

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 665901997	Statement Date: 03/22/2021 AMENDED Document ID: SWH6 62K Q113	2020
	Mrudula Mekala 13973 AVALON EAST DR FISHERS, IN 46037 RECIPIENT'S TIN: XXX-XX-6448		

[] FATCA filing requirement (see instructions)		Summary Information			
DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	17.00		2- Royalties	0.00	
1b- Qualified dividends	17.00		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	11.50		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	122,623.73	127,939.70	0.00	1,056.88	-4,259.09 C
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.86	2.95	0.00	0.00	-2.09 C
	Total Short-term	122,624.59	127,942.65	0.00	1,056.88	-4,261.18
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	122,624.59	127,942.65	0.00	1,056.88	-4,261.18
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 665901997

Summary Information

2020

(continued)

03/22/2021 AMENDED

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	30.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

03/22/2021 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 07/17/2020 CALL \$14.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	07/02/20	48.00	...	-48.00	Option expiration- long call
AAPL 11/20/2020 CALL \$120.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/18/20	70.00	...	-70.00	Option expiration- long call
AAPL 11/20/2020 PUT \$120.00 / CUSIP: / Symbol:							
11/17/20	1.000	154.98	11/16/20	130.00	...	24.98	Option sale to close-put ²⁵
AAPL 11/27/2020 CALL \$115.00 / CUSIP: / Symbol:							
11/25/20	1.000	189.98	11/23/20	100.00	...	89.98	Option sale to close-call ²⁵
AAPL 12/04/2020 PUT \$122.00 / CUSIP: / Symbol:							
12/04/20	1.000	18.98	12/01/20	100.00	...	-81.02	Option sale to close-put ²⁵

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Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 05/15/2020 CALL \$62.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	04/27/20	150.00	...	-150.00	Option expiration- long call
AMD 09/04/2020 CALL \$85.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/03/20	80.00	...	-80.00	Option expiration- long call
AUDC 05/15/2020 CALL \$30.00 / CUSIP: / Symbol:							
04/28/20	1.000	149.98	04/27/20	100.00	...	49.98	Option sale to close-call ²⁵
BAC 05/01/2020 CALL \$25.00 / CUSIP: / Symbol:							
04/29/20	1.000	33.98	04/28/20	30.00	...	3.98	Option sale to close-call ²⁵
05/01/20	1.000	0.00	04/30/20	10.00	...	-10.00	Option expiration- long call
	Security total:	33.98		40.00	...	-6.02	
BAC 05/22/2020 PUT \$22.00 / CUSIP: / Symbol:							
05/22/20	1.000	0.00	05/18/20	36.00	...	-36.00	Option expiration- long put
BAC 09/25/2020 CALL \$26.00 / CUSIP: / Symbol:							
09/16/20	1.000	47.98	09/16/20	37.00	...	10.98	Option sale to close-call ²⁵
BBBY 07/02/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/29/20	1.000	99.98	06/24/20	48.00	...	51.98	Option sale to close-call ²⁵
BBBY 07/31/2020 CALL \$10.00 / CUSIP: / Symbol:							
07/23/20	1.000	79.98	07/20/20	30.00	...	49.98	Option sale to close-call ²⁵
BBBY 08/14/2020 CALL \$11.00 / CUSIP: / Symbol:							
08/04/20	1.000	83.98	08/03/20	56.00	...	27.98	Option sale to close-call ²⁵
BBBY 08/28/2020 CALL \$13.00 / CUSIP: / Symbol:							
08/28/20	1.000	0.00	08/18/20	36.00	...	-36.00	Option expiration- long call
BBBY 09/04/2020 CALL \$12.00 / CUSIP: / Symbol:							
09/01/20	1.000	79.98	08/27/20	45.00	...	34.98	Option sale to close-call ²⁵

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Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBBY 11/20/2020 CALL \$20.00 / CUSIP: / Symbol:							
11/13/20	1.000	104.98	11/09/20	70.00	...	34.98	Option sale to close-call ²⁵
11/19/20	1.000	64.98	11/18/20	33.00	...	31.98	Option sale to close-call ²⁵
	Security total:	169.96		103.00	...	66.96	
BBBY 11/20/2020 PUT \$20.00 / CUSIP: / Symbol:							
11/18/20	1.000	54.98	11/16/20	30.00	...	24.98	Option sale to close-put ²⁵
BBBY 11/27/2020 PUT \$22.00 / CUSIP: / Symbol:							
11/27/20	1.000	15.98	11/24/20	50.00	...	-34.02	Option sale to close-put ²⁵
BBBY 12/04/2020 CALL \$21.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	12/01/20	25.00	...	-25.00	Option expiration- long call
BBBY 12/11/2020 CALL \$20.00 / CUSIP: / Symbol:							
12/11/20	1.000	0.00	12/07/20	25.00	...	-25.00	Option expiration- long call
BRKB 05/08/2020 CALL \$195.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	04/30/20	115.00	...	-115.00	Option expiration- long call
GNUS 07/24/2020 CALL \$2.00 / CUSIP: / Symbol:							
07/24/20	1.000	0.00	07/23/20	10.00	...	-10.00	Option expiration- long call
HD 08/21/2020 CALL \$290.00 / CUSIP: / Symbol:							
08/20/20	1.000	5.98	08/19/20	56.00	...	-50.02	Option sale to close-call ²⁵
HD 08/28/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/26/20	1.000	29.98	08/19/20	80.00	...	-50.02	Option sale to close-call ²⁵
HTZ 06/19/2020 CALL \$2.50 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/17/20	10.00	...	-10.00	Option expiration- long call
NAK 06/19/2020 CALL \$1.00 / CUSIP: / Symbol:							
05/28/20	1.000	29.98	05/28/20	20.00	...	9.98	Option sale to close-call ²⁵
NAK 06/19/2020 PUT \$1.00 / CUSIP: / Symbol:							
06/10/20	1.000	4.98	06/09/20	0.00	...	4.98	Short sale closed- put ²⁵ Option written: 05/28/20

