

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 168987915	Statement Date: 02/11/2022 Document ID: 1C7D N8F JY02	2021
	Mohit Shantilal baffna 832 Briar Ridge Cir Warsaw, IN 46582 RECIPIENT'S TIN: XXX-XX-6529		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 4.50</p> <p>1b- Qualified dividends 4.50</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	44,527.05	49,088.92	0.00	9,607.58	5,045.71
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	44,527.05	49,088.92	0.00	9,607.58	5,045.71
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	44,527.05	49,088.92	0.00	9,607.58	5,045.71
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 168987915

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	3.10
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	15.02
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 168987915

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/31/2020 CALL \$135.00 / CUSIP: / Symbol:							
01/04/21	1.000	67.98	12/31/20	0.00	...	67.98	Short sale closed- call ²⁵ Option written: 12/30/20
CLOV 01/21/2022 CALL \$12.00 / CUSIP: / Symbol:							
5 transactions for 09/07/21. Total proceeds and cost reported to the IRS.							
	1.000	214.99	07/12/21	200.00	...	14.99	1 of 5 - Option sale to close-call ²⁵
	1.000	214.99	07/12/21	200.00	...	14.99	2 of 5 - Option sale to close-call ²⁵
	2.000	431.98	07/20/21	280.00	...	151.98	3 of 5 - Option sale to close-call ²⁵
	1.000	284.98	07/27/21	139.00	...	145.98	4 of 5 - Option sale to close-call ²⁵
	1.000	289.98	07/27/21	139.00	...	150.98	5 of 5 - Option sale to close-call ²⁵
09/07/21	6.000	1,436.92	Various	958.00	...	478.92	Total of 5 transactions

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Account 168987915

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 01/21/2022 CALL \$12.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 09/07/21. Total proceeds and cost reported to the IRS.							
	1.000	209.99	06/22/21	440.00	230.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	209.99	07/02/21	340.00	130.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/07/21	2.000	419.98	Various	780.00	360.02 W	0.00	Total of 2 transactions
	Security total:	1,856.90		1,738.00	360.02 W	478.92	
CLX 10/15/2021 PUT \$175.00 / CUSIP: / Symbol:							
07/13/21	1.000	659.98	07/01/21	650.00	...	9.98	Option sale to close-put ²⁵
FLGT 01/15/2021 PUT \$50.00 / CUSIP: / Symbol:							
2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	1.000	64.98	01/05/21	1,165.06	...	-1,100.08	1 of 2 - Option sale to close-put ²⁵
	1.000	69.98	01/08/21	814.06	...	-744.08	2 of 2 - Option sale to close-put ²⁵
01/08/21	2.000	134.96	Various	1,979.12	...	-1,844.16	Total of 2 transactions
10 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	1.000	19.99	12/30/20	470.00	450.01 W	0.00	1 of 10 - Option sale to close-put ²⁵
	1.000	19.99	12/30/20	400.00	380.01 W	0.00	2 of 10 - Option sale to close-put ²⁵
	1.000	24.98	12/30/20	810.01	785.03 W	0.00	3 of 10 - Option sale to close-put ²⁵
	1.000	69.99	12/30/20	720.01	650.02 W	0.00	4 of 10 - Option sale to close-put ²⁵
	1.000	69.99	12/30/20	1,115.03	1,045.04 W	0.00	5 of 10 - Option sale to close-put ²⁵
	1.000	69.99	01/04/21	810.02	740.03 W	0.00	6 of 10 - Option sale to close-put ²⁵
	1.000	69.99	01/04/21	1,170.04	1,100.05 W	0.00	7 of 10 - Option sale to close-put ²⁵
	1.000	74.99	01/04/21	865.03	790.04 W	0.00	8 of 10 - Option sale to close-put ²⁵
	1.000	74.99	01/05/21	1,180.05	1,105.06 W	0.00	9 of 10 - Option sale to close-put ²⁵
	1.000	69.98	01/05/21	850.04	780.06 W	0.00	10 of 10 - Option sale to close-put ²⁵
01/08/21	10.000	564.88	Various	8,390.23	7,825.35 W	0.00	Total of 10 transactions
	Security total:	699.84		10,369.35	7,825.35 W	-1,844.16	
GNUS 01/20/2023 CALL \$0.50 / CUSIP: / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	132.99	01/19/21	110.00	...	22.99	1 of 2 - Option sale to close-call ²⁵
	1.000	132.99	01/19/21	108.00	...	24.99	2 of 2 - Option sale to close-call ²⁵
01/25/21	2.000	265.98	Various	218.00	...	47.98	Total of 2 transactions

