

APEX CLEARING  
ONE DALLAS CENTER  
350 N. ST PAUL, SUITE 1300  
DALLAS, TX 75201

Account No: 5SI05037  
Account Name: VINOD BURRI  
Taxpayer Identification Number:\*\*\*-\*\*-1424

Account Executive No: DPA

ORIGINAL: 02/07/2018

IN ACCOUNT WITH  
**ROBINHOOD**  
**(650) 940-2700**

## CONSOLIDATED 2017 FORMS 1099 AND DETAILS

VINOD BURRI  
834 VILLAGE DR  
EDISON, NJ 08817 2630

### YEAR-END MESSAGES

THIS DOCUMENT INCLUDES YOUR CONSOLIDATED FORM 1099 THAT WE ARE REQUIRED TO PROVIDE TO YOU AND THE INTERNAL REVENUE SERVICE. WE SUGGEST THAT YOU SEEK THE ADVICE OF A TAX PROFESSIONAL WHEN PREPARING YOUR RETURN.

PLEASE VERIFY YOUR PERSONAL INFORMATION FOR ACCURACY AND CONTACT YOUR BROKER TO CORRECT ANY DISCREPANCIES. IF YOU OWN MUTUAL FUNDS, REAL ESTATE TRUSTS (REITS), REMIC SECURITIES OR WHFIT'S INCOME DISTRIBUTIONS MAY BE RECLASSIFIED BY THE ISSUER AFTER THE ORIGINAL 1099 IS SENT. IF YOU HOLD THESE SECURITIES YOU MAY RECEIVE A CORRECTED FORM 1099.

IN ADDITION TO THE 1099 INFORMATION, SUPPLEMENTAL DETAILS ARE PROVIDED TO HELP YOU PREPARE YOUR RETURN. ONLY INFORMATION REPORTED ON FORM 1099 IS SENT TO THE IRS.

Your Account did not receive the following Forms:

1099-DIV  
1099-INT  
1099-OID  
1099-MISC

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**FORM 1099-B TOTALS SUMMARY**

**REALIZED GAIN / LOSS SUMMARY**

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

<b>SHORT-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART I</b>	<b>PROCEEDS</b>	<b>COST BASIS</b>	<b>MARKET DISCOUNT</b>	<b>WASH SALE LOSS DISALLOWED</b>	<b>REALIZED GAIN OR (LOSS)</b>
Box A (basis reported to IRS)	\$20,586.14	\$20,192.55	\$0.00	\$15.46	\$393.59
Box A - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Short-Term</b>	<b>\$20,586.14</b>	<b>\$20,192.55</b>	<b>\$0.00</b>	<b>\$15.46</b>	<b>\$393.59</b>
<b>LONG-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART II</b>					
Box D (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box D - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Long-Term</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>UNKNOWN TERM - CODE (X) REPORT ON FORM 8949 PART I OR PART II</b>					
Box B or Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Unknown Term</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

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Account Executive No: DPA  
 ORIGINAL: 02/07/2018

**RECIPIENT'S Name, Street Address, City, State, and Zip Code**

VINOD BURRI  
 834 VILLAGE DR  
 EDISON, NJ 08817 2630

**Payer's Federal Identification Number:** 13-2967453

**Payer's Name, Street, City, State, Zip Code:**

APEX CLEARING  
 ONE DALLAS CENTER  
 350 N. ST PAUL, SUITE 1300  
 DALLAS, TX 75201  
**Telephone Number:** 214-765-1009

Copy B for recipient  2nd TIN Notice  
 FATCA Filing Requirement

**2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS**

**OMB NO. 1545-0715**

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

**Covered Short-Term Gains or Losses on Gross Proceeds**

Report on **Form 8949, Part I** with **Box A** checked

**Box 6:** Gross Proceeds

**Box 5:** Box Not Checked (Covered Security)

**Box 3:** Basis Reported to the IRS

**Box 2:** Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ACCURAY INCORPORATED CUSIP: 004397105	10.00000	08/23/2017	09/06/2017	\$41.03	\$40.49	\$0.00	\$0.00	\$0.54	
AEROCENTURY CORP CUSIP: 007737109	30.00000	09/06/2017	10/02/2017	\$424.48	\$432.00	\$0.00	\$0.00	(\$7.52)	
AETHLON MEDICAL INC COM CUSIP: 00808Y208	200.00000	09/12/2017	10/10/2017	\$189.97	\$569.56	\$0.00	\$0.00	(\$379.59)	
***AGNICO EAGLE MINES LIMITE CUSIP: 008474108	10.00000	09/01/2017	09/06/2017	\$512.47	\$509.88	\$0.00	\$0.00	\$2.59	

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**2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

**OMB NO. 1545-0715**

**Covered Short-Term Gains or Losses on Gross Proceeds**

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

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***ALIBABA GROUP HOLDING LTD SPONSORED ADR CUSIP: 01609W102	3.00000	10/09/2017	10/12/2017	\$546.81	\$545.19	\$0.00	\$0.00	\$1.62	
ANTARES PHARMA INC CUSIP: 036642106	1.00000	08/25/2017	09/29/2017	\$3.24	\$3.14	\$0.00	\$0.00	\$0.10	
	2.00000	08/25/2017	09/29/2017	\$6.48	\$6.26	\$0.00	\$0.00	\$0.22	
	30.00000	08/29/2017	09/29/2017	\$97.18	\$96.87	\$0.00	\$0.00	\$0.31	
<b>Subtotals</b>	<b>33.00000</b>			<b>\$106.90</b>	<b>\$106.27</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.63</b>	
***BARRICK GOLD CORP CUSIP: 067901108	100.00000	08/29/2017	09/06/2017	\$1,810.04	\$1,799.00	\$0.00	\$0.00	\$11.04	
CALITHERA BIOSCIENCES INC COMMON STOCK CUSIP: 13089P101	2.00000	11/13/2017	11/14/2017	\$23.20	\$24.55	\$0.00	\$0.00	(\$1.35)	
CELSION CORPORATION COMMON STOCK CUSIP: 15117N503	1.00000	10/12/2017	10/13/2017	\$4.53	\$5.40	\$0.00	\$0.00	(\$0.87)	
	80.00000	10/12/2017	10/13/2017	\$362.39	\$446.40	\$0.00	\$0.00	(\$84.01)	
<b>Subtotals</b>	<b>81.00000</b>			<b>\$366.92</b>	<b>\$451.80</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$84.88)</b>	
CORCEPT THERAPEUTICS INC CUSIP: 218352102	100.00000	09/11/2017	09/11/2017	\$1,777.44	\$1,726.83	\$0.00	\$0.00	\$50.61	
	7.00000	09/12/2017	10/11/2017	\$136.55	\$124.60	\$0.00	\$0.00	\$11.95	
<b>Subtotals</b>	<b>107.00000</b>			<b>\$1,913.99</b>	<b>\$1,851.43</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$62.56</b>	
DIGITAL POWER CORP CUSIP: 253862106	25.00000	11/27/2017	11/30/2017	\$39.48	\$73.00	\$0.00	\$0.00	(\$33.52)	
FITBIT INC CLASS A COM CUSIP: 33812L102	35.00000	08/24/2017	08/31/2017	\$210.72	\$192.50	\$0.00	\$0.00	\$18.22	
	50.00000	08/29/2017	08/31/2017	\$301.04	\$300.00	\$0.00	\$0.00	\$1.04	
	100.00000	08/31/2017	09/12/2017	\$635.07	\$601.90	\$0.00	\$0.00	\$33.17	
	35.00000	09/12/2017	10/02/2017	\$241.83	\$221.55	\$0.00	\$0.00	\$20.28	

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**2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

**OMB NO. 1545-0715**

**Covered Short-Term Gains or Losses on Gross Proceeds**

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
FITBIT INC CLASS A COM CUSIP: 33812L102									
<b>Subtotals</b>	<b>220.00000</b>			<b>\$1,388.66</b>	<b>\$1,315.95</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$72.71</b>	
FORTERRA INC COM CUSIP: 34960W106	20.00000	11/08/2017	11/14/2017	\$173.59	\$136.00	\$0.00	\$0.00	\$37.59	
GERON CORP CUSIP: 374163103	47.00000	08/28/2017	09/08/2017	\$101.08	\$95.87	\$0.00	\$0.00	\$5.21	
GOPRO INC CL A CUSIP: 38268T103	8.00000 8.00000 12.00000	08/24/2017 09/07/2017 09/07/2017	08/31/2017 09/11/2017 09/11/2017	\$72.86 \$88.48 \$132.72	\$73.76 \$82.82 \$122.88	\$0.00 \$0.00 \$0.00	\$0.90 \$0.00 \$0.00	(\$0.90) \$5.66 \$9.84	
<b>Subtotals</b>	<b>28.00000</b>			<b>\$294.06</b>	<b>\$279.46</b>	<b>\$0.00</b>	<b>\$0.90</b>	<b>\$14.60</b>	
GROUPON INC COMMON STOCK CUSIP: 399473107	25.00000	09/06/2017	10/02/2017	\$126.48	\$111.00	\$0.00	\$0.00	\$15.48	
HEAT BIOLOGICS INC CUSIP: 42237K102	20.00000 100.00000 15.00000	08/22/2017 08/24/2017 08/31/2017	09/06/2017 09/06/2017 09/06/2017	\$10.81 \$54.08 \$8.11	\$9.98 \$50.00 \$8.51	\$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00	\$0.83 \$4.08 (\$0.40)	
<b>Subtotals</b>	<b>135.00000</b>			<b>\$73.00</b>	<b>\$68.49</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$4.51</b>	
HELIOS AND MATHESON ANALYTIC INC CUSIP: 42327L200	13.00000	10/11/2017	10/12/2017	\$311.98	\$468.00	\$0.00	\$0.00	(\$156.02)	

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INSEGO CORP COMMON STOCK CUSIP: 45782B104	6.00000	08/29/2017	09/12/2017	\$7.74	\$8.10	\$0.00	\$0.00	(\$0.36)	
	100.00000	09/07/2017	09/12/2017	\$128.99	\$128.90	\$0.00	\$0.00	\$0.09	
<b>Subtotals</b>	<b>106.00000</b>			<b>\$136.73</b>	<b>\$137.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$0.27)</b>	
JAGUAR HEALTH INC COMMON STOCK CUSIP: 47010C201	450.00000	11/15/2017	11/16/2017	\$80.68	\$96.75	\$0.00	\$0.00	(\$16.07)	
***JINKOSOLAR HLDG CO LTD SPONSORED ADR CUSIP: 47759T100	8.00000	09/06/2017	10/02/2017	\$199.02	\$219.15	\$0.00	\$0.00	(\$20.13)	
JOHNSON OUTDOORS INC CL A CUSIP: 479167108	5.00000	10/02/2017	10/05/2017	\$365.63	\$373.15	\$0.00	\$0.00	(\$7.52)	
KITE PHARMA INC COM CUSIP: 49803L109	4.00000	08/24/2017	08/29/2017	\$712.41	\$556.16	\$0.00	\$0.00	\$156.25	
MALIBU BOATS INC COM CL A CUSIP: 56117J100	1.00000	08/25/2017	09/07/2017	\$27.34	\$27.12	\$0.00	\$0.00	\$0.22	
MARATHON PATENT GROUP INC COMMON STOCK CUSIP: 56585W302	20.00000	11/24/2017	11/27/2017	\$154.04	\$77.59	\$0.00	\$0.00	\$76.45	
	20.00000	11/24/2017	11/27/2017	\$154.04	\$77.60	\$0.00	\$0.00	\$76.44	
	20.00000	11/27/2017	11/27/2017	\$137.18	\$161.20	\$0.00	\$0.00	(\$24.02)	
	4.00000	11/27/2017	11/30/2017	\$16.34	\$32.24	\$0.00	\$0.00	(\$15.90)	
<b>Subtotals</b>	<b>64.00000</b>			<b>\$461.60</b>	<b>\$348.63</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$112.97</b>	

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**OMB NO. 1545-0715**

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MICRON TECHNOLOGY INC CUSIP: 595112103	10.00000	08/29/2017	09/07/2017	\$328.53	\$311.65	\$0.00	\$0.00	\$16.88	
	3.00000	08/29/2017	09/07/2017	\$98.56	\$93.30	\$0.00	\$0.00	\$5.26	
	3.00000	09/05/2017	09/07/2017	\$98.56	\$97.44	\$0.00	\$0.00	\$1.12	
	25.00000	09/11/2017	10/09/2017	\$1,003.49	\$835.73	\$0.00	\$0.00	\$167.76	
	25.00000	09/11/2017	10/20/2017	\$1,052.21	\$835.72	\$0.00	\$0.00	\$216.49	
<b>Subtotals</b>	<b>66.00000</b>			<b>\$2,581.35</b>	<b>\$2,173.84</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$407.51</b>	
NETFLIX COM INC CUSIP: 64110L106	1.00000	10/09/2017	10/19/2017	\$192.51	\$198.37	\$0.00	\$0.00	(\$5.86)	
	3.00000	10/16/2017	10/19/2017	\$577.54	\$606.93	\$0.00	\$0.00	(\$29.39)	
<b>Subtotals</b>	<b>4.00000</b>			<b>\$770.05</b>	<b>\$805.30</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$35.25)</b>	
NET ELEMENT INC COMMON STOCK CUSIP: 64111R300	1.00000	10/02/2017	12/22/2017	\$13.73	\$5.28	\$0.00	\$0.00	\$8.45	
NEWLINK GENETICS CORP CUSIP: 651511107	3.00000	09/07/2017	10/02/2017	\$30.14	\$30.27	\$0.00	\$0.09	(\$0.13)	
***NORTHERN DYNASTY MINERALS LTD CUSIP: 66510M204	5.00000	09/07/2017	10/02/2017	\$8.88	\$9.10	\$0.00	\$0.00	(\$0.22)	
PPG INDUSTRIES INC CUSIP: 693506107	3.00000	10/05/2017	10/11/2017	\$335.89	\$337.41	\$0.00	\$0.00	(\$1.52)	
PARETEUM CORPORATION COMMON STOCK CUSIP: 69946T207	90.00000	11/13/2017	11/27/2017	\$96.32	\$81.89	\$0.00	\$0.00	\$14.43	

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**2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

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Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
PARKERVISION INC COM NEW CUSIP: 701354300	30.00000	08/23/2017	09/06/2017	\$56.79	\$56.10	\$0.00	\$0.00	\$0.69	
PENNAKTPARK FLOATING RATE CAPITAL LTD COM CUSIP: 70806A106	19.00000	10/02/2017	10/09/2017	\$277.19	\$275.48	\$0.00	\$0.00	\$1.71	
RELIV INTERNATIONAL INC COM NEW CUSIP: 75952R209	3.00000	11/21/2017	11/24/2017	\$15.28	\$23.68	\$0.00	\$0.00	(\$8.40)	
RIOT BLOCKCHAIN INC COMMON STOCK CUSIP: 767292105	2.00000	11/21/2017	11/27/2017	\$37.00	\$23.14	\$0.00	\$0.00	\$13.86	
RITE AID CORP CUSIP: 767754104	2.00000	08/21/2017	08/25/2017	\$4.48	\$4.44	\$0.00	\$0.00	\$0.04	
ROKU INC	1.00000	11/13/2017	11/13/2017	\$42.79	\$37.55	\$0.00	\$0.00	\$5.24	
CLASS A COMMON STOCK	4.00000	11/13/2017	11/13/2017	\$171.15	\$181.00	\$0.00	\$2.46	(\$9.85)	
CUSIP: 77543R102	1.00000	11/13/2017	11/14/2017	\$39.25	\$45.17	\$0.00	\$0.00	(\$5.92)	
	1.00000	11/13/2017	11/14/2017	\$39.25	\$45.25	\$0.00	\$0.00	(\$6.00)	
<b>Subtotals</b>	<b>7.00000</b>			<b>\$292.44</b>	<b>\$308.97</b>	<b>\$0.00</b>	<b>\$2.46</b>	<b>(\$16.53)</b>	
SALESFORCE.COM INC CUSIP: 79466L302	3.00000	08/31/2017	10/09/2017	\$289.18	\$285.81	\$0.00	\$0.00	\$3.37	
SHERWIN WILLIAMS CO	5.00000	09/06/2017	09/11/2017	\$1,731.59	\$1,727.32	\$0.00	\$0.00	\$4.27	
CUSIP: 824348106	2.00000	10/09/2017	10/19/2017	\$766.71	\$762.02	\$0.00	\$0.00	\$4.69	
	1.00000	11/16/2017	11/16/2017	\$387.84	\$385.13	\$0.00	\$0.00	\$2.71	
<b>Subtotals</b>	<b>8.00000</b>			<b>\$2,886.14</b>	<b>\$2,874.47</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$11.67</b>	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES  
 The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return,  
 a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