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 08/07/2020 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/30/20	40.00	...	-40.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/04/20	54.00	...	-54.00	2 of 2 - Option expiration- long call
08/07/20	2.000	0.00	Various	94.00	...	-94.00	Total of 2 transactions
NIO 08/28/2020 CALL \$14.00 / CUSIP: / Symbol:							
08/21/20	1.000	79.98	08/20/20	44.00	...	35.98	Option sale to close-call ²⁵
NIO 10/23/2020 CALL \$20.00 / CUSIP: / Symbol:							
09/28/20	1.000	149.98	09/23/20	140.00	...	9.98	Option sale to close-call ²⁵
NIO 10/30/2020 CALL \$22.00 / CUSIP: / Symbol:							
10/07/20	1.000	181.98	10/06/20	130.00	...	51.98	Option sale to close-call ²⁵
NIO 11/13/2020 CALL \$42.00 / CUSIP: / Symbol:							
11/11/20	1.000	169.98	11/10/20	100.00	...	69.98	Option sale to close-call ²⁵
NIO 11/13/2020 PUT \$47.00 / CUSIP: / Symbol:							
11/13/20	1.000	249.98	11/12/20	110.00	...	139.98	Option sale to close-put ²⁵
NIO 12/04/2020 PUT \$46.00 / CUSIP: / Symbol:							
12/04/20	1.000	279.98	12/03/20	125.00	...	154.98	Option sale to close-put ²⁵
NKLA 10/02/2020 CALL \$45.00 / CUSIP: / Symbol:							
10/02/20	1.000	0.00	09/09/20	480.00	...	-480.00	Option expiration- long call
PCG 05/08/2020 CALL \$12.00 / CUSIP: / Symbol:							
05/05/20	1.000	39.98	04/28/20	20.00	...	19.98	Option sale to close-call ²⁵
PCG 11/06/2020 CALL \$10.00 / CUSIP: / Symbol:							
10/02/20	1.000	59.98	09/28/20	40.00	...	19.98	Option sale to close-call ²⁵
PLL 12/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
12/18/20	1.000	0.00	11/30/20	260.00	...	-260.00	Option expiration- long call
PLUG 09/18/2020 CALL \$13.00 / CUSIP: / Symbol:							
09/16/20	1.000	34.98	09/11/20	28.00	...	6.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 05/08/2020 PUT \$140.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	05/07/20	81.00	...	-81.00	Option expiration- long put
SNAP 11/20/2020 PUT \$42.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/19/20	58.00	...	-58.00	Option expiration- long put
SNE 05/15/2020 CALL \$64.50 / CUSIP: / Symbol:							
05/15/20	1.000	29.98	05/14/20	25.00	...	4.98	Option sale to close-call ²⁵
SPCE 08/07/2020 PUT \$19.00 / CUSIP: / Symbol:							
08/05/20	1.000	39.98	08/04/20	15.00	...	24.98	Option sale to close-put ²⁵
SPCE 08/14/2020 CALL \$20.00 / CUSIP: / Symbol:							
08/14/20	1.000	0.00	08/06/20	70.00	...	-70.00	Option expiration- long call
SPY 05/08/2020 CALL \$310.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	04/20/20	49.00	...	-49.00	Option expiration- long call
SPY 08/12/2020 CALL \$338.00 / CUSIP: / Symbol:							
08/12/20	1.000	31.98	08/11/20	68.00	...	-36.02	Option sale to close-call ²⁵
SPY 08/14/2020 CALL \$337.00 / CUSIP: / Symbol:							
08/13/20	1.000	109.98	08/13/20	110.00	...	-0.02	Option sale to close-call ²⁵
SPY 08/14/2020 PUT \$335.00 / CUSIP: / Symbol:							
08/14/20	1.000	14.98	08/12/20	98.00	...	-83.02	Option sale to close-put ²⁵
SPY 08/14/2020 PUT \$336.00 / CUSIP: / Symbol:							
08/13/20	1.000	129.98	08/13/20	72.00	...	57.98	Option sale to close-put ²⁵
SPY 08/17/2020 CALL \$337.00 / CUSIP: / Symbol:							
08/14/20	1.000	144.98	08/14/20	115.00	...	29.98	Option sale to close-call ²⁵
SPY 08/19/2020 CALL \$338.00 / CUSIP: / Symbol:							
08/18/20	1.000	154.98	08/17/20	135.00	...	19.98	Option sale to close-call ²⁵
SPY 08/19/2020 PUT \$338.00 / CUSIP: / Symbol:							
08/19/20	1.000	39.98	08/18/20	68.00	...	-28.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/21/2020 CALL \$338.00 / CUSIP: / Symbol: 08/20/20	1.000	119.98	08/19/20	110.00	...	9.98	Option sale to close-call ²⁵
SPY 08/21/2020 PUT \$339.00 / CUSIP: / Symbol: 08/19/20	1.000	254.98	08/19/20	125.00	...	129.98	Option sale to close-put ²⁵
SPY 08/28/2020 PUT \$340.00 / CUSIP: / Symbol: 08/28/20	1.000	0.00	08/24/20	150.00	...	-150.00	Option expiration- long put
SPY 08/28/2020 PUT \$343.00 / CUSIP: / Symbol: 08/28/20	1.000	0.00	08/25/20	135.00	...	-135.00	Option expiration- long put
SPY 08/31/2020 PUT \$350.00 / CUSIP: / Symbol: 08/31/20	1.000	16.98	08/28/20	100.00	...	-83.02	Option sale to close-put ²⁵
SPY 09/02/2020 PUT \$351.00 / CUSIP: / Symbol: 09/02/20	1.000	0.98	09/01/20	65.00	...	-64.02	Option sale to close-put ²⁵
SPY 09/04/2020 CALL \$349.00 / CUSIP: / Symbol: 09/04/20	1.000	0.00	09/03/20	140.00	...	-140.00	Option expiration- long call
SPY 09/04/2020 CALL \$356.00 / CUSIP: / Symbol: 09/04/20	1.000	0.00	09/03/20	125.00	...	-125.00	Option expiration- long call
SPY 09/04/2020 PUT \$356.00 / CUSIP: / Symbol: 09/03/20	1.000	249.98	09/02/20	132.00	...	117.98	Option sale to close-put ²⁵
SPY 09/11/2020 PUT \$334.00 / CUSIP: / Symbol: 09/10/20	1.000	249.98	09/09/20	200.00	...	49.98	Option sale to close-put ²⁵
SPY 09/14/2020 PUT \$332.00 / CUSIP: / Symbol: 09/14/20	1.000	0.00	09/11/20	140.00	...	-140.00	Option expiration- long put
SPY 09/16/2020 CALL \$342.00 / CUSIP: / Symbol: 09/15/20	1.000	179.98	09/15/20	150.00	...	29.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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03/22/2021 AMENDED

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/18/2020 CALL \$342.00 / CUSIP: / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	239.98	09/16/20	190.00	...	49.98	1 of 2 - Option sale to close-call ²⁵
	1.000	239.98	09/16/20	170.00	...	69.98	2 of 2 - Option sale to close-call ²⁵
09/16/20	2.000	479.96	Various	360.00	...	119.96	Total of 2 transactions
SPY 09/21/2020 CALL \$339.00 / CUSIP: / Symbol:							
09/21/20	1.000	0.00	09/16/20	235.00	...	-235.00	Option expiration- long call
SPY 09/25/2020 PUT \$328.00 / CUSIP: / Symbol:							
09/23/20	1.000	219.98	09/22/20	190.00	...	29.98	Option sale to close-put ²⁵
SPY 09/28/2020 CALL \$329.00 / CUSIP: / Symbol:							
09/25/20	1.000	174.98	09/23/20	145.00	...	29.98	Option sale to close-call ²⁵
SPY 09/30/2020 PUT \$332.00 / CUSIP: / Symbol:							
09/29/20	1.000	174.98	09/28/20	150.00	...	24.98	Option sale to close-put ²⁵
SPY 10/02/2020 PUT \$336.00 / CUSIP: / Symbol:							
10/02/20	1.000	309.98	09/30/20	190.00	...	119.98	Option sale to close-put ²⁵
SPY 10/05/2020 CALL \$335.00 / CUSIP: / Symbol:							
10/02/20	1.000	259.98	10/02/20	210.00	...	49.98	Option sale to close-call ²⁵
SPY 10/05/2020 PUT \$333.00 / CUSIP: / Symbol:							
10/02/20	1.000	184.98	10/02/20	218.00	...	-33.02	Option sale to close-put ²⁵
SPY 10/07/2020 PUT \$336.00 / CUSIP: / Symbol:							
10/06/20	1.000	249.98	10/05/20	100.00	...	149.98	Option sale to close-put ²⁵
SPY 10/07/2020 PUT \$337.00 / CUSIP: / Symbol:							
10/06/20	1.000	299.98	10/05/20	220.00	...	79.98	Option sale to close-put ²⁵
SPY 10/09/2020 CALL \$336.00 / CUSIP: / Symbol:							
10/07/20	1.000	499.97	10/06/20	280.00	...	219.97	Option sale to close-call ²⁵
SPY 10/09/2020 PUT \$338.00 / CUSIP: / Symbol:							
10/09/20	1.000	0.00	10/07/20	175.00	...	-175.00	Option expiration- long put

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Robinhood Securities LLC

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2020 1099-B* OMB No. 1545-0715

