

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 233928608	Statement Date: 01/20/2022 Document ID: GD71 F10 51DG	2021
	SHARAN TEJ KODUMURU 10637 MIDLAND MANOR CT SAINT LOUIS, MO 63114-1202		
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-4544		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	32,451.18	39,394.15	0.00	0.00	-6,942.97
Short	B (basis not reported to the IRS)	312.98	315.00	0.00	0.00	-2.02
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	32,764.16	39,709.15	0.00	0.00	-6,944.99
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	32,764.16	39,709.15	0.00	0.00	-6,944.99
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

TD Ameritrade Clearing, Inc.

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Summary Information

2021

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01/20/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	51.84
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 233928608

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

01/20/2022

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS INC COM CL A / CUSIP: 00165C104 / Symbol: AMC							
02/25/21	100.000	859.12	02/18/21	631.00	...	228.12	Sale
AULT GLOBAL HOLDINGS INC N/C 12/13/21 09175M101 / CUSIP: 05150X104 / Symbol: DPW							
05/07/21	100.000	260.00	02/18/21	665.00	...	-405.00	Sale
CEMTREX INC COM / CUSIP: 15130G600 / Symbol: CETX							
05/05/21	100.000	154.00	03/05/21	146.97	...	7.03	Sale
COMSOVEREIGN HLDG COM / CUSIP: 205650203 / Symbol: COMS							
05/12/21	100.000	201.00	02/18/21	498.50	...	-297.50	Sale
DERMTECH INC COM / CUSIP: 24984K105 / Symbol: DMTK							
02/23/21	5.000	347.49	02/18/21	328.55	...	18.94	Sale
06/25/21	20.000	930.60	03/05/21	927.20	...	3.40	Sale
	Security total:	1,278.09		1,255.75	...	22.34	
DOUYU INTL HLDGS LTD ADR / CUSIP: 25985W105 / Symbol: DOYU							
06/28/21	50.000	353.00	06/24/21	354.41	...	-1.41	Sale
EDITAS MEDICINE INC COM / CUSIP: 28106W103 / Symbol: EDIT							
04/15/21	20.000	828.80	03/05/21	797.11	...	31.69	Sale

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Account 233928608

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY INC COM / CUSIP: 35952H601 / Symbol: FCEL							
07/01/21	15.000	128.09	02/18/21	286.05	...	-157.96	Sale
FUBOTV INC COM / CUSIP: 35953D104 / Symbol: FUBO							
07/06/21	30.000	889.41	Various	1,333.35	...	-443.94	Sale
HALL OF FAME RESORT & ENTERTAI COM / CUSIP: 40619L102 / Symbol: HOFV							
03/22/21	50.000	254.50	03/19/21	213.00	...	41.50	Sale
MEDICENNA THERAPEUTICS CORP COM / CUSIP: 58490H107 / Symbol: MDNA							
07/08/21	50.000	145.00	07/01/21	151.00	...	-6.00	Sale
MEREIO BIOPHARMA GROUP PLC ADR / CUSIP: 589492107 / Symbol: MREO							
03/03/21	100.000	437.01	02/16/21	350.00	...	87.01	Sale
PALANTIR TECH INC COM CL A / CUSIP: 69608A108 / Symbol: PLTR							
04/26/21	50.000	1,194.22	03/01/21	1,269.50	...	-75.28	Sale
PAVMED INC COM / CUSIP: 70387R106 / Symbol: PAVM							
04/08/21	100.000	581.00	03/05/21	338.99	...	242.01	Sale
SAVARA INC COM / CUSIP: 805111101 / Symbol: SVRA							
03/16/21	100.000	311.00	03/16/21	218.00	...	93.00	Sale
SERES THERAPEUTICS COM / CUSIP: 81750R102 / Symbol: MCRB							
03/19/21	50.000	1,043.99	03/01/21	998.50	...	45.49	Sale
SKILLZ INC COM / CUSIP: 83067L109 / Symbol: SKLZ							
07/06/21	60.000	1,152.04	03/05/21	1,569.60	...	-417.56	Sale
SUPER LEAGUE GAMING INC COM / CUSIP: 86804F202 / Symbol: SLGG							
03/19/21	20.000	203.20	03/17/21	161.60	...	41.60	Sale
U S SILICA HLDGS INC COM / CUSIP: 90346E103 / Symbol: SLCA							
03/01/21	100.000	1,336.84	02/17/21	1,039.90	...	296.94	Sale
ALCOA CORPORATION AA Jun 25 2021 36.0 Call / CUSIP: / Symbol: AA 06/25/21 C 36.000							
06/24/21	3.000	100.00	06/23/21	94.99	...	5.01	Option sale

