Review your print out for checklist items.

▼ Detach Here and Mail With Your Payment ▼

Department of the Treasury
Internal Revenue Service

Calendar Year —
Due 04/15/2021

2021 Form 1040-ES Payment Voucher 1

File only if you are making a payment of estimated tax by check or money order. Mail this voucher with your check or money order payable to the **'United States Treasury.'** Write your social security number and '2021 Form 1040-ES' on your check or money order. Do not send cash. Enclose, but do not staple or attach, your payment with this voucher.

Amount of estimated tax you are paying by check or money order. . . . . ►	1,959.

REV 09/17/21 INTUIT.CG.CFP.SP 1555

577-47-9214 579-51-8158
SRIDHAR GUTHA
VASU GUTHA
24940 HAUGHTON SQ
CHANTILLY VA 20152-4146

INTERNAL REVENUE SERVICE
PO BOX 931100
LOUISVILLE KY 40293-1100

577479214 TS GUTH 30 0 202112 430

▼ Detach Here and Mail With Your Payment ▼

Department of the Treasury
Internal Revenue Service

Calendar Year —
Due 06/15/2021

2021 Form 1040-ES Payment Voucher 2

File only if you are making a payment of estimated tax by check or money order. Mail this voucher with your check or money order payable to the **'United States Treasury.'** Write your social security number and '2021 Form 1040-ES' on your check or money order. Do not send cash. Enclose, but do not staple or attach, your payment with this voucher.

Amount of estimated tax you are paying by check or money order. . . . . ►	1,959.

REV 09/17/21 INTUIT.CG.CFP.SP 1555

577-47-9214 579-51-8158
SRIDHAR GUTHA
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INTERNAL REVENUE SERVICE
PO BOX 931100
LOUISVILLE KY 40293-1100

577479214 TS GUTH 30 0 202112 430

▼ Detach Here and Mail With Your Payment ▼

Department of the Treasury
Internal Revenue Service

Calendar Year —
Due 09/15/2021

2021 Form 1040-ES Payment Voucher 3

File only if you are making a payment of estimated tax by check or money order. Mail this voucher with your check or money order payable to the **'United States Treasury.'** Write your social security number and '2021 Form 1040-ES' on your check or money order. Do not send cash. Enclose, but do not staple or attach, your payment with this voucher.

Amount of estimated tax you are paying by check or money order. . . . . ►	1,959.

REV 09/17/21 INTUIT.CG.CFP.SP 1555

577-47-9214 579-51-8158
SRIDHAR GUTHA
VASU GUTHA
24940 HAUGHTON SQ
CHANTILLY VA 20152-4146

INTERNAL REVENUE SERVICE
PO BOX 931100
LOUISVILLE KY 40293-1100

577479214 TS GUTH 30 0 202112 430

▼ Detach Here and Mail With Your Payment ▼

Department of the Treasury
Internal Revenue Service

Calendar Year —
Due 01/18/2022

2021 Form 1040-ES Payment Voucher 4

File only if you are making a payment of estimated tax by check or money order. Mail this voucher with your check or money order payable to the **'United States Treasury.'** Write your social security number and '2021 Form 1040-ES' on your check or money order. Do not send cash. Enclose, but do not staple or attach, your payment with this voucher.

Amount of estimated tax you are paying by check or money order. . . . . ►	1,959.

REV 09/17/21 INTUIT.CG.CFP.SP 1555

577-47-9214 579-51-8158
SRIDHAR GUTHA
VASU GUTHA
24940 HAUGHTON SQ
CHANTILLY VA 20152-4146

INTERNAL REVENUE SERVICE
PO BOX 931100
LOUISVILLE KY 40293-1100

577479214 TS GUTH 30 0 202112 430

Form **1040** Department of the Treasury—Internal Revenue Service (99) **U.S. Individual Income Tax Return** | **2020** | OMB No. 1545-0074 | IRS Use Only—Do not write or staple in this space.

Filing Status Check only one box. ☐ Single ☒ Married filing jointly ☐ Married filing separately (MFS) ☐ Head of household (HOH) ☐ Qualifying widow(er) (QW)

If you checked the MFS box, enter the name of your spouse. If you checked the HOH or QW box, enter the child's name if the qualifying person is a child but not your dependent ►

Your first name and middle initial	Last name	Your social security number
sridhar	gutha	577-47-9214
If joint return, spouse's first name and middle initial	Last name	Spouse's social security number
vasu	gutha	579-51-8158

Home address (number and street). If you have a P.O. box, see instructions. 24940 Haughton Sq — Apt. no.

City, town, or post office. If you have a foreign address, also complete spaces below. Chantilly — State VA — ZIP code 201524146

Foreign country name — Foreign province/state/county — Foreign postal code

Presidential Election Campaign Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

At any time during 2020, did you receive, sell, send, exchange, or otherwise acquire any financial interest in any virtual currency? ☐ Yes ☒ No

Standard Deduction **Someone can claim:** ☐ You as a dependent ☐ Your spouse as a dependent ☐ Spouse itemizes on a separate return or you were a dual-status alien

Age/Blindness **You:** ☐ Were born before January 2, 1956 ☐ Are blind **Spouse:** ☐ Was born before January 2, 1956 ☐ Is blind

Dependents (see instructions): If more than four dependents, see instructions and check here ► ☐

(1) First name	Last name	(2) Social security number	(3) Relationship to you	(4) ✔ if qualifies for (see instructions): Child tax credit	Credit for other dependents
aarush	gutha	806-98-0027	Son	☒	☐
pranavi	gutha	857-98-7005	Daughter	☒	☐
				☐	☐
				☐	☐

Attach Sch. B if required.

1	Wages, salaries, tips, etc. Attach Form(s) W-2					1	168,077.
2a	Tax-exempt interest	2a		b	Taxable interest	2b	1.
3a	Qualified dividends	3a		b	Ordinary dividends	3b	
4a	IRA distributions	4a		b	Taxable amount	4b	
5a	Pensions and annuities	5a		b	Taxable amount	5b	
6a	Social security benefits	6a		b	Taxable amount	6b	
7	Capital gain or (loss). Attach Schedule D if required. If not required, check here ► ☐					7	-3,000.
8	Other income from Schedule 1, line 9					8	0.
9	Add lines 1, 2b, 3b, 4b, 5b, 6b, 7, and 8. This is your **total income** ►					9	165,078.
10	Adjustments to income:						
a	From Schedule 1, line 22	10a					
b	Charitable contributions if you take the standard deduction. See instructions	10b	290.				
c	Add lines 10a and 10b. These are your **total adjustments to income** ►					10c	290.
11	Subtract line 10c from line 9. This is your **adjusted gross income** ►					11	164,788.
12	**Standard deduction or itemized deductions** (from Schedule A)					12	24,800.
13	Qualified business income deduction. Attach Form 8995 or Form 8995-A					13	
14	Add lines 12 and 13					14	24,800.
15	**Taxable income.** Subtract line 14 from line 11. If zero or less, enter -0-					15	139,988.

Standard Deduction for—
- Single or Married filing separately, $12,400
- Married filing jointly or Qualifying widow(er), $24,800
- Head of household, $18,650
- If you checked any box under *Standard Deduction*, see instructions.

	16	**Tax** (see instructions). Check if any from Form(s): **1** ☐ 8814 **2** ☐ 4972 **3** ☐		16	22,377.
	17	Amount from Schedule 2, line 3		17	
	18	Add lines 16 and 17		18	22,377.
	19	Child tax credit or credit for other dependents		19	4,000.
	20	Amount from Schedule 3, line 7		20	183.
	21	Add lines 19 and 20		21	4,183.
	22	Subtract line 21 from line 18. If zero or less, enter -0-		22	18,194.
	23	Other taxes, including self-employment tax, from Schedule 2, line 10		23	0.
	24	Add lines 22 and 23. This is your **total tax** ▶		24	18,194.
	25	Federal income tax withheld from:			
	a	Form(s) W-2	25a 12,177.		
	b	Form(s) 1099	25b 0.		
	c	Other forms (see instructions)	25c		
	d	Add lines 25a through 25c		25d	12,177.
• If you have a qualifying child, attach Sch. EIC. • If you have nontaxable combat pay, see instructions.	26	2020 estimated tax payments and amount applied from 2019 return		26	
	27	Earned income credit (EIC) No	27		
	28	Additional child tax credit. Attach Schedule 8812	28		
	29	American opportunity credit from Form 8863, line 8	29		
	30	Recovery rebate credit. See instructions	30		
	31	Amount from Schedule 3, line 13	31		
	32	Add lines 27 through 31. These are your **total other payments and refundable credits** ▶		32	
	33	Add lines 25d, 26, and 32. These are your **total payments** ▶		33	12,177.
Refund	34	If line 33 is more than line 24, subtract line 24 from line 33. This is the amount you **overpaid**		34	
	35a	Amount of line 34 you want **refunded to you.** If Form 8888 is attached, check here ▶ ☐		35a	
Direct deposit? See instructions.	▶ b	Routing number X X X X X X X X X ▶ c Type: ☐ Checking ☐ Savings			
	▶ d	Account number X X X X X X X X X X X X X X X X			
	36	Amount of line 34 you want **applied to your 2021 estimated tax** ▶	36		
Amount You Owe	37	Subtract line 33 from line 24. This is the **amount you owe now** ▶		37	6,034.
For details on how to pay, see instructions.		**Note:** Schedule H and Schedule SE filers, line 37 may not represent all of the taxes you owe for 2020. See Schedule 3, line 12e, and its instructions for details.			
	38	Estimated tax penalty (see instructions) ▶	38 17.		

Third Party Designee

Do you want to allow another person to discuss this return with the IRS? See instructions ▶ ☐ **Yes.** Complete below. ☒ **No**

Designee's name ▶ | Phone no. ▶ | Personal identification number (PIN) ▶

Sign Here

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Your signature	Date	Your occupation	If the IRS sent you an Identity Protection PIN, enter it here (see inst.) ▶
		software engineer	
Spouse's signature. If a joint return, **both** must sign.	Date	Spouse's occupation	If the IRS sent your spouse an Identity Protection PIN, enter it here (see inst.) ▶
		software engineer	

Joint return? See instructions. Keep a copy for your records.

Phone no. (571)294-4787 | Email address

Paid Preparer Use Only

Preparer's name	Preparer's signature	Date	PTIN	Check if: ☐ Self-employed

Firm's name ▶ Self-Prepared | Phone no.

Firm's address ▶ | Firm's EIN ▶

SCHEDULE 3 (Form 1040)

Department of the Treasury
Internal Revenue Service

Additional Credits and Payments

► Attach to Form 1040, 1040-SR, or 1040-NR.
► Go to *www.irs.gov/Form1040* for instructions and the latest information.

OMB No. 1545-0074

2020

Attachment Sequence No. **03**

Name(s) shown on Form 1040, 1040-SR, or 1040-NR: sridhar & vasu gutha

Your social security number: 577-47-9214

Part I Nonrefundable Credits

1	Foreign tax credit. Attach Form 1116 if required	1	
2	Credit for child and dependent care expenses. Attach Form 2441	2	183.
3	Education credits from Form 8863, line 19	3	
4	Retirement savings contributions credit. Attach Form 8880	4	
5	Residential energy credits. Attach Form 5695	5	
6	Other credits from Form: **a** ☐ 3800 **b** ☐ 8801 **c** ☐	6	
7	Add lines 1 through 6. Enter here and on Form 1040, 1040-SR, or 1040-NR, line 20	7	183.

Part II Other Payments and Refundable Credits

8	Net premium tax credit. Attach Form 8962		8	
9	Amount paid with request for extension to file (see instructions)		9	
10	Excess social security and tier 1 RRTA tax withheld		10	
11	Credit for federal tax on fuels. Attach Form 4136		11	
12	Other payments or refundable credits:			
a	Form 2439	12a		
b	Qualified sick and family leave credits from Schedule(s) H and Form(s) 7202	12b		
c	Health coverage tax credit from Form 8885	12c		
d	Other:	12d		
e	Deferral for certain Schedule H or SE filers (see instructions)	12e		
f	Add lines 12a through 12e		12f	
13	Add lines 8 through 12f. Enter here and on Form 1040, 1040-SR, or 1040-NR, line 31		13	

SCHEDULE D
(Form 1040)

Department of the Treasury
Internal Revenue Service (99)

Capital Gains and Losses

► **Attach to Form 1040, 1040-SR, or 1040-NR.**
► **Go to *www.irs.gov/ScheduleD* for instructions and the latest information.**
► **Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9, and 10.**

OMB No. 1545-0074

2020

Attachment Sequence No. **12**

Name(s) shown on return: sridhar & vasu gutha

Your social security number: 577-47-9214

Did you dispose of any investment(s) in a qualified opportunity fund during the tax year? ☐ **Yes** ☐ **No**
If "Yes," attach Form 8949 and see its instructions for additional requirements for reporting your gain or loss.

Part I Short-Term Capital Gains and Losses—Generally Assets Held One Year or Less (see instructions)

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b	2,089,393.	2,124,567.		-35,174.
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked	24,314,547.	25,254,404.	862,165.	-77,692.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked	1,845,685.	1,972,426.	123,052.	-3,689.
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4	Short-term gain from Form 6252 and short-term gain or (loss) from Forms 4684, 6781, and 8824	**4**	
5	Net short-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1	**5**	
6	Short-term capital loss carryover. Enter the amount, if any, from line 8 of your **Capital Loss Carryover Worksheet** in the instructions	**6**	(124,280.)
7	**Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h). If you have any long-term capital gains or losses, go to Part II below. Otherwise, go to Part III on the back	**7**	-240,835.

Part II Long-Term Capital Gains and Losses—Generally Assets Held More Than One Year (see instructions)

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked				
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

11	Gain from Form 4797, Part I; long-term gain from Forms 2439 and 6252; and long-term gain or (loss) from Forms 4684, 6781, and 8824	**11**	
12	Net long-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1	**12**	
13	Capital gain distributions. See the instructions	**13**	
14	Long-term capital loss carryover. Enter the amount, if any, from line 13 of your **Capital Loss Carryover Worksheet** in the instructions	**14**	(20,611.)
15	**Net long-term capital gain or (loss).** Combine lines 8a through 14 in column (h). Then, go to Part III on the back	**15**	-20,611.

Part III Summary

16	Combine lines 7 and 15 and enter the result	**16**	-261,446.
	• If line 16 is a **gain,** enter the amount from line 16 on Form 1040, 1040-SR, or 1040-NR, line 7. Then, go to line 17 below. • If line 16 is a **loss,** skip lines 17 through 20 below. Then, go to line 21. Also be sure to complete line 22. • If line 16 is **zero,** skip lines 17 through 21 below and enter -0- on Form 1040, 1040-SR, or 1040-NR, line 7. Then, go to line 22.		
17	Are lines 15 and 16 **both** gains? ☐ **Yes.** Go to line 18. ☐ **No.** Skip lines 18 through 21, and go to line 22.		
18	If you are required to complete the **28% Rate Gain Worksheet** (see instructions), enter the amount, if any, from line 7 of that worksheet ▶	**18**	
19	If you are required to complete the **Unrecaptured Section 1250 Gain Worksheet** (see instructions), enter the amount, if any, from line 18 of that worksheet ▶	**19**	
20	Are lines 18 and 19 both zero or blank and are you not filing Form 4952? ☐ **Yes.** Complete the **Qualified Dividends and Capital Gain Tax Worksheet** in the instructions for Forms 1040 and 1040-SR, line 16. **Don't** complete lines 21 and 22 below. ☐ **No.** Complete the **Schedule D Tax Worksheet** in the instructions. **Don't** complete lines 21 and 22 below.		
21	If line 16 is a loss, enter here and on Form 1040, 1040-SR, or 1040-NR, line 7, the **smaller** of: • The loss on line 16; or • ($3,000), or if married filing separately, ($1,500) **Note:** When figuring which amount is smaller, treat both amounts as positive numbers.	**21**	(3,000.)
22	Do you have qualified dividends on Form 1040, 1040-SR, or 1040-NR, line 3a? ☐ **Yes.** Complete the **Qualified Dividends and Capital Gain Tax Worksheet** in the instructions for Forms 1040 and 1040-SR, line 16. ☒ **No.** Complete the rest of Form 1040, 1040-SR, or 1040-NR.		

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to *www.irs.gov/Form8949* for instructions and the latest information.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
AAPL 07/17/2020 CALL $390.00 1	07/16/20	07/16/20	186.	220.			-34.
SPY 06/29/2020 PUT $295.00 1	06/24/20	06/25/20	131.	143.			-12.
SPY 06/29/2020 PUT $297.00 1	06/24/20	06/24/20	210.	166.			44.
007903107 ADVANCED MICRO DEVICES INC COM 1600	04/01/20	04/01/20	71,609.	71,637.	W	66.	38.
023135106 AMAZON COM INC COM 2260	03/12/20	03/12/20	3,865,854.	3,910,133.	W	45,654.	1,375.
023135106 AMAZON COM INC COM 300	03/13/20	03/13/20	524,788.	535,317.	W	10,529.	0.
023135106 AMAZON COM INC COM 90	03/17/20	03/17/20	161,573.	165,219.	W	3,645.	-1.
023135106 AMAZON COM INC COM 140	03/18/20	03/18/20	251,069.	256,460.	W	5,392.	1.
023135106 AMAZON COM INC COM 20	03/19/20	03/19/20	37,377.	38,386.	W	1,009.	0.
023135106 AMAZON COM INC COM 150	03/23/20	03/23/20	281,879.	287,105.	W	3,325.	-1,901.
037833100 APPLE INC COM 2500	03/12/20	03/12/20	650,565.	648,481.	W	1,003.	3,087.
037833100 APPLE INC COM 3700	03/13/20	03/13/20	970,024.	988,633.	W	18,608.	-1.
037833100 APPLE INC COM 600	03/12/20	03/16/20	143,810.	143,838.	W	107.	79.
037833100 APPLE INC COM 1200	03/18/20	03/18/20	289,036.	296,258.	W	7,222.	0.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			7,248,111.	7,341,996.		96,560.	2,675.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to *www.irs.gov/Form8949* for instructions and the latest information.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
037833100 APPLE INC COM 250	03/23/20	03/23/20	55,711.	56,916.	W	1,205.	0.
037833100 APPLE INC COM 650	03/24/20	03/24/20	156,687.	160,554.	W	2,102.	-1,765.
097023105 BOEING CO COM 4500	VARIOUS	03/17/20	577,208.	575,194.	W	2,248.	4,262.
097023105 BOEING CO COM 5200	03/18/20	03/18/20	539,206.	565,620.	W	26,414.	0.
097023105 BOEING CO COM 3500	03/17/20	03/19/20	408,468.	414,066.	W	6,670.	1,072.
097023105 BOEING CO COM 10700	03/23/20	03/23/20	1,122,389.	1,213,354.	W	90,965.	0.
097023105 BOEING CO COM 2500	03/24/20	03/24/20	314,492.	333,130.	W	18,638.	0.
097023105 BOEING CO COM 31408	03/25/20	03/25/20	5,105,269.	5,428,166.	W	321,376.	-1,521.
166764100 CHEVRON CORPORATION COM 14350	04/02/20	04/02/20	1,075,269.	1,092,996.	W	16,391.	-1,336.
25460E182 DIREXION SHARES ETF TRUST 1:12 R/S 8/28/20 254606690 4800	03/12/20	03/12/20	163,689.	163,019.	W	69.	739.
25460E182 DIREXION SHARES ETF TRUST 1:12 R/S 8/28/20 254606690 5300	03/13/20	03/13/20	175,898.	189,844.	W	11,737.	-2,209.
25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 100	03/10/20	03/10/20	3,468.	3,535.	W	67.	0.
25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1000	03/11/20	03/11/20	31,818.	31,822.	W	61.	57.
25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 2000	03/17/20	03/17/20	31,404.	31,585.	W	257.	76.
2 **Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			9,760,976.	10,259,801.		498,200.	-625.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to *www.irs.gov/Form8949* for instructions and the latest information.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1500	03/18/20	03/18/20	21,071.	21,355.	W	319.	35.
25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1000	03/19/20	03/19/20	17,200.	17,421.	W	108.	-113.
45782T105 INMUNE BIO INC COM 2000	07/14/20	07/14/20	33,559.	37,667.	W	2,860.	-1,248.
54951L109 LUCKIN COFFEE INC ADR SPONSORED 4000	04/02/20	04/02/20	27,682.	27,750.	W	143.	75.
594918104 MICROSOFT CORP COM 200	03/11/20	03/11/20	30,789.	30,960.	W	171.	0.
594918104 MICROSOFT CORP COM 900	03/18/20	03/18/20	126,487.	126,749.	W	310.	48.
594918104 MICROSOFT CORP COM 300	03/19/20	03/19/20	44,122.	44,134.	W	34.	22.
594918104 MICROSOFT CORP COM 4300	03/24/20	03/24/20	629,890.	630,031.	W	546.	405.
594918104 MICROSOFT CORP COM 800	04/01/20	04/01/20	124,153.	124,527.	W	410.	36.
60770K107 MODERNA INC COM 400	07/15/20	07/15/20	33,456.	34,131.	W	365.	-310.
64110L106 NETFLIX INC COM 50	03/23/20	03/23/20	17,398.	17,427.	W	29.	0.
64110L106 NETFLIX INC COM 90	03/30/20	03/30/20	33,825.	34,009.	W	189.	5.
67066G104 NVIDIA CORP COM 250	03/24/20	03/24/20	61,124.	61,086.	W	8.	46.
74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 1500	03/18/20	03/18/20	56,368.	57,610.	W	1,242.	0.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			1,257,124.	1,264,857.		6,734.	-999.

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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to *www.irs.gov/Form8949* for instructions and the latest information.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 20110	03/19/20	03/19/20	833,019.	849,267.	W	16,414.	166.
74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 1208	04/01/20	04/01/20	50,759.	51,907.	W	638.	-510.
78418A505 SG BLOCKS INC COM 700	04/01/20	04/01/20	6,064.	6,087.	W	28.	5.
871628301 SYNTHESIS ENERGY SYSTEMS INC COM 2500	01/02/20	01/02/20	24,376.	24,565.	W	348.	159.
87918A105 TELADOC HEALTH INC COM 300	03/13/20	03/13/20	37,310.	42,000.	W	4,690.	0.
87918A105 TELADOC HEALTH INC COM 2800	VARIOUS	03/17/20	345,530.	416,450.	W	56,269.	-14,651.
88160R101 TESLA INC COM 300	03/12/20	03/12/20	173,717.	173,666.	W	72.	123.
88160R101 TESLA INC COM 5500	03/19/20	03/19/20	2,259,598.	2,292,744.	W	34,910.	1,764.
88160R101 TESLA INC COM 50	03/23/20	03/23/20	21,629.	22,235.	W	606.	0.
88160R101 TESLA INC COM 1570	03/24/20	03/24/20	787,874.	790,693.	W	3,223.	404.
88160R101 TESLA INC COM 200	03/25/20	03/25/20	107,571.	108,920.	W	941.	-408.
88160R101 TESLA INC COM 95	07/14/20	07/14/20	143,781.	144,595.	W	1,154.	340.
98872F204 YUMA ENERGY INC COM 1500	01/03/20	01/03/20	7,371.	7,340.	W	21.	52.
98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 1410	03/23/20	03/23/20	222,073.	221,896.	W	266.	443.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			5,020,672.	5,152,365.		119,580.	-12,113.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 250	03/24/20	03/24/20	35,448.	35,852.	W	375.	-29.
AAPL AKD9KN2 APPLE INC AAPL Apr 09 2020 267.5 Call 53	04/09/20	04/09/20	8,167.	9,877.	W	1,456.	-254.
AAPL AKDHMDK APPLE INC AAPL Apr 17 2020 290.0 Call 58	04/14/20	04/14/20	15,166.	15,713.	W	237.	-310.
AAPL AKEFNCA APPLE INC AAPL May 15 2020 302.5 Call 25	05/15/20	05/15/20	6,752.	7,328.	W	576.	0.
AAPL AKFCRE4 APPLE INC AAPL Jun 12 2020 355.0 Call 25	06/10/20	06/10/20	6,468.	6,075.	W	3.	396.
AAPL AKFJRS0 APPLE INC AAPL Jun 19 2020 360.0 Call 41	06/16/20	06/16/20	6,758.	6,653.	W	775.	880.
AAPL AKFJRS0 APPLE INC AAPL Jun 19 2020 360.0 Call 38	06/17/20	06/17/20	5,888.	7,621.	W	1,199.	-534.
AAPL AKGASX0 APPLE INC AAPL Jul 10 2020 375.0 Call 5	07/02/20	07/02/20	1,372.	1,502.	W	184.	54.
AAPL AKGHTPG APPLE INC AAPL Jul 17 2020 385.0 Call 244	07/14/20	07/14/20	117,559.	118,984.	W	4,266.	2,841.
AAPL AKGHU3C APPLE INC AAPL Jul 17 2020 390.0 Call 269	07/14/20	07/14/20	110,772.	140,321.	W	29,442.	-107.
AAPL AKGHUH8 APPLE INC AAPL Jul 17 2020 395.0 Call 75	07/15/20	07/15/20	25,278.	35,217.	W	3,194.	-6,745.
AAPL AKP9HQW APPLE INC AAPL Apr 09 2020 230.0 Put 112	VARIOUS	04/02/20	57,767.	58,921.	W	3,290.	2,136.
AAPL AKP9HQW APPLE INC AAPL Apr 09 2020 230.0 Put 75	VARIOUS	04/03/20	28,549.	38,831.	W	3,559.	-6,723.
AAPL AKPHLLS APPLE INC AAPL Apr 17 2020 280.0 Put 6	04/17/20	04/17/20	1,186.	1,452.	W	115.	-151.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			427,130.	484,347.		48,671.	-8,546.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

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You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

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☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
AMZN KKA3ENS AMAZON COM INC AMZN Jan 03 2020 1900.0 Call 50	01/02/20	01/02/20	43,266.	42,660.	W	71.	677.
AMZN KKDHIIO AMAZON COM INC AMZN Apr 17 2020 2400.0 Call 10	04/16/20	04/16/20	22,063.	22,240.	W	887.	710.
AMZN KKEFIIO AMAZON COM INC AMZN May 15 2020 2400.0 Call 4	05/14/20	05/14/20	4,467.	4,660.	W	269.	76.
AMZN KKEMJAG AMAZON COM INC AMZN May 22 2020 2500.0 Call 4	05/19/20	05/19/20	6,937.	6,696.	W	71.	312.
BA AKF5CCG BOEING CO BA Jun 05 2020 160.0 Call 7	06/03/20	06/03/20	1,290.	1,341.	W	73.	22.
BA AKF5FTG BOEING CO BA Jun 05 2020 205.0 Call 23	06/05/20	06/05/20	6,394.	5,715.	W	353.	1,032.
BA AKFCENS BOEING CO BA Jun 12 2020 190.0 Call 10	06/12/20	06/12/20	2,737.	3,030.	W	381.	88.
BA AKFCJAG BOEING CO BA Jun 12 2020 250.0 Call 8	06/08/20	06/08/20	5,147.	4,907.	W	13.	253.
BA AKFJGZ4 BOEING CO BA Jun 19 2020 220.0 Call 3	06/16/20	06/16/20	1,138.	1,455.	W	85.	-232.
BA AKODENS BOEING CO BA Mar 13 2020 190.0 Put 18	03/11/20	03/11/20	7,122.	7,121.	W	113.	114.
BA AKODENS BOEING CO BA Mar 13 2020 190.0 Put 2	03/11/20	03/12/20	-13.	0.			-13.
BA AKP38VG BOEING CO BA Apr 03 2020 115.0 Put 26	04/02/20	04/02/20	6,416.	6,359.	W	51.	108.
BA AKP399C BOEING CO BA Apr 03 2020 120.0 Put 95	04/02/20	04/02/20	21,808.	23,235.	W	1,541.	114.
BA AKRQD48 BOEING CO BA Jun 26 2020 170.0 Put 20	06/26/20	06/26/20	3,467.	3,465.	W	108.	110.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			132,239.	132,884.		4,016.	3,371.

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Department of the Treasury
Internal Revenue Service

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OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

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BABA AKGAKG4 ALIBABA GROUP HOLDING LTD BABA Jul 10 2020 265.0 Call 31	07/09/20	07/09/20	7,889.	10,734.	W	1,919.	-926.
FB AKEMHQW FACEBOOK INC FB May 22 2020 230.0 Call 51	05/20/20	05/20/20	7,699.	7,135.	W	2.	566.
FB AKETII0 FACEBOOK INC FB May 29 2020 240.0 Call 15	05/21/20	05/21/20	6,040.	5,778.	W	142.	404.
FB AKETIIO FACEBOOK INC FB May 29 2020 240.0 Call 17	VARIOUS	05/22/20	2,590.	2,726.	W	438.	302.
FB AKETIIO FACEBOOK INC FB May 29 2020 240.0 Call 8	VARIOUS	05/26/20	3,143.	3,208.	W	77.	12.
FB AKFJJAG FACEBOOK INC FB Jun 19 2020 250.0 Call 5	05/26/20	06/02/20	542.	2,496.	W	1,955.	1.
FB AKFJJAG FACEBOOK INC FB Jun 19 2020 250.0 Call 55	VARIOUS	06/04/20	3,583.	13,957.	W	4,738.	-5,636.
FB AKH7LLS FACEBOOK INC FB Aug 07 2020 280.0 Call 4	08/07/20	08/07/20	971.	959.	W	15.	27.
FB AKRQGL8 FACEBOOK INC FB Jun 26 2020 215.0 Put 20	06/26/20	06/26/20	987.	1,927.	W	473.	-467.
NFLX AKDHX6G NETFLIX INC NFLX Apr 17 2020 430.0 Call 21	04/15/20	04/15/20	14,183.	13,693.	W	374.	864.
NFLX AKDHXKC NETFLIX INC NFLX Apr 17 2020 435.0 Call 12	04/15/20	04/15/20	6,272.	6,968.	W	528.	-168.
NFLX AKGA3XO NETFLIX INC NFLX Jul 10 2020 510.0 Call 14	VARIOUS	07/07/20	7,315.	6,743.	W	538.	1,110.
NFLX AKGA41U NETFLIX INC NFLX Jul 10 2020 525.0 Call 100	07/10/20	07/10/20	46,168.	43,262.	W	80.	2,986.
NFLX AKGA438 NETFLIX INC NFLX Jul 10 2020 530.0 Call 145	07/10/20	07/10/20	57,412.	54,956.	W	1,048.	3,504.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			164,794.	174,542.		12,327.	2,579.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to *www.irs.gov/Form8949* for instructions and the latest information.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
NFLX AKGA460 NETFLIX INC NFLX Jul 10 2020 540.0 Call 155	07/10/20	07/10/20	66,780.	65,652.	W	1,680.	2,808.
NFLX AKGA4BK NETFLIX INC NFLX Jul 10 2020 560.0 Call 420	07/10/20	07/10/20	5,055.	56,237.	W	30,293.	-20,889.
ROKU AKGVC5I ROKU INC ROKU Jul 31 2020 157.5 Call 16	07/31/20	07/31/20	1,227.	1,459.	W	223.	-9.
SHOP AKDO5EG SHOPIFY INC SHOP Apr 24 2020 700.0 Call 2	04/21/20	04/21/20	3,816.	3,998.	W	165.	-17.
SPY AKMVP46 SPDR S&P500 ETF TRUST SPY Jan 31 2020 325.5 Put 12	01/31/20	01/31/20	1,810.	1,888.	W	111.	33.
SQ AKH7CCG SQUARE INC SQ Aug 07 2020 160.0 Call 20	08/05/20	08/05/20	3,627.	3,557.	W	3.	73.
TSLA AKA3YQ0 TESLA INC TSLA Jan 03 2020 450.0 Call 187	01/03/20	01/03/20	29,872.	41,473.	W	3,114.	-8,487.
TSLA AKA3Z3W TESLA INC TSLA Jan 03 2020 455.0 Call 230	01/03/20	01/03/20	24,115.	67,288.	W	18,503.	-24,670.
TSLA AKAV50K TESLA INC TSLA Jan 31 2020 650.0 Call 3	01/30/20	01/30/20	2,783.	2,773.	W	16.	26.
TSLA AKDO5H8 TESLA INC TSLA Apr 24 2020 710.0 Call 18	04/24/20	04/24/20	12,798.	14,199.	W	1,284.	-117.
TSLA AKE8668 TESLA INC TSLA May 08 2020 800.0 Call 5	05/05/20	05/05/20	7,396.	10,407.	W	3,211.	200.
TSLA AKE8668 TESLA INC TSLA May 08 2020 800.0 Call 6	05/06/20	05/06/20	4,084.	11,112.	W	2,640.	-4,388.
TSLA AKEF6K4 TESLA INC TSLA May 15 2020 850.0 Call 2	05/12/20	05/12/20	3,814.	4,019.	W	205.	0.
TSLA AKEF6K4 TESLA INC TSLA May 15 2020 850.0 Call 4	05/13/20	05/13/20	2,867.	2,901.	W	22.	-12.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			170,044.	286,963.		61,470.	-55,449.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to *www.irs.gov/Form8949* for instructions and the latest information.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
TSLA AKQ15SC TESLA INC TSLA May 01 2020 750.0 Put 1	04/28/20	04/28/20	3,654.	3,881.	W	226.	-1.
TSLA AKQ15SC TESLA INC TSLA May 01 2020 750.0 Put 6	05/01/20	05/01/20	5,472.	7,140.	W	302.	-1,366.
TSLA AKRQ7BW TESLA INC TSLA Jun 26 2020 950.0 Put 4	06/24/20	06/24/20	3,747.	4,644.	W	666.	-231.
TSLA AKU4UV4 TESLA INC TSLA Sep 04 2020 400.0 Put 2	09/03/20	09/03/20	1,850.	1,795.	W	33.	88.
TSLA KKFJ7VC TESLA INC TSLA Jun 19 2020 1020.0 Call 5	06/18/20	06/18/20	1,897.	5,485.	W	3,589.	1.
TSLA KKFJ7VC TESLA INC TSLA Jun 19 2020 1020.0 Call 10	06/19/20	06/19/20	4,563.	11,096.	W	3,126.	-3,407.
TSLA KKHSH4O TESLA INC TSLA Aug 28 2020 2220.0 Call 3	08/28/20	08/28/20	5,098.	5,041.	W	150.	207.
TTD AKB7N5C THE TRADE DESK INC TTD Feb 07 2020 300.0 Call 3	02/06/20	02/06/20	503.	1,025.	W	157.	-365.
V7780T103 ROYAL CARIBBEAN GROUP COM 100	03/12/20	03/16/20	3,330.	3,331.	W	1.	0.
V7780T103 ROYAL CARIBBEAN GROUP COM 1500	07/15/20	07/15/20	83,581.	83,714.	W	103.	-30.
ZM AKQ1ASW ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 140.0 Put 50	04/29/20	04/29/20	6,996.	16,655.	W	6,070.	-3,589.
ZM AKQ1BKO ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 150.0 Put 2	04/24/20	04/24/20	1,159.	1,141.	W	1.	19.
ZM AKQ1BKO ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 150.0 Put 27	04/27/20	04/27/20	11,607.	11,701.	W	183.	89.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			133,457.	156,649.		14,607.	-8,585.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to *www.irs.gov/Form8949* for instructions and the latest information.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☒ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS
- ☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
17325E389 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 4000	03/11/20	03/11/20	51,310.	50,672.			638.
17325E389 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 2500	03/12/20	03/12/20	38,935.	38,629.	W	153.	459.
17325E389 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 300	03/19/20	03/19/20	8,921.	8,514.			407.
17325E389 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 2500	VARIOUS	03/20/20	32,424.	36,317.	W	1,161.	-2,732.
22542D258 CREDIT SUISSE NASSAU ETN 35	03/10/20	03/10/20	7,408.	7,384.			24.
22542D258 CREDIT SUISSE NASSAU ETN 200	03/11/20	03/11/20	53,943.	53,698.			245.
22542D258 CREDIT SUISSE NASSAU ETN 2620	03/12/20	03/12/20	979,158.	1,051,067.	W	72,491.	582.
22542D258 CREDIT SUISSE NASSAU ETN 1320	03/13/20	03/13/20	497,287.	541,807.	W	44,623.	103.
22542D258 CREDIT SUISSE NASSAU ETN 80	03/17/20	03/17/20	46,368.	48,844.	W	2,476.	0.
22542D258 CREDIT SUISSE NASSAU ETN 80	03/18/20	03/18/20	60,829.	63,713.	W	2,148.	-736.
22542D258 CREDIT SUISSE NASSAU ETN 60	03/19/20	03/19/20	53,097.	55,475.			-2,378.
22542D258 CREDIT SUISSE NASSAU ETN 10	04/01/20	04/01/20	3,477.	3,815.			-338.
74347W148 PROSHARES TRUST II ULTRA VIX SHORT ETF 200	03/12/20	03/12/20	12,528.	12,491.			37.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			1,845,685.	1,972,426.		123,052.	-3,689.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

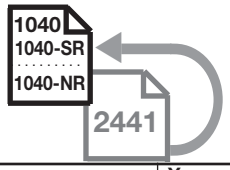
Form **2441**

Department of the Treasury
Internal Revenue Service (99)

Child and Dependent Care Expenses

► **Attach to Form 1040, 1040-SR, or 1040-NR.**

► **Go to *www.irs.gov/Form2441* for instructions and the latest information.**



OMB No. 1545-0074

2020

Attachment Sequence No. **21**

Name(s) shown on return: sridhar & vasu gutha

Your social security number: 577-47-9214

You cannot claim a credit for child and dependent care expenses if your filing status is married filing separately unless you meet the requirements listed in the instructions under "Married Persons Filing Separately." If you meet these requirements, check this box. ☐

Part I Persons or Organizations Who Provided the Care—You **must** complete this part.

(If you have more than two care providers, see the instructions.)

1 (a) Care provider's name	(b) Address (number, street, apt. no., city, state, and ZIP code)	(c) Identifying number (SSN or EIN)	(d) Amount paid (see instructions)
westgate child center	1613 greatfalls st mclean VA 22101	23-7336415	2,920.

Did you receive **dependent care benefits?**

- **No** → Complete only Part II below.
- **Yes** → Complete Part III on the back next.

Caution: If the care was provided in your home, you may owe employment taxes. For details, see the instructions for Schedule 2 (Form 1040), line 7a.

Part II Credit for Child and Dependent Care Expenses

2 Information about your **qualifying person(s).** If you have more than two qualifying persons, see the instructions.

(a) Qualifying person's name First	Last	(b) Qualifying person's social security number	(c) **Qualified expenses** you incurred and paid in 2020 for the person listed in column (a)
pranavi	gutha	857-98-7005	1,315.

3	Add the amounts in column (c) of line 2. **Don't** enter more than $3,000 for one qualifying person or $6,000 for two or more persons. If you completed Part III, enter the amount from line 31	**3**	915.
4	Enter your **earned income.** See instructions	**4**	84,884.
5	If married filing jointly, enter your spouse's earned income (if you or your spouse was a student or was disabled, see the instructions); **all others**, enter the amount from line 4	**5**	83,193.
6	Enter the **smallest** of line 3, 4, or 5	**6**	915.
7	Enter the amount from Form 1040, 1040-SR, or 1040-NR, line 11 — **7** 164,788.		
8	Enter on line 8 the decimal amount shown below that applies to the amount on line 7.	**8**	X .20

If line 7 is: Over	But not over	Decimal amount is	If line 7 is: Over	But not over	Decimal amount is
$0	15,000	.35	$29,000	31,000	.27
15,000	17,000	.34	31,000	33,000	.26
17,000	19,000	.33	33,000	35,000	.25
19,000	21,000	.32	35,000	37,000	.24
21,000	23,000	.31	37,000	39,000	.23
23,000	25,000	.30	39,000	41,000	.22
25,000	27,000	.29	41,000	43,000	.21
27,000	29,000	.28	43,000	No limit	.20

9	Multiply line 6 by the decimal amount on line 8. If you paid 2019 expenses in 2020, see the instructions	**9**	183.
10	Tax liability limit. Enter the amount from the Credit Limit Worksheet in the instructions — **10** 22,377.		
11	**Credit for child and dependent care expenses.** Enter the **smaller** of line 9 or line 10 here and on Schedule 3 (Form 1040), line 2	**11**	183.

Part III Dependent Care Benefits

12	Enter the total amount of **dependent care benefits** you received in 2020. Amounts you received as an employee should be shown in box 10 of your Form(s) W-2. **Don't** include amounts reported as wages in box 1 of Form(s) W-2. If you were self-employed or a partner, include amounts you received under a dependent care assistance program from your sole proprietorship or partnership.			12	2,185.
13	Enter the amount, if any, you carried over from 2019 and used in 2020 during the grace period. See instructions			13	0.
14	Enter the amount, if any, you forfeited or carried forward to 2021. See instructions			14	(100.)
15	Combine lines 12 through 14. See instructions			15	2,085.
16	Enter the total amount of **qualified expenses** incurred in 2020 for the care of the **qualifying person(s)**	16	3,400.		
17	Enter the **smaller** of line 15 or 16	17	2,085.		
18	Enter your **earned income.** See instructions	18	84,884.		
19	Enter the amount shown below that applies to you. • If married filing jointly, enter your spouse's earned income (if you or your spouse was a student or was disabled, see the instructions for line 5). • If married filing separately, see instructions. • All others, enter the amount from line 18.	19	83,193.		
20	Enter the **smallest** of line 17, 18, or 19	20	2,085.		
21	Enter $5,000 ($2,500 if married filing separately **and** you were required to enter your spouse's earned income on line 19)	21	5,000.		
22	Is any amount on line 12 from your sole proprietorship or partnership? ☒ **No.** Enter -0-. ☐ **Yes.** Enter the amount here			22	0.
23	Subtract line 22 from line 15	23	2,085.		
24	**Deductible benefits.** Enter the **smallest** of line 20, 21, or 22. Also, include this amount on the appropriate line(s) of your return. See instructions			24	0.
25	**Excluded benefits.** If you checked "No" on line 22, enter the smaller of line 20 or 21. Otherwise, subtract line 24 from the smaller of line 20 or line 21. If zero or less, enter -0-			25	2,085.
26	**Taxable benefits.** Subtract line 25 from line 23. If zero or less, enter -0-. Also, include this amount on Form 1040 or 1040-SR, line 1; or Form 1040-NR, line 1a. On the dotted line next to Form 1040 or 1040-SR, line 1; or Form 1040-NR, line 1a, enter "DCB"			26	0.

To claim the child and dependent care credit, complete lines 27 through 31 below.

27	Enter $3,000 ($6,000 if two or more qualifying persons)	27	3,000.
28	Add lines 24 and 25	28	2,085.
29	Subtract line 28 from line 27. If zero or less, **stop.** You can't take the credit. **Exception.** If you paid 2019 expenses in 2020, see the instructions for line 9	29	915.
30	Complete line 2 on the front of this form. **Don't** include in column (c) any benefits shown on line 28 above. Then, add the amounts in column (c) and enter the total here	30	1,315.
31	Enter the **smaller** of line 29 or 30. Also, enter this amount on line 3 on the front of this form and complete lines 4 through 11	31	915.

Form **4952**

Department of the Treasury
Internal Revenue Service (99)

Investment Interest Expense Deduction

► Go to *www.irs.gov/Form4952* for the latest information.

► Attach to your tax return.

OMB No. 1545-0191

2020

Attachment Sequence No. **51**

Name(s) shown on return: sridhar & vasu gutha

Identifying number: 577-47-9214

Part I Total Investment Interest Expense

1	Investment interest expense paid or accrued in 2020 (see instructions)	1	450.
2	Disallowed investment interest expense from 2019 Form 4952, line 7	2	499.
3	**Total investment interest expense.** Add lines 1 and 2	3	949.

Part II Net Investment Income

4a	Gross income from property held for investment (excluding any net gain from the disposition of property held for investment)	4a	1.		
b	Qualified dividends included on line 4a	4b			
c	Subtract line 4b from line 4a			4c	1.
d	Net gain from the disposition of property held for investment	4d			
e	Enter the **smaller** of line 4d or your net capital gain from the disposition of property held for investment. See instructions	4e			
f	Subtract line 4e from line 4d			4f	0.
g	Enter the amount from lines 4b and 4e that you elect to include in investment income. See instructions			4g	
h	Investment income. Add lines 4c, 4f, and 4g			4h	1.
5	Investment expenses (see instructions)			5	450.
6	**Net investment income.** Subtract line 5 from line 4h. If zero or less, enter -0-			6	0.

Part III Investment Interest Expense Deduction

7	Disallowed investment interest expense to be carried forward to 2021. Subtract line 6 from line 3. If zero or less, enter -0-	7	949.
8	**Investment interest expense deduction.** Enter the **smaller** of line 3 or line 6. See instructions	8	0.

SCHEDULE D AMT

Capital Gains and Losses as Refigured for the Alternative Minimum Tax

► Keep for your records

2020

Name(s) shown on return: sridhar & vasu gutha

Your social security number: 577-47-9214

Part I Short-Term Capital Gains and Losses – Assets Held One Year or Less

QuickZoom to Form(s) 8949, Short-Term Gain (Loss) Transactions . . . . . ►
QuickZoom to Schedule D, Short-Term Gain (Loss) Regular Tax Totals . . . . . ►

Short-Term Totals:	Sales Price	Adjstd Basis	Gn(Ls) Adjstmt	Gain (Loss)
1 a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank	2,089,393.	2,124,567.		-35,174.
b Short-term totals from all Forms 8949 with box A checked	24,314,547.	25,254,404.	862,165.	-77,692.
2 Short-term totals from all Forms 8949 with box B checked	1,845,685.	1,972,426.	123,052.	-3,689.
3 Short-term totals from all Forms 8949 with box C checked				

4	Short-term gain from Form 6252 and short-term gain or (loss) from Forms 4684, 6781, and 8824: **A** Form(s) 6252, Installment Sale Income . . . . . _____ **B** Form(s) 4684, Casualties and Thefts . . . . . _____ **C** Form(s) 6781, Gains and Losses from Section 1256 Contracts and Straddles . . . . . _____ **D** Form(s) 8824, Like-Kind Exchanges . . . . . _____ **E** Sale of Incentive Stock Option stock (not entered elsewhere) . . . . . _____ **F** Other . . . . . _____ Total	**4**	
5	Net short-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1	**5**	
6	Short-term capital loss carryover. Enter the amount, if any, from line 10 of your **Capital Loss Carryover Worksheet**	**6**	-124,281.
7	**Net short-term capital gain or (loss).** Combine lines 1 thru 6 in column (f) . . . ►	**7**	-240,836.

Part II Long-Term Capital Gains and Losses – Assets Held More Than One Year

QuickZoom to Form(s) 8949, Long-Term Gain (Loss) Transactions . . . . . ►
QuickZoom to Schedule D, Long-Term Gain (Loss) Regular Tax Totals . . . . . ►

Long-Term Totals:	Sales Price	Adjstd Basis	Gn(Ls) Adjstmt	Gain (Loss)
8 a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank				
b Long-term totals from all Forms 8949 with box D checked				
9 Long-term totals from all Forms 8949 with box E checked				
10 Long-term totals from all Forms 8949 with box F checked				

11	Gain from Form 4797, Part I; long-term gain from Forms 2439 and 6252; and long-term gain or (loss) from Forms 4684, 6781, and 8824: **A** Form 4797, Sales of Business Property (Gain from Pt I) **B** Form(s) 6252, Installment Sale Income **C** Form(s) 4684, Casualties and Thefts **D** Form(s) 6781, Gains and Losses from Section 1256 Contracts and Straddles **E** Form(s) 8824, Like-Kind Exchanges **F** Form(s) 2439, Notice to Shareholder of Undistributed Long-Term Capital Gains **G** Sale of Incentive Stock Option stock (not entered elsewhere) **H** Other Total	**11**	
12	Net long-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1	**12**	
13	Capital gain distributions	**13**	
14	Long-term capital loss carryover. Enter the amount, if any, from line 15 of your **Capital Loss Carryover Worksheet**	**14**	-20,611.
15	**Net long-term capital gain or (loss).** Combine lines 8 thru 14 in column (f) ►	**15**	-20,611.

Part III Summary

16	Combine lines 7 and 15 and enter the result If line 16 is: • A **gain,** enter the amount on line 22 below. Then go to line 17 below. • A **loss,** skip lines 17 through 20 below. Then go to line 21. • **Zero,** skip lines 17 through 21 below and enter -0- on line 22 below.	**16**	-261,447.
17	Are lines 15 and 16 **both** gains? ☐ **Yes.** Go to line 18. ☐ **No.** Skip lines 18 through 21, and go to line 22.		
18	Enter the amount, if any, from line 7 of the **28% Rate Gain Worksheet**	**18**	0.
19	Enter the amount, if any, from line 18 of the **Unrecaptured Section 1250 Gain Worksheet**	**19**	
20	Are lines 18 and 19 **both** zero or blank? ☐ **Yes.** ☐ **No.**		
21	If line 16 is a loss, enter here and on line 22 below, the **smaller** of: • The loss on line 16, or • ($3,000), or, if married filing separately, ($1,500) **Note:** When figuring which amount is smaller, treat both amounts as positive numbers.	**21**	-3,000.
22	Do you have qualified dividends on Form 1040, line 9b? ☐ **Yes.** ☐ **No.**		
	Capital gain (loss) as refigured for the Alternative Minimum Tax. If line 16 is a gain or zero, enter line 16. If line 16 is a loss, enter line 21. ► -3,000.		

QuickZoom to the **Qualified Dividends and Capital Gain Tax Worksheet** ►
QuickZoom to the **Schedule D Tax Worksheet** ►
QuickZoom to the **Unrecaptured Section 1250 Gain Worksheet** ►
QuickZoom to the **28% Rate Gain Gain Worksheet** ►
QuickZoom to the **Capital Loss Carryover Worksheet** ►
QuickZoom to **Form 6251, Alternative Minimum Tax** ►

Alternative Minimum Tax

Tax History Report 2020

► Keep for your records

Name(s) Shown on Return
sridhar & vasu gutha

	Five Year Tax History:				
	2016	2017	2018	2019	2020
Filing status	MFJ	MFJ	MFJ	MFJ	MFJ
Total income	-3,000.	-3,000.	133,559.	142,202.	165,078.
Adjustments to income					290.
Adjusted gross income	-3,000.	-3,000.	133,559.	142,202.	164,788.
Tax expense			6,615.	7,137.	8,193.
Interest expense				1.	0.
Contributions					
Misc. deductions					
Other itemized ded'ns					
Total itemized/ standard deduction	12,600.	12,700.	24,000.	24,400.	24,800.
Exemption amount	8,100.	8,100.	0.	0.	0.
QBI deduction					
Taxable income	0.	0.	109,559.	117,802.	139,988.
Tax			15,977.	17,633.	22,377.
Alternative min tax					
Total credits			4,500.	4,507.	4,183.
Other taxes	0.		0.		
Payments			8,831.	10,037.	12,177.
Form 2210 penalty				37.	17.
Amount owed	0.	0.	2,646.	3,126.	6,034.
Applied to next year's estimated tax					
Refund					
Effective tax rate %	0.00	0.00	8.59	9.23	11.04
**Tax bracket %	10.0	10.0	22.0	22.0	22.0

**Tax bracket % is based on Taxable income.

IMPORTANT DISCLOSURES

If you are owed a federal tax refund, you have a right to choose how you will receive the refund. There are several options available to you. Some options cost money and some options are free. Please read about these options below.

You can file your federal tax return electronically or by paper and obtain your federal tax refund *directly* from the Internal Revenue Service ("IRS") *for free.* If you file your tax return electronically, you can receive a refund check directly from the IRS through the U.S. Postal Service in 21 to 28 days from the time you file your tax return or the IRS can deposit your refund directly into your bank account in less than 21 days from the time you file your tax return unless there are delays by the IRS. If you file a paper return through the U.S. Postal Service, you can receive a refund check directly from the IRS through the U.S. Postal Service in 6 to 8 weeks from the time the IRS receives your return or the IRS can deposit your refund directly into your bank account in 6 to 8 weeks from the time the IRS receives your return. However, if your return contains Earned Income Tax Credit or Additional Child Tax Credit, the IRS will issue your refund no earlier than February 15, 2021.

You can file your tax return electronically, select the Refund Processing Service ("RPS") for an additional fee of $40.00 (the "RPS fee"), and have your federal income tax refund processed through a processor using banking services of a financial institution. The RPS allows your refund to be deposited into a bank account intended for one-time use at Green Dot Bank ("Bank") and deducts your TurboTax fees and other fees you authorize from your refund. The balance is delivered to you via the disbursement method you select. If you file your tax return electronically and select the RPS, the IRS will deposit your refund with Bank. Upon Bank's receipt of your refund, Santa Barbara Tax Products Group, LLC, a processor, will deduct and pay from your refund the RPS fee, any fees charged by TurboTax for the preparation and filing of your tax return and any other amounts authorized by you and disburse the balance of your refund proceeds to you. Unless there are delays by the IRS, refunds are received in less than 21 days from the time you file your tax return electronically. However, if your return contains Earned Income Tax Credit or Additional Child Tax Credit, the IRS will issue your refund no earlier than February 15, 2021.

The RPS is not necessary to obtain your refund. If you have an existing bank account, you do not need to use the RPS, which requires the payment of a fee, in order to receive a direct deposit from the IRS. You may consult the IRS website (IRS.gov) for information about tax refund processing.

If you select the RPS, no prior debt you may owe to Bank will be deducted from your refund.

You can change your income tax withholdings which might result in you receiving additional funds throughout the year rather than waiting to receive these funds potentially in an income tax refund next year. Please consult your employer or tax advisor for additional details.

This Agreement requires all disputes to be resolved by way of binding arbitration.
The terms of the arbitration provision appear in Section 11.

Information regarding low-cost deposit accounts may be available at www.mymoney.gov .

The chart below shows the options for filing your tax return (e-file or paper return), the RPS product, refund disbursement options, estimated timing for obtaining your tax refund proceeds, and costs associated with the various options.

WHAT TYPE OF FILING METHOD?	WHAT ARE YOUR DISBURSEMENT OPTIONS?	WHAT IS THE ESTIMATED TIME TO RECEIVE REFUND?	WHAT COSTS DO YOU INCUR IN ADDITION TO TAX PREPARATION FEES?
PAPER RETURN No Refund Processing Service	IRS direct deposit to your personal bank account.	Approximately 6 to 8 weeks [2]	No additional cost.
	Check mailed by IRS to address on tax return.	Approximately 6 to 8 weeks [2]	
ELECTRONIC FILING (E-FILE) No Refund Processing Service	IRS direct deposit to your personal bank account.	Usually within 21 days [2]	No additional cost.
	Check mailed by IRS to address on tax return.	Approximately 21 to 28 days [2]	
ELECTRONIC FILING (E-FILE) Refund Processing Service	(a) Direct deposit to your personal bank account, or (b) Load to your debit card [1].	Usually within 21 days [2]	$40.00 [3]

[1] You may incur additional charges from the issuer of the debit card if you select to have your tax refund loaded on a debit card.

[2] However, if your return contains Earned Income Tax Credit or Additional Child Tax Credit, the IRS will issue your refund no earlier than February 15, 2021.

[3] This fee consists of an RPS Fee, a TurboTax fee and any fees for additional products and services purchased. See Section 4 of the Refund Processing Service Agreement for more details.

FORM 1040 or FORM 1040-SR WORKSHEET

NOTE: Form 1040, 1040-SR and Schedules 1 - 3 are fully calculated.

2020

Use this worksheet to enter all data which will flow to the Form 1040 or Form 1040SR and Schedules 1- 3.
Use these QuickZooms to jump to the entry sections for Schedules 1- 3 on this Worksheet:

Form 1040 or Form 1040SR Worksheet Navigation QuickZooms

QuickZoom to Schedule 1 – Additional Income and Adjustments to Income . . . . . ►
QuickZoom to Schedule 2 – Additional Taxes . . . . . ►
QuickZoom to Schedule 3 – Additional Credits and Payments . . . . . ►

Form 1040 or Form 1040-SR – Personal Info, Filing Status, Dependent Info

For the year January 1 - December 31, 2020, or other tax year
beginning _____, 2020, ending _____, 20 ____.

Your First Name	MI	Last Name	Your Social Security No.
sridhar		gutha	577-47-9214
If Joint Return, Spouse's First Name	**MI**	**Last Name**	**Spouse's Social Security No.**
vasu		gutha	579-51-8158

Home Address (No. and Street). If You Have a P.O. Box, See Instructions. — Apt. No.
24940 Haughton Sq

City, Town or Post Office. If you have a foreign address, also complete below. — State — ZIP Code
Chantilly — VA — 20152-4146

Foreign country name — Foreign province/state/county — Foreign postal code

QuickZoom to explanation statement for overseas extension . . . . . ►

Presidential Election Campaign

Check here if you, or your spouse if filing jointly, want $3 to go to this fund.
Checking a box will not change your tax or refund . . . . . ☐ **You** ☐ **Spouse**

At any time during 2020, did you receive, sell, send, exchange, or otherwise acquire any financial interest in any virtual currency? . . . . . ☐ **Yes** ☒ **No**

Filing Status

Check only one box.
All entries for filing status and dependents should be made on the Federal Information Worksheet.

- ☐ Single
- ☒ Married filing jointly (even if only one had income)
- ☐ Married filing separately. Enter spouse's SSN above and full name here.
- ☐ Head of household (with qualifying person). (See instr.) If the qualifying person is a child but not your dependent, enter the child's name here. . . . . ►
- ☐ Qualifying widow(er) (See instructions)

Dependents

If more than four dependents, see instructions and check here . . . . . ►☐

(1) First name	Last name	(2) Social security number	(3) Relationship to you	(4) ✓ if qualifies for: under age 17 qualifying for child tax credit	(4) ✓ if qualifies for: Credit for other dependents
aarush	gutha	806-98-0027	Son	☒	☐
pranavi	gutha	857-98-7005	Daughter	☒	☐
				☐	☐
				☐	☐

QuickZoom to the Federal Information Worksheet . . . . . .
QuickZoom to the Dependent and Nondependent Information Worksheet . . .

Standard Deduction

☐ Someone can claim you as a dependent
☐ Someone can claim your spouse as a dependent

a Check if: ☐ **You** were born before January 2, 1956, ☐ Blind.
☐ **Spouse** was born before January 2, 1956, ☐ Blind.
Total boxes checked . . . . . ► **a** ____

b If your spouse itemizes on a separate return or you were a dual-status alien, check here . . . . . ► **b** ☐

Form 1040 or Form 1040-SR, Lines 1 - 7

1	Wages, salaries, tips, etc. Attach Form(s) W-2		**1**	168,077.
2 a	**Tax-exempt** interest	**2a**		
b	**Taxable** interest		**2b**	1.
3 a	Qualified dividends	**3a**		
b	Ordinary dividends		**3b**	
4 a	IRA distributions	**4a**		
b	Taxable amount		**4b**	
5 a	Pensions and annuities	**5a**		
b	Taxable amount		**5b**	
6 a	Social security benefits	**6a**		
b	Taxable amount		**6b**	
7	Capital gain or (loss). Attach Schedule D if required. If not required, check here ► ☐		**7**	-3,000.

QuickZoom to Schedule 1 — Additional Income and Adjustments to Income . . . . . ► ____

Form 1040 or Form 1040-SR, Lines 8 - 11

8	Other income from Schedule 1, line 9		**8**	0.
9	Add lines 1, 2b, 3b, 4b, 5b, 6b, 7, and 8. This is your **total income** ►		**9**	165,078.
10	Adjustments to income:			
a	From Schedule 1, line 22	**10 a**		
	Enter the smaller of these cash contributions made or $300 ($150 if married filing separately) on line10b below if you take the standard deduction 290.			
b	Charitable contributions if you take the standard deduction	**10 b**	290.	
c	Add lines 10a and 10b. These are your **total adjustments to income** ►		**10 c**	290.
11	Subtract line 10c from line 9. This is your **adjusted gross income** ►		**11**	164,788.
	AGI including excludable Puerto Rico Income			164,788.

Form 1040 or Form 1040-SR, Line 12 — Standard or Itemized Deduction

12 **Standard deduction or itemized deductions** (from Schedule A)
Standard Deduction for —

- People who checked blind or over 65 **or** who can be claimed as a dependent, see instructions.
- All others:
  - Single or Married filing separately: $12,400
  - Married filing jointly or Qualifying widow(er): $24,800
  - Head of household: $18,650

QuickZoom to the Standard Deduction Worksheet . . . . . ____

Itemized deductions (from Schedule A) **or** your **standard deduction,** see above	**12**	24,800.
Subtract itemized or standard deduction from adjusted gross income amount		139,988.

Form 1040 or Form 1040-SR, Lines 13 - 18

13	Qualified business income deduction. Attach Form 8995 or Form 8995-A	**13**	
14	Add lines 12 and 13	**14**	24,800.
15	**Taxable income.** Subtract line 14 from line 11. If zero or less, enter -0-	**15**	139,988.

16	**Tax.** Check if any from: **1** ☐ Form(s) 8814 **2** ☐ Form 4972 **3** ☐		22,377.
17	Amount from Schedule 2, line 3	**17**	
18	Add lines 16 and 17	**18**	22,377.
	QuickZoom to Schedule 2 **-** Additional Tax section ►		

Form 1040 or Form 1040-SR, Line 19 - 24

19	Child tax credit/credit for other dependents	**19**	4,000.
20	Amount from Schedule 3, line 7	**20**	183.
21	Add lines 19 and 20	**21**	4,183.
22	Subtract line 21 from line 18. If zero or less, enter -0-	**22**	18,194.
23	Other taxes, including self-employment tax, from Schedule 2, line 10	**23**	0.
24	Add lines 22 and 23. This is your **total tax** ►	**24**	18,194.

QuickZoom to Schedule 3 — Additional Credits and Payments ►

Form 1040 or Form 1040-SR, Lines 25 - 33

25	Federal income tax withheld from:				
a	Form(s) W-2	**25 a**	12,177.		
b	Form(s) 1099	**25 b**	0.		
c	Other forms	**25 c**			
d	Add lines 25a through 25c			**25 d**	12,177.
26	2020 estimated tax payments and amount applied from 2019 return			**26**	
27	Other payments and refundable credits: Earned income credit (EIC) No Nontaxable combat pay election				
28	Additional child tax credit. Attach Schedule 8812				
29	American opportunity credit from Form 8863, line 8				
30	Recovery rebate credit				
31	Amount from Schedule 3, line 13				
32	Add lines 27 through 31. **These are your other payments and refundable credits** ►			**32**	
33	Add Lines 25d, 26, and 32. These are your **total payments** ►			**33**	12,177.

QuickZoom to Schedule EIC Worksheet, pg. 2 if credit is not calculated . . . . . ►
QuickZoom to "due diligence checklist" substitute for Form 8867 . . . . . ►
QuickZoom to Schedule 3 – Additional Credits and Payments . . . . . ►

Form 1040 or Form 1040-SR, Lines 34 - 36

Refund:

34 If total Payments is more than total tax, subtract **total tax** from **payments**
This is the amount you **overpaid** **34**

35 a Amount of overpayment you want **refunded to you.**
If Form 8888 is attached, check here . . . . . ► ☐ **35**

Direct deposit?
► **b** Routing number XXXXXXXXX
► **c** Type:
☐ Checking
☐ Savings
► **d** Account number XXXXXXXXXXXXXXXXX

36 Amount of overpayment on line 34 you want **applied to your 2021 estimated tax** . . . . . ► **36**

Form 1040 or Form 1040SR, Lines 37 and 38

Amount You Owe:

37 Subtract total payments from total tax . . . . . ► **37** 6,034.
Note: Schedule H and Schedule E SE filers, line 37 may not represent all of the taxes you owe for 2020. See Schedule 3, line 12e, and its instructions for details.

38 Estimated tax penalty . . . . . ► **38** 17.

QuickZoom to Late Penalties and Interest Worksheet . . . . . ► **QuickZoom** . . ►

Schedule 1 – Additional Income and Adjustments to Income

Part I Additional Income

1 Taxable refunds, credits, or offsets of state and local income taxes . . . . . **1** 0.

Alimony Received Smart Worksheet

	Taxpayer	Spouse	Date of divorce/sep	*
A				☐
B				☐

***** Check the box if the pre-2019 decree was modified after 2018 to treat the payments as nontaxable

2 a Alimony received . . . . Taxpayer _____ Spouse _____ **2 a**
b Date of original divorce or separation agreement . . . . . ►
3 Business income or (loss). Attach Schedule C **3**
4 Other gains or (losses). Attach Form 4797 . . . . . **4**
5 Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E **5**
6 Farm income or (loss). Attach Schedule F **6**
7 Unemployment compensation **7**
8 Other income. List type and amount:
►
8
9 Combine lines 1 through 8.
Enter here and on Form 1040 or 1040-SR, line 8 . . . . . **9** 0.
Total Income. Combine Form 1040 lines 1- 7 and

Schedule 1, line 9 , enter on Form 1040, line 9 . . . . . ► 165,078.

Quickzoom to 1040 Worksheet, line 9 — Total Income . . . . . ► **QuickZoom** . . ►

Part II Adjustments to Income

10	Educator expenses	**10**	
11	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106	**11**	
12	Health savings account deduction. Attach Form 8889	**12**	
13	Moving expenses for members of the Armed Forces. Attach Form 3903	**13**	
14	Deductible part of self-employment tax. Attach Schedule SE	**14**	
15	Self-employed SEP, SIMPLE, and qualified plans	**15**	
16	Self-employed health insurance deduction	**16**	
17	Penalty on early withdrawal of savings	**17**	

Alimony Paid Smart Worksheet

	Recipient's name	Recipient's SSN	Date of divorce/sep	*	Alimony paid
A				☐	
B				☐	

***** Check the box if the pre-2019 decree was modified after 2018 to treat the payments as nondeductible

18 a	Alimony paid	**18 a**	
b	Recipient's SSN ►		
c	Date of original divorce or separation agreement ►		
19	IRA deduction	**19**	
20	Student loan interest deduction	**20**	
21	Tuition and fees deduction. Attach Form 8917	**21**	
22	Add lines 10 through 21 These are your **adjustments to income.** Enter here and on Form 1040 or 1040-SR, line 10a	**22**	

Schedule 2 — Additional Taxes

Part I Tax

1	**Alternative minimum tax** (see instructions). Attach Form 6251	**1**	
2	Excess advance premium tax credit repayment. Attach Form 8962	**2**	
3	Add lines 1 and 2. Enter here and include on Form 1040 or 1040-SR, line 17	**3**	

Part II Other Taxes

4	Self-employment tax. Attach Schedule SE	**4**	
5	Unreported social security and Medicare tax from Form: **a** ☐ 4137 **b** ☐ 8919 Explain underreported tips	**5**	
6	Additional tax on IRAs, other qualified retirement plans, and other tax-favored accounts. Attach Form 5329 if required	**6**	
7 a	Household employment taxes from Schedule H	**7 a**	
b	First-time homebuyer credit repayment. Attach Form 5405 if required	**7 b**	
8	Taxes from: **a** ☐ Form 8959 **b** ☐ Form 8960 **c** ☐ Instructions; enter code(s)	**8**	
9	Section 965 net tax liability installment from Form 965-A	**9**	

10	Add lines 4 through 8. These are your **total other taxes** Enter here and on Form 1040 or 1040-SR, line 23 ►	**10**	0.
	Total tax (add line 10 and Schedule 3, line 7b)		18,194.

Schedule 3 — Additional Credits and Payments

Part I Nonrefundable Credits

1	Foreign tax credit. Attach Form 1116 if required	**1**	
2	Credit for child and dependent care expenses. Attach Form 2441	**2**	183.
3	Education credits from Form 8863, line 19	**3**	
4	Retirement savings contributions credit. Attach Form 8880	**4**	
5	Residential Energy Credit. Attach Form 5695	**5**	
6	Other credits from Form:		
a	☐ 3800		
b	☐ 8801		
c	☐	**6**	
7	Add lines 1 through 6. Enter here and on Form 1040 or 1040-SR, line 20	**7**	183.
a	Add line 7 plus child tax/other dep. credit on line 19 above 4,183.		
b	Subtract total credits on line 7a from tax on lline 18 above 18,194.		

Quickzoom to 1040 Worksheet, line 24 — Total Tax ► **QuickZoom** ►

Part II Other Payments and Refundable Credits

8	Net premium tax credit. Attach Form 8962			**8**	
9	Amount paid with request for extension to file			**9**	
10	Excess social security and tier 1 RRTA tax withheld			**10**	
11	Credit for federal tax on fuels. Attach Form 4136			**11**	
12	Other payments or refundable credits:				
a	Form 2439	**12 a**			
b	Qualified sick and family leave credits from Schedule(s) H and Form(s) 7202	**12 b**			
c	Health coverage tax credit from Form 8885	**12 c**			
d	Other	**12 d**			
e	Deferral for certain Schedule H or SE filers	**12 e**			
f	Add lines 12a through 12e			**12 f**	
13	**Total Payments:** Part II, lines 8 through12f, **Withholding** (Form 1040, line 25d), **Estimated Tax Payments** (Form 1040, line 26) **and** Form 1040, lines 27-30 **Other Payments and Refundable Credits** (Form 1040, line 32) ►			**13**	12,177.

Third Party Designee

Do you want to allow another person to discuss this return with the IRS (see instructions)? ☐ **Yes.** Complete the following. ☒ **No**

Designee's Name ► Phone Number ► Personal Identification Number (PIN) ►

Signature and Paid Preparer

Sign Here
Joint return? See instructions.
Keep a copy of this return for your records.

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and accurately list all amounts and sources of income I received during the year. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Your Signature	Date	Your Occupation software engineer	If the IRS sent you an Identity Protection PIN, enter it here ►
Spouse's Signature. If joint, **both** must sign.	Date	Spouse's Occupation software engineer	►
Daytime Phone No. (571)294-4787		Email Address	

Paid Preparer's Use Only

Print/Type Preparer's name	Preparer's PTIN	Check if: ☐ Self-employed
Preparer's Signature	Date	
Firm's Adress (or yours if self-employed) Self-Prepared	Firm's EIN.	Phone No.
	State	ZIP Code

Filing Address Information

Send Form 1040 to: You have chosen to electronically file this return.

Form 8960
Lines 4b, 5b, 7, 9, 10

Form 8960 Worksheet

2020

Name(s) Shown on Return	Your SSN
sridhar & vasu gutha	577-47-9214

Line 4b - Adjustment for trade or business income or loss

(a) Activity name	(b) Gain or loss

Enter additional adjustments not included above:

Adjustment for trade or business income not subject to net investment tax	

Line 5b - Adjustment for gain or loss on dispositions

(a) Activity name	(b) Gain or loss
Capital loss carryover adjustment from 2019 for net investment tax purposes	

Enter additional adjustments not included above and check the box if a capital gain or loss:

	☐	
	☐	

Net gain or loss from disposition of property not subject to net investment tax	

Capital gain/loss not included in net investment income

(a) Activity name	(b) Capital Gain or Loss

Capital gain or loss from sale of property not subject to net investment income tax	

Calculation of line 5b adjustment due to capital loss carryforward

1	Net capital loss not included in net investment income	1	0.
2	Capital loss carryover to next year	2	258,446.
3	Lesser of line 1 or line 2 (Included as an adjustment on line 5b table above) . . .	3	0.

Line 7 - Other modifications to investment income

1	Casualty and theft losses reported on Schedule A, line 15	1	
2	Amounts reported on Form 8814, line 12	2	
3	Adjustment for distributions from estates and trusts	3	
4	Schedules C and F income/loss included in net investment income	4	
5	Substitute interest and dividend payments	5	
6	Recovery of a prior year deduction	6	
7		7	
8	Total other modifications to investment income	8	

Line 9b - State, local, and foreign income taxes allocable to net investment income

1	State and local income taxes	**1**	
2	Investment income	**2**	
3	Total adjusted gross income	**3**	
4	Divide line 2 by line 3. Enter result as a decimal amount	**4**	
5	State and local income taxes allocable to investment income	**5**	
6	State and local taxes (Schedule A, line 5e)	**6**	
7	Lesser of line 5 or line 6	**7**	
8	Foreign income taxes	**8**	
9	Foreign income taxes allocable to investment income. Line 8 times line 4.	**9**	
10	Add lines 7 and 9. State, local and foreign income taxes allocable to investment income	**10**	

Lines 9 and 10 - Application of Itemized Deduction Limitations Worksheet

Part III - Application of Section 68 to Deductions Properly Allocable to Investment Income

1	Reserved	**1**	
2	Enter the amount of state, local, and foreign income taxes that are properly allocable to investment income	**2**	
3	Enter the amount of other Itemized Deductions subject to the section 68 limitation and properly allocable to investment income before any itemized deduction limitation:		
		3	
4	Enter the total deductions properly allocable to investment income subject to the section 68 limitation. Enter the sum of lines 1 through 3	**4**	
5	Enter the amount of total itemized deductions allowed after the section 68 limitation. Form 1040, line 12	**5**	
6	Enter all other itemized deductions allowed but not subject to the section 68 deduction limitation:	**6**	
7	Subtract line 6 from line 5	**7**	
8	Enter the lesser of line 7 or line 4	**8**	

Part IV - Reconciliation of Schedule A Deductions to Form 8960 plus additional expenses, lines 9 and 10

(A)	(B)	(C)
Reenter the amounts and descriptions from Part III, lines 1-3	Fraction (see Help)	Column A times B
Miscellaneous Itemized Deductions properly allocable to Investment Income reportable on Form 8960, line 9c:		
1 Reserved		
2 State, local, and foreign income taxes	x	=
Itemized Deductions Subject to Section 68 reportable on Form 8960, line 10:		
3	x	=
	x	=
	x	=
	x	=
Penalty on early withdrawal of savings		
Other modifications:		
Total additional modifications to Form 8960, line 10		

Calculation of Former Passive Activity Suspended Losses Allowed as Deduction Against NII

1) Former Passive Activity Suspended Losses

(a) Activity name	(b) Suspended 12/31/2019	(c) Suspended 12/31/2020	(d) Used against activity	(e) Used against other passive

2) Former Passive Activity Suspended Losses - Schedule D

(a) Activity name	(b) Suspended 12/31/2019	(c) Suspended 12/31/2020	(d) Used against activity	(e) Used against other passive

3) Former Passive Activity Suspended Losses - Form 4797

(a) Activity name	(b) Suspended 12/31/2019	(c) Suspended 12/31/2020	(d) Used against activity	(e) Used against other passive

Schedule 1
Line 8

Other Income Statement

2020

Statement L8

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

		(a) Taxpayer	(b) Spouse
1	Child's investment income, from Form 8814		
2	Gambling winnings:		
a	From Form W-2G		
b	Winnings (prizes, etc.) from Form 1099-MISC, box 3		
c	Not reported on Form W-2G or Form 1099-MISC		
3	Taxable income from Forms 1099-MISC or 1099-NEC:		
a	Substitute payments in lieu of interest or dividends		
b	Other income from box 3		
c	Alaska Permanent Fund		
d	Tribal Gaming		
e	Non-Employee Compensation from Form 1099-NEC box 1		
f	Rent from personal property from Form 1099-MISC box 1		
4	Taxable income from Form 1099-Q or 1099-QA:		
a	Qualified tuition program distributions		
b	Coverdell ESA distributions		
c	ABLE account distributions		
5	Taxable income from Form 1099-G:		
a	Grants		
b	RTAA payments		
6	Foreign earned income and housing exclusion, from Form 2555		
7	Net operating loss carryover from a prior year		
8	Other income, from Schedule(s) K-1		
9	Taxable distribution from:		
a	Form 8853:		
	1 Taxable Archer MSA distributions MSA		
	2 Taxable Medicare Advantage distributions Med MSA		
	3 Taxable long term care distributions LTC		
	4 Total Form 8853		
b	Form 8889, Health Savings Accounts		
10	Refunds or reimbursements of deductions claimed in a prior year:		
a	Reimbursement for deducted medical expenses		
b	Refunds of deducted taxes (not state or local income taxes)		
	Type of Tax / State or Local ID		
c	Recapture of deducted moving expenses		
d	Reimbursement for deducted casualty or theft loss		
e	Reimbursement for deducted employee business expenses		
f	Other refunds or reimbursements		
11	Recoveries of bad debts deducted in a prior year		
12	Jury duty pay		
13	Bartering income not reported elsewhere		
14	Income from the rental of personal property		
15	Income from the Cancellation of Debt:		
a	From Form 1099-C:		
	1 Amount of debt canceled from box 2		
	2 Amount of canceled debt excluded from income		
	3 Taxable amount of canceled debt		
b	From Schedule(s) K-1		
16	Taxable income from Form 1099-K:		
a	Payment Card/Third Party Network Transactions		
17	Income from "not for profit" activities (hobbies):		
18	Limitation on business losses (Form 461)		
19	Global intangible low-taxed income (Form 8992)		
20	Section 965 deferred foreign income (Form 965)		

21	Unemployment income and repayment		
a	Union unemployment benefits		
b	Private fund unemployment benefits		
c	State employee unemployment benefits		
d	Repayment of non-government unemployment benefits		
22	Other taxable income:		
23	Income from Community Property:		
a	Positive community property adjustment		
b	Negative community property adjustment (enter as positive)		
24	**Total.** Add lines 1 through 14, 15a(3), 15b, 16 through 23. Enter here and on Schedule 1, line 8 or Form 1040NR, line 21		

Federal Information Worksheet 2020

► Keep for your records

Part I — Personal Information

Information in Part I is **completely calculated** from entries on Personal Information Worksheets.

Taxpayer:

First name . . . . . sridhar
Middle initial . . . . . Suffix . . . . .
Last name . . . . . gutha
Social security no. . . 577-47-9214
Occupation . . . . . software engineer
Date of birth . . . . . 08/10/1978 (mm/dd/yyyy)
Age as of 1-1-2021 . . 42
Daytime phone . . . . (571)294-4787 Ext
Legally blind . . . . . [ ]
Date of death . . . . .