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Robinhood Securities LLC

Account 168987915

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LI 01/08/2021 CALL \$31.00 / CUSIP: / Symbol:							
01/06/21	1.000	162.98	01/05/21	113.00	...	49.98	Option sale to close-call ²⁵
LI 01/15/2021 CALL \$31.00 / CUSIP: / Symbol:							
01/06/21	1.000	286.98	01/05/21	186.00	...	100.98	Option sale to close-call ²⁵
LI 01/29/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/04/21	1.000	410.98	12/29/20	191.00	...	219.98	Option sale to close-call ²⁵
LI 01/29/2021 CALL \$32.50 / CUSIP: / Symbol:							
		2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.					
	1.000	199.98	01/22/21	265.00	65.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	200.98	01/25/21	239.02	38.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
01/26/21	2.000	400.96	Various	504.02	103.06 W	0.00	Total of 2 transactions
		6 transactions for 01/28/21. Total proceeds and cost reported to the IRS.					
	1.000	57.99	01/25/21	195.04	137.05 W	0.00	1 of 6 - Option sale to close-call ²⁵
	1.000	57.99	01/26/21	121.00	63.01 W	0.00	2 of 6 - Option sale to close-call ²⁵
	1.000	60.99	01/26/21	245.05	184.06 W	0.00	3 of 6 - Option sale to close-call ²⁵
	1.000	60.99	01/27/21	128.01	67.02 W	0.00	4 of 6 - Option sale to close-call ²⁵
	1.000	60.99	01/28/21	219.06	158.07 W	0.00	5 of 6 - Option sale to close-call ²⁵
	1.000	60.99	01/28/21	102.02	41.03 W	0.00	6 of 6 - Option sale to close-call ²⁵
01/28/21	6.000	359.94	Various	1,010.18	650.24 W	0.00	Total of 6 transactions
		4 transactions for 01/29/21. Total proceeds and cost reported to the IRS.					
	1.000	16.98	01/28/21	129.08	...	-112.10	1 of 4 - Option sale to close-call ²⁵
	1.000	17.98	01/28/21	44.02	...	-26.04	2 of 4 - Option sale to close-call ²⁵
	1.000	14.98	01/28/21	30.00	...	-15.02	3 of 4 - Option sale to close-call ²⁵
	1.000	89.99	01/28/21	72.03	...	17.96	4 of 4 - Option sale to close-call ²⁵
01/29/21	4.000	139.93	Various	275.13	...	-135.20	Total of 4 transactions
		2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.					
	1.000	16.98	01/28/21	31.00	14.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	89.99	01/28/21	189.07	99.08 W	0.00	2 of 2 - Option sale to close-call ²⁵
01/29/21	2.000	106.97	Various	220.07	113.10 W	0.00	Total of 2 transactions
	Security total:	1,007.80		2,009.40	866.40 W	-135.20	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LI 03/05/2021 CALL \$24.00 / CUSIP: / Symbol:							
03/05/21	1.000	0.98	03/04/21	12.00	...	-11.02	Option sale to close-call ²⁵
LI 03/12/2021 CALL \$25.00 / CUSIP: / Symbol:							
03/09/21	1.000	39.98	03/04/21	29.00	...	10.98	Option sale to close-call ²⁵
LI 03/26/2021 CALL \$27.00 / CUSIP: / Symbol:							
03/19/21	1.000	76.98	03/19/21	37.00	...	39.98	Option sale to close-call ²⁵
NOK 02/05/2021 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	1.98	01/29/21	42.00	40.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	1.98	02/02/21	57.02	55.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
02/04/21	2.000	3.96	Various	99.02	95.06 W	0.00	Total of 2 transactions
02/05/21	1.000	1.98	02/03/21	67.04	...	-65.06	Option sale to close-call ²⁵
	Security total:	5.94		166.06	95.06 W	-65.06	
PYPL 02/19/2021 CALL \$300.00 / CUSIP: / Symbol:							
02/12/21	1.000	479.97	02/12/21	320.00	...	159.97	Option sale to close-call ²⁵
SOL 04/09/2021 CALL \$13.00 / CUSIP: / Symbol:							
2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/23/21	80.00	...	-80.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/05/21	10.00	...	-10.00	2 of 2 - Option expiration- long call
04/09/21	2.000	0.00	Various	90.00	...	-90.00	Total of 2 transactions
WISH 01/21/2022 CALL \$7.50 / CUSIP: / Symbol:							
4 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	1.000	94.99	09/08/21	150.00	55.01 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	94.99	09/10/21	140.00	45.01 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	96.99	09/10/21	136.00	39.01 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	96.99	09/13/21	123.00	26.01 W	0.00	4 of 4 - Option sale to close-call ²⁵
10/20/21	4.000	383.96	Various	549.00	165.04 W	0.00	Total of 4 transactions
WISH 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
06/21/21	1.000	499.98	06/08/21	195.00	...	304.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 168987915

