

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 114946114	Statement Date: 02/11/2022 Document ID: 5UT3 613 YV4R	2021
	Sampath kumar r Mandadi 6620 Kansas St Vancouver, WA 98661 RECIPIENT'S TIN: XXX-XX-8840		

[] FATCA filing requirement (see instructions)		Summary Information	
DIVIDENDS AND DISTRIBUTIONS	2021 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION
			2021 1099-MISC*
			OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	425.61		2- Royalties
1b- Qualified dividends	425.49		3- Other income
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00		4- Federal income tax withheld
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest
2c- Section 1202 gain	0.00		
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS
2e- Section 897 ordinary dividends	0.00		2021 1099-B*
2f- Section 897 capital gain	0.00		OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2021 on closed contracts
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2020
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2021
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts
8- Foreign country or US possession:	0.00		
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>
9- Cash liquidation distributions	0.00		* This is important tax information and is being furnished to the Internal Revenue Service.
10- Noncash liquidation distributions	0.00		If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
11- Exempt-interest dividends (includes line 12)	0.00		
12- Specified private activity bond interest dividends (AMT)	0.00		

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	250,148.71	215,085.58	0.00	504.26	35,567.39
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	2.75	3.13	0.00	0.00	-0.38
	Total Short-term	250,151.46	215,088.71	0.00	504.26	35,567.01
Long	D (basis reported to the IRS)	6,280.42	4,670.26	0.00	0.00	1,610.16
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	211.18	0.00	0.00	-211.18
	Total Long-term	6,280.42	4,881.44	0.00	0.00	1,398.98
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	256,431.88	219,970.15	0.00	504.26	36,965.99
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 114946114

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	22.46
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	86.48
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
01/21/21	417.000	401.86	01/11/21	285.85	...	116.01	Sale ²⁵
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
02/05/21	1.000	11.56	07/15/20	2.62	...	8.94	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
04/28/21	25.000	3,424.98	03/03/21	3,075.00	...	349.98	Sale ²⁵
07/02/21	20.000	2,761.38	03/08/21	2,350.00	...	411.38	Sale ²⁵
	Security total:	6,186.36		5,425.00	...	761.36	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
3 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	0.543	22.78	09/28/20	12.98	...	9.80	1 of 3 - Sale ²⁵
	0.003	0.14	12/28/20	0.10	...	0.04	2 of 3 - Sale ²⁵
	0.003	0.10	03/29/21	0.10	...	0.00	3 of 3 - Sale ²⁵
05/10/21	0.549	23.02	Various	13.18	...	9.84	Total of 3 transactions
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.001	0.02	01/21/21	0.01	...	0.01	1 of 3 - Sale ²⁵
	0.174	3.48	01/21/21	2.12	...	1.36	2 of 3 - Sale ²⁵
	122.825	2,456.43	01/21/21	1,495.74	...	960.69	3 of 3 - Sale ²⁵
01/25/21	123.000	2,459.93	Various	1,497.87	...	962.06	Total of 3 transactions
02/05/21	0.175	2.28	01/21/21	2.13	...	0.15	Sale ²⁵
	Security total:	2,462.21		1,500.00	...	962.21	
CALLON PETROLEUM COMPANY / CUSIP: 13123X508 / Symbol:							
02/09/21	65.000	1,314.26	03/09/20	260.00	...	1,054.26	Sale ²⁵
02/24/21	65.000	1,689.95	03/09/20	260.00	...	1,429.95	Sale ²⁵
	Security total:	3,004.21		520.00	...	2,484.21	
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	0.227	4.76	01/13/21	3.37	...	1.39	1 of 2 - Sale ²⁵
	66.773	1,402.19	01/13/21	994.59	...	407.60	2 of 2 - Sale ²⁵
01/22/21	67.000	1,406.95	Various	997.96	...	408.99	Total of 2 transactions
02/02/21	0.137	4.62	01/13/21	2.04	...	2.58	Sale ²⁵
	Security total:	1,411.57		1,000.00	...	411.57	
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
4 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	1.000	9.24	09/23/20	5.00	...	4.24	1 of 4 - Sale ²⁵
	29.000	267.95	04/16/21	232.00	...	35.95	2 of 4 - Sale ²⁵
	101.000	933.22	04/16/21	808.00	...	125.22	3 of 4 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol: (cont'd)							
	10.000	92.40	05/06/21	75.00	...	17.40	4 of 4 - Sale ²⁵
06/09/21	141.000	1,302.81	Various	1,120.00	...	182.81	Total of 4 transactions
	3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	100.000	626.04	09/01/21	648.00	...	-21.96	1 of 3 - Sale ²⁵
	150.000	939.05	09/14/21	883.50	...	55.55	2 of 3 - Sale ²⁵
	200.000	1,252.07	10/04/21	1,100.00	...	152.07	3 of 3 - Sale ²⁵
11/08/21	450.000	2,817.16	Various	2,631.50	...	185.66	Total of 3 transactions
	2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	100.000	626.03	09/01/21	648.00	21.97 W	0.00	1 of 2 - Sale ²⁵
	100.000	626.03	09/01/21	648.00	21.97 W	0.00	2 of 2 - Sale ²⁵
11/08/21	200.000	1,252.06	Various	1,296.00	43.94 W	0.00	Total of 2 transactions
	5 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	11.000	68.42	11/10/21	62.37	...	6.05	1 of 5 - Sale ²⁵
	16.000	99.52	11/10/21	90.72	...	8.80	2 of 5 - Sale ²⁵
	30.000	186.00	11/10/21	170.09	...	15.91	3 of 5 - Sale ²⁵
	54.000	334.79	11/10/21	306.16	...	28.63	4 of 5 - Sale ²⁵
	89.000	553.56	11/10/21	504.60	...	48.96	5 of 5 - Sale ²⁵
11/12/21	200.000	1,242.29	Various	1,133.94	...	108.35	Total of 5 transactions
	Security total:	6,614.32		6,181.44	43.94 W	476.82	
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
	2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	25.000	2,824.94	12/23/20	2,708.46	...	116.48	1 of 2 - Sale ²⁵
	10.000	1,129.97	01/04/21	919.40	...	210.57	2 of 2 - Sale ²⁵
02/04/21	35.000	3,954.91	Various	3,627.86	...	327.05	Total of 2 transactions
02/05/21	0.877	100.85	01/04/21	80.60	...	20.25	Sale ²⁵
	4 transactions for 06/17/21. Total proceeds and cost reported to the IRS.						
	0.677	67.69	03/03/21	60.88	...	6.81	1 of 4 - Sale ²⁵
	15.997	1,599.66	03/03/21	1,439.12	...	160.54	2 of 4 - Sale ²⁵
	35.000	3,499.97	03/03/21	3,220.00	...	279.97	3 of 4 - Sale ²⁵
	8.326	832.63	05/06/21	587.01	...	245.62	4 of 4 - Sale ²⁵
06/17/21	60.000	5,999.95	Various	5,307.01	...	692.94	Total of 4 transactions
	Security total:	10,055.71		9,015.47	...	1,040.24	

