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|--|---|--|------|
|  Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900 | Tax Information Account 455458346 | Statement Date: 02/17/2022 Document ID: 7E61 82U W1X0 | 2021 |
| | NAGA VENKATA ODURI 33 SKYTOP GDNS APT 16 PARLIN, NJ 08859-2138 | PAYER'S TIN: 47-0533629 | |

Summary Information

| | |
|---|---|
| <p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 123.84</p> <p>1b- Qualified dividends 123.84</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 7.53</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 1.48</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p> | <p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 113.08</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 -187.68</p> <p>11- Aggregate profit or (loss) on contracts -74.60</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p> |
|---|---|

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

| Term | Form 8949 type | Proceeds | Cost basis | Market discount | Wash sale loss disallowed | Net gain or loss(-) |
|--------------------------------|--|-------------------|-------------------|-----------------|---------------------------|---------------------|
| Short | A (basis reported to the IRS) | 593,693.40 | 624,123.50 | 0.00 | 51,070.63 | 20,640.53 |
| Short | B (basis not reported to the IRS) | 3,151.54 | 3,096.79 | 0.00 | 42.46 | 97.21 |
| Short | C (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Total Short-term | | 596,844.94 | 627,220.29 | 0.00 | 51,113.09 | 20,737.74 |
| Long | D (basis reported to the IRS) | 4,249.01 | 5,190.84 | 0.00 | 873.34 | -68.49 |
| Long | E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Total Long-term | | 4,249.01 | 5,190.84 | 0.00 | 873.34 | -68.49 |
| Undetermined | B or E (basis not reported to the IRS) | 1.05 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | C or F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Total Undetermined-term | | 1.05 | 0.00 | 0.00 | 0.00 | 0.00 |
| Grand total | | 601,095.00 | 632,411.13 | 0.00 | 51,986.43 | 20,669.25 |
| Withholding | | Amount | | | | |
| Federal income tax withheld | | 0.00 | | | | |

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 455458346

Summary Information

2021

(continued)

02/17/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

| | |
|--|-------------|
| 1- Interest income (not included in line 3) | 0.00 |
| 2- Early withdrawal penalty | 0.00 |
| 3- Interest on US Savings Bonds & Treasury obligations | 0.00 |
| 4- Federal income tax withheld | 0.00 |
| 5- Investment expenses | 0.00 |
| 7- Foreign country or US possession: 6- Foreign tax paid: | 0.00 |
| 8- Tax-exempt interest (includes line 9) | 0.00 |
| 9- Specified private activity bond interest (AMT) | 0.00 |
| 10- Market discount (covered lots) | 0.00 |
| 11- Bond premium (covered lots) | 0.00 |
| 12- Bond premium on Treasury obligations (covered lots) | 0.00 |
| 13- Bond premium on tax-exempt bonds (categorized below) | 0.00 |
| <i>Tax-exempt obligations (covered lots)</i> | 0.00 |
| <i>Tax-exempt private activity obligations (AMT, covered lots)</i> | 0.00 |
| 14- Tax-exempt and tax credit bond CUSIP number | |

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

| | |
|---|------|
| Taxable accrued interest paid | 0.00 |
| Taxable accrued Treasury interest paid | 0.00 |
| Tax-exempt accrued interest paid | 0.00 |
| Tax-exempt accrued interest paid (AMT) | 0.00 |
| Taxable accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid (AMT) | 0.00 |
| Nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest (AMT) | 0.00 |
| Interest shortfall on contingent payment debt | 0.00 |
| Bond premium- Non Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (AMT, noncovered lots) | 0.00 |
| Market discount (noncovered lots) | 0.00 |

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

| | |
|--------------------------|------|
| 1099-DIV total withheld | 0.00 |
| 1099-INT total withheld | 0.00 |
| 1099-OID total withheld | 0.00 |
| 1099-MISC total withheld | 0.00 |
| 1099-B total withheld | 0.00 |

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

| | |
|--|------|
| Original issue discount for the year | 0.00 |
| Acquisition premium (covered lots) | 0.00 |
| Acquisition premium (noncovered lots) | 0.00 |
| Original issue discount on Treasury obligations | 0.00 |
| Acquisition premium, Treasury obligations (covered lots) | 0.00 |
| Acquisition premium, Treasury obligations (noncovered lots) | 0.00 |
| Tax-exempt OID | 0.00 |
| Tax-exempt OID (lots not reported) | 0.00 |
| Acquisition premium (covered) | 0.00 |
| Acquisition premium (lots not reported) | 0.00 |
| Tax-exempt OID on private activity bonds | 0.00 |
| Tax-exempt OID on private activity bonds (lots not reported) | 0.00 |
| Acquisition premium (AMT, covered) | 0.00 |
| Acquisition premium (AMT, lots not reported) | 0.00 |
| Market discount (all lots) | 0.00 |
| Early withdrawal penalty | 0.00 |
| Investment expenses | 0.00 |

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

| | |
|---|----------|
| Other Receipts & Reconciliations- Partnership distributions | 0.00 |
| Other Receipts & Reconciliations- Foreign tax paid- partnership | 0.00 |
| Other Receipts & Reconciliations- Return of principal | 0.00 |
| Other Receipts & Reconciliations- Deferred income payment | 0.00 |
| Other Receipts & Reconciliations- Deemed premium | 0.00 |
| Other Receipts & Reconciliations- Income accrual- UIT | 0.00 |
| Other Receipts & Reconciliations- Basis adjustments | 0.00 |
| Other Receipts & Reconciliations- Foreign tax pd beyond treaty | 0.00 |
| Fees & Expenses- Margin interest | 3,662.48 |
| Fees & Expenses- Dividends paid on short position | 0.00 |
| Fees & Expenses- Interest paid on short position | 0.00 |
| Fees & Expenses- Non reportable distribution expense | 0.00 |
| Fees & Expenses- Other expenses | 1.05 |
| Fees & Expenses- Severance tax | 0.00 |
| Fees & Expenses- Organizational expense | 0.00 |
| Fees & Expenses- Miscellaneous fees | 170.77 |
| Fees & Expenses- Tax-exempt investment expense | 0.00 |
| Foreign Exchange Gains & Losses- Foreign currency gain/loss | 0.00 |

TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/17/2022

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| AMMO INC COM / CUSIP: 00175J107 / Symbol: POWW | | | | | | | |
| 02/26/21 | 19.000 | 137.75 | Various | 139.76 | ... | -2.01 | Sale ²⁶ |
| 04/07/21 | 6.000 | 45.00 | 03/31/21 | 36.00 | ... | 9.00 | Sale ²⁶ |
| 04/20/21 | 4.000 | 25.96 | 03/31/21 | 24.00 | ... | 1.96 | Sale ²⁶ |
| | Security total: | 208.71 | | 199.76 | ... | 8.95 | |
| AT&T INC COM / CUSIP: 00206R102 / Symbol: T | | | | | | | |
| 01/07/21 | 5.000 | 150.00 | 12/21/20 | 158.38 | 8.38 W | 0.00 | Sale ²⁶ |
| 01/26/21 | 5.000 | 147.08 | 12/22/20 | 152.38 | 5.30 W | 0.00 | Sale ²⁶ |
| 03/05/21 | 45.000 | 1,328.40 | Various | 1,280.87 | ... | 47.53 | Total of 5 transactions |
| 03/05/21 | 5.000 | 147.73 | 12/28/20 | 147.80 | 0.07 W | 0.00 | Sale ²⁶ |
| | Security total: | 1,773.21 | | 1,739.43 | 13.75 W | 47.53 | |
| ATA CREATIVITY GLOBAL ADR / CUSIP: 00211V106 / Symbol: AACG | | | | | | | |
| 02/04/21 | 70.000 | 328.35 | Various | 264.90 | ... | 63.45 | Total of 7 transactions |
| 02/04/21 | 20.000 | 77.90 | Various | 80.00 | 2.10 W | 0.00 | Total of 2 transactions |
| | Security total: | 406.25 | | 344.90 | 2.10 W | 63.45 | |
| ACTIVISION BLIZZARD INC COM / CUSIP: 00507V109 / Symbol: ATVI | | | | | | | |
| 01/29/21 | 5.000 | 452.49 | Various | 384.73 | ... | 67.76 | Sale ²⁶ |
| 03/01/21 | 1.000 | 99.00 | 02/18/21 | 101.53 | 2.53 W | 0.00 | Sale ²⁶ |
| 03/11/21 | 1.000 | 93.72 | 02/22/21 | 100.53 | ... | -6.81 | Sale ²⁶ |
| | Security total: | 645.21 | | 586.79 | 2.53 W | 60.95 | |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| ADOBE INC COM / CUSIP: 00724F101 / Symbol: ADBE | | | | | | | |
| 01/22/21 | 1.000 | 474.99 | 01/12/21 | 472.00 | ... | 2.99 | Sale ²⁶ |
| 01/26/21 | 1.000 | 479.99 | 01/14/21 | 471.00 | ... | 8.99 | Sale ²⁶ |
| 01/28/21 | 2.000 | 944.43 | Various | 933.43 | ... | 11.00 | Total of 2 transactions |
| 01/28/21 | 1.000 | 468.99 | 01/14/21 | 469.00 | 0.01 W | 0.00 | Sale ²⁶ |
| 01/29/21 | 1.000 | 457.24 | 01/15/21 | 460.53 | 3.29 W | 0.00 | Sale ²⁶ |
| 02/01/21 | 1.000 | 464.99 | 01/29/21 | 462.29 | ... | 2.70 | Sale ²⁶ |
| 02/02/21 | 2.000 | 963.98 | Various | 917.00 | ... | 46.98 | Total of 2 transactions |
| 02/24/21 | 1.000 | 475.00 | 02/22/21 | 473.85 | ... | 1.15 | Sale ²⁶ |
| | Security total: | 4,729.61 | | 4,659.10 | 3.30 W | 73.81 | |
| AEROCENTURY CORP COM / CUSIP: 007737109 / Symbol: ACY | | | | | | | |
| 04/05/21 | 5.000 | 62.50 | 04/05/21 | 56.25 | ... | 6.25 | Sale ²⁶ |
| AFFIRM HLDGS INC COM CL A / CUSIP: 00827B106 / Symbol: AFRM | | | | | | | |
| 01/14/21 | 4.000 | 467.66 | Various | 403.59 | ... | 64.07 | Total of 4 transactions |
| 01/22/21 | 4.000 | 473.53 | Various | 444.09 | ... | 29.44 | Total of 4 transactions |
| 01/28/21 | 3.000 | 298.27 | Various | 337.16 | 38.89 W | 0.00 | Total of 2 transactions |
| 02/01/21 | 2.000 | 210.00 | Various | 222.81 | 12.81 W | 0.00 | Total of 2 transactions |
| 02/02/21 | 2.000 | 227.00 | Various | 220.00 | ... | 7.00 | Total of 2 transactions |
| 02/02/21 | 1.000 | 109.00 | 01/13/21 | 110.59 | 1.59 W | 0.00 | Sale ²⁶ |
| 02/08/21 | 8.000 | 940.83 | Various | 849.85 | ... | 90.98 | Total of 8 transactions |
| 02/09/21 | 6.000 | 798.99 | Various | 615.38 | ... | 183.61 | Total of 5 transactions |
| 02/10/21 | 1.000 | 143.00 | 02/10/21 | 134.89 | ... | 8.11 | Sale ²⁶ |
| 02/11/21 | 2.000 | 277.15 | Various | 264.72 | ... | 12.43 | Total of 2 transactions |
| 03/11/21 | 1.000 | 82.00 | 02/11/21 | 129.00 | 47.00 W | 0.00 | Sale ²⁶ |
| 03/15/21 | 1.000 | 81.50 | 02/11/21 | 172.00 | 90.50 W | 0.00 | Sale ²⁶ |
| 04/26/21 | 1.000 | 75.00 | 02/16/21 | 214.50 | 139.50 W | 0.00 | Sale ²⁶ |
| 05/14/21 | 1.000 | 53.60 | 04/05/21 | 209.70 | ... | -156.10 | Sale ²⁶ |
| 08/27/21 | 10.000 | 894.50 | Various | 975.52 | ... | -81.02 | Total of 2 transactions |
| 08/27/21 | 6.000 | 574.05 | Various | 757.00 | 123.61 W | -59.34 | Total of 2 transactions |
| 08/30/21 | 12.000 | 1,199.59 | Various | 1,077.77 | ... | 121.82 | Total of 2 transactions |
| 08/31/21 | 2.000 | 185.00 | 08/31/21 | 242.34 | ... | -57.34 | Sale ²⁶ |
| | Security total: | 7,090.67 | | 7,380.91 | 453.90 W | 163.66 | |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| AGENUS INC COM / CUSIP: 00847G705 / Symbol: AGEN | | | | | | | |
| 06/08/21 | 20.000 | 100.00 | 02/04/21 | 111.47 | ... | -11.47 | Sale ²⁶ |
| 06/17/21 | 30.000 | 161.85 | Various | 159.10 | ... | 2.75 | Total of 2 transactions |
| | Security total: | 261.85 | | 270.57 | ... | -8.72 | |
| AGEAGLE AERIAL SYSTEMS INC COM / CUSIP: 00848K101 / Symbol: UAVS | | | | | | | |
| 02/04/21 | 15.000 | 187.50 | Various | 191.84 | 4.34 W | 0.00 | Sale ²⁶ |
| 02/09/21 | 10.000 | 135.00 | Various | 118.39 | ... | 16.61 | Sale ²⁶ |
| | Security total: | 322.50 | | 310.23 | 4.34 W | 16.61 | |
| AIRBNB INC COM CL A / CUSIP: 009066101 / Symbol: ABNB | | | | | | | |
| 01/07/21 | 1.000 | 151.09 | 12/24/20 | 156.00 | 4.91 W | 0.00 | Sale ²⁶ |
| 01/08/21 | 1.000 | 155.00 | 12/24/20 | 156.00 | 1.00 W | 0.00 | Sale ²⁶ |
| 01/12/21 | 1.000 | 159.00 | 12/28/20 | 152.00 | ... | 7.00 | Sale ²⁶ |
| 01/13/21 | 4.000 | 684.00 | Various | 599.98 | ... | 84.02 | Total of 4 transactions |
| 01/14/21 | 2.000 | 360.00 | Various | 295.00 | ... | 65.00 | Total of 2 transactions |
| 01/21/21 | 10.000 | 1,802.22 | Various | 1,592.37 | ... | 209.85 | Total of 3 transactions |
| 01/22/21 | 1.000 | 185.00 | 01/22/21 | 179.50 | ... | 5.50 | Sale ²⁶ |
| 01/25/21 | 2.000 | 377.99 | 01/22/21 | 357.50 | ... | 20.49 | Sale ²⁶ |
| 01/26/21 | 2.000 | 380.94 | Various | 354.00 | ... | 26.94 | Total of 2 transactions |
| 01/27/21 | 2.000 | 394.00 | Various | 352.00 | ... | 42.00 | Total of 2 transactions |
| 01/29/21 | 1.000 | 191.70 | 01/28/21 | 192.35 | 0.65 W | 0.00 | Sale ²⁶ |
| 02/03/21 | 1.000 | 190.00 | 01/28/21 | 192.00 | 2.00 W | 0.00 | Sale ²⁶ |
| 02/04/21 | 4.000 | 776.00 | Various | 758.00 | ... | 18.00 | Total of 4 transactions |
| 02/04/21 | 1.000 | 191.00 | 01/28/21 | 192.00 | 1.00 W | 0.00 | Sale ²⁶ |
| 02/08/21 | 1.000 | 199.00 | 01/29/21 | 185.00 | ... | 14.00 | Sale ²⁶ |
| 02/09/21 | 4.000 | 822.00 | Various | 724.00 | ... | 98.00 | Total of 4 transactions |
| 02/10/21 | 2.000 | 421.00 | Various | 410.00 | ... | 11.00 | Total of 2 transactions |
| 02/11/21 | 2.000 | 435.00 | Various | 410.00 | ... | 25.00 | Total of 2 transactions |
| 02/19/21 | 1.000 | 205.00 | 02/16/21 | 210.00 | 5.00 W | 0.00 | Sale ²⁶ |
| 02/24/21 | 3.000 | 608.33 | Various | 639.80 | 31.47 W | 0.00 | Total of 3 transactions |
| 02/26/21 | 1.000 | 212.00 | 02/17/21 | 211.54 | ... | 0.46 | Sale ²⁶ |
| 02/26/21 | 2.000 | 408.00 | Various | 430.25 | 22.25 W | 0.00 | Total of 2 transactions |
| 03/11/21 | 3.000 | 592.41 | Various | 606.00 | 13.59 W | 0.00 | Total of 3 transactions |
| 03/12/21 | 4.000 | 777.76 | Various | 783.24 | 7.36 W | 1.88 | Sale ²⁶ |
| 03/16/21 | 1.000 | 215.00 | 03/02/21 | 194.21 | ... | 20.79 | Sale ²⁶ |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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|---|----------|---|-------------------|-------------------------|--|---|---------------------------------|
| AIRBNB INC COM CL A / CUSIP: 009066101 / Symbol: ABNB (cont'd) | | | | | | | |
| 03/17/21 | 4.000 | 802.00 | Various | 749.15 | ... | 52.85 | Total of 2 transactions |
| 04/06/21 | 1.000 | 193.00 | 03/18/21 | 195.00 | 2.00 W | 0.00 | Sale ²⁶ |
| 04/28/21 | 1.000 | 180.02 | 03/19/21 | 192.00 | 11.98 W | 0.00 | Sale ²⁶ |
| 08/24/21 | 2.000 | 313.75 | Various | 389.41 | 75.66 W | 0.00 | Total of 2 transactions |
| 11/01/21 | 1.000 | 174.97 | 03/23/21 | 190.00 | ... | -15.03 | Sale ²⁶ |
| 11/04/21 | 6.000 | 1,103.00 | Various | 1,127.30 | ... | -24.30 | Total of 4 transactions |
| 11/05/21 | 2.000 | 380.00 | 03/24/21 | 368.00 | ... | 12.00 | Sale ²⁶ |
| 11/08/21 | 3.000 | 612.00 | Various | 544.38 | ... | 67.62 | Sale ²⁶ |
| 12/06/21 | 3.000 | 543.90 | Various | 477.65 | ... | 66.25 | Sale ²⁶ |
| Security total: | | 15,196.08 | | 14,565.63 | 178.87 W | 809.32 | |
| ALBEMARLE CORP COM / CUSIP: 012653101 / Symbol: ALB | | | | | | | |
| 03/09/21 | 2.000 | 289.63 | Various | 284.92 | ... | 4.71 | Total of 2 transactions |
| 03/18/21 | 1.000 | 157.00 | 03/12/21 | 157.50 | 0.50 W | 0.00 | Sale ²⁶ |
| 04/14/21 | 1.000 | 153.65 | 03/19/21 | 150.60 | ... | 3.05 | Sale ²⁶ |
| Security total: | | 600.28 | | 593.02 | 0.50 W | 7.76 | |
| ALIBABA GROUP HOLDING LTD ADR SPONSORED / CUSIP: 01609W102 / Symbol: BABA | | | | | | | |
| 01/05/21 | 1.000 | 236.49 | 11/10/20 | 283.41 | 46.92 W | 0.00 | Sale ²⁶ |
| 01/08/21 | 1.000 | 237.99 | 12/24/20 | 282.59 | 44.60 W | 0.00 | Sale ²⁶ |
| 01/19/21 | 1.000 | 249.86 | 11/13/20 | 261.62 | ... | -11.76 | Sale ²⁶ |
| 01/19/21 | 1.000 | 250.74 | 12/24/20 | 263.92 | 13.18 W | 0.00 | Sale ²⁶ |
| 01/20/21 | 2.000 | 538.22 | Various | 519.52 | ... | 18.70 | Total of 2 transactions |
| 02/01/21 | 1.000 | 258.70 | 01/14/21 | 255.53 | ... | 3.17 | Sale ²⁶ |
| Security total: | | 1,772.00 | | 1,866.59 | 104.70 W | 10.11 | |
| ALIGN TECHNOLOGY INC COM / CUSIP: 016255101 / Symbol: ALGN | | | | | | | |
| 02/08/21 | 3.000 | 1,797.97 | Various | 1,917.53 | 119.56 W | 0.00 | Total of 3 transactions |
| 02/10/21 | 2.000 | 1,234.98 | Various | 1,283.57 | 48.59 W | 0.00 | Total of 2 transactions |
| 02/12/21 | 1.000 | 629.99 | 02/10/21 | 618.82 | ... | 11.17 | Short sale closed ²⁶ |
| 08/30/21 | 1.000 | 711.50 | 08/26/21 | 703.00 | ... | 8.50 | Short sale closed ²⁶ |
| 08/31/21 | 1.000 | 719.99 | 08/27/21 | 703.98 | ... | 16.01 | Short sale closed ²⁶ |
| 09/08/21 | 1.000 | 734.99 | 09/03/21 | 726.00 | ... | 8.99 | Short sale closed ²⁶ |
| Security total: | | 5,829.42 | | 5,952.90 | 168.15 W | 44.67 | |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| ALTERYX INC COM CL A / CUSIP: 02156B103 / Symbol: AYX | | | | | | | |
| 03/01/21 | 1.000 | 98.50 | 02/10/21 | 116.32 | 17.82 W | 0.00 | Sale ²⁶ |
| 03/17/21 | 1.000 | 85.33 | 02/10/21 | 133.55 | 48.22 W | 0.00 | Sale ²⁶ |
| 06/22/21 | 1.000 | 86.70 | 02/18/21 | 158.22 | ... | -71.52 | Sale ²⁶ |
| | Security total: | 270.53 | | 408.09 | 66.04 W | -71.52 | |
| AMAZON COM INC COM / CUSIP: 023135106 / Symbol: AMZN | | | | | | | |
| 01/19/21 | 1.000 | 3,129.93 | 01/06/21 | 3,132.49 | 2.56 W | 0.00 | Sale ²⁶ |
| 01/20/21 | 1.000 | 3,220.98 | 01/11/21 | 3,117.56 | ... | 103.42 | Sale ²⁶ |
| | Security total: | 6,350.91 | | 6,250.05 | 2.56 W | 103.42 | |
| AMGEN INC COM / CUSIP: 031162100 / Symbol: AMGN | | | | | | | |
| 01/07/21 | 1.000 | 234.99 | 10/08/20 | 283.71 | ... | -48.72 | Sale ²⁶ |
| 01/08/21 | 1.000 | 238.99 | 10/09/20 | 279.77 | ... | -40.78 | Sale ²⁶ |
| 01/19/21 | 1.000 | 248.60 | 10/09/20 | 261.45 | ... | -12.85 | Sale ²⁶ |
| | Security total: | 722.58 | | 824.93 | ... | -102.35 | |
| ANAPLAN INC COM / CUSIP: 03272L108 / Symbol: PLAN | | | | | | | |
| 01/28/21 | 10.000 | 685.48 | Various | 637.20 | ... | 48.28 | Total of 2 transactions |
| 01/28/21 | 10.000 | 659.98 | Various | 670.61 | 11.63 W | 1.00 | Total of 2 transactions |
| 02/01/21 | 10.000 | 697.48 | Various | 627.50 | ... | 69.98 | Total of 2 transactions |
| 02/02/21 | 5.000 | 369.99 | 01/27/21 | 312.32 | ... | 57.67 | Sale ²⁶ |
| 02/24/21 | 6.000 | 478.85 | Various | 376.04 | ... | 102.81 | Total of 2 transactions |
| 02/24/21 | 2.000 | 159.21 | 02/18/21 | 164.00 | 4.79 W | 0.00 | Sale ²⁶ |
| 04/22/21 | 2.000 | 120.00 | 02/25/21 | 133.42 | ... | -13.42 | Sale ²⁶ |
| 04/28/21 | 4.000 | 251.73 | Various | 265.80 | ... | -14.07 | Total of 2 transactions |
| 05/14/21 | 4.000 | 208.68 | 02/25/21 | 264.73 | ... | -56.05 | Sale ²⁶ |
| 08/31/21 | 4.000 | 282.10 | Various | 245.00 | ... | 37.10 | Total of 3 transactions |
| 08/31/21 | 1.000 | 65.00 | 02/25/21 | 66.00 | 1.00 W | 0.00 | Sale ²⁶ |
| | Security total: | 3,978.50 | | 3,762.62 | 17.42 W | 233.30 | |
| APOLLO GLOBAL MANAGEMENT INC 1:1 EXC 1/3/22 03769M106 / CUSIP: 03768E105 / Symbol: | | | | | | | |
| 03/17/21 | 5.000 | 240.90 | 01/15/21 | 235.24 | ... | 5.66 | Sale ²⁶ |
| 04/05/21 | 5.000 | 240.10 | 01/28/21 | 224.75 | ... | 15.35 | Sale ²⁶ |
| | Security total: | 481.00 | | 459.99 | ... | 21.01 | |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| APIAN CORPORATION COM CL A / CUSIP: 03782L101 / Symbol: APPN | | | | | | | |
| 01/26/21 | 1.000 | 211.16 | 01/21/21 | 177.00 | ... | 34.16 | Sale ²⁶ |
| 01/27/21 | 1.000 | 224.00 | 01/15/21 | 165.42 | ... | 58.58 | Sale ²⁶ |
| | Security total: | 435.16 | | 342.42 | ... | 92.74 | |
| APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL | | | | | | | |
| 03/17/21 | 1.000 | 123.52 | 02/25/21 | 123.00 | ... | 0.52 | Sale ²⁶ |
| ARCIMOTO INC COM / CUSIP: 039587100 / Symbol: FUV | | | | | | | |
| 06/10/21 | 5.000 | 65.85 | 06/09/21 | 77.75 | ... | -11.90 | Sale ²⁶ |
| ARCTURUS THERAPEUTICS HLDG INC COM / CUSIP: 03969T109 / Symbol: ARCT | | | | | | | |
| 01/07/21 | 8.000 | 525.15 | Various | 1,537.57 | 1,012.42 W | 0.00 | Total of 6 transactions |
| 01/08/21 | 4.000 | 301.99 | Various | 1,271.00 | 969.01 W | 0.00 | Total of 3 transactions |
| 01/19/21 | 3.000 | 202.09 | Various | 998.59 | 796.50 W | 0.00 | Total of 3 transactions |
| 01/20/21 | 1.000 | 70.00 | 01/12/21 | 302.69 | 232.69 W | 0.00 | Sale ²⁶ |
| 01/21/21 | 4.000 | 247.19 | Various | 561.26 | 314.07 W | 0.00 | Sale ²⁶ |
| 01/27/21 | 1.000 | 71.00 | 01/13/21 | 294.89 | 223.89 W | 0.00 | Sale ²⁶ |
| 01/29/21 | 1.000 | 72.00 | 01/15/21 | 284.89 | 212.89 W | 0.00 | Sale ²⁶ |
| 02/01/21 | 4.000 | 307.07 | Various | 1,017.85 | 710.78 W | 0.00 | Total of 4 transactions |
| 02/02/21 | 1.000 | 82.00 | 01/21/21 | 216.32 | 134.32 W | 0.00 | Sale ²⁶ |
| 02/09/21 | 1.000 | 88.00 | 01/27/21 | 195.82 | 107.82 W | 0.00 | Sale ²⁶ |
| 02/26/21 | 2.000 | 104.50 | Various | 356.56 | 252.06 W | 0.00 | Total of 2 transactions |
| 03/01/21 | 1.000 | 54.00 | 02/22/21 | 191.33 | 137.33 W | 0.00 | Sale ²⁶ |
| 03/02/21 | 1.000 | 56.00 | 02/23/21 | 196.33 | ... | -140.33 | Sale ²⁶ |
| 08/03/21 | 2.000 | 119.95 | Various | 92.85 | ... | 27.10 | Total of 2 transactions |
| 08/03/21 | 3.000 | 142.72 | Various | 266.04 | 123.32 W | 0.00 | Total of 3 transactions |
| 08/05/21 | 2.000 | 108.00 | 08/04/21 | 205.82 | 97.82 W | 0.00 | Sale ²⁶ |
| 08/09/21 | 2.000 | 136.00 | 08/04/21 | 190.17 | 63.97 W | 9.80 | Sale ²⁶ |
| 08/30/21 | 1.000 | 57.00 | 08/30/21 | 118.97 | 61.97 W | 0.00 | Sale ²⁶ |
| 09/09/21 | 1.000 | 58.00 | 09/03/21 | 116.98 | ... | -58.98 | Sale ²⁶ |
| | Security total: | 2,802.66 | | 8,415.93 | 5,450.86 W | -162.41 | |
| ARTELO BIOSCIENCES INC COM / CUSIP: 04301G201 / Symbol: ARTL | | | | | | | |
| 03/03/21 | 50.000 | 89.99 | 02/02/21 | 81.20 | ... | 8.79 | Sale ²⁶ |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| ASLAN PHARMACEUTICALS LIMITED ADR SPONSORED / CUSIP: 04522R101 / Symbol: ASLN | | | | | | | |
| 01/29/21 | 50.000 | 114.99 | 01/26/21 | 117.00 | 2.01 W | 0.00 | Sale ²⁶ |
| 02/01/21 | 50.000 | 114.49 | 01/26/21 | 117.00 | ... | -2.51 | Sale ²⁶ |
| 02/02/21 | 50.000 | 149.49 | 01/26/21 | 113.01 | ... | 36.48 | Sale ²⁶ |
| | Security total: | 378.97 | | 347.01 | 2.01 W | 33.97 | |
| ASTRAZENECA ADR SPONSORED / CUSIP: 046353108 / Symbol: AZN | | | | | | | |
| 03/17/21 | 1.000 | 49.29 | 09/08/20 | 53.50 | ... | -4.21 | Sale ²⁶ |
| 04/20/21 | 1.000 | 52.08 | 09/08/20 | 53.50 | ... | -1.42 | Sale ²⁶ |
| 04/22/21 | 4.000 | 213.60 | Various | 212.84 | ... | 0.76 | Sale ²⁶ |
| | Security total: | 314.97 | | 319.84 | ... | -4.87 | |
| ATOSSA THERAPEUTICS INC COM / CUSIP: 04962H506 / Symbol: ATOS | | | | | | | |
| 02/12/21 | 10.000 | 28.50 | 02/04/21 | 43.00 | ... | -14.50 | Sale ²⁶ |
| ATOMERA INCORPORATED COM / CUSIP: 04965B100 / Symbol: ATOM | | | | | | | |
| 03/02/21 | 2.000 | 60.00 | 02/11/21 | 71.60 | 11.60 W | 0.00 | Sale ²⁶ |
| AVALARA INC COM / CUSIP: 05338G106 / Symbol: AVL R | | | | | | | |
| 01/20/21 | 1.000 | 163.00 | 01/12/21 | 160.00 | ... | 3.00 | Sale ²⁶ |
| 01/27/21 | 5.000 | 721.78 | 01/12/21 | 794.00 | 72.22 W | 0.00 | Sale ²⁶ |
| 02/02/21 | 3.000 | 471.00 | Various | 495.23 | 24.23 W | 0.00 | Total of 3 transactions |
| 02/04/21 | 2.000 | 334.00 | Various | 317.29 | ... | 16.71 | Total of 2 transactions |
| 03/01/21 | 2.000 | 324.00 | Various | 371.78 | ... | -47.78 | Total of 2 transactions |
| 05/18/21 | 1.000 | 126.00 | 02/12/21 | 171.67 | ... | -45.67 | Sale ²⁶ |
| | Security total: | 2,139.78 | | 2,309.97 | 96.45 W | -73.74 | |
| AVALON HOLDINGS CORP COM CL A / CUSIP: 05343P109 / Symbol: AWX | | | | | | | |
| 04/16/21 | 20.000 | 68.20 | 04/05/21 | 98.80 | ... | -30.60 | Sale ²⁶ |
| AXSOME THERAPEUTICS INC COM / CUSIP: 05464T104 / Symbol: AXSM | | | | | | | |
| 09/15/21 | 5.000 | 138.95 | 08/09/21 | 140.75 | ... | -1.80 | Sale ²⁶ |
| 09/16/21 | 5.000 | 145.00 | 08/09/21 | 140.75 | ... | 4.25 | Sale ²⁶ |
| 09/21/21 | 25.000 | 761.30 | Various | 679.10 | ... | 82.20 | Total of 3 transactions |
| | Security total: | 1,045.25 | | 960.60 | ... | 84.65 | |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| AYRO INC COM / CUSIP: 054748108 / Symbol: AYRO | | | | | | | |
| 01/26/21 | 5.000 | 40.00 | 01/25/21 | 33.14 | ... | 6.86 | Sale ²⁶ |
| BIT MINING LTD ADR / CUSIP: 055474100 / Symbol: BTCM | | | | | | | |
| 09/03/21 | 5.000 | 63.65 | 09/02/21 | 63.10 | ... | 0.55 | Sale ²⁶ |
| BANDWIDTH INC COM CL A / CUSIP: 05988J103 / Symbol: BAND | | | | | | | |
| 01/07/21 | 1.000 | 151.00 | 12/28/20 | 251.69 | 100.69 W | 0.00 | Sale ²⁶ |
| 01/22/21 | 2.000 | 343.00 | Various | 365.00 | ... | -22.00 | Total of 2 transactions |
| 01/27/21 | 1.000 | 187.44 | 12/31/20 | 163.00 | ... | 24.44 | Sale ²⁶ |
| 02/01/21 | 1.000 | 179.00 | 01/29/21 | 270.79 | ... | -91.79 | Sale ²⁶ |
| 02/02/21 | 1.000 | 182.00 | 12/31/20 | 162.00 | ... | 20.00 | Sale ²⁶ |
| 02/04/21 | 1.000 | 188.00 | 01/06/21 | 154.41 | ... | 33.59 | Sale ²⁶ |
| | Security total: | 1,230.44 | | 1,366.89 | 100.69 W | -35.76 | |
| BEAM GLOBAL COM / CUSIP: 07373B109 / Symbol: BEEM | | | | | | | |
| 03/11/21 | 2.000 | 90.50 | 02/12/21 | 123.96 | 33.46 W | 0.00 | Sale ²⁶ |
| 03/15/21 | 2.000 | 100.00 | 02/16/21 | 154.46 | ... | -54.46 | Sale ²⁶ |
| 06/16/21 | 6.000 | 216.00 | 06/16/21 | 206.10 | ... | 9.90 | Sale ²⁶ |
| | Security total: | 406.50 | | 484.52 | 33.46 W | -44.56 | |
| BED BATH AND BEYOND COM / CUSIP: 075896100 / Symbol: BBBY | | | | | | | |
| 06/10/21 | 2.000 | 65.76 | 06/09/21 | 69.44 | ... | -3.68 | Sale ²⁶ |
| BEYOND MEAT INC COM / CUSIP: 08862E109 / Symbol: BYND | | | | | | | |
| 01/14/21 | 1.000 | 143.00 | 12/02/20 | 143.89 | ... | -0.89 | Sale ²⁶ |
| 01/14/21 | 6.000 | 808.00 | Various | 937.40 | 129.40 W | 0.00 | Total of 6 transactions |
| 01/19/21 | 1.000 | 141.94 | 12/30/20 | 141.16 | ... | 0.78 | Sale ²⁶ |
| 01/22/21 | 1.000 | 142.12 | 01/20/21 | 142.74 | ... | -0.62 | Sale ²⁶ |
| 01/25/21 | 2.000 | 294.00 | Various | 265.30 | ... | 28.70 | Total of 2 transactions |
| 10/28/21 | 4.000 | 387.88 | 10/22/21 | 369.20 | ... | 18.68 | Sale ²⁶ |
| | Security total: | 1,916.94 | | 1,999.69 | 129.40 W | 46.65 | |
| BIG 5 SPORTING GOODS CORP COM / CUSIP: 08915P101 / Symbol: BGFV | | | | | | | |
| 09/02/21 | 6.000 | 199.90 | Various | 171.50 | ... | 28.40 | Total of 2 transactions |

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(continued)

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|--|------------------------|---|-------------------|-------------------------|--|---|--------------------------|
| BIGCOMMERCE HLDGS INC COM / CUSIP: 08975P108 / Symbol: BIGC | | | | | | | |
| 01/07/21 | 4.000 | 249.56 | Various | 472.18 | 222.62 W | 0.00 | Total of 4 transactions |
| 01/14/21 | 2.000 | 136.00 | Various | 223.39 | 87.39 W | 0.00 | Sale ²⁶ |
| 01/21/21 | 24.000 | 1,666.24 | Various | 2,078.39 | 412.15 W | 0.00 | Total of 12 transactions |
| 01/25/21 | 3.000 | 232.00 | Various | 213.00 | ... | 19.00 | Total of 2 transactions |
| 01/26/21 | 20.000 | 1,699.96 | Various | 1,395.82 | ... | 304.14 | Sale ²⁶ |
| 01/27/21 | 3.000 | 282.00 | Various | 206.80 | ... | 75.20 | Total of 3 transactions |
| 02/02/21 | 7.000 | 522.42 | 01/28/21 | 566.40 | 43.98 W | 0.00 | Sale ²⁶ |
| 02/04/21 | 1.000 | 88.00 | 01/28/21 | 86.37 | ... | 1.63 | Sale ²⁶ |
| 02/04/21 | 2.000 | 170.00 | 01/28/21 | 174.14 | 4.14 W | 0.00 | Sale ²⁶ |
| 02/05/21 | 2.000 | 176.00 | 01/28/21 | 170.73 | ... | 5.27 | Sale ²⁶ |
| 02/08/21 | 1.000 | 85.28 | 01/29/21 | 84.37 | ... | 0.91 | Sale ²⁶ |
| 02/09/21 | 2.000 | 176.00 | Various | 164.81 | ... | 11.19 | Sale ²⁶ |
| 02/10/21 | 2.000 | 180.00 | 02/10/21 | 168.51 | ... | 11.49 | Sale ²⁶ |
| 02/18/21 | 4.000 | 277.09 | Various | 342.91 | 65.82 W | 0.00 | Total of 2 transactions |
| 02/22/21 | 4.000 | 285.60 | Various | 379.72 | 94.12 W | 0.00 | Total of 2 transactions |
| 02/24/21 | 1.000 | 68.89 | 02/16/21 | 100.15 | 31.26 W | 0.00 | Sale ²⁶ |
| 04/08/21 | 2.000 | 119.98 | 02/16/21 | 197.42 | ... | -77.44 | Sale ²⁶ |
| 07/07/21 | 4.000 | 284.00 | Various | 272.50 | ... | 11.50 | Total of 2 transactions |
| 07/07/21 | 2.000 | 138.00 | Various | 148.20 | 10.70 W | 0.50 | Sale ²⁶ |
| 07/12/21 | 2.000 | 142.00 | Various | 133.35 | ... | 8.65 | Sale ²⁶ |
| 07/20/21 | 2.000 | 132.66 | Various | 130.65 | ... | 2.01 | Sale ²⁶ |
| 07/20/21 | 2.000 | 130.80 | Various | 132.30 | 1.50 W | 0.00 | Sale ²⁶ |
| 08/02/21 | 9.000 | 595.50 | Various | 557.22 | ... | 38.28 | Total of 3 transactions |
| 11/09/21 | 5.000 | 305.00 | Various | 322.43 | ... | -17.43 | Sale ²⁶ |
| | Security total: | 8,142.98 | | 8,721.76 | 973.68 W | 394.90 | |
| BILIBILI INC ADR SPONSORED / CUSIP: 090040106 / Symbol: BILI | | | | | | | |
| 05/20/21 | 2.000 | 214.00 | 05/04/21 | 210.00 | ... | 4.00 | Sale ²⁶ |
| BIOGEN INC COM / CUSIP: 09062X103 / Symbol: BIIB | | | | | | | |
| 01/07/21 | 1.000 | 249.59 | 12/28/20 | 245.80 | ... | 3.79 | Sale ²⁶ |
| 01/25/21 | 1.000 | 274.99 | 11/30/20 | 240.75 | ... | 34.24 | Sale ²⁶ |
| 01/29/21 | 1.000 | 298.99 | 11/30/20 | 240.75 | ... | 58.24 | Sale ²⁶ |
| 01/29/21 | 1.000 | 270.99 | 01/29/21 | 295.50 | 24.51 W | 0.00 | Sale ²⁶ |
| 02/11/21 | 1.000 | 280.99 | 02/03/21 | 291.51 | ... | -10.52 | Sale ²⁶ |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|---------------------------------|
| BIOGEN INC COM / CUSIP: 09062X103 / Symbol: BIIB (cont'd) | | | | | | | |
| 02/26/21 | 1.000 | 272.78 | 11/30/20 | 240.00 | ... | 32.78 | Sale ²⁶ |
| 06/07/21 | 4.000 | 1,816.00 | Various | 1,770.51 | ... | 45.49 | Total of 2 transactions |
| 06/07/21 | 3.000 | 1,309.49 | 06/07/21 | 1,320.00 | 10.51 W | 0.00 | Sale ²⁶ |
| 06/15/21 | 1.000 | 409.00 | 06/11/21 | 400.00 | ... | 9.00 | Short sale closed ²⁶ |
| 06/17/21 | 1.000 | 425.00 | 06/15/21 | 403.00 | ... | 22.00 | Short sale closed ²⁶ |
| | Security total: | 5,607.82 | | 5,447.82 | 35.02 W | 195.02 | |
| BIONANO GENOMICS INC COM / CUSIP: 09075F107 / Symbol: BNGO | | | | | | | |
| 01/25/21 | 10.000 | 125.00 | Various | 104.88 | ... | 20.12 | Total of 2 transactions |
| 01/29/21 | 5.000 | 54.75 | 01/27/21 | 50.50 | ... | 4.25 | Sale ²⁶ |
| 02/02/21 | 5.000 | 60.00 | 01/22/21 | 45.00 | ... | 15.00 | Sale ²⁶ |
| 02/12/21 | 5.000 | 67.50 | 01/22/21 | 44.65 | ... | 22.85 | Sale ²⁶ |
| 05/14/21 | 5.000 | 26.40 | 02/18/21 | 65.00 | ... | -38.60 | Sale ²⁶ |
| 05/17/21 | 5.000 | 27.50 | 02/18/21 | 65.00 | ... | -37.50 | Sale ²⁶ |
| | Security total: | 361.15 | | 375.03 | ... | -13.88 | |
| BIOLASE INC COM / CUSIP: 090911207 / Symbol: BIOL | | | | | | | |
| 01/29/21 | 50.000 | 55.99 | 01/26/21 | 59.50 | ... | -3.51 | Sale ²⁶ |
| 02/23/21 | 50.000 | 43.99 | 01/26/21 | 59.49 | ... | -15.50 | Sale ²⁶ |
| | Security total: | 99.98 | | 118.99 | ... | -19.01 | |
| BLINK CHARGING CO COM / CUSIP: 09354A100 / Symbol: BLNK | | | | | | | |
| 03/01/21 | 5.000 | 202.20 | 02/10/21 | 278.30 | 76.10 W | 0.00 | Sale ²⁶ |
| 06/14/21 | 5.000 | 211.95 | 02/10/21 | 346.10 | 134.15 W | 0.00 | Sale ²⁶ |
| 11/15/21 | 30.000 | 1,380.00 | Various | 1,454.30 | ... | -74.30 | Total of 2 transactions |
| 11/15/21 | 10.000 | 450.00 | Various | 600.70 | 150.70 W | 0.00 | Sale ²⁶ |
| | Security total: | 2,244.15 | | 2,679.40 | 360.95 W | -74.30 | |
| BLUE APRON HOLDINGS INC COM CL A / CUSIP: 09523Q200 / Symbol: APRN | | | | | | | |
| 08/06/21 | 10.000 | 43.00 | Various | 41.70 | ... | 1.30 | Sale ²⁶ |