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC AAPL Apr 01 2021 141.0 Call / CUSIP: / Symbol: AAPL 04/01/21 C 141.000							
04/01/21	1.000	0.00	03/01/21	67.66	...	-67.66	Option expiration
APPLE INC AAPL Jul 09 2021 143.0 Call / CUSIP: / Symbol: AAPL 07/09/21 C 143.000							
07/09/21	1.000	104.34	07/08/21	75.66	...	28.68	Option sale
APPLE INC AAPL Aug 20 2021 165.0 Call / CUSIP: / Symbol: AAPL 08/20/21 C 165.000							
08/20/21	3.000	0.00	07/16/21	211.99	...	-211.99	Option expiration
APPLE INC AAPL Aug 20 2021 140.0 Put / CUSIP: / Symbol: AAPL 08/20/21 P 140.000							
07/16/21	1.000	199.34	07/14/21	190.66	...	8.68	Option sale
AIRBNB INC ABNB Jul 16 2021 150.0 Call / CUSIP: / Symbol: ABNB 07/16/21 C 150.000							
06/30/21	1.000	504.34	06/30/21	395.66	...	108.68	Option sale
AMAZON COM INC AMZN Mar 05 2021 3200.0 Call / CUSIP: / Symbol: AMZN 03/05/21 C 3200.000							
03/05/21	1.000	0.00	03/02/21	400.66	...	-400.66	Option expiration
AMAZON COM INC AMZN Mar 12 2021 3500.0 Call / CUSIP: / Symbol: AMZN 03/12/21 C 3500.000							
03/12/21	1.000	0.34	03/08/21	110.66	...	-110.32	Option sale
AMAZON COM INC AMZN Mar 19 2021 3080.0 Call / CUSIP: / Symbol: AMZN 03/19/21 C 3080.000							
03/19/21	1.000	106.34	03/19/21	240.66	...	-134.32	Option sale
AMAZON COM INC AMZN Jul 16 2021 3850.0 Call / CUSIP: / Symbol: AMZN 07/16/21 C 3850.000							
07/16/21	1.000	0.00	07/13/21	1,182.66	...	-1,182.66	Option expiration
AMAZON COM INC AMZN Jul 16 2021 3860.0 Call / CUSIP: / Symbol: AMZN 07/16/21 C 3860.000							
07/16/21	1.000	1,033.33	07/16/21	0.00	...	1,033.33	Option expiration short position
ACTIVISION BLIZZARD INC ATVI Aug 20 2021 95.0 Call / CUSIP: / Symbol: ATVI 08/20/21 C 95.000							
06/28/21	1.000	455.34	06/28/21	400.66	...	54.68	Option sale
BOEING CO BA Apr 30 2021 232.5 Call / CUSIP: / Symbol: BA 04/30/21 C 232.500							
04/30/21	1.000	118.34	04/30/21	149.66	...	-31.32	Option sale
BOEING CO BA Apr 30 2021 252.5 Call / CUSIP: / Symbol: BA 04/30/21 C 252.500							
04/28/21	1.000	15.34	04/09/21	840.66	...	-825.32	Option sale