APEX CLEARING  
 ONE DALLAS CENTER  
 350 N. ST PAUL, SUITE 1300  
 DALLAS, TX 75201

Account No: 5SI05037  
 Account Name: VINOD BURRI  
 Taxpayer Identification Number: \*\*\*-\*\*-1424

Account Executive No: DPA

ORIGINAL: 02/07/2018

IN ACCOUNT WITH  
**ROBINHOOD**  
**(650) 940-2700**

**2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

**OMB NO. 1545-0715**

**Covered Short-Term Gains or Losses on Gross Proceeds**

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SOCIAL REALITY INC CLASS A COMMON STOCK CUSIP: 83362P300	25.00000	11/24/2017	11/27/2017	\$158.73	\$161.25	\$0.00	\$0.00	(\$2.52)	
SQUARE INC CLASS A COM CUSIP: 852234103	8.00000	10/10/2017	11/27/2017	\$365.42	\$250.39	\$0.00	\$0.00	\$115.03	
SWITCH INC CLASS A COMMON STOCK \$0.001 PAR VALUE PER SHARE CUSIP: 87105L104	4.00000	11/13/2017	11/16/2017	\$76.74	\$79.24	\$0.00	\$0.00	(\$2.50)	
***TEVA PHARMACEUTICAL INDUSTRIES LIMITED ADR CUSIP: 881624209	3.00000	08/31/2017	09/11/2017	\$56.14	\$47.94	\$0.00	\$0.00	\$8.20	
U S GLOBAL INVESTORS INC-CL CUSIP: 902952100	30.00000	11/24/2017	11/27/2017	\$180.58	\$144.00	\$0.00	\$0.00	\$36.58	
ULTRA CLEAN HOLDINGS INC CUSIP: 90385V107	15.00000 20.00000	09/11/2017 10/16/2017	10/19/2017 10/19/2017	\$470.83 \$627.78	\$362.40 \$671.58	\$0.00 \$0.00	\$0.00 \$0.00	\$108.43 (\$43.80)	
<b>Subtotals</b>	<b>35.00000</b>			<b>\$1,098.61</b>	<b>\$1,033.98</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$64.63</b>	
WESTERN DIGITAL CORP CUSIP: 958102105	4.00000	08/29/2017	08/31/2017	\$360.50	\$359.08	\$0.00	\$0.00	\$1.42	
XG TECHNOLOGY INC COMMON STOCK CUSIP: 98372A705	10.00000	08/23/2017	10/05/2017	\$16.88	\$17.40	\$0.00	\$0.52	(\$0.52)	

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Account No: 5SI05037  
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 Account Executive No: DPA  
 ORIGINAL: 02/07/2018

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**2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

**OMB NO. 1545-0715**

**Covered Short-Term Gains or Losses on Gross Proceeds**

Report on **Form 8949, Part I** with **Box A** checked

**Box 6:** Gross Proceeds

**Box 5:** Box Not Checked (Covered Security)

**Box 3:** Basis Reported to the IRS

**Box 2:** Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
***DIANA CONTAINERSHIPS INC COMMON SHARES CUSIP: Y2069P507	11.00000	11/03/2017	11/03/2017	\$150.13	\$168.19	\$0.00	\$11.49	(\$18.06)	
<b>75 ITEMS - TOTAL</b>				<b>\$20,586.14</b>	<b>\$20,192.55</b>	<b>\$0.00</b>	<b>\$15.46</b>	<b>\$393.59</b>	

**FOOT NOTES**

**SHORT SALE** - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

**END OF 2017 FORM 1099-B**

**END OF 2017 CONSOLIDATED FORMS 1099**

**Form 1099-B (OMB No. 1545-0715)**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number.** For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable check box on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

**Box 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Box 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

**Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Box 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Box 3.** If checked, the basis in box 1e has been reported to the IRS and one or more of the check boxes in box 2 must be checked. If box 3 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary check box in box 2 is checked, an adjustment may be required.

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, indicates whether the amount in box 1d was adjusted for premium.

**Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

**Box 12.** If checked, proceeds are from a transaction involving collectibles.

**Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Boxes 14-16.** Shows state(s)/local income tax information.

**Form 1099-B (OMB No. 1545-0715)****Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11):**

**Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2017.

**Box 9.** Shows any year end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2016.

**Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2017. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts 12/31/2017 in 2018.

**Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2017 Form 6781.

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Account Executive No: DPA

ORIGINAL: 02/07/2018

IN ACCOUNT WITH

**ROBINHOOD**  
**(650) 940-2700**

**2017 INVESTMENT DETAILS**

**DETAILS OF 2017 INVESTMENT ACTIVITY**

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
08/23/2017	ACCURAY INCORPORATED	004397105	PURCHASE	10.000	\$4.05	\$40.49	
09/06/2017	ACCURAY INCORPORATED	004397105	SELL	10.000	\$4.10	\$41.03	
09/06/2017	AEROCENTURY CORP	007737109	PURCHASE	30.000	\$14.40	\$432.00	
10/02/2017	AEROCENTURY CORP	007737109	SELL	30.000	\$14.15	\$424.48	
09/12/2017	AETHLON MEDICAL INC COM	00808Y208	PURCHASE	200.000	\$2.85	\$569.56	
10/10/2017	AETHLON MEDICAL INC COM	00808Y208	SELL	200.000	\$0.95	\$189.97	
09/01/2017	***AGNICO EAGLE MINES LIMITED	008474108	PURCHASE	10.000	\$50.99	\$509.88	
09/06/2017	***AGNICO EAGLE MINES LIMITED	008474108	SELL	10.000	\$51.25	\$512.47	
10/09/2017	***ALIBABA GROUP HOLDING LTD SPONSORED	01609W102	PURCHASE	3.000	\$181.73	\$545.19	
10/12/2017	***ALIBABA GROUP HOLDING LTD SPONSORED	01609W102	SELL	3.000	\$182.28	\$546.81	
11/29/2017	***ALIBABA GROUP HOLDING LTD SPONSORED	01609W102	PURCHASE	1.000	\$179.00	\$179.00	
11/17/2017	AMPIO PHARMACEUTICALS INC	03209T109	PURCHASE	50.000	\$1.34	\$67.00	
08/25/2017	ANTARES PHARMA INC	036642106	PURCHASE	1.000	\$3.14	\$3.14	
08/25/2017	ANTARES PHARMA INC	036642106	PURCHASE	2.000	\$3.13	\$6.26	
08/29/2017	ANTARES PHARMA INC	036642106	PURCHASE	30.000	\$3.23	\$96.87	
09/29/2017	ANTARES PHARMA INC	036642106	SELL	33.000	\$3.24	\$106.90	
11/17/2017	***BAOZUN INC AMERICAN DEPOSITARY SHARE	06684L103	PURCHASE	1.000	\$35.51	\$35.51	
08/29/2017	***BARRICK GOLD CORP	067901108	PURCHASE	100.000	\$17.99	\$1,799.00	
09/06/2017	***BARRICK GOLD CORP	067901108	SELL	100.000	\$18.10	\$1,810.04	
11/13/2017	CALITHERA BIOSCIENCES INC COMMON STOCK	13089P101	PURCHASE	2.000	\$12.28	\$24.55	
11/14/2017	CALITHERA BIOSCIENCES INC COMMON STOCK	13089P101	SELL	2.000	\$11.61	\$23.20	
10/02/2017	CALLAWAY GOLF CO	131193104	PURCHASE	2.000	\$14.47	\$28.94	
10/12/2017	CELSION CORPORATION COMMON STOCK	15117N503	PURCHASE	1.000	\$5.40	\$5.40	
10/12/2017	CELSION CORPORATION COMMON STOCK	15117N503	PURCHASE	80.000	\$5.58	\$446.40	
10/13/2017	CELSION CORPORATION COMMON STOCK	15117N503	SELL	81.000	\$4.53	\$366.92	
09/11/2017	CORCEPT THERAPEUTICS INC	218352102	PURCHASE	100.000	\$17.27	\$1,726.83	
09/11/2017	CORCEPT THERAPEUTICS INC	218352102	SELL	100.000	\$17.78	\$1,777.44	
09/12/2017	CORCEPT THERAPEUTICS INC	218352102	PURCHASE	7.000	\$17.80	\$124.60	
10/11/2017	CORCEPT THERAPEUTICS INC	218352102	SELL	7.000	\$19.51	\$136.55	
11/17/2017	CREE INC	225447101	PURCHASE	2.000	\$36.71	\$73.42	
11/27/2017	DIGITAL POWER CORP	253862106	PURCHASE	25.000	\$2.92	\$73.00	
11/30/2017	DIGITAL POWER CORP	253862106	SELL	25.000	\$1.58	\$39.48	
11/21/2017	ENSERVCO CORPORATION	29358Y102	PURCHASE	25.000	\$0.73	\$18.15	
08/24/2017	FITBIT INC CLASS A COM	33812L102	PURCHASE	35.000	\$5.50	\$192.50	

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Account Executive No: DPA

ORIGINAL: 02/07/2018

IN ACCOUNT WITH

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**2017 INVESTMENT DETAILS**

**DETAILS OF 2017 INVESTMENT ACTIVITY, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
08/29/2017	FITBIT INC CLASS A COM	33812L102	PURCHASE	50.000	\$6.00	\$300.00	
08/31/2017	FITBIT INC CLASS A COM	33812L102	PURCHASE	100.000	\$6.02	\$601.90	
08/31/2017	FITBIT INC CLASS A COM	33812L102	SELL	85.000	\$6.02	\$511.76	
09/12/2017	FITBIT INC CLASS A COM	33812L102	PURCHASE	35.000	\$6.33	\$221.55	
09/12/2017	FITBIT INC CLASS A COM	33812L102	SELL	100.000	\$6.35	\$635.07	
10/02/2017	FITBIT INC CLASS A COM	33812L102	SELL	35.000	\$6.91	\$241.83	
11/08/2017	FORTERRA INC COM	34960W106	PURCHASE	20.000	\$6.80	\$136.00	
11/14/2017	FORTERRA INC COM	34960W106	SELL	20.000	\$8.68	\$173.59	
11/15/2017	FORTERRA INC COM	34960W106	PURCHASE	5.000	\$8.48	\$42.40	
08/28/2017	GERON CORP	374163103	PURCHASE	47.000	\$2.04	\$95.87	
09/08/2017	GERON CORP	374163103	SELL	47.000	\$2.15	\$101.08	
11/24/2017	GLOBAL X FUNDS GLOBAL X ROBOTICS & ARTI	37954Y715	PURCHASE	2.000	\$24.87	\$49.74	
08/24/2017	GOPRO INC CL A	38268T103	PURCHASE	8.000	\$9.22	\$73.76	
08/31/2017	GOPRO INC CL A	38268T103	SELL	8.000	\$9.11	\$72.86	
09/07/2017	GOPRO INC CL A	38268T103	PURCHASE	20.000	\$10.24	\$204.80	
09/11/2017	GOPRO INC CL A	38268T103	SELL	20.000	\$11.06	\$221.20	
09/06/2017	GROUPON INC COMMON STOCK	399473107	PURCHASE	25.000	\$4.44	\$111.00	
10/02/2017	GROUPON INC COMMON STOCK	399473107	SELL	25.000	\$5.06	\$126.48	
08/22/2017	HEAT BIOLOGICS INC	42237K102	PURCHASE	20.000	\$0.50	\$9.98	
08/24/2017	HEAT BIOLOGICS INC	42237K102	PURCHASE	100.000	\$0.50	\$50.00	
08/31/2017	HEAT BIOLOGICS INC	42237K102	PURCHASE	15.000	\$0.57	\$8.51	
09/06/2017	HEAT BIOLOGICS INC	42237K102	SELL	135.000	\$0.54	\$73.00	
10/11/2017	HELIOS AND MATHESON ANALYTICS INC	42327L200	PURCHASE	13.000	\$36.00	\$468.00	
10/12/2017	HELIOS AND MATHESON ANALYTICS INC	42327L200	SELL	13.000	\$24.00	\$311.98	
11/17/2017	INDIA GLOBALIZATION CAPITAL INC	45408X308	PURCHASE	6.000	\$0.51	\$3.06	
11/17/2017	INDIA GLOBALIZATION CAPITAL INC	45408X308	PURCHASE	93.000	\$0.51	\$47.38	
08/29/2017	INSEEGO CORP COMMON STOCK	45782B104	PURCHASE	6.000	\$1.35	\$8.10	
09/07/2017	INSEEGO CORP COMMON STOCK	45782B104	PURCHASE	100.000	\$1.29	\$128.90	
09/12/2017	INSEEGO CORP COMMON STOCK	45782B104	SELL	106.000	\$1.29	\$136.73	
10/02/2017	INTEL CORP	458140100	PURCHASE	3.000	\$38.64	\$115.92	
11/15/2017	JAGUAR HEALTH INC COMMON STOCK	47010C201	PURCHASE	500.000	\$0.21	\$107.50	
11/16/2017	JAGUAR HEALTH INC COMMON STOCK	47010C201	SELL	450.000	\$0.18	\$80.68	
09/06/2017	***JINKOSOLAR HLDG CO LTD SPONSORED ADR	47759T100	PURCHASE	8.000	\$27.39	\$219.15	
10/02/2017	***JINKOSOLAR HLDG CO LTD SPONSORED ADR	47759T100	SELL	8.000	\$24.88	\$199.02	

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**2017 INVESTMENT DETAILS**

**DETAILS OF 2017 INVESTMENT ACTIVITY, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
10/02/2017	JOHNSON OUTDOORS INC CL A	479167108	PURCHASE	5.000	\$74.63	\$373.15	
10/05/2017	JOHNSON OUTDOORS INC CL A	479167108	SELL	5.000	\$73.13	\$365.63	
08/24/2017	KITE PHARMA INC COM	49803L109	PURCHASE	4.000	\$139.04	\$556.16	
08/29/2017	KITE PHARMA INC COM	49803L109	SELL	4.000	\$178.11	\$712.41	
08/25/2017	MALIBU BOATS INC COM CL A	56117J100	PURCHASE	1.000	\$27.12	\$27.12	
09/07/2017	MALIBU BOATS INC COM CL A	56117J100	SELL	1.000	\$27.36	\$27.34	
10/02/2017	MALIBU BOATS INC COM CL A	56117J100	PURCHASE	7.000	\$31.80	\$222.60	
11/24/2017	MARATHON PATENT GROUP INC COMMON STOCK	56585W302	PURCHASE	20.000	\$3.88	\$77.59	
11/24/2017	MARATHON PATENT GROUP INC COMMON STOCK	56585W302	PURCHASE	20.000	\$3.88	\$77.60	
11/27/2017	MARATHON PATENT GROUP INC COMMON STOCK	56585W302	PURCHASE	25.000	\$8.06	\$201.50	
11/27/2017	MARATHON PATENT GROUP INC COMMON STOCK	56585W302	SELL	20.000	\$6.86	\$137.18	
11/27/2017	MARATHON PATENT GROUP INC COMMON STOCK	56585W302	SELL	40.000	\$7.70	\$308.08	
11/30/2017	MARATHON PATENT GROUP INC COMMON STOCK	56585W302	SELL	4.000	\$4.09	\$16.34	
08/29/2017	MICRON TECHNOLOGY INC	595112103	PURCHASE	3.000	\$31.10	\$93.30	
08/29/2017	MICRON TECHNOLOGY INC	595112103	PURCHASE	10.000	\$31.17	\$311.65	
09/05/2017	MICRON TECHNOLOGY INC	595112103	PURCHASE	3.000	\$32.48	\$97.44	
09/07/2017	MICRON TECHNOLOGY INC	595112103	SELL	16.000	\$32.86	\$525.65	
09/11/2017	MICRON TECHNOLOGY INC	595112103	PURCHASE	50.000	\$33.43	\$1,671.45	
10/09/2017	MICRON TECHNOLOGY INC	595112103	SELL	25.000	\$40.14	\$1,003.49	
10/20/2017	MICRON TECHNOLOGY INC	595112103	SELL	25.000	\$42.09	\$1,052.21	
12/12/2017	NATIONAL BEVERAGE CORP	635017106	PURCHASE	2.000	\$101.85	\$203.70	
10/09/2017	NETFLIX COM INC	64110L106	PURCHASE	1.000	\$198.37	\$198.37	
10/16/2017	NETFLIX COM INC	64110L106	PURCHASE	3.000	\$202.31	\$606.93	
10/19/2017	NETFLIX COM INC	64110L106	SELL	4.000	\$192.52	\$770.05	
11/29/2017	NETFLIX COM INC	64110L106	PURCHASE	2.000	\$189.00	\$378.00	
10/02/2017	NET ELEMENT INC COM	64111R201	PURCHASE	6.000	\$0.88	\$5.28	
12/22/2017	NET ELEMENT INC COMMON STOCK	64111R300	SELL	1.000	\$13.75	\$13.73	
09/07/2017	NEWLINK GENETICS CORP	651511107	PURCHASE	3.000	\$10.09	\$30.27	
10/02/2017	NEWLINK GENETICS CORP	651511107	PURCHASE	2.000	\$10.25	\$20.50	
10/02/2017	NEWLINK GENETICS CORP	651511107	SELL	3.000	\$10.05	\$30.14	
09/07/2017	***NORTHERN DYNASTY MINERALS LTD	66510M204	PURCHASE	5.000	\$1.82	\$9.10	
10/02/2017	***NORTHERN DYNASTY MINERALS LTD	66510M204	SELL	5.000	\$1.78	\$8.88	
11/17/2017	***OSSEN INNOVATION CO LTD AMERICAN DEP	688410208	PURCHASE	5.000	\$2.40	\$12.00	
10/05/2017	PPG INDUSTRIES INC	693506107	PURCHASE	3.000	\$112.47	\$337.41	

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APEX CLEARING  
 ONE DALLAS CENTER  
 350 N. ST PAUL, SUITE 1300  
 DALLAS, TX 75201

Account No: 5SI05037  
 Account Name: VINOD BURRI  
 Taxpayer Identification Number:\*\*\*-\*\*-1424

