

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 463777532	Statement Date: 02/11/2022 Document ID: D850 4DG 0YVC	2021
	Akhil Mittapally 2066 Canakin Ct Apt 14 St. Louis, MO 63146 RECIPIENT'S TIN: XXX-XX-7645		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 56.82</p> <p>1b- Qualified dividends 56.82</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.03</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	594,397.08	606,516.89	0.00	28,975.54	16,855.73
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	594,397.08	606,516.89	0.00	28,975.54	16,855.73
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	594,397.08	606,516.89	0.00	28,975.54	16,855.73
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 463777532

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	1.19
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	341.29
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/17/2021 CALL \$170.00 / CUSIP: / Symbol:							
10/28/21	1.000	83.98	10/25/21	48.00	...	35.98	Option sale to close-call ²⁵
ACHR 11/19/2021 CALL \$8.00 / CUSIP: / Symbol:							
11/19/21	1.000	40.98	11/19/21	0.00	...	40.98	Short sale closed- call expired Option written: 11/01/21
ACIC 04/16/2021 CALL \$12.50 / CUSIP: / Symbol:							
04/16/21	1.000	4.98	04/16/21	0.00	...	4.98	Short sale closed- call expired Option written: 04/07/21
BABA 12/03/2021 CALL \$190.00 / CUSIP: / Symbol:							
11/11/21	1.000	172.98	11/10/21	170.00	...	2.98	Option sale to close-call ²⁵
BBIG 12/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
12/17/21	3.000	0.00	11/22/21	96.00	...	-96.00	Option expiration- long call

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Robinhood Securities LLC

Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BP 01/15/2021 CALL \$33.00 / CUSIP: / Symbol:							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	07/31/20	44.00	...	-44.00	1 of 2 - Option expiration- long call
	2.000	0.00	07/31/20	44.00	...	-44.00	2 of 2 - Option expiration- long call
01/15/21	4.000	0.00	Various	88.00	...	-88.00	Total of 2 transactions
CCIV 03/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
03/19/21	7.000	76.98	03/19/21	0.00	...	76.98	Short sale closed- call expired Option written: 03/09/21
CCIV 05/14/2021 CALL \$21.00 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	05/03/21	80.00	...	-80.00	Option expiration- long call
CCIV 07/09/2021 CALL \$35.00 / CUSIP: / Symbol:							
07/09/21	1.000	0.00	07/07/21	2.00	...	-2.00	Option expiration- long call
CCIV 07/09/2021 CALL \$38.00 / CUSIP: / Symbol:							
2 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	3.000	68.99	07/07/21	0.00	...	68.99	1 of 2 - Short sale closed- call ²⁵ Option written: 06/28/21
	4.000	91.99	07/07/21	0.00	...	91.99	2 of 2 - Short sale closed- call ²⁵ Option written: 06/28/21
07/08/21	7.000	160.98	Various	0.00	...	160.98	Total of 2 transactions
CLOV 11/12/2021 CALL \$9.00 / CUSIP: / Symbol:							
3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	-12.02	11/08/21	0.00	...	-12.02	1 of 3 - Short sale closed- call ²⁵ Option written: 11/01/21
	2.000	-12.01	11/08/21	0.00	...	-12.01	2 of 3 - Short sale closed- call ²⁵ Option written: 11/01/21
	1.000	-6.01	11/08/21	0.00	...	-6.01	3 of 3 - Short sale closed- call ²⁵ Option written: 11/01/21
11/09/21	4.000	-30.04	Various	0.00	...	-30.04	Total of 3 transactions
11/09/21	1.000	-6.01	11/08/21	0.00	6.01 W	0.00	Short sale closed- call ²⁵ Option written: 11/01/21
Security total:		-36.05		0.00	6.01 W	-30.04	

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Robinhood Securities LLC

Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 12/03/2021 CALL \$350.00 / CUSIP: / Symbol:							
11/22/21	1.000	936.98	11/09/21	485.00	...	451.98	Option sale to close-call ²⁵
FB 12/03/2021 CALL \$360.00 / CUSIP: / Symbol:							
11/23/21	1.000	-254.02	11/22/21	0.00	...	-254.02	Short sale closed- call ²⁵ Option written: 11/09/21
GNUS 03/19/2021 CALL \$3.50 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	2.98	03/19/21	0.00	...	2.98	1 of 2 - Short sale closed- call expired Option written: 03/09/21
	1.000	2.98	03/19/21	0.00	...	2.98	2 of 2 - Short sale closed- call expired Option written: 03/09/21
03/19/21	2.000	5.96	Various	0.00	...	5.96	Total of 2 transactions
JMIA 05/14/2021 PUT \$21.00 / CUSIP: / Symbol:							
05/11/21	1.000	262.98	05/10/21	104.00	...	158.98	Option sale to close-put ²⁵
JMIA 10/15/2021 CALL \$23.00 / CUSIP: / Symbol:							
09/27/21	1.000	49.98	09/16/21	51.00	...	-1.02	Option sale to close-call ²⁵
JMIA 11/19/2021 PUT \$14.00 / CUSIP: / Symbol:							
11/18/21	1.000	-10.02	11/17/21	0.00	10.02 W	0.00	Short sale closed- put ²⁵ Option written: 11/16/21
11/22/21	1.000	4.96	11/19/21	0.00	...	4.96	Short sale closed- put ²⁵ Option written: 11/18/21
	Security total:	-5.06		0.00	10.02 W	4.96	
JMIA 12/31/2021 CALL \$13.00 / CUSIP: / Symbol:							
2 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/27/21	16.00	...	-16.00	1 of 2 - Option expiration- long call
	1.000	0.00	12/27/21	16.00	...	-16.00	2 of 2 - Option expiration- long call
12/31/21	2.000	0.00	Various	32.00	...	-32.00	Total of 2 transactions