Dependent of Someone Else:

Can taxpayer be claimed as dependent of another person (such as parent)? . . [ ] Yes [X] No
If yes, **was** taxpayer claimed as dependent on that person's return? . . . . . [ ] Yes [X] No

Credit for the Elderly or Disabled (Schedule R):

Is the taxpayer retired on total and permanent disability? . . [ ] Yes [ ] No

Presidential Election Campaign Fund:

Does the taxpayer want $3 to go to the Presidential Election Campaign Fund? . . [ ] Yes [X] No

Spouse:

First name . . . . . vasu
Middle initial . . . . . Suffix . . . . .
Last name . . . . . gutha
Social security no. . . 579-51-8158
Occupation . . . . . software engineer
Date of birth . . . . . 01/01/1981 (mm/dd/yyyy)
Age as of 1-1-2021 . . 40
Daytime phone . . . . Ext
Legally blind . . . . . [ ]
Date of death . . . . .

Dependent of Someone Else:

Can spouse be claimed as dependent of another person (such as parent)? . . [ ] Yes [X] No
If yes, **was** spouse claimed as dependent on that person's return? . . . . . [ ] Yes [X] No

Credit for the Elderly or Disabled (Schedule R):

Is the spouse retired on total and permanent disability? . . [ ] Yes [ ] No

Presidential Election Campaign Fund:

Does the spouse want $3 to go to the Presidential Election Campaign Fund? . . [ ] Yes [X] No

Part II — Address and Federal Filing Status (enter information in this section)

US Address:

Address . . . . . 24940 Haughton Sq Apt no. . .
City . . . . . Chantilly State . . . VA ZIP code . . 20152-4146

Foreign Address: Check this box to use foreign address . . ► [ ]

Address . . . . . Apt no. . .
City . . . . . .
Foreign code . . . Foreign country . . .
Foreign province/county Foreign postal code

APO/FPO/DPO address, check if appropriate . . . . . APO [ ] FPO [ ] DPO [ ]

Home phone . . .
Check to print phone number on Form 1040 . . . [ ] Home [X] Taxpayer daytime [ ] Spouse daytime

Print Form 1040-SR instead of Form 1040 . . . . . [ ] Yes [X] No

Federal filing status:

[ ] **1** Single
[X] **2** Married filing jointly
[ ] **3** Married filing separately
Check this box if you **did not** live with your spouse at any time during the year . . . . . ► [ ]
Check this box if you are eligible to claim your spouse's exemption/blind/over age 65 (see Help) . . . . . ► [ ]
[ ] **4** Head of household
If the 'qualifying person' is your child but **not** your dependent:
Child's First name MI Last Name Suff
Child's social security number . .
[ ] **5** Qualifying widow(er)
Check the appropriate box for the year your spouse died . . . . . 2018 ► [ ] 2019 ► [ ]
Are you a dependent with a qualifying child . . . . . Yes ► [ ] No ► [ ]
Enter qualifying person's name:
Child's First name MI Last Name Suff
Child's social security number . .

Part III — Dependent/Earned Income Credit/Child and Dependent Care Credit Information

Information in Part III is completely calculated from entries on Dependent/Nondependent Info Worksheets.

First name / Last name	MI / Suff	Social security number / Relationship	Date of birth (mm/dd/yyyy) Age	Code	**Not** qual for child tax cr	Date of death (mm/dd/yyyy) Qualified child/dep care exps incurred and paid 2020	EIC	Lived with taxpyr in U.S.	**Not** qual credit other dep Educ Tuitn and Fees	* Dep
aarush gutha		806-98-0027 Son	08/06/2011 9	L			E	12		Yes
pranavi gutha		857-98-7005 Daughter	05/20/2016 4	L		3,400.	E	12		Yes

* "Yes" - qualifies as dependent, "No" - does not qualify as dependent

Part IV — Earned Income Credit Information (you must answer these questions to calculate EIC)

Is the taxpayer or spouse a qualifying child for EIC for another person? ► ☐ Yes ☐ No
Was the taxpayer's (and spouse's if married filing jointly) home in the United States for more than half of 2020? ► ☐ Yes ☐ No
If the SSN of the taxpayer, or spouse if married filing jointly, was obtained to get a federally funded benefit, such as Medicaid, and the Social Security card contains the legend **Not Valid for Employment**, check this box (see Help) ► ☐
Check if you are filing head of household **and** your spouse is a nonresident alien **and** you lived with your spouse during the last six months of 2020 ► ☐
Check if you were notified by the IRS that EIC cannot be claimed in 2020 or if you are ineligible to claim the EIC in 2020 for any other reason ► ☐

Part V — Direct Deposit or Direct Debit Information (not applicable for Form 9465)

Do you want to elect **direct deposit** of any federal tax refund? ► ☐ Yes ☒ No

Do you want to elect **direct debit** of federal balance due (Electronic filing only)? ► ☒ Yes ☐ No

If you selected either of the options above, fill out the information below:
Name of Financial Institution (optional) ► sridhar gutha
Check the appropriate box ► Checking ☒ Savings ☐
Routing number ► 054000030 Account number ► 5559620297

Enter the following information only if you are requesting direct debit of balance due:
Enter the payment date to withdraw from the account above ► 05/17/2021
Balance-due amount from this return ► 6,034.

Amended Returns:
Do you want to elect **direct debit** of federal **amended** balance due (e-File only)? ► ☐ Yes ☐ No
Enter the payment date to withdraw from the account above ►
Balance-due amount from this **amended** return ►

Part VI — Additional Information for Your Federal Return

Standard Deduction/Itemized Deductions:
Check this box if you are itemizing for state tax or other purposes even though your itemized deductions are less than your standard deduction ► ☐
Check this box if you are married filing separately and your spouse itemized deductions ► ☐
Check this box to take the standard deduction even if less than itemized deductions ► ☐

Real Estate Professionals:
Do you or your spouse qualify for the special passive activity rules for taxpayers in real property business? (see Help) ► ☐ Yes ☐ No

Credit for Qualified Retirement Savings Contributions (Form 8880):
Is the taxpayer a full-time student? ► ☐ Yes ☐ No
Is the spouse a full-time student? ► ☐ Yes ☐ No

American Opportunity and Lifetime Learning Credit (Form 8863)
For 2020, were you (or your spouse if married) a nonresident alien for any part of the year, and did not elect to be treated as a resident alien? ► ☐ Yes ☐ No

Foreign Tax Credit (Form 1116):
Check this box to file Form 1116 even if you're not required to file Form 1116 ► ☐
Resident country ► USA

Excludable Income from Am. Samoa, Guam, Commonwealth of the N. Mariana Islands, or Puerto Rico:
Excludable income of bona fide residents of American Samoa, Guam, or the Commonwealth of the Northern Mariana Islands
Excludable income from Puerto Rico

Dual Status Alien Return:
Check this box if you are a dual-status alien ► ☐
Check this box to print 'DUAL-STATUS STATEMENT' on Form 1040 ► ☐

Third Party Designee:
Caution: Review transferred information for accuracy.
Do you want to allow another person to discuss this return with the IRS? ► ☐ Yes ☐ No
If Yes, complete the following:
Third party designee name ►
Third party designee phone number ►
Personal Identification number (enter any 5 numbers) ►

Part VI – Additional Information for Your Federal Return – Continued

Personal Representative for deceased taxpayers:
Name of personal representative required for E-filed returns when Form 1310 is not filed or it is not the surviving spouse . . . . . ►

Part VII – State Filing Information

Identity Protection PIN:
If the IRS sent the taxpayer an Identity Protection PIN, enter it here . . . . . ►
If the IRS sent the spouse an Identity Protection PIN, enter it here . . . . . ►

Taxpayer:
Enter the taxpayer's state of residence as of December 31, 2020 . . . . . ► VA
Check the appropriate box:
Taxpayer is a resident of the state above for the entire year . . . . . ► X
Taxpayer is a resident of the state above for only part of year . . . . . ►
Date the taxpayer established residence in state above . . . . . ►
In which state (or foreign country) did the taxpayer reside before this change? . . . . . ►

Spouse:
Enter the spouse's state of residence as of December 31, 2020 . . . . . ► VA
Check the appropriate box:
Spouse is a resident of the state above for the entire year . . . . . ► X
Spouse is a resident of the state above for only part of year . . . . . ►
Date the spouse established residence in state above . . . . . ►
In which state (or foreign country) did the spouse reside before this change? . . . . . ►

Nonresident states:

Nonresident State(s)	Taxpayer/Spouse/Joint

Check this box if you are in a Registered Domestic Partnership or a civil union . . . . . ►
If you checked the box on the line above, also check the appropriate box below:
Check if this is your individual federal return you are filing with the IRS . . . . . ►
Check if this is the joint return created to file joint state tax return (see Help) . . . . . ►

Use the PIN that you signed last year's tax return with.
Taxpayer's Prior year PIN . . _____
Spouse's Prior year PIN . . . _____

These signature PINs are chosen by the taxpayer and spouse and used for e-filing your tax return
Taxpayer's PIN used to sign the return 92199
Spouse's PIN used to sign the return 22102

Taxpayer:
Drivers license or state ID number A60840270
Issued by what state VA
License or ID license . ►[X] ID . ►[ ] neither . ►[ ] decline . ►[ ]

Spouse
Drivers license or state ID number B66063791
Issued by what state VA
License or ID license . ►[X] ID . ►[ ] neither . ►[ ] decline . ►[ ]

Personal Information Worksheet 2020
For the Taxpayer

► Keep for your records

QuickZoom to another copy of Personal Information Worksheet . . . . . ►
QuickZoom to Federal Information Worksheet . . . . . ►

Part I — Taxpayer's Personal Information

First name . . . sridhar Middle initial . ___ Last name . . gutha
Suffix . . . . . ____
Social security no. . . 577-47-9214 Member of U.S. Armed Forces in 2020? . . [ ] Yes [X] No

Date of birth . . . . . 08/10/1978 (mm/dd/yyyy) age as of 1-1-2021 42

Occupation . . . .software engineer Daytime phone . . . .(571)294-4787 Ext ____

Marital status . . .Married
If widowed, check the appropriate box for the year your spouse died:
After 2020 ►[ ] 2020 . ►[ ] 2019 . ►[ ] 2018 . ►[ ] Before 2018 . ►[ ]

Are you retired on total and permanent disability? (for Schedule R, see Help). . . . . ►[ ] Yes [ ] No
Check if this person is legally blind . . . . . ►[ ] Yes [X] No
If deceased, enter the date of death . . . . . ► (mm/dd/yyyy) _____

Were you under the age of 16 as of 1-1-2021 and this is the first year you are filing a tax return? . . . . . ►[ ] Yes [ ] No

Language in which you want the IRS to communicate with you . . . . . ►_____

Do you want $3 to go to Presidential Election Campaign Fund? . . . . . ►[ ] Yes [X] No

Part II — Questions for Individuals Who Could Be Or Are Dependents of Another Taxpayer

1 **Can** someone (such as your parent) claim you as a dependent? . . . . . ►[ ] Yes [X] No
2 If you answered 'Yes' to question 1, are you actually claimed as a dependent on that person's tax return? . . . . . ►[ ] Yes [X] No
Questions 3 through 5 are only required for individuals who claim the American Opportunity Credit.
3 Were you a full-time student during any part of five months during 2020? . . . . . ►[ ] Yes [ ] No
4 Did your earned income exceed one-half of your support? . . . . . ►[ ] Yes [ ] No
5 Was at least one of your parents alive on December 31, 2020? . . . . . ►[ ] Yes [ ] No

Part III — Taxpayer's State Residency Information

Enter this person's state of residence as of December 31, 2020 . . . . . VA
Check the appropriate box:
This person is a resident of the state above for the entire year . . . . . [X]
This person is a resident of the state above for only part of year . . . . . [ ]
Date this person established residence in state above . . . . . ►_____
In which state (or foreign country) did this person reside before this change? . . . . . ►____

Part IV — Dependent Care Expenses

Qualified dependent care expenses incurred and paid for this person in 2020 . . . . . _____
Unreimbursed medical expenses paid for qualifying person in 2020 . . . . . _____
Employment taxes paid for dependent care providers in 2020 . . . . . _____
Full-time student for 5 calendar months during 2020? . . . . . ►[ ] Yes [ ] No
Disabled person who was not physically or mentally capable of self-care? . . . . . ►[ ] Yes [ ] No
This person is a qualifying person for the child and dependent care credit . . . . . ►[ ] Yes [X] No

Personal Information Worksheet 2020
For the Spouse

► Keep for your records

QuickZoom to another copy of Personal Information Worksheet . . . . . ►
QuickZoom to Federal Information Worksheet . . . . . ►

Part I — Spouse's Personal Information

First name . . . vasu Middle initial . ___ Last name . . gutha
Suffix . . . . . ____

Social security no. . . 579-51-8158 Member of U.S. Armed Forces in 2020? . . [ ] Yes [X] No

Date of birth . . . . . 01/01/1981 (mm/dd/yyyy) age as of 1-1-2021 40

Occupation . . . .software engineer Daytime phone . . . . Ext

Marital status . . .

If widowed, check the appropriate box for the year your spouse died:
After 2020 ►[ ] 2020 . ►[ ] 2019 . ►[ ] 2018 . ►[ ] Before 2018 . ►[ ]

Are you retired on total and permanent disability? (for Schedule R, see Help). . . . . ►[ ] Yes [ ] No
Check if this person is legally blind . . . . . ►[ ] Yes [X] No
If deceased, enter the date of death . . . . . ► (mm/dd/yyyy)

Were you under the age of 16 as of 1-1-2021 and this is the first year you are filing a tax return? . . . . . ►[ ] Yes [ ] No

Language in which you want the IRS to communicate with you . . . . . ►

Do you want $3 to go to Presidential Election Campaign Fund? . . . . . ►[ ] Yes [X] No

Part II — Questions for Individuals Who Could Be Or Are Dependents of Another Taxpayer

1 **Can** someone (such as your parent) claim you as a dependent? . . . . . ►[ ] Yes [X] No
2 If you answered 'Yes' to question 1, are you actually claimed as a dependent on that person's tax return? . . . . . ►[ ] Yes [X] No
Questions 3 through 5 are only required for individuals who claim the American Opportunity Credit.
3 Were you a full-time student during any part of five months during 2020? . . . . . ►[ ] Yes [ ] No
4 Did your earned income exceed one-half of your support? . . . . . ►[ ] Yes [ ] No
5 Was at least one of your parents alive on December 31, 2020? . . . . . ►[ ] Yes [ ] No

Part III — Spouse's State Residency Information

Enter this person's state of residence as of December 31, 2020 . . . . . VA
Check the appropriate box:
This person is a resident of the state above for the entire year . . . . . [X]
This person is a resident of the state above for only part of year . . . . . [ ]
Date this person established residence in state above . . . . . ►
In which state (or foreign country) did this person reside before this change? . . . . . ►

Part IV — Dependent Care Expenses

Qualified dependent care expenses incurred and paid for this person in 2020 . . . . . .
Unreimbursed medical expenses paid for qualifying person in 2020 . . . . . .
Employment taxes paid for dependent care providers in 2020 . . . . . .
Full-time student for 5 calendar months during 2020? . . . . . ►[ ] Yes [ ] No
Disabled person who was not physically or mentally capable of self-care? . . . . . ►[ ] Yes [ ] No
This person is a qualifying person for the child and dependent care credit . . . . . ►[ ] Yes [X] No

Dependent and Nondependent Information Worksheet 2020

► Keep for your records

QuickZoom to another copy of Dependent and Nondependent Information Worksheet . . . . . ►
QuickZoom to Federal Information Worksheet . . . . . ►

Part I – Personal Information

First name . . . aarush Middle initial . ___ Last name . . gutha
Suffix . . . . . ____

Social security no. . . 806-98-0027

Date of birth . . . . . 08/06/2011 (mm/dd/yyyy) age as of 12-31-2020 9
Did this person pass away in 2020 (deceased)? . . ☐ Yes ☐ No Date of death . ____

Relationship to taxpayer or spouse . . . . . Son
CAUTION: If claiming a child other than your own, see **Relationship** in the Tax Help.

NOTE: The ability to set your answers to being the same as last year for the dependent is only available in Step-by-Step mode and not in Forms mode.
Are the answers to the questions below for this person, to determine whether they are your dependent, the same as they were last year? . . . . . ►☐ Yes ☐ No

Dependency code *. L – Your dependent child who lived with you

*Dependency code is set based on your selections in the Dependency Exemption/EIC Smart Worksheet

Dependent is disabled . . . . . ☐

Check this box if: ☐
- The taxpayer filing this return is filing as Qualifying Widow(er)
- This dependency code for this dependent is type X
- This dependent would qualify as a qualifying child for the Qualifying Widow(er) filing status, except the dependent's gross income was $4,300 or more, or was filing a married filing joint return, or the taxpayer could be claimed as a dependent

Part II – Earned Income Credit and Child Tax Credit

Is this person a U.S. citizen, U.S. national, or a U.S. resident?	☒	Yes	☐	No
Is this person a resident of Canada or Mexico?	☐	Yes	☒	No
This person is adopted and you are a U.S. citizen or U.S. national	☐			
TurboTax Web Only:				
Was the adoption final as of December 31, 2020?	☐	Yes	☐	No
Was the person placed with you for adoption after 2020, or was the adoption final in 2020 or later?	☐	Yes	☐	No
The adopted child lived with you all year	☐	Yes	☐	No

*If the child is adopted, you are a U.S. citizen or U.S. national and they lived with you all year, they are considered to meet the citizen test and the U.S. citizen box will automatically be checked yes.

Child is a potentially qualifying child for earned income credit	☒	Yes	☐	No
Child is a nondependent, but may qualify for earned income credit	☐	Yes	☐	No
You, and no one else, is claiming this nondependent for the earned income credit	☐	Yes	☐	No

Months lived with taxpayer in the United States 12

Qualifying for the earned income credit * . E – Qualifying child

*EIC code is set based on your selections in the Dependency Exemption/EIC Smart Worksheet

Check if Social Security number is **not** valid for employment . . . . . ☐

Check if this person is **not** a qualifying child for the child tax credit . . . . . ☐
Check if this person is **not** a qualifying person for the credit for other dependents . . . . . ☐

Dependent has ITIN . . ►☐

Part III — Dependent Care Expenses

Qualified child or dependent care expenses incurred and paid in 2020				
Unreimbursed medical expenses paid for qualifying person in 2020				
Employment taxes paid for dependent care providers in 2020				
Child or dependent is a qualifying person for the child and dependent care credit	X	Yes		No
Child is a nondependent, but may qualify for the child and dependent care credit		Yes		No

Part V — Dependent's State Residency Information

Enter this person's state of residence as of December 31, 2020 . . . . VA

Check the appropriate box:

This person is a resident of the state above for the entire year . . . . ☐

This person is a resident of the state above for only part of year . . . . ☐

Date this person established residence in state above . . . . ►

In which state (or foreign country) did this person reside before this change? . . . . ►

Part VI — Identity Protection Pin

If the IRS sent an Identity Protection PIN for this dependent, enter it here . . . . .

Dependent and Nondependent Information Worksheet 2020

► Keep for your records

QuickZoom to another copy of Dependent and Nondependent Information Worksheet . . . . . ►
QuickZoom to Federal Information Worksheet . . . . . ►

Part I – Personal Information

First name . . . pranavi Middle initial . ___ Last name . . gutha
Suffix . . . . . ____

Social security no. . . 857-98-7005

Date of birth . . . . . 05/20/2016 (mm/dd/yyyy) age as of 12-31-2020 4
Did this person pass away in 2020 (deceased)? . . ☐ Yes ☐ No Date of death . ____

Relationship to taxpayer or spouse . . . . . Daughter
CAUTION: If claiming a child other than your own, see **Relationship** in the Tax Help.

NOTE: The ability to set your answers to being the same as last year for the dependent is only available in Step-by-Step mode and not in Forms mode.
Are the answers to the questions below for this person, to determine whether they are your dependent, the same as they were last year? . . . . . ►☐ Yes ☐ No

Dependency code *. L – Your dependent child who lived with you

*Dependency code is set based on your selections in the Dependency Exemption/EIC Smart Worksheet

Dependent is disabled . . . . . ☐

Check this box if: ☐
- The taxpayer filing this return is filing as Qualifying Widow(er)
- This dependency code for this dependent is type X
- This dependent would qualify as a qualifying child for the Qualifying Widow(er) filing status, except the dependent's gross income was $4,300 or more, or was filing a married filing joint return, or the taxpayer could be claimed as a dependent

Part II – Earned Income Credit and Child Tax Credit

Is this person a U.S. citizen, U.S. national, or a U.S. resident? . . . . . ☒ Yes ☐ No
Is this person a resident of Canada or Mexico? . . . . . ☐ Yes ☒ No

This person is adopted and you are a U.S. citizen or U.S. national . . . . . ☐
TurboTax Web Only:
Was the adoption final as of December 31, 2020? . . . . . ☐ Yes ☐ No
Was the person placed with you for adoption after 2020, or was the adoption final in 2020 or later? . . . . . ☐ Yes ☐ No
The adopted child lived with you all year . . . . . ☐ Yes ☐ No
*If the child is adopted, you are a U.S. citizen or U.S. national and they lived with you all year, they are considered to meet the citizen test and the U.S. citizen box will automatically be checked yes.

Child is a potentially qualifying child for earned income credit . . . . . ☒ Yes ☐ No
Child is a nondependent, but may qualify for earned income credit . . . . . ☐ Yes ☐ No
You, and no one else, is claiming this nondependent for the earned income credit . . . . . ☐ Yes ☐ No

Months lived with taxpayer in the United States 12

Qualifying for the earned income credit * . E – Qualifying child

*EIC code is set based on your selections in the Dependency Exemption/EIC Smart Worksheet

Check if Social Security number is **not** valid for employment . . . . . ☐

Check if this person is **not** a qualifying child for the child tax credit . . . . . ☐
Check if this person is **not** a qualifying person for the credit for other dependents . . . . . ☐

Dependent has ITIN . . ►☐

Part III — Dependent Care Expenses

Qualified child or dependent care expenses incurred and paid in 2020 . . . . . 3,400.
Unreimbursed medical expenses paid for qualifying person in 2020 . . . . .
Employment taxes paid for dependent care providers in 2020 . . . . .
Child or dependent is a qualifying person for the child and dependent care credit . . . . . [X] Yes [ ] No
Child is a nondependent, but may qualify for the child and dependent care credit . . . . . [ ] Yes [ ] No

Part V — Dependent's State Residency Information

Enter this person's state of residence as of December 31, 2020 . . . . . VA
Check the appropriate box:
This person is a resident of the state above for the entire year . . . . . [ ]
This person is a resident of the state above for only part of year . . . . . [ ]
Date this person established residence in state above . . . . . ►
In which state (or foreign country) did this person reside before this change? . . . . . ►

Part VI — Identity Protection Pin

If the IRS sent an Identity Protection PIN for this dependent, enter it here . . . . .

Form 1040 **Forms W-2 & W-2G Summary** **2020**

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Form W-2 Summary

Box No.	Description	Taxpayer	Spouse	Total
1	Total wages, tips and compensation:			
	Non-statutory & statutory wages not on Sch C	84,884.	83,193.	168,077.
	Statutory wages reported on Schedule C			
	Foreign wages included in total wages			
	Unreported tips	0.	0.	0.
2	Total federal tax withheld	5,701.	6,476.	12,177.
3 & 7	Total social security wages/tips	104,384.	102,693.	207,077.
4	Total social security tax withheld	6,472.	6,367.	12,839.
5	Total Medicare wages and tips	104,384.	102,693.	207,077.
6	Total Medicare tax withheld	1,514.	1,489.	3,003.
8	Total allocated tips			
9	Not used			
10 a	Total dependent care benefits		2,185.	2,185.
b	Offsite dependent care benefits		2,185.	2,185.
c	Onsite dependent care benefits			
11	Total distributions from nonqualified plans			
12 a	Total from Box 12	19,500.	37,998.	57,498.
b	Elective deferrals to qualified plans	19,500.	19,500.	39,000.
c	Roth contrib. to 401(k), 403(b), 457(b) plans			
d	Deferrals to government 457 plans			
e	Deferrals to non-government 457 plans			
f	Deferrals 409A nonqual deferred comp plan			
g	Income 409A nonqual deferred comp plan			
h	Uncollected Medicare tax			
i	Uncollected social security and RRTA tier 1			
j	Uncollected RRTA tier 2			
k	Income from nonstatutory stock options			
l	Non-taxable combat pay			
m	QSEHRA benefits			
n	Total other items from box 12		18,498.	18,498.
14 a	Total deductible mandatory state tax			
b	Total deductible charitable contributions			
c	This line does not apply to TurboTax			
d	Total RR Compensation			
e	Total RR Tier 1 tax			
f	Total RR Tier 2 tax			
g	Total RR Medicare tax			
h	Total RR Additional Medicare tax			
i	Total RRTA tips			
j	Total other items from box 14			
k	Total sick leave subject to $511 limit			
l	Total sick leave subject to $200 limit			
m	Total emergency family leave wages			
16	Total state wages and tips	84,884.	83,193.	168,077.
17	Total state tax withheld	4,068.	4,125.	8,193.
19	Total local tax withheld			

Form **W-2** | **Wage and Tax Statement** ► Keep for your records | **2020**

Name: sridhar gutha | Social Security Number: 577-47-9214

☐ **Spouse's W-2**
Do not transfer this W-2 to next year

Military: Complete **Part VI** on Page 2 below.

a Employee's social security no. . 577-47-9214
b Employer ID number (EIN). . . 22-3703452
c Employer's name, address, and ZIP code
3I INFOTECH INC
Street 450 RARITAN CENTER PARKWAY
City EDISON
State NJ ZIP Code 08837
Foreign Province
Foreign Postal Code
Foreign Country

d Control number . 0940-Y418P417 0000800332-CONSUL

☒ **Transfer employee information from the Federal Information Worksheet**

e Employee's name
First sridhar M.I. REDDY
Last gutha Suff.

f Employee's address and ZIP code
Street 1921 KENNEDY DR APT 104
City MCLEAN
State VA ZIP Code 22102
Foreign Province
Foreign Postal Code
Foreign Country

1 Wages, tips, other compensation: 84,883.55	**2** Federal income tax withheld: 5,700.93	
3 Social security wages: 104,383.55	**4** Social security tax withheld: 6,471.78	
5 Medicare wages and tips: 104,383.55	**6** Medicare tax withheld: 1,513.56	
7 Social security tips	**8** Allocated tips	
▶ *Enter unreported tips in Part VII on Page 2 below.*		
9	**10** Dependent care benefits	
11 Nonqualified plans	Distributions from sect. 457 and nonqualified plans *(Important, see Help)*	
12 Enter box 12 below		

13 ☐ Statutory employee ☒ Retirement plan ☐ Third-party sick pay

14 Enter box 14 below **after** entering boxes 18, 19, and 20.
NOTE: Enter box 15 **before** entering box 14.

Box 12 Code	**Box 12** Amount
D	19,500.00

If Box 12 code is:
A: Enter amount attributable to RRTA Tier 2 tax .
M: Enter amount attributable to RRTA Tier 2 tax .
P: Double-click to link to Form 3903, line 4 . . .
R: Enter MSA contribution for Taxpayer . . . . Spouse . . . . .
W: Enter HSA contribution for Taxpayer . . . . Spouse . . . . .
G: ☐ Employer is **not** a state or local government

Box 15 State	**Box 15** Employer's state I.D. number	**Box 16** State wages, tips, etc.	**Box 17** State income tax
VA	30223703452F001	84,883.55	4,067.59

I confirm that the state withholding identification number(s) are accurate . . . . . ☐

Box 20 Locality name	**Box 18** Local wages, tips, etc.	**Box 19** Local income tax	Associated State

Box 14 Description or Code on Actual Form W-2	Amount	TurboTax Identification of Description or Code (Identify this item by selecting the identification from the drop down list. If not on the list, select "Other".)

Form **W-2**

Wage and Tax Statement

► Keep for your records

2020

Name: vasu gutha

Social Security Number: 579-51-8158

[X] **Spouse's W-2**
Do not transfer this W-2 to next year

Military: Complete **Part VI** on Page 2 below.

a Employee's social security no. . 579-51-8158
b Employer ID number (EIN) . . . 23-2548886
c Employer's name, address, and ZIP code
SABRE SYSTEMS INC

Street 865 EASTON RD SUITE 300
City WARRINGTON
State PA ZIP Code 18976

Foreign Province
Foreign Postal Code
Foreign Country

d Control number . 102851ATLA/YBN

[ ] **Transfer employee information from the Federal Information Worksheet**

e Employee's name
First VASU M.I.
Last GUTHA Suff.

f Employee's address and ZIP code
Street 1921 KENNEDY DR
City MCLEAN
State VA ZIP Code 22102
Foreign Province
Foreign Postal Code
Foreign Country

1 Wages, tips, other compensation	83,192.60
2 Federal income tax withheld	6,476.22
3 Social security wages	102,692.60
4 Social security tax withheld	6,366.94
5 Medicare wages and tips	102,692.60
6 Medicare tax withheld	1,489.04
7 Social security tips	
8 Allocated tips	

► *Enter unreported tips in Part VII on Page 2 below.*

9

10 Dependent care benefits: 2,184.62

11 Nonqualified plans

12 Enter box 12 below

Distributions from sect. 457 and nonqualified plans *(Important, see Help)*

13
[ ] Statutory employee
[X] Retirement plan
[ ] Third-party sick pay

14 Enter box 14 below **after** entering boxes 18, 19, and 20.
NOTE: Enter box 15 **before** entering box 14.

Box 12 Code	**Box 12** Amount
C	181.14
D	19,500.00
DD	18,317.38

If Box 12 code is:
A: Enter amount attributable to RRTA Tier 2 tax .
M: Enter amount attributable to RRTA Tier 2 tax .
P: Double-click to link to Form 3903, line 4 . . .
R: Enter MSA contribution for Taxpayer . . . .
Spouse . . . . .
W: Enter HSA contribution for Taxpayer . . . .
Spouse . . . . .
G: [ ] Employer is **not** a state or local government

Box 15 State	**Box 15** Employer's state I.D. number	**Box 16** State wages, tips, etc.	**Box 17** State income tax
VA	30-232548886F-00	83,192.60	4,124.65

I confirm that the state withholding identification number(s) are accurate . . . . . [ ]

Box 20 Locality name	**Box 18** Local wages, tips, etc.	**Box 19** Local income tax	Associated State

Box 14 Description or Code on Actual Form W-2	Amount	TurboTax Identification of Description or Code (Identify this item by selecting the identification from the drop down list. If not on the list, select "Other".)

Form **W-2** | **Additional Wage and Tax Information** ► Keep for your records | **2020**

Name
vasu gutha
Employer's Name SABRE SYSTEMS INC

579-51-8158 Page **2**

Additional Information

Part I — Foreign Income

1 ☐ The income reported on this W-2 is from a foreign source **and** is eligible to be excluded on Form 2555

Part II — Electronic Filing

Complete if you are filing this return electronically.

2 a ☐ This W-2 is "non-standard" (handwritten, typewritten, altered in any way, or used to e-file based on a completed 4852. See "Substitute Form W-2 Smart Worksheet" below.)

b ☐ This W-2 is a corrected W-2

Part III — Statutory Employees

Complete if box 13 Statutory employee box is checked.

3 Will you be deducting any expenses in connection with this income? . . . . . Yes ☐ No ☐

4 If so, select the copy of Schedule C you want to report this income on (double-click) . . . . . _____

Part IV — Dependent Care Benefits

Complete if box 10 of this W-2 has an entry.

5 Did this employer hire an on-staff care provider or furnish dependent care at your workplace? . . . . . Yes ☐ No ☒

6 Enter any amounts forfeited from a flexible spending account 100.00

Part V — Clergy, Church Employees, Members of Recognized Religious Sects

Complete if this W-2 is for clergy, church employment, or for a member of a recognized religious sect.

Clergy only:

7 a Enter your designated housing or parsonage allowance . . . . . _____

b Enter the smallest of (a) your designated housing or parsonage allowance, (b) amount spent on qualifying housing expenses, or (c) fair rental value . . . . . _____

If no FICA was withheld, check box c, d, e, or f below as appropriate

c ☐ Pay self-employment tax on housing or parsonage allowance only

d ☐ Pay self-employment tax on W-2 income only

e ☐ Pay self-employment tax on both W-2 income and housing allowance

f ☐ Exempt from self-employment tax and have an approved exemption Form 4361

Non-clergy:

If no FICA was withheld, check box a or b below as appropriate

8 a ☐ Pay self-employment tax on this W-2 income

b ☐ Exempt from SE tax and have an approved exemption Form 4029

☐ Social Security and Medicare taxes have been withheld

Part VI — Military

9 a ☐ Active duty military pay

b **Non-taxable** combat pay (From box 12, Code Q) . . . . . _____

Part VII — Unreported Tip Income

10 a Tips $20 or more in a month which were not reported to employer . . . . . _____

b Tips less than $20 in a month which were not required to be reported . . . . . _____

c Value of non-cash tips, such as tickets or passes, not reported to employer . . . . . _____

d Actual amount of allocated tips if different than the amount in box 8 . . . . . _____

e Tips paid out by you through a tip-sharing arrangement . . . . . _____

f ☐ Employer is a federal, state, or local government and tips are only subject to Medicare tax

Part VIII — Inmate in a Penal Institution

11 a Pay from work performed while an inmate or while in a work release or halfway house . . . . . ☐

Part IX — Paid Family Leave

12 a Income from Paid Family Leave . . . . . ☐

Wages, Salaries, & Tips Worksheet 2020

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

The following amounts are included in the total entered on line 1 of Form 1040 or on line 8 of Form 1040NR:

		Taxpayer	Spouse	Total
1	Wages, from Form W-2	84,884.	83,193.	168,077.
2	Miscellaneous income, from Form 8919			
3	Items from Form 1099-R:			
a	Disability before minimum retirement age			
b	Return of contributions			
4	Excess reimbursement, from Form 2106			
5 a	Taxable tips, from Form 4137			
b	Noncash tips			
6	Excess moving expense reimbursement, from Form 3903			
7	Wages earned as a household employee (if less than $2,100 and without a Form W-2)			
8	Items not on Form W-2 or Form 1099-R:			
a	Sick pay or disability payments			
b	Total foreign source income			
c	Check this box if the amount on line 8b is eligible for the foreign exclusion/deduction ►	☐	☐	
d	Ordinary income from employer stock transactions not reported on Form W-2			
9	Other earned income:			
a	Non-gov unemployment received/repaid 2020			
b				
10	**Subtotal. Add lines 1 through 9**	84,884.	83,193.	168,077.
11	Taxable employer-provided dependent care benefits, from Form 2441			
12	Taxable employer-provided adoption benefits less any excluded benefits from Form 8839			
13	Scholarship/fellowship income not on Form W-2			
14	Other non-earned income:			
15	**Total of lines 10 through 14**	84,884.	83,193.	168,077.

Form 1040 or Form 1040-SR Line 19

Child Tax Credit and Credit for Other Dependents Worksheet

► Keep for your records

2020

Name as Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Note:
- To be a qualifying child for the child tax credit, the child must be **under age 17** at the end of 2020 and meet the other requirements listed in the instructions for Form 1040.
- If applicable, first complete Form 2555, Foreign Earned Income and enter any exclusion of income from U.S. Possessions on the Federal Information Worksheet.

Part 1

1	Number of qualifying children under age 17 with the required social security number: 2 X $2,000. Enter the result	**1**	4,000.		
2	Number of other dependents, including qualifying children without the required social security number: 0 X $500. Enter the result	**2**			
3	Add lines 1 and 2			**3**	4,000.
4	Enter the amount from Form 1040 or 1040-SR, line 11	**4**	164,788.		
5	**1040 filers:** enter the total of any — ● Exclusion of income from Puerto Rico, and ● Amounts from Form 2555, lines 45 and 50; Form 2555-EZ, line 18; and Form 4563, line 15. **1040-NR filers:** Enter -0-.	**5**	0.		
6	Add lines 4 and 5. Enter the total	**6**	164,788.		
7	Enter the amount shown below for your filing status. ● Married filing jointly — $400,000 ● All other filing statuses — $200,000	**7**	400,000.		
8	Is the amount on line 6 more than the amount on line 7? [X] **No.** Leave line 8 blank. Enter -0- on line 9. [ ] **Yes.** Subtract line 7 from line 6. If the result is not a multiple of $1,000, increase it to the next multiple of $1,000. For example, increase $425 to $1,000, increase $1,025 to $2,000, etc.	**8**			
9	Multiply the amount on line 8 by 5% (.05). Enter the result			**9**	0.
10	Is the amount on line 3 more than the amount on line 9? [ ] **No. Stop.** You cannot take the child tax credit or credit for other dependents on line 19 of Form 1040, 1040-SR or 1040-NR. You also cannot take the additional child tax credit on line 28 of Form 1040, 1040-SR or 1040-NR. [X] **Yes.** Subtract line 9 from line 3. Enter the result. *Go to Part 2*			**10**	4,000.

Part 2

11	Enter the amount from line 18 of Form 1040 or 1040-SR			**11**	22,377.
12	Add the amounts from — Schedule 3, line 1 Schedule 3, line 2 + 183. Schedule 3, line 3 + Schedule 3, line 4 + Form 5695, line 30 + Form 8910, line 15 + Form 8936, line 23 + Schedule R, line 22 + Enter the total	**12**	183.		
13	Subtract line 12 from line 11			**13**	22,194.
14	Are you claiming any of the following credits? ● Mortgage interest credit, Form 8396 ● Adoption Credit, Form 8839 ● Residential energy efficient property credit, Form 5695, Part I ● District of Columbia first-time homebuyer credit, Form 8859 [X] **No.** Enter -0- [ ] **Yes.** If you are filing Form 2555, enter -0-. Otherwise, Complete the *Line 14 Worksheet* below to figure the amount to enter here.			**14**	0.
15	Subtract line 14 from line 13. Enter the result			**15**	22,194.
16	Is the amount on line 10 of this worksheet more than the amount on line 15? [X] **No.** Enter the amount from line 10 [ ] **Yes.** Enter the amount from line 15. See the **TIP** below.		**This is your child tax credit and credit for other dependents**	**16**	4,000.

Enter this amount on
Form 1040, line 19
Form 1040-SR, line 19
Form 1040-NR, line 19

TIP: You may be able to take the **additional child tax credit** on line 28 of Form 1040, 1040-SR or 1040-NR only if you answered 'Yes' on line 16 and line 1 is more than zero.
- First, complete your Form 1040, 1040-SR or 1040-NR through line 27 (also complete Schedule 3, line 10)
- Then, use Schedule 8812 to figure any additional child tax credit.

Schedule D
Line 19

Unrecaptured Section 1250 Gain Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security Number: 577-47-9214

			Regular Tax	Alternative Minimum Tax
	If you are not reporting a gain on Form 4797, line 7, skip lines 1 through 9 and go to line 10.			
1	If you have a section 1250 property in Part III of Form 4797 for which you made an entry in Part I of Form 4797 (but not Form 6252), enter the **smaller** of line 22 or line 24 of Form 4797 for that property. If you did not have any such property, go to line 4.	**1**		
2	Enter the amount from Form 4797, line 26g, for the property for which you made an entry on line 1	**2**		
3	Subtract line 2 from line 1	**3**		
4	Enter the total unrecaptured section 1250 gain included on lines 26 or 37 of Form(s) 6252 from installment sales of trade or business property held more than one year	**4**		
5	Enter the total of any amounts reported on a Schedule K-1 from a partnership or an S corporation as "unrecaptured section 1250 gain".	**5**		
6	Add lines 3 through 5	**6**		
7	Enter the **smaller** of line 6 or the gain from Form 4797, line 7	**7**		
8	Enter the amount, if any, from Form 4797, line 8	**8**		
9	Subtract line 8 from line 7. If zero or less, enter -0-	**9**		
10	Enter the amount of any gain from sale of an interest in a partnership attributable to unrecaptured section 1250 gain	**10**		
11	Enter the total of any amounts reported to you as "unrecaptured section 1250 gain" from an estate, trust, real estate investment trust or mutual fund			
	a On Form 1099-DIV (Regular / AMT)			
	b On Form 2439 (Regular / AMT)			
	c On Schedule(s) K-1 (Regular / AMT)			
	d On Form 1099-R (Regular / AMT)			
	e From Form 8814 (Regular / AMT)			
	f Other (Regular / AMT)			
	Total	**11**		
12	Enter the total of any unrecaptured section 1250 gain from sales (including installment sales) or other dispositions of section 1250 property held more than 1 year for which you did not make an entry in Part I of Form 4797 for the year of sale	**12**		
13	Add lines 9 through 12	**13**		
14	If you had any section 1202 gain or collectibles gain or (loss), enter the total of lines 1 thru 4 of the **28% Rate Gain Worksheet**. Otherwise, enter -0-	**14**	0.	0.
15	Enter the (loss), if any, from Schedule D, line 7. If Schedule D, line 7, is zero or a gain, enter -0-	**15**	-240,835.	-240,836.
16	Enter your long-term capital loss carryovers from Schedule D, line 14, and Schedule K-1 (Form 1041), line 11, code D	**16**	-20,611.	-20,611.
a	Enter your capital gain excess, if you are filing Form 2555	**a**		0.
17	Combine lines 14 through 16a. If the result is a (loss), enter it as a positive amount. If the result is zero or a gain, enter -0-	**17**	261,446.	261,447.
18	**Unrecaptured section 1250 gain.** Subtract line 17 from line 13. If zero or less, enter -0-. If more than zero, enter the result here and on Schedule D, line 19	**18**		

Schedule D
Line 18

28% Rate Gain Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security Number: 577-47-9214

			Regular Tax	Alternative Minimum Tax
1	Enter the total of all collectibles gain or (loss) from items you reported on Form 8949, Part II	**1**		
2	Enter as a positive number the amount of any section 1202 exclusion you reported in column (g) of Form 8949, Part II, with code "Q" in column (f), that is 50% of the gain, plus 2/3 of any section 1202 exclusion you reported in column (g) of Form 8949, Part II, with code "Q" in column (f), that is 60% of the gain, plus 1/3 of any section 1202 exclusion you reported in column (g) of Form 8949, Part II, with code "Q" in column (f), that is 75% of the gain.			

	50 % Exclusion	60 % Exclusion	75% Exclusion
a Schedule D			
b Form 8814			
c Schedule B			
d Form 6252			
e Form 2439			
f Other			

	Total	**2**		
3	Enter the total of all collectibles gain or (loss) from:			

	Regular	AMT
a Form 4684, line 4 (but only if line 15 is more than zero)		
b Form 6252		
c Form 6781, Part II		
d Form 8824		

	Total	**3**		
4	Enter the total of any collectibles gain reported to you on:			

	Regular	AMT
a Form 1099-DIV, box 2d		
b Form 2439, box 1d		
c Schedule K-1 from a partnership, S corporation, estate, or trust		
d Disposition of interest in partnership or S corporation		
e Other		

	Total	**4**		
5	Enter your long-term capital loss carryovers from Schedule D, line 14, and Schedule K-1 (Form 1041), line 11, code C	**5**		
6	If Schedule D, line 7, is a (loss), enter that (loss) here. Otherwise, enter -0-	**6**		
7	Combine lines 1 through 6. If zero or less, enter -0-. If more than zero, also enter this amount on Schedule D, line 18	**7**		
8	Enter the amount of any capital gain excess	**8**		0.
9	Subtract line 8 from line 7. If zero or less, enter -0-. Enter this amount on Schedule D Tax Worksheet, line 11a	**9**	0.	0.

Form 1040
Line 16

Schedule D Tax Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security Number: 577-47-9214

1	a	Enter your taxable income from Form 1040, line 15			1a	139,988.	
	b	Enter amount on line 2c of your (and spouse's) Foreign Earned Income Tax Wksht			b		
	c	Add lines 1a and 1b					1c 139,988.
2	a	Enter your qualified dividends from Form 1040, line 3a	2a				
	b	Enter any capital gain excess attributable to qualified dividends	b				
	c	Subtract line 2b from line 2a		2c			
3		Amount from Form 4952, line 4g	3				
4	a	Amount from Form 4952, line 4e	4a				
	b	Amount from the dotted line next to Form 4952, line 4e	b				
	c	Line 4b, if applicable, 4a, if not	c				
5		Subtract line 4c from line 3		5	0.		
6		Subtract line 5 from line 2c. If zero or less, enter -0-		6	0.		
7	a	Enter line 15 of Schedule D	7a	-20,611.			
	b	Enter line 16 of Schedule D	b	-261,446.			
	c	Enter the **smaller** of line 7a or line 7b		7c	0.		
8		Enter the **smaller** of line 3 or line 4c		8			
9	a	Subtract line 8 from line 7		9a	0.		
	b	Enter any capital gain excess attributable to capital gains		b			
	c	Subtract line 9b from line 9a		9c	0.		
10		Add lines 6 and 9c				10	0.
11	a	Enter the amount from Schedule D, line 18	11a	0.			
	b	Enter the amount from Schedule D, line 19	b				
	c	Add lines 11a and 11b		11c	0.		
12		Enter the **smaller** of line 9c or line 11c				12	0.
13		Subtract line 12 from line 10					13 0.
14		Subtract line 13 from line 1c. If zero or less, enter -0-					14 139,988.
15		Enter: • $40,000 if single or married filing separately, • $80,000 if married filing jointly or qualifying widow(er), or • $53,600 if head of household.		15	80,000.		
16		Enter the **smaller** of line 1c or line 15				16	80,000.
17		Enter the **smaller** of line 14 or line 16		17	80,000.		
18		Subtr ln 10 from ln 1c. If zero or less, enter -0-		18	139,988.		
19		Enter the **smaller** of line 1c or: • $163,300 if single or married filing sep, • $326,600 if MFJ or qual widow(er), or • $163,300 if head of household.		19	139,988.		
20		Enter the **smaller** of line 14 or line 19		20	139,988.		
21		Enter the **larger** of line 18 or line 20				21	139,988.
22		Subtract line 17 from line 16. This amount is taxed at **0%**				22	0.

If lines 1c and 16 are the same, skip lines 23 through 43 and go to line 44. Otherwise, go to line 23.

23	Enter the **smaller** of line 1c or line 13	23	0.	
24	Enter the amount from line 22 (if line 22 is blank, enter -0-)	24	0.	
25	Subtract line 24 from line 23. If zero or less, enter -0-	25	0.	
26	Enter: • $441,450 if single, • $248,300 if married filing separately, • $496,600 if married filing jointly or qualifying widow(er), or • $469,050 if head of household.	26	496,600.	
27	Enter the smaller of line 1c or line 26	27	139,988.	
28	Add lines 21 and 22	28	139,988.	
29	Subtract line 28 from line 27. If zero or less, enter -0-	29	0.	
30	Enter the **smaller** of line 25 or line 29			30 0.
31	Multiply line 30 by **15%** (0.15)			31 0.
32	Add lines 24 and 30	32	0.	

If lines 1 and 32 are the same, skip lines 33 through 43 and go to line 44. Otherwise, go to line 33

33	Subtract line 32 from line 23			33 0.
34	Multiply line 33 by **20%** (0.20)			34 0.

If Schedule D, line 19, is zero or blank, skip lines 35 through 40 and go to line 41. Otherwise, go to line 35.

35	Enter the **smaller** of line 9c above or Schedule D, line 19	35	
36	Add lines 10 and 21	36	
37	Enter the amount from line 1c above	37	

38	Subtract line 37 from line 36. If zero or less, enter -0-	**38**		
39	Subtract line 38 from line 35. If zero or less, enter -0-	**39**		
40	Multiply line 39 by **25%** (0.25)	**40**		
	If Schedule D, line 18, is zero or blank, skip lines 41 through 43 and go to line 44. Otherwise, go to line 41.			
41	Add lines 21, 22, 30, 33, and 39	**41**		
42	Subtract line 41 from line 1c	**42**		
43	Multiply line 42 by **28%** (0.28)	**43**		
44	Figure the tax on the amount on **line 21**. If the amount on line 21 is less than $100,000, use the Tax Table to figure this tax. If the amount on line 21 is $100,000 or more, use the Tax Computation Worksheet	**44**		22,377.
45	Add lines 31, 34, 40, 43, and 44	**45**		22,377.
46	Figure the tax on the amount on **line 1c**. If the amount on line 1c is less than $100,000, use the Tax Table to figure this tax. If the amount on line 1c is $100,000 or more, use the Tax Computation Worksheet	**46**		22,377.
47	**Tax on all taxable income (including capital gains and qualified dividends).** Enter the **smaller** of line 45 or line 46. Also include this amount on Form 1040, line 16	**47**		22,377.

Form 1040 **Qualified Dividends and Capital Gain Tax Worksheet** **2020**
Line 16 ► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

1	Enter the amount from Form 1040 or 1040-SR, line 15	**1**		
2	Enter the amount from Form 1040 or 1040-SR, line 3a	**2**		
3	Are you filing Schedule D? ☐ **Yes**. Enter the smaller of line 15 or 16 of Schedule D. If either line 15 or 16 is blank or loss, enter -0-	**3**		
	☐ **No**. Enter the amount from Form 1040 or 1040-SR, line 7.			
4	Add lines 2 and 3	**4**		
5	Subtract line 4 from line 1. If zero or less, enter -0-	**5**		
6	Enter: $40,000 if single or married filing separately, $80,000 if married filing jointly or qualifying widow(er), $53,600 if head of household.	**6**		
7	Enter the smaller of line 1 or line 6	**7**		
8	Enter the smaller of line 5 or line 7	**8**		
9	Subtract line 8 from line 7 (this amount taxed at 0%)	**9**		
10	Enter the smaller of line 1 or line 4	**10**		
11	Enter the amount from line 9	**11**		
12	Subtract line 11 from line 10.	**12**		
13	Enter: $441,450 if single, $248,300 if married filing separately, $496,600 if married filing jointly or qualifying widow(er), $469,050 if head of household.	**13**		
14	Enter the smaller of line 1 or line 13	**14**		
15	Add lines 5 and 9	**15**		
16	Subtract line 15 from line 14. If zero or less, enter -0-	**16**		
17	Enter the smaller of line 12 or line 16	**17**		
18	Multiply line 17 by 15**%** (0.15)	**18**		
19	Add lines 9 and 17	**19**		
20	Subtract line 19 from line 10	**20**		
21	Multiply line 20 by 20% (0.20)	**21**		
22	Figure the tax on the amount on line 5. If the amount on line 5 is less than $100,000, use the Tax Table to figure the tax. If the amount on line 5 is $100,000 or more, use the Tax Computation Worksheet	**22**		
23	Add lines 18, 21, and 22	**23**		
24	Figure the tax on the amount on line 1. If the amount on line 1 is less than $100,000, use the Tax Table to figure this tax. If the amount on line 1 is $100,000 or more, use the Tax Computation Worksheet	**24**		
25	**Tax on all taxable income.** Enter the **smaller** of line 23 or line 24 here and on Form 1040 or 1040-SR, line 16.	**25**		

Schedule D
Lines 6 and 14

Capital Loss Carryover Worksheet

2020

Capital Loss Carryover from 2019 to 2020

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

			Regular Tax	Alternative Minimum Tax
1	Enter the amount from your 2019 Form 1040, line 11b. If a loss, enter as a negative amount	**1**	117,802.	142,202.
2	Enter the loss from your 2019 Schedule D, line 21, as a positive amount	**2**	3,000.	3,000.
3	Combine lines 1 and 2. If zero or less, enter -0-	**3**	120,802.	145,202.
4	Enter the **smaller** of line 2 or line 3	**4**	3,000.	3,000.
	If line 7 of your 2019 Schedule D is a loss, go to line 5; otherwise, enter -0- on line 5 and go to line 9.			
5	Enter the loss from your 2019 Schedule D, line 7, as a positive amount	**5**	127,280.	127,281.
6	Enter any gain from your 2019 Schedule D, line 15. If a loss, enter -0-	**6**	0.	0.
7	Add lines 4 and 6	**7**	3,000.	3,000.
8	**Short-term capital loss carryover for 2020.** Subtract line 7 from line 5. If zero or less, enter -0-. If more than zero, also enter on Schedule D, line 6, as a negative amount ►	**8**	124,280.	124,281.
	If line 15 of your 2019 Schedule D is a loss, go to line 9; otherwise, skip lines 9 thru 13.			
9	Enter the loss from your 2019 Schedule D, line 15, as a positive amount	**9**	20,611.	20,611.
10	Enter any gain from your 2019 Schedule D, line 7. If a loss, enter -0-	**10**	0.	0.
11	Subtract line 5 from line 4. If zero or less, enter -0-	**11**	0.	0.
12	Add lines 10 and 11	**12**	0.	0.
13	**Long-term capital loss carryover for 2020.** Subtract line 12 from line 9. If zero or less, enter -0-. If more than zero, also enter on Schedule D, line 14, as a negative amount ►	**13**	20,611.	20,611.

Capital Loss Carryforward Worksheet 2021

Capital Loss Carryforward from 2020 to 2021

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

			Regular Tax	Alternative Minimum Tax
1	Enter the amount from 2020 Form 1040, line 15. If a loss, enter as a negative amount	**1**	139,988.	164,788.
2	Enter the loss from 2020 Schedule D, line 21, as a positive amount	**2**	3,000.	3,000.
3	Combine lines 1 and 2. If zero or less, enter -0-	**3**	142,988.	167,788.
4	Enter the **smaller** of line 2 or line 3	**4**	3,000.	3,000.
	If line 7 of 2020 Schedule D is a loss, go to line 5; otherwise, enter -0- on line 5 and go to line 9			
5	Enter the loss from 2020 Schedule D, line 7, as a positive amount	**5**	240,835.	240,836.
6	Enter any gain from 2020 Schedule D, line 15. If a loss, enter -0-	**6**	0.	0.
7	Add lines 4 and 6	**7**	3,000.	3,000.
8	**Short-term capital loss carryforward to 2021.** Subtract line 7 from line 5. If zero or less, enter -0- ►	**8**	237,835.	237,836.
	If line 15 of Schedule D is a loss, go to line 9; otherwise, skip lines 9 thru 13.			
9	Enter the loss from 2020 Schedule D, line 15, as a positive amount	**9**	20,611.	20,611.
10	Enter any gain from 2020 Schedule D, line 7. If a loss, enter -0-	**10**	0.	0.
11	Subtract line 5 from line 4. If zero or less, enter -0-	**11**	0.	0.
12	Add lines 10 and 11	**12**	0.	0.
13	**Long-term capital loss carryforward to 2021.** Subtract line 12 from line 9. If zero or less, enter -0- ►	**13**	20,611.	20,611.

Form 1099-B Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Name of reporting financial institution ► TD Ameritrade Clearing, Inc.

Acct Number . . . . . ► 423570382 **Reporter's Tax ID** . . . ► 47-0533629

Owner of account . . . . . ►

Transactions were not reported to IRS . . . . . ► ☐

Form 8949 Reporting Exception Transactions

Any transactions that are eligible to be reported directly on Schedule D, bypassing Form 8949, may be summarized here.

	Proceeds	Cost Basis
Box A transactions to report directly on Sch D, Line 1a (short term)		
Box D transactions to report directly on Sch D, Line 8a (long term)		

(Do **not** duplicate any transactions summarized above when making entries in the table below.)

Quick Entry Table

If *you have additional sale info to enter for a sale,* **double-click on any field** *in the table to* **QuickZoom** *to the associated* **Capital Gain (Loss) Adjustments Worksheet**. *(See field help for more details.)*

Sale#	Property Description				
8949 Box	Date Sold	Date Acquired	Sales Price (Proceeds)	Cost or Other Basis	Disallowed Wash Sale
Adjustment Amount	Adjustment Code(s)	Holding Period	Basis Reported to IRS?		Reported on Form 1099B?
1	00165C104 AMC ENTERTAINMENT HOLDINGS INC COM CL A 500		Check here if this summarizes multiple sales. . . . . ►		
A	05/11/20	05/11/20	2,804.93	2,733.10	0.00
		S	Yes X	No	Yes X No
For interview use only	0				X 0.00
2	007903107 ADVANCED MICRO DEVICES INC COM 200		Check here if this summarizes multiple sales. . . . . ►		
A	03/10/20	03/10/20	8,952.32	8,932.13	0.00
		S	Yes X	No	Yes X No
For interview use only	0				X 0.00
3	007903107 ADVANCED MICRO DEVICES INC COM 500		Check here if this summarizes multiple sales. . . . . ►		
A	03/24/20	03/24/20	22,920.46	22,914.85	0.00
		S	Yes X	No	Yes X No
For interview use only	0				X 0.00
	See TQUICK		Check here if this summarizes multiple sales. . . . . ►		
			Yes	No	Yes No
For interview use only					

Sale(s) missing info? ____

Sale Results for Form 8949

#	8949 Box	Description	Sale Proceeds	Cost Basis	Adj. Code(s)	Adjustment Amount	Gain or (Loss)	S/L
1	A	00165C104 AMC ENTERTAINMENT HOLDINGS INC COM CL A 500	2,805.	2,733.	BYPASS8949		72.	S
2	A	007903107 ADVANCED MICRO DEVICES INC COM 200	8,952.	8,932.	BYPASS8949		20.	S
3	A	007903107 ADVANCED MICRO DEVICES INC COM 500	22,920.	22,915.	BYPASS8949		5.	S
4	A	007903107 ADVANCED MICRO DEVICES INC COM 1000	71,609.	71,637.	W	66.	38.	S
5	A	023111206 AMARIN CORP ADR SPONSORED 1000	4,850.	4,815.	BYPASS8949		35.	S
6	A	023135106 AMAZON COM INC COM 20	36,685.	36,624.	BYPASS8949		61.	S
7	A	023135106 AMAZON COM INC COM 2268	3,865,854.	3,910,133.	W	45,654.	1,375.	S
		See RQUICK						

Brokerage Statement (Form 1099-B or substitute) Summary Table

This table shows gains and losses by "8949 Box" (i.e. the Box to be checked on Form 8949) to assist in reconciling the transactions in the Quick Entry Table with the brokerage statement they may have been reported on. Note that individual sales are rounded off to the nearest dollar. As result, the various summarized values below may differ slightly from statement totals.

8949 Box	Proceeds	Cost Basis	Gain/(Loss)	Adjustment Amt	Adj Gain/(Loss)	Code(s)
Box A	26,387,052.	27,360,161.	-973,109.	862,163.	-110,946.	W
Short term sales with cost basis reported to the IRS						
Box B	1,845,684.	1,972,423.	-126,739.	123,052.	-3,687.	W
Short term sales with cost basis NOT reported to the IRS						
Totals	28,232,736.	29,332,584.	-1,099,848.	985,215.	-114,633.	

Total Federal Backup Withholding . . . . . 0.

State ID

State Backup Witholding . . . . . ____ ____

____ ____

____ ____

____ ____

Total State Backup Withholding . . . . . ____

Total Collectible Gain . . . . . .

Qualified Small Business (QSB) gain (Sec 1202)	Total Gain	Excluded Gain	Net Gain
Maximum 50% exclusion gain . . . . . ►			
Maximum 60% exclusion gain . . . . . ►			
Maximum 75% exclusion gain . . . . . ►			
Maximum 100% exclusion gain . . . . . ►			

PDF Attachment

Taxpayer must mail in a statement with more details? . . . . . ☐ Yes ☒ No

If yes, you'll need to submit a copy of your 1099-B to the IRS to provide documentation for some of the transactions on your sales summary.

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 00165C104 AMC ENTERTAINMENT HOLDINGS INC COM CL A 500

Date Sold	05/11/20	**Date Acquired**	05/11/20
Sales Price/Proceeds	2,805.	**Cost Basis**	2,733.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	72.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 007903107 ADVANCED MICRO DEVICES INC COM 200

Date Sold	03/10/20	**Date Acquired**	03/10/20
Sales Price/Proceeds	8,952.	**Cost Basis**	8,932.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	20.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 007903107 ADVANCED MICRO DEVICES INC COM 500

Date Sold	03/24/20	**Date Acquired**	03/24/20
Sales Price/Proceeds	22,920.	**Cost Basis**	22,915.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	5.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 007903107 ADVANCED MICRO DEVICES INC COM 1600

Date Sold	04/01/20	**Date Acquired**	04/01/20
Sales Price/Proceeds	71,609.	**Cost Basis**	71,637.
Adjustment Code(s)	W	**Adjustment Amount**	66.
Gain/(Loss)	38.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 66.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 023111206 AMARIN CORP ADR SPONSORED 1000

Date Sold	04/01/20	**Date Acquired**	04/01/20
Sales Price/Proceeds	4,850.	**Cost Basis**	4,815.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	35.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 023135106 AMAZON COM INC COM 20

Date Sold	03/11/20	**Date Acquired**	03/11/20
Sales Price/Proceeds	36,685.	**Cost Basis**	36,624.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	61.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 023135106 AMAZON COM INC COM 2260

Date Sold	03/12/20	**Date Acquired**	03/12/20
Sales Price/Proceeds	3,865,854.	**Cost Basis**	3,910,133.
Adjustment Code(s)	W	**Adjustment Amount**	45,654.
Gain/(Loss)	1,375.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 45,654.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 023135106 AMAZON COM INC COM 300

Date Sold	03/13/20	**Date Acquired**	03/13/20
Sales Price/Proceeds	524,788.	**Cost Basis**	535,317.
Adjustment Code(s)	W	**Adjustment Amount**	10,529.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 10,529.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss	►
State name		Accrued interest to Sch B	►
State tax withheld		Sec. 1242 loss on Form 4797	►
State identification number		Sec. 1244 loss on Form 4797	►

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 023135106 AMAZON COM INC COM 50

Date Sold	03/16/20	**Date Acquired**	03/12/20
Sales Price/Proceeds	82,798.	**Cost Basis**	82,600.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	198.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 023135106 AMAZON COM INC COM 90

Date Sold	03/17/20	**Date Acquired**	03/17/20
Sales Price/Proceeds	161,573.	**Cost Basis**	165,219.
Adjustment Code(s)	W	**Adjustment Amount**	3,645.
Gain/(Loss)	-1.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 3,645.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 023135106 AMAZON COM INC COM 140

Date Sold	03/18/20	**Date Acquired**	03/18/20
Sales Price/Proceeds	251,069.	**Cost Basis**	256,460.
Adjustment Code(s)	W	**Adjustment Amount**	5,392.
Gain/(Loss)	1.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 5,392.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 023135106 AMAZON COM INC COM 20

Date Sold	03/19/20	**Date Acquired**	03/19/20
Sales Price/Proceeds	37,377.	**Cost Basis**	38,386.
Adjustment Code(s)	W	**Adjustment Amount**	1,009.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1,009.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 023135106 AMAZON COM INC COM 150

Date Sold	03/23/20	**Date Acquired**	03/23/20
Sales Price/Proceeds	281,879.	**Cost Basis**	287,105.
Adjustment Code(s)	W	**Adjustment Amount**	3,325.
Gain/(Loss)	-1,901.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ► _____
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ► _____
Amount of gain excluded . . . . . _____

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ► _____
Amount of gain deferred . . . . . ► _____

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 3,325.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ► _____

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 023135106 AMAZON COM INC COM 20

Date Sold	03/30/20	**Date Acquired**	03/30/20
Sales Price/Proceeds	39,263.	**Cost Basis**	40,075.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-812.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 023135106 AMAZON COM INC COM 20

Date Sold	03/31/20	**Date Acquired**	03/31/20
Sales Price/Proceeds	39,662.	**Cost Basis**	40,701.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-1,039.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 023135106 AMAZON COM INC COM 10

Date Sold	04/01/20	**Date Acquired**	03/30/20
Sales Price/Proceeds	19,587.	**Cost Basis**	19,590.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-3.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 023135106 AMAZON COM INC COM 10

Date Sold	07/09/20	**Date Acquired**	07/09/20
Sales Price/Proceeds	31,488.	**Cost Basis**	31,450.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	38.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 03615A108 ANNOVIS BIO INC COM 100

Date Sold	07/07/20	**Date Acquired**	07/07/20
Sales Price/Proceeds	916.	**Cost Basis**	940.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-24.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 037833100 APPLE INC COM 200

Date Sold	03/11/20	**Date Acquired**	03/11/20
Sales Price/Proceeds	55,332.	**Cost Basis**	55,307.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	25.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 037833100 APPLE INC COM 2500

Date Sold	03/12/20	**Date Acquired**	03/12/20
Sales Price/Proceeds	650,565.	**Cost Basis**	648,481.
Adjustment Code(s)	W	**Adjustment Amount**	1,003.
Gain/(Loss)	3,087.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1,003.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 037833100 APPLE INC COM 3700

Date Sold	03/13/20	**Date Acquired**	03/13/20
Sales Price/Proceeds	970,024.	**Cost Basis**	988,633.
Adjustment Code(s)	W	**Adjustment Amount**	18,608.
Gain/(Loss)	-1.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 18,608.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 037833100 APPLE INC COM 600

Date Sold	03/16/20	**Date Acquired**	03/12/20
Sales Price/Proceeds	143,810.	**Cost Basis**	143,838.
Adjustment Code(s)	W	**Adjustment Amount**	107.
Gain/(Loss)	79.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 107.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 037833100 APPLE INC COM 1200

Date Sold	03/18/20	**Date Acquired**	03/18/20
Sales Price/Proceeds	289,036.	**Cost Basis**	296,258.
Adjustment Code(s)	W	**Adjustment Amount**	7,222.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ► _____
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ► _____
Amount of gain excluded . . . . . _____

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ► _____
Amount of gain deferred . . . . . ► _____

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 7,222.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ► _____

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 037833100 APPLE INC COM 250

Date Sold	03/23/20	**Date Acquired**	03/23/20
Sales Price/Proceeds	55,711.	**Cost Basis**	56,916.
Adjustment Code(s)	W	**Adjustment Amount**	1,205.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1,205.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 037833100 APPLE INC COM 650

Date Sold	03/24/20	**Date Acquired**	03/24/20
Sales Price/Proceeds	156,687.	**Cost Basis**	160,554.
Adjustment Code(s)	W	**Adjustment Amount**	2,102.
Gain/(Loss)	-1,765.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 2,102.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 037833100 APPLE INC COM 200

Date Sold	03/25/20	**Date Acquired**	03/25/20
Sales Price/Proceeds	50,654.	**Cost Basis**	52,606.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-1,952.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**

	Short-Term	Long-Term	Total
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 037833100 APPLE INC COM 200

Date Sold	03/26/20	**Date Acquired**	03/24/20
Sales Price/Proceeds	47,979.	**Cost Basis**	48,048.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-69.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 037833100 APPLE INC COM 100

Date Sold	07/09/20	**Date Acquired**	07/09/20
Sales Price/Proceeds	38,272.	**Cost Basis**	38,241.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	31.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 037833100 APPLE INC COM 100

Date Sold	07/14/20	**Date Acquired**	07/14/20
Sales Price/Proceeds	39,086.	**Cost Basis**	39,060.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	26.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 054748108 AYRO INC COM 1000

Date Sold	07/08/20	**Date Acquired**	07/08/20
Sales Price/Proceeds	6,760.	**Cost Basis**	6,595.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	165.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 060505104 BANK OF AMERICA CORPORATION COM 5000

Date Sold	03/13/20	**Date Acquired**	03/13/20
Sales Price/Proceeds	111,297.	**Cost Basis**	112,246.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-949.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 097023105 BOEING CO COM 4500

Date Sold	03/17/20	**Date Acquired**	VARIOUS
Sales Price/Proceeds	577,208.	**Cost Basis**	575,194.
Adjustment Code(s)	W	**Adjustment Amount**	2,248.
Gain/(Loss)	4,262.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 2,248.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 097023105 BOEING CO COM 5200

Date Sold	03/18/20	**Date Acquired**	03/18/20
Sales Price/Proceeds	539,206.	**Cost Basis**	565,620.
Adjustment Code(s)	W	**Adjustment Amount**	26,414.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 26,414.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 097023105 BOEING CO COM 3500

Date Sold	03/19/20	**Date Acquired**	03/17/20
Sales Price/Proceeds	408,468.	**Cost Basis**	414,066.
Adjustment Code(s)	W	**Adjustment Amount**	6,670.
Gain/(Loss)	1,072.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 6,670.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss	►
State name		Accrued interest to Sch B	►
State tax withheld		Sec. 1242 loss on Form 4797	►
State identification number		Sec. 1244 loss on Form 4797	►

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 097023105 BOEING CO COM 600

Date Sold	03/20/20	**Date Acquired**	03/18/20
Sales Price/Proceeds	57,893.	**Cost Basis**	57,595.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	298.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 097023105 BOEING CO COM 10700

Date Sold	03/23/20	**Date Acquired**	03/23/20
Sales Price/Proceeds	1,122,389.	**Cost Basis**	1,213,354.
Adjustment Code(s)	W	**Adjustment Amount**	90,965.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 90,965.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 097023105 BOEING CO COM 2500

Date Sold	03/24/20	**Date Acquired**	03/24/20
Sales Price/Proceeds	314,492.	**Cost Basis**	333,130.
Adjustment Code(s)	W	**Adjustment Amount**	18,638.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 18,638.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 097023105 BOEING CO COM 31408

Date Sold	03/25/20	**Date Acquired**	03/25/20
Sales Price/Proceeds	5,105,269.	**Cost Basis**	5,428,166.
Adjustment Code(s)	W	**Adjustment Amount**	321,376.
Gain/(Loss)	-1,521.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 321,376.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 097023105 BOEING CO COM 200

Date Sold	03/26/20	**Date Acquired**	03/26/20
Sales Price/Proceeds	35,719.	**Cost Basis**	37,149.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-1,430.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 097023105 BOEING CO COM 300

Date Sold	03/27/20	**Date Acquired**	03/25/20
Sales Price/Proceeds	49,077.	**Cost Basis**	49,101.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-24.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 097023105 BOEING CO COM 300

Date Sold	03/30/20	**Date Acquired**	03/30/20
Sales Price/Proceeds	44,608.	**Cost Basis**	47,348.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-2,740.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 097023105 BOEING CO COM 300

Date Sold	03/31/20	**Date Acquired**	03/31/20
Sales Price/Proceeds	46,810.	**Cost Basis**	51,560.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-4,750.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 13200M508 CAMBER ENERGY INC COM 1000

Date Sold	01/03/20	**Date Acquired**	01/03/20
Sales Price/Proceeds	2,145.	**Cost Basis**	2,520.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-375.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 14040H105 CAPITAL ONE FINANCIAL CORP COM 1000

Date Sold	03/18/20	**Date Acquired**	03/18/20
Sales Price/Proceeds	44,949.	**Cost Basis**	44,852.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	97.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 146875604 CARVER BANCORP INC COM 80

Date Sold	06/17/20	**Date Acquired**	06/17/20
Sales Price/Proceeds	1,488.	**Cost Basis**	1,394.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	94.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 166764100 CHEVRON CORPORATION COM 14350

Date Sold	04/02/20	**Date Acquired**	04/02/20
Sales Price/Proceeds	1,075,269.	**Cost Basis**	1,092,996.
Adjustment Code(s)	W	**Adjustment Amount**	16,391.
Gain/(Loss)	-1,336.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 16,391.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . B **Description** . 17325E309 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 4000

Date Sold	03/11/20	**Date Acquired**	03/11/20
Sales Price/Proceeds	51,310.	**Cost Basis**	50,672.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	638.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . B **Description** . 17325E309 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 2500

Date Sold	03/12/20	**Date Acquired**	03/12/20
Sales Price/Proceeds	38,935.	**Cost Basis**	38,629.
Adjustment Code(s)	W	**Adjustment Amount**	153.
Gain/(Loss)	459.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 153.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . B **Description** . 17325E309 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 300

Date Sold	03/19/20	**Date Acquired**	03/19/20
Sales Price/Proceeds	8,921.	**Cost Basis**	8,514.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	407.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . B **Description** . 17325E309 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 2500

Date Sold	03/20/20	**Date Acquired**	VARIOUS
Sales Price/Proceeds	32,424.	**Cost Basis**	36,317.
Adjustment Code(s)	W	**Adjustment Amount**	1,161.
Gain/(Loss)	-2,732.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1,161.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . B **Description** . 22542D258 CREDIT SUISSE NASSAU ETN 35

Date Sold	03/10/20	**Date Acquired**	03/10/20
Sales Price/Proceeds	7,408.	**Cost Basis**	7,384.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	24.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . B **Description** . 22542D258 CREDIT SUISSE NASSAU ETN 200

Date Sold	03/11/20	**Date Acquired**	03/11/20
Sales Price/Proceeds	53,943.	**Cost Basis**	53,698.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	245.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . B **Description** . 22542D258 CREDIT SUISSE NASSAU ETN 2620

Date Sold	03/12/20	**Date Acquired**	03/12/20
Sales Price/Proceeds	979,158.	**Cost Basis**	1,051,067.
Adjustment Code(s)	W	**Adjustment Amount**	72,491.
Gain/(Loss)	582.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 72,491.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . B **Description** . 22542D258 CREDIT SUISSE NASSAU ETN 1320

Date Sold	03/13/20	**Date Acquired**	03/13/20
Sales Price/Proceeds	497,287.	**Cost Basis**	541,807.
Adjustment Code(s)	W	**Adjustment Amount**	44,623.
Gain/(Loss)	103.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 44,623.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss	►
State name		Accrued interest to Sch B	►
State tax withheld		Sec. 1242 loss on Form 4797	►
State identification number		Sec. 1244 loss on Form 4797	►

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . B **Description** . 22542D258 CREDIT SUISSE NASSAU ETN 80

Date Sold	03/17/20	**Date Acquired**	03/17/20
Sales Price/Proceeds	46,368.	**Cost Basis**	48,844.
Adjustment Code(s)	W	**Adjustment Amount**	2,476.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ► _____
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ► _____
Amount of gain excluded . . . . . _____

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ► _____
Amount of gain deferred . . . . . ► _____

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 2,476.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ► _____

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . B **Description** . 22542D258 CREDIT SUISSE NASSAU ETN 80

Date Sold	03/18/20	**Date Acquired**	03/18/20
Sales Price/Proceeds	60,829.	**Cost Basis**	63,713.
Adjustment Code(s)	W	**Adjustment Amount**	2,148.
Gain/(Loss)	-736.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 2,148.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . B **Description** . 22542D258 CREDIT SUISSE NASSAU ETN 60

Date Sold	03/19/20	**Date Acquired**	03/19/20
Sales Price/Proceeds	53,097.	**Cost Basis**	55,475.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-2,378.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . B **Description** . 22542D258 CREDIT SUISSE NASSAU ETN 10

Date Sold	04/01/20	**Date Acquired**	04/01/20
Sales Price/Proceeds	3,477.	**Cost Basis**	3,815.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-338.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 25459W458 DIREXION SHARES ETF TRUST DLY SCOND 3XBU ETF 120

Date Sold	03/10/20	**Date Acquired**	03/10/20
Sales Price/Proceeds	20,430.	**Cost Basis**	20,308.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	122.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 25459W458 DIREXION SHARES ETF TRUST DLY SCOND 3XBU ETF 100

Date Sold	04/14/20	**Date Acquired**	04/09/20
Sales Price/Proceeds	12,470.	**Cost Basis**	12,279.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	191.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 25460E182 DIREXION SHARES ETF TRUST 1:12 R/S 8/28/20 25460G690 4800

Date Sold	03/12/20	**Date Acquired**	03/12/20
Sales Price/Proceeds	163,689.	**Cost Basis**	163,019.
Adjustment Code(s)	W	**Adjustment Amount**	69.
Gain/(Loss)	739.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 69.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 25460E182 DIREXION SHARES ETF TRUST 1:12 R/S 8/28/20 25460G690 5300

Date Sold	03/13/20	**Date Acquired**	03/13/20
Sales Price/Proceeds	175,898.	**Cost Basis**	189,844.
Adjustment Code(s)	W	**Adjustment Amount**	11,737.
Gain/(Loss)	-2,209.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 11,737.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss	►
State name		Accrued interest to Sch B	►
State tax withheld		Sec. 1242 loss on Form 4797	►
State identification number		Sec. 1244 loss on Form 4797	►

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 25460E182 DIREXION SHARES ETF TRUST 1:12 R/S 8/28/20 25460G690 500

Date Sold	04/01/20	**Date Acquired**	04/01/20
Sales Price/Proceeds	9,475.	**Cost Basis**	11,132.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-1,657.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 100

Date Sold	03/10/20	**Date Acquired**	03/10/20
Sales Price/Proceeds	3,468.	**Cost Basis**	3,535.
Adjustment Code(s)	W	**Adjustment Amount**	67.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 67.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1000

Date Sold	03/11/20	**Date Acquired**	03/11/20
Sales Price/Proceeds	31,818.	**Cost Basis**	31,822.
Adjustment Code(s)	W	**Adjustment Amount**	61.
Gain/(Loss)	57.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 61.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 2000

Date Sold	03/17/20	**Date Acquired**	03/17/20
Sales Price/Proceeds	31,404.	**Cost Basis**	31,585.
Adjustment Code(s)	W	**Adjustment Amount**	257.
Gain/(Loss)	76.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 257.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1500

Date Sold	03/18/20	**Date Acquired**	03/18/20
Sales Price/Proceeds	21,071.	**Cost Basis**	21,355.
Adjustment Code(s)	W	**Adjustment Amount**	319.
Gain/(Loss)	35.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 319.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1000

Date Sold	03/19/20	**Date Acquired**	03/19/20
Sales Price/Proceeds	17,200.	**Cost Basis**	17,421.
Adjustment Code(s)	W	**Adjustment Amount**	108.
Gain/(Loss)	-113.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 108.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 300

Date Sold	03/26/20	**Date Acquired**	03/26/20
Sales Price/Proceeds	6,858.	**Cost Basis**	7,128.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-270.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 25614T309 DOCUMENT SECURITIE COM 1500

Date Sold	07/09/20	**Date Acquired**	07/09/20
Sales Price/Proceeds	11,745.	**Cost Basis**	12,164.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-419.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 256163106 DOCUSIGN INC COM 100

Date Sold	07/09/20	**Date Acquired**	07/09/20
Sales Price/Proceeds	21,287.	**Cost Basis**	21,227.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	60.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 25754A201 DOMINOS PIZZA INC COM 30

Date Sold	03/23/20	**Date Acquired**	03/23/20
Sales Price/Proceeds	9,510.	**Cost Basis**	9,500.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	10.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 30234E104 EYENOVIA INC COM 400

Date Sold	08/11/20	**Date Acquired**	08/11/20
Sales Price/Proceeds	2,300.	**Cost Basis**	2,687.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-387.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 45782T105 INMUNE BIO INC COM 2000

Date Sold	07/14/20	**Date Acquired**	07/14/20
Sales Price/Proceeds	33,559.	**Cost Basis**	37,667.
Adjustment Code(s)	W	**Adjustment Amount**	2,860.
Gain/(Loss)	-1,248.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 2,860.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 52187K101 LEAP THERAPEUTICS INC COM 1000

Date Sold	01/03/20	**Date Acquired**	01/03/20
Sales Price/Proceeds	1,600.	**Cost Basis**	1,580.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	20.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 54951L109 LUCKIN COFFEE INC ADR SPONSORED 4000

Date Sold	04/02/20	**Date Acquired**	04/02/20
Sales Price/Proceeds	27,682.	**Cost Basis**	27,750.
Adjustment Code(s)	W	**Adjustment Amount**	143.
Gain/(Loss)	75.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 143.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 594918104 MICROSOFT CORP COM 50

Date Sold	03/10/20	**Date Acquired**	03/10/20
Sales Price/Proceeds	7,896.	**Cost Basis**	7,875.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	21.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 594918104 MICROSOFT CORP COM 200

Date Sold	03/11/20	**Date Acquired**	03/11/20
Sales Price/Proceeds	30,789.	**Cost Basis**	30,960.
Adjustment Code(s)	W	**Adjustment Amount**	171.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 171.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 594918104 MICROSOFT CORP COM 900

Date Sold	03/18/20	**Date Acquired**	03/18/20
Sales Price/Proceeds	126,487.	**Cost Basis**	126,749.
Adjustment Code(s)	W	**Adjustment Amount**	310.
Gain/(Loss)	48.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 310.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 594918104 MICROSOFT CORP COM 300

Date Sold	03/19/20	**Date Acquired**	03/19/20
Sales Price/Proceeds	44,122.	**Cost Basis**	44,134.
Adjustment Code(s)	W	**Adjustment Amount**	34.
Gain/(Loss)	22.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 34.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 594918104 MICROSOFT CORP COM 4300

Date Sold	03/24/20	**Date Acquired**	03/24/20
Sales Price/Proceeds	629,890.	**Cost Basis**	630,031.
Adjustment Code(s)	W	**Adjustment Amount**	546.
Gain/(Loss)	405.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 546.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 594918104 MICROSOFT CORP COM 800

Date Sold	04/01/20	**Date Acquired**	04/01/20
Sales Price/Proceeds	124,153.	**Cost Basis**	124,527.
Adjustment Code(s)	W	**Adjustment Amount**	410.
Gain/(Loss)	36.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 410.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 594918104 MICROSOFT CORP COM 200

Date Sold	04/02/20	**Date Acquired**	04/02/20
Sales Price/Proceeds	30,415.	**Cost Basis**	30,553.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-138.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 60770K107 MODERNA INC COM 200

Date Sold	04/17/20	**Date Acquired**	04/17/20
Sales Price/Proceeds	9,286.	**Cost Basis**	9,288.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-2.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**

	Short-Term	Long-Term	Total
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 60770K107 MODERNA INC COM 100

Date Sold	07/14/20	**Date Acquired**	07/14/20
Sales Price/Proceeds	8,800.	**Cost Basis**	8,358.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	442.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 60770K107 MODERNA INC COM 400

Date Sold	07/15/20	**Date Acquired**	07/15/20
Sales Price/Proceeds	33,456.	**Cost Basis**	34,131.
Adjustment Code(s)	W	**Adjustment Amount**	365.
Gain/(Loss)	-310.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 365.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 64110L106 NETFLIX INC COM 50

Date Sold	03/23/20	**Date Acquired**	03/23/20
Sales Price/Proceeds	17,398.	**Cost Basis**	17,427.
Adjustment Code(s)	W	**Adjustment Amount**	29.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 29.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 64110L106 NETFLIX INC COM 90

Date Sold	03/30/20	**Date Acquired**	03/30/20
Sales Price/Proceeds	33,825.	**Cost Basis**	34,009.
Adjustment Code(s)	W	**Adjustment Amount**	189.
Gain/(Loss)	5.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 189.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 64110L106 NETFLIX INC COM 50

Date Sold	03/31/20	**Date Acquired**	03/31/20
Sales Price/Proceeds	18,990.	**Cost Basis**	19,054.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-64.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 67066G104 NVIDIA CORP COM 250

Date Sold	03/24/20	**Date Acquired**	03/24/20
Sales Price/Proceeds	61,124.	**Cost Basis**	61,086.
Adjustment Code(s)	W	**Adjustment Amount**	8.
Gain/(Loss)	46.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 8.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 67066G104 NVIDIA CORP COM 100

Date Sold	04/01/20	**Date Acquired**	04/01/20
Sales Price/Proceeds	25,819.	**Cost Basis**	25,817.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	2.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 74347G408 PROSHARES TRUST 1:5 R/S 8/18/20 74347G861 1500

Date Sold	03/19/20	**Date Acquired**	03/19/20
Sales Price/Proceeds	38,887.	**Cost Basis**	39,605.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-718.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . B **Description** . 74347W148 PROSHARES TRUST II ULTRA VIX SHORT ETF 200

Date Sold	03/12/20	**Date Acquired**	03/12/20
Sales Price/Proceeds	12,528.	**Cost Basis**	12,491.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	37.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 1500

Date Sold	03/18/20	**Date Acquired**	03/18/20
Sales Price/Proceeds	56,368.	**Cost Basis**	57,610.
Adjustment Code(s)	W	**Adjustment Amount**	1,242.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1,242.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 20110

Date Sold	03/19/20	**Date Acquired**	03/19/20
Sales Price/Proceeds	833,019.	**Cost Basis**	849,267.
Adjustment Code(s)	W	**Adjustment Amount**	16,414.
Gain/(Loss)	166.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ► _____
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ► _____
Amount of gain excluded . . . . . _____

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ► _____
Amount of gain deferred . . . . . ► _____

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 16,414.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ► _____

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 1208

Date Sold	04/01/20	**Date Acquired**	04/01/20
Sales Price/Proceeds	50,759.	**Cost Basis**	51,907.
Adjustment Code(s)	W	**Adjustment Amount**	638.
Gain/(Loss)	-510.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 638.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 77543R102 ROKU INC COM CL A 450

Date Sold	07/09/20	**Date Acquired**	07/09/20
Sales Price/Proceeds	67,241.	**Cost Basis**	67,194.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	47.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 78418A505 SG BLOCKS INC COM 700

Date Sold	04/01/20	**Date Acquired**	04/01/20
Sales Price/Proceeds	6,064.	**Cost Basis**	6,087.
Adjustment Code(s)	W	**Adjustment Amount**	28.
Gain/(Loss)	5.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 28.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 81762P102 SERVICENOW INC COM 20

Date Sold	03/17/20	**Date Acquired**	03/17/20
Sales Price/Proceeds	5,964.	**Cost Basis**	5,961.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	3.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 82509L107 SHOPIFY INC COM CL A 30

Date Sold	03/23/20	**Date Acquired**	03/23/20
Sales Price/Proceeds	11,025.	**Cost Basis**	10,975.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	50.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 82846H207 SILVERSUN TECHNOLOGIES INC COM 200

Date Sold	08/04/20	**Date Acquired**	08/04/20
Sales Price/Proceeds	1,614.	**Cost Basis**	1,744.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-130.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 871628301 SYNTHESIS ENERGY SYSTEMS INC COM 2500

Date Sold	01/02/20	**Date Acquired**	01/02/20
Sales Price/Proceeds	24,376.	**Cost Basis**	24,565.
Adjustment Code(s)	W	**Adjustment Amount**	348.
Gain/(Loss)	159.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 348.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 87918A105 TELADOC HEALTH INC COM 300

Date Sold	03/13/20	**Date Acquired**	03/13/20
Sales Price/Proceeds	37,310.	**Cost Basis**	42,000.
Adjustment Code(s)	W	**Adjustment Amount**	4,690.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ► _____
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ► _____
Amount of gain excluded . . . . . _____

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ► _____
Amount of gain deferred . . . . . ► _____

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 4,690.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ► _____

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 87918A105 TELADOC HEALTH INC COM 2800

Date Sold	03/17/20	**Date Acquired**	VARIOUS
Sales Price/Proceeds	345,530.	**Cost Basis**	416,450.
Adjustment Code(s)	W	**Adjustment Amount**	56,269.
Gain/(Loss)	-14,651.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 56,269.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 88160R101 TESLA INC COM 300

Date Sold	01/03/20	**Date Acquired**	01/03/20
Sales Price/Proceeds	131,877.	**Cost Basis**	131,850.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	27.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 88160R101 TESLA INC COM 20

Date Sold	03/10/20	**Date Acquired**	03/10/20
Sales Price/Proceeds	13,013.	**Cost Basis**	12,947.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	66.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 88160R101 TESLA INC COM 300

Date Sold	03/12/20	**Date Acquired**	03/12/20
Sales Price/Proceeds	173,717.	**Cost Basis**	173,666.
Adjustment Code(s)	W	**Adjustment Amount**	72.
Gain/(Loss)	123.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 72.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 88160R101 TESLA INC COM 5500

Date Sold	03/19/20	**Date Acquired**	03/19/20
Sales Price/Proceeds	2,259,598.	**Cost Basis**	2,292,744.
Adjustment Code(s)	W	**Adjustment Amount**	34,910.
Gain/(Loss)	1,764.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ► _____
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ► _____
Amount of gain excluded . . . . . _____

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ► _____
Amount of gain deferred . . . . . ► _____

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 34,910.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ► _____

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 88160R101 TESLA INC COM 50

Date Sold	03/23/20	**Date Acquired**	03/23/20
Sales Price/Proceeds	21,629.	**Cost Basis**	22,235.
Adjustment Code(s)	W	**Adjustment Amount**	606.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 606.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 88160R101 TESLA INC COM 1570

Date Sold	03/24/20	**Date Acquired**	03/24/20
Sales Price/Proceeds	787,874.	**Cost Basis**	790,693.
Adjustment Code(s)	W	**Adjustment Amount**	3,223.
Gain/(Loss)	404.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 3,223.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 88160R101 TESLA INC COM 200

Date Sold	03/25/20	**Date Acquired**	03/25/20
Sales Price/Proceeds	107,571.	**Cost Basis**	108,920.
Adjustment Code(s)	W	**Adjustment Amount**	941.
Gain/(Loss)	-408.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 941.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 88160R101 TESLA INC COM 50

Date Sold	04/01/20	**Date Acquired**	04/01/20
Sales Price/Proceeds	24,534.	**Cost Basis**	24,962.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-428.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 88160R101 TESLA INC COM 95

Date Sold	07/14/20	**Date Acquired**	07/14/20
Sales Price/Proceeds	143,781.	**Cost Basis**	144,595.
Adjustment Code(s)	W	**Adjustment Amount**	1,154.
Gain/(Loss)	340.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1,154.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 88160R101 TESLA INC COM 20

Date Sold	07/15/20	**Date Acquired**	07/15/20
Sales Price/Proceeds	30,294.	**Cost Basis**	31,223.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-929.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 88160R101 TESLA INC COM 10

Date Sold	07/23/20	**Date Acquired**	07/23/20
Sales Price/Proceeds	16,022.	**Cost Basis**	16,393.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-371.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 911363109 UNITED RENTALS INC COM 100

Date Sold	03/26/20	**Date Acquired**	03/24/20
Sales Price/Proceeds	8,472.	**Cost Basis**	8,435.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	37.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 911363109 UNITED RENTALS INC COM 200

Date Sold	03/31/20	**Date Acquired**	03/31/20
Sales Price/Proceeds	20,845.	**Cost Basis**	20,801.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	44.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 911363109 UNITED RENTALS INC COM 200

Date Sold	04/02/20	**Date Acquired**	03/31/20
Sales Price/Proceeds	20,490.	**Cost Basis**	20,525.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-35.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 98138J206 WORKHORSE GROUP INC COM 100

Date Sold	06/30/20	**Date Acquired**	06/30/20
Sales Price/Proceeds	1,850.	**Cost Basis**	1,784.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	66.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 98872F204 YUMA ENERGY INC COM 1500

Date Sold	01/03/20	**Date Acquired**	01/03/20
Sales Price/Proceeds	7,371.	**Cost Basis**	7,340.
Adjustment Code(s)	W	**Adjustment Amount**	21.
Gain/(Loss)	52.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 21.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 1410

Date Sold	03/23/20	**Date Acquired**	03/23/20
Sales Price/Proceeds	222,073.	**Cost Basis**	221,896.
Adjustment Code(s)	W	**Adjustment Amount**	266.
Gain/(Loss)	443.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 266.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 250

Date Sold	03/24/20	**Date Acquired**	03/24/20
Sales Price/Proceeds	35,448.	**Cost Basis**	35,852.
Adjustment Code(s)	W	**Adjustment Amount**	375.
Gain/(Loss)	-29.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 375.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 100

Date Sold	03/30/20	**Date Acquired**	03/30/20
Sales Price/Proceeds	15,750.	**Cost Basis**	15,865.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-115.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . 98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 100

Date Sold	04/01/20	**Date Acquired**	04/01/20
Sales Price/Proceeds	13,945.	**Cost Basis**	14,040.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-95.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKD9KN2 APPLE INC AAPL Apr 09 2020 267.5 Call 53

Date Sold	04/09/20	**Date Acquired**	04/09/20
Sales Price/Proceeds	8,167.	**Cost Basis**	9,877.
Adjustment Code(s)	W	**Adjustment Amount**	1,456.
Gain/(Loss)	-254.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1,456.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKDHLZO APPLE INC AAPL Apr 17 2020 285.0 Call 8

Date Sold	04/14/20	**Date Acquired**	04/14/20
Sales Price/Proceeds	3,355.	**Cost Basis**	2,417.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	938.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKDHMDK APPLE INC AAPL Apr 17 2020 290.0 Call 58

Date Sold	04/14/20	**Date Acquired**	04/14/20
Sales Price/Proceeds	15,166.	**Cost Basis**	15,713.
Adjustment Code(s)	W	**Adjustment Amount**	237.
Gain/(Loss)	-310.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 237.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKE1MYE APPLE INC AAPL May 01 2020 297.5 Call 15

Date Sold	05/01/20	**Date Acquired**	05/01/20
Sales Price/Proceeds	3,695.	**Cost Basis**	3,461.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	234.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKEFNCA APPLE INC AAPL May 15 2020 302.5 Call 25

Date Sold	05/15/20	**Date Acquired**	05/15/20
Sales Price/Proceeds	6,752.	**Cost Basis**	7,328.
Adjustment Code(s)	W	**Adjustment Amount**	576.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 576.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKEFNX4 APPLE INC AAPL May 15 2020 310.0 Call 2

Date Sold	05/06/20	**Date Acquired**	05/06/20
Sales Price/Proceeds	467.	**Cost Basis**	467.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKEMOOW APPLE INC AAPL May 22 2020 320.0 Call 1

Date Sold	05/19/20	**Date Acquired**	05/18/20
Sales Price/Proceeds	271.	**Cost Basis**	223.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	48.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKFCQTA APPLE INC AAPL Jun 12 2020 347.5 Call 4

Date Sold	06/12/20	**Date Acquired**	06/12/20
Sales Price/Proceeds	317.	**Cost Basis**	598.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-281.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKFCRE4 APPLE INC AAPL Jun 12 2020 355.0 Call 25

Date Sold	06/10/20	**Date Acquired**	06/10/20
Sales Price/Proceeds	6,468.	**Cost Basis**	6,075.
Adjustment Code(s)	W	**Adjustment Amount**	3.
Gain/(Loss)	396.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 3.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKFJRE4 APPLE INC AAPL Jun 19 2020 355.0 Call 5

Date Sold	06/18/20	**Date Acquired**	06/18/20
Sales Price/Proceeds	912.	**Cost Basis**	988.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-76.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKFJRS0 APPLE INC AAPL Jun 19 2020 360.0 Call 41

Date Sold	06/16/20	**Date Acquired**	06/16/20
Sales Price/Proceeds	6,758.	**Cost Basis**	6,653.
Adjustment Code(s)	W	**Adjustment Amount**	775.
Gain/(Loss)	880.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 775.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKFJRS0 APPLE INC AAPL Jun 19 2020 360.0 Call 38

Date Sold	06/17/20	**Date Acquired**	06/17/20
Sales Price/Proceeds	5,888.	**Cost Basis**	7,621.
Adjustment Code(s)	W	**Adjustment Amount**	1,199.
Gain/(Loss)	-534.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1,199.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKFQS5W APPLE INC AAPL Jun 26 2020 365.0 Call 3

Date Sold	06/25/20	**Date Acquired**	06/25/20
Sales Price/Proceeds	643.	**Cost Basis**	638.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	5.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKFQTBK APPLE INC AAPL Jun 26 2020 380.0 Call 1

Date Sold	06/23/20	**Date Acquired**	06/23/20
Sales Price/Proceeds	107.	**Cost Basis**	164.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-57.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKGASXO APPLE INC AAPL Jul 10 2020 375.0 Call 5

Date Sold	07/02/20	**Date Acquired**	07/02/20
Sales Price/Proceeds	1,372.	**Cost Basis**	1,502.
Adjustment Code(s)	W	**Adjustment Amount**	184.
Gain/(Loss)	54.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 184.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKGASXO APPLE INC AAPL Jul 10 2020 375.0 Call 3

Date Sold	07/06/20	**Date Acquired**	07/02/20
Sales Price/Proceeds	1,243.	**Cost Basis**	873.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	370.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKGATII APPLE INC AAPL Jul 10 2020 382.5 Call 5

Date Sold	07/08/20	**Date Acquired**	07/08/20
Sales Price/Proceeds	1,547.	**Cost Basis**	1,478.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	69.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKGATII APPLE INC AAPL Jul 10 2020 382.5 Call 5

Date Sold	07/09/20	**Date Acquired**	07/08/20
Sales Price/Proceeds	2,222.	**Cost Basis**	1,478.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	744.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKGHTPG APPLE INC AAPL Jul 17 2020 385.0 Call 244

Date Sold	07/14/20	**Date Acquired**	07/14/20
Sales Price/Proceeds	117,559.	**Cost Basis**	118,984.
Adjustment Code(s)	W	**Adjustment Amount**	4,266.
Gain/(Loss)	2,841.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 4,266.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKGHU3C APPLE INC AAPL Jul 17 2020 390.0 Call 269

Date Sold	07/14/20	**Date Acquired**	07/14/20
Sales Price/Proceeds	110,772.	**Cost Basis**	140,321.
Adjustment Code(s)	W	**Adjustment Amount**	29,442.
Gain/(Loss)	-107.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 29,442.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKGHUAA APPLE INC AAPL Jul 17 2020 392.5 Call 30

Date Sold	07/15/20	**Date Acquired**	07/15/20
Sales Price/Proceeds	13,530.	**Cost Basis**	13,120.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	410.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKGHUAA APPLE INC AAPL Jul 17 2020 392.5 Call 55

Date Sold	07/16/20	**Date Acquired**	07/15/20
Sales Price/Proceeds	6,783.	**Cost Basis**	25,377.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-18,594.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKGHUH8 APPLE INC AAPL Jul 17 2020 395.0 Call 75

Date Sold	07/15/20	**Date Acquired**	07/15/20
Sales Price/Proceeds	25,278.	**Cost Basis**	35,217.
Adjustment Code(s)	W	**Adjustment Amount**	3,194.
Gain/(Loss)	-6,745.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 3,194.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKGHUO6 APPLE INC AAPL Jul 17 2020 397.5 Call 40

Date Sold	07/15/20	**Date Acquired**	07/15/20
Sales Price/Proceeds	17,573.	**Cost Basis**	16,397.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	1,176.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKGHUV4 APPLE INC AAPL Jul 17 2020 400.0 Call 2

Date Sold	07/13/20	**Date Acquired**	07/13/20
Sales Price/Proceeds	1,483.	**Cost Basis**	841.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	642.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKH7YC4 APPLE INC AAPL Aug 07 2020 445.0 Call 1

Date Sold	08/07/20	**Date Acquired**	08/07/20
Sales Price/Proceeds	106.	**Cost Basis**	198.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-92.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKH7YQ0 APPLE INC AAPL Aug 07 2020 450.0 Call 4

Date Sold	08/03/20	**Date Acquired**	08/03/20
Sales Price/Proceeds	1,937.	**Cost Basis**	1,894.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	43.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKHEYQ0 APPLE INC AAPL Aug 14 2020 450.0 Call 1

Date Sold	08/10/20	**Date Acquired**	08/10/20
Sales Price/Proceeds	631.	**Cost Basis**	592.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	39.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKHEZ3W APPLE INC AAPL Aug 14 2020 455.0 Call 1

Date Sold	08/14/20	**Date Acquired**	08/14/20
Sales Price/Proceeds	269.	**Cost Basis**	327.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-58.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKHEZHS APPLE INC AAPL Aug 14 2020 460.0 Call 6

Date Sold	08/10/20	**Date Acquired**	08/10/20
Sales Price/Proceeds	2,601.	**Cost Basis**	2,324.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	277.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKHEZHS APPLE INC AAPL Aug 14 2020 460.0 Call 15

Date Sold	08/13/20	**Date Acquired**	08/13/20
Sales Price/Proceeds	5,240.	**Cost Basis**	5,358.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-118.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKHL3MK APPLE INC AAPL Aug 21 2020 470.0 Call 2

Date Sold	08/17/20	**Date Acquired**	08/17/20
Sales Price/Proceeds	899.	**Cost Basis**	883.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	16.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKI49JR APPLE INC AAPL Sep 04 2020 123.75 Call 3

Date Sold	09/03/20	**Date Acquired**	09/03/20
Sales Price/Proceeds	601.	**Cost Basis**	527.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	74.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKI49N8 APPLE INC AAPL Sep 04 2020 125.0 Call 3

Date Sold	09/03/20	**Date Acquired**	09/03/20
Sales Price/Proceeds	307.	**Cost Basis**	456.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-149.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKP9HQW APPLE INC AAPL Apr 09 2020 230.0 Put 112

Date Sold	04/02/20	**Date Acquired**	VARIOUS
Sales Price/Proceeds	57,767.	**Cost Basis**	58,921.
Adjustment Code(s)	W	**Adjustment Amount**	3,290.
Gain/(Loss)	2,136.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 3,290.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss	►
State name		Accrued interest to Sch B	►
State tax withheld		Sec. 1242 loss on Form 4797	►
State identification number		Sec. 1244 loss on Form 4797	►

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKP9HQW APPLE INC AAPL Apr 09 2020 230.0 Put 75

Date Sold	04/03/20	**Date Acquired**	VARIOUS
Sales Price/Proceeds	28,549.	**Cost Basis**	38,831.
Adjustment Code(s)	W	**Adjustment Amount**	3,559.
Gain/(Loss)	-6,723.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 3,559.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKPHLLS APPLE INC AAPL Apr 17 2020 280.0 Put 6

Date Sold	04/17/20	**Date Acquired**	04/17/20
Sales Price/Proceeds	1,186.	**Cost Basis**	1,452.
Adjustment Code(s)	W	**Adjustment Amount**	115.
Gain/(Loss)	-151.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 115.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKSHSXO APPLE INC AAPL Jul 17 2020 375.0 Put 2

Date Sold	07/14/20	**Date Acquired**	07/14/20
Sales Price/Proceeds	737.	**Cost Basis**	971.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-234.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL AKSOSJS APPLE INC AAPL Jul 24 2020 370.0 Put 10

Date Sold	07/23/20	**Date Acquired**	07/23/20
Sales Price/Proceeds	2,903.	**Cost Basis**	2,737.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	166.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ADT KHL15O ADT INC ADT Aug 21 2020 15.0 Call 20

Date Sold	08/03/20	**Date Acquired**	08/03/20
Sales Price/Proceeds	3,587.	**Cost Basis**	3,593.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-6.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMC KI4JG AMC ENTERTAINMENT HOLDINGS INC AMC Sep 04 2020 7.0 Call 4

Date Sold	09/02/20	**Date Acquired**	09/02/20
Sales Price/Proceeds	189.	**Cost Basis**	233.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-44.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMD KBE48S ADVANCED MICRO DEVICES INC AMD Feb 14 2020 55.0 Call 2

Date Sold	02/11/20	**Date Acquired**	02/11/20
Sales Price/Proceeds	119.	**Cost Basis**	173.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-54.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKA3ENS AMAZON COM INC AMZN Jan 03 2020 1900.0 Call 50

Date Sold	01/02/20	**Date Acquired**	01/02/20
Sales Price/Proceeds	43,266.	**Cost Basis**	42,660.
Adjustment Code(s)	W	**Adjustment Amount**	71.
Gain/(Loss)	677.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 71.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKAVFNW AMAZON COM INC AMZN Jan 31 2020 2030.0 Call 2

Date Sold	01/31/20	**Date Acquired**	01/31/20
Sales Price/Proceeds	3,299.	**Cost Basis**	3,082.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	217.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKBEGTK AMAZON COM INC AMZN Feb 14 2020 2180.0 Call 1

Date Sold	02/11/20	**Date Acquired**	02/11/20
Sales Price/Proceeds	1,499.	**Cost Basis**	1,421.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	78.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKCKDKW AMAZON COM INC AMZN Mar 20 2020 1760.0 Call 2

Date Sold	03/17/20	**Date Acquired**	03/17/20
Sales Price/Proceeds	11,198.	**Cost Basis**	10,873.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	325.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKDHGIG AMAZON COM INC AMZN Apr 17 2020 2140.0 Call 2

Date Sold	04/13/20	**Date Acquired**	04/13/20
Sales Price/Proceeds	4,299.	**Cost Basis**	4,060.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	239.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKDHGL8 AMAZON COM INC AMZN Apr 17 2020 2150.0 Call 1

Date Sold	04/13/20	**Date Acquired**	04/13/20
Sales Price/Proceeds	2,219.	**Cost Basis**	1,910.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	309.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKDHGZ4 AMAZON COM INC AMZN Apr 17 2020 2200.0 Call 1

Date Sold	04/13/20	**Date Acquired**	04/13/20
Sales Price/Proceeds	1,499.	**Cost Basis**	1,491.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	8.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKDHI4S AMAZON COM INC AMZN Apr 17 2020 2350.0 Call 1

Date Sold	04/15/20	**Date Acquired**	04/15/20
Sales Price/Proceeds	2,279.	**Cost Basis**	2,191.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	88.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKDHI4S AMAZON COM INC AMZN Apr 17 2020 2350.0 Call 4

Date Sold	04/17/20	**Date Acquired**	04/17/20
Sales Price/Proceeds	12,437.	**Cost Basis**	11,648.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	789.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKDHIIO AMAZON COM INC AMZN Apr 17 2020 2400.0 Call 10

Date Sold	04/16/20	**Date Acquired**	04/16/20
Sales Price/Proceeds	22,063.	**Cost Basis**	22,240.
Adjustment Code(s)	W	**Adjustment Amount**	887.
Gain/(Loss)	710.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 887.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKDHJAG AMAZON COM INC AMZN Apr 17 2020 2500.0 Call 1

Date Sold	04/16/20	**Date Acquired**	04/16/20
Sales Price/Proceeds	828.	**Cost Basis**	1,246.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-418.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKDOJAG AMAZON COM INC AMZN Apr 24 2020 2500.0 Call 1

Date Sold	04/20/20	**Date Acquired**	04/20/20
Sales Price/Proceeds	4,749.	**Cost Basis**	4,501.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	248.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKE8I4S AMAZON COM INC AMZN May 08 2020 2350.0 Call 3

Date Sold	05/04/20	**Date Acquired**	05/04/20
Sales Price/Proceeds	7,748.	**Cost Basis**	7,705.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	43.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKEFIIO AMAZON COM INC AMZN May 15 2020 2400.0 Call 4

Date Sold	05/14/20	**Date Acquired**	05/14/20
Sales Price/Proceeds	4,467.	**Cost Basis**	4,660.
Adjustment Code(s)	W	**Adjustment Amount**	269.
Gain/(Loss)	76.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 269.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKEMJAG AMAZON COM INC AMZN May 22 2020 2500.0 Call 4

Date Sold	05/19/20	**Date Acquired**	05/19/20
Sales Price/Proceeds	6,937.	**Cost Basis**	6,696.
Adjustment Code(s)	W	**Adjustment Amount**	71.
Gain/(Loss)	312.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 71.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKEMJLK AMAZON COM INC AMZN May 22 2020 2540.0 Call 1

Date Sold	05/21/20	**Date Acquired**	05/21/20
Sales Price/Proceeds	249.	**Cost Basis**	1,551.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-1,302.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKFCKG4 AMAZON COM INC AMZN Jun 12 2020 2650.0 Call 7

Date Sold	06/12/20	**Date Acquired**	06/12/20
Sales Price/Proceeds	4,002.	**Cost Basis**	3,881.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	121.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKFCKU0 AMAZON COM INC AMZN Jun 12 2020 2700.0 Call 3

Date Sold	06/11/20	**Date Acquired**	06/11/20
Sales Price/Proceeds	1,708.	**Cost Basis**	3,305.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-1,597.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKGAORO AMAZON COM INC AMZN Jul 10 2020 3210.0 Call 10

Date Sold	07/10/20	**Date Acquired**	07/10/20
Sales Price/Proceeds	5,193.	**Cost Basis**	5,107.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	86.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKH7OOW AMAZON COM INC AMZN Aug 07 2020 3200.0 Call 2

Date Sold	08/05/20	**Date Acquired**	08/04/20
Sales Price/Proceeds	4,301.	**Cost Basis**	5,708.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-1,407.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKH7P00 AMAZON COM INC AMZN Aug 07 2020 3240.0 Call 2

Date Sold	08/07/20	**Date Acquired**	08/07/20
Sales Price/Proceeds	2,399.	**Cost Basis**	1,917.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	482.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKHEOJC AMAZON COM INC AMZN Aug 14 2020 3180.0 Call 1

Date Sold	08/12/20	**Date Acquired**	08/12/20
Sales Price/Proceeds	2,049.	**Cost Basis**	2,271.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-222.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKHEOOW AMAZON COM INC AMZN Aug 14 2020 3200.0 Call 1

Date Sold	08/12/20	**Date Acquired**	08/12/20
Sales Price/Proceeds	1,499.	**Cost Basis**	1,249.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	250.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKI4RE4 AMAZON COM INC AMZN Sep 04 2020 3550.0 Call 1

Date Sold	09/02/20	**Date Acquired**	09/02/20
Sales Price/Proceeds	2,519.	**Cost Basis**	2,501.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	18.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKI4RS0 AMAZON COM INC AMZN Sep 04 2020 3600.0 Call 2

Date Sold	09/02/20	**Date Acquired**	09/02/20
Sales Price/Proceeds	3,709.	**Cost Basis**	3,166.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	543.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKIBOUG AMAZON COM INC AMZN Sep 11 2020 3220.0 Call 4

Date Sold	09/11/20	**Date Acquired**	09/11/20
Sales Price/Proceeds	241.	**Cost Basis**	5,841.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-5,600.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKIBPGO AMAZON COM INC AMZN Sep 11 2020 3300.0 Call 1

Date Sold	09/08/20	**Date Acquired**	09/08/20
Sales Price/Proceeds	3,319.	**Cost Basis**	2,999.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	320.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKIBPGO AMAZON COM INC AMZN Sep 11 2020 3300.0 Call 2

Date Sold	09/09/20	**Date Acquired**	09/09/20
Sales Price/Proceeds	4,258.	**Cost Basis**	3,736.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	522.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKIBPUK AMAZON COM INC AMZN Sep 11 2020 3350.0 Call 2

Date Sold	09/10/20	**Date Acquired**	09/10/20
Sales Price/Proceeds	5,841.	**Cost Basis**	5,634.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	207.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKM3ENS AMAZON COM INC AMZN Jan 03 2020 1900.0 Put 1

Date Sold	01/02/20	**Date Acquired**	01/02/20
Sales Price/Proceeds	1,539.	**Cost Basis**	1,506.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	33.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKQ1HQW AMAZON COM INC AMZN May 01 2020 2300.0 Put 1

Date Sold	05/01/20	**Date Acquired**	05/01/20
Sales Price/Proceeds	1,049.	**Cost Basis**	901.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	148.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKRCK28 AMAZON COM INC AMZN Jun 12 2020 2600.0 Put 1

Date Sold	06/10/20	**Date Acquired**	06/10/20
Sales Price/Proceeds	1,199.	**Cost Basis**	1,586.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-387.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AMZN KKSON5C AMAZON COM INC AMZN Jul 24 2020 3000.0 Put 2

Date Sold	07/23/20	**Date Acquired**	07/23/20
Sales Price/Proceeds	6,029.	**Cost Basis**	6,152.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-123.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKC60OW BOEING CO BA Mar 06 2020 320.0 Call 1

Date Sold	02/26/20	**Date Acquired**	02/26/20
Sales Price/Proceeds	289.	**Cost Basis**	341.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-52.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKE8A6O BOEING CO BA May 08 2020 132.0 Call 2

Date Sold	05/07/20	**Date Acquired**	05/07/20
Sales Price/Proceeds	367.	**Cost Basis**	413.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-46.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKF5CCG BOEING CO BA Jun 05 2020 160.0 Call 7

Date Sold	06/03/20	**Date Acquired**	06/03/20
Sales Price/Proceeds	1,290.	**Cost Basis**	1,341.
Adjustment Code(s)	W	**Adjustment Amount**	73.
Gain/(Loss)	22.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 73.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKF5E9W BOEING CO BA Jun 05 2020 185.0 Call 5

Date Sold	06/04/20	**Date Acquired**	06/04/20
Sales Price/Proceeds	1,577.	**Cost Basis**	1,554.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	23.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKF5ENS BOEING CO BA Jun 05 2020 190.0 Call 11

Date Sold	06/04/20	**Date Acquired**	06/04/20
Sales Price/Proceeds	3,763.	**Cost Basis**	3,557.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	206.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKF5FTG BOEING CO BA Jun 05 2020 205.0 Call 23

Date Sold	06/05/20	**Date Acquired**	06/05/20
Sales Price/Proceeds	6,394.	**Cost Basis**	5,715.
Adjustment Code(s)	W	**Adjustment Amount**	353.
Gain/(Loss)	1,032.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 353.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKFCENS BOEING CO BA Jun 12 2020 190.0 Call 10

Date Sold	06/12/20	**Date Acquired**	06/12/20
Sales Price/Proceeds	2,737.	**Cost Basis**	3,030.
Adjustment Code(s)	W	**Adjustment Amount**	381.
Gain/(Loss)	88.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 381.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKFCIIO BOEING CO BA Jun 12 2020 240.0 Call 2

Date Sold	06/08/20	**Date Acquired**	06/08/20
Sales Price/Proceeds	1,399.	**Cost Basis**	941.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	458.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKFCIIO BOEING CO BA Jun 12 2020 240.0 Call 10

Date Sold	06/09/20	**Date Acquired**	06/09/20
Sales Price/Proceeds	5,218.	**Cost Basis**	4,527.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	691.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKFCJAG BOEING CO BA Jun 12 2020 250.0 Call 8

Date Sold	06/08/20	**Date Acquired**	06/08/20
Sales Price/Proceeds	5,147.	**Cost Basis**	4,907.
Adjustment Code(s)	W	**Adjustment Amount**	13.
Gain/(Loss)	253.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 13.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKFJENS BOEING CO BA Jun 19 2020 190.0 Call 1

Date Sold	06/15/20	**Date Acquired**	06/15/20
Sales Price/Proceeds	859.	**Cost Basis**	733.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	126.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKFJGZ4 BOEING CO BA Jun 19 2020 220.0 Call 3

Date Sold	06/16/20	**Date Acquired**	06/16/20
Sales Price/Proceeds	1,138.	**Cost Basis**	1,455.
Adjustment Code(s)	W	**Adjustment Amount**	85.
Gain/(Loss)	-232.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 85.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKG2ENS BOEING CO BA Jul 02 2020 190.0 Call 4

Date Sold	06/29/20	**Date Acquired**	06/29/20
Sales Price/Proceeds	1,677.	**Cost Basis**	1,258.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	419.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKGADP2 BOEING CO BA Jul 10 2020 177.5 Call 5

Date Sold	07/10/20	**Date Acquired**	07/10/20
Sales Price/Proceeds	672.	**Cost Basis**	695.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-23.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**

	Short-Term	Long-Term	Total
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKGHDW0 BOEING CO BA Jul 17 2020 180.0 Call 5

Date Sold	07/14/20	**Date Acquired**	07/14/20
Sales Price/Proceeds	3,022.	**Cost Basis**	2,739.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	283.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKGHE9W BOEING CO BA Jul 17 2020 185.0 Call 5

Date Sold	07/14/20	**Date Acquired**	07/14/20
Sales Price/Proceeds	2,247.	**Cost Basis**	2,118.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	129.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKH7DW0 BOEING CO BA Aug 07 2020 180.0 Call 2

Date Sold	08/06/20	**Date Acquired**	08/06/20
Sales Price/Proceeds	147.	**Cost Basis**	321.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-174.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKHEENS BOEING CO BA Aug 14 2020 190.0 Call 5

Date Sold	08/11/20	**Date Acquired**	08/11/20
Sales Price/Proceeds	2,427.	**Cost Basis**	2,198.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	229.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKI4DW0 BOEING CO BA Sep 04 2020 180.0 Call 6

Date Sold	09/03/20	**Date Acquired**	09/03/20
Sales Price/Proceeds	2,246.	**Cost Basis**	1,826.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	420.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKMONJ8 BOEING CO BA Jan 24 2020 305.0 Put 1

Date Sold	01/22/20	**Date Acquired**	01/21/20
Sales Price/Proceeds	171.	**Cost Basis**	194.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-23.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKO6JAG BOEING CO BA Mar 06 2020 250.0 Put 1

Date Sold	03/06/20	**Date Acquired**	03/06/20
Sales Price/Proceeds	409.	**Cost Basis**	411.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-2.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKODENS BOEING CO BA Mar 13 2020 190.0 Put 18

Date Sold	03/11/20	**Date Acquired**	03/11/20
Sales Price/Proceeds	7,122.	**Cost Basis**	7,121.
Adjustment Code(s)	W	**Adjustment Amount**	113.
Gain/(Loss)	114.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 113.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKODENS BOEING CO BA Mar 13 2020 190.0 Put 2

Date Sold	03/12/20	**Date Acquired**	03/11/20
Sales Price/Proceeds	-13.	**Cost Basis**	0.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-13.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKODFFK BOEING CO BA Mar 13 2020 200.0 Put 8

Date Sold	03/11/20	**Date Acquired**	03/11/20
Sales Price/Proceeds	4,655.	**Cost Basis**	4,591.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	64.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKODGL8 BOEING CO BA Mar 13 2020 215.0 Put 1

Date Sold	03/11/20	**Date Acquired**	03/11/20
Sales Price/Proceeds	799.	**Cost Basis**	701.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	98.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKOK7PS BOEING CO BA Mar 20 2020 100.0 Put 6

Date Sold	03/17/20	**Date Acquired**	03/17/20
Sales Price/Proceeds	6,956.	**Cost Basis**	6,794.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	162.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKP38VG BOEING CO BA Apr 03 2020 115.0 Put 26

Date Sold	04/02/20	**Date Acquired**	04/02/20
Sales Price/Proceeds	6,416.	**Cost Basis**	6,359.
Adjustment Code(s)	W	**Adjustment Amount**	51.
Gain/(Loss)	108.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 51.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKP399C BOEING CO BA Apr 03 2020 120.0 Put 95

Date Sold	04/02/20	**Date Acquired**	04/02/20
Sales Price/Proceeds	21,808.	**Cost Basis**	23,235.
Adjustment Code(s)	W	**Adjustment Amount**	1,541.
Gain/(Loss)	114.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1,541.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss	►
State name		Accrued interest to Sch B	►
State tax withheld		Sec. 1242 loss on Form 4797	►
State identification number		Sec. 1244 loss on Form 4797	►

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKP39N8 BOEING CO BA Apr 03 2020 125.0 Put 2

Date Sold	04/02/20	**Date Acquired**	04/02/20
Sales Price/Proceeds	839.	**Cost Basis**	799.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	40.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKRCDI4 BOEING CO BA Jun 12 2020 175.0 Put 9

Date Sold	06/11/20	**Date Acquired**	06/11/20
Sales Price/Proceeds	2,469.	**Cost Basis**	2,310.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	159.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKRCDW0 BOEING CO BA Jun 12 2020 180.0 Put 10

Date Sold	06/11/20	**Date Acquired**	06/11/20
Sales Price/Proceeds	2,993.	**Cost Basis**	2,612.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	381.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKRCFTG BOEING CO BA Jun 12 2020 205.0 Put 2

Date Sold	06/10/20	**Date Acquired**	VARIOUS
Sales Price/Proceeds	799.	**Cost Basis**	781.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	18.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKRQD48 BOEING CO BA Jun 26 2020 170.0 Put 20

Date Sold	06/26/20	**Date Acquired**	06/26/20
Sales Price/Proceeds	3,467.	**Cost Basis**	3,465.
Adjustment Code(s)	W	**Adjustment Amount**	108.
Gain/(Loss)	110.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 108.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BA AKSODI4 BOEING CO BA Jul 24 2020 175.0 Put 5

Date Sold	07/23/20	**Date Acquired**	07/23/20
Sales Price/Proceeds	702.	**Cost Basis**	748.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-46.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BABA AKGAKG4 ALIBABA GROUP HOLDING LTD BABA Jul 10 2020 265.0 Call 31

Date Sold	07/09/20	**Date Acquired**	07/09/20
Sales Price/Proceeds	7,889.	**Cost Basis**	10,734.
Adjustment Code(s)	W	**Adjustment Amount**	1,919.
Gain/(Loss)	-926.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1,919.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss	►
State name		Accrued interest to Sch B	►
State tax withheld		Sec. 1242 loss on Form 4797	►
State identification number		Sec. 1244 loss on Form 4797	►

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BABA AKLVHQW ALIBABA GROUP HOLDING LTD BABA Dec 31 2020 230.0 Call 1

Date Sold	12/28/20	**Date Acquired**	12/28/20
Sales Price/Proceeds	299.	**Cost Basis**	237.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	62.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BABA AKLVI4S ALIBABA GROUP HOLDING LTD BABA Dec 31 2020 235.0 Call 1

Date Sold	12/29/20	**Date Acquired**	12/29/20
Sales Price/Proceeds	251.	**Cost Basis**	236.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	15.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BIDU AKLOG7C BAIDU INC BIDU Dec 24 2020 210.0 Call 1

Date Sold	12/21/20	**Date Acquired**	12/21/20
Sales Price/Proceeds	157.	**Cost Basis**	420.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-263.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BLNK KGHKU BLINK CHARGING CO BLNK Jul 17 2020 7.5 Call 10

Date Sold	07/06/20	**Date Acquired**	07/06/20
Sales Price/Proceeds	893.	**Cost Basis**	657.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	236.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BYND KDO6Y0 BEYOND MEAT INC BYND Apr 24 2020 90.0 Call 6

Date Sold	04/21/20	**Date Acquired**	04/21/20
Sales Price/Proceeds	2,498.	**Cost Basis**	2,316.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	182.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BYND AKBEA14 BEYOND MEAT INC BYND Feb 14 2020 130.0 Call 5

Date Sold	02/10/20	**Date Acquired**	02/10/20
Sales Price/Proceeds	1,544.	**Cost Basis**	1,368.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	176.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BYND AKE88VG BEYOND MEAT INC BYND May 08 2020 115.0 Call 2

Date Sold	05/06/20	**Date Acquired**	05/06/20
Sales Price/Proceeds	949.	**Cost Basis**	839.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	110.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . BYND AKI4ASW BEYOND MEAT INC BYND Sep 04 2020 140.0 Call 3

Date Sold	09/03/20	**Date Acquired**	09/03/20
Sales Price/Proceeds	1,048.	**Cost Basis**	942.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	106.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . CCL KOD1P4 CARNIVAL CORP CCL Mar 13 2020 22.0 Put 1

Date Sold	03/09/20	**Date Acquired**	03/09/20
Sales Price/Proceeds	174.	**Cost Basis**	146.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	28.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . COF KHE5H8 CAPITAL ONE FINANCIAL CORP COF Aug 14 2020 71.0 Call 4

Date Sold	08/11/20	**Date Acquired**	08/11/20
Sales Price/Proceeds	557.	**Cost Basis**	551.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	6.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . CVX KD35XW CHEVRON CORPORATION CVX Apr 03 2020 77.0 Call 2

Date Sold	04/02/20	**Date Acquired**	04/02/20
Sales Price/Proceeds	519.	**Cost Basis**	495.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	24.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . DAL KDH1XG DELTA AIR LINES INC DAL Apr 17 2020 25.0 Call 5

Date Sold	04/14/20	**Date Acquired**	04/14/20
Sales Price/Proceeds	552.	**Cost Basis**	523.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	29.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . DIS AKE18KC WALT DISNEY COMPANY (THE) DIS May 01 2020 111.0 Call 2

Date Sold	04/28/20	**Date Acquired**	04/28/20
Sales Price/Proceeds	283.	**Cost Basis**	257.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	26.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . DIS AKLBDB6 WALT DISNEY COMPANY (THE) DIS Dec 11 2020 172.5 Call 4

Date Sold	12/11/20	**Date Acquired**	12/11/20
Sales Price/Proceeds	397.	**Cost Basis**	371.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	26.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . DOCU AKFJCCG DOCUSIGN INC DOCU Jun 19 2020 160.0 Call 1

Date Sold	06/15/20	**Date Acquired**	06/15/20
Sales Price/Proceeds	534.	**Cost Basis**	446.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	88.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . DOCU AKGHGZ4 DOCUSIGN INC DOCU Jul 17 2020 220.0 Call 3

Date Sold	07/09/20	**Date Acquired**	07/08/20
Sales Price/Proceeds	1,633.	**Cost Basis**	1,042.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	591.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FB AKEMGZ4 FACEBOOK INC FB May 22 2020 220.0 Call 1

Date Sold	05/19/20	**Date Acquired**	05/19/20
Sales Price/Proceeds	212.	**Cost Basis**	329.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-117.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FB AKEMHQW FACEBOOK INC FB May 22 2020 230.0 Call 51

Date Sold	05/20/20	**Date Acquired**	05/20/20
Sales Price/Proceeds	7,699.	**Cost Basis**	7,135.
Adjustment Code(s)	W	**Adjustment Amount**	2.
Gain/(Loss)	566.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 2.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FB AKETIIO FACEBOOK INC FB May 29 2020 240.0 Call 15

Date Sold	05/21/20	**Date Acquired**	05/21/20
Sales Price/Proceeds	6,040.	**Cost Basis**	5,778.
Adjustment Code(s)	W	**Adjustment Amount**	142.
Gain/(Loss)	404.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 142.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FB AKETIIO FACEBOOK INC FB May 29 2020 240.0 Call 17

Date Sold	05/22/20	**Date Acquired**	VARIOUS
Sales Price/Proceeds	2,590.	**Cost Basis**	2,726.
Adjustment Code(s)	W	**Adjustment Amount**	438.
Gain/(Loss)	302.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 438.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FB AKETIIO FACEBOOK INC FB May 29 2020 240.0 Call 8

Date Sold	05/26/20	**Date Acquired**	VARIOUS
Sales Price/Proceeds	3,143.	**Cost Basis**	3,208.
Adjustment Code(s)	W	**Adjustment Amount**	77.
Gain/(Loss)	12.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 77.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FB AKFCHQW FACEBOOK INC FB Jun 12 2020 230.0 Call 1

Date Sold	06/08/20	**Date Acquired**	06/08/20
Sales Price/Proceeds	369.	**Cost Basis**	355.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	14.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FB AKFJJAG FACEBOOK INC FB Jun 19 2020 250.0 Call 5

Date Sold	06/02/20	**Date Acquired**	05/26/20
Sales Price/Proceeds	542.	**Cost Basis**	2,496.
Adjustment Code(s)	W	**Adjustment Amount**	1,955.
Gain/(Loss)	1.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1,955.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss	►
State name		Accrued interest to Sch B	►
State tax withheld		Sec. 1242 loss on Form 4797	►
State identification number		Sec. 1244 loss on Form 4797	►

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FB AKFJJAG FACEBOOK INC FB Jun 19 2020 250.0 Call 55

Date Sold	06/04/20	**Date Acquired**	VARIOUS
Sales Price/Proceeds	3,583.	**Cost Basis**	13,957.
Adjustment Code(s)	W	**Adjustment Amount**	4,738.
Gain/(Loss)	-5,636.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 4,738.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FB AKFJJAG FACEBOOK INC FB Jun 19 2020 250.0 Call 13

Date Sold	06/09/20	**Date Acquired**	06/09/20
Sales Price/Proceeds	2,509.	**Cost Basis**	2,775.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-266.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FB AKH7KU0 FACEBOOK INC FB Aug 07 2020 270.0 Call 12

Date Sold	08/07/20	**Date Acquired**	08/07/20
Sales Price/Proceeds	3,320.	**Cost Basis**	2,944.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	376.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FB AKH7LLS FACEBOOK INC FB Aug 07 2020 280.0 Call 4

Date Sold	08/07/20	**Date Acquired**	08/07/20
Sales Price/Proceeds	971.	**Cost Basis**	959.
Adjustment Code(s)	W	**Adjustment Amount**	15.
Gain/(Loss)	27.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 15.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FB AKRQGL8 FACEBOOK INC FB Jun 26 2020 215.0 Put 20

Date Sold	06/26/20	**Date Acquired**	06/26/20
Sales Price/Proceeds	987.	**Cost Basis**	1,927.
Adjustment Code(s)	W	**Adjustment Amount**	473.
Gain/(Loss)	-467.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 473.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FB AKS2FTG FACEBOOK INC FB Jul 02 2020 205.0 Put 9

Date Sold	06/29/20	**Date Acquired**	06/29/20
Sales Price/Proceeds	1,623.	**Cost Basis**	2,609.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-986.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FB AKTEK28 FACEBOOK INC FB Aug 14 2020 260.0 Put 1

Date Sold	08/10/20	**Date Acquired**	08/10/20
Sales Price/Proceeds	429.	**Cost Basis**	418.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	11.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FSLY KFQ668 FASTLY INC FSLY Jun 26 2020 80.0 Call 10

Date Sold	06/23/20	**Date Acquired**	06/23/20
Sales Price/Proceeds	2,943.	**Cost Basis**	2,442.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	501.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . FSLY KFQ6K4 FASTLY INC FSLY Jun 26 2020 85.0 Call 5

Date Sold	06/23/20	**Date Acquired**	06/23/20
Sales Price/Proceeds	1,367.	**Cost Basis**	1,278.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	89.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . G33277107 FARMMI INC COM 200

Date Sold	06/18/20	**Date Acquired**	06/18/20
Sales Price/Proceeds	270.	**Cost Basis**	218.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	52.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . GILD KB75BO GILEAD SCIENCES INC GILD Feb 07 2020 69.0 Call 2

Date Sold	02/06/20	**Date Acquired**	02/06/20
Sales Price/Proceeds	379.	**Cost Basis**	339.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	40.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**

	Short-Term	Long-Term	Total
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . GILD KBS5MS GILEAD SCIENCES INC GILD Feb 28 2020 73.0 Call 1

Date Sold	02/24/20	**Date Acquired**	02/24/20
Sales Price/Proceeds	214.	**Cost Basis**	166.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	48.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . M98068105 WIX.COM LTD COM 100

Date Sold	07/09/20	**Date Acquired**	07/09/20
Sales Price/Proceeds	29,496.	**Cost Basis**	29,475.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	21.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . MRNA KEM6K4 MODERNA INC MRNA May 22 2020 85.0 Call 10

Date Sold	05/18/20	**Date Acquired**	05/18/20
Sales Price/Proceeds	6,743.	**Cost Basis**	6,607.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	136.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . MRNA AKLIB6S MODERNA INC MRNA Dec 18 2020 145.0 Call 1

Date Sold	12/17/20	**Date Acquired**	12/17/20
Sales Price/Proceeds	349.	**Cost Basis**	306.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	43.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . MSFT AKB7E2Y MICROSOFT CORP MSFT Feb 07 2020 182.5 Call 2

Date Sold	02/05/20	**Date Acquired**	02/04/20
Sales Price/Proceeds	377.	**Cost Basis**	205.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	172.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . MSFT AKDHCQC MICROSOFT CORP MSFT Apr 17 2020 165.0 Call 1

Date Sold	04/13/20	**Date Acquired**	04/13/20
Sales Price/Proceeds	253.	**Cost Basis**	252.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	1.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . MSFT AKNEE2Y MICROSOFT CORP MSFT Feb 14 2020 182.5 Put 4

Date Sold	02/13/20	**Date Acquired**	02/13/20
Sales Price/Proceeds	493.	**Cost Basis**	499.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-6.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKB7RS0 NETFLIX INC NFLX Feb 07 2020 360.0 Call 1

Date Sold	02/03/20	**Date Acquired**	02/03/20
Sales Price/Proceeds	449.	**Cost Basis**	381.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	68.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKB7SXO NETFLIX INC NFLX Feb 07 2020 375.0 Call 6

Date Sold	02/05/20	**Date Acquired**	02/05/20
Sales Price/Proceeds	1,376.	**Cost Basis**	2,214.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-838.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKBLU3C NETFLIX INC NFLX Feb 21 2020 390.0 Call 1

Date Sold	02/18/20	**Date Acquired**	02/18/20
Sales Price/Proceeds	421.	**Cost Basis**	331.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	90.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKBSTPG NETFLIX INC NFLX Feb 28 2020 385.0 Call 1

Date Sold	02/27/20	**Date Acquired**	02/27/20
Sales Price/Proceeds	249.	**Cost Basis**	383.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-134.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKDHUV4 NETFLIX INC NFLX Apr 17 2020 400.0 Call 1

Date Sold	04/13/20	**Date Acquired**	04/13/20
Sales Price/Proceeds	789.	**Cost Basis**	778.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	11.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKDHWEO NETFLIX INC NFLX Apr 17 2020 420.0 Call 1

Date Sold	04/17/20	**Date Acquired**	04/17/20
Sales Price/Proceeds	789.	**Cost Basis**	756.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	33.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKDHX6G NETFLIX INC NFLX Apr 17 2020 430.0 Call 21

Date Sold	04/15/20	**Date Acquired**	04/15/20
Sales Price/Proceeds	14,183.	**Cost Basis**	13,693.
Adjustment Code(s)	W	**Adjustment Amount**	374.
Gain/(Loss)	864.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 374.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKDHXKC NETFLIX INC NFLX Apr 17 2020 435.0 Call 12

Date Sold	04/15/20	**Date Acquired**	04/15/20
Sales Price/Proceeds	6,272.	**Cost Basis**	6,968.
Adjustment Code(s)	W	**Adjustment Amount**	528.
Gain/(Loss)	-168.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 528.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKGA3XO NETFLIX INC NFLX Jul 10 2020 510.0 Call 14

Date Sold	07/07/20	**Date Acquired**	VARIOUS
Sales Price/Proceeds	7,315.	**Cost Basis**	6,743.
Adjustment Code(s)	W	**Adjustment Amount**	538.
Gain/(Loss)	1,110.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 538.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKGA40G NETFLIX INC NFLX Jul 10 2020 520.0 Call 10

Date Sold	07/10/20	**Date Acquired**	07/10/20
Sales Price/Proceeds	3,767.	**Cost Basis**	3,422.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	345.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKGA41U NETFLIX INC NFLX Jul 10 2020 525.0 Call 100

Date Sold	07/10/20	**Date Acquired**	07/10/20
Sales Price/Proceeds	46,168.	**Cost Basis**	43,262.
Adjustment Code(s)	W	**Adjustment Amount**	80.
Gain/(Loss)	2,986.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 80.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKGA438 NETFLIX INC NFLX Jul 10 2020 530.0 Call 145

Date Sold	07/10/20	**Date Acquired**	07/10/20
Sales Price/Proceeds	57,412.	**Cost Basis**	54,956.
Adjustment Code(s)	W	**Adjustment Amount**	1,048.
Gain/(Loss)	3,504.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1,048.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKGA460 NETFLIX INC NFLX Jul 10 2020 540.0 Call 155

Date Sold	07/10/20	**Date Acquired**	07/10/20
Sales Price/Proceeds	66,780.	**Cost Basis**	65,652.
Adjustment Code(s)	W	**Adjustment Amount**	1,680.
Gain/(Loss)	2,808.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1,680.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKGA47E NETFLIX INC NFLX Jul 10 2020 545.0 Call 30

Date Sold	07/10/20	**Date Acquired**	07/10/20
Sales Price/Proceeds	12,283.	**Cost Basis**	9,846.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	2,437.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKGA4BK NETFLIX INC NFLX Jul 10 2020 560.0 Call 420

Date Sold	07/10/20	**Date Acquired**	07/10/20
Sales Price/Proceeds	5,055.	**Cost Basis**	56,237.
Adjustment Code(s)	W	**Adjustment Amount**	30,293.
Gain/(Loss)	-20,889.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 30,293.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss	►
State name		Accrued interest to Sch B	►
State tax withheld		Sec. 1242 loss on Form 4797	►
State identification number		Sec. 1244 loss on Form 4797	►

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKGH4BK NETFLIX INC NFLX Jul 17 2020 560.0 Call 4

Date Sold	07/16/20	**Date Acquired**	07/16/20
Sales Price/Proceeds	6,157.	**Cost Basis**	6,223.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-66.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKGH4MO NETFLIX INC NFLX Jul 17 2020 600.0 Call 15

Date Sold	07/13/20	**Date Acquired**	07/10/20
Sales Price/Proceeds	27,599.	**Cost Basis**	15,400.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	12,199.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKLV460 NETFLIX INC NFLX Dec 31 2020 540.0 Call 1

Date Sold	12/31/20	**Date Acquired**	12/31/20
Sales Price/Proceeds	347.	**Cost Basis**	315.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	32.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NFLX AKTE3MK NETFLIX INC NFLX Aug 14 2020 470.0 Put 1

Date Sold	08/11/20	**Date Acquired**	08/11/20
Sales Price/Proceeds	599.	**Cost Basis**	550.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	49.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NIO KLB3E8 NIO INC NIO Dec 11 2020 44.0 Call 2

Date Sold	12/10/20	**Date Acquired**	12/10/20
Sales Price/Proceeds	341.	**Cost Basis**	263.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	78.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NKE AKB77SK NIKE INC NKE Feb 07 2020 101.0 Call 5

Date Sold	02/03/20	**Date Acquired**	02/03/20
Sales Price/Proceeds	467.	**Cost Basis**	448.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	19.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NKE AKB77VC NIKE INC NKE Feb 07 2020 102.0 Call 5

Date Sold	02/04/20	**Date Acquired**	02/04/20
Sales Price/Proceeds	627.	**Cost Basis**	498.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	129.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NKLA KHE3H0 NIKOLA CORPORATION NKLA Aug 14 2020 45.0 Call 8

Date Sold	08/10/20	**Date Acquired**	08/10/20
Sales Price/Proceeds	1,435.	**Cost Basis**	1,480.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-45.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NOW AKAVPUK SERVICENOW INC NOW Jan 31 2020 335.0 Call 1

Date Sold	01/30/20	**Date Acquired**	01/30/20
Sales Price/Proceeds	669.	**Cost Basis**	623.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	46.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NOW AKB7QMC SERVICENOW INC NOW Feb 07 2020 345.0 Call 3

Date Sold	02/05/20	**Date Acquired**	02/05/20
Sales Price/Proceeds	871.	**Cost Basis**	1,292.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-421.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NVAX KFQ6K4 NOVAVAX INC NVAX Jun 26 2020 85.0 Call 1

Date Sold	06/25/20	**Date Acquired**	06/25/20
Sales Price/Proceeds	369.	**Cost Basis**	370.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-1.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NVAX AKHEASW NOVAVAX INC NVAX Aug 14 2020 140.0 Call 2

Date Sold	08/14/20	**Date Acquired**	08/14/20
Sales Price/Proceeds	919.	**Cost Basis**	782.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	137.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NVAX AKI48PW NOVAVAX INC NVAX Sep 04 2020 113.0 Call 1

Date Sold	09/03/20	**Date Acquired**	09/03/20
Sales Price/Proceeds	225.	**Cost Basis**	406.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-181.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NVDA AKBLOB0 NVIDIA CORP NVDA Feb 21 2020 315.0 Call 2

Date Sold	02/19/20	**Date Acquired**	02/19/20
Sales Price/Proceeds	459.	**Cost Basis**	397.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	62.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NVDA AKEMRS0 NVIDIA CORP NVDA May 22 2020 360.0 Call 5

Date Sold	05/22/20	**Date Acquired**	05/22/20
Sales Price/Proceeds	2,447.	**Cost Basis**	1,448.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	999.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NVDA AKEMS5W NVIDIA CORP NVDA May 22 2020 365.0 Call 10

Date Sold	05/22/20	**Date Acquired**	05/22/20
Sales Price/Proceeds	2,093.	**Cost Basis**	2,592.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-499.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NVDA AKGAV90 NVIDIA CORP NVDA Jul 10 2020 405.0 Call 2

Date Sold	07/07/20	**Date Acquired**	07/07/20
Sales Price/Proceeds	779.	**Cost Basis**	941.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-162.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NVDA AKI43Z2 NVIDIA CORP NVDA Sep 04 2020 515.0 Call 2

Date Sold	09/04/20	**Date Acquired**	09/04/20
Sales Price/Proceeds	1,679.	**Cost Basis**	1,401.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	278.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NVDA AKI441U NVIDIA CORP NVDA Sep 04 2020 525.0 Call 4

Date Sold	09/04/20	**Date Acquired**	09/04/20
Sales Price/Proceeds	2,797.	**Cost Basis**	2,527.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	270.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NVDA AKPHLZO NVIDIA CORP NVDA Apr 17 2020 285.0 Put 2

Date Sold	04/16/20	**Date Acquired**	04/16/20
Sales Price/Proceeds	359.	**Cost Basis**	355.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	4.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NVDA AKRQSJS NVIDIA CORP NVDA Jun 26 2020 370.0 Put 2

Date Sold	06/24/20	**Date Acquired**	06/24/20
Sales Price/Proceeds	719.	**Cost Basis**	527.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	192.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . NVDA AKU440G NVIDIA CORP NVDA Sep 04 2020 520.0 Put 1

Date Sold	09/03/20	**Date Acquired**	09/03/20
Sales Price/Proceeds	519.	**Cost Basis**	451.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	68.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . OXY KFC1QI OCCIDENTAL PETROLEUM CORP OXY Jun 12 2020 22.5 Call 2

Date Sold	06/08/20	**Date Acquired**	06/08/20
Sales Price/Proceeds	383.	**Cost Basis**	309.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	74.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . PDD AKLVDI4 PINDUODUO INC PDD Dec 31 2020 175.0 Call 1

Date Sold	12/30/20	**Date Acquired**	12/30/20
Sales Price/Proceeds	254.	**Cost Basis**	231.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	23.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . PINS KHE2US PINTEREST INC PINS Aug 14 2020 37.0 Call 20

Date Sold	08/11/20	**Date Acquired**	08/10/20
Sales Price/Proceeds	527.	**Cost Basis**	1,613.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-1,086.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . RCL KCD3UW ROYAL CARIBBEAN CRUISES RCL Mar 13 2020 50.0 Call 1

Date Sold	03/11/20	**Date Acquired**	03/11/20
Sales Price/Proceeds	344.	**Cost Basis**	454.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-110.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . RCL KP938O ROYAL CARIBBEAN CRUISES RCL Apr 09 2020 42.0 Put 2

Date Sold	04/09/20	**Date Acquired**	04/09/20
Sales Price/Proceeds	231.	**Cost Basis**	227.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	4.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ROKU AKGABYK ROKU INC ROKU Jul 10 2020 155.0 Call 2

Date Sold	07/10/20	**Date Acquired**	07/10/20
Sales Price/Proceeds	619.	**Cost Basis**	557.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	62.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ROKU AKGVC5I ROKU INC ROKU Jul 31 2020 157.5 Call 16

Date Sold	07/31/20	**Date Acquired**	07/31/20
Sales Price/Proceeds	1,227.	**Cost Basis**	1,459.
Adjustment Code(s)	W	**Adjustment Amount**	223.
Gain/(Loss)	-9.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 223.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . RXT KHL1CM RACKSPACE TECHNOLOGY INC RXT Aug 21 2020 17.5 Call 2

Date Sold	08/17/20	**Date Acquired**	08/17/20
Sales Price/Proceeds	429.	**Cost Basis**	371.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	58.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SHOP AKBE4MO SHOPIFY INC SHOP Feb 14 2020 600.0 Call 2

Date Sold	02/12/20	**Date Acquired**	02/12/20
Sales Price/Proceeds	399.	**Cost Basis**	1,509.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-1,110.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SHOP AKDO50K SHOPIFY INC SHOP Apr 24 2020 650.0 Call 1

Date Sold	04/20/20	**Date Acquired**	04/20/20
Sales Price/Proceeds	2,999.	**Cost Basis**	2,701.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	298.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SHOP AKDO5EG SHOPIFY INC SHOP Apr 24 2020 700.0 Call 2

Date Sold	04/21/20	**Date Acquired**	04/21/20
Sales Price/Proceeds	3,816.	**Cost Basis**	3,998.
Adjustment Code(s)	W	**Adjustment Amount**	165.
Gain/(Loss)	-17.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 165.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SHOP AKET5XW SHOPIFY INC SHOP May 29 2020 770.0 Call 1

Date Sold	05/29/20	**Date Acquired**	05/29/20
Sales Price/Proceeds	799.	**Cost Basis**	594.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	205.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SHOP AKET5ZA SHOPIFY INC SHOP May 29 2020 775.0 Call 2

Date Sold	05/28/20	**Date Acquired**	05/28/20
Sales Price/Proceeds	1,879.	**Cost Basis**	1,867.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	12.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SHOP AKFJ668 SHOPIFY INC SHOP Jun 19 2020 800.0 Call 2

Date Sold	06/15/20	**Date Acquired**	06/15/20
Sales Price/Proceeds	4,499.	**Cost Basis**	3,751.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	748.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SPCE KBL2S0 VIRGIN GALACTIC HLDGS INC SPCE Feb 21 2020 36.0 Call 1

Date Sold	02/19/20	**Date Acquired**	02/19/20
Sales Price/Proceeds	169.	**Cost Basis**	236.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-67.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SPLK AK06BCC SPLUNK INC SPLK Mar 06 2020 147.0 Put 1

Date Sold	03/05/20	**Date Acquired**	03/05/20
Sales Price/Proceeds	369.	**Cost Basis**	341.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	28.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SPOT AKFQJAG SPOTIFY TECHNOLOGY S.A. SPOT Jun 26 2020 250.0 Call 2

Date Sold	06/25/20	**Date Acquired**	06/25/20
Sales Price/Proceeds	1,059.	**Cost Basis**	865.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	194.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SPY AKBQOB0 SPDR S&P500 ETF TRUST SPY Feb 26 2020 315.0 Call 1

Date Sold	02/25/20	**Date Acquired**	02/25/20
Sales Price/Proceeds	488.	**Cost Basis**	467.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	21.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SPY AKC9LRC SPDR S&P500 ETF TRUST SPY Mar 09 2020 282.0 Call 1

Date Sold	03/09/20	**Date Acquired**	03/09/20
Sales Price/Proceeds	319.	**Cost Basis**	375.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-56.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SPY AKMVP2S SPDR S&P500 ETF TRUST SPY Jan 31 2020 325.0 Put 1

Date Sold	01/30/20	**Date Acquired**	01/30/20
Sales Price/Proceeds	136.	**Cost Basis**	179.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-43.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SPY AKMVP46 SPDR S&P500 ETF TRUST SPY Jan 31 2020 325.5 Put 12

Date Sold	01/31/20	**Date Acquired**	01/31/20
Sales Price/Proceeds	1,810.	**Cost Basis**	1,888.
Adjustment Code(s)	W	**Adjustment Amount**	111.
Gain/(Loss)	33.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 111.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SPY AKMVP9Q SPDR S&P500 ETF TRUST SPY Jan 31 2020 327.5 Put 6

Date Sold	01/24/20	**Date Acquired**	01/24/20
Sales Price/Proceeds	1,214.	**Cost Basis**	1,018.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	196.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SPY AKO4NHU SPDR S&P500 ETF TRUST SPY Mar 04 2020 304.5 Put 1

Date Sold	03/04/20	**Date Acquired**	03/04/20
Sales Price/Proceeds	264.	**Cost Basis**	234.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	30.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SPY AKO6MLW SPDR S&P500 ETF TRUST SPY Mar 06 2020 293.0 Put 1

Date Sold	03/06/20	**Date Acquired**	03/06/20
Sales Price/Proceeds	137.	**Cost Basis**	121.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	16.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SPY AKRTMRG SPDR S&P500 ETF TRUST SPY Jun 29 2020 295.0 Put 20

Date Sold	06/25/20	**Date Acquired**	06/25/20
Sales Price/Proceeds	2,747.	**Cost Basis**	2,743.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	4.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SQ KEF5SC SQUARE INC SQ May 15 2020 75.0 Call 6

Date Sold	05/07/20	**Date Acquired**	05/07/20
Sales Price/Proceeds	974.	**Cost Basis**	919.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	55.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SQ AKH7BKO SQUARE INC SQ Aug 07 2020 150.0 Call 2

Date Sold	08/05/20	**Date Acquired**	08/05/20
Sales Price/Proceeds	503.	**Cost Basis**	491.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	12.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SQ AKH7BKO SQUARE INC SQ Aug 07 2020 150.0 Call 5

Date Sold	08/06/20	**Date Acquired**	VARIOUS
Sales Price/Proceeds	1,782.	**Cost Basis**	1,429.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	353.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SQ AKH7BYK SQUARE INC SQ Aug 07 2020 155.0 Call 16

Date Sold	08/06/20	**Date Acquired**	08/06/20
Sales Price/Proceeds	4,187.	**Cost Basis**	3,816.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	371.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SQ AKH7CCG SQUARE INC SQ Aug 07 2020 160.0 Call 20

Date Sold	08/05/20	**Date Acquired**	08/05/20
Sales Price/Proceeds	3,627.	**Cost Basis**	3,557.
Adjustment Code(s)	W	**Adjustment Amount**	3.
Gain/(Loss)	73.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 3.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SQ AKH7CCG SQUARE INC SQ Aug 07 2020 160.0 Call 5

Date Sold	08/06/20	**Date Acquired**	08/06/20
Sales Price/Proceeds	257.	**Cost Basis**	748.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-491.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TDOC AKDODW0 TELADOC HEALTH INC TDOC Apr 24 2020 180.0 Call 1

Date Sold	04/20/20	**Date Acquired**	04/20/20
Sales Price/Proceeds	679.	**Cost Basis**	633.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	46.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TDOC AKFQG7C TELADOC HEALTH INC TDOC Jun 26 2020 210.0 Call 4

Date Sold	06/23/20	**Date Acquired**	VARIOUS
Sales Price/Proceeds	1,017.	**Cost Basis**	1,627.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-610.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKA3XY8 TESLA INC TSLA Jan 03 2020 440.0 Call 3

Date Sold	01/03/20	**Date Acquired**	01/03/20
Sales Price/Proceeds	2,119.	**Cost Basis**	2,024.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	95.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKA3YQ0 TESLA INC TSLA Jan 03 2020 450.0 Call 187

Date Sold	01/03/20	**Date Acquired**	01/03/20
Sales Price/Proceeds	29,872.	**Cost Basis**	41,473.
Adjustment Code(s)	W	**Adjustment Amount**	3,114.
Gain/(Loss)	-8,487.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 3,114.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKA3Z3W TESLA INC TSLA Jan 03 2020 455.0 Call 230

Date Sold	01/03/20	**Date Acquired**	01/03/20
Sales Price/Proceeds	24,115.	**Cost Basis**	67,288.
Adjustment Code(s)	W	**Adjustment Amount**	18,503.
Gain/(Loss)	-24,670.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ► _____
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ► _____
Amount of gain excluded . . . . . _____

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ► _____
Amount of gain deferred . . . . . ► _____

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 18,503.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ► _____

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKAV4YH TESLA INC TSLA Jan 31 2020 642.5 Call 1

Date Sold	01/30/20	**Date Acquired**	01/30/20
Sales Price/Proceeds	899.	**Cost Basis**	786.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	113.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKAV50K TESLA INC TSLA Jan 31 2020 650.0 Call 3

Date Sold	01/30/20	**Date Acquired**	01/30/20
Sales Price/Proceeds	2,783.	**Cost Basis**	2,773.
Adjustment Code(s)	W	**Adjustment Amount**	16.
Gain/(Loss)	26.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 16.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKB75EG TESLA INC TSLA Feb 07 2020 700.0 Call 1

Date Sold	02/03/20	**Date Acquired**	02/03/20
Sales Price/Proceeds	2,215.	**Cost Basis**	1,996.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	219.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKB75K0 TESLA INC TSLA Feb 07 2020 720.0 Call 1

Date Sold	02/03/20	**Date Acquired**	02/03/20
Sales Price/Proceeds	2,234.	**Cost Basis**	1,986.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	248.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKB75XW TESLA INC TSLA Feb 07 2020 770.0 Call 8

Date Sold	02/07/20	**Date Acquired**	02/07/20
Sales Price/Proceeds	1,515.	**Cost Basis**	5,818.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-4,303.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKB76K4 TESLA INC TSLA Feb 07 2020 850.0 Call 1

Date Sold	02/04/20	**Date Acquired**	02/04/20
Sales Price/Proceeds	5,554.	**Cost Basis**	4,685.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	869.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKB76K4 TESLA INC TSLA Feb 07 2020 850.0 Call 1

Date Sold	02/05/20	**Date Acquired**	02/05/20
Sales Price/Proceeds	2,505.	**Cost Basis**	2,331.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	174.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKBE6AE TESLA INC TSLA Feb 14 2020 815.0 Call 1

Date Sold	02/14/20	**Date Acquired**	02/14/20
Sales Price/Proceeds	529.	**Cost Basis**	431.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	98.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKCR3X0 TESLA INC TSLA Mar 27 2020 510.0 Call 1

Date Sold	03/24/20	**Date Acquired**	03/24/20
Sales Price/Proceeds	2,119.	**Cost Basis**	2,000.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	119.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKDH5SC TESLA INC TSLA Apr 17 2020 750.0 Call 1

Date Sold	04/14/20	**Date Acquired**	04/14/20
Sales Price/Proceeds	2,624.	**Cost Basis**	2,601.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	23.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKDO5H8 TESLA INC TSLA Apr 24 2020 710.0 Call 18

Date Sold	04/24/20	**Date Acquired**	04/24/20
Sales Price/Proceeds	12,798.	**Cost Basis**	14,199.
Adjustment Code(s)	W	**Adjustment Amount**	1,284.
Gain/(Loss)	-117.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1,284.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKDO5K0 TESLA INC TSLA Apr 24 2020 720.0 Call 10

Date Sold	04/22/20	**Date Acquired**	04/22/20
Sales Price/Proceeds	15,033.	**Cost Basis**	14,927.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	106.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKDO5LE TESLA INC TSLA Apr 24 2020 725.0 Call 5

Date Sold	04/24/20	**Date Acquired**	04/24/20
Sales Price/Proceeds	1,467.	**Cost Basis**	1,278.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	189.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKDO5SC TESLA INC TSLA Apr 24 2020 750.0 Call 6

Date Sold	04/21/20	**Date Acquired**	04/21/20
Sales Price/Proceeds	9,566.	**Cost Basis**	17,598.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-8,032.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKE1668 TESLA INC TSLA May 01 2020 800.0 Call 1

Date Sold	04/27/20	**Date Acquired**	04/27/20
Sales Price/Proceeds	3,476.	**Cost Basis**	3,336.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	140.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKE85SC TESLA INC TSLA May 08 2020 750.0 Call 1

Date Sold	05/04/20	**Date Acquired**	05/04/20
Sales Price/Proceeds	2,327.	**Cost Basis**	2,289.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	38.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKE8622 TESLA INC TSLA May 08 2020 785.0 Call 1

Date Sold	05/07/20	**Date Acquired**	05/07/20
Sales Price/Proceeds	1,481.	**Cost Basis**	1,390.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	91.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKE8668 TESLA INC TSLA May 08 2020 800.0 Call 5

Date Sold	05/05/20	**Date Acquired**	05/05/20
Sales Price/Proceeds	7,396.	**Cost Basis**	10,407.
Adjustment Code(s)	W	**Adjustment Amount**	3,211.
Gain/(Loss)	200.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 3,211.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKE8668 TESLA INC TSLA May 08 2020 800.0 Call 6

Date Sold	05/06/20	**Date Acquired**	05/06/20
Sales Price/Proceeds	4,084.	**Cost Basis**	11,112.
Adjustment Code(s)	W	**Adjustment Amount**	2,640.
Gain/(Loss)	-4,388.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 2,640.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKE8690 TESLA INC TSLA May 08 2020 810.0 Call 1

Date Sold	05/08/20	**Date Acquired**	05/08/20
Sales Price/Proceeds	999.	**Cost Basis**	941.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	58.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKE86BS TESLA INC TSLA May 08 2020 820.0 Call 4

Date Sold	05/08/20	**Date Acquired**	05/08/20
Sales Price/Proceeds	2,529.	**Cost Basis**	2,369.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	160.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKEF668 TESLA INC TSLA May 15 2020 800.0 Call 1

Date Sold	05/14/20	**Date Acquired**	05/14/20
Sales Price/Proceeds	939.	**Cost Basis**	1,022.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-83.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKEF6K4 TESLA INC TSLA May 15 2020 850.0 Call 2

Date Sold	05/12/20	**Date Acquired**	05/12/20
Sales Price/Proceeds	3,814.	**Cost Basis**	4,019.
Adjustment Code(s)	W	**Adjustment Amount**	205.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 205.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKEF6K4 TESLA INC TSLA May 15 2020 850.0 Call 4

Date Sold	05/13/20	**Date Acquired**	05/13/20
Sales Price/Proceeds	2,867.	**Cost Basis**	2,901.
Adjustment Code(s)	W	**Adjustment Amount**	22.
Gain/(Loss)	-12.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 22.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKF57BW TESLA INC TSLA Jun 05 2020 950.0 Call 1

Date Sold	06/02/20	**Date Acquired**	06/02/20
Sales Price/Proceeds	1,244.	**Cost Basis**	1,151.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	93.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKFJ7IU TESLA INC TSLA Jun 19 2020 975.0 Call 1

Date Sold	06/15/20	**Date Acquired**	06/15/20
Sales Price/Proceeds	1,949.	**Cost Basis**	2,061.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-112.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKI43PC TESLA INC TSLA Sep 04 2020 480.0 Call 1

Date Sold	08/31/20	**Date Acquired**	08/31/20
Sales Price/Proceeds	1,496.	**Cost Basis**	1,438.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	58.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKI43UW TESLA INC TSLA Sep 04 2020 500.0 Call 3

Date Sold	08/31/20	**Date Acquired**	08/31/20
Sales Price/Proceeds	3,518.	**Cost Basis**	3,454.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	64.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKI4WSK TESLA INC TSLA Sep 04 2020 425.0 Call 6

Date Sold	09/04/20	**Date Acquired**	09/04/20
Sales Price/Proceeds	482.	**Cost Basis**	4,220.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-3,738.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKI4ZHS TESLA INC TSLA Sep 04 2020 460.0 Call 1

Date Sold	09/02/20	**Date Acquired**	09/02/20
Sales Price/Proceeds	1,269.	**Cost Basis**	1,235.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	34.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKM3XY8 TESLA INC TSLA Jan 03 2020 440.0 Put 5

Date Sold	01/03/20	**Date Acquired**	01/03/20
Sales Price/Proceeds	547.	**Cost Basis**	518.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	29.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKN758W TESLA INC TSLA Feb 07 2020 680.0 Put 3

Date Sold	02/06/20	**Date Acquired**	02/06/20
Sales Price/Proceeds	4,948.	**Cost Basis**	4,359.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	589.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKNL6K4 TESLA INC TSLA Feb 21 2020 850.0 Put 1

Date Sold	02/20/20	**Date Acquired**	02/20/20
Sales Price/Proceeds	413.	**Cost Basis**	712.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-299.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKOD4S8 TESLA INC TSLA Mar 13 2020 620.0 Put 2

Date Sold	03/11/20	**Date Acquired**	03/11/20
Sales Price/Proceeds	3,129.	**Cost Basis**	3,131.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-2.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKQ15SC TESLA INC TSLA May 01 2020 750.0 Put 1

Date Sold	04/28/20	**Date Acquired**	04/28/20
Sales Price/Proceeds	3,654.	**Cost Basis**	3,881.
Adjustment Code(s)	W	**Adjustment Amount**	226.
Gain/(Loss)	-1.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III **Specific Adjustments (cont.)**

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 226.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV **Amounts That Will Be Reported on Forms Other Than Form 8949**

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKQ15SC TESLA INC TSLA May 01 2020 750.0 Put 6

Date Sold	05/01/20	**Date Acquired**	05/01/20
Sales Price/Proceeds	5,472.	**Cost Basis**	7,140.
Adjustment Code(s)	W	**Adjustment Amount**	302.
Gain/(Loss)	-1,366.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 302.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKQF5SC TESLA INC TSLA May 15 2020 750.0 Put 2

Date Sold	05/14/20	**Date Acquired**	05/14/20
Sales Price/Proceeds	1,199.	**Cost Basis**	1,179.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	20.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**

	Short-Term	Long-Term	Total
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKRQ7BW TESLA INC TSLA Jun 26 2020 950.0 Put 4

Date Sold	06/24/20	**Date Acquired**	06/24/20
Sales Price/Proceeds	3,747.	**Cost Basis**	4,644.
Adjustment Code(s)	W	**Adjustment Amount**	666.
Gain/(Loss)	-231.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 666.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKU4UV4 TESLA INC TSLA Sep 04 2020 400.0 Put 2

Date Sold	09/03/20	**Date Acquired**	09/03/20
Sales Price/Proceeds	1,850.	**Cost Basis**	1,795.
Adjustment Code(s)	W	**Adjustment Amount**	33.
Gain/(Loss)	88.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 33.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKU4VMW TESLA INC TSLA Sep 04 2020 410.0 Put 1

Date Sold	09/03/20	**Date Acquired**	09/03/20
Sales Price/Proceeds	1,214.	**Cost Basis**	1,206.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	8.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKUBPGO TESLA INC TSLA Sep 11 2020 330.0 Put 1

Date Sold	09/08/20	**Date Acquired**	09/08/20
Sales Price/Proceeds	1,949.	**Cost Basis**	1,851.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	98.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA AKUBR08 TESLA INC TSLA Sep 11 2020 350.0 Put 1

Date Sold	09/09/20	**Date Acquired**	09/09/20
Sales Price/Proceeds	1,356.	**Cost Basis**	1,551.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-195.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA KKFJ7VC TESLA INC TSLA Jun 19 2020 1020.0 Call 5

Date Sold	06/18/20	**Date Acquired**	06/18/20
Sales Price/Proceeds	1,897.	**Cost Basis**	5,485.
Adjustment Code(s)	W	**Adjustment Amount**	3,589.
Gain/(Loss)	1.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 3,589.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA KKFJ7VC TESLA INC TSLA Jun 19 2020 1020.0 Call 10

Date Sold	06/19/20	**Date Acquired**	06/19/20
Sales Price/Proceeds	4,563.	**Cost Basis**	11,096.
Adjustment Code(s)	W	**Adjustment Amount**	3,126.
Gain/(Loss)	-3,407.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 3,126.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA KKG2830 TESLA INC TSLA Jul 02 2020 1050.0 Call 1

Date Sold	06/30/20	**Date Acquired**	06/30/20
Sales Price/Proceeds	1,999.	**Cost Basis**	1,691.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	308.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA KKG28KC TESLA INC TSLA Jul 02 2020 1110.0 Call 1

Date Sold	07/01/20	**Date Acquired**	07/01/20
Sales Price/Proceeds	2,299.	**Cost Basis**	2,056.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	243.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA KKG29EW TESLA INC TSLA Jul 02 2020 1220.0 Call 2

Date Sold	07/02/20	**Date Acquired**	07/02/20
Sales Price/Proceeds	2,519.	**Cost Basis**	2,021.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	498.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA KKGAASW TESLA INC TSLA Jul 10 2020 1400.0 Call 2

Date Sold	07/06/20	**Date Acquired**	07/06/20
Sales Price/Proceeds	3,999.	**Cost Basis**	3,291.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	708.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA KKGABF4 TESLA INC TSLA Jul 10 2020 1480.0 Call 2

Date Sold	07/10/20	**Date Acquired**	07/10/20
Sales Price/Proceeds	2,799.	**Cost Basis**	2,309.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	490.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA KKGHCCG TESLA INC TSLA Jul 17 2020 1600.0 Call 1

Date Sold	07/08/20	**Date Acquired**	07/08/20
Sales Price/Proceeds	1,199.	**Cost Basis**	2,996.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-1,797.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA KKHSH4O TESLA INC TSLA Aug 28 2020 2220.0 Call 3

Date Sold	08/28/20	**Date Acquired**	08/28/20
Sales Price/Proceeds	5,098.	**Cost Basis**	5,041.
Adjustment Code(s)	W	**Adjustment Amount**	150.
Gain/(Loss)	207.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 150.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TSLA KKHSJAG TESLA INC TSLA Aug 28 2020 2500.0 Call 10

Date Sold	08/27/20	**Date Acquired**	08/27/20
Sales Price/Proceeds	5,743.	**Cost Basis**	5,407.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	336.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TTD AKA3KU0 THE TRADE DESK INC TTD Jan 03 2020 270.0 Call 1

Date Sold	01/02/20	**Date Acquired**	01/02/20
Sales Price/Proceeds	329.	**Cost Basis**	316.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	13.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TTD AKB7LZO THE TRADE DESK INC TTD Feb 07 2020 285.0 Call 1

Date Sold	02/04/20	**Date Acquired**	02/04/20
Sales Price/Proceeds	599.	**Cost Basis**	674.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-75.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TTD AKB7N5C THE TRADE DESK INC TTD Feb 07 2020 300.0 Call 3

Date Sold	02/06/20	**Date Acquired**	02/06/20
Sales Price/Proceeds	503.	**Cost Basis**	1,025.
Adjustment Code(s)	W	**Adjustment Amount**	157.
Gain/(Loss)	-365.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 157.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TTD AKB7OB0 THE TRADE DESK INC TTD Feb 07 2020 315.0 Call 4

Date Sold	02/05/20	**Date Acquired**	02/05/20
Sales Price/Proceeds	617.	**Cost Basis**	1,129.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-512.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TTD AKDOJAG THE TRADE DESK INC TTD Apr 24 2020 250.0 Call 8

Date Sold	04/20/20	**Date Acquired**	04/20/20
Sales Price/Proceeds	4,875.	**Cost Basis**	5,959.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-1,084.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TTD AKN7L7W THE TRADE DESK INC TTD Feb 07 2020 275.0 Put 1

Date Sold	02/05/20	**Date Acquired**	02/05/20
Sales Price/Proceeds	184.	**Cost Basis**	183.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	1.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TWLO AKE8BYK TWILIO INC TWLO May 08 2020 155.0 Call 1

Date Sold	05/07/20	**Date Acquired**	05/07/20
Sales Price/Proceeds	749.	**Cost Basis**	520.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	229.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . TWLO AKE8DI4 TWILIO INC TWLO May 08 2020 175.0 Call 2

Date Sold	05/08/20	**Date Acquired**	05/08/20
Sales Price/Proceeds	519.	**Cost Basis**	611.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-92.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . UAL KG22XK UNITED AIRLINES HOLDINGS UAL Jul 02 2020 38.0 Call 5

Date Sold	07/01/20	**Date Acquired**	07/01/20
Sales Price/Proceeds	87.	**Cost Basis**	501.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-414.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . UNH AKBLNJ8 UNITEDHEALTH GROUP INC UNH Feb 21 2020 305.0 Call 1

Date Sold	02/18/20	**Date Acquired**	02/18/20
Sales Price/Proceeds	164.	**Cost Basis**	157.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	7.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . UNH AKFJN5C UNITEDHEALTH GROUP INC UNH Jun 19 2020 300.0 Call 1

Date Sold	06/16/20	**Date Acquired**	06/16/20
Sales Price/Proceeds	199.	**Cost Basis**	151.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	48.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . V7780T103 ROYAL CARIBBEAN GROUP COM 100

Date Sold	03/16/20	**Date Acquired**	03/12/20
Sales Price/Proceeds	3,330.	**Cost Basis**	3,331.
Adjustment Code(s)	W	**Adjustment Amount**	1.
Gain/(Loss)	0.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ► _____
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ► _____
Amount of gain excluded . . . . . _____

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ► _____
Amount of gain deferred . . . . . ► _____

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ► _____

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . V7780T103 ROYAL CARIBBEAN GROUP COM 200

Date Sold	04/02/20	**Date Acquired**	03/31/20
Sales Price/Proceeds	6,654.	**Cost Basis**	6,629.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	25.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . V7780T103 ROYAL CARIBBEAN GROUP COM 1500

Date Sold	07/15/20	**Date Acquired**	07/15/20
Sales Price/Proceeds	83,581.	**Cost Basis**	83,714.
Adjustment Code(s)	W	**Adjustment Amount**	103.
Gain/(Loss)	-30.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 103.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . W AKFJFTG WAYFAIR INC W Jun 19 2020 205.0 Call 2

Date Sold	06/17/20	**Date Acquired**	06/17/20
Sales Price/Proceeds	839.	**Cost Basis**	799.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	40.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . W AKQFDW0 WAYFAIR INC W May 15 2020 180.0 Put 1

Date Sold	05/13/20	**Date Acquired**	05/13/20
Sales Price/Proceeds	749.	**Cost Basis**	727.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	22.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . XPEV KLI3JS XPENG INC XPEV Dec 18 2020 46.0 Call 1

Date Sold	12/18/20	**Date Acquired**	12/18/20
Sales Price/Proceeds	224.	**Cost Basis**	198.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	26.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ZM AKE1DW0 ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 180.0 Call 1

Date Sold	04/24/20	**Date Acquired**	04/23/20
Sales Price/Proceeds	979.	**Cost Basis**	691.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	288.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ZM AKF5HD0 ZOOM VIDEO COMMUNICATIONS INC ZM Jun 05 2020 225.0 Call 1

Date Sold	06/03/20	**Date Acquired**	06/03/20
Sales Price/Proceeds	499.	**Cost Basis**	416.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	83.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ZM AKI4XY8 ZOOM VIDEO COMMUNICATIONS INC ZM Sep 04 2020 440.0 Call 2

Date Sold	09/01/20	**Date Acquired**	09/01/20
Sales Price/Proceeds	3,704.	**Cost Basis**	3,399.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	305.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ZM AKI4YQ0 ZOOM VIDEO COMMUNICATIONS INC ZM Sep 04 2020 450.0 Call 2

Date Sold	09/01/20	**Date Acquired**	09/01/20
Sales Price/Proceeds	3,239.	**Cost Basis**	3,085.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	154.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ZM AKPOCQC ZOOM VIDEO COMMUNICATIONS INC ZM Apr 24 2020 165.0 Put 1

Date Sold	04/24/20	**Date Acquired**	04/24/20
Sales Price/Proceeds	429.	**Cost Basis**	334.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	95.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ZM AKQ1ASW ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 140.0 Put 50

Date Sold	04/29/20	**Date Acquired**	04/29/20
Sales Price/Proceeds	6,996.	**Cost Basis**	16,655.
Adjustment Code(s)	W	**Adjustment Amount**	6,070.
Gain/(Loss)	-3,589.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 6,070.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss	►
State name		Accrued interest to Sch B	►
State tax withheld		Sec. 1242 loss on Form 4797	►
State identification number		Sec. 1244 loss on Form 4797	►

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ZM AKQ1ASW ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 140.0 Put 15

Date Sold	04/30/20	**Date Acquired**	04/30/20
Sales Price/Proceeds	8,958.	**Cost Basis**	10,809.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-1,851.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ZM AKQ1B6S ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 145.0 Put 2

Date Sold	04/29/20	**Date Acquired**	04/29/20
Sales Price/Proceeds	1,059.	**Cost Basis**	1,051.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	8.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ZM AKQ1BKO ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 150.0 Put 2

Date Sold	04/24/20	**Date Acquired**	04/24/20
Sales Price/Proceeds	1,159.	**Cost Basis**	1,141.
Adjustment Code(s)	W	**Adjustment Amount**	1.
Gain/(Loss)	19.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ► _____
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ► _____
Amount of gain excluded . . . . . _____

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ► _____
Amount of gain deferred . . . . . ► _____

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 1.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ► _____

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ZM AKQ1BKO ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 150.0 Put 27

Date Sold	04/27/20	**Date Acquired**	04/27/20
Sales Price/Proceeds	11,607.	**Cost Basis**	11,701.
Adjustment Code(s)	W	**Adjustment Amount**	183.
Gain/(Loss)	89.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . _____ Adjustment Amount . . . . . _____

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ► _____

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ► _____

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ► _____

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ► _____

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ► _____

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ► _____

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☒ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► 183.

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ZM AKR5FTG ZOOM VIDEO COMMUNICATIONS INC ZM Jun 05 2020 205.0 Put 1

Date Sold	06/04/20	**Date Acquired**	06/04/20
Sales Price/Proceeds	142.	**Cost Basis**	235.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-93.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . ZS KET6Y0 ZSCALER INC ZS May 29 2020 90.0 Call 3

Date Sold	05/29/20	**Date Acquired**	05/29/20
Sales Price/Proceeds	1,108.	**Cost Basis**	857.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	251.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Form 1099-B Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Name of reporting financial institution ► Robinhood Securities LLC

Acct Number . . . . . ► 169149200 **Reporter's Tax ID** . . . ► 38-4019216

Owner of account . . . . . ►

Transactions were not reported to IRS . . . . . ► ☐

Form 8949 Reporting Exception Transactions

Any transactions that are eligible to be reported directly on Schedule D, bypassing Form 8949, may be summarized here.

	Proceeds	Cost Basis
Box A transactions to report directly on Sch D, Line 1a (short term)		
Box D transactions to report directly on Sch D, Line 8a (long term)		

(Do **not** duplicate any transactions summarized above when making entries in the table below.)

Quick Entry Table

If *you have additional sale info to enter for a sale,* **double-click on any field** *in the table to* **QuickZoom** *to the associated* **Capital Gain (Loss) Adjustments Worksheet**. *(See field help for more details.)*

Sale#	Property Description				
8949 Box	**Date Sold**	**Date Acquired**	**Sales Price (Proceeds)**	**Cost or Other Basis**	**Disallowed Wash Sale**
Adjustment Amount	**Adjustment Code(s)**	**Holding Period**	**Basis Reported to IRS?**		**Reported on Form 1099B?**
1	AAPL 07/17/2020 CALL $390.00 1		Check here if this summarizes multiple sales. . . . . ►		
A	07/16/20	07/16/20	185.98	220.00	0.00
		S	Yes X	No	Yes X No
For interview use only	0				X
			0.00		
2	SPY 06/29/2020 PUT $295.00 1		Check here if this summarizes multiple sales. . . . . ►		
A	06/25/20	06/24/20	130.98	143.00	0.00
		S	Yes X	No	Yes X No
For interview use only	0				X
			0.00		
3	SPY 06/29/2020 PUT $297.00 1		Check here if this summarizes multiple sales. . . . . ►		
A	06/24/20	06/24/20	209.98	166.00	0.00
		S	Yes X	No	Yes X No
For interview use only	0				X
			0.00		
			Check here if this summarizes multiple sales. . . . . ►		
			Yes	No	Yes No
For interview use only					

Sale(s) missing info? ____

Sale Results for Form 8949

#	8949 Box	Description	Sale Proceeds	Cost Basis	Adj. Code(s)	Adjustment Amount	Gain or (Loss)	S/L
1	A	AAPL 07/17/2020 CALL $390.00 1	186.	220.			-34.	S
2	A	SPY 06/29/2020 PUT $295.00 1	131.	143.			-12.	S
3	A	SPY 06/29/2020 PUT $297.00 1	210.	166.			44.	S

Brokerage Statement (Form 1099-B or substitute) Summary Table

This table shows gains and losses by "8949 Box" (i.e. the Box to be checked on Form 8949) to assist in reconciling the transactions in the Quick Entry Table with the brokerage statement they may have been reported on. Note that individual sales are rounded off to the nearest dollar. As result, the various summarized values below may differ slightly from statement totals.

8949 Box	Proceeds	Cost Basis	Gain/(Loss)	Adjustment Amt	Adj Gain/(Loss)	Code(s)
Box A	527.	529.	-2.	0.	-2.	
Short term sales with cost basis reported to the IRS						
Totals	527.	529.	-2.	0.	-2.	

Total Federal Backup Withholding . . . . . 0.

State ID

State Backup Witholding . . . . . ____ ____

____ ____

____ ____

____ ____

Total State Backup Withholding . . . . . ____

Total Collectible Gain . . . . . .

Qualified Small Business (QSB) gain (Sec 1202)	Total Gain	Excluded Gain	Net Gain
Maximum 50% exclusion gain . . . . . ►			
Maximum 60% exclusion gain . . . . . ►			
Maximum 75% exclusion gain . . . . . ►			
Maximum 100% exclusion gain . . . . . ►			

PDF Attachment

Taxpayer must mail in a statement with more details? . . . . . ☐ Yes ☒ No

If yes, you'll need to submit a copy of your 1099-B to the IRS to provide documentation for some of the transactions on your sales summary.

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . AAPL 07/17/2020 CALL $390.00 1

Date Sold	07/16/20	**Date Acquired**	07/16/20
Sales Price/Proceeds	186.	**Cost Basis**	220.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-34.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SPY 06/29/2020 PUT $295.00 1

Date Sold	06/25/20	**Date Acquired**	06/24/20
Sales Price/Proceeds	131.	**Cost Basis**	143.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	-12.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . SPY 06/29/2020 PUT $297.00 1

Date Sold	06/24/20	**Date Acquired**	06/24/20
Sales Price/Proceeds	210.	**Cost Basis**	166.
Adjustment Code(s)		**Adjustment Amount**	
Gain/(Loss)	44.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . . Adjustment Amount . . . . . .

☐ The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

☐ Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

☐ Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

☐ This is a sale of a **collectible** item (code **C**).

☐ Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

☐ Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

☐ This is a vacation home or other **personal use property** with no business or rental activity.
☐ This is a sale to a **related party**.
☐ This sale is **nondeductible for another reason** (except wash sale).

☐ Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

☐ **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ►
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ►
Amount of gain excluded . . . . . .

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ►
Amount of gain deferred . . . . . ►

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ►

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ►

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ►

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld	0.	Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

Form 1099-B Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Name of reporting financial institution ► Webull Financial

Acct Number . . . . . ► 5MX10541 **Reporter's Tax ID** . . . ► 13-2967453

Owner of account . . . . . ►

Transactions were not reported to IRS . . . . . ► ☐

Form 8949 Reporting Exception Transactions

Any transactions that are eligible to be reported directly on Schedule D, bypassing Form 8949, may be summarized here.

	Proceeds	Cost Basis
Box A transactions to report directly on Sch D, Line 1a (short term)		
Box D transactions to report directly on Sch D, Line 8a (long term)		

(Do **not** duplicate any transactions summarized above when making entries in the table below.)

Quick Entry Table

If *you have additional sale info to enter for a sale,* **double-click on any field** *in the table to* **QuickZoom** *to the associated* **Capital Gain (Loss) Adjustments Worksheet**. *(See field help for more details.)*

Sale#	Property Description				
8949 Box	Date Sold	Date Acquired	Sales Price (Proceeds)	Cost or Other Basis	Disallowed Wash Sale
Adjustment Amount	**Adjustment Code(s)**	**Holding Period**	**Basis Reported to IRS?**		**Reported on Form 1099B?**
1	Webull Financial - see attached statement		Check here if this summarizes multiple sales. . . . . ►		X
A			16,360.14	18,263.59	
0.00	M	S	Yes X	No	Yes X No
For interview use only	NOTAPP				
			Check here if this summarizes multiple sales. . . . . ►		
			Yes	No	Yes No
For interview use only					
			Check here if this summarizes multiple sales. . . . . ►		
			Yes	No	Yes No
For interview use only					
			Check here if this summarizes multiple sales. . . . . ►		
			Yes	No	Yes No
For interview use only					

Sale(s) missing info? ____

Sale Results for Form 8949

#	8949 Box	Description	Sale Proceeds	Cost Basis	Adj. Code(s)	Adjustment Amount	Gain or (Loss)	S/L
1	A	Webull Financial - see attached statement	16,360.	18,264.	BYPASS8949	0.	-1,904.	S

Brokerage Statement (Form 1099-B or substitute) Summary Table

This table shows gains and losses by "8949 Box" (i.e. the Box to be checked on Form 8949) to assist in reconciling the transactions in the Quick Entry Table with the brokerage statement they may have been reported on. Note that individual sales are rounded off to the nearest dollar. As result, the various summarized values below may differ slightly from statement totals.

8949 Box	Proceeds	Cost Basis	Gain/(Loss)	Adjustment Amt	Adj Gain/(Loss)	Code(s)
Box A	16,360.	18,264.	-1,904.	0.	-1,904.	M
Short term sales with cost basis reported to the IRS						
Totals	16,360.	18,264.	-1,904.	0.	-1,904.	

Total Federal Backup Withholding . . . . . _____

State ID

State Backup Witholding . . . . . ____ _____

____ _____

____ _____

____ _____

Total State Backup Withholding . . . . . _____

Total Collectible Gain . . . . . .

Qualified Small Business (QSB) gain (Sec 1202)	Total Gain	Excluded Gain	Net Gain
Maximum 50% exclusion gain . . . . . ►			
Maximum 60% exclusion gain . . . . . ►			
Maximum 75% exclusion gain . . . . . ►			
Maximum 100% exclusion gain . . . . . ►			

PDF Attachment

Taxpayer must mail in a statement with more details? . . . . . ☐ Yes ☒ No

If yes, you'll need to submit a copy of your 1099-B to the IRS to provide documentation for some of the transactions on your sales summary.

Capital Gain (Loss) Adjustments Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Values for Form 8949

Click on any field in Part I to QuickZoom to its corresponding entry on the Form 1099-B Worksheet.

Form 8949 Box* . . . A **Description** . Webull Financial - see attached statement

Date Sold		**Date Acquired**	
Sales Price/Proceeds	16,360.	**Cost Basis**	18,264.
Adjustment Code(s)	M	**Adjustment Amount**	0.
Gain/(Loss)	-1,904.	**Holding Period**	S

*The fields above, other than **Adjustment Code(s)** and **Adjustment Amount**, will typically match their corresponding fields on the Form 1099-B Worksheet. See **Part I** in the help for this form for exceptions. (Form help can be accessed by right-clicking on any field above.)

NOTE: Cost Basis or **Sales Price** of more than $9,999,999.99 can be entered in the fields above.

Part II Manual Adjustments

For adjustments not entered in Part III, the adjustment code(s) and amount may be entered here. Do **not** duplicate code(s) or amounts entered elsewhere. Adjustments requiring entries on forms other than Form 8949 will require manual entries in Part IV, or in some cases, on the specific form.

Adjustment Code(s) . . . M Adjustment Amount . . . . . 0.

[X] The cost basis and proceeds are totals from **multiple sales** (code **M**).

Part III Specific Adjustments

[ ] Form 1099-B reports an **incorrect basis** (code **B**). Corrected basis . . . . . ►

[ ] Check this box for employee stock sales requiring adjustments.
QuickZoom to **Employee Stock Transaction Worksheet** if basis is incorrect, compensation income should be reported, or for **all** Incentive Stock Option (ISO) sales . . . . . ►

Allocated amounts from **Employer Stock Transaction Worksheet**	**Short-Term**	**Long-Term**	**Total**
a Number of shares			
b Date acquired			
c Date sold			
d Sales price			
e Cost or other basis			
f Adjustment amount			

Note: For employee stock sales with both short-term and long-term components, any adjustments will be ratably allocated on a per share basis. If this is not an accurate allocation for this sale, then report the short- and long-term components separately.

[ ] This is a sale of a **collectible** item (code **C**).

[ ] Sale includes an **accrued market discount** (code **D**). Amount . . . . . ►

[ ] Amounts reported on Form 1099-B do not reflect **sales fees/expenses** that were charged (code **E**).
Amount of sales fees/expenses . . . . . ►

This sale cannot generate a loss for the following reason (code **L**):

[ ] This is a vacation home or other **personal use property** with no business or rental activity.
[ ] This is a sale to a **related party**.
[ ] This sale is **nondeductible for another reason** (except wash sale).

[ ] Any gain/loss from sale should not be included because asset held by a **nominee** (code **N**).
If only part of the gain (loss) is nominee, enter offsetting adjustment here . . . . ►

[ ] **Other adjustments** are required (code **O**).
Amount (positive to increase gain, negative to reduce) . . . . . ►

Name(s) Shown on Return	Social Security No.
sridhar & vasu gutha	577-47-9214

Part III Specific Adjustments (cont.)

☐ Loss from this sale is **ordinary loss** from a section 1242 small business (code **O**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Gain from this **Qualified Small Business (QSB)** stock is excludible under IRC section 1202 (code **Q**).
☐ This QSB stock is eligible for **50%** exclusion under section 1202.
☐ This is empowerment zone stock eligible for **60%** exclusion under section 1202.
For 60% exclusion, enter gain as of 12/31/2018 . . . . . ► _____
☐ This QSB stock is eligible for **75%** exclusion under section 1202.
☐ This QSB stock is eligible for **100%** exclusion under section 1202.
Amount of gain eligible for exclusion . . . . . ► _____
Amount of gain excluded . . . . . _____

☐ All or part of the gain is deferred due to a **rollover** (code **R**). This includes rollovers of gain from Qualified Small Business and Empowerment Zone stock, and certain stock sold to ESOP plans.
Amount reinvested in qualified stock (leave blank if sold to ESOP/co-op) . . . . ► _____
Amount of gain deferred . . . . . ► _____

☐ Loss from this sale is **ordinary loss** from a section 1244 small business (code **S**).
Ordinary loss amount (leave blank if entire loss is ordinary) . . . . . ► _____

☐ Form 1099-B reports an **incorrect holding period** (code **T**).

☐ Sale includes a disallowed **wash sale** loss (code **W**). Amount (leave blank if all) . . ► _____

☐ Part or all of the gain is from **DC Zone/qualified community assets** eligible for exclusion (code **X**).
Amount of exclusion (leave blank if entire gain should be excluded) . . . . . ► _____

Part IV Amounts That Will Be Reported on Forms Other Than Form 8949

☐ Check if ordinary gain/loss
☐ FATCA requirement

Federal tax withheld		Collectible gain/loss . . . . . ►	
State name		Accrued interest to Sch B . . . ►	
State tax withheld		Sec. 1242 loss on Form 4797 . ►	
State identification number		Sec. 1244 loss on Form 4797 . ►	

IRA Contributions Worksheet 2020

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Traditional IRA Contributions

	Regular Traditional IRA Contributions	Taxpayer	Spouse
1	Enter **traditional** IRA contributions made for 2020, including any made between 1/1/2021 and 5/17/2021, any amounts later recharacterized to a Roth IRA, and any excess contributions, but not including any rollovers. Also include any contributions to deemed IRAs under an employer plan		
2	Contributions recharacterized **from** a Roth IRA (from line 24)		
3	**Traditional** IRA contributions, from Schedule(s) K-1		
4	Contributions recharacterized (not converted) **to** a Roth IRA		
▶	If there is a recharacterization indicated on line 4, an explanation must be attached to the tax return.		
5	**Traditional** IRA contributions. Combine lines 1 through 4		
6	Enter any contribution included on line 5 withdrawn before the due date of the tax return. *See Help*		
7	Excess traditional IRA contribution credit		
8	Repayments of qualified reservist distributions		
9	Total **traditional** IRA contributions.		

	Additional Traditional IRA Contribution Information	Taxpayer	Spouse
10	Check if covered by a retirement plan at work. If married filing a separate return, check box in spouse column, if applicable	X	X
11	Enter any contributions included on line 9 that were made during 1/1/2021 to 5/17/2021 *(See Help)*		

	Deductible and Non-deductible Traditional IRA Contributions	Taxpayer	Spouse
12	Deductible **traditional** IRA contributions from worksheet		
13	Nondeductible **traditional** IRA contributions from worksheet		
	QuickZoom to worksheet indicated by the check: ____ IRA deduction worksheet ► ____ Worksheet for social security recipients ►		
14	Amount on line 13 you elect to make nondeductible		
15	Excess **traditional** IRA contributions, to Form 5329, line 15 **Note:** *You may avoid a penalty by withdrawing the amount on line 15 before due date of return, including extensions.*		
16	Deductible **traditional** IRA contributions, to Schedule 1 (Form 1040), Line 19		
17	Qualified reservist repayments		
18	Nondeductible **traditional** IRA contributions, to Form 8606, ln 1		

Roth IRA Contributions

Regular Roth IRA Contributions		Taxpayer	Spouse
19	Enter regular **Roth** IRA contributions made for 2020, including any made between 1/1/2021 and 5/17/2021, any amounts later recharacterized to a traditional IRA, and any excess contributions, but not including any rollovers or conversions. Also include any contributions to deemed Roth IRAs under an employer plan		
20	Contributions recharacterized **from** a traditional IRA, (from ln 4)		
21	**Roth** IRA contributions, from Schedule(s) K-1		
22	Enter contributions recharacterized **to** a traditional IRA		
▶	If there is a recharacterization indicated on line 23, an explanation must be attached to the tax return.		
23	Disallowed **Roth** IRA conversions		
24	**Roth** IRA contributions. Combine lines 20 through 2**3**		
25	Enter any contribution included on line 24 withdrawn before the due date of the tax return. *See Help*		
26	Excess Roth IRA contribution credit		
27	Total **Roth** IRA contributions		
28	Repayments of qualified Roth reservist distributions		

Roth IRA Contributions After Limitations		Taxpayer	Spouse
29	**Roth** IRA contributions after limitation		
30	Excess **Roth** IRA contributions, to Form(s) 5329, line 23		
	Note: *You may avoid a penalty by withdrawing the amount on line 31 before due date of return, including extensions.*		

Coverdell Education Savings Account (Education IRA) Contributions

Excess Coverdell Education Savings Account Contributions		Taxpayer	Spouse
31	Enter any **excess** contributions made to Coverdell Education Savings Accounts (ESAs) of which you are the beneficiary		
	Note: *You do not need to report any Coverdell ESA contributions which are not excess contributions..*		

Schedule A
Line 1

Medical Expenses Worksheet

► Keep for your records

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security Number: 577-47-9214

1	Prescription medications			**1**	
2	**Health insurance premiums:**				
a	Premiums other than self-employed health insurance **or** reported on a 1095-A			**2 a**	
b	From Form(s) 1095-A - net of adjustments			**b**	
	Taxpayer's portion of 1095-A premiums (total less spouse)				
	Spouse's portion of 1095-A premiums, enter the amount for the spouse, the remaining goes to the taxpayer				
c	Medicare premiums			**c**	
d	From Form(s) 1099-R			**d**	
	NOTE: If LTC premiums are associated with a specific business activity, enter them directly on the applicable Self-Employed Health and Long-Term Care Insurance Deduction Worksheet, **not** on lines 2e - 2j below.				
e	Taxpayer's gross long-term care premiums	**2 e**			
f	Taxpayer's allowable long-term care premiums	**f**			
g	Spouse's gross long-term care premiums	**g**			
h	Spouse's allowable long-term care premiums	**h**			
i	Dep or child under 27 gross long-term care premiums	**i**			
j	Dep or child under 27 allowable long-term care prem.	**j**			
k	Total allowable long-term care premiums, sum of lines 2f, 2h, and 2j			**k**	
l	Taxpayer's long-term care premiums not deducted as an adjustment to income			**l**	
m	Spouse's long-term care premiums not deducted as an adjustment to income			**m**	
n	Dependent's long-term care premiums not deducted as an adj to income			**n**	
o	Other self-employed health insurance not deducted as an adj to income			**o**	
3	Fees for doctors, dentists, etc			**3**	
4	Fees for hospitals, clinics, etc			**4**	
5	Lab and x-ray fees			**5**	
6	Expenses for qualified long-term care			**6**	
7	Eyeglasses and contact lenses			**7**	
8	Medical equipment and supplies			**8**	
9	Medical transportation expenses:				
a	Medical miles driven	**9 a**			
b	Multiply the number of miles on line 9a by 17 cents per mile	**b**			
c	Other medical transportation costs not included above for example: ambulance fees	**c**			
d	Total medical transportation expenses (add lines 9b and 9c)			**9 d**	
10	Lodging for medical purposes (up to $50 per night per person)			**10**	
11	Other medical and dental expenses:				
a				**11 a**	
b				**b**	
c				**c**	
d				**d**	
e				**e**	
f				**f**	
g				**g**	
h				**h**	
i				**i**	
j				**j**	
12	Total of medical and dental expenses (add lines 1 through 11j)			**12**	
13 a	Less: insurance reimbursement for any expenses listed			**13 a**	
b	Less: medical savings account (MSA) or health savings account (HSA) distributions			**b**	
14	**Total deductible medical and dental expenses.** Subtract lines 13a plus 13b from line 12 (to Schedule A, line 1)			**14**	0.

Tax Payments Worksheet 2020

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Estimated Tax Payments for 2020 (If more than 4 payments for any state or locality, see Tax Help)

	Federal		State			Local		
	Date	Amount	Date	Amount	ID	Date	Amount	ID
1	07/15/20		07/15/20			07/15/20		
2	07/15/20		07/15/20			07/15/20		
3	09/15/20		09/15/20			09/15/20		
4	01/15/21		01/15/21			01/15/21		
5								
Tot Estimated Payments . . .								

Tax Payments Other Than Withholding (If multiple states, see Tax Help)	Federal	State	ID	Local	ID
6 Overpayments applied to 2020					
7 Credited by estates and trusts					
8 **Totals** Lines 1 through 7					
9 2020 extensions					

Taxes Withheld From:	Federal	State	Local
10 Forms W-2	12,177.	8,193.	
11 Forms W-2G			
12 Forms 1099-R			
13 Forms 1099-MISC, 1099-NEC, 1099-K, 1099-G .			
14 Schedules K-1			
15 Forms 1099-INT, DIV and OID			
16 Social Security and Railroad Benefits			
17 Form 1099-B . . . . . St ___ Loc ___	0.		
18 a Other withholding . . . . St ___ Loc ___			
b Other withholding . . . . St ___ Loc ___			
c Other withholding . . . . St ___ Loc ___			
d Positive Adjustment . . . St ___ Loc ___			
e Negative Adjustment . . St ___ Loc ___			
f Additional Medicare Tax.			
19 **Total Withholding** Lines 10 through 18f	12,177.	8,193.	
20 **Total Tax Payments for 2020**	12,177.	8,193.	

Prior Year Taxes Paid In 2020 (If multiple states or localities, see Tax Help)	State	ID	Local	ID
21 Tax paid with 2019 extensions				
22 2019 estimated tax paid after 12/31/2019				
23 Balance due paid with 2019 return				
24 Other (amended returns, installment payments, etc) . .				

Schedule A
Lines 5 - 12

Tax and Interest Deduction Worksheet

► Keep for your records

2020

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Tax Deductions

1 State and local taxes:

Optional Sales Tax Tables

a Available Income:

(1) Income from Form 1040, line 7	164,788.
(2) Nontaxable income entered elsewhere on return	
(3) Available income: 2019 refundable credits in excess of tax	0.
(4) Enter any additional nontaxable income	
(5) Total available income	164,788.

b Sales Tax Per State of Residence:

Enter state in column (1), then enter total (combined) state and local sales tax rate in column (4).
Arizona, Colorado, Louisiana, Mississippi, New York or South Carolina only:
Double-click in column (4) to select your locality for each state entered.

(1) State	(2) Date Lived in State From	(3) Date Lived in State To	(4) Enter Total State & Local Rate (%)	(5) State Sales Tax Rate (%)	(6) Local Sales Tax Rate (%) (4) - (5)	(7) State Sales Tax Table Amount	(8) Local Sales Tax Amount	(9) Prorated or Total Amount

c Total general sales tax using tables

d Sales Tax Paid on Specific Items (see help):

(1) ST	(2) Total State & Local Rate	(3) Description	(4) Type	(5) Cost	(6) Rate if Different	(7) Actual Sales Tax Amount Paid	(8) Specific Item Deduction

e Total sales tax deduction on specific items

f Total general sales tax per tables plus sales tax on specific items

g Actual State and Local General Sales Tax:

Actual sales taxes (enter the total sales taxes paid during the year on all items)

h State and Local Income Taxes:

State and Local Income taxes 8,193.00

i State and Local Tax Deduction to Schedule A, line 5a:

Greater of line 1f, line 1g, or line 1h (to Schedule A, line 5a) 8,193.00

j Check a box to choose to use income taxes paid, sales taxes paid, or whichever provides the greater deduction:

Income Taxes [ ] Sales Taxes [ ] Greater amount [X]

2 State and local real estate taxes:

a Real estate taxes paid on principal residence **not** entered on Form 1098

b Real estate taxes paid on principal residence entered on Home Mortgage Int. Wks . . .

c Real estate taxes paid on additional homes or land . . . . . .

Personal portion of real estate taxes from Schedule E Worksheet for:

d Principal residence . . . . . .

e Vacation home . . . . . .

f Less real estate taxes deducted on Form 8829 . . . . . .

g Foreign real propety taxes included in lines 2a-2f above . . . . . .

h Add lines 2a through 2f, less line 2g (to Schedule A, line 5b) . . . . . .

3 State and local personal property taxes:

a Auto registration fees based on the value of the vehicle.

2019 Amount	Enter 2020 description:	

b Non-business portion of personal property taxes from Car & Truck Exp Wks . . . . . .

c Other personal property taxes . . . . . .

d Add lines 3a through 3c (to Schedule A, line 5c) . . . . . .

4 Other taxes:

a Other taxes from Schedule(s) K-1 . . . . . .

b Foreign taxes from interest and dividends . . . . . .

c Foreign taxes from Schedule(s) K-1 . . . . . .

d Other foreign taxes (not used to claim a foreign tax credit) . . . . . .

e Other taxes.

2019 Amount	Enter 2020 description:	

f Foreign real propety taxes included in lines 4a-4e above . . . . . .

g Add lines 4a through 4e, less line 4f (to Schedule A, line 6) . . . . . .

Interest Deductions

5 Home mortgage interest and points reported on Form 1098:

a Mortgage interest and points from the Home Mortgage Interest Worksheet . . . . . .

b Qualified mortgage interest from Schedule E Worksheet . . . . . .

c Less home mortgage interest/points deducted on Form 8829 . . . . . .

d Less home mortgage interest from Form 8396, line 3 . . . . . .

e Add lines 5a through 5d (to Sch A, line 8a) or line A2 from above . . . . . .

6 Home mortgage interest not reported on Form 1098:

a Mortgage interest from the Home Mortgage Interest Worksheet . . . . . .

b Less home mortgage interest deducted on Form 8829 . . . . . .

c Add lines 6a and 6b (to Sch A, line 8b) or line B2 from above . . . . . .

7 Points not reported on Form 1098:

a Amortizable points from the Home Mortgage Interest Worksheet . . . . . .

b Other points not on Form 1098 from the Home Mortgage Interest Worksheet . . . . . .

c Less points deducted on Form 8829 . . . . . .

d Add lines 7a through 7c (to Schedule A, line 8c) or line C2 from above . . . . . .

Schedule A
Line 5

State and Local Tax Deduction Worksheet

2020

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

State and Local Income Taxes

	State income taxes:		
1	State income tax withheld	**1**	8,193.
2	2020 state estimated taxes paid in 2020	**2**	
3	2019 state estimated taxes paid in 2020	**3**	
4	Amount paid with 2019 state application for extension	**4**	
5	Amount paid with 2019 state income tax return	**5**	
6	Overpayment on 2019 state income tax return applied to 2020 tax	**6**	
7	Other amounts paid in 2020 (amended returns, installment payments, etc.)	**7**	
8	State estimated tax from Schedule(s) K-1 (Form 1041)	**8**	
	Local income taxes:		
9	Local income tax withheld	**9**	
10	2020 local estimated taxes paid in 2020	**10**	
11	2019 local estimated taxes paid in 2020	**11**	
12	Amount paid with 2019 local application for extension	**12**	
13	Amount paid with 2019 local income tax return	**13**	
14	Overpayment on 2019 local income tax return applied to 2020 tax	**14**	
15	Other amounts paid in 2020 (amended returns, installment payments, etc.)	**15**	
16	Local estimated tax from Schedule(s) K-1 (Form 1041)	**16**	
	Other:		
17		**17**	
18	**Total** Add lines 1 through 17	**18**	8,193.
19	State and local refund allocated to 2020	**19**	
20	Nondeductible state income tax from line 28	**20**	
21	**Total reductions** Add lines 19 and 20.	**21**	
22	**Total state and local income tax deduction** Line 18 less line 21	**22**	8,193.

Nondeductible State Income Tax (Hawaii Only)

23	Nontaxable federal employee cost of living allowance	**23**	
24	Adjusted gross income	**24**	
25	Add lines 23 and 24	**25**	
26	Nondeductible percent. Line 23 divided by line 25	**26**	%
27	Hawaii state income tax included in line 18	**27**	
28	Nondeductible Hawaii state income tax. Multiply line 26 by line 27.	**28**	

Charitable Deduction Limits Worksheet For Current Year Contributions

2020

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Step 1 — Enter your other charitable contributions made during the year.

1	Enter your cash contributions to 100% limit organizations	1	
2	Enter your contributions of capital gain property "for the use of" any qualified organization	2	
3	Enter your other contributions "for the use of" any qualified organization. Don't include any contributions you entered on a previous line	3	
4	Enter your other contributions to qualified organizations that aren't 50% limit organizations. Don't include any contributions you entered on a previous line	4	
5	Enter your contributions of capital gain property to 50% limit organizations deducted at fair market value. Don't include any contributions you entered on a previous line.	5	
6	Enter your noncash contributions to 50% limit organizations other than capital gain property you deducted at fair market value. Be sure to include contributions of capital gain property to 50% limit organizations if you reduced the property's fair market value. Don't include any contributions you entered on a previous line	6	
7	Enter your cash contributions to 50% limit organizations. Don't include any contributions you entered on a previous line	7	

Step 2 — Figure your deduction for the year (if any result is zero or less, enter -0-)

8	Enter your adjusted gross income (AGI)			8	164,788.
A	***Cash contributions subject to the limit based on 60% of AGI***				
	(If line 7 is zero, leave lines 9 through 11 blank)				
9	Multiply line 8 by 0.6	9			
10	**Deductible amount**. Enter the smaller of line 7 or line 9	10			
11	Carryover. Subtract line 10 from line 7	11			
B	***Noncash contributions subject to the limit based on 50% of AGI***				
	(If line 6 is zero, leave lines 12 through 15 blank)				
12	Multiply line 8 by 0.5	12			
13	Subtract line 10 from line 12	13			
14	**Deductible amount**. Enter the smaller of line 6 or line 13	14			
15	Carryover. Subtract line 14 from line 6	15			
C	***Contributions (other than capital gain property) subject to limit based on 30% of AGI***				
	(If lines 3 and 4 are both zero, leave lines 16 through 22 blank)				
16	Multiply line 8 by 0.5	16			
17	Add lines 5, 6, and 7	17			
18	Subtract line 17 from line 16	18			
19	Multiply line 8 by 0.3	19			
20	Add lines 3 and 4	20			
21	**Deductible amount**. Enter the smallest of line 18, 19, or 20	21			
22	Carryover. Subtract line 21 from line 20	22			
D	***Contributions of capital gain property subject to limit based on 30% of AGI***				
	(If line 5 is zero, leave lines 23 through 28 blank)				
23	Multiply line 8 by 0.5	23			
24	Add lines 6 and 7	24			
25	Subtract line 24 from line 23	25			
26	Multiply line 8 by 0.3	26			
27	**Deductible amount**. Enter the smallest of line 5, 25, or 26	27			
28	Carryover. Subtract line 27 from line 5	28			
E	***Contributions subject to the limit based on 20% of AGI***				
	(If line 2 is zero, leave lines 29 through 37 blank)				
29	Multiply line 8 by 0.5	29			
30	Add lines 10, 14, 21, and 27	30			

31	Subtract line 30 from line 29	**31**		
32	Multiply line 8 by 0.3	**32**		
33	Subtract line 21 from line 32	**33**		
34	Subtract line 27 from line 32	**34**		
35	Multiply line 8 by 0.2	**35**		
36	**Deductible amount.** Enter the smallest of line 2, 31, 33, 34, or 35	**36**		
37	Carryover. Subtract line 36 from line 2	**37**		
F	***Qualified contributions subject to limit based on 100% of AGI***			
	(If line 1 is zero, leave lines 38 through 42 blank)			
38	Enter the amount from line 8	**38**		
39	Add lines 10, 14, 21, 27, and 36	**39**		
40	Subtract line 39 from line 38	**40**		
41	**Deductible amount.** Enter the smaller of line 1 or line 40	**41**		
42	Carryover. Subtract line 41 from line 1	**42**		
G	***Deduction for the year***			
43	Add lines 10, 14, 21, 27 and 36. Enter the total here and include the deductible amounts on Schedule A (Form 1040), line 11 or line 12 whichever is appropriate. Also, enter the amount from line 41 on the dotted line next to the line 11 entry space	**43**		
44	Carryover to next year. Add lines 11, 15, 22, 28 and 37	**44**		

Note: Any amounts in the carryover column are not deductible this year but can be carried over to next year. See Carryovers, later, for more information about how you will use them next year.

Charitable Deduction Limits Worksheet For Carryover Contributions

2020

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Step 1 — Enter your other charitable contributions made during the year.

1	Enter your cash contributions to 100% limit organizations	1	
2	Enter your contributions of capital gain property "for the use of" any qualified organization	2	
3	Enter your other contributions "for the use of" any qualified organization. Don't include any contributions you entered on a previous line	3	
4	Enter your other contributions to qualified organizations that aren't 50% limit organizations. Don't include any contributions you entered on a previous line	4	
5	Enter your contributions of capital gain property to 50% limit organizations deducted at fair market value. Don't include any contributions you entered on a previous line.	5	
6	Enter your noncash contributions to 50% limit organizations other than capital gain property you deducted at fair market value. Be sure to include contributions of capital gain property to 50% limit organizations if you reduced the property's fair market value. Don't include any contributions you entered on a previous line	6	
7	Enter your cash contributions to 50% limit organizations. Don't include any contributions you entered on a previous line	7	

Step 2 — Figure your deduction for the year (if any result is zero or less, enter -0-)

8	Enter your adjusted gross income (AGI)				8	164,788.
		Percentage of line 8		Used in Current Year		
a	60% AGI limit to line 9	98,873.	Less	0.	a	98,873.
b	50% AGI limit to line 12	82,394.	Less	0.	b	82,394.
c	30% AGI limit, Section C to line 19	49,436.	Less	0.	c	49,436.
d	30% AGI limit, Section D to line 26	49,436.	Less	0.	d	49,436.
e	20% AGI limit to line 35	32,958.	Less	0.	e	32,958.

A *Cash contributions subject to the limit based on 60% of AGI*

(If line 7 is zero, leave lines 9 through 11 blank)

9	Multiply line 8 by 0.6	9		
10	**Deductible amount**. Enter the smaller of line 7 or line 9	10		
11	Carryover. Subtract line 10 from line 7	11		

B *Noncash contributions subject to the limit based on 50% of AGI*

(If line 6 is zero, leave lines 12 through 15 blank)

12	Multiply line 8 by 0.5	12		
13	Subtract line 10 from line 12	13		
14	**Deductible amount**. Enter the smaller of line 6 or line 13	14		
15	Carryover. Subtract line 14 from line 6	15		

C *Contributions (other than capital gain property) subject to limit based on 30% of AGI*

(If lines 3 and 4 are both zero, leave lines 16 through 22 blank)

16	Multiply line 8 by 0.5	16		
17	Add lines 5, 6, and 7	17		
18	Subtract line 17 from line 16	18		
19	Multiply line 8 by 0.3	19		
20	Add lines 3 and 4	20		
21	**Deductible amount**. Enter the smallest of line 18, 19, or 20	21		
22	Carryover. Subtract line 21 from line 20	22		

D *Contributions of capital gain property subject to limit based on 30% of AGI*

(If line 5 is zero, leave lines 23 through 28 blank)

23	Multiply line 8 by 0.5	23		
24	Add lines 6 and 7	24		
25	Subtract line 24 from line 23	25		
26	Multiply line 8 by 0.3	26		
27	**Deductible amount**. Enter the smallest of line 5, 25, or 26	27		
28	Carryover. Subtract line 27 from line 5	28		

E *Contributions subject to the limit based on 20% of AGI*

(If line 2 is zero, leave lines 29 through 37 blank)

29	Multiply line 8 by 0.5	29		
30	Add lines 10, 14, 21, and 27	30		

31	Subtract line 30 from line 29	**31**		
32	Multiply line 8 by 0.3	**32**		
33	Subtract line 21 from line 32	**33**		
34	Subtract line 27 from line 32	**34**		
35	Multiply line 8 by 0.2	**35**		
36	**Deductible amount.** Enter the smallest of line 2, 31, 33, 34, or 35	**36**		
37	Carryover. Subtract line 36 from line 2	**37**		

F ***Qualified contributions for certain disaster relief efforts (Not applicable for carryovers)***

(If line 1 is zero, leave lines 38 through 42 blank)

38	Enter the amount from line 8	**38**		
39	Add lines 10, 14, 21, 27, and 36	**39**		
40	Subtract line 39 from line 38	**40**		
41	**Deductible amount.** Enter the smaller of line 1 or line 40	**41**		
42	Carryover. Subtract line 41 from line 1	**42**		

G ***Deduction for the year***

43	Add lines 10, 14, 21, 27 and 36. Enter the total here and include the deductible amounts on Schedule A (Form 1040), line 11 or line 12 whichever is appropriate. Also, enter the amount from line 41 on the dotted line next to the line 11 entry space	**43**		
44	Carryover to next year. Add lines 11, 15, 22, 28 and 37	**44**		

Note: Any amounts in the carryover column are not deductible this year but can be carried over to next year. See Carryovers, later, for more information about how you will use them next year.

Charitable Contributions Summary 2020

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Part I Cash Contributions Summary

Name of Charitable Organization	(a) Total	(b) 60% Limit	(c) 30% Limit	(d) 100% Limit
Totals:				

Part II Non-Cash Contributions Summary

	Total	Other Property		Capital Gain Property	
Name of Charitable Organization	(a) Total	(b) 50% Limit	(c) 30% Limit	(d) 30% Limit	(e) 20% Limit
Totals:					

Part III Contribution Carryovers to 2021

	Total	Cash and Other Non-Capital Gain Property				Capital Gain Property	
	(a) Total	(b) 100% Limit	(c) 60% Limit	(d) 50% Limit	(e) 30% Limit	(f) 30% Limit	(g) 20% Limit
1 2020 contributions							
2 2020 contributions allowed							
3 Carryovers from:							
a 2019 tax year		N/A					
b 2018 tax year		N/A					
c 2017 tax year		N/A					
d 2016 tax year		N/A					
e 2015 tax year		N/A					
4 Carryovers allowed in 2020		N/A					
5 Carryovers disallowed in 2020		N/A					
6 Carryovers to 2021:							
a From 2020							
b From 2019		N/A					
c From 2018		N/A					
d From 2017		N/A					
e From 2016		N/A					
f From 2015		N/A					

Part IV Special Situations in Your Return for Current Year Donations

1	Was the **entire interest** given for all property donated to all charities?	[X] **Yes**	[ ] **No**
2	Were **restrictions** attached to any charities's right to use or dispose of any property donated to any charity? ►	[ ] **Yes**	[X] **No**
3	Did you give to anyone other than the charity the right to income from any of the donated property or to possession of any of the donated property? ►	[ ] **Yes**	[X] **No**
4	Was any charity other than a 60%/50% charity?	[ ] **Yes**	[X] **No**

Schedule A
Lines 16

Miscellaneous Itemized Deductions Worksheet

2020

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

FOR STATE USE ONLY: Employee Business Expenses – Subject to 2% Limitation

1	Deductible expenses from Form 2106, line 10 less deductions for performing artists and armed forces reservists claimed elsewhere	1	
2 a	Qualified Educator Expenses (from Educator Expenses Worksheet)	2a	
b	Educator Expense Deduction (from 1040, line 23)	2b	
c	Excess Educator Expenses (line 2a less line 2b)	2c	
3	Union and professional dues	3	
4	Professional subscriptions	4	
5	Uniforms and protective clothing	5	
6	Job search costs	6	
7	Tax preparation fees	7	
8	Entertainment expenses	8	
9	Other:	9	
10	Combine lines 1 through 9	10	

FOR STATE USE ONLY: Miscellaneous Expenses – Subject to 2% Limitation

Check the box in investment column if an investment expense

		Investment Expense		
11	Depreciation and amortization deductions	X	11	
12	Casualty/theft losses of property used in services as an employee		12	
13	REMIC expenses, from Schedule E	X	13	
14	Investment expenses related to interest and dividend income	X	14	0.
15	Expenses related to portfolio income, from Schedule(s) K-1	X	15	
16	Miscellaneous deductions, from Schedule(s) K-1		16	
17	RESERVED		17	
18	Investment counsel and advisory fees	X	18	
19	Certain attorney and accounting fees	X	19	
20	Safe deposit box rental fees	X	20	
21	IRA custodial fees	X	21	
22	Loss incurred from total distribution of all traditional IRAs		22	
23	Loss incurred from total distribution of all Roth IRAs		23	
24	Loss incurred from final distribution of a QTP investment		24	
25	Hobby expense (limited to hobby income)		25	
26	Other:			
a	Prior year government unemployment benefits repaid in 2020		26	
b				
27	Combine lines 11 through 26		27	0.

FOR FEDERAL AND STATE USE: Other Miscellaneous Deductions – Not Subject to 2% Limitation

28	Expenses related to portfolio income, from Schedule(s) K-1	X	28	
29	Federal estate tax paid on decedent's income reported on this return		29	
30	Impairment-related expenses of a handicapped employee, from Form 2106		30	
31	Amortizable bond premiums on bonds acquired before 10/23/86		31	
32	Gambling losses		32	
33	Deduction for repayment of amounts under claim of right if over $3,000		33	
34	Casualty/theft losses of income-producing property		34	
35	Unrecovered investment in annuity		35	
36	Ordinary loss attributable to certain debt instruments		36	
37	Net Qualified Disaster Loss		37	
38	Combine lines 28 through 37 (to Schedule A, line 16)		38	

Form 1040 or 1040-SR, Line 12

Standard Deduction Worksheet for Dependents

2020

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Use this worksheet **only** if someone can claim you, or your spouse if filing jointly, as a dependent.

1	Is your **earned income*** more than $750? ☐ **Yes.** Add $350 to your earned income. Enter the total ☐ **No.** Enter $1,100	►	**1**	
2	Enter the amount shown below for your filing status. • Single or married filing separately — $12,400 • Married filing jointly — $24,800 • Head of household — $18,650	►	**2**	24,800.
3	**Standard deduction.**			
3 a	Enter the **smaller** of line 1 or line 2. If born after January 1, 1956, and not blind, **stop here** and enter this amount on Form 1040 or 1040-SR, line 12. Otherwise, go to line 3b		**3 a**	
3 b	If born before January 2, 1956, or blind, multiply the number claimed on top of page 2 of Form 1040 Wkst by $1,300 ($1,650 if single or head of household)		**3 b**	
3 c	Add lines 3a and 3b. Enter the total here and on Form 1040 or 1040-SR, line 12		**3 c**	

***Earned income** includes wages, salaries, tips, professional fees, and other compensation received for personal services you performed. It also includes any taxable scholarship or fellowship grant. Generally, your earned income is the total of the amount(s) you reported on Form 1040 or 1040-SR, line 1, and Schedule 1, lines 3 and 6, minus the amount, if any, on Schedule 1, line 14. Earned income, for the purpose of figuring your standard deduction, doesn't include qualified disability trust distributions.*

Earned Income Worksheet 2020

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Part I — Earned Income Credit Worksheet Computation

		Taxpayer	Spouse	Total
1	**If filing Schedule SE:**			
a	Net self-employment income			
b	Optional Method and Church Employee income			
c	Add lines 1a and 1b			
d	One-half of self-employment tax			
e	Subtract line 1d from line 1c			
2	**If not required to file Schedule SE:**			
a	Net farm profit or (loss)			
b	Net nonfarm profit or (loss)			
c	Add lines 2a and 2b			
3	**If filing Schedule C as a statutory employee,** enter the amount from line 1 of that Schedule C			
4	Add lines 1e, 2c and 3. To EIC Wks, line 5			

Part II — Form 2441 and Standard Deduction Worksheet Computations

5	Net self-employment earnings (line 4 above)			
6	Wages, salaries, and tips less distributions from nonqualified or section 457 plans, etc	84,884.	83,193.	168,077.
7 a	Taxable employer-provided adoption benefits			
b	Foreign earned income exclusion			
8	Add lines 5 through 7b. To Form 2441, lines 18 and 19	84,884.	83,193.	168,077.
9 a	Taxable dependent care benefits			
b	Nontaxable combat pay			
10	Add lines 8, 9a & 9b . To Form 2441, lines 4 and 5	84,884.	83,193.	168,077.
11	Scholarship or fellowship income not on W-2			
12	SE exempt earnings less nontaxable income			
13	Distributions from nonqualified/Sec. 457 plans			
14	Add lines 5, 6, 7a, 9a and 11 through 13. To Standard Deduction Worksheet	84,884.	83,193.	168,077.

Part III — IRA Deduction Worksheet Computation

15	Net self-employment income or (loss)			
16	Wages, salaries, tips, etc	84,884.	83,193.	168,077.
17	Net self-employment loss			
18	Alimony received			
19	Nontaxable combat pay			
20	Foreign earned income exclusion			
21	Keogh, SEP or SIMPLE deduction			
22	Combine lines 15 through 21. To IRA Wks, ln 2	84,884.	83,193.	168,077.

Part IV — Schedule 8812 and Child Tax Credit Line 14 Worksheet Computations

23	Self-employed, church and statutory employees			
24	Wages, salaries, tips, etc	84,884.	83,193.	168,077.
25	Nontaxable combat pay			
26	Combine lines 23 through 25. To Schedule 8812, line 6a & Line 14 Wks, line 2	84,884.	83,193.	168,077.

Form 4952 **Investment Interest Expense Worksheet** **2020**

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Investment Interest Expense (Form 4952, line 1)

1	Investment interest expense, from Schedule K-1	1	
2	Investment interest expense from royalties	2	
3	Other investment interest expense:		
a	Stocks	3 a	450.
b		b	
c		c	
d		d	
4	**Total investment interest expense.** Add lines 1 through 3.	4	450.

Gross Income from Property Held for Investment (Form 4952, line 4a)

5	Taxable investment income:		
a	From Schedule B, Interest and Dividend Income	5 a	1.
b	From Schedules K-1, Partnerships, S Corporations, Estates and Trusts	b	
c	From Form 8814, Parents' Election to Report Child's Interest and Dividends	c	
d	Total	d	1.
6	Royalty income, from Schedule E	6	
7	Net passive income from publicly traded partnerships	7	
8	Income from **nonpassive** trade or business **without** material participation	8	
9	Other investment income:		
a		9 a	
b		b	
c		c	
d		d	
10	**Total investment income.** Add lines 5d through 9.	10	1.

Net Capital Gain Income (Form 4952, lines 4d and 4e)

			Regular Tax	Alt Min Tax
11 a	Net gains from Schedule D, line 16	11 a		
b	Less net gains from property not held for investment	b		
c	**Net gains from property held for investment.**	c		
12 a	Net capital gains from Schedule D, lesser of ln 15 or ln 16	12 a		
b	Less net capital gains from property not held for investment	b		
c	**Net capital gains from property held for investment.**	c		

Investment Expenses (Form 4952, line 5)

13	Royalty expenses	13	
14	Investment expenses reported on schedule K-1 partnership or S-corp	14	
15	Expenses from **nonpassive** trade or business **without** material participation	15	
16	Other investment expenses:		
a	stocks and options	16 a	450.
b		b	
c		c	
d		d	
17	**Total investment expenses.** Add lines 13 through 17.	17	450.

Allocation of Investment Interest Expense (Schedule A, line 14)

			Regular Tax	Alt Min Tax
18	Allowed investment interest expense, Form 4952, line 8	18	0.	0.
19	Less amount deducted on other forms and schedules:	19		
a	Deducted on Schedule E, page 2 for passthru entities	a		
b	Deducted on Schedule E, page 1 for royalties	b		
c	Other amounts deducted on other forms and schedules	c		
d	Total amount deducted on other forms and schedules	d		
20	**Investment interest expense.**	20	0.	0.

Form 1040
Line 17a

Earned Income Credit Worksheet

► Keep for your records

2020

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

QuickZoom to Schedule EIC . . . . . ►
QuickZoom to Dependent Information Worksheet to enter qualifying children information . . . . ►
QuickZoom to Wages, Salaries, & Tips Worksheet to enter earned and non-earned income . . . ►
QuickZoom to page 2 of this worksheet, if credit is not calculated on line 7 . . . . . ►

1	Enter the amount from Form 1040 line 1 less amounts considered **not** earned for EIC purposes		**1**	168,077.
2	Adjustments to line 1 amount:			
a	Income reported as wages **and** as self-employment income		**2 a**	
b	Other income entered as wages that is not considered earned income		**b**	
c	Distributions from section 457 and other nonqualified plans reported on W-2		**c**	
3	Subtract lines 2a, 2b and 2c from line 1		**3**	168,077.
4 a	Taxpayer's nontaxable combat pay election for EIC	**4 a**		
b	Spouse's nontaxable combat pay election for EIC	**b**		
c	Total nontaxable combat pay election		**4 c**	
5	If you were self-employed **or** used Schedule C as a statutory employee, enter the amount from the Earned Income Worksheet, line 4		**5**	
6	Medicaid Waiver Payments reported as nontaxable		**6**	
7	**Earned income.** Add lines 3, 4, 5, and 6		**7**	168,077.
8	Enter the credit, from the **EIC Table**, for the amount on line 7. Be sure to use the correct column for filing status and number of children		**8**	0.
	If line 8 is zero, **stop**. You **cannot** take the credit. Enter "No" on the dotted line next to Form 1040, line 27.			
9	Enter your **AGI** from Form 1040, line 11		**9**	
10	If you have: ● No qualifying children, is the amount on line 9 less than $8,800 ($14,700 if married filing jointly)? ● 1 or more qualifying children, is the amount on line 9 less than $19,350 ($25,250 if married filing jointly)?			
	[X] **Yes.** Go to line 11 now. [ ] **No.** Enter the credit, from the **EIC Table,** for the amount on line **9**. Be sure to use the correct column for filing status and number of children		**10**	
11	**Earned income credit.** ● If 'Yes' on line 10, enter the amount from line 8 ● If 'No' on line 10, enter the **smaller** of line 8 or line 10		**11**	

Enter line 11 amount on Form 1040, line 27.

If one or more of the boxes below are checked, the earned income credit is not allowed.

1 The total taxable earned income (line 7 above) is equal to or more than:

- [ ] $15,820 ($21,710 if married filing jointly) without a qualifying child.
- [ ] $41,756 ($47,646 if married filing jointly) with one qualifying child.
- [X] $47,440 ($53,330 if married filing jointly) with two qualifying children.
- [ ] $50,954 ($56,844 if married filing jointly) with more than two qualifying children.

2 The Adjusted Gross Income (line 9 above) is equal to or more than:

- [ ] $15,820 ($21,710 if married filing jointly) without a qualifying child.
- [ ] $41,756 ($47,646 if married filing jointly) with one qualifying child.
- [X] $47,440 ($53,330 if married filing jointly) with two qualifying children.
- [ ] $50,954 ($56,844 if married filing jointly) with more than two qualifying children.

3 [ ] Investment income is more than $3,650.
(Investment Income Smart Worksheet, item H above)

4 [ ] The married filing separate return status is checked.
(Information Worksheet, Part II)

5 [ ] Taxpayer (or spouse if filing joint) is a qualifying child of another person.
(Information Worksheet, Part IV)

6 [ ] Without a qualifying child, and your (or your spouse's, if married filing jointly) main home is in the U.S. less than half the year.
(Information Worksheet, Part IV)

7 [ ] Without a qualifying child, and taxpayer (and spouse if filing joint) are under age 25 or over age 64.
(Information Worksheet, Part I)

8 [ ] Without a qualifying child, and taxpayer (or spouse if filing joint) is eligible to be claimed as a dependent on someone else's return.
(Information Worksheet, Part I)

9 [ ] Social Security Number is invalid for EIC purposes, for taxpayer, (or spouse, if married filing joint).
(Information Worksheet, Part I)

10 Have qualifying children, but all are either

- **a** [ ] qualifying children of another person, or
- **b** [ ] invalid social security numbers for EIC purposes.

(Information Worksheet, Part III)

11 [ ] Disallowed by IRS to claim Earned Income Credit in 2020.
(Information Worksheet, Part IV)

12 [ ] Filing Form 2555, Foreign Earned Income.

13 [ ] Not a citizen or resident alien for the entire year, claiming dual status.
(Information Worksheet, Part VI)

14 [ ] Head of household filing status and lived with nonresident alien spouse during the last six months of the year.
(Information Worksheet, Part IV)

Compliance and Due Diligence Information

1 Is this how long your dependents lived with you in the U.S in 2020?

☐ **Yes**, all of the above is correct.
☐ **No**, I'll go back and review my dependent information.

The IRS may ask you for documents to prove you lived with anyone you're claiming for the Earned Income Credit.

Is this where you lived with your dependents the longest in 2020?

2 ☐ **Yes**, my dependents lived with me at this address.
☐ **No**, I'd like to add an additional address where I lived with my dependents. Use the Interview to add an additional address where you lived with your dependents the longest in 2020.

Compliance and Due Diligence Indicator	X
Disqualified from Earned Income Credit	X **Yes** ☐ **No**

Potential qualifying child count ►	2
Non dependent potential qualifying child count ►	0
Qualifying child count (max 3) ►	2

Schedule SE Adjustments Worksheet 2020

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

	(a) Taxpayer	(b) Spouse
QuickZoom to the **Long Schedule SE** ►	☐	☐
A Approved Form 4029. Exempt from SE tax on all income	☐	☐
B Chapter 11 bankruptcy **net** profit or loss for Schedule SE, line 3		
C **QuickZoom** to the Explanation statement for any adjustment to SE income/loss shown on a partnership K-1. (See Help)		
Part I **Farm Profit or (Loss)** Schedule SE, line 1		
1 Total Schedules F		
2 Farm partnerships, Schedules K-1		
3 Other SE farm profit or (loss) (See Help)		
4 Less SE exempt farm profit or (loss) (See Help)		
5 **Total for Schedule SE, line 1**		
6 Conservation Reserve Program payments not subject to self-employment tax reported on:		
a Schedule F, line 4b		
b Schedule K-1 (Form 1065), box 20, code AH		
c Total CRP payments not subject to SE tax		
Part II **Nonfarm Profit or (Loss)** Schedule SE, line 2		
1 a Total Schedules C		
b Less SE exempt Schedules C (approved Form 4361)		
2 Nonfarm partnerships, Schedules K-1		
3 Forms 6781		
4 Other SE income reported as income on Form 1040, line 7		
5 a Clergy Form W-2 wages		
b Clergy housing allowance		
c Less clergy business deductions		
d **QuickZoom** to the Explanation statement for entry on line 5c		
6 Other SE nonfarm profit or (loss) (See Help)		
7 Less other SE exempt nonfarm profit or (loss) (See Help)		
8 **Total for Schedule SE, line 2**		
9 Exempt Notary Public income for Schedule SE, line 3 (See Help)		
Part III **Farm Optional Method** Schedule SE, page 2, Part II		
1 Use Farm Optional Method	☐	☐
2 Gross farm income from Schedules F		
3 Gross farming or fishing income from partnership Schedules K-1		
4 Other gross farming or fishing self-employment income		
5 **Total** gross income for Farm Optional Method		
Part IV **Nonfarm Optional Method** Schedule SE, page 2, Part II		
1 Use Nonfarm Optional Method (Must have had net SE earnings of $400 or more in 2 of prior 3 years and used the Nonfarm Optional Method less than 5 times)	☐	☐
2 Gross nonfarm income from Schedules C		
3 Gross nonfarm income from partnership Schedules K-1		
4 Other gross nonfarm self-employment income		
5 **Total** gross income for Nonfarm Optional Method		

Form 4684	**Casualty and Theft Worksheet** Use a separate worksheet for each casualty or theft event. ► Keep for your records	2020

Name(s) shown on return: sridhar & vasu gutha

Social Security No.: 577-47-9214

Part I Casualty or Theft Event Information

1 Description of this casualty or theft event . . . . ►

2 Date of casualty or theft event ►

3 Use of property, check one if not a Ponzi loss (line 5c):

- **a** Personal (includes home office deducted under simplified method, see tax help) . . . . . ► ☐
- **b** Business, employment, or income-producing . . . . . ► ☐

4 If box 3a is checked, check one:

- **a** This event qualifies as a Hurricane Harvey or Tropical Storm Harvey Disaster . . . . . ► ☐
- **b** This event qualifies as a Hurricane Irma Disaster . . . . . ► ☐
- **c** This event qualifies as a Hurricane Maria Disaster . . . . . ► ☐
- **d** This event qualifies as a **2017** California Wildfire Disaster (01/01/2017-01/18/2018) . . . . . ► ☐
- **e** This event is a qualified federally declared major disaster . . . . . ► ☐
- **f** This event is a federally declared disaster (not "qualified") . . . . . ► ☐
- **g** This event qualifies as a **2016** federally declared disaster area . . . . . ► ☐
- **h** This event **does not** qualify as a federally declared disaster . . . . . ► ☐
- **i** Enter the FEMA disaster decl. number if any line 4a-g is checked. Enter the four-digit number only. If the FEMA disaster decl. number begins with DR, enter it here . . . . ►
- **j** If the FEMA disaster decl. number begins with EM instead of DR, enter it here . . . . . ►

5 If box 3b is checked, check one:

- **a** Check if the property was used in a passive activity . . . . . ► ☐
- **b** Check if the property was **not** used in a passive activity . . . . . ► ☐
- **c** Check if this is a Rev Proc 2009-20 Ponzi-Type loss . . . . . ► ☐

6 Worksheet Copy Number 1

Part II Property Information for All Properties Damaged or Stolen in the Casualty or Theft Event

a **Description** including type of property . . ►

b For personal use property, enter the address, city, state and ZIP code

c Date acquired . . . . . ► **d** Cost or other basis . . ►

e Insurance or other reimbursement . . . . . ►

f FMV before event . . . . . ► **g** FMV after event . ►

h Was this a total loss ? Yes . . . ► ☐ No . . ► ☐

i If **personal** use, is this a collectible ? Yes . . . ► ☐ No . . ► ☐

j If **business** use, check one: Business ► ☐ Employ ► ☐ Income . . ► ☐

k If **home office** (standard method) enter: Sch C . ► ☐ No Sch C ► ☐ Ln 27

a **Description** including type of property . . ►

b For personal use property, enter the address, city, state and ZIP code

c Date acquired . . . . . ► **d** Cost or other basis . . ►

e Insurance or other reimbursement . . . . . ►

f FMV before event . . . . . ► **g** FMV after event . ►

h Was this a total loss ? Yes . . . ► ☐ No . . ► ☐

i If **personal** use, is this a collectible ? Yes . . . ► ☐ No . . ► ☐

j If **business** use, check one: Business ► ☐ Employ ► ☐ Income . . ► ☐

k If **home office** (standard method) enter: Sch C . . ► ☐ No Sch C ► ☐ Ln 27

Form 6251
Line 37

Schedule D Tax Worksheet as refigured for the Alternative Minimum Tax

2020

► Keep for your records

Name(s) Shown on Return: sridhar & vasu gutha

Social Security Number: 577-47-9214

		(a) Before Allocation of Capital Gain Excess *	(b) Allocation of Capital Gain Excess *	(c) After Allocation of Capital Gain Excess
1	Not applicable			
2	Enter your total qualified dividends as refigured for the Alternative Minimum Tax (AMT):			
a	Total qualified dividends			
b	Adjustment from Schedules K-1			
c	Other adjustments to qualified dividends			
d	Total. Combine lines 2a, 2b, and 2c		0.	0.
3	Enter the amount from Form 4952 for AMT, line 4g	0.		0.
4	Enter the amount from Form 4952 for AMT, line 4e	0.		0.
5	Subtract line 4 from line 3. If zero or less, enter -0-	0.		0.
6	Subtract line 5 from line 2. If zero or less, enter -0-	0.		0.
7	Net long-term capital gain:			
a	Enter the gain from line 15 of Schedule D as refigured for the AMT (0.)			
b	Enter the gain from line 16 of Schedule D as refigured for the AMT (0.)			
c	Enter the **smaller** of line 7a or line 7b	0.		0.
8	Enter the **smaller** of line 3 or line 4	0.		0.
9	Subtract line 8 from line 7c. If zero or less, enter -0-	0.	0.	0.
10	Add lines 6 and 9	0.		0.
A	Enter the amount from Form 6251, line 6.	51,388.		
B	**Capital gain excess**. Subtract line A from line 10. *	0.		
11	Total 28% rate and unrecaptured section 1250 gain:			
a	Enter the gain from line 18 of Schedule D as refigured for the AMT (0.)			
b	Enter the gain from line 19 of Schedule D as refigured for the AMT			
c	Add lines 11a and 11b			0.
12	Enter the **smaller** of line 9 or line 11c			0.
13	Subtract line 12 from line 10. Also enter this amount on Form 6251, line 13.			0.

* Capital gain excess applies only if filing Form 2555, Foreign Earned Income.

Form 6251 **Alternative Minimum Tax Worksheet** **2020**

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Taxable Income — Line 1

1	Enter the amount from Form 1040 or 1040-SR, line 15, if more than zero. If Form 1040 or 1040-SR, line line 15, is zero, subtract lines 12 and 13 of Form 1040 of 1040-SR from line 11 of Form 1040 or 1040-SR and enter the result here. (If less than zero, enter as a negative amount.)	**1**	139,988.
2	Additions to income	**2**	
3	Add lines 1 and 2	**3**	139,988.
4	Subtractions from income	**4**	
5	Subtract line 4 from line 3. Enter on Form 6251, line 1	**5**	139,988.

Taxes — Line 2a

1	Generation skipping transfer taxes included on Schedule A, line 6	**1**	

Refund of Taxes — Line 2b

1	Taxable refund of state and local income tax	**1**	0.
2	Amount and description of any refund of state and local personal property taxes, foreign income or real property taxes	**2**	
3	Total tax refund adjustment. Enter on Form 6251, line 2b	**3**	0.

Alternative Tax Net Operating Loss Deduction (ATNOLD) — Line 2f

1	Alternative minimum taxable income (AMTI) without ATNOLD	**1**	164,788.
2	Enter adjustments	**2**	
3	Adjustment for domestic production activities deduction	**3**	
4	Adjusted AMTI without ATNOLD. Add lines 1-3	**4**	164,788.
5	ATNOLD limitation. Multiply line 4 by 90%	**5**	148,309.
6	Enter ATNOL carried to 2019 from other year(s)	**6**	
7	Enter ATNOL included above attributable to qualified disaster losses	**7**	
8	ATNOL above not attributable to qualified disaster losses. Line 6 minus 7	**8**	
9	ATNOL deduction other than qualified disaster losses. Lesser of line 5 or 8	**9**	
10	ATNOL Disaster Deduction. Lesser of line 7 or (line 4 minus line 9)	**10**	
11	ATNOLD. Add lines 9 and 10. Enter on Form 6251, line 2f, as neg	**11**	

Incentive Stock Options — Line 2i

1	Incentive stock options adjustment from Schedule K-1 worksheets	**1**	
2	Incentive stock options from Employer Stock Transaction Worksheets	**2**	
3	Incentive stock options from Exercise of Stock Options Worksheets	**3**	
4	Other incentive stock options	**4**	
5	Total incentive stock options. Enter on Form 6251, line 2i	**5**	

Disposition of Property — Line 2k

		Alternative Minimum Tax	Regular Tax	Difference
1	Net capital gain or loss (Schedule D)	-3,000.	-3,000.	0.
2	Ordinary gain or loss (Form 4797, Part II)			
3	Ordinary income from sale of Incentive Stock			
4	Total. Enter on Form 6251, line 2k			0.

Post-86 Depreciation — Line 2l

1	From depreciation worksheets	1	
2	Plus amount from Schedule K-1 worksheets	2	
3	Add lines 1 and 2.	3	
4	Any amount relating to an activity for which the partnership interest basis limits apply, for which you are not at risk, or which is a tax shelter farm activity.	4	
5	Total. Subtract line 4 from line 3. Enter on Form 6251, line 2l	5	

Passive Activities — Line 2m

1	Adjustment for recomputed income (loss) from passive activities	1	
2	Adjustment for recomputed income (loss) from publicly traded partnerships	2	
3	Other adjustments to passive activities	3	
4	Total. Add lines 1, 2, and 3. Enter on Form 6251, line 2m	4	

Circulation Costs — Line 2o

1	Circulation costs adjustment from Schedule K-1 Worksheets	1	
2	Other circulation costs adjustment	2	
3	Total. Add lines 1 and 2. Enter on Form 6251, line 2o	3	

Mining Costs — Line 2q

1	Mining costs adjustment from Schedule K-1 Worksheets	1	
2	Other mining costs adjustment	2	
3	Total. Add lines 1 and 2. Enter on Form 6251, line 2q	3	

Research and Experimental Costs — Line 2r

1	Research and Experimental costs adjustment from Schedule K-1 Worksheets	1	
2	Other research and experimental costs adjustment	2	
3	Total. Add lines 1 and 2. Enter on Form 6251, line 2r	3	

Intangible Drilling Costs — Line 2t

1	Excess intangible drilling costs	1	
2	Net income from oil and gas wells	2	
3	Multiply line 2 by 65% (.65)	3	
4	Tentative intangible drilling costs preference. Subtract line 3 from line 1	4	
5	Independent producers exception amount	5	
6	Subtract line 5 from line 4. Enter this amount on Form 6251, line 2t	6	

Other Adjustments — Line 3

1	Pre-1987 depreciation from depreciation worksheets	1	
2	Plus amount from Schedule K-1 worksheets	2	
3	Add lines 1 and 2	3	
4	Any amount relating to an activity for which the partnership interest basis limits apply, for which you are not at risk, or which is a tax shelter farm activity.	4	
5	Subtract line 4 from line 3	5	
6	Enter other adjustments, including income-based related adjustments	6	
7	Add lines 5 and 6	7	
8	Standard deduction if a qualified disaster loss was added to standard deduction.	8	
9	Total other adjustments. Add lines 7 and 8 and enter on Form 6251, line 3	9	

Alternative Minimum Taxable Income — Line 4

If married filing separately and Form 6251, line 4, is more than $745,200:			
1	Alternative minimum taxable income, Form 6251	**1**	
2	Threshold amount	**2**	
3	Subtract line 2 from line 1	**3**	
4	Multiply line 3 by 25% (.25)	**4**	
5	**Smaller** of line 4 or $56,700	**5**	
6	Add line 1 and line 5. Enter on Form 6251, line 4	**6**	

Exemption — Line 5

1	Enter $72,900 if single or head of household, $113,400 if married filing jointly or qualifying widow(er), $56,700 if married filing separately	**1**	113,400.
2	Enter your alternative minimum taxable income from Form 6251, line 4	**2**	164,788.
3	Enter $518,400 if single or head of household, $1,036,800 if married filing jointly or qualifying widow(er), $518,400 if married filing separately	**3**	1,036,800.
4	Subtract line 3 from line 2. If zero or less, enter -0-	**4**	0.
5	Multiply line 4 by 25% (.25)	**5**	0.
6	Subtract line 5 from line 1. If zero or less, enter -0-. Enter on 6251, line 5	**6**	113,400.

Form 6251
Line 7

Foreign Earned Income Alternative Minimum Tax Worksheet

2020

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

1	Enter the amount from Form 6251, line 6		**1**	
2 a	Enter the amount from your (and your spouse's if filing jointly) Form 2555, lines 45 and 50		**2a**	
b	Enter the total amount of any itemized deductions or exclusions you couldn't claim because they are related to excluded income		**2b**	
c	Subtract line 2b from line 2a. If zero or less, enter 0		**2c**	
3	Add line 1 and line 2c		**3**	
4	**Tax on the amount on line 3.** ● If you reported capital gain distributions directly on Form 1040 or 1040-SR, line 7; **or** you reported qualified dividends on Form 1040 or 1040-SR, line 3a; **or** you had a gain on both lines 15 and 16 of Schedule D (Form 1040 or 1040-SR) (as refigured for the AMT, if necessary), enter the amount from line 3 of this worksheet on Form 6251, line 12. Complete the rest of Part III of Form 6251. However, before completing Part III, see *Form 2555*, later, to see if you must complete Part III with certain modifications. Then enter the amount from Form 6251, line 40, here. ● **All Others:** If line 3 is $197,900 or less ($98,950 or less if married filing separately), multiply line 3 by 26% (0.26). Otherwise, multiply line 3 by 28% (0.28) and subtract $3,958 ($1,979 if married filing separately) from the result.		**4**	
5	**Tax on amount on line 2c.** If line 2c is $197,900 or less ($98,950 or less if married filing separately), multiply line 2c by 26% (0.26). Otherwise, multiply line 2c by 28% (0.28) and subtract $3,958 ($1,979 if married filing separately) from the result		**5**	
6	Subtract line 5 from line 4. Enter the result here and on Form 6251, line 7.		**6**	

Federal Carryover Worksheet 2020

► Keep for your records

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

2019 State and Local Income Tax Information

(a) State or Local ID	(b) Paid With Extension	(c) Estimates Pd After 12/31	(d) Total With-held/Pmts	(e) Paid With Return	(f) Total Over-payment	(g) Applied Amount
VA			7,043.		170.	
Totals . .			7,043.		170.	

2019 State Extension Information

(a) State	(b) Paid With Extension

2019 Locality Extension Information

(a) Locality	(b) Paid With Extension

2019 State Estimates Information

(a) State	(c) Estimates Paid After 12/31

2019 Locality Estimates Information

(a) Locality	(c) Estimates Paid After 12/31

2019 State Taxes Due Information

(a) State	(e) Paid With Return

2019 Locality Taxes Due Information

(a) Locality	(e) Paid With Return

2019 State Refund Applied Information

(a) State	(g) Applied Amount

2019 Locality Refund Applied Information

(a) Locality	(g) Applied Amount

2019 State Tax Refund Information

(a) State	(d) Total Withheld/Pmts	(f) Total Overpayment
VA	7,043.	170.

2019 Locality Tax Refund Information

(a) Locality	(d) Total Withheld/Pmts	(f) Total Overpayment

sridhar & vasu gutha 577-47-9214

Other Tax and Income Information		2019	2020
1 Filing status	1	2 MFJ	2 MFJ
2 Number of exemptions for blind or over 65 (0 - 4)	2		
3 Itemized deductions	3	7,138.	8,193.
4 Check box if required to itemize deductions	4	☐	☐
5 Adjusted gross income	5	142,202.	164,788.
6 Tax liability for Form 2210 or Form 2210-F	6	13,126.	18,194.
7 Alternative minimum tax	7		
8 Federal overpayment applied to next year estimated tax	8		

QuickZoom to the IRA Information Worksheet for IRA information ►

Excess Contributions		2019	2020
9 a Taxpayer's excess Archer MSA contributions as of 12/31	9 a		
b Spouse's excess Archer MSA contributions as of 12/31	b		
10 a Taxpayer's excess Coverdell ESA contributions as of 12/31	10 a		
b Spouse's excess Coverdell ESA contributions as of 12/31	b		
11 a Taxpayer's excess HSA contributions as of 12/31	11 a		
b Spouse's excess HSA contributions as of 12/31	b		

Loss and Expense Carryovers Note: Enter all entries as a positive amount				2019	2020
12 a Short-term capital loss			12 a	124,280.	237,835.
b AMT Short-term capital loss			b	124,281.	237,836.
13 a Long-term capital loss			13 a	20,611.	20,611.
b AMT Long-term capital loss			b	20,611.	20,611.
14 a Net operating loss available to carry forward			14 a		
b AMT Net operating loss available to carry forward			b		
15 a Investment interest expense disallowed			15 a	499.	949.
b AMT Investment interest expense disallowed			b	499.	949.
16 Nonrecaptured net Section 1231 losses from:	a	2020	16 a		
	b	2019	b		
	c	2018	c		
	d	2017	d		
	e	2016	e		
	f	2015	f		
17 AMT Nonrecap'd net Sec 1231 losses from:	a	2020	17 a		
	b	2019	b		
	c	2018	c		
	d	2017	d		
	e	2016	e		
	f	2015	f		

Credit Carryovers					**2019**	**2020**
18	General business credit			**18**		
19	Adoption credit from:	**a**	2020	**19 a**		
		b	2019	**b**		
		c	2018	**c**		
		d	2017	**d**		
		e	2016	**e**		
		f	2015	**f**		
20	Mortgage interest credit from:	**a**	2020	**20 a**		
		b	2019	**b**		
		c	2018	**c**		
		d	2017	**d**		
21	Credit for prior year minimum tax			**21**		
22	District of Columbia first-time homebuyer credit			**22**		
23	Residential energy efficient property credit			**23**		

Other Carryovers					**2019**	**2020**
24	Section 179 expense deduction disallowed			**24**		
25	Excess foreign housing deduction:	**a**	Taxpayer (Form 2555, line 46)	**25 a**		
		b	Taxpayer (Form 2555, line 48)	**b**		
		c	Spouse (Form 2555, line 46)	**c**		
		d	Spouse (Form 2555, line 48)	**d**		

Charitable Contribution Carryovers

26 **2019** Carryover of charitable contributions from:	**Other Property**		**Capital Gain**		**Cash**
	(a) 50%	**(b)** 30%	**(c)** 30%	**(d)** 20%	**(e)** 60/100%
a 2019					
b 2018					
c 2017					
d 2016					
e 2015					

27 **2020** Carryover of charitable contributions from:	**Other Property**		**Capital Gain**		**Cash**
	(a) 50%	**(b)** 30%	**(c)** 30%	**(d)** 20%	**(e)** 60/100%
a 2020					
b 2019					
c 2018					
d 2017					
e 2016					

28 Amount overpaid less earned income credit . . . . . 0.

Qualified Business Income Deduction (Section 199A) carryovers					**2019**	**2020**
29	Qualified business loss carryforward			**29**		
30	Qualified PTP loss carryforward			**30**		
31	Applicable percentage	2018		**31 a**		
		2019		**b**		

2019 State Capital Loss Carryovers (For users **not** transferring from the prior year)

State ID	Short-term Capital Loss for State	AMT Short-term Capital Loss for State	Long-term Capital Loss for State	AMT Long-term Capital Loss for State	Capital Loss (combined) for State	AMT Capital Loss (combined) for State

Form 8582 Line 7

Modified Adjusted Gross Income Worksheet

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2020

Name(s) Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Description	Amount
Income	
Wages	168,077.
Interest income before Series EE bond exclusion	1.
Dividend income	
Tax refund	0.
Alimony received	
Nonpassive business income or loss	
Royalty and nonpassive rental activities income or loss	
Nonpassive partnership income or loss	
Nonpassive S corporation income or loss	
Nonpassive farm rental income or loss	
Nonpassive farm income or loss	
Nonpassive estate and trust income or loss	
Real estate mortgage investment conduits	
Business gains and losses from nonpassive activities	
Capital gains and losses	-3,000.
Taxable IRA distributions	
Taxable pension distributions	
Unemployment compensation	
Other income	
Total income	165,078.
Adjustments	
Educator expenses	
Certain business expenses of reservists, performing artists, and government officials	
Health savings account deduction	
Moving expenses	
Self-employed SEP, SIMPLE, and qualified plans	
Self-employed health insurance deduction	
Penalty on early withdrawals of savings	
Alimony paid	
Other adjustments	290.
Total adjustments	290.
Modified adjusted gross income	164,788.

Two-Year Comparison 2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security Number:

Income	2019	2020	Difference	%
Wages, salaries, tips, etc	145,198.	168,077.	22,879.	15.76
Interest and dividend income	4.	1.	-3.	-75.00
State tax refund		0.	0.	
Business income (loss)				
Capital and other gains (losses)	-3,000.	-3,000.	0.	0.00
IRA distributions				
Pensions and annuities				
Rents and royalties				
Partnerships, S Corps, etc				
Farm income (loss)				
Social security benefits				
Income other than the above				
Total Income	142,202.	165,078.	22,876.	16.09
Adjustments to Income		290.	290.	
Adjusted Gross Income	142,202.	164,788.	22,586.	15.88
Itemized Deductions				
Medical and dental	0.		0.	
Income or sales tax	7,137.	8,193.	1,056.	14.80
Real estate taxes				
Personal property and other taxes				
Interest paid	1.	0.	-1.	-100.00
Gifts to charity				
Casualty and theft losses				
Miscellaneous				
Total Itemized Deductions	7,138.	8,193.	1,055.	14.78
Standard or Itemized Deduction	24,400.	24,800.	400.	1.64
Qualified Business Income Deduction				
Taxable Income	117,802.	139,988.	22,186.	18.83
Income tax	17,633.	22,377.	4,744.	26.90
Additional income taxes				
Alternative minimum tax				
Total Income Taxes	17,633.	22,377.	4,744.	26.90
Nonbusiness credits	4,507.	4,183.	-324.	-7.19
Business credits				
Total Credits	4,507.	4,183.	-324.	-7.19
Self-employment tax				
Other taxes				
Total Tax After Credits	13,126.	18,194.	5,068.	38.61
Withholding	10,037.	12,177.	2,140.	21.32
Estimated and extension payments				
Earned income credit				
Additional child tax credit				
Other payments				
Total Payments	10,037.	12,177.	2,140.	21.32
Form 2210 penalty	37.	17.	-20.	-54.05
Applied to next year's estimated tax				
Refund				
Balance Due	3,126.	6,034.	2,908.	93.03

Current year effective tax rate 11.04%

Tax Summary 2020

► Keep for your records

Name (s)
sridhar & vasu gutha

Total income	165,078.
Adjustments to income	290.
Adjusted gross income	164,788.
Itemized/standard deduction	24,800.
Qualified business income deduction	
Taxable income	139,988.
Tentative tax	22,377.
Additional taxes	
Alternative minimum tax	
Total credits	4,183.
Other taxes	
Total tax	18,194.
Total payments	12,177.
Estimated tax penalty	17.
Amount Overpaid	0.
Refund	0.
Amount Applied to Estimate	0.
Balance due	6,034.

Recovery Rebate Credit Worksheet

2020

Name(s) Shown on Return: sridhar & vasu gutha

Social Security No.: 577-47-9214

This worksheet is used to compute the allowed recovery rebate credit for line 30 of Form 1040 or 1040-SR after accounting for any economic stimulus payment previously received.

1	Can you be claimed as a dependent on another person's 2020 return? [X] **No.** Go to line 2 [ ] **Yes. Stop**. You can't take the credit. Don't complete the rest of this worksheet and don't enter any amount on Form 1040, line 30.		
2	Does your 2020 return include a valid social security number for you, and if filing a joint return, your spouse? [X] **Yes.** Skip lines 3 and 4 and go to line 5. [ ] **No.** If you are filing a joint return, go to line 3. If you aren't filing a joint return, **Stop**. You can't take the credit. Don't complete the rest of this worksheet and don't enter any amount on line 30.		
3	Was at least one of you a member of the U.S. Armed Forces at any time during 2020, and does at least one of you have a valid social security number? [ ] **Yes.** Your credit is not limited. Go to line 5**.** [ ] **No.** Go to line 4.		
4	Does one of you have a valid social security number? [ ] **Yes.** Your credit is limited. Go to line 5. [ ] **No. Stop**. You can't take the credit. Don't complete the rest of this worksheet and don't enter any amount on Form 1040, line 30.		
5	Enter: ● $1,200 if single, head of household, married filing separately, qualifying widow(er), or if married filing jointly and you answered "Yes" to question 4, or ● $2,400 if married filing jointly and you answered "Yes" to question 2 or 3.	**5**	2,400.
6	Multiply $500 by the number of qualifying children under age 17 at the end of 2020 listed in the Dependents section on page 1 of Form 1040 or 1040-SR for whom you either checked the "Child tax credit" box or entered an adoption taxpayer identification number	**6**	1,000.
7	Add lines 5 and 6	**7**	3,400.
8	Enter: ● $600 if single, head of household, married filing separately, qualifying widow(er), or if married filing jointly and you answered "Yes" to question 4, or ● $1,200 if married filing jointly and you answered "Yes" to question 2 or 3.	**8**	1,200.
9	Multiply $600 by the number of qualifying children under age 17 at the end of 2020 listed in the Dependents section on page 1 of Form 1040 or 1040-SR for whom you either checked the "Child tax credit" box or entered an adoption taxpayer identification number	**9**	1,200.
10	Add lines 8 and 9	**10**	2,400.
11	Enter the amount from line 11 of Form 1040 or 1040-SR	**11**	164,788.
12	Enter the amount shown below for your filing status : ● $150,000 if married filing jointly or qualifying widow(er) ● $112,500 if head of household ● $75,000 if single or married filing separately	**12**	150,000.
13	Is the amount on line 11 more than the amount on line 12? [ ] **No.** Skip line 14. Enter the amount from line 7 on line 15 and the amount from line 10 on line 18. [X] **Yes.** Subtract line 12 from line 11.	**13**	14,788.
14	Multiply line 13 by 5% (0.05)	**14**	739.
15	Subtract line 14 from line 7. If zero or less, enter -0-	**15**	2,661.
16	Enter the amount, if any, of the economic impact payment (EIP) 1 that was issued to you (before offset for any past-due child support payment). You may refer to Notice 1444 or your tax account information at IRS.gov/Account for the amount to enter here.	**16**	3,400.
17	Subtract line 16 from line 15. If zero or less, enter -0-. If line 16 is more than line 15 you don't have to pay back the difference	**17**	0.
18	Subtract line 14 from line 10. If zero or less, enter -0-	**18**	1,661.
19	Enter the amount, if any, of EIP 2 that was issued to you. You may refer to Notice 1444-B or your tax account information at IRS.gov/Account for the amount to enter here.	**19**	2,400.
20	Subtract line 19 from line 18. If zero or less, enter -0-. If line 19 is more than line 18 you don't have to pay back the difference	**20**	0.
21	**Recovery rebate credit**. Add lines 17 and 20. Enter the result here and, if more than zero, on line 30 of Form 1040 or 1040-SR.	**21**	0.

Compare to U. S. Averages 2020

► Keep for your records

Name(s) Shown on Return	Social Security No
sridhar & vasu gutha	577-47-9214

Your 2020 adjusted gross income (AGI) . . . . . 164,788.
National adjusted gross income range used below . . . . . from 100,000. to 199,999.

Note: National average amounts have been adjusted for inflation. See Help for details.

Selected Income, Deductions, and Credits	Actual Per Return	National Average
Salaries and wages	168,077.	122,635.
Taxable interest	1.	1,288.
Tax-exempt interest		7,612.
Dividends		6,482.
Business net income		27,849.
Business net loss		7,626.
Net capital gain		14,584.
Net capital loss	-3,000.	2,371.
Taxable IRA		28,940.
Taxable pensions and annuities		44,175.
Rent and royalty net income		14,160.
Rent and royalty net loss		9,156.
Partnership and S corporation net income		43,023.
Partnership and S corporation net loss		13,646.
Taxable social security benefits		24,980.
Medical and dental expenses deduction		12,111.
Taxes paid deduction	8,193.	12,122.
Interest paid deduction	0.	9,263.
Charitable contributions deduction		4,627.
Total itemized deductions	8,193.	27,540.
Child care credit	183.	630.
Education tax credits		1,473.
Child tax credit	4,000.	1,428.
Retirement savings contributions credit		0.
Earned income credit		0.

Other Information	Actual Per Return	National Average
Adjusted gross income	164,788.	143,501.
Taxable income	139,988.	108,489.
Income tax	22,377.	18,217.
Alternative minimum tax		2,462.
Total tax liability	18,194.	18,966.

Estimated Taxes and Form W-4 Worksheet

Name: sridhar & vasu gutha
SSN: 577-47-9214

Note: To calculate additional withholding for more than 3 jobs between taxpayer and spouse, or if the lowest paying job earns more than $120,000 - see the IRS W-4 Calculator at www.irs.gov/W4App.

Choose the Method You Will Use to Pay Your 2021 Federal Income Taxes

[ ] By withholding from my paychecks. (You will also need to complete the **Additional Information for Form W-4 Worksheet**. QuickZoom below.)
[X] By making estimated tax payments. If estimated payments are in addition to withholding, my estimated 2021 withholding will be . . . . . .
Overpayment from my 2020 return . . . . . 0.
Amount of my 2020 overpayment to apply to 2021 instead of refunding it . . . . . .

Enter Your Filing Status and Other Information for Your 2021 Tax Return

Choose your filing status . . . . . 2 - Married filing jointly

Taxpayer age as of the end of 2021 43
Spouse age as of the end of 2021 41

Do you qualify for an additional standard deduction?
Taxpayer:
Spouse:
Total 0

[ ] Check if you must itemize in 2021. (See Tax Help.)

Dependent of Another

[ ] Check if you will be the dependent of another person (but not if married filing jointly).

Dependents on return:	**2020**	**2021**
Number of qualifying children dependents age 16 and under	2	2
Number of qualifying children dependents age 17 to 23	0	0
Number of other dependents on return	0	0

Enter Your 2021 Income and Deductions in 2nd column	**2020 Actual**	**2021 Expected**
Compensation:		
Annual wages and salary for taxpayer	84,884.	
Medicare wages for taxpayer (W-2 box 5)	104,384.	
Annual wages and salary for spouse	83,193.	
Medicare wages for spouse (W-2 box 5)	102,693.	
Self-employment Income:		
Schedule C income for taxpayer		
Schedule C income for spouse		
Schedule F & K-1 income for taxpayer		
Schedule F & K-1 income for spouse		
Conservation Reserve Progam Payments for taxpayer		
Conservation Reserve Progam Payments for spouse		
Annual net income from self-employment for taxpayer		
Annual net income from self-employment for spouse		

W-2: [ ] Check to populate W-2 table from 2020 return

Employer	**Owner**	**Wages**	**2020 Withholding**	**2021 Wages**	**2021 Withholding**

Schedule C: [ ] Check to populate Schedule C table from 2020 return

Name	**Owner**	**2020 Income**	**2020 Expenses**	**2021 Income**	**2021 Expenses**

Other Tax Information:		
Note: Include this income in the Other Income section below.		
Net Investment Income for 3.8% tax	0.	
Qualified dividends		
Maximum Capital Gains Rate Tax Information:		
Net short-term capital gains or losses	-240,835.	
Net long-term capital gains or losses	-20,611.	
Net 28%-rate capital gains included in long-term		
Unrecap'd Sec 1250 gains incl in long-term *(see Tax Help)*		
Investment income election *(see Tax Help)*		
Other Income:		
Total of your other taxable income and losses *(see Tax Help)*	1.	
Foreign income or housing exclusions		
Adjustments:		
Deductible IRA contributions, alimony, etc		
Charitable cash contributions if using the standard deduction	290.	
Itemized Deductions:		
Total medical expenses	0.	
State and local property and income taxes (or sales tax)	8,193.	
Deductible foreign income taxes		
Deductible mortgage interest		
Cash charitable contributions		
Other charitable contributions		
Deductible investment interest expense, casualty or theft losses *(see Tax Help)*	0.	
Other itemized deductions		
Net qualified disaster loss *(see Tax Help)*		
Standard Deduction:		
Standard deduction	24,800.	25,100.

Deduction Allowed:		
Deduction (*greater of standard+qual'd disaster loss or item'd*)	24,800.	25,100.
Other Deduction:		
Qualified business income deduction *(see Tax Help)*		
Credits:		
Earned Income Tax Credit		
Child Tax Credit	4,000.	0.
Child and Dependent Care Credit	183.	552.
Education Credits		
Other Credits	0.	

sridhar & vasu gutha 577-47-9214 Page **2**

Income Tax Calculation for Your 2021 Tax Return	**2020 Actual**	**2021 Expected**
Taxable income	139,988.	0.
Income tax	22,377.	
Alternative minimum tax (**Enter** Alt Min tax expected in 2021)		
Premium tax credit repayment (**Enter** amt expected for 2021)		
Total credits (**Enter** credits expected in 2021)	4,183.	552.
Tax on self-employment income and add'l 0.9% Medicare tax		0.
Net investment income tax (3.8%)		0.
Other taxes (**Enter** other taxes expected in 2021)	0.	
Total federal income tax	18,194.	0.

Enter the Tax Payments You've Already Made for Your 2021 Tax Return	
The federal income tax actually withheld from your paychecks to date	
Taxpayer	
Spouse	
Federal estimated tax payments you've already made	
Payment number 1 (April 15, **2021**)	
Payment number 2 (June 15, **2021**)	
Payment number 3 (September 15, **2021**)	
2020 federal overpayment credited to **2021** *(from page 1 above)*	
Total taxes paid to date	
Balance of payments needed or (expected refund)	0.

Summary of Taxes to be Paid for 2021	
Federal income taxes to be withheld from your paychecks	
Your 2020 federal overpayment you applied to 2021	
Your 2021 federal estimated taxes,	
based on 110% of your 2020 actual tax	7,836.
Estimate of total payments you will need to make for 2021	7,836.

Estimated Tax Payment Options

Name: sridhar & vasu gutha
SSN: 577-47-9214

Prepare My 2021 Estimated Taxes Based on	Tax Amount
☐ 90% of tax on your 2021 estimated taxable income	0.
☐ 100% of tax on your 2021 estimated taxable income	0.
☐ 66-2/3% of tax on your 2021 estimated taxable income (for farmers and fishermen only, see Tax Help)	0.
☒ 100% (110%) of your 2020 taxes (prior-year exception)	
Note: If your 2020 taxes were less than $1000, see Tax Help	20,013.

Amount of Estimated Taxes to Pay in 2021	
Taxes based on method above	20,013.
Expected withholding for 2021 (2020 actual withholding)	12,177.
Taxes due after withholding	7,836.
Estimates you've already paid	
Last year's overpayment you applied to this year	
Balance of estimated taxes due	7,836.

Round My Payments Up

- ☐ To the next $10
- ☐ To the next $100

Prepare Estimated Tax Payment Vouchers

- ☒ The amount of estimated taxes due is $1,000 or more (see Tax Help)
- ☐ Even if the amount of estimated taxes due is less than $1,000
- ☐ No, do not prepare estimated tax payment vouchers

Schedule of Estimated Tax Payments for 2021 Check the box for the payment date due next. We will prepare your vouchers based on your choice.	
☐ Payment number 1, due April 15, 2021	1,959.
☐ Payment number 2, due June 15, 2021	1,959.
☐ Payment number 3, due September 15, 2021	1,959.
☐ Payment number 4, due January 18, 2022	1,959.

Total estimated tax payments for 2021	7,836.

Print Estimated Tax Vouchers

- ☒ Yes, print those prepared by program
- ☐ No, I will use those supplied by the I.R.S. and write in the amounts

Additional Information for Form W-4

Name:	sridhar & vasu gutha
SSN:	577-47-9214

Note: To calculate additional withholding for more than 3 jobs between taxpayer and spouse, or if the lowest paying job earns more than $120,000 - see the IRS W-4 Calculator at www.irs.gov/W4App.

☐ This box will be checked if your entries on the **Estimated Taxes and Form W-4 Worksheet** indicate that this worksheet and Form W-4 are necessary for your next year's plan.

Enter Salary and Pay Periods for 2021	**Taxpayer**	**Spouse**
Your annual salary for this year		
Salary you have already received in 2021		
Your remaining salary for this year	0.	
Number of paychecks you have remaining this year		
How often you are paid		
Your gross salary per pay period		

Form W-4 Personal Withholding Adjustments	**Taxpayer**	**Spouse**
Withholding status		
Additional withholding per pay period		
Estimated future withholding per pay period		
Estimated future withholding through remainder of year		
Top tax rate being withheld	%	%

Change in Federal Income Tax Withholding per Pay Period	**Taxpayer**	**Spouse**
See tax help for more information.		
Current withholding per pay period		
Estimated future withholding per pay period		
Increase/(decrease) in net pay per pay period		

Summary of Federal Income Taxes to be Withheld in 2021: Total taxes withheld to date, entered on ES & Form W4 Worksheet and future withholding from above.	
Taxpayer's withholding	
Spouse's withholding	
Total withholding	

ELECTRONIC POSTMARK - CERTIFICATION OF ELECTRONIC FILING

Taxpayer: sridhar & vasu gutha
Primary SSN: 577-47-9214

Federal Return Submitted: May 17, 2021 06:06 PM PDT
Federal Return Acceptance Date:

Your return was electronically transmitted on 05/18/2021

The Intuit Electronic Postmark shows the date and time Intuit received your federal tax return. The Intuit Electronic Postmark documents the filing date of your income tax return, and the electronic postmark information should be kept on file with your tax return and other tax-related documentation.

There are two important aspects of the Intuit Electronic Postmark:

1. THE INTUIT ELECTRONIC POSTMARK.
The electronic postmark shows the date and time Intuit received the federal return, and is deemed the filing date if the date of the electronic postmark is on or before the date prescribed for filing of the federal individual income tax return.

TIMELY FILING:
For your federal return to be considered filed on time, your return must be postmarked on or before midnight May 17, 2021. Intuit's electronic postmark is issued in the Pacific Time (PT) zone. If you are not filing in the PT zone, you will need to add or subtract hours from the Intuit Electronic Postmark time to determine your local postmark time. For example, if you are filing in the Eastern Time (ET) zone and you electronically file your return at 9 AM on May 17, 2021, your Intuit electronic postmark will indicate May 17, 2021, 6 AM. If your federal tax return is rejected, the IRS still considers it filed on time if the electronic postmark is on or before May 17, 2021, and a corrected return is submitted and accepted before May 22, 2021. If your return is submitted after May 22, 2021, a new time stamp is issued to reflect that your return was submitted after the IRS deadline and, consequently, is no longer considered to have been filed on time.

If you request an automatic six-month extension, your return must be electronically postmarked by midnight October 15, 2021. If your federal tax return is rejected, the IRS will still consider it filed on time if the electronic postmark is on or before October 15, 2021, and the corrected return is submitted and accepted by October 20, 2021.

2. THE ACCEPTANCE DATE.
Once the IRS accepts the electronically filed return, the acceptance date will be provided by the Intuit Electronic Filing Center. This date is proof that the IRS accepted the electronically filed return.

We need your consent - Early Access

This is an IRS requirement

IRS regulations require the following statements:

"Federal law requires this consent form be provided to you. Unless authorized by law, we cannot use your tax return information for purposes other than the preparation and filing of your tax return without your consent.

You are not required to complete this form to engage our tax return preparation services. If we obtain your signature on this form by conditioning our tax return preparation services on your consent, your consent will not be valid. Your consent is valid for the amount of time that you specify. If you do not specify the duration of your consent, your consent is valid for one year from the date of signature."

If you believe your tax return information has been disclosed or used improperly in a manner unauthorized by law or without your permission, you may contact the Treasury Inspector General for Tax Administration (TIGTA) by telephone at 1-800-366-4484, or by email at *complaints@tigta.treas.gov.*

To agree, enter your name and date in the boxes below and select the "I Agree" button on the bottom of the page.

First Name Last Name

Please type the date below:

Date

F7216U01 SBIA5001

Read and accept this Disclosure Consent

This is an IRS requirement

IRS regulations require the following statements:

"Federal law requires this consent form be provided to you. Unless authorized by law, we cannot disclose your tax return information to third parties for purposes other than the preparation and filing of your tax return without your consent. If you consent to the disclosure of your tax return information, Federal law may not protect your tax return information from further use or distribution.

You are not required to complete this form to engage our tax return preparation services. If we obtain your signature on this form by conditioning our tax return preparation services on your consent, your consent will not be valid. If you agree to the disclosure of your tax return information, your consent is valid for the amount of time that you specify. If you do not specify the duration of your consent, your consent is valid for one year from the date of signature."

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To agree, enter your name and date in the boxes below and select the "I Agree" button on the bottom of the page.

Sign this agreement by entering your name:

Please type the date below:

Date

Read and accept this Disclosure Consent

This is an IRS requirement

To, enable the Tax Identity restoration protection service that you purchased as part of a bundle, we need your consent to send some of your personal information to our partner, ID Notify.

Entering your name and date below allows us to disclose the data below to IDNotify, provided by CSIdentity Corp., an Experian company. With your consent, we will send the following: First Name, Middle Initial, Last Name, Date of Birth, Phone Number, Street Address, City, State, Zip, Social Security Number, Email Address, Username, and a randomly generated Subscriber Number.

IRS regulations require the following statements:

"Federal law requires this consent form be provided to you. Unless authorized by law, we cannot disclose your tax return information to third parties for purposes other than the preparation and filing of your tax return without your consent. If you consent to the disclosure of your tax return information, Federal law may not protect your tax return information from further use or distribution.

You are not required to complete this form to engage our tax return preparation services. If we obtain your signature on this form by conditioning our tax return preparation services on your consent, your consent will not be valid. If you agree to the disclosure of your tax return information, your consent is valid for the amount of time that you specify. If you do not specify the duration of your consent, your consent is valid for one year from the date of signature."

If you believe your tax return information has been disclosed or used improperly in a manner unauthorized by law or without your permission, you may contact the Treasury Inspector General for Tax Administration (TIGTA) by telephone at 1-800-366-4484, or by email at *complaints@tigta.treas.gov.*

To agree, enter your name and date in the boxes below and select the "I Agree" button on the bottom of the page.

I authorize Intuit to send my information listed above to CSIdentity Corporation.

Sign this agreement by entering your name:

Please type the date below:

Date

IMPORTANT DISCLOSURES

If you are owed a federal tax refund, you have a right to choose how you will receive the refund. There are several options available to you. Please read about these options below.

You can file your federal tax return electronically or by paper and obtain your federal tax refund *directly* from the Internal Revenue Service ("IRS") *for free.* If you file your tax return electronically, you can receive a refund check directly from the IRS through the U.S. Postal Service in 21 to 28 days from the time you file your tax return or the IRS can deposit your refund directly into your bank account in less than 21 days from the time you file your tax return unless there are delays by the IRS. If you file a paper return through the U.S. Postal Service, you can receive a refund check directly from the IRS through the U.S. Postal Service in 6 to 8 weeks from the time the IRS receives your return or the IRS can deposit your refund directly into your bank account in 6 to 8 weeks from the time the IRS receives your return. However, if your return contains Earned Income Tax Credit or Additional Child Tax Credit, the IRS will issue your refund no earlier than February 15, 2021.

You can file your tax return electronically, select the Refund Processing Service ("RPS"), and have your federal income tax refund processed through a processor using banking services of a financial institution. The RPS allows your refund to be deposited into a bank account intended for one-time use at Green Dot Bank ("Bank") and deducts your TurboTax fees and other fees you authorize from your refund. The balance is delivered to you via the disbursement method you select. If you file your tax return electronically and select the RPS, the IRS will deposit your refund with Bank. Upon Bank's receipt of your refund, Santa Barbara Tax Products Group, LLC, a processor, will deduct and pay from your refund any fees charged by TurboTax for the preparation and filing of your tax return and any other amounts authorized by you and disburse the balance of your refund proceeds to you. Unless there are delays by the IRS, refunds are received in less than 21 days from the time you file your tax return electronically. However, if your return contains Earned Income Tax Credit or Additional Child Tax Credit, the IRS will issue your refund no earlier than February 15, 2021.

The RPS is not necessary to obtain your refund. If you have an existing bank account, you do not need to use the RPS in order to receive a direct deposit from the IRS. You may consult the IRS website (IRS.gov) for information about tax refund processing.

If you select the RPS, no prior debt you may owe to Bank will be deducted from your refund.

You can change your income tax withholdings which might result in you receiving additional funds throughout the year rather than waiting to receive these funds potentially in an income tax refund next year. Please consult your employer or tax advisor for additional details.

This Agreement requires all disputes to be resolved by way of binding arbitration.
The terms of the arbitration provision appear in Section 10.

Information regarding low-cost deposit accounts may be available at www.mymoney.gov .

The chart below shows the options for filing your tax return (e-file or paper return), the RPS product, refund disbursement options, estimated timing for obtaining your tax refund proceeds, and costs associated with the various options.

WHAT TYPE OF FILING METHOD?	WHAT ARE YOUR DISBURSEMENT OPTIONS?	WHAT IS THE ESTIMATED TIME TO RECEIVE REFUND?	WHAT COSTS DO YOU INCUR IN ADDITION TO TAX PREPARATION FEES?
PAPER RETURN No Refund Processing Service	IRS direct deposit to your personal bank account.	Approximately 6 to 8 weeks [3]	Free
	Check mailed by IRS to address on tax return.	Approximately 6 to 8 weeks [3]	
ELECTRONIC FILING (E-FILE) No Refund Processing Service	IRS direct deposit to your personal bank account.	Usually within 21 days [3]	Free
	Check mailed by IRS to address on tax return.	Approximately 21 to 28 days [3]	
ELECTRONIC FILING (E-FILE) Refund Processing Service	(a) Direct deposit to your personal bank account, or (b) Load to your debit card [1].	Usually within 21 days [3]	Free option with your purchase of a Tax Product [2]

[1] You may incur additional charges from the issuer of the debit card if you select to have your tax refund loaded on a debit card.

[2] This fee consists of a TurboTax Fee, the cost of the Tax Product, and any fees for additional products and services purchased. Note that the cost of the Tax Product may vary depending on the edition of TurboTax purchased. See Section 3 of the Refund Processing Service Agreement on the next page for the cost of the service you have chosen.

[3] However, if your return contains Earned Income Tax Credit or Additional Child Tax Credit, the IRS will issue your refund no earlier than February 15, 2021.

Pro Delegation Worksheet 2020

Check this box if you are preparing this return as a PRO preparer . . . . . ☐

Preparer / Electronic Return Originator (ERO) Information

Print name in signature area? ☐

Preparer Name _____

Preparer Tax ID # (PTIN) _____

NY Tax Preparer Registration # _____ or NY Exclusion Code ____

For NM, OR Preparers Only: State ID# _____

Preparer E-mail _____ Print date on return? ☐

Preparer Phone _____ CAF # _____

Electronic Filing Only: ERO Practitioner PIN

Electronic Filing and Printing of Tax Return Information

Electronic Filing:

- ☐ File **federal** return electronically
- ☐ File **state** returns electronically
- ☐ File **other** returns electronically

Print and Mail Selections (use only if e-file ineligible):

- ☐ Federal return printed and mailed to IRS
- ☐ State return printed and mailed to state agency
- ☐ Other return printed and mailed

Select state returns to file electronically:

State(s)

Select state returns to file by mail:

State(s)

Select other returns to file electronically:

Other Return(s)

Select other returns to file by mail:

Other Return(s)

Electronic Filing and Printing of Amended Return Information

Electronic Filing:

- ☐ File **federal** amended return(s) electronically
- ☐ File **state** amended return(s) electronically

Print and Mail Selections (use only if e-file ineligible):

- ☐ Federal amended return printed and mailed
- ☐ State amended return printed and mailed

Select state amended return(s) to file electronically:

State(s)

Select state amended return(s) to file by mail:

State(s)

New! State e-file disclosure consent:

By using a computer system and software to prepare and transmit my client's return electronically, I consent to the disclosure of all information pertaining to my use of the system and software to create my client's return and to the electronic transmission of my client's return to the state Department of Revenue, as applicable by law.

Practitioner PIN Program:

☐ Sign return electronically using Practitioner PIN

Choose one:

☐ Automatically generate PIN equal to last 5 digits of taxpayer(s) SSN (See help)
☐ Taxpayer(s) entered own PIN(s)
☐ Preparer entered PIN(s) on behalf of taxpayer(s)

Taxpayer's PIN (enter any 5 numbers). . . . . _____
Spouse's PIN filing a joint return (enter any 5 numbers) . . . . _____
Date PIN entered. . . . . _____

Identity Verification Information

Driver's License and/or State Id:

Taxpayer and Spouse (if applicable) driver's license and/or state identification must be completed on the federal information worksheet prior to e-filng the return.

Documents Used to Verify Primary Taxpayer Identity:

☐ Driver's license
☐ State issued identification card
☐ Passport
☐ Account statement from financial institution
☐ Utility billing statement
☐ Credit card billing statement

Finish and File Info:

☐ To indicate a client return download in FnF

PDF ATTACHMENTS

Attachment Description	Type	File Name	PDF Name	Entity Key	Version

Please fill out the survey at the link below to help us better understand your experience working with the tax optimization features.

https://forms.gle/ugi2CxnyuAXNW2Kb7

Suggestions For Customer

Suggestion ID	Suggestion
0000	No pilot project expert suggestion was determined for this customer

Pro Notes About Suggestions

Suggestion ID	Suggestion

Smart Worksheets from your 2020 Federal Tax Return

SMART WORKSHEET FOR: Form 2441: Child and Dependent Care Expenses

Credit Limitation Smart Worksheet

Note: Line 10 is presently calculated by subtracting line B from line A. If zero or less, **stop;** you cannot take the credit.

A	Enter the amount from Form 1040, 1040-SR, or 1040-NR line 18	22,377.
B	Enter the amount from Schedule 3 (Form 1040), line 1	

SMART WORKSHEET FOR: Form 4952: Investment Interest Expense

Special Election Smart Worksheet

A	Net investment income without election. Lines 4c, 4f, less 5. . . .	0.
B	Excess investment interest expense. Line 3 less line A	949.
C	Amount available for election. Add lines 4b and 4e.	0.
D	Maximum election amount. Lesser of lines B and C	0.
	Enter on line 4g the portion of line D that you elect to include in investment income.	

SMART WORKSHEET FOR: Schedule D AMT: Capital Gains&Losses AMT

(a) Description of Short-Term Property Transaction		(b) Related Box on Form 8949			
(c) Date Acquired	(d) Date Sold	(e) Sales Price	(f) Cost or Other basis	(g) Gain or Loss Adjustment	(h) Gain or (Loss)
TD Ameritrade Clearing, Inc., Summary of category B		B			
See Stmnt	See Stmnt	1,845,685.	1,972,426.	123,052.	-3,689.
TD Ameritrade Clearing, Inc., Summary of category A (not eligible for 8949 exception)		A			
See Stmnt	See Stmnt	24,314,020.	25,253,875.	862,165.	-77,690.
AAPL 07/17/2020 CALL $390.00 1		A			
07/16/20	07/16/20	186.	220.		-34.
SPY 06/29/2020 PUT $295.00 1		A			
06/24/20	06/25/20	131.	143.		-12.
SPY 06/29/2020 PUT $297.00 1		A			
06/24/20	06/24/20	210.	166.		44.

SMART WORKSHEET FOR: 1040/1040SR Wks: Form 1040 or Form 1040-SR Worksheet

Tax Smart Worksheet

A	Tax	22,377.
	Check if from:	
1	Tax table	
2	Tax Computation Worksheet (see instructions)	X
3	Schedule D Tax Worksheet	
4	Qualified Dividends and Capital Gain Tax Worksheet	
5	Schedule J	
6	Form 8615	
7	Foreign Earned Income Tax Worksheet	
B	Additional tax from Form 8814	
C	Additional tax from Form 4972	
D	Tax from additional Form(s) 4972	
E	Recapture tax from Form 8863	
F	IRC Section 197(f)(9)(B)(ii) election for an additional tax	
G	Health Coverage Tax Credit Recovery, Form 8885, Line 5, if negative	
H	Additional tax from Form 8621	
I	**Tax.** Add lines A through G. Enter the result here and include in tax below	22,377.
J	Form 8621 tax deferal from line 9c (to line 24)	

SMART WORKSHEET FOR: 1040/1040SR Wks: Form 1040 or Form 1040-SR Worksheet

Excess Social Security and Tier I RRTA Tax Withheld Smart Worksheet

The calculated amount for Excess social security and tier 1 RRTA tax withheld could include a portion that needs to be removed. When a taxpayer has multiple W-2's, each with the same EIN, excess withholding can only be claimed if the Employer's Name's in box c of the W-2's reflect separate business entities. This could occur when a parent company has multiple subsidiaries, or when a temp agency issues W-2's for distinctly different jobs. If you have multiple W-2's with the same EIN, for the same taxpayer, and for the same job, reduce the amount calculated on Line A below by the excess withholdings from only those W-2's.

A	Total Excess Social Security or Tier I RRTA tax withheld claimed as a credit	0.

SMART WORKSHEET FOR: Other Income Statement

Unemployment Compensation Exclusion Smart Worksheet

A	Add Form 1040, lines 1 through 7, and Schedule 1, lines 1 through 6		
B	Schedule 1, line 8 other income before unemployment exclusion		
C	Add lines A and B		
D	Enter the total of line 10b of Form 1040 and Schedule 1, lines 10 through 21		
E	Subtract line D from line C. This is your modified adjusted gross income		
F	Is the amount on line E $150,000 or more? ☐ Yes. **Stop**. You can't exclude any unemployment ☐ No. Go to line G		
G	Unemployment compensation received (not more than $10,200) Any adjustment on line G is taken on Schedule 1, line 8 and is not included in the totals below		

SMART WORKSHEET FOR: Federal Information Worksheet

TurboTax for the Web Filing Status Smart Worksheet

Check this box to override the filing status selected thru Interview . .	☐
Marital Status	
Filing Status Selected	

SMART WORKSHEET FOR: Dependent Information Worksheet (aarush)

Dependency/EIC Smart Worksheet

NOTE: It is recommended that you answer the questions below using the Step-by-Step mode. That will help insure that answers to the questions are not inconsistent.

A How many months did this person live with you? . . . . . The whole year

Note: If born or died in current year and lived with you entire time or qualified missing child select "The whole year". If more than one-half the year select 7 or more

B Who are the parents of this person?

(Used to determine if additional questions are necessary for children of divorced parents.)

Both Taxpayer and spouse	X
Taxpayer	
Spouse	

C Did this person provide more than 1/2 of their own support? . . . . . ☐ Yes ☒ No

D Was this person married on December 31, 2020 and filing a joint return for the year (You may answer **no** if the only reason the joint return is filed is to get a refund of tax withheld or estimated tax payments and neither spouse would have a tax liability on their return if they filed separate returns)? . . . . . ☐ Yes ☐ No

Detailed answers for this question. This dependent:

- Was married on December 31, 2020 . . . . . ☐ Yes ☐ No
- If married, filed a joint return for the year . . . . . ☐ Yes ☐ No
- If filed joint return, only filed to get a refund of tax withheld or estimated tax payments . . . . . ☐ Yes ☐ No
- If filed married filing separate, neither spouse had a tax liability on their return if they had filed separately . . . . . ☐ Yes ☐ No

E Is this person a Full time student? . . . . . ☐ Yes ☐ No

F Is this person's gross income less than $4,300? . . . . . ☐ Yes ☐ No

1 Did you provide over 1/2 the support for this person?
or
Did you provide over 10% of the support for the person and with other individuals who would be able to claim the person except for the support test over 1/2 the support and all of you have agreed that you alone will claim the person and you have filled out the Multiple Support Declaration, Form 2120, to attach to your return? . . . . . ☐ Yes ☐ No

G Is there an agreement with this person's other parent about who can claim this person as a dependent? . . . . . ☐ Yes ☐ No

Note: The noncustodial parent claiming the exemption for the child must attach to their return Form 8332 from the custodial parent releasing the claim to the exemption for the child

1 TurboTax Web Only:

Is the other parent claiming this dependent per the custody agreement? . . . . . ☐ Yes ☐ No

Has the other parent waived their legal right so you can claim this dependent on your tax return? . . . . . ☐ Yes ☐ No

H Who will be claiming this person as a dependent as a result of:

- an agreement between the parents
- the rules controlling who can claim a qualifying child when the child meets the conditions to be a qualifying child of more than one person?

Taxpayer (includes spouse if married filing joint) in this return?	X
Other parent in different return?	
Someone else in different return?	

SMART WORKSHEET FOR: Dependent Information Worksheet (aarush)

Child and Dependent Care Expenses, Form 2441, Special Situations Worksheet

Check this box if this person is a qualifying person only for the dependent care expenses because they were not your dependent but would have been except that:
* They received gross income greater than $4,300 or more or
* They filed a joint return . . . . . ☐

SMART WORKSHEET FOR: Dependent Information Worksheet (pranavi)

Dependency/EIC Smart Worksheet

NOTE: It is recommended that you answer the questions below using the Step-by-Step mode. That will help insure that answers to the questions are not inconsistent.

A How many months did this person live with you? . . . . . The whole year
Note: If born or died in current year and lived with you entire time or qualified missing child select "The whole year". If more than one-half the year select 7 or more

B Who are the parents of this person?
(Used to determine if additional questions are necessary for children of divorced parents.)
Both Taxpayer and spouse . . . . . [X]
Taxpayer . . . . . [ ]
Spouse . . . . . [ ]

C Did this person provide more than 1/2 of their own support? . . . . . [ ] Yes [X] No

D Was this person married on December 31, 2020 and filing a joint return for the year (You may answer **no** if the only reason the joint return is filed is to get a refund of tax withheld or estimated tax payments and neither spouse would have a tax liability on their return if they filed separate returns)? . . . . . [ ] Yes [ ] No
Detailed answers for this question. This dependent:
- Was married on December 31, 2020 . . . . . [ ] Yes [ ] No
- If married, filed a joint return for the year . . . . . [ ] Yes [ ] No
- If filed joint return, only filed to get a refund of tax withheld or estimated tax payments . . . . . [ ] Yes [ ] No
- If filed married filing separate, neither spouse had a tax liability on their return if they had filed separately . . . . . [ ] Yes [ ] No

E Is this person a Full time student? . . . . . [ ] Yes [ ] No

F Is this person's gross income less than $4,300? . . . . . [ ] Yes [ ] No

1 Did you provide over 1/2 the support for this person?
or
Did you provide over 10% of the support for the person and with other individuals who would be able to claim the person except for the support test over 1/2 the support and all of you have agreed that you alone will claim the person and you have filled out the Multiple Support Declaration, Form 2120, to attach to your return? . . . . . [ ] Yes [ ] No

G Is there an agreement with this person's other parent about who can claim this person as a dependent? . . . . . [ ] Yes [ ] No
Note: The noncustodial parent claiming the exemption for the child must attach to their return Form 8332 from the custodial parent releasing the claim to the exemption for the child

1 TurboTax Web Only:
Is the other parent claiming this dependent per the custody agreement? . . . . . [ ] Yes [ ] No
Has the other parent waived their legal right so you can claim this dependent on your tax return? . . . . . [ ] Yes [ ] No

H Who will be claiming this person as a dependent as a result of:
- an agreement between the parents
- the rules controlling who can claim a qualifying child when the child meets the conditions to be a qualifying child of more than one person?

Taxpayer (includes spouse if married filing joint) in this return? . . . . . [X]
Other parent in different return? . . . . . [ ]
Someone else in different return? . . . . . [ ]

SMART WORKSHEET FOR: Dependent Information Worksheet (pranavi)

Child and Dependent Care Expenses, Form 2441, Special Situations Worksheet

Check this box if this person is a qualifying person only for the dependent care expenses because they were not your dependent but would have been except that:
* They received gross income greater than $4,300 or more or
* They filed a joint return . . . . . ☐

SMART WORKSHEET FOR: Form W-2 : Wage & Tax Statement (Copy 1)

Qualified Business Income Deduction Smart Worksheet

Completing this worksheet is only necessary if Statutory Employee (Box 13) has been checked and expenses will not be deducted on Schedule C ("No" checked in Part III, line 3).

A	Is this activity a qualified trade or business under Section 199A?	☐ Yes	☐ No
B	QBI worksheet to report . . . . . ►		
C	Specified Service Trade or Business (SSTB)?	☐ Yes	☐ No
D	I am not a statutory employee	☐	

SMART WORKSHEET FOR: Form W-2 : Wage & Tax Statement (Copy 1)

Substitute Form W-2 Smart Worksheet

A Treat as a substitute W-2 and generate a form 4852 . . . . . ☐

B Linked substitute W-2 Form 4852 . . . . . ► _____

C Enter Form 4852, Line 9 information. "How did you determine amounts on line 7 of Form 4852?"

_____

_____

_____

_____

D Form 4852, Line 10 information. "Explain your efforts to obtain Form W-2?"

_____

_____

_____

_____

E **QuickZoom** to completed Form 4852 for reference . . . . . ► _____

SMART WORKSHEET FOR: Form W-2 : Wage & Tax Statement (Copy 2)

Qualified Business Income Deduction Smart Worksheet

Completing this worksheet is only necessary if Statutory Employee (Box 13) has been checked and expenses will not be deducted on Schedule C ("No" checked in Part III, line 3).

A	Is this activity a qualified trade or business under Section 199A?	☐ Yes	☐ No
B	QBI worksheet to report . . . . . ►		
C	Specified Service Trade or Business (SSTB)?	☐ Yes	☐ No
D	I am not a statutory employee	☐	

SMART WORKSHEET FOR: Form W-2 : Wage & Tax Statement (Copy 2)

Substitute Form W-2 Smart Worksheet

A Treat as a substitute W-2 and generate a form 4852 . . . . . ☐

B Linked substitute W-2 Form 4852 . . . . . ►_____

C Enter Form 4852, Line 9 information. "How did you determine amounts on line 7 of Form 4852?"

_____

_____

_____

_____

D Form 4852, Line 10 information. "Explain your efforts to obtain Form W-2?"

_____

_____

_____

_____

E **QuickZoom** to completed Form 4852 for reference . . . . . ►_____

SMART WORKSHEET FOR: Child Tax Cr and Cr for Other Depend Wks

Line 7 Smart Worksheet

If your employer withheld or you paid Additional Medicare Tax or Tier 1 RRTA taxes, use this worksheet to figure the amount to enter on line 7.

Social security tax, Medicare tax, and Additional Medicare Tax on Wages.

A	Enter the social security tax withheld (Form(s) W-2, box 4)	12,839.
B	Enter the Medicare tax withheld (Form(s) W-2, box 6). Box 6 includes any Additional Medicare Tax withheld	3,003.
C	Enter any amount from Form 8959, line 7	0.
D	Add line A, B, and C	15,842.
E	Enter the Additional Medicare Tax withheld (Form 8959 line 22)	
F	Subtract line E from line D	15,842.

Additional Medicare Tax on Self-Employment Income.

G	Enter one-half of the Additional Medicare Tax, if any, on self-employment income (one-half of Form 8959, line 13)	

Tier 1 RRTA taxes as an employee of a railroad (enter amounts on lines H, I, J, and K) **or employee representative** (enter amounts on lines L, M, N, and O). Do not include amounts in Form W-2, box 14 that are identified as Additional Medicare Tax or Tier 2 tax. Do not include amounts shown on Form CT-2 on line 3 for Additional Medicare Tax or line 4 for Tier 2 tax.

H	Enter the Tier 1 tax (Form(s) W-2, box 14)	0.
I	Enter the Medicare Tax (Form(s) W-2, box 14)	0.
J	Enter the Additional Medicare Tax, if any, or RRTA compensation as an employee (Form 8959, line 17). Do not use the same amount from Form 8959, line 17 for both this line and line N.	
K	Add lines H, I, and J	0.
L	Enter one-half of Tier 1 tax (one-half of Forms CT-2, line 1 for all 4 quarters of 2020)	
M	Enter one-half of Tier 1 Medicare tax (one-half of Forms CT-2, line 2 for all 4 quarters of 2020)	
N	Enter one-half of the Additional Medicare Tax, if any, on RRTA compensation as an employee representative (one-half of Form 8959, line 17). Do not use the the same amount from Form 8959, line 17 for this line and line J	
0	Add line L, M, and N	

Line 7 Amount

P	Add line F, G, K and O. Enter here and on Line 14 Worksheet, line 7	15,842.

SMART WORKSHEET FOR: Capital Loss Carryover Worksheet

2019 Capital Loss Information Smart Worksheet

		Regular Tax	Alternative Minimum Tax
A	Net short-term capital gain or (loss). (2019 Schedule D, line 7)	-127,280.	-127,281.
B	Net long-term capital gain or (loss). (2019 Schedule D, line 15)	-20,611.	-20,611.
C	Allowable net capital (loss). (2019 Schedule D, line 21)	-3,000.	-3,000.
D	Taxable income. (2019 Form 1040, line 11b or Form 1040NR, line 41)	117,802.	
E	Alternative minimum taxable income before exemptions. (2019 Form 6251, line 4)		142,202.
F	Ownership: [X] Taxpayer [ ] Spouse [ ] Joint		

SMART WORKSHEET FOR: Tax and Interest Deduction Worksheet

Mortgage Interest Limited Smart Worksheet

If your mortgage interest deduction needs to be limited for one of the following reasons, use the Deductible Home Mortgage Interest Worksheet to determine the amount to be reported on lines **A**, **B**, and **C** below:

- The principal amount of your mortgage and home equity debt is over $750,000 ($375,000 if married filing separate), or
- You had home debt that was **not** used to buy, build or substantially improve your home that secures the loan

QuickZoom to Deductible Home Mortgage Interest Worksheet . . . . . ►

Does your mortgage interest need to be limited: Yes . . . [ ] No . . . [X]

A	**Home mortgage interest and points reported on Form 1098:**	
1	Sum of lines 5a through 5d below	
2	Limited amount to report on Sch A, line 8a	
B	**Home mortgage interest not reported on Form 1098:**	
1	Sum of lines 6a and 6b below	
2	Limited amount to report on Sch A, line 8b	
C	**Points not reported on Form 1098:**	
1	Sum of lines 7a through 7c below	
2	Limited amount to report on Sch A, line 8c	

SMART WORKSHEET FOR: Misc Itemized Deductions Wks

Depreciation Smart Worksheet

A	Enter Section 179 carryover from prior year	
B	**QuickZoom** to the Asset Entry Worksheet ►	
C	**QuickZoom** to the Depreciation/Amortization Reports ►	
D	**QuickZoom** to Form 4562 for Schedule A ►	
E	Treat all MACRS assets for activity as qualified Indian reservation property?	[ ] Yes [X] No
F	Treat all assets acquired after Aug. 27, 2005 as qualified GO Zone property?	[ ] Regular [ ] Extension [X] No
G	Treat all assets acquired after May 4, 2007 as qualified Kansas Disaster Zone property?	[ ] Yes [X] No
H	Was this property located in a Qualified Disaster Area?	[ ] Yes [X] No

SMART WORKSHEET FOR: Earned Income Credit Worksheet

Nontaxable Combat Pay Election Smart Worksheet

QuickZoom to enter nontaxable combat pay on Form W-2 . . . . . ►

A Taxpayer:

1 Taxpayer, nontaxable combat pay . . . . . _____

1a Taxpayer, prior year nontaxable combat pay from 2019 . . . . . _____

2 Election for earned income credit (EIC):
Elect taxpayer's nontaxable combat pay as earned income for EIC? . . . . ► ☐ **Yes** ☐ **No**

3 Election for dependent care benefits (DCB):
Elect taxpayer's nontaxable combat pay as earned income for DCB? . . . . ► ☐ **Yes** ☐ **No**

4 Election for child and dependent care credit:
Elect taxpayer's nontaxable combat pay as earned income
for child and dependent care credit? . . . . . ► ☐ **Yes** ☐ **No**

B Spouse:

1 Spouse, nontaxable combat pay . . . . . _____

1a Spouse, prior year nontaxable combat pay from 2019 . . . . . _____

2 Election for earned income credit (EIC):
Elect spouse's nontaxable combat pay as earned income for EIC? . . . . . ► ☐ **Yes** ☐ **No**

3 Election for dependent care benefits (DCB):
Elect spouse's nontaxable combat pay as earned income for DCB? . . . . . ► ☐ **Yes** ☐ **No**

4 Election for child and dependent care credit:
Elect spouse's nontaxable combat pay as earned income
for child and dependent care credit? . . . . . ► ☐ **Yes** ☐ **No**

C You may compare the tax benefit of electing or not electing by checking a box on line A or line B and reviewing the overpayment or amount due below:

Overpayment _____ Amount due 6,034.

SMART WORKSHEET FOR: Earned Income Credit Worksheet

Prior Year Earned Income Election Smart Worksheet

Election to use 2019 earned income for Earned Income Credit

The "Yes" box must be marked on Line A for 2019 earned income to be used for EIC calculations.

A Elect to use 2019 earned income for EIC . . . . . ► ☐ **Yes** ☒ **No**

B Earned income for EIC from your 2019 return . . . . . 145,198.

C Current year earned income for EIC . . . . . 168,077.

If Line C is equal to or greater than Line B the taxpayer is not eligible to use 2019 earned income for EIC calculations.

D You may compare the tax benefit of electing to use 2020 Earned Income by checking the boxes on line A

Overpayment _____ Amount due 6,034.

SMART WORKSHEET FOR: Earned Income Credit Worksheet

Investment Income Smart Worksheet

A	Taxable and tax exempt interest	1.
B	Dividend income	
C	Capital gain net **income**	0.
D	Royalty and rental of personal property net **income**	
E	Passive activity net **income**:	
1	Rental real estate net income or loss	
2	Farm rental net income or loss	
3	Partnerships and S corporations net income or loss	
4	Estates and trusts net income or loss	
5	Total of lines 1 through 4	
6	Total passive activity net **income**, line 5 if greater than zero	
F	Interest and dividends from Forms 8814	
G	Adjustments	
H	**Total investment income,** add lines A through G	1.

Is line H, **total investment income** over $3,650?

[X] **No.** You may take the credit.

[ ] **Yes. Stop.** You **cannot** take the credit.

SMART WORKSHEET FOR: Earned Income Credit Worksheet

Qualifying Children Smart Worksheet

First name Last name	MI Suff	Social security number Relationship	Year of birth Was the child under age 24 at the end of 2020, a student, and younger than you (or your spouse, if filing jointly)?	Was the child permanently and totally disabled during any part of 2020?	Lived with taxpayer in the U.S.
aarush gutha		806-98-0027 Son	2011 [ ] Yes [ ] No	[ ] Yes [ ] No	12
pranavi gutha		857-98-7005 Daughter	2016 [ ] [ ]	[ ] [ ]	12
			[ ] [ ]	[ ] [ ]	

SMART WORKSHEET FOR: Estimated Tax Payment Options

For Residents of Guam or the U.S. Virgin Islands Only

[ ] Permanent resident of Guam or U.S. Virgin Islands

[ ] Nonpermanent resident of Guam or U.S. Virgin Islands

Additional information from your 2020 Federal Tax Return

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

4	007903107 ADVANCED MICRO DEVICES INC COM 1600		Check here if this summarizes multiple sales . . . . ►			
A	04/01/20	04/01/20	71,608.83	71,637.42	65.62	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
5	023111206 AMARIN CORP ADR SPONSORED 1000		Check here if this summarizes multiple sales . . . . ►			
A	04/01/20	04/01/20	4,849.77	4,815.00	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
6	023135106 AMAZON COM INC COM 20		Check here if this summarizes multiple sales . . . . ►			
A	03/11/20	03/11/20	36,684.81	36,624.40	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
7	023135106 AMAZON COM INC COM 2260		Check here if this summarizes multiple sales . . . . ►			
A	03/12/20	03/12/20	3,865,854.39	3,910,132.99	45,654.20	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
8	023135106 AMAZON COM INC COM 300		Check here if this summarizes multiple sales . . . . ►			
A	03/13/20	03/13/20	524,788.36	535,317.39	10,529.03	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
9	023135106 AMAZON COM INC COM 50		Check here if this summarizes multiple sales . . . . ►			
A	03/16/20	03/12/20	82,798.16	82,600.00	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
10	023135106 AMAZON COM INC COM 90		Check here if this summarizes multiple sales . . . . ►			
A	03/17/20	03/17/20	161,573.07	165,218.52	3,645.45	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
11	023135106 AMAZON COM INC COM 140		Check here if this summarizes multiple sales . . . . ►			
A	03/18/20	03/18/20	251,068.64	256,460.49	5,391.85	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

12	023135106 AMAZON COM INC COM 20					Check here if this summarizes multiple sales . . . . ►							
A	03/19/20	03/19/20		37,377.27		38,385.78		1,008.51					
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
13	023135106 AMAZON COM INC COM 150					Check here if this summarizes multiple sales . . . . ►							
A	03/23/20	03/23/20		281,878.88		287,104.79		3,325.44					
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
14	023135106 AMAZON COM INC COM 20					Check here if this summarizes multiple sales . . . . ►							
A	03/30/20	03/30/20		39,262.53		40,074.51		0.00					
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
15	023135106 AMAZON COM INC COM 20					Check here if this summarizes multiple sales . . . . ►							
A	03/31/20	03/31/20		39,662.05		40,701.21		0.00					
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
16	023135106 AMAZON COM INC COM 10					Check here if this summarizes multiple sales . . . . ►							
A	04/01/20	03/30/20		19,586.72		19,590.00		0.00					
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
17	023135106 AMAZON COM INC COM 10					Check here if this summarizes multiple sales . . . . ►							
A	07/09/20	07/09/20		31,487.88		31,450.39		0.00					
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
18	03615A108 ANNOVIS BIO INC COM 100					Check here if this summarizes multiple sales . . . . ►							
A	07/07/20	07/07/20		915.97		940.00		0.00					
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
19	037833100 APPLE INC COM 200					Check here if this summarizes multiple sales . . . . ►							
A	03/11/20	03/11/20		55,332.07		55,307.25		0.00					
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
20	037833100 APPLE INC COM 2500					Check here if this summarizes multiple sales . . . . ►							
A	03/12/20	03/12/20		650,564.99		648,480.87		1,002.87					
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

21	037833100 APPLE INC COM 3700				Check here if this summarizes multiple sales . . . . ►								
A	03/13/20	03/13/20		970,024.43	988,632.53	18,608.10							
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
22	037833100 APPLE INC COM 600				Check here if this summarizes multiple sales . . . . ►								
A	03/16/20	03/12/20		143,809.53	143,838.00	106.64							
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
23	037833100 APPLE INC COM 1200				Check here if this summarizes multiple sales . . . . ►								
A	03/18/20	03/18/20		289,035.76	296,258.18	7,222.42							
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
24	037833100 APPLE INC COM 250				Check here if this summarizes multiple sales . . . . ►								
A	03/23/20	03/23/20		55,710.89	56,915.55	1,204.66							
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
25	037833100 APPLE INC COM 650				Check here if this summarizes multiple sales . . . . ►								
A	03/24/20	03/24/20		156,687.34	160,554.06	2,101.55							
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
26	037833100 APPLE INC COM 200				Check here if this summarizes multiple sales . . . . ►								
A	03/25/20	03/25/20		50,653.86	52,606.31	0.00							
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
27	037833100 APPLE INC COM 200				Check here if this summarizes multiple sales . . . . ►								
A	03/26/20	03/24/20		47,978.92	48,047.50	0.00							
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
28	037833100 APPLE INC COM 100				Check here if this summarizes multiple sales . . . . ►								
A	07/09/20	07/09/20		38,271.80	38,241.12	0.00							
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						
29	037833100 APPLE INC COM 100				Check here if this summarizes multiple sales . . . . ►								
A	07/14/20	07/14/20		39,086.13	39,060.00	0.00							
			S	Yes	X		No	Yes	X		No		
For interview use only	0											X	
							0.00						

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
TQUICK
Continuation Statement

30	054748108 AYRO INC COM 1000		Check here if this summarizes multiple sales . . . . ►			
A	07/08/20	07/08/20	6,759.73	6,595.10	0.00	
		S	Yes X	No	Yes X	No
For interview 0					X	
use only				0.00		
31	060505104 BANK OF AMERICA CORPORATION COM 5000		Check here if this summarizes multiple sales . . . . ►			
A	03/13/20	03/13/20	111,297.44	112,246.00	0.00	
		S	Yes X	No	Yes X	No
For interview 0					X	
use only				0.00		
32	097023105 BOEING CO COM 4500		Check here if this summarizes multiple sales . . . . ►			
A	03/17/20	VARIOUS	577,207.56	575,194.39	2,247.85	
		S	Yes X	No	Yes X	No
For interview 0					X	
use only				0.00		
33	097023105 BOEING CO COM 5200		Check here if this summarizes multiple sales . . . . ►			
A	03/18/20	03/18/20	539,206.12	565,620.39	26,414.27	
		S	Yes X	No	Yes X	No
For interview 0					X	
use only				0.00		
34	097023105 BOEING CO COM 3500		Check here if this summarizes multiple sales . . . . ►			
A	03/19/20	03/17/20	408,468.27	414,065.52	6,669.67	
		S	Yes X	No	Yes X	No
For interview 0					X	
use only				0.00		
35	097023105 BOEING CO COM 600		Check here if this summarizes multiple sales . . . . ►			
A	03/20/20	03/18/20	57,893.01	57,595.40	0.00	
		S	Yes X	No	Yes X	No
For interview 0					X	
use only				0.00		
36	097023105 BOEING CO COM 10700		Check here if this summarizes multiple sales . . . . ►			
A	03/23/20	03/23/20	1,122,389.00	1,213,353.76	90,964.76	
		S	Yes X	No	Yes X	No
For interview 0					X	
use only				0.00		
37	097023105 BOEING CO COM 2500		Check here if this summarizes multiple sales . . . . ►			
A	03/24/20	03/24/20	314,491.67	333,130.00	18,638.33	
		S	Yes X	No	Yes X	No
For interview 0					X	
use only				0.00		
38	097023105 BOEING CO COM 31408		Check here if this summarizes multiple sales . . . . ►			
A	03/25/20	03/25/20	5,105,268.98	5,428,166.06	321,375.86	
		S	Yes X	No	Yes X	No
For interview 0					X	
use only				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
TQUICK **Continuation Statement**

39	097023105 BOEING CO COM 200		Check here if this summarizes multiple sales . . . . ►		
A	03/26/20	03/26/20	35,718.98	37,148.97	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	

40	097023105 BOEING CO COM 300		Check here if this summarizes multiple sales . . . . ►		
A	03/27/20	03/25/20	49,077.10	49,100.52	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	

41	097023105 BOEING CO COM 300		Check here if this summarizes multiple sales . . . . ►		
A	03/30/20	03/30/20	44,607.99	47,348.14	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	

42	097023105 BOEING CO COM 300		Check here if this summarizes multiple sales . . . . ►		
A	03/31/20	03/31/20	46,810.30	51,559.63	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	

43	13200M508 CAMBER ENERGY INC COM 1000		Check here if this summarizes multiple sales . . . . ►		
A	01/03/20	01/03/20	2,144.84	2,520.00	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	

44	14040H105 CAPITAL ONE FINANCIAL CORP COM 1000		Check here if this summarizes multiple sales . . . . ►		
A	03/18/20	03/18/20	44,948.93	44,852.40	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	

45	146875604 CARVER BANCORP INC COM 80		Check here if this summarizes multiple sales . . . . ►		
A	06/17/20	06/17/20	1,488.36	1,393.57	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	

46	166764100 CHEVRON CORPORATION COM 14350		Check here if this summarizes multiple sales . . . . ►		
A	04/02/20	04/02/20	1,075,269.20	1,092,995.69	16,391.28
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	

47	17325E309 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 4000		Check here if this summarizes multiple sales . . . . ►		
B	03/11/20	03/11/20	51,310.19	50,671.70	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

48	17325E309 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 2500			Check here if this summarizes multiple sales . . . . ►		
B	03/12/20	03/12/20	38,934.74	38,629.45	152.63	
		S	Yes	X No	Yes X	No
For interview use only	0				0.00	X
49	17325E309 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 300			Check here if this summarizes multiple sales . . . . ►		
B	03/19/20	03/19/20	8,921.22	8,513.63	0.00	
		S	Yes	X No	Yes X	No
For interview use only	0				0.00	X
50	17325E309 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 2500			Check here if this summarizes multiple sales . . . . ►		
B	03/20/20	VARIOUS	32,423.99	36,316.50	1,161.25	
		S	Yes	X No	Yes X	No
For interview use only	0				0.00	X
51	22542D258 CREDIT SUISSE NASSAU ETN 35			Check here if this summarizes multiple sales . . . . ►		
B	03/10/20	03/10/20	7,407.76	7,383.63	0.00	
		S	Yes	X No	Yes X	No
For interview use only	0				0.00	X
52	22542D258 CREDIT SUISSE NASSAU ETN 200			Check here if this summarizes multiple sales . . . . ►		
B	03/11/20	03/11/20	53,942.96	53,698.05	0.00	
		S	Yes	X No	Yes X	No
For interview use only	0				0.00	X
53	22542D258 CREDIT SUISSE NASSAU ETN 2620			Check here if this summarizes multiple sales . . . . ►		
B	03/12/20	03/12/20	979,157.55	1,051,066.62	72,490.99	
		S	Yes	X No	Yes X	No
For interview use only	0				0.00	X
54	22542D258 CREDIT SUISSE NASSAU ETN 1320			Check here if this summarizes multiple sales . . . . ►		
B	03/13/20	03/13/20	497,287.27	541,807.16	44,623.10	
		S	Yes	X No	Yes X	No
For interview use only	0				0.00	X
55	22542D258 CREDIT SUISSE NASSAU ETN 80			Check here if this summarizes multiple sales . . . . ►		
B	03/17/20	03/17/20	46,367.81	48,843.75	2,475.94	
		S	Yes	X No	Yes X	No
For interview use only	0				0.00	X
56	22542D258 CREDIT SUISSE NASSAU ETN 80			Check here if this summarizes multiple sales . . . . ►		
B	03/18/20	03/18/20	60,828.98	63,712.59	2,148.44	
		S	Yes	X No	Yes X	No
For interview use only	0				0.00	X

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

57	22542D258 CREDIT SUISSE NASSAU ETN 60	Check here if this summarizes multiple sales . . . . ►				
B	03/19/20	03/19/20	53,097.08	55,474.82	0.00	
		S	Yes	X No	Yes X	No
For interview use only	0					X
				0.00		
58	22542D258 CREDIT SUISSE NASSAU ETN 10	Check here if this summarizes multiple sales . . . . ►				
B	04/01/20	04/01/20	3,476.67	3,814.79	0.00	
		S	Yes	X No	Yes X	No
For interview use only	0					X
				0.00		
59	25459W458 DIREXION SHARES ETF TRUST DLY SCOND 3XBU ETF 120	Check here if this summarizes multiple sales . . . . ►				
A	03/10/20	03/10/20	20,429.98	20,307.58	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
60	25459W458 DIREXION SHARES ETF TRUST DLY SCOND 3XBU ETF 100	Check here if this summarizes multiple sales . . . . ►				
A	04/14/20	04/09/20	12,469.71	12,279.01	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
61	25460E182 DIREXION SHARES ETF TRUST 1:12 R/S 8/28/20 25460G690 4800	Check here if this summarizes multiple sales . . . . ►				
A	03/12/20	03/12/20	163,688.95	163,019.30	68.61	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
62	25460E182 DIREXION SHARES ETF TRUST 1:12 R/S 8/28/20 25460G690 5300	Check here if this summarizes multiple sales . . . . ►				
A	03/13/20	03/13/20	175,897.77	189,844.13	11,737.13	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
63	25460E182 DIREXION SHARES ETF TRUST 1:12 R/S 8/28/20 25460G690 500	Check here if this summarizes multiple sales . . . . ►				
A	04/01/20	04/01/20	9,474.73	11,131.88	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
64	25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 100	Check here if this summarizes multiple sales . . . . ►				
A	03/10/20	03/10/20	3,467.66	3,534.66	67.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
65	25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1000	Check here if this summarizes multiple sales . . . . ►				
A	03/11/20	03/11/20	31,817.78	31,822.00	60.72	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

66	25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 2000		Check here if this summarizes multiple sales . . . . ►			
A	03/17/20	03/17/20	31,404.07	31,584.98	257.38	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
67	25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1500		Check here if this summarizes multiple sales . . . . ►			
A	03/18/20	03/18/20	21,070.90	21,354.52	318.71	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
68	25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1000		Check here if this summarizes multiple sales . . . . ►			
A	03/19/20	03/19/20	17,199.60	17,420.72	108.32	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
69	25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 300		Check here if this summarizes multiple sales . . . . ►			
A	03/26/20	03/26/20	6,857.81	7,128.29	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
70	25614T309 DOCUMENT SECURITIE COM 1500		Check here if this summarizes multiple sales . . . . ►			
A	07/09/20	07/09/20	11,744.71	12,163.60	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
71	256163106 DOCUSIGN INC COM 100		Check here if this summarizes multiple sales . . . . ►			
A	07/09/20	07/09/20	21,287.02	21,226.99	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
72	25754A201 DOMINOS PIZZA INC COM 30		Check here if this summarizes multiple sales . . . . ►			
A	03/23/20	03/23/20	9,509.79	9,499.76	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
73	30234E104 EYENOVIA INC COM 400		Check here if this summarizes multiple sales . . . . ►			
A	08/11/20	08/11/20	2,299.90	2,686.52	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
74	45782T105 INMUNE BIO INC COM 2000		Check here if this summarizes multiple sales . . . . ►			
A	07/14/20	07/14/20	33,558.67	37,667.46	2,859.85	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

75	52187K101 LEAP THERAPEUTICS INC COM 1000	Check here if this summarizes multiple sales . . . . ►				
A	01/03/20	01/03/20	1,599.85	1,579.90	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
76	54951L109 LUCKIN COFFEE INC ADR SPONSORED 4000	Check here if this summarizes multiple sales . . . . ►				
A	04/02/20	04/02/20	27,681.56	27,750.16	142.56	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
77	594918104 MICROSOFT CORP COM 50	Check here if this summarizes multiple sales . . . . ►				
A	03/10/20	03/10/20	7,895.82	7,874.99	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
78	594918104 MICROSOFT CORP COM 200	Check here if this summarizes multiple sales . . . . ►				
A	03/11/20	03/11/20	30,789.40	30,960.00	170.60	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
79	594918104 MICROSOFT CORP COM 900	Check here if this summarizes multiple sales . . . . ►				
A	03/18/20	03/18/20	126,487.00	126,749.17	309.89	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
80	594918104 MICROSOFT CORP COM 300	Check here if this summarizes multiple sales . . . . ►				
A	03/19/20	03/19/20	44,121.90	44,134.23	33.97	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
81	594918104 MICROSOFT CORP COM 4300	Check here if this summarizes multiple sales . . . . ►				
A	03/24/20	03/24/20	629,889.82	630,030.98	546.30	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
82	594918104 MICROSOFT CORP COM 800	Check here if this summarizes multiple sales . . . . ►				
A	04/01/20	04/01/20	124,152.84	124,527.40	410.02	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
83	594918104 MICROSOFT CORP COM 200	Check here if this summarizes multiple sales . . . . ►				
A	04/02/20	04/02/20	30,415.21	30,552.99	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

84	60770K107 MODERNA INC COM 200	Check here if this summarizes multiple sales . . . . ►							
A	04/17/20	04/17/20	9,285.77	9,287.80	0.00				
		S	Yes	X	No	Yes	X	No	
For interview use only	0							X	0.00
85	60770K107 MODERNA INC COM 100	Check here if this summarizes multiple sales . . . . ►							
A	07/14/20	07/14/20	8,799.80	8,357.50	0.00				
		S	Yes	X	No	Yes	X	No	
For interview use only	0							X	0.00
86	60770K107 MODERNA INC COM 400	Check here if this summarizes multiple sales . . . . ►							
A	07/15/20	07/15/20	33,456.22	34,130.76	364.64				
		S	Yes	X	No	Yes	X	No	
For interview use only	0							X	0.00
87	64110L106 NETFLIX INC COM 50	Check here if this summarizes multiple sales . . . . ►							
A	03/23/20	03/23/20	17,398.11	17,426.96	28.85				
		S	Yes	X	No	Yes	X	No	
For interview use only	0							X	0.00
88	64110L106 NETFLIX INC COM 90	Check here if this summarizes multiple sales . . . . ►							
A	03/30/20	03/30/20	33,825.29	34,008.51	189.01				
		S	Yes	X	No	Yes	X	No	
For interview use only	0							X	0.00
89	64110L106 NETFLIX INC COM 50	Check here if this summarizes multiple sales . . . . ►							
A	03/31/20	03/31/20	18,989.82	19,054.46	0.00				
		S	Yes	X	No	Yes	X	No	
For interview use only	0							X	0.00
90	67066G104 NVIDIA CORP COM 250	Check here if this summarizes multiple sales . . . . ►							
A	03/24/20	03/24/20	61,123.95	61,085.54	7.79				
		S	Yes	X	No	Yes	X	No	
For interview use only	0							X	0.00
91	67066G104 NVIDIA CORP COM 100	Check here if this summarizes multiple sales . . . . ►							
A	04/01/20	04/01/20	25,819.42	25,817.00	0.00				
		S	Yes	X	No	Yes	X	No	
For interview use only	0							X	0.00
92	74347G408 PROSHARES TRUST 1:5 R/S 8/18/20 74347G861 1500	Check here if this summarizes multiple sales . . . . ►							
A	03/19/20	03/19/20	38,887.30	39,604.75	0.00				
		S	Yes	X	No	Yes	X	No	
For interview use only	0							X	0.00

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

93	74347W148 PROSHARES TRUST II ULTRA VIX SHORT ETF 200	Check here if this summarizes multiple sales . . . . ►				
B	03/12/20	03/12/20	12,527.70	12,490.54	0.00	
		S	Yes	X No	Yes X	No
For interview 0						X
use only				0.00		
94	74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 1500	Check here if this summarizes multiple sales . . . . ►				
A	03/18/20	03/18/20	56,368.18	57,609.99	1,241.81	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
95	74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 20110	Check here if this summarizes multiple sales . . . . ►				
A	03/19/20	03/19/20	833,019.39	849,266.80	16,413.73	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
96	74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 1208	Check here if this summarizes multiple sales . . . . ►				
A	04/01/20	04/01/20	50,758.58	51,907.48	637.75	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
97	77543R102 ROKU INC COM CL A 450	Check here if this summarizes multiple sales . . . . ►				
A	07/09/20	07/09/20	67,240.96	67,194.10	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
98	78418A505 SG BLOCKS INC COM 700	Check here if this summarizes multiple sales . . . . ►				
A	04/01/20	04/01/20	6,063.80	6,086.80	28.01	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
99	81762P102 SERVICENOW INC COM 20	Check here if this summarizes multiple sales . . . . ►				
A	03/17/20	03/17/20	5,963.87	5,960.50	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
100	82509L107 SHOPIFY INC COM CL A 30	Check here if this summarizes multiple sales . . . . ►				
A	03/23/20	03/23/20	11,024.91	10,974.58	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
101	82846H207 SILVERSUN TECHNOLOGIES INC COM 200	Check here if this summarizes multiple sales . . . . ►				
A	08/04/20	08/04/20	1,613.62	1,744.18	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

102	871628301 SYNTHESIS ENERGY SYSTEMS INC COM 2500		Check here if this summarizes multiple sales . . . . ►		
A	01/02/20	01/02/20	24,376.29	24,565.28	348.48
		S	Yes X No	Yes X No	
For interview 0					X
use only			0.00		
103	87918A105 TELADOC HEALTH INC COM 300		Check here if this summarizes multiple sales . . . . ►		
A	03/13/20	03/13/20	37,310.14	42,000.00	4,689.86
		S	Yes X No	Yes X No	
For interview 0					X
use only			0.00		
104	87918A105 TELADOC HEALTH INC COM 2800		Check here if this summarizes multiple sales . . . . ►		
A	03/17/20	VARIOUS	345,530.04	416,450.36	56,268.52
		S	Yes X No	Yes X No	
For interview 0					X
use only			0.00		
105	88160R101 TESLA INC COM 300		Check here if this summarizes multiple sales . . . . ►		
A	01/03/20	01/03/20	131,877.23	131,850.00	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only			0.00		
106	88160R101 TESLA INC COM 20		Check here if this summarizes multiple sales . . . . ►		
A	03/10/20	03/10/20	13,013.31	12,946.75	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only			0.00		
107	88160R101 TESLA INC COM 300		Check here if this summarizes multiple sales . . . . ►		
A	03/12/20	03/12/20	173,717.18	173,665.95	71.86
		S	Yes X No	Yes X No	
For interview 0					X
use only			0.00		
108	88160R101 TESLA INC COM 5500		Check here if this summarizes multiple sales . . . . ►		
A	03/19/20	03/19/20	2,259,598.39	2,292,743.65	34,909.99
		S	Yes X No	Yes X No	
For interview 0					X
use only			0.00		
109	88160R101 TESLA INC COM 50		Check here if this summarizes multiple sales . . . . ►		
A	03/23/20	03/23/20	21,629.01	22,234.73	605.72
		S	Yes X No	Yes X No	
For interview 0					X
use only			0.00		
110	88160R101 TESLA INC COM 1570		Check here if this summarizes multiple sales . . . . ►		
A	03/24/20	03/24/20	787,874.02	790,692.88	3,222.94
		S	Yes X No	Yes X No	
For interview 0					X
use only			0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
TQUICK **Continuation Statement**

111	88160R101 TESLA INC COM 200	Check here if this summarizes multiple sales . . . . ►			
A	03/25/20	03/25/20	107,571.00	108,920.04	941.43
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
112	88160R101 TESLA INC COM 50	Check here if this summarizes multiple sales . . . . ►			
A	04/01/20	04/01/20	24,534.44	24,961.81	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
113	88160R101 TESLA INC COM 95	Check here if this summarizes multiple sales . . . . ►			
A	07/14/20	07/14/20	143,780.98	144,595.48	1,153.55
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
114	88160R101 TESLA INC COM 20	Check here if this summarizes multiple sales . . . . ►			
A	07/15/20	07/15/20	30,293.63	31,223.37	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
115	88160R101 TESLA INC COM 10	Check here if this summarizes multiple sales . . . . ►			
A	07/23/20	07/23/20	16,021.55	16,392.90	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
116	911363109 UNITED RENTALS INC COM 100	Check here if this summarizes multiple sales . . . . ►			
A	03/26/20	03/24/20	8,471.80	8,435.00	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
117	911363109 UNITED RENTALS INC COM 200	Check here if this summarizes multiple sales . . . . ►			
A	03/31/20	03/31/20	20,844.65	20,801.23	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
118	911363109 UNITED RENTALS INC COM 200	Check here if this summarizes multiple sales . . . . ►			
A	04/02/20	03/31/20	20,489.52	20,525.00	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
119	98138J206 WORKHORSE GROUP INC COM 100	Check here if this summarizes multiple sales . . . . ►			
A	06/30/20	06/30/20	1,849.95	1,783.98	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

120	98872F204 YUMA ENERGY INC COM 1500	A	01/03/20	01/03/20	7,370.67	7,340.42	21.07	S	Yes X	No	Yes X	No	For interview use only 0 X 0.00
121	98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 1410	A	03/23/20	03/23/20	222,073.20	221,896.03	265.58	S	Yes X	No	Yes X	No	For interview use only 0 X 0.00
122	98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 250	A	03/24/20	03/24/20	35,447.94	35,852.21	374.61	S	Yes X	No	Yes X	No	For interview use only 0 X 0.00
123	98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 100	A	03/30/20	03/30/20	15,749.64	15,864.60	0.00	S	Yes X	No	Yes X	No	For interview use only 0 X 0.00
124	98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 100	A	04/01/20	04/01/20	13,944.68	14,039.69	0.00	S	Yes X	No	Yes X	No	For interview use only 0 X 0.00
125	AAPL AKD9KN2 APPLE INC AAPL Apr 09 2020 267.5 Call 53	A	04/09/20	04/09/20	8,167.35	9,877.08	1,455.77	S	Yes X	No	Yes X	No	For interview use only 0 X 0.00
126	AAPL AKDHLZO APPLE INC AAPL Apr 17 2020 285.0 Call 8	A	04/14/20	04/14/20	3,354.56	2,417.33	0.00	S	Yes X	No	Yes X	No	For interview use only 0 X 0.00
127	AAPL AKDHMDK APPLE INC AAPL Apr 17 2020 290.0 Call 58	A	04/14/20	04/14/20	15,165.87	15,712.55	236.89	S	Yes X	No	Yes X	No	For interview use only 0 X 0.00
128	AAPL AKE1MYE APPLE INC AAPL May 01 2020 297.5 Call 15	A	05/01/20	05/01/20	3,694.90	3,461.00	0.00	S	Yes X	No	Yes X	No	For interview use only 0 X 0.00

Each entry also carries the line "Check here if this summarizes multiple sales . . . . ►" with an empty checkbox.

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

129	AAPL AKEFNCA APPLE INC AAPL May 15 2020 302.5 Call 25	Check here if this summarizes multiple sales . . . . ►				
A	05/15/20	05/15/20	6,752.13	7,327.74	576.08	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
130	AAPL AKEFNX4 APPLE INC AAPL May 15 2020 310.0 Call 2	Check here if this summarizes multiple sales . . . . ►				
A	05/06/20	05/06/20	466.66	467.33	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
131	AAPL AKEMOOW APPLE INC AAPL May 22 2020 320.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	05/19/20	05/18/20	271.32	222.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
132	AAPL AKFCQTA APPLE INC AAPL Jun 12 2020 347.5 Call 4	Check here if this summarizes multiple sales . . . . ►				
A	06/12/20	06/12/20	317.31	597.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
133	AAPL AKFCRE4 APPLE INC AAPL Jun 12 2020 355.0 Call 25	Check here if this summarizes multiple sales . . . . ►				
A	06/10/20	06/10/20	6,468.14	6,075.09	3.42	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
134	AAPL AKFJRE4 APPLE INC AAPL Jun 19 2020 355.0 Call 5	Check here if this summarizes multiple sales . . . . ►				
A	06/18/20	06/18/20	911.64	988.33	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
135	AAPL AKFJRS0 APPLE INC AAPL Jun 19 2020 360.0 Call 41	Check here if this summarizes multiple sales . . . . ►				
A	06/16/20	06/16/20	6,758.43	6,653.27	775.37	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
136	AAPL AKFJRS0 APPLE INC AAPL Jun 19 2020 360.0 Call 38	Check here if this summarizes multiple sales . . . . ►				
A	06/17/20	06/17/20	5,888.45	7,621.41	1,198.66	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
137	AAPL AKFQS5W APPLE INC AAPL Jun 26 2020 365.0 Call 3	Check here if this summarizes multiple sales . . . . ►				
A	06/25/20	06/25/20	642.98	638.00	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

138	AAPL AKFQTBK APPLE INC AAPL Jun 26 2020 380.0 Call 1		Check here if this summarizes multiple sales . . . . ►			
A	06/23/20	06/23/20	107.33	163.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

139	AAPL AKGASXO APPLE INC AAPL Jul 10 2020 375.0 Call 5		Check here if this summarizes multiple sales . . . . ►			
A	07/02/20	07/02/20	1,371.63	1,502.33	184.02	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

140	AAPL AKGASXO APPLE INC AAPL Jul 10 2020 375.0 Call 3		Check here if this summarizes multiple sales . . . . ►			
A	07/06/20	07/02/20	1,242.96	873.02	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

141	AAPL AKGATII APPLE INC AAPL Jul 10 2020 382.5 Call 5		Check here if this summarizes multiple sales . . . . ►			
A	07/08/20	07/08/20	1,546.63	1,478.34	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

142	AAPL AKGATII APPLE INC AAPL Jul 10 2020 382.5 Call 5		Check here if this summarizes multiple sales . . . . ►			
A	07/09/20	07/08/20	2,221.61	1,478.33	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

143	AAPL AKGHTPG APPLE INC AAPL Jul 17 2020 385.0 Call 244		Check here if this summarizes multiple sales . . . . ►			
A	07/14/20	07/14/20	117,559.19	118,983.59	4,265.92	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

144	AAPL AKGHU3C APPLE INC AAPL Jul 17 2020 390.0 Call 269		Check here if this summarizes multiple sales . . . . ►			
A	07/14/20	07/14/20	110,771.62	140,321.09	29,441.71	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

145	AAPL AKGHUAA APPLE INC AAPL Jul 17 2020 392.5 Call 30		Check here if this summarizes multiple sales . . . . ►			
A	07/15/20	07/15/20	13,529.63	13,120.01	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

146	AAPL AKGHUAA APPLE INC AAPL Jul 17 2020 392.5 Call 55		Check here if this summarizes multiple sales . . . . ►			
A	07/16/20	07/15/20	6,783.06	25,376.68	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

147	AAPL AKGHUH8 APPLE INC AAPL Jul 17 2020 395.0 Call 75	Check here if this summarizes multiple sales . . . . ►			
A	07/15/20	07/15/20	25,278.27	35,216.75	3,193.73
		S	Yes X	No	Yes X No
For interview use only	0				X
			0.00		
148	AAPL AKGHUO6 APPLE INC AAPL Jul 17 2020 397.5 Call 40	Check here if this summarizes multiple sales . . . . ►			
A	07/15/20	07/15/20	17,572.85	16,396.67	0.00
		S	Yes X	No	Yes X No
For interview use only	0				X
			0.00		
149	AAPL AKGHUV4 APPLE INC AAPL Jul 17 2020 400.0 Call 2	Check here if this summarizes multiple sales . . . . ►			
A	07/13/20	07/13/20	1,482.64	841.33	0.00
		S	Yes X	No	Yes X No
For interview use only	0				X
			0.00		
150	AAPL AKH7YC4 APPLE INC AAPL Aug 07 2020 445.0 Call 1	Check here if this summarizes multiple sales . . . . ►			
A	08/07/20	08/07/20	106.33	197.67	0.00
		S	Yes X	No	Yes X No
For interview use only	0				X
			0.00		
151	AAPL AKH7YQ0 APPLE INC AAPL Aug 07 2020 450.0 Call 4	Check here if this summarizes multiple sales . . . . ►			
A	08/03/20	08/03/20	1,937.28	1,893.67	0.00
		S	Yes X	No	Yes X No
For interview use only	0				X
			0.00		
152	AAPL AKHEYQ0 APPLE INC AAPL Aug 14 2020 450.0 Call 1	Check here if this summarizes multiple sales . . . . ►			
A	08/10/20	08/10/20	631.32	591.67	0.00
		S	Yes X	No	Yes X No
For interview use only	0				X
			0.00		
153	AAPL AKHEZ3W APPLE INC AAPL Aug 14 2020 455.0 Call 1	Check here if this summarizes multiple sales . . . . ►			
A	08/14/20	08/14/20	269.32	326.67	0.00
		S	Yes X	No	Yes X No
For interview use only	0				X
			0.00		
154	AAPL AKHEZHS APPLE INC AAPL Aug 14 2020 460.0 Call 6	Check here if this summarizes multiple sales . . . . ►			
A	08/10/20	08/10/20	2,600.93	2,324.00	0.00
		S	Yes X	No	Yes X No
For interview use only	0				X
			0.00		
155	AAPL AKHEZHS APPLE INC AAPL Aug 14 2020 460.0 Call 15	Check here if this summarizes multiple sales . . . . ►			
A	08/13/20	08/13/20	5,239.85	5,358.00	0.00
		S	Yes X	No	Yes X No
For interview use only	0				X
			0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

156	AAPL AKHL3MK APPLE INC AAPL Aug 21 2020 470.0 Call 2		Check here if this summarizes multiple sales . . . . ►			
A	08/17/20	08/17/20	898.65	883.33	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
157	AAPL AKI49JR APPLE INC AAPL Sep 04 2020 123.75 Call 3		Check here if this summarizes multiple sales . . . . ►			
A	09/03/20	09/03/20	600.99	527.00	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
158	AAPL AKI49N8 APPLE INC AAPL Sep 04 2020 125.0 Call 3		Check here if this summarizes multiple sales . . . . ►			
A	09/03/20	09/03/20	306.98	456.01	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
159	AAPL AKP9HQW APPLE INC AAPL Apr 09 2020 230.0 Put 112		Check here if this summarizes multiple sales . . . . ►			
A	04/02/20	VARIOUS	57,766.85	58,921.10	3,290.28	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
160	AAPL AKP9HQW APPLE INC AAPL Apr 09 2020 230.0 Put 75		Check here if this summarizes multiple sales . . . . ►			
A	04/03/20	VARIOUS	28,549.20	38,830.50	3,558.69	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
161	AAPL AKPHLLS APPLE INC AAPL Apr 17 2020 280.0 Put 6		Check here if this summarizes multiple sales . . . . ►			
A	04/17/20	04/17/20	1,185.96	1,451.68	114.68	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
162	AAPL AKSHSXO APPLE INC AAPL Jul 17 2020 375.0 Put 2		Check here if this summarizes multiple sales . . . . ►			
A	07/14/20	07/14/20	736.65	971.33	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
163	AAPL AKSOSJS APPLE INC AAPL Jul 24 2020 370.0 Put 10		Check here if this summarizes multiple sales . . . . ►			
A	07/23/20	07/23/20	2,903.25	2,736.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
164	ADT KHL15O ADT INC ADT Aug 21 2020 15.0 Call 20		Check here if this summarizes multiple sales . . . . ►			
A	08/03/20	08/03/20	3,586.54	3,593.33	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

165	AMC KI4JG AMC ENTERTAINMENT HOLDINGS INC AMC Sep 04 2020 7.0 Call 4			Check here if this summarizes multiple sales . . . . ►		
A	09/02/20	09/02/20	189.32	232.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
166	AMD KBE48S ADVANCED MICRO DEVICES INC AMD Feb 14 2020 55.0 Call 2			Check here if this summarizes multiple sales . . . . ►		
A	02/11/20	02/11/20	118.67	173.33		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
167	AMZN KKA3ENS AMAZON COM INC AMZN Jan 03 2020 1900.0 Call 50			Check here if this summarizes multiple sales . . . . ►		
A	01/02/20	01/02/20	43,265.65	42,659.69		71.36
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
168	AMZN KKAVFNW AMAZON COM INC AMZN Jan 31 2020 2030.0 Call 2			Check here if this summarizes multiple sales . . . . ►		
A	01/31/20	01/31/20	3,298.60	3,082.34		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
169	AMZN KKBEGTK AMAZON COM INC AMZN Feb 14 2020 2180.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	02/11/20	02/11/20	1,499.30	1,420.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
170	AMZN KKCKDKW AMAZON COM INC AMZN Mar 20 2020 1760.0 Call 2			Check here if this summarizes multiple sales . . . . ►		
A	03/17/20	03/17/20	11,198.42	10,873.33		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
171	AMZN KKDHGIG AMAZON COM INC AMZN Apr 17 2020 2140.0 Call 2			Check here if this summarizes multiple sales . . . . ►		
A	04/13/20	04/13/20	4,298.57	4,060.34		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
172	AMZN KKDHGL8 AMAZON COM INC AMZN Apr 17 2020 2150.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	04/13/20	04/13/20	2,219.28	1,909.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
173	AMZN KKDHGZ4 AMAZON COM INC AMZN Apr 17 2020 2200.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	04/13/20	04/13/20	1,499.30	1,490.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

174	AMZN KKDHI4S AMAZON COM INC AMZN Apr 17 2020 2350.0 Call 1		Check here if this summarizes multiple sales . . . . ►			
A	04/15/20	04/15/20	2,279.28	2,190.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
			0.00			
175	AMZN KKDHI4S AMAZON COM INC AMZN Apr 17 2020 2350.0 Call 4		Check here if this summarizes multiple sales . . . . ►			
A	04/17/20	04/17/20	12,437.04	11,647.68	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
			0.00			
176	AMZN KKDHII0 AMAZON COM INC AMZN Apr 17 2020 2400.0 Call 10		Check here if this summarizes multiple sales . . . . ►			
A	04/16/20	04/16/20	22,062.83	22,239.50	886.81	
		S	Yes X	No	Yes X	No
For interview use only	0					X
			0.00			
177	AMZN KKDHJAG AMAZON COM INC AMZN Apr 17 2020 2500.0 Call 1		Check here if this summarizes multiple sales . . . . ►			
A	04/16/20	04/16/20	828.31	1,245.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
			0.00			
178	AMZN KKDOJAG AMAZON COM INC AMZN Apr 24 2020 2500.0 Call 1		Check here if this summarizes multiple sales . . . . ►			
A	04/20/20	04/20/20	4,749.23	4,500.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
			0.00			
179	AMZN KKE8I4S AMAZON COM INC AMZN May 08 2020 2350.0 Call 3		Check here if this summarizes multiple sales . . . . ►			
A	05/04/20	05/04/20	7,747.81	7,705.01	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
			0.00			
180	AMZN KKEFII0 AMAZON COM INC AMZN May 15 2020 2400.0 Call 4		Check here if this summarizes multiple sales . . . . ►			
A	05/14/20	05/14/20	4,467.22	4,660.05	269.37	
		S	Yes X	No	Yes X	No
For interview use only	0					X
			0.00			
181	AMZN KKEMJAG AMAZON COM INC AMZN May 22 2020 2500.0 Call 4		Check here if this summarizes multiple sales . . . . ►			
A	05/19/20	05/19/20	6,937.16	6,696.05	71.37	
		S	Yes X	No	Yes X	No
For interview use only	0					X
			0.00			
182	AMZN KKEMJLK AMAZON COM INC AMZN May 22 2020 2540.0 Call 1		Check here if this summarizes multiple sales . . . . ►			
A	05/21/20	05/21/20	249.32	1,550.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
			0.00			

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

183	AMZN KKFCKG4 AMAZON COM INC AMZN Jun 12 2020 2650.0 Call 7			Check here if this summarizes multiple sales . . . . ►		
A	06/12/20	06/12/20	4,002.24	3,880.66		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
184	AMZN KKFCKU0 AMAZON COM INC AMZN Jun 12 2020 2700.0 Call 3			Check here if this summarizes multiple sales . . . . ►		
A	06/11/20	06/11/20	1,707.95	3,305.01		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
185	AMZN KKGAORO AMAZON COM INC AMZN Jul 10 2020 3210.0 Call 10			Check here if this summarizes multiple sales . . . . ►		
A	07/10/20	07/10/20	5,193.20	5,106.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
186	AMZN KKH7OOW AMAZON COM INC AMZN Aug 07 2020 3200.0 Call 2			Check here if this summarizes multiple sales . . . . ►		
A	08/05/20	08/04/20	4,300.57	5,708.34		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
187	AMZN KKH7P00 AMAZON COM INC AMZN Aug 07 2020 3240.0 Call 2			Check here if this summarizes multiple sales . . . . ►		
A	08/07/20	08/07/20	2,398.62	1,917.34		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
188	AMZN KKHEOJC AMAZON COM INC AMZN Aug 14 2020 3180.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	08/12/20	08/12/20	2,049.28	2,270.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
189	AMZN KKHEOOW AMAZON COM INC AMZN Aug 14 2020 3200.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	08/12/20	08/12/20	1,499.30	1,248.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
190	AMZN KKI4RE4 AMAZON COM INC AMZN Sep 04 2020 3550.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	09/02/20	09/02/20	2,519.27	2,500.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
191	AMZN KKI4RS0 AMAZON COM INC AMZN Sep 04 2020 3600.0 Call 2			Check here if this summarizes multiple sales . . . . ►		
A	09/02/20	09/02/20	3,708.58	3,166.34		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

192	AMZN KKIBOUG AMAZON COM INC AMZN Sep 11 2020 3220.0 Call 4			Check here if this summarizes multiple sales . . . . ►		
A	09/11/20	09/11/20	241.31	5,840.68		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
193	AMZN KKIBPGO AMAZON COM INC AMZN Sep 11 2020 3300.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	09/08/20	09/08/20	3,319.26	2,998.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
194	AMZN KKIBPGO AMAZON COM INC AMZN Sep 11 2020 3300.0 Call 2			Check here if this summarizes multiple sales . . . . ►		
A	09/09/20	09/09/20	4,257.56	3,736.34		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
195	AMZN KKIBPUK AMAZON COM INC AMZN Sep 11 2020 3350.0 Call 2			Check here if this summarizes multiple sales . . . . ►		
A	09/10/20	09/10/20	5,840.54	5,634.34		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
196	AMZN KKM3ENS AMAZON COM INC AMZN Jan 03 2020 1900.0 Put 1			Check here if this summarizes multiple sales . . . . ►		
A	01/02/20	01/02/20	1,539.30	1,505.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
197	AMZN KKQ1HQW AMAZON COM INC AMZN May 01 2020 2300.0 Put 1			Check here if this summarizes multiple sales . . . . ►		
A	05/01/20	05/01/20	1,049.31	900.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
198	AMZN KKRCK28 AMAZON COM INC AMZN Jun 12 2020 2600.0 Put 1			Check here if this summarizes multiple sales . . . . ►		
A	06/10/20	06/10/20	1,199.30	1,585.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
199	AMZN KKSON5C AMAZON COM INC AMZN Jul 24 2020 3000.0 Put 2			Check here if this summarizes multiple sales . . . . ►		
A	07/23/20	07/23/20	6,028.54	6,152.34		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
200	BA AKC6OOW BOEING CO BA Mar 06 2020 320.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	02/26/20	02/26/20	289.32	340.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
TQUICK Continuation Statement

201	BA AKE8A60 BOEING CO BA May 08 2020 132.0 Call 2		Check here if this summarizes multiple sales . . . . ►			
A	05/07/20	05/07/20	366.66	413.33	0.00	
	S	Yes X	No	Yes X	No	
For interview 0 use only				X	0.00	
202	BA AKF5CCG BOEING CO BA Jun 05 2020 160.0 Call 7		Check here if this summarizes multiple sales . . . . ►			
A	06/03/20	06/03/20	1,290.30	1,341.33	72.67	
	S	Yes X	No	Yes X	No	
For interview 0 use only				X	0.00	
203	BA AKF5E9W BOEING CO BA Jun 05 2020 185.0 Call 5		Check here if this summarizes multiple sales . . . . ►			
A	06/04/20	06/04/20	1,576.63	1,554.34	0.00	
	S	Yes X	No	Yes X	No	
For interview 0 use only				X	0.00	
204	BA AKF5ENS BOEING CO BA Jun 05 2020 190.0 Call 11		Check here if this summarizes multiple sales . . . . ►			
A	06/04/20	06/04/20	3,762.56	3,557.34	0.00	
	S	Yes X	No	Yes X	No	
For interview 0 use only				X	0.00	
205	BA AKF5FTG BOEING CO BA Jun 05 2020 205.0 Call 23		Check here if this summarizes multiple sales . . . . ►			
A	06/05/20	06/05/20	6,394.48	5,715.37	353.04	
	S	Yes X	No	Yes X	No	
For interview 0 use only				X	0.00	
206	BA AKFCENS BOEING CO BA Jun 12 2020 190.0 Call 10		Check here if this summarizes multiple sales . . . . ►			
A	06/12/20	06/12/20	2,737.24	3,030.34	380.67	
	S	Yes X	No	Yes X	No	
For interview 0 use only				X	0.00	
207	BA AKFCIIO BOEING CO BA Jun 12 2020 240.0 Call 2		Check here if this summarizes multiple sales . . . . ►			
A	06/08/20	06/08/20	1,398.64	941.33	0.00	
	S	Yes X	No	Yes X	No	
For interview 0 use only				X	0.00	
208	BA AKFCIIO BOEING CO BA Jun 12 2020 240.0 Call 10		Check here if this summarizes multiple sales . . . . ►			
A	06/09/20	06/09/20	5,218.21	4,526.67	0.00	
	S	Yes X	No	Yes X	No	
For interview 0 use only				X	0.00	
209	BA AKFCJAG BOEING CO BA Jun 12 2020 250.0 Call 8		Check here if this summarizes multiple sales . . . . ►			
A	06/08/20	06/08/20	5,146.54	4,907.03	12.70	
	S	Yes X	No	Yes X	No	
For interview 0 use only				X	0.00	

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
TQUICK Continuation Statement

210	BA AKFJENS BOEING CO BA Jun 19 2020 190.0 Call 1		Check here if this summarizes multiple sales		
A	06/15/20	06/15/20	859.31	732.67	0.00
	S	Yes X	No	Yes X	No
For interview use only 0			0.00		X
211	BA AKFJGZ4 BOEING CO BA Jun 19 2020 220.0 Call 3		Check here if this summarizes multiple sales		
A	06/16/20	06/16/20	1,137.97	1,455.34	85.34
	S	Yes X	No	Yes X	No
For interview use only 0			0.00		X
212	BA AKG2ENS BOEING CO BA Jul 02 2020 190.0 Call 4		Check here if this summarizes multiple sales		
A	06/29/20	06/29/20	1,677.28	1,257.67	0.00
	S	Yes X	No	Yes X	No
For interview use only 0			0.00		X
213	BA AKGADP2 BOEING CO BA Jul 10 2020 177.5 Call 5		Check here if this summarizes multiple sales		
A	07/10/20	07/10/20	671.65	695.33	0.00
	S	Yes X	No	Yes X	No
For interview use only 0			0.00		X
214	BA AKGHDW0 BOEING CO BA Jul 17 2020 180.0 Call 5		Check here if this summarizes multiple sales		
A	07/14/20	07/14/20	3,021.59	2,739.33	0.00
	S	Yes X	No	Yes X	No
For interview use only 0			0.00		X
215	BA AKGHE9W BOEING CO BA Jul 17 2020 185.0 Call 5		Check here if this summarizes multiple sales		
A	07/14/20	07/14/20	2,246.61	2,118.33	0.00
	S	Yes X	No	Yes X	No
For interview use only 0			0.00		X
216	BA AKH7DW0 BOEING CO BA Aug 07 2020 180.0 Call 2		Check here if this summarizes multiple sales		
A	08/06/20	08/06/20	146.67	321.33	0.00
	S	Yes X	No	Yes X	No
For interview use only 0			0.00		X
217	BA AKHEENS BOEING CO BA Aug 14 2020 190.0 Call 5		Check here if this summarizes multiple sales		
A	08/11/20	08/11/20	2,426.61	2,198.33	0.00
	S	Yes X	No	Yes X	No
For interview use only 0			0.00		X
218	BA AKI4DW0 BOEING CO BA Sep 04 2020 180.0 Call 6		Check here if this summarizes multiple sales		
A	09/03/20	09/03/20	2,245.94	1,826.00	0.00
	S	Yes X	No	Yes X	No
For interview use only 0			0.00		X

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

219	BA AKMONJ8 BOEING CO BA Jan 24 2020 305.0 Put 1	Check here if this summarizes multiple sales . . . . ►				
A	01/22/20	01/21/20	171.33	193.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

220	BA AKO6JAG BOEING CO BA Mar 06 2020 250.0 Put 1	Check here if this summarizes multiple sales . . . . ►				
A	03/06/20	03/06/20	409.32	410.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

221	BA AKODENS BOEING CO BA Mar 13 2020 190.0 Put 18	Check here if this summarizes multiple sales . . . . ►				
A	03/11/20	03/11/20	7,121.81	7,120.67	112.69	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

222	BA AKODENS BOEING CO BA Mar 13 2020 190.0 Put 2	Check here if this summarizes multiple sales . . . . ►				
A	03/12/20	03/11/20	-12.67	0.00	0.00	
		S	Yes X	No	Yes X	No
For interview 0	STOCKSTKMFOP	X		X	X	X
use only	SkipCostBasis			0.00		

223	BA AKODFFK BOEING CO BA Mar 13 2020 200.0 Put 8	Check here if this summarizes multiple sales . . . . ►				
A	03/11/20	03/11/20	4,654.56	4,591.32	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

224	BA AKODGL8 BOEING CO BA Mar 13 2020 215.0 Put 1	Check here if this summarizes multiple sales . . . . ►				
A	03/11/20	03/11/20	799.31	700.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

225	BA AKOK7PS BOEING CO BA Mar 20 2020 100.0 Put 6	Check here if this summarizes multiple sales . . . . ►				
A	03/17/20	03/17/20	6,955.84	6,793.99	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

226	BA AKP38VG BOEING CO BA Apr 03 2020 115.0 Put 26	Check here if this summarizes multiple sales . . . . ►				
A	04/02/20	04/02/20	6,416.46	6,358.69	51.38	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

227	BA AKP399C BOEING CO BA Apr 03 2020 120.0 Put 95	Check here if this summarizes multiple sales . . . . ►				
A	04/02/20	04/02/20	21,807.98	23,234.54	1,541.22	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
TQUICK **Continuation Statement**

228	BA AKP39N8 BOEING CO BA Apr 03 2020 125.0 Put 2	Check here if this summarizes multiple sales . . . . ►			
A	04/02/20	04/02/20	838.65	799.33	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
229	BA AKRCDI4 BOEING CO BA Jun 12 2020 175.0 Put 9	Check here if this summarizes multiple sales . . . . ►			
A	06/11/20	06/11/20	2,468.93	2,310.00	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
230	BA AKRCDW0 BOEING CO BA Jun 12 2020 180.0 Put 10	Check here if this summarizes multiple sales . . . . ►			
A	06/11/20	06/11/20	2,993.26	2,611.66	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
231	BA AKRCFTG BOEING CO BA Jun 12 2020 205.0 Put 2	Check here if this summarizes multiple sales . . . . ►			
A	06/10/20	VARIOUS	798.65	781.34	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
232	BA AKRQD48 BOEING CO BA Jun 26 2020 170.0 Put 20	Check here if this summarizes multiple sales . . . . ►			
A	06/26/20	06/26/20	3,466.54	3,464.72	108.39
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
233	BA AKSODI4 BOEING CO BA Jul 24 2020 175.0 Put 5	Check here if this summarizes multiple sales . . . . ►			
A	07/23/20	07/23/20	701.64	748.33	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
234	BABA AKGAKG4 ALIBABA GROUP HOLDING LTD BABA Jul 10 2020 265.0 Call 31	Check here if this summarizes multiple sales . . . . ►			
A	07/09/20	07/09/20	7,889.08	10,734.13	1,919.48
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
235	BABA AKLVHQW ALIBABA GROUP HOLDING LTD BABA Dec 31 2020 230.0 Call 1	Check here if this summarizes multiple sales . . . . ►			
A	12/28/20	12/28/20	299.33	236.66	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
236	BABA AKLVI4S ALIBABA GROUP HOLDING LTD BABA Dec 31 2020 235.0 Call 1	Check here if this summarizes multiple sales . . . . ►			
A	12/29/20	12/29/20	251.33	235.66	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
TQUICK **Continuation Statement**

237	BIDU AKLOG7C BAIDU INC BIDU Dec 24 2020 210.0 Call 1		Check here if this summarizes multiple sales . . . . ►			
A	12/21/20	12/21/20	157.34	419.66	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
238	BLNK KGHKU BLINK CHARGING CO BLNK Jul 17 2020 7.5 Call 10		Check here if this summarizes multiple sales . . . . ►			
A	07/06/20	07/06/20	893.29	656.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
239	BYND KDO6Y0 BEYOND MEAT INC BYND Apr 24 2020 90.0 Call 6		Check here if this summarizes multiple sales . . . . ►			
A	04/21/20	04/21/20	2,497.93	2,316.00	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
240	BYND AKBEA14 BEYOND MEAT INC BYND Feb 14 2020 130.0 Call 5		Check here if this summarizes multiple sales . . . . ►			
A	02/10/20	02/10/20	1,543.63	1,368.33	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
241	BYND AKE88VG BEYOND MEAT INC BYND May 08 2020 115.0 Call 2		Check here if this summarizes multiple sales . . . . ►			
A	05/06/20	05/06/20	948.64	839.34	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
242	BYND AKI4ASW BEYOND MEAT INC BYND Sep 04 2020 140.0 Call 3		Check here if this summarizes multiple sales . . . . ►			
A	09/03/20	09/03/20	1,047.97	942.00	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
243	CCL KOD1P4 CARNIVAL CORP CCL Mar 13 2020 22.0 Put 1		Check here if this summarizes multiple sales . . . . ►			
A	03/09/20	03/09/20	174.33	145.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
244	COF KHE5H8 CAPITAL ONE FINANCIAL CORP COF Aug 14 2020 71.0 Call 4		Check here if this summarizes multiple sales . . . . ►			
A	08/11/20	08/11/20	557.31	550.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
245	CVX KD35XW CHEVRON CORPORATION CVX Apr 03 2020 77.0 Call 2		Check here if this summarizes multiple sales . . . . ►			
A	04/02/20	04/02/20	518.66	495.33	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
TQUICK Continuation Statement

246	DAL KDH1XG DELTA AIR LINES INC DAL Apr 17 2020 25.0 Call 5		Check here if this summarizes multiple sales . . . . ►		
A	04/14/20	04/14/20	551.65	523.33	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
247	DIS AKE18KC WALT DISNEY COMPANY (THE) DIS May 01 2020 111.0 Call 2		Check here if this summarizes multiple sales . . . . ►		
A	04/28/20	04/28/20	282.66	257.33	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
248	DIS AKLBDB6 WALT DISNEY COMPANY (THE) DIS Dec 11 2020 172.5 Call 4		Check here if this summarizes multiple sales . . . . ►		
A	12/11/20	12/11/20	397.32	370.66	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
249	DOCU AKFJCCG DOCUSIGN INC DOCU Jun 19 2020 160.0 Call 1		Check here if this summarizes multiple sales . . . . ►		
A	06/15/20	06/15/20	534.32	445.67	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
250	DOCU AKGHGZ4 DOCUSIGN INC DOCU Jul 17 2020 220.0 Call 3		Check here if this summarizes multiple sales . . . . ►		
A	07/09/20	07/08/20	1,632.95	1,042.00	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
251	FB AKEMGZ4 FACEBOOK INC FB May 22 2020 220.0 Call 1		Check here if this summarizes multiple sales . . . . ►		
A	05/19/20	05/19/20	212.33	328.67	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
252	FB AKEMHQW FACEBOOK INC FB May 22 2020 230.0 Call 51		Check here if this summarizes multiple sales . . . . ►		
A	05/20/20	05/20/20	7,698.71	7,134.70	1.69
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
253	FB AKETIIO FACEBOOK INC FB May 29 2020 240.0 Call 15		Check here if this summarizes multiple sales . . . . ►		
A	05/21/20	05/21/20	6,039.84	5,777.71	141.72
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	
254	FB AKETIIO FACEBOOK INC FB May 29 2020 240.0 Call 17		Check here if this summarizes multiple sales . . . . ►		
A	05/22/20	VARIOUS	2,589.57	2,726.33	438.38
		S	Yes X	No	Yes X No
For interview 0					X
use only				0.00	

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

255	FB AKETIIO FACEBOOK INC FB May 29 2020 240.0 Call 8		Check here if this summarizes multiple sales . . . . ►		
A	05/26/20	VARIOUS	3,142.58	3,208.38	76.68
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
256	FB AKFCHQW FACEBOOK INC FB Jun 12 2020 230.0 Call 1		Check here if this summarizes multiple sales . . . . ►		
A	06/08/20	06/08/20	369.32	354.67	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
257	FB AKFJJAG FACEBOOK INC FB Jun 19 2020 250.0 Call 5		Check here if this summarizes multiple sales . . . . ►		
A	06/02/20	05/26/20	541.65	2,496.33	1,954.68
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
258	FB AKFJJAG FACEBOOK INC FB Jun 19 2020 250.0 Call 55		Check here if this summarizes multiple sales . . . . ►		
A	06/04/20	VARIOUS	3,583.13	13,956.81	4,737.74
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
259	FB AKFJJAG FACEBOOK INC FB Jun 19 2020 250.0 Call 13		Check here if this summarizes multiple sales . . . . ►		
A	06/09/20	06/09/20	2,509.26	2,774.91	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
260	FB AKH7KU0 FACEBOOK INC FB Aug 07 2020 270.0 Call 12		Check here if this summarizes multiple sales . . . . ►		
A	08/07/20	08/07/20	3,319.91	2,944.00	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
261	FB AKH7LLS FACEBOOK INC FB Aug 07 2020 280.0 Call 4		Check here if this summarizes multiple sales . . . . ►		
A	08/07/20	08/07/20	971.32	959.33	14.67
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
262	FB AKRQGL8 FACEBOOK INC FB Jun 26 2020 215.0 Put 20		Check here if this summarizes multiple sales . . . . ►		
A	06/26/20	06/26/20	986.60	1,926.71	473.37
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
263	FB AKS2FTG FACEBOOK INC FB Jul 02 2020 205.0 Put 9		Check here if this summarizes multiple sales . . . . ►		
A	06/29/20	06/29/20	1,622.94	2,609.00	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

264	FB AKTEK28 FACEBOOK INC FB Aug 14 2020 260.0 Put 1			Check here if this summarizes multiple sales . . . . ►		
A	08/10/20	08/10/20	429.32	417.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
265	FSLY KFQ668 FASTLY INC FSLY Jun 26 2020 80.0 Call 10			Check here if this summarizes multiple sales . . . . ►		
A	06/23/20	06/23/20	2,943.26	2,441.66		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
266	FSLY KFQ6K4 FASTLY INC FSLY Jun 26 2020 85.0 Call 5			Check here if this summarizes multiple sales . . . . ►		
A	06/23/20	06/23/20	1,366.63	1,278.33		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
267	G33277107 FARMMI INC COM 200			Check here if this summarizes multiple sales . . . . ►		
A	06/18/20	06/18/20	270.27	218.00		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
268	GILD KB75BO GILEAD SCIENCES INC GILD Feb 07 2020 69.0 Call 2			Check here if this summarizes multiple sales . . . . ►		
A	02/06/20	02/06/20	378.66	339.33		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
269	GILD KBS5MS GILEAD SCIENCES INC GILD Feb 28 2020 73.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	02/24/20	02/24/20	214.33	165.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
270	M98068105 WIX.COM LTD COM 100			Check here if this summarizes multiple sales . . . . ►		
A	07/09/20	07/09/20	29,496.34	29,475.01		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
271	MRNA KEM6K4 MODERNA INC MRNA May 22 2020 85.0 Call 10			Check here if this summarizes multiple sales . . . . ►		
A	05/18/20	05/18/20	6,743.17	6,606.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
272	MRNA AKLIB6S MODERNA INC MRNA Dec 18 2020 145.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	12/17/20	12/17/20	349.33	305.66		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

273	MSFT AKB7E2Y MICROSOFT CORP MSFT Feb 07 2020 182.5 Call 2	Check here if this summarizes multiple sales . . . . ►				
A	02/05/20	02/04/20	376.66	205.33	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
274	MSFT AKDHCQC MICROSOFT CORP MSFT Apr 17 2020 165.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	04/13/20	04/13/20	253.32	251.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
275	MSFT AKNEE2Y MICROSOFT CORP MSFT Feb 14 2020 182.5 Put 4	Check here if this summarizes multiple sales . . . . ►				
A	02/13/20	02/13/20	493.31	498.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
276	NFLX AKB7RS0 NETFLIX INC NFLX Feb 07 2020 360.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	02/03/20	02/03/20	449.32	380.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
277	NFLX AKB7SX0 NETFLIX INC NFLX Feb 07 2020 375.0 Call 6	Check here if this summarizes multiple sales . . . . ►				
A	02/05/20	02/05/20	1,375.96	2,214.00	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
278	NFLX AKBLU3C NETFLIX INC NFLX Feb 21 2020 390.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	02/18/20	02/18/20	421.32	330.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
279	NFLX AKBSTPG NETFLIX INC NFLX Feb 28 2020 385.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	02/27/20	02/27/20	249.32	382.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
280	NFLX AKDHUV4 NETFLIX INC NFLX Apr 17 2020 400.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	04/13/20	04/13/20	789.31	777.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
281	NFLX AKDHWE0 NETFLIX INC NFLX Apr 17 2020 420.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	04/17/20	04/17/20	789.31	755.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
TQUICK
Continuation Statement

282	NFLX AKDHX6G NETFLIX INC NFLX Apr 17 2020 430.0 Call 21	Check here if this summarizes multiple sales				
A	04/15/20	04/15/20	14,182.65	13,693.21	374.22	
		S	Yes X	No	Yes X	No
For interview use only	0			0.00	X	
283	NFLX AKDHXKC NETFLIX INC NFLX Apr 17 2020 435.0 Call 12	Check here if this summarizes multiple sales				
A	04/15/20	04/15/20	6,271.83	6,968.14	528.15	
		S	Yes X	No	Yes X	No
For interview use only	0			0.00	X	
284	NFLX AKGA3XO NETFLIX INC NFLX Jul 10 2020 510.0 Call 14	Check here if this summarizes multiple sales				
A	07/07/20	VARIOUS	7,315.49	6,743.38	538.06	
		S	Yes X	No	Yes X	No
For interview use only	0			0.00	X	
285	NFLX AKGA40G NETFLIX INC NFLX Jul 10 2020 520.0 Call 10	Check here if this summarizes multiple sales				
A	07/10/20	07/10/20	3,767.23	3,421.66	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0			0.00	X	
286	NFLX AKGA41U NETFLIX INC NFLX Jul 10 2020 525.0 Call 100	Check here if this summarizes multiple sales				
A	07/10/20	07/10/20	46,168.09	43,262.38	79.72	
		S	Yes X	No	Yes X	No
For interview use only	0			0.00	X	
287	NFLX AKGA438 NETFLIX INC NFLX Jul 10 2020 530.0 Call 145	Check here if this summarizes multiple sales				
A	07/10/20	07/10/20	57,411.76	54,956.15	1,047.53	
		S	Yes X	No	Yes X	No
For interview use only	0			0.00	X	
288	NFLX AKGA460 NETFLIX INC NFLX Jul 10 2020 540.0 Call 155	Check here if this summarizes multiple sales				
A	07/10/20	07/10/20	66,779.84	65,652.02	1,679.69	
		S	Yes X	No	Yes X	No
For interview use only	0			0.00	X	
289	NFLX AKGA47E NETFLIX INC NFLX Jul 10 2020 545.0 Call 30	Check here if this summarizes multiple sales				
A	07/10/20	07/10/20	12,282.67	9,846.01	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0			0.00	X	
290	NFLX AKGA4BK NETFLIX INC NFLX Jul 10 2020 560.0 Call 420	Check here if this summarizes multiple sales				
A	07/10/20	07/10/20	5,054.94	56,237.12	30,293.02	
		S	Yes X	No	Yes X	No
For interview use only	0			0.00	X	

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

291	NFLX AKGH4BK NETFLIX INC NFLX Jul 17 2020 560.0 Call 4		Check here if this summarizes multiple sales . . . . ►		
A	07/16/20	07/16/20	6,157.18	6,222.67	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
292	NFLX AKGH4MO NETFLIX INC NFLX Jul 17 2020 600.0 Call 15		Check here if this summarizes multiple sales . . . . ►		
A	07/13/20	07/10/20	27,599.36	15,400.00	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
293	NFLX AKLV460 NETFLIX INC NFLX Dec 31 2020 540.0 Call 1		Check here if this summarizes multiple sales . . . . ►		
A	12/31/20	12/31/20	347.33	314.66	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
294	NFLX AKTE3MK NETFLIX INC NFLX Aug 14 2020 470.0 Put 1		Check here if this summarizes multiple sales . . . . ►		
A	08/11/20	08/11/20	599.32	549.67	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
295	NIO KLB3E8 NIO INC NIO Dec 11 2020 44.0 Call 2		Check here if this summarizes multiple sales . . . . ►		
A	12/10/20	12/10/20	340.66	263.33	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
296	NKE AKB77SK NIKE INC NKE Feb 07 2020 101.0 Call 5		Check here if this summarizes multiple sales . . . . ►		
A	02/03/20	02/03/20	466.65	448.33	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
297	NKE AKB77VC NIKE INC NKE Feb 07 2020 102.0 Call 5		Check here if this summarizes multiple sales . . . . ►		
A	02/04/20	02/04/20	626.65	498.33	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
298	NKLA KHE3H0 NIKOLA CORPORATION NKLA Aug 14 2020 45.0 Call 8		Check here if this summarizes multiple sales . . . . ►		
A	08/10/20	08/10/20	1,434.61	1,480.34	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	
299	NOW AKAVPUK SERVICENOW INC NOW Jan 31 2020 335.0 Call 1		Check here if this summarizes multiple sales . . . . ►		
A	01/30/20	01/30/20	669.32	622.67	0.00
		S	Yes X No	Yes X No	
For interview 0					X
use only				0.00	

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

300	NOW AKB7QMC SERVICENOW INC NOW Feb 07 2020 345.0 Call 3	Check here if this summarizes multiple sales . . . . ►				
A	02/05/20	02/05/20	870.97	1,292.00	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
301	NVAX KFQ6K4 NOVAVAX INC NVAX Jun 26 2020 85.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	06/25/20	06/25/20	369.32	369.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
302	NVAX AKHEASW NOVAVAX INC NVAX Aug 14 2020 140.0 Call 2	Check here if this summarizes multiple sales . . . . ►				
A	08/14/20	08/14/20	918.65	782.34	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
303	NVAX AKI48PW NOVAVAX INC NVAX Sep 04 2020 113.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	09/03/20	09/03/20	225.33	405.67	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
304	NVDA AKBLOB0 NVIDIA CORP NVDA Feb 21 2020 315.0 Call 2	Check here if this summarizes multiple sales . . . . ►				
A	02/19/20	02/19/20	458.66	397.33	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
305	NVDA AKEMRS0 NVIDIA CORP NVDA May 22 2020 360.0 Call 5	Check here if this summarizes multiple sales . . . . ►				
A	05/22/20	05/22/20	2,446.61	1,448.33	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
306	NVDA AKEMS5W NVIDIA CORP NVDA May 22 2020 365.0 Call 10	Check here if this summarizes multiple sales . . . . ►				
A	05/22/20	05/22/20	2,093.26	2,591.66	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
307	NVDA AKGAV90 NVIDIA CORP NVDA Jul 10 2020 405.0 Call 2	Check here if this summarizes multiple sales . . . . ►				
A	07/07/20	07/07/20	778.65	941.33	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		
308	NVDA AKI43Z2 NVIDIA CORP NVDA Sep 04 2020 515.0 Call 2	Check here if this summarizes multiple sales . . . . ►				
A	09/04/20	09/04/20	1,678.63	1,401.33	0.00	
		S	Yes X	No	Yes X	No
For interview 0						X
use only				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
TQUICK **Continuation Statement**

309	NVDA AKI441U NVIDIA CORP NVDA Sep 04 2020 525.0 Call 4	Check here if this summarizes multiple sales			
A	09/04/20	09/04/20	2,797.26	2,526.66	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
310	NVDA AKPHLZO NVIDIA CORP NVDA Apr 17 2020 285.0 Put 2	Check here if this summarizes multiple sales			
A	04/16/20	04/16/20	358.66	355.33	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
311	NVDA AKRQSJS NVIDIA CORP NVDA Jun 26 2020 370.0 Put 2	Check here if this summarizes multiple sales			
A	06/24/20	06/24/20	718.65	527.33	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
312	NVDA AKU440G NVIDIA CORP NVDA Sep 04 2020 520.0 Put 1	Check here if this summarizes multiple sales			
A	09/03/20	09/03/20	519.32	450.67	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
313	OXY KFC1QI OCCIDENTAL PETROLEUM CORP OXY Jun 12 2020 22.5 Call 2	Check here if this summarizes multiple sales			
A	06/08/20	06/08/20	382.66	309.33	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
314	PDD AKLVDI4 PINDUODUO INC PDD Dec 31 2020 175.0 Call 1	Check here if this summarizes multiple sales			
A	12/30/20	12/30/20	254.33	230.66	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
315	PINS KHE2US PINTEREST INC PINS Aug 14 2020 37.0 Call 20	Check here if this summarizes multiple sales			
A	08/11/20	08/10/20	526.61	1,613.34	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
316	RCL KCD3UW ROYAL CARIBBEAN CRUISES RCL Mar 13 2020 50.0 Call 1	Check here if this summarizes multiple sales			
A	03/11/20	03/11/20	344.32	453.67	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		
317	RCL KP9380 ROYAL CARIBBEAN CRUISES RCL Apr 09 2020 42.0 Put 2	Check here if this summarizes multiple sales			
A	04/09/20	04/09/20	230.66	227.33	0.00
		S	Yes X	No	Yes X No
For interview 0					X
use only			0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

318	ROKU AKGABYK ROKU INC ROKU Jul 10 2020 155.0 Call 2		Check here if this summarizes multiple sales . . . . ►			
A	07/10/20	07/10/20	618.66	557.33	0.00	
		S	Yes X	No	Yes X	No
For interview use only						X
				0.00		
319	ROKU AKGVC5I ROKU INC ROKU Jul 31 2020 157.5 Call 16		Check here if this summarizes multiple sales . . . . ►			
A	07/31/20	07/31/20	1,227.27	1,459.19	222.52	
		S	Yes X	No	Yes X	No
For interview use only						X
				0.00		
320	RXT KHL1CM RACKSPACE TECHNOLOGY INC RXT Aug 21 2020 17.5 Call 2		Check here if this summarizes multiple sales . . . . ►			
A	08/17/20	08/17/20	428.66	371.33	0.00	
		S	Yes X	No	Yes X	No
For interview use only						X
				0.00		
321	SHOP AKBE4MO SHOPIFY INC SHOP Feb 14 2020 600.0 Call 2		Check here if this summarizes multiple sales . . . . ►			
A	02/12/20	02/12/20	398.66	1,509.34	0.00	
		S	Yes X	No	Yes X	No
For interview use only						X
				0.00		
322	SHOP AKDO50K SHOPIFY INC SHOP Apr 24 2020 650.0 Call 1		Check here if this summarizes multiple sales . . . . ►			
A	04/20/20	04/20/20	2,999.26	2,700.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only						X
				0.00		
323	SHOP AKDO5EG SHOPIFY INC SHOP Apr 24 2020 700.0 Call 2		Check here if this summarizes multiple sales . . . . ►			
A	04/21/20	04/21/20	3,815.58	3,997.72	165.38	
		S	Yes X	No	Yes X	No
For interview use only						X
				0.00		
324	SHOP AKET5XW SHOPIFY INC SHOP May 29 2020 770.0 Call 1		Check here if this summarizes multiple sales . . . . ►			
A	05/29/20	05/29/20	799.31	593.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only						X
				0.00		
325	SHOP AKET5ZA SHOPIFY INC SHOP May 29 2020 775.0 Call 2		Check here if this summarizes multiple sales . . . . ►			
A	05/28/20	05/28/20	1,878.63	1,867.34	0.00	
		S	Yes X	No	Yes X	No
For interview use only						X
				0.00		
326	SHOP AKFJ668 SHOPIFY INC SHOP Jun 19 2020 800.0 Call 2		Check here if this summarizes multiple sales . . . . ►			
A	06/15/20	06/15/20	4,498.57	3,751.34	0.00	
		S	Yes X	No	Yes X	No
For interview use only						X
				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

327	SPCE KBL2S0 VIRGIN GALACTIC HLDGS INC SPCE Feb 21 2020 36.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	02/19/20	02/19/20	169.33	235.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
328	SPLK AK06BCC SPLUNK INC SPLK Mar 06 2020 147.0 Put 1	Check here if this summarizes multiple sales . . . . ►				
A	03/05/20	03/05/20	369.32	340.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
329	SPOT AKFQJAG SPOTIFY TECHNOLOGY S.A. SPOT Jun 26 2020 250.0 Call 2	Check here if this summarizes multiple sales . . . . ►				
A	06/25/20	06/25/20	1,058.64	865.34	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
330	SPY AKBQOB0 SPDR S&P500 ETF TRUST SPY Feb 26 2020 315.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	02/25/20	02/25/20	488.32	466.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
331	SPY AKC9LRC SPDR S&P500 ETF TRUST SPY Mar 09 2020 282.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	03/09/20	03/09/20	319.32	374.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
332	SPY AKMVP2S SPDR S&P500 ETF TRUST SPY Jan 31 2020 325.0 Put 1	Check here if this summarizes multiple sales . . . . ►				
A	01/30/20	01/30/20	136.33	178.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
333	SPY AKMVP46 SPDR S&P500 ETF TRUST SPY Jan 31 2020 325.5 Put 12	Check here if this summarizes multiple sales . . . . ►				
A	01/31/20	01/31/20	1,809.97	1,887.65	110.66	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
334	SPY AKMVP9Q SPDR S&P500 ETF TRUST SPY Jan 31 2020 327.5 Put 6	Check here if this summarizes multiple sales . . . . ►				
A	01/24/20	01/24/20	1,213.96	1,018.00	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
335	SPY AKO4NHU SPDR S&P500 ETF TRUST SPY Mar 04 2020 304.5 Put 1	Check here if this summarizes multiple sales . . . . ►				
A	03/04/20	03/04/20	264.32	233.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
TQUICK **Continuation Statement**

336	SPY AKO6MLW SPDR S&P500 ETF TRUST SPY Mar 06 2020 293.0 Put 1		Check here if this summarizes multiple sales . . . . ►		
A	03/06/20	03/06/20	137.33	120.67	0.00
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
337	SPY AKRTMRG SPDR S&P500 ETF TRUST SPY Jun 29 2020 295.0 Put 20		Check here if this summarizes multiple sales . . . . ►		
A	06/25/20	06/25/20	2,746.56	2,743.34	0.00
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
338	SQ KEF5SC SQUARE INC SQ May 15 2020 75.0 Call 6		Check here if this summarizes multiple sales . . . . ►		
A	05/07/20	05/07/20	973.97	919.00	0.00
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
339	SQ AKH7BKO SQUARE INC SQ Aug 07 2020 150.0 Call 2		Check here if this summarizes multiple sales . . . . ►		
A	08/05/20	08/05/20	502.66	491.33	0.00
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
340	SQ AKH7BKO SQUARE INC SQ Aug 07 2020 150.0 Call 5		Check here if this summarizes multiple sales . . . . ►		
A	08/06/20	VARIOUS	1,781.61	1,429.33	0.00
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
341	SQ AKH7BYK SQUARE INC SQ Aug 07 2020 155.0 Call 16		Check here if this summarizes multiple sales . . . . ►		
A	08/06/20	08/06/20	4,187.24	3,815.66	0.00
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
342	SQ AKH7CCG SQUARE INC SQ Aug 07 2020 160.0 Call 20		Check here if this summarizes multiple sales . . . . ►		
A	08/05/20	08/05/20	3,626.54	3,556.74	3.40
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
343	SQ AKH7CCG SQUARE INC SQ Aug 07 2020 160.0 Call 5		Check here if this summarizes multiple sales . . . . ►		
A	08/06/20	08/06/20	256.65	748.33	0.00
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
344	TDOC AKDODW0 TELADOC HEALTH INC TDOC Apr 24 2020 180.0 Call 1		Check here if this summarizes multiple sales . . . . ►		
A	04/20/20	04/20/20	679.31	632.67	0.00
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
TQUICK **Continuation Statement**

#	Description	Type	Date acquired	Date sold	Proceeds	Cost	Adjustment	Term	Yes	No	Yes	No	For interview use only
345	TDOC AKFQG7C TELADOC HEALTH INC TDOC Jun 26 2020 210.0 Call 4	A	06/23/20	VARIOUS	1,017.30	1,626.68	0.00	S	X		X		0 X 0.00
346	TSLA AKA3XY8 TESLA INC TSLA Jan 03 2020 440.0 Call 3	A	01/03/20	01/03/20	2,118.95	2,024.00	0.00	S	X		X		0 X 0.00
347	TSLA AKA3YQ0 TESLA INC TSLA Jan 03 2020 450.0 Call 187	A	01/03/20	01/03/20	29,872.30	41,472.87	3,114.15	S	X		X		0 X 0.00
348	TSLA AKA3Z3W TESLA INC TSLA Jan 03 2020 455.0 Call 230	A	01/03/20	01/03/20	24,114.65	67,287.67	18,503.26	S	X		X		0 X 0.00
349	TSLA AKAV4YH TESLA INC TSLA Jan 31 2020 642.5 Call 1	A	01/30/20	01/30/20	899.31	785.67	0.00	S	X		X		0 X 0.00
350	TSLA AKAV50K TESLA INC TSLA Jan 31 2020 650.0 Call 3	A	01/30/20	01/30/20	2,782.93	2,773.37	16.36	S	X		X		0 X 0.00
351	TSLA AKB75EG TESLA INC TSLA Feb 07 2020 700.0 Call 1	A	02/03/20	02/03/20	2,215.28	1,995.67	0.00	S	X		X		0 X 0.00
352	TSLA AKB75K0 TESLA INC TSLA Feb 07 2020 720.0 Call 1	A	02/03/20	02/03/20	2,234.28	1,985.67	0.00	S	X		X		0 X 0.00
353	TSLA AKB75XW TESLA INC TSLA Feb 07 2020 770.0 Call 8	A	02/07/20	02/07/20	1,514.61	5,818.34	0.00	S	X		X		0 X 0.00

Each entry: Check here if this summarizes multiple sales . . . . ► ☐

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

354	TSLA AKB76K4 TESLA INC TSLA Feb 07 2020 850.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	02/04/20	02/04/20	5,554.22	4,684.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
355	TSLA AKB76K4 TESLA INC TSLA Feb 07 2020 850.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	02/05/20	02/05/20	2,505.28	2,330.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
356	TSLA AKBE6AE TESLA INC TSLA Feb 14 2020 815.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	02/14/20	02/14/20	529.32	430.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
357	TSLA AKCR3XO TESLA INC TSLA Mar 27 2020 510.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	03/24/20	03/24/20	2,119.28	1,999.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
358	TSLA AKDH5SC TESLA INC TSLA Apr 17 2020 750.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	04/14/20	04/14/20	2,624.27	2,600.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
359	TSLA AKDO5H8 TESLA INC TSLA Apr 24 2020 710.0 Call 18	Check here if this summarizes multiple sales . . . . ►				
A	04/24/20	04/24/20	12,797.69	14,199.47	1,284.47	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
360	TSLA AKDO5K0 TESLA INC TSLA Apr 24 2020 720.0 Call 10	Check here if this summarizes multiple sales . . . . ►				
A	04/22/20	04/22/20	15,032.98	14,926.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
361	TSLA AKDO5LE TESLA INC TSLA Apr 24 2020 725.0 Call 5	Check here if this summarizes multiple sales . . . . ►				
A	04/24/20	04/24/20	1,466.63	1,278.33	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
362	TSLA AKDO5SC TESLA INC TSLA Apr 24 2020 750.0 Call 6	Check here if this summarizes multiple sales . . . . ►				
A	04/21/20	04/21/20	9,565.78	17,598.01	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
TQUICK
Continuation Statement

363	TSLA AKE1668 TESLA INC TSLA May 01 2020 800.0 Call 1	Check here if this summarizes multiple sales . . . . ►			
A	04/27/20	04/27/20	3,476.25	3,335.67	0.00
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
364	TSLA AKE85SC TESLA INC TSLA May 08 2020 750.0 Call 1	Check here if this summarizes multiple sales . . . . ►			
A	05/04/20	05/04/20	2,327.28	2,288.67	0.00
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
365	TSLA AKE8622 TESLA INC TSLA May 08 2020 785.0 Call 1	Check here if this summarizes multiple sales . . . . ►			
A	05/07/20	05/07/20	1,481.30	1,389.67	0.00
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
366	TSLA AKE8668 TESLA INC TSLA May 08 2020 800.0 Call 5	Check here if this summarizes multiple sales . . . . ►			
A	05/05/20	05/05/20	7,396.48	10,407.35	3,211.47
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
367	TSLA AKE8668 TESLA INC TSLA May 08 2020 800.0 Call 6	Check here if this summarizes multiple sales . . . . ►			
A	05/06/20	05/06/20	4,083.90	11,111.91	2,640.44
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
368	TSLA AKE8690 TESLA INC TSLA May 08 2020 810.0 Call 1	Check here if this summarizes multiple sales . . . . ►			
A	05/08/20	05/08/20	999.31	940.67	0.00
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
369	TSLA AKE86BS TESLA INC TSLA May 08 2020 820.0 Call 4	Check here if this summarizes multiple sales . . . . ►			
A	05/08/20	05/08/20	2,529.28	2,368.66	0.00
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
370	TSLA AKEF668 TESLA INC TSLA May 15 2020 800.0 Call 1	Check here if this summarizes multiple sales . . . . ►			
A	05/14/20	05/14/20	939.31	1,021.67	0.00
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00
371	TSLA AKEF6K4 TESLA INC TSLA May 15 2020 850.0 Call 2	Check here if this summarizes multiple sales . . . . ►			
A	05/12/20	05/12/20	3,813.58	4,018.72	205.14
		S	Yes X No	Yes X No	
For interview 0 use only				X	0.00

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

372	TSLA AKEF6K4 TESLA INC TSLA May 15 2020 850.0 Call 4			Check here if this summarizes multiple sales . . . . ►		
A	05/13/20	05/13/20	2,867.25	2,900.78		22.35
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
373	TSLA AKF57BW TESLA INC TSLA Jun 05 2020 950.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	06/02/20	06/02/20	1,244.30	1,150.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
374	TSLA AKFJ7IU TESLA INC TSLA Jun 19 2020 975.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	06/15/20	06/15/20	1,949.29	2,060.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
375	TSLA AKI43PC TESLA INC TSLA Sep 04 2020 480.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	08/31/20	08/31/20	1,496.30	1,437.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
376	TSLA AKI43UW TESLA INC TSLA Sep 04 2020 500.0 Call 3			Check here if this summarizes multiple sales . . . . ►		
A	08/31/20	08/31/20	3,517.91	3,454.01		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
377	TSLA AKI4WSK TESLA INC TSLA Sep 04 2020 425.0 Call 6			Check here if this summarizes multiple sales . . . . ►		
A	09/04/20	09/04/20	481.98	4,220.01		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
378	TSLA AKI4ZHS TESLA INC TSLA Sep 04 2020 460.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	09/02/20	09/02/20	1,269.30	1,234.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
379	TSLA AKM3XY8 TESLA INC TSLA Jan 03 2020 440.0 Put 5			Check here if this summarizes multiple sales . . . . ►		
A	01/03/20	01/03/20	546.65	518.33		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
380	TSLA AKN758W TESLA INC TSLA Feb 07 2020 680.0 Put 3			Check here if this summarizes multiple sales . . . . ►		
A	02/06/20	02/06/20	4,947.89	4,359.01		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

381	TSLA AKNL6K4 TESLA INC TSLA Feb 21 2020 850.0 Put 1	Check here if this summarizes multiple sales . . . . ►				
A	02/20/20	02/20/20	413.32	711.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
382	TSLA AKOD4S8 TESLA INC TSLA Mar 13 2020 620.0 Put 2	Check here if this summarizes multiple sales . . . . ►				
A	03/11/20	03/11/20	3,128.60	3,131.33	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
383	TSLA AKQ15SC TESLA INC TSLA May 01 2020 750.0 Put 1	Check here if this summarizes multiple sales . . . . ►				
A	04/28/20	04/28/20	3,654.25	3,880.67	226.42	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
384	TSLA AKQ15SC TESLA INC TSLA May 01 2020 750.0 Put 6	Check here if this summarizes multiple sales . . . . ►				
A	05/01/20	05/01/20	5,471.87	7,140.20	301.78	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
385	TSLA AKQF5SC TESLA INC TSLA May 15 2020 750.0 Put 2	Check here if this summarizes multiple sales . . . . ►				
A	05/14/20	05/14/20	1,198.64	1,179.33	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
386	TSLA AKRQ7BW TESLA INC TSLA Jun 26 2020 950.0 Put 4	Check here if this summarizes multiple sales . . . . ►				
A	06/24/20	06/24/20	3,747.26	4,644.39	665.71	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
387	TSLA AKU4UV4 TESLA INC TSLA Sep 04 2020 400.0 Put 2	Check here if this summarizes multiple sales . . . . ►				
A	09/03/20	09/03/20	1,849.62	1,794.70	33.36	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
388	TSLA AKU4VMW TESLA INC TSLA Sep 04 2020 410.0 Put 1	Check here if this summarizes multiple sales . . . . ►				
A	09/03/20	09/03/20	1,214.30	1,205.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
389	TSLA AKUBPGO TESLA INC TSLA Sep 11 2020 330.0 Put 1	Check here if this summarizes multiple sales . . . . ►				
A	09/08/20	09/08/20	1,949.29	1,850.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

390	TSLA AKUBR08 TESLA INC TSLA Sep 11 2020 350.0 Put 1	Check here if this summarizes multiple sales . . . . ►				
A	09/09/20	09/09/20	1,356.30	1,550.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
391	TSLA KKFJ7VC TESLA INC TSLA Jun 19 2020 1020.0 Call 5	Check here if this summarizes multiple sales . . . . ►				
A	06/18/20	06/18/20	1,896.62	5,485.35	3,588.73	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
392	TSLA KKFJ7VC TESLA INC TSLA Jun 19 2020 1020.0 Call 10	Check here if this summarizes multiple sales . . . . ►				
A	06/19/20	06/19/20	4,563.21	11,096.04	3,125.65	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
393	TSLA KKG2830 TESLA INC TSLA Jul 02 2020 1050.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	06/30/20	06/30/20	1,999.29	1,690.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
394	TSLA KKG28KC TESLA INC TSLA Jul 02 2020 1110.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	07/01/20	07/01/20	2,299.28	2,055.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
395	TSLA KKG29EW TESLA INC TSLA Jul 02 2020 1220.0 Call 2	Check here if this summarizes multiple sales . . . . ►				
A	07/02/20	07/02/20	2,518.61	2,021.33	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
396	TSLA KKGAASW TESLA INC TSLA Jul 10 2020 1400.0 Call 2	Check here if this summarizes multiple sales . . . . ►				
A	07/06/20	07/06/20	3,998.58	3,291.34	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
397	TSLA KKGABF4 TESLA INC TSLA Jul 10 2020 1480.0 Call 2	Check here if this summarizes multiple sales . . . . ►				
A	07/10/20	07/10/20	2,798.61	2,309.33	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
398	TSLA KKGHCCG TESLA INC TSLA Jul 17 2020 1600.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	07/08/20	07/08/20	1,199.30	2,995.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

399	TSLA KKHSH4O TESLA INC TSLA Aug 28 2020 2220.0 Call 3	Check here if this summarizes multiple sales . . . . ►				
A	08/28/20	08/28/20	5,097.89	5,041.38	150.37	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
400	TSLA KKHSJAG TESLA INC TSLA Aug 28 2020 2500.0 Call 10	Check here if this summarizes multiple sales . . . . ►				
A	08/27/20	08/27/20	5,743.18	5,406.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
401	TTD AKA3KU0 THE TRADE DESK INC TTD Jan 03 2020 270.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	01/02/20	01/02/20	329.32	315.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
402	TTD AKB7LZO THE TRADE DESK INC TTD Feb 07 2020 285.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	02/04/20	02/04/20	599.32	673.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
403	TTD AKB7N5C THE TRADE DESK INC TTD Feb 07 2020 300.0 Call 3	Check here if this summarizes multiple sales . . . . ►				
A	02/06/20	02/06/20	502.99	1,025.35	157.34	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
404	TTD AKB7OB0 THE TRADE DESK INC TTD Feb 07 2020 315.0 Call 4	Check here if this summarizes multiple sales . . . . ►				
A	02/05/20	02/05/20	617.31	1,128.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
405	TTD AKDOJAG THE TRADE DESK INC TTD Apr 24 2020 250.0 Call 8	Check here if this summarizes multiple sales . . . . ►				
A	04/20/20	04/20/20	4,874.53	5,959.33	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
406	TTD AKN7L7W THE TRADE DESK INC TTD Feb 07 2020 275.0 Put 1	Check here if this summarizes multiple sales . . . . ►				
A	02/05/20	02/05/20	184.33	182.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
407	TWLO AKE8BYK TWILIO INC TWLO May 08 2020 155.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	05/07/20	05/07/20	749.31	519.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

408	TWLO AKE8DI4 TWILIO INC TWLO May 08 2020 175.0 Call 2	Check here if this summarizes multiple sales . . . . ►				
A	05/08/20	05/08/20	518.66	611.33	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
409	UAL KG22XK UNITED AIRLINES HOLDINGS UAL Jul 02 2020 38.0 Call 5	Check here if this summarizes multiple sales . . . . ►				
A	07/01/20	07/01/20	86.66	501.33	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
410	UNH AKBLNJ8 UNITEDHEALTH GROUP INC UNH Feb 21 2020 305.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	02/18/20	02/18/20	164.33	156.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
411	UNH AKFJN5C UNITEDHEALTH GROUP INC UNH Jun 19 2020 300.0 Call 1	Check here if this summarizes multiple sales . . . . ►				
A	06/16/20	06/16/20	199.33	150.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
412	V7780T103 ROYAL CARIBBEAN GROUP COM 100	Check here if this summarizes multiple sales . . . . ►				
A	03/16/20	03/12/20	3,329.92	3,331.00	1.08	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
413	V7780T103 ROYAL CARIBBEAN GROUP COM 200	Check here if this summarizes multiple sales . . . . ►				
A	04/02/20	03/31/20	6,653.85	6,629.08	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
414	V7780T103 ROYAL CARIBBEAN GROUP COM 1500	Check here if this summarizes multiple sales . . . . ►				
A	07/15/20	07/15/20	83,580.57	83,713.52	102.57	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
415	W AKFJFTG WAYFAIR INC W Jun 19 2020 205.0 Call 2	Check here if this summarizes multiple sales . . . . ►				
A	06/17/20	06/17/20	838.65	799.33	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00
416	W AKQFDW0 WAYFAIR INC W May 15 2020 180.0 Put 1	Check here if this summarizes multiple sales . . . . ►				
A	05/13/20	05/13/20	749.31	726.67	0.00	
		S	Yes X	No	Yes X	No
For interview use only	0				X	0.00

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

TQUICK **Continuation Statement**

417	XPEV KLI3JS XPENG INC XPEV Dec 18 2020 46.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	12/18/20	12/18/20	224.34	197.66		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
418	ZM AKE1DW0 ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 180.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	04/24/20	04/23/20	979.31	690.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
419	ZM AKF5HD0 ZOOM VIDEO COMMUNICATIONS INC ZM Jun 05 2020 225.0 Call 1			Check here if this summarizes multiple sales . . . . ►		
A	06/03/20	06/03/20	499.32	415.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
420	ZM AKI4XY8 ZOOM VIDEO COMMUNICATIONS INC ZM Sep 04 2020 440.0 Call 2			Check here if this summarizes multiple sales . . . . ►		
A	09/01/20	09/01/20	3,703.58	3,399.34		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
421	ZM AKI4YQ0 ZOOM VIDEO COMMUNICATIONS INC ZM Sep 04 2020 450.0 Call 2			Check here if this summarizes multiple sales . . . . ►		
A	09/01/20	09/01/20	3,238.59	3,085.34		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
422	ZM AKP0CQC ZOOM VIDEO COMMUNICATIONS INC ZM Apr 24 2020 165.0 Put 1			Check here if this summarizes multiple sales . . . . ►		
A	04/24/20	04/24/20	429.32	333.67		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
423	ZM AKQ1ASW ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 140.0 Put 50			Check here if this summarizes multiple sales . . . . ►		
A	04/29/20	04/29/20	6,996.39	16,654.74		6,070.23
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
424	ZM AKQ1ASW ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 140.0 Put 15			Check here if this summarizes multiple sales . . . . ►		
A	04/30/20	04/30/20	8,957.77	10,808.84		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		
425	ZM AKQ1B6S ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 145.0 Put 2			Check here if this summarizes multiple sales . . . . ►		
A	04/29/20	04/29/20	1,058.65	1,051.33		0.00
		S	Yes X	No	Yes X	No
For interview use only	0					X
				0.00		