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BOEING CO BA May 07 2021 252.5 Call / CUSIP: / Symbol: BA 05/07/21 C 252.500							
05/05/21	1.000	7.34	04/28/21	86.66	...	-79.32	Option sale
BOEING CO BA Jul 30 2021 240.0 Call / CUSIP: / Symbol: BA 07/30/21 C 240.000							
07/30/21	1.000	0.00	07/28/21	188.66	...	-188.66	Option expiration
BOEING CO BA Aug 20 2021 260.0 Call / CUSIP: / Symbol: BA 08/20/21 C 260.000							
06/29/21	1.000	554.34	06/28/21	546.66	...	7.68	Option sale
08/20/21	1.000	0.00	06/30/21	541.66	...	-541.66	Option expiration
	Security total:	554.34		1,088.32	...	-533.98	
ALIBABA GROUP HOLDING LTD BABA Mar 26 2021 245.0 Call / CUSIP: / Symbol: BABA 03/26/21 C 245.000							
03/24/21	1.000	37.34	03/19/21	255.66	...	-218.32	Option sale
ALIBABA GROUP HOLDING LTD BABA Apr 16 2021 255.0 Call / CUSIP: / Symbol: BABA 04/16/21 C 255.000							
03/23/21	1.000	304.34	03/22/21	285.66	...	18.68	Option sale
BANK OF AMERICA CORPORATION BAC Apr 16 2021 39.0 Call / CUSIP: / Symbol: BAC 04/16/21 C 39.000							
04/12/21	1.000	130.34	04/08/21	120.66	...	9.68	Option sale
BLACKBERRY LTD BB Apr 30 2021 8.5 Call / CUSIP: / Symbol: BB 04/30/21 C 8.500							
04/26/21	1.000	66.34	04/21/21	41.66	...	24.68	Option sale
BIOGEN INC BIIB Jun 11 2021 420.0 Call / CUSIP: / Symbol: BIIB 06/11/21 C 420.000							
06/09/21	1.000	580.34	06/09/21	425.66	...	154.68	Option sale
BIOGEN INC BIIB Jun 25 2021 360.0 Call / CUSIP: / Symbol: BIIB 06/25/21 C 360.000							
06/24/21	1.000	279.34	06/24/21	150.66	...	128.68	Option sale
BIOGEN INC BIIB Jul 02 2021 350.0 Call / CUSIP: / Symbol: BIIB 07/02/21 C 350.000							
07/02/21	1.000	79.34	06/29/21	350.66	...	-271.32	Option sale
BILIBILI INC BILI Mar 19 2021 135.0 Call / CUSIP: / Symbol: BILI 03/19/21 C 135.000							
03/01/21	1.000	1,340.33	03/01/21	713.66	...	626.67	Option sale
BILIBILI INC BILI Mar 19 2021 145.0 Call / CUSIP: / Symbol: BILI 03/19/21 C 145.000							
03/02/21	1.000	-400.32	03/01/21	0.00	...	-400.32	Short sale closed- option

