

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 836107748	Statement Date: 02/11/2022 Document ID: S38M 555 F20J	2021
	Dheeraj Sangtiani 40 Van Reipen Ave Jersey City, NJ 07306 RECIPIENT'S TIN: XXX-XX-4532		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 55.88</p> <p>1b- Qualified dividends 55.56</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.20</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	37,482.93	39,723.59	0.00	183.65	-2,057.01
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	19.97	26.84	0.00	0.00	-6.87
	Total Short-term	37,502.90	39,750.43	0.00	183.65	-2,063.88
Long	D (basis reported to the IRS)	2,344.64	1,796.51	0.00	0.00	548.13
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	2,344.64	1,796.51	0.00	0.00	548.13
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	39,847.54	41,546.94	0.00	183.65	-1,515.75
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 836107748

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	15.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 836107748

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/21/2022 CALL \$155.00 / CUSIP: / Symbol: 11/22/21	1.000	1,281.98	11/02/21	470.00	...	811.98	Option sale to close-call ²⁵
AAPL 01/21/2022 CALL \$160.00 / CUSIP: / Symbol: 11/23/21	1.000	-642.02	11/22/21	0.00	...	-642.02	Short sale closed- call ²⁵ Option written: 11/02/21
AAPL 01/29/2021 CALL \$160.00 / CUSIP: / Symbol: 01/29/21	1.000	0.00	01/25/21	95.00	...	-95.00	Option expiration- long call
AAPL 01/29/2021 CALL \$165.00 / CUSIP: / Symbol: 01/29/21	1.000	0.00	01/25/21	61.00	...	-61.00	Option expiration- long call
AAPL 02/18/2022 CALL \$160.00 / CUSIP: / Symbol: 10/29/21	1.000	425.98	10/29/21	445.00	...	-19.02	Option sale to close-call ²⁵

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Account 836107748

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/23/2021 CALL \$135.00 / CUSIP: / Symbol:							
07/06/21	1.000	799.98	06/14/21	141.00	...	658.98	Option sale to close-call ²⁵
AAPL 07/30/2021 PUT \$136.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/28/21	10.00	...	-10.00	Option expiration- long put
AAPL 08/20/2021 CALL \$150.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	08/19/21	14.00	...	-14.00	Option expiration- long call
AAPL 08/20/2021 CALL \$160.00 / CUSIP: / Symbol:							
07/26/21	1.000	99.98	07/22/21	107.00	7.02 W	0.00	Option sale to close-call ²⁵
	2 transactions for 07/27/21. Total proceeds and cost reported to the IRS.						
	1.000	69.99	07/27/21	82.02	...	-12.03	1 of 2 - Option sale to close-call ²⁵
	1.000	69.99	07/27/21	75.00	...	-5.01	2 of 2 - Option sale to close-call ²⁵
07/27/21	2.000	139.98	Various	157.02	...	-17.04	Total of 2 transactions
	Security total:	239.96		264.02	7.02 W	-17.04	
AAPL 09/17/2021 PUT \$148.00 / CUSIP: / Symbol:							
09/14/21	1.000	139.98	09/14/21	157.00	...	-17.02	Option sale to close-put ²⁵
AAPL 10/01/2021 CALL \$145.00 / CUSIP: / Symbol:							
09/27/21	1.000	125.98	09/27/21	126.00	0.02 W	0.00	Option sale to close-call ²⁵
09/28/21	1.000	99.98	09/27/21	157.02	...	-57.04	Option sale to close-call ²⁵
	Security total:	225.96		283.02	0.02 W	-57.04	
AAPL 10/15/2021 CALL \$155.00 / CUSIP: / Symbol:							
08/16/21	1.000	358.98	08/16/21	375.00	16.02 W	0.00	Option sale to close-call ²⁵
08/17/21	1.000	384.98	08/16/21	355.02	...	29.96	Option sale to close-call ²⁵
08/18/21	1.000	281.99	08/18/21	318.00	...	-36.01	Option sale to close-call ²⁵
08/18/21	1.000	281.99	08/17/21	400.00	118.01 W	0.00	Option sale to close-call ²⁵
08/19/21	1.000	259.98	08/19/21	389.01	...	-129.03	Option sale to close-call ²⁵
	Security total:	1,567.92		1,837.03	134.03 W	-135.08	
AAPL 10/15/2021 PUT \$143.00 / CUSIP: / Symbol:							
10/15/21	1.000	0.00	10/15/21	9.00	...	-9.00	Option expiration- long put

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAPL 10/22/2021 CALL \$152.50 / CUSIP: / Symbol:							
10/22/21	1.000	0.00	10/19/21	14.00	...	-14.00	Option expiration- long call
AAPL 11/05/2021 CALL \$170.00 / CUSIP: / Symbol:							
11/05/21	1.000	0.00	10/28/21	7.00	...	-7.00	Option expiration- long call
ABNB 07/30/2021 CALL \$147.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/30/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	07/26/21	98.00	...	-98.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/26/21	96.00	...	-96.00	2 of 2 - Option expiration- long call
07/30/21	2.000	0.00	Various	194.00	...	-194.00	Total of 2 transactions
ABNB 07/30/2021 CALL \$149.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/30/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	63.98	07/30/21	0.00	...	63.98	1 of 2 - Short sale closed- call expired
	1.000	64.98	07/30/21	0.00	...	64.98	Option written: 07/26/21
07/30/21	2.000	128.96	Various	0.00	...	128.96	2 of 2 - Short sale closed- call expired
<i>Option written: 07/26/21</i>							
<i>Total of 2 transactions</i>							
AHT 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
06/09/21	1.000	49.98	06/03/21	54.00	...	-4.02	Option sale to close-call ²⁵
AMC 02/26/2021 CALL \$6.50 / CUSIP: / Symbol:							
02/23/21	1.000	107.98	02/22/21	35.00	...	72.98	Option sale to close-call ²⁵
AMC 05/21/2021 CALL \$20.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	05/18/21	32.00	...	-32.00	Option expiration- long call
AMC 05/21/2021 CALL \$21.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	05/18/21	28.00	...	-28.00	Option expiration- long call
AMC 07/23/2021 CALL \$47.00 / CUSIP: / Symbol:							
07/14/21	1.000	120.98	07/13/21	197.00	...	-76.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 10/15/2021 CALL \$55.00 / CUSIP: / Symbol: 10/15/21	1.000	0.00	09/30/21	160.00	...	-160.00	Option expiration- long call
AMC 10/15/2021 CALL \$56.00 / CUSIP: / Symbol: 10/15/21	1.000	150.98	10/15/21	0.00	...	150.98	Short sale closed- call expired Option written: 09/30/21
AMD 07/09/2021 CALL \$100.00 / CUSIP: / Symbol: 07/01/21	1.000	36.98	06/30/21	62.00	...	-25.02	Option sale to close-call ²⁵
AMD 07/09/2021 PUT \$87.00 / CUSIP: / Symbol: 07/08/21	2.000	33.98	07/08/21	58.00	...	-24.02	Option sale to close-put ²⁵
AMD 07/16/2021 CALL \$89.00 / CUSIP: / Symbol: 06/25/21	1.000	125.98	06/24/21	178.00	...	-52.02	Option sale to close-call ²⁵
AMD 08/20/2021 CALL \$105.00 / CUSIP: / Symbol: 07/01/21	1.000	205.98	06/28/21	102.00	...	103.98	Option sale to close-call ²⁵
ATVI 02/18/2022 CALL \$75.00 / CUSIP: / Symbol: 11/16/21	1.000	204.98	11/12/21	270.00	...	-65.02	Option sale to close-call ²⁵
ATVI 08/20/2021 CALL \$90.00 / CUSIP: / Symbol: 07/29/21	1.000	100.98	07/29/21	122.00	...	-21.02	Option sale to close-call ²⁵
AUY 04/16/2021 CALL \$7.00 / CUSIP: / Symbol: 04/16/21	1.000	0.00	02/08/21	9.00	...	-9.00	Option expiration- long call
AVIR 11/19/2021 CALL \$45.00 / CUSIP: / Symbol: 11/19/21	1.000	0.00	10/19/21	26.00	...	-26.00	Option expiration- long call
AZN 03/19/2021 PUT \$45.00 / CUSIP: / Symbol: 03/19/21	1.000	0.00	03/15/21	10.00	...	-10.00	Option expiration- long put
BABA 08/20/2021 CALL \$220.00 / CUSIP: / Symbol: 07/13/21	1.000	479.98	07/12/21	383.00	...	96.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBIG 09/17/2021 CALL \$10.00 / CUSIP: / Symbol:							
09/13/21	1.000	-60.02	09/10/21	0.00	...	-60.02	Short sale closed- call ²⁵ Option written: 08/30/21
BBIG 09/17/2021 CALL \$9.00 / CUSIP: / Symbol:							
09/10/21	1.000	259.98	08/30/21	165.00	...	94.98	Option sale to close-call ²⁵
BBY 11/05/2021 PUT \$115.00 / CUSIP: / Symbol:							
10/28/21	1.000	48.98	10/27/21	50.00	...	-1.02	Option sale to close-put ²⁵
BMBL 08/20/2021 CALL \$55.00 / CUSIP: / Symbol:							
08/12/21	1.000	69.98	08/12/21	85.00	...	-15.02	Option sale to close-call ²⁵
BYND 10/29/2021 CALL \$104.00 / CUSIP: / Symbol:							
10/29/21	1.000	0.00	10/27/21	47.00	...	-47.00	Option expiration- long call
CCIV 03/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	02/22/21	1,760.00	...	-1,760.00	Option expiration- long call
CCIV 03/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
03/19/21	1.000	1,574.95	03/19/21	0.00	...	1,574.95	Short sale closed- call expired Option written: 02/22/21
CCL 07/09/2021 CALL \$32.50 / CUSIP: / Symbol:							
07/09/21	1.000	0.00	06/18/21	34.00	...	-34.00	Option expiration- long call
CHGG 08/20/2021 CALL \$100.00 / CUSIP: / Symbol:							
07/23/21	1.000	114.98	07/22/21	125.00	...	-10.02	Option sale to close-call ²⁵
CLOV 07/02/2021 CALL \$23.00 / CUSIP: / Symbol:							
07/02/21	1.000	0.00	06/29/21	8.00	...	-8.00	Option expiration- long call
CLVS 07/16/2021 CALL \$6.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	07/13/21	18.00	...	-18.00	Option expiration- long call
CLVT 08/20/2021 CALL \$35.00 / CUSIP: / Symbol:							
06/28/21	1.000	19.98	06/25/21	34.00	...	-14.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 836107748