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
01/19/21	1.000	251.74	12/24/20	248.55	...	3.19	Sale ²⁵
	7 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	1.000	250.25	11/30/20	268.00	17.75 W	0.00	1 of 7 - Sale ²⁵
	1.000	250.25	11/30/20	265.00	14.75 W	0.00	2 of 7 - Sale ²⁵
	1.000	250.74	12/01/20	262.70	11.96 W	0.00	3 of 7 - Sale ²⁵
	1.000	250.74	12/01/20	262.60	11.86 W	0.00	4 of 7 - Sale ²⁵
	1.000	251.00	12/02/20	261.70	10.70 W	0.00	5 of 7 - Sale ²⁵
	1.000	251.25	12/02/20	260.50	9.25 W	0.00	6 of 7 - Sale ²⁵
	1.000	251.74	12/15/20	253.50	1.76 W	0.00	7 of 7 - Sale ²⁵
01/19/21	7.000	1,755.97	Various	1,834.00	78.03 W	0.00	Total of 7 transactions
	4 transactions for 02/01/21. Total proceeds and cost reported to the IRS.						
	1.000	259.24	12/24/20	244.75	...	14.49	1 of 4 - Sale ²⁵
	1.000	259.24	12/24/20	241.96	...	17.28	2 of 4 - Sale ²⁵
	1.000	260.24	12/24/20	241.86	...	18.38	3 of 4 - Sale ²⁵
	1.000	260.24	12/24/20	236.70	...	23.54	4 of 4 - Sale ²⁵
02/01/21	4.000	1,038.96	Various	965.27	...	73.69	Total of 4 transactions
	6 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	1.000	273.99	12/24/20	234.75	...	39.24	1 of 6 - Sale ²⁵
	1.000	274.00	12/24/20	227.26	...	46.74	2 of 6 - Sale ²⁵
	1.000	273.99	12/24/20	220.00	...	53.99	3 of 6 - Sale ²⁵
	1.000	273.99	12/24/20	220.00	...	53.99	4 of 6 - Sale ²⁵
	1.000	274.00	01/04/21	226.40	...	47.60	5 of 6 - Sale ²⁵
	1.000	273.99	01/04/21	226.25	...	47.74	6 of 6 - Sale ²⁵
02/16/21	6.000	1,643.96	Various	1,354.66	...	289.30	Total of 6 transactions
	Security total:	4,690.63		4,402.48	78.03 W	366.18	
ALTERYX, INC. / CUSIP: 02156B103 / Symbol:							
	3 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	2.000	250.00	12/29/20	244.50	...	5.50	1 of 3 - Sale ²⁵
	1.000	125.25	12/31/20	122.01	...	3.24	2 of 3 - Sale ²⁵
	1.000	125.50	12/31/20	122.01	...	3.49	3 of 3 - Sale ²⁵
01/19/21	4.000	500.75	Various	488.52	...	12.23	Total of 3 transactions

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Robinhood Securities LLC

Account 168987915

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ALTERYX, INC. / CUSIP: 02156B103 / Symbol: (cont'd)							
4 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	1.000	126.00	12/31/20	121.99	...	4.01	1 of 4 - Sale ²⁵
	1.000	126.25	01/04/21	110.96	...	15.29	2 of 4 - Sale ²⁵
	1.000	126.44	01/04/21	110.96	...	15.48	3 of 4 - Sale ²⁵
	2.000	253.00	01/04/21	221.92	...	31.08	4 of 4 - Sale ²⁵
01/22/21	5.000	631.69	Various	565.83	...	65.86	Total of 4 transactions
01/28/21	3.000	375.36	01/06/21	329.55	...	45.81	Sale ²⁵
	Security total:	1,507.80		1,383.90	...	123.90	
BILIBILI INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 090040106 / Symbol:							
09/01/21	9.000	752.21	08/17/21	599.18	...	153.03	Sale ²⁵
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
5 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	1.000	20.50	02/23/21	10.45	...	10.05	1 of 5 - Sale ²⁵
	2.000	41.60	02/23/21	20.90	...	20.70	2 of 5 - Sale ²⁵
	8.000	164.00	02/23/21	83.60	...	80.40	3 of 5 - Sale ²⁵
	18.000	368.99	02/23/21	188.10	...	180.89	4 of 5 - Sale ²⁵
	25.000	512.99	02/23/21	261.25	...	251.74	5 of 5 - Sale ²⁵
06/08/21	54.000	1,108.08	Various	564.30	...	543.78	Total of 5 transactions
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
07/02/21	4.000	1,022.07	02/23/21	837.70	...	184.37	Sale ²⁵
DENISON MINES CORP / CUSIP: 248356107 / Symbol:							
3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	50.000	63.49	02/11/21	55.00	...	8.49	1 of 3 - Sale ²⁵
	50.000	63.49	02/11/21	53.00	...	10.49	2 of 3 - Sale ²⁵
	50.000	63.50	02/11/21	52.50	...	11.00	3 of 3 - Sale ²⁵
02/16/21	150.000	190.48	Various	160.50	...	29.98	Total of 3 transactions