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03/22/2021 AMENDED

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SPY 10/09/2020 PUT \$339.00 / CUSIP: / Symbol: 10/09/20	1.000	0.00	10/07/20	165.00	...	-165.00	Option expiration- long put
SPY 10/12/2020 PUT \$344.00 / CUSIP: / Symbol: 10/12/20	1.000	0.00	10/09/20	95.00	...	-95.00	Option expiration- long put
SPY 10/14/2020 PUT \$348.00 / CUSIP: / Symbol: 10/13/20	1.000	59.98	10/12/20	120.00	...	-60.02	Option sale to close-put ²⁵
SPY 10/16/2020 CALL \$350.00 / CUSIP: / Symbol: 10/14/20	1.000	139.98	10/14/20	145.00	...	-5.02	Option sale to close-call ²⁵
SPY 10/16/2020 PUT \$345.00 / CUSIP: / Symbol: 10/13/20	1.000	99.98	10/12/20	95.00	...	4.98	Option sale to close-put ²⁵
SPY 10/16/2020 PUT \$350.00 / CUSIP: / Symbol: 10/14/20	1.000	200.98	10/14/20	160.00	...	40.98	Option sale to close-put ²⁵
SPY 10/21/2020 CALL \$344.00 / CUSIP: / Symbol: 10/20/20	1.000	359.98	10/19/20	180.00	...	179.98	Option sale to close-call ²⁵
SPY 10/21/2020 CALL \$349.00 / CUSIP: / Symbol: 10/21/20	1.000	0.00	10/19/20	200.00	...	-200.00	Option expiration- long call
SPY 10/23/2020 CALL \$343.00 / CUSIP: / Symbol: 10/23/20	1.000	149.98	10/22/20	150.00	...	-0.02	Option sale to close-call ²⁵
SPY 10/23/2020 CALL \$345.00 / CUSIP: / Symbol: 10/22/20	1.000	139.98	10/20/20	230.00	...	-90.02	Option sale to close-call ²⁵
SPY 10/23/2020 PUT \$342.00 / CUSIP: / Symbol: 10/21/20	1.000	204.98	10/21/20	140.00	...	64.98	Option sale to close-put ²⁵
SPY 10/26/2020 PUT \$342.00 / CUSIP: / Symbol: 10/26/20	1.000	159.98	10/23/20	120.00	...	39.98	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPY 10/28/2020 CALL \$338.00 / CUSIP: / Symbol:							
10/27/20	1.000	309.98	10/26/20	230.00	...	79.98	Option sale to close-call ²⁵
10/28/20	1.000	0.00	10/27/20	180.00	...	-180.00	Option expiration- long call
	Security total:	309.98		410.00	...	-100.02	
SPY 10/28/2020 CALL \$340.00 / CUSIP: / Symbol:							
10/28/20	1.000	0.00	10/26/20	175.00	...	-175.00	Option expiration- long call
SPY 10/28/2020 CALL \$342.00 / CUSIP: / Symbol:							
10/28/20	1.000	0.00	10/26/20	215.00	...	-215.00	Option expiration- long call
SPY 10/30/2020 CALL \$335.00 / CUSIP: / Symbol:							
10/29/20	1.000	44.98	10/28/20	200.00	...	-155.02	Option sale to close-call ²⁵
SPY 10/30/2020 PUT \$316.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/29/20	45.00	...	-45.00	Option expiration- long put
SPY 10/30/2020 PUT \$324.00 / CUSIP: / Symbol:							
10/30/20	1.000	135.98	10/29/20	100.00	...	35.98	Option sale to close-put ²⁵
SPY 11/02/2020 PUT \$320.00 / CUSIP: / Symbol:							
11/02/20	1.000	0.00	10/30/20	133.00	...	-133.00	Option expiration- long put
SPY 11/06/2020 PUT \$335.00 / CUSIP: / Symbol:							
11/06/20	1.000	0.00	11/04/20	95.00	...	-95.00	Option expiration- long put
SPY 11/13/2020 PUT \$346.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.00	11/12/20	30.00	...	-30.00	Option expiration- long put
SPY 11/20/2020 CALL \$358.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/18/20	160.00	...	-160.00	Option expiration- long call
SPY 12/07/2020 PUT \$368.00 / CUSIP: / Symbol:							
12/07/20	1.000	10.98	12/04/20	95.00	...	-84.02	Option sale to close-put ²⁵
SRNE 09/18/2020 CALL \$11.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	08/21/20	185.00	...	-185.00	Option expiration- long call

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SRNE 09/18/2020 CALL \$12.00 / CUSIP: / Symbol: 09/17/20	1.000	39.98	08/21/20	185.00	...	-145.02	Option sale to close-call ²⁵
TRIP 06/19/2020 CALL \$18.00 / CUSIP: / Symbol: 05/18/20	1.000	149.98	05/18/20	130.00	...	19.98	Option sale to close-call ²⁵
TSLA 05/01/2020 CALL \$1,150.00 / CUSIP: / Symbol: 04/27/20	1.000	199.98	04/23/20	180.00	...	19.98	Option sale to close-call ²⁵
TSLA 09/04/2020 CALL \$450.00 / CUSIP: / Symbol: 09/04/20	1.000	0.00	09/02/20	1,250.00	...	-1,250.00	Option expiration- long call
TSLA 09/04/2020 CALL \$480.00 / CUSIP: / Symbol: 09/04/20	1.000	0.00	09/01/20	1,900.00	...	-1,900.00	Option expiration- long call
TSLA 09/04/2020 CALL \$500.00 / CUSIP: / Symbol: 09/04/20	1.000	0.00	09/01/20	1,700.00	...	-1,700.00	Option expiration- long call
TSLA 09/04/2020 PUT \$480.00 / CUSIP: / Symbol: 09/01/20	1.000	1,999.94	08/31/20	1,650.00	...	349.94	Option sale to close-put ²⁵
TSLA 10/02/2020 CALL \$380.00 / CUSIP: / Symbol: 09/14/20	1.000	4,649.88	09/10/20	3,650.00	...	999.88	Option sale to close-call ²⁵
TSLA 10/09/2020 CALL \$480.00 / CUSIP: / Symbol: 10/09/20	1.000	0.00	09/18/20	4,380.00	...	-4,380.00	Option expiration- long call
TSLA 10/09/2020 PUT \$430.00 / CUSIP: / Symbol: 10/08/20	1.000	749.97	10/08/20	400.00	...	349.97	Option sale to close-put ²⁵
TSLA 10/16/2020 PUT \$430.00 / CUSIP: / Symbol: 10/16/20	1.000	0.00	10/09/20	1,300.00	...	-1,300.00	Option expiration- long put
TSLA 10/16/2020 PUT \$445.00 / CUSIP: / Symbol: 10/16/20	1.000	349.98	10/15/20	440.00	...	-90.02	Option sale to close-put ²⁵
TSLA 10/16/2020 PUT \$460.00 / CUSIP: / Symbol: 10/14/20	1.000	709.97	10/14/20	750.00	...	-40.03	Option sale to close-put ²⁵

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TSLA 10/23/2020 CALL \$430.00 / CUSIP: / Symbol:							
10/02/20	1.000	3,199.91	10/02/20	3,295.00	95.09 W	0.00	Option sale to close-call ²⁵
10/09/20	1.000	2,999.92	10/02/20	3,175.09	...	-175.17	Option sale to close-call ²⁵
	Security total:	6,199.83		6,470.09	95.09 W	-175.17	
UAL 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
04/21/20	1.000	329.98	04/20/20	300.00	...	29.98	Option sale to close-call ²⁵
04/29/20	1.000	339.98	04/22/20	290.00	...	49.98	Option sale to close-call ²⁵
	Security total:	669.96		590.00	...	79.96	
UBER 06/05/2020 CALL \$36.50 / CUSIP: / Symbol:							
06/05/20	1.000	114.98	06/02/20	82.00	...	32.98	Option sale to close-call ²⁵
UBER 10/02/2020 CALL \$35.00 / CUSIP: / Symbol:							
09/28/20	1.000	159.98	09/22/20	80.00	...	79.98	Option sale to close-call ²⁵
UVXY 12/11/2020 CALL \$12.00 / CUSIP: / Symbol:							
12/11/20	1.000	19.98	11/25/20	100.00	...	-80.02	Option sale to close-call ²⁵
VRAY 09/18/2020 CALL \$3.00 / CUSIP: / Symbol:							
06/30/20	1.000	34.98	06/18/20	25.00	...	9.98	Option sale to close-call ²⁵
VXX 11/27/2020 CALL \$19.00 / CUSIP: / Symbol:							
11/18/20	1.000	99.98	11/09/20	115.00	...	-15.02	Option sale to close-call ²⁵
WMT 06/19/2020 CALL \$150.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	05/11/20	25.00	...	-25.00	Option expiration- long call
ZM 11/27/2020 CALL \$450.00 / CUSIP: / Symbol:							
11/20/20	1.000	1,149.96	11/19/20	790.00	...	359.96	Option sale to close-call ²⁵
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
	2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	99.000	501.90	06/11/20	504.90	...	-3.00	1 of 2 - Sale ²⁵
	1.000	5.07	06/11/20	5.10	...	-0.03	2 of 2 - Sale ²⁵
06/11/20	100.000	506.97	Various	510.00	...	-3.03	Total of 2 transactions