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Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DENISON MINES CORP / CUSIP: 248356107 / Symbol:							
05/27/21	1,000.000	1,299.87	04/27/21	1,020.00	...	279.87	Sale ²⁵
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
<i>3 transactions for 06/11/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,254.99	05/04/21	1,015.00	...	239.99	1 of 3 - Sale ²⁵
	7.000	1,756.99	05/06/21	1,365.00	...	391.99	2 of 3 - Sale ²⁵
	5.000	1,254.99	05/13/21	917.00	...	337.99	3 of 3 - Sale ²⁵
06/11/21	17.000	4,266.97	Various	3,297.00	...	969.97	Total of 3 transactions
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
<i>3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.</i>							
	0.521	33.35	12/11/20	22.67	...	10.68	1 of 3 - Sale ²⁵
	0.374	23.96	03/11/21	23.12	...	0.84	2 of 3 - Sale ²⁵
	0.050	3.22	06/11/21	3.17	...	0.05	3 of 3 - Sale ²⁵
10/25/21	0.946	60.53	Various	48.96	...	11.57	Total of 3 transactions
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
<i>3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	3,359.92	12/23/20	3,061.45	...	298.47	1 of 3 - Sale ²⁵
	10.000	1,119.97	12/29/20	892.00	...	227.97	2 of 3 - Sale ²⁵
	5.000	559.99	01/04/21	425.65	...	134.34	3 of 3 - Sale ²⁵
01/27/21	45.000	5,039.88	Various	4,379.10	...	660.78	Total of 3 transactions
02/05/21	0.873	99.00	01/04/21	74.35	...	24.65	Sale ²⁵
10/15/21	27.000	1,224.44	05/06/21	1,163.70	...	60.74	Sale ²⁵
	Security total:	6,363.32		5,617.15	...	746.17	
FULL HOUSE RESORTS, INC. COMMON STOCK / CUSIP: 359678109 / Symbol:							
<i>9 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	11.02	12/23/20	7.56	...	3.46	1 of 9 - Sale ²⁵
	11.000	61.16	12/23/20	41.58	...	19.58	2 of 9 - Sale ²⁵
	28.000	166.60	12/23/20	105.84	...	60.76	3 of 9 - Sale ²⁵
	87.000	478.49	12/23/20	328.86	...	149.63	4 of 9 - Sale ²⁵
	72.000	428.39	12/23/20	272.16	...	156.23	5 of 9 - Sale ²⁵
	100.000	594.97	12/23/20	378.00	...	216.97	6 of 9 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FULL HOUSE RESORTS, INC. COMM N STOCK / CUSIP: 359678109 / Symbol: (cont'd)							
	117.000	696.12	12/23/20	442.26	...	253.86	7 of 9 - Sale ²⁵
	283.000	1,683.78	12/23/20	1,069.74	...	614.04	8 of 9 - Sale ²⁵
	300.000	1,784.92	12/23/20	1,134.00	...	650.92	9 of 9 - Sale ²⁵
01/25/21	1,000.000	5,905.45	Various	3,780.00	...	2,125.45	Total of 9 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
3 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	0.054	0.14	01/05/21	0.12	...	0.02	1 of 3 - Sale ²⁵
	0.595	1.54	01/05/21	1.39	...	0.15	2 of 3 - Sale ²⁵
	213.946	541.23	01/05/21	498.49	...	42.74	3 of 3 - Sale ²⁵
01/07/21	214.595	542.91	Various	500.00	...	42.91	Total of 3 transactions
IDERA PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 45168K405 / Symbol:							
12 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	435.388	626.90	04/27/21	500.00	...	126.90	1 of 12 - Sale ²⁵
	435.388	679.15	05/05/21	478.93	...	200.22	2 of 12 - Sale ²⁵
	1,064.612	1,532.90	05/05/21	1,171.07	...	361.83	3 of 12 - Sale ²⁵
	1.000	1.56	05/06/21	1.09	...	0.47	4 of 12 - Sale ²⁵
	35.388	58.39	05/06/21	38.57	...	19.82	5 of 12 - Sale ²⁵
	164.612	256.77	05/06/21	179.43	...	77.34	6 of 12 - Sale ²⁵
	199.000	310.41	05/06/21	216.91	...	93.50	7 of 12 - Sale ²⁵
	200.000	311.98	05/06/21	218.00	...	93.98	8 of 12 - Sale ²⁵
	200.000	329.97	05/06/21	218.00	...	111.97	9 of 12 - Sale ²⁵
	200.000	329.98	05/06/21	218.00	...	111.98	10 of 12 - Sale ²⁵
	100.000	164.99	05/13/21	99.00	...	65.99	11 of 12 - Sale ²⁵
	499.612	824.29	05/13/21	494.62	...	329.67	12 of 12 - Sale ²⁵
06/22/21	3,535.000	5,427.29	Various	3,833.62	...	1,593.67	Total of 12 transactions
INDIE SEMICONDUCTOR, INC. CLAS S A COMMON STOCK / CUSIP: 45569U101 / Symbol:							
2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	0.012	0.16	01/07/21	0.15	...	0.01	1 of 2 - Sale ²⁵
	76.988	1,000.82	01/07/21	999.70	...	1.12	2 of 2 - Sale ²⁵
10/25/21	77.000	1,000.98	Various	999.85	...	1.13	Total of 2 transactions

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Robinhood Securities LLC

Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
<i>6 transactions for 02/11/21. Total proceeds and cost reported to the IRS.</i>							
	62.000	139.49	10/05/20	70.06	...	69.43	1 of 6 - Sale ²⁵
	337.000	758.19	10/05/20	380.81	...	377.38	2 of 6 - Sale ²⁵
	700.000	1,574.88	10/05/20	791.00	...	783.88	3 of 6 - Sale ²⁵
	701.000	1,577.13	10/05/20	792.13	...	785.00	4 of 6 - Sale ²⁵
	300.000	674.94	10/06/20	330.00	...	344.94	5 of 6 - Sale ²⁵
	700.000	1,574.88	10/06/20	770.00	...	804.88	6 of 6 - Sale ²⁵
02/11/21	2,800.000	6,299.51	Various	3,134.00	...	3,165.51	Total of 6 transactions
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol:							
<i>2 transactions for 04/19/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	4,074.98	02/11/21	4,150.00	...	-75.02	1 of 2 - Sale ²⁵
	10.000	1,629.99	03/04/21	1,530.00	...	99.99	2 of 2 - Sale ²⁵
04/19/21	35.000	5,704.97	Various	5,680.00	...	24.97	Total of 2 transactions
05/10/21	0.159	27.10	03/10/21	25.25	...	1.85	Sale ²⁵
	Security total:	5,732.07		5,705.25	...	26.82	
THE KROGER CO. / CUSIP: 501044101 / Symbol:							
08/17/21	30.000	1,319.99	05/04/21	1,071.00	...	248.99	Sale ²⁵
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074305 / Symbol:							
<i>4 transactions for 01/05/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.74	10/02/20	0.61	...	0.13	1 of 4 - Sale ²⁵
	370.000	273.45	12/08/20	255.30	...	18.15	2 of 4 - Sale ²⁵
	1,130.000	835.14	12/08/20	779.70	...	55.44	3 of 4 - Sale ²⁵
	2,000.000	1,478.13	12/29/20	1,268.60	...	209.53	4 of 4 - Sale ²⁵
01/05/21	3,501.000	2,587.46	Various	2,304.21	...	283.25	Total of 4 transactions
<i>5 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	143.99	03/04/21	120.00	...	23.99	1 of 5 - Sale ²⁵
	200.000	287.98	03/04/21	240.00	...	47.98	2 of 5 - Sale ²⁵
	200.000	287.98	03/04/21	240.00	...	47.98	3 of 5 - Sale ²⁵
	200.000	287.98	03/04/21	240.00	...	47.98	4 of 5 - Sale ²⁵
	200.000	287.98	03/04/21	240.00	...	47.98	5 of 5 - Sale ²⁵
03/10/21	900.000	1,295.91	Various	1,080.00	...	215.91	Total of 5 transactions

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Robinhood Securities LLC

Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074305 / Symbol: (cont'd)							
2 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	310.000	334.85	04/16/21	306.90	...	27.95	1 of 2 - Sale ²⁵
	787.000	850.10	04/16/21	794.73	...	55.37	2 of 2 - Sale ²⁵
04/22/21	1,097.000	1,184.95	Various	1,101.63	...	83.32	Total of 2 transactions
04/22/21	1,470.000	1,587.86	04/15/21	1,617.00	15.78 W	-13.36	Sale ²⁵
	Security total:	6,656.18		6,102.84	15.78 W	569.12	
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074404 / Symbol:							
6 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	1.000	5.07	05/06/21	4.05	...	1.02	1 of 6 - Sale ²⁵
	18.000	91.26	07/15/21	71.10	...	20.16	2 of 6 - Sale ²⁵
	39.000	197.73	07/15/21	154.05	...	43.68	3 of 6 - Sale ²⁵
	101.000	512.05	07/15/21	385.82	...	126.23	4 of 6 - Sale ²⁵
	172.000	872.01	07/15/21	657.04	...	214.97	5 of 6 - Sale ²⁵
	243.000	1,231.97	07/15/21	959.85	...	272.12	6 of 6 - Sale ²⁵
07/29/21	574.000	2,910.09	Various	2,231.91	...	678.18	Total of 6 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	3.000	725.98	09/29/20	624.06	...	101.92	1 of 3 - Sale ²⁵
	0.008	1.93	12/11/20	1.68	...	0.25	2 of 3 - Sale ²⁵
	3.992	966.05	01/06/21	860.44	...	105.61	3 of 3 - Sale ²⁵
02/03/21	7.000	1,693.96	Various	1,486.18	...	207.78	Total of 3 transactions
NEXTRA ENERGY, INC. / CUSIP: 65339F101 / Symbol:							
3 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	14.000	1,106.41	03/03/21	1,022.42	...	83.99	1 of 3 - Sale ²⁵
	29.000	2,291.86	03/03/21	2,117.87	...	173.99	2 of 3 - Sale ²⁵
	14.934	1,180.26	03/04/21	1,060.34	...	119.92	3 of 3 - Sale ²⁵
04/13/21	57.934	4,578.53	Various	4,200.63	...	377.90	Total of 3 transactions
3 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	12.066	953.54	01/27/21	1,000.00	46.46 W	0.00	1 of 3 - Sale ²⁵
	15.000	1,185.44	01/27/21	1,215.00	29.56 W	0.00	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NEXTRA ENERGY, INC. / CUSIP: 65339F101 / Symbol: (cont'd)							
	13.000	1,027.38	01/29/21	1,046.50	11.91 W	-7.21	3 of 3 - Sale ²⁵
04/13/21	40.066	3,166.36	Various	3,261.50	87.93 W	-7.21	Total of 3 transactions
9 transactions for 07/15/21. Total proceeds and cost reported to the IRS.							
	0.066	5.02	03/04/21	4.66	...	0.36	1 of 9 - Sale ²⁵
	11.719	895.68	04/28/21	947.49	...	-51.81	2 of 9 - Sale ²⁵
	3.140	239.96	04/28/21	247.94	...	-7.98	3 of 9 - Sale ²⁵
	0.141	10.80	04/28/21	11.43	...	-0.63	4 of 9 - Sale ²⁵
	5.000	382.15	05/05/21	379.05	...	3.10	5 of 9 - Sale ²⁵
	6.860	524.33	05/05/21	520.09	...	4.24	6 of 9 - Sale ²⁵
	5.140	392.82	05/05/21	387.07	...	5.75	7 of 9 - Sale ²⁵
	2.958	226.09	05/12/21	219.35	...	6.74	8 of 9 - Sale ²⁵
	0.183	14.00	06/16/21	14.03	...	-0.03	9 of 9 - Sale ²⁵
07/15/21	35.207	2,690.85	Various	2,731.11	...	-40.26	Total of 9 transactions
07/15/21	0.205	15.69	03/16/21	16.22	0.47 W	-0.06	Sale ²⁵
	Security total:	10,451.43		10,209.46	88.40 W	330.37	
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
05/10/21	13.000	1,134.80	05/05/21	1,079.00	...	55.80	Sale ²⁵
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
7 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	5.000	437.15	12/23/20	365.39	...	71.76	1 of 7 - Sale ²⁵
	10.000	873.68	12/23/20	730.79	...	142.89	2 of 7 - Sale ²⁵
	20.000	1,747.36	01/04/21	1,300.00	...	447.36	3 of 7 - Sale ²⁵
	0.004	0.36	01/27/21	0.27	...	0.09	4 of 7 - Sale ²⁵
	0.170	14.82	01/27/21	10.98	...	3.84	5 of 7 - Sale ²⁵
	3.000	262.11	01/27/21	194.18	...	67.93	6 of 7 - Sale ²⁵
	19.826	1,732.18	01/27/21	1,283.32	...	448.86	7 of 7 - Sale ²⁵
02/11/21	58.000	5,067.66	Various	3,884.93	...	1,182.73	Total of 7 transactions
3 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	0.174	14.25	01/27/21	11.25	...	3.00	1 of 3 - Sale ²⁵
	30.000	2,459.99	03/04/21	2,040.00	...	419.99	2 of 3 - Sale ²⁵
	16.826	1,379.74	03/05/21	1,051.64	...	328.10	3 of 3 - Sale ²⁵
04/06/21	47.000	3,853.98	Various	3,102.89	...	751.09	Total of 3 transactions

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Robinhood Securities LLC

Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol: (cont'd)							
8 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	0.174	13.90	03/05/21	10.86	...	3.04	1 of 8 - Sale ²⁵
	13.000	1,039.99	04/27/21	906.88	...	133.11	2 of 8 - Sale ²⁵
	18.000	1,439.99	04/28/21	1,224.00	...	215.99	3 of 8 - Sale ²⁵
	18.000	1,439.99	04/28/21	1,206.00	...	233.99	4 of 8 - Sale ²⁵
	20.000	1,599.99	05/03/21	1,270.80	...	329.19	5 of 8 - Sale ²⁵
	19.000	1,519.99	05/04/21	1,164.32	...	355.67	6 of 8 - Sale ²⁵
	17.000	1,359.99	05/10/21	986.00	...	373.99	7 of 8 - Sale ²⁵
	17.826	1,426.09	05/12/21	1,004.15	...	421.94	8 of 8 - Sale ²⁵
07/01/21	123.000	9,839.93	Various	7,773.01	...	2,066.92	Total of 8 transactions
	Security total:	18,761.57		14,760.83	...	4,000.74	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
2 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	30.000	944.69	03/19/21	1,035.00	...	-90.31	1 of 2 - Sale ²⁵
	50.000	1,574.48	05/10/21	1,000.00	...	574.48	2 of 2 - Sale ²⁵
10/13/21	80.000	2,519.17	Various	2,035.00	...	484.17	Total of 2 transactions
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
12 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	12.000	371.76	02/12/21	636.00	...	-264.24	1 of 12 - Sale ²⁵
	3.000	92.94	02/12/21	159.00	...	-66.06	2 of 12 - Sale ²⁵
	9.991	309.52	03/03/21	493.95	...	-184.43	3 of 12 - Sale ²⁵
	0.122	3.79	03/03/21	6.05	...	-2.26	4 of 12 - Sale ²⁵
	10.000	309.80	03/24/21	510.00	...	-200.20	5 of 12 - Sale ²⁵
	5.000	154.90	03/24/21	255.00	...	-100.10	6 of 12 - Sale ²⁵
	5.000	154.90	03/24/21	255.00	...	-100.10	7 of 12 - Sale ²⁵
	34.000	1,053.31	05/12/21	911.20	...	142.11	8 of 12 - Sale ²⁵
	5.000	154.90	07/07/21	124.00	...	30.90	9 of 12 - Sale ²⁵
	45.000	1,394.08	07/07/21	1,116.00	...	278.08	10 of 12 - Sale ²⁵
	2.000	61.96	07/13/21	47.00	...	14.96	11 of 12 - Sale ²⁵
	70.887	2,196.04	07/13/21	1,665.84	...	530.20	12 of 12 - Sale ²⁵
11/04/21	202.000	6,257.90	Various	6,179.04	...	78.86	Total of 12 transactions

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Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:							
11/09/21	15.000	1,540.01	09/28/21	1,177.42	...	362.59	Sale ²⁵
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
<i>6 transactions for 03/02/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	699.99	01/28/21	550.93	...	149.06	1 of 6 - Sale ²⁵
	44.965	1,259.01	01/28/21	1,000.00	...	259.01	2 of 6 - Sale ²⁵
	50.000	1,399.99	01/28/21	1,087.50	...	312.49	3 of 6 - Sale ²⁵
	12.035	361.04	02/02/21	252.73	...	108.31	4 of 6 - Sale ²⁵
	20.035	560.97	02/02/21	420.73	...	140.24	5 of 6 - Sale ²⁵
	66.965	2,008.93	02/02/21	1,406.27	...	602.66	6 of 6 - Sale ²⁵
03/02/21	219.000	6,289.93	Various	4,718.16	...	1,571.77	Total of 6 transactions
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol:							
<i>6 transactions for 02/02/21. Total proceeds and cost reported to the IRS.</i>							
	0.198	75.56	06/11/20	64.45	...	11.11	1 of 6 - Sale ²⁵
	0.063	24.19	08/03/20	21.76	...	2.43	2 of 6 - Sale ²⁵
	0.001	0.41	11/02/20	0.35	...	0.06	3 of 6 - Sale ²⁵
	0.000	0.17	01/04/21	0.16	...	0.01	4 of 6 - Sale ²⁵
	0.178	68.02	01/04/21	65.23	...	2.79	5 of 6 - Sale ²⁵
	7.560	2,891.58	01/04/21	2,773.15	...	118.43	6 of 6 - Sale ²⁵
02/02/21	8.000	3,059.93	Various	2,925.10	...	134.83	Total of 6 transactions
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
05/10/21	5.000	1,072.34	03/16/21	1,072.00	...	0.34	Sale ²⁵
SASOL LIMITED / CUSIP: 803866300 / Symbol:							
<i>9 transactions for 02/03/21. Total proceeds and cost reported to the IRS.</i>							
	32.000	399.35	06/09/20	318.40	...	80.95	1 of 9 - Sale ²⁵
	100.000	1,247.96	06/09/20	995.00	...	252.96	2 of 9 - Sale ²⁵
	118.000	1,472.59	06/09/20	1,174.10	...	298.49	3 of 9 - Sale ²⁵
	250.000	3,119.90	06/09/20	2,487.50	...	632.40	4 of 9 - Sale ²⁵
	20.000	249.59	10/20/20	117.60	...	131.99	5 of 9 - Sale ²⁵
	80.000	998.37	10/20/20	470.40	...	527.97	6 of 9 - Sale ²⁵
	100.000	1,247.96	10/20/20	588.00	...	659.96	7 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SASOL LIMITED / CUSIP: 803866300 / Symbol: (cont'd)							
	100.000	1,247.96	10/20/20	588.00	...	659.96	8 of 9 - Sale ²⁵
	100.000	1,247.96	10/20/20	588.00	...	659.96	9 of 9 - Sale ²⁵
02/03/21	900.000	11,231.64	Various	7,327.00	...	3,904.64	Total of 9 transactions
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
5 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	1.000	6.24	09/21/20	5.43	...	0.81	1 of 5 - Sale ²⁵
	0.798	4.98	02/08/21	4.84	...	0.14	2 of 5 - Sale ²⁵
	31.000	193.44	02/08/21	188.17	...	5.27	3 of 5 - Sale ²⁵
	100.000	623.98	02/08/21	606.99	...	16.99	4 of 5 - Sale ²⁵
	99.202	619.00	03/04/21	575.37	...	43.63	5 of 5 - Sale ²⁵
03/15/21	232.000	1,447.64	Various	1,380.80	...	66.84	Total of 5 transactions
4 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	0.798	4.78	03/04/21	4.63	...	0.15	1 of 4 - Sale ²⁵
	97.000	580.53	04/28/21	582.00	...	-1.47	2 of 4 - Sale ²⁵
	75.000	448.86	04/28/21	450.00	...	-1.14	3 of 4 - Sale ²⁵
	28.000	167.58	04/28/21	168.00	...	-0.42	4 of 4 - Sale ²⁵
05/06/21	200.798	1,201.75	Various	1,204.63	...	-2.88	Total of 4 transactions
8 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	6.29	09/20/21	5.99	...	0.30	1 of 8 - Sale ²⁵
	3.000	18.87	09/20/21	17.97	...	0.90	2 of 8 - Sale ²⁵
	50.000	314.49	09/20/21	299.50	...	14.99	3 of 8 - Sale ²⁵
	50.000	314.50	09/20/21	299.50	...	15.00	4 of 8 - Sale ²⁵
	96.000	603.82	09/20/21	575.04	...	28.78	5 of 8 - Sale ²⁵
	50.000	314.49	10/27/21	299.50	...	14.99	6 of 8 - Sale ²⁵
	50.000	314.49	10/27/21	299.50	...	14.99	7 of 8 - Sale ²⁵
	50.000	314.49	10/27/21	299.50	...	14.99	8 of 8 - Sale ²⁵
11/02/21	350.000	2,201.44	Various	2,096.50	...	104.94	Total of 8 transactions
11/03/21	150.000	974.10	10/27/21	898.50	...	75.60	Sale ²⁵
	Security total:	5,824.93		5,580.43	...	244.50	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
06/23/21	20.000	1,299.99	04/26/21	1,180.00	...	119.99	Sale ²⁵

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Robinhood Securities LLC

Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	443.000	442.95	01/11/21	315.06	...	127.89	1 of 2 - Sale ²⁵
	896.000	895.87	01/11/21	637.24	...	258.63	2 of 2 - Sale ²⁵
01/28/21	1,339.000	1,338.82	Various	952.30	...	386.52	Total of 2 transactions
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	0.070	0.07	04/26/21	0.06	...	0.01	1 of 2 - Sale ²⁵
	571.000	565.16	04/26/21	499.94	...	65.22	2 of 2 - Sale ²⁵
05/28/21	571.070	565.23	Various	500.00	...	65.23	Total of 2 transactions
	Security total:	1,904.05		1,452.30	...	451.75	
SYNACOR, INC. COMMON STOCK / CUSIP: 871561106 / Symbol:							
5 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	100.000	169.99	01/29/21	160.00	...	9.99	1 of 5 - Sale ²⁵
	100.000	169.99	01/29/21	160.00	...	9.99	2 of 5 - Sale ²⁵
	100.000	169.99	01/29/21	160.00	...	9.99	3 of 5 - Sale ²⁵
	200.000	339.98	01/29/21	320.00	...	19.98	4 of 5 - Sale ²⁵
	200.000	339.98	01/29/21	320.00	...	19.98	5 of 5 - Sale ²⁵
02/01/21	700.000	1,189.93	Various	1,120.00	...	69.93	Total of 5 transactions
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
7 transactions for 09/14/21. Total proceeds and cost reported to the IRS.							
	10.000	1,320.19	04/29/21	1,688.30	...	-368.11	1 of 7 - Sale ²⁵
	5.000	660.10	05/06/21	779.00	...	-118.90	2 of 7 - Sale ²⁵
	5.000	660.09	05/06/21	755.00	...	-94.91	3 of 7 - Sale ²⁵
	3.000	396.06	05/06/21	467.40	...	-71.34	4 of 7 - Sale ²⁵
	8.000	1,056.15	05/13/21	1,064.80	...	-8.65	5 of 7 - Sale ²⁵
	10.000	1,320.19	07/14/21	1,501.60	...	-181.41	6 of 7 - Sale ²⁵
	4.000	528.08	07/15/21	588.00	...	-59.92	7 of 7 - Sale ²⁵
09/14/21	45.000	5,940.86	Various	6,844.10	...	-903.24	Total of 7 transactions

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Robinhood Securities LLC

Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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UNITED STATES COPPER INDEX FUN D / CUSIP: 911718104 / Symbol:							
2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	50.000	1,449.98	06/18/21	1,275.00	...	174.98	1 of 2 - Sale ²⁵
	49.000	1,420.99	09/20/21	1,232.35	...	188.64	2 of 2 - Sale ²⁵
10/20/21	99.000	2,870.97	Various	2,507.35	...	363.62	Total of 2 transactions
UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol:							
2 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	10.000	1,079.99	02/08/21	1,250.00	...	-170.01	1 of 2 - Sale ²⁵
	30.000	3,239.98	03/25/21	2,703.00	...	536.98	2 of 2 - Sale ²⁵
06/21/21	40.000	4,319.97	Various	3,953.00	...	366.97	Total of 2 transactions
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
6 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	121.000	430.88	02/12/21	497.18	...	-66.30	1 of 6 - Sale ²⁵
	0.507	1.80	02/12/21	2.08	...	-0.28	2 of 6 - Sale ²⁵
	0.180	0.64	02/12/21	0.74	...	-0.10	3 of 6 - Sale ²⁵
	138.125	491.86	03/03/21	500.00	...	-8.14	4 of 6 - Sale ²⁵
	50.000	178.05	03/04/21	147.50	...	30.55	5 of 6 - Sale ²⁵
	300.000	1,068.28	03/04/21	900.00	...	168.28	6 of 6 - Sale ²⁵
03/11/21	609.812	2,171.51	Various	2,047.50	...	124.01	Total of 6 transactions
WASHINGTON PRIME GROUP INC NEW COMMON SHARES / CUSIP: 93964W405 / Symbol:							
02/05/21	1.000	10.94	10/07/20	0.60	...	10.34	Sale ²⁵
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
2 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	150.000	1,603.91	03/03/21	1,660.50	...	-56.59	1 of 2 - Sale ²⁵
	100.000	1,069.27	03/04/21	1,049.00	...	20.27	2 of 2 - Sale ²⁵
05/06/21	250.000	2,673.18	Various	2,709.50	...	-36.32	Total of 2 transactions
BRIDGETOWN HOLDINGS LIMITED CL ASS A ORDINARY SHARES / CUSIP: G1355U113 / Symbol:							
02/02/21	75.000	1,199.96	01/05/21	999.00	...	200.96	Sale ²⁵
02/05/21	0.075	1.17	01/05/21	1.00	...	0.17	Sale ²⁵
	Security total:	1,201.13		1,000.00	...	201.13	

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Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TRITERRAS INC CLASS A ORDINARY SHARES / CUSIP: G9103H102 / Symbol:							
<i>7 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>							
	121.000	1,016.36	01/26/21	1,004.30	...	12.06	1 of 7 - Sale ²⁵
	150.000	1,259.95	01/26/21	1,222.50	...	37.45	2 of 7 - Sale ²⁵
	0.314	2.64	01/28/21	2.22	...	0.42	3 of 7 - Sale ²⁵
	12.000	100.80	01/28/21	85.20	...	15.60	4 of 7 - Sale ²⁵
	98.955	831.19	01/28/21	702.58	...	128.61	5 of 7 - Sale ²⁵
	100.000	839.97	01/28/21	710.00	...	129.97	6 of 7 - Sale ²⁵
	299.731	2,517.65	02/01/21	1,954.25	...	563.40	7 of 7 - Sale ²⁵
02/08/21	782.000	6,568.56	Various	5,681.05	...	887.51	Total of 7 transactions
<i>4 transactions for 03/15/21. Total proceeds and cost reported to the IRS.</i>							
	0.269	2.15	02/01/21	1.75	...	0.40	1 of 4 - Sale ²⁵
	200.000	1,599.96	02/11/21	1,500.00	...	99.96	2 of 4 - Sale ²⁵
	24.000	192.00	03/03/21	166.80	...	25.20	3 of 4 - Sale ²⁵
	75.731	605.83	03/03/21	526.33	...	79.50	4 of 4 - Sale ²⁵
03/15/21	300.000	2,399.94	Various	2,194.88	...	205.06	Total of 4 transactions
<i>3 transactions for 04/07/21. Total proceeds and cost reported to the IRS.</i>							
	76.000	592.79	03/03/21	528.20	...	64.59	1 of 3 - Sale ²⁵
	324.269	2,529.25	03/03/21	2,253.67	...	275.58	2 of 3 - Sale ²⁵
	99.731	777.88	03/04/21	648.25	...	129.63	3 of 3 - Sale ²⁵
04/07/21	500.000	3,899.92	Various	3,430.12	...	469.80	Total of 3 transactions
<i>3 transactions for 04/13/21. Total proceeds and cost reported to the IRS.</i>							
	50.269	392.09	03/04/21	326.75	...	65.34	1 of 3 - Sale ²⁵
	150.000	1,169.97	03/24/21	1,056.00	...	113.97	2 of 3 - Sale ²⁵
	199.731	1,557.87	03/24/21	1,388.13	...	169.74	3 of 3 - Sale ²⁵
04/13/21	400.000	3,119.93	Various	2,770.88	...	349.05	Total of 3 transactions
<i>11 transactions for 06/09/21. Total proceeds and cost reported to the IRS.</i>							
	22.000	149.60	05/06/21	149.60	...	0.00	1 of 11 - Sale ²⁵
	18.731	127.37	05/06/21	119.88	...	7.49	2 of 11 - Sale ²⁵
	81.269	552.62	05/06/21	520.12	...	32.50	3 of 11 - Sale ²⁵
	0.269	1.83	05/10/21	1.65	...	0.18	4 of 11 - Sale ²⁵
	3.000	20.40	05/10/21	18.00	...	2.40	5 of 11 - Sale ²⁵
	13.000	88.40	05/10/21	78.00	...	10.40	6 of 11 - Sale ²⁵
	33.731	229.36	05/10/21	202.40	...	26.96	7 of 11 - Sale ²⁵

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Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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TRITERRAS INC CLASS A ORDINARY SHARES / CUSIP: G9103H102 / Symbol: (cont'd)							
	65.269	443.82	05/10/21	391.63	...	52.19	8 of 11 - Sale ²⁵
	94.731	644.16	05/10/21	568.39	...	75.77	9 of 11 - Sale ²⁵
	113.000	768.38	05/10/21	630.54	...	137.84	10 of 11 - Sale ²⁵
	125.731	854.95	05/13/21	609.79	...	245.16	11 of 11 - Sale ²⁵
06/09/21	570.731	3,880.89	Various	3,290.00	...	590.89	Total of 11 transactions
	3 transactions for 06/09/21. Total proceeds and cost reported to the IRS.						
	0.269	1.83	03/24/21	1.87	0.04 W	0.00	1 of 3 - Sale ²⁵
	46.731	317.76	05/06/21	317.77	0.01 W	0.00	2 of 3 - Sale ²⁵
	81.269	552.61	05/06/21	552.63	0.02 W	0.00	3 of 3 - Sale ²⁵
06/09/21	128.269	872.20	Various	872.27	0.07 W	0.00	Total of 3 transactions
	4 transactions for 06/30/21. Total proceeds and cost reported to the IRS.						
	0.269	1.82	05/13/21	1.31	...	0.51	1 of 4 - Sale ²⁵
	159.000	1,078.00	06/21/21	1,014.42	...	63.58	2 of 4 - Sale ²⁵
	300.000	2,033.95	06/23/21	1,893.00	...	140.95	3 of 4 - Sale ²⁵
	299.731	2,032.13	06/23/21	1,843.34	...	188.79	4 of 4 - Sale ²⁵
06/30/21	759.000	5,145.90	Various	4,752.07	...	393.83	Total of 4 transactions
	7 transactions for 07/06/21. Total proceeds and cost reported to the IRS.						
	0.269	1.61	06/23/21	1.66	0.05 W	0.00	1 of 7 - Sale ²⁵
	246.731	1,480.35	07/02/21	1,591.41	110.94 W	-0.12	2 of 7 - Sale ²⁵
	53.269	319.61	07/02/21	343.59	23.98 W	0.00	3 of 7 - Sale ²⁵
	0.269	1.61	07/06/21	1.75	0.14 W	0.00	4 of 7 - Sale ²⁵
	119.731	718.37	07/06/21	808.19	89.82 W	0.00	5 of 7 - Sale ²⁵
	126.731	760.36	07/06/21	849.12	88.76 W	0.00	6 of 7 - Sale ²⁵
	73.000	437.99	07/06/21	456.25	18.26 W	0.00	7 of 7 - Sale ²⁵
07/06/21	620.000	3,719.90	Various	4,051.97	331.95 W	-0.12	Total of 7 transactions
	10 transactions for 09/27/21. Total proceeds and cost reported to the IRS.						
	0.269	1.66	07/06/21	1.80	...	-0.14	1 of 10 - Sale ²⁵
	96.731	597.07	07/12/21	634.58	...	-37.51	2 of 10 - Sale ²⁵
	53.000	327.14	07/12/21	331.79	...	-4.65	3 of 10 - Sale ²⁵
	0.269	1.66	07/12/21	1.70	...	-0.04	4 of 10 - Sale ²⁵
	126.731	782.24	07/13/21	811.13	...	-28.89	5 of 10 - Sale ²⁵
	23.000	141.97	07/13/21	148.35	...	-6.38	6 of 10 - Sale ²⁵
	50.269	310.28	07/13/21	299.10	...	11.18	7 of 10 - Sale ²⁵

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Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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TRITERRAS INC CLASS A ORDINARY SHARES / CUSIP: G9103H102 / Symbol: (cont'd)							
	22.731	140.30	07/15/21	131.39	...	8.91	8 of 10 - Sale ²⁵
	27.269	168.32	07/15/21	150.80	...	17.52	9 of 10 - Sale ²⁵
	122.731	757.55	07/15/21	678.70	...	78.85	10 of 10 - Sale ²⁵
09/27/21	523.000	3,228.19	Various	3,189.34	...	38.85	Total of 10 transactions
	Security total:	32,835.43		30,232.58	332.02 W	2,934.87	

PROQR THERAPEUTICS N.V. ORDINARY SHARES / CUSIP: N71542109 / Symbol:

30 transactions for 01/21/21. Total proceeds and cost reported to the IRS.

591.000	2,901.67	09/22/20	3,002.28	...	-100.61	1 of 30 - Sale ²⁵
107.000	525.34	09/22/20	543.56	...	-18.22	2 of 30 - Sale ²⁵
102.000	500.79	09/22/20	518.16	...	-17.37	3 of 30 - Sale ²⁵
100.000	487.98	09/25/20	498.00	...	-10.02	4 of 30 - Sale ²⁵
91.000	444.06	09/25/20	453.18	...	-9.12	5 of 30 - Sale ²⁵
29.000	141.51	09/25/20	144.42	...	-2.91	6 of 30 - Sale ²⁵
9.000	44.19	09/25/20	44.82	...	-0.63	7 of 30 - Sale ²⁵
500.000	2,439.89	09/29/20	2,430.00	...	9.89	8 of 30 - Sale ²⁵
3.000	14.64	09/30/20	14.16	...	0.48	9 of 30 - Sale ²⁵
200.000	975.95	10/02/20	954.00	...	21.95	10 of 30 - Sale ²⁵
200.000	975.95	10/02/20	954.00	...	21.95	11 of 30 - Sale ²⁵
200.000	975.95	10/02/20	954.00	...	21.95	12 of 30 - Sale ²⁵
200.000	975.96	10/02/20	954.00	...	21.96	13 of 30 - Sale ²⁵
3.000	14.64	10/13/20	14.10	...	0.54	14 of 30 - Sale ²⁵
2.000	9.76	10/13/20	9.20	...	0.56	15 of 30 - Sale ²⁵
17.000	82.96	10/13/20	79.90	...	3.06	16 of 30 - Sale ²⁵
98.000	478.22	10/13/20	450.80	...	27.42	17 of 30 - Sale ²⁵
100.000	487.98	10/13/20	460.00	...	27.98	18 of 30 - Sale ²⁵
300.000	1,463.93	10/13/20	1,410.00	...	53.93	19 of 30 - Sale ²⁵
300.000	1,463.93	10/13/20	1,380.00	...	83.93	20 of 30 - Sale ²⁵
100.000	487.98	10/14/20	450.00	...	37.98	21 of 30 - Sale ²⁵
200.000	975.95	10/14/20	900.00	...	75.95	22 of 30 - Sale ²⁵
75.000	365.98	10/15/20	330.00	...	35.98	23 of 30 - Sale ²⁵
725.000	3,537.84	10/15/20	3,190.00	...	347.84	24 of 30 - Sale ²⁵
52.000	274.55	10/19/20	223.60	...	50.95	25 of 30 - Sale ²⁵
248.000	1,210.18	10/19/20	1,066.40	...	143.78	26 of 30 - Sale ²⁵

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Robinhood Securities LLC

Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROQR THERAPEUTICS N.V. ORDINA RY SHARES / CUSIP: N71542109 / Symbol: (cont'd)							
	100.000	527.98	10/20/20	385.00	...	142.98	27 of 30 - Sale ²⁵
	100.000	527.99	10/20/20	385.00	...	142.99	28 of 30 - Sale ²⁵
	100.000	527.99	10/20/20	385.00	...	142.99	29 of 30 - Sale ²⁵
	700.000	3,695.90	10/20/20	2,800.00	...	895.90	30 of 30 - Sale ²⁵
01/21/21	5,552.000	27,537.64	Various	25,383.58	...	2,154.06	Total of 30 transactions
01/21/21	500.000	2,439.93	09/22/20	2,540.00	22.62 W	-77.45	Sale ²⁵
	2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	0.181	0.94	01/29/21	0.91	...	0.03	1 of 2 - Sale ²⁵
	95.238	499.04	01/29/21	476.20	...	22.84	2 of 2 - Sale ²⁵
02/08/21	95.419	499.98	Various	477.11	...	22.87	Total of 2 transactions
03/10/21	1.000	4.84	01/29/21	5.00	0.16 W	0.00	Sale ²⁵
	8 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	1.000	4.92	03/04/21	4.56	...	0.36	1 of 8 - Sale ²⁵
	16.581	81.57	03/04/21	74.29	...	7.28	2 of 8 - Sale ²⁵
	28.000	137.76	03/04/21	123.20	...	14.56	3 of 8 - Sale ²⁵
	66.419	326.78	03/04/21	292.25	...	34.53	4 of 8 - Sale ²⁵
	66.000	329.99	03/04/21	290.40	...	39.59	5 of 8 - Sale ²⁵
	100.000	499.99	03/04/21	440.00	...	59.99	6 of 8 - Sale ²⁵
	100.000	499.99	03/04/21	440.00	...	59.99	7 of 8 - Sale ²⁵
	121.419	597.36	03/04/21	534.25	...	63.11	8 of 8 - Sale ²⁵
03/11/21	499.419	2,478.36	Various	2,198.95	...	279.41	Total of 8 transactions
03/11/21	16.581	81.57	01/29/21	82.91	1.34 W	0.00	Sale ²⁵
	5 transactions for 08/26/21. Total proceeds and cost reported to the IRS.						
	0.581	3.85	03/04/21	2.55	...	1.30	1 of 5 - Sale ²⁵
	49.419	327.65	07/07/21	296.52	...	31.13	2 of 5 - Sale ²⁵
	53.581	355.23	07/07/21	321.48	...	33.75	3 of 5 - Sale ²⁵
	77.000	510.49	07/07/21	462.00	...	48.49	4 of 5 - Sale ²⁵
	275.419	1,825.98	07/09/21	1,652.52	...	173.46	5 of 5 - Sale ²⁵
08/26/21	456.000	3,023.20	Various	2,735.07	...	288.13	Total of 5 transactions
	9 transactions for 08/27/21. Total proceeds and cost reported to the IRS.						
	24.581	164.69	07/09/21	147.48	...	17.21	1 of 9 - Sale ²⁵
	200.000	1,339.96	07/14/21	1,200.00	...	139.96	2 of 9 - Sale ²⁵
	300.000	2,009.95	07/14/21	1,785.00	...	224.95	3 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PROQR THERAPEUTICS N.V. ORDINA RY SHARES / CUSIP: N71542109 / Symbol: (cont'd)							
	78.581	526.48	07/15/21	447.91	...	78.57	4 of 9 - Sale ²⁵
	100.000	669.98	07/15/21	550.00	...	119.98	5 of 9 - Sale ²⁵
	100.000	669.99	07/15/21	550.00	...	119.99	6 of 9 - Sale ²⁵
	121.419	813.49	07/15/21	692.09	...	121.40	7 of 9 - Sale ²⁵
	173.000	1,159.07	07/15/21	977.45	...	181.62	8 of 9 - Sale ²⁵
	5.419	36.31	07/28/21	27.10	...	9.21	9 of 9 - Sale ²⁵
08/27/21	1,103.000	7,389.92	Various	6,377.03	...	1,012.89	Total of 9 transactions
	3 transactions for 12/30/21. Total proceeds and cost reported to the IRS.						
	0.581	4.80	07/28/21	2.90	...	1.90	1 of 3 - Sale ²⁵
	99.419	822.44	11/10/21	797.34	...	25.10	2 of 3 - Sale ²⁵
	200.000	1,654.48	11/10/21	1,604.00	...	50.48	3 of 3 - Sale ²⁵
12/30/21	300.000	2,481.72	Various	2,404.24	...	77.48	Total of 3 transactions
	Security total:	45,937.16		42,203.89	24.12 W	3,757.39	
Totals :		250,148.71		215,085.58	504.26 W	35,567.39	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
03/18/21	50.000	1,262.49	03/12/20	658.00	...	604.49	Sale ²⁵
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
10/07/21	1.000	16.00	02/24/20	10.13	...	5.87	Merger

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Robinhood Securities LLC

Account 114946114

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
<i>3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	1,599.99	03/12/20	995.25	...	604.74	1 of 3 - Sale ²⁵
	0.458	29.29	06/11/20	21.75	...	7.54	2 of 3 - Sale ²⁵
	0.597	38.18	09/11/20	22.15	...	16.03	3 of 3 - Sale ²⁵
10/25/21	26.054	1,667.46	Various	1,039.15	...	628.31	Total of 3 transactions
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
02/11/21	1.000	2.25	10/03/18	49.98	...	-47.73	Sale ²⁵
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
04/29/21	75.000	3,332.22	04/13/20	2,913.00	...	419.22	Sale ²⁵
Totals :		6,280.42		4,670.26		1,610.16	

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Robinhood Securities LLC

Account 114946114

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074404 / Symbol: 05/13/21	0.772	2.75	05/06/21	3.13	...	-0.38	Gain or loss
Totals:		2.75		3.13	...	-0.38	

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
SAEXPLORATION HOLDINGS, INC. C OMMON STOCK / CUSIP: 78636X873 / Symbol: 01/21/21	40.000	0.00	11/09/18	211.18	...	-211.18	Gain or loss ²⁵
Totals:		0.00		211.18	...	-211.18	

Robinhood Securities LLC

Account 114946114

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		05/13/21	4.40	Qualified dividend	
			08/12/21	0.01	Qualified dividend	
			11/11/21	0.01	Qualified dividend	
				4.42	Total Dividends & distributions	
BANK OF AMERICA CORPORATION	060505104		03/26/21	0.10	Qualified dividend	
EXXON MOBIL CORPORATION	30231G102		03/10/21	23.12	Qualified dividend	
			06/10/21	23.45	Qualified dividend	
			09/10/21	23.77	Qualified dividend	
			12/10/21	0.66	Qualified dividend	
	71.00	Total Dividends & distributions				
JOHNSON & JOHNSON	478160104		03/09/21	25.25	Qualified dividend	
THE KROGER CO.	501044101		06/01/21	5.40	Qualified dividend	
			09/01/21	6.33	Qualified dividend	
			12/01/21	0.06	Qualified dividend	
	11.79	Total Dividends & distributions				
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/11/21	0.36	Qualified dividend	
			06/10/21	12.68	Qualified dividend	
			09/09/21	12.71	Qualified dividend	
			12/09/21	14.10	Qualified dividend	
	39.85	Total Dividends & distributions				

Robinhood Securities LLC

Account 114946114

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
NEXTRA ENERGY, INC.	65339F101		03/15/21	15.43	Qualified dividend	
			06/15/21	13.56	Qualified dividend	
				28.99	Total Dividends & distributions	
OVINTIV INC.	69047Q102		03/31/21	0.09	Qualified dividend	
			06/30/21	0.09	Qualified dividend	
			09/30/21	0.13	Qualified dividend	
			12/31/21	0.13	Qualified dividend	
			0.44	Total Dividends & distributions		
ROCKET COMPANIES, INC.	77311W101		03/23/21	1.07	Qualified dividend	
SPDR S&P 500 ETF TRUST	78462F103		04/30/21	0.52	Qualified dividend	03
			04/30/21	0.04	Nonqualified dividend	03
			07/30/21	0.57	Qualified dividend	03
			07/30/21	0.04	Nonqualified dividend	03
			10/29/21	0.59	Qualified dividend	03
			10/29/21	0.04	Nonqualified dividend	03
			01/31/22	5.64	Qualified dividend	
				7.44	Total Dividends & distributions	
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103		02/26/21	1.94	Qualified dividend	
			05/28/21	2.94	Qualified dividend	
				4.88	Total Dividends & distributions	
UWM HOLDINGS CORPORATION	91823B109		04/06/21	56.97	Qualified dividend	
			07/06/21	68.48	Qualified dividend	
			10/06/21	104.55	Qualified dividend	
				230.00	Total Dividends & distributions	
WESTROCK COMPANY	96145D105		02/23/21	0.08	Qualified dividend	
			05/28/21	0.10	Qualified dividend	
			08/24/21	0.10	Qualified dividend	
			11/23/21	0.10	Qualified dividend	
				0.38	Total Dividends & distributions	
				425.61	Total Dividends & distributions	

Robinhood Securities LLC

Account 114946114

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/13/21	1.35	Interest	
		01/29/21	2.92	Interest	
		02/11/21	1.74	Interest	
		02/26/21	6.39	Interest	
		03/26/21	0.16	Interest	
		03/29/21	1.11	Interest	
		03/31/21	0.37	Interest	
		04/30/21	1.72	Interest	
		05/28/21	0.43	Interest	
		06/30/21	1.39	Interest	
		07/16/21	2.31	Interest	
		07/30/21	0.37	Interest	
		11/05/21	0.19	Interest	
			20.45	Total Interest	
Interest payment		08/31/21	0.06	Interest	
		09/30/21	0.57	Interest	
		10/29/21	0.09	Interest	
		11/24/21	0.53	Interest	
		11/30/21	0.76	Interest	
			2.01	Total Interest	
			22.46	Total Interest	

Robinhood Securities LLC 2021	Detail for Miscellaneous Information	Account 114946114 02/11/2022
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<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
UWM HOLDINGS CORPORATION	91823B109	07/06/21	34.80	Substitute payment	
			34.80	Total Miscellaneous income	

Robinhood Securities LLC

Account 114946114

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/11/21	-5.00	Margin interest paid	
		02/08/21	-5.00	Margin interest paid	
		03/10/21	-0.04	Margin interest paid	
		03/10/21	-5.00	Margin interest paid	
		04/09/21	-4.15	Margin interest paid	
		04/09/21	-5.00	Margin interest paid	
		05/10/21	-5.00	Margin interest paid	
		06/08/21	-5.00	Margin interest paid	
		06/08/21	-7.34	Margin interest paid	
		07/08/21	-1.00	Margin interest paid	
		07/08/21	-5.00	Margin interest paid	
		08/09/21	-2.10	Margin interest paid	
		08/09/21	-5.00	Margin interest paid	
			-54.63	Total Margin interest paid	
Margin charge		09/07/21	-3.42	Margin interest paid	
		09/07/21	-5.00	Margin interest paid	
		10/06/21	-0.99	Margin interest paid	
		10/06/21	-5.00	Margin interest paid	
		11/05/21	-4.31	Margin interest paid	
		11/05/21	-5.00	Margin interest paid	
		12/06/21	-3.13	Margin interest paid	
		12/06/21	-5.00	Margin interest paid	
			-31.85	Total Margin interest paid	
			-86.48	Total Margin interest paid	

Robinhood Securities LLC

Account 114946114

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CLOUDERA, INC.	18914U100	10/08/21	-1.00	0.00	Merger	
FOLEY TRASIMENE ACQUISITION CO RP. II	344328109	03/31/21	-170.38	0.00	Exchange	
GORES HOLDINGS IV, INC. CLASS A COMMON STOCK	382865103	01/22/21	-339.69	0.00	Exchange	
INDIE SEMICONDUCTOR, INC. CLASS A COMMON STOCK	45569U101	06/11/21	77.01	0.00	Exchange	
SAEXPLORATION HOLDINGS, INC. COMMON STOCK	78636X873	01/21/21	-40.00	0.00	Security deemed worthless	
UWM HOLDINGS CORPORATION	91823B109	01/22/21	339.69	0.00	Exchange	
PAYSAFE LIMITED	G6964L107	03/31/21	170.38	0.00	Exchange	
THUNDER BRIDGE ACQUISITION II, LTD. CLASS A ORDINARY SHARES	G8857S116	06/11/21	-77.01	0.00	Exchange	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Instructions for Recipient

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.
Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

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