

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 596352096	Statement Date: 02/14/2021 Document ID: 8Q4X M16 C854	2020
	Ajeyo Bhattacharya 1301 Hardimont Road 1 Raleigh, NC 27609 RECIPIENT'S TIN: XXX-XX-9684		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 3.31</p> <p>1b- Qualified dividends 3.31</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
--	---

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	47,605.04	45,984.89	0.00	356.79	1,976.94
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	47,605.04	45,984.89	0.00	356.79	1,976.94
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	47,605.04	45,984.89	0.00	356.79	1,976.94
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 596352096

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 596352096

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACTIVISION BLIZZARD, INC. COMM ON STOCK / CUSIP: 00507V109 / Symbol:							
10/28/20	10.000	799.48	10/22/20	807.30	...	-7.82	Sale ²⁵
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	0.063	31.11	11/25/20	29.73	...	1.38	1 of 3 - Sale ²⁵
	0.577	282.59	11/25/20	270.27	...	12.32	2 of 3 - Sale ²⁵
	0.423	207.34	11/30/20	200.00	...	7.34	3 of 3 - Sale ²⁵
12/09/20	1.063	521.04	Various	500.00	...	21.04	Total of 3 transactions
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
08/03/20	1.000	259.31	07/22/20	253.59	...	5.72	Sale ²⁵
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	0.250	63.61	08/13/20	63.61	...	0.00	1 of 2 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	0.250	63.61	08/13/20	63.47	...	0.14	2 of 2 - Sale ²⁵
08/13/20	0.500	127.22	Various	127.08	...	0.14	Total of 2 transactions
	Security total:	386.53		380.67	...	5.86	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
10/29/20	0.250	791.57	10/22/20	789.61	...	1.96	Sale ²⁵
APPIAN CORPORATION CLASS A COM MON STOCK / CUSIP: 03782L101 / Symbol:							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.336	58.38	11/12/20	31.52	...	26.86	1 of 2 - Sale ²⁵
	4.989	865.77	11/12/20	468.48	...	397.29	2 of 2 - Sale ²⁵
11/30/20	5.326	924.15	Various	500.00	...	424.15	Total of 2 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
08/06/20	0.250	111.20	08/05/20	0.00	...	111.20	Sale ²⁵
5 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	0.250	115.13	08/07/20	113.18	...	1.95	1 of 5 - Sale ²⁵
	0.250	115.12	08/07/20	112.66	...	2.46	2 of 5 - Sale ²⁵
	0.250	115.13	08/07/20	111.25	...	3.88	3 of 5 - Sale ²⁵
	0.250	115.12	08/07/20	111.01	...	4.11	4 of 5 - Sale ²⁵
	0.500	229.28	08/17/20	229.05	...	0.23	5 of 5 - Sale ²⁵
08/17/20	1.500	689.78	Various	677.15	...	12.63	Total of 5 transactions
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	4.000	524.97	08/17/20	457.95	...	67.02	1 of 2 - Sale ²⁵
	4.000	524.97	08/25/20	493.00	...	31.97	2 of 2 - Sale ²⁵
09/02/20	8.000	1,049.94	Various	950.95	...	98.99	Total of 2 transactions
09/04/20	2.000	228.46	09/03/20	240.00	...	-11.54	Sale ²⁵
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	2.000	228.46	09/02/20	262.40	33.94 W	0.00	1 of 3 - Sale ²⁵