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Robinhood Securities LLC

Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 10/29/2021 CALL \$40.00 / CUSIP: / Symbol:							
3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	2.000	39.98	10/29/21	0.00	...	39.98	1 of 3 - Short sale closed- call expired
	2.000	39.98	10/29/21	0.00	...	39.98	Option written: 10/28/21 2 of 3 - Short sale closed- call expired
	3.000	59.98	10/29/21	0.00	...	59.98	Option written: 10/28/21 3 of 3 - Short sale closed- call expired
10/29/21	7.000	139.94	Various	0.00	...	139.94	Option written: 10/28/21 Total of 3 transactions
LCID 10/29/2021 PUT \$30.00 / CUSIP: / Symbol:							
10/29/21	1.000	0.00	10/28/21	72.02	...	-72.02	Option expiration- long put
10/29/21	1.000	3.98	10/28/21	38.00	34.02 W	0.00	Option sale to close-put ²⁵
	Security total:	3.98		110.02	34.02 W	-72.02	
LCID 11/12/2021 CALL \$49.00 / CUSIP: / Symbol:							
11/10/21	3.000	-0.02	11/09/21	0.00	...	-0.02	Short sale closed- call ²⁵ Option written: 11/09/21
LCID 11/12/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/10/21	7.000	-98.02	11/09/21	0.00	42.01 W	-56.01	Short sale closed- call ²⁵ Option written: 11/05/21
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	1.000	78.98	11/12/21	0.00	...	78.98	1 of 2 - Short sale closed- call ²⁵ Option written: 11/09/21
	2.000	157.97	11/12/21	0.00	...	157.97	2 of 2 - Short sale closed- call ²⁵ Option written: 11/09/21
11/15/21	3.000	236.95	Various	0.00	...	236.95	Total of 2 transactions
	Security total:	138.93		0.00	42.01 W	180.94	
LCID 11/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/16/21	2.000	441.98	11/11/21	540.00	...	-98.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 11/19/2021 CALL \$57.00 / CUSIP: / Symbol:							
2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	1.000	12.99	11/17/21	0.00	...	12.99	1 of 2 - Short sale closed- call ²⁵ Option written: 11/16/21
	1.000	12.99	11/17/21	0.00	...	12.99	2 of 2 - Short sale closed- call ²⁵ Option written: 11/16/21
11/18/21	2.000	25.98	Various	0.00	...	25.98	Total of 2 transactions
LCID 11/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
11/15/21	5.000	504.98	11/12/21	0.00	...	504.98	Short sale closed- call ²⁵ Option written: 11/11/21
LCID 11/26/2021 CALL \$60.00 / CUSIP: / Symbol:							
11/24/21	5.000	384.98	11/23/21	0.00	...	384.98	Short sale closed- call ²⁵ Option written: 11/16/21
11/26/21	2.000	0.00	11/23/21	70.00	...	-70.00	Option expiration- long call
	Security total:	384.98		70.00	...	314.98	
LCID 12/10/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/16/21	2.000	1,037.98	11/09/21	788.00	...	249.98	Option sale to close-call ²⁵
LCID 12/10/2021 CALL \$55.00 / CUSIP: / Symbol:							
11/17/21	2.000	-96.02	11/16/21	0.00	...	-96.02	Short sale closed- call ²⁵ Option written: 11/09/21
LI 01/15/2021 CALL \$31.50 / CUSIP: / Symbol:							
01/04/21	1.000	255.98	12/23/20	247.00	...	8.98	Option sale to close-call ²⁵
NFLX 11/19/2021 PUT \$600.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/09/21	109.00	...	-109.00	Option expiration- long put
NIO 03/19/2021 CALL \$100.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	01/15/21	203.00	...	-203.00	Option expiration- long call

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Robinhood Securities LLC

Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NKLA 01/15/2021 CALL \$17.50 / CUSIP: / Symbol:							
01/08/21	1.000	141.98	12/23/20	133.02	...	8.96	Option sale to close-call ²⁵
01/08/21	1.000	141.98	12/18/20	185.00	43.02 W	0.00	Option sale to close-call ²⁵
	Security total:	283.96		318.02	43.02 W	8.96	
NVDA 11/19/2021 CALL \$350.00 / CUSIP: / Symbol:							
11/18/21	1.000	123.98	11/16/21	75.00	...	48.98	Option sale to close-call ²⁵
NVDA 11/19/2021 CALL \$400.00 / CUSIP: / Symbol:							
11/19/21	1.000	8.98	11/18/21	0.00	...	8.98	Short sale closed- call ²⁵ Option written: 11/16/21
NVDA 12/17/2021 CALL \$400.00 / CUSIP: / Symbol:							
11/18/21	1.000	204.98	11/16/21	159.00	...	45.98	Option sale to close-call ²⁵
PTON 11/26/2021 CALL \$60.00 / CUSIP: / Symbol:							
11/09/21	2.000	197.98	11/08/21	178.00	...	19.98	Option sale to close-call ²⁵
RIOT 05/14/2021 CALL \$34.00 / CUSIP: / Symbol:							
05/11/21	1.000	36.98	05/10/21	54.00	...	-17.02	Option sale to close-call ²⁵
RIVN 12/17/2021 PUT \$80.00 / CUSIP: / Symbol:							
12/02/21	1.000	84.98	11/23/21	300.00	...	-215.02	Option sale to close-put ²⁵
SPCE 12/31/2021 CALL \$15.00 / CUSIP: / Symbol:							
12/27/21	2.000	23.98	12/23/21	48.00	...	-24.02	Option sale to close-call ²⁵
SQ 12/17/2021 CALL \$210.00 / CUSIP: / Symbol:							
12/17/21	2.000	0.00	12/01/21	898.00	...	-898.00	Option expiration- long call
SQ 12/17/2021 CALL \$220.00 / CUSIP: / Symbol:							
12/17/21	2.000	487.98	12/17/21	0.00	...	487.98	Short sale closed- call expired Option written: 12/01/21
TSLA 11/12/2021 PUT \$950.00 / CUSIP: / Symbol:							
11/12/21	1.000	29.98	11/11/21	50.00	...	-20.02	Option sale to close-put ²⁵

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(continued)