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CLX 07/16/2021 CALL \$190.00 / CUSIP: / Symbol:							
06/29/21	1.000	69.98	06/28/21	85.00	...	-15.02	Option sale to close-call ²⁵
CRM 09/03/2021 CALL \$300.00 / CUSIP: / Symbol:							
09/03/21	1.000	0.00	08/27/21	7.00	...	-7.00	Option expiration- long call
CRM 09/10/2021 CALL \$310.00 / CUSIP: / Symbol:							
09/10/21	1.000	0.00	08/27/21	20.00	...	-20.00	Option expiration- long call
CRSR 08/20/2021 CALL \$40.00 / CUSIP: / Symbol:							
06/23/21	1.000	146.98	06/22/21	135.00	...	11.98	Option sale to close-call ²⁵
CSCO 08/06/2021 CALL \$55.00 / CUSIP: / Symbol:							
07/23/21	1.000	57.98	07/22/21	42.00	...	15.98	Option sale to close-call ²⁵
DAL 07/02/2021 CALL \$43.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	70.99	06/28/21	65.00	...	5.99	1 of 2 - Option sale to close-call ²⁵
	1.000	70.99	06/28/21	61.00	...	9.99	2 of 2 - Option sale to close-call ²⁵
06/29/21	2.000	141.98	Various	126.00	...	15.98	Total of 2 transactions
DDD 06/18/2021 CALL \$33.50 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	06/14/21	40.00	...	-40.00	Option expiration- long call
DKNG 07/16/2021 CALL \$65.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	17.98	06/22/21	18.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	1.000	17.98	06/22/21	18.00	...	-0.02	2 of 2 - Option sale to close-call ²⁵
06/23/21	2.000	35.96	Various	36.00	...	-0.04	Total of 2 transactions
DKNG 07/16/2021 CALL \$70.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	10.98	06/22/21	12.00	...	-1.02	1 of 2 - Option sale to close-call ²⁵
	1.000	10.98	06/22/21	12.00	...	-1.02	2 of 2 - Option sale to close-call ²⁵
06/23/21	2.000	21.96	Various	24.00	...	-2.04	Total of 2 transactions

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DKNG 07/16/2021 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	10.000	69.97	06/22/21	70.00	...	-0.03	1 of 2 - Option sale to close-call ²⁵
	5.000	34.99	06/22/21	30.00	...	4.99	2 of 2 - Option sale to close-call ²⁵
06/23/21	15.000	104.96	Various	100.00	...	4.96	Total of 2 transactions
EBAY 07/09/2021 CALL \$71.00 / CUSIP: / Symbol:							
07/09/21	1.000	0.00	07/07/21	43.00	...	-43.00	Option expiration- long call
ETSY 06/25/2021 CALL \$185.00 / CUSIP: / Symbol:							
06/22/21	1.000	97.98	06/21/21	61.00	...	36.98	Option sale to close-call ²⁵
EYES 11/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
11/17/21	1.000	4.98	11/16/21	4.00	...	0.98	Option sale to close-call ²⁵
EYES 12/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
11/17/21	1.000	14.98	11/16/21	10.00	...	4.98	Option sale to close-call ²⁵
F 06/04/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/03/21	1.000	89.98	06/02/21	12.00	...	77.98	Option sale to close-call ²⁵
F 06/11/2021 PUT \$15.00 / CUSIP: / Symbol:							
06/11/21	1.000	0.00	06/03/21	14.00	...	-14.00	Option expiration- long put
F 06/17/2022 CALL \$25.00 / CUSIP: / Symbol:							
12/06/21	1.000	94.98	11/15/21	122.00	...	-27.02	Option sale to close-call ²⁵
FB 05/07/2021 PUT \$305.00 / CUSIP: / Symbol:							
05/07/21	1.000	0.98	05/06/21	14.00	...	-13.02	Option sale to close-put ²⁵
FB 06/25/2021 CALL \$340.00 / CUSIP: / Symbol:							
06/21/21	1.000	101.98	06/18/21	203.00	...	-101.02	Option sale to close-call ²⁵
FB 08/06/2021 CALL \$367.50 / CUSIP: / Symbol:							
08/06/21	1.000	46.98	08/05/21	35.00	...	11.98	Option sale to close-call ²⁵
FCEL 10/22/2021 CALL \$14.00 / CUSIP: / Symbol:							
10/22/21	1.000	0.00	10/18/21	8.00	...	-8.00	Option expiration- long call

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FCX 06/25/2021 CALL \$35.50 / CUSIP: / Symbol:							
06/21/21	1.000	91.98	06/18/21	64.00	...	27.98	Option sale to close-call ²⁵
FCX 06/25/2021 CALL \$36.50 / CUSIP: / Symbol:							
06/21/21	1.000	49.98	06/18/21	37.00	...	12.98	Option sale to close-call ²⁵
FCX 07/16/2021 PUT \$36.50 / CUSIP: / Symbol:							
07/07/21	1.000	155.98	07/06/21	138.00	...	17.98	Option sale to close-put ²⁵
FSLY 05/07/2021 PUT \$35.00 / CUSIP: / Symbol:							
05/07/21	1.000	0.00	05/06/21	11.00	...	-11.00	Option expiration- long put
FUBO 08/13/2021 PUT \$22.00 / CUSIP: / Symbol:							
08/13/21	1.000	0.00	08/10/21	12.00	...	-12.00	Option expiration- long put
GE 05/21/2021 CALL \$13.50 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	05/18/21	5.00	...	-5.00	Option expiration- long call
GE 05/21/2021 CALL \$14.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	05/18/21	2.00	...	-2.00	Option expiration- long call
GE 05/21/2021 CALL \$14.50 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	05/18/21	1.00	...	-1.00	Option expiration- long call
GE 06/11/2021 CALL \$14.00 / CUSIP: / Symbol:							
06/02/21	1.000	29.98	05/18/21	15.00	...	14.98	Option sale to close-call ²⁵
GE 06/11/2021 CALL \$14.50 / CUSIP: / Symbol:							
06/04/21	1.000	7.98	05/18/21	8.00	...	-0.02	Option sale to close-call ²⁵
GE 06/11/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/04/21	1.000	2.98	05/18/21	5.00	...	-2.02	Option sale to close-call ²⁵
GE 12/17/2021 CALL \$110.00 / CUSIP: / Symbol:							
11/03/21	1.000	240.98	11/03/21	250.00	...	-9.02	Option sale to close-call ²⁵
GEO 05/21/2021 CALL \$7.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	05/03/21	10.00	...	-10.00	Option expiration- long call

