

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 590208732	Statement Date: 02/11/2022 Document ID: 702Y 678 20W1	2021
	Rajkumar Gouda 1225 Meadow Creek Drive Apt G Irving, TX 75038 RECIPIENT'S TIN: XXX-XX-5683		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.08</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	897,995.70	998,199.11	0.00	32,001.38	-68,202.03
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	1.53	2.20	0.00	0.00	-0.67
	Total Short-term	897,997.23	998,201.31	0.00	32,001.38	-68,202.70
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	897,997.23	998,201.31	0.00	32,001.38	-68,202.70
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	90.64
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Loss not reported (Z)	Additional information
ADAMIS PHARMACEUTICALS CORPORA TION COMMON STOCK / CUSIP: 00547W208 / Symbol:								
03/02/21	1.000	1.13	01/22/21	2.34	...		-1.21	Sale ²⁵
AETERNA ZENTARIS INC. COMMON S TOCK / CUSIP: 007975402 / Symbol:								
03/02/21	1.000	1.14	07/06/20	0.41	...		0.73	Sale ²⁵
03/02/21	248.000	283.69	02/08/21	694.40	410.71 W		0.00	Sale ²⁵
03/03/21	190.000	201.59	02/08/21	532.00	264.33 W		-66.08	Sale ²⁵
03/04/21	184.000	177.11	02/08/21	515.20	...		-338.09	Sale ²⁵
03/05/21	395.000	331.83	02/08/21	1,106.00	...		-774.17	Sale ²⁵
04/14/21	52.000	50.91	02/08/21	145.60	...		-94.69	Sale ²⁵
04/23/21	204.000	195.62	02/08/21	571.20	...		-375.58	Sale ²⁵
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.								
	400.000	242.95	02/08/21	1,120.00	877.05 W		0.00	1 of 2 - Sale ²⁵
	100.000	60.74	02/08/21	280.00	219.26 W		0.00	2 of 2 - Sale ²⁵
10/27/21	500.000	303.69	Various	1,400.00	1,096.31 W		0.00	Total of 2 transactions

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Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AETERNA ZENTARIS INC. COMMON S TOCK / CUSIP: 007975402 / Symbol: (cont'd)							
8 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	248.000	151.27	02/18/21	757.91	...	-606.64	1 of 8 - Sale ²⁵
	66.000	40.26	02/18/21	207.17	...	-166.91	2 of 8 - Sale ²⁵
	207.000	126.26	10/25/21	576.00	...	-449.74	3 of 8 - Sale ²⁵
	204.000	124.44	10/25/21	567.12	...	-442.68	4 of 8 - Sale ²⁵
	193.000	117.73	10/25/21	537.05	...	-419.32	5 of 8 - Sale ²⁵
	100.000	61.00	10/25/21	278.26	...	-217.26	6 of 8 - Sale ²⁵
	86.000	52.46	10/25/21	268.24	...	-215.78	7 of 8 - Sale ²⁵
	773.000	471.51	10/25/21	456.07	...	15.44	8 of 8 - Sale ²⁵
11/02/21	1,877.000	1,144.93	Various	3,647.82	...	-2,502.89	Total of 8 transactions
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	204.000	124.44	02/08/21	571.20	446.76 W	0.00	1 of 2 - Sale ²⁵
	86.000	52.46	02/18/21	269.96	217.50 W	0.00	2 of 2 - Sale ²⁵
11/02/21	290.000	176.90	Various	841.16	664.26 W	0.00	Total of 2 transactions
	Security total:	2,867.41		9,453.79	2,435.61 W	-4,150.77	
AETHLON MEDICAL, INC. COMMON S TOCK / CUSIP: 00808Y307 / Symbol:							
03/02/21	1.000	2.30	06/18/20	3.14	...	-0.84	Sale ²⁵
ALZAMEND NEURO, INC. COMMON ST OCK / CUSIP: 02262M308 / Symbol:							
2 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	967.000	2,427.04	06/15/21	12,571.00	...	-10,143.96	1 of 2 - Sale ²⁵
	373.000	936.18	06/15/21	4,849.00	...	-3,912.82	2 of 2 - Sale ²⁵
09/24/21	1,340.000	3,363.22	Various	17,420.00	...	-14,056.78	Total of 2 transactions
AMERICAN VIRTUAL CLOUD TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 030382105 / Symbol:							
02/03/21	1.000	8.10	06/02/20	1.83	...	6.27	Sale ²⁵
ASSERTIO HOLDINGS, INC. COMMON STOCK / CUSIP: 04546C205 / Symbol:							
6 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	71.000	129.21	05/26/21	127.80	...	1.41	1 of 6 - Sale ²⁵
	219.000	398.55	05/26/21	394.20	...	4.35	2 of 6 - Sale ²⁵
	300.000	545.96	05/26/21	540.00	...	5.96	3 of 6 - Sale ²⁵
	300.000	545.96	05/26/21	540.00	...	5.96	4 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASSERTIO HOLDINGS, INC. COMMON STOCK / CUSIP: 04546C205 / Symbol: (cont'd)							
	300.000	545.96	05/26/21	540.00	...	5.96	5 of 6 - Sale ²⁵
	300.000	545.96	05/26/21	540.00	...	5.96	6 of 6 - Sale ²⁵
05/27/21	1,490.000	2,711.60	Various	2,682.00	...	29.60	Total of 6 transactions
MICROSECTORS US BIG OIL -3X IN VERSE LEVERAGED ETN / CUSIP: 063679724 / Symbol:							
03/02/21	1.000	2.13	06/05/20	14.25	...	-12.12	Sale ²⁵
MICROSECTORSTM U.S. BIG BANKS INDEX -3X INVERSE LEVERAGED ET NS / CUSIP: 063679781 / Symbol:							
03/02/21	1.000	2.10	06/05/20	11.37	...	-9.27	Sale ²⁵
BELLUS HEALTH INC. COMMON SHAR ES / CUSIP: 07987C204 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.698	6.27	07/06/20	10.45	...	-4.18	1 of 2 - Sale ²⁵
	0.043	0.16	07/06/20	0.26	...	-0.10	2 of 2 - Sale ²⁵
01/25/21	1.741	6.43	Various	10.71	...	-4.28	Total of 2 transactions
BENESSERE CAPITAL ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 08179B103 / Symbol:							
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	129.000	1,418.97	10/22/21	1,548.00	...	-129.03	1 of 2 - Sale ²⁵
	33.000	363.00	10/22/21	429.01	...	-66.01	2 of 2 - Sale ²⁵
10/27/21	162.000	1,781.97	Various	1,977.01	...	-195.04	Total of 2 transactions
10/27/21	171.000	1,880.97	10/22/21	2,052.00	33.01 W	-138.02	Sale ²⁵
	Security total:	3,662.94		4,029.01	33.01 W	-333.06	
BSQUARE CORPORATION COMMON STO CK / CUSIP: 11776U300 / Symbol:							
3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	491.000	4,909.83	02/09/21	4,910.00	...	-0.17	1 of 3 - Sale ²⁵
	100.000	999.96	02/09/21	1,000.00	...	-0.04	2 of 3 - Sale ²⁵
	89.000	889.97	02/09/21	890.00	...	-0.03	3 of 3 - Sale ²⁵
02/10/21	680.000	6,799.76	Various	6,800.00	...	-0.24	Total of 3 transactions
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
03/02/21	1.000	1.44	04/29/20	1.76	...	-0.32	Sale ²⁵
11/03/21	0.597	0.74	10/08/21	1.00	...	-0.26	Sale ²⁵
	Security total:	2.18		2.76	...	-0.58	

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Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CELSION CORPORATION COMMON STO CK / CUSIP: 15117N503 / Symbol:							
7 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	70.000	123.89	01/20/21	74.90	...	48.99	1 of 7 - Sale ²⁵
	100.000	176.98	01/20/21	115.00	...	61.98	2 of 7 - Sale ²⁵
	200.000	353.97	01/20/21	230.00	...	123.97	3 of 7 - Sale ²⁵
	416.000	736.25	01/20/21	478.40	...	257.85	4 of 7 - Sale ²⁵
	1,530.000	2,707.86	01/20/21	1,759.50	...	948.36	5 of 7 - Sale ²⁵
	4,684.000	8,289.94	01/20/21	5,386.60	...	2,903.34	6 of 7 - Sale ²⁵
	10,069.000	17,820.53	01/20/21	11,579.35	...	6,241.18	7 of 7 - Sale ²⁵
01/21/21	17,069.000	30,209.42	Various	19,623.75	...	10,585.67	Total of 7 transactions
5 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	1.000	1.43	01/20/21	1.15	...	0.28	1 of 5 - Sale ²⁵
	3,891.000	5,407.91	01/22/21	6,809.83	...	-1,401.92	2 of 5 - Sale ²⁵
	3,300.000	4,586.51	01/22/21	5,775.49	...	-1,188.98	3 of 5 - Sale ²⁵
	2,587.000	3,595.54	01/22/21	4,527.64	...	-932.10	4 of 5 - Sale ²⁵
	1.000	1.39	01/22/21	1.43	...	-0.04	5 of 5 - Sale ²⁵
01/22/21	9,780.000	13,592.78	Various	17,115.54	...	-3,522.76	Total of 5 transactions
15 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	500.000	714.92	01/21/21	875.00	160.08 W	0.00	1 of 15 - Sale ²⁵
	1,510.000	2,159.07	01/21/21	2,642.50	483.43 W	0.00	2 of 15 - Sale ²⁵
	1,000.000	1,429.85	01/21/21	1,750.00	320.15 W	0.00	3 of 15 - Sale ²⁵
	2,000.000	2,859.70	01/21/21	3,500.00	640.30 W	0.00	4 of 15 - Sale ²⁵
	100.000	142.98	01/21/21	175.00	32.02 W	0.00	5 of 15 - Sale ²⁵
	100.000	142.98	01/21/21	175.00	32.02 W	0.00	6 of 15 - Sale ²⁵
	12,289.000	17,571.43	01/21/21	21,505.75	3,934.32 W	0.00	7 of 15 - Sale ²⁵
	1.000	1.39	01/21/21	2.07	0.68 W	0.00	8 of 15 - Sale ²⁵
	2,511.000	3,489.91	01/22/21	4,394.63	176.91 W	-727.81	9 of 15 - Sale ²⁵
	100.000	138.99	01/22/21	175.02	36.03 W	0.00	10 of 15 - Sale ²⁵
	100.000	138.98	01/22/21	175.02	36.04 W	0.00	11 of 15 - Sale ²⁵
	499.000	693.54	01/22/21	873.33	179.79 W	0.00	12 of 15 - Sale ²⁵
	1,000.000	1,389.85	01/22/21	1,750.15	360.30 W	0.00	13 of 15 - Sale ²⁵
	1,510.000	2,098.67	01/22/21	2,642.73	544.06 W	0.00	14 of 15 - Sale ²⁵
	2,000.000	2,779.70	01/22/21	3,500.30	720.60 W	0.00	15 of 15 - Sale ²⁵
01/22/21	25,220.000	35,751.96	Various	44,136.50	7,656.73 W	-727.81	Total of 15 transactions

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CELSION CORPORATION COMMON STO CK / CUSIP: 15117N503 / Symbol: (cont'd)							
6 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	1.000	2.19	01/22/21	2.11	...	0.08	1 of 6 - Sale ²⁵
	1,510.000	3,307.30	02/11/21	4,696.56	...	-1,389.26	2 of 6 - Sale ²⁵
	912.000	1,997.53	02/11/21	2,836.59	...	-839.06	3 of 6 - Sale ²⁵
	499.000	1,092.94	02/11/21	1,552.04	...	-459.10	4 of 6 - Sale ²⁵
	203.000	453.68	02/11/21	631.39	...	-177.71	5 of 6 - Sale ²⁵
	88.000	196.67	02/11/21	273.71	...	-77.04	6 of 6 - Sale ²⁵
03/02/21	3,213.000	7,050.31	Various	9,992.40	...	-2,942.09	Total of 6 transactions
03/03/21	222.000	464.19	02/11/21	690.49	...	-226.30	Sale ²⁵
03/04/21	215.000	376.46	02/11/21	668.71	...	-292.25	Sale ²⁵
03/05/21	462.000	702.18	02/11/21	1,436.96	...	-734.78	Sale ²⁵
04/14/21	60.000	74.99	02/11/21	186.62	111.63 W	0.00	Sale ²⁵
04/23/21	717.000	839.72	02/11/21	2,230.08	1,390.36 W	0.00	Sale ²⁵
4 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	0.091	0.10	02/11/21	0.28	0.18 W	0.00	1 of 4 - Sale ²⁵
	100.000	110.12	02/11/21	311.03	200.91 W	0.00	2 of 4 - Sale ²⁵
	48.091	52.96	02/11/21	149.58	96.62 W	0.00	3 of 4 - Sale ²⁵
	120.909	133.14	02/11/21	376.07	242.93 W	0.00	4 of 4 - Sale ²⁵
05/05/21	269.091	296.32	Various	836.96	540.64 W	0.00	Total of 4 transactions
2 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	51.909	54.24	02/11/21	161.46	107.22 W	0.00	1 of 2 - Sale ²⁵
	33.668	35.18	02/11/21	104.72	69.54 W	0.00	2 of 2 - Sale ²⁵
05/06/21	85.577	89.42	Various	266.18	176.76 W	0.00	Total of 2 transactions
05/12/21	119.811	127.21	02/11/21	372.65	245.44 W	0.00	Sale ²⁵
05/13/21	80.198	80.59	02/11/21	249.44	168.85 W	0.00	Sale ²⁵
12 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	257.323	295.89	02/11/21	800.35	...	-504.46	1 of 12 - Sale ²⁵
	717.000	824.46	04/19/21	2,179.06	...	-1,354.60	2 of 12 - Sale ²⁵
	119.811	137.77	04/19/21	377.23	...	-239.46	3 of 12 - Sale ²⁵
	120.909	139.03	04/19/21	375.93	...	-236.90	4 of 12 - Sale ²⁵
	100.000	114.99	04/19/21	310.91	...	-195.92	5 of 12 - Sale ²⁵
	80.198	92.22	04/19/21	257.07	...	-164.85	6 of 12 - Sale ²⁵
	60.000	68.99	04/19/21	177.63	...	-108.64	7 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CELSION CORPORATION COMMON STO CK / CUSIP: 15117N503 / Symbol: (cont'd)							
	51.909	59.69	04/19/21	164.32	...	-104.63	8 of 12 - Sale ²⁵
	48.091	55.30	04/19/21	149.52	...	-94.22	9 of 12 - Sale ²⁵
	33.668	38.71	04/19/21	106.57	...	-67.86	10 of 12 - Sale ²⁵
	0.091	0.10	04/19/21	0.28	...	-0.18	11 of 12 - Sale ²⁵
	1,743.000	2,004.23	04/19/21	1,917.30	...	86.93	12 of 12 - Sale ²⁵
05/21/21	3,332.000	3,831.38	Various	6,816.17	...	-2,984.79	Total of 12 transactions
11/03/21	0.323	0.30	04/19/21	0.36	...	-0.06	Sale ²⁵
	Security total:	93,487.23		104,622.81	10,290.41 W	-845.17	
CHEMBIO DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 163572209 / Symbol:							
01/07/21	1.000	5.18	06/17/20	4.24	...	0.94	Sale ²⁵
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	0.680	7.37	04/20/20	23.03	...	-15.66	1 of 3 - Sale ²⁵
	0.200	2.17	04/20/20	6.78	...	-4.61	2 of 3 - Sale ²⁵
	0.120	1.30	04/20/20	4.08	...	-2.78	3 of 3 - Sale ²⁵
01/28/21	1.000	10.84	Various	33.89	...	-23.05	Total of 3 transactions
1847 GOEDEKER INC. / CUSIP: 28252C109 / Symbol:							
23 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	100.000	189.99	05/28/21	180.00	...	9.99	1 of 23 - Sale ²⁵
	100.000	189.99	05/28/21	180.00	...	9.99	2 of 23 - Sale ²⁵
	100.000	189.99	05/28/21	180.00	...	9.99	3 of 23 - Sale ²⁵
	100.000	189.99	05/28/21	180.00	...	9.99	4 of 23 - Sale ²⁵
	100.000	189.99	05/28/21	180.00	...	9.99	5 of 23 - Sale ²⁵
	100.000	189.99	05/28/21	179.50	...	10.49	6 of 23 - Sale ²⁵
	100.000	189.99	05/28/21	179.50	...	10.49	7 of 23 - Sale ²⁵
	100.000	189.99	05/28/21	179.50	...	10.49	8 of 23 - Sale ²⁵
	100.000	189.99	05/28/21	179.50	...	10.49	9 of 23 - Sale ²⁵
	200.000	379.97	05/28/21	360.00	...	19.97	10 of 23 - Sale ²⁵
	300.000	569.96	05/28/21	538.50	...	31.46	11 of 23 - Sale ²⁵
	300.000	569.96	05/28/21	538.50	...	31.46	12 of 23 - Sale ²⁵
	300.000	569.96	05/28/21	538.50	...	31.46	13 of 23 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1847 GOEDEKER INC. / CUSIP: 28252C109 / Symbol: (cont'd)							
	300.000	569.96	05/28/21	538.50	...	31.46	14 of 23 - Sale ²⁵
	400.000	759.95	05/28/21	718.00	...	41.95	15 of 23 - Sale ²⁵
	400.000	759.95	05/28/21	718.00	...	41.95	16 of 23 - Sale ²⁵
	600.000	1,139.92	05/28/21	1,080.00	...	59.92	17 of 23 - Sale ²⁵
	600.000	1,139.92	05/28/21	1,080.00	...	59.92	18 of 23 - Sale ²⁵
	816.000	1,550.29	05/28/21	1,468.80	...	81.49	19 of 23 - Sale ²⁵
	1,400.000	2,659.82	05/28/21	2,520.00	...	139.82	20 of 23 - Sale ²⁵
	1,600.000	3,039.79	05/28/21	2,880.00	...	159.79	21 of 23 - Sale ²⁵
	2,284.000	4,339.30	05/28/21	4,111.20	...	228.10	22 of 23 - Sale ²⁵
	3,130.000	5,946.59	05/28/21	5,634.00	...	312.59	23 of 23 - Sale ²⁵
06/01/21	13,530.000	25,705.25	Various	24,342.00	...	1,363.25	Total of 23 transactions
09/27/21	1.000	3.45	05/28/21	1.80	...	1.65	Sale ²⁵
	Security total:	25,708.70		24,343.80	...	1,364.90	
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol:							
11/02/21	0.473	0.98	09/27/21	1.00	...	-0.02	Sale ²⁵
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
	3 transactions for 05/28/21. Total proceeds and cost reported to the IRS.						
	19.000	104.50	05/27/21	95.00	...	9.50	1 of 3 - Sale ²⁵
	309.000	1,699.45	05/27/21	1,545.00	...	154.45	2 of 3 - Sale ²⁵
	1,880.000	10,339.71	05/27/21	9,400.00	...	939.71	3 of 3 - Sale ²⁵
05/28/21	2,208.000	12,143.66	Various	11,040.00	...	1,103.66	Total of 3 transactions
EYEGATE PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 30233M503 / Symbol:							
01/07/21	1.000	5.28	08/12/20	5.00	...	0.28	Sale ²⁵
FSD PHARMA INC. CLASS B SUBORD INATE VOTING SHARES / CUSIP: 35954B206 / Symbol:							
	6 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	45.000	199.79	02/09/21	135.00	...	64.79	1 of 6 - Sale ²⁵
	81.000	359.62	02/09/21	243.00	...	116.62	2 of 6 - Sale ²⁵
	300.000	1,331.93	02/09/21	900.00	...	431.93	3 of 6 - Sale ²⁵
	300.000	1,331.93	02/09/21	900.00	...	431.93	4 of 6 - Sale ²⁵
	662.000	2,939.14	02/09/21	1,986.00	...	953.14	5 of 6 - Sale ²⁵

