

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 648963551</b>	Statement Date: 02/11/2022 Document ID: 8HS5 375 15XS	2021
	Kavuri Sindhu 937 Wilmington Avenue Apt Dayton, OH 45420  RECIPIENT'S TIN: XXX-XX-3443		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      25.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
--	--

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	353,305.15	404,747.33	0.00	25,552.09	-25,890.09
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>353,305.15</b>	<b>404,747.33</b>	<b>0.00</b>	<b>25,552.09</b>	<b>-25,890.09</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>353,305.15</b>	<b>404,747.33</b>	<b>0.00</b>	<b>25,552.09</b>	<b>-25,890.09</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 648963551

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	35.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 06/04/2021 CALL \$24.00 / CUSIP: / Symbol:							
3 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	10.000	389.98	05/19/21	600.00	...	-210.02	1 of 3 - Option sale to close-call <sup>25</sup>
	14.000	545.97	05/19/21	728.00	...	-182.03	2 of 3 - Option sale to close-call <sup>25</sup>
	13.000	506.97	05/19/21	676.00	...	-169.03	3 of 3 - Option sale to close-call <sup>25</sup>
05/20/21	37.000	1,442.92	Various	2,004.00	...	-561.08	Total of 3 transactions
ACB 01/08/2021 CALL \$10.50 / CUSIP: / Symbol:							
01/08/21	10.000	19.97	01/07/21	170.00	...	-150.03	Option sale to close-call <sup>25</sup>
ACB 01/08/2021 CALL \$11.00 / CUSIP: / Symbol:							
5 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	2.000	79.99	01/06/21	78.00	...	1.99	1 of 5 - Option sale to close-call <sup>25</sup>
	4.000	159.99	01/06/21	136.00	...	23.99	2 of 5 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 01/08/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)							
	2.000	17.99	01/07/21	98.01	...	-80.02	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	18.00	01/07/21	58.00	...	-40.00	4 of 5 - Option sale to close-call <sup>25</sup>
	6.000	53.98	01/07/21	78.00	...	-24.02	5 of 5 - Option sale to close-call <sup>25</sup>
01/07/21	16.000	329.95	Various	448.01	...	-118.06	Total of 5 transactions
	2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.						
	2.000	79.99	01/06/21	152.00	72.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	80.00	01/06/21	112.00	32.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
01/07/21	4.000	159.99	Various	264.00	104.01 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>489.94</b>		<b>712.01</b>	<b>104.01 W</b>	<b>-118.06</b>	
ACB 02/05/2021 CALL \$13.00 / CUSIP: / Symbol:							
	3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	5.000	349.98	02/02/21	270.00	...	79.98	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	349.98	02/02/21	270.00	...	79.98	2 of 3 - Option sale to close-call <sup>25</sup>
	6.000	419.98	02/02/21	324.00	...	95.98	3 of 3 - Option sale to close-call <sup>25</sup>
02/03/21	16.000	1,119.94	Various	864.00	...	255.94	Total of 3 transactions
ACB 04/09/2021 CALL \$9.00 / CUSIP: / Symbol:							
	3 transactions for 04/09/21. Total proceeds and cost reported to the IRS.						
	2.000	9.99	04/08/21	8.00	...	1.99	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	10.00	04/08/21	8.00	...	2.00	2 of 3 - Option sale to close-call <sup>25</sup>
	19.000	94.95	04/08/21	57.00	...	37.95	3 of 3 - Option sale to close-call <sup>25</sup>
04/09/21	23.000	114.94	Various	73.00	...	41.94	Total of 3 transactions
ACB 06/11/2021 CALL \$8.00 / CUSIP: / Symbol:							
	6 transactions for 05/17/21. Total proceeds and cost reported to the IRS.						
	10.000	219.98	05/13/21	590.00	...	-370.02	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	22.00	05/13/21	59.00	...	-37.00	2 of 6 - Option sale to close-call <sup>25</sup>
	10.000	219.97	05/14/21	640.02	...	-420.05	3 of 6 - Option sale to close-call <sup>25</sup>
	6.000	131.99	05/14/21	384.01	...	-252.02	4 of 6 - Option sale to close-call <sup>25</sup>
	3.000	65.99	05/14/21	192.01	...	-126.02	5 of 6 - Option sale to close-call <sup>25</sup>
	16.000	351.96	05/14/21	432.00	...	-80.04	6 of 6 - Option sale to close-call <sup>25</sup>
05/17/21	46.000	1,011.89	Various	2,297.04	...	-1,285.15	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 06/11/2021 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	10.000	219.98	05/13/21	590.00	222.01 W	-148.01	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	65.99	05/13/21	177.00	111.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	10.000	219.98	05/13/21	590.00	370.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
05/17/21	23.000	505.95	Various	1,357.00	703.04 W	-148.01	Total of 3 transactions
	<b>Security total:</b>	<b>1,517.84</b>		<b>3,654.04</b>	<b>703.04 W</b>	<b>-1,433.16</b>	
AMAT 11/19/2021 PUT \$144.00 / CUSIP: / Symbol:							
4 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	10.000	349.97	11/18/21	390.00	...	-40.03	1 of 4 - Option sale to close-put <sup>25</sup>
	5.000	174.99	11/18/21	200.01	...	-25.02	2 of 4 - Option sale to close-put <sup>25</sup>
	5.000	174.99	11/18/21	200.01	...	-25.02	3 of 4 - Option sale to close-put <sup>25</sup>
	6.000	209.98	11/18/21	234.00	...	-24.02	4 of 4 - Option sale to close-put <sup>25</sup>
11/18/21	26.000	909.93	Various	1,024.02	...	-114.09	Total of 4 transactions
2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	5.000	174.99	11/18/21	180.00	5.01 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	5.000	174.99	11/18/21	180.00	5.01 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
11/18/21	10.000	349.98	Various	360.00	10.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,259.91</b>		<b>1,384.02</b>	<b>10.02 W</b>	<b>-114.09</b>	
AMAT 11/19/2021 PUT \$146.00 / CUSIP: / Symbol:							
2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	8.000	407.97	11/18/21	408.02	...	-0.05	1 of 2 - Option sale to close-put <sup>25</sup>
	16.000	815.97	11/18/21	720.00	...	95.97	2 of 2 - Option sale to close-put <sup>25</sup>
11/18/21	24.000	1,223.94	Various	1,128.02	...	95.92	Total of 2 transactions
11/18/21	21.000	1,070.95	11/18/21	1,197.00	48.02 W	-78.03	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>2,294.89</b>		<b>2,325.02</b>	<b>48.02 W</b>	<b>17.89</b>	
AMAT 11/19/2021 PUT \$150.00 / CUSIP: / Symbol:							
3 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	14.000	1,259.96	11/18/21	1,330.00	...	-70.04	1 of 3 - Option sale to close-put <sup>25</sup>
	5.000	449.98	11/18/21	475.00	...	-25.02	2 of 3 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAT 11/19/2021 PUT \$150.00 / CUSIP: / Symbol: (cont'd)							
	1.000	90.00	11/18/21	100.00	...	-10.00	3 of 3 - Option sale to close-put <sup>25</sup>
11/19/21	20.000	1,799.94	Various	1,905.00	...	-105.06	Total of 3 transactions
11/19/21	1.000	90.00	11/18/21	95.00	5.00 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,889.94</b>		<b>2,000.00</b>	<b>5.00 W</b>	<b>-105.06</b>	
AMD 01/15/2021 CALL \$90.50 / CUSIP: / Symbol:							
01/15/21	1.000	129.98	01/14/21	93.00	...	36.98	Option sale to close-call <sup>25</sup>
AMD 01/22/2021 CALL \$91.00 / CUSIP: / Symbol:							
01/15/21	2.000	519.97	01/14/21	488.00	...	31.97	Option sale to close-call <sup>25</sup>
AMD 01/22/2021 CALL \$94.00 / CUSIP: / Symbol:							
01/22/21	4.000	0.00	01/22/21	224.00	...	-224.00	Option expiration- long call
AMD 01/29/2021 CALL \$95.00 / CUSIP: / Symbol:							
	4 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	2.000	675.97	01/22/21	770.00	...	-94.03	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	337.98	01/22/21	385.00	...	-47.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	337.98	01/22/21	385.00	...	-47.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	337.98	01/22/21	385.00	...	-47.02	4 of 4 - Option sale to close-call <sup>25</sup>
01/22/21	5.000	1,689.91	Various	1,925.00	...	-235.09	Total of 4 transactions
AMD 07/02/2021 CALL \$94.00 / CUSIP: / Symbol:							
	3 transactions for 07/02/21. Total proceeds and cost reported to the IRS.						
	8.000	175.98	07/01/21	440.00	...	-264.02	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	109.99	07/01/21	275.00	...	-165.01	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	43.99	07/01/21	110.00	...	-66.01	3 of 3 - Option sale to close-call <sup>25</sup>
07/02/21	15.000	329.96	Various	825.00	...	-495.04	Total of 3 transactions
AMZN 06/25/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
	4 transactions for 06/25/21. Total proceeds and cost reported to the IRS.						
	3.000	407.99	06/24/21	1,695.00	...	-1,287.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	136.00	06/24/21	1,241.04	...	-1,105.04	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	407.98	06/24/21	1,155.00	...	-747.02	3 of 4 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 06/25/2021 CALL \$3,500.00 / CUSIP: / Symbol: (cont'd)							
	1.000	136.00	06/24/21	385.00	...	-249.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/25/21	8.000	1,087.97	Various	4,476.04	...	-3,388.07	Total of 4 transactions
2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	1.000	136.98	06/24/21	565.00	428.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	136.98	06/24/21	993.02	856.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/25/21	2.000	273.96	Various	1,558.02	1,284.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,361.93</b>		<b>6,034.06</b>	<b>1,284.06 W</b>	<b>-3,388.07</b>	
AMZN 07/02/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	1.000	289.99	06/30/21	600.00	...	-310.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	289.99	06/30/21	600.00	...	-310.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/01/21	2.000	579.98	Various	1,200.00	...	-620.02	Total of 2 transactions
APHA 01/08/2021 CALL \$8.50 / CUSIP: / Symbol:							
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	4.000	87.99	01/06/21	128.00	...	-40.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	21.99	01/06/21	31.02	...	-9.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	22.00	01/06/21	21.00	...	1.00	3 of 3 - Option sale to close-call <sup>25</sup>
01/06/21	6.000	131.98	Various	180.02	...	-48.04	Total of 3 transactions
01/06/21	1.000	21.98	01/06/21	32.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>153.96</b>		<b>212.02</b>	<b>10.02 W</b>	<b>-48.04</b>	
APHA 03/05/2021 CALL \$20.50 / CUSIP: / Symbol:							
5 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	10.000	279.97	03/02/21	970.00	...	-690.03	1 of 5 - Option sale to close-call <sup>25</sup>
	10.000	279.97	03/02/21	970.00	...	-690.03	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	28.00	03/02/21	97.00	...	-69.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	28.00	03/02/21	97.00	...	-69.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	28.00	03/02/21	97.00	...	-69.00	5 of 5 - Option sale to close-call <sup>25</sup>
03/03/21	23.000	643.94	Various	2,231.00	...	-1,587.06	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APTO 11/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
5 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	7.000	104.98	11/12/21	154.00	...	-49.02	1 of 5 - Option sale to close-call <sup>25</sup>
	6.000	89.98	11/12/21	132.00	...	-42.02	2 of 5 - Option sale to close-call <sup>25</sup>
	3.000	44.98	11/12/21	66.00	...	-21.02	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	29.98	11/12/21	44.00	...	-14.02	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	14.98	11/12/21	22.00	...	-7.02	5 of 5 - Option sale to close-call <sup>25</sup>
11/12/21	19.000	284.90	Various	418.00	...	-133.10	Total of 5 transactions
APXT 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
03/15/21	17.000	254.96	03/12/21	272.00	...	-17.04	Option sale to close-call <sup>25</sup>
APXT 04/16/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/11/21	2.000	299.98	03/04/21	260.00	...	39.98	Option sale to close-call <sup>25</sup>
2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	3.000	464.99	03/04/21	390.00	...	74.99	1 of 2 - Option sale to close-call <sup>25</sup>
	6.000	929.98	03/04/21	780.00	...	149.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/15/21	9.000	1,394.97	Various	1,170.00	...	224.97	Total of 2 transactions
2 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
	1.000	139.98	03/04/21	130.00	...	9.98	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	1,259.97	03/08/21	1,215.00	...	44.97	2 of 2 - Option sale to close-call <sup>25</sup>
03/17/21	10.000	1,399.95	Various	1,345.00	...	54.95	Total of 2 transactions
3 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	9.000	845.97	03/18/21	1,350.00	...	-504.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	94.99	03/18/21	150.00	...	-55.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	94.00	03/22/21	170.01	...	-76.01	3 of 3 - Option sale to close-call <sup>25</sup>
03/23/21	11.000	1,034.96	Various	1,670.01	...	-635.05	Total of 3 transactions
03/23/21	1.000	94.99	03/18/21	150.00	55.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,224.85</b>		<b>4,595.01</b>	<b>55.01 W</b>	<b>-315.15</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APXT 04/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
	1.000	69.98	03/16/21	99.00	29.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
03/17/21	12.000	719.97	03/16/21	1,188.00	468.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
	13.000	789.95	Various	1,287.00	497.05 W	0.00	Total of 2 transactions
7 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	8.000	559.98	03/17/21	912.03	...	-352.05	1 of 7 - Option sale to close-call <sup>25</sup>
	13.000	909.96	03/17/21	975.00	...	-65.04	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	70.00	03/17/21	119.02	...	-49.02	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	70.00	03/17/21	114.02	...	-44.02	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	70.00	03/17/21	114.01	...	-44.01	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	70.00	03/17/21	114.00	...	-44.00	6 of 7 - Option sale to close-call <sup>25</sup>
03/18/21	1.000	70.00	03/17/21	104.03	...	-34.03	7 of 7 - Option sale to close-call <sup>25</sup>
	26.000	1,819.94	Various	2,452.11	...	-632.17	Total of 7 transactions
4 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	1.000	69.98	03/17/21	114.00	44.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	74.98	03/17/21	114.00	39.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	74.99	03/17/21	104.02	29.03 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
03/18/21	1.000	74.99	03/17/21	114.00	39.01 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
	4.000	294.94	Various	446.02	151.08 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>2,904.83</b>		<b>4,185.13</b>	<b>648.13 W</b>	<b>-632.17</b>	

