

Employee Reference Copy
W-2 Wage and Tax Statement 2021
Copy C for employer's records. OMB No. 1545-0045

d Control number Dept. Corp. Employer use only
 0000327758 V6U 45710 LVA0 A S 119640

c Employer's name, address, and ZIP code
 OPTUM SERVICES INC
 ATTN: OPERATIONS MN008-B213
 P. O. BOX 1459
 MINNEAPOLIS, MN 55440-1459

e/f Employee's name, address, and ZIP code
 SAI KIRAN MUTYALA
 16435 SEDALIA DR
 FISHERS, IN 46040

b Employer's FED ID number **a** Employee's SSA number
 45-4683454 XXX-XX-1029

1 Wages, tips, other comp. 113251.63	2 Federal income tax withheld 18114.64
3 Social security wages 116837.62	4 Social security tax withheld 7243.93
5 Medicare wages and tips 116837.62	6 Medicare tax withheld 1694.15
7 Social security tips	8 Allocated tips
8	10 Dependent care benefits
11 Nonqualified plans	12a See instructions for box 12 C 119.60
14 Other	12b D 3585.99
	12c W 2000.00
	12d DD 7007.64
	13 Stat emp./Ret. plan 3rd party sick pay X
15 State Employer's state ID no. IN 0147980135 001	16 State wages, tips, etc. 113251.63
17 State income tax 3658.13	18 Local wages, tips, etc. 113251.63
19 Local income tax 2197.11	20 Locality name C-30

SAI KIRAN MUTYALA
 16435 SEDALIA DR
 FISHERS, IN 46040

Social Security Number: XXX-XX-1029



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1 Wages, tips, other comp. 113251.63	2 Federal income tax withheld 18114.64
3 Social security wages 116837.62	4 Social security tax withheld 7243.93
5 Medicare wages and tips 116837.62	6 Medicare tax withheld 1694.15
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e Employer's name, address, and ZIP code OPTUM SERVICES INC ATTN: OPERATIONS MN008-B213 P.O. BOX 1459 MINNEAPOLIS, MN 55440-1459	
b Employer's FED ID number 45-4683454	a Employee's SSA number XXX-XX-1029
7 Social security tips	8 Allocated tips
9	10 Dependent care benefits
11 Nonqualified plans	12a See instructions for box 12 C 119.60
14 Other	12b D 3585.99
	12c W 2000.00
	12d DD 7007.64
	13 Stat emp./Ret. plan 3rd party sick pay X
e/f Employee's name, address and ZIP code SAI KIRAN MUTYALA 16435 SEDALIA DR FISHERS, IN 46040	
15 State Employer's state ID no. IN 0147980135 001	16 State wages, tips, etc. 113251.63
17 State income tax 3658.13	18 Local wages, tips, etc. 113251.63
19 Local income tax 2197.11	20 Locality name C-30

Federal Filing Copy
W-2 Wage and Tax Statement 2021
Copy B to be filed with employer's Federal income tax returns. OMB No. 1545-0045

1 Wages, tips, other comp. 113251.63	2 Federal income tax withheld 18114.64
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e/f Employee's name, address and ZIP code SAI KIRAN MUTYALA 16435 SEDALIA DR FISHERS, IN 46040	
15 State Employer's state ID no. IN 0147980135 001	16 State wages, tips, etc. 113251.63
17 State income tax 3658.13	18 Local wages, tips, etc. 113251.63
19 Local income tax 2197.11	20 Locality name C-30

IN, State Filing Copy
W-2 Wage and Tax Statement 2021
Copy B to be filed with employer's State income tax returns. OMB No. 1545-0045

1 Wages, tips, other comp. 113251.63	2 Federal income tax withheld 18114.64
3 Social security wages 116837.62	4 Social security tax withheld 7243.93
5 Medicare wages and tips 116837.62	6 Medicare tax withheld 1694.15
d Control number Dept. Corp. Employer use only 0000327758 V6U 45710 LVA0 A S 119640	
e Employer's name, address, and ZIP code OPTUM SERVICES INC ATTN: OPERATIONS MN008-B213 P.O. BOX 1459 MINNEAPOLIS, MN 55440-1459	
b Employer's FED ID number 45-4683454	a Employee's SSA number XXX-XX-1029
7 Social security tips	8 Allocated tips
9	10 Dependent care benefits
11 Nonqualified plans	12a See instructions for box 12 C 119.60
14 Other	12b D 3585.99
	12c W 2000.00
	12d DD 7007.64
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e/f Employee's name, address and ZIP code SAI KIRAN MUTYALA 16435 SEDALIA DR FISHERS, IN 46040	
15 State Employer's state ID no. IN 0147980135 001	16 State wages, tips, etc. 113251.63
17 State income tax 3658.13	18 Local wages, tips, etc. 113251.63
19 Local income tax 2197.11	20 Locality name C-30

City or Local Filing Copy
W-2 Wage and Tax Statement 2021
Copy C to be filed with employer's City or Local income tax returns. OMB No. 1545-0045

Copy 1 To Be Filed With Employee's State, City, or Local Income Tax Return			2021 OMB No. 1545-0008		
a Employee's social security number XXX-XX-7690	1 Wages, tips, other comp. 56782.64	2 Federal income tax withheld 9260.24	b Employer ID number 35-2131181	3 Social security wages 56782.64	4 Social security tax withheld 3520.52
	5 Medicare wages and tips 56782.64	6 Medicare tax withheld 823.36			
c Employer's name, address, and ZIP code CREA, LLC 30 S Meridian St Ste 400 Indianapolis, IN 46204					
d Control Number N1830 700050					
e Employee's name, address, and ZIP code Swetha Vasantharao 16435 Sedalia Dr Fishers, IN 46040					
7 Social security tips	8 Allocated tips	9 Advance EIC payment			
10 Dependent care benefits	11 Nonqualified plans	12a Code AA 900.00			
13 Statutory employee	14 Other FSA 200.00	12b Code DD 4794.40			
Retirement plan X		12c Code W 2312.55			
3rd party sick pay		12d Code			
IN 0125463278 001		56782.64	1811.18		
15 State Empr.'s state I.D. #	16 State wages, tips, etc.	17 State income tax			
18 Local wages, tips, etc. 56782.64	19 Local income tax 1101.56	20 Locality name Hancock, IN (Res			

Form W-2 Wage and Tax Statement Dept. of the Treasury - IRS

Copy 2 To Be Filed With Employee's State, City, or Local Income Tax Return			2021 OMB No. 1545-0008		
a Employee's social security number XXX-XX-7690	1 Wages, tips, other comp. 56782.64	2 Federal income tax withheld 9260.24	b Employer ID number 35-2131181	3 Social security wages 56782.64	4 Social security tax withheld 3520.52
	5 Medicare wages and tips 56782.64	6 Medicare tax withheld 823.36			
c Employer's name, address, and ZIP code CREA, LLC 30 S Meridian St Ste 400 Indianapolis, IN 46204					
d Control Number N1830 700050					
e Employee's name, address, and ZIP code Swetha Vasantharao 16435 Sedalia Dr Fishers, IN 46040					
7 Social security tips	8 Allocated tips	9 Advance EIC payment			
10 Dependent care benefits	11 Nonqualified plans	12a Code AA 900.00			
13 Statutory employee	14 Other FSA 200.00	12b Code DD 4794.40			
Retirement plan X		12c Code W 2312.55			
3rd party sick pay		12d Code			
IN 0125463278 001		56782.64	1811.18		
15 State Empr.'s state I.D. #	16 State wages, tips, etc.	17 State income tax			
18 Local wages, tips, etc. 56782.64	19 Local income tax 1101.56	20 Locality name Hancock, IN (Res			

Form W-2 Wage and Tax Statement Dept. of the Treasury - IRS

Copy B To Be Filed With Employee's FEDERAL Tax Return			2021 OMB No. 1545-0008		
a Employee's social security number XXX-XX-7690	1 Wages, tips, other comp. 56782.64	2 Federal income tax withheld 9260.24	b Employer ID number 35-2131181	3 Social security wages 56782.64	4 Social security tax withheld 3520.52
	5 Medicare wages and tips 56782.64	6 Medicare tax withheld 823.36			
c Employer's name, address, and ZIP code CREA, LLC 30 S Meridian St Ste 400 Indianapolis, IN 46204					
d Control Number N1830 700050					
e Employee's name, address, and ZIP code Swetha Vasantharao 16435 Sedalia Dr Fishers, IN 46040					
7 Social security tips	8 Allocated tips	9 Advance EIC payment			
10 Dependent care benefits	11 Nonqualified plans	12a Code AA 900.00			
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Retirement plan X		12c Code W 2312.55			
3rd party sick pay		12d Code			
IN 0125463278 001		56782.64	1811.18		
15 State Empr.'s state I.D. #	16 State wages, tips, etc.	17 State income tax			
18 Local wages, tips, etc. 56782.64	19 Local income tax 1101.56	20 Locality name Hancock, IN (Res			

Form W-2 Wage and Tax Statement Dept. of the Treasury - IRS

This information is being furnished to the Internal Revenue Service

Copy C For EMPLOYEE'S RECORDS (See Notice to Employee on back of Copy B.)			2021 OMB No. 1545-0008		
a Employee's social security number XXX-XX-7690	1 Wages, tips, other comp. 56782.64	2 Federal income tax withheld 9260.24	b Employer ID number 35-2131181	3 Social security wages 56782.64	4 Social security tax withheld 3520.52
	5 Medicare wages and tips 56782.64	6 Medicare tax withheld 823.36			
c Employer's name, address, and ZIP code CREA, LLC 30 S Meridian St Ste 400 Indianapolis, IN 46204					
d Control Number N1830 700050					
e Employee's name, address, and ZIP code Swetha Vasantharao 16435 Sedalia Dr Fishers, IN 46040					
7 Social security tips	8 Allocated tips	9 Advance EIC payment			
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13 Statutory employee	14 Other FSA 200.00	12b Code DD 4794.40			
Retirement plan X		12c Code W 2312.55			
3rd party sick pay		12d Code			
IN 0125463278 001		56782.64	1811.18		
15 State Empr.'s state I.D. #	16 State wages, tips, etc.	17 State income tax			
18 Local wages, tips, etc. 56782.64	19 Local income tax 1101.56	20 Locality name Hancock, IN (Res			

Form W-2 Wage and Tax Statement Dept. of the Treasury - IRS

This information is being furnished to the Internal Revenue Service. If you are required to file a tax return, a negligence penalty/other sanction may be imposed on you if this income is taxable and you fail to report it.