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DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol:							
4 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	9.000	201.64	12/04/20	182.07	...	19.57	1 of 4 - Sale ²⁵
	9.000	201.64	12/04/20	181.94	...	19.70	2 of 4 - Sale ²⁵
	9.000	201.64	12/04/20	181.89	...	19.75	3 of 4 - Sale ²⁵
	18.000	403.28	12/04/20	363.87	...	39.41	4 of 4 - Sale ²⁵
01/05/21	45.000	1,008.20	Various	909.77	...	98.43	Total of 4 transactions
4 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	9.000	283.95	12/04/20	181.89	...	102.06	1 of 4 - Sale ²⁵
	9.000	283.94	12/04/20	181.71	...	102.23	2 of 4 - Sale ²⁵
	9.000	283.95	12/04/20	181.71	...	102.24	3 of 4 - Sale ²⁵
	18.000	567.90	12/04/20	363.60	...	204.30	4 of 4 - Sale ²⁵
08/05/21	45.000	1,419.74	Various	908.91	...	510.83	Total of 4 transactions
	Security total:	2,427.94		1,818.68	...	609.26	
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
5 transactions for 07/23/21. Total proceeds and cost reported to the IRS.							
	1.352	279.50	02/17/21	300.00	...	-20.50	1 of 5 - Sale ²⁵
	1.353	279.74	02/18/21	300.00	...	-20.26	2 of 5 - Sale ²⁵
	2.000	413.50	02/23/21	394.00	...	19.50	3 of 5 - Sale ²⁵
	0.295	61.00	05/13/21	47.15	...	13.85	4 of 5 - Sale ²⁵
	4.000	827.00	05/13/21	639.61	...	187.39	5 of 5 - Sale ²⁵
07/23/21	9.000	1,860.74	Various	1,680.76	...	179.98	Total of 5 transactions
THE EXONE COMPANY COMMON STOCK / CUSIP: 302104104 / Symbol:							
2 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	3.000	58.98	05/13/21	58.50	...	0.48	1 of 2 - Sale ²⁵
	6.000	117.96	05/13/21	117.00	...	0.96	2 of 2 - Sale ²⁵
05/20/21	9.000	176.94	Various	175.50	...	1.44	Total of 2 transactions
3 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	2.000	47.76	05/13/21	39.00	...	8.76	1 of 3 - Sale ²⁵
	7.000	167.16	05/13/21	134.40	...	32.76	2 of 3 - Sale ²⁵
	9.000	214.92	05/13/21	175.50	...	39.42	3 of 3 - Sale ²⁵
08/23/21	18.000	429.84	Various	348.90	...	80.94	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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THE EXONE COMPANY COMMON STOCK / CUSIP: 302104104 / Symbol: (cont'd)							
08/25/21	9.000	218.39	07/23/21	146.70	...	71.69	Sale ²⁵
	Security total:	825.17		671.10	...	154.07	
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	2.000	212.90	12/24/20	194.80	...	18.10	1 of 2 - Sale ²⁵
	3.000	317.85	12/24/20	292.20	...	25.65	2 of 2 - Sale ²⁵
01/21/21	5.000	530.75	Various	487.00	...	43.75	Total of 2 transactions
7 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	109.53	12/28/20	95.40	...	14.13	1 of 7 - Sale ²⁵
	1.000	109.53	12/28/20	93.90	...	15.63	2 of 7 - Sale ²⁵
	2.000	219.05	12/28/20	182.50	...	36.55	3 of 7 - Sale ²⁵
	2.000	219.05	12/28/20	182.00	...	37.05	4 of 7 - Sale ²⁵
	4.000	435.22	12/28/20	381.60	...	53.62	5 of 7 - Sale ²⁵
	3.000	328.58	12/29/20	269.16	...	59.42	6 of 7 - Sale ²⁵
	9.000	989.52	01/06/21	750.65	...	238.87	7 of 7 - Sale ²⁵
01/25/21	22.000	2,410.48	Various	1,955.21	...	455.27	Total of 7 transactions
05/20/21	1.000	43.85	05/13/21	40.00	...	3.85	Sale ²⁵
10/20/21	9.000	450.00	05/13/21	360.00	...	90.00	Sale ²⁵
	Security total:	3,435.08		2,842.21	...	592.87	
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
11 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	40.31	01/08/21	27.20	...	13.11	1 of 11 - Sale ²⁵
	2.000	82.62	01/08/21	54.46	...	28.16	2 of 11 - Sale ²⁵
	2.000	85.62	01/08/21	53.80	...	31.82	3 of 11 - Sale ²⁵
	3.000	126.93	01/08/21	81.00	...	45.93	4 of 11 - Sale ²⁵
	4.000	171.24	01/08/21	107.40	...	63.84	5 of 11 - Sale ²⁵
	6.000	253.86	01/08/21	161.10	...	92.76	6 of 11 - Sale ²⁵
	7.000	289.17	01/08/21	189.00	...	100.17	7 of 11 - Sale ²⁵
	8.000	322.48	01/08/21	217.84	...	104.64	8 of 11 - Sale ²⁵
	9.000	358.29	01/08/21	244.80	...	113.49	9 of 11 - Sale ²⁵
	3.000	128.43	01/19/21	92.97	...	35.46	10 of 11 - Sale ²⁵