	2.000	228.46	09/02/20	260.00	31.54 W	0.00	2 of 3 - Sale ²⁵
	2.000	228.46	09/02/20	257.00	28.54 W	0.00	3 of 3 - Sale ²⁵
09/04/20	6.000	685.38	Various	779.40	94.02 W	0.00	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	2.000	230.66	09/08/20	265.44	...	-34.78	1 of 2 - Sale ²⁵
	1.000	115.33	09/11/20	127.77	...	-12.44	2 of 2 - Sale ²⁵
09/14/20	3.000	345.99	Various	393.21	...	-47.22	Total of 2 transactions
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	114.20	09/18/20	124.77	10.57 W	0.00	1 of 3 - Sale ²⁵
	1.000	114.21	09/18/20	123.27	9.06 W	0.00	2 of 3 - Sale ²⁵
	1.000	114.20	09/23/20	121.02	6.82 W	0.00	3 of 3 - Sale ²⁵
09/29/20	3.000	342.61	Various	369.06	26.45 W	0.00	Total of 3 transactions
3 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	4.000	442.07	10/30/20	443.72	...	-1.65	1 of 3 - Sale ²⁵
	6.000	663.10	10/30/20	648.29	...	14.81	2 of 3 - Sale ²⁵
	5.000	552.59	11/02/20	540.23	...	12.36	3 of 3 - Sale ²⁵
11/03/20	15.000	1,657.76	Various	1,632.24	...	25.52	Total of 3 transactions
4 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	1.000	110.52	10/28/20	122.63	12.11 W	0.00	1 of 4 - Sale ²⁵
	1.000	110.52	10/28/20	121.13	10.61 W	0.00	2 of 4 - Sale ²⁵
	1.000	110.52	10/28/20	118.88	8.36 W	0.00	3 of 4 - Sale ²⁵
	2.000	221.03	10/28/20	224.13	3.10 W	0.00	4 of 4 - Sale ²⁵
11/03/20	5.000	552.59	Various	586.77	34.18 W	0.00	Total of 4 transactions
	Security total:	5,663.71		5,628.78	154.65 W	189.58	
AUTODESK, INC. COMMON STOCK / CUSIP: 052769106 / Symbol:							
10/20/20	4.000	1,040.73	10/15/20	992.41	...	48.32	Sale ²⁵
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	0.785	234.22	12/10/20	219.95	...	14.27	1 of 2 - Sale ²⁵
	0.999	298.05	12/10/20	280.05	...	18.00	2 of 2 - Sale ²⁵
12/29/20	1.785	532.27	Various	500.00	...	32.27	Total of 2 transactions
	Security total:	1,573.00		1,492.41	...	80.59	
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
11/02/20	6.000	291.01	10/28/20	290.88	...	0.13	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COSTCO WHOLESALE CORPORATION C OMMON STOCK / CUSIP: 22160K105 / Symbol:							
4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	374.27	12/02/20	384.89	...	-10.62	1 of 4 - Sale ²⁵
	0.299	111.90	12/02/20	115.08	...	-3.18	2 of 4 - Sale ²⁵
	0.000	0.03	12/02/20	0.03	...	0.00	3 of 4 - Sale ²⁵
	1.333	498.81	12/03/20	500.00	...	-1.19	4 of 4 - Sale ²⁵
12/09/20	2.632	985.01	Various	1,000.00	...	-14.99	Total of 4 transactions
COUPA SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 22266L106 / Symbol:							
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	2.000	680.62	12/17/20	716.45	...	-35.83	1 of 2 - Sale ²⁵
	0.512	174.55	12/17/20	183.55	...	-9.00	2 of 2 - Sale ²⁵
12/29/20	2.512	855.17	Various	900.00	...	-44.83	Total of 2 transactions
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.700	104.46	11/12/20	94.55	...	9.91	1 of 2 - Sale ²⁵
	2.992	446.79	11/12/20	405.45	...	41.34	2 of 2 - Sale ²⁵
11/30/20	3.692	551.25	Various	500.00	...	51.25	Total of 2 transactions