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO BLNK Jun 18 2021 42.5 Call / CUSIP: / Symbol: BLNK 06/18/21 C 42.500							
06/17/21	1.000	36.34	06/14/21	144.66	...	-108.32	Option sale
BEYOND MEAT INC BYND Jul 16 2021 150.0 Call / CUSIP: / Symbol: BYND 07/16/21 C 150.000							
07/12/21	1.000	40.34	07/06/21	305.66	...	-265.32	Option sale
CATERPILLAR INC CAT Aug 20 2021 230.0 Call / CUSIP: / Symbol: CAT 08/20/21 C 230.000							
06/30/21	1.000	406.34	06/15/21	528.66	...	-122.32	Option sale
CAMECO CORP CCJ Apr 16 2021 18.0 Call / CUSIP: / Symbol: CCJ 04/16/21 C 18.000							
03/16/21	1.000	174.34	03/15/21	149.66	...	24.68	Option sale
CARNIVAL CORP CCL Oct 15 2021 27.5 Call / CUSIP: / Symbol: CCL 10/15/21 C 27.500							
06/30/21	1.000	211.34	06/29/21	215.66	...	-4.32	Option sale
CHEWY INC CHWY Jun 18 2021 75.0 Call / CUSIP: / Symbol: CHWY 06/18/21 C 75.000							
06/16/21	1.000	236.34	06/11/21	212.66	...	23.68	Option sale
CLOVER HEALTH INVESTMENTS CORP CLOV Jun 18 2021 35.0 Call / CUSIP: / Symbol: CLOV 06/18/21 C 35.000							
06/09/21	1.000	399.34	06/08/21	275.66	...	123.68	Option sale
COINBASE GLOBAL INC COIN Jul 30 2021 300.0 Call / CUSIP: / Symbol: COIN 07/30/21 C 300.000							
07/30/21	1.000	0.00	07/09/21	220.66	...	-220.66	Option expiration
SALESFORCE.COM INC CRM Mar 19 2021 200.0 Put / CUSIP: / Symbol: CRM 03/19/21 P 200.000							
03/05/21	1.000	-122.32	03/04/21	0.00	...	-122.32	Short sale closed- option
SALESFORCE.COM INC CRM Mar 19 2021 207.5 Put / CUSIP: / Symbol: CRM 03/19/21 P 207.500							
03/04/21	1.000	736.34	03/03/21	574.66	...	161.68	Option sale
CURIOSITYSTREAM INC CURI Aug 20 2021 12.5 Call / CUSIP: / Symbol: CURI 08/20/21 C 12.500							
07/26/21	1.000	29.34	07/21/21	55.66	...	-26.32	Option sale
DELTA AIR LINES INC DAL Sep 17 2021 41.0 Call / CUSIP: / Symbol: DAL 09/17/21 C 41.000							
07/26/21	1.000	247.34	07/23/21	214.66	...	32.68	Option sale
DRAFTKINGS INC DKNG Apr 30 2021 55.0 Put / CUSIP: / Symbol: DKNG 04/30/21 P 55.000							
04/30/21	2.000	0.00	04/23/21	109.33	...	-109.33	Option expiration

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK INC FB Mar 05 2021	265.0	Call / CUSIP: / Symbol: FB 03/05/21 C 265.000					
03/05/21	1.000	34.34	03/04/21	42.66	...	-8.32	Option sale
FACEBOOK INC FB Apr 30 2021	350.0	Call / CUSIP: / Symbol: FB 04/30/21 C 350.000					
04/30/21	1.000	0.00	04/28/21	17.66	...	-17.66	Option expiration
FACEBOOK INC FB Jul 30 2021	380.0	Call / CUSIP: / Symbol: FB 07/30/21 C 380.000					
07/09/21	1.000	278.34	07/08/21	242.66	...	35.68	Option sale
FUBOTV INC FUBO Mar 05 2021	50.0	Call / CUSIP: / Symbol: FUBO 03/05/21 C 50.000					
03/02/21	1.000	141.34	03/02/21	86.66	...	54.68	Option sale
GAMESTOP CORPORATION GME Mar 05 2021	200.0	Call / CUSIP: / Symbol: GME 03/05/21 C 200.000					
03/05/21	1.000	0.00	03/04/21	150.66	...	-150.66	Option expiration
ALPHABET INC GOOGL Apr 30 2021	2500.0	Call / CUSIP: / Symbol: GOOGL 04/30/21 C 2500.000					
04/30/21	2.000	0.00	04/28/21	85.33	...	-85.33	Option expiration
AT HOME GROUP INC HOME Jul 16 2021	37.0	Call / CUSIP: / Symbol: HOME 07/16/21 C 37.000					
07/01/21	10.000	43.34	06/16/21	146.64	...	-103.30	Option sale
HUMANA INC HUM Aug 06 2021	455.0	Call / CUSIP: / Symbol: HUM 08/06/21 C 455.000					
08/06/21	1.000	0.00	07/28/21	185.66	...	-185.66	Option expiration
INTEL CORP INTC Aug 20 2021	52.5	Call / CUSIP: / Symbol: INTC 08/20/21 C 52.500					
07/28/21	1.000	158.34	07/27/21	125.66	...	32.68	Option sale
INTUITIVE SURGICAL INC ISRG Apr 30 2021	900.0	Call / CUSIP: / Symbol: ISRG 04/30/21 C 900.000					
04/30/21	1.000	0.00	04/27/21	75.66	...	-75.66	Option expiration
JAMF HOLDING CORP JAMF Mar 19 2021	40.0	Call / CUSIP: / Symbol: JAMF 03/19/21 C 40.000					
03/15/21	1.000	56.34	03/11/21	30.66	...	25.68	Option sale
NORDSTROM INC JWN Mar 05 2021	40.0	Call / CUSIP: / Symbol: JWN 03/05/21 C 40.000					
03/05/21	2.000	0.00	03/02/21	181.33	...	-181.33	Option expiration
LULULEMON ATHLETICA INC LULU Jun 25 2021	370.0	Call / CUSIP: / Symbol: LULU 06/25/21 C 370.000					
06/25/21	1.000	1.34	06/25/21	120.66	...	-119.32	Option sale