APXT 07/16/2021 CALL \$12.50 / CUSIP: / Symbol:

12 transactions for 06/03/21. Total proceeds and cost reported to the IRS.

	1.000	39.98	06/02/21	35.00	...	4.98	1 of 12 - Option sale to close-call <sup>25</sup>
	1.000	39.98	06/02/21	35.00	...	4.98	2 of 12 - Option sale to close-call <sup>25</sup>
	1.000	39.98	06/02/21	35.00	...	4.98	3 of 12 - Option sale to close-call <sup>25</sup>
	1.000	39.98	06/02/21	35.00	...	4.98	4 of 12 - Option sale to close-call <sup>25</sup>
	1.000	39.98	06/02/21	35.00	...	4.98	5 of 12 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APXT 07/16/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	5.000	199.98	06/02/21	175.00	...	24.98	6 of 12 - Option sale to close-call <sup>25</sup>
	5.000	199.98	06/02/21	175.00	...	24.98	7 of 12 - Option sale to close-call <sup>25</sup>
	2.000	89.98	06/02/21	60.00	...	29.98	8 of 12 - Option sale to close-call <sup>25</sup>
	10.000	399.97	06/02/21	350.00	...	49.97	9 of 12 - Option sale to close-call <sup>25</sup>
	10.000	399.97	06/02/21	350.00	...	49.97	10 of 12 - Option sale to close-call <sup>25</sup>
	6.000	269.98	06/02/21	180.00	...	89.98	11 of 12 - Option sale to close-call <sup>25</sup>
	9.000	404.98	06/02/21	315.00	...	89.98	12 of 12 - Option sale to close-call <sup>25</sup>
06/03/21	52.000	2,164.74	Various	1,780.00	...	384.74	Total of 12 transactions
ARYA 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	23.000	1,264.94	05/27/21	1,725.00	...	-460.06	1 of 2 - Option sale to close-call <sup>25</sup>
	15.000	824.96	05/27/21	1,200.00	...	-375.04	2 of 2 - Option sale to close-call <sup>25</sup>
05/28/21	38.000	2,089.90	Various	2,925.00	...	-835.10	Total of 2 transactions
ARYA 07/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
3 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	3.000	389.98	06/04/21	315.00	...	74.98	1 of 3 - Option sale to close-call <sup>25</sup>
	11.000	1,429.97	06/04/21	1,155.00	...	274.97	2 of 3 - Option sale to close-call <sup>25</sup>
	12.000	1,559.97	06/04/21	1,260.00	...	299.97	3 of 3 - Option sale to close-call <sup>25</sup>
06/08/21	26.000	3,379.92	Various	2,730.00	...	649.92	Total of 3 transactions
ASAN 07/16/2021 PUT \$60.00 / CUSIP: / Symbol:							
06/30/21	10.000	2,139.96	06/29/21	1,600.00	...	539.96	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASAN 07/16/2021 PUT \$62.50 / CUSIP: / Symbol:							
6 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	1.000	330.00	06/28/21	328.00	...	1.97	1 of 6 - Option sale to close-put <sup>25</sup>
	1.000	329.98	06/28/21	328.00	...	1.98	2 of 6 - Option sale to close-put <sup>25</sup>
	3.000	989.99	06/28/21	984.00	...	5.99	3 of 6 - Option sale to close-put <sup>25</sup>
	10.000	3,299.96	06/28/21	3,280.00	...	19.96	4 of 6 - Option sale to close-put <sup>25</sup>
	13.000	4,289.94	06/28/21	4,264.00	...	25.94	5 of 6 - Option sale to close-put <sup>25</sup>
	14.000	4,619.94	06/28/21	4,592.00	...	27.94	6 of 6 - Option sale to close-put <sup>25</sup>
06/30/21	42.000	13,859.81	Various	13,776.03	...	83.78	Total of 6 transactions
3 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	1.000	329.98	06/28/21	338.01	8.03 W	0.00	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	330.00	06/28/21	338.00	8.00 W	0.00	2 of 3 - Option sale to close-put <sup>25</sup>
	2.000	659.99	06/28/21	680.00	20.01 W	0.00	3 of 3 - Option sale to close-put <sup>25</sup>
06/30/21	4.000	1,319.97	Various	1,356.01	36.04 W	0.00	Total of 3 transactions
7 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	40.000	2,959.90	07/02/21	7,800.00	4,598.10 W	-242.00	1 of 7 - Option sale to close-put <sup>25</sup>
	1.000	74.00	07/02/21	195.00	121.00 W	0.00	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	74.00	07/02/21	195.00	121.00 W	0.00	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	74.00	07/02/21	300.00	226.00 W	0.00	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	74.00	07/02/21	316.00	242.00 W	0.00	5 of 7 - Option sale to close-put <sup>25</sup>
	3.000	221.99	07/02/21	900.01	678.02 W	0.00	6 of 7 - Option sale to close-put <sup>25</sup>
	37.000	2,737.91	07/02/21	7,215.00	4,477.09 W	0.00	7 of 7 - Option sale to close-put <sup>25</sup>
07/06/21	84.000	6,215.80	Various	16,921.01	10,463.21 W	-242.00	Total of 7 transactions
12 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	22.000	1,803.94	07/08/21	5,522.05	...	-3,718.11	1 of 12 - Option sale to close-put <sup>25</sup>
	13.000	1,078.96	07/08/21	3,458.04	...	-2,379.08	2 of 12 - Option sale to close-put <sup>25</sup>
	10.000	819.97	07/08/21	2,510.03	...	-1,690.06	3 of 12 - Option sale to close-put <sup>25</sup>
	8.000	655.98	07/08/21	2,128.02	...	-1,472.04	4 of 12 - Option sale to close-put <sup>25</sup>
	8.000	663.97	07/08/21	2,128.02	...	-1,464.05	5 of 12 - Option sale to close-put <sup>25</sup>
	5.000	409.99	07/08/21	1,330.01	...	-920.02	6 of 12 - Option sale to close-put <sup>25</sup>
	3.000	245.99	07/08/21	939.02	...	-693.03	7 of 12 - Option sale to close-put <sup>25</sup>
	2.000	163.99	07/08/21	712.01	...	-548.02	8 of 12 - Option sale to close-put <sup>25</sup>
	1.000	82.00	07/08/21	372.00	...	-290.00	9 of 12 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASAN 07/16/2021 PUT \$62.50 / CUSIP: / Symbol: (cont'd)							
	1.000	82.00	07/08/21	356.01	...	-274.01	10 of 12 - Option sale to close-put <sup>25</sup>
	1.000	82.00	07/08/21	356.00	...	-274.00	11 of 12 - Option sale to close-put <sup>25</sup>
	1.000	81.98	07/08/21	266.00	...	-184.02	12 of 12 - Option sale to close-put <sup>25</sup>
07/08/21	75.000	6,170.77	Various	20,077.21	...	-13,906.44	Total of 12 transactions
07/08/21	5.000	414.98	07/08/21	1,330.01	549.02 W	-366.01	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>27,981.33</b>		<b>53,460.27</b>	<b>11,048.27 W</b>	<b>-14,430.67</b>	
ASAN 07/16/2021 PUT \$67.50 / CUSIP: / Symbol:							
3 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	1.000	369.98	07/06/21	205.00	...	164.98	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	369.98	07/06/21	205.00	...	164.98	2 of 3 - Option sale to close-put <sup>25</sup>
	28.000	10,359.88	07/06/21	5,740.00	...	4,619.88	3 of 3 - Option sale to close-put <sup>25</sup>
07/08/21	30.000	11,099.84	Various	6,150.00	...	4,949.84	Total of 3 transactions
ATER 06/18/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	12.000	719.96	05/18/21	1,260.00	...	-540.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	60.00	05/18/21	103.00	...	-43.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/19/21	13.000	779.96	Various	1,363.00	...	-583.04	Total of 2 transactions
AUPH 05/21/2021 CALL \$15.00 / CUSIP: / Symbol:							
05/07/21	2.000	25.98	04/30/21	110.00	...	-84.02	Option sale to close-call <sup>25</sup>
BA 05/21/2021 CALL \$230.00 / CUSIP: / Symbol:							
3 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	6.000	359.98	05/19/21	612.02	...	-252.04	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	299.99	05/19/21	350.00	...	-50.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	60.00	05/19/21	101.00	...	-41.00	3 of 3 - Option sale to close-call <sup>25</sup>
05/19/21	12.000	719.97	Various	1,063.02	...	-343.05	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 05/21/2021 CALL \$230.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	10.000	599.97	05/19/21	920.00	192.02 W	-128.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	60.00	05/19/21	91.00	31.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/19/21	11.000	659.97	Various	1,011.00	223.02 W	-128.01	Total of 2 transactions
	<b>Security total:</b>	<b>1,379.94</b>		<b>2,074.02</b>	<b>223.02 W</b>	<b>-471.06</b>	
BABA 05/14/2021 CALL \$230.00 / CUSIP: / Symbol:							
05/12/21	10.000	2,189.96	05/07/21	2,980.00	...	-790.04	Option sale to close-call <sup>25</sup>
BABA 07/16/2021 CALL \$202.50 / CUSIP: / Symbol:							
3 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	1.000	405.00	07/08/21	291.00	...	114.00	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	1,139.98	07/08/21	873.00	...	266.98	2 of 3 - Option sale to close-call <sup>25</sup>
	19.000	7,694.91	07/08/21	5,529.00	...	2,165.91	3 of 3 - Option sale to close-call <sup>25</sup>
07/09/21	23.000	9,239.89	Various	6,693.00	...	2,546.89	Total of 3 transactions
BABA 07/16/2021 CALL \$215.00 / CUSIP: / Symbol:							
13 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	1.000	499.98	06/18/21	462.00	...	37.98	1 of 13 - Option sale to close-call <sup>25</sup>
	1.000	499.98	06/18/21	462.00	...	37.98	2 of 13 - Option sale to close-call <sup>25</sup>
	1.000	499.98	06/18/21	462.00	...	37.98	3 of 13 - Option sale to close-call <sup>25</sup>
	1.000	499.98	06/18/21	462.00	...	37.98	4 of 13 - Option sale to close-call <sup>25</sup>
	1.000	499.98	06/18/21	462.00	...	37.98	5 of 13 - Option sale to close-call <sup>25</sup>
	1.000	499.98	06/18/21	462.00	...	37.98	6 of 13 - Option sale to close-call <sup>25</sup>
	2.000	999.98	06/18/21	924.00	...	75.98	7 of 13 - Option sale to close-call <sup>25</sup>
	7.000	3,499.96	06/18/21	3,234.00	...	265.96	8 of 13 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 07/16/2021 CALL \$215.00 / CUSIP: / Symbol: (cont'd)							
	1.000	499.99	06/21/21	360.00	...	139.99	9 of 13 - Option sale to close-call <sub>25</sub>
	3.000	1,499.99	06/21/21	1,125.00	...	374.99	10 of 13 - Option sale to close-call <sub>25</sub>
	1.000	534.99	06/22/21	335.00	...	199.99	11 of 13 - Option sale to close-call <sub>25</sub>
	2.000	999.99	06/22/21	670.00	...	329.99	12 of 13 - Option sale to close-call <sub>25</sub>
	3.000	1,604.98	06/22/21	1,005.00	...	599.98	13 of 13 - Option sale to close-call <sub>25</sub>
06/23/21	25.000	12,639.76	Various	10,425.00	...	2,214.76	Total of 13 transactions
BABA 11/19/2021 CALL \$200.00 / CUSIP: / Symbol:							
14 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	17.000	237.97	11/12/21	289.00	...	-51.03	1 of 14 - Option sale to close-call <sub>25</sub>
	11.000	153.98	11/12/21	176.00	...	-22.02	2 of 14 - Option sale to close-call <sub>25</sub>
	11.000	153.98	11/12/21	176.00	...	-22.02	3 of 14 - Option sale to close-call <sub>25</sub>
	11.000	153.98	11/12/21	176.00	...	-22.02	4 of 14 - Option sale to close-call <sub>25</sub>
	9.000	125.98	11/12/21	144.00	...	-18.02	5 of 14 - Option sale to close-call <sub>25</sub>
	8.000	111.98	11/12/21	128.00	...	-16.02	6 of 14 - Option sale to close-call <sub>25</sub>
	5.000	69.99	11/12/21	80.00	...	-10.01	7 of 14 - Option sale to close-call <sub>25</sub>
	3.000	41.99	11/12/21	48.00	...	-6.01	8 of 14 - Option sale to close-call <sub>25</sub>
	1.000	14.00	11/12/21	16.00	...	-2.00	9 of 14 - Option sale to close-call <sub>25</sub>
	1.000	14.00	11/12/21	16.00	...	-2.00	10 of 14 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 11/19/2021 CALL \$200.00 / CUSIP: / Symbol: (cont'd)							
	1.000	14.00	11/15/21	10.00	...	4.00	11 of 14 - Option sale to close-call <sup>25</sup>
	5.000	69.99	11/15/21	60.00	...	9.99	12 of 14 - Option sale to close-call <sup>25</sup>
	5.000	69.99	11/15/21	50.00	...	19.99	13 of 14 - Option sale to close-call <sup>25</sup>
	14.000	195.96	11/15/21	140.00	...	55.96	14 of 14 - Option sale to close-call <sup>25</sup>
11/16/21	102.000	1,427.79	Various	1,509.00	...	-81.21	Total of 14 transactions
BABA 11/26/2021 CALL \$138.00 / CUSIP: / Symbol:							
11/22/21	10.000	2,299.96	11/22/21	2,240.00	...	59.96	Option sale to close-call <sup>25</sup>
BABA 11/26/2021 CALL \$180.00 / CUSIP: / Symbol:							
11/17/21	5.000	659.98	11/16/21	1,340.02	...	-680.04	Option sale to close-call <sup>25</sup>
11/17/21	6.000	791.98	11/16/21	1,398.00	505.02 W	-101.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,451.96</b>		<b>2,738.02</b>	<b>505.02 W</b>	<b>-781.04</b>	
BEKE 03/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	8.000	1,039.98	03/15/21	1,160.00	...	-120.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	259.99	03/15/21	320.00	...	-60.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/16/21	10.000	1,299.97	Various	1,480.00	...	-180.03	Total of 2 transactions
BEKE 03/19/2021 PUT \$50.00 / CUSIP: / Symbol:							
3 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	3.000	14.99	03/15/21	87.00	...	-72.01	1 of 3 - Option sale to close-put <sup>25</sup>
	3.000	14.99	03/15/21	87.00	...	-72.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	5.00	03/15/21	53.02	...	-48.02	3 of 3 - Option sale to close-put <sup>25</sup>
03/16/21	7.000	34.98	Various	227.02	...	-192.04	Total of 3 transactions