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Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FSD PHARMA INC. CLASS B SUBORD INATE VOTING SHARES / CUSIP: 35954B206 / Symbol: (cont'd)							
	1,911.000	8,484.43	02/09/21	5,733.00	...	2,751.43	6 of 6 - Sale ²⁵
02/11/21	3,299.000	14,646.84	Various	9,897.00	...	4,749.84	Total of 6 transactions
03/02/21	1.000	2.13	02/09/21	3.00	...	-0.87	Sale ²⁵
	Security total:	14,648.97		9,900.00	...	4,748.97	
GENESIS ENERGY, L.P. / CUSIP: 371927104 / Symbol:							
01/07/21	1.000	6.84	04/22/20	4.56	...	2.28	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
03/03/21	1.000	1.62	06/05/20	6.00	...	-4.38	Sale ²⁵
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
02/03/21	1.000	11.89	11/23/20	1.53	...	10.36	Sale ²⁵
GROM SOCIAL ENTERPRISES INC. C OMMON STOCK / CUSIP: 39878L308 / Symbol:							
10/06/21	2,710.000	9,484.63	09/29/21	9,485.00	...	-0.37	Sale ²⁵
IFRESH INC COMMON STOCK / CUSIP: 449538107 / Symbol:							
5 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	1,103.000	1,323.46	11/10/21	1,323.60	...	-0.14	1 of 5 - Sale ²⁵
	143.000	171.58	11/10/21	171.64	...	-0.06	2 of 5 - Sale ²⁵
	93.000	111.59	11/10/21	111.61	...	-0.02	3 of 5 - Sale ²⁵
	97.000	116.39	11/10/21	116.41	...	-0.02	4 of 5 - Sale ²⁵
	3.000	3.60	11/10/21	3.60	...	0.00	5 of 5 - Sale ²⁵
11/11/21	1,439.000	1,726.62	Various	1,726.86	...	-0.24	Total of 5 transactions
4 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	204.000	244.77	11/10/21	244.83	0.04 W	-0.02	1 of 4 - Sale ²⁵
	204.000	244.77	11/10/21	244.80	0.03 W	0.00	2 of 4 - Sale ²⁵
	100.000	119.99	11/10/21	120.00	0.01 W	0.00	3 of 4 - Sale ²⁵
	93.000	111.59	11/10/21	111.60	0.01 W	0.00	4 of 4 - Sale ²⁵
11/11/21	601.000	721.12	Various	721.23	0.09 W	-0.02	Total of 4 transactions
	Security total:	2,447.74		2,448.09	0.09 W	-0.26	
IMPAC MORTGAGE HOLDINGS INC. / CUSIP: 45254P508 / Symbol:							
02/24/21	1.000	3.32	06/09/20	2.61	...	0.71	Sale ²⁵

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(continued)

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INTERNATIONAL CONSOLIDATED AIR LINES GROUP SA SPONSORED AMERI CAN DEPOSITORY RECEIPT (SPAIN) / CUSIP: 459348108 / Symbol:							
02/24/21	1.000	5.39	04/30/20	5.57	...	-0.18	Sale ²⁵
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
02/24/21	1.000	3.92	05/01/20	2.44	...	1.48	Sale ²⁵
INVIVO THERAPEUTICS HOLDINGS C ORP COMMON STOCK / CUSIP: 46186M506 / Symbol:							
03/01/21	10.000	12.00	10/22/20	6.17	...	5.83	Sale ²⁵
IZEA WORLDWIDE, INC. COMMON ST OCK / CUSIP: 46604H105 / Symbol:							
01/07/21	1.000	1.82	06/12/20	1.67	...	0.15	Sale ²⁵
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
26 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	1.000	2.08	12/24/20	0.75	...	1.33	1 of 26 - Sale ²⁵
	4.000	8.32	01/05/21	8.29	...	0.03	2 of 26 - Sale ²⁵
	4.000	8.32	01/05/21	8.29	...	0.03	3 of 26 - Sale ²⁵
	4.000	8.32	01/05/21	8.29	...	0.03	4 of 26 - Sale ²⁵
	20.000	41.60	01/05/21	41.43	...	0.17	5 of 26 - Sale ²⁵
	28.000	58.24	01/05/21	58.07	...	0.17	6 of 26 - Sale ²⁵
	25.000	52.00	01/05/21	51.80	...	0.20	7 of 26 - Sale ²⁵
	32.000	66.55	01/05/21	66.35	...	0.20	8 of 26 - Sale ²⁵
	24.000	49.92	01/05/21	49.71	...	0.21	9 of 26 - Sale ²⁵
	4.000	8.32	01/05/21	8.04	...	0.28	10 of 26 - Sale ²⁵
	50.000	103.99	01/05/21	103.67	...	0.32	11 of 26 - Sale ²⁵
	59.000	122.71	01/05/21	122.33	...	0.38	12 of 26 - Sale ²⁵
	68.000	141.43	01/05/21	141.00	...	0.43	13 of 26 - Sale ²⁵
	96.000	199.66	01/05/21	198.85	...	0.81	14 of 26 - Sale ²⁵
	96.000	199.66	01/05/21	198.84	...	0.82	15 of 26 - Sale ²⁵
	104.000	216.30	01/05/21	215.42	...	0.88	16 of 26 - Sale ²⁵
	104.000	216.30	01/05/21	215.42	...	0.88	17 of 26 - Sale ²⁵
	196.000	407.65	01/05/21	406.40	...	1.25	18 of 26 - Sale ²⁵
	180.000	374.37	01/05/21	372.83	...	1.54	19 of 26 - Sale ²⁵
	34.000	70.71	01/05/21	68.31	...	2.40	20 of 26 - Sale ²⁵
	79.000	164.35	01/05/21	161.64	...	2.71	21 of 26 - Sale ²⁵
	39.000	81.12	01/05/21	78.00	...	3.12	22 of 26 - Sale ²⁵

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JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol: (cont'd)							
	100.000	208.04	01/05/21	204.61	...	3.43	23 of 26 - Sale ²⁵
	113.000	235.09	01/05/21	231.21	...	3.88	24 of 26 - Sale ²⁵
	115.000	239.18	01/05/21	231.18	...	8.00	25 of 26 - Sale ²⁵
	300.000	624.13	01/05/21	613.82	...	10.31	26 of 26 - Sale ²⁵
01/06/21	1,879.000	3,908.36	Various	3,864.55	...	43.81	Total of 26 transactions
	55 transactions for 01/06/21. Total proceeds and cost reported to the IRS.						
	200.000	416.09	01/05/21	430.35	14.26 W	0.00	1 of 55 - Sale ²⁵
	124.000	257.97	01/05/21	266.82	8.85 W	0.00	2 of 55 - Sale ²⁵
	276.000	574.20	01/05/21	593.88	19.68 W	0.00	3 of 55 - Sale ²⁵
	25.000	52.01	01/05/21	53.81	1.80 W	0.00	4 of 55 - Sale ²⁵
	28.000	58.25	01/05/21	60.25	2.00 W	0.00	5 of 55 - Sale ²⁵
	34.000	70.73	01/05/21	71.04	0.31 W	0.00	6 of 55 - Sale ²⁵
	2.000	4.16	01/05/21	4.43	0.27 W	0.00	7 of 55 - Sale ²⁵
	119.000	247.57	01/05/21	248.79	1.22 W	0.00	8 of 55 - Sale ²⁵
	118.000	245.49	01/05/21	261.45	15.96 W	0.00	9 of 55 - Sale ²⁵
	32.000	66.57	01/05/21	68.92	2.35 W	0.00	10 of 55 - Sale ²⁵
	50.000	104.02	01/05/21	107.69	3.67 W	0.00	11 of 55 - Sale ²⁵
	32.000	66.57	01/05/21	68.93	2.36 W	0.00	12 of 55 - Sale ²⁵
	68.000	141.47	01/05/21	146.47	5.00 W	0.00	13 of 55 - Sale ²⁵
	200.000	416.09	01/05/21	430.78	14.69 W	0.00	14 of 55 - Sale ²⁵
	59.000	122.75	01/05/21	127.08	4.33 W	0.00	15 of 55 - Sale ²⁵
	141.000	293.34	01/05/21	309.59	16.25 W	0.00	16 of 55 - Sale ²⁵
	100.000	208.04	01/05/21	219.56	11.52 W	0.00	17 of 55 - Sale ²⁵
	200.000	416.09	01/05/21	439.13	23.04 W	0.00	18 of 55 - Sale ²⁵
	932.000	1,938.97	01/05/21	2,046.34	107.37 W	0.00	19 of 55 - Sale ²⁵
	1.000	2.08	01/05/21	2.19	0.11 W	0.00	20 of 55 - Sale ²⁵
	269.000	559.64	01/05/21	590.83	31.19 W	0.00	21 of 55 - Sale ²⁵
	730.000	1,518.72	01/05/21	1,602.82	84.10 W	0.00	22 of 55 - Sale ²⁵
	100.000	208.04	01/05/21	219.64	11.60 W	0.00	23 of 55 - Sale ²⁵
	71.000	147.71	01/05/21	155.95	8.24 W	0.00	24 of 55 - Sale ²⁵
	496.000	1,031.91	01/05/21	1,089.42	57.51 W	0.00	25 of 55 - Sale ²⁵
	104.000	216.30	01/05/21	228.43	12.13 W	0.00	26 of 55 - Sale ²⁵
	47.000	97.75	01/05/21	103.23	5.48 W	0.00	27 of 55 - Sale ²⁵
	449.000	933.85	01/05/21	938.73	4.88 W	0.00	28 of 55 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol: (cont'd)							
	2.000	4.16	01/05/21	4.27	0.11 W	0.00	29 of 55 - Sale ²⁵
	96.000	199.66	01/05/21	204.98	5.32 W	0.00	30 of 55 - Sale ²⁵
	22.000	45.76	01/05/21	46.98	1.22 W	0.00	31 of 55 - Sale ²⁵
	37.000	76.95	01/05/21	78.26	1.31 W	0.00	32 of 55 - Sale ²⁵
	100.000	207.98	01/05/21	211.53	3.55 W	0.00	33 of 55 - Sale ²⁵
	4.000	8.32	01/05/21	8.46	0.14 W	0.00	34 of 55 - Sale ²⁵
	96.000	199.66	01/05/21	203.06	3.40 W	0.00	35 of 55 - Sale ²⁵
	100.000	207.98	01/05/21	211.52	3.54 W	0.00	36 of 55 - Sale ²⁵
	100.000	207.98	01/05/21	211.52	3.54 W	0.00	37 of 55 - Sale ²⁵
	4.000	8.32	01/05/21	8.46	0.14 W	0.00	38 of 55 - Sale ²⁵
	96.000	199.66	01/05/21	203.06	3.40 W	0.00	39 of 55 - Sale ²⁵
	200.000	415.97	01/05/21	423.04	7.07 W	0.00	40 of 55 - Sale ²⁵
	100.000	207.98	01/05/21	211.52	3.54 W	0.00	41 of 55 - Sale ²⁵
	500.000	1,039.92	01/05/21	1,057.60	17.68 W	0.00	42 of 55 - Sale ²⁵
	36.000	74.87	01/05/21	76.15	1.28 W	0.00	43 of 55 - Sale ²⁵
	164.000	341.10	01/05/21	346.89	5.79 W	0.00	44 of 55 - Sale ²⁵
	1.000	2.08	01/05/21	2.11	0.03 W	0.00	45 of 55 - Sale ²⁵
	7.000	14.56	01/05/21	14.81	0.25 W	0.00	46 of 55 - Sale ²⁵
	269.000	559.48	01/05/21	569.19	9.71 W	0.00	47 of 55 - Sale ²⁵
	566.000	1,177.19	01/05/21	1,197.21	20.02 W	0.00	48 of 55 - Sale ²⁵
	7.000	14.56	01/05/21	14.81	0.25 W	0.00	49 of 55 - Sale ²⁵
	93.000	193.43	01/05/21	196.79	3.36 W	0.00	50 of 55 - Sale ²⁵
	64.000	133.11	01/05/21	135.43	2.32 W	0.00	51 of 55 - Sale ²⁵
	136.000	282.86	01/05/21	287.77	4.91 W	0.00	52 of 55 - Sale ²⁵
	200.000	415.96	01/05/21	423.19	7.23 W	0.00	53 of 55 - Sale ²⁵
	100.000	207.98	01/05/21	211.59	3.61 W	0.00	54 of 55 - Sale ²⁵
	60.000	124.79	01/05/21	126.96	2.17 W	0.00	55 of 55 - Sale ²⁵
01/06/21	8,167.000	16,988.65	Various	17,573.71	585.06 W	0.00	Total of 55 transactions
	59 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	1.000	2.77	01/05/21	2.12	...	0.65	1 of 59 - Sale ²⁵
	154.000	426.55	02/04/21	591.52	...	-164.97	2 of 59 - Sale ²⁵
	146.000	404.39	02/04/21	560.80	...	-156.41	3 of 59 - Sale ²⁵
	139.000	385.00	02/04/21	537.31	...	-152.31	4 of 59 - Sale ²⁵
	103.000	285.29	02/04/21	406.52	...	-121.23	5 of 59 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol: (cont'd)							
	100.000	276.98	02/04/21	386.56	...	-109.58	6 of 59 - Sale ²⁵
	100.000	276.98	02/04/21	384.11	...	-107.13	7 of 59 - Sale ²⁵
	96.000	265.90	02/04/21	371.10	...	-105.20	8 of 59 - Sale ²⁵
	96.000	265.90	02/04/21	371.09	...	-105.19	9 of 59 - Sale ²⁵
	83.000	229.90	02/04/21	320.85	...	-90.95	10 of 59 - Sale ²⁵
	3,000.000	8,309.45	02/04/21	8,400.00	...	-90.55	11 of 59 - Sale ²⁵
	70.000	193.89	02/04/21	270.59	...	-76.70	12 of 59 - Sale ²⁵
	64.000	177.27	02/04/21	247.40	...	-70.13	13 of 59 - Sale ²⁵
	64.000	177.27	02/04/21	247.39	...	-70.12	14 of 59 - Sale ²⁵
	63.000	174.50	02/04/21	243.53	...	-69.03	15 of 59 - Sale ²⁵
	60.000	166.19	02/04/21	233.14	...	-66.95	16 of 59 - Sale ²⁵
	55.000	152.34	02/04/21	212.61	...	-60.27	17 of 59 - Sale ²⁵
	47.000	130.18	02/04/21	185.50	...	-55.32	18 of 59 - Sale ²⁵
	37.000	102.48	02/04/21	143.03	...	-40.55	19 of 59 - Sale ²⁵
	36.000	99.72	02/04/21	139.88	...	-40.16	20 of 59 - Sale ²⁵
	36.000	99.71	02/04/21	139.16	...	-39.45	21 of 59 - Sale ²⁵
	36.000	99.71	02/04/21	139.16	...	-39.45	22 of 59 - Sale ²⁵
	566.000	1,567.72	02/04/21	1,604.82	...	-37.10	23 of 59 - Sale ²⁵
	1,175.000	3,254.53	02/04/21	3,290.00	...	-35.47	24 of 59 - Sale ²⁵
	30.000	83.09	02/04/21	115.96	...	-32.87	25 of 59 - Sale ²⁵
	22.000	60.94	02/04/21	85.48	...	-24.54	26 of 59 - Sale ²⁵
	20.000	55.40	02/04/21	77.31	...	-21.91	27 of 59 - Sale ²⁵
	20.000	55.40	02/04/21	76.81	...	-21.41	28 of 59 - Sale ²⁵
	19.000	52.63	02/04/21	72.98	...	-20.35	29 of 59 - Sale ²⁵
	306.000	847.56	02/04/21	867.62	...	-20.06	30 of 59 - Sale ²⁵
	269.000	745.08	02/04/21	762.91	...	-17.83	31 of 59 - Sale ²⁵
	200.000	553.96	02/04/21	567.23	...	-13.27	32 of 59 - Sale ²⁵
	12.000	33.24	02/04/21	46.39	...	-13.15	33 of 59 - Sale ²⁵
	10.000	27.70	02/04/21	38.65	...	-10.95	34 of 59 - Sale ²⁵
	164.000	454.25	02/04/21	464.99	...	-10.74	35 of 59 - Sale ²⁵
	10.000	27.70	02/04/21	38.41	...	-10.71	36 of 59 - Sale ²⁵
	136.000	376.70	02/04/21	385.71	...	-9.01	37 of 59 - Sale ²⁵
	253.000	700.77	02/04/21	708.40	...	-7.63	38 of 59 - Sale ²⁵
	100.000	276.98	02/04/21	283.61	...	-6.63	39 of 59 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol: (cont'd)							
	6.000	16.62	02/04/21	23.19	...	-6.57	40 of 59 - Sale ²⁵
	93.000	257.59	02/04/21	263.76	...	-6.17	41 of 59 - Sale ²⁵
	200.000	553.96	02/04/21	560.00	...	-6.04	42 of 59 - Sale ²⁵
	5.000	13.85	02/04/21	19.33	...	-5.48	43 of 59 - Sale ²⁵
	4.000	11.08	02/04/21	15.46	...	-4.38	44 of 59 - Sale ²⁵
	4.000	11.08	02/04/21	15.46	...	-4.38	45 of 59 - Sale ²⁵
	64.000	177.27	02/04/21	181.52	...	-4.25	46 of 59 - Sale ²⁵
	60.000	166.19	02/04/21	170.17	...	-3.98	47 of 59 - Sale ²⁵
	100.000	276.99	02/04/21	280.00	...	-3.01	48 of 59 - Sale ²⁵
	36.000	99.71	02/04/21	102.08	...	-2.37	49 of 59 - Sale ²⁵
	2.000	5.54	02/04/21	7.77	...	-2.23	50 of 59 - Sale ²⁵
	1.000	2.77	02/04/21	3.87	...	-1.10	51 of 59 - Sale ²⁵
	7.000	19.39	02/04/21	19.85	...	-0.46	52 of 59 - Sale ²⁵
	7.000	19.39	02/04/21	19.85	...	-0.46	53 of 59 - Sale ²⁵
	5.000	13.85	02/04/21	14.00	...	-0.15	54 of 59 - Sale ²⁵
	3.000	8.31	02/04/21	8.40	...	-0.09	55 of 59 - Sale ²⁵
	1.000	2.77	02/04/21	2.83	...	-0.06	56 of 59 - Sale ²⁵
	2.000	5.54	02/04/21	5.60	...	-0.06	57 of 59 - Sale ²⁵
	1.000	2.77	02/04/21	2.80	...	-0.03	58 of 59 - Sale ²⁵
	1.000	2.77	02/04/21	2.80	...	-0.03	59 of 59 - Sale ²⁵
02/04/21	8,500.000	23,543.46	Various	25,739.39	...	-2,195.93	Total of 59 transactions
	29 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	47.000	130.18	01/14/21	184.08	53.90 W	0.00	1 of 29 - Sale ²⁵
	103.000	285.29	01/14/21	403.41	118.12 W	0.00	2 of 29 - Sale ²⁵
	154.000	426.55	01/14/21	586.87	160.32 W	0.00	3 of 29 - Sale ²⁵
	100.000	276.98	01/14/21	381.09	104.11 W	0.00	4 of 29 - Sale ²⁵
	146.000	404.39	01/14/21	556.39	152.00 W	0.00	5 of 29 - Sale ²⁵
	2.000	5.54	01/14/21	7.71	2.17 W	0.00	6 of 29 - Sale ²⁵
	22.000	60.94	01/14/21	84.82	23.88 W	0.00	7 of 29 - Sale ²⁵
	96.000	265.90	01/14/21	370.12	104.22 W	0.00	8 of 29 - Sale ²⁵
	37.000	102.48	01/14/21	141.91	39.43 W	0.00	9 of 29 - Sale ²⁵
	88.000	243.74	01/14/21	337.52	93.78 W	0.00	10 of 29 - Sale ²⁵
	4.000	11.08	01/14/21	15.34	4.26 W	0.00	11 of 29 - Sale ²⁵
	84.000	232.67	01/14/21	322.18	89.51 W	0.00	12 of 29 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol: (cont'd)							
	12.000	33.24	01/14/21	46.03	12.79 W	0.00	13 of 29 - Sale ²⁵
	6.000	16.62	01/14/21	23.01	6.39 W	0.00	14 of 29 - Sale ²⁵
	19.000	52.63	01/14/21	72.41	19.78 W	0.00	15 of 29 - Sale ²⁵
	20.000	55.40	01/14/21	76.21	20.81 W	0.00	16 of 29 - Sale ²⁵
	10.000	27.70	01/14/21	38.11	10.41 W	0.00	17 of 29 - Sale ²⁵
	10.000	27.70	01/14/21	38.35	10.65 W	0.00	18 of 29 - Sale ²⁵
	96.000	265.91	01/14/21	368.20	102.29 W	0.00	19 of 29 - Sale ²⁵
	64.000	177.27	01/14/21	245.47	68.20 W	0.00	20 of 29 - Sale ²⁵
	4.000	11.08	01/14/21	15.34	4.26 W	0.00	21 of 29 - Sale ²⁵
	64.000	177.27	01/14/21	245.46	68.19 W	0.00	22 of 29 - Sale ²⁵
	96.000	265.90	01/14/21	368.20	102.30 W	0.00	23 of 29 - Sale ²⁵
	36.000	99.71	01/14/21	138.07	38.36 W	0.00	24 of 29 - Sale ²⁵
	30.000	83.10	01/14/21	115.06	31.96 W	0.00	25 of 29 - Sale ²⁵
	70.000	193.89	01/14/21	268.48	74.59 W	0.00	26 of 29 - Sale ²⁵
	100.000	276.98	01/14/21	383.54	106.56 W	0.00	27 of 29 - Sale ²⁵
	36.000	99.71	01/14/21	138.07	38.36 W	0.00	28 of 29 - Sale ²⁵
	194.000	537.34	01/14/21	744.06	206.72 W	0.00	29 of 29 - Sale ²⁵
02/04/21	1,750.000	4,847.19	Various	6,715.51	1,868.32 W	0.00	Total of 29 transactions
02/09/21	1,750.000	5,319.67	02/04/21	4,900.00	...	419.67	Sale ²⁵
03/02/21	1.000	2.13	02/04/21	2.80	...	-0.67	Sale ²⁵
	Security total:	54,609.46		58,795.96	2,453.38 W	-1,733.12	