12/29/20	2.769	574.68	12/10/20	500.00	...	74.68	Sale ²⁵
	Security total:	1,125.93		1,000.00	...	125.93	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	26.11	07/30/20	25.00	...	1.11	1 of 2 - Sale ²⁵
	1.000	26.11	07/31/20	24.51	...	1.60	2 of 2 - Sale ²⁵
08/04/20	2.000	52.22	Various	49.51	...	2.71	Total of 2 transactions
08/04/20	1.000	26.11	07/22/20	26.30	0.19 W	0.00	Sale ²⁵
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	28.41	08/07/20	26.97	...	1.44	1 of 2 - Sale ²⁵
	1.000	28.40	08/07/20	26.77	...	1.63	2 of 2 - Sale ²⁵
08/10/20	2.000	56.81	Various	53.74	...	3.07	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	28.55	08/17/20	28.50	...	0.05	1 of 3 - Sale ²⁵
	1.000	28.55	08/17/20	28.38	...	0.17	2 of 3 - Sale ²⁵
	5.000	142.75	08/18/20	138.00	...	4.75	3 of 3 - Sale ²⁵
08/19/20	7.000	199.85	Various	194.88	...	4.97	Total of 3 transactions
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	2.000	57.10	08/13/20	57.40	0.30 W	0.00	1 of 2 - Sale ²⁵
	1.000	28.55	08/13/20	28.64	0.09 W	0.00	2 of 2 - Sale ²⁵
08/19/20	3.000	85.65	Various	86.04	0.39 W	0.00	Total of 2 transactions
3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	31.14	08/21/20	27.49	...	3.65	1 of 3 - Sale ²⁵
	1.000	31.14	08/21/20	27.40	...	3.74	2 of 3 - Sale ²⁵
	2.000	62.28	08/21/20	55.10	...	7.18	3 of 3 - Sale ²⁵
08/31/20	4.000	124.56	Various	109.99	...	14.57	Total of 3 transactions
09/18/20	1.000	32.71	09/01/20	30.80	...	1.91	Sale ²⁵
11/02/20	17.000	508.28	10/28/20	492.59	...	15.69	Sale ²⁵
	Security total:	1,086.19		1,043.85	0.58 W	42.92	
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
09/14/20	1.000	132.14	09/11/20	132.00	...	0.14	Sale ²⁵
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	125.99	09/18/20	128.81	...	-2.82	1 of 2 - Sale ²⁵
	1.000	125.99	09/23/20	123.05	...	2.94	2 of 2 - Sale ²⁵
09/28/20	2.000	251.98	Various	251.86	...	0.12	Total of 2 transactions
3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	0.254	45.18	12/10/20	39.03	...	6.15	1 of 3 - Sale ²⁵
	0.650	115.52	12/10/20	100.00	...	15.52	2 of 3 - Sale ²⁵
	2.998	533.16	12/10/20	460.97	...	72.19	3 of 3 - Sale ²⁵
12/29/20	3.902	693.86	Various	600.00	...	93.86	Total of 3 transactions
	Security total:	1,077.98		983.86	...	94.12	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol:							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	5.000	29.70	07/23/20	29.68	...	0.02	1 of 2 - Sale ²⁵
	5.000	29.70	07/24/20	28.60	...	1.10	2 of 2 - Sale ²⁵
08/03/20	10.000	59.40	Various	58.28	...	1.12	Total of 2 transactions
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
07/31/20	1.000	251.30	07/21/20	243.47	...	7.83	Sale ²⁵
08/04/20	1.000	249.00	08/04/20	248.65	...	0.35	Sale ²⁵
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	260.56	08/31/20	292.95	...	-32.39	1 of 2 - Sale ²⁵
	1.000	260.55	09/17/20	257.00	...	3.55	2 of 2 - Sale ²⁵
09/30/20	2.000	521.11	Various	549.95	...	-28.84	Total of 2 transactions
09/30/20	1.000	260.56	08/27/20	296.00	35.44 W	0.00	Sale ²⁵
10/29/20	1.000	281.35	10/28/20	305.85	...	-24.50	Sale ²⁵