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Account 455458346

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2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| BOX INC COM CL A / CUSIP: 10316T104 / Symbol: BOX | | | | | | | |
| 01/07/21 | 4.000 | 72.60 | 09/03/20 | 78.00 | ... | -5.40 | Sale ²⁶ |
| 01/14/21 | 2.000 | 36.00 | 09/03/20 | 39.00 | ... | -3.00 | Sale ²⁶ |
| 01/27/21 | 6.000 | 110.28 | 09/03/20 | 117.00 | ... | -6.72 | Sale ²⁶ |
| | Security total: | 218.88 | | 234.00 | ... | -15.12 | |
| C3.AI INC COM CL A / CUSIP: 12468P104 / Symbol: AI | | | | | | | |
| 01/25/21 | 4.000 | 561.00 | Various | 496.05 | ... | 64.95 | Total of 4 transactions |
| 01/27/21 | 1.000 | 159.00 | 01/27/21 | 136.30 | ... | 22.70 | Sale ²⁶ |
| 02/01/21 | 5.000 | 747.00 | Various | 692.02 | ... | 54.98 | Total of 5 transactions |
| 02/09/21 | 2.000 | 327.00 | Various | 247.78 | ... | 79.22 | Total of 2 transactions |
| 02/10/21 | 1.000 | 172.31 | 02/10/21 | 163.60 | ... | 8.71 | Sale ²⁶ |
| 03/01/21 | 1.000 | 116.00 | 02/10/21 | 163.59 | 47.59 W | 0.00 | Sale ²⁶ |
| | Security total: | 2,082.31 | | 1,899.34 | 47.59 W | 230.56 | |
| CPS TECHNOLOGIES CORPORATION COM / CUSIP: 12619F104 / Symbol: CPSH | | | | | | | |
| 02/04/21 | 2.000 | 39.56 | 02/04/21 | 50.00 | ... | -10.44 | Sale ²⁶ |
| CAMBER ENERGY INC COM / CUSIP: 13200M508 / Symbol: CEI | | | | | | | |
| 09/14/21 | 50.000 | 74.49 | 09/03/21 | 35.00 | ... | 39.49 | Sale ²⁶ |
| CAMPING WORLD HOLDINGS INC COM CL A / CUSIP: 13462K109 / Symbol: CWH | | | | | | | |
| 01/07/21 | 5.000 | 145.00 | 12/17/20 | 140.00 | ... | 5.00 | Sale ²⁶ |
| 01/12/21 | 10.000 | 302.50 | Various | 280.00 | ... | 22.50 | Total of 2 transactions |
| 01/14/21 | 10.000 | 327.50 | Various | 279.23 | ... | 48.27 | Total of 2 transactions |
| 01/19/21 | 5.000 | 158.93 | 12/17/20 | 139.25 | ... | 19.68 | Sale ²⁶ |
| 01/20/21 | 5.000 | 165.00 | 12/21/20 | 137.50 | ... | 27.50 | Sale ²⁶ |
| 01/21/21 | 15.000 | 519.99 | Various | 412.35 | ... | 107.64 | Total of 2 transactions |
| 01/22/21 | 5.000 | 180.00 | 12/21/20 | 137.41 | ... | 42.59 | Sale ²⁶ |
| 02/02/21 | 5.000 | 177.50 | 01/28/21 | 175.00 | ... | 2.50 | Sale ²⁶ |
| 02/24/21 | 4.000 | 146.94 | Various | 109.93 | ... | 37.01 | Total of 2 transactions |
| 03/09/21 | 4.000 | 143.60 | 03/02/21 | 129.27 | ... | 14.33 | Sale ²⁶ |
| 03/15/21 | 2.000 | 86.00 | 03/03/21 | 64.20 | ... | 21.80 | Sale ²⁶ |
| 03/24/21 | 4.000 | 144.48 | Various | 126.00 | ... | 18.48 | Total of 2 transactions |
| 04/05/21 | 2.000 | 74.00 | Various | 58.98 | ... | 15.02 | Sale ²⁶ |
| | Security total: | 2,571.44 | | 2,189.12 | ... | 382.32 | |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| CANOO INC COM CL A / CUSIP: 13803R102 / Symbol: GOEV | | | | | | | |
| 02/10/21 | 10.000 | 159.00 | Various | 167.43 | 8.43 W | 0.00 | Sale ²⁶ |
| 03/01/21 | 20.000 | 275.50 | Various | 363.13 | 87.63 W | 0.00 | Total of 2 transactions |
| 03/11/21 | 40.000 | 607.00 | Various | 965.30 | 358.30 W | 0.00 | Total of 4 transactions |
| 03/12/21 | 10.000 | 160.00 | 02/16/21 | 260.77 | 100.77 W | 0.00 | Sale ²⁶ |
| | Security total: | 1,201.50 | | 1,756.63 | 555.13 W | 0.00 | |
| CARLOTZ INC COM CL A / CUSIP: 142552108 / Symbol: LOTZ | | | | | | | |
| 06/09/21 | 5.000 | 32.95 | 06/08/21 | 33.00 | 0.05 W | 0.00 | Sale ²⁶ |
| 06/10/21 | 5.000 | 29.80 | 06/08/21 | 32.95 | 3.15 W | 0.00 | Sale ²⁶ |
| 06/16/21 | 5.000 | 27.38 | 06/09/21 | 35.85 | 8.47 W | 0.00 | Sale ²⁶ |
| 07/15/21 | 5.000 | 22.36 | 06/10/21 | 38.52 | ... | -16.16 | Sale ²⁶ |
| | Security total: | 112.49 | | 140.32 | 11.67 W | -16.16 | |
| CARMAX INC COM / CUSIP: 143130102 / Symbol: KMX | | | | | | | |
| 01/05/21 | 2.000 | 188.06 | 12/21/20 | 194.00 | 5.94 W | 0.00 | Sale ²⁶ |
| 01/06/21 | 4.000 | 388.00 | Various | 377.94 | ... | 10.06 | Total of 2 transactions |
| 01/07/21 | 7.000 | 698.60 | Various | 646.50 | ... | 52.10 | Total of 4 transactions |
| 01/08/21 | 2.000 | 204.00 | Various | 184.00 | ... | 20.00 | Sale ²⁶ |
| 01/12/21 | 2.000 | 210.00 | Various | 183.00 | ... | 27.00 | Sale ²⁶ |
| 01/13/21 | 2.000 | 216.00 | Various | 181.50 | ... | 34.50 | Sale ²⁶ |
| 01/29/21 | 2.000 | 239.37 | 10/21/20 | 180.50 | ... | 58.87 | Sale ²⁶ |
| 02/23/21 | 2.000 | 236.99 | Various | 208.81 | ... | 28.18 | Sale ²⁶ |
| 03/01/21 | 1.000 | 122.00 | 10/26/20 | 88.00 | ... | 34.00 | Sale ²⁶ |
| | Security total: | 2,503.02 | | 2,244.25 | 5.94 W | 264.71 | |
| CARPARTS COM INC COM / CUSIP: 14427M107 / Symbol: PRTS | | | | | | | |
| 01/26/21 | 40.000 | 725.00 | Various | 625.50 | ... | 99.50 | Total of 4 transactions |
| CARVANA CO COM CL A / CUSIP: 146869102 / Symbol: CVNA | | | | | | | |
| 01/07/21 | 1.000 | 259.89 | 12/28/20 | 251.00 | ... | 8.89 | Sale ²⁶ |
| 03/05/21 | 3.000 | 944.00 | Various | 893.43 | ... | 50.57 | Total of 3 transactions |
| 03/05/21 | 3.000 | 911.77 | Various | 937.93 | 26.16 W | 0.00 | Total of 3 transactions |
| | Security total: | 2,115.66 | | 2,082.36 | 26.16 W | 59.46 | |

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2021 1099-B* OMB No. 1545-0715

(continued)

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|--|----------|---|-------------------|-------------------------|--|---|-------------------------|
| CASSAVA SCIENCES INC COM / CUSIP: 14817C107 / Symbol: SAVA | | | | | | | |
| 02/08/21 | 10.000 | 645.68 | Various | 818.64 | 172.96 W | 0.00 | Total of 5 transactions |
| 02/16/21 | 6.000 | 334.00 | Various | 540.10 | 206.10 W | 0.00 | Total of 3 transactions |
| 02/22/21 | 8.000 | 506.00 | Various | 624.04 | 127.04 W | 9.00 | Total of 4 transactions |
| 02/25/21 | 2.000 | 114.00 | 02/22/21 | 120.00 | 6.00 W | 0.00 | Sale ²⁶ |
| 02/26/21 | 5.000 | 255.31 | Various | 281.35 | 26.04 W | 0.00 | Total of 2 transactions |
| 03/01/21 | 3.000 | 156.00 | Various | 157.08 | 1.08 W | 0.00 | Sale ²⁶ |
| 03/02/21 | 2.000 | 107.00 | 02/11/21 | 103.61 | ... | 3.39 | Sale ²⁶ |
| 03/09/21 | 2.000 | 103.24 | Various | 100.96 | ... | 2.28 | Sale ²⁶ |
| 03/11/21 | 3.000 | 163.26 | Various | 133.75 | ... | 29.51 | Total of 2 transactions |
| 03/15/21 | 2.000 | 112.00 | 02/12/21 | 88.00 | ... | 24.00 | Sale ²⁶ |
| 11/19/21 | 2.000 | 108.14 | Various | 156.86 | 48.72 W | 0.00 | Total of 2 transactions |
| 11/24/21 | 2.000 | 116.00 | 11/17/21 | 121.35 | 16.11 W | 10.76 | Sale ²⁶ |
| 11/26/21 | 2.000 | 119.94 | 11/17/21 | 110.31 | 3.09 W | 12.72 | Sale ²⁶ |
| 12/08/21 | 2.000 | 102.00 | Various | 95.05 | ... | 6.95 | Sale ²⁶ |
| Security total: | | 2,942.57 | | 3,451.10 | 607.14 W | 98.61 | |
| CATO CORP COM CL A / CUSIP: 149205106 / Symbol: CATO | | | | | | | |
| 06/07/21 | 2.000 | 32.96 | 05/20/21 | 30.90 | ... | 2.06 | Sale ²⁶ |
| CELLECT BIOTECHNOLOGY LTD 1:4 R/S 9/24/21 15116C300 / CUSIP: 15116C201 / Symbol: | | | | | | | |
| 09/03/21 | 5.000 | 43.35 | 09/03/21 | 44.00 | 0.65 W | 0.00 | Sale ²⁶ |
| CELLECT BIOTECHNOLOGY LTD 1:1 EXC 10/29/21 74907L102 / CUSIP: 15116C300 / Symbol: APOP | | | | | | | |
| 09/27/21 | 0.500 | 13.31 | 09/07/21 | 17.06 | ... | -3.75 | Sale ²⁶ |
| 09/27/21 | 2.000 | 44.00 | Various | 58.37 | 5.28 W | -9.09 | Sale ²⁶ |
| Security total: | | 57.31 | | 75.43 | 5.28 W | -12.84 | |
| CELLECTIS ADR SPONSORED / CUSIP: 15117K103 / Symbol: CLLS | | | | | | | |
| 03/16/21 | 10.000 | 240.00 | 02/03/21 | 243.00 | 3.00 W | 0.00 | Sale ²⁶ |
| 03/22/21 | 5.000 | 110.00 | 02/18/21 | 121.75 | 11.75 W | 0.00 | Sale ²⁶ |
| Security total: | | 350.00 | | 364.75 | 14.75 W | 0.00 | |
| CELSION CORPORATION COM / CUSIP: 15117N503 / Symbol: CLSN | | | | | | | |
| 02/10/21 | 30.000 | 57.00 | 01/21/21 | 54.00 | ... | 3.00 | Sale ²⁶ |

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| CELSIUS HOLDINGS INC COM / CUSIP: 15118V207 / Symbol: CELH | | | | | | | |
| 03/01/21 | 2.000 | 130.00 | 02/16/21 | 120.00 | ... | 10.00 | Sale ²⁶ |
| 03/15/21 | 2.000 | 97.00 | 02/02/21 | 110.28 | 13.28 W | 0.00 | Sale ²⁶ |
| 03/17/21 | 4.000 | 200.40 | Various | 218.55 | 18.15 W | 0.00 | Total of 2 transactions |
| 04/05/21 | 2.000 | 102.00 | 03/12/21 | 100.08 | ... | 1.92 | Sale ²⁶ |
| 04/05/21 | 2.000 | 100.00 | 03/12/21 | 101.78 | 1.78 W | 0.00 | Sale ²⁶ |
| 04/12/21 | 2.000 | 110.00 | Various | 95.28 | ... | 14.72 | Sale ²⁶ |
| 04/13/21 | 3.000 | 175.24 | Various | 141.35 | ... | 33.89 | Total of 2 transactions |
| 04/15/21 | 2.000 | 120.00 | Various | 93.94 | ... | 26.06 | Sale ²⁶ |
| 04/16/21 | 2.000 | 120.00 | 03/12/21 | 90.74 | ... | 29.26 | Sale ²⁶ |
| 04/21/21 | 5.000 | 290.00 | Various | 225.60 | ... | 64.40 | Sale ²⁶ |
| | Security total: | 1,444.64 | | 1,297.60 | 33.21 W | 180.25 | |
| CHANGE HEALTHCARE INC COM / CUSIP: 15912K100 / Symbol: CHNG | | | | | | | |
| 01/29/21 | 5.000 | 119.00 | 12/28/20 | 91.68 | ... | 27.32 | Sale ²⁶ |
| 03/17/21 | 5.000 | 115.35 | Various | 84.92 | ... | 30.43 | Sale ²⁶ |
| | Security total: | 234.35 | | 176.60 | ... | 57.75 | |
| CHEESECAKE FACTORY COM / CUSIP: 163072101 / Symbol: CAKE | | | | | | | |
| 04/14/21 | 2.000 | 118.70 | 04/06/21 | 116.64 | ... | 2.06 | Sale ²⁶ |
| CHEWY INC COM CL A / CUSIP: 16679L109 / Symbol: CHWY | | | | | | | |
| 01/27/21 | 1.000 | 112.00 | 01/15/21 | 108.81 | ... | 3.19 | Sale ²⁶ |
| 02/05/21 | 1.000 | 109.00 | 01/15/21 | 107.00 | ... | 2.00 | Sale ²⁶ |
| | Security total: | 221.00 | | 215.81 | ... | 5.19 | |
| CHURCHILL CAPITAL CORP IV 1:1 EXC 7/26/21 549498103 / CUSIP: 171439102 / Symbol: | | | | | | | |
| 02/01/21 | 5.000 | 140.00 | 01/27/21 | 115.09 | ... | 24.91 | Sale ²⁶ |
| 02/01/21 | 5.000 | 125.00 | Various | 122.24 | 0.09 W | 2.85 | Sale ²⁶ |
| 02/02/21 | 10.000 | 295.00 | Various | 218.39 | ... | 76.61 | Total of 2 transactions |
| 02/16/21 | 5.000 | 262.09 | 01/27/21 | 105.00 | ... | 157.09 | Sale ²⁶ |
| 02/17/21 | 5.000 | 274.99 | 01/27/21 | 105.00 | ... | 169.99 | Sale ²⁶ |
| 02/26/21 | 10.000 | 317.50 | Various | 503.96 | 186.46 W | 0.00 | Total of 2 transactions |
| 03/01/21 | 5.000 | 163.75 | Various | 276.73 | 112.98 W | 0.00 | Sale ²⁶ |
| 03/03/21 | 20.000 | 494.00 | 02/24/21 | 733.02 | 239.02 W | 0.00 | Sale ²⁶ |

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Account 455458346

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(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| CHURCHILL CAPITAL CORP IV 1:1 EXC 7/26/21 549498103 / CUSIP: 171439102 / Symbol: (cont'd) | | | | | | | |
| 03/15/21 | 5.000 | 150.00 | 02/24/21 | 297.41 | 147.41 W | 0.00 | Sale ²⁶ |
| 03/16/21 | 10.000 | 320.00 | Various | 594.07 | 274.07 W | 0.00 | Total of 2 transactions |
| | Security total: | 2,542.33 | | 3,070.91 | 960.03 W | 431.45 | |
| CINEDIGM CORPORATION COM / CUSIP: 172406209 / Symbol: CIDM | | | | | | | |
| 01/27/21 | 50.000 | 57.62 | 01/26/21 | 75.00 | 17.38 W | 0.00 | Sale ²⁶ |
| 03/01/21 | 100.000 | 145.98 | Various | 164.38 | ... | -18.40 | Total of 2 transactions |
| | Security total: | 203.60 | | 239.38 | 17.38 W | -18.40 | |
| CLEAN ENERGY FUELS CORPORATION COM / CUSIP: 184499101 / Symbol: CLNE | | | | | | | |
| 03/10/21 | 5.000 | 68.25 | 02/16/21 | 79.21 | 10.96 W | 0.00 | Sale ²⁶ |
| 03/11/21 | 15.000 | 209.25 | Various | 239.71 | ... | -30.46 | Sale ²⁶ |
| | Security total: | 277.50 | | 318.92 | 10.96 W | -30.46 | |
| CLOOPEN GROUP HLDG LTD ADR / CUSIP: 18900M104 / Symbol: RAAS | | | | | | | |
| 03/01/21 | 10.000 | 207.11 | Various | 407.09 | 199.98 W | 0.00 | Total of 2 transactions |
| 03/16/21 | 10.000 | 200.00 | 02/10/21 | 470.36 | 270.36 W | 0.00 | Sale ²⁶ |
| 03/17/21 | 14.000 | 278.15 | Various | 881.37 | 603.22 W | 0.00 | Total of 2 transactions |
| 03/18/21 | 5.000 | 105.00 | 02/25/21 | 371.98 | 266.98 W | 0.00 | Sale ²⁶ |
| 09/02/21 | 30.000 | 180.50 | Various | 1,756.48 | 1,575.98 W | 0.00 | Total of 3 transactions |
| | Security total: | 970.76 | | 3,887.28 | 2,916.52 W | 0.00 | |
| CLOVER HEALTH INVESTMENTS CORP COM CL A / CUSIP: 18914F103 / Symbol: CLOV | | | | | | | |
| 02/02/21 | 10.000 | 145.30 | Various | 136.42 | ... | 8.88 | Sale ²⁶ |
| 03/01/21 | 5.000 | 55.00 | 02/08/21 | 64.30 | 9.30 W | 0.00 | Sale ²⁶ |
| 03/09/21 | 20.000 | 155.80 | Various | 227.85 | 72.05 W | 0.00 | Sale ²⁶ |
| 04/16/21 | 20.000 | 184.46 | Various | 219.55 | ... | -35.09 | Total of 3 transactions |
| 06/08/21 | 5.000 | 100.00 | 05/19/21 | 34.24 | ... | 65.76 | Sale ²⁶ |
| 06/09/21 | 5.000 | 128.55 | 05/19/21 | 34.00 | ... | 94.55 | Sale ²⁶ |
| 08/09/21 | 20.000 | 179.80 | Various | 192.00 | 12.20 W | 0.00 | Total of 2 transactions |
| 08/11/21 | 20.000 | 177.90 | Various | 160.40 | ... | 17.50 | Total of 2 transactions |
| 08/11/21 | 10.000 | 87.50 | Various | 85.60 | 0.55 W | 2.45 | Sale ²⁶ |
| 08/25/21 | 5.000 | 45.00 | 08/13/21 | 42.55 | ... | 2.45 | Sale ²⁶ |
| 09/07/21 | 5.000 | 55.25 | 08/30/21 | 41.50 | ... | 13.75 | Sale ²⁶ |
| | Security total: | 1,314.56 | | 1,238.41 | 94.10 W | 170.25 | |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| CLOUDERA INC CSH MRG \$16.00/SH 10/8/21 / CUSIP: 18914U100 / Symbol: CLDR | | | | | | | |
| 02/01/21 | 5.000 | 79.50 | Various | 71.78 | ... | 7.72 | Sale ²⁶ |
| CLOUDFLARE INC COM CL A / CUSIP: 18915M107 / Symbol: NET | | | | | | | |
| 01/25/21 | 1.000 | 85.22 | 12/23/20 | 85.00 | ... | 0.22 | Sale ²⁶ |
| 01/25/21 | 5.000 | 394.54 | Various | 408.12 | 13.58 W | 0.00 | Sale ²⁶ |
| 01/27/21 | 5.000 | 365.39 | Various | 404.75 | 39.36 W | 0.00 | Sale ²⁶ |
| 01/29/21 | 1.000 | 76.75 | 01/15/21 | 86.57 | 9.82 W | 0.00 | Sale ²⁶ |
| 02/08/21 | 1.000 | 89.00 | 01/26/21 | 86.82 | ... | 2.18 | Sale ²⁶ |
| 02/09/21 | 1.000 | 93.83 | 12/29/20 | 86.01 | ... | 7.82 | Sale ²⁶ |
| 02/11/21 | 4.000 | 364.78 | Various | 350.08 | ... | 14.70 | Total of 4 transactions |
| 04/23/21 | 2.000 | 165.49 | Various | 171.48 | ... | -5.99 | Total of 2 transactions |
| 04/27/21 | 2.000 | 170.00 | Various | 169.01 | ... | 0.99 | Sale ²⁶ |
| 04/28/21 | 2.000 | 172.30 | Various | 165.92 | ... | 6.38 | Sale ²⁶ |
| 05/07/21 | 4.000 | 307.63 | Various | 320.59 | ... | -12.96 | Total of 2 transactions |
| 05/24/21 | 1.000 | 77.00 | 02/25/21 | 75.00 | ... | 2.00 | Sale ²⁶ |
| 05/25/21 | 3.000 | 240.00 | Various | 223.14 | ... | 16.86 | Sale ²⁶ |
| 05/27/21 | 1.000 | 82.00 | 02/25/21 | 74.00 | ... | 8.00 | Sale ²⁶ |
| 06/14/21 | 1.000 | 95.00 | 01/27/21 | 72.10 | ... | 22.90 | Sale ²⁶ |
| 06/17/21 | 1.000 | 99.00 | 01/27/21 | 72.10 | ... | 26.90 | Sale ²⁶ |
| | Security total: | 2,877.93 | | 2,850.69 | 62.76 W | 90.00 | |
| CLOVIS ONCOLOGY INC COM / CUSIP: 189464100 / Symbol: CLVS | | | | | | | |
| 03/23/21 | 6.000 | 41.73 | 03/19/21 | 46.50 | 3.38 W | -1.39 | Sale ²⁶ |
| 05/12/21 | 4.000 | 24.16 | 03/19/21 | 33.38 | 9.22 W | 0.00 | Sale ²⁶ |
| 06/10/21 | 10.000 | 58.00 | 06/09/21 | 78.32 | 20.32 W | 0.00 | Sale ²⁶ |
| 06/16/21 | 20.000 | 126.00 | 06/09/21 | 146.42 | ... | -20.42 | Sale ²⁶ |
| 09/02/21 | 10.000 | 49.40 | 08/27/21 | 46.70 | ... | 2.70 | Sale ²⁶ |
| | Security total: | 299.29 | | 351.32 | 32.92 W | -19.11 | |
| COHBAR INC COM / CUSIP: 19249J109 / Symbol: CWBR | | | | | | | |
| 01/28/21 | 5.000 | 8.65 | 01/26/21 | 11.00 | 2.35 W | 0.00 | Sale ²⁶ |
| 03/03/21 | 5.000 | 7.30 | 01/26/21 | 13.35 | ... | -6.05 | Sale ²⁶ |
| 06/29/21 | 5.000 | 7.95 | 01/26/21 | 10.90 | ... | -2.95 | Sale ²⁶ |
| 07/09/21 | 5.000 | 7.45 | 01/27/21 | 9.50 | ... | -2.05 | Sale ²⁶ |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| COHBAR INC COM / CUSIP: 19249J109 / Symbol: CWBR (cont'd) | | | | | | | |
| 08/02/21 | 5.000 | 5.75 | 05/17/21 | 6.00 | ... | -0.25 | Sale ²⁶ |
| 08/03/21 | 5.000 | 5.95 | 05/17/21 | 5.90 | ... | 0.05 | Sale ²⁶ |
| | Security total: | 43.05 | | 56.65 | 2.35 W | -11.25 | |
| COHU INC COM / CUSIP: 192576106 / Symbol: COHU | | | | | | | |
| 03/16/21 | 3.000 | 141.00 | Various | 141.17 | 1.17 W | 1.00 | Sale ²⁶ |
| 03/17/21 | 4.000 | 184.00 | Various | 183.17 | ... | 0.83 | Sale ²⁶ |
| | Security total: | 325.00 | | 324.34 | 1.17 W | 1.83 | |
| COINBASE GLOBAL INC COM CL A / CUSIP: 19260Q107 / Symbol: COIN | | | | | | | |
| 06/14/21 | 1.000 | 229.25 | 06/09/21 | 225.70 | ... | 3.55 | Sale ²⁶ |
| 06/23/21 | 1.000 | 224.99 | 06/22/21 | 212.11 | ... | 12.88 | Sale ²⁶ |
| 06/24/21 | 1.000 | 229.99 | 06/22/21 | 211.00 | ... | 18.99 | Sale ²⁶ |
| 07/26/21 | 1.000 | 248.99 | 07/14/21 | 231.00 | ... | 17.99 | Sale ²⁶ |
| 08/05/21 | 2.000 | 503.99 | Various | 458.00 | ... | 45.99 | Total of 2 transactions |
| 08/09/21 | 1.000 | 275.99 | 07/15/21 | 225.00 | ... | 50.99 | Sale ²⁶ |
| | Security total: | 1,713.20 | | 1,562.81 | ... | 150.39 | |
| COMPASS PATHWAYS PLC ADR / CUSIP: 20451W101 / Symbol: CMPS | | | | | | | |
| 01/04/21 | 5.000 | 224.25 | Various | 224.27 | ... | -0.02 | Sale ²⁶ |
| CONCENTRIX CORPORATION COM / CUSIP: 20602D101 / Symbol: CNXC | | | | | | | |
| 01/08/21 | 3.000 | 327.00 | Various | 302.00 | ... | 25.00 | Total of 2 transactions |
| 01/11/21 | 2.000 | 228.00 | Various | 197.15 | ... | 30.85 | Total of 2 transactions |
| 02/23/21 | 1.000 | 112.97 | 01/27/21 | 114.98 | ... | -2.01 | Sale ²⁶ |
| | Security total: | 667.97 | | 614.13 | ... | 53.84 | |
| CORSAIR GAMING INC COM / CUSIP: 22041X102 / Symbol: CRSR | | | | | | | |
| 01/07/21 | 5.000 | 194.95 | 12/24/20 | 184.50 | ... | 10.45 | Sale ²⁶ |
| 01/08/21 | 5.000 | 200.00 | Various | 183.25 | ... | 16.75 | Sale ²⁶ |
| 01/12/21 | 10.000 | 421.75 | Various | 360.89 | ... | 60.86 | Total of 2 transactions |
| 01/22/21 | 10.000 | 382.50 | Various | 372.50 | ... | 10.00 | Total of 2 transactions |
| 01/25/21 | 5.000 | 200.00 | 01/20/21 | 181.58 | ... | 18.42 | Sale ²⁶ |
| 01/27/21 | 15.000 | 709.98 | Various | 616.50 | ... | 93.48 | Total of 3 transactions |
| 01/29/21 | 5.000 | 200.00 | 01/28/21 | 190.00 | ... | 10.00 | Sale ²⁶ |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| CORSAIR GAMING INC COM / CUSIP: 22041X102 / Symbol: CRSR (cont'd) | | | | | | | |
| 02/03/21 | 20.000 | 840.75 | Various | 752.67 | ... | 88.08 | Total of 4 transactions |
| 02/04/21 | 10.000 | 445.00 | Various | 365.00 | ... | 80.00 | Total of 2 transactions |
| 02/08/21 | 10.000 | 474.98 | Various | 357.15 | ... | 117.83 | Total of 2 transactions |
| 02/09/21 | 5.000 | 239.99 | 02/09/21 | 218.45 | ... | 21.54 | Sale ²⁶ |
| 05/05/21 | 5.000 | 180.00 | 02/09/21 | 210.00 | ... | -30.00 | Sale ²⁶ |
| 06/14/21 | 5.000 | 212.50 | 02/11/21 | 205.00 | ... | 7.50 | Sale ²⁶ |
| 06/14/21 | 5.000 | 200.00 | 02/10/21 | 210.00 | 10.00 W | 0.00 | Sale ²⁶ |
| | Security total: | 4,902.40 | | 4,407.49 | 10.00 W | 504.91 | |
| CORVUS GOLD INC CSH MRG \$4.10/SH CAD 1/19/22 / CUSIP: 221013105 / Symbol: KOR | | | | | | | |
| 07/14/21 | 10.000 | 31.90 | 07/13/21 | 30.00 | ... | 1.90 | Sale ²⁶ |
| COTY INC COM CL A / CUSIP: 222070203 / Symbol: COTY | | | | | | | |
| 03/25/21 | 20.000 | 157.20 | Various | 134.32 | ... | 22.88 | Sale ²⁶ |
| 04/13/21 | 10.000 | 85.00 | Various | 64.60 | ... | 20.40 | Sale ²⁶ |
| | Security total: | 242.20 | | 198.92 | ... | 43.28 | |
| COUPA SOFTWARE INCORPORATED COM / CUSIP: 22266L106 / Symbol: COUP | | | | | | | |
| 01/13/21 | 2.000 | 707.98 | Various | 719.51 | 11.53 W | 0.00 | Total of 2 transactions |
| 01/21/21 | 2.000 | 688.86 | Various | 715.17 | 26.31 W | 0.00 | Total of 2 transactions |
| 01/25/21 | 2.000 | 648.49 | Various | 713.16 | 64.67 W | 0.00 | Sale ²⁶ |
| 01/28/21 | 3.000 | 930.65 | Various | 1,222.75 | 292.10 W | 0.00 | Total of 3 transactions |
| 02/01/21 | 3.000 | 938.52 | Various | 1,345.33 | 406.81 W | 0.00 | Total of 3 transactions |
| 02/02/21 | 4.000 | 1,299.97 | Various | 1,720.12 | 420.15 W | 0.00 | Total of 3 transactions |
| 02/04/21 | 1.000 | 337.99 | 01/27/21 | 411.30 | ... | -73.31 | Sale ²⁶ |
| 02/05/21 | 1.000 | 349.99 | 01/26/21 | 347.27 | ... | 2.72 | Sale ²⁶ |
| | Security total: | 5,902.45 | | 7,194.61 | 1,221.57 W | -70.59 | |
| COUPANG INC COM CL A / CUSIP: 22266T109 / Symbol: CPNG | | | | | | | |
| 04/20/21 | 1.000 | 45.15 | 03/15/21 | 49.25 | ... | -4.10 | Sale ²⁶ |
| CREATD INC COM / CUSIP: 225265107 / Symbol: CRTD | | | | | | | |
| 07/13/21 | 10.000 | 54.80 | 07/13/21 | 60.60 | ... | -5.80 | Sale ²⁶ |

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Account 455458346

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(continued)

02/17/2022

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|--|----------|---|-------------------|-------------------------|--|---|-------------------------|
| CURO GROUP HOLDINGS CORP COM / CUSIP: 23131L107 / Symbol: CURO | | | | | | | |
| 03/01/21 | 10.000 | 150.00 | 02/05/21 | 168.94 | 18.94 W | 0.00 | Sale ²⁶ |
| 03/10/21 | 10.000 | 160.00 | 02/05/21 | 185.68 | 25.68 W | 0.00 | Sale ²⁶ |
| 04/20/21 | 25.000 | 322.75 | Various | 439.37 | ... | -116.62 | Sale ²⁶ |
| 05/03/21 | 20.000 | 302.50 | Various | 327.75 | ... | -25.25 | Total of 2 transactions |
| 05/07/21 | 20.000 | 317.40 | Various | 321.00 | ... | -3.60 | Total of 2 transactions |
| 05/26/21 | 15.000 | 241.48 | Various | 232.74 | ... | 8.74 | Total of 3 transactions |
| 06/14/21 | 10.000 | 177.50 | 02/11/21 | 155.00 | ... | 22.50 | Sale ²⁶ |
| 06/16/21 | 10.000 | 175.30 | 02/18/21 | 149.40 | ... | 25.90 | Sale ²⁶ |
| Security total: | | 1,846.93 | | 1,979.88 | 44.62 W | -88.33 | |
| D-MARKET ELECTR SVCS & TRADING ADR / CUSIP: 23292B104 / Symbol: HEPS | | | | | | | |
| 07/02/21 | 5.000 | 73.05 | 07/01/21 | 65.15 | ... | 7.90 | Sale ²⁶ |
| 07/07/21 | 25.000 | 359.25 | Various | 323.97 | ... | 35.28 | Total of 3 transactions |
| 07/16/21 | 11.000 | 145.20 | 07/07/21 | 140.36 | ... | 4.84 | Sale ²⁶ |
| Security total: | | 577.50 | | 529.48 | ... | 48.02 | |
| DIDI GLOBAL INC ADR / CUSIP: 23292E108 / Symbol: DIDI | | | | | | | |
| 07/01/21 | 5.000 | 81.25 | 06/30/21 | 78.65 | ... | 2.60 | Sale ²⁶ |
| 07/06/21 | 5.000 | 61.50 | 06/30/21 | 78.50 | 17.00 W | 0.00 | Sale ²⁶ |
| 07/09/21 | 5.000 | 61.20 | 06/30/21 | 95.00 | 33.80 W | 0.00 | Sale ²⁶ |
| 07/23/21 | 10.000 | 90.00 | 06/30/21 | 186.30 | ... | -96.30 | Sale ²⁶ |
| Security total: | | 293.95 | | 438.45 | 50.80 W | -93.70 | |
| DPCM CAP INC COM CL A / CUSIP: 23344P101 / Symbol: XPOA | | | | | | | |
| 02/26/21 | 20.000 | 207.80 | Various | 225.15 | ... | -17.35 | Sale ²⁶ |
| DARIOHEALTH CORP COM / CUSIP: 23725P209 / Symbol: DRIO | | | | | | | |
| 06/15/21 | 5.000 | 111.27 | 06/04/21 | 96.05 | ... | 15.22 | Sale ²⁶ |
| DATADOG INC COM CL A / CUSIP: 23804L103 / Symbol: DDOG | | | | | | | |
| 01/11/21 | 3.000 | 314.00 | Various | 304.15 | ... | 9.85 | Total of 3 transactions |
| 01/20/21 | 2.000 | 207.00 | Various | 200.00 | ... | 7.00 | Total of 2 transactions |
| 01/22/21 | 1.000 | 108.50 | 12/29/20 | 99.00 | ... | 9.50 | Sale ²⁶ |
| 01/25/21 | 1.000 | 110.00 | 12/29/20 | 99.00 | ... | 11.00 | Sale ²⁶ |
| 01/28/21 | 1.000 | 101.00 | 01/27/21 | 99.97 | ... | 1.03 | Sale ²⁶ |
| 02/01/21 | 1.000 | 104.00 | 01/27/21 | 99.50 | ... | 4.50 | Sale ²⁶ |

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Account 455458346

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(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| DATADOG INC COM CL A / CUSIP: 23804L103 / Symbol: DDOG (cont'd) | | | | | | | |
| 02/02/21 | 2.000 | 214.00 | 01/27/21 | 198.60 | ... | 15.40 | Sale ²⁶ |
| 02/03/21 | 4.000 | 438.00 | Various | 396.10 | ... | 41.90 | Total of 2 transactions |
| 02/04/21 | 1.000 | 113.00 | 01/27/21 | 98.00 | ... | 15.00 | Sale ²⁶ |
| 02/05/21 | 3.000 | 344.99 | Various | 294.00 | ... | 50.99 | Total of 2 transactions |
| 02/08/21 | 1.000 | 116.76 | 01/27/21 | 98.00 | ... | 18.76 | Sale ²⁶ |
| 02/09/21 | 4.000 | 472.11 | Various | 384.00 | ... | 88.11 | Total of 3 transactions |
| 02/11/21 | 8.000 | 931.76 | Various | 820.76 | ... | 111.00 | Total of 7 transactions |
| 06/16/21 | 3.000 | 297.38 | Various | 304.50 | ... | -7.12 | Total of 2 transactions |
| 06/18/21 | 2.000 | 208.00 | Various | 188.50 | ... | 19.50 | Sale ²⁶ |
| 06/22/21 | 4.000 | 417.78 | Various | 333.24 | ... | 84.54 | Total of 2 transactions |
| | Security total: | 4,498.28 | | 4,017.32 | ... | 480.96 | |
| DATCHAT INC COM / CUSIP: 23816M107 / Symbol: DATS | | | | | | | |
| 09/14/21 | 10.000 | 77.00 | 09/07/21 | 69.00 | ... | 8.00 | Sale ²⁶ |
| 09/16/21 | 20.000 | 154.10 | Various | 125.10 | ... | 29.00 | Total of 2 transactions |
| | Security total: | 231.10 | | 194.10 | ... | 37.00 | |
| DENISON MINES CORPORATION COM / CUSIP: 248356107 / Symbol: DNN | | | | | | | |
| 09/02/21 | 200.000 | 277.98 | Various | 273.50 | ... | 4.48 | Total of 2 transactions |
| 09/03/21 | 200.000 | 290.98 | Various | 272.00 | ... | 18.98 | Total of 2 transactions |
| 09/13/21 | 100.000 | 169.99 | 09/13/21 | 167.00 | ... | 2.99 | Sale ²⁶ |
| 09/15/21 | 50.000 | 84.99 | 09/13/21 | 81.00 | ... | 3.99 | Sale ²⁶ |
| 11/01/21 | 50.000 | 89.55 | 09/17/21 | 83.00 | ... | 6.55 | Sale ²⁶ |
| 11/04/21 | 50.000 | 99.49 | 09/13/21 | 81.00 | ... | 18.49 | Sale ²⁶ |
| 11/09/21 | 100.000 | 215.06 | Various | 199.00 | ... | 16.06 | Total of 2 transactions |
| | Security total: | 1,228.04 | | 1,156.50 | ... | 71.54 | |
| DENNY'S CORPORATION COM / CUSIP: 24869P104 / Symbol: DENN | | | | | | | |
| 03/12/21 | 20.000 | 387.50 | Various | 224.60 | ... | 162.90 | Total of 2 transactions |
| 04/05/21 | 10.000 | 185.00 | Various | 110.60 | ... | 74.40 | Sale ²⁶ |
| 04/26/21 | 10.000 | 181.00 | Various | 107.50 | ... | 73.50 | Sale ²⁶ |
| 04/28/21 | 5.000 | 92.50 | 11/12/20 | 52.50 | ... | 40.00 | Sale ²⁶ |
| 05/12/21 | 5.000 | 84.50 | Various | 47.00 | ... | 37.50 | Sale ²⁶ |
| | Security total: | 930.50 | | 542.20 | ... | 388.30 | |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|---------------------------------|
| DERMTECH INC COM / CUSIP: 24984K105 / Symbol: DMTK | | | | | | | |
| 05/14/21 | 3.000 | 97.63 | Various | 216.00 | ... | -118.37 | Total of 2 transactions |
| DIGITAL TURBINE INC COM / CUSIP: 25400W102 / Symbol: APPS | | | | | | | |
| 02/04/21 | 4.000 | 319.09 | Various | 215.94 | ... | 103.15 | Total of 4 transactions |
| 02/10/21 | 1.000 | 82.00 | 02/08/21 | 91.24 | 9.24 W | 0.00 | Short sale closed ²⁶ |
| 02/23/21 | 2.000 | 163.45 | 02/18/21 | 166.27 | 6.51 W | 3.69 | Sale ²⁶ |
| 03/04/21 | 1.000 | 79.53 | 03/02/21 | 94.00 | 14.47 W | 0.00 | Sale ²⁶ |
| 03/17/21 | 2.000 | 164.00 | Various | 178.01 | 14.01 W | 0.00 | Sale ²⁶ |
| 04/05/21 | 1.000 | 85.00 | 03/16/21 | 89.47 | 4.47 W | 0.00 | Sale ²⁶ |
| 04/07/21 | 1.000 | 88.00 | 03/18/21 | 82.97 | ... | 5.03 | Sale ²⁶ |
| 04/13/21 | 3.000 | 272.18 | Various | 238.54 | ... | 33.64 | Total of 2 transactions |
| 06/14/21 | 2.000 | 149.50 | Various | 154.00 | 4.50 W | 0.00 | Total of 2 transactions |
| 06/22/21 | 1.000 | 72.00 | 03/18/21 | 77.00 | 5.00 W | 0.00 | Sale ²⁶ |
| 06/23/21 | 1.000 | 74.00 | 03/18/21 | 77.00 | 3.00 W | 0.00 | Sale ²⁶ |
| 06/24/21 | 2.000 | 154.50 | Various | 132.81 | ... | 21.69 | Total of 2 transactions |
| 08/31/21 | 2.000 | 121.58 | 07/02/21 | 144.96 | 23.38 W | 0.00 | Sale ²⁶ |
| 09/02/21 | 2.000 | 128.00 | 07/06/21 | 136.02 | 8.02 W | 0.00 | Sale ²⁶ |
| 09/03/21 | 6.000 | 389.50 | Various | 402.21 | ... | -12.71 | Total of 3 transactions |
| 09/27/21 | 2.000 | 149.96 | 07/07/21 | 130.02 | ... | 19.94 | Sale ²⁶ |
| 10/08/21 | 2.000 | 159.96 | 09/08/21 | 128.02 | ... | 31.94 | Sale ²⁶ |
| 10/18/21 | 2.000 | 173.00 | Various | 124.37 | ... | 48.63 | Total of 2 transactions |
| 10/21/21 | 1.000 | 90.39 | 07/08/21 | 62.15 | ... | 28.24 | Sale ²⁶ |
| | Security total: | 2,915.64 | | 2,725.00 | 92.60 W | 283.24 | |
| WALT DISNEY COMPANY (THE) COM / CUSIP: 254687106 / Symbol: DIS | | | | | | | |
| 04/22/21 | 1.000 | 184.00 | 04/21/21 | 183.50 | ... | 0.50 | Sale ²⁶ |
| DOCUSIGN INC COM / CUSIP: 256163106 / Symbol: DOCU | | | | | | | |
| 01/25/21 | 2.000 | 489.87 | Various | 492.22 | 5.06 W | 2.71 | Sale ²⁶ |
| 02/04/21 | 1.000 | 243.99 | 01/11/21 | 247.06 | 3.07 W | 0.00 | Sale ²⁶ |
| 02/05/21 | 1.000 | 249.99 | 01/26/21 | 243.07 | ... | 6.92 | Sale ²⁶ |
| 02/09/21 | 1.000 | 255.99 | 01/26/21 | 237.00 | ... | 18.99 | Sale ²⁶ |
| | Security total: | 1,239.84 | | 1,219.35 | 8.13 W | 28.62 | |

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Account 455458346

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2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| DONNELLEY RR & SONS CO COM / CUSIP: 257867200 / Symbol: RRD | | | | | | | |
| 07/07/21 | 5.000 | 29.63 | 07/01/21 | 34.15 | 4.52 W | 0.00 | Sale ²⁶ |
| 10/12/21 | 5.000 | 34.90 | 07/01/21 | 38.52 | ... | -3.62 | Sale ²⁶ |
| | Security total: | 64.53 | | 72.67 | 4.52 W | -3.62 | |
| DOORDASH INC COM CL A / CUSIP: 25809K105 / Symbol: DASH | | | | | | | |
| 01/07/21 | 5.000 | 759.50 | Various | 862.50 | 103.00 W | 0.00 | Total of 5 transactions |
| 01/08/21 | 3.000 | 466.99 | Various | 506.00 | 39.01 W | 0.00 | Total of 2 transactions |
| 01/11/21 | 1.000 | 165.00 | 12/17/20 | 165.00 | ... | 0.00 | Sale ²⁶ |
| 01/11/21 | 1.000 | 160.00 | 12/17/20 | 171.00 | 11.00 W | 0.00 | Sale ²⁶ |
| 01/12/21 | 3.000 | 557.00 | Various | 458.64 | ... | 98.36 | Total of 3 transactions |
| 01/13/21 | 1.000 | 202.00 | 12/17/20 | 150.10 | ... | 51.90 | Sale ²⁶ |
| 01/14/21 | 1.000 | 215.00 | 12/17/20 | 150.10 | ... | 64.90 | Sale ²⁶ |
| 01/25/21 | 5.000 | 1,039.99 | Various | 1,010.53 | ... | 29.46 | Total of 4 transactions |
| 01/28/21 | 1.000 | 195.00 | 01/27/21 | 204.73 | 9.73 W | 0.00 | Sale ²⁶ |
| 01/29/21 | 6.000 | 1,142.27 | Various | 1,289.41 | 147.14 W | 0.00 | Total of 5 transactions |
| 02/01/21 | 3.000 | 591.23 | Various | 670.18 | 78.95 W | 0.00 | Total of 3 transactions |
| 02/02/21 | 2.000 | 369.79 | Various | 432.85 | 63.06 W | 0.00 | Sale ²⁶ |
| 02/03/21 | 2.000 | 359.61 | 01/27/21 | 462.81 | 103.20 W | 0.00 | Sale ²⁶ |
| 02/08/21 | 2.000 | 350.01 | 01/27/21 | 500.95 | 150.94 W | 0.00 | Sale ²⁶ |
| 02/09/21 | 1.000 | 183.00 | 01/27/21 | 280.27 | 97.27 W | 0.00 | Sale ²⁶ |
| 02/10/21 | 1.000 | 209.00 | 01/27/21 | 280.27 | ... | -71.27 | Sale ²⁶ |
| 02/10/21 | 4.000 | 809.00 | Various | 1,147.48 | 338.48 W | 0.00 | Total of 4 transactions |
| 05/14/21 | 1.000 | 127.25 | 01/27/21 | 240.67 | ... | -113.42 | Sale ²⁶ |
| | Security total: | 7,901.64 | | 8,983.49 | 1,141.78 W | 59.93 | |
| DRAFTKINGS INC COM CL A / CUSIP: 26142R104 / Symbol: DKNG | | | | | | | |
| 01/07/21 | 2.000 | 99.69 | Various | 105.85 | 6.16 W | 0.00 | Total of 2 transactions |
| 01/11/21 | 1.000 | 53.00 | 12/07/20 | 49.86 | ... | 3.14 | Sale ²⁶ |
| 01/12/21 | 1.000 | 55.00 | 12/07/20 | 48.50 | ... | 6.50 | Sale ²⁶ |
| 01/28/21 | 2.000 | 112.04 | Various | 105.34 | ... | 6.70 | Total of 2 transactions |
| 02/01/21 | 1.000 | 58.00 | 01/25/21 | 52.30 | ... | 5.70 | Sale ²⁶ |
| 02/02/21 | 2.000 | 121.00 | 01/25/21 | 104.00 | ... | 17.00 | Sale ²⁶ |
| 02/04/21 | 4.000 | 248.00 | Various | 199.50 | ... | 48.50 | Total of 2 transactions |
| 02/25/21 | 2.000 | 115.80 | 12/07/20 | 96.00 | ... | 19.80 | Sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/17/2022

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| DRAFTKINGS INC COM CL A / CUSIP: 26142R104 / Symbol: DKNG (cont'd) | | | | | | | |
| 02/26/21 | 2.000 | 122.00 | Various | 94.00 | ... | 28.00 | Total of 2 transactions |
| 03/10/21 | 4.000 | 278.54 | Various | 182.00 | ... | 96.54 | Total of 2 transactions |
| | Security total: | 1,263.07 | | 1,037.35 | 6.16 W | 231.88 | |
| EHANG HOLDINGS LIMITED ADR / CUSIP: 26853E102 / Symbol: EH | | | | | | | |
| 02/17/21 | 2.000 | 150.00 | 02/17/21 | 83.92 | ... | 66.08 | Sale ²⁶ |
| 02/26/21 | 4.000 | 184.20 | Various | 165.04 | ... | 19.16 | Total of 2 transactions |
| 06/28/21 | 10.000 | 439.34 | Various | 397.97 | ... | 41.37 | Total of 2 transactions |
| | Security total: | 773.54 | | 646.93 | ... | 126.61 | |
| EDITAS MEDICINE INC COM / CUSIP: 28106W103 / Symbol: EDIT | | | | | | | |
| 06/30/21 | 10.000 | 579.90 | Various | 545.00 | ... | 34.90 | Total of 2 transactions |
| 06/30/21 | 7.000 | 388.93 | Various | 392.77 | 5.82 W | 1.98 | Total of 2 transactions |
| 08/05/21 | 4.000 | 213.62 | Various | 186.21 | ... | 27.41 | Total of 2 transactions |
| 08/05/21 | 4.000 | 208.00 | Various | 210.81 | 6.80 W | 3.99 | Sale ²⁶ |
| | Security total: | 1,390.45 | | 1,334.79 | 12.62 W | 68.28 | |
| ENVERIC BIOSCI COM / CUSIP: 29405E109 / Symbol: ENVB | | | | | | | |
| 05/21/21 | 60.000 | 169.03 | Various | 162.10 | ... | 6.93 | Total of 3 transactions |
| ESPORTS ENTERTAINMENT GRP INC COM / CUSIP: 29667K306 / Symbol: GMBL | | | | | | | |
| 03/15/21 | 5.000 | 89.75 | 02/25/21 | 101.04 | 11.29 W | 0.00 | Sale ²⁶ |
| 03/17/21 | 20.000 | 340.98 | Various | 402.56 | 61.58 W | 0.00 | Total of 4 transactions |
| 03/18/21 | 10.000 | 185.50 | Various | 191.77 | 7.59 W | 1.32 | Total of 2 transactions |
| | Security total: | 616.23 | | 695.37 | 80.46 W | 1.32 | |
| ETSY INC COM / CUSIP: 29786A106 / Symbol: ETSY | | | | | | | |
| 02/05/21 | 1.000 | 229.99 | 01/26/21 | 227.49 | ... | 2.50 | Sale ²⁶ |
| 06/30/21 | 3.000 | 615.61 | Various | 613.20 | ... | 2.41 | Total of 3 transactions |
| 06/30/21 | 1.000 | 204.50 | 04/29/21 | 206.10 | 1.60 W | 0.00 | Sale ²⁶ |
| | Security total: | 1,050.10 | | 1,046.79 | 1.60 W | 4.91 | |