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TSLA 11/19/2021 CALL \$1,150.00 / CUSIP: / Symbol:							
11/15/21	1.000	131.98	11/15/21	288.00	...	-156.02	Option sale to close-call ²⁵
VET 11/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	11/08/21	45.00	...	-45.00	1 of 3 - Option expiration- long call
	2.000	0.00	11/08/21	30.00	...	-30.00	2 of 3 - Option expiration- long call
	2.000	0.00	11/08/21	30.00	...	-30.00	3 of 3 - Option expiration- long call
11/19/21	7.000	0.00	Various	105.00	...	-105.00	Total of 3 transactions
WMT 02/19/2021 CALL \$155.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	11/16/20	670.00	...	-670.00	Option expiration- long call
ATLAS CREST INVESTMENT CORP. / CUSIP: 049284102 / Symbol:							
4 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	12.000	207.84	02/18/21	207.60	...	0.24	1 of 4 - Sale ²⁵
	30.000	519.58	02/18/21	519.00	...	0.58	2 of 4 - Sale ²⁵
	58.000	1,004.52	02/18/21	1,003.40	...	1.12	3 of 4 - Sale ²⁵
	70.000	1,212.37	02/18/21	1,211.00	...	1.37	4 of 4 - Sale ²⁵
02/18/21	170.000	2,944.31	Various	2,941.00	...	3.31	Total of 4 transactions
08/18/21	1.307	13.00	02/22/21	20.93	...	-7.93	Sale ²⁵
	Security total:	2,957.31		2,961.93	...	-4.62	
BRAZE, INC. CLASS A COMMON STO CK / CUSIP: 10576N102 / Symbol:							
2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	89.50	11/17/21	89.00	...	0.50	1 of 2 - Sale ²⁵
	1.000	89.57	11/17/21	65.00	...	24.57	2 of 2 - Sale ²⁵
11/17/21	2.000	179.07	Various	154.00	...	25.07	Total of 2 transactions
CARNIVAL PLC / CUSIP: 14365C103 / Symbol:							
7 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	1.000	17.71	01/22/21	17.77	...	-0.06	1 of 7 - Sale ²⁵
	3.000	53.13	01/22/21	52.59	...	0.54	2 of 7 - Sale ²⁵
	6.000	106.26	01/22/21	105.36	...	0.90	3 of 7 - Sale ²⁵
	34.000	602.12	01/22/21	597.06	...	5.06	4 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CARNIVAL PLC / CUSIP: 14365C103 / Symbol: (cont'd)							
	25.000	442.74	01/22/21	437.23	...	5.51	5 of 7 - Sale ²⁵
	59.000	1,044.86	01/22/21	1,036.07	...	8.79	6 of 7 - Sale ²⁵
	171.000	3,028.32	01/22/21	2,999.29	...	29.03	7 of 7 - Sale ²⁵
02/03/21	299.000	5,295.14	Various	5,245.37	...	49.77	Total of 7 transactions
	3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	1.000	17.72	01/19/21	18.00	0.28 W	0.00	1 of 3 - Sale ²⁵
	496.000	8,783.90	01/21/21	8,808.96	13.69 W	-11.37	2 of 3 - Sale ²⁵
	4.000	70.88	01/21/21	71.04	0.12 W	-0.04	3 of 3 - Sale ²⁵
02/03/21	501.000	8,872.50	Various	8,898.00	14.09 W	-11.41	Total of 3 transactions
	Security total:	14,167.64		14,143.37	14.09 W	38.36	
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
	5 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	25.000	2,999.93	02/03/21	2,950.25	...	49.68	1 of 5 - Sale ²⁵
	90.000	10,889.74	02/03/21	10,800.00	...	89.74	2 of 5 - Sale ²⁵
	65.000	7,799.82	02/03/21	7,683.65	...	116.17	3 of 5 - Sale ²⁵
	100.000	11,099.74	02/03/21	10,900.00	...	199.74	4 of 5 - Sale ²⁵
	90.000	10,619.75	02/03/21	10,350.00	...	269.75	5 of 5 - Sale ²⁵
02/03/21	370.000	43,408.98	Various	42,683.90	...	725.08	Total of 5 transactions
	9 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	2.000	101.40	02/10/21	98.10	...	3.30	1 of 9 - Sale ²⁵
	4.000	202.80	02/10/21	196.20	...	6.60	2 of 9 - Sale ²⁵
	5.000	253.49	02/10/21	246.05	...	7.44	3 of 9 - Sale ²⁵
	15.000	760.48	02/10/21	735.75	...	24.73	4 of 9 - Sale ²⁵
	18.000	912.57	02/10/21	885.78	...	26.79	5 of 9 - Sale ²⁵
	20.000	1,013.97	02/10/21	981.00	...	32.97	6 of 9 - Sale ²⁵
	29.000	1,470.26	02/10/21	1,422.46	...	47.80	7 of 9 - Sale ²⁵
	30.000	1,520.96	02/10/21	1,471.50	...	49.46	8 of 9 - Sale ²⁵
	77.000	3,903.80	02/10/21	3,789.17	...	114.63	9 of 9 - Sale ²⁵
02/11/21	200.000	10,139.73	Various	9,826.01	...	313.72	Total of 9 transactions
02/16/21	30.000	1,613.36	02/11/21	1,470.00	...	143.36	Sale ²⁵
	Security total:	55,162.07		53,979.91	...	1,182.16	

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
01/15/21	100.000	1,869.94	01/15/21	1,870.00	0.06 W	0.00	Sale ²⁵
	6 transactions for 02/01/21. Total proceeds and cost reported to the IRS.						
	1.000	24.30	01/27/21	21.60	...	2.70	1 of 6 - Sale ²⁵
	1.000	24.30	01/27/21	21.02	...	3.28	2 of 6 - Sale ²⁵
	51.000	1,239.27	01/27/21	1,098.54	...	140.73	3 of 6 - Sale ²⁵
	98.000	2,381.34	01/27/21	2,110.97	...	270.37	4 of 6 - Sale ²⁵
	99.000	2,405.63	01/27/21	2,080.98	...	324.65	5 of 6 - Sale ²⁵
	100.000	2,429.93	01/28/21	2,058.00	...	371.93	6 of 6 - Sale ²⁵
02/01/21	350.000	8,504.77	Various	7,391.11	...	1,113.66	Total of 6 transactions
	2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	15.000	495.74	02/03/21	427.65	...	68.09	1 of 2 - Sale ²⁵
	75.000	2,478.68	02/03/21	2,138.25	...	340.43	2 of 2 - Sale ²⁵
02/10/21	90.000	2,974.42	Various	2,565.90	...	408.52	Total of 2 transactions
	18 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	4.000	130.44	02/03/21	114.04	...	16.40	1 of 18 - Sale ²⁵
	14.000	489.99	02/03/21	399.14	...	90.85	2 of 18 - Sale ²⁵
	86.000	2,804.38	02/03/21	2,451.86	...	352.52	3 of 18 - Sale ²⁵
	76.000	2,659.93	02/03/21	2,166.76	...	493.17	4 of 18 - Sale ²⁵
	80.000	2,789.53	02/03/21	2,280.80	...	508.73	5 of 18 - Sale ²⁵
	13.000	453.30	02/04/21	359.58	...	93.72	6 of 18 - Sale ²⁵
	187.000	6,520.52	02/04/21	5,172.42	...	1,348.10	7 of 18 - Sale ²⁵
	2.000	69.72	02/08/21	65.34	...	4.38	8 of 18 - Sale ²⁵
	30.000	1,045.47	02/08/21	980.10	...	65.37	9 of 18 - Sale ²⁵
	37.000	1,290.16	02/08/21	1,208.79	...	81.37	10 of 18 - Sale ²⁵
	131.000	4,565.23	02/08/21	4,279.77	...	285.46	11 of 18 - Sale ²⁵
	39.000	1,359.12	02/10/21	1,222.26	...	136.86	12 of 18 - Sale ²⁵
	61.000	2,125.79	02/10/21	1,911.74	...	214.05	13 of 18 - Sale ²⁵
	90.000	3,136.42	02/10/21	2,872.00	...	264.42	14 of 18 - Sale ²⁵
	100.000	3,484.91	02/10/21	3,134.00	...	350.91	15 of 18 - Sale ²⁵
	5.000	174.25	02/11/21	158.50	...	15.75	16 of 18 - Sale ²⁵
	35.000	1,219.71	02/11/21	1,109.50	...	110.21	17 of 18 - Sale ²⁵
	90.000	3,136.42	02/11/21	2,847.60	...	288.82	18 of 18 - Sale ²⁵
02/11/21	1,080.000	37,455.29	Various	32,734.20	...	4,721.09	Total of 18 transactions