Security total:		1,563.32		1,643.92	35.44 W	-45.16	
FAIR ISAAC CORPORATION / CUSIP: 303250104 / Symbol:							
3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	0.577	271.33	11/25/20	273.07	...	-1.74	1 of 3 - Sale ²⁵
	0.366	171.92	11/30/20	173.07	...	-1.15	2 of 3 - Sale ²⁵
	0.057	26.75	11/30/20	27.08	...	-0.33	3 of 3 - Sale ²⁵
12/02/20	1.000	470.00	Various	473.22	...	-3.22	Total of 3 transactions
12/02/20	0.057	26.78	11/25/20	26.93	0.15 W	0.00	Sale ²⁵
Security total:		496.78		500.15	0.15 W	-3.22	
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	0.500	142.55	08/13/20	140.66	...	1.89	1 of 2 - Sale ²⁵
	0.500	142.56	08/13/20	140.39	...	2.17	2 of 2 - Sale ²⁵
08/18/20	1.000	285.11	Various	281.05	...	4.06	Total of 2 transactions
08/19/20	1.000	283.55	08/18/20	283.50	...	0.05	Sale ²⁵
08/24/20	1.000	285.50	08/19/20	282.50	...	3.00	Sale ²⁵
12/22/20	2.000	539.70	12/04/20	533.80	...	5.90	Sale ²⁵
Security total:		1,393.86		1,380.85	...	13.01	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HUBSPOT, INC. / CUSIP: 443573100 / Symbol:							
3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	0.001	0.34	10/08/20	0.33	...	0.01	1 of 3 - Sale ²⁵
	0.621	199.77	10/08/20	191.64	...	8.13	2 of 3 - Sale ²⁵
	0.999	320.96	10/08/20	308.03	...	12.93	3 of 3 - Sale ²⁵
10/13/20	1.621	521.07	Various	500.00	...	21.07	Total of 3 transactions
IDEXX LABORATORIES, INC. COMMO N STOCK / CUSIP: 45168D104 / Symbol:							
10/28/20	1.885	809.41	10/22/20	800.00	...	9.41	Sale ²⁵
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
3 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	2.000	98.08	08/05/20	97.40	...	0.68	1 of 3 - Sale ²⁵
	1.000	49.04	08/13/20	48.65	...	0.39	2 of 3 - Sale ²⁵
	1.000	49.05	08/13/20	48.50	...	0.55	3 of 3 - Sale ²⁵
08/17/20	4.000	196.17	Various	194.55	...	1.62	Total of 3 transactions
09/02/20	2.000	104.04	08/19/20	97.08	...	6.96	Sale ²⁵
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	5.000	224.75	10/27/20	228.59	...	-3.84	1 of 2 - Sale ²⁵
	5.000	224.75	10/29/20	219.99	...	4.76	2 of 2 - Sale ²⁵
11/02/20	10.000	449.50	Various	448.58	...	0.92	Total of 2 transactions
	Security total:	749.71		740.21	...	9.50	
INVESCO QQQ TRUST, SERIES 1 / CUSIP: 46090E103 / Symbol:							
3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	277.18	08/24/20	282.00	...	-4.82	1 of 3 - Sale ²⁵
	1.000	277.18	09/02/20	298.80	...	-21.62	2 of 3 - Sale ²⁵
	1.000	277.18	09/08/20	272.00	...	5.18	3 of 3 - Sale ²⁵
09/30/20	3.000	831.54	Various	852.80	...	-21.26	Total of 3 transactions
JD.COM, INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 47215P106 / Symbol:							
4 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	3.000	253.10	11/25/20	261.69	...	-8.59	1 of 4 - Sale ²⁵
	0.439	37.05	11/25/20	38.31	...	-1.26	2 of 4 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JD.COM, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 47215P106 / Symbol: (cont'd)							
	1.999	168.68	11/30/20	171.51	...	-2.83	3 of 4 - Sale ²⁵
	0.332	28.03	11/30/20	28.49	...	-0.46	4 of 4 - Sale ²⁵