Part I Employee

Name of employee (first name, middle initial, last name) **2** Social security number (SSN)
 Sweha Vasanthnarao XXX-XX-7690
 Street address (including apartment no.) **3** State or province **5** Country and ZIP or foreign postal code
 6435 Sedalia Dr IN 46040

Part II Employee Offer of Coverage

4 Offer of Coverage (enter required code)	All 12 Months	Jan	Feb	Mar	Apr	May
5 Employee Required Contributions (see instructions)		1E	1E	1E	1E	1E
6 Section 4980H Rate (annual and Other Relief letter code, if applicable)		\$108.48 2C	\$108.48 2C	\$108.48 2C	\$108.48 2C	\$108.48 2C
7 ZIP Code						

Part III Covered Individuals If Employer Provided self-insured coverage

check the box and enter the information for each covered individual

(a) Name of covered individual(s) First name, middle initial, last name	(b) SSN	(c) DOB (if SSN is not available)	(d) Covered all 12 months
18			<input type="checkbox"/>
19			<input type="checkbox"/>
20			<input type="checkbox"/>
21			<input type="checkbox"/>
22			<input type="checkbox"/>
23			<input type="checkbox"/>

Applicable Large Employer Member (Employer)

7 Name of employer **8** Employer Identification Number (EIN)
 CREA, LLC 35-2131181
 9 Street address (including room or suite no.) **10** Contract Telephone Number
 30 S Meridian St, Ste 400 (317) 808-7285
 11 City or town **12** State or province **13** Country and ZIP or foreign postal code
 Indianapolis IN 46204

Employee's Age on January 1 **Plan Start Month:** 01

Age	Plan Start Month	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec
1E													
\$108.48													
2C													

14	(e) Months of Coverage												
	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	
15	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
16	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
18	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
19	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
21	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
22	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
23	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

106108-10



SWETHA VASANTHARAO
 16435 SEDALIA DR
 FISHERS IN 46040-9807

For questions please call: 1-888-464-0727



CORRECTED (if checked)

Date Printed 01/27/2022

PAYER'S name, street address, city or town, state or province, country, ZIP or foreign postal code, and telephone no. CAPITAL ONE N.A. 1680 CAPITAL ONE DR MCLEAN, VA 22102		Payer's RTN (optional)	OMB No. 1545-0112 <h1 style="text-align: center;">2021</h1>		<h2 style="font-size: 2em;">Interest Income</h2>
PAYER'S TIN 72-0210640		1 Interest income <div style="text-align: right;">\$400.33</div>	Form 1099-INT		
RECIPIENT'S TIN ***-**-7690		2 Early withdrawal penalty	3 Interest on U.S. Savings Bonds and Treas. obligations		
RECIPIENT'S name, street address (including apt. no.), city or town, state or province, country, and ZIP or foreign postal code SWETHA VASANTHARAO 16435 SEDALIA DR FISHERS, IN 46040		4 Federal income tax withheld	5 Investment expenses	Copy B For Recipient This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.	
		6 Foreign tax paid	7 Foreign country or U.S. possession		
		8 Tax-exempt interest	9 Specified private activity bond interest		
		10 Market discount	11 Bond premium		
		12 Bond premium on Treasury obligations	13 Bond premium on tax-exempt bond		
Account number (see instructions) See Detail Below	FATCA filing requirement <input type="checkbox"/>	14 Tax-exempt and tax credit bond CUSIP no.	15 State IN	16 State identification no. 0140176845-002	17 State tax withheld
Form 1099-INT (keep for your records)		www.irs.gov/Form1099INT		Department of the Treasury - Internal Revenue Service	

** See Details

Product Description	Account Number	Interest Income	Early Withdrawal Penalty	Fed Income Tax Withheld	State Income Tax Withheld
CHECKING	*****8560	\$400.33			
		\$400.33			



100707-10



SAIKIRAN MUTYALA
 16435 SEDALIA DR
 FISHERS IN 46040-9807

For questions please call: 1-888-464-0727



CORRECTED (if checked)

Date Printed 01/27/2022

PAYER'S name, street address, city or town, state or province, country, ZIP or foreign postal code, and telephone no. CAPITAL ONE N.A. 1680 CAPITAL ONE DR MCLEAN, VA 22102		Payer's RTN (optional)	OMB No. 1545-0112 <h1 style="text-align: center;">2021</h1>		<h2 style="font-size: 1.5em;">Interest Income</h2>
PAYER'S TIN 72-0210640		1 Interest income <div style="text-align: right;">\$400.28</div>	Form 1099-INT		
RECIPIENT'S TIN ***-**-1029		2 Early withdrawal penalty	3 Interest on U.S. Savings Bonds and Treas. obligations		
RECIPIENT'S name, street address (including apt. no.), city or town, state or province, country, and ZIP or foreign postal code SAIKIRAN MUTYALA 16435 SEDALIA DR FISHERS, IN 46040		4 Federal income tax withheld	5 Investment expenses	Copy B For Recipient This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.	
		6 Foreign tax paid	7 Foreign country or U.S. possession		
		8 Tax-exempt interest	9 Specified private activity bond interest		
		10 Market discount	11 Bond premium		
		12 Bond premium on Treasury obligations	13 Bond premium on tax-exempt bond		
Account number (see instructions) See Detail Below	FATCA filing requirement <input type="checkbox"/>	14 Tax-exempt and tax credit bond CUSIP no.	15 State IN	16 State identification no. 0140176845-002	17 State tax withheld

Form 1099-INT (keep for your records) www.irs.gov/Form1099INT Department of the Treasury - Internal Revenue Service

** See Details

Product Description	Account Number	Interest Income	Early Withdrawal Penalty	Fed Income Tax Withheld	State Income Tax Withheld
CHECKING	*****0043	\$400.28			
		\$400.28			

ACCOUNT NUMBER: 0050431345

FOR INFORMATION CALL: 1.800.509.0183
CUSTOMER SERVICE HOURS: M-F 8AM-10PM ET
Sat 8AM-3PM ET

SAIKIRAN MUTYALA
SWETHA VASANTHARAO
16435 SEDALIA DR
FISHERS IN 46040-9807

SEE REVERSE SIDE FOR ADDITIONAL INFORMATION

ANNUAL TAX AND INTEREST STATEMENT

SAIKIRAN MUTYALA
SWETHA VASANTHARAO
16435 SEDALIA DR
FISHERS, IN 46040

Lakeview Loan Servicing, LLC
P.O. BOX 8068
VIRGINIA BEACH, VA 23450

YEAR: 2021
ACCT #: 0050431345
SSN: ***-**-1029

TIN# 54-1322898

DISBURSEMENTS FROM ESCROW

PROPERTY TAX \$1,534.35
HAZARD INSURANCE \$0.00
MORTGAGE INSURANCE \$136.50
ESCROW REFUND \$0.00
ADDT'L ASSESSMENTS \$0.00

PRINCIPAL RECONCILIATION

\$296,365.92 BEG BAL
\$7,067.82 APPLIED PRIN
\$289,298.10 ENDING BAL

ESCROW RECONCILIATION

\$0.00 BEG BAL
\$3,050.70 DEPOSITS
\$1,670.85 DISBURSEMENTS
\$1,379.85 ENDING BAL

CURRENT TOTAL PYMT \$2,284.48
CURRENT ESCROW PYMT \$351.10

INTEREST RECONCILIATION
\$0.00 INTEREST PAID
\$2,599.08 *MORTGAGE INTEREST RECEIVED
FROM PAYER(S)/BORROWER(S)

If the Tax ID Number shown above is incorrect or if the space is blank, please complete the Tax Identification Certification on the reverse side of this statement and return to us at our return address above.

CORRECTED (if checked)

RECIPIENT'S/LENDER'S name, street address, city or town, state or province, country, ZIP or foreign postal code, and telephone no.

LoanCare
P.O. Box 8068
Virginia Beach, VA 23450
(800) 274-6600

PAYER'S/BORROWER'S name, street address (including apt. no.) city or town, state or province, country, and ZIP or foreign postal code

SAIKIRAN MUTYALA
SWETHA VASANTHARAO
16435 SEDALIA DR
FISHERS, IN 46040

* Caution: The amount shown may not be fully deductible by you. Limits based on the loan amount and the cost and value of the secured property may apply. Also, you may only deduct interest to the extent it was incurred by you, actually paid by you, and not reimbursed by another person.

OMB No. 1545-1380
2021
Form 1098

Mortgage Interest Statement

Account number (see instructions)
0050431345

**Copy B
For Payer/Borrower**

The information in boxes 1 through 9 and 11 is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the IRS determines that an underpayment of tax results because you overstated a deduction for this mortgage interest or for these points, reported in boxes 1 and 6; or because you didn't report the refund of interest (box 4); or because you claimed a nondeductible item.

1 Mortgage interest received from payer(s)/borrower(s) \$ 2,599.08		3 Mortgage origination date 05/27/21	
2 Outstanding mortgage principal \$ 296,365.92		5 Mortgage insurance premiums \$ 136.50	
4 Refund of overpaid interest \$ 0.00		6 Points paid on purchase of principal residence \$ 0.00	
7 <input type="checkbox"/> If address of property securing mortgage is the same as PAYER'S/ BORROWER'S address, the box is checked, or the address or description is entered in box 8.			
8 Address or description of property securing mortgage 16435 SEDALIA DR FISHERS, IN 46040			
9 Number of properties securing the mortgage 001			

10 Other Real Estate Taxes Paid \$1,534.35
11 Mortgage acquisition date 07/28/21

RECIPIENT'S/LENDER'S TIN 54-1322898
PAYER'S/BORROWER'S TIN ***-**-1029

To the right is an explanation of the contents of the wage boxes on your W-2. Please note that the Gross amount shown may include adjustments.				Federal Box 1		Soc. Sec. Box 3 & 7		Medicare Box 5	
				Gross Wages	32076.92	32076.92	32076.92		
				Txbl Benefits					
				Group Term Life					
				Adoption					
				Deferred Comp		(450.00)			
				Section 125		(253.16)		(253.16)	
				Other Pretax/Wage Limit					
				W-2 Wages		31373.76		31823.76	
D. CONTROL NUMBER		This Information is being furnished to the Internal Revenue Service		2021		OMB NO. 1545-0008		1. WAGES, TIPS, OTHER COMPENSATION	
000049859401								31373.76	
2. FEDERAL INCOME TAX WITHHELD								2378.96	
B. EMPLOYER IDENTIFICATION NUMBER		A. EMPLOYEE'S SOCIAL SECURITY NUMBER		3. SOCIAL SECURITY WAGES		4. SOCIAL SECURITY TAX WITHHELD			
83-4172203		XXX-XX-7690		31823.76		1973.07			
C. EMPLOYER'S NAME, ADDRESS, AND ZIP CODE				5. MEDICARE WAGES AND TIPS		6. MEDICARE TAX WITHHELD			
Spreetail, LLC				31823.76		461.44			
2021 Transformation Drive				7. SOCIAL SECURITY TIPS		8. ALLOCATED TIPS			
Suite 2500									
Lincoln NE 68508				9.		10. DEPENDENT CARE BENEFITS			
E. EMPLOYEE'S FIRST NAME AND INITIAL		LAST NAME		SUFF.		11. NONQUALIFIED PLANS		12.a-d	
Swetha		Vasantharao						D 450.00	
16435 Sedalia Dr								W 366.67	
Fishers IN 46040								DD 1316.44	
USA									
F. EMPLOYEE'S ADDRESS AND ZIP CODE				13. STATUTORY EMPLOYEE <input type="checkbox"/>		RETIREMENT PLAN <input checked="" type="checkbox"/>		THIRD PARTY SICK PAY <input type="checkbox"/>	
15. STATE		EMPLOYER'S STATE I.D. NO.		16. STATE WAGES, TIPS, ETC.		17. STATE INCOME TAX		18. LOCAL WAGES, TIPS, ETC.	
IN		0167262890 001		31373.76		1013.38		31373.76	
								19. LOCAL INCOME TAX	
								608.64	
								20. LOCALITY NAME	
								HnckCoW/H	