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(continued)

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| EXXON MOBIL CORPORATION COM / CUSIP: 30231G102 / Symbol: XOM | | | | | | | |
| 03/11/21 | 3.000 | 105.50 | Various | 115.89 | ... | -10.39 | Total of 2 transactions |
| 03/15/21 | 2.000 | 75.61 | Various | 77.26 | ... | -1.65 | Total of 2 transactions |
| | Security total: | 181.11 | | 193.15 | ... | -12.04 | |
| EXP WORLD HOLDINGS INC COM / CUSIP: 30212W100 / Symbol: EXPI | | | | | | | |
| 08/04/21 | 17.000 | 788.05 | Various | 764.90 | ... | 23.15 | Total of 4 transactions |
| EXXON MOBIL CORPORATION COM / CUSIP: 30231G102 / Symbol: XOM | | | | | | | |
| 01/11/21 | 2.000 | 94.00 | 07/14/20 | 84.46 | ... | 9.54 | Sale ²⁶ |
| 01/12/21 | 2.000 | 96.00 | 07/14/20 | 84.46 | ... | 11.54 | Sale ²⁶ |
| 02/25/21 | 5.000 | 280.66 | Various | 219.63 | ... | 61.03 | Sale ²⁶ |
| | Security total: | 470.66 | | 388.55 | ... | 82.11 | |
| META PLATFORMS INC COM CL A / CUSIP: 30303M102 / Symbol: FB | | | | | | | |
| 01/21/21 | 1.000 | 270.99 | 11/23/20 | 267.00 | ... | 3.99 | Sale ²⁶ |
| 01/22/21 | 1.000 | 274.99 | 01/06/21 | 261.00 | ... | 13.99 | Sale ²⁶ |
| 01/25/21 | 1.000 | 279.99 | 01/13/21 | 251.00 | ... | 28.99 | Sale ²⁶ |
| 02/26/21 | 1.000 | 265.00 | 01/14/21 | 250.00 | ... | 15.00 | Sale ²⁶ |
| 10/21/21 | 1.000 | 340.48 | 10/21/21 | 322.43 | ... | 18.05 | Sale ²⁶ |
| 10/22/21 | 1.000 | 330.00 | 10/22/21 | 322.01 | ... | 7.99 | Sale ²⁶ |
| 10/25/21 | 2.000 | 668.00 | Various | 642.16 | ... | 25.84 | Total of 2 transactions |
| 10/29/21 | 1.000 | 324.99 | 10/21/21 | 320.20 | ... | 4.79 | Sale ²⁶ |
| | Security total: | 2,754.44 | | 2,635.80 | ... | 118.64 | |
| FASTLY INC COM CL A / CUSIP: 31188V100 / Symbol: FSLY | | | | | | | |
| 01/21/21 | 4.000 | 411.89 | Various | 351.35 | ... | 60.54 | Total of 3 transactions |
| 01/25/21 | 2.000 | 217.00 | Various | 188.96 | ... | 28.04 | Total of 2 transactions |
| 01/29/21 | 2.000 | 218.20 | Various | 189.42 | ... | 28.78 | Sale ²⁶ |
| 02/17/21 | 5.000 | 499.79 | Various | 580.41 | 80.62 W | 0.00 | Total of 2 transactions |
| 02/26/21 | 4.000 | 294.17 | Various | 597.99 | 303.82 W | 0.00 | Total of 2 transactions |
| | Security total: | 1,641.05 | | 1,908.13 | 384.44 W | 117.36 | |

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| FEDEX CORP COM / CUSIP: 31428X106 / Symbol: FDX | | | | | | | |
| 02/08/21 | 1.000 | 257.99 | 01/05/21 | 253.01 | ... | 4.98 | Sale ²⁶ |
| 02/16/21 | 1.000 | 264.99 | 01/06/21 | 251.00 | ... | 13.99 | Sale ²⁶ |
| | Security total: | 522.98 | | 504.01 | ... | 18.97 | |
| FINVOLUTION GROUP ADR / CUSIP: 31810T101 / Symbol: FINV | | | | | | | |
| 03/11/21 | 25.000 | 199.85 | Various | 132.07 | ... | 67.78 | Total of 4 transactions |
| 03/17/21 | 5.000 | 41.80 | 03/12/21 | 42.00 | 0.20 W | 0.00 | Sale ²⁶ |
| 04/28/21 | 10.000 | 70.85 | 03/23/21 | 82.20 | 11.35 W | 0.00 | Sale ²⁶ |
| 04/29/21 | 10.000 | 71.50 | Various | 80.00 | 8.50 W | 0.00 | Sale ²⁶ |
| 05/12/21 | 20.000 | 129.10 | Various | 155.08 | ... | -25.98 | Total of 2 transactions |
| 05/14/21 | 40.000 | 260.40 | Various | 259.12 | ... | 1.28 | Total of 4 transactions |
| | Security total: | 773.50 | | 750.47 | 20.05 W | 43.08 | |
| FIREEYE INC N/C 10/5/21 562662106 / CUSIP: 31816Q101 / Symbol: FEYE | | | | | | | |
| 03/16/21 | 5.000 | 107.50 | 01/25/21 | 110.15 | 2.65 W | 0.00 | Sale ²⁶ |
| 04/13/21 | 10.000 | 204.70 | Various | 207.65 | ... | -2.95 | Sale ²⁶ |
| | Security total: | 312.20 | | 317.80 | 2.65 W | -2.95 | |
| FIVE BELOW INC COM / CUSIP: 33829M101 / Symbol: FIVE | | | | | | | |
| 10/18/21 | 3.000 | 575.79 | Various | 575.55 | ... | 0.24 | Total of 2 transactions |
| FLEETCOR TECHNOLOGIES INC COM / CUSIP: 339041105 / Symbol: FLT | | | | | | | |
| 02/11/21 | 1.000 | 264.99 | 02/08/21 | 257.75 | ... | 7.24 | Sale ²⁶ |
| 02/22/21 | 1.000 | 278.99 | 02/08/21 | 257.65 | ... | 21.34 | Sale ²⁶ |
| 02/23/21 | 1.000 | 288.99 | 02/08/21 | 257.65 | ... | 31.34 | Sale ²⁶ |
| | Security total: | 832.97 | | 773.05 | ... | 59.92 | |
| FORTINET INC COM / CUSIP: 34959E109 / Symbol: FTNT | | | | | | | |
| 01/11/21 | 3.000 | 446.99 | Various | 382.98 | ... | 64.01 | Sale ²⁶ |
| FUEL TECH INC COM / CUSIP: 359523107 / Symbol: FTEK | | | | | | | |
| 03/11/21 | 10.000 | 39.50 | Various | 57.52 | 18.02 W | 0.00 | Sale ²⁶ |

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| FUELCELL ENERGY INC COM / CUSIP: 35952H601 / Symbol: FCEL | | | | | | | |
| 02/09/21 | 5.000 | 140.00 | 02/02/21 | 113.81 | ... | 26.19 | Sale ²⁶ |
| 03/01/21 | 5.000 | 92.50 | 02/12/21 | 128.75 | 36.25 W | 0.00 | Sale ²⁶ |
| | Security total: | 232.50 | | 242.56 | 36.25 W | 26.19 | |
| FUBOTV INC COM / CUSIP: 35953D104 / Symbol: FUBO | | | | | | | |
| 01/05/21 | 5.000 | 150.00 | Various | 261.81 | 111.81 W | 0.00 | Sale ²⁶ |
| 01/07/21 | 5.000 | 149.95 | Various | 250.00 | 100.05 W | 0.00 | Sale ²⁶ |
| 01/12/21 | 5.000 | 169.50 | Various | 281.83 | 112.33 W | 0.00 | Sale ²⁶ |
| 01/13/21 | 5.000 | 195.00 | 12/24/20 | 338.33 | 143.33 W | 0.00 | Sale ²⁶ |
| 01/21/21 | 5.000 | 175.00 | Various | 358.33 | 183.33 W | 0.00 | Sale ²⁶ |
| 01/22/21 | 5.000 | 190.00 | 12/28/20 | 384.19 | 194.19 W | 0.00 | Sale ²⁶ |
| 01/25/21 | 15.000 | 614.05 | Various | 1,116.14 | 502.09 W | 0.00 | Total of 4 transactions |
| 01/26/21 | 5.000 | 225.00 | Various | 266.01 | 41.01 W | 0.00 | Sale ²⁶ |
| 01/27/21 | 5.000 | 272.49 | Various | 234.60 | ... | 37.89 | Sale ²⁶ |
| 01/29/21 | 30.000 | 1,337.09 | Various | 1,256.88 | ... | 80.21 | Total of 7 transactions |
| 01/29/21 | 5.000 | 221.00 | Various | 230.43 | 9.43 W | 0.00 | Sale ²⁶ |
| 02/01/21 | 45.000 | 2,165.91 | Various | 1,769.32 | ... | 396.59 | Total of 9 transactions |
| 03/02/21 | 15.000 | 640.10 | Various | 759.30 | 119.20 W | 0.00 | Total of 3 transactions |
| 05/11/21 | 10.000 | 218.75 | Various | 500.78 | ... | -282.03 | Total of 2 transactions |
| 05/12/21 | 5.000 | 105.00 | 02/11/21 | 220.00 | ... | -115.00 | Sale ²⁶ |
| 05/14/21 | 10.000 | 202.50 | Various | 434.57 | ... | -232.07 | Total of 2 transactions |
| 06/02/21 | 15.000 | 427.50 | Various | 630.00 | ... | -202.50 | Total of 3 transactions |
| 06/07/21 | 10.000 | 294.00 | Various | 401.75 | ... | -107.75 | Total of 2 transactions |
| 06/08/21 | 5.000 | 155.00 | 03/02/21 | 200.00 | ... | -45.00 | Sale ²⁶ |
| 06/11/21 | 5.000 | 149.00 | 03/03/21 | 187.50 | ... | -38.50 | Sale ²⁶ |
| 06/14/21 | 10.000 | 301.75 | Various | 326.00 | ... | -24.25 | Total of 2 transactions |
| 06/16/21 | 5.000 | 145.75 | 03/31/21 | 113.95 | ... | 31.80 | Sale ²⁶ |
| 06/17/21 | 35.000 | 1,059.40 | Various | 772.14 | ... | 287.26 | Total of 5 transactions |
| 06/21/21 | 15.000 | 471.00 | Various | 323.63 | ... | 147.37 | Total of 3 transactions |
| 06/22/21 | 5.000 | 165.00 | 04/06/21 | 105.00 | ... | 60.00 | Sale ²⁶ |
| 11/01/21 | 5.000 | 165.95 | 09/20/21 | 130.00 | ... | 35.95 | Sale ²⁶ |
| | Security total: | 10,365.69 | | 11,852.49 | 1,516.77 W | 29.97 | |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|-------------------------|
| FULGENT GENETICS INC COM / CUSIP: 359664109 / Symbol: FLGT | | | | | | | |
| 01/04/21 | 10.000 | 589.93 | Various | 452.50 | ... | 137.43 | Total of 2 transactions |
| 01/05/21 | 15.000 | 969.47 | Various | 665.80 | ... | 303.67 | Total of 3 transactions |
| 01/07/21 | 10.000 | 724.48 | Various | 434.62 | ... | 289.86 | Total of 2 transactions |
| 01/12/21 | 10.000 | 693.43 | Various | 605.69 | ... | 87.74 | Total of 2 transactions |
| 01/19/21 | 10.000 | 744.98 | Various | 508.94 | ... | 236.04 | Total of 2 transactions |
| 01/22/21 | 5.000 | 344.99 | 01/20/21 | 342.50 | ... | 2.49 | Sale ²⁶ |
| 01/26/21 | 5.000 | 382.49 | 01/20/21 | 338.47 | ... | 44.02 | Sale ²⁶ |
| 01/27/21 | 20.000 | 1,661.96 | Various | 1,101.68 | ... | 560.28 | Total of 4 transactions |
| 01/28/21 | 5.000 | 474.99 | Various | 213.00 | ... | 261.99 | Sale ²⁶ |
| 01/29/21 | 5.000 | 516.41 | Various | 200.00 | ... | 316.41 | Sale ²⁶ |
| 03/04/21 | 7.000 | 753.02 | Various | 1,149.44 | 396.42 W | 0.00 | Total of 5 transactions |
| 03/15/21 | 7.000 | 742.00 | Various | 963.73 | 221.73 W | 0.00 | Total of 7 transactions |
| 03/17/21 | 6.000 | 643.00 | Various | 558.79 | ... | 84.21 | Total of 3 transactions |
| 03/17/21 | 2.000 | 218.00 | 03/12/21 | 207.32 | 1.79 W | 12.47 | Sale ²⁶ |
| 04/15/21 | 2.000 | 171.42 | 04/07/21 | 172.20 | 0.78 W | 0.00 | Sale ²⁶ |
| 06/07/21 | 1.000 | 81.89 | 04/29/21 | 83.39 | ... | -1.50 | Sale ²⁶ |
| 06/09/21 | 1.000 | 84.99 | 04/29/21 | 83.39 | ... | 1.60 | Sale ²⁶ |
| 06/24/21 | 1.000 | 83.00 | 04/29/21 | 82.00 | ... | 1.00 | Sale ²⁶ |
| 06/28/21 | 3.000 | 255.87 | Various | 242.00 | ... | 13.87 | Total of 2 transactions |
| 08/12/21 | 1.000 | 100.00 | 08/09/21 | 97.75 | ... | 2.25 | Sale ²⁶ |
| Security total: | | 10,236.32 | | 8,503.21 | 620.72 W | 2,353.83 | |
| FUTURE FINTECH GROUP INC COM / CUSIP: 36117V105 / Symbol: FTFT | | | | | | | |
| 03/01/21 | 15.000 | 91.00 | Various | 130.52 | 39.52 W | 0.00 | Total of 3 transactions |
| 03/02/21 | 5.000 | 31.25 | 02/11/21 | 46.88 | 15.63 W | 0.00 | Sale ²⁶ |
| 03/15/21 | 30.000 | 199.70 | Various | 313.38 | 113.68 W | 0.00 | Total of 4 transactions |
| 03/17/21 | 30.000 | 197.25 | Various | 326.49 | 129.24 W | 0.00 | Total of 3 transactions |
| 03/18/21 | 20.000 | 137.50 | Various | 176.83 | 42.53 W | 3.20 | Total of 2 transactions |
| 03/26/21 | 5.000 | 31.15 | 02/26/21 | 31.04 | ... | 0.11 | Sale ²⁶ |
| 03/26/21 | 35.000 | 213.25 | Various | 255.65 | 42.40 W | 0.00 | Total of 4 transactions |
| 03/30/21 | 5.000 | 31.25 | 02/26/21 | 30.10 | ... | 1.15 | Sale ²⁶ |
| 04/01/21 | 40.000 | 305.00 | Various | 243.29 | ... | 61.71 | Total of 3 transactions |
| 04/05/21 | 30.000 | 181.60 | Various | 172.08 | ... | 9.52 | Total of 3 transactions |
| 04/05/21 | 10.000 | 57.80 | Various | 58.10 | 0.45 W | 0.15 | Sale ²⁶ |
| Security total: | | 1,476.75 | | 1,784.36 | 383.45 W | 75.84 | |

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Account 455458346

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2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| FUTU HOLDINGS LIMITED ADR / CUSIP: 36118L106 / Symbol: FUTU | | | | | | | |
| 03/29/21 | 1.000 | 128.60 | 03/18/21 | 135.00 | 6.40 W | 0.00 | Sale ²⁶ |
| 03/30/21 | 1.000 | 133.00 | 03/22/21 | 136.40 | ... | -3.40 | Sale ²⁶ |
| | Security total: | 261.60 | | 271.40 | 6.40 W | -3.40 | |
| GAP INC COM / CUSIP: 364760108 / Symbol: GPS | | | | | | | |
| 03/04/21 | 3.000 | 79.80 | Various | 61.12 | ... | 18.68 | Sale ²⁶ |
| 03/05/21 | 2.000 | 56.00 | 12/21/20 | 39.74 | ... | 16.26 | Sale ²⁶ |
| 03/08/21 | 2.000 | 56.00 | 12/21/20 | 39.74 | ... | 16.26 | Sale ²⁶ |
| | Security total: | 191.80 | | 140.60 | ... | 51.20 | |
| GENIUS BRANDS INTL INC COM / CUSIP: 37229T301 / Symbol: GNUS | | | | | | | |
| 06/16/21 | 10.000 | 19.40 | 06/07/21 | 19.80 | 0.40 W | 0.00 | Sale ²⁶ |
| 06/24/21 | 10.000 | 19.90 | 06/07/21 | 19.90 | ... | 0.00 | Sale ²⁶ |
| 07/19/21 | 10.000 | 14.70 | 06/08/21 | 19.00 | ... | -4.30 | Sale ²⁶ |
| | Security total: | 54.00 | | 58.70 | 0.40 W | -4.30 | |
| GEVO INC COM / CUSIP: 374396406 / Symbol: GEVO | | | | | | | |
| 01/19/21 | 6.000 | 61.71 | Various | 42.54 | ... | 19.17 | Total of 2 transactions |
| 01/25/21 | 9.000 | 99.00 | Various | 75.74 | 1.30 W | 24.56 | Sale ²⁶ |
| 03/09/21 | 10.000 | 89.40 | Various | 127.27 | 4.96 W | -32.91 | Sale ²⁶ |
| | Security total: | 250.11 | | 245.55 | 6.26 W | 10.82 | |
| GLAXOSMITHKLINE ADR SPONSORED / CUSIP: 37733W105 / Symbol: GSK | | | | | | | |
| 04/23/21 | 4.000 | 151.00 | 04/22/21 | 151.04 | ... | -0.04 | Sale ²⁶ |
| GODADDY INC COM CL A / CUSIP: 380237107 / Symbol: GDDY | | | | | | | |
| 02/11/21 | 1.000 | 93.57 | 02/11/21 | 91.00 | ... | 2.57 | Sale ²⁶ |
| 02/26/21 | 7.000 | 571.55 | Various | 582.32 | ... | -10.77 | Sale ²⁶ |
| | Security total: | 665.12 | | 673.32 | ... | -8.20 | |
| GOGO INC COM / CUSIP: 38046C109 / Symbol: GOGO | | | | | | | |
| 06/10/21 | 5.000 | 64.55 | 06/09/21 | 72.15 | 7.60 W | 0.00 | Sale ²⁶ |
| 08/18/21 | 5.000 | 67.50 | 06/10/21 | 75.15 | ... | -7.65 | Sale ²⁶ |
| | Security total: | 132.05 | | 147.30 | 7.60 W | -7.65 | |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| GOODRX HLDGS INC COM CL A / CUSIP: 38246G108 / Symbol: GDRX | | | | | | | |
| 06/10/21 | 2.000 | 75.90 | 06/09/21 | 78.80 | 2.90 W | 0.00 | Sale ²⁶ |
| 06/29/21 | 2.000 | 71.92 | 06/09/21 | 81.70 | ... | -9.78 | Sale ²⁶ |
| 09/02/21 | 1.000 | 39.50 | 06/09/21 | 39.40 | ... | 0.10 | Sale ²⁶ |
| | Security total: | 187.32 | | 199.90 | 2.90 W | -9.68 | |
| GORES HLDGS VI INC 1:1 EXC 7/23/21 577096100 / CUSIP: 38286R105 / Symbol: | | | | | | | |
| 06/30/21 | 5.000 | 85.00 | 02/22/21 | 125.78 | 40.78 W | 0.00 | Sale ²⁶ |
| GRAYBUG VISION INC COM / CUSIP: 38942Q103 / Symbol: GRAY | | | | | | | |
| 06/10/21 | 110.000 | 917.39 | Various | 1,353.10 | 481.00 W | 45.29 | Sale ²⁶ |
| 06/28/21 | 60.000 | 406.20 | Various | 1,555.15 | 1,148.95 W | 0.00 | Total of 6 transactions |
| | Security total: | 1,323.59 | | 2,908.25 | 1,629.95 W | 45.29 | |
| GREENIDGE GENERATION HLDGS INC COM / CUSIP: 39531G100 / Symbol: GREE | | | | | | | |
| 09/21/21 | 0.230 | 8.00 | 09/14/21 | 30.58 | ... | -22.58 | Sale ²⁶ |
| GREENPOWER MTR CO INC COM / CUSIP: 39540E302 / Symbol: GP | | | | | | | |
| 03/15/21 | 5.000 | 141.50 | Various | 149.81 | 8.31 W | 0.00 | Sale ²⁶ |
| 03/30/21 | 5.000 | 108.00 | Various | 148.37 | ... | -40.37 | Sale ²⁶ |
| | Security total: | 249.50 | | 298.18 | 8.31 W | -40.37 | |
| GREENWICH LIFESCIENCES INC COM / CUSIP: 396879108 / Symbol: GLSI | | | | | | | |
| 04/05/21 | 3.000 | 109.53 | 02/19/21 | 108.00 | ... | 1.53 | Sale ²⁶ |
| GROWGENERATION CORP COM / CUSIP: 39986L109 / Symbol: GRWG | | | | | | | |
| 02/11/21 | 4.000 | 220.00 | Various | 224.90 | 4.93 W | 0.03 | Sale ²⁶ |
| 04/13/21 | 2.000 | 104.86 | 02/11/21 | 109.60 | ... | -4.74 | Sale ²⁶ |
| 05/14/21 | 10.000 | 404.55 | Various | 506.37 | ... | -101.82 | Total of 2 transactions |
| | Security total: | 729.41 | | 840.87 | 4.93 W | -106.53 | |
| GULF ISLAND FABRICATION INC COM / CUSIP: 402307102 / Symbol: GIFI | | | | | | | |
| 09/29/21 | 15.000 | 59.03 | 05/20/21 | 77.74 | ... | -18.71 | Sale ²⁶ |

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(continued)

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|--|------------------------|---|-------------------|-------------------------|--|---|---------------------------------|
| HALL OF FAME RESORT & ENTERTAI COM / CUSIP: 40619L102 / Symbol: HOFV | | | | | | | |
| 06/30/21 | 10.000 | 37.75 | 06/08/21 | 44.20 | 6.45 W | 0.00 | Sale ²⁶ |
| 07/07/21 | 10.000 | 34.70 | 06/08/21 | 50.65 | ... | -15.95 | Sale ²⁶ |
| | Security total: | 72.45 | | 94.85 | 6.45 W | -15.95 | |
| HOVNANIAN ENTERPRISES INC COM CL A / CUSIP: 442487401 / Symbol: HOV | | | | | | | |
| 03/08/21 | 2.000 | 159.00 | Various | 152.88 | ... | 6.12 | Total of 2 transactions |
| 03/10/21 | 1.000 | 80.00 | 03/08/21 | 79.42 | ... | 0.58 | Short sale closed ²⁶ |
| | Security total: | 239.00 | | 232.30 | ... | 6.70 | |
| HUBSPOT INC COM / CUSIP: 443573100 / Symbol: HUBS | | | | | | | |
| 05/24/21 | 1.000 | 499.00 | 05/20/21 | 501.10 | ... | -2.10 | Short sale closed ²⁶ |
| 09/14/21 | 2.000 | 1,346.41 | Various | 1,357.01 | 10.60 W | 0.00 | Total of 2 transactions |
| 09/15/21 | 1.000 | 686.00 | 09/13/21 | 657.35 | ... | 28.65 | Short sale closed ²⁶ |
| 10/14/21 | 1.000 | 804.00 | 10/12/21 | 775.10 | ... | 28.90 | Short sale closed ²⁶ |
| 10/19/21 | 1.000 | 814.00 | 10/15/21 | 781.00 | ... | 33.00 | Short sale closed ²⁶ |
| | Security total: | 4,149.41 | | 4,071.56 | 10.60 W | 88.45 | |
| HYLIION HOLDINGS CORP COM / CUSIP: 449109107 / Symbol: HYLN | | | | | | | |
| 01/21/21 | 18.000 | 320.50 | Various | 320.52 | 2.65 W | 2.63 | Total of 4 transactions |
| 01/26/21 | 37.000 | 704.78 | Various | 649.24 | ... | 55.54 | Total of 5 transactions |
| 02/08/21 | 5.000 | 81.50 | 01/27/21 | 90.00 | 8.50 W | 0.00 | Sale ²⁶ |
| 02/11/21 | 40.000 | 793.25 | Various | 702.45 | ... | 90.80 | Total of 6 transactions |
| 02/11/21 | 15.000 | 257.40 | Various | 276.32 | 18.92 W | 0.00 | Total of 3 transactions |
| 02/16/21 | 20.000 | 425.00 | Various | 391.28 | ... | 33.72 | Total of 2 transactions |
| 03/01/21 | 10.000 | 170.00 | 02/16/21 | 189.60 | 19.60 W | 0.00 | Sale ²⁶ |
| | Security total: | 2,752.43 | | 2,619.41 | 49.67 W | 182.69 | |
| IDEANOMICS INC COM / CUSIP: 45166V106 / Symbol: IDEX | | | | | | | |
| 02/23/21 | 10.000 | 29.90 | 01/20/21 | 32.50 | ... | -2.60 | Sale ²⁶ |
| IMMUNOME INC COM / CUSIP: 45257U108 / Symbol: IMNM | | | | | | | |
| 02/18/21 | 1.000 | 41.47 | 02/18/21 | 52.00 | ... | -10.53 | Sale ²⁶ |

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Account 455458346

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2021 1099-B* OMB No. 1545-0715

(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| INDIA GLOBALIZATIO COM / CUSIP: 45408X308 / Symbol: IGC | | | | | | | |
| 02/05/21 | 6.000 | 11.70 | 02/04/21 | 13.74 | 2.04 W | 0.00 | Sale ²⁶ |
| 02/10/21 | 10.000 | 32.45 | Various | 24.88 | ... | 7.57 | Total of 2 transactions |
| 02/11/21 | 5.000 | 20.00 | 02/11/21 | 13.03 | ... | 6.97 | Sale ²⁶ |
| 02/18/21 | 5.000 | 9.75 | 02/11/21 | 13.00 | 3.25 W | 0.00 | Sale ²⁶ |
| 03/11/21 | 5.000 | 9.18 | 02/04/21 | 14.62 | ... | -5.44 | Sale ²⁶ |
| | Security total: | 83.08 | | 79.27 | 5.29 W | 9.10 | |
| INSPIREMD INC 1:15 R/S 4/27/21 45779A846 / CUSIP: 45779A853 / Symbol: | | | | | | | |
| 03/05/21 | 100.000 | 58.62 | Various | 85.82 | 27.20 W | 0.00 | Sale ²⁶ |
| 03/15/21 | 150.000 | 131.06 | Various | 131.45 | ... | -0.39 | Total of 2 transactions |
| | Security total: | 189.68 | | 217.27 | 27.20 W | -0.39 | |
| INTERPACE BIOSCIENCES INC COM / CUSIP: 46062X303 / Symbol: IDXG | | | | | | | |
| 02/04/21 | 5.000 | 25.95 | 01/28/21 | 21.90 | ... | 4.05 | Sale ²⁶ |
| INVESCO MORTGAGE CAPITAL INC COM / CUSIP: 46131B100 / Symbol: IVR | | | | | | | |
| 06/23/21 | 20.000 | 81.60 | 06/09/21 | 80.00 | ... | 1.60 | Sale ²⁶ |
| INVITAE CORP COM / CUSIP: 46185L103 / Symbol: NVTA | | | | | | | |
| 01/07/21 | 5.000 | 239.99 | Various | 249.67 | 9.68 W | 0.00 | Sale ²⁶ |
| 01/08/21 | 5.000 | 264.99 | Various | 241.00 | ... | 23.99 | Sale ²⁶ |
| 01/08/21 | 5.000 | 249.94 | Various | 248.13 | 1.98 W | 3.79 | Sale ²⁶ |
| 01/13/21 | 4.000 | 212.06 | 12/24/20 | 190.48 | ... | 21.58 | Sale ²⁶ |
| 01/14/21 | 10.000 | 544.48 | Various | 466.70 | ... | 77.78 | Total of 2 transactions |
| 01/20/21 | 10.000 | 584.98 | Various | 494.30 | ... | 90.68 | Total of 2 transactions |
| 01/28/21 | 10.000 | 514.98 | Various | 538.00 | 23.02 W | 0.00 | Total of 2 transactions |
| 02/02/21 | 5.000 | 269.99 | 01/21/21 | 273.01 | 3.02 W | 0.00 | Sale ²⁶ |
| 08/03/21 | 5.000 | 158.75 | 01/21/21 | 268.02 | 109.27 W | 0.00 | Sale ²⁶ |
| | Security total: | 3,040.16 | | 2,969.31 | 146.97 W | 217.82 | |
| JANONE INC COM / CUSIP: 47089W104 / Symbol: JAN | | | | | | | |
| 01/28/21 | 10.000 | 130.50 | Various | 159.47 | ... | -28.97 | Total of 2 transactions |
| 01/28/21 | 5.000 | 79.25 | 01/28/21 | 83.72 | 4.47 W | 0.00 | Sale ²⁶ |
| | Security total: | 209.75 | | 243.19 | 4.47 W | -28.97 | |

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Account 455458346

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(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| JERASH HOLDINGS US INC COM / CUSIP: 47632P101 / Symbol: JRSH | | | | | | | |
| 08/03/21 | 10.000 | 74.80 | 07/02/21 | 74.50 | ... | 0.30 | Sale ²⁶ |
| JUMIA TECHNOLOGIES AG ADR SPONSORED / CUSIP: 48138M105 / Symbol: JMIA | | | | | | | |
| 01/14/21 | 5.000 | 229.99 | Various | 207.01 | ... | 22.98 | Sale ²⁶ |
| 01/22/21 | 2.000 | 103.50 | 01/15/21 | 89.24 | ... | 14.26 | Sale ²⁶ |
| 03/09/21 | 5.000 | 209.35 | 02/12/21 | 310.00 | 100.65 W | 0.00 | Sale ²⁶ |
| 03/17/21 | 5.000 | 251.65 | 02/18/21 | 373.75 | 122.10 W | 0.00 | Sale ²⁶ |
| | Security total: | 794.49 | | 980.00 | 222.75 W | 37.24 | |
| KATAPULT HLDGS INC COM / CUSIP: 485859102 / Symbol: KPLT | | | | | | | |
| 09/02/21 | 5.000 | 32.00 | 02/16/21 | 91.18 | 59.18 W | 0.00 | Sale ²⁶ |
| 09/03/21 | 30.000 | 211.80 | Various | 525.33 | 313.53 W | 0.00 | Total of 3 transactions |
| 11/09/21 | 10.000 | 59.90 | 08/10/21 | 163.55 | 103.65 W | 0.00 | Sale ²⁶ |
| | Security total: | 303.70 | | 780.06 | 476.36 W | 0.00 | |
| KINDER MORGAN INC COM / CUSIP: 49456B101 / Symbol: KMI | | | | | | | |
| 01/07/21 | 5.000 | 72.50 | 12/21/20 | 69.60 | ... | 2.90 | Sale ²⁶ |
| 01/13/21 | 5.000 | 77.50 | 12/21/20 | 69.60 | ... | 7.90 | Sale ²⁶ |
| 01/19/21 | 7.000 | 109.90 | Various | 95.47 | ... | 14.43 | Total of 2 transactions |
| 01/20/21 | 7.000 | 112.00 | Various | 88.52 | ... | 23.48 | Total of 2 transactions |
| 03/08/21 | 3.000 | 48.75 | 02/26/21 | 44.25 | ... | 4.50 | Sale ²⁶ |
| 03/17/21 | 7.000 | 111.51 | Various | 101.24 | ... | 10.27 | Sale ²⁶ |
| 04/01/21 | 5.000 | 84.25 | 01/29/21 | 71.12 | ... | 13.13 | Sale ²⁶ |
| 04/08/21 | 5.000 | 85.00 | Various | 69.22 | ... | 15.78 | Sale ²⁶ |
| 04/21/21 | 10.000 | 166.00 | 10/07/20 | 119.87 | ... | 46.13 | Sale ²⁶ |
| | Security total: | 867.41 | | 728.89 | ... | 138.52 | |
| LI AUTO INC ADR / CUSIP: 50202M102 / Symbol: LI | | | | | | | |
| 03/01/21 | 5.000 | 130.00 | 02/08/21 | 151.55 | 21.55 W | 0.00 | Sale ²⁶ |
| 03/22/21 | 5.000 | 135.00 | 02/08/21 | 171.67 | 36.67 W | 0.00 | Sale ²⁶ |
| 06/08/21 | 5.000 | 145.00 | 02/22/21 | 179.17 | ... | -34.17 | Sale ²⁶ |
| 06/15/21 | 5.000 | 144.80 | 02/08/21 | 150.06 | ... | -5.26 | Sale ²⁶ |
| 06/17/21 | 15.000 | 453.25 | Various | 450.00 | ... | 3.25 | Total of 3 transactions |
| 06/23/21 | 15.000 | 466.65 | Various | 441.41 | ... | 25.24 | Total of 2 transactions |
| | Security total: | 1,474.70 | | 1,543.86 | 58.22 W | -10.94 | |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|--|
| LM FUNDING AMERICA INC 1:5 R/S 5/7/21 502074404 / CUSIP: 502074305 / Symbol: | | | | | | | |
| 01/29/21 | 5.000 | 9.95 | 01/29/21 | 11.90 | 1.95 W | 0.00 | Sale ²⁶ |
| 02/23/21 | 5.000 | 7.35 | 01/29/21 | 13.70 | 6.35 W | 0.00 | Sale ²⁶ |
| | Security total: | 17.30 | | 25.60 | 8.30 W | 0.00 | |
| LM FUNDING AMERICA INC COM / CUSIP: 502074404 / Symbol: LMFA | | | | | | | |
| 07/08/21 | 1.000 | 7.22 | 01/29/21 | 17.85 | ... | -10.63 | Sale ²⁶ |
| LA JOLLA PHARMACEUTICAL CO COM / CUSIP: 503459604 / Symbol: LJPC | | | | | | | |
| 06/02/21 | 5.000 | 29.75 | 06/02/21 | 29.15 | ... | 0.60 | Sale ²⁶ |
| LEAF GROUP LTD CSH MRG @ \$8.50/SH 6/14/21 / CUSIP: 52177G102 / Symbol: LEAF | | | | | | | |
| 01/06/21 | 10.000 | 51.50 | 11/30/20 | 61.75 | 10.25 W | 0.00 | Sale ²⁶ |
| 01/14/21 | 10.000 | 51.20 | Various | 56.00 | ... | -4.80 | Sale ²⁶ |
| 01/14/21 | 30.000 | 153.20 | Various | 178.35 | 25.15 W | 0.00 | Total of 3 transactions |
| 01/19/21 | 10.000 | 50.00 | Various | 55.97 | ... | -5.97 | Sale ²⁶ |
| 01/20/21 | 60.000 | 322.90 | Various | 324.44 | ... | -1.54 | Total of 6 transactions |
| 01/22/21 | 10.000 | 55.00 | 12/02/20 | 51.50 | ... | 3.50 | Sale ²⁶ |
| 01/25/21 | 10.000 | 56.50 | 11/11/20 | 50.10 | ... | 6.40 | Sale ²⁶ |
| 01/28/21 | 10.000 | 55.59 | 01/27/21 | 55.75 | 0.20 W | 0.04 | Sale ²⁶ |
| 02/01/21 | 50.000 | 297.25 | Various | 238.50 | ... | 58.75 | Total of 5 transactions |
| 02/02/21 | 10.000 | 65.00 | 12/10/20 | 46.00 | ... | 19.00 | Sale ²⁶ |
| 02/03/21 | 10.000 | 65.00 | 12/10/20 | 45.30 | ... | 19.70 | Sale ²⁶ |
| 02/08/21 | 20.000 | 141.00 | Various | 87.00 | ... | 54.00 | Total of 2 transactions |
| 02/10/21 | 10.000 | 75.00 | 09/03/20 | 42.50 | ... | 32.50 | Sale ²⁶ |
| 03/15/21 | 25.000 | 158.25 | Various | 134.58 | ... | 23.67 | Total of 4 transactions |
| 03/17/21 | 10.000 | 69.95 | Various | 34.00 | ... | 35.95 | Total of 2 transactions |
| | Security total: | 1,667.34 | | 1,461.74 | 35.60 W | 241.20 | |
| LEMONADE INC COM / CUSIP: 52567D107 / Symbol: LMND | | | | | | | |
| 01/05/21 | 2.000 | 241.00 | Various | 230.50 | ... | 10.50 | Total of 2 transactions |
| 01/07/21 | 13.000 | 1,794.01 | Various | 1,382.63 | ... | 411.38 | Total of 13 transactions |
| 01/20/21 | 2.000 | 305.96 | Various | 351.20 | 45.24 W | 0.00 | Total of 2 transactions |
| 01/22/21 | 1.000 | 155.00 | 01/14/21 | 188.14 | 33.14 W | 0.00 | Sale ²⁶ |
| 01/25/21 | 3.000 | 491.00 | Various | 550.42 | 59.42 W | 0.00 | Total of 3 transactions |
| 01/27/21 | 2.000 | 347.99 | 01/25/21 | 301.60 | ... | 46.39 | Sale ²⁶ |
| 01/29/21 | 1.000 | 155.00 | 01/25/21 | 150.41 | ... | 4.59 | Sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|----------|---|-------------------|-------------------------|--|---|-------------------------|
| LEMONADE INC COM / CUSIP: 52567D107 / Symbol: LMND (cont'd) | | | | | | | |
| 02/01/21 | 1.000 | 152.00 | 01/25/21 | 150.35 | ... | 1.65 | Sale ²⁶ |
| 02/09/21 | 8.000 | 1,243.71 | Various | 1,190.71 | ... | 53.00 | Total of 6 transactions |
| 02/09/21 | 1.000 | 150.00 | 01/25/21 | 150.30 | 0.30 W | 0.00 | Sale ²⁶ |
| 02/10/21 | 4.000 | 647.99 | Various | 581.80 | ... | 66.19 | Total of 3 transactions |
| 02/12/21 | 3.000 | 498.99 | Various | 430.60 | ... | 68.39 | Total of 2 transactions |
| 03/01/21 | 11.000 | 1,438.81 | Various | 2,234.24 | 795.43 W | 0.00 | Total of 9 transactions |
| 03/11/21 | 1.000 | 106.21 | 02/22/21 | 270.84 | 164.63 W | 0.00 | Sale ²⁶ |
| 03/15/21 | 1.000 | 109.00 | 02/22/21 | 300.63 | 191.63 W | 0.00 | Sale ²⁶ |
| Security total: | | 7,836.67 | | 8,464.37 | 1,289.79 W | 662.09 | |
| LIVENT CORPORATION COM / CUSIP: 53814L108 / Symbol: LTHM | | | | | | | |
| 03/17/21 | 5.000 | 91.25 | 01/28/21 | 100.00 | 8.75 W | 0.00 | Sale ²⁶ |
| 08/10/21 | 10.000 | 255.00 | Various | 200.50 | ... | 54.50 | Total of 2 transactions |
| 08/13/21 | 5.000 | 134.95 | 01/28/21 | 98.30 | ... | 36.65 | Sale ²⁶ |
| 08/25/21 | 20.000 | 516.70 | Various | 391.25 | ... | 125.45 | Total of 2 transactions |
| 11/02/21 | 5.000 | 151.01 | 01/28/21 | 95.00 | ... | 56.01 | Sale ²⁶ |
| Security total: | | 1,148.91 | | 885.05 | 8.75 W | 272.61 | |
| LIVERAMP HOLDINGS INC COM / CUSIP: 53815P108 / Symbol: RAMP | | | | | | | |
| 01/07/21 | 4.000 | 316.00 | Various | 246.06 | ... | 69.94 | Total of 2 transactions |
| 01/14/21 | 2.000 | 170.00 | 11/17/20 | 123.00 | ... | 47.00 | Sale ²⁶ |
| 02/02/21 | 1.000 | 81.00 | 01/27/21 | 78.00 | ... | 3.00 | Sale ²⁶ |
| 02/04/21 | 2.000 | 160.19 | 01/27/21 | 154.83 | ... | 5.36 | Sale ²⁶ |
| 02/08/21 | 1.000 | 84.00 | 01/27/21 | 77.00 | ... | 7.00 | Sale ²⁶ |
| 03/01/21 | 3.000 | 195.00 | Various | 230.86 | 35.86 W | 0.00 | Total of 2 transactions |
| 03/09/21 | 2.000 | 102.48 | 02/10/21 | 166.86 | 64.38 W | 0.00 | Sale ²⁶ |
| Security total: | | 1,108.67 | | 1,076.61 | 100.24 W | 132.30 | |
| LUCID GROUP INC COM / CUSIP: 549498103 / Symbol: LCID | | | | | | | |
| 10/28/21 | 60.000 | 1,813.30 | Various | 1,933.81 | ... | -120.51 | Total of 6 transactions |
| 11/16/21 | 10.000 | 571.00 | Various | 240.05 | ... | 330.95 | Total of 2 transactions |
| 12/07/21 | 5.000 | 226.75 | 04/05/21 | 118.88 | ... | 107.87 | Sale ²⁶ |
| Security total: | | 2,611.05 | | 2,292.74 | ... | 318.31 | |

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Account 455458346

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2021 1099-B* OMB No. 1545-0715

(continued)

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|---|----------|---|-------------------|-------------------------|--|---|-------------------------|
| LULULEMON ATHLETICA INC COM / CUSIP: 550021109 / Symbol: LULU | | | | | | | |
| 01/05/21 | 1.000 | 368.40 | 12/18/20 | 375.10 | 6.70 W | 0.00 | Sale ²⁶ |
| 02/02/21 | 1.000 | 343.99 | 12/23/20 | 362.00 | 18.01 W | 0.00 | Sale ²⁶ |
| 02/09/21 | 1.000 | 340.28 | 01/15/21 | 360.23 | 19.95 W | 0.00 | Sale ²⁶ |
| 02/26/21 | 1.000 | 315.00 | 01/25/21 | 356.95 | 41.95 W | 0.00 | Sale ²⁶ |
| 03/01/21 | 1.000 | 316.00 | 01/27/21 | 366.95 | ... | -50.95 | Sale ²⁶ |
| 03/16/21 | 1.000 | 315.00 | 12/28/20 | 350.00 | ... | -35.00 | Sale ²⁶ |
| 03/17/21 | 1.000 | 310.00 | 01/26/21 | 333.49 | ... | -23.49 | Sale ²⁶ |
| 03/30/21 | 5.000 | 1,588.46 | Various | 1,657.55 | ... | -69.09 | Total of 5 transactions |
| Security total: | | 3,897.13 | | 4,162.27 | 86.61 W | -178.53 | |
| LUMINAR TECHNOLOGIES INC COM CL A / CUSIP: 550424105 / Symbol: LAZR | | | | | | | |
| 03/11/21 | 5.000 | 131.27 | 01/26/21 | 177.00 | 45.73 W | 0.00 | Sale ²⁶ |
| 03/18/21 | 5.000 | 150.00 | 02/09/21 | 205.73 | 55.73 W | 0.00 | Sale ²⁶ |
| Security total: | | 281.27 | | 382.73 | 101.46 W | 0.00 | |
| MP MATERIALS CORP COM CL A / CUSIP: 553368101 / Symbol: MP | | | | | | | |
| 03/02/21 | 4.000 | 200.00 | 02/25/21 | 184.48 | ... | 15.52 | Sale ²⁶ |
| 03/04/21 | 10.000 | 417.70 | 02/26/21 | 425.32 | 7.62 W | 0.00 | Sale ²⁶ |
| 03/18/21 | 15.000 | 650.45 | Various | 629.51 | ... | 20.94 | Total of 3 transactions |
| 07/29/21 | 10.000 | 389.95 | Various | 417.36 | ... | -27.41 | Total of 2 transactions |
| 07/29/21 | 5.000 | 194.95 | 03/22/21 | 211.40 | 16.45 W | 0.00 | Sale ²⁶ |
| 08/06/21 | 5.000 | 199.95 | Various | 204.00 | ... | -4.05 | Sale ²⁶ |
| 08/09/21 | 10.000 | 393.90 | Various | 399.35 | ... | -5.45 | Total of 2 transactions |
| 08/11/21 | 5.000 | 192.00 | 03/23/21 | 196.40 | ... | -4.40 | Sale ²⁶ |
| 11/04/21 | 15.000 | 581.50 | Various | 552.42 | ... | 29.08 | Total of 3 transactions |
| 11/04/21 | 20.000 | 738.65 | Various | 765.66 | 26.01 W | -1.00 | Total of 4 transactions |
| 11/08/21 | 15.000 | 609.95 | Various | 512.22 | ... | 97.73 | Total of 3 transactions |
| 11/12/21 | 5.000 | 225.00 | 10/27/21 | 162.10 | ... | 62.90 | Sale ²⁶ |
| Security total: | | 4,794.00 | | 4,660.22 | 50.08 W | 183.86 | |
| MARATHON DIGITAL HOLDINGS INC COM / CUSIP: 565788106 / Symbol: MARA | | | | | | | |
| 03/09/21 | 2.000 | 66.00 | 03/02/21 | 71.61 | ... | -5.61 | Sale ²⁶ |
| MARKER THERAPEUTICS INC COM / CUSIP: 57055L107 / Symbol: MRKR | | | | | | | |
| 06/02/21 | 5.000 | 15.15 | 05/21/21 | 13.99 | ... | 1.16 | Sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|--|------------------------|---|-------------------|-------------------------|--|---|---------------------------------|
| MASIMO CORPORATION COM / CUSIP: 574795100 / Symbol: MASI | | | | | | | |
| 02/26/21 | 2.000 | 499.85 | Various | 525.20 | 25.35 W | 0.00 | Total of 2 transactions |
| 03/01/21 | 1.000 | 255.98 | 02/22/21 | 272.75 | ... | -16.77 | Sale ²⁶ |
| 03/01/21 | 1.000 | 256.00 | 02/04/21 | 273.75 | 17.75 W | 0.00 | Sale ²⁶ |
| | Security total: | 1,011.83 | | 1,071.70 | 43.10 W | -16.77 | |
| MATCH GROUP INC COM / CUSIP: 57667L107 / Symbol: MTCH | | | | | | | |
| 02/04/21 | 1.000 | 150.00 | 02/04/21 | 142.20 | ... | 7.80 | Sale ²⁶ |
| 02/05/21 | 1.000 | 155.00 | 02/04/21 | 142.10 | ... | 12.90 | Sale ²⁶ |
| 02/08/21 | 1.000 | 160.00 | 02/04/21 | 142.00 | ... | 18.00 | Sale ²⁶ |
| | Security total: | 465.00 | | 426.30 | ... | 38.70 | |
| MATTERPORT INC COM CL A / CUSIP: 577096100 / Symbol: MTTR | | | | | | | |
| 09/02/21 | 35.000 | 611.80 | Various | 689.33 | 69.83 W | -7.70 | Sale ²⁶ |
| 10/29/21 | 10.000 | 225.90 | Various | 227.33 | ... | -1.43 | Sale ²⁶ |
| 11/15/21 | 5.000 | 127.45 | 09/08/21 | 87.65 | ... | 39.80 | Sale ²⁶ |
| 12/01/21 | 5.000 | 176.95 | 03/03/21 | 82.50 | ... | 94.45 | Sale ²⁶ |
| | Security total: | 1,142.10 | | 1,086.81 | 69.83 W | 125.12 | |
| MEDIACO HOLDING INC COM CL A / CUSIP: 58450D104 / Symbol: MDIA | | | | | | | |
| 07/12/21 | 5.000 | 42.45 | 07/12/21 | 48.00 | ... | -5.55 | Sale ²⁶ |
| MERCADOLIBRE INC COM / CUSIP: 58733R102 / Symbol: MELI | | | | | | | |
| 08/09/21 | 2.000 | 3,582.98 | Various | 3,534.27 | ... | 48.71 | Total of 2 transactions |
| 08/09/21 | 1.000 | 1,794.98 | 08/05/21 | 1,794.99 | 0.01 W | 0.00 | Short sale closed ²⁶ |
| 08/10/21 | 1.000 | 1,794.99 | 08/06/21 | 1,751.01 | ... | 43.98 | Short sale closed ²⁶ |
| 08/11/21 | 1.000 | 1,798.99 | 08/09/21 | 1,748.00 | ... | 50.99 | Short sale closed ²⁶ |
| 08/16/21 | 1.000 | 1,838.99 | 08/12/21 | 1,849.00 | 10.01 W | 0.00 | Short sale closed ²⁶ |
| 08/18/21 | 1.000 | 1,848.99 | 08/16/21 | 1,811.01 | ... | 37.98 | Short sale closed ²⁶ |
| | Security total: | 12,659.92 | | 12,488.28 | 10.02 W | 181.66 | |
| MICROVAST HOLDINGS INC COM / CUSIP: 59516C106 / Symbol: MVST | | | | | | | |
| 09/09/21 | 3.000 | 36.00 | 09/02/21 | 26.88 | ... | 9.12 | Sale ²⁶ |