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GLOP 08/20/2021 CALL \$7.50 / CUSIP: / Symbol:							
07/07/21	1.000	19.98	07/06/21	50.00	...	-30.02	Option sale to close-call ²⁵
GME 03/26/2021 PUT \$5.00 / CUSIP: / Symbol:							
03/26/21	1.000	0.00	02/26/21	5.00	...	-5.00	Option expiration- long put
GOLD 06/25/2021 CALL \$22.00 / CUSIP: / Symbol:							
2 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	1.000	5.99	06/21/21	10.00	...	-4.01	1 of 2 - Option sale to close-call ²⁵
	1.000	5.99	06/21/21	10.00	...	-4.01	2 of 2 - Option sale to close-call ²⁵
06/22/21	2.000	11.98	Various	20.00	...	-8.02	Total of 2 transactions
GS 07/23/2021 CALL \$390.00 / CUSIP: / Symbol:							
07/14/21	1.000	137.98	07/13/21	289.00	...	-151.02	Option sale to close-call ²⁵
GS 08/06/2021 CALL \$400.00 / CUSIP: / Symbol:							
08/06/21	1.000	8.98	08/06/21	37.00	...	-28.02	Option sale to close-call ²⁵
GS 08/06/2021 CALL \$402.50 / CUSIP: / Symbol:							
08/09/21	1.000	10.98	08/06/21	0.00	...	10.98	Short sale closed- call ²⁵ Option written: 08/06/21
GSAT 07/16/2021 CALL \$2.00 / CUSIP: / Symbol:							
06/29/21	1.000	14.98	06/23/21	35.00	...	-20.02	Option sale to close-call ²⁵
HD 07/30/2021 CALL \$340.00 / CUSIP: / Symbol:							
07/30/21	2.000	0.00	07/26/21	94.00	...	-94.00	Option expiration- long call
HD 11/05/2021 PUT \$355.00 / CUSIP: / Symbol:							
10/28/21	1.000	57.98	10/27/21	66.00	...	-8.02	Option sale to close-put ²⁵
HEXO 08/20/2021 CALL \$8.00 / CUSIP: / Symbol:							
04/12/21	1.000	61.98	02/16/21	315.00	...	-253.02	Option sale to close-call ²⁵
HUT 07/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
07/06/21	2.000	149.98	07/02/21	130.00	...	19.98	Option sale to close-call ²⁵

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IGC 08/20/2021 CALL \$3.00 / CUSIP: / Symbol:							
07/23/21	1.000	32.98	07/22/21	89.00	...	-56.02	Option sale to close-call ²⁵
INTC 07/02/2021 CALL \$62.00 / CUSIP: / Symbol:							
2 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	1.000	25.99	06/14/21	23.00	...	2.99	1 of 2 - Option sale to close-call ²⁵
	1.000	25.99	06/14/21	23.00	...	2.99	2 of 2 - Option sale to close-call ²⁵
06/16/21	2.000	51.98	Various	46.00	...	5.98	Total of 2 transactions
ISEE 07/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
07/08/21	1.000	54.98	07/08/21	95.00	...	-40.02	Option sale to close-call ²⁵
ITUB 07/16/2021 CALL \$7.00 / CUSIP: / Symbol:							
07/16/21	2.000	0.00	06/16/21	50.00	...	-50.00	Option expiration- long call
IVR 06/11/2021 CALL \$4.50 / CUSIP: / Symbol:							
06/11/21	2.000	3.98	06/10/21	42.00	...	-38.02	Option sale to close-call ²⁵
IVR 06/18/2021 CALL \$4.50 / CUSIP: / Symbol:							
06/14/21	1.000	13.98	06/10/21	50.00	...	-36.02	Option sale to close-call ²⁵
JD 09/17/2021 CALL \$75.00 / CUSIP: / Symbol:							
06/25/21	1.000	654.98	06/24/21	478.00	...	176.98	Option sale to close-call ²⁵
JMIA 06/11/2021 CALL \$40.00 / CUSIP: / Symbol:							
06/11/21	1.000	0.00	06/03/21	36.00	...	-36.00	Option expiration- long call
JPM 07/23/2021 CALL \$165.00 / CUSIP: / Symbol:							
07/23/21	1.000	0.00	07/12/21	71.00	...	-71.00	Option expiration- long call
KDP 08/20/2021 CALL \$37.00 / CUSIP: / Symbol:							
2 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	1.000	49.99	07/02/21	64.00	...	-14.01	1 of 2 - Option sale to close-call ²⁵
	1.000	49.99	07/02/21	59.00	...	-9.01	2 of 2 - Option sale to close-call ²⁵
07/06/21	2.000	99.98	Various	123.00	...	-23.02	Total of 2 transactions
KO 09/17/2021 CALL \$60.00 / CUSIP: / Symbol:							
07/28/21	4.000	95.98	07/26/21	108.00	...	-12.02	Option sale to close-call ²⁵

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LOGI 08/20/2021 CALL \$145.00 / CUSIP: / Symbol: 08/20/21	1.000	0.00	07/26/21	60.00	...	-60.00	Option expiration- long call
LVS 12/17/2021 CALL \$70.00 / CUSIP: / Symbol: 12/17/21	1.000	0.00	06/14/21	139.00	...	-139.00	Option expiration- long call
LYFT 08/06/2021 PUT \$51.00 / CUSIP: / Symbol: 08/04/21	1.000	104.98	08/03/21	89.00	...	15.98	Option sale to close-put ²⁵
MA 06/18/2021 CALL \$375.00 / CUSIP: / Symbol: 06/18/21	1.000	8.98	06/16/21	79.00	...	-70.02	Option sale to close-call ²⁵
MGM 11/26/2021 CALL \$50.00 / CUSIP: / Symbol: 11/26/21	1.000	0.00	11/10/21	51.00	...	-51.00	Option expiration- long call
MSFT 07/16/2021 PUT \$275.00 / CUSIP: / Symbol: 07/08/21	1.000	259.98	07/07/21	169.00	...	90.98	Option sale to close-put ²⁵
MSFT 08/06/2021 CALL \$290.00 / CUSIP: / Symbol: 08/05/21	1.000	49.98	08/05/21	46.00	...	3.98	Option sale to close-call ²⁵
MYOV 07/16/2021 CALL \$30.00 / CUSIP: / Symbol: 06/22/21	1.000	29.98	06/21/21	30.00	...	-0.02	Option sale to close-call ²⁵
NCLH 07/09/2021 CALL \$31.00 / CUSIP: / Symbol: 06/29/21	2.000	61.98	06/28/21	102.00	...	-40.02	Option sale to close-call ²⁵
NFLX 06/18/2021 CALL \$495.00 / CUSIP: / Symbol: 06/14/21	1.000	699.98	06/14/21	470.00	...	229.98	Option sale to close-call ²⁵
NIO 06/04/2021 CALL \$50.00 / CUSIP: / Symbol: 06/04/21	1.000	0.00	04/23/21	118.00	...	-118.00	Option expiration- long call
NIO 11/12/2021 PUT \$31.00 / CUSIP: / Symbol: 11/12/21	1.000	0.00	11/09/21	14.00	...	-14.00	Option expiration- long put
NOK 06/04/2021 CALL \$6.50 / CUSIP: / Symbol: 06/04/21	1.000	0.00	06/03/21	13.00	...	-13.00	Option expiration- long call

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OCGN 05/21/2021 CALL \$12.50 / CUSIP: / Symbol:							
05/04/21	1.000	275.98	04/26/21	206.00	...	69.98	Option sale to close-call ²⁵
OCGN 05/21/2021 CALL \$20.00 / CUSIP: / Symbol:							
05/11/21	1.000	13.98	05/10/21	18.00	...	-4.02	Option sale to close-call ²⁵
OCGN 07/02/2021 CALL \$9.00 / CUSIP: / Symbol:							
06/29/21	1.000	29.98	06/28/21	40.00	...	-10.02	Option sale to close-call ²⁵
OCGN 07/09/2021 CALL \$9.00 / CUSIP: / Symbol:							
07/09/21	1.000	0.00	07/06/21	17.00	...	-17.00	Option expiration- long call
OCUP 07/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
06/30/21	1.000	149.98	06/30/21	180.00	...	-30.02	Option sale to close-call ²⁵
PBR 07/09/2021 CALL \$13.00 / CUSIP: / Symbol:							
2 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/16/21	12.00	...	-12.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/16/21	12.00	...	-12.00	2 of 2 - Option expiration- long call
07/09/21	2.000	0.00	Various	24.00	...	-24.00	Total of 2 transactions
PBR 07/16/2021 PUT \$10.50 / CUSIP: / Symbol:							
07/08/21	1.000	22.98	07/06/21	12.00	...	10.98	Option sale to close-put ²⁵
PDD 07/16/2021 CALL \$133.00 / CUSIP: / Symbol:							
06/24/21	1.000	364.98	06/23/21	181.00	...	183.98	Option sale to close-call ²⁵
PENN 07/30/2021 PUT \$55.00 / CUSIP: / Symbol:							
2 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/19/21	29.00	...	-29.00	1 of 2 - Option expiration- long put
	1.000	0.00	07/19/21	29.00	...	-29.00	2 of 2 - Option expiration- long put
07/30/21	2.000	0.00	Various	58.00	...	-58.00	Total of 2 transactions
PFE 10/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
08/18/21	1.000	57.98	08/12/21	23.00	...	34.98	Option sale to close-call ²⁵
PFE 11/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
08/18/21	1.000	91.98	08/16/21	53.00	...	38.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 836107748