D. CONTROL NUMBER		This Information is being furnished to the Internal Revenue Service		2021		OMB NO. 1545-0008		1. WAGES, TIPS, OTHER COMPENSATION	
000049859401								31373.76	
2. FEDERAL INCOME TAX WITHHELD								2378.96	
B. EMPLOYER IDENTIFICATION NUMBER		A. EMPLOYEE'S SOCIAL SECURITY NUMBER		3. SOCIAL SECURITY WAGES		4. SOCIAL SECURITY TAX WITHHELD			
83-4172203		XXX-XX-7690		31823.76		1973.07			
C. EMPLOYER'S NAME, ADDRESS, AND ZIP CODE				5. MEDICARE WAGES AND TIPS		6. MEDICARE TAX WITHHELD			
Spreetail, LLC				31823.76		461.44			
2021 Transformation Drive				7. SOCIAL SECURITY TIPS		8. ALLOCATED TIPS			
Suite 2500									
Lincoln NE 68508				9.		10. DEPENDENT CARE BENEFITS			
E. EMPLOYEE'S FIRST NAME AND INITIAL		LAST NAME		SUFF.		11. NONQUALIFIED PLANS		12.a-d	
Swetha		Vasantharao						D 450.00	
16435 Sedalia Dr								W 366.67	
Fishers IN 46040								DD 1316.44	
USA									
F. EMPLOYEE'S ADDRESS AND ZIP CODE				13. STATUTORY EMPLOYEE <input type="checkbox"/>		RETIREMENT PLAN <input checked="" type="checkbox"/>		THIRD PARTY SICK PAY <input type="checkbox"/>	
15. STATE		EMPLOYER'S STATE I.D. NO.		16. STATE WAGES, TIPS, ETC.		17. STATE INCOME TAX		18. LOCAL WAGES, TIPS, ETC.	
IN		0167262890 001		31373.76		1013.38		31373.76	
								19. LOCAL INCOME TAX	
								608.64	
								20. LOCALITY NAME	
								HnckCoW/H	

Copy 2 To be filed with Employee's STATE, CITY, or LOCAL tax return 2021 Dept. of the Treasury - Internal Revenue Service

FORM W-2 Wage and Tax Statement

D. CONTROL NUMBER		This Information is being furnished to the Internal Revenue Service		2021		OMB NO. 1545-0008		1. WAGES, TIPS, OTHER COMPENSATION	
000049859401								31373.76	
2. FEDERAL INCOME TAX WITHHELD								2378.96	
B. EMPLOYER IDENTIFICATION NUMBER		A. EMPLOYEE'S SOCIAL SECURITY NUMBER		3. SOCIAL SECURITY WAGES		4. SOCIAL SECURITY TAX WITHHELD			
83-4172203		XXX-XX-7690		31823.76		1973.07			
C. EMPLOYER'S NAME, ADDRESS, AND ZIP CODE				5. MEDICARE WAGES AND TIPS		6. MEDICARE TAX WITHHELD			
Spreetail, LLC				31823.76		461.44			
2021 Transformation Drive				7. SOCIAL SECURITY TIPS		8. ALLOCATED TIPS			
Suite 2500									
Lincoln NE 68508				9.		10. DEPENDENT CARE BENEFITS			
E. EMPLOYEE'S FIRST NAME AND INITIAL		LAST NAME		SUFF.		11. NONQUALIFIED PLANS		12.a-d	
Swetha		Vasantharao						D 450.00	
16435 Sedalia Dr								W 366.67	
Fishers IN 46040								DD 1316.44	
USA									
F. EMPLOYEE'S ADDRESS AND ZIP CODE				13. STATUTORY EMPLOYEE <input type="checkbox"/>		RETIREMENT PLAN <input checked="" type="checkbox"/>		THIRD PARTY SICK PAY <input type="checkbox"/>	
15. STATE		EMPLOYER'S STATE I.D. NO.		16. STATE WAGES, TIPS, ETC.		17. STATE INCOME TAX		18. LOCAL WAGES, TIPS, ETC.	
IN		0167262890 001		31373.76		1013.38		31373.76	
								19. LOCAL INCOME TAX	
								608.64	
								20. LOCALITY NAME	
								HnckCoW/H	

Copy 2 To be filed with Employee's STATE, CITY, or LOCAL tax return 2021 Dept. of the Treasury - Internal Revenue Service

FORM W-2 Wage and Tax Statement

D. CONTROL NUMBER		This Information is being furnished to the Internal Revenue Service		2021		OMB NO. 1545-0008		1. WAGES, TIPS, OTHER COMPENSATION	
000049859401								31373.76	
2. FEDERAL INCOME TAX WITHHELD								2378.96	
B. EMPLOYER IDENTIFICATION NUMBER		A. EMPLOYEE'S SOCIAL SECURITY NUMBER		3. SOCIAL SECURITY WAGES		4. SOCIAL SECURITY TAX WITHHELD			
83-4172203		XXX-XX-7690		31823.76		1973.07			
C. EMPLOYER'S NAME, ADDRESS, AND ZIP CODE				5. MEDICARE WAGES AND TIPS		6. MEDICARE TAX WITHHELD			
Spreetail, LLC				31823.76		461.44			
2021 Transformation Drive				7. SOCIAL SECURITY TIPS		8. ALLOCATED TIPS			
Suite 2500									
Lincoln NE 68508				9.		10. DEPENDENT CARE BENEFITS			
E. EMPLOYEE'S FIRST NAME AND INITIAL		LAST NAME		SUFF.		11. NONQUALIFIED PLANS		12.a-d	
Swetha		Vasantharao						D 450.00	
16435 Sedalia Dr								W 366.67	
Fishers IN 46040								DD 1316.44	
USA									
F. EMPLOYEE'S ADDRESS AND ZIP CODE				13. STATUTORY EMPLOYEE <input type="checkbox"/>		RETIREMENT PLAN <input checked="" type="checkbox"/>		THIRD PARTY SICK PAY <input type="checkbox"/>	
15. STATE		EMPLOYER'S STATE I.D. NO.		16. STATE WAGES, TIPS, ETC.		17. STATE INCOME TAX		18. LOCAL WAGES, TIPS, ETC.	
IN		0167262890 001		31373.76		1013.38		31373.76	
								19. LOCAL INCOME TAX	
								608.64	
								20. LOCALITY NAME	
								HnckCoW/H	

Copy B To be filed with Employee's FEDERAL tax return 2021 Dept. of the Treasury - Internal Revenue Service

FORM W-2 Wage and Tax Statement

ROBINHOOD CRYPTO LLC
85 WILLOW ROAD
MENLO PARK, CA 94025

SAI KIRAN MUTYALA
16435 SEDALIA DR
FORTVILLE, IN 46040

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Robinhood Crypto LLC 85 WILLOW ROAD MENLO PARK, CA 94025 Customer Service: 844-428-5411 PAYER'S Federal ID No: 46-4364776	Account 121262067C	Form 1099 Composite	2021
	Sai kiran Mutyala 16435 Sedalia Dr Fortville, IN 46040 RECIPIENT'S ID No: XXX-XX-1029	Statement Date: 02/06/2022	

FATCA filing requirement []

Tax Reporting Statement

MISCELLANEOUS INFORMATION	2021 Form 1099-MISC*	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

Tax Reporting Statement

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	0.00	0.00	0.00		0.00
Short-term transactions for noncovered tax lots	1,038.06	847.76	0.00		190.30
Total Short-term	1,038.06	847.76	0.00		190.30
Long-term transactions for covered tax lots	0.00	0.00	0.00		0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00		0.00
Total Long-term	0.00	0.00	0.00		0.00
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00		0.00
Total Undetermined-term	0.00	0.00	0.00		0.00

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

The following information is being provided to facilitate your review and the preparation of your tax return.

This Form 1099-B – Proceeds from Broker and Barter Exchange Transactions only provides information with respect to cryptocurrency-related transactions in your account with us for the year, and you will receive a separate Form 1099 if you have executed stock or securities trades through Robinhood during the year.

The cryptocurrency sales transactions on this Form 1099-B are not being reported to the IRS as covered securities. As such, certain information such as cost basis is not being transmitted to the IRS and is only provided to you for information purposes. Information which we are not providing to the IRS with Form 1099-B is further detailed in the transactions sections below. Remember, taxpayers are ultimately responsible for the accuracy of their tax returns.

For tax lots whose term is undetermined, you may need to use your historical documents to establish the cost basis and date of purchase. For purposes of providing you with cost basis information and calculating gain or loss, unless otherwise noted, it was assumed that the oldest position was sold first. However, there is no assurance that the IRS will agree with this approach, and you may wish to consult with your tax advisor regarding your reporting of cryptocurrency transactions.

If applicable, we are not reporting your receipt of forked cryptocurrency as sales with this Form 1099-B, and we note that there is substantial uncertainty as to how the receipt of forked cryptocurrency should be treated for tax purposes. For purposes of determining gain or loss on the sale of cryptocurrency that has been subject to a fork or received in a fork, if applicable, and for information purposes, we provide an adjusted cost basis in the cryptocurrency such that the cost basis prior to the fork is apportioned between the original, pre-forked coin and the new, post-forked coins based on the fair market of each coin following the fork event. However, there is no assurance that the IRS will agree with this approach. Again, you may wish to consult with your tax advisor on tax rules relating to cryptocurrency events such as forks and trade transactions, as individual circumstances may vary.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS

Report on Form 8949, Part I with Box B checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Checked (Noncovered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Not Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Symbol	1c - DateSold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	4 - Federal Income Tax Withheld	Gain or Loss (-)	Additional Notes
DOGEUSD								
	02/07/2021	1,459.00	100.01	Various	30.02	0.00	69.99	Sale
	02/15/2021	539.00	27.58	01/28/2021	11.02	0.00	16.56	Sale
	02/15/2021	5,749.00	294.36	Various	296.76	0.00	-2.40	Sale
	02/23/2021	1,117.00	50.00	02/18/2021	64.90	0.00	-14.90	Sale
	03/10/2021	3,948.00	221.00	Various	185.03	0.00	35.97	Sale
	04/29/2021	259.00	79.09	Various	60.08	0.00	19.01	Sale
Security Totals:			772.04		647.81		124.23	
LTCUSD								
	02/15/2021	0.00513849	1.00	02/07/2021	0.76	0.00	0.24	Sale
	02/15/2021	1.35394963	265.02	02/07/2021	199.19	0.00	65.83	Sale
Security Totals:			266.02		199.95		66.07	
Totals:			1,038.06		847.76		190.30	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2021 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting also is required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D (Form 1040), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2020.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2021 in 2022.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.

Box 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14–16. Shows state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Amounts shown may be subject to self-employment (SE) tax. Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the proper line of their tax returns.

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your income correctly.

Box 1. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.

Box 2. Report royalties from oil, gas, or mineral properties; copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040 or Form 1040-SR) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Box 3. Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Box 5. Shows the amount paid to a fishing boat crew member who is considered by the operator to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.

Box 6. For individuals, report on Schedule C (Form 1040).

Box 7. If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).

Box 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Box 9. Report this amount on Schedule F (Form 1040).

Box 10. Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.

Box 12. May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A, plus any earnings on current and prior year deferrals.

Box 13. Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.

Box 14. Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.