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ACORDA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00484M106 / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	100.000	106.99	04/29/20	99.00	...	7.99	1 of 3 - Sale ²⁵
	100.000	106.99	04/29/20	99.00	...	7.99	2 of 3 - Sale ²⁵
	100.000	106.99	04/29/20	99.00	...	7.99	3 of 3 - Sale ²⁵
06/08/20	300.000	320.97	Various	297.00	...	23.97	Total of 3 transactions
6 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	100.000	74.99	12/14/20	71.90	...	3.09	1 of 6 - Sale ²⁵
	200.000	149.98	12/14/20	143.80	...	6.18	2 of 6 - Sale ²⁵
	200.000	149.98	12/14/20	143.80	...	6.18	3 of 6 - Sale ²⁵
	100.000	74.99	12/22/20	68.00	...	6.99	4 of 6 - Sale ²⁵
	200.000	149.98	12/22/20	136.00	...	13.98	5 of 6 - Sale ²⁵
	200.000	149.98	12/22/20	136.00	...	13.98	6 of 6 - Sale ²⁵
12/23/20	1,000.000	749.90	Various	699.50	...	50.40	Total of 6 transactions
	Security total:	1,070.87		996.50	...	74.37	
AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	50.000	386.48	04/29/20	419.00	...	-32.52	1 of 2 - Sale ²⁵
	100.000	772.97	05/14/20	715.00	...	57.97	2 of 2 - Sale ²⁵
05/15/20	150.000	1,159.45	Various	1,134.00	...	25.45	Total of 2 transactions
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	44.000	291.71	06/22/20	304.92	...	-13.21	1 of 3 - Sale ²⁵
	6.000	39.78	06/22/20	41.58	...	-1.80	2 of 3 - Sale ²⁵
	8.000	53.04	07/13/20	52.64	...	0.40	3 of 3 - Sale ²⁵
07/13/20	58.000	384.53	Various	399.14	...	-14.61	Total of 3 transactions
8 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	100.000	489.98	09/18/20	410.00	...	79.98	1 of 8 - Sale ²⁵
	100.000	489.99	09/18/20	410.00	...	79.99	2 of 8 - Sale ²⁵
	200.000	979.95	09/18/20	820.00	...	159.95	3 of 8 - Sale ²⁵
	300.000	1,469.93	09/18/20	1,230.00	...	239.93	4 of 8 - Sale ²⁵
	400.000	1,959.90	09/18/20	1,640.00	...	319.90	5 of 8 - Sale ²⁵
	400.000	1,959.90	09/18/20	1,640.00	...	319.90	6 of 8 - Sale ²⁵
	400.000	1,959.90	09/18/20	1,640.00	...	319.90	7 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol: (cont'd)							
	600.000	2,939.86	09/18/20	2,460.00	...	479.86	8 of 8 - Sale ²⁵
12/31/20	2,500.000	12,249.41	Various	10,250.00	...	1,999.41	Total of 8 transactions
	Security total:	13,793.39		11,783.14	...	2,010.25	
AMERI HOLDINGS, INC. COMMON STOCK / CUSIP: 02362F302 / Symbol:							
07/06/20	200.000	339.98	07/01/20	330.00	...	9.98	Sale ²⁵
	2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	24.000	38.64	08/17/20	37.92	...	0.72	1 of 2 - Sale ²⁵
	76.000	122.36	08/17/20	120.08	...	2.28	2 of 2 - Sale ²⁵
08/18/20	100.000	161.00	Various	158.00	...	3.00	Total of 2 transactions
	5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	39.000	58.49	11/02/20	46.02	...	12.47	1 of 5 - Sale ²⁵
	61.000	91.49	11/02/20	71.98	...	19.51	2 of 5 - Sale ²⁵
	100.000	149.99	11/02/20	118.00	...	31.99	3 of 5 - Sale ²⁵
	100.000	149.99	11/02/20	118.00	...	31.99	4 of 5 - Sale ²⁵
	200.000	299.96	11/02/20	236.00	...	63.96	5 of 5 - Sale ²⁵
11/09/20	500.000	749.92	Various	590.00	...	159.92	Total of 5 transactions
	4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	100.000	137.98	11/16/20	125.00	...	12.98	1 of 4 - Sale ²⁵
	100.000	137.98	11/16/20	125.00	...	12.98	2 of 4 - Sale ²⁵
	100.000	137.99	11/16/20	125.00	...	12.99	3 of 4 - Sale ²⁵
	200.000	275.97	11/16/20	250.00	...	25.97	4 of 4 - Sale ²⁵
11/23/20	500.000	689.92	Various	625.00	...	64.92	Total of 4 transactions
12/28/20	100.000	229.99	12/23/20	156.00	...	73.99	Sale ²⁵
	Security total:	2,170.81		1,859.00	...	311.81	
ARTELO BIOSCIENCES, INC. COMMON STOCK / CUSIP: 04301G201 / Symbol:							
11/20/20	1,000.000	719.86	11/19/20	580.00	...	139.86	Sale ²⁵

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
3 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	100.000	407.99	10/27/20	410.00	...	-2.01	1 of 3 - Sale ²⁵
	89.000	363.11	10/27/20	364.90	...	-1.79	2 of 3 - Sale ²⁵
	11.000	44.88	10/27/20	45.10	...	-0.22	3 of 3 - Sale ²⁵
10/29/20	200.000	815.98	Various	820.00	...	-4.02	Total of 3 transactions
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	100.000	151.99	06/10/20	148.00	...	3.99	1 of 2 - Sale ²⁵
	100.000	151.99	06/10/20	148.00	...	3.99	2 of 2 - Sale ²⁵
06/19/20	200.000	303.98	Various	296.00	...	7.98	Total of 2 transactions
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	49.000	355.25	02/24/20	587.02	231.77 W	0.00	1 of 2 - Sale ²⁵
	1.000	7.25	02/24/20	12.00	4.75 W	0.00	2 of 2 - Sale ²⁵
03/13/20	50.000	362.50	Various	599.02	236.52 W	0.00	Total of 2 transactions
3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	5.60	03/26/20	13.95	...	-8.35	1 of 3 - Sale ²⁵
	1.000	5.60	03/26/20	13.93	...	-8.33	2 of 3 - Sale ²⁵
	18.000	100.80	03/26/20	87.30	...	13.50	3 of 3 - Sale ²⁵
04/08/20	20.000	112.00	Various	115.18	...	-3.18	Total of 3 transactions
3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	5.60	03/03/20	14.70	9.10 W	0.00	1 of 3 - Sale ²⁵
	1.000	5.60	03/03/20	14.68	9.08 W	0.00	2 of 3 - Sale ²⁵
	48.000	268.79	03/03/20	704.64	435.85 W	0.00	3 of 3 - Sale ²⁵
04/08/20	50.000	279.99	Various	734.02	454.03 W	0.00	Total of 3 transactions
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	48.000	355.18	03/26/20	668.65	...	-313.47	1 of 2 - Sale ²⁵
	32.000	236.79	03/26/20	155.20	...	81.59	2 of 2 - Sale ²⁵
05/26/20	80.000	591.97	Various	823.85	...	-231.88	Total of 2 transactions
08/31/20	100.000	1,215.15	08/28/20	1,230.00	...	-14.85	Sale ²⁵
	Security total:	2,561.61		3,502.07	690.55 W	-249.91	

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

03/22/2021 AMENDED

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BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	100.000	723.97	05/26/20	520.00	...	203.97	1 of 2 - Sale ²⁵
	15.000	108.60	06/26/20	71.06	...	37.54	2 of 2 - Sale ²⁵
12/07/20	115.000	832.57	Various	591.06	...	241.51	Total of 2 transactions
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	200.000	153.97	07/27/20	170.00	...	-16.03	1 of 2 - Sale ²⁵
	100.000	76.99	10/28/20	50.00	...	26.99	2 of 2 - Sale ²⁵
12/23/20	300.000	230.96	Various	220.00	...	10.96	Total of 2 transactions
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	1.000	11.00	11/04/20	8.40	...	2.60	1 of 2 - Sale ²⁵
	49.000	538.98	11/04/20	411.60	...	127.38	2 of 2 - Sale ²⁵
11/16/20	50.000	549.98	Various	420.00	...	129.98	Total of 2 transactions
BLUE APRON HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 09523Q200 / Symbol:							
05/26/20	50.000	410.00	05/21/20	402.50	...	7.50	Sale ²⁵
10/09/20	500.000	3,559.86	09/30/20	3,525.00	...	34.86	Sale ²⁵
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	200.000	1,429.94	10/15/20	1,340.00	...	89.94	1 of 2 - Sale ²⁵
	300.000	2,144.91	10/15/20	2,010.00	...	134.91	2 of 2 - Sale ²⁵
10/19/20	500.000	3,574.85	Various	3,350.00	...	224.85	Total of 2 transactions
9 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	21.000	147.00	10/20/20	140.70	...	6.30	1 of 9 - Sale ²⁵
	21.000	147.00	10/20/20	140.70	...	6.30	2 of 9 - Sale ²⁵
	25.000	175.00	10/20/20	167.50	...	7.50	3 of 9 - Sale ²⁵
	36.000	251.99	10/20/20	241.20	...	10.79	4 of 9 - Sale ²⁵
	54.000	377.99	10/20/20	361.80	...	16.19	5 of 9 - Sale ²⁵
	64.000	447.98	10/20/20	428.80	...	19.18	6 of 9 - Sale ²⁵
	79.000	552.97	10/20/20	529.30	...	23.67	7 of 9 - Sale ²⁵
	100.000	699.97	10/20/20	670.00	...	29.97	8 of 9 - Sale ²⁵