03/16/21	1.000	4.98	03/15/21	29.00	24.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>39.96</b>		<b>256.02</b>	<b>24.02 W</b>	<b>-192.04</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BPMC 06/18/2021 CALL \$95.00 / CUSIP: / Symbol:							
4 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	27.000	0.00	06/15/21	1,890.00	...	-1,890.00	1 of 4 - Option expiration- long call
	26.000	0.00	06/16/21	1,040.00	...	-1,040.00	2 of 4 - Option expiration- long call
	8.000	0.00	06/16/21	640.00	...	-640.00	3 of 4 - Option expiration- long call
	4.000	0.00	06/16/21	320.00	...	-320.00	4 of 4 - Option expiration- long call
06/18/21	65.000	0.00	Various	3,890.00	...	-3,890.00	Total of 4 transactions
BTAQ 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
5 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	1.000	9.99	03/25/21	10.02	...	-0.03	1 of 5 - Option sale to close-call <sup>25</sup>
	5.000	49.98	03/25/21	50.01	...	-0.03	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	10.00	03/25/21	10.00	...	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	29.98	03/25/21	20.00	...	9.98	4 of 5 - Option sale to close-call <sup>25</sup>
	10.000	149.97	03/25/21	100.00	...	49.97	5 of 5 - Option sale to close-call <sup>25</sup>
03/26/21	19.000	249.92	Various	190.03	...	59.89	Total of 5 transactions
2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	7.000	69.98	03/25/21	70.00	0.01 W	-0.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	9.98	03/25/21	10.00	0.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/26/21	8.000	79.96	Various	80.00	0.03 W	-0.01	Total of 2 transactions
	<b>Security total:</b>	<b>329.88</b>		<b>270.03</b>	<b>0.03 W</b>	<b>59.88</b>	
BTAQ 05/21/2021 CALL \$10.00 / CUSIP: / Symbol:							
03/26/21	12.000	239.97	03/25/21	180.00	...	59.97	Option sale to close-call <sup>25</sup>
2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	18.000	89.95	04/14/21	270.00	...	-180.05	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	24.98	04/14/21	75.00	...	-50.02	2 of 2 - Option sale to close-call <sup>25</sup>
04/30/21	23.000	114.93	Various	345.00	...	-230.07	Total of 2 transactions
	<b>Security total:</b>	<b>354.90</b>		<b>525.00</b>	...	<b>-170.10</b>	
BTAQ 05/21/2021 CALL \$12.50 / CUSIP: / Symbol:							
6 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	85.000	0.00	03/22/21	2,550.00	...	-2,550.00	1 of 6 - Option expiration- long call
	50.000	0.00	03/23/21	1,000.00	...	-1,000.00	2 of 6 - Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BTAQ 05/21/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	10.000	0.00	03/23/21	140.00	...	-140.00	3 of 6 - Option expiration- long call
	1.000	0.00	03/23/21	15.00	...	-15.00	4 of 6 - Option expiration- long call
	6.000	0.00	03/25/21	54.00	...	-54.00	5 of 6 - Option expiration- long call
	100.000	0.00	03/29/21	500.00	...	-500.00	6 of 6 - Option expiration- long call
05/21/21	252.000	0.00	Various	4,259.00	...	-4,259.00	Total of 6 transactions
BTAQ 07/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
8 transactions for 05/25/21. Total proceeds and cost reported to the IRS.							
	53.000	264.89	05/20/21	795.00	...	-530.11	1 of 8 - Option sale to close-call <sup>25</sup>
	36.000	179.91	05/20/21	540.00	...	-360.09	2 of 8 - Option sale to close-call <sup>25</sup>
	3.000	15.00	05/20/21	45.00	...	-30.00	3 of 8 - Option sale to close-call <sup>25</sup>
	2.000	10.00	05/20/21	30.00	...	-20.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	5.00	05/20/21	15.00	...	-10.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	4.99	05/21/21	10.00	...	-5.01	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	5.00	05/21/21	10.00	...	-5.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	5.00	05/21/21	10.00	...	-5.00	8 of 8 - Option sale to close-call <sup>25</sup>
05/25/21	98.000	489.79	Various	1,455.00	...	-965.21	Total of 8 transactions
CHWY 11/26/2021 CALL \$71.00 / CUSIP: / Symbol:							
10 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	4.000	247.99	11/22/21	1,068.02	...	-820.03	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	62.00	11/22/21	307.02	...	-245.02	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	62.00	11/22/21	273.05	...	-211.05	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	62.00	11/22/21	273.04	...	-211.04	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	61.99	11/22/21	273.02	...	-211.03	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	62.00	11/22/21	273.03	...	-211.03	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	62.00	11/22/21	273.03	...	-211.03	7 of 10 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHWY 11/26/2021 CALL \$71.00 / CUSIP: / Symbol: (cont'd)							
	1.000	61.99	11/22/21	68.00	...	-6.01	8 of 10 - Option sale to close-call <sub>25</sub>
	1.000	62.00	11/22/21	68.00	...	-6.00	9 of 10 - Option sale to close-call <sub>25</sub>
	1.000	61.99	11/22/21	45.00	...	16.99	10 of 10 - Option sale to close-call <sub>25</sub>
11/22/21	13.000	805.96	Various	2,921.21	...	-2,115.25	Total of 10 transactions
	17 transactions for 11/22/21. Total proceeds and cost reported to the IRS.						
	1.000	61.98	11/22/21	146.00	84.02 W	0.00	1 of 17 - Option sale to close-call <sub>25</sub>
	1.000	61.98	11/22/21	146.00	84.02 W	0.00	2 of 17 - Option sale to close-call <sub>25</sub>
	1.000	61.98	11/22/21	146.00	84.02 W	0.00	3 of 17 - Option sale to close-call <sub>25</sub>
	1.000	61.98	11/22/21	146.00	84.02 W	0.00	4 of 17 - Option sale to close-call <sub>25</sub>
	1.000	61.98	11/22/21	226.02	164.04 W	0.00	5 of 17 - Option sale to close-call <sub>25</sub>
	1.000	61.98	11/22/21	267.01	205.03 W	0.00	6 of 17 - Option sale to close-call <sub>25</sub>
	1.000	61.99	11/22/21	226.02	164.03 W	0.00	7 of 17 - Option sale to close-call <sub>25</sub>
	1.000	61.99	11/22/21	267.02	205.03 W	0.00	8 of 17 - Option sale to close-call <sub>25</sub>
	1.000	61.99	11/22/21	267.03	205.04 W	0.00	9 of 17 - Option sale to close-call <sub>25</sub>
	1.000	61.99	11/22/21	267.04	205.05 W	0.00	10 of 17 - Option sale to close-call <sub>25</sub>
	1.000	62.00	11/22/21	226.02	164.02 W	0.00	11 of 17 - Option sale to close-call <sub>25</sub>
	1.000	62.00	11/22/21	226.02	164.02 W	0.00	12 of 17 - Option sale to close-call <sub>25</sub>
	1.000	62.00	11/22/21	267.02	205.02 W	0.00	13 of 17 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CHWY 11/26/2021 CALL \$71.00 / CUSIP: / Symbol: (cont'd)							
	1.000	62.00	11/22/21	303.02	241.02 W	0.00	14 of 17 - Option sale to close-call <sup>25</sup>
	2.000	123.98	11/22/21	452.01	328.03 W	0.00	15 of 17 - Option sale to close-call <sup>25</sup>
	4.000	247.99	11/22/21	904.01	656.02 W	0.00	16 of 17 - Option sale to close-call <sup>25</sup>
	6.000	371.98	11/22/21	876.00	504.02 W	0.00	17 of 17 - Option sale to close-call <sup>25</sup>
11/22/21	26.000	1,611.79	Various	5,358.24	3,746.45 W	0.00	Total of 17 transactions
	<b>Security total:</b>	<b>2,417.75</b>		<b>8,279.45</b>	<b>3,746.45 W</b>	<b>-2,115.25</b>	
CI 03/19/2021 PUT \$245.00 / CUSIP: / Symbol:							
3 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	2.000	397.99	03/18/21	160.00	...	237.99	1 of 3 - Option sale to close-put <sup>25</sup>
	4.000	795.99	03/18/21	472.00	...	323.99	2 of 3 - Option sale to close-put <sup>25</sup>
	10.000	1,989.97	03/18/21	810.00	...	1,179.97	3 of 3 - Option sale to close-put <sup>25</sup>
03/19/21	16.000	3,183.95	Various	1,442.00	...	1,741.95	Total of 3 transactions
CODX 11/19/2021 PUT \$8.00 / CUSIP: / Symbol:							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	10.000	249.97	11/11/21	440.00	...	-190.03	1 of 2 - Option sale to close-put <sup>25</sup>
	9.000	224.98	11/11/21	396.00	...	-171.02	2 of 2 - Option sale to close-put <sup>25</sup>
11/12/21	19.000	474.95	Various	836.00	...	-361.05	Total of 2 transactions
COUP 01/15/2021 CALL \$340.00 / CUSIP: / Symbol:							
01/07/21	1.000	429.98	01/07/21	410.00	...	19.98	Option sale to close-call <sup>25</sup>
COUP 01/29/2021 CALL \$302.50 / CUSIP: / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	1.000	459.98	01/27/21	670.00	...	-210.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	459.98	01/27/21	640.00	...	-180.02	2 of 2 - Option sale to close-call <sup>25</sup>
01/28/21	2.000	919.96	Various	1,310.00	...	-390.04	Total of 2 transactions
COUP 01/29/2021 CALL \$335.00 / CUSIP: / Symbol:							
01/28/21	2.000	9.98	01/27/21	80.00	...	-70.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COUP 02/05/2021 CALL \$340.00 / CUSIP: / Symbol:							
01/29/21	6.000	1,199.96	01/29/21	990.00	...	209.96	Option sale to close-call <sup>25</sup>
COUP 02/05/2021 CALL \$347.50 / CUSIP: / Symbol:							
01/27/21	2.000	289.98	01/26/21	210.00	...	79.98	Option sale to close-call <sup>25</sup>
COUP 02/19/2021 PUT \$340.00 / CUSIP: / Symbol:							
02/11/21	2.000	739.97	02/10/21	660.00	...	79.97	Option sale to close-put <sup>25</sup>
COUP 04/16/2021 CALL \$282.50 / CUSIP: / Symbol:							
04/13/21	1.000	199.98	04/12/21	125.00	...	74.98	Option sale to close-call <sup>25</sup>
CPRT 11/19/2021 PUT \$150.00 / CUSIP: / Symbol:							
2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	23.000	344.94	11/17/21	1,725.00	...	-1,380.06	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	30.00	11/17/21	150.00	...	-120.00	2 of 2 - Option sale to close-put <sup>25</sup>
11/18/21	25.000	374.94	Various	1,875.00	...	-1,500.06	Total of 2 transactions
CRM 06/04/2021 CALL \$232.50 / CUSIP: / Symbol:							
2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	5.000	679.99	06/03/21	540.00	...	139.99	1 of 2 - Option sale to close-call <sup>25</sup>
	15.000	2,039.95	06/03/21	1,620.00	...	419.95	2 of 2 - Option sale to close-call <sup>25</sup>
06/04/21	20.000	2,719.94	Various	2,160.00	...	559.94	Total of 2 transactions
CRM 06/04/2021 CALL \$242.50 / CUSIP: / Symbol:							
4 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	9.000	602.98	05/28/21	3,330.00	...	-2,727.02	1 of 4 - Option sale to close-call <sup>25</sup>
	9.000	602.98	05/28/21	3,015.00	...	-2,412.02	2 of 4 - Option sale to close-call <sup>25</sup>
	8.000	535.98	05/28/21	1,856.00	...	-1,320.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	67.00	05/28/21	232.00	...	-165.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/02/21	27.000	1,808.94	Various	8,433.00	...	-6,624.06	Total of 4 transactions
CRSA 07/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
7 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	3.000	209.99	06/14/21	288.01	...	-78.02	1 of 7 - Option sale to close-call <sup>25</sup>
	3.000	209.99	06/14/21	288.01	...	-78.02	2 of 7 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRSA 07/16/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	2.000	139.98	06/14/21	192.01	...	-52.03	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	139.99	06/14/21	192.01	...	-52.02	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	69.98	06/14/21	96.01	...	-26.03	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	70.00	06/14/21	96.00	...	-26.00	6 of 7 - Option sale to close-call <sup>25</sup>
	2.000	139.99	06/14/21	162.00	...	-22.01	7 of 7 - Option sale to close-call <sup>25</sup>
06/15/21	14.000	979.92	Various	1,314.05	...	-334.13	Total of 7 transactions
	2 transactions for 06/15/21. Total proceeds and cost reported to the IRS.						
	5.000	349.98	06/14/21	425.00	75.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	7.000	489.97	06/14/21	595.00	105.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/15/21	12.000	839.95	Various	1,020.00	180.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,819.87</b>		<b>2,334.05</b>	<b>180.05 W</b>	<b>-334.13</b>	
CSCO 02/12/2021 CALL \$49.00 / CUSIP: / Symbol:							
	2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	7.000	13.98	02/09/21	798.00	...	-784.02	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	8.98	02/09/21	342.00	...	-333.02	2 of 2 - Option sale to close-call <sup>25</sup>
02/10/21	10.000	22.96	Various	1,140.00	...	-1,117.04	Total of 2 transactions
CVS 02/19/2021 PUT \$71.50 / CUSIP: / Symbol:							
	2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	13.000	324.96	02/17/21	728.00	...	-403.04	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	25.00	02/17/21	50.00	...	-25.00	2 of 2 - Option sale to close-put <sup>25</sup>
02/18/21	14.000	349.96	Various	778.00	...	-428.04	Total of 2 transactions
DIS 05/14/2021 PUT \$180.00 / CUSIP: / Symbol:							
05/13/21	5.000	1,774.98	05/13/21	1,725.00	...	49.98	Option sale to close-put <sup>25</sup>