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Account 455458346

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(continued)

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|--|------------------------|---|-------------------|-------------------------|--|---|---------------------------------|
| MONGODB INC COM CL A / CUSIP: 60937P106 / Symbol: MDB | | | | | | | |
| 01/21/21 | 1.000 | 374.99 | 01/06/21 | 337.00 | ... | 37.99 | Sale ²⁶ |
| 01/28/21 | 2.000 | 742.48 | Various | 735.00 | ... | 7.48 | Total of 2 transactions |
| 02/02/21 | 1.000 | 384.99 | 01/26/21 | 361.00 | ... | 23.99 | Sale ²⁶ |
| 02/03/21 | 1.000 | 398.99 | 01/27/21 | 355.00 | ... | 43.99 | Sale ²⁶ |
| 09/09/21 | 1.000 | 500.00 | 09/07/21 | 492.80 | ... | 7.20 | Short sale closed ²⁶ |
| 09/09/21 | 1.000 | 499.25 | 09/07/21 | 501.00 | 1.75 W | 0.00 | Short sale closed ²⁶ |
| 09/10/21 | 1.000 | 504.00 | 09/08/21 | 477.10 | ... | 26.90 | Short sale closed ²⁶ |
| | Security total: | 3,404.70 | | 3,258.90 | 1.75 W | 147.55 | |
| NIO INC ADR / CUSIP: 62914V106 / Symbol: NIO | | | | | | | |
| 06/28/21 | 5.000 | 246.73 | 02/10/21 | 305.00 | ... | -58.27 | Sale ²⁶ |
| NN INC COM / CUSIP: 629337106 / Symbol: NNBR | | | | | | | |
| 06/25/21 | 10.000 | 77.00 | Various | 73.80 | ... | 3.20 | Sale ²⁶ |
| NRX PHARMACEUTICALS INC COM / CUSIP: 629444100 / Symbol: NRXP | | | | | | | |
| 09/13/21 | 5.000 | 54.70 | 09/03/21 | 80.05 | ... | -25.35 | Sale ²⁶ |
| NATIONAL BEVERAGE CORP COM / CUSIP: 635017106 / Symbol: FIZZ | | | | | | | |
| 09/10/21 | 2.000 | 105.80 | 02/04/21 | 115.66 | ... | -9.86 | Sale ²⁶ |
| 11/01/21 | 1.000 | 57.00 | 02/04/21 | 57.50 | ... | -0.50 | Sale ²⁶ |
| 11/02/21 | 1.000 | 59.00 | 02/04/21 | 57.50 | ... | 1.50 | Sale ²⁶ |
| | Security total: | 221.80 | | 230.66 | ... | -8.86 | |
| NETEASE INC ADR SPONSORED / CUSIP: 64110W102 / Symbol: NTES | | | | | | | |
| 01/05/21 | 1.000 | 99.00 | 10/23/20 | 86.00 | ... | 13.00 | Sale ²⁶ |
| NEUROCRINE BIOSCIENCES INC COM / CUSIP: 64125C109 / Symbol: NBIX | | | | | | | |
| 02/04/21 | 1.000 | 115.00 | 01/27/21 | 111.00 | ... | 4.00 | Sale ²⁶ |
| 03/01/21 | 1.000 | 110.13 | 02/16/21 | 111.00 | 0.87 W | 0.00 | Sale ²⁶ |
| 03/03/21 | 1.000 | 98.82 | 02/12/21 | 100.87 | ... | -2.05 | Sale ²⁶ |
| 04/21/21 | 1.000 | 97.00 | 02/12/21 | 100.00 | ... | -3.00 | Sale ²⁶ |
| 05/07/21 | 1.000 | 92.15 | 11/09/20 | 89.00 | ... | 3.15 | Sale ²⁶ |
| | Security total: | 513.10 | | 511.87 | 0.87 W | 2.10 | |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|---------------------------------|
| NEWMONT CORPORATION COM / CUSIP: 651639106 / Symbol: NEM | | | | | | | |
| 03/09/21 | 10.000 | 580.35 | Various | 576.42 | ... | 3.93 | Sale ²⁶ |
| NEXTERA ENERGY INC COM / CUSIP: 65339F101 / Symbol: NEE | | | | | | | |
| 01/20/21 | 1.000 | 85.00 | 09/17/20 | 69.50 | ... | 15.50 | Sale ²⁶ |
| 01/25/21 | 1.000 | 87.00 | 09/17/20 | 69.50 | ... | 17.50 | Sale ²⁶ |
| 03/11/21 | 1.000 | 75.00 | 01/27/21 | 83.00 | ... | -8.00 | Sale ²⁶ |
| 04/13/21 | 1.000 | 77.63 | 01/27/21 | 81.00 | ... | -3.37 | Sale ²⁶ |
| 04/16/21 | 2.000 | 162.00 | 09/17/20 | 139.00 | ... | 23.00 | Sale ²⁶ |
| | Security total: | 486.63 | | 442.00 | ... | 44.63 | |
| NIKOLA CORPORATION COM / CUSIP: 654110105 / Symbol: NKLA | | | | | | | |
| 01/07/21 | 5.000 | 87.36 | Various | 99.14 | 11.78 W | 0.00 | Total of 2 transactions |
| 01/08/21 | 3.000 | 57.00 | 12/14/20 | 53.08 | ... | 3.92 | Sale ²⁶ |
| 01/08/21 | 4.000 | 74.44 | 12/14/20 | 77.03 | 2.59 W | 0.00 | Sale ²⁶ |
| 01/12/21 | 1.000 | 18.62 | 12/14/20 | 17.27 | ... | 1.35 | Sale ²⁶ |
| 01/13/21 | 2.000 | 41.07 | Various | 32.62 | ... | 8.45 | Total of 2 transactions |
| 01/26/21 | 2.000 | 45.00 | 12/23/20 | 32.00 | ... | 13.00 | Sale ²⁶ |
| | Security total: | 323.49 | | 311.14 | 14.37 W | 26.72 | |
| NOKIA OYJ ADR SPONSORED / CUSIP: 654902204 / Symbol: NOK | | | | | | | |
| 05/14/21 | 10.000 | 48.40 | 01/27/21 | 72.50 | ... | -24.10 | Sale ²⁶ |
| 08/03/21 | 10.000 | 62.90 | 01/27/21 | 66.32 | ... | -3.42 | Sale ²⁶ |
| 08/13/21 | 20.000 | 122.00 | 01/27/21 | 125.00 | ... | -3.00 | Sale ²⁶ |
| | Security total: | 233.30 | | 263.82 | ... | -30.52 | |
| NOVAVAX INC COM / CUSIP: 670002401 / Symbol: NVAX | | | | | | | |
| 02/02/21 | 1.000 | 227.99 | 01/29/21 | 208.83 | ... | 19.16 | Short sale closed ²⁶ |
| 02/05/21 | 1.000 | 286.50 | 02/03/21 | 280.00 | ... | 6.50 | Short sale closed ²⁶ |
| 02/08/21 | 1.000 | 286.49 | 02/04/21 | 278.50 | ... | 7.99 | Short sale closed ²⁶ |
| 02/09/21 | 1.000 | 286.99 | 02/05/21 | 292.00 | 5.01 W | 0.00 | Short sale closed ²⁶ |
| 02/11/21 | 1.000 | 287.00 | 02/09/21 | 329.45 | 42.45 W | 0.00 | Short sale closed ²⁶ |
| 02/12/21 | 4.000 | 1,204.97 | Various | 1,452.20 | 247.23 W | 0.00 | Total of 4 transactions |
| 02/17/21 | 1.000 | 299.99 | 02/12/21 | 332.83 | ... | -32.84 | Short sale closed ²⁶ |
| 08/09/21 | 2.000 | 419.96 | Various | 379.90 | ... | 40.06 | Total of 2 transactions |
| | Security total: | 3,299.89 | | 3,553.71 | 294.69 W | 40.87 | |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | 7- Loss not allowed (X) also not reported (Z) | Gain or loss(-) & Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| NUTANIX INC COM CL A / CUSIP: 67059N108 / Symbol: NTNIX | | | | | | | |
| 01/13/21 | 5.000 | 157.50 | 11/24/20 | 146.52 | ... | 10.98 | Sale ²⁶ |
| 01/20/21 | 5.000 | 165.00 | 11/24/20 | 140.00 | ... | 25.00 | Sale ²⁶ |
| 02/08/21 | 5.000 | 175.00 | 01/29/21 | 150.50 | ... | 24.50 | Sale ²⁶ |
| 02/24/21 | 15.000 | 499.50 | Various | 407.75 | ... | 91.75 | Total of 3 transactions |
| 02/26/21 | 5.000 | 152.50 | 07/14/20 | 100.00 | ... | 52.50 | Sale ²⁶ |
| Security total: | | 1,149.50 | | 944.77 | ... | 204.73 | |
| OLB GROUP INC COM / CUSIP: 67086U307 / Symbol: OLB | | | | | | | |
| 09/10/21 | 1.000 | 5.30 | 09/03/21 | 5.45 | 0.15 W | 0.00 | Sale ²⁶ |
| 09/13/21 | 19.000 | 107.20 | Various | 99.45 | ... | 7.75 | Total of 2 transactions |
| 10/01/21 | 3.000 | 12.06 | 09/02/21 | 15.03 | 2.97 W | 0.00 | Sale ²⁶ |
| 10/13/21 | 12.000 | 59.88 | Various | 60.59 | 2.32 W | 1.61 | Sale ²⁶ |
| 10/19/21 | 10.000 | 62.50 | 09/20/21 | 47.42 | ... | 15.08 | Sale ²⁶ |
| Security total: | | 246.94 | | 227.94 | 5.44 W | 24.44 | |
| OCUPHIRE PHARMA INC COM / CUSIP: 67577R102 / Symbol: OCUP | | | | | | | |
| 11/24/21 | 5.000 | 27.95 | 06/30/21 | 27.90 | ... | 0.05 | Sale ²⁶ |
| OLAPLEX HLDGS INC COM / CUSIP: 679369108 / Symbol: OLPX | | | | | | | |
| 12/10/21 | 1.000 | 28.00 | 10/26/21 | 28.00 | ... | 0.00 | Sale ²⁶ |
| ON24 INC COM / CUSIP: 68339B104 / Symbol: ONTF | | | | | | | |
| 02/05/21 | 7.000 | 493.06 | Various | 575.00 | 81.94 W | 0.00 | Total of 3 transactions |
| 02/08/21 | 6.000 | 434.19 | Various | 568.69 | 134.50 W | 0.00 | Total of 2 transactions |
| 02/10/21 | 3.000 | 225.00 | 02/03/21 | 303.00 | 78.00 W | 0.00 | Sale ²⁶ |
| 02/26/21 | 3.000 | 165.41 | 02/03/21 | 300.00 | 134.59 W | 0.00 | Sale ²⁶ |
| 03/01/21 | 6.000 | 366.00 | Various | 750.18 | 384.18 W | 0.00 | Total of 2 transactions |
| 03/09/21 | 2.000 | 112.21 | 02/12/21 | 336.28 | ... | -224.07 | Sale ²⁶ |
| 03/09/21 | 4.000 | 213.33 | Various | 602.49 | 389.16 W | 0.00 | Total of 2 transactions |
| 03/11/21 | 1.000 | 55.40 | 02/03/21 | 130.38 | ... | -74.98 | Sale ²⁶ |
| Security total: | | 2,064.60 | | 3,566.02 | 1,202.37 W | -299.05 | |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| OPENDOOR TECHNOLOGIES INC COM / CUSIP: 683712103 / Symbol: OPEN | | | | | | | |
| 03/10/21 | 2.000 | 47.80 | Various | 63.01 | 15.21 W | 0.00 | Sale ²⁶ |
| 03/15/21 | 3.000 | 84.00 | 02/18/21 | 104.65 | 20.65 W | 0.00 | Sale ²⁶ |
| 03/16/21 | 5.000 | 150.00 | Various | 163.08 | 18.08 W | 5.00 | Sale ²⁶ |
| | Security total: | 281.80 | | 330.74 | 53.94 W | 5.00 | |
| ORPHAZYME A/S ADR SPONSORED / CUSIP: 687305102 / Symbol: ORPH | | | | | | | |
| 06/10/21 | 2.000 | 15.20 | 06/04/21 | 10.00 | ... | 5.20 | Sale ²⁶ |
| OVERSTOCK COM INC DEL COM / CUSIP: 690370101 / Symbol: OSTK | | | | | | | |
| 01/06/21 | 2.000 | 110.00 | 12/23/20 | 117.50 | 7.50 W | 0.00 | Sale ²⁶ |
| 01/07/21 | 2.000 | 114.00 | 12/23/20 | 124.50 | 10.50 W | 0.00 | Sale ²⁶ |
| 01/08/21 | 2.000 | 118.29 | 12/23/20 | 127.50 | 9.21 W | 0.00 | Sale ²⁶ |
| 01/12/21 | 10.000 | 610.38 | Various | 551.66 | ... | 58.72 | Total of 2 transactions |
| 01/12/21 | 13.000 | 769.79 | Various | 779.47 | 18.46 W | 8.78 | Total of 4 transactions |
| 01/14/21 | 15.000 | 969.97 | Various | 795.64 | ... | 174.33 | Total of 3 transactions |
| 01/19/21 | 5.000 | 314.81 | 01/15/21 | 300.00 | ... | 14.81 | Sale ²⁶ |
| 01/22/21 | 5.000 | 344.99 | 12/28/20 | 260.44 | ... | 84.55 | Sale ²⁶ |
| 01/25/21 | 20.000 | 1,459.89 | Various | 1,201.70 | ... | 258.19 | Total of 4 transactions |
| 01/26/21 | 10.000 | 824.98 | Various | 514.90 | ... | 310.08 | Total of 2 transactions |
| 02/03/21 | 5.000 | 444.99 | 01/28/21 | 400.00 | ... | 44.99 | Sale ²⁶ |
| 05/17/21 | 2.000 | 145.10 | Various | 199.58 | ... | -54.48 | Total of 2 transactions |
| 06/25/21 | 3.000 | 299.94 | 02/10/21 | 299.37 | ... | 0.57 | Sale ²⁶ |
| | Security total: | 6,527.13 | | 5,672.26 | 45.67 W | 900.54 | |
| OZON HLDGS PLC ADR / CUSIP: 69269L104 / Symbol: OZON | | | | | | | |
| 01/04/21 | 5.000 | 218.85 | Various | 218.75 | ... | 0.10 | Sale ²⁶ |
| 01/04/21 | 5.000 | 217.65 | Various | 218.55 | 0.90 W | 0.00 | Sale ²⁶ |
| 01/05/21 | 5.000 | 225.00 | Various | 217.70 | ... | 7.30 | Sale ²⁶ |
| 01/08/21 | 15.000 | 699.98 | Various | 652.35 | ... | 47.63 | Total of 2 transactions |
| 01/12/21 | 10.000 | 477.48 | Various | 441.35 | ... | 36.13 | Total of 2 transactions |
| 01/13/21 | 5.000 | 242.49 | Various | 215.92 | ... | 26.57 | Sale ²⁶ |
| 01/14/21 | 10.000 | 494.93 | Various | 430.00 | ... | 64.93 | Total of 2 transactions |
| 01/15/21 | 5.000 | 259.99 | 01/15/21 | 240.00 | ... | 19.99 | Sale ²⁶ |
| 01/19/21 | 15.000 | 814.97 | Various | 670.00 | ... | 144.97 | Total of 3 transactions |
| 01/20/21 | 10.000 | 564.98 | Various | 427.68 | ... | 137.30 | Total of 2 transactions |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|-------------------------|
| OZON HLDGS PLC ADR / CUSIP: 69269L104 / Symbol: OZON (cont'd) | | | | | | | |
| 01/21/21 | 5.000 | 282.49 | Various | 213.30 | ... | 69.19 | Sale ²⁶ |
| 01/25/21 | 5.000 | 274.99 | 01/22/21 | 266.85 | ... | 8.14 | Sale ²⁶ |
| 01/28/21 | 40.000 | 2,009.56 | Various | 2,155.84 | 146.28 W | 0.00 | Total of 8 transactions |
| 01/29/21 | 5.000 | 254.99 | 01/27/21 | 247.50 | ... | 7.49 | Sale ²⁶ |
| 02/01/21 | 15.000 | 757.53 | Various | 737.45 | ... | 20.08 | Total of 2 transactions |
| 02/02/21 | 15.000 | 784.77 | Various | 729.12 | ... | 55.65 | Total of 3 transactions |
| 02/04/21 | 10.000 | 545.98 | Various | 481.25 | ... | 64.73 | Total of 2 transactions |
| 02/05/21 | 40.000 | 2,389.97 | Various | 1,776.07 | ... | 613.90 | Total of 8 transactions |
| 02/08/21 | 20.000 | 1,289.98 | Various | 843.14 | ... | 446.84 | Total of 2 transactions |
| 03/01/21 | 5.000 | 317.35 | 02/11/21 | 320.00 | 2.65 W | 0.00 | Sale ²⁶ |
| 03/02/21 | 5.000 | 325.00 | 02/09/21 | 320.85 | ... | 4.15 | Sale ²⁶ |
| 03/05/21 | 10.000 | 549.30 | 02/22/21 | 640.00 | 90.70 W | 0.00 | Sale ²⁶ |
| 03/17/21 | 10.000 | 570.00 | Various | 728.18 | 158.18 W | 0.00 | Sale ²⁶ |
| 04/13/21 | 5.000 | 313.50 | 02/17/21 | 379.10 | ... | -65.60 | Sale ²⁶ |
| 04/13/21 | 5.000 | 313.90 | 02/17/21 | 385.33 | 71.43 W | 0.00 | Sale ²⁶ |
| 04/20/21 | 10.000 | 595.00 | Various | 655.58 | 50.58 W | -10.00 | Total of 2 transactions |
| 05/14/21 | 10.000 | 556.05 | Various | 510.00 | ... | 46.05 | Total of 2 transactions |
| Security total: | | 16,346.68 | | 15,121.86 | 520.72 W | 1,745.54 | |
| PALANTIR TECH INC COM CL A / CUSIP: 69608A108 / Symbol: PLTR | | | | | | | |
| 01/07/21 | 5.000 | 125.00 | 12/24/20 | 139.66 | 14.66 W | 0.00 | Sale ²⁶ |
| 01/08/21 | 10.000 | 261.50 | Various | 285.34 | 23.84 W | 0.00 | Total of 2 transactions |
| 01/13/21 | 10.000 | 269.99 | Various | 274.18 | 4.19 W | 0.00 | Sale ²⁶ |
| 01/14/21 | 10.000 | 264.99 | Various | 265.20 | 2.50 W | 2.29 | Sale ²⁶ |
| 01/15/21 | 10.000 | 269.99 | 12/28/20 | 260.00 | ... | 9.99 | Sale ²⁶ |
| 01/19/21 | 10.000 | 270.39 | 01/15/21 | 260.00 | ... | 10.39 | Sale ²⁶ |
| 01/22/21 | 81.000 | 2,475.87 | Various | 2,076.75 | ... | 399.12 | Total of 9 transactions |
| 01/25/21 | 30.000 | 1,111.67 | Various | 997.06 | ... | 114.61 | Total of 3 transactions |
| 01/27/21 | 60.000 | 2,504.94 | Various | 2,241.00 | ... | 263.94 | Total of 6 transactions |
| 01/29/21 | 20.000 | 754.98 | Various | 730.00 | ... | 24.98 | Total of 2 transactions |
| 02/01/21 | 10.000 | 349.99 | 01/28/21 | 355.00 | 5.01 W | 0.00 | Sale ²⁶ |
| 02/05/21 | 10.000 | 339.99 | 01/29/21 | 355.00 | 15.01 W | 0.00 | Sale ²⁶ |
| 02/08/21 | 20.000 | 739.98 | Various | 700.50 | ... | 39.48 | Total of 2 transactions |
| 02/09/21 | 10.000 | 379.99 | 01/28/21 | 340.00 | ... | 39.99 | Sale ²⁶ |
| 02/19/21 | 20.000 | 559.98 | Various | 752.11 | 192.13 W | 0.00 | Total of 2 transactions |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|----------|---|-------------------|-------------------------|--|---|---------------------------------|
| PALANTIR TECH INC COM CL A / CUSIP: 69608A108 / Symbol: PLTR (cont'd) | | | | | | | |
| 02/22/21 | 10.000 | 297.99 | 02/02/21 | 441.97 | 143.98 W | 0.00 | Sale ²⁶ |
| 02/26/21 | 10.000 | 245.00 | 02/02/21 | 463.98 | 218.98 W | 0.00 | Sale ²⁶ |
| 05/14/21 | 30.000 | 579.45 | Various | 1,228.56 | ... | -649.11 | Total of 3 transactions |
| 05/18/21 | 10.000 | 210.00 | 02/11/21 | 350.00 | ... | -140.00 | Sale ²⁶ |
| 06/14/21 | 10.000 | 250.00 | 02/11/21 | 340.60 | 90.60 W | 0.00 | Sale ²⁶ |
| 06/23/21 | 10.000 | 262.00 | 02/11/21 | 340.00 | 78.00 W | 0.00 | Sale ²⁶ |
| 06/25/21 | 10.000 | 270.00 | 02/11/21 | 330.60 | 60.60 W | 0.00 | Sale ²⁶ |
| 08/19/21 | 10.000 | 254.75 | 07/01/21 | 350.60 | ... | -95.85 | Sale ²⁶ |
| Security total: | | 13,048.44 | | 13,878.11 | 849.50 W | 19.83 | |
| PALO ALTO NETWORKS INC COM / CUSIP: 697435105 / Symbol: PANW | | | | | | | |
| 08/30/21 | 1.000 | 410.63 | 08/26/21 | 458.10 | 47.47 W | 0.00 | Short sale closed ²⁶ |
| 09/09/21 | 1.000 | 415.10 | 09/07/21 | 512.57 | 97.47 W | 0.00 | Short sale closed ²⁶ |
| 09/14/21 | 1.000 | 419.00 | 09/10/21 | 567.72 | 148.72 W | 0.00 | Short sale closed ²⁶ |
| 09/15/21 | 2.000 | 884.99 | Various | 1,243.01 | 358.02 W | 0.00 | Total of 2 transactions |
| 09/17/21 | 1.000 | 459.00 | 09/15/21 | 663.28 | 204.28 W | 0.00 | Short sale closed ²⁶ |
| 09/22/21 | 1.000 | 445.00 | 09/20/21 | 675.84 | 230.84 W | 0.00 | Short sale closed ²⁶ |
| 09/30/21 | 1.000 | 459.00 | 09/28/21 | 705.95 | ... | -246.95 | Short sale closed ²⁶ |
| Security total: | | 3,492.72 | | 4,826.47 | 1,086.80 W | -246.95 | |
| PELTON INTERACTIVE INC COM CL A / CUSIP: 70614W100 / Symbol: PTON | | | | | | | |
| 02/10/21 | 1.000 | 149.95 | 02/04/21 | 148.83 | ... | 1.12 | Sale ²⁶ |
| 02/26/21 | 1.000 | 120.29 | 02/04/21 | 148.83 | 28.54 W | 0.00 | Sale ²⁶ |
| 03/09/21 | 1.000 | 112.30 | 02/04/21 | 175.44 | 63.14 W | 0.00 | Sale ²⁶ |
| 03/11/21 | 1.000 | 117.54 | 02/10/21 | 208.39 | 90.85 W | 0.00 | Sale ²⁶ |
| Security total: | | 500.08 | | 681.49 | 182.53 W | 1.12 | |
| PENUMBRA INC COM / CUSIP: 70975L107 / Symbol: PEN | | | | | | | |
| 01/05/21 | 1.000 | 185.85 | 12/10/20 | 213.25 | 27.40 W | 0.00 | Sale ²⁶ |
| 01/07/21 | 7.000 | 1,358.42 | Various | 1,654.13 | 295.71 W | 0.00 | Total of 7 transactions |
| 01/08/21 | 1.000 | 199.99 | 12/11/20 | 190.00 | ... | 9.99 | Sale ²⁶ |
| 01/08/21 | 3.000 | 591.50 | Various | 730.24 | 138.74 W | 0.00 | Total of 3 transactions |
| Security total: | | 2,335.76 | | 2,787.62 | 461.85 W | 9.99 | |

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Account 455458346

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2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| PETMED EXPRESS INC COM / CUSIP: 716382106 / Symbol: PETS | | | | | | | |
| 06/10/21 | 5.000 | 164.50 | 06/09/21 | 177.07 | ... | -12.57 | Sale ²⁶ |
| PFIZER INC COM / CUSIP: 717081103 / Symbol: PFE | | | | | | | |
| 03/22/21 | 3.000 | 108.00 | Various | 111.36 | ... | -3.36 | Sale ²⁶ |
| 05/07/21 | 20.000 | 790.60 | Various | 706.49 | ... | 84.11 | Sale ²⁶ |
| 12/07/21 | 2.000 | 104.30 | 08/30/21 | 93.56 | ... | 10.74 | Sale ²⁶ |
| | Security total: | 1,002.90 | | 911.41 | ... | 91.49 | |
| PHUNWARE INC COM / CUSIP: 71948P100 / Symbol: PHUN | | | | | | | |
| 01/29/21 | 15.000 | 42.00 | 01/25/21 | 29.51 | ... | 12.49 | Sale ²⁶ |
| PINTEREST INC COM CL A / CUSIP: 72352L106 / Symbol: PINS | | | | | | | |
| 01/25/21 | 10.000 | 690.06 | Various | 673.92 | ... | 16.14 | Total of 2 transactions |
| 01/29/21 | 5.000 | 341.40 | Various | 348.85 | 7.45 W | 0.00 | Total of 2 transactions |
| 02/01/21 | 4.000 | 278.80 | Various | 270.85 | ... | 7.95 | Total of 2 transactions |
| 02/02/21 | 4.000 | 296.00 | Various | 267.97 | ... | 28.03 | Total of 2 transactions |
| 02/03/21 | 2.000 | 158.00 | Various | 132.97 | ... | 25.03 | Sale ²⁶ |
| 02/04/21 | 8.000 | 673.00 | Various | 522.11 | ... | 150.89 | Total of 4 transactions |
| 02/16/21 | 2.000 | 178.00 | 02/10/21 | 161.00 | ... | 17.00 | Sale ²⁶ |
| 04/05/21 | 2.000 | 158.00 | 02/22/21 | 166.43 | 8.43 W | 0.00 | Sale ²⁶ |
| 04/16/21 | 10.000 | 789.30 | Various | 809.00 | 19.70 W | 0.00 | Sale ²⁶ |
| 04/27/21 | 2.000 | 154.14 | Various | 156.95 | 2.81 W | 0.00 | Sale ²⁶ |
| 05/14/21 | 1.000 | 56.55 | 02/26/21 | 78.40 | ... | -21.85 | Sale ²⁶ |
| 06/18/21 | 14.000 | 1,029.36 | Various | 1,083.22 | ... | -53.86 | Total of 3 transactions |
| 06/22/21 | 11.000 | 807.79 | Various | 764.52 | ... | 43.27 | Total of 2 transactions |
| 06/23/21 | 5.000 | 374.85 | Various | 327.44 | ... | 47.41 | Sale ²⁶ |
| 10/20/21 | 2.000 | 126.00 | 07/29/21 | 126.00 | ... | 0.00 | Sale ²⁶ |
| 10/20/21 | 2.000 | 126.00 | 07/29/21 | 132.00 | 6.00 W | 0.00 | Sale ²⁶ |
| | Security total: | 6,237.25 | | 6,021.63 | 44.39 W | 260.01 | |
| PLUG POWER INC COM / CUSIP: 72919P202 / Symbol: PLUG | | | | | | | |
| 05/14/21 | 3.000 | 75.31 | 02/25/21 | 142.46 | ... | -67.15 | Sale ²⁶ |
| 05/18/21 | 3.000 | 76.47 | 02/25/21 | 137.50 | ... | -61.03 | Sale ²⁶ |
| | Security total: | 151.78 | | 279.96 | ... | -128.18 | |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| POSHMARK INC COM CL A / CUSIP: 73739W104 / Symbol: POSH | | | | | | | |
| 04/08/21 | 5.000 | 207.50 | 03/24/21 | 204.00 | ... | 3.50 | Sale ²⁶ |
| 12/01/21 | 5.000 | 94.95 | 11/09/21 | 90.00 | ... | 4.95 | Sale ²⁶ |
| 12/07/21 | 10.000 | 192.90 | 11/09/21 | 180.00 | ... | 12.90 | Sale ²⁶ |
| 12/28/21 | 10.000 | 189.90 | 11/09/21 | 179.25 | ... | 10.65 | Sale ²⁶ |
| | Security total: | 685.25 | | 653.25 | ... | 32.00 | |
| PROFOUND MED CORP COM / CUSIP: 74319B502 / Symbol: PROF | | | | | | | |
| 05/06/21 | 1.000 | 20.24 | 01/12/21 | 24.77 | ... | -4.53 | Sale ²⁶ |
| PROGENITY INC COM / CUSIP: 74319F107 / Symbol: PROG | | | | | | | |
| 11/17/21 | 40.000 | 232.00 | Various | 237.13 | ... | -5.13 | Sale ²⁶ |
| PROGYNY INC COM / CUSIP: 74340E103 / Symbol: PGNY | | | | | | | |
| 02/05/21 | 1.000 | 50.00 | 01/27/21 | 44.00 | ... | 6.00 | Sale ²⁶ |
| 02/24/21 | 1.000 | 53.00 | 12/29/20 | 42.99 | ... | 10.01 | Sale ²⁶ |
| 04/20/21 | 2.000 | 98.00 | 12/29/20 | 82.03 | ... | 15.97 | Sale ²⁶ |
| | Security total: | 201.00 | | 169.02 | ... | 31.98 | |
| PUBMATIC INC COM CL A / CUSIP: 74467Q103 / Symbol: PUBM | | | | | | | |
| 02/01/21 | 7.000 | 323.74 | Various | 214.17 | ... | 109.57 | Sale ²⁶ |
| 02/02/21 | 10.000 | 479.99 | Various | 275.00 | ... | 204.99 | Sale ²⁶ |
| 06/18/21 | 5.000 | 175.00 | 06/09/21 | 176.71 | 1.71 W | 0.00 | Sale ²⁶ |
| 06/23/21 | 10.000 | 370.00 | Various | 337.21 | ... | 32.79 | Total of 2 transactions |
| 11/09/21 | 5.000 | 185.00 | 07/01/21 | 185.40 | ... | -0.40 | Sale ²⁶ |
| | Security total: | 1,533.73 | | 1,188.49 | 1.71 W | 346.95 | |
| PURPLE INNOVATION INC COM / CUSIP: 74640Y106 / Symbol: PRPL | | | | | | | |
| 03/08/21 | 5.000 | 155.00 | 02/10/21 | 182.50 | ... | -27.50 | Sale ²⁶ |
| 03/10/21 | 5.000 | 165.00 | Various | 168.82 | ... | -3.82 | Sale ²⁶ |
| 04/13/21 | 5.000 | 175.00 | 12/29/20 | 156.50 | ... | 18.50 | Sale ²⁶ |
| | Security total: | 495.00 | | 507.82 | ... | -12.82 | |
| QUALTRICS INTERNATIONAL INC COM CL A / CUSIP: 747601201 / Symbol: XM | | | | | | | |
| 03/01/21 | 10.000 | 398.05 | Various | 521.11 | 123.06 W | 0.00 | Total of 2 transactions |
| 03/09/21 | 10.000 | 373.93 | Various | 694.37 | 320.44 W | 0.00 | Total of 2 transactions |
| 03/10/21 | 5.000 | 190.00 | 02/11/21 | 406.93 | 216.93 W | 0.00 | Sale ²⁶ |

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Account 455458346

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2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| QUALTRICS INTERNATIONAL INC COM CL A / CUSIP: 747601201 / Symbol: XM (cont'd) | | | | | | | |
| 04/21/21 | 5.000 | 195.00 | 02/17/21 | 436.93 | ... | -241.93 | Sale ²⁶ |
| 04/22/21 | 10.000 | 415.00 | Various | 425.00 | ... | -10.00 | Total of 2 transactions |
| | Security total: | 1,571.98 | | 2,484.34 | 660.43 W | -251.93 | |
| QUANTUMSCAPE CORP COM CL A / CUSIP: 74767V109 / Symbol: QS | | | | | | | |
| 01/05/21 | 8.000 | 468.97 | Various | 425.92 | ... | 43.05 | Total of 4 transactions |
| 01/06/21 | 5.000 | 349.94 | 01/04/21 | 265.40 | ... | 84.54 | Sale ²⁶ |
| 01/07/21 | 3.000 | 210.00 | Various | 174.18 | ... | 35.82 | Sale ²⁶ |
| 01/13/21 | 2.000 | 117.98 | 01/08/21 | 120.00 | 2.02 W | 0.00 | Sale ²⁶ |
| 01/21/21 | 4.000 | 202.88 | Various | 237.72 | 34.84 W | 0.00 | Total of 2 transactions |
| 01/22/21 | 6.000 | 309.00 | Various | 379.67 | 70.67 W | 0.00 | Total of 3 transactions |
| 01/27/21 | 5.000 | 257.49 | Various | 298.65 | 41.16 W | 0.00 | Sale ²⁶ |
| 02/03/21 | 30.000 | 1,409.99 | Various | 1,986.18 | 576.19 W | 0.00 | Total of 3 transactions |
| 02/10/21 | 10.000 | 470.89 | Various | 785.06 | 314.17 W | 0.00 | Sale ²⁶ |
| 02/12/21 | 75.000 | 3,900.47 | Various | 5,035.32 | 1,169.06 W | 34.21 | Total of 7 transactions |
| 02/17/21 | 50.000 | 3,109.95 | Various | 2,386.41 | ... | 723.54 | Total of 5 transactions |
| 02/22/21 | 15.000 | 1,014.47 | Various | 914.90 | ... | 99.57 | Total of 3 transactions |
| 03/11/21 | 5.000 | 292.50 | 02/23/21 | 295.00 | 2.50 W | 0.00 | Sale ²⁶ |
| 03/15/21 | 30.000 | 1,939.99 | Various | 1,385.00 | ... | 554.99 | Total of 3 transactions |
| 03/17/21 | 10.000 | 590.00 | 03/16/21 | 592.50 | 5.00 W | 2.50 | Sale ²⁶ |
| 04/01/21 | 10.000 | 509.16 | Various | 619.84 | 110.68 W | 0.00 | Total of 3 transactions |
| | Security total: | 15,153.68 | | 15,901.75 | 2,326.29 W | 1,578.22 | |
| QUIDEL CORP COM / CUSIP: 74838J101 / Symbol: QDEL | | | | | | | |
| 01/07/21 | 1.000 | 210.00 | 01/07/21 | 189.82 | ... | 20.18 | Sale ²⁶ |
| 01/13/21 | 1.000 | 208.00 | 12/18/20 | 189.50 | ... | 18.50 | Sale ²⁶ |
| 01/14/21 | 2.000 | 407.00 | Various | 378.10 | ... | 28.90 | Total of 2 transactions |
| 01/19/21 | 1.000 | 208.00 | 01/07/21 | 188.30 | ... | 19.70 | Sale ²⁶ |
| 01/20/21 | 3.000 | 632.99 | Various | 564.00 | ... | 68.99 | Total of 2 transactions |
| 01/21/21 | 4.000 | 875.59 | Various | 745.64 | ... | 129.95 | Total of 3 transactions |
| 01/25/21 | 2.000 | 476.98 | Various | 474.43 | ... | 2.55 | Total of 2 transactions |
| 01/29/21 | 3.000 | 734.97 | Various | 668.11 | ... | 66.86 | Total of 3 transactions |
| 01/29/21 | 1.000 | 229.89 | 01/25/21 | 236.00 | 6.11 W | 0.00 | Sale ²⁶ |
| 02/18/21 | 1.000 | 214.00 | 02/16/21 | 220.00 | 6.00 W | 0.00 | Sale ²⁶ |
| 02/26/21 | 4.000 | 664.94 | Various | 891.09 | 226.15 W | 0.00 | Total of 4 transactions |

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(continued)

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|---|----------|---|-------------------|-------------------------|--|---|---------------------------------|
| QUIDEL CORP COM / CUSIP: 74838J101 / Symbol: QDEL (cont'd) | | | | | | | |
| 03/01/21 | 1.000 | 170.00 | 11/23/20 | 185.00 | 15.00 W | 0.00 | Sale ²⁶ |
| 03/09/21 | 1.000 | 161.65 | 11/23/20 | 181.00 | ... | -19.35 | Sale ²⁶ |
| 03/17/21 | 2.000 | 278.00 | 03/10/21 | 359.24 | ... | -81.24 | Sale ²⁶ |
| Security total: | | 5,472.01 | | 5,470.23 | 253.26 W | 255.04 | |
| RH COM / CUSIP: 74967X103 / Symbol: RH | | | | | | | |
| 04/30/21 | 1.000 | 712.05 | 04/28/21 | 723.11 | 11.06 W | 0.00 | Short sale closed ²⁶ |
| 05/03/21 | 1.000 | 720.00 | 04/29/21 | 731.06 | ... | -11.06 | Short sale closed ²⁶ |
| 06/14/21 | 1.000 | 695.00 | 06/10/21 | 700.00 | 5.00 W | 0.00 | Short sale closed ²⁶ |
| 06/15/21 | 2.000 | 1,404.89 | Various | 1,373.01 | ... | 31.88 | Total of 2 transactions |
| 06/15/21 | 1.000 | 699.99 | 06/11/21 | 700.00 | 0.01 W | 0.00 | Short sale closed ²⁶ |
| 06/16/21 | 1.000 | 710.00 | 06/14/21 | 676.00 | ... | 34.00 | Short sale closed ²⁶ |
| Security total: | | 4,941.93 | | 4,903.18 | 16.07 W | 54.82 | |
| RACKSPACE TECHNOLOGY INC COM / CUSIP: 750102105 / Symbol: RXT | | | | | | | |
| 01/07/21 | 5.000 | 95.00 | 12/31/20 | 95.00 | ... | 0.00 | Sale ²⁶ |
| 01/19/21 | 5.000 | 93.86 | 12/31/20 | 95.00 | 1.14 W | 0.00 | Sale ²⁶ |
| 01/25/21 | 5.000 | 100.00 | 01/15/21 | 92.96 | ... | 7.04 | Sale ²⁶ |
| 01/26/21 | 35.000 | 772.41 | Various | 625.64 | ... | 146.77 | Total of 5 transactions |
| 01/27/21 | 10.000 | 238.75 | Various | 215.49 | ... | 23.26 | Total of 2 transactions |
| 01/29/21 | 25.000 | 606.36 | Various | 467.45 | ... | 138.91 | Total of 4 transactions |
| 02/03/21 | 5.000 | 110.00 | 02/01/21 | 107.50 | ... | 2.50 | Sale ²⁶ |
| 02/12/21 | 10.000 | 247.49 | 02/02/21 | 210.00 | ... | 37.49 | Sale ²⁶ |
| 02/16/21 | 10.000 | 252.50 | Various | 175.50 | ... | 77.00 | Total of 2 transactions |
| 02/18/21 | 40.000 | 1,001.27 | Various | 793.70 | ... | 207.57 | Total of 3 transactions |
| 02/25/21 | 20.000 | 413.70 | Various | 447.80 | 34.10 W | 0.00 | Total of 2 transactions |
| 02/26/21 | 20.000 | 420.00 | Various | 482.70 | 62.70 W | 0.00 | Total of 2 transactions |
| 03/01/21 | 10.000 | 215.00 | 02/19/21 | 243.90 | 28.90 W | 0.00 | Sale ²⁶ |
| 03/09/21 | 30.000 | 621.65 | Various | 562.70 | ... | 58.95 | Total of 3 transactions |
| 03/11/21 | 5.000 | 104.70 | 11/16/20 | 82.50 | ... | 22.20 | Sale ²⁶ |
| 03/15/21 | 15.000 | 355.18 | Various | 237.80 | ... | 117.38 | Total of 3 transactions |
| 03/17/21 | 10.000 | 238.00 | 11/17/20 | 156.50 | ... | 81.50 | Sale ²⁶ |
| 03/19/21 | 5.000 | 122.50 | 11/17/20 | 77.70 | ... | 44.80 | Sale ²⁶ |
| 04/01/21 | 10.000 | 255.00 | 11/17/20 | 155.20 | ... | 99.80 | Sale ²⁶ |

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Account 455458346

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(continued)

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| RACKSPACE TECHNOLOGY INC COM / CUSIP: 750102105 / Symbol: RXT (cont'd) | | | | | | | |
| 04/05/21 | 5.000 | 130.00 | 11/17/20 | 77.50 | ... | 52.50 | Sale ²⁶ |
| 06/17/21 | 5.000 | 99.85 | 06/09/21 | 106.05 | ... | -6.20 | Sale ²⁶ |
| | Security total: | 6,493.22 | | 5,508.59 | 126.84 W | 1,111.47 | |
| RED CAT HOLDINGS INC COM / CUSIP: 75644T100 / Symbol: RCAT | | | | | | | |
| 07/13/21 | 20.000 | 88.60 | 07/13/21 | 80.50 | ... | 8.10 | Sale ²⁶ |
| REPLIGEN CORP COM / CUSIP: 759916109 / Symbol: RGEN | | | | | | | |
| 01/27/21 | 2.000 | 393.99 | Various | 429.44 | 35.45 W | 0.00 | Sale ²⁶ |
| 02/08/21 | 1.000 | 225.00 | 01/25/21 | 232.44 | 7.44 W | 0.00 | Sale ²⁶ |
| 02/24/21 | 1.000 | 220.00 | 01/26/21 | 217.44 | ... | 2.56 | Sale ²⁶ |
| 02/24/21 | 2.000 | 438.70 | Various | 451.32 | 12.62 W | 0.00 | Total of 2 transactions |
| 02/26/21 | 1.000 | 222.00 | 01/26/21 | 213.06 | ... | 8.94 | Sale ²⁶ |
| 03/01/21 | 2.000 | 435.75 | Various | 418.00 | ... | 17.75 | Total of 2 transactions |
| 03/09/21 | 1.000 | 190.92 | 02/23/21 | 205.00 | ... | -14.08 | Sale ²⁶ |
| 03/15/21 | 1.000 | 200.00 | 01/27/21 | 189.50 | ... | 10.50 | Sale ²⁶ |
| 03/16/21 | 1.000 | 205.00 | 01/27/21 | 189.02 | ... | 15.98 | Sale ²⁶ |
| | Security total: | 2,531.36 | | 2,545.22 | 55.51 W | 41.65 | |
| REVLON INC COM CL A / CUSIP: 761525609 / Symbol: REV | | | | | | | |
| 06/10/21 | 10.000 | 158.25 | 06/09/21 | 168.70 | ... | -10.45 | Sale ²⁶ |
| REVOLVE GROUP INC COM CL A / CUSIP: 76156B107 / Symbol: RVLV | | | | | | | |
| 01/12/21 | 5.000 | 178.50 | 12/28/20 | 152.00 | ... | 26.50 | Sale ²⁶ |
| 01/25/21 | 15.000 | 570.00 | Various | 504.40 | ... | 65.60 | Total of 3 transactions |
| 01/26/21 | 5.000 | 200.00 | 12/29/20 | 150.50 | ... | 49.50 | Sale ²⁶ |
| 02/24/21 | 21.000 | 844.95 | Various | 767.53 | ... | 77.42 | Total of 5 transactions |
| 02/25/21 | 3.000 | 151.05 | 01/27/21 | 108.00 | ... | 43.05 | Sale ²⁶ |
| 02/25/21 | 5.000 | 205.00 | Various | 196.00 | 3.00 W | 12.00 | Sale ²⁶ |
| 03/01/21 | 5.000 | 235.00 | 09/24/20 | 82.50 | ... | 152.50 | Sale ²⁶ |
| | Security total: | 2,384.50 | | 1,960.93 | 3.00 W | 426.57 | |
| RIO TINTO ADR SPONSORED / CUSIP: 767204100 / Symbol: RIO | | | | | | | |
| 04/13/21 | 1.000 | 79.50 | 04/07/21 | 80.00 | ... | -0.50 | Sale ²⁶ |

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Account 455458346

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|--|------------------------|---|-------------------|-------------------------|--|---|--------------------------|
| RIOT BLOCKCHAIN INC COM / CUSIP: 767292105 / Symbol: RIOT | | | | | | | |
| 02/22/21 | 2.000 | 121.38 | 02/18/21 | 126.57 | ... | -5.19 | Sale ²⁶ |
| ROBLOX CORP COM CL A / CUSIP: 771049103 / Symbol: RBLX | | | | | | | |
| 06/28/21 | 4.000 | 351.60 | Various | 321.05 | ... | 30.55 | Total of 3 transactions |
| 09/02/21 | 2.000 | 167.70 | 09/01/21 | 162.00 | ... | 5.70 | Sale ²⁶ |
| | Security total: | 519.30 | | 483.05 | ... | 36.25 | |
| ROCKET COMPANIES INC COM CL A / CUSIP: 77311W101 / Symbol: RKT | | | | | | | |
| 01/25/21 | 50.000 | 1,119.95 | Various | 1,258.54 | 138.59 W | 0.00 | Total of 11 transactions |
| 01/27/21 | 27.000 | 679.48 | Various | 625.97 | ... | 53.51 | Total of 5 transactions |
| 01/27/21 | 3.000 | 72.00 | 09/04/20 | 72.75 | 0.75 W | 0.00 | Sale ²⁶ |
| 01/29/21 | 3.000 | 76.00 | Various | 72.00 | ... | 4.00 | Total of 2 transactions |
| 02/04/21 | 1.000 | 22.14 | 01/28/21 | 23.89 | 1.75 W | 0.00 | Sale ²⁶ |
| 02/10/21 | 25.000 | 522.62 | Various | 577.84 | 41.89 W | -13.33 | Sale ²⁶ |
| 02/25/21 | 40.000 | 860.50 | Various | 866.60 | ... | -6.10 | Total of 4 transactions |
| 02/25/21 | 20.000 | 424.90 | Various | 455.60 | 27.03 W | -3.67 | Total of 2 transactions |
| 02/26/21 | 55.000 | 1,235.15 | Various | 1,138.40 | ... | 96.75 | Total of 7 transactions |
| 03/01/21 | 30.000 | 744.00 | Various | 606.03 | ... | 137.97 | Total of 4 transactions |
| 03/02/21 | 25.000 | 869.20 | Various | 499.80 | ... | 369.40 | Total of 4 transactions |
| 03/29/21 | 5.000 | 135.00 | 03/18/21 | 122.68 | ... | 12.32 | Sale ²⁶ |
| 03/29/21 | 5.000 | 131.81 | 03/18/21 | 133.71 | 1.90 W | 0.00 | Sale ²⁶ |
| 05/06/21 | 10.000 | 196.76 | Various | 229.57 | 27.20 W | -5.61 | Sale ²⁶ |
| | Security total: | 7,089.51 | | 6,683.38 | 239.11 W | 645.24 | |
| ROKU INC COM CL A / CUSIP: 77543R102 / Symbol: ROKU | | | | | | | |
| 01/08/21 | 1.000 | 399.98 | 12/29/20 | 335.32 | ... | 64.66 | Sale ²⁶ |
| 01/27/21 | 1.000 | 394.24 | 01/27/21 | 390.00 | ... | 4.24 | Sale ²⁶ |
| 02/01/21 | 2.000 | 807.98 | Various | 778.00 | ... | 29.98 | Total of 2 transactions |
| 02/02/21 | 1.000 | 424.99 | 12/29/20 | 333.00 | ... | 91.99 | Sale ²⁶ |
| | Security total: | 2,027.19 | | 1,836.32 | ... | 190.87 | |
| ROMEO POWER INC COM / CUSIP: 776153108 / Symbol: RMO | | | | | | | |
| 04/07/21 | 2.000 | 23.04 | 04/06/21 | 23.28 | ... | -0.24 | Sale ²⁶ |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| ROOT INC COM CL A / CUSIP: 77664L108 / Symbol: ROOT | | | | | | | |
| 06/09/21 | 5.000 | 65.00 | 06/08/21 | 51.75 | ... | 13.25 | Sale ²⁶ |
| 08/30/21 | 10.000 | 74.30 | 08/30/21 | 74.00 | ... | 0.30 | Sale ²⁶ |
| | Security total: | 139.30 | | 125.75 | ... | 13.55 | |
| SVB FINANCIAL GROUP COM / CUSIP: 78486Q101 / Symbol: SIVB | | | | | | | |
| 01/25/21 | 1.000 | 480.99 | 01/20/21 | 460.50 | ... | 20.49 | Sale ²⁶ |
| 01/27/21 | 3.000 | 1,373.97 | Various | 1,378.29 | 7.01 W | 2.69 | Sale ²⁶ |
| 02/01/21 | 1.000 | 449.99 | 01/15/21 | 459.01 | 9.02 W | 0.00 | Sale ²⁶ |
| 02/02/21 | 1.000 | 463.99 | 01/15/21 | 460.02 | ... | 3.97 | Sale ²⁶ |
| 02/03/21 | 1.000 | 472.99 | 01/21/21 | 455.00 | ... | 17.99 | Sale ²⁶ |
| 02/04/21 | 1.000 | 488.83 | 01/27/21 | 451.00 | ... | 37.83 | Sale ²⁶ |
| | Security total: | 3,730.76 | | 3,663.82 | 16.03 W | 82.97 | |
| SALESFORCE.COM INC COM / CUSIP: 79466L302 / Symbol: CRM | | | | | | | |
| 01/07/21 | 1.000 | 219.79 | 01/04/21 | 285.33 | ... | -65.54 | Sale ²⁶ |
| 01/07/21 | 1.000 | 220.55 | 11/30/20 | 289.88 | 69.33 W | 0.00 | Sale ²⁶ |
| 01/20/21 | 2.000 | 448.16 | Various | 484.00 | ... | -35.84 | Total of 2 transactions |
| 01/25/21 | 1.000 | 229.99 | 11/30/20 | 240.00 | ... | -10.01 | Sale ²⁶ |
| 01/28/21 | 1.000 | 227.99 | 11/30/20 | 240.00 | ... | -12.01 | Sale ²⁶ |
| 02/02/21 | 1.000 | 230.57 | 12/01/20 | 233.00 | ... | -2.43 | Sale ²⁶ |
| 02/04/21 | 1.000 | 236.99 | 12/01/20 | 233.00 | ... | 3.99 | Sale ²⁶ |
| 02/08/21 | 1.000 | 239.99 | 12/01/20 | 232.00 | ... | 7.99 | Sale ²⁶ |
| 02/11/21 | 1.000 | 241.99 | 12/01/20 | 230.75 | ... | 11.24 | Sale ²⁶ |
| 02/16/21 | 1.000 | 244.99 | 12/01/20 | 230.00 | ... | 14.99 | Sale ²⁶ |
| 02/24/21 | 1.000 | 240.00 | 12/02/20 | 227.00 | ... | 13.00 | Sale ²⁶ |
| 03/09/21 | 1.000 | 213.00 | 12/02/20 | 225.00 | ... | -12.00 | Sale ²⁶ |
| 03/11/21 | 1.000 | 216.00 | 12/02/20 | 225.00 | ... | -9.00 | Sale ²⁶ |
| | Security total: | 3,210.01 | | 3,374.96 | 69.33 W | -95.62 | |
| SAREPTA THERAPEUTICS INC COM / CUSIP: 803607100 / Symbol: SRPT | | | | | | | |
| 01/08/21 | 2.000 | 166.82 | 01/08/21 | 170.01 | 3.19 W | 0.00 | Sale ²⁶ |
| 01/19/21 | 7.000 | 669.00 | Various | 587.43 | ... | 81.57 | Total of 3 transactions |
| 01/20/21 | 3.000 | 296.99 | Various | 248.40 | ... | 48.59 | Sale ²⁶ |
| 01/25/21 | 2.000 | 198.00 | Various | 176.70 | ... | 21.30 | Sale ²⁶ |
| 02/01/21 | 1.000 | 91.00 | 01/26/21 | 92.00 | 1.00 W | 0.00 | Sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|----------|---|-------------------|-------------------------|--|---|-------------------------|
| SAREPTA THERAPEUTICS INC COM / CUSIP: 803607100 / Symbol: SRPT (cont'd) | | | | | | | |
| 02/03/21 | 1.000 | 93.00 | 01/26/21 | 92.00 | ... | 1.00 | Sale ²⁶ |
| 02/04/21 | 2.000 | 189.26 | Various | 164.70 | ... | 24.56 | Total of 2 transactions |
| 02/23/21 | 5.000 | 398.35 | Various | 418.50 | 12.99 W | -7.16 | Sale ²⁶ |
| 02/24/21 | 4.000 | 337.62 | Various | 342.89 | ... | -5.27 | Total of 3 transactions |
| 02/25/21 | 2.000 | 174.00 | 01/07/21 | 163.05 | ... | 10.95 | Sale ²⁶ |
| 03/01/21 | 6.000 | 521.03 | Various | 486.30 | ... | 34.73 | Total of 5 transactions |
| 03/02/21 | 1.000 | 88.00 | 01/07/21 | 80.50 | ... | 7.50 | Sale ²⁶ |
| 03/09/21 | 1.000 | 86.92 | 01/07/21 | 80.20 | ... | 6.72 | Sale ²⁶ |
| Security total: | | 3,309.99 | | 3,102.68 | 17.18 W | 224.49 | |
| SEA LIMITED ADR / CUSIP: 81141R100 / Symbol: SE | | | | | | | |
| 01/04/21 | 1.000 | 203.00 | 12/23/20 | 195.10 | ... | 7.90 | Sale ²⁶ |
| 01/07/21 | 1.000 | 205.00 | 12/23/20 | 195.00 | ... | 10.00 | Sale ²⁶ |
| 01/08/21 | 3.000 | 627.99 | Various | 582.00 | ... | 45.99 | Total of 2 transactions |
| 01/12/21 | 2.000 | 429.99 | Various | 385.33 | ... | 44.66 | Sale ²⁶ |
| 01/27/21 | 5.000 | 1,049.98 | Various | 1,069.07 | 21.01 W | 1.92 | Sale ²⁶ |
| 01/28/21 | 3.000 | 674.32 | Various | 613.00 | ... | 61.32 | Total of 3 transactions |
| 02/01/21 | 2.000 | 465.98 | Various | 389.51 | ... | 76.47 | Total of 2 transactions |
| 02/02/21 | 1.000 | 239.99 | 12/14/20 | 190.18 | ... | 49.81 | Sale ²⁶ |
| 03/01/21 | 1.000 | 252.00 | 02/22/21 | 269.50 | 17.50 W | 0.00 | Sale ²⁶ |
| 03/11/21 | 1.000 | 239.00 | 02/18/21 | 277.50 | 38.50 W | 0.00 | Sale ²⁶ |
| 03/30/21 | 2.000 | 428.00 | Various | 626.24 | 198.24 W | 0.00 | Total of 2 transactions |
| 04/01/21 | 5.000 | 1,177.30 | Various | 1,625.23 | 447.93 W | 0.00 | Total of 4 transactions |
| 04/06/21 | 1.000 | 240.00 | 03/18/21 | 289.57 | 49.57 W | 0.00 | Sale ²⁶ |
| 04/08/21 | 1.000 | 250.00 | 03/24/21 | 254.57 | 4.57 W | 0.00 | Sale ²⁶ |
| 04/21/21 | 4.000 | 915.52 | Various | 862.32 | 10.12 W | 63.32 | Sale ²⁶ |
| 04/22/21 | 2.000 | 500.11 | Various | 400.12 | ... | 99.99 | Total of 2 transactions |
| 04/26/21 | 1.000 | 265.00 | 12/15/20 | 187.10 | ... | 77.90 | Sale ²⁶ |
| 05/14/21 | 3.000 | 636.62 | Various | 560.00 | ... | 76.62 | Total of 2 transactions |
| 05/21/21 | 1.000 | 249.00 | 05/18/21 | 207.00 | ... | 42.00 | Sale ²⁶ |
| Security total: | | 9,048.80 | | 9,178.34 | 787.44 W | 657.90 | |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/17/2022

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|---|------------------------|---|-------------------|-------------------------|--|---|---------------------------------|
| SECOND SIGHT MEDICAL PRODUCTS COM / CUSIP: 81362J209 / Symbol: EYES | | | | | | | |
| 03/01/21 | 25.000 | 49.75 | 01/26/21 | 71.50 | ... | -21.75 | Sale ²⁶ |
| 03/05/21 | 50.000 | 127.00 | Various | 130.75 | ... | -3.75 | Total of 2 transactions |
| | Security total: | 176.75 | | 202.25 | ... | -25.50 | |
| SENSEONICS HOLDINGS INC COM / CUSIP: 81727U105 / Symbol: SENS | | | | | | | |
| 06/10/21 | 15.000 | 59.55 | Various | 59.60 | ... | -0.05 | Sale ²⁶ |
| SERVICENOW INC COM / CUSIP: 81762P102 / Symbol: NOW | | | | | | | |
| 01/20/21 | 2.000 | 1,074.13 | Various | 1,039.49 | ... | 34.64 | Total of 2 transactions |
| 01/27/21 | 1.000 | 530.99 | 01/14/21 | 516.74 | ... | 14.25 | Sale ²⁶ |
| 01/28/21 | 1.000 | 558.99 | 01/19/21 | 511.00 | ... | 47.99 | Sale ²⁶ |
| 02/01/21 | 1.000 | 555.63 | 01/29/21 | 542.00 | ... | 13.63 | Sale ²⁶ |
| | Security total: | 2,719.74 | | 2,609.23 | ... | 110.51 | |
| SHAKE SHACK INC COM CL A / CUSIP: 819047101 / Symbol: SHAK | | | | | | | |
| 01/12/21 | 1.000 | 100.06 | 07/31/20 | 47.50 | ... | 52.56 | Sale ²⁶ |
| SHIFT TECHNOLOGIES INC COM CL A / CUSIP: 82452T107 / Symbol: SFT | | | | | | | |
| 07/14/21 | 20.000 | 178.60 | Various | 175.40 | ... | 3.20 | Sale ²⁶ |
| SHOPIFY INC COM CL A / CUSIP: 82509L107 / Symbol: SHOP | | | | | | | |
| 01/15/21 | 6.000 | 7,012.31 | Various | 7,636.94 | 624.63 W | 0.00 | Total of 6 transactions |
| 01/19/21 | 6.000 | 6,966.32 | Various | 7,558.75 | 592.43 W | 0.00 | Total of 6 transactions |
| 01/20/21 | 1.000 | 1,189.97 | 01/19/21 | 1,246.27 | 56.30 W | 0.00 | Sale ²⁶ |
| 01/21/21 | 6.000 | 7,109.26 | Various | 7,714.58 | 605.32 W | 0.00 | Total of 6 transactions |
| 01/28/21 | 1.000 | 1,118.98 | 01/27/21 | 1,179.30 | ... | -60.32 | Sale ²⁶ |
| 01/28/21 | 2.000 | 2,204.96 | Various | 2,416.40 | 211.44 W | 0.00 | Total of 2 transactions |
| 01/29/21 | 6.000 | 6,602.95 | Various | 7,200.81 | ... | -597.86 | Total of 6 transactions |
| 03/15/21 | 2.000 | 2,251.98 | Various | 2,239.78 | ... | 12.20 | Total of 2 transactions |
| 03/15/21 | 1.000 | 1,118.07 | 03/15/21 | 1,119.89 | 1.82 W | 0.00 | Sale ²⁶ |
| 03/16/21 | 3.000 | 3,509.25 | Various | 3,529.97 | 20.72 W | 0.00 | Total of 3 transactions |
| 03/19/21 | 3.000 | 3,353.51 | Various | 3,378.30 | ... | -24.79 | Total of 3 transactions |
| 04/30/21 | 2.000 | 2,428.98 | Various | 2,568.93 | 139.95 W | 0.00 | Total of 2 transactions |
| 05/03/21 | 1.000 | 1,229.98 | 04/29/21 | 1,358.98 | 129.00 W | 0.00 | Short sale closed ²⁶ |
| 05/04/21 | 1.000 | 1,284.99 | 04/30/21 | 1,353.00 | 68.01 W | 0.00 | Short sale closed ²⁶ |
| 05/26/21 | 1.000 | 1,245.18 | 05/26/21 | 1,312.11 | ... | -66.93 | Sale ²⁶ |

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Account 455458346

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(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|---------------------------------|
| SHOPIFY INC COM CL A / CUSIP: 82509L107 / Symbol: SHOP (cont'd) | | | | | | | |
| 06/17/21 | 1.000 | 1,304.98 | 06/15/21 | 1,290.76 | ... | 14.22 | Short sale closed ²⁶ |
| 06/17/21 | 1.000 | 1,299.44 | 06/15/21 | 1,300.10 | 0.66 W | 0.00 | Short sale closed ²⁶ |
| 06/21/21 | 5.000 | 7,009.11 | Various | 7,853.25 | 844.14 W | 0.00 | Total of 5 transactions |
| 06/23/21 | 2.000 | 2,907.88 | Various | 3,346.45 | 438.57 W | 0.00 | Total of 2 transactions |
| 06/24/21 | 1.000 | 1,539.98 | 06/22/21 | 1,702.84 | ... | -162.86 | Short sale closed ²⁶ |
| | Security total: | 62,688.08 | | 67,307.41 | 3,732.99 W | -886.34 | |
| SINGULARITY FUTURE TECH LTD COM / CUSIP: 82935V208 / Symbol: SGLY | | | | | | | |
| 03/18/21 | 8.000 | 88.50 | Various | 84.60 | ... | 3.90 | Total of 3 transactions |
| SKILLZ INC COM / CUSIP: 83067L109 / Symbol: SKLZ | | | | | | | |
| 01/12/21 | 4.000 | 99.96 | Various | 80.86 | ... | 19.10 | Sale ²⁶ |
| 01/20/21 | 5.000 | 141.55 | Various | 98.96 | ... | 42.59 | Sale ²⁶ |
| 01/25/21 | 5.000 | 150.00 | Various | 96.35 | ... | 53.65 | Sale ²⁶ |
| 02/04/21 | 5.000 | 192.50 | 01/27/21 | 140.00 | ... | 52.50 | Sale ²⁶ |
| 02/05/21 | 10.000 | 399.49 | Various | 223.80 | ... | 175.69 | Sale ²⁶ |
| 03/10/21 | 5.000 | 135.00 | 02/12/21 | 185.63 | 50.63 W | 0.00 | Sale ²⁶ |
| 03/11/21 | 10.000 | 285.00 | Various | 515.01 | 230.01 W | 0.00 | Total of 2 transactions |
| | Security total: | 1,403.50 | | 1,340.61 | 280.64 W | 343.53 | |
| SMILEDIRECTCLUB INC COM CL A / CUSIP: 83192H106 / Symbol: SDC | | | | | | | |
| 01/28/21 | 5.000 | 64.35 | 01/28/21 | 69.25 | 4.90 W | 0.00 | Sale ²⁶ |
| 02/17/21 | 5.000 | 59.85 | 01/28/21 | 73.90 | ... | -14.05 | Sale ²⁶ |
| 06/10/21 | 5.000 | 47.35 | 06/09/21 | 52.65 | 5.30 W | 0.00 | Sale ²⁶ |
| 07/01/21 | 10.000 | 84.70 | 06/09/21 | 108.20 | 13.20 W | -10.30 | Sale ²⁶ |
| 09/14/21 | 5.000 | 33.50 | 06/09/21 | 62.25 | 28.75 W | 0.00 | Sale ²⁶ |
| 09/20/21 | 10.000 | 73.85 | Various | 103.70 | 29.85 W | 0.00 | Total of 2 transactions |
| 10/15/21 | 5.000 | 27.63 | 09/03/21 | 39.95 | ... | -12.32 | Sale ²⁶ |
| | Security total: | 391.23 | | 509.90 | 82.00 W | -36.67 | |
| SNOWFLAKE INC COM CL A / CUSIP: 833445109 / Symbol: SNOW | | | | | | | |
| 01/07/21 | 5.000 | 1,474.47 | Various | 2,322.30 | 847.83 W | 0.00 | Total of 5 transactions |
| 01/08/21 | 1.000 | 324.99 | 12/24/20 | 556.53 | 231.54 W | 0.00 | Sale ²⁶ |
| 01/14/21 | 1.000 | 311.17 | 12/28/20 | 536.54 | 225.37 W | 0.00 | Sale ²⁶ |
| 02/01/21 | 1.000 | 282.99 | 12/29/20 | 529.37 | 246.38 W | 0.00 | Sale ²⁶ |

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Account 455458346

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(continued)

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|--|----------|---|-------------------|-------------------------|--|---|-------------------------|
| SNOWFLAKE INC COM CL A / CUSIP: 833445109 / Symbol: SNOW (cont'd) | | | | | | | |
| 02/02/21 | 4.000 | 1,217.65 | Various | 1,959.81 | 742.16 W | 0.00 | Total of 4 transactions |
| 02/03/21 | 1.000 | 313.99 | 01/15/21 | 452.61 | 138.62 W | 0.00 | Sale ²⁶ |
| 02/09/21 | 2.000 | 636.98 | Various | 842.25 | 205.27 W | 0.00 | Total of 2 transactions |
| 02/11/21 | 2.000 | 596.54 | 02/10/21 | 611.88 | 15.34 W | 0.00 | Sale ²⁶ |
| 03/04/21 | 1.000 | 270.00 | 02/11/21 | 304.23 | 34.23 W | 0.00 | Sale ²⁶ |
| 04/01/21 | 2.000 | 474.65 | Various | 694.21 | 219.56 W | 0.00 | Total of 2 transactions |
| 04/05/21 | 1.000 | 240.00 | 03/03/21 | 386.04 | 146.04 W | 0.00 | Sale ²⁶ |
| 05/14/21 | 1.000 | 208.15 | 03/12/21 | 375.98 | ... | -167.83 | Sale ²⁶ |
| 06/07/21 | 1.000 | 249.00 | 12/29/20 | 300.00 | ... | -51.00 | Sale ²⁶ |
| 07/09/21 | 10.000 | 2,665.50 | Various | 2,484.06 | ... | 181.44 | Total of 5 transactions |
| 07/09/21 | 2.000 | 525.99 | Various | 585.00 | 59.01 W | 0.00 | Total of 2 transactions |
| 08/03/21 | 2.000 | 563.90 | Various | 569.03 | ... | -5.13 | Total of 2 transactions |
| Security total: | | 10,355.97 | | 13,509.84 | 3,111.35 W | -42.52 | |
| SOFI TECHNOLOGIES INC COM / CUSIP: 83406F102 / Symbol: SOFI | | | | | | | |
| 11/01/21 | 20.000 | 439.80 | Various | 437.20 | 12.33 W | 14.93 | Total of 2 transactions |
| 11/02/21 | 10.000 | 227.50 | 02/24/21 | 194.30 | ... | 33.20 | Sale ²⁶ |
| Security total: | | 667.30 | | 631.50 | 12.33 W | 48.13 | |
| SOFTWARE ACQUISITION GRP INC I 1:1 EXC 8/16/21 M7571L103 / CUSIP: 83407F101 / Symbol: SAIL | | | | | | | |
| 02/25/21 | 10.000 | 113.60 | Various | 112.24 | ... | 1.36 | Sale ²⁶ |
| SORRENTO THERAPEUTICS INC COM / CUSIP: 83587F202 / Symbol: SRNE | | | | | | | |
| 01/27/21 | 30.000 | 438.81 | Various | 286.32 | ... | 152.49 | Total of 6 transactions |
| 01/27/21 | 5.000 | 69.81 | 01/27/21 | 70.00 | 0.19 W | 0.00 | Sale ²⁶ |
| 01/29/21 | 10.000 | 140.00 | Various | 127.50 | ... | 12.50 | Total of 2 transactions |
| 02/01/21 | 20.000 | 298.10 | Various | 226.65 | ... | 71.45 | Total of 4 transactions |
| 02/08/21 | 5.000 | 85.00 | 02/02/21 | 68.50 | ... | 16.50 | Sale ²⁶ |
| 06/14/21 | 10.000 | 100.00 | Various | 152.84 | 52.84 W | 0.00 | Sale ²⁶ |
| 06/24/21 | 6.000 | 60.12 | Various | 85.13 | 25.01 W | 0.00 | Sale ²⁶ |
| 07/01/21 | 40.000 | 450.20 | Various | 527.10 | 76.90 W | 0.00 | Total of 4 transactions |
| 08/25/21 | 10.000 | 95.00 | 02/18/21 | 128.29 | 16.65 W | -16.64 | Sale ²⁶ |
| 09/10/21 | 40.000 | 315.40 | Various | 491.60 | ... | -176.20 | Sale ²⁶ |
| Security total: | | 2,052.44 | | 2,163.93 | 171.59 W | 60.10 | |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| SOUTHERN COPPER CORPORATION COM / CUSIP: 84265V105 / Symbol: SCCO | | | | | | | |
| 04/08/21 | 10.000 | 729.80 | 04/05/21 | 720.43 | ... | 9.37 | Sale ²⁶ |
| SOUTHWEST AIRLINES CO COM / CUSIP: 844741108 / Symbol: LUV | | | | | | | |
| 03/30/21 | 2.000 | 123.94 | 01/15/21 | 94.55 | ... | 29.39 | Sale ²⁶ |
| 04/16/21 | 3.000 | 187.20 | Various | 140.25 | ... | 46.95 | Sale ²⁶ |
| | Security total: | 311.14 | | 234.80 | ... | 76.34 | |
| SPHERE 3D CORP NEW COM / CUSIP: 84841L308 / Symbol: ANY | | | | | | | |
| 09/03/21 | 5.000 | 37.15 | 09/03/21 | 32.35 | ... | 4.80 | Sale ²⁶ |
| 09/15/21 | 5.000 | 32.81 | 09/03/21 | 32.35 | ... | 0.46 | Sale ²⁶ |
| | Security total: | 69.96 | | 64.70 | ... | 5.26 | |
| SPLUNK INC COM / CUSIP: 848637104 / Symbol: SPLK | | | | | | | |
| 01/13/21 | 1.000 | 170.00 | 12/24/20 | 181.05 | 11.05 W | 0.00 | Sale ²⁶ |
| 01/26/21 | 1.000 | 174.00 | 12/28/20 | 186.43 | 12.43 W | 0.00 | Sale ²⁶ |
| 02/02/21 | 1.000 | 176.00 | 12/29/20 | 186.43 | ... | -10.43 | Sale ²⁶ |
| 03/02/21 | 1.000 | 152.50 | 12/31/20 | 170.13 | ... | -17.63 | Sale ²⁶ |
| 03/03/21 | 3.000 | 450.03 | Various | 485.02 | ... | -34.99 | Total of 3 transactions |
| 06/22/21 | 1.000 | 138.38 | 12/03/20 | 156.00 | ... | -17.62 | Sale ²⁶ |
| 08/25/21 | 3.000 | 468.98 | Various | 461.20 | ... | 7.78 | Total of 2 transactions |
| 10/14/21 | 1.000 | 162.00 | 12/11/20 | 152.10 | ... | 9.90 | Sale ²⁶ |
| | Security total: | 1,891.89 | | 1,978.36 | 23.48 W | -62.99 | |
| BLOCK INC COM CL A / CUSIP: 852234103 / Symbol: SQ | | | | | | | |
| 01/28/21 | 2.000 | 437.20 | Various | 460.01 | 22.81 W | 0.00 | Total of 2 transactions |
| 01/29/21 | 2.000 | 448.00 | Various | 460.24 | 12.24 W | 0.00 | Total of 2 transactions |
| 02/02/21 | 4.000 | 906.98 | Various | 869.07 | ... | 37.91 | Total of 4 transactions |
| 02/04/21 | 1.000 | 234.99 | 01/26/21 | 214.20 | ... | 20.79 | Sale ²⁶ |
| 02/08/21 | 1.000 | 243.99 | 01/26/21 | 212.00 | ... | 31.99 | Sale ²⁶ |
| 03/15/21 | 2.000 | 498.00 | Various | 418.00 | ... | 80.00 | Sale ²⁶ |
| 04/19/21 | 2.000 | 497.46 | 03/18/21 | 463.00 | ... | 34.46 | Sale ²⁶ |
| 04/20/21 | 4.000 | 999.53 | Various | 890.00 | ... | 109.53 | Total of 3 transactions |
| | Security total: | 4,266.15 | | 3,986.52 | 35.05 W | 314.68 | |

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Account 455458346

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2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|------------------------|---|-------------------|-------------------------|--|---|---------------------------------|
| STAMPS.COM INC CSH MRG \$330.00/SH 10/6/21 / CUSIP: 852857200 / Symbol: STMP | | | | | | | |
| 03/01/21 | 1.000 | 187.75 | 02/19/21 | 228.00 | ... | -40.25 | Sale ²⁶ |
| 03/01/21 | 1.000 | 185.00 | 02/19/21 | 208.00 | 23.00 W | 0.00 | Sale ²⁶ |
| | Security total: | 372.75 | | 436.00 | 23.00 W | -40.25 | |
| STAR PEAK ENERGY TRANSITION CO 1:1 EXC 4/29/21 85859N102 / CUSIP: 855185104 / Symbol: | | | | | | | |
| 02/01/21 | 4.000 | 130.00 | Various | 115.16 | ... | 14.84 | Total of 2 transactions |
| 02/02/21 | 1.000 | 35.00 | 01/28/21 | 28.50 | ... | 6.50 | Sale ²⁶ |
| 02/16/21 | 5.000 | 225.00 | 02/10/21 | 200.00 | ... | 25.00 | Sale ²⁶ |
| 02/17/21 | 5.000 | 244.99 | 02/11/21 | 185.00 | ... | 59.99 | Sale ²⁶ |
| 03/10/21 | 15.000 | 456.73 | Various | 781.73 | 325.00 W | 0.00 | Total of 3 transactions |
| | Security total: | 1,091.72 | | 1,310.39 | 325.00 W | 106.33 | |
| STATE STREET CORPORATION COM / CUSIP: 857477103 / Symbol: STT | | | | | | | |
| 04/15/21 | 2.000 | 174.60 | Various | 170.58 | ... | 4.02 | Sale ²⁶ |
| STITCH FIX INC COM CL A / CUSIP: 860897107 / Symbol: SFIX | | | | | | | |
| 06/10/21 | 1.000 | 66.82 | 06/08/21 | 64.10 | ... | 2.72 | Short sale closed ²⁶ |
| 06/14/21 | 4.000 | 267.94 | Various | 250.00 | ... | 17.94 | Total of 2 transactions |
| 06/18/21 | 2.000 | 135.70 | 06/16/21 | 120.02 | ... | 15.68 | Short sale closed ²⁶ |
| | Security total: | 470.46 | | 434.12 | ... | 36.34 | |
| SUNCOR ENERGY INC COM / CUSIP: 867224107 / Symbol: SU | | | | | | | |
| 02/22/21 | 5.000 | 100.00 | 06/10/20 | 100.00 | ... | 0.00 | Sale ²⁶ |
| SUNPOWER CORP COM / CUSIP: 867652406 / Symbol: SPWR | | | | | | | |
| 03/09/21 | 7.000 | 227.99 | Various | 288.46 | ... | -60.47 | Sale ²⁶ |
| SUNWORKS INC COM / CUSIP: 86803X204 / Symbol: SUNW | | | | | | | |
| 02/04/21 | 10.000 | 222.50 | Various | 166.12 | ... | 56.38 | Total of 2 transactions |
| 02/26/21 | 15.000 | 217.50 | Various | 265.50 | ... | -48.00 | Sale ²⁶ |
| 02/26/21 | 10.000 | 142.00 | 02/16/21 | 175.00 | 33.00 W | 0.00 | Sale ²⁶ |
| | Security total: | 582.00 | | 606.62 | 33.00 W | 8.38 | |
| SUPPORT COM INC .115:1 EXC 9/15/21 39531G100 / CUSIP: 86858W200 / Symbol: SPRT | | | | | | | |
| 09/14/21 | 2.000 | 35.90 | 09/13/21 | 38.04 | 2.14 W | 0.00 | Sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|--------------------------|
| TAPESTRY INC COM / CUSIP: 876030107 / Symbol: TPR | | | | | | | |
| 02/02/21 | 3.000 | 99.75 | Various | 108.00 | 8.25 W | 0.00 | Total of 3 transactions |
| 02/04/21 | 2.000 | 71.61 | Various | 75.44 | 3.83 W | 0.00 | Total of 2 transactions |
| 02/09/21 | 1.000 | 39.00 | 01/25/21 | 35.04 | ... | 3.96 | Sale ²⁶ |
| 02/11/21 | 2.000 | 80.00 | 01/25/21 | 68.25 | ... | 11.75 | Sale ²⁶ |
| 02/25/21 | 1.000 | 41.75 | 01/25/21 | 34.00 | ... | 7.75 | Sale ²⁶ |
| Security total: | | 332.11 | | 320.73 | 12.08 W | 23.46 | |
| TARGET CORP COM / CUSIP: 87612E106 / Symbol: TGT | | | | | | | |
| 01/27/21 | 1.000 | 178.89 | 01/19/21 | 188.60 | ... | -9.71 | Sale ²⁶ |
| 02/25/21 | 1.000 | 187.00 | 12/21/20 | 170.00 | ... | 17.00 | Sale ²⁶ |
| Security total: | | 365.89 | | 358.60 | ... | 7.29 | |
| TATTOOED CHEF INC COM CL A / CUSIP: 87663X102 / Symbol: TTCF | | | | | | | |
| 02/01/21 | 5.000 | 109.52 | 01/19/21 | 125.02 | 15.50 W | 0.00 | Sale ²⁶ |
| 02/23/21 | 5.000 | 100.75 | 01/19/21 | 138.00 | ... | -37.25 | Sale ²⁶ |
| 03/09/21 | 5.000 | 99.58 | 01/20/21 | 120.00 | ... | -20.42 | Sale ²⁶ |
| Security total: | | 309.85 | | 383.02 | 15.50 W | -57.67 | |
| TELADOC HEALTH INC COM / CUSIP: 87918A105 / Symbol: TDOC | | | | | | | |
| 01/07/21 | 11.000 | 2,421.95 | Various | 2,036.31 | ... | 385.64 | Total of 11 transactions |
| 01/08/21 | 3.000 | 695.98 | Various | 526.77 | ... | 169.21 | Total of 2 transactions |
| 01/20/21 | 3.000 | 722.97 | Various | 513.20 | ... | 209.77 | Total of 3 transactions |
| 01/29/21 | 1.000 | 259.11 | 01/27/21 | 270.00 | 10.89 W | 0.00 | Sale ²⁶ |
| 02/02/21 | 1.000 | 274.99 | 01/27/21 | 262.00 | ... | 12.99 | Sale ²⁶ |
| 02/05/21 | 1.000 | 284.99 | 01/21/21 | 251.74 | ... | 33.25 | Sale ²⁶ |
| Security total: | | 4,659.99 | | 3,860.02 | 10.89 W | 810.86 | |
| TELLURIAN INC COM / CUSIP: 87968A104 / Symbol: TELL | | | | | | | |
| 01/22/21 | 5.000 | 17.50 | 01/21/21 | 12.82 | ... | 4.68 | Sale ²⁶ |
| 01/25/21 | 5.000 | 20.00 | 01/22/21 | 12.50 | ... | 7.50 | Sale ²⁶ |
| 03/04/21 | 5.000 | 12.13 | 01/22/21 | 12.00 | ... | 0.13 | Sale ²⁶ |
| Security total: | | 49.63 | | 37.32 | ... | 12.31 | |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|------------------------|---|-------------------|-------------------------|--|---|--------------------------|
| TELUS INTERNATIONAL (CDA) INC COM / CUSIP: 87975H100 / Symbol: TIXT | | | | | | | |
| 02/26/21 | 10.000 | 288.86 | Various | 331.64 | 42.78 W | 0.00 | Total of 2 transactions |
| 03/01/21 | 30.000 | 888.50 | Various | 1,100.22 | 211.72 W | 0.00 | Total of 3 transactions |
| 03/09/21 | 10.000 | 294.10 | 02/03/21 | 400.23 | 66.39 W | -39.74 | Sale ²⁶ |
| 03/16/21 | 10.000 | 295.00 | Various | 379.29 | ... | -84.29 | Sale ²⁶ |
| 03/30/21 | 6.000 | 165.64 | Various | 190.81 | ... | -25.17 | Total of 2 transactions |
| 04/28/21 | 30.000 | 917.80 | Various | 943.40 | ... | -25.60 | Total of 3 transactions |
| 04/30/21 | 20.000 | 610.00 | Various | 620.80 | ... | -10.80 | Total of 2 transactions |
| 05/04/21 | 4.000 | 119.80 | 02/03/21 | 124.00 | ... | -4.20 | Sale ²⁶ |
| 05/05/21 | 20.000 | 608.10 | Various | 610.00 | ... | -1.90 | Total of 2 transactions |
| 05/07/21 | 10.000 | 310.00 | 02/03/21 | 300.00 | ... | 10.00 | Sale ²⁶ |
| | Security total: | 4,497.80 | | 5,000.39 | 320.89 W | -181.70 | |
| TESLA INC COM / CUSIP: 88160R101 / Symbol: TSLA | | | | | | | |
| 06/15/21 | 1.000 | 599.81 | 06/03/21 | 573.11 | ... | 26.70 | Sale ²⁶ |
| 06/18/21 | 1.000 | 625.00 | 06/16/21 | 595.60 | ... | 29.40 | Sale ²⁶ |
| | Security total: | 1,224.81 | | 1,168.71 | ... | 56.10 | |
| THE9 LTD ADR / CUSIP: 88337K302 / Symbol: NCTY | | | | | | | |
| 04/01/21 | 3.000 | 100.50 | 03/31/21 | 92.25 | ... | 8.25 | Sale ²⁶ |
| 08/09/21 | 50.000 | 858.52 | Various | 692.45 | ... | 166.07 | Total of 10 transactions |
| 08/09/21 | 10.000 | 165.00 | Various | 305.00 | 140.00 W | 0.00 | Total of 2 transactions |
| 08/20/21 | 20.000 | 285.00 | Various | 562.55 | 215.00 W | -62.55 | Total of 2 transactions |
| | Security total: | 1,409.02 | | 1,652.25 | 355.00 W | 111.77 | |
| THE TRADE DESK INC COM CL A / CUSIP: 88339J105 / Symbol: TTD | | | | | | | |
| 01/13/21 | 2.000 | 1,573.33 | Various | 1,580.20 | ... | -6.87 | Total of 2 transactions |
| 01/14/21 | 1.000 | 798.98 | 01/13/21 | 790.10 | ... | 8.88 | Sale ²⁶ |
| 06/22/21 | 5.000 | 312.50 | 06/16/21 | 292.50 | ... | 20.00 | Sale ²⁶ |
| 06/24/21 | 5.000 | 378.32 | 06/16/21 | 292.49 | ... | 85.83 | Sale ²⁶ |
| 06/25/21 | 5.000 | 388.50 | 06/16/21 | 291.00 | ... | 97.50 | Sale ²⁶ |
| 11/08/21 | 6.000 | 525.00 | Various | 426.47 | ... | 98.53 | Total of 2 transactions |
| 12/07/21 | 4.000 | 383.96 | Various | 280.03 | ... | 103.93 | Sale ²⁶ |
| | Security total: | 4,360.59 | | 3,952.79 | ... | 407.80 | |

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(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| TRANSENTERIX INC N/C 3/5/21 04367G103 / CUSIP: 89366M300 / Symbol: TRXC | | | | | | | |
| 02/26/21 | 20.000 | 80.60 | Various | 69.96 | ... | 10.64 | Sale ²⁶ |
| TRANSMEDICS GROUP INC COM / CUSIP: 89377M109 / Symbol: TMDX | | | | | | | |
| 03/01/21 | 5.000 | 199.95 | 02/25/21 | 180.00 | ... | 19.95 | Sale ²⁶ |
| 03/02/21 | 5.000 | 207.50 | 03/02/21 | 196.45 | ... | 11.05 | Sale ²⁶ |
| 03/11/21 | 5.000 | 187.50 | 03/03/21 | 194.13 | 6.63 W | 0.00 | Sale ²⁶ |
| 03/15/21 | 10.000 | 427.50 | Various | 305.63 | ... | 121.87 | Total of 2 transactions |
| 03/16/21 | 5.000 | 230.00 | 02/12/21 | 150.00 | ... | 80.00 | Sale ²⁶ |
| 03/17/21 | 20.000 | 883.25 | Various | 586.25 | ... | 297.00 | Total of 4 transactions |
| 03/22/21 | 5.000 | 235.00 | 03/18/21 | 215.00 | ... | 20.00 | Sale ²⁶ |
| 07/15/21 | 5.000 | 189.97 | 03/24/21 | 210.00 | 20.03 W | 0.00 | Sale ²⁶ |
| 09/07/21 | 10.000 | 344.55 | Various | 413.65 | ... | -69.10 | Total of 2 transactions |
| 10/01/21 | 1.000 | 34.00 | 07/15/21 | 39.21 | ... | -5.21 | Sale ²⁶ |
| | Security total: | 2,939.22 | | 2,490.32 | 26.66 W | 475.56 | |
| TROIKA MEDIA G COM / CUSIP: 89689F305 / Symbol: TRKA | | | | | | | |
| 08/02/21 | 10.000 | 26.50 | 07/02/21 | 27.60 | ... | -1.10 | Sale ²⁶ |
| 08/02/21 | 10.000 | 27.50 | 07/01/21 | 30.10 | 2.60 W | 0.00 | Sale ²⁶ |
| 10/19/21 | 10.000 | 21.00 | 07/20/21 | 23.60 | ... | -2.60 | Sale ²⁶ |
| | Security total: | 75.00 | | 81.30 | 2.60 W | -3.70 | |
| TRUPANION INC COM / CUSIP: 898202106 / Symbol: TRUP | | | | | | | |
| 01/25/21 | 2.000 | 236.99 | 01/20/21 | 237.25 | 0.26 W | 0.00 | Sale ²⁶ |
| 02/02/21 | 1.000 | 116.00 | 01/20/21 | 118.65 | 2.65 W | 0.00 | Sale ²⁶ |
| 02/09/21 | 1.000 | 125.00 | 01/21/21 | 117.65 | ... | 7.35 | Sale ²⁶ |
| 02/26/21 | 1.000 | 96.50 | 01/21/21 | 117.11 | 20.61 W | 0.00 | Sale ²⁶ |
| 03/01/21 | 1.000 | 100.00 | 02/11/21 | 159.61 | ... | -59.61 | Sale ²⁶ |
| 03/01/21 | 2.000 | 198.00 | Various | 286.22 | 88.22 W | 0.00 | Total of 2 transactions |
| 03/10/21 | 1.000 | 90.00 | 01/21/21 | 115.00 | ... | -25.00 | Sale ²⁶ |
| | Security total: | 962.49 | | 1,151.49 | 111.74 W | -77.26 | |
| TWITTER INC COM / CUSIP: 90184L102 / Symbol: TWTR | | | | | | | |
| 06/23/21 | 1.000 | 65.10 | 06/11/21 | 60.50 | ... | 4.60 | Sale ²⁶ |