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FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
	9.000	382.19	01/20/21	272.25	...	109.94	11 of 11 - Sale ²⁵
01/25/21	54.000	2,241.14	Various	1,501.82	...	739.32	Total of 11 transactions
01/27/21	18.000	917.97	01/26/21	702.00	...	215.97	Sale ²⁵
	Security total:	3,159.11		2,203.82	...	955.29	
FULGENT GENETICS, INC. COMMON STOCK / CUSIP: 359664109 / Symbol:							
2 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	0.997	111.45	02/23/21	94.68	...	16.77	1 of 2 - Sale ²⁵
	3.000	335.49	02/23/21	297.00	...	38.49	2 of 2 - Sale ²⁵
08/09/21	3.997	446.94	Various	391.68	...	55.26	Total of 2 transactions
4 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	1.000	111.83	02/16/21	150.00	38.17 W	0.00	1 of 4 - Sale ²⁵
	0.005	0.56	02/16/21	0.75	0.19 W	0.00	2 of 4 - Sale ²⁵
	0.998	111.64	02/16/21	149.25	37.61 W	0.00	3 of 4 - Sale ²⁵
	3.000	335.49	02/16/21	438.00	102.51 W	0.00	4 of 4 - Sale ²⁵
08/09/21	5.003	559.52	Various	738.00	178.48 W	0.00	Total of 4 transactions
	Security total:	1,006.46		1,129.68	178.48 W	55.26	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
03/25/21	6.000	14.64	01/28/21	13.20	...	1.44	Sale ²⁵
INVITAE CORPORATION / CUSIP: 46185L103 / Symbol:							
2 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	9.000	272.70	08/10/21	245.61	...	27.09	1 of 2 - Sale ²⁵
	9.000	272.69	08/11/21	236.79	...	35.90	2 of 2 - Sale ²⁵
09/01/21	18.000	545.39	Various	482.40	...	62.99	Total of 2 transactions
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
9 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	8.000	240.80	02/10/21	248.64	...	-7.84	1 of 9 - Sale ²⁵
	9.000	271.89	02/10/21	279.72	...	-7.83	2 of 9 - Sale ²⁵
	9.000	273.24	02/10/21	279.72	...	-6.48	3 of 9 - Sale ²⁵
	18.000	553.67	02/10/21	559.44	...	-5.77	4 of 9 - Sale ²⁵
	8.000	243.28	02/10/21	248.64	...	-5.36	5 of 9 - Sale ²⁵