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(continued)

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
3 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	35.000	1,417.47	02/11/21	1,109.50	...	307.97	1 of 3 - Sale ²⁵
	60.000	2,339.33	02/11/21	1,902.00	...	437.33	2 of 3 - Sale ²⁵
	65.000	2,632.43	02/11/21	2,060.50	...	571.93	3 of 3 - Sale ²⁵
02/12/21	160.000	6,389.23	Various	5,072.00	...	1,317.23	Total of 3 transactions
6 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	6.000	311.33	02/11/21	190.20	...	121.13	1 of 6 - Sale ²⁵
	14.000	726.44	02/11/21	443.80	...	282.64	2 of 6 - Sale ²⁵
	35.000	1,714.96	02/11/21	1,109.50	...	605.46	3 of 6 - Sale ²⁵
	45.000	2,204.94	02/11/21	1,426.50	...	778.44	4 of 6 - Sale ²⁵
	9.000	467.00	02/16/21	337.41	...	129.59	5 of 6 - Sale ²⁵
	291.000	15,099.62	02/16/21	10,909.59	...	4,190.03	6 of 6 - Sale ²⁵
02/16/21	400.000	20,524.29	Various	14,417.00	...	6,107.29	Total of 6 transactions
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	213.000	11,214.17	02/16/21	11,267.70	53.53 W	0.00	1 of 2 - Sale ²⁵
	86.000	4,527.78	02/16/21	4,549.40	21.62 W	0.00	2 of 2 - Sale ²⁵
02/16/21	299.000	15,741.95	Various	15,817.10	75.15 W	0.00	Total of 2 transactions
10 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	1.000	55.37	02/16/21	52.90	...	2.47	1 of 10 - Sale ²⁵
	1.000	59.40	02/17/21	53.44	...	5.96	2 of 10 - Sale ²⁵
	5.000	296.99	02/17/21	275.71	...	21.28	3 of 10 - Sale ²⁵
	44.000	2,436.22	02/17/21	2,351.42	...	84.80	4 of 10 - Sale ²⁵
	21.000	1,247.37	02/17/21	1,157.97	...	89.40	5 of 10 - Sale ²⁵
	22.000	1,306.77	02/17/21	1,213.11	...	93.66	6 of 10 - Sale ²⁵
	25.000	1,484.96	02/17/21	1,378.53	...	106.43	7 of 10 - Sale ²⁵
	26.000	1,544.36	02/17/21	1,433.68	...	110.68	8 of 10 - Sale ²⁵
	39.000	2,316.55	02/17/21	2,150.51	...	166.04	9 of 10 - Sale ²⁵
	100.000	5,536.86	02/17/21	5,233.13	...	303.73	10 of 10 - Sale ²⁵
02/17/21	284.000	16,284.85	Various	15,300.40	...	984.45	Total of 10 transactions
6 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	1.000	60.91	02/17/21	58.00	...	2.91	1 of 6 - Sale ²⁵
	1.000	62.06	02/17/21	55.14	...	6.92	2 of 6 - Sale ²⁵
	2.000	124.12	02/17/21	116.00	...	8.12	3 of 6 - Sale ²⁵

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
	12.000	744.70	02/17/21	696.00	...	48.70	4 of 6 - Sale ²⁵
	15.000	930.88	02/17/21	873.77	...	57.11	5 of 6 - Sale ²⁵
	100.000	6,205.84	02/17/21	5,800.00	...	405.84	6 of 6 - Sale ²⁵
02/18/21	131.000	8,128.51	Various	7,598.91	...	529.60	Total of 6 transactions
	2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	49.000	2,984.52	02/18/21	2,985.57	1.05 W	0.00	1 of 2 - Sale ²⁵
	105.000	6,395.39	02/18/21	6,397.65	2.26 W	0.00	2 of 2 - Sale ²⁵
02/18/21	154.000	9,379.91	Various	9,383.22	3.31 W	0.00	Total of 2 transactions
	6 transactions for 02/26/21. Total proceeds and cost reported to the IRS.						
	1.000	28.89	02/18/21	60.93	32.04 W	0.00	1 of 6 - Sale ²⁵
	49.000	1,415.78	02/18/21	3,075.31	1,659.53 W	0.00	2 of 6 - Sale ²⁵
	105.000	3,033.82	02/18/21	6,589.96	3,556.14 W	0.00	3 of 6 - Sale ²⁵
	37.000	1,069.06	02/18/21	2,321.38	1,252.32 W	0.00	4 of 6 - Sale ²⁵
	5.000	144.47	02/18/21	296.05	151.58 W	0.00	5 of 6 - Sale ²⁵
	68.000	1,964.77	02/18/21	4,026.28	2,061.51 W	0.00	6 of 6 - Sale ²⁵
02/26/21	265.000	7,656.79	Various	16,369.91	8,713.12 W	0.00	Total of 6 transactions
	Security total:	134,909.95		128,519.75	8,791.64 W	15,181.84	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
	5 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	200.000	2,463.92	02/11/21	2,485.86	...	-21.94	1 of 5 - Sale ²⁵
	100.000	1,231.96	02/11/21	1,242.93	...	-10.97	2 of 5 - Sale ²⁵
	100.000	1,231.96	02/11/21	1,242.93	...	-10.97	3 of 5 - Sale ²⁵
	100.000	1,231.96	02/11/21	1,242.93	...	-10.97	4 of 5 - Sale ²⁵
	500.000	6,159.80	02/11/21	6,110.00	...	49.80	5 of 5 - Sale ²⁵
02/12/21	1,000.000	12,319.60	Various	12,324.65	...	-5.05	Total of 5 transactions
	4 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	100.000	1,231.96	02/10/21	1,252.89	20.93 W	0.00	1 of 4 - Sale ²⁵
	100.000	1,231.96	02/10/21	1,252.89	20.93 W	0.00	2 of 4 - Sale ²⁵
	100.000	1,231.96	02/10/21	1,252.89	20.93 W	0.00	3 of 4 - Sale ²⁵
	200.000	2,463.92	02/10/21	2,505.78	41.86 W	0.00	4 of 4 - Sale ²⁵
02/12/21	500.000	6,159.80	Various	6,264.45	104.65 W	0.00	Total of 4 transactions
	Security total:	18,479.40		18,589.10	104.65 W	-5.05	