JIAYIN GROUP INC. AMERICAN DEP OSITARY SHARES / CUSIP: 47737C104 / Symbol:

7 transactions for 03/18/21. Total proceeds and cost reported to the IRS.

	407.000	3,866.43	03/18/21	4,261.36	...	-394.93	1 of 7 - Sale ²⁵
	368.000	3,495.94	03/18/21	3,853.02	...	-357.08	2 of 7 - Sale ²⁵
	343.000	3,258.44	03/18/21	3,591.26	...	-332.82	3 of 7 - Sale ²⁵
	155.000	1,472.48	03/18/21	1,618.23	...	-145.75	4 of 7 - Sale ²⁵
	181.000	1,719.47	03/18/21	1,804.57	...	-85.10	5 of 7 - Sale ²⁵
	33.000	313.49	03/18/21	344.53	...	-31.04	6 of 7 - Sale ²⁵
	12.000	114.00	03/18/21	125.64	...	-11.64	7 of 7 - Sale ²⁵
03/18/21	1,499.000	14,240.25	Various	15,598.61	...	-1,358.36	Total of 7 transactions

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JIAYIN GROUP INC. AMERICAN DEP OSITARY SHARES / CUSIP: 47737C104 / Symbol: (cont'd)							
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	1,516.000	14,401.75	03/18/21	15,114.52	546.80 W	-165.97	1 of 2 - Sale ²⁵
	155.000	1,472.47	03/18/21	1,545.35	72.88 W	0.00	2 of 2 - Sale ²⁵
03/18/21	1,671.000	15,874.22	Various	16,659.87	619.68 W	-165.97	Total of 2 transactions
	Security total:	30,114.47		32,258.48	619.68 W	-1,524.33	
KINDER MORGAN, INC. / CUSIP: 49456B101 / Symbol:							
04/19/21	1.000	16.52	03/10/21	16.07	...	0.45	Sale ²⁵
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074305 / Symbol:							
01/13/21	3,593.000	6,718.33	01/12/21	6,647.05	...	71.28	Sale ²⁵
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES A COMMON STOCK / CUSIP: 531465102 / Symbol:							
01/07/21	1.000	4.96	04/22/20	2.11	...	2.85	Sale ²⁵
LIMINAL BIOSCIENCES INC. COMMO N SHARES / CUSIP: 53272L103 / Symbol:							
9 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	580.000	1,338.27	06/07/21	3,480.00	...	-2,141.73	1 of 9 - Sale ²⁵
	500.000	1,153.67	06/07/21	3,000.00	...	-1,846.33	2 of 9 - Sale ²⁵
	491.000	1,132.92	06/07/21	2,946.00	...	-1,813.08	3 of 9 - Sale ²⁵
	349.000	805.27	06/07/21	2,094.00	...	-1,288.73	4 of 9 - Sale ²⁵
	300.000	692.21	06/07/21	1,800.00	...	-1,107.79	5 of 9 - Sale ²⁵
	200.000	461.47	06/07/21	1,200.00	...	-738.53	6 of 9 - Sale ²⁵
	100.000	230.74	06/07/21	600.00	...	-369.26	7 of 9 - Sale ²⁵
	100.000	230.74	06/07/21	600.00	...	-369.26	8 of 9 - Sale ²⁵
	30.000	69.23	06/07/21	180.00	...	-110.77	9 of 9 - Sale ²⁵
09/29/21	2,650.000	6,114.52	Various	15,900.00	...	-9,785.48	Total of 9 transactions
LONGEVERON INC. CLASS A COMMON STOCK / CUSIP: 54303L104 / Symbol:							
13 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1,300.000	9,424.79	03/11/21	13,650.00	...	-4,225.21	1 of 13 - Sale ²⁵
	700.000	5,074.89	03/11/21	7,350.00	...	-2,275.11	2 of 13 - Sale ²⁵
	300.000	2,174.95	03/11/21	3,150.00	...	-975.05	3 of 13 - Sale ²⁵
	200.000	1,449.97	03/11/21	2,100.00	...	-650.03	4 of 13 - Sale ²⁵
	200.000	1,449.97	03/11/21	2,100.00	...	-650.03	5 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LONGEVERON INC. CLASS A COMMON STOCK / CUSIP: 54303L104 / Symbol: (cont'd)							
	200.000	1,449.97	03/11/21	2,100.00	...	-650.03	6 of 13 - Sale ²⁵
	179.000	1,297.72	03/11/21	1,879.50	...	-581.78	7 of 13 - Sale ²⁵
	100.000	724.98	03/11/21	1,050.00	...	-325.02	8 of 13 - Sale ²⁵
	80.000	579.99	03/11/21	840.00	...	-260.01	9 of 13 - Sale ²⁵
	50.000	362.49	03/11/21	525.00	...	-162.51	10 of 13 - Sale ²⁵
	19.000	137.75	03/11/21	261.25	...	-123.50	11 of 13 - Sale ²⁵
	1.000	7.25	03/11/21	13.75	...	-6.50	12 of 13 - Sale ²⁵
	1.000	7.25	03/11/21	10.50	...	-3.25	13 of 13 - Sale ²⁵
03/12/21	3,330.000	24,141.97	Various	35,030.00	...	-10,888.03	Total of 13 transactions
	2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	19.000	137.75	03/11/21	199.50	61.75 W	0.00	1 of 2 - Sale ²⁵
	1.000	7.25	03/11/21	10.50	3.25 W	0.00	2 of 2 - Sale ²⁵
03/12/21	20.000	145.00	Various	210.00	65.00 W	0.00	Total of 2 transactions
	Security total:	24,286.97		35,240.00	65.00 W	-10,888.03	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
02/24/21	1.000	4.22	05/01/20	1.65	...	2.57	Sale ²⁵
MILLENDO THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 60040X103 / Symbol:							
03/02/21	1.000	2.12	04/22/20	1.54	...	0.58	Sale ²⁵
MOLECULAR DATA INC. AMERICAN D EPOSITARY SHARES / CUSIP: 60852L106 / Symbol:							
	3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	13,723.000	16,465.59	01/22/21	20,584.50	...	-4,118.91	1 of 3 - Sale ²⁵
	2,277.000	2,732.07	01/22/21	3,415.50	...	-683.43	2 of 3 - Sale ²⁵
	225.000	269.97	01/22/21	326.25	...	-56.28	3 of 3 - Sale ²⁵
01/28/21	16,225.000	19,467.63	Various	24,326.25	...	-4,858.62	Total of 3 transactions
01/28/21	1.000	1.20	01/22/21	1.52	0.32 W	0.00	Sale ²⁵
03/02/21	1.000	1.37	01/22/21	1.77	...	-0.40	Sale ²⁵
	38 transactions for 12/06/21. Total proceeds and cost reported to the IRS.						
	8,100.000	1,862.02	12/01/21	2,430.00	...	-567.98	1 of 38 - Sale ²⁵
	8,100.000	1,862.02	12/01/21	2,430.00	...	-567.98	2 of 38 - Sale ²⁵
	6,500.000	1,494.21	12/01/21	1,950.00	...	-455.79	3 of 38 - Sale ²⁵
	4,900.000	1,126.41	12/01/21	1,470.00	...	-343.59	4 of 38 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MOLECULAR DATA INC. AMERICAN D EPOSITARY SHARES / CUSIP: 60852L106 / Symbol: (cont'd)							
	2,000.000	459.76	12/01/21	600.00	...	-140.24	5 of 38 - Sale ²⁵
	1,200.000	275.86	12/01/21	360.00	...	-84.14	6 of 38 - Sale ²⁵
	1,188.000	273.10	12/01/21	356.40	...	-83.30	7 of 38 - Sale ²⁵
	250.000	57.47	12/01/21	127.47	...	-70.00	8 of 38 - Sale ²⁵
	809.000	185.97	12/01/21	242.70	...	-56.73	9 of 38 - Sale ²⁵
	800.000	183.90	12/01/21	240.00	...	-56.10	10 of 38 - Sale ²⁵
	150.000	34.48	12/01/21	76.49	...	-42.01	11 of 38 - Sale ²⁵
	114.000	26.21	12/01/21	58.12	...	-31.91	12 of 38 - Sale ²⁵
	410.000	94.25	12/01/21	123.00	...	-28.75	13 of 38 - Sale ²⁵
	400.000	91.95	12/01/21	120.00	...	-28.05	14 of 38 - Sale ²⁵
	400.000	91.95	12/01/21	120.00	...	-28.05	15 of 38 - Sale ²⁵
	200.000	45.98	12/01/21	74.00	...	-28.02	16 of 38 - Sale ²⁵
	197.000	45.29	12/01/21	72.89	...	-27.60	17 of 38 - Sale ²⁵
	116.000	26.67	12/01/21	51.03	...	-24.36	18 of 38 - Sale ²⁵
	95.000	21.84	12/01/21	41.79	...	-19.95	19 of 38 - Sale ²⁵
	95.000	21.84	12/01/21	41.79	...	-19.95	20 of 38 - Sale ²⁵
	84.000	19.31	12/01/21	36.95	...	-17.64	21 of 38 - Sale ²⁵
	50.000	11.49	12/01/21	25.50	...	-14.01	22 of 38 - Sale ²⁵
	100.000	22.99	12/01/21	37.00	...	-14.01	23 of 38 - Sale ²⁵
	100.000	22.99	12/01/21	37.00	...	-14.01	24 of 38 - Sale ²⁵
	100.000	22.99	12/01/21	37.00	...	-14.01	25 of 38 - Sale ²⁵
	100.000	22.99	12/01/21	37.00	...	-14.01	26 of 38 - Sale ²⁵
	100.000	22.99	12/01/21	37.00	...	-14.01	27 of 38 - Sale ²⁵
	45.000	10.34	12/01/21	22.94	...	-12.60	28 of 38 - Sale ²⁵
	55.000	12.64	12/01/21	24.20	...	-11.56	29 of 38 - Sale ²⁵
	31.000	7.13	12/01/21	17.98	...	-10.85	30 of 38 - Sale ²⁵
	117.000	26.90	12/01/21	35.10	...	-8.20	31 of 38 - Sale ²⁵
	55.000	12.64	12/01/21	20.35	...	-7.71	32 of 38 - Sale ²⁵
	45.000	10.34	12/01/21	16.65	...	-6.31	33 of 38 - Sale ²⁵
	5.000	1.15	12/01/21	2.55	...	-1.40	34 of 38 - Sale ²⁵
	5.000	1.15	12/01/21	2.55	...	-1.40	35 of 38 - Sale ²⁵
	3.000	0.69	12/01/21	1.53	...	-0.84	36 of 38 - Sale ²⁵
	2.000	0.46	12/01/21	1.02	...	-0.56	37 of 38 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 590208732
2021 1099-B* OMB No. 1545-0715	(continued)	02/11/2022

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MOLECULAR DATA INC. AMERICAN D EPOSITARY SHARES / CUSIP: 60852L106 / Symbol: (cont'd)							
12/06/21	3,000	0.69	12/01/21	1.11	...	-0.42	38 of 38 - Sale ²⁵
	37,024.000	8,511.06	Various	11,379.11	...	-2,868.05	Total of 38 transactions
	32 transactions for 12/06/21. Total proceeds and cost reported to the IRS.						
	750.000	172.56	12/01/21	225.00	52.44 W	0.00	1 of 32 - Sale ²⁵
	350.000	80.53	12/01/21	105.00	24.47 W	0.00	2 of 32 - Sale ²⁵
	150.000	34.51	12/01/21	55.49	20.98 W	0.00	3 of 32 - Sale ²⁵
	250.000	57.52	12/01/21	92.48	34.96 W	0.00	4 of 32 - Sale ²⁵
	150.000	34.50	12/01/21	55.49	20.99 W	0.00	5 of 32 - Sale ²⁵
	50.000	11.50	12/01/21	18.50	7.00 W	0.00	6 of 32 - Sale ²⁵
	50.000	11.50	12/01/21	18.49	6.99 W	0.00	7 of 32 - Sale ²⁵
	3.000	0.69	12/01/21	1.11	0.42 W	0.00	8 of 32 - Sale ²⁵
	2.000	0.46	12/01/21	0.74	0.28 W	0.00	9 of 32 - Sale ²⁵
	145.000	33.35	12/01/21	63.78	30.43 W	0.00	10 of 32 - Sale ²⁵
	50.000	11.50	12/01/21	22.00	10.50 W	0.00	11 of 32 - Sale ²⁵
	45.000	10.35	12/01/21	19.79	9.44 W	0.00	12 of 32 - Sale ²⁵
	5.000	1.15	12/01/21	2.20	1.05 W	0.00	13 of 32 - Sale ²⁵
	150.000	34.50	12/01/21	65.99	31.49 W	0.00	14 of 32 - Sale ²⁵
	250.000	57.49	12/01/21	109.96	52.47 W	0.00	15 of 32 - Sale ²⁵
	2.000	0.46	12/01/21	0.88	0.42 W	0.00	16 of 32 - Sale ²⁵
	3.000	0.69	12/01/21	1.32	0.63 W	0.00	17 of 32 - Sale ²⁵
	5.000	1.15	12/01/21	2.20	1.05 W	0.00	18 of 32 - Sale ²⁵
	95.000	21.85	12/01/21	35.14	13.29 W	0.00	19 of 32 - Sale ²⁵
	95.000	21.85	12/01/21	35.14	13.29 W	0.00	20 of 32 - Sale ²⁵
	200.000	46.00	12/01/21	73.98	27.98 W	0.00	21 of 32 - Sale ²⁵
	55.000	12.65	12/01/21	20.35	7.70 W	0.00	22 of 32 - Sale ²⁵
	45.000	10.35	12/01/21	13.50	3.15 W	0.00	23 of 32 - Sale ²⁵
	100.000	23.00	12/01/21	30.00	7.00 W	0.00	24 of 32 - Sale ²⁵
	200.000	46.00	12/01/21	60.00	14.00 W	0.00	25 of 32 - Sale ²⁵
	100.000	23.00	12/01/21	30.00	7.00 W	0.00	26 of 32 - Sale ²⁵
	100.000	23.00	12/01/21	30.00	7.00 W	0.00	27 of 32 - Sale ²⁵
	100.000	23.00	12/01/21	30.00	7.00 W	0.00	28 of 32 - Sale ²⁵
	200.000	46.00	12/01/21	60.00	14.00 W	0.00	29 of 32 - Sale ²⁵
	100.000	23.00	12/01/21	30.00	7.00 W	0.00	30 of 32 - Sale ²⁵
	55.000	12.65	12/01/21	16.50	3.85 W	0.00	31 of 32 - Sale ²⁵

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Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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MOLECULAR DATA INC. AMERICAN D EPOSITARY SHARES / CUSIP: 60852L106 / Symbol: (cont'd)							
	31.000	7.13	12/01/21	15.81	8.68 W	0.00	32 of 32 - Sale ²⁵
12/06/21	3,886.000	893.89	Various	1,340.84	446.95 W	0.00	Total of 32 transactions
	Security total:	28,875.15		37,049.49	447.27 W	-7,727.07	
NEW CONCEPT ENERGY INC / CUSIP: 643611106 / Symbol:							
9 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	756.000	3,779.89	06/01/21	4,536.11	...	-756.22	1 of 9 - Sale ²⁵
	311.000	1,554.95	06/01/21	1,866.04	...	-311.09	2 of 9 - Sale ²⁵
	218.000	1,089.97	06/01/21	1,308.03	...	-218.06	3 of 9 - Sale ²⁵
	128.000	639.98	06/01/21	768.02	...	-128.04	4 of 9 - Sale ²⁵
	89.000	444.99	06/01/21	534.01	...	-89.02	5 of 9 - Sale ²⁵
	72.000	359.99	06/01/21	432.01	...	-72.02	6 of 9 - Sale ²⁵
	40.000	199.99	06/01/21	240.01	...	-40.02	7 of 9 - Sale ²⁵
	5.000	25.00	06/01/21	30.00	...	-5.00	8 of 9 - Sale ²⁵
	5.000	25.00	06/01/21	30.00	...	-5.00	9 of 9 - Sale ²⁵
06/15/21	1,624.000	8,119.76	Various	9,744.23	...	-1,624.47	Total of 9 transactions
4 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	1,644.000	8,219.76	06/01/21	9,042.00	109.53 W	-712.71	1 of 4 - Sale ²⁵
	1,117.000	5,584.84	06/01/21	6,143.50	558.66 W	0.00	2 of 4 - Sale ²⁵
	89.000	444.99	06/01/21	489.50	44.51 W	0.00	3 of 4 - Sale ²⁵
	200.000	999.97	06/01/21	1,100.00	100.03 W	0.00	4 of 4 - Sale ²⁵
06/15/21	3,050.000	15,249.56	Various	16,775.00	812.73 W	-712.71	Total of 4 transactions
09/27/21	1.000	4.82	06/01/21	6.00	...	-1.18	Sale ²⁵
	Security total:	23,374.14		26,525.23	812.73 W	-2,338.36	
NEXTIER OILFIELD SOLUTIONS INC. / CUSIP: 65290C105 / Symbol:							
02/24/21	1.000	4.47	04/24/20	1.90	...	2.57	Sale ²⁵
9 METERS BIOPHARMA, INC. COMMO N STOCK / CUSIP: 654405109 / Symbol:							
02/02/21	7,299.000	10,728.42	01/06/21	10,583.55	...	144.87	Sale ²⁵
02/02/21	6,900.000	10,141.95	01/06/21	10,350.00	0.03 W	-208.02	Sale ²⁵
03/02/21	1.000	1.68	01/06/21	1.48	...	0.20	Sale ²⁵
	Security total:	20,872.05		20,935.03	0.03 W	-62.95	

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Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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NINE ENERGY SERVICE, INC. / CUSIP: 65441V101 / Symbol:							
02/24/21	1.000	3.50	05/14/20	3.57	...	-0.07	Sale ²⁵
NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol:							
<i>9 transactions for 01/05/21. Total proceeds and cost reported to the IRS.</i>							
	18,781.000	7,510.00	11/25/20	7,512.40	...	-2.40	1 of 9 - Sale ²⁵
	1,697.000	678.58	11/25/20	678.80	...	-0.22	2 of 9 - Sale ²⁵
	100.000	39.99	11/25/20	39.96	...	0.03	3 of 9 - Sale ²⁵
	100.000	39.99	11/25/20	39.96	...	0.03	4 of 9 - Sale ²⁵
	100.000	39.99	11/25/20	39.96	...	0.03	5 of 9 - Sale ²⁵
	200.000	79.97	11/25/20	79.92	...	0.05	6 of 9 - Sale ²⁵
	100.000	39.99	11/25/20	39.85	...	0.14	7 of 9 - Sale ²⁵
	100.000	39.99	11/25/20	39.85	...	0.14	8 of 9 - Sale ²⁵
	12,971.000	5,186.73	11/25/20	5,168.94	...	17.79	9 of 9 - Sale ²⁵
01/05/21	34,149.000	13,655.23	Various	13,639.64	...	15.59	Total of 9 transactions
03/02/21	1.000	0.77	11/25/20	0.40	...	0.37	Sale ²⁵
	Security total:	13,656.00		13,640.04	...	15.96	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
<i>2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	5.73	06/04/20	0.23	...	5.50	1 of 2 - Sale ²⁵
	1,999.000	11,453.77	02/05/21	11,454.27	...	-0.50	2 of 2 - Sale ²⁵
02/05/21	2,000.000	11,459.50	Various	11,454.50	...	5.00	Total of 2 transactions
02/11/21	1.000	10.68	02/05/21	5.73	...	4.95	Sale ²⁵
11/02/21	0.130	1.81	09/27/21	1.00	...	0.81	Sale ²⁵
	Security total:	11,471.99		11,461.23	...	10.76	
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
<i>18 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.94	02/03/21	0.82	...	0.12	1 of 18 - Sale ²⁵
	1.000	0.94	02/03/21	0.82	...	0.12	2 of 18 - Sale ²⁵
	1.000	0.94	02/03/21	0.82	...	0.12	3 of 18 - Sale ²⁵
	2.000	1.88	02/03/21	1.64	...	0.24	4 of 18 - Sale ²⁵
	2.000	1.88	02/03/21	1.64	...	0.24	5 of 18 - Sale ²⁵
	30.000	28.20	02/03/21	24.60	...	3.60	6 of 18 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol: (cont'd)							
	45.000	42.30	02/03/21	36.90	...	5.40	7 of 18 - Sale ²⁵
	100.000	93.99	02/03/21	82.00	...	11.99	8 of 18 - Sale ²⁵
	150.000	140.98	02/03/21	123.00	...	17.98	9 of 18 - Sale ²⁵
	200.000	187.97	02/03/21	164.00	...	23.97	10 of 18 - Sale ²⁵
	400.000	375.95	02/03/21	328.00	...	47.95	11 of 18 - Sale ²⁵
	1,000.000	939.85	02/03/21	820.00	...	119.85	12 of 18 - Sale ²⁵
	1,610.000	1,513.17	02/03/21	1,320.20	...	192.97	13 of 18 - Sale ²⁵
	2,558.000	2,404.16	02/03/21	2,097.56	...	306.60	14 of 18 - Sale ²⁵
	4,043.000	3,799.86	02/03/21	3,315.26	...	484.60	15 of 18 - Sale ²⁵
	4,514.000	4,242.52	02/03/21	3,701.48	...	541.04	16 of 18 - Sale ²⁵
	6,400.000	6,015.10	02/03/21	5,248.00	...	767.10	17 of 18 - Sale ²⁵
	9,442.000	8,874.16	02/03/21	7,742.44	...	1,131.72	18 of 18 - Sale ²⁵
02/04/21	30,499.000	28,664.79	Various	25,009.18	...	3,655.61	Total of 18 transactions
	2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	1.000	1.02	02/03/21	0.82	...	0.20	1 of 2 - Sale ²⁵
	99.000	100.97	02/08/21	99.00	...	1.97	2 of 2 - Sale ²⁵
02/08/21	100.000	101.99	Various	99.82	...	2.17	Total of 2 transactions
	21 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	1.000	1.23	02/08/21	1.00	...	0.23	1 of 21 - Sale ²⁵
	1.000	1.23	02/08/21	1.00	...	0.23	2 of 21 - Sale ²⁵
	3.000	3.69	02/08/21	3.00	...	0.69	3 of 21 - Sale ²⁵
	10.000	12.30	02/08/21	10.00	...	2.30	4 of 21 - Sale ²⁵
	10.000	12.30	02/08/21	10.00	...	2.30	5 of 21 - Sale ²⁵
	100.000	122.99	02/08/21	100.00	...	22.99	6 of 21 - Sale ²⁵
	100.000	122.99	02/08/21	100.00	...	22.99	7 of 21 - Sale ²⁵
	100.000	122.99	02/08/21	100.00	...	22.99	8 of 21 - Sale ²⁵
	100.000	122.99	02/08/21	100.00	...	22.99	9 of 21 - Sale ²⁵
	100.000	122.99	02/08/21	100.00	...	22.99	10 of 21 - Sale ²⁵
	105.000	129.13	02/08/21	105.00	...	24.13	11 of 21 - Sale ²⁵
	112.000	137.74	02/08/21	112.00	...	25.74	12 of 21 - Sale ²⁵
	192.000	236.13	02/08/21	192.00	...	44.13	13 of 21 - Sale ²⁵
	405.000	498.09	02/08/21	405.00	...	93.09	14 of 21 - Sale ²⁵
	463.000	569.41	02/08/21	463.00	...	106.41	15 of 21 - Sale ²⁵
	609.000	748.98	02/08/21	609.00	...	139.98	16 of 21 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol: (cont'd)							
	727.000	894.10	02/08/21	727.00	...	167.10	17 of 21 - Sale ²⁵
	1,183.000	1,454.92	02/08/21	1,183.00	...	271.92	18 of 21 - Sale ²⁵
	1,354.000	1,665.22	02/08/21	1,354.00	...	311.22	19 of 21 - Sale ²⁵
	1,595.000	1,961.61	02/08/21	1,595.00	...	366.61	20 of 21 - Sale ²⁵
	1,630.000	2,004.66	02/08/21	1,630.00	...	374.66	21 of 21 - Sale ²⁵
02/09/21	8,900.000	10,945.69	Various	8,900.00	...	2,045.69	Total of 21 transactions
	85 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	1.000	1.16	02/08/21	1.00	...	0.16	1 of 85 - Sale ²⁵
	1.000	1.16	02/08/21	1.00	...	0.16	2 of 85 - Sale ²⁵
	1.000	1.16	02/08/21	1.00	...	0.16	3 of 85 - Sale ²⁵
	1.000	1.16	02/08/21	1.00	...	0.16	4 of 85 - Sale ²⁵
	1.000	1.16	02/08/21	1.00	...	0.16	5 of 85 - Sale ²⁵
	1.000	1.16	02/08/21	1.00	...	0.16	6 of 85 - Sale ²⁵
	1.000	1.16	02/08/21	1.00	...	0.16	7 of 85 - Sale ²⁵
	2.000	2.32	02/08/21	2.00	...	0.32	8 of 85 - Sale ²⁵
	3.000	3.48	02/08/21	3.00	...	0.48	9 of 85 - Sale ²⁵
	4.000	4.64	02/08/21	4.00	...	0.64	10 of 85 - Sale ²⁵
	5.000	5.80	02/08/21	5.00	...	0.80	11 of 85 - Sale ²⁵
	6.000	6.96	02/08/21	6.00	...	0.96	12 of 85 - Sale ²⁵
	7.000	8.12	02/08/21	7.00	...	1.12	13 of 85 - Sale ²⁵
	9.000	10.44	02/08/21	9.00	...	1.44	14 of 85 - Sale ²⁵
	9.000	10.44	02/08/21	9.00	...	1.44	15 of 85 - Sale ²⁵
	9.000	10.44	02/08/21	9.00	...	1.44	16 of 85 - Sale ²⁵
	10.000	11.60	02/08/21	10.00	...	1.60	17 of 85 - Sale ²⁵
	10.000	11.60	02/08/21	10.00	...	1.60	18 of 85 - Sale ²⁵
	10.000	11.60	02/08/21	10.00	...	1.60	19 of 85 - Sale ²⁵
	10.000	11.60	02/08/21	10.00	...	1.60	20 of 85 - Sale ²⁵
	10.000	11.60	02/08/21	10.00	...	1.60	21 of 85 - Sale ²⁵
	10.000	11.60	02/08/21	10.00	...	1.60	22 of 85 - Sale ²⁵
	10.000	11.60	02/08/21	10.00	...	1.60	23 of 85 - Sale ²⁵
	10.000	11.60	02/08/21	10.00	...	1.60	24 of 85 - Sale ²⁵
	10.000	11.60	02/08/21	10.00	...	1.60	25 of 85 - Sale ²⁵
	13.000	15.08	02/08/21	13.00	...	2.08	26 of 85 - Sale ²⁵
	17.000	19.72	02/08/21	17.00	...	2.72	27 of 85 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 590208732
2021 1099-B* OMB No. 1545-0715	(continued)	02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol: (cont'd)							
22.000		25.52	02/08/21	22.00	...	3.52	28 of 85 - Sale ²⁵
25.000		29.00	02/08/21	25.00	...	4.00	29 of 85 - Sale ²⁵
25.000		29.00	02/08/21	25.00	...	4.00	30 of 85 - Sale ²⁵
29.000		33.64	02/08/21	29.00	...	4.64	31 of 85 - Sale ²⁵
29.000		33.64	02/08/21	29.00	...	4.64	32 of 85 - Sale ²⁵
30.000		34.80	02/08/21	30.00	...	4.80	33 of 85 - Sale ²⁵
34.000		39.43	02/08/21	34.00	...	5.43	34 of 85 - Sale ²⁵
43.000		49.88	02/08/21	43.00	...	6.88	35 of 85 - Sale ²⁵
43.000		49.88	02/08/21	43.00	...	6.88	36 of 85 - Sale ²⁵
43.000		49.88	02/08/21	43.00	...	6.88	37 of 85 - Sale ²⁵
43.000		49.88	02/08/21	43.00	...	6.88	38 of 85 - Sale ²⁵
44.000		51.04	02/08/21	44.00	...	7.04	39 of 85 - Sale ²⁵
49.000		56.83	02/08/21	49.00	...	7.83	40 of 85 - Sale ²⁵
50.000		58.00	02/08/21	50.00	...	8.00	41 of 85 - Sale ²⁵
52.000		60.31	02/08/21	52.00	...	8.31	42 of 85 - Sale ²⁵
62.000		71.91	02/08/21	62.00	...	9.91	43 of 85 - Sale ²⁵
70.000		81.19	02/08/21	70.00	...	11.19	44 of 85 - Sale ²⁵
74.000		85.83	02/08/21	74.00	...	11.83	45 of 85 - Sale ²⁵
86.000		99.75	02/08/21	86.00	...	13.75	46 of 85 - Sale ²⁵
90.000		104.39	02/08/21	90.00	...	14.39	47 of 85 - Sale ²⁵
92.000		106.71	02/08/21	92.00	...	14.71	48 of 85 - Sale ²⁵
100.000		115.98	02/08/21	100.00	...	15.98	49 of 85 - Sale ²⁵
100.000		115.98	02/08/21	100.00	...	15.98	50 of 85 - Sale ²⁵
100.000		115.98	02/08/21	100.00	...	15.98	51 of 85 - Sale ²⁵
100.000		115.98	02/08/21	100.00	...	15.98	52 of 85 - Sale ²⁵
100.000		115.98	02/08/21	100.00	...	15.98	53 of 85 - Sale ²⁵
100.000		115.98	02/08/21	100.00	...	15.98	54 of 85 - Sale ²⁵
100.000		115.99	02/08/21	100.00	...	15.99	55 of 85 - Sale ²⁵
100.000		115.99	02/08/21	100.00	...	15.99	56 of 85 - Sale ²⁵
100.000		115.99	02/08/21	100.00	...	15.99	57 of 85 - Sale ²⁵
100.000		115.99	02/08/21	100.00	...	15.99	58 of 85 - Sale ²⁵
100.000		115.99	02/08/21	100.00	...	15.99	59 of 85 - Sale ²⁵
100.000		115.99	02/08/21	100.00	...	15.99	60 of 85 - Sale ²⁵
100.000		115.99	02/08/21	100.00	...	15.99	61 of 85 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol: (cont'd)							
	100.000	115.99	02/08/21	100.00	...	15.99	62 of 85 - Sale ²⁵
	100.000	115.99	02/08/21	100.00	...	15.99	63 of 85 - Sale ²⁵
	104.000	120.62	02/08/21	104.00	...	16.62	64 of 85 - Sale ²⁵
	106.000	122.94	02/08/21	106.00	...	16.94	65 of 85 - Sale ²⁵
	194.000	225.01	02/08/21	194.00	...	31.01	66 of 85 - Sale ²⁵
	200.000	231.97	02/08/21	200.00	...	31.97	67 of 85 - Sale ²⁵
	200.000	231.97	02/08/21	200.00	...	31.97	68 of 85 - Sale ²⁵
	200.000	231.98	02/08/21	200.00	...	31.98	69 of 85 - Sale ²⁵
	300.000	347.96	02/08/21	300.00	...	47.96	70 of 85 - Sale ²⁵
	300.000	347.96	02/08/21	300.00	...	47.96	71 of 85 - Sale ²⁵
	480.000	556.72	02/08/21	480.00	...	76.72	72 of 85 - Sale ²⁵
	519.000	601.96	02/08/21	519.00	...	82.96	73 of 85 - Sale ²⁵
	591.000	685.47	02/08/21	591.00	...	94.47	74 of 85 - Sale ²⁵
	600.000	695.91	02/08/21	600.00	...	95.91	75 of 85 - Sale ²⁵
	600.000	695.91	02/08/21	600.00	...	95.91	76 of 85 - Sale ²⁵
	600.000	695.91	02/08/21	600.00	...	95.91	77 of 85 - Sale ²⁵
	600.000	695.91	02/08/21	600.00	...	95.91	78 of 85 - Sale ²⁵
	756.000	876.85	02/08/21	756.00	...	120.85	79 of 85 - Sale ²⁵
	787.000	912.80	02/08/21	787.00	...	125.80	80 of 85 - Sale ²⁵
	820.000	951.07	02/08/21	820.00	...	131.07	81 of 85 - Sale ²⁵
	851.000	987.03	02/08/21	851.00	...	136.03	82 of 85 - Sale ²⁵
	2,000.000	2,319.70	02/08/21	2,000.00	...	319.70	83 of 85 - Sale ²⁵
	2,481.000	2,877.60	02/08/21	2,481.00	...	396.60	84 of 85 - Sale ²⁵
	3,995.000	4,633.62	02/08/21	3,995.00	...	638.62	85 of 85 - Sale ²⁵
02/10/21	20,000.000	23,197.13	Various	20,000.00	...	3,197.13	Total of 85 transactions
03/02/21	1.000	1.28	02/08/21	1.00	...	0.28	Sale ²⁵
	8 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	1.000	1.10	03/10/21	1.03	...	0.07	1 of 8 - Sale ²⁵
	776.000	853.50	03/11/21	970.20	...	-116.70	2 of 8 - Sale ²⁵
	1,028.000	1,130.67	03/11/21	1,233.73	...	-103.06	3 of 8 - Sale ²⁵
	347.000	381.66	03/11/21	451.23	...	-69.57	4 of 8 - Sale ²⁵
	347.000	381.66	03/11/21	433.83	...	-52.17	5 of 8 - Sale ²⁵
	224.000	246.37	03/11/21	280.06	...	-33.69	6 of 8 - Sale ²⁵
	123.000	135.28	03/11/21	159.95	...	-24.67	7 of 8 - Sale ²⁵

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Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol: (cont'd)							
	77.000	84.69	03/11/21	96.27	...	-11.58	8 of 8 - Sale ²⁵
03/12/21	2,923.000	3,214.93	Various	3,626.30	...	-411.37	Total of 8 transactions
	8 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	677.000	744.61	03/11/21	846.42	52.18 W	-49.63	1 of 8 - Sale ²⁵
	2,000.000	2,199.75	03/11/21	2,300.00	100.25 W	0.00	2 of 8 - Sale ²⁵
	224.000	246.37	03/11/21	257.60	11.23 W	0.00	3 of 8 - Sale ²⁵
	224.000	246.37	03/11/21	268.83	22.46 W	0.00	4 of 8 - Sale ²⁵
	424.000	466.35	03/11/21	508.85	42.50 W	0.00	5 of 8 - Sale ²⁵
	1,029.000	1,131.77	03/11/21	1,183.35	51.58 W	0.00	6 of 8 - Sale ²⁵
	1,576.000	1,733.40	03/11/21	1,891.40	158.00 W	0.00	7 of 8 - Sale ²⁵
	123.000	135.28	03/11/21	153.78	18.50 W	0.00	8 of 8 - Sale ²⁵
03/12/21	6,277.000	6,903.90	Various	7,410.23	456.70 W	-49.63	Total of 8 transactions
	Security total:	73,029.71		65,046.53	456.70 W	8,439.88	
OPGEN, INC. COMMON STOCK / CUSIP: 68373L307 / Symbol:							
04/23/21	1.000	2.29	03/08/21	3.18	...	-0.89	Sale ²⁵
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
01/05/21	1.000	37.80	04/22/20	16.01	...	21.79	Sale ²⁵
REDWOOD TRUST, INC. / CUSIP: 758075402 / Symbol:							
01/07/21	1.000	8.80	05/01/20	3.53	...	5.27	Sale ²⁵
RETAIL VALUE INC. COMMON SHARE S / CUSIP: 76133Q102 / Symbol:							
	2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	349.000	2,059.05	10/29/21	2,094.00	...	-34.95	1 of 2 - Sale ²⁵
	196.000	1,156.37	11/01/21	1,113.28	...	43.09	2 of 2 - Sale ²⁵
11/03/21	545.000	3,215.42	Various	3,207.28	...	8.14	Total of 2 transactions
SASOL LIMITED / CUSIP: 803866300 / Symbol:							
01/07/21	1.000	10.16	05/01/20	4.46	...	5.70	Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SECOND SIGHT MEDICAL PRODUCTS, INC. COMMON STOCK / CUSIP: 81362J209 / Symbol:							
8 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	1.000	11.00	03/05/21	7.80	...	3.20	1 of 8 - Sale ²⁵
	10.000	110.00	03/05/21	65.00	...	45.00	2 of 8 - Sale ²⁵
	100.000	1,099.98	03/05/21	650.00	...	449.98	3 of 8 - Sale ²⁵
	389.000	4,278.93	03/05/21	2,528.50	...	1,750.43	4 of 8 - Sale ²⁵
	500.000	5,499.91	03/05/21	3,250.00	...	2,249.91	5 of 8 - Sale ²⁵
	633.000	6,962.89	03/05/21	4,114.50	...	2,848.39	6 of 8 - Sale ²⁵
	1,000.000	10,999.82	03/05/21	6,500.00	...	4,499.82	7 of 8 - Sale ²⁵
	1,517.000	16,686.72	03/05/21	9,860.50	...	6,826.22	8 of 8 - Sale ²⁵
03/08/21	4,150.000	45,649.25	Various	26,976.30	...	18,672.95	Total of 8 transactions
5 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	1.000	11.00	03/05/21	6.50	...	4.50	1 of 5 - Sale ²⁵
	1,225.000	13,474.79	03/08/21	13,475.22	...	-0.43	2 of 5 - Sale ²⁵
	818.000	8,997.85	03/08/21	8,998.00	...	-0.15	3 of 5 - Sale ²⁵
	378.000	4,157.93	03/08/21	4,158.08	...	-0.15	4 of 5 - Sale ²⁵
	75.000	824.98	03/08/21	825.00	...	-0.02	5 of 5 - Sale ²⁵
03/10/21	2,497.000	27,466.55	Various	27,462.80	...	3.75	Total of 5 transactions
2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	1,300.000	14,299.77	03/08/21	14,300.00	0.22 W	-0.01	1 of 2 - Sale ²⁵
	378.000	4,157.92	03/08/21	4,158.00	0.08 W	0.00	2 of 2 - Sale ²⁵
03/10/21	1,678.000	18,457.69	Various	18,458.00	0.30 W	-0.01	Total of 2 transactions
11/03/21	1.000	2.87	03/08/21	11.00	...	-8.13	Sale ²⁵
	Security total:	91,576.36		72,908.10	0.30 W	18,668.56	
SECOO HOLDING LIMITED ADS / CUSIP: 81367P101 / Symbol:							
02/24/21	1.000	2.95	06/02/20	2.23	...	0.72	Sale ²⁵
SENECA BIOPHARMA, INC. COMMON STOCK / CUSIP: 81689B103 / Symbol:							
28 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	4,500.000	7,964.41	03/22/21	10,800.00	...	-2,835.59	1 of 28 - Sale ²⁵
	700.000	1,238.91	03/22/21	1,680.00	...	-441.09	2 of 28 - Sale ²⁵
	520.000	920.33	03/22/21	1,248.00	...	-327.67	3 of 28 - Sale ²⁵
	500.000	884.93	03/22/21	1,200.00	...	-315.07	4 of 28 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SENECA BIOPHARMA, INC. COMMON STOCK / CUSIP: 81689B103 / Symbol: (cont'd)							
	400.000	707.94	03/22/21	960.00	...	-252.06	5 of 28 - Sale ²⁵
	400.000	707.95	03/22/21	960.00	...	-252.05	6 of 28 - Sale ²⁵
	100.000	176.99	03/22/21	429.03	...	-252.04	7 of 28 - Sale ²⁵
	331.000	585.83	03/22/21	794.40	...	-208.57	8 of 28 - Sale ²⁵
	300.000	530.96	03/22/21	720.00	...	-189.04	9 of 28 - Sale ²⁵
	284.000	502.64	03/22/21	681.60	...	-178.96	10 of 28 - Sale ²⁵
	135.000	238.93	03/22/21	409.07	...	-170.14	11 of 28 - Sale ²⁵
	249.000	440.70	03/22/21	597.60	...	-156.90	12 of 28 - Sale ²⁵
	200.000	353.97	03/22/21	480.00	...	-126.03	13 of 28 - Sale ²⁵
	46.000	81.41	03/22/21	197.37	...	-115.96	14 of 28 - Sale ²⁵
	180.000	318.58	03/22/21	432.00	...	-113.42	15 of 28 - Sale ²⁵
	81.000	143.36	03/22/21	245.45	...	-102.09	16 of 28 - Sale ²⁵
	64.000	113.27	03/22/21	193.93	...	-80.66	17 of 28 - Sale ²⁵
	100.000	176.99	03/22/21	240.00	...	-63.01	18 of 28 - Sale ²⁵
	100.000	176.99	03/22/21	240.00	...	-63.01	19 of 28 - Sale ²⁵
	100.000	176.99	03/22/21	240.00	...	-63.01	20 of 28 - Sale ²⁵
	20.000	35.40	03/22/21	98.40	...	-63.00	21 of 28 - Sale ²⁵
	70.000	123.89	03/22/21	168.00	...	-44.11	22 of 28 - Sale ²⁵
	20.000	35.40	03/22/21	73.20	...	-37.80	23 of 28 - Sale ²⁵
	20.000	35.40	03/22/21	60.60	...	-25.20	24 of 28 - Sale ²⁵
	7.000	12.39	03/22/21	30.03	...	-17.64	25 of 28 - Sale ²⁵
	26.000	46.02	03/22/21	62.40	...	-16.38	26 of 28 - Sale ²⁵
	7.000	12.39	03/22/21	25.62	...	-13.23	27 of 28 - Sale ²⁵
	20.000	35.40	03/22/21	48.00	...	-12.60	28 of 28 - Sale ²⁵
03/24/21	9,480.000	16,778.37	Various	23,314.70	...	-6,536.33	Total of 28 transactions
	16 transactions for 03/24/21. Total proceeds and cost reported to the IRS.						
	73.000	129.20	03/22/21	175.20	28.99 W	-17.01	1 of 16 - Sale ²⁵
	27.000	47.79	03/22/21	64.80	17.01 W	0.00	2 of 16 - Sale ²⁵
	100.000	176.99	03/22/21	240.00	63.01 W	0.00	3 of 16 - Sale ²⁵
	20.000	35.40	03/22/21	60.60	25.20 W	0.00	4 of 16 - Sale ²⁵
	7.000	12.39	03/22/21	21.21	8.82 W	0.00	5 of 16 - Sale ²⁵
	46.000	81.41	03/22/21	139.39	57.98 W	0.00	6 of 16 - Sale ²⁵
	100.000	176.99	03/22/21	303.01	126.02 W	0.00	7 of 16 - Sale ²⁵
	162.000	286.72	03/22/21	388.80	102.08 W	0.00	8 of 16 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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SENECA BIOPHARMA, INC. COMMON STOCK / CUSIP: 81689B103 / Symbol: (cont'd)							
	20.000	35.40	03/22/21	73.20	37.80 W	0.00	9 of 16 - Sale ²⁵
	145.000	256.62	03/22/21	348.00	91.38 W	0.00	10 of 16 - Sale ²⁵
	20.000	35.40	03/22/21	48.00	12.60 W	0.00	11 of 16 - Sale ²⁵
	20.000	35.40	03/22/21	85.80	50.40 W	0.00	12 of 16 - Sale ²⁵
	7.000	12.39	03/22/21	25.62	13.23 W	0.00	13 of 16 - Sale ²⁵
	46.000	81.41	03/22/21	168.38	86.97 W	0.00	14 of 16 - Sale ²⁵
	100.000	176.99	03/22/21	366.02	189.03 W	0.00	15 of 16 - Sale ²⁵
	27.000	47.79	03/22/21	81.81	34.02 W	0.00	16 of 16 - Sale ²⁵
03/24/21	920.000	1,628.29	Various	2,589.84	944.54 W	-17.01	Total of 16 transactions
	Security total:	18,406.66		25,904.54	944.54 W	-6,553.34	
SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol:							
13 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	5,496.000	5,495.30	10/13/21	5,496.00	...	-0.70	1 of 13 - Sale ²⁵
	228.000	227.97	10/13/21	228.10	...	-0.13	2 of 13 - Sale ²⁵
	100.000	99.99	10/13/21	100.06	...	-0.07	3 of 13 - Sale ²⁵
	400.000	399.94	10/13/21	400.00	...	-0.06	4 of 13 - Sale ²⁵
	100.000	99.99	10/13/21	100.05	...	-0.06	5 of 13 - Sale ²⁵
	100.000	99.99	10/13/21	100.05	...	-0.06	6 of 13 - Sale ²⁵
	100.000	99.99	10/13/21	100.05	...	-0.06	7 of 13 - Sale ²⁵
	72.000	71.99	10/13/21	72.04	...	-0.05	8 of 13 - Sale ²⁵
	72.000	71.99	10/13/21	72.03	...	-0.04	9 of 13 - Sale ²⁵
	72.000	71.99	10/13/21	72.03	...	-0.04	10 of 13 - Sale ²⁵
	72.000	71.99	10/13/21	72.02	...	-0.03	11 of 13 - Sale ²⁵
	28.000	28.00	10/13/21	28.02	...	-0.02	12 of 13 - Sale ²⁵
	28.000	28.00	10/13/21	28.01	...	-0.01	13 of 13 - Sale ²⁵
10/15/21	6,868.000	6,867.13	Various	6,868.46	...	-1.33	Total of 13 transactions
22 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	500.000	499.93	10/13/21	500.00	0.07 W	0.00	1 of 22 - Sale ²⁵
	100.000	99.99	10/13/21	100.00	0.01 W	0.00	2 of 22 - Sale ²⁵
	400.000	399.95	10/13/21	400.06	0.11 W	0.00	3 of 22 - Sale ²⁵
	100.000	99.99	10/13/21	100.01	0.02 W	0.00	4 of 22 - Sale ²⁵
	100.000	99.99	10/13/21	100.01	0.02 W	0.00	5 of 22 - Sale ²⁵
	300.000	299.95	10/13/21	300.00	0.05 W	0.00	6 of 22 - Sale ²⁵

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Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol: (cont'd)							
	400.000	399.95	10/13/21	400.11	0.16 W	0.00	7 of 22 - Sale ²⁵
	100.000	99.99	10/13/21	100.00	0.01 W	0.00	8 of 22 - Sale ²⁵
	100.000	99.99	10/13/21	100.02	0.03 W	0.00	9 of 22 - Sale ²⁵
	100.000	99.99	10/13/21	100.02	0.03 W	0.00	10 of 22 - Sale ²⁵
	300.000	299.96	10/13/21	300.05	0.09 W	0.00	11 of 22 - Sale ²⁵
	200.000	199.97	10/13/21	200.08	0.11 W	0.00	12 of 22 - Sale ²⁵
	100.000	99.99	10/13/21	100.04	0.05 W	0.00	13 of 22 - Sale ²⁵
	100.000	99.99	10/13/21	100.04	0.05 W	0.00	14 of 22 - Sale ²⁵
	72.000	71.99	10/13/21	72.01	0.02 W	0.00	15 of 22 - Sale ²⁵
	28.000	28.00	10/13/21	28.01	0.01 W	0.00	16 of 22 - Sale ²⁵
	72.000	71.99	10/13/21	72.02	0.03 W	0.00	17 of 22 - Sale ²⁵
	100.000	99.98	10/13/21	100.03	0.05 W	0.00	18 of 22 - Sale ²⁵
	228.000	227.97	10/13/21	228.07	0.10 W	0.00	19 of 22 - Sale ²⁵
	28.000	28.00	10/13/21	28.02	0.02 W	0.00	20 of 22 - Sale ²⁵
	72.000	71.99	10/13/21	72.02	0.03 W	0.00	21 of 22 - Sale ²⁵
	100.000	99.99	10/13/21	100.05	0.06 W	0.00	22 of 22 - Sale ²⁵
10/15/21	3,600.000	3,599.54	Various	3,600.67	1.13 W	0.00	Total of 22 transactions
	Security total:	10,466.67		10,469.13	1.13 W	-1.33	
SIENTRA, INC. COMMON STOCK / CUSIP: 82621J105 / Symbol:							
02/10/21	1.000	7.27	02/04/21	7.20	...	0.07	Sale ²⁵
SONNET BIOTHERAPEUTICS HOLDING S, INC. COMMON STOCK / CUSIP: 83548R105 / Symbol:							
6 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	1,450.000	862.57	09/24/21	870.00	...	-7.43	1 of 6 - Sale ²⁵
	1,268.000	754.31	09/24/21	760.80	...	-6.49	2 of 6 - Sale ²⁵
	1,200.000	713.85	09/24/21	720.00	...	-6.15	3 of 6 - Sale ²⁵
	869.000	516.95	09/24/21	521.40	...	-4.45	4 of 6 - Sale ²⁵
	431.000	256.39	09/24/21	260.80	...	-4.41	5 of 6 - Sale ²⁵
	1.000	0.59	09/24/21	0.62	...	-0.03	6 of 6 - Sale ²⁵
09/29/21	5,219.000	3,104.66	Various	3,133.62	...	-28.96	Total of 6 transactions

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Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SONNET BIOTHERAPEUTICS HOLDING S, INC. COMMON STOCK / CUSIP: 83548R105 / Symbol: (cont'd)							
2 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	1.000	0.59	09/24/21	0.61	0.02 W	0.00	1 of 2 - Sale ²⁵
	431.000	256.40	09/24/21	258.60	2.20 W	0.00	2 of 2 - Sale ²⁵
09/29/21	432.000	256.99	Various	259.21	2.22 W	0.00	Total of 2 transactions
	Security total:	3,361.65		3,392.83	2.22 W	-28.96	
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
01/07/21	1.000	2.90	04/22/20	0.96	...	1.94	Sale ²⁵
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
01/05/21	1.000	23.80	05/07/20	18.40	...	5.40	Sale ²⁵
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
11/03/21	1.000	0.66	03/05/21	1.04	0.38 W	0.00	Sale ²⁵
6 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	0.71	11/08/21	1.08	...	-0.37	1 of 6 - Sale ²⁵
	99.000	70.09	11/08/21	69.30	...	0.79	2 of 6 - Sale ²⁵
	500.000	353.99	11/08/21	350.00	...	3.99	3 of 6 - Sale ²⁵
	700.000	495.58	11/08/21	490.00	...	5.58	4 of 6 - Sale ²⁵
	900.000	637.18	11/08/21	630.00	...	7.18	5 of 6 - Sale ²⁵
	1,260.000	892.05	11/08/21	882.00	...	10.05	6 of 6 - Sale ²⁵
11/10/21	3,460.000	2,449.60	Various	2,422.38	...	27.22	Total of 6 transactions
	Security total:	2,450.26		2,423.42	0.38 W	27.22	
TANZANIAN GOLD CORPORATION / CUSIP: 87601A107 / Symbol:							
02/11/21	450.000	418.45	02/10/21	405.00	...	13.45	Sale ²⁵
36 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1,900.000	1,709.74	02/10/21	1,710.34	...	-0.60	1 of 36 - Sale ²⁵
	3,450.000	3,104.52	02/10/21	3,105.00	...	-0.48	2 of 36 - Sale ²⁵
	1,063.000	956.55	02/10/21	956.85	...	-0.30	3 of 36 - Sale ²⁵
	800.000	719.88	02/10/21	720.14	...	-0.26	4 of 36 - Sale ²⁵
	1,475.000	1,327.28	02/10/21	1,327.50	...	-0.22	5 of 36 - Sale ²⁵
	1,183.000	1,064.53	02/10/21	1,064.70	...	-0.17	6 of 36 - Sale ²⁵
	287.000	258.26	02/10/21	258.35	...	-0.09	7 of 36 - Sale ²⁵

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(continued)

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TANZANIAN GOLD CORPORATION / CUSIP: 87601A107 / Symbol: (cont'd)							
	250.000	224.97	02/10/21	225.04	...	-0.07	8 of 36 - Sale ²⁵
	258.000	232.17	02/10/21	232.24	...	-0.07	9 of 36 - Sale ²⁵
	250.000	224.97	02/10/21	225.04	...	-0.07	10 of 36 - Sale ²⁵
	400.000	359.95	02/10/21	360.00	...	-0.05	11 of 36 - Sale ²⁵
	100.000	89.99	02/10/21	90.03	...	-0.04	12 of 36 - Sale ²⁵
	279.000	251.07	02/10/21	251.10	...	-0.03	13 of 36 - Sale ²⁵
	50.000	44.99	02/10/21	45.02	...	-0.03	14 of 36 - Sale ²⁵
	100.000	89.99	02/10/21	90.02	...	-0.03	15 of 36 - Sale ²⁵
	113.000	101.69	02/10/21	101.72	...	-0.03	16 of 36 - Sale ²⁵
	100.000	89.99	02/10/21	90.02	...	-0.03	17 of 36 - Sale ²⁵
	100.000	89.99	02/10/21	90.02	...	-0.03	18 of 36 - Sale ²⁵
	100.000	89.99	02/10/21	90.01	...	-0.02	19 of 36 - Sale ²⁵
	100.000	89.99	02/10/21	90.01	...	-0.02	20 of 36 - Sale ²⁵
	50.000	44.99	02/10/21	45.01	...	-0.02	21 of 36 - Sale ²⁵
	95.000	85.49	02/10/21	85.50	...	-0.01	22 of 36 - Sale ²⁵
	1.000	0.90	02/10/21	0.90	...	0.00	23 of 36 - Sale ²⁵
	1.000	0.90	02/10/21	0.90	...	0.00	24 of 36 - Sale ²⁵
	16.000	14.40	02/10/21	14.40	...	0.00	25 of 36 - Sale ²⁵
	2.000	1.80	02/10/21	1.80	...	0.00	26 of 36 - Sale ²⁵
	50.000	45.00	02/10/21	45.00	...	0.00	27 of 36 - Sale ²⁵
	20.000	18.00	02/10/21	18.00	...	0.00	28 of 36 - Sale ²⁵
	16.000	14.40	02/10/21	14.40	...	0.00	29 of 36 - Sale ²⁵
	25.000	22.50	02/10/21	22.50	...	0.00	30 of 36 - Sale ²⁵
	10.000	9.00	02/10/21	9.00	...	0.00	31 of 36 - Sale ²⁵
	45.000	40.50	02/10/21	40.50	...	0.00	32 of 36 - Sale ²⁵
	99.000	89.11	02/10/21	89.10	...	0.01	33 of 36 - Sale ²⁵
	100.000	90.02	02/10/21	90.00	...	0.02	34 of 36 - Sale ²⁵
	600.000	540.09	02/10/21	540.00	...	0.09	35 of 36 - Sale ²⁵
	600.000	540.09	02/10/21	540.00	...	0.09	36 of 36 - Sale ²⁵
02/16/21	14,088.000	12,677.70	Various	12,680.16	...	-2.46	Total of 36 transactions
	11 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	999.000	898.96	02/10/21	899.14	0.08 W	-0.10	1 of 11 - Sale ²⁵
	3,900.000	3,509.85	02/10/21	3,510.00	0.15 W	0.00	2 of 11 - Sale ²⁵
	999.000	899.06	02/10/21	899.10	0.04 W	0.00	3 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TANZANIAN GOLD CORPORATION / CUSIP: 87601A107 / Symbol: (cont'd)							
	100.000	89.99	02/10/21	90.00	0.01 W	0.00	4 of 11 - Sale ²⁵
	1,063.000	956.55	02/10/21	956.70	0.15 W	0.00	5 of 11 - Sale ²⁵
	200.000	179.97	02/10/21	180.01	0.04 W	0.00	6 of 11 - Sale ²⁵
	2,537.000	2,282.95	02/10/21	2,283.40	0.45 W	0.00	7 of 11 - Sale ²⁵
	100.000	89.99	02/10/21	90.00	0.01 W	0.00	8 of 11 - Sale ²⁵
	100.000	89.99	02/10/21	90.02	0.03 W	0.00	9 of 11 - Sale ²⁵
	100.000	89.99	02/10/21	90.02	0.03 W	0.00	10 of 11 - Sale ²⁵
	1,163.000	1,046.54	02/10/21	1,046.74	0.20 W	0.00	11 of 11 - Sale ²⁵
02/16/21	11,261.000	10,133.84	Various	10,135.13	1.19 W	-0.10	Total of 11 transactions
03/02/21	1.000	0.69	02/10/21	0.90	...	-0.21	Sale ²⁵
	Security total:	23,230.68		23,221.19	1.19 W	10.68	
TELIGENT INC NEW COMMON STOCK / CUSIP: 87960W203 / Symbol:							
5 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	1.000	0.04	10/14/21	0.20	...	-0.16	1 of 5 - Sale ²⁵
	49,769.000	2,034.59	10/15/21	9,904.03	...	-7,869.44	2 of 5 - Sale ²⁵
	2,531.000	103.47	10/15/21	503.67	...	-400.20	3 of 5 - Sale ²⁵
	205.000	8.38	10/15/21	39.98	...	-31.60	4 of 5 - Sale ²⁵
	100.000	4.09	10/15/21	19.50	...	-15.41	5 of 5 - Sale ²⁵
11/24/21	52,606.000	2,150.57	Various	10,467.38	...	-8,316.81	Total of 5 transactions
TELLURIAN INC. / CUSIP: 87968A104 / Symbol:							
11/03/21	0.253	1.12	05/27/21	1.00	...	0.12	Sale ²⁵
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314606 / Symbol:							
01/07/21	1.000	3.22	05/18/20	0.25	...	2.97	Sale ²⁵
TRIUMPH GROUP, INC. / CUSIP: 896818101 / Symbol:							
01/07/21	1.000	12.87	04/22/20	6.35	...	6.52	Sale ²⁵
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
26 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	100.000	305.99	02/04/21	300.00	...	5.99	1 of 26 - Sale ²⁵
	100.000	305.99	02/04/21	300.00	...	5.99	2 of 26 - Sale ²⁵
	100.000	305.99	02/04/21	300.00	...	5.99	3 of 26 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol: (cont'd)							
	100.000	305.99	02/04/21	300.00	...	5.99	4 of 26 - Sale ²⁵
	100.000	305.99	02/04/21	300.00	...	5.99	5 of 26 - Sale ²⁵
	100.000	305.99	02/04/21	300.00	...	5.99	6 of 26 - Sale ²⁵
	100.000	305.99	02/04/21	300.00	...	5.99	7 of 26 - Sale ²⁵
	100.000	305.99	02/04/21	300.00	...	5.99	8 of 26 - Sale ²⁵
	100.000	306.49	02/04/21	300.00	...	6.49	9 of 26 - Sale ²⁵
	100.000	306.49	02/04/21	300.00	...	6.49	10 of 26 - Sale ²⁵
	100.000	306.49	02/04/21	300.00	...	6.49	11 of 26 - Sale ²⁵
	200.000	611.96	02/04/21	600.00	...	11.96	12 of 26 - Sale ²⁵
	200.000	611.96	02/04/21	600.00	...	11.96	13 of 26 - Sale ²⁵
	200.000	611.96	02/04/21	600.00	...	11.96	14 of 26 - Sale ²⁵
	200.000	611.96	02/04/21	600.00	...	11.96	15 of 26 - Sale ²⁵
	200.000	611.96	02/04/21	600.00	...	11.96	16 of 26 - Sale ²⁵
	200.000	611.96	02/04/21	600.00	...	11.96	17 of 26 - Sale ²⁵
	200.000	611.96	02/04/21	600.00	...	11.96	18 of 26 - Sale ²⁵
	200.000	611.96	02/04/21	600.00	...	11.96	19 of 26 - Sale ²⁵
	200.000	611.96	02/04/21	600.00	...	11.96	20 of 26 - Sale ²⁵
	300.000	917.93	02/04/21	900.00	...	17.93	21 of 26 - Sale ²⁵
	300.000	917.93	02/04/21	900.00	...	17.93	22 of 26 - Sale ²⁵
	400.000	1,223.92	02/04/21	1,200.00	...	23.92	23 of 26 - Sale ²⁵
	500.000	1,529.90	02/04/21	1,500.00	...	29.90	24 of 26 - Sale ²⁵
	700.000	2,141.87	02/04/21	2,100.00	...	41.87	25 of 26 - Sale ²⁵
	4,400.000	13,463.18	02/04/21	13,200.00	...	263.18	26 of 26 - Sale ²⁵
02/05/21	9,500.000	29,069.76	Various	28,500.00	...	569.76	Total of 26 transactions
03/02/21	1.000	2.26	02/08/21	3.25	...	-0.99	Sale ²⁵
	Security total:	29,072.02		28,503.25	...	568.77	
TWO HARBORS INVESTMENT CORP. / CUSIP: 90187B408 / Symbol:							
01/07/21	1.000	6.45	05/01/20	4.12	...	2.33	Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VERTEX ENERGY, INC COMMON STOC K / CUSIP: 92534K107 / Symbol:							
<i>2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.</i>							
	1,378.000	5,511.81	05/26/21	4,134.00	...	1,377.81	1 of 2 - Sale ²⁵
	1,955.000	7,819.73	05/26/21	5,865.00	...	1,954.73	2 of 2 - Sale ²⁵
05/27/21	3,333.000	13,331.54	Various	9,999.00	...	3,332.54	Total of 2 transactions
11/03/21	0.250	1.26	05/27/21	1.00	...	0.26	Sale ²⁵
	Security total:	13,332.80		10,000.00	...	3,332.80	
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
01/07/21	1.000	1.72	05/01/20	0.34	...	1.38	Sale ²⁵
VIVEVE MEDICAL, INC. COMMON ST OCK / CUSIP: 92852W501 / Symbol:							
01/07/21	1.000	4.45	07/14/20	0.69	...	3.76	Sale ²⁵
<i>2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>							
	341.000	1,534.42	01/28/21	1,500.40	...	34.02	1 of 2 - Sale ²⁵
	4,059.000	18,264.61	01/28/21	17,859.60	...	405.01	2 of 2 - Sale ²⁵
02/08/21	4,400.000	19,799.03	Various	19,360.00	...	439.03	Total of 2 transactions
	Security total:	19,803.48		19,360.69	...	442.79	
VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V209 / Symbol:							
<i>5 transactions for 12/21/21. Total proceeds and cost reported to the IRS.</i>							
	3,034.197	3,640.66	12/06/21	3,641.04	...	-0.38	1 of 5 - Sale ²⁵
	1,899.197	2,278.79	12/06/21	2,279.04	...	-0.25	2 of 5 - Sale ²⁵
	1,936.000	2,322.95	12/06/21	2,323.20	...	-0.25	3 of 5 - Sale ²⁵
	964.000	1,156.68	12/06/21	1,156.80	...	-0.12	4 of 5 - Sale ²⁵
	0.803	0.96	12/06/21	1.00	...	-0.04	5 of 5 - Sale ²⁵
12/21/21	7,834.197	9,400.04	Various	9,401.08	...	-1.04	Total of 5 transactions
12/21/21	0.803	0.96	12/06/21	1.00	0.04 W	0.00	Sale ²⁵
	Security total:	9,401.00		9,402.08	0.04 W	-1.04	
WISEKEY INTERNATIONAL HOLDING AG AMERICAN DEPOSITARY SHARES / CUSIP: 97727L200 / Symbol:							
<i>2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.</i>							
	49.000	568.88	03/18/21	930.03	...	-361.15	1 of 2 - Sale ²⁵
	21.000	243.81	03/18/21	398.59	...	-154.78	2 of 2 - Sale ²⁵
03/19/21	70.000	812.69	Various	1,328.62	...	-515.93	Total of 2 transactions