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BLUE APRON HOLDINGS, INC. CLAS S A COMMON STOCK / CUSIP: 09523Q200 / Symbol: (cont'd)							
	100.000	699.97	10/20/20	670.00	...	29.97	9 of 9 - Sale ²⁵
11/20/20	500.000	3,499.87	Various	3,350.00	...	149.87	Total of 9 transactions
	Security total:	11,044.58		10,627.50	...	417.08	
CARGURUS, INC. CLASS A COMMON STOCK / CUSIP: 141788109 / Symbol:							
3 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	27.000	548.08	02/18/20	700.65	...	-152.57	1 of 3 - Sale ²⁵
	1.000	20.30	02/18/20	31.60	...	-11.30	2 of 3 - Sale ²⁵
	1.000	20.30	02/18/20	25.95	...	-5.65	3 of 3 - Sale ²⁵
03/13/20	29.000	588.68	Various	758.20	...	-169.52	Total of 3 transactions
03/13/20	1.000	20.30	02/18/20	25.95	5.65 W	0.00	Sale ²⁵
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	27.00	02/18/20	25.95	...	1.05	1 of 2 - Sale ²⁵
	19.000	512.98	02/18/20	493.05	...	19.93	2 of 2 - Sale ²⁵
05/28/20	20.000	539.98	Various	519.00	...	20.98	Total of 2 transactions
	Security total:	1,148.96		1,303.15	5.65 W	-148.54	
CEMTREX INC. COMMON STOCK / CUSIP: 15130G600 / Symbol:							
5 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	1.000	0.82	04/17/20	0.78	...	0.04	1 of 5 - Sale ²⁵
	1.000	0.82	04/17/20	0.78	...	0.04	2 of 5 - Sale ²⁵
	48.000	39.36	04/17/20	37.44	...	1.92	3 of 5 - Sale ²⁵
	100.000	81.99	04/17/20	78.00	...	3.99	4 of 5 - Sale ²⁵
	152.000	124.62	04/17/20	118.56	...	6.06	5 of 5 - Sale ²⁵
04/22/20	302.000	247.61	Various	235.56	...	12.05	Total of 5 transactions
CHEMBIO DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 163572209 / Symbol:							
08/12/20	50.000	307.50	07/23/20	255.00	...	52.50	Sale ²⁵
CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol:							
05/06/20	9.000	129.15	04/27/20	140.85	...	-11.70	Sale ²⁵

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CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:							
06/11/20	1.000	200.00	05/21/20	154.00	...	46.00	Sale ²⁵
	3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	1.000	113.00	06/12/20	312.00	...	-199.00	1 of 3 - Sale ²⁵
	1.000	113.00	06/15/20	200.00	...	-87.00	2 of 3 - Sale ²⁵
	1.000	113.00	06/15/20	162.00	...	-49.00	3 of 3 - Sale ²⁵
07/02/20	3.000	339.00	Various	674.00	...	-335.00	Total of 3 transactions
07/02/20	1.000	113.00	06/12/20	225.00	112.00 W	0.00	Sale ²⁵
	Security total:	652.00		1,053.00	112.00 W	-289.00	
DOUYU INTERNATIONAL HOLDINGS L IMITED ADS / CUSIP: 25985W105 / Symbol:							
	2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	1.000	8.25	05/20/20	8.10	...	0.15	1 of 2 - Sale ²⁵
	49.000	404.25	05/20/20	396.90	...	7.35	2 of 2 - Sale ²⁵
05/26/20	50.000	412.50	Various	405.00	...	7.50	Total of 2 transactions
ELECTROCORE, INC. COMMON STOCK / CUSIP: 28531P103 / Symbol:							
	2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	200.000	193.98	05/12/20	205.70	...	-11.72	1 of 2 - Sale ²⁵
	200.000	193.97	05/29/20	180.00	...	13.97	2 of 2 - Sale ²⁵
06/05/20	400.000	387.95	Various	385.70	...	2.25	Total of 2 transactions
	3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	1.000	2.05	07/14/20	1.82	...	0.23	1 of 3 - Sale ²⁵
	13.000	26.65	07/14/20	23.66	...	2.99	2 of 3 - Sale ²⁵
	51.000	104.54	07/14/20	92.82	...	11.72	3 of 3 - Sale ²⁵
07/30/20	65.000	133.24	Various	118.30	...	14.94	Total of 3 transactions
	5 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	2.00	08/20/20	1.84	...	0.16	1 of 5 - Sale ²⁵
	2.000	4.00	08/20/20	3.68	...	0.32	2 of 5 - Sale ²⁵
	10.000	20.00	08/20/20	18.40	...	1.60	3 of 5 - Sale ²⁵
	87.000	173.99	08/20/20	160.08	...	13.91	4 of 5 - Sale ²⁵
	100.000	199.99	08/20/20	184.00	...	15.99	5 of 5 - Sale ²⁵
10/08/20	200.000	399.98	Various	368.00	...	31.98	Total of 5 transactions
12/08/20	167.000	283.88	12/07/20	248.83	...	35.05	Sale ²⁵

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ELECTROCORE, INC. COMMON STOCK / CUSIP: 28531P103 / Symbol: (cont'd)							
Security total:		1,205.05		1,120.83	...	84.22	
ENERGOUS CORPORATION COMMON ST OCK / CUSIP: 29272C103 / Symbol:							
6 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	100.000	239.99	11/24/20	210.00	...	29.99	1 of 6 - Sale ²⁵
	100.000	239.99	11/24/20	210.00	...	29.99	2 of 6 - Sale ²⁵
	11.000	26.40	12/04/20	20.13	...	6.27	3 of 6 - Sale ²⁵
	89.000	213.59	12/04/20	162.87	...	50.72	4 of 6 - Sale ²⁵
	100.000	239.99	12/04/20	183.00	...	56.99	5 of 6 - Sale ²⁵
	100.000	239.99	12/04/20	183.00	...	56.99	6 of 6 - Sale ²⁵
12/23/20	500.000	1,199.95	Various	969.00	...	230.95	Total of 6 transactions
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
04/24/20	1.000	190.00	04/24/20	189.00	...	1.00	Sale ²⁵
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
11 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	11.000	78.10	03/27/20	84.37	6.27 W	0.00	1 of 11 - Sale ²⁵
	27.000	191.70	03/27/20	207.09	15.39 W	0.00	2 of 11 - Sale ²⁵
	1.000	7.10	03/27/20	7.67	0.57 W	0.00	3 of 11 - Sale ²⁵
	2.000	14.20	03/27/20	15.34	1.14 W	0.00	4 of 11 - Sale ²⁵
	3.000	21.30	03/27/20	23.01	1.71 W	0.00	5 of 11 - Sale ²⁵
	4.000	28.40	03/27/20	30.68	2.28 W	0.00	6 of 11 - Sale ²⁵
	2.000	14.20	03/27/20	15.34	1.14 W	0.00	7 of 11 - Sale ²⁵
	50.000	354.98	03/27/20	383.50	28.52 W	0.00	8 of 11 - Sale ²⁵
	2.000	14.20	04/01/20	15.94	1.74 W	0.00	9 of 11 - Sale ²⁵
	11.000	78.10	04/01/20	87.67	9.57 W	0.00	10 of 11 - Sale ²⁵
	27.000	191.69	04/01/20	215.19	23.50 W	0.00	11 of 11 - Sale ²⁵
04/01/20	140.000	993.97	Various	1,085.80	91.83 W	0.00	Total of 11 transactions
04/02/20	2.000	14.14	04/01/20	15.88	...	-1.74	Sale ²⁵
8 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	27.000	190.88	04/01/20	214.39	7.84 W	-15.67	1 of 8 - Sale ²⁵
	1.000	7.07	04/01/20	7.64	0.57 W	0.00	2 of 8 - Sale ²⁵
	2.000	14.14	04/01/20	15.28	1.14 W	0.00	3 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol: (cont'd)							
	2.000	14.14	04/01/20	15.28	1.14 W	0.00	4 of 8 - Sale ²⁵
	2.000	14.14	04/01/20	15.28	1.14 W	0.00	5 of 8 - Sale ²⁵
	3.000	21.21	04/01/20	22.92	1.71 W	0.00	6 of 8 - Sale ²⁵
	11.000	77.77	04/01/20	87.34	9.57 W	0.00	7 of 8 - Sale ²⁵
	50.000	353.48	04/01/20	382.02	28.54 W	0.00	8 of 8 - Sale ²⁵
04/02/20	98.000	692.83	Various	760.15	51.65 W	-15.67	Total of 8 transactions
	9 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	27.000	181.17	04/17/20	190.10	...	-8.93	1 of 9 - Sale ²⁵
	23.000	154.33	04/17/20	161.94	...	-7.61	2 of 9 - Sale ²⁵
	11.000	73.81	04/17/20	80.74	...	-6.93	3 of 9 - Sale ²⁵
	9.000	60.39	04/17/20	66.07	...	-5.68	4 of 9 - Sale ²⁵
	3.000	20.13	04/17/20	21.12	...	-0.99	5 of 9 - Sale ²⁵
	2.000	13.42	04/17/20	14.08	...	-0.66	6 of 9 - Sale ²⁵
	2.000	13.42	04/17/20	14.08	...	-0.66	7 of 9 - Sale ²⁵
	2.000	13.42	04/17/20	14.08	...	-0.66	8 of 9 - Sale ²⁵
	1.000	6.71	04/17/20	7.04	...	-0.33	9 of 9 - Sale ²⁵
04/17/20	80.000	536.80	Various	569.25	...	-32.45	Total of 9 transactions
	2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.						
	90.000	645.27	10/26/20	658.80	...	-13.53	1 of 2 - Sale ²⁵
	10.000	71.70	10/26/20	73.20	...	-1.50	2 of 2 - Sale ²⁵
10/27/20	100.000	716.97	Various	732.00	...	-15.03	Total of 2 transactions
	Security total:	2,954.71		3,163.08	143.48 W	-64.89	
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
	4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	100.000	349.99	05/19/20	304.00	...	45.99	1 of 4 - Sale ²⁵
	1.000	3.50	05/22/20	2.85	...	0.65	2 of 4 - Sale ²⁵
	19.000	66.49	05/22/20	54.15	...	12.34	3 of 4 - Sale ²⁵
	20.000	70.00	05/22/20	57.00	...	13.00	4 of 4 - Sale ²⁵
06/05/20	140.000	489.98	Various	418.00	...	71.98	Total of 4 transactions