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PFE 11/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
08/18/21	1.000	53.98	08/17/21	51.00	...	2.98	Option sale to close-call ²⁵
PFE 12/17/2021 CALL \$65.00 / CUSIP: / Symbol:							
08/18/21	1.000	67.98	08/17/21	58.00	...	9.98	Option sale to close-call ²⁵
PLUG 07/09/2021 CALL \$36.00 / CUSIP: / Symbol:							
06/28/21	1.000	62.98	06/25/21	76.00	...	-13.02	Option sale to close-call ²⁵
PTON 05/07/2021 PUT \$45.00 / CUSIP: / Symbol:							
05/07/21	1.000	0.00	05/06/21	3.00	...	-3.00	Option expiration- long put
PTON 05/07/2021 PUT \$55.00 / CUSIP: / Symbol:							
05/07/21	1.000	0.00	05/06/21	10.00	...	-10.00	Option expiration- long put
QS 08/20/2021 CALL \$40.00 / CUSIP: / Symbol:							
06/29/21	1.000	202.98	06/29/21	233.00	...	-30.02	Option sale to close-call ²⁵
RGLS 07/16/2021 CALL \$2.50 / CUSIP: / Symbol:							
06/14/21	2.000	19.98	06/10/21	50.00	...	-30.02	Option sale to close-call ²⁵
ROKU 06/18/2021 CALL \$350.00 / CUSIP: / Symbol:							
06/17/21	1.000	384.98	06/16/21	211.00	...	173.98	Option sale to close-call ²⁵
ROKU 07/30/2021 CALL \$500.00 / CUSIP: / Symbol:							
07/26/21	1.000	717.98	07/26/21	899.00	...	-181.02	Option sale to close-call ²⁵
ROOT 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
06/09/21	1.000	379.98	06/03/21	65.00	...	314.98	Option sale to close-call ²⁵
SAVA 09/24/2021 CALL \$52.00 / CUSIP: / Symbol:							
09/22/21	1.000	279.98	09/22/21	285.00	...	-5.02	Option sale to close-call ²⁵
SAVE 08/20/2021 CALL \$35.00 / CUSIP: / Symbol:							
07/13/21	1.000	41.98	07/12/21	62.00	...	-20.02	Option sale to close-call ²⁵
SBUX 07/30/2021 PUT \$115.00 / CUSIP: / Symbol:							
07/22/21	1.000	54.98	07/21/21	88.00	...	-33.02	Option sale to close-put ²⁵

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SDC 07/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	15.99	06/23/21	34.00	...	-18.01	1 of 2 - Option sale to close-call ²⁵
	1.000	15.99	06/23/21	34.00	...	-18.01	2 of 2 - Option sale to close-call ²⁵
06/29/21	2.000	31.98	Various	68.00	...	-36.02	Total of 2 transactions
SDC 11/12/2021 CALL \$6.00 / CUSIP: / Symbol:							
11/12/21	1.000	0.00	11/08/21	35.00	...	-35.00	Option expiration- long call
SNDL 07/16/2021 CALL \$0.50 / CUSIP: / Symbol:							
02/12/21	1.000	144.98	02/09/21	110.00	...	34.98	Option sale to close-call ²⁵
SOFI 06/18/2021 CALL \$25.00 / CUSIP: / Symbol:							
06/09/21	1.000	76.98	06/08/21	54.00	...	22.98	Option sale to close-call ²⁵
SOFI 07/02/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/29/21	1.000	74.98	06/28/21	76.00	...	-1.02	Option sale to close-call ²⁵
SPCE 09/03/2021 CALL \$29.00 / CUSIP: / Symbol:							
09/02/21	1.000	24.98	08/31/21	34.00	...	-9.02	Option sale to close-call ²⁵
SPCE 09/17/2021 CALL \$40.00 / CUSIP: / Symbol:							
08/12/21	1.000	45.98	08/11/21	58.00	...	-12.02	Option sale to close-call ²⁵
SPOT 09/17/2021 CALL \$250.00 / CUSIP: / Symbol:							
08/04/21	1.000	259.98	08/02/21	480.00	...	-220.02	Option sale to close-call ²⁵
SPRT 07/16/2021 CALL \$5.50 / CUSIP: / Symbol:							
07/07/21	1.000	24.98	07/06/21	50.00	...	-25.02	Option sale to close-call ²⁵
SPY 06/11/2021 PUT \$421.00 / CUSIP: / Symbol:							
06/10/21	1.000	61.98	06/09/21	147.00	...	-85.02	Option sale to close-put ²⁵
SRE 06/18/2021 CALL \$145.00 / CUSIP: / Symbol:							
06/14/21	1.000	24.98	06/10/21	60.00	...	-35.02	Option sale to close-call ²⁵
T 07/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
07/16/21	5.000	0.00	06/28/21	35.00	...	-35.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

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TCON 05/21/2021 CALL \$10.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	04/16/21	65.00	...	-65.00	Option expiration- long call
TSLA 05/28/2021 CALL \$657.50 / CUSIP: / Symbol:							
05/28/21	1.000	0.00	05/27/21	86.00	...	-86.00	Option expiration- long call
TSLA 07/16/2021 PUT \$630.00 / CUSIP: / Symbol:							
07/16/21	1.000	70.98	07/16/21	48.00	...	22.98	Option sale to close-put ²⁵
TSLA 11/12/2021 CALL \$1,775.00 / CUSIP: / Symbol:							
11/12/21	1.000	0.00	11/08/21	28.00	...	-28.00	Option expiration- long call
TSLA 11/26/2021 CALL \$1,375.00 / CUSIP: / Symbol:							
11/22/21	1.000	102.98	11/22/21	223.02	...	-120.04	Option sale to close-call ²⁵
11/22/21	1.000	179.98	11/22/21	203.00	23.02 W	0.00	Option sale to close-call ²⁵
	Security total:	282.96		426.02	23.02 W	-120.04	
TTCF 08/20/2021 CALL \$25.00 / CUSIP: / Symbol:							
06/30/21	1.000	109.98	06/29/21	97.00	...	12.98	Option sale to close-call ²⁵
TUP 05/21/2021 CALL \$32.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	05/05/21	20.00	...	-20.00	Option expiration- long call
TWTR 06/18/2021 CALL \$63.00 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	06/14/21	48.00	...	-48.00	Option expiration- long call
U 08/20/2021 CALL \$135.00 / CUSIP: / Symbol:							
08/12/21	1.000	34.98	08/11/21	60.00	...	-25.02	Option sale to close-call ²⁵
UAA 06/18/2021 CALL \$23.50 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	06/09/21	10.00	...	-10.00	Option expiration- long call
UBER 08/20/2021 CALL \$55.00 / CUSIP: / Symbol:							
07/07/21	1.000	123.98	07/06/21	193.00	...	-69.02	Option sale to close-call ²⁵
UBER 11/12/2021 PUT \$40.00 / CUSIP: / Symbol:							
11/02/21	1.000	69.98	10/29/21	61.00	...	8.98	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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USCR 06/18/2021 CALL \$85.00 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	06/07/21	5.00	...	-5.00	Option expiration- long call
VISL 08/20/2021 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	1.000	56.99	07/02/21	40.00	...	16.99	1 of 2 - Option sale to close-call ²⁵
	1.000	56.99	07/02/21	35.00	...	21.99	2 of 2 - Option sale to close-call ²⁵
07/06/21	2.000	113.98	Various	75.00	...	38.98	Total of 2 transactions
WATT 08/20/2021 CALL \$2.50 / CUSIP: / Symbol:							
08/02/21	1.000	24.98	07/14/21	65.00	...	-40.02	Option sale to close-call ²⁵
WDAY 06/11/2021 CALL \$232.50 / CUSIP: / Symbol:							
06/11/21	1.000	13.98	06/10/21	59.00	...	-45.02	Option sale to close-call ²⁵
WISH 10/15/2021 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/21/21	465.00	...	-465.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/02/21	273.00	...	-273.00	2 of 2 - Option expiration- long call
10/15/21	2.000	0.00	Various	738.00	...	-738.00	Total of 2 transactions
WISH 10/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
06/28/21	1.000	-5.02	06/25/21	0.00	...	-5.02	Short sale closed- call ²⁵ Option written: 06/21/21
WISH 12/17/2021 CALL \$10.00 / CUSIP: / Symbol:							
12/17/21	2.000	0.00	07/29/21	560.00	...	-560.00	Option expiration- long call
WISH 12/17/2021 CALL \$11.00 / CUSIP: / Symbol:							
12/17/21	2.000	489.98	12/17/21	0.00	...	489.98	Short sale closed- call expired Option written: 07/29/21
WMT 07/16/2021 CALL \$143.00 / CUSIP: / Symbol:							
07/06/21	1.000	60.98	07/02/21	90.00	...	-29.02	Option sale to close-call ²⁵
WMT 07/30/2021 CALL \$148.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/19/21	18.00	...	-18.00	Option expiration- long call

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WOOF 08/20/2021 CALL \$30.00 / CUSIP: / Symbol:							
06/29/21	1.000	64.98	06/29/21	74.00	...	-9.02	Option sale to close-call ²⁵
XLF 03/12/2021 CALL \$32.00 / CUSIP: / Symbol:							
03/04/21	1.000	-56.02	03/03/21	0.00	...	-56.02	Short sale closed- call ²⁵ Option written: 03/01/21
XLF 03/12/2021 CALL \$33.00 / CUSIP: / Symbol:							
03/03/21	1.000	100.98	03/01/21	62.00	...	38.98	Option sale to close-call ²⁵
XLF 03/12/2021 PUT \$31.00 / CUSIP: / Symbol:							
03/03/21	1.000	5.98	03/01/21	20.00	...	-14.02	Option sale to close-put ²⁵
XLF 03/12/2021 PUT \$32.00 / CUSIP: / Symbol:							
03/04/21	1.000	15.98	03/03/21	0.00	...	15.98	Short sale closed- put ²⁵ Option written: 03/01/21
XOM 05/14/2021 CALL \$65.00 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	05/12/21	3.00	...	-3.00	Option expiration- long call
Z 06/18/2021 CALL \$120.00 / CUSIP: / Symbol:							
06/14/21	1.000	71.98	06/14/21	96.00	...	-24.02	Option sale to close-call ²⁵
Z 11/05/2021 PUT \$60.00 / CUSIP: / Symbol:							
11/03/21	1.000	20.98	11/02/21	18.00	...	2.98	Option sale to close-put ²⁵
ADT INC. / CUSIP: 00090Q103 / Symbol:							
02/12/21	2.000	18.64	08/26/20	22.36	...	-3.72	Sale ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
02/12/21	1.771	10.06	01/27/21	27.45	...	-17.39	Sale ²⁵
05/19/21	10.000	129.10	05/18/21	141.79	...	-12.69	Sale ²⁵
	Security total:	139.16		169.24	...	-30.08	
AT&T INC. / CUSIP: 00206R102 / Symbol:							
02/12/21	1.000	28.70	07/23/20	30.08	1.38 W	0.00	Sale ²⁵
	2 transactions for 05/18/21. Total proceeds and cost reported to the IRS.						
	1.000	29.66	02/23/21	30.59	...	-0.93	1 of 2 - Sale ²⁵

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AT&T INC. / CUSIP: 00206R102 / Symbol: (cont'd)							
	3.000	88.99	02/23/21	87.62	...	1.37	2 of 2 - Sale ²⁵
05/18/21	4.000	118.65	Various	118.21	...	0.44	Total of 2 transactions
	Security total:	147.35		148.29	1.38 W	0.44	
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
03/04/21	1.000	118.70	02/26/21	130.07	...	-11.37	Sale ²⁵
ACTIVISION BLIZZARD, INC. COMM ON STOCK / CUSIP: 00507V109 / Symbol:							
03/05/21	1.000	91.08	07/23/20	81.49	...	9.59	Sale ²⁵
AECOM / CUSIP: 00766T100 / Symbol:							
03/05/21	1.000	55.90	07/23/20	37.22	...	18.68	Sale ²⁵
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
05/11/21	1.000	74.26	04/07/21	82.90	...	-8.64	Sale ²⁵
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
<i>6 transactions for 02/03/21. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.19	08/26/20	0.19	...	0.00	1 of 6 - Sale ²⁵
	0.033	110.01	08/26/20	108.92	...	1.09	2 of 6 - Sale ²⁵
	0.195	658.43	08/27/20	670.65	...	-12.22	3 of 6 - Sale ²⁵
	0.012	42.26	08/27/20	43.04	...	-0.78	4 of 6 - Sale ²⁵
	0.000	0.46	08/27/20	0.47	...	-0.01	5 of 6 - Sale ²⁵
	0.000	0.03	08/27/20	0.03	...	0.00	6 of 6 - Sale ²⁵
02/03/21	0.240	811.38	Various	823.30	...	-11.92	Total of 6 transactions
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
02/12/21	2.000	34.16	12/07/20	35.25	...	-1.09	Sale ²⁵
ARLO TECHNOLOGIES, INC. / CUSIP: 04206A101 / Symbol:							
02/12/21	1.000	7.91	12/07/20	7.21	...	0.70	Sale ²⁵

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ASENSUS SURGICAL, INC. / CUSIP: 04367G103 / Symbol:							
2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	10.000	18.55	02/16/21	61.50	...	-42.95	1 of 2 - Sale ²⁵
	10.000	18.55	03/10/21	41.30	...	-22.75	2 of 2 - Sale ²⁵
05/04/21	20.000	37.10	Various	102.80	...	-65.70	Total of 2 transactions
ATHENEX, INC. COMMON STOCK / CUSIP: 04685N103 / Symbol:							
05/11/21	4.000	18.33	05/05/21	21.92	...	-3.59	Sale ²⁵
AUDDIA INC. COMMON STOCK / CUSIP: 05072K107 / Symbol:							
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	10.000	62.13	06/23/21	46.49	...	15.64	1 of 2 - Sale ²⁵
	10.000	62.13	06/28/21	54.95	...	7.18	2 of 2 - Sale ²⁵
06/30/21	20.000	124.26	Various	101.44	...	22.82	Total of 2 transactions
AUTODESK, INC. COMMON STOCK / CUSIP: 052769106 / Symbol:							
03/05/21	1.000	255.27	08/26/20	246.66	...	8.61	Sale ²⁵
AYTU BIOPHARMA, INC. COMMON STOCK / CUSIP: 054754874 / Symbol:							
2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	10.560	63.91	02/08/21	95.29	...	-31.38	1 of 2 - Sale ²⁵
	5.440	32.93	03/10/21	43.61	...	-10.68	2 of 2 - Sale ²⁵
05/04/21	16.000	96.84	Various	138.90	...	-42.06	Total of 2 transactions
AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
02/12/21	1.000	23.45	12/07/20	25.19	...	-1.74	Sale ²⁵
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
03/05/21	5.000	132.13	12/02/20	114.97	...	17.16	Sale ²⁵
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
03/16/21	1.000	258.40	12/07/20	242.57	...	15.83	Sale ²⁵
BRIACELL THERAPEUTICS CORP. COMMON SHARES / CUSIP: 10778Y302 / Symbol:							
07/30/21	20.000	105.00	07/29/21	105.34	...	-0.34	Sale ²⁵

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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C3.AI, INC. / CUSIP: 12468P104 / Symbol:							
02/26/21	1.000	111.48	12/10/20	117.26	...	-5.78	Sale ²⁵
05/04/21	1.000	58.27	04/14/21	72.55	...	-14.28	Sale ²⁵
	Security total:	169.75		189.81	...	-20.06	
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
<i>2 transactions for 09/29/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	77.03	09/24/21	51.44	...	25.59	1 of 2 - Sale ²⁵
	25.000	77.03	09/28/21	79.24	...	-2.21	2 of 2 - Sale ²⁵
09/29/21	50.000	154.06	Various	130.68	...	23.38	Total of 2 transactions
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
09/14/21	5.000	228.00	09/03/21	250.45	...	-22.45	Sale ²⁵
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
02/23/21	2.000	3.12	01/19/21	1.81	...	1.31	Sale ²⁵
05/04/21	10.000	12.70	04/14/21	13.95	...	-1.25	Sale ²⁵
	Security total:	15.82		15.76	...	0.06	
CLENE INC. COMMON STOCK / CUSIP: 185634102 / Symbol:							
07/14/21	10.000	119.32	07/13/21	141.18	...	-21.86	Sale ²⁵
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
05/04/21	1.000	279.50	04/16/21	334.85	...	-55.35	Sale ²⁵
COLUMBIA PROPERTY TRUST, INC. / CUSIP: 198287203 / Symbol:							
02/12/21	1.000	14.17	07/23/20	12.01	...	2.16	Sale ²⁵
DARE BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 23666P101 / Symbol:							
07/06/21	50.000	77.00	06/30/21	100.48	...	-23.48	Sale ²⁵
<i>2 transactions for 07/07/21. Total proceeds and cost reported to the IRS.</i>							
	34.000	63.26	06/30/21	68.32	...	-5.06	1 of 2 - Sale ²⁵
	16.000	29.84	06/30/21	32.15	...	-2.31	2 of 2 - Sale ²⁵
07/07/21	50.000	93.10	Various	100.47	...	-7.37	Total of 2 transactions
	Security total:	170.10		200.95	...	-30.85	

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DATCHAT, INC. COMMON STOCK / CUSIP: 23816M107 / Symbol:							
09/30/21	10.000	130.70	09/29/21	146.50	...	-15.80	Sale ²⁵
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
02/12/21	1.000	42.70	12/07/20	42.46	...	0.24	Sale ²⁵
DIGITAL WORLD ACQUISITION CORP . CLASS A COMMON STOCK / CUSIP: 25400Q105 / Symbol:							
10/22/21	1.000	130.00	10/22/21	98.77	...	31.23	Sale ²⁵
ESS TECH, INC. / CUSIP: 26916J106 / Symbol:							
11/03/21	10.000	180.00	10/29/21	187.86	...	-7.86	Sale ²⁵
U.S. GLOBAL JETS ETF / CUSIP: 26922A842 / Symbol:							
02/12/21	1.000	23.32	12/07/20	23.59	...	-0.27	Sale ²⁵
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	2.000	21.73	08/26/20	13.40	...	8.33	1 of 2 - Sale ²⁵
	3.000	32.60	08/26/20	20.10	...	12.50	2 of 2 - Sale ²⁵
02/12/21	5.000	54.33	Various	33.50	...	20.83	Total of 2 transactions
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
02/12/21	5.000	40.46	12/02/20	35.73	...	4.73	Sale ²⁵
ENSYSCE BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 293602108 / Symbol:							
08/20/21	10.000	32.52	08/05/21	54.30	...	-21.78	Sale ²⁵
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
02/12/21	1.000	50.28	07/23/20	43.37	...	6.91	Sale ²⁵
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
04/23/21	2.000	136.77	04/14/21	146.13	...	-9.36	Sale ²⁵
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol:							
04/05/21	1.000	282.52	12/18/20	283.50	...	-0.98	Sale ²⁵
FISKER INC. / CUSIP: 33813J106 / Symbol:							
03/01/21	10.000	265.71	02/26/21	269.00	...	-3.29	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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THE GAP, INC. / CUSIP: 364760108 / Symbol:							
02/12/21	2.000	45.77	08/26/20	34.54	...	11.23	Sale ²⁵
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
02/12/21	5.000	57.55	12/02/20	52.10	...	5.45	Sale ²⁵
GOLDMAN SACHS GROUP INC. / CUSIP: 38141G104 / Symbol:							
02/26/21	1.000	325.75	07/23/20	205.10	...	120.65	Sale ²⁵
HEXO CORP. COMMON SHARES / CUSIP: 428304307 / Symbol:							
<i>2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	129.00	02/16/21	173.58	...	-44.58	1 of 2 - Sale ²⁵
	6.000	38.70	03/10/21	41.10	...	-2.40	2 of 2 - Sale ²⁵
05/04/21	26.000	167.70	Various	214.68	...	-46.98	Total of 2 transactions
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol:							
02/12/21	1.000	277.69	12/02/20	270.78	...	6.91	Sale ²⁵
IBIO, INC. / CUSIP: 451033203 / Symbol:							
05/11/21	5.000	8.12	05/05/21	9.48	...	-1.36	Sale ²⁵
IMMUNOME, INC. COMMON STOCK / CUSIP: 45257U108 / Symbol:							
05/04/21	3.000	75.72	04/26/21	97.38	...	-21.66	Sale ²⁵
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
<i>3 transactions for 06/30/21. Total proceeds and cost reported to the IRS.</i>							
	0.013	0.75	08/26/20	0.66	...	0.09	1 of 3 - Sale ²⁵
	1.987	110.67	08/26/20	98.12	...	12.55	2 of 3 - Sale ²⁵
	0.013	0.75	12/02/20	0.66	...	0.09	3 of 3 - Sale ²⁵
06/30/21	2.013	112.17	Various	99.44	...	12.73	Total of 3 transactions
INTERNATIONAL CONSOLIDATED AIR LINES GROUP SA SPONSORED AMERI CAN DEPOSITORY RECEIPT (SPAIN) / CUSIP: 459348108 / Symbol:							
02/12/21	5.000	20.45	12/07/20	22.70	...	-2.25	Sale ²⁵
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
06/22/21	12.000	47.93	06/09/21	50.16	...	-2.23	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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ISHARES IBOXX \$ HIGH YIELD COR PORATE BOND ETF / CUSIP: 464288513 / Symbol:							
02/02/21	1.000	87.24	12/10/20	86.85	...	0.39	Sale ²⁵
KATAPULT HOLDINGS, INC. COMMON STOCK / CUSIP: 485859102 / Symbol:							
08/30/21	30.000	129.90	08/30/21	126.15	...	3.75	Sale ²⁵
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
02/12/21	1.000	31.70	12/02/20	35.41	...	-3.71	Sale ²⁵
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
<i>5 transactions for 10/28/21. Total proceeds and cost reported to the IRS.</i>							
	4.000	131.08	02/16/21	194.42	...	-63.34	1 of 5 - Sale ²⁵
	3.000	98.31	02/16/21	150.57	...	-52.26	2 of 5 - Sale ²⁵
	3.000	98.31	02/16/21	116.97	...	-18.66	3 of 5 - Sale ²⁵
	2.000	67.28	03/10/21	52.28	...	15.00	4 of 5 - Sale ²⁵
	8.000	269.12	03/26/21	182.60	...	86.52	5 of 5 - Sale ²⁵
10/28/21	20.000	664.10	Various	696.84	...	-32.74	Total of 5 transactions
<i>2 transactions for 11/26/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	50.60	04/20/21	18.84	...	31.76	1 of 2 - Sale ²⁵
	9.000	455.35	09/13/21	181.76	...	273.59	2 of 2 - Sale ²⁵
11/26/21	10.000	505.95	Various	200.60	...	305.35	Total of 2 transactions
	Security total:	1,170.05		897.44	...	272.61	
MARIN SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 56804T205 / Symbol:							
07/14/21	10.000	138.92	07/13/21	142.85	...	-3.93	Sale ²⁵
NIKE, INC. / CUSIP: 654106103 / Symbol:							
02/12/21	1.000	142.48	12/02/20	135.49	...	6.99	Sale ²⁵
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
02/12/21	5.000	109.66	10/01/20	109.70	...	-0.04	Sale ²⁵
NOVAN, INC. COMMON STOCK / CUSIP: 66988N205 / Symbol:							
06/17/21	12.000	134.40	06/14/21	198.82	...	-64.42	Sale ²⁵

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NOVO INTEGRATED SCIENCES, INC. COMMON STOCK / CUSIP: 67011T201 / Symbol:							
3 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	5.000	13.84	02/24/21	54.90	...	-41.06	1 of 3 - Sale ²⁵
	2.000	5.54	02/24/21	24.60	...	-19.06	2 of 3 - Sale ²⁵
	10.000	27.68	03/10/21	37.95	...	-10.27	3 of 3 - Sale ²⁵
05/04/21	17.000	47.06	Various	117.45	...	-70.39	Total of 3 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
07/14/21	1.000	6.79	04/23/21	9.74	...	-2.95	Sale ²⁵
ONCONOVA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 68232V405 / Symbol:							
2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	50.000	31.65	02/17/21	74.00	...	-42.35	1 of 2 - Sale ²⁵
	20.000	12.66	03/10/21	21.60	...	-8.94	2 of 2 - Sale ²⁵
05/04/21	70.000	44.31	Various	95.60	...	-51.29	Total of 2 transactions
NEXTPLAT CORP COMMON STOCK / CUSIP: 68557F209 / Symbol:							
07/13/21	10.000	89.40	07/06/21	90.38	...	-0.98	Sale ²⁵
PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
02/12/21	1.000	32.79	12/10/20	27.02	...	5.77	Sale ²⁵
PANASONIC CORPORATION COMMON STOCK / CUSIP: 69832A205 / Symbol:							
02/12/21	1.000	14.11	07/23/20	9.65	...	4.46	Sale ²⁵
PFIZER INC. / CUSIP: 717081103 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	2.000	68.40	11/18/20	73.70	...	-5.30	1 of 2 - Sale ²⁵
	2.000	68.41	12/02/20	80.98	...	-12.57	2 of 2 - Sale ²⁵
03/05/21	4.000	136.81	Various	154.68	...	-17.87	Total of 2 transactions
09/01/21	5.000	228.76	08/03/21	229.05	...	-0.29	Sale ²⁵
	Security total:	365.57		383.73	...	-18.16	