Account Executive No: DPA

ORIGINAL: 02/07/2018

IN ACCOUNT WITH

**ROBINHOOD**  
**(650) 940-2700**

**2017 INVESTMENT DETAILS**

**DETAILS OF 2017 INVESTMENT ACTIVITY, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
10/11/2017	PPG INDUSTRIES INC	693506107	SELL	3.000	\$111.97	\$335.89	
11/13/2017	PARETEUM CORPORATION COMMON STOCK	69946T207	PURCHASE	100.000	\$0.91	\$90.99	
11/27/2017	PARETEUM CORPORATION COMMON STOCK	69946T207	SELL	90.000	\$1.07	\$96.32	
08/23/2017	PARKERVISION INC COM NEW	701354300	PURCHASE	30.000	\$1.87	\$56.10	
09/06/2017	PARKERVISION INC COM NEW	701354300	SELL	30.000	\$1.89	\$56.79	
10/02/2017	PENNANTPARK FLOATING RATE CAPITAL LTD C	70806A106	PURCHASE	19.000	\$14.50	\$275.48	
10/09/2017	PENNANTPARK FLOATING RATE CAPITAL LTD C	70806A106	SELL	19.000	\$14.59	\$277.19	
11/21/2017	RELIV INTERNATIONAL INC COM NEW	75952R209	PURCHASE	3.000	\$7.89	\$23.68	
11/24/2017	RELIV INTERNATIONAL INC COM NEW	75952R209	SELL	3.000	\$5.10	\$15.28	
11/21/2017	RIOT BLOCKCHAIN INC COMMON STOCK	767292105	PURCHASE	2.000	\$11.57	\$23.14	
11/27/2017	RIOT BLOCKCHAIN INC COMMON STOCK	767292105	SELL	2.000	\$18.51	\$37.00	
08/21/2017	RITE AID CORP	767754104	PURCHASE	2.000	\$2.22	\$4.44	
08/25/2017	RITE AID CORP	767754104	SELL	2.000	\$2.25	\$4.48	
11/13/2017	ROKU INC CLASS A COMMON STOCK	77543R102	PURCHASE	1.000	\$37.55	\$37.55	
11/13/2017	ROKU INC CLASS A COMMON STOCK	77543R102	PURCHASE	1.000	\$42.71	\$42.71	
11/13/2017	ROKU INC CLASS A COMMON STOCK	77543R102	PURCHASE	5.000	\$45.25	\$226.25	
11/13/2017	ROKU INC CLASS A COMMON STOCK	77543R102	SELL	5.000	\$42.79	\$213.94	
11/14/2017	ROKU INC CLASS A COMMON STOCK	77543R102	SELL	2.000	\$39.26	\$78.50	
11/27/2017	SPAR GROUP INC	784933103	PURCHASE	29.000	\$1.56	\$45.19	
08/31/2017	SALESFORCE.COM INC	79466L302	PURCHASE	3.000	\$95.27	\$285.81	
10/09/2017	SALESFORCE.COM INC	79466L302	SELL	3.000	\$96.40	\$289.18	
09/29/2017	SAREPTA THERAPEUTICS INC COM	803607100	PURCHASE	2.000	\$45.71	\$91.42	
09/06/2017	SHERWIN WILLIAMS CO	824348106	PURCHASE	5.000	\$345.46	\$1,727.32	
09/11/2017	SHERWIN WILLIAMS CO	824348106	SELL	5.000	\$346.33	\$1,731.59	
10/09/2017	SHERWIN WILLIAMS CO	824348106	PURCHASE	2.000	\$381.01	\$762.02	
10/19/2017	SHERWIN WILLIAMS CO	824348106	SELL	2.000	\$383.37	\$766.71	
11/16/2017	SHERWIN WILLIAMS CO	824348106	PURCHASE	1.000	\$385.13	\$385.13	
11/16/2017	SHERWIN WILLIAMS CO	824348106	SELL	1.000	\$387.86	\$387.84	
11/24/2017	SOCIAL REALITY INC CLASS A COMMON STOCK	83362P300	PURCHASE	25.000	\$6.45	\$161.25	
11/27/2017	SOCIAL REALITY INC CLASS A COMMON STOCK	83362P300	SELL	25.000	\$6.35	\$158.73	
10/10/2017	SQUARE INC CLASS A COM	852234103	PURCHASE	8.000	\$31.30	\$250.39	
11/27/2017	SQUARE INC CLASS A COM	852234103	SELL	8.000	\$45.68	\$365.42	
12/07/2017	SQUARE INC CLASS A COM	852234103	PURCHASE	2.000	\$38.34	\$76.68	
11/13/2017	SWITCH INC CLASS A COMMON STOCK \$0.001	87105L104	PURCHASE	5.000	\$19.81	\$99.05	

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IN ACCOUNT WITH

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## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
11/16/2017	SWITCH INC CLASS A COMMON STOCK \$0.001	87105L104	SELL	4.000	\$19.19	\$76.74	
08/31/2017	***TEVA PHARMACEUTICAL INDUSTRIES LIMIT	881624209	PURCHASE	3.000	\$15.98	\$47.94	
09/11/2017	***TEVA PHARMACEUTICAL INDUSTRIES LIMIT	881624209	SELL	3.000	\$18.72	\$56.14	
11/24/2017	U S GLOBAL INVESTORS INC-CL A	902952100	PURCHASE	30.000	\$4.80	\$144.00	
11/27/2017	U S GLOBAL INVESTORS INC-CL A	902952100	PURCHASE	8.000	\$6.05	\$48.39	
11/27/2017	U S GLOBAL INVESTORS INC-CL A	902952100	SELL	30.000	\$6.02	\$180.58	
09/11/2017	ULTRA CLEAN HOLDINGS INC	90385V107	PURCHASE	15.000	\$24.16	\$362.40	
10/16/2017	ULTRA CLEAN HOLDINGS INC	90385V107	PURCHASE	20.000	\$33.58	\$671.58	
10/19/2017	ULTRA CLEAN HOLDINGS INC	90385V107	SELL	35.000	\$31.39	\$1,098.61	
09/08/2017	VERSARTIS INC COM	92529L102	PURCHASE	8.000	\$21.45	\$171.60	
08/29/2017	WESTERN DIGITAL CORP	958102105	PURCHASE	4.000	\$89.77	\$359.08	
08/31/2017	WESTERN DIGITAL CORP	958102105	SELL	4.000	\$90.13	\$360.50	
08/23/2017	XG TECHNOLOGY INC COMMON STOCK	98372A705	PURCHASE	10.000	\$1.74	\$17.40	
10/05/2017	XG TECHNOLOGY INC COMMON STOCK	98372A705	PURCHASE	30.000	\$1.71	\$51.30	
10/05/2017	XG TECHNOLOGY INC COMMON STOCK	98372A705	SELL	10.000	\$1.69	\$16.88	
11/14/2017	ZAGG INC	98884U108	PURCHASE	5.000	\$20.55	\$102.73	
11/17/2017	***UTSTARCOM HOLDINGS CORP SHS	G9310A114	PURCHASE	9.000	\$3.30	\$29.70	
11/03/2017	***DIANA CONTAINERSHIPS INC COMMON SHAR	Y2069P507	PURCHASE	11.000	\$15.29	\$168.19	
11/03/2017	***DIANA CONTAINERSHIPS INC COMMON SHAR	Y2069P507	SELL	11.000	\$13.65	\$150.13	
11/27/2017	***DIANA CONTAINERSHIPS INC COMMON SHAR	Y2069P507	PURCHASE	2.000	\$6.31	\$12.62	
11/27/2017	***DIANA CONTAINERSHIPS INC COMMON SHAR	Y2069P507	PURCHASE	5.000	\$7.28	\$36.40	

### END OF 2017 DETAILS

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