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Robinhood Securities LLC

Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
03/24/20	100.000	704.97	03/23/20	668.00	...	36.97	Sale ²⁵
	5 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	1.000	6.91	03/25/20	6.70	...	0.21	1 of 5 - Sale ²⁵
	2.000	13.82	03/25/20	13.40	...	0.42	2 of 5 - Sale ²⁵
	2.000	13.82	03/25/20	13.40	...	0.42	3 of 5 - Sale ²⁵
	5.000	34.55	03/25/20	33.50	...	1.05	4 of 5 - Sale ²⁵
	90.000	621.87	03/25/20	603.00	...	18.87	5 of 5 - Sale ²⁵
03/26/20	100.000	690.97	Various	670.00	...	20.97	Total of 5 transactions
05/12/20	10.000	131.70	04/30/20	126.50	...	5.20	Sale ²⁵
	3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	3.000	43.50	05/14/20	40.80	...	2.70	1 of 3 - Sale ²⁵
	5.000	72.50	05/14/20	68.00	...	4.50	2 of 3 - Sale ²⁵
	12.000	174.00	05/14/20	163.20	...	10.80	3 of 3 - Sale ²⁵
05/18/20	20.000	290.00	Various	272.00	...	18.00	Total of 3 transactions
	2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	25.000	348.73	05/27/20	330.38	...	18.35	1 of 2 - Sale ²⁵
	20.000	278.99	06/03/20	251.00	...	27.99	2 of 2 - Sale ²⁵
06/15/20	45.000	627.72	Various	581.38	...	46.34	Total of 2 transactions
	2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	1.000	13.95	05/29/20	14.40	0.45 W	0.00	1 of 2 - Sale ²⁵
	19.000	265.04	05/29/20	273.60	8.56 W	0.00	2 of 2 - Sale ²⁵
06/15/20	20.000	278.99	Various	288.00	9.01 W	0.00	Total of 2 transactions
	3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	1.000	14.95	06/18/20	14.65	...	0.30	1 of 3 - Sale ²⁵
	19.000	284.05	06/18/20	278.36	...	5.69	2 of 3 - Sale ²⁵
	10.000	149.50	06/18/20	142.00	...	7.50	3 of 3 - Sale ²⁵
06/22/20	30.000	448.50	Various	435.01	...	13.49	Total of 3 transactions
	5 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	1.000	23.35	07/06/20	21.50	...	1.85	1 of 5 - Sale ²⁵
	9.000	210.15	07/06/20	193.50	...	16.65	2 of 5 - Sale ²⁵
	4.000	93.40	07/07/20	94.72	...	-1.32	3 of 5 - Sale ²⁵
	1.000	23.35	07/07/20	23.68	...	-0.33	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	1.000	23.35	07/07/20	23.68	...	-0.33	5 of 5 - Sale ²⁵
07/10/20	16.000	373.60	Various	357.08	...	16.52	Total of 5 transactions
	Security total:	3,546.45		3,397.97	9.01 W	157.49	
LIMELIGHT NETWORKS, INC. COMMO N STOCK / CUSIP: 53261M104 / Symbol:							
04/20/20	100.000	645.97	04/17/20	605.00	...	40.97	Sale ²⁵
	2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	50.000	269.98	04/23/20	270.50	...	-0.52	1 of 2 - Sale ²⁵
	50.000	269.99	04/23/20	257.50	...	12.49	2 of 2 - Sale ²⁵
05/18/20	100.000	539.97	Various	528.00	...	11.97	Total of 2 transactions
12/30/20	200.000	823.96	12/14/20	804.00	...	19.96	Sale ²⁵
	Security total:	2,009.90		1,937.00	...	72.90	
LIMINAL BIOSCIENCES INC. COMMO N SHARES / CUSIP: 53272L103 / Symbol:							
12/03/20	100.000	454.99	11/23/20	440.00	...	14.99	Sale ²⁵
LMP AUTOMOTIVE HOLDINGS, INC. COMMON STOCK / CUSIP: 53952P101 / Symbol:							
	2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	1.000	15.40	02/20/20	14.00	...	1.40	1 of 2 - Sale ²⁵
	49.000	754.58	02/20/20	686.00	...	68.58	2 of 2 - Sale ²⁵
08/20/20	50.000	769.98	Various	700.00	...	69.98	Total of 2 transactions
	3 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	6.000	120.00	02/24/20	75.00	...	45.00	1 of 3 - Sale ²⁵
	10.000	200.00	02/24/20	125.00	...	75.00	2 of 3 - Sale ²⁵
	34.000	679.98	02/24/20	425.00	...	254.98	3 of 3 - Sale ²⁵
09/10/20	50.000	999.98	Various	625.00	...	374.98	Total of 3 transactions
	2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	1.000	23.00	11/12/20	20.00	...	3.00	1 of 2 - Sale ²⁵
	19.000	437.00	11/12/20	380.00	...	57.00	2 of 2 - Sale ²⁵
11/18/20	20.000	460.00	Various	400.00	...	60.00	Total of 2 transactions

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LMP AUTOMOTIVE HOLDINGS, INC. COMMON STOCK / CUSIP: 53952P101 / Symbol: (cont'd)							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	2.000	58.00	12/14/20	46.00	...	12.00	1 of 2 - Sale ²⁵
	48.000	1,391.96	12/14/20	1,104.00	...	287.96	2 of 2 - Sale ²⁵
12/23/20	50.000	1,449.96	Various	1,150.00	...	299.96	Total of 2 transactions
	Security total:	3,679.92		2,875.00	...	804.92	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
06/04/20	100.000	306.99	06/03/20	245.00	...	61.99	Sale ²⁵
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	50.000	264.99	06/05/20	286.50	...	-21.51	1 of 2 - Sale ²⁵
	50.000	264.98	06/09/20	206.50	...	58.48	2 of 2 - Sale ²⁵
10/07/20	100.000	529.97	Various	493.00	...	36.97	Total of 2 transactions
	Security total:	836.96		738.00	...	98.96	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	100.000	179.99	04/15/20	163.00	...	16.99	1 of 3 - Sale ²⁵
	100.000	179.99	04/15/20	163.00	...	16.99	2 of 3 - Sale ²⁵
	100.000	179.99	04/15/20	163.00	...	16.99	3 of 3 - Sale ²⁵
04/17/20	300.000	539.97	Various	489.00	...	50.97	Total of 3 transactions
04/28/20	300.000	512.94	04/22/20	498.00	...	14.94	Sale ²⁵
3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	100.000	294.98	06/05/20	285.00	...	9.98	1 of 3 - Sale ²⁵
	100.000	294.98	06/09/20	315.00	...	-20.02	2 of 3 - Sale ²⁵
	30.000	88.49	06/15/20	78.60	...	9.89	3 of 3 - Sale ²⁵
10/13/20	230.000	678.45	Various	678.60	...	-0.15	Total of 3 transactions
	Security total:	1,731.36		1,665.60	...	65.76	
MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol:							
06/09/20	50.000	350.00	06/05/20	189.00	...	161.00	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
04/30/20	4.000	190.80	04/30/20	188.00	...	2.80	Sale ²⁵