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MONGODB INC MDB Jul 23 2021	360.0	Call / CUSIP: / Symbol: MDB 07/23/21 C 360.000					
07/23/21	1.000	344.34	07/22/21	158.66	...	185.68	Option sale
NETFLIX INC NFLX Mar 26 2021	550.0	Call / CUSIP: / Symbol: NFLX 03/26/21 C 550.000					
03/23/21	1.000	319.34	03/22/21	182.66	...	136.68	Option sale
NETFLIX INC NFLX Jun 25 2021	550.0	Call / CUSIP: / Symbol: NFLX 06/25/21 C 550.000					
06/25/21	1.000	0.00	06/25/21	85.66	...	-85.66	Option expiration
NVIDIA CORP NVDA Jun 25 2021	800.0	Call / CUSIP: / Symbol: NVDA 06/25/21 C 800.000					
06/25/21	2.000	0.00	06/24/21	161.33	...	-161.33	Option expiration
NVIDIA CORP NVDA Jul 16 2021	750.0	Call / CUSIP: / Symbol: NVDA 07/16/21 C 750.000					
06/11/21	1.000	1,644.33	06/10/21	1,185.66	...	458.67	Option sale
ON SEMICONDUCTOR CORP ON Apr 16 2021	41.0	Call / CUSIP: / Symbol: ON 04/16/21 C 41.000					
03/17/21	1.000	241.34	03/16/21	235.66	...	5.68	Option sale
PINTEREST INC PINS Jun 11 2021	69.0	Call / CUSIP: / Symbol: PINS 06/11/21 C 69.000					
06/11/21	4.000	29.33	06/11/21	118.66	...	-89.33	Option sale
PALANTIR TECH INC PLTR Mar 05 2021	25.0	Call / CUSIP: / Symbol: PLTR 03/05/21 C 25.000					
03/05/21	2.000	0.00	03/04/21	91.33	...	-91.33	Option expiration
PALANTIR TECH INC PLTR May 21 2021	25.0	Call / CUSIP: / Symbol: PLTR 05/21/21 C 25.000					
04/13/21	1.000	245.34	04/12/21	139.66	...	105.68	Option sale
PALANTIR TECH INC PLTR Apr 16 2021	23.0	Put / CUSIP: / Symbol: PLTR 04/16/21 P 23.000					
04/16/21	2.000	50.67	04/13/21	31.33	...	19.34	Option sale
PLUG POWER INC PLUG Apr 16 2021	45.0	Call / CUSIP: / Symbol: PLUG 04/16/21 C 45.000					
04/16/21	1.000	0.00	03/02/21	850.66	...	-850.66	Option expiration
PLUG POWER INC PLUG Aug 20 2021	35.0	Call / CUSIP: / Symbol: PLUG 08/20/21 C 35.000					
07/13/21	1.000	100.34	07/07/21	257.66	...	-157.32	Option sale
RH RH Jun 25 2021	700.0	Call / CUSIP: / Symbol: RH 06/25/21 C 700.000					
06/25/21	1.000	15.34	06/23/21	310.66	...	-295.32	Option sale

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ROCKET COMPANIES INC RKT Mar 05 2021 36.0 Call / CUSIP: / Symbol: RKT 03/05/21 C 36.000							
03/02/21	1.000	899.34	03/02/21	650.66	...	248.68	Option sale
ROKU INC ROKU Jul 16 2021 460.0 Call / CUSIP: / Symbol: ROKU 07/16/21 C 460.000							
07/16/21	1.000	0.00	07/12/21	309.66	...	-309.66	Option expiration
STARBUCKS CORP SBUX Jul 16 2021 118.0 Call / CUSIP: / Symbol: SBUX 07/16/21 C 118.000							
07/12/21	1.000	79.34	07/07/21	94.66	...	-15.32	Option sale
SNAP INC SNAP Jun 18 2021 70.0 Call / CUSIP: / Symbol: SNAP 06/18/21 C 70.000							
05/12/21	1.000	31.34	05/03/21	140.66	...	-109.32	Option sale
SNOWFLAKE INC SNOW Mar 26 2021 230.0 Call / CUSIP: / Symbol: SNOW 03/26/21 C 230.000							
03/23/21	1.000	369.34	03/22/21	225.66	...	143.68	Option sale
VIRGIN GALACTIC HLDGS INC SPCE Jul 16 2021 50.0 Call / CUSIP: / Symbol: SPCE 07/16/21 C 50.000							
07/08/21	1.000	779.34	07/06/21	451.66	...	327.68	Option sale
VIRGIN GALACTIC HLDGS INC SPCE Jul 16 2021 60.0 Call / CUSIP: / Symbol: SPCE 07/16/21 C 60.000							
07/09/21	1.000	-243.32	07/08/21	0.00	...	-243.32	Short sale closed- option
VIRGIN GALACTIC HLDGS INC SPCE Jul 16 2021 17.0 Put / CUSIP: / Symbol: SPCE 07/16/21 P 17.000							
07/15/21	2.000	0.67	07/09/21	33.33	...	-32.66	Option sale
SPDR S&P500 ETF TRUST SPY Jul 16 2021 440.0 Call / CUSIP: / Symbol: SPY 07/16/21 C 440.000							
07/14/21	2.000	118.67	07/13/21	85.33	...	33.34	Option sale
SQUARE INC SQ Apr 16 2021 250.0 Call / CUSIP: / Symbol: SQ 04/16/21 C 250.000							
04/07/21	1.000	671.34	03/05/21	632.66	...	38.68	Option sale
SQUARE INC SQ Apr 16 2021 260.0 Call / CUSIP: / Symbol: SQ 04/16/21 C 260.000							
04/08/21	1.000	132.68	04/07/21	0.00	...	132.68	Short sale closed- option
TARGET CORP TGT Mar 26 2021 185.0 Put / CUSIP: / Symbol: TGT 03/26/21 P 185.000							
03/22/21	2.000	158.67	03/19/21	273.33	...	-114.66	Option sale
TESLA INC TSLA Apr 16 2021 850.0 Call / CUSIP: / Symbol: TSLA 04/16/21 C 850.000							
04/13/21	1.000	137.34	04/08/21	64.66	...	72.68	Option sale