12/02/20	5.771	486.86	Various	500.00	...	-13.14	Total of 4 transactions
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
12/07/20	12.000	1,099.05	12/03/20	966.00	...	133.05	Sale ²⁵
	4 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	0.012	1.50	12/10/20	1.17	...	0.33	1 of 4 - Sale ²⁵
	0.057	7.25	12/10/20	5.63	...	1.62	2 of 4 - Sale ²⁵
	4.988	631.00	12/10/20	493.20	...	137.80	3 of 4 - Sale ²⁵
	3.000	379.50	12/11/20	278.36	...	101.14	4 of 4 - Sale ²⁵
12/24/20	8.057	1,019.25	Various	778.36	...	240.89	Total of 4 transactions
	Security total:	2,118.30		1,744.36	...	373.94	
MASTERCARD INCORPORATED / CUSIP: 57636Q104 / Symbol:							
	2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	1.000	290.18	10/28/20	298.16	...	-7.98	1 of 2 - Sale ²⁵
	1.000	290.17	10/30/20	291.85	...	-1.68	2 of 2 - Sale ²⁵
11/02/20	2.000	580.35	Various	590.01	...	-9.66	Total of 2 transactions
MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol:							
12/09/20	5.000	1,039.33	12/02/20	1,050.00	...	-10.67	Sale ²⁵
MICROSOFT CORPORATION COMMON STOCK / CUSIP: 594918104 / Symbol:							
07/31/20	1.000	204.00	07/31/20	199.49	...	4.51	Sale ²⁵
08/04/20	1.000	211.25	08/04/20	210.50	...	0.75	Sale ²⁵
08/06/20	1.000	213.85	08/05/20	212.50	...	1.35	Sale ²⁵
	2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	0.500	104.74	08/10/20	104.20	...	0.54	1 of 2 - Sale ²⁵
	1.000	209.49	08/11/20	204.00	...	5.49	2 of 2 - Sale ²⁵
08/13/20	1.500	314.23	Various	308.20	...	6.03	Total of 2 transactions
08/13/20	0.500	104.75	08/07/20	107.15	2.40 W	0.00	Sale ²⁵
08/17/20	0.500	105.11	08/13/20	104.65	...	0.46	Sale ²⁵
08/17/20	0.500	105.11	08/13/20	107.05	1.94 W	0.00	Sale ²⁵
08/19/20	0.500	105.26	08/17/20	105.00	...	0.26	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
08/19/20	0.500	105.26	08/17/20	106.94	1.68 W	0.00	Sale ²⁵
	2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	0.500	113.33	08/19/20	106.83	...	6.50	1 of 2 - Sale ²⁵
	0.500	113.32	08/19/20	105.15	...	8.17	2 of 2 - Sale ²⁵
09/01/20	1.000	226.65	Various	211.98	...	14.67	Total of 2 transactions
09/29/20	1.000	207.97	09/18/20	197.00	...	10.97	Sale ²⁵
10/29/20	2.000	411.26	10/28/20	409.56	...	1.70	Sale ²⁵
	Security total:	2,314.70		2,280.02	6.02 W	40.70	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
07/28/20	1.000	81.69	07/23/20	75.70	...	5.99	Sale ²⁵
07/28/20	1.000	81.69	07/20/20	83.43	1.74 W	0.00	Sale ²⁵
08/07/20	1.000	73.96	08/06/20	75.08	...	-1.12	Sale ²⁵
	Security total:	237.34		234.21	1.74 W	4.87	
NASDAQ, INC. COMMON STOCK / CUSIP: 631103108 / Symbol:							
	2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	1.000	136.87	08/21/20	129.50	...	7.37	1 of 2 - Sale ²⁵
	1.000	136.87	08/25/20	130.00	...	6.87	2 of 2 - Sale ²⁵
09/02/20	2.000	273.74	Various	259.50	...	14.24	Total of 2 transactions
	2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	1.000	121.75	09/04/20	131.00	...	-9.25	1 of 2 - Sale ²⁵
	1.000	121.74	09/08/20	127.75	...	-6.01	2 of 2 - Sale ²⁵