DIS 11/12/2021 PUT \$160.00 / CUSIP: / Symbol:							
	6 transactions for 11/10/21. Total proceeds and cost reported to the IRS.						
	1.000	49.00	11/10/21	32.00	...	17.00	1 of 6 - Option sale to close-put <sup>25</sup>
	1.000	48.99	11/10/21	31.00	...	17.99	2 of 6 - Option sale to close-put <sup>25</sup>
	1.000	49.00	11/10/21	31.00	...	18.00	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	49.00	11/10/21	31.00	...	18.00	4 of 6 - Option sale to close-put <sup>25</sup>
	3.000	146.99	11/10/21	102.00	...	44.99	5 of 6 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 11/12/2021 PUT \$160.00 / CUSIP: / Symbol: (cont'd)							
	10.000	489.98	11/10/21	340.00	...	149.98	6 of 6 - Option sale to close-put <sup>25</sup>
11/10/21	17.000	832.96	Various	567.00	...	265.96	Total of 6 transactions
11/11/21	17.000	1,869.96	11/10/21	816.00	...	1,053.96	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>2,702.92</b>		<b>1,383.00</b>	...	<b>1,319.92</b>	
DIS 11/19/2021 CALL \$160.00 / CUSIP: / Symbol:							
5 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	51.98	11/17/21	45.00	...	6.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	52.00	11/17/21	45.00	...	7.00	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	103.99	11/17/21	90.00	...	13.99	3 of 5 - Option sale to close-call <sup>25</sup>
	7.000	363.98	11/17/21	315.00	...	48.98	4 of 5 - Option sale to close-call <sup>25</sup>
	24.000	1,247.95	11/17/21	1,080.00	...	167.95	5 of 5 - Option sale to close-call <sup>25</sup>
11/17/21	35.000	1,819.90	Various	1,575.00	...	244.90	Total of 5 transactions
DIS 11/26/2021 CALL \$155.00 / CUSIP: / Symbol:							
5 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	13.000	1,689.96	11/19/21	1,807.00	...	-117.04	1 of 5 - Option sale to close-call <sup>25</sup>
	5.000	649.98	11/19/21	665.00	...	-15.02	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	130.00	11/19/21	142.02	...	-12.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	130.00	11/19/21	142.02	...	-12.02	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	130.00	11/19/21	131.00	...	-1.00	5 of 5 - Option sale to close-call <sup>25</sup>
11/22/21	21.000	2,729.94	Various	2,887.04	...	-157.10	Total of 5 transactions
2 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	1.000	129.98	11/19/21	139.00	9.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	129.98	11/19/21	139.00	9.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/22/21	2.000	259.96	Various	278.00	18.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,989.90</b>		<b>3,165.04</b>	<b>18.04 W</b>	<b>-157.10</b>	
DKNG 06/18/2021 CALL \$50.00 / CUSIP: / Symbol:							
05/19/21	5.000	484.98	05/18/21	700.00	...	-215.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DLTR 01/29/2021 CALL \$109.00 / CUSIP: / Symbol:							
5 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	8.000	183.98	01/26/21	440.02	...	-256.04	1 of 5 - Option sale to close-call <sup>25</sup>
	3.000	68.99	01/26/21	186.01	...	-117.02	2 of 5 - Option sale to close-call <sup>25</sup>
	5.000	114.99	01/26/21	180.01	...	-65.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	22.98	01/26/21	34.00	...	-11.02	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	23.00	01/26/21	34.01	...	-11.01	5 of 5 - Option sale to close-call <sup>25</sup>
01/27/21	18.000	413.94	Various	874.05	...	-460.11	Total of 5 transactions
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	11.000	252.97	01/25/21	583.00	330.03 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	45.99	01/26/21	64.00	18.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	114.99	01/26/21	170.00	55.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
01/27/21	18.000	413.95	Various	817.00	403.05 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>827.89</b>		<b>1,691.05</b>	<b>403.05 W</b>	<b>-460.11</b>	
ESGC 02/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	8.000	159.97	01/13/21	120.00	...	39.97	1 of 2 - Option sale to close-call <sup>25</sup>
	19.000	398.95	01/13/21	285.00	...	113.95	2 of 2 - Option sale to close-call <sup>25</sup>
01/14/21	27.000	558.92	Various	405.00	...	153.92	Total of 2 transactions
HYLN 02/19/2021 CALL \$16.50 / CUSIP: / Symbol:							
02/04/21	10.000	1,079.95	02/03/21	1,270.00	...	-190.05	Option sale to close-call <sup>25</sup>
INTC 01/22/2021 PUT \$59.00 / CUSIP: / Symbol:							
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	1.000	211.98	01/20/21	167.00	...	44.98	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	423.98	01/20/21	334.00	...	89.98	2 of 2 - Option sale to close-put <sup>25</sup>
01/21/21	3.000	635.96	Various	501.00	...	134.96	Total of 2 transactions
7 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	3.000	176.99	01/21/21	507.00	...	-330.01	1 of 7 - Option sale to close-put <sup>25</sup>
	2.000	117.99	01/21/21	336.00	...	-218.01	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	59.00	01/21/21	126.00	...	-67.00	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	59.00	01/21/21	126.00	...	-67.00	4 of 7 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTC 01/22/2021 PUT \$59.00 / CUSIP: / Symbol: (cont'd)							
	1.000	59.00	01/21/21	31.00	...	28.00	5 of 7 - Option sale to close-put <sup>25</sup>
	1.000	59.00	01/21/21	31.00	...	28.00	6 of 7 - Option sale to close-put <sup>25</sup>
	3.000	176.98	01/21/21	93.00	...	83.98	7 of 7 - Option sale to close-put <sup>25</sup>
01/22/21	12.000	707.96	Various	1,250.00	...	-542.04	Total of 7 transactions
	<b>Security total:</b>	<b>1,343.92</b>		<b>1,751.00</b>	...	<b>-407.08</b>	
JD 05/21/2021 CALL \$69.00 / CUSIP: / Symbol:							
	8 transactions for 05/18/21. Total proceeds and cost reported to the IRS.						
	1.000	199.98	05/17/21	151.00	...	48.98	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	199.98	05/17/21	151.00	...	48.98	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	199.98	05/17/21	151.00	...	48.98	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	199.98	05/17/21	151.00	...	48.98	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	199.98	05/17/21	151.00	...	48.98	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	199.98	05/17/21	151.00	...	48.98	6 of 8 - Option sale to close-call <sup>25</sup>
	2.000	399.98	05/17/21	302.00	...	97.98	7 of 8 - Option sale to close-call <sup>25</sup>
	2.000	429.98	05/17/21	302.00	...	127.98	8 of 8 - Option sale to close-call <sup>25</sup>
05/18/21	10.000	2,029.84	Various	1,510.00	...	519.84	Total of 8 transactions
JD 05/28/2021 CALL \$72.00 / CUSIP: / Symbol:							
05/13/21	8.000	1,823.97	05/12/21	2,120.00	...	-296.03	Option sale to close-call <sup>25</sup>
JD 11/05/2021 PUT \$79.00 / CUSIP: / Symbol:							
	3 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	9.000	314.97	11/04/21	405.00	...	-90.03	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	70.00	11/04/21	90.00	...	-20.00	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	35.00	11/04/21	45.00	...	-10.00	3 of 3 - Option sale to close-put <sup>25</sup>
11/05/21	12.000	419.97	Various	540.00	...	-120.03	Total of 3 transactions
MARA 06/18/2021 PUT \$31.00 / CUSIP: / Symbol:							
	2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.						
	1.000	130.00	06/17/21	59.00	...	71.00	1 of 2 - Option sale to close-put <sup>25</sup>
	5.000	649.98	06/17/21	295.00	...	354.98	2 of 2 - Option sale to close-put <sup>25</sup>
06/18/21	6.000	779.98	Various	354.00	...	425.98	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARA 06/25/2021 PUT \$29.50 / CUSIP: / Symbol:							
06/21/21	5.000	1,224.98	06/18/21	835.00	...	389.98	Option sale to close-put <sup>25</sup>
MARA 11/12/2021 PUT \$64.00 / CUSIP: / Symbol:							
2 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	6.000	197.98	11/09/21	474.00	...	-276.02	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	98.99	11/09/21	177.00	...	-78.01	2 of 2 - Option sale to close-put <sup>25</sup>
11/10/21	9.000	296.97	Various	651.00	...	-354.03	Total of 2 transactions
MSFT 01/15/2021 CALL \$215.00 / CUSIP: / Symbol:							
5 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	1.000	78.99	01/14/21	86.00	...	-7.01	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	79.00	01/14/21	86.00	...	-7.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	79.00	01/14/21	86.00	...	-7.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	78.99	01/14/21	72.00	...	6.99	4 of 5 - Option sale to close-call <sup>25</sup>
	3.000	236.99	01/14/21	216.00	...	20.99	5 of 5 - Option sale to close-call <sup>25</sup>
01/14/21	7.000	552.97	Various	546.00	...	6.97	Total of 5 transactions
MSFT 01/29/2021 CALL \$222.50 / CUSIP: / Symbol:							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	1.000	231.98	01/15/21	216.00	...	15.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	463.97	01/15/21	432.00	...	31.97	2 of 2 - Option sale to close-call <sup>25</sup>
01/15/21	3.000	695.95	Various	648.00	...	47.95	Total of 2 transactions
5 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	1.000	187.99	01/15/21	214.00	...	-26.01	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	187.99	01/15/21	214.00	...	-26.01	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	187.99	01/15/21	214.00	...	-26.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	188.00	01/19/21	175.00	...	13.00	4 of 5 - Option sale to close-call <sup>25</sup>
	5.000	939.97	01/19/21	875.00	...	64.97	5 of 5 - Option sale to close-call <sup>25</sup>
01/19/21	9.000	1,691.94	Various	1,692.00	...	-0.06	Total of 5 transactions
	<b>Security total:</b>	<b>2,387.89</b>		<b>2,340.00</b>	...	<b>47.89</b>	
MSFT 01/29/2021 CALL \$235.00 / CUSIP: / Symbol:							
01/05/21	2.000	333.98	01/04/21	288.00	...	45.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAT 02/19/2021 CALL \$4.00 / CUSIP: / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	5.000	84.98	02/12/21	30.00	...	54.98	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	84.99	02/12/21	30.00	...	54.99	2 of 2 - Option sale to close-call <sup>25</sup>
02/16/21	10.000	169.97	Various	60.00	...	109.97	Total of 2 transactions
NEE 02/19/2021 CALL \$77.50 / CUSIP: / Symbol:							
01/06/21	2.000	529.97	01/05/21	330.00	...	199.97	Option sale to close-call <sup>25</sup>
NFLX 02/12/2021 CALL \$575.00 / CUSIP: / Symbol:							
02/10/21	3.000	719.97	02/09/21	915.00	...	-195.03	Option sale to close-call <sup>25</sup>
NVDA 02/05/2021 CALL \$530.00 / CUSIP: / Symbol:							
02/01/21	1.000	829.97	01/29/21	830.00	...	-0.03	Option sale to close-call <sup>25</sup>
NVDA 05/28/2021 CALL \$600.00 / CUSIP: / Symbol:							
05/19/21	5.000	2,124.97	05/19/21	2,375.00	...	-250.03	Option sale to close-call <sup>25</sup>
PFE 02/05/2021 CALL \$36.50 / CUSIP: / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	1.000	72.00	01/28/21	68.00	...	4.00	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	719.96	01/28/21	690.00	...	29.96	2 of 2 - Option sale to close-call <sup>25</sup>
01/29/21	11.000	791.96	Various	758.00	...	33.96	Total of 2 transactions
PINS 11/05/2021 CALL \$51.00 / CUSIP: / Symbol:							
11/04/21	11.000	538.97	11/04/21	517.00	...	21.97	Option sale to close-call <sup>25</sup>
PLTR 01/15/2021 CALL \$26.00 / CUSIP: / Symbol:							
01/13/21	3.000	413.98	01/11/21	243.00	...	170.98	Option sale to close-call <sup>25</sup>
PLTR 11/05/2021 CALL \$26.00 / CUSIP: / Symbol:							
12 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	8.000	47.98	11/05/21	80.02	...	-32.04	1 of 12 - Option sale to close-call <sup>25</sup>
	4.000	11.99	11/05/21	32.00	...	-20.01	2 of 12 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 11/05/2021 CALL \$26.00 / CUSIP: / Symbol: (cont'd)							
	4.000	23.99	11/05/21	40.01	...	-16.02	3 of 12 - Option sale to close-call <sup>25</sup>
	3.000	17.99	11/05/21	30.01	...	-12.02	4 of 12 - Option sale to close-call <sup>25</sup>
	3.000	17.99	11/05/21	30.01	...	-12.02	5 of 12 - Option sale to close-call <sup>25</sup>
	3.000	17.99	11/05/21	30.01	...	-12.02	6 of 12 - Option sale to close-call <sup>25</sup>
	3.000	17.99	11/05/21	30.01	...	-12.02	7 of 12 - Option sale to close-call <sup>25</sup>
	2.000	6.00	11/05/21	16.00	...	-10.00	8 of 12 - Option sale to close-call <sup>25</sup>
	2.000	12.00	11/05/21	20.00	...	-8.00	9 of 12 - Option sale to close-call <sup>25</sup>
	2.000	12.00	11/05/21	20.00	...	-8.00	10 of 12 - Option sale to close-call <sup>25</sup>
	1.000	6.00	11/05/21	10.00	...	-4.00	11 of 12 - Option sale to close-call <sup>25</sup>
	1.000	6.00	11/05/21	10.00	...	-4.00	12 of 12 - Option sale to close-call <sup>25</sup>
11/05/21	36.000	197.92	Various	348.07	...	-150.15	Total of 12 transactions
11/05/21	44.000	131.90	11/05/21	352.00	150.07 W	-70.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>329.82</b>		<b>700.07</b>	<b>150.07 W</b>	<b>-220.18</b>	
PLTR 11/12/2021 CALL \$27.00 / CUSIP: / Symbol:							
3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	96.98	11/05/21	93.00	...	3.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	96.98	11/05/21	93.00	...	3.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	290.98	11/05/21	279.00	...	11.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/08/21	5.000	484.94	Various	465.00	...	19.94	Total of 3 transactions
PLTR 11/12/2021 CALL \$30.00 / CUSIP: / Symbol:							
4 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	5.000	154.98	11/05/21	175.00	...	-20.02	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	154.98	11/05/21	175.00	...	-20.02	2 of 4 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 11/12/2021 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	2.000	61.98	11/05/21	70.00	...	-8.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	30.98	11/05/21	35.00	...	-4.02	4 of 4 - Option sale to close-call <sup>25</sup>
11/05/21	13.000	402.92	Various	455.00	...	-52.08	Total of 4 transactions
PRVB 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
10 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	3.000	704.98	06/25/21	675.00	...	29.98	1 of 10 - Option sale to close-call <sup>25</sup>
	5.000	1,199.98	06/25/21	1,125.00	...	74.98	2 of 10 - Option sale to close-call <sup>25</sup>
	5.000	1,199.98	06/25/21	1,125.00	...	74.98	3 of 10 - Option sale to close-call <sup>25</sup>
	5.000	1,199.98	06/25/21	1,125.00	...	74.98	4 of 10 - Option sale to close-call <sup>25</sup>
	5.000	1,199.98	06/25/21	1,125.00	...	74.98	5 of 10 - Option sale to close-call <sup>25</sup>
	5.000	1,199.98	06/25/21	1,125.00	...	74.98	6 of 10 - Option sale to close-call <sup>25</sup>
	5.000	1,199.98	06/25/21	1,125.00	...	74.98	7 of 10 - Option sale to close-call <sup>25</sup>
	11.000	2,551.96	06/25/21	2,475.00	...	76.96	8 of 10 - Option sale to close-call <sup>25</sup>
	8.000	1,879.97	06/25/21	1,800.00	...	79.97	9 of 10 - Option sale to close-call <sup>25</sup>
	12.000	2,783.96	06/25/21	2,700.00	...	83.96	10 of 10 - Option sale to close-call <sup>25</sup>
06/28/21	64.000	15,120.75	Various	14,400.00	...	720.75	Total of 10 transactions
PTON 01/15/2021 PUT \$136.00 / CUSIP: / Symbol:							
01/11/21	1.000	39.98	01/08/21	45.00	...	-5.02	Option sale to close-put <sup>25</sup>
PTON 01/15/2021 PUT \$150.00 / CUSIP: / Symbol:							
01/11/21	1.000	213.98	01/08/21	263.00	...	-49.02	Option sale to close-put <sup>25</sup>
PYPL 11/12/2021 CALL \$205.00 / CUSIP: / Symbol:							
11/10/21	1.000	339.98	11/10/21	277.00	...	62.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 11/12/2021 CALL \$252.50 / CUSIP: / Symbol:							
11/08/21	1.000	173.98	11/05/21	180.00	...	-6.02	Option sale to close-call <sup>25</sup>
QCOM 01/29/2021 CALL \$170.00 / CUSIP: / Symbol:							
3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	2.000	471.97	01/19/21	304.00	...	167.97	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	695.98	01/19/21	456.00	...	239.98	2 of 3 - Option sale to close-call <sup>25</sup>
	6.000	1,391.95	01/19/21	912.00	...	479.95	3 of 3 - Option sale to close-call <sup>25</sup>
01/20/21	11.000	2,559.90	Various	1,672.00	...	887.90	Total of 3 transactions
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	131.00	01/22/21	63.00	...	68.00	1 of 3 - Option sale to close-call <sup>25</sup>
	26.000	3,405.87	01/22/21	1,638.00	...	1,767.87	2 of 3 - Option sale to close-call <sup>25</sup>
	6.000	599.97	01/25/21	432.00	...	167.97	3 of 3 - Option sale to close-call <sup>25</sup>
01/25/21	33.000	4,136.84	Various	2,133.00	...	2,003.84	Total of 3 transactions
	<b>Security total:</b>	<b>6,696.74</b>		<b>3,805.00</b>	...	<b>2,891.74</b>	
QCOM 02/05/2021 CALL \$170.00 / CUSIP: / Symbol:							
01/28/21	2.000	323.98	01/27/21	386.00	62.02 W	0.00	Option sale to close-call <sup>25</sup>
4 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	1.000	158.99	02/01/21	153.00	...	5.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	158.99	02/01/21	153.00	...	5.99	2 of 4 - Option sale to close-call <sup>25</sup>
	6.000	953.97	02/01/21	822.00	...	131.97	3 of 4 - Option sale to close-call <sup>25</sup>
	10.000	1,589.95	02/01/21	1,260.00	...	329.95	4 of 4 - Option sale to close-call <sup>25</sup>
02/01/21	18.000	2,861.90	Various	2,388.00	...	473.90	Total of 4 transactions
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	2.000	317.99	02/01/21	398.02	80.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	8.000	1,271.95	02/01/21	1,344.00	72.05 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
02/01/21	10.000	1,589.94	Various	1,742.02	152.08 W	0.00	Total of 2 transactions
6 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	2.000	509.98	02/02/21	472.03	...	37.95	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	254.99	02/02/21	188.00	...	66.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	254.99	02/02/21	188.00	...	66.99	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	254.99	02/02/21	188.00	...	66.99	4 of 6 - Option sale to close-call <sup>25</sup>
	4.000	1,019.97	02/02/21	820.03	...	199.94	5 of 6 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QCOM 02/05/2021 CALL \$170.00 / CUSIP: / Symbol: (cont'd)							
	4.000	1,019.97	02/02/21	820.02	...	199.95	6 of 6 - Option sale to close-call <sup>25</sup>
02/02/21	13.000	3,314.89	Various	2,676.08	...	638.81	Total of 6 transactions
	<b>Security total:</b>	<b>8,090.71</b>		<b>7,192.10</b>	<b>214.10 W</b>	<b>1,112.71</b>	
QCOM 02/12/2021 CALL \$146.00 / CUSIP: / Symbol:							
3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	7.000	1,553.95	02/05/21	1,701.00	...	-147.05	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	665.98	02/05/21	702.03	...	-36.05	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	221.99	02/05/21	213.00	...	8.99	3 of 3 - Option sale to close-call <sup>25</sup>
02/08/21	11.000	2,441.92	Various	2,616.03	...	-174.11	Total of 3 transactions
02/08/21	3.000	665.97	02/05/21	729.00	63.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,107.89</b>		<b>3,345.03</b>	<b>63.03 W</b>	<b>-174.11</b>	
QCOM 03/05/2021 CALL \$136.00 / CUSIP: / Symbol:							
8 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	19.000	398.95	03/03/21	703.00	...	-304.05	1 of 8 - Option sale to close-call <sup>25</sup>
	3.000	62.99	03/03/21	360.00	...	-297.01	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	21.00	03/03/21	120.00	...	-99.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	21.00	03/03/21	120.00	...	-99.00	4 of 8 - Option sale to close-call <sup>25</sup>
	6.000	125.98	03/03/21	222.00	...	-96.02	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	21.00	03/03/21	41.00	...	-20.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	21.00	03/03/21	37.00	...	-16.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	21.00	03/03/21	37.00	...	-16.00	8 of 8 - Option sale to close-call <sup>25</sup>
03/04/21	33.000	692.92	Various	1,640.00	...	-947.08	Total of 8 transactions
QCOM 07/16/2021 CALL \$140.00 / CUSIP: / Symbol:							
7 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	1.000	190.00	06/23/21	137.00	...	53.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	190.00	06/23/21	137.00	...	53.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	190.00	06/23/21	137.00	...	53.00	3 of 7 - Option sale to close-call <sup>25</sup>
	3.000	569.99	06/23/21	411.00	...	158.99	4 of 7 - Option sale to close-call <sup>25</sup>
	8.000	1,519.98	06/23/21	1,096.00	...	423.98	5 of 7 - Option sale to close-call <sup>25</sup>
	22.000	3,981.93	06/23/21	3,014.00	...	967.93	6 of 7 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QCOM 07/16/2021 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
	56.000	10,639.82	06/23/21	7,672.00	...	2,967.82	7 of 7 - Option sale to close-call <sup>25</sup>
06/24/21	92.000	17,281.72	Various	12,604.00	...	4,677.72	Total of 7 transactions
QCOM 11/26/2021 PUT \$182.50 / CUSIP: / Symbol:							
5 transactions for 11/23/21. Total proceeds and cost reported to the IRS.							
	1.000	449.99	11/22/21	178.00	...	271.99	1 of 5 - Option sale to close-put <sup>25</sup>
	3.000	1,349.98	11/22/21	531.00	...	818.98	2 of 5 - Option sale to close-put <sup>25</sup>
	3.000	1,349.99	11/22/21	531.00	...	818.99	3 of 5 - Option sale to close-put <sup>25</sup>
	3.000	1,349.99	11/22/21	519.00	...	830.99	4 of 5 - Option sale to close-put <sup>25</sup>
	4.000	1,799.98	11/22/21	708.00	...	1,091.98	5 of 5 - Option sale to close-put <sup>25</sup>
11/23/21	14.000	6,299.93	Various	2,467.00	...	3,832.93	Total of 5 transactions
RIGL 05/21/2021 CALL \$4.00 / CUSIP: / Symbol:							
04/14/21	10.000	299.97	04/13/21	160.00	...	139.97	Option sale to close-call <sup>25</sup>
RMO 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	2.000	53.99	02/18/21	110.00	...	-56.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	26.99	02/18/21	48.01	...	-21.02	2 of 2 - Option sale to close-call <sup>25</sup>
02/19/21	3.000	80.98	Various	158.01	...	-77.03	Total of 2 transactions
02/19/21	4.000	107.98	02/18/21	220.00	28.01 W	-84.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>188.96</b>		<b>378.01</b>	<b>28.01 W</b>	<b>-161.04</b>	
RMO 02/19/2021 CALL \$17.50 / CUSIP: / Symbol:							
02/19/21	4.000	0.00	02/18/21	8.00	...	-8.00	Option expiration- long call
RMO 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	1.000	124.98	01/29/21	157.00	32.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	1,097.95	01/29/21	1,413.00	315.05 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
02/01/21	10.000	1,222.93	Various	1,570.00	347.07 W	0.00	Total of 2 transactions
5 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	149.99	02/01/21	142.02	...	7.97	1 of 5 - Option sale to close-call <sup>25</sup>
	9.000	1,349.95	02/01/21	1,305.05	...	44.90	2 of 5 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RMO 02/19/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	5.000	799.97	02/01/21	525.00	...	274.97	3 of 5 - Option sale to close-call <sup>25</sup>
	10.000	1,499.95	02/01/21	1,100.00	...	399.95	4 of 5 - Option sale to close-call <sup>25</sup>
	15.000	2,249.92	02/01/21	1,575.00	...	674.92	5 of 5 - Option sale to close-call <sup>25</sup>
02/02/21	40.000	6,049.78	Various	4,647.07	...	1,402.71	Total of 5 transactions
	3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	20.000	2,099.91	02/03/21	3,200.00	...	-1,100.09	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	105.00	02/03/21	150.00	...	-45.00	2 of 3 - Option sale to close-call <sup>25</sup>
	10.000	1,049.95	02/04/21	1,250.00	...	-200.05	3 of 3 - Option sale to close-call <sup>25</sup>
02/05/21	31.000	3,254.86	Various	4,600.00	...	-1,345.14	Total of 3 transactions
	<b>Security total:</b>	<b>10,527.57</b>		<b>10,817.07</b>	<b>347.07 W</b>	<b>57.57</b>	
RMO 03/19/2021 CALL \$17.50 / CUSIP: / Symbol:							
02/25/21	42.000	1,679.89	02/23/21	1,050.00	...	629.89	Option sale to close-call <sup>25</sup>
	6 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	10.000	399.98	02/17/21	1,550.00	1,150.02 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	9.000	359.98	02/18/21	990.00	630.02 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	40.00	02/19/21	110.00	70.00 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	40.00	02/22/21	84.00	44.00 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	40.00	02/22/21	85.00	45.00 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	10.000	399.98	02/22/21	850.00	450.02 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
02/25/21	32.000	1,279.94	Various	3,669.00	2,389.06 W	0.00	Total of 6 transactions
	8 transactions for 03/02/21. Total proceeds and cost reported to the IRS.						
	10.000	279.98	02/25/21	1,500.02	...	-1,220.04	1 of 8 - Option sale to close-call <sup>25</sup>
	9.000	251.98	02/25/21	945.02	...	-693.04	2 of 8 - Option sale to close-call <sup>25</sup>
	10.000	279.98	02/25/21	800.02	...	-520.04	3 of 8 - Option sale to close-call <sup>25</sup>
	26.000	701.94	02/25/21	910.00	...	-208.06	4 of 8 - Option sale to close-call <sup>25</sup>