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
TQUICK — Continuation Statement

426	ZM AKQ1BKO ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 150.0 Put 2			Check here if this summarizes multiple sales . . . . ►									
A	04/24/20	04/24/20		1,158.64	1,141.34	1.35							
		S		Yes	X		No	Yes	X		No		
For interview use only	0										X		
						0.00							
427	ZM AKQ1BKO ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 150.0 Put 27			Check here if this summarizes multiple sales . . . . ►									
A	04/27/20	04/27/20		11,606.69	11,701.05	182.72							
		S		Yes	X		No	Yes	X		No		
For interview use only	0										X		
						0.00							
428	ZM AKR5FTG ZOOM VIDEO COMMUNICATIONS INC ZM Jun 05 2020 205.0 Put 1			Check here if this summarizes multiple sales . . . . ►									
A	06/04/20	06/04/20		142.33	234.67	0.00							
		S		Yes	X		No	Yes	X		No		
For interview use only	0										X		
						0.00							
429	ZS KET6Y0 ZSCALER INC ZS May 29 2020 90.0 Call 3			Check here if this summarizes multiple sales . . . . ►									
A	05/29/20	05/29/20		1,107.97	857.00	0.00							
		S		Yes	X		No	Yes	X		No		
For interview use only	0										X		
						0.00							

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)
RQUICK — Continuation Statement

8	A	023135106 AMAZON COM INC COM 300	524,788.	535,317.	W	10,529.	0.	S
9	A	023135106 AMAZON COM INC COM 50	82,798.	82,600.	BYPASS8949		198.	S
10	A	023135106 AMAZON COM INC COM 90	161,573.	165,219.	W	3,645.	-1.	S
11	A	023135106 AMAZON COM INC COM 140	251,069.	256,460.	W	5,392.	1.	S
12	A	023135106 AMAZON COM INC COM 20	37,377.	38,386.	W	1,009.	0.	S
13	A	023135106 AMAZON COM INC COM 150	281,879.	287,105.	W	3,325.	-1,901.	S
14	A	023135106 AMAZON COM INC COM 20	39,263.	40,075.	BYPASS8949		-812.	S
15	A	023135106 AMAZON COM INC COM 20	39,662.	40,701.	BYPASS8949		-1,039.	S
16	A	023135106 AMAZON COM INC COM 10	19,587.	19,590.	BYPASS8949		-3.	S
17	A	023135106 AMAZON COM INC COM 10	31,488.	31,450.	BYPASS8949		38.	S
18	A	03615A108 ANNOVIS BIO INC COM 100	916.	940.	BYPASS8949		-24.	S
19	A	037833100 APPLE INC COM 200	55,332.	55,307.	BYPASS8949		25.	S
20	A	037833100 APPLE INC COM 2500	650,565.	648,481.	W	1,003.	3,087.	S
21	A	037833100 APPLE INC COM 3700	970,024.	988,633.	W	18,608.	-1.	S
22	A	037833100 APPLE INC COM 600	143,810.	143,838.	W	107.	79.	S
23	A	037833100 APPLE INC COM 1200	289,036.	296,258.	W	7,222.	0.	S
24	A	037833100 APPLE INC COM 250	55,711.	56,916.	W	1,205.	0.	S
25	A	037833100 APPLE INC COM 650	156,687.	160,554.	W	2,102.	-1,765.	S
26	A	037833100 APPLE INC COM 200	50,654.	52,606.	BYPASS8949		-1,952.	S
27	A	037833100 APPLE INC COM 200	47,979.	48,048.	BYPASS8949		-69.	S
28	A	037833100 APPLE INC COM 100	38,272.	38,241.	BYPASS8949		31.	S
29	A	037833100 APPLE INC COM 100	39,086.	39,060.	BYPASS8949		26.	S
30	A	054748108 AYRO INC COM 1000	6,760.	6,595.	BYPASS8949		165.	S
31	A	060505104 BANK OF AMERICA CORPORATION COM 5000	111,297.	112,246.	BYPASS8949		-949.	S
32	A	097023105 BOEING CO COM 4500	577,208.	575,194.	W	2,248.	4,262.	S

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

RQUICK **Continuation Statement**

33	A	097023105 BOEING CO COM 5200	539,206.	565,620.	W	26,414.	0.	S
34	A	097023105 BOEING CO COM 3500	408,468.	414,066.	W	6,670.	1,072.	S
35	A	097023105 BOEING CO COM 600	57,893.	57,595.	BYPASS8949		298.	S
36	A	097023105 BOEING CO COM 10700	1,122,389.	1,213,354.	W	90,965.	0.	S
37	A	097023105 BOEING CO COM 2500	314,492.	333,130.	W	18,638.	0.	S
38	A	097023105 BOEING CO COM 31408	5,105,269.	5,428,166.	W	321,376.	-1,521.	S
39	A	097023105 BOEING CO COM 200	35,719.	37,149.	BYPASS8949		-1,430.	S
40	A	097023105 BOEING CO COM 300	49,077.	49,101.	BYPASS8949		-24.	S
41	A	097023105 BOEING CO COM 300	44,608.	47,348.	BYPASS8949		-2,740.	S
42	A	097023105 BOEING CO COM 300	46,810.	51,560.	BYPASS8949		-4,750.	S
43	A	13200M508 CAMBER ENERGY INC COM 1000	2,145.	2,520.	BYPASS8949		-375.	S
44	A	14040H105 CAPITAL ONE FINANCIAL CORP COM 1000	44,949.	44,852.	BYPASS8949		97.	S
45	A	146875604 CARVER BANCORP INC COM 80	1,488.	1,394.	BYPASS8949		94.	S
46	A	166764100 CHEVRON CORPORATION COM 14350	1,075,269.	1,092,996.	W	16,391.	-1,336.	S
47	B	17325E196 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 4000	51,310.	50,672.			638.	S
48	B	17325E196 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 3500	38,935.	38,629.	W	153.	459.	S
49	B	17325E196 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 900	8,921.	8,514.			407.	S
50	B	17325E196 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 2500	32,424.	36,317.	W	1,161.	-2,732.	S
51	B	225420258 CREDIT SUISSE NASSAU ETN 35	7,408.	7,384.			24.	S
52	B	225420258 CREDIT SUISSE NASSAU ETN 200	53,943.	53,698.			245.	S
53	B	225420258 CREDIT SUISSE NASSAU ETN 2620	979,158.	1,051,067.	W	72,491.	582.	S
54	B	225420258 CREDIT SUISSE NASSAU ETN 1320	497,287.	541,807.	W	44,623.	103.	S
55	B	225420258 CREDIT SUISSE NASSAU ETN 80	46,368.	48,844.	W	2,476.	0.	S
56	B	225420258 CREDIT SUISSE NASSAU ETN 80	60,829.	63,713.	W	2,148.	-736.	S
57	B	225420258 CREDIT SUISSE NASSAU ETN 60	53,097.	55,475.			-2,378.	S
58	B	225420258 CREDIT SUISSE NASSAU ETN 10	3,477.	3,815.			-338.	S
59	A	25459W458 DIREXION SHARES ETF TRUST DLY SCOND 3XBU ETF 120	20,430.	20,308.	BYPASS8949		122.	S
60	A	25459W458 DIREXION SHARES ETF TRUST DLY SCOND 3XBU ETF 190	12,470.	12,279.	BYPASS8949		191.	S
61	A	25459E182 DIREXION SHARES ETF TRUST 1:12 R/S 8/30/20 25459E604 4000	163,689.	163,019.	W	69.	739.	S
62	A	25459E182 DIREXION SHARES ETF TRUST 1:12 R/S 8/30/20 25459E604 5300	175,898.	189,844.	W	11,737.	-2,209.	S
63	A	25459E182 DIREXION SHARES ETF TRUST 1:12 R/S 8/30/20 25459E604 900	9,475.	11,132.	BYPASS8949		-1,657.	S
64	A	25459K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 100	3,468.	3,535.	W	67.	0.	S
65	A	25459K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1000	31,818.	31,822.	W	61.	57.	S
66	A	25459K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 2000	31,404.	31,585.	W	257.	76.	S
67	A	25459K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1500	21,071.	21,355.	W	319.	35.	S
68	A	25459K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1000	17,200.	17,421.	W	108.	-113.	S
69	A	25459K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 300	6,858.	7,128.	BYPASS8949		-270.	S
70	A	25614T389 DOCUMENT SECURITIE COM 1500	11,745.	12,164.	BYPASS8949		-419.	S
71	A	256163106 DOCUSIGN INC COM 100	21,287.	21,227.	BYPASS8949		60.	S
72	A	25754A201 DOMINOS PIZZA INC COM 30	9,510.	9,500.	BYPASS8949		10.	S
73	A	30234E104 EYENOVIA INC COM 400	2,300.	2,687.	BYPASS8949		-387.	S
74	A	45782T105 INMUNE BIO INC COM 2000	33,559.	37,667.	W	2,860.	-1,248.	S
75	A	52187K101 LEAP THERAPEUTICS INC COM 1000	1,600.	1,580.	BYPASS8949		20.	S
76	A	54951L109 LUCKIN COFFEE INC ADR SPONSORED 4000	27,682.	27,750.	W	143.	75.	S
77	A	594918104 MICROSOFT CORP COM 50	7,896.	7,875.	BYPASS8949		21.	S
78	A	594918104 MICROSOFT CORP COM 200	30,789.	30,960.	W	171.	0.	S
79	A	594918104 MICROSOFT CORP COM 900	126,487.	126,749.	W	310.	48.	S
80	A	594918104 MICROSOFT CORP COM 300	44,122.	44,134.	W	34.	22.	S
81	A	594918104 MICROSOFT CORP COM 4300	629,890.	630,031.	W	546.	405.	S
82	A	594918104 MICROSOFT CORP COM 800	124,153.	124,527.	W	410.	36.	S
83	A	594918104 MICROSOFT CORP COM 200	30,415.	30,553.	BYPASS8949		-138.	S
84	A	60770K107 MODERNA INC COM 200	9,286.	9,288.	BYPASS8949		-2.	S
85	A	60770K107 MODERNA INC COM 100	8,800.	8,358.	BYPASS8949		442.	S
86	A	60770K107 MODERNA INC COM 400	33,456.	34,131.	W	365.	-310.	S

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

RQUICK **Continuation Statement**

87	A	64110L106 NETFLIX INC COM 50	17,398.	17,427.	W	29.	0.	S
88	A	64110L106 NETFLIX INC COM 90	33,825.	34,009.	W	189.	5.	S
89	A	64110L106 NETFLIX INC COM 50	18,990.	19,054.	BYPASS8949		-64.	S
90	A	67066G104 NVIDIA CORP COM 250	61,124.	61,086.	W	8.	46.	S
91	A	67066G104 NVIDIA CORP COM 100	25,819.	25,817.	BYPASS8949		2.	S
92	A	74347648 PROSHARES TRUST 1:5 R/S 07/20/20 74347682 1500	38,887.	39,605.	BYPASS8949		-718.	S
93	B	74347X849 PROSHARES TRUST II ULTRA VIX SHORT ETF 200	12,528.	12,491.			37.	S
94	A	74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 1500	56,368.	57,610.	W	1,242.	0.	S
95	A	74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 20110	833,019.	849,267.	W	16,414.	166.	S
96	A	74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 1200	50,759.	51,907.	W	638.	-510.	S
97	A	77543R102 ROKU INC COM CL A 450	67,241.	67,194.	BYPASS8949		47.	S
98	A	78418A505 SG BLOCKS INC COM 700	6,064.	6,087.	W	28.	5.	S
99	A	81762P102 SERVICENOW INC COM 20	5,964.	5,961.	BYPASS8949		3.	S
100	A	82509L107 SHOPIFY INC COM CL A 30	11,025.	10,975.	BYPASS8949		50.	S
101	A	82846H207 SILVERSUN TECHNOLOGIES INC COM 200	1,614.	1,744.	BYPASS8949		-130.	S
102	A	87162B301 SYNTHESIS ENERGY SYSTEMS INC COM 2500	24,376.	24,565.	W	348.	159.	S
103	A	87918A105 TELADOC HEALTH INC COM 300	37,310.	42,000.	W	4,690.	0.	S
104	A	87918A105 TELADOC HEALTH INC COM 2000	345,530.	416,450.	W	56,269.	-14,651.	S
105	A	88160R101 TESLA INC COM 300	131,877.	131,850.	BYPASS8949		27.	S
106	A	88160R101 TESLA INC COM 20	13,013.	12,947.	BYPASS8949		66.	S
107	A	88160R101 TESLA INC COM 300	173,717.	173,666.	W	72.	123.	S
108	A	88160R101 TESLA INC COM 5500	2,259,598.	2,292,744.	W	34,910.	1,764.	S
109	A	88160R101 TESLA INC COM 50	21,629.	22,235.	W	606.	0.	S
110	A	88160R101 TESLA INC COM 1570	787,874.	790,693.	W	3,223.	404.	S
111	A	88160R101 TESLA INC COM 200	107,571.	108,920.	W	941.	-408.	S
112	A	88160R101 TESLA INC COM 50	24,534.	24,962.	BYPASS8949		-428.	S
113	A	88160R101 TESLA INC COM 95	143,781.	144,595.	W	1,154.	340.	S
114	A	88160R101 TESLA INC COM 20	30,294.	31,223.	BYPASS8949		-929.	S
115	A	88160R101 TESLA INC COM 10	16,022.	16,393.	BYPASS8949		-371.	S
116	A	911363109 UNITED RENTALS INC COM 100	8,472.	8,435.	BYPASS8949		37.	S
117	A	911363109 UNITED RENTALS INC COM 200	20,845.	20,801.	BYPASS8949		44.	S
118	A	911363109 UNITED RENTALS INC COM 200	20,490.	20,525.	BYPASS8949		-35.	S
119	A	98138J206 WORKHORSE GROUP INC COM 100	1,850.	1,784.	BYPASS8949		66.	S
120	A	98872F204 YUMA ENERGY INC COM 1500	7,371.	7,340.	W	21.	52.	S
121	A	98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 1410	222,073.	221,896.	W	266.	443.	S
122	A	98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 250	35,448.	35,852.	W	375.	-29.	S
123	A	98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 100	15,750.	15,865.	BYPASS8949		-115.	S
124	A	98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 100	13,945.	14,040.	BYPASS8949		-95.	S
125	A	APL AU30XN2 APPLE INC AAPL Apr 09 2020 267.5 Call 53	8,167.	9,877.	W	1,456.	-254.	S
126	A	APL AW2HL2O APPLE INC AAPL Apr 17 2020 285.0 Call 8	3,355.	2,417.	BYPASS8949		938.	S
127	A	APL AW2HMDK APPLE INC AAPL Apr 17 2020 290.0 Call 50	15,166.	15,713.	W	237.	-310.	S
128	A	APL AWE1MYE APPLE INC AAPL May 01 2020 297.5 Call 15	3,695.	3,461.	BYPASS8949		234.	S
129	A	APL AWENCA APPLE INC AAPL May 15 2020 302.5 Call 25	6,752.	7,328.	W	576.	0.	S
130	A	APL AWENV4 APPLE INC AAPL May 15 2020 310.0 Call 2	467.	467.	BYPASS8949		0.	S
131	A	APL AWEMOW APPLE INC AAPL May 22 2020 320.0 Call 1	271.	223.	BYPASS8949		48.	S
132	A	APL AWFQJTA APPLE INC AAPL Jun 12 2020 347.5 Call 4	317.	598.	BYPASS8949		-281.	S
133	A	APL AWFORE4 APPLE INC AAPL Jun 12 2020 355.0 Call 25	6,468.	6,075.	W	3.	396.	S
134	A	APL AWFRE4 APPLE INC AAPL Jun 19 2020 355.0 Call 5	912.	988.	BYPASS8949		-76.	S
135	A	APL AWFRS8 APPLE INC AAPL Jun 19 2020 360.0 Call 41	6,758.	6,653.	W	775.	880.	S
136	A	APL AWFRS8 APPLE INC AAPL Jun 19 2020 360.0 Call 38	5,888.	7,621.	W	1,199.	-534.	S
137	A	APL AWFQSN APPLE INC AAPL Jun 26 2020 365.0 Call 3	643.	638.	BYPASS8949		5.	S
138	A	APL AWFQTBX APPLE INC AAPL Jun 26 2020 380.0 Call 1	107.	164.	BYPASS8949		-57.	S
139	A	APL AWGASX0 APPLE INC AAPL Jul 10 2020 375.0 Call 5	1,372.	1,502.	W	184.	54.	S
140	A	APL AWGASX0 APPLE INC AAPL Jul 10 2020 375.0 Call 3	1,243.	873.	BYPASS8949		370.	S

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

RQUICK **Continuation Statement**

141	A	APL AKBATII APPLE INC AAPL Jul 10 2020 382.5 Call S	1,547.	1,478.	BYPASS8949		69.	S
142	A	APL AKBATII APPLE INC AAPL Jul 10 2020 382.5 Call S	2,222.	1,478.	BYPASS8949		744.	S
143	A	APL AKBHTP6 APPLE INC AAPL Jul 17 2020 385.0 Call 244	117,559.	118,984.	W	4,266.	2,841.	S
144	A	APL AKBKUC APPLE INC AAPL Jul 17 2020 390.0 Call 266	110,772.	140,321.	W	29,442.	-107.	S
145	A	APL AKBKWA APPLE INC AAPL Jul 17 2020 382.5 Call 30	13,530.	13,120.	BYPASS8949		410.	S
146	A	APL AKBKWA APPLE INC AAPL Jul 17 2020 382.5 Call 55	6,783.	25,377.	BYPASS8949		-18,594.	S
147	A	APL AKBKW8 APPLE INC AAPL Jul 17 2020 385.0 Call 75	25,278.	35,217.	W	3,194.	-6,745.	S
148	A	APL AKBKU6 APPLE INC AAPL Jul 17 2020 387.5 Call 40	17,573.	16,397.	BYPASS8949		1,176.	S
149	A	APL AKBKV4 APPLE INC AAPL Jul 17 2020 400.0 Call 2	1,483.	841.	BYPASS8949		642.	S
150	A	APL AKE7YC4 APPLE INC AAPL Aug 07 2020 445.0 Call 1	106.	198.	BYPASS8949		-92.	S
151	A	APL AKE7YQ0 APPLE INC AAPL Aug 07 2020 450.0 Call 4	1,937.	1,894.	BYPASS8949		43.	S
152	A	APL AKHEYQ0 APPLE INC AAPL Aug 14 2020 450.0 Call 1	631.	592.	BYPASS8949		39.	S
153	A	APL AKHEZ0H APPLE INC AAPL Aug 14 2020 455.0 Call 1	269.	327.	BYPASS8949		-58.	S
154	A	APL AKHEZH5 APPLE INC AAPL Aug 14 2020 460.0 Call 6	2,601.	2,324.	BYPASS8949		277.	S
155	A	APL AKHEZK5 APPLE INC AAPL Aug 14 2020 460.0 Call 15	5,240.	5,358.	BYPASS8949		-118.	S
156	A	APL AKHL3MK APPLE INC AAPL Aug 21 2020 470.0 Call 2	899.	883.	BYPASS8949		16.	S
157	A	APL AKI4RJR APPLE INC AAPL Sep 04 2020 123.75 Call 3	601.	527.	BYPASS8949		74.	S
158	A	APL AKI4RN0 APPLE INC AAPL Sep 04 2020 125.0 Call 3	307.	456.	BYPASS8949		-149.	S
159	A	APL AKP4WQW APPLE INC AAPL Apr 09 2020 230.0 Put 112	57,767.	58,921.	W	3,290.	2,136.	S
160	A	APL AKP4WQW APPLE INC AAPL Apr 09 2020 230.0 Put 75	28,549.	38,831.	W	3,559.	-6,723.	S
161	A	APL AKPALLS APPLE INC AAPL Apr 17 2020 280.0 Put 6	1,186.	1,452.	W	115.	-151.	S
162	A	APL AKSR5Y0 APPLE INC AAPL Jul 17 2020 375.0 Put 2	737.	971.	BYPASS8949		-234.	S
163	A	APL AKSSG3S APPLE INC AAPL Jul 24 2020 370.0 Put 18	2,903.	2,737.	BYPASS8949		166.	S
164	A	ADT KHL15O ADT INC ADT Aug 21 2020 15.0 Call 28	3,587.	3,593.	BYPASS8949		-6.	S
165	A	MC KC4JE MC ENTERTAINMENT HOLDINGS INC AMC Sep 04 2020 7.0 Call 4	189.	233.	BYPASS8949		-44.	S
166	A	MO KBE4S AVIANCE VIRO ENTITIES INC AMO Feb 14 2020 55.0 Call 3	119.	173.	BYPASS8949		-54.	S
167	A	MZN KKA8EN5 AMAZON COM INC AMZN Jan 03 2020 1980.0 Call 50	43,266.	42,660.	W	71.	677.	S
168	A	MZN KKAHFNW AMAZON COM INC AMZN Jan 31 2020 2030.0 Call 2	3,299.	3,082.	BYPASS8949		217.	S
169	A	MZN KKBE5TK AMAZON COM INC AMZN Feb 14 2020 2100.0 Call 1	1,499.	1,421.	BYPASS8949		78.	S
170	A	MZN KKD3GNW AMAZON COM INC AMZN Mar 20 2020 1760.0 Call 2	11,198.	10,873.	BYPASS8949		325.	S
171	A	MZN KKDHGTG AMAZON COM INC AMZN Apr 17 2020 2140.0 Call 2	4,299.	4,060.	BYPASS8949		239.	S
172	A	MZN KKDHGU3 AMAZON COM INC AMZN Apr 17 2020 2150.0 Call 1	2,219.	1,910.	BYPASS8949		309.	S
173	A	MZN KKDHGZ4 AMAZON COM INC AMZN Apr 17 2020 2200.0 Call 1	1,499.	1,491.	BYPASS8949		8.	S
174	A	MZN KKDHTA5 AMAZON COM INC AMZN Apr 17 2020 2350.0 Call 1	2,279.	2,191.	BYPASS8949		88.	S
175	A	MZN KKDHYA5 AMAZON COM INC AMZN Apr 17 2020 2250.0 Call 4	12,437.	11,648.	BYPASS8949		789.	S
176	A	MZN KKDHZJJ AMAZON COM INC AMZN Apr 17 2020 2400.0 Call 10	22,063.	22,240.	W	887.	710.	S
177	A	MZN KKDHZAG AMAZON COM INC AMZN Apr 17 2020 2500.0 Call 1	828.	1,246.	BYPASS8949		-418.	S
178	A	MZN KKDOAJG AMAZON COM INC AMZN Apr 24 2020 2500.0 Call 1	4,749.	4,501.	BYPASS8949		248.	S
179	A	MZN KKE0EA5 AMAZON COM INC AMZN May 08 2020 2350.0 Call 3	7,748.	7,705.	BYPASS8949		43.	S
180	A	MZN KKEF1I0 AMAZON COM INC AMZN May 15 2020 2400.0 Call 4	4,467.	4,660.	W	269.	76.	S
181	A	MZN KKEKAJG AMAZON COM INC AMZN May 22 2020 2500.0 Call 4	6,937.	6,696.	W	71.	312.	S
182	A	MZN KKEKULK AMAZON COM INC AMZN May 22 2020 2540.0 Call 1	249.	1,551.	BYPASS8949		-1,302.	S
183	A	MZN KKF3QG4 AMAZON COM INC AMZN Jun 12 2020 2850.0 Call 7	4,002.	3,881.	BYPASS8949		121.	S
184	A	MZN KKF3UU0 AMAZON COM INC AMZN Jun 12 2020 2700.0 Call 3	1,708.	3,305.	BYPASS8949		-1,597.	S
185	A	MZN KKG4NR0 AMAZON COM INC AMZN Jul 10 2020 3220.0 Call 10	5,193.	5,107.	BYPASS8949		86.	S
186	A	MZN KKH7OUW AMAZON COM INC AMZN Aug 07 2020 3200.0 Call 2	4,301.	5,708.	BYPASS8949		-1,407.	S
187	A	MZN KKH7P00 AMAZON COM INC AMZN Aug 07 2020 3240.0 Call 2	2,399.	1,917.	BYPASS8949		482.	S
188	A	MZN KKHEUZ AMAZON COM INC AMZN Aug 14 2020 3100.0 Call 1	2,049.	2,271.	BYPASS8949		-222.	S
189	A	MZN KKHEUW AMAZON COM INC AMZN Aug 14 2020 3200.0 Call 1	1,499.	1,249.	BYPASS8949		250.	S
190	A	MZN KKJ4FE4 AMAZON COM INC AMZN Sep 04 2020 3550.0 Call 1	2,519.	2,501.	BYPASS8949		18.	S
191	A	MZN KKJ4RS9 AMAZON COM INC AMZN Sep 04 2020 3600.0 Call 2	3,709.	3,166.	BYPASS8949		543.	S
192	A	MZN KKJBUUG AMAZON COM INC AMZN Sep 11 2020 3220.0 Call 4	241.	5,841.	BYPASS8949		-5,600.	S
193	A	MZN KKJBYG0 AMAZON COM INC AMZN Sep 11 2020 3300.0 Call 1	3,319.	2,999.	BYPASS8949		320.	S
194	A	MZN KKJBYG9 AMAZON COM INC AMZN Sep 11 2020 3300.0 Call 2	4,258.	3,736.	BYPASS8949		522.	S

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

RQUICK **Continuation Statement**

195	A	AMZN KX1B9W AMAZON COM INC AMZN Sep 11 2020 3150.0 Call 2	5,841.	5,634.	BYPASS8949		207.	S
196	A	AMZN KW9ENS AMAZON COM INC AMZN Jan 03 2020 1900.0 Put 1	1,539.	1,506.	BYPASS8949		33.	S
197	A	AMZN KXQ1JQW AMAZON COM INC AMZN May 01 2020 2300.0 Put 1	1,049.	901.	BYPASS8949		148.	S
198	A	AMZN KX0CC8 AMAZON COM INC AMZN Jun 12 2020 2500.0 Put 1	1,199.	1,586.	BYPASS8949		-387.	S
199	A	AMZN KX3DR5 AMAZON COM INC AMZN Jul 24 2020 3000.0 Put 2	6,029.	6,152.	BYPASS8949		-123.	S
200	A	BA AMC80DM BOEING CO BA Mar 06 2020 320.0 Call 1	289.	341.	BYPASS8949		-52.	S
201	A	BA AME9A6D BOEING CO BA May 08 2020 132.0 Call 2	367.	413.	BYPASS8949		-46.	S
202	A	BA AMF5CCG BOEING CO BA Jun 05 2020 190.0 Call 7	1,290.	1,341.	W	73.	22.	S
203	A	BA AMF5EEW BOEING CO BA Jun 05 2020 195.0 Call 9	1,577.	1,554.	BYPASS8949		23.	S
204	A	BA AMF8ENS BOEING CO BA Jun 05 2020 190.0 Call 11	3,763.	3,557.	BYPASS8949		206.	S
205	A	BA AMF5FTG BOEING CO BA Jun 05 2020 205.0 Call 23	6,394.	5,715.	W	353.	1,032.	S
206	A	BA AMF6NS BOEING CO BA Jun 12 2020 190.0 Call 16	2,737.	3,030.	W	381.	88.	S
207	A	BA AMFCDD BOEING CO BA Jun 12 2020 240.0 Call 2	1,399.	941.	BYPASS8949		458.	S
208	A	BA AMFCDD BOEING CO BA Jun 12 2020 240.0 Call 19	5,218.	4,527.	BYPASS8949		691.	S
209	A	BA AMFCJA6 BOEING CO BA Jun 12 2020 250.0 Call 8	5,147.	4,907.	W	13.	253.	S
210	A	BA AMFJENS BOEING CO BA Jun 19 2020 190.0 Call 1	859.	733.	BYPASS8949		126.	S
211	A	BA AMFJGC4 BOEING CO BA Jun 19 2020 220.0 Call 3	1,138.	1,455.	W	85.	-232.	S
212	A	BA AMGENS BOEING CO BA Jul 02 2020 190.0 Call 4	1,677.	1,258.	BYPASS8949		419.	S
213	A	BA AMGADP2 BOEING CO BA Jul 10 2020 177.5 Call 5	672.	695.	BYPASS8949		-23.	S
214	A	BA AMGHDW0 BOEING CO BA Jul 17 2020 180.0 Call 5	3,022.	2,739.	BYPASS8949		283.	S
215	A	BA AMGHEW BOEING CO BA Jul 17 2020 185.0 Call 5	2,247.	2,118.	BYPASS8949		129.	S
216	A	BA AMHTDW0 BOEING CO BA Aug 07 2020 180.0 Call 2	147.	321.	BYPASS8949		-174.	S
217	A	BA AMHENS BOEING CO BA Aug 14 2020 190.0 Call 5	2,427.	2,198.	BYPASS8949		229.	S
218	A	BA AMJ4DW0 BOEING CO BA Sep 04 2020 180.0 Call 6	2,246.	1,826.	BYPASS8949		420.	S
219	A	BA AMNDNJ8 BOEING CO BA Jan 24 2020 305.0 Put 1	171.	194.	BYPASS8949		-23.	S
220	A	BA AMOGJA6 BOEING CO BA Mar 06 2020 250.0 Put 1	409.	411.	BYPASS8949		-2.	S
221	A	BA AMOBENS BOEING CO BA Mar 13 2020 190.0 Put 18	7,122.	7,121.	W	113.	114.	S
222	A	BA AMOBENS BOEING CO BA Mar 13 2020 190.0 Put 2	-13.	0.			-13.	S
223	A	BA AMODFFK BOEING CO BA Mar 13 2020 200.0 Put 8	4,655.	4,591.	BYPASS8949		64.	S
224	A	BA AMODGL0 BOEING CO BA Mar 13 2020 215.0 Put 1	799.	701.	BYPASS8949		98.	S
225	A	BA AMOKTP5 BOEING CO BA Mar 20 2020 190.0 Put 6	6,956.	6,794.	BYPASS8949		162.	S
226	A	BA AMP38NG BOEING CO BA Apr 03 2020 115.0 Put 26	6,416.	6,359.	W	51.	108.	S
227	A	BA AMP39RC BOEING CO BA Apr 03 2020 120.0 Put 95	21,808.	23,235.	W	1,541.	114.	S
228	A	BA AMP8NN0 BOEING CO BA Apr 03 2020 125.0 Put 2	839.	799.	BYPASS8949		40.	S
229	A	BA AMRDDI4 BOEING CO BA Jun 12 2020 175.0 Put 9	2,469.	2,310.	BYPASS8949		159.	S
230	A	BA AMRDDW0 BOEING CO BA Jun 12 2020 180.0 Put 19	2,993.	2,612.	BYPASS8949		381.	S
231	A	BA AMRGFTG BOEING CO BA Jun 12 2020 205.0 Put 2	799.	781.	BYPASS8949		18.	S
232	A	BA AMRQD49 BOEING CO BA Jun 26 2020 170.0 Put 26	3,467.	3,465.	W	108.	110.	S
233	A	BA AMSDDI4 BOEING CO BA Jul 24 2020 175.0 Put 5	702.	748.	BYPASS8949		-46.	S
234	A	BBA AGB4S4 ALIBABA GROUP HOLDING LTD BABA Jul 10 2020 165.0 Call 10	7,889.	10,734.	W	1,919.	-926.	S
235	A	BBA AKLHQW ALIBABA GROUP HOLDING LTD BABA Dec 31 2020 230.0 Call 1	299.	237.	BYPASS8949		62.	S
236	A	BBA AKLV1G ALIBABA GROUP HOLDING LTD BABA Dec 31 2020 235.0 Call 1	251.	236.	BYPASS8949		15.	S
237	A	BIDU AKL067C BAIDU INC BIDU Dec 24 2020 210.0 Call 1	157.	420.	BYPASS8949		-263.	S
238	A	BLNK KGHJU BLINK CHARGING CO BLNK Jul 17 2020 7.5 Call 10	893.	657.	BYPASS8949		236.	S
239	A	BYND KQ0GY0 BEYOND MEAT INC BYND Apr 24 2020 90.0 Call 6	2,498.	2,316.	BYPASS8949		182.	S
240	A	BYND AKBEVL4 BEYOND MEAT INC BYND Feb 14 2020 130.0 Call 5	1,544.	1,368.	BYPASS8949		176.	S
241	A	BYND AKE8XK6 BEYOND MEAT INC BYND May 08 2020 115.0 Call 2	949.	839.	BYPASS8949		110.	S
242	A	BYND AKE14GN BEYOND MEAT INC BYND Sep 04 2020 140.0 Call 3	1,048.	942.	BYPASS8949		106.	S
243	A	CCL KXDJP4 CARNIVAL CORP CCL Mar 13 2020 22.0 Put 1	174.	146.	BYPASS8949		28.	S
244	A	CFG KE5H0 CAPITAL ONE FINANCIAL CORP CFG Aug 14 2020 71.0 Call 4	557.	551.	BYPASS8949		6.	S
245	A	CVX KD55JW CHEVRON CORPORATION CVX Apr 03 2020 77.0 Call 2	519.	495.	BYPASS8949		24.	S
246	A	DAL KDK1JG DELTA AIR LINES INC DAL Apr 17 2020 25.0 Call 5	552.	523.	BYPASS8949		29.	S
247	A	DIS AKE6NC WALT DISNEY COMPANY (THE) DIS May 01 2020 111.0 Call 2	283.	257.	BYPASS8949		26.	S
248	A	DIS AKL9B6 WALT DISNEY COMPANY (THE) DIS Dec 11 2020 172.5 Call 4	397.	371.	BYPASS8949		26.	S

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

RQUICK **Continuation Statement**

249	A	QQQ AKF2CC6 QQQTSIGN INC QQQ Jun 19 2020 198.0 Call 1	534.	446.	BYPASS8949		88.	S
250	A	QQQ AKHKE24 QQQTSIGN INC QQQ Jul 17 2020 220.0 Call 3	1,633.	1,042.	BYPASS8949		591.	S
251	A	FB AKEMKE4 FACEBOOK INC FB May 22 2020 220.0 Call 1	212.	329.	BYPASS8949		-117.	S
252	A	FB AKEMKHW FACEBOOK INC FB May 22 2020 230.0 Call 51	7,699.	7,135.	W	2.	566.	S
253	A	FB AKETTJD FACEBOOK INC FB May 29 2020 240.0 Call 15	6,040.	5,778.	W	142.	404.	S
254	A	FB AKETTJD FACEBOOK INC FB May 29 2020 240.0 Call 17	2,590.	2,726.	W	438.	302.	S
255	A	FB AKETTJD FACEBOOK INC FB May 29 2020 240.0 Call 8	3,143.	3,208.	W	77.	12.	S
256	A	FB AKF5KHW FACEBOOK INC FB Jun 12 2020 230.0 Call 1	369.	355.	BYPASS8949		14.	S
257	A	FB AKF1JA6 FACEBOOK INC FB Jun 19 2020 250.0 Call 5	542.	2,496.	W	1,955.	1.	S
258	A	FB AKF1JA6 FACEBOOK INC FB Jun 19 2020 250.0 Call 55	3,583.	13,957.	W	4,738.	-5,636.	S
259	A	FB AKF1JA6 FACEBOOK INC FB Jun 19 2020 250.0 Call 15	2,509.	2,775.	BYPASS8949		-266.	S
260	A	FB AKH7KQ8 FACEBOOK INC FB Aug 07 2020 270.0 Call 12	3,320.	2,944.	BYPASS8949		376.	S
261	A	FB AKHTLLS FACEBOOK INC FB Aug 07 2020 280.0 Call 4	971.	959.	W	15.	27.	S
262	A	FB AKRQGL8 FACEBOOK INC FB Jun 26 2020 215.0 Put 20	987.	1,927.	W	473.	-467.	S
263	A	FB AKS2FTG FACEBOOK INC FB Jul 02 2020 205.0 Put 9	1,623.	2,609.	BYPASS8949		-986.	S
264	A	FB AKTEKQ8 FACEBOOK INC FB Aug 14 2020 260.0 Put 1	429.	418.	BYPASS8949		11.	S
265	A	FSLY KFQ6B8 FASTLY INC FSLY Jun 26 2020 80.0 Call 10	2,943.	2,442.	BYPASS8949		501.	S
266	A	FSLY KFQ6K4 FASTLY INC FSLY Jun 26 2020 85.0 Call 5	1,367.	1,278.	BYPASS8949		89.	S
267	A	333277107 FARMMI INC COM 200	270.	218.	BYPASS8949		52.	S
268	A	GLD KF5R0 GOLD SCIENCES INC GLD Feb 07 2020 69.0 Call 2	379.	339.	BYPASS8949		40.	S
269	A	GLD KB59R GOLD SCIENCES INC GLD Feb 28 2020 73.0 Call 1	214.	166.	BYPASS8949		48.	S
270	A	M98068105 WIX.COM LTD COM 100	29,496.	29,475.	BYPASS8949		21.	S
271	A	HMA KEMBK4 HOMEMA INC HMA May 22 2020 85.0 Call 10	6,743.	6,607.	BYPASS8949		136.	S
272	A	HMA AKL3BS HOMEMA INC HMA Dec 18 2020 145.0 Call 1	349.	306.	BYPASS8949		43.	S
273	A	MSFT AKBTE2Y MICROSOFT CORP MSFT Feb 07 2020 182.5 Call 2	377.	205.	BYPASS8949		172.	S
274	A	MSFT AKDKQC MICROSOFT CORP MSFT Apr 17 2020 165.0 Call 1	253.	252.	BYPASS8949		1.	S
275	A	MSFT AKMEE2Y MICROSOFT CORP MSFT Feb 14 2020 182.5 Put 4	493.	499.	BYPASS8949		-6.	S
276	A	NFLX AKB7RS0 NETFLIX INC NFLX Feb 07 2020 360.0 Call 1	449.	381.	BYPASS8949		68.	S
277	A	NFLX AKB7SU0 NETFLIX INC NFLX Feb 07 2020 375.0 Call 8	1,376.	2,214.	BYPASS8949		-838.	S
278	A	NFLX AKBLU3C NETFLIX INC NFLX Feb 21 2020 380.0 Call 1	421.	331.	BYPASS8949		90.	S
279	A	NFLX AKBSTP6 NETFLIX INC NFLX Feb 28 2020 385.0 Call 1	249.	383.	BYPASS8949		-134.	S
280	A	NFLX AKDRUY4 NETFLIX INC NFLX Apr 17 2020 400.0 Call 1	789.	778.	BYPASS8949		11.	S
281	A	NFLX AKDWE0 NETFLIX INC NFLX Apr 17 2020 420.0 Call 1	789.	756.	BYPASS8949		33.	S
282	A	NFLX AKDXR6 NETFLIX INC NFLX Apr 17 2020 430.0 Call 21	14,183.	13,693.	W	374.	864.	S
283	A	NFLX AKDXWC NETFLIX INC NFLX Apr 17 2020 435.0 Call 12	6,272.	6,968.	W	528.	-168.	S
284	A	NFLX AKG4SU0 NETFLIX INC NFLX Jul 10 2020 510.0 Call 14	7,315.	6,743.	W	538.	1,110.	S
285	A	NFLX AKG4W6 NETFLIX INC NFLX Jul 10 2020 520.0 Call 10	3,767.	3,422.	BYPASS8949		345.	S
286	A	NFLX AKG4YJU NETFLIX INC NFLX Jul 10 2020 525.0 Call 100	46,168.	43,262.	W	80.	2,986.	S
287	A	NFLX AKG4Z8 NETFLIX INC NFLX Jul 10 2020 530.0 Call 145	57,412.	54,956.	W	1,048.	3,504.	S
288	A	NFLX AKG4A6 NETFLIX INC NFLX Jul 10 2020 540.0 Call 155	66,780.	65,652.	W	1,680.	2,808.	S
289	A	NFLX AKG4TE NETFLIX INC NFLX Jul 10 2020 545.0 Call 30	12,283.	9,846.	BYPASS8949		2,437.	S
290	A	NFLX AKG4B9K NETFLIX INC NFLX Jul 10 2020 560.0 Call 420	5,055.	56,237.	W	30,293.	-20,889.	S
291	A	NFLX AKG54BK NETFLIX INC NFLX Jul 17 2020 560.0 Call 4	6,157.	6,223.	BYPASS8949		-66.	S
292	A	NFLX AKGHM0 NETFLIX INC NFLX Jul 17 2020 600.0 Call 15	27,599.	15,400.	BYPASS8949		12,199.	S
293	A	NFLX AKLV4G0 NETFLIX INC NFLX Dec 31 2020 540.0 Call 1	347.	315.	BYPASS8949		32.	S
294	A	NFLX AKTE3MK NETFLIX INC NFLX Aug 14 2020 470.0 Put 1	599.	550.	BYPASS8949		49.	S
295	A	NID KLB3E9 NIDEC NID Dec 11 2020 44.0 Call 2	341.	263.	BYPASS8949		78.	S
296	A	NKE AKB7TSK NIKE INC NKE Feb 07 2020 101.0 Call 5	467.	448.	BYPASS8949		19.	S
297	A	NKE AKB77VC NIKE INC NKE Feb 07 2020 102.0 Call 5	627.	498.	BYPASS8949		129.	S
298	A	NLA KHE5K0 NIDEC CORPORATION NLA Aug 14 2020 45.0 Call 8	1,435.	1,480.	BYPASS8949		-45.	S
299	A	NW AKAPUK SERVICENOW INC NOW Jan 31 2020 335.0 Call 1	669.	623.	BYPASS8949		46.	S
300	A	NW AKB7QNC SERVICENOW INC NOW Feb 07 2020 345.0 Call 3	871.	1,292.	BYPASS8949		-421.	S
301	A	NVAX KFQ6K4 NOVAVAX INC NVAX Jun 26 2020 85.0 Call 1	369.	370.	BYPASS8949		-1.	S
302	A	NVAX AKHE4SK NOVAVAX INC NVAX Aug 14 2020 140.0 Call 2	919.	782.	BYPASS8949		137.	S

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

RQUICK **Continuation Statement**

303	A	VAV AVI49PW XOVAVAV INC NVAV Sep 04 2020 113.0 Call 1	225.	406.	BYPASS8949		-181.	S
304	A	VDA AVBLUB8 NVIDIA CORP NVDA Feb 21 2020 315.0 Call 2	459.	397.	BYPASS8949		62.	S
305	A	VDA AVEMRS9 NVIDIA CORP NVDA May 22 2020 360.0 Call 5	2,447.	1,448.	BYPASS8949		999.	S
306	A	VDA AVEMSSH NVIDIA CORP NVDA May 22 2020 365.0 Call 16	2,093.	2,592.	BYPASS8949		-499.	S
307	A	VDA AVGAY98 NVIDIA CORP NVDA Jul 10 2020 405.0 Call 2	779.	941.	BYPASS8949		-162.	S
308	A	VDA AVI4SZ2 NVIDIA CORP NVDA Sep 04 2020 515.0 Call 2	1,679.	1,401.	BYPASS8949		278.	S
309	A	VDA AVI441U NVIDIA CORP NVDA Sep 04 2020 535.0 Call 4	2,797.	2,527.	BYPASS8949		270.	S
310	A	VDA AVPHL2D NVIDIA CORP NVDA Apr 17 2020 265.0 Put 2	359.	355.	BYPASS8949		4.	S
311	A	VDA AVRQSJS NVIDIA CORP NVDA Jun 26 2020 370.0 Put 2	719.	527.	BYPASS8949		192.	S
312	A	VDA AVU449G NVIDIA CORP NVDA Sep 04 2020 520.0 Put 1	519.	451.	BYPASS8949		68.	S
313	A	VY KFSJQ XXCCDEMTVL PETROLEUM CORP VNY Jun 12 2020 22.5 Call 2	383.	309.	BYPASS8949		74.	S
314	A	VZO AVLVDT4 PINDUODUO INC PDD Dec 31 2020 175.0 Call 1	254.	231.	BYPASS8949		23.	S
315	A	WNS WHE2US PINTEREST INC PINS Aug 14 2020 37.0 Call 20	527.	1,613.	BYPASS8949		-1,086.	S
316	A	XL XDXSW ROYAL CARIBBEAN CRUISES RCL Mar 13 2020 59.0 Call 1	344.	454.	BYPASS8949		-110.	S
317	A	XL XP9X8 ROYAL CARIBBEAN CRUISES RCL Apr 09 2020 42.0 Put 2	231.	227.	BYPASS8949		4.	S
318	A	XNU AHGABYK ROKU INC ROKU Jul 10 2020 155.0 Call 2	619.	557.	BYPASS8949		62.	S
319	A	XNU AHGYCS1 ROKU INC ROKU Jul 31 2020 157.5 Call 16	1,227.	1,459.	W	223.	-9.	S
320	A	XT KHL2V RADISPHERE TECHNOLOGY INC RXT Aug 21 2020 17.5 Call 2	429.	371.	BYPASS8949		58.	S
321	A	XVP AVBE4M9 SHOPIFY INC SHOP Feb 14 2020 680.0 Call 2	399.	1,509.	BYPASS8949		-1,110.	S
322	A	XVP AVDBSNK SHOPIFY INC SHOP Apr 24 2020 650.0 Call 1	2,999.	2,701.	BYPASS8949		298.	S
323	A	XVP AVDGEG5 SHOPIFY INC SHOP Apr 24 2020 700.0 Call 2	3,816.	3,998.	W	165.	-17.	S
324	A	XVP AVETSJW SHOPIFY INC SHOP May 29 2020 770.0 Call 1	799.	594.	BYPASS8949		205.	S
325	A	XVP AVETSZA SHOPIFY INC SHOP May 29 2020 775.0 Call 2	1,879.	1,867.	BYPASS8949		12.	S
326	A	XVP AVFJ8X8 SHOPIFY INC SHOP Jun 19 2020 680.0 Call 2	4,499.	3,751.	BYPASS8949		748.	S
327	A	XYE XBLSH XTRIUM BALKTIC KLDS INC SPCE Feb 21 2020 16.0 Call 1	169.	236.	BYPASS8949		-67.	S
328	A	XYLK AVDBBCC SPLUNK INC SPLK Mar 06 2020 147.0 Put 1	369.	341.	BYPASS8949		28.	S
329	A	XYT AVFQU46 SPOTIFY TECHNOLOGY S.A. SPOT Jun 26 2020 250.0 Call 2	1,059.	865.	BYPASS8949		194.	S
330	A	XY AVBQNB SYRX SSPDR EFF TRST SPY Feb 26 2020 315.0 Call 1	488.	467.	BYPASS8949		21.	S
331	A	XY AVCXJC SYRX SSPDR EFF TRST SPY Mar 06 2020 282.0 Call 1	319.	375.	BYPASS8949		-56.	S
332	A	XY AVMQYS SYRX SSPDR EFF TRST SPY Jan 31 2020 325.0 Put 1	136.	179.	BYPASS8949		-43.	S
333	A	XY AVMR4J SYRX SSPDR EFF TRST SPY Jan 31 2020 325.5 Put 12	1,810.	1,888.	W	111.	33.	S
334	A	XY AVMPGJ SYRX SSPDR EFF TRST SPY Jan 31 2020 327.5 Put 8	1,214.	1,018.	BYPASS8949		196.	S
335	A	XY AVQ4HU SYRX SSPDR EFF TRST SPY Mar 04 2020 304.5 Put 1	264.	234.	BYPASS8949		30.	S
336	A	XY AVQRNJ4 SYRX SSPDR EFF TRST SPY Mar 06 2020 293.0 Put 1	137.	121.	BYPASS8949		16.	S
337	A	XY AVXTMG SYRX SSPDR EFF TRST SPY Jun 19 2020 295.0 Put 20	2,747.	2,743.	BYPASS8949		4.	S
338	A	XJ KEPSSC SQUARE INC SQ May 15 2020 75.0 Call 6	974.	919.	BYPASS8949		55.	S
339	A	XJ AVHTBN3 SQUARE INC SQ Aug 07 2020 150.0 Call 2	503.	491.	BYPASS8949		12.	S
340	A	XJ AVHTBN3 SQUARE INC SQ Aug 07 2020 150.0 Call 5	1,782.	1,429.	BYPASS8949		353.	S
341	A	XJ AVHTBYK SQUARE INC SQ Aug 07 2020 155.0 Call 16	4,187.	3,816.	BYPASS8949		371.	S
342	A	XJ AVHTCGS SQUARE INC SQ Aug 07 2020 160.0 Call 20	3,627.	3,557.	W	3.	73.	S
343	A	XJ AVHTCGS SQUARE INC SQ Aug 07 2020 160.0 Call 5	257.	748.	BYPASS8949		-491.	S
344	A	XOC AVDDNW TELADOC HEALTH INC TDOC Apr 24 2020 180.0 Call 1	679.	633.	BYPASS8949		46.	S
345	A	XOC AVFJGTY TELADOC HEALTH INC TDOC Jun 26 2020 210.0 Call 4	1,017.	1,627.	BYPASS8949		-610.	S
346	A	XSLA AVA3QY8 TESLA INC TSLA Jan 03 2020 440.0 Call 3	2,119.	2,024.	BYPASS8949		95.	S
347	A	XSLA AVA3QY8 TESLA INC TSLA Jan 03 2020 450.0 Call 107	29,872.	41,473.	W	3,114.	-8,487.	S
348	A	XSLA AVA3Z2W TESLA INC TSLA Jan 03 2020 455.0 Call 238	24,115.	67,288.	W	18,503.	-24,670.	S
349	A	XSLA AVAVAYH TESLA INC TSLA Jan 31 2020 642.5 Call 1	899.	786.	BYPASS8949		113.	S
350	A	XSLA AVAYBRK TESLA INC TSLA Jan 31 2020 650.0 Call 3	2,783.	2,773.	W	16.	26.	S
351	A	XSLA AVBTFEG TESLA INC TSLA Feb 07 2020 700.0 Call 1	2,215.	1,996.	BYPASS8949		219.	S
352	A	XSLA AVBTFN8 TESLA INC TSLA Feb 07 2020 720.0 Call 1	2,234.	1,986.	BYPASS8949		248.	S
353	A	XSLA AVBTSJW TESLA INC TSLA Feb 07 2020 770.0 Call 6	1,515.	5,818.	BYPASS8949		-4,303.	S
354	A	XSLA AVBTRK4 TESLA INC TSLA Feb 07 2020 650.0 Call 1	5,554.	4,685.	BYPASS8949		869.	S
355	A	XSLA AVBTRN4 TESLA INC TSLA Feb 07 2020 650.0 Call 1	2,505.	2,331.	BYPASS8949		174.	S
356	A	XSLA AVBE8AE TESLA INC TSLA Feb 14 2020 815.0 Call 1	529.	431.	BYPASS8949		98.	S

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

RQUICK **Continuation Statement**

357	A	SLA AKCR3W0 TESLA INC TSLA Mar 27 2020 510.0 Call 1	2,119.	2,000.	BYPASS8949		119.	S
358	A	SLA AKDH55C TESLA INC TSLA Apr 17 2020 750.0 Call 1	2,624.	2,601.	BYPASS8949		23.	S
359	A	SLA AKDG5H8 TESLA INC TSLA Apr 24 2020 710.0 Call 10	12,798.	14,199.	W	1,284.	-117.	S
360	A	SLA AKDG5K0 TESLA INC TSLA Apr 24 2020 720.0 Call 10	15,033.	14,927.	BYPASS8949		106.	S
361	A	SLA AKDG6LE TESLA INC TSLA Apr 24 2020 725.0 Call 5	1,467.	1,278.	BYPASS8949		189.	S
362	A	SLA AKDG5SC TESLA INC TSLA Apr 24 2020 750.0 Call 6	9,566.	17,598.	BYPASS8949		-8,032.	S
363	A	SLA AKE1668 TESLA INC TSLA May 01 2020 800.0 Call 1	3,476.	3,336.	BYPASS8949		140.	S
364	A	SLA AKE85SC TESLA INC TSLA May 08 2020 750.0 Call 1	2,327.	2,289.	BYPASS8949		38.	S
365	A	SLA AKE8G22 TESLA INC TSLA May 08 2020 765.0 Call 1	1,481.	1,390.	BYPASS8949		91.	S
366	A	SLA AKE8668 TESLA INC TSLA May 08 2020 800.0 Call 5	7,396.	10,407.	W	3,211.	200.	S
367	A	SLA AKE8668 TESLA INC TSLA May 08 2020 800.0 Call 6	4,084.	11,112.	W	2,640.	-4,388.	S
368	A	SLA AKE8868 TESLA INC TSLA May 08 2020 810.0 Call 1	999.	941.	BYPASS8949		58.	S
369	A	SLA AKE8885 TESLA INC TSLA May 08 2020 820.0 Call 4	2,529.	2,369.	BYPASS8949		160.	S
370	A	SLA AKEF668 TESLA INC TSLA May 15 2020 800.0 Call 1	939.	1,022.	BYPASS8949		-83.	S
371	A	SLA AKEF6K4 TESLA INC TSLA May 15 2020 850.0 Call 2	3,814.	4,019.	W	205.	0.	S
372	A	SLA AKEF6K4 TESLA INC TSLA May 15 2020 850.0 Call 4	2,867.	2,901.	W	22.	-12.	S
373	A	SLA AKF5TBW TESLA INC TSLA Jun 05 2020 950.0 Call 1	1,244.	1,151.	BYPASS8949		93.	S
374	A	SLA AKF77ZU TESLA INC TSLA Jun 19 2020 975.0 Call 1	1,949.	2,061.	BYPASS8949		-112.	S
375	A	SLA AKI49PC TESLA INC TSLA Sep 04 2020 480.0 Call 1	1,496.	1,438.	BYPASS8949		58.	S
376	A	SLA AKI4QW TESLA INC TSLA Sep 04 2020 500.0 Call 3	3,518.	3,454.	BYPASS8949		64.	S
377	A	SLA AKI4K6K TESLA INC TSLA Sep 04 2020 425.0 Call 6	482.	4,220.	BYPASS8949		-3,738.	S
378	A	SLA AKI4DH5 TESLA INC TSLA Sep 04 2020 460.0 Call 1	1,269.	1,235.	BYPASS8949		34.	S
379	A	SLA AKMSNY8 TESLA INC TSLA Jan 03 2020 440.0 Put 5	547.	518.	BYPASS8949		29.	S
380	A	SLA AKNT58W TESLA INC TSLA Feb 07 2020 600.0 Put 3	4,948.	4,359.	BYPASS8949		589.	S
381	A	SLA AKNL6K4 TESLA INC TSLA Feb 21 2020 650.0 Put 1	413.	712.	BYPASS8949		-299.	S
382	A	SLA AKOD4S8 TESLA INC TSLA Mar 13 2020 620.0 Put 2	3,129.	3,131.	BYPASS8949		-2.	S
383	A	SLA AKQ15SC TESLA INC TSLA May 01 2020 750.0 Put 1	3,654.	3,881.	W	226.	-1.	S
384	A	SLA AKQ15SC TESLA INC TSLA May 01 2020 750.0 Put 6	5,472.	7,140.	W	302.	-1,366.	S
385	A	SLA AKQF55C TESLA INC TSLA May 15 2020 750.0 Put 2	1,199.	1,179.	BYPASS8949		20.	S
386	A	SLA AKRQ7BW TESLA INC TSLA Jun 26 2020 950.0 Put 4	3,747.	4,644.	W	666.	-231.	S
387	A	SLA AKU4QV4 TESLA INC TSLA Sep 04 2020 490.0 Put 2	1,850.	1,795.	W	33.	88.	S
388	A	SLA AKU4WW TESLA INC TSLA Sep 04 2020 410.0 Put 1	1,214.	1,206.	BYPASS8949		8.	S
389	A	SLA AKUBP50 TESLA INC TSLA Sep 11 2020 330.0 Put 1	1,949.	1,851.	BYPASS8949		98.	S
390	A	SLA AKUBR88 TESLA INC TSLA Sep 11 2020 550.0 Put 1	1,356.	1,551.	BYPASS8949		-195.	S
391	A	SLA AKVFJYC TESLA INC TSLA Jun 19 2020 1020.0 Call 5	1,897.	5,485.	W	3,589.	1.	S
392	A	SLA AKVFJYC TESLA INC TSLA Jun 19 2020 1020.0 Call 10	4,563.	11,096.	W	3,126.	-3,407.	S
393	A	SLA AKW2B30 TESLA INC TSLA Jul 02 2020 1050.0 Call 1	1,999.	1,691.	BYPASS8949		308.	S
394	A	SLA AKW2BNC TESLA INC TSLA Jul 02 2020 1110.0 Call 1	2,299.	2,056.	BYPASS8949		243.	S
395	A	SLA AKW2HBW TESLA INC TSLA Jul 02 2020 1220.0 Call 2	2,519.	2,021.	BYPASS8949		498.	S
396	A	SLA AKWAA5W TESLA INC TSLA Jul 10 2020 1480.0 Call 2	3,999.	3,291.	BYPASS8949		708.	S
397	A	SLA AKWABF4 TESLA INC TSLA Jul 10 2020 1480.0 Call 2	2,799.	2,309.	BYPASS8949		490.	S
398	A	SLA AKWRXC6 TESLA INC TSLA Jul 17 2020 1080.0 Call 1	1,199.	2,996.	BYPASS8949		-1,797.	S
399	A	SLA AKWSN40 TESLA INC TSLA Aug 28 2020 2220.0 Call 3	5,098.	5,041.	W	150.	207.	S
400	A	SLA AKWSJA6 TESLA INC TSLA Aug 28 2020 2580.0 Call 10	5,743.	5,407.	BYPASS8949		336.	S
401	A	TD AKA3UU8 THE TRADE DESK INC TTD Jan 03 2020 270.0 Call 1	329.	316.	BYPASS8949		13.	S
402	A	TD AKB1LZ0 THE TRADE DESK INC TTD Feb 07 2020 265.0 Call 1	599.	674.	BYPASS8949		-75.	S
403	A	TD AKB7KEC THE TRADE DESK INC TTD Feb 07 2020 360.0 Call 3	503.	1,025.	W	157.	-365.	S
404	A	TD AKB7QB8 THE TRADE DESK INC TTD Feb 07 2020 315.0 Call 4	617.	1,129.	BYPASS8949		-512.	S
405	A	TD AKDUAJ6 THE TRADE DESK INC TTD Apr 24 2020 250.0 Call 8	4,875.	5,959.	BYPASS8949		-1,084.	S
406	A	TD AKN7L7V THE TRADE DESK INC TTD Feb 07 2020 275.0 Put 1	184.	183.	BYPASS8949		1.	S
407	A	TWLO AKEBBVK TWILIO INC TWLO May 08 2020 155.0 Call 1	749.	520.	BYPASS8949		229.	S
408	A	TWLO AKEBDZ4 TWILIO INC TWLO May 08 2020 175.0 Call 2	519.	611.	BYPASS8949		-92.	S
409	A	UAL KGEZJK UNITED AIRLINES HOLDINGS UAL Jul 02 2020 30.0 Call 5	87.	501.	BYPASS8949		-414.	S
410	A	UHG AKBLK8 UNITEDHEALTH GROUP INC UNH Feb 21 2020 305.0 Call 1	164.	157.	BYPASS8949		7.	S

Form 1099-B Worksheet (TD Ameritrade Clearing, Inc.)

RQUICK **Continuation Statement**

411	A	W	AVFJNS UNITEHEALTH GROUP INC UNH Jun 19 2020 300.0 Call 1	199.	151.	BYPASS8949		48.	S
412	A		/7788T103 ROYAL CARIBBEAN GROUP COM 100	3,330.	3,331.	W	1.	0.	S
413	A		/7788T103 ROYAL CARIBBEAN GROUP COM 200	6,654.	6,629.	BYPASS8949		25.	S
414	A		/7788T103 ROYAL CARIBBEAN GROUP COM 1500	83,581.	83,714.	W	103.	-30.	S
415	A		AVFJFTG WAYFAIR INC W Jun 19 2020 205.0 Call 2	839.	799.	BYPASS8949		40.	S
416	A		AWQFDWD WAYFAIR INC W Mayj 15 2020 190.0 Put 1	749.	727.	BYPASS8949		22.	S
417	A		QFEV KL13JS XPENG INC XPEV Dec 18 2020 45.0 Call 1	224.	198.	BYPASS8949		26.	S
418	A	P	AVEJN6 ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 180.0 Call 1	979.	691.	BYPASS8949		288.	S
419	A	P	AVFH80 ZOOM VIDEO COMMUNICATIONS INC ZM Jun 05 2020 225.0 Call 1	499.	416.	BYPASS8949		83.	S
420	A	P	AVL4Y0 ZOOM VIDEO COMMUNICATIONS INC ZM Sep 04 2020 440.0 Call 2	3,704.	3,399.	BYPASS8949		305.	S
421	A	P	AVL4YQ0 ZOOM VIDEO COMMUNICATIONS INC ZM Sep 04 2020 450.0 Call 2	3,239.	3,085.	BYPASS8949		154.	S
422	A	P	AWYQQ ZOOM VIDEO COMMUNICATIONS INC ZM Apr 24 2020 185.0 Put 1	429.	334.	BYPASS8949		95.	S
423	A	P	AWJGA0 ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 190.0 Put 10	6,996.	16,655.	W	6,070.	-3,589.	S
424	A	P	AWJGA0 ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 190.0 Put 15	8,958.	10,809.	BYPASS8949		-1,851.	S
425	A	P	AWJJB5 ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 145.0 Put 1	1,059.	1,051.	BYPASS8949		8.	S
426	A	P	AWJJN0 ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 150.0 Put 1	1,159.	1,141.	W	1.	19.	S
427	A	P	AWJJN0 ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 150.0 Put 27	11,607.	11,701.	W	183.	89.	S
428	A	P	AWSFT0 ZOOM VIDEO COMMUNICATIONS INC ZM Jun 05 2020 205.0 Put 1	142.	235.	BYPASS8949		-93.	S
429	A	S	AETHY0 ZSCALER INC ZS May 29 2020 90.0 Call 3	1,108.	857.	BYPASS8949		251.	S

2020 VA760CG Page 1

Individual Income Tax Return

SRIDHAR GUTHA
VASU GUTHA
24940 HAUGHTON SQ

CHANTILLY VA 201524146

SSN - You	GUTH	577479214	Vendor ID	1555	XXXXX
SSN - Spouse	GUTH	579518158			
Fed Adj Gross Income (FAGI)	1.	164788.	Withholding (VA) - You	19A.	4068.
Additions	2.		Withholding (VA) - Spouse	19B.	4125.
Subtotal	3.	164788.	Estimated Payments	20.	
Age Deduction - You	4A.		2019 Overpayment	21.	
Age Deduction - Spouse	4B.		Extension Payments	22.	
Soc Sec & Tier 1 Railroad	5.		Credit - Low-Income or EIC	23.	
State Income Tax Overpayment	6.		Credit - Schedule OSC	24.	
Subtractions	7.		Credits - Schedule CR	25.	
Subtotal Subtractions	8.		Total Payments / Credits	26.	8193.
Total VA Adj Gross Income (VAGI)	9.	164788.	Tax You Owe	27.	
Itemized Deductions - VA Sch A	10.		Tax Overpayment	28.	18.
Standard Deduction	11.	9000.	Overpayment Credited to Next Year	29.	
Exemptions	12.	3720.	VAC - Virginia 529 / ABLEnow	30.	
Deductions	13.	915.	VAC - Other Contributions	31.	
Subtotal (Deductions & Exemptions)	14.	13635.	Addition to Tax, Penalty & Interest	32.	
VA Taxable Income	15.	151153.	Sales and Use Tax	33.	
Amount of Tax	16.	8434.	**Amount You Owe**		
Spouse Tax Adjustment (STA)	17.	259.	Will Pay by Credit/Debit Card	N	
VAGI - Spouse	17A.	83193.	**Your Refund**		18.
Net Amount of Tax	18.	8175.	Bank Routing #	C	054000030
			Bank Account #		5559620297

_____ ___LAR ___DLAR ___DTD ___LTD $_____

REV 08/05/21 INTUIT.CG.CFP.SP

Filing Status, Age & License Information

Filing Status	2
Federal Head of Household	
DOB - You	08101978
VA Driver's License ID - You	A60840270
VA Driver's License - Iss. Date - You	01292020
Spouse Name (Filing Status 3 Only)	
DOB - Spouse	01011981
VA Driver's License ID - Spouse	B66063791
VA Driver's License - Iss. Date - Spouse	11232020

Additional Filing Information

Locality	059
Name or Filing Status Change	
Address Change	X
VA Return Not Filed Last Year	
Dependent on Another's Return	
Farmer / Fisherman / Merchant Seaman	
Amended	
Reason Code	
Overseas on Due Date	
Federal EIC & Amount	
Deceased Indicator	
No Sales & Use Tax Due Indicator	X
Obtain Electronic 1099G	
ID Theft PIN	

Exemptions (A)

You	1
Spouse	1
Dependents	2
Total (A)	4

Exemptions (B)

65 & Over - You	
65 & Over - Spouse	
Blind - You	
Blind - Spouse	
Total (B)	

Contact Information

I (We), the undersigned, declare under penalty of law that I (we) have examined this return & to the best of my (our) knowledge, it is a true, correct & complete return. If you are requesting direct deposit of your refund by providing bank information on your return, you are certifying that the information provided is for a domestic account within the territorial jurisdiction of the United States.

Signature - You	Date	Phone - You	5712944787
Signature - Spouse	Date	Phone - Spouse	
Signature - Preparer SELF-PREPARED	Date	Phone - Preparer	
The Tax Department may discuss my/our return with my/our preparer.		Preparer Information	7

File by May 1, 2021
Include Page 1, Page 2 and all supporting 760CG documents.

2020 Schedule ADJ/CG 577479214

Additions

Interest on obligations (other state)	1.	
Other Additions		
Fixed Date Conformity	2A.	
	2B.	
	2C.	
Total Additions	3.	

Subtractions

Income (US obligations / securities)	4.	
Disability Income (wages) - You	5A.	
Disability Income (wages) - Spouse	5B.	
Other Subtractions		
Fixed Date Conformity	6A.	
6B. Code		
6C. Code		
6D. Code		
Total Subtractions	7.	

Deductions

8A.	101		915.
8B.			
8C.			
Total Deductions	9.		915.

Claiming More Adjustments - Schedule ADJS

Low-Income Credit or VA EIC

Family	Name	SSN	VAGI
You			
Spouse			
Dependent			
Dependent			
Total Family VAGI		10.	

Low-Income Credit or VA EIC (con't)

Total Exemptions	11.
# of Personal Exemptions	12.
Total Exemptions Amount or $0	13.
Federal EIC	14.
20% of Line 14	15.
Greater of Line 13 or Line 15	16.
Credit	17.

Addition to Tax, Penalty & Interest

Addition to Tax	18.
Form 760C Addition	
Form 760F Addition	
Penalty	19.
Late Filing Penalty	
Extension Penalty	
Interest	20.
Total Adjustments	21.

2020 Schedule INC/CG 577479214

Report all W-2s, 1099s & VK-1s with VA Withholding

SRIDHAR GUTHA

VASU GUTHA

Your/ Spouse SSN	Withholding Type	VA Withholding	Employer FEIN	VA Account Number	VA Wages, tips, other comp.
577479214	W	4068.	223703452	30223703452F001	84884.
579518158	W	4125.	232548886	30232548886F00	83193.

Total VA Withholding	SSN	VA Withholding
You	577479214	4068.
Spouse	579518158	4125.
Total # of W-2s,1099s & VK-1s	02	

To avoid delays - be sure to enter all information, including the Employer's FEIN.

FDC Worksheet
Fixed Date Conformity Modifications to Itemized Deductions 2020

► Keep for your records

Name	Social Security Number
sridhar & vasu gutha	577-47-9214

Computation of Fixed Date Conformity Federal Adjusted Gross Income

1	Federal Adjusted Gross Income (FAGI) from federal return	**1**	
2	Fixed date conformity additions	**2**	
3	Subtotal. Add line 1 and line 2	**3**	
4	Fixed date conformity subtractions	**4**	
5	Fixed date conformity FAGI. Subtract line 4 from line 3	**5**	

LIMITED ITEMIZED DEDUCTION WORKSHEET

Part A - Compute Your Itemized Deduction Limitation

Complete this worksheet after completing Lines 1-16 of the Virginia Schedule A.

All taxpayers must complete Lines 1-11 of this worksheet as though they were residents of Virginia for the entire taxable year. If your filing status is different for federal and Virginia purposes, see instructions.

1	Total amount from Virginia Schedule A, Lines 4, 5a (not to exceed $10,000 or $5,000 if married filing separately), 5b, 5c, 6, 10, 14, 15 and 16c	**1**	
2	Enter the total amount from Virginia Schedule A, Lines 4, 9, and 15 plus any gambling losses included on Line 16a	**2**	
3	Subtract Line 2 from Line 1. If the result is zero or less, the limitation does not apply. Stop here and follow the instructions for Line 17 of Virginia Schedule A	**3**	
4	Multiply Line 3 above by 80% (0.80)	**4**	
5	Enter the total from Line 1 of Form 760, Form 760PY, or Form 763 (or amount from line 5 of FDC Worksheet)	**5**	
6	Enter $326,050 if filing jointly or qualifying widow(er). $298,850 if head of household, $271,700 if single, or $163,025 if married filing a separate return	**6**	
7	Subtract Line 6 from Line 5. If the result is zero or less, stop here, the limitation does not apply. Stop here and follow the instructions for line 17 of Virginia Schedule A	**7**	
8	Multiply Line 7 above by 3% (0.03)	**8**	
9	Enter the smaller of Line 4 or Line 8	**9**	
10	Enter the amount from Line 3 above	**10**	
11	Divide Line 9 by Line 10. Enter the result to 3 decimal places	**11**	
12	Limited Itemized Deduction Total		
a	Resident (Form 760) and Nonresident (Form 763) filers: Subtract Line 9 from Line 1 and enter here and on Virginia Schedule A, Line 17. Continue on to Part B of the worksheet	**12a**	
b	Part-Year Resident (Form 760PY) filers: Enter only the itemized deductions paid while a Virginia resident on Virginia Schedule A. Use the steps below to compute the amount to enter on Line 17 of Virginia Schedule A if you are subject to the itemized deduction limitation.		
1)	Enter the total amount from Virginia Schedule A Lines 4, 5a (not to exceed $10,000 or $5,000 if married filing separately), 5b, 5c, 6, 10, 14, 15, and 16c **1**		
2)	Multiply the total amount from Virginia Schedule A, Lines 5a (not to exceed $10,000 or $5,000 if married filing separately), 5b, 5c, 6, 8e, 14 and 16c (minus any gambling losses reported on Line 16a) by Line 11 **2**		
3)	Subtract Line 2 from Line 1. Enter here and on Virginia Schedule A, Line 17	**12b**	

Part B - Compute Your State and Local Income Tax Modification

13	Enter the state and local income tax from Virginia Schedule A, Line 5a (not to exceed $10,000 or $5,000 if married filing separately). Part year residents enter only the amount paid while a resident. For foreign tax, see instructions	**13**	
14	Multiply Line 13 by Line 11	**14**	
15	Subtract Line 14 from Line 13. Enter here and on Virginia Schedule A, Line 18	**15**	

Other Additions Statement 2020

Name	Social Security Number
sridhar & vasu gutha	577-47-9214

Form 760PY filers - Enter the amount attributed to the period of your Virginia residency.

Code	Description	Spouse (for 760 filing status 2 or 760PY filing status 4 only)	Taxpayer (for all filing statuses)
10	Interest of federally exempt U.S. obligations		
11	Accumulation distribution income		
12	Lump-sum distribution income		
14	Income from dealer disposition of property		
17	First-time home buyer savings account distributions		
18	Food Crop Donations		
19	Federal Partnership Income Addition		
99	Other. Describe:		
	Total Other Additions		

VAIW0101.SCR 04/01/21

Other Subtractions Statement 2020

Name	Social Security Number
sridhar & vasu gutha	577-47-9214

Form 760PY filers - Enter the amount attributed to the period of your Virginia residency.

Code	Description	Spouse (for 760 filing status 2 or 760PY filing status 4 only)	Taxpayer (for all filing statuses)
20	Income from Virginia Obligations		
21	Federal Work Opportunity Tax Credit Wages		
22	Tier 2 and Other Railroad Retirement and Railroad Unemployment Benefits		
	Check here to confirm the Tier 2 RRB above is correct ► ☐		
24	Virginia Lottery prizes		
28	Virginia National Guard Income		
30	Military pay and allowances attributable to active duty service in a combat zone or a qualified hazardous duty area		
31	Retirement plan income previously taxed by another state		
34	Virginia College Savings Plan or ABLEnow Income Distribution or Refund		
37	Unemployment Compensation Benefits		
38	Military Basic Pay		
39	Federal and State Employees		
40	Income Received by Holocaust Victims		
44	Congressional Medal of Honor Recipients		
49	Certain Death Benefit Payments		
50	Pass-Through Entity Income		
51	Gains from Land Preservation		
52	Long-Term Capital Gain		
53	Historic Rehabilitation		
54	First-time home buyer savings account distributions		
55	Discharge of Student Loans		
56	Virginia Venture Capital Account		
57	Real Estate Investment Trust		
58	Gain from Eminent Domain		
59	Federal Partnership Income Subtraction		
99	Other. Describe:		
	Total Other Subtractions		

Fixed Date Conformity Subtractions - Other

		Spouse	Taxpayer
A	Applicable High Yield Discount Obligation modification		

B	Cancellation of Debt Income modification		
C	Other changes as a result of Virginia's conformity to Internal Revenue Code as it existed on December 31, 2020		
	Total Other Fixed Date Conformity Subtractions		

Deductions Statement 2020

Name	Social Security Number
sridhar & vasu gutha	577-47-9214

Form 760PY filers - Enter the amount attributed to the period of your Virginia residency.

Code	Description	Spouse (for 760 filing status 2 or 760PY filing status 4 only)	Taxpayer (for all filing statuses)
101	Child and dependent care expenses		915.
	Information for Foster Care Deduction:		
	Name of each foster child claimed for this subtraction:		
102	Foster care deduction ($1,000 times number of foster children claimed)		
103	Bone marrow screening fee		
104	Virginia529 Payments and Account Contributions:		
	Total contributions deductible in 2020		
105	Continuing teacher education:		
	Tuition costs not deducted on federal return		
	Allowable deduction. Multiply tuition paid by 20%		
106	Long-term health care premiums		
107	Virginia public school construction grants program and fund		
108	Tobacco quota buyout		
109	Sales tax paid on certain energy efficient equipment or appliances:		
	Actual sales tax paid on qualified equipment		
	Multiply sales tax paid by 20%		
	Allowable deduction amount		
110	Organ and tissue donor expenses		
111	Charitable mileage deduction difference between:		
	☐ $.18 per mile _____ less charitable mileage deduction _____		
	or		
	☐ $.18 per mile _____ less actual expense _____		
	Total charitable mileage deductions		
112	Virginia Bank Franchise Tax deduction		
113	Income from Dealer Disposition of Property		
114	Prepaid funeral, medical or dental insurance premiums		
115	ABLEnow Account Contributions		
116	Business interest deduction		
117	Business interest deduction recovery		
199	Other. Describe:		
	Total deductions		915.

Virginia Information Worksheet 2020

► Keep for your records

Part I — Personal Information

Taxpayer:

First Name . . . . . sridhar
Last Name . . . . . gutha
Middle Initial . . . . . Suffix . .
Social Security No . . . 577-47-9214
Date of Birth 08/10/1978
Date of Death . . . . .
VA Driver's License/VA ID No . A60840270
VA DL/VA ID Issue Date 01/29/2020
E-mail Address . . . . .
Daytime Phone . . . . . (571)294-4787 * [X]
Home Phone . . . . . * [ ]

Spouse:

First Name . . . . . vasu
Last Name . . . . . gutha
Middle Initial . . . . . Suffix . .
Social Security No . . . 579-51-8158
Date of Birth 01/01/1981
Date of Death . . . . .
VA Driver's License/VA ID No . . B66063791
VA DL/VA ID Issue Date 11/23/2020
E-mail Address . . . . .
Daytime Phone . . . . . * [ ]

** Check a box to print daytime and/or home phone numbers on the return.*

Address . . . . . 24940 Haughton Sq Apartment Number . . .
City . . . . . Chantilly State . . . VA ZIP Code . . . 20152-4146
Locality * . . . . . Fairfax County City [ ] County [X]

** Select a Virginia city or county you were a resident of on January 1, 2021.*
If nonresident, select a city or county where the Virginia source income was located (see help).

Part II — Main Form

[X] Form 760: Resident Tax Return . . . . . ►
[ ] Form 760PY: Part-Year Resident Tax Return . . . . . ►
[ ] Form 763: Nonresident Tax Return . . . . . ►
[ ] Form 763S: Special Nonresident Claim for Income Tax Withheld . . . . . Taxpayer ►
Spouse ►

Nonresident	**Taxpayer**	**Spouse**
• Enter state of residence		

Part-Year Resident

• If you moved out of Virginia during 2020, enter date you moved out		
• If you moved into Virginia during 2020, enter date you moved in		
• Choose the state from where you moved from or move to		
• Part-year residency ratio		

Part III — Filing Status

Resident	**Part-Year Resident**	**Nonresident**
[ ] **1** = Single	[ ] **1** = Single	[ ] **1** = Single
[X] **2** = Married, joint	[ ] **2** = Married, joint	[ ] **2** = Married, joint
[ ] **3** = Married, separate	[ ] **3** = Married, separate	[ ] **3** = Married, spouse no income
	[ ] **4** = Married, combined separate	[ ] **4** = Married, separate

Low Income Credit

[ ] Check if married Filing Separate and spouse is claiming the low income credit

Part IV — Other Information

Identity Protection PIN: *(must be 7 characters in length)*

If the Virginia Department of Revenue sent the taxpayer or spouse an Identity PIN, enter it below.
(**Note:** The **Virginia** Identity PIN is not the **IRS** Identity PIN)
(**Note:** Only one Virginia Identity PIN is required for joint filers, even if both filers are issued a PIN)

[ ] You agree to obtain Form 1099-G income tax refund statement electronically at www.tax.virginia.gov
[ ] You mail your return directly to the state of Virginia
[X] Your address is different from last year
[ ] Your name or filing status is different from last year
[ ] You did not file a Virginia return last year
[ ] You are a Virginia resident who has income from **only one** of these states that borders Virginia: Kentucky, Maryland, North Carolina or West Virginia, and your only income from the border state was earned income on wages and salaries or business income reported on federal Schedule C.