09/30/20	2.000	243.49	Various	258.75	...	-15.26	Total of 2 transactions
	Security total:	517.23		518.25	...	-1.02	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
11/03/20	0.500	245.88	10/29/20	242.09	...	3.79	Sale ²⁵
NICE LTD AMERICAN DEPOSITARY S HARES / CUSIP: 653656108 / Symbol:							
09/30/20	0.450	101.71	09/25/20	99.27	...	2.44	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
09/30/20	0.200	107.99	09/25/20	100.02	...	7.97	Sale ²⁵
	<i>2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>						
	0.567	301.84	11/25/20	300.00	...	1.84	1 of 2 - Sale ²⁵
	0.380	202.57	11/30/20	200.00	...	2.57	2 of 2 - Sale ²⁵
12/02/20	0.947	504.41	Various	500.00	...	4.41	Total of 2 transactions
	Security total:	612.40		600.02	...	12.38	
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
	<i>2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.</i>						
	0.010	2.68	12/10/20	2.55	...	0.13	1 of 2 - Sale ²⁵
	2.000	523.07	12/10/20	497.45	...	25.62	2 of 2 - Sale ²⁵
12/29/20	2.010	525.75	Various	500.00	...	25.75	Total of 2 transactions
OLD DOMINION FREIGHT LINE, INC . COMMON STOCK / CUSIP: 679580100 / Symbol:							
	<i>2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	180.70	09/14/20	194.00	...	-13.30	1 of 2 - Sale ²⁵
	1.000	180.69	09/16/20	192.92	...	-12.23	2 of 2 - Sale ²⁵
09/30/20	2.000	361.39	Various	386.92	...	-25.53	Total of 2 transactions
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
10/02/20	11.000	485.14	10/01/20	482.89	...	2.25	Sale ²⁵
	<i>3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>						
	0.000	0.02	10/08/20	0.02	...	0.00	1 of 3 - Sale ²⁵
	0.486	21.30	10/08/20	21.17	...	0.13	2 of 3 - Sale ²⁵
	11.000	481.68	10/08/20	478.81	...	2.87	3 of 3 - Sale ²⁵
10/13/20	11.487	503.00	Various	500.00	...	3.00	Total of 3 transactions
	<i>2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.</i>						
	8.401	566.51	11/12/20	500.00	...	66.51	1 of 2 - Sale ²⁵
	3.070	207.04	11/23/20	200.00	...	7.04	2 of 2 - Sale ²⁵
11/30/20	11.471	773.55	Various	700.00	...	73.55	Total of 2 transactions
	Security total:	1,761.69		1,682.89	...	78.80	
PROCTER & GAMBLE COMPANY / CUSIP: 742718109 / Symbol:							
08/21/20	1.000	136.31	08/19/20	135.75	...	0.56	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RH / CUSIP: 74967X103 / Symbol:							
3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	0.119	54.09	11/25/20	53.47	...	0.62	1 of 3 - Sale ²⁵
	0.550	248.33	11/25/20	246.53	...	1.80	2 of 3 - Sale ²⁵
	0.450	202.84	11/30/20	200.00	...	2.84	3 of 3 - Sale ²⁵
12/02/20	1.119	505.26	Various	500.00	...	5.26	Total of 3 transactions
SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol:							
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	7.76	07/17/20	8.23	...	-0.47	1 of 2 - Sale ²⁵
	2.000	15.52	07/23/20	15.47	...	0.05	2 of 2 - Sale ²⁵
08/04/20	3.000	23.28	Various	23.70	...	-0.42	Total of 2 transactions
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
3 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	0.200	187.25	10/29/20	198.95	...	-11.70	1 of 3 - Sale ²⁵