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Robinhood Securities LLC

Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol:							
<i>6 transactions for 01/19/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,189.96	08/13/20	2,662.63	...	-1,472.67	1 of 6 - Sale ²⁵
	300.000	3,569.88	11/16/20	4,104.00	...	-534.12	2 of 6 - Sale ²⁵
	100.000	1,189.96	11/23/20	1,042.00	...	147.96	3 of 6 - Sale ²⁵
	300.000	3,569.88	11/23/20	3,126.00	...	443.88	4 of 6 - Sale ²⁵
	300.000	3,569.89	11/23/20	3,126.00	...	443.89	5 of 6 - Sale ²⁵
	300.000	3,569.89	11/23/20	3,126.00	...	443.89	6 of 6 - Sale ²⁵
01/19/21	1,400.000	16,659.46	Various	17,186.63	...	-527.17	Total of 6 transactions
EHANG HOLDINGS LIMITED ADS / CUSIP: 26853E102 / Symbol:							
06/23/21	100.000	4,099.96	06/18/21	3,751.00	...	348.96	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
<i>3 transactions for 03/24/21. Total proceeds and cost reported to the IRS.</i>							
	87.000	248.81	07/02/20	348.47	...	-99.66	1 of 3 - Sale ²⁵
	8.670	24.80	07/02/20	34.73	...	-9.93	2 of 3 - Sale ²⁵
	0.330	0.94	07/02/20	1.29	...	-0.35	3 of 3 - Sale ²⁵
03/24/21	96.000	274.55	Various	384.49	...	-109.94	Total of 3 transactions
<i>4 transactions for 03/25/21. Total proceeds and cost reported to the IRS.</i>							
	52.000	130.00	07/02/20	220.25	...	-90.25	1 of 4 - Sale ²⁵
	5.000	12.50	07/02/20	21.17	...	-8.67	2 of 4 - Sale ²⁵
	4.330	10.82	07/02/20	17.34	...	-6.52	3 of 4 - Sale ²⁵
	3.670	9.17	07/02/20	15.32	...	-6.15	4 of 4 - Sale ²⁵
03/25/21	65.000	162.49	Various	274.08	...	-111.59	Total of 4 transactions
04/12/21	35.000	60.22	07/02/20	148.24	...	-88.02	Sale ²⁵
	Security total:	497.26		806.81	...	-309.55	
HEAT BIOLOGICS, INC. COMMON ST OCK / CUSIP: 42237K409 / Symbol:							
02/09/21	24.000	368.40	07/28/20	336.84	...	31.56	Sale ²⁵
02/26/21	2.000	15.17	07/28/20	28.07	...	-12.90	Sale ²⁵
	Security total:	383.57		364.91	...	18.66	