	22.000	615.94	02/25/21	770.00	...	-154.06	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	28.00	02/25/21	105.00	...	-77.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	28.00	02/25/21	80.00	...	-52.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	28.00	02/25/21	79.00	...	-51.00	8 of 8 - Option sale to close-call <sup>25</sup>
03/02/21	80.000	2,213.82	Various	5,189.06	...	-2,975.24	Total of 8 transactions
	<b>Security total:</b>	<b>5,173.65</b>		<b>9,908.06</b>	<b>2,389.06 W</b>	<b>-2,345.35</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RMO 04/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	1.000	40.00	03/04/21	60.00	...	-20.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	79.99	03/04/21	80.00	...	-0.01	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	79.99	03/05/21	50.00	...	29.99	3 of 3 - Option sale to close-call <sup>25</sup>
03/08/21	5.000	199.98	Various	190.00	...	9.98	Total of 3 transactions
SDC 11/12/2021 CALL \$5.50 / CUSIP: / Symbol:							
5 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	16.000	47.96	11/08/21	576.00	...	-528.04	1 of 5 - Option sale to close-call <sup>25</sup>
	3.000	8.99	11/09/21	105.02	...	-96.03	2 of 5 - Option sale to close-call <sup>25</sup>
	3.000	8.99	11/09/21	9.00	...	-0.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	3.00	11/09/21	1.00	...	2.00	4 of 5 - Option sale to close-call <sup>25</sup>
	7.000	20.99	11/09/21	14.00	...	6.99	5 of 5 - Option sale to close-call <sup>25</sup>
11/10/21	30.000	89.93	Various	705.02	...	-615.09	Total of 5 transactions
11/10/21	3.000	5.98	11/08/21	108.00	102.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>95.91</b>		<b>813.02</b>	<b>102.02 W</b>	<b>-615.09</b>	
SNDL 02/26/2021 CALL \$1.50 / CUSIP: / Symbol:							
2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	9.000	0.00	02/25/21	72.00	...	-72.00	1 of 2 - Option expiration- long call
	8.000	0.00	02/25/21	64.00	...	-64.00	2 of 2 - Option expiration- long call
02/26/21	17.000	0.00	Various	136.00	...	-136.00	Total of 2 transactions
SPY 02/05/2021 PUT \$384.00 / CUSIP: / Symbol:							
3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	151.98	02/04/21	135.00	...	16.98	1 of 3 - Option sale to close-put <sup>25</sup>
	4.000	607.97	02/04/21	540.00	...	67.97	2 of 3 - Option sale to close-put <sup>25</sup>
	5.000	759.97	02/04/21	675.00	...	84.97	3 of 3 - Option sale to close-put <sup>25</sup>
02/04/21	10.000	1,519.92	Various	1,350.00	...	169.92	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STIC 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	6.000	539.98	05/21/21	390.00	...	149.98	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	899.98	05/21/21	650.00	...	249.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/26/21	16.000	1,439.96	Various	1,040.00	...	399.96	Total of 2 transactions
6 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	1.000	74.98	05/21/21	65.00	...	9.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	79.98	05/21/21	65.00	...	14.98	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	224.98	05/21/21	195.00	...	29.98	3 of 6 - Option sale to close-call <sup>25</sup>
	5.000	399.98	05/21/21	325.00	...	74.98	4 of 6 - Option sale to close-call <sup>25</sup>
	10.000	749.97	05/21/21	650.00	...	99.97	5 of 6 - Option sale to close-call <sup>25</sup>
	10.000	799.97	05/21/21	650.00	...	149.97	6 of 6 - Option sale to close-call <sup>25</sup>
05/27/21	30.000	2,329.86	Various	1,950.00	...	379.86	Total of 6 transactions
	<b>Security total:</b>	<b>3,769.82</b>		<b>2,990.00</b>	...	<b>779.82</b>	
T 06/25/2021 CALL \$29.00 / CUSIP: / Symbol:							
5 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	10.000	119.97	06/18/21	130.00	...	-10.03	1 of 5 - Option sale to close-call <sup>25</sup>
	4.000	47.99	06/18/21	56.00	...	-8.01	2 of 5 - Option sale to close-call <sup>25</sup>
	6.000	71.98	06/18/21	78.00	...	-6.02	3 of 5 - Option sale to close-call <sup>25</sup>
	3.000	35.98	06/18/21	39.00	...	-3.02	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	12.00	06/18/21	14.00	...	-2.00	5 of 5 - Option sale to close-call <sup>25</sup>
06/21/21	24.000	287.92	Various	317.00	...	-29.08	Total of 5 transactions
TBA 07/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
4 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	2.000	142.00	06/09/21	170.00	...	-28.00	1 of 4 - Option sale to close-call <sup>25</sup>
	36.000	2,555.91	06/10/21	3,060.00	...	-504.09	2 of 4 - Option sale to close-call <sup>25</sup>
	39.000	2,768.91	06/10/21	3,120.00	...	-351.09	3 of 4 - Option sale to close-call <sup>25</sup>
	20.000	1,419.95	06/10/21	1,600.00	...	-180.05	4 of 4 - Option sale to close-call <sup>25</sup>
06/17/21	97.000	6,886.77	Various	7,950.00	...	-1,063.23	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THBR 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
9 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	1.000	115.00	06/09/21	75.00	...	40.00	1 of 9 - Option sale to close-call <sup>25</sup>
	2.000	219.99	06/09/21	150.00	...	69.99	2 of 9 - Option sale to close-call <sup>25</sup>
	2.000	219.99	06/09/21	150.00	...	69.99	3 of 9 - Option sale to close-call <sup>25</sup>
	2.000	229.99	06/09/21	150.00	...	79.99	4 of 9 - Option sale to close-call <sup>25</sup>
	2.000	229.99	06/09/21	150.00	...	79.99	5 of 9 - Option sale to close-call <sup>25</sup>
	4.000	459.99	06/09/21	380.00	...	79.99	6 of 9 - Option sale to close-call <sup>25</sup>
	3.000	314.98	06/09/21	225.00	...	89.98	7 of 9 - Option sale to close-call <sup>25</sup>
	5.000	574.99	06/09/21	475.00	...	99.99	8 of 9 - Option sale to close-call <sup>25</sup>
	6.000	689.98	06/09/21	570.00	...	119.98	9 of 9 - Option sale to close-call <sup>25</sup>
06/10/21	27.000	3,054.90	Various	2,325.00	...	729.90	Total of 9 transactions
THBR 07/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
06/09/21	26.000	3,639.93	06/08/21	3,380.00	...	259.93	Option sale to close-call <sup>25</sup>
TRUE 07/16/2021 CALL \$6.00 / CUSIP: / Symbol:							
12 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	12.000	179.97	06/24/21	420.03	...	-240.06	1 of 12 - Option sale to close-call <sup>25</sup>
	19.000	284.95	06/24/21	475.00	...	-190.05	2 of 12 - Option sale to close-call <sup>25</sup>
	5.000	74.99	06/24/21	175.02	...	-100.03	3 of 12 - Option sale to close-call <sup>25</sup>
	5.000	74.99	06/24/21	170.01	...	-95.02	4 of 12 - Option sale to close-call <sup>25</sup>
	5.000	74.99	06/24/21	170.01	...	-95.02	5 of 12 - Option sale to close-call <sup>25</sup>
	3.000	44.99	06/24/21	105.01	...	-60.02	6 of 12 - Option sale to close-call <sup>25</sup>
	3.000	44.99	06/24/21	105.01	...	-60.02	7 of 12 - Option sale to close-call <sup>25</sup>
	2.000	30.00	06/24/21	70.00	...	-40.00	8 of 12 - Option sale to close-call <sup>25</sup>
	2.000	30.00	06/24/21	70.00	...	-40.00	9 of 12 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TRUE 07/16/2021 CALL \$6.00 / CUSIP: / Symbol: (cont'd)							
	1.000	15.00	06/24/21	45.02	...	-30.02	10 of 12 - Option sale to close-call <sup>25</sup>
	1.000	15.00	06/24/21	35.00	...	-20.00	11 of 12 - Option sale to close-call <sup>25</sup>
	1.000	15.00	06/24/21	35.00	...	-20.00	12 of 12 - Option sale to close-call <sup>25</sup>
06/25/21	59.000	884.87	Various	1,875.11	...	-990.24	Total of 12 transactions
	5 transactions for 06/25/21. Total proceeds and cost reported to the IRS.						
	1.000	14.98	06/24/21	35.00	20.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	7.000	104.98	06/24/21	175.00	70.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	10.000	149.98	06/24/21	240.00	90.02 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	11.000	164.98	06/24/21	275.00	110.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	12.000	179.97	06/24/21	300.00	120.03 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
06/25/21	41.000	614.89	Various	1,025.00	410.11 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>1,499.76</b>		<b>2,900.11</b>	<b>410.11 W</b>	<b>-990.24</b>	
TSLA 05/21/2021 CALL \$600.00 / CUSIP: / Symbol:							
	2 transactions for 05/18/21. Total proceeds and cost reported to the IRS.						
	1.000	879.98	05/18/21	465.00	...	414.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	2,339.97	05/18/21	1,395.00	...	944.97	2 of 2 - Option sale to close-call <sup>25</sup>
05/18/21	4.000	3,219.95	Various	1,860.00	...	1,359.95	Total of 2 transactions
TWTR 02/05/2021 PUT \$54.00 / CUSIP: / Symbol:							
	7 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	8.000	7.98	02/04/21	520.03	...	-512.05	1 of 7 - Option sale to close-put <sup>25</sup>
	2.000	1.99	02/04/21	336.01	...	-334.02	2 of 7 - Option sale to close-put <sup>25</sup>
	4.000	3.99	02/04/21	260.02	...	-256.03	3 of 7 - Option sale to close-put <sup>25</sup>
	4.000	3.99	02/04/21	164.00	...	-160.01	4 of 7 - Option sale to close-put <sup>25</sup>
	2.000	1.99	02/04/21	82.00	...	-80.01	5 of 7 - Option sale to close-put <sup>25</sup>
	1.000	0.99	02/04/21	65.02	...	-64.03	6 of 7 - Option sale to close-put <sup>25</sup>
	1.000	1.00	02/04/21	65.02	...	-64.02	7 of 7 - Option sale to close-put <sup>25</sup>
02/05/21	22.000	21.93	Various	1,492.10	...	-1,470.17	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 02/05/2021 PUT \$54.00 / CUSIP: / Symbol: (cont'd)							
6 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	10.000	9.97	02/03/21	850.00	840.03 W	0.00	1 of 6 - Option sale to close-put <sup>25</sup>
	10.000	9.98	02/04/21	1,440.03	286.01 W	-1,144.04	2 of 6 - Option sale to close-put <sup>25</sup>
	1.000	0.98	02/04/21	41.00	40.02 W	0.00	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	0.98	02/04/21	41.00	40.02 W	0.00	4 of 6 - Option sale to close-put <sup>25</sup>
	4.000	3.98	02/04/21	164.00	160.02 W	0.00	5 of 6 - Option sale to close-put <sup>25</sup>
	8.000	7.97	02/04/21	328.00	320.03 W	0.00	6 of 6 - Option sale to close-put <sup>25</sup>
02/05/21	34.000	33.86	Various	2,864.03	1,686.13 W	-1,144.04	Total of 6 transactions
	<b>Security total:</b>	<b>55.79</b>		<b>4,356.13</b>	<b>1,686.13 W</b>	<b>-2,614.21</b>	
TWTR 02/12/2021 PUT \$61.00 / CUSIP: / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	1.000	4.98	02/10/21	16.00	...	-11.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	4.98	02/10/21	16.00	...	-11.02	2 of 2 - Option sale to close-put <sup>25</sup>
02/11/21	2.000	9.96	Various	32.00	...	-22.04	Total of 2 transactions
TWTR 02/12/2021 PUT \$66.00 / CUSIP: / Symbol:							
02/11/21	15.000	629.95	02/10/21	2,040.00	...	-1,410.05	Option sale to close-put <sup>25</sup>
TWTR 02/12/2021 PUT \$67.00 / CUSIP: / Symbol:							
6 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	3.000	8.98	02/11/21	243.00	...	-234.02	1 of 6 - Option sale to close-put <sup>25</sup>
	3.000	8.98	02/11/21	243.00	...	-234.02	2 of 6 - Option sale to close-put <sup>25</sup>
	2.000	5.99	02/11/21	162.00	...	-156.01	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	2.98	02/11/21	131.00	...	-128.02	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	2.98	02/11/21	131.00	...	-128.02	5 of 6 - Option sale to close-put <sup>25</sup>
	1.000	2.99	02/11/21	131.01	...	-128.02	6 of 6 - Option sale to close-put <sup>25</sup>
02/12/21	11.000	32.90	Various	1,041.01	...	-1,008.11	Total of 6 transactions
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	5.000	14.99	02/11/21	405.00	78.00 W	-312.01	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	5.99	02/11/21	162.00	156.01 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
02/12/21	7.000	20.98	Various	567.00	234.01 W	-312.01	Total of 2 transactions
	<b>Security total:</b>	<b>53.88</b>		<b>1,608.01</b>	<b>234.01 W</b>	<b>-1,320.12</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 02/19/2021 PUT \$74.00 / CUSIP: / Symbol:							
3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	1.000	275.99	02/16/21	141.00	...	134.99	1 of 3 - Option sale to close-put <sup>25</sup>
	3.000	851.97	02/16/21	399.00	...	452.97	2 of 3 - Option sale to close-put <sup>25</sup>
	4.000	1,103.96	02/16/21	532.00	...	571.96	3 of 3 - Option sale to close-put <sup>25</sup>
02/17/21	8.000	2,231.92	Various	1,072.00	...	1,159.92	Total of 3 transactions
UWMC 04/16/2021 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	14.000	363.97	03/26/21	364.00	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	6.000	155.98	03/26/21	156.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/29/21	20.000	519.95	Various	520.00	...	-0.05	Total of 2 transactions
V 01/29/2021 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	4.000	1,439.95	01/27/21	712.00	...	727.95	1 of 2 - Option sale to close-call <sup>25</sup>
	6.000	2,129.94	01/27/21	1,068.00	...	1,061.94	2 of 2 - Option sale to close-call <sup>25</sup>
01/28/21	10.000	3,569.89	Various	1,780.00	...	1,789.89	Total of 2 transactions
V 01/29/2021 CALL \$207.50 / CUSIP: / Symbol:							
01/28/21	1.000	114.98	01/27/21	71.00	...	43.98	Option sale to close-call <sup>25</sup>
V 01/29/2021 CALL \$210.00 / CUSIP: / Symbol:							
01/26/21	5.000	599.97	01/26/21	500.00	...	99.97	Option sale to close-call <sup>25</sup>
VBIV 12/17/2021 CALL \$3.50 / CUSIP: / Symbol:							
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	40.000	799.91	11/11/21	920.00	...	-120.09	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	60.00	11/11/21	66.00	...	-6.00	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	79.99	11/11/21	76.00	...	3.99	3 of 3 - Option sale to close-call <sup>25</sup>
11/12/21	47.000	939.90	Various	1,062.00	...	-122.10	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VGAC 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
6 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	4.000	379.98	06/09/21	280.00	...	99.98	1 of 6 - Option sale to close-call <sup>25</sup>
	5.000	499.98	06/09/21	375.00	...	124.98	2 of 6 - Option sale to close-call <sup>25</sup>
	6.000	569.98	06/09/21	420.00	...	149.98	3 of 6 - Option sale to close-call <sup>25</sup>
	5.000	529.98	06/09/21	375.00	...	154.98	4 of 6 - Option sale to close-call <sup>25</sup>
	9.000	854.98	06/09/21	675.00	...	179.98	5 of 6 - Option sale to close-call <sup>25</sup>
	20.000	1,899.95	06/09/21	1,500.00	...	399.95	6 of 6 - Option sale to close-call <sup>25</sup>
06/10/21	49.000	4,734.85	Various	3,625.00	...	1,109.85	Total of 6 transactions
WISH 03/19/2021 CALL \$17.50 / CUSIP: / Symbol:							
03/08/21	6.000	1,049.98	03/05/21	948.00	...	101.98	Option sale to close-call <sup>25</sup>
WKHS 02/12/2021 CALL \$43.00 / CUSIP: / Symbol:							
4 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	1.000	126.98	02/09/21	67.00	...	59.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	126.99	02/09/21	67.00	...	59.99	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	699.97	02/09/21	355.00	...	344.97	3 of 4 - Option sale to close-call <sup>25</sup>
	8.000	1,015.96	02/09/21	568.00	...	447.96	4 of 4 - Option sale to close-call <sup>25</sup>
02/10/21	15.000	1,969.90	Various	1,057.00	...	912.90	Total of 4 transactions
WU 03/19/2021 CALL \$26.00 / CUSIP: / Symbol:							
6 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	8.000	39.98	03/11/21	40.02	...	-0.04	1 of 6 - Option sale to close-call <sup>25</sup>
	17.000	84.96	03/11/21	85.00	...	-0.04	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	14.99	03/11/21	15.02	...	-0.03	3 of 6 - Option sale to close-call <sup>25</sup>
	15.000	74.97	03/11/21	75.00	...	-0.03	4 of 6 - Option sale to close-call <sup>25</sup>
	12.000	59.98	03/11/21	60.00	...	-0.02	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	10.00	03/11/21	10.01	...	-0.01	6 of 6 - Option sale to close-call <sup>25</sup>
03/12/21	57.000	284.88	Various	285.05	...	-0.17	Total of 6 transactions
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	3.000	14.98	03/11/21	15.00	0.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	49.97	03/11/21	50.00	0.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/12/21	13.000	64.95	Various	65.00	0.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>349.83</b>		<b>350.05</b>	<b>0.05 W</b>	<b>-0.17</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
YSG 04/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
03/22/21	26.000	2,599.93	03/19/21	3,120.00	...	-520.07	Option sale to close-call <sup>25</sup>
YSG 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	10.000	1,079.97	05/18/21	1,350.00	...	-270.03	1 of 2 - Option sale to close-call <sup>25</sup>
	15.000	1,619.96	05/19/21	1,275.00	...	344.96	2 of 2 - Option sale to close-call <sup>25</sup>
05/19/21	25.000	2,699.93	Various	2,625.00	...	74.93	Total of 2 transactions
ZM 02/19/2021 CALL \$430.00 / CUSIP: / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	1,019.97	02/08/21	1,070.04	...	-50.07	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,019.97	02/08/21	1,000.00	...	19.97	2 of 2 - Option sale to close-call <sup>25</sup>
02/09/21	2.000	2,039.94	Various	2,070.04	...	-30.10	Total of 2 transactions
02/09/21	1.000	929.96	02/08/21	1,000.00	70.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,969.90</b>		<b>3,070.04</b>	<b>70.04 W</b>	<b>-30.10</b>	
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
3 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	2.471	22.51	11/05/20	13.20	...	9.31	1 of 3 - Sale <sup>25</sup>
	2.222	20.25	11/25/20	15.00	...	5.25	2 of 3 - Sale <sup>25</sup>
	1.825	16.62	11/30/20	15.00	...	1.62	3 of 3 - Sale <sup>25</sup>
01/07/21	6.518	59.38	Various	43.20	...	16.18	Total of 3 transactions
APEX TECHNOLOGY ACQUISITION CO RPORATION CLASS A COMMON STOCK / CUSIP: 03768F102 / Symbol:							
03/15/21	6.000	77.47	03/12/21	76.20	...	1.27	Sale <sup>25</sup>
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	3.000	381.21	05/25/21	381.05	...	0.16	1 of 2 - Sale <sup>25</sup>
	11.000	1,397.78	05/26/21	1,395.57	...	2.21	2 of 2 - Sale <sup>25</sup>
05/27/21	14.000	1,778.99	Various	1,776.62	...	2.37	Total of 2 transactions
APTOSE BIOSCIENCES, INC. COMMO N SHARES / CUSIP: 03835T200 / Symbol:							
11/15/21	23.000	59.86	11/12/21	60.38	...	-0.52	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	50.000	350.50	01/25/21	310.10	...	40.40	1 of 2 - Sale <sup>25</sup>
	450.000	3,152.18	01/25/21	2,790.90	...	361.28	2 of 2 - Sale <sup>25</sup>
01/26/21	500.000	3,502.68	Various	3,101.00	...	401.68	Total of 2 transactions
02/04/21	250.000	1,767.43	02/03/21	1,764.33	...	3.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,270.11</b>		<b>4,865.33</b>	...	<b>404.78</b>	
BLUEPRINT MEDICINES CORPORATIO N COMMON STOCK / CUSIP: 09627Y109 / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	77.000	6,348.64	06/17/21	6,536.68	...	-188.04	1 of 2 - Sale <sup>25</sup>
	11.000	906.95	06/17/21	925.44	...	-18.49	2 of 2 - Sale <sup>25</sup>
06/18/21	88.000	7,255.59	Various	7,462.12	...	-206.53	Total of 2 transactions
HALL OF FAME RESORT & ENTERTAI NMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol:							
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	10.000	24.40	01/26/21	25.85	1.45 W	0.00	1 of 3 - Sale <sup>25</sup>
	17.000	41.48	01/26/21	44.12	2.64 W	0.00	2 of 3 - Sale <sup>25</sup>
	1,000.000	2,439.82	01/26/21	2,628.90	189.08 W	0.00	3 of 3 - Sale <sup>25</sup>
01/27/21	1,027.000	2,505.70	Various	2,698.87	193.17 W	0.00	Total of 3 transactions
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	473.000	1,139.89	01/28/21	1,120.96	...	18.93	1 of 2 - Sale <sup>25</sup>
	250.000	602.48	01/28/21	569.73	...	32.75	2 of 2 - Sale <sup>25</sup>
01/29/21	723.000	1,742.37	Various	1,690.69	...	51.68	Total of 2 transactions
3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	10.000	24.10	01/28/21	25.15	1.05 W	0.00	1 of 3 - Sale <sup>25</sup>
	17.000	40.97	01/28/21	42.93	1.96 W	0.00	2 of 3 - Sale <sup>25</sup>
	1,000.000	2,409.92	01/28/21	2,624.08	214.16 W	0.00	3 of 3 - Sale <sup>25</sup>
01/29/21	1,027.000	2,474.99	Various	2,692.16	217.17 W	0.00	Total of 3 transactions
5 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	1,000.000	2,469.92	02/02/21	2,674.16	...	-204.24	1 of 5 - Sale <sup>25</sup>
	17.000	41.99	02/02/21	43.78	...	-1.79	2 of 5 - Sale <sup>25</sup>
	10.000	24.70	02/02/21	25.65	...	-0.95	3 of 5 - Sale <sup>25</sup>
	473.000	1,168.27	02/02/21	1,163.58	...	4.69	4 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol: (cont'd)							
	1,000.000	2,469.93	02/02/21	2,430.00	...	39.93	5 of 5 - Sale <sup>25</sup>
02/03/21	2,500.000	6,174.81	Various	6,337.17	...	-162.36	Total of 5 transactions
	<b>Security total:</b>	<b>12,897.87</b>		<b>13,418.89</b>	<b>410.34 W</b>	<b>-110.68</b>	
NORTHERN STAR ACQUISITION CORP. / CUSIP: 665742102 / Symbol:							
3 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	14.000	141.82	05/26/21	146.30	...	-4.48	1 of 3 - Sale <sup>25</sup>
	37.000	374.80	05/27/21	372.96	...	1.84	2 of 3 - Sale <sup>25</sup>
	76.000	769.87	05/27/21	763.80	...	6.07	3 of 3 - Sale <sup>25</sup>
05/28/21	127.000	1,286.49	Various	1,283.06	...	3.43	Total of 3 transactions
PROVENTION BIO, INC. COMMON STOCK / CUSIP: 74374N102 / Symbol:							
06/28/21	13.000	118.95	06/25/21	116.55	...	2.40	Sale <sup>25</sup>
20 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	18.000	149.40	06/30/21	156.51	7.11 W	0.00	1 of 20 - Sale <sup>25</sup>
	58.000	481.39	06/30/21	493.00	11.61 W	0.00	2 of 20 - Sale <sup>25</sup>
	60.000	497.99	06/30/21	522.61	24.62 W	0.00	3 of 20 - Sale <sup>25</sup>
	82.000	680.58	06/30/21	713.83	33.25 W	0.00	4 of 20 - Sale <sup>25</sup>
	82.000	680.59	06/30/21	697.00	16.41 W	0.00	5 of 20 - Sale <sup>25</sup>
	100.000	829.98	06/30/21	849.50	19.52 W	0.00	6 of 20 - Sale <sup>25</sup>
	100.000	829.98	06/30/21	849.50	19.52 W	0.00	7 of 20 - Sale <sup>25</sup>
	100.000	829.98	06/30/21	850.00	20.02 W	0.00	8 of 20 - Sale <sup>25</sup>
	100.000	829.98	06/30/21	850.00	20.02 W	0.00	9 of 20 - Sale <sup>25</sup>
	100.000	829.98	06/30/21	850.00	20.02 W	0.00	10 of 20 - Sale <sup>25</sup>
	100.000	829.98	06/30/21	850.00	20.02 W	0.00	11 of 20 - Sale <sup>25</sup>
	100.000	829.98	06/30/21	850.00	20.02 W	0.00	12 of 20 - Sale <sup>25</sup>
	100.000	829.98	06/30/21	850.00	20.02 W	0.00	13 of 20 - Sale <sup>25</sup>
	100.000	829.98	06/30/21	850.00	20.02 W	0.00	14 of 20 - Sale <sup>25</sup>
	100.000	829.98	06/30/21	870.52	40.54 W	0.00	15 of 20 - Sale <sup>25</sup>
	100.000	829.99	06/30/21	850.00	20.01 W	0.00	16 of 20 - Sale <sup>25</sup>
	100.000	829.99	06/30/21	850.00	20.01 W	0.00	17 of 20 - Sale <sup>25</sup>
	100.000	829.99	06/30/21	850.00	20.01 W	0.00	18 of 20 - Sale <sup>25</sup>
	100.000	829.99	06/30/21	850.00	20.01 W	0.00	19 of 20 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROVENTION BIO, INC. COMMON ST OCK / CUSIP: 74374N102 / Symbol: (cont'd)							
	200.000	1,659.97	06/30/21	1,700.00	40.03 W	0.00	20 of 20 - Sale <sup>25</sup>
07/01/21	1,900.000	15,769.68	Various	16,202.47	432.79 W	0.00	Total of 20 transactions
	23 transactions for 07/02/21. Total proceeds and cost reported to the IRS.						
	100.000	840.08	07/01/21	856.97	...	-16.89	1 of 23 - Sale <sup>25</sup>
	82.000	688.87	07/01/21	702.72	...	-13.85	2 of 23 - Sale <sup>25</sup>
	60.000	504.05	07/01/21	514.48	...	-10.43	3 of 23 - Sale <sup>25</sup>
	18.000	151.21	07/01/21	154.07	...	-2.86	4 of 23 - Sale <sup>25</sup>
	42.000	352.84	07/01/21	351.31	...	1.53	5 of 23 - Sale <sup>25</sup>
	42.000	352.84	07/01/21	351.31	...	1.53	6 of 23 - Sale <sup>25</sup>
	42.000	352.84	07/01/21	351.31	...	1.53	7 of 23 - Sale <sup>25</sup>
	42.000	352.84	07/01/21	351.31	...	1.53	8 of 23 - Sale <sup>25</sup>
	58.000	487.25	07/01/21	485.14	...	2.11	9 of 23 - Sale <sup>25</sup>
	58.000	487.25	07/01/21	485.14	...	2.11	10 of 23 - Sale <sup>25</sup>
	58.000	487.25	07/01/21	485.14	...	2.11	11 of 23 - Sale <sup>25</sup>
	58.000	487.25	07/01/21	485.14	...	2.11	12 of 23 - Sale <sup>25</sup>
	58.000	487.25	07/01/21	485.14	...	2.11	13 of 23 - Sale <sup>25</sup>
	82.000	688.87	07/01/21	685.88	...	2.99	14 of 23 - Sale <sup>25</sup>
	100.000	840.08	07/01/21	836.45	...	3.63	15 of 23 - Sale <sup>25</sup>
	100.000	840.08	07/01/21	836.45	...	3.63	16 of 23 - Sale <sup>25</sup>
	100.000	840.08	07/01/21	836.44	...	3.64	17 of 23 - Sale <sup>25</sup>
	100.000	840.08	07/01/21	836.44	...	3.64	18 of 23 - Sale <sup>25</sup>
	100.000	840.08	07/01/21	836.44	...	3.64	19 of 23 - Sale <sup>25</sup>
	100.000	840.08	07/01/21	836.44	...	3.64	20 of 23 - Sale <sup>25</sup>
	100.000	840.09	07/01/21	836.45	...	3.64	21 of 23 - Sale <sup>25</sup>
	140.000	1,176.12	07/01/21	1,171.02	...	5.10	22 of 23 - Sale <sup>25</sup>
	260.000	2,184.21	07/01/21	2,122.72	...	61.49	23 of 23 - Sale <sup>25</sup>
07/02/21	1,900.000	15,961.59	Various	15,893.91	...	67.68	Total of 23 transactions
	<b>Security total:</b>	<b>31,850.22</b>		<b>32,212.93</b>	<b>432.79 W</b>	<b>70.08</b>	
ROMEO POWER, INC. / CUSIP: 776153108 / Symbol:							
01/21/21	100.000	1,989.44	01/20/21	2,002.00	...	-12.56	Sale <sup>25</sup>
SPECTRUM PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 84763A108 / Symbol:							
05/12/21	600.000	1,933.24	05/12/21	1,920.00	...	13.24	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 648963551

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
01/26/21	50.000	29.62	01/25/21	29.84	...	-0.22	Sale <sup>25</sup>
TRUECAR, INC. COMMON STOCK / CUSIP: 89785L107 / Symbol:							
06/25/21	2,000.000	11,523.50	06/24/21	11,699.80	...	-176.30	Sale <sup>25</sup>
<b>Totals :</b>		<b>353,305.15</b>		<b>404,747.33</b>	<b>25,552.09 W</b>	<b>-25,890.09</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Account 648963551</b>
<b>2021</b>	<b>02/11/2022</b>
<b>Detail for Miscellaneous Information</b>	

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
		04/07/21	25.00	Other income	
			<b>25.00</b>	<b>Total Miscellaneous income</b>	

Robinhood Securities LLC

Account 648963551

**Fees and Expenses**

2021

02/11/2022

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/04/21	-5.00	Margin interest paid	
		03/08/21	-5.00	Margin interest paid	
		04/05/21	-5.00	Margin interest paid	
		05/05/21	-5.00	Margin interest paid	
		06/04/21	-5.00	Margin interest paid	
		07/06/21	-5.00	Margin interest paid	
			-30.00	Total Margin interest paid	
Margin charge		11/08/21	-5.00	Margin interest paid	
			<b>-35.00</b>	<b>Total Margin interest paid</b>	

25 Tax lot closed on a first in first out basis.

---

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 15-17.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.