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Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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WISEKEY INTERNATIONAL HOLDING AG AMERICAN DEPOSITARY SHARES / CUSIP: 97727L200 / Symbol: (cont'd)							
17 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	207.000	2,364.94	03/18/21	2,898.00	430.05 W	-103.01	1 of 17 - Sale ²⁵
	29.000	336.68	03/18/21	550.43	176.90 W	-36.85	2 of 17 - Sale ²⁵
	40.000	456.99	03/18/21	560.00	103.01 W	0.00	3 of 17 - Sale ²⁵
	100.000	1,142.48	03/18/21	1,400.00	257.52 W	0.00	4 of 17 - Sale ²⁵
	80.000	917.98	03/18/21	1,120.00	202.02 W	0.00	5 of 17 - Sale ²⁵
	40.000	463.79	03/18/21	663.01	199.22 W	0.00	6 of 17 - Sale ²⁵
	80.000	927.59	03/18/21	1,322.02	394.43 W	0.00	7 of 17 - Sale ²⁵
	100.000	1,159.48	03/18/21	1,657.52	498.04 W	0.00	8 of 17 - Sale ²⁵
	167.000	1,936.34	03/18/21	2,768.05	831.71 W	0.00	9 of 17 - Sale ²⁵
	613.000	7,107.62	03/18/21	8,582.00	1,474.38 W	0.00	10 of 17 - Sale ²⁵
	100.000	1,159.48	03/18/21	1,640.52	481.04 W	0.00	11 of 17 - Sale ²⁵
	24.000	276.00	03/18/21	393.72	117.72 W	0.00	12 of 17 - Sale ²⁵
	11.000	126.50	03/18/21	208.79	82.29 W	0.00	13 of 17 - Sale ²⁵
	165.000	1,897.46	03/18/21	2,706.86	809.40 W	0.00	14 of 17 - Sale ²⁵
	50.000	578.99	03/18/21	820.26	241.27 W	0.00	15 of 17 - Sale ²⁵
	200.000	2,323.96	03/18/21	3,281.04	957.08 W	0.00	16 of 17 - Sale ²⁵
	74.000	859.12	03/18/21	1,213.98	354.86 W	0.00	17 of 17 - Sale ²⁵
03/19/21	2,080.000	24,035.40	Various	31,786.20	7,610.94 W	-139.86	Total of 17 transactions
21 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	98.000	764.38	03/24/21	2,440.73	...	-1,676.35	1 of 21 - Sale ²⁵
	97.000	756.58	03/24/21	2,423.09	...	-1,666.51	2 of 21 - Sale ²⁵
	74.000	577.19	03/24/21	1,835.97	...	-1,258.78	3 of 21 - Sale ²⁵
	74.000	577.19	03/24/21	1,834.86	...	-1,257.67	4 of 21 - Sale ²⁵
	74.000	577.19	03/24/21	1,834.12	...	-1,256.93	5 of 21 - Sale ²⁵
	69.000	538.19	03/24/21	1,720.20	...	-1,182.01	6 of 21 - Sale ²⁵
	68.000	530.39	03/24/21	1,698.67	...	-1,168.28	7 of 21 - Sale ²⁵
	57.000	444.59	03/24/21	1,412.77	...	-968.18	8 of 21 - Sale ²⁵
	50.000	389.99	03/24/21	1,241.27	...	-851.28	9 of 21 - Sale ²⁵
	35.000	272.99	03/24/21	867.49	...	-594.50	10 of 21 - Sale ²⁵
	32.000	249.59	03/24/21	799.37	...	-549.78	11 of 21 - Sale ²⁵
	28.000	218.39	03/24/21	697.35	...	-478.96	12 of 21 - Sale ²⁵
	28.000	218.40	03/24/21	693.99	...	-475.59	13 of 21 - Sale ²⁵
	24.000	187.20	03/24/21	656.90	...	-469.70	14 of 21 - Sale ²⁵

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Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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WISEKEY INTERNATIONAL HOLDING AG AMERICAN DEPOSITARY SHARES / CUSIP: 97727L200 / Symbol: (cont'd)							
	26.000	202.79	03/24/21	645.07	...	-442.28	15 of 21 - Sale ²⁵
	24.000	187.19	03/24/21	597.72	...	-410.53	16 of 21 - Sale ²⁵
	20.000	156.00	03/24/21	498.11	...	-342.11	17 of 21 - Sale ²⁵
	14.000	109.20	03/24/21	348.68	...	-239.48	18 of 21 - Sale ²⁵
	11.000	85.80	03/24/21	274.23	...	-188.43	19 of 21 - Sale ²⁵
	6.000	46.80	03/24/21	148.71	...	-101.91	20 of 21 - Sale ²⁵
	5.000	39.00	03/24/21	124.53	...	-85.53	21 of 21 - Sale ²⁵
05/28/21	914.000	7,129.04	Various	22,793.83	...	-15,664.79	Total of 21 transactions
05/28/21	11.000	85.80	03/24/21	302.29	2.34 W	-214.15	Sale ²⁵
11/03/21	0.119	0.72	06/07/21	3.34	...	-2.62	Sale ²⁵
	Security total:	32,063.65		56,214.28	7,613.28 W	-16,537.35	
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
03/05/21	1.000	1.51	06/12/20	5.04	...	-3.53	Sale ²⁵
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
03/02/21	1.000	2.16	06/25/20	0.23	...	1.93	Sale ²⁵
ATLAS FINL HLDGS INC ORDINARY SHARES / CUSIP: G06207115 / Symbol:							
4 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	400.000	63.55	09/01/20	116.00	...	-52.45	1 of 4 - Sale ²⁵
	325.000	51.64	09/01/20	94.25	...	-42.61	2 of 4 - Sale ²⁵
	300.000	47.66	09/01/20	87.00	...	-39.34	3 of 4 - Sale ²⁵
	150.000	23.84	09/01/20	44.10	...	-20.26	4 of 4 - Sale ²⁵
01/07/21	1,175.000	186.69	Various	341.35	...	-154.66	Total of 4 transactions
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol:							
9 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	30.000	11.25	10/06/21	10.20	...	1.05	1 of 9 - Sale ²⁵
	100.000	37.50	10/06/21	34.00	...	3.50	2 of 9 - Sale ²⁵
	200.000	75.00	10/06/21	68.00	...	7.00	3 of 9 - Sale ²⁵
	602.000	225.74	10/06/21	204.68	...	21.06	4 of 9 - Sale ²⁵
	723.000	271.11	10/06/21	245.82	...	25.29	5 of 9 - Sale ²⁵
	1,375.000	515.60	10/06/21	467.50	...	48.10	6 of 9 - Sale ²⁵
	2,470.000	926.20	10/06/21	839.80	...	86.40	7 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol: (cont'd)							
	2,800.000	1,049.94	10/06/21	952.00	...	97.94	8 of 9 - Sale ²⁵
	19,600.000	7,349.57	10/06/21	6,658.12	...	691.45	9 of 9 - Sale ²⁵
10/08/21	27,900.000	10,461.91	Various	9,480.12	...	981.79	Total of 9 transactions
ITERUM THERAPEUTICS PLC ORDINARY SHARE / CUSIP: G6333L101 / Symbol:							
02/09/21	138.000	304.96	02/08/21	227.70	...	77.26	Sale ²⁵
06/07/21	1.000	1.65	02/08/21	1.65	...	0.00	Sale ²⁵
	Security total:	306.61		229.35	...	77.26	
NABORS INDUSTRIES LTD. / CUSIP: G6359F137 / Symbol:							
2 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	0.300	19.33	04/22/20	3.15	...	16.18	1 of 2 - Sale ²⁵
	0.700	45.09	04/22/20	7.35	...	37.74	2 of 2 - Sale ²⁵
01/05/21	1.000	64.42	Various	10.50	...	53.92	Total of 2 transactions
ORIENTAL CULTURE HOLDING LTD ORDINARY SHARES / CUSIP: G6796W107 / Symbol:							
51 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	1.000	12.00	03/17/21	9.00	...	3.00	1 of 51 - Sale ²⁵
	1.000	12.03	03/17/21	9.00	...	3.03	2 of 51 - Sale ²⁵
	1.000	12.04	03/17/21	9.00	...	3.04	3 of 51 - Sale ²⁵
	5.000	60.15	03/17/21	45.00	...	15.15	4 of 51 - Sale ²⁵
	10.000	120.30	03/17/21	90.00	...	30.30	5 of 51 - Sale ²⁵
	10.000	120.40	03/17/21	90.00	...	30.40	6 of 51 - Sale ²⁵
	11.000	132.33	03/17/21	99.00	...	33.33	7 of 51 - Sale ²⁵
	15.000	180.60	03/17/21	135.00	...	45.60	8 of 51 - Sale ²⁵
	25.000	301.50	03/17/21	225.00	...	76.50	9 of 51 - Sale ²⁵
	41.000	493.63	03/17/21	369.00	...	124.63	10 of 51 - Sale ²⁵
	49.000	589.46	03/17/21	441.00	...	148.46	11 of 51 - Sale ²⁵
	50.000	599.99	03/17/21	450.00	...	149.99	12 of 51 - Sale ²⁵
	50.000	601.49	03/17/21	450.00	...	151.49	13 of 51 - Sale ²⁵
	50.000	601.49	03/17/21	450.00	...	151.49	14 of 51 - Sale ²⁵
	50.000	601.49	03/17/21	450.00	...	151.49	15 of 51 - Sale ²⁵
	75.000	902.98	03/17/21	675.00	...	227.98	16 of 51 - Sale ²⁵
	90.000	1,082.68	03/17/21	810.00	...	272.68	17 of 51 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORIENTAL CULTURE HOLDING LTD O RDINARY SHARES / CUSIP: G6796W107 / Symbol: (cont'd)							
100.000		1,199.98	03/17/21	900.00	...	299.98	18 of 51 - Sale ²⁵
100.000		1,199.98	03/17/21	900.00	...	299.98	19 of 51 - Sale ²⁵
100.000		1,205.98	03/17/21	900.00	...	305.98	20 of 51 - Sale ²⁵
108.000		1,300.30	03/17/21	972.00	...	328.30	21 of 51 - Sale ²⁵
108.000		1,300.30	03/17/21	972.00	...	328.30	22 of 51 - Sale ²⁵
124.000		1,491.70	03/17/21	1,116.00	...	375.70	23 of 51 - Sale ²⁵
140.000		1,685.57	03/17/21	1,260.00	...	425.57	24 of 51 - Sale ²⁵
173.000		2,075.97	03/17/21	1,557.00	...	518.97	25 of 51 - Sale ²⁵
187.000		2,251.45	03/17/21	1,683.00	...	568.45	26 of 51 - Sale ²⁵
200.000		2,399.96	03/17/21	1,800.00	...	599.96	27 of 51 - Sale ²⁵
200.000		2,405.96	03/17/21	1,800.00	...	605.96	28 of 51 - Sale ²⁵
223.000		2,682.64	03/17/21	2,007.00	...	675.64	29 of 51 - Sale ²⁵
227.000		2,730.76	03/17/21	2,043.00	...	687.76	30 of 51 - Sale ²⁵
476.000		5,711.92	03/17/21	4,284.00	...	1,427.92	31 of 51 - Sale ²⁵
161.000		1,706.57	03/18/21	2,382.86	...	-676.29	32 of 51 - Sale ²⁵
100.000		1,059.98	03/18/21	1,617.06	...	-557.08	33 of 51 - Sale ²⁵
100.000		1,059.98	03/18/21	1,479.04	...	-419.06	34 of 51 - Sale ²⁵
69.000		731.39	03/18/21	1,115.77	...	-384.38	35 of 51 - Sale ²⁵
266.000		2,819.55	03/18/21	3,192.00	...	-372.45	36 of 51 - Sale ²⁵
51.000		540.59	03/18/21	824.70	...	-284.11	37 of 51 - Sale ²⁵
51.000		540.59	03/18/21	824.70	...	-284.11	38 of 51 - Sale ²⁵
40.000		423.99	03/18/21	646.82	...	-222.83	39 of 51 - Sale ²⁵
31.000		328.59	03/18/21	501.29	...	-172.70	40 of 51 - Sale ²⁵
31.000		328.60	03/18/21	501.29	...	-172.69	41 of 51 - Sale ²⁵
41.000		434.59	03/18/21	606.82	...	-172.23	42 of 51 - Sale ²⁵
36.000		381.59	03/18/21	482.41	...	-100.82	43 of 51 - Sale ²⁵
18.000		190.80	03/18/21	291.07	...	-100.27	44 of 51 - Sale ²⁵
18.000		190.80	03/18/21	266.40	...	-75.60	45 of 51 - Sale ²⁵
15.000		159.00	03/18/21	222.00	...	-63.00	46 of 51 - Sale ²⁵
9.000		95.40	03/18/21	145.80	...	-50.40	47 of 51 - Sale ²⁵
7.000		74.20	03/18/21	113.19	...	-38.99	48 of 51 - Sale ²⁵
5.000		53.00	03/18/21	81.00	...	-28.00	49 of 51 - Sale ²⁵
1.000		10.60	03/18/21	16.20	...	-5.60	50 of 51 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORIENTAL CULTURE HOLDING LTD O RDINARY SHARES / CUSIP: G6796W107 / Symbol: (cont'd)							
03/18/21	1.000	10.60	03/18/21	16.20	...	-5.60	51 of 51 - Sale ²⁵
	4,051.000	47,207.44	Various	42,326.62	...	4,880.82	Total of 51 transactions
	28 transactions for 03/18/21. Total proceeds and cost reported to the IRS.						
	399.000	4,241.29	03/18/21	4,788.00	545.34 W	-1.37	1 of 28 - Sale ²⁵
	1.000	10.63	03/18/21	12.03	1.40 W	0.00	2 of 28 - Sale ²⁵
	10.000	106.00	03/18/21	120.00	14.00 W	0.00	3 of 28 - Sale ²⁵
	5.000	53.00	03/18/21	60.00	7.00 W	0.00	4 of 28 - Sale ²⁵
	141.000	1,494.57	03/18/21	1,692.00	197.43 W	0.00	5 of 28 - Sale ²⁵
	1.000	10.60	03/18/21	13.40	2.80 W	0.00	6 of 28 - Sale ²⁵
	5.000	53.00	03/18/21	67.00	14.00 W	0.00	7 of 28 - Sale ²⁵
	10.000	106.00	03/18/21	134.00	28.00 W	0.00	8 of 28 - Sale ²⁵
	398.000	4,218.73	03/18/21	5,321.34	1,102.61 W	0.00	9 of 28 - Sale ²⁵
	161.000	1,706.57	03/18/21	1,932.00	225.43 W	0.00	10 of 28 - Sale ²⁵
	18.000	190.80	03/18/21	216.00	25.20 W	0.00	11 of 28 - Sale ²⁵
	51.000	540.59	03/18/21	612.00	71.41 W	0.00	12 of 28 - Sale ²⁵
	100.000	1,060.98	03/18/21	1,340.02	279.04 W	0.00	13 of 28 - Sale ²⁵
	1.000	10.60	03/18/21	14.80	4.20 W	0.00	14 of 28 - Sale ²⁵
	7.000	74.20	03/18/21	103.39	29.19 W	0.00	15 of 28 - Sale ²⁵
	41.000	434.59	03/18/21	549.41	114.82 W	0.00	16 of 28 - Sale ²⁵
	51.000	540.59	03/18/21	753.29	212.70 W	0.00	17 of 28 - Sale ²⁵
	100.000	1,059.98	03/18/21	1,477.04	417.06 W	0.00	18 of 28 - Sale ²⁵
	49.000	519.39	03/18/21	723.75	204.36 W	0.00	19 of 28 - Sale ²⁵
	51.000	540.59	03/18/21	753.29	212.70 W	0.00	20 of 28 - Sale ²⁵
	100.000	1,059.98	03/18/21	1,477.04	417.06 W	0.00	21 of 28 - Sale ²⁵
	9.000	95.40	03/18/21	133.20	37.80 W	0.00	22 of 28 - Sale ²⁵
	40.000	423.99	03/18/21	590.81	166.82 W	0.00	23 of 28 - Sale ²⁵
	1.000	10.60	03/18/21	14.80	4.20 W	0.00	24 of 28 - Sale ²⁵
	5.000	53.00	03/18/21	74.00	21.00 W	0.00	25 of 28 - Sale ²⁵
	15.000	159.00	03/18/21	201.00	42.00 W	0.00	26 of 28 - Sale ²⁵
	18.000	190.80	03/18/21	241.20	50.40 W	0.00	27 of 28 - Sale ²⁵
	161.000	1,706.57	03/18/21	2,157.43	450.86 W	0.00	28 of 28 - Sale ²⁵
03/18/21	1,949.000	20,672.04	Various	25,572.24	4,898.83 W	-1.37	Total of 28 transactions
10/18/21	1.000	3.77	03/18/21	12.00	...	-8.23	Sale ²⁵
	Security total:	67,883.25		67,910.86	4,898.83 W	4,871.22	