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Robinhood Securities LLC

Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	100.000	3,492.18	01/15/21	3,314.00	...	178.18	1 of 2 - Sale ²⁵
01/19/21	100.000	3,492.18	01/15/21	3,314.00	...	178.18	2 of 2 - Sale ²⁵
	200.000	6,984.36	Various	6,628.00	...	356.36	Total of 2 transactions
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	40.000	1,396.87	11/27/20	1,584.60	187.73 W	0.00	1 of 2 - Sale ²⁵
01/19/21	50.000	1,746.09	12/02/20	1,942.29	196.20 W	0.00	2 of 2 - Sale ²⁵
	90.000	3,142.96	Various	3,526.89	383.93 W	0.00	Total of 2 transactions
4 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	81.000	2,581.52	02/02/21	2,428.38	...	153.14	1 of 4 - Sale ²⁵
02/09/21	100.000	3,187.07	02/02/21	2,998.00	...	189.07	2 of 4 - Sale ²⁵
	319.000	10,166.74	02/02/21	9,563.62	...	603.12	3 of 4 - Sale ²⁵
	40.000	1,274.83	02/05/21	1,214.00	...	60.83	4 of 4 - Sale ²⁵
	540.000	17,210.16	Various	16,204.00	...	1,006.16	Total of 4 transactions
7 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	50.000	1,566.96	01/26/21	1,814.20	247.24 W	0.00	1 of 7 - Sale ²⁵
	40.000	1,253.56	01/26/21	1,482.13	228.57 W	0.00	2 of 7 - Sale ²⁵
	160.000	5,099.31	01/26/21	5,177.60	78.29 W	0.00	3 of 7 - Sale ²⁵
	200.000	6,374.13	02/01/21	6,428.00	35.56 W	-18.31	4 of 7 - Sale ²⁵
	50.000	1,593.53	02/01/21	1,854.24	260.71 W	0.00	5 of 7 - Sale ²⁵
	40.000	1,274.83	02/01/21	1,514.17	239.34 W	0.00	6 of 7 - Sale ²⁵
	10.000	318.71	02/01/21	321.40	2.69 W	0.00	7 of 7 - Sale ²⁵
02/09/21	550.000	17,481.03	Various	18,591.74	1,092.40 W	-18.31	Total of 7 transactions
10 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	50.000	1,628.46	02/05/21	1,541.97	...	86.49	1 of 10 - Sale ²⁵
	40.000	1,302.76	02/09/21	1,526.94	...	-224.18	2 of 10 - Sale ²⁵
	33.000	1,074.78	02/09/21	1,234.34	...	-159.56	3 of 10 - Sale ²⁵
	17.000	553.67	02/09/21	635.87	...	-82.20	4 of 10 - Sale ²⁵
	83.000	2,703.24	02/09/21	2,712.38	...	-9.14	5 of 10 - Sale ²⁵
	27.000	879.37	02/09/21	881.80	...	-2.43	6 of 10 - Sale ²⁵
	7.000	227.98	02/09/21	227.22	...	0.76	7 of 10 - Sale ²⁵
	10.000	325.69	02/09/21	324.59	...	1.10	8 of 10 - Sale ²⁵
	13.000	423.40	02/09/21	421.97	...	1.43	9 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol: (cont'd)							
	20.000	651.39	02/09/21	649.19	...	2.20	10 of 10 - Sale ²⁵
02/10/21	300.000	9,770.74	Various	10,156.27	...	-385.53	Total of 10 transactions
	2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	1.000	29.39	02/10/21	32.83	...	-3.44	1 of 2 - Sale ²⁵
	90.000	2,645.03	02/16/21	2,773.74	...	-128.71	2 of 2 - Sale ²⁵
02/18/21	91.000	2,674.42	Various	2,806.57	...	-132.15	Total of 2 transactions
	Security total:	57,263.67		57,913.47	1,476.33 W	826.53	
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
	9 transactions for 11/15/21. Total proceeds and cost reported to the IRS.						
	1.000	46.60	02/18/21	91.25	44.65 W	0.00	1 of 9 - Sale ²⁵
	49.000	2,283.38	02/18/21	4,560.82	2,277.44 W	0.00	2 of 9 - Sale ²⁵
	65.000	3,028.98	02/18/21	6,050.07	3,021.09 W	0.00	3 of 9 - Sale ²⁵
	5.000	233.00	02/22/21	466.58	233.58 W	0.00	4 of 9 - Sale ²⁵
	37.000	1,724.18	02/22/21	3,583.32	1,859.14 W	0.00	5 of 9 - Sale ²⁵
	40.000	1,863.99	02/22/21	3,874.72	2,010.73 W	0.00	6 of 9 - Sale ²⁵
	3.000	139.80	02/22/21	279.95	140.15 W	0.00	7 of 9 - Sale ²⁵
	65.000	3,045.22	02/22/21	6,065.56	3,020.34 W	0.00	8 of 9 - Sale ²⁵
	35.000	1,639.74	02/22/21	2,205.00	565.26 W	0.00	9 of 9 - Sale ²⁵
11/15/21	300.000	14,004.89	Various	27,177.27	13,172.38 W	0.00	Total of 9 transactions
	2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.						
	26.000	1,286.47	02/22/21	1,638.00	351.53 W	0.00	1 of 2 - Sale ²⁵
	24.000	1,187.51	02/22/21	1,512.00	324.49 W	0.00	2 of 2 - Sale ²⁵
11/19/21	50.000	2,473.98	Various	3,150.00	676.02 W	0.00	Total of 2 transactions
	Security total:	16,478.87		30,327.27	13,848.40 W	0.00	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
01/07/21	200.000	3,465.89	12/14/20	3,313.90	...	151.99	Sale ²⁵
	4 transactions for 01/07/21. Total proceeds and cost reported to the IRS.						
	45.000	779.83	12/11/20	806.85	27.02 W	0.00	1 of 4 - Sale ²⁵
	100.000	1,732.95	12/11/20	1,793.00	60.05 W	0.00	2 of 4 - Sale ²⁵
	55.000	953.12	12/11/20	986.15	33.03 W	0.00	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
01/07/21	100.000	1,732.95	12/11/20	1,765.73	32.78 W	0.00	4 of 4 - Sale ²⁵
	300.000	5,198.85	Various	5,351.73	152.88 W	0.00	Total of 4 transactions
	6 transactions for 01/12/21. Total proceeds and cost reported to the IRS.						
	11.000	205.48	01/11/21	190.38	...	15.10	1 of 6 - Sale ²⁵
	15.000	280.20	01/11/21	259.62	...	20.58	2 of 6 - Sale ²⁵
	24.000	448.32	01/11/21	415.39	...	32.93	3 of 6 - Sale ²⁵
	45.000	840.58	01/11/21	791.12	...	49.46	4 of 6 - Sale ²⁵
	55.000	1,027.37	01/11/21	966.93	...	60.44	5 of 6 - Sale ²⁵
01/12/21	100.000	1,867.94	01/11/21	1,758.05	...	109.89	6 of 6 - Sale ²⁵
	250.000	4,669.89	Various	4,381.49	...	288.40	Total of 6 transactions
	5 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	1.000	20.70	01/15/21	20.33	...	0.37	1 of 5 - Sale ²⁵
	6.000	124.20	01/15/21	121.97	...	2.23	2 of 5 - Sale ²⁵
	15.000	310.49	01/15/21	304.92	...	5.57	3 of 5 - Sale ²⁵
	28.000	579.58	01/15/21	569.17	...	10.41	4 of 5 - Sale ²⁵
01/19/21	100.000	2,069.94	01/15/21	2,000.00	...	69.94	5 of 5 - Sale ²⁵
	150.000	3,104.91	Various	3,016.39	...	88.52	Total of 5 transactions
	2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	23.000	460.00	01/20/21	448.50	...	11.50	1 of 2 - Sale ²⁵
01/21/21	77.000	1,539.95	01/20/21	1,501.50	...	38.45	2 of 2 - Sale ²⁵
01/26/21	100.000	1,999.95	Various	1,950.00	...	49.95	Total of 2 transactions
	100.000	2,313.93	01/21/21	1,984.00	...	329.93	Sale ²⁵
	2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	49.000	1,167.64	02/09/21	1,113.28	...	54.36	1 of 2 - Sale ²⁵
02/10/21	50.000	1,191.47	02/09/21	1,136.00	...	55.47	2 of 2 - Sale ²⁵
02/26/21	99.000	2,359.11	Various	2,249.28	...	109.83	Total of 2 transactions
	1.000	17.98	02/09/21	22.72	...	-4.74	Sale ²⁵
	Security total:	23,130.51		22,269.51	152.88 W	1,013.88	
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
	6 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	249.000	303.75	07/21/20	303.78	...	-0.03	1 of 6 - Sale ²⁵
	200.000	243.98	07/21/20	244.00	...	-0.02	2 of 6 - Sale ²⁵

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Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol: (cont'd)							
	200.000	243.98	07/21/20	244.00	...	-0.02	3 of 6 - Sale ²⁵
	200.000	243.98	07/21/20	244.00	...	-0.02	4 of 6 - Sale ²⁵
	50.000	60.99	07/21/20	61.00	...	-0.01	5 of 6 - Sale ²⁵
	100.000	121.99	07/21/20	122.00	...	-0.01	6 of 6 - Sale ²⁵
02/12/21	999.000	1,218.67	Various	1,218.78	...	-0.11	Total of 6 transactions
02/26/21	1.000	1.21	07/21/20	1.22	...	-0.01	Sale ²⁵
	Security total:	1,219.88		1,220.00	...	-0.12	
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
02/26/21	1.000	4.48	01/29/21	5.17	...	-0.69	Sale ²⁵
REKOR SYSTEMS, INC. COMMON STO CK / CUSIP: 759419104 / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	100.000	1,683.95	02/10/21	1,684.00	...	-0.05	1 of 2 - Sale ²⁵
	100.000	1,683.95	02/10/21	1,684.00	...	-0.05	2 of 2 - Sale ²⁵
02/11/21	200.000	3,367.90	Various	3,368.00	...	-0.10	Total of 2 transactions
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
12 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	1.000	66.99	02/22/21	68.00	...	-1.01	1 of 12 - Sale ²⁵
	39.000	2,612.55	02/22/21	2,612.16	...	0.39	2 of 12 - Sale ²⁵
	99.000	6,631.85	02/22/21	6,630.86	...	0.99	3 of 12 - Sale ²⁵
	1.000	68.66	02/22/21	67.25	...	1.41	4 of 12 - Sale ²⁵
	1.000	68.48	02/22/21	63.88	...	4.60	5 of 12 - Sale ²⁵
	12.000	823.90	02/22/21	816.12	...	7.78	6 of 12 - Sale ²⁵
	27.000	1,853.77	02/22/21	1,836.27	...	17.50	7 of 12 - Sale ²⁵
	40.000	2,739.13	02/22/21	2,690.00	...	49.13	8 of 12 - Sale ²⁵
	100.000	6,865.83	02/22/21	6,801.00	...	64.83	9 of 12 - Sale ²⁵
	99.000	6,779.35	02/22/21	6,657.75	...	121.60	10 of 12 - Sale ²⁵
	49.000	3,355.44	02/22/21	3,130.12	...	225.32	11 of 12 - Sale ²⁵
	100.000	6,847.83	02/22/21	6,388.00	...	459.83	12 of 12 - Sale ²⁵
02/22/21	568.000	38,713.78	Various	37,761.41	...	952.37	Total of 12 transactions
02/22/21	1.000	66.99	02/22/21	68.01	1.02 W	0.00	Sale ²⁵
02/26/21	1.000	41.47	02/22/21	66.98	...	-25.51	Sale ²⁵

