

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 494154131	Statement Date: 02/11/2022 Document ID: QC1N B8M N63M	2021
	Venkata lakshmi Gadipudi 3849 Baybrook Dr Aurora, IL 60504 RECIPIENT'S TIN: XXX-XX-8196		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 18.60</p> <p>1b- Qualified dividends 18.60</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	172,213.34	160,699.92	0.00	5,187.58	16,701.00
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	172,213.34	160,699.92	0.00	5,187.58	16,701.00
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	172,213.34	160,699.92	0.00	5,187.58	16,701.00
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.44
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	345.41
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	1.000	99.98	01/05/21	85.00	...	14.98	1 of 2 - Option sale to close-call ²⁵
	1.000	99.98	01/05/21	85.00	...	14.98	2 of 2 - Option sale to close-call ²⁵
01/07/21	2.000	199.96	Various	170.00	...	29.96	Total of 2 transactions
AAPL 01/20/2023 CALL \$100.00 / CUSIP: / Symbol:							
04/29/21	1.000	4,104.96	02/18/21	4,055.00	...	49.96	Option sale to close-call ²⁵
AAPL 01/20/2023 CALL \$150.00 / CUSIP: / Symbol:							
07/14/21	1.000	2,154.97	05/12/21	998.00	...	1,156.97	Option sale to close-call ²⁵
AAPL 01/20/2023 CALL \$200.00 / CUSIP: / Symbol:							
07/14/21	1.000	764.98	05/12/21	335.00	...	429.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/22/2021 CALL \$130.00 / CUSIP: / Symbol:							
01/13/21	1.000	255.98	01/12/21	215.00	...	40.98	Option sale to close-call ²⁵
AAPL 03/17/2023 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 07/14/21. Total proceeds and cost reported to the IRS.							
	1.000	869.98	02/23/21	890.00	...	-20.02	1 of 2 - Option sale to close-call ²⁵
	1.000	869.98	06/07/21	385.00	...	484.98	2 of 2 - Option sale to close-call ²⁵
07/14/21	2.000	1,739.96	Various	1,275.00	...	464.96	Total of 2 transactions
AAPL 03/19/2021 CALL \$150.00 / CUSIP: / Symbol:							
01/20/21	1.000	269.98	01/06/21	269.00	...	0.98	Option sale to close-call ²⁵
AAPL 03/26/2021 CALL \$160.00 / CUSIP: / Symbol:							
3 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	02/05/21	222.00	...	-222.00	1 of 3 - Option expiration- long call
	2.000	0.00	02/17/21	72.00	...	-72.00	2 of 3 - Option expiration- long call
	10.000	0.00	03/03/21	40.00	...	-40.00	3 of 3 - Option expiration- long call
03/26/21	14.000	0.00	Various	334.00	...	-334.00	Total of 3 transactions
ABBV 02/19/2021 CALL \$110.00 / CUSIP: / Symbol:							
01/12/21	1.000	351.98	01/05/21	176.00	...	175.98	Option sale to close-call ²⁵
ABNB 01/15/2021 CALL \$155.00 / CUSIP: / Symbol:							
01/12/21	1.000	429.98	01/12/21	240.00	...	189.98	Option sale to close-call ²⁵
ABNB 01/29/2021 CALL \$200.00 / CUSIP: / Symbol:							
01/25/21	1.000	369.98	01/22/21	285.00	...	84.98	Option sale to close-call ²⁵
ACB 01/20/2023 CALL \$25.00 / CUSIP: / Symbol:							
02/09/21	1.000	659.97	01/29/21	323.00	...	336.97	Option sale to close-call ²⁵
ACEV 05/21/2021 CALL \$15.00 / CUSIP: / Symbol:							
05/21/21	2.000	0.00	02/04/21	280.00	...	-280.00	Option expiration- long call
ADBE 03/19/2021 CALL \$550.00 / CUSIP: / Symbol:							
02/16/21	1.000	359.98	02/08/21	243.00	...	116.98	Option sale to close-call ²⁵

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2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAT 02/26/2021 CALL \$125.00 / CUSIP: / Symbol:							
02/19/21	1.000	199.98	02/16/21	266.00	...	-66.02	Option sale to close-call ²⁵
AMC 01/21/2022 CALL \$3.00 / CUSIP: / Symbol:							
01/20/21	2.000	353.98	01/19/21	354.00	...	-0.02	Option sale to close-call ²⁵
01/25/21	1.000	297.98	01/19/21	177.00	...	120.98	Option sale to close-call ²⁵
	Security total:	651.96		531.00	...	120.96	
AMD 01/15/2021 CALL \$93.50 / CUSIP: / Symbol:							
01/14/21	1.000	49.98	01/13/21	108.00	...	-58.02	Option sale to close-call ²⁵
AMD 02/19/2021 CALL \$115.00 / CUSIP: / Symbol:							
02/02/21	1.000	16.98	01/27/21	175.02	...	-158.04	Option sale to close-call ²⁵
02/02/21	1.000	16.98	01/26/21	161.00	144.02 W	0.00	Option sale to close-call ²⁵
	Security total:	33.96		336.02	144.02 W	-158.04	
AMD 03/19/2021 CALL \$100.00 / CUSIP: / Symbol:							
01/07/21	1.000	699.97	01/06/21	530.00	...	169.97	Option sale to close-call ²⁵
AMZN 03/19/2021 CALL \$3,400.00 / CUSIP: / Symbol:							
01/20/21	1.000	12,417.71	01/06/21	11,380.00	...	1,037.71	Option sale to close-call ²⁵
AMZN 03/19/2021 CALL \$3,410.00 / CUSIP: / Symbol:							
01/21/21	1.000	-993.26	01/20/21	0.00	...	-993.26	Short sale closed- call ²⁵ Option written: 01/06/21
AMZN 03/26/2021 CALL \$3,250.00 / CUSIP: / Symbol:							
03/23/21	1.000	749.98	03/18/21	710.00	...	39.98	Option sale to close-call ²⁵
AMZN 04/16/2021 CALL \$3,295.00 / CUSIP: / Symbol:							
04/07/21	2.000	8,579.94	02/25/21	13,880.00	...	-5,300.06	Option sale to close-call ²⁵
AMZN 04/16/2021 CALL \$3,300.00 / CUSIP: / Symbol:							
04/08/21	2.000	5,499.92	04/07/21	0.00	...	5,499.92	Short sale closed- call ²⁵ Option written: 02/25/21
AMZN 05/14/2021 CALL \$3,300.00 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	05/12/21	165.00	...	-165.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 05/21/2021 CALL \$3,400.00 / CUSIP: / Symbol:							
05/18/21	1.000	734.98	05/17/21	395.00	...	339.98	Option sale to close-call ²⁵
BA 02/12/2021 CALL \$215.00 / CUSIP: / Symbol:							
02/04/21	1.000	389.98	02/04/21	287.00	...	102.98	Option sale to close-call ²⁵
BA 02/19/2021 CALL \$260.00 / CUSIP: / Symbol:							
01/27/21	1.000	38.98	01/15/21	132.00	...	-93.02	Option sale to close-call ²⁵
BA 03/19/2021 CALL \$265.00 / CUSIP: / Symbol:							
01/14/21	1.000	334.98	01/13/21	268.00	...	66.98	Option sale to close-call ²⁵
BA 05/21/2021 CALL \$300.00 / CUSIP: / Symbol:							
02/22/21	1.000	304.98	01/21/21	282.00	...	22.98	Option sale to close-call ²⁵
BIGC 03/19/2021 CALL \$100.00 / CUSIP: / Symbol:							
02/25/21	1.000	46.98	02/24/21	100.02	...	-53.04	Option sale to close-call ²⁵
02/25/21	1.000	45.98	02/24/21	73.00	27.02 W	0.00	Option sale to close-call ²⁵
	Security total:	92.96		173.02	27.02 W	-53.04	
CAT 02/19/2021 CALL \$210.00 / CUSIP: / Symbol:							
	2 transactions for 01/20/21. Total proceeds and cost reported to the IRS.						
	1.000	146.99	01/13/21	420.00	...	-273.01	1 of 2 - Option sale to close-call ²⁵
	1.000	146.99	01/15/21	208.00	...	-61.01	2 of 2 - Option sale to close-call ²⁵
01/20/21	2.000	293.98	Various	628.00	...	-334.02	Total of 2 transactions
CCL 02/05/2021 CALL \$19.50 / CUSIP: / Symbol:							
	2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	1.000	73.98	01/26/21	70.00	...	3.98	1 of 2 - Option sale to close-call ²⁵
	1.000	73.98	01/26/21	70.00	...	3.98	2 of 2 - Option sale to close-call ²⁵
01/27/21	2.000	147.96	Various	140.00	...	7.96	Total of 2 transactions
CHWY 01/15/2021 CALL \$98.00 / CUSIP: / Symbol:							
01/07/21	1.000	369.98	01/07/21	345.00	...	24.98	Option sale to close-call ²⁵
CLOV 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
01/26/21	1.000	239.98	01/26/21	190.00	...	49.98	Option sale to close-call ²⁵

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2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 12/17/2021 CALL \$10.00 / CUSIP: / Symbol:							
04/29/21	1.000	359.98	03/02/21	280.00	...	79.98	Option sale to close-call ²⁵
CLOV 12/17/2021 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	2.000	329.99	03/03/21	216.00	...	113.99	1 of 2 - Option sale to close-call ²⁵
	2.000	329.98	03/16/21	200.00	...	129.98	2 of 2 - Option sale to close-call ²⁵
04/29/21	4.000	659.97	Various	416.00	...	243.97	Total of 2 transactions
3 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	1.000	164.98	02/09/21	500.00	335.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	165.00	02/23/21	215.00	50.00 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	164.99	02/24/21	209.00	44.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
04/29/21	3.000	494.97	Various	924.00	429.03 W	0.00	Total of 3 transactions
5 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	3.000	299.99	03/16/21	300.00	...	-0.01	1 of 5 - Option sale to close-call ²⁵
	1.000	100.00	05/14/21	400.02	...	-300.02	2 of 5 - Option sale to close-call ²⁵
	1.000	100.00	05/14/21	115.00	...	-15.00	3 of 5 - Option sale to close-call ²⁵
	1.000	100.00	05/14/21	109.01	...	-9.01	4 of 5 - Option sale to close-call ²⁵
	4.000	399.98	05/18/21	200.00	...	199.98	5 of 5 - Option sale to close-call ²⁵
06/03/21	10.000	999.97	Various	1,124.03	...	-124.06	Total of 5 transactions
	Security total:	2,154.91		2,464.03	429.03 W	119.91	
CLVS 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
03/19/21	2.000	479.98	02/01/21	494.00	14.02 W	0.00	Option sale to close-call ²⁵
COST 02/19/2021 CALL \$375.00 / CUSIP: / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	100.99	01/26/21	375.00	...	-274.01	1 of 2 - Option sale to close-call ²⁵
	1.000	100.99	02/01/21	154.00	...	-53.01	2 of 2 - Option sale to close-call ²⁵
02/02/21	2.000	201.98	Various	529.00	...	-327.02	Total of 2 transactions
CRM 02/19/2021 CALL \$250.00 / CUSIP: / Symbol:							
01/29/21	1.000	169.98	01/05/21	210.00	...	-40.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 494154131

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CSIQ 01/29/2021 CALL \$59.00 / CUSIP: / Symbol:							
01/20/21	1.000	139.98	01/20/21	170.00	...	-30.02	Option sale to close-call ²⁵
DBX 01/21/2022 CALL \$25.00 / CUSIP: / Symbol:							
01/27/21	1.000	429.98	01/08/21	382.00	...	47.98	Option sale to close-call ²⁵
02/12/21	1.000	564.97	01/08/21	382.00	...	182.97	Option sale to close-call ²⁵
	Security total:	994.95		764.00	...	230.95	
DBX 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
01/28/21	1.000	-42.01	01/27/21	0.00	...	-42.01	Short sale closed- call ²⁵ Option written: 01/08/21
01/29/21	1.000	-123.02	01/28/21	0.00	...	-123.02	Short sale closed- call ²⁵ Option written: 01/08/21
	Security total:	-165.03		0.00	...	-165.03	
DDOG 04/16/2021 CALL \$115.00 / CUSIP: / Symbol:							
01/08/21	1.000	634.97	01/06/21	430.00	...	204.97	Option sale to close-call ²⁵
DIS 04/16/2021 CALL \$220.00 / CUSIP: / Symbol:							
	2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	1.000	177.98	02/18/21	149.00	...	28.98	1 of 2 - Option sale to close-call ²⁵
	1.000	177.98	02/18/21	149.00	...	28.98	2 of 2 - Option sale to close-call ²⁵
02/22/21	2.000	355.96	Various	298.00	...	57.96	Total of 2 transactions
ERIC 05/21/2021 CALL \$15.00 / CUSIP: / Symbol:							
	2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	1.000	89.99	01/26/21	41.00	...	48.99	1 of 2 - Option sale to close-call ²⁵
	1.000	89.99	01/26/21	41.00	...	48.99	2 of 2 - Option sale to close-call ²⁵
01/27/21	2.000	179.98	Various	82.00	...	97.98	Total of 2 transactions
ETSY 01/15/2021 CALL \$180.00 / CUSIP: / Symbol:							
01/11/21	1.000	374.98	01/08/21	350.00	...	24.98	Option sale to close-call ²⁵
ETSY 01/22/2021 CALL \$215.00 / CUSIP: / Symbol:							
01/14/21	1.000	994.96	01/14/21	620.00	...	374.96	Option sale to close-call ²⁵

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EXPR 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
01/25/21	2.000	319.98	01/22/21	100.00	...	219.98	Option sale to close-call ²⁵
EXTR 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
	<i>3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	124.99	02/01/21	70.00	...	54.99	1 of 3 - Option sale to close-call ²⁵
	1.000	124.99	02/01/21	70.00	...	54.99	2 of 3 - Option sale to close-call ²⁵
	1.000	134.98	02/01/21	70.00	...	64.98	3 of 3 - Option sale to close-call ²⁵
02/09/21	3.000	384.96	Various	210.00	...	174.96	Total of 3 transactions
F 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
06/02/21	1.000	514.98	02/02/21	279.00	...	235.98	Option sale to close-call ²⁵
F 04/16/2021 CALL \$12.00 / CUSIP: / Symbol:							
02/24/21	2.000	219.98	02/22/21	168.00	...	51.98	Option sale to close-call ²⁵
FB 01/15/2021 CALL \$260.00 / CUSIP: / Symbol:							
01/12/21	1.000	222.98	01/11/21	595.00	...	-372.02	Option sale to close-call ²⁵
FB 01/15/2021 CALL \$262.50 / CUSIP: / Symbol:							
01/13/21	1.000	297.97	01/12/21	0.00	...	297.97	Short sale closed- call ²⁵ Option written: 01/11/21
FSLR 01/15/2021 CALL \$110.00 / CUSIP: / Symbol:							
01/08/21	1.000	96.98	01/07/21	254.00	...	-157.02	Option sale to close-call ²⁵
FSLY 02/19/2021 CALL \$115.00 / CUSIP: / Symbol:							
02/18/21	1.000	0.98	02/17/21	127.00	...	-126.02	Option sale to close-call ²⁵
FSLY 03/19/2021 CALL \$125.00 / CUSIP: / Symbol:							
01/08/21	1.000	364.98	01/06/21	246.00	...	118.98	Option sale to close-call ²⁵
FSLY 06/18/2021 CALL \$180.00 / CUSIP: / Symbol:							
01/21/21	1.000	399.98	01/15/21	276.00	...	123.98	Option sale to close-call ²⁵
FSR 01/15/2021 CALL \$16.00 / CUSIP: / Symbol:							
01/08/21	1.000	35.98	01/08/21	65.00	...	-29.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSR 03/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	1.000	151.98	02/03/21	43.00	...	108.98	1 of 2 - Option sale to close-call ²⁵
	1.000	152.98	02/03/21	43.00	...	109.98	2 of 2 - Option sale to close-call ²⁵
02/12/21	2.000	304.96	Various	86.00	...	218.96	Total of 2 transactions
FSR 05/21/2021 CALL \$22.50 / CUSIP: / Symbol:							
02/12/21	1.000	349.98	01/26/21	169.00	...	180.98	Option sale to close-call ²⁵
FTEK 03/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/19/21	2.000	0.00	02/03/21	312.00	...	-312.00	Option expiration- long call
FUBO 02/12/2021 CALL \$41.00 / CUSIP: / Symbol:							
02/08/21	1.000	567.97	02/08/21	593.00	...	-25.03	Option sale to close-call ²⁵
FUBO 02/12/2021 CALL \$44.00 / CUSIP: / Symbol:							
02/09/21	1.000	14.98	02/08/21	0.00	...	14.98	Short sale closed- call ²⁵ Option written: 02/08/21
FUBO 02/12/2021 CALL \$55.00 / CUSIP: / Symbol:							
02/09/21	1.000	34.98	02/08/21	710.06	...	-675.08	Option sale to close-call ²⁵
3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	34.98	02/04/21	505.00	470.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	34.98	02/05/21	660.02	625.04 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	34.98	02/08/21	685.04	650.06 W	0.00	3 of 3 - Option sale to close-call ²⁵
02/09/21	3.000	104.94	Various	1,850.06	1,745.12 W	0.00	Total of 3 transactions
	Security total:	139.92		2,560.12	1,745.12 W	-675.08	
FUBO 03/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	1.000	149.99	02/22/21	231.00	...	-81.01	1 of 2 - Option sale to close-call ²⁵
	1.000	149.99	02/22/21	231.00	...	-81.01	2 of 2 - Option sale to close-call ²⁵
03/02/21	2.000	299.98	Various	462.00	...	-162.02	Total of 2 transactions

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 04/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
3 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/22/21	425.00	...	-425.00	1 of 3 - Option expiration- long call
	1.000	0.00	03/09/21	58.00	...	-58.00	2 of 3 - Option expiration- long call
	1.000	0.00	03/09/21	57.00	...	-57.00	3 of 3 - Option expiration- long call
04/16/21	3.000	0.00	Various	540.00	...	-540.00	Total of 3 transactions
GE 03/19/2021 CALL \$13.00 / CUSIP: / Symbol:							
01/28/21	4.000	131.98	01/27/21	100.00	...	31.98	Option sale to close-call ²⁵
GE 06/18/2021 CALL \$13.00 / CUSIP: / Symbol:							
02/22/21	2.000	209.98	02/19/21	156.00	...	53.98	Option sale to close-call ²⁵
GM 03/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/19/21	1.000	249.98	01/13/21	122.00	...	127.98	Option sale to close-call ²⁵
GME 03/19/2021 PUT \$35.00 / CUSIP: / Symbol:							
01/25/21	1.000	609.97	01/22/21	560.00	...	49.97	Option sale to close-put ²⁵
GME 07/16/2021 PUT \$20.00 / CUSIP: / Symbol:							
4 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/27/21	720.00	...	-720.00	1 of 4 - Option expiration- long put
	1.000	0.00	02/04/21	480.00	...	-480.00	2 of 4 - Option expiration- long put
	1.000	0.00	03/10/21	193.00	...	-193.00	3 of 4 - Option expiration- long put
	1.000	0.00	03/10/21	191.00	...	-191.00	4 of 4 - Option expiration- long put
07/16/21	4.000	0.00	Various	1,584.00	...	-1,584.00	Total of 4 transactions
GME 11/19/2021 PUT \$5.00 / CUSIP: / Symbol:							
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	01/27/21	338.00	...	-338.00	1 of 2 - Option expiration- long put
	1.000	0.00	02/04/21	67.00	...	-67.00	2 of 2 - Option expiration- long put
11/19/21	3.000	0.00	Various	405.00	...	-405.00	Total of 2 transactions

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GOGO 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	1.000	399.99	01/26/21	450.00	...	-50.01	1 of 2 - Option sale to close-call ²⁵
	1.000	399.99	03/05/21	265.00	...	134.99	2 of 2 - Option sale to close-call ²⁵
09/28/21	2.000	799.98	Various	715.00	...	84.98	Total of 2 transactions
GOGO 02/19/2021 CALL \$17.00 / CUSIP: / Symbol:							
02/19/21	1.000	9.98	02/19/21	0.00	...	9.98	Short sale closed- call expired Option written: 02/17/21
GS 01/22/2021 CALL \$310.00 / CUSIP: / Symbol:							
01/20/21	1.000	31.98	01/19/21	114.00	...	-82.02	Option sale to close-call ²⁵
GS 01/29/2021 CALL \$290.00 / CUSIP: / Symbol:							
01/26/21	1.000	219.98	01/25/21	162.00	...	57.98	Option sale to close-call ²⁵
GSAT 07/16/2021 CALL \$4.00 / CUSIP: / Symbol:							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	2.000	169.98	02/09/21	70.00	...	99.98	1 of 2 - Option sale to close-call ²⁵
	5.000	399.98	02/09/21	175.00	...	224.98	2 of 2 - Option sale to close-call ²⁵
02/10/21	7.000	569.96	Various	245.00	...	324.96	Total of 2 transactions
07/16/21	3.000	0.00	02/09/21	105.00	...	-105.00	Option expiration- long call
	Security total:	569.96		350.00	...	219.96	
INO 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
01/27/21	1.000	209.98	01/26/21	157.00	...	52.98	Option sale to close-call ²⁵
INTC 03/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
01/28/21	1.000	79.98	01/25/21	39.00	...	40.98	Option sale to close-call ²⁵
02/09/21	1.000	58.98	01/25/21	39.00	...	19.98	Option sale to close-call ²⁵
	Security total:	138.96		78.00	...	60.96	
JBLU 02/19/2021 CALL \$16.00 / CUSIP: / Symbol:							
01/14/21	2.000	205.98	01/13/21	158.00	...	47.98	Option sale to close-call ²⁵

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JNJ 03/19/2021 CALL \$180.00 / CUSIP: / Symbol:							
01/07/21	1.000	129.98	12/03/20	65.00	...	64.98	Option sale to close-call ²⁵
01/20/21	1.000	131.98	12/03/20	65.00	...	66.98	Option sale to close-call ²⁵
	Security total:	261.96		130.00	...	131.96	
LAZR 07/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
02/12/21	1.000	779.97	02/09/21	580.00	...	199.97	Option sale to close-call ²⁵
LCID 01/20/2023 CALL \$25.00 / CUSIP: / Symbol:							
10/28/21	1.000	1,504.98	08/05/21	725.00	...	779.98	Option sale to close-call ²⁵
11/05/21	1.000	2,079.97	08/05/21	725.00	...	1,354.97	Option sale to close-call ²⁵
	Security total:	3,584.95		1,450.00	...	2,134.95	
LI 06/18/2021 CALL \$33.00 / CUSIP: / Symbol:							
01/12/21	1.000	934.96	01/11/21	765.00	...	169.96	Option sale to close-call ²⁵
LI 06/18/2021 CALL \$35.00 / CUSIP: / Symbol:							
06/07/21	3.000	35.98	06/03/21	24.00	...	11.98	Option sale to close-call ²⁵
	2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.						
	1.000	12.00	02/05/21	475.00	463.00 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	12.00	03/18/21	151.00	139.00 W	0.00	2 of 2 - Option sale to close-call ²⁵
06/07/21	2.000	24.00	Various	626.00	602.00 W	0.00	Total of 2 transactions
	3 transactions for 06/18/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	06/03/21	471.00	...	-471.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/03/21	147.00	...	-147.00	2 of 3 - Option expiration- long call
	5.000	0.00	06/03/21	40.00	...	-40.00	3 of 3 - Option expiration- long call
06/18/21	7.000	0.00	Various	658.00	...	-658.00	Total of 3 transactions
	Security total:	59.98		1,308.00	602.00 W	-646.02	
LMND 03/19/2021 CALL \$150.00 / CUSIP: / Symbol:							
02/10/21	1.000	2,599.93	02/08/21	1,612.00	...	987.93	Option sale to close-call ²⁵
LMND 03/19/2021 CALL \$160.00 / CUSIP: / Symbol:							
02/11/21	1.000	-878.04	02/10/21	0.00	...	-878.04	Short sale closed- call ²⁵ Option written: 02/08/21

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Robinhood Securities LLC

Account 494154131

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MNST 02/19/2021 CALL \$90.00 / CUSIP: / Symbol:							
01/27/21	1.000	109.98	01/26/21	205.00	...	-95.02	Option sale to close-call ²⁵
MOMO 01/15/2021 CALL \$16.00 / CUSIP: / Symbol:							
2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	1.000	42.98	01/12/21	37.00	...	5.98	1 of 2 - Option sale to close-call ²⁵
	2.000	99.98	01/12/21	74.00	...	25.98	2 of 2 - Option sale to close-call ²⁵
01/13/21	3.000	142.96	Various	111.00	...	31.96	Total of 2 transactions
MRK 02/19/2021 CALL \$85.00 / CUSIP: / Symbol:							
3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	3.000	17.98	02/03/21	54.00	...	-36.02	1 of 3 - Option sale to close-call ²⁵
	1.000	6.00	02/03/21	18.00	...	-12.00	2 of 3 - Option sale to close-call ²⁵
	1.000	6.00	02/03/21	18.00	...	-12.00	3 of 3 - Option sale to close-call ²⁵
02/04/21	5.000	29.98	Various	90.00	...	-60.02	Total of 3 transactions
MRK 04/16/2021 CALL \$85.00 / CUSIP: / Symbol:							
04/16/21	2.000	0.00	02/17/21	94.00	...	-94.00	Option expiration- long call
MRNA 01/15/2021 CALL \$125.00 / CUSIP: / Symbol:							
01/07/21	1.000	449.98	01/06/21	241.00	...	208.98	Option sale to close-call ²⁵
MRNA 04/16/2021 CALL \$200.00 / CUSIP: / Symbol:							
01/13/21	1.000	369.98	12/23/20	875.00	505.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	1.000	359.98	12/30/20	855.02	495.04 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	399.98	01/07/21	805.04	405.06 W	0.00	2 of 2 - Option sale to close-call ²⁵
01/14/21	2.000	759.96	Various	1,660.06	900.10 W	0.00	Total of 2 transactions
01/25/21	1.000	549.97	01/12/21	668.06	...	-118.09	Option sale to close-call ²⁵
	Security total:	1,679.91		3,203.12	1,405.12 W	-118.09	
MSFT 01/29/2021 CALL \$240.00 / CUSIP: / Symbol:							
01/27/21	1.000	269.98	01/26/21	300.00	...	-30.02	Option sale to close-call ²⁵
MSFT 02/05/2021 CALL \$245.00 / CUSIP: / Symbol:							
02/01/21	1.000	49.98	01/28/21	298.00	...	-248.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 494154131

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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MSFT 04/16/2021 CALL \$245.00 / CUSIP: / Symbol:							
01/20/21	1.000	474.97	01/12/21	340.00	...	134.97	Option sale to close-call ²⁵
MSFT 04/16/2021 CALL \$250.00 / CUSIP: / Symbol:							
01/20/21	1.000	324.98	01/15/21	229.00	...	95.98	Option sale to close-call ²⁵
MUX 02/19/2021 CALL \$1.00 / CUSIP: / Symbol:							
		3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.					
	1.000	43.00	01/28/21	40.00	...	3.00	1 of 3 - Option sale to close-call ²⁵
	2.000	85.99	01/28/21	80.00	...	5.99	2 of 3 - Option sale to close-call ²⁵
	2.000	85.99	01/28/21	80.00	...	5.99	3 of 3 - Option sale to close-call ²⁵
01/29/21	5.000	214.98	Various	200.00	...	14.98	Total of 3 transactions
NAK 03/19/2021 CALL \$1.00 / CUSIP: / Symbol:							
		2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.					
	1.000	42.98	02/01/21	37.00	...	5.98	1 of 2 - Option sale to close-call ²⁵
	2.000	93.98	02/01/21	74.00	...	19.98	2 of 2 - Option sale to close-call ²⁵
02/11/21	3.000	136.96	Various	111.00	...	25.96	Total of 2 transactions
NCLH 03/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
02/22/21	1.000	196.98	01/19/21	165.00	...	31.98	Option sale to close-call ²⁵
NCLH 05/21/2021 CALL \$35.00 / CUSIP: / Symbol:							
02/22/21	2.000	537.97	02/05/21	338.00	...	199.97	Option sale to close-call ²⁵
NET 03/19/2021 CALL \$115.00 / CUSIP: / Symbol:							
02/08/21	1.000	220.98	01/29/21	139.00	...	81.98	Option sale to close-call ²⁵
02/09/21	1.000	287.98	01/29/21	139.00	...	148.98	Option sale to close-call ²⁵
	Security total:	508.96		278.00	...	230.96	
NFLX 02/12/2021 CALL \$570.00 / CUSIP: / Symbol:							
02/09/21	1.000	424.98	02/08/21	464.02	...	-39.04	Option sale to close-call ²⁵
02/09/21	1.000	324.98	02/04/21	660.00	335.02 W	0.00	Option sale to close-call ²⁵
	Security total:	749.96		1,124.02	335.02 W	-39.04	

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Account 494154131

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NFLX 06/18/2021 CALL \$570.00 / CUSIP: / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/26/21	4,098.00	...	-4,098.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/21/21	555.00	...	-555.00	2 of 2 - Option expiration- long call
06/18/21	2.000	0.00	Various	4,653.00	...	-4,653.00	Total of 2 transactions
NFLX 06/18/2021 CALL \$600.00 / CUSIP: / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	1.000	261.98	06/18/21	0.00	...	261.98	1 of 2 - Short sale closed- call expired
	1.000	2,994.97	06/18/21	0.00	...	2,994.97	Option written: 04/21/21 2 of 2 - Short sale closed- call expired
06/18/21	2.000	3,256.95	Various	0.00	...	3,256.95	Option written: 02/26/21 Total of 2 transactions
NIO 03/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
02/08/21	1.000	260.98	02/05/21	205.00	...	55.98	Option sale to close-call ²⁵
NOV 05/21/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/22/21	1.000	164.98	01/19/21	147.00	...	17.98	Option sale to close-call ²⁵
NVDA 03/19/2021 CALL \$590.00 / CUSIP: / Symbol:							
02/11/21	1.000	4,699.88	02/10/21	3,333.00	...	1,366.88	Option sale to close-call ²⁵
NVDA 03/19/2021 CALL \$600.00 / CUSIP: / Symbol:							
02/12/21	1.000	-1,200.08	02/11/21	0.00	...	-1,200.08	Short sale closed- call ²⁵ Option written: 02/10/21
NVDA 04/16/2021 CALL \$600.00 / CUSIP: / Symbol:							
03/09/21	1.000	389.98	03/05/21	288.00	...	101.98	Option sale to close-call ²⁵
NVDA 07/16/2021 CALL \$700.00 / CUSIP: / Symbol:							
05/25/21	1.000	1,104.98	05/21/21	912.00	...	192.98	Option sale to close-call ²⁵

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Account 494154131

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OSTK 03/19/2021 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	1,004.96	02/03/21	531.00	...	473.96	1 of 2 - Option sale to close-call ²⁵
	1.000	1,029.96	02/03/21	531.00	...	498.96	2 of 2 - Option sale to close-call ²⁵
02/09/21	2.000	2,034.92	Various	1,062.00	...	972.92	Total of 2 transactions
OSTK 03/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	1.000	-383.02	02/09/21	0.00	...	-383.02	1 of 2 - Short sale closed- call ²⁵ Option written: 02/03/21
	1.000	-357.01	02/09/21	0.00	...	-357.01	2 of 2 - Short sale closed- call ²⁵ Option written: 02/03/21
02/10/21	2.000	-740.03	Various	0.00	...	-740.03	Total of 2 transactions
PCYG 09/17/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/09/21	2.000	189.98	02/01/21	130.00	...	59.98	Option sale to close-call ²⁵
02/12/21	1.000	109.98	02/01/21	65.00	...	44.98	Option sale to close-call ²⁵
	Security total:	299.96		195.00	...	104.96	
PFE 01/21/2022 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	2.000	285.99	12/14/20	464.00	...	-178.01	1 of 2 - Option sale to close-call ²⁵
	2.000	285.99	12/18/20	264.00	...	21.99	2 of 2 - Option sale to close-call ²⁵
08/09/21	4.000	571.98	Various	728.00	...	-156.02	Total of 2 transactions
2 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	1.000	249.99	01/07/21	92.00	...	157.99	1 of 2 - Option sale to close-call ²⁵
	1.000	249.99	01/20/21	66.00	...	183.99	2 of 2 - Option sale to close-call ²⁵
08/10/21	2.000	499.98	Various	158.00	...	341.98	Total of 2 transactions
11/17/21	1.000	266.98	02/05/21	63.00	...	203.98	Option sale to close-call ²⁵
11/26/21	1.000	499.98	02/05/21	63.00	...	436.98	Option sale to close-call ²⁵
	Security total:	1,838.92		1,012.00	...	826.92	
PFE 02/19/2021 CALL \$42.00 / CUSIP: / Symbol:							
02/02/21	2.000	7.98	01/13/21	48.00	...	-40.02	Option sale to close-call ²⁵

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(continued)

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PG 04/16/2021 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	01/15/21	182.00	...	-182.00	1 of 2 - Option expiration- long call
	2.000	0.00	02/10/21	46.00	...	-46.00	2 of 2 - Option expiration- long call
04/16/21	4.000	0.00	Various	228.00	...	-228.00	Total of 2 transactions
PLTR 01/15/2021 CALL \$28.00 / CUSIP: / Symbol:							
01/07/21	1.000	29.98	12/30/20	118.00	...	-88.02	Option sale to close-call ²⁵
PLTR 03/05/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/27/21	1.000	369.98	01/27/21	320.00	...	49.98	Option sale to close-call ²⁵
PLTR 03/19/2021 CALL \$28.00 / CUSIP: / Symbol:							
01/19/21	1.000	464.97	01/12/21	355.00	...	109.97	Option sale to close-call ²⁵
PLTR 04/16/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/08/21	1.000	203.98	02/04/21	93.00	...	110.98	Option sale to close-call ²⁵
02/09/21	1.000	188.98	02/04/21	93.00	...	95.98	Option sale to close-call ²⁵
	Security total:	392.96		186.00	...	206.96	
QCOM 07/16/2021 CALL \$200.00 / CUSIP: / Symbol:							
4 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/09/21	297.00	...	-297.00	1 of 4 - Option expiration- long call
	1.000	0.00	03/05/21	69.00	...	-69.00	2 of 4 - Option expiration- long call
	1.000	0.00	03/09/21	60.00	...	-60.00	3 of 4 - Option expiration- long call
	1.000	0.00	03/09/21	60.00	...	-60.00	4 of 4 - Option expiration- long call
07/16/21	4.000	0.00	Various	486.00	...	-486.00	Total of 4 transactions
RKT 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
4 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	14.98	01/08/21	13.00	...	1.98	1 of 4 - Option sale to close-call ²⁵
	1.000	14.98	01/08/21	13.00	...	1.98	2 of 4 - Option sale to close-call ²⁵
	1.000	14.98	01/08/21	13.00	...	1.98	3 of 4 - Option sale to close-call ²⁵
	3.000	44.98	01/08/21	39.00	...	5.98	4 of 4 - Option sale to close-call ²⁵
01/25/21	6.000	89.92	Various	78.00	...	11.92	Total of 4 transactions

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RKT 03/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/25/21	1.000	152.98	01/25/21	103.00	...	49.98	Option sale to close-call ²⁵
RKT 03/19/2021 CALL \$28.00 / CUSIP: / Symbol:							
3 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	2.000	169.99	02/11/21	170.00	...	-0.01	1 of 3 - Option sale to close-call ²⁵
	2.000	169.99	02/11/21	170.00	...	-0.01	2 of 3 - Option sale to close-call ²⁵
	1.000	85.00	02/11/21	85.00	...	0.00	3 of 3 - Option sale to close-call ²⁵
02/26/21	5.000	424.98	Various	425.00	...	-0.02	Total of 3 transactions
ROKU 09/16/2022 CALL \$450.00 / CUSIP: / Symbol:							
12/08/21	1.000	799.98	11/17/21	675.00	...	124.98	Option sale to close-call ²⁵
SLV 01/21/2022 CALL \$40.00 / CUSIP: / Symbol:							
01/29/21	2.000	459.97	01/28/21	256.00	...	203.97	Option sale to close-call ²⁵
SNDL 01/20/2023 CALL \$1.50 / CUSIP: / Symbol:							
02/10/21	2.000	399.98	02/08/21	190.00	...	209.98	Option sale to close-call ²⁵
SNDL 02/26/2021 CALL \$1.50 / CUSIP: / Symbol:							
02/09/21	4.000	199.98	02/01/21	200.00	...	-0.02	Option sale to close-call ²⁵
SNOW 02/19/2021 CALL \$360.00 / CUSIP: / Symbol:							
02/08/21	1.000	447.98	02/04/21	330.00	...	117.98	Option sale to close-call ²⁵
SNOW 02/26/2021 CALL \$275.00 / CUSIP: / Symbol:							
02/24/21	1.000	559.97	02/24/21	494.00	...	65.97	Option sale to close-call ²⁵
SOFI 01/21/2022 CALL \$25.00 / CUSIP: / Symbol:							
6 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	1.000	132.98	07/01/21	390.00	...	-257.02	1 of 6 - Option sale to close-call ²⁵
	1.000	132.99	07/01/21	390.00	...	-257.01	2 of 6 - Option sale to close-call ²⁵
	1.000	132.98	08/18/21	83.00	...	49.98	3 of 6 - Option sale to close-call ²⁵
	1.000	132.99	08/18/21	83.00	...	49.99	4 of 6 - Option sale to close-call ²⁵
	2.000	265.99	09/02/21	120.00	...	145.99	5 of 6 - Option sale to close-call ²⁵
	2.000	265.99	09/02/21	120.00	...	145.99	6 of 6 - Option sale to close-call ²⁵
10/18/21	8.000	1,063.92	Various	1,186.00	...	-122.08	Total of 6 transactions

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2021 1099-B* OMB No. 1545-0715

(continued)

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SOFI 01/21/2022 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
11/10/21	2.000	341.98	09/02/21	120.00	...	221.98	Option sale to close-call ²⁵
	Security total:	1,405.90		1,306.00	...	99.90	
SOFI 12/17/2021 CALL \$25.00 / CUSIP: / Symbol:							
11/10/21	2.000	235.98	11/10/21	236.00	...	-0.02	Option sale to close-call ²⁵
11/12/21	1.000	-86.02	11/11/21	0.00	...	-86.02	Short sale closed- call ²⁵ Option written: 11/10/21
	Security total:	149.96		236.00	...	-86.04	
SOLO 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
03/03/21	1.000	2.98	02/10/21	66.00	...	-63.02	Option sale to close-call ²⁵
SPCE 01/29/2021 CALL \$32.00 / CUSIP: / Symbol:							
01/13/21	2.000	161.98	01/12/21	140.00	...	21.98	Option sale to close-call ²⁵
SPCE 02/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/11/21	1.000	299.98	01/06/21	286.00	...	13.98	Option sale to close-call ²⁵
SPCE 02/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/26/21	1.000	169.98	01/25/21	126.00	...	43.98	Option sale to close-call ²⁵
SPOT 01/15/2021 CALL \$350.00 / CUSIP: / Symbol:							
01/07/21	1.000	259.98	01/06/21	240.00	...	19.98	Option sale to close-call ²⁵
SPOT 04/16/2021 CALL \$310.00 / CUSIP: / Symbol:							
	<i>2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	02/26/21	2,513.00	...	-2,513.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/05/21	76.00	...	-76.00	2 of 2 - Option expiration- long call
04/16/21	2.000	0.00	Various	2,589.00	...	-2,589.00	Total of 2 transactions

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Robinhood Securities LLC

Account 494154131

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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SPOT 04/16/2021 CALL \$320.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	37.98	04/16/21	0.00	...	37.98	1 of 2 - Short sale closed- call expired
	1.000	2,070.97	04/16/21	0.00	...	2,070.97	Option written: 04/05/21 2 of 2 - Short sale closed- call expired
04/16/21	2.000	2,108.95	Various	0.00	...	2,108.95	Option written: 02/26/21 Total of 2 transactions
T 01/20/2023 CALL \$30.00 / CUSIP: / Symbol:							
01/27/21	2.000	639.97	01/26/21	586.00	...	53.97	Option sale to close-call ²⁵
T 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/26/21	1.000	71.98	01/25/21	48.00	...	23.98	Option sale to close-call ²⁵
01/27/21	1.000	67.98	01/25/21	48.00	...	19.98	Option sale to close-call ²⁵
	Security total:	139.96		96.00	...	43.96	
T 03/05/2021 CALL \$30.50 / CUSIP: / Symbol:							
02/10/21	5.000	44.98	02/03/21	90.00	...	-45.02	Option sale to close-call ²⁵
02/17/21	5.000	109.98	02/03/21	90.00	...	19.98	Option sale to close-call ²⁵
	Security total:	154.96		180.00	...	-25.04	
TEVA 02/19/2021 CALL \$12.00 / CUSIP: / Symbol:							
2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	1.000	83.99	01/05/21	46.00	...	37.99	1 of 2 - Option sale to close-call ²⁵
	1.000	83.99	01/05/21	46.00	...	37.99	2 of 2 - Option sale to close-call ²⁵
01/12/21	2.000	167.98	Various	92.00	...	75.98	Total of 2 transactions
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	1.000	91.98	01/05/21	46.00	...	45.98	1 of 2 - Option sale to close-call ²⁵
	1.000	91.98	01/05/21	46.00	...	45.98	2 of 2 - Option sale to close-call ²⁵
01/19/21	2.000	183.96	Various	92.00	...	91.96	Total of 2 transactions
	Security total:	351.94		184.00	...	167.94	

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TGT 02/19/2021 CALL \$210.00 / CUSIP: / Symbol:							
01/21/21	1.000	80.98	01/20/21	176.02	...	-95.04	Option sale to close-call ²⁵
01/21/21	1.000	64.98	01/13/21	196.00	131.02 W	0.00	Option sale to close-call ²⁵
	Security total:	145.96		372.02	131.02 W	-95.04	
TSLA 01/15/2021 CALL \$835.00 / CUSIP: / Symbol:							
01/12/21	1.000	3,732.90	01/11/21	3,515.00	...	217.90	Option sale to close-call ²⁵
TSLA 01/15/2021 CALL \$840.00 / CUSIP: / Symbol:							
01/13/21	1.000	-166.09	01/12/21	0.00	...	-166.09	Short sale closed- call ²⁵ Option written: 01/11/21
TSLA 01/15/2021 PUT \$700.00 / CUSIP: / Symbol:							
01/08/21	1.000	439.98	01/08/21	340.00	...	99.98	Option sale to close-put ²⁵
01/12/21	1.000	138.98	01/11/21	286.00	...	-147.02	Option sale to close-put ²⁵
	Security total:	578.96		626.00	...	-47.04	
TSLA 01/29/2021 CALL \$900.00 / CUSIP: / Symbol:							
01/29/21	1.000	45.98	01/28/21	137.00	...	-91.02	Option sale to close-call ²⁵
TSLA 02/05/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
02/01/21	1.000	70.98	01/28/21	265.00	...	-194.02	Option sale to close-call ²⁵
TSLA 02/19/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
02/09/21	1.000	167.98	02/08/21	298.00	...	-130.02	Option sale to close-call ²⁵
TSLA 03/26/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
02/17/21	1.000	819.97	02/17/21	700.00	...	119.97	Option sale to close-call ²⁵
TSM 01/22/2021 CALL \$130.00 / CUSIP: / Symbol:							
01/14/21	1.000	504.97	01/14/21	189.00	...	315.97	Option sale to close-call ²⁵
TSM 02/19/2021 CALL \$155.00 / CUSIP: / Symbol:							
	<i>2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	11.99	01/21/21	134.00	...	-122.01	1 of 2 - Option sale to close-call ²⁵
	1.000	11.99	02/02/21	28.00	...	-16.01	2 of 2 - Option sale to close-call ²⁵
02/10/21	2.000	23.98	Various	162.00	...	-138.02	Total of 2 transactions

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TSM 03/19/2021 CALL \$155.00 / CUSIP: / Symbol:							
02/12/21	1.000	195.98	02/10/21	136.00	...	59.98	Option sale to close-call ²⁵
TWTR 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/20/21	1.000	334.98	01/11/21	425.00	...	-90.02	Option sale to close-call ²⁵
UVXY1 06/18/2021 CALL \$100.00 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	01/29/21	202.00	...	-202.00	Option expiration- long call
VUZI 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
01/27/21	1.000	199.98	01/26/21	180.00	...	19.98	Option sale to close-call ²⁵
WDAY 02/05/2021 CALL \$235.00 / CUSIP: / Symbol:							
01/29/21	1.000	224.98	01/28/21	345.00	...	-120.02	Option sale to close-call ²⁵
WKHS 01/29/2021 CALL \$24.00 / CUSIP: / Symbol:							
01/22/21	1.000	127.98	01/21/21	85.00	...	42.98	Option sale to close-call ²⁵
WY 03/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
01/25/21	1.000	105.98	01/20/21	105.00	...	0.98	Option sale to close-call ²⁵
XLF 01/22/2021 CALL \$32.00 / CUSIP: / Symbol:							
<i>2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	30.98	01/13/21	24.00	...	6.98	1 of 2 - Option sale to close-call ²⁵
	1.000	30.98	01/13/21	24.00	...	6.98	2 of 2 - Option sale to close-call ²⁵
01/14/21	2.000	61.96	Various	48.00	...	13.96	Total of 2 transactions
XPEV 02/19/2021 CALL \$55.00 / CUSIP: / Symbol:							
01/13/21	1.000	644.97	01/12/21	525.00	...	119.97	Option sale to close-call ²⁵
ZM 01/08/2021 PUT \$320.00 / CUSIP: / Symbol:							
01/11/21	1.000	12.98	01/08/21	0.00	...	12.98	Short sale closed- put ²⁵ Option written: 01/07/21
ZM 01/08/2021 PUT \$330.00 / CUSIP: / Symbol:							
01/08/21	1.000	49.98	01/07/21	90.00	...	-40.02	Option sale to close-put ²⁵

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ZM 01/29/2021 CALL \$420.00 / CUSIP: / Symbol:							
01/22/21	1.000	289.98	01/22/21	260.00	...	29.98	Option sale to close-call ²⁵
ZNGA 02/26/2021 CALL \$12.00 / CUSIP: / Symbol:							
02/09/21	3.000	116.98	02/08/21	96.00	...	20.98	Option sale to close-call ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
01/20/21	100.000	297.12	01/19/21	277.00	...	20.12	Sale ²⁵
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol:							
09/08/21	100.000	781.30	09/02/21	668.00	...	113.30	Sale ²⁵
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
01/14/21	10.000	164.80	01/12/21	153.70	...	11.10	Sale ²⁵
AMERICAN RESOURCES CORPORATION CLASS A COMMON STOCK / CUSIP: 02927U208 / Symbol:							
3 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	500.000	2,059.93	06/03/21	1,455.00	...	604.93	1 of 3 - Sale ²⁵
	129.000	531.46	06/07/21	562.44	...	-30.98	2 of 3 - Sale ²⁵
	5.000	20.60	06/07/21	23.00	...	-2.40	3 of 3 - Sale ²⁵
06/07/21	634.000	2,611.99	Various	2,040.44	...	571.55	Total of 3 transactions
06/07/21	166.000	683.90	06/07/21	723.76	1.20 W	-38.66	Sale ²⁵
	Security total:	3,295.89		2,764.20	1.20 W	532.89	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
01/14/21	10.000	119.75	01/13/21	114.45	...	5.30	Sale ²⁵
02/02/21	50.000	627.48	01/29/21	585.00	...	42.48	Sale ²⁵
	Security total:	747.23		699.45	...	47.78	
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
02/24/21	100.000	1,214.96	02/24/21	1,147.00	...	67.96	Sale ²⁵
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
03/12/21	5.000	1,349.99	03/04/21	1,127.90	...	222.09	Sale ²⁵

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CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
<i>3 transactions for 09/27/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	1,365.91	08/25/21	1,660.00	...	-294.09	1 of 3 - Sale ²⁵
	10.000	682.95	08/27/21	498.55	...	184.40	2 of 3 - Sale ²⁵
	10.000	682.95	09/03/21	491.10	...	191.85	3 of 3 - Sale ²⁵
09/27/21	40.000	2,731.81	Various	2,649.65	...	82.16	Total of 3 transactions
CHINA PHARMA HOLDINGS, INC. / CUSIP: 16941T104 / Symbol:							
02/05/21	1,000.000	799.86	02/04/21	910.00	...	-110.14	Sale ²⁵
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
02/22/21	100.000	1,137.96	02/18/21	1,090.00	...	47.96	Sale ²⁵
06/08/21	50.000	819.99	03/02/21	470.50	...	349.49	Sale ²⁵
<i>3 transactions for 09/07/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	199.45	03/04/21	153.90	...	45.55	1 of 3 - Sale ²⁵
	30.000	299.17	03/05/21	195.89	...	103.28	2 of 3 - Sale ²⁵
	50.000	498.61	07/09/21	480.00	...	18.61	3 of 3 - Sale ²⁵
09/07/21	100.000	997.23	Various	829.79	...	167.44	Total of 3 transactions
	Security total:	2,955.18		2,390.29	...	564.89	
CODE CHAIN NEW CONTINENT LIMIT ED COMMON STOCK / CUSIP: 19200A105 / Symbol:							
02/02/21	100.000	247.29	02/01/21	223.99	...	23.30	Sale ²⁵
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
<i>2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,291.98	06/07/21	769.00	...	522.98	1 of 2 - Sale ²⁵
	100.000	1,476.48	06/07/21	769.00	...	707.48	2 of 2 - Sale ²⁵
06/09/21	200.000	2,768.46	Various	1,538.00	...	1,230.46	Total of 2 transactions
EXTREME NETWORKS, INC. COMMON STOCK / CUSIP: 30226D106 / Symbol:							
02/03/21	100.000	862.98	02/01/21	876.92	...	-13.94	Sale ²⁵

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FISKER INC. / CUSIP: 33813J106 / Symbol:							
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	65.000	1,137.48	04/09/21	1,015.43	...	122.05	1 of 2 - Sale ²⁵
	50.000	874.99	04/19/21	618.42	...	256.57	2 of 2 - Sale ²⁵
06/08/21	115.000	2,012.47	Various	1,633.85	...	378.62	Total of 2 transactions
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	25.000	437.49	03/04/21	558.97	121.48 W	0.00	1 of 2 - Sale ²⁵
	10.000	175.00	03/24/21	180.00	5.00 W	0.00	2 of 2 - Sale ²⁵
06/08/21	35.000	612.49	Various	738.97	126.48 W	0.00	Total of 2 transactions
	Security total:	2,624.96		2,372.82	126.48 W	378.62	
FRANKLIN STREET PROPERTIES COR P / CUSIP: 35471R106 / Symbol:							
02/02/21	200.000	847.98	02/01/21	831.94	...	16.04	Sale ²⁵
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
3 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	25.000	774.99	03/05/21	775.00	...	-0.01	1 of 3 - Sale ²⁵
	20.000	619.99	03/24/21	560.00	...	59.99	2 of 3 - Sale ²⁵
	55.000	1,704.99	04/07/21	1,155.00	...	549.99	3 of 3 - Sale ²⁵
06/07/21	100.000	3,099.97	Various	2,490.00	...	609.97	Total of 3 transactions
09/02/21	50.000	1,509.04	04/12/21	1,050.00	...	459.04	Sale ²⁵
	Security total:	4,609.01		3,540.00	...	1,069.01	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
8 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	50.000	111.49	01/27/21	127.50	...	-16.01	1 of 8 - Sale ²⁵
	20.000	44.60	01/27/21	51.00	...	-6.40	2 of 8 - Sale ²⁵
	10.000	22.30	01/27/21	25.50	...	-3.20	3 of 8 - Sale ²⁵
	7.000	15.61	01/27/21	17.85	...	-2.24	4 of 8 - Sale ²⁵
	7.000	15.61	01/27/21	17.85	...	-2.24	5 of 8 - Sale ²⁵
	5.000	11.15	01/27/21	12.75	...	-1.60	6 of 8 - Sale ²⁵
	1.000	2.23	01/27/21	2.55	...	-0.32	7 of 8 - Sale ²⁵
	100.000	222.99	02/01/21	190.99	...	32.00	8 of 8 - Sale ²⁵
03/10/21	200.000	445.98	Various	445.99	...	-0.01	Total of 8 transactions

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LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
3 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	10.000	106.00	02/16/21	250.50	...	-144.50	1 of 3 - Sale ²⁵
	10.000	106.00	03/18/21	137.00	...	-31.00	2 of 3 - Sale ²⁵
	80.000	847.98	05/06/21	669.52	...	178.46	3 of 3 - Sale ²⁵
06/08/21	100.000	1,059.98	Various	1,057.02	...	2.96	Total of 3 transactions
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
09/03/21	50.000	974.99	05/06/21	935.00	...	39.99	Sale ²⁵
3 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	25.000	487.49	03/04/21	577.50	90.01 W	0.00	1 of 3 - Sale ²⁵
	25.000	487.49	03/23/21	625.00	137.51 W	0.00	2 of 3 - Sale ²⁵
	50.000	974.99	04/16/21	975.00	0.01 W	0.00	3 of 3 - Sale ²⁵
09/03/21	100.000	1,949.97	Various	2,177.50	227.53 W	0.00	Total of 3 transactions
3 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	25.000	999.99	09/01/21	525.01	...	474.98	1 of 3 - Sale ²⁵
	25.000	999.99	09/01/21	477.51	...	522.48	2 of 3 - Sale ²⁵
	50.000	1,999.98	09/01/21	775.01	...	1,224.97	3 of 3 - Sale ²⁵
11/05/21	100.000	3,999.96	Various	1,777.53	...	2,222.43	Total of 3 transactions
11/16/21	50.000	2,561.01	09/01/21	775.00	...	1,786.01	Sale ²⁵
	Security total:	9,485.93		5,665.03	227.53 W	4,048.43	
MP MATERIALS CORP. / CUSIP: 553368101 / Symbol:							
10/20/21	20.000	720.56	03/24/21	690.00	...	30.56	Sale ²⁵
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
01/12/21	5.000	131.57	01/11/21	103.70	...	27.87	Sale ²⁵
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
5 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	20.000	928.59	03/04/21	787.20	...	141.39	1 of 5 - Sale ²⁵
	20.000	928.59	03/18/21	840.00	...	88.59	2 of 5 - Sale ²⁵
	20.000	928.59	03/24/21	800.00	...	128.59	3 of 5 - Sale ²⁵
	20.000	928.59	03/24/21	760.00	...	168.59	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 494154131

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	20.000	928.60	04/15/21	700.00	...	228.60	5 of 5 - Sale ²⁵
06/14/21	100.000	4,642.96	Various	3,887.20	...	755.76	Total of 5 transactions
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
01/07/21	65.552	1,162.39	12/23/20	1,000.00	...	162.39	Sale ²⁵
01/27/21	50.000	1,436.46	01/26/21	1,186.39	...	250.07	Sale ²⁵
02/02/21	50.000	1,187.72	01/28/21	1,166.49	...	21.23	Sale ²⁵
	Security total:	3,786.57		3,352.88	...	433.69	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
	2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.						
	100.000	585.99	06/09/21	556.00	...	29.99	1 of 2 - Sale ²⁵
	100.000	586.00	06/09/21	556.00	...	30.00	2 of 2 - Sale ²⁵
12/07/21	200.000	1,171.99	Various	1,112.00	...	59.99	Total of 2 transactions
THE OLB GROUP, INC. COMMON STOCK / CUSIP: 67086U307 / Symbol:							
02/22/21	100.000	669.97	02/17/21	798.50	...	-128.53	Sale ²⁵
PACIFIC BIOSCIENCES OF CALIFORNIA, INC. COMMON STOCK / CUSIP: 69404D108 / Symbol:							
01/20/21	10.000	388.05	01/19/21	402.10	...	-14.05	Sale ²⁵
PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
	9 transactions for 09/02/21. Total proceeds and cost reported to the IRS.						
	10.000	268.22	02/16/21	282.75	...	-14.53	1 of 9 - Sale ²⁵
	5.000	134.11	02/26/21	117.65	...	16.46	2 of 9 - Sale ²⁵
	5.000	134.11	02/26/21	117.63	...	16.48	3 of 9 - Sale ²⁵
	10.000	268.22	03/04/21	243.38	...	24.84	4 of 9 - Sale ²⁵
	20.000	536.43	03/04/21	487.40	...	49.03	5 of 9 - Sale ²⁵
	20.000	536.43	03/18/21	486.00	...	50.43	6 of 9 - Sale ²⁵
	10.000	268.21	03/24/21	220.00	...	48.21	7 of 9 - Sale ²⁵
	50.000	1,341.08	05/05/21	1,075.00	...	266.08	8 of 9 - Sale ²⁵
	20.000	536.43	05/06/21	393.60	...	142.83	9 of 9 - Sale ²⁵
09/02/21	150.000	4,023.24	Various	3,423.41	...	599.83	Total of 9 transactions

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Robinhood Securities LLC

Account 494154131

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol:							
10/28/21	0.990	77.19	05/13/21	54.19	...	23.00	Cash in lieu
<i>2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.</i>							
	11.000	578.08	05/13/21	602.06	...	-23.98	1 of 2 - Sale ²⁵
	10.000	525.52	11/04/21	656.23	...	-130.71	2 of 2 - Sale ²⁵
12/07/21	21.000	1,103.60	Various	1,258.29	...	-154.69	Total of 2 transactions
	Security total:	1,180.79		1,312.48	...	-131.69	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
10/12/21	100.000	2,955.47	08/10/21	2,922.91	...	32.56	Sale ²⁵
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
01/12/21	5.000	122.18	01/11/21	102.50	...	19.68	Sale ²⁵
SCORE MEDIA AND GAMING INC. CL ASS A SUBORDINATE VOTING SHARE S / CUSIP: 80919D202 / Symbol:							
<i>3 transactions for 08/05/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	633.99	03/11/21	510.00	...	123.99	1 of 3 - Sale ²⁵
	5.000	158.50	04/15/21	94.54	...	63.96	2 of 3 - Sale ²⁵
	25.000	797.99	04/15/21	472.73	...	325.26	3 of 3 - Sale ²⁵
08/05/21	50.000	1,590.48	Various	1,077.27	...	513.21	Total of 3 transactions
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
<i>2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	570.89	04/08/21	510.00	...	60.89	1 of 2 - Sale ²⁵
	20.000	380.60	04/15/21	316.40	...	64.20	2 of 2 - Sale ²⁵
04/26/21	50.000	951.49	Various	826.40	...	125.09	Total of 2 transactions
06/02/21	50.000	1,133.57	04/15/21	791.00	...	342.57	Sale ²⁵
	Security total:	2,085.06		1,617.40	...	467.66	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
09/02/21	5.000	3,691.68	03/30/21	2,975.00	...	716.68	Sale ²⁵
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
01/14/21	10.000	175.50	01/13/21	150.89	...	24.61	Sale ²⁵
02/02/21	50.000	1,003.72	01/29/21	900.00	...	103.72	Sale ²⁵
	Security total:	1,179.22		1,050.89	...	128.33	

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Robinhood Securities LLC

Account 494154131

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
01/20/21	100.000	262.29	01/19/21	233.50	...	28.79	Sale ²⁵
VIVEVE MEDICAL, INC. COMMON ST OCK / CUSIP: 92852W501 / Symbol:							
02/03/21	100.000	380.99	01/28/21	392.00	...	-11.01	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
06/03/21	100.000	1,599.98	05/10/21	861.91	...	738.07	Sale ²⁵
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
06/01/21	20.000	691.79	03/04/21	578.09	...	113.70	Sale ²⁵
FIVERR INTERNATIONAL LTD. / CUSIP: M4R82T106 / Symbol:							
09/01/21	10.000	1,821.23	08/06/21	1,720.93	...	100.30	Sale ²⁵
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	25.000	63.50	01/27/21	35.25	...	28.25	1 of 2 - Sale ²⁵
	25.000	67.50	01/27/21	35.25	...	32.25	2 of 2 - Sale ²⁵
01/27/21	50.000	131.00	Various	70.50	...	60.50	Total of 2 transactions
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	20.000	46.40	01/27/21	28.20	...	18.20	1 of 2 - Sale ²⁵
	30.000	69.60	01/27/21	42.30	...	27.30	2 of 2 - Sale ²⁵
01/28/21	50.000	116.00	Various	70.50	...	45.50	Total of 2 transactions
	Security total:	247.00		141.00	...	106.00	
Totals :		172,213.34		160,699.92	5,187.58 W	16,701.00	

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Robinhood Securities LLC

Account 494154131

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		05/13/21	2.20	Qualified dividend	
			08/12/21	2.20	Qualified dividend	
			11/11/21	2.20	Qualified dividend	
				6.60	Total Dividends & distributions	
VIAKOMCBS INC. CLASS B COMMON STOCK	92556H206		10/01/21	12.00	Qualified dividend	
				18.60	Total Dividends & distributions	

Robinhood Securities LLC

Account 494154131

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/29/21	0.44	Interest	
			0.44	Total Interest	

Robinhood Securities LLC

Account 494154131

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/04/21	-5.00	Margin interest paid	
		02/01/21	-5.00	Margin interest paid	
		03/02/21	-5.00	Margin interest paid	
		04/01/21	-0.02	Margin interest paid	
		04/01/21	-5.00	Margin interest paid	
		05/03/21	-5.00	Margin interest paid	
		05/03/21	-11.67	Margin interest paid	
		06/01/21	-5.00	Margin interest paid	
		06/01/21	-20.71	Margin interest paid	
		06/30/21	-5.00	Margin interest paid	
		06/30/21	-15.23	Margin interest paid	
		07/30/21	-5.00	Margin interest paid	
		07/30/21	-28.64	Margin interest paid	
			-116.27	Total Margin interest paid	
Margin charge		08/30/21	-5.00	Margin interest paid	
		08/30/21	-40.50	Margin interest paid	
		09/28/21	-5.00	Margin interest paid	
		09/28/21	-36.77	Margin interest paid	
		10/28/21	-5.00	Margin interest paid	
		10/28/21	-26.58	Margin interest paid	
		11/29/21	-5.00	Margin interest paid	
		11/29/21	-42.18	Margin interest paid	
		12/27/21	-5.00	Margin interest paid	
		12/27/21	-58.11	Margin interest paid	
			-229.14	Total Margin interest paid	
			-345.41	Total Margin interest paid	

Robinhood Securities LLC

Account 494154131

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-150.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	150.00	0.00	Exchange	
PENN NATIONAL GAMING, INC. COMMON STOCK	707569109	10/20/21	11.00	0.00	Merger	
SCORE MEDIA AND GAMING INC. CLASS A SUBORDINATE VOTING SHARES	80919D202	10/20/21	-50.00	0.00	Merger	
CGRO 04/16/2021 CALL \$15.00	CGRO 04/16/21 C 15.000	04/06/21	-1.00	0.00	Merger	
INVZ 04/16/2021 CALL \$15.00	INVZ 04/16/21 C 15.000	04/06/21	1.00	0.00	Merger	
UVXY 06/18/2021 CALL \$100.00	UVXY 06/18/21 C 100.000	05/26/21	-1.00	0.00	Merger	
UVXY1 06/18/2021 CALL \$100.00	UVXY1 06/18/21 C 100.000	05/26/21	1.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Instructions for Recipient

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms

1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

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1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment

Instructions for Recipient

trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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