Part IV – Other Information (continued)

Farmers and Fishermen

[ ] You are self-employed in farming/fishing or a merchant seaman
[ ] Return will be filed and tax due will be paid by April 15, 2021

Sales & Use Tax Information

Yes	No	
[ ]	[X]	**Did you purchase merchandise from retailers in 2020 for use in Virginia and not pay retail sales and use tax? If yes, you owe Virginia and must pay the tax. Enter purchases below.**

Enter total cost of food and personal hygiene products purchased . . . . .
Enter total cost of general merchandise items purchased . . . . .
Check this box if home is in Northern Virginia, Hampton Roads or Central Virginia regions affected by increase of Use Tax Rate to 6% (otherwise rate is 5.3%) . . . . . [X]
Check this box if home is in Halifax County affected by increase of Use Tax Rate to 6.3% (otherwise rate is 5.3%) . . . . . [ ]
Check this box if home is in Historic Triangle region affected by increase of Use Tax Rate to 7% (otherwise rate is 5.3%) . . . . . [ ]

Mandatory Electronic Payments

[ ] You are required to make Virginia tax payments electronically
[ ] Force print all payment vouchers even if required to pay electronically

Underpayment Penalty Information

Enter last year's Virginia adjusted gross income . . . . . 142,202.
Enter last year's deductions . . . . . 9,035.
Enter last year's nonrefundable credits . . . . .
Enter last year's total tax liability before credits . . . . . 7,132.
Enter last year's spouse tax adjustment credit (if you filed Form 760 last year) . . . . . 259.

Part V – Direct Deposit Information or Direct Debit Information

Yes	No	
[X]	[ ]	Do you want to elect direct deposit of state tax refund?

Important If you answered **No** to direct deposit, your state refund will be issued on a paper check. The Virginia Department of Taxation no longer issues debit cards.

[ ] [ ] Do you want to elect direct debit of state tax payment (Electronic Filing Only)?
Note: Direct debit occurs upon acceptance date

[ ] [ ] Do you want to pay the amount you owe by credit/debit card?
Note: Payment occurs upon acceptance date

International ACH Transactions:

[ ] [X] Will the fund go to or originate from an account outside the U.S.?
Virginia does not currently support International ACH transactions.

If you answered **No** to International ACH Transactions, fill out the information below:

Name of Financial Institution (optional) . . . . . ► sridhar gutha

Check the appropriate box:

[X] Checking
[ ] Savings

Routing number . . . . . ► 054000030
Account number . . . . . ► 5559620297

Enter the date to withdraw from the account above (***Caution:*** *See help for date to enter*) . . . .
State balance-due amount from this return . . . . .

Part VI – Extension Status

Yes	No	
[ ]	[X]	Has the tax return due date been extended for a six month extension?

Extended due date . . . . .
QuickZoom to Form 760-IP Automatic Extension Payment . . . . . ►

Part VII — Amended Return

☐ You are filing a Virginia amended return

____ Reason you are filing a Virginia amended return

Enter the tax year you are amending . . . . . ____

Previous Virginia payment made . . . . . ____

Previous Virginia refund received . . . . . ____

If amending a current year return, **QuickZoom** to Worksheet for Amended Returns to determine if you are due a refund, or need to make an additional payment . . . . . ►

QuickZoom to Form 760 . . . . . ►

QuickZoom to Form 760PY . . . . . ►

QuickZoom to Form 763 . . . . . ►

QuickZoom to Form 763S (Taxpayer) . . . . . ►

QuickZoom to Form 763S (Spouse) . . . . . ►

Age Deduction Worksheet 2020

For taxpayers born on or between January 2, 1939 and January 1, 1956

Name(s) Shown on Return	Your Social Security Number

Checklist for Married Filing Separate Filers Claiming Age Deduction

- ☑ Check if spouse claims the credit for low income or the Virginia Earned Income Credit. . . . . ► ☐
- ☑ Check if spouse files a Virginia return and claims an income-based age deduction. . . . . ► ☐
- ☑ Report spouse income in Taxpayer/Spouse Allocation Worksheet . . . . . ►

1	Enter the number of taxpayers born on or between January 2, 1939 and January 1, 1956, who are claiming an income-based age deduction	
2	Federal Adjusted Gross Income (FAGI) - include spouse amount if married	
3	Fixed date conformity (FDC) addition - include spouse amount if married	
4	Add line 2 and line 3	
5	Fixed date conformity (FDC) subtraction - include spouse amount if married	
6	Subtract line 5 from line 4	
7	Social Security and Tier 1 Railroad Benefits - include spouse amount if married	
8	**Adjusted Federal Adjusted Gross Income** (AFAGI). Subtract line 7 from line 6	
9	Income limit for age deduction (Single $50,000; Married $75,000)	
10	If line 8 is less than line 9, your AFAGI is below the threshold. Single, enter $12,000. Married, enter $12,000 for each spouse claiming a deduction. This is your age deduction . . . . . **You** / **Spouse**	
11	If line 8 is greater than line 9, subtract line 9 from line 8	
12	Multiply line 1 by $12,000 . . . . . If line 11 is greater than line 12, **STOP** here. **You do not qualify for a deduction**.	
13	If line 12 is greater than line 11, subtract line 11 from line 12	
14	Single or married with only one spouse claiming an income-based age deduction: Enter the amount from line 13. This is your age deduction	
15	Married taxpayers and both spouses are claiming an income-based age deduction: Divide line 13 by 2 . . . . . **You** / **Spouse**	

Tax Payments Worksheet 2020

► Keep for your records

Name	Social Security Number
sridhar & vasu gutha	577-47-9214

Tax Payments for the Current Year

		Date	Payment
1	First Payment		
2	Second Payment		
3	Third Payment		
4	Fourth Payment		
	Additional Payments		
5 a	Payment		
b	Payment		
c	Payment		
d	Payment		
e	Payment		
6	Overpayment from previous year applied to 2020		
7	Amount paid with current year extension		
8	**Total tax payments**. Add lines 1 through 7		

Income Taxes Withheld for the Current Year

		Spouse	Taxpayer
9	State withholding on Forms W-2	4,125.	4,068.
10	State withholding on Forms W-2G		
11	State withholding on Forms 1099-R		
12 a	State withholding on Forms 1099-MISC		
b	State withholding on Forms 1099-NEC		
c	State withholding on Forms 1099-G		
d	State withholding on Forms 1099-INT		
e	State withholding on Forms 1099-K		
13 a	Withholding from Schedule VK-1		
b	Other state tax withholding		
	☐ If Schedule VK-1 withholding is included on both lines 13a and 13b, either check this box or enter the Schedule VK-1 withholding as a negative amount here ►		
14	**Total income tax withheld**	4,125.	4,068.
15	Date return will be filed and balance paid		

Worksheet for Spouse Tax Adjustment and Virginia Taxable Income Allocation

2020

► Keep for your records

Name	Social Security Number
sridhar & vasu gutha	577-47-9214

Part 1 — Separate Income and Exemptions		Taxpayer	Spouse
1	Federal adjusted gross income	81,595.	83,193.
2	Additions:		
a	Fixed date conformity additions		
b	Interest and obligations of other states		
c	Other additions		
d	Total additions. Add lines 2a, 2b and 2c		
3	Subtotal. Add lines 1 and 2d	81,595.	83,193.
4	Age Deduction		
5	Social Security Act and Tier 1 Railroad Retirement Act Benefits		
6	State income tax refund or overpayment credit reported as income on your federal return		
7	Other subtractions:		
a	Fixed date conformity subtractions		
b	Income from obligations or securities of the United States		
c	Disability income reported as wages		
d	Other subtractions		
e	Add lines 7a through 7d		
8	Total subtractions. Add lines 4, 5, 6 and 7e		
9	**Virginia Adjusted Gross Income (VAGI)**. Subtract line 8 from line 3. Enter here and Spouse amount on Form 760, line 17a	81,595.	83,193.
10	Personal exemptions:		
	You $930 Plus 65 or over ☐ Blind ☐ = 0 x $800 =	930.	
	Spouse $930 Plus 65 or over ☐ Blind ☐ = 0 x $800 =		930.
11	Subtract line 10 from line 9. **If either amount is 0 or less, STOP**; you do not qualify for this credit	80,665.	82,263.

Part 2 — Virginia Taxable Income Allocation

Complete lines 12 through 15 if the taxpayer or spouse is claiming a credit for tax paid to another state, and filed a separate return with the other state.

12	Standard or itemized deduction amount	9,000.	
13 a	Enter number of dependents to allocate to each spouse	2	
b	Dependent exemptions: $930 x number of dependents on line 13a	1,860.	
14	Deductions from VAGI	915.	
15	**Virginia Taxable Income**. Line 11 minus lines 12, 13b and 14	68,890.	82,263.

Part 3 — Spouse Tax Adjustment

16	Enter the taxable income from line 15 of Form 760	151,153.
17	Enter the smaller amount from line 11 above. If this amount is larger than $17,000 and line 16 is larger than $34,000, skip to line 24 and enter $259 as the credit	80,665.
18	Subtract line 17 from line 16 (if $0 or less, enter $0)	
19	Divide the amount on line 16 by 2	
20	Enter the tax on the **smaller** of line 17 or line 19	
21	Enter the tax on the **larger** of line 18 or line 19	
22	Add lines 20 and 21	
23	Enter the tax from line 16 of Form 760	
24	**Tax Adjustment:** Subtract line 22 from line 23. *Also enter on Form 760, line 17*	259.

Taxpayer/Spouse Allocation Worksheet 2020

► Keep for your records

Name	Social Security No.
sridhar & vasu gutha	577-47-9214

Part 1 — Income and Adjustments		Column A **Taxpayer**	Column B **Spouse**
1	Wages, salaries, tips, etc	84,884.	83,193.
2	Taxable interest income	1.	
3	Dividend income		
4	Taxable refunds, credits or offsets of state and local income taxes		
5	Alimony received		
6	Business income or (loss)		
7	Capital gain or (loss)	-3,000.	
8	Other gains or (losses)		
9	Taxable amount of IRA distributions		
10	Taxable amount of pensions and annuities		
11	Rents, royalties, partnerships, estates, trusts		
12	Farm income or (loss)		
13	Unemployment compensation		
14	Taxable social security benefits		
15	Other income		
16	**Total income** (add lines 1 through 15)	81,885.	83,193.
17	Educator expenses		
18	Expenses of reservists, performing artists, fee-based govt officials		
19	Health savings account deduction		
20	Moving expenses		
21	Deductible part of self-employment tax		
22	Self-employed SEP, SIMPLE, and qualified plans		
23	Self-employed health insurance deduction		
24	Penalty on early withdrawal of savings		
25	Alimony paid		
26	IRA deduction		
27	Student loan interest deduction		
28	Tuition and fees deduction		
29	Other adjustments	290.	0.
30	**Total adjustments to income** (add lines 17 through 29)	290.	0.
31	**Federal adjusted gross income** (line 16 minus line 30)	81,595.	83,193.

Part 2 — Fixed Date Conformity Adjustments			
1a	Unemployment Comp Exclusion Fixed Date Conformity addition		
1b	Other Fixed Date Conformity additions		
2	Fixed Date Conformity subtraction (above from depreciation adjustment plus any Other Additions Statement and Other Subtractions Statement manual entries)		

Low Income Credit Worksheet 2020

► Keep for your records

Name(s) Shown on Return	Your Social Security Number
sridhar & vasu gutha	577-47-9214

Part I – Family VAGI Calculations

	Name	Social Security Number	VA Adjusted Gross Income
a Taxpayer	sridhar gutha	577-47-9214	81,595.
b Spouse*	vasu gutha	579-51-8158	83,193.
c Dependent	aarush gutha	806-98-0027	0.
d Dependent	pranavi gutha	857-98-7005	0.
e Dependent			
f Dependent			
g Dependent			
h Dependent			
i Dependent			
j Dependent			
k Dependent			
l Dependent			

* Note: If married filing separate, enter spouse's Virginia adjusted gross income (enter 0 if none)

1	Total number of exemptions	4
2	Total family VAGI (Virginia adjusted gross income)	164,788.

Go to Part II to see if you qualify for a Low Income Credit.

Part II – Low Income Credit and Virginia Earned Income Credit Eligibility

☐ You are **not** eligible for the **low income credit** and the **Virginia earned income credit** because your filing status is married filing separate and your spouse is claiming low income credit

☐ You are **not** eligible for the **low income credit** and the **Virginia earned income credit** due to one or more of the following reasons:

- Claiming age deduction
- Claiming additional exemption for blindness or age 65 and over
- Claiming Virginia National Guard subtraction (code 28)
- Claiming basic military pay subtraction (code 38)
- Claiming federal and state employee subtraction (code 39)
- Claimed as a dependent on another person's return

☒ You are **not** eligible for the **low income credit** because your family VAGI is greater than the federal poverty guideline amount below for your family size:

Eligible exemptions	Poverty Guideline
1	$ 12,760
2	17,240
3	21,720
4	26,200
5	30,680
6	35,160
7	39,640
8 *	44,120

* For each additional person, spouse or dependent exemption, add $4,480 to the poverty guideline amount

Federal/State Adjustment Summary 2020

Name as Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Schedule C	(A) Fed Income/ Loss Before Passive and At-Risk Adj	(B) Depreciation Adjustment	(C) Other Adjustments	(D) State Inc/ Loss Before Passive and At-Risk Limit	(E) State Inc/ Loss After Passive and At-Risk Limit	(F) Federal Inc/ Loss After Passive and At-Risk Limit

Total Schedule C Depreciation Adjustment (Sum of Column E less Column F) . . . . . .

Schedule E	(A) Fed Income/ Loss Before Passive and At-Risk Adj	(B) Depreciation Adjustment	(C) Other Adjustments	(D) State Inc/ Loss Before Passive and At-Risk Limit	(E) State Inc/ Loss After Passive and At-Risk Limit	(F) Federal Inc/ Loss After Passive and At-Risk Limit

Total Schedule E Depreciation Adjustment (Sum of Column E less Column F) . . . . . .

Schedule F	(A) Fed Income/ Loss Before Passive and At-Risk Adj	(B) Depreciation Adjustment	(C) Other Adjustments	(D) State Inc/ Loss Before Passive and At-Risk Limit	(E) State Inc/ Loss After Passive and At-Risk Limit	(F) Federal Inc/ Loss After Passive and At-Risk Limit

Total Schedule F Depreciation Adjustment (Sum of Column E less Column F) . . . . . .

Form 4835	(A) Fed Income/ Loss Before Passive and At-Risk Adj	(B) Depreciation Adjustment	(C) Other Adjustments	(D) State Inc/ Loss Before Passive and At-Risk Limit	(E) State Inc/ Loss After Passive and At-Risk Limit	(F) Federal Inc/ Loss After Passive and At-Risk Limit

Total Form 4835 Depreciation Adjustment (Sum of Column E less Column F) . . . . . .

Federal/State Adjustment Summary 2020

Name as Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Schedule K-1 Partnership	(A) Fed Income/ Loss Before Passive and At-Risk Adj	(B) Depreciation Adjustment	(C) Other Adjustments	(D) State Inc/ Loss Before Passive and At-Risk Limit	(E) State Inc/ Loss After Passive and At-Risk Limit	(F) Federal Inc/ Loss After Passive and At-Risk Limit

Total Schedule K-1 Partnership Depreciation Adjustment (Sum of Column E less Column F) . . _____

Schedule K-1 S Corporation	(A) Fed Income/ Loss Before Passive and At-Risk Adj	(B) Depreciation Adjustment	(C) Other Adjustments	(D) State Inc/ Loss Before Passive and At-Risk Limit	(E) State Inc/ Loss After Passive and At-Risk Limit	(F) Federal Inc/ Loss After Passive and At-Risk Limit

Total Schedule K-1 S Corporation Depreciation Adjustment (Sum of Col E less Col F) . . . . . _____

Schedule K-1 Estates & Trusts	(A) Fed Income/ Loss Before Passive and At-Risk Adj	(B) Depreciation Adjustment	(C) Other Adjustments	(D) State Inc/ Loss Before Passive and At-Risk Limit	(E) State Inc/ Loss After Passive and At-Risk Limit	(F) Federal Inc/ Loss After Passive and At-Risk Limit

Total Schedule K-1 Estates & Trusts Depreciation Adjustment (Sum of Col E less Col F) . . . . _____

Form 2106		(C) Depreciation Adjustment	(D) Other Adjustments	(E) Total Adjustment (Column C + Column D)

Total Form 2106 Depreciation Adjustment (Sum of Column E) . . . . . _____
Total Form 2106 Depreciation Adjustment to be Included in Adjusted Gross Income . . . . . _____
Total Form 2106 Schedule A Depreciation Adjustment **Not** Subject to 2% Limitation . . . . . _____
Total Form 2106 Schedule A Depreciation Adjustment Subject to 2% Limitation . . . . . _____

Federal/State Adjustment Summary 2020

Name as Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Schedule A		(C) Depreciation Adjustment	(D) Other Adjustments	(E) Total Adjustment (Column C + Column D)
Schedule A				

Total Schedule A Depreciation Adjustment (Sum of Column E) . . . . . .

Total Depreciation Adjustment

Depreciation Adjustment Included in Adjusted Gross Income . . . . . .
Depreciation Adjustment Included in Schedule A **Not** Subject to 2% Limitation . . . . . .
Depreciation Adjustment Included in Schedule A Subject to 2% Limitation . . . . . .

Asset Dispositions

(A) Description of Asset Sold		(B) If reported on, Ck Box:	(C) Federal Gain/Loss	(D) Accumulated Depreciation	(E) Gain Adjustment	(G) Total Adjustment (Col D (1) - Col D (2) + Column E + Column F)
		Form 6252		**(1)** State	**(F)** Other Adjustments	
Date Acq	Date Sold	Form 8824		**(2)** Federal		
		6252 ☐				
		8824 ☐				
		6252 ☐				
		8824 ☐				
		6252 ☐				
		8824 ☐				
		6252 ☐				
		8824 ☐				

Passive/At-Risk/Other Adjustments . . . . . .
Total Sale of Asset Adjustment . . . . . .

Section 179 Worksheet 2020

Name as Shown on Return	Social Security Number
sridhar & vasu gutha	577-47-9214

Section 179 Limitation

This worksheet calculates the allowable state Section 179 deduction. If the deduction is limited then the allowable Section 179 (Line 7) must be allocated back to the individual activities using the State Allowed columns below. The Section 179 amounts for Schedules C, E, F, K-1 Partnership, K-1 S Corporation, and Form 4835 are on the Activity Worksheet(s).

1	Federal taxable income computed for the Section 179 limitation	**1**	
	State adjustments:		
2	Depreciation adjustment (without Section 179)	**2**	
3	Section 1231 gain adjustment	**3**	
4	Other additions or subtractions to taxable income	**4**	
5	**State taxable income** for the Section 179 limitation (line 1 plus lines 2 - 4)	**5**	
6	Total Section 179 before limitation	**6**	
7	Section 179 allowable, if different	**7**	
8	Federal Section 179 allowed **8**		
9	**State Section 179 adjustment**	**9**	
10	Carryover to next year	**10**	

QuickZoom to Activity Worksheet ➡

Form 2106	P/Y Copy #	(A) Fed Total Section 179 Before Limitation	(B) Federal Net Section 179 After Limitation	(C) State Current Year Expense	(D) State Carryover From Prior Year	(E) State Total Section 179 Before Limitation

Form 2106 Section 179 Carryovers	(F) State Total Section 179 Before Limitation	(G) State Section 179 Allowed	(H) Carryover

Total Form 2106 Section 179 Adjustment (Column B minus Column G)

Schedule A

(A) Federal Total Section 179 Before Limitation	(B) Federal Net Section 179 After Limitation	(C) State Current Year Expense	(C) State Carryover From Prior Year	(D) State Total Section 179 Before Limitation	(E) State Section 179 Allowed	(F) State Section 179 Carryover To Next Year

Total Schedule A Section 179 Adjustment (Column B minus Column E)

Form **760ES**

Estimated Tax Worksheet

► Keep for your records

2021

Name(s) Shown on Return: sridhar & vasu gutha

Your Social Security Number: 577-47-9214

Part I 2021 Estimated Tax Amount Options

1 Select One of Six Ways to Calculate the Required Annual Payment for 2021 Estimates:

a	100% of **2020** taxes (default, see Tax Help)	X	8,175.
b	100% of tax on **2021** estimated taxable income		8,175.
c	90% of tax on **2021** estimated taxable income		7,358.
d	66-2/3% of tax on **2021** estimated taxable income (farmers and fishermen)		5,450.
e	Equal to 100% of overpayment (no vouchers)		18.
f	Enter total amount you want to use for estimates and check box ►		

2 Selected estimated tax amount:

a	**2021** Required Annual Payment based on your choice above	8,175.
b	Estimated amount of **2021** state income tax withholding	8,193.
c	**Total of estimated tax payments required for 2021** (line 2a less line 2b)	0.

3 Select Estimated Tax Payment option:

a	Calculate estimates if more than $150 (default)	X
b	Calculate estimates if _____ (specify amount) or more	
c	Calculate estimates regardless of amount	
d	Do **not** calculate estimates	

Part II Overpayment Application Options

1	Amount of overpayment available	18.

2 Select Overpayment Application Amount Option:

a	Apply none (refund entire overpayment)		X
b	Apply all (increase estimate if required)		
c	Apply to extent of total estimated tax and refund excess		
d	Apply to extent of first quarter amount and refund excess		
e	Enter amount you want to apply ►		
f	Amount applied to **2021** estimated tax	0.	
g	Overpayment to be refunded (line 1 less line 2f)	18.	

3 Select Overpayment Application Sequence:

a [X] ◄ Consecutively **b** [ ] ◄ Evenly

Part III Rounding and Printing Options

1 Select Rounding Option:

a [X] ◄ Round up to next $1 **b** [ ] ◄ Round up to next $10 **c** [ ] ◄ Round up to next $100 **d** [ ] ◄ Round to nearest $1

2 Select Voucher Printing Option:

a [X] ◄ Print (per Part I, lines 3a - c) **b** [ ] ◄ Print only name, etc. **c** [ ] ◄ Do **not** print vouchers

Part IV Estimated Tax Payment Summary

	1 May 1, 2021	**2** Jun 15, 2021	**3** Sep 15, 2021	**4** Jan 15, 2022	**Total**
1 If you have already made payments, enter amounts					
2 Indicate which payment is due next (e.g. if it is after May 1, 2021, check col 2)	X				
3 Required payment					
4 Overpayment applied					
5 Net payment due					
6 Voucher amounts					
QuickZoom to voucher ►					

Part V Changes to Income, Deductions and Withholding

2020 income and deductions are shown in the '2020 Actual' column.
***** *For each line in the '2021 Estimated' column, enter estimated 2021 amount if* **different** *from 2020. Otherwise, the '2020 Actual' amount will be used for that line. If zero, you* **must** *enter zero.*

Spouse (when using filing status 4 on Form 760PY)

		2020 Actual	2021 Estimated
A	Expected Virginia **adjusted gross income** subject to tax in 2021 (includes the age deduction plus additions to and subtractions from federal adjusted gross income)		
B	If you will itemize deductions on your 2021 federal return, enter the estimated total of those deductions allocated to spouse, less state and local income tax (Fixed Date Conformity adjustments should be made where applicable)		
C	Expected amount of qualifying child and dependent care expenses		
D	**Tax credits**		
E	**Withholdings** for the year		

Yourself

		2020 Actual	2021 Estimated
A	Expected Virginia **adjusted gross income** subject to tax in 2021 (includes the age deduction plus additions to and subtractions from federal adjusted gross income)	164,788.	
B	If you will itemize deductions on your 2021 federal return, enter the estimated total of those deductions allocated to taxpayer, less state and local income tax (Fixed Date Conformity adjustments should be made where applicable)		
C	Expected amount of qualifying child and dependent care expenses	915.	
D	**Tax credits**	259.	
E	**Withholdings** for the year	8,193.	
F	**Form 763 filers only:** Enter nonresident allocation percentage	%	%

Part VI 2021 Estimated Taxable Income and Tax

		A **Spouse Use** only when using filing status 4 on Form 760PY	B **Yourself** Use for all other filers
1	Expected Virginia **adjusted gross income** subject to tax in 2021 (includes the age deduction plus additions to and subtractions from federal adjusted gross income). **See Part I on page 1 to see if you are required to file Form 760ES**		164,788.
2a	If you will claim itemized deductions on your 2021 federal tax return, enter the estimated total of those deductions, less any state and local income tax		
	OR		
2b	If you will not itemize deductions, enter the standard deduction amount for your filing status: Single: $4,500, Married, filing joint or combined return: $9,000, Married, filing separately: $4,500		9,000.
3	Expected amount of qualifying child and dependent care expenses		915.
4	Personal exemptions (Personal exemptions X $930, Exemptions for "65 or over" & "Blind" X $800)		3,720.
5	Add line 2a **or** line 2b, line 3 and line 4		13,635.
6	**Estimated** Virginia **taxable income** (line 1 less line 5)		151,153.
7	Virginia **income tax** for amount on line 6		8,434.
8	**Tax adjustments**		259.
9	**Your estimated 2021 Virginia income tax** (line 7 less line 8)		8,175.
10	**Total estimated 2021 Virginia income tax** (line 9, column A plus column B)	**10**	8,175.

Tax Summary 2020

► Keep for your records

Name(s) sridhar & vasu gutha	
Federal adjusted gross income	164,788.
Additions to income	
Subtractions from income	
Standard/Itemized deductions	9,000.
Exemption amount	3,720.
Deductions	915.
Virginia taxable income	151,153.
Virginia income tax	8,175.
Total payments	8,193.
Overpayment amount	18.
Addition to tax, penalty and interest	
Overpayment credited to next year's estimated tax	
Consumer's use tax and voluntary contributions	
Amount you owe	
Refund	18.

Smart Worksheets from your 2020 Virginia Tax Return

SMART WORKSHEET FOR: Other Subtractions Statement

Basic Military Pay Smart Worksheet

• Total Basic Military Pay ►		
• Basic Military Pay eligible for subtraction ►		
• Was this basic military pay for military personnel on extended active duty for more than 90 days during the calendar year? ►	Yes [ ] No [ ]	Yes [ ] No [ ]

SMART WORKSHEET FOR: Deductions Statement

Prepaid Funeral, Medical or Dental Insurance Smart Worksheet

Eligibility Requirements	**Spouse**	**Taxpayer**
• Age	40	42
Age 66 or over?	[ ] Yes [X] No	[ ] Yes [X] No
• Earned income	83,193.	84,884.
Earned income is $20,000 or more	[X] Yes [ ] No	[X] Yes [ ] No
• Federal adjusted gross income	83,193.	81,595.
Federal adjusted gross income is $30,000 or less	[ ] Yes [X] No	[ ] Yes [X] No

A Enter premiums paid in 2020 for a prepaid funeral insurance policy . . . . . **Taxpayer** _____
Spouse _____

B Enter the total medical and dental insurance premiums paid in 2020 for taxpayer, spouse and dependents (do not include long-term care) . . . . . _____

C Enter the medical and dental portion of the self-employed health insurance premiums deducted on federal Schedule 1, line 16 . . . . . _____

D If taking federal itemized deductions, enter the medical and dental portion of the amount shown on federal Schedule A, line 4 . . . . . _____

E Add line C and line D . . . . . _____

F Subtract line E from line B. This is the Virginia basis of the medical and dental insurance premiums deduction . . . . . **Total** _____
Taxpayer _____
Spouse _____

G Add line A and line F, enter result under code 114 below.
This is your prepaid funeral, medical and dental insurance premiums deduction.

Form **1040** Department of the Treasury—Internal Revenue Service (99) **U.S. Individual Income Tax Return** | **2020** | OMB No. 1545-0074 | IRS Use Only—Do not write or staple in this space.

Filing Status Check only one box. ☐ Single ☒ Married filing jointly ☐ Married filing separately (MFS) ☐ Head of household (HOH) ☐ Qualifying widow(er) (QW)

If you checked the MFS box, enter the name of your spouse. If you checked the HOH or QW box, enter the child's name if the qualifying person is a child but not your dependent ►

Your first name and middle initial	Last name	Your social security number
sridhar	gutha	577-47-9214
If joint return, spouse's first name and middle initial	Last name	Spouse's social security number
vasu	gutha	579-51-8158

Home address (number and street). If you have a P.O. box, see instructions. 24940 Haughton Sq — Apt. no.

City, town, or post office. If you have a foreign address, also complete spaces below. Chantilly — State VA — ZIP code 201524146

Foreign country name — Foreign province/state/county — Foreign postal code

Presidential Election Campaign Check here if you, or your spouse if filing jointly, want $3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

At any time during 2020, did you receive, sell, send, exchange, or otherwise acquire any financial interest in any virtual currency? ☐ Yes ☒ No

Standard Deduction **Someone can claim:** ☐ You as a dependent ☐ Your spouse as a dependent ☐ Spouse itemizes on a separate return or you were a dual-status alien

Age/Blindness **You:** ☐ Were born before January 2, 1956 ☐ Are blind **Spouse:** ☐ Was born before January 2, 1956 ☐ Is blind

Dependents (see instructions): If more than four dependents, see instructions and check here ► ☐

(1) First name	Last name	(2) Social security number	(3) Relationship to you	(4) ✔ if qualifies for (see instructions): Child tax credit	Credit for other dependents
aarush	gutha	806-98-0027	Son	☒	☐
pranavi	gutha	857-98-7005	Daughter	☒	☐
				☐	☐
				☐	☐

Attach Sch. B if required.

1	Wages, salaries, tips, etc. Attach Form(s) W-2					1	168,077.
2a	Tax-exempt interest	2a		b	Taxable interest	2b	1.
3a	Qualified dividends	3a		b	Ordinary dividends	3b	
4a	IRA distributions	4a		b	Taxable amount	4b	
5a	Pensions and annuities	5a		b	Taxable amount	5b	
6a	Social security benefits	6a		b	Taxable amount	6b	
7	Capital gain or (loss). Attach Schedule D if required. If not required, check here ► ☐					7	-3,000.
8	Other income from Schedule 1, line 9					8	0.
9	Add lines 1, 2b, 3b, 4b, 5b, 6b, 7, and 8. This is your **total income** ►					9	165,078.
10	Adjustments to income:						
a	From Schedule 1, line 22	10a					
b	Charitable contributions if you take the standard deduction. See instructions	10b	290.				
c	Add lines 10a and 10b. These are your **total adjustments to income** ►					10c	290.
11	Subtract line 10c from line 9. This is your **adjusted gross income** ►					11	164,788.
12	**Standard deduction or itemized deductions** (from Schedule A)					12	24,800.
13	Qualified business income deduction. Attach Form 8995 or Form 8995-A					13	
14	Add lines 12 and 13					14	24,800.
15	**Taxable income.** Subtract line 14 from line 11. If zero or less, enter -0-					15	139,988.

Standard Deduction for—
- Single or Married filing separately, $12,400
- Married filing jointly or Qualifying widow(er), $24,800
- Head of household, $18,650
- If you checked any box under *Standard Deduction*, see instructions.

	16	**Tax** (see instructions). Check if any from Form(s): **1** ☐ 8814 **2** ☐ 4972 **3** ☐		16	22,377.
	17	Amount from Schedule 2, line 3		17	
	18	Add lines 16 and 17		18	22,377.
	19	Child tax credit or credit for other dependents		19	4,000.
	20	Amount from Schedule 3, line 7		20	183.
	21	Add lines 19 and 20		21	4,183.
	22	Subtract line 21 from line 18. If zero or less, enter -0-		22	18,194.
	23	Other taxes, including self-employment tax, from Schedule 2, line 10		23	0.
	24	Add lines 22 and 23. This is your **total tax** ▶		24	18,194.
	25	Federal income tax withheld from:			
	a	Form(s) W-2	25a 12,177.		
	b	Form(s) 1099	25b 0.		
	c	Other forms (see instructions)	25c		
	d	Add lines 25a through 25c		25d	12,177.
• If you have a qualifying child, attach Sch. EIC. • If you have nontaxable combat pay, see instructions.	26	2020 estimated tax payments and amount applied from 2019 return		26	
	27	Earned income credit (EIC) No	27		
	28	Additional child tax credit. Attach Schedule 8812	28		
	29	American opportunity credit from Form 8863, line 8	29		
	30	Recovery rebate credit. See instructions	30		
	31	Amount from Schedule 3, line 13	31		
	32	Add lines 27 through 31. These are your **total other payments and refundable credits** ▶		32	
	33	Add lines 25d, 26, and 32. These are your **total payments** ▶		33	12,177.
Refund	34	If line 33 is more than line 24, subtract line 24 from line 33. This is the amount you **overpaid**		34	
	35a	Amount of line 34 you want **refunded to you.** If Form 8888 is attached, check here ▶ ☐		35a	
Direct deposit? See instructions.	▶ b	Routing number X X X X X X X X X ▶ c Type: ☐ Checking ☐ Savings			
	▶ d	Account number X X X X X X X X X X X X X X X X			
	36	Amount of line 34 you want **applied to your 2021 estimated tax** ▶	36		
Amount You Owe	37	Subtract line 33 from line 24. This is the **amount you owe now** ▶		37	6,034.
For details on how to pay, see instructions.		**Note:** Schedule H and Schedule SE filers, line 37 may not represent all of the taxes you owe for 2020. See Schedule 3, line 12e, and its instructions for details.			
	38	Estimated tax penalty (see instructions) ▶	38 17.		

Third Party Designee

Do you want to allow another person to discuss this return with the IRS? See instructions ▶ ☐ **Yes.** Complete below. ☒ **No**

Designee's name ▶ | Phone no. ▶ | Personal identification number (PIN) ▶

Sign Here

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Your signature	Date	Your occupation	If the IRS sent you an Identity Protection PIN, enter it here (see inst.) ▶
		software engineer	
Spouse's signature. If a joint return, **both** must sign.	Date	Spouse's occupation	If the IRS sent your spouse an Identity Protection PIN, enter it here (see inst.) ▶
		software engineer	

Joint return? See instructions. Keep a copy for your records.

Phone no. (571)294-4787 | Email address

Paid Preparer Use Only

Preparer's name	Preparer's signature	Date	PTIN	Check if: ☐ Self-employed

Firm's name ▶ Self-Prepared | Phone no.

Firm's address ▶ | Firm's EIN ▶

SCHEDULE 3 (Form 1040)

Department of the Treasury
Internal Revenue Service

Additional Credits and Payments

► Attach to Form 1040, 1040-SR, or 1040-NR.
► Go to *www.irs.gov/Form1040* for instructions and the latest information.

OMB No. 1545-0074

2020

Attachment Sequence No. **03**

Name(s) shown on Form 1040, 1040-SR, or 1040-NR: sridhar & vasu gutha

Your social security number: 577-47-9214

Part I Nonrefundable Credits

1	Foreign tax credit. Attach Form 1116 if required	1	
2	Credit for child and dependent care expenses. Attach Form 2441	2	183.
3	Education credits from Form 8863, line 19	3	
4	Retirement savings contributions credit. Attach Form 8880	4	
5	Residential energy credits. Attach Form 5695	5	
6	Other credits from Form: **a** ☐ 3800 **b** ☐ 8801 **c** ☐	6	
7	Add lines 1 through 6. Enter here and on Form 1040, 1040-SR, or 1040-NR, line 20	7	183.

Part II Other Payments and Refundable Credits

8	Net premium tax credit. Attach Form 8962		8	
9	Amount paid with request for extension to file (see instructions)		9	
10	Excess social security and tier 1 RRTA tax withheld		10	
11	Credit for federal tax on fuels. Attach Form 4136		11	
12	Other payments or refundable credits:			
a	Form 2439	12a		
b	Qualified sick and family leave credits from Schedule(s) H and Form(s) 7202	12b		
c	Health coverage tax credit from Form 8885	12c		
d	Other:	12d		
e	Deferral for certain Schedule H or SE filers (see instructions)	12e		
f	Add lines 12a through 12e		12f	
13	Add lines 8 through 12f. Enter here and on Form 1040, 1040-SR, or 1040-NR, line 31		13	

SCHEDULE D
(Form 1040)

Department of the Treasury
Internal Revenue Service (99)

Capital Gains and Losses

► **Attach to Form 1040, 1040-SR, or 1040-NR.**
► **Go to *www.irs.gov/ScheduleD* for instructions and the latest information.**
► **Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9, and 10.**

OMB No. 1545-0074

2020

Attachment Sequence No. **12**

Name(s) shown on return: sridhar & vasu gutha

Your social security number: 577-47-9214

Did you dispose of any investment(s) in a qualified opportunity fund during the tax year? ☐ **Yes** ☐ **No**
If "Yes," attach Form 8949 and see its instructions for additional requirements for reporting your gain or loss.

Part I Short-Term Capital Gains and Losses—Generally Assets Held One Year or Less (see instructions)

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b	2,089,393.	2,124,567.		-35,174.
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked	24,314,547.	25,254,404.	862,165.	-77,692.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked	1,845,685.	1,972,426.	123,052.	-3,689.
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4	Short-term gain from Form 6252 and short-term gain or (loss) from Forms 4684, 6781, and 8824	**4**	
5	Net short-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1	**5**	
6	Short-term capital loss carryover. Enter the amount, if any, from line 8 of your **Capital Loss Carryover Worksheet** in the instructions	**6**	(124,280.)
7	**Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h). If you have any long-term capital gains or losses, go to Part II below. Otherwise, go to Part III on the back	**7**	-240,835.

Part II Long-Term Capital Gains and Losses—Generally Assets Held More Than One Year (see instructions)

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked				
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

11	Gain from Form 4797, Part I; long-term gain from Forms 2439 and 6252; and long-term gain or (loss) from Forms 4684, 6781, and 8824	**11**	
12	Net long-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1	**12**	
13	Capital gain distributions. See the instructions	**13**	
14	Long-term capital loss carryover. Enter the amount, if any, from line 13 of your **Capital Loss Carryover Worksheet** in the instructions	**14**	(20,611.)
15	**Net long-term capital gain or (loss).** Combine lines 8a through 14 in column (h). Then, go to Part III on the back	**15**	-20,611.

Part III Summary

16	Combine lines 7 and 15 and enter the result	**16**	-261,446.
	• If line 16 is a **gain,** enter the amount from line 16 on Form 1040, 1040-SR, or 1040-NR, line 7. Then, go to line 17 below. • If line 16 is a **loss,** skip lines 17 through 20 below. Then, go to line 21. Also be sure to complete line 22. • If line 16 is **zero,** skip lines 17 through 21 below and enter -0- on Form 1040, 1040-SR, or 1040-NR, line 7. Then, go to line 22.		
17	Are lines 15 and 16 **both** gains? ☐ **Yes.** Go to line 18. ☐ **No.** Skip lines 18 through 21, and go to line 22.		
18	If you are required to complete the **28% Rate Gain Worksheet** (see instructions), enter the amount, if any, from line 7 of that worksheet ▶	**18**	
19	If you are required to complete the **Unrecaptured Section 1250 Gain Worksheet** (see instructions), enter the amount, if any, from line 18 of that worksheet ▶	**19**	
20	Are lines 18 and 19 both zero or blank and are you not filing Form 4952? ☐ **Yes.** Complete the **Qualified Dividends and Capital Gain Tax Worksheet** in the instructions for Forms 1040 and 1040-SR, line 16. **Don't** complete lines 21 and 22 below. ☐ **No.** Complete the **Schedule D Tax Worksheet** in the instructions. **Don't** complete lines 21 and 22 below.		
21	If line 16 is a loss, enter here and on Form 1040, 1040-SR, or 1040-NR, line 7, the **smaller** of: • The loss on line 16; or • ($3,000), or if married filing separately, ($1,500) **Note:** When figuring which amount is smaller, treat both amounts as positive numbers.	**21**	(3,000.)
22	Do you have qualified dividends on Form 1040, 1040-SR, or 1040-NR, line 3a? ☐ **Yes.** Complete the **Qualified Dividends and Capital Gain Tax Worksheet** in the instructions for Forms 1040 and 1040-SR, line 16. ☒ **No.** Complete the rest of Form 1040, 1040-SR, or 1040-NR.		

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to *www.irs.gov/Form8949* for instructions and the latest information.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
AAPL 07/17/2020 CALL $390.00 1	07/16/20	07/16/20	186.	220.			-34.
SPY 06/29/2020 PUT $295.00 1	06/24/20	06/25/20	131.	143.			-12.
SPY 06/29/2020 PUT $297.00 1	06/24/20	06/24/20	210.	166.			44.
007903107 ADVANCED MICRO DEVICES INC COM 1600	04/01/20	04/01/20	71,609.	71,637.	W	66.	38.
023135106 AMAZON COM INC COM 2260	03/12/20	03/12/20	3,865,854.	3,910,133.	W	45,654.	1,375.
023135106 AMAZON COM INC COM 300	03/13/20	03/13/20	524,788.	535,317.	W	10,529.	0.
023135106 AMAZON COM INC COM 90	03/17/20	03/17/20	161,573.	165,219.	W	3,645.	-1.
023135106 AMAZON COM INC COM 140	03/18/20	03/18/20	251,069.	256,460.	W	5,392.	1.
023135106 AMAZON COM INC COM 20	03/19/20	03/19/20	37,377.	38,386.	W	1,009.	0.
023135106 AMAZON COM INC COM 150	03/23/20	03/23/20	281,879.	287,105.	W	3,325.	-1,901.
037833100 APPLE INC COM 2500	03/12/20	03/12/20	650,565.	648,481.	W	1,003.	3,087.
037833100 APPLE INC COM 3700	03/13/20	03/13/20	970,024.	988,633.	W	18,608.	-1.
037833100 APPLE INC COM 600	03/12/20	03/16/20	143,810.	143,838.	W	107.	79.
037833100 APPLE INC COM 1200	03/18/20	03/18/20	289,036.	296,258.	W	7,222.	0.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			7,248,111.	7,341,996.		96,560.	2,675.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to *www.irs.gov/Form8949* for instructions and the latest information.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
037833100 APPLE INC COM 250	03/23/20	03/23/20	55,711.	56,916.	W	1,205.	0.
037833100 APPLE INC COM 650	03/24/20	03/24/20	156,687.	160,554.	W	2,102.	-1,765.
097023105 BOEING CO COM 4500	VARIOUS	03/17/20	577,208.	575,194.	W	2,248.	4,262.
097023105 BOEING CO COM 5200	03/18/20	03/18/20	539,206.	565,620.	W	26,414.	0.
097023105 BOEING CO COM 3500	03/17/20	03/19/20	408,468.	414,066.	W	6,670.	1,072.
097023105 BOEING CO COM 10700	03/23/20	03/23/20	1,122,389.	1,213,354.	W	90,965.	0.
097023105 BOEING CO COM 2500	03/24/20	03/24/20	314,492.	333,130.	W	18,638.	0.
097023105 BOEING CO COM 31408	03/25/20	03/25/20	5,105,269.	5,428,166.	W	321,376.	-1,521.
166764100 CHEVRON CORPORATION COM 14350	04/02/20	04/02/20	1,075,269.	1,092,996.	W	16,391.	-1,336.
25460E182 DIREXION SHARES ETF TRUST 1:12 R/S 8/28/20 254606690 4800	03/12/20	03/12/20	163,689.	163,019.	W	69.	739.
25460E182 DIREXION SHARES ETF TRUST 1:12 R/S 8/28/20 254606690 5300	03/13/20	03/13/20	175,898.	189,844.	W	11,737.	-2,209.
25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 100	03/10/20	03/10/20	3,468.	3,535.	W	67.	0.
25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1000	03/11/20	03/11/20	31,818.	31,822.	W	61.	57.
25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 2000	03/17/20	03/17/20	31,404.	31,585.	W	257.	76.
2 **Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			9,760,976.	10,259,801.		498,200.	-625.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to *www.irs.gov/Form8949* for instructions and the latest information.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1500	03/18/20	03/18/20	21,071.	21,355.	W	319.	35.
25490K323 DIREXION SHARES ETF TRUST SP BIOTCH BL NEW ETF 1000	03/19/20	03/19/20	17,200.	17,421.	W	108.	-113.
45782T105 INMUNE BIO INC COM 2000	07/14/20	07/14/20	33,559.	37,667.	W	2,860.	-1,248.
54951L109 LUCKIN COFFEE INC ADR SPONSORED 4000	04/02/20	04/02/20	27,682.	27,750.	W	143.	75.
594918104 MICROSOFT CORP COM 200	03/11/20	03/11/20	30,789.	30,960.	W	171.	0.
594918104 MICROSOFT CORP COM 900	03/18/20	03/18/20	126,487.	126,749.	W	310.	48.
594918104 MICROSOFT CORP COM 300	03/19/20	03/19/20	44,122.	44,134.	W	34.	22.
594918104 MICROSOFT CORP COM 4300	03/24/20	03/24/20	629,890.	630,031.	W	546.	405.
594918104 MICROSOFT CORP COM 800	04/01/20	04/01/20	124,153.	124,527.	W	410.	36.
60770K107 MODERNA INC COM 400	07/15/20	07/15/20	33,456.	34,131.	W	365.	-310.
64110L106 NETFLIX INC COM 50	03/23/20	03/23/20	17,398.	17,427.	W	29.	0.
64110L106 NETFLIX INC COM 90	03/30/20	03/30/20	33,825.	34,009.	W	189.	5.
67066G104 NVIDIA CORP COM 250	03/24/20	03/24/20	61,124.	61,086.	W	8.	46.
74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 1500	03/18/20	03/18/20	56,368.	57,610.	W	1,242.	0.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			1,257,124.	1,264,857.		6,734.	-999.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to *www.irs.gov/Form8949* for instructions and the latest information.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 20110	03/19/20	03/19/20	833,019.	849,267.	W	16,414.	166.
74347X831 PROSHARES TRUST ULTRAPRO QQQ ETF 1208	04/01/20	04/01/20	50,759.	51,907.	W	638.	-510.
78418A505 SG BLOCKS INC COM 700	04/01/20	04/01/20	6,064.	6,087.	W	28.	5.
871628301 SYNTHESIS ENERGY SYSTEMS INC COM 2500	01/02/20	01/02/20	24,376.	24,565.	W	348.	159.
87918A105 TELADOC HEALTH INC COM 300	03/13/20	03/13/20	37,310.	42,000.	W	4,690.	0.
87918A105 TELADOC HEALTH INC COM 2800	VARIOUS	03/17/20	345,530.	416,450.	W	56,269.	-14,651.
88160R101 TESLA INC COM 300	03/12/20	03/12/20	173,717.	173,666.	W	72.	123.
88160R101 TESLA INC COM 5500	03/19/20	03/19/20	2,259,598.	2,292,744.	W	34,910.	1,764.
88160R101 TESLA INC COM 50	03/23/20	03/23/20	21,629.	22,235.	W	606.	0.
88160R101 TESLA INC COM 1570	03/24/20	03/24/20	787,874.	790,693.	W	3,223.	404.
88160R101 TESLA INC COM 200	03/25/20	03/25/20	107,571.	108,920.	W	941.	-408.
88160R101 TESLA INC COM 95	07/14/20	07/14/20	143,781.	144,595.	W	1,154.	340.
98872F204 YUMA ENERGY INC COM 1500	01/03/20	01/03/20	7,371.	7,340.	W	21.	52.
98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 1410	03/23/20	03/23/20	222,073.	221,896.	W	266.	443.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			5,020,672.	5,152,365.		119,580.	-12,113.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
98980L101 ZOOM VIDEO COMMUNICATIONS INC COM CL A 250	03/24/20	03/24/20	35,448.	35,852.	W	375.	-29.
AAPL AKD9KN2 APPLE INC AAPL Apr 09 2020 267.5 Call 53	04/09/20	04/09/20	8,167.	9,877.	W	1,456.	-254.
AAPL AKDHMDK APPLE INC AAPL Apr 17 2020 290.0 Call 58	04/14/20	04/14/20	15,166.	15,713.	W	237.	-310.
AAPL AKEFNCA APPLE INC AAPL May 15 2020 302.5 Call 25	05/15/20	05/15/20	6,752.	7,328.	W	576.	0.
AAPL AKFCRE4 APPLE INC AAPL Jun 12 2020 355.0 Call 25	06/10/20	06/10/20	6,468.	6,075.	W	3.	396.
AAPL AKFJRS0 APPLE INC AAPL Jun 19 2020 360.0 Call 41	06/16/20	06/16/20	6,758.	6,653.	W	775.	880.
AAPL AKFJRS0 APPLE INC AAPL Jun 19 2020 360.0 Call 38	06/17/20	06/17/20	5,888.	7,621.	W	1,199.	-534.
AAPL AKGASX0 APPLE INC AAPL Jul 10 2020 375.0 Call 5	07/02/20	07/02/20	1,372.	1,502.	W	184.	54.
AAPL AKGHTPG APPLE INC AAPL Jul 17 2020 385.0 Call 244	07/14/20	07/14/20	117,559.	118,984.	W	4,266.	2,841.
AAPL AKGHU3C APPLE INC AAPL Jul 17 2020 390.0 Call 269	07/14/20	07/14/20	110,772.	140,321.	W	29,442.	-107.
AAPL AKGHUH8 APPLE INC AAPL Jul 17 2020 395.0 Call 75	07/15/20	07/15/20	25,278.	35,217.	W	3,194.	-6,745.
AAPL AKP9HQW APPLE INC AAPL Apr 09 2020 230.0 Put 112	VARIOUS	04/02/20	57,767.	58,921.	W	3,290.	2,136.
AAPL AKP9HQW APPLE INC AAPL Apr 09 2020 230.0 Put 75	VARIOUS	04/03/20	28,549.	38,831.	W	3,559.	-6,723.
AAPL AKPHLLS APPLE INC AAPL Apr 17 2020 280.0 Put 6	04/17/20	04/17/20	1,186.	1,452.	W	115.	-151.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			427,130.	484,347.		48,671.	-8,546.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to *www.irs.gov/Form8949* for instructions and the latest information.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
AMZN KKA3ENS AMAZON COM INC AMZN Jan 03 2020 1900.0 Call 50	01/02/20	01/02/20	43,266.	42,660.	W	71.	677.
AMZN KKDHIIO AMAZON COM INC AMZN Apr 17 2020 2400.0 Call 10	04/16/20	04/16/20	22,063.	22,240.	W	887.	710.
AMZN KKEFIIO AMAZON COM INC AMZN May 15 2020 2400.0 Call 4	05/14/20	05/14/20	4,467.	4,660.	W	269.	76.
AMZN KKEMJAG AMAZON COM INC AMZN May 22 2020 2500.0 Call 4	05/19/20	05/19/20	6,937.	6,696.	W	71.	312.
BA AKF5CCG BOEING CO BA Jun 05 2020 160.0 Call 7	06/03/20	06/03/20	1,290.	1,341.	W	73.	22.
BA AKF5FTG BOEING CO BA Jun 05 2020 205.0 Call 23	06/05/20	06/05/20	6,394.	5,715.	W	353.	1,032.
BA AKFCENS BOEING CO BA Jun 12 2020 190.0 Call 10	06/12/20	06/12/20	2,737.	3,030.	W	381.	88.
BA AKFCJAG BOEING CO BA Jun 12 2020 250.0 Call 8	06/08/20	06/08/20	5,147.	4,907.	W	13.	253.
BA AKFJGZ4 BOEING CO BA Jun 19 2020 220.0 Call 3	06/16/20	06/16/20	1,138.	1,455.	W	85.	-232.
BA AKODENS BOEING CO BA Mar 13 2020 190.0 Put 18	03/11/20	03/11/20	7,122.	7,121.	W	113.	114.
BA AKODENS BOEING CO BA Mar 13 2020 190.0 Put 2	03/11/20	03/12/20	-13.	0.			-13.
BA AKP38VG BOEING CO BA Apr 03 2020 115.0 Put 26	04/02/20	04/02/20	6,416.	6,359.	W	51.	108.
BA AKP399C BOEING CO BA Apr 03 2020 120.0 Put 95	04/02/20	04/02/20	21,808.	23,235.	W	1,541.	114.
BA AKRQD48 BOEING CO BA Jun 26 2020 170.0 Put 20	06/26/20	06/26/20	3,467.	3,465.	W	108.	110.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			132,239.	132,884.		4,016.	3,371.

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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

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You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

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1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
BABA AKGAKG4 ALIBABA GROUP HOLDING LTD BABA Jul 10 2020 265.0 Call 31	07/09/20	07/09/20	7,889.	10,734.	W	1,919.	-926.
FB AKEMHQW FACEBOOK INC FB May 22 2020 230.0 Call 51	05/20/20	05/20/20	7,699.	7,135.	W	2.	566.
FB AKETII0 FACEBOOK INC FB May 29 2020 240.0 Call 15	05/21/20	05/21/20	6,040.	5,778.	W	142.	404.
FB AKETIIO FACEBOOK INC FB May 29 2020 240.0 Call 17	VARIOUS	05/22/20	2,590.	2,726.	W	438.	302.
FB AKETIIO FACEBOOK INC FB May 29 2020 240.0 Call 8	VARIOUS	05/26/20	3,143.	3,208.	W	77.	12.
FB AKFJJAG FACEBOOK INC FB Jun 19 2020 250.0 Call 5	05/26/20	06/02/20	542.	2,496.	W	1,955.	1.
FB AKFJJAG FACEBOOK INC FB Jun 19 2020 250.0 Call 55	VARIOUS	06/04/20	3,583.	13,957.	W	4,738.	-5,636.
FB AKH7LLS FACEBOOK INC FB Aug 07 2020 280.0 Call 4	08/07/20	08/07/20	971.	959.	W	15.	27.
FB AKRQGL8 FACEBOOK INC FB Jun 26 2020 215.0 Put 20	06/26/20	06/26/20	987.	1,927.	W	473.	-467.
NFLX AKDHX6G NETFLIX INC NFLX Apr 17 2020 430.0 Call 21	04/15/20	04/15/20	14,183.	13,693.	W	374.	864.
NFLX AKDHXKC NETFLIX INC NFLX Apr 17 2020 435.0 Call 12	04/15/20	04/15/20	6,272.	6,968.	W	528.	-168.
NFLX AKGA3XO NETFLIX INC NFLX Jul 10 2020 510.0 Call 14	VARIOUS	07/07/20	7,315.	6,743.	W	538.	1,110.
NFLX AKGA41U NETFLIX INC NFLX Jul 10 2020 525.0 Call 100	07/10/20	07/10/20	46,168.	43,262.	W	80.	2,986.
NFLX AKGA438 NETFLIX INC NFLX Jul 10 2020 530.0 Call 145	07/10/20	07/10/20	57,412.	54,956.	W	1,048.	3,504.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			164,794.	174,542.		12,327.	2,579.

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OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

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1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
NFLX AKGA460 NETFLIX INC NFLX Jul 10 2020 540.0 Call 155	07/10/20	07/10/20	66,780.	65,652.	W	1,680.	2,808.
NFLX AKGA4BK NETFLIX INC NFLX Jul 10 2020 560.0 Call 420	07/10/20	07/10/20	5,055.	56,237.	W	30,293.	-20,889.
ROKU AKGVC5I ROKU INC ROKU Jul 31 2020 157.5 Call 16	07/31/20	07/31/20	1,227.	1,459.	W	223.	-9.
SHOP AKDO5EG SHOPIFY INC SHOP Apr 24 2020 700.0 Call 2	04/21/20	04/21/20	3,816.	3,998.	W	165.	-17.
SPY AKMVP46 SPDR S&P500 ETF TRUST SPY Jan 31 2020 325.5 Put 12	01/31/20	01/31/20	1,810.	1,888.	W	111.	33.
SQ AKH7CCG SQUARE INC SQ Aug 07 2020 160.0 Call 20	08/05/20	08/05/20	3,627.	3,557.	W	3.	73.
TSLA AKA3YQ0 TESLA INC TSLA Jan 03 2020 450.0 Call 187	01/03/20	01/03/20	29,872.	41,473.	W	3,114.	-8,487.
TSLA AKA3Z3W TESLA INC TSLA Jan 03 2020 455.0 Call 230	01/03/20	01/03/20	24,115.	67,288.	W	18,503.	-24,670.
TSLA AKAV50K TESLA INC TSLA Jan 31 2020 650.0 Call 3	01/30/20	01/30/20	2,783.	2,773.	W	16.	26.
TSLA AKDO5H8 TESLA INC TSLA Apr 24 2020 710.0 Call 18	04/24/20	04/24/20	12,798.	14,199.	W	1,284.	-117.
TSLA AKE8668 TESLA INC TSLA May 08 2020 800.0 Call 5	05/05/20	05/05/20	7,396.	10,407.	W	3,211.	200.
TSLA AKE8668 TESLA INC TSLA May 08 2020 800.0 Call 6	05/06/20	05/06/20	4,084.	11,112.	W	2,640.	-4,388.
TSLA AKEF6K4 TESLA INC TSLA May 15 2020 850.0 Call 2	05/12/20	05/12/20	3,814.	4,019.	W	205.	0.
TSLA AKEF6K4 TESLA INC TSLA May 15 2020 850.0 Call 4	05/13/20	05/13/20	2,867.	2,901.	W	22.	-12.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			170,044.	286,963.		61,470.	-55,449.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to *www.irs.gov/Form8949* for instructions and the latest information.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
TSLA AKQ15SC TESLA INC TSLA May 01 2020 750.0 Put 1	04/28/20	04/28/20	3,654.	3,881.	W	226.	-1.
TSLA AKQ15SC TESLA INC TSLA May 01 2020 750.0 Put 6	05/01/20	05/01/20	5,472.	7,140.	W	302.	-1,366.
TSLA AKRQ7BW TESLA INC TSLA Jun 26 2020 950.0 Put 4	06/24/20	06/24/20	3,747.	4,644.	W	666.	-231.
TSLA AKU4UV4 TESLA INC TSLA Sep 04 2020 400.0 Put 2	09/03/20	09/03/20	1,850.	1,795.	W	33.	88.
TSLA KKFJ7VC TESLA INC TSLA Jun 19 2020 1020.0 Call 5	06/18/20	06/18/20	1,897.	5,485.	W	3,589.	1.
TSLA KKFJ7VC TESLA INC TSLA Jun 19 2020 1020.0 Call 10	06/19/20	06/19/20	4,563.	11,096.	W	3,126.	-3,407.
TSLA KKHSH4O TESLA INC TSLA Aug 28 2020 2220.0 Call 3	08/28/20	08/28/20	5,098.	5,041.	W	150.	207.
TTD AKB7N5C THE TRADE DESK INC TTD Feb 07 2020 300.0 Call 3	02/06/20	02/06/20	503.	1,025.	W	157.	-365.
V7780T103 ROYAL CARIBBEAN GROUP COM 100	03/12/20	03/16/20	3,330.	3,331.	W	1.	0.
V7780T103 ROYAL CARIBBEAN GROUP COM 1500	07/15/20	07/15/20	83,581.	83,714.	W	103.	-30.
ZM AKQ1ASW ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 140.0 Put 50	04/29/20	04/29/20	6,996.	16,655.	W	6,070.	-3,589.
ZM AKQ1BKO ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 150.0 Put 2	04/24/20	04/24/20	1,159.	1,141.	W	1.	19.
ZM AKQ1BKO ZOOM VIDEO COMMUNICATIONS INC ZM May 01 2020 150.0 Put 27	04/27/20	04/27/20	11,607.	11,701.	W	183.	89.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			133,457.	156,649.		14,607.	-8,585.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to *www.irs.gov/Form8949* for instructions and the latest information.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2020

Attachment Sequence No. **12A**

Name(s) shown on return: sridhar & vasu gutha

Social security number or taxpayer identification number: 577-47-9214

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☒ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS
- ☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
17325E389 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 4000	03/11/20	03/11/20	51,310.	50,672.			638.
17325E389 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 2500	03/12/20	03/12/20	38,935.	38,629.	W	153.	459.
17325E389 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 300	03/19/20	03/19/20	8,921.	8,514.			407.
17325E389 CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 2500	VARIOUS	03/20/20	32,424.	36,317.	W	1,161.	-2,732.
22542D258 CREDIT SUISSE NASSAU ETN 35	03/10/20	03/10/20	7,408.	7,384.			24.
22542D258 CREDIT SUISSE NASSAU ETN 200	03/11/20	03/11/20	53,943.	53,698.			245.
22542D258 CREDIT SUISSE NASSAU ETN 2620	03/12/20	03/12/20	979,158.	1,051,067.	W	72,491.	582.
22542D258 CREDIT SUISSE NASSAU ETN 1320	03/13/20	03/13/20	497,287.	541,807.	W	44,623.	103.
22542D258 CREDIT SUISSE NASSAU ETN 80	03/17/20	03/17/20	46,368.	48,844.	W	2,476.	0.
22542D258 CREDIT SUISSE NASSAU ETN 80	03/18/20	03/18/20	60,829.	63,713.	W	2,148.	-736.
22542D258 CREDIT SUISSE NASSAU ETN 60	03/19/20	03/19/20	53,097.	55,475.			-2,378.
22542D258 CREDIT SUISSE NASSAU ETN 10	04/01/20	04/01/20	3,477.	3,815.			-338.
74347W148 PROSHARES TRUST II ULTRA VIX SHORT ETF 200	03/12/20	03/12/20	12,528.	12,491.			37.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ►			1,845,685.	1,972,426.		123,052.	-3,689.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

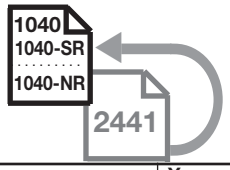
Form **2441**

Department of the Treasury
Internal Revenue Service (99)

Child and Dependent Care Expenses

► **Attach to Form 1040, 1040-SR, or 1040-NR.**

► **Go to *www.irs.gov/Form2441* for instructions and the latest information.**



OMB No. 1545-0074

2020

Attachment Sequence No. **21**

Name(s) shown on return: sridhar & vasu gutha

Your social security number: 577-47-9214

You cannot claim a credit for child and dependent care expenses if your filing status is married filing separately unless you meet the requirements listed in the instructions under "Married Persons Filing Separately." If you meet these requirements, check this box. ☐

Part I Persons or Organizations Who Provided the Care—You **must** complete this part.

(If you have more than two care providers, see the instructions.)

1 (a) Care provider's name	(b) Address (number, street, apt. no., city, state, and ZIP code)	(c) Identifying number (SSN or EIN)	(d) Amount paid (see instructions)
westgate child center	1613 greatfalls st mclean VA 22101	23-7336415	2,920.

Did you receive **dependent care benefits?**

- **No** → Complete only Part II below.
- **Yes** → Complete Part III on the back next.

Caution: If the care was provided in your home, you may owe employment taxes. For details, see the instructions for Schedule 2 (Form 1040), line 7a.

Part II Credit for Child and Dependent Care Expenses

2 Information about your **qualifying person(s).** If you have more than two qualifying persons, see the instructions.

(a) Qualifying person's name First	Last	(b) Qualifying person's social security number	(c) **Qualified expenses** you incurred and paid in 2020 for the person listed in column (a)
pranavi	gutha	857-98-7005	1,315.

3	Add the amounts in column (c) of line 2. **Don't** enter more than $3,000 for one qualifying person or $6,000 for two or more persons. If you completed Part III, enter the amount from line 31	**3**	915.
4	Enter your **earned income.** See instructions	**4**	84,884.
5	If married filing jointly, enter your spouse's earned income (if you or your spouse was a student or was disabled, see the instructions); **all others**, enter the amount from line 4	**5**	83,193.
6	Enter the **smallest** of line 3, 4, or 5	**6**	915.
7	Enter the amount from Form 1040, 1040-SR, or 1040-NR, line 11 — **7** 164,788.		
8	Enter on line 8 the decimal amount shown below that applies to the amount on line 7.	**8**	X .20

If line 7 is: Over	But not over	Decimal amount is	If line 7 is: Over	But not over	Decimal amount is
$0	15,000	.35	$29,000	31,000	.27
15,000	17,000	.34	31,000	33,000	.26
17,000	19,000	.33	33,000	35,000	.25
19,000	21,000	.32	35,000	37,000	.24
21,000	23,000	.31	37,000	39,000	.23
23,000	25,000	.30	39,000	41,000	.22
25,000	27,000	.29	41,000	43,000	.21
27,000	29,000	.28	43,000	No limit	.20

9	Multiply line 6 by the decimal amount on line 8. If you paid 2019 expenses in 2020, see the instructions	**9**	183.
10	Tax liability limit. Enter the amount from the Credit Limit Worksheet in the instructions — **10** 22,377.		
11	**Credit for child and dependent care expenses.** Enter the **smaller** of line 9 or line 10 here and on Schedule 3 (Form 1040), line 2	**11**	183.

Part III Dependent Care Benefits

12	Enter the total amount of **dependent care benefits** you received in 2020. Amounts you received as an employee should be shown in box 10 of your Form(s) W-2. **Don't** include amounts reported as wages in box 1 of Form(s) W-2. If you were self-employed or a partner, include amounts you received under a dependent care assistance program from your sole proprietorship or partnership.			12	2,185.
13	Enter the amount, if any, you carried over from 2019 and used in 2020 during the grace period. See instructions			13	0.
14	Enter the amount, if any, you forfeited or carried forward to 2021. See instructions			14	(100.)
15	Combine lines 12 through 14. See instructions			15	2,085.
16	Enter the total amount of **qualified expenses** incurred in 2020 for the care of the **qualifying person(s)**	16	3,400.		
17	Enter the **smaller** of line 15 or 16	17	2,085.		
18	Enter your **earned income.** See instructions	18	84,884.		
19	Enter the amount shown below that applies to you. • If married filing jointly, enter your spouse's earned income (if you or your spouse was a student or was disabled, see the instructions for line 5). • If married filing separately, see instructions. • All others, enter the amount from line 18.	19	83,193.		
20	Enter the **smallest** of line 17, 18, or 19	20	2,085.		
21	Enter $5,000 ($2,500 if married filing separately **and** you were required to enter your spouse's earned income on line 19)	21	5,000.		
22	Is any amount on line 12 from your sole proprietorship or partnership? ☒ **No.** Enter -0-. ☐ **Yes.** Enter the amount here			22	0.
23	Subtract line 22 from line 15	23	2,085.		
24	**Deductible benefits.** Enter the **smallest** of line 20, 21, or 22. Also, include this amount on the appropriate line(s) of your return. See instructions			24	0.
25	**Excluded benefits.** If you checked "No" on line 22, enter the smaller of line 20 or 21. Otherwise, subtract line 24 from the smaller of line 20 or line 21. If zero or less, enter -0-			25	2,085.
26	**Taxable benefits.** Subtract line 25 from line 23. If zero or less, enter -0-. Also, include this amount on Form 1040 or 1040-SR, line 1; or Form 1040-NR, line 1a. On the dotted line next to Form 1040 or 1040-SR, line 1; or Form 1040-NR, line 1a, enter "DCB"			26	0.

To claim the child and dependent care credit, complete lines 27 through 31 below.

27	Enter $3,000 ($6,000 if two or more qualifying persons)	27	3,000.
28	Add lines 24 and 25	28	2,085.
29	Subtract line 28 from line 27. If zero or less, **stop.** You can't take the credit. **Exception.** If you paid 2019 expenses in 2020, see the instructions for line 9	29	915.
30	Complete line 2 on the front of this form. **Don't** include in column (c) any benefits shown on line 28 above. Then, add the amounts in column (c) and enter the total here	30	1,315.
31	Enter the **smaller** of line 29 or 30. Also, enter this amount on line 3 on the front of this form and complete lines 4 through 11	31	915.

Form **4952**

Department of the Treasury
Internal Revenue Service (99)

Investment Interest Expense Deduction

► Go to *www.irs.gov/Form4952* for the latest information.

► Attach to your tax return.

OMB No. 1545-0191

2020

Attachment Sequence No. **51**

Name(s) shown on return: sridhar & vasu gutha

Identifying number: 577-47-9214

Part I Total Investment Interest Expense

1	Investment interest expense paid or accrued in 2020 (see instructions)	1	450.
2	Disallowed investment interest expense from 2019 Form 4952, line 7	2	499.
3	**Total investment interest expense.** Add lines 1 and 2	3	949.

Part II Net Investment Income

4a	Gross income from property held for investment (excluding any net gain from the disposition of property held for investment)	4a	1.		
b	Qualified dividends included on line 4a	4b			
c	Subtract line 4b from line 4a			4c	1.
d	Net gain from the disposition of property held for investment	4d			
e	Enter the **smaller** of line 4d or your net capital gain from the disposition of property held for investment. See instructions	4e			
f	Subtract line 4e from line 4d			4f	0.
g	Enter the amount from lines 4b and 4e that you elect to include in investment income. See instructions			4g	
h	Investment income. Add lines 4c, 4f, and 4g			4h	1.
5	Investment expenses (see instructions)			5	450.
6	**Net investment income.** Subtract line 5 from line 4h. If zero or less, enter -0-			6	0.

Part III Investment Interest Expense Deduction

7	Disallowed investment interest expense to be carried forward to 2021. Subtract line 6 from line 3. If zero or less, enter -0-	7	949.
8	**Investment interest expense deduction.** Enter the **smaller** of line 3 or line 6. See instructions	8	0.

SCHEDULE D AMT

Capital Gains and Losses as Refigured for the Alternative Minimum Tax

► Keep for your records

2020

Name(s) shown on return: sridhar & vasu gutha

Your social security number: 577-47-9214

Part I Short-Term Capital Gains and Losses – Assets Held One Year or Less

QuickZoom to Form(s) 8949, Short-Term Gain (Loss) Transactions . . . . . ►
QuickZoom to Schedule D, Short-Term Gain (Loss) Regular Tax Totals . . . . . ►

Short-Term Totals:	Sales Price	Adjstd Basis	Gn(Ls) Adjstmt	Gain (Loss)
1 a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank	2,089,393.	2,124,567.		-35,174.
b Short-term totals from all Forms 8949 with box A checked	24,314,547.	25,254,404.	862,165.	-77,692.
2 Short-term totals from all Forms 8949 with box B checked	1,845,685.	1,972,426.	123,052.	-3,689.
3 Short-term totals from all Forms 8949 with box C checked				

4	Short-term gain from Form 6252 and short-term gain or (loss) from Forms 4684, 6781, and 8824: **A** Form(s) 6252, Installment Sale Income . . . . . _____ **B** Form(s) 4684, Casualties and Thefts . . . . . _____ **C** Form(s) 6781, Gains and Losses from Section 1256 Contracts and Straddles . . . . . _____ **D** Form(s) 8824, Like-Kind Exchanges . . . . . _____ **E** Sale of Incentive Stock Option stock (not entered elsewhere) . . . . . _____ **F** Other . . . . . _____ Total	**4**	
5	Net short-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1	**5**	
6	Short-term capital loss carryover. Enter the amount, if any, from line 10 of your **Capital Loss Carryover Worksheet**	**6**	-124,281.
7	**Net short-term capital gain or (loss).** Combine lines 1 thru 6 in column (f) . . . ►	**7**	-240,836.

Part II Long-Term Capital Gains and Losses – Assets Held More Than One Year

QuickZoom to Form(s) 8949, Long-Term Gain (Loss) Transactions . . . . . ►
QuickZoom to Schedule D, Long-Term Gain (Loss) Regular Tax Totals . . . . . ►

Long-Term Totals:	Sales Price	Adjstd Basis	Gn(Ls) Adjstmt	Gain (Loss)
8 a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank				
b Long-term totals from all Forms 8949 with box D checked				
9 Long-term totals from all Forms 8949 with box E checked				
10 Long-term totals from all Forms 8949 with box F checked				

11	Gain from Form 4797, Part I; long-term gain from Forms 2439 and 6252; and long-term gain or (loss) from Forms 4684, 6781, and 8824: **A** Form 4797, Sales of Business Property (Gain from Pt I) **B** Form(s) 6252, Installment Sale Income **C** Form(s) 4684, Casualties and Thefts **D** Form(s) 6781, Gains and Losses from Section 1256 Contracts and Straddles **E** Form(s) 8824, Like-Kind Exchanges **F** Form(s) 2439, Notice to Shareholder of Undistributed Long-Term Capital Gains **G** Sale of Incentive Stock Option stock (not entered elsewhere) **H** Other Total	**11**	
12	Net long-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1	**12**	
13	Capital gain distributions	**13**	
14	Long-term capital loss carryover. Enter the amount, if any, from line 15 of your **Capital Loss Carryover Worksheet**	**14**	-20,611.
15	**Net long-term capital gain or (loss).** Combine lines 8 thru 14 in column (f) ►	**15**	-20,611.

Part III Summary

16	Combine lines 7 and 15 and enter the result If line 16 is: • A **gain,** enter the amount on line 22 below. Then go to line 17 below. • A **loss,** skip lines 17 through 20 below. Then go to line 21. • **Zero,** skip lines 17 through 21 below and enter -0- on line 22 below.	**16**	-261,447.
17	Are lines 15 and 16 **both** gains? ☐ **Yes.** Go to line 18. ☐ **No.** Skip lines 18 through 21, and go to line 22.		
18	Enter the amount, if any, from line 7 of the **28% Rate Gain Worksheet**	**18**	0.
19	Enter the amount, if any, from line 18 of the **Unrecaptured Section 1250 Gain Worksheet**	**19**	
20	Are lines 18 and 19 **both** zero or blank? ☐ **Yes.** ☐ **No.**		
21	If line 16 is a loss, enter here and on line 22 below, the **smaller** of: • The loss on line 16, or • ($3,000), or, if married filing separately, ($1,500) **Note:** When figuring which amount is smaller, treat both amounts as positive numbers.	**21**	-3,000.
22	Do you have qualified dividends on Form 1040, line 9b? ☐ **Yes.** ☐ **No.**		
	Capital gain (loss) as refigured for the Alternative Minimum Tax. If line 16 is a gain or zero, enter line 16. If line 16 is a loss, enter line 21. ► -3,000.		

QuickZoom to the **Qualified Dividends and Capital Gain Tax Worksheet** ►
QuickZoom to the **Schedule D Tax Worksheet** ►
QuickZoom to the **Unrecaptured Section 1250 Gain Worksheet** ►
QuickZoom to the **28% Rate Gain Gain Worksheet** ►
QuickZoom to the **Capital Loss Carryover Worksheet** ►
QuickZoom to **Form 6251, Alternative Minimum Tax** ►

Alternative Minimum Tax

Tax History Report 2020

► Keep for your records

Name(s) Shown on Return
sridhar & vasu gutha

	Five Year Tax History:				
	2016	2017	2018	2019	2020
Filing status	MFJ	MFJ	MFJ	MFJ	MFJ
Total income	-3,000.	-3,000.	133,559.	142,202.	165,078.
Adjustments to income					290.
Adjusted gross income	-3,000.	-3,000.	133,559.	142,202.	164,788.
Tax expense			6,615.	7,137.	8,193.
Interest expense				1.	0.
Contributions					
Misc. deductions					
Other itemized ded'ns					
Total itemized/ standard deduction	12,600.	12,700.	24,000.	24,400.	24,800.
Exemption amount	8,100.	8,100.	0.	0.	0.
QBI deduction					
Taxable income	0.	0.	109,559.	117,802.	139,988.
Tax			15,977.	17,633.	22,377.
Alternative min tax					
Total credits			4,500.	4,507.	4,183.
Other taxes	0.		0.		
Payments			8,831.	10,037.	12,177.
Form 2210 penalty				37.	17.
Amount owed	0.	0.	2,646.	3,126.	6,034.
Applied to next year's estimated tax					
Refund					
Effective tax rate %	0.00	0.00	8.59	9.23	11.04
**Tax bracket %	10.0	10.0	22.0	22.0	22.0

**Tax bracket % is based on Taxable income.

Smart Worksheets from your 2020 Virginia Tax Return Attachment

SMART WORKSHEET FOR: Form 2441: Child and Dependent Care Expenses

Credit Limitation Smart Worksheet

Note: Line 10 is presently calculated by subtracting line B from line A. If zero or less, **stop;** you cannot take the credit.

A	Enter the amount from Form 1040, 1040-SR, or 1040-NR line 18	22,377.
B	Enter the amount from Schedule 3 (Form 1040), line 1	

SMART WORKSHEET FOR: Form 4952: Investment Interest Expense

Special Election Smart Worksheet

A	Net investment income without election. Lines 4c, 4f, less 5. . . .	0.
B	Excess investment interest expense. Line 3 less line A	949.
C	Amount available for election. Add lines 4b and 4e.	0.
D	Maximum election amount. Lesser of lines B and C	0.

Enter on line 4g the portion of line D that you elect to include in investment income.

SMART WORKSHEET FOR: Schedule D AMT: Capital Gains&Losses AMT

(a) Description of Short-Term Property Transaction		(b) Related Box on Form 8949			
(c) Date Acquired	(d) Date Sold	(e) Sales Price	(f) Cost or Other basis	(g) Gain or Loss Adjustment	(h) Gain or (Loss)
TD Ameritrade Clearing, Inc., Summary of category B		B			
See Stmnt	See Stmnt	1,845,685.	1,972,426.	123,052.	-3,689.
TD Ameritrade Clearing, Inc., Summary of category A (not eligible for 8949 exception)		A			
See Stmnt	See Stmnt	24,314,020.	25,253,875.	862,165.	-77,690.
AAPL 07/17/2020 CALL $390.00 1		A			
07/16/20	07/16/20	186.	220.		-34.
SPY 06/29/2020 PUT $295.00 1		A			
06/24/20	06/25/20	131.	143.		-12.
SPY 06/29/2020 PUT $297.00 1		A			
06/24/20	06/24/20	210.	166.		44.