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Account 463777532

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol: (cont'd)							
2 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	1.000	38.97	05/04/21	36.08	...	2.89	1 of 2 - Sale ²⁵
	29.000	1,130.12	05/04/21	1,046.32	...	83.80	2 of 2 - Sale ²⁵
05/05/21	30.000	1,169.09	Various	1,082.40	...	86.69	Total of 2 transactions
06/14/21	30.000	1,124.39	05/06/21	1,035.90	...	88.49	Sale ²⁵
	Security total:	41,115.72		40,014.70	1.02 W	1,102.04	
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
07/19/21	112.917	270.99	03/18/21	857.04	...	-586.05	Sale ²⁵
STEEL CONNECT, INC. COMMON STOCK / CUSIP: 858098106 / Symbol:							
2 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	109.000	203.82	01/22/21	264.87	...	-61.05	1 of 2 - Sale ²⁵
	6.000	11.10	01/22/21	14.58	...	-3.48	2 of 2 - Sale ²⁵
03/24/21	115.000	214.92	Various	279.45	...	-64.53	Total of 2 transactions
03/25/21	78.000	141.17	01/22/21	189.54	...	-48.37	Sale ²⁵
04/12/21	43.000	79.26	01/22/21	104.49	...	-25.23	Sale ²⁵
07/19/21	31.606	60.48	01/22/21	76.80	...	-16.32	Sale ²⁵
	Security total:	495.83		650.28	...	-154.45	
SUNDIAL GROWERS INC. COMMON SHARES / CUSIP: 86730L109 / Symbol:							
02/01/21	1,000.000	1,169.85	01/27/21	662.90	...	506.95	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	81.000	66,094.52	02/12/21	66,055.50	...	39.02	1 of 2 - Sale ²⁵
	48.000	39,167.13	02/12/21	38,421.60	...	745.53	2 of 2 - Sale ²⁵
02/12/21	129.000	105,261.65	Various	104,477.10	...	784.55	Total of 2 transactions
02/18/21	15.000	11,917.23	02/16/21	12,311.55	394.32 W	0.00	Sale ²⁵
26 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	3.000	2,280.99	02/16/21	2,825.77	...	-544.78	1 of 26 - Sale ²⁵
	2.000	1,520.66	02/16/21	1,830.99	...	-310.33	2 of 26 - Sale ²⁵
	2.000	1,520.67	02/16/21	1,830.99	...	-310.32	3 of 26 - Sale ²⁵
	2.000	1,520.66	02/16/21	1,762.69	...	-242.03	4 of 26 - Sale ²⁵

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Robinhood Securities LLC

Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	760.33	02/16/21	941.93	...	-181.60	5 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	941.93	...	-181.60	6 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	941.93	...	-181.60	7 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	941.93	...	-181.60	8 of 26 - Sale ²⁵
	2.000	1,520.66	02/16/21	1,694.35	...	-173.69	9 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	915.50	...	-155.17	10 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	915.50	...	-155.17	11 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	915.50	...	-155.17	12 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	915.50	...	-155.17	13 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	915.49	...	-155.16	14 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	915.49	...	-155.16	15 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	915.49	...	-155.16	16 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	915.49	...	-155.16	17 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	881.35	...	-121.02	18 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	881.35	...	-121.02	19 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	881.34	...	-121.01	20 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	881.34	...	-121.01	21 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	847.18	...	-86.85	22 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	847.18	...	-86.85	23 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	847.18	...	-86.85	24 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	847.18	...	-86.85	25 of 26 - Sale ²⁵
	1.000	760.33	02/16/21	847.18	...	-86.85	26 of 26 - Sale ²⁵
02/22/21	32.000	24,330.57	Various	28,797.75	...	-4,467.18	Total of 26 transactions
	18 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	8.000	6,082.67	02/16/21	6,777.42	607.91 W	-86.84	1 of 18 - Sale ²⁵
	8.000	6,082.66	02/16/21	6,566.16	483.50 W	0.00	2 of 18 - Sale ²⁵
	1.000	760.33	02/16/21	820.77	60.44 W	0.00	3 of 18 - Sale ²⁵
	4.000	3,041.33	02/16/21	3,283.08	241.75 W	0.00	4 of 18 - Sale ²⁵
	1.000	760.33	02/16/21	820.77	60.44 W	0.00	5 of 18 - Sale ²⁵
	1.000	760.33	02/16/21	881.33	121.00 W	0.00	6 of 18 - Sale ²⁵
	1.000	760.33	02/16/21	881.33	121.00 W	0.00	7 of 18 - Sale ²⁵
	1.000	760.33	02/16/21	881.33	121.00 W	0.00	8 of 18 - Sale ²⁵
	2.000	1,520.67	02/16/21	1,762.66	241.99 W	0.00	9 of 18 - Sale ²⁵
	2.000	1,520.67	02/16/21	1,762.65	241.98 W	0.00	10 of 18 - Sale ²⁵