	0.076	71.19	10/30/20	70.00	...	1.19	2 of 3 - Sale ²⁵
	0.250	234.06	11/02/20	227.51	...	6.55	3 of 3 - Sale ²⁵
11/03/20	0.526	492.50	Various	496.46	...	-3.96	Total of 3 transactions
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	4.000	107.92	09/15/20	101.60	...	6.32	1 of 2 - Sale ²⁵
	4.000	107.92	09/16/20	101.00	...	6.92	2 of 2 - Sale ²⁵
09/30/20	8.000	215.84	Various	202.60	...	13.24	Total of 2 transactions
SOLAREEDGE TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83417M104 / Symbol:							
09/30/20	0.500	118.32	09/25/20	99.60	...	18.72	Sale ²⁵
10/27/20	0.006	1.49	10/22/20	1.58	...	-0.09	Sale ²⁵
10/27/20	2.841	753.45	10/22/20	798.42	31.66 W	-13.31	Sale ²⁵
11/30/20	2.000	549.26	11/12/20	487.24	...	62.02	Sale ²⁵
	Security total:	1,422.52		1,386.84	31.66 W	67.34	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SONY CORPORATION ADS / CUSIP: 835699307 / Symbol:							
09/01/20	2.000	159.75	08/20/20	158.30	...	1.45	Sale ²⁵
<i>2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	159.08	09/02/20	158.38	...	0.70	1 of 2 - Sale ²⁵
	2.000	159.08	09/15/20	153.62	...	5.46	2 of 2 - Sale ²⁵
09/18/20	4.000	318.16	Various	312.00	...	6.16	Total of 2 transactions
	Security total:	477.91		470.30	...	7.61	
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
<i>3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	33.25	07/22/20	33.20	...	0.05	1 of 3 - Sale ²⁵
	1.000	33.25	07/23/20	32.98	...	0.27	2 of 3 - Sale ²⁵
	1.000	33.25	07/30/20	31.00	...	2.25	3 of 3 - Sale ²⁵
08/06/20	3.000	99.75	Various	97.18	...	2.57	Total of 3 transactions
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
<i>3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.01	10/08/20	0.01	...	0.00	1 of 3 - Sale ²⁵
	0.703	133.05	10/08/20	130.06	...	2.99	2 of 3 - Sale ²⁵
	2.000	378.46	10/08/20	369.93	...	8.53	3 of 3 - Sale ²⁵
10/13/20	2.703	511.52	Various	500.00	...	11.52	Total of 3 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
09/14/20	1.000	419.72	09/08/20	342.50	...	77.22	Sale ²⁵
<i>2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	0.331	200.09	12/02/20	181.94	...	18.15	1 of 2 - Sale ²⁵
	0.578	350.39	12/02/20	318.06	...	32.33	2 of 2 - Sale ²⁵
12/09/20	0.909	550.48	Various	500.00	...	50.48	Total of 2 transactions
<i>2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	0.784	474.97	12/09/20	500.00	25.03 W	0.00	1 of 2 - Sale ²⁵
	0.637	386.11	12/09/20	400.00	13.89 W	0.00	2 of 2 - Sale ²⁵
12/09/20	1.422	861.08	Various	900.00	38.92 W	0.00	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	0.784	470.94	12/09/20	491.59	...	-20.65	1 of 3 - Sale ²⁵
	0.637	382.82	12/09/20	393.15	...	-10.33	2 of 3 - Sale ²⁵
	0.578	347.40	12/09/20	344.18	...	3.22	3 of 3 - Sale ²⁵
12/10/20	2.000	1,201.16	Various	1,228.92	...	-27.76	Total of 3 transactions
	Security total:	3,032.44		2,971.42	38.92 W	99.94	
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
10/29/20	5.000	255.28	10/28/20	242.00	...	13.28	Sale ²⁵
UNION PACIFIC CORP. / CUSIP: 907818108 / Symbol:							
09/29/20	0.500	99.07	09/25/20	98.69	...	0.38	Sale ²⁵