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Account 455458346

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(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| TYME TECHNOLOGIES INC COM / CUSIP: 90238J103 / Symbol: TYME | | | | | | | |
| 02/03/21 | 100.000 | 410.75 | Various | 319.90 | ... | 90.85 | Total of 4 transactions |
| 02/04/21 | 10.000 | 25.60 | 02/03/21 | 30.00 | ... | -4.40 | Sale ²⁶ |
| | Security total: | 436.35 | | 349.90 | ... | 86.45 | |
| UNDER ARMOUR INC COM CL C / CUSIP: 904311206 / Symbol: UA | | | | | | | |
| 04/14/21 | 2.000 | 37.36 | 04/05/21 | 37.38 | ... | -0.02 | Sale ²⁶ |
| UNITY SOFTWARE INC COM / CUSIP: 91332U101 / Symbol: U | | | | | | | |
| 01/25/21 | 1.000 | 159.00 | 01/11/21 | 139.80 | ... | 19.20 | Sale ²⁶ |
| 02/01/21 | 1.000 | 156.00 | 01/11/21 | 139.46 | ... | 16.54 | Sale ²⁶ |
| 02/05/21 | 2.000 | 274.00 | Various | 282.50 | 8.50 W | 0.00 | Total of 2 transactions |
| 02/09/21 | 5.000 | 652.15 | Various | 670.41 | 18.26 W | 0.00 | Total of 4 transactions |
| 02/24/21 | 1.000 | 112.00 | 02/05/21 | 138.72 | 26.72 W | 0.00 | Sale ²⁶ |
| 02/26/21 | 4.000 | 426.00 | Various | 631.55 | 205.55 W | 0.00 | Total of 2 transactions |
| 03/01/21 | 6.000 | 663.80 | Various | 1,267.79 | 603.99 W | 0.00 | Total of 3 transactions |
| 03/02/21 | 2.000 | 222.00 | Various | 496.50 | 274.50 W | 0.00 | Sale ²⁶ |
| 03/04/21 | 8.000 | 767.04 | Various | 1,301.06 | 534.02 W | 0.00 | Sale ²⁶ |
| 03/09/21 | 1.000 | 98.00 | 02/08/21 | 310.86 | 212.86 W | 0.00 | Sale ²⁶ |
| 03/10/21 | 1.000 | 100.00 | 02/11/21 | 337.86 | 237.86 W | 0.00 | Sale ²⁶ |
| 03/11/21 | 1.000 | 106.00 | 02/12/21 | 362.86 | 256.86 W | 0.00 | Sale ²⁶ |
| 03/15/21 | 1.000 | 110.00 | 02/12/21 | 379.86 | 269.86 W | 0.00 | Sale ²⁶ |
| 03/16/21 | 2.000 | 228.00 | Various | 673.65 | 445.65 W | 0.00 | Sale ²⁶ |
| 03/17/21 | 1.000 | 110.00 | 02/18/21 | 394.86 | 284.86 W | 0.00 | Sale ²⁶ |
| 05/12/21 | 1.000 | 90.15 | 02/17/21 | 289.79 | ... | -199.64 | Sale ²⁶ |
| 05/14/21 | 1.000 | 83.25 | 02/10/21 | 160.51 | ... | -77.26 | Sale ²⁶ |
| 08/11/21 | 2.000 | 240.00 | Various | 317.24 | ... | -77.24 | Total of 2 transactions |
| 08/12/21 | 3.000 | 374.99 | Various | 473.12 | ... | -98.13 | Total of 2 transactions |
| 08/13/21 | 2.000 | 258.00 | 02/12/21 | 248.00 | ... | 10.00 | Sale ²⁶ |
| 08/19/21 | 3.000 | 354.34 | Various | 348.00 | ... | 6.34 | Total of 2 transactions |
| | Security total: | 5,584.72 | | 9,364.40 | 3,379.49 W | -400.19 | |
| UP FINTECH HOLDING LIMITED ADR / CUSIP: 91531W106 / Symbol: TIGR | | | | | | | |
| 04/14/21 | 5.000 | 104.95 | 03/18/21 | 105.00 | ... | -0.05 | Sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|----------|---|-------------------|-------------------------|--|---|-------------------------|
| UPSTART HLDGS INC COM / CUSIP: 91680M107 / Symbol: UPST | | | | | | | |
| 03/01/21 | 1.000 | 73.00 | 02/25/21 | 68.25 | ... | 4.75 | Sale ²⁶ |
| 03/11/21 | 1.000 | 59.50 | 02/25/21 | 68.25 | 8.75 W | 0.00 | Sale ²⁶ |
| 03/17/21 | 3.000 | 199.00 | Various | 211.71 | ... | -12.71 | Total of 2 transactions |
| 03/17/21 | 12.000 | 746.10 | Various | 908.80 | 162.70 W | 0.00 | Total of 5 transactions |
| 07/12/21 | 1.000 | 130.00 | 06/30/21 | 125.00 | ... | 5.00 | Sale ²⁶ |
| 08/02/21 | 2.000 | 262.00 | Various | 243.00 | ... | 19.00 | Total of 2 transactions |
| 08/09/21 | 1.000 | 138.99 | 07/15/21 | 111.00 | ... | 27.99 | Sale ²⁶ |
| Security total: | | 1,608.59 | | 1,736.01 | 171.45 W | 44.03 | |
| UWM HLDGS CORP COM CL A / CUSIP: 91823B109 / Symbol: UWMC | | | | | | | |
| 03/17/21 | 10.000 | 89.75 | 02/04/21 | 110.10 | ... | -20.35 | Sale ²⁶ |
| 03/18/21 | 10.000 | 100.00 | 02/04/21 | 105.00 | ... | -5.00 | Sale ²⁶ |
| 05/12/21 | 10.000 | 74.90 | Various | 103.47 | 14.55 W | -14.02 | Sale ²⁶ |
| 05/13/21 | 10.000 | 74.90 | 02/02/21 | 102.50 | ... | -27.60 | Sale ²⁶ |
| 05/17/21 | 10.000 | 81.20 | 02/05/21 | 100.10 | ... | -18.90 | Sale ²⁶ |
| 05/27/21 | 10.000 | 89.90 | 02/08/21 | 95.00 | ... | -5.10 | Sale ²⁶ |
| 06/02/21 | 10.000 | 91.01 | 02/10/21 | 90.00 | ... | 1.01 | Sale ²⁶ |
| 06/21/21 | 5.000 | 46.18 | 06/09/21 | 65.80 | ... | -19.62 | Sale ²⁶ |
| Security total: | | 647.84 | | 771.97 | 14.55 W | -109.58 | |
| VTV THERAPEUTICS INC COM CL A / CUSIP: 918385105 / Symbol: VTVT | | | | | | | |
| 05/14/21 | 5.000 | 11.73 | 02/04/21 | 20.20 | ... | -8.47 | Sale ²⁶ |
| VEEVA SYSTEMS INC COM CL A / CUSIP: 922475108 / Symbol: VEEV | | | | | | | |
| 01/25/21 | 2.000 | 583.98 | Various | 529.75 | ... | 54.23 | Total of 2 transactions |
| 02/01/21 | 1.000 | 284.99 | 12/02/20 | 262.00 | ... | 22.99 | Sale ²⁶ |
| 02/08/21 | 1.000 | 298.99 | 12/02/20 | 260.00 | ... | 38.99 | Sale ²⁶ |
| 02/26/21 | 1.000 | 283.00 | 12/09/20 | 258.00 | ... | 25.00 | Sale ²⁶ |
| Security total: | | 1,450.96 | | 1,309.75 | ... | 141.21 | |
| VERU INC COM / CUSIP: 92536C103 / Symbol: VERU | | | | | | | |
| 02/09/21 | 40.000 | 741.06 | Various | 458.27 | ... | 282.79 | Total of 8 transactions |
| VIATRIS INC COM / CUSIP: 92556V106 / Symbol: VTRS | | | | | | | |
| 02/26/21 | 1.000 | 14.62 | 09/08/20 | 15.02 | ... | -0.40 | Sale ²⁶ |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| VINCO VENTURES INC COM / CUSIP: 927330100 / Symbol: BBIG | | | | | | | |
| 06/10/21 | 5.000 | 23.50 | 06/08/21 | 23.15 | ... | 0.35 | Sale ²⁶ |
| 08/30/21 | 10.000 | 79.50 | 08/30/21 | 77.10 | ... | 2.40 | Sale ²⁶ |
| 08/31/21 | 10.000 | 72.30 | 08/30/21 | 70.10 | ... | 2.20 | Sale ²⁶ |
| | Security total: | 175.30 | | 170.35 | ... | 4.95 | |
| VIR BIOTECHNOLOGY INC COM / CUSIP: 92764N102 / Symbol: VIR | | | | | | | |
| 03/05/21 | 3.000 | 135.00 | 03/03/21 | 125.85 | ... | 9.15 | Sale ²⁶ |
| 03/09/21 | 3.000 | 136.80 | 03/03/21 | 120.00 | ... | 16.80 | Sale ²⁶ |
| 03/10/21 | 3.000 | 144.00 | 03/03/21 | 114.00 | ... | 30.00 | Sale ²⁶ |
| | Security total: | 415.80 | | 359.85 | ... | 55.95 | |
| VIRGIN GALACTIC HLDGS INC COM / CUSIP: 92766K106 / Symbol: SPCE | | | | | | | |
| 01/07/21 | 5.000 | 127.50 | Various | 137.75 | 10.25 W | 0.00 | Sale ²⁶ |
| 01/12/21 | 5.000 | 133.18 | Various | 128.68 | ... | 4.50 | Sale ²⁶ |
| 01/12/21 | 10.000 | 262.00 | Various | 271.60 | 11.32 W | 1.72 | Total of 2 transactions |
| 01/13/21 | 10.000 | 277.50 | Various | 253.39 | ... | 24.11 | Total of 2 transactions |
| 01/14/21 | 20.000 | 643.13 | Various | 493.90 | ... | 149.23 | Total of 4 transactions |
| 01/21/21 | 5.000 | 169.50 | Various | 133.50 | ... | 36.00 | Sale ²⁶ |
| 01/25/21 | 5.000 | 185.00 | Various | 121.03 | ... | 63.97 | Sale ²⁶ |
| 01/26/21 | 10.000 | 397.99 | 12/17/20 | 238.52 | ... | 159.47 | Sale ²⁶ |
| 02/01/21 | 6.000 | 335.44 | Various | 176.39 | ... | 159.05 | Total of 3 transactions |
| 02/03/21 | 3.000 | 168.19 | 12/18/20 | 69.00 | ... | 99.19 | Sale ²⁶ |
| 06/17/21 | 5.000 | 185.00 | 02/11/21 | 262.50 | 77.50 W | 0.00 | Sale ²⁶ |
| 06/18/21 | 5.000 | 187.50 | Various | 257.98 | 70.48 W | 0.00 | Sale ²⁶ |
| 06/22/21 | 10.000 | 394.80 | Various | 214.12 | ... | 180.68 | Sale ²⁶ |
| 06/22/21 | 20.000 | 770.00 | Various | 800.94 | 80.00 W | 49.06 | Sale ²⁶ |
| 08/09/21 | 5.000 | 175.00 | 07/13/21 | 267.55 | 92.55 W | 0.00 | Sale ²⁶ |
| | Security total: | 4,411.73 | | 3,826.85 | 342.10 W | 926.98 | |
| VISA INC COM CL A / CUSIP: 92826C839 / Symbol: V | | | | | | | |
| 01/28/21 | 2.000 | 400.50 | Various | 419.40 | 18.90 W | 0.00 | Total of 2 transactions |
| 02/04/21 | 2.000 | 417.99 | Various | 413.94 | ... | 4.05 | Total of 2 transactions |
| 02/09/21 | 2.000 | 414.19 | 12/21/20 | 411.50 | ... | 2.69 | Sale ²⁶ |
| 03/30/21 | 1.000 | 212.56 | 03/19/21 | 209.87 | ... | 2.69 | Sale ²⁶ |
| | Security total: | 1,445.24 | | 1,454.71 | 18.90 W | 9.43 | |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|--|
| VISTAGEN THERAPEUTICS INC COM / CUSIP: 92840H202 / Symbol: VTGN | | | | | | | |
| 02/26/21 | 25.000 | 56.75 | 02/22/21 | 62.50 | ... | -5.75 | Sale ²⁶ |
| 08/26/21 | 15.000 | 45.75 | Various | 45.90 | ... | -0.15 | Sale ²⁶ |
| | Security total: | 102.50 | | 108.40 | ... | -5.90 | |
| VROOM INC COM / CUSIP: 92918V109 / Symbol: VRM | | | | | | | |
| 01/07/21 | 18.000 | 755.93 | Various | 623.40 | ... | 132.53 | Total of 2 transactions |
| 01/25/21 | 5.000 | 188.75 | 01/15/21 | 190.00 | 1.25 W | 0.00 | Sale ²⁶ |
| 01/26/21 | 5.000 | 195.00 | 01/15/21 | 186.62 | ... | 8.38 | Sale ²⁶ |
| 01/29/21 | 5.000 | 187.50 | 01/27/21 | 189.05 | 1.55 W | 0.00 | Sale ²⁶ |
| 02/01/21 | 10.000 | 398.45 | Various | 373.10 | ... | 25.35 | Total of 2 transactions |
| 02/04/21 | 15.000 | 642.50 | Various | 526.79 | ... | 115.71 | Total of 3 transactions |
| 02/05/21 | 15.000 | 674.99 | 12/10/20 | 490.42 | ... | 184.57 | Sale ²⁶ |
| 02/08/21 | 5.000 | 239.99 | Various | 162.90 | ... | 77.09 | Sale ²⁶ |
| 02/09/21 | 5.000 | 249.99 | 12/07/20 | 161.00 | ... | 88.99 | Sale ²⁶ |
| 03/01/21 | 5.000 | 235.00 | 02/10/21 | 240.95 | 5.95 W | 0.00 | Sale ²⁶ |
| 04/26/21 | 15.000 | 706.02 | Various | 700.58 | ... | 5.44 | Total of 3 transactions |
| 05/12/21 | 5.000 | 197.25 | 02/25/21 | 218.75 | ... | -21.50 | Sale ²⁶ |
| 08/17/21 | 10.000 | 276.50 | Various | 304.85 | ... | -28.35 | Sale ²⁶ |
| | Security total: | 4,947.87 | | 4,368.41 | 8.75 W | 588.21 | |
| WALMART INC COM / CUSIP: 931142103 / Symbol: WMT | | | | | | | |
| 02/09/21 | 1.000 | 145.70 | 01/22/21 | 146.00 | ... | -0.30 | Sale ²⁶ |
| WALKER AND DUNLOP COM / CUSIP: 93148P102 / Symbol: WD | | | | | | | |
| 08/06/21 | 1.000 | 105.00 | 07/13/21 | 99.00 | ... | 6.00 | Sale ²⁶ |
| WASHINGTON FEDERAL INC COM / CUSIP: 938824109 / Symbol: WAFD | | | | | | | |
| 02/26/21 | 10.000 | 301.15 | Various | 276.40 | ... | 24.75 | Sale ²⁶ |
| WIMI HOLOGRAM CLOUD INC ADR / CUSIP: 97264L100 / Symbol: WIMI | | | | | | | |
| 03/01/21 | 5.000 | 46.80 | 01/26/21 | 48.00 | ... | -1.20 | Sale ²⁶ |
| WISDOMTREE INVESTMENTS INC COM / CUSIP: 97717P104 / Symbol: WETF | | | | | | | |
| 04/14/21 | 5.000 | 32.05 | 04/01/21 | 32.05 | ... | 0.00 | Sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| WISEKEY INTERNATIONAL HLDG LTD ADR SPONSORED / CUSIP: 97727L200 / Symbol: WKEY | | | | | | | |
| 03/24/21 | 40.000 | 806.93 | Various | 461.11 | ... | 345.82 | Total of 7 transactions |
| 03/24/21 | 5.000 | 74.50 | 03/24/21 | 90.43 | 15.93 W | 0.00 | Sale ²⁶ |
| 03/26/21 | 5.000 | 90.00 | 03/24/21 | 50.00 | ... | 40.00 | Sale ²⁶ |
| | Security total: | 971.43 | | 601.54 | 15.93 W | 385.82 | |
| WORKHORSE GROUP INC COM / CUSIP: 98138J206 / Symbol: WKHS | | | | | | | |
| 01/07/21 | 30.000 | 796.13 | Various | 626.29 | ... | 169.84 | Total of 6 transactions |
| 01/19/21 | 5.000 | 119.07 | 01/08/21 | 125.00 | 5.93 W | 0.00 | Sale ²⁶ |
| 01/25/21 | 5.000 | 127.50 | 01/12/21 | 127.28 | ... | 0.22 | Sale ²⁶ |
| 01/25/21 | 5.000 | 125.00 | 01/12/21 | 130.48 | 5.48 W | 0.00 | Sale ²⁶ |
| 01/26/21 | 20.000 | 617.50 | Various | 479.65 | ... | 137.85 | Total of 4 transactions |
| 01/27/21 | 5.000 | 175.00 | 01/14/21 | 119.50 | ... | 55.50 | Sale ²⁶ |
| 01/29/21 | 45.000 | 1,645.68 | Various | 1,089.05 | ... | 556.63 | Total of 9 transactions |
| 02/01/21 | 25.000 | 969.35 | Various | 569.75 | ... | 399.60 | Total of 5 transactions |
| 02/03/21 | 10.000 | 365.85 | Various | 348.60 | ... | 17.25 | Total of 2 transactions |
| 02/04/21 | 40.000 | 1,602.36 | Various | 1,367.93 | ... | 234.43 | Total of 8 transactions |
| 02/10/21 | 5.000 | 205.00 | 02/10/21 | 195.43 | ... | 9.57 | Sale ²⁶ |
| 02/25/21 | 10.000 | 180.31 | Various | 470.53 | 290.22 W | 0.00 | Total of 2 transactions |
| 03/09/21 | 5.000 | 82.50 | 02/02/21 | 355.11 | 272.61 W | 0.00 | Sale ²⁶ |
| 03/15/21 | 5.000 | 90.00 | 02/09/21 | 463.41 | 373.41 W | 0.00 | Sale ²⁶ |
| 06/07/21 | 5.000 | 73.75 | 02/16/21 | 550.91 | 477.16 W | 0.00 | Sale ²⁶ |
| 06/08/21 | 5.000 | 74.95 | 02/09/21 | 190.44 | ... | -115.49 | Sale ²⁶ |
| 06/09/21 | 15.000 | 267.90 | Various | 934.76 | ... | -666.86 | Total of 3 transactions |
| 06/23/21 | 5.000 | 76.25 | 02/11/21 | 190.00 | ... | -113.75 | Sale ²⁶ |
| 06/28/21 | 5.000 | 87.50 | 02/11/21 | 185.00 | ... | -97.50 | Sale ²⁶ |
| 09/01/21 | 20.000 | 188.80 | Various | 707.14 | ... | -518.34 | Sale ²⁶ |
| 09/01/21 | 25.000 | 238.44 | 02/12/21 | 906.55 | 134.01 W | -534.10 | Sale ²⁶ |
| | Security total: | 8,108.84 | | 10,132.81 | 1,558.82 W | -465.15 | |
| XL FLEET CORP COM CL A / CUSIP: 9837FR100 / Symbol: XL | | | | | | | |
| 02/19/21 | 10.000 | 180.00 | Various | 204.00 | 24.00 W | 0.00 | Sale ²⁶ |
| 03/01/21 | 5.000 | 73.38 | 02/03/21 | 113.00 | 39.62 W | 0.00 | Sale ²⁶ |
| 05/18/21 | 5.000 | 35.00 | 02/03/21 | 139.62 | ... | -104.62 | Sale ²⁶ |
| | Security total: | 288.38 | | 456.62 | 63.62 W | -104.62 | |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| XPENG INC ADR / CUSIP: 98422D105 / Symbol: XPEV | | | | | | | |
| 03/09/21 | 2.000 | 59.98 | Various | 95.00 | 35.02 W | 0.00 | Sale ²⁶ |
| 06/14/21 | 4.000 | 170.96 | Various | 222.02 | 42.54 W | -8.52 | Sale ²⁶ |
| 06/17/21 | 10.000 | 432.75 | Various | 455.52 | ... | -22.77 | Total of 2 transactions |
| 06/18/21 | 15.000 | 681.50 | Various | 565.43 | ... | 116.07 | Total of 2 transactions |
| 06/23/21 | 2.000 | 84.42 | 06/22/21 | 122.54 | ... | -38.12 | Sale ²⁶ |
| | Security total: | 1,429.61 | | 1,460.51 | 77.56 W | 46.66 | |
| YETI HOLDINGS INC COM / CUSIP: 98585X104 / Symbol: YETI | | | | | | | |
| 02/22/21 | 1.000 | 75.50 | 02/11/21 | 74.91 | ... | 0.59 | Sale ²⁶ |
| 02/26/21 | 10.000 | 685.00 | Various | 759.45 | 74.45 W | 0.00 | Total of 2 transactions |
| 03/01/21 | 7.000 | 495.00 | Various | 570.69 | 59.27 W | -16.42 | Total of 2 transactions |
| 03/02/21 | 3.000 | 209.25 | 02/17/21 | 236.32 | ... | -27.07 | Sale ²⁶ |
| | Security total: | 1,464.75 | | 1,641.37 | 133.72 W | -42.90 | |
| ZANITE ACQUISITION CORP COM CL A / CUSIP: 98907K103 / Symbol: ZNTE | | | | | | | |
| 02/23/21 | 30.000 | 334.28 | Various | 311.34 | ... | 22.94 | Total of 2 transactions |
| 02/25/21 | 5.000 | 55.15 | 01/08/21 | 51.50 | ... | 3.65 | Sale ²⁶ |
| | Security total: | 389.43 | | 362.84 | ... | 26.59 | |
| ZOOM VIDEO COMMUNICATIONS INC COM CL A / CUSIP: 98980L101 / Symbol: ZM | | | | | | | |
| 01/12/21 | 1.000 | 354.99 | 12/22/20 | 405.00 | 50.01 W | 0.00 | Sale ²⁶ |
| 01/13/21 | 5.000 | 1,859.09 | Various | 2,299.96 | 440.87 W | 0.00 | Total of 4 transactions |
| 01/14/21 | 7.000 | 2,678.16 | Various | 3,600.00 | 921.84 W | 0.00 | Total of 7 transactions |
| 01/15/21 | 1.000 | 403.99 | 01/15/21 | 393.33 | ... | 10.66 | Sale ²⁶ |
| 01/15/21 | 2.000 | 784.98 | Various | 903.87 | 118.89 W | 0.00 | Total of 2 transactions |
| 01/19/21 | 3.000 | 1,186.65 | Various | 1,167.87 | ... | 18.78 | Total of 3 transactions |
| 01/20/21 | 2.000 | 797.98 | Various | 771.00 | ... | 26.98 | Total of 2 transactions |
| 01/25/21 | 2.000 | 785.98 | Various | 766.00 | ... | 19.98 | Total of 2 transactions |
| 01/28/21 | 1.000 | 378.99 | 01/26/21 | 383.00 | 4.01 W | 0.00 | Sale ²⁶ |
| 02/01/21 | 1.000 | 380.99 | 01/21/21 | 380.01 | ... | 0.98 | Sale ²⁶ |
| 02/01/21 | 2.000 | 754.98 | Various | 761.00 | 6.02 W | 0.00 | Total of 2 transactions |
| 02/02/21 | 2.000 | 773.98 | Various | 745.70 | ... | 28.28 | Total of 2 transactions |
| 02/05/21 | 5.000 | 2,038.65 | Various | 1,818.02 | ... | 220.63 | Total of 5 transactions |
| 03/01/21 | 2.000 | 795.00 | Various | 842.00 | 47.00 W | 0.00 | Total of 2 transactions |
| 03/23/21 | 1.000 | 346.00 | 03/03/21 | 397.00 | 51.00 W | 0.00 | Sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| ZOOM VIDEO COMMUNICATIONS INC COM CL A / CUSIP: 98980L101 / Symbol: ZM (cont'd) | | | | | | | |
| 03/30/21 | 2.000 | 627.51 | Various | 892.49 | 264.98 W | 0.00 | Total of 2 transactions |
| 05/14/21 | 1.000 | 296.03 | 03/12/21 | 500.49 | ... | -204.46 | Sale ²⁶ |
| 09/14/21 | 1.000 | 288.89 | 08/30/21 | 320.00 | ... | -31.11 | Sale ²⁶ |
| | Security total: | 15,532.84 | | 17,346.74 | 1,904.62 W | 90.72 | |
| AMERICAN AIRLINES GROUP INC AAL Dec 17 2021 20.0 Call / CUSIP: / Symbol: AAL 12/17/21 C 20.000 | | | | | | | |
| 09/14/21 | 1.000 | 161.44 | 09/13/21 | 150.56 | ... | 10.88 | Option sale ²⁶ |
| AMERICAN AIRLINES GROUP INC AAL Jan 20 2023 40.0 Call / CUSIP: / Symbol: AAL 01/20/23 C 40.000 | | | | | | | |
| 11/05/21 | 1.000 | 68.44 | 09/17/21 | 61.56 | ... | 6.88 | Option sale ²⁶ |
| APPLE INC AAPL Jun 18 2021 125.0 Call / CUSIP: / Symbol: AAPL 06/18/21 C 125.000 | | | | | | | |
| 06/02/21 | 1.000 | 234.34 | 06/02/21 | 245.66 | 11.32 W | 0.00 | Option sale ²⁶ |
| 06/07/21 | 1.000 | 221.34 | 06/04/21 | 224.98 | ... | -3.64 | Option sale ²⁶ |
| | Security total: | 455.68 | | 470.64 | 11.32 W | -3.64 | |
| APPLE INC AAPL May 07 2021 129.0 Put / CUSIP: / Symbol: AAPL 05/07/21 P 129.000 | | | | | | | |
| 05/04/21 | 1.000 | 74.34 | 04/30/21 | 72.66 | ... | 1.68 | Option sale ²⁶ |
| AIRBNB INC ABNB Jun 18 2021 160.0 Call / CUSIP: / Symbol: ABNB 06/18/21 C 160.000 | | | | | | | |
| 06/03/21 | 1.000 | 214.34 | 06/03/21 | 210.66 | ... | 3.68 | Option sale ²⁶ |
| AURORA CANNABIS INC ACB Oct 15 2021 8.0 Call / CUSIP: / Symbol: ACB 10/15/21 C 8.000 | | | | | | | |
| 09/02/21 | 1.000 | 63.44 | 08/31/21 | 62.56 | ... | 0.88 | Option sale ²⁶ |
| AFFIRM HLDGS INC AFRM Sep 17 2021 115.0 Call / CUSIP: / Symbol: AFRM 09/17/21 C 115.000 | | | | | | | |
| 09/16/21 | 1.000 | 197.44 | 09/15/21 | 115.56 | ... | 81.88 | Option sale ²⁶ |
| C3.AI INC AI Jun 18 2021 70.0 Call / CUSIP: / Symbol: AI 06/18/21 C 70.000 | | | | | | | |
| 06/14/21 | 1.000 | 34.34 | 06/11/21 | 55.66 | ... | -21.32 | Option sale ²⁶ |
| APPLIED MATERIALS INC AMAT Jul 30 2021 129.0 Call / CUSIP: / Symbol: AMAT 07/30/21 C 129.000 | | | | | | | |
| 07/19/21 | 1.000 | 169.44 | 07/16/21 | 325.56 | ... | -156.12 | Option sale ²⁶ |
| AMC ENTERTAINMENT HOLDINGS INC AMC Aug 06 2021 32.0 Call / CUSIP: / Symbol: AMC 08/06/21 C 32.000 | | | | | | | |
| 08/05/21 | 1.000 | 76.44 | 08/04/21 | 111.56 | ... | -35.12 | Option sale ²⁶ |

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Account 455458346

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2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| AMC ENTERTAINMENT HOLDINGS INC AMC Jul 16 2021 40.0 Put / CUSIP: / Symbol: AMC 07/16/21 P 40.000 | | | | | | | |
| 07/13/21 | 1.000 | 198.44 | 07/12/21 | 150.56 | ... | 47.88 | Option sale ²⁶ |
| AMC ENTERTAINMENT HOLDINGS INC AMC Aug 20 2021 25.0 Put / CUSIP: / Symbol: AMC 08/20/21 P 25.000 | | | | | | | |
| 07/14/21 | 1.000 | 297.44 | 07/13/21 | 246.56 | ... | 50.88 | Option sale ²⁶ |
| AMC ENTERTAINMENT HOLDINGS INC AMC Sep 03 2021 47.0 Put / CUSIP: / Symbol: AMC 09/03/21 P 47.000 | | | | | | | |
| 08/31/21 | 1.000 | 304.44 | 08/31/21 | 300.56 | ... | 3.88 | Option sale ²⁶ |
| ADVANCED MICRO DEVICES INC AMD Jun 25 2021 82.0 Call / CUSIP: / Symbol: AMD 06/25/21 C 82.000 | | | | | | | |
| 06/14/21 | 1.000 | 131.34 | 06/14/21 | 123.98 | ... | 7.36 | Option sale ²⁶ |
| 06/14/21 | 1.000 | 123.34 | 06/14/21 | 125.66 | 2.32 W | 0.00 | Option sale ²⁶ |
| | Security total: | 254.68 | | 249.64 | 2.32 W | 7.36 | |
| ADVANCED MICRO DEVICES INC AMD Sep 03 2021 110.0 Call / CUSIP: / Symbol: AMD 09/03/21 C 110.000 | | | | | | | |
| 08/27/21 | 1.000 | 169.44 | 08/26/21 | 165.56 | ... | 3.88 | Option sale ²⁶ |
| ANTERO RESOURCES CORPORATION AR May 21 2021 10.0 Call / CUSIP: / Symbol: AR 05/21/21 C 10.000 | | | | | | | |
| 04/28/21 | 1.000 | 94.34 | 04/26/21 | 70.66 | ... | 23.68 | Option sale ²⁶ |
| 04/29/21 | 1.000 | 64.34 | 04/26/21 | 65.66 | ... | -1.32 | Option sale ²⁶ |
| | Security total: | 158.68 | | 136.32 | ... | 22.36 | |
| ARRAY TECHNOLOGIES INC ARRY Jul 16 2021 17.5 Call / CUSIP: / Symbol: ARRY 07/16/21 C 17.500 | | | | | | | |
| 06/11/21 | 1.000 | 84.34 | 06/10/21 | 95.66 | ... | -11.32 | Option sale ²⁶ |
| ATOSSA THERAPEUTICS INC ATOS Aug 20 2021 4.0 Call / CUSIP: / Symbol: ATOS 08/20/21 C 4.000 | | | | | | | |
| 08/10/21 | 1.000 | 4.44 | 08/04/21 | 20.56 | ... | -16.12 | Option sale ²⁶ |
| BOEING CO BA Jun 25 2021 250.0 Call / CUSIP: / Symbol: BA 06/25/21 C 250.000 | | | | | | | |
| 06/15/21 | 1.000 | 349.34 | 06/15/21 | 355.66 | ... | -6.32 | Option sale ²⁶ |
| BOEING CO BA Jul 09 2021 242.5 Call / CUSIP: / Symbol: BA 07/09/21 C 242.500 | | | | | | | |
| 06/30/21 | 1.000 | 299.44 | 06/30/21 | 295.56 | ... | 3.88 | Option sale ²⁶ |
| BOEING CO BA May 07 2021 230.0 Put / CUSIP: / Symbol: BA 05/07/21 P 230.000 | | | | | | | |
| 04/27/21 | 1.000 | 192.34 | 04/26/21 | 244.66 | ... | -52.32 | Option sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|----------------------------------|
| ALIBABA GROUP HOLDING LTD BABA Jun 04 2021 220.0 Call / CUSIP: / Symbol: BABA 06/04/21 C 220.000 | | | | | | | |
| 05/28/21 | 1.000 | 84.34 | 05/27/21 | 101.66 | ... | -17.32 | Option sale ²⁶ |
| ALIBABA GROUP HOLDING LTD BABA Sep 03 2021 177.5 Call / CUSIP: / Symbol: BABA 09/03/21 C 177.500 | | | | | | | |
| 09/03/21 | 1.000 | 8.44 | 09/02/21 | 26.56 | ... | -18.12 | Option sale ²⁶ |
| BEYOND MEAT INC BYND May 28 2021 120.0 Call / CUSIP: / Symbol: BYND 05/28/21 C 120.000 | | | | | | | |
| 05/24/21 | 1.000 | 94.34 | 05/24/21 | 150.66 | ... | -56.32 | Option sale ²⁶ |
| BEYOND MEAT INC BYND Oct 15 2021 120.0 Call / CUSIP: / Symbol: BYND 10/15/21 C 120.000 | | | | | | | |
| 10/15/21 | 1.000 | 0.00 | 09/01/21 | 790.56 | ... | -790.56 | Option expiration |
| BEYOND MEAT INC BYND Oct 15 2021 125.0 Call / CUSIP: / Symbol: BYND 10/15/21 C 125.000 | | | | | | | |
| 10/15/21 | 1.000 | 569.44 | 10/15/21 | 0.00 | ... | 569.44 | Option expiration short position |
| CITIGROUP INC C May 21 2021 74.0 Call / CUSIP: / Symbol: C 05/21/21 C 74.000 | | | | | | | |
| 05/05/21 | 1.000 | 128.34 | 04/29/21 | 127.66 | ... | 0.68 | Option sale ²⁶ |
| CITIGROUP INC C Jul 09 2021 75.0 Call / CUSIP: / Symbol: C 07/09/21 C 75.000 | | | | | | | |
| 06/16/21 | 1.000 | 83.44 | 06/16/21 | 57.56 | ... | 25.88 | Option sale ²⁶ |
| 06/17/21 | 1.000 | 54.44 | 06/16/21 | 51.56 | ... | 2.88 | Option sale ²⁶ |
| | Security total: | 137.88 | | 109.12 | ... | 28.76 | |
| CITIGROUP INC C Apr 23 2021 71.0 Put / CUSIP: / Symbol: C 04/23/21 P 71.000 | | | | | | | |
| 04/15/21 | 1.000 | 47.34 | 04/15/21 | 85.66 | 38.32 W | 0.00 | Option sale ²⁶ |
| 04/20/21 | 1.000 | 139.34 | 04/15/21 | 121.98 | ... | 17.36 | Option sale ²⁶ |
| | Security total: | 186.68 | | 207.64 | 38.32 W | 17.36 | |
| LUCID GROUP INC CCIV Apr 30 2021 21.5 Call / CUSIP: / Symbol: CCIV 04/30/21 C 21.500 | | | | | | | |
| 04/26/21 | 1.000 | 89.34 | 04/26/21 | 90.66 | ... | -1.32 | Option sale ²⁶ |
| LUCID GROUP INC CCIV Jun 18 2021 21.0 Call / CUSIP: / Symbol: CCIV 06/18/21 C 21.000 | | | | | | | |
| 06/02/21 | 1.000 | 198.34 | 06/01/21 | 175.66 | ... | 22.68 | Option sale ²⁶ |
| LUCID GROUP INC CCIV Jul 30 2021 28.5 Call / CUSIP: / Symbol: CCIV 07/30/21 C 28.500 | | | | | | | |
| 06/30/21 | 1.000 | 344.44 | 06/30/21 | 246.56 | ... | 97.88 | Option sale ²⁶ |

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Account 455458346

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(continued)

02/17/2022

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| LUCID GROUP INC CCIV May 21 2021 20.0 Put / CUSIP: / Symbol: CCIV 05/21/21 P 20.000 | | | | | | | |
| 05/05/21 | 1.000 | 154.34 | 05/03/21 | 166.66 | ... | -12.32 | Option sale ²⁶ |
| CAMECO CORP CCJ Jan 20 2023 37.0 Call / CUSIP: / Symbol: CCJ 01/20/23 C 37.000 | | | | | | | |
| 10/18/21 | 1.000 | 397.44 | 09/20/21 | 256.56 | ... | 140.88 | Option sale ²⁶ |
| 11/03/21 | 1.000 | 434.44 | 10/21/21 | 375.56 | ... | 58.88 | Option sale ²⁶ |
| | Security total: | 831.88 | | 632.12 | ... | 199.76 | |
| CARNIVAL CORP CCL Nov 19 2021 30.0 Call / CUSIP: / Symbol: CCL 11/19/21 C 30.000 | | | | | | | |
| 07/21/21 | 1.000 | 93.44 | 07/14/21 | 85.56 | ... | 7.88 | Option sale ²⁶ |
| 07/22/21 | 1.000 | 76.44 | 07/15/21 | 66.56 | ... | 9.88 | Option sale ²⁶ |
| 08/05/21 | 1.000 | 70.44 | 08/03/21 | 54.56 | ... | 15.88 | Option sale ²⁶ |
| | Security total: | 240.32 | | 206.68 | ... | 33.64 | |
| CHEGG INC CHGG Nov 19 2021 85.0 Call / CUSIP: / Symbol: CHGG 11/19/21 C 85.000 | | | | | | | |
| 09/21/21 | 1.000 | 184.44 | 09/20/21 | 170.56 | ... | 13.88 | Option sale ²⁶ |
| CHEWY INC CHWY Nov 12 2021 80.0 Call / CUSIP: / Symbol: CHWY 11/12/21 C 80.000 | | | | | | | |
| 11/10/21 | 1.000 | 33.44 | 11/09/21 | 76.56 | ... | -43.12 | Option sale ²⁶ |
| CLOVER HEALTH INVESTMENTS CORP CLOV May 21 2021 9.0 Put / CUSIP: / Symbol: CLOV 05/21/21 P 9.000 | | | | | | | |
| 04/29/21 | 1.000 | 42.68 | 04/28/21 | 0.00 | ... | 42.68 | Short sale closed- option ²⁶ |
| CLOVER HEALTH INVESTMENTS CORP CLOV Jan 21 2022 15.0 Call / CUSIP: / Symbol: CLOV 01/21/22 C 15.000 | | | | | | | |
| 09/07/21 | 2.000 | 374.88 | Various | 215.12 | ... | 159.76 | Total of 2 transactions |
| CLOVER HEALTH INVESTMENTS CORP CLOV Jan 21 2022 12.0 Call / CUSIP: / Symbol: CLOV 01/21/22 C 12.000 | | | | | | | |
| 05/27/21 | 1.000 | 104.34 | 05/27/21 | 75.66 | ... | 28.68 | Option sale ²⁶ |
| 06/02/21 | 1.000 | 144.34 | 05/24/21 | 70.66 | ... | 73.68 | Option sale ²⁶ |
| | Security total: | 248.68 | | 146.32 | ... | 102.36 | |
| COMCAST CORP CMCSA Aug 20 2021 60.0 Call / CUSIP: / Symbol: CMCSA 08/20/21 C 60.000 | | | | | | | |
| 08/11/21 | 1.000 | 23.44 | 07/08/21 | 109.56 | ... | -86.12 | Option sale ²⁶ |
| COMSOVEREIGN HLDG COMS Mar 19 2021 7.5 Call / CUSIP: / Symbol: COMS 03/19/21 C 7.500 | | | | | | | |
| 03/19/21 | 1.000 | 0.00 | 02/19/21 | 55.66 | ... | -55.66 | Option expiration |

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(continued)

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| COMSOVEREIGN HLDG COMS Apr 16 2021 10.0 Call / CUSIP: / Symbol: COMS 04/16/21 C 10.000 | | | | | | | |
| 04/16/21 | 1.000 | 0.00 | 02/19/21 | 60.66 | ... | -60.66 | Option expiration |
| CONOCOPHILLIPS COP Aug 20 2021 70.0 Call / CUSIP: / Symbol: COP 08/20/21 C 70.000 | | | | | | | |
| 08/20/21 | 1.000 | 0.00 | 07/06/21 | 75.56 | ... | -75.56 | Option expiration |
| COUPANG INC CPNG Nov 19 2021 35.0 Call / CUSIP: / Symbol: CPNG 11/19/21 C 35.000 | | | | | | | |
| 11/10/21 | 1.000 | 47.44 | 09/07/21 | 160.56 | ... | -113.12 | Option sale ²⁶ |
| 11/19/21 | 1.000 | 0.00 | 09/16/21 | 80.56 | ... | -80.56 | Option expiration |
| | Security total: | 47.44 | | 241.12 | ... | -193.68 | |
| SALESFORCE.COM INC CRM May 14 2021 220.0 Put / CUSIP: / Symbol: CRM 05/14/21 P 220.000 | | | | | | | |
| 05/03/21 | 1.000 | 147.34 | 05/03/21 | 135.66 | ... | 11.68 | Option sale ²⁶ |
| 05/04/21 | 1.000 | 298.34 | 05/03/21 | 120.66 | ... | 177.68 | Option sale ²⁶ |
| | Security total: | 445.68 | | 256.32 | ... | 189.36 | |
| CRONOS GROUP INC CRON Jun 18 2021 8.5 Call / CUSIP: / Symbol: CRON 06/18/21 C 8.500 | | | | | | | |
| 06/02/21 | 1.000 | 64.34 | 06/02/21 | 54.67 | ... | 9.67 | Option sale ²⁶ |
| 06/03/21 | 1.000 | 97.34 | 06/02/21 | 54.66 | ... | 42.68 | Option sale ²⁶ |
| | Security total: | 161.68 | | 109.33 | ... | 52.35 | |
| CHEVRON CORPORATION CVX Apr 30 2021 106.0 Call / CUSIP: / Symbol: CVX 04/30/21 C 106.000 | | | | | | | |
| 04/12/21 | 1.000 | 95.34 | 04/09/21 | 130.67 | ... | -35.33 | Option sale ²⁶ |
| 04/16/21 | 1.000 | 95.34 | 04/09/21 | 130.66 | ... | -35.32 | Option sale ²⁶ |
| | Security total: | 190.68 | | 261.33 | ... | -70.65 | |
| CHEVRON CORPORATION CVX Jul 16 2021 104.0 Put / CUSIP: / Symbol: CVX 07/16/21 P 104.000 | | | | | | | |
| 07/07/21 | 1.000 | 247.44 | 07/06/21 | 187.56 | ... | 59.88 | Option sale ²⁶ |
| 3 D SYSTEMS INC DDD Oct 15 2021 32.0 Call / CUSIP: / Symbol: DDD 10/15/21 C 32.000 | | | | | | | |
| 09/20/21 | 1.000 | 74.44 | 09/16/21 | 170.56 | ... | -96.12 | Option sale ²⁶ |
| DATADOG INC DDOG Jul 16 2021 110.0 Call / CUSIP: / Symbol: DDOG 07/16/21 C 110.000 | | | | | | | |
| 07/09/21 | 1.000 | 38.88 | 07/08/21 | 0.00 | ... | 38.88 | Short sale closed- option ²⁶ |
| DATADOG INC DDOG Jul 16 2021 115.0 Call / CUSIP: / Symbol: DDOG 07/16/21 C 115.000 | | | | | | | |
| 07/08/21 | 1.000 | 32.44 | 07/02/21 | 59.56 | ... | -27.12 | Option sale ²⁶ |

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(continued)

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|---|----------|---|---------------------------------------|-------------------------|--|---|---------------------------|
| WALT DISNEY COMPANY (THE) DIS Jun 18 2021 | | 200.0 | Call / CUSIP: / Symbol: DIS 06/18/21 | C 200.000 | | | |
| 04/15/21 | 1.000 | 379.34 | 04/13/21 | 380.66 | ... | -1.32 | Option sale ²⁶ |
| WALT DISNEY COMPANY (THE) DIS Aug 13 2021 | | 182.5 | Call / CUSIP: / Symbol: DIS 08/13/21 | C 182.500 | | | |
| 08/09/21 | 1.000 | 95.44 | 08/06/21 | 155.56 | ... | -60.12 | Option sale ²⁶ |
| DRAFTKINGS INC DKNG Feb 12 2021 | | 70.0 | Call / CUSIP: / Symbol: DKNG 02/12/21 | C 70.000 | | | |
| 02/12/21 | 1.000 | 0.00 | 02/04/21 | 70.66 | ... | -70.66 | Option expiration |
| DRAFTKINGS INC DKNG Jun 25 2021 | | 49.0 | Call / CUSIP: / Symbol: DKNG 06/25/21 | C 49.000 | | | |
| 05/25/21 | 1.000 | 266.34 | 05/24/21 | 281.66 | ... | -15.32 | Option sale ²⁶ |
| DRAFTKINGS INC DKNG Aug 20 2021 | | 60.0 | Call / CUSIP: / Symbol: DKNG 08/20/21 | C 60.000 | | | |
| 07/01/21 | 1.000 | 144.44 | 07/01/21 | 197.56 | 53.12 W | 0.00 | Option sale ²⁶ |
| 07/07/21 | 1.000 | 94.44 | 07/06/21 | 184.68 | 90.24 W | 0.00 | Option sale ²⁶ |
| 07/09/21 | 1.000 | 98.44 | 07/08/21 | 147.80 | ... | -49.36 | Option sale ²⁶ |
| Security total: | | 337.32 | | 530.04 | 143.36 W | -49.36 | |
| DESKTOP METAL INC DM Jan 20 2023 | | 15.0 | Call / CUSIP: / Symbol: DM 01/20/23 | C 15.000 | | | |
| 11/01/21 | 1.000 | 114.44 | 09/20/21 | 110.56 | ... | 3.88 | Option sale ²⁶ |
| DAQO NEW ENERGY CO DQ Jun 18 2021 | | 80.0 | Call / CUSIP: / Symbol: DQ 06/18/21 | C 80.000 | | | |
| 06/03/21 | 2.000 | 1,068.68 | Various | 881.32 | ... | 187.36 | Total of 2 transactions |
| EBIX INC EBIX Apr 16 2021 | | 35.0 | Call / CUSIP: / Symbol: EBIX 04/16/21 | C 35.000 | | | |
| 04/08/21 | 1.000 | 34.34 | 04/08/21 | 25.67 | ... | 8.67 | Option sale ²⁶ |
| 04/13/21 | 1.000 | 14.34 | 04/08/21 | 25.66 | ... | -11.32 | Option sale ²⁶ |
| 04/16/21 | 2.000 | 0.00 | 04/08/21 | 51.33 | ... | -51.33 | Option expiration |
| Security total: | | 48.68 | | 102.66 | ... | -53.98 | |
| EMERGENT BIOSOLUTIONS INC EBS Jun 18 2021 | | 75.0 | Call / CUSIP: / Symbol: EBS 06/18/21 | C 75.000 | | | |
| 05/04/21 | 1.000 | 29.34 | 04/30/21 | 195.66 | 166.32 W | 0.00 | Option sale ²⁶ |
| 06/04/21 | 1.000 | 24.34 | 04/30/21 | 356.98 | ... | -332.64 | Option sale ²⁶ |
| Security total: | | 53.68 | | 552.64 | 166.32 W | -332.64 | |
| ELASTIC N V ESTC Aug 20 2021 | | 155.0 | Call / CUSIP: / Symbol: ESTC 08/20/21 | C 155.000 | | | |
| 08/05/21 | 1.000 | 699.44 | 08/05/21 | 510.56 | ... | 188.88 | Option sale ²⁶ |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| ETSY INC ETSY Sep 17 2021 230.0 Call / CUSIP: / Symbol: ETSY 09/17/21 C 230.000 | | | | | | | |
| 06/22/21 | 1.000 | 347.44 | 06/15/21 | 251.66 | ... | 95.78 | Option sale ²⁶ |
| FORD MOTOR CO F Dec 17 2021 16.0 Call / CUSIP: / Symbol: F 12/17/21 C 16.000 | | | | | | | |
| 09/28/21 | 1.000 | 51.44 | 06/18/21 | 131.56 | ... | -80.12 | Option sale ²⁶ |
| 10/08/21 | 1.000 | 59.44 | 08/06/21 | 58.56 | ... | 0.88 | Option sale ²⁶ |
| | Security total: | 110.88 | | 190.12 | ... | -79.24 | |
| FACEBOOK INC FB Jun 25 2021 340.0 Call / CUSIP: / Symbol: FB 06/25/21 C 340.000 | | | | | | | |
| 06/22/21 | 1.000 | 242.44 | 06/22/21 | 220.56 | ... | 21.88 | Option sale ²⁶ |
| FACEBOOK INC FB Aug 06 2021 350.0 Put / CUSIP: / Symbol: FB 08/06/21 P 350.000 | | | | | | | |
| 08/03/21 | 1.000 | 298.44 | 08/03/21 | 264.56 | ... | 33.88 | Option sale ²⁶ |
| FACEBOOK INC FB Aug 20 2021 360.0 Put / CUSIP: / Symbol: FB 08/20/21 P 360.000 | | | | | | | |
| 08/06/21 | 1.000 | 479.44 | 08/06/21 | 525.56 | ... | -46.12 | Option sale ²⁶ |
| FEDEX CORP FDX Jul 16 2021 275.0 Put / CUSIP: / Symbol: FDX 07/16/21 P 275.000 | | | | | | | |
| 06/28/21 | 1.000 | 89.44 | 06/25/21 | 282.56 | 193.12 W | 0.00 | Option sale ²⁶ |
| 07/08/21 | 1.000 | 93.44 | 06/25/21 | 403.68 | ... | -310.24 | Option sale ²⁶ |
| | Security total: | 182.88 | | 686.24 | 193.12 W | -310.24 | |
| FEDEX CORP FDX Jan 20 2023 400.0 Call / CUSIP: / Symbol: FDX 01/20/23 C 400.000 | | | | | | | |
| 10/26/21 | 1.000 | 189.44 | 09/22/21 | 180.56 | ... | 8.88 | Option sale ²⁶ |
| FIBROGEN INC FGEN May 21 2021 20.0 Call / CUSIP: / Symbol: FGEN 05/21/21 C 20.000 | | | | | | | |
| 04/13/21 | 1.000 | 147.34 | 04/08/21 | 210.66 | 63.32 W | 0.00 | Option sale ²⁶ |
| 04/14/21 | 1.000 | 144.34 | 04/08/21 | 263.99 | ... | -119.65 | Option sale ²⁶ |
| 04/19/21 | 1.000 | 104.34 | 04/08/21 | 200.66 | ... | -96.32 | Option sale ²⁶ |
| | Security total: | 396.02 | | 675.31 | 63.32 W | -215.97 | |
| FASTLY INC FSLY Aug 20 2021 70.0 Call / CUSIP: / Symbol: FSLY 08/20/21 C 70.000 | | | | | | | |
| 08/20/21 | 3.000 | 0.00 | Various | 626.68 | ... | -626.68 | Option expiration |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| FINTECH ACQUISITION CORP V FTCV Aug 20 2021 12.5 Call / CUSIP: / Symbol: FTCV 08/20/21 C 12.500 | | | | | | | |
| 06/29/21 | 1.000 | 98.44 | 06/25/21 | 85.56 | ... | 12.88 | Option sale ²⁶ |
| 07/02/21 | 1.000 | 99.44 | 06/25/21 | 85.56 | ... | 13.88 | Option sale ²⁶ |
| | Security total: | 197.88 | | 171.12 | ... | 26.76 | |
| FUBOTV INC FUBO Jan 21 2022 50.0 Call / CUSIP: / Symbol: FUBO 01/21/22 C 50.000 | | | | | | | |
| 10/19/21 | 1.000 | 73.44 | 09/13/21 | 100.56 | 27.12 W | 0.00 | Option sale ²⁶ |
| 10/21/21 | 1.000 | 68.44 | 09/28/21 | 68.68 | ... | -0.24 | Option sale ²⁶ |
| | Security total: | 141.88 | | 169.24 | 27.12 W | -0.24 | |
| FUTU HOLDINGS LIMITED FUTU Jan 20 2023 150.0 Call / CUSIP: / Symbol: FUTU 01/20/23 C 150.000 | | | | | | | |
| 10/18/21 | 1.000 | 699.44 | 10/15/21 | 650.56 | ... | 48.88 | Option sale ²⁶ |
| ASCENDANT DIGITAL ACQUISITION 1:1 EXC 7/22/21 57064P107 / CUSIP: G05155109 / Symbol: | | | | | | | |
| 03/09/21 | 5.000 | 50.00 | 03/02/21 | 49.65 | ... | 0.35 | Sale ²⁶ |
| BIT DIGITAL INC COM / CUSIP: G1144A105 / Symbol: BTBT | | | | | | | |
| 01/29/21 | 5.000 | 96.00 | 01/21/21 | 80.00 | ... | 16.00 | Sale ²⁶ |
| BON NATURAL LIFE LIMITED COM / CUSIP: G14492105 / Symbol: BON | | | | | | | |
| 10/20/21 | 10.000 | 84.30 | 10/20/21 | 94.40 | ... | -10.10 | Sale ²⁶ |
| EBANG INTERNATIONAL HLDGS INC COM / CUSIP: G3R33A106 / Symbol: EBON | | | | | | | |
| 02/08/21 | 5.000 | 33.50 | 02/04/21 | 29.77 | ... | 3.73 | Sale ²⁶ |
| 02/17/21 | 20.000 | 222.32 | Various | 148.80 | ... | 73.52 | Total of 2 transactions |
| 03/01/21 | 10.000 | 78.20 | Various | 70.00 | ... | 8.20 | Total of 2 transactions |
| 03/09/21 | 10.000 | 72.50 | 03/02/21 | 73.00 | 0.50 W | 0.00 | Sale ²⁶ |
| 03/11/21 | 30.000 | 244.40 | Various | 210.90 | ... | 33.50 | Total of 3 transactions |
| 03/12/21 | 20.000 | 175.00 | Various | 125.90 | ... | 49.10 | Total of 2 transactions |
| 03/15/21 | 10.000 | 120.00 | 01/29/21 | 55.20 | ... | 64.80 | Sale ²⁶ |
| 03/17/21 | 5.000 | 55.50 | 02/11/21 | 27.50 | ... | 28.00 | Sale ²⁶ |
| 03/18/21 | 5.000 | 62.50 | 02/11/21 | 27.50 | ... | 35.00 | Sale ²⁶ |
| | Security total: | 1,063.92 | | 768.57 | 0.50 W | 295.85 | |
| JOBY AVIATION INC COM / CUSIP: G65163100 / Symbol: JOBY | | | | | | | |
| 08/20/21 | 13.000 | 134.10 | Various | 181.40 | ... | -47.30 | Total of 4 transactions |