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2021 1099-B* OMB No. 1545-0715

(continued)

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OXBRIDGE RE HOLDINGS LIMITED O RDINARY SHARES / CUSIP: G6856M106 / Symbol:							
03/02/21	1.000	3.05	06/30/20	1.72	...	1.33	Sale ²⁵
VALARIS PLC ORDINARY SHARES / CUSIP: G9402V109 / Symbol:							
01/07/21	1.000	0.07	06/05/20	0.46	...	-0.39	Sale ²⁵
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol:							
<i>17 transactions for 03/16/21. Total proceeds and cost reported to the IRS.</i>							
	6,100.000	14,334.19	03/15/21	18,300.00	...	-3,965.81	1 of 17 - Sale ²⁵
	3,500.000	8,224.53	03/15/21	10,500.00	...	-2,275.47	2 of 17 - Sale ²⁵
	694.000	1,630.81	03/15/21	2,082.00	...	-451.19	3 of 17 - Sale ²⁵
	500.000	1,174.93	03/15/21	1,500.00	...	-325.07	4 of 17 - Sale ²⁵
	300.000	704.95	03/15/21	900.00	...	-195.05	5 of 17 - Sale ²⁵
	213.000	500.51	03/15/21	639.00	...	-138.49	6 of 17 - Sale ²⁵
	100.000	234.99	03/15/21	300.00	...	-65.01	7 of 17 - Sale ²⁵
	25.000	58.75	03/15/21	75.00	...	-16.25	8 of 17 - Sale ²⁵
	25.000	58.75	03/15/21	75.00	...	-16.25	9 of 17 - Sale ²⁵
	11.000	25.85	03/15/21	33.00	...	-7.15	10 of 17 - Sale ²⁵
	10.000	23.50	03/15/21	30.00	...	-6.50	11 of 17 - Sale ²⁵
	10.000	23.50	03/15/21	30.00	...	-6.50	12 of 17 - Sale ²⁵
	5.000	11.75	03/15/21	15.00	...	-3.25	13 of 17 - Sale ²⁵
	3.000	7.05	03/15/21	9.00	...	-1.95	14 of 17 - Sale ²⁵
	2.000	4.70	03/15/21	6.00	...	-1.30	15 of 17 - Sale ²⁵
	1.000	2.35	03/15/21	3.00	...	-0.65	16 of 17 - Sale ²⁵
	1.000	2.35	03/15/21	3.00	...	-0.65	17 of 17 - Sale ²⁵
03/16/21	11,500.000	27,023.46	Various	34,500.00	...	-7,476.54	Total of 17 transactions
GAMIDA CELL LTD. ORDINARY SHAR ES / CUSIP: M47364100 / Symbol:							
<i>10 transactions for 03/05/21. Total proceeds and cost reported to the IRS.</i>							
	78.000	623.99	02/10/21	1,688.72	...	-1,064.73	1 of 10 - Sale ²⁵
	100.000	799.98	02/10/21	1,265.00	...	-465.02	2 of 10 - Sale ²⁵
	100.000	799.98	02/10/21	1,265.00	...	-465.02	3 of 10 - Sale ²⁵
	100.000	799.98	02/10/21	1,265.00	...	-465.02	4 of 10 - Sale ²⁵
	70.000	559.99	02/10/21	885.50	...	-325.51	5 of 10 - Sale ²⁵
	22.000	176.00	02/10/21	476.30	...	-300.30	6 of 10 - Sale ²⁵
	2,571.000	20,567.59	02/16/21	28,923.75	...	-8,356.16	7 of 10 - Sale ²⁵

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(continued)

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GAMIDA CELL LTD. ORDINARY SHAR ES / CUSIP: M47364100 / Symbol: (cont'd)							
	250.000	1,999.96	02/16/21	2,812.50	...	-812.54	8 of 10 - Sale ²⁵
	200.000	1,599.97	02/16/21	2,250.00	...	-650.03	9 of 10 - Sale ²⁵
	4.000	32.00	02/16/21	45.00	...	-13.00	10 of 10 - Sale ²⁵
03/05/21	3,495.000	27,959.44	Various	40,876.77	...	-12,917.33	Total of 10 transactions
	2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	875.000	6,999.86	02/09/21	14,875.00	9.00 W	-7,866.14	1 of 2 - Sale ²⁵
	100.000	799.98	02/09/21	1,700.00	900.02 W	0.00	2 of 2 - Sale ²⁵
03/05/21	975.000	7,799.84	Various	16,575.00	909.02 W	-7,866.14	Total of 2 transactions
04/19/21	1.000	7.36	02/19/21	20.22	...	-12.86	Sale ²⁵
	Security total:	35,766.64		57,471.99	909.02 W	-20,796.33	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
	2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.						
	7,782.000	3,111.80	01/05/21	2,957.16	...	154.64	1 of 2 - Sale ²⁵
	8,217.000	3,285.75	01/05/21	3,122.46	...	163.29	2 of 2 - Sale ²⁵
01/11/21	15,999.000	6,397.55	Various	6,079.62	...	317.93	Total of 2 transactions
01/11/21	1.000	0.40	08/13/20	2.53	2.13 W	0.00	Sale ²⁵
03/02/21	1.000	1.07	01/05/21	2.51	1.44 W	0.00	Sale ²⁵
	7 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	1.000	1.00	03/10/21	2.44	...	-1.44	1 of 7 - Sale ²⁵
	99.000	98.99	03/10/21	98.85	...	0.14	2 of 7 - Sale ²⁵
	100.000	99.99	03/10/21	99.85	...	0.14	3 of 7 - Sale ²⁵
	100.000	99.99	03/10/21	99.85	...	0.14	4 of 7 - Sale ²⁵
	1,700.000	1,699.79	03/10/21	1,697.51	...	2.28	5 of 7 - Sale ²⁵
	1,600.000	1,599.80	03/10/21	1,597.44	...	2.36	6 of 7 - Sale ²⁵
	4,400.000	4,399.44	03/10/21	4,392.96	...	6.48	7 of 7 - Sale ²⁵
03/11/21	8,000.000	7,999.00	Various	7,988.90	...	10.10	Total of 7 transactions
	5 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	100.000	99.99	03/10/21	100.00	0.01 W	0.00	1 of 5 - Sale ²⁵
	100.000	99.99	03/10/21	100.00	0.01 W	0.00	2 of 5 - Sale ²⁵
	1,700.000	1,699.77	03/10/21	1,700.00	0.23 W	0.00	3 of 5 - Sale ²⁵
	1.000	1.00	03/10/21	2.44	1.44 W	0.00	4 of 5 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	99.000	98.99	03/10/21	99.00	0.01 W	0.00	5 of 5 - Sale ²⁵
03/11/21	2,000.000	1,999.74	Various	2,001.44	1.70 W	0.00	Total of 5 transactions
	Security total:	16,397.76		16,075.00	5.27 W	328.03	
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
20 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	4,614.000	1,609.69	01/14/21	1,614.90	...	-5.21	1 of 20 - Sale ²⁵
	1,364.000	475.86	01/14/21	478.94	...	-3.08	2 of 20 - Sale ²⁵
	700.000	244.21	01/14/21	246.50	...	-2.29	3 of 20 - Sale ²⁵
	700.000	244.21	01/14/21	245.78	...	-1.57	4 of 20 - Sale ²⁵
	435.000	151.76	01/14/21	153.23	...	-1.47	5 of 20 - Sale ²⁵
	400.000	139.55	01/14/21	140.86	...	-1.31	6 of 20 - Sale ²⁵
	500.000	174.44	01/14/21	175.56	...	-1.12	7 of 20 - Sale ²⁵
	300.000	104.66	01/14/21	105.65	...	-0.99	8 of 20 - Sale ²⁵
	300.000	104.66	01/14/21	105.65	...	-0.99	9 of 20 - Sale ²⁵
	350.000	122.10	01/14/21	122.89	...	-0.79	10 of 20 - Sale ²⁵
	279.000	97.34	01/14/21	97.65	...	-0.31	11 of 20 - Sale ²⁵
	135.000	47.10	01/14/21	47.40	...	-0.30	12 of 20 - Sale ²⁵
	100.000	34.89	01/14/21	35.11	...	-0.22	13 of 20 - Sale ²⁵
	100.000	34.89	01/14/21	35.11	...	-0.22	14 of 20 - Sale ²⁵
	100.000	34.89	01/14/21	35.11	...	-0.22	15 of 20 - Sale ²⁵
	100.000	34.89	01/14/21	35.11	...	-0.22	16 of 20 - Sale ²⁵
	100.000	34.89	01/14/21	35.11	...	-0.22	17 of 20 - Sale ²⁵
	95.000	33.14	01/14/21	33.35	...	-0.21	18 of 20 - Sale ²⁵
	27.000	9.42	01/14/21	9.48	...	-0.06	19 of 20 - Sale ²⁵
	14.000	4.88	01/14/21	4.91	...	-0.03	20 of 20 - Sale ²⁵
01/14/21	10,713.000	3,737.47	Various	3,758.30	...	-20.83	Total of 20 transactions
23 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	700.000	244.21	01/14/21	245.78	0.98 W	-0.59	1 of 23 - Sale ²⁵
	300.000	104.69	01/14/21	105.00	0.31 W	0.00	2 of 23 - Sale ²⁵
	700.000	244.29	01/14/21	245.00	0.71 W	0.00	3 of 23 - Sale ²⁵
	300.000	104.69	01/14/21	105.00	0.31 W	0.00	4 of 23 - Sale ²⁵
	400.000	139.59	01/14/21	140.00	0.41 W	0.00	5 of 23 - Sale ²⁵
	700.000	244.22	01/14/21	245.00	0.78 W	0.00	6 of 23 - Sale ²⁵

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Robinhood Securities LLC

Account 590208732

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	500.000	174.44	01/14/21	175.00	0.56 W	0.00	7 of 23 - Sale ²⁵
	100.000	34.89	01/14/21	35.00	0.11 W	0.00	8 of 23 - Sale ²⁵
	95.000	33.15	01/14/21	33.25	0.10 W	0.00	9 of 23 - Sale ²⁵
	100.000	34.89	01/14/21	35.00	0.11 W	0.00	10 of 23 - Sale ²⁵
	100.000	34.89	01/14/21	35.00	0.11 W	0.00	11 of 23 - Sale ²⁵
	700.000	244.22	01/14/21	245.00	0.78 W	0.00	12 of 23 - Sale ²⁵
	100.000	34.89	01/14/21	35.00	0.11 W	0.00	13 of 23 - Sale ²⁵
	27.000	9.42	01/14/21	9.45	0.03 W	0.00	14 of 23 - Sale ²⁵
	135.000	47.10	01/14/21	47.25	0.15 W	0.00	15 of 23 - Sale ²⁵
	100.000	34.89	01/14/21	35.00	0.11 W	0.00	16 of 23 - Sale ²⁵
	350.000	122.11	01/14/21	122.50	0.39 W	0.00	17 of 23 - Sale ²⁵
	14.000	4.89	01/14/21	4.90	0.01 W	0.00	18 of 23 - Sale ²⁵
	1,364.000	475.86	01/14/21	477.40	1.54 W	0.00	19 of 23 - Sale ²⁵
	300.000	104.66	01/14/21	105.31	0.65 W	0.00	20 of 23 - Sale ²⁵
	300.000	104.66	01/14/21	105.31	0.65 W	0.00	21 of 23 - Sale ²⁵
	400.000	139.55	01/14/21	140.41	0.86 W	0.00	22 of 23 - Sale ²⁵
	700.000	244.21	01/14/21	245.71	1.50 W	0.00	23 of 23 - Sale ²⁵
01/14/21	8,485.000	2,960.41	Various	2,972.27	11.27 W	-0.59	Total of 23 transactions
03/02/21	1.000	1.00	01/14/21	0.35	...	0.65	Sale ²⁵
	4 transactions for 04/14/21. Total proceeds and cost reported to the IRS.						
	100.000	43.48	04/08/21	48.52	...	-5.04	1 of 4 - Sale ²⁵
	100.000	43.48	04/08/21	48.51	...	-5.03	2 of 4 - Sale ²⁵
	100.000	43.48	04/08/21	48.48	...	-5.00	3 of 4 - Sale ²⁵
	14.000	6.08	04/08/21	6.79	...	-0.71	4 of 4 - Sale ²⁵
04/14/21	314.000	136.52	Various	152.30	...	-15.78	Total of 4 transactions
	2 transactions for 04/19/21. Total proceeds and cost reported to the IRS.						
	7,218.000	3,463.76	04/08/21	3,502.90	...	-39.14	1 of 2 - Sale ²⁵
	768.000	368.55	04/08/21	372.71	...	-4.16	2 of 2 - Sale ²⁵
04/19/21	7,986.000	3,832.31	Various	3,875.61	...	-43.30	Total of 2 transactions
	Security total:	10,667.71		10,758.83	11.27 W	-79.85	
Totals :		897,995.70		998,199.11	32,001.38 W	-68,202.03	

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Robinhood Securities LLC

Account 590208732

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: 02/01/21	0.333	0.81	06/12/20	0.44	...	0.37	Gain or loss
OASIS PETE INC NEW WARRANT 1 1/19/2024 / CUSIP: 674215116 / Symbol: 04/16/21	0.005	0.09	06/04/20	0.56	...	-0.47	Gain or loss
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V801 / Symbol: 05/28/21	0.067	0.63	03/11/21	1.20	...	-0.57	Gain or loss
Totals:		1.53		2.20	...	-0.67	

Robinhood Securities LLC

Account 590208732

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
INVESCO MORTGAGE CAPITAL INC.	46131B100		01/26/21	0.08	Nondividend distribution	03
				0.08	Total Dividends & distributions	

Robinhood Securities LLC

Account 590208732

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/28/21	-5.00	Margin interest paid	
		03/01/21	-5.00	Margin interest paid	
		03/01/21	-13.94	Margin interest paid	
		03/29/21	-5.00	Margin interest paid	
		03/29/21	-9.16	Margin interest paid	
		04/28/21	-2.78	Margin interest paid	
		04/28/21	-5.00	Margin interest paid	
		05/28/21	-1.62	Margin interest paid	
		05/28/21	-5.00	Margin interest paid	
		06/28/21	-2.72	Margin interest paid	
		06/28/21	-5.00	Margin interest paid	
		07/27/21	-5.00	Margin interest paid	
			-65.22	Total Margin interest paid	
Margin charge		08/26/21	-5.00	Margin interest paid	
		09/27/21	-5.00	Margin interest paid	
		10/25/21	-5.00	Margin interest paid	
		11/24/21	-0.42	Margin interest paid	
		11/24/21	-5.00	Margin interest paid	
		12/27/21	-5.00	Margin interest paid	
			-25.42	Total Margin interest paid	
			-90.64	Total Margin interest paid	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.