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MOHAWK GROUP HOLDINGS, INC. CO MMON STOCK / CUSIP: 608189106 / Symbol:							
05/21/20	100.000	437.99	05/20/20	432.00	...	5.99	Sale ²⁵
	3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	50.000	447.48	11/09/20	423.50	...	23.98	1 of 3 - Sale ²⁵
	2.000	17.90	11/12/20	14.72	...	3.18	2 of 3 - Sale ²⁵
	48.000	429.59	11/12/20	353.28	...	76.31	3 of 3 - Sale ²⁵
12/03/20	100.000	894.97	Various	791.50	...	103.47	Total of 3 transactions
	Security total:	1,332.96		1,223.50	...	109.46	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
	2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	20.000	285.99	07/13/20	317.60	...	-31.61	1 of 2 - Sale ²⁵
	20.000	285.99	07/13/20	277.00	...	8.99	2 of 2 - Sale ²⁵
08/21/20	40.000	571.98	Various	594.60	...	-22.62	Total of 2 transactions
	2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	1.000	46.35	12/01/20	45.50	...	0.85	1 of 2 - Sale ²⁵
	19.000	880.63	12/01/20	864.12	...	16.51	2 of 2 - Sale ²⁵
12/03/20	20.000	926.98	Various	909.62	...	17.36	Total of 2 transactions
	Security total:	1,498.96		1,504.22	...	-5.26	
NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol:							
07/23/20	100.000	249.99	07/23/20	215.00	...	34.99	Sale ²⁵
NOW INC. / CUSIP: 67011P100 / Symbol:							
	6 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	30.000	153.60	10/13/20	149.10	...	4.50	1 of 6 - Sale ²⁵
	38.000	194.56	10/13/20	188.86	...	5.70	2 of 6 - Sale ²⁵
	51.000	261.11	10/13/20	253.47	...	7.64	3 of 6 - Sale ²⁵
	72.000	368.63	10/13/20	357.84	...	10.79	4 of 6 - Sale ²⁵
	303.000	1,551.28	10/13/20	1,505.91	...	45.37	5 of 6 - Sale ²⁵
	506.000	2,590.60	10/13/20	2,514.82	...	75.78	6 of 6 - Sale ²⁵
10/14/20	1,000.000	5,119.78	Various	4,970.00	...	149.78	Total of 6 transactions

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NOW INC. / CUSIP: 67011P100 / Symbol: (cont'd)							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	200.000	1,082.95	10/21/20	1,004.00	...	78.95	1 of 2 - Sale ²⁵
	300.000	1,622.92	10/21/20	1,506.00	...	116.92	2 of 2 - Sale ²⁵
11/09/20	500.000	2,705.87	Various	2,510.00	...	195.87	Total of 2 transactions
	Security total:	7,825.65		7,480.00	...	345.65	
OBALON THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 67424L209 / Symbol:							
04/24/20	200.000	183.98	04/24/20	178.00	...	5.98	Sale ²⁵
PFIZER INC. / CUSIP: 717081103 / Symbol:							
07/22/20	10.000	380.20	07/22/20	385.00	...	-4.80	Sale ²⁵
PHOENIX TREE HOLDINGS LIMITED AMERICAN DEPOSITORY SHARES, EA CH REPRESENTING TEN (10) CLASS / CUSIP: 719156101 / Symbol:							
3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	13.000	24.18	11/10/20	18.98	...	5.20	1 of 3 - Sale ²⁵
	87.000	161.81	11/10/20	127.02	...	34.79	2 of 3 - Sale ²⁵
	400.000	743.93	11/10/20	584.00	...	159.93	3 of 3 - Sale ²⁵
11/17/20	500.000	929.92	Various	730.00	...	199.92	Total of 3 transactions
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	100.000	1,529.95	09/23/20	1,240.00	...	289.95	1 of 2 - Sale ²⁵
	100.000	1,529.96	09/23/20	1,240.00	...	289.96	2 of 2 - Sale ²⁵
10/05/20	200.000	3,059.91	Various	2,480.00	...	579.91	Total of 2 transactions
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
12/14/20	100.000	1,232.96	11/25/20	1,190.00	...	42.96	Sale ²⁵
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	100.000	164.98	07/07/20	200.00	...	-35.02	1 of 2 - Sale ²⁵
	400.000	659.94	10/23/20	436.00	...	223.94	2 of 2 - Sale ²⁵
10/23/20	500.000	824.92	Various	636.00	...	188.92	Total of 2 transactions

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Robinhood Securities LLC

Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT, INC. CLASS A COMMON STOC K / CUSIP: 77664L108 / Symbol:							
12/22/20	50.000	974.97	11/24/20	835.00	...	139.97	Sale ²⁵
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol:							
09/01/20	1.000	350.51	08/31/20	349.40	...	1.11	Sale ²⁵
SHIFTPIXY, INC. COMMON STOCK / CUSIP: 82452L203 / Symbol:							
4 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	2.000	5.04	11/30/20	4.96	...	0.08	1 of 4 - Sale ²⁵
	98.000	246.95	11/30/20	243.04	...	3.91	2 of 4 - Sale ²⁵
	100.000	251.99	11/30/20	248.00	...	3.99	3 of 4 - Sale ²⁵
	100.000	251.99	11/30/20	248.00	...	3.99	4 of 4 - Sale ²⁵
12/11/20	300.000	755.97	Various	744.00	...	11.97	Total of 4 transactions
SNAP INC. / CUSIP: 83304A106 / Symbol:							
02/14/20	30.000	532.78	02/05/20	510.00	...	22.78	Sale ²⁵
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	50.000	347.49	05/18/20	340.00	...	7.49	1 of 2 - Sale ²⁵
	50.000	347.48	05/19/20	285.00	...	62.48	2 of 2 - Sale ²⁵
07/01/20	100.000	694.97	Various	625.00	...	69.97	Total of 2 transactions
07/20/20	25.000	217.50	07/16/20	168.75	...	48.75	Sale ²⁵
09/16/20	50.000	402.50	08/27/20	387.50	...	15.00	Sale ²⁵
	Security total:	1,314.97		1,181.25	...	133.72	
TARGET CORPORATION / CUSIP: 87612E106 / Symbol:							
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	1.000	103.83	04/23/20	102.00	...	1.83	1 of 2 - Sale ²⁵
	3.000	311.49	04/23/20	306.00	...	5.49	2 of 2 - Sale ²⁵
04/23/20	4.000	415.32	Various	408.00	...	7.32	Total of 2 transactions