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LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol: (cont'd)							
	9.000	274.59	02/10/21	279.72	...	-5.13	6 of 9 - Sale ²⁵
	9.000	274.59	02/10/21	279.72	...	-5.13	7 of 9 - Sale ²⁵
	1.000	30.10	02/10/21	31.08	...	-0.98	8 of 9 - Sale ²⁵
	1.000	30.41	02/10/21	31.08	...	-0.67	9 of 9 - Sale ²⁵
06/17/21	72.000	2,192.57	Various	2,237.76	...	-45.19	Total of 9 transactions
LIMELIGHT NETWORKS, INC. COMMO N STOCK / CUSIP: 53261M104 / Symbol:							
31 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	1.000	4.27	12/03/20	4.23	...	0.04	1 of 31 - Sale ²⁵
	1.000	4.27	12/03/20	4.23	...	0.04	2 of 31 - Sale ²⁵
	1.000	4.27	12/03/20	4.23	...	0.04	3 of 31 - Sale ²⁵
	1.000	4.27	12/03/20	4.21	...	0.06	4 of 31 - Sale ²⁵
	1.000	4.27	12/03/20	4.21	...	0.06	5 of 31 - Sale ²⁵
	1.000	4.27	12/03/20	4.21	...	0.06	6 of 31 - Sale ²⁵
	1.000	4.26	12/03/20	4.20	...	0.06	7 of 31 - Sale ²⁵
	10.000	42.70	12/03/20	42.50	...	0.20	8 of 31 - Sale ²⁵
	7.000	29.89	12/03/20	29.61	...	0.28	9 of 31 - Sale ²⁵
	10.000	42.70	12/03/20	42.40	...	0.30	10 of 31 - Sale ²⁵
	8.000	34.12	12/03/20	33.76	...	0.36	11 of 31 - Sale ²⁵
	10.000	42.70	12/03/20	42.30	...	0.40	12 of 31 - Sale ²⁵
	10.000	42.70	12/03/20	42.30	...	0.40	13 of 31 - Sale ²⁵
	7.000	29.89	12/03/20	29.47	...	0.42	14 of 31 - Sale ²⁵
	10.000	42.70	12/03/20	42.20	...	0.50	15 of 31 - Sale ²⁵
	10.000	42.70	12/03/20	42.10	...	0.60	16 of 31 - Sale ²⁵
	9.000	38.42	12/03/20	37.80	...	0.62	17 of 31 - Sale ²⁵
	1.000	4.26	12/07/20	4.25	...	0.01	18 of 31 - Sale ²⁵
	1.000	4.27	12/07/20	4.25	...	0.02	19 of 31 - Sale ²⁵
	18.000	75.96	12/07/20	75.93	...	0.03	20 of 31 - Sale ²⁵
	1.000	4.22	12/07/20	4.19	...	0.03	21 of 31 - Sale ²⁵
	2.000	8.44	12/07/20	8.38	...	0.06	22 of 31 - Sale ²⁵
	8.000	34.12	12/07/20	34.00	...	0.12	23 of 31 - Sale ²⁵
	18.000	75.96	12/07/20	75.78	...	0.18	24 of 31 - Sale ²⁵
	8.000	34.12	12/07/20	33.84	...	0.28	25 of 31 - Sale ²⁵
	18.000	75.96	12/07/20	75.60	...	0.36	26 of 31 - Sale ²⁵

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Robinhood Securities LLC

Account 168987915

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIMELIGHT NETWORKS, INC. COMMO N STOCK / CUSIP: 53261M104 / Symbol: (cont'd)							
	9.000	37.98	12/07/20	37.62	...	0.36	27 of 31 - Sale ²⁵
	9.000	38.39	12/07/20	37.98	...	0.41	28 of 31 - Sale ²⁵
	15.000	63.29	12/07/20	62.85	...	0.44	29 of 31 - Sale ²⁵
	9.000	38.43	12/07/20	37.98	...	0.45	30 of 31 - Sale ²⁵
	18.000	75.96	12/07/20	75.33	...	0.63	31 of 31 - Sale ²⁵
01/04/21	233.000	989.76	Various	981.94	...	7.82	Total of 31 transactions
	3 transactions for 01/04/21. Total proceeds and cost reported to the IRS.						
	1.000	4.27	12/02/20	4.29	0.02 W	0.00	1 of 3 - Sale ²⁵
	1.000	4.27	12/02/20	4.29	0.02 W	0.00	2 of 3 - Sale ²⁵
	8.000	34.16	12/02/20	34.32	0.16 W	0.00	3 of 3 - Sale ²⁵
01/04/21	10.000	42.70	Various	42.90	0.20 W	0.00	Total of 3 transactions
	10 transactions for 01/05/21. Total proceeds and cost reported to the IRS.						
	5.000	21.20	12/07/20	20.80	...	0.40	1 of 10 - Sale ²⁵
	18.000	76.32	12/07/20	75.06	...	1.26	2 of 10 - Sale ²⁵
	27.000	114.48	12/07/20	112.86	...	1.62	3 of 10 - Sale ²⁵
	31.000	131.43	12/07/20	128.96	...	2.47	4 of 10 - Sale ²⁵
	1.000	4.24	12/09/20	4.07	...	0.17	5 of 10 - Sale ²⁵
	18.000	76.32	12/09/20	73.44	...	2.88	6 of 10 - Sale ²⁵
	17.000	72.08	12/09/20	69.19	...	2.89	7 of 10 - Sale ²⁵
	36.000	152.64	12/09/20	144.72	...	7.92	8 of 10 - Sale ²⁵
	47.000	199.27	12/30/20	184.24	...	15.03	9 of 10 - Sale ²⁵
	52.000	220.47	12/30/20	203.84	...	16.63	10 of 10 - Sale ²⁵
01/05/21	252.000	1,068.45	Various	1,017.18	...	51.27	Total of 10 transactions
02/16/21	50.000	198.50	02/12/21	180.00	...	18.50	Sale ²⁵
	Security total:	2,299.41		2,222.02	0.20 W	77.59	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
	4 transactions for 05/20/21. Total proceeds and cost reported to the IRS.						
	4.000	20.56	02/05/21	16.96	...	3.60	1 of 4 - Sale ²⁵
	21.000	107.31	02/05/21	89.04	...	18.27	2 of 4 - Sale ²⁵
	25.000	127.75	02/05/21	107.50	...	20.25	3 of 4 - Sale ²⁵
	5.000	25.70	02/09/21	20.90	...	4.80	4 of 4 - Sale ²⁵
05/20/21	55.000	281.32	Various	234.40	...	46.92	Total of 4 transactions

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Robinhood Securities LLC

Account 168987915

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOKIA CORPORATION / CUSIP: 654902204 / Symbol: (cont'd)							
2 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	20.000	109.60	02/09/21	83.60	...	26.00	1 of 2 - Sale ²⁵
	25.000	137.00	02/10/21	103.25	...	33.75	2 of 2 - Sale ²⁵
07/06/21	45.000	246.60	Various	186.85	...	59.75	Total of 2 transactions
	Security total:	527.92		421.25	...	106.67	
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
01/28/21	10.000	685.38	01/27/21	640.38	...	45.00	Sale ²⁵
RENESOLA LTD AMERICAN DEPOSITA RY (EACH REPRESENTING TEN SHAR ES) / CUSIP: 75971T301 / Symbol:							
5 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	20.000	500.78	01/27/21	500.00	...	0.78	1 of 5 - Sale ²⁵
	5.000	126.40	01/27/21	125.00	...	1.40	2 of 5 - Sale ²⁵
	10.000	255.19	01/27/21	245.00	...	10.19	3 of 5 - Sale ²⁵
	15.000	379.18	01/27/21	367.50	...	11.68	4 of 5 - Sale ²⁵
	10.000	255.19	01/27/21	240.00	...	15.19	5 of 5 - Sale ²⁵
02/10/21	60.000	1,516.74	Various	1,477.50	...	39.24	Total of 5 transactions
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
05/20/21	3.000	4.35	01/20/21	4.56	...	-0.21	Sale ²⁵
SHIFT TECHNOLOGIES, INC. CLASS A COMMON STOCK / CUSIP: 82452T107 / Symbol:							
3 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	11.000	114.40	01/27/21	92.73	...	21.67	1 of 3 - Sale ²⁵
	14.000	145.60	01/27/21	118.02	...	27.58	2 of 3 - Sale ²⁵
	25.000	255.00	01/27/21	210.75	...	44.25	3 of 3 - Sale ²⁵
02/12/21	50.000	515.00	Various	421.50	...	93.50	Total of 3 transactions
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	10.50	01/27/21	8.36	...	2.14	1 of 2 - Sale ²⁵
	49.000	514.48	01/27/21	409.64	...	104.84	2 of 2 - Sale ²⁵
02/16/21	50.000	524.98	Various	418.00	...	106.98	Total of 2 transactions
	Security total:	1,039.98		839.50	...	200.48	

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Robinhood Securities LLC

Account 168987915

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
02/10/21	83.000	240.69	02/04/21	95.45	...	145.24	Sale ²⁵
	2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	17.000	67.15	02/04/21	19.55	...	47.60	1 of 2 - Sale ²⁵
	1.000	3.95	02/05/21	1.10	...	2.85	2 of 2 - Sale ²⁵
02/11/21	18.000	71.10	Various	20.65	...	50.45	Total of 2 transactions
02/12/21	45.000	101.25	02/05/21	49.50	...	51.75	Sale ²⁵
02/16/21	54.000	121.49	02/05/21	59.40	...	62.09	Sale ²⁵
	Security total:	534.53		225.00	...	309.53	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
	7 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	5.000	53.80	02/03/21	53.75	...	0.05	1 of 7 - Sale ²⁵
	5.000	53.80	02/03/21	51.25	...	2.55	2 of 7 - Sale ²⁵
	5.000	53.80	02/03/21	51.25	...	2.55	3 of 7 - Sale ²⁵
	10.000	107.60	02/03/21	100.00	...	7.60	4 of 7 - Sale ²⁵
	5.000	62.30	02/03/21	53.75	...	8.55	5 of 7 - Sale ²⁵
	10.000	124.60	02/03/21	115.50	...	9.10	6 of 7 - Sale ²⁵
	10.000	124.60	02/03/21	112.50	...	12.10	7 of 7 - Sale ²⁵
02/03/21	50.000	580.50	Various	538.00	...	42.50	Total of 7 transactions
WALKER & DUNLOP, INC. / CUSIP: 93148P102 / Symbol:							
	2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	0.014	1.73	02/18/21	1.35	...	0.38	1 of 2 - Sale ²⁵
	1.000	128.31	02/18/21	100.00	...	28.31	2 of 2 - Sale ²⁵
10/27/21	1.014	130.04	Various	101.35	...	28.69	Total of 2 transactions
	2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	1.987	285.01	02/18/21	198.65	...	86.36	1 of 2 - Sale ²⁵
	0.014	1.94	09/07/21	1.50	...	0.44	2 of 2 - Sale ²⁵
11/04/21	2.000	286.95	Various	200.15	...	86.80	Total of 2 transactions
	Security total:	416.99		301.50	...	115.49	

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Robinhood Securities LLC

Account 168987915

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol:							
3 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	1.361	305.83	02/18/21	300.00	...	5.83	1 of 3 - Sale ²⁵
	2.000	449.48	02/23/21	410.60	...	38.88	2 of 3 - Sale ²⁵
	5.639	1,267.33	05/13/21	925.10	...	342.23	3 of 3 - Sale ²⁵
07/06/21	9.000	2,022.64	Various	1,635.70	...	386.94	Total of 3 transactions
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
07/06/21	1.000	401.89	02/23/21	370.89	...	31.00	Sale ²⁵
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
02/10/21	36.000	92.16	01/29/21	33.48	...	58.68	Sale ²⁵
CRISPR THERAPEUTICS AG COMMON SHARES / CUSIP: H17182108 / Symbol:							
08/09/21	0.011	1.52	02/17/21	1.66	...	-0.14	Sale ²⁵
FIVERR INTERNATIONAL LTD. / CUSIP: M4R82T106 / Symbol:							
3 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	2.000	436.00	01/26/21	450.00	14.00 W	0.00	1 of 3 - Sale ²⁵
	2.000	438.00	01/26/21	460.00	22.00 W	0.00	2 of 3 - Sale ²⁵
	1.000	220.00	01/26/21	223.00	3.00 W	0.00	3 of 3 - Sale ²⁵
02/01/21	5.000	1,094.00	Various	1,133.00	39.00 W	0.00	Total of 3 transactions
3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	272.99	01/26/21	220.84	...	52.15	1 of 3 - Sale ²⁵
	2.000	545.98	01/26/21	457.40	...	88.58	2 of 3 - Sale ²⁵
	2.000	545.99	01/27/21	407.76	...	138.23	3 of 3 - Sale ²⁵
02/04/21	5.000	1,364.96	Various	1,086.00	...	278.96	Total of 3 transactions
02/11/21	1.000	298.50	02/04/21	258.00	...	40.50	Sale ²⁵
	Security total:	2,757.46		2,477.00	39.00 W	319.46	
Totals :		44,527.05		49,088.92	9,607.58 W	5,045.71	

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Robinhood Securities LLC

Account 168987915

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
WALKER & DUNLOP, INC.	93148P102		03/11/21	1.50	Qualified dividend	
			06/04/21	1.50	Qualified dividend	
			09/03/21	1.50	Qualified dividend	
				4.50	Total Dividends & distributions	
				4.50	Total Dividends & distributions	

Robinhood Securities LLC

Account 168987915

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/29/21	0.21	Interest	
		02/12/21	0.16	Interest	
		02/26/21	0.32	Interest	
		05/28/21	0.22	Interest	
		06/30/21	0.27	Interest	
		07/20/21	0.48	Interest	
		07/30/21	0.22	Interest	
		11/12/21	0.08	Interest	
			1.96	Total Interest	
Interest payment		08/31/21	0.22	Interest	
		09/20/21	0.55	Interest	
		09/30/21	0.17	Interest	
		10/29/21	0.19	Interest	
		11/30/21	0.01	Interest	
			1.14	Total Interest	
			3.10	Total Interest	

Robinhood Securities LLC

Account 168987915

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/25/21	-5.00	Margin interest paid	
		04/26/21	-0.01	Margin interest paid	
		04/26/21	-5.00	Margin interest paid	
		05/20/21	-0.01	Margin interest paid	
			-10.02	Total Margin interest paid	
Margin charge		12/15/21	-5.00	Margin interest paid	
			-15.02	Total Margin interest paid	

Robinhood Securities LLC

Account 168987915

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-10.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	10.00	0.00	Exchange	
SOFI TECHNOLOGIES, INC. COMMON STOCK	83406F102	06/01/21	60.00	0.00	Exchange	
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. V	G8252P105	06/01/21	-60.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Instructions for Recipient

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7. **Lines 9 and 10.** Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms

1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

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1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment

Instructions for Recipient

trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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