Box 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 121262067	Statement Date: 03/02/2022 AMENDED Document ID: 1013 770 31K5	2021
	Sai kiran Mutyala 16435 Sedalia Dr Fortville, IN 46040 RECIPIENT'S TIN: XXX-XX-1029		

[] FATCA filing requirement (see instructions)		Summary Information	
DIVIDENDS AND DISTRIBUTIONS	2021 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION
			2021 1099-MISC*
			OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	35.87		2- Royalties
1b- Qualified dividends	25.54		3- Other income
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.41		4- Federal income tax withheld
2b- Unrecaptured Section 1250 gain	0.21		8- Substitute payments in lieu of dividends or interest
2c- Section 1202 gain	0.00		
2d- Collectibles (28%) gain	0.00		
2e- Section 897 ordinary dividends	0.00		SECTION 1256 CONTRACTS
2f- Section 897 capital gain	0.00		2021 1099-B*
3- Nondividend distributions	7.47		OMB No. 1545-0715
4- Federal income tax withheld	0.00		8- Profit or (loss) realized in 2021 on closed contracts
5- Section 199A dividends	3.74		9- Unrealized profit or (loss) on open contracts-12/31/2020
6- Investment expenses	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2021
8- Foreign country or US possession: See detail	1.63		11- Aggregate profit or (loss) on contracts
7- Foreign tax paid:	0.00		
9- Cash liquidation distributions	0.00		
10- Noncash liquidation distributions	0.00		
11- Exempt-interest dividends (includes line 12)	0.00		
12- Specified private activity bond interest dividends (AMT)	0.00		

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	12,059.47	9,856.39	0.00	88.72	2,291.80 C
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	1.89	263.43	0.00	0.00	-261.54
	Total Short-term	12,061.36	10,119.82	0.00	88.72	2,030.26
Long	D (basis reported to the IRS)	2,986.22	886.19	0.00	0.00	2,100.03
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	2,986.22	886.19	0.00	0.00	2,100.03
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	15,047.58	11,006.01	0.00	88.72	4,130.29
Withholding		Amount				
	Federal income tax withheld		0.00			

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 121262067

Summary Information

2021

(continued)

03/02/2022 AMENDED

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	243.28
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

03/02/2022 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/29/2021 CALL \$180.00 / CUSIP: / Symbol:							
01/29/21	1.000	0.00	01/27/21	10.00	...	-10.00	Option expiration- long call
PYPL 01/29/2021 CALL \$250.00 / CUSIP: / Symbol:							
01/26/21	1.000	207.98	01/26/21	276.00	...	-68.02	Option sale to close-call ²⁵
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
02/11/21	0.094	307.73	12/03/20	300.00	...	7.73	Sale ²⁵
AMBOW EDUCATION HOLDING LTD. A MERICAN DEPOSITORY SHARES, EAC H REPRESENTING TWO CLASS A ORD / CUSIP: 02322P200 / Symbol:							
02/22/21	10.000	30.10	02/10/21	32.10	...	-2.00	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	10.000	172.72	07/01/20	128.30	...	44.42	1 of 2 - Sale ²⁵
	5.000	86.37	07/16/20	61.95	...	24.42	2 of 2 - Sale ²⁵
02/12/21	15.000	259.09	Various	190.25	...	68.84	Total of 2 transactions
BIO-KEY INTERNATIONAL, INC. CO MMON STOCK / CUSIP: 09060C408 / Symbol:							
02/22/21	5.000	24.65	02/10/21	24.84	...	-0.19	Sale ²⁵
BRIDGELINE DIGITAL, INC. COMMO N STOCK / CUSIP: 10807Q700 / Symbol:							
02/22/21	4.000	14.10	02/10/21	15.75	...	-1.65	Sale ²⁵
CAN-FITE BIOPHARMA LTD. / CUSIP: 13471N201 / Symbol:							
2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	100.000	260.99	06/29/20	205.00	...	55.99	1 of 2 - Sale ²⁵
	50.000	130.49	07/13/20	94.50	...	35.99	2 of 2 - Sale ²⁵
02/22/21	150.000	391.48	Various	299.50	...	91.98	Total of 2 transactions
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	5.000	428.94	02/09/21	295.00	...	133.94	1 of 2 - Sale ²⁵
	2.000	171.57	02/12/21	90.60	...	80.97	2 of 2 - Sale ²⁵
11/09/21	7.000	600.51	Various	385.60	...	214.91	Total of 2 transactions
CHANGE HEALTHCARE INC. COMMON STOCK / CUSIP: 15912K100 / Symbol:							
04/01/21	11.000	246.84	05/26/20	138.60	...	108.24	Sale ²⁵
2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	1.000	22.47	05/26/20	12.60	...	9.87	1 of 2 - Sale ²⁵
	4.000	89.88	07/14/20	40.76	...	49.12	2 of 2 - Sale ²⁵
04/13/21	5.000	112.35	Various	53.36	...	58.99	Total of 2 transactions
	Security total:	359.19		191.96	...	167.23	
CHERRY HILL MORTGAGE INVESTMEN T CORPORATION / CUSIP: 164651101 / Symbol:							
02/09/21	10.000	92.60	05/21/20	79.08	...	13.52	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
04/01/21	50.000	78.50	07/02/20	90.00	...	-11.50	Sale ²⁵
CLEAR CHANNEL OUTDOOR HOLDINGS, INC. COMMON STOCK / CUSIP: 18453H106 / Symbol:							
02/22/21	20.000	40.20	02/08/21	40.36	...	-0.16	Sale ²⁵
CONFORMIS, INC. COMMON STOCK / CUSIP: 20717E101 / Symbol:							
5 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	61.000	61.72	01/22/20	70.46	...	-8.74	1 of 5 - Sale ²⁵
	66.000	66.73	01/24/20	67.31	...	-0.58	2 of 5 - Sale ²⁵
	34.000	34.40	01/24/20	34.68	...	-0.28	3 of 5 - Sale ²⁵
	50.000	50.55	02/25/20	48.25	...	2.30	4 of 5 - Sale ²⁵
	10.000	10.11	02/27/20	7.71	...	2.40	5 of 5 - Sale ²⁵
01/14/21	221.000	223.51	Various	228.41	...	-4.90	Total of 5 transactions
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	20.000	25.60	02/27/20	15.41	...	10.19	1 of 3 - Sale ²⁵
	20.000	25.60	03/11/20	13.78	...	11.82	2 of 3 - Sale ²⁵
	60.000	76.79	03/26/20	40.19	...	36.60	3 of 3 - Sale ²⁵
01/25/21	100.000	127.99	Various	69.38	...	58.61	Total of 3 transactions
	Security total:	351.50		297.79	...	53.71	
COTY INC / CUSIP: 222070203 / Symbol:							
02/19/21	5.000	37.70	02/09/21	33.54	...	4.16	Sale ²⁵
CREXENDO, INC. COMMON STOCK / CUSIP: 226552107 / Symbol:							
2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	10.000	61.00	02/04/21	67.00	...	-6.00	1 of 2 - Sale ²⁵
	5.000	30.50	02/04/21	33.43	...	-2.93	2 of 2 - Sale ²⁵
02/22/21	15.000	91.50	Various	100.43	...	-8.93	Total of 2 transactions
DHI GROUP, INC. / CUSIP: 23331S100 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	10.000	26.70	02/25/20	24.98	...	1.72	1 of 2 - Sale ²⁵
	20.000	53.39	07/17/20	45.40	...	7.99	2 of 2 - Sale ²⁵
02/09/21	30.000	80.09	Various	70.38	...	9.71	Total of 2 transactions

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol:							
04/01/21	3.000	248.90	12/03/20	126.47	...	122.43	Sale ²⁵
ENERGY FUELS INC. / CUSIP: 292671708 / Symbol:							
3 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	10.000	39.35	12/23/20	40.70	...	-1.35	1 of 3 - Sale ²⁵
	10.000	39.35	01/07/21	41.48	...	-2.13	2 of 3 - Sale ²⁵
	10.000	39.35	01/08/21	40.00	...	-0.65	3 of 3 - Sale ²⁵
01/19/21	30.000	118.05	Various	122.18	...	-4.13	Total of 3 transactions
GALIANO GOLD INC. / CUSIP: 36352H100 / Symbol:							
03/16/21	20.000	24.00	03/08/21	21.00	...	3.00	Sale ²⁵
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
01/26/21	3.000	547.96	01/26/21	260.85	...	287.11	Sale ²⁵
GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:							
08/03/21	0.250	25.93	04/01/21	26.46	...	-0.53	Cash in lieu
11/10/21	1.000	108.95	04/01/21	105.83	...	3.12	Sale ²⁵
	Security total:	134.88		132.29	...	2.59	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
3 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	37.000	79.92	07/16/20	82.14	...	-2.22	1 of 3 - Sale ²⁵
	13.000	28.08	07/16/20	28.86	...	-0.78	2 of 3 - Sale ²⁵
	50.000	108.00	07/17/20	109.96	...	-1.96	3 of 3 - Sale ²⁵
03/10/21	100.000	216.00	Various	220.96	...	-4.96	Total of 3 transactions
03/10/21	100.000	216.00	07/07/20	227.98	11.98 W	0.00	Sale ²⁵
	Security total:	432.00		448.94	11.98 W	-4.96	
GOLD STANDARD VENTURES CORP. / CUSIP: 380738104 / Symbol:							
02/17/21	100.000	82.13	01/26/21	64.97	...	17.16	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOLDEN MINERALS COMPANY / CUSIP: 381119106 / Symbol:							
<i>5 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	84.62	01/07/21	79.23	...	5.39	1 of 5 - Sale ²⁵
	50.000	42.31	01/08/21	39.00	...	3.31	2 of 5 - Sale ²⁵
	100.000	84.63	01/08/21	73.75	...	10.88	3 of 5 - Sale ²⁵
	4.000	3.38	01/27/21	2.57	...	0.81	4 of 5 - Sale ²⁵
	16.000	13.54	01/27/21	10.27	...	3.27	5 of 5 - Sale ²⁵
02/22/21	270.000	228.48	Various	204.82	...	23.66	Total of 5 transactions
GREAT PANTHER MINING LIMITED / CUSIP: 39115V101 / Symbol:							
<i>4 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	99.99	02/11/21	111.00	...	-11.01	1 of 4 - Sale ²⁵
	1.000	1.00	02/11/21	1.11	...	-0.11	2 of 4 - Sale ²⁵
	50.000	50.00	02/11/21	49.37	...	0.63	3 of 4 - Sale ²⁵
	50.000	49.99	02/18/21	49.92	...	0.07	4 of 4 - Sale ²⁵
02/22/21	201.000	200.98	Various	211.40	...	-10.42	Total of 4 transactions
HASBRO, INC. COMMON STOCK / CUSIP: 418056107 / Symbol:							
03/02/21	1.000	95.33	01/27/21	91.77	...	3.56	Sale ²⁵
THE KROGER CO. / CUSIP: 501044101 / Symbol:							
03/10/21	5.000	177.23	01/26/21	173.00	...	4.23	Sale ²⁵
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
02/22/21	50.000	206.00	07/09/20	114.34	...	91.66	Sale ²⁵
MARIN SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 56804T205 / Symbol:							
02/09/21	40.000	108.44	01/07/21	82.80	...	25.64	Sale ²⁵
MCEWEN MINING, INC. / CUSIP: 58039P107 / Symbol:							
02/22/21	30.000	36.96	02/16/21	37.14	...	-0.18	Sale ²⁵
MEREIO BIOPHARMA GROUP PLC AMER ICAN DEPOSITARY SHARES / CUSIP: 589492107 / Symbol:							
<i>6 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	40.000	122.81	07/13/20	127.60	...	-4.79	1 of 6 - Sale ²⁵
	8.000	24.56	07/13/20	25.12	...	-0.56	2 of 6 - Sale ²⁵