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TESLA INC TSLA Apr 23 2021	800.0	Call / CUSIP: / Symbol: TSLA 04/23/21 C 800.000					
04/22/21	1.000	38.34	04/15/21	600.66	...	-562.32	Option sale
TESLA INC TSLA Apr 30 2021	710.0	Call / CUSIP: / Symbol: TSLA 04/30/21 C 710.000					
04/30/21	2.000	472.67	04/30/21	268.32	...	204.35	Option sale
TESLA INC TSLA May 07 2021	715.0	Call / CUSIP: / Symbol: TSLA 05/07/21 C 715.000					
05/07/21	1.000	0.00	05/03/21	585.66	...	-585.66	Option expiration
TESLA INC TSLA Jul 30 2021	750.0	Call / CUSIP: / Symbol: TSLA 07/30/21 C 750.000					
07/30/21	1.000	0.00	07/26/21	158.66	...	-158.66	Option expiration
TESLA INC TSLA May 07 2021	605.0	Put / CUSIP: / Symbol: TSLA 05/07/21 P 605.000					
04/28/21	1.000	86.68	04/27/21	0.00	...	86.68	Short sale closed- option
TESLA INC TSLA May 07 2021	655.0	Put / CUSIP: / Symbol: TSLA 05/07/21 P 655.000					
04/27/21	1.000	813.34	04/26/21	830.66	...	-17.32	Option sale
TAIWAN SEMICONDUCTOR MANUFACTU	120.0	Call / CUSIP: / Symbol: TSM 04/16/21 C 120.000					
04/16/21	1.000	9.34	03/05/21	676.66	...	-667.32	Option sale
TAIWAN SEMICONDUCTOR MANUFACTU	130.0	Call / CUSIP: / Symbol: TSM 04/16/21 C 130.000					
04/19/21	1.000	303.68	04/16/21	0.00	...	303.68	Short sale closed- option
THE TRADE DESK INC TTD Jul 16 2021	62.0	Call / CUSIP: / Symbol: TTD 07/16/21 C 62.000					
06/23/21	1.000	509.34	06/17/21	330.66	...	178.68	Option sale
THE TRADE DESK INC TTD Jul 16 2021	77.0	Call / CUSIP: / Symbol: TTD 07/16/21 C 77.000					
07/13/21	1.000	228.34	07/12/21	230.66	...	-2.32	Option sale
THE TRADE DESK INC TTD Jul 16 2021	80.0	Call / CUSIP: / Symbol: TTD 07/16/21 C 80.000					
07/12/21	1.000	109.34	07/08/21	90.66	...	18.68	Option sale
TWITTER INC TWTR Mar 05 2021	91.0	Call / CUSIP: / Symbol: TWTR 03/05/21 C 91.000					
03/05/21	1.000	0.00	03/01/21	18.66	...	-18.66	Option expiration
UBER TECHNOLOGIES INC UBER Jul 16 2021	49.0	Call / CUSIP: / Symbol: UBER 07/16/21 C 49.000					
06/23/21	1.000	250.34	06/21/21	175.66	...	74.68	Option sale

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UNITED PARCEL SERVICE INC UPS	Apr 30 2021	195.0 Call / CUSIP: / Symbol: UPS	04/30/21	C 195.000			
04/29/21	1.000	439.34	04/28/21	367.66	...	71.68	Option sale
UPSTART HLDGS INC UPST	Jun 25 2021	135.0 Call / CUSIP: / Symbol: UPST	06/25/21	C 135.000			
06/25/21	1.000	5.34	06/24/21	220.66	...	-215.32	Option sale
UPSTART HLDGS INC UPST	Jul 23 2021	150.0 Call / CUSIP: / Symbol: UPST	07/23/21	C 150.000			
07/12/21	1.000	199.34	07/08/21	115.66	...	83.68	Option sale
VISA INC V	Sep 17 2021	310.0 Call / CUSIP: / Symbol: V	09/17/21	C 310.000			
05/07/21	1.000	29.34	03/16/21	94.66	...	-65.32	Option sale
VIPER ENERGY PARTNERS LP VNOM	Apr 16 2021	18.0 Call / CUSIP: / Symbol: VNOM	04/16/21	C 18.000			
04/16/21	1.000	0.00	03/15/21	120.66	...	-120.66	Option expiration
VERTEX PHARMACEUTICAL VRTX	Jul 16 2021	205.0 Call / CUSIP: / Symbol: VRTX	07/16/21	C 205.000			
07/12/21	1.000	20.34	06/30/21	215.66	...	-195.32	Option sale
WELLS FARGO & COMPANY WFC	Apr 16 2021	40.0 Call / CUSIP: / Symbol: WFC	04/16/21	C 40.000			
03/25/21	1.000	103.34	03/23/21	82.66	...	20.68	Option sale
WELLS FARGO & COMPANY WFC	Jun 18 2021	45.0 Call / CUSIP: / Symbol: WFC	06/18/21	C 45.000			
04/16/21	1.000	132.34	04/14/21	74.66	...	57.68	Option sale
WELLS FARGO & COMPANY WFC	Jul 16 2021	45.0 Call / CUSIP: / Symbol: WFC	07/16/21	C 45.000			
07/14/21	5.000	241.67	07/09/21	188.32	...	53.35	Option sale
WELLS FARGO & COMPANY WFC	Jul 16 2021	47.5 Call / CUSIP: / Symbol: WFC	07/16/21	C 47.500			
06/15/21	10.000	873.34	06/14/21	716.64	...	156.70	Option sale
WELLS FARGO & COMPANY WFC	Jul 16 2021	50.0 Call / CUSIP: / Symbol: WFC	07/16/21	C 50.000			
07/16/21	5.000	0.00	06/29/21	118.32	...	-118.32	Option expiration
WELLS FARGO & COMPANY WFC	Sep 17 2021	50.0 Call / CUSIP: / Symbol: WFC	09/17/21	C 50.000			
06/24/21	2.000	176.67	06/17/21	137.33	...	39.34	Option sale
WELLS FARGO & COMPANY WFC	May 21 2021	47.5 Put / CUSIP: / Symbol: WFC	05/21/21	P 47.500			
04/30/21	1.000	272.34	04/29/21	223.66	...	48.68	Option sale

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WELLS FARGO & COMPANY WFC Jan 21 2022 50.0 Call / CUSIP: / Symbol: WFC 01/21/22 C 50.000							
04/16/21	1.000	219.34	04/14/21	175.66	...	43.68	Option sale
CONTEXTLOGIC INC WISH Jul 16 2021 11.0 Call / CUSIP: / Symbol: WISH 07/16/21 C 11.000							
06/17/21	1.000	196.34	06/15/21	310.66	...	-114.32	Option sale
WORKHORSE GROUP INC WKHS Mar 12 2021 25.0 Call / CUSIP: / Symbol: WKHS 03/12/21 C 25.000							
03/09/21	1.000	9.34	03/08/21	103.66	...	-94.32	Option sale
WALMART INC WMT May 21 2021 142.0 Call / CUSIP: / Symbol: WMT 05/21/21 C 142.000							
05/03/21	1.000	251.34	04/27/21	183.66	...	67.68	Option sale
SELECT SECTOR SPDR TRUST XLF Jul 02 2021 38.0 Call / CUSIP: / Symbol: XLF 07/02/21 C 38.000							
07/02/21	10.000	0.00	06/16/21	276.64	...	-276.64	Option expiration
ZOOM VIDEO COMMUNICATIONS INC ZM Apr 09 2021 340.0 Call / CUSIP: / Symbol: ZM 04/09/21 C 340.000							
04/09/21	1.000	0.00	04/08/21	80.66	...	-80.66	Option expiration
Totals :		32,451.18		39,394.15		-6,942.97	

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALLIANCE RESOURCE PARTNERS LP SBI / CUSIP: 01877R108 / Symbol: ARLP							
02/23/21	50.000	312.98	02/17/21	315.00	...	-2.02	Sale
Totals :		312.98		315.00		-2.02	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 233928608

Fees and Expenses

2021

01/20/2022

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged		02/26/21	-3.42	Margin interest paid	
		03/31/21	-17.93	Margin interest paid	
		04/30/21	-16.23	Margin interest paid	
		05/28/21	-5.27	Margin interest paid	
		06/30/21	-7.16	Margin interest paid	
		07/30/21	-1.83	Margin interest paid	
			-51.84	Total Margin interest paid	
			-51.84	Total Margin interest paid	

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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