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PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
<i>5 transactions for 05/04/21. Total proceeds and cost reported to the IRS.</i>							
	20.965	30.66	02/17/21	59.12	...	-28.46	1 of 5 - Sale ²⁵
	0.371	0.54	02/17/21	1.04	...	-0.50	2 of 5 - Sale ²⁵
	20.000	29.25	03/10/21	31.60	...	-2.35	3 of 5 - Sale ²⁵
	15.000	21.94	03/10/21	23.70	...	-1.76	4 of 5 - Sale ²⁵
	10.000	14.62	03/10/21	15.75	...	-1.13	5 of 5 - Sale ²⁵
05/04/21	66.336	97.01	Various	131.21	...	-34.20	Total of 5 transactions
10/22/21	10.000	92.50	10/22/21	77.10	...	15.40	Sale ²⁵
	Security total:	189.51		208.31	...	-18.80	
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
10/21/21	4.000	245.86	08/05/21	242.11	...	3.75	Sale ²⁵
PROFESSIONAL DIVERSITY NETWORK, INC. COMMON STOCK / CUSIP: 74312Y202 / Symbol:							
<i>2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	16.15	02/17/21	43.55	...	-27.40	1 of 2 - Sale ²⁵
	10.000	16.15	03/10/21	26.80	...	-10.65	2 of 2 - Sale ²⁵
05/04/21	20.000	32.30	Various	70.35	...	-38.05	Total of 2 transactions
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
11/10/21	10.000	352.60	11/03/21	311.21	...	41.39	Sale ²⁵
RILEY EXPLORATION PERMIAN, INC. / CUSIP: 76665T102 / Symbol:							
09/16/21	10.000	242.92	09/14/21	220.58	...	22.34	Sale ²⁵
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
11/10/21	4.000	459.99	11/10/21	477.76	...	-17.77	Sale ²⁵
11/10/21	2.000	230.00	11/10/21	231.78	1.78 W	0.00	Sale ²⁵
<i>2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	134.24	11/10/21	114.06	...	20.18	1 of 2 - Sale ²⁵
	1.000	134.24	11/10/21	109.85	...	24.39	2 of 2 - Sale ²⁵
11/18/21	2.000	268.48	Various	223.91	...	44.57	Total of 2 transactions
	Security total:	958.47		933.45	1.78 W	26.80	

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Account 836107748

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
08/10/21	2.000	759.10	06/16/21	673.14	...	85.96	Sale ²⁵
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	10.000	13.20	08/26/20	33.80	...	-20.60	1 of 2 - Sale ²⁵
	10.131	13.37	12/03/20	18.99	...	-5.62	2 of 2 - Sale ²⁵
02/12/21	20.131	26.57	Various	52.79	...	-26.22	Total of 2 transactions
SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol:							
02/12/21	1.000	11.80	12/07/20	12.37	...	-0.57	Sale ²⁵
SCHRODINGER, INC. COMMON STOCK / CUSIP: 80810D103 / Symbol:							
02/24/21	1.000	111.45	12/07/20	68.63	...	42.82	Sale ²⁵
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
05/11/21	3.000	41.55	04/22/21	49.88	...	-8.33	Sale ²⁵
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
09/21/21	30.000	195.33	09/17/21	200.94	...	-5.61	Sale ²⁵
SNAP INC. / CUSIP: 83304A106 / Symbol:							
02/12/21	1.000	62.95	12/07/20	49.08	...	13.87	Sale ²⁵
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	20.000	78.41	02/24/21	164.20	...	-85.79	1 of 2 - Sale ²⁵
	7.000	27.45	03/10/21	47.09	...	-19.64	2 of 2 - Sale ²⁵
05/04/21	27.000	105.86	Various	211.29	...	-105.43	Total of 2 transactions
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
02/12/21	1.000	51.61	12/07/20	47.95	...	3.66	Sale ²⁵
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
02/12/21	1.000	29.72	12/07/20	25.67	...	4.05	Sale ²⁵
SPORTS ENTERTAINMENT ACQUISITI ON CORP. / CUSIP: 84918M106 / Symbol:							
11/16/21	5.000	59.75	11/12/21	57.54	...	2.21	Sale ²⁵

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Robinhood Securities LLC

Account 836107748

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BLOCK, INC. / CUSIP: 852234103 / Symbol:							
05/04/21	5.000	1,149.74	04/05/21	1,146.00	...	3.74	Sale ²⁵
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
<i>2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.</i>							
	16.000	29.12	12/03/20	12.53	...	16.59	1 of 2 - Sale ²⁵
	163.000	296.64	12/03/20	127.63	...	169.01	2 of 2 - Sale ²⁵
02/12/21	179.000	325.76	Various	140.16	...	185.60	Total of 2 transactions
SUTRO BIOPHARMA, INC. COMMON S TOCK / CUSIP: 869367102 / Symbol:							
02/12/21	1.000	23.83	12/07/20	22.09	...	1.74	Sale ²⁵
SYNAPTOGENIX, INC. COMMON STOC K / CUSIP: 87167T201 / Symbol:							
06/22/21	10.000	100.64	06/16/21	87.65	...	12.99	Sale ²⁵
TATA MOTORS LIMITED / CUSIP: 876568502 / Symbol:							
02/12/21	1.000	22.50	12/07/20	12.39	...	10.11	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
02/12/21	1.000	795.86	12/02/20	569.25	...	226.61	Sale ²⁵
05/19/21	1.000	551.03	05/18/21	586.64	...	-35.61	Sale ²⁵
08/12/21	2.000	1,402.38	06/24/21	1,373.57	...	28.81	Sale ²⁵
	Security total:	2,749.27		2,529.46	...	219.81	
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
<i>4 transactions for 05/04/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	47.79	02/16/21	102.78	...	-54.99	1 of 4 - Sale ²⁵
	3.324	52.95	02/16/21	84.31	...	-31.36	2 of 4 - Sale ²⁵
	2.000	31.86	03/10/21	50.49	...	-18.63	3 of 4 - Sale ²⁵
	1.676	26.70	03/10/21	36.76	...	-10.06	4 of 4 - Sale ²⁵
05/04/21	10.000	159.30	Various	274.34	...	-115.04	Total of 4 transactions
TOUGHBUILT INDUSTRIES, INC. CO MMON STOCK / CUSIP: 89157G504 / Symbol:							
06/22/21	100.000	79.03	06/16/21	87.98	...	-8.95	Sale ²⁵
TUSIMPLE HOLDINGS INC. CLASS A COMMON STOCK / CUSIP: 90089L108 / Symbol:							
05/11/21	10.000	355.00	04/15/21	381.80	...	-26.80	Sale ²⁵

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Robinhood Securities LLC

Account 836107748

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
03/04/21	2.000	104.30	12/07/20	99.47	...	4.83	Sale ²⁵
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
02/12/21	1.000	4.01	01/15/21	3.82	...	0.19	Sale ²⁵
VERTEX ENERGY, INC COMMON STOCK / CUSIP: 92534K107 / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	10.000	76.14	05/27/21	38.08	...	38.06	1 of 2 - Sale ²⁵
	20.000	152.26	05/27/21	77.80	...	74.46	2 of 2 - Sale ²⁵
06/18/21	30.000	228.40	Various	115.88	...	112.52	Total of 2 transactions
VINCO VENTURES, INC. COMMON STOCK / CUSIP: 927330100 / Symbol:							
2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	10.000	77.50	08/27/21	52.45	...	25.05	1 of 2 - Sale ²⁵
	10.000	77.50	08/27/21	52.45	...	25.05	2 of 2 - Sale ²⁵
08/30/21	20.000	155.00	Various	104.90	...	50.10	Total of 2 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
03/05/21	2.000	48.22	08/26/20	34.96	...	13.26	Sale ²⁵
VISA INC. / CUSIP: 92826C839 / Symbol:							
02/02/21	0.030	6.21	12/02/20	6.38	...	-0.17	Sale ²⁵
WAITR HOLDINGS INC. COMMON STOCK / CUSIP: 930752100 / Symbol:							
02/12/21	2.000	7.62	12/07/20	7.59	...	0.03	Sale ²⁵
WARNER MUSIC GROUP CORP. CLASS A COMMON STOCK / CUSIP: 934550203 / Symbol:							
3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	0.008	0.28	08/26/20	0.24	...	0.04	1 of 3 - Sale ²⁵
	1.992	68.48	08/26/20	58.60	...	9.88	2 of 3 - Sale ²⁵
	0.008	0.28	12/02/20	0.24	...	0.04	3 of 3 - Sale ²⁵
03/05/21	2.008	69.04	Various	59.08	...	9.96	Total of 3 transactions
WIPRO LIMITED / CUSIP: 97651M109 / Symbol:							
02/12/21	2.000	13.34	12/10/20	10.69	...	2.65	Sale ²⁵