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MEROE BIOPHARMA GROUP PLC AMER ICAN DEPOSITARY SHARES / CUSIP: 589492107 / Symbol: (cont'd)							
	20.000	61.40	07/13/20	61.40	...	0.00	3 of 6 - Sale ²⁵
	10.000	30.70	07/13/20	30.10	...	0.60	4 of 6 - Sale ²⁵
	10.000	30.70	07/14/20	29.20	...	1.50	5 of 6 - Sale ²⁵
	20.000	61.41	07/14/20	59.08	...	2.33	6 of 6 - Sale ²⁵
02/09/21	108.000	331.58	Various	332.50	...	-0.92	Total of 6 transactions
META METALS INC PREFERRED (SER IES A) / CUSIP: 59134N203 / Symbol:							
4 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	10.000	16.60	02/16/21	0.00	...	16.60	1 of 4 - Sale ²⁵
	10.000	16.60	02/18/21	0.00	...	16.60	2 of 4 - Sale ²⁵
	5.000	8.30	02/22/21	0.00	...	8.30	3 of 4 - Sale ²⁵
	25.000	41.50	02/23/21	0.00	...	41.50	4 of 4 - Sale ²⁵
11/10/21	50.000	83.00	Various	0.00	...	83.00	Total of 4 transactions
MOXIAN, INC. COMMON STOCK / CUSIP: 624697306 / Symbol:							
2 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	50.000	259.00	02/04/21	98.85	...	160.15	1 of 2 - Sale ²⁵
	5.000	25.90	03/04/21	10.05	...	15.85	2 of 2 - Sale ²⁵
04/01/21	55.000	284.90	Various	108.90	...	176.00	Total of 2 transactions
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol:							
4 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	10.000	18.90	07/06/20	24.20	...	-5.30	1 of 4 - Sale ²⁵
	10.000	18.90	12/03/20	8.26	...	10.64	2 of 4 - Sale ²⁵
	9.000	17.01	12/23/20	8.10	...	8.91	3 of 4 - Sale ²⁵
	11.000	20.79	12/23/20	9.76	...	11.03	4 of 4 - Sale ²⁵
02/22/21	40.000	75.60	Various	50.32	...	25.28	Total of 4 transactions
NUCANA PLC AMERICAN DEPOSITARY SHARE / CUSIP: 67022C106 / Symbol:							
02/22/21	25.000	147.56	12/14/20	117.25	...	30.31	Sale ²⁵
OCULAR THERAPEUTIX, INC. COMMON STOCK / CUSIP: 67576A100 / Symbol:							
02/09/21	5.000	98.45	12/03/20	94.98	...	3.47	Sale ²⁵

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Robinhood Securities LLC

Account 121262067

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	20.000	191.79	02/23/21	154.20	...	37.59	1 of 2 - Sale ²⁵
	20.000	191.80	02/23/21	151.78	...	40.02	2 of 2 - Sale ²⁵
03/02/21	40.000	383.59	Various	305.98	...	77.61	Total of 2 transactions
7 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	20.000	191.80	02/09/21	243.20	51.40 W	0.00	1 of 7 - Sale ²⁵
	5.000	47.95	02/17/21	50.70	2.75 W	0.00	2 of 7 - Sale ²⁵
	2.000	19.18	03/01/21	19.84	0.66 W	0.00	3 of 7 - Sale ²⁵
	10.000	95.90	03/01/21	102.60	6.70 W	0.00	4 of 7 - Sale ²⁵
	1.000	9.59	03/02/21	9.92	0.33 W	0.00	5 of 7 - Sale ²⁵
	2.000	19.18	03/02/21	19.90	0.72 W	0.00	6 of 7 - Sale ²⁵
	10.000	95.89	03/02/21	100.50	4.61 W	0.00	7 of 7 - Sale ²⁵
03/02/21	50.000	479.49	Various	546.66	67.17 W	0.00	Total of 7 transactions
13 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	10.000	99.45	03/03/21	145.70	...	-46.25	1 of 13 - Sale ²⁵
	10.000	99.45	03/04/21	115.70	...	-16.25	2 of 13 - Sale ²⁵
	1.000	9.94	03/04/21	9.33	...	0.61	3 of 13 - Sale ²⁵
	2.000	19.89	03/04/21	18.72	...	1.17	4 of 13 - Sale ²⁵
	2.000	19.89	03/04/21	18.66	...	1.23	5 of 13 - Sale ²⁵
	5.000	49.72	03/04/21	47.75	...	1.97	6 of 13 - Sale ²⁵
	10.000	99.45	03/04/21	96.70	...	2.75	7 of 13 - Sale ²⁵
	10.000	99.45	03/04/21	94.61	...	4.84	8 of 13 - Sale ²⁵
	10.000	99.45	03/04/21	90.00	...	9.45	9 of 13 - Sale ²⁵
	5.000	49.72	03/05/21	41.20	...	8.52	10 of 13 - Sale ²⁵
	5.000	49.72	03/08/21	43.95	...	5.77	11 of 13 - Sale ²⁵
	10.000	99.45	03/19/21	87.00	...	12.45	12 of 13 - Sale ²⁵
	5.000	49.72	04/21/21	33.35	...	16.37	13 of 13 - Sale ²⁵
10/15/21	85.000	845.30	Various	842.67	...	2.63	Total of 13 transactions
	Security total:	1,708.38		1,695.31	67.17 W	80.24	

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Robinhood Securities LLC

Account 121262067

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
01/11/21	250.000	173.35	12/09/20	79.95	...	93.40	Sale ²⁵
02/22/21	250.000	394.97	12/09/20	79.95	...	315.02	Sale ²⁵
	Security total:	568.32		159.90	...	408.42	
PAYSIGN, INC. COMMON STOCK / CUSIP: 70451A104 / Symbol:							
<i>3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	54.23	03/26/20	55.13	...	-0.90	1 of 3 - Sale ²⁵
	10.000	54.23	12/24/20	47.10	...	7.13	2 of 3 - Sale ²⁵
	30.000	162.71	01/27/21	146.10	...	16.61	3 of 3 - Sale ²⁵
02/09/21	50.000	271.17	Various	248.33	...	22.84	Total of 3 transactions
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
05/06/21	3.000	251.52	05/21/20	133.37	...	118.15	Sale ²⁵
PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol:							
02/22/21	2.000	14.16	01/13/21	16.52	...	-2.36	Sale ²⁵
SENMIAO TECHNOLOGY LIMITED COM MON STOCK / CUSIP: 817225105 / Symbol:							
<i>2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	20.00	02/08/21	15.68	...	4.32	1 of 2 - Sale ²⁵
	10.000	20.00	02/09/21	16.95	...	3.05	2 of 2 - Sale ²⁵
02/22/21	20.000	40.00	Various	32.63	...	7.37	Total of 2 transactions
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
<i>2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	113.00	01/13/21	78.90	...	34.10	1 of 2 - Sale ²⁵
	2.000	75.32	01/14/21	51.54	...	23.78	2 of 2 - Sale ²⁵
02/17/21	5.000	188.32	Various	130.44	...	57.88	Total of 2 transactions
STORE CAPITAL CORPORATION / CUSIP: 862121100 / Symbol:							
02/09/21	1.000	32.46	05/21/20	17.83	...	14.63	Sale ²⁵
04/01/21	2.000	67.41	05/21/20	35.56	...	31.85	Sale ²⁵
	Security total:	99.87		53.39	...	46.48	

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Robinhood Securities LLC

Account 121262067

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOUGHBUILT INDUSTRIES, INC. COMMON STOCK / CUSIP: 89157G504 / Symbol:							
02/22/21	200.000	261.98	01/27/21	264.00	...	-2.02	Sale ²⁵
TRILLIUM THERAPEUTICS INC. COMMON SHARES / CUSIP: 89620X506 / Symbol:							
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	10.000	117.90	12/03/20	181.36	...	-63.46	1 of 3 - Sale ²⁵
	2.000	23.58	12/07/20	33.06	...	-9.48	2 of 3 - Sale ²⁵
	6.888	81.21	12/10/20	82.00	...	-0.79	3 of 3 - Sale ²⁵
01/27/21	18.888	222.69	Various	296.42	...	-73.73	Total of 3 transactions
USA TRUCK, INC. COMMON STOCK / CUSIP: 902925106 / Symbol:							
02/22/21	10.000	153.60	01/26/21	118.22	...	35.38	Sale ²⁵
UR-ENERGY INC. / CUSIP: 91688R108 / Symbol:							
04/01/21	50.000	59.00	01/07/21	42.00	...	17.00	Sale ²⁵
UXIN LIMITED ADS / CUSIP: 91818X108 / Symbol:							
3 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	30.000	42.60	01/25/21	39.90	...	2.70	1 of 3 - Sale ²⁵
	20.000	28.40	01/25/21	24.80	...	3.60	2 of 3 - Sale ²⁵
	50.000	71.00	02/03/21	60.50	...	10.50	3 of 3 - Sale ²⁵
02/22/21	100.000	142.00	Various	125.20	...	16.80	Total of 3 transactions
VACCINEX, INC. COMMON STOCK / CUSIP: 918640103 / Symbol:							
3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	5.000	16.30	07/17/20	40.80	...	-24.50	1 of 3 - Sale ²⁵
	5.000	16.30	10/19/20	10.30	...	6.00	2 of 3 - Sale ²⁵
	20.000	65.20	12/24/20	45.20	...	20.00	3 of 3 - Sale ²⁵
02/09/21	30.000	97.80	Various	96.30	...	1.50	Total of 3 transactions
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol:							
2 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	50.000	128.67	05/21/20	97.00	...	31.67	1 of 2 - Sale ²⁵
	35.000	90.07	05/26/20	65.80	...	24.27	2 of 2 - Sale ²⁵
04/01/21	85.000	218.74	Various	162.80	...	55.94	Total of 2 transactions

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Robinhood Securities LLC

Account 121262067

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol: (cont'd)							
<i>5 transactions for 04/13/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	40.35	05/26/20	28.20	...	12.15	1 of 5 - Sale ²⁵
	40.000	107.60	07/07/20	59.95	...	47.65	2 of 5 - Sale ²⁵
	5.000	13.45	07/09/20	7.39	...	6.06	3 of 5 - Sale ²⁵
	20.000	53.80	07/13/20	26.37	...	27.43	4 of 5 - Sale ²⁵
	20.000	53.79	10/19/20	26.00	...	27.79	5 of 5 - Sale ²⁵
04/13/21	100.000	268.99	Various	147.91	...	121.08	Total of 5 transactions
	Security total:	487.73		310.71	...	177.02	
VONTIER CORPORATION / CUSIP: 928881101 / Symbol:							
04/09/21	1.000	30.49	03/19/21	32.02	...	-1.53	Sale ²⁵
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
<i>5 transactions for 09/21/21. Total proceeds and cost reported to the IRS.</i>							
	16.000	8.12	02/17/21	36.16	...	-28.04	1 of 5 - Sale ²⁵
	16.000	8.12	03/10/21	32.08	...	-23.96	2 of 5 - Sale ²⁵
	30.000	15.22	03/12/21	67.79	...	-52.57	3 of 5 - Sale ²⁵
	40.000	20.30	03/19/21	81.00	...	-60.70	4 of 5 - Sale ²⁵
	50.000	25.38	05/03/21	48.12	...	-22.74	5 of 5 - Sale ²⁵
09/21/21	152.000	77.14	Various	265.15	...	-188.01	Total of 5 transactions
HIGHWAY HOLDINGS LIMITED COMMO N STOCK / CUSIP: G4481U106 / Symbol:							
<i>2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	9.21	01/07/21	8.42	...	0.79	1 of 2 - Sale ²⁵
	10.000	46.07	01/07/21	44.00	...	2.07	2 of 2 - Sale ²⁵
02/09/21	12.000	55.28	Various	52.42	...	2.86	Total of 2 transactions
LUOKUNG TECHNOLOGY CORP ORDINA RY SHARES / CUSIP: G56981106 / Symbol:							
02/22/21	50.000	113.01	12/23/20	37.80	...	75.21	Sale ²⁵
<i>3 transactions for 03/16/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	74.59	12/23/20	37.79	...	36.80	1 of 3 - Sale ²⁵
	10.000	14.92	01/06/21	7.00	...	7.92	2 of 3 - Sale ²⁵
	90.000	134.26	01/06/21	63.00	...	71.26	3 of 3 - Sale ²⁵
03/16/21	150.000	223.77	Various	107.79	...	115.98	Total of 3 transactions

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Robinhood Securities LLC

Account 121262067

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol: (cont'd)							
Security total:		336.78		145.59	...	191.19	
RETO ECO-SOLUTIONS, INC. COMMON SHARES / CUSIP: G75271109 / Symbol:							
02/22/21	40.000	94.88	02/09/21	46.61	...	48.27	Sale ²⁵
SOCIAL CAPITAL HEDOSOPHIA HOLDINGS CORP. VI / CUSIP: G8251L105 / Symbol:							
02/09/21	3.000	45.87	01/14/21	45.24	...	0.63	Sale ²⁵
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
3 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	50.000	9.34	01/07/21	11.57	...	-2.23	1 of 3 - Sale ²⁵
	200.000	37.34	01/08/21	44.75	...	-7.41	2 of 3 - Sale ²⁵
	50.000	9.33	01/08/21	8.80	...	0.53	3 of 3 - Sale ²⁵
01/08/21	300.000	56.01	Various	65.12	...	-9.11	Total of 3 transactions
01/08/21	200.000	37.33	01/07/21	46.90	9.57 W	0.00	Sale ²⁵
Security total:		93.34		112.02	9.57 W	-9.11	
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265126 / Symbol:							
10 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	1.000	5.90	07/06/20	24.19	...	-18.29	1 of 10 - Sale ²⁵
	0.500	2.95	07/06/20	11.93	...	-8.98	2 of 10 - Sale ²⁵
	0.500	2.95	07/07/20	11.62	...	-8.67	3 of 10 - Sale ²⁵
	1.000	5.90	07/17/20	16.67	...	-10.77	4 of 10 - Sale ²⁵
	1.000	5.90	07/20/20	16.00	...	-10.10	5 of 10 - Sale ²⁵
	1.000	5.90	10/13/20	13.73	...	-7.83	6 of 10 - Sale ²⁵
	1.000	5.90	10/19/20	10.20	...	-4.30	7 of 10 - Sale ²⁵
	2.000	11.80	12/15/20	12.01	...	-0.21	8 of 10 - Sale ²⁵
	8.000	47.20	12/24/20	50.32	...	-3.12	9 of 10 - Sale ²⁵
	6.000	35.40	02/05/21	34.43	...	0.97	10 of 10 - Sale ²⁵
02/22/21	22.000	129.80	Various	201.10	...	-71.30	Total of 10 transactions
Totals :		12,059.47		9,856.39	88.72 W	2,291.80	

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Robinhood Securities LLC

Account 121262067

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AVIS BUDGET GROUP, INC. COMMON STOCK / CUSIP: 053774105 / Symbol:							
11/10/21	1.000	252.97	07/09/20	26.98	...	225.99	Sale ²⁵
CHANGE HEALTHCARE INC. COMMON STOCK / CUSIP: 15912K100 / Symbol:							
11/10/21	6.000	128.16	07/14/20	61.13	...	67.03	Sale ²⁵
CONFORMIS, INC. COMMON STOCK / CUSIP: 20717E101 / Symbol:							
3 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	1.000	1.01	12/06/19	1.60	...	-0.59	1 of 3 - Sale ²⁵
	10.000	10.12	01/03/20	14.10	...	-3.98	2 of 3 - Sale ²⁵
	50.000	50.59	01/08/20	72.99	...	-22.40	3 of 3 - Sale ²⁵
01/14/21	61.000	61.72	Various	88.69	...	-26.97	Total of 3 transactions
DHI GROUP, INC. / CUSIP: 23331S100 / Symbol:							
5 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	2.000	5.34	12/04/19	6.59	...	-1.25	1 of 5 - Sale ²⁵
	2.000	5.34	01/03/20	6.16	...	-0.82	2 of 5 - Sale ²⁵
	4.000	10.68	01/07/20	12.30	...	-1.62	3 of 5 - Sale ²⁵
	8.000	21.36	01/24/20	23.64	...	-2.28	4 of 5 - Sale ²⁵
	16.000	42.72	01/28/20	46.40	...	-3.68	5 of 5 - Sale ²⁵
02/09/21	32.000	85.44	Various	95.09	...	-9.65	Total of 5 transactions
PAYSIGN, INC. COMMON STOCK / CUSIP: 70451A104 / Symbol:							
02/09/21	10.000	54.23	01/07/20	94.41	...	-40.18	Sale ²⁵
STORE CAPITAL CORPORATION / CUSIP: 862121100 / Symbol:							
11/10/21	2.000	68.58	05/21/20	35.32	...	33.26	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
11/08/21	2.000	2,335.12	07/02/20	484.57	...	1,850.55	Sale ²⁵
Totals :		2,986.22		886.19		2,100.03	

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Robinhood Securities LLC

Account 121262067

Proceeds Not Reported to the IRS

2021

03/02/2022 AMENDED

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
COMPANHIA ENERGETICA DE MINAS GERAIS-CEMIG / CUSIP: 204409601 / Symbol:							
06/03/21	0.748	1.89	02/22/21	1.50	...	0.39	Gain or loss
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol:							
6 transactions for 01/19/21							
	20.000	0.00	01/28/20	34.50	...	-34.50	Gain or loss ²⁵
	20.000	0.00	02/18/20	31.20	...	-31.20	Gain or loss ²⁵
	40.000	0.00	02/25/20	55.60	...	-55.60	Gain or loss ²⁵
	80.000	0.00	04/15/20	42.38	...	-42.38	Gain or loss ²⁵
	80.000	0.00	05/18/20	35.86	...	-35.86	Gain or loss ²⁵
	240.000	0.00	09/28/20	62.39	...	-62.39	Gain or loss ²⁵
01/19/21	480.000	0.00	Various	261.93	...	-261.93	Total of 6 transactions
Totals:		1.89		263.43	...	-261.54	

Robinhood Securities LLC

Account 121262067

Detail for Dividends and Distributions

2021

03/02/2022 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ARK AUTONOMOUS TECHNOLOGY & ROBOTICS ETF	00214Q203		12/31/21	0.15	Qualified dividend	03
			12/31/21	0.15	Long-term capital gain	03
			12/31/21	0.01	Short-term capital gain	03
				0.31	Total Dividends & distributions	
APPLE INC. COMMON STOCK	037833100		02/11/21	2.67	Qualified dividend	
			05/13/21	2.86	Qualified dividend	
			08/12/21	2.86	Qualified dividend	
			11/11/21	2.86	Qualified dividend	
				11.25	Total Dividends & distributions	
ARCH RESOURCES, INC.	03940R107		12/15/21	0.25	Qualified dividend	
CHERRY HILL MORTGAGE INVESTMENT CORPORATION	164651101		01/26/21	2.70	Nondividend distribution	03
COMPANHIA ENERGETICA DE MINAS GERAIS-CEMIG	204409601		07/12/21	3.07	Qualified dividend	
FORD MOTOR COMPANY	345370860		12/01/21	2.50	Qualified dividend	
FREEPORT-MCMORAN INC.	35671D857		05/03/21	0.38	Qualified dividend	
			08/02/21	0.38	Qualified dividend	
			11/01/21	0.38	Qualified dividend	
				1.14	Total Dividends & distributions	
GENERAL ELECTRIC COMPANY	369604103		07/26/21	0.10	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604301		10/25/21	0.08	Qualified dividend	

Robinhood Securities LLC

Account 121262067

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
HASBRO, INC. COMMON STOCK	418056107		02/16/21	0.68	Qualified dividend	
INGERSOLL RAND INC. COMMON STO CK	45687V106		12/17/21	0.12	Qualified dividend	
KINDER MORGAN, INC.	49456B101		05/17/21	0.76	Qualified dividend	03
			05/17/21	0.59	Nondividend distribution	03
			08/16/21	1.35	Nondividend distribution	03
			11/15/21	1.35	Nondividend distribution	03
			4.05	Total Dividends & distributions		
THE KROGER CO.	501044101		03/01/21	0.90	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		12/09/21	1.24	Qualified dividend	
STORE CAPITAL CORPORATION	862121100		01/15/21	1.42	Section 199A dividend	03
			01/15/21	0.28	Nondividend distribution	03
			01/15/21	0.08	Unrecaptured section 1250 gain	03
			01/15/21	0.02	Long-term capital gain	03
			04/15/21	1.14	Section 199A dividend	03
			04/15/21	0.22	Nondividend distribution	03
			04/15/21	0.07	Unrecaptured section 1250 gain	03
			04/15/21	0.01	Long-term capital gain	03
			07/15/21	0.57	Section 199A dividend	03
			07/15/21	0.11	Nondividend distribution	03
			07/15/21	0.03	Unrecaptured section 1250 gain	03
			07/15/21	0.01	Long-term capital gain	03
			10/15/21	0.61	Section 199A dividend	03
			10/15/21	0.12	Nondividend distribution	03
	10/15/21	0.03	Unrecaptured section 1250 gain	03		
	10/15/21	0.01	Long-term capital gain	03		
			4.73	Total Dividends & distributions		
TIM S.A. AMERICAN DEPOSITARY S HARES (EACH REPRESENTING 5 COM MON SHARES)	88706T108		07/27/21	0.69	Nonqualified dividend	
			07/27/21	-0.10	Foreign tax withheld-BR	
			11/03/21	0.25	Nonqualified dividend	
			11/03/21	-0.04	Foreign tax withheld-BR	
			0.94	Total Dividends & distributions		
			-0.14	Total Foreign tax withheld		

Robinhood Securities LLC

Account 121262067

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
UTZ BRANDS, INC.	918090101		05/10/21	0.25	Nondividend distribution	03
			07/12/21	0.25	Nondividend distribution	03
			10/11/21	0.25	Nondividend distribution	03
				0.75	Total Dividends & distributions	
VIATRIS INC. COMMON STOCK	92556V106		06/16/21	1.10	Qualified dividend	
			09/16/21	1.10	Qualified dividend	
			12/16/21	1.10	Qualified dividend	
				3.30	Total Dividends & distributions	
VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH	928662600		08/10/21	5.64	Nonqualified dividend	
			08/10/21	-1.49	Foreign tax withheld-GM	
				5.64	Total Dividends & distributions	
				-1.49	Total Foreign tax withheld	
				43.75	Total Dividends & distributions	
				-1.63	Total Foreign tax withheld	

Robinhood Securities LLC

Account 121262067

Detail for Miscellaneous Information

2021

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ALBERTSONS COMPANIES, INC.	013091103	05/10/21	0.20	Substitute payment	
		08/10/21	0.20	Substitute payment	
		11/12/21	0.24	Substitute payment	
			0.64	Total Miscellaneous income	
			0.64	Total Miscellaneous income	

Robinhood Securities LLC

Account 121262067

Fees and Expenses

2021

03/02/2022 AMENDED

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/19/21	-0.01	Margin interest paid	
		01/19/21	-5.00	Margin interest paid	
		02/16/21	-4.74	Margin interest paid	
		02/16/21	-5.00	Margin interest paid	
		03/17/21	-5.00	Margin interest paid	
		03/17/21	-12.27	Margin interest paid	
		04/16/21	-5.00	Margin interest paid	
		04/16/21	-18.25	Margin interest paid	
		05/17/21	-5.00	Margin interest paid	
		05/17/21	-18.65	Margin interest paid	
		06/15/21	-5.00	Margin interest paid	
		06/15/21	-18.70	Margin interest paid	
		07/15/21	-5.00	Margin interest paid	
		07/15/21	-18.67	Margin interest paid	
			-126.29	Total Margin interest paid	
Margin charge		08/16/21	-5.00	Margin interest paid	
		08/16/21	-18.55	Margin interest paid	
		09/13/21	-5.00	Margin interest paid	
		09/13/21	-18.73	Margin interest paid	
		10/13/21	-5.00	Margin interest paid	
		10/13/21	-18.18	Margin interest paid	
		11/12/21	-5.00	Margin interest paid	
		11/12/21	-18.17	Margin interest paid	
		12/13/21	-5.00	Margin interest paid	
		12/13/21	-18.36	Margin interest paid	
			-116.99	Total Margin interest paid	
			-243.28	Total Margin interest paid	

Robinhood Securities LLC

Account 121262067

Foreign Income and Taxes Summary

2021

03/02/2022 AMENDED

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BR	COMPANHIA ENERGETICA DE MINAS GERAIS-CEMIG	204409601	0.00	3.07		0.00	3.07	0.00
BR	TIM S.A. AMERICAN DEPOSITARY S HARES (EACH REPRESENTING 5 COM MON SHARES)	88706T108	0.94	0.00		0.00	0.94	-0.14
Total BRAZIL			0.94	3.07		0.00	4.01	-0.14
GM	VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH	928662600	5.64	0.00		0.00	5.64	-1.49
Total GERMANY			5.64	0.00		0.00	5.64	-1.49
Grand Total	Total Foreign Source Income*			9.65				
	Qualified Foreign Source Income**			3.07				
	Foreign Tax							-1.63

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 121262067

Non-Reportable Actions

2021

03/02/2022 AMENDED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ATERIAN, INC. COMMON STOCK	02156U101	04/30/21	7.00	0.00	Exchange	
CANTALOUPE, INC. COMMON STOCK	138103106	04/19/21	7.00	0.00	Exchange	
COMPANHIA ENERGETICA DE MINAS GERAIS-CEMIG	204409601	05/27/21	5.00	0.00	Stock dividend	
DOCUMENT SECURITY SYSTEMS, INC .	25614T309	10/01/21	-2.00	0.00	Exchange	
DSS, INC.	26253C102	10/01/21	2.00	0.00	Exchange	
EYEGATE PHARMACEUTICALS, INC. COMMON STOCK	30233M503	11/08/21	-120.00	0.00	Exchange	
FINSERV ACQUISITION CORP. CLAS S A COMMON STOCK	318085107	06/10/21	-10.00	0.00	Exchange	
KATAPULT HOLDINGS, INC. COMMON STOCK	485859102	06/10/21	10.00	0.00	Exchange	
KIORA PHARMACEUTICALS, INC. CO MMON STOCK	49721T101	11/08/21	120.00	0.00	Exchange	
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK	565788106	03/01/21	5.00	0.00	Exchange	
MARATHON PATENT GROUP, INC. CO MMON STOCK	56585W401	03/01/21	-5.00	0.00	Exchange	
META METALS INC PREFERRED (SER IES A)	59134N203	07/07/21	50.00	0.00	Stock spinoff	
MOHAWK GROUP HOLDINGS, INC. CO MMON STOCK	608189106	04/30/21	-7.00	0.00	Exchange	
MULLEN AUTOMOTIVE, INC. COMMON STOCK	62526P109	11/05/21	10.00	0.00	Exchange	
NAUTILUS BIOTECHNOLGY, INC. CO MMON STOCK	63909J108	06/10/21	2.00	0.00	Exchange	
NET ELEMENT, INC. COMMON STOCK	64111R300	11/05/21	-10.00	0.00	Exchange	
PIEDMONT LITHIUM LIMITED AMERI CAN DEPOSITARY RECEIPTS	720162106	05/18/21	-10.00	0.00	Exchange	
PIEDMONT LITHIUM INC. COMMON S TOCK	72016P105	05/18/21	10.00	0.00	Exchange	

Robinhood Securities LLC

Account 121262067

Non-Reportable Actions
(continued)

2021

03/02/2022 AMENDED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
USA TECHNOLOGIES, INC. COMMON STOCK	90328S500	04/19/21	-7.00	0.00	Exchange	
BIT BROTHER LIMITED ORDINARY S HARES	G1144D109	06/16/21	20.00	0.00	Exchange	
ARYA SCIENCES ACQUISITION CORP III CLASS A ORDINARY SHARES	G3166W106	06/10/21	-2.00	0.00	Exchange	
URBAN TEA, INC. ORDINARY SHARE S	G9396G118	06/16/21	-20.00	0.00	Exchange	
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG)	L7257P205	01/19/21	-480.00	0.00	Security deemed worthless	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on

Instructions for Recipient

forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).



Department of the Treasury
Internal Revenue Service
Austin, TX 73301-0003

Date:
January 12, 2022
For assistance, call:
800-919-9835
Or visit:
IRS.gov/eip

179657-TL-15/T1 P1/0000479
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16435 SEDALIA DR
FISHERS, IN 46040-9807



**Your 2021 Economic Impact Payment(s)
Keep this information with your tax records.**

Why you received this letter.

Under the American Rescue Plan, the Internal Revenue Service (IRS) issued you 2021 Economic Impact Payment(s) for the following total amount:

Total 2021 Economic Impact Payment(s): \$1,400.00

What do you need to do?

This Economic Impact Payment isn't considered taxable income, and you shouldn't report it as income on your 2021 federal income tax return. However, you'll need the total payment amount shown above to determine whether you're eligible to claim the Recovery Rebate Credit on your 2021 federal income tax return.

If you think you didn't receive the full amount of the third Economic Impact Payment you were entitled to, you must file a 2021 federal income tax return to claim the Recovery Rebate Credit, even if you aren't otherwise required to file a tax return.

How can you get more information?

For more information about Economic Impact Payments, visit **IRS.gov/eip**, or call the IRS Economic Impact Payment hotline at 800-919-9835.



Department of the Treasury
Internal Revenue Service
Austin, TX 73301-0003

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For assistance, call:
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179657-TL-15/T1 P1/0000480
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16435 SEDALIA DR
FISHERS, IN 46040-9807



Your 2021 Economic Impact Payment(s)
Keep this information with your tax records.

Why you received this letter.

Under the American Rescue Plan, the Internal Revenue Service (IRS) issued you 2021 Economic Impact Payment(s) for the following total amount:

Total 2021 Economic Impact Payment(s): \$34.44

What do you need to do?

This Economic Impact Payment isn't considered taxable income, and you shouldn't report it as income on your 2021 federal income tax return. However, you'll need the total payment amount shown above to determine whether you're eligible to claim the Recovery Rebate Credit on your 2021 federal income tax return.

If you think you didn't receive the full amount of the third Economic Impact Payment you were entitled to, you must file a 2021 federal income tax return to claim the Recovery Rebate Credit, even if you aren't otherwise required to file a tax return.

How can you get more information?

For more information about Economic Impact Payments, visit [IRS.gov/eip](https://www.irs.gov/eip), or call the IRS Economic Impact Payment hotline at 800-919-9835.



Dear **Saikiran**,

As tax season gets underway, we are reaching out to you, a valued Tech CU Solar Member, to provide some helpful information about a possible tax credit related to your solar installation. **PLEASE NOTE: We are providing the following information in an effort to make the tax season as easy as possible for you. However, Tech CU does not issue solar panel Tax Statements and/or Tax Notices.**

Solar Tax Credit: How It Works

By financing new solar panels, you may be eligible for a tax credit.¹ Because everyone's income and financial situation is different, it's important that you consult with a tax professional for specific advice and details on the amount of any such credit. Note that a tax credit, if you are eligible, reduces the amount of taxes you owe, but does not guarantee a tax rebate or refund.

One good resource for learning more about your eligibility is [irs.gov/credits-deductions/individuals](https://www.irs.gov/credits-deductions/individuals). In the Homeowner Credits Section look for **Residential Energy Efficient Property Credit**. Also, the Internal Revenue Service provides the Residential Energy Credits Form 5695 to help you determine eligibility and, in the event you qualify, calculate your residential energy credit for 2021.

To complete the Residential Energy Credit Form 5695, you will need the total cost of your solar system, which was provided by the Solar Installer in the Home Improvement Contract

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(HIC) at the time you purchased your solar system. If you financed the entire solar system with a Tech CU Solar Loan, you can use the following information:²

Date of Loan: 10/27/2021

Original Loan Amount: \$56,797.50

If you did not finance the entire system with a Tech CU Solar Loan (i.e. you provided a down payment), you will need to refer to the HIC for the total cost of your system. If you need a copy of your HIC, please contact your Solar Installer directly.

Getting a Refund? Reduce Your Loan Principal and Save Money!

As a not-for-profit financial institution, we provide our members with high-quality, transparent products. Your Tech CU Solar Loan has low payments for the first year and a half to give you time to benefit from the Federal Tax Credit and apply any refund to your loan principal. **If you receive a tax refund, we encourage you to apply it to your loan unless otherwise recommended by your tax/financial advisor.** Applying the refund to your loan will result in saving you money in the long run.

With the Tech CU Solar Loan, you can make pre-payments at any time, including principal-only payments. If you make all the required payments on time and in full, and also repay the lump sum in your loan's agreement by your scheduled 17th payment, after your loan re-amortizes, your subsequent scheduled payments will remain similar to your current amount – saving you money.²

For more details, please refer to the repayment information found in your Solar Loan Agreement or reach out to us at the contact information below. We'll be happy to provide further explanation.

To Reduce Your Loan Principal

At Tech CU, we accept principal-only payments for Solar Loans by check. Please mail your check to:

Tech CU
PO Box 1300
San Jose, CA 95108-1300

The check should be clearly **labeled as "principal-only payment" in the memo section** to ensure it posts towards your principal balance. Please also include your Tech CU Solar Loan

account number on the check.

Protect Your Investment

It's important to protect yourself and your property. As we are all too aware, unexpected events can happen. Please contact your homeowner's insurance company and let them know that you now have solar as part of your personal property. This way, you can ensure that your property is protected against the unexpected.

Your decision to finance solar panels is an exciting one! You're lowering your utility company dependence and doing something good for the environment. We hope you're enjoying your investment while reaping the rewards.

Questions?

Visit our website for more information: <https://www.techcu.com/Landing-Pages/Solar/> or email us at Solar@techcu.com. For additional questions regarding your Solar Loan, please contact us at [1-800-553-0880](tel:1-800-553-0880).

Thank you for being a valued Tech CU Solar Member.

Warm regards,

The Tech CU Team

¹ Under federal law, you may receive a tax credit equal to 26% of the cost of your solar installation. Other state-level incentives may apply. You should contact your tax professional for specific advice as to whether the credit is allowable on your 2021 return.

² If you have installed a Tesla Roof, you will not experience an automatic re-amortization.

You have received this email communication as a member of:

Technology Credit Union

[2010 N. First Street - San Jose, CA 95131](https://www.techcu.com) - [\(408\) 451-9111](tel:4084519111) - [\(800\) 553-0880](tel:8005530880)

You are currently subscribed as saikiran.mutyala@gmail.com. If you wish to update your email preferences or unsubscribe, please [click here](#). You may also unsubscribe by sending a reply email to member_services@techcu.com.

Technology Credit Union respects your privacy. Please [click here](#) to review our Privacy Policy.

Accounts at Technology Credit Union are federally insured by NCUA.

Optum Services, Inc.
9900 Bren Road East
Minnetonka, MN 55343 US

SAI KIRAN MUTYALA
16435 SEDALIA DR
FISHERS, IN US 46040

Form 1095-C
 Department of the Treasury
 Internal Revenue Service

Employer-Provided Health Insurance Offer and Coverage

▶ Do not attach to your tax return. Keep for your records.
 ▶ Go to www.irs.gov/Form1095C for instructions and the latest information.