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Robinhood Securities LLC

Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	4.000	3,041.33	02/16/21	3,525.31	483.98 W	0.00	11 of 18 - Sale ²⁵
	1.000	760.33	02/16/21	881.32	120.99 W	0.00	12 of 18 - Sale ²⁵
	1.000	760.33	02/16/21	820.77	60.44 W	0.00	13 of 18 - Sale ²⁵
	2.000	1,520.66	02/16/21	1,641.54	120.88 W	0.00	14 of 18 - Sale ²⁵
	2.000	1,520.67	02/16/21	1,641.54	120.87 W	0.00	15 of 18 - Sale ²⁵
	5.000	3,801.66	02/16/21	4,406.64	604.98 W	0.00	16 of 18 - Sale ²⁵
	1.000	760.33	02/16/21	881.33	121.00 W	0.00	17 of 18 - Sale ²⁵
	1.000	760.33	02/16/21	881.33	121.00 W	0.00	18 of 18 - Sale ²⁵
02/22/21	46.000	34,975.29	Various	39,117.28	4,055.15 W	-86.84	Total of 18 transactions
	Security total:	176,484.74		184,703.68	4,449.47 W	-3,769.47	
THE9 LIMITED AMERICAN DEPOSITO RY SHARES / CUSIP: 88337K302 / Symbol:							
3 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	4.000	43.76	01/19/21	38.80	...	4.96	1 of 3 - Sale ²⁵
	96.000	1,050.20	01/19/21	931.20	...	119.00	2 of 3 - Sale ²⁵
	100.000	1,093.96	01/19/21	970.00	...	123.96	3 of 3 - Sale ²⁵
01/22/21	200.000	2,187.92	Various	1,940.00	...	247.92	Total of 3 transactions
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	50.000	873.98	01/27/21	583.50	...	290.48	1 of 2 - Sale ²⁵
	100.000	1,747.95	01/27/21	1,167.00	...	580.95	2 of 2 - Sale ²⁵
01/28/21	150.000	2,621.93	Various	1,750.50	...	871.43	Total of 2 transactions
	Security total:	4,809.85		3,690.50	...	1,119.35	
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
9 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	4.26	02/12/21	3.52	...	0.74	1 of 9 - Sale ²⁵
	147.000	635.00	02/12/21	517.44	...	117.56	2 of 9 - Sale ²⁵
	500.000	2,159.89	02/12/21	1,760.00	...	399.89	3 of 9 - Sale ²⁵
	602.000	2,600.51	02/12/21	2,119.04	...	481.47	4 of 9 - Sale ²⁵
	460.000	1,959.50	02/16/21	1,961.90	...	-2.40	5 of 9 - Sale ²⁵
	1.000	4.34	02/16/21	4.27	...	0.07	6 of 9 - Sale ²⁵
	28.000	121.52	02/16/21	120.69	...	0.83	7 of 9 - Sale ²⁵
	151.000	655.30	02/16/21	650.84	...	4.46	8 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 463777532

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol: (cont'd)							
	200.000	867.96	02/16/21	862.04	...	5.92	9 of 9 - Sale ²⁵
02/16/21	2,090.000	9,008.28	Various	7,999.74	...	1,008.54	Total of 9 transactions
02/16/21	788.000	3,356.71	02/16/21	3,360.82	1.98 W	-2.13	Sale ²⁵
02/26/21	1.000	2.18	02/16/21	4.31	...	-2.13	Sale ²⁵
	Security total:	12,367.17		11,364.87	1.98 W	1,004.28	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	55.000	521.37	08/18/20	521.40	...	-0.03	1 of 2 - Sale ²⁵
	95.000	900.57	08/18/20	900.60	...	-0.03	2 of 2 - Sale ²⁵
01/25/21	150.000	1,421.94	Various	1,422.00	...	-0.06	Total of 2 transactions
OBSEVA SA ORDINARY SHARES / CUSIP: H5861P103 / Symbol:							
4 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	100.000	272.99	01/14/21	273.00	...	-0.01	1 of 4 - Sale ²⁵
	67.000	182.90	01/14/21	182.91	...	-0.01	2 of 4 - Sale ²⁵
	103.000	281.18	01/14/21	281.19	...	-0.01	3 of 4 - Sale ²⁵
	30.000	81.90	01/14/21	81.90	...	0.00	4 of 4 - Sale ²⁵
01/14/21	300.000	818.97	Various	819.00	...	-0.03	Total of 4 transactions
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	20.000	21.00	01/27/21	16.20	...	4.80	1 of 2 - Sale ²⁵
	480.000	503.92	01/27/21	388.85	...	115.07	2 of 2 - Sale ²⁵
02/23/21	500.000	524.92	Various	405.05	...	119.87	Total of 2 transactions
Totals :		594,397.08		606,516.89	28,975.54 W	16,855.73	

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Robinhood Securities LLC

Account 463777532

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
GILEAD SCIENCES, INC. COMMON S TOCK	375558103		03/30/21	14.20	Qualified dividend	
			06/29/21	14.20	Qualified dividend	
			09/29/21	14.20	Qualified dividend	
			12/30/21	14.20	Qualified dividend	
				56.80	Total Dividends & distributions	
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103		05/28/21	0.01	Qualified dividend	
			08/30/21	0.01	Qualified dividend	
				0.02	Total Dividends & distributions	
				56.82	Total Dividends & distributions	

Robinhood Securities LLC

Account 463777532

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/26/21	1.04	Interest	
		01/29/21	0.15	Interest	
			1.19	Total Interest	
			1.19	Total Interest	

Robinhood Securities LLC

Account 463777532

Detail for Miscellaneous Information

2021

02/11/2022

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103	02/26/21	0.01	Substitute payment	
		11/29/21	0.02	Substitute payment	
			0.03	Total Miscellaneous income	
			0.03	Total Miscellaneous income	

Robinhood Securities LLC

Account 463777532

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/04/21	-5.00	Margin interest paid	
		01/04/21	-11.71	Margin interest paid	
		02/01/21	-3.79	Margin interest paid	
		02/01/21	-5.00	Margin interest paid	
		03/03/21	-5.00	Margin interest paid	
		03/03/21	-36.52	Margin interest paid	
		04/05/21	-5.00	Margin interest paid	
		04/05/21	-20.77	Margin interest paid	
		05/03/21	-5.00	Margin interest paid	
		05/03/21	-18.00	Margin interest paid	
		06/01/21	-5.00	Margin interest paid	
		06/01/21	-15.09	Margin interest paid	
		07/01/21	-5.00	Margin interest paid	
		07/01/21	-14.78	Margin interest paid	
		08/02/21	-5.00	Margin interest paid	
		08/02/21	-22.04	Margin interest paid	
			-182.70	Total Margin interest paid	
Margin charge		08/30/21	-5.00	Margin interest paid	
		08/30/21	-20.93	Margin interest paid	
		09/29/21	-5.00	Margin interest paid	
		09/29/21	-18.67	Margin interest paid	
		10/29/21	-5.00	Margin interest paid	
		10/29/21	-18.62	Margin interest paid	
		11/29/21	-5.00	Margin interest paid	
		11/29/21	-31.10	Margin interest paid	
		12/28/21	-5.00	Margin interest paid	
		12/28/21	-44.27	Margin interest paid	
			-158.59	Total Margin interest paid	
			-341.29	Total Margin interest paid	

Robinhood Securities LLC

Account 463777532

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ARCHER AVIATION INC.	03945R102	09/17/21	112.69	0.00	Exchange	
ATLAS CREST INVESTMENT CORP.	049284102	09/17/21	-112.69	0.00	Exchange	
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-725.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	725.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Instructions for Recipient

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms

1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

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1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment

Instructions for Recipient

trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

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1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments