

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 596352096</b>	Statement Date: 02/11/2022 Document ID: 8Q4X M16 C854	2021
	Ajeyo Bhattacharya 1301 Hardimont Road 1 Raleigh, NC 27609  RECIPIENT'S TIN: XXX-XX-9684		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      21.37</p> <p>1b- Qualified dividends      21.37</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
--	---

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	50,441.19	50,275.13	0.00	354.49	520.55
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>50,441.19</b>	<b>50,275.13</b>	<b>0.00</b>	<b>354.49</b>	<b>520.55</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>50,441.19</b>	<b>50,275.13</b>	<b>0.00</b>	<b>354.49</b>	<b>520.55</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 596352096

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 596352096

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACTIVISION BLIZZARD, INC. COMM ON STOCK / CUSIP: 00507V109 / Symbol:							
2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	1.000	80.62	02/25/21	94.91	...	-14.29	1 of 2 - Sale <sup>25</sup>
	0.054	4.32	02/25/21	5.09	...	-0.77	2 of 2 - Sale <sup>25</sup>
08/30/21	1.054	84.94	Various	100.00	...	-15.06	Total of 2 transactions
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
3 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	0.000	0.13	09/28/21	0.12	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.030	18.82	09/28/21	17.63	...	1.19	2 of 3 - Sale <sup>25</sup>
	1.000	621.08	09/28/21	582.25	...	38.83	3 of 3 - Sale <sup>25</sup>
12/03/21	1.030	640.03	Various	600.00	...	40.03	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBNB, INC. CLASS A COMMON STOCK / CUSIP: 009066101 / Symbol:							
2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	0.722	109.31	01/21/21	126.20	...	-16.89	1 of 2 - Sale <sup>25</sup>
	0.278	42.06	01/21/21	48.63	...	-6.57	2 of 2 - Sale <sup>25</sup>
06/25/21	1.000	151.37	Various	174.83	...	-23.46	Total of 2 transactions
3 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	4.455	637.46	01/21/21	779.61	...	-142.15	1 of 3 - Sale <sup>25</sup>
	0.260	37.25	01/21/21	45.56	...	-8.31	2 of 3 - Sale <sup>25</sup>
	0.545	77.98	02/25/21	100.00	...	-22.02	3 of 3 - Sale <sup>25</sup>
07/08/21	5.260	752.69	Various	925.17	...	-172.48	Total of 3 transactions
	<b>Security total:</b>	<b>904.06</b>		<b>1,100.00</b>	...	<b>-195.94</b>	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
8 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.036	113.47	11/12/20	112.31	...	1.16	1 of 8 - Sale <sup>25</sup>
	0.125	392.04	11/12/20	387.69	...	4.35	2 of 8 - Sale <sup>25</sup>
	0.064	202.08	11/23/20	200.00	...	2.08	3 of 8 - Sale <sup>25</sup>
	0.187	585.36	12/09/20	585.00	...	0.36	4 of 8 - Sale <sup>25</sup>
	0.161	504.79	12/10/20	500.00	...	4.79	5 of 8 - Sale <sup>25</sup>
	0.158	494.33	12/24/20	500.00	...	-5.67	6 of 8 - Sale <sup>25</sup>
	0.077	241.30	12/31/20	250.00	...	-8.70	7 of 8 - Sale <sup>25</sup>
	0.317	993.87	01/04/21	1,000.00	...	-6.13	8 of 8 - Sale <sup>25</sup>
01/06/21	1.125	3,527.24	Various	3,535.00	...	-7.76	Total of 8 transactions
3 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	0.065	220.95	02/25/21	200.00	...	20.95	1 of 3 - Sale <sup>25</sup>
	0.293	999.08	07/30/21	981.31	...	17.77	2 of 3 - Sale <sup>25</sup>
	0.157	535.77	10/04/21	500.00	...	35.77	3 of 3 - Sale <sup>25</sup>
12/13/21	0.515	1,755.80	Various	1,681.31	...	74.49	Total of 3 transactions
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	0.075	257.43	06/24/21	260.79	3.36 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.410	1,397.75	12/10/21	1,402.87	5.12 W	0.00	2 of 2 - Sale <sup>25</sup>
12/13/21	0.485	1,655.18	Various	1,663.66	8.48 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>6,938.22</b>		<b>6,879.97</b>	<b>8.48 W</b>	<b>66.73</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPIAN CORPORATION CLASS A COM MON STOCK / CUSIP: 03782L101 / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	0.629	90.42	12/29/20	102.57	...	-12.15	1 of 2 - Sale <sup>25</sup>
	0.371	53.35	12/29/20	56.95	...	-3.60	2 of 2 - Sale <sup>25</sup>
01/04/21	1.000	143.77	Various	159.52	...	-15.75	Total of 2 transactions
01/04/21	0.629	90.48	12/29/20	96.52	6.04 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>234.25</b>		<b>256.04</b>	<b>6.04 W</b>	<b>-15.75</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
10 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.054	6.84	11/23/20	6.79	...	0.05	1 of 10 - Sale <sup>25</sup>
	0.946	120.29	11/23/20	119.69	...	0.60	2 of 10 - Sale <sup>25</sup>
	1.000	127.11	11/23/20	124.98	...	2.13	3 of 10 - Sale <sup>25</sup>
	1.000	127.11	11/23/20	122.73	...	4.38	4 of 10 - Sale <sup>25</sup>
	1.000	127.11	11/23/20	115.92	...	11.19	5 of 10 - Sale <sup>25</sup>
	1.000	127.11	12/02/20	123.13	...	3.98	6 of 10 - Sale <sup>25</sup>
	0.001	0.11	12/09/20	0.11	...	0.00	7 of 10 - Sale <sup>25</sup>
	0.236	30.05	12/09/20	29.21	...	0.84	8 of 10 - Sale <sup>25</sup>
	3.000	381.34	12/09/20	370.68	...	10.66	9 of 10 - Sale <sup>25</sup>
	0.000	0.01	12/30/20	0.01	...	0.00	10 of 10 - Sale <sup>25</sup>
01/06/21	8.237	1,047.08	Various	1,013.25	...	33.83	Total of 10 transactions
5 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.742	94.27	12/30/20	99.99	5.72 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.268	34.04	12/31/20	35.43	1.39 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.001	0.12	12/31/20	0.13	0.01 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	254.23	12/31/20	264.44	10.21 W	0.00	4 of 5 - Sale <sup>25</sup>
	7.806	992.23	01/04/21	1,000.00	7.69 W	-0.08	5 of 5 - Sale <sup>25</sup>
01/06/21	10.816	1,374.89	Various	1,399.99	25.02 W	-0.08	Total of 5 transactions
8 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	0.001	0.18	01/12/21	0.14	...	0.04	1 of 8 - Sale <sup>25</sup>
	0.268	47.80	01/12/21	35.67	...	12.13	2 of 8 - Sale <sup>25</sup>
	0.742	132.35	01/12/21	100.65	...	31.70	3 of 8 - Sale <sup>25</sup>
	2.000	356.92	01/12/21	266.21	...	90.71	4 of 8 - Sale <sup>25</sup>
	6.990	1,247.34	01/12/21	901.62	...	345.72	5 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	0.734	131.05	01/28/21	103.73	...	27.32	6 of 8 - Sale <sup>25</sup>
	0.119	21.18	12/03/21	19.02	...	2.16	7 of 8 - Sale <sup>25</sup>
	2.147	383.14	12/03/21	344.23	...	38.91	8 of 8 - Sale <sup>25</sup>
12/13/21	13.000	2,319.96	Various	1,771.27	...	548.69	Total of 8 transactions
	<b>Security total:</b>	<b>4,741.93</b>		<b>4,184.51</b>	<b>25.02 W</b>	<b>582.44</b>	
ASANA, INC. CLASS A COMMON STOCK / CUSIP: 04342Y104 / Symbol:							
3 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	0.002	0.27	09/16/21	0.26	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.236	28.07	09/16/21	27.04	...	1.03	2 of 3 - Sale <sup>25</sup>
	4.998	593.93	09/16/21	572.70	...	21.23	3 of 3 - Sale <sup>25</sup>
09/23/21	5.236	622.27	Various	600.00	...	22.27	Total of 3 transactions
CLOUDFLARE, INC. CLASS A COMMON STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
3 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	3.283	240.58	12/29/20	250.00	...	-9.42	1 of 3 - Sale <sup>25</sup>
	1.312	96.17	12/30/20	99.98	...	-3.81	2 of 3 - Sale <sup>25</sup>
	0.000	0.02	12/30/20	0.02	...	0.00	3 of 3 - Sale <sup>25</sup>
01/04/21	4.596	336.77	Various	350.00	...	-13.23	Total of 3 transactions
COMCAST CORPORATION CLASS A COMMON STOCK / CUSIP: 20030N101 / Symbol:							
2 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	9.000	451.62	06/25/21	506.57	...	-54.95	1 of 2 - Sale <sup>25</sup>
	0.247	12.37	06/25/21	13.87	...	-1.50	2 of 2 - Sale <sup>25</sup>
11/30/21	9.247	463.99	Various	520.44	...	-56.45	Total of 2 transactions
CROWDSTRIKE HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
3 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	0.002	0.44	02/11/21	0.39	...	0.05	1 of 3 - Sale <sup>25</sup>
	0.280	72.94	02/11/21	65.52	...	7.42	2 of 3 - Sale <sup>25</sup>
	1.000	260.38	02/11/21	233.96	...	26.42	3 of 3 - Sale <sup>25</sup>
09/23/21	1.282	333.76	Various	299.87	...	33.89	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
3 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	0.001	0.04	01/04/21	0.04	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.412	15.64	11/30/21	14.68	...	0.96	2 of 3 - Sale <sup>25</sup>
	7.824	297.32	11/30/21	279.10	...	18.22	3 of 3 - Sale <sup>25</sup>
12/10/21	8.237	313.00	Various	293.82	...	19.18	Total of 3 transactions
12/10/21	7.763	294.99	01/04/21	299.96	4.97 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>607.99</b>		<b>593.78</b>	<b>4.97 W</b>	<b>19.18</b>	
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
12/03/21	2.909	423.71	01/28/21	500.00	...	-76.29	Sale <sup>25</sup>
META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol:							
7 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	1.906	626.91	09/23/21	659.78	...	-32.87	1 of 7 - Sale <sup>25</sup>
	0.540	177.81	10/04/21	175.42	...	2.39	2 of 7 - Sale <sup>25</sup>
	1.000	328.98	10/04/21	324.58	...	4.40	3 of 7 - Sale <sup>25</sup>
	1.896	623.77	10/26/21	600.00	...	23.77	4 of 7 - Sale <sup>25</sup>
	0.094	31.07	12/03/21	30.30	...	0.77	5 of 7 - Sale <sup>25</sup>
	0.311	102.17	12/03/21	99.64	...	2.53	6 of 7 - Sale <sup>25</sup>
	0.253	83.27	12/03/21	76.92	...	6.35	7 of 7 - Sale <sup>25</sup>
12/09/21	6.000	1,973.98	Various	1,966.64	...	7.34	Total of 7 transactions
2 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	0.311	102.26	09/23/21	107.52	5.26 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.094	31.10	09/23/21	32.70	1.60 W	0.00	2 of 2 - Sale <sup>25</sup>
12/09/21	0.405	133.36	Various	140.22	6.86 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,107.34</b>		<b>2,106.86</b>	<b>6.86 W</b>	<b>7.34</b>	
FULGENT GENETICS, INC. COMMON STOCK / CUSIP: 359664109 / Symbol:							
4 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	0.012	0.67	12/29/20	0.59	...	0.08	1 of 4 - Sale <sup>25</sup>
	0.037	2.05	12/29/20	1.81	...	0.24	2 of 4 - Sale <sup>25</sup>
	5.000	280.98	12/29/20	247.60	...	33.38	3 of 4 - Sale <sup>25</sup>
	1.988	111.72	12/30/20	100.00	...	11.72	4 of 4 - Sale <sup>25</sup>
01/04/21	7.037	395.42	Various	350.00	...	45.42	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEXX LABORATORIES, INC. COMMO N STOCK / CUSIP: 45168D104 / Symbol:							
2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	0.001	0.88	02/18/21	0.72	...	0.16	1 of 2 - Sale <sup>25</sup>
	0.354	243.11	02/18/21	199.29	...	43.82	2 of 2 - Sale <sup>25</sup>
08/30/21	0.355	243.99	Various	200.01	...	43.98	Total of 2 transactions
INVESCO QQQ TRUST, SERIES 1 / CUSIP: 46090E103 / Symbol:							
12/09/21	0.508	201.08	11/30/21	200.00	...	1.08	Sale <sup>25</sup>
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
4 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	0.127	14.56	12/29/20	14.88	0.32 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.003	0.38	12/29/20	0.39	0.01 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.127	14.55	12/29/20	15.23	0.68 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.870	214.57	12/29/20	219.82	5.25 W	0.00	4 of 4 - Sale <sup>25</sup>
01/04/21	2.127	244.06	Various	250.32	6.26 W	0.00	Total of 4 transactions
6 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	0.003	0.48	01/07/21	0.48	...	0.00	1 of 6 - Sale <sup>25</sup>
	0.127	18.53	01/07/21	18.48	...	0.05	2 of 6 - Sale <sup>25</sup>
	0.020	2.91	01/07/21	2.85	...	0.06	3 of 6 - Sale <sup>25</sup>
	0.098	14.33	01/07/21	14.03	...	0.30	4 of 6 - Sale <sup>25</sup>
	1.752	256.08	01/07/21	251.19	...	4.89	5 of 6 - Sale <sup>25</sup>
	12.228	1,787.34	01/07/21	1,718.91	...	68.43	6 of 6 - Sale <sup>25</sup>
01/08/21	14.228	2,079.67	Various	2,005.94	...	73.73	Total of 6 transactions
01/28/21	14.000	2,115.89	01/08/21	2,081.94	...	33.95	Sale <sup>25</sup>
01/28/21	0.907	137.12	01/14/21	143.68	6.56 W	0.00	Sale <sup>25</sup>
3 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.093	101.53	02/04/21	163.91	...	-62.38	1 of 3 - Sale <sup>25</sup>
	0.907	84.29	02/04/21	142.65	...	-58.36	2 of 3 - Sale <sup>25</sup>
	1.295	120.33	02/11/21	200.00	...	-79.67	3 of 3 - Sale <sup>25</sup>
06/02/21	3.295	306.15	Various	506.56	...	-200.41	Total of 3 transactions
	<b>Security total:</b>	<b>4,882.89</b>		<b>4,988.44</b>	<b>12.82 W</b>	<b>-92.73</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 596352096

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MASIMO CORPORATION COMMON STOC K / CUSIP: 574795100 / Symbol:							
2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	0.272	75.44	01/28/21	69.58	...	5.86	1 of 2 - Sale <sup>25</sup>
	0.998	276.38	01/28/21	255.42	...	20.96	2 of 2 - Sale <sup>25</sup>
08/30/21	1.270	351.82	Various	325.00	...	26.82	Total of 2 transactions
MASTERCARD INCORPORATED / CUSIP: 57636Q104 / Symbol:							
4 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	1.547	489.70	07/08/21	570.83	...	-81.13	1 of 4 - Sale <sup>25</sup>
	0.453	143.53	07/08/21	167.25	...	-23.72	2 of 4 - Sale <sup>25</sup>
	0.040	12.67	07/08/21	14.76	...	-2.09	3 of 4 - Sale <sup>25</sup>
	1.453	460.06	10/04/21	500.00	...	-39.94	4 of 4 - Sale <sup>25</sup>
12/03/21	3.493	1,105.96	Various	1,252.84	...	-146.88	Total of 4 transactions
MATCH GROUP, INC. COMMON STOCK / CUSIP: 57667L107 / Symbol:							
2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	2.000	278.79	01/28/21	291.87	...	-13.08	1 of 2 - Sale <sup>25</sup>
	0.227	31.65	01/28/21	33.13	...	-1.48	2 of 2 - Sale <sup>25</sup>
08/30/21	2.227	310.44	Various	325.00	...	-14.56	Total of 2 transactions
MERCADOLIBRE, INC. COMMON STOC K / CUSIP: 58733R102 / Symbol:							
3 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	0.151	247.33	12/29/20	250.00	...	-2.67	1 of 3 - Sale <sup>25</sup>
	0.060	98.49	12/30/20	100.00	...	-1.51	2 of 3 - Sale <sup>25</sup>
	0.060	97.95	12/31/20	100.00	...	-2.05	3 of 3 - Sale <sup>25</sup>
01/04/21	0.270	443.77	Various	450.00	...	-6.23	Total of 3 transactions
MERCK & CO., INC. / CUSIP: 58933Y105 / Symbol:							
11/05/21	5.845	479.75	10/28/21	500.00	...	-20.25	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	2.000	424.80	01/04/21	431.34	...	-6.54	1 of 3 - Sale <sup>25</sup>
	0.318	67.56	01/04/21	68.60	...	-1.04	2 of 3 - Sale <sup>25</sup>
	0.000	0.06	01/04/21	0.06	...	0.00	3 of 3 - Sale <sup>25</sup>
01/06/21	2.318	492.42	Various	500.00	...	-7.58	Total of 3 transactions
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.000	0.10	12/09/20	0.10	...	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	508.33	12/09/20	499.90	...	8.43	2 of 3 - Sale <sup>25</sup>
	0.200	101.75	12/10/20	100.00	...	1.75	3 of 3 - Sale <sup>25</sup>
01/06/21	1.200	610.18	Various	600.00	...	10.18	Total of 3 transactions
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.190	96.80	12/30/20	100.00	3.20 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.965	490.72	01/04/21	500.00	6.92 W	-2.36	2 of 2 - Sale <sup>25</sup>
01/06/21	1.155	587.52	Various	600.00	10.12 W	-2.36	Total of 2 transactions
3 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	0.190	107.61	01/28/21	107.74	...	-0.13	1 of 3 - Sale <sup>25</sup>
	0.720	407.06	01/28/21	402.38	...	4.68	2 of 3 - Sale <sup>25</sup>
	0.982	555.06	07/22/21	500.00	...	55.06	3 of 3 - Sale <sup>25</sup>
08/30/21	1.892	1,069.73	Various	1,010.12	...	59.61	Total of 3 transactions
	<b>Security total:</b>	<b>2,267.43</b>		<b>2,210.12</b>	<b>10.12 W</b>	<b>67.43</b>	
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
10/04/21	3.774	752.47	01/28/21	500.00	...	252.47	Sale <sup>25</sup>
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
3 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	0.617	153.94	12/29/20	160.60	...	-6.66	1 of 3 - Sale <sup>25</sup>
	0.343	85.69	12/30/20	93.29	...	-7.60	2 of 3 - Sale <sup>25</sup>
	0.040	9.97	12/30/20	10.42	...	-0.45	3 of 3 - Sale <sup>25</sup>
01/04/21	1.000	249.60	Various	264.31	...	-14.71	Total of 3 transactions
01/04/21	0.343	85.69	12/29/20	89.40	3.71 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol: (cont'd)							
<b>Security total:</b>		<b>335.29</b>		<b>353.71</b>		<b>3.71 W</b>	<b>-14.71</b>
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
11/30/21	0.393	72.45	02/25/21	100.00	...	-27.55	Sale <sup>25</sup>
PFIZER INC. / CUSIP: 717081103 / Symbol:							
3 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	0.001	0.03	11/05/21	0.03	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.006	0.31	11/05/21	0.27	...	0.04	2 of 3 - Sale <sup>25</sup>
	10.999	584.83	11/05/21	519.70	...	65.13	3 of 3 - Sale <sup>25</sup>
12/02/21	11.006	585.17	Various	520.00	...	65.17	Total of 3 transactions
4 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	0.006	0.32	12/09/21	0.30	...	0.02	1 of 4 - Sale <sup>25</sup>
	4.800	263.71	12/09/21	249.70	...	14.01	2 of 4 - Sale <sup>25</sup>
	0.200	10.99	12/10/21	10.48	...	0.51	3 of 4 - Sale <sup>25</sup>
	10.000	549.39	12/14/21	556.17	...	-6.78	4 of 4 - Sale <sup>25</sup>
12/14/21	15.006	824.41	Various	816.65	...	7.76	Total of 4 transactions
<b>Security total:</b>		<b>1,409.58</b>		<b>1,336.65</b>	...	<b>72.93</b>	
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	3.684	243.11	12/29/20	250.00	6.89 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.491	98.41	12/30/20	100.00	1.59 W	0.00	2 of 2 - Sale <sup>25</sup>
01/04/21	5.175	341.52	Various	350.00	8.48 W	0.00	Total of 2 transactions
5 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	8.702	506.29	01/14/21	627.07	...	-120.78	1 of 5 - Sale <sup>25</sup>
	3.678	213.99	01/14/21	271.92	...	-57.93	2 of 5 - Sale <sup>25</sup>
	1.491	86.76	01/14/21	109.05	...	-22.29	3 of 5 - Sale <sup>25</sup>
	0.006	0.35	01/14/21	0.44	...	-0.09	4 of 5 - Sale <sup>25</sup>
	2.950	171.61	02/12/21	250.00	...	-78.39	5 of 5 - Sale <sup>25</sup>
07/30/21	16.827	979.00	Various	1,258.48	...	-279.48	Total of 5 transactions
<b>Security total:</b>		<b>1,320.52</b>		<b>1,608.48</b>	<b>8.48 W</b>	<b>-279.48</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SVB FINANCIAL GROUP COMMON STO CK / CUSIP: 78486Q101 / Symbol:							
3 transactions for 10/04/21. Total proceeds and cost reported to the IRS.							
	0.002	1.42	01/14/21	1.00	...	0.42	1 of 3 - Sale <sup>25</sup>
	0.164	108.10	01/14/21	75.74	...	32.36	2 of 3 - Sale <sup>25</sup>
	1.998	1,314.83	01/14/21	923.26	...	391.57	3 of 3 - Sale <sup>25</sup>
10/04/21	2.164	1,424.35	Various	1,000.00	...	424.35	Total of 3 transactions
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
01/06/21	0.471	514.49	12/10/20	500.00	...	14.49	Sale <sup>25</sup>
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.682	745.28	12/28/20	800.00	54.72 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.218	238.31	12/30/20	250.00	8.56 W	-3.13	2 of 2 - Sale <sup>25</sup>
01/06/21	0.900	983.59	Various	1,050.00	63.28 W	-3.13	Total of 2 transactions
2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	0.160	238.79	01/14/21	198.24	...	40.55	1 of 2 - Sale <sup>25</sup>
	0.682	1,020.11	01/14/21	865.04	...	155.07	2 of 2 - Sale <sup>25</sup>
12/10/21	0.841	1,258.90	Various	1,063.28	...	195.62	Total of 2 transactions
12/10/21	0.159	237.37	09/09/21	239.49	2.12 W	0.00	Sale <sup>25</sup>
3 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	0.239	340.89	09/09/21	360.51	19.62 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.159	226.46	12/10/21	232.15	5.69 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.603	860.14	12/10/21	873.70	13.56 W	0.00	3 of 3 - Sale <sup>25</sup>
12/13/21	1.000	1,427.49	Various	1,466.36	38.87 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>4,421.84</b>		<b>4,319.13</b>	<b>104.27 W</b>	<b>206.98</b>	
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
09/15/21	1.943	629.37	09/02/21	600.00	...	29.37	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
5 transactions for 07/22/21. Total proceeds and cost reported to the IRS.							
	1.000	649.64	01/13/21	842.87	...	-193.23	1 of 5 - Sale <sup>25</sup>
	0.000	0.10	01/13/21	0.13	...	-0.03	2 of 5 - Sale <sup>25</sup>
	0.500	324.87	02/12/21	401.20	...	-76.33	3 of 5 - Sale <sup>25</sup>
	0.000	0.11	06/02/21	0.10	...	0.01	4 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.039	25.27	06/02/21	23.51	...	1.76	5 of 5 - Sale <sup>25</sup>
07/22/21	1.539	999.99	Various	1,267.81	...	-267.82	Total of 5 transactions
	2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.						
	0.751	543.98	06/02/21	453.61	...	90.37	1 of 2 - Sale <sup>25</sup>
	1.000	724.73	06/02/21	604.35	...	120.38	2 of 2 - Sale <sup>25</sup>
08/30/21	1.751	1,268.71	Various	1,057.96	...	210.75	Total of 2 transactions
10/04/21	0.791	628.04	09/09/21	600.00	...	28.04	Sale <sup>25</sup>
	2 transactions for 12/09/21. Total proceeds and cost reported to the IRS.						
	0.000	0.06	12/03/21	0.06	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.991	1,005.93	12/03/21	999.94	...	5.99	2 of 2 - Sale <sup>25</sup>
12/09/21	0.991	1,005.99	Various	1,000.00	...	5.99	Total of 2 transactions
	<b>Security total:</b>	<b>3,902.73</b>		<b>3,925.77</b>	...	<b>-23.04</b>	
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
09/02/21	2.471	599.55	09/02/21	600.00	0.45 W	0.00	Sale <sup>25</sup>
09/23/21	2.462	816.62	09/09/21	700.45	...	116.17	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,416.17</b>		<b>1,300.45</b>	<b>0.45 W</b>	<b>116.17</b>	
VERIZON COMMUNICATIONS / CUSIP: 92343V104 / Symbol:							
	3 transactions for 06/24/21. Total proceeds and cost reported to the IRS.						
	0.000	0.01	02/12/21	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.629	35.42	02/12/21	34.09	...	1.33	2 of 3 - Sale <sup>25</sup>
	4.000	225.36	02/12/21	216.90	...	8.46	3 of 3 - Sale <sup>25</sup>
06/24/21	4.629	260.79	Various	251.00	...	9.79	Total of 3 transactions
WALKER & DUNLOP, INC. / CUSIP: 93148P102 / Symbol:							
	2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.						
	0.323	36.57	09/02/21	36.42	...	0.15	1 of 2 - Sale <sup>25</sup>
	5.000	565.79	09/02/21	563.58	...	2.21	2 of 2 - Sale <sup>25</sup>
09/02/21	5.323	602.36	Various	600.00	...	2.36	Total of 2 transactions
ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol:							
09/28/21	2.497	651.65	09/09/21	700.00	...	-48.35	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
3 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	0.267	93.48	12/29/20	115.38	21.90 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.439	153.85	12/29/20	172.79	18.94 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.284	99.50	12/30/20	111.17	11.67 W	0.00	3 of 3 - Sale <sup>25</sup>
01/04/21	0.990	346.83	Various	399.34	52.51 W	0.00	Total of 3 transactions
5 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	0.267	98.44	01/14/21	124.11	...	-25.67	1 of 5 - Sale <sup>25</sup>
	0.439	162.01	01/14/21	187.16	...	-25.15	2 of 5 - Sale <sup>25</sup>
	0.284	104.79	01/14/21	120.47	...	-15.68	3 of 5 - Sale <sup>25</sup>
	0.006	2.38	01/14/21	2.72	...	-0.34	4 of 5 - Sale <sup>25</sup>
	0.004	1.45	01/14/21	1.67	...	-0.22	5 of 5 - Sale <sup>25</sup>
06/25/21	1.000	369.07	Various	436.13	...	-67.06	Total of 5 transactions
3 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	1.000	344.62	01/14/21	407.24	62.62 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.610	210.07	01/14/21	233.59	23.52 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.230	79.26	02/11/21	100.00	20.74 W	0.00	3 of 3 - Sale <sup>25</sup>
08/30/21	1.840	633.95	Various	740.83	106.88 W	0.00	Total of 3 transactions
7 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	0.614	161.28	09/09/21	218.70	...	-57.42	1 of 7 - Sale <sup>25</sup>
	0.610	160.08	09/09/21	202.41	...	-42.33	2 of 7 - Sale <sup>25</sup>
	0.386	101.33	09/09/21	137.37	...	-36.04	3 of 7 - Sale <sup>25</sup>
	0.230	60.40	09/09/21	88.24	...	-27.84	4 of 7 - Sale <sup>25</sup>
	0.546	143.32	09/09/21	160.16	...	-16.84	5 of 7 - Sale <sup>25</sup>
	1.000	262.60	09/23/21	276.51	...	-13.91	6 of 7 - Sale <sup>25</sup>
	0.085	22.31	09/23/21	23.49	...	-1.18	7 of 7 - Sale <sup>25</sup>
09/28/21	3.470	911.32	Various	1,106.88	...	-195.56	Total of 7 transactions
	<b>Security total:</b>	<b>2,261.17</b>		<b>2,683.18</b>	<b>159.39 W</b>	<b>-262.62</b>	
FIVERR INTERNATIONAL LTD. / CUSIP: M4R82T106 / Symbol:							
01/04/21	1.286	250.49	12/31/20	250.00	...	0.49	Sale <sup>25</sup>
5 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	0.064	12.37	12/29/20	12.47	0.10 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.210	40.86	12/29/20	41.18	0.32 W	0.00	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FIVERR INTERNATIONAL LTD. / CUSIP: M4R82T106 / Symbol: (cont'd)							
	0.064	12.37	12/29/20	12.59	0.22 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.935	182.08	12/29/20	183.86	1.78 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.506	98.54	12/30/20	100.00	1.46 W	0.00	5 of 5 - Sale <sup>25</sup>
01/04/21	1.777	346.22	Various	350.10	3.88 W	0.00	Total of 5 transactions
	6 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	2.025	416.78	01/14/21	541.61	...	-124.83	1 of 6 - Sale <sup>25</sup>
	0.506	104.11	01/14/21	136.76	...	-32.65	2 of 6 - Sale <sup>25</sup>
	0.469	96.50	01/14/21	126.30	...	-29.80	3 of 6 - Sale <sup>25</sup>
	0.466	95.88	01/14/21	125.48	...	-29.60	4 of 6 - Sale <sup>25</sup>
	0.210	43.17	01/14/21	56.42	...	-13.25	5 of 6 - Sale <sup>25</sup>
	0.064	13.07	01/14/21	17.21	...	-4.14	6 of 6 - Sale <sup>25</sup>
06/02/21	3.739	769.51	Various	1,003.78	...	-234.27	Total of 6 transactions
	<b>Security total:</b>	<b>1,366.22</b>		<b>1,603.88</b>	<b>3.88 W</b>	<b>-233.78</b>	
<b>Totals :</b>		<b>50,441.19</b>		<b>50,275.13</b>	<b>354.49 W</b>	<b>520.55</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

## Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ACTIVISION BLIZZARD, INC. COMM ON STOCK	00507V109		05/06/21	0.50	Qualified dividend	
APPLE INC. COMMON STOCK	037833100		02/11/21	2.20	Qualified dividend	
			05/13/21	2.36	Qualified dividend	
			08/12/21	2.36	Qualified dividend	
			11/11/21	2.36	Qualified dividend	
				<b>9.28</b>	<b>Total Dividends &amp; distributions</b>	
COMCAST CORPORATION CLASS A COMMON STOCK	20030N101		07/28/21	2.31	Qualified dividend	
			10/27/21	2.31	Qualified dividend	
				<b>4.62</b>	<b>Total Dividends &amp; distributions</b>	
MASTERCARD INCORPORATED	57636Q104		11/09/21	1.54	Qualified dividend	
MICROSOFT CORPORATION COMMON STOCK	594918104		09/09/21	0.99	Qualified dividend	
			12/09/21	1.09	Qualified dividend	
				<b>2.08</b>	<b>Total Dividends &amp; distributions</b>	
NVIDIA CORPORATION COMMON STOCK	67066G104		03/31/21	0.15	Qualified dividend	
			07/01/21	0.15	Qualified dividend	
			09/23/21	0.15	Qualified dividend	
				<b>0.45</b>	<b>Total Dividends &amp; distributions</b>	
VERIZON COMMUNICATIONS	92343V104		05/03/21	2.90	Qualified dividend	
				<b>21.37</b>	<b>Total Dividends &amp; distributions</b>	



25 Tax lot closed on a first in first out basis.

---

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Instructions for Recipient**

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.