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Account 455458346

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2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| NEXTGEN ACQUISITION CORP 1:1 EXC 8/20/21 98423B108 / CUSIP: G65305107 / Symbol: NGAC | | | | | | | |
| 03/17/21 | 5.000 | 51.75 | 02/25/21 | 55.30 | 3.55 W | 0.00 | Sale ²⁶ |
| 06/09/21 | 5.000 | 50.00 | 02/25/21 | 58.80 | ... | -8.80 | Sale ²⁶ |
| | Security total: | 101.75 | | 114.10 | 3.55 W | -8.80 | |
| POP CULTURE GROUP CO COM CL A / CUSIP: G71700101 / Symbol: CPOP | | | | | | | |
| 06/30/21 | 1.000 | 24.85 | 06/30/21 | 31.70 | ... | -6.85 | Sale ²⁶ |
| SOCIAL CAP HEDOSOPHIA HLDGS CO COM CL A / CUSIP: G8251L105 / Symbol: IPOF | | | | | | | |
| 01/21/21 | 1.000 | 16.00 | 01/11/21 | 14.16 | ... | 1.84 | Sale ²⁶ |
| 02/01/21 | 10.000 | 150.00 | Various | 139.49 | ... | 10.51 | Sale ²⁶ |
| | Security total: | 166.00 | | 153.65 | ... | 12.35 | |
| SOCIAL CAP HEDOSOPHIA HLDGS CO 1:1 EXC 6/1/21 83406F102 / CUSIP: G8252P105 / Symbol: IPOE | | | | | | | |
| 01/26/21 | 8.000 | 159.36 | 01/11/21 | 150.67 | ... | 8.69 | Sale ²⁶ |
| 02/01/21 | 3.000 | 81.00 | 01/11/21 | 56.41 | ... | 24.59 | Sale ²⁶ |
| 05/24/21 | 5.000 | 100.00 | Various | 119.29 | ... | -19.29 | Sale ²⁶ |
| | Security total: | 340.36 | | 326.37 | ... | 13.99 | |
| SPI ENERGY CO LTD COM / CUSIP: G8651P110 / Symbol: SPI | | | | | | | |
| 07/02/21 | 20.000 | 129.50 | 06/09/21 | 158.09 | 28.59 W | 0.00 | Sale ²⁶ |
| 11/16/21 | 10.000 | 79.80 | 06/09/21 | 91.29 | ... | -11.49 | Sale ²⁶ |
| | Security total: | 209.30 | | 249.38 | 28.59 W | -11.49 | |
| ZK INTERNATIONAL GROUP CO LTD COM / CUSIP: G9892K100 / Symbol: ZKIN | | | | | | | |
| 04/05/21 | 5.000 | 47.25 | 04/05/21 | 51.50 | 4.25 W | 0.00 | Sale ²⁶ |
| 04/06/21 | 5.000 | 44.70 | 04/05/21 | 55.60 | 10.90 W | 0.00 | Sale ²⁶ |
| | Security total: | 91.95 | | 107.10 | 15.15 W | 0.00 | |
| GLOBAL BLOOD THERAPEUTICS INC GBT Dec 17 2021 45.0 Call / CUSIP: / Symbol: GBT 12/17/21 C 45.000 | | | | | | | |
| 10/27/21 | 1.000 | 88.44 | 07/07/21 | 205.56 | ... | -117.12 | Option sale ²⁶ |
| 10/28/21 | 1.000 | 188.44 | 07/15/21 | 170.56 | ... | 17.88 | Option sale ²⁶ |
| | Security total: | 276.88 | | 376.12 | ... | -99.24 | |
| GENERAL ELECTRIC CO GE Jun 18 2021 15.0 Call / CUSIP: / Symbol: GE 06/18/21 C 15.000 | | | | | | | |
| 04/16/21 | 1.000 | 29.34 | 02/22/21 | 49.66 | ... | -20.32 | Option sale ²⁶ |

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(continued)

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|--|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| GENERAL ELECTRIC CO GE Jun 18 2021 20.0 Call / CUSIP: / Symbol: GE 06/18/21 C 20.000 | | | | | | | |
| 06/18/21 | 1.000 | 0.00 | 02/22/21 | 12.66 | ... | -12.66 | Option expiration |
| GENERAL ELECTRIC CO GE Jul 16 2021 13.0 Call / CUSIP: / Symbol: GE 07/16/21 C 13.000 | | | | | | | |
| 06/30/21 | 1.000 | 57.44 | 06/30/21 | 48.56 | ... | 8.88 | Option sale ²⁶ |
| GEVO INC GEVO Oct 15 2021 7.5 Call / CUSIP: / Symbol: GEVO 10/15/21 C 7.500 | | | | | | | |
| 09/17/21 | 1.000 | 49.44 | 09/13/21 | 70.56 | ... | -21.12 | Option sale ²⁶ |
| ESPORTS ENTERTAINMENT GRP INC GMBL Aug 20 2021 12.5 Call / CUSIP: / Symbol: GMBL 08/20/21 C 12.500 | | | | | | | |
| 07/14/21 | 1.000 | 29.44 | 07/13/21 | 55.56 | ... | -26.12 | Option sale ²⁶ |
| ESPORTS ENTERTAINMENT GRP INC GMBL Feb 18 2022 15.0 Call / CUSIP: / Symbol: GMBL 02/18/22 C 15.000 | | | | | | | |
| 11/02/21 | 1.000 | 64.44 | 09/08/21 | 65.56 | ... | -1.12 | Option sale ²⁶ |
| CANOO INC GOEV Jan 20 2023 15.0 Call / CUSIP: / Symbol: GOEV 01/20/23 C 15.000 | | | | | | | |
| 11/08/21 | 1.000 | 149.44 | 09/27/21 | 140.56 | ... | 8.88 | Option sale ²⁶ |
| GOLDMAN SACHS GROUP INC GS Apr 30 2021 345.0 Call / CUSIP: / Symbol: GS 04/30/21 C 345.000 | | | | | | | |
| 04/22/21 | 1.000 | 130.34 | 04/15/21 | 445.66 | ... | -315.32 | Option sale ²⁶ |
| GLOBALSTAR INC GSAT Dec 17 2021 2.5 Call / CUSIP: / Symbol: GSAT 12/17/21 C 2.500 | | | | | | | |
| 09/13/21 | 1.000 | 39.44 | 09/08/21 | 75.56 | ... | -36.12 | Option sale ²⁶ |
| GLAXOSMITHKLINE GSK Apr 23 2021 38.0 Call / CUSIP: / Symbol: GSK 04/23/21 C 38.000 | | | | | | | |
| 04/15/21 | 1.000 | 39.34 | 04/15/21 | 60.66 | ... | -21.32 | Option sale ²⁶ |
| LOGITECH INTERNATIONAL SA COM / CUSIP: H50430232 / Symbol: LOGI | | | | | | | |
| 01/21/21 | 1.000 | 104.00 | 12/01/20 | 87.70 | ... | 16.30 | Sale ²⁶ |
| 01/28/21 | 1.000 | 109.00 | 12/02/20 | 87.00 | ... | 22.00 | Sale ²⁶ |
| 02/08/21 | 1.000 | 114.00 | 11/09/20 | 77.00 | ... | 37.00 | Sale ²⁶ |
| 04/15/21 | 2.000 | 223.18 | Various | 223.19 | ... | -0.01 | Sale ²⁶ |
| | Security total: | 550.18 | | 474.89 | ... | 75.29 | |
| OBSEVA SA COM / CUSIP: H5861P103 / Symbol: OBSV | | | | | | | |
| 03/11/21 | 10.000 | 37.00 | 02/22/21 | 48.75 | 11.75 W | 0.00 | Sale ²⁶ |

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(continued)

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|--|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| HOME DEPOT INC HD Aug 20 2021 345.0 Call / CUSIP: / Symbol: HD 08/20/21 C 345.000 | | | | | | | |
| 07/13/21 | 1.000 | 142.44 | 07/09/21 | 201.56 | 59.12 W | 0.00 | Option sale ²⁶ |
| 07/20/21 | 1.000 | 148.44 | 07/19/21 | 170.68 | ... | -22.24 | Option sale ²⁶ |
| | Security total: | 290.88 | | 372.24 | 59.12 W | -22.24 | |
| HEWLETT PACKARD ENTERPRISE CO HPE May 21 2021 16.0 Call / CUSIP: / Symbol: HPE 05/21/21 C 16.000 | | | | | | | |
| 04/30/21 | 1.000 | 39.34 | 04/15/21 | 61.66 | 22.32 W | 0.00 | Option sale ²⁶ |
| 05/05/21 | 1.000 | 34.34 | 04/15/21 | 82.98 | ... | -48.64 | Option sale ²⁶ |
| | Security total: | 73.68 | | 144.64 | 22.32 W | -48.64 | |
| HP INCORPORATION HPQ Sep 17 2021 31.0 Call / CUSIP: / Symbol: HPQ 09/17/21 C 31.000 | | | | | | | |
| 09/17/21 | 1.000 | 0.00 | 07/02/21 | 140.56 | ... | -140.56 | Option expiration |
| INTEL CORP INTC Jul 02 2021 59.0 Call / CUSIP: / Symbol: INTC 07/02/21 C 59.000 | | | | | | | |
| 06/07/21 | 1.000 | 83.34 | 06/04/21 | 95.66 | ... | -12.32 | Option sale ²⁶ |
| IROBOT CORP IRBT Jul 16 2021 105.0 Call / CUSIP: / Symbol: IRBT 07/16/21 C 105.000 | | | | | | | |
| 05/28/21 | 1.000 | 399.34 | 05/28/21 | 400.66 | ... | -1.32 | Option sale ²⁶ |
| IRON MOUNTAIN INC IRM May 21 2021 37.5 Call / CUSIP: / Symbol: IRM 05/21/21 C 37.500 | | | | | | | |
| 04/12/21 | 1.000 | 124.34 | 04/09/21 | 127.66 | ... | -3.32 | Option sale ²⁶ |
| JINKOSOLAR HOLDING CO LTD JKS Apr 16 2021 34.0 Put / CUSIP: / Symbol: JKS 04/16/21 P 34.000 | | | | | | | |
| 04/12/21 | 1.000 | 58.34 | 04/09/21 | 120.66 | 62.32 W | 0.00 | Option sale ²⁶ |
| 04/13/21 | 1.000 | 59.34 | 04/09/21 | 177.98 | ... | -118.64 | Option sale ²⁶ |
| | Security total: | 117.68 | | 298.64 | 62.32 W | -118.64 | |
| JUMIA TECHNOLOGIES AG JMIA Oct 15 2021 23.0 Call / CUSIP: / Symbol: JMIA 10/15/21 C 23.000 | | | | | | | |
| 09/08/21 | 1.000 | 87.44 | 09/01/21 | 190.56 | ... | -103.12 | Option sale ²⁶ |
| JOHNSON & JOHNSON JNJ Jun 18 2021 165.0 Put / CUSIP: / Symbol: JNJ 06/18/21 P 165.000 | | | | | | | |
| 06/02/21 | 1.000 | 150.34 | 06/01/21 | 150.66 | ... | -0.32 | Option sale ²⁶ |
| JP MORGAN CHASE & CO JPM Aug 20 2021 155.0 Call / CUSIP: / Symbol: JPM 08/20/21 C 155.000 | | | | | | | |
| 08/06/21 | 1.000 | 339.44 | 08/06/21 | 335.56 | ... | 3.88 | Option sale ²⁶ |
| LENNAR CORP LEN Sep 17 2021 110.0 Call / CUSIP: / Symbol: LEN 09/17/21 C 110.000 | | | | | | | |
| 08/13/21 | 1.000 | 289.44 | 08/12/21 | 320.56 | ... | -31.12 | Option sale ²⁶ |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|---------------------------|
| LKQ CORPORATION LKQ Apr 16 2021 42.5 Call / CUSIP: / Symbol: LKQ 04/16/21 C 42.500 | | | | | | | |
| 03/08/21 | 1.000 | 198.34 | 02/19/21 | 85.66 | ... | 112.68 | Option sale ²⁶ |
| ELI LILLY AND COMPANY LLY May 07 2021 187.5 Call / CUSIP: / Symbol: LLY 05/07/21 C 187.500 | | | | | | | |
| 05/04/21 | 1.000 | 133.34 | 05/03/21 | 124.66 | ... | 8.68 | Option sale ²⁶ |
| LOGITECH INTERNATIONAL SA LOGI Sep 17 2021 135.0 Call / CUSIP: / Symbol: LOGI 09/17/21 C 135.000 | | | | | | | |
| 07/07/21 | 1.000 | 489.44 | 07/06/21 | 370.56 | ... | 118.88 | Option sale ²⁶ |
| 07/13/21 | 1.000 | 264.44 | 07/13/21 | 240.56 | ... | 23.88 | Option sale ²⁶ |
| 07/14/21 | 1.000 | 259.44 | 07/14/21 | 240.56 | ... | 18.88 | Option sale ²⁶ |
| 09/17/21 | 1.000 | 0.00 | 07/14/21 | 240.56 | ... | -240.56 | Option expiration |
| Security total: | | 1,013.32 | | 1,092.24 | ... | -78.92 | |
| LOUISIANA-PACIFIC CORP LPX Aug 20 2021 65.0 Call / CUSIP: / Symbol: LPX 08/20/21 C 65.000 | | | | | | | |
| 07/06/21 | 1.000 | 94.44 | 07/02/21 | 200.56 | ... | -106.12 | Option sale ²⁶ |
| SOUTHWEST AIRLINES CO LUV Jan 21 2022 60.0 Call / CUSIP: / Symbol: LUV 01/21/22 C 60.000 | | | | | | | |
| 09/22/21 | 1.000 | 98.44 | 09/17/21 | 76.56 | ... | 21.88 | Option sale ²⁶ |
| LYFT INC LYFT Jun 11 2021 56.0 Call / CUSIP: / Symbol: LYFT 06/11/21 C 56.000 | | | | | | | |
| 05/25/21 | 1.000 | 133.34 | 05/25/21 | 181.66 | ... | -48.32 | Option sale ²⁶ |
| MACY'S INC M Jul 16 2021 22.0 Call / CUSIP: / Symbol: M 07/16/21 C 22.000 | | | | | | | |
| 06/09/21 | 1.000 | 54.34 | 06/03/21 | 48.98 | ... | 5.36 | Option sale ²⁶ |
| 06/09/21 | 1.000 | 48.34 | 06/03/21 | 50.66 | 2.32 W | 0.00 | Option sale ²⁶ |
| Security total: | | 102.68 | | 99.64 | 2.32 W | 5.36 | |
| MACY'S INC M Aug 13 2021 16.0 Put / CUSIP: / Symbol: M 08/13/21 P 16.000 | | | | | | | |
| 08/04/21 | 1.000 | 25.44 | 08/03/21 | 25.56 | ... | -0.12 | Option sale ²⁶ |
| FIVERR INTERNATIONAL LTD COM / CUSIP: M4R82T106 / Symbol: FVRR | | | | | | | |
| 02/03/21 | 1.000 | 238.99 | 01/19/21 | 243.91 | 4.92 W | 0.00 | Sale ²⁶ |
| 02/04/21 | 1.000 | 248.99 | 01/25/21 | 234.92 | ... | 14.07 | Sale ²⁶ |
| 06/29/21 | 1.000 | 255.00 | 03/03/21 | 255.00 | ... | 0.00 | Sale ²⁶ |
| 08/09/21 | 1.000 | 176.24 | 08/06/21 | 168.76 | ... | 7.48 | Sale ²⁶ |
| 08/12/21 | 1.000 | 173.00 | 08/11/21 | 170.00 | ... | 3.00 | Sale ²⁶ |
| Security total: | | 1,092.22 | | 1,072.59 | 4.92 W | 24.55 | |

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Account 455458346

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2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| JFROG LTD COM / CUSIP: M6191J100 / Symbol: FROG | | | | | | | |
| 01/13/21 | 2.000 | 128.00 | 12/23/20 | 134.00 | 6.00 W | 0.00 | Sale ²⁶ |
| 01/25/21 | 1.000 | 68.00 | 12/16/20 | 67.50 | ... | 0.50 | Sale ²⁶ |
| 01/25/21 | 1.000 | 66.00 | 12/16/20 | 68.00 | 2.00 W | 0.00 | Sale ²⁶ |
| 01/26/21 | 7.000 | 489.99 | Various | 445.20 | ... | 44.79 | Total of 2 transactions |
| 02/02/21 | 1.000 | 65.00 | 12/31/20 | 62.00 | ... | 3.00 | Sale ²⁶ |
| 02/08/21 | 2.000 | 136.00 | Various | 123.00 | ... | 13.00 | Sale ²⁶ |
| 02/11/21 | 3.000 | 210.12 | Various | 183.00 | ... | 27.12 | Total of 2 transactions |
| | Security total: | 1,163.11 | | 1,082.70 | 8.00 W | 88.41 | |
| MEDIWOUND LTD COM / CUSIP: M68830104 / Symbol: MDWD | | | | | | | |
| 06/16/21 | 10.000 | 45.90 | 06/04/21 | 34.10 | ... | 11.80 | Sale ²⁶ |
| NANO X IMAGING LTD COM / CUSIP: M70700105 / Symbol: NNOX | | | | | | | |
| 01/08/21 | 3.000 | 149.97 | 12/28/20 | 150.00 | 0.03 W | 0.00 | Sale ²⁶ |
| 01/13/21 | 5.000 | 274.99 | Various | 181.75 | ... | 93.24 | Sale ²⁶ |
| 01/21/21 | 9.000 | 567.98 | Various | 243.50 | ... | 324.48 | Total of 2 transactions |
| 01/25/21 | 4.000 | 279.99 | 01/25/21 | 258.01 | ... | 21.98 | Sale ²⁶ |
| 01/26/21 | 4.000 | 299.99 | Various | 182.40 | ... | 117.59 | Sale ²⁶ |
| 02/02/21 | 5.000 | 377.49 | 01/28/21 | 353.20 | ... | 24.29 | Sale ²⁶ |
| 02/09/21 | 10.000 | 813.53 | Various | 686.03 | ... | 127.50 | Total of 2 transactions |
| 02/10/21 | 5.000 | 429.99 | 02/10/21 | 401.00 | ... | 28.99 | Sale ²⁶ |
| 03/15/21 | 5.000 | 250.00 | 02/10/21 | 395.00 | 145.00 W | 0.00 | Sale ²⁶ |
| | Security total: | 3,443.93 | | 2,850.89 | 145.03 W | 738.07 | |
| WIX.COM LTD COM / CUSIP: M98068105 / Symbol: WIX | | | | | | | |
| 01/08/21 | 2.000 | 524.98 | Various | 514.50 | ... | 10.48 | Total of 2 transactions |
| 01/11/21 | 1.000 | 269.99 | 12/28/20 | 255.10 | ... | 14.89 | Sale ²⁶ |
| 01/25/21 | 1.000 | 254.99 | 11/24/20 | 246.00 | ... | 8.99 | Sale ²⁶ |
| 01/27/21 | 1.000 | 239.21 | 11/18/20 | 245.00 | ... | -5.79 | Sale ²⁶ |
| 02/01/21 | 1.000 | 259.99 | 11/17/20 | 244.00 | ... | 15.99 | Sale ²⁶ |
| 02/02/21 | 2.000 | 539.98 | Various | 488.00 | ... | 51.98 | Total of 2 transactions |
| | Security total: | 2,089.14 | | 1,992.60 | ... | 96.54 | |
| MARATHON DIGITAL HOLDINGS INC MARA Apr 09 2021 50.5 Call / CUSIP: / Symbol: MARA 04/09/21 C 50.500 | | | | | | | |
| 04/08/21 | 1.000 | 189.34 | 04/08/21 | 140.66 | ... | 48.68 | Option sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| MARATHON DIGITAL HOLDINGS INC MARA May 07 2021 38.5 Call / CUSIP: / Symbol: MARA 05/07/21 C 38.500 | | | | | | | |
| 05/04/21 | 1.000 | 38.34 | 05/03/21 | 195.66 | ... | -157.32 | Option sale ²⁶ |
| MARATHON DIGITAL HOLDINGS INC MARA Apr 16 2021 48.5 Put / CUSIP: / Symbol: MARA 04/16/21 P 48.500 | | | | | | | |
| 04/12/21 | 1.000 | 141.34 | 04/09/21 | 325.66 | ... | -184.32 | Option sale ²⁶ |
| MATTEL INC MAT May 21 2021 21.0 Call / CUSIP: / Symbol: MAT 05/21/21 C 21.000 | | | | | | | |
| 04/12/21 | 1.000 | 79.34 | 04/06/21 | 110.66 | ... | -31.32 | Option sale ²⁶ |
| MCDONALD'S CORPORATION MCD Jul 16 2021 240.0 Call / CUSIP: / Symbol: MCD 07/16/21 C 240.000 | | | | | | | |
| 06/02/21 | 1.000 | 229.34 | 05/28/21 | 265.66 | ... | -36.32 | Option sale ²⁶ |
| MCDONALD'S CORPORATION MCD May 07 2021 230.0 Put / CUSIP: / Symbol: MCD 05/07/21 P 230.000 | | | | | | | |
| 05/04/21 | 1.000 | 48.34 | 04/29/21 | 50.66 | ... | -2.32 | Option sale ²⁶ |
| MAGNOLIA OIL & GAS CORPORATION MGY May 21 2021 12.5 Call / CUSIP: / Symbol: MGY 05/21/21 C 12.500 | | | | | | | |
| 04/12/21 | 1.000 | 67.34 | 04/07/21 | 89.66 | ... | -22.32 | Option sale ²⁶ |
| MANDIANT INC MNDT Dec 17 2021 21.0 Call / CUSIP: / Symbol: MNDT 12/17/21 C 21.000 | | | | | | | |
| 12/17/21 | 2.000 | 0.00 | Various | 228.12 | ... | -228.12 | Option expiration |
| MOSAIC CO MOS Sep 17 2021 40.0 Call / CUSIP: / Symbol: MOS 09/17/21 C 40.000 | | | | | | | |
| 05/07/21 | 1.000 | 274.34 | 05/04/21 | 204.66 | ... | 69.68 | Option sale ²⁶ |
| MP MATERIALS CORP MP Jul 16 2021 40.0 Call / CUSIP: / Symbol: MP 07/16/21 C 40.000 | | | | | | | |
| 07/02/21 | 1.000 | 129.44 | 07/01/21 | 100.56 | ... | 28.88 | Option sale ²⁶ |
| MARVELL TECHNOLOGY INC MRVL Jul 02 2021 52.0 Call / CUSIP: / Symbol: MRVL 07/02/21 C 52.000 | | | | | | | |
| 06/08/21 | 1.000 | 139.34 | 06/08/21 | 194.66 | 55.32 W | 0.00 | Option sale ²⁶ |
| 06/14/21 | 1.000 | 197.34 | 06/08/21 | 170.98 | ... | 26.36 | Option sale ²⁶ |
| | Security total: | 336.68 | | 365.64 | 55.32 W | 26.36 | |
| MICROSOFT CORP MSFT Oct 15 2021 315.0 Call / CUSIP: / Symbol: MSFT 10/15/21 C 315.000 | | | | | | | |
| 09/17/21 | 1.000 | 176.44 | 09/16/21 | 176.56 | 0.12 W | 0.00 | Option sale ²⁶ |
| 09/21/21 | 1.000 | 104.44 | 09/20/21 | 101.68 | ... | 2.76 | Option sale ²⁶ |
| | Security total: | 280.88 | | 278.24 | 0.12 W | 2.76 | |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| MICROSOFT CORP MSFT May 07 2021 245.0 Put / CUSIP: / Symbol: MSFT 05/07/21 P 245.000 | | | | | | | |
| 04/28/21 | 1.000 | 89.34 | 04/27/21 | 72.66 | ... | 16.68 | Option sale ²⁶ |
| MSC INDUSTRIAL DIRECT CO MSM May 21 2021 95.0 Call / CUSIP: / Symbol: MSM 05/21/21 C 95.000 | | | | | | | |
| 04/13/21 | 1.000 | 79.34 | 04/08/21 | 95.66 | ... | -16.32 | Option sale ²⁶ |
| MATTERPORT INC MTTR Nov 19 2021 30.0 Call / CUSIP: / Symbol: MTTR 11/19/21 C 30.000 | | | | | | | |
| 10/14/21 | 1.000 | 4.44 | 10/14/21 | 20.56 | ... | -16.12 | Option sale ²⁶ |
| MICROVISION INC WASHINGTON MVIS Jun 18 2021 16.0 Call / CUSIP: / Symbol: MVIS 06/18/21 C 16.000 | | | | | | | |
| 05/28/21 | 1.000 | 239.34 | 05/26/21 | 135.66 | ... | 103.68 | Option sale ²⁶ |
| ELASTIC N V COM / CUSIP: N14506104 / Symbol: ESTC | | | | | | | |
| 01/27/21 | 4.000 | 596.95 | Various | 575.73 | ... | 21.22 | Sale ²⁶ |
| CUREVAC N V COM / CUSIP: N2451R105 / Symbol: CVAC | | | | | | | |
| 06/18/21 | 15.000 | 966.35 | Various | 763.85 | ... | 202.50 | Total of 3 transactions |
| 06/22/21 | 5.000 | 295.00 | 06/17/21 | 240.00 | ... | 55.00 | Sale ²⁶ |
| 06/24/21 | 5.000 | 297.40 | 06/23/21 | 277.75 | ... | 19.65 | Sale ²⁶ |
| 08/03/21 | 10.000 | 547.50 | Various | 515.05 | ... | 32.45 | Total of 2 transactions |
| | Security total: | 2,106.25 | | 1,796.65 | ... | 309.60 | |
| NETFLIX INC NFLX Jun 11 2021 500.0 Call / CUSIP: / Symbol: NFLX 06/11/21 C 500.000 | | | | | | | |
| 06/10/21 | 1.000 | 44.34 | 06/10/21 | 76.66 | ... | -32.32 | Option sale ²⁶ |
| NIO INC NIO May 14 2021 45.0 Call / CUSIP: / Symbol: NIO 05/14/21 C 45.000 | | | | | | | |
| 04/29/21 | 1.000 | 94.34 | 04/27/21 | 140.66 | 46.32 W | 0.00 | Option sale ²⁶ |
| 05/14/21 | 1.000 | 0.00 | 04/29/21 | 116.98 | ... | -116.98 | Option expiration |
| | Security total: | 94.34 | | 257.64 | 46.32 W | -116.98 | |
| NIO INC NIO Jun 25 2021 50.0 Call / CUSIP: / Symbol: NIO 06/25/21 C 50.000 | | | | | | | |
| 06/14/21 | 1.000 | 123.34 | 06/14/21 | 98.66 | ... | 24.68 | Option sale ²⁶ |
| NIKE INC NKE May 07 2021 132.0 Call / CUSIP: / Symbol: NKE 05/07/21 C 132.000 | | | | | | | |
| 04/28/21 | 1.000 | 166.34 | 04/21/21 | 172.66 | ... | -6.32 | Option sale ²⁶ |
| NIKOLA CORPORATION NKLA Sep 17 2021 10.0 Call / CUSIP: / Symbol: NKLA 09/17/21 C 10.000 | | | | | | | |
| 08/20/21 | 1.000 | 85.44 | 08/12/21 | 115.56 | ... | -30.12 | Option sale ²⁶ |

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(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| NVIDIA CORP NVDA Oct 22 2021 220.0 Call / CUSIP: / Symbol: NVDA 10/22/21 C 220.000 | | | | | | | |
| 10/14/21 | 1.000 | 217.44 | 10/14/21 | 196.56 | ... | 20.88 | Option sale ²⁶ |
| INVITAE CORP NVTA Jan 21 2022 40.0 Call / CUSIP: / Symbol: NVTA 01/21/22 C 40.000 | | | | | | | |
| 08/25/21 | 1.000 | 104.44 | 08/10/21 | 135.56 | 31.12 W | 0.00 | Option sale ²⁶ |
| 08/30/21 | 1.000 | 124.44 | 08/16/21 | 116.68 | ... | 7.76 | Option sale ²⁶ |
| 09/21/21 | 1.000 | 189.44 | 09/15/21 | 100.56 | ... | 88.88 | Option sale ²⁶ |
| | Security total: | 418.32 | | 352.80 | 31.12 W | 96.64 | |
| INVITAE CORP NVTA Jan 20 2023 40.0 Call / CUSIP: / Symbol: NVTA 01/20/23 C 40.000 | | | | | | | |
| 12/17/21 | 1.000 | 184.44 | 11/09/21 | 180.56 | ... | 3.88 | Option sale ²⁶ |
| OKTA INC OKTA Sep 03 2021 260.0 Call / CUSIP: / Symbol: OKTA 09/03/21 C 260.000 | | | | | | | |
| 08/09/21 | 1.000 | 489.44 | 08/09/21 | 420.56 | ... | 68.88 | Option sale ²⁶ |
| 08/24/21 | 1.000 | 349.44 | 08/10/21 | 290.56 | ... | 58.88 | Option sale ²⁶ |
| | Security total: | 838.88 | | 711.12 | ... | 127.76 | |
| OPENDOOR TECHNOLOGIES INC OPEN Jan 20 2023 35.0 Call / CUSIP: / Symbol: OPEN 01/20/23 C 35.000 | | | | | | | |
| 10/28/21 | 1.000 | 324.44 | 09/20/21 | 200.56 | ... | 123.88 | Option sale ²⁶ |
| 11/04/21 | 1.000 | 324.44 | 11/02/21 | 225.56 | ... | 98.88 | Option sale ²⁶ |
| | Security total: | 648.88 | | 426.12 | ... | 222.76 | |
| ORGANOGENESIS HOLDINGS INC ORGO Feb 19 2021 12.5 Call / CUSIP: / Symbol: ORGO 02/19/21 C 12.500 | | | | | | | |
| 02/02/21 | 1.000 | 69.34 | 01/21/21 | 65.66 | ... | 3.68 | Option sale ²⁶ |
| ONTRAK INC OTRK Jan 21 2022 25.0 Call / CUSIP: / Symbol: OTRK 01/21/22 C 25.000 | | | | | | | |
| 11/05/21 | 1.000 | 98.44 | 09/07/21 | 75.56 | ... | 22.88 | Option sale ²⁶ |
| ONTRAK INC OTRK Feb 18 2022 25.0 Call / CUSIP: / Symbol: OTRK 02/18/22 C 25.000 | | | | | | | |
| 11/05/21 | 1.000 | 99.44 | 09/07/21 | 80.56 | ... | 18.88 | Option sale ²⁶ |
| OVINTIV INC OVV May 21 2021 27.0 Call / CUSIP: / Symbol: OVV 05/21/21 C 27.000 | | | | | | | |
| 04/12/21 | 1.000 | 49.34 | 04/06/21 | 125.66 | ... | -76.32 | Option sale ²⁶ |
| UIPATH INC PATH Oct 15 2021 55.0 Call / CUSIP: / Symbol: PATH 10/15/21 C 55.000 | | | | | | | |
| 09/27/21 | 1.000 | 184.44 | 09/23/21 | 180.56 | ... | 3.88 | Option sale ²⁶ |

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|---|----------|---|-------------------|-------------------------|--|---|---------------------------|
| PINDUODUO INC PDD Nov 19 2021 115.0 Call / CUSIP: / Symbol: PDD 11/19/21 C 115.000 | | | | | | | |
| 10/20/21 | 1.000 | 223.44 | 10/19/21 | 160.56 | ... | 62.88 | Option sale ²⁶ |
| 10/22/21 | 1.000 | 247.44 | 10/22/21 | 176.56 | ... | 70.88 | Option sale ²⁶ |
| 11/19/21 | 4.000 | 0.00 | Various | 328.24 | ... | -328.24 | Option expiration |
| Security total: | | 470.88 | | 665.36 | ... | -194.48 | |
| PENN NATIONAL GAMING INC PENN Oct 15 2021 85.0 Call / CUSIP: / Symbol: PENN 10/15/21 C 85.000 | | | | | | | |
| 08/16/21 | 1.000 | 186.44 | 08/13/21 | 226.56 | ... | -40.12 | Option sale ²⁶ |
| PFIZER INC PFE Jun 18 2021 38.0 Call / CUSIP: / Symbol: PFE 06/18/21 C 38.000 | | | | | | | |
| 05/05/21 | 1.000 | 293.34 | 05/03/21 | 208.66 | ... | 84.68 | Option sale ²⁶ |
| PFIZER INC PFE Jan 20 2023 70.0 Call / CUSIP: / Symbol: PFE 01/20/23 C 70.000 | | | | | | | |
| 11/05/21 | 2.000 | 166.88 | Various | 202.12 | ... | -35.24 | Total of 2 transactions |
| 11/19/21 | 1.000 | 147.44 | 09/01/21 | 75.56 | ... | 71.88 | Option sale ²⁶ |
| 11/26/21 | 1.000 | 198.44 | 09/10/21 | 65.56 | ... | 132.88 | Option sale ²⁶ |
| Security total: | | 512.76 | | 343.24 | ... | 169.52 | |
| PIEDMONT LITHIUM INC PLL Oct 15 2021 60.0 Call / CUSIP: / Symbol: PLL 10/15/21 C 60.000 | | | | | | | |
| 09/30/21 | 1.000 | 139.44 | 09/23/21 | 155.56 | 16.12 W | 0.00 | Option sale ²⁶ |
| 10/07/21 | 1.000 | 74.44 | 09/27/21 | 106.68 | ... | -32.24 | Option sale ²⁶ |
| Security total: | | 213.88 | | 262.24 | 16.12 W | -32.24 | |
| PALANTIR TECH INC PLTR Jan 29 2021 46.0 Call / CUSIP: / Symbol: PLTR 01/29/21 C 46.000 | | | | | | | |
| 01/27/21 | 1.000 | 108.34 | 01/26/21 | 70.66 | ... | 37.68 | Option sale ²⁶ |
| PALANTIR TECH INC PLTR Mar 26 2021 31.0 Call / CUSIP: / Symbol: PLTR 03/26/21 C 31.000 | | | | | | | |
| 03/26/21 | 1.000 | 0.00 | 02/18/21 | 200.66 | ... | -200.66 | Option expiration |
| PLUG POWER INC PLUG Apr 16 2021 31.0 Call / CUSIP: / Symbol: PLUG 04/16/21 C 31.000 | | | | | | | |
| 04/14/21 | 1.000 | 56.34 | 04/14/21 | 83.66 | ... | -27.32 | Option sale ²⁶ |
| PLUG POWER INC PLUG May 07 2021 29.0 Call / CUSIP: / Symbol: PLUG 05/07/21 C 29.000 | | | | | | | |
| 04/27/21 | 1.000 | 175.34 | 04/26/21 | 184.66 | ... | -9.32 | Option sale ²⁶ |
| PELTON INTERACTIVE INC PTON Jun 25 2021 115.0 Call / CUSIP: / Symbol: PTON 06/25/21 C 115.000 | | | | | | | |
| 06/22/21 | 1.000 | 169.44 | 06/22/21 | 205.56 | ... | -36.12 | Option sale ²⁶ |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| QUALCOMM INC QCOM Sep 17 2021 150.0 Call / CUSIP: / Symbol: QCOM 09/17/21 C 150.000 | | | | | | | |
| 08/12/21 | 1.000 | 273.44 | 08/12/21 | 271.56 | ... | 1.88 | Option sale ²⁶ |
| INVESCO QQQ TRUST QQQ Aug 27 2021 370.0 Put / CUSIP: / Symbol: QQQ 08/27/21 P 370.000 | | | | | | | |
| 08/25/21 | 1.000 | 43.44 | 08/24/21 | 68.56 | ... | -25.12 | Option sale ²⁶ |
| QUANTUMSCAPE CORP QS Jun 18 2021 27.0 Call / CUSIP: / Symbol: QS 06/18/21 C 27.000 | | | | | | | |
| 06/02/21 | 1.000 | 235.34 | 06/01/21 | 200.66 | ... | 34.68 | Option sale ²⁶ |
| QUANTUMSCAPE CORP QS Jul 16 2021 30.0 Call / CUSIP: / Symbol: QS 07/16/21 C 30.000 | | | | | | | |
| 06/28/21 | 1.000 | 291.44 | 06/28/21 | 247.56 | ... | 43.88 | Option sale ²⁶ |
| ROBLOX CORP RBLX Aug 20 2021 80.0 Call / CUSIP: / Symbol: RBLX 08/20/21 C 80.000 | | | | | | | |
| 08/02/21 | 2.000 | 828.88 | Various | 750.12 | ... | 78.76 | Total of 2 transactions |
| ROBLOX CORP RBLX Aug 20 2021 75.0 Put / CUSIP: / Symbol: RBLX 08/20/21 P 75.000 | | | | | | | |
| 07/27/21 | 1.000 | 498.44 | 07/26/21 | 420.56 | ... | 77.88 | Option sale ²⁶ |
| LORDSTOWN MOTORS CORP RIDE Jun 18 2021 10.0 Call / CUSIP: / Symbol: RIDE 06/18/21 C 10.000 | | | | | | | |
| 06/14/21 | 1.000 | 62.34 | 06/14/21 | 81.66 | ... | -19.32 | Option sale ²⁶ |
| RIOT BLOCKCHAIN INC RIOT May 07 2021 44.0 Call / CUSIP: / Symbol: RIOT 05/07/21 C 44.000 | | | | | | | |
| 05/03/21 | 1.000 | 144.34 | 05/03/21 | 230.66 | ... | -86.32 | Option sale ²⁶ |
| RIOT BLOCKCHAIN INC RIOT May 21 2021 39.0 Put / CUSIP: / Symbol: RIOT 05/21/21 P 39.000 | | | | | | | |
| 04/23/21 | 1.000 | -116.32 | 04/22/21 | 0.00 | ... | -116.32 | Short sale closed- option ²⁶ |
| ROCKET COMPANIES INC RKT May 14 2021 24.0 Call / CUSIP: / Symbol: RKT 05/14/21 C 24.000 | | | | | | | |
| 04/27/21 | 1.000 | 56.34 | 04/26/21 | 84.66 | 28.32 W | 0.00 | Option sale ²⁶ |
| 05/06/21 | 1.000 | 36.34 | 04/26/21 | 109.98 | ... | -73.64 | Option sale ²⁶ |
| | Security total: | 92.68 | | 194.64 | 28.32 W | -73.64 | |
| ROCKET COMPANIES INC RKT Jun 18 2021 18.0 Call / CUSIP: / Symbol: RKT 06/18/21 C 18.000 | | | | | | | |
| 06/02/21 | 1.000 | 148.34 | 06/01/21 | 97.66 | ... | 50.68 | Option sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| ROCKET COMPANIES INC RKT Jun 25 2021 22.5 Call / CUSIP: / Symbol: RKT 06/25/21 C 22.500 | | | | | | | |
| 06/09/21 | 1.000 | 121.34 | 06/09/21 | 133.98 | ... | -12.64 | Option sale ²⁶ |
| 06/09/21 | 1.000 | 148.34 | 06/09/21 | 151.66 | 3.32 W | 0.00 | Option sale ²⁶ |
| | Security total: | 269.68 | | 285.64 | 3.32 W | -12.64 | |
| ROCKET COMPANIES INC RKT Oct 15 2021 17.0 Call / CUSIP: / Symbol: RKT 10/15/21 C 17.000 | | | | | | | |
| 10/15/21 | 1.000 | 2.44 | 09/23/21 | 61.56 | ... | -59.12 | Option sale ²⁶ |
| REALOGY HLDGS CORP RLGY Mar 19 2021 17.5 Call / CUSIP: / Symbol: RLGY 03/19/21 C 17.500 | | | | | | | |
| 02/23/21 | 1.000 | 54.34 | 02/03/21 | 104.66 | ... | -50.32 | Option sale ²⁶ |
| REALOGY HLDGS CORP RLGY Mar 19 2021 20.0 Call / CUSIP: / Symbol: RLGY 03/19/21 C 20.000 | | | | | | | |
| 02/23/21 | 1.000 | 4.34 | 02/03/21 | 50.66 | ... | -46.32 | Option sale ²⁶ |
| ROMEO POWER INC RMO Apr 16 2021 10.0 Call / CUSIP: / Symbol: RMO 04/16/21 C 10.000 | | | | | | | |
| 04/06/21 | 1.000 | 289.34 | 04/06/21 | 97.66 | ... | 191.68 | Option sale ²⁶ |
| ROOT INC ROOT Jul 16 2021 15.0 Call / CUSIP: / Symbol: ROOT 07/16/21 C 15.000 | | | | | | | |
| 07/16/21 | 2.000 | 0.00 | Various | 341.32 | ... | -341.32 | Option expiration |
| ROOT INC ROOT Sep 17 2021 7.5 Call / CUSIP: / Symbol: ROOT 09/17/21 C 7.500 | | | | | | | |
| 08/31/21 | 1.000 | 44.44 | 08/30/21 | 98.56 | ... | -54.12 | Option sale ²⁶ |
| ROOT INC ROOT Oct 15 2021 7.5 Call / CUSIP: / Symbol: ROOT 10/15/21 C 7.500 | | | | | | | |
| 09/03/21 | 1.000 | 54.44 | 08/30/21 | 120.56 | ... | -66.12 | Option sale ²⁶ |
| ROOT INC ROOT Dec 16 2022 15.0 Call / CUSIP: / Symbol: ROOT 12/16/22 C 15.000 | | | | | | | |
| 08/30/21 | 1.000 | 169.44 | 08/30/21 | 150.56 | ... | 18.88 | Option sale ²⁶ |
| RACKSPACE TECHNOLOGY INC RXT Apr 16 2021 22.5 Call / CUSIP: / Symbol: RXT 04/16/21 C 22.500 | | | | | | | |
| 04/12/21 | 1.000 | 297.34 | 04/09/21 | 370.66 | ... | -73.32 | Option sale ²⁶ |
| CASSAVA SCIENCES INC SAVA Sep 03 2021 55.0 Call / CUSIP: / Symbol: SAVA 09/03/21 C 55.000 | | | | | | | |
| 09/02/21 | 1.000 | 154.44 | 09/01/21 | 230.56 | ... | -76.12 | Option sale ²⁶ |
| CASSAVA SCIENCES INC SAVA Jun 18 2021 65.0 Put / CUSIP: / Symbol: SAVA 06/18/21 P 65.000 | | | | | | | |
| 06/10/21 | 1.000 | 309.34 | 06/09/21 | 398.66 | ... | -89.32 | Option sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|---|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| CASSAVA SCIENCES INC SAVA Jun 18 2021 110.0 Call / CUSIP: / Symbol: SAVA 06/18/21 C 110.000 | | | | | | | |
| 06/11/21 | 1.000 | 369.34 | 06/11/21 | 440.66 | ... | -71.32 | Option sale ²⁶ |
| SMILEDIRECTCLUB INC SDC Jun 25 2021 11.0 Call / CUSIP: / Symbol: SDC 06/25/21 C 11.000 | | | | | | | |
| 06/10/21 | 1.000 | 33.34 | 06/09/21 | 93.66 | 60.32 W | 0.00 | Option sale ²⁶ |
| 06/25/21 | 1.000 | 0.00 | 06/09/21 | 121.98 | ... | -121.98 | Option expiration |
| | Security total: | 33.34 | | 215.64 | 60.32 W | -121.98 | |
| SEA LIMITED SE Jun 18 2021 310.0 Call / CUSIP: / Symbol: SE 06/18/21 C 310.000 | | | | | | | |
| 05/21/21 | 1.000 | 98.34 | 05/21/21 | 81.66 | ... | 16.68 | Option sale ²⁶ |
| 05/24/21 | 1.000 | 98.34 | 05/20/21 | 71.66 | ... | 26.68 | Option sale ²⁶ |
| | Security total: | 196.68 | | 153.32 | ... | 43.36 | |
| SENSEONICS HOLDINGS INC SENS Dec 17 2021 4.0 Call / CUSIP: / Symbol: SENS 12/17/21 C 4.000 | | | | | | | |
| 11/10/21 | 1.000 | 34.44 | 11/03/21 | 60.56 | ... | -26.12 | Option sale ²⁶ |
| SKILLZ INC SKLZ Apr 16 2021 40.0 Call / CUSIP: / Symbol: SKLZ 04/16/21 C 40.000 | | | | | | | |
| 04/16/21 | 1.000 | 0.00 | 02/19/21 | 501.66 | ... | -501.66 | Option expiration |
| SNAP INC SNAP Feb 26 2021 70.0 Call / CUSIP: / Symbol: SNAP 02/26/21 C 70.000 | | | | | | | |
| 02/23/21 | 1.000 | 219.34 | 02/19/21 | 79.66 | ... | 139.68 | Option sale ²⁶ |
| SNAP INC SNAP Jul 16 2021 65.0 Call / CUSIP: / Symbol: SNAP 07/16/21 C 65.000 | | | | | | | |
| 06/02/21 | 1.000 | 267.34 | 05/28/21 | 290.66 | ... | -23.32 | Option sale ²⁶ |
| SNAP INC SNAP Oct 15 2021 80.0 Call / CUSIP: / Symbol: SNAP 10/15/21 C 80.000 | | | | | | | |
| 09/07/21 | 1.000 | 297.44 | 09/07/21 | 293.56 | ... | 3.88 | Option sale ²⁶ |
| SNOWFLAKE INC SNOW Jul 16 2021 240.0 Call / CUSIP: / Symbol: SNOW 07/16/21 C 240.000 | | | | | | | |
| 07/01/21 | 1.000 | 829.44 | 07/01/21 | 820.56 | ... | 8.88 | Option sale ²⁶ |
| 07/01/21 | 1.000 | 739.44 | 07/01/21 | 810.56 | 71.12 W | 0.00 | Option sale ²⁶ |
| 07/02/21 | 2.000 | 1,398.88 | Various | 1,362.24 | ... | 36.64 | Total of 2 transactions |
| 07/06/21 | 1.000 | 689.44 | 07/02/21 | 600.56 | ... | 88.88 | Option sale ²⁶ |
| | Security total: | 3,657.20 | | 3,593.92 | 71.12 W | 134.40 | |
| SOS LIMITED SOS Jun 11 2021 4.0 Call / CUSIP: / Symbol: SOS 06/11/21 C 4.000 | | | | | | | |
| 06/03/21 | 1.000 | 27.34 | 06/03/21 | 48.66 | ... | -21.32 | Option sale ²⁶ |

