

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 137380556	Statement Date: 02/11/2022 Document ID: 74SU 15R E125	2021
	Nikhil Bonala 3120 Naamans Rd e-1 Wilmington, DE 19810 RECIPIENT'S TIN: XXX-XX-3216		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	104,387.22	123,526.04	0.00	4,087.63	-15,051.19
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	104,387.22	123,526.04	0.00	4,087.63	-15,051.19
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	104,387.22	123,526.04	0.00	4,087.63	-15,051.19
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 137380556

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	55.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/19/2021 CALL \$160.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	02/05/21	94.00	...	-94.00	Option expiration- long call
AAPL 07/16/2021 CALL \$135.00 / CUSIP: / Symbol:							
06/08/21	4.000	403.98	06/07/21	316.00	...	87.98	Option sale to close-call ²⁵
ABBV 05/21/2021 CALL \$115.00 / CUSIP: / Symbol:							
	2 transactions for 03/30/21. Total proceeds and cost reported to the IRS.						
	4.000	375.98	03/29/21	396.00	...	-20.02	1 of 2 - Option sale to close-call ²⁵
	1.000	94.00	03/29/21	98.00	...	-4.00	2 of 2 - Option sale to close-call ²⁵
03/30/21	5.000	469.98	Various	494.00	...	-24.02	Total of 2 transactions
03/31/21	5.000	509.98	03/29/21	495.00	...	14.98	Option sale to close-call ²⁵
	Security total:	979.96		989.00	...	-9.04	

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABBV 05/21/2021 CALL \$118.00 / CUSIP: / Symbol:							
3 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	1.000	64.98	05/05/21	72.00	...	-7.02	1 of 3 - Option sale to close-call ²⁵
	1.000	64.98	05/05/21	72.00	...	-7.02	2 of 3 - Option sale to close-call ²⁵
	1.000	64.98	05/05/21	72.00	...	-7.02	3 of 3 - Option sale to close-call ²⁵
05/06/21	3.000	194.94	Various	216.00	...	-21.06	Total of 3 transactions
ACB 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/29/21	1.000	81.98	01/27/21	82.00	...	-0.02	Option sale to close-call ²⁵
ACB 03/19/2021 PUT \$11.00 / CUSIP: / Symbol:							
02/23/21	5.000	609.97	02/11/21	415.00	...	194.97	Option sale to close-put ²⁵
ACTC 03/19/2021 PUT \$17.50 / CUSIP: / Symbol:							
02/23/21	1.000	99.98	02/05/21	45.00	...	54.98	Option sale to close-put ²⁵
ACTC 05/21/2021 PUT \$12.50 / CUSIP: / Symbol:							
02/23/21	1.000	64.98	02/05/21	50.00	...	14.98	Option sale to close-put ²⁵
AFRM 10/08/2021 CALL \$150.00 / CUSIP: / Symbol:							
09/29/21	4.000	319.98	09/28/21	400.00	...	-80.02	Option sale to close-call ²⁵
AMC 03/26/2021 PUT \$6.00 / CUSIP: / Symbol:							
03/15/21	1.000	7.98	02/25/21	65.00	...	-57.02	Option sale to close-put ²⁵
AMC 05/21/2021 PUT \$9.50 / CUSIP: / Symbol:							
2 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	2.000	13.99	05/13/21	50.00	...	-36.01	1 of 2 - Option sale to close-put ²⁵
	2.000	13.99	05/13/21	50.00	...	-36.01	2 of 2 - Option sale to close-put ²⁵
05/17/21	4.000	27.98	Various	100.00	...	-72.02	Total of 2 transactions
AMD 01/29/2021 CALL \$90.00 / CUSIP: / Symbol:							
3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	5.000	74.98	01/28/21	305.00	...	-230.02	1 of 3 - Option sale to close-call ²⁵
	4.000	59.99	01/28/21	244.00	...	-184.01	2 of 3 - Option sale to close-call ²⁵
	1.000	15.00	01/28/21	61.00	...	-46.00	3 of 3 - Option sale to close-call ²⁵
01/29/21	10.000	149.97	Various	610.00	...	-460.03	Total of 3 transactions

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Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 08/06/2021 CALL \$95.00 / CUSIP: / Symbol:							
07/23/21	3.000	590.98	07/15/21	357.00	...	233.98	Option sale to close-call ²⁵
07/27/21	2.000	513.98	07/15/21	238.00	...	275.98	Option sale to close-call ²⁵
	Security total:	1,104.96		595.00	...	509.96	
AMZN 04/16/2021 CALL \$3,480.00 / CUSIP: / Symbol:							
04/14/21	1.000	404.98	04/14/21	555.00	...	-150.02	Option sale to close-call ²⁵
AMZN 04/16/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
04/14/21	1.000	263.98	04/14/21	295.00	...	-31.02	Option sale to close-call ²⁵
AMZN 05/07/2021 PUT \$3,180.00 / CUSIP: / Symbol:							
	<i>5 transactions for 05/06/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	133.98	05/06/21	212.00	...	-78.02	1 of 5 - Option sale to close-put ²⁵
	1.000	133.98	05/06/21	212.00	...	-78.02	2 of 5 - Option sale to close-put ²⁵
	1.000	133.98	05/06/21	212.00	...	-78.02	3 of 5 - Option sale to close-put ²⁵
	1.000	133.98	05/06/21	212.00	...	-78.02	4 of 5 - Option sale to close-put ²⁵
	1.000	133.98	05/06/21	212.00	...	-78.02	5 of 5 - Option sale to close-put ²⁵
05/06/21	5.000	669.90	Various	1,060.00	...	-390.10	Total of 5 transactions
ATVI 10/08/2021 CALL \$77.00 / CUSIP: / Symbol:							
09/23/21	3.000	275.98	09/22/21	270.00	...	5.98	Option sale to close-call ²⁵
AYX 05/21/2021 PUT \$70.00 / CUSIP: / Symbol:							
05/11/21	5.000	324.98	05/10/21	225.00	...	99.98	Option sale to close-put ²⁵
BA 03/26/2021 PUT \$235.00 / CUSIP: / Symbol:							
03/19/21	2.000	299.98	03/18/21	150.00	...	149.98	Option sale to close-put ²⁵
BA 04/23/2021 CALL \$240.00 / CUSIP: / Symbol:							
04/22/21	5.000	749.98	04/21/21	510.00	...	239.98	Option sale to close-call ²⁵
BA 10/01/2021 CALL \$245.00 / CUSIP: / Symbol:							
09/13/21	5.000	109.98	09/08/21	195.00	...	-85.02	Option sale to close-call ²⁵

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Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 07/30/2021 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 07/27/21. Total proceeds and cost reported to the IRS.							
	5.000	334.99	07/27/21	480.00	...	-145.01	1 of 2 - Option sale to close-call ²⁵
	2.000	133.99	07/27/21	178.00	...	-44.01	2 of 2 - Option sale to close-call ²⁵
07/27/21	7.000	468.98	Various	658.00	...	-189.02	Total of 2 transactions
BABA 10/22/2021 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	1.000	123.98	10/15/21	121.00	...	2.98	1 of 2 - Option sale to close-call ²⁵
	1.000	123.98	10/18/21	89.00	...	34.98	2 of 2 - Option sale to close-call ²⁵
10/18/21	2.000	247.96	Various	210.00	...	37.96	Total of 2 transactions
BIGC 07/16/2021 CALL \$70.00 / CUSIP: / Symbol:							
3 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	1.000	177.98	06/11/21	116.00	...	61.98	1 of 3 - Option sale to close-call ²⁵
	1.000	177.98	06/11/21	116.00	...	61.98	2 of 3 - Option sale to close-call ²⁵
	3.000	533.98	06/11/21	348.00	...	185.98	3 of 3 - Option sale to close-call ²⁵
06/14/21	5.000	889.94	Various	580.00	...	309.94	Total of 3 transactions
BIGC 07/16/2021 CALL \$75.00 / CUSIP: / Symbol:							
3 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	1.000	69.98	06/29/21	62.00	...	7.98	1 of 3 - Option sale to close-call ²⁵
	1.000	69.98	06/29/21	62.00	...	7.98	2 of 3 - Option sale to close-call ²⁵
	2.000	139.98	06/29/21	124.00	...	15.98	3 of 3 - Option sale to close-call ²⁵
06/30/21	4.000	279.94	Various	248.00	...	31.94	Total of 3 transactions
07/07/21	2.000	499.98	06/29/21	124.00	...	375.98	Option sale to close-call ²⁵
	Security total:	779.92		372.00	...	407.92	
BIGC 08/20/2021 CALL \$85.00 / CUSIP: / Symbol:							
3 transactions for 08/04/21. Total proceeds and cost reported to the IRS.							
	3.000	179.99	07/26/21	234.00	...	-54.01	1 of 3 - Option sale to close-call ²⁵
	1.000	59.99	07/26/21	78.00	...	-18.01	2 of 3 - Option sale to close-call ²⁵
	1.000	60.00	07/26/21	78.00	...	-18.00	3 of 3 - Option sale to close-call ²⁵
08/04/21	5.000	299.98	Various	390.00	...	-90.02	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BMY 06/18/2021 CALL \$75.00 / CUSIP: / Symbol:							
02/25/21	5.000	224.98	02/11/21	200.00	...	24.98	Option sale to close-call ²⁵
BW 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	3.000	62.99	03/29/21	66.00	...	-3.01	1 of 2 - Option sale to close-call ²⁵
	1.000	20.99	03/29/21	22.00	...	-1.01	2 of 2 - Option sale to close-call ²⁵
03/30/21	4.000	83.98	Various	88.00	...	-4.02	Total of 2 transactions
BYND 05/21/2021 PUT \$100.00 / CUSIP: / Symbol:							
05/07/21	3.000	446.98	05/06/21	414.00	...	32.98	Option sale to close-put ²⁵
CAT 06/25/2021 CALL \$225.00 / CUSIP: / Symbol:							
2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	1.000	190.98	06/14/21	137.00	...	53.98	1 of 2 - Option sale to close-call ²⁵
	2.000	381.98	06/14/21	274.00	...	107.98	2 of 2 - Option sale to close-call ²⁵
06/14/21	3.000	572.96	Various	411.00	...	161.96	Total of 2 transactions
06/15/21	2.000	415.98	06/14/21	274.00	...	141.98	Option sale to close-call ²⁵
	Security total:	988.94		685.00	...	303.94	
CCL 05/28/2021 CALL \$27.50 / CUSIP: / Symbol:							
05/17/21	1.000	82.98	05/14/21	72.00	...	10.98	Option sale to close-call ²⁵
CHGG 05/21/2021 CALL \$105.00 / CUSIP: / Symbol:							
05/05/21	13.000	64.96	05/03/21	585.00	...	-520.04	Option sale to close-call ²⁵
CHPT 07/16/2021 CALL \$26.00 / CUSIP: / Symbol:							
07/16/21	5.000	0.00	07/13/21	390.00	...	-390.00	Option expiration- long call
COST 06/18/2021 CALL \$385.00 / CUSIP: / Symbol:							
2 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	1.000	204.98	06/15/21	173.00	...	31.98	1 of 2 - Option sale to close-call ²⁵
	4.000	819.98	06/15/21	692.00	...	127.98	2 of 2 - Option sale to close-call ²⁵
06/16/21	5.000	1,024.96	Various	865.00	...	159.96	Total of 2 transactions

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRM 04/16/2021 CALL \$235.00 / CUSIP: / Symbol:							
04/13/21	6.000	677.98	04/13/21	894.00	...	-216.02	Option sale to close-call ²⁵
CRM 07/09/2021 CALL \$252.50 / CUSIP: / Symbol:							
06/29/21	5.000	659.98	06/29/21	550.00	...	109.98	Option sale to close-call ²⁵
CRM 08/06/2021 CALL \$257.50 / CUSIP: / Symbol:							
08/03/21	5.000	19.98	07/26/21	550.00	...	-530.02	Option sale to close-call ²⁵
CRSR 02/19/2021 PUT \$30.00 / CUSIP: / Symbol:							
02/09/21	2.000	33.98	02/08/21	52.00	...	-18.02	Option sale to close-put ²⁵
DBX 06/18/2021 CALL \$29.00 / CUSIP: / Symbol:							
06/07/21	4.000	331.98	06/04/21	232.00	...	99.98	Option sale to close-call ²⁵
DDOG 05/21/2021 CALL \$82.00 / CUSIP: / Symbol:							
05/11/21	2.000	181.98	05/10/21	216.00	...	-34.02	Option sale to close-call ²⁵
DDOG 06/18/2021 CALL \$97.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	451.98	06/10/21	284.00	...	167.98	1 of 2 - Option sale to close-call ²⁵
	3.000	659.98	06/10/21	426.00	...	233.98	2 of 2 - Option sale to close-call ²⁵
06/14/21	5.000	1,111.96	Various	710.00	...	401.96	Total of 2 transactions
DIS 02/26/2021 PUT \$165.00 / CUSIP: / Symbol:							
02/26/21	2.000	0.00	02/11/21	194.00	...	-194.00	Option expiration- long put
DIS 03/19/2021 PUT \$180.00 / CUSIP: / Symbol:							
03/04/21	1.000	258.98	02/11/21	585.00	...	-326.02	Option sale to close-put ²⁵
DIS 05/21/2021 CALL \$200.00 / CUSIP: / Symbol:							
<i>2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	494.98	05/03/21	675.00	...	-180.02	1 of 2 - Option sale to close-call ²⁵
	3.000	296.99	05/03/21	405.00	...	-108.01	2 of 2 - Option sale to close-call ²⁵
05/04/21	8.000	791.97	Various	1,080.00	...	-288.03	Total of 2 transactions
DISCA 06/18/2021 CALL \$32.50 / CUSIP: / Symbol:							
05/27/21	3.000	359.98	05/26/21	315.00	...	44.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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DKNG 02/19/2021 PUT \$58.00 / CUSIP: / Symbol:							
02/11/21	1.000	93.98	02/08/21	103.00	...	-9.02	Option sale to close-put ²⁵
DKNG 05/28/2021 CALL \$52.00 / CUSIP: / Symbol:							
3 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	2.000	51.99	05/26/21	36.00	...	15.99	1 of 3 - Option sale to close-call ²⁵
	4.000	103.99	05/26/21	72.00	...	31.99	2 of 3 - Option sale to close-call ²⁵
	4.000	103.99	05/26/21	72.00	...	31.99	3 of 3 - Option sale to close-call ²⁵
05/26/21	10.000	259.97	Various	180.00	...	79.97	Total of 3 transactions
DKNG 06/18/2021 CALL \$52.00 / CUSIP: / Symbol:							
3 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	2.000	69.98	06/14/21	176.00	...	-106.02	1 of 3 - Option sale to close-call ²⁵
	2.000	69.98	06/14/21	176.00	...	-106.02	2 of 3 - Option sale to close-call ²⁵
	1.000	34.98	06/14/21	88.00	...	-53.02	3 of 3 - Option sale to close-call ²⁵
06/16/21	5.000	174.94	Various	440.00	...	-265.06	Total of 3 transactions
DKNG 06/25/2021 CALL \$50.00 / CUSIP: / Symbol:							
06/16/21	5.000	979.98	06/15/21	755.00	...	224.98	Option sale to close-call ²⁵
EA 04/16/2021 CALL \$140.00 / CUSIP: / Symbol:							
6 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	1.000	125.98	03/30/21	109.00	...	16.98	1 of 6 - Option sale to close-call ²⁵
	1.000	125.98	03/30/21	109.00	...	16.98	2 of 6 - Option sale to close-call ²⁵
	1.000	125.98	03/30/21	109.00	...	16.98	3 of 6 - Option sale to close-call ²⁵
	1.000	125.98	03/30/21	109.00	...	16.98	4 of 6 - Option sale to close-call ²⁵
	1.000	125.98	03/30/21	109.00	...	16.98	5 of 6 - Option sale to close-call ²⁵
	1.000	125.98	03/30/21	109.00	...	16.98	6 of 6 - Option sale to close-call ²⁵
03/31/21	6.000	755.88	Various	654.00	...	101.88	Total of 6 transactions
EBAY 02/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/02/21	3.000	140.98	02/02/21	144.00	...	-3.02	Option sale to close-call ²⁵
EBAY 02/19/2021 PUT \$50.00 / CUSIP: / Symbol:							
02/03/21	5.000	104.98	02/02/21	145.00	...	-40.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EBAY 04/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	2.000	263.99	03/15/21	242.00	...	21.99	1 of 2 - Option sale to close-call ²⁵
	8.000	1,055.98	03/15/21	968.00	...	87.98	2 of 2 - Option sale to close-call ²⁵
03/16/21	10.000	1,319.97	Various	1,210.00	...	109.97	Total of 2 transactions
EBAY 05/28/2021 CALL \$62.00 / CUSIP: / Symbol:							
3 transactions for 05/24/21. Total proceeds and cost reported to the IRS.							
	2.000	97.99	05/21/21	102.00	...	-4.01	1 of 3 - Option sale to close-call ²⁵
	1.000	48.99	05/21/21	51.00	...	-2.01	2 of 3 - Option sale to close-call ²⁵
	1.000	49.00	05/21/21	51.00	...	-2.00	3 of 3 - Option sale to close-call ²⁵
05/24/21	4.000	195.98	Various	204.00	...	-8.02	Total of 3 transactions
EBAY 06/18/2021 CALL \$65.50 / CUSIP: / Symbol:							
06/08/21	1.000	118.98	06/07/21	120.00	...	-1.02	Option sale to close-call ²⁵
EBAY 06/18/2021 CALL \$66.00 / CUSIP: / Symbol:							
3 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	3.000	299.98	06/07/21	291.00	...	8.98	1 of 3 - Option sale to close-call ²⁵
	1.000	106.00	06/07/21	97.00	...	9.00	2 of 3 - Option sale to close-call ²⁵
	5.000	529.98	06/07/21	485.00	...	44.98	3 of 3 - Option sale to close-call ²⁵
06/08/21	9.000	935.96	Various	873.00	...	62.96	Total of 3 transactions
ERIC 05/21/2021 CALL \$13.00 / CUSIP: / Symbol:							
04/21/21	3.000	401.98	04/20/21	306.00	...	95.98	Option sale to close-call ²⁵
FB 07/30/2021 CALL \$400.00 / CUSIP: / Symbol:							
07/28/21	4.000	451.98	07/28/21	452.00	...	-0.02	Option sale to close-call ²⁵
FB 09/24/2021 CALL \$360.00 / CUSIP: / Symbol:							
09/22/21	5.000	119.98	09/22/21	125.00	...	-5.02	Option sale to close-call ²⁵
09/22/21	5.000	119.99	09/22/21	395.00	275.01 W	0.00	Option sale to close-call ²⁵
2 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	4.000	95.98	09/22/21	320.01	...	-224.03	1 of 2 - Option sale to close-call ²⁵
	1.000	23.98	09/22/21	80.00	...	-56.02	2 of 2 - Option sale to close-call ²⁵
09/23/21	5.000	119.96	Various	400.01	...	-280.05	Total of 2 transactions

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Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FB 09/24/2021 CALL \$360.00 / CUSIP: / Symbol: (cont'd)							
Security total:		359.93		920.01		275.01 W	-285.07
FB 10/15/2021 CALL \$347.50 / CUSIP: / Symbol:							
10/05/21	2.000	327.98	10/04/21	230.00	...	97.98	Option sale to close-call ²⁵
FCX 10/01/2021 CALL \$31.00 / CUSIP: / Symbol:							
09/22/21	3.000	494.98	09/21/21	306.00	...	188.98	Option sale to close-call ²⁵
FDX 09/17/2021 CALL \$277.50 / CUSIP: / Symbol:							
09/03/21	2.000	151.98	09/02/21	216.00	...	-64.02	Option sale to close-call ²⁵
FPRX 03/19/2021 PUT \$35.00 / CUSIP: / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	3.000	104.98	03/04/21	105.00	...	-0.02	1 of 2 - Option sale to close-put ²⁵
	15.000	539.96	03/04/21	525.00	...	14.96	2 of 2 - Option sale to close-put ²⁵
03/04/21	18.000	644.94	Various	630.00	...	14.94	Total of 2 transactions
FPRX 04/16/2021 PUT \$22.50 / CUSIP: / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	20.000	499.95	03/04/21	500.00	...	-0.05	1 of 2 - Option sale to close-put ²⁵
	7.000	174.98	03/04/21	175.00	...	-0.02	2 of 2 - Option sale to close-put ²⁵
03/04/21	27.000	674.93	Various	675.00	...	-0.07	Total of 2 transactions
FSLY 05/21/2021 CALL \$95.00 / CUSIP: / Symbol:							
2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	1.000	107.99	04/13/21	100.00	...	7.99	1 of 2 - Option sale to close-call ²⁵
	2.000	215.99	04/13/21	200.00	...	15.99	2 of 2 - Option sale to close-call ²⁵
04/14/21	3.000	323.98	Various	300.00	...	23.98	Total of 2 transactions
FSLY 06/11/2021 CALL \$56.50 / CUSIP: / Symbol:							
06/08/21	3.000	452.98	06/08/21	282.00	...	170.98	Option sale to close-call ²⁵

