale ²⁵
	0.077	1.11	06/22/21	1.14	...	-0.03	2 of 2 - Sale ²⁵
07/29/21	1.077	15.48	Various	16.00	...	-0.52	Total of 2 transactions

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
12/03/21	100.000	1,883.98	11/17/21	2,251.84	...	-367.86	Sale ²⁵
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
11/01/21	0.800	3.10	10/22/21	7.00	...	-3.90	Sale ²⁵
PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol:							
12/03/21	100.000	252.24	11/19/21	499.76	...	-247.52	Sale ²⁵
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
3 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	0.001	0.21	11/10/21	0.15	...	0.06	1 of 3 - Sale ²⁵
	0.109	15.57	11/10/21	11.00	...	4.57	2 of 3 - Sale ²⁵
	8.311	1,184.21	11/10/21	837.64	...	346.57	3 of 3 - Sale ²⁵
11/17/21	8.422	1,199.99	Various	848.79	...	351.20	Total of 3 transactions
11/22/21	10.000	1,117.59	11/10/21	1,007.81	...	109.78	Sale ²⁵
11/24/21	10.651	1,225.84	11/10/21	1,073.40	...	152.44	Sale ²⁵
	Security total:	3,543.42		2,930.00	...	613.42	
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
2 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	0.361	8.69	03/02/21	13.95	...	-5.26	1 of 2 - Sale ²⁵
	0.017	0.41	03/24/21	0.40	...	0.01	2 of 2 - Sale ²⁵
03/29/21	0.379	9.10	Various	14.35	...	-5.25	Total of 2 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
13 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	0.057	48.26	08/31/20	26.32	...	21.94	1 of 13 - Sale ²⁵
	0.171	143.77	08/31/20	78.10	...	65.67	2 of 13 - Sale ²⁵
	1.468	1,232.94	08/31/20	657.54	...	575.40	3 of 13 - Sale ²⁵
	0.127	106.71	09/21/20	56.39	...	50.32	4 of 13 - Sale ²⁵
	1.000	839.46	09/21/20	443.42	...	396.04	5 of 13 - Sale ²⁵
	0.052	44.00	11/24/20	28.28	...	15.72	6 of 13 - Sale ²⁵
	0.310	260.52	11/24/20	167.44	...	93.08	7 of 13 - Sale ²⁵
	0.800	671.51	11/24/20	445.32	...	226.19	8 of 13 - Sale ²⁵
	1.000	839.45	11/24/20	556.82	...	282.63	9 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 142989722

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.000	0.16	11/25/20	0.11	...	0.05	10 of 13 - Sale ²⁵
	0.286	240.23	11/25/20	163.50	...	76.73	11 of 13 - Sale ²⁵
	1.000	839.65	11/25/20	571.34	...	268.31	12 of 13 - Sale ²⁵
	0.389	326.99	12/28/20	260.87	...	66.12	13 of 13 - Sale ²⁵
01/22/21	6.662	5,593.65	Various	3,455.45	...	2,138.20	Total of 13 transactions
01/22/21	0.188	158.15	01/11/21	159.33	0.23 W	-0.95	Sale ²⁵
03/29/21	0.037	22.25	01/22/21	30.95	...	-8.70	Sale ²⁵
	Security total:	5,774.05		3,645.73	0.23 W	2,128.55	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
02/18/21	51.000	63.23	01/27/21	46.96	...	16.27	Sale ²⁵
09/13/21	34.000	21.58	08/25/21	22.81	...	-1.23	Sale ²⁵
	Security total:	84.81		69.77	...	15.04	
Totals :		237,513.33		266,328.84	3,793.92 W	-25,021.59	

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Robinhood Securities LLC

Account 142989722

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/11/21	0.31	Qualified dividend	
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES	25459W458		03/30/21 03/30/21	0.08 0.05	Nonqualified dividend Qualified dividend	03 03
				0.13	Total Dividends & distributions	
ROCKET COMPANIES, INC.	77311W101		03/23/21	0.40	Qualified dividend	
				0.84	Total Dividends & distributions	

Robinhood Securities LLC

Account 142989722

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/29/21	0.06	Interest	
		02/26/21	0.03	Interest	
		03/24/21	0.02	Interest	
		04/30/21	0.13	Interest	
		06/25/21	0.01	Interest	
		11/12/21	0.40	Interest	
		11/12/21	0.02	Interest	
			0.67	Total Interest	
Interest payment		08/31/21	0.09	Interest	
		09/30/21	0.07	Interest	
		10/29/21	0.09	Interest	
		11/19/21	0.01	Interest	
		11/30/21	0.06	Interest	
		12/29/21	0.02	Interest	
		12/31/21	0.09	Interest	
			0.43	Total Interest	
			1.10	Total Interest	

Robinhood Securities LLC

Account 142989722

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/01/21	-5.00	Margin interest paid	
		05/10/21	-5.00	Margin interest paid	
		06/07/21	-5.00	Margin interest paid	
		07/06/21	-5.00	Margin interest paid	
		08/05/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
Margin charge		09/07/21	-5.00	Margin interest paid	
		10/04/21	-5.00	Margin interest paid	
		11/03/21	-5.00	Margin interest paid	
		12/03/21	-0.87	Margin interest paid	
		12/03/21	-5.00	Margin interest paid	
			-20.87	Total Margin interest paid	
			-45.87	Total Margin interest paid	

Robinhood Securities LLC

Account 142989722

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
IBM 11/12/2021 CALL \$137.00	IBM 11/12/21 C 137.000	11/04/21	-3.00	0.00	Merger	
IBM 11/12/2021 CALL \$140.00	IBM 11/12/21 C 140.000	11/04/21	-15.00	0.00	Merger	
IBM1 11/12/2021 CALL \$137.00	IBM1 11/12/21 C 137.000	11/04/21	3.00	0.00	Merger	
IBM1 11/12/2021 CALL \$140.00	IBM1 11/12/21 C 140.000	11/04/21	15.00	0.00	Merger	
RKT 03/19/2021 CALL \$46.89	RKT 03/19/21 C 46.890	03/08/21	1.00	0.00	Merger	
RKT 03/19/2021 CALL \$48.00	RKT 03/19/21 C 48.000	03/08/21	-1.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Instructions for Recipient

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms

1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment

Instructions for Recipient

trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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