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Account 455458346

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2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|------------------------|--|-------------------|-------------------------|--|---|---|
| VIRGIN GALACTIC HLDGS INC SPCE Aug 13 2021 | | 35.0 Call / CUSIP: / Symbol: SPCE 08/13/21 C 35.000 | | | | | |
| 08/09/21 | 1.000 | 124.44 | 08/06/21 | 113.56 | ... | 10.88 | Option sale ²⁶ |
| VIRGIN GALACTIC HLDGS INC SPCE Aug 20 2021 | | 30.0 Put / CUSIP: / Symbol: SPCE 08/20/21 P 30.000 | | | | | |
| 08/10/21 | 1.000 | 94.44 | 07/13/21 | 208.56 | ... | -114.12 | Option sale ²⁶ |
| VIRGIN GALACTIC HLDGS INC SPCE Aug 20 2021 | | 35.0 Put / CUSIP: / Symbol: SPCE 08/20/21 P 35.000 | | | | | |
| 08/09/21 | 1.000 | 138.88 | 08/06/21 | 0.00 | ... | 138.88 | Short sale closed- option ²⁶ |
| VIRGIN GALACTIC HLDGS INC SPCE Jan 20 2023 | | 50.0 Call / CUSIP: / Symbol: SPCE 01/20/23 C 50.000 | | | | | |
| 11/09/21 | 1.000 | 272.44 | 10/21/21 | 257.68 | ... | 14.76 | Option sale ²⁶ |
| 11/09/21 | 1.000 | 298.44 | 09/20/21 | 370.56 | 72.12 W | 0.00 | Option sale ²⁶ |
| | Security total: | 570.88 | | 628.24 | 72.12 W | 14.76 | |
| SPDR S&P500 ETF TRUST SPY Jan 25 2021 | | 383.0 Call / CUSIP: / Symbol: SPY 01/25/21 C 383.000 | | | | | |
| 01/25/21 | 1.000 | 61.34 | 01/25/21 | 39.66 | ... | 21.68 | Option sale ²⁶ |
| SPDR S&P500 ETF TRUST SPY Apr 14 2021 | | 412.0 Call / CUSIP: / Symbol: SPY 04/14/21 C 412.000 | | | | | |
| 04/09/21 | 2.000 | 263.68 | Various | 159.32 | ... | 104.36 | Total of 2 transactions |
| 04/12/21 | 1.000 | 149.34 | 04/08/21 | 75.66 | ... | 73.68 | Option sale ²⁶ |
| | Security total: | 413.02 | | 234.98 | ... | 178.04 | |
| SPDR S&P500 ETF TRUST SPY Apr 23 2021 | | 417.0 Call / CUSIP: / Symbol: SPY 04/23/21 C 417.000 | | | | | |
| 04/21/21 | 1.000 | 98.34 | 04/21/21 | 78.66 | ... | 19.68 | Option sale ²⁶ |
| SPDR S&P500 ETF TRUST SPY Apr 28 2021 | | 420.0 Call / CUSIP: / Symbol: SPY 04/28/21 C 420.000 | | | | | |
| 04/27/21 | 2.000 | 78.68 | Various | 159.64 | 80.96 W | 0.00 | Total of 2 transactions |
| 04/28/21 | 1.000 | 11.34 | 04/28/21 | 69.30 | ... | -57.96 | Option sale ²⁶ |
| | Security total: | 90.02 | | 228.94 | 80.96 W | -57.96 | |
| SPDR S&P500 ETF TRUST SPY Apr 30 2021 | | 421.0 Call / CUSIP: / Symbol: SPY 04/30/21 C 421.000 | | | | | |
| 04/29/21 | 1.000 | 110.34 | 04/28/21 | 50.66 | ... | 59.68 | Option sale ²⁶ |
| SPDR S&P500 ETF TRUST SPY May 05 2021 | | 420.0 Call / CUSIP: / Symbol: SPY 05/05/21 C 420.000 | | | | | |
| 04/30/21 | 1.000 | 95.34 | 04/29/21 | 161.66 | ... | -66.32 | Option sale ²⁶ |
| SPDR S&P500 ETF TRUST SPY Jul 26 2021 | | 438.0 Call / CUSIP: / Symbol: SPY 07/26/21 C 438.000 | | | | | |
| 07/20/21 | 1.000 | 40.44 | 07/20/21 | 30.56 | ... | 9.88 | Option sale ²⁶ |

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(continued)

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|---|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| SPDR S&P500 ETF TRUST SPY Jan 25 2021 380.0 Put / CUSIP: / Symbol: SPY 01/25/21 P 380.000 | | | | | | | |
| 01/25/21 | 1.000 | 20.34 | 01/25/21 | 39.66 | ... | -19.32 | Option sale ²⁶ |
| SPDR S&P500 ETF TRUST SPY Sep 17 2021 445.0 Put / CUSIP: / Symbol: SPY 09/17/21 P 445.000 | | | | | | | |
| 09/16/21 | 1.000 | 170.44 | 09/15/21 | 146.56 | ... | 23.88 | Option sale ²⁶ |
| SPDR S&P500 ETF TRUST SPY Sep 22 2021 435.0 Put / CUSIP: / Symbol: SPY 09/22/21 P 435.000 | | | | | | | |
| 09/22/21 | 1.000 | 47.44 | 09/22/21 | 86.56 | ... | -39.12 | Option sale ²⁶ |
| SORRENTO THERAPEUTICS INC SRNE Jun 11 2021 8.5 Call / CUSIP: / Symbol: SRNE 06/11/21 C 8.500 | | | | | | | |
| 06/03/21 | 1.000 | 40.34 | 06/02/21 | 60.66 | ... | -20.32 | Option sale ²⁶ |
| SORRENTO THERAPEUTICS INC SRNE Aug 27 2021 9.0 Call / CUSIP: / Symbol: SRNE 08/27/21 C 9.000 | | | | | | | |
| 08/25/21 | 1.000 | 17.44 | 08/24/21 | 24.56 | ... | -7.12 | Option sale ²⁶ |
| SOUTHWESTERN ENERGY CO SWN Dec 17 2021 7.0 Call / CUSIP: / Symbol: SWN 12/17/21 C 7.000 | | | | | | | |
| 10/04/21 | 1.000 | 33.44 | 07/01/21 | 48.56 | ... | -15.12 | Option sale ²⁶ |
| 12/17/21 | 1.000 | 0.00 | 07/06/21 | 41.56 | ... | -41.56 | Option expiration |
| | Security total: | 33.44 | | 90.12 | ... | -56.68 | |
| TELADOC HEALTH INC TDOC Oct 29 2021 140.0 Call / CUSIP: / Symbol: TDOC 10/29/21 C 140.000 | | | | | | | |
| 10/19/21 | 1.000 | 509.44 | 10/18/21 | 385.56 | ... | 123.88 | Option sale ²⁶ |
| TILRAY INC TLRV Jul 09 2021 20.0 Call / CUSIP: / Symbol: TLRV 07/09/21 C 20.000 | | | | | | | |
| 06/28/21 | 1.000 | 83.44 | 06/28/21 | 80.56 | ... | 2.88 | Option sale ²⁶ |
| TAPESTRY INC TPR Feb 26 2021 38.0 Call / CUSIP: / Symbol: TPR 02/26/21 C 38.000 | | | | | | | |
| 02/09/21 | 1.000 | 199.34 | 01/25/21 | 111.66 | ... | 87.68 | Option sale ²⁶ |
| TRIPADVISOR INC TRIP Jul 16 2021 44.0 Call / CUSIP: / Symbol: TRIP 07/16/21 C 44.000 | | | | | | | |
| 06/10/21 | 1.000 | 153.34 | 06/01/21 | 280.66 | ... | -127.32 | Option sale ²⁶ |
| TESLA INC TSLA Jul 09 2021 705.0 Call / CUSIP: / Symbol: TSLA 07/09/21 C 705.000 | | | | | | | |
| 06/30/21 | 1.000 | 1,629.43 | 06/30/21 | 1,510.56 | ... | 118.87 | Option sale ²⁶ |
| TAIWAN SEMICONDUCTOR MANUFACTU TSM Jul 16 2021 120.0 Call / CUSIP: / Symbol: TSM 07/16/21 C 120.000 | | | | | | | |
| 07/12/21 | 1.000 | 299.44 | 07/12/21 | 280.56 | ... | 18.88 | Option sale ²⁶ |

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2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| THE TRADE DESK INC TTD Aug 13 2021 90.0 Call / CUSIP: / Symbol: TTD 08/13/21 C 90.000 | | | | | | | |
| 08/10/21 | 1.000 | 174.44 | 08/05/21 | 205.56 | ... | -31.12 | Option sale ²⁶ |
| THE TRADE DESK INC TTD Nov 05 2021 75.0 Call / CUSIP: / Symbol: TTD 11/05/21 C 75.000 | | | | | | | |
| 10/13/21 | 1.000 | 337.44 | 10/12/21 | 265.56 | ... | 71.88 | Option sale ²⁶ |
| THE TRADE DESK INC TTD Aug 20 2021 100.0 Call / CUSIP: / Symbol: TTD 08/20/21 C 100.000 | | | | | | | |
| 08/20/21 | 1.000 | 0.00 | 08/05/21 | 100.56 | ... | -100.56 | Option expiration |
| TWITTER INC TWTR May 28 2021 60.0 Call / CUSIP: / Symbol: TWTR 05/28/21 C 60.000 | | | | | | | |
| 05/26/21 | 1.000 | 22.34 | 05/26/21 | 35.66 | 13.32 W | 0.00 | Option sale ²⁶ |
| 05/27/21 | 1.000 | 8.34 | 05/26/21 | 44.98 | ... | -36.64 | Option sale ²⁶ |
| | Security total: | 30.68 | | 80.64 | 13.32 W | -36.64 | |
| UNDER ARMOUR INC UA Jun 04 2021 20.0 Call / CUSIP: / Symbol: UA 06/04/21 C 20.000 | | | | | | | |
| 05/05/21 | 1.000 | 152.34 | 05/04/21 | 68.66 | ... | 83.68 | Option sale ²⁶ |
| UNDER ARMOUR INC UAA Jul 16 2021 20.0 Put / CUSIP: / Symbol: UAA 07/16/21 P 20.000 | | | | | | | |
| 06/15/21 | 1.000 | 58.34 | 06/14/21 | 50.66 | ... | 7.68 | Option sale ²⁶ |
| 06/17/21 | 1.000 | 62.44 | 06/14/21 | 49.66 | ... | 12.78 | Option sale ²⁶ |
| | Security total: | 120.78 | | 100.32 | ... | 20.46 | |
| UNITED AIRLINES HOLDINGS UAL Jan 20 2023 90.0 Call / CUSIP: / Symbol: UAL 01/20/23 C 90.000 | | | | | | | |
| 10/01/21 | 1.000 | 129.44 | 08/30/21 | 151.56 | 22.12 W | 0.00 | Option sale ²⁶ |
| 11/05/21 | 2.000 | 265.88 | Various | 259.24 | ... | 6.64 | Total of 2 transactions |
| | Security total: | 395.32 | | 410.80 | 22.12 W | 6.64 | |
| AGEAGLE AERIAL SYSTEMS INC UAVS Jul 16 2021 5.0 Call / CUSIP: / Symbol: UAVS 07/16/21 C 5.000 | | | | | | | |
| 06/09/21 | 2.000 | 333.68 | Various | 286.32 | ... | 47.36 | Total of 2 transactions |
| ULTA BEAUTY INC ULTA Jun 18 2021 365.0 Call / CUSIP: / Symbol: ULTA 06/18/21 C 365.000 | | | | | | | |
| 05/28/21 | 1.000 | 329.34 | 05/28/21 | 340.66 | ... | -11.32 | Option sale ²⁶ |
| UNITED PARCEL SERVICE INC UPS May 21 2021 180.0 Call / CUSIP: / Symbol: UPS 05/21/21 C 180.000 | | | | | | | |
| 04/13/21 | 1.000 | 489.34 | 04/08/21 | 305.66 | ... | 183.68 | Option sale ²⁶ |

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TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|---------------------------|
| UNITED PARCEL SERVICE INC UPS Dec 17 2021 220.0 Call / CUSIP: / Symbol: UPS 12/17/21 C 220.000 | | | | | | | |
| 08/06/21 | 1.000 | 228.44 | 08/02/21 | 253.56 | 25.12 W | 0.00 | Option sale ²⁶ |
| 08/16/21 | 1.000 | 223.44 | 08/04/21 | 226.68 | ... | -3.24 | Option sale ²⁶ |
| | Security total: | 451.88 | | 480.24 | 25.12 W | -3.24 | |
| VISA INC V May 07 2021 235.0 Call / CUSIP: / Symbol: V 05/07/21 C 235.000 | | | | | | | |
| 04/28/21 | 1.000 | 294.34 | 04/27/21 | 170.66 | ... | 123.68 | Option sale ²⁶ |
| VISA INC V Sep 17 2021 250.0 Call / CUSIP: / Symbol: V 09/17/21 C 250.000 | | | | | | | |
| 08/25/21 | 1.000 | 37.44 | 08/24/21 | 64.56 | 27.12 W | 0.00 | Option sale ²⁶ |
| 09/01/21 | 1.000 | 14.44 | 08/24/21 | 78.68 | ... | -64.24 | Option sale ²⁶ |
| | Security total: | 51.88 | | 143.24 | 27.12 W | -64.24 | |
| VISA INC V Sep 17 2021 275.0 Call / CUSIP: / Symbol: V 09/17/21 C 275.000 | | | | | | | |
| 07/27/21 | 1.000 | 132.44 | 07/15/21 | 96.56 | ... | 35.88 | Option sale ²⁶ |
| VELODYNE LIDAR INC VLDR Oct 15 2021 7.5 Call / CUSIP: / Symbol: VLDR 10/15/21 C 7.500 | | | | | | | |
| 10/15/21 | 1.000 | 0.00 | 09/16/21 | 40.56 | ... | -40.56 | Option expiration |
| VALERO ENERGY CORP VLO May 14 2021 72.5 Call / CUSIP: / Symbol: VLO 05/14/21 C 72.500 | | | | | | | |
| 04/22/21 | 1.000 | 134.34 | 04/22/21 | 136.66 | ... | -2.32 | Option sale ²⁶ |
| VAXART INC VXRT Jul 16 2021 10.0 Call / CUSIP: / Symbol: VXRT 07/16/21 C 10.000 | | | | | | | |
| 06/04/21 | 1.000 | 34.34 | 06/03/21 | 50.66 | ... | -16.32 | Option sale ²⁶ |
| CONTEXTLOGIC INC WISH Jul 16 2021 11.0 Call / CUSIP: / Symbol: WISH 07/16/21 C 11.000 | | | | | | | |
| 06/14/21 | 1.000 | 229.34 | 06/14/21 | 235.66 | ... | -6.32 | Option sale ²⁶ |
| UNITED STATES STEEL CORP X Jun 18 2021 27.0 Call / CUSIP: / Symbol: X 06/18/21 C 27.000 | | | | | | | |
| 06/07/21 | 1.000 | 85.34 | 06/04/21 | 106.66 | ... | -21.32 | Option sale ²⁶ |
| XL FLEET CORP XL Apr 16 2021 10.0 Call / CUSIP: / Symbol: XL 04/16/21 C 10.000 | | | | | | | |
| 04/07/21 | 1.000 | 149.34 | 04/07/21 | 129.66 | ... | 19.68 | Option sale ²⁶ |
| 04/16/21 | 3.000 | 0.00 | 04/07/21 | 242.98 | ... | -242.98 | Option expiration |
| | Security total: | 149.34 | | 372.64 | ... | -223.30 | |
| SELECT SECTOR SPDR TRUST XLF Sep 03 2021 39.0 Call / CUSIP: / Symbol: XLF 09/03/21 C 39.000 | | | | | | | |
| 08/27/21 | 1.000 | 33.44 | 08/26/21 | 28.56 | ... | 4.88 | Option sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

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|---|----------|---|-------------------|-------------------------|--|---|---------------------------|
| ZILLOW GROUP INC ZG Jan 20 2023 100.0 Call / CUSIP: / Symbol: ZG 01/20/23 C 100.000 | | | | | | | |
| 11/17/21 | 1.000 | 409.44 | 11/03/21 | 720.56 | ... | -311.12 | Option sale ²⁶ |
| Totals : | | 593,693.40 | | 624,123.50 | 51,070.63 W | 20,640.53 | |

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | Date acquired | Cost or other basis | Accrued mkt disc (D) & Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|---------------|---------------------|--|---|---|
| EVOLVE TRANSITION INFRASTRUCTU COM UNITS REP CL B LTD LIAB / CUSIP: 30053M104 / Symbol: SNMP | | | | | | | |
| 09/23/21 | 20.000 | 22.00 | 09/14/21 | 19.24 | ... | 2.76 | Sale ²⁶ |
| GRAYSCALE BITCOIN TRUST BTC COM / CUSIP: 389637109 / Symbol: GBTC | | | | | | | |
| 04/13/21 | 2.000 | 102.55 | 04/06/21 | 116.34 | ... | -13.79 | Sale ²⁶ |
| 06/24/21 | 4.000 | 112.97 | 06/22/21 | 107.03 | ... | 5.94 | Sale ²⁶ |
| 08/06/21 | 4.000 | 132.97 | 07/15/21 | 107.03 | ... | 25.94 | Sale ²⁶ |
| Security total: | | 348.49 | | 330.40 | ... | 18.09 | |
| ISHARES SILVER TRUST ISHARES ETF / CUSIP: 46428Q109 / Symbol: SLV / Note: CL | | | | | | | |
| 03/01/21 | 4.000 | 100.00 | 02/01/21 | 107.92 | 7.92 W | 0.00 | 3- Proceeds from collectibles [X] Sale ²⁶ |
| 03/09/21 | 5.000 | 120.51 | Various | 134.16 | ... | -13.65 | 3- Proceeds from collectibles [X] Sale ²⁶ |
| Security total: | | 220.51 | | 242.08 | 7.92 W | -13.65 | |
| NUSTAR ENERGY LP COM STK NPV / CUSIP: 67058H102 / Symbol: NS | | | | | | | |
| 04/13/21 | 5.000 | 87.50 | 04/06/21 | 89.80 | ... | -2.30 | Sale ²⁶ |
| PROSHARES TRUST II 1:10 R/S 5/26/21 74347Y839 / CUSIP: 74347W148 / Symbol: | | | | | | | |
| 01/11/21 | 10.000 | 109.25 | Various | 115.90 | 6.65 W | 0.00 | Total of 2 transactions |
| 01/15/21 | 5.000 | 55.61 | 01/06/21 | 57.70 | 2.15 W | 0.06 | Sale ²⁶ |
| 01/27/21 | 5.000 | 57.00 | 01/06/21 | 54.60 | ... | 2.40 | Sale ²⁶ |
| 02/23/21 | 5.000 | 49.50 | 02/08/21 | 50.00 | 0.50 W | 0.00 | Sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

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|---|----------|---|---------------|---------------------|--|---|-------------------------|
| PROSHARES TRUST II 1:10 R/S 5/26/21 74347Y839 / CUSIP: 74347W148 / Symbol: (cont'd) | | | | | | | |
| 02/25/21 | 20.000 | 195.00 | Various | 195.37 | 3.71 W | 3.34 | Total of 2 transactions |
| 03/18/21 | 5.000 | 35.25 | 03/16/21 | 35.40 | 0.15 W | 0.00 | Sale ²⁶ |
| 03/25/21 | 5.000 | 35.00 | 03/16/21 | 35.00 | ... | 0.00 | Sale ²⁶ |
| 03/29/21 | 5.000 | 31.50 | 03/22/21 | 30.15 | ... | 1.35 | Sale ²⁶ |
| 05/04/21 | 5.000 | 25.00 | 03/22/21 | 30.00 | 5.00 W | 0.00 | Sale ²⁶ |
| 05/17/21 | 5.000 | 23.00 | 05/14/21 | 27.25 | 4.25 W | 0.00 | Sale ²⁶ |
| 05/18/21 | 5.000 | 23.50 | 05/14/21 | 25.55 | 2.05 W | 0.00 | Sale ²⁶ |
| 05/19/21 | 5.000 | 26.50 | 05/14/21 | 21.30 | ... | 5.20 | Sale ²⁶ |
| Security total: | | 666.11 | | 678.22 | 24.46 W | 12.35 | |
| PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF / CUSIP: 74347Y839 / Symbol: UVXY | | | | | | | |
| 06/10/21 | 0.500 | 20.45 | 05/20/21 | 24.60 | ... | -4.15 | Sale ²⁶ |
| 07/06/21 | 9.000 | 260.90 | Various | 261.30 | 1.87 W | 1.47 | Total of 2 transactions |
| 07/08/21 | 7.000 | 216.93 | 07/02/21 | 184.87 | ... | 32.06 | Sale ²⁶ |
| 08/18/21 | 10.000 | 270.00 | 08/11/21 | 240.69 | ... | 29.31 | Sale ²⁶ |
| 08/18/21 | 20.000 | 514.00 | Various | 514.14 | 8.21 W | 8.07 | Total of 2 transactions |
| 09/10/21 | 5.000 | 119.90 | 08/25/21 | 113.80 | ... | 6.10 | Sale ²⁶ |
| 11/26/21 | 15.000 | 313.55 | Various | 310.15 | ... | 3.40 | Total of 3 transactions |
| 11/30/21 | 5.000 | 91.20 | 10/15/21 | 87.50 | ... | 3.70 | Sale ²⁶ |
| Security total: | | 1,806.93 | | 1,737.05 | 10.08 W | 79.96 | |
| Totals : | | 3,151.54 | | 3,096.79 | 42.46 W | 97.21 | |

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|------------------------|
| AIRBNB INC COM CL A / CUSIP: 009066101 / Symbol: ABNB | | | | | | | |
| 12/06/21 | 2.000 | 362.60 | Various | 257.91 | ... | 104.69 | Sale ²⁶ |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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|--|----------|---|-------------------|-------------------------|--|---|-------------------------|
| ANAPLAN INC COM / CUSIP: 03272L108 / Symbol: PLAN | | | | | | | |
| 08/31/21 | 4.000 | 271.00 | Various | 170.00 | ... | 101.00 | Total of 3 transactions |
| BIGCOMMERCE HLDGS INC COM / CUSIP: 08975P108 / Symbol: BIGC | | | | | | | |
| 01/21/21 | 5.000 | 360.19 | Various | 719.80 | 359.61 W | 0.00 | Total of 4 transactions |
| 01/22/21 | 4.000 | 291.50 | Various | 495.56 | 204.06 W | 0.00 | Total of 3 transactions |
| 01/25/21 | 7.000 | 522.00 | Various | 650.04 | 132.39 W | 4.35 | Total of 4 transactions |
| 01/27/21 | 1.000 | 90.00 | 01/27/21 | 89.17 | ... | 0.83 | Sale ²⁶ |
| 01/27/21 | 1.000 | 88.00 | 01/27/21 | 105.84 | 17.84 W | 0.00 | Sale ²⁶ |
| 02/04/21 | 1.000 | 88.00 | 02/01/21 | 87.84 | ... | 0.16 | Sale ²⁶ |
| 02/04/21 | 2.000 | 176.00 | 01/28/21 | 184.99 | 10.84 W | 1.85 | Sale ²⁶ |
| Security total: | | 1,615.69 | | 2,333.24 | 724.74 W | 7.19 | |
| DRAFTKINGS INC COM CL A / CUSIP: 26142R104 / Symbol: DKNG | | | | | | | |
| 01/08/21 | 1.000 | 50.50 | 12/28/20 | 51.78 | 1.28 W | 0.00 | Sale ²⁶ |
| 01/11/21 | 1.000 | 53.00 | 12/28/20 | 50.28 | ... | 2.72 | Sale ²⁶ |
| Security total: | | 103.50 | | 102.06 | 1.28 W | 2.72 | |
| FUTURE FINTECH GROUP INC COM / CUSIP: 36117V105 / Symbol: FTFT | | | | | | | |
| 03/26/21 | 10.000 | 62.40 | Various | 68.34 | 5.94 W | 0.00 | Total of 2 transactions |
| 03/30/21 | 5.000 | 31.25 | 02/26/21 | 26.02 | ... | 5.23 | Sale ²⁶ |
| Security total: | | 93.65 | | 94.36 | 5.94 W | 5.23 | |
| NIKOLA CORPORATION COM / CUSIP: 654110105 / Symbol: NKLA | | | | | | | |
| 01/08/21 | 3.000 | 56.61 | Various | 78.80 | 22.19 W | 0.00 | Total of 2 transactions |
| 01/12/21 | 4.000 | 74.48 | Various | 81.34 | 9.46 W | 2.60 | Total of 2 transactions |
| 01/13/21 | 1.000 | 20.00 | 12/23/20 | 19.53 | ... | 0.47 | Sale ²⁶ |
| Security total: | | 151.09 | | 179.67 | 31.65 W | 3.07 | |
| PENUMBRA INC COM / CUSIP: 70975L107 / Symbol: PEN | | | | | | | |
| 01/08/21 | 1.000 | 200.00 | 12/11/20 | 234.58 | 34.58 W | 0.00 | Sale ²⁶ |
| 01/13/21 | 1.000 | 217.00 | 12/24/20 | 221.83 | ... | -4.83 | Sale ²⁶ |
| Security total: | | 417.00 | | 456.41 | 34.58 W | -4.83 | |

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Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|--|
| ROCKET COMPANIES INC COM CL A / CUSIP: 77311W101 / Symbol: RKT | | | | | | | |
| 01/27/21 | 4.000 | 97.00 | Various | 101.84 | 4.84 W | 0.00 | Total of 2 transactions |
| 01/29/21 | 4.000 | 100.00 | 01/28/21 | 90.54 | ... | 9.46 | Sale ²⁶ |
| Security total: | | 197.00 | | 192.38 | 4.84 W | 9.46 | |
| SNOWFLAKE INC COM CL A / CUSIP: 833445109 / Symbol: SNOW | | | | | | | |
| 02/09/21 | 1.000 | 321.99 | 01/19/21 | 376.64 | 54.65 W | 0.00 | Sale ²⁶ |
| 02/10/21 | 1.000 | 323.99 | 01/19/21 | 339.65 | 15.66 W | 0.00 | Sale ²⁶ |
| 07/09/21 | 1.000 | 265.50 | 01/27/21 | 282.66 | ... | -17.16 | Sale ²⁶ |
| Security total: | | 911.48 | | 998.95 | 70.31 W | -17.16 | |
| UNITY SOFTWARE INC COM / CUSIP: 91332U101 / Symbol: U | | | | | | | |
| 08/12/21 | 1.000 | 126.00 | 02/17/21 | 405.86 | ... | -279.86 | Sale ²⁶ |
| Totals : | | 4,249.01 | | 5,190.84 | 873.34 W | -68.49 | |

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | Date acquired | Cost or other basis | Accrued mkt disc (D) & Wash sale loss disallowed (W) | 7- Loss not allowed (X) also not reported (Z) | Gain or loss(-) & Additional information |
|---|----------|---|---------------|---------------------|--|---|---|
| GRAYSCALE BITCOIN TRUST BTC COM / CUSIP: 389637109 / Symbol: GBTC | | | | | | | |
| 04/08/21 | 0.000 | 0.04 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000328032 |
| 04/12/21 | 0.000 | 0.04 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000328101 |
| 06/24/21 | 0.000 | 0.05 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000382551 |
| 07/21/21 | 0.000 | 0.05 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000383117 |
| 07/26/21 | 0.000 | 0.05 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000329994 |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 455458346

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | Date acquired | Cost or other basis | Accrued mkt disc (D) & Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|---------------|---------------------|--|---|---|
| GRAYSCALE BITCOIN TRUST BTC COM / CUSIP: 389637109 / Symbol: GBTC (cont'd) | | | | | | | |
| 07/31/21 | 0.000 | 0.04 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000276846 |
| 08/05/21 | 0.000 | 0.03 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000159763 |
| | Security total: | 0.30 | | ... | ... | ... | |
| ISHARES SILVER TRUST ISHARES ETF / CUSIP: 46428Q109 / Symbol: SLV / Note: CL | | | | | | | |
| 02/28/21 | 0.000 | 0.09 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000397717 |
| 06/30/21 | 0.000 | 0.11 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000433420 |
| 07/31/21 | 0.000 | 0.10 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000432626 |
| 08/31/21 | 0.000 | 0.09 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000427223 |
| 09/30/21 | 0.000 | 0.09 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000432880 |
| 10/31/21 | 0.000 | 0.09 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000414059 |
| 11/30/21 | 0.000 | 0.09 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000434447 |
| 12/31/21 | 0.000 | 0.09 | N/A | ... | ... | ... | Principal payment ¹⁶ Cost Basis Factor: 0.000424936 |
| | Security total: | 0.75 | | ... | ... | ... | |
| Totals : | | 1.05 | | ... | ... | ... | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 455458346

Detail for Dividends and Distributions

2021

02/17/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

| Security description | CUSIP and/or symbol | State | Date | Amount | Transaction type | Notes |
|--|---------------------|-------|----------|--------------|--|-------|
| AT&T INC COM | 00206R102 T | | 02/01/21 | 23.40 | Qualified dividend | |
| | | | 08/02/21 | 2.60 | Qualified dividend | |
| | | | 11/01/21 | 5.20 | Qualified dividend | |
| | | | | 31.20 | Total Dividends & distributions | |
| APOLLO GLOBAL MANAGEMENT INC 1:1 EXC 1/3/22 03769M106 | 03768E105 | | 02/26/21 | 5.49 | Qualified dividend | 03 |
| | | | 02/26/21 | 0.51 | Nondividend distribution | 03 |
| | | | | 6.00 | Total Dividends & distributions | |
| ASTRAZENECA ADR SPONSORED | 046353108 | AZN | 03/29/21 | 5.70 | Qualified dividend | |
| BARRICK GOLD CORPORATION COM | 067901108 | GOLD | 09/15/21 | 3.51 | Nondividend distribution | |
| | | | 09/15/21 | 2.25 | Qualified dividend | |
| | | | 09/15/21 | -0.34 | Foreign tax withheld-CA | |
| | | | 12/15/21 | 3.51 | Nondividend distribution | |
| | | | 12/15/21 | 2.25 | Qualified dividend | |
| | | | 12/15/21 | -0.34 | Foreign tax withheld-CA | |
| | | | | 11.52 | Total Dividends & distributions | |
| | | | | -0.68 | Total Foreign tax withheld | |
| BIG 5 SPORTING GOODS CORP COM | 08915P101 | BGFV | 09/15/21 | 0.50 | Qualified dividend | |
| CAMECO CORP COM | 13321L108 | CCJ | 12/15/21 | 0.31 | Qualified dividend | |
| | | | 12/15/21 | -0.05 | Foreign tax withheld-CA | |
| | | | | 0.31 | Total Dividends & distributions | |
| | | | | -0.05 | Total Foreign tax withheld | |
| CAMPING WORLD HOLDINGS INC COM CL A | 13462K109 | CWH | 03/29/21 | 1.12 | Qualified dividend | |
| | | | 03/29/21 | 0.72 | Qualified dividend | |
| | | | | 1.84 | Total Dividends & distributions | |
| CATO CORP COM CL A | 149205106 | CATO | 06/21/21 | 0.22 | Qualified dividend | |

TD Ameritrade Clearing, Inc.

Account 455458346

Detail for Dividends and Distributions

2021

(continued)

02/17/2022

| Security description | CUSIP and/or symbol | State | Date | Amount | Transaction type | Notes |
|---|---------------------|-------|----------|---------------|--|-------|
| CURO GROUP HOLDINGS CORP COM | 23131L107 CURO | | 03/02/21 | 6.05 | Qualified dividend | |
| | | | 05/27/21 | 3.85 | Qualified dividend | |
| | | | | 9.90 | Total Dividends & distributions | |
| EXXON MOBIL CORPORATION COM | 30231G102 XOM | | 03/10/21 | 4.35 | Qualified dividend | |
| FINVOLUTION GROUP ADR | 31810T101 FINV | | 04/30/21 | 13.60 | Qualified dividend | |
| KINDER MORGAN INC COM | 49456B101 KMI | | 02/16/21 | 2.89 | Qualified dividend | |
| NEWMONT CORPORATION COM | 651639106 NEM | | 03/18/21 | 5.50 | Qualified dividend | |
| NEXTERA ENERGY INC COM | 65339F101 NEE | | 03/15/21 | 1.54 | Qualified dividend | |
| PFIZER INC COM | 717081103 PFE | | 03/05/21 | 8.97 | Qualified dividend | |
| | | | 06/04/21 | 7.80 | Qualified dividend | |
| | | | 12/06/21 | 0.78 | Qualified dividend | |
| | | | | 17.55 | Total Dividends & distributions | |
| TARGET CORP COM | 87612E106 TGT | | 03/10/21 | 0.68 | Qualified dividend | |
| UWM HLDGS CORP COM CL A | 91823B109 UWMC | | 04/06/21 | 7.00 | Qualified dividend | |
| VANECK ETF TRUST VANECK GOLD MINERS ETF | 92189F106 GDX | | 12/27/21 | 8.77 | Qualified dividend | 03 |
| | | | 12/27/21 | -0.75 | Foreign tax withheld-Various | 03 |
| | | | | 8.77 | Total Dividends & distributions | |
| | | | | -0.75 | Total Foreign tax withheld | |
| WASHINGTON FEDERAL INC COM | 938824109 WAFD | | 02/19/21 | 2.30 | Qualified dividend | |
| | | | | 131.37 | Total Dividends & distributions | |
| | | | | -1.48 | Total Foreign tax withheld | |

TD Ameritrade Clearing, Inc.

Account 455458346

Section 1256 Contracts - Regulated Futures and Nonequity Options

2021

02/17/2022

This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.

| Security description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|---|------------------------|----------|----------|----------------|--|-------|
| ISHARES SILVER TRUST SLV May 14 2021 24.5 | SLV 05/14/21 C 24.500 | 05/05/21 | 1.00 | -0.32 | Realized profit/loss | |
| ISHARES SILVER TRUST SLV Jan 20 2023 40.0 | SLV 01/20/23 C 40.000 | 12/31/21 | 3.00 | -187.68 | Unrealized profit/loss current year | |
| PROSHARES TRUST II UVXY Oct 01 2021 25.0 | UVXY 10/01/21 C 25.000 | 09/13/21 | 1.00 | -32.12 | Realized profit/loss | |
| | | 09/17/21 | 1.00 | -2.12 | Realized profit/loss | |
| | | | | -34.24 | Total Realized profit/loss | |
| PROSHARES TRUST II UVXY Oct 08 2021 25.0 | UVXY 10/08/21 C 25.000 | 09/20/21 | 1.00 | 62.88 | Realized profit/loss | |
| | | 09/29/21 | 1.00 | 116.88 | Realized profit/loss | |
| | | 09/29/21 | 1.00 | -32.12 | Realized profit/loss | |
| | | | | 147.64 | Total Realized profit/loss | |
| | | | | 113.08 | Total Realized profit/loss | |
| | | | | -187.68 | Total Unrealized profit/loss current year | |
| | | | | -74.60 | Total Aggregate profit or (loss) on contracts | |

TD Ameritrade Clearing, Inc.

Account 455458346

Fees and Expenses

2021

02/17/2022

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

| Description | CUSIP and/or symbol | Date | Amount | Transaction type | Notes |
|---------------------------------|---------------------|----------|-----------|-----------------------------------|-------|
| REORG FEE 15116C201 | | 09/24/21 | -38.00 | Miscellaneous fee | |
| REORG FEE 502074305 | | 05/07/21 | -38.00 | Miscellaneous fee | |
| REORG FEE 74347W148 | | 05/26/21 | -38.00 | Miscellaneous fee | |
| REORG FEE 86858W200 | | 09/15/21 | -38.00 | Miscellaneous fee | |
| Interest Charged | | 01/29/21 | -326.66 | Margin interest paid | |
| | | 02/26/21 | -304.65 | Margin interest paid | |
| | | 03/31/21 | -468.14 | Margin interest paid | |
| | | 04/30/21 | -421.82 | Margin interest paid | |
| | | 05/28/21 | -344.48 | Margin interest paid | |
| | | 06/30/21 | -247.90 | Margin interest paid | |
| | | 07/30/21 | -256.82 | Margin interest paid | |
| | | 08/31/21 | -273.77 | Margin interest paid | |
| | | 09/30/21 | -263.13 | Margin interest paid | |
| | | 10/29/21 | -264.72 | Margin interest paid | |
| | | 11/30/21 | -255.11 | Margin interest paid | |
| | | 12/31/21 | -235.28 | Margin interest paid | |
| | | | -3,662.48 | Total Margin interest paid | |
| ASTRAZENECA ADR SPONSORED | 046353108 AZN | 03/29/21 | -0.12 | Depository receipt fee | |
| CELLECTIS ADR SPONSORED | 15117K103 CLLS | 03/08/21 | -0.20 | Depository receipt fee | |
| CLOOPEN GROUP HLDG LTD ADR | 18900M104 RAAS | 09/09/21 | -8.20 | Depository receipt fee | |
| COMPASS PATHWAYS PLC ADR | 20451W101 CMPS | 01/13/21 | -0.10 | Depository receipt fee | |
| FINVOLUTION GROUP ADR | 31810T101 FINV | 04/30/21 | -1.60 | Depository receipt fee | |
| GRAYSCALE BITCOIN TRUST BTC COM | 389637109 GBTC | 04/08/21 | -0.04 | Gross proceeds investment expense | 15 |
| | | 04/12/21 | -0.04 | Gross proceeds investment expense | 15 |
| | | 06/24/21 | -0.05 | Gross proceeds investment expense | 15 |
| | | 07/21/21 | -0.05 | Gross proceeds investment expense | 15 |
| | | 07/26/21 | -0.05 | Gross proceeds investment expense | 15 |
| | | 07/31/21 | -0.04 | Gross proceeds investment expense | 15 |

TD Ameritrade Clearing, Inc.

Account 455458346

Fees and Expenses

2021

(continued)

02/17/2022

| Description | CUSIP and/or symbol | Date | Amount | Transaction type | Notes |
|---|----------------------------|-------------|------------------|--|--------------|
| GRAYSCALE BITCOIN TRUST BTC COM (cont'd) | | 08/05/21 | -0.03 | Gross proceeds investment expense | 15 |
| | | | -0.30 | Total Gross proceeds invstmnt exp | |
| ISHARES SILVER TRUST ISHARES ETF | 46428Q109 SLV Note: CL | 02/28/21 | -0.09 | Gross proceeds investment expense | 15 |
| | | 06/30/21 | -0.11 | Gross proceeds investment expense | 15 |
| | | 07/31/21 | -0.10 | Gross proceeds investment expense | 15 |
| | | 08/31/21 | -0.09 | Gross proceeds investment expense | 15 |
| | | 09/30/21 | -0.09 | Gross proceeds investment expense | 15 |
| | | 10/31/21 | -0.09 | Gross proceeds investment expense | 15 |
| | | 11/30/21 | -0.09 | Gross proceeds investment expense | 15 |
| | | 12/31/21 | -0.09 | Gross proceeds investment expense | 15 |
| | | | -0.75 | Total Gross proceeds invstmnt exp | |
| JUMIA TECHNOLOGIES AG ADR SPONSORED | 48138M105 JMIA | 10/08/21 | -3.15 | Depository receipt fee | |
| NIO INC ADR | 62914V106 NIO | 06/09/21 | -0.90 | Depository receipt fee | |
| OZON HLDGS PLC ADR | 69269L104 OZON | 01/13/21 | -4.50 | Depository receipt fee | |
| | | | -18.77 | Total Depository receipt fee | |
| | | | -152.00 | Total Miscellaneous fee | |
| | | | -3,662.48 | Total Margin interest paid | |
| | | | -1.05 | Total Gross proceeds invstmnt exp | |

TD Ameritrade Clearing, Inc.

Account 455458346

Mutual Fund and UIT Supplemental Information

2021

02/17/2022

The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

VANECK ETF TRUST VANECK GOLD MINERS ETF / 92189F106 / GDX**FOREIGN SOURCE INCOME PERCENTAGES**

| | | | | | |
|--------------------|--------|---------------------|--------|--------------------|-------|
| Fgn Source Inc Tot | 74.76% | Fgn Source Inc Qual | 74.76% | Fgn Source Inc Adj | 0.00% |
|--------------------|--------|---------------------|--------|--------------------|-------|

TD Ameritrade Clearing, Inc.

Account 455458346

Foreign Income and Taxes Summary

2021

02/17/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

| Country | Security Description | CUSIP | Nonqualified | Dividends | | Interest | Total Income | Tax |
|-----------------------------|--|-----------|--------------|--------------|--|--------------|--------------|--------------|
| | | | | Qualified | | | | |
| | VANECK ETF TRUST VANECK GOLD MINERS ETF | 92189F106 | 0.00 | 8.77 | | 0.00 | 8.77 | -0.75 |
| Total | | | 0.00 | 8.77 | | 0.00 | 8.77 | -0.75 |
| CA | BARRICK GOLD CORPORATION COM | 067901108 | 0.00 | 4.50 | | 0.00 | 4.50 | -0.68 |
| CA | CAMECO CORP COM | 13321L108 | 0.00 | 0.31 | | 0.00 | 0.31 | -0.05 |
| Total CANADA | | | 0.00 | 4.81 | | 0.00 | 4.81 | -0.73 |
| CJ | FINVOLUTION GROUP ADR | 31810T101 | 0.00 | 13.60 | | 0.00 | 13.60 | 0.00 |
| Total CAYMAN ISLANDS | | | 0.00 | 13.60 | | 0.00 | 13.60 | 0.00 |
| UK | ASTRAZENECA ADR SPONSORED | 046353108 | 0.00 | 5.70 | | 0.00 | 5.70 | 0.00 |
| Total UNITED KINGDOM | | | 0.00 | 5.70 | | 0.00 | 5.70 | 0.00 |
| Grand Total | Total Foreign Source Income* | | | | | 32.88 | | |
| | Qualified Foreign Source Income** | | | | | 32.88 | | |
| | Foreign Tax | | | | | -1.48 | | |

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is $\$1,000 * 0.005$ or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- 26 Tax lot closed is a specified lot.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)

Line 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

Line 9. Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2020.

Line 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2021 in 2022.

Line 11. Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.