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FSR 04/16/2021 PUT \$15.00 / CUSIP: / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	5.000	459.98	02/25/21	475.00	...	-15.02	1 of 2 - Option sale to close-put ²⁵
	5.000	474.98	02/25/21	475.00	...	-0.02	2 of 2 - Option sale to close-put ²⁵
03/05/21	10.000	934.96	Various	950.00	...	-15.04	Total of 2 transactions
FUBO 04/16/2021 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	4.000	299.98	04/09/21	308.00	...	-8.02	1 of 2 - Option sale to close-call ²⁵
	1.000	75.00	04/09/21	78.00	...	-3.00	2 of 2 - Option sale to close-call ²⁵
04/12/21	5.000	374.98	Various	386.00	...	-11.02	Total of 2 transactions
FVRR 08/20/2021 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	2.000	31.98	08/05/21	194.00	...	-162.02	1 of 2 - Option sale to close-call ²⁵
	2.000	31.98	08/05/21	194.00	...	-162.02	2 of 2 - Option sale to close-call ²⁵
08/11/21	4.000	63.96	Various	388.00	...	-324.04	Total of 2 transactions
GLUU 02/19/2021 PUT \$12.00 / CUSIP: / Symbol:							
02/10/21	1.000	4.98	02/09/21	5.00	...	-0.02	Option sale to close-put ²⁵
GM 04/01/2021 CALL \$61.50 / CUSIP: / Symbol:							
03/16/21	10.000	779.97	03/15/21	1,210.00	...	-430.03	Option sale to close-call ²⁵
GOEV 05/21/2021 CALL \$35.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	02/04/21	117.00	...	-117.00	Option expiration- long call
GS 04/23/2021 CALL \$350.00 / CUSIP: / Symbol:							
2 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	1.000	174.98	04/16/21	211.02	...	-36.04	1 of 2 - Option sale to close-call ²⁵
	1.000	174.98	04/16/21	191.00	...	-16.02	2 of 2 - Option sale to close-call ²⁵
04/19/21	2.000	349.96	Various	402.02	...	-52.06	Total of 2 transactions
04/19/21	1.000	170.98	04/16/21	191.00	20.02 W	0.00	Option sale to close-call ²⁵
	Security total:	520.94		593.02	20.02 W	-52.06	

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(continued)

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GS 06/18/2021 CALL \$390.00 / CUSIP: / Symbol:							
3 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	1.000	108.00	06/10/21	75.00	...	33.00	1 of 3 - Option sale to close-call ²⁵
	4.000	431.98	06/10/21	300.00	...	131.98	2 of 3 - Option sale to close-call ²⁵
	7.000	755.97	06/10/21	539.00	...	216.97	3 of 3 - Option sale to close-call ²⁵
06/11/21	12.000	1,295.95	Various	914.00	...	381.95	Total of 3 transactions
GS 06/25/2021 CALL \$380.00 / CUSIP: / Symbol:							
2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	5.000	129.98	06/17/21	535.00	...	-405.02	1 of 2 - Option sale to close-call ²⁵
	1.000	26.00	06/18/21	59.00	...	-33.00	2 of 2 - Option sale to close-call ²⁵
06/24/21	6.000	155.98	Various	594.00	...	-438.02	Total of 2 transactions
HD 05/21/2021 PUT \$280.00 / CUSIP: / Symbol:							
04/07/21	3.000	422.98	04/06/21	435.00	...	-12.02	Option sale to close-put ²⁵
HGEN 04/16/2021 PUT \$15.00 / CUSIP: / Symbol:							
03/30/21	4.000	319.98	03/29/21	220.00	...	99.98	Option sale to close-put ²⁵
HPQ 04/16/2021 CALL \$32.00 / CUSIP: / Symbol:							
7 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	57.000	3,020.86	03/12/21	3,078.00	...	-57.14	1 of 7 - Option sale to close-call ²⁵
	4.000	211.99	03/12/21	244.00	...	-32.01	2 of 7 - Option sale to close-call ²⁵
	6.000	317.98	03/12/21	342.00	...	-24.02	3 of 7 - Option sale to close-call ²⁵
	2.000	106.00	03/12/21	120.00	...	-14.00	4 of 7 - Option sale to close-call ²⁵
	2.000	106.00	03/12/21	120.00	...	-14.00	5 of 7 - Option sale to close-call ²⁵
	1.000	53.00	03/12/21	61.00	...	-8.00	6 of 7 - Option sale to close-call ²⁵
	1.000	53.00	03/12/21	60.00	...	-7.00	7 of 7 - Option sale to close-call ²⁵
03/15/21	73.000	3,868.83	Various	4,025.00	...	-156.17	Total of 7 transactions
INTC 02/05/2021 CALL \$59.00 / CUSIP: / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	3.000	116.99	01/29/21	117.00	...	-0.01	1 of 2 - Option sale to close-call ²⁵
	3.000	116.99	01/29/21	117.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
01/29/21	6.000	233.98	Various	234.00	...	-0.02	Total of 2 transactions

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTC 08/06/2021 CALL \$58.50 / CUSIP: / Symbol:							
2 transactions for 07/15/21. Total proceeds and cost reported to the IRS.							
	1.000	101.98	07/15/21	102.00	0.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	203.98	07/15/21	204.00	0.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
07/15/21	3.000	305.96	Various	306.00	0.04 W	0.00	Total of 2 transactions
3 transactions for 07/22/21. Total proceeds and cost reported to the IRS.							
	2.000	181.99	07/15/21	204.02	...	-22.03	1 of 3 - Option sale to close-call ²⁵
	2.000	181.99	07/15/21	204.00	...	-22.01	2 of 3 - Option sale to close-call ²⁵
	1.000	91.00	07/15/21	102.02	...	-11.02	3 of 3 - Option sale to close-call ²⁵
07/22/21	5.000	454.98	Various	510.04	...	-55.06	Total of 3 transactions
	Security total:	760.94		816.04	0.04 W	-55.06	
IWM 06/18/2021 PUT \$180.00 / CUSIP: / Symbol:							
2 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	1.000	36.99	05/13/21	165.01	...	-128.02	1 of 2 - Option sale to close-put ²⁵
	1.000	36.99	05/13/21	155.01	...	-118.02	2 of 2 - Option sale to close-put ²⁵
05/18/21	2.000	73.98	Various	320.02	...	-246.04	Total of 2 transactions
2 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	2.000	73.99	05/13/21	192.00	59.01 W	-59.00	1 of 2 - Option sale to close-put ²⁵
	1.000	36.99	05/13/21	106.00	69.01 W	0.00	2 of 2 - Option sale to close-put ²⁵
05/18/21	3.000	110.98	Various	298.00	128.02 W	-59.00	Total of 2 transactions
	Security total:	184.96		618.02	128.02 W	-305.04	
JPM 03/26/2021 PUT \$155.00 / CUSIP: / Symbol:							
03/18/21	3.000	332.98	03/18/21	261.00	...	71.98	Option sale to close-put ²⁵
JPM 06/25/2021 CALL \$160.00 / CUSIP: / Symbol:							
06/15/21	5.000	424.98	06/15/21	320.00	...	104.98	Option sale to close-call ²⁵
KALV 02/19/2021 PUT \$12.50 / CUSIP: / Symbol:							
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	18.000	0.00	02/09/21	180.00	...	-180.00	1 of 3 - Option expiration- long put
	1.000	0.00	02/09/21	10.00	...	-10.00	2 of 3 - Option expiration- long put
	1.000	0.00	02/09/21	10.00	...	-10.00	3 of 3 - Option expiration- long put
02/19/21	20.000	0.00	Various	200.00	...	-200.00	Total of 3 transactions

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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KALV 02/19/2021 PUT \$17.50 / CUSIP: / Symbol:							
02/19/21	20.000	0.00	02/09/21	400.00	...	-400.00	Option expiration- long put
KALV 03/19/2021 PUT \$12.50 / CUSIP: / Symbol:							
03/19/21	10.000	0.00	02/09/21	140.00	...	-140.00	Option expiration- long put
KALV 03/19/2021 PUT \$17.50 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	02/10/21	175.00	...	-175.00	1 of 2 - Option expiration- long put
	5.000	0.00	02/10/21	175.00	...	-175.00	2 of 2 - Option expiration- long put
03/19/21	10.000	0.00	Various	350.00	...	-350.00	Total of 2 transactions
KODK 02/05/2021 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	2.000	369.98	02/03/21	420.00	...	-50.02	1 of 2 - Option sale to close-call ²⁵
	1.000	184.98	02/03/21	210.00	...	-25.02	2 of 2 - Option sale to close-call ²⁵
02/04/21	3.000	554.96	Various	630.00	...	-75.04	Total of 2 transactions
KODK 02/05/2021 PUT \$9.00 / CUSIP: / Symbol:							
5 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	4.000	11.99	02/03/21	212.02	...	-200.03	1 of 5 - Option sale to close-put ²⁵
	5.000	14.98	02/03/21	135.00	...	-120.02	2 of 5 - Option sale to close-put ²⁵
	3.000	8.99	02/03/21	87.00	...	-78.01	3 of 5 - Option sale to close-put ²⁵
	1.000	3.00	02/03/21	55.02	...	-52.02	4 of 5 - Option sale to close-put ²⁵
	1.000	3.00	02/03/21	53.00	...	-50.00	5 of 5 - Option sale to close-put ²⁵
02/04/21	14.000	41.96	Various	542.04	...	-500.08	Total of 5 transactions
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	2.98	02/03/21	29.00	26.02 W	0.00	1 of 2 - Option sale to close-put ²⁵
	5.000	14.98	02/03/21	145.00	130.02 W	0.00	2 of 2 - Option sale to close-put ²⁵
02/04/21	6.000	17.96	Various	174.00	156.04 W	0.00	Total of 2 transactions
Security total:		59.92		716.04	156.04 W	-500.08	
LODE 03/19/2021 PUT \$2.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	02/17/21	19.00	...	-19.00	Option expiration- long put

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LODE 04/16/2021 PUT \$1.00 / CUSIP: / Symbol:							
04/16/21	7.000	0.00	02/17/21	42.00	...	-42.00	Option expiration- long put
LYFT 03/19/2021 PUT \$50.00 / CUSIP: / Symbol:							
02/25/21	5.000	549.98	02/10/21	565.00	...	-15.02	Option sale to close-put ²⁵
MA 04/16/2021 CALL \$400.00 / CUSIP: / Symbol:							
03/22/21	2.000	189.98	03/19/21	244.00	...	-54.02	Option sale to close-call ²⁵
MA 06/25/2021 CALL \$380.00 / CUSIP: / Symbol:							
06/21/21	5.000	829.98	06/17/21	480.00	...	349.98	Option sale to close-call ²⁵
MNTS 09/17/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 09/07/21. Total proceeds and cost reported to the IRS.							
	1.000	30.00	09/03/21	30.00	...	0.00	1 of 2 - Option sale to close-call ²⁵
	4.000	119.98	09/03/21	116.00	...	3.98	2 of 2 - Option sale to close-call ²⁵
09/07/21	5.000	149.98	Various	146.00	...	3.98	Total of 2 transactions
MRK 06/04/2021 CALL \$80.00 / CUSIP: / Symbol:							
05/24/21	2.000	145.98	05/20/21	198.00	...	-52.02	Option sale to close-call ²⁵
MSFT 04/09/2021 PUT \$245.00 / CUSIP: / Symbol:							
4 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	1.000	112.98	04/05/21	96.00	...	16.98	1 of 4 - Option sale to close-put ²⁵
	2.000	245.98	04/05/21	192.00	...	53.98	2 of 4 - Option sale to close-put ²⁵
	2.000	245.98	04/05/21	192.00	...	53.98	3 of 4 - Option sale to close-put ²⁵
	3.000	368.98	04/05/21	288.00	...	80.98	4 of 4 - Option sale to close-put ²⁵
04/05/21	8.000	973.92	Various	768.00	...	205.92	Total of 4 transactions
MSFT 04/30/2021 CALL \$260.00 / CUSIP: / Symbol:							
04/28/21	10.000	499.97	04/28/21	760.00	...	-260.03	Option sale to close-call ²⁵
MSFT 10/01/2021 PUT \$275.00 / CUSIP: / Symbol:							
09/15/21	2.000	149.98	09/13/21	204.00	...	-54.02	Option sale to close-put ²⁵
MU 04/30/2021 CALL \$88.50 / CUSIP: / Symbol:							
04/26/21	10.000	949.97	04/26/21	1,050.00	...	-100.03	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NCLH 05/21/2021 CALL \$29.50 / CUSIP: / Symbol:							
05/18/21	5.000	349.98	05/18/21	200.00	...	149.98	Option sale to close-call ²⁵
NIO 04/09/2021 CALL \$53.50 / CUSIP: / Symbol:							
2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	1.000	109.98	03/04/21	101.00	...	8.98	1 of 2 - Option sale to close-call ²⁵
	1.000	109.98	03/04/21	101.00	...	8.98	2 of 2 - Option sale to close-call ²⁵
03/10/21	2.000	219.96	Various	202.00	...	17.96	Total of 2 transactions
NIO 04/09/2021 CALL \$55.00 / CUSIP: / Symbol:							
03/10/21	5.000	534.98	03/04/21	465.00	...	69.98	Option sale to close-call ²⁵
NIO 04/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
03/25/21	2.000	173.98	03/24/21	180.00	...	-6.02	Option sale to close-call ²⁵
NIO 04/16/2021 CALL \$48.00 / CUSIP: / Symbol:							
2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	299.98	03/10/21	240.00	...	59.98	1 of 2 - Option sale to close-call ²⁵
	1.000	299.98	03/10/21	240.00	...	59.98	2 of 2 - Option sale to close-call ²⁵
03/11/21	2.000	599.96	Various	480.00	...	119.96	Total of 2 transactions
NIO 04/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
4 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	244.98	03/05/21	114.00	...	130.98	1 of 4 - Option sale to close-call ²⁵
	1.000	244.98	03/05/21	114.00	...	130.98	2 of 4 - Option sale to close-call ²⁵
	6.000	1,469.98	03/05/21	678.00	...	791.98	3 of 4 - Option sale to close-call ²⁵
	1.000	245.00	03/10/21	193.00	...	52.00	4 of 4 - Option sale to close-call ²⁵
03/11/21	9.000	2,204.94	Various	1,099.00	...	1,105.94	Total of 4 transactions
9 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	302.98	03/12/21	272.00	...	30.98	1 of 9 - Option sale to close-call ²⁵
	1.000	310.99	03/12/21	272.00	...	38.99	2 of 9 - Option sale to close-call ²⁵
	1.000	310.98	03/12/21	269.00	...	41.98	3 of 9 - Option sale to close-call ²⁵
	1.000	310.98	03/12/21	269.00	...	41.98	4 of 9 - Option sale to close-call ²⁵
	1.000	310.99	03/12/21	269.00	...	41.99	5 of 9 - Option sale to close-call ²⁵
	1.000	316.98	03/12/21	272.00	...	44.98	6 of 9 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NIO 04/16/2021 CALL \$50.00 / CUSIP: / Symbol: (cont'd)							
	1.000	319.98	03/12/21	272.00	...	47.98	7 of 9 - Option sale to close-call ²⁵
	2.000	621.98	03/12/21	544.00	...	77.98	8 of 9 - Option sale to close-call ²⁵
	4.000	1,243.98	03/12/21	1,088.00	...	155.98	9 of 9 - Option sale to close-call ²⁵
03/12/21	13.000	4,049.84	Various	3,527.00	...	522.84	Total of 9 transactions
	Security total:	6,254.78		4,626.00	...	1,628.78	
NKE 05/07/2021 CALL \$131.00 / CUSIP: / Symbol:							
	3 transactions for 04/21/21. Total proceeds and cost reported to the IRS.						
	1.000	188.00	04/20/21	119.00	...	69.00	1 of 3 - Option sale to close-call ²⁵
	1.000	188.00	04/20/21	119.00	...	69.00	2 of 3 - Option sale to close-call ²⁵
	3.000	563.98	04/20/21	357.00	...	206.98	3 of 3 - Option sale to close-call ²⁵
04/21/21	5.000	939.98	Various	595.00	...	344.98	Total of 3 transactions
NKE 06/11/2021 CALL \$134.00 / CUSIP: / Symbol:							
	2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.						
	1.000	130.98	06/04/21	96.00	...	34.98	1 of 2 - Option sale to close-call ²⁵
	8.000	1,047.97	06/04/21	768.00	...	279.97	2 of 2 - Option sale to close-call ²⁵
06/04/21	9.000	1,178.95	Various	864.00	...	314.95	Total of 2 transactions
NKE 07/02/2021 PUT \$147.00 / CUSIP: / Symbol:							
	3 transactions for 07/02/21. Total proceeds and cost reported to the IRS.						
	3.000	0.00	06/25/21	336.00	...	-336.00	1 of 3 - Option expiration- long put
	1.000	0.00	06/25/21	112.00	...	-112.00	2 of 3 - Option expiration- long put
	1.000	0.00	06/25/21	112.00	...	-112.00	3 of 3 - Option expiration- long put
07/02/21	5.000	0.00	Various	560.00	...	-560.00	Total of 3 transactions
NOK 03/19/2021 CALL \$8.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	01/27/21	246.00	...	-246.00	Option expiration- long call
O 05/21/2021 CALL \$67.50 / CUSIP: / Symbol:							
04/06/21	5.000	349.98	04/05/21	350.00	...	-0.02	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OPEN 07/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	2.000	149.99	06/14/21	148.00	...	1.99	1 of 3 - Option sale to close-call ²⁵
	3.000	224.99	06/14/21	222.00	...	2.99	2 of 3 - Option sale to close-call ²⁵
	3.000	224.99	06/14/21	222.00	...	2.99	3 of 3 - Option sale to close-call ²⁵
06/15/21	8.000	599.97	Various	592.00	...	7.97	Total of 3 transactions
ORCL 04/30/2021 PUT \$78.00 / CUSIP: / Symbol:							
04/20/21	5.000	344.98	04/19/21	505.00	160.02 W	0.00	Option sale to close-put ²⁵
04/21/21	5.000	449.98	04/19/21	650.02	...	-200.04	Option sale to close-put ²⁵
	Security total:	794.96		1,155.02	160.02 W	-200.04	
OXY 05/21/2021 CALL \$26.00 / CUSIP: / Symbol:							
04/28/21	3.000	419.98	04/27/21	330.00	...	89.98	Option sale to close-call ²⁵
PDD 05/28/2021 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	1.000	159.98	05/26/21	126.00	...	33.98	1 of 2 - Option sale to close-call ²⁵
	2.000	319.98	05/26/21	252.00	...	67.98	2 of 2 - Option sale to close-call ²⁵
05/26/21	3.000	479.96	Various	378.00	...	101.96	Total of 2 transactions
PENN 07/16/2021 CALL \$100.00 / CUSIP: / Symbol:							
3 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	1.000	85.00	06/14/21	84.02	...	0.98	1 of 3 - Option sale to close-call ²⁵
	1.000	84.99	06/14/21	84.00	...	0.99	2 of 3 - Option sale to close-call ²⁵
	4.000	339.99	06/14/21	336.00	...	3.99	3 of 3 - Option sale to close-call ²⁵
06/15/21	6.000	509.98	Various	504.02	...	5.96	Total of 3 transactions
06/15/21	1.000	83.98	06/14/21	84.00	0.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	2.000	119.99	06/14/21	170.00	...	-50.01	1 of 2 - Option sale to close-call ²⁵
	1.000	59.99	06/14/21	84.00	...	-24.01	2 of 2 - Option sale to close-call ²⁵
06/16/21	3.000	179.98	Various	254.00	...	-74.02	Total of 2 transactions
	Security total:	773.94		842.02	0.02 W	-68.06	

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PEP 07/16/2021 CALL \$152.50 / CUSIP: / Symbol:							
2 transactions for 07/13/21. Total proceeds and cost reported to the IRS.							
	3.000	149.98	07/12/21	150.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	2.000	99.98	07/12/21	100.00	...	-0.02	2 of 2 - Option sale to close-call ²⁵
07/13/21	5.000	249.96	Various	250.00	...	-0.04	Total of 2 transactions
PFSI 05/21/2021 CALL \$75.00 / CUSIP: / Symbol:							
03/26/21	5.000	699.98	03/25/21	475.00	...	224.98	Option sale to close-call ²⁵
03/29/21	4.000	559.98	03/25/21	380.00	...	179.98	Option sale to close-call ²⁵
	Security total:	1,259.96		855.00	...	404.96	
PHM 03/26/2021 CALL \$50.50 / CUSIP: / Symbol:							
03/24/21	2.000	39.98	03/17/21	290.00	...	-250.02	Option sale to close-call ²⁵
PINS 08/06/2021 CALL \$64.00 / CUSIP: / Symbol:							
08/04/21	5.000	89.98	07/30/21	495.00	...	-405.02	Option sale to close-call ²⁵
PLTR 06/18/2021 CALL \$25.00 / CUSIP: / Symbol:							
06/15/21	5.000	279.98	06/14/21	220.00	...	59.98	Option sale to close-call ²⁵
PLTR 10/08/2021 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 10/04/21. Total proceeds and cost reported to the IRS.							
	1.000	50.99	10/01/21	89.00	...	-38.01	1 of 2 - Option sale to close-call ²⁵
	1.000	50.99	10/01/21	89.00	...	-38.01	2 of 2 - Option sale to close-call ²⁵
10/04/21	2.000	101.98	Various	178.00	...	-76.02	Total of 2 transactions
PLUG 05/07/2021 CALL \$30.00 / CUSIP: / Symbol:							
4 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	151.98	04/15/21	116.00	...	35.98	1 of 4 - Option sale to close-call ²⁵
	1.000	151.98	04/15/21	116.00	...	35.98	2 of 4 - Option sale to close-call ²⁵
	2.000	299.98	04/15/21	232.00	...	67.98	3 of 4 - Option sale to close-call ²⁵
	5.000	774.98	04/15/21	565.00	...	209.98	4 of 4 - Option sale to close-call ²⁵
04/16/21	9.000	1,378.92	Various	1,029.00	...	349.92	Total of 4 transactions
PSFE 06/18/2021 CALL \$12.00 / CUSIP: / Symbol:							
06/09/21	3.000	167.98	06/08/21	168.00	...	-0.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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PTON 05/21/2021 CALL \$110.00 / CUSIP: / Symbol:							
4 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	3.000	191.99	05/05/21	408.01	...	-216.02	1 of 4 - Option sale to close-call ²⁵
	2.000	127.99	05/05/21	282.01	...	-154.02	2 of 4 - Option sale to close-call ²⁵
	1.000	64.00	05/05/21	141.00	...	-77.00	3 of 4 - Option sale to close-call ²⁵
	2.000	99.99	05/05/21	148.00	...	-48.01	4 of 4 - Option sale to close-call ²⁵
05/06/21	8.000	483.97	Various	979.02	...	-495.05	Total of 4 transactions
3 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	5.000	249.99	05/05/21	560.00	186.01 W	-124.00	1 of 3 - Option sale to close-call ²⁵
	1.000	50.00	05/05/21	117.00	67.00 W	0.00	2 of 3 - Option sale to close-call ²⁵
	2.000	99.99	05/05/21	234.00	134.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
05/06/21	8.000	399.98	Various	911.00	387.02 W	-124.00	Total of 3 transactions
	Security total:	883.95		1,890.02	387.02 W	-619.05	
PWR 07/16/2021 PUT \$85.00 / CUSIP: / Symbol:							
4 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	2.000	159.99	06/11/21	170.00	...	-10.01	1 of 4 - Option sale to close-put ²⁵
	2.000	159.99	06/11/21	170.00	...	-10.01	2 of 4 - Option sale to close-put ²⁵
	1.000	80.00	06/11/21	85.00	...	-5.00	3 of 4 - Option sale to close-put ²⁵
	1.000	80.00	06/11/21	85.00	...	-5.00	4 of 4 - Option sale to close-put ²⁵
06/16/21	6.000	479.98	Various	510.00	...	-30.02	Total of 4 transactions
PWR 07/16/2021 PUT \$90.00 / CUSIP: / Symbol:							
06/14/21	1.000	214.98	06/11/21	207.00	...	7.98	Option sale to close-put ²⁵
PYPL 04/09/2021 CALL \$262.50 / CUSIP: / Symbol:							
04/07/21	3.000	359.98	04/07/21	303.00	...	56.98	Option sale to close-call ²⁵
QCOM 02/19/2021 CALL \$200.00 / CUSIP: / Symbol:							
4 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	5.000	184.99	02/03/21	215.00	...	-30.01	1 of 4 - Option sale to close-call ²⁵
	3.000	110.98	02/03/21	129.00	...	-18.02	2 of 4 - Option sale to close-call ²⁵
	2.000	73.99	02/03/21	88.00	...	-14.01	3 of 4 - Option sale to close-call ²⁵
	2.000	73.98	02/03/21	86.00	...	-12.02	4 of 4 - Option sale to close-call ²⁵
02/03/21	12.000	443.94	Various	518.00	...	-74.06	Total of 4 transactions

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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QQQ 03/31/2021 CALL \$314.00 / CUSIP: / Symbol:							
03/31/21	1.000	250.98	03/30/21	182.00	...	68.98	Option sale to close-call ²⁵
QQQ 03/31/2021 PUT \$310.00 / CUSIP: / Symbol:							
2 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	1.000	101.98	03/29/21	91.00	...	10.98	1 of 2 - Option sale to close-put ²⁵
	9.000	935.97	03/29/21	810.00	...	125.97	2 of 2 - Option sale to close-put ²⁵
03/29/21	10.000	1,037.95	Various	901.00	...	136.95	Total of 2 transactions
QQQ 04/01/2021 CALL \$318.00 / CUSIP: / Symbol:							
2 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	1.000	120.00	03/30/21	98.00	...	22.00	1 of 2 - Option sale to close-call ²⁵
	3.000	359.98	03/30/21	297.00	...	62.98	2 of 2 - Option sale to close-call ²⁵
03/31/21	4.000	479.98	Various	395.00	...	84.98	Total of 2 transactions
QQQ 04/09/2021 PUT \$320.00 / CUSIP: / Symbol:							
2 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	3.000	11.98	04/01/21	690.00	...	-678.02	1 of 2 - Option sale to close-put ²⁵
	1.000	4.98	04/01/21	230.00	...	-225.02	2 of 2 - Option sale to close-put ²⁵
04/08/21	4.000	16.96	Various	920.00	...	-903.04	Total of 2 transactions
QQQ 05/05/2021 CALL \$334.00 / CUSIP: / Symbol:							
2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	8.000	415.98	05/04/21	600.00	...	-184.02	1 of 2 - Option sale to close-call ²⁵
	7.000	363.98	05/04/21	525.00	...	-161.02	2 of 2 - Option sale to close-call ²⁵
05/04/21	15.000	779.96	Various	1,125.00	...	-345.04	Total of 2 transactions
QQQ 05/07/2021 PUT \$310.00 / CUSIP: / Symbol:							
2 transactions for 04/20/21. Total proceeds and cost reported to the IRS.							
	1.000	87.98	04/19/21	87.00	...	0.98	1 of 2 - Option sale to close-put ²⁵
	1.000	87.98	04/19/21	87.00	...	0.98	2 of 2 - Option sale to close-put ²⁵
04/20/21	2.000	175.96	Various	174.00	...	1.96	Total of 2 transactions
QQQ 05/14/2021 CALL \$325.00 / CUSIP: / Symbol:							
05/13/21	2.000	169.98	05/12/21	158.00	...	11.98	Option sale to close-call ²⁵

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Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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QQQ 05/14/2021 CALL \$338.00 / CUSIP: / Symbol:							
05/05/21	7.000	1,259.98	05/04/21	735.00	...	524.98	Option sale to close-call ²⁵
QQQ 05/17/2021 PUT \$325.00 / CUSIP: / Symbol:							
05/17/21	1.000	139.98	05/14/21	108.00	...	31.98	Option sale to close-put ²⁵
QQQ 05/17/2021 PUT \$326.00 / CUSIP: / Symbol:							
05/17/21	1.000	193.98	05/14/21	134.00	...	59.98	Option sale to close-put ²⁵
QQQ 06/21/2021 PUT \$335.00 / CUSIP: / Symbol:							
	2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.						
	5.000	274.98	06/16/21	535.00	...	-260.02	1 of 2 - Option sale to close-put ²⁵
	5.000	274.99	06/16/21	530.00	...	-255.01	2 of 2 - Option sale to close-put ²⁵
06/18/21	10.000	549.97	Various	1,065.00	...	-515.03	Total of 2 transactions
QQQ 07/26/2021 CALL \$365.00 / CUSIP: / Symbol:							
07/16/21	2.000	161.98	07/16/21	236.00	...	-74.02	Option sale to close-call ²⁵
RBLX 05/14/2021 PUT \$71.00 / CUSIP: / Symbol:							
05/12/21	1.000	101.98	05/11/21	47.00	...	54.98	Option sale to close-put ²⁵
RKT 04/16/2021 PUT \$13.00 / CUSIP: / Symbol:							
	9 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	24.000	119.94	03/03/21	1,080.06	...	-960.12	1 of 9 - Option sale to close-put ²⁵
	10.000	49.97	03/03/21	640.04	...	-590.07	2 of 9 - Option sale to close-put ²⁵
	10.000	49.98	03/03/21	640.04	...	-590.06	3 of 9 - Option sale to close-put ²⁵
	14.000	69.97	03/03/21	630.03	...	-560.06	4 of 9 - Option sale to close-put ²⁵
	4.000	19.99	03/03/21	256.02	...	-236.03	5 of 9 - Option sale to close-put ²⁵
	1.000	5.00	03/03/21	64.00	...	-59.00	6 of 9 - Option sale to close-put ²⁵
	1.000	5.00	03/03/21	60.00	...	-55.00	7 of 9 - Option sale to close-put ²⁵
	1.000	5.00	03/03/21	45.00	...	-40.00	8 of 9 - Option sale to close-put ²⁵
	1.000	5.00	03/03/21	45.00	...	-40.00	9 of 9 - Option sale to close-put ²⁵
03/05/21	66.000	329.85	Various	3,460.19	...	-3,130.34	Total of 9 transactions
	9 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	9.000	44.98	03/03/21	378.02	37.00 W	-296.04	1 of 9 - Option sale to close-put ²⁵
	10.000	49.98	03/03/21	240.00	190.02 W	0.00	2 of 9 - Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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RKT 04/16/2021 PUT \$13.00 / CUSIP: / Symbol: (cont'd)							
	4.000	19.99	03/03/21	96.00	76.01 W	0.00	3 of 9 - Option sale to close-put ²⁵
	20.000	99.96	03/03/21	480.00	380.04 W	0.00	4 of 9 - Option sale to close-put ²⁵
	2.000	10.00	03/03/21	54.00	44.00 W	0.00	5 of 9 - Option sale to close-put ²⁵
	38.000	189.91	03/03/21	1,026.00	836.09 W	0.00	6 of 9 - Option sale to close-put ²⁵
	4.000	19.99	03/03/21	184.01	164.02 W	0.00	7 of 9 - Option sale to close-put ²⁵
	10.000	49.98	03/03/21	460.02	410.04 W	0.00	8 of 9 - Option sale to close-put ²⁵
	11.000	54.98	03/03/21	506.02	451.04 W	0.00	9 of 9 - Option sale to close-put ²⁵
03/05/21	108.000	539.77	Various	3,424.07	2,588.26 W	-296.04	Total of 9 transactions
	Security total:	869.62		6,884.26	2,588.26 W	-3,426.38	
RKT 04/16/2021 PUT \$19.00 / CUSIP: / Symbol:							
03/05/21	1.000	53.98	03/02/21	59.00	...	-5.02	Option sale to close-put ²⁵
RKT 04/16/2021 PUT \$20.00 / CUSIP: / Symbol:							
4 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	10.000	789.97	03/02/21	820.00	...	-30.03	1 of 4 - Option sale to close-put ²⁵
	9.000	710.98	03/02/21	738.00	...	-27.02	2 of 4 - Option sale to close-put ²⁵
	4.000	315.99	03/02/21	328.00	...	-12.01	3 of 4 - Option sale to close-put ²⁵
	1.000	86.98	03/02/21	82.00	...	4.98	4 of 4 - Option sale to close-put ²⁵
03/03/21	24.000	1,903.92	Various	1,968.00	...	-64.08	Total of 4 transactions
ROKU 07/16/2021 CALL \$437.50 / CUSIP: / Symbol:							
2 transactions for 07/15/21. Total proceeds and cost reported to the IRS.							
	1.000	107.98	07/14/21	100.00	...	7.98	1 of 2 - Option sale to close-call ²⁵
	4.000	431.98	07/14/21	400.00	...	31.98	2 of 2 - Option sale to close-call ²⁵
07/15/21	5.000	539.96	Various	500.00	...	39.96	Total of 2 transactions
SAVA 02/19/2021 PUT \$60.00 / CUSIP: / Symbol:							
02/05/21	1.000	1,619.95	02/04/21	520.00	...	1,099.95	Option sale to close-put ²⁵
SBUX 04/16/2021 CALL \$115.00 / CUSIP: / Symbol:							
03/26/21	1.000	55.98	03/19/21	87.00	...	-31.02	Option sale to close-call ²⁵
SENS 02/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/09/21	1.000	39.98	02/08/21	40.00	...	-0.02	Option sale to close-call ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

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SLV 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/01/21	70.00	...	-70.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/01/21	69.00	...	-69.00	2 of 2 - Option expiration- long call
03/19/21	2.000	0.00	Various	139.00	...	-139.00	Total of 2 transactions
SLV 07/16/2021 CALL \$38.00 / CUSIP: / Symbol:							
3 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	2.000	21.99	02/01/21	336.00	...	-314.01	1 of 3 - Option sale to close-call ²⁵
	1.000	10.99	02/01/21	178.00	...	-167.01	2 of 3 - Option sale to close-call ²⁵
	1.000	11.00	02/01/21	178.00	...	-167.00	3 of 3 - Option sale to close-call ²⁵
04/07/21	4.000	43.98	Various	692.00	...	-648.02	Total of 3 transactions
SNAP 06/11/2021 CALL \$61.00 / CUSIP: / Symbol:							
2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	1.000	103.98	06/08/21	104.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	3.000	311.98	06/08/21	312.00	...	-0.02	2 of 2 - Option sale to close-call ²⁵
06/09/21	4.000	415.96	Various	416.00	...	-0.04	Total of 2 transactions
SNDL 02/19/2021 CALL \$1.00 / CUSIP: / Symbol:							
02/09/21	1.000	72.98	02/09/21	75.00	...	-2.02	Option sale to close-call ²⁵
SNDL 02/19/2021 PUT \$1.00 / CUSIP: / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	5.000	24.98	02/09/21	25.00	...	-0.02	1 of 2 - Option sale to close-put ²⁵
	5.000	24.99	02/09/21	25.00	...	-0.01	2 of 2 - Option sale to close-put ²⁵
02/11/21	10.000	49.97	Various	50.00	...	-0.03	Total of 2 transactions
SNDL 02/19/2021 PUT \$1.50 / CUSIP: / Symbol:							
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	6.000	119.98	02/09/21	150.00	...	-30.02	1 of 3 - Option sale to close-put ²⁵
	3.000	59.98	02/09/21	75.00	...	-15.02	2 of 3 - Option sale to close-put ²⁵
	1.000	19.98	02/09/21	25.00	...	-5.02	3 of 3 - Option sale to close-put ²⁵
02/11/21	10.000	199.94	Various	250.00	...	-50.06	Total of 3 transactions

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SNDL 03/19/2021 PUT \$1.00 / CUSIP: / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	5.000	74.98	02/10/21	85.00	...	-10.02	1 of 2 - Option sale to close-put ²⁵
	5.000	74.99	02/10/21	85.00	...	-10.01	2 of 2 - Option sale to close-put ²⁵
02/23/21	10.000	149.97	Various	170.00	...	-20.03	Total of 2 transactions
SNDL 04/16/2021 CALL \$2.00 / CUSIP: / Symbol:							
9 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	1.000	5.00	03/10/21	23.00	...	-18.00	1 of 9 - Option sale to close-call ²⁵
	10.000	49.97	03/16/21	230.00	...	-180.03	2 of 9 - Option sale to close-call ²⁵
	10.000	49.98	03/16/21	230.00	...	-180.02	3 of 9 - Option sale to close-call ²⁵
	4.000	19.99	03/16/21	92.00	...	-72.01	4 of 9 - Option sale to close-call ²⁵
	4.000	19.99	03/16/21	92.00	...	-72.01	5 of 9 - Option sale to close-call ²⁵
	3.000	14.99	03/16/21	69.00	...	-54.01	6 of 9 - Option sale to close-call ²⁵
	1.000	5.00	03/16/21	23.00	...	-18.00	7 of 9 - Option sale to close-call ²⁵
	1.000	5.00	03/16/21	23.00	...	-18.00	8 of 9 - Option sale to close-call ²⁵
	1.000	5.00	03/16/21	23.00	...	-18.00	9 of 9 - Option sale to close-call ²⁵
03/24/21	35.000	174.92	Various	805.00	...	-630.08	Total of 9 transactions
SNDL 04/16/2021 CALL \$2.50 / CUSIP: / Symbol:							
12 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	26.000	25.94	03/05/21	208.00	...	-182.06	1 of 12 - Option sale to close-call ²⁵
	20.000	19.96	03/05/21	160.00	...	-140.04	2 of 12 - Option sale to close-call ²⁵
	15.000	14.96	03/05/21	120.00	...	-105.04	3 of 12 - Option sale to close-call ²⁵
	15.000	14.97	03/05/21	120.00	...	-105.03	4 of 12 - Option sale to close-call ²⁵
	11.000	10.97	03/05/21	88.00	...	-77.03	5 of 12 - Option sale to close-call ²⁵
	10.000	9.97	03/05/21	80.00	...	-70.03	6 of 12 - Option sale to close-call ²⁵
	5.000	4.99	03/05/21	40.00	...	-35.01	7 of 12 - Option sale to close-call ²⁵

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Account 137380556

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2021 1099-B* OMB No. 1545-0715

(continued)

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SNDL 04/16/2021 CALL \$2.50 / CUSIP: / Symbol: (cont'd)							
	5.000	4.99	03/05/21	40.00	...	-35.01	8 of 12 - Option sale to close-call ²⁵
	4.000	3.98	03/05/21	32.00	...	-28.02	9 of 12 - Option sale to close-call ²⁵
	3.000	2.99	03/05/21	24.00	...	-21.01	10 of 12 - Option sale to close-call ²⁵
	3.000	2.99	03/05/21	21.00	...	-18.01	11 of 12 - Option sale to close-call ²⁵
	1.000	1.00	03/05/21	8.00	...	-7.00	12 of 12 - Option sale to close-call ²⁵
04/05/21	118.000	117.71	Various	941.00	...	-823.29	Total of 12 transactions
SNDL 04/16/2021 CALL \$3.00 / CUSIP: / Symbol:							
2 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	4.000	3.99	02/25/21	120.00	...	-116.01	1 of 2 - Option sale to close-call ²⁵
	3.000	2.99	02/25/21	90.00	...	-87.01	2 of 2 - Option sale to close-call ²⁵
04/05/21	7.000	6.98	Various	210.00	...	-203.02	Total of 2 transactions
SNDL 04/16/2021 CALL \$3.50 / CUSIP: / Symbol:							
2 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	4.000	3.98	02/25/21	100.00	...	-96.02	1 of 2 - Option sale to close-call ²⁵
	1.000	1.00	02/25/21	25.00	...	-24.00	2 of 2 - Option sale to close-call ²⁵
04/05/21	5.000	4.98	Various	125.00	...	-120.02	Total of 2 transactions
4 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	02/25/21	50.00	...	-50.00	1 of 4 - Option expiration- long call
	1.000	0.00	02/25/21	25.00	...	-25.00	2 of 4 - Option expiration- long call
	1.000	0.00	02/25/21	25.00	...	-25.00	3 of 4 - Option expiration- long call
	1.000	0.00	02/25/21	25.00	...	-25.00	4 of 4 - Option expiration- long call
04/16/21	5.000	0.00	Various	125.00	...	-125.00	Total of 4 transactions
	Security total:	4.98		250.00	...	-245.02	

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(continued)

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SNDL 04/16/2021 CALL \$4.00 / CUSIP: / Symbol:							
4 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	6.000	0.00	02/25/21	120.00	...	-120.00	1 of 4 - Option expiration- long call
	4.000	0.00	02/25/21	80.00	...	-80.00	2 of 4 - Option expiration- long call
	4.000	0.00	02/25/21	80.00	...	-80.00	3 of 4 - Option expiration- long call
	1.000	0.00	02/25/21	20.00	...	-20.00	4 of 4 - Option expiration- long call
04/16/21	15.000	0.00	Various	300.00	...	-300.00	Total of 4 transactions
SNDL 04/23/2021 CALL \$2.00 / CUSIP: / Symbol:							
03/23/21	10.000	99.97	03/16/21	280.00	...	-180.03	Option sale to close-call ²⁵
SNDL 07/16/2021 CALL \$1.00 / CUSIP: / Symbol:							
6 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	4.000	171.98	03/05/21	172.01	...	-0.03	1 of 6 - Option sale to close-call ²⁵
	1.000	43.00	03/05/21	43.02	...	-0.02	2 of 6 - Option sale to close-call ²⁵
	1.000	43.00	03/05/21	43.01	...	-0.01	3 of 6 - Option sale to close-call ²⁵
	1.000	43.00	03/05/21	43.01	...	-0.01	4 of 6 - Option sale to close-call ²⁵
	1.000	42.99	03/05/21	43.00	...	-0.01	5 of 6 - Option sale to close-call ²⁵
	1.000	42.99	03/05/21	43.00	...	-0.01	6 of 6 - Option sale to close-call ²⁵
03/05/21	9.000	386.96	Various	387.05	...	-0.09	Total of 6 transactions
3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	8.000	343.98	03/05/21	344.00	0.01 W	-0.01	1 of 3 - Option sale to close-call ²⁵
	3.000	128.98	03/05/21	129.00	0.02 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	42.98	03/05/21	43.00	0.02 W	0.00	3 of 3 - Option sale to close-call ²⁵
03/05/21	12.000	515.94	Various	516.00	0.05 W	-0.01	Total of 3 transactions
	Security total:	902.90		903.05	0.05 W	-0.10	
SPCE 04/09/2021 CALL \$31.00 / CUSIP: / Symbol:							
8 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	1.000	48.99	04/06/21	49.02	...	-0.03	1 of 8 - Option sale to close-call ²⁵
	2.000	97.98	04/06/21	98.01	...	-0.03	2 of 8 - Option sale to close-call ²⁵
	1.000	48.99	04/06/21	49.01	...	-0.02	3 of 8 - Option sale to close-call ²⁵
	4.000	195.98	04/06/21	196.00	...	-0.02	4 of 8 - Option sale to close-call ²⁵
	1.000	48.98	04/06/21	49.00	...	-0.02	5 of 8 - Option sale to close-call ²⁵
	2.000	97.98	04/06/21	98.00	...	-0.02	6 of 8 - Option sale to close-call ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

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SPCE 04/09/2021 CALL \$31.00 / CUSIP: / Symbol: (cont'd)							
	1.000	48.98	04/06/21	49.00	...	-0.02	7 of 8 - Option sale to close-call ²⁵
	3.000	146.98	04/06/21	147.00	...	-0.02	8 of 8 - Option sale to close-call ²⁵
04/06/21	15.000	734.86	Various	735.04	...	-0.18	Total of 8 transactions
	2 transactions for 04/06/21. Total proceeds and cost reported to the IRS.						
	1.000	48.98	04/06/21	49.00	0.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	4.000	195.98	04/06/21	196.00	0.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
04/06/21	5.000	244.96	Various	245.00	0.04 W	0.00	Total of 2 transactions
	Security total:	979.82		980.04	0.04 W	-0.18	
SPCE 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
	2 transactions for 04/01/21. Total proceeds and cost reported to the IRS.						
	3.000	323.99	03/31/21	318.00	...	5.99	1 of 2 - Option sale to close-call ²⁵
	7.000	755.98	03/31/21	742.00	...	13.98	2 of 2 - Option sale to close-call ²⁵
04/01/21	10.000	1,079.97	Various	1,060.00	...	19.97	Total of 2 transactions
SPY 02/08/2021 CALL \$389.00 / CUSIP: / Symbol:							
02/05/21	1.000	77.98	02/05/21	66.00	...	11.98	Option sale to close-call ²⁵
SPY 03/31/2021 CALL \$393.00 / CUSIP: / Symbol:							
03/29/21	2.000	673.98	03/29/21	528.00	...	145.98	Option sale to close-call ²⁵
SPY 04/21/2021 CALL \$413.00 / CUSIP: / Symbol:							
04/21/21	1.000	83.98	04/20/21	97.00	...	-13.02	Option sale to close-call ²⁵
SPY 04/21/2021 PUT \$408.00 / CUSIP: / Symbol:							
	3 transactions for 04/20/21. Total proceeds and cost reported to the IRS.						
	4.000	295.98	04/20/21	292.00	...	3.98	1 of 3 - Option sale to close-put ²⁵
	1.000	89.99	04/20/21	73.00	...	16.99	2 of 3 - Option sale to close-put ²⁵
	3.000	269.99	04/20/21	219.00	...	50.99	3 of 3 - Option sale to close-put ²⁵
04/20/21	8.000	655.96	Various	584.00	...	71.96	Total of 3 transactions

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SPY 05/07/2021 CALL \$423.00 / CUSIP: / Symbol:							
3 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	10.000	819.98	04/29/21	730.00	...	89.98	1 of 3 - Option sale to close-call ²⁵
	5.000	404.98	04/30/21	255.00	...	149.98	2 of 3 - Option sale to close-call ²⁵
	10.000	819.97	04/30/21	510.00	...	309.97	3 of 3 - Option sale to close-call ²⁵
05/03/21	25.000	2,044.93	Various	1,495.00	...	549.93	Total of 3 transactions
SPY 05/12/2021 PUT \$410.00 / CUSIP: / Symbol:							
05/12/21	5.000	649.98	05/11/21	355.00	...	294.98	Option sale to close-put ²⁵
SPY 05/14/2021 PUT \$410.00 / CUSIP: / Symbol:							
2 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	1.000	88.98	05/06/21	133.00	...	-44.02	1 of 2 - Option sale to close-put ²⁵
	1.000	89.98	05/06/21	133.00	...	-43.02	2 of 2 - Option sale to close-put ²⁵
05/07/21	2.000	178.96	Various	266.00	...	-87.04	Total of 2 transactions
SPY 05/21/2021 CALL \$420.00 / CUSIP: / Symbol:							
2 transactions for 05/13/21. Total proceeds and cost reported to the IRS.							
	2.000	137.99	05/12/21	178.00	...	-40.01	1 of 2 - Option sale to close-call ²⁵
	5.000	344.99	05/12/21	335.00	...	9.99	2 of 2 - Option sale to close-call ²⁵
05/13/21	7.000	482.98	Various	513.00	...	-30.02	Total of 2 transactions
SPY 05/21/2021 CALL \$426.00 / CUSIP: / Symbol:							
05/11/21	1.000	36.98	05/10/21	118.00	...	-81.02	Option sale to close-call ²⁵
SPY 05/21/2021 CALL \$427.00 / CUSIP: / Symbol:							
05/11/21	1.000	27.98	05/10/21	92.00	...	-64.02	Option sale to close-call ²⁵
SPY 06/18/2021 CALL \$423.00 / CUSIP: / Symbol:							
06/17/21	8.000	687.97	06/17/21	552.00	...	135.97	Option sale to close-call ²⁵
SPY 06/25/2021 CALL \$422.50 / CUSIP: / Symbol:							
2 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	4.000	367.98	06/18/21	368.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	1.000	92.00	06/18/21	92.00	...	0.00	2 of 2 - Option sale to close-call ²⁵
06/21/21	5.000	459.98	Various	460.00	...	-0.02	Total of 2 transactions

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SPY 06/25/2021 PUT \$426.00 / CUSIP: / Symbol:							
2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	4.000	159.98	06/24/21	560.00	...	-400.02	1 of 2 - Option sale to close-put ²⁵
	1.000	40.00	06/24/21	140.00	...	-100.00	2 of 2 - Option sale to close-put ²⁵
06/25/21	5.000	199.98	Various	700.00	...	-500.02	Total of 2 transactions
SPY 09/17/2021 CALL \$447.00 / CUSIP: / Symbol:							
2 transactions for 09/16/21. Total proceeds and cost reported to the IRS.							
	1.000	131.98	09/16/21	55.00	...	76.98	1 of 2 - Option sale to close-call ²⁵
	5.000	659.98	09/16/21	275.00	...	384.98	2 of 2 - Option sale to close-call ²⁵
09/16/21	6.000	791.96	Various	330.00	...	461.96	Total of 2 transactions
SPY 09/17/2021 CALL \$451.00 / CUSIP: / Symbol:							
2 transactions for 09/16/21. Total proceeds and cost reported to the IRS.							
	1.000	10.99	09/16/21	6.00	...	4.99	1 of 2 - Option sale to close-call ²⁵
	3.000	32.99	09/16/21	18.00	...	14.99	2 of 2 - Option sale to close-call ²⁵
09/16/21	4.000	43.98	Various	24.00	...	19.98	Total of 2 transactions
SQ 03/19/2021 CALL \$260.00 / CUSIP: / Symbol:							
3 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	8.000	831.98	03/16/21	1,104.00	...	-272.02	1 of 3 - Option sale to close-call ²⁵
	13.000	1,351.96	03/16/21	1,430.00	...	-78.04	2 of 3 - Option sale to close-call ²⁵
	2.000	207.99	03/16/21	276.00	...	-68.01	3 of 3 - Option sale to close-call ²⁵
03/16/21	23.000	2,391.93	Various	2,810.00	...	-418.07	Total of 3 transactions
SQ 03/26/2021 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 03/25/21. Total proceeds and cost reported to the IRS.							
	1.000	5.98	03/18/21	685.00	...	-679.02	1 of 2 - Option sale to close-call ²⁵
	1.000	7.98	03/18/21	685.00	...	-677.02	2 of 2 - Option sale to close-call ²⁵
03/25/21	2.000	13.96	Various	1,370.00	...	-1,356.04	Total of 2 transactions
SQ 03/26/2021 CALL \$242.50 / CUSIP: / Symbol:							
03/25/21	1.000	4.98	03/18/21	340.00	...	-335.02	Option sale to close-call ²⁵

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SQ 04/09/2021 PUT \$237.50 / CUSIP: / Symbol:							
04/08/21	8.000	335.97	04/07/21	832.00	...	-496.03	Option sale to close-put ²⁵
TGT 04/23/2021 CALL \$210.00 / CUSIP: / Symbol:							
04/05/21	1.000	178.98	04/01/21	120.00	...	58.98	Option sale to close-call ²⁵
TGT 05/28/2021 CALL \$230.00 / CUSIP: / Symbol:							
05/26/21	5.000	304.98	05/26/21	245.00	...	59.98	Option sale to close-call ²⁵
TJX 09/17/2021 CALL \$69.00 / CUSIP: / Symbol:							
09/15/21	2.000	153.98	09/14/21	194.00	...	-40.02	Option sale to close-call ²⁵
TLRY 02/26/2021 PUT \$23.50 / CUSIP: / Symbol:							
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	2.000	419.98	02/16/21	156.00	...	263.98	1 of 3 - Option sale to close-put ²⁵
	5.000	1,024.96	02/16/21	680.03	...	344.93	2 of 3 - Option sale to close-put ²⁵
	5.000	779.97	02/16/21	390.00	...	389.97	3 of 3 - Option sale to close-put ²⁵
02/23/21	12.000	2,224.91	Various	1,226.03	...	998.88	Total of 3 transactions
02/23/21	5.000	779.97	02/11/21	1,070.00	290.03 W	0.00	Option sale to close-put ²⁵
	Security total:	3,004.88		2,296.03	290.03 W	998.88	
TLRY 03/19/2021 PUT \$29.00 / CUSIP: / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	789.97	02/11/21	565.00	...	224.97	1 of 2 - Option sale to close-put ²⁵
	1.000	789.98	02/11/21	565.00	...	224.98	2 of 2 - Option sale to close-put ²⁵
02/23/21	2.000	1,579.95	Various	1,130.00	...	449.95	Total of 2 transactions
TME 05/21/2021 CALL \$23.00 / CUSIP: / Symbol:							
04/01/21	4.000	367.98	03/31/21	456.00	...	-88.02	Option sale to close-call ²⁵
2 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	3.000	287.98	03/31/21	342.00	...	-54.02	1 of 2 - Option sale to close-call ²⁵
	1.000	95.98	03/31/21	114.00	...	-18.02	2 of 2 - Option sale to close-call ²⁵
04/06/21	4.000	383.96	Various	456.00	...	-72.04	Total of 2 transactions
	Security total:	751.94		912.00	...	-160.06	

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TMST 03/19/2021 PUT \$5.00 / CUSIP: / Symbol:							
02/23/21	8.000	199.97	02/23/21	152.00	...	47.97	Option sale to close-put ²⁵
	8 transactions for 03/19/21. Total proceeds and cost reported to the IRS.						
	39.000	0.00	02/23/21	780.00	...	-780.00	1 of 8 - Option expiration- long put
	26.000	0.00	02/23/21	520.00	...	-520.00	2 of 8 - Option expiration- long put
	25.000	0.00	02/23/21	475.00	...	-475.00	3 of 8 - Option expiration- long put
	18.000	0.00	02/23/21	360.00	...	-360.00	4 of 8 - Option expiration- long put
	17.000	0.00	02/23/21	323.00	...	-323.00	5 of 8 - Option expiration- long put
	11.000	0.00	02/23/21	220.00	...	-220.00	6 of 8 - Option expiration- long put
	5.000	0.00	02/23/21	100.00	...	-100.00	7 of 8 - Option expiration- long put
	1.000	0.00	02/23/21	20.00	...	-20.00	8 of 8 - Option expiration- long put
03/19/21	142.000	0.00	Various	2,798.00	...	-2,798.00	Total of 8 transactions
	Security total:	199.97		2,950.00	...	-2,750.03	
TSLA 04/16/2021 CALL \$850.00 / CUSIP: / Symbol:							
03/30/21	1.000	117.98	03/24/21	320.00	...	-202.02	Option sale to close-call ²⁵
TSLA 04/30/2021 PUT \$600.00 / CUSIP: / Symbol:							
04/26/21	5.000	894.98	04/26/21	940.00	...	-45.02	Option sale to close-put ²⁵
TSLA 05/07/2021 PUT \$620.00 / CUSIP: / Symbol:							
	3 transactions for 05/05/21. Total proceeds and cost reported to the IRS.						
	1.000	209.98	05/05/21	172.00	...	37.98	1 of 3 - Option sale to close-put ²⁵
	1.000	209.98	05/05/21	172.00	...	37.98	2 of 3 - Option sale to close-put ²⁵
	3.000	626.98	05/05/21	516.00	...	110.98	3 of 3 - Option sale to close-put ²⁵
05/05/21	5.000	1,046.94	Various	860.00	...	186.94	Total of 3 transactions
TSM 05/21/2021 CALL \$130.00 / CUSIP: / Symbol:							
04/16/21	1.000	135.98	04/15/21	129.00	...	6.98	Option sale to close-call ²⁵
TSM 07/16/2021 CALL \$125.00 / CUSIP: / Symbol:							
	4 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	1.000	135.98	06/18/21	107.00	...	28.98	1 of 4 - Option sale to close-call ²⁵
	1.000	135.98	06/18/21	107.00	...	28.98	2 of 4 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

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TSM 07/16/2021 CALL \$125.00 / CUSIP: / Symbol: (cont'd)							
	1.000	135.98	06/18/21	107.00	...	28.98	3 of 4 - Option sale to close-call ²⁵
	3.000	407.98	06/18/21	321.00	...	86.98	4 of 4 - Option sale to close-call ²⁵
06/28/21	6.000	815.92	Various	642.00	...	173.92	Total of 4 transactions
TWTR 03/19/2021 PUT \$60.00 / CUSIP: / Symbol:							
3 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	2.000	129.99	02/11/21	354.00	...	-224.01	1 of 3 - Option sale to close-put ²⁵
	2.000	191.98	02/11/21	354.00	...	-162.02	2 of 3 - Option sale to close-put ²⁵
	1.000	64.99	02/11/21	177.00	...	-112.01	3 of 3 - Option sale to close-put ²⁵
03/04/21	5.000	386.96	Various	885.00	...	-498.04	Total of 3 transactions
TWTR 05/21/2021 PUT \$50.00 / CUSIP: / Symbol:							
2 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	4.000	179.98	05/17/21	236.00	...	-56.02	1 of 2 - Option sale to close-put ²⁵
	1.000	44.98	05/17/21	59.00	...	-14.02	2 of 2 - Option sale to close-put ²⁵
05/17/21	5.000	224.96	Various	295.00	...	-70.04	Total of 2 transactions
TXN 07/23/2021 CALL \$202.50 / CUSIP: / Symbol:							
07/22/21	3.000	8.98	07/21/21	108.00	...	-99.02	Option sale to close-call ²⁵
UBER 03/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
02/09/21	1.000	154.98	02/05/21	112.00	...	42.98	Option sale to close-call ²⁵
UBER 03/19/2021 CALL \$75.00 / CUSIP: / Symbol:							
03/10/21	1.000	2.98	02/11/21	137.02	...	-134.04	Option sale to close-call ²⁵
03/10/21	1.000	2.98	02/11/21	70.00	67.02 W	0.00	Option sale to close-call ²⁵
	Security total:	5.96		207.02	67.02 W	-134.04	
UBER 04/16/2021 CALL \$61.00 / CUSIP: / Symbol:							
2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	4.000	199.98	04/13/21	316.00	...	-116.02	1 of 2 - Option sale to close-call ²⁵
	1.000	49.98	04/13/21	79.00	...	-29.02	2 of 2 - Option sale to close-call ²⁵
04/14/21	5.000	249.96	Various	395.00	...	-145.04	Total of 2 transactions

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Robinhood Securities LLC

Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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UBER 05/07/2021 CALL \$53.00 / CUSIP: / Symbol:							
05/06/21	5.000	19.98	05/05/21	510.00	...	-490.02	Option sale to close-call ²⁵
UPS 05/21/2021 PUT \$200.00 / CUSIP: / Symbol:							
05/04/21	10.000	999.97	05/03/21	910.00	...	89.97	Option sale to close-put ²⁵
UUP 06/18/2021 CALL \$25.00 / CUSIP: / Symbol:							
02/03/21	4.000	131.98	02/02/21	164.02	...	-32.04	Option sale to close-call ²⁵
02/03/21	5.000	164.98	02/02/21	185.00	16.02 W	-4.00	Option sale to close-call ²⁵
	Security total:	296.96		349.02	16.02 W	-36.04	
UVXY 02/19/2021 CALL \$13.00 / CUSIP: / Symbol:							
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	1.000	38.99	02/10/21	39.02	...	-0.03	1 of 3 - Option sale to close-call ²⁵
	1.000	38.98	02/10/21	39.00	...	-0.02	2 of 3 - Option sale to close-call ²⁵
	2.000	77.99	02/10/21	78.00	...	-0.01	3 of 3 - Option sale to close-call ²⁵
02/11/21	4.000	155.96	Various	156.02	...	-0.06	Total of 3 transactions
02/11/21	1.000	38.98	02/10/21	39.00	0.02 W	0.00	Option sale to close-call ²⁵
	Security total:	194.94		195.02	0.02 W	-0.06	
VALE 06/18/2021 CALL \$18.00 / CUSIP: / Symbol:							
03/30/21	3.000	266.98	03/29/21	288.00	...	-21.02	Option sale to close-call ²⁵
VIAC 05/14/2021 CALL \$39.50 / CUSIP: / Symbol:							
3 transactions for 05/14/21. Total proceeds and cost reported to the IRS.							
	5.000	74.98	05/14/21	75.00	...	-0.02	1 of 3 - Option sale to close-call ²⁵
	4.000	59.99	05/14/21	60.00	...	-0.01	2 of 3 - Option sale to close-call ²⁵
	1.000	15.00	05/14/21	15.00	...	0.00	3 of 3 - Option sale to close-call ²⁵
05/14/21	10.000	149.97	Various	150.00	...	-0.03	Total of 3 transactions
VXX 05/07/2021 CALL \$10.50 / CUSIP: / Symbol:							
04/07/21	5.000	499.98	04/06/21	560.00	...	-60.02	Option sale to close-call ²⁵

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Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VXX 09/24/2021 CALL \$27.00 / CUSIP: / Symbol:							
2 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	3.000	29.99	09/22/21	243.00	...	-213.01	1 of 2 - Option sale to close-call ²⁵
	1.000	9.99	09/22/21	80.00	...	-70.01	2 of 2 - Option sale to close-call ²⁵
09/24/21	4.000	39.98	Various	323.00	...	-283.02	Total of 2 transactions
VXX 10/22/2021 CALL \$22.00 / CUSIP: / Symbol:							
3 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	1.000	105.98	10/15/21	108.00	...	-2.02	1 of 3 - Option sale to close-call ²⁵
	1.000	105.98	10/15/21	108.00	...	-2.02	2 of 3 - Option sale to close-call ²⁵
	1.000	107.98	10/15/21	108.00	...	-0.02	3 of 3 - Option sale to close-call ²⁵
10/15/21	3.000	319.94	Various	324.00	...	-4.06	Total of 3 transactions
WFC 04/16/2021 PUT \$41.50 / CUSIP: / Symbol:							
11 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	1.000	52.99	04/14/21	50.00	...	2.99	1 of 11 - Option sale to close-put ²⁵
	1.000	52.99	04/14/21	50.00	...	2.99	2 of 11 - Option sale to close-put ²⁵
	1.000	52.99	04/14/21	50.00	...	2.99	3 of 11 - Option sale to close-put ²⁵
	1.000	53.00	04/14/21	50.00	...	3.00	4 of 11 - Option sale to close-put ²⁵
	3.000	158.98	04/14/21	150.00	...	8.98	5 of 11 - Option sale to close-put ²⁵
	1.000	44.98	04/14/21	36.00	...	8.98	6 of 11 - Option sale to close-put ²⁵
	1.000	52.99	04/14/21	37.00	...	15.99	7 of 11 - Option sale to close-put ²⁵
	1.000	52.98	04/14/21	36.00	...	16.98	8 of 11 - Option sale to close-put ²⁵
	1.000	64.98	04/14/21	36.00	...	28.98	9 of 11 - Option sale to close-put ²⁵
	2.000	105.98	04/14/21	72.00	...	33.98	10 of 11 - Option sale to close-put ²⁵
	9.000	431.97	04/14/21	324.00	...	107.97	11 of 11 - Option sale to close-put ²⁵
04/15/21	22.000	1,124.83	Various	891.00	...	233.83	Total of 11 transactions
WISH 08/20/2021 CALL \$11.00 / CUSIP: / Symbol:							
08/20/21	2.000	0.00	08/12/21	98.00	...	-98.00	Option expiration- long call
WKHS 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
03/11/21	10.000	649.97	02/23/21	2,770.00	...	-2,120.03	Option sale to close-call ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

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WMT 03/19/2021 CALL \$165.00 / CUSIP: / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	2.98	02/16/21	57.00	...	-54.02	1 of 2 - Option sale to close-call ²⁵
	1.000	2.98	02/16/21	57.00	...	-54.02	2 of 2 - Option sale to close-call ²⁵
03/04/21	2.000	5.96	Various	114.00	...	-108.04	Total of 2 transactions
WMT 05/21/2021 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	1.000	44.98	04/06/21	45.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	7.000	314.98	04/06/21	315.00	...	-0.02	2 of 2 - Option sale to close-call ²⁵
04/07/21	8.000	359.96	Various	360.00	...	-0.04	Total of 2 transactions
WMT 10/08/2021 CALL \$139.00 / CUSIP: / Symbol:							
10/04/21	2.000	201.98	10/01/21	184.00	...	17.98	Option sale to close-call ²⁵
WOOF 07/02/2021 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	4.000	199.98	06/29/21	220.00	...	-20.02	1 of 2 - Option sale to close-call ²⁵
	1.000	50.00	06/29/21	55.00	...	-5.00	2 of 2 - Option sale to close-call ²⁵
06/29/21	5.000	249.98	Various	275.00	...	-25.02	Total of 2 transactions
XOM 10/01/2021 CALL \$58.00 / CUSIP: / Symbol:							
2 transactions for 09/08/21. Total proceeds and cost reported to the IRS.							
	3.000	134.99	09/01/21	102.00	...	32.99	1 of 2 - Option sale to close-call ²⁵
	5.000	224.98	09/01/21	165.00	...	59.98	2 of 2 - Option sale to close-call ²⁵
09/08/21	8.000	359.97	Various	267.00	...	92.97	Total of 2 transactions
Z 07/16/2021 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	2.000	377.98	06/16/21	256.00	...	121.98	1 of 2 - Option sale to close-call ²⁵
	3.000	581.98	06/16/21	384.00	...	197.98	2 of 2 - Option sale to close-call ²⁵
06/17/21	5.000	959.96	Various	640.00	...	319.96	Total of 2 transactions

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Account 137380556

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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LIQUID MEDIA GROUP LTD. COMMON SHARES / CUSIP: 53634Q204 / Symbol:							
04/13/21	1.000	2.87	03/25/21	4.08	...	-1.21	Sale ²⁵
04/15/21	14.000	35.98	03/25/21	57.12	...	-21.14	Sale ²⁵
	Security total:	38.85		61.20	...	-22.35	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
01/30/21	100.000	669.97 N	01/27/21	669.88	...	0.09	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$369.98
PROMETHEUS BIOSCIENCES, INC. C OMMON STOCK / CUSIP: 74349U108 / Symbol:							
03/19/21	15.000	305.40	03/19/21	310.33	...	-4.93	Sale ²⁵
Totals :		104,387.22		123,526.04	4,087.63 W	-15,051.19	

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Account 137380556

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/26/21	-5.00	Margin interest paid	
		03/29/21	-5.00	Margin interest paid	
		04/27/21	-5.00	Margin interest paid	
		05/27/21	-5.00	Margin interest paid	
		06/28/21	-5.00	Margin interest paid	
		07/26/21	-5.00	Margin interest paid	
			-30.00	Total Margin interest paid	
Margin charge		08/25/21	-5.00	Margin interest paid	
		09/24/21	-5.00	Margin interest paid	
		10/25/21	-5.00	Margin interest paid	
		11/23/21	-5.00	Margin interest paid	
		12/23/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			-55.00	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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