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
03/04/21	2.000	27.94	12/02/20	39.36	...	-11.42	Sale ²⁵
XENETIC BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 984015503 / Symbol:							
<i>4 transactions for 09/14/21. Total proceeds and cost reported to the IRS.</i>							
	68.000	162.51	09/10/21	174.08	...	-11.57	1 of 4 - Sale ²⁵
	29.000	69.31	09/10/21	74.24	...	-4.93	2 of 4 - Sale ²⁵
	2.000	4.78	09/10/21	5.12	...	-0.34	3 of 4 - Sale ²⁵
	1.000	2.39	09/10/21	2.56	...	-0.17	4 of 4 - Sale ²⁵
09/14/21	100.000	238.99	Various	256.00	...	-17.01	Total of 4 transactions
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
03/04/21	10.000	286.90	10/05/20	198.40	...	88.50	Sale ²⁵
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
03/02/21	1.000	413.41	08/26/20	293.11	...	120.30	Sale ²⁵
BORQS TECHNOLOGIES, INC. ORDIN ARY SHARES / CUSIP: G1466B103 / Symbol:							
07/06/21	50.000	51.25	06/30/21	69.25	...	-18.00	Sale ²⁵
07/07/21	50.000	48.44	06/30/21	69.25	...	-20.81	Sale ²⁵
	Security total:	99.69		138.50	...	-38.81	
LUOKUNG TECHNOLOGY CORP ORDINA RY SHARES / CUSIP: G56981106 / Symbol:							
<i>3 transactions for 05/04/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	77.56	02/16/21	150.50	...	-72.94	1 of 3 - Sale ²⁵
	40.000	62.05	03/10/21	44.20	...	17.85	2 of 3 - Sale ²⁵
	10.000	15.51	03/16/21	14.38	...	1.13	3 of 3 - Sale ²⁵
05/04/21	100.000	155.12	Various	209.08	...	-53.96	Total of 3 transactions
METEN HOLDING GROUP LTD. ORDIN ARY SHARES / CUSIP: G6055H114 / Symbol:							
<i>2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.</i>							
	200.000	105.97	10/28/21	124.60	...	-18.63	1 of 2 - Sale ²⁵
	100.000	52.99	10/28/21	61.41	...	-8.42	2 of 2 - Sale ²⁵
11/02/21	300.000	158.96	Various	186.01	...	-27.05	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NEXTGEN ACQUISITION CORPORATIO N CLASS A ORDINARY SHARES / CUSIP: G65305107 / Symbol:							
02/24/21	10.000	118.78	02/23/21	128.30	...	-9.52	Sale ²⁵
POWERBRIDGE TECHNOLOGIES CO., LTD. ORDINARY SHARES / CUSIP: G72007100 / Symbol:							
07/06/21	50.000	118.10	06/29/21	134.50	16.40 W	0.00	Sale ²⁵
07/07/21	50.000	123.25	06/30/21	144.85	...	-21.60	Sale ²⁵
	Security total:	241.35		279.35	16.40 W	-21.60	
SCIENJOY HOLDING CORPORATION C LASS A ORDINARY SHARES / CUSIP: G7864D112 / Symbol:							
05/04/21	10.000	82.50	04/26/21	117.30	...	-34.80	Sale ²⁵
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760194 / Symbol:							
03/05/21	40.000	35.80	12/07/20	22.00	...	13.80	Sale ²⁵
Totals :		37,482.93		39,723.59	183.65 W	-2,057.01	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
03/04/21	1.000	232.26	02/05/20	223.00	...	9.26	Sale ²⁵
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
	2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	0.769	10.64	01/22/20	20.30	...	-9.66	1 of 2 - Sale ²⁵
	0.231	3.19	01/27/20	5.76	...	-2.57	2 of 2 - Sale ²⁵
02/12/21	1.000	13.83	Various	26.06	...	-12.23	Total of 2 transactions

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2021 1099-B* OMB No. 1545-0715

(continued)

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CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
<i>2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	12.12	02/06/20	8.12	...	4.00	1 of 2 - Sale ²⁵
	4.000	48.49	02/06/20	32.48	...	16.01	2 of 2 - Sale ²⁵
02/12/21	5.000	60.61	Various	40.60	...	20.01	Total of 2 transactions
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
03/05/21	1.000	184.04	02/19/20	141.44	...	42.60	Sale ²⁵
META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol:							
03/05/21	1.000	257.07	02/05/20	210.00	...	47.07	Sale ²⁵
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
02/12/21	2.000	23.01	01/29/20	17.70	...	5.31	Sale ²⁵
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
03/04/21	3.000	154.21	01/27/20	100.58	...	53.63	Sale ²⁵
GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
<i>2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	16.14	01/21/20	8.56	...	7.58	1 of 2 - Sale ²⁵
	5.000	40.35	01/21/20	21.40	...	18.95	2 of 2 - Sale ²⁵
02/12/21	7.000	56.49	Various	29.96	...	26.53	Total of 2 transactions
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
01/27/21	1.000	1.35	01/21/20	5.07	...	-3.72	Sale ²⁵
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
03/05/21	2.000	453.44	02/14/20	368.19	...	85.25	Sale ²⁵
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
03/05/21	1.000	501.30	02/19/20	387.45	...	113.85	Sale ²⁵
SONY GROUP CORPORATION AMERICA N DEPOSITARY SHARES (EACH REPR ESENTING ONE SHARE OF DOLLAR V / CUSIP: 835699307 / Symbol:							
03/05/21	2.000	202.70	02/03/20	140.90	...	61.80	Sale ²⁵
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
02/24/21	2.000	144.06	01/21/20	68.26	...	75.80	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
02/12/21	1.000	60.27	02/06/20	37.30	...	22.97	Sale ²⁵
Totals :		2,344.64		1,796.51		548.13	

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Robinhood Securities LLC

Account 836107748

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AYTU BIOPHARMA, INC. COMMON STOCK / CUSIP: 054754874 / Symbol:							
03/26/21	0.538	5.20	02/08/21	4.85	...	0.35	Gain or loss
TILRAY BRANDS, INC. CLASS 2 COMMON STOCK / CUSIP: 88688T100 / Symbol:							
05/10/21	0.867	14.77	02/16/21	21.99	...	-7.22	Gain or loss
Totals:		19.97		26.84	...	-6.87	

Robinhood Securities LLC

Account 836107748

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ADT INC.	00090Q103		01/04/21	0.07	Qualified dividend	
AT&T INC.	00206R102		02/01/21	0.52	Qualified dividend	
			05/03/21	2.08	Qualified dividend	
				2.60	Total Dividends & distributions	
APPLE INC. COMMON STOCK	037833100		02/11/21	4.10	Qualified dividend	
			05/13/21	6.60	Qualified dividend	
			08/12/21	6.60	Qualified dividend	
			11/11/21	7.27	Qualified dividend	
				24.57	Total Dividends & distributions	
COLUMBIA PROPERTY TRUST, INC.	198287203		01/08/21	0.20	Nondividend distribution	03
EXXON MOBIL CORPORATION	30231G102		03/10/21	0.87	Qualified dividend	
FEDEX CORPORATION	31428X106		04/01/21	0.65	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		01/25/21	0.05	Qualified dividend	
INTEL CORPORATION COMMON STOCK	458140100		03/01/21	0.70	Qualified dividend	
			06/01/21	0.70	Qualified dividend	
				1.40	Total Dividends & distributions	
ISHARES IBOXX \$ HIGH YIELD CORPORATE BOND ETF	464288513		02/05/21	0.32	Nonqualified dividend	03

Robinhood Securities LLC

Account 836107748

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/11/21	1.12	Qualified dividend	
			06/10/21	5.60	Qualified dividend	
			09/09/21	5.60	Qualified dividend	
			12/09/21	6.82	Qualified dividend	
				19.14	Total Dividends & distributions	
PFIZER INC.	717081103		03/05/21	1.56	Qualified dividend	
WALMART INC.	931142103		01/04/21	1.08	Qualified dividend	
			04/05/21	1.10	Qualified dividend	
			06/01/21	1.10	Qualified dividend	
			09/07/21	1.10	Qualified dividend	
				4.38	Total Dividends & distributions	
WARNER MUSIC GROUP CORP. CLASS A COMMON STOCK	934550203		03/01/21	0.24	Qualified dividend	
WIPRO LIMITED	97651M109		02/09/21	0.03	Qualified dividend	
				56.08	Total Dividends & distributions	

Robinhood Securities LLC

Account 836107748

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/20/21	-5.00	Margin interest paid	
Margin charge		11/22/21	-5.00	Margin interest paid	
		12/22/21	-5.00	Margin interest paid	
			-10.00	Total Margin interest paid	
			-15.00	Total Margin interest paid	

Robinhood Securities LLC

Account 836107748

Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
IN	WIPRO LIMITED	97651M109	0.00	0.03		0.00	0.03	0.00
Total INDIA			0.00	0.03		0.00	0.03	0.00
Grand Total	Total Foreign Source Income*			0.03				
	Qualified Foreign Source Income**			0.03				
	Foreign Tax			0.00				

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 836107748

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
APHRIA INC. COMMON SHARES	03765K104	05/03/21	-7.00	0.00	Merger	
ASENSUS SURGICAL, INC.	04367G103	03/05/21	10.00	0.00	Exchange	
AYTU BIOPHARMA, INC. COMMON STOCK	054754874	03/22/21	16.00	0.00	Merger	
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-21.00	0.00	Exchange	
DOCUMENT SECURITY SYSTEMS, INC.	25614T309	10/01/21	-100.00	0.00	Exchange	
DSS, INC.	26253C102	10/01/21	100.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	21.00	0.00	Exchange	
NEOS THERAPEUTICS, INC. COMMON STOCK	64052L106	03/22/21	-152.00	0.00	Merger	
TILRAY BRANDS, INC. CLASS 2 COMMON STOCK	88688T100	05/03/21	5.00	0.00	Merger	
TRANSENTERIX INC.	89366M300	03/05/21	-10.00	0.00	Exchange	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.