VOID
 CORRECTED

OMB No. 1545-2251

2021

Part I Employee				Applicable Large Employer Member (Employer)			
1 Name of employee (first name, middle initial, last name) SAI KIRAN		2 Social security number (SSN) XXX-XX-1029		7 Name of employer Optum Services, Inc.		8 Employer identification number (EIN) 45-4683454	
3 Street address (including apartment no.) 16435 SEDALIA DR				9 Street address (including room or suite no.) 9900 Bren Road East		10 Contact telephone number 800-561-0861	
4 City or town FISHERS		5 State or province IN		6 Country and ZIP or foreign postal code US 46040		11 City or town Minnetonka	
				12 State or province MN		13 Country and ZIP or foreign postal code US 55343	

Part II Employee Offer of Coverage	Employee's Age on January 1												Plan Start Month (enter 2-digit number): 01			
	All 12 Months	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec			
14 Offer of Coverage (enter required code) 1E																
15 Employee Required Contribution (see instructions) \$ 115.16	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
16 Section 4980H Safe Harbor and Other Relief (enter code, if applicable) 2C																
17 ZIP Code																

For Privacy Act and Paperwork Reduction Act Notice, see separate instructions.

Cat. No. 60705M

Form **1095-C** (2021)

Instructions for Recipient

You are receiving this Form 1095-C because your employer is an Applicable Large Employer subject to the employer shared responsibility provisions in the Affordable Care Act. This Form 1095-C includes information about the health insurance coverage offered to you by your employer. Form 1095-C, Part II, includes information about the coverage, if any, your employer offered to you and your spouse and dependent(s). If you purchased health insurance coverage through the Health Insurance Marketplace and wish to claim the premium tax credit, this information will assist you in determining whether you are eligible. For more information about the premium tax credit, see Pub. 974, Premium Tax Credit (PTC). You may receive multiple Forms 1095-C if you had multiple employers during the year that were Applicable Large Employers (for example, you left employment with one Applicable Large Employer and began a new position of employment with another Applicable Large Employer). In that situation, each Form 1095-C would have information only about the health insurance coverage offered to you by the employer identified on the form. If your employer is not an Applicable Large Employer, it is not required to furnish you a Form 1095-C providing information about the health coverage it offered.

In addition, if you, or any other individual who is offered health coverage because of their relationship to you (referred to here as family members), enrolled in your employer's health plan and that plan is a type of plan referred to as a "self-insured" plan, Form 1095-C, Part III, provides information about you and your family members who had certain health coverage (referred to as "minimum essential coverage") for some or all months during the year. If you or your family members are eligible for certain types of minimum essential coverage, you may not be eligible for the premium tax credit.

If your employer provided you or a family member health coverage through an insured health plan or in another manner, you may receive information about the coverage separately on Form 1095-B, Health Coverage. Similarly, if you or a family member obtained minimum essential coverage from another source, such as a government-sponsored program, an individual market plan, or miscellaneous coverage designated by the Department of Health and Human Services, you may receive information about that coverage on Form 1095-B. If you or a family member enrolled in a qualified health plan through a Health Insurance Marketplace, the Health Insurance Marketplace will report information about that coverage on Form 1095-A, Health Insurance Marketplace Statement.



Employers are required to furnish Form 1095-C only to the employee. As the recipient of this Form 1095-C, you should provide a copy to any family members covered under a self-insured employer-sponsored plan listed in Part III if they request it for their records.

Additional information. For additional information about the tax provisions of the Affordable Care Act (ACA), including the individual shared responsibility provisions, the premium tax credit, and the employer shared responsibility provisions, visit www.irs.gov/ACA or call the IRS Healthcare Hotline for ACA questions (800-919-0452).

Part I. Employee

Lines 1–6. Part I, lines 1 through 6, reports information about you, the employee.

Line 2. This is your social security number (SSN). For your protection, this form may show only the last four digits of your SSN. However, the employer is required to report your complete SSN to the IRS.

Part I. Applicable Large Employer Member (Employer)

Lines 7–13. Part I, lines 7 through 13, reports information about your employer.

Line 10. This line includes a telephone number for the person whom you may call if you have questions about the information reported on the form or to report errors in the information on the form and ask that they be corrected.

Part II. Employer Offer of Coverage, Lines 14–17

Line 14. The codes listed below for line 14 describe the coverage that your employer offered to you and your spouse and dependent(s), if any. (If you received an offer of coverage through a multiemployer plan due to your membership in a union, that offer may not be shown on line 14.) The information on line 14 relates to eligibility for coverage subsidized by the premium tax credit for you, your spouse, and dependent(s). For more information about the premium tax credit, see Pub. 974.

1A. Minimum essential coverage providing minimum value offered to you with an employee required contribution for self-only coverage equal to or less than 9.5% (as adjusted) of the 48 contiguous states single federal poverty line and minimum essential coverage offered to your spouse and dependent(s) (referred to here as a Qualifying Offer). This code may be used to report for specific months for which a Qualifying Offer was made, even if you did not receive a Qualifying Offer for all 12 months of the calendar year. For information on the adjustment of the 9.5%, visit IRS.gov.

1B. Minimum essential coverage providing minimum value offered to you and minimum essential coverage NOT offered to your spouse or dependent(s).

1C. Minimum essential coverage providing minimum value offered to you and minimum essential coverage offered to your dependent(s) but NOT your spouse.

1D. Minimum essential coverage providing minimum value offered to you and minimum essential coverage offered to your spouse but NOT your dependent(s).

1E. Minimum essential coverage providing minimum value offered to you and minimum essential coverage offered to your dependent(s) and spouse.

1F. Minimum essential coverage NOT providing minimum value offered to you, or you and your spouse or dependent(s), or you, your spouse, and dependent(s).

1G. You were NOT a full-time employee for any month of the calendar year but were enrolled in self-insured employer-sponsored coverage for one or more months of the calendar year. This code will be entered in the *All 12 Months* box or in the separate monthly boxes for all 12 calendar months on line 14.

1H. No offer of coverage (you were NOT offered any health coverage or you were offered coverage that is NOT minimum essential coverage).

1I. Reserved for future use.

1J. Minimum essential coverage providing minimum value offered to you; minimum essential coverage conditionally offered to your spouse; and minimum essential coverage NOT offered to your dependent(s).

1K. Minimum essential coverage providing minimum value offered to you; minimum essential coverage conditionally offered to your spouse; and minimum essential coverage offered to your dependent(s).

1L. Individual coverage health reimbursement arrangement (HRA) offered to you only with affordability determined by using employee's primary residence ZIP code.

1M. Individual coverage HRA offered to you and dependent(s) (not spouse) with affordability determined by using employee's primary residence ZIP code.

1N. Individual coverage HRA offered to you, spouse, and dependent(s) with affordability determined by using employee's primary residence ZIP code.

1O. Individual coverage HRA offered to you only using the employee's primary employment site ZIP code affordability safe harbor.

1P. Individual coverage HRA offered to you and dependent(s) (not spouse) using the employee's primary employment site ZIP code affordability safe harbor.

1Q. Individual coverage HRA offered to you, spouse, and dependent(s) using the employee's primary employment site ZIP code affordability safe harbor.

1R. Individual coverage HRA that is NOT affordable offered to you; employee and spouse or dependent(s); or employee, spouse, and dependents.

1S. Individual coverage HRA offered to an individual who was not a full-time employee.

1T. Individual coverage HRA offered to employee and spouse (no dependents) with affordability determined using employee's primary residence ZIP code.

1U. Individual coverage HRA offered to employee and spouse (no dependents) using employee's primary employment site ZIP code affordability safe harbor.

1V. Reserved for future use.

1W. Reserved for future use.

1X. Reserved for future use.

1Y. Reserved for future use.

1Z. Reserved for future use.

(Continued on page 4)

Part III Covered Individuals

If Employer provided self-insured coverage, check the box and enter the information for each individual enrolled in coverage, including the employee.

	(a) Name of covered individual(s) First name, middle initial, last name	(b) SSN or other TIN	(c) DOB (if SSN or other TIN is not available)	(d) Covered all 12 months	(e) Months of coverage												
					Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	
18	SAI KIRAN MUTYALA	XXX-XX-1029		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
19				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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22				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
23				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
24				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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26				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
27				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
28				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
29				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
30				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Instructions for Recipient (continued)

Line 15. This line reports the employee required contribution, which is the monthly cost to you for the lowest cost self-only minimum essential coverage providing minimum value that your employer offered you. For an individual coverage HRA, the employee required contribution is the excess of the monthly premium based on the employee's applicable age for the applicable lowest cost silver plan over the monthly individual coverage HRA amount (generally, the annual individual coverage HRA amount divided by 12). See the Instructions for Forms 1094-C and 1095-C for more details. The amount reported on line 15 may not be the amount you paid for coverage if, for example, you chose to enroll in more expensive coverage such as family coverage. Line 15 will show an amount only if code 1B, 1C, 1D, 1E, 1J, 1K, 1L, 1M, 1N, 1O, 1P, 1Q, 1T, or 1U is entered on line 14. If you were offered coverage but there is no cost to you for the coverage, this line will report "0.00" for the amount. For more information, including on how your eligibility for other healthcare arrangements might affect the amount reported on line 15, visit IRS.gov.

Line 16. This code provides the IRS information to administer the employer shared responsibility provisions. Other than a code 2C, which reflects your enrollment in your employer's coverage, none of this information affects your eligibility for the premium tax credit. For more information about the employer shared responsibility provisions, visit IRS.gov.

Line 17. This line reports the applicable ZIP code your employer used for determining affordability if you were offered an individual coverage HRA. If code 1L, 1M, 1N, or 1T was used on line 14, this will be your primary residence location. If code 1O, 1P, 1Q, or 1U was used on line 14, this will be your primary employment site. For more information about individual coverage HRAs, visit IRS.gov.

Part III. Covered Individuals, Lines 18–30

Part III reports the name, SSN (or TIN for covered individuals other than the employee listed in Part I), and coverage information about each individual (including any full-time employee and non-full-time employee, and any employee's family members) covered under the employer's health plan, if the plan is "self-insured." A date of birth will be entered in column (c) only if an SSN (or TIN for covered individuals other than the employee listed in Part I) is not entered in column (b). Column (d) will be checked if the individual was covered for at least one day in every month of the year. For individuals who were covered for some but not all months, information will be entered in column (e) indicating the months for which these individuals were covered. If there are more than 13 covered individuals, additional copies of page 3 may be used.

IMPORTANT TAX INFORMATION DO NOT DISCARD

**This Employer-Provided Health Insurance Offer and Coverage Form 1095-C
should NOT be attached to your tax filing.**

Keep this form in a safe place.

ANSWERING YOUR QUESTIONS**Q: What is a Form 1095-C?**

A: The IRS's Form 1095-C is an annual employee health coverage statement that employers provide to full-time employees and others who are eligible for, or enrolled in, employer-sponsored health coverage.

Q: Why did I receive this form?

A: The Affordable Care Act and the states of CA, NJ, RI, VT, and DC require employers to provide Forms 1095-C to full-time employees. You may also receive the Form 1095-C if you were not a full-time employee but were enrolled in employer-sponsored health coverage.

Q: What should I do with this form?

A: You should retain this form with your tax records. The form indicates whether you had health coverage from this employer during the year. You may need the information on this form if you are required to file a federal, CA, DC, NJ, RI, or VT tax return.

Q: What does the information on the form mean?

A: The form includes explanatory instructions. In summary, Part II of the form indicates whether you were offered health coverage as a full-time employee, regardless of whether you enrolled. Note that Part II, line 15 indicates the lowest-cost option after any employer contributions: this amount may be less than the premiums you paid for the coverage you selected. Part III indicates the individuals you enrolled in coverage and the months they had coverage for at least one day.

Q: How can I get more information?

A: To learn more, please consult the following resources: <http://www.irs.gov/ACA>, the states of CA, NJ, RI, VT, or DC revenue department, or your employer.