VISA INC. / CUSIP: 92826C839 / Symbol:							
08/03/20	1.000	191.51	07/31/20	190.00	...	1.51	Sale ²⁵
11/02/20	2.000	370.64	10/28/20	361.76	...	8.88	Sale ²⁵
	Security total:	562.15		551.76	...	10.39	
WALMART INC. / CUSIP: 931142103 / Symbol:							
08/10/20	1.000	130.63	08/06/20	129.30	...	1.33	Sale ²⁵
08/10/20	1.000	130.63	07/20/20	131.70	1.07 W	0.00	Sale ²⁵
08/18/20	1.000	136.10	08/18/20	133.74	...	2.36	Sale ²⁵
08/18/20	1.000	136.10	08/18/20	138.48	2.38 W	0.00	Sale ²⁵
08/24/20	1.000	130.42	08/20/20	132.63	...	-2.21	Sale ²⁵
	Security total:	663.88		665.85	3.45 W	1.48	
ZEBRA TECHNOLOGIES CORPORATION CLASS A COMMON STOCK / CUSIP: 989207105 / Symbol:							
11/30/20	2.000	744.40	11/19/20	728.70	...	15.70	Sale ²⁵
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.183	556.61	11/12/20	500.00	...	56.61	1 of 2 - Sale ²⁵
	0.464	218.44	11/23/20	200.00	...	18.44	2 of 2 - Sale ²⁵
11/30/20	1.647	775.05	Various	700.00	...	75.05	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	0.267	94.89	12/10/20	105.28	10.39 W	0.00	1 of 4 - Sale ²⁵
	0.267	94.78	12/10/20	115.67	20.89 W	0.00	2 of 4 - Sale ²⁵
	0.733	260.58	12/10/20	289.44	28.86 W	0.00	3 of 4 - Sale ²⁵
	1.000	355.37	12/24/20	379.41	24.04 W	0.00	4 of 4 - Sale ²⁵
12/28/20	2.267	805.62	Various	889.80	84.18 W	0.00	Total of 4 transactions
	Security total:	1,580.67		1,589.80	84.18 W	75.05	
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	0.721	6.73	10/22/20	6.65	...	0.08	1 of 2 - Sale ²⁵
	85.975	803.18	10/22/20	793.35	...	9.83	2 of 2 - Sale ²⁵
10/28/20	86.696	809.91	Various	800.00	...	9.91	Total of 2 transactions
FIVERR INTERNATIONAL LTD. / CUSIP: M4R82T106 / Symbol:							
09/29/20	2.000	277.59	09/15/20	249.80	...	27.79	Sale ²⁵
10/13/20	3.000	519.59	10/08/20	463.74	...	55.85	Sale ²⁵
3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.000	0.05	11/12/20	0.04	...	0.01	1 of 3 - Sale ²⁵
	0.046	9.07	11/12/20	7.51	...	1.56	2 of 3 - Sale ²⁵
	3.000	593.74	11/12/20	492.45	...	101.29	3 of 3 - Sale ²⁵
11/30/20	3.046	602.86	Various	500.00	...	102.86	Total of 3 transactions
12/24/20	2.597	541.69	12/10/20	500.00	...	41.69	Sale ²⁵
	Security total:	1,941.73		1,713.54	...	228.19	
WIX.COM LTD. ORDINARY SHARES / CUSIP: M98068105 / Symbol:							
10/13/20	1.797	529.12	10/08/20	500.00	...	29.12	Sale ²⁵
ASML HOLDING N.V. NEW YORK REG ISTRY SHARES / CUSIP: N07059210 / Symbol:							
09/30/20	0.250	92.71	09/25/20	88.69	...	4.02	Sale ²⁵
Totals :		47,605.04		45,984.89	356.79 W	1,976.94	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 596352096

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
INTEL CORPORATION COMMON STOCK	458140100		09/01/20	0.66	Qualified dividend	
INVESCO QQQ TRUST, SERIES 1	46090E103		10/30/20	1.16	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		09/10/20	0.51	Qualified dividend	
NASDAQ, INC. COMMON STOCK	631103108		09/25/20	0.98	Qualified dividend	
				3.31	Total Dividends & distributions	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

This page intentionally left blank.