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Robinhood Securities LLC

Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TELLURIAN INC. COMMON STOCK / CUSIP: 87968A104 / Symbol:							
2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	50.000	60.00	07/22/20	75.00	...	-15.00	1 of 2 - Sale ²⁵
	50.000	59.99	07/22/20	60.00	...	-0.01	2 of 2 - Sale ²⁵
11/11/20	100.000	119.99	Various	135.00	...	-15.01	Total of 2 transactions
TUPPERWARE BRANDS CORPORATION / CUSIP: 899896104 / Symbol:							
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	24.000	66.24	04/13/20	57.60	...	8.64	1 of 2 - Sale ²⁵
	176.000	485.72	04/13/20	422.40	...	63.32	2 of 2 - Sale ²⁵
04/14/20	200.000	551.96	Various	480.00	...	71.96	Total of 2 transactions
04/23/20	200.000	423.98	04/16/20	430.00	...	-6.02	Sale ²⁵
07/14/20	15.000	111.60	07/14/20	101.55	...	10.05	Sale ²⁵
07/21/20	100.000	770.97	07/20/20	745.00	...	25.97	Sale ²⁵
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	10.000	86.20	07/22/20	78.20	...	8.00	1 of 2 - Sale ²⁵
	40.000	344.80	07/22/20	312.80	...	32.00	2 of 2 - Sale ²⁵
07/23/20	50.000	431.00	Various	391.00	...	40.00	Total of 2 transactions
	Security total:	2,289.51		2,147.55	...	141.96	
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
02/18/20	10.000	380.00	02/07/20	370.00	...	10.00	Sale ²⁵
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	18.000	95.40	11/18/20	93.60	...	1.80	1 of 3 - Sale ²⁵
	40.000	212.00	11/18/20	208.00	...	4.00	2 of 3 - Sale ²⁵
	42.000	222.59	11/18/20	218.40	...	4.19	3 of 3 - Sale ²⁵
11/25/20	100.000	529.99	Various	520.00	...	9.99	Total of 3 transactions
VIEWRAY, INC. COMMON STOCK / CUSIP: 92672L107 / Symbol:							
4 transactions for 02/14/20. Total proceeds and cost reported to the IRS.							
	1.000	3.20	02/04/20	3.19	...	0.01	1 of 4 - Sale ²⁵
	1.000	3.20	02/10/20	2.95	...	0.25	2 of 4 - Sale ²⁵
	1.000	3.20	02/10/20	2.95	...	0.25	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIEWRAY, INC. COMMON STOCK / CUSIP: 92672L107 / Symbol: (cont'd)							
	97.000	310.39	02/10/20	286.15	...	24.24	4 of 4 - Sale ²⁵
02/14/20	100.000	319.99	Various	295.24	...	24.75	Total of 4 transactions
	3 transactions for 03/20/20. Total proceeds and cost reported to the IRS.						
	105.000	194.24	02/27/20	311.84	...	-117.60	1 of 3 - Sale ²⁵
	4.000	7.40	02/27/20	11.88	...	-4.48	2 of 3 - Sale ²⁵
	1.000	1.85	02/27/20	4.07	...	-2.22	3 of 3 - Sale ²⁵
03/20/20	110.000	203.49	Various	327.79	...	-124.30	Total of 3 transactions
03/20/20	1.000	1.85	02/10/20	2.95	1.10 W	0.00	Sale ²⁵
	3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	100.000	185.98	05/26/20	180.00	...	5.98	1 of 3 - Sale ²⁵
	100.000	185.98	05/26/20	180.00	...	5.98	2 of 3 - Sale ²⁵
	100.000	185.98	05/26/20	180.00	...	5.98	3 of 3 - Sale ²⁵
05/27/20	300.000	557.94	Various	540.00	...	17.94	Total of 3 transactions
	3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	100.000	189.99	05/29/20	179.00	...	10.99	1 of 3 - Sale ²⁵
	100.000	189.99	05/29/20	179.00	...	10.99	2 of 3 - Sale ²⁵
	100.000	189.99	05/29/20	179.00	...	10.99	3 of 3 - Sale ²⁵
06/02/20	300.000	569.97	Various	537.00	...	32.97	Total of 3 transactions
	2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	2.000	4.30	06/24/20	3.96	...	0.34	1 of 2 - Sale ²⁵
	128.000	275.18	06/24/20	253.44	...	21.74	2 of 2 - Sale ²⁵
06/25/20	130.000	279.48	Various	257.40	...	22.08	Total of 2 transactions
	2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	90.000	301.49	10/06/20	288.00	...	13.49	1 of 2 - Sale ²⁵
	910.000	3,048.32	10/06/20	2,912.00	...	136.32	2 of 2 - Sale ²⁵
10/07/20	1,000.000	3,349.81	Various	3,200.00	...	149.81	Total of 2 transactions
	8 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	3.000	9.54	10/19/20	9.42	...	0.12	1 of 8 - Sale ²⁵
	27.000	85.86	10/19/20	84.78	...	1.08	2 of 8 - Sale ²⁵
	70.000	222.59	10/19/20	219.80	...	2.79	3 of 8 - Sale ²⁵
	100.000	317.99	10/19/20	314.00	...	3.99	4 of 8 - Sale ²⁵
	100.000	317.99	10/19/20	314.00	...	3.99	5 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIEWRAY, INC. COMMON STOCK / CUSIP: 92672L107 / Symbol: (cont'd)							
	100.000	317.99	10/19/20	314.00	...	3.99	6 of 8 - Sale ²⁵
	100.000	317.99	10/19/20	314.00	...	3.99	7 of 8 - Sale ²⁵
	500.000	1,590.40	10/19/20	1,570.00	...	20.40	8 of 8 - Sale ²⁵
10/21/20	1,000.000	3,180.35	Various	3,140.00	...	40.35	Total of 8 transactions
	2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	1.000	3.05	11/06/20	2.78	...	0.27	1 of 2 - Sale ²⁵
	64.000	195.19	11/06/20	177.92	...	17.27	2 of 2 - Sale ²⁵
11/09/20	65.000	198.24	Various	180.70	...	17.54	Total of 2 transactions
	Security total:	8,661.12		8,481.08	1.10 W	181.14	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
	2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.						
	22.000	307.99	03/06/20	484.00	...	-176.01	1 of 2 - Sale ²⁵
	22.000	307.99	03/16/20	265.10	...	42.89	2 of 2 - Sale ²⁵
03/20/20	44.000	615.98	Various	749.10	...	-133.12	Total of 2 transactions
WEIDAI LTD. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE (1) CLASS A ORDINARY SHARE / CUSIP: 94861A108 / Symbol:							
	2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	500.000	924.92	11/17/20	739.90	...	185.02	1 of 2 - Sale ²⁵
	500.000	924.91	11/20/20	774.30	...	150.61	2 of 2 - Sale ²⁵
11/24/20	1,000.000	1,849.83	Various	1,514.20	...	335.63	Total of 2 transactions
11/25/20	200.000	499.98	11/24/20	400.00	...	99.98	Sale ²⁵
	Security total:	2,349.81		1,914.20	...	435.61	
WESTWATER RESOURCES, INC. / CUSIP: 961684206 / Symbol:							
	2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	12.000	60.00	11/16/20	52.80	...	7.20	1 of 2 - Sale ²⁵
	88.000	439.99	11/16/20	387.20	...	52.79	2 of 2 - Sale ²⁵
11/17/20	100.000	499.99	Various	440.00	...	59.99	Total of 2 transactions
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol:							
04/22/20	200.000	539.96	04/20/20	500.00	...	39.96	Sale ²⁵

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Robinhood Securities LLC

Account 665901997

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	5.00	12/16/20	4.32	...	0.68	1 of 3 - Sale ²⁵
	99.000	494.98	12/16/20	427.68	...	67.30	2 of 3 - Sale ²⁵
	100.000	499.97	12/16/20	432.00	...	67.97	3 of 3 - Sale ²⁵
12/22/20	200.000	999.95	Various	864.00	...	135.95	Total of 3 transactions
NABRIVA THERAPEUTICS PLC ORDINARY SHARES IRELAND / CUSIP: G63637105 / Symbol:							
07/15/20	200.000	184.16	06/25/20	146.00	...	38.16	Sale ²⁵
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
10/06/20	100.000	809.97	10/05/20	690.00	...	119.97	Sale ²⁵
Totals :		122,623.73		127,939.70	1,056.88 W	-4,259.09	

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Robinhood Securities LLC

Account 665901997

Proceeds Not Reported to the IRS

2020

03/22/2021 AMENDED

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K409 / Symbol:							
12/17/20	0.143	0.86	07/21/20	2.95	...	-2.09	Gain or loss C
Totals:		0.86		2.95	...	-2.09	

Robinhood Securities LLC

Account 665901997

Detail for Dividends and Distributions

2020

03/22/2021 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BED BATH & BEYOND INC. COMMON STOCK	075896100		04/14/20	17.00	Qualified dividend	
MFA FINANCIAL, INC	55272X102		10/30/20	11.50	Nondividend distribution	03
				28.50	Total Dividends & distributions	

Robinhood Securities LLC

Account 665901997

Fees and Expenses

2020

03/22/2021 AMENDED

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		07/06/20	-5.00	Margin interest paid	
		08/04/20	-5.00	Margin interest paid	
		09/03/20	-5.00	Margin interest paid	
		10/05/20	-5.00	Margin interest paid	
		11/02/20	-5.00	Margin interest paid	
		12/02/20	-5.00	Margin interest paid	
			-30.00	Total Margin interest paid	
			-30.